

B-5-07
- : 10-1/

Contract ID:CHPW12000007

Department: Public Works

Capital: X

SERVICE: Water Main Requirements Contract-Amendment 2

NIFS ID #:CLPW17000016

NIFS Entry Date: 06-APR-17

Term: from 15-NOV-12 to 15-NOV-17

Amendment
Time Extension: X
Addl. Funds:X
Blanket Resolution:
RES#

1) Mandated Program:	N
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Vendor Ownership & Mgmt. Disclosure Attached:	Y
5) Insurance Required	N

Vendor Info:	
Name: Bancker Construction Corp.	Vendor ID#: 111876887
Address: 218 Blydenburgh Road Islandia, New York 11749	Contact Person: Michael Beyer
	Phone: 631-582-8880

Department:
Contact Name: Scott Urban
Address: 1194 Prospect Avenue Westbury, New York 11590
Phone: 516-571-6840

2017 JUN 17 12:30 PM
NASSAU COUNTY
CLERK OF SUPREME COURT

Routing Slip

Department	NIFS Entry: X	08-JUN-17 -- LDIONISIO
Department	NIFS Approval: X	12-JUL-17 -- KARNOLD
DPW	Capital Fund Approved: X	14-JUL-17 -- LDIONISIO
OMB	NIFA Approval: X	14-JUL-17 -- RDALLEVA
OMB	NIFS Approval: X	13-JUL-17 -- MVOCATURA
County Atty.	Insurance Verification: X	13-JUL-17 -- AAMATO
County Atty.	Approval to Form: X	13-JUL-17 -- NSARANDIS
Dep. CE	Approval: X	17-JUL-17 -- CRIBANDO

Leg. Affairs	Approval/Review: X	14-JUL-17 -- MREYNOLDS
Legislature	Approval:	
Comptroller	NIFS Approval:	
NIFA	NIFA Approval:	

Contract Summary

Purpose: This Requirements Contract provides for the emergency and routine repair, replacement and upgrade of County owned water mains and appurtenances that are beyond in-house capabilities. These facilities are required for the supply of both potable water and fire protection at County owned facilities and buildings. A large unanticipated water main offset associated with the Wheatley Road Drainage Project, another offset associated with the Middle Neck Road Drainage project, combined with a number of emergency breaks and necessary repairs at other County Facilities has caused a rise in costs associated with this contract that are above the initial spending cap. Additional work is required at a number of County facilities including mandatory testing of hydrants, the repair and relocation of hydrants and replacement of meters of meters.
Method of Procurement: The contractor was previously selected through an Open Competitive Bidding process.
Procurement History: Contract Amendment # 2 will increase the contract value by \$ 700,000.00 and allow for the repair of several emergency water breaks and additional infrastructure repairs including hydrants, valves, metering and backflow prevention.
Description of General Provisions: The County wishes to extend Contract No. S80030Z to November 15, 2017. The County wished to increase the contract maximum amount for an additional \$ 700,000.00.
Impact on Funding / Price Analysis: An additional \$700,000.00 is requested for work within this contract. The current expiration date is November 15, 2016. A new contract is in preparation to bid and any remaining funds will be disencumbered from the existing contract for use in the subsequent contract. Project funding will come from Capital Project 90403. The maximum amount will be increased by \$ 700,000.00.
Change in Contract from Prior Procurement: To extend Contract No. S80030Z to November 15, 2017. The County wished to increase the contract maximum amount for an additional \$ 700,000.00.
Recommendation: (approve as submitted) Approve as submitted.

Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	CAP	Revenue		1	PWCAPCAP/90403/00004	\$ 200,000.00
Control:	90	Contract:				\$ 0.00
Resp:	403	County	\$ 0.00			\$ 0.00
Object:	00004	Federal	\$ 0.00			\$ 0.00
Transaction:		State	\$ 0.00			\$ 0.00
Project #:	90403	Capital	\$ 200,000.00			\$ 0.00
Detail:	000	Other	\$ 0.00			\$ 0.00
		TOTAL	\$ 200,000.00		TOTAL	\$ 200,000.00

RENEWAL	
% Increase	
% Decrease	



Nassau County Interim Finance Authority

Contract Approval Request Form (As of January 1, 2015)

1. Vendor: Bancker Construction Corp.

2. Dollar amount requiring NIFA approval: \$200000

Amount to be encumbered: \$200000

This is a Amendment

If new contract - \$ amount should be full amount of contract

If advisement – NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: expire 11/15/17

Has work or services on this contract commenced? Y _____

If yes, please explain: ongoing emergency water main work as necessary

4. Funding Source:

General Fund (GEN)	Grant Fund (GRT)	Federal % 0
X Capital Improvement Fund (CAP)		State % 0
Other		County % 0

Is the cash available for the full amount of the contract? N

If not, will it require a future borrowing? Y

Has the County Legislature approved the borrowing? Y

Has NIFA approved the borrowing for this contract? N

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

This Requirements Contract provides for the emergency and routine repair, replacement and upgrade of County owned water mains and appurtenances that are beyond in-house capabilities. These facilities are required for the supply of both potable water and fire protection at County owned facilities and buildings. A large unanticipated water main offset associated with the Wheatley Road Drainage Project, another offset associated with the Middle Neck Road Drainage project, combined with a number of emergency breaks and necessary repairs at other County Facilities has caused a rise in costs associated with this contract that are above the initial spending cap. Additional work is required at a number of County facilities including mandatory testing of hydrants, the repair and relocation of hydrants and replacement of meters of meters.

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

Contract ID	Date	Amount

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

RDALLEVA

14-JUL-17

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

B05-17

RULES RESOLUTION NO -2017

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO EXECUTE A CONTRACT AMENDMENT BETWEEN THE COUNTY OF NASSAU, ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND BANCKER CONSTRUCTION CORP.

WHEREAS, pursuant to County contract number S80030Z, for REQUIREMENTS CONTRACT-MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY, NY ("Contract") between the County and

BANCKER CONSTRUCTION CORP. ("Contractor"), the Contractor performed services as more particularly described in the contract documents a copy of which is on file with the Clerk of the Legislature; and

WHEREAS, the County and the Contractor desire to increase the maximum amount of the Contract by \$ 700,000 dollars, and to extend the term of the Contract an additional one (1) year;

WHEREAS the funding for this Contract amendment is from general and capital funds approved by the Nassau County Legislatures; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature based upon the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract amendment with the vendor.

George Maragos
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: Bancker Construction Corporation

CONTRACTOR ADDRESS: 218 Blydenburgh Road, Islandia, NY 11749

FEDERAL TAX ID #: 11-1876887

Instructions: Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☐ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in _____ [newspaper] on _____ [date]. The sealed bids were publicly opened on _____ [date]. _____ [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. X This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on November 15, 2012. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after an open competitive bid awarded to the lowest bidder. Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; OR:
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.
- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

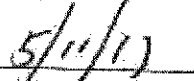
VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☒ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, Revenue Ruling No. 87-41; 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.


Department Head Signature


Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Compt. form Pers./Prof. Services Contracts: Rev. 03/16

Exhibit A



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

Nassau County Republican Committee

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Vendor: Bancker Construction Corp.

Dated: June 16, 2017

Signed:

Print Name: Phillip M. Beyer

Title: Exec. Vice President

Exhibit B



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

None

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. **See page 4 for a complete description of lobbying activities.**

None

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

None

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

None

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

None

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.


The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: June 16, 2017

Signed:

Print Name:

Title:


Bancker Construction Corp.
Phillip M. Beyer

Exec. Vice President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name MICHAEL S. BEYER
Date of birth 12/22/67
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 218 Blydenburgh Road
Islandia, NY 11749
City/state/zip [REDACTED]
Telephone (631) 582-8880
Other present address(es) [REDACTED]
City/state/zip [REDACTED]
Telephone [REDACTED]
List of other addresses and telephone numbers attached [REDACTED]
2. Positions held in submitting business and starting date of each (check all applicable)
President 2/10/14 Treasurer 2/10/14
Chairman of Board 1/1 Shareholder 11/9/92
Chief Exec. Officer 1/1 Secretary 1/1/98
Chief Financial Officer 1/1 Partner 1/1
Vice President 7/30/97 1/1
(Other) [REDACTED]
3. Do you have an equity interest in the business submitting the questionnaire?
YES X NO If Yes, provide details. Owner/Shareholder
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES NO X If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES X NO
If Yes, provide details.
BALTRAY ENTERPRISES, INC dba BANNER ELECTRIC

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐
If Yes, provide details.

SEE ATTACHED COA-2 FORM

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If "Yes", provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ☐ NO ☒ If Yes, provide details for each such conviction.

f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ☒ NO ☐ If Yes, provide details for each such occurrence.

NYS PSC Consent Order - Settlement regarding Code 753 non-compliance - See Attached

9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ☐ NO ☒ If Yes, provide details for each such investigation.

10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ☒ NO ☐ If Yes, provide details for each such investigation. See Attached.

11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ☐ NO ☒ If Yes, provide details for each such instance.

12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ☐ NO ☒ If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, MICHAEL S. BEYER, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 23 day of March 2017

Maureen Engel
Notary Public

MAUREEN ENGEL
Notary Public, State of New York
No. 01EN4942537
Qualified in Suffolk County
Commission Expires Sept. 26, 2018

BANKER CONSTRUCTION CORP

Name of submitting business

MICHAEL S. BEYER
Print name

[Signature]
Signature

PRESIDENT
Title

Title

3, 23, 17
Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name PHILLIP M. BEYER
Date of birth 4/10/80
Home address [REDACTED]
City/state/zip _____
Business address 218 Blydenburgh Road
Islandia, NY 11749
City/state/zip _____
Telephone (631) 502-8880
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President 1/1/11 Treasurer 1/1/11
Chairman of Board 1/1/11 Shareholder 12/01/11
Chief Exec. Officer 1/1/11 Secretary 2/16/14
Chief Financial Officer 1/1/11 Partner 1/1/11
Vice President 11/30/09 1/1/11
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES ☒ NO ☐ If Yes, provide details. Owner / Shareholder
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES ☐ NO ☒ If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES ☒ NO ☐ If Yes, provide details.

BALTRAY ENTERPRISES, INC dba BANNER ELECTRIC

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐
If Yes, provide details.

SEE ATTACHED "CCA-2 FORM

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If "Yes", provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ___ NO ☒ If Yes, provide details for each such conviction.

f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ☒ NO ___ If Yes, provide details for each such occurrence.

NYS PSC Consent Order - Settlement regarding Code 753 non-compliance - See Attached¹

9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ___ NO ☒ If Yes, provide details for each such investigation.

10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ☒ NO ___ If Yes, provide details for each such investigation. See Attached

11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ___ NO ☒ If Yes, provide details for each such instance.

12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ___ NO ☒ If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, PHILLIP M. BEYER, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 14 day of March 2017

Maureen Engel
Notary Public

MAUREEN ENGEL
Notary Public, State of New York
No. 01EN4942537
Qualified in Suffolk County
Commission Expires Sept. 26, 2018

BANKER CONSTRUCTION CORP
Name of submitting business
PHILLIP M. BEYER
Print name
mp
Signature
EXEC. VICE PRESIDENT
Title
3 1 14 1 19
Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name STEPHEN M. BEYER
Date of birth 4/11/52
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 218 Blydenburgh Road
Islandia, NY 11749
City/state/zip [REDACTED]
Telephone (831) 582-8880
Other present address(es) [REDACTED]
City/state/zip [REDACTED]
Telephone [REDACTED]
List of other addresses and telephone numbers attached [REDACTED]
2. Positions held in submitting business and starting date of each (check all applicable)
President 1/2/98 Treasurer 1/2/98
Chairman of Board 1/1/98 Shareholder 1/1/98
Chief Exec. Officer 2/10/94 Secretary 12/12/96
Chief Financial Officer 1/1/98 Partner 1/1/98
Vice President 12/16/93 1/1/98
(Other) [REDACTED]
3. Do you have an equity interest in the business submitting the questionnaire?
YES [REDACTED] NO X If Yes, provide details.
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES [REDACTED] NO X If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES X NO [REDACTED]
If Yes, provide details.

BALTRAY ENTERPRISES, INC. dba BANCKER ELECTRIC

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐
If Yes, provide details.

SEE ATTACHED CCA-2 FORM

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If "Yes", provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ☐ NO ☒ If Yes, provide details for each such conviction.

f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ☒ NO ☐ If Yes, provide details for each such occurrence.

NYS PSC Consent Order - Settlement regarding Code 753 non-compliance - See Attached

9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ☐ NO ☒ If Yes, provide details for each such investigation.

10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ☒ NO ☐ If Yes, provide details for each such investigation. See Attached

11. In the past 5 years, have you, or this business, or any other affiliated business listed in response to Question 5, had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ☐ NO ☒ If Yes, provide details for each such instance.

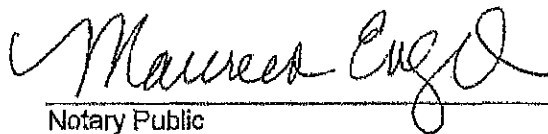
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ☐ NO ☒ If Yes, provide details for each such year.

CERTIFICATION

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I, STEPHEN M. BEYER, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 23 day of March 2017



Notary Public

MAUREEN ENGEL
Notary Public, State of New York
No. 01EN4842637
Qualified in Suffolk County
Commission Expires Sept. 26, 2018

BANKER CONSTRUCTION CORP

Name of submitting business

STEPHEN M. BEYER

Print name



Signature

C.E.O.

Title

Date

3 23, 17

Date

PRINCIPAL QUESTIONNAIRE FORM

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1. Principal Name Charles Madsen
Date of birth 4 / 13 / 53
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 218 Blydenburgh Road
City/state/zip Islandia, NY 11749
Telephone 631-582-0920
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President 10 / 1 / 2012 Treasurer _____
Chairman of Board _____ Shareholder 4 / 28 / 1976
Chief Exec. Officer _____ Secretary _____
Chief Financial Officer _____ Partner _____
Vice President 4 / 28 / 1976 _____
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES X NO _____ If Yes, provide details. Owner/Shareholder
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES _____ NO X If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES _____ NO X If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ____ NO X
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
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- a. Been debarred by any government agency from entering into contracts with that agency?
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 - b) Is there any misdemeanor charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
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e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ____ NO X If Yes, provide details for each such conviction.

f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES X NO ____ If Yes, provide details for each such occurrence.

NYS PSC Consent Order - Settlement regarding Code 753 non-compliance - See Attached

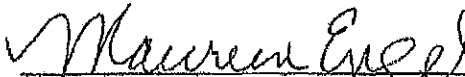
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO X If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES X NO ____ If Yes, provide details for each such investigation. See Attached
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO X If Yes; provide details for each such instance.
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CERTIFICATION


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I, Charles Madsen, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 28 day of March 2017


Notary Public **MAUREEN ENGEL**
Notary Public, State of New York
No. 01EN4942537
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Baltray Enterprises, Inc. dba Bancker Electric
Name of submitting business

Charles Madsen
Print name

Signature

President
Title
3 / 28 / 2017
Date

CONSENT ORDER APPROVAL

CASE: 16-G-0043 In the Matter of an Enforcement Proceeding
Against Bancker Construction Corp. for Alleged
Violations of 16 NYCRR Part 753 - Protection of
Underground Facilities, in the Service Territory of
KeySpan Gas East Corporation d/b/a National Grid.

Company Name: Bancker Construction Corp. (Bancker)

Principal Contact: Mr. Thomas D. Mastrocinque

Address: 218 Blydenburgh Road
Islandia, NY 11749

Alleged Code
Violations: 753-3.1(a)(1) and 753-3.6(a)

Description of
Violations: Failure to provide notice of intent to
excavate to the one-call notification
system, and failure to verify location
of marked facilities by means of hand-
dug test hole prior to using powered
machinery within the tolerance zone.

Date of Violations: November 19, 2014 and February 28, 2015

Damaged Facilities: ½-inch plastic natural gas service, and
2-inch natural gas service

Dates of NOFV: January 20, 2015 and July 10, 2015

Response: Letters dated January 9, 2015, February
17, 2015, and July 20, 2015

Proposed Penalty: \$2,500

Circumstances

In response to its failure to provide notice of intent
to excavate to the one-call notification system, Bancker stated
that its work was in an emergency situation to restore electric

service to a hospital, and that it had taken several actions and efforts to locate underground facilities that were in the spirit of the law. The situation meets the emergency definition as per 16 NYCRR §753-1.2(h); however, Bancker failed to notify the one-call notification system as soon as possible pursuant to 16 NYCRR §753-3.1(b).

Bancker acknowledged that it had not exposed the underground facility to view by means of hand dug test holes prior to using powered or mechanized equipment in the tolerance zone beyond the depth of pavement.

Bancker, however, has completed a New York 811 training course, committed to taking corrective action, and was cooperative throughout the investigation.

Mitigating Factors to Support a Reduced Penalty

1. Bancker Construction Corp. has committed to take remedial actions to prevent re-occurrence and has completed a training session given by New York 811.
2. Bancker Construction Corp. was cooperative throughout the investigation and enforcement proceeding.



OSHA English | Spanish

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Inspection Detail

Case Status: CLOSED

Inspection: 936394.015 - Bancker Construction Corp.

Inspection Information - Office: Bayside

Nr: 936394.015	Report ID: 0215600	Open Date:	08/13/2013		
Bancker Construction Corp.					
Jfk International Airport Terminal 8/Jfk Access Road		Union Status:	Union		
Jamaica, NY 11430					
SIC:					
NAICS: 237990/Other Heavy and Civil Engineering Construction					
Mailing: 218 Blydenburgh Road, Islandia, NY 11749					
Inspection Type:	Complaint	Advanced Notice:	N		
Scope:	Complete	Close Conference:	08/13/2013		
Ownership:	Private	Close Case:	08/14/2013		
Safety/Health:	Safety	Related Activity:	ID	Safety	
	Complaint		840134	Health	
				Yes	

Case Status: CLOSED

UNITED STATES
DEPARTMENT OF LABOR

Occupational Safety and Health Administration
200 Constitution Ave., NW,
Washington, DC 20210
☎ 800-321-6742 (OSHA)
TTY
www.OSHA.gov

FEDERAL GOVERNMENT

White House
Affordable Care Act
Disaster Recovery Assistance
USA.gov
Disability.gov
Plain Writing Act
Recovery Act
No Fear Act
U.S. Office of Special Counsel

OCCUPATIONAL SAFETY AND HEALTH

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Accessibility Statement

UNITED STATES
DEPARTMENT OF LABOR

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Inspection Detail

Case Status: CLOSED

Inspection: 1018420.015 - Baltray Enterprises, Inc.

Inspection Information - Office: Bayside

Nr: 1018420.015	Report ID: 0215600	Open Date:	01/15/2015	
Baltray Enterprises, Inc.		Union Status: Union		
111-69 Beach Channel Drive				
Rockaway Park, NY 11694				
SIC:				
NAICS: 237130/Power and Communication Line and Related Structures Construction				
Mailing: 218 Blydenburgh Rd. Po Box 970, Islandia, NY 11749				
Inspection Type:	Referral	Advanced Notice:	N	
Scope:	Partial	Close Conference:	01/15/2015	
Ownership:	Private	Close Case:	03/10/2015	
Safety/Health:	Safety	Related Activity:	Type	ID
			Referral	932335
			Safety	Health
			Yes	

Case Status: CLOSED

Violation Summary

	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations	1					1
Current Violations						
Initial Penalty	\$6,300					\$6,300
Current Penalty						
PTA Amount						

Violation Items

#	ID	Type	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent
Delayed 1	01001	Serious	19260021 B02	02/04/2015	02/17/2015	\$0	\$6,300	\$0		I - Informal Settlement

Accident Investigation Summary

Summary Nr: 69993.015 Event: 01/13/2015 Employee Installing Power Line Is Injured When Run Over By L

At 1:30 p.m. on January 13, 2015, Employee #1, employed by a construction general contractor, was working on a project to install a power transmission line. He was taking down an extension ladder when a multi-terrain loader, being used to backfill and compact the soil, struck him and ran over his feet. Emergency services were called, and Employee #1 was transported to the hospital. He was admitted and treated for fractures to both ankles.

Keywords: crushing, power lines, run over, loader, installing, ankle, construction, fracture, ladder, construction vehicle

Inspection	Degree	Nature	Occupation
1 1018420.015	Hospitalized Injury	Fracture	Electrical power installers and repairers

UNITED STATES
DEPARTMENT OF LABOR

Occupational Safety and Health Administration
200 Constitution Ave., NW,
Washington, DC 20210
☎ 800-321-6742 (OSHA)
TTY
www.OSHA.gov

[White House](#)
[Affordable Care Act](#)
[Disaster Recovery Assistance](#)
[USA.gov](#)
[Disability.gov](#)
[Plain Writing Act](#)
[Recovery Act](#)
[No Fear Act](#)
[U.S. Office of Special Counsel](#)

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UNITED STATES
DEPARTMENT OF LABOR

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Violation Detail

Standard Cited: 19260021 B02 *Safety training and education.*

This violation item has been deleted.

Violation Items

Nr:	1018420,015	Citation:	01001	Issuance:	02/04/2015	ReportingID:	0215600
Viol Type:	Serious	NrInstances:	1	Contest Date:			
Abatement Date:	02/17/2015	Nr Exposed:	1	Final Order:	03/04/2015		
Initial Penalty:	\$6,300.00	REC:		Emphasis:			
Current Penalty:		Gravity:	10	Haz Category:			

Penalty and Failure to Abate Event History

Type	Event	Date	Penalty	Abatement	Type	FTA Insp
Penalty	Z: Issued	02/04/2015	\$6,300.00	02/17/2015	Serious	
Penalty	I: Informal Settlement	03/04/2015	\$6,300.00	02/17/2015	Serious	

UNITED STATES
DEPARTMENT OF LABOR

Occupational Safety and Health Administration
200 Constitution Ave., NW,
Washington, DC 20210
☎ 800-321-6742 (OSHA)
TTY
www.OSHA.gov

FEDERAL GOVERNMENT

White House
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Disability.gov
Plain Writing Act
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BANCKER CONSTRUCTION CORP.

Nassau County DPW
Contract No. S51037-06G
Emergency Water Main Replace
Correctional Center

Principal Questionnaire Form
Response to Question # 10

Though OSHA apparently conducted two field reports during the time period in question, neither "report" led to the issuance and prosecution of a violation. In the case of the report apparently conducted at JFK, no violation was issued from it. The other investigation in Rockaway Beach arose out of an injury to a Bancker Electric employee. New OSHA rules (enacted only two weeks prior to the injury) required us to report the injury to OSHA. They then conducted an investigation and issued a "serious" violation. However, Phillip M. Beyer and our Director of Safety, Michael Quinn, met with OSHA's regional manager at their office and conducted an informal conference, at which Bancker was given the opportunity to present information and documentation in support of our defense. As a result, OSHA withdrew the notice of violation. So neither of these OSHA reports resulted in a violation.

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

You have selected the For-Profit Construction questionnaire, commonly known as the "CCA-2," which may be printed and completed in this format or, for your convenience, may be completed online using the New York State VendRep System.

COMPLETION & CERTIFICATION

The person(s) completing the questionnaire must be knowledgeable about the vendor's business and operations. An owner or official must certify the questionnaire and the signature must be notarized.

NEW YORK STATE VENDOR IDENTIFICATION NUMBER (VENDOR ID)

The Vendor ID is a ten-digit identifier issued by New York State when the vendor is registered on the Statewide Vendor File. This number must now be included on the questionnaire. If the business entity has not obtained a Vendor ID, contact the OSC Help Desk at ciohelpdesk@osc.state.ny.us or call 866-370-4672.

DEFINITIONS

All underlined terms are defined in the "New York State Vendor Responsibility Definitions List," found at <http://www.osc.state.ny.us/vendrep/documents/questionnaire/definitions.pdf>. These terms may not have their ordinary, common or traditional meanings. Each vendor is strongly encouraged to read the respective definitions for any and all underlined terms. By submitting this questionnaire, the vendor agrees to be bound by the terms as defined in the "New York State Vendor Responsibility Definitions List" existing at the time of certification.

RESPONSES

Every question must be answered. Each response must provide all relevant information which can be obtained within the limits of the law. However, information regarding a determination or finding made in error which was subsequently corrected or overturned, and/or was withdrawn by the issuing government entity, is not required. Individuals and Sole Proprietors may use a Social Security Number but are encouraged to obtain and use a federal Employer Identification Number (EIN).

