1. Public Notice And Calendar 4/12/21

Documents:

4-12-21 CALENDAR.PDF 4-12-21 SPECIAL MEETING.PDF

2. Additional Backups 4/12/21

Documents:

B-10-21 ADDITIONAL BACKUP 2 NCWEB.PDF B-13-21 ADDITIONAL BACKUP 2 NCWEB.PDF B-14-21 ADDITIONAL BACKUP 2 NCWEB.PDF

## LEGISLATIVE CALENDAR

# NASSAU COUNTY LEGISLATURE SPECIAL MEETING

MINEOLA, NEW YORK APRIL 12, 2021 1:00PM

Please be advised that due to health and safety concerns associated with the COVID-19 virus, the Peter J. Schmitt Memorial Legislative Chamber will be open to a maximum of thirty-five members of the public. Further, this meeting will be available for viewing online at <u>http://www.nassaucountyny.gov/agencies/Legis/index.html</u>

Public comment on any item may be emailed to the Clerk of the Legislature at <u>LegPublicComment@nassaucountyny.gov</u> and will be made part of the formal record of this Legislative meeting.

While Chamber capacity is limited, the Nassau County Legislature is committed to making its public hearings accessible to individuals with disabilities. If, due to a disability, you need an accommodation or assistance to participate in the public hearing or to obtain a copy of the transcript of the public hearing in an alternative format in accordance with the provisions of the Americans with Disabilities Act, please contact the Office of the Clerk of the Legislature at 571-4252, or the Nassau County Office of the Physically Challenged at 227-7101 or TDD telephone No. 227-8989.

EVERY LEGISLATIVE MEETING IS STREAMED LIVE ON http://www.nassaucountyny.gov/agencies/Legis/index.html

1.

#### **RESOLUTION NO. 40-2021**

A RESOLUTION PURSUANT TO SECTION 153 OF THE COUNTY GOVERNMENT LAW OF NASSAU COUNTY TO RECONSIDER AND APPROVE A LOCAL LAW DISAPPROVED BY THE COUNTY EXECUTIVE IN RELATION TO THE ESTABLISHMENT OF AN INDEPENDENTLY ELECTED ASSESSOR. 129-21(LE)



## PLEASE TAKE NOTICE THAT

## THE NASSAU COUNTY LEGISLATURE WILL HOLD

## A SPECIAL MEETING OF THE FULL LEGISLATURE

ON

## MONDAY, APRIL 12, 2021 AT 1:00 PM

IN

## THE PETER J. SCHMITT MEMORIAL LEGISLATIVE CHAMBER THEODORE ROOSEVELT EXECUTIVE AND LEGISLATIVE BUILDING 1550 FRANKLIN AVENUE, MINEOLA, NEW YORK 11501

Please be advised that due to health and safety concerns associated with the COVID-19 virus, the Peter J. Schmitt Memorial Legislative Chamber will be open to a maximum of thirty-five members of the public. Further, this meeting will be available for viewing online at <u>http://www.nassaucountyny.gov/agencies/Legis/index.html</u>

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DATED: April 5, 2021 Mineola, NY **MICHAEL C. PULITZER** Clerk of the Legislature Nassau County, New York

B-10-21 Additional Backup 2

## B-10-21 Additional Backup



#### COUNTY OF NASSAU DEPARTMENT OF PUBLIC WORKS Inter-Departmental Memo

TO: Contract File

**FROM:** Jane Houdek, Designated DPO

**DATE:** March 23, 2021

SUBJECT: Contract No. H60052-01G GOSR Lido Beach Drainage Improvements Project J. Pizzirusso Landscaping Corporation

J. Pizzirusso Landscaping Corporation dba JPL Industries is the presumptive awardee for the above-referenced contract to be awarded pursuant to the County's Procurement Policies and New York State General Municipal Law §103.L To assist County Departments in conducting responsibility reviews, the County's Procurement Compliance Policy requires vendors to provide information via disclosure forms found on the County's Vendor Portal. The Department also conducts online searches to locate additional information.

The following information regarding J. Pizzirusso Landscaping Corporation dba JPL Industries was available for the County's responsibility review:

Issue Description	Contracting Unitiv Resolution
City of New York Office of the Comptroller 01/23/2018 - 07/30/2018	In 2017, the NYC Comptroller conducted a wage rate compliance investigation. The NYC Comptroller closed the investigation without finding a violation. Correspondence from the NYC Comptroller's Office is attached.
City of New York Office of the Comptroller 06/25/2015-12/22/2015	The City of New York Office of the Comptroller conducted a compliance investigation on 12 contracts awarded to J. Pizzirusso Landscaping Corp. (JPL) from April 2013 thru April 2015 {File# 20150405}. On December 22, 2015 the NYC Comptroller issued a letter closing the investigation stating that there was insufficient evidence of a wage law violation. Correspondence from NYC Office of the Comptroller is attached.
State of New York Office of the Attorney General 01/20/2016 - 03/29/2016	The NYS Office of the Attorney General opened an investigation into J. Pizzirusso Landscaping Corp. and JPL Site Contractors LLC regarding allegations of improper wage and benefit payments for public work performed in New York City between April 2013 and December 2014. The NYAG's office noted that: "after extensive investigation as well as cooperation" by the



Contract File March 23, 2021 **SUBJECT:** 

**F:** Contract No. H60052-01G

#### GOSR Lido Beach Drainage Improvements Project

J. Pizzirusso Landscaping Corporation

	vendor the investigation was closed. Correspondence from the State of New York Office of the Attorney General is attached.
City of New York Office Business Integrity Commission 4/16/15	<ul> <li>J. Pizzirusso Landscaping Corporation (JPL) failed to notify the Commission of the October 4, 2012 arrest of Libretto Nicholas, in violation of Title 17, Chapter 1 of Rules of the City of New York ("RCNY") sections 2- 05(b)(iii). JPL was cited by the NYC BIC for not informing the BIC of a material change of information for which it paid a \$250.00 fine.</li> <li>Stipulation of Settlement in Lieu of Notice of Violation and proof of payment supporting the above statements is attached.</li> </ul>
Settlement of NYS Public Service Commission violations NYAG Satisfaction of Judgement 11/26/18	During excavation, vendor damaged a utility service line. The damage was the result of vendor failing to call PSC prior to excavating. Notice of Satisfaction Judgement is attached.
New York City Environmental Control Board violations	Vendor was issued multiple violations by NYC DOT for signage and permit violations, and by NYC DEP for air and noise violations. As per NYC vendor data base- NYC PASSport – the violations have been paid in full.

J. Pizzirusso Landscaping Corporation dba JPL Industries has successfully performed municipal contract work with various NYC Agencies for over fifteen (15) years. Adverse information on JPL has been disclosed and the actions taken to address that adverse information. The Nassau County Department of Public Works (NCDPW) does not find this adverse information to be of a nature that would equate to a finding that . J. Pizzirusso Landscaping Corporation dba JPL Industries is not a responsible vendor.

