



B-10-17

NIFS ID:S80019-03GR**Department: Public Works****Capital: X**

SERVICE: Stormwater Pump Station Upgrades Cedar Point Lake

Contract ID #:S80019-03GR

NIFS Entry Date: 21-JUL-17

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	N
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Vendor Ownership & Mgmt. Disclosure Attached:	Y
5) Insurance Required	N

Vendor Info:	
Name: Philip Ross Industries, Inc.	Vendor ID#: 11-2707717
Address: 200 Long Island Avenue Wyandanch, NY 11798-2916	Contact Person: Philip Carlucci
	Phone: 631-253-3077

Department:	
Contact Name: Edward F. Visone	
Address: NCDPW 3340 Merrick Road, Building R, 3rd Floor Wantagh, NY 11793 Phone: 516-571-7359	201 AUG 31 P 2:51 NASSAU COUNTY CLERK OF SUPPLY

Routing Slip

Department	NIFS Entry: X	28-JUL-17 -- LDIONISIO
Department	NIFS Approval: X	09-AUG-17 -- CYANSICK
DPW	Capital Fund Approved: X	09-AUG-17 -- CYANSICK
OMB	NIFA Approval: X	11-AUG-17 -- RDALLEVA
OMB	NIFS Approval: X	10-AUG-17 -- MVOCATURA
County Atty.	Insurance Verification: X	11-AUG-17 -- NSARANDIS
County Atty.	Approval to Form: X	11-AUG-17 -- DGRIPPO

Dep. CE	Approval: X	28-AUG-17 -- CRIBANDO
Leg. Affairs	Approval/Review: X	16-AUG-17 -- MREYNOLDS
Legislature	Approval:	
Comptroller	NIFS Approval:	
NIFA	NIFA Approval:	

Contract Summary

Purpose: General Construction Contract for the repair and mitigation of the Cedar Point Lake Storm Water Pump Station.
Method of Procurement: The construction contract was publicly bid.
Procurement History: The construction contract was publicly bid, with bids being opened January 10, 2017. Three (3) bids were received and the Department is recommending award to the lowest bidder (Philip Ross Industries, Inc.)
Description of General Provisions: General Construction Contract for the repair and mitigation of the Cedar Point Lake Storm Water Pump Station.
Impact on Funding / Price Analysis: Funding is available under Capital Project S80019-03GR
Change in Contract from Prior Procurement: N/A
Recommendation: (approve as submitted) Approve as submitted

Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	CSW					
Control:	80	Revenue		1	PWCSWCSW/8001	\$ 3,890,000.00
Resp:	019	Contract:			9/001/00004	\$ 0.00
Object:	00004	County	\$ 0.00			\$ 0.00
Transaction:	CH	Federal	\$ 0.00			\$ 0.00
Project #:	80019	State	\$ 0.00			\$ 0.00
Detail:	001	Capital	\$ 3,890,000.00			\$ 0.00
		Other	\$ 0.00			\$ 0.00
		TOTAL	\$ 3,890,000.00		TOTAL	\$ 3,890,000.00

RENEWAL	
% Increase	
% Decrease	

NIFA Nassau County Interim Finance Authority

Contract Approval Request Form (As of January 1, 2015)

1. **Vendor:** Philip Ross Industries, Inc.

2. **Dollar amount requiring NIFA approval:** \$3890000

Amount to be encumbered: \$3890000

This is a New

If new contract - \$ amount should be full amount of contract

If advisement – NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. **Contract Term:** 540 days

Has work or services on this contract commenced? N ____

If yes, please explain:

4. **Funding Source:**

General Fund (GEN)

X Capital Improvement Fund (CAP)

Other

Grant Fund (GRT)

Federal % 0

State % 0

County % 0

Is the cash available for the full amount of the contract?

Y

If not, will it require a future borrowing?

N

Has the County Legislature approved the borrowing?

Y

Has NIFA approved the borrowing for this contract?

Y

5. **Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:**

General Construction Contract for the repair and mitigation of the Cedar Point Lake Storm Water Pump Station.

6. **Has the item requested herein followed all proper procedures and thereby approved by the:**

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. **Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:**

Contract ID	Date	Amount

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

RDALLEVA

11-AUG-17

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

B10-17

RULES RESOLUTION NO -2017

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND PHILIP ROSS INDUSTRIES, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract S80019-03GR, STORMWATER PUMP STATION UPGRADES – CEDAR POINT LAKE ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of PHILIP ROSS INDUSTRIES, INC.

["Vendor"] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 3,890,000 now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

George Maragos
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: Philip Ross Industries, Inc.

CONTRACTOR ADDRESS: 200 Long Island Ave, Wyandanch, NY 11798

FEDERAL TAX ID #: 11-2707717

Instructions: Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☒ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in Newsday [newspaper] on November 30, 2016 [date]. The sealed bids were publicly opened on January 10, 2017 [date]. Three (3) [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

_____[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; OR:
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☒ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, Revenue Ruling No. 87-41, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.



Department Head Signature

4/15/17

Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

None

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 8/8/17

8/8/17

Vendor: Philp Ross Industries

Signed: Philp Ross

Print Name: Philp Ross

Title: President



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

none

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

none

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

none

nbo

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

none

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

none

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

none

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

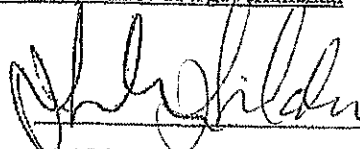
I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 4/10/17
8/8/17

Signed:



Print Name:

Philip Carlucci

Title:

president

The term lobbying shall mean any attempt to influence; any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Philip Carlucci
Date of birth 4/24/61
Home address _____
City/state/zip _____
Business address 200 Long island ave
City/state/zip Wyandhamc, NY 11798
Telephone 631-253-3077
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President 6/24/84 Treasurer 6/24/84
Chairman of Board _____ Shareholder _____
Chief Exec. Officer _____ Secretary _____
Chief Financial Officer _____ Partner _____
Vice President _____
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES x NO _____ If Yes, provide details. 100% ownership
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES _____ NO x If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES _____ NO x If Yes, provide details.

Rev. 3-2016

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ____ NO x
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES ____ NO x If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ____ NO x If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ____ NO x If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ____ NO x If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ____ NO x If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO x If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO x If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO x If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO x If Yes, provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO x If Yes, provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO x If Yes, provide details for each such year.


CERTIFICATION

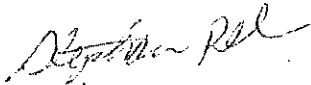
A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Philip Carlucci, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 8 day of

AVS
Jan 20, 17


STEPHANIE SEELY KELLERMAN
Notary Public, State of New York
Reg. No. 01KE6359965
Qualified in Suffolk County
My Commission Expires March 09, 2017


STEPHANIE KELLERMAN
Notary Public, State of New York
Reg. No. 01KE6359965
Qualified in Suffolk County
Commission Expires June 12, 2021

Philip Ross Industries, Inc.
Name of submitting business

Philip Carlucci
Print name


Signature

president
Title

1/10/17 8/8/17
Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable."
No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 4/10/17 8/8/17

1) Proposer's Legal Name: Philip Ross Industries, Inc.

2) Address of Place of Business: 200 Long Island Ave; Wyandanch, NY

List all other business addresses used within last five years:
none

3) Mailing Address (if different): _____

Phone: 631-253-3077

Does the business own or rent its facilities? own

4) Dun and Bradstreet number: _____

5) Federal I.D. Number: 11-2707717

6) The proposer is a (check one): _____ Sole Proprietorship _____ Partnership X
Corporation _____ Other (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?