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

BUSINESS ENTITY INFORMATION				
<u>Legal Business Name</u> Bancker Construction Corp.		<u>EIN</u> 111876887		
Address of the <u>Principal Place of Business</u> (street, city, state, zip code) 218 Blydenburgh Road Islandia, NY 11749		<u>New York State Vendor Identification Number</u> 1000056421		
		Telephone (631) 582-8880 ext.	Fax (631) 582-3698	
		Website www.bancker.com		
Authorized Contact for this Questionnaire				
Name Stephen M. Beyer		Telephone (631) 582-8880 ext.	Fax (631) 582-3698	
Title Chief Executive Officer		Email sbeyer@bancker.com		
Additional <u>Business Entity</u> Identities: If applicable, list any other <u>DBA</u> , <u>Trade Name</u> , <u>Former Name</u> , Other Identity, or <u>EIN</u> used in the last five (5) years, the state or county where filed and the status (active or inactive).				
Type	Name	EIN	State or County where filed	Status

I. BUSINESS CHARACTERISTICS			
1.0 <u>Business Entity</u> Type -- Check appropriate box and provide additional information:			
a) <input checked="" type="checkbox"/> <u>Corporation</u> (including PC)	Date of Incorporation 11/25/1958		
b) <input type="checkbox"/> <u>Limited Liability Company</u> (LLC or PLLC)	Date Organized		
c) <input type="checkbox"/> <u>Limited Liability Partnership</u>	Date of Registration		
d) <input type="checkbox"/> <u>Limited Partnership</u>	Date Established		
e) <input type="checkbox"/> <u>General Partnership</u>	Date Established	County (if formed in NYS)	
f) <input type="checkbox"/> <u>Sole Proprietor</u>	How many years in business?		
g) <input type="checkbox"/> Other	Date Established		
If Other, explain:			
1.1 Was the <u>Business Entity</u> formed in New York State?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
If "No," indicate jurisdiction where the <u>Business Entity</u> was formed:			
<input type="checkbox"/> United States	State		
<input type="checkbox"/> Other	Country		

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

I. BUSINESS CHARACTERISTICS			
1.2 Is the <u>Legal Business Entity</u> publicly traded?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide the <u>CIK code</u> or Ticker Symbol:			
1.3 Is the <u>Business Entity</u> currently <u>registered to do business in New York State</u> ?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Note: Select "Not Required" if the Business Entity is a Sole Proprietor or General Partnership			<input type="checkbox"/> Not Required
If "No," explain why the <u>Business Entity</u> is not required to be <u>registered to do business in New York State</u> :			
1.4 Is the responding <u>Business Entity</u> a <u>Joint Venture</u> ? Note: If the submitting <u>Business Entity</u> is a <u>Joint Venture</u> , also submit a separate questionnaire for each <u>Business Entity</u> comprising the <u>Joint Venture</u> .			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
1.5 If the <u>Business Entity's Principal Place of Business</u> is not in New York State, does the <u>Business Entity</u> maintain an office in New York State? (Select "N/A" if <u>Principal Place of Business</u> is in New York State.)			<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> N/A
If "Yes," provide the address and telephone number for one office located in New York State.			
1.6 Is the Business Entity a New York State certified <u>Minority-Owned Business Enterprise</u> , or <u>Women-Owned Business Enterprise</u> , or <u>New York State Small Business</u> , or federally certified <u>Disadvantaged Business Enterprise</u> ?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," check all that apply:			
<input type="checkbox"/> New York State certified <u>Minority-Owned Business Enterprise</u> (MBE)			
<input type="checkbox"/> New York State certified <u>Women-Owned Business Enterprise</u> (WBE)			
<input type="checkbox"/> New York State <u>Small Business</u>			
<input type="checkbox"/> Federally certified <u>Disadvantaged Business Enterprise</u> (DBE)			
1.7 Identify each person or business entity that is, or has been within the past five (5) years, <u>Principal Owner</u> of 5.0% or more of the firm's shares; a <u>Business Entity Official</u> ; or one of the five largest shareholders, if applicable. (Attach additional pages if necessary.) <u>Joint Ventures</u> : Provide information for all firms involved.			
Name (For each person, include middle initial)	Title	Percentage of ownership (Enter 0%, if not applicable)	Employment status with the firm
Stephen M. Beyer	Chief Executive Officer	0	<input checked="" type="checkbox"/> Current <input type="checkbox"/> Former
Michael S. Beyer	President & Treasurer	50%	<input checked="" type="checkbox"/> Current <input type="checkbox"/> Former
Phillip M. Beyer	ExecVice President & Secretary	50%	<input checked="" type="checkbox"/> Current <input type="checkbox"/> Former
			<input type="checkbox"/> Current <input type="checkbox"/> Former

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

II. AFFILIATE and JOINT VENTURE RELATIONSHIPS		
2.0 Are there any other <u>construction-related</u> firms in which, now or in the past five years, the submitting <u>Business Entity</u> or any of the individuals or business entities listed in question 1.7 either owned or owns 5.0% or more of the shares of, or was or is one of the five largest shareholders or a director, officer, partner or proprietor of said other firm? <i>(Attach additional pages if necessary.)</i>		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Firm/Company Name	Firm/Company EIN (If available)	Firm/Company's Primary Business Activity
SEE ATTACHED LIST		
Firm/Company Address		
Explain relationship with the firm and indicate percent of ownership, if applicable (enter N/A, if not applicable):		
Are there any shareholders, directors, officers, owners, partners or proprietors that the submitting <u>Business Entity</u> has in common with this firm?		<input type="checkbox"/> Yes <input type="checkbox"/> No
Individual's Name <i>(Include middle initial)</i>	Position/Title with Firm/Company	
2.1 Does the <u>Business Entity</u> have any <u>construction-related affiliates</u> not identified in the response to question 2.0 above? <i>(Attach additional pages if necessary.)</i>		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Affiliate Name	Affiliate EIN (If available)	Affiliate's Primary Business Activity
Affiliate Address		
Explain relationship with the affiliate and indicate percent of ownership, if applicable (enter N/A, if not applicable):		
Are there any shareholders, directors, officers, owners, partners or proprietors that the submitting <u>Business Entity</u> has in common with this affiliate?		<input type="checkbox"/> Yes <input type="checkbox"/> No
Individual's Name <i>(Include middle initial)</i>	Position/Title with Firm/Company	
2.2 Has the <u>Business Entity</u> participated in any <u>construction-related Joint Ventures</u> within the past three (3) years? <i>(Attach additional pages if necessary.)</i>		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Joint Venture Name	Joint Venture EIN (If available)	Identify parties to the Joint Venture

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

III. CONTRACT HISTORY	
3.0 Has the <u>Business Entity</u> completed any <u>construction</u> contracts?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<i>If "Yes," list the ten most recent <u>construction</u> contracts the <u>Business Entity</u> has completed using Attachment A – Completed Construction Contracts, found at www.osc.state.ny.us/vendor/documents/questionnaire/ac3294s.doc. If less than ten, include most recent subcontracts on projects up to that number.</i>	
3.1 Does the <u>Business Entity</u> currently have uncompleted <u>construction</u> contracts?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<i>If "Yes," list all current uncompleted <u>construction</u> contracts by using Attachment B – Uncompleted Construction Contracts, found at www.osc.state.ny.us/vendor/documents/questionnaire/ac3295s.doc. Note: Ongoing projects must be included.</i>	

IV. INTEGRITY – CONTRACT BIDDING	
<i>Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:</i>	
4.0 Been <u>suspended</u> or <u>debarred</u> from any <u>government contracting process</u> or been <u>disqualified</u> on any <u>government procurement</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.1 Been subject to a denial or revocation of a government prequalification?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.2 Had any bid rejected by a <u>government entity</u> for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.3 Had a proposed subcontract rejected by a <u>government entity</u> for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.4 Had a low bid rejected on a <u>government contract</u> for failure to make <u>good faith efforts</u> on any <u>Minority-Owned Business Enterprise</u> , <u>Women-Owned Business Enterprise</u> or <u>Disadvantaged Business Enterprise</u> goal or <u>statutory affirmative action requirements</u> on a previously held contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.5 Agreed to a voluntary exclusion from bidding/contracting with a <u>government entity</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.6 Initiated a request to withdraw a bid submitted to a <u>government entity</u> or made any claim of an error on a bid submitted to a <u>government entity</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<i>For each "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u>, the <u>government entity</u> involved, project(s), relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.</i>	

V. INTEGRITY – CONTRACT AWARD	
<i>Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:</i>	
5.0 Defaulted on or been <u>suspended</u> , cancelled or <u>terminated for cause</u> on any contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5.1 Been subject to an <u>administrative proceeding</u> or civil action seeking specific performance or restitution (except any disputed work proceeding) in connection with any <u>government contract</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5.2 Entered into a formal monitoring agreement, consent decree or stipulation settlement as specified by, or agreed to with, any <u>government entity</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5.3 Had its surety called upon to complete any contract whether government or private sector?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5.4 Forfeited all or part of a standby letter of credit in connection with any <u>government contract</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

V. INTEGRITY – CONTRACT AWARD

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity/owners involved, project(s), contract number(s), relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

VI. CERTIFICATIONS/LICENSES

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

- | | |
|--|---|
| 6.0 Had a revocation or <u>suspension</u> of any business or professional permit and/or license? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 6.1 Had a denial, decertification, revocation or forfeiture of New York State certification of <u>Minority-Owned Business Enterprise</u> , <u>Women-Owned Business Enterprise</u> or a federal certification of <u>Disadvantaged Business Enterprise</u> status, for other than a change of ownership? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

VII. LEGAL PROCEEDINGS/GOVERNMENT INVESTIGATIONS

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

- | | |
|--|---|
| 7.0 Been the subject of a criminal <u>investigation</u> , whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or <u>federal</u> law? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 7.1 Been the subject of: | |
| (i.) An indictment, grant of immunity, <u>judgment</u> or conviction (including entering into a plea bargain) for conduct constituting a crime; or | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| (ii.) Any criminal <u>investigation</u> , felony indictment or conviction concerning the formation of, or any business association with, an allegedly false or fraudulent <u>Minority-Owned Business Enterprise</u> , <u>Women-Owned Business Enterprise</u> , or a <u>Disadvantaged Business Enterprise</u> ? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 7.2 Received any OSHA citation, which resulted in a final determination classified as <u>serious</u> or <u>willful</u> ? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 7.3 Had a <u>government entity</u> find a willful prevailing wage or supplemental payment violation? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 7.4 Had a New York State Labor Law violation deemed willful? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 7.5 Entered into a consent order with the New York State Department of Environmental Conservation, or a <u>federal</u> , state or local government enforcement determination involving a violation of <u>federal</u> , state or local environmental laws? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

VII. LEGAL PROCEEDINGS/GOVERNMENT INVESTIGATIONS

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

- | | |
|--|---|
| <p>7.6 Other than previously disclosed, been the subject of any <u>citations</u>, notices or violation orders; a pending administrative hearing, proceeding or determination of a violation of:</p> <ul style="list-style-type: none"> • <u>Federal</u>, state or local health laws, rules or regulations; • <u>Federal</u>, state or local environmental laws, rules or regulations; • Unemployment insurance or workers compensation coverage or <u>claim</u> requirements; • Any labor law or regulation, which was deemed willful; • Employee Retirement Income Security Act (ERISA); • <u>Federal</u>, state or local human rights laws; • <u>Federal</u>, state or local security laws? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
|--|---|

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

Note: Information regarding a determination or finding made in error, which was subsequently corrected or overturned, and/or was withdrawn by the issuing government entity, is not required.

VIII. LEADERSHIP INTEGRITY

If the Business Entity is a Joint Venture Entity, answer "N/A - Not Applicable" to questions in this section.

Within the past five (5) years has any individual previously identified or any individual currently or formerly having the authority to sign, execute or approve bids, proposals, contracts or supporting documentation on behalf of the Business Entity with any government entity been:

- | | |
|---|---|
| <p>8.0 <u>Sanctioned</u> relative to any business or professional permit and/or license?</p> | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<input type="checkbox"/> N/A |
| <p>8.1 <u>Suspended, debarred or disqualified</u> from any <u>government contracting process</u>?</p> | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<input type="checkbox"/> N/A |
| <p>8.2 The subject of a criminal <u>investigation</u>, whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or <u>federal</u> law?</p> | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<input type="checkbox"/> N/A |
| <p>8.3 Charged with a misdemeanor or felony, indicted, granted immunity, convicted of a crime or subject to a judgment for:</p> <p style="padding-left: 20px;">(i.) Any business-related activity, including but not limited to fraud, coercion, extortion, bribe or bribe-receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering, mail fraud, wire fraud, price-fixing or collusive bidding; or</p> <p style="padding-left: 20px;">(ii.) Any crime, whether or not business-related, the underlying conduct of which related to truthfulness, including but not limited to the filing of false documents or false sworn statements, perjury or larceny</p> | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<input type="checkbox"/> N/A |

For each "Yes," provide an explanation of the issue(s), the individual involved, the relationship to the submitting Business Entity, the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

IX. FINANCIAL AND ORGANIZATIONAL CAPACITY		
9.0 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> received any <u>formal unsatisfactory performance assessment(s)</u> from any <u>government entity</u> on any contract?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> , the <u>government entity</u> involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.		
9.1 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> had any <u>liquidated damages</u> assessed over \$25,000?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> , relevant dates, the contracting party involved, the amount assessed and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.		
9.2 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> had any <u>liens, claims or judgments</u> over \$25,000 filed against the <u>Business Entity</u> which remain undischarged or were unsatisfied for more than 90 days? (Note: Including but not limited to tax warrants or liens. Do not include UCC filings.)		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> , relevant dates, the Lien holder or Claimants' name(s), the amount of the <u>lien(s)</u> and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.		
9.3 In the last seven (7) years, has the <u>Business Entity</u> or any <u>affiliate</u> initiated or been the subject of any bankruptcy proceedings, whether or not closed, or is any bankruptcy proceeding pending?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> , the bankruptcy chapter number, the court name and the docket number. Indicate the current status of the proceedings as "Initiated," "Pending" or "Closed." Provide answer below or attach additional sheets with numbered responses.		
9.4 What is the <u>Business Entity's</u> Bonding Capacity?		
a. Single Project \$15,000,000.00		b. Aggregate (All Projects) \$50,000,000.00
9.5 List <u>Business Entity's</u> Gross Sales for the previous three (3) Fiscal Years:		
1st Year (Indicate year) 2015 Gross Sales \$59,394,303.00	2nd Year (Indicate year) 2014 Gross Sales \$46,354,819.00	3rd Year (Indicate year) 2013 Gross Sales 42,562,943.00
9.6 List <u>Business Entity's</u> Average Backlog for the previous three (3) fiscal years: (Estimated total value of uncompleted work on outstanding contracts)		
1st Year (Indicate year) 2015 Amount \$28,000,000.00	2nd Year (Indicate year) 2014 Amount \$29,000,000.00	3rd Year (Indicate year) 2013 Amount \$27,000,000.00
9.7 Attach <u>Business Entity's</u> most recent annual financial statement and accompanying notes or complete Attachment C— Financial Information, found at www.osc.state.ny.us/vendrep/documents/questionnaire/ac3296s.xls . (This information must be attached.)		

**NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE
FOR-PROFIT CONSTRUCTION (CCA-2)**

X. FREEDOM OF INFORMATION LAW (FOIL)	
10.0 Indicate whether any information provided herein is believed to be exempt from disclosure under the Freedom of Information Law (FOIL). <i>Note: A determination of whether such information is exempt from FOIL will be made at the time of any request for disclosure under FOIL. Attach additional pages if necessary.</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<i>If "Yes," indicate the question number(s) and explain the basis for the claim.</i>	

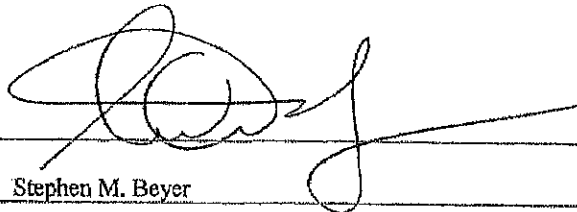
**NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE
FOR-PROFIT CONSTRUCTION (CCA-2)****Certification**

The undersigned: (1) recognizes that this questionnaire is submitted for the express purpose of assisting New York State government entities (including the Office of the State Comptroller (OSC)) in making responsibility determinations regarding award or approval of a contract or subcontract and that such government entities will rely on information disclosed in the questionnaire in making responsibility determinations; (2) acknowledges that the New York State government entities and OSC may, in their discretion, by means which they may choose, verify the truth and accuracy of all statements made herein; and (3) acknowledges that intentional submission of false or misleading information may result in criminal penalties under State and/or Federal Law, as well as a finding of non-responsibility, contract suspension or contract termination.

The undersigned certifies that he/she:

- is knowledgeable about the submitting Business Entity's business and operations;
- has read and understands all of the questions contained in the questionnaire;
- has not altered the content of the questionnaire in any manner;
- has reviewed and/or supplied full and complete responses to each question;
- to the best of his/her knowledge, information and belief, confirms that the Business Entity's responses are true, accurate and complete, including all attachments, if applicable;
- understands that New York State government entities will rely on the information disclosed in the questionnaire when entering into a contract with the Business Entity; and
- is under an obligation to update the information provided herein to include any material changes to the Business Entity's responses at the time of bid/proposal submission through the contract award notification, and may be required to update the information at the request of the New York State government entities or OSC prior to the award and/or approval of a contract, or during the term of the contract.

Signature of Owner/Official



Printed Name of Signatory

Stephen M. Beyer

Title

Chief Executive Officer

Name of Business

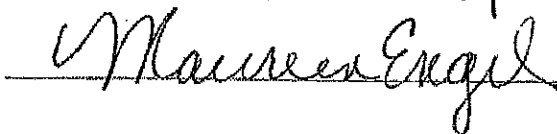
Bancker Construction Corp.

Address

218 Blydenburgh Road

City, State, Zip

Islandia, NY 11749

Sworn to before me this 15 day of April, 2016

Notary Public

MAUREEN ENGEL
Notary Public, State of New York
No. 01EN4942537
Qualified in Suffolk County
Commission Expires Sept. 26, 2018

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT A - COMPLETED CONSTRUCTION CONTRACTS

Vendor Name: **BANCKER CONSTRUCTION CORP.**NYS Vendor ID: **1000056421**

Question 3.0: List the ten most recent construction contracts the Business Entity has completed. If less than ten, include most recent subcontracts on projects up to that number:					
Agency/Owner	Award Date	Amount	Date Completed		
1. Inc. Village of Freeport - Water Main Replacement - S. Ocean Ave.	2/3/2015	\$443,871.00	1/4/2016		
Contact Person Robert R. Fisman, P.E. Superintendent of DPW	Designer Architect and /or Design Engineer Village of Freeport Engineering Department				
Contract No.	Telephone No. (516) 377-2233				
Prime or Sub Prime	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable			
2. Agency/Owner Citintha Construction Corp. - PS170K	Award Date 5/1/2014	Amount \$800,000.00	Date Completed 1/4/2016		
Contact Person Ray Sejeski	Designer Architect and /or Design Engineer NYC School Construction Authority				
Contract No. C000913105	Telephone No. (631) 563-1110				
Prime or Sub Sub	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable			
3. Agency/Owner W.A. Chester, LLC - Cable Repair - New York Power Authority	Award Date 2/1/2015	Amount \$250,000.00	Date Completed 6/1/2015		
Contact Person Frank Musick, Sr. Vice President	Designer Architect and /or Design Engineer				
Contract No.	Telephone No. (240) 487-1958				
Prime or Sub Sub	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable			
4. Agency/Owner Skanska USA Civil Northeast, Inc. - Utilities - Columbia Manhattanville	Award Date 1/1/2015	Amount \$700,000.00	Date Completed 1/1/2016		
Contact Person Alper Ayar	Designer Architect and /or Design Engineer Columbia University				
Contract No.	Telephone No. (917) 709-4724				
Prime or Sub Sub	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable			
5. Agency/Owner National Grid - Water Main - Greepoint, Brooklyn	Award Date 7/1/2014	Amount \$2,800,000.00	Date Completed 11/1/2015		
Contact Person Ricardo Garcia, Senior Supervisor	Designer Architect and /or Design Engineer Leonard J. Strandberg & Associates PC				
Contract No.	Telephone No. (718) 963-5550				
Prime or Sub Prime	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable			

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT A – COMPLETED CONSTRUCTION CONTRACTS

Vendor Name: **BANCKER CONSTRUCTION CORP.**NYS Vendor ID: **1000056421**

Question 3.0: List the ten most recent construction contracts the Business Entity has completed. If less than ten, include most recent subcontracts on projects up to that number:					
Agency/Owner	Telephone No.	Design Architect and /or Design Engineer	Award Date	Amount	Date Completed
6. Agency/Owner ExxonMobil/Roux Associates - Sewer Alterations	(631) 232-2600	Roux Associates, Inc.	2/1/2015	\$170,000.00	11/1/2015
Contact Person Christopher Proce, Principal Hydrogeologist					
Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable
7. Agency/Owner PSEG - Concrete & Piles			Award Date 10/1/2014	Amount \$1,050,000.00	Date Completed 5/1/2015
Contact Person Mark Kulick, Senior Procurement Analyst	(516) 949-8585	Designer Architect and /or Design Engineer Owner			
Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable
8. Agency/Owner Water Authority of Great Neck North - Kings Point Road Water Mains			Award Date 11/1/2014	Amount \$3,300,000.00	Date Completed 12/1/2015
Contact Person Gregory C. Graziano, Superintendent	(516) 487-7973	Designer Architect and /or Design Engineer Dvirka & Bartilucci Consulting Engineers			
Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable
9. Agency/Owner Roslyn Water District - Water Main - Tara Drive			Award Date 1/1/2014	Amount \$700,000.00	Date Completed 3/1/2015
Contact Person Robert J. Lucas, P.E.	(631) 756-8000	Designer Architect and /or Design Engineer H2M Architects + Engineers			
Contract No. RLWD 13-07	Prime or Sub Prime	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable
10. Agency/Owner National Grid - Southampton Gas Mains			Award Date 9/1/2014	Amount \$3,350,000.00	Date Completed 5/1/2015
Contact Person Richard Swedborg	(516) 319-5183	Designer Architect and /or Design Engineer Owner			
Contract No. N/A	Prime or Sub Prime	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name: **BANKER CONSTRUCTION CORP.**
NYS Vendor ID: **1000056421**

Question 3.1: List all current uncompleted construction contracts:

1.		Agency/Owner Verizon - Master Contract - Long Island	Telephone No. (718) 977-8110	Designer Architect and /or Design Engineer Owner	Award Date 6/1/2011	Completion Date 7/1/2016
Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable		Amount Sublet to others \$0.00	Uncompleted Amount \$4,650,000.00	
2.		Agency/Owner New York American Water - Main Laying	Telephone No. (516) 596-4818	Designer Architect and /or Design Engineer Owner	Award Date 1/1/2012	Completion Date 1/1/2017
Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable		Amount Sublet to others \$0.00	Uncompleted Amount \$8,300,000.00	
3.		Agency/Owner Ruffura & Sons Construction Co., Inc. - Water Main - Fresh Direct	Telephone No. (631) 454-0291	Designer Architect and /or Design Engineer Langdon Engineering	Award Date 1/25/2015	Completion Date 10/1/2015
Contract No.	Prime or Sub Sub	Joint Venture (JV) Name, if applicable		Amount Sublet to others \$0.00	Uncompleted Amount \$300,000.00	
4.		Agency/Owner PSEG - Arverne Piles & Concrete	Telephone No. (516) 949-8351	Designer Architect and /or Design Engineer Owner	Award Date 2/1/2015	Completion Date 4/30/2015
Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable		Amount Sublet to others \$0.00	Uncompleted Amount \$150,000.00	
		Total Contract Amount \$26,000,000.00	Amount Sublet to others \$0.00		Uncompleted Amount \$4,650,000.00	
		Total Contract Amount \$19,000,000.00	Amount Sublet to others \$0.00		Uncompleted Amount \$8,300,000.00	
		Total Contract Amount \$1,000,000.00	Amount Sublet to others \$0.00		Uncompleted Amount \$300,000.00	
		Total Contract Amount \$800,000.00	Amount Sublet to others \$150,000.00		Uncompleted Amount \$300,000.00	

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name: **BANKER CONSTRUCTION CORP.**NYS Vendor ID: **1000056421****Question 3.1: List all current uncompleted construction contracts:**