Some M Soudek Jane M. Houdek

Attorney for Public Works

JMH:jd
c: Kenneth G. Arnold, Commissioner
Sean E. Sallie, Deputy Commissioner
Joseph Cuomo, Planner II, Project Manager



#### CITY OF NEW YORK OFFICE OF THE COMPTROLLER SCOTT M. STRINGER

FRANCISCO GONZALIZZ DIRECTOR OF INVESTIGATIONS

BUREAU OF LABOR LAW

July 30, 2018

J.P. Pizzirusso Landscaping 2300 E 69<sup>th</sup> Street Brooklyn, NY 11234

> Re: Comptroller v. J.P. Pizzirusso Landscapint Labor Law File No. 20170804 Contract No. 850-20161422876 Project Name/Location: New Lots Triangle Time Period: February 2017 to August 2017

Dear Mr. Pizzirusso:

Please be advised that the above-referenced compliance investigation has been closed without a finding of prevailing wage, living wage or minimum average hourly wage violation.

You should keep a copy of this letter for your records.

Very truly yours,

Edha John Investigator Bureau of Labor Law (212) 669-2937



SCOTT M. STRINGER COMPTROLLER

December 22, 2015

J. Pizzirusso Landscaping Corp. 7104 Ave. W B'klyn N.Y., 11234

#### Re: Comptroller v. J. Pizzirnsso Landscaping Corp. Labor Law File No. 20150405

To Whom It May concern;

This letter is to inform you that the above-referenced case, which is based upon various complaints for unpaid prevailing wages and/or benefits, has been closed for the following reason:

After review of presented documentation, we have determined that there is insufficient evidence of any violation of New York State prevailing wage law or New York City living wage law.

Sincerely,

Lan

By:

Stanley Kalish Investigator Bureau of Labor Law (212) 669-3986



ERIC T. SCHNEIDERMAN Attorney General

#### STATE OF NEW YORK OFFICE OF THE ATTORNEY GENERAL

DIVISION OF SOCIAL JUSTICE Labor Bureau

March 29, 2016

Joseph Pizzirusso John Pizzirusso J Pizzirusso Landscaping Corporation JPL Site Contractors LLC 7104 Avenue West Brooklyn, NY 11234

#### Re: Investigation of J Pizzirusso Landscaping Corp. and JPL Site Contractors LLC

Dear Mr. Joseph Pizzirusso and John Pizzirusso: -

As you are aware, our office had an open investigation into your companies J. Pizzirusso Landscaping Corp. and JPL Site Contractors LLC regarding allegations of improper wage and benefit payments for public work performed in New York City between April 2013 and December 2014. Our Office is aware that a parallel investigation was also being conducted by the NYC Comptroller's Office Bureau of Labor Law. The Comptroller's Office investigation determined that there was no underpayment of prevailing wages or supplements. Our Office inquired of you about Local 175 Remittance Reports of union benefits which you provided to us on February 2, 2016.

After an extensive investigation as well as cooperation by you in providing records to our office, we have determined that the Comptroller's findings are controlling. Effective as of the date of this letter, our office will be closing the matter.

No further action is necessary on your part. We appreciate your cooperation and should you or your attorney have any questions, please feel free to contact me at (212) 416-8693. Thank you for giving this matter your kind attention.

Respectfully,

Matthew W. Ross Assistant Attorney General Office of the New York Attorney General Labor Bureau 120 Broadway New York, NY 10271



The City of New York BUSINESS INTEGRITY COMMISSION 100 Church Street · 20th Ploor New York · New York 10007 Tel. (212) 676-6219 · Pas (212) 676-6204

Daniel D. Brownell Commissioner and Chair

### CITY OF NEW YORK OFFICE OF ADMINISTRATIVE TRIALS AND HEARINGS

Business Integrity Commission,	* * . * . * . * . * . *	STIPULATION OF
	ź	SETTLEMENT IN LIEU
	19. . 1	OF NOTICE OF VIOLATION
Complainant,	2	
	.*	
against	* *	Violation No. TWC-211646
www.watalative.bit.		
J. Pizzirusso Landscaping Corp.	и Т	BIC #1495
7104 Avenue W	*	
Brooklyn, NY 11234,	.5 *	
	1	
Respondent.	÷ 4	
w 2.2.8 (b) (c) (c) (c) (c) (c) (c) (c) (c) (c) (c		

WHEREAS, pursuant to Title 16-A, Chapter 1 of the New York City Administrative Code ("Admin. Code"), the Trade Waste Commission of the City of New York has the authority over the issuance, renewal, denial and revocation of licenses and registrations required pursuant to the Code, to enforce all laws and rules governing trade waste removal businesses, and to impose fines and penalties for violations of the Code and the rules promulgated thereunder. Subsequently, pursuant to Chapter 63 of the New York City Charter, the Trade Waste Commission was consolidated into the Organized Crime Control Commission, and was renamed the Business Integrity Commission ("Commission") pursuant to Local Law 21 of 2002;

WHEREAS, J. Pizzirusso Landscapign Corp. ("Respondent") currently possesses a valid trade waste registration issued by the Commission;

WHEREAS, the Respondent admits that it failed to notify the Commission within ten (10) days of a material change in information, to wit, in that it failed to notify the Commission of the October 4, 2012 arrest of Libretto Nicholas, in violation of Title 17, Chapter 1 of the Rules of the City of New York ("RCNY"), sections 2-05(b)(iii);

WHEREAS, Respondent desires to resolve the above-mentioned violation(s); now, therefore:

- IT IS HEREBY STIPULATED AND AGREED, by and between the Business Integrity Commission and Respondent:
- 1. Respondent shall pay to the Commission the sum of Two Hundred Fifty (\$250.00) Dollars to settle TWC-211646.
- 2. Settlement shall be by certified check or money order made payable to the "Business Integrity Commission," or by credit card on or before April 20, 2015.
- 3. Respondent shall abide by and adhere to Title 16-A, Chapter 1 of the Administrative Code and the rules promulgated thereunder.
- 4. Respondent is hereby put on notice that any future violation(s) of 17 RCNY §2-05 may subject the Respondent to the maximum penalty under law.
- 5. This Stipulation of Settlement resolves and settles the above violation. Respondent and its undersigned principal hereby agree to waive any right to a hearing of the violation, and any appeal thereof.
- 6. In the event Respondent fails to make payment as specified under paragraph 2 above, such failure shall constitute a material breach of this Stipulation of Settlement. Such failure shall be determined by the Commission in its sole discretion and shall subject Respondent to additional penalties.
- 7. Nothing contained herein limits in any way, or shall be construed in any way to limit, the authority of the Commission to exercise any and all its powers under Tirle 16-A, Chapter 1 of the Administrative Uods.

Agreed to by:

J. Pizzirusso Landscaping Corp.

Accepted for:

Daniel D. Brownell, as Commissioner and Chair of the Business Integrity Commission of The City of New York, by:

Joanna Klostermeyer Paralegal

Date:

(Print Name) Title (Signature) Date



#### STATE OF NEW YORK OFFICE OF THE ATTORNEY GENERAL

**DIVISION OF STATE COUNSEL** 

CIVIL RECOVERIES BUREAU

November 26, 2018

J. Pizzirusso Landscaping Corp. 7104 Avenue W Brooklyn, NY 11234

Re: SATISFACTION OF JUDGMENT STATE OF NEW YORK v. J. PIZZIRUSSO LANDSCAPING CORP. INDEX NO.: L-00289-16 REFERENCE NO.: S 272806

Dear J. Pizzirusso Landscaping Corp.:

The above referenced account is paid in full. A copy of the Satisfaction of Judgment filed in the county clerk's office is enclosed.