Yes _____ No X If Yes, please provide details: _____

8) Does this business control one or more other businesses? Yes X No _____ If Yes, please provide details: Philip Ross Construction

Philip Ross Inc.,

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ☒ No ☐ If Yes, provide details. _____
see question 8
- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ☐ No ☒ If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). _____
- 11) Has the proposer, during the past seven years, been declared bankrupt? Yes ☐ No ☒ If Yes, state date, court jurisdiction, amount of liabilities and amount of assets _____
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.
Yes ☐ No ☒ If Yes, provide details for each such investigation. _____
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. _____
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
- a) Any felony charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. _____
- b) Any misdemeanor charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. _____
- c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes ☐ No ☒

If Yes, provide details for each such conviction _____

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?
Yes ____ No x If Yes, provide details for each such conviction. _____

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? Yes ____ No x If Yes, provide details for each such occurrence. _____

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? Yes ____ No x; If Yes, provide details for each such instance. _____

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? Yes ____ No x If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. _____

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

no conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

no conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

no conflict exists

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

we would schedule a meeting with the county before it's an issue.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; 1984
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner;
- iii) Name, address and position of all officers and directors of the company, Philip Carlucci
- iv) State of incorporation (if applicable), Philip R. Carlucci
- v) The number of employees in the firm; 20+
- vi) Annual revenue of firm; \$20 million
- vii) Summary of relevant accomplishments
- viii) Copies of all state and local licenses and permits.

- B. Indicate number of years in business. 32 years

- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services:

see contracts for 2G, 4G, 5G, 6G and 10G

- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Nassau county DPW-East Hills Pump Station

Contact Person Steve Hearl, H2M Group

Address _____

City/State _____

Telephone 631-756-8000

Fax # _____

E-Mail Address shearl@h2m.com

Contact Person Phil campisi

Address _____

City/State _____

Telephone 516-579-5856

Fax # _____

E-Mail Address pcampisi@degruinengineering.com

Company East Farmingdale water district-Plant 4

Contact Person Rich Humann, H2m Group

Address _____

City/State _____

Telephone 631-756-8000

Fax # _____

E-Mail Address rhumann@h2m.com


CERTIFICATION


A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Philip Carlucci, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 10th day of Jan

2017


Notary Public
STEPHANIE KELLERMAN
NOTARY PUBLIC-STATE OF NEW YORK
No. 01864978492
Qualified in Suffolk County
My Commission Expires March 04, 2017


STEPHANIE KELLERMAN
Notary Public, State of New York
Reg. No. 01KE6359965
Qualified in Suffolk County
Commission Expires June 12, 2021

Name of submitting business: Philip Ross Industries Inc.

By: Philip Carlucci

Print name

Signature

pres.

Title

1 / 10 / 17
Date 8/8/17

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Philip Ross Industries, Inc

Address: 200 Long Island Ave

City, State and Zip Code: Wyandanch, NY 11798

2. Entity's Vendor Identification Number: 11-2707717

3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture

☐ Ltd. Liability Co ☒ Closely Held Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Philip Carlucci

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

Philip Carlucci

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

none

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence or promote a matter before Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

none

Page 3 of 4

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

none

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

not applicable

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated:

8/8/17
1/10/17

Signed:

Print Name: Philip Carlucci

Title: pres.

Page 4 of 4

The term lobbying shall mean any attempt to influence; any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU
Inter-Departmental Memo

TO: Office of the County Executive
Attn: Richard R. Walker, Chief Deputy County Executive

FROM: Department of Public Works

DATE: July 28, 2017

SUBJECT: RECOMMENDATION OF AWARD

Contract No: S80019-03GR

Title: CONTRACT S80019-03GR – STORMWATER PUMP STATION UPGRADES –
CEDAR POINT LAKE

Bids received on: January 10, 2017

I have examined the bids submitted for the contract mentioned above. Finding them to be in order, I recommend this contract be awarded to **Philip Ross Industries, Inc.**, as the lowest responsible bidder with a total bid amount of **\$3,890,000.00**. In order to facilitate processing of the above referenced contract, I request that the attached "Request to Initiate" form be approved.



Shila Shah-Gavnoudias
Commissioner

SSG:KGA;jh



REQUEST TO INITIATE

RTI Number 16-0403

REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC

☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work OrderProject Title: Cedar Point Lake Stormwater Pump Station ImprovementDepartment: Public Works Project Manager: Joseph DavenportDate: October 6, 2016Service Requested: General ConstructionJustification: To build a new elevated, storm resistant pump station to replace the current station damaged by Superstorm SandyRequested by: Water/Wastewater Engineering Unit

Department/Agency/Office

Project Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$2,255,000.00
Circle appropriate phaseTotal Project Cost: \$3,000,000.00
Includes design, construction and CMDate Start Work: April 2017
Phase being requestedDuration: 18 months
Phase being requestedCapital Funding Approval: YES ☒ NO ☐

SIGNATURE

DATE

Funding Allocation (Capital Project):
See Attached Sheet if multiyear ☐80019NTFS Entered: dp

SIGNATURE

10/13/16

DATE

AIM Entered: Deanna Turk

SIGNATURE

DATE

Funding Code: 80019-001

use this on all encumbrances

Timesheet Code: 16-0403

use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or Environmental Assessment Form Required ☐

Supplemental Environmental Documentation

Department Head Approval:

YES ☐ NO ☒

SIGNATURE

DCE/Ops Approval:

YES ☐ NO ☐

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. Philip Ross Inc.	\$3,890,000		
2. Stearns Construction	\$6,658,500		
3. RJ Industries	\$7,210,400		
4.			

DCE/Ops Approval:

YES ☐ NO ☐

Signature

Version: January 2014



Excellence Delivered As Promised

March 10, 2017

Joseph Davenport, P.E.
Chief Sanitary Engineer/Unit Head
Water/Wastewater Engineering Unit
Nassau County Department of Public Works
3340 Merrick Road, Building R, 3rd Floor
Wantagh, New York 11793

Re: Cedar Point Lake Stormwater Pump Station Improvements
Contract No. S80019-03G Bid Review

Dear Mr. Davenport:

This letter summarizes the bid results for the Cedar Point Lake Stormwater Pump Station Improvements project that were opened on January 10, 2017. Bids were submitted by three contractors:

<u>Contractor</u>	<u>Lump Sum Bid Price</u>
Phillip Ross Industries	\$3,890,000
Stratis Contracting	\$6,658,500
RJ Industries	\$7,210,400

Nassau County Department of Public Works (NCDPW) provided a bid opening summary table (Attachment 1) along with a copy of the Bid Form packages for all bidders.

Gannett Fleming's (GF) opinion of probable construction cost estimate (OPC) was \$3,468,611. The low bid by Phillip Ross Industries is 11% higher than the engineers' estimate. The higher bid cost could be a result of the number of bidders and the larger spread between the low bid and the 2 subsequent bidders. There may be a less than ideal bidding environment (contractor's have a lot of work) that also impacted the bid prices. The size of the project and complexity of the work near Cedar Point Lake (dewatering and coffer dam construction) also could add additional risk that may have a warranted high contractor markups.

The lowest bidder Phillip Ross Industries, submitted a responsive bid with a completed Bid Form package and provided responses to NCDPW's additional information request letter dated January 11, 2016. (Attachment 2). Bid information is summarized as follows:

Gannett Fleming Engineers, P.C.

Suite 300 • 100 Crossways Park West • Woodbury, NY 11797-2012
t: 516.364.4140 • f: 516.921.1565
www.gannettfleming.com

Joseph Davenport, P.E.
Nassau County Department of Public Works
March 10, 2017

-2-

References

GF was able to contact three of the references provided by Phillip Ross Industries. The references support their claim of relevant experience with this type of work and indicated that they were satisfied with their work. The phone logs for the references are attached (Attachment 3).

Bid Bond

A bid bond in the amount of 10% of the bid amount was provided. The surety on the bond was provided by Liberty Mutual Insurance Company.

Summary

Gannett Fleming reviewed the documentation provided by Phillip Ross and attended the Pre-Award Conference on January 20, 2017 to determine the contractor's ability and capability to perform the work. Phillip Ross indicated that they can meet the contract specifications and satisfy the project schedule within their bid price. Our review of their qualifications indicates that Phillip Ross has the necessary experience and resources to complete the work. Based on this information, we believe that Nassau County should award the work to the lowest responsive bidder, Phillip Ross. This recommendation is based on further legal and administrative review of the bid packages by Nassau County.

Please call if you have any questions or require additional information.

