

B-5.67

Contract ID:CHPW12000007 Department: Public Works

Capital: X

SERVICE: Water Main Requirements Contract-Amendment 2

NIFS ID #:CLPW17000016

NIFS Entry Date: 06-APR-17

Term: from 15-NOV-12 to 15-NOV-17

| Amendment           |  |
|---------------------|--|
| Time Extension: X   |  |
| Addl. Funds:X       |  |
| Blanket Resolution: |  |
| RES#                |  |

| 1) Mandated Program:                                | N |
|---|---|
| 2) Comptroller Approval Form Attached:              | Y |
| 3) CSEA Agmt. § 32 Compliance Attached:             | Y |
| 4) Vendor Ownership & Mgmt.<br>Disclosure Attached: | Y |
| 5) Insurance Required                               | N |

| Vendor Info:  |                               |
|---|-------------------------------|
| Name: Bancker Construction Corp.                          | Vendor ID#: 111876887         |
| Address: 218 Blydenburgh Road<br>Islandia, New York 11749 | Contact Person: Michael Beyer |
|   | Phone: 631-582-8880           |

| Department:                   |  |   |
|-------------------------------|--|---|
| Contact Name: Scott Urban     | ······································ |   |
| Address: 1194 Prospect Avenue |  |   |
| Westbury, New York 11590      |  | (70)<br>(1)<br>(1)<br>(2)<br>(2)<br>(2) |
| Phone: 516-571-6840           | 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1  |   |
|                               |  | 300                                     |

# **Routing Slip**

| Department   | NIFS Entry: X             | 08-JUN-17 LDIONISIO |
|--------------|---------------------------|---------------------|
| Department   | NIFS Approval: X          | 12-JUL-17 KARNOLD   |
| DPW          | Capital Fund Approved: X  | 14-JUL-17 LDIONISIO |
| OMB          | NIFA Approval: X          | 14-JUL-17 RDALLEVA  |
| OMB          | NIFS Approval: X          | 13-JUL-17 MVOCATURA |
| County Atty. | Insurance Verification: X | 13-JUL-17 AAMATO    |
| County Atty. | Approval to Form: X       | 13-JUL-17 NSARANDIS |
| Dep. CE      | Approval: X               | 17-JUL-17 CRIBANDO  |
|              |                           |                     |

| Leg. Affairs | Approval/Review: X | 14-JUL-17 MREYNOLDS |
|--------------|--------------------|---------------------|
| Legislature  | Approval:          |                     |
| Comptroller  | NIFS Approval:     |                     |
| NIFA         | NIFA Approval:     |                     |

### **Contract Summary**

Purpose: This Requirements Contract provides for the emergency and routine repair, replacement and upgrade of County owned water mains and appurtenances that are beyond in-house capabilities. These facilities are required for the supply of both potable water and fire protection at County owned facilities and buildings. A large unanticipated water main offset associated with the Wheatley Road Drainage Project, another offset associated with the Middle Neck Road Drainage project, combined with a number of emergency breaks and necessary repairs at other County Facilities has caused a rise in costs associated with this contract that are above the initial spending cap. Additional work is required at a number of County facilities including mandatory testing of hydrants, the repair and relocation of hydrants and replacement of meters of meters.

Method of Procurement: The contractor was previously selected through an Open Competitive Bidding process.

**Procurement History:** Contract Amendment # 2 will increase the contract value by \$ 700,000.00 and allow for the repair of several emergency water breaks and additional infrastructure repairs including hydrants, valves, metering and backflow prevention.

**Description of General Provisions:** The County wishes to extend Contract No. S80030Z to November 15, 2017. The County wished to increase the contract maximum amount for an additional \$ 700,000.00.

Impact on Funding / Price Analysis: An additional \$700,000.00 is requested for work within this contract. The current expiration date is November 15, 2016. A new contract is in preparation to bid and any remaining funds will be disencumbered form the existing contract for use in the subsequent contract. Project funding will come from Capital Project 90403. The maximum amount will be increased by \$700,000.00.

Change in Contract from Prior Procurement: To extend Contract No. S80030Z to November 15, 2017. The County wished to increase the contract maximum amount for an additional \$ 700,000.00.

Recommendation: (approve as submitted) Approve as submitted.

#### **Advisement Information**

| BUDGET CODES |       |  |  |
|--------------|-------|--|--|
| Fund: CAP    |       |  |  |
| Control:     | 90    |  |  |
| Resp:        | 403   |  |  |
| Object:      | 00004 |  |  |
| Transaction: |       |  |  |
| Project #:   | 90403 |  |  |
| Detail:      | 000   |  |  |

| RENEWAL  |  |  |
|----------|--|--|
| %        |  |  |
| Increase |  |  |
| %        |  |  |
| Decrease |  |  |

| FUNDING<br>SOURCE | AMOUNT        |  |
|-------------------|---------------|--|
| Revenue           |               |  |
| Contract:         |               |  |
| County            | \$ 0.00       |  |
| Federal           | \$ 0.00       |  |
| State             | \$ 0.00       |  |
| Capital           | \$ 200,000.00 |  |
| Other             | \$ 0.00       |  |
| TOTAL             | \$ 200,000.00 |  |

| LINE | INDEX/OBJECT<br>CODE     | AMOUNT        |  |
|------|--------------------------|---------------|--|
| 1    | PWCAPCAP/90403/<br>00004 | \$ 200,000.00 |  |
|      |                          | \$ 0.00       |  |
|      |                          | \$ 0.00       |  |
| ·    |                          | \$ 0.00       |  |
|      |                          | \$ 0.00       |  |
|      |                          | \$ 0.00       |  |
|      | TOTAL                    | \$ 200,000.00 |  |

# NIFA Nassau County Interim Finance Authority

# Contract Approval Request Form (As of January 1, 2015)

| 0000  |   |  |
|---|---|--|
|   |   |  |
|   |   |  |
| f contract<br>ncreasing funds above<br>amendment only | the amount previously appr  | oved by NIFA   |
| ced? Y  |   |  |
| er main work as neces                                 | sary  |  |
|   |   |  |
| Grant Fund (GRT)                                      | Federal % 0<br>State % 0<br>County % 0  |  |
| tract?  | N   |  |
|   | Υ   |  |
| ng?   | Υ   |  |
| ?   | N   |  |
| e) of the item for whic                               | h this approval is request  | ed:  |
|   |   |  |
| proper procedures ar                                  | nd thereby approved by the  | e:   |
| Υ   |   |  |
|   |   |  |
| ution where approva                                   | l for this item was provide   | d:   |
|   | f contract creasing funds above amendment only  ced? Y er main work as neces Grant Fund (GRT)  ract?  g? ?  of the item for whice routine repair, replacement of for the supply of both potal with the Wheatley Road Dreat of the supply of both potal cytonal work is required at a ers of meters. | f contract creasing funds above the amount previously appr amendment only  ced? Y  er main work as necessary  Grant Fund (GRT) Federal % 0 State % 0 County % 0  ract? N Y g? Y ? N  of the item for which this approval is request routine repair, replacement and upgrade of County owned water d for the supply of both potable water and fire protection at Cour lwith the Wheatley Road Drainage Project, another offset assoc by breaks and necessary repairs at other County facilities has c litional work is required at a number of County facilities including ars of meters. |

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

| Contract ID                             | Date | Amount |
|---|------|--------|
| , |      |        |

#### AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approv al Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberation s.

RDALLEVA

14-JUL-17

Authenticated User

<u>Date</u>

#### **COMPTROLLER'S OFFICE**

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

\_I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Authenticated User

Date

**NIFA** 

Amount being approved by NIFA: \_

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS pri ntouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

805-17

#### RULES RESOLUTION NO

-2017

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO EXECUTE A CONTRACT AMENDMENT BETWEEN THE COUNTY OF NASSAU, ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND BANCKER CONSTRUCTION CORP.

WHEREAS, pursuant to County contract number \$80030Z, for
REQUIREMENTS CONTRACT-MAINTENANCE AND REPAIR OF WATER
MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY,
NY ("Contract") between the County and

BANCKER CONSTRUCTION CORP. ("Contractor"), the Contractor performed services as more particularly described in the contract documents a copy of which is on file with the Clerk of the Legislature; and

WHEREAS, the County and the Contractor desire to increase the maximum amount of the Contract by \$ 700,000 dollars, and to extend the term of the Contract an additional one (1) year;

WHEREAS the funding for this Contract amendment is from general and capital funds approved by the Nassau County Legislatures; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature based upon the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract amendment with the vendor.

George Maragos Comptroller



#### OFFICE OF THE COMPTROLLER

240 Old Country Road Mineola, New York 11501

# COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

| CONTRACTOR NAME: Bancker Construction Corporation  |
|--|
| CONTRACTOR ADDRESS: 218 Blydenburgh Road, Islandia, NY 11749   |
| FEDERAL TAX ID #: 11-1876887   |
| <u>Instructions:</u> Please check the appropriate box ("\sum") after one of the following roman numerals, and provide all the requested information.   |
| I.   The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in [newspaper] on [date]. The sealed bids were publicly opened on [date]. [#] of sealed bids were received and opened.   |
| The contractor was selected pursuant to a Request for Proposals.  The Contract was entered into after a written request for proposals was issued on [date]. Potential proposers were made aware of the availability of the RFP by advertisement in [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on [date] [state #] proposals were received and evaluated. The evaluation committee consisted of: |
| (list # of persons on  |
| committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.  |

| III. X This is a renewal, extension or amendment of an existing contract. The contract was originally executed by Nassau County on November 15, 2012. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after an open competitive bid awarded to the lowest bidder. Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county. |
|---|
| IV.   ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.   |
| ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; OR:   |
| B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.  |
| V.   — Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.  |
| A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.  |
| B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).   |
| C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.  |
| ☐ D. Pursuant to General Municipal Law Section 119-0, the department is purchasing the services required through an inter-municipal agreement.  |

VI. 

This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

 $X. \square$  Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: a review of the criteria set forth by the Internal Revenue Service, Revenue Ruling No. 87-41, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

Department Head Signature

Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Compt. form Pers /Prof. Services Contracts: Rev. 03/16

# Exhibit A



# POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

| pursuant to the New York State Electice ending on the date of this disclosure, o years prior to the date of this disclosure campaign committees of any of the fol committees of any candidates for any committees of any candidates for any committees. | ficers of the vendor provided campaign contributions on Law in (a) the period beginning April 1, 2016 and or (b), beginning April 1, 2018, the period beginning two e and ending on the date of this disclosure, to the lowing Nassau County elected officials or to the campaign of the following Nassau County elected offices: the County ptroller, the District Attorney, or any County Legislator? |
|---|---|
| Nassau County Republican Committ  | iee -   |
|   |   |
|   |   |
| оприция в везание определения на причения в п   |   |
| Strikethere - Trickformuse  |   |
| Vendor authorized as a signatory of the undersigned affirms and so swears statements and they are, to his/her kno The undersigned further certifies and a   | affirms that the contribution(s) to the campaign committees   |
| dentified above were made freely and benefit or in exchange for any benefit   | without duress, threat or any promise of a governmental or remuneration.  |
| Dated: June 16, 2017  | Vendor: Bancker Construction Corp.  Signed: Phillip M. Beyer  |
|   | Title: Exec. Vice President   |

# Exhibit B



#### COUNTY OF NASSAU

## LOBBYIST REGISTRATION AND DISCLOSURE FORM

| 1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties. |
|--|
| None   |
|  |
|  |
|  |
|  |
| <ol> <li>List whether and where the person/organization is registered as a lobbyist (e.g., Nassau<br/>County, New York State):</li> </ol>  |
| None   |
|  |
|  |
|  |
| 3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:  |
| None   |

| Page 2 of 4  |
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|  |
| Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities. |
| None   |
|  |
|  |
|  |
|  |
| ·  |
|  |
|  |
|  |
| The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:   |
| None   |
|  |
|  |
|  |
|  |
|  |

Dated: June16, 2017

If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client. None 7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee? None I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website. I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination. VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate. The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Signed:

Title:

Print Name:

Bancker Construction Corp.

Exec. Vice President

Phillip M. Beyer

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

#### PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and allach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY, FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

| 1. | Principal Name MICHAEL S. BEYER   |
|----|---|
|    | Date of birth 12 1 22 1 67  |
|    | Home address  |
|    | City/state/zip  |
|    | Business address 218 Blydenhurgh Road Islandia, NY 11749  |
|    | City/state/zip  |
|    | City/state/zip  |
|    | Other present address(es)   |
|    | City/state/zip  |
|    | Telephone   |
|    | List of other addresses and telephone numbers attached  |
| 2. | Positions held in submitting business and starting date of each (check all applicable)  |
|    | President 2 / 10 / 14 Treesurer 2 / 15 / 14   |
|    | Chairman of Board / / Shareholder // / 9 / 92   |
|    | Chief Exec, Officer/ Secretary/ 8   |
|    | Chief Financial Officer/_/_Partner/_/   |
|    | Vice President 7 130 1 9 7 / /  |
|    | (Other)   |
| 3. | Do you have an equity interest in the business submitting the questionnaire? YES NO If Yes, provide details. Owner/Sharchel der   |
| 4. | Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES NO if Yes, provide details.  |
| 5, | Within the past 3 years, have you been a principal owner or officer of any business or net-<br>for-profit organization other than the one submitting the questionnaire? YES <u>K</u> , NO <u>;</u><br>If Yes, provide details,<br>BALTRAY ENTERPRISES, INC dba BANCKER ELECTRIC |

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 6 in the past 3 years while you were a principal owner or officer? YES V NO if Yes, provide details, SEE ATTACHED COA-2 FORM NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES", if you need more space, photocopy the appropriate page and attach it to the questionnaire. 7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer: a. Been debarred by any government agency from entering into contracts with that Agency?
YES \_\_\_\_\_ NO \_\_\_ If Yes, provide details for each such instance. b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES \_\_\_\_\_\_NO \_\_\_\_\_ If Yes, provide details for each such instance. c. Been denied the award of a contract and/or the opportunity to bid on a contract, including; but not limited to, failure to meet pre-qualification standards? YES NO \_ If Yes, provide details for each such instance. d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES \_\_\_\_\_ NO \_\_\_ If Yes, provide details for each such instance. 8. Have any of the businesses or organizations listed in response to Question 5 filed a bankrupicy petition and/or been the subject of involuntary bankrupicy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If "Yes", provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and etilach it to the questionnaire.) a) Is there any felony charge pending against you? YES \_\_\_\_ NO \_\_\_ If Yes, provide details for each such charge. b) Is there any misdemeanor charge pending against you? YES \_\_\_\_ NO \_\_\_\_ If Yes, provide details for each such charge. c) is there any administrative charge pending against you? YES \_\_\_\_ NO \_\_\_ If Yes, provide detalls for each such charge. d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES NO If Yes, provide details for each such conviction. details for each such conviction.

92 of 202

Contract No. 651037-08G

..... EMERGENCY Water Main Replace-Committee Ctr.

Naggod Collety DPVY

e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES \_\_\_ NO \_\_\_ If Yes, provide details for each such conviction. in the past 5 years, have you been found in violation of any administrative or statutory charges? YES \_\_\_\_\_ NO \_\_\_\_ If Yes, provide details for each such occurrence. NYS PSC Consent Order - Settlement regarding Code 753 non-compliance - See Attached In addition to the information provided in response to the previous questions. In the past 6 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES \_\_\_\_\_ NO \_\_\_\_ if Yes, provide details for each such investigation. 10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to faderal, state, and local regulatory agencies while you were a principal owner or officer? YES NO If Yes; provide details for each such investigation. See Attached 11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES \_\_\_\_ NO \_\_\_ If Yes; provide details for each such instance. 12. For the past 5 tax years, have you failed to file any required tax returns or falled to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES \_\_\_\_\_ NO \_\_\_ If Yes, provide details for each such

| CERTIFICATION  A MATERIALLY FALSE STATEMENT WILLFULLY O CONNECTION WITH THIS QUESTIONNAIRE MAY F SUBMITTING BUSINESS ENTITY NOT RESPONSIBBLO OR FUTURE BIDS, AND, IN ADDITION, MAY SUFALSE STATEMENT TO CRIMINAL CHARGES.  | RESULT IN RENDERING THE<br>LE WITH RESPECT TO THE PRESENT   |
|--|---|
| I, MICHAEL S. BEYER, being duty sworm the items contained in the foregoing pages of this que attachments; that I supplied full and complete answers knowledge, information and belief; that I will notify the circumstances occurring after the submission of this que the contract; and that all information supplied by me is information and belief. I understand that the County will questionnaire as additional inducement to enter into a entity. | s to each item therein to the best of my County in writing of any change in uestionnaire and before the execution of true to the best of my knowledge, ill rely on the information supplied in this |
| Sworn to before me this 23 day of March 201  | 7   |
| Macuer Ergo  | MAUREEN ENGEL Notary Public, State of New York No. 07EN4942537 Qualified in Suffolk County Commission Expires Sept. 26, 20  |
| BANCKER CONSTRUCTION CORP  |   |
| Name of submitting business  |   |
| Print name Signature PRESIDENT   |   |
| Title  |   |
| 3,03,17<br>Date  |   |

#### PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be enewered by all officers and any individuals who hold a ten persent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in link. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

| 1. | Principal Name PHILLIP M. BEYER  |
|----|--|
|    | Date of birth 4/10/80  |
|    | Home address Chylsteletric   |
|    |  |
|    | Business address 218 Blydshburgh Road Islandia, NY 11749   |
|    | Cily/state/zip   |
|    | Clly/state/zip Telephone (631) 582-8880  |
|    | Other present address(es)  |
|    | City/siale/zip   |
|    | Telephone  |
|    | List of other addresses and telephone numbers attached   |
| 2. | Positions held in submilling business and starting date of each (check all applicable)  President / / Treasurer / / Shareholder /2/0////  Chief Exec. Officer / / Secretary 2 / 16 / 14  Chief Financial Officer / / Partner / /  Vice President // 3,0/09 / / /  (Other)  |
| 3, | Do you have an equity interest in the business submitting the questionnaire? YES NO If Yes, provide details. Oconer / Shareholder  |
| 4. | Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES NO If Yes, provide details.   |
| 5, | Wilhin the past 3 years, have you been a principal owner or officer of any business or not-<br>for-profit organization other than the one submitting the questionnaire? YES NO;<br>If Yes, provide details.  DATE AVENTED DISESTANCE   DATE AVENTED DISE |
|    | BALTRAY ENTERPRISES, INC dba BANCKER ELECTRIC  |

| Sectio   | on 6 in the past 3 years while you were a principal owner or officer? YES NO  |
|--|---|
| operation<br>Provide a   | n affirmative answer is required below whether the sanction arose automatically, by of law, or as a result of any action taken by a government agency. I detailed response to all questions checked "YES". If you need more space, photocopy priate page and attach it to the questionnaire.  |
| 7. In the<br>organi  | past (5) years, have you and/or any affiliated businesses or not-for-profit<br>izations listed in Section 5 in which you have been a principal owner or officer;  |
| a.   | Been debarred by any government agency from entering into contracts with that agency? YES NO If Yes, provide details for each such instance.  |
| b,   | Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES NO _v If Yes, provide details for each such instance.   |
| c.   | Been denied the award of a contract and/or the opportunity to bid on a contract, including but not timited to, failure to meet pre-qualification standards? YES   |
| đ.   | Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES NO If Yes, provide details for each such instance.   |
| bankn<br>the pa<br>bankn<br>any st<br>initiate<br>questi<br>attach | any of the businesses or organizations listed in response to Question 5 filed a uptcy polition and/or been the subject of involuntary bankruptcy proceedings during eat 7 years, and/or for any portion of the last 7 year period, been a state of uptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is uch business now the subject of any pending bankruptcy proceedings, whenever eat? If "Yes", provide details for each such instance. (Provide a detailed response to all long checked "YES". If you need more space, photocopy the appropriate page and it to the questionnaire.) |
| a)   | is there any felony charge pending against you? YES NO If Yes, provide details for each such charge.  |
|  | Is there any misdemeanor charge pending against you? YES NO If Yes, provide details for each such charge.   |
| c)   | Is there any administrative charge pending against you? YES NO If Yes, provide details for each such charge.  |
| d <b>)</b>   | In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YESNO If Yes, provide details for each such conviction.   |
| Nassau Cour  | RIY DPW 62 of 282 Confract No. \$51037-066  EMERGENCY, Water Meth. Replace Conventional Cu  |

|     | e)  | in the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YESNO If Yes, provide details for each such conviction.  |
|-----|---|--|
|     | Ŋ   | In the past 5 years, have you been found in violation of any administrative or statutory charges? YES V NO If Yes, provide details for each such occurrence.   |
| 9.  |   | oncuments. SC Consent Order - Settlement regarding Code 753 non-compliance - See Attached Ilion to the information provided in response to the previous questions, in the past 5   |
|     | years,<br>investly<br>subject<br>for, or      | have you been the subject of a criminal investigation and/or a civil anti-irust gation by any federal, state or local prosecuting or investigative agency and/or the i of an investigation where such investigation was related to activities performed at, on behalf of the submitting business entity and/or an affiliated business listed in set to Question 57 YESNO If Yes, provide details for each such                             |
| 10, | listed ir<br>anti-tru<br>inoludir<br>principa | Non-to the information provided, in the past 5 years has any business or organization response to Question 5, been the subject of a criminal investigation and/or a civil ist investigation and/or any other type of investigation by any government agency, ing but not limited to federal, state, and local regulatory agencies while you were a allowner or officer? YES VNO If Yes; provide details for each such gation. See Attached |
| 11. | blocee<br>tespon                              | past 5 years, have you or this business, or any other affiliated business listed in se to Question 5 had any sanction imposed as a result of judicial or administrative dings with respect to any professional license held? YES NO If Yes; adelalis for each such instance.   |
| 12. | applica                                       | post 5 tex years, have you failed to file any required tax returns or failed to pay any ible federal, state or local taxes or other assessed charges, including but not limited and sewer charges? YES NO If Yes, provide details for each such  |
|     |   |  |

# CERTIFICATION A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES. I. PHILLIP M. BEYER being duty sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this

questionnaire as additional inducement to enter into a confract with the submitting business

Sworn to before me this 14 day of March 2011

Mairen Engl

MAUREEN ENGEL
Notery Public, State of New York
No. 01EN4942837
Onallified in Surank County
Commission Expires Sept. 26, 20

BANCKER CONSTRUCTION CORP

Name of submitting business

PHILLIP M. BEYER

Print name

Signature

EXEC. VICE PRESIDENT

Title

3 / 14 / 19

Nasson County OPW

04 of 202

Gontract No. 851037-066 EMERGENCY Water, Mahr Ruphace, Gereallegal Cir.

#### PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in link, if you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY, FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

| 1. | Principal Name STEPHEN M. BEYER   |
|----|---|
|    | Date of high 4 11 1 62  |
|    | Home address  |
|    | Çiiy/stale/zip  |
|    | Business address 218 Blydenburgh Road : Islandia, NY 11749  |
|    | City/state/zip  |
|    | Telephune (831) 582-8380  |
|    | Other-present address(es)   |
|    | City/state/zip  |
|    | Telephone   |
|    | List of other addresses and telephone numbers attached  |
| 2. | Positions held in submilling business and starting date of each (check all applicable)  |
|    | President 1 1 2 1 9 8 Treasurer 1 1 2 1 9 8   |
|    | Chairman of Board / / Shareholder / /   |
|    | Chief Exec. Officer 2 116 1 14 Secretary 19 1 12 1 2/2  |
|    | Chief Financial Officer / / Partner / /   |
|    | Vice President 1911/1/3   |
|    | (Other)   |
| 3, | Do you have an equity interest in the business submitting the questionnaire? YESNOKIf Yes, provide details.   |
| 4. | Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES NO _K If Yes, provide details. |
| 5. | Within the past 3 years, have you been a principal owner or officer of any business or not-<br>for-profil organization other than the one submitting the questionnaire? YES <u>K.</u> NO <u> </u>   |
|    | BALTRAY ENTERPRISES, INC. dbg BANCKER ELECTRIC  |
|    |   |

| Section 11   | ny governmental entity awarded any contracts to a business or organization/listed in in 5 in the past 3 years while you were a principal owner or officer? YES Y NO  |  |
|--|--|--|
| operation<br>Provide a   | SEE ATTACHED CCA-2 FORM  The affirmative answer is required below whether the sanction arose automatically, by  of law, or as a result of any action taken by a government agency.  detailed response to all questions checked "YES". If you need more space, photocopy  priate page and attach it to the questionnaire. |  |
| <ol> <li>In the past (5) years, have you and/or any affiliated businesses or not-for-profit<br/>organizations listed in Section 5 in which you have been a principal owner or officer;</li> </ol>  |  |  |
| a.   | Been debarred by any government agency from entering into contracts with that agency? YESNOIf Yes, provide details for each such instance.   |  |
| b.   | Been declared in default and/or terminated for eather on any contracts cancelled for cause? YES NO If Yes, provide details for each auch instance.   |  |
| a,   | Been denied the award of a contract and/or the opportunity to bid on a contract, including but not limited to, failure to meet pre-qualification standards? YES  |  |
| d.   | Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES NO If Yes, provide details for each such instance.  |  |
| 8. Have any of the businesses or organizations listed in response to Quostion 5 filed a bankruptcy patition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.) |  |  |
|  | Is there any felony charge pending against you? YES NO If Yes, provide details for each such charge.   |  |
|  | Is there any misdemeanor charge pending against you? YES NO If Yes, provide details for each such charge.  |  |
| c)   | Is there any administrative charge pending against you? YESNO  |  |
| d)   | In the past 10 years, have you been convicted, after that or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES NO If Yes, provide details for each such conviction.                                    |  |
|  |  |  |

|     | e)  | In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?  YES NO If Yes, provide details for each such conviction.   |
|-----|---|---|
|     | ħ   | In the past 5 years, here you been found in violation of any administrative or statutory charges? YES NO If Yes, provide details for each such accurrence.  |
| 9.  | in addi<br>years,<br>investig<br>subject<br>for, or | SC Consent Order - Settlement regarding Code 753 non-compliance - See Attached lifen to the Information provided in response to the previous questions, in the past 5 have you been the subject of a criminal investigation and/or a civil anti-trust gation by any federal, state or local prosecuting or investigative agency and/or the tof an investigation where such investigation was related to activities performed at on behalf of the submitting business entity and/or an affiliated business listed in se to Question 57 YESNO If Yes, provide details for each such |
| 10. | listed in<br>anti-tru<br>includit<br>principa       | lion to the information provided, in the past 5 years has any business or organization a response to Question 5, been the subject of a criminal investigation and/or a civil strinvestigation and/or any other type of investigation by any government agency, age but not limited to federal, state, and local regulatory agencies while you were a sel owner or officer? YES V NO If Yes; provide details for each such jation. See Attached  |
| 14. | respon<br>procee                                    | past 5 years, fraye you of this business, or any other affiliated business listed in se to Question 5 had any sanction imposed as a result of judicial or administrative dings with respect to any professional license held? YES NO If Yes; a details for each such instance.  |
| 12. | applica   | past 5 tax years, have you failed to file any required tax returns or failed to pay any<br>ble federal, state or local taxes or other assassed charges, including but not limited<br>or and sewer charges? YES NO If Yes, provide details for each such   |
|     |   |   |

#### CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Sworn to before me this 3 day of March 2017

Maured Engl

MAUREEN ENGEL
Notary Public, State of New York
No. 01EN4942537
Qualified in Sulfolk County
Commission Expires Sapt. 26, 20

BANCKER CONSTRUCTION CORP

Name of submitting business

STEPHEN M. BEYER

Print name

Signatur

/C.E.O.

Title

Date

#### PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY, FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

| 1. | Principal Name Charles Madsen  |
|----|--|
|    | Date of birth 4 / 13 / 53  |
|    | Home address   |
|    | Clty/state/zip   |
|    | Business address 218 Blydenburgh Road  |
|    | City/state/zip Islandia, NY 11749  |
|    | Telephone 631-582-0920   |
|    | Other present address(es)  |
|    | City/state/zip   |
|    | Telephone  |
|    | List of other addresses and telephone numbers attached   |
| 2. | Positions held in submitting business and starting date of each (check all applicable)  President 10 / 1 / 2012 Treasurer / _ /  Chairman of Board / _ / Shareholder _4 / 28 / 1976  Chief Exec. Officer / _ / Secretary / _ /  Chief Financial Officer / _ / Partner / _ /                    |
|    | Vice President 4 / 28 / 1976 /   |
|    | (Other)  |
| 3. | Do you have an equity interest in the business submitting the questionnaire? YES X NO If Yes, provide details. Owner/Shareholder   |
| 4. | Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES $\underline{\hspace{1cm}}$ NO $\underline{\hspace{1cm}}$ If Yes, provide details. |
| 5. | Within the past 3 years, have you been a principal owner or officer of any business or not for-profit organization other than the one submitting the questionnaire? YES NO $\underline{X}$ If Yes, provide details.  |

| 6.        | Section  | ny governmental entity awarded any contracts to a business or organization listed in n 5 in the past 3 years while you were a principal owner or officer? YES $\_\_$ NO $\_X$ provide details.  |
|-----------|--|---|
| op<br>Pro | eration<br>ovide a   | n affirmative answer is required below whether the sanction arose automatically, by of law, or as a result of any action taken by a government agency. detailed response to all questions checked "YES". If you need more space, photocopy or late page and attach it to the questionnaire.   |
| 7.        |  | past (5) years, have you and/or any affiliated businesses or not-for-profit zations listed in Section 5 in which you have been a principal owner or officer:  |
|           | a.   | Been debarred by any government agency from entering into contracts with that agency?  YES NO _x  |
|           | b.   | Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES NO $\underline{X}$ If Yes, provide details for each such instance.  |
|           | c.   | Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES NOx_ If Yes, provide details for each such instance.   |
|           | d.   | Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES NO _X _ if Yes, provide details for each such instance.  |
| 8.        | bankru<br>the pa<br>bankru<br>any su<br>initiate<br>questi | any of the businesses or organizations listed in response to Question 5 filed a uptcy petition and/or been the subject of involuntary bankruptcy proceedings during st 7 years, and/or for any portion of the last 7 year period, been in a state of uptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is ch business now the subject of any pending bankruptcy proceedings, whenever d? If 'Yes', provide details for each such instance. (Provide a detailed response to all ons checked "YES". If you need more space, photocopy the appropriate page and it to the questionnaire.) |
|           |  | Is there any felony charge pending against you? YES NO $\underline{x}$ If Yes, provide details for each such charge.  |
|           | b)   | Is there any misdemeanor charge pending against you? YES NO $\underline{x}$ If Yes, provide details for each such charge.   |
|           | c)   | Is there any administrative charge pending against you? YES NO $\underline{\chi}$ If Yes, provide details for each such charge.   |
|           | q)   | In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES NO _X _ If Yes, provide details for each such conviction.   |

|     |   | YES NO X if Yes, provide details for each such conviction.  |
|-----|---|---|
|     | f)  | In the past 5 years, have you been found in violation of any administrative or statutory charges? YES X NO If Yes, provide details for each such  |
|     | NYS PS  | occurrence.<br>C Consent Order - Settlement regarding Code 753 non-compliance - See Attached  |
| 9.  | In addi<br>years,<br>investig<br>subject<br>for, or | tion to the information provided in response to the previous questions, in the past 5 have you been the subject of a criminal investigation and/or a civil anti-trust gation by any federal, state or local prosecuting or investigative agency and/or the tof an investigation where such investigation was related to activities performed at, on behalf of the submitting business entity and/or an affiliated business listed in se to Question 5? YES NO $X$ If Yes, provide details for each such |
| 10. | listed in<br>anti-tru<br>includir<br>principa       | tion to the information provided, in the past 5 years has any business or organization in response to Question 5, been the subject of a criminal investigation and/or a civil ist investigation and/or any other type of investigation by any government agency, ing but not limited to federal, state, and local regulatory agencies while you were a all owner or officer? YES X NO If Yes; provide details for each such gation. See Attached  |
| 11. | respon<br>procee                                    | past 5 years, have you or this business, or any other affiliated business listed in se to Question 5 had any sanction imposed as a result of judicial or administrative dings with respect to any professional license held? YES NO $\underline{X}$ If Yes; a details for each such instance.   |
| 12. | applica   | e past 5 tax years, have you falled to file any required tax returns or failed to pay any able federal, state or local taxes or other assessed charges, including but not limited at and sewer charges? YES NO $\underline{X}$ If Yes, provide details for each such  |
|     |   |   |

e) In the past 5 years, have you been convicted, after trial or by plea, of a

#### CERTIFICATION

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I. <u>Charles Madsen</u>, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each Item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied In this questionnaire as additional inducement to enter into a contract with the submitting business entity.

| Sworn to before me this | 28 | day of | March |
|-------------------------|----|--------|-------|
|-------------------------|----|--------|-------|

20\_17

Notary Public

MAUREEN ENGEL
Notary Public, State of New York
No. 01EN4942537
Qualified in Suffolk County
Commission Expires Sept. 26, 20

Baltray Enterprises, Inc. dba Bancker Electric Name of submitting business

Charles Madsen
Print name
Charles Wadsen
Signature
President
Title
3 / 28 / 2017
Date

#### Attachment for Question #8

#### CONSENT ORDER APPROVAL

CASE:

16-G-0043 In the Matter of an Enforcement Proceeding Against Bancker Construction Corp. for Alleged Violations of 16 NYCRR Part 753 - Protection of Underground Facilities, in the Service Territory of KeySpan Gas East Corporation d/b/a National Grid.

Company Name:

Bancker Construction Corp. (Bancker)

Principal Contact:

Mr. Thomas D. Mastrocinque

Address:

218 Blydenburgh Road Islandia, NY 11749

Alleged Code Violations:

753-3.1(a)(1) and 753-3.6(a)

Description of

Violations:

Failure to provide notice of intent to excavate to the one-call notification system, and failure to verify location of marked facilities by means of handdug test hole prior to using powered machinery within the tolerance zone.

Date of Violations:

November 19, 2014 and February 28, 2015

Damaged Facilities:

%-inch plastic natural gas service, and

2-inch natural gas service

Dates of NOPV:

January 20, 2015 and July 10, 2015

Response:

Letters dated January 9, 2015, February

17, 2015, and July 20, 2015

Proposed Penalty:

\$2,500

#### Circumstances

In response to its failure to provide notice of intent to excavate to the one-call notification system, Bancker stated that its work was in an emergency situation to restore electric service to a hospital, and that it had taken several actions and efforts to locate underground facilities that were in the spirit of the law. The situation meets the emergency definition as per 16 NYCRR §753-1.2(h); however, Bancker failed to notify the one-call notification system as soon as possible pursuant to 16 NYCRR §753-3.1(b).

Bancker acknowledged that it had not exposed the underground facility to view by means of hand dug test holes prior to using powered or mechanized equipment in the tolerance zone beyond the depth of pavement.

Bancker, however, has completed a New York 811 training course, committed to taking corrective action, and was cooperative throughout the investigation.

#### Mitigating Factors to Support a Reduced Penalty

- 1. Bancker Construction Corp. has committed to take remedial actions to prevent re-occurrence and has completed a training session given by New York 811.
- 2. Bancker Construction Corp. was cooperative throughout the investigation and enforcement proceeding.

DEPARTMENT OF LABOR

**OSHA** 

English | Spanish

Find It in OSHA

Q

A TO Z INDEX

ABOUT OSHA - WORKERS - EMPLOYERS - REGULATIONS - ENFORCEMENT - TOPICS - NEWS & PUBLICATIONS - DATA - TRAINING -

#### Inspection Detail

Case Status: CLOSED

Inspection: 936394.015 - Bancker Construction Corp.

Inspection Information - Office: Bayside

Nr: 936394.015

Report ID: 0215600

Open Date:

08/13/2013

· Bancker Construction Corp.

: Jfk International Airport Terminal 8jfk Access Road Union Status: Union

Jamaica, NY 11430

SIC:

NAICS: 237990/Other Heavy and Civil Engineering Construction

Mailing: 218 Blydenburgh Road, Islandia, NY 11749

Inspection Type: Complaint

Scope:

Complete

Advanced Notice:

Private Ownership:

Safety/Health: Safety

Close Conference:

08/13/2013

Close Case:

08/14/2013

Related Activity:

Type

Safety

Health

Complaint

840134

Yes

and the control of the region and the control of the region of the control of the control of the control of the Case Status: CLOSED

#### UNITED STATES DEPARTMENT OF LABOR

Occupational Safety and Health Administration 200 Constitution Ave., NW, Washington, DC 20210 800-321-6742 (OSHA) TTY www.OSHA.gav

#### **FEDERAL GOVERNMENT**

White House Affordable Care Act

Disaster Recovery Assistance USA.gov

Disability,gov Plain Writing Act Recovery Act No Fear Act

U.S. Office of Special Counsel

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# ·UNITED STATES DEPARTMENT OF LABOR

| OSHA   | English   S         | panish                         |  |             |                        |             |         |                      |           |           |                            |                         |                              |
|--|---------------------|--------------------------------|--|-------------|------------------------|-------------|---------|----------------------|-----------|-----------|----------------------------|-------------------------|------------------------------|
| Find It in OSHA<br>A TO Z INDEX  |                     | α,                             |  |             |                        |             |         |                      |           |           |                            |                         |                              |
| ABOUT OSHA +   | Workers             | ▼ EMPLOYER                     | 5 - REGULATI   | ONS - E     | NFORCE                 | MENT '      | TOP     | ics - Ni             | EWS &     | PUBLICA   | TIONS                      | DATA                    | TRAINING                     |
| Inspectio  | n Deta              | ail                            |  |             |                        |             |         |                      |           |           |                            |                         |                              |
|  |                     |                                |  | Ca          | se Statu               | ni 21 00    | en.     |                      |           |           |                            |                         |                              |
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| gar move consider the page   |                     | Tonnaction total               | ormation - Offi  |             | da.                    |             | L. 16   | 4 · 40·44pm.         |           |           |                            |                         |                              |
| 1  |                     | •                              | Open Date  | -           | ue                     |             |         | i<br>,               |           |           |                            |                         |                              |
| Nr: 1018420,015  | •                   | D: 0215600                     | 01/15/201  |             |                        |             |         | •                    |           |           |                            |                         |                              |
| Baltray Enterprise<br>111-69 Beach Ch<br>Rockaway Park, N  | annel Drive         |                                | Union State  | ıs: Unlon   |                        |             |         |                      |           |           |                            |                         |                              |
| . SIC:<br>. NAICS: 237130/P<br>Mailing: 218 Blyd:  |                     |                                |  | ructures (  | Ionstructi             | on          |         | ,                    |           |           |                            |                         |                              |
| Inspection Type:<br>Scope:   | Referral<br>Partial | war at by salie                | Advanced N   | lotice: I   | N                      |             |         | ,                    |           |           |                            |                         |                              |
| . Ownershipt<br>: Safety/Health:   | Private<br>Safety   |                                | Close Confe<br>Close Case  |             | 01/15/20:<br>03/10/20: |             |         | :                    |           |           |                            |                         |                              |
| Related Activity:  | Type<br>Referral    |                                | ID<br>932335   |             | Safety<br>Yes          |             |         | Health               |           |           |                            |                         |                              |
|  |                     |                                |  | Cas         | e Status               | : CLOSI     | ED      |                      |           |           |                            |                         |                              |
|  | Vic                 | iation Summa                   | ry   |             |                        |             |         |                      |           |           |                            |                         |                              |
| •  | Serious             | Willful Repoa                  | t Other Und  | ass Tob     | ai                     |             |         |                      |           |           |                            |                         |                              |
| Initial Violations   | 1                   | THE RESERVE OF THE SECOND SEC. | Section Control of the Control of th |             | 1                      |             |         |                      |           |           |                            |                         |                              |
| Current Violations   | :                   | ,                              |  | 1           | .,                     |             |         |                      |           |           |                            |                         |                              |
| Initial Penalty  | \$6,300             | :<br>E                         | :  | \$6,3       | 00                     |             |         |                      |           |           |                            |                         |                              |
| Current Penalty  |                     | ;                              | 1  |             | ••••                   |             |         |                      |           |           |                            |                         |                              |
| FTA Amount   | :                   | ·                              | . 1  |             | les, h                 |             |         |                      |           |           |                            |                         |                              |
| ally make dilityryment at ann depuy to de fine de fine de la | ****                |                                | Viola  | tion Item   | ıs                     |             | ., .,,  | 7-1- WE SIN. SQUARE  |           |           |                            |                         |                              |
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|  | r<br>               | THE SECOND SECOND              |  | ccident     | Investig               | ation Su    | mmar    | y                    | an i      |           |                            |                         |                              |
| Summary Nr: 6999   | 93,015              | Event: 01/                     | 13/2015  | Employee    | Installing             | Power       | Line is | Injured W            | hen Rur   | Over By   | L                          |                         | At A NAME - Print And        |
| At 1:30 p.m. on Ja<br>was taking down a<br>services were call                                    | ın extension        | ladder When a n                | nulti-terrain loade  | er, being L | ised to be             | ickfill and | d compi | act the sol          | l, struck | him and   | ran over                   | ower ban<br>his feet, E | smission line. I<br>norgency |
|  | Keyword             | ist crushing, pol              | wer lines, run ovi   | ir, loader, | installing             | , ankle,    | constru | ction, frac          | ture, lad | der, cons | truction (                 | ehide                   |                              |
| der in a which are such increment of an increment and  |                     |                                |  |             |                        |             |         |                      |           |           |                            |                         |                              |
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English ( Spanish

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## Violation Detail

Standard Cited: 19260021 B02 Safety training and education. AND THE SHOULD BE WINE AND THE STATE OF THE STATE OF THE SHOP AND ADDRESS FOR THE STATE OF THE STATE OF THE SHOP

## This violation item has been deleted,

## Violation Items

Nr; 1018420,015 Citation; 01001 Issuance; 02/04/2015

ReportingID: 0215600

03/04/2015

Viol Type: Serious Ariostances: 1

Contest Date:

Abatement Date: 02/17/2015 Nr Exposed:

1

Final Order:

REC!