Please be advised that this office does not report judgments to credit reporting agencies, but certain credit reporting agencies do obtain judgment information from public records on file at the county clerk's office and may include this judgment information in your credit history. If this judgment appears on your credit history, and has not been reported as satisfied, then you should forward a copy of the Satisfaction of Judgment to all credit reporting agencies and request that they update their records in order to have your credit history reflect that the judgment has been satisfied.

Very truly yours,

allain K. Allard

Collection Specialist 1

Enclosure

CV901

KA

#### SUPREME COURT OF THE STATE OF NEW YORK COUNTY OF ALBANY

- against

STATE OF NEW YORK,

#### Plaintiff,

CERT

JUDGMENT INDEX NO.: L-00289-16

SATISFACTION OF

J. PIZZIRUSSO LANDSCAPING CORP.,

Defendant(s).

272806

WHEREAS, a judgment was entered and docketed in the above action on June 13, 2016, in the Supreme Court of the State of New York, Albany County, in favor of plaintiff and against defendant(s) for the sum of \$3,339.06, and said judgment has been fully paid; and

WHEREAS, it is certified that there are no outstanding executions with. any Sheriff or Marshall within the State of New York.

NOW, therefore, full satisfaction of said judgment is hereby acknowledged and the said Clerk is hereby authorized and directed to make an entry of full satisfaction on the docket of said judgment.

By:

**SS:** 

DATED: Albany , New York November 26, 2018

Barbara D. Underwood Attorney General

Denis Hurley , Assistant Attorney General

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XA

STATE OF NEW YORK

COUNTY OF ALBANY

83900

On the  $\cancel{AC^{n}}$  day of November in the year of 2018 before me, the undersigned, a notary public in and for said state, personally appeared Denis Hurley, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individuals(s); or the person upon behalf of which the individual agted, executed the instrument. (35) , \* • , wight

Connen Assistant Attorney General/ Notary Public Commission Expires: Notary Public, State of New York Reg. No. 010C0379315 Qualified in Schenectady County **Commission Expires August 18, 2022** 

\*printed on recycled paper ·

llard, Kathleen <Kathleen Allard@ag.ny.gov> iday, October 12, 2018 1:38 PM hn Pizzirusso E: J. PIZZIRUSSO LANDSCAPING CORP #17-G-0026 & #15-G-0349

slop's response to your settlement offer. They states that they are willing to waive the additional charges and collection oth accounts for \$7,500.00 plus proof of training from NY811. You have 30 days from the date of this e-mail to comply. You r payable to the State of New York and mail it to:

rder or Certified Bank Check we will begin the process of Satisfying the Judgments upon receipt. All other payment methods the closing process can begin.

1

:orp.com> 10:30 AM I@ag.ny.gov> PING CORP #17-G-0026 & #15-G-0349



#### conditions (Remitter and Payee):

keep this copy for your record of the transaction vs of a specific state will consider these funds to be "abandoned" 'ashier's Check is not cashed by a certain time lease cash/deposit this Cashier's Check as soon as possible to revent this from occurring most cases, the funds will be considered "abandoned" efore the "Void After" Date a Stop Payment on a Cashier's Check top Payment can only be placed if the Cashier's Check s lost, stolen, or destroyed /e may not re-issue or refund the funds after the stop payment has een placed until 90 days after the original check was issued visit a Chase branch to report a lost, stolen, or destroyed Cashier's Check ny other information about this item

> FOR YOUR PROTECTION SAVE THIS COPY CASHIER'S CHECK

Customer Copy 9865624611

10/12/2018 Vold after 7 years

PIZZIRUSSO LANDSCAPING CORP.

'ATE OF NEW YORK

\$\*\* 7,500.00 \*\*

DTRIVET: JPMORGAN CHASE BANK, N.A. . NON NEGOTIABLE

ly. Comment has no effect on bank's payment.

B-13-21 Additional Backup 2

B-13-21 Redacted Additional Backup



#### **Business History Form**

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

#### NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date:	04/08/2021	
1)	Proposer's Legal Name: DP Civil, Inc.	
2)	Address of Place of Business: 315 Orinoco Drive	
	City: <u>Bay Shore</u> State/Province/Territory:	NY Zip/Postal Code: 11706
	Country: US	
3)	Mailing Address (if different):	
	City: State/Province/Territory: _	Zip/Postal Code:
	Country:	
	Phone:	
	Does the business own or rent its facilities? Both	If other, please provide details:
5)	Federal I.D. Number: 84-4165448	
-		
6)	The proposer is a: <u>Corporation</u> (Describe)	
7)	Does this business share office space, staff, or equipment expenses         YES       NO       X       If yes, please provide details:	with any other business?
<b>8)</b>	Does this business control one or more other businesses? YES NO X If yes, please provide details:	
9)	Does this business have one or more affiliates, and/or is it a subsidia	ry of, or controlled by, any other business?

NO X If yes, please provide details:

Page 1 of 6

YES

10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?

YES				If yes, state the name of bonding agency, (if a bond), date, amount of bond
and re	ason fo	or such	n cance	ellation or forfeiture: or details regarding the termination (if a contract).

11) Has the proposer, during the past seven years, been declared bankrupt? YES NO X If yes, state date, court jurisdiction, amount of liabilities and amount of assets

12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.

YES NO X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.

YES NO X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending?

YES	N N	з⊤	Х	If yes, provide details for each such investigation, an explanation of the
circum				tive action taken.

b) Any misdemeanor charge pending?

YES NO X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an

elemer	nt of wi	hich re	elates to	o truthfulness or the underlying facts of which related to the conduct of business?
YES [				If yes, provide details for each such investigation, an explanation of the
circum	stance	s and	correct	ive action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?					
YES NO X	If yes, provide details for each such investigation, an explanation of the				
circumstances and cor	rective action taken.				

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? YES \_\_\_\_\_ NO \_\_X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?
YES \_\_\_\_\_ NO \_\_\_X \_\_\_ If yes, provide details for each such investigation, an explanation of the

YES NO X	If yes, provide details for each such investigation, an explanation of the
circumstances and corre	ctive action taken.

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES NO X If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

#### 17 Conflict of Interest:

a)

Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County. No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County. No conflict exists

- b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.
   DP Civil, Inc. will follow any and all guidelines that Nassau County has to assure that conflict of interest would never exist.
- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have	you previous	ly uploaded th	ne below informat	ion under in the I	Document Vault?
YES	NO	X			

Is the proposer an individual?

YES	NO	X	Should the proposer be other than an individual, the Proposal MUST include:
-----	----	---	---

- i) Date of formation; 01/06/2020
- Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.
   Brian Doherty - President 50%
   William Parker - Vice President 50%

No individuals with a financial interest in the company have been attached..

Name, address and position of all officers and directors of the company. If none, explain.
 Brian Doherty - President William Parker - Vice President -

No officers and directors from this company have been attached.

iv) State of incorporation (if applicable);
NY
v) The number of employees in the firm;
20
vi) Annual revenue of firm;
1000000
vii) Summary of relevant accomplishments

Please see attached

1 File(s) Uploaded: DP Civil\_Brian\_Doherty\_resume.pdf

viii) Copies of all state and local licenses and permits.