Very truly yours,

GANNETT FLEMING ENGINEERS, P.C.

 for

ANTHONY COSTELLO, P.E.
Project Manager

cc: E. Visone
S. Hadjiyane

ATTACHMENT 1
NCDPW BID OPENING SUMMARY TABLE

Nassau DPW B.I.D.S. - Summary of Bid Opening

SR0012-01GR - General Contracting
Stormwater Pump Station Upgrades-Cedar Point Lake

3/10/2017

Bid Opening: 3/10/2017

Engineer: Edward Viscusi Phone: (516) 371-7350

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
Philip Ross Industries, Inc.	200 Long Island Avenue Wyandanch, NY 11798	Liberty Mutual Insurance Comp	10% Amt Bid	\$1,890,000.00	\$0.00
Sentis Contracting Corp.	7 Corporate Drive Peekskill, NY 10966	Liberty Mutual Insurance Comp	10% Amt Bid	\$6,638,500.00	\$0.00
RI Industries	74 East Bedford Road Plainville, NY 11803-0349	Liberty Mutual Insurance Comp	10% Amt Bid	\$7,210,400.00	\$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

ATTACHMENT 2

**PHILLIP ROSS INDUSTRIES
BID FORM PACKAGE AND RESPONSES TO NCDPW ADDITIONAL INFORMATION
REQUEST LETTER DATED JAN 11, 2017**

EDWARD P. MANGANO
COUNTY EXECUTIVE



SHILA SHAH-GAVNOUDIAS, P.E.
COMMISSIONER

**COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
1184 PROSPECT AVENUE
WESTBURY, NEW YORK 11590-2723**

January 11, 2017

Philip Carlucci, President
Philip Ross Industries, Inc.
200 Long Island Ave
Wyandanch, New York 11798

Re: Additional Information
Contract No. S80019-03GR
Cedar Point Lake SW Pump Station Improvements

Dear Mr. Carlucci:

Philip Ross Industries, Inc., is the apparent low bidder for the subject contract; bids having been received on January 10, 2017. A Pre-Award Conference to determine your ability and capability to perform the work under this contract is scheduled for 10 a.m. on Friday January 20, 2017. In order to prepare for this meeting, the following items must be addressed and responses submitted to this office prior to the meeting. Please note that some of the information requested may already been submitted in your bid proposal. For those items which have already been submitted, when responding please note that they have been submitted with the proposal.

1. An adequate demonstration of financial responsibility, in the form of a Certified Financial Statement prepared by a Certified Public Accountant, to assure that your firm possesses adequate resource and availability of credit and means and ability to perform the project and procure insurance and bonds required for the project.
2. Disclosure of any suspensions or revocations of any professional license of any director, officer, owner, or managerial employee of your firm, to the extent that any work to be performed is within the field of such licensed profession.
3. Disclosure of any and all OSHA violations within the previous three (3) years, as well as all notices of OSHA violations filed against your firm in the same three (3) year period, together with a description and explanation of remediation or other steps taken regarding such violations and notices of violation.
4. Disclosure of any and all violations within the previous five (5) years pertaining to unlawful intimidation or discrimination against any employee by reason of race, creed, color, disability, sex or national origin and/or violations of an employee's civil rights or equal employment opportunities.
5. Disclosure of any litigation (including copies of pleadings) in which your firm has been named as a defendant or third (3rd) party defendant in an action involving a claim for personal injury or wrongful death arising from performance of work related to any project in which it has been engaged within the previous five (5) years.
6. Disclosure of violations of the Prevailing Wage and Supplement Payment Requirements of the Labor Law and any other Labor Law provisions; including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five (5) years.

Philip Carlucci, President
Philip Ross Industries, Inc.
January 11, 2017

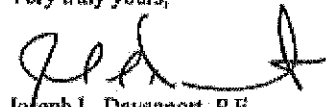
Page 2

Re: Additional Information
Contract No. S80019-03GR
Cedar Point Lake SW Pump Station Improvements

7. Disclosure of violations of the Workers' Compensation Law; including, but not limited to, the failure to provide proof of Workers' Compensation or Disability Coverage and/or any lapses thereof.
8. Disclosure of any criminal convictions or criminal indictments, involving your firm, its officers, directors, owners and/or managerial employees within the past five (5) years.
9. Disclosure of any violations within the past five (5) years or pending charges concerning federal, state, or municipal environmental and/or health laws, codes, rules and/or regulations.
10. Compliance with Local Laws No. 9-2002, 14-2002 and 3-2015 regarding registered and approved "Apprenticeship Training Programs for County Contracts".
11. Identification and description of any projects, within the previous five (5) years, that your firm was determined by a municipality not to be a responsible bidder; the reasons given by such municipality, therefore, together with an explanation thereof.
12. Documentation from previous projects regarding: timeliness of performance; quality of work; extension requests; labor disputes; litigation and/or arbitration arising from such work, including fines and penalties imposed and payment thereof; liens filed; history of claims for extra work; contract defaults; together with explanations of same.
13. Description of your experience with Wastewater Treatment Plant projects of similar comparative size, complexity, and cost, performed within recent years, together with documentary evidence of such projects; the value of subcontracted work; and owner references.
14. Description of your proposed approach to the project; with a breakdown of the major construction activities; the sequence they will be performed; and their durations.
15. Identify all work to be subcontracted along with its value.
16. Submit PLA "Letters of Assent" (technical spec book sequential pg. 506).
17. Submit Stormwater Certification form (technical spec book sequential pg. 375)
18. Submit proposed M/WBE Utilization Plan (form attached herewith).

Should you have any questions, please contact the undersigned at (516) 571-7508, or via e-mail at jdavenport@nassaucountyny.gov.

Very truly yours,



Joseph L. Davenport, P.E.
Chief Sanitary Engineer
Unit Head, Water/Wastewater Engineering Unit

JLD:rp

Attachment

c: Edward Visono, Assistant Superintendent of Sanitary Construction
Stephen Hadjiyane, Gannett Fleming
Eric Mills, Hazen & Sawyer
Chris Leal, Arcadis

Costello, Anthony J.

Subject: S80019-03GR CPL SW PS Pre-Award Mtg
Location: CC WPCP R Bldg 3rd Fl Conf Rm

Start: Fri 1/20/2017 10:00 AM
End: Fri 1/20/2017 11:30 AM

Recurrence: (none)

Meeting Status: Accepted

Organizer: Davenport, Joseph

Stephanie, please let Phil know of date & time of the meeting.

Eric/Chris, if someone else from the JV should attend, please pass along the meeting notice.

Thx,





Jan 13, 2017

Mr. Joseph Davenport
Nassau County Dept of Public Works
1194 Prospect Ave
Westbury, NY 11590

Re: Cedar Point Lake Stormwater
Contract# S80019-03GR

Dear Mr. Davenport,

- 1) Attached is a copy of our 6/30/16 financial statement, prepared by the CPA firm, Grassl & Co, which demonstrates our financial ability to perform this project. Also attached is a proof of an existing line of credit at Astoria Bank for \$4 million dollars. Also attached is a letter from our surety agent stating that we will be able to secure the required bonds for the project.
- 2) We have not had any suspensions or revocations against any officer of this company.
- 3) We have not had any OSHA violations within the last 3 years.
- 4) We have not had any violations in the last 5 years for any violations of labor laws towards any current or former employees.
- 5) We have not been a party to any claims for personal injury or wrongful death within the last 5 years.
- 6) We have not had any violation of the Prevailing Wage Law or any Labor Laws.
- 7) We have not had any violations of the Worker's Compensation Law.
- 8) We have not had any criminal convictions against any officers of this company within the last 5 years.
- 9) We have not had any environmental violations within the last 5 years for federal or state codes.

- 10) We are in compliance with requirements for Apprenticeship Training Programs, and attached are current letters from unions attesting to this. This contract is covered with a PLA agreement and the Exhibit A "Letter of Assent" will be signed at the Contract Signing.
- 11) We have not been determined as "non responsible" for any project within the last 5 years.
- 12) We have not had any contracts that involved liquidated damages; litigation; fines or penalties. Please see our list of prior wastewater experience for contact names and phone numbers for specific projects.
- 13) Attached is a list of our prior experience specifically with wastewater treatment plants.

- 14) ~~Approach to the work~~
Perform complete site clearing & demolition work.

Provide sheet piling cofferdam for construction of the new pump station.