5.		Agency/Owner Ina. Village of Garden City - Water Main - Magnolia Ave., Carteret Pl. & 4 th St.		Award Date 11/1/2015		Completion Date 7/1/2016	
Contract Person Robert J. Mangano, P.E., Director of Public Works		Telephone No. (516) 465-4004		Designer Architect and/or Design Engineer Owner			
Contract No.		Prime or Sub Prime		Joint Venture (JV) Name, if applicable		EIN of JV, if applicable	
		Total Contract Amount \$1,700,000.00		Amount Sublet to others \$0.00		Uncompleted Amount \$950,000.00	
6.		Agency/Owner Lend Lease (US) Construction LMB, Inc. - Southside Hosp.-Site Excavation & Conc.		Award Date 6/1/2015		Completion Date 5/1/2016	
Contract Person Robert O'Donohue, Sr. Project Manager		Telephone No. (718) 470-4160		Designer Architect and/or Design Engineer Stimney B. Bowne & Son LLP			
Contract No.		Prime or Sub Sub		Joint Venture (JV) Name, if applicable		EIN of JV, if applicable	
		Total Contract Amount \$1,710,000.00		Amount Sublet to others \$0.00		Uncompleted Amount \$335,000.00	
7.		Agency/Owner Turner Construction Company - PS 332Q		Award Date 10/14/2015		Completion Date 4/1/2017	
Contract Person Michael Williams, Project Manager		Telephone No. (212) 229-6000		Designer Architect and/or Design Engineer NYC School Construction Authority			
Contract No. C000013981		Prime or Sub Sub		Joint Venture (JV) Name, if applicable		EIN of JV, if applicable	
		Total Contract Amount \$1,715,000.00		Amount Sublet to others \$0.00		Uncompleted Amount \$1,715,000.00	
8.		Agency/Owner T.A. Ahern Contractors Corp. - Site Utilities - PS92Q		Award Date 1/30/2015		Completion Date 4/1/2016	
Contract Person James Maritano		Telephone No. (718) 639-5880		Designer Architect and/or Design Engineer NYC School Construction Authority			
Contract No.		Prime or Sub Sub		Joint Venture (JV) Name, if applicable		EIN of JV, if applicable	
		Total Contract Amount \$875,000.00		Amount Sublet to others \$94,000.00		Uncompleted Amount \$590,000.00	

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT B – UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name: **BANCKER CONSTRUCTION CORP.**NYS Vendor ID: **1000056421****Question 3.1: List all current uncompleted construction contracts:**

9.	Agency/Owner Nassau County Dept. of Public Works - Bay Park Decolorization Vault	Contact Person Scott Urban	Telephone No. (516) 571-6840	Designer Architect and /or Design Engineer Owner	Award Date 1/1/2016	Completion Date 10/1/2016
	Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable	ETN of JV, if applicable		
			Total Contract Amount \$500,000.00	Amount Sublet to others \$0.00	Uncompleted Amount \$500,000.00	
10.	Agency/Owner Village of Saltair - Water Main Replacement - Broadway	Contact Person Mario Possillico	Telephone No. (631) 583-5566	Designer Architect and /or Design Engineer Owner	Award Date 1/1/2016	Completion Date 6/1/2016
	Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable	ETN of JV, if applicable		
			Total Contract Amount \$580,000.00	Amount Sublet to others \$0.00	Uncompleted Amount \$580,000.00	
Grand Total All Uncompleted Contracts						\$18,420,000.00

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

BUSINESS ENTITY INFORMATION				
<u>Legal Business Name*</u> Baltray Enterprises, Inc. dba Bancker Electric			<u>EIN (Enter 9 digits, without hyphen)</u> 112388666	
<u>Address of the Principal Place of Business</u> 218 Blydenburgh Road Islandia, NY 11749			<u>New York State Vendor Identification Number</u> 1100037599	
			<u>Telephone</u> (631) 582-0920 ext.	<u>Fax</u> (631) 582-3698
<u>E-mail</u> sbeyer@bancker.com		<u>Website</u> www.bancker.com		
<u>Authorized Contact for this Questionnaire</u>				
<u>Name</u> Stephen M. Beyer			<u>Telephone</u> (631) 582-0920 ext.	<u>Fax</u> (631) 582-3698
<u>Title</u> Treasurer			<u>Email</u> sbeyer@bancker.com	
<u>Additional Business Entity Identities:</u> If applicable, list any other <u>DBA</u> , <u>Trade Name</u> , <u>Former Name</u> , <u>Other Identity</u> , or <u>EIN</u> used in the last five (5) years, the state or county where filed and the status (active or inactive).				
<u>Type</u>	<u>Name</u>	<u>EIN</u>	<u>State or County where filed</u>	<u>Status</u>
DBA	Bancker Electric	112388666	New York	Active

I. BUSINESS CHARACTERISTICS			
1.0 <u>Business Entity Type</u> – Check appropriate box and provide additional information:			
a) <input checked="" type="checkbox"/> <u>Corporation</u> (including PC)	<u>Date of Incorporation</u>	4/28/1976	
b) <input type="checkbox"/> <u>Limited Liability Company</u> (LLC or PLLC)	<u>Date Organized</u>		
c) <input type="checkbox"/> <u>Limited Liability Partnership</u>	<u>Date of Registration</u>		
d) <input type="checkbox"/> <u>Limited Partnership</u>	<u>Date Established</u>		
e) <input type="checkbox"/> <u>General Partnership</u>	<u>Date Established</u>	<u>County (if formed in NYS)</u>	
f) <input type="checkbox"/> <u>Sole Proprietor</u>	<u>How many years in business?</u>		

* All underlined terms are defined in the "New York State Vendor Responsibility Definitions List," which can be found at <http://www.osc.state.ny.us/vendrep/documents/definitions.pdf>.

Note: THESE TERMS MAY NOT HAVE THEIR ORDINARY, COMMON OR TRADITIONAL MEANINGS. Each vendor is strongly encouraged to read the respective definitions for any and all underlined terms. BY SUBMITTING THIS QUESTIONNAIRE, THE VENDOR AGREES TO BE BOUND BY THE TERMS AS DEFINED IN THE "NEW YORK STATE VENDOR RESPONSIBILITY DEFINITIONS LIST" as it existed at the time of certification.

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

I. BUSINESS CHARACTERISTICS			
g) <input type="checkbox"/> Other		Date Established	
If Other, explain:			
1.1 Was the <u>Business Entity</u> formed in New York State?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
If "No" indicate jurisdiction where the <u>Business Entity</u> was formed:			
<input type="checkbox"/> United States	State		
<input type="checkbox"/> Other	Country		
1.2 Is the <u>Business Entity</u> currently <u>registered to do business in New York State</u> ?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Note: Select "Not Required" if the <u>Business Entity</u> is a Sole Proprietor or General Partnership			<input type="checkbox"/> Not Required
If "No," explain why the <u>Business Entity</u> is not required to be <u>registered to do business in New York State</u> :			
1.3 Is the responding <u>Business Entity</u> a <u>Joint Venture</u> ? Note: If the submitting <u>Business Entity</u> is a <u>Joint Venture</u> , also submit a separate questionnaire for each <u>Business Entity</u> comprising the <u>Joint Venture</u> .			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
1.4 If the <u>Business Entity's</u> <u>Principal Place of Business</u> is not in New York State, does the <u>Business Entity</u> maintain an office in New York State? (Select "N/A" if <u>Principal Place of Business</u> is in New York State.)			<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> N/A
If "Yes," provide the address and telephone number for one office located in New York State.			
1.5 Is the <u>Business Entity</u> a New York State certified <u>Minority-Owned Business Enterprise</u> , or <u>Women-Owned Business Enterprise</u> , or <u>New York State Small Business</u> , or federally certified <u>Disadvantaged Business Enterprise</u> ?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," check all that apply:			
<input type="checkbox"/> New York State certified <u>Minority-Owned Business Enterprise</u> (MBE)			
<input type="checkbox"/> New York State certified <u>Women-Owned Business Enterprise</u> (WBE)			
<input type="checkbox"/> <u>New York State Small Business</u>			
<input type="checkbox"/> Federally certified <u>Disadvantaged Business Enterprise</u> (DBE)			
1.6 Identify each person who is, or has been within the past five (5) years, a <u>Business Entity Official</u> or <u>Principal Owner</u> of 5.0% or more of the firm's shares, or one of the five largest shareholders or a director, an officer, a partner or a proprietor. <u>Joint Ventures</u> : Provide information for all firms involved. (Attach additional pages if necessary.)			
Name	Title	Percentage Ownership (Enter 0% if not applicable)	Employment Status with the Firm
Charles Madsen	President	15%	<input checked="" type="checkbox"/> Current <input type="checkbox"/> Former
Stephen M. Beyer	Treasurer	35%	<input checked="" type="checkbox"/> Current <input type="checkbox"/> Former
Michael S. Beyer	Secretary	35%	<input checked="" type="checkbox"/> Current <input type="checkbox"/> Former
Phillip M. Beyer	Vice President	15%	<input checked="" type="checkbox"/> Current <input type="checkbox"/> Former

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

II. AFFILIATE and JOINT VENTURE RELATIONSHIPS		
2.0 Are there any other <u>construction</u> -related firms in which, now or in the past five years, the submitting <u>Business Entity</u> or any of the individuals listed in question 1.6 either owned or owns 5.0% or more of the shares of, or was or is one of the five largest shareholders or a director, officer, partner or proprietor of said other firm?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Firm/Company Name	Firm/Company EIN (If available)	Firm/Company's Primary Business Activity
SEE ATTACHED LIST		
Firm/Company Address		
Explain relationship with the firm and indicate percent ownership, if applicable (enter N/A, if not applicable):		
Are there any shareholders, directors, officers, owners, partners or proprietors that the submitting <u>Business Entity</u> has in common with this firm?		<input type="checkbox"/> Yes <input type="checkbox"/> No
Individual's Name	Position/Title with Firm/Company	
2.1 Does the <u>Business Entity</u> have any <u>construction</u> -related <u>affiliates</u> not identified in the response to 2.0 above?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Affiliate Name	Affiliate EIN (If available)	Affiliate's Primary Business Activity
Affiliate Address		
Explain relationship with the affiliate and indicate percent ownership, if applicable (enter N/A, if not applicable):		
Are there any shareholders, directors, officers, owners, partners or proprietors that the submitting Business Entity has in common with this affiliate?		<input type="checkbox"/> Yes <input type="checkbox"/> No
Individual's Name	Position/Title with Firm/Company	
2.2 Has the <u>Business Entity</u> participated in any <u>construction Joint Ventures</u> within the past three (3) years? Attach additional pages if necessary.		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Joint Venture Name	Joint Venture EIN (If available)	Identify parties to the Joint Venture

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

III. CONTRACT HISTORY

- 3.0 List the ten most recent construction contracts the Business Entity has completed using Attachment A – Completed Construction Contracts, found at http://www.osc.state.ny.us/vendrep/documents/questionnaire/attachment_a.doc If less than ten, include most recent subcontracts on projects up to that number.
- 3.1 List all current uncompleted construction contracts by using Attachment B – Uncompleted Construction Contracts, found at http://www.osc.state.ny.us/vendrep/documents/questionnaire/attachment_b.doc.

IV. INTEGRITY – CONTRACT BIDDING

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

- | | |
|--|---|
| 4.0 Been <u>suspended</u> or <u>debarred</u> from any <u>government contracting process</u> or been <u>disqualified</u> on any <u>government procurement</u> ? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 4.1 Been subject to a denial or revocation of a government prequalification? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 4.2 Had any bid rejected by a <u>government entity</u> for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 4.3 Had a proposed subcontract rejected by a <u>government entity</u> for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 4.4 Had a low bid rejected on a <u>government contract</u> for failure to make <u>good faith efforts</u> on any <u>Minority-Owned Business Enterprise</u> , <u>Women-Owned Business Enterprise</u> or <u>Disadvantaged Business Enterprise</u> goal or <u>statutory affirmative action requirements</u> on a previously held contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 4.5 Agreed to a voluntary exclusion from bidding/contracting with a <u>government entity</u> ? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 4.6 Initiated a request to withdraw a bid submitted to a <u>government entity</u> or made any claim of an error on a bid submitted to a <u>government entity</u> ? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity involved, project(s), relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

V. INTEGRITY -- CONTRACT AWARD

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

- | | |
|---|---|
| 5.0 Defaulted on or been <u>suspended</u> , cancelled or <u>terminated</u> for cause on any contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 5.1 Been subject to an <u>administrative proceeding</u> or civil action seeking specific performance or restitution (except any disputed work proceeding) or requiring the <u>Business Entity</u> to enter into a formal monitoring agreement in connection with any <u>government contract</u> ? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 5.2 Had its surety called upon to complete any contract whether government or private sector? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity/owners involved, project(s), contract number(s), relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

VI. CERTIFICATIONS/LICENSES	
<i>Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:</i>	
6.0 Had a revocation or <u>suspension</u> of any business or professional permit and/or license?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
6.1 Had a denial, decertification, revocation or forfeiture of New York State certification of <u>Minority-Owned Business Enterprise</u> , <u>Women-Owned Business Enterprise</u> or a federal certification of <u>Disadvantaged Business Enterprise</u> status, for other than a change of ownership?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<i>For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.</i>	

VII. LEGAL PROCEEDINGS	
<i>Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:</i>	
7.0 Been the subject of a criminal <u>investigation</u> , whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or <u>federal</u> law?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7.1 Been the subject of:	
(i.) An indictment, grant of immunity, <u>judgment</u> or conviction (including entering into a plea bargain) for conduct constituting a crime; or	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(ii.) Any criminal <u>investigation</u> , felony indictment or conviction concerning the formation of, or any business association with, an allegedly false or fraudulent <u>Minority-Owned Business Enterprise</u> , <u>Women-Owned Business Enterprise</u> , or a <u>Disadvantaged Business Enterprise</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7.2 Received any <u>OSHA</u> citation and Notification of Penalty containing a violation classified as <u>serious</u> or <u>willful</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7.3 Had a <u>government entity</u> find a willful prevailing wage or supplemental payment violation?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7.4 Had a New York State Labor Law violation deemed willful?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7.5 Entered into a consent order with the New York State Department of Environmental Conservation, or a <u>federal</u> , state or local government enforcement determination involving a violation of <u>federal</u> , state or local environmental laws?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7.6 Other than previously disclosed, been the subject of any <u>citations</u> , notices, violation orders, pending administrative hearings or proceedings or determinations of a violation of:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<ul style="list-style-type: none"> • <u>Federal</u>, state or local health laws, rules or regulations; • <u>Federal</u>, state or local environmental laws, rules or regulations; • Unemployment insurance or workers compensation coverage or <u>claim</u> requirements; • Any labor law or regulation, which was deemed willful; • Employee Retirement Income Security Act (ERISA); • <u>Federal</u>, state or local human rights laws; • <u>Federal</u>, state or local security laws? 	
<i>For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.</i>	

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

VIII. LEADERSHIP INTEGRITY

If the Business Entity is a Joint Venture Entity, answer "N/A - Not Applicable" to questions in this section.

Within the past five (5) years has any individual previously identified or any individual having the authority to sign, execute or approve bids, proposals, contracts or supporting documentation on behalf of the Business Entity with New York State been subject to:

8.0 A <u>sanction</u> imposed relative to any business or professional permit and/or license?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
8.1 A criminal <u>investigation</u> , whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or <u>federal</u> law?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
8.2 Misdemeanor or felony charge, indictment or conviction for: (i.) Any business-related activity including but not limited to fraud, coercion, extortion, bribe or bribe-receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering, mail fraud, wire fraud, price-fixing or collusive bidding; or (ii.) Any crime, whether or not business-related, the underlying conduct of which related to truthfulness, including but not limited to the filing of false documents or false sworn statements, perjury or larceny	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
8.3 A <u>debarment</u> from any <u>government contracting process</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
<p><i>For each "Yes," provide an explanation of the issue(s), the individual involved, the relationship to the submitting <u>Business Entity</u>, the <u>government entity</u> involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.</i></p>	

IX. FINANCIAL AND ORGANIZATIONAL CAPACITY

9.0 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> received any <u>formal unsatisfactory performance assessment(s)</u> from any <u>government entity</u> on any contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<p><i>If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u>, the <u>government entity</u> involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.</i></p>	
9.1 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> had any <u>liquidated damages</u> assessed over \$25,000?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<p><i>If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u>, relevant dates, the contracting party involved, the amount assessed and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.</i></p>	
9.2 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> had any <u>liens, claims or judgments</u> (not including UCC filings) over \$25,000 filed against the <u>Business Entity</u> which remain undischarged or were unsatisfied for more than 90 days?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<p><i>If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u>, relevant dates, the Lien holder or Claimants' name(s), the amount of the <u>lien(s)</u> and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.</i></p>	

**NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE
FOR-PROFIT CONSTRUCTION (CCA-2)**

IX. FINANCIAL AND ORGANIZATIONAL CAPACITY			
9.3 In the last seven (7) years, has the <u>Business Entity</u> or any <u>affiliate</u> initiated or been the subject of any bankruptcy proceedings, whether or not closed, or is any bankruptcy proceeding pending?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> , the bankruptcy chapter number, the court name and the docket number. Indicate the current status of the proceedings as "Initiated," "Pending" or "Closed." Provide answer below or attach additional sheets with numbered responses.			
9.4 What is the <u>Business Entity's</u> Bonding Capacity?			
a. Single Project \$15,000,000.00		b. Aggregate (All Projects) \$50,000,000.00	
9.5 List <u>Business Entity's</u> Gross Sales for the previous three (3) Fiscal Years:			
1st Year (Indicate year) 2014 Gross Sales \$15,040,422.00	2nd Year (Indicate year) 2013 Gross Sales \$11,294,126.00	3rd Year (Indicate year) 2012 Gross Sales \$11,561,509.00	
9.6 List <u>Business Entity's</u> Average Backlog for the previous three (3) fiscal years:		(Estimated total value of uncompleted work on outstanding contracts)	
1st Year (Indicate year) 2014 Amount \$10,800,000.00	2nd Year (Indicate year) 2013 Amount \$10,400,000.00	3rd Year (Indicate year) 2012 Amount \$8,200,000.00	
9.7 Attach <u>Business Entity's</u> annual financial statement and accompanying notes or complete Attachment C – Financial Information, found at http://www.osc.state.ny.us/vendrep/documents/questionnaire/attachment_c.xls .			
X. FREEDOM OF INFORMATION LAW (FOIL)			
10.0 Indicate whether any information provided herein is believed to be exempt from disclosure under the Freedom of Information Law (FOIL). <i>Note: A determination of whether such information is exempt from FOIL will be made at the time of any request for disclosure under FOIL. Attach additional pages if necessary.</i>			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Indicate the question number(s) and explain the basis for the claim.			

**NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE
FOR-PROFIT CONSTRUCTION (CCA-2)**

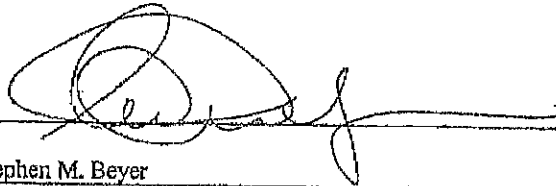
Certification

The undersigned: (1) recognizes that this questionnaire is submitted for the express purpose of assisting New York State contracting entities in making responsibility determinations regarding an award of a contract or approval of a subcontract; (2) recognizes that the Office of the State Comptroller (OSC) will rely on information disclosed in the questionnaire in making responsibility determinations and in approving a contract or subcontract; (3) acknowledges that the New York State contracting entities and OSC may, in their discretion, by means which they may choose, verify the truth and accuracy of all statements made herein; and (4) acknowledges that intentional submission of false or misleading information may constitute a misdemeanor or felony under New York State Penal Law, may be punishable by a fine and/or imprisonment under Federal Law, and may result in a finding of non-responsibility, contract suspension or contract termination.

The undersigned certifies that he/she:

- is knowledgeable about the submitting Business Entity's business and operations;
- has read and understands all of the questions contained in the questionnaire;
- has not altered the content of the questionnaire in any manner;
- has reviewed and/or supplied full and complete responses to each question;
- to the best of his/her knowledge, information and belief, confirms that the Business Entity's responses are true, accurate and complete, including all attachments, if applicable;
- understands that New York State will rely on the information disclosed in the questionnaire when entering into a contract with the Business Entity; and
- is under obligation to update the information provided herein to include any material changes to the Business Entity's responses at the time of bid/proposal submission through the contract award notification, and may be required to update the information at the request of the New York State contracting entities or OSC prior to the award and/or approval of a contract, or during the term of the contract.

Signature of Owner/Officer



Printed Name of Signatory

Stephen M. Beyer

Title

Treasurer

Name of Business

Baltray Enterprises, Inc. dba Bancker Electric

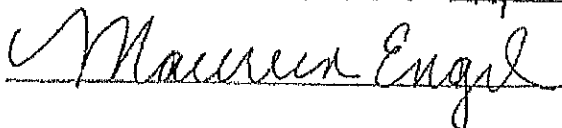
Address

218 Blydenburgh Road

City, State, Zip

Islandia, NY 11749

Sworn to before me this 9 day of April, 20 15



Notary Public

MAUREEN ENGEL
Notary Public, State of New York
No. 01EN4942637
Qualified in Suffolk County
Commission Expires Sept. 26, 20 18

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT A - COMPLETED CONSTRUCTION CONTRACTS

Vendor Name: Baltray Enterprises Inc. d/b/a Bancker Electric

NYS Vendor ID: 11-2388666

Question 3.0: List the ten most recent construction contracts the Business Entity has completed. If less than ten, include most recent subcontracts on projects up to that number:

1.	Agency/Owner Brentwood Union Free School District	Telephone No. (631) 219-0639	Designer Architect and /or Design Engineer Tetra Tech Architects & Engineers	Award Date 4/18/2013	Amount \$544,964.00	Date Completed 8/30/2013
	Contact Person Brad Cohen					
	Contract No. 0820412001	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable N/A
2.	Agency/Owner Inc. Village of Greenport - Mitchell Park Marina Electrical Upgrades	Telephone No. (631) 447-0248	Designer Architect and /or Design Engineer Owner	Award Date 3/25/2013	Amount \$288,786.00	Date Completed 9/1/2013
	Contact Person Dave Abatelli					
	Contract No. FRPTV11-01	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable N/A
3.	Agency/Owner Arcadis U.S., Inc. / Lockheed Martin Corp.	Telephone No. (315) 446-9120	Designer Architect and /or Design Engineer Owner	Award Date 3/14/2012	Amount \$481,310.00	Date Completed 8/15/2013
	Contact Person Christopher Engler					
	Contract No. Proposal	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable N/A
4.	Agency/Owner Inc. Village of Bellport - Electrical Improvements at Bellport Village Marina	Telephone No. (631) 286-0327	Designer Architect and /or Design Engineer Owner	Award Date 5/20/2013	Amount \$253,000.00	Date Completed 1/2/2015
	Contact Person Katie Meirkens					
	Contract No. 02-2013	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable N/A
5.	Agency/Owner SUNY College @ Old Westbury - Replacement of Generator @ Natural Science Bldg.	Telephone No. (516) 876-3194	Designer Architect and /or Design Engineer SUNY	Award Date 7/9/2013	Amount \$77,440.00	Date Completed 1/26/2014
	Contact Person Patrick Adams, Director of Purchasing					
	Contract No. T052413	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable N/A

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT A – COMPLETED CONSTRUCTION CONTRACTS

Vendor Name: Baltray Enterprises Inc. d/b/a Bancker Electric

NYS Vendor ID: 11-2388666

Question 3.0: List the ten most recent construction contracts the Business Entity has completed. If less than ten, include most recent subcontracts on projects up to that number:

6.	Agency/Owner New York Institute of Technology – Old Westbury – Electrical Service Maintenance & Upgrade	Telephone No. (516) 686-1181	Design Architect and /or Design Engineer Cosentini Associates – A Tetra Tech Company	Award Date 6/20/2014	Amount \$1,742,631.00	Date Completed 12/31/2014
	Contact Person George Klepacki, Project Manager	Joint Venture (JV) Name, if applicable N/A				
	Contract No. 141053	Prime or Sub Prime				
7.	Agency/Owner Three Village Central School District – Exterior Lighting	Telephone No. (631) 730-4000	Design Architect and /or Design Engineer John A. Grillo Architect P.C.	Award Date 3/26/2014	Amount \$163,000.00	Date Completed 10/30/2014
	Contact Person Jeffrey Carlson, Assist. Super. for Business Svcs,	Joint Venture (JV) Name, if applicable N/A				
	Contract No. 143380	Prime or Sub Prime				
8.	Agency/Owner Bethpage Water District	Telephone No. (516) 931-0093	Design Architect and /or Design Engineer H2M Architects + Engineers	Award Date 12/19/2013	Amount \$1,131,000.00	Date Completed 11/21/2014
	Contact Person Michael Bouffis, Superintendent	Joint Venture (JV) Name, if applicable N/A				
	Contract No. BPWD 12-05 - E	Prime or Sub Prime				
9.	Agency/Owner Western Suffolk BOCES	Telephone No. (631) 756-8000	Design Architect and /or Design Engineer H2M Architects + Engineers	Award Date 2/19/2014	Amount \$434,631.00	Date Completed 1/9/2015
	Contact Person Patricia Doherty, Project Coordinator	Joint Venture (JV) Name, if applicable N/A				
	Contract No. WBSO 12-06	Prime or Sub Prime				
10.	Agency/Owner National Grid/PSEG – Park Place Substation	Telephone No. (516) 903-7214	Design Architect and /or Design Engineer Owner	Award Date 3/13/2014	Amount \$155,000.00	Date Completed 4/17/2014
	Contact Person Mike Larva	Joint Venture (JV) Name, if applicable N/A				
	Contract No. PO #320094395	Prime or Sub Prime				