- B. Indicate number of years in business.
- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services. Supply upon request.
- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company	Atlantic Coast Dock Contractors		
Contact Person	Thomas McEvilly		
Address	71 Alder Drive		
City	Mastic Beach	State/Province/Territory	NY
Country	US		
Telephone	(631) 281-0041		
Fax #	(631) 842-0535		
E-Mail Address	tom@atcodock.com	· · · · · · · · · · · · · · · · · · ·	

Company	LEB Electric, LTD		
Contact Person	Peter Mikolajuk		······································
Address	85 Ralph Ave		
City	Copiague	State/Province/Territory	NY
Country	US		
Telephone	(631) 375-9658		
Fax #			
E-Mail Address	pm@lebelectric.com		

Company	Town of Babylon		
Contact Person	Thomas Slay		
Address	200 E Sunrise Highway		
City	Lindenhurst	State/Province/Territory	NY
Country	US		
Telephone	(631) 957-7343		
Fax #		· · · · · · · · · · · · · · · · · · ·	
E-Mail Address	tstay@townofbabylon.com		

I, Brian Doherty , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Brian Doherty , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

#### CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: DP Civil, Inc

Electronically signed and certified at the date and time indicated by: Brian Doherty [BDOHERTY@DP-CIVIL.COM]

President

Title

04/08/2021 08:09:56 AM

Date



Mr. Doherty holds a Bachelor of Science in Architecture and Construction Management from the New York Institute of Technology. He has been in the heavy construction industry for over twenty years. His qualifications include projects for clients such as NYSDOT, NYCDOT, NJDOT, SCWA, NYCDEP, MTA, LIRR, PANYNJ and Amtrak. Brian specializes in large site excavation, heavy highway, bridge, utility work, support of excavation, concrete, precast, structural steel and tunneling. He is a highly driven individual who always puts the client first and maintains a safe work environment.

#### New York Institute of Technology – BS Architecture and Construction Management

#### **DP Civil Inc,**

#### President / Owner 2020

 We are a heavy civil construction company located in Bay Shore, New York. We service the New York Metropolitan Area. Our services include heavy civil and bridge construction; utility support, directional drilling, excavation, concrete, paving and wastewater infrastructure. We pride ourselves on our people, our safe work environment and our dedication to quality services.

#### Posillico

#### Project Superintendent 2019-2020

#### SCWA Bergen Point Outfall Tunnel (\$190M) Jack Pipe – Segment Tunnel

- Managed Team of (4) Superintendents (3) Project Engineers (5) Foremen across 3 shifts
- Planned Executed TBM Launch Shaft Concrete Lining 900 Cubic Yards
- Managed the Assembly of a Herrenkencht Tunnel Boring Machine and Pipe Jack Sled
- Planned Safely Executed 400 feet of Precast jack pipe tunnel structure under the Great South Bay
- Implemented the procurement and delivery plan for 20,500 precast segment section of tunnel structure.
- Managed the engineering and planning and execution Receiving shaft Ground Freeze Excavation

#### Major Deegan – Cross Bronx Interchange 2018-2019

#### NYSDOT Design Build Highbridge Three Bridge Interchange (\$125M)

- Managed the Sequenced MPT and phased demolition, structural steel removal and replacement.
- Existing Hammer Head Pier and girder jacking for pier demolition.
- CIP replacement: 6 bridge abutments, 22 Hammer Head Piers ranging from 20 -50 feet tall and various size CIP retaining walls.
- 600 9T Precast deck panels, with Ultra High Performance Concrete Joints and bridge steel haunches.
- Utility removal, replacement, 6 miles of PCC road approaches, CIP barrier walls and slip form barrier walls.

#### Tutor Perini Civil East

#### Project Superintendent 2015-2018

#### MTA LIRR Grand Central Terminal Cavern and Track Installation

#### East Side Access Contract CM007 (\$265M Civil portion of the \$725M Total Contract)

- Coordinated all field operations with 8 superIntendents, 4 project engineers, 6 field engineers, 4 safety engineers, and 3 QAQC Engineers.
- Managed 1<sup>st</sup>, 2<sup>nd</sup>, and 3<sup>rd</sup> shift operations labor, teamsters, and other operating engineers.
- Coordinated CM007 contract activities with three other contracts underway throughout the 8 miles of tunnel and 2 three level 1200-foot-long caverns.
- Lead the procurement, delivery, and installation of 2300 cavern precast beams, panels, and platforms.
- Coordinated the installation sequence of 18,000 CY of shotcrete and rebar.
- Managed the project material yards, trucking and delivery to area locations within the tunnels and caverns.
- Coordinated site crane and equipment use, and managed the maintenance of all tunnel heavy equipment.



### Hudson Yards Amtrak Tunnel Casing "Cut-Cover" (\$75M)

Hudson Yards MOE LIRR Track Yard Phase 1 (\$45M)

- Lead the project team of 4 Superintendents, 4 Field Engineers, 1 Safety Engineer, and 1 QAQC Engineer.
- Managed all field operations labor, equipment needs and site logistics on all three shifts.
- Managed the temporary steel installation and the 90T Bridge jacking operation.
- Managed the removal and replacement of the existing 11<sup>th</sup> avenue bridge deck, pier, and barriers.
- Coordinated the installation of jet grout SOE walls, concrete whalers, and tie backs.
- Managed the soil and rock excavation totaling 12,000 CY.
- Managed the track yard construction: installation of 3,200 LF of track, 8 switches, 1,100 tons of ballast, 25 precast utility structures, water lines, drainage lines, and DC power conduit duct banks.
- Developed weekly cost to complete budgets and tracked field projections.
- Developed field milestones and completed on time and within the projected budget.

#### Project Superintendent 2012-2015

#### NJDOT Pulaski Skyway Deck Replacement Contracts (\$205M)

- Lead the project team of 6 Superintendents, 3 Field Engineers, 3 Safety Engineers, and 1 QAQC Engineer.
- Worked with the estimating department with project means and methods.
- Contributed to the Safety Executive Team. Walked each project monthly and reviewed project safety.
- Developed safer project work plans and tracked project trends.
- Developed all daily, monthly, and advanced six-month project schedules.
- Coordinated all subcontractor activities: bridge painting, architectural steel, precast fabrication, signage, and deck surface treatments.
- Developed field cost to complete budgets and participated in the monthly and quarterly cost reviews.

#### Judlau Contracting Inc.

#### Project Manager 2008-2012

#### World Trade Center – PANYNJ (\$45M)

- Managed the project field office and all aspects of field operations- including the design build aspects.
- Modified the existing slurry walls for Tower 1 Vent Shaft Penetrations.
- Installed a blast plate protection system on the WTC existing structures, following the tower 1 foundation.
- Managed the PATH track outages for the demolitions phase and construction phase.
- Install new concrete structures: 2 floor slabs, columns, walls for future vent shafts.
- Pursued and managed the execution of over thirty change orders and completed the project within budget.
- Managed project cost and participated in monthly review meetings with the Project Executive.

#### **Project Manager**

#### NYCDEP Owis Head Waste Water Treatment Plant (\$73M)

- Managed all the site civil operations and constructed a four level reinforced concrete mechanical building with an architectural limestone façade.
- Constructed facility loading docks, permanent utilities, access roads and parking lots.
- Managed the installation of the building's mechanical systems: grit and scum separators.
- Installation of large bore and small bore pipe installation, HVAC and electric.
- Coordinated the replacement of HD pumps, grit system pumps, and material conveyor systems.
- Managed all project paperwork, change order negotiations, and scheduling.