Mass excavation & electrical building.

Supply & install auger cast piles for new pump station.

Supply & install drilled steel casissons for electrical structure.

Provide new cast in place concrete pump station.

New steel framing, gratings & railings.

Supply & install pre-engineered metal building for electrical enclosure.

Supply & install four submersible axial flow pumps with piping, instrumentation, controls, and associated equipment.

Rehabilitate the existing tide gate structure.


Provide electrical power equipment, conduits and cable for interconnecting of power, instrumentation and control.

Provide new asphalt driveway to pump station, electrical enclosure, and tide gate structure.

- 15) The major items to be subcontracted are the Electrical work \$390,000; Piles & Sheet piling \$450,000 and the Concrete work \$330,000 and Site clearing/Excavation \$300,000

Please let us know if you require any additional information before you award the project. We look forward to another successful project with Nassau County.

Sincerely,



Philip Carlucci
President



Liberty Mutual Surety

Liberty Mutual Insurance Company
Surety Claims Department, 1001 4th Avenue, Suite 1700
Seattle, WA 98154

January 13, 2017

County of Nassau
1194 Prospect Avenue
Westbury, NY 11590

Re: Philip Ross Industries, Inc.

**Project: Stormwater Pump Station Upgrades, Cedar Point Lake, Nassau County,
New York. Contract No.: S80019-03GR - General Construction**

To Whom It May Concern:

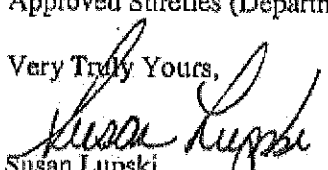
It has been the privilege of Alliant Insurance Services, Inc. and Liberty Mutual Insurance Company to provide surety bonds on behalf of Philip Ross Industries, Inc. for over 25 years, during which time Philip Ross Industries, Inc. has performed and we have provided performance and payment bonds. In our opinion, Philip Ross Industries, Inc. remains properly financed, well equipped, and well managed. Accordingly, we are prepared to provide the required Performance and Payment Bonds to Philip Ross Industries, Inc. should they be awarded a satisfactory contract

At the present time, Liberty Mutual Insurance Company provides a \$50,000,000.00 aggregate surety program to Philip Ross Industries, Inc. As always Liberty Mutual Insurance Company reserves the right to perform normal underwriting at the time of any bond request, including, without limitation, prior review and approval of relevant contract documents, bond forms, and project financing.

This letter is not an assumption of liability, nor is it a bid bond or performance bond. It is issued only as a bonding reference from us to our client

Liberty Mutual Insurance Company is listed on the U.S. Treasury Department's Listing of Approved Sureties (Department Circular 570), and is rated A, XV by A.M. Best Company.

Very Truly Yours,


Susan Lupski
Attorney-In-Fact

Member of Liberty Mutual Group

THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 7582309

Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company West American Insurance Company

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Camille Maitland; Colette A. Chisholm; Dana Granice; Desiree Cardlin; George O. Brewster; Gerard S. Macholz; Lee Ferrucci; Mia Woo-Warren; Michelle Warrnamaker; Nelly Renciwich; Peter F. Jones; Rita Sagistano; Robert T. Pearson; Susan Lypski; Thomas Bean; Vincent A. Walsh

all of the city of Unadilla, state of NY, each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surely and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 3rd day of January, 2017.



The Ohio Casualty Insurance Company
Liberty Mutual Insurance Company
West American Insurance Company

By: David M. Carey
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA
COUNTY OF MONTGOMERY

On this 3rd day of January, 2017, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Teresa Pastella, Notary Public
Upper Merion Twp., Montgomery County
My Commission Expires March 29, 2017
Member, Pennsylvania Association of Notaries

By: Teresa Pastella
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS - Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surely and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XII - Execution of Contracts - SECTION 6. Surety Bonds and Undertakings. Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surely and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surely and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Lewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this JAN 13 2017 day of January, 2017.



By: Renee C. Lewellyn
Renee C. Lewellyn, Assistant Secretary

ATTACHMENT 3
PHONE LOG REFERENCES

NCDPW
CEDAR POINT LAKE
STORMWATER PUMP STATION IMPROVEMENTS
GF PROJECT # 47876

CONTRACTOR REFERENCE INQUIRY NO.1

Date of call: 2-24-2016

Contractors Name: Phillip Ross Industries, Inc.

Contractor Reference: Locust Valley Pump Station
Locust Valley, NY
Nassau County

Spoke With: Philip Campesi
Debruin Engineering
631-620-3177

Project Scope: New Wastewater Pump Station

QUESTIONS / RESPONSES

Question 1: *How would you rate the overall performance of the Contractor from 1 to 10-- with 10 being the highest possible recommendation?*

Response 1: 7.5

Question 2: *Did the contractor seek change orders for every miscellaneous item, or were his change order requests associated with field conditions that differed from information provided to him?*

Response 2: Field conditions

Question 3: *Did the Contractor complete the work on schedule?*

Response 3: Yes

Question 4: *Did the Contractor complete the work within budget?*

Response 4: Yes

Question 5: *Please rate the staff/superintendent that the Contractor had on site with 10 being the highest recommendation.*

Response 5: 8

Question 6: *Did the Contractor require or ask for a time extension?*

Response 6: No

Question 7: *Were the shop drawing submittals adequate or were there a lot of back and forth submittals?*

Response 7: Satisfactory

Question 8: *Did the contractor work as part of a team or was there an adversarial relationship?*

Response 8: Satisfactory

Question 9: *Would you retain the Contractor for another project?*

Response 9: Yes

Question 10: *Did the Contractor need to prepare a MOPO to keep the facility operating during construction and if so how did the Contractor perform? Were there any issues.*

Response 10: No

Question 11: *Do you have any additional comments concerning the Contractor's performance?*

Response 11: No.

NCDPW
CEDAR POINT LAKE
STORMWATER PUMP STATION IMPROVEMENTS

GF PROJECT # 47876

CONTRACTOR REFERENCE INQUIRY NO.2

Date of call: 2-24-2016

Contractors Name: Phillip Ross

Contractor Reference: Digester Gas Valves
Cedar Creek WPCP
Nassau County NY

Spoke With: Philip Campesi
Debruin Engineering
631-620-3177

Project Scope: Replace Digester Valves/Piping

QUESTIONS / RESPONSES

Question 1: *How would you rate the overall performance of the Contractor from 1 to 10--- with 10 being the highest possible recommendation?*

Response 1: 9

Question 2: *Did the contractor seek change orders for every miscellaneous item, or were his change order requests associated with field conditions that differed from information provided to him?*

Response 2: Field conditions

Question 3: *Did the Contractor complete the work on schedule?*

Response 3: Yes

Question 4: *Did the Contractor complete the work within budget?*

Response 4: Yes

Question 5: *Please rate the staff/superintendent that the Contractor had on site with 10 being the highest recommendation.*

Response 5: 7

Question 6: *Did the Contractor require or ask for a time extension?*

Response 6: No

Question 7: *Were the shop drawing submittals adequate or were there a lot of back and forth submittals?*

Response 7: Satisfactory

Question 8: *Did the contractor work as part of a team or was there an adversarial relationship?*

Response 8: Satisfactory

Question 9: *Would you retain the Contractor for another project?*

Response 9: Yes

Question 10: *Did the Contractor need to prepare a MOPO to keep the facility operating during construction and if so how did the Contractor perform? Were there any issues.*

Response 10: No

Question 11: *Do you have any additional comments concerning the Contractor's performance?*

Response 11: No.

NCDPW
CEDAR POINT LAKE
STORMWATER PUMP STATION UPGRADES

GF PROJECT # 47876

CONTRACTOR REFERENCE INQUIRY NO.3

Date of call: 2-24-2017

Contractors Name: Philip Ross Industries, Inc.