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT B – UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name: Baltray Enterprises Inc. d/b/a Bancker Electric

NYS Vendor ID: 11-2388666

Question 3.1: List all current uncompleted construction contracts:

Agency/Owner	Telephone No.	Design Architect and /or Design Engineer	Award Date	Completion Date
1. Agency/Owner Locust Valley Water District – Booster Station – Horse Hollow Rd.	(516) 671-1783	Sidney B. Bowne & Son	6/20/2014	
Contact Person Charles J. Savinelli, Jr., Superintendent				
Contract No. 1163159C(R) - C	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A		
	Total Contract Amount \$562,000.00	Amount Sublet to others \$0.00	Uncompleted Amount \$424,145.00	
2. Agency/Owner Eastport South Manor CSD			Award Date 6/30/2014	Completion Date
Contact Person Mark Nocero, Superintendent	Telephone No. (631) 288-3800	Designer Architect and /or Design Engineer Wiedersum Associates Architects, PLLC		
Contract No. Alt. to Various Schools #4-Electrical	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A		
	Total Contract Amount \$199,000.00	Amount Sublet to others \$0.00	Uncompleted Amount \$52,612.00	
3. Agency/Owner PSEG – Rockaway Beach Substation Electrical Construction Work			Award Date 9/4/2014	Completion Date
Contact Person Michael Occhipinti, Senior Buyer	Telephone No. (516) 545-4810	Designer Architect and /or Design Engineer Owner		
Contract No. RFP 43750	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A		
	Total Contract Amount \$478,000.00	Amount Sublet to others \$0.00	Uncompleted Amount \$407,000.00	
4. Agency/Owner Bellpage Water District – Annual Maintenance Contract			Award Date 9/30/2014	Completion Date
Contact Person Michael Boufis, Superintendent	Telephone No. (516) 931-0093	Designer Architect and /or Design Engineer H2M Architects + Engineers		
Contract No. BPWD 14-02	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A		
	Total Contract Amount \$44,894.00	Amount Sublet to others \$0.00	Uncompleted Amount \$29,956.00	

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT B – UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name: Baltray Enterprises Inc. d/b/a Bancker Electric

NYS Vendor ID: 11-2388666

Question 3.1: List all current uncompleted construction contracts:

5.	Agency/Owner Greenlawn Water District – New Well & Pump Station #18	Telephone No. (631) 261-0874	Designer Architect and /or Design Engineer H2M Architects + Engineers	Award Date 11/10/2014	Completion Date
	Contact Person Robert Santorciello, Superintendent	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A		
	Contract No. GLWD 13-02	Prime or Sub Prime	Total Contract Amount \$453,000.00	Amount Sublet to others \$0.00	Uncompleted Amount \$400,000.00
6.	Agency/Owner Greenlawn Water District – Electrical Maintenance & Emergency Services Contract	Telephone No. (631) 261-0874	Designer Architect and /or Design Engineer H2M Architects + Engineers	Award Date 10/18/2014	Completion Date
	Contact Person Robert Santorciello, Superintendent	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A		
	Contract No. GLWD 14-50	Prime or Sub Prime	Total Contract Amount \$161,802.00	Amount Sublet to others \$0.00	Uncompleted Amount \$118,806.00
7.	Agency/Owner National Grid – Holtville Gas Turbine Plant PEPSCO #7 & #9	Telephone No. (516) 545-6215	Designer Architect and /or Design Engineer Owner	Award Date 9/26/2014	Completion Date
	Contact Person John Christodoulou	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A		
	Contract No. PO #3200136063 & PO #3200136063	Prime or Sub Prime	Total Contract Amount \$33,250.00	Amount Sublet to others \$0.00	Uncompleted Amount \$16,630.00
8.	Agency/Owner Plainview Water District – Maintenance Contract	Telephone No. (516) 931-6469	Designer Architect and /or Design Engineer H2M Architects + Engineers	Award Date 3/13/2014	Completion Date
	Contact Person Richard Tobin, Superintendent	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A		
	Contract No. PLWD 13-10	Prime or Sub Prime	Total Contract Amount \$51,838.00	Amount Sublet to others \$0.00	Uncompleted Amount \$34,856.00

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT B – UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name: Baltray Enterprises Inc. d/b/a Baucker Electric

NYS Vendor ID: 11-2388666

Question 3.1: List all current uncompleted construction contracts:

9.		Agency/Owner PSEG-Long Island – OH Electrical Unit Price Contract		Award Date 1/2/2014		Completion Date 12/31/2016	
Contact Person Ronald Filosa	Telephone No. (516) 545-4061	Designer Architect and /or Design Engineer Owner					
Contract No. PO 631268	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A		EIN of JV, if applicable N/A			
		Total Contract Amount \$5,000,000.00	Amount Sublet to others \$0.00	Uncompleted Amount \$3,500,000.00			
10.		Agency/Owner PSEG-Long Island – UG Electrical Unit Price Contract		Award Date 4/22/2014		Completion Date 12/31/2016	
Contact Person Ronald Filosa	Telephone No. (516) 545-4061	Designer Architect and /or Design Engineer Owner					
Contract No. PO 631272	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A		EIN of JV, if applicable N/A			
		Total Contract Amount \$5,000,000.00	Amount Sublet to others \$0.00	Uncompleted Amount \$2,500,000.00			
				Grand Total All Uncompleted Contracts		\$7,484,005.00	

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

**NOTE: All questions require a response, even if response is "none" or "not-applicable."
No blanks.**

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: June 16, 2017

1) Proposer's Legal Name: Bancker Construction Corp.

2) Address of Place of Business: 218 Blydenburgh Road, Islandia, NY 11749

List all other business addresses used within last five years:

None

3) Mailing Address (if different): _____

Phone : (631) 582-8880

Does the business own or rent its facilities? Rent

4) Dun and Bradstreet number: 002411601

5) Federal I.D. Number: 11-1876887

6) The proposer is a (check one): Sole Proprietorship Partnership X
Corporation Other (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?

Yes X No If Yes, please provide details: An Affiliate, Bancker Electric

8) Does this business control one or more other businesses? Yes No X If Yes, please provide details: _____

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes X No ____ If Yes, provide details. Affiliates: Bancker Electric Bancker Environmental
- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ____ No X If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). _____
- 11) Has the proposer, during the past seven years, been declared bankrupt? Yes ____ No X If Yes, state date, court jurisdiction, amount of liabilities and amount of assets _____
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.
Yes ____ No X If Yes, provide details for each such investigation. _____
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ____ No X If Yes, provide details for each such investigation. _____
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
- a) Any felony charge pending? Yes ____ No X If Yes, provide details for each such charge. _____
- b) Any misdemeanor charge pending? Yes ____ No X If Yes, provide details for each such charge. _____
- c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes ____ No X

If Yes, provide details for each such conviction _____

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?
Yes ____ No X If Yes, provide details for each such conviction. _____

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? Yes X No ____ If Yes, provide details for each such occurrence. NYS PSC Consent order - Settlement regarding Code 753 non-compliance
(See Attached)

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? Yes ____ No X; If Yes, provide details for each such instance. _____

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? Yes ____ No X If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. _____

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. **NOTE: If no conflicts exist, please expressly state "No conflict exists."**

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No Conflict Exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No Conflict Exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No Conflict Exists

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

Should a potential conflict of interest arise, we will contact the County and be guided accordingly



BANCKER CONSTRUCTION CORP.

Nassau County DPW
Contract No. S80030Z

Business History Form
Questions #14(e)

Though OSHA apparently conducted two field reports during the time period in question, neither "report" led to the issuance and prosecution of a violation. In the case of the report apparently conducted at JFK, no violation was issued from it. The other investigation in Rockaway Beach arose out of an injury to a Bancker Electric employee. New OSHA rules (enacted only two weeks prior to the injury) required us to report the injury to OSHA. They then conducted an investigation and issued a "serious" violation. However, Phillip M. Beyer and our Director of Safety, Michael Quinn, met with OSHA's regional manager at their office and conducted an informal conference, at which Bancker was given the opportunity to present information and documentation in support of our defense. As a result, OSHA withdrew the notice of violation. So neither of these OSHA reports resulted in a violation.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified. SEE ATTACHMENT

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation;
 - ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner;
 - iii) Name, address and position of all officers and directors of the company;
 - iv) State of incorporation (if applicable);
 - v) The number of employees in the firm;
 - vi) Annual revenue of firm;
 - vii) Summary of relevant accomplishments
 - viii) Copies of all state and local licenses and permits.
- B. Indicate number of years in business. 58 Years
- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.
- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company SEE ATTACHED CCA-2 FORM _____

Contact Person _____

Address _____

City/State _____

Telephone _____

Fax # _____

E-Mail Address _____



BANCKER CONSTRUCTION CORP.

**Nassau County DPW
Attachments to Business History Form**

A. See Attached CCA-2 Form as well as Key Personnel List

i) Formed: 11/25/1958

ii) Persons having financial interest in the company:

Stephen M. Beyer CEO

Michael S. Beyer President/Treasurer

Phillip M. Beyer Exec. Vice President/
Secretary

iii) Officers - Same as Item (ii) above

iv) State of Incorporation: New York

v) Number of employees in firm: Approximately 200

vi) Annual revenue of firm Approximately \$45 million

vii) Summary of relevant accomplishments: over 55 years experience

viii) State and local licenses - N/A

B. Number of years in business: 58 Years

C. Previous successful bidder for Nassau County project, and current contractor



BANCKER CONSTRUCTION CORP.

**ORGANIZATIONAL CHART
KEY PERSONNEL**

STEPHEN M. BEYER
Chief Executive Officer

Experience: M.S. Environmental Engineering
Rensselaer Polytechnic Institute – Over 40 years
experience in multi-million dollar utility and heavy
construction.

MICHAEL S. BEYER
President & Treasurer

Experience: B.S. Business Administration Syracuse
University – Over 25 years experience in project
planning & execution, and administration of personnel
and equipment for multi-million-dollar utility & heavy
construction.

PHILLIP M. BEYER, Esq.
Exec. Vice President & Secretary

Experience: B.A. George Washington University; J.D.
Brooklyn Law School – over 10 years experience as in-
house counsel, focus of practice on construction and
commercial litigation; member of NY and NJ bars.

CHARLES MADSEN
Vice President & Chief of Field Operations

Experience: B.S. Construction Technology, SUNY
Farmingdale -Over 40 years experience in general and
utility construction, specializing in gravity sewer
systems, drainage pipeline, pipe jacking and horizontal
earth boring.

JOHN TIBERIA
General Manager

Experience: Over 25 years experience in general,
plumbing and utility construction.

TRACY BURGESS LEVY
Director of Business Development

Experience: B.A. Communications, New York
Institute of Technology Old Westbury – over 32 years
experience in media & communications, over 20 years
experience at gas & electric utility, extensive experience
with community outreach projects, city relations and
governmental affairs.

KEVIN TYBURSKI, CPA
Chief Financial Officer

Experience: B.S. Accounting, St. Joseph's College,
Patchogue, NY – Over 20 years experience in both
public and private accounting. Member of the
NYSSCPA & the AICPA

BEVERLY CHASINOV
Controller

Experience: Over 35 years experience in all aspects of
commercial construction accounting.

THOMAS D. MASTROCINQUE
Health & Safety Manager

Experience: Over 20 years experience in utility
construction. M.S. Education – SUNY Old Westbury
College. Safety certifications include but are not limited
to: OSHA 510, 40-Hr. Hazardous Waste Worker
Supervisor, Certified Safety Professional (CSP) and
Certified Utility Safety Professional (CUSP).

MICHAEL QUINN
Director of Safety

Experience:

Over 25 years experience as a safety and environmental
professional in the utility and heavy civil industry
including power generation, transmission and
distribution, wastewater treatment, and heavy highway.
Expertise in safety management systems, safety leadership
programs, OSHA training, auditing and safety
administration.

KEVIN C. KASZUBA, P.E.
Director of Water Operations & Chief Engineer

Experience: N.Y. State Professional Engineer – BE
(Civil) Manhattan College; MS (Civil) New York
Polytechnic Institute – Over 40 years experience in
utility construction.



BANCKER CONSTRUCTION CORP.

ORGANIZATIONAL CHART KEY PERSONNEL

CHARLES D. GIUDICE
Sr. Construction Manager

Experience: B.S. Long Island University - Over 40 years experience in utility construction. Responsible for procurement of permits and materials. Gas Safety Officer, Mechanical Project Supervisor & Manager for Environmental Rehabilitation Assist Projects. Hazwoper 40 certified, OSHA 30, OSHA 10, Confined Space, NYS Certified EMT.

REBECCA WINIK, R.A.
Project Coordinator

Experience: B.A. University of Pennsylvania; Master of Architecture I, Yale University - Over 5 years experience in architectural design, building construction, and construction management

LOUIS KARSHICK
Contract Manager, Utilities

Experience: Over 35 years experience in managing and administering contracts, data processing and assembly, and quantity resolution.

BRIAN THOMAN
Project Manager

Experience: B.S. Construction Management & B.S. Architectural Engineering, SUNY Farmingdale - Over 15 years experience in general and utility construction.

ANTHONY ROMANO
Project Manager

Experience: B.S. Architectural Engineering, SUNY Farmingdale - Over 10 years experience in commercial and general construction.

JOSEPH T. BRUCIA, JR.
Chief Construction Superintendent - Civil

Experience: Over 30 years experience in road and utility construction and maintenance.

WILLIAM CONE
Chief General Construction Superintendent - Utility

Experience: Over 20 years experience in general and utility construction.

GARRY HEINEMANN
General Construction Superintendent - Trenchless Installations

Experience: Over 30 years experience in utility construction, with a focus on directional drilling operations.

RAYMOND RUDDEN
Water Main Superintendent

Experience: Over 25 years experience in water main and utility construction.

ROSARIO PUGLISI
Superintendent

Experience: Over 25 years experience in shoring, deep excavation and utility construction.

PATRICK PATTERSON
Supervisor - Electric Utility Construction

Experience:

Over 27 years experience in the electric utility industry, managing multiple million dollar projects for both overhead and underground line construction. Extensive experience in transmission and substation renovation and maintenance.

HERBERT KOEHLER
Safety Training & Consultant

Experience: Over 32 years experience in utility construction and plumbing. Safety certifications and training includes but is not limited to: OSHA 500/502, OSHA 30, Certified Hoisting & Rigging Instructor - 5,000 Total Classroom Instructor Hours. HAZWOPER 40, LPS trainer.

WALTER W. BEHRENS
Technical Consultant

Experience: B.S. Construction Management Syracuse University - Over 40 years experience in engineering and utility facilities: electrical, gas, water, and telecommunications.



BANCKER CONSTRUCTION CORP.

ORGANIZATIONAL CHART
KEY PERSONNEL

MAUREEN ENGEL

Contracts Manager

Experience: Over 25 years experience in administration of multi-million dollar construction projects.

CAROLYN DURANT

Special Operations Manager

Experience: Over 20 years experience in administration of multi-million dollar construction projects.

Company _____

Contact Person _____

Address _____

City/State _____

Telephone _____

Fax # _____

E-Mail Address _____

Company _____

Contact Person _____

Address _____

City/State _____

Telephone _____

Fax # _____

E-Mail Address _____

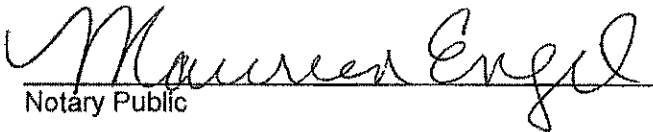
CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Phillip M. Beyer, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 16 day of June

2017



Notary Public

MAUREEN ENGEL
Notary Public, State of New York
No. 01EN4942537
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Name of submitting business: Bancker Construction Corp.

By: Phillip M. Beyer

Print name


Signature

Exec. Vice President

Title

6 / 16 / 2017
Date

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

BUSINESS ENTITY INFORMATION				
<u>Legal Business Name</u> Bancker Construction Corp.		<u>EIN</u> 111876887		
Address of the <u>Principal Place of Business</u> (street, city, state, zip code) 218 Blydenburgh Road Islandia, NY 11749		<u>New York State Vendor Identification Number</u> 1000056421		
		<u>Telephone</u> (631) 582-8880 ext.	<u>Fax</u> (631) 582-3698	
		<u>Website</u> www.bancker.com		
Authorized Contact for this Questionnaire				
<u>Name</u> Phillip M. Beyer		<u>Telephone</u> (631) 582-8880 ext.		<u>Fax</u> (631) 582-3698
<u>Title</u> Exec. Vice President		<u>Email</u> pbeyer@bancker.com		
Additional <u>Business Entity</u> Identities: If applicable, list any other <u>DBA</u> , <u>Trade Name</u> , <u>Former Name</u> , <u>Other Identity</u> , or <u>EIN</u> used in the last five (5) years, the state or county where filed and the status (active or inactive).				
Type	Name	EIN	State or County where filed	Status

I. BUSINESS CHARACTERISTICS			
1.0 <u>Business Entity</u> Type – Check appropriate box and provide additional information:			
a) <input checked="" type="checkbox"/> <u>Corporation</u> (including PC)	Date of Incorporation 11/25/1958		
b) <input type="checkbox"/> <u>Limited Liability Company</u> (LLC or PLLC)	Date Organized		
c) <input type="checkbox"/> <u>Limited Liability Partnership</u>	Date of Registration		
d) <input type="checkbox"/> <u>Limited Partnership</u>	Date Established		
e) <input type="checkbox"/> <u>General Partnership</u>	Date Established	County (if formed in NYS)	
f) <input type="checkbox"/> <u>Sole Proprietor</u>	How many years in business?		
g) <input type="checkbox"/> Other	Date Established		
If Other, explain:			
1.1 Was the <u>Business Entity</u> formed in New York State?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
If "No," indicate jurisdiction where the <u>Business Entity</u> was formed:			
<input type="checkbox"/> United States	State		
<input type="checkbox"/> Other	Country		

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

I. BUSINESS CHARACTERISTICS			
1.2 Is the <u>Legal Business Entity</u> publicly traded?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide the <u>CIK code</u> or Ticker Symbol:			
1.3 Is the <u>Business Entity</u> currently registered to do business in New York State? <i>Note: Select "Not Required" if the Business Entity is a Sole Proprietor or General Partnership</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required
If "No," explain why the <u>Business Entity</u> is not required to be registered to do business in New York State:			
1.4 Is the responding <u>Business Entity</u> a <u>Joint Venture</u> ? Note: If the submitting <u>Business Entity</u> is a <u>Joint Venture</u> , also submit a separate questionnaire for each <u>Business Entity</u> comprising the <u>Joint Venture</u> .			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
1.5 If the <u>Business Entity's Principal Place of Business</u> is not in New York State, does the <u>Business Entity</u> maintain an office in New York State? <i>(Select "N/A" if <u>Principal Place of Business</u> is in New York State.)</i>			<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> N/A
If "Yes," provide the address and telephone number for one office located in New York State.			
1.6 Is the Business Entity a New York State certified <u>Minority-Owned Business Enterprise</u> , or <u>Women-Owned Business Enterprise</u> , or <u>New York State Small Business</u> , or federally certified <u>Disadvantaged Business Enterprise</u> ?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," check all that apply: <input type="checkbox"/> New York State certified <u>Minority-Owned Business Enterprise</u> (MBE) <input type="checkbox"/> New York State certified <u>Women-Owned Business Enterprise</u> (WBE) <input type="checkbox"/> <u>New York State Small Business</u> <input type="checkbox"/> Federally certified <u>Disadvantaged Business Enterprise</u> (DBE)			
1.7 Identify each person or business entity that is, or has been within the past five (5) years, <u>Principal Owner</u> of 5.0% or more of the firm's shares; a Business Entity Official; or one of the five largest shareholders, if applicable. <i>(Attach additional pages if necessary.)</i> <u>Joint Ventures</u> : Provide information for all firms involved.			
Name <i>(For each person, include middle initial)</i>	Title	Percentage of ownership (Enter 0%, if not applicable)	Employment status with the firm
Stephen M. Beyer	Chief Executive Officer	0	<input checked="" type="checkbox"/> Current <input type="checkbox"/> Former
Michael S. Beyer	President & Treasurer	50%	<input checked="" type="checkbox"/> Current <input type="checkbox"/> Former
Phillip M. Beyer	Exec Vice President & Secretary	50%	<input checked="" type="checkbox"/> Current <input type="checkbox"/> Former
			<input type="checkbox"/> Current <input type="checkbox"/> Former

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

II. AFFILIATE and JOINT VENTURE RELATIONSHIPS		
2.0 Are there any other <u>construction</u> -related firms in which, now or in the past five years, the submitting <u>Business Entity</u> or any of the individuals or business entities listed in question 1.7 either owned or owns 5.0% or more of the shares of, or was or is one of the five largest shareholders or a director, officer, partner or proprietor of said other firm? <i>(Attach additional pages if necessary.)</i>		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Firm/Company Name	Firm/Company EIN (If available)	Firm/Company's Primary Business Activity
SEE ATTACHED LIST		
Firm/Company Address		
Explain relationship with the firm and indicate percent of ownership, if applicable (enter N/A, if not applicable):		
Are there any shareholders, directors, officers, owners, partners or proprietors that the submitting <u>Business Entity</u> has in common with this firm?		<input type="checkbox"/> Yes <input type="checkbox"/> No
Individual's Name <i>(Include middle initial)</i>	Position/Title with Firm/Company	
2.1 Does the <u>Business Entity</u> have any <u>construction</u> -related <u>affiliates</u> not identified in the response to question 2.0 above? <i>(Attach additional pages if necessary.)</i>		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Affiliate Name	Affiliate EIN (If available)	Affiliate's Primary Business Activity
Affiliate Address		
Explain relationship with the affiliate and indicate percent of ownership, if applicable (enter N/A, if not applicable):		
Are there any shareholders, directors, officers, owners, partners or proprietors that the submitting Business Entity has in common with this affiliate?		<input type="checkbox"/> Yes <input type="checkbox"/> No
Individual's Name <i>(Include middle initial)</i>	Position/Title with Firm/Company	
2.2 Has the <u>Business Entity</u> participated in any <u>construction</u> -related <u>Joint Ventures</u> within the past three (3) years? <i>(Attach additional pages if necessary.)</i>		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Joint Venture Name	Joint Venture EIN (If available)	Identify parties to the Joint Venture

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

III. CONTRACT HISTORY	
3.0 Has the <u>Business Entity</u> completed any <u>construction</u> contracts?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<i>If "Yes," list the ten most recent <u>construction</u> contracts the <u>Business Entity</u> has completed using Attachment A – Completed Construction Contracts, found at www.osc.state.ny.us/vendrep/documents/questionnaire/ac3294s.doc. If less than ten, include most recent subcontracts on projects up to that number.</i>	
3.1 Does the <u>Business Entity</u> currently have uncompleted <u>construction</u> contracts?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<i>If "Yes," list all current uncompleted <u>construction</u> contracts by using Attachment B – Uncompleted Construction Contracts, found at www.osc.state.ny.us/vendrep/documents/questionnaire/ac3295s.doc. Note: Ongoing projects must be included.</i>	

IV. INTEGRITY – CONTRACT BIDDING	
<i>Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:</i>	
4.0 Been <u>suspended</u> or <u>debarred</u> from any <u>government contracting process</u> or been <u>disqualified</u> on any government procurement?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.1 Been subject to a denial or revocation of a government prequalification?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.2 Had any bid rejected by a <u>government entity</u> for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.3 Had a proposed subcontract rejected by a <u>government entity</u> for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.4 Had a low bid rejected on a <u>government contract</u> for failure to make <u>good faith efforts</u> on any <u>Minority-Owned Business Enterprise</u> , <u>Women-Owned Business Enterprise</u> or <u>Disadvantaged Business Enterprise</u> goal or <u>statutory affirmative action requirements</u> on a previously held contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.5 Agreed to a voluntary exclusion from bidding/contracting with a <u>government entity</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.6 Initiated a request to withdraw a bid submitted to a <u>government entity</u> or made any claim of an error on a bid submitted to a <u>government entity</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<i>For each "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u>, the <u>government entity</u> involved, project(s), relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.</i>	

V. INTEGRITY – CONTRACT AWARD	
<i>Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:</i>	
5.0 Defaulted on or been <u>suspended</u> , cancelled or <u>terminated for cause</u> on any contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5.1 Been subject to an <u>administrative proceeding</u> or civil action seeking specific performance or restitution (except any disputed work proceeding) in connection with any <u>government contract</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5.2 Entered into a formal monitoring agreement, consent decree or stipulation settlement as specified by, or agreed to with, any <u>government entity</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5.3 Had its surety called upon to complete any contract whether government or private sector?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5.4 Forfeited all or part of a standby letter of credit in connection with any <u>government contract</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

V. INTEGRITY – CONTRACT AWARD

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity/owners involved, project(s), contract number(s), relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

VI. CERTIFICATIONS/LICENSES

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

- | | |
|--|---|
| 6.0 Had a revocation or <u>suspension</u> of any business or professional permit and/or license? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 6.1 Had a denial, decertification, revocation or forfeiture of New York State certification of <u>Minority-Owned Business Enterprise</u> , <u>Women-Owned Business Enterprise</u> or a federal certification of <u>Disadvantaged Business Enterprise</u> status, for other than a change of ownership? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

VII. LEGAL PROCEEDINGS/GOVERNMENT INVESTIGATIONS

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

- | | |
|--|---|
| 7.0 Been the subject of a criminal <u>investigation</u> , whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or <u>federal</u> law? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 7.1 Been the subject of: | |
| (i.) An indictment, grant of immunity, <u>judgment</u> or conviction (including entering into a plea bargain) for conduct constituting a crime; or | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| (ii.) Any criminal <u>investigation</u> , felony indictment or conviction concerning the formation of, or any business association with, an allegedly false or fraudulent <u>Minority-Owned Business Enterprise</u> , <u>Women-Owned Business Enterprise</u> , or a <u>Disadvantaged Business Enterprise</u> ? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 7.2 Received any <u>OSHA</u> citation, which resulted in a final determination classified as <u>serious</u> or <u>willful</u> ? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 7.3 Had a <u>government entity</u> find a willful prevailing wage or supplemental payment violation? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 7.4 Had a New York State Labor Law violation deemed willful? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 7.5 Entered into a consent order with the New York State Department of Environmental Conservation, or a <u>federal</u> , state or local government enforcement determination involving a violation of <u>federal</u> , state or local environmental laws? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

VII. LEGAL PROCEEDINGS/GOVERNMENT INVESTIGATIONS

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

<p>7.6 Other than previously disclosed, been the subject of any <u>citations</u>, notices or violation orders; a pending administrative hearing, proceeding or determination of a violation of:</p> <ul style="list-style-type: none"> • <u>Federal</u>, state or local health laws, rules or regulations; • <u>Federal</u>, state or local environmental laws, rules or regulations; • Unemployment insurance or workers compensation coverage or <u>claim</u> requirements; • Any labor law or regulation, which was deemed willful; • Employee Retirement Income Security Act (ERISA); • <u>Federal</u>, state or local human rights laws; • <u>Federal</u>, state or local security laws? 	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
--	---

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

Note: Information regarding a determination or finding made in error, which was subsequently corrected or overturned, and/or was withdrawn by the issuing government entity, is not required.