#### Slattery Skanska Inc.,

#### Project Engineer / Superintendent2000-2008 (\$200M-\$550M Projects)

- East River Station Con Edison Superintendent
- NYCDEP Newtown Creek Wastewater Treatment Facility Superintendent
- NYPA Charles Poletti / 500MW Power Plant Assistant Superintendent
- KEYSPAN Ravenswood 500 MW Power Plant Project Engineer
- NYPA Glenwood Landing 24MW Power Project Field Engineer
- JFK ARTC Air Train Internship Field Engineering

#### Safety Certificates:

- OSHA 30 OSHA 10,
- NYSTATE Certified MPT Trained 2019
- American Red Cross CPR Trained / First Responder
- Fall Protection Trained Confined Space Trained
- Lead Awareness Trained- SWAC Certified TWIC Certified
- NYCTA Track Trained LIRR Track Trained Metro North Track Trained AMTRAK Track Trained,

Eagle Scout- Boy Scouts of America Troop 1-Flushing New York,1989- PRESENT as a Volunteer

American Institute of Architects AIA Associate Member Lifeguard Certified Rescue Swimmer – NYSP Jones Beach Lifeguard Corps.

#### PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

#### COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

City:			State/Province	/Territory:		Zip/Postal Code:	
Country:	US						
Business Ad	dress:	315 Orine	oco Drive				
City:	Bay Shore		State/Province	/Territory:	NY	Zip/Postal Code:	11706
Country	ับร์						
Telephone:	7183097701						
Other preser	nt address(es)						
City:	·		State/Province	/Territory:		Zip/Postal Code:	_
Country:							
Telephone:							
	addresses and	d telephone n	umbers attached d starting date of e	each (check	all app	olicable)	
	addresses and	d telephone n	umbers attached d starting date of e		all app		
Positions he	addresses and Id in submitting	d telephone n	umbers attached d starting date of e	each (check reasurer hareholder	all app	olicable)	
Positions he President	addresses and ld in submitting	d telephone n g business an	umbers attached d starting date of e Ti S	reasurer	all app		
Positions he President Chairman of	addresses and ld in submitting Board Officer	d telephone n	umbers attached d starting date of e Ti S S	reasurer hareholder	all app		
Positions he President Chairman of Chief Exec.	addresses and ld in submitting Board Officer cial Officer	d telephone n g business an	umbers attached d starting date of e Ti S S	reasurer hareholder ecretary	all app		
Positions he President Chairman of Chief Exec. Chief Financ	addresses and ld in submitting Board Officer cial Officer	d telephone n g business an	umbers attached d starting date of e Ti S S	reasurer hareholder ecretary	all app		
Positions he President Chairman of Chief Exec. Chief Financ Vice Preside (Other)	addresses and Id in submitting Board Officer cial Officer	d telephone n g business an 01/06/2020	umbers attached d starting date of e S S	reasurer hareholder ecretary artner			
Positions he President Chairman of Chief Exec. Chief Financ Vice Preside (Other)	addresses and Id in submitting Board Officer cial Officer	d telephone n g business an 01/06/2020 erest in the bu	umbers attached d starting date of e Ti S S	reasurer hareholder ecretary artner			
Positions he President Chairman of Chief Exec. Chief Financ Vice Preside (Other) Do you have	addresses and Id in submitting Board Officer cial Officer ent	d telephone n g business an 01/06/2020 erest in the bu	umbers attached d starting date of e S S P  siness submitting t	reasurer hareholder ecretary artner			

Contribution made in whole or in part between you and the business submitting the questionnaire? YES NO X If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

_YES	X	NO	If Yes, provide details.
LEB E	lectric L	t, LEB I	Holding LLC, PARKALLOTT Inc

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES	NO	Х	If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

Been debarred by a	any government agency from entering into contracts with that agency?
YES NO	X If yes, provide an explanation of the circumstances and corrective action
taken.	

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?\_\_\_\_\_

YES	NO [	Х	If yes, provide an explanation of the circumstances and corrective action	
taken.				
				_

c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES [ taken.	NO	X If ye	es, provide an expla	ination of the circ	umstances and c	orrective action
lanen.						

- Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?
   YES \_\_\_\_\_ NO \_\_\_X If yes, provide an explanation of the circumstances and corrective action taken.
- 8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

a.

YES		NO	Х	If 'Yes', provide details for each such instance. (Provide a detailed response to
all que	stions c	heck "Y	′es". If y	you need more space, photocopy the appropriate page and attached it to the
questic	onnaire.	) .		

#### 9.

a.	ls there any felony charge pending against you? YES NO X If yes, provide an explanation of the circumstances and corrective action
	taken.
b.	Is there any misdemeanor charge pending against you?
	YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
с.	Is there any administrative charge pending against you?
	YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
d.	In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime,
u.	an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y
	YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
e.	In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES NO X If yes, provide an explanation of the circumstances and corrective action
	taken.
f.	In the past 5 years, have you been found in violation of any administrative or statutory charges? YES NOX If yes, provide an explanation of the circumstances and corrective action

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

taken.

YES	NO	X	If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES	NO	X	If yes, provide an explanation of the circumstances and corrective action taken.

- 12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

   YES
   NO
   X
   If yes, provide an explanation of the circumstances and corrective action taken.
- 13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES NO X If yes, provide an explanation of the circumstances and corrective action taken.

, hereby acknowledge that a materially false statement

willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, William Parker , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

#### CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

DP Civil Inc

Name of submitting business

Electronically signed and certified at the date and time indicated by: William Parker [BPARKER@DP-CIVIL.COM]

Vice President

Title

04/08/2021 08:00:10 AM

Date

#### PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

#### COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

Principal Na	ne: Brian Doh	erty				
Date of birth:	10/23/197	9				
Home addre	ss:					
City:			State/Province/Territory:		Zip/Postal Code:	
Country:	US	····	• ·		· ·	
Business Ad	dress:	315 Orinoco	Drive			
City:	Bay Shore		State/Province/Territory:	NY	Zip/Postal Code:	11706
Country	US					
Telephone:	7183097701		ny de sala.		· · · · · · · · · · · · · · · · · · ·	
Other preser	it address(es):					
City:	<u> </u>	· · · · · · · · · · · · · · · · · · ·	State/Province/Territory:		Zip/Postal Code:	_
Country:	· · · · · · · · · · · · · · · · · · ·					
Telephone:						

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	01/06/2020	Treasurer	
Chairman of Board		Shareholder	_
Chief Exec. Officer		Secretary	_
Chief Financial Officer		Partner	—
Vice President	01/06/2020		_
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES X NO	If Yes, provide details.
50% Equity	

- Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?
   YES NO X If Yes, provide details.
- 5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES	X	NO		If Yes, provide details.		
Doherty Developing Inc						
						- chan

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES	NO	Х	If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

Been deba	arred by a	iy government a	gency from entering into contracts with that age	ncy?
YES		X If yes, pr	ovide an explanation of the circumstances and c	corrective action
taken.				