Contractor Reference: Great Neck North Water Authority - Packed
Tower Aeration System, Community Drive
Town of North Hempstead, Pt. Washington
Water District – Nitrate Removal System, Well 4
Town of Hempstead, Roosevelt Field Water
District – GAC Treatment at Well 7
Stewart Manor, Salisbury Ave. – Swimming Pool
Rehabilitation
Town of Hempstead, Roosevelt Field Water
District – Packed Tower Aeration System at Well
12, Corporate Drive, Westbury
Town of Hempstead, Levittown Water District –
Packed Aeration System at Well 2A, Old Farm
Road

Spoke With: Bill Merklin
D&B Engineers and Architects, P.C.
516-364-9890

Project Scope: Various

QUESTIONS / RESPONSES

Question 1: *How would you rate the overall performance of the Contractor from 1 to 10--- with 10 being the highest possible recommendation?*

Response 1: 9

Question 2: *Did the contractor seek change orders for every miscellaneous item, or were his change order requests associated with field conditions that differed from information provided to him?*

Response 2: Field conditions

Question 3: *Did the Contractor complete the work on schedule?*

Response 3: Yes

Question 4: *Did the Contractor complete the work within budget?*

Response 4: Yes

Question 5: *Please rate the staff/superintendent that the Contractor had on site with 10 being the highest recommendation.*

Response 5: 6-8

Question 6: *Did the Contractor require or ask for a time extension?*

Response 6: For a couple projects where additional work was required.

Question 7: *Were the shop drawing submittals adequate or were there a lot of back and forth submittals?*

Response 7: Submittals were great.

Question 8: *Did the contractor work as part of a team or was there an adversarial relationship?*

Response 8: Part of a team.

Question 9: *Would you retain the Contractor for another project?*

Response 9: Yes, definitely.

Question 10: *Did the Contractor need to prepare a MOPO to keep the facility operating during construction and if so how did the Contractor perform? Were there any issues.*

Response 10: No written MOPO, but contractor met all requirements.

Question 11: *Do you have any additional comments concerning the Contractor's performance?*

Response 11: Been working with them over past 20 years. They deliver successful projects.

NCDPW
CEDAR POINT LAKE
STORMWATER PUMP STATION UPGRADES

GF PROJECT # 47876

CONTRACTOR REFERENCE INQUIRY NO.4

Date of call: 2-28-2017

Contractors Name: Philip Ross Industries, Inc.

Contractor Reference: City of Long Beach, NY - Emergency Repairs to
Water Pollution Control Plant

Spoke With: Mark Rauber
Cameron Engineering & Associates
516-827-4900

Project Scope: New influent flow meter system, new level
control system, replace sluice gate actuators and
clarifier drive shafts, wet well inlet piping, sludge
mixing pumps, electrical tie-ins.

QUESTIONS / RESPONSES

Question 1: *How would you rate the overall performance of the Contractor from 1 to 10--- with 10 being the highest possible recommendation?*

Response 1: 7-8

Question 2: *Did the contractor seek change orders for every miscellaneous item, or were his change order requests associated with field conditions that differed from information provided to him?*

Response 2: Field conditions

Question 3: *Did the Contractor complete the work on schedule?*

Response 3: Yes

Question 4: *Did the Contractor complete the work within budget?*

Response 4: Yes

Question 5: *Please rate the staff/superintendent that the Contractor had on site with 10 being the highest recommendation.*

Response 5: 6-7

Question 6: *Did the Contractor require or ask for a time extension?*

Response 6: No, maybe just an extra month or two for close-out/punch list.

Question 7: *Were the shop drawing submittals adequate or were there a lot of back and forth submittals?*

Response 7: Satisfactory

Question 8: *Did the contractor work as part of a team or was there an adversarial relationship?*

Response 8: Part of a team.

Question 9: *Would you retain the Contractor for another project?*

Response 9: Yes.

Question 10: *Did the Contractor need to prepare a MOPO to keep the facility operating during construction and if so how did the Contractor perform? Were there any issues.*

Response 10: Required MOPOs were typically discussed verbally or written as part of meeting minutes, not written out formally.

Question 11: *Do you have any additional comments concerning the Contractor's performance?*

Response 11: Worked with them on a lot of projects. Satisfactory rating.

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, Executive Vice President

FROM: Department of Public Works

DATE: November 2, 2016

SUBJECT: CSEA Notification of a Proposed DPW Contract
Cedar Point Lake Storm Water Pump Station Upgrades
Proposed Contract No: S80019-03GR

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a contract/agreement for the following services:
General Construction services for the reconstruction and storm mitigation measures for the Cedar Point Lake Storm Water Pump Station
2. The work involves the following:
The contract is to provide the necessary labor and materials for a new concrete PS structure, including the installation of new axial flow pumps, and all associated electrical, mechanical instrumental and controls.
3. An estimate of the cost is: \$3,500,000
4. An estimate of the duration is: Eighteen (18) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within 10 days to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.


Kenneth G. Arnold
Assistant to Commissioner

KGA:WSN:JLD:rp

- c: Christopher Fusco, Director, Office of Labor Relations
Brian Libert, Deputy Director, Office of Labor Relations
Keith Cromwell, Office of Labor Relations
William S. Nimmo, Deputy Commissioner
Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit
Patricia Kivo, Unit Head, Human Resources Unit
Loretta Dionisio, Hydrogeologist II
Jonathan Lesman, Management Analyst II



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Water/Wastewater Engineering Unit
Att: Joseph L. Davenport, Unit Head

FROM: Office of the Commissioner

DATE: December 2, 2016

SUBJECT: CSEA Sub-Contracting Approval
S800190-03GR - General Construction for Reconstruction and Storm Mitigation for
Cedar Point Lake Pump Station.
C16-029

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract C16-029. Pursuant to Section 32-3 of the CSEA/County C.B.A. the CSEA has withdrawn the alternate.

Please prepare the necessary contract advisement to encumber funding for this work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions please speak with Jonathan Lesman.



Kenneth G. Arnold
Assistant to Commissioner

KGA:las

c Richard P. Millet, Chief Deputy Commissioner
Loretta Dionisio, Hydrogeologist II ✓
Jonathan Lesman, Management Analyst II



PROPOSAL

COUNTY OF NASSAU
STATE OF NEW YORK

PROPOSAL

FOR

STORMWATER PUMP STATION UPGRADES
CEDAR POINT LAKE
NASSAU COUNTY, NY

Contract No. S80019-03GR

GENERAL CONSTRUCTION

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: Philip Ross Industries, Inc.

Bidder: corporation

(Individual, Firm or Corporation, as case may be)

Bidder's Business Address: 200 Long Island Ave; Wyandanch, NY

Telephone: 631-253-3077

Date of Bid: 1/10/17

Fax: 631-253-0180

E-Mail: _____

PROPOSAL

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PROPOSAL

(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: _____

Residence of Individual: _____

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: _____

Name and Residence of Partner: _____

Name and Residence of Partner: _____

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of NY

Name and Residence of President: Philip Carlucci

Name and Residence of Vice-President: _____

Name and Residence of Secretary: _____

Name and Residence of Treasurer: _____

PROPOSAL

++ NO TEXT ON THIS PAGE ++

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PROPOSAL

GENERAL

THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of labor, equipment, other facilities needed for the performance of the Work, the general and local conditions, and all other items which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities of Glen Cove or Long Beach, the contractor shall be required to have such a license.

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

I. Rejection of Bids.

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend / to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

PROPOSAL

++ NO TEXT ON THIS PAGE ++

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PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING
A BID ON GENERAL CONSTRUCTION
CONTRACT NO. S80019-03GR

ITEM NO.	APPROX. QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
G-LS-1	Lump Sum	Base Bid for Base Bid for furnishing all Labor, Materials and Equipment required for all Construction work at Cedar Point Lake Pump Station as specified and shown on the drawings, complete and ready for operation. <i>Three million, six hundred and fifty thousand</i> DOLLARS CENTS <i>Thousand</i>	N/A	N/A	3,828,000	-
G-A-1	Allowance	For furnishing all labor, materials, equipment and incidentals for additional miscellaneous work. (Section 01020) Fifty Thousand DOLLARS CENTS No	N/A	N/A	\$50,000	00
G-UP-1	60 Cubic Yard	For furnishing all labor, materials, equipment, and incidentals for the dredging, loading, transport, and proper disposal of dredged materials as required to install the new pump station. <i>see hundred</i> DOLLARS CENTS	100	-	6,000	-
G-UP-2	1	For furnishing all labor, materials, equipment, and incidentals to completely uninstall and reinstall the temporary bulkhead located at the tide gate structure if required by NCDPW. <i>five thousand</i> DOLLARS CENTS	5,000	-	5,000	-

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PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING
A BID ON GENERAL CONSTRUCTION
CONTRACT NO. S80919-03GR

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
TOTAL BASE BID (Bid Item Nos. G-LS-1 thru G-UP-2 inclusive)						
		\$ 9,890,000				
TOTAL BASE BID (Bid Item Nos. G-LS-1 thru G-UP-2); MUST BE WRITTEN IN WORDS:						
		Three million eight hundred ninety thousand				
		DOLLARS & zero cents				

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

ALLOWANCES: It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. G-A-1: An allowance of \$50,000 for Miscellaneous Additional Work.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and Section 01200 - Measurement and Payment.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID: Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances.