VIII. LEADERSHIP INTEGRITY

If the Business Entity is a Joint Venture Entity, answer "N/A - Not Applicable" to questions in this section.

Within the past five (5) years has any individual previously identified or any individual currently or formerly having the authority to sign, execute or approve bids, proposals, contracts or supporting documentation on behalf of the Business Entity with any government entity been:

<p>8.0 <u>Sanctioned</u> relative to any business or professional permit and/or license?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
<p>8.1 <u>Suspended</u>, <u>debarred</u> or <u>disqualified</u> from any <u>government contracting process</u>?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
<p>8.2 The subject of a criminal <u>investigation</u>, whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or <u>federal</u> law?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
<p>8.3 Charged with a misdemeanor or felony, indicted, granted immunity, convicted of a crime or subject to a judgment for:</p> <ul style="list-style-type: none"> (i.) Any business-related activity, including but not limited to fraud, coercion, extortion, bribe or bribe-receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering, mail fraud, wire fraud, price-fixing or collusive bidding; or (ii.) Any crime, whether or not business-related, the underlying conduct of which related to truthfulness, including but not limited to the filing of false documents or false sworn statements, perjury or larceny 	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A

For each "Yes," provide an explanation of the issue(s), the individual involved, the relationship to the submitting Business Entity, the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

IX. FINANCIAL AND ORGANIZATIONAL CAPACITY		
9.0 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> received any <u>formal unsatisfactory performance assessment(s)</u> from any <u>government entity</u> on any contract?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<i>If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u>, the <u>government entity</u> involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.</i>		
9.1 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> had any <u>liquidated damages</u> assessed over \$25,000?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<i>If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u>, relevant dates, the contracting party involved, the amount assessed and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.</i>		
9.2 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> had any <u>liens, claims or judgments</u> over \$25,000 filed against the <u>Business Entity</u> which remain undischarged or were unsatisfied for more than 90 days? (Note: Including but not limited to tax warrants or liens. Do not include UCC filings.)		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<i>If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u>, relevant dates, the Lien holder or Claimants' name(s), the amount of the <u>lien(s)</u> and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.</i>		
9.3 In the last seven (7) years, has the <u>Business Entity</u> or any <u>affiliate</u> initiated or been the subject of any bankruptcy proceedings, whether or not closed, or is any bankruptcy proceeding pending?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<i>If "Yes," provide the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u>, the bankruptcy chapter number, the court name and the docket number. Indicate the current status of the proceedings as "Initiated," "Pending" or "Closed." Provide answer below or attach additional sheets with numbered responses.</i>		
9.4 What is the <u>Business Entity's</u> Bonding Capacity?		
a. Single Project \$20,000,000.00	b. Aggregate (All Projects) \$60,000,000.00	
9.5 List <u>Business Entity's</u> Gross Sales for the previous three (3) Fiscal Years:		
1st Year (Indicate year) 2016 Gross Sales \$60,263,897.00	2nd Year (Indicate year) 2015 Gross Sales \$60,165,039.00	3rd Year (Indicate year) 2014 Gross Sales \$49,188,906.00
9.6 List <u>Business Entity's</u> Average Backlog for the previous three (3) fiscal years: (Estimated total value of uncompleted work on outstanding contracts)		
1st Year (Indicate year) 2016 Amount \$30,715,000.00	2nd Year (Indicate year) 2015 Amount \$25,779,000.00	3rd Year (Indicate year) 2014 Amount \$33,957,000.00
9.7 Attach <u>Business Entity's</u> most recent annual <u>financial statement</u> and accompanying notes or complete Attachment C – Financial Information, found at www.osc.state.ny.us/vendrep/documents/questionnaire/ac3296s.xls . (This information must be attached.)		

**NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE
FOR-PROFIT CONSTRUCTION (CCA-2)**

X. FREEDOM OF INFORMATION LAW (FOIL)	
10.0 Indicate whether any information provided herein is believed to be exempt from disclosure under the Freedom of Information Law (FOIL). <i>Note: A determination of whether such information is exempt from FOIL will be made at the time of any request for disclosure under FOIL. Attach additional pages if necessary.</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<i>If "Yes," indicate the question number(s) and explain the basis for the claim.</i>	

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

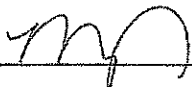
Certification

The undersigned: (1) recognizes that this questionnaire is submitted for the express purpose of assisting New York State government entities (including the Office of the State Comptroller (OSC)) in making responsibility determinations regarding award or approval of a contract or subcontract and that such government entities will rely on information disclosed in the questionnaire in making responsibility determinations; (2) acknowledges that the New York State government entities and OSC may, in their discretion, by means which they may choose, verify the truth and accuracy of all statements made herein; and (3) acknowledges that intentional submission of false or misleading information may result in criminal penalties under State and/or Federal Law, as well as a finding of non-responsibility, contract suspension or contract termination.

The undersigned certifies that he/she:

- is knowledgeable about the submitting Business Entity's business and operations;
- has read and understands all of the questions contained in the questionnaire;
- has not altered the content of the questionnaire in any manner;
- has reviewed and/or supplied full and complete responses to each question;
- to the best of his/her knowledge, information and belief, confirms that the Business Entity's responses are true, accurate and complete, including all attachments, if applicable;
- understands that New York State government entities will rely on the information disclosed in the questionnaire when entering into a contract with the Business Entity; and
- is under an obligation to update the information provided herein to include any material changes to the Business Entity's responses at the time of bid/proposal submission through the contract award notification, and may be required to update the information at the request of the New York State government entities or OSC prior to the award and/or approval of a contract, or during the term of the contract.

Signature of Owner/Official



Printed Name of Signatory

Phillip M. Beyer

Title

Exec. Vice President

Name of Business

Bancker Construction Corp.

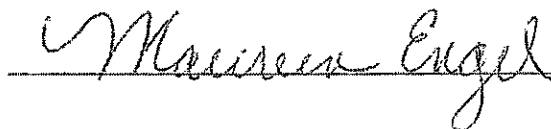
Address

218 Blydenburgh Road

City, State, Zip

Islandia, NY 11749

Sworn to before me this 16 day of March, 2017:



Notary Public

MAUREEN ENGEL
Notary Public, State of New York
No. 01EN4942537
Qualified in Suffolk County
Commission Expires Sept. 26, 2018

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT A – COMPLETED CONSTRUCTION CONTRACTS

Vendor Name: **BANCKER CONSTRUCTION CORP.**NYS Vendor ID: **1000056421**

Question 3.0: List the ten most recent construction contracts the Business Entity has completed. If less than ten, include most recent subcontracts on projects up to that number:						
Agency/Owner	Telephone No.	Design Architect and /or Design Engineer	Award Date	Amount	Date Completed	
1. Agency/Owner Roux Associates - ExxonMobil - Yard Drainage			5/16/2016	\$1,550,000.00	3/1/2017	
Contact Person Christopher Proce	(631) 232-2600	Roux Associates, Inc.				
Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable	
2. Agency/Owner Village of Saltaire - Water Main - Bay Promenade			12/29/2016	\$285,000.00	2/19/2017	
Contact Person Mario Posillico	(631) 583-5566	Designer Architect and /or Design Engineer Owner				
Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable	
3. Agency/Owner Skanska USA Civil Northeast - Site Utilities - Columbia Manhattanville			1/1/2015	\$700,000.00	1/1/2016	
Contact Person Alper Ayar	(917) 709-4724	Designer Architect and /or Design Engineer Columbia University				
Contract No.	Prime or Sub Sub	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable	
4. Agency/Owner Verizon - Master Contract - Long Island			6/1/2011	\$26,000,000.00	7/1/2016	
Contact Person Denis W. Donovan	(718) 977-8110	Designer Architect and /or Design Engineer Owner				
Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable	
5. Agency/Owner New York American Water - Main Laying			1/1/2012	\$19,000,000.00	1/1/2017	
Contact Person John Kilpatrick	(516) 632-2216	Designer Architect and /or Design Engineer Owner				
Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable	

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT A – COMPLETED CONSTRUCTION CONTRACTS

Vendor Name: **BANKER CONSTRUCTION CORP.**NYS Vendor ID: **1000056421**

Question 3.0: List the ten most recent construction contracts the Business Entity has completed. If less than ten, include most recent subcontracts on projects up to that number:						
Agency/Owner	Telephone No.	Design Architect and /or Design Engineer	Award Date	Amount	Date Completed	
6. Rutura & Sons Construction Co., Inc. - Water Main - Fresh Direct	(631) 454-0291	Langan Engineering	1/25/2015	\$1,000,000.00	2/15/2017	
Contact Person Richard Pearsall						
Contract No.	Prime or Sub Sub	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable	
7. Agency/Owner PSEG - Arverne Piles & Concrete	(516) 949-8351	Designer Architect and /or Design Engineer Owner	2/1/2015	\$800,000.00	12/14/2016	
Contact Person H. Wayne Tures, Manager Construction Management						
Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable	
8. Agency/Owner Inc. Village of Garden City - Water Main - Magnolia Ave., Carteret Pl. & 4 th St.	(516) 465-4004	Designer Architect and /or Design Engineer Owner	11/1/2015	\$1,700,000.00	7/1/2016	
Contact Person Robert J. Mangan, P.E., Director of Public Works						
Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable	
9. Agency/Owner Lend Lease (US) Construction LMB, Inc. - Southside Hosp.-Site Excavation & Conc.	(718) 470-4160	Designer Architect and /or Design Engineer Sidney B. Bowne & Son LLP	6/1/2015	\$1,710,000.00	5/1/2016	
Contact Person Robert O'Donohue, Sr. Project Manager						
Contract No.	Prime or Sub Sub	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable	
10. Agency/Owner Village of Saltaire - Water Main Replacement - Broadway	(631) 583-5566	Designer Architect and /or Design Engineer Owner	1/25/2016	\$580,000.00	6/1/2016	
Contact Person Mario Posillico						
Contract No. N/A	Prime or Sub Prime	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable	

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT B – UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name: **BANKER CONSTRUCTION CORP.**NYS Vendor ID: **1000056421****Question 3.1: List all current uncompleted construction contracts:**

1.		Agency/Owner New York American Water - Main Laying		Award Date 1/1/2015		Completion Date 1/1/2013	
Contact Person John Kilpatrick		Telephone No. (516) 632-2216		Designer Architect and /or Design Engineer Owner			
Contract No.		Prime or Sub Prime		Joint Venture (JV) Name, if applicable		EIN of JV, if applicable	
		Total Contract Amount \$30,000,000.00		Amount Sublet to others \$0.00		Uncompleted Amount \$6,000,000.00	
2.		Agency/Owner Kiska Construction - Water Main - Marine Parkway Bridge		Award Date 5/1/2016		Completion Date 12/1/2017	
Contact Person Onir Coronel, Project Engineer		Telephone No. (718) 943-0400		Designer Architect and /or Design Engineer MTA/Triborough Bridge & Tunnel Authority			
Contract No. MP-03/MP-1		Prime or Sub Sub		Joint Venture (JV) Name, if applicable		EIN of JV, if applicable	
		Total Contract Amount \$1,625,000.00		Amount Sublet to others \$0.00		Uncompleted Amount \$900,000.00	
3.		Agency/Owner Skanska-Walsh - 12" Gas Main - LaGuardia Airport		Award Date 6/1/2016		Completion Date 12/1/2017	
Contact Person Charles Schoch		Telephone No. (917) 417-4326		Designer Architect and /or Design Engineer			
Contract No.		Prime or Sub Sub		Joint Venture (JV) Name, if applicable		EIN of JV, if applicable	
		Total Contract Amount \$1,600,000.00		Amount Sublet to others \$0.00		Uncompleted Amount \$850,000.00	
4.		Agency/Owner PSEG - Physical/Mechanical Assist		Award Date 5/1/2016		Completion Date 5/1/2019	
Contact Person Nicholas De Pascale		Telephone No. (516) 792-2712		Designer Architect and /or Design Engineer Owner			
Contract No.		Prime or Sub Prime		Joint Venture (JV) Name, if applicable		EIN of JV, if applicable	
		Total Contract Amount \$4,500,000.00		Amount Sublet to others \$0.00		Uncompleted Amount \$4,000,000.00	

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT B – UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name: **BANKER CONSTRUCTION CORP.**NYS Vendor ID: **1000056421**

Question 3.1: List all current uncompleted construction contracts:									
5.	Agency/Owner Suffolk County Water Authority - Directional Drill Water Mains	Telephone No. (631) 563-0386	Designer Architect and /or Design Engineer Owner	Award Date 5/1/2016	Completion Date 5/1/2018				
	Contact Person Frederick C. Berg, Director of Construction								
	Contract No. 7338	Prime or Sub Prime	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable					
		Total Contract Amount \$2,000,000.00	Amount Sublet to others \$0.00	Uncompleted Amount \$1,600,000.00					
6.	Agency/Owner Citralta Construction Corp. - Site Utilities - PS19Q	Telephone No. (631) 563-1110	Designer Architect and /or Design Engineer NYC School Construction Authority	Award Date 10/1/2016	Completion Date 12/1/2017				
	Contact Person Ray Sajski								
	Contract No. C000014379	Prime or Sub Sub	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable					
		Total Contract Amount \$1,225,000.00	Amount Sublet to others \$0.00	Uncompleted Amount \$1,225,000.00					
7.	Agency/Owner DeMatteis Construction - Site Utilities - PS24Q	Telephone No. (516) 285-5500	Designer Architect and /or Design Engineer NYC School Construction Authority	Award Date 10/1/2016	Completion Date 9/1/2017				
	Contact Person James Kilbride								
	Contract No. C000014384	Prime or Sub Sub	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable					
		Total Contract Amount \$1,300,000.00	Amount Sublet to others \$0.00	Uncompleted Amount \$1,300,000.00					
8.	Agency/Owner SUNY Maritime - State University Construction Fund - Water Main	Telephone No. (718) 409-2882	Designer Architect and /or Design Engineer State University Construction Fund	Award Date 1/1/2017	Completion Date 5/1/2018				
	Contact Person William Harrmann								
	Contract No. T006303	Prime or Sub Prime	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable					
		Total Contract Amount \$2,000,000.00	Amount Sublet to others \$0.00	Uncompleted Amount \$2,000,000.00					

NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT B – UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name: **BANCKER CONSTRUCTION CORP.**NYS Vendor ID: **1000056421****Question 3.1: List all current uncompleted construction contracts:**

9.	Agency/Owner Turner Construction Company - PS 332Q	Telephone No. (212) 229-6000	Designer Architect and /or Design Engineer NYC School Construction Authority	Award Date 10/14/2015	Completion Date 4/1/2017
	Contact Person Michael Williams, Project Manager	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable		
	Contract No. C000013981	Prime or Sub Sub	Total Contract Amount \$1,715,000.00	Amount Sublet to others \$0.00	Uncompleted Amount
10.	Agency/Owner Nassau County Dept. of Public Works - Bay Park Dechlorination Vault	Telephone No. (516) 571-6840	Designer Architect and /or Design Engineer Owner	Award Date 1/1/2016	Completion Date 10/1/2016
	Contact Person Scott Urban	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable		
	Contract No. S35121-16V	Prime or Sub Prime	Total Contract Amount \$500,000.00	Amount Sublet to others \$0.00	Uncompleted Amount \$500,000.00
Grand Total All Uncompleted Contracts					
\$18,375,000.00					

COUNTY OF NASSAU
CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Bancker Construction Corp.

Address: 218 Blydenburgh Road

City, State and Zip Code: Islandia, NY 11749

2. Entity's Vendor Identification Number: 11-1876887

3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture

☐ Ltd. Liability Co ☒ Closely Held Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Stephen M. Beyer, CEO [REDACTED] [REDACTED] [REDACTED]

Michael S. Beyer, President/Treasurer [REDACTED] [REDACTED]

Phillip M. Beyer, Exec. V.P./Secretary [REDACTED] [REDACTED]

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

Michael S. Beyer, President/Treasurer [REDACTED] [REDACTED]

Phillip M. Beyer, Exec. V.P./Secretary [REDACTED] [REDACTED]

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

Baltray Enterprises, Inc. dba Bancker Electric (Affiliate having common ownership)

Bancker Environmental LLC (Affiliate having common ownership)

*Please note, neither of the above two listed affiliates will be performing work under this contract.

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

None

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

None

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

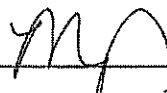
None

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: June 16, 2017

Signed:



Print Name: Phillip M. Beyer

Title: Exec. Vice President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

B05-17

RULES RESOLUTION NO -2017

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO EXECUTE A CONTRACT AMENDMENT BETWEEN THE COUNTY OF NASSAU, ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND BANCKER CONSTRUCTION CORP.

WHEREAS, pursuant to County contract number S80030Z, for REQUIREMENTS CONTRACT-MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY, NY ("Contract") between the County and

BANCKER CONSTRUCTION CORP. ("Contractor"), the Contractor performed services as more particularly described in the contract documents a copy of which is on file with the Clerk of the Legislature; and

WHEREAS, the County and the Contractor desire to increase the maximum amount of the Contract by \$ 700,000 dollars, and to extend the term of the Contract an additional one (1) year;

WHEREAS the funding for this Contract amendment is from general and capital funds approved by the Nassau County Legislatures; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature based upon the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract amendment with the vendor.

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 87, Section 87.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Phillip M. Beyer, Exec. Vice President

Name and Title of Authorized Representative

m/d/yy

Signature

12/21/16

Date

Bancker Construction Corp.

Name of Organization

218 Blydenburgh Road, Islandia, NY 11749

Address of Organization

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

AMENDMENT NO. 2

This AMENDMENT (this "Amendment"), made and entered as of the date on which this Amendment is last executed by the parties hereto, by and between (i) Nassau County, municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County"), acting on behalf of the Department of Public Works having its principal office at 1194 Prospect Avenue, Westbury New York 11590 (the "Department"), and (ii) Bancker Construction Corp., 218 Blydenburgh Road, Islandia, New York 11749 (the "Contractor").

WITNESSETH:

WHEREAS, pursuant to County contract number S80030Z between the County and the Contractor, (the "Original Agreement"), the Contractor performed services including but not limited to water main repair, and such services more fully described in the Original Agreement (the services contemplated by the Original Agreement, the "Services");

WHEREAS, the term of the Original Agreement, is November 15, 2012 through November 15, 2014 ("Agreement Term"); a subsequent extension of time was issued under Article VIII of the contract extending the end date to November 15, 2015. Amendment 1 further extended the agreement until November 15, 2016.

WHEREAS, the maximum amount that the County agreed to reimburse the Contractor for Services pursuant to the terms of the Original Agreement, is ("Maximum Amount"); Five hundred thousand dollars \$500,000.00. Amendment 1 increased the Maximum amount to \$1,000,000.00.

WHEREAS, the County and the Contractor desire to amend the Agreement Term and the Maximum Amount; and

NOW, THEREFORE, in consideration of the premises and mutual covenants contained in this Amendment, the parties agree as follows:

1. Amended Maximum Amount. The Maximum Amount is amended to add an additional seven hundred thousand dollars (\$700,000.00), so that the total Maximum Amount the County shall pay the Contractor shall not exceed one million seven hundred thousand dollars (\$1,700,000.00) ("Amended Maximum Amount").
2. Amended Term. The Agreement Term is amended to extend the contract duration for an additional twelve months, and will terminate on November 15, 2017 ("Amended Term").

3. Full Force and Effect. All the terms and conditions of the Original Agreement not expressly amended by this Amendment shall remain in full force and effect and govern the relationship of the parties for the term of the amended Agreement.

REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]

IN WITNESS WHEREOF, the parties have executed this Amendment as of the date first above written.

Banker Construction Corp.

By: 

Name: STEPHEN M. BEYER

Title: C.E.O.