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?\_\_\_\_\_

YES NO	X	If yes, provide an explanation of the circumstances and corrective action
taken.		

c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES	NO	X	If yes, provide an explanation of the circumstances and corrective action
taken.			

- Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?
   YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
- 8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

a.

YES		NO	X	If 'Yes', provide details for each such instance. (Provide a detailed response to	
all ques	stions c	heck "Y	es". If y	ou need more space, photocopy the appropriate page and attached it to the	
questio	nnaire.)	)			

9.

а.	Is there any felony charge pending against you? YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
b.	Is there any misdemeanor charge pending against you? YES NOX If yes, provide an explanation of the circumstances and corrective action taken.
C.	Is there any administrative charge pending against you? YES NOX If yes, provide an explanation of the circumstances and corrective action taken.
d.	In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y YES NOX If yes, provide an explanation of the circumstances and corrective action taken.
e.	In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES NOX If yes, provide an explanation of the circumstances and corrective action taken.
f.	In the past 5 years, have you been found in violation of any administrative or statutory charges? YES NOX_ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

\_\_\_\_\_

YES	NO	X	If yes,	provide an	explanation	of the	circumstances	and	corrective	action <sup>+</sup>	taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES	ŇO	Х	If yes, provide an explanation of the circumstances and corrective action taken.

- 12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

   YES
   NO
   X
   If yes, provide an explanation of the circumstances and corrective action taken.
- 13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES NO X If yes, provide an explanation of the circumstances and corrective action taken.

, hereby acknowledge that a materially false statement

willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Brian Doherty

], hereby certify that I have read and understand all the

items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

#### CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

DP Civil Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by: Brian Doherty [BDOHERTY@DP-CIVIL.COM]

President

Title

04/08/2021 07:57:00 AM

Date

# **Certificate of No Change Form**



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Brian Doherty state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

# **Vendor Disclosures**

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.

Name of Submitting Entity:	DP Civil, Inc					
Vendor's Address:	315 Orinoco Drive Bay Shore NY US 11706					
Vendor's EIN or TIN:	84-4165448					
Forms Submitted:						
Political Campaign Contribution E 01/27/2021 07:16:12 AM	Political Campaign Contribution Disclosure Form: 01/27/2021 07:16:12 AM					
Lobbyist Registration and Disclosure Form: 01/27/2021 07:16:22 AM						
Business History Form certified: 04/08/2021 08:09:56 AM						
Consultant's, Contractor's, and Vendor's Disclosure Form:						

01/27/2021 08:35:36 AM

# Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

Principal Name	Date Certified
Brian Doherty [BDOHERTY@DP-CIVIL.COM]	04/08/2021 07:57:00 AM
William Parker [BPARKER@DP-CIVIL.COM]	04/08/2021 08:00:10 AM

I, <u>Brian Doherty</u> hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

# CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

Brian Doherty BDOHERTY@DP-CIVIL.COM	
Name	_
President	
Title	_
DP Civil, Inc	
Name of Submitting Entity	_
04/08/2021 08:12:01 AM	
Date	

B-14-21 Additional Backaup 2

B-14-21 Redacted Additional Backup



### **Business History Form**

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

# NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date:	04/0	8/2021								
1)	Proposer's	s Legal Name:	DP Civil, Inc	2						
2)	Address o	of Place of Business	315 O	rinoco Drive						
	City:	Bay Shore		State/Province/Terr	itory: <u>NY</u>	Zip/Postal Code:	11706			
	Country:	US								
3)	Mailing Ac	ddress (if different):								
	City:			State/Province/Terr	itory:	Zip/Postal Code:				
	Country:									
	Phone:									
_	Does the business own or rent its facilities? Both If other, please provide details:									
L			-							
4)	Dun and E	Bradstreet number:	11778299	4						
5)	Federal I.I	D. Number: <u>84-41</u>	65448							
6)	The propo	oser is a: <u>Corpora</u>	tion	(De	scribe)					
7)	Does this business share office space, staff, or equipment expenses with any other business?									
г	YES NO X If yes, please provide details:									
Į										
8)	Does this YES	business control on		her businesses? provide details:						
[			es, piedse j							

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? YES NO X If yes, please provide details: 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?

YES	NO	X	lf yes,	, state the name of bonding agency, (if a bond), date, amount of bond	
and re	ason for suci	h canc	ellation	or forfeiture: or details regarding the termination (if a contract).	

- 11) Has the proposer, during the past seven years, been declared bankrupt? YES NO | X | If yes, state date, court jurisdiction, amount of liabilities and amount of assets
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.

YES NO X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.

YES NO X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

Has any current or former director, owner or officer or managerial employee of this business had, either before 14) or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending?

YES [		X	If yes, provide details for each such investigation, an explanation of the
circum	stances and	correcti	ive action taken.

b) Any misdemeanor charge pending?

YES NO X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an

element of which relates to truthfulness or the underlying facts of which related to the conduct of busine	ss?
YES NO X If yes, provide details for each such investigation, an explanation of the	
circumstances and corrective action taken.	

d) In th	ne past 5 ye	a <mark>rs, bee</mark>	en convicted, after trial or by plea, of a misdemeanor?
YES	NO	Х	If yes, provide details for each such investigation, an explanation of the
circum	istances an	d correc	tive action taken.

e) In th	ne past 5 yea	ars, bee	en found in violation of any administrative, statutory, or regulatory provisions?
YES	NO	X	If yes, provide details for each such investigation, an explanation of the
circum	stances and	l correc	tive action taken.

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?
 YES NO X If yes, provide details for each such investigation, an explanation of the

circumstances	and corrective action taken.	
Circumstances	and confective action taken.	

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES NO X If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.
- 17 Conflict of Interest:

a)

- Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."
  (i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.
  - No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County. No conflict exists

- b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.
   DP Civil, Inc. will follow any and all guidelines that Nassau County has to assure that conflict of interest would never exist.
- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

you pre		below ir	formation	n under ir	n the Do	ocumen	t Vault?	

Is the proposer an individual?

YES	1	NO [	X	Should the proposer be other than an individual, the Proposal MUST include:
-----	---	------	---	---

- i) Date of formation; 01/06/2020
- Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.
   Brian Doherty - President 50%
   William Parker - Vice President 50%

No individuals with a financial interest in the company have been attached..

Name, address and position of all officers and directors of the company. If none, explain.
 Brian Doherty - President William Parker - Vice President -

No officers and directors from this company have been attached.

- iv) State of incorporation (if applicable);
  NY
  v) The number of employees in the firm;
  20
  vi) Annual revenue of firm;
  1000000
  vii) Summary of relevant accomplishments
  - Please see attached

1 File(s) Uploaded: DP Civil\_Brian\_Doherty\_resume.pdf

viii) Copies of all state and local licenses and permits.

- B. Indicate number of years in business.
- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services. Supply upon request.
- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Atlantic Coast Dock Contractors		
Thomas McEvilly		
71 Alder Drive		····-
Mastic Beach	State/Province/Territory	NY
US ·		
(631) 281-0041		
(631) 842-0535		
tom@atcodock.com		
	Thomas McEvilly 71 Alder Drive Mastic Beach US (631) 281-0041 (631) 842-0535	Thomas McEvilly71 Alder DriveMastic BeachState/Province/TerritoryUS(631) 281-0041(631) 842-0535

Company	LEB Electric, LTD		
Contact Person	Peter Mikolajuk		
Address	85 Ralph Ave		
City	Copiague	State/Province/Territory	NY
Country	US		
Telephone	(631) 375-9658		
Fax #			
E-Mail Address	pm@lebelectric.com		

Company	Town of Babylon		
Contact Person	Thomas Slay		
Address	200 E Sunrise Highway		
City	Lindenhurst	State/Province/Territory	NY
Country	US		
Telephone	(631) 957-7343		
Fax #			
E-Mail Address	tstay@townofbabylon.com		

I, Brian Doherty , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Brian Doherty , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

### CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: DP Civil, Inc

Electronically signed and certified at the date and time indicated by: Brian Doherty [BDOHERTY@DP-CIVIL.COM]

President

Title

04/08/2021 08:09:56 AM

Date



Mr. Doherty holds a Bachelor of Science in Architecture and Construction Management from the New York Institute of Technology. He has been in the heavy construction industry for over twenty years. His qualifications include projects for clients such as NYSDOT, NYCDOT, NJDOT, SCWA, NYCDEP, MTA, LIRR, PANYNJ and Amtrak. Brian specializes in large site excavation, heavy highway, bridge, utility work, support of excavation, concrete, precast, structural steel and tunneling. He is a highly driven individual who always puts the client first and maintains a safe work environment.

# New York Institute of Technology – BS Architecture and Construction Management

# **DP Civil Inc,**

# President / Owner 2020

We are a heavy civil construction company located in Bay Shore, New York. We service the New York Metropolitan Area. Our services include heavy civil and bridge construction; utility support, directional drilling, excavation, concrete, paving and wastewater infrastructure. We pride ourselves on our people, our safe work environment and our dedication to quality services.

### Posillico

# Project Superintendent 2019-2020

# SCWA Bergen Point Outfall Tunnel (\$190M) Jack Pipe - Segment Tunnel

- Managed Team of (4) Superintendents (3) Project Engineers (5) Foremen across 3 shifts
- Planned -- Executed TBM Launch Shaft Concrete Lining -- 900 Cubic Yards
- Managed the Assembly of a Herrenkencht Tunnel Boring Machine and Pipe Jack Sled
- Planned Safely Executed 400 feet of Precast jack pipe tunnel structure under the Great South Bay
- Implemented the procurement and delivery plan for 20,500 precast segment section of tunnel structure.
- Managed the engineering and planning and execution Receiving shaft Ground Freeze Excavation

# Major Deegan – Cross Bronx Interchange 2018-2019

### NYSDOT Design Build Highbridge Three Bridge Interchange (\$125M)

- Managed the Sequenced MPT and phased demolition, structural steel removal and replacement.
- Existing Hammer Head Pier and girder jacking for pier demolition.
- CIP replacement: 6 bridge abutments, 22 Hammer Head Piers ranging from 20 -50 feet tall and various size CIP retaining walls.
- 600 9T Precast deck panels, with Ultra High Performance Concrete Joints and bridge steel haunches.
- Utility removal, replacement, 6 miles of PCC road approaches, CIP barrier walls and slip form barrier walls.

### Tutor Perini Civil East

### Project Superintendent 2015-2018

# MTA LIRR Grand Central Terminal Cavern and Track Installation

### East Side Access Contract CM007 (\$265M Civil portion of the \$725M Total Contract)

- Coordinated all field operations with 8 superintendents, 4 project engineers, 6 field engineers, 4 safety engineers, and 3 QAQC Engineers.
- Managed 1<sup>st</sup>, 2<sup>nd</sup>, and 3<sup>rd</sup> shift operations labor, teamsters, and other operating engineers.
- Coordinated CM007 contract activities with three other contracts underway throughout the 8 miles of tunnel and 2 three level 1200-foot-long caverns.
- Lead the procurement, delivery, and installation of 2300 cavern precast beams, panels, and platforms.
- Coordinated the installation sequence of 18,000 CY of shotcrete and rebar.
- Managed the project material yards, trucking and delivery to area locations within the tunnels and caverns.
- Coordinated site crane and equipment use, and managed the maintenance of all tunnel heavy equipment.



# Hudson Yards Amtrak Tunnel Casing "Cut-Cover" (\$75M)

Hudson Yards MOE LIRR Track Yard Phase 1 (\$45M)

- Lead the project team of 4 Superintendents, 4 Field Engineers, 1 Safety Engineer, and 1 QAQC Engineer.
- Managed all field operations labor, equipment needs and site logistics on all three shifts.
- Managed the temporary steel installation and the 90T Bridge jacking operation.
- Managed the removal and replacement of the existing 11<sup>th</sup> avenue bridge deck, pier, and barriers.
- Coordinated the installation of jet grout SOE walls, concrete whalers, and tie backs.
- Managed the soil and rock excavation totaling 12,000 CY.
- Managed the track yard construction: installation of 3,200 LF of track, 8 switches, 1,100 tons of ballast, 25
  precast utility structures, water lines, drainage lines, and DC power conduit duct banks.
- Developed weekly cost to complete budgets and tracked field projections.
- Developed field milestones and completed on time and within the projected budget.

# Project Superintendent 2012-2015

# NJDOT Pulaski Skyway Deck Replacement Contracts (\$205M)

- Lead the project team of 6 Superintendents, 3 Field Engineers, 3 Safety Engineers, and 1 QAQC Engineer.
- Worked with the estimating department with project means and methods.
- Contributed to the Safety Executive Team. Walked each project monthly and reviewed project safety.
- Developed safer project work plans and tracked project trends.
- Developed all daily, monthly, and advanced six-month project schedules.
- Coordinated all subcontractor activities: bridge painting, architectural steel, precast fabrication, signage, and deck surface treatments.
- Developed field cost to complete budgets and participated in the monthly and quarterly cost reviews.

# Judlau Contracting Inc.

### Project Manager 2008-2012

### World Trade Center - PANYNJ (\$45M)

- Managed the project field office and all aspects of field operations- including the design build aspects.
- Modified the existing slurry walls for Tower 1 Vent Shaft Penetrations.
- Installed a blast plate protection system on the WTC existing structures, following the tower 1 foundation.
- Managed the PATH track outages for the demolitions phase and construction phase.
- Install new concrete structures: 2 floor slabs, columns, walls for future vent shafts.
- Pursued and managed the execution of over thirty change orders and completed the project within budget.
- Managed project cost and participated in monthly review meetings with the Project Executive.

### **Project Manager**

### NYCDEP Owls Head Waste Water Treatment Plant (\$73M)

- Managed all the site civil operations and constructed a four level reinforced concrete mechanical building with an architectural limestone façade.
- Constructed facility loading docks, permanent utilities, access roads and parking lots.
- Managed the installation of the building's mechanical systems: grit and scum separators.
- Installation of large bore and small bore pipe installation, HVAC and electric.
- Coordinated the replacement of HD pumps, grit system pumps, and material conveyor systems.
- Managed all project paperwork, change order negotiations, and scheduling.



### Slattery Skanska Inc.,

#### Project Engineer / Superintendent2000-2008 (\$200M-\$550M Projects)

- East River Station Con Edison Superintendent
- NYCDEP Newtown Creek Wastewater Treatment Facility Superintendent
- NYPA Charles Poletti / 500MW Power Plant Assistant Superintendent
- KEYSPAN Ravenswood 500 MW Power Plant Project Engineer
- NYPA Glenwood Landing 24MW Power Project Field Engineer
- JFK ARTC Air Train Internship Field Engineering

#### Safety Certificates:

- OSHA 30 OSHA 10,
- NYSTATE Certified MPT Trained 2019
- American Red Cross CPR Trained / First Responder
- Fall Protection Trained Confined Space Trained
- Lead Awareness Trained- SWAC Certified TWIC Certified
- NYCTA Track Trained LIRR Track Trained Metro North Track Trained AMTRAK Track Trained,

Eagle Scout- Boy Scouts of America Troop 1-

Flushing New York, 1989- PRESENT as a Volunteer

American Institute of Architects AIA Associate Member

Lifeguard Certified Rescue Swimmer - NYSP Jones Beach Lifeguard Corps.

### PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

#### COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

Date of birth: Home addres						
City:	-		State/Province/Territor	v:	Zip/Postal Code:	
Country:	US					
Business Ad	dress:	315 Orinoco	Drive			
City:	Bay Shore		State/Province/Territor	y: NY	Zip/Postal Code:	11706
Country	US					
Telephone:	7183097701					
Other preser	t address(es):					
City:			State/Province/Territor	ν:	Zip/Postal Code:	
Country:		<u></u>			_ '	
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List of other Positions hel President Chairman of	d in submitting Board Dfficer		arting date of each (ch	der	plicable)	
List of other Positions hel President Chairman of Chief Exec. (	d in submitting Board Officer ial Officer		arting date of each (ch Treasurer Sharehold Secretary	der	blicable)	
List of other a Positions hel President Chairman of Chief Exec. ( Chief Financ	d in submitting Board Officer ial Officer	business and st	arting date of each (ch Treasurer Sharehold Secretary	der	blicable)	
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List of other a Positions hel President Chairman of Chief Exec. ( Chief Financ Vice Preside (Other) Do you have	d in submitting Board Officer ial Officer nt an equit <u>y inter</u>	business and st	arting date of each (che Treasurer Sharehold Secretary Partner ss submitting the ques	der		

- 4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES NO X If Yes, provide details.
- 5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES	X	NO	If Yes, provide details.
LEB E	lectric L	t, LEB I	Holding LLC, PARKALLOTT Inc

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES	N	<b>NO</b>	Х	If Yes, provide details.		
					·····	

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

Been debarred	/ any government agency from entering into contracts with that agency?
YES N	X If yes, provide an explanation of the circumstances and corrective action
taken.	

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES	] NO	Х	If yes, provide an explanation of the circumstances and corrective action
taken.			

- Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?
   YES \_\_\_\_\_ NO \_\_X If yes, provide an explanation of the circumstances and corrective action taken.
- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?
   YES \_\_\_\_\_\_ NO \_\_\_\_ X If yes, provide an explanation of the circumstances and corrective action taken.
- 8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

a.

YES NO X If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

taken.				
Is there an YES taken.		charge pending ag If yes, provide an		tances and corrective acti
Is there an YES taken.	y administrative	charge pending ag If yes, provide an		tances and corrective acti
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an elemen	of which relates	s to truthfulness or	he underlying facts of whi	
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an elemen business? YES taken.	of which relates	s to truthfulness or If yes, provide an	he underlying facts of whi explanation of the circums	ch related to the conduct of tances and corrective action

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES	NO	Х	If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES			provide an explanation of the circumstances and corrective action taken.

- 12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?
   YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
- 13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES NO X If yes, provide an explanation of the circumstances and corrective action taken.

I, William Parker , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, William Parker , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

# CERTIFICATION

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DP Civil Inc

Name of submitting business

Electronically signed and certified at the date and time indicated by: William Parker [BPARKER@DP-CIVIL.COM]

Vice President

Title

04/08/2021 08:00:10 AM

Date

### PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

#### COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

Principal Nar	ne: Brian Doh	erty	
Date of birth:	10/23/197	9	
Home addres	ss:		
City:		State/Province/Territory: Zip/Postal Code:	
Country:	US		
Business Ad	dress:	315 Orinoco Drive	
City:	Bay Shore	State/Province/Territory: NY Zip/Postal Code:	11706
Country	US		
Telephone:	7183097701		
Other preser	it address(es):		
City:		State/Province/Territory: Zip/Postal Code:	-
Country:	<u> </u>		·
Telephone:			

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

3. Do you have an equity interest in the business submitting the questionnaire? YES X NO If Yes, provide details

YES X	INO	
50% Equity		

- Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?
   YES NO X If Yes, provide details.
- 5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES	Х	NO	If Yes, provide details.	
Dehart	y Deve	loping l		

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES	NO	X	If Yes, provide details.	

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

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YES	NO X If yes, provide ar	n explanation of the circumstances and corrective action
taken.		

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES	NO	X	If yes, provide an explanation of the circumstances and corrective action
taken.			

Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?
 XES \_\_\_\_\_ NO \_\_\_ X \_\_\_ If yes, provide an explanation of the circumstances and corrective active ac

	X If yes, provide	e an explanation of the circums	tances and corrective action
taken.			

- Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?
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а.

If 'Yes', provide details for each such instance. (Provide a detailed response to YES NO Х all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

taken	l						
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- YES | NO X If yes, provide an explanation of the circumstances and corrective action taken.
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YES	NO	Х	If yes, provide an explanation of the circumstances and corr	ective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YĔS	ŃO	X	If yes, provide an explanation of the circumstances and corrective action taken.

- 12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

   YES
   NO
   X
   If yes, provide an explanation of the circumstances and corrective action taken.
- 13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES NO X If yes, provide an explanation of the circumstances and corrective action taken.

, hereby acknowledge that a materially false statement

willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Brian Doherty

, hereby certify that I have read and understand all the

items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

# CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

DP Civil Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by: Brian Doherty [BDOHERTY@DP-CIVIL.COM]

President

Title

04/08/2021 07:57:00 AM

Date

# **Certificate of No Change Form**



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Brian Doherty state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

# **Vendor Disclosures**

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.

Name of Submitting Entity:	DP Civil, Inc				
Vendor's Address:	315 Orinoco Drive Bay Shore NY US 11706				
Vendor's EIN or TIN:	84-4165448				
Forms Submitted:					
Political Campaign Contribution Disclosure Form: 01/27/2021 07:16:12 AM					
Lobbyist Registration and Disclosure Form: 01/27/2021 07:16:22 AM					
Business History Form certified: 04/08/2021 08:09:56 AM					
Consultant's, Contractor's, and V	endor's Disclosure Form:				

01/27/2021 08:35:36 AM

# **Principal Questionnaire(s)**

This refers to the most recent principal questionnaire submissions.

Principal Name	Date Certified
Brian Doherty [BDOHERTY@DP-CIVIL.COM]	04/08/2021 07:57:00 AM
William Parker [BPARKER@DP-CIVIL.COM]	04/08/2021 08:00:10 AM

I, <u>Brian Doherty</u> hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

# CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

Brian Doherty BDOHERTY@DP-CIVIL.COM
Name
President
Title
DP Civil, Inc
Name of Submitting Entity
04/08/2021 08:12:01 AM
Date