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

MAJOR EQUIPMENT ITEMS: The Bidder shall fill the name and address of the proposed system suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

<u>Specification Number</u>	<u>Description</u>	<u>Manufacturer and/or Supplier</u>
11215	Submersible Turbine Pumps	Allen/Elmer, many others, or ESDA
13120	Electrical Enclosure	Don Buildings, Butler Bldg, USA Bldg or ESDA
16482	Motor Control Centers	Siemens, S.O.V. or ESDA

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL
IRAN DIVESTMENT ACT - CERTIFICATION

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

- ☒ a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership, society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,
- ☐ b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.


Signature/Date

Philip Carlucci, pres.
Print Name and Position

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

MacBride Fair Employment Principles

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:

MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable);

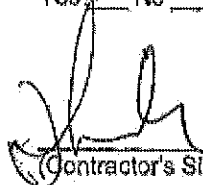
(1) have business operations in Northern Ireland;

Yes ☐ No ☒

If yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes ☐ No ☐


(Contractor's Signature)

Philip Ross Industries, Inc
(Name of Business)

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL
GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

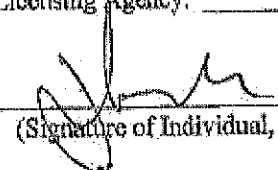
Bidder: Philip Ross Industries, Inc
(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: _____

Federal ID No: 11-2707717

Municipal License ID Number: _____

Municipal Licensing Agency: _____

By:  Date: 1/10/17
(Signature of Individual, Partner or Corporate Officer)

(Print): Philip Carlucci Title: pres.

WHERE BIDDER IS A CORPORATION, ADD

ATTEST: 
Secretary

(CORPORATE)
(SEAL)

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL
GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU)

_____, Being duly sworn, deposes and says:
That he is the person described in and who executed the foregoing Bid and that the several matters therein
stated are in all respects true.
Subscribed and sworn to before me
This _____ day of _____ 20____.

Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU)

_____, Being duly sworn, deposes and says:
That he is a member of _____, the firm described in and which
executed the foregoing Bid; that he duly subscribed the name of the firm thereunto on behalf of the firm; and
that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
This _____ day of _____ 20____.


Notary Public

PROPOSAL

++ NO TEXT ON THIS PAGE ++

(Form of Affidavit where Bidder is a Corporation)

Philip Carlucci
That he resides at Melville, NY Being duly sworn, deposes and says:
that he is the pres
of the corporation described in and which executed the foregoing
instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such
corporate seal and was affixed by order of the Board of Directors of said corporation; that he affixed his
name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all
respects true.


Notary Public

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PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING
A BID ON GENERAL CONSTRUCTION
CONTRACT NO. S80019-03GR

ITEM NO.	APPROX. QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
G-LS-1	Lump Sum	Base Bid for Base Bid for furnishing all Labor, Materials and Equipment required for all Construction work at Cedar Point Lake Pump Station as specified and shown on the drawings, complete and ready for operation. <i>Three million, eight hundred and twenty nine thousand</i> DOLLARS CENTS <i>17005 and</i>	N/A	N/A	3,829,000	-
G-A-1	Allowance	For furnishing all labor, materials, equipment and incidentals for additional miscellaneous work. (Section 01020) Fifty Thousand DOLLARS CENTS No	N/A	N/A	\$50,000	00
G-UP-1	60 Cubic Yard	For furnishing all labor, materials, equipment, and incidentals for the dredging, loading, transport, and proper disposal of dredged materials as required to install the new pump station. <i>nine hundred</i> DOLLARS CENTS	100	-	6,000	-
G-UP-2	1	For furnishing all labor, materials, equipment, and incidentals to completely uninstal and reinstall the temporary bulkhead located at the tide gate structure if required by NCDPW. <i>five thousand</i> DOLLARS CENTS	5,000	-	5,000	-

++ NO TEXT ON THIS PAGE ++

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING
A BID ON GENERAL CONSTRUCTION
CONTRACT NO. S80019-03GR

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
<p>TOTAL BASE BID (Bid Item Nos. G-LS-1 thru G-UP-2 inclusive)</p> <p><u>\$ 9,890,000</u></p>						
<p>TOTAL BASE BID (Bid Item Nos. G-LS-1 thru G-UP-2); MUST BE WRITTEN IN WORDS:</p> <p><u>Three million eight hundred ninety thousand</u></p> <p><u>Dollars & zero cents</u></p>						

PROPOSAL

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U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12649, Debarment and Suspension, 28 CFR Part 67, Section 67.540, Participant responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, each prospective participant shall attach an explanation to this proposal.

Philip Carlucci, pres.

1/10/17

Name and Title of Authorized Representative

m/d/yy

Signature

Date

Philip Ross Industries, Inc.

Name of Organization

200 Long Island Ave , Wyandanch, NY 11798

Address of Organization

U.S. DEPT. OF JUSTICE
OJP FORM 406111 (REV. 2/89) Previous editions are obsolete

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 32

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 32

b. as a Subcontractor 3e2

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

see attached list

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

see attached list

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? no
If so, identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? no
If so, identify the project, the owner, the contract amount, the circumstances and the date of all default actions

NO TEXT ON THIS PAGE

c. ever been declared a non-responsible bidder by any municipality or public agency? no
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? no
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debazments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? no
If so; state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? no
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? no
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

NO TEXT ON THIS PAGE

- d. that has ever been barred from bidding municipal or public contracts? no
If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? no
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

none

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

none

10. In what other lines of business are you financially interested?

none

NO TEXT ON THIS PAGE

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Philip Carluci	pres.	35+	all phases	owner

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	--	------------------	------------------

see attached list

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

not applicable

(use additional blank sheets if additional space is necessary)

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Philip Ross Industries, Inc.
200 Long Island Avenue
Wyandanch, NY 11798
(631) 253-3077

CERTIFIED NY STATE DEPT OF ENVIRONMENTAL CONSERVATION
WELL DRILLER SINCE 1997 (LICENSE # 1776)

PROJECT REFERENCES

PROJECT:	Wellhead Treatment at Plant 5
LOCATION:	Locust Valley, NY
OWNER:	Locust Valley water District
CONTACT:	Dustin Rigos (631) 756-8000
VALUE:	\$1,289,000
COMPLETED:	6/15
DESCRIPTION:	Install GAC treatment system for VOC removal. Includes 2 GAC vessels; valves; associated piping & construction of Building extension to house the treatment system.
PROJECT:	Emergency Repairs to Water Pollution Control Plant
LOCATION:	Long Beach, NY
OWNER:	City of Long Beach
CONTACT:	Mark Rauber (516) 827-4900
VALUE:	\$1,513,670
COMPLETED:	12/15
DESCRIPTION:	Removals of existing equipment; install new influent flow Meter system; install level control system; replace sluice gate actuators & clarifier drive shafts; wet well inlet piping; sludge mixing pumps; electrical tie ins.
PROJECT:	GAC Treatment facility
LOCATION:	Levittown, NY
OWNER:	AGVIG/ US Navy/ CH2M HILL
CONTACT:	Jim Nicotri 781-583-5724
VALUE:	\$2,534,000
COMPLETED:	7/15
DESCRIPTION:	Removals of all existing equipment; install GAC vessels; Waste tank; process pipe & valves; backwash pumps; chemical Systems; pressure testing; tie in to natural gas lines; rebuild Well pumps & motors.