Date: April 7, 2017

NASSAU COUNTY

By: _____

Name: _____

Title: Deputy County Executive

Date: _____

PLEASE EXECUTE IN BLUE INK


STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU)

On the 7 day of April in the year 2017 before me personally came STEPHEN M. BEYER to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Suffolk; that he or she is the C.E.O. of BANKER CONSTRUCTION CORP, the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

NOTARY PUBLIC


MAUREEN ENGEL
Notary Public, State of New York
No. 01EM4942537
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU)

On the ____ day of _____ in the year 2017 before me personally came _____ to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of _____; that he or she is a Deputy County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

NOTARY PUBLIC

Contract ID#: SB00302Department: Public Works**B25-15**CLPW 15000028**CF (Capital)****CF****Contract Details**SERVICE Water Main RequirementsNIFS ID #: CLPW1200007 NIFS Entry Date: 6/24/15 Term: from 11/16/15 to 11/14/16

New <input type="checkbox"/> Renewal <input type="checkbox"/>	1) Mandated Program:	Yes <input type="checkbox"/> No <input type="checkbox"/>
Amendment <input checked="" type="checkbox"/> <u>1</u>	2) Comptroller Approval Form Attached:	Yes <input type="checkbox"/> No <input type="checkbox"/>
Time Extension <input type="checkbox"/>	3) CSEA Agmt. § 32 Compliance Attached:	Yes <input type="checkbox"/> No <input type="checkbox"/>
Addl. Funds <input type="checkbox"/>	4) Vendor Ownership & Mgmt. Disclosure Attached:	Yes <input type="checkbox"/> No <input type="checkbox"/>
Blanket Resolution <input type="checkbox"/>	5) Insurance Required	Yes <input type="checkbox"/> No <input type="checkbox"/>
RES#		

Agency Information

Vendor	
Name Brooker Construction Corp	Vendors ID# <u>11-1896887</u>
Address 215 Glydenburg Rd., Islandia NY	Contact Person Michael Rayer
	Phone 631-582-4330

County Department
Department Contact Scott Urban
Address 1194 Prospect Ave. Westbury
Phone 1-631-660

Routing Slip

DATE Rec'd	DEPARTMENT	Internal Verification	DATE App'd & Rec'd	SIGNATURE	Log. Approval Required
	Department	NIFS Entry (Dept) NIFS Appvl (Dept. Head)	<input type="checkbox"/> <input type="checkbox"/>	<u>6/24/15</u> <u>[Signature]</u>	
	DPW (Capital Only)	CF Capital Fund Approval	<input type="checkbox"/>	<u>6/19/15</u> <u>[Signature]</u>	
	OMB	NIFS Approval	<input type="checkbox"/>	<u>6/24/15</u> <u>[Signature]</u>	Yes <input type="checkbox"/> No <input type="checkbox"/> Not required if Blanket Res
	County Attorney	CA RE & Insurance Verification	<input type="checkbox"/>	<u>6/24/15</u> <u>[Signature]</u>	
	County Attorney	CA Approval as to form	<input type="checkbox"/>	<u>6/24/15</u> <u>[Signature]</u>	Yes <input type="checkbox"/> No <input type="checkbox"/>
	Legislative Affairs	Rec'd Original Contract to CA	<input type="checkbox"/>	<u>7/1/15</u> <u>[Signature]</u>	
	Rules <input type="checkbox"/> / Log. <input type="checkbox"/>		<input type="checkbox"/>		
	County Attorney	NIFS Approval	<input type="checkbox"/>	<u>[Signature]</u>	
	Comptroller	NIFS Approval	<input type="checkbox"/>	<u>11/16/15</u> <u>[Signature]</u>	
<u>7/1/15</u>	County Executive	Notarization Filed with Clerk of the Leg.	<input type="checkbox"/>	<u>[Signature]</u>	

Contract ID#: S800302Department: Public Works

Contract Summary

Description: Requirements Contract S800302 - Maintenance and Repair of Water Mains & Appurtenances

Purpose: Line item contract for the emergency and non-emergency repair of water mains, hydrants, valves and other related devices.

Method of Procurement: Open Competitive Bid

Procurement History:

Description of General Provisions:

Impact on Funding / Price Analysis:

Change in Contract from Prior Procurement:

Recommendation: (approve as submitted)

Advisement Information

BUDGET CODES	
Fund:	
Contract:	
Resp:	
Object:	
Transaction:	

RENEWAL	
% Increase	
% Decrease	

FUNDING SOURCE	AMOUNT
Revenue Contract <input type="checkbox"/>	XXXXXXX
County	\$
Federal	\$
State	\$
Capital	\$
Other	\$
TOTAL	\$

LINE	INDEX/OBJECT CODE	AMOUNT
99	90403 / 00004	\$100,000.00
99	0120 GEN / DE 500	\$20,000.00
4		\$
5		\$
6		\$
TOTAL		\$120,000.00

Document Prepared By: _____

Date: _____

NIRS Certification I certify that this document was prepared in accordance with NIRS.		Controller Certification I certify that an amount of money sufficient to cover this contract's present and future obligations is set aside.		City Executive Approval Name: <u>[Signature]</u> Date: <u>7/1/15</u> (For Office Use Only)	
Name: <u>[Signature]</u> Date: <u>10/16/15</u>		Name: <u>[Signature]</u> Date: <u>10/16/15</u>		E #: _____	

Nassau



Department of Public Works

Staff Summary

B25-15

Subject Requirements Contract - Water Mains
Department Public Works
Department Head Name Shila Shah-Gavvondias, Commissioner
Department Head Signature
Project Manager Name: Scott Urban Phone Number: 371-6967

Date: June 22, 2015
Vendor Name: Bancker Construction Corp.
Contract Number 880030Z
Personal Services _____ Blanket Res _____ Calendar _____
Bid 9/27/11 Rules Comm. _____
Contract Manager Name: Michael Beyer Phone Number: 631-582-8880

Proposed Legislative Action					
	To	Date	Approval	Info	Other
	Assign Comm				
	Rules Comm				
	Full Leg				

Internal Approvals			
Date & Init.	Approval	Date & Init.	Approval
10/1/11	Dept. Head		Counsel to C.E.
	Budget	6/22/15 SSJ	County Atty.
	Deputy C.E.		County Exec.

NarrativePurpose:

This Requirements Contract provides for the emergency and routine repair, replacement and upgrade of County owned water mains and appurtenances that are beyond in-house capabilities. These facilities are required for the supply of both potable water and fire protection at County owned facilities and buildings. Several large water main offsets performed under this contract related to drainage work in Great Neck compounded by a large water main break at the Nassau County Jail have caused an rise in costs associated with this contract that are above the initial spending cap. Additional work is required at a number of County facilities including hydrant repair, replacement and relocations as well as some ongoing modifications necessary to make the system more functional.

Discussion:

One (1) year extension, unit price, requirements contract.
Advertising period - two weeks
Bids received on September 27, 2011

Impact on Funding:

An additional \$500,000.00 is requested for work within this contract. The current expiration date is November 15, 2015. Most water breaks occur in the colder months and the potential to be without an active contract in winter opens up a liability for these facilities. A new contract is in preparation for bid and any remaining funds will be disencumbered from the existing contract for use in the subsequent contract. Project funding will come from Capital Projects 90403 and General Fund 0120.

Recommendation:

Approve extension and increase as requested.

8-25-15

RO 168 -2015

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE
TO AWARD AND EXECUTE AN AMENDMENT TO A CONTRACT
BETWEEN THE COUNTY OF NASSAU, ACTING ON BEHALF OF
THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS, AND
BANCKER CONSTRUCTION CORP.

Passed by the Rules Committee
Nassau County Legislature
By Voice Vote on 7-27-15
YEAS: 7 NAYS: 0 ABSTAINED: 0 RECALLED: 0
Legislators present: 7

WHEREAS, in accordance with all Federal, State and Local Law, the
County of Nassau, on behalf of the NASSAU COUNTY DEPARTMENT
OF PUBLIC WORKS ("Department") has received competitive bids for the
original contract S80030Z, for REQUIREMENTS CONTRACT
MAINTENANCE AND REPAIR OF WATER MAINS AND
APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY, NY
("Contract"), as more particularly described in the contract documents, a
copy of which is on file with the Clerk of the Legislature; and

WHEREAS, the Department is representing to the Rules Committee
that the term of the original requirements contract is two (2) years, and the
estimated expenditures under the original contract is \$500,000; and

WHEREAS, the firm of BANCKER CONSTRUCTION CORP.,
("Vendor") has submitted the lowest responsible (local) bid for the original

contract for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department; and

WHEREAS, the Commissioner of the Department is recommending to the Rules Committee that the amendment to the original contract to extend the term and increase the maximum amount of the original contract be awarded to the vendor; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said amendment to the contract with the vendor.

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE AN AMENDMENT TO A CONTRACT BETWEEN THE COUNTY OF NASSAU, ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS, AND BANCKER CONSTRUCTION CORP.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau, on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ("Department") has received competitive bids for the original contract S80030Z, for REQUIREMENTS CONTRACT MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY, NY ("Contract"), as more particularly described in the contract documents, a copy of which is on file with the Clerk of the Legislature; and

WHEREAS, the Department is representing to the Rules Committee that the term of the original requirements contract is two (2) years, and the estimated expenditures under the original contract is \$500,000; and

WHEREAS, the firm of BANCKER CONSTRUCTION CORP., ("Vendor") has submitted the lowest responsible (local) bid for the original

contract for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department; and

WHEREAS, the Commissioner of the Department is recommending to the Rules Committee that the amendment to the original contract to extend the term and increase the maximum amount of the original contract be awarded to the vendor; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said amendment to the contract with the vendor.

George Maragos
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: Bancker Construction Corp.
CONTRACTOR ADDRESS: 218 Blydenburgh Road, Islandia, NY 11749
FEDERAL TAX ID # 11-1876887

Instructions: Please check the appropriate box ("☒") after one of the following roman numerals, and provide all the requested information.

I. ☒ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in _____ [newspaper] on _____ [date]. The sealed bids were publicly opened on _____ [date]. _____ [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals. The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by _____ [newspaper advertisement, posting on website, mailing, etc.]. _____ [#] of potential proposers requested copies of the RFP. Proposals were due on _____ [date]. _____ [#] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ [list members]. The proposals were scored and ranked. As a result of the scoring and ranking (attached), the highest-ranking proposer was selected.

III. ☒ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on 5/7/12 [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after open competitive bid – line item requirements contract. [describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

X A. The contract has been awarded to the proposer offering the lowest cost proposal; OR:

B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

☐ **A.** There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.

☐ **B.** The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).

☐ **C.** Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

☐ **D.** Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a

competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No.928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

In addition, if this is a contract with an individual or with an entity that has only one or two employees:

☐ a review of the criteria set forth by the Internal Revenue Service, Revenue Ruling No. 87-41, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.



Department Head Signature

6/16/16

Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Compt. form Pers./Prof. Services Contracts: Rev. 02/04

COUNTY OF ALBANY

CONTRACT ADVISEMENT

CHPW12000007

DATE OF AGREEMENT

MEMO

NO. OF

DATE

5 24 17 CAF

TRADE SHOW DATE

ENDORSEMENT NUMBER

DATE

CONTRACT NUMBER

DATE

NUMBER

CANCEL

CHPW12000007

DATE

580030Z

11 1876887

Base & Construction

310 E. 11th St. N.Y.

Exterior, N.Y. 11744

NO.	PROJECT NUMBER	PROJECT NAME	ORDER NUMBER	ESTIMATED COST	DATE ADDED
90403	000	AWCH/CHP - 00004		50,000.00	
		PLC-FN0100	00500	32,000.00	

ADDITIONAL COMMENTS

Initial for purchase - to purchase (see p. 1)

80,000.00

ADDITIONAL

Contract 580030Z

Requirements Contract

Maintenance and Repair of Water Plants

and Appliances

Water Location

Albany, New York

DATE OF AGREEMENT

DATE OF AGREEMENT

DATE OF AGREEMENT

To be signed

To be signed

To be signed

Signature

Signature

Signature

1/16/17

1/16/17

1/16/17

DATE OF AGREEMENT

DATE OF AGREEMENT

DATE OF AGREEMENT

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Signature

Signature

Signature

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Office of the County Executive
Att: Richard R. Walker, Chief Deputy County Executive

FROM: Department of Public Works

DATE: June 21, 2015

SUBJECT: Approval of Contract Advisement

This Department is requesting approval of the following increase to an existing encumbrance for additional services regarding the replacement, repair and maintenance of County owned water mains and appurtenances.

REQUIREMENTS CONTRACT
MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES
VARIOUS LOCATIONS, NASSAU COUNTY, NY S80030Z

\$500,000.00 ENCUMBRANCE INCREASE

This is an encumbrance increase to the existing requirements contract for the maintenance and repair of water mains and appurtenances throughout Nassau County, Contract No. S80030Z. The work to be performed under this encumbrance increase includes the replacements, repair and re-routing of County owned water mains and the installation, repair and replacement of hydrants and other appurtenances as well as testing of hydrants.

Funding for these services is available in Capital Project No. 90403 and General Fund PW0120.

If you approve or disapprove of the above request, please signify below and return the memo to this office for appropriate action.


Shila Shah-Gaynoudias
Commissioner

SSG:KGA:

c: Kenneth G. Arnold, Assistant to Commissioner

APPROVED:

DISAPPROVED:


Richard R. Walker
Chief Deputy County Executive

Date

Richard R. Walker
Chief Deputy County Executive

Date



AMENDMENT NO. 1

This AMENDMENT (this "Amendment"), made and entered as of the date on which this Amendment is last executed by the parties hereto, by and between (i) Nassau County, municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County"), acting on behalf of the Department of Public Works having its principal office at 1194 Prospect Avenue, Westbury New York 11590 (the "Department"), and (ii) Bancker Construction Corp., 218 Blydenburgh Road, Islandia, New York 11749 (the "Contractor").

WITNESSETH:

WHEREAS, pursuant to County contract number S80030Z between the County and the Contractor, (the "Original Agreement"), the Contractor performed services including but not limited to water main repair, and such services more fully described in the Original Agreement (the services contemplated by the Original Agreement, the "Services");

WHEREAS, the term of the Original Agreement, with an allowable on year extension is November 15, 2015 ("Agreement Term");

WHEREAS, the maximum amount that the County agreed to reimburse the Contractor for Services pursuant to the terms of the Original Agreement, is ("Maximum Amount"); five hundred thousand dollars \$500,000.00

WHEREAS, the County and the Contractor desire to amend the Agreement Term and the Maximum Amount; and

NOW, THEREFORE, in consideration of the premises and mutual covenants contained in this Amendment, the parties agree as follows:

1. Amended Maximum Amount. The Maximum Amount is amended to add an additional five hundred thousand dollars (\$500,000.00), so that the total Maximum Amount the County shall pay the Contractor shall not exceed one million dollars (\$1,000,000.00) ("Amended Maximum Amount").
2. Amended Term. The Agreement Term is amended to extend the contract duration for an additional twelve months, and will terminate on November 14, 2016 ("Amended Term").
3. Full Force and Effect. All the terms and conditions of the Original Agreement not expressly amended by this Amendment shall remain in full force and effect and govern the relationship of the parties for the term of the amended Agreement.

REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]

IN WITNESS WHEREOF, the parties have executed this Amendment as of the date first above written.

Banker Construction Corp.

By: 

Name: MICHAEL S. BEYER

Title: PRESIDENT

Date: 6/22/13

NASSAU COUNTY

By: 

Name: Charles K. Beyer

Title: Deputy County Executive

Date: 7/1/11

PLEASE EXECUTE IN BLUE INK

STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU)

On the 24 day of June in the year 2015 before me personally came MICHAEL S. BEYER to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is the PRESIDENT of BANKER CONSTRUCTION CORP, the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

NOTARY PUBLIC

Maureen Engel

MAUREEN ENGEL
Notary Public, State of New York
No. 01EN4942637
Qualified in Suffolk County
Commission Expires Sept. 26, 2018

STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU)

On the 11 day of July in the year 2015 before me personally came Charles R. Bardi to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is a Deputy County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

NOTARY PUBLIC

Ornette A. Perone

ORNETTE A. PERONE
Notary Public, State of New York
No. 01EN4942637
Qualified in Suffolk County
Commission Expires Sept. 26, 2018

Nassau County



Department of Public Works

Staff Summary

Subject: Requirements Contract – Water Mains
Department: Public Works
Department Head Name: Shila Shah-Gaymoudias, Commissioner
Department Head Signature:
Project Manager Name: Scott Urban Phone Number: 516-571-6967

Date: December 7, 2011
Vendor Name: Bayker Construction Corp.
Contract No.: S80030Z
Personal Services _____ Blanket Res _____ Calendar _____ Bid 9/27/11 Rules Comm. _____
Contract Manager Name: Michael Boyer Phone Number: 631-582-8840

Proposed Legislative Action					
	To	Date	Approval	Info	Other
	Assign Comm				
	Rules Comm				
	Full Leg				

Internal Approvals			
Date & Init.	Approval	Date & Init.	Approval
12/7/11 SI	Dept. Head		Council to C.E.
	Budget		County Atty.
	Deputy C.E.		County Exec.

Narrative
Purpose:
 This Requirements Contract provides for the emergency and routine repair, replacement and upgrade of County owned water mains and appurtenances that are beyond in-house capabilities. These facilities are required for the supply of both potable water and fire protection at County owned facilities and buildings.

Discussion:
 Two (2) year, unit price, Requirements Contract.
 Advertising period – two (2) weeks
 Bids received on September 27, 2011

Impact on Funding:
 The Engineer's estimate for this contract is \$260,659.13. Project funding is included in the 2011 – 2014 proposed spending plan from Capital Projects 90403 and General Fund 0120.

Recommendation:
 Award as bid.

SSG:KGA:JLD:ca

B03-12

RO 134 -2012

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND BANCKER CONSTRUCTION CORP.

Passed by the Rules Committee
Nassau County Legislature
By Voice Vote on 5-2-12
VOTING:
ayes 7 nays 0 abstained 0 recused 0
Legislators present: 7

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract S80030Z, for REQUIREMENTS CONTRACT MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY, NY ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the Department is representing to the Rules Committee that the term of this requirements contract is two (2) years, and the estimated expenditures under this contract is \$500,000.00 and

WHEREAS, the firm of BANCKER CONSTRUCTION CORP.,

["Vendor"] has submitted the lowest responsible (local) bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the Commissioner of the Department is recommending to the Rules Committee that the contract be awarded to the vendor; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

B49-11

RO -2011

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND
EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON
BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND
BANCKER CONSTRUCTION CORP.

WHEREAS, in accordance with all Federal, State and Local Law, the County of
Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
["Department"] has received competitive bids for contract S80030Z, for
REQUIREMENTS CONTRACT MAINTENANCE AND REPAIR OF WATER MAINS
AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY, NY
["Contract"], as more particularly described in the contract documents, a copy of which
are on file with the Clerk of the Legislature; and

WHEREAS, the Department is representing to the Rules Committee that the term
of this requirements contract is two (2) years, and the estimated expenditures under this
contract is \$500,000.00 and

WHEREAS, the firm of BANCKER CONSTRUCTION CORP.,

["Vendor"] has submitted the lowest responsible (local) bid for the work
described in the contract in accordance with all Federal, State and Local Law as
determined by the Department, and

WHEREAS, the Commissioner of the Department is recommending to the Rules Committee that the contract be awarded to the vendor; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

COUNTY OF NASSAU
Inter-Departmental Memo

TO: Office of the County Executive
Att: Rob Walker, Chief Deputy County Executive

FROM: Department of Public Works

DATE: December 7, 2011

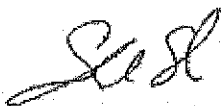
SUBJECT: RECOMMENDATION OF AWARD

Contract No: S80030Z

Title: REQUIREMENTS CONTRACT-MAINTENANCE AND REPAIR OF WATER
MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU
COUNTY, NY

Bids received on: September 27, 2011

I have examined the bids submitted for the contract mentioned above. Finding them to be in order, I recommend this contract be awarded to Bancker Construction Corp., as the lowest responsible bidder with a total comparison bid amount of \$268,402.60. In order to facilitate processing of the above referenced contract, I request that the attached "Request to Initiate" form be approved.



Shila Shah-Gaynoudias
Commissioner



COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Bancker Construction Corp.

Address: 218 Blydenburgh Road

City, State and Zip Code: Islandia, NY 11749

2. Entity's Vendor Identification Number: 11-1876887

3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture
☐ Ltd. Liability Co ☒ Closely Held Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Stephen M. Beyer, CEO -

Michael S. Beyer, President -

Phillip M. Beyer, Vice President -

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation include a copy of the 10K in lieu of completing this section.

Stephen M. Beyer, CEO -

Michael S. Beyer, President -

Phillip M. Beyer, Vice President -

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company.

None

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements, or to otherwise engage in lobbying as the term is defined herein. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

NONE

Page 3 of 4

(b) Describe lobbying activity of each lobbyist. See page 4 of 4 for a complete description of lobbying activities.

NONE - N/A

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None - N/A

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: June 22, 2015

Signed: 

Print Name: Michael S. Beyer

Title: President

Page 4 of 4:

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

**REQUEST TO INITIATE
REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID
CONTRACT**

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC

☐ RFQ

☐ RFP

☒ RFBC

Project No. S80030Z

Project Title: Requirements Contract,
Maintenance and Repair of Water
Mains and Appurtenances, Various
Locations.

Department: Public Works

Date: May 11, 2011

Project Manager: Scott W. Urban

Service Requested: Maintenance and repair of County owned water mains, valves, hydrants, etc., beyond the scope of in house services available. This will be a two (2) year requirements contract.

Justification: Nassau County owns and maintains approximately 72 miles of water mains, over 450 hydrants and in excess of 800 valves. Preventative maintenance and timely repairs are crucial to providing potable water and fire protection to County owned buildings and recreational facilities.

Estimated Construction Cost: \$ 500,000.00

Date RFBC Due:

Department Head Approval:

☒ YES

☐ NO

CDCE/Ops Approval:

☐ YES

☐ NO

SIGNATURE

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive for Operations after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____
6. _____	_____	_____
7. _____	_____	_____
8. _____	_____	_____

CDCE/Ops Approval:

YES

NO

Signature _____

SSG:KGA:JLD:es

Nassau DPW BIDS - Summary of Bid Opening

58001027 - General Contracting
Maintenance and Repair of Water Mains and Appurtenances

12/7/2011

Bid Opening: 12/7/2011

Engineer: Scott Dixon Phone: (516) 571-6887

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
Burdick Construction Corp.	218 Elydenburgh Road, Mineola, NY 11779	Tarwater Casualty & Surety Co.	50000	\$263,402.60	50.00

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Division of Administration
Att: Jonathan Lesman, Management Analyst II

FROM: Kenneth G. Arnold, Assistant to Commissioner

DATE: December 8, 2011

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: S80030Z

Title: Requirements Contract - Maintenance and Repair of Water Mains and Appurtenances, Nassau County, Various Locations

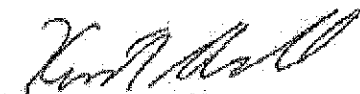
Engineer's Estimate: \$260,659.13

Bids Received On: September 27, 2011

The bids received for the above-referenced contract have been examined and the bid submitted by **BANCKER CONSTRUCTION CORP.**, in the amount of \$268,402.60 is acceptable as the lowest responsible bidder.

The low bid is within ten (10) percent of the Engineer's estimate, and adequate funds are available (Capital Project Number 90403 and Operating Budget PWGEN0120). It is requested that the attached Recommendation of Award be signed and forwarded to the County Executive for his action.

Attached, herewith, please find a completed Contract Summary form for your information and use.



Kenneth G. Arnold
Assistant to Commissioner

KGA:JLD:cs
Attachment

cc: Rakhal D. Maitra, Deputy Commissioner
Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit
Scott W. Urban, Superintendent of Storm Water Basins



copy

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Civil Service Employees Association, Nassau Local 830 (fax 742-3801)

FROM: Department of Public Works (fax 571-9657)

DATE: July 5, 2011

SUBJECT: CSEA Notification of Proposed DPW Contract
Requirements Contract - Maintenance and Repair Water Mains and Appurtenances
Proposed Contract Number: S800302

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW contract/agreement is for the work which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a contract/agreement for the following services:
Requirements Contract for Maintenance and Repair of Water Mains and Appurtenances at various County locations and properties.
2. The work involves the following:
Emergency and routine repair and maintenance of water mains and appurtenances that are beyond in-house capabilities. This contract is the only available mechanism for the County to provide the necessary maintenance services at all County-owned water mains.
3. An estimate of the cost is: \$500,000.00
4. An estimate of the construction duration is: Two (2) Year Agreement

Should you wish to propose an alternative to the proposed contract/agreement, please respond within 10 days to: Department of Public Works, Attn: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.

Kenneth G. Arnold
Assistant to Commissioner

KGAs
cc: Keith Cromwell, Office of Labor Relations
Jonathan Lesman, Management Analyst II
Scott W. Urban, Superintendent of Storm Water Basins

We are transmitting one (1) sheet. If there were problems with this transmission, call 571-9604.



\\ADMIN\Letters for Signature\Water Management\Shirley\cseal notice water mains.swa.doc

PROPOSAL
To the County of Nassau
REQUIREMENTS CONTRACT
MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES
VARIOUS LOCATIONS
NASSAU COUNTY, NEW YORK

Contract No. S800302

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Advertisement for Bids and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the plans and specifications for the amount named in the proposal hereinafter described.

In making this proposal the Bidder hereby declares that the Addenda which has been issued by the County of Nassau and has been received by him, that all provisions thereof have been complied with in preparing his bids.

BANKER CONSTRUCTION CORP

Name of Bidder: _____
(Individual, Firm or Corporation) as case may be

Bidder's Address: 218 Blydenburgh Road
Islandia, NY 11749

Telephone: (631) 582-3630 Date: 9/27/11

FAX Tele: (631) 582-3630 E-Mail: _____

NOTE: IF BIDDER IS A FIRM, FILL IN THE FOLLOWING BLANKS:

Name of Partners _____ Residence of Partners _____

NOTE: IF BIDDER IS A CORPORATION, FILL IN THE FOLLOWING BLANKS:

Organized under the laws of the State of: New York

Name of President: STEPHEN M. BEYER

President's Domicile: _____

Name of Vice Pres: MICHAEL S. BEYER

Vice Pres's Domicile: _____

Corporate Officer: STEPHEN M. BEYER Title: Treasurer

Corporate Officer's Domicile: _____

Corporate Officer: MICHAEL S. BEYER Title: SECRETARY

Corporate Officer's Domicile: _____

The Following Paragraphs are Applicable to the Contract

THE BIDDER AFFIRMS AND DECLARES:

1. That the above bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same work, and is in all respects fair and without collusion or fraud.
3. That said bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety or otherwise, upon any obligation to the County of Nassau.
4. That no officer nor employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the contract, or in the supplies, materials, equipment and work, or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the site of the work and that, from his own investigations, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of equipment, other facilities needed for the performance of the work, the general and local conditions, and all other items which may, in any way, affect the work or its performance.
6. The bidder also declares that he has carefully examined and fully understands all the component parts of this Contract; that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach, the contractor shall be required to have such a license.