Emphasis:

Initial Penalty: \$6,300.00 Current Penalty:

Haz Category:

## Gravity: Penalty and Fallure to Abate Event History

Туре

Event

Date Penalty Abatement Type FTA Insp

Penalty Z: Issued 02/04/2015 \$6,300.00 02/17/2015 Serious

Penalty I: Informal Settlement 03/04/2015 \$6,300,00 02/17/2015 Serious

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Nassau County DPW Contract No. S51037-06G Emergency Water Main Replace Correctional Center

## Principal Questionnaire Form Response to Question # 10

Though OSHA apparently conducted two field reports during the time period in question, neither "report" led to the issuance and prosecution of a violation. In the case of the report apparently conducted at JFK, no violation was issued from it. The other investigation in Rockaway Beach arose out of an injury to a Bancker Electric employee. New OSHA rules (enacted only two weeks prior to the injury) required us to report the injury to OSHA. They then conducted an investigation and issued a "serious" violation. However, Phillip M. Beyer and our Director of Safety, Michael Quinn, met with OSHA's regional manager at their office and conducted an informal conference, at which Bancker was given the opportunity to present information and documentation in support of our defense. As a result, OSHA withdrew the notice of violation. So neither of these OSHA reports resulted in a violation.

AC 3292-S (Rev. 9/13)

## NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

You have selected the For-Profit Construction questionnaire, commonly known as the "CCA-2," which may be printed and completed in this format or, for your convenience, may be completed online using the New York State VendRep System.

## COMPLETION & CERTIFICATION

The person(s) completing the questionnaire must be knowledgeable about the vendor's business and operations. An owner or official must certify the questionnaire and the signature must be notarized.

## NEW YORK STATE VENDOR IDENTIFICATION NUMBER (VENDOR ID)

The <u>Vendor ID</u> is a ten-digit identifier issued by New York State when the vendor is registered on the Statewide Vendor File. This number must now be included on the questionnaire. If the business entity has not obtained a <u>Vendor ID</u>, contact the OSC Help Desk at <u>ciohelpdesk@osc\_state.ny.us</u> or call 866-370-4672.

## **DEFINITIONS**

All underlined terms are defined in the "New York State Vendor Responsibility Definitions List," found at <a href="http://www.osc.state.ny.us/vendrep/documents/questionnaire/definitions.pdf">http://www.osc.state.ny.us/vendrep/documents/questionnaire/definitions.pdf</a>. These terms may not have their ordinary, common or traditional meanings. Each vendor is strongly encouraged to read the respective definitions for any and all underlined terms. By submitting this questionnaire, the vendor agrees to be bound by the terms as defined in the "New York State Vendor Responsibility Definitions List" existing at the time of certification.

## RESPONSES

Every question must be answered. Each response must provide all relevant information which can be obtained within the limits of the law. However, information regarding a determination or finding made in error which was subsequently corrected or overturned, and/or was withdrawn by the issuing government entity, is not required. Individuals and Sole Proprietors may use a Social Security Number but are encouraged to obtain and use a federal Employer Identification Number (EIN).

| BUSINESS EN  | או עדני  | JEORMATION                            | Г  | Challe and the same of the sam |  |  |  |
|--|--|---------------------------------------|--|--|--|--|--|
| Legal Business   | Name   |                                       |  | Control of the contro | EIN  |  |  |
| Bancker Constru  | etion Co   | xp.                                   |  |  | 111876887  |  |  |
|  |  | Place of Busines                      | s (street, city, state, zip  | code)  | New York State Vendor  | dentification                          | on Number                              |
| 218 Blydenburg   |  |                                       |  |  | 1000056421   |  |  |
| Islandia, NY 11  | 749  | ; pg                                  |  |  | Telephone (631) 582-8880 ext,  | Fax                                    | 1) 582-3698                            |
|  |  | .)                                    |  |  | Website Website  | (03                                    | 1) 202-2090                            |
|  |  | ř                                     |  |  | website www.bancker.com  |  |  |
| Authorized Cont  | act for th   | is Questionnaire                      | )  | e e e e e e e e e e e e e e e e e e e  |  |  |  |
| Name   |  |                                       |  | *  | Telephone  | Fax                                    | ************************************** |
| Stephen M. Beye  | T.   |                                       |  |  | (631) 582-8880 ext.  |  | ) 582-3698                             |
| Title  | 1-1-1  |                                       |  |  | Email  | L.*.                                   | <u> </u>                               |
| Chief Executive  |  | - <del></del>                         |  |  | sbeyer@bancker.com   |  |  |
| Additional <u>Busing</u><br>the last five (5) y  | ess Entit<br>ears, the   | y Identifies: If a<br>state or county | pplicable, list any other where filed and the statu  | <u>DBA</u> , <u>Trade N</u><br>s (active or ina  | Name, Former Name, Other Ider<br>active).  | itity, or <u>EI</u>                    | used in                                |
| Туре   | Name   |                                       |  | EIN  | State or County where file   | d                                      | Status                                 |
| I  |  |                                       |  |  |  | <del></del>                            |  |
| _  |  |                                       | The second secon |  |  |  |  |
| And the state of t |  |                                       |  | p = 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1  |  | <del></del>                            | <u> </u>                               |
| I. BUSINESS CI   | <del>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</del>   |                                       | 1,000  |  |  | ••                                     |  |
|  |  |                                       | priate box and provide a   | idditional infor   | mation:  | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |  |
| a) 🔀 Corpe   | oration (  | including <u>PC</u> )                 | Date of Incorporation  | 11/25/1958   |  | <del></del>                            | · · · · · · · · · · · · · · · · · · ·  |
|  | ed Liabil<br>or <u>PLL</u>   | lity Company<br>C)                    | Date Organized   |  |  | ,                                      |  |
| c) 🗌 <u>Limit</u>  | ed Liabil  | lty Partnership                       | Date of Registration   |  | The state of the s |  |  |
| d) [ Limit   | ed Partne  | ership                                | Date Established   | · · · · · · · · · · · · · · · · · · ·  | · · · · · · · · · · · · · · · · · · ·  |  |  |
| e) 🗌 <u>Gene</u> r   | al Partne  | ership                                | Date Established   | ···  | County (if formed in NYS)  |  |  |
| f) Sole F  | roprieto   |                                       | How many years in bu   | siness?  | 1,100  | <del></del>                            |  |
| g) 🗌 Other   |  |                                       | Date Established   |  | 4/2-1  |  |  |
| If Other, explain:   |  |                                       |  |  | 7,000  | · · · · · · · · · · · · · · · · · · ·  |  |
| 1.1 Was the Bus  | siness En  | tity formed in N                      | lew York State?  | Hate to Street the second  |  | ⊠ Yes                                  | □ No :                                 |
| If "No," indicate j  | urisdicti  | on where the <u>Bu</u>                | siness Entity was formed   | <b>i</b> ;   | COLLEGE COLLEG | I From a soul                          |  |
| ☐ United S   | tates  | State                                 |  | **************************************   |  |  |  |
| Other  | - THE OWNER OF THE OWNER OWNER OF THE OWNER O | Country                               | **   |  |  | <del> </del>                           |  |
| allow and the second se |  |                                       | 7100 - 7100 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 -  |  |  |  |  |

| I. BUSINESS CHARACTERIST  | TCS  |   |  |
|---|--|---|--|
| 1.2 Is the Legal Business Entity  | publicly traded?   |   | ☐ Yes ⊠ No   |
| If "Yes," provide the CIK code or   | Ticker Symbol:   |   | The second secon |
|   | tly <u>registered to do business in New York</u>   |   | ⊠ Yes □ No   |
|   | ' if the Business Entity is a Sole Proprietor  | 1   | ☐ Not Required   |
| If "No," explain why the <u>Business</u>                                  | Entity is not required to be registered to   | to business in New York Sta   | <u>te</u> : .  |
| )   |  |   |  |
| Venture, also submit a separa   | ntity a <u>Joint Venture</u> ? Note: If the submitt<br>ate questionnaire for each <u>Business Entity</u> | comprising the Joint Venture  | <u>.</u>   |
| 1.5 If the <u>Business Entity's Princ</u><br>maintain an office in New Yo | <u>ripal Place of Business</u> is not in New York  | State, does the Business Em   | **   |
|   | ace of Business is in New York State.)   |   | ⊠ N/A  |
| ***************************************                                   | elephone number for one office located in  | New York State.   | <u> </u>   |
|   |  |   |  |
| 1.6 Is the Business Entity a New Business Enterprise, or New Enterprise?  | York State certified Minority-Owned Bus<br>York State Small Business, or federally ce                    | iness <u>Enterprise</u> , or <u>Women</u> -<br>rtified <u>Disadvantaged Busin</u> | Owned Yes No   |
| If "Yes," check all that apply  |  |   |  |
|   | Minority-Owned Business Enterprise (MF   |   |  |
| New York State certified New York State Small Bu                          | Women-Owned Business Enterprise (WB  | E)  |  |
|   | rantaged Business Enterprise (DBE)   |   |  |
| 1.7 Identify each person or busin   | ess entity that is, or has been within the painty Official; or one of the five largest share             | st five (5) years, <u>Principal O</u><br>sholders, if applicable. <i>(Attac</i>   | wner of 5.0% or more of the ch additional pages if   |
| Name (For each person, include middle initial)                            | Title  | Percentage of ownership<br>(Enter 0%, if not<br>applicable)                       | Employment status with the firm  |
| Stephen M. Beyer  | Chief Executive Officer  | 0   | ☐ Current ☐ Former   |
| Michael S. Beyer  | President & Treasurer  | 50%   | Current Former.  |
| Phillip M. Beyer  | ExecVice President & Secretary   | 50%   | Current   Former   |
|   |  |   | Current   Former   |

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| U. AFFILIA                            | TE and JOINT VENTURE   | RELATIONSHIPS   |   |                             |                   |  |
|---------------------------------------|--|---|---|-----------------------------|-------------------|--|
| <u>Busines</u> 5.0% or                | re any other construction-relations Entity or any of the individuation of the shares of, or was dietor of said other firm? (Atta | ials or business entities<br>for is one of the five lai | listed in question 1.7 e<br>gest shareholders or a  | ither owned or owne         | ⊠ Yes             | □ No                                   |
| Firm/Compar                           | y Name   | Firm/Company EIN  |   | Firm/Company's Prim         | ary Busines:      | 8                                      |
| ****                                  |  | (If available)  |   | Activity                    | t `               |  |
| SEE ATTAC                             | HED LIST   |   |   |                             |                   |  |
| Firm/Compan                           | y Address  | 7   |   |                             |                   |  |
| Explain relati                        | onship with the firm and indic   | ate percent of ownersh                                  | ip, if applicable (enter l  | N/A, if not applicable):    |                   |  |
| Are there any has in commo            | shareholders, directors, office<br>n with this firm?   | rs, owners, partners or                                 | proprietors that the sub  | mitting Business Entity     | Yes [             | No                                     |
| Individual's N                        | ame (Include middle initial)   |   | Position/Title with Fi  | rm/Company                  | <u> </u>          |  |
| 2.1 Does the<br>2.0 abov              | Business Entity have any core? (Attach additional pages i  | nstruction-related affilia<br>f necessary.)             | ates not identified in the  | response to question        | Yes D             | ☑ No                                   |
| Affiliate Name                        | 3  | Affiliate EIN (If avai                                  | lable)  | Affiliate's Primary Bus     | iness Activit     | у                                      |
| Affiliate Addr                        | ess  |   | nemarka gyala di saga |                             |                   | ······································ |
| Explain relation                      | nship with the affiliate and in  | dicate percent of owner                                 | ship, if applicable <i>(ent</i>   | er N/A, if not applicable): | <u> </u>          |  |
| Are there any has in common           | shareholders, directors, officer<br>with this affiliate?   | rs, owners, partuers or p                               | proprietors that the sub-   | nitting Business Entity     | Yes [             | ]No                                    |
| Individual's N                        | ame (Include middle initial)   |   | Position/Title with Fit   | m/Company                   |                   |  |
| 2.2 Has the J<br>years? (A            | Business Entity participated in<br>Uttach additional pages if nece   | any construction-relate                                 | ed Joint Ventures within  | the past three (3)          | ☐ Yes ⊠           | No                                     |
| Joint Venture                         | Name   | Joint Venture EIN (If                                   | available)  | Identify parties to the Jo  | int Venture       |  |
| · · · · · · · · · · · · · · · · · · · |  | L   |   | WALL CO.                    | - PORK LEAVIne at |  |

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| III  | CONTRACT HISTORY   |                                    | ,               |
|------|--|------------------------------------|-----------------|
| 3.0  | Has the Business Entity completed any construction contracts?  | ⊠ Yes                              | □ No            |
| Co   | Yes," list the ten most recent <u>construction</u> contracts the <u>Business Entity</u> has completed using Attachment A—o<br>astruction Contracts, found at <u>www.osc.state.ny.us/vendrep/documents/questionnaire/ac3294s.doc.</u><br>ass than ten, include most recent subcontracts on projects up to that number.                        | Completed                          |                 |
| 3.1  | Does the Business Entity currently have uncompleted construction contracts?  | ⊠ Yes                              | □ No            |
| · WW | Yes," list all current uncompleted <u>construction</u> contracts by using Attachment B — Uncompleted Construction w.osc.state.nv.us/vendrep/documents/questionnaire/ac3295s.doc <sub>i</sub> ) e: Ongoing projects must be included.   |                                    | _               |
| IV.  | INTEGRITY - CONTRACT BIDDING   |                                    |                 |
|      | hin the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:  |                                    |                 |
| 4.0  | Been <u>suspended</u> or <u>debarred</u> from any <u>government contracting process</u> or been <u>disqualified</u> on any government procurement?   | Yes                                | ⊠ No            |
| 4.1  | Been subject to a denial or revocation of a government prequalification?   | Yes                                | ⊠ No            |
| 4.2  | Had any bid rejected by a government entity for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid?  | Yes                                | ⊠ No            |
| 4.3  | Had a proposed subcontract rejected by a government entity for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid?   | Yes                                | ⊠ No            |
| 4.4  | Had a low bid rejected on a government contract for failure to make good faith efforts on any Minority-<br>Owned Business Enterprise, Women-Owned Business Enterprise or Disadvantaged Business Enterprise<br>goal or statutory affirmative action requirements on a previously held contract?   | Yes                                | ⊠ No            |
| 4.5  | Agreed to a voluntary exclusion from bidding/contracting with a government entity?   | Yes                                | ⊠ No            |
| 4.6  | Initiated a request to withdraw a bid submitted to a government entity or made any claim of an error on a bid submitted to a government entity?  | Yes                                | ⊠ No            |
| Lnn  | each "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submit<br>ty, the <u>government entity</u> involved, project(s), relevant dates, any remedial or corrective action(s) taken and th<br>ssue(s). Provide answer(s) below or attach additional sheets with numbered responses. | tting <u>Busine</u><br>e current s | ess<br>tatus of |
| V. I | NTEGRITY - CONTRACT AWARD  |                                    |                 |
|      | in the past five (5) years, hus the Business Entity, an affiliate, or any predecessor company or entity:   |                                    | , :             |
| 5,0  | Defaulted on or been suspended, cancelled or terminated for cause on any contract?   | Yes                                | ⊠ No            |
| 5.1  | Been subject to an <u>administrative proceeding</u> or civil action seeking specific performance or restitution (except any disputed work proceeding) in connection with any <u>government contract?</u>   |                                    | ⊠ No            |
| 5.2  | Entered into a formal monitoring agreement, consent decree or stipulation settlement as specified by, or agreed to with, any government entity?  | Yes                                | ⊠ No            |
| 5.3  | Had its surety called upon to complete any contract whether government or private sector?  | Yes                                | ⊠ No            |
| 5.4  | Forfeited all or part of a standby letter of credit in connection with any government contract?  |                                    | ⊠ No            |
|      |  |                                    |                 |

| V. INTEGRITY - CONTRACT AWARD  |            | ************************************** |
|--|------------|--|
| Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:   |            |  |
| For each "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the subm<br><u>Entity</u> , the <u>government entity</u> /owners involved, project(s), contract number(s), relevant dates, any remedial or co<br>taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered        | rrectiva . | action(s)                              |
|  |            |  |
| VI. CERTIFICATIONS/LICENSES  |            |  |
| Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:   |            |  |
| 6.0 Had a revocation or <u>suspension</u> of any business or professional permit and/or license?   | ☐ Y        | es 🛭 No                                |
| 6.1 Had a denial, decertification, revocation or forfeiture of New York State certification of Minority-Owned Business Enterprise, Women-Owned Business Enterprise or a federal certification of Disadvantaged Business Enterprise status, for other than a change of ownership?   | □ Y        | es 🛭 No                                |
| For each "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the subm <u>Entity</u> the <u>government entity</u> involved, relevant dates, any remedial or corrective action(s) taken and the current Provide answer(s) below or attach additional sheets with numbered responses.  VII. LEGAL PROCEEDINGS/GOVERNMENT INVESTIGATIONS | status of  | the issue(s                            |
| Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:   |            |  |
|  | ☐ Yes      | No No                                  |
| 7.1 Been the subject of:   |            |  |
| (i.) An indictment, grant of immunity, judgment or conviction (including entering into a plea bargain) for conduct constituting a crime; or  | ☐ Yes      | ⊠ No                                   |
| (ii.) Any criminal <u>investigation</u> , felony indictment or conviction concerning the formation of, or any<br>business association with, an allegedly false or fraudulent <u>Minority-Owned Business Enterprise</u> ,<br><u>Women-Owned Business Enterprise</u> , or a <u>Disadvantaged Business Enterprise</u> ?   | Yes        | ⊠ No                                   |
| 7.2 Received any OSHA citation, which resulted in a final determination classified as serious or willful?  | Yes        | ⊠ No                                   |
| 7.3 Had a government entity find a willful prevailing wage or supplemental payment violation?  | Yes        | ⊠ No                                   |
| 7.4 Had a New York State Labor Law violation deemed willful?   | Yes        | ⊠ No                                   |
| 7.5 Entered into a consent order with the New York State Department of Environmental Conservation, or a <u>federal</u> , state or local government enforcement determination involving a violation of <u>federal</u> , state or local environmental laws?  | Yes        | ⊠ No                                   |

| vn          | LEGAL PROCEEDINGS/GOVERNMENT INVESTIGATIONS  |  |
|-------------|--|--|
| Win         | hin the next five (5) years, has the Projector Positions of the  |  |
| 27.6        | hin the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:  |  |
| 7.6         | Other than previously disclosed, been the subject of any <u>citations</u> , notices or violation orders; a pending administrative hearing, proceeding or determination of a violation of:  | ☐ Yes ⊠ No                             |
|             | <ul> <li>Federal, state or local health laws, rules or regulations;</li> </ul>   |  |
|             | <ul> <li>Federal, state or local environmental laws, rules or regulations;</li> </ul>  | <i>_</i>                               |
|             | <ul> <li>Unemployment insurance or workers compensation coverage or claim requirements;</li> </ul>   |  |
|             | <ul> <li>Any labor law or regulation, which was deemed willful;</li> </ul>   | ,                                      |
|             | Employee Retirement Income Security Act (ERISA);   | 1 3                                    |
|             | <ul> <li>Federal, state or local human rights laws;</li> </ul>   |  |
| <del></del> | • Federal, state or local security laws?   |  |
| issu        | each "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the sulty, the <u>government entity</u> involved, relevant dates, any remedial or corrective action(s) taken and the curre of the content of the curre of the current of th | nt status of the                       |
| Was         | : Information regarding a determination or finding made in error, which was subsequently corrected or o<br>withdrawn by the Issuing government entity, is not required.  | verturned, and/or                      |
|             | *  |  |
| ···         |  |  |
|             | LEADERSHIP INTEGRITY   |  |
| If th       | Business Entity is a Joint Venture Entity, answer "N/A - Not Applicable" to questions in this section.   |  |
| with auth   | in the past five (5) years has any individual previously identified or any individual currently or formerly<br>ority to sign, execute or approve bids, proposals, contracts or supporting documentation on behalf of the<br>any government entity been:  | having the<br>Business Entity          |
| 8.0         | Sanctioned relative to any business or professional permit and/or license?   | ☐ Yes ⊠ No                             |
| 8,1         | Suspended, debarred or disqualified from any government contracting process?   | ☐ Yes ⊠ No<br>☐ N/A                    |
| 8.2         | The subject of a criminal investigation, whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or <u>federal</u> law?  | ☐ Yes ⊠ No<br>☐ N/A                    |
| 8.3         | Charged with a misdemeanor or felony, indicted, granted immunity, convicted of a crime or subject to a judgment for:   | ☐ Yes ☑ No                             |
|             | (i.) Any business-related activity, including but not limited to fraud, coercion, extortion, bribe or<br>bribe-receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering,<br>mail fraud, wire fraud, price-fixing or collusive bidding; or  | □ N/A                                  |
|             | (ii.) Any crime, whether or not business-related, the underlying conduct of which related to<br>truthfulness, including but not limited to the filing of false documents or false sworn statements,<br>perjury or larceny  |  |
| ***** E     | ach "Yes," provide an explanation of the issue(s), the individual involved, the relationship to the submitting<br><u>vernment entity</u> involved, relevant dates, any remedial or corrective action(s) taken and the current status<br>de answer(s) below or attach additional sheets with numbered responses.  | t Business Entity.<br>Of the issue(s). |

| IX. FINANCIAL AND ORGANIZATION  | DNAL CAPACITY   |   |  |                                    |                           |
|---|---|---|--|------------------------------------|---------------------------|
| 9.0 Within the past five (5) years, has the performance assessment(s) from any  | e <u>Business Entity</u> or ar<br>g <u>overnment entity</u> on    | ny <u>affiliate</u> received an<br>any contract?            | y formal unsatisfactory                                | Yes                                | ⊠ No                      |
| If "Yes," provide an explanation of the iss<br>government entity involved, relevant dates<br>Provide answer below or attach additiona     | , any remedial or corre   | ective action(s) taken i                                    | ionship to the submitting<br>and the current status of | Business E<br>the issue(s)         | ntiiy, the                |
| 9.1 Within the past five (5) years, has the assessed over \$25,000?   | e <u>Business Entity</u> or an                                    | y <u>affiliate</u> had any <u>liq</u> u                     | uidated damages  | Yes                                | ⊠ No                      |
| If "Yes," provide an explanation of the iss<br>relevant dates, the contracting party involv<br>attach additional sheets with numbered res | ved, the amount assess  | i <u>ty</u> involved, the relati<br>ed and the current stat | onship to the submitting just of the issue(s). Provide | <u>Business Er</u><br>de answer b  | <u>rtity.</u><br>velow or |
| 9.2 Within the past five (5) years, has the over \$25,000 filed against the Busine than 90 days? (Note: Including but                     | ess Entity which remain   | n undischarged or were                                      | e unsatisfied for more                                 | Yes                                | ⊠ No                      |
| If "Yes," provide an explanation of the issa<br>relevant dates, the Lien holder or Claiman<br>answer below or attach additional sheets w  | ts' name(s), the amoun  | t of the <u>lien(</u> s) and the<br>es.                     | current status of the issu                             | <u>Business En</u><br>1e(s). Provi | tity.<br>Ide              |
| 9.3 In the last seven (7) years, has the <u>Bu</u><br>bankruptcy proceedings, whether or r  | <u>siness Entity</u> or any <u>af</u><br>not closed, or is any ba | filiate initiated or been<br>nkruptcy proceeding p          | the subject of any<br>ending?                          | ∐ Yes                              | ⊠ No                      |
| If "Yes," provide the <u>Business Entity</u> involve the court name and the docket number. Inc. Provide answer below or attach additional | licate the current statu  | s of the proceedings a                                      | ss Entity, the bankruptcy<br>s "Initiated," "Pending"  | chapter nu<br>or "Closed           | mber, ···                 |
| 9.4 What is the Business Entity's Bondin  | g Capacity?   |   |  |                                    | *******                   |
| a. Single Project \$15,000,000.00   |   | b. Aggregate (All Pro                                       | ojects) \$50,000,000.00                                | ~ <del>~~</del>                    |                           |
| 9.5 List <u>Business Entity's</u> Gross Sales for (3) Fiscal Years:   | the previous three  |   |  | Photo-wi-                          |                           |
| 1st Year (Indicate year ) 2015  | 2nd Year (Indicate y  | rear ) 2014   | 3rd Year (Indicate year                                | ) 2013                             |                           |
| Gross Sales \$59,394,303.00   | Gross Sales \$46,354,   | 819.00  | Gross Sales 42,562,943                                 |                                    |                           |
| List <u>Business Entity's</u> Average Back!     (Estimated total value of uncompleted)  |   |   |  | True y addisonably gryy            |                           |
| lst Year (Indicate year ) 2015  | 2nd Year (Indicate y  | ear)2014  | 3rd Year (Indicate year                                | ) 2013                             |                           |
| Amount \$28,000,000.00  | Amount \$29,000,000   | .00   | Amount \$27,000,000.00                                 | -                                  |                           |
| 9.7 Attach <u>Business Entity's</u> most recent Financial Information, found at <u>www.</u> (This information must be attached.)          | annual <u>financial statem</u><br>.osc.state.ny.us/vendre         | ent and accompanying placestions                            | notes or complete Attacl<br>naire/nc3296s.xls          | hment C-                           |                           |
|   | ·····   |   |  |                                    |                           |

| 10.0 Indicate whether any information provided herein is believed to be exempt from disclosure under the Freedom of Information Law (FOIL).                                    | Yes | ⊠ No   |
|--|-----|--------|
| · · · · · · · · · · · · · · · · · · ·  | l   | ₹7 140 |
| Note: A determination of whether such information is exempt from FOIL will be made at the time of any request for disclosure under FOIL. Attach additional pages if necessary. |     |        |
| If "Yes," indicate the question number(s) and explain the basis for the claim.   |     |        |

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## NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

## Certification

The undersigned: (1) recognizes that this questionnaire is submitted for the express purpose of assisting New York State government entities (including the Office of the State Comptroller (OSC)) in making responsibility determinations regarding award or approval of a contract or subcontract and that such government entities will rely on information disclosed in the questionnaire in making responsibility determinations; (2) acknowledges that the New York State government entities and OSC may, in their discretion, by means which they may choose, verify the truth and accuracy of all statements made herein; and (3) acknowledges that intentional submission of false or misleading information may result in criminal penalties under State and/or Federal Law, as well as a finding of non-responsibility, contract suspension or contract termination.)

## The undersigned certifies that he/she:

- is knowledgeable about the submitting Business Entity's business and operations;
- has read and understands all of the questions contained in the questionnaire;
- has not altered the content of the questionnaire in any manner;

Mauren Engil Notary Public

- has reviewed and/or supplied full and complete responses to each question;
- to the best of his/her knowledge, information and belief, confirms that the Business Entity's responses are true, accurate and complete, including all attachments, if applicable;
- understands that New York State government entities will rely on the information disclosed in the questionnaire when entering into a contract with the Business Entity; and
- is under an obligation to update the information provided herein to include any material changes to the Business Entity's responses at the time of bid/proposal submission through the contract award notification, and may be required to update the information at the request of the New York State government entities or OSC prior to the award and/or approval of a contract, or during the term of the contract.

| Signature of Owner/Official  |                            |
|------------------------------|----------------------------|
| Printed Name of Signatory    | Stephen M. Beyer           |
| Title                        | Chief Executive Officer    |
| Name of Business             | Bancker Construction Corp. |
| Address                      | 218 Blydenburgh Road       |
| City, State, Zip             | Islandia, NY 11749         |
| Sworn to before me this $15$ | day of April , 20 16       |

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MAUREEN ENGEL
Notary Public, State of New York
No. 01EN4942537
Qualified in Sulfolk County
Commission Expires Sept. 26, 20

## Page 1 of 2

AC 3294-S (4/12)

## NEWYORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE

# ATTACHMENT A -- COMPLETED CONSTRUCTION CONTRACTS

Vendor Name: BANCKER CONSTRUCTION CORP.

NYS Vendor ID: 1000056421

| )                |  |  |  |   |  | TAKO VENUOF L            | NIS Vehicle ID; AUCUCO 4441 |
|------------------|--|--|--|---|--|--------------------------|-----------------------------|
| Que              | Question 3.0: List the ten most recent construction contracts the Business Entity has completed. If less than ten, include most recent subcontracts on projects up to that number: | recent construction contra   | cts the Business Entity                | has completed. If less  | than ten, include most   | recent subcontra         | icts on projects up to that |
| <b>-</b> i       | .,,,,,,  | Agency/Owner<br>Inc. Village of Freeport - Water Main Replacement - S. | . Ocean Ave.                           |   | Award Date 2/3/2015  | Amount :5443,871.00      | Data Completed 1/4/2016     |
| مرساروا المرارية | Contact Person Robert R. Fisenne, P.E. Superintendent of DPW   | perintendent of DPW  | Telephone No.<br>(516) 377-2233        | Designer Architect an<br>Village of Freeport En                                     | Designer Architect and for Design Engineer<br>Village of Freeport Engineering Department |                          |                             |
|                  | Contract No.   | Prime or Sub<br>Prime  | Joint Venture (JV) Name, if applicable | me, if applicable   |  |                          | EIN of JV, if applicable    |
| М                | Agency/Owner<br>Citnalta Construction Corp PS170K  | PS170K   |  |   | Award Date 5/1/2014  | Amount<br>\$800,000.00   | Date Completed              |
|                  | Contact Person<br>Ray Sajeski  |  | Telephone No.<br>(631) 563-1110        | Designer Architect and /or Design En<br>NYC School Construction Authority           | Designer Architect and /or Design Engineer<br>NYC School Construction Authority          |                          |                             |
|                  | C000013105   | Prime or Sub<br>Sub  | Joint Venture (JV) Name, if applicable | me, if applicable   |  |                          | EIN of IV, if applicable    |
| rr\$             | ***************************************  | Agency/Owner<br>W.A. Chester, LLC - Cable Repair - New York Power      | r Authority                            |   | Award Date 2/1/2015  | Ameunt<br>\$250,000.00   | Date Completed 6/1/2015     |
| <u> </u>         | Contact Person<br>Frank Musick, Sr. Vice President   | sident   | Telephone No.<br>(240) 487-1958        | Designer Architect and for Design Engineer  | d /or Design Engineer  |                          | , c                         |
|                  | Contrast No.   | Prime or Sub<br>Sub  | Joint Venture (JV) Name, if applicable | me, if applicable   |  |                          | BIN of JV, if applicable    |
| 4                |  | Agency/Owner<br>Skanska USA Civil Northeast, Inc Utilities - Columb    | bia Manhattanville                     |   | Award Date<br>1/1/2015   | Amount<br>\$700.000.00   | Date Completed              |
|                  | Contact Person<br>Alper Ayar   |  | Telephone No.<br>(917) 709-4724        | Designer Architect and /or Design Engineer<br>Columbia University                   | d /or Design Engineer  |                          |                             |
|                  | Contract No.   | Prime or Sub<br>Sub  | Joint Venture (JV) Name, if applicable | me, if applicable   | 72   |                          | EIN of JV, if applicable    |
| หรั              | Agency/Owner<br>National Grid - Water Main - Greepoint, Brooklyn   | 1 - Greepoint, Brooklyn  |  |   | Award Date<br>7/1/2014   | Amount<br>\$2,800,000,00 | Date Completed 11/1/2015    |
|                  | Contact Person<br>Ricardo Garcia, Senior Supervisor  | ervisor  | Telephone No. (718) 963-5550           | Designer Architect and for Design Engineer<br>Leonard J. Strandberg & Associates PC | d /or Design Engineer<br>& Associates PC   |                          |                             |
| Į                | Contract No.   | Prime or Sub<br>Prime  | Joint Venture (JV) Name, if applicable | me, if applicable   |  |                          | EIN of JV, if applicable    |
|                  |  |  |  |   |  |                          |                             |

## Page 2 of 2

## NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE

# ATTACHMENT A - COMPLETED CONSTRUCTION CONTRACTS

Vendor Name: BANCKER CONSTRUCTION CORP.

AC 3294-S (4/12)

NYS Vendor ID: 1000056421

| _  |  |  |  |   |                         | NES YEAGOFL              | iving ventor ID: 1000050421 |
|--|--|--|--|---|-------------------------|--------------------------|-----------------------------|
| Orre   | Question 3.0: List the feu most recent construction contracts the Business Entity has completed. If less than ten, include most recent subcontracts on projects up to that number: | recent construction contra   | cets the Business Entity               | y has completed, If less  | than ten, include most  | recent subcontra         | cts on projects up to that  |
| ತ  | Agency/Owner ExxonMobil/Roux Associates - Sewer Alterations  | ifes - Sewer Alterations   |  |   | Award Date 2/1/2015     | Amount S1.70,000.00      | Date Completed              |
| المساحدة أسيما والمالية  | Contact Person<br>Christopher Proce, Principal Hydrogeologist  | al Hydrogeologist  | Telephone No. (631) 232-2600           | Designer Architect an<br>Roux Associates, Inc.                                      | 10                      |                          |                             |
| ikildisiya da ba   | Confract No.   | Prime or Sub<br>Prime  | Joint Venture (JV) Name, if applicable | ame, if applicable  |                         |                          | EIN of JV, if applicable    |
| 1.   | Agency/Owner<br>PSBG - Concrete & Piles  |  |  |   | Award Date<br>10/1/2014 | Amount 51.050.000.00     | Date Completed              |
| k-anemala area ataith  | Contact Person<br>Mark Kulick, Senior Procurement Analyst  | rement Analyst   | Telephone No.<br>(516) 949-8585        | Designer Architect and for Design Engineer<br>Owner                                 | d /or Design Engineer   |                          |                             |
| T OFFICE STREET, THE   | Contract No.   | Prime or Sub<br>Prime  | Joint Venture (JV) Name, if applicable | ane, if applicable  |                         |                          | EIN of JV, if applicable    |
| <b>6</b> 5   |  | Agency/Owner<br>Water Authority of Great Neck North - Kings Point Road Water Mains | ad Water Mains                         |   | Award Date<br>11/1/2014 | Amount<br>\$3.300.000.00 | Date Completed              |
| en de la companya de | Contact Person<br>Gregory C. Graziano, Superintendent  | rintendent   | Telephone No.<br>(516) 487-7973        | Designer Architect and for Design Engineer Dvirka & Bartilucci Consulting Engineers | d /or Design Engineer   |                          |                             |
|  | Contract No.   | Prime or Sub<br>Prime  | Joint Venture (JV) Name, if applicable | ane, if applicable  |                         | )))                      | BIN of JV, if applicable    |
| oʻ.  | . Agency/Owner<br>Roslyn Water District - Water Main - Tara Drive  | ter Main - Tara Drive  |  |   | Award Date<br>1/1/2014  | Amount<br>\$700,000,00   | Date Completed              |
| and the  | Contact Person<br>Robert J. Lucas, P.E.  |  | Telephone No.<br>(631) 756-8000        | Designer Architect and for Design Engineer H2M Architects + Engineers               | l for Design Engineer   |                          |                             |
|  | Contract No.<br>RLWD 13-07   | Prime or Sub<br>Prime  | Joint Venture (JV) Name, if applicable | me, if applicable   |                         |                          | EIN of IV, if applicable    |
| e<br>e   | Agency/Owner<br>National Grid - Southampton Gas Mains  | m Gas Mains  |  |   | Award Date 9/1/2014     | Amount<br>S3.350.000.00  | Date Completed              |
| •  | Contact Person<br>Richard Swedborg   |  | Telephone No. (516) 319-5183           | Designer Architect and /or Design Engineer<br>Owner                                 | l /or Design Engineer   |                          | Cranco                      |
| - 7  | Contract No.<br>N/A  | Prime or Sub<br>Prime  | Joint Venture (JV) Name, if applicable | me, if applicable   |                         |                          | EIN of JV, if applicable    |

## NEW YORK STATE

## VENDOR RESPONSIBILITY QUESTIONNAIRE

# ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name:BANCKER CONSTRUCTION CORP.

NYS Vendor ID:1000056421

|                  |   |  |  |  |   | } .                 | TATOCAGAILE TATOCAGAILE  | 1               |
|------------------|---|--|--|--|---|---------------------|--------------------------|-----------------|
| Oue              | stion 3.1: List all current                                       | Question 3.1: List all current uncompleted construction contracts:   | contracts:                             |  |   |                     | ,                        |                 |
| -i               | Agency/Owner Verizon - Master Contract - Long Island              | Long Island  |  |  |   | Award Date 6/1/2011 | Completi                 | Completion Date |
|                  | Contact Person<br>Denís W. Donovan                                | Control of the Contro | Telephone No. (718) 977-8110           | Designer Architect and for Design Engineer       | r Design Englneer                       |                     |                          |                 |
|                  | Contract No.  | Prime or Sub   | Joint Venture (JV) Name, if applicable | lame, if applicable                              |   |                     | EIN of JV, if applicable | applicable      |
|                  |   |  | Tot<br>\$26                            | Total Contract Amount<br>\$26,000,000.00         | Amount Sublet to offices \$0.00         | 1)                  | Uncompleted Amount       | II,             |
| - i              | Agency/Owner<br>New York American Water - Main Laying             | r - Main Laying  |  |  |   | Award Date 1/1/2012 | Completi                 | Completion Date |
|                  | Contact Person<br>Ben Classe, P.E.                                |  | Telephone No. (516) 596-4818           | Designer Architect and for Design Engineer Owner | Design Engineer                         |                     | AL ALACK                 |                 |
|                  | Contract No.  | Prime or Sub<br>Prime  | Joint Venture (IV) Name, if applicable | ane, if applicable                               |   | -                   | EIN of JV, if applicable | applicable      |
| <u>2</u> 2614p.( |   |  | Tot<br>\$19                            | Total Contract Amount \$19,000,000.00            | Amount Sublet to others                 | ers                 | Uncompleted Amount       | H               |
| ત્યું            |   | Agency/Owner<br>Rutturz & Sons Construction Co., Inc Water Main - Presh Direct   | <b>-</b>                               |  |   | Award Date          | Complet                  | Completion Date |
|                  | Contact Person<br>Richard Pearsall                                | 7773   | Telephone No.                          | Designer Architect and for Design Engineer       | Design Engineer                         | C104/C44            | CY67/7/01                |                 |
|                  | Contract No.  | Prime or Sub   | Joint Venture (IV) Name, if applicable | ame, if applicable                               |   |                     | EIN of JV, if applicable | pplicable       |
| ĺ                |   |  | Tota<br>\$1,0                          | Total Contract Amount<br>\$1,000,000.00          | Amount Sublet to others                 | ers                 | Uncompleted Arnount      | Ħ               |
| पं               | Agency/Owner PSEG - Arverne Piles & Connrete                      | iterete  |  |  |   | Award Date          | Completion Date          | on Date         |
|                  | Contact Person<br>H. Wayne Tures, Managor Construction Management | Construction Management  | Telephone No.<br>(516) 949-8351        | Designer Architect and /or Design Engineer       |   |                     | TOZIACIA.                |                 |
|                  | Contract No,  | Prime or Sub<br>Prime  | Joint Venture (JV) Name, if applicable | ume, if applicable                               | ,                                       |                     | BIN of IV, Happlicable   | pplicable       |
|                  |   |  | Tota<br>\$800                          | Total Contract Amount<br>\$800,000.00            | Amount Subjet to others<br>\$150,000.00 |                     | Uncompleted Amount       | ti ti           |
|                  |   |  |  |  | 4                                       |                     | 00.000,000               |                 |

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## NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE

# ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS

| Ven | Vendor Name: BANCKER CONSTRUCTION CORP.                            | ONSTRUCTION CORP.   |                                 |   |  |                         | NYS Vendor ID:1000056421          | 421                         |
|-----|--|---|---------------------------------|---|--|-------------------------|-----------------------------------|-----------------------------|
| 3   | Question 3.1: List all current uncompleted construction contracts: | uncompleted construction  | contracts:                      | Name of the   |  | )                       | بسيم ويستان مياند                 |                             |
| นกั |  | Agency/Owner<br>Into. Village of Garden City - Water Main - Magnolia Ave., Carteret Pl. & 4th St. | Carteret Pl. & 4th St.          |   |  | Award Date 11/1/2015    | Completi<br>7/1/2016              | Completion Date 7/1/2016    |
|     | Contact Person<br>Robert J. Mangan, P.E., Director of Public Works | ector of Public Works   | Telephone No. (516) 465-4004    | Designer Architect and for Design Engineer                                      | Design Engineer                        |                         |                                   |                             |
|     | Contract No.   | Prime or Sub<br>Prime   | Joint Venture (J                | Joint Venture (JV) Nanie, if applicable   |  |                         | EIN of JV,                        | EIN of JV, if applicable    |
|     |  |   |                                 | Total Contract Amount<br>\$1,700,000.00   | Amount Sublet to others<br>\$6.00      | lers                    | Uncompleted Amount \$950,000.00   | mut                         |
| ę,  |  | Agency/Owner<br>Lend Lesse (US) Construction LMB, Inc Southside HospSite                          | Site Excavation & Conc.         | Conc.   |  | Award Date 6/1/2015     | Completi                          | Completion Date             |
|     | Contact Person<br>Robert O'Donolme, Sr. Project Manages            | ect Manage:   | Telephone No. (718) 479-4160    | Designer Architect and for Design Engineer<br>Sidney B. Bowne & Son LLP         | Design Engineer<br>LP                  |                         | -                                 |                             |
|     | Contract No.   | Prime or Sub<br>Sub   | Joint Venture (J                | Joint Venture (IV) Name, if applicable  |  |                         | EIN of IV.                        | EIN of IV, if applicable    |
|     |  |   |                                 | Total Contract Amount<br>\$1,710,006.00   | Amount Sublet to others \$0.00         | iors                    | Uncompleted Amount \$335,000.00   | Nant.                       |
| 7   | Agency/Owner Turner Constituction Company - PS 332Q                | my - PS 332Q  |                                 |   |  | Award Date 10/14/2015   | Completi<br>4/1/2017              | Completion Date<br>4/1/2017 |
|     | Contact Person<br>Michael Williams, Project Manager                | Manager   | Telephone No. (212) 229-6000    | Designer Architect and for Design Engineer NYC School Construction Authority    | Design Engineer<br>Authority           | ,                       |                                   |                             |
|     | C000013981   | Prime or Sub<br>Sub   | Joint Venture (J                | Joint Venture (IV) Name, if applicable  |  |                         | EIN of JV, if applicable          | f applicable                |
|     |  |   |                                 | Total Contract Amount<br>\$1,715,000.00   | Amount Sublet to others \$0.00         | lers                    | Uncompleted Amount \$1.715.000 00 | wat                         |
| တံ  |  | p Site Utilities - PS92Q  |                                 |   |  | Award Date<br>1/30/2015 | Completi                          | Completion Date             |
|     | Coutact Person<br>James Martirano                                  |   | Telephone No.<br>(718) 639-5880 | Designer Architect and for Design Engineer<br>NYC School Construction Authority | Design Engineer<br>Authority           |                         |                                   |                             |
|     | Contract No.   | Prime or Sub<br>Sub   | Joint Venture (JV               | Joint Venture (JV) Name, if applicable  |  |                         | EIN of JV, if applicable          | repplicable                 |
| ]   |  |   |                                 | Total Contract Amount<br>\$875,000.00   | Amount Subjet to others<br>\$94,000.00 |                         | Uncompleted Amount                | unt                         |
|     |  |   |                                 |   | 222225                                 |                         | a sansananan                      |                             |

Page 2 of 3

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## NEWLYORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE

# ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name: BANCKER CONSTRUCTION CORP.

AC 3295-5 (4/12)

NYS Vendor ID:1000056421

|                |   |   |                              |  | CIN CINC                              | T740C0000TETT Jonia & CI N | 1740000                  |               |
|----------------|---|---|------------------------------|--|---------------------------------------|----------------------------|--------------------------|---------------|
| Ö              | Question 3.1: List all current uncompleted construction co  | uncompleted construction e  | oetracts:                    |  |                                       |                            |                          |               |
| <u> </u>       | 9. Agency/Owner<br>Nessau County Dept. of Pub   | Agency/Owner<br>Nessau County Dept. of Public Works - Bay Park Decilorination Vault | ation Vauit                  |  | Award Deie                            | aic.                       | Completion Date          |               |
|                | Contact Person<br>Scott Urban   |   | Telephone No. (516) 571-6840 | Designer Architect and /or Design Engineer Owner |                                       |                            | OT DETAILS               |               |
| <u></u>        | Contract No.  | Prime or Sub<br>Prime   | Joint Venture (IX            | Joint Venture (3V) Name, if applicable           |                                       | ļ                          | EIN of JV, if applicable | 1             |
|                |   |   |                              | Total Contract Amount<br>\$500,000.00            | Amount Sublet to others<br>\$0.00     | Uncompleted Amount         | d Amount                 | Ή-            |
| 70,            |   | Agency/Owner<br>Village of Saltaire - Water Main Replacement - Broadway             |                              |  | Award Date                            | 200                        | Completion Date          | · 1           |
| and the second | Contact Person<br>Marío Posillico   |   | Telephone No. (631) 583-5566 | Designer Architect and /or Design Engineer       |                                       |                            | Oxorna                   |               |
|                | Contract No.  | Prime or Sub<br>Prime   | Joint Venture (JV            | Joint Venture (JV) Name, if applicable           |                                       | EINO                       | EIN of JV, if applicable | <del></del> - |
|                | 1 Mary 1 |   |                              | Total Contract Amount<br>\$580,000.00            | Amount Subject to others              | Uncompleted Amount         | d Amount                 | <del></del>   |
|                |   |   | -   h                        |  |                                       | on non non-                |                          | F             |
|                |   |   |                              | Gran   | Grand Total All Uncompleted Contracts | cts   \$18,420,000.00      | .00                      |               |
|                |   |   |                              |  |                                       |                            |                          | 7             |

## NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

|                             |  |  | -  |  |
|-----------------------------|--|--|--|--|
| •                           |  | •  | hout hyphe   | n)   |
| ic                          |  |  |  |  |
|                             |  |  | <u>Identificati</u>  | on Number  |
|                             |  | 1100037599   |  |  |
|                             |  | Telephone  | Fax  |  |
|                             |  | (631) 582-0920 ext.  | (631) 58   | 2-3698   |
|                             | Website  |  | 1,   |  |
|                             | www.bancker.   | .com   |  |  |
|                             |  |  |  | <u> </u>   |
|                             |  | Telephone  | Fex  |  |
|                             |  | (631) 582-0920 ext.  | (631) 581  | 2-3698   |
|                             |  |  |  |  |
|                             | •  | ,  |  |  |
| 111-1 12-4 DY               | 7. T. J. N.  |  | . DP   |  |
| are filed and the status (a | <u>3A, Trade Nam</u><br>active or inactiv  | <u>le, Former Name,</u> Other Ider<br>ve).   | atily, or <u>ELD</u>   | <u>l</u> used in   |
| E                           | EN   | State or County where fil  | ed.  | Status   |
| 1                           | 12388666   | New York   |  | Active   |
|                             |  |  |  |  |
|                             |  |  |  |  |
| iate box and provide add    | litional informa   | ation:   |  | · · · · · · · · · · · · · · · · · · ·  |
| Date of Incorporation       | 4/28/1976  |  | · <u>·······</u>   | , <u>, , , , , , , , , , , , , , , , , , </u>  |
| Date Organized              |  | And the second division of the second divisio |  |  |
|                             |  |  |  |  |
| Date of Registration        |  |  |  |  |
| Date Established            |  | - The state of the | - Laid-  |  |
|                             | THE PARTY OF THE P |  | · · · · · · · · · · · · · · · · · · ·  | ~ <del>~~~</del>   |
| Date Established            |  | County (if formed in NY)   | S)   |  |
|                             | licable, list any other DE ere filed and the status (a E late box and provide add Date of Incorporation Date Organized   | Website www.bancker.  Clicable, list any other DBA, Trade Namere filed and the status (active or inactive)  EIN  112388666  Late box and provide additional information of Incorporation 4/28/1976  Date of Incorporation 4/28/1976  Date of Registration  | Telephone (631) 582-0920 ext.  Website www.bancker.com  Telephone (631) 582-0920 ext.  Email sbeyer@bancker.com  licable, list any other DBA, Trade Name, Former Name, Other Iderere filed and the status (active or inactive).  EIN State or County where fil 112388666 New York  iate box and provide additional information:  Date of Incorporation 4/28/1976  Date Organized  Date of Registration | New York State Vendor Identification 1100037599  Telephone (631) 582-0920 ext. (631) 58  Website www.bancker.com  Telephone (631) 582-0920 ext. (631) 58:  Email sbeyer@bancker.com  Email sbeyer@bancker.com  Ein State or County where filed.  112388666 New York  Date of Incorporation 4/28/1976  Date of Registration |

Note: THESE TERMS MAY NOT HAVE THEIR ORDINARY, COMMON OR TRADITIONAL MEANINGS. Each vendor is strongly encouraged to read the respective definitions for any and all underlined terms. BY SUBMITTING THIS QUESTIONNAIRE, THE VENDOR AGREES TO BE BOUND BY THE TERMS AS DEFINED IN THE "NEW YORK STATE VENDOR RESPONSIBILITY DEFINITIONS LIST" as it existed at the time of certification.

<sup>\*</sup> All underlined terms are defined in the "New York State Vendor Responsibility Definitions List," which can be found at http://www.osc.state.ny.us/vendrep/documents/definitions.pdf.

| I. B    | usiness charac   | TERISTICS                                  |  |                           |  |                          |                                       |                                       |
|---------|--|--|--|---------------------------|--|--------------------------|---------------------------------------|---------------------------------------|
|         | g) 🗌 Other   | <del></del>                                | Date Established   | T                         |  |                          |                                       |                                       |
| IfO     | ther, explain:   |  |  |                           |  | . ,,                     |                                       |                                       |
| 1.1     | Was the Business En  | tity formed in Ne                          | w York State?  | A-1                       |  |                          | ⊠ Yes                                 | □No                                   |
| If"     | Vo" indicate jurisdictio   | n where the <u>Busi</u>                    | ness Entity was formed:  |                           |  |                          |                                       |                                       |
|         | United States  | State                                      | 3  | ····                      |  |                          | <del>-}-</del>                        |                                       |
|         | Cther  | Country                                    | 5.<br>.i.  |                           | The state of the s |                          |                                       | <del></del>                           |
| 1.2     | Is the Business Entity   | currently registe                          | ered to do business in Nev   | v York Sta                | ate?   |                          | ⊠ Yes                                 | □No                                   |
|         | Note: Select "Not Red  | quired" if the Bu                          | siness Entity is a Sole Pro  | prietor or                | r General Partnership  |                          | □ Not F                               |                                       |
| If"I    | No," explain why the P   | <u>lusiness Entity</u> is                  | not required to be register  | red to do l               | business in New York St  | ate:                     | l                                     | · · · · · · · · · · · · · · · · · · · |
|         |  |  |  |                           | ,  |                          |                                       |                                       |
| 1.3     | Is the responding Rus  | ingo Entity o Tol                          |  | 1 '11'                    |  | -                        |                                       |                                       |
|         | Venture, also submit   | a separate questic                         | int Venture? Note: If the sonnaire for each <u>Business</u>  | Entity cor                | <u>Husiness Entity</u> is a <u>Join</u><br>mprising the <u>Joint Ventu</u>   | 1 <u>t</u><br>re,        | ☐ Yes                                 | ⊠ No                                  |
| 1.4     | If the Business Entity<br>maintain an office in  | 's Principal Piace                         | of Business is not in Nev  | w York St                 | atc, does the Business En  | ntity                    | ☐ Yes                                 | ∐ No                                  |
|         |  |  | siness is in New York Stat   | le.)                      |  |                          | ⊠ WA                                  |                                       |
| If"Y    |  | -TV  | number for one office loca   |                           | w York State   |                          |                                       | <del></del>                           |
|         |  |  |  |                           | TOTAL STATES   |                          |                                       |                                       |
|         |  |  |  |                           | an a second seco |                          |                                       |                                       |
| 1.5     | Is the Business Entity <u>Business Enterprise</u> , o <u>Enterprise</u> ?  | a New York Stat<br>or <u>New York Stat</u> | e certified <u>Minority-Own</u><br>e <u>Small Business</u> , or feder  | ed Busine<br>rally certin | ss Enterprise, or <u>Women</u><br>fied <u>Disadvantaged Busir</u>  | -Owned<br>ness           | ☐ Yes                                 | ⊠ No                                  |
|         | If "Yes," check all tha  | ıt apply;                                  | The state of the s | ·                         | The state of the s |                          |                                       |                                       |
|         |  |  | Owned Business Enterpri  |                           |  |                          |                                       |                                       |
|         |  |  | Owned Business Enterprise  | g (WBE)                   |  |                          |                                       |                                       |
|         | New York State Sta |  | Susiness Enterprise (DBE)  | `                         |  |                          |                                       |                                       |
| 1,6     |  |  | n within the past five (5)   |                           | reinere Entitu Official  | п                        |                                       | 7.004                                 |
|         | more of the fittil 2 249   | res, or one or the                         | Tive largest shareholders  | or a direct               | tor, an officer, a partner of  | rincipal<br>or a proprie | <u>Owner</u> of :<br>stor.            | 0.0% or                               |
|         | Joint Ventures: Provide  | le information for                         | rall firms involved,   |                           |  |                          |                                       |                                       |
| <b></b> | (Attach additional pag   |  |  |                           |  | <del></del>              | · · · · · · · · · · · · · · · · · · · | -                                     |
| Name    |  | Ti   | tle  | ] (                       | Percentage Ownership<br>(Enter 0% if not<br>applicable)  | Employs<br>the Firm      | nent Status                           | s with                                |
| Charl   | es Madsen  | Pre  | esident  |                           | 15%  |                          | nt 🗌 For                              | mer                                   |
| Steph   | en M. Beyer  | Tr   | easurer  |                           | 35%  | ⊠ Curre                  | nt 🗌 For                              | mer                                   |
| Mich    | ael S. Beyer   | Se   | cretary  | 3                         | 35%  | Curre                    | nt 🔲 For                              | mer                                   |
| Philli  | p M. Beyer   | Vi   | ce President   | 1                         | 15%  | Curre                    | nt 🔲 For                              | mer                                   |
|         |  |  |  |                           |  |                          |                                       |                                       |

| II. AFFILIATE and JOINT VENTURE  | RELATIONSHIPS  |                            |  |                |
|--|--|----------------------------|--|----------------|
| 2.0 Are there any other construction-relat<br>Business Entity or any of the individu<br>shares of, or was or is one of the five<br>other firm? | als listed in question 1.  | 6 either owned or owns     | 5.0% or more of the  | ⊠Yes □No       |
| Firm/Company Name  | Firm/Company EIN (If available)  |                            | Firm/Company's Prima<br>Activity   | ary Business   |
| SEE ATTACHED LIST  |  |                            |  |                |
| Firm/Company Address   | The state of the s | 1                          |  |                |
| Explain relationship with the firm and indicate  | ate percent ownership,   | if applicable (enter N/A   | , if not applicable):  |                |
| Are there any shareholders, directors, office has in common with this firm?  | rs, owners, partners or  | proprietors that the sub   | nitting <u>Business Entity</u>   | ☐Yes ☐ No      |
| Individual's Name  |  | Position/Title with Fi     | гш/Сотрапу   | 7// 2          |
| 2.1 Does the <u>Business Entity</u> have any <u>cor</u> above?   | <u>ıstruction</u> -related <u>affili</u> z   | ntes not identified in the | response to 2.0  | ☐Yes ⊠ No      |
| Affiliate Name   | Affiliate EIN (If avai   | lable)                     | Affiliate's Primary Bus  | iness Activity |
| Affiliate Address  |  |                            | And the second s |                |
| Explain relationship with the affiliate and in   | dicate percent ownersh   | ip, if applicable (enter l | VA, if not applicable):  |                |
| Are there any shareholders, directors, officer has in common with this affiliate?  | rs, owners, partners or p  | proprietors that the subr  | nitting Business Entity  | ☐ Yes ☐ No     |
| Individual's Name  |  | Position/Fitle with Fir    | m/Company  |                |
| 2.2 Has the <u>Business Entity</u> participated in Attach additional pages if necessary,   | any <u>construction</u> Joint  | Ventures within the pa     | st three (3) years?  | Yes No         |
| Joint Venture Name   | Joint Venture EIN (If  | available)                 | Identify parties to the Jo   | int Venture    |
|  | ·  |                            |  |                |

## NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

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| III.  | CONTRACT HISTORY  |               |              |
|-------|---|---------------|--------------|
| 3.0   | List the ten most recent construction contracts the <u>Business Entity</u> has completed using Attachment A — Contracts, found at <a href="http://www.osc.state.ny.us/vendrep/documents/questionnaire/attachment_a.docIf">http://www.osc.state.ny.us/vendrep/documents/questionnaire/attachment_a.docIf</a> less the recent subcontracts on projects up to that number. |               |              |
| 3.1   | List all current uncompleted construction contracts by using Attachment B – Uncompleted Construction Conhttp://www.osc.state.ny.us/vendrep/documents/questionnaire/attachment_b.doc.  | ntracts, four | nd at        |
| IV    | INTEGRITY – CONTRACT BIDDING  | <u>.,</u>     |              |
|       | tin the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:   |               |              |
| 4,0   | Been suspended or debarred from any government contracting process or been disqualified on any government procurement?  | Yes           | ⊠ No         |
| 4.1   | Been subject to a denial or revocation of a government prequalification?  | ☐ Yes         | ⊠ No         |
| 4.2   | Had any bid rejected by a government entity for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid?   | Yes           | ⊠ No         |
| 4.3   | Had a proposed subcontract rejected by a government entity for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid?  | Yes           | ⊠ No         |
| 4.4   | Had a low bid rejected on a government contract for failure to make good faith efforts on any Minority-Owned Business Enterprise, Women-Owned Business Enterprise or Disadvantaged Business Enterprise goal or statutory affirmative action requirements on a previously held contract?   | Yes           | ⊠ No         |
| 4.5   | Agreed to a voluntary exclusion from bidding/contracting with a government entity?  | Yes           | ⊠ No         |
| 4.6   | Initiated a request to withdraw a bid submitted to a government entity or made any claim of an error on a bid submitted to a government entity?   | ☐ Yes         | ⊠ No         |
| Entil | each "Yes," provide an explanation of the Issue(s), the <u>Business Entity</u> involved, the relationship to the submi<br>ty, the <u>government entity</u> involved, project(s), relevant dates, any remedial or corrective action(s) taken and th<br>ssue(s). Provide answer(s) below or attach additional sheets with numbered responses.                             |               |              |
| V. 1  | NTEGRITY CONTRACT AWARD   |               |              |
|       | tin the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:   |               |              |
| 5.0   | Defaulted on or been suspended, cancelled or terminated for cause on any contract?  | Yes           | ⊠ No         |
| 5.1   | Been subject to an <u>administrative proceeding</u> or civil action seeking specific performance or restitution (except any disputed work proceeding) or requiring the <u>Business Entity</u> to enter into a formal monitoring agreement in connection with any government contract?   | Yes           | ⊠ No         |
| 5.2   | Had its surety called upon to complete any contract whether government or private sector?   | ☐ Yes         | ⊠ио          |
| Enti  | each "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submi<br>ty, the <u>government entity</u> /owners involved, project(s), contract number(s), relevant dates, any remedial or con<br>n and the current status of the issue(s).  Provide answer(s) below or attach additional sheets with numbered r      | ective actio  | sss<br>pn(s) |

## NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

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| VI. CERTIFICATIONS/LICENSES  |   |
|--|---|
| Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:   |   |
| 6.0 Had a revocation or suspension of any business or professional permit and/or license?  | ☐ Yes ⊠ No  |
| 6.1 Had a denial, decertification, revocation or forfeiture of New York State certification of Minority-Owned Business Enterprise, Women-Owned Business Enterprise or a federal certification of Disadvantaged Business Enterprise status, for other than a change of ownership?   | d ☐ Yes ☒ No  |
| For each "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the su<br><u>Entity</u> , the <u>government entity</u> involved, relevant dates, any remedial or corrective action(s) taken and the curre<br>Provide answer(s) below or attach additional sheets with numbered responses.     | bmitting <u>Business</u><br>ent status of the issue(s). |
| VII. LEGAL PROCEEDINGS   |   |
| Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:   |   |
| 7.0 Been the subject of a criminal <u>investigation</u> , whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or <u>federal</u> law?   | Yes No  |
| 7.1 Been the subject of:   |   |
| (i.) An indictment, grant of immunity, <u>judgment</u> or conviction (including entering into a plea bargain) for conduct constituting a crime; or   | ☐ Yes ⊠ No  |
| (ii.) Any criminal investigation, felony indictment or conviction concerning the formation of, or any<br>business association with, an allegedly false or fraudulent <u>Minority-Owned Business Enterprise</u> ,<br><u>Women-Owned Business Enterprise</u> , or a <u>Disadvantaged Business Enterprise</u> ?                                   | ☐ Yes ⊠ No  |
| 7.2 Received any OSHA citation and Notification of Penalty containing a violation classified as serious or willful?  | ☐ Yes ⊠ No  |
| 7.3 Had a government entity find a willful prevailing wage or supplemental payment violation?  | ☐ Yes ⊠ No  |
| 7.4 Had a New York State Labor Law violation deemed willful?   | ☐ Yes 🗵 No  |
| 7.5 Entered into a consent order with the New York State Department of Environmental Conservation, or a federal, state or local government enforcement determination involving a violation of federal, state or local environmental laws?  | al Yes ⊠ No   |
| 7.6 Other than previously disclosed, been the subject of any citations, notices, violation orders, pending administrative hearings or proceedings or determinations of a violation of:   | ☐ Yes ⊠ No  |
| <ul> <li>Federal, state or local health laws, rules or regulations;</li> </ul>   |   |
| <ul> <li>Federal, state or local environmental laws, rules or regulations;</li> </ul>  |   |
| <ul> <li>Unemployment insurance or workers compensation coverage or <u>claim</u> requirements;</li> </ul>  | .   |
| <ul> <li>Any labor law or regulation, which was deemed willful;</li> </ul>   | -   |
| <ul> <li>Employee Retirement Income Security Act (ERISA);</li> </ul>   |   |
| • Federal, state or local human rights laws;   |   |
| Federal, state or local security laws?   | ,   |
| For each "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the subt<br><u>Entity</u> , the <u>government entity</u> involved, relevant dates, any remedial or corrective action(s) taken and the carrent<br>Provide answer(s) below or attach additional sheets with numbered responses. | mitting <u>Business</u><br>t status of the issue(s).    |

## NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

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| VIII. LEADERSHIP INTEGRITY   |                |      |
|--|----------------|------|
| If the Business Entity is a Joint Venture Entity, answer "N/A - Not Applicable" to questions in this section.  |                |      |
| Within the past five (5) years has any individual previously identified or any individual having the authority to sapprove bids, proposals, contracts or supporting documentation on behalf of the Business Entity with New York to:   |                |      |
| 8.0 A sanction imposed relative to any business or professional permit and/or license?   | ☐ Yes<br>☐ N/A | ⊠ No |
| 8.1 A criminal investigation, whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or federal law?  | ☐ Yes<br>☐ N/A | ⊠ No |
| 8.2 Misdemeanor or felony charge, indictment or conviction for:  (i.) Any business-related activity including but not limited to fraud, coercion, extortion, bribe or bribe-receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering, mail fraud, wire fraud, price-fixing or collusive bidding; or  | ☐ Yes          | ⊠ No |
| (Ii.) Any crime, whether or not business-related, the underlying conduct of which related to truthfulness, including but not limited to the filing of false documents or false sworn statements, perjury or larceny  |                |      |
| 8.3 A <u>debarment</u> from any government contracting process?  | ☐ Yes<br>☐ N/A | ⊠ No |
| For each "Yes," provide an explanation of the issue(s), the individual involved, the relationship to the submitting <u>government entity</u> involved, relevant dates, any remedial or corrective action(s) taken and the current status of the answer(s) below or attach additional sheets with numbered responses.   |                |      |
| THE STREET AND OR CONTRACTORY OF THE STREET  |                |      |
| IX. FINANCIAL AND ORGANIZATIONAL CAPACITY  9.0 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> received any <u>formal unsatisfactory</u> <u>performance assessment(s)</u> from any <u>government entity</u> on any contract?  | Yes            | ⊠ No |
| If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Bugovernment entity</u> involved, relevant dates, any remedial or corrective action(s) taken and the current status of the answer below or attach additional sheets with numbered responses.  |                |      |
| 9.1 Within the past five (5) years, has the Business Entity or any affiliate had any liquidated damages assessed over \$25,000?  | ☐ Yes          | ⊠ No |
| If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> involved, the current status of the issue(s). Provide a attach additional sheets with numbered responses.   |                |      |
| 9.2 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> had any <u>liens</u> , <u>claims</u> or <u>judements</u> (not including UCC filings) over \$25,000 filed against the <u>Business Entity</u> which remain undischarged or were unsatisfied for more than 90 days?  | Yes            | ⊠ No |
| If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> involved, the relationship to the submitted in the submitted |                |      |

| IX. FINANCIAL AND ORGANIZATION  | AL CAPACITY   |  |   |  |
|---|---|--|---|--|
| 9.3 In the last seven (7) years, has the <u>Bus</u> bankruptcy proceedings, whether or no   | iness Entity or any <u>affi</u><br>ot closed, or is any ban | <u>iliate</u> initiated or been d<br>kruptcy proceeding pen  | ne subject of any                                     | ☐ Yes 🗵 No                             |
| If "Yes," provide the <u>Business Entity</u> involve<br>court name and the docket number. Indicate<br>answer below or attach additional sheets wi | e the current status of t                                   | 'he proceedings as "[ni  | Entity, the bankruptcy of<br>tlated," "Pending" or "( | hapter number, the<br>Closed." Provide |
| 9.4 What is the Business Entity's Bonding   | Capacity?   |  |   |  |
| a. Single Project \$15,000,000,00   |   | b. Aggregate (All Pro  | jects) \$50,000,000.00                                |  |
| 9.5 List <u>Business Entity's</u> Gross Sales for Fiscal Years:   | the previous three (3)                                      |  | ,   |  |
| 1st Year (Indicate year) 2014   | 2nd Year (Indicate y  | ear) 2013  | 3rd Year (Indicate year                               | )2012                                  |
| Gross Sales \$15,040,422.00   | Gross Sales \$11,294,                                       | 126.00   | Gross Sales \$11,561,50                               | 9.00                                   |
| 9.6 List <u>Business Entity's</u> Average Backlo three (3) fiscal years:  | g for the previous  | (Estimated total value contracts)  | of uncompleted work or                                | outstanding                            |
| 1st Year (Indicate year) 2014   | 2nd Year (Indicate y  | ear) 2013  | 3rd Year (Indicate year                               | 2012                                   |
| Amount \$10,800,000.00  | Amount \$10,400,000   | .00  | Amount \$8,200,000.00                                 |  |
| 9.7 Attach <u>Business Entity's</u> annual <u>financ</u> found at <u>http://www.osc.state.ny.us/yea</u>   | ial statement and accorders/quest                           | mpanying notes or com<br>ionnaire/attachment_c.  | plete Attachment C – Fin<br>xls.                      | ancial Information,                    |
| X. FREEDOM OF INFORMATION LAW   | (FOIL)  |  |   |  |
| 10.0 Indicate whether any information provi<br>Freedom of Information Law (FOIL).   | ded herein is believed                                      | to be exempt from disc   | losure under the                                      | ☐ Yes ⊠ No                             |
| Note: A determination of whether such<br>request for disclosure under FOIL. Atte  | information is exempt<br>ach additional pages if            | from FOIL will be mad<br>necessary.  | le at the time of any                                 |  |
| Indicate the question number(s) and explain t   | he basis for the claim.                                     | THE STATE OF THE S |   | · · · · · · · · · · · · · · · · · · ·  |
|   |   |  |   |  |

## NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

## Certification

The undersigned: (1) recognizes that this questionnaire is submitted for the express purpose of assisting New York State contracting entities in making responsibility determinations regarding an award of a contract or approval of a subcontract; (2) recognizes that the Office of the State Comptroller (OSC) will rely on information disclosed in the questionnaire in making responsibility determinations and in approving a contract or subcontract; (3) acknowledges that the New York State contracting entities and OSC may, in their discretion, by means which they may choose, verify the truth and accuracy of all statements made herein; and (4) acknowledges that intentional submission of false or misleading information may constitute a misdemeanor or felony under New York State Penal Law, may be punishable by a fine and/or imprisonment under Federal Law, and may result in a finding of non-responsibility, contract suspension or contract termination.

## The undersigned certifies that he/she:

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- is knowledgeable about the submitting Business Entity's business and operations;
- has read and understands all of the questions contained in the questionnaire;
- has not altered the content of the questionnaire in any manner;

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- has reviewed and/or supplied full and complete responses to each question;
- to the best of his/her knowledge, information and belief, confirms that the Business Entity's responses are true, accurate and complete, including all attachments, if applicable;
- understands that New York State will rely on the information disclosed in the questionnaire when entering into a contract with the Business Entity; and
- is under obligation to update the information provided herein to include any material changes to the Business Entity's responses at the time of bid/proposal submission through the contract award notification, and may be required to update the information at the request of the New York State contracting entities or OSC prior to the award and/or approval of a contract, or during the term of the contract.

| Signature of Owner/Officer | Ce de la companya della companya della companya de la companya della companya del |  |
|----------------------------|--|--|
| Printed Name of Signatory  | Stephen M. Beyer   |  |
| Title                      | Treasurer  |  |
| Name of Business           | Baltray Enterprises, Inc. dba Bancker Electric   |  |
| Address                    | 218 Blydenburgh Road   |  |
| City, State, Zip           | Islandia, NY 11749   |  |
| Sworn to before me this    | day of April ,20_15  MAUREEN ENGEL  Notary Public Notary Public, State of Now York   | ************************************** |

Qualified in Suifolk County Commission Expires Sept. 26, 20

## NEW YORK STATE

AC 3294-5 (4712)

## VENDOR RESPONSIBILITY QUESTIONNAIRE

# ATTACHMENT A - COMPLETED CONSTRUCTION CONTRACTS

Vendor Name: Baltray Enterprises Inc. d/b/a Bancker Electric

NYS Vendor ID: 11-2388666

|     |  |  |  |  |   | T JORGA & CTLO     | 1113 TEMUOF ILE 11-4300000   |
|-----|--|--|--|--|---|--------------------|------------------------------|
| 5   | Question 3.3: List the fea most recent construction contracts the Business Entity has completed. If less than ten, include most recent subcontracts on projects up to that number: | i recant construction contra   | acts the Business Entit                    | y has completed. If less                         | than ten, include mos                               | t recent subcontra | ets on projects up to tha    |
| 7   | Agency/Owner Brentwood Union Free School District  | heol District  |  |  | Award Date  | Amount             | Date Completed               |
|     | Contact Person<br>Brad Cohen   |  | Telephone No.                              | Designer Architect an                            | Designer Architect and /or Design Engineer          | חחינה המינים       | 8/30/2013                    |
|     | Contract No.   | Prime or Sub   | Joint Venture (JV) Name, if applicable     | ame, if applicable                               | & Engineers   |                    |                              |
| ŀ   |  | Prime  |  |  |   |                    | EIN of JV, if applicable N/A |
| ri. |  | Agency/Owner<br>Inc. Village of Greenport – Mitchell Park Marina Electri                       | rical Upgrades                             |  | Award Date  | Amount-            |                              |
|     | Contact Person<br>Dave Abatelli  | M  | Telephone No. (631) 447-0248               | Designer Architect an                            | Designer Architect and /or Design Engineer<br>Owner | 4200, 100.00       | 8/1/2013                     |
|     | Contract No.<br>FRPTV11-01   | Prime or Sub<br>Prime  | Joint Venture (JV) Name, if applicable N/A | ame, if applicable                               |   |                    | EIN of JV, if applicable     |
| ຕ່  | Agency/Owner Arcadis U.S., Inc. / Lockheed Martin Corp.  | eed Martin Corp.   |  |  | Award Date 3/14/2012                                | Amount             |                              |
|     | Contact Person<br>Christopher Engler   |  | Telephone No.<br>(315) 446-9120            | Designer Architect and for Design Engineer Owner | d /or Design Engineer                               | novo rost name     | 6/12/2013                    |
|     | Contract No. Proposal  | Prime or Sub<br>Prime  | Joint Venture (JV) Name, if applicable N/A | ame, if applicable                               |   |                    | EIN of JV, if applicable     |
| 4   |  | Agency/Owner<br>Inc. Village of Bellport - Electrical Improvements at Bellport Village Marina  | ellport Village Marina                     |  | Award Date  | Amount             | N/A Date Completed           |
|     | Contact Person<br>Katie Mehrkens   |  | Telephone No.<br>(631) 286-0327            | Designer Architect and /or Design Engineer       | 1 /or Design Engineer                               | 00.000,000         | 1/2/2015                     |
| Ì   | Contract No.<br>02-2013  | Prime or Sub<br>Prime  | Joint Venture (IV) Name, if applicable N/A | me, if applicable                                |   |                    | ElN of JV, if applicable     |
| เก๋ | Agency/Owner<br>SUNY College @ Old Wes   | Agency/Owner<br>SUNY College @ Old Westbury – Replacement of Generator @ Natural Science Bldg. | erator @ Natural Scien                     | ce Bldg.   | Award Date<br>7/9/2013                              | Amount 877 440 00  | N/A Date Completed           |
|     | Confact Person<br>Patrick Adams, Director of Purchasing  | Purchasing   | Telephone No.<br>(516) 876-3194            | Designer Architect and /or Design Engineer SUNY  | Vor Design Engineer                                 |                    | 107/07/1                     |
|     | Contract No.<br>T052413  | Prime or Sub<br>Prime  | Joint Venture (JY) Name, if applicable N/A | me, if applicable                                |   |                    | EIN of JV, if applicable     |
|     |  |  |  |  |   |                    | W.                           |

## NEW YORK STATE

AC 3294-S (4/12)

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## VENDOR RESPONSIBILITY QUESTIONNAIRE

# ATTACHMENT A - COMPLETED CONSTRUCTION CONTRACTS

Vendor Name: Baltray Enterprises Inc. d/b/a Bancker Electric

NYS Vendor ID: 11-2388666

Question 3.0: List the ten most recent construction contracts the Business Entity has completed. If less than ten, include most recent subcontracts on projects up to that number:

|             | numper:  |   |  |   |  |                        | and the standing of the course         |
|-------------|--|---|--|---|--|------------------------|--|
| vģ.         | L  | Agancy/Owner New York Institute of Technology - Old Westbury - Electrical Service Maintenance & Ungrade | Sectrical Service Main                     | tenance & Ungrade   | Award Date   | Amount                 | Date Completed                         |
|             | Contact Bereau                                     |   | * 1 4                                      | 1   | +10±m±m  | 01,744,031,00          | 12/31/2014                             |
|             | George Klepacki, Project Manager                   | t Manager   | 1 elephone No.<br>  (516) 686-1181         | Designer Architect an Cosentini Associates                              | Designer Architect and /or Design Engineer Cosentini Associates — A Tetra Tech Commany | ,,                     |  |
|             | Contract No.                                       | Prime or Sub  | Joint Venture (JV) Name, if applicable     | Vame, if applicable   |  |                        | the state of the state of              |
| 1           |  | Princ   | N/A  |   |  |                        | EIN of JV, if applicable<br>  N/A      |
| :           |  | Agency/Owner<br>Three Village Central School District – Exterior Lighting                               | ing  |   | Award Date   | Amount                 |  |
|             | Contact Person                                     |   | Telephone Mo                               | Designation Asset 1   |  | 70.000,core            | 10/30/2014                             |
|             | Jeffrey Carlson, Assist, Super. for Business Sves, | Super. for Business Sycs,   | (631) 730-4000                             | John A. Grillo Architect P.C.   | d /or Design Engineer<br>ect P.C.  |                        |  |
| [           | 143380   | Frime or Sub<br>Prime   | Joint Venture (JV) Name, if applicable N/A | dame, if applicable   |  |                        | EIN of JV, if applicable               |
| တ           | Agency/Owner                                       |   |  |   |  |                        | IN/A                                   |
|             | Bethpage Water District                            |   |  | -   | Award Date 12/19/2013  | Amount                 | Date Completed                         |
|             | Contact Person                                     |   | Tolomban bla                               |   | 5102/21  | 00,000,161,14          | 11/21/2014                             |
|             | Michael Bouffs, Superintendent                     | endent  | (516) 931-0093                             | Uesigner Architect and /or Design Engineer   H2M Architects + Engineers | 1/or Design Engineer<br>tinears  |                        |  |
| _           | Contract No.                                       | Prime or Sub  | Joint Venture (JV) Name, if applicable     | lame, if applicable   |  |                        | 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 |
| 6           | 6 con 12-05 - E                                    | Prime   | N/A  |   |  |                        | EIN of JV, if applicable<br>  N/A      |
| <del></del> | Western Suffolk BOCES                              |   |  | -   | Award Date   | Amount                 | Date Completed                         |
|             | Contact Person                                     |   | Talonhono Ma                               |   | 4107/2014  | 5434,631.00            | 1/9/2015                               |
|             | Patricia Doherty, Project Coordinator              | Coordinator   | (631) 756-8000                             | Designer Architect and for Design Engineer H2M Architects + Engineers   | l for Design Engineer<br>gineers   |                        |  |
|             | WBSO 12-06   | Prime or Sub  | Joint Venture (JV) Name, if applicable     | ane, if applicable  |  |                        | EIN of JV, if applicable               |
| 10.         | Agency/Owner                                       |   |  |   |  |                        | N/A                                    |
| ·           | National Grid/PSEG - Park Place Substation         | rk Place Substation   |  |   | Award Date 3/13/2014   | Amount<br>\$155,000,00 | Date Completed                         |
| ).          | Contact Person<br>Mike Latva                       | •   | Telephone No. (516) 903-7214               | Designer Architect and /or Design Engineer                              | /or Design Engineer  | 000000000              | 4/1 //2014                             |
| ******      | Contract No.                                       | or Sub  | Joint Venture (JV) Name, if applicable     | ame, if applicable  |  |                        |  |
|             | こうまさんじじどればい  | Prime   | NA   |   |  |                        | EIN of JV, if applicable N/A           |
|             |  |   |  |   |  |                        | 4774                                   |

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## NEW YORK STATE

AC 3295-5 (4/12)

## VENDOR RESPONSIBILITY QUESTIONNAIRE

# ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name: Baltray Enterprises Inc. d/b/a Bancker Electric

NYS Vendor ID:11-2388566

| Que | Question 3.1: List all current uncompleted construction contracts: | uncompleted construction c  | contracts:                      |  |                                   |                         | <u> </u>                       |                                    |
|-----|--|---|---------------------------------|--|-----------------------------------|-------------------------|--------------------------------|------------------------------------|
|     |  | Agency/Owner<br>Locust Valley Water District — Booster Station — Horse Hollow Rd. | ov Rd.                          |  |                                   | Award Date 6/20/2014    |                                | Completion Date                    |
|     | Contact Person.<br>Charles J. Savinetti, Jr., Superintendent       | erintendent   | Telephone No.<br>(516) 671-1783 | Designer Architect and /or Design Engineer<br>Sidney B. Bowne & Son              | Design Engineer                   |                         |                                |                                    |
|     | Contract No.<br>1163159C(R) - C                                    | Prime or Sub<br>Prime   | Joint Venture (JV<br>N/A        | Joint Venture (IV) Name, if applicable<br>N/A                                    |                                   |                         | BIN                            | EIN of JV, if applicable<br>N/A    |
|     |  |   |                                 | Total Contract Antount<br>\$562,000,00   | Amount Sublet to others<br>0.00   | ers                     | Uncompleter<br>\$424,145.00    | Uncompleted Amount<br>\$424,145.00 |
| 7,  | Agency/Owner<br>Eastport South Manor CSD                           | ,   |                                 |  |                                   | Award Date<br>6/30/2014 |                                | Completion Date                    |
|     | Contact Person<br>Mark Nocero, Superintendent                      | ıı  | Telephone No. (631) 288-3800    | Designer Architect and /or Design Engineer Wiedersum Associates Architects, PLLC | Design Engineer<br>Miccis, PLLC   |                         |                                |                                    |
|     | Contract No.<br>Alt. to Various Schools #4-<br>Electrical          | Prime or Sub<br>Prime   | Joint Venture (JV)<br>N/A       | Joint Venture (JV) Name, if applicable<br>N/A                                    |                                   |                         | EIN                            | EIN of JV, if applicable<br>NVA    |
|     | .,.  |   |                                 | Total Contract Amount<br>\$199,000,00  | Amount Subjet to others<br>\$0.00 | cts                     | Uncomplete<br>\$52,612.00      | Uncompleted Amount<br>\$52,612.00  |
| m;  |  | Ageney/Owner<br>PSEG – Rockaway Beach Substation Electrical Construction Work     | Work                            |  |                                   | Award Date<br>9/4/2014  |                                | Completion Date                    |
|     | Contact Person<br>Michael Occhipinti, Senior Buyer                 | luyer   | Telephone No.<br>(516) 545-4810 | Designer Architect and for Design Engineer<br>Owner                              | Design Engineer                   | =                       |                                |                                    |
|     | Contract No.<br>RPP 43750  | Prime or Sub<br>Prime   | Joint Venture (JV)              | Joint Venture (JV) Name, if applicable<br>N/A                                    |                                   |                         | K EIN                          | EIN of IV, if applicable<br>N/A    |
|     | . 1  |   | 97                              | Total Centract Amount<br>\$478,000.00  | Amount Subjet to others<br>\$0.00 | GIS                     | Uncompleted<br>\$407,000.00    | Uncompleted Amount<br>\$407,080.00 |
| 4   | Agency/Owner Bethpage Water District - Annual Maintenance Contract | nual Maintenance Contract   |                                 |  |                                   | Award Date<br>9/30/2014 |                                | Completion Date                    |
|     | Contact Person<br>Michael Bouffs, Superintendent                   | ant   | Telephone No.<br>(516) 931-0093 | Designer Architect and for Design Engineer H2M Architects + Engineers            | Jesign Engineer<br>s              |                         |                                |                                    |
|     | Contract No.<br>BPWD 14-02   | Prime or Sub<br>Prime   | Joint Yenture (JV)              | Joint Venture (JV) Name, if applicable N/A                                       |                                   |                         | EIN<br>N/A                     | EIN of JV, if applicable N/A       |
|     |  |   |                                 | Total Contract Amount<br>\$44,894.00   | Amount Sublet to others<br>\$0.00 | e s                     | Uncompleted Amount \$29,956.00 | ed Amount                          |
|     |  |   |                                 |  |                                   |                         |                                |                                    |

AC 3295-S (4/12)

## NEW YORK STATE

## VENDOR RESPONSIBILITY QUESTIONNAIRE

# ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name: Baltray Enterprises Inc. d/b/a Bancker Electric

NYS Vendor ID:11-2388666

| Que | Question 3.1: List all current uncompleted construction contracts: | uncompleted construction (   | contracts:                      |  |                                   |                          |                                   | •                                  |
|-----|--|--|---------------------------------|--|-----------------------------------|--------------------------|-----------------------------------|------------------------------------|
| tr. | ļJ   | Agency/Owner<br>Greenlawn Water District – New Well & Pump Station #18                         |                                 |  |                                   | Award Date<br>11/10/2014 |                                   | Completion Date                    |
|     | Confact Person<br>Robert Sanforiello, Superintendent               | endent   | Tefephone No.<br>(631) 261-0874 | Designer Architect and for Design Engineer H2M Architects + Engineers    | Design Engineer<br>rs             |                          |                                   |                                    |
|     | Contract No.<br>GLWD 13-02   | Prime or Sub<br>Prime  | Joint Venture (J<br>N/A         | Joint Venture (JV) Name, if applicable<br>N/A                            |                                   |                          | EIN<br>N/A                        | EIN of JV, if applicable           |
|     | ·  |  |                                 | Total Contract Amount<br>\$453,000.00                                    | Amount Sublet to others<br>\$0.00 | ners                     | Uncompleted<br>\$400,000.00       | Uncompleted Amount<br>\$400,000,00 |
| νģ  |  | Agency/Owner<br>Greenlawn Water District -Electrical Maintenance & Emergency Services Contract | gency Services Cont             | raci .   |                                   | Award Date<br>10/18/2014 |                                   | Completion Date                    |
|     | Contact Person<br>Robert Santoricllo, Superintendent               | cndent   | Telephone No. (631) 261-0874    | Designer Architect and for Design Engineer<br>H2M Architects + Engineers | Design Engineer<br>rs             |                          |                                   |                                    |
|     | Contract No.<br>GLWD 14-50   | Prime or Sub<br>Prime  | Joint Venture (J'<br>N/A        | Soint Venture (JV) Name, if applicable<br>N/A                            |                                   |                          | EIN                               | EIN of JV, if applicable N/A       |
|     |  |  |                                 | Total Contract Amount<br>\$161,802.00                                    | Amount Sublet to others<br>\$6.00 | ers                      | Uncompleter<br>\$118,806,00       | Uncompleted Amount<br>\$118,806.00 |
| 7.  |  | Agency/Owner<br>National Grid — Holtsville Gas Turbine Plant PEPCO #7 & #9                     | 68                              |  |                                   | Award Date 9/26/2014     |                                   | Completion Date                    |
|     | Contact Person<br>John Christodoulou                               |  | Telephone No. (516) 545-6215    | Designer Architect and for Design Engineer Owner                         | Design Engineer                   |                          |                                   |                                    |
|     | Contract No.<br>PO#3200136065 &<br>PO#3200136063                   | Prime or Sub<br>Prime  | Joint Venture (JY<br>N/A        | Joint Venture (IV) Namo, if applicable<br>N/A                            |                                   |                          | NA<br>NA                          | EIN of JV, if applicable<br>N/A    |
|     | o'r na phy   |  |                                 | Fotal Contract Amount<br>\$33,250,00                                     | Amount Sublet to others<br>\$0,00 | icis                     | Uncomplete<br>\$16,630.00         | Uncompleted Amount<br>\$16,630.00  |
| ශ්ර | Agency/Owner<br>Plainview Water District – Maintenance Confract    | faintenance Confract   |                                 |  |                                   | Award Date 3/13/2014     |                                   | Completion Date                    |
|     | Contact Person<br>Richard Tobin, Superintendent                    | זנן  | Telephone No.<br>(516) 931-6469 | Designer Architect and for Design Engineer H2M Architects + Engineers    | Jesign Engineer<br>s              |                          |                                   |                                    |
|     | Contract No.<br>PLWD 13-10   | Prime or Sub<br>Prime  | Joint Venture (JV<br>N/A        | Joint Venture (JV) Name, if applicable<br>N/A                            |                                   |                          | N'A N'A                           | EIN of JV, if applicable           |
|     |  |  |                                 | Total Contract Amount<br>\$51,858.00                                     | Amount Subjet to others<br>\$0.00 | ers                      | Uncompleted Amount<br>\$34,856,00 | ed Amount                          |
|     |  |  |                                 |  |                                   |                          |                                   |                                    |

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## NEW YORK STATE

AC 3295-5 (4/12)

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## VENDOR RESPONSFBILITY QUESTIONNAIRE

# ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name: Baltray Enterprises Inc. d/b/a Bancker Electric

NYS Vendor ID:11-2388666

Question 3.1: List all current uncompleted construction contracts:

| Contact Person   Ronald Filosa   Contract No.   Prime or Sub   Joint Venture (IV) Name, if applicable   So.000   So.00  | 9. Agency/C<br>PSEG-L  | Owner<br>ong Island – OH    | Agency/Owner PSEG-Long Island - OH Electrical Unit Price Contract | +4                           |   |                                | Award Date 1/2/2014 | Completion                  | Completion Date |
|---|------------------------|-----------------------------|---|------------------------------|---|--------------------------------|---------------------|-----------------------------|-----------------|
| Joint Venture (JV) Name, if applicable   N/A  | Contact F<br>Ronald Fi | Person<br>Tlosa             |   | 5 5                          | Designer Architect and for Owner        | Design Engineer                |                     |                             |                 |
| Agency/Owner PSEG-Long Island - UG Electrical Unit Price Contract Romatd Filosa Contract No. Prime or Sub Prime PO 651272 Prime PO 651272 Prime Power Prime Power Prime Power | Contract<br>PO 65126   | No.<br>58                   | Prime or Sub<br>Prime   | Joint Venture (J'<br>N/A     | /) Name, if applicable                  |                                |                     | EIN of IV, if applicable NA | Fapplicable     |
| Agency/Owner PSEG-Long Island – UG Electrical Unit Price Contract Contact Person Ronald Filose Contract No. Prime or Sult Prime Prime PSEG-Long Island – UG Electrical Unit Price Contract Contract No. Prime or Sult NA Total Contract Amount Sublet to others School.000.00 School.000.00 School.000.00   |                        |                             |   |                              | Total Contract Amount<br>\$5,000,000.00 | Amount Sublet to eth<br>\$0.00 | ters                | Uncompleted Amount          | nu (            |
| Telephone No.   Designer Architect and for Design Engineer     (516) 545-4061   Owner     Joint Venture (JV) Name, if applicable   N/A     Total Contract Amount   Amount Sublet to others   S5,000,000.00     S5,000,000.00   S0.00     Contract Amount   S  | Agency/C<br>PSEG-Lo    | Owner<br>ing Island – UG Ek | ectrical Unit Price Contract                                      |                              |   |                                | Award Dale          | Completion                  | Completion Date |
| Prime or Sub Joint Venture (JV) Name, if applicable Prime   Prime   N/A   Total Contract Amount Sublet to others   Uncon   \$5,000,000.00   \$0.00  | Contact P<br>Ronald Fi | erson<br>Nose               |   | Telephone No. (516) 545-4061 | Designer Architect and /or l            | Jesign Engineer                |                     | 12011                       |                 |
| Amount Amount Sublet to others \$0.00   | Contract PO 65127      | No.                         | Prime or Sub<br>Prime   | Joint Venture (J)            | ') Name, if applicable                  |                                |                     | EIN of JV, if applicable    | applicable      |
|   | 141 ·                  |                             |   |                              | Total Contract Amount<br>\$5,000,000.00 | Amount Sublet to oth<br>\$0.00 | crs                 | Uncompleted Amount          | int.            |

Grand Total All Uncompleted Contracts | 57,484,005.06

## **Business History Form**

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

| Date: <u>June 16, 2017</u>  |   |
|---|---|
| 1) Proposer's Legal Name: Bancker Construction Corp.  |   |
| 2) Address of Place of Business: 218 Blydenburgh Road, Islandia, NY 11749   |   |
| List all other business addresses used within last five years:  None  |   |
| 3) Mailing Address (if different):  |   |
| Phone : (631) 582-8880  |   |
| Does the business own or rent its facilities? Rent  |   |
| 4) Dun and Bradstreet number: 002411601   |   |
| 5) Federal I.D. Number: <u>11-1876887</u>   |   |
| The proposer is a (check one): Sole Proprietorship Partnership _X      Corporation Other (Describe)   |   |
| 7) Does this business share office space, staff, or equipment expenses with any other business? Yes X No If Yes, please provide details: An Affiliate, Bancker Electric |   |
| 103 12 100 product details.   |   |
| 8) Does this business control one or more other businesses? Yes No X If Yes, please provide details:  | 9 |
|   |   |

| 9)  | Does this business have one or more affiliates, and/or is it a subsidiary of, any other business? Yes X No If Yes, provide details. Affiliates:  Bancker Environmental   |   |
|-----|--|---|
| 10) | ) Has the proposer ever had a bond or surety cancelled or forfelted, or a con County or any other government entity terminated? Yes No _X _ If Y name of bonding agency, (if a bond), date, amount of bond and reason for or forfeiture: or details regarding the termination (if a contract)  | es, state the   |
| 11) | ) Has the proposer, during the past seven years, been declared bankrupt? ` If Yes, state date, court jurisdiction, amount of liabilities and amount of ass   |   |
| 12) | In the past five years, has this business and/or any of its owners and/or off affiliated business, been the subject of a criminal investigation and/or a civil investigation by any federal, state or local prosecuting or investigative ager the past 5 years, have any owner and/or officer of any affiliated business be a criminal investigation and/or a civil anti-trust investigation by any federal, prosecuting or investigative agency, where such investigation was related performed at, for, or on behalf of an affiliated business.  Yes No _X | Il anti-trust<br>ncy? And/or, in<br>een the subject of<br>state or local<br>to activities         |
| 13) | 3) In the past 5 years, has this business and/or any of its owners and/or office affiliated business been the subject of an investigation by any government but not limited to federal, state and local regulatory agencies? And/or, in th has any owner and/or officer of an affiliated business been the subject of a any government agency, including but not limited to federal, state and loca agencies, for matters pertaining to that individual's position at or relationsh business. Yes No _X If Yes, provide details for each such investig       | agency, including<br>e past 5 years,<br>n investigation by<br>I regulatory<br>ip to an affiliated |
| 14) | 4) Has any current or former director, owner or officer or managerial employed had, either before or during such person's employment, or since such employed charges pertained to events that allegedly occurred during the time of employed submitting business, and allegedly related to the conduct of that business:  a) Any felony charge pending? Yes No _X If Yes, properties.  | loyment if the loyment by the vide details for  |
|     | b) Any misdemeanor charge pending? Yes No X If Y for each such charge  |   |
|     | c) In the past 10 years, you been convicted, after trial or by ple and/or any other crime, an element of which relates to truthfulne underlying facts of which related to the conduct of business?   | ess or the  |

|                                      | If Yes, provide details for each such conviction   |
|--------------------------------------|--|
|                                      | d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?  Yes No _X   |
|                                      | e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? Yes X No If Yes, provide details for each such occurrence. NYS PSC Consent order - Settlement regarding Code 753 non-compliance (See Attached)   |
| business here                        | t (5) years, has this business or any of its owners or officers, or any other affiliated had any sanction imposed as a result of judicial or administrative proceedings with any professional license held? Yes No $\underline{X}$ ; If Yes, provide details for instance  |
| pay any a<br>limited to<br>such year | ist (5) tax years, has this business failed to file any required tax returns or failed to pplicable federal, state or local taxes or other assessed charges, including but not water and sewer charges? Yes No $\underline{X}$ If Yes, provide details for each . Provide a detailed response to all questions checked 'YES'. If you need more otocopy the appropriate page and attach it to the questionnaire |
| Provide a deta<br>photocopy the      | ailed response to all questions checked "YES". If you need more space, appropriate page and attach it to the questionnaire.  |
| 17) Conflict of<br>a)<br>conf        | Interest: Please disclose any conflicts of interest as outlined below. NOTE: If no flicts exist, please expressly state "No conflict exists."  (i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.  No Conflict Exists                                   |
|                                      | (ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.  No Conflict Exists  |
|                                      | (iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.  No Conflict Exists   |
| b)                                   | Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.  Should a potential conflict of interest arise, we will contact the County and be guided accordingly   |



Nassau County DPW Contract No. S80030Z

## Business History Form Questions #14(e)

Though OSHA apparently conducted two field reports during the time period in question, neither "report" led to the issuance and prosecution of a violation. In the case of the report apparently conducted at JFK, no violation was issued from it. The other investigation in Rockaway Beach arose out of an injury to a Bancker Electric employee. New OSHA rules (enacted only two weeks prior to the injury) required us to report the injury to OSHA. They then conducted an investigation and issued a "serious" violation. However, Phillip M. Beyer and our Director of Safety, Michael Quinn, met with OSHA's regional manager at their office and conducted an informal conference, at which Bancker was given the opportunity to present information and documentation in support of our defense. As a result, OSHA withdrew the notice of violation. So neither of these OSHA reports resulted in a violation.

A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified. SEE ATTACHMENT Should the proposer be other than an individual, the Proposal MUST include: i) Date of formation: ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner; Name, address and position of all officers and directors of the company; iii) iv) State of incorporation (if applicable); The number of employees in the firm; V) vi) Annual revenue of firm; Summary of relevant accomplishments vii) viii) Copies of all state and local licenses and permits. B. Indicate number of years in business. 58 Years C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services. D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work. Company \_\_\_\_\_ SEE ATTACHED CCA-2 FORM Contact Person\_\_\_\_\_ City/State \_\_\_\_\_ Telephone

E-Mail Address



# Nassau County DPW **Attachments to Business History Form**

A. See Attached CCA-2 Form as well as Key Personnel List

Formed: 11/25/1958 i)

Persons having financial interest in the company: ii)

Stephen M. Beyer

CEO

Michael S. Beyer

President/Treasurer

Phillip M. Beyer

Exec. Vice President/

Secretary

iii) Officers - Same as Item (ii) above

iv) State of Incorporation: New York

v) Number of employees in firm: Approximately 200

vi) Annual revenue of firm

Approximately \$45 million

vii) Summary of relevant accomplishments:

over 55 years experience

viii) State and local licenses - N/A

8. Number of years in business: 58 Years

C. Previous successful bidder for Nassau County project, and current contractor



## ORGANIZATIONAL CHART KEY PERSONNEL

STEPHEN M. BEYER Chief Executive Officer

Experience: M.S. Environmental Engineering Renesselaer Polytechnic Institute – Over 40 years experience in multi-million dollar utility and heavy construction.

MICHAEL S. BEYER
President & Treasurer

Experience: B.S. Business Administration Syracuse University – Over 25 years experience in project planning & execution, and administration of personnel and equipment for multi-million-dollar utility & beavy construction.

PHILLIP M. BEYER, Esq. Exec. Vice President & Secretary

Experience: B.A. George Washington University; J.D. Brooklyn Law School – over 10 years experience as inhouse counsel, focus of practice on construction and commercial litigation; member of NY and NJ bars.

CHARLES MADSEN
Vice President & Chief of Field Operations

Experience: B.S. Construction Technology, SUNY Farmingdale -Over 40 years experience in general and utility construction, specializing in gravity sewer systems, drainage pipeline, pipe jacking and horizontal earth boring.

JOHN TIBERIA General Manager

Experience: Over 25 years experience in general, plumbing and utility construction.

TRACY BURGESS LEVY
Director of Business Development

Experience: B.A. Communications, New York Institute of Technology Old Westbury – over 32 years experience in media & communications, over 20 years experience at gas & electric utility, extensive experience with community outreach projects, city relations and governmental affairs.

KEVIN TYBURSKI, CPA Chief Financial Officer

Experience: B.S. Accounting, St. Joseph's College, Patchogue, NY – Over 20 years experience in both public and private accounting. Member of the NYSSCPA & the AICPA

BEVERLY CHASINOV Controller

Experience: Over 35 years experience in all aspects of commercial construction accounting.

THOMAS D. MASTROCINQUE Health & Safety Manager

Experience: Over 20 years experience in utility construction. M.S. Education – SUNY Old Westbury College. Safety certifications include but are not limited to: OSHA 510, 40-Hr. Hazardous Waste Worker Supervisor, Certified Safety Professional (CSP) and Certified Utility Safety Professional (CUSP).

MICHAEL QUINN
Director of Safety

## Experience:

Over 25 years experience as a safety and environmental professional in the utility and heavy civil industry including power generation, transmission and distribution, wastewater treatment, and heavy highway. Expertise in safety management systems, safety leadership programs, OSHA training, auditing and safety administration.

KEVIN C. KASZUBA, P.E. Director of Water Operations & Chief Engineer

Experience: N.Y. State Professional Engineer – BE (Civil) Manhattan College; MS (Civil) New York Polytechnic Institute – Over 40 years experience in utility construction.



## ORGANIZATIONAL CHART KEY PERSONNEL

CHARLES D. GIUDICE Sr. Construction Manager

Experience: B.S. Long Island University - Over 40 years experience in utility construction. Responsible for procurement of permits and materials. Gas Safety Officer, Mechanical Project Supervisor & Manager for Environmental Rehabilitation Assist Projects. Hazwoper 40 certified, OSHA 30, OSHA 10, Confined Space, NYS Certified EMT.

REBECCA WINIK, R.A. Project Coordinator

Experience: B.A. University of Pennsylvania; Master of Architecture I, Yale University – Over 5 years experience in architectural design, building construction, and construction management

LOUIS KARSHICK Contract Manager, Utilities

Experience: Over 35 years experience in managing and administrating contracts, data processing and assembly, and quantity resolution.

BRIAN THOMAN
Project Manager

Experience: B.S. Construction Management & B.S. Architectural Engineering, SUNY Farmingdale - Over 15 years experience in general and utility construction.

ANTHONY ROMANO
Project Manager

Experience: B.S. Architectural Engineering, SUNY Famingdale - Over 10 years experience in commercial and general construction.

JOSEPH T. BRUCIA, JR. Chief Construction Superintendent – Civil

Experience: Over 30 years experience in road and utility construction and maintenance.

WILLIAM CONE

Chief General Construction Superintendent - Utility

Experience: Over 20 years experience in general and utility construction.

GARRY HEINEMANN

General Construction Superintendent - Trenchless Installations

Experience: Over 30 years experience in utility construction, with a focus on directional drilling operations.

RAYMOND RUDDEN
Water Main Superintendent

Experience: Over 25 years experience in water main and utility construction.

ROSARIO PUGLISI Superintendent

Experience: Over 25 years experience in shoring, deep excavation and utility construction.

PATRICK PATTERSON Supervisor – Electric Utility Construction

Experience:

Over 27 years experience in the electric utility industry, managing multiple million dollar projects for both overhead and underground line construction. Extensive experience in transmission and substation renovation and maintenance.

HERBERT KOEHLER Safety Training & Consultant

Experience: Over 32 years experience in utility construction and plumbing. Safety certifications and training includes but is not limited to: OSHA 500/502, OSHA 30, Certified Hoisting & Rigging Instructor – 5,000 Total Classroom Instructor Hours. HAZWOPER 40, LPS trainer.

WALTER W. BEHRENS Technical Consultant

Experience: B.S. Construction Management Syracuse University – Over 40 years experience in engineering and utility facilities: electrical, gas, water, and telecommunications.



# ORGANIZATIONAL CHART KEY PERSONNEL

MAUREEN ENGEL Contracts Manager

<u>Experience</u>: Over 25 years experience in administration of multi-million dollar construction projects.

CAROLYN DURANT Special Operations Manager

Experience: Over 20 years experience in administration of multi-million dollar construction projects.

| Company                                      |
|--|
| Contact Person                               |
| Address                                      |
| City/State                                   |
| Telephone                                    |
| Fax#   |
| E-Mail Address                               |
|  |
|  |
|  |
| Company                                      |
|  |
| Company  Contact Person  Address             |
| Company Contact Person                       |
| Company  Contact Person  Address  City/State |

# CERTIFICATION

Exec. Vice President
Title

16 / 2017

6 Date

| A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.  |
|--|
| I, Phillip M. Beyer , being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity. |
| Sworn to before me this 16 day of June 2017  |
| MAUREEN ENGEL Notary Public  MAUREEN ENGEL No. 01EN4942537 Qualified in Suffolk County Commission Expires Sept. 26, 20   |
| Name of submitting business: Bancker Construction Corp.  |
| By: Phillip M. Beyer   |

NYS VENDOR ID: 1000056421

| DOSTARS2 FINI                                    | TY X TIAT                 | ORWALION                              |  |  |  |   |  |
|--|---------------------------|---------------------------------------|--|--|--|---|--|
| Legal Business N                                 | lame                      |                                       |  |  | EIN  |   |  |
| Bancker Construc                                 | ction Cor                 | p.                                    |  |  | 111876887  |   |  |
| 1  |                           | lace of Business                      | s (street, city, state, zip o  | ode)   | New York State Vendor Ident  | ificatio                                | n Number   |
| 218 Blydenburgh                                  |                           |                                       |  |  | 1000056421   |   |  |
| Islandia, NY 11                                  | 749                       |                                       |  |  | Telephone  | Fax                                     |  |
| -A-V-A-V-A-V-A-V-A-V-A-V-A-V-A-V-A-V-A-          |                           |                                       |  |  | (631) 582-8880 ext.  | (631                                    | ) 582-3698                                       |
|  |                           |                                       |  |  | Website  |   |  |
|  |                           | -                                     |  |  | www.bancker.com  | Marie                                   |  |
| Authorized Conta                                 | ect for this              | s Questionnaire                       |  |  | ······································   |   | <del>/////////////////////////////////////</del> |
| Name   |                           | •                                     |  |  | Telephone  | Fax                                     |  |
| Phillip M. Beyer                                 |                           |                                       |  |  | (631) 582-8880 ext.  | (631)                                   | ) 582-3698                                       |
| Title  |                           |                                       |  |  | Email  |   |  |
| Exec. Vice Presid                                |                           |                                       | <del></del>  | <del>tkrad uumraluamraluaama</del>   | pbeyer@bancker.com   |   |  |
| Additional <u>Busing</u><br>the last five (5) ye | ess Entity<br>ears, the s | Identities: If a tate or county v     | pplicable, list any other<br>vhere filed and the statu   | <u>DBA, Trade Na</u><br>s (active or inact   | <u>me, Former Name</u> , Other Identity<br>ive).   | , or <u>EIN</u>                         | I used in  |
| Туре   | Name                      | · · · · · · · · · · · · · · · · · · · |  | EIN  | State or County where filed  |   | Status   |
|  | 100                       |                                       |  |  |  |   |  |
|  |                           |                                       |  |  |  | ,                                       |  |
|  |                           |                                       |  |  |  |   |  |
| I. BUSINESS CI                                   | HARAC'I                   | TERISTICS                             |  |  |  |   |  |
| 1.0 <u>Business E</u> 1                          | <u>itity</u> Type         | - Check appro                         | priate box and provide a   | dditional inform   | nation:  |   |  |
| a) 🛭 <u>Corp</u> o                               | oration (i                | ncluding <u>PC</u> )                  | Date of Incorporation  | 11/25/1958   |  |   |  |
|  |                           | ity Company                           | Date Organized   |  |  |   |  |
|  | or <u>PLLC</u>            |                                       |  | antition and the second and the seco |  |   |  |
| c) Limit   | ed Liabili                | ty Partnership                        | Date of Registration   |  |  | n_                                      |  |
| d) 🗌 Limit                                       | ed Partne                 | <u>rship</u>                          | Date Established   |  |  |   |  |
| e) 🗌 <u>Gene</u>                                 | <u>ral Partne</u>         | <u>rship</u>                          | Date Established   |  | County (if formed in NYS)  |   |  |
| f) 🗌 Sole I                                      | roprietor                 | i                                     | How many years in bu   | siness?  |  |   | Activities and the second                        |
| g) 🗌 Other                                       | •                         |                                       | Date Established   |  |  |   |  |
| If Other, explain:                               |                           |                                       |  |  |  |   |  |
| 1.1 Was the Bu                                   | siness En                 | tity formed in N                      | lew York State?  |  |  | ⊠ Yes                                   | □ No   |
| If "No," indicate                                | jurisdictio               | on where the Bu                       | siness Entity was forme  | d:   | The state of the s | *************************************** |  |
| ☐ United S                                       | States                    | State                                 | A STATE OF THE STA | 1914; 18   | 1400, a. h., 2004, 1400, b., 1400, 1400, 1400, 1400, 1400, 1400, 1400, 1400, 1400, 1400, 1400, 1400, 1400, 1400  | Ylamadahamaaaaa                         |  |
| ☐ Other  |                           | Country                               |  | ######################################   |  | FM+                                     |  |
| L  |                           |                                       |  |  |  |   |  |

AC 3292-3 (Rev. 9/13) NYS VENDOR ID: 1000056421

| I. BUSINESS CHARACTERISTICS   |   | 4111  |   |
|---|---|---|---|
| 1.2 Is the <u>Legal Business Entity</u> public  | ly traded?  |   | ☐ Yes ⊠ No  |
| If "Yes," provide the <u>CIK code</u> or Ticker   | : Symbol:   | *   |   |
|   | tistered to do business in New York S<br>Business Entity is a Sole Proprietor of              |   | ☐ Yes ☐ No  |
| If "No," explain why the Business Entity  | y is not required to be registered to do  | business in New York State                                  | 5:  |
|   | J <u>oint Venture</u> ? Note: If the submittinestionnaire for each <u>Business Entity</u> c   |   | ☐ Yes ⊠ No  |
| 1.5 If the <u>Business Entity's Principal P</u> maintain an office in New York Sta (Select "N/A" if <u>Principal Place of</u> |   | State, does the Business Enti                               | ty Yes No   |
| If "Yes," provide the address and telepho   | one number for one office located in N  | New York State.   | general control of the second |
|   | State certified <u>Minority-Owned Busin</u><br>State <u>Small Business</u> , or federally cer |   |   |
| If "Yes," check all that apply:   |   |   |   |
|   | ity-Owned Business Enterprise (MBI  | •   |   |
| New York State certified Wome   | en-Owned Business Enterprise (WBE   | )   |   |
| Federally certified Disadvantage  |   |   |   |
| 1.7 Identify each person or business en   | tity that is, or has been within the pasticial; or one of the five largest shareh             |   |   |
| Name (For each person, include middle initial)  | Title .   | Percentage of ownership<br>(Enter 0%, if not<br>applicable) | Employment status with the firm   |
| Stephen M. Beyer  | Chief Executive Officer   | 0   | Current Former  |
| Michael S. Beyer  | President & Treasurer   | 50%   | Current Former  |
| Phillip M. Beyer  | ExecVice President & Secretary  | 50%   | Current Former  |
|   |   |   | Current Former  |

AC 3292-S (Rev. 9/13) NYS VENDOR ID: 1000056421

| II. AFFILIATE and JOINT VENTURE F  | RELATIONSHIPS  |  | And the second s |                 |
|--|--|--|--|-----------------|
| 2.0 Are there any other construction-relate  Business Entity or any of the individua  5.0% or more of the shares of, or was of or proprietor of said other firm? (Attack | als or business entities l<br>or is one of the five larg   | listed in question 1.7 eit<br>gest shareholders or a d | ther owned or owns   | ⊠ Yes □ No      |
| Firm/Company Name  | Firm/Company EIN (If available)  | •  | Firm/Company's Prim<br>Activity  | ary Business    |
| SEE ATTACHED LIST  |  |  |  |                 |
| Firm/Company Address   |  |  |  |                 |
| Explain relationship with the firm and indica  | ite percent of ownership   | p, if applicable (enter N                              | I/A, if not applicable):   |                 |
| Are there any shareholders, directors, officer has in common with this firm?   | s, owners, partners or p   | proprietors that the subr                              | nitting Business Entity  | Yes No          |
| Individual's Name (Include middle initial)   | water have been a second of the decomposition of the second of the secon | Position/Title with Fir                                | rm/Company   |                 |
| 2.1 Does the <u>Business Entity</u> have any <u>con</u> 2.0 above? (Attach additional pages if   | struction-related affilia<br>(necessary.)  | ites not identified in the                             | response to question   | ☐ Yes ⊠ No      |
| Affiliate Name   | Affiliate EIN (If avail  | lable)   | Affiliate's Primary Bu   | siness Activity |
| Affiliate Address  |  |  |  |                 |
| Explain relationship with the affiliate and inc  | licate percent of owner  | ship, if applicable (ente                              | ਝ N/A, if not applicable,  | <b>)</b> :      |
| Are there any shareholders, directors, officer has in common with this affiliate?  | s, owners, partners or p   | proprietors that the subm                              | nitting Business Entity  | ☐ Yes ☐ No      |
| Individual's Name (Include middle initial)   |  | Position/Title with Fir                                | m/Company  |                 |
| 2.2 Has the <u>Business Entity</u> participated in years? (Attach additional pages if necessity)   |  | ed Joint Ventures within                               | a the past three (3)   | ☐ Yes ⊠ No      |
| Joint Venture Name   | Joint Venture EIN (If  | 'available)  | Identify parties to the J  | ont Venture     |

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| III. CONTRACT HISTORY   |   |
|---|---|
| 3.0 Has the Business Entity completed any construction contracts?   | ⊠ Yes □ No  |
| If "Yes," list the ten most recent <u>construction</u> contracts the <u>Business Entity</u> has completed using Attachment A Construction Contracts, found at <u>www.osc.state.nv.us/vendrep/documents/questionnaire/ac3294s.doc.</u>   | - Completed .   |
| If less than ten, include most recent subcontracts on projects up to that number.   |   |
| 3.1 Does the <u>Business Entity</u> currently have uncompleted <u>construction</u> contracts?   | Yes No  |
| If "Yes," list all current uncompleted <u>construction</u> contracts by using Attachment B — Uncompleted Construction www.osc.state.nv.us/vendrep/documents/questionnaire/ac3295s.doc. Note: Ongoing projects must be included.   | 'on Contracts, found at   |
| IV. INTEGRITY – CONTRACT BIDDING  |   |
| Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:  |   |
| 4.0 Been <u>suspended</u> or <u>debarred</u> from any <u>government contracting process</u> or been <u>disqualified</u> on any government procurement?  | ☐ Yes ⊠ No  |
| 4.1 Been subject to a denial or revocation of a government prequalification?  | ☐ Yes ⊠ No  |
| 4.2 Had any bid rejected by a government entity for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid?   | Yes No  |
| 4.3 Had a proposed subcontract rejected by a government entity for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid?  | ☐ Yes ⊠ No  |
| 4.4 Had a low bid rejected on a government contract for failure to make good faith efforts on any Minority-<br>Owned Business Enterprise, Women-Owned Business Enterprise or Disadvantaged Business Enterprise goal or statutory affirmative action requirements on a previously held contract?   | ☐ Yes ⊠ No  |
| 4.5 Agreed to a voluntary exclusion from bidding/contracting with a government entity?  | ☐ Yes ⊠ No  |
| 4.6 Initiated a request to withdraw a bid submitted to a government entity or made any claim of an error on a bid submitted to a government entity?   | ☐ Yes ⊠ No  |
| For each "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the sub<br><u>Entity</u> , the <u>government entity</u> involved, project(s), relevant dates, any remedial or corrective action(s) taken and<br>the issue(s). Provide answer(s) below or attach additional sheets with numbered responses. |   |
|   |   |
| V. INTEGRITY - CONTRACT AWARD   |   |
| Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:  | Training to the second |
| 5.0 Defaulted on or been suspended, cancelled or terminated for cause on any contract?  | ☐ Yes ⊠ No  |
| 5.1 Been subject to an <u>administrative proceeding</u> or civil action seeking specific performance or restitution (except any disputed work proceeding) in connection with any <u>government contract</u> ?   | ☐ Yes ⊠ No  |
| 5.2 Entered into a formal monitoring agreement, consent decree or stipulation settlement as specified by, or agreed to with, any government entity?   | ☐Yes ⊠No  |
| 5.3 Had its surety called upon to complete any contract whether government or private sector?   | ☐ Yes ⊠ No  |
| 5.4 Forfeited all or part of a standby letter of credit in connection with any government contract?   | TYes X No   |

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NYS VENDOR ID: 1000056421

| V. INTEGRITY - CONTRACT AWARD  |                                      |                               |
|--|--------------------------------------|-------------------------------|
| Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:   |                                      |                               |
| For each "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the su <u>Entity</u> , the <u>government entity</u> /owners involved, project(s), contract number(s), relevant dates, any remedial or taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with number | corrective ac                        | ction(s)                      |
| VI. CERTIFICATIONS/LICENSES  |                                      |                               |
| Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:   |                                      |                               |
| 6.0 Had a revocation or suspension of any business or professional permit and/or license?  | ☐ Yes                                | No                            |
| 6.1 Had a denial, decertification, revocation or forfeiture of New York State certification of Minority-Owned Business Enterprise, Women-Owned Business Enterprise or a federal certification of Disadvantaged Business Enterprise status, for other than a change of ownership?   | ∏ Yes                                | i ⊠ No                        |
| For each "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the su <u>Entity</u> , the <u>government entity</u> involved, relevant dates, any remedial or corrective action(s) taken and the curre Provide answer(s) below or attach additional sheets with numbered responses.                                 | mitting <u>Bus</u><br>nt status of t | i <u>ness</u><br>he issue(s). |
| VII. LEGAL PROCEEDINGS/GOVERNMENT INVESTIGATIONS   | ···                                  |                               |
| Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:   |                                      |                               |
| 7.0 Been the subject of a criminal <u>investigation</u> , whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or <u>federal</u> law?   | Yes                                  | ⊠ No                          |
| 7.1 Been the subject of:   |                                      |                               |
| <ul> <li>(i.) An indictment, grant of immunity, <u>judgment</u> or conviction (including entering into a plea bargain)<br/>for conduct constituting a crime; or</li> </ul>   | Yes                                  | ⊠ No                          |
| (ii.) Any criminal <u>investigation</u> , felony indictment or conviction concerning the formation of, or any<br>business association with, an allegedly false or fraudulent <u>Minority-Owned Business Enterprise</u> ,<br><u>Women-Owned Business Enterprise</u> , or a <u>Disadvantaged Business Enterprise</u> ?   | □Yes                                 | ⊠ No                          |
| 7.2 Received any OSHA citation, which resulted in a final determination classified as serious or willful?  | Yes                                  | ⊠ No                          |
| 7.3 Had a government entity find a willful prevailing wage or supplemental payment violation?  | ☐ Yes                                | ⊠ No                          |
| 7.4 Had a New York State Labor Law violation deemed willful?   | Yes                                  | ⊠ No                          |
| 7.5 Entered into a consent order with the New York State Department of Environmental Conservation, or a <u>federal</u> , state or local government enforcement determination involving a violation of <u>federal</u> , state or local environmental laws?  | Yes                                  | ⊠ No                          |

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| VII. LEGAL PROCEEDINGS/GOVERNMENT INVESTIGATIONS   | A STATE OF THE STA |
|--|--|
| Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:   |  |
| 7.6 Other than previously disclosed, been the subject of any citations, notices or violation orders; a pending administrative hearing, proceeding or determination of a violation of:  | ☐ Yes ⊠ No   |
| • Federal, state or local health laws, rules or regulations;   |  |
| • Federal, state or local environmental laws, rules or regulations;  | <u> </u>   |
| <ul> <li>Unemployment insurance or workers compensation coverage or claim requirements;</li> </ul>   | T distribution of the state of  |
| <ul> <li>Any labor law or regulation, which was deemed willful;</li> </ul>   |  |
| <ul> <li>Employee Retirement Income Security Act (ERISA);</li> </ul>   |  |
| • Federal, state or local human rights laws;   |  |
| • Federal, state or local security laws?   |  |
| For each "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the subm <u>Entity</u> , the <u>government entity</u> involved, relevant dates, any remedial or corrective action(s) taken and the current issue(s). Provide answer(s) below or attach additional sheets with numbered responses. | t status of the  |
| Note: Information regarding a determination or finding made in error, which was subsequently corrected or over was withdrawn by the issuing government entity, is not required.  | erturned, and/or   |
|  |  |
| VIII. LEADERSHIP INTEGRITY   |  |
| If the Business Entity is a Joint Venture Entity, answer "N/A - Not Applicable" to questions in this section.  |  |
| Within the past five (5) years has any individual previously identified or any individual currently or formerly leauthority to sign, execute or approve bids, proposals, contracts or supporting documentation on behalf of the with any government entity been:   |  |
| 8.0 <u>Sanctioned</u> relative to any business or professional permit and/or license?  | □Yes ⊠No<br>□N/A   |
| 8.1 Suspended, debarred or disqualified from any government contracting process?   | ☐ Yes ⊠ No<br>☐ N/A  |
| 8.2 The subject of a criminal <u>investigation</u> , whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or <u>federal</u> law?  | □Yes ⊠No<br>□N/A   |
| 8.3 Charged with a misdemeanor or felony, indicted, granted immunity, convicted of a crime or subject to a judgment for:   | ☐ Yes   No<br>☐ N/A  |
| (i.) Any business-related activity, including but not limited to fraud, coercion, extortion, bribe or<br>bribe-receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering,<br>mail fraud, wire fraud, price-fixing or collusive bidding; or  |  |
| (ii.) Any crime, whether or not business-related, the underlying conduct of which related to<br>truthfulness, including but not limited to the filing of false documents or false sworn statements,<br>perjury or larceny  | e constitution de la constitutio |
| For each "Yes," provide an explanation of the issue(s), the individual involved, the relationship to the submitting the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status Provide answer(s) below or attach additional sheets with numbered responses.                                 |  |

NYS VENDOR ID: 1000056421

| IX. FINANCIAL AND ORGANIZATION  | NAL CAPACITY   |  |  |                                  |                       |
|---|--|--|--|----------------------------------|-----------------------|
| 9.0 Within the past five (5) years, has the performance assessment(s) from any s  |  |  | formal unsatisfactory  | ☐ Yes                            | ⊠ No                  |
| If "Yes," provide an explanation of the issu government entity involved, relevant dates, Provide answer below or attach additional.           | any remedial or correc                                   | ctive action(s) taken an                             | nship to the submitting <u>l</u><br>id the current status of t | <u>Business E</u><br>he issue(s) | <u>ntit</u> y, the    |
| 9.1 Within the past five (5) years, has the assessed over \$25,000?   | Business Entity or any                                   | affiliate had any liqui                              | dated damages  | ☐ Yes                            | ⊠ No                  |
| If "Yes," provide an explanation of the issurelevant dates, the contracting party involve attach additional sheets with numbered resp         | ed, the amount assesse                                   | ty involved, the relation<br>d and the current statu | nship to the submitting <u>l</u><br>s of the issue(s). Provid  | <u>Business E</u><br>le answer   | ntity,<br>below or    |
| 9.2 Within the past five (5) years, has the over \$25,000 filed against the Busines than 90 days? (Note: Including but n                      | s Entity which remain                                    | undischarged or were                                 | unsatisfied for more   | ☐ Yes                            | ⊠ No                  |
| If "Yes," provide an explanation of the issu<br>relevant dates, the Lien holder or Claimant<br>answer below or attach additional sheets w     | s' name(s), the amoun                                    | t of the <u>lien(</u> s) and the c                   | nship to the submitting <u>l</u><br>current status of the issu | Business E<br>ie(s). Prov        | <u>ntity,</u><br>vide |
| 9.3 In the last seven (7) years, has the Busbankruptcy proceedings, whether or n  | siness Entity or any aff<br>ot closed, or is any bar     | iliate initiated or been<br>kruptcy proceeding pe    | the subject of any<br>ending?                                  | □Yes                             | ⊠ No                  |
| If "Yes," provide the <u>Business Entity</u> involv<br>the court name and the docket number. Ind<br>Provide answer below or attach additional | icate the current status                                 | s of the proceedings as                              | <u>s Entity</u> , the bankruptcy<br>"Initiated," "Pending'     | chapter n<br>or "Close           | umber,<br>ed."        |
| 9.4 What is the Business Entity's Bonding   | g Capacity?  |  |  |                                  |                       |
| a. Single Project \$20,000,000.00   |  | b. Aggregate (All Pro                                | ojects) \$60,000,000.00  |                                  |                       |
| 9.5 List <u>Business Entity's</u> Gross Sales for (3) Fiscal Years:   | the previous three                                       |  |  |                                  |                       |
| 1st Year (Indicate year ) 2016  | 2nd Year (Indicate y                                     | -  | 3rd Year (Indicate yea   | -                                |                       |
| Gross Sales \$60,263,897.00   | Gross Sales \$60,165,                                    | 039.00   | Gross Sales \$49,188,9   | 06.00                            |                       |
| 9.6 List <u>Business Entity's</u> Average Backle<br>(Estimated total value of uncompleted   |  |  |  |                                  |                       |
| 1st Year (Indicate year ) 2016  | 2nd Year (Indicate y                                     | rear) 2015   | 3rd Year (Indicate yea   | r)2014                           |                       |
| Amount \$30,715,000.00  | Amount \$25,779,000                                      | 0.00   | Amount \$33,957,000.0  | 00                               |                       |
| 9.7 Attach <u>Business Entity's</u> most recent Financial Information, found at <u>www.</u> (This information must be attached.)              | annual <u>financial staten</u><br>osc.state.ny.us/vendre | nent and accompanying p/documents/questionr          | 3 notes or complete Atta<br>naire/ac3296s.xls.                 | chment C                         |                       |

NYS VENDOR ID: 1000056421

| X. FREEDOM OF INFORMATION LAW (FOIL)   |       |      |
|--|-------|------|
| 10.0 Indicate whether any information provided herein is believed to be exempt from disclosure under the Freedom of Information Law (FOIL).                                    | ☐ Yes | ⊠ No |
| Note: A determination of whether such information is exempt from FOIL will be made at the time of any request for disclosure under FOIL. Attach additional pages if necessary. |       |      |
| If "Yes," indicate the question number(s) and explain the basis for the claim.   |       |      |

# NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR-PROFIT CONSTRUCTION (CCA-2)

## Certification

The undersigned: (1) recognizes that this questionnaire is submitted for the express purpose of assisting New York State government entities (including the Office of the State Comptroller (OSC)) in making responsibility determinations regarding award or approval of a contract or subcontract and that such government entities will rely on information disclosed in the questionnaire in making responsibility determinations; (2) acknowledges that the New York State government entities and OSC may, in their discretion, by means which they may choose, verify the truth and accuracy of all statements made herein; and (3) acknowledges that intentional submission of false or misleading information may result in criminal penalties under State and/or Federal Law, as well as a finding of non-responsibility, contract suspension or contract termination.

# The undersigned certifies that he/she:

- is knowledgeable about the submitting Business Entity's business and operations;
- has read and understands all of the questions contained in the questionnaire;
- has not altered the content of the questionnaire in any manner;
- has reviewed and/or supplied full and complete responses to each question;
- to the best of his/her knowledge, information and belief, confirms that the Business Entity's responses are true, accurate and complete, including all attachments, if applicable;
- understands that New York State government entities will rely on the information disclosed in the questionnaire when entering into a contract with the Business Entity; and
- is under an obligation to update the information provided herein to include any material changes to the Business Entity's responses at the time of bid/proposal submission through the contract award notification, and may be required to update the information at the request of the New York State government entities or OSC prior to the award and/or approval of a contract, or during the term of the contract.

| Signature of Owner/Official | m  |
|-----------------------------|--|
| Printed Name of Signatory   | Phillip M. Beyer   |
| Title                       | Exec. Vice President   |
| Name of Business            | Bancker Construction Corp.   |
| Address                     | 218 Blydenburgh Road   |
| City, State, Zip            | Islandia, NY 11749   |
|                             |  |
| Sworn to before me this 16  | day of   |
| Maciren                     | MAUREEN ENGEL Notary Public Notary Public, State of New York No. 01EN4942537 |
|                             | Qualified in Sulfolk County  Commission Expires Sept. 26, 20 12              |

# Page 1 of 2

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# NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE

# ATTACHMENT A - COMPLETED CONSTRUCTION CONTRACTS

Vendor Name: BANCKER CONSTRUCTION CORP.

| 1. Agenc Roux A Contact Christs Contract Contrac | number: Agency/Owner Roux Associates - Exxonly Contact Person Christopher Proce | number:   | •                                      | •   |                          |  |  |
|--|---|---|--|---|--------------------------|--|--|
|  | Associates - Exxonly ct Person  |   |  |   | £                        |  |  |
| <u> </u>   | ct Person<br>opher Proce  | Agency/Owner<br>Roux Associates - ExxonMobil - Yard Drainage                            |  |   | Award Date 5/16/2016     | Amount<br>\$1,550,000.00   | Date Completed 3/1/2017  |
|  |   |   | Telephone No. (631) 232-2600           | Designer Architect and for Design Engineer<br>Roux Associates, Inc. | i /or Design Engineer    |  | and the second s |
| <u> </u>   | Contract No.  | Prime or Sub<br>Prime   | Joint Venture (JV) Name, if applicable | ame, if applicable  |                          |  | EIN of JV, if applicable   |
| Contact Courter Courte | Agency/Owner<br>Village of Saltaire - Water                                     | Agency/Owner<br>Village of Saltaire - Water Main - Bay Promenade                        |  |   | Award Date<br>12/29/2016 | Amount<br>\$285,000.00   | Date Completed<br>2/19/2017  |
| Confr  | Contact Person<br>Mario Posillico   |   | Telephone No. (631) 583-5566           | Designer Architect and /or Design Engineer Owner                    | d /or Design Engineer    |  |  |
| }  | Contract No.  | Prime or Sub<br>Prime   | Joint Venture (JV) Name, if applicable | ame, if applicable  |                          |  | EIN of JV, if applicable   |
| 3. Agenc   | Agency/Owner<br>Skanska USA Civil North   | Agency/Owner<br>Skanska USA. Civil Northeast - Site Utilities - Columbia Manhattanville | a Manhattanville                       |   | Award Date<br>1/1/2015   | Amount<br>\$700,000.00   | Date Completed<br>1/1/2016   |
| Contact Per<br>Alper Ayar  | Contact Person<br>Alper Ayar  |   | Telephone No.<br>  (917) 709-4724      | Designer Architect and lor Design Engineer<br>Columbia University   | d /or Design Engineer    |  |  |
| Contra   | Contract No.  | Prime or Sub<br>Sub   | Joint Venture (JV) Name, if applicable | ame, if applicable  |                          |  | EIN of JV, if applicable   |
| 4. Agend   | Agency/Owner<br>Verizon - Master Contract - Long Island                         | Long Island   |  |   | Award Date 6/1/2011      | Amount<br>\$26,000,000.00  | Date Completed 7/1/2016  |
| Conta  | Contact Person<br>Denis W. Donovan  |   | Telephone No.<br>(718) 977-8110        | Designer Architect and for Design Engineer Owner                    | d /or Design Engineer    |  |  |
| Solution   | Contract No.  | Prime or Sub<br>Prime   | Joint Venture (JV) Name, if applicable | ame, if applicable  |                          |  | EIN of JV, if applicable   |
| 5. Agend   | Agency/Owner<br>New York American Water - Main Laying                           | er - Main Laying  |  |   | Award Date 1/1/2012      | Amount<br>\$19,000,000.00  | Date Completed 1/1/2017  |
| Contra<br>John J   | Contact Person<br>John Kilpatrick   |   | Telephone No. (516) 632-2216           | Designer Architect and for Design Engineer<br>Owner                 | d /or Design Engineer    | A CONTRACTOR OF THE PROPERTY O |  |
| Contr  | Contract No.  | Prime or Sub<br>Prime   | Joint Venture (JV) Name, if applicable | ame, if applicable  |                          |  | EIN of JV, if applicable   |

# NEW YORK STATE

AC 3294-S (4/12)

# VENDOR RESPONSIBILITY QUESTIONNAIRE

# ATTACHMENT A - COMPLETED CONSTRUCTION CONTRACTS

ador Name: RANCKER CONSTRUCTION CORP.

| 6. Agency/Owner  1. Agency/Owner  1. Agency/Owner  1. Agency/Owner  2. Agency/Owner  2. Agency/Owner  2. Agency/Owner  3. Agency/Owner  4. Agency/Owner  2. Agency/Owner  4. Agency/Owner  4. Agency/Owner  5. Prime or Sub Contact Person  Contact Person  6. Agency/Owner  7. Agency/Owner  6. Agency/Owner  8. Agency/Owner  8. Agency/Owner  9. Agency/Owner  1. Agenc  | Ones                                  | Onestion 3 ft. List the ten most recent construction con | recent construction contrac | its the Business Entity               | has completed, If less   | than ten, include mos  | t recent subcontra       | cent subcontracts on projects up to that |
|--|---------------------------------------|--|-----------------------------|---------------------------------------|--|--|--------------------------|--|
| Agency/Owner Richard Pensal Contract No. By Designer Architect and for Design Engineer Richard Pensal Contract No. By Designer Architect and for Design Engineer Richard Pensal Contract No. By Designer Architect and for Design Engineer Richard Pensal Contract No. By Designer Architect and for Design Engineer Richard Pensal Contract No. By Designer Architect and for Design Engineer Richard Pensal Richard Pensal Richard Pensal Contract No. By Designer Architect and for Design Engineer Richard Pensal | ,                                     | number:  |                             | • • • • • • • • • • • • • • • • • • • | èngerenament de mande de principal de la company de la |  |                          |  |
| Contract Person   Richard Person   Telephone No.   Designer Architect and /or Design Engineer  | 9                                     |  | on Co., Inc Water Main - F  | resh Direct                           |  | Award Date 1/25/2015   | Amount<br>\$1,000,000.00 | Date Completed 2/15/2017                 |
| Contract No. Sub Sub Joint Venture (JV) Name, if applicable Award Date St00,000.00  Egency/Owner H. Wayne Tures, Manager Construction Management (516) 949-8351 Owner Contract No. Prime or Sub Joint Venture (JV) Name, if applicable Award Date Award Date Amount Inc. Village of Garden City - Water Main - Magnolis Ave., Carteret Pl. & 4th St. Contract No. Prime or Sub Joint Venture (JV) Name, if applicable Award Date Award Date Award Date Award Date St.700,000.00  Contract Person Telephone No. Telephone No. Telephone No. Designer Architect and /or Design Enginer Amount Agency/Owner Tolephone No. Designer Architect and /or Design Enginer Amount Agency/Owner Telephone No. Designer Architect and /or Design Enginer Amount Agency/Owner Sub Joint Venture (JV) Name, if applicable Award Date Award Date Amount Agency/Owner Sub Joint Venture (JV) Name, if applicable Award Date Sub, Designer Architect and /or Design Engineer Amount Village of Saltaire - Water Main Replacement - Broadway  Contact Person Sub Joint Venture (JV) Name, if applicable Award Date Sub, Or Design Engineer Amount Village of Saltaire - Water Main Replacement - Broadway  Contact Person Telephone No. Designer Architect and /or Design Engineer Amount Village of Saltaire - Water Main Replacement - Broadway  Contact Person Telephone No. Designer Architect and /or Design Engineer Amount Village of Saltaire - Water Main Replacement - Broadway  Contact Person Amaric Person Telephone No. Designer Architect and /or Design Engineer Contact No. Prime or Sub Joint Venture (JV) Name, if applicable Award Date Award Date Amount Mario Postilico Prime or Sub Joint Venture (JV) Name, if applicable Award Date Award Date Saltaire - Water Main Replacement - Broadway  Contact Person Award Date Award Date Saltaire - Main Replacement - Broadway Frime or Sub Joint Venture (JV) Name, if applicable Award Date Award Date Saltaire - Main Replacement - Broadway Frime or Sub Joint Venture (JV) Name, if applicable Award Date Saltaire - Manount Mario Postilico Prime or Sub Joint Venture  |                                       | Contact Person<br>Richard Pearsall                       |                             | Telephone No. (631) 454-0291          | Designer Architect an<br>Langan Engineering  | d /or Design Engineer  |                          |  |
| Agency/Owner  F. Wayne Tures, Manager Construction Management  Contact Person  E. Wayne Tures, Manager Construction Management  Frime or Sub  Prime or Sub   | agger sameled bly served 8 H          | Contract No.   | Prime or Sub<br>Sub         | Joint Venture (JV) Na                 | me, if applicable  | and the same of th |                          | BIN of IV, if applicable                 |
| Contract Person H. Wayme Tures, Manager Construction Management G169 949-3351 Contract No. Prime or Sub Prime Agency/Owner Lend Lease (US) Construction LAMB, Inc Southside HospSite Excavation & Conc. Prime or Sub Prime Amount Prime Amount Prime Amount Prime Amount Prime Amount Prime Amount Prime Am      | r:                                    |  | oncrete                     |                                       |  | Award Date 2/1/2015  | Amount<br>\$800,000.00   | Date Completed 12/14/2016                |
| Contract No. Prime or Sub Joint Venture (JV) Name, if applicable Agency/Owner  Agency/Owner  Agency/Owner  Agency/Owner  Agency/Owner  Agency/Owner  Contract No. Prime or Sub Joint Venture (JV) Name, if applicable  Agency/Owner  Contract No. Prime or Sub Joint Venture (JV) Name, if applicable  Agency/Owner  Contract No. Prime or Sub Joint Venture (JV) Name, if applicable  Agency/Owner  Contract No. Prime or Sub Joint Venture (JV) Name, if applicable  Agency/Owner  Contract No. Prime or Sub Joint Venture (JV) Name, if applicable  Agency/Owner  Contract No. Prime or Sub Joint Venture (JV) Name, if applicable  Agency/Owner  Contract No. Prime or Sub Joint Venture (JV) Name, if applicable  Agency/Owner  Contract No. Prime or Sub Joint Venture (JV) Name, if applicable  Agency/Owner  Agency/Owner  Contract No. Designer Architect and for Design Enginer  Agency/Owner  Village of Saltaire - Water Main Replacement - Broadway  Contract No. Designer Architect and for Design Enginer  Mario Posiliko  Contract No. Prime or Sub Joint Venture (VV) Name, if applicable  Contract No. Designer Architect and for Design Enginer  Mario Posiliko  Contract No. Prime or Sub Joint Venture (VV) Name, if applicable  Contract No. Prime or Sub Joint Venture (VV) Name, if applicable  Contract No. Prime or Sub Joint Venture (VV) Name, if applicable  Contract No. Designer Architect and for Design Enginer  Contract No. No. Prime or Sub Joint Venture (VV) Name, if applicable   | agent was have been produced being to | Contact Person<br>H. Wayne Tures, Manager                | Construction Management     | Telephone No. (516) 949-8351          | Designer Architect an<br>Owner   | d /or Design Engineer  |                          |  |
| Agency/Owner  Agency/Owner  Contact Person  Agency/Owner  Contact Person  Agency/Owner  Contact Person  Agency/Owner  Contact Person  Contact Person  Agency/Owner  Contact Person  Agency/Owner  Contact Person  Contact Person  Contact Person  Contact Person  Contact Person  Agency/Owner  Contact Person  Contac | siepolytus marchite ann               | Contract No.   | Prime or Sub<br>Prime       | Joint Venture (JV) Na                 | me, if applicable  |  |                          | EIN of JV, if applicable                 |
| Contract Person Robert J. Mangan, P.E., Director of Public Works Contract No. Prime or Sub Contract No. Prime or Sub Contract No. Robert O'Donohue, Sr. Project Manager Contract No. Prime or Sub Contract No. Sub Agency/Owner Agency/Owner Sub Contract No. Prime or Sub Agency/Owner Sub Contract No. Prime or Sub Agency/Owner Village of Saltaire - Water Main Replacement - Broadway Contract No. Prime or Sub Agency/Owner Village of Saltaire - Water Main Replacement - Broadway Contract No. Prime or Sub | တ်                                    |  | / - Water Main - Magnolia A | ve., Carteret Pl. & 4 <sup>th</sup> S | 3  | Award Date 11/1/2015   | Amount<br>\$1,700,000.00 | Date Completed 7/1/2016                  |
| Contract No.       Prime or Sub       Joint Venture (JV) Name, if applicable       Award Date       Award Date       Amount         Agency/Owner       Lend Lease (US) Construction LMB, Inc Southside HospSite Excavation &Cont.       Telephone No.       Designer Architect and /or Design Bnginear       \$1,710,000.00         Contact Person       Robert O'Donohue, Sr. Project Manager       Telephone No.       Designer Architect and /or Design Bnginear       Amount         Contract No.       Sub       Joint Venture (JV) Name, if applicable       Award Date       Amount         Agency/Owner       Village of Saltaire - Water Main Replacement - Broadway       Telephone No.       Designer Architect and /or Design Enginear         Contact Person       Mario Posillico       Telephone No.       Designer Architect and /or Design Engineer         Contract No.       Prime or Sub       Joint Venture (JV) Name, if applicable         N/A       Prime  | er manassa er e frank anna            | Contact Person<br>Robert J. Mangan, P.E., D)             | rector of Public Works      | Telephone No. (516) 465-4004          | Designer Architect an<br>Owner   | id /or Design Engineer   |                          |  |
| Agency/Owner Lend Lease (US) Construction LMB, Inc Southside HospSite Excavation & Conc.  Contract Person Robert O'Donohue, Sr. Project Manager Contract No. Sub Agency/Owner Agency/Owner Village of Saltaire - Water Main Replacement - Broadway Contract No. Telephone No. Telepho      |                                       | Contract No.   | Prime or Sub<br>Prime       | Joint Venture (JV) Na                 | ıme, if applicable   |  |                          | BIN of JV, if applicable                 |
| Contract Person Robert O'Donohue, Sr. Project Manager Robert O'Donohue, Sr. Project Manager Contract No. Sub Agency/Owner Village of Saltaire - Water Main Replacement - Broadway Contract No. Telephone No. Contract Person Mario Posillico Contract No. Prime or Sub Award Date Award Date Award Date Amount Telephone No. Contact Person Mario Posillico Contract No. Prime or Sub Award Date Award Date Amount Telephone No. Contract No. Designer Architect and /or Design Engineer Contract No. Prime or Sub Amount Telephone No. Frime or Sub Amount Telephone No. Frime or Sub Amount Telephone No. Frime or Sub Amount Telephone No. Award Date Amount Amount Amount Active Architect and Amount Amount Active Amount | <u>o</u> ,                            | ļ  | tion LMB, Inc Southside F   | IospSite Excavation &                 | Conc.  | Award Date 6/1/2015  | Amount<br>\$1,710,000.00 | Date Completed 5/1/2016                  |
| Contract No. Sub Sub Joint Venture (JV) Name, if applicable Agency/Owner Village of Saltaire - Water Main Replacement - Broadway Contact Person Mario Posillico Contract No. Prime or Sub Joint Venture (JV) Name, if applicable NA. Prime or Sub Joint Venture (JV) Name, if applicable NA.   | · · · · · · · · · · · · · · · · · · · | Contact Person<br>Robert O'Donohue, Sr. Pro              | ject Manager                | Telephone No.<br>(718) 470-4160       | Designer Architect an<br>Sidney B. Bowne & S   | nd /or Design Engineer<br>ton LLP  |                          |  |
| Agency/Owner Village of Saltaire - Water Main Replacement - Broadway  Contact Person Mario Posillico  Contact No. Prime or Sub Prime  Award Date Amount Telephone No. Designer Architect and /or Design Engineer Owner  Owner  Contract No. Prime or Sub Prime  Amount Amount Amount I/25/2016 S580,000.00  Solution  Telephone No. Designer Architect and /or Design Engineer Owner  Owner  Contract No. Prime or Sub Prime  Prime  Prime  Amount Amount  NA.   |                                       | Contract No.   | Prime or Sub<br>Sub         | Joint Venture (JV) Na                 | nne, if applicable   |  |                          | BIN of JV, if applicable                 |
| 20 Telephone No. Designer Architect and for Design Engineer Co (631) 583-5566 Owner Prime or Sub Joint Venture (JV) Name, if applicable Prime  | 10.                                   |  | Main Replacement - Broadw   | vay                                   |  | Award Date 1/25/2016   | Amount<br>\$580,000.00   | Date Completed 6/1/2016                  |
| Prime or Sub Joint Venture (JV) Name, if applicable Prime  | <u>u. —</u>                           | Contact Person<br>Mario Posillico                        |                             | Telephone No.<br>  (631) 583-5566     | Designer Architect ar<br>Owner   | nd /or Design Engineer   |                          |  |
|  |                                       | Contract No.<br>N/A                                      | Prime or Sub<br>Prime       | Joint Venture (JV) Na                 | nne, if applicable   |  |                          | EIN of IV, if applicable                 |

# AC 3295-S (4/12)

# NEW YORK STATE

# VENDOR RESPONSIBILITY QUESTIONNAIRE

# ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name: BANCKER CONSTRUCTION CORP.

| Ques                               | Question 3.1: List all current uncompleted construction contracts: | acompleted construction co  | ontracts:                       |  |   |  |                                 |  |
|------------------------------------|--|---|---------------------------------|--|---|--|---------------------------------|--|
|                                    | Agency/Owner New York American Water - Main Laying                 | Main Laying   |                                 |  |   | Award Date 1/1/2015  |                                 | Completion Date<br>1/1/2018  |
|                                    | Contact Person<br>John Kilpatrick                                  |   | Telephone No. (516) 632-2216    | Designer Archi<br>Owner                  | Designer Architect and for Design Engineer<br>Owner                                   |  |                                 | PARTY THE PROPERTY OF THE PARTY |
|                                    | Contract No.   | Prime or Sub  | Joint Venture (J                | Joint Venture (JV) Name, if applicable   |   |  | EIN                             | EIN of IV, if applicable   |
|                                    |  |   |                                 | Total Contract Amount<br>\$30,000,000.00 | Arnount Sublet to others \$0.00   | # to others  | Uncompleted 2 \$6,000,000,000   | Uncompleted Amount<br>\$6,000,000.00   |
| 4                                  |  | Agency/Owner<br>Kiska Construction - Water Main - Marine Parkway Bridge |                                 |  |   | Award Date 5/1/2016  |                                 | Completion Date<br>12/1/2017   |
|                                    | Contact Person<br>Onir Coronel, Project Engineer                   | ıa  | Telephone No.<br>(718) 943-0400 | Designer Archi MTA/Triborog              | Designer Architect and for Design Engineer<br>MTA/Triborogh Bridge & Tunnel Authority | ٠<br>٧   |                                 |  |
|                                    | Contract No.<br>MP-03/MP-1   | Prime or Sub<br>Sub   | Joint Venture (J                | Joint Venture (IV) Name, if applicable   |   | Andrew Andrew Andrew Street St |                                 | EIN of JV, if applicable   |
| onerpopular deligit. Listena - 110 |  |   |                                 | Total Contract Amount<br>\$1,625,000.00  | Amount Sublet to others 80.00   | of to others   | Uncompletec<br>\$900,000.00     | Uncompleted Amount<br>\$900,000.00   |
| ř.                                 | Agency/Owner<br>Skanska-Walsh - 12" Gas Main - LaGuardia Airport   | ain - LaGuardia Airport   |                                 |  |   | Award Date 6/1/2016  |                                 | Completion Date<br>12/1/2017   |
| ·····                              | Contact Person<br>Charles Schoch                                   |   | Telephone No. (917) 417-4326    |  | Designer Architect and for Design Bugineer  | -  |                                 |  |
|                                    | Contract No.   | Prime or Sub<br>Sub   | Joint Venture (J                | Joint Venture (JV) Name, if applicable   |   |  | E I                             | EIN of IV, if applicable   |
| ·····                              |  |   |                                 | Total Contract Amount<br>\$1,600,000.00  | Amount Sublet to others \$0.00  | et to others   | Uncompleted<br>\$850,000,00     | Uncompleted Amount<br>\$850,000.00   |
| 4                                  | Agency/Owner<br>PSEG - Physical/Mechanical Assist                  | l Assist  |                                 |  |   | Award Date 5/1/2016  |                                 | Completion Date 5/1/2019   |
| ,                                  | Contact Person<br>Nicholas De Pascale                              |   | Telephone No. (516) 792-2712    |  | Designer Architect and /or Design Engineer<br>Owner                                   | kr.  |                                 |  |
|                                    | Contract No.   | Prime or Sub<br>Prime   | Joint Venture (3                | Joint Venture (IV) Name, if applicable   |   |  | ET                              | EIN of JV, if applicable   |
|                                    |  |   |                                 | Total Contract Amount<br>\$4,500,000.00  | Amount Subjet to others \$0.00  | et to others   | Uncompleted /<br>\$4,000,000.00 | Uncompleted Amount<br>\$4,000,000.00   |

# Page 2 of 3

# NEW YORK STATE

AC 3295-S (4/12)

# VENDOR RESPONSIBILITY QUESTIONNAIRE

# ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name:BANCKER CONSTRUCTION CORP.

| Que  | Question 3.1: List all current uncompleted construction contrac   | incompleted construction e  | ontracts;                         |   |  |                         | -                               |  |
|------|---|---|-----------------------------------|---|--|-------------------------|---------------------------------|--|
| ri.  |   | Agency/Owner<br>Suffolk County Water Authority - Directional Drill Water Mains  | ains                              |   |  | Award Date 5/1/2016     |                                 | Completion Date<br>5/1/2018  |
|      | Contact Person<br>Frederick C. Berg, Director of Construction     | of Construction   | Telephone No. (631) 563-0386      | Designer Architect au<br>Owner  | Designer Architect and for Design Engineer<br>Owner                              |                         |                                 |  |
|      | Contract No. 7338   | Prime or Sub<br>Prime   | Joint Venture (J                  | Joint Venture (JV) Name, if applicable                                    |  |                         | NIII                            | EIN of JV, if applicable   |
|      |   |   |                                   | Total Contract Amount<br>\$2,000,000.00                                   | Amount Sublet to others<br>\$0.00  | hers                    | Uncompleted / \$1,600,000.00    | Uncompleted Amount<br>\$1,600,000.00   |
| ن ا  | Agency/Owner<br>Citnalta Construction Corp Site Utilities - PS19Q | . Site Utilities - PS19Q  |                                   |   |  | Award Date<br>10/1/2016 |                                 | Completion Date<br>12/1/2017   |
|      | Contact Person<br>Ray Sajeski                                     |   | Telephone No. (631) 563-1110      | Designer Architect and /or Design Er NYC School Construction Authority    | Designer Architect and /or Design Engineer<br>NYC School Construction Authority  |                         |                                 |  |
|      | Contract No.<br>C000014379  | Prime or Sub<br>Sub   | Joint Venture (J                  | Joint Venture (JV) Name, if applicable                                    |  |                         | A A                             | EIN of JV, if applicable   |
|      |   |   |                                   | Total Contract Amount<br>\$1,225,000.00                                   | Amount Subjet to others \$0.00   | hers                    | Uncompleted /<br>\$1,225,000.00 | Uncompleted Amount<br>\$1,225,000.00   |
| 7.   | Agency/Owner   DeMatreis Construction - Site Utilities - PS24Q    | te Utilities - PS24Q  |                                   |   |  | Award Date 10/1/2016    |                                 | Completion Date 9/1/2017   |
|      | Contact Person<br>James Kilbride                                  |   | Telephone No.<br>  (516) 285-5500 | Designer Architect a  | Designer Architect and Ior Design Engineer<br>NYC School Construction Authority  |                         |                                 | ACTION AND ACTION AND ACTION AND ACTION AND ACTION AND ACTION ACTION AND ACTION |
|      | Contract No.<br>C000014384  | Prime or Sub<br>Sub   | Joint Venture (J                  | Joint Venture (JV) Name, if applicable                                    |  |                         | BIN                             | EIN of JV, if applicable   |
|      |   |   |                                   | Total Contract Amount<br>\$1,300,000.00                                   | Amount Sublet to others \$0.00   | hers                    | Uncompleted /<br>\$1,300,000.00 | Uncompleted Amount<br>\$1,300,000.00   |
| eć . |   | Agency/Owner<br>SUNY Maritime - State University Construction Fund - Water Main | er Main                           |   |  | Award Date 1/1/2017     |                                 | Completion Date 5/1/2018   |
|      | Contact Person<br>William Herrmann                                |   | Telephone No. (718) 409-2882      | Designer Architect and for Design F<br>State University Construction Fund | Designer Architect and for Design Engineer<br>State University Construction Fund |                         |                                 |  |
| -    | Contract No.<br>T006303   | Prime or Sub<br>Prime   | Joint Venture (J                  | Joint Venture (IV) Name, if applicable                                    |  |                         | BIN                             | BIN of JV, if applicable   |
|      |   |   |                                   | Total Contract Amount<br>\$2,000,000.00                                   | Amount Sublet to others \$6.00   | hers                    | Uncompleted /<br>\$2,000,000.00 | Uncompleted Amount<br>\$2,000,000.00   |

# NEW YORK STATE

AC 3295-S (4/12)

# VENDOR RESPONSIBILITY QUESTIONNAIRE

# ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS

Vendor Name:BANCKER CONSTRUCTION CORP.

NYS Vendor ID:1000056421

| Ö                              | estion 3.1: List all current                          | Question 3.1: List all current uncompleted construction conti                       | intracts:                       |  |                                   |                          |                                    |
|--------------------------------|---|---|---------------------------------|--|-----------------------------------|--------------------------|------------------------------------|
|                                | 9. Agency/Owner Turner Construction Company - PS 332Q | ny - PS 332Q  |                                 |  |                                   | Award Date<br>10/14/2015 | Completion Date 4/1/2017           |
|                                | Contact Person<br>Michael Williams, Project Manager   | fanager   | Telephone No.<br>(212) 229-6000 | Designer Architect and /or Design Engineer NYC School Construction Authority | Design Engineer<br>Authority      | Secure Address; and Syg  |                                    |
|                                | C000013981  | Prime or Sub<br>Sub   | Joint Venture (JV)              | oint Venture (JV) Name, if applicable  |                                   |                          | EIN of JV, if applicable           |
| ****************************** |   |   | To<br>\$1                       | Total Contract Amount<br>\$1,715,000.00                                      | Amount Sublet to others<br>\$0.00 |                          | Uncompleted Amount                 |
| e e                            | <u> </u>  | Agency/Owner<br>Nassau County Dept. of Public Works - Bay Park Dechlorination Vault | tion Vault                      |  |                                   | Award Date<br>1/1/2016   | Completion Date 10/1/2016          |
|                                | Contact Person<br>Scott Urban                         |   | Telephone No. (516) 571-6840    | Designer Architect and for Design Engineer<br>Owner                          | Design Engineer                   |                          |                                    |
|                                | Contract No.<br>S35121-16V                            | Prime or Sub<br>Prime   | Joint Venture (JV)              | ioint Venture (JV) Name, if applicable                                       |                                   |                          | EIN of JV, if applicable           |
|                                |   |   | Tc 85                           | Fotal Contract Amount<br>\$500,000.00  | Amount Sublet to others<br>\$0.00 |                          | Uncompleted Amount<br>\$500,000.00 |

Grand Total All Uncompleted Contracts \$18,375,000.00

# COUNTY OF NASSAU

# CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

| 1. Name of the Entity: Bancker Construction Corp.  |
|--|
| Address: 218 Blydenburgh Road  |
| City, State and Zip Code: Islandia, NY 11749   |
| 2. Entity's Vendor Identification Number: 11-1876887   |
| 3. Type of Business:Public CorpPartnershipJoint Venture  |
| Ltd. Liability Co x Closely Held Corp Other (specify)  |
| 4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary): |
| Stephen M. Beyer, CEO  |
| Michael S. Beyer, President/Treasurer  |
| Phillip M. Beyer, Exec. V.P./Secretary   |
|  |
|  |
|  |
| 5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.  Michael S. Beyer, President/Treasurer          |
| Phillip M. Beyer, Exec. V.P./Secretary   |
|  |

| Page 2 of 4  |
|--|
|  |
| 6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.   |
| Baltray Enterprises, Inc. dba Bancker Electric (Affiliate having common ownership)   |
| Bancker Environmental LLC (Affiliate having common ownership)  |
| *Please note, neither of the above two listed affiliates will be performing work under this contract.  |
|  |
| 7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.  (a) Name, title, business address and telephone number of lobbyist(s):  None |
|  |
|  |
|  |

# Page 3 of 4

| (b) Describe lobbying activity description of lobbying activities.   | of each lobbyist. See below for a complete   |
|--|--|
| None   |  |
|  |  |
|  |  |
| Walter State Commence of the C |  |
|  |  |
|  |  |
| (c) List whether and where the<br>Nassau County, New York State):  | e person/organization is registered as a lobbyist (e.g.,   |
| None   |  |
|  |  |
| 440PARIORINI   |  |
| Since the control of  |  |
| ANTONIO PER  |  |
|  | st be signed by a principal of the consultant, signatory of the firm for the purpose of executing Contracts. |
| The undersigned affirms and so swear statements and they are, to his/her knows   | rs that he/she has read and understood the foregoing owledge, true and accurate.                             |
| Dated: June 16, 2017   | Signed:  |
|  | Print Name: Phillip M. Beyer   |
|  | Title: Exec. Vice President  |

# Page 4 of 4

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use. development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

# RULES RESOLUTION NO

-2017

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO EXECUTE A CONTRACT AMENDMENT BETWEEN THE COUNTY OF NASSAU, ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND BANCKER CONSTRUCTION CORP.

WHEREAS, pursuant to County contract number \$80030Z, for
REQUIREMENTS CONTRACT-MAINTENANCE AND REPAIR OF WATER
MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY,
NY ("Contract") between the County and

BANCKER CONSTRUCTION CORP. ("Contractor"), the Contractor performed services as more particularly described in the contract documents a copy of which is on file with the Clerk of the Legislature; and

WHEREAS, the County and the Contractor desire to increase the maximum amount of the Contract by \$ 700,000 dollars, and to extend the term of the Contract an additional one (1) year;

WHEREAS the funding for this Contract amendment is from general and capital funds approved by the Nassau County Legislatures; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature based upon the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract amendment with the yendor.

U.S. DEPARTMENT OF JUSTICE OFFICE OF JUSTICE PROGRAMS OFFICE OF THE COMPTROLLER

# Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions (Sub-Recipient)

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 Federal Register (pages 19160-19211), (BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible; or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

| Name and Title of Authorized Representative | nidiyy   |
|---|--|
| Try   | 12/21/16   |
| Signature                                   | Date   |
| Bancker Construction Corp.                  |  |
| lame of Organization                        | The state of the s |
| 218 Elydenburgh Road, Islandia, NY 11749    |  |
| address of Organization                     | 23.202.302.002.002   |
|   |  |
|   |  |

# Instructions for Certification

- 1. By signing and submitting this proposal, the prospective lower fler participant is providing the certification set out below.
- 2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- 3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was enoneous when submitted or has become erroneous by reason of changed circumstances.
- 4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
- 5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowlingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- 6. The prospective lower fler participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, ineligibility and Voluntary Exclusion Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- 7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
- 8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 9. Except for transactions authorized under paragraph 6 of these instructions, if a participent in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntary excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

# AMENDMENT NO. 2

This AMENDMENT (this "Amendment"), made and entered as of the date on which this Amendment is last executed by the parties hereto, by and between (i) Nassau County, municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County"), acting on behalf of the Department of Public Works having its principal office at 1194 Prospect Avenue, Westbury New York 11590 (the "Department"), and (ii) Bancker Construction Corp., 218 Blydenburgh Road, Islandia, New York 11749 (the "Contractor").

# WITNESSETH:

WHEREAS, pursuant to County contract number \$80030Z between the County and the Contractor, (the "Original Agreement"), the Contractor performed services including but not limited to water main repair, and such services more fully described in the Original Agreement (the services contemplated by the Original Agreement, the "Services");

WHERBAS, the term of the Original Agreement, is November 15, 2012 through November 15, 2014 ("Agreement Term"); a subsequent extension of time was issued under Article VIII of the contract extending the end date to November 15, 2015. Amendment 1 further extended the agreement until November 15, 2016.

WHEREAS, the maximum amount that the County agreed to reimburse the Contractor for Services pursuant to the terms of the Original Agreement, is ("Maximum Amount"); Five hundred thousand dollars \$500,000.00. Amendment 1 increased the Maximum amount to \$1,000,000.00.

WHEREAS, the County and the Contractor desire to amend the Agreement Term and the Maximum Amount; and

NOW, THEREFORE, in consideration of the premises and mutual covenants contained in this Amendment, the parties agree as follows:

- 1. Amended Maximum Amount. The Maximum Amount is amended to add an additional seven hundred thousand dollars (\$700,000,00), so that the total Maximum Amount the County shall pay the Contractor shall not exceed one million seven hundred thousand dollars (\$1,700,000.00) ("Amended Maximum Amount").
- Amended Term. The Agreement Term is amended to extend the contract duration for an additional twelve months, and will terminate on November 15, 2017 ("Amended Term").

3. Full Force and Effect. All the terms and conditions of the Original Agreement not expressly amended by this Amendment shall remain in full force and effect and govern the relationship of the parties for the term of the amended Agreement.

REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]

IN WITNESS WHEREOF, the parties have executed this Amendment as of the date first above written.

| Banker O        | onstruction Corp.  |            |
|-----------------|--|------------|
| ,               | 10,00  |            |
| Ву:             |  | minepte    |
| Name:           | STEPHEN M. BEYER   |            |
| Tirle:          | C.E.O.   | (Transport |
| Date:           | Maril 7 2017   |            |
| VA.             |  | enya.      |
|                 |  |            |
|                 |  |            |
| MAGGATI         | COUNTY   |            |
| 141.713131.717. | COOM   |            |
|                 |  |            |
|                 |  |            |
|                 |  |            |
|                 |  |            |
| By:             |  |            |
| Name:           | West Control of the C |            |
| Title:          | Deputy County Executive  |            |
| 7. 77.423       | Denary Carries Dygoridae   | -          |
| Date:           |  | -          |

PLEASE EXECUTE IN BLUE INK

| STATE OF NEW YORK)  |   |
|---|---|
| COUNTY OF NASSAU)   |   |
| he or she is the C.E.O.  corporation described herein and w | in the year 2017 before me personally to me personally known, who, being by me duly or she resides in the County of Sure ; that of BANCKER CONSTRUCTION CORP , the hich executed the above instrument; and that he or she uthority of the board of directors of said corporation. |
| NOTARY PUBLIC   | MAUREEN ENGEL Notary Public, State of New York No. 01EN4942537 Gualified in Suifolk County Commission Expires Sept. 28, 20/8  |
| STATE OF NEW YORK)  |   |
| STATE OF NEW YORK) )ss.: COUNTY OF NASSAU)                  |   |
| On the  | in the year 2017 before me personally to me personally known, who, being by me duly the resides in the County of; that  |
| corporation described herein and wh                         | tive of the County of Nassau, the municipal nich executed the above instrument; and that he or she sant to Section 205 of the County Government Law of  |

NOTARY PUBLIC

Contract 104: 580 0302



# Department: Public works \$25-14 CLPW 15000028 CIF

# CF (Capital) Contract Details

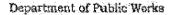
| Dimari Deians                             | SERVICE W | ATTY MAIN | Kegucement. |
|---|-----------|-----------|-------------|
| IFS ID # CHOWD DODO'T NIES ENTO DOTE 6/21 |           |           |             |

| Man F                    | Tiguemai []  | 1) Mandated Program:                       |                                | Yes [ ] No [ ]   |
|--------------------------|--|--|--------------------------------|--|
| Amen                     | dment X□₩  | 2) Comptreiter Approval For                | n Attached:                    | Yes 🔲 No 🗍   |
| Time !                   | Extension 🔲  | J) CSEX Agint. § J2 Compli                 | ince Atlached:                 | Yes [] No []   |
| Addl                     |  | 4) Vendor Ownership & Mgn                  | st. Disclosure Attached:       | Yes [] No []   |
| Blanke<br>RES#           | et Resolution []<br>L  | 5) Insurance Required                      |                                | Yes [] No []   |
| Ā                        | gency Inform   | ation                                      | ,                              | x  |
| ا در میدود شد ادامه<br>ا | rangan ja suu ausa rittaga ja maritaga ka<br>Kansa sa s | Vendor                                     | Corint                         | Department   |
| Minse<br>Brocker ()      | ouchaelinn Carp  | Vaudas 10H<br>11-1876887                   | Depresson Contac<br>Seen Urban |  |
| Address                  |  | Consult Parson                             | . harm                         |  |
| 215 Glyce                | rburgh Cd., islandia NY  | Alfahmat Reyer                             | 1494 Prospect Ave.             | Westberry  |
|                          |  | Figure 631-582-1930                        | l'hone                         |  |
|                          | **************************************   | **************************************     |                                |  |
| DATE ROOM                | outing Slip  | internal Verification Appr<br>Pro          | & SIGNATITET                   | Leg. Approvel  |
|                          | Depulment  | NIFS Entry (Dept) NIFS Appril (Dept. Head) | 加之之.                           | and the second s |
| Trans. Area wet has a    | DPW (Capital Only)   | CF Cepital Fund Approval   11              | 18 Just Ch                     | 4  |
|                          | OMB  | NIFS Approval                              | Brown State                    | Yes No No Not required it Blanket Res  |
|                          | County Attorney  | CA RE & Insurance DC6                      | 1221 + 8                       | e.   |
|                          | County Attorney  | CA approval as to form Dally               | W. Sz 5. 2                     | Yes Yio []   |
|                          | Legislative Affairs  | Tw'd Original Contract to 12/4/            | silaula i                      | 20 etruce  |
|                          | Rules 🗆 / Leg. 🔲   |  | 1                              | The second secon |
| - <u>,</u> -             | County Attorney  | NIFS Approval []/.                         |                                |  |
|                          | Comptiolics  | NIFS Approval                              | 们很不多让                          | ent like   |
| 1/1/2                    | County Executive   | Notorization Fleet with Clerk of the Lose  | 1/191                          |  |

Contract 10#: 5800302

Department: Public Words

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# Staff Summary

B25-15

| Subject  | Date:                                  |
|--|--|
| Requirements Contract - Water Mains  | June 22, 2015                          |
| Department   | Vendor Name:                           |
| Public Works   | Bancker Construction Corp.             |
| Department Read Name   | Contract Number                        |
| Shila Shah-Gavnoudias, Commissioner  | 880030Z                                |
| Department Head Signature  | Personal Services Blanket Res Calendar |
| Project Manager Name: Scott Urban  | Bid 9/27/11 Rules Comm.                |
| Project Manager Name: Scott Urban  | Contract Manager Name: Michael Beyer   |
| Phone Number: 371-8967   | Phone Number: 631-582-8880             |
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| -                                       | Proposed Legislative Action |      |  |                                |  |  |
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|                 | Internal       | l Approvals  |                    |
|-----------------|----------------|--------------|--------------------|
| Date &<br>Init. | Approvai       | Date & Inft. | Approval           |
| BOILY           | Dept, Head     |              | Counsel to<br>C.E. |
|                 | Budget         | W 551        | County Atty.       |
|                 | Deputy<br>C.E. |              | County Exec.       |

# Narrative

## Purnose:

This Requirements Contract provides for the emergency and routine repair, replacement and upgrade of County owned water mains and appurtenances that are beyond in-house capabilities. These facilities are required for the supply of both potable water and fire protection at County owned facilities and buildings. Several large water main offsets performed under this contract related to drainage work in Great Neck compounded by a large water main break at the Massau County Jail have caused an rise in costs associated with this contract that are above the initial spending cap. Additional work is required at a number of County facilities including hydrant repair, replacement and relocations as well as some ongoing modifications necessary to make the system more functional.

## Discussion:

One (1) year extension, unit price, requirements contract. Advertising period – two weeks Bids received on September 27, 2011

## impact on Funding:

An additional \$500,000.00 is requested for work within this contract. The current expiration date is November 15, 2015. Most water breaks occur in the colder months and the potential to be without an active contract in winter opens up a liability for these facilities. A new contract is in preparation for bid and any remaining funds will be disencumbered from the existing contract for use in the subsequent contract. Project funding will come from Capital Projects 90403 and General Fund 0120.

## Recommendation:

Approve extension and increase as requested.

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE AN AMENDMENT TO A CONTRACT BETWEEN THE COUNTY OF NASSAU, ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS, AND BANCKER CONSTRUCTION CORP.

Passed by the Rules Committee
Nassau County Legislature
By Voice Vote on 7-27-15
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ayes 7 sayes 0 abstatued 0 recused 5
Legislators presents -

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau, on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ("Department") has received competitive bids for the original contract \$80030Z, for REQUIREMENTS CONTRACT MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY, NY ("Contract"), as more particularly described in the contract documents, a copy of which is on file with the Clerk of the Legislature; and

WHEREAS, the Department is representing to the Rules Committee that the term of the original requirements contract is two (2) years, and the estimated expenditures under the original contract is \$500,000; and

WHEREAS, the firm of BANCKER CONSTRUCTION CORP.,
("Yendor") has submitted the lowest responsible (local) bid for the original

contract for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department; and WHEREAS, the Commissioner of the Department is recommending to the Rules Committee that the amendment to the original contract to extend the term and increase the maximum amount of the original contract be awarded to the vendor; now; therefore, be it

RESOLVED, that the Rules Committee of the Nassau County
Legislature, based on the representations of the Department and the
recommendation of the Commissioner of the Department, authorizes the
County Executive to award and execute the said amendment to the contract
with the yendor.

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE AN AMENDMENT TO A CONTRACT BETWEEN THE COUNTY OF NASSAU, ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS, AND BANCKER CONSTRUCTION CORP.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau, on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ("Department") has received competitive bids for the original contract \$80030Z, for REQUIREMENTS CONTRACT MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY, NY ("Contract"), as more particularly described in the contract documents, a copy of which is on file with the Clerk of the Legislature; and

WHEREAS, the Department is representing to the Rules Committee that the term of the original requirements contract is two (2) years, and the estimated expenditures under the original contract is \$500,000; and

WHEREAS, the firm of BANCKER CONSTRUCTION CORP., ("Vendor") has submitted the lowest responsible (local) bid for the original

contract for the work described in the contract in accordance with all

Federal, State and Local Law as determined by the Department; and

WHEREAS, the Commissioner of the Department is recommending
to the Rules Committee that the amendment to the original contract to
extend the term and increase the maximum amount of the original contract
be awarded to the vendor, now; therefore, be it

RESOLVED, that the Rules Committee of the Nassau County
Legislature, based on the representations of the Department and the
recommendation of the Commissioner of the Department, authorizes the
County Executive to award and execute the said amendment to the contract
with the yendor.

George Maragos Compinalier



# OFFICE OF THE COMPTROLLER 240 Old Country Road Mincoln, New York 11501

# COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

| CONTRACTOR N<br>CONTRACTOR A<br>FEDERAL TAX I                       | ADDRESS: 218 B   |                         |  | andia, NY 1174      |  |
|---|--|-------------------------|--|---------------------|--|
| Instructions: Plea<br>roman numerals,                               | se check the appart and provide all the  | propriate<br>le request | box ("⊠")<br>ed informati              | after one of t      | he following   |
| I. The contract v   | vas awarded to th  | ie lowest,              | responsible                            | bidder after a      | dvertisement   |
| for sealed bids.  | The contract was   | awarded af              | ter a request                          | for sealed bids     | was published  |
| in [date]. The scaled b   | * 4 4 9 1  | [n                      | ewspaper                               | OD (data)           | f#l sf   |
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| II.  The contract The Contract was entitled [date]. Potential prop- | tered into after a writ  | ten request             | for proposals                          | was issued on       | or suppose you a common of   |
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| III. X This is a renewal, extension or amendment of an existing contract.  The contract was originally executed by Nassan County on 5/7/12 [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after open competitive bid—line item requirements contract. [describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county. |
|--|
| IV.   Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.  |
| X A. The contract has been awarded to the proposer offering the lowest cost proposal; OR:  |
| B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.   |
| V.   ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.   |
| A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.   |
| DB. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).   |
| C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.   |
| CID. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.   |

VI I This is a human carriage contract with a unt for wealth around for which a

competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. [] This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No.928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

In addition, if this is a contract with an individual or with an entity that has only one or two employees:

☐ a review of the criteria set forth by the Internal Revenue Service, Revenue Ruling No. 87-41, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

Department Head Signature

Data

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Compt. form Pers./Prof. Services Contracts: Rev. 02/04

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#### COUNTY OF NASSAU DEPARTMENT OF PUBLIC WORKS Inter-Departmental Memo

TO:

Office of the County Executive

Richard R. Walker, Chief Deputy County Executive

FROM:

Department of Public Works

DATE:

June 21, 2015

SUBJECT:

Approval of Contract Advisement

This Department is requesting approval of the following increase to an existing encumbrance for additional the replacement, repair and maintenance of County owned water mains and services regarding appertenances.

#### REQUIREMENTS CONTRACT MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES VARIOUS LOCATIONS, NASSAU COUNTY, NY \$80030Z

#### \$500,000,00 ENCUMBRANCE INCREASE

This is an encumbrance increase to the existing requirements contract for the maintenance and repair of water mains and appurtenances throughout Nassau County, Contract No. S80030Z. The work to be performed under this encumbrance increase includes the replacements, repair and re-routing of County owned water mains and the installation, repair and replacement of hydrants and other appurtenances as well as testing of hydrants.

Funding for these services is available in Capital Project No. 90403 and General Fund PW0120.

If you approve or disapprove of the above request, please signify below and return the memo to this office for appropriate action.

Commissioner

SSG.KGA:

Kenneth G. Amold, Assistant to Commissioner

APPROVED:

DISAPPROVED:

Richard R. Walker

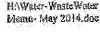
Date

Richard R. Walker

Date

Chief Deputy County Executive

Chief Denuty County Executive



#### AMENDMENT NO. 1

This AMENDMENT (this "Amendment"), made and entered as of the date on which this Amendment is last executed by the parties hereto, by and between (i) Nassau County, municipal corporation having its principal office at 1550 Franklin Ayenue, Mincola, New York 11501 (the "County"), acting on behalf of the Department of Public Works having its principal office at 1194 Prospect Ayenue, Westbury New York 11590 (the "Department"), and (ii) Bancker Construction Corp., 218 Blydenburgh Road, Islandia, New York 11749 (the "Contractor").

#### WITNESSETH:

WHEREAS, pursuant to County contract number S80030Z between the County and the Contractor, (the "Original Agreement"), the Contractor performed services including but not limited to water main repair, and such services more fully described in the Original Agreement (the services contemplated by the Original Agreement, the "Services");

WHEREAS, the term of the Original Agreement, with an allowable on year extension is November 15, 2015 ("Agreement Term");

WHEREAS, the maximum amount that the County agreed to reimburse the Contractor for Services pursuant to the terms of the Original Agreement, is ("Maximum Amount"); five hundred thousand dollars \$500,000.00

WHEREAS, the County and the Contractor desire to amend the Agreement Term and the Maximum Amount; and

NOW, THEREFORE, in consideration of the premises and mutual covenants contained in this Amendment, the parties agree as follows:

- Amended Maximum Amount, The Maximum Amount is amended to add an
  additional five hundred thousand dollars (\$500,000.00), so that the total Maximum
  Amount the County shall pay the Contractor shall not exceed one million dollars
  (\$1,000,000.00) ("Amended Maximum Amount").
- Amended Term. The Agreement Term is amended to extend the contract duration for an additional twelve months, and will terminate on November 14, 2016 ("Amended Term").
- 3. Full Force and Effect. All the terms and conditions of the Original Agreement not expressly amended by this Amendment shall remain in full force and effect and govern the relationship of the parties for the term of the amended Agreement.

REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]

IN WITNESS WHEREOF, the parties have executed this Amendment as of the date first above written.

Banker Construction Corp.

By:
Name: MICHAEL S BEYER

Title: PRESIDENT
Date: 6 22 5

NASSAU COUNTY

Name: Charles

Title: Deputy County Executive

)ate: 7///

PLEASE EXECUTE IN BLUE INK

STATE OF NEW YORK)
)ss.:
COUNTY OF NASSAU)

| On the Ba day of Jun-C in the year 2015 before me personally   |
|--|
| came Michael S. Rever to me personally known who being by medialy  |
| sworn, did depose and say that he or she resides in the County of Nassay; that   |
| he or she is the PRESIDENT OF BANCKER CONSTRUCTION CORP, the   |
| corporation described herein and which executed the above instrument; and that he or she   |
| signed his or her name thereto by authority of the board of directors of said corporation.   |
|  |
| NOTARY PUBLIC Mairean Engel  |
| MAUREENENGEL   |
| Notary Public. State of New York   |
| NO. 07 EN 4982637  |
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| he or she is a Deputy County Executive of the County of Nassau the municipal   |
| corporation described herein and which executed the above instrument; and that he or she   |
| signed his or her name thereto pursuant to Section 205 of the County Government Law of   |
| Nassau County.   |
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#### Massau County



## Department of Public Works

## Staff Summary

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| Lequirements Contract  | i — Walc              | r Mains       |                         |                      |         | December 7, 2                          |                                 |   | W/85                                   |
| )spartment:  |                       | •             |                         |                      |         | Vendor Name:                           |                                 |   |  |
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| Department French Staff  | attire                |               |                         |                      | ļ       | Ferronal Servi                         | ces Blan                        | ect scea ****** /*                                      | MEC letter                             |
| <u> </u>   | ·                     |               |                         |                      |         | THE MYNE                               | Rules Comm<br>ager Name: Mi     | li<br>Akarl Darrer                                      | TOWNS                                  |
| Project Managor Main   | el Scott              | utor '        |                         |                      |         | Contract Man                           | ager Name: Mi<br>z: 631-582-881 | idnaci teòàri.  |  |
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| furrative  | -                     |               |                         |                      |         | •                                      |                                 |   |  |
| <u>Discussion</u> :<br>Two (2) year, unit pric<br>Advortising period—t   | wo (2) v              | wecks         | ntract                  |                      |         |  | ŧ.                              |   |  |
| Bids received on Sept<br>Impact on Funding:<br>The Engineer's estim-<br>from Capital Projects                    | ember 2<br>ste for t  | bis contract  | ls \$260,6<br>Pund 012  | 59,13, Pro<br>10.    | ject f  | inding is includ                       | ed in the 2011                  | 2014 propose  | d spending plan                        |
| Bids received on Sept<br>Impact on Funding:<br>The England's section   | ember 2<br>ste for t  | bis contract  | ls \$250,6<br>Pund 012  | 39,13. Pro<br>0.     | jeet f  | hyding is igolyd                       | led in the 2011.                | 2014 propose  | d spending plan                        |
| Bids received on Sept<br>Impact on Funding:<br>The Engineer's estim<br>from Capital Projects                     | ember 2<br>ste for t  | bis contract  | ls \$260,6<br>Pund 012  | i39,13, Pro<br>i0.   | ject f  | hydlog is igchyd                       | led in the 2011.                | 2014 propose  | d spending plan                        |
| Bids received on Sept<br>Impact on Funding:<br>The Engineer's estim<br>from Capital Projects<br>Recommendation:  | ember 2<br>ste for t  | bis contract  | is \$260,6<br>Pund 012  | i59,13, Proj<br>10.  | ject f  | hoding is includ                       | led In the 2011.                | 2014 propose  | d spending plan                        |
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| Bids received on Sept<br>Impact on Funding:<br>The Engineer's estim-<br>from Capital Projects<br>Recommendation: | ember 2<br>ste for t  | bis contract  | is \$2.60,6<br>Pund 012 | 159.131. Pro<br>101. | dect f  | hyding is includ                       | ed in the 2011.                 | 2014 propose  | d spending plan                        |

RO (3d -2012

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND BANCKER CONSTRUCTION CORP.

Passed by the Rules Committee
Nassau County Legislature
By Voice Vote on 5-7-42
VOVING:
ayes 7 suyes O abstained O recased Legislators present: 7

3 M

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

["Department"] has received competitive bids for contract \$80030Z, for REQUIREMENTS CONTRACT MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY, NY

["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the Department is representing to the Rules Committee that the term of this requirements contract is two (2) years, and the estimated expenditures under this contract is \$500,000.00 and

WHEREAS, the firm of BANCKER CONSTRUCTION CORP.

["Vendor"] has submitted the lowest responsible (local) hid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the Commissioner of the Department is recommending to the Rules

Committee that the contract be awarded to the vendor; now; therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner

of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND BANCKER CONSTRUCTION CORP.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract \$80030Z, for REQUIREMENTS CONTRACT MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY, NY ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the Department is representing to the Rules Committee that the term of this requirements contract is two (2) years, and the estimated expenditures under this contract is \$500,000.00 and

WHEREAS, the firm of BANCKER CONSTRUCTION CORP.,

["Vendor"] has submitted the lowest responsible (local) bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the Commissioner of the Department is recommending to the Rules

Committee that the contract be awarded to the venitor; now; therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based

on the representations of the Department and the recommendation of the Commissioner

of the Department, authorizes the County Executive to award and execute the said

contract with the vendor.

## COUNTY OF NASSAU Inter-Departmental Memo

TO:

Office of the County Executive

Att: Rob Walker, Chief Deputy County Executive

FROM:

Department of Public Works

DATE:

December 7, 2011

SUBJECT: RECOMMENDATION OF AWARD

Contract No: S80030Z

Title:

REQUIREMENTS CONTRACT-MAINTENANCE AND REPAIR OF WATER

MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU

COUNTY, NY

Bids received on: September 27, 2011

I have examined the bids submitted for the contract mentioned above. Finding them to be in order, I recommend this contract be awarded to Bancker Construction Corp., as the lowest responsible bidder with a total comparison bid amount of \$268,402.60. In order to facilitate processing of the above referenced contract, I request that the attached "Request to Initiate" form be approved.

Shila Shah-Gaynoudina

Commissioner



## COUNTY OF NASSAU

## CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

|               | *  |
|---------------|--|
| 4 - 4         | Name of the Builty: Bancker Construction Corp.   |
| • •           | Address: 218 Blydenburgh Road  |
|               | City, State and Zip Code: Islandia, NY 11749   |
| 2.            | Entity's Vendor Identification Number: 11-1876887  |
| 3.            | Type of Business: Public Corp Partnership Joint Venture  |
|               | Ltd. Liability Co X Closely Held CorpOther (specify)   |
| of Jo<br>shee | List names and addresses of all principals; that is, all individuals serving on the Board of ctors or comparable body, all partners and limited partners, all corporate officers, all parties and Ventures, and all members and officers of limited liability companies (attach additional ts if necessary):  ohen M. Beyer, CEO - |
| Mid           | hael S. Beyer, President -   |
| Phil          | lip M. Beyer, Vice President -   |
|               |  |
|               |  |
|               | ж  |
| hel<br>Ste    | List names and addresses of all shareholders, members, or partners of the firm. If the reholder is not an individual, list the individual shareholdres/partners/members. If a Publicly di Corporation include a copy of the 10K in lieu of completing this section.  |
|               | hael S. Beyer, President -   |
|               | THE TO HERE FOR SERVICE A  |

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company.

None

- 7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence or promote a matter before Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements, or to otherwise engage in lobbying as the term is defined herein. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.
- (a) Name, title, business address and telephone number of lobbyist(s): NONE

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| Page 3 of 4  | e e e e e e e e e e e e e e e e e e e  |
|--|--|
| lescription of lobbying activities.  | ivity of each lobbyist. See page 4 of 4 for a complete   |
| NONE - N/A   | اند.<br>او المعاود عائد الوجهود مهم چاکو دها - حوود عمل حسال المفهوم با مسلم وجود عالم راويم عام المواويس و با معام  |
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| Maggair Clinty, New 1 Uth Olesch   |  |
| Nassau County, New York State);<br>None - N/A  |  |
|  |  |
| 8. VERIFICATION: This secontractor or Vendor authorized as The undersigned affirms and so switches and they are, to his/her.   | etion must be signed by a principal of the consultant, a signatory of the firm for the purpose of executing Contracts.  Years that he/she has read and understood the foregoing knowledge, true and accurate.  |
| 8. VERIFICATION: This secontractor or Vendor authorized as   | years that he/she has read and understood the foregoing knowledge, true and accurate.  Signed:   |
| 8. VERIFICATION: This secontractor or Vendor authorized as The undersigned affirms and so switches and they are, to his/her.   | years that he/she has read and understood the foregoing knowledge, true and accurate.  |

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#### Page 4 of 4:

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant. loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the torms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed,

# REQUEST TO INITIATE REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

| New York Scholans as ed sine such   | nly Coguly Exces                               | tivo for Open                     | o od fiver envits        |                          | to Will a | in Chievaline n.c.   |
|---|--|-----------------------------------|--------------------------|--------------------------|-----------|--|
|   | ] RFQ  | QRFP                              | ØR.                      | FBC                      |           |  |
| Project No. S80030Z   |  |                                   | ~ •                      | Project                  | Title:    | Requirements Contract,<br>Maintenance and Repair of Wate<br>Malns and Apputances, Various<br>Locations.  |
| Department: Public V  | vorks  |                                   |                          | Dale:                    | May 1     | 1,2011   |
| Project Manager, Sco  |  |                                   | •                        |                          |           |  |
| <u>Justification</u> : Nassau C<br>in excess of 800 valves.<br>fire protection to Count<br>Estimated Construction | Proventative<br>y owned build<br>Cost: \$500,0 | maintenar<br>Ings and r<br>100.00 | ecreational fa           | y repairs a<br>cilities. | LO CATION | ater mains, over 450 hydrants and<br>al to providing potable water and<br>UBC Due:   |
| Department Head Appr<br>CDCE/Ops Approval:  | oval: 🗹  | YES .                             | □ <sub>NO</sub>          |                          | 73<br>M   | Stephens / 12/4  |
| CDCB/Ops Approval:  | oval: 🗹  | YES                               | DNO .                    |                          |           | STEMPTONS 5/12/11 SIGNATORS 5/12/11 Proposals/Contracts are received from  |
| CDCE/Ops Approval:  PART II: To be subsected to Responding vendors.  Vendor                                       | oval:   Chier Deputy Co Quoti                  | YES YES                           | □NO ·                    |                          |           | 9X6HATDEK 5/12/11  |
| CDCE/Ops Approval:  PART II: Te be subsected to Responding vendors.  Vendor                                       | ovak S<br>Calar Doguty Co<br>Quoti             | YES YES                           | DNO ·                    | min Qualif               | a‡        | TOPOSO 12-14  roposols/Contracts are received from   |
| CDCE/Ops Approval:  PART II: Teles subsected to Responding vendors.  Vendor                                       | Oval: S  | YES YES                           | DNO ·                    | Riber Quetti             | at        | TOPOSO 12-14  TOPOSO 18-Constructor are received from  |
| CDCE/Ops Approval:  PART II: To be substitud to Responding vendors.  Vendor                                       | Oval:  | YES YES                           | □NO ·                    | Injar Quatif             |           | 31GHarvak 12 14 reserved from  |
| CDCE/Ops Approval:  PART II: To be subspicted to Responding vendor  Vendor  | Oval:  | YES YES                           | □NO  NO  star Operations | Inlar Quadin             |           | SIGNATORE 12-114.  **TOPESOIS/Contracts are received from  |
| CDCE/Ops Approval:  PART II: To be enhealted to Responding vendor  Vendor   | Oval:  | YES YES                           | DNO - PARTITION          | ghar Quadh<br>Comme      |           | granarous 12-fl.   |
| CDCE/Ops Approval:  PART II: Tebe subsected to Responding vendor  Vendor  | Oval:  | YES YES                           | DNO ·                    | Comme                    |           | TOPOSO A CONTRACTOR AND PROPERTY OF THE PROPER |
| CDCE/Ops Approval:  PART II: To be enhealted to Responding vendor  Vendor   | Oval:  | YES YES                           | DNO No                   | galar quadi              |           | SIGNATORE 12-11.   |

Name OPW BILLS . Strangery of Bid Opening

Sid Opening: 9,23/2011

\$800000. Central Contracting Maintenance and Report of Worse Mains and Appunicates

Ergineer Spot Urber

Phone (516) 571-6967

Alternate Rid 8 Bid \$268,440,60 Payment Insurance Payme Traveler Carmine Co., 50000 215 Blydenbargh Road Standa, NY 11749 A.d.dress Contractor Bursher Constructor Cosp.

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#### COUNTY OF NASSAU DEPARTMENT OF PUBLIC WORKS Inter-Departmental Memo

TO:

Division of Administration

Att: Jonathan Lesman, Management Apalyst II

FROM:

Kenneth G. Arnold, Assistant to Commissioner

DATE:

December 8, 2011

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: S80030Z

Title: Requirements Contract - Maintenance and Repair of Water Mains and

Appurtonances, Nassau County, Various Locations

Engineer's Estimate; \$260,659.13

Bids Received On: September 27, 2011

The bids received for the above-referenced contract have been examined and the bid submitted by BANCKER CONSTRUCTION CORP., in the amount of \$268,402.60 is acceptable as the lowest responsible bidder.

The low bid is within ten (10) percent of the Engineer's estimate, and adequate funds are available (Capital Project Number 90403 and Operating Budget PWGEN0120). It is requested that the attached Recommendation of Award be signed and forwarded to the County Executive for his action.

Attached, herewith, please find a completed Contract Summary form for your information and

Kenneth G. Amold

Assistant to Commissioner

KGA:JLD:cs Attachment

Rakhal D. Maitra, Deputy Commissioner O.

Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit

Scott W. Urban, Superintendent of Storm Water Basins



K: AADARD FE silver for Signature). Water Managomen Ashin Recommendation of Award \$800300. . . . . . . . . . .

Copy

# COUNTY OF NASSAU DEPARTMENT OF PUBLIC WORKS Inter-Departmental Memo

TO:

Civil Service Employees Association, Massau Local 830.

(fax 742-3801).

FROM:

Department of Public Works

(fax 571-9657)

DATE:

July 5, 2011

SUBJECT:

CSEA Notification of Proposed DPW Contract

Requirements Contract - Maintenance and Repair Water Mains and Appurtenances

Proposed Contract Number: 580030Z

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW contract/agreement is for the work which has "historically and exclusively been performed by bargaining unit members."

DPW plans to recommend a contract/agreement for the following services:

Requirements Contract for Maintenanco and Repair of Water Mains and Appurtenances at various County locations and properties.

The work involves the following:

Emergency and routine repair and maintenance of water mains and apportaneous that are beyond in-house capabilities. This contract is the only available mechanism for the County to provide the necessary maintenance services at all County-owned water mains.

- 3. An estimate of the cost is: \$500,000.00
- 4. An estimate of the construction duration is: Two (2) Year Agreement

Should you wish to propose an alternative to the proposed contract/agreement, please respond within 10 days to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.

Kenneth G. Arnold Assistant to Commissioner

#### KGA:es

Keith Cromwell, Office of Labor Relations
 Jonathan Lesman, Management Analyst II
 Scott W. Urban, Superintendent of Storm Water Bosins

We are transmitting one (1) sheet. If there were problems with this transmission, call 571-9604,



Kith Unifert Leiters for Signaeuri Water Infonsgement Shink seu detter Kater eruinus wu den

#### PROPOSAL To the County of Nassau

#### REQUIREMENTS CONTRACT MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES VARIOUS LOCATIONS NABBAU COUNTY, NEW YORK

Contract Mo. \$80030Z TO THE COUNTY OF MASSAU: TO THE COUNTY OF MASSAU:

Pursuant to and in compliance with your Advertisement for Bids and
the Instructions to Bidders relating thereto, the undersigned hereby
proposes to furnish all plant, labor, materials, supplies, equipment
and other facilities necessary or proper for or incidental to the
above Contract, as required by and in strict accordance with the plans
and specifications for the amount named in the proposal hereinafter described. In making this proposal the Bidder hereby declares that the Addenda which has been issued by the County of Nassau and has been received by him, that all provisions thereof have been complied with in preparing his bids.

| Nome of Didde               | BYNAGUCCHER  | Denot man                         |  |
|-----------------------------|--|-----------------------------------|--|
| Ridderia Addr               | (Individual, F<br>218 Blydenbuch<br>ess: Intendia, NY 44 | Firm or (Corporation) a           | s case may be)   |
| Telephone                   | (831) 682-8880   | Date: 9/27                        |  |
| FAX Tole:                   |  |                                   |  |
|                             |  | IN THE FOLLOWING BLAN             | iks :  |
| Name                        | of Partners  | Residence of Part                 | nera .   |
|                             |  | - marine management of the second | Survey Transport   |
| Married and Miller M. C. S. |  | 4                                 |  |
|                             |  | •                                 | • • •  |
| NOTE: IF BI                 | dder is a corporatio                                     | n, fill in the follow             | ING BLANKS   |
| Ozgan                       | ized under the laws                                      | of the State of: NE               | w York   |
| Name                        | of President:  | PHENN BEYER                       | \$\$\$^**\$4250\$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\  |
|                             | dent's Domicile:_  | . Appeller                        | To a draw the control of the control |
|                             |  | HAGLS BEYEN                       |  |
| · Nams                      | OF ATOR LYBRA  |                                   |  |
| Vice                        | Presis Domicile:   | 724                               | and the second s |
| Corp                        | orate Officer: \$1                                       | EPHENIM BEYER                     | Title   Treasurer  |
| Corp                        | orate Officer's Domi                                     | olle:                             | - Andrew Williams  |
| Corp                        | orate Officer: MM  | CHAEL S. BEYER                    | Title:SECRETARY  |
|                             | orate Officer's Domi                                     | cile:                             | Grane was an amount of the City of the   |
|                             |  | 32 W 347                          | Baco 1   |

Proposal DPW

#### The Following Paragraphs are Applicable to the Contract

#### THE BIDDER AFFIRMS AND DECLARES:

- 1. That the above bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
- 2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same work, and is in all respects fair and without collusion or fraud.
- 3. That said bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety or otherwise, upon any obligation to the County of Nassau.
- 4. That no officer nor employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof:
- 5. That he has carefully examined the site of the work and that, from his own investigations, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of equipment, other facilities needed for the performance of the work, the general and local conditions, and all other items which may, in any way, affect the work or its performance.
- 6. The bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
- 7. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Citles Glea Cove or Long Beach, the contractor shall be required to have such a license.

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Proposal DPW

Page 2

#### INFORMATION FOR BIDDERS

- I. Rejection of Bids.
  - A. The Commissioner may recommend a reject of bid if:
    - I. The Bidder fails to furnish any of the information required by the bid documents; or if
    - 2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
    - 3. The bid does not strictly conform to law or the requirements
    - of this contract, of if 4. The bid is conditional, or if 5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent
    - (25%); or if 6. A determination that the bidder is not responsible is made in accordance with law.
  - E. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of hids. The quantities actually required to complete the contract work may be more or less than estimated.

Lump Sum Contracts, Comparison of Bids. III.

> Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

Apprenticeship Training Program IV.

> For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

> > 39 of 347 -

Page 3

CONTRACT NO. SERBER

| - transcen   | - Activities of the Party of th |   | Intraction  | k:  | 7   |            |  |                |
|--|--|---|---|---|---|------------|--|----------------|
| CENTS  |  | 1   |   | . فقريان  |   |            | Q  | 8              |
| BED AMOUNT BED PACTOR DOLLARS                      |  | 7600  | 8,800   | 13.98   | 7   |            |  |                |
| E E  | 50   | 13.   | . 2001  | ş   | 2   | 2          | 'n   | 7              |
| Centralisas<br>Ans fastes                          |  | ŧ   |   | 8   | [c]   |            |  |                |
| TENET<br>DOLLARS                                   | 8  | 3   | 88  | 2   |   | J.         | M  | m              |
| MEASUREMENTS TIEMS WITH UMT PRICE WRITTEN IN WORDS | Unableating Exerction FOR Land Dollars   | Transh, Cuives & Midgle Beermins. FOR. 5 / VLJ. FOR LANDS | Treach, Celvert & Bridge Bremation<br>FOR Eight of Eight<br>FOLLARS | Thanch, Culvers & Bridge Proposition For S CWATH Elegat 9- 19-19-19-19-19-19-19-19-19-19-19-19-19-1 | Cenient Courses Breating - Pirement<br>FOR FOO C<br>DOLLASS | ng - Stand | Transfer of the state of the st | Borrow Em      |
| MEASTREMENTS                                       | Ž,   | ੂਰ<br>ਦ   |   | 19  | 1.5%  | 3-1        | 20   | b              |
| TEM NO.  | 342<br> Ref.   | 3,4%<br>0-10 C23  | 38X<br>01-25 CO   | 3G<br>25G7 &<br>3763,   | 7   | Ð          | 4  | e <del>r</del> |

CONTRACT NO. S80030Z

| 2   |                               |            | 1  | 18   | 81         |   | 1       |         |       |
|---|-------------------------------|------------|--|--|------------|---|---------|---------|-------|
| BED AMOUNTED EACTOR DOLLARS                           | 87                            | 3          | The same of the sa | <b>O</b> :   | 10         | R |         | 00      |       |
| BACTOR  | r).                           | ಣ          | 3  | 2  | , s, s, s, | Ş | ¥1<br>G | 2       |       |
| PICE<br>TENTS   |                               | 1          |  |  |            |   | 1       |         |       |
| DOCTARS SENT  | Mary and American             | <u>"</u> " | 77   |  |            | 7 | Q       | b       |       |
| ARASIDERATINE LITEMS WITH THAT PRICE WRITTEN IN WORDS | Saider Maria Six Asson Carris | 7          | Traveling<br>FOR TLAC  | The state of the s | -          |   | Bracing |         |       |
| SINE VALUE YEAR                                       | 21                            | , C. J.    |  | \$1<br>49  | 5          | 5 | 31      | 100     | , To  |
| DEMNO   | 8                             |            | 10   |  | - T        | Œ | 10%     | 10.Peri | 70000 |

CONTRACT NO. SEGGSGZ

| Part (-17)   | ·  | Tarina and the same of the sam |   |  | p.  |  |   |                     |                                 |
|--|--|--|---|--|---|--|---|---------------------|---------------------------------|
| E E  |  | SI   | ्व  |  |   | ***************************************        | 29  |                     |                                 |
| ND AMOUNT BID FACTOR DOCUMES                                   | and the second s |  |   |  | 8   | ***************************************        |   | 43                  |                                 |
| E STORE  | 65   | V.   | 23  | ā.   | ٥   | 4  | 58  | 20                  | and disconnection of the second |
| UNITED STEE  |  |  |   |  |   |  |   |                     |                                 |
| DOLY ARS (CENTS  | N  | W  | 94  | 4  | 0,  | 6  | m   | 8                   | -                               |
| IJEM NO.   MEASUREMENTS TIEMS WITH UNIT PARCE WAITTEN IN WORDS | Tumporary Sheering & Breating ordered left in pieco<br>FOR TLOYS CHARS   | Periposet Correspited Metal Washing and Bracket POR Three Carries POLIARS CARRES   | Feminant Steel Closer Films & Bracing FOR. N. 1. 11 & CRNTS CRNTS | FOR 1 to O  FOR 1 to O  FOR 1 to O  FOR 1 to O | Chart A Concrete for Stranding FOR F: Life Course Different | POR Text of Text Cents  FOR Text of Text Cents | Linescon Sessings SOS, 7 DALLARS SOS SALIDE | oncets (I. P        |                                 |
| MEASUREMENTS   |  | H  | ISF   | E C  | 70.   | ដ  | ğ   | 1 Tor               | -                               |
| TEM NO.  | <b>9</b> 91  |  | 2   | 18C3   | Ľ→<br>x•£   | 8  | # T   | 22C-4<br>(0-25 Tan) |                                 |

i-

CONTRACT NO. \$20050Z

| According to the second                             | The second secon |         | CHARLES OF A DESCRIPTION OF STREET, ST |                                     | THE SERVICE OF THE PARTY OF THE | CONTRACTOR | -   |  | F  |
|---|--|---------|--|-------------------------------------|--|---|---|--|--|
| 8188  | ļ  | اة      | 1  | ļ                                   | 8  | 300   |   | 000  |  |
| BED ADMOUNTBED FACTOR DOLLARS                       | B  | 0       | 250  | 8.                                  | 937  | 9   |   | 0  |  |
| BENCHOR   | Ð  |         | (r)  | ers                                 | ¥1   | ņ   | \$0   | 3  |  |
| UNITERICE<br>ADS CENTS                              | -  | ō       | Į.   | :   **                              | . 00   | 12  |   |  |  |
| UNITERICE<br>DOLLARS CENTS                          | 3  | 0       | 50   | 90                                  | Ğ  | /ক  | Q   |  |  |
| MEASUREMENTS (LIEMS WITH UMT PRICE WRITTEN IN WORDS | Buss Covern Assilash Concrete (Type 1A, 45 SM)  FOR FORTA  | Table A | 200  | CORRUGE CORP. Special POR [ Dir F-/ | Constitute Statements  FOR TEXT VE & FILL CONTS  FOR THE STATE OF THE  | thray and Der   | Model Robitecoment for Concrete Personent  FOR TELS E  DOLLARS  CENTS | Mustates   |  |
| MEASURENCENTS                                       | ED . E   | 8       | ដ  | Fa.                                 | 57.  | A S   | 100<br>100<br>100   | Line and the state of the state | The state of the s |
| IDM NO.   | 20-38<br>26-30 Ton)  | 8       | 77   | 288                                 | 23   | *   | 36  | 32   | AAAAAAA  |

CONTRACT NO. SEDES

| ***************************************             |   | -                                  | r   |                 |     |        |           |      |
|---|---|------------------------------------|---|-----------------|-----|--------|-----------|------|
| 2646  |   |                                    | 81  | 181             | -   |        |           |      |
| NACTOR ANDUNE 300                                   | 5                                       | 10                                 |   | 7               |     | 450    | h         | R    |
| PACTOR  | 0.5                                     | 8                                  | 50  | 25              | 20  | 15     | . 0       | r;   |
| UNITARICE<br>ARS (CENTR                             |   |                                    |   |                 |     |        | 1         | 1    |
| TOTA SES  | 8                                       | ψ,<br>0                            | a   | a.              | U   | 8      | 2         |      |
| Measurements fitzas with inulterice whiten in words | Asplati Control Speciel FOR 1 h 1 - 1 ( | Aspheli Commeta Special  SOR   Wir | Saw Couring Existing Controls FOR 10 in 2 DOLLANS CORTS | (sphair         |     |        |           |      |
| MEASUREMEN  | Ton                                     | 13.0                               | F-1   | fr.<br>Me       | 187 | I Day  | \$4<br>62 | TS I |
| FIEWNO  | 368.4<br>(0.23 Tag)                     | 365-3<br>(26-20 Ton)               | để<br>Tra   | \$5<br>63<br>70 | D.  | 7.02-2 | 000       |      |

CONTRACT NO. S30030Z

|                                       |                                 |                               |   | 4  |  |                                 |   |                           |  |
|---------------------------------------|---------------------------------|-------------------------------|---|--|--|---------------------------------|---|---------------------------|--|
| B 133                                 |                                 |                               | 8   |  | 2  | ]                               |   | 18                        |  |
| BID AMOUNTAID<br>PACTOR DOLLARS       | 9                               |                               | 85  |  | 100  |                                 | 17,500  | 70                        |  |
| BID<br>FACTOR                         | ä                               | 3                             |   | 3  | 60<br>1-1  | 3                               | 12%   | 135                       |  |
| PARCE                                 |                                 |                               | 8   |  | - 3  | ١                               | 1   |                           |  |
| UNITARICE<br>DOILARS (CENT)           | 8                               | <i>Q</i>                      | 19061   | <b>.</b>   | 12,000   | *** <b>-</b> ***                | 740   | 63                        |  |
| HEWS WITH INIT PROCE WRITTEN IN WOLDS | The Holds FOR The DOLLARS CENTS | Tompoint Pavented E19ht cents | Porte Aexqua Work FOR 1 & A Thousage od Chartes DOLLARS CHARE | Doubsend Dense Graded Aggregate FOR FOOT COOLARS CENTS | Bookilooning IVK Thousand ——— FOR TLURE IVK THOUSAND | Stail Beach Flex<br>FOR 1- 10 ( | Remarkat & Replacement of Asphair Pervenent and/or Asphair Sections Concess Pavement.  FOR On C. Houndred For House | *Pefor 10)                |  |
| MEASUREMENTS                          | Ä                               | N.                            | 100   | 102  | :50)<br>:E4  | Ę.                              | ped<br>CS   | 7. L. T.                  | A Marie Aspertantial Aspertanti |
| DEM NO.                               | 306                             | <i>1</i> 0\$                  | 200   | ***  |  | ZZ                              | 320   | Tam 500-5-A<br>(tr - 100) |  |

# CONTRACT NO. S80030Z

| a longer   |  | 0,   |  | 3   | ŀ   | 21   | 18  |  |   |
|--|--|--|--|---|---|--|---|--|---|
| AMOUNTBED  | FACTOR DOE: ARS  |  |  |   | <u> </u>  |  | 2   | 800  | 125   |
| Old<br>Old<br>Old<br>Old<br>Old<br>Old<br>Old<br>Old<br>Old<br>Old | FACTOR   | 20   | 2  | ğ   | 8   | 0.25   | 0.25  | 83   | 550   |
| CINTOPRICE   | CENTE  | 1  |  | 8   |   |  |   |  |   |
| CIND   | DOLLARS CENTS  | R  |  | m<br>m  |   | w.   | 3   | w.   | 88  |
| MEASTREMENTS TITMAS WITH UNIT PRICE WRITTEN IN WORDS               | THE PROPERTY OF THE PROPERTY O | Abanish & Install & Inch Diemone Right Decisity Polyachylano Pipa (11 & ovaz) FOR Th) 1 + 4 DOLLARS  DOLLARS | Propriet & Install & Mark Distracte High.  Density Polyshylmo Pine (V-10)  FOR 2   X 44   FOO V  DOLIANS | Premies & Instal & Inch Dismeter High  Doubly Polyethylme Pipe (11' & over)  FOR T Pricty  Oct. Also  Doit.Also  Convis | Purelisk & Install 10-dua Diemotae High<br>Dentify Folgerighten fibe (0'-10)<br>FOR 5) 4 4 ( -010 ( | Funish & Lucali 10-fust Discusses High<br>Density Polyediylane Pipe (11" & 1974)<br>POR "This L. Ld. On A. | Penish & Lend II- nos Denote High Denote Boyels fine Pipe (P-10) FOR SIX+Y FIVE FOR SIXHY | Parties & Battill 12-inch Diameter High Demoiry Roberthiane Princill' & over) FOR Thirty (Tell Committees) | Nation & Long Electrolles Comples for O Diemeter<br>High Description Price<br>FOR 134 & HU Ad red |
| MEASTREMENTS   |  | Fig.   | III<br>put<br>rr   | . [22]<br>Puil<br>Pri-1   | proof   | 111  | A T   | tool,<br>per-  |   |
| TEM340   |  | lean 600-6-B<br>(II' & over)   | (4-10)   | Tem 670.8-13<br>(11/2 over)   | Then 600-10-4.  | iven 600-19-13<br>(11'& aved)  | Trens 600-12-4<br>(0v-10v)  | (11° & 07°c.)  | The College   |

CONTRACT NO. S80030Z

| TEREINO,   | MEASUREMENTS                             | MEASOREMENTS ITEMS WITH INIT PRICE WITTEN IN WORDS  | INITERIOE | E SE     | BED<br>EXCTOR | BID AMOUNTBID | CENTS       |
|--|--|---|-----------|----------|---------------|---------------|-------------|
| Kern 600ck-8C  | LES                                      | Parties & Install Electrofites Coupling for 8" Decress High Decress Folgeforders Pape 10X F. M. Hunder L.   | 20.00     |          | ₹3.           | 127 50        | 8           |
| Fem 600 A - 10C  | <b>#</b>                                 | Parish & Extal Bearofes Couping for 10" Dismoter<br>High Desity Polyothyten Pipe<br>For Five Hondred Tear of Fix<br>Donlars                               | Seg       | 1        | 0.25          |               | 8           |
| Trem SOUA-12C  | 点  | Principle & Eventil Hoseroffuse Coughing for 12" Demostra<br>From Dimity Polyudrylene Phys.<br>POR 5 12 HVA dr. of Thirty of 11/12.<br>Delians            | 3         | - [      | <b>2</b> 7    | 8             | 18          |
| 25 THE EAST OF THE PERSON AND THE PE | P. P | Parties & Brail Beatrolles T. Pitting for Man<br>Density Polychishme Prop. 8" x 8" 2 6" Discourse<br>FOR TOU C. Hunder A. St. Mall F. M.                  | 2         | <b>\</b> | 23            |               | <i>[</i> 2] |
| Rem 600A-1ZT   | 91<br>22<br>244                          | Permiss & Invent Blockeries of Frating to the<br>Dearly Potychylars Phys 12" ± 12" x 4" Dearles<br>PORO) x H v red c.4 Fr c.44<br>Donaless Cales          | OH9       | 1        | 윉             | 3             |             |
| Team 601.04-618.   | Å  | Parahal & Brain Hostavitus Reducer Fating for High<br>Design Pocyategitus Phys 8" x 6" Diseasote<br>FOR The LEC TO ACCE TALLTY<br>PORTARS                 | 320       |          | ř.<br>O       | 8             |             |
| Men 6004-109.  | J.                                       | Domish & hand Blethvines Roinest Fitting for High<br>Doming Polynthings Fins 10" x 8" Dismeter<br>FOR F. V. C. H.V. A.S. C. A. T. L. L. P. V.<br>DOLL ARS | 540       |          | 3.            | 8             |             |
| Tem (2016-123.   | **************************************   | Parallel & Install Electrolius Reduces Fritchy for High<br>Descript Jelyschylogo Pho 12" x 10" Pounda<br>FOR F. v. & Prondered High                       | 350       |          | 025           | 137 50        | 10          |
|  |  |   | - A-A     |          |               |               |             |

CONTRACT NO. SRO0302

| <br>   | ì  |                      | • •               |   |  |                        | х                   |                          |
|--|--|----------------------|-------------------|---|--|------------------------|---------------------|--------------------------|
|  |  | 18                   |                   |   |  |                        |                     |                          |
| AMOUNT BID   |  | C                    | 2,720             | 889   | 2,700  | 305                    | 080'1               | 305                      |
| THE COLOR  | 173.   | ä                    | R                 | 57  | n  | 70<br>P-M              | 9                   | G.                       |
| CINET PRICE  |  |                      |                   |   |  | क्ष                    |                     | 18                       |
| SEPT CONT.   | 60   | 8                    | 20                | 6.  | 2  | 81                     | . 8                 | 50 50                    |
| Meandreadhtr (theas with Unit Price Written in Words | FOR Cighty Fighty FOR Cighty Cighty Destates | Panisa 8             | Semistra<br>124 E | 6° De Durile Brandar, Burnsta & Essen<br>FOR 4.44<br>DOMers | FOR On & Hundred Franklas Install FOR On & Hundred Eight | 동아                     | THE WAR             | 4                        |
| MEASURENES   |  | ing<br>Berg<br>No. 1 | E S               | lder<br>Swit<br>smit  | j.   | 314<br>****            | 47                  | Cay                      |
| TARWING.   | 602-4-A<br>(91-10')                          | 601-43<br>(11-2-ow-) | 61.6              | 601-5-3<br>(11) # 073)                                      | der to   | 601-2-3<br>(11:2-cm-1) | 503-10-A.<br>(G-10) | 601-10-B<br>(A1' & over) |

# CONTRACT NO. S60030Z

|   | · · · · · · · · · · · · · · · · · · ·                                     | · · · · · · · · · · · · · · · · · · · | JAMESTON CHAPTE.  |                | The second se |                          |   | · · · · · · · · · · · · · · · · · · · |  |
|---|---|---------------------------------------|---|----------------|---|--------------------------|---|---------------------------------------|--|
| CENTS   |   | 120                                   |   | 100            | غ<br>-  | 181                      |   |                                       |  |
| BUD AMOUNT BIO                                      | Zec   | 77                                    | R   | 39 80          | 373   | 6                        | 35  | 0                                     | `  |
| BID<br>FACTOR                                       | ĝija .  | 75                                    | P   | 3              | ķri;  | 3                        | 50  | 20                                    |  |
| TRUT PROCE  | j.  | <u>دا</u>                             |   | 100            |   | 1                        |   |                                       | 33445  |
| DOLLATS CENTS                                       | 8   | 95 29                                 | 4   | 83             | IAH   | 67                       | 20  | 20                                    | Transfer of the second  |
| MEASUREMENTS ITEMS WILE UNIT PRICE WRITTEN IN WORDS | 12. Die Deetle from Piese, Franke, & Instell<br>POR DA A Hand Cel Talkani | Service<br>194 %                      | 16" De Duetle marking Francis & Install<br>FOR. One Hyn Aced Tosephy These<br>POSITES | TAN<br>TAN     |   |                          | Paradic & February Land District RVC Pipe & February RVC Pipe & February RVC Pipe & Foot Line Line Land Ann Cents | b Diamoter 2                          | -  |
| NEASTREMENTS  | \$  | FL T                                  | 74.<br>9-1  |                | J. T. S.  | f in                     | Page 1  | 14.<br>1-1                            | The second secon |
| MEM'NO.   | 4-51-193<br>(01-10)   | 601-12-8<br>(11" & orm)               | 601-16.4  | (11, 40 of 11) | 601.28-4<br>(0-10)  | 601-24-B<br>(1)' & 67-to | 602-44<br>(P-107  | (=00 & 111)<br>67-209                 |  |

CONTRACT NO. 5800362

|   | CENTR  |   |  | l a l  | 1   |  |   |  |  |
|---|--|---|--|--|---|--|---|--|--|
|   | Ü  |   |  | 8  | 181   | 18   | 00  | 12   | 181  |
| AMENINT BID   | PACTOR DOLLARS   | 38  | 5  | 35   | 5:  | B  | 15  | 8  | 16   |
| OE I  | 7ACTOR   | 9   | 19   | F.C  | 1   | 2  | 3   | 2  | 55   |
| UNITEDATOR  | 8  | 1   |  |  |   | 1  |   | 4  |  |
| THE STATE OF  | DOLLARS CERTS  | 70  | 200  |  | m   | -  | ā   |  | 5  |
| Measurements inems with only frice written in words | September 1997 Septem | Philips  Post A 4 n 4 Cents  Cost A 2 n 4 Cents | h Discontes P  | Premist & metall 8-lead Dismater PVC Pipe & Frithings POR Sever   On e | Frright & Restall Struck Dismocar PVC Pipe & Frithese Frithese Frithese Editor Frithese Frithese Editor Frithese Fritain Frithese Fritain Frithese | Forming & Install 10-tects Diameter PVC Pipo & Finding Co. S. C. | Formish & Kashell 10-inch Diamske FYC Figure & Fiftings FOR This -4-4 On -2 FOR TANKS FOR THE FORTAKE | Firmles & moral 12-facts Diseases FVC Pros & Stimes. FOR DESCRIPTION ON CONTS. DOLLARS CENTS | Furnish & Descrit 12-inoli Dismeter PVC Phys & Fifthers FOR Interior V. On L. Contra   |
| MEASUREMENT   |  | 11.   | Step<br>Jung<br>Jung<br>Jung<br>Jung<br>Jung<br>Jung<br>Jung<br>Jung | <u> </u>   | ATT.  | ing<br>in-   |   | TT T   | The state of the s |
| TEM NO.   |  | 602-63  | 642-6B<br>(11'& over)  | (0-1-0)  | (11'#eve)   | (07-40.4<br>(0-10.5  | (02-10B   | \$02.72A<br>(0.10)   | 602-123<br>(11" & over)  |

CONTRACT NO. SSU039Z

|   |   |               |   |   | <del></del>   |   |           | жания жана жана жана жана жана жана жана жан                      |  |
|---|---|---------------|---|---|---|---|-----------|---|--|
| GENTS   | 81  |               |   | 1   | 6   |   | 1         |   |  |
| RED AMOUNT BED PACTOR DOLLARS                         | 2,77.6                                      | 1,185         | 0,000   | 3,66  | 307 50  | 380   | 375       | ar.   |  |
| PACTOR  | 87  | P             | <b>v</b> 3  | <b>V3</b> . r   | 55  | 53  | \$        | \$ \$   |  |
| PRICE   | 2   |               | 1   |   | \   |   | 1         |   | ışå°   |
| DOLLARS CENT  |   | 8             | 83  | ٥<br>3  | Co.   | 3   | 8         | 800   |  |
| MRASIREMENTS ILIMOS WITH UNIT PRICE WRITTEN IN WORDS. | Caritan Ethins FOR In pro C O Mineral Civil | Purmish and P | 6"Dia Velve & Box Parties and Descell<br>BOR 5 1 4 HUALVEL<br>DOLLARS | STILL YOUR & Box Prouted and lastell SCHOOL & HIDACK YOUR CONTY | 10° Die Valve & Boz, Franch and Ingell<br>1928 S) X. Hun dried, Livinga<br>Politaks | 120 Din Valve & Box, Parnity and Respill 1803: 2-54 & N + VA A 2.24 | mana e la | 24 Dia Valve & Boz, Purios & Impul.<br>20% () Qh-f- f-v Ad C & d. |  |
| MEASUPEMENTS  | 1.13  | 48            | ic)   |   | The Treat   | ES.   | H.        | 3.<br>10<br>10  | The second secon |
| TEM NO.   | 돯   | 7-969         | 604-6   | £104-8  | 624-10  | 27703   | 865-15    | 205-20  | - Martin   |

CONTRACT NO. \$80030Z

|  | STA   | 81  |  |  |  | 1   |   |   |   |
|--|---|---|--|--|--|---|---|---|---|
| į  | BID AMOUNT BED PACTOR DOLLARS                   | 517 80  | 10   | 99   | 22   | 31,000  | 200   | 22  | 2   |
|  | AND AND   | ę.  | 10   | h  | <b>4</b> 71  | Ê   | ln e  | 53  | Ŋ   |
| [m]  | UNITERICE<br>ARS CENTS                          | 12  |  |  |  | <b>\</b>  | f   |   |   |
|  | DOLLARS ICENTS                                  |   | 3  | 8  | 3  | 7   | 0   | 001   | 20%   |
| The second secon | Michael Minges with Crit Price willten in words | Threeded Street Threet Rods and Apprinterments 1908. 3 7 4 0- 10 inch by trails 1908. 2 1 4 0- 10 inch by trails 1908. 2 1 4 0- 10 inch by trails | Charge Connects for Congress Threst Blocking & Connects Piers  FOR One Thin Aria Forty  DOILIES  CENTS | Coffing & Connecting to Existing Astronos Connections Wester Methods to Various Silves  FOR 12 4 1 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 | Cutting & Competing to Existing Poor-Virgi Charies Water Makes of Various Sires FOR   hit hy   f - i   f | Cumbra de Commercina en Exercía y Cast Irea en Discrite<br>Irea Prima<br>1908. Tiese Al Undrada Terra Caratral<br>DOLLARE | Otting & Companies in Basalus HOFE Nave<br>RON シラメゾ<br>DOMARS | Supply & Install Top Section of Valve Box & Cover FOX On & Lind of Colors | Super & meant Bottom Section of Valve Box.  FOR This Emiliar  DOILARS CHAIN |
| Transaction of the second  | MEENURMUMIT                                     | 4   | 20   |  | i d  |   | in in   | ਵੱ<br>ਹ<br>ਜ  |   |
| **************************************   |   | \$  | E.   | -509<br>-  |  | <b>5</b>  | 7   | \$-11G  | \$0.<br>1.1.<br>2.0   |

CONTRACT-NO. \$80030Z

| CENTS                                  | (   |             |  | ,OI     |                                       |   | 1   |            |             |
|--|---|-------------|--|---------|---------------------------------------|---|---|------------|-------------|
| BID AMOUNT BID FACTOR DOLLARS CE       | 9   | 2           | 8  | 37 50   | 90%                                   | 689   | 36,250  | 4,250      |             |
| EACTOR                                 |   | ä           | ų  | *)      | 2                                     | 113   |   | O TO       |             |
| ONTINECE<br>ARS (CENTS                 | 1   | ١           | 1 2  | 1       |                                       |   |   |            | <del></del> |
| CARTIFICE<br>DOLLARS CENTS             | 90  | 3           | 70   | 8       | Q<br>S                                | 8   | 100 m   | 25         |             |
| ITEMS WITH UNIT PRICE WRITTEN IN WORDS | Supply and Lestell Equation Statism of Valve Box SOR AND AND SA | F Bottom St | rades Buisting Valve Hauth Proper Cludes FOR 5 4.4.8.01-6/ | 100 Val | 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | Varia Penting Repair FOR TAJID HUNDICA CIRTIS | Funish end install Complote Externs they<br>FURTH FILE MOSSON of Few Changlas Filly | a Bardon o |             |
| MEASTREMENTS                           | 727   | A           | 414  | 517     | Z ZZ Z                                | ugh<br>Not                                    |   | Total      |             |
| IIIIX NG.                              | 97,0  | QII.D       | 612.A  | N N     | 612.0                                 | 9   | ## ## ## ## ## ## ## ## ## ## ## ## ##  | 51A-G      |             |

67 of 247

CONTRACT NO. 8800302

| CENTS  |   |  | <u> </u>   |  | - 1   | 00 LH1  | 8   | 121   |
|--|---|--|--|--|---|---|---|---|
| ALADONAT BATA  | 37.5  | 376  | 008'9  | 2000   | 285   | 241   | 152   | 157 50  |
| Barage Appropriate to the second seco | ŋ   | 23   | 0  |  | -j¢   | 50  | \$20  | 9   |
| TANK TENDE   |   | 1  | isonifet de la della constanta   |  | 1   | Thomas dept depterates -  |   | ļ   |
| DOLLARS CENTS  | 8   | 750  | 929  | 200  | 280   | 200   | 100   | 10<br>10  |
| MEASTREMENTS ITTEME WITH UNIT PRICE WRITTEN IN WORDS   | Redouts Engling Philades FOR Service Houses | Relaces Existing Expans FOR 54 VAN HOODING CERTS | Repeir artipper Product Assembly FOR SIX LAND ARM TIMEN LY  FOR SIX LAND ARMS  CENTS | Soughly and lessing of Longol, Exchang Riscs Assy<br>Property on Palacker of School of the Sough | Sorphy and humph 12" Longe Bydron Keen Asy<br>20%, [W.10. Hin about Light Hill<br>100 Lines | Supply and finanti 18" Loogh Flythmas December<br>2006 150,20 Hon de ed Noord Fry C | Supply and Install 24" Lough Hydraus Prises Assy<br>FOR Three Hundered Five | Styrick and install 30" Leagth Sydness Rises, 2555<br>ROSE Three- 142 Actived 12 1247 |
| MEASUMEACONIC  | ₹<br>#                                      | 1  | <b>४</b><br>ब्रो   | I E.   |   | i i   | ज<br>च<br>।   | र्च<br>इ.स.   |
| TEMNO.   | 4   | E-7:5  | ¥-516  | 6159-6   | C1. H2.00   | 6   | \$2 <del>-2</del> 5.6   | 6158-90   |

CONTRACT NO. SEDUSEZ

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| GE ATS   | 200   |  | }  |  | \          |                           | 1  |   |
| BECTOR POLLARS                                     | 168   | 5,250  | 9,500  | 666,16   | 8997       | 228                       | 100  | 20  |
| TACTOR.  | 50  | S  | ล  | 2005   | <b>F</b>   | ค                         | S  | 9.5   |
| UNITE PRICE ARS CENTS                              | 1   |  |  |  |            |                           | 1  | 1   |
| DOLLARS  | 2   | B  | 3  | 10   | \$         | 99                        | 160  | 8   |
| MEASUREMENTS ITEAS WITH THE PRICE WRITTEN IN WORDS | Supply & Ensul 36 Langa Epithus Risas Aspy<br>ROB Th C.C.L. In 12 deced Talenty In V.C. | Registrativalent Visto & Dram Assembly FOR Five Hunder & Translet Five DORLARS | Super & Rent Everer Breat Mare  50% First Hundred Severation re- | ENGONE PRINTING & Basic Mediterance of Extracts. | White Main | Principg Rydent Fig       | Sampling Tep & Composition FOR On & HUNGARA Deflares | Emajganov Repair Chlotharina of Water Mains & Apparamenes: POB OH C HOndred CENTS DOLLARS |
| MEASUREMENTS                                       | ពីរ   | ži<br>H  | TA P   | 1  | ¥E.        | स<br>स                    | Ą  | 73.1  |
| HEM NO.  | £143-36   | 5  | \$25   | 200  | 978        | (22)                      | 523  | Ü   |

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|---------------------------------------|-----------------------|----|----------|-------------------|---|---|---|---|
| SID LAWOUNT BID<br>FACTOR DOLLARS     | 756                   | 25 | 0        | Ó                 | 0   | 0   | 0   |   |
| CEST SELECTION                        | 'n                    |    | 50       | 3                 | <b>1</b>  | 45  | ų,  | ir.   |
| TIMIT PRICE<br>ARS CENTS              | - <b>1</b>            |    | <u>5</u> | al ·              | ठ   | 15  | व   | व   |
| TANTARICE<br>DOLLARS CENTS            | 100/                  | 8  |          | 0                 |   | 0   | 0   |   |
| MENTS WITH THIS PRICE WRITTENIN WORDS | FOR The Handred Filly |    |          | ,                 | 1.14° Fall Reduced Pressure Zone Backflow Prevention Device Mode a Bushing FOR (1) (1)  DOLLARS CENTS | 1 12th Pall Reduped Pressure Zens Bendriow Prevention, Despirable de a Bending  POR N C U On C CENTS  CENTS | 2" FEEL Received Pressure Zone Benefilow Presenting Device Inside a Building FOR NO W Dirt Cent | 2 1/2 'Fel. Redard Pressure Zene Backlow Prevention Design inside a Belleke |
| MPASUREMENTS                          | 1.1<br>(1.1<br>(1.1)  | Ä  | 14<br>   | 166<br>166<br>164 | <u> </u>  | 1 = A   | 7 (F)   | ****  |
| LIEM/NG.                              |                       | Å. | 626-374  |                   | Ø5-114  | 626-1 LR  | 27.72   | ZN 2929   |

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|                      | PACTOR   | ኳ   | ्र           | ¥)  | <b>tr</b> ↑↑<br>Essy | ġ                                       | 3   | <b>1</b> 7   | ۲)  |
|                      | STATES<br>CENTS                                      | 75  | ि            | ا<br>اه   | 15                   | 15                                      | 15  | 16   | 10  |
|                      | DOLLARS  |   | S            | 0   | 0.                   | 0                                       | ٥   | 0  | 0   |
| CONTRACT NO. SEDERAZ | MEASUREMENTS THEMS WITH THUR OFFICE WRITTEN IN WORDS | 3º Köll Referent Freezon Zone Beckliow Persention Despos Desire & Bolisha S  BOR ALO Desire at the Second Sec | TE Zone Bach | 6 1921 Radinord France Lone Buckflow Provention, Designe Judice a Duality On Cal hat POR NO 4 On Cal hat Refer to the Cal hat | 72 50 1              | r Vaire Black                           | 1. FRI Dombis Check Valvo Bocallow Frescuitos Dosdo Festico a Brilding FOR VO TOTA RS CENTS TOTA RS | 1 1/2 Fee Double Chaols Valve Backflow Provention Donne Inside a Building FOR NO 4 ON CARA DORLARS CENTS | Sor Fall Depths Cast Valve Backhow Prevention  Device Deales a Studietic  FOR NO 4 Can't  DOILARS CENTS |
|                      | NEAN SURENCENTS                                      | ij  |              | i i   | ¥ EL                 | al<br>p)                                | AC SA   | #H   | 124   |
|                      | HEM NO.  | £-928   | 7-827        | 5.56.5  | *42                  | *************************************** | 7-23  | 2.0  |   |

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|  | BED AMOUNTBID                                      |  | 5   | 0   |                                       |  | ζ,                       |                  | )             |  | ō<br>V                       | O       | j                  |   |                                 |                   | )   |        | 1   | )<br>}          |
|  |  | 9.5  |   |   | 3                                     |  |                          | 'n               |               |  | <b>1</b>                     | νη<br>Θ | 4                  |   |                                 | 5                 | •   |        | in. | •               |
| -thr   | Court Price  | The state of the s | ėmosti:                                     | F   |                                       |  | Ç                        | 3                |               |  | Č                            | )       |                    |   | Š                               | 1                 |     |        | ļ   |                 |
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| The second secon | LEWING. AND CONTRACT OF THE OWNER WHITEN IN WORDS. | 2" Feel Domine Check Valve Bealthow Howarston Userjee Institute FOR NO 9- 0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-   | D011425 (TRU)                               | 5-182 Dorble Check Valve Beil-Row Freschiem<br>Derfor Ingelo a Building | S                                     | 4" PALI Double Clear Velve Buckelow Programation | Derine Ingide a Building | THE TAIL OF CASH | DOLLARS CENTS | 5º Féb I Double Check Velve Backfires Prevention | Devited species a Rechiffing | ではいった。  | i politars : Cenos | 2" FOL Deable Chark Vaive Backflow Progration | Denies Indido e Berilding       | FOR NO & OUR CENT | : 1 | A B AP |     | DOLLARS CENTS L |
| To other A Circ Table of the Control   | ASSESS ON FIGURIALS                                | र्व<br>इंड   | A(6)(1)(1)(1)(1)(1)(1)(1)(1)(1)(1)(1)(1)(1) | ****  |                                       |  | LEA                      |                  | ,             |  |                              |         |                    |   | ना (क्षा)<br>इस्त्री<br>इस्त्री | <del> 731.</del>  |     |        |     | <b>X</b>        |
| TICLENIO   | COLUMN TO A  | 27.2 12  |   | 6273  | · · · · · · · · · · · · · · · · · · · |  | 4.720                    | · ·              |               | 9-623  |                              |         | 627-8              |   |                                 |                   |     | ğ      |     |                 |

TOTAL OR GROSS RID MUST BE WRITTEN IN WORDS

To Hondred Sive Gight Thomas For think st.

45/47/ CENTS/

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PROPOSAL: For all work in accordance with the drawings and specifications:

#### BANCKER CONSTRUCTION GORP

| (Ind            | lwidual, Firm on Corp | oration) as | case may be)   |
|-----------------|-----------------------|-------------|--|
| Individual's So | cial Security Number  |             | 2.7  |
| Pirm or Corpore | tion's Federal ID Nu  | nber        | 11-1876887   |
| •               | tion's Municipal Lic  |             | er   |
| Municipal Dice  | naing Agency          | -           | Actual September 19 |
| By:             | 334                   | Date:       | 7/27/11  |
| IPrint)         | STEPHEN M. ÉEYER      | Title:      | PRESIDENT  |

WHERE BIDDER IS A CORPORATION, A

Secretary

(Corporate) (Seal)

## COUNTY OF MASSAU DEPARTMENT OF PUBLIC WORKS CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE STATEMENT

₹

| 1.         | Name of Firm:  | BANCKER C                                    | ONSTRUCTION COF   | ₹₽   |   |  | ń                                     |
|------------|--|--|---|--|---|--|---------------------------------------|
|            | Address:   | 218 Elvdechur                                | ob Road   |  |   |  | <del>_</del> .                        |
|            | City and State:  | lalandie NY                                  | 14740   |  | * **  |  | <b>→</b>                              |
| 2.         | Firm a Vendor Identificati   |  |   |  |   | **************************************   | ±.                                    |
| 3.         | Type of Business:  |  |   |  |   | **************************************   |                                       |
|            |  |  |   | dp 1Sole Pr  |   |  |                                       |
|            | 200.00   | Ltd Liubility Co                             | empany / (  | Closely Hald Corp.   |   | _Other (speelfy)   |                                       |
| 4.         | List names and address of<br>all corporate officers, all p<br>necessary)   | Marties of Igint year,                       | uros, and all member  | s and officers of Limile   | rectura or comparabl<br>d Liebility Companie  | e body, ali pariners and<br>a (ottach additional shec  | lindled pareners,<br>et (s) if        |
|            | STEPHEN M.   | BEYER  | PRESIDENT   |  |   | •  |                                       |
| Process    | MICFAEL  | S, Beyer                                     | Ехос. Viça Ріа  | skient   |   |  |                                       |
| Acedoni    | - September 1988 Deliver 1988 D |  |   |  |   | ٠  | Parket College Market Rev.            |
| شبيقتم     |  | 5.00-30                                      |   | TO THE OWNER OF THE PERSON OF  |   |  |                                       |
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|            | MICHAELS, I  | BEYER I                                      | 2œc. Vice Presid  | Erif   | The: 1  | in the second se | ****                                  |
| ec.        | S. S. C.   | <u>a i a simumania </u>                      |   | (47) (5)   |   |  |                                       |
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|            | on the contract of the contrac | · · · · · · · · · · · · · · · · · · ·        | Mary's distribution of the same   | 70000000000000000000000000000000000000   | ,   |  |                                       |
| Market     | ****   | DATOME                                       | · · · · · · · · · · · · · · · · · · ·   |  | - No. 100 - |  |                                       |
| <b>š</b> . | List all affiliated and rela<br>disclosure from for each   | ied companies and l<br>Cilibated or subsidia | their relationship to t<br>ary company)   | (Miach additional si   | wet (a) if necessary).  |  |                                       |
| -contract  | TOTAL SECTION  |  | <u> </u>  |  |   |  |                                       |
| TOXAG      | CONTROL NAME OF THE PROPERTY O |  | economica de recentra estado economica de la compansión de la compansión de la compansión de la compansión de l | ***************************************  | grav degravações de des   | - TWO STATES   |                                       |
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| يسبث       |  | onia x di ordenia                            | · · · · · · · · · · · · · · · · · · ·   | The state of the s |   | \$   |                                       |
| 7,         | VERIFICATION: Tide a<br>of executing contract. T<br>own knowledge, tide.   | ection must be sign<br>The undersigned affi  | ed by a principal of t<br>from and so swears t  | ha Consideret, Contract<br>hat he/she has rand und   | or ar Vendor sufferi<br>naderstood die foreje   | zed as signator of the fic<br>sing statements and they   | n for the purpose<br>are, to bis/her  |
| Ď          | Dated: 9 87 11   |  | Signed:   |  | 4   |  |                                       |
|            | ¥. *   | •  | Print Manao   | STEPHENN   | VQEAEU  |  | Latera mary or suprements             |
|            |  |  |   | PRESIDE  | After discussion and the second   | 16 - 7 America   | EQQUARE AND ANALYSIS AND              |
|            |  |  | Title:  | 818747   | up w 4  |  |                                       |

#### QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

- How many years has your firm been in the business under your present business name? 63 + 60%
- How many years experience in the construction work of a similar type as this contract has your firm had,

a, as a Prime Contractor \_\_\_\_53

b, as a Subcontractor

List below the construction projects your firm has under way as of this date:

Contract Class of work Amount

Percent Completed Name and Address of Owner or Contracting Officer

SEE ATTACHED CCA- & FORM

(use additional blank sheets if additional space is necessary)

List the projects which your firm as a firm has performed in the past few years which you feel will qualify you, for this work:

Contract Amount

Class of work

Percent Completed Name and Address of Owner or Contracting Officer

Pravious Successful Bidder

(use additional blank sheets if additional space is necessary)

- 5. Have you: a. ever failed to complete any work awarded to You? No If so: identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.
  - b. ever been defaulted on a contract? No If so, identify the project, the owner, the contract amount, the circumstances and the date of all default actions

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Proposal DPW

Page 6

| usiness e   | NTITY INFORMATION  | **************************************   | ······································ | tado entra en esta en esta en entra en   |  | **************************************  | **************************************  |  |  |
|---|--|--|--|--|--|---|---|--|--|
| Legal Bushiess Name  EIN  Bancker Construction Corp.  11-1876887  |  |  |  |  |  | J                                       |   |  |  |
| 218 Blydenb   | Complete Address of the <u>Principal Place of Business</u><br>218 Blydenburgh Road |  |  | Commission of the Control of the Con | Phone Number<br>631-582-8880   |   | umber<br>82-3698  |  |  |
|   | pever@bangker.com  |  |  |  | Website<br>www.baacker.com   |   |   |  |  |
| Salar Control of the | Contact for this Questionnaire   |  |  |  | -  |   | Marie San Company   |  |  |
| Name<br>Stephen M. Beyer  |  |  |  |  | Phone Number<br>631-582-8830   | Fax Number 631-582-3698                 |   |  |  |
| Title<br>President  | •  |  | - INCOMESSATION OF THE PARTY           |  | Email  |   |   |  |  |
| Additional E<br>used in the h<br>Type   | iusiness Entify Identifies: 17 ap<br>usi five (5) years, the state or co<br>Nama   | plicable, list any of<br>unity where flied at  | ad the st                              | 4, Trade ()<br>atus (actis   | e or inactive).  | 30. A                                   | ***   |  |  |
| 1346  | 13341  |  | EIN                                    | CONTRACTOR SOCIETATION   | State or County wi   | sere filed                              | Slatus  |  |  |
| * · · · · · · · · · · · · · · · · · · ·   |  |  |  |  |  |   |   |  |  |
|   |  |  |  | 924404-Co  |  |   |   |  |  |
| ************************  | S CHARACTERISTICS  | TO SECULATE AND  |  |  |  |   |   |  |  |
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|   | Corporation (tuelading PC)   | Date of Incorporation 11/25/1958   |  |  | 4,4  | -                                       |   |  |  |
| b) Limited Liability Company<br>(LLC or PLLC)   |  | Date Organized   |  |  |  | <del></del>                             |   |  |  |
| ( e)□[  | Imited Liability Partnership   | Date of Registra   | Jon                                    |  | And the state of t |   | **************************************  |  |  |
| ا 🗖 (له   | Limited Partnership  | Date Established   |  |  |  |   |   |  |  |
| •) □ (•   | General Purtnership  | Date Established County (if formed in  |  |  |  |   |   |  |  |
| <b>0</b> □ :  | Sole Proprietor  | How much have ye parinosas   |  |  |  |   |   |  |  |
| 2 D Q   | Other  | Date Katuhlicher   | i .                                    |  | <u> </u>   |   | traffic de la constant  |  |  |
|   | er, explain:   |  |  | · · · · · · · · · · · · · · · · · · ·  | entered M. 1999 M. Michigan Communication of Septime S | (************************************** | TATE OF THE PARTY |  |  |
|   | : Business Entity formed in Nev  |  |  |  |  |   | Yes No  |  |  |
| Lasto,  | 'indicate jurisdiction where the   | Business Entity w  | as form                                | ed:  |  | - manufacture                           |   |  |  |
|   | nited States State   |  |  |  |  |   |   |  |  |
| U   | ther Country   |  |  |  |  |   |   |  |  |

Noise These terms may not have their ordinary, common or traditional meanings. Each yeader is strongly inconfused to read the respective definitions for any and all underlined terms. By submitting this destinables, the yeard agrees to be bound by the terms as defined in the "New York State Vendor Responsibility Desiritions List" as it existed at the time of certification.

All underlined turms are defined in the "New York State Vendor Responsibility Definitions List," which can be found at http://www.asc.state.or.us/vendres/documents/definitions.pdf.

|   |  |   | ansido della distributa di se | anne de luie de la description de la constant de la | W. Complete |  |
|---|--|---|-------------------------------|--|---|--|
| BUSINESS CHARACTERIS  |  |   | Carto Samon Inc.              |  | exa   | A STATE OF THE STA |
| 2 is the <u>Business Enflix</u> curre<br>Note: Select "Not Required"  | if the Cushress Entity is  | in Cole Propertions of the                                    | menar pa                      | chtecapin  | 11  | Yes Mo<br>Not Required   |
| t <sup>u</sup> No, <sup>n</sup> explain why the <u>Bust</u>   | <u>iess Entity</u> is not requir   | ed to be <u>realistered to d</u>                              | o husines                     | is In Now York !   | <u>State</u> ;  |  |
| 3 Is the responding <u>Business</u><br><u>Venture</u> , also submit a sope  | Rutity a Joint Venture<br>ente questionnaire for   | ? Note: If the submittle<br>each Basiness Entity co           | g <u>Mulufing</u><br>gelelvom | es Entity is a Jo<br>the Joint Yeats   | nt (  | Tes MNo  |
| 4 If the Business Entity's Primalatata an office in New (Select "N/A" if Principal F  | ncipal Pince of Busines<br>Fork State?<br>Incr <i>of Business is in N</i> i  | g is not in New York St.<br>ew York State.)                   | ate, does                     | the <u>Business En</u>   | tity  | □Yes □No<br>⊠N/A   |
| ("Yes," provide the address   | and telephone number   | for one office lucated in                                     | New Yo                        | rk State.  |   |  |
| .5 Is the Business Entity a No<br>Owned Business Enterprise<br>Business Enterprise?   | rw York State ceriffled<br>e, or <u>New York State S</u>   | Minority-Owned Busin<br>mail Business, or faders              | esa Entri                     | <u>rpriss, or Wome</u><br>Red <u>Disadyantu</u>  | a:<br>ded   | □Yen ⊠No   |
| New York State Small Federally corbified I Federally corbified I 1.6 Identify each person who 5.9% or more of the firm Joint Ventures: Provide I (Attach adultional pages ) | disadventaged Business<br>is, or has been within t<br>is chares, or one of the<br>aformation for all firm<br>theomsary.) | the past Tive (5) years, a<br>Tive largest sharebolder        | e or a dir                    | ntage  | i a parti   | rer ar a proprietor<br>Ymeat Stainz with   |
| Marie   | Title  |   | Owne                          | ership (Enter 0%<br>policable)   | the Fir   | m  |
| Stephen M. Beyor  | President  | 27324   |                               |  |   | rent [ Former  |
| Michael S. Reyor .  | Exec. Vice   | President   |                               |  | The second second   | reat [ Former  |
| N. 20077700   |  | No. 1 S. N. L. Market Co. | -                             |  |   | rent []Pormer<br>rent []Pormer   |
| 300000000000000000000000000000000000000   |  |   |                               |  | { [_  <b>\_1</b> ]  | Lime Thoums.   |
| IL APPILIATE and JOINT  | YENTURE RELATION   | ONSHIPS   | mumaan Mareen (Activity Total |  |   |  |
| 2.0 Are there any other con<br>Business Endly or say of<br>the shares of, or was or<br>proprietor of said other   | <u>atruetlou</u> -reinted firms<br>if the individuals listed<br>is one of the five larges                                | In which, now or in the                                       | STRUCK OF C                   | cer' but fuel et<br>imus only or m   | its of  | See Attached   |
| Firm/Company Name   |  | Firm/Company EliX<br>(Itarallable)                            |                               | Firm/Compan;<br>Activity   | y*3 Prlin   | ary Bulpess  |
| SEE ATTACHED LIST   | - A W  |   |                               | 1  |   |  |

| I. AFFILIATE and JOINT VENTURE REF<br>firm/Company Address   | TT TO SE SET 4 MM PAP TO AT.  TO TO PAPE AND   | WWW.   | 1  |
|--|--|--|--|
| army om bank windless  |  |  |  |
| Explain relationship with the firm and indies  | ete persont omnorship, if applica  | ble (enter N/A, if not applicab  | le):   |
| are there any shareholders, directors, office<br>fusiness Entity has in common with this affi                            | rs, owners, partners or proprieto<br>Nate?   | rs that the submitting   | ☐ Yes ☐ No   |
| ndividual's tiams  | Position/fit   | e with Firm/Company  | indication and the second  |
| .1 Does the <u>Basiness Entity</u> have any <u>constr</u><br>above?  | notion-related <u>affiliates</u> not iden  | tified in the response to 2.0  | □Yes ⊠ No  |
| Affiliate Mamo   | Affiliate ElM (if arallable)   | Affiliate's Primary Business   | Activity   |
| Milate Address   | The state of the s | ).   | - TORONO MENTENNO DE PERSONA PARA PARA PARA PARA PARA PARA PARA PA   |
| Explain relationship with the affillate and in   | edicule percent ownership, if app  | ficable (enter N/A, if pet appl  | icabieh  |
| Are there any shareholders, directors, office  |  | ·  |  |
| danicas rocta was to common with the Un  | m'i  |  | □Yes □No   |
| Individual's Name  | Position/It  | le with Firm/Company   |  |
| 2.2 Has the <u>Sushess Entity</u> participated in a<br>years? Attack additional pages if accessor                        | any <u>construction doint Ventures</u>   | within the past three (3)  | □Yes ⊠No   |
| Joint Yenture Name   | Joint Venture EM (11 ivslish   | e) Identify parties to the J   | olal Venture   |
|  | A STATE OF THE STA |  |  |
| III. CONTRACT HISTORY  |  | THE PERSON NAMED IN COLUMN TO PERSON NAMED I |  |
| 3.0 List the ten most recent construction Construction Contracts, found at htm://most recent subcontracts on projects up | PPPYWASE.STRIE.W. HAPARATICASANIO  | has completed using Atmeh<br>cuntents/uttachmenta,400. (f  | neot A — Complete<br>less than ten, luctur   |
| 3.1 List all current uncompleted constructh at httm://www.osc.state.hv.us/vendfrep/de                                    | on contracts by using Attachmen<br>ocuments/attachmentb.doc.   | t B – Un completed Construct   | lon Contracts, found   |
| IV. INTEGRITY — CONTRACT BIDDING Within the past five (5) years, has the Bush  | ess Entity, an affiliate or any pr   | egreestor combany or eathly:   | THE RESERVE THE PROPERTY OF TH |
| 4.0 Been appended or debarred from any procurement?  | government constructing process  | or been <u>disqualified</u> on sky   | ☐ Yes 図 No   |
| 4.1 Been subject to a denial of revocation of  | of a government prequalification   |  | ☐ Yes 図 No   |
| 4.2 Had any blu rejected by a government submission of an informat, non-respons  | ive or incomplete bid?   |  |  |
| 4.3 Flad a proposed subcontract rejected b<br>or because of the submission of an infor                                   | y a <u>government entity</u> for lack o<br>mal, non-responsive or incompl  | fqualifications, responsibility<br>etc bid?  | ∐Yeı ⊠ Nı  |
| d.d flad a low bld rejected on a governmen   | it contract for failure to make an   |  | . □Ye ⊠ No   |

| 5 Agreed to a voluntury exclusion from bidding/contracting with a government entity? 6 Initiated a request to withdraw a bid submitted to a government entity or made any claim of an error on a bid submitted to a government entity?   |   | ⊠ No                    |
|--|---|-------------------------|
| the a first state the transfer of the Barriers   | ☐ Yes                                   |                         |
| or each "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the sub-<br><u>uity,</u> the <u>government entity</u> involved, project(s), relevant dates, any remedial or corrective aution(s) taken and<br>the issue(s). Pravide answer(s) below ar attach additional sheets with numbered responses. | niding <u>Bu</u><br>the curren          | चित्रहरू<br>१ संवर्गध्य |
| . INTEGRITY - CONTRACT AWARD Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:  | 77700000                                |                         |
| a referrited me or been suggested, conselled or terminated for cause an any contract?  | [].Yes                                  | OM 🔯                    |
| i. Book subject to an administrative proceeding of civil action seeking specific performance or restitution (except any disputed work proceeding) or requiring the <u>Husiness Eality</u> to enter into a formal monitoring agreement in connection with any <u>covernment contract?</u>   | ☐ Yes                                   | Ø.No                    |
| 5.2 Had its surety called upon to complete any contract whether government or private sector?<br>For each "Yes," provide an explanation of the isone(s), the Business Entity involved, the relationship to the su  | ☐ Yes                                   |                         |
| /i. CERTIFICATIONS/LICENSES Within the past five (5) years, has the Business Eatily, an affiliate, or any predecessor company or entity:   | 200000000000000000000000000000000000000 |                         |
| 6.0 Had a revocation or suspension of any business or professional permit and/or license?  | Yes                                     | MNo                     |
| 6.1 Had a decisi, descritification, revocation or forfeiture of New York State scritification of Minority- Owned Business Enterprise, Women-Owned Business Enterprise or a federal certification of Observantased Business Enterprise stains, for other than a change of ownership?  |   | ⊠ No                    |
| For each "Yes," propide an explanation of the issue(s), the <u>linsingss Entity</u> involved, the relationship to the st<br><u>Entity</u> , the <u>government entity</u> involved, relovant dates, any remedial or corrective action(s) taken and the curre<br>issue(s). Provide answer(s) below or attach additional sheets with pumbered responses.    | dentifung d<br>dentifung<br>dentifung   | usiness<br>f the        |
| VII. LEGAL PROCEEDINGS Within the past five (3) years, has the Business Emily, an aiffliate, or any predecessor company or entity:   |   |                         |
| 7.0 Been the subject of a criminal investigation, whether open or closed, or an indictment for any business related conduct constituting a crime under local, state or federal law?  | J- DYE                                  | s 🛛 N                   |
| related consuct consuming a crime under load, state of indigent law.  7.1 Been the subject of:   | []Ye                                    | s Xn<br>n X b           |

| . Y  |   |                                       |
|--|---|---------------------------------------|
| II. LEGAL PROCEEDINGS<br>Tible the past five (5) years, has the Business Entity, as affiliate, or any predecessor company or entity:   | - COM - 78 3 N. V. 1940                                   |                                       |
| 3 Had a government entity find a willful proveiling wage or supplemental payment violation?  | ∏ γ <sub>es</sub>   | ⊠ Na                                  |
| 4 Had a New York State Labor Law violation deemed willful?   | [] Yes  |                                       |
| 5 Entered into a consent order with the New York State Department of Environmental Conservation, or a federal, state or local government enforcement determination involving a violation of federal, state or local environmental laws?  | □Yes  | -10000000                             |
| 6 Other than previously disclosed, been the subject of any citations, nodices, violation orders, pending administrative hearings or proceedings or determinations of a violation of:  * Faderal, state or local health laws, rules or regulations;  * Federal, state or local environmental taws, rules or regulations;  * Unemployment insurance or workers compensation coverage or glaim requirements;  * Any labor law or regulation, which was deemed willful;  * Employee Retirement income Security Act (ERISA);  * Federal, state or local human rights laws;  * Cederal, state or local security laws?  or each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the sail | Yes   |                                       |
| suc(s). Provide answer(s) below or attach additional sheets with numbered responses.  Fior to the scheduled hearing, Bancker Construction Corp. settled with the DoL, on a reduction of fines and citations scheduled hearing, Bancker Construction Corp. settled with the DoL, on a reduction of fines and citations if an investigation of the alleged of insistions of liability were contained in the settle ment. Please note that there was no incident or injury stemmin tations, only allegations of OSHA non-compliance.  The Leadership integrity:  The Business Emity is a Joint Venture Easity, answer "N/A a Not Applicable" to an extense to the facility.   | nt status of<br>on classific<br>tations and<br>g from the | the attour to alleged                 |
| Within the past five (5) years has any individual praviously identified or any individual having the authorit<br>opicove bids, proposals, contracts or supporting documentation on behalf of the Business Entity with New<br>ubject to:  | y to sign,<br>York Stat                                   | execute<br>te been                    |
| LO A sanction imposed relative to any business or professional permit and/or ilcense?  | ∏ Yeı<br>□ N/A  | ⊠ Nt                                  |
| 3.1 A griminal investigation, whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or <u>federal</u> her?   | □ Yes<br>AW   | Ø No                                  |
| 6.2 Misdemennor or felony charge, indictment or conviction for: <ol> <li>Any business-related activity including but not limited to froud, coercion, extortion, bribe or bribe-receiving, glving or accepting animaful gratuities, immigration or tax fraud, racketeering, mail fraud, wire fraud, price-fixing or collusive bladding; or</li> <li>Any orime, whether or not business-related, the underlying conduct of which related to truthfulness, including but not limited to the filling of take documents or false sworn statements; perjury or largent?</li> </ol>   | ☐ Yas   | ⊠ No                                  |
| 8.3 A flebarment from any government contracting process?  | THE BOOK  | <b>M</b> No                           |
| For each "Yes," provide an explanation of the issue(3), the individual involved, the relationship to the submit<br>the government entity, involved, relevant dates, any temedial or corrective action(s) taken and the current state<br>Provide answer(s) below or attach additional sheets with numbered responses.   | de la discontinu  | · · · · · · · · · · · · · · · · · · · |

| MANUAL PROPERTY OF THE PROPERT                 | man by the comment was stated to be a secular and a second  | as Conserver I.  | ☐ Yes ☑ No   |
|--|---|--|--|
| a M. Paralin and minutes and an extension of the contract of the contrac                       | r <u>Duriness Entity</u> or any <u>affiliate</u> received an<br>ut(s) from any <u>government entity</u> on any cor  | EBLE HISA K  |  |
| the state of the s                 | que(s), the <u>Buriness Ently</u> involved, the relate<br>lotes, any temedial or corrective action(s) take  | 医骨髓 化二甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基   | ; <u>Business Epplity.</u><br>3 of the losue(s).             |
| ニューニー・エー・ カー・オース まって ひむりき  | e <u>Rusiness Eatliv</u> or any <u>nillilaig</u> had any lig  | . *  | □Y# ⊠No  |
| The state of the land  | isue(s), the <u>Business Entity</u> involved, the relativityed, the amount assessed and the current states<br>tesponses.  | lonably to the aubmittin<br>tus of the laue(2). Prov   | g <u>Business Eudits</u><br>vide answer below or             |
| judgments (not including UCC film  | he <u>Business Entity</u> or any <u>affiliate</u> had any <u>lit</u><br>gs) over 525,000 filed against the <u>Business fo</u><br>or more than 90 days?  | BITT Allen ichtam.   | ☐ Yes ⊠ No   |
| e "Yes," provide an explanation of the<br>Nevant dates, the Lien holder or Claim<br>naver below or attach additional sheet   | issue(s), the <u>Business Firthy</u> involved, the relations is a fact in the Business fine (len(s) and the will numbered responses.  | tionship in the submidu<br>he current status of the  | ig <u>Husiness Endlip,</u><br>Isone(s), Provide              |
| .3 in the last seven (7) years, has the  | Business Entity or any affiliate initiated or bi<br>or not closed, or is any bankruptcy proceeds  | een the subject of any   | ☐ Yes ⊠ No   |
| bankruptey proceedings, whether  | or not clears, or is any denkrupedy processing  | of banduikt  |  |
| if "Yes," provide the <u>finsiness Entity</u> in   | volved, the relationship to the submitting <u>Bus</u><br>. Indicate the current status of the proceeding  | many Emily, the consid   | picy chapler number<br>ny" oy "Closed."                      |
| f "Yes," provide the <u>Ansiness Entity</u> in<br>'te court name and the docket number.<br>Provide answer below or attack additio  | volved, the relationship to the submitting <u>Bus</u><br>, Indicale the current status of the proceeding<br>mal sheets with numbered responses.   | many Emily, the consid   | ptey chapler number<br>ng" op "Closed."                      |
| if "Yes," provide the <u>finsiness Entity</u> in   | volved, the relationship to the submitting <u>Hus</u><br>, indicate the current status of the proceeding<br>nal sheets with numbered responses.<br>thing Capacity?  | iness Ladiv, ins bankry<br>2 as "Initiated," "Polyli   | ptey chapler number<br>ng" or "Closed."                      |
| f "Yes," provide the <u>Ausiness Entity</u> in<br>he court name and the docket number.<br>Provide answer below or attack addition<br>9.4 What is the <u>Ausiness Enti</u> ty's Rem<br>n. Single Project  | volved, the relationship to the submitting Hun. Indicate the current status of the proceeding nal sheets with numbered responses.  thing Capacity?  b. Aggregate (All   | iness Ladiv, ins bankry<br>2 as "Initiated," "Polyli   | ptey chapler number<br>ng" or "Closed."                      |
| f "Yes," provide the <u>Ausiness Entity</u> in<br>'te court name and the docket number.<br>Provide answer below or attack addition<br>9.4 What is the <u>Ausiness Entity's Rom</u><br>n. Single Project<br>9.5 List <u>Business Entity's</u> Gross Sales   | relived, the relationship to the submitting Hus. Indicate the current status of the proceeding mal sheets with numbered responses.  ding Capacity?  b. Aggregate (All for the previous three (3) Fiscal Years:  | iness Ladiv, ins bankry<br>2 as "Initiated," "Pelgit<br>Projects)  | ng up Labsell.   |
| f "Yes," provide the Business Entity in<br>he court name and the docker number.<br>Provide answer below or attack addition<br>9.4 What is the Business Entity's Roman. Single Project<br>9.5 List Business Entity's Gross Sales<br>1st Year (Indicate year) 2010<br>Gross Sales  | relived, the relationship to the submitting Hus. Indicate the current status of the proceeding mal sheets with numbered responses.  ding Capacity?  b. Aggregate (All for the previous three (3) Fiscal Years:  Znd Year (Indicate year) 2009  Gross Sales  | iness Ladiv, ins bankry<br>2 as "Initiated," "Pelgit<br>  Projects)<br>  Ird Veur (Indicate<br>  Gress Sales   | ng up Labsell.   |
| f "Yes," provide the Ansiness Entity in the court name and the docker number. Provide answer below or attack addition.  9.4 What is the Austress Entity's Perman. Single Project.  9.5 List Business Entity's Gross Sales.  1st Year (Indicate veet \ 2010) Gross Sales.  9.6 List Business Entity's Average Business Intity's Average Business Version of uncomp.   | irolved, the relationship to the submitting Hum. Indicate the current status of the proceeding mal sheets with numbered responses.  ding Capacity?  b. Aggregate (All for the previous three (3) Fiscal Years;  2nd Year (Indicate year   2009  Gross Sales ocklog for the previous three (3) fiscal years; leted work on outstanding contracts)  | iness Ladiv, ins bankry 2 as "Initiated," "Pelifit    Projects)   Ird Vest (Indicate   Gross Sales   | a year ) 2008  |
| f "Yes," provide the <u>Ausiness Entity</u> in the court name and the docket number. Provide answer below or attack addition.  9.4 What is the <u>Business Entity's Remarks Business Entity's Gross Sales</u> 15t Year (Indicate vowe \ 2010) Gross Sales 9.6 List <u>Business Entity's Average Business Entity's Entity's Entity's Entity Ent</u> | ivolved, the relationship to the submitting Hum indicate the current status of the proceeding mal sheets with numbered responses.  ding Capacity?  b. Aggregate (All for the previous three (3) Fiscal Years:  2nd Year (Indicate year   2009 Gross Sales acking for the previous three (3) fiscal years: leted work on outstanding contracts)  2nd Year (Indicate year   2009 Amount:  | inass Ladiv, ins bankry n as "initiated," "Pelgit  Projects)  Jrd Vest (Indicated)  Jrd Vest (Indicated)  Amount                                       | e year ) 2008  |
| f "Yes," provide the finstness Entity in the court name and the docker number. Provide answer below or attack addition.  9.4 What is the finstness Entity's Provide answer below or attack addition.  n. Single Project.  9.5 List finstness Entity's Gross Sales.  1st Year (Indicate vow. \ `not)  Gross Sales.  9.6 List finstness Entity's Average be (Entimated total value of macomp.  1st Year (Indicate vow. ` `??!)  Amoun.   | ivolved, the relationship to the submitting Hum indicate the current status of the proceeding mal sheets with numbered responses.  ding Capacity?  b. Aggregate (All for the previous three (3) Fiscal Years;  2nd Year (Indicate year   2009 Genes Sales ucklog for the previous three (3) fiscal years; leted work on outstanding contracts)  2nd Year (Indicate year   2009  | iness Ladiv, the bankry as as "initiated," "Pelgit Projects)    Projects)   Gress Sales   Gress Sales   Amount   | e year ) 2008  |
| J "Yes," provide the Business Entity in the court name and the docket number. Provide answer below or attack addition.  9.4 What is the Business Entity's Provide answer below or attack addition.  a. Single Project.  9.5 List Business Entity's Gross Sales.  1st Year (Indicate vow \ 2010)  Gross Sales.  9.6 List Business Entity's Average Business Sales.  1st Year (Indicate vow \ 2011)  Amoun.  9.7 Attack Business Entity's amount information, found at http://www.   | irelyed, the relationship to the submitting Hum. Indicate the current status of the proceeding mal sheets with numbered responses.  ding Capacity?  b. Aggregate (All for the previous three (3) Fiscal Years;  2nd Year (Indicate year   2009  Grass Sales  ucking for the previous three (3) fiscal years; leted work on outstanding contracts)  2nd Year (Indicate year   2009  Amount:  financial statement and accompanying notes, ones, at alcany, us french and accompanying notes.  | iness Colliv, ins bankry 2 as "Initiated," "Pelyli Projects)  Jed Vest (Indicate Gress Sales  3rd Vest (Indicate Amount or complete Attachmenents, xis | o year ) 2008  e year ) 2008  ut C - Financial               |
| y "Yes," provide the Business Entity in the court name and the docket number. Provide answer below or attack addition.  9.4 What is the Business Entity's Roman. Single Project  9.5 List Business Entity's Gross Sales  1st Year (Indicate vowe 1901)  Gross Sales  9.6 List Business Entity's Average Business Sales  1st Year (Indicate vowe 1901)  Amoun.  9.7 Attack Business Entity's annual information, found at http://www.  X. FREEDOM OF INFORMATION  10.0 Indicate whether any information Law   | indicate the relationship to the submitting Hum. Indicate the current status of the proceeding mal sheets with numbered responses.  Iding Capacity?    b. Aggregate (All for the previous three (3) Fiscal Years;   2nd Year (Indicate year   2009   Grees Sales weeking for the previous three (3) fiscal years; leted work on outstanding soutracts)   2nd Year (Indicate year   2009   Amount:   financial statement and secompanying notes of the companying notes of the companying financial statement and secompanying notes of the companying financial statement is believed to be exempt the provided herein is believed to be exempt the companying financial statement in the companying notes of the companying financial statement is believed to be exempt the companying financial statement in the companying financial statement is believed to be exempt the companying financial provided herein is believed to be exempt | inds Ladiv, ins bankry 2 as "Initiated," "Pelgit Projects)  Jrd Venr (Indicated Amount Or complete Attachmenents, vis                                  | e year ) 2008  e year ) 2008  at C - Financial  the Year 🖂 ? |

The undersigned: (1) recognizes that this questionnaire is submitted for the express purpose of assisting New York State contracting entities in making responsibility determinations regarding an award of a contract or approval of a subcontract; (2) recognizes that the Office of the State Comptroller (OSC) will rely on information disclosed in the questionnaire in making responsibility determinations and in approving a contract or subcontract; (3) acknowledges that the New York State contracting entitles and OSC may, in their discretion, by means which they may choose, verify the truth and accuracy of all statements made herein; and (4) acknowledges that intentional submission of false or misleading information may constitute a misdemeanor or felony under New York State Penal Law, may be punishable by a fine and/or imprisonment under Federal Law, and may result in a finding of non-responsibility, contract suspension or contract termination.

#### The undersigned certifies that he/she:

Contribut in Suitch County, Comprission Expires Rept. 28, 2014

- is knowledgeable about the submitting Business Entity's business and operations:
- has read and understands all of the questions contained in the questionsaire;
- has not altered the content of the questionmire in any manner;
- has reviewed and/or supplied full and complete responses to each question;
- to the best of his/her knowledge, information and belief, confirms that the Business Entity's responses
  are true, accurate and complete, including all attachments, if applicable;
- understands that New York State will rely on the information disclosed in the questionneire when entering into a contract with the Business Entity; and
- is under obligation to update the information provided herein to include any material changes to the
   Business Entity's responses at the time of bid/proposal submission through the contract award
   notification, and may be required to update the information at the request of the New York State
   contracting entities or OSC prior to the award and/or approval of a contract, or during the term of the
   contract.

| Signature of Owner/Officer  |                            |
|---|----------------------------|
| Printed Name of Signatory   | Stephen M. Beyer           |
| Title   | Preaident                  |
| Name of Business  | Bancker Construction Corp. |
| Address   | 218 Blydenburgh Road       |
| City, State, Zip  | Islandia, NY 11749         |
| Sworn to before me this 1.  Whateen ends.  MAURIEM ENGL. Noting Place, Stand Of Me He, DIEN 1942537 | Magad Notary Public        |

Page 3 of 8

- c. ever been declared a non-responsible bidder by any municipality or public agency? <u>AD</u>

  If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations
- d. ever been barred from bidding municipal or public contracts? Alo If so, identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

- 6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:
  - a, that failed to complete a construction contract? NO

    If so, state pame of individual and identify the name of firm,
    the project, the owner, the contract amount, the circumstances
    and the date of all such failures to complete for all
    principals of the firm.
  - b. that has ever been defaulted on a contract? NO

    If so, state the name of the individual and identify the
    name of the firm, the project, the owner, the contract amount,
    the circumstances and the date of all default actions for all
    principals of the firm.
  - c. that has ever been declared a non-responsible bidder by any municipality or public agency? NO If so, state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

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d. that has ever been barred from bidding municipal or public contracts? NO If so, state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

- 7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? NO If so, state name of individual, name of owner and reason therefor:
- 8. Disclose any and all violations of the Frevailing Wage and Supplemental Payment Requirements of the Labor Law of New York

None

9. Disclose any and all other Labor haw Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

What is the construction experience of the principal individuals 11.

of your firm?

Individual's

Name

Years of Present - Position or Construction Experience Magnitude and type of work

īn What Capacity

SEE ATTACHED

Office

Organizational chart\*

(use additional blank sheets if additional space is necessary)

List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item

Description, Size Capacity, Year, etc. Years of Service

Location

"Egosp ment List" see attached.

(use additional blank sheets if additional space is necessary)

MOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

(use additional blank shoots if additional space is necessary)

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Proposal DPW

Page 9

14. In what manner have you inspected this proposed work? Explain in detail

(Lutar) = Siecess (1) Bidder

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

Per NCDPW

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

#### MICHAELS, BEYER

17. Insurance carried by your firm:

Туре

Company

Limits of Coverage

Term

SEE ATTACHED

Insurance Certificate

Par Specs.

01 cf 347

Proposal DPW

Page 10

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to; the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "Qualifications and Responsibility of Bidders" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

| of the following forms:  | aigning them, in one   |
|--|--|
| (Form of affidavit where Bidder is a c<br>STATE OF NEW YORK )  | corporation)   |
| COUNTY OF NASSAC )   |  |
| STEPHEN M. DEYER   | . Ji   |
| That he resides at   | t, deposes and says:<br>Street.  |
| in the City of that he is  | the PRESIDENT of   |
| the corporation described in and which exectinstrument; that he knows the seal of said corporate by order of the Board of Directors of said corporate by order of the Board of Directors of said corporate his name thereto by like order; and that he he several matters therein stated and they are in a Subscribed and sworn to before me this 27 day of September , 20//  MANUSCENE State of New York No. 018/1984/2537  Qualitied in Suffer County 1//  Compulsation Expression, 28, 20//  (Form of Affidavit where Bidder is   | sation; that the seal seal and was affixed ation; that he signed as knowledge of the li respects true.  Manual May (Notary)  |
| STATE OF NEW YORK )  |  |
| COUNTY OF MASSAU   |  |
| That he is a member of the firm described in and which executed the formula subscribed the name of the firm hereunto on and that the several matters therein stated are is subscribed and sworn to before me this day of , 20  | behalf of the firm:  |
| and the second s | Notary. 3  |
| minute resident in the second  | the state of the s |
| (Form of Affidavic where Bidder is a   | individual)  |
| COUNTY OF NASSAU )   | The state of the s |
| and the second of the second o | n, deposes and saya:<br>ed the foregoing bid<br>in all respects true.  |
| in the second  | Secretary and the secretary an |
| September 1  | Nobarv   |

#### COUNTY OF NASSAU

### LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name of lobbyist(s)/lobbying organization: The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission; or to otherwise engage in lobbying activities as the term is defined herein. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

 List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):
 NONE

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

NONE

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

NONE

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

NONE

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: June 22, 2015

Signed:

Print Name: Michael S Beyer

Tite: President

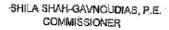
gy ngay sa na

#### Page 4 of 4:

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

# REQUEST TO INITIATE REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

| PART I: Approval by the Deputy C  | ounty Executive for                    | Openisions minse b   | e obtained prior to <u>ANY</u> REQUEFP/REBC  |
|---|--|--|--|
| CIRFQ   | C RFP                                  | C RIBC   | ☐ In-House Work Order  |
| Project No.: S80030Z  | Proj                                   | ect Title: Wate  | er Main Requirements Contract  |
| Department: Public Works  | Date                                   | : <u>June 8, 201</u>   | <u>5</u>   |
| Service Requested: Additions upgrade County owned water                 | il funding to the<br>mains, fire hydra | Water Main Re<br>nts and apporte   | equirements Contract necessary to repair, replace and mances.  |
| MINIMETERS BUILDING THE CAMBILLY.                                       | ENGINEET MEET TOSS                     | HRIEDECE AF IN   | undreds of hydrants and thousands of water main valves case facilities is crucial to both the potable supply and fined maintenance of othis important piece of infrastructure.   |
| Project Cost for this Phase: \$1  | 00.000                                 |  |  |
| Total Project Cost: \$100,000<br>Invludes, design, constitution and car | Justificatio                           | 1. A   | Date Stort Work: ASAP  |
| Capital Funding Approval:   | CAES.                                  | □NO  | That the   |
| Funding Allocation (Project/s<br>See Attoched Sheet If multiyear        | ubobject): <u>90</u> 4                 | 03   |  |
| Department Head Approval:   | DYES                                   | LNO  | - Allendor s   |
| OCE/Ops Approval:   | CLYES                                  | ПNO  | SIGNATURE  |
| ART Ur To be submitted to Chief D<br>tesponding sentions,               | epuly County Execu                     | tive ofter Qualifics   | dians/Proposals/Contracts are received from  |
| Vendor  | Quote                                  |  | Comment  |
|   |  | The second secon | The state of the second st |
| ·<br>i.   |  |  |  |
|   |  |  |  |
|   |  |  |  |
| OCE/Ops Approval:   | YES NO                                 | Signa  | ligre  |





## COUNTY OF NASSAU DEPARTMENT OF PUBLIC WORKS 1194 PROSPECT AVENUE WESTBURY, NEW YORK 11890-2723

November 24, 2014

Bancker Construction Corp. 218 Blydenburgh Road Islandia, New York 11749

Att: Mr. Michael S. Bever

Re: Requirements Contract No. S80030Z.

Extension of Time

To Whom It May Concern:

This serves as a response to your letter dated October 29, 2014. In accordance with Article XIII of the Contract S80030Z, the contract duration is hereby extended by mutual agreement between the County and Bancker Construction Corp. The previous expiration date of November 15, 2014 is now extended to November 15, 2015.

All other terms and conditions of the contract remain unchanged. The extension does not relieve you from any of the other terms and conditions of the contract or from full responsibility of performance of all obligations thereunder.

If you have any questions, please contact Scott Urban, Superintendent of Storm Water Basins at (516) 571-6967.

Verytruly yours,

Shila Shah-Gavnoudious, P.E. Commissioner of Public Works

SSG: WSN:ed Attachment

c: William J. Muller III, Clerk of the Legislature

Richard P. Millet, Chief Deputy Commissioner of Public Works

William S. Nimmo, Deputy Commissioner of Public Works

'Scott W. Urban, Superintendent of Storm Water Basins

Susan Solomon, Accounting Assistant IV Janet Duncan, Clerk II, Comptroller's Office