PROJECT: Improvements to Waste Water Pollution Plant
LOCATION: Greenport
OWNER: Inc. Village of Greenport
CONTACT: Rich Hults (516) 364-9880
VALUE: \$6,180,000
COMPLETED: Completed 8/12
DESCRIPTION: Dewater existing sludge facilities; install concrete Tanks; BNR system; new screening facilities; Ultra-Violet light disinfection systems; sludge holding tanks; aerobic holding tank; new clarifier internals.

PROJECT: VOC Treatment at Plant 1
LOCATION: South Farmingdale, NY
OWNER: South Farmingdale Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$6,747,000
COMPLETION: Completed 7/11
DESCRIPTION: Site work; removals; cast in place concrete; new VOC & Iron treatment bldg; air stripper & iron removal vessels; Mechanical piping; blowers & pumps; HVAC; metals.

PROJECT: Packed Tower Aeration System
LOCATION: Community Drive
OWNER: Water Authority of Great Neck North
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$4,578,000
COMPLETED: Completed 6/13
DESCRIPTION: Install packed aeration system; well pumps; piping; Control systems; site work; chemical equipment; asphalt; Sodium hypochlorite system; electrical.

PROJECT: Construction of Locust Valley Sewer Pump Station
LOCATION: Locust Valley
OWNER: Nassau County
CONTACT: Phil Campisi (516) 579-5856
VALUE: \$1,695,000
COMPLETED: Completed 6/11
DESCRIPTION: Site clearing; construction pump bldg; comminutor & pumps; piping; wet well; fuel tank; odor control; Flow elements; electrical; HVAC; plumbing & start up

PROJECT: Digester Cover Gas Valve Improvements
LOCATION: Cedar Creek Water Pollution Plant
OWNER: Nassau County
CONTACT: Bob Petersen (516) 571-7389
VALUE: \$440,000
COMPLETED: Completed 6/11
DESCRIPTION: Replace valves & digester plugs in 9 digesters;
Repair Lightning Protection systems; replace
Stair assemblies as needed.

PROJECT: Granular Activated Carbon System
LOCATION: Plant 7- Contract G & P
OWNER: So Huntington water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,638,000
COMPLETED: Completed 1/14
DESCRIPTION: Removals; construction pump station bldg.; install
GAC treatment facility; fuel tank; chemical treatment
System; disinfection; GAC vessels; piping

PROJECT: Nitrate Removal at Plant 8
LOCATION: Hicksville
OWNER: Hicksville Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,405,000
COMPLETED: Completed 4/10
DESCRIPTION: Site Clearing; Fencing; Landscaping; Concrete; Masonry;
Carpentry; Waterproofing; Painting; Airstripping System;
Chemical Monitoring; Mechanical Piping

PROJECT: Nitrate Removal System
LOCATION: Well 4
OWNER: Town of North Hempstead & the Pt Washington water Dis.
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,404,000
COMPLETED: Completed 3/13
DESCRIPTION: Construction of nitrate system bldg.; paving; replace diesel
Engine; concrete; solenoid valves; GAC vessel coating; pumps

PROJECT: VOC Removal at Plant 4
LOCATION: East Farmingdale, NY
OWNER: Town of Babylon
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,051,000

COMPLETION: Completed 4/11
DESCRIPTION: Removals; new treatment bldg; mechanicals for
New bldg; HVAC system; new chemical treatment
System; site work; air stripping tower & assoc. equip

PROJECT: GAC Filter at Plant 15
LOCATION: South Huntington
OWNER: South Huntington Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,045,608
COMPLETED: Completed 2/10
DESCRIPTION: Site work; Concrete; Masonry; Stairs; Carpentry;
Waterproofing; Modular Absorption System; Caustic;
Chemical Monitoring Equip; Mechanical Piping; Electric.

PROJECT: GAC Treatment at Well 7
LOCATION: Roosevelt Field Water District
OWNER: Town of Hempstead
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,186,000
COMPLETION: Completed 4/11
DESCRIPTION: Replace well pump; construct new carbon treatment bldg;
All mechanical piping & fittings; HVAC; new carbon
absorption system.

PROJECT: VOC Removal at Plant 11
LOCATION: Greenlawn
OWNER: Greenlawn Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$1,197,000
COMPLETED: Completed 9/09
DESCRIPTION: Site clearing; Concrete; Masonry; Metals; Carpentry;
Modular adsorption system; Mechanical; Electric.

PROJECT: Airstripping for VOC Removal at Well 1 & 2
LOCATION: Franklin Square
OWNER: Franklin Square Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$1,347,000
COMPLETED: Completed 8/09
DESCRIPTION: Site Clearing; excavation; paving; concrete; site
Water lines; disinfection; leaching pool; landscaping;
Floor coating; turbine pumps; air stripping tower; piping.

PROJECT: GAC Treatment for VOC Removal at Well 7
LOCATION: Garden City, NY
OWNER: Inc Vlg of Garden City
CONTACT: Rich Humann (631) 756-8000
VALUE: \$592,345
COMPLETED: 11/08
DESCRIPTION: Site clearing; site water lines; fencing; seeding; concrete;
Structural steel; metals; water treatment system; carbon;
Piping; modular absorption system; painting.

PROJECT: GAC Treatment for VOC Removal at Plant 11
LOCATION: Hicksville, NY
OWNER: Hicksville Water District
CONTACT: Thomas Carrahill (631) 756-8000
VALUE: \$560,000
COMPLETED: 10/08
DESCRIPTION: Site clearing; trenching; concrete; fencing; structural steel;
Modular absorption system; carbon; piping; valves

PROJECT: Swimming Pool Rehabilitation
LOCATION: Salisbury Ave.
OWNER: Inc. Village of Stewart Manor
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,378,075
COMPLETED: 6/08
DESCRIPTION: Replace filter bldg; concrete decks; pool gutter;
Landscaping; retaining wall; piping; paving; pool liner;
Refreshment stand; drainage structures; ventilation.

PROJECT: Packed Tower Aeration System at Well 12
LOCATION: Corporate Dr., Westbury
OWNER: Town of Hempstead & Roosevelt Field Water District
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,402,236
COMPLETED: 11/07
DESCRIPTION: Modify existing pump; construct new aeration bldg;
Install packed tower; pumps & blowers; electrical;
Control system; ventilation system.

PROJECT: Perchlorate Removal at Well BDG-1
LOCATION: Plainview Rd
OWNER: Bethpage Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$1,178,000

COMPLETED: 8/08
DESCRIPTION: Well head treatment; Site work; Concrete; Masonry;
Waterproofing; Modular Iron Exchange System; Piping

PROJECT: Packed Aeration System at Well 2A
LOCATION: Old Farm Rd
OWNER: Town of Hempstead & Levittown Water District
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,100,000
COMPLETED: 12/05
DESCRIPTION: Replace well pump& motor; build aeration bldg &
clearwell; install packed tower; piping; booster pump;
Ventilation equipment.

PROJECT: Airstripping for VOC removal at Plant 2
LOCATION: Whitson Rd
OWNER: Plainview Water District
CONTACT: Tony Trombino (631) 756-8600
VALUE: \$2,300,000
COMPLETED: 5/07
DESCRIPTION: Install air stripping tower; grading; piping; precast concrete;
Fencing; landscaping; masonry; concrete; carpentry; metal
work; vertical turbine pumps; HVAC; backflow prevention.