INFORMATION FOR BIDDERS

I. Rejection of Bids.

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids.

Bids on lump sum contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

CONTRACT NO. SB03030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT PRICE DOLLARS	UNIT PRICE CENTS	BID FACTOR	AMOUNT BID DOLLARS	CENTS
2x	1 CY	Unspecified Excavation FOR 12.20 DOLLARS	2	—	0.5	1	—
3AX (0-10 CY)	1 CY	Trench, Culvert & Bridge Excavation FOR 518.4 DOLLARS	60	—	125	7600	—
3BX (11-25 CY)	1 CY	Trench, Culvert & Bridge Excavation FOR 884.4 DOLLARS	88	—	100	8800	—
3CX (26 CY & over)	1 CY	Trench, Culvert & Bridge Excavation FOR 3925.0 DOLLARS	78	60	50	3925	—
4A	1 SY	Concrete Curbstone Paving - Pavement FOR 400 DOLLARS	4	—	0.5	2	—
4B	1 CY	Concrete Concrete Retaining - Structures FOR 51.4 DOLLARS	6	—	0.5	3	—
5A	1 CY	Embankment in Place FOR 130.0 DOLLARS	3	—	0.5	150	50
5B	1 CY	Borrow Fill FOR 130.0 DOLLARS	3	—	0.5	150	50

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
5C	1 CY	Standard FOR SIXTEEN DOLLARS CENTS	16	—	2	32	—
5D	1 CY	Standard Gravel P.M. FOR TWENTY DOLLARS CENTS	20	—	3	60	—
6	1 CPM	Trailing FOR TWO DOLLARS CENTS	2	—	0.5	1	—
7	1 SF	Preparing Fine Gravel FOR ONE DOLLARS CENTS	1	—	0.5	0.50	50
9A	1 CY	Topsoil (Supplied) FOR ELEVEN DOLLARS CENTS	11	—	0.5	5	50
9B	1 CY	Topsoil (Unsupplied) FOR FIVE DOLLARS CENTS	4	—	0.5	2	—
10A	1 SF	Temporary Shading & Bracing FOR TEN DOLLARS CENTS	10	—	0.5	5	—
10A-1	1 SF	Erosion Protection System FOR FIVE DOLLARS CENTS	5	—	10	50	—

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
10B	1 SF	Temporary Sheeting & Bracing ordered left in place FOR <u>Three</u> DOLLARS CENTS	2	—	0.5	1	—
10C-1	1 SF	Permanent Corrugated Metal Sheeting and Bracing FOR <u>Three</u> DOLLARS CENTS	3	—	0.5	1	50
10C-2	1 SF	Permanent Steel Sheet Piling & Bracing FOR <u>Nine</u> DOLLARS CENTS	9	—	0.5	4	50
10C-3	1 SF	Permanent Timber Sheet Piling and Bracing FOR <u>Two</u> DOLLARS CENTS	2	—	0.5	1	—
17	1 CY	Class A Concrete for Structures FOR <u>Five</u> DOLLARS CENTS	50	—	0.5	25	—
20	1 CY	Broken Stone, Loose Measure FOR <u>Twenty</u> DOLLARS CENTS	22	—	0.5	11	—
21	1 CY	Timberon Screenings FOR <u>Three</u> DOLLARS CENTS	3	—	0.5	1	50
22C-A (0-25 mm)	1 Ton	Prime Course Asphalt Concrete (Type I-A, 45 SNI) FOR <u>Fifty</u> DOLLARS CENTS	50	—	0.5	25	—

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
22CB (26-50 Ton)	1 Ton	Base Course Asphalt Concrete (Type 1A, 45 SN) FOR Forty DOLLARS CENTS	40	—	0.5	20	—
23	1 CY	Concrete Foundation for Pavement FOR NO 4 cement DOLLARS CENTS	0	01	0.5	0	01
24	1 CY	Cement Concrete Paving FOR Fifty DOLLARS CENTS	50	—	5	250	—
26S	1 LF	Concrete curb, Special FOR Thirty DOLLARS CENTS	30	—	3	90	—
27	1 SF	Cement Concrete Sidewalk FOR Twelve & Fifty cents DOLLARS CENTS	12	50	75	937	50
28	1 SF	Cement Concrete Driveway and Driveway Aprons FOR Twelve & Seventy Five cents DOLLARS CENTS	12	75	0.5	6	38
30	1 SF	Metall Reinforcement for Concrete Pavement FOR Two DOLLARS CENTS	2	—	0.5	1	—
35	1 LB	Bar Reinforcement for Structures FOR One DOLLARS CENTS	1	—	0.5	0	50

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID AMOUNT BID	
			DOLLARS	CENTS	FACTORS	CENTS
36S-A (0-25 Ton)	1 Ton	Asphalt Concrete, Special FOR <u>Thirty</u> DOLLARS CENTS	30	—	0.5	15
36S-B (25-50 Ton)	1 Ton	Asphalt Concrete, Special FOR <u>Thirty</u> DOLLARS CENTS	30	—	0.5	15
52	1 LF	Sewer Cutting Existing Concrete FOR <u>Nine</u> DOLLARS CENTS	9	—	0.5	4 50
52X	1 LF	Sewer Cutting Existing Asphalt FOR <u>Nine</u> DOLLARS CENTS	9	—	0.5	4 50
94C	1 LF	Timber and Lumber FOR <u>Two</u> DOLLARS CENTS	2	—	0.5	1
102A	1 Day	Maintenance & Protection of Traffic FOR <u>One Hundred Fifty</u> DOLLARS CENTS	150	—	3	450
500	1 SY	Sodding FOR <u>Ten</u> DOLLARS CENTS	10	—	0.5	5
504	1 SY	Grass Seeding FOR <u>Four</u> DOLLARS CENTS	4	—	0.5	2

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS	BID FACTOR	AMOUNT BID DOLLARS	CENTS
506	1 Pa.	Test Hole FOR Twenty DOLLARS CENTS	20 —	0.5	10	—
507	SY	Temporary Pavement FOR Thirty Eight DOLLARS CENTS	38 —	0.5	19	—
510	LS	Fence Asper Work FOR Twelve Thousand DOLLARS CENTS	10,000 —	1.5	15,000	00
511	1 CY	Day Road Dense Graded Aggregate FOR Four DOLLARS CENTS	4 —	0.5	2	—
512	LS	Adaptation FOR Twelve Thousand DOLLARS CENTS	12,000 —	1.5	18,000	00
519	1 CY	Sand Base in Place FOR Four DOLLARS CENTS	4 —	0.5	2	—
520	1 SY	Removal & Replacement of Asphalt Pavement and/or Asphalt Surfaced Concrete Pavement FOR One Hundred Forty DOLLARS CENTS	140 —	1.25	17,500	—
Item 500-5-A (P-107)	1 LP	Paint & Install 6-inch Diameter High Density Polyethylene Pipe (P-107) FOR Sixty Three DOLLARS CENTS	63 —	0.25	15	75

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
Item 600-6-B (11' & over)	1 LF	Furnish & Install 6-inch Diameter High Density Polyethylene Pipe (11' & over) FOR Thirty	30	—	0.25	7	50
Item 600-8-A (0' - 10')	1 LF	Furnish & Install 8-inch Diameter High Density Polyethylene Pipe (0' - 10') FOR Sixty Four	64	—	0.25	16	—
Item 600-8-B (11' & over)	1 LF	Furnish & Install 8-inch Diameter High Density Polyethylene Pipe (11' & over) FOR Thirty d Fifty Cents	30	50	0.25	7	43
Item 600-10-A (0' - 10')	1 LF	Furnish & Install 10-inch Diameter High Density Polyethylene Pipe (0' - 10') FOR Sixty Four	64	—	0.25	16	—
Item 600-10-B (11' & over)	1 LF	Furnish & Install 10-inch Diameter High Density Polyethylene Pipe (11' & over) FOR Thirty Two	31	—	0.25	7	75
Item 600-12-A (0' - 10')	1 LF	Furnish & Install 12-inch Diameter High Density Polyethylene Pipe (0' - 10') FOR Sixty Five	65	—	0.25	16	25
Item 600-12-B (11' & over)	1 LF	Furnish & Install 12-inch Diameter High Density Polyethylene Pipe (11' & over) FOR Thirty Two	32	—	0.25	8	—
Item 600A-6C	1 Ea.	Parallels & Install Electrodes Compling for 6" Diameter High Density Polyethylene Pipe FOR Five Hundred	500	—	0.25	125	—

CONTRACT NO. 880030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
Item 600A-8C	1 Ea.	Furnish & Install Electroflute Coupling for 8" Diameter High Density Polyethylene Pipe FOR FIVE HUNDRED TWENTY DOLLARS CENTS	510	—	0.25	127	50
Item 600A-10C	1 Ea.	Furnish & Install Electroflute Coupling for 10" Diameter High Density Polyethylene Pipe FOR FIVE HUNDRED TWENTY DOLLARS CENTS	520	—	0.25	131	25
Item 600A-12C	1 Ea.	Furnish & Install Electroflute Coupling for 12" Diameter High Density Polyethylene Pipe FOR FIVE HUNDRED TWENTY DOLLARS CENTS	625	—	0.25	156	25
Item 600A-8T	1 Ea.	Furnish & Install Electroflute "T" Fitting for High Density Polyethylene Pipe 8" x 8" x 8" Diameter FOR FIVE HUNDRED TWENTY DOLLARS CENTS	475	—	0.25	118	75
Item 600A-12T	1 Ea.	Furnish & Install Electroflute "T" Fitting for High Density Polyethylene Pipe 12" x 12" x 8" Diameter FOR FIVE HUNDRED TWENTY DOLLARS CENTS	640	—	0.25	160	—
Item 600A-8R	1 Ea.	Furnish & Install Electroflute Reducer Fitting for High Density Polyethylene Pipe 8" x 8" Diameter FOR THREE HUNDRED TWENTY DOLLARS CENTS	320	—	0.25	80	—
Item 600A-10R	1 Ea.	Furnish & Install Electroflute Reducer Fitting for High Density Polyethylene Pipe 10" x 8" Diameter FOR FIVE HUNDRED TWENTY DOLLARS CENTS	520	—	0.25	130	—
Item 600A-12R	1 Ea.	Furnish & Install Electroflute Reducer Fitting for High Density Polyethylene Pipe 12" x 10" Diameter FOR FIVE HUNDRED TWENTY DOLLARS CENTS	550	—	0.25	137	50

CONTRACT NO. SB030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BED FACTOR	BED AMOUNT BED	
			DOLLARS	CENTS		DOLLARS	CENTS
601-4-A (0-10')	1 LF	4" Dia. Ductile Iron Pipe, Furnish & Install FOR Eighty Eight DOLLARS CENTS	88	—	3	264	—
601-4-B (11' & over)	1 LF	4" Dia. Ductile Iron Pipe, Furnish & Install FOR Thirty Five DOLLARS CENTS	35	—	05	17	50
601-5-A (0-10')	1 LF	6" Dia. Ductile Iron Pipe, Furnish & Install FOR One Hundred Eight DOLLARS CENTS	108	—	25	2,700	—
601-5-B (11' & over)	1 LF	6" Dia. Ductile Iron Pipe, Furnish & Install FOR Fifty DOLLARS CENTS	50	—	10	500	—
601-6-A (0-10')	1 LF	8" Dia. Ductile Iron Pipe, Furnish & Install FOR One Hundred Eight DOLLARS CENTS	108	—	25	2,700	—
601-6-B (11' & over)	1 LF	8" Dia. Ductile Iron Pipe, Furnish & Install FOR Fifty & Fifty cents DOLLARS CENTS	50	50	10	505	—
601-10-A (0-10')	1 LF	10" Dia. Ductile Iron Pipe, Furnish & Install FOR One Hundred Eight DOLLARS CENTS	108	—	10	1,080	—
601-10-B (11' & over)	1 LF	10" Dia. Ductile Iron Pipe, Furnish & Install FOR Fifty & Fifty cents DOLLARS CENTS	50	50	10	505	—

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
601-12-A (0'-10')	1 LF	12" Dia. Ductile Iron Pipe, Furnish & Install FOR ONE HUNDRED TWENTY DOLLARS CENTS	120	—	3	360	—
601-12-B (11' & over)	1 LF	12" Dia. Ductile Iron Pipe, Furnish & Install FOR FIFTY EIGHT 4 DOLLARS CENTS	58	50	0.5	29	25
601-16-A (0'-10')	1 LF	16" Dia. Ductile Iron Pipe, Furnish & Install FOR ONE HUNDRED TWENTY THREE DOLLARS CENTS	123	—	3	369	—
601-16-B (11' & over)	1 LF	16" Dia. Ductile Iron Pipe, Furnish & Install FOR FIFTY EIGHT 4 DOLLARS CENTS	58	50	0.5	29	25
601-24-A (0'-10')	1 LF	24" Dia. Ductile Iron Pipe, Furnish & Install FOR ONE HUNDRED TWENTY FOUR DOLLARS CENTS	124	—	3	372	—
601-24-B (11' & over)	1 LF	24" Dia. Ductile Iron Pipe, Furnish & Install FOR SIXTY THREE DOLLARS CENTS	63	—	0.5	31	50
602-4A (0'-10')	1 LF	Furnish & Install 4 inch Diameter PVC Pipe & Fittings FOR FIFTY DOLLARS CENTS	50	—	0.5	25	—
602-4B (11' & over)	1 LF	Furnish & Install 4 inch Diameter PVC Pipe & Fittings FOR TWENTY DOLLARS CENTS	20	—	0.5	10	—

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
602-6A (0'-10')	1 LF	Furnish & Install 6-inch Diameter PVC Pipe & Fittings FOR <u>Seventy</u> DOLLARS CENTS	70	—	0.5	35	—
602-6B (11' & over)	1 LF	Furnish & Install 6-inch Diameter PVC Pipe & Fittings FOR <u>Thirty</u> DOLLARS CENTS	30	—	0.5	15	—
602-8A (0'-10')	1 LF	Furnish & Install 8-inch Diameter PVC Pipe & Fittings FOR <u>Seventy One</u> DOLLARS CENTS	71	—	0.5	35	50
602-8B (11' & over)	1 LF	Furnish & Install 8-inch Diameter PVC Pipe & Fittings FOR <u>Thirty One</u> DOLLARS CENTS	31	—	0.5	15	50
602-10A (0'-10')	1 LF	Furnish & Install 10-inch Diameter PVC Pipe & Fittings FOR <u>Seventy One</u> DOLLARS CENTS	71	—	0.5	35	50
602-10B (11' & over)	1 LF	Furnish & Install 10-inch Diameter PVC Pipe & Fittings FOR <u>Thirty One</u> DOLLARS CENTS	31	—	0.5	15	50
602-12A (0'-10')	1 LF	Furnish & Install 12-inch Diameter PVC Pipe & Fittings FOR <u>Seventy One</u> DOLLARS CENTS	71	—	0.5	35	50
602-12B (11' & over)	1 LF	Furnish & Install 12-inch Diameter PVC Pipe & Fittings FOR <u>Thirty One</u> DOLLARS CENTS	31	—	0.5	15	50

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS		UNIT PRICE		BID FACTOR	AMOUNT BID	
		DOLLARS	CENTS	DOLLARS	CENTS		DOLLARS	CENTS
603	1 LB.	Cashum Fittings FOR 1/2" Dia. Valve & Box, Furnish and Install	4	95	—	750	3,712	50
604-4	1 EA	4" Dia. Valve & Box, Furnish and Install FOR THREE HUNDRED NINETY FIVE	395	—	—	3	1,185	—
604-6	1 EA	6" Dia. Valve & Box, Furnish and Install FOR SIX HUNDRED	600	—	—	5	3,000	—
604-8	1 EA	8" Dia. Valve & Box, Furnish and Install FOR SIX HUNDRED TEN	610	—	—	5	3,060	—
604-10	1 EA	10" Dia. Valve & Box, Furnish and Install FOR SIX HUNDRED FORTY	640	—	—	0.5	307	50
604-12	1 EA	12" Dia. Valve & Box, Furnish and Install FOR SEVEN HUNDRED	700	—	—	0.5	350	—
605-16	1 EA	16" Dia. Valve & Box, Furnish and Install FOR SEVEN HUNDRED FIFTY	750	—	—	0.5	375	—
605-24	1 EA	24" Dia. Valve & Box, Furnish and Install FOR EIGHT HUNDRED	800	—	—	0.5	400	—

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS	UNIT PRICE CENTS	RED FACTOR	AMOUNT BID DOLLARS	CENTS
607	1 LB	Threaded Steel Thrust Ends and Appurtenances FOR 51x or Ninety ends DOLLARS CENTS	6	90	75	517	80
608	1 CY	Class C Concrete for Concrete Thrust Blocking & Concrete Piles FOR One Hundred Forty DOLLARS CENTS	140	—	0.5	70	—
609-A	1" Dia.	Cutting & Connecting to Existing Absorption Channel Waste Mains of Various Sizes FOR Eighty DOLLARS CENTS	80	—	5	400	—
609-B	1" Dia.	Cutting & Connecting to Existing Poly-Vinyl Chloride Waste Mains of Various Sizes FOR Thirty Five DOLLARS CENTS	35	—	5	175	—
609-C	1" Dia.	Cutting & Connecting to Existing Cast Iron or Ductile Iron Pipes FOR Two Hundred Ten DOLLARS CENTS	210	—	100	21,000	—
609-D	1" Dia.	Cutting & Connecting to Existing HDPE Pipe FOR Sixty DOLLARS CENTS	60	—	0.5	30	—
611-A	1 EA	Supply & Install Top Section of Valve Box & Cover FOR One Hundred DOLLARS CENTS	100	—	0.5	50	—
611-B	1 EA	Supply & Install Bottom Section of Valve Box FOR Twenty DOLLARS CENTS	20	—	0.5	10	—

CONTRACT NO. 880302Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
611-C	1 EA	Supply and Install Expansion Section of Valve Box FOR <u>HALF INCH</u> DOLLARS CENTS	80	-	0.5	10	-
611-D	1 EA	Supply and Install Top & Bottom Section of Valve Box & Cover FOR <u>ONE HUNDRED FIFTY</u> DOLLARS CENTS	150	-	0.5	75	-
612-A	1 EA	Raise Existing Valve Box to Proper Grade FOR <u>5.43 INCH</u> DOLLARS CENTS	70	-	0.5	35	-
612-B	1 EA	Strengthen and Patch Existing Valve Box FOR <u>SEVEN INCH</u> DOLLARS CENTS	75	-	0.5	37	50
612-C	1 EA	Supply & Install "Rise-Hire" to Raise Valve Box to Proper Grade FOR <u>5.64</u> DOLLARS CENTS	60	-	10	600	-
613	1 EA	Valve Packing Repair FOR <u>THIRD HUNDRED</u> DOLLARS CENTS	800	-	5	600	-
614-F	1 EA	Furnish and Install Complete Hydrant Assy FOR <u>THREE THOUSAND FORTY HUNDRED FIFTY</u> DOLLARS CENTS	3,450	-	25	86,250	-
614-G	1 EA	Furnish and Install Proper Section of Hydrant Assy FOR <u>FOUR HUNDRED FORTY FIVE</u> DOLLARS CENTS	425	-	10	4,250	-

CONTRACT NO. 880030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS	UNIT PRICE CENTS	BID FACTOR	AMOUNT BID DOLLARS	AMOUNT BID CENTS
614-H	1 EA	Rebate Existing Hydrants FOR <u>Seven Hundred Fifty</u> DOLLARS CENTS	750	—	0.5	375	—
614-H	1 EA	Rebate Existing Hydrants FOR <u>Seven Hundred Fifty</u> DOLLARS CENTS	750	—	0.5	375	—
615-A	1 EA	Rebate of Upper Hydrant Assembly FOR <u>Six Hundred Twenty</u> DOLLARS CENTS	620	—	10	6,200	—
615B-6	1 EA	Supply and Install 6" Length Hydrant River Assy FOR <u>Two Hundred Seventy Five</u> DOLLARS CENTS	275	—	5	1,375	—
615B-12	1 EA	Supply and Install 12" Length Hydrant River Assy FOR <u>Two Hundred Eighty Five</u> DOLLARS CENTS	285	—	1	285	—
615B-18	1 EA	Supply and Install 18" Length Hydrant River Assy FOR <u>Two Hundred Ninety Five</u> DOLLARS CENTS	295	—	0.5	147	50
615B-24	1 EA	Supply and Install 24" Length Hydrant River Assy FOR <u>Three Hundred Five</u> DOLLARS CENTS	305	—	0.5	152	50
615B-30	1 EA	Supply and Install 30" Length Hydrant River Assy FOR <u>Three Hundred Eighty</u> DOLLARS CENTS	315	—	0.5	157	50

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS	BID FACTOR	AMOUNT BID DOLLARS CENTS
61B-36	1 EA	Supply & Install 2" Long 1/2" Hydraulic Riser Assy FOR One Hundred Fifty Five DOLLARS CENTS	325 —	0.5	162 50
61C	1 EA	Repair of Hydraulic Valve & Drain Assembly FOR Five Hundred Twenty Five DOLLARS CENTS	525 —	10	5,250 —
61D	1 EA	Supply & Install Hydraulic Riser Assy FOR Four Hundred Seventy Five DOLLARS CENTS	475 —	20	9,500 —
61E-G	1 EA	Inspection, Restoration & Basin Maintenance of Hydrants FOR One Hundred Five DOLLARS CENTS	105 —	300	31,500 —
61G	1 EA	Inspection & Operation Water Main Valve and Box FOR Fifty DOLLARS CENTS	50 —	150	7,500 —
62	1 EA	Painting Hydrant FOR Sixty Five DOLLARS CENTS	65 —	50	3,250 —
623	1 EA	Sampling Tap & Connection FOR One Hundred DOLLARS CENTS	100 —	0.5	50 —
624	1 EA	Emergency Repair Chlorination of Water Mains & Appurtenances FOR One Hundred DOLLARS CENTS	100 —	0.5	50 —

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS	UNIT PRICE CENTS	BID FACTOR	AMOUNT BID DOLLARS	AMOUNT BID CENTS
625	1 EA	Hydrant Bollards FOR ONE Hundred Fifty DOLLARS CENTS	150	—	5	750	—
625-P	1 EA	Painting Bollards FOR THIRTY Five DOLLARS CENTS	35	—	5	175	—
625-3/4	1 EA	3/4" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & ONE cent DOLLARS CENTS	0	01	0.5	0	01
625-1	1 EA	1" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & ONE cent DOLLARS CENTS	0	01	0.5	0	01
625-1 1/4	1 EA	1 1/4" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & ONE cent DOLLARS CENTS	0	01	0.5	0	01
625-1 1/2	1 EA	1 1/2" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & ONE cent DOLLARS CENTS	0	01	0.5	0	01
625-2	1 EA	2" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & ONE cent DOLLARS CENTS	0	01	0.5	0	01
625-2 1/2	1 EA	2 1/2" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & ONE cent DOLLARS CENTS	0	01	0.5	0	01

CONTRACT NO. S80630Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS	UNIT PRICE CENTS	BID FACTOR	AMOUNT BID DOLLARS	CENTS
625-3	1 EA.	3" Red Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO. 4 One cent DOLLARS	0	01	0.5	0	01
626-4	1 EA.	4" Red Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO. 4 One cent DOLLARS	0	01	0.5	0	01
626-5	1 EA.	6" Red Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO. 4 One cent DOLLARS	0	01	0.5	0	01
626-8	1 EA.	8" Red Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO. 4 One cent DOLLARS	0	01	0.5	0	01
627-3/4	1 EA.	3/4" Red Double Check Valve Backflow Prevention Device Inside a Building FOR NO. 4 One cent DOLLARS	0	01	0.5	0	01
627-1	1 EA.	1" Red Double Check Valve Backflow Prevention Device Inside a Building FOR NO. 4 One cent DOLLARS	0	01	0.5	0	01
627-1 1/2	1 EA.	1 1/2" Red Double Check Valve Backflow Prevention Device Inside a Building FOR NO. 4 One cent DOLLARS	0	01	0.5	0	01
627-2	1 EA.	2" Red Double Check Valve Backflow Prevention Device Inside a Building FOR NO. 4 One cent DOLLARS	0	01	0.5	0	01

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS	UNIT PRICE CENTS	BID FACTOR	AMOUNT BID DOLLARS	AMOUNT BID CENTS
627-2 1C	1 EA	2" F&I Double Check Valve Backflow Prevention Device Inside a Building FOR NO. 4 DOLLARS CENTS	0	01	0.5	0	01
627-3	1 EA	3" F&I Double Check Valve Backflow Prevention Device Inside a Building FOR NO. 4 DOLLARS CENTS	0	01	0.5	0	01
627-4	1 EA	4" F&I Double Check Valve Backflow Prevention Device Inside a Building FOR NO. 4 DOLLARS CENTS	0	01	0.5	0	01
627-6	1 EA	6" F&I Double Check Valve Backflow Prevention Device Inside a Building FOR NO. 4 DOLLARS CENTS	0	01	0.5	0	01
627-8	1 EA	8" F&I Double Check Valve Backflow Prevention Device Inside a Building FOR NO. 4 DOLLARS CENTS	0	01	0.5	0	01
628	1 EA	Flow Testing of Water Main FOR TWO HUNDRED THIRTY DOLLARS CENTS	230	-	5	690	-

TOTAL COMPARISON BID PRICE

268,408.⁶⁰/₁₀₀

TOTAL OR GROSS BID MUST BE WRITTEN IN WORDS

FOR Two Hundred Sixty Eight Thousand Four Hundred Two
DOLLARS

Sixty
CENTS

PROPOSAL: For all work in accordance with the drawings and specifications:

BANKER CONSTRUCTION CORP

(Individual, Firm or Corporation as case may be)

Individual's Social Security Number _____

Firm or Corporation's Federal ID Number 11-1876887

Firm or Corporation's Municipal License ID Number _____

Municipal Licensing Agency _____

By: _____

Date: 9/27/11

(Print)

STEPHEN M. BEYER

Title:

PRESIDENT

WHERE BIDDER IS A CORPORATION, ADD

ATTEST: _____

Secretary

(CORPORATE)
(SEAL)

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE STATEMENT

1. Name of Firm: BANKER CONSTRUCTION CORP
Address: 218 Blydenburgh Road
City and State: Islandia, NY 11749 Zip Code: _____

2. Firm's Vendor Identification Number: _____

3. Type of Business: _____ Public Corp. _____ Partnership _____ Sole Proprietorship _____ Joint Venture
_____ Ltd Liability Company ☒ Closely Held Corp. _____ Other (specify) _____

4. List names and address of all principals that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of joint ventures, and all members and officers of Limited Liability Companies (attach additional sheet (s) if necessary)

STEPHEN M. BEYER PRESIDENT

MICHAEL S. BEYER Exec. Vice President

5. List all names and address of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. (* If a Publicly held Corporation include a copy of 10K form in lieu of completing this section) (attach additional sheet (s) if necessary).

STEPHEN M. BEYER PRESIDENT

MICHAEL S. BEYER Exec. Vice President

6. List all affiliated and related companies and their relationship to the firm entered on line 1 (one) above [if none, enter "None"] (* include a separate disclosure form for each affiliated or subsidiary company) (attach additional sheet (s) if necessary).

None

7. VERIFICATION: This section must be signed by a principal of the Consultant, Contractor or Vendor authorized as signator of the firm for the purpose of executing contracts. The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her own knowledge, true.

Dated: 9/27/11

Signed: 

Print Name: STEPHEN M. BEYER

Title: PRESIDENT

8117 207

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 53 years
2. How many years experience in the construction work of a similar type as this contract has your firm had,

a. as a Prime Contractor 53

b. as a Subcontractor 53

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

SEE ATTACHED CCA-2 FORM

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

Previous Successful Bidder

(use additional blank sheets if additional space is necessary)

5. Have you:
 - a. ever failed to complete any work awarded to You? NO
If so, identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

- b. ever been defaulted on a contract? NO
If so, identify the project, the owner, the contract amount, the circumstances and the date of all default actions.

**NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE
FOR PROFIT CONSTRUCTION (CCA-2)**

BUSINESS ENTITY INFORMATION				
Legal Business Name Bancker Construction Corp.			EIN 11-1876887	
Complete Address of the Principal Place of Business 218 Glydenburgh Road			Phone Number 631-582-8830	Fax Number 631-582-3698
E-mail shever@bancker.com			Website www.bancker.com	
Authorized Contact for this Questionnaire				
Name Stephen M. Hoyer			Phone Number 631-582-8830	Fax Number 631-582-3698
Title President			Email	
Additional Business Entity Identifiers: If applicable, list any other <u>DEA</u> , <u>Trade Name</u> , <u>Former Name</u> , <u>Other Identity</u> , or <u>EIN</u> used in the last five (5) years, the state or county where filed and the status (active or inactive).				
Type	Name	EIN	State or County where filed	Status

I. BUSINESS CHARACTERISTICS	
LO Business Entity Type - Check appropriate box and provide additional information:	
a) <input checked="" type="checkbox"/> Corporation (including PC)	Date of Incorporation 11/25/1958
b) <input type="checkbox"/> Limited Liability Company (LLC or PLLC)	Date Organized
c) <input type="checkbox"/> Limited Liability Partnership	Date of Registration
d) <input type="checkbox"/> Limited Partnership	Date Established
e) <input type="checkbox"/> General Partnership	Date Established County (if formed in
f) <input type="checkbox"/> Sole Proprietor	How many years in business?
g) <input type="checkbox"/> Other	Date Established
If Other, explain:	
1.1 Was the Business Entity formed in New York State? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
If "No" indicate jurisdiction where the Business Entity was formed:	
<input type="checkbox"/> United States	State _____
<input type="checkbox"/> Other	Country _____

* All underlined terms are defined in the "New York State Vendor Responsibility Definitions List," which can be found at <https://www.nysc.state.ny.us/vendors/documents/definitions.pdf>.

NOTE: THESE TERMS MAY NOT HAVE THEIR ORDINARY, COMMON OR TRADITIONAL MEANINGS. Each vendor is strongly encouraged to read the respective definitions for any and all underlined terms. BY SUBMITTING THIS QUESTIONNAIRE, THE VENDOR AGREES TO BE BOUND BY THE TERMS AS DEFINED IN THE "NEW YORK STATE VENDOR RESPONSIBILITY DEFINITIONS LIST" as it existed at the time of certification.

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR PROFIT CONSTRUCTION (CCA-2)

ETN: 11-1876887

I. BUSINESS CHARACTERISTICS			
1.2 Is the Business Entity currently registered to do business in New York State? <i>Note: Select "Not Required" if the Business Entity is a Sole Proprietor or General Partnership.</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required
If "No," explain why the Business Entity is not required to be registered to do business in New York State:			
1.3 Is the responding Business Entity a Joint Venture? <i>Note: If the submitting Business Entity is a Joint Venture, also submit a separate questionnaire for each Business Entity comprising the Joint Venture.</i>			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
1.4 If the Business Entity's Principal Place of Business is not in New York State, does the Business Entity maintain an office in New York State? <i>(Select "N/A" if Principal Place of Business is in New York State.)</i>			<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> N/A
If "Yes," provide the address and telephone number for one office located in New York State:			
1.5 Is the Business Entity a New York State certified Minority-Owned Business Enterprise, or Women-Owned Business Enterprise, or New York State Small Business, or federally certified Disadvantaged Business Enterprise?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," check all that apply: <input type="checkbox"/> New York State certified Minority-Owned Business Enterprise (MBE) <input type="checkbox"/> New York State certified Women-Owned Business Enterprise (WBE) <input type="checkbox"/> New York State Small Business <input type="checkbox"/> Federally certified Disadvantaged Business Enterprise (DBE)			
1.6 Identify each person who is, or has been within the past five (5) years, a Business Entity Official or Principal Owner of 5.0% or more of the firm's shares, or one of the five largest shareholders or a director, an officer, a partner or a proprietor. Joint Ventures: Provide information for all firms involved. <i>(Attach additional pages if necessary.)</i>			
Name	Title	Percentage Ownership (Enter 0% if not applicable)	Employment Status with the Firm
Stephen M. Beyer	President		<input checked="" type="checkbox"/> Current <input type="checkbox"/> Former
Michael S. Beyer	Exec. Vice President		<input checked="" type="checkbox"/> Current <input type="checkbox"/> Former
			<input type="checkbox"/> Current <input type="checkbox"/> Former
			<input type="checkbox"/> Current <input type="checkbox"/> Former
II. AFFILIATE and JOINT VENTURE RELATIONSHIPS			
2.0 Are there any other construction-related firms in which, now or in the past five years, the submitting Business Entity or any of the individuals listed in question 1.6 either owned or owns 5.0% or more of the shares of, or was or is one of the five largest shareholders or a director, officer, partner or proprietor of said other firm?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No See Attached List
Firm/Company Name	Firm/Company EIN (if available)	Firm/Company's Primary Business Activity	
SEE ATTACHED LIST			

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR PROFIT CONSTRUCTION (CCA-2)

EIN: 11-1876887

II. AFFILIATE and JOINT VENTURE RELATIONSHIPS		
Firm/Company Address		
Explain relationship with the firm and indicate percent ownership, if applicable (enter N/A, if not applicable):		
Are there any shareholders, directors, officers, owners, partners or proprietors that the submitting Business Entity has in common with this affiliate?		<input type="checkbox"/> Yes <input type="checkbox"/> No
Individual's Name	Position/Title with Firm/Company	
2.1 Does the Business Entity have any construction-related affiliates not identified in the response to 2.0 above?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Affiliate Name	Affiliate EIN (if available)	Affiliate's Primary Business Activity
Affiliate Address		
Explain relationship with the affiliate and indicate percent ownership, if applicable (enter N/A, if not applicable):		
Are there any shareholders, directors, officers, owners, partners or proprietors that the submitting Business Entity has in common with this firm?		<input type="checkbox"/> Yes <input type="checkbox"/> No
Individual's Name	Position/Title with Firm/Company	
2.2 Has the Business Entity participated in any construction joint ventures within the past three (3) years? Attach additional pages if necessary.		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Joint Venture Name	Joint Venture EIN (if available)	Identify parties to the Joint Venture

III. CONTRACT HISTORY

3.0 List the ten most recent construction contracts the Business Entity has completed using Attachment A -- Completed Construction Contracts, found at http://www.osc.state.ny.us/vendor/documents/attachmenta.doc . If less than ten, include most recent subcontracts on projects up to that number.
3.1 List all current uncompleted construction contracts by using Attachment B -- Uncompleted Construction Contracts, found at http://www.osc.state.ny.us/vendor/documents/attachmentb.doc .

IV. INTEGRITY -- CONTRACT BIDDING

Within the past five (5) years, has the Business Entity, an affiliate or any predecessor company or entity:

4.0 Been suspended or debarred from any government contracting process or been disqualified on any government procurement?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.1 Been subject to a denial or revocation of a government prequalification?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.2 Had any bid rejected by a government entity for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.3 Had a proposed subcontract rejected by a government entity for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.4 Had a low bid rejected on a government contract for failure to make good faith efforts on any Minority-Owned Business Enterprise, Women-Owned Business Enterprise or Disadvantaged Business Enterprise goal or statutory affirmative action requirements on a previously held contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

**NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE
FOR PROFIT CONSTRUCTION (CCA-2)**

EIN: 11-1876887

IV. INTEGRITY - CONTRACT BIDDING

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

4.5 Agreed to a voluntary exclusion from bidding/contracting with a government entity? ☐ Yes ☒ No

4.6 Initiated a request to withdraw a bid submitted to a government entity or made any claim of an error on a bid submitted to a government entity? ☐ Yes ☒ No

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity involved, project(s), relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

V. INTEGRITY - CONTRACT AWARD

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

5.0 Defaulted on or been suspended, cancelled or terminated for cause on any contract? ☐ Yes ☒ No

5.1 Been subject to an administrative proceeding or civil action seeking specific performance or restitution (except any disputed work proceeding) or requiring the Business Entity to enter into a formal monitoring agreement in connection with any government contract? ☐ Yes ☒ No

5.2 Had its surety called upon to complete any contract whether government or private sector? ☐ Yes ☒ No

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity/owners involved, project(s), contract number(s), relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

VI. CERTIFICATIONS/LICENSES

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

6.0 Had a revocation or suspension of any business or professional permit and/or license? ☐ Yes ☒ No

6.1 Had a denial, decertification, revocation or forfeiture of New York State certification of Minority-Owned Business Enterprise, Women-Owned Business Enterprise or a federal certification of Disadvantaged Business Enterprise status, for other than a change of ownership? ☐ Yes ☒ No

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

VII. LEGAL PROCEEDINGS

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

7.0 Been the subject of a criminal investigation, whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or federal law? ☐ Yes ☒ No

7.1 Been the subject of:
(i) An indictment, grant of immunity, judgment or conviction (including entering into a plea bargain) for conduct constituting a crime; or
(ii) Any criminal investigation, felony indictment or conviction concerning the formation of, or any business association with, an allegedly false or fraudulent Minority-Owned Business Enterprise, Women-Owned Business Enterprise, or a Disadvantaged Business Enterprise? ☐ Yes ☒ No

7.2 Received any OSHA citation and Notification of Penalty containing a violation classified as serious or willful? SEE ATTACHED ☒ Yes ☐ No

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR PROFIT CONSTRUCTION (CCA-2)

EIN: 11-1876887

VII. LEGAL PROCEEDINGS	
Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:	
7.3 Had a government entity find a willful prevailing wage or supplemental payment violation?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7.4 Had a New York State Labor Law violation deemed willful?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7.5 Entered into a consent order with the New York State Department of Environmental Conservation, or a federal, state or local government enforcement determination involving a violation of federal, state or local environmental laws?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7.6 Other than previously disclosed, been the subject of any citations, notices, violation orders, pending administrative hearings or proceedings or determinations of a violation of: <ul style="list-style-type: none"> ▪ Federal, state or local health laws, rules or regulations; ▪ Federal, state or local environmental laws, rules or regulations; ▪ Unemployment insurance or workers compensation coverages or claim requirements; ▪ Any labor law or regulation, which was deemed willful; ▪ Employee Retirement Income Security Act (ERISA); ▪ Federal, state or local human rights laws; ▪ Federal, state or local security laws? 	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<p><i>For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.</i></p> <p>Prior to the scheduled hearing, Bancker Construction Corp. settled with the DoL on a reduction of fines and citation classifications to one "serious" and two "other-than-serious" violations. Bancker maintained its innocence to each of the alleged citations and no admissions of liability were contained in the settle ment. Please note that there was no incident or injury stemming from the alleged citations, only allegations of OSHA non-compliance.</p>	

VIII. LEADERSHIP INTEGRITY	
If the Business Entity is a Joint Venture Entity, answer "N/A - Not Applicable" to questions in this section.	
Within the past five (5) years has any individual previously identified or any individual having the authority to sign, execute or approve bids, proposals, contracts or supporting documentation on behalf of the Business Entity with New York State been subject to:	
8.0 A sanction imposed relative to any business or professional permit and/or license?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
8.1 A criminal investigation, whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or federal law?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
8.2 Misdemeanor or felony charge, indictment or conviction for: (i) Any business-related activity including but not limited to fraud, coercion, extortion, bribe or bribe-receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering, mail fraud, wire fraud, price-fixing or collusive bidding; or (ii) Any crime, whether or not business-related, the underlying conduct of which related to truthfulness, including but not limited to the filing of false documents or false sworn statements, perjury or larceny?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
8.3 A debarment from any government contracting process?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
<p><i>For each "Yes," provide an explanation of the issue(s), the individual involved, the relationship to the submitting Business Entity, the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.</i></p>	

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR PROFIT CONSTRUCTION (CCA-2)

EIN: 11-1876887

IX. FINANCIAL AND ORGANIZATIONAL CAPACITY

9.0 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> received any formal unsatisfactory performance assessment(s) from any government entity on any contract?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> , the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.			
9.1 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> had any <u>liquidated damages</u> assessed over \$25,000?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> , relevant dates, the contracting party involved, the amount assessed and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.			
9.2 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> had any <u>liens, claims or judgments</u> (not including UCC filings) over \$25,000 filed against the <u>Business Entity</u> which remain <u>undischarged</u> or were <u>unsatisfied</u> for more than 90 days?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> , relevant dates, the <u>Lien holder or Claimants' name(s)</u> , the amount of the <u>lien(s)</u> and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.			
9.3 In the last seven (7) years, has the <u>Business Entity</u> or any <u>affiliate</u> initiated or been the subject of any bankruptcy proceedings, whether or not closed, or is any bankruptcy proceeding pending?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> , the bankruptcy chapter number, the court name and the docket number. Indicate the current status of the proceedings as "Initiated," "Pending" or "Closed." Provide answer below or attach additional sheets with numbered responses.			
9.4 What is the <u>Business Entity's</u> <u>Bonding Capacity</u> ?			
a. Single Project		b. Aggregate (All Projects)	
9.5 List <u>Business Entity's</u> Gross Sales for the previous three (3) Fiscal Years:			
1st Year (Indicate year) 2010 Gross Sales	2nd Year (Indicate year) 2009 Gross Sales	3rd Year (Indicate year) 2008 Gross Sales	
9.6 List <u>Business Entity's</u> Average Backlog for the previous three (3) fiscal years: (Estimated total value of uncompleted work on outstanding contracts)			
1st Year (Indicate year) 2010 Amount	2nd Year (Indicate year) 2009 Amount	3rd Year (Indicate year) 2008 Amount	
9.7 Attach <u>Business Entity's</u> annual <u>financial statement</u> and accompanying notes or complete Attachment C - Financial Information, found at http://www.cse.state.ny.us/vendor/documents/attachments.xls			

X. FREEDOM OF INFORMATION LAW (FOIL)

10.0 Indicate whether any information provided herein is believed to be exempt from disclosure under the Freedom of Information Law (FOIL). Note: A determination of whether such information is exempt from FOIL will be made at the time of any request for disclosure under FOIL. Attach additional pages if necessary.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Indicate the question number(s) and explain the basis for the claim:	

NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE
FOR PROFIT CONSTRUCTION (CCA-2)

Certification

The undersigned: (1) recognizes that this questionnaire is submitted for the express purpose of assisting New York State contracting entities in making responsibility determinations regarding an award of a contract or approval of a subcontract; (2) recognizes that the Office of the State Comptroller (OSC) will rely on information disclosed in the questionnaire in making responsibility determinations and in approving a contract or subcontract; (3) acknowledges that the New York State contracting entities and OSC may, in their discretion, by means which they may choose, verify the truth and accuracy of all statements made herein; and (4) acknowledges that intentional submission of false or misleading information may constitute a misdemeanor or felony under New York State Penal Law, may be punishable by a fine and/or imprisonment under Federal Law, and may result in a finding of non-responsibility, contract suspension or contract termination.

The undersigned certifies that he/she:

- is knowledgeable about the submitting Business Entity's business and operations;
- has read and understands all of the questions contained in the questionnaire;
- has not altered the content of the questionnaire in any manner;
- has reviewed and/or supplied full and complete responses to each question;
- to the best of his/her knowledge, information and belief, confirms that the Business Entity's responses are true, accurate and complete, including all attachments, if applicable;
- understands that New York State will rely on the information disclosed in the questionnaire when entering into a contract with the Business Entity; and
- is under obligation to update the information provided herein to include any material changes to the Business Entity's responses at the time of bid/proposal submission through the contract award notification, and may be required to update the information at the request of the New York State contracting entities or OSC prior to the award and/or approval of a contract, or during the term of the contract.

Signature of Owner/Officer



Printed Name of Signatory

Stephen M. Beyer

Title

President

Name of Business

Banker Construction Corp.

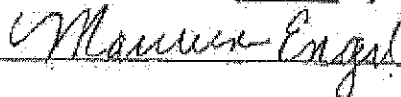
Address

218 Blydenburgh Road

City, State, Zip

Islandia, NY 11749

Sworn to before me this 17 day of March, 2011:



Notary Public

MAUREEN ENGEL
Notary Public, State of New York
No. 01694842637
Qualified in Suffolk County,
Commission Expires Dec. 28, 2014

c. ever been declared a non-responsible bidder by any municipality or public agency? NO
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? NO
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all disbarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? NO
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? NO
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? NO
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

- d. that has ever been barred from bidding municipal or public contracts? NO
If so, state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? NO
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
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SEE ATTACHED "Organizational chart"

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	--	------------------	------------------

SEE ATTACHED "Equipment List"

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

N/A

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?
Explain in detail.

Previous Successful Bidder

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

Per NCDPW

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

MICHAEL S. BEYER

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

SEE ATTACHED Insurance Certificate
"Sample"

Per Specs.

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "Qualifications and Responsibility of Bidders" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

(Form of affidavit where Bidder is a corporation)
STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU)

That he resides at _____ Street,
in the City of _____ that he is the **PRESIDENT** of
BANKER CONSTRUCTION CO.,

MAJHEEN ENQEL
Notary Public, State Of New York
No. 01ENMB42537
Qualified in Suffolk County
Commission Expires Sept. 25, 2011

Notary

STATE OF NEW YORK)
COUNTY OF NASSAU) ss.:

Notary

STATE OF NEW YORK)
COUNTY OF NASSAU) ss.:

Notary

COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name of lobbyist(s)/lobbying organization: The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission; or to otherwise engage in lobbying activities as the term is defined herein. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

NONE

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

NONE

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

NONE

Page 2 of 4

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.
NONE

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:
NONE

Page 3 of 4

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: June 22, 2015

Signed: 

Print Name: Michael S Beyer

Title: President

Page 4 of 4:

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

REQUEST TO INITIATE
REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID
CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC

☐ RFQ ☐ RFP ☐ RFBC ☐ In-House Work Order

Project No.: S80030Z Project Title: Water Main Requirements Contract

Department: Public Works Date: June 8, 2015

Service Requested: Additional funding to the Water Main Requirements Contract necessary to repair, replace and upgrade County owned water mains, fire hydrants and appurtenances.

Justification: Nassau County owns miles of water mains, hundreds of hydrants and thousands of water main valves on properties across the County. Repair and maintenance of these facilities is crucial to both the potable supply and fire protection provided by them. This funding will allow continued maintenance of this important piece of infrastructure.

Requested by: DPW
Department/Agency/Office

Project Cost for this Phase: \$100,000

Total Project Cost: \$100,000 Justification: _____ Date Start Work: ASAP
Includes design, construction and c/m

Capital Funding Approval:

☒ YES

☐ NO

[Signature]
SIGNATURE

Funding Allocation (Project/subobject): 90403

See Attached Sheet if multiyear ☐

Department Head Approval:

☒ YES

☐ NO

[Signature]
SIGNATURE

DCE/Ops Approval:

☐ YES

☐ NO

[Signature]
SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

See Attached Sheet ☐

Vendor	Quote	Comment
--------	-------	---------

1. _____

2. _____

3. _____

4. _____

DCE/Ops Approval:

YES

NO

Signature _____

EDWARD P. MANGANO
COUNTY EXECUTIVE



SHILA SHAH-GAVNOUDIAS, P.E.
COMMISSIONER

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
1194 PROSPECT AVENUE
WESTBURY, NEW YORK 11590-2723

November 24, 2014

Bancker Construction Corp.
218 Blydenburgh Road
Islandia, New York 11749

Att: Mr. Michael S. Beyer

Re: Requirements Contract No. S80030Z
Extension of Time

To Whom It May Concern:

This serves as a response to your letter dated October 29, 2014. In accordance with Article XIII of the Contract S80030Z, the contract duration is hereby extended by mutual agreement between the County and Bancker Construction Corp. The previous expiration date of November 15, 2014 is now extended to November 15, 2015.

All other terms and conditions of the contract remain unchanged. The extension does not relieve you from any of the other terms and conditions of the contract or from full responsibility of performance of all obligations thereunder.

If you have any questions, please contact Scott Urban, Superintendent of Storm Water Basins at (516) 571-6967.

Very truly yours,

A handwritten signature in dark ink, appearing to read "Shila SG", is written over the typed name.

Shila Shah-Gavnooudias, P.E.
Commissioner of Public Works

SSG:WSN:red
Attachment

c: William J. Muller III, Clerk of the Legislature
Richard P. Millet, Chief Deputy Commissioner of Public Works
William S. Nimmo, Deputy Commissioner of Public Works
Scott W. Urban, Superintendent of Storm Water Basins
Susan Solomon, Accounting Assistant IV
Janet Duncan, Clerk II, Comptroller's Office