PHILIP ROSS INDUSTRIES, INC.
200 LONG ISLAND AVE
WYANDANCH, NY 11798

Quantity	Make	Model	Equipment	Serial #
1	Hitachi	EX200LC	Hydraulic Excavator	14767886
1	Hitachi	EX50	Hydraulic Excavator	1BUP240227
1	Ideco	MES2500	Hydraulic Breaker	1157
1	JCB	1700B	Backhoe	333427
1	'87 Ford	555B	Backhoe	C773880
1	Ditch Witch	6510	Trencher	430807
1	Godwin	WP200	8" Well Point Pump	91488870
1	Godwin	WP200	8" Well Point Pump	90572275
1	Vermeer	88	Trencher	
1			Forklift	
1	Skidsteer	960	Loader	563128N
5			Office Trailers	
1	Grove		18 Ton Iron Crane	69708RT418
1	Cat	DC3XL	Dozer Crawler	4TS1013
1	Volvo	L120C	Loader	10924
3	Interstate	14RBS	Tag along Trailers	
1	Hitachi	2X35US	Compact Excavator	

14. In what manner have you inspected this proposed work?
Explain in detail.

Per plans & specs

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

Per plans & specs

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

Philip Carlucci

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
g.l.	Harleysville Ins	2 mill	11/16-11/17
auto	Harleysville Ins	1 mill	11/16-11/17
workers comp	state ins fund	statutory	12/16-12/17
umbrella	Navigators ins	10 mill	11/16-11/17

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Philip Carlucci



Employment:

1984 – Present Philip Ross Industries, Inc., Wyandanch, NY- President and Project Manager

Experience:

- Sole owner responsible for all phases and departments of company
- Supervisor of all field and administrative personnel
- Project management and coordination.
- Oversight of bids and estimating department in order to continually improve win ratios.
- Sales meetings and making presentations to current and potential customers.
- Oversight of billing and accounting department.
- Field engineering & interpretation engineering blueprints & specifications to identify and describe work scope changes.
- Setting the profit margin for the project and responsible to track job progress, schedule and material costs.
- Attend project meetings with the owners and engineers continually communicating with the various parties and reacting to their needs in a timely manner.
- Oversight of implementation of new technologies and software both in the office and in the field.
- Supervision of all project managers and subcontractor relationships.

Skill Areas:

- Excellent communication skills, both verbal and written.
- Proven engineering/project management skills.
- Ability to identify, prioritize, delegate and conduct appropriate follow up on all variations of project phases.
- Strong planning & organizational skills.
- OSHA oversight and compliance.

1. The first part of the document is a list of the names of the persons who have been appointed to the various offices of the city of New York.

2. The second part of the document is a list of the names of the persons who have been appointed to the various offices of the city of New York.

3. The third part of the document is a list of the names of the persons who have been appointed to the various offices of the city of New York.

4. The fourth part of the document is a list of the names of the persons who have been appointed to the various offices of the city of New York.

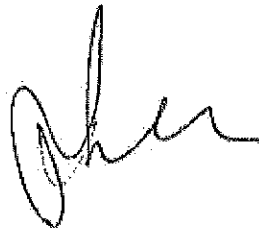
- Identify project costs and devise creative alternate solutions/options to common industry obstacles with saved the company time and money.
- Developed relationships with qualified, reliable & competitive subcontractors and vendors.

Education:

State University of NY- Farmingdale Campus
Associates in Business Administration- 1983

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18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "Qualifications and Responsibility of Bidders" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

A handwritten signature in dark ink, appearing to be a stylized name, possibly "John" or "John Doe", written in a cursive script.

NO TEXT ON THIS PAGE

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
CONSULTANT/ CONTRACTOR DETAILED MBE/WBE UTILIZATION PLAN

Part 1 - General Information:

Consultant/Contractor Name:	Philip Ross Industries Inc
Address (street/city/state/zip code):	200 Long Island Ave, Syosset NY 11798
Authorized Representative (name/title):	S. Kelleman, Controller
Authorized Signature:	<i>Stephen Ross</i>
Contract Number:	631-253-3077
Contract/Project Name:	Cedar Point Lake
Contract/Project Description:	

Part 2 - Projected MBE/WBE Contract Summary:

	Amount (\$)		Percentage (%)
Total Dollar Value of the Prime Contract	3,890,000		
Total MBE Dollar Amount	437,000	MBE Contract Percentage	10%
Total WBE Dollar Amount	341,000	WBE Contract Percentage	10%
Total Combined M/WBE Dollar Amount	778,000	Combined M/WBE Contract Percentage	20%

Part 3- MBE Information (use additional blank sheets as necessary):

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount (\$) and Award Date	MBE Contract Scheduled Start Date and Completion Date
Name: Bank Ave Bldg 581x Address: 2120 Atlantic Ave City: Brooklyn State/Zip Code: NY 11233 Authorized Representative:	Building & Construction Supplies	Amount (\$): 40,000 Award Date:	Start Date: Completion Date:
Telephone No. 718-403-0100 Name: Furniture Connections Address: 1857 Cleeve Ave City: Bronx, State/Zip Code: NY 10472 Authorized Representative:	Metal Bldg	Amount (\$): 164,000 Award Date:	Start Date: Completion Date:
Authorized Representative:		Award Date:	Completion Date:
Telephone No. 718-430-6888 Name: NY Bldg Supply Address: 933 Columbus Ave City: NY State/Zip Code: NY 10025 Authorized Representative:	Pipe & Fittings	Amount (\$): 70,000 Award Date:	Start Date: Completion Date:
Telephone No. 212-678-4800		Award Date:	Completion Date:

Part 3- MBE Information (use additional blank sheets as necessary):

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount (\$) and Award Date	MBE Contract Scheduled Start Date and Completion Date
Name: <i>MACE CONTRACTORS</i> Address: <i>35 Borkman Rd</i> City: <i>New Rochelle</i> State/Zip Code: <i>NY 10801</i> Authorized Representative: <i>V. Blaise</i> Telephone No. <i>914-576-0606</i>	<i>Pumps + gates</i>	Amount (\$): <i>150,000</i> Award Date: _____	Start Date: _____ Completion Date: _____
Name: <i>Architectural Signs</i> Address: <i>145 Mechanum Ave</i> City: <i>Elkhon</i> State/Zip Code: <i>NY 11003</i> Authorized Representative: _____ Telephone No. _____	<i>Signs</i>	Amount (\$): <i>3,000</i> Award Date: _____	Start Date: _____ Completion Date: _____
Name: <i>Andrew Jones</i> Address: <i>135-06 Jones St</i> City: <i>Jamaica</i> State/Zip Code: <i>NY 11434</i> Authorized Representative: <i>Andy Jones</i> Telephone No. <i>917-755-8177</i>	<i>503 site photos</i>	Amount (\$): <i>10,000</i> Award Date: _____	Start Date: _____ Completion Date: _____

Part 4- WBE Information (use additional blank sheets as necessary):

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount (\$) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: Diana-Cost Structures Co Address: 870 Sylvan Ave City: Bayside State/Zip Code: NY 11705 Authorized Representative: Maudelene Carter Telephone No. 631-418-1801	EXCAVATION	Amount (\$): 218,000 Award Date:	Start Date: Completion Date:
Name: Process Constructors Address: 41 Elm St City: Fairfield State/Zip Code: NY 07004 Authorized Representative: Telephone No. 973-575-7464	INSTALLATION OF CONCRETE	Amount (\$): 5,000 Award Date:	Start Date: Completion Date:
Name: Rod Bostons Inc Address: 36 Broadmoor Lane City: Westbury State/Zip Code: NY 11590 Authorized Representative: Andrew Telephone No. 516-333-7604		Amount (\$): 60,000 Award Date:	Start Date: Completion Date:

Part 4 - WBE Information (use additional blank sheets as necessary):

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount (\$) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: <i>CASSON LEASING</i> Address: <i>1950 Lake Land Ave</i> City: <i>BUNKER HON A</i> State/Zip Code: <i>NY 11778</i> Authorized Representative:	<i>Site feasibility</i>	Amount (\$): <i>28,000</i> Award Date:	Start Date: Completion Date:
Telephone No. <i>631-585-7800</i> Name: <i>METRO-PAD SPY</i> Address: <i>15 Fairchild Ct</i> City: <i>Arville</i> State/Zip Code: <i>NY 11803</i> Authorized Representative:	<i>Pipe & Fittings</i>	Amount (\$): <i>10,000</i> Award Date:	Start Date: Completion Date:
Telephone No. <i>516-344-7373</i> Name: <i>AVIA CO</i> Address: <i>116 Fairfield Rd</i> City: <i>Fairfield</i> State/Zip Code: <i>NY 07004</i> Authorized Representative: <i>John AAS</i> Telephone No. <i>913-575-6377</i>	<i>Schedules</i>	Amount (\$): <i>20,000</i> Award Date:	Start Date: Completion Date: