#### 1. Public Notice And Calendar 4/12/21

Documents:

4-12-21 CALENDAR.PDF 4-12-21 SPECIAL MEETING.PDF

#### 2. Additional Backups 4/12/21

#### Documents:

B-10-21 ADDITIONAL BACKUP 2 NCWEB.PDF B-13-21 ADDITIONAL BACKUP 2 NCWEB.PDF B-14-21 ADDITIONAL BACKUP 2 NCWEB.PDF E-37-21 ADDITIONAL BACKUP.PDF

### LEGISLATIVE CALENDAR

NASSAU COUNTY LEGISLATURE SPECIAL MEETING

MINEOLA, NEW YORK APRIL 12, 2021 1:00PM

Please be advised that due to health and safety concerns associated with the COVID-19 virus, the Peter J. Schmitt Memorial Legislative Chamber will be open to a maximum of thirty-five members of the public. Further, this meeting will be available for viewing online at <a href="http://www.nassaucountyny.gov/agencies/Legis/index.html">http://www.nassaucountyny.gov/agencies/Legis/index.html</a>

Public comment on any item may be emailed to the Clerk of the Legislature at <u>LegPublicComment@nassaucountyny.gov</u> and will be made part of the formal record of this Legislative meeting.

While Chamber capacity is limited, the Nassau County Legislature is committed to making its public hearings accessible to individuals with disabilities. If, due to a disability, you need an accommodation or assistance to participate in the public hearing or to obtain a copy of the transcript of the public hearing in an alternative format in accordance with the provisions of the Americans with Disabilities Act, please contact the Office of the Clerk of the Legislature at 571-4252, or the Nassau County Office of the Physically Challenged at 227-7101 or TDD telephone No. 227-8989.

EVERY LEGISLATIVE MEETING IS STREAMED LIVE ON http://www.nassaucountyny.gov/agencies/Legis/index.html

#### 1. **RESOLUTION NO. 40-2021**

A RESOLUTION PURSUANT TO SECTION 153 OF THE COUNTY GOVERNMENT LAW OF NASSAU COUNTY TO RECONSIDER AND APPROVE A LOCAL LAW DISAPPROVED BY THE COUNTY EXECUTIVE IN RELATION TO THE ESTABLISHMENT OF AN INDEPENDENTLY ELECTED ASSESSOR. 129-21(LE)



#### PLEASE TAKE NOTICE THAT

#### THE NASSAU COUNTY LEGISLATURE WILL HOLD

#### A SPECIAL MEETING OF THE FULL LEGISLATURE

# ON MONDAY, APRIL 12, 2021 AT 1:00 PM

#### IN

# THE PETER J. SCHMITT MEMORIAL LEGISLATIVE CHAMBER THEODORE ROOSEVELT EXECUTIVE AND LEGISLATIVE BUILDING 1550 FRANKLIN AVENUE, MINEOLA, NEW YORK 11501

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**DATED: April 5, 2021** 

Mineola, NY

MICHAEL C. PULITZER Clerk of the Legislature Nassau County, New York

B-10-21 Additional Backup 2

B-10-21 Additional Backup

TOUR NOW TO THE TANK OF THE TA

# COUNTY OF NASSAU DEPARTMENT OF PUBLIC WORKS Inter-Departmental Memo

TO:

Contract File

FROM:

Jane Houdek, Designated DPO

DATE:

March 23, 2021

SUBJECT:

Contract No. H60052-01G

GOSR Lido Beach Drainage Improvements Project

J. Pizzirusso Landscaping Corporation

J. Pizzirusso Landscaping Corporation dba JPL Industries is the presumptive awardee for the above-referenced contract to be awarded pursuant to the County's Procurement Policies and New York State General Municipal Law §103.L To assist County Departments in conducting responsibility reviews, the County's Procurement Compliance Policy requires vendors to provide information via disclosure forms found on the County's Vendor Portal. The Department also conducts online searches to locate additional information.

The following information regarding J. Pizzirusso Landscaping Corporation dba JPL Industries was available for the County's responsibility review:

issiie Description	Contracting Entitle Resolution
City of New York Office of the Comptroller 01/23/2018 - 07/30/2018	In 2017, the NYC Comptroller conducted a wage rate compliance investigation. The NYC Comptroller closed the investigation without finding a violation.  Correspondence from the NYC Comptroller's Office is
	attached.
City of New York Office of the Comptroller 06/25/2015-12/22/2015	The City of New York Office of the Comptroller conducted a compliance investigation on 12 contracts awarded to J. Pizzirusso Landscaping Corp. (JPL) from April 2013 thru April 2015 (File# 20150405). On December 22, 2015 the NYC Comptroller issued a letter closing the investigation stating that there was insufficient evidence of a wage law violation.  Correspondence from NYC Office of the Comptroller is
	attached.
State of New York Office of the Attorney General 01/20/2016 - 03/29/2016	The NYS Office of the Attorney General opened an investigation into J. Pizzirusso Landscaping Corp. and JPL Site Contractors LLC regarding allegations of improper wage and benefit payments for public work performed in New York City between April 2013 and December 2014. The NYAG's office noted that: "after extensive investigation as well as cooperation" by the



Contract File March 23, 2021

SUBJECT:

Contract No. H60052-01G

GOSR Lido Beach Drainage Improvements Project

J. Pizzirusso Landscaping Corporation

	vendor the investigation was closed.  Correspondence from the State of New York Office of the Attorney General is attached.
City of New York Office Business Integrity Commission 4/16/15	J. Pizzirusso Landscaping Corporation (JPL) failed to notify the Commission of the October 4, 2012 arrest of Libretto Nicholas, in violation of Title 17, Chapter 1 of Rules of the City of New York ("RCNY") sections 2-05(b)(iii). JPL was cited by the NYC BIC for not informing the BIC of a material change of information for which it paid a \$250.00 fine.  Stipulation of Settlement in Lieu of Notice of Violation
	and proof of payment supporting the above statements is attached.
Settlement of NYS Public Service Commission violations NYAG Satisfaction of Judgement 11/26/18	During excavation, vendor damaged a utility service line. The damage was the result of vendor failing to call PSC prior to excavating.  Notice of Satisfaction Judgement is attached.
New York City Environmental Control Board violations	Vendor was issued multiple violations by NYC DOT for signage and permit violations, and by NYC DEP for air and noise violations.
	As per NYC vendor data base- NYC PASSport – the violations have been paid in full.

J. Pizzirusso Landscaping Corporation dba JPL Industries has successfully performed municipal contract work with various NYC Agencies for over fifteen (15) years. Adverse information on JPL has been disclosed and the actions taken to address that adverse information. The Nassau County Department of Public Works (NCDPW) does not find this adverse information to be of a nature that would equate to a finding that . J. Pizzirusso Landscaping Corporation dba JPL Industries is not a responsible vendor.

Jane M. Houdek

Attorney for Public Works

JMH:jd

e: Kenneth G. Arnold, Commissioner Sean E. Sallie, Deputy Commissioner Joseph Cuomo, Planner II, Project Manager



# CITY OF NEW YORK OFFICE OF THE COMPTROLLER SCOTT M. STRINGER

FRANCISCO GONZALEZ
DIRECTOR OF INVESTIGATIONS

BUREAU OF LABOR LAW

July 30, 2018

J.P. Pizzirusso Landscaping 2300 E 69<sup>th</sup> Street Brooklyn, NY 1l234

> Re: Comptroller v. J.P. Pizzirusso Landscapint Labor Law File No. 20170804 Contract No. 850-20161422876 Project Name/Location: New Lots Triangle Time Period: February 2017 to August 2017

Dear Mr. Pizzirusso:

Please be advised that the above-referenced compliance investigation has been closed without a finding of prevailing wage, living wage or minimum average hourly wage violation.

You should keep a copy of this letter for your records.

Very truly yours,

Edna John Investigator Bureau of Labor Law (212) 669-2937



#### The city of new York Office of the comptroller Bureau Of Labor Law 1 centre street New York, NY 10007

SCOTT M. STRINGER COMPTROLLER

December 22, 2015

J. Pizzirusso Landscaping Corp. 7104 Ave. W B'klyn N.Y., 11234

> Re: Comptroller v. J. Pizzirusso Landscaping Corp. Labor Law File No. 20150405

To Whom It May concern;

This letter is to inform you that the above-referenced case, which is based upon various—complaints for unpaid prevailing wages and/or benefits, has been closed for the following reason:

After review of presented documentation, we have determined that there is insufficient evidence of any violation of New York State prevailing wage law or New York City living wage law.

Sincerely,

By:

Stanley Kalish Investigator

Bureau of Labor Law

(212) 669-3986



ERIC T. SCHNEIDERMAN Attorney General

# STATE OF NEW YORK OFFICE OF THE ATTORNEY GENERAL

DIVISION OF SOCIAL JUSTICE Labor Bureau

March 29, 2016

Joseph Pizzirusso
John Pizzirusso
J Pizzirusso Landscaping Corporation
JPL Site Contractors LLC
7104 Avenue West
Brooklyn, NY 11234

Re: Investigation of J Pizzirusso Landscaping Corp. and JPL Site Contractors LLC

Dear Mr. Joseph Pizzirusso and John Pizzirusso:

As you are aware, our office had an open investigation into your companies J. Pizzirusso Landscaping Corp. and JPL Site Contractors LLC regarding allegations of improper wage and benefit payments for public work performed in New York City between April 2013 and December 2014. Our Office is aware that a parallel investigation was also being conducted by the NYC Comptroller's Office Bureau of Labor Law. The Comptroller's Office investigation determined that there was no underpayment of prevailing wages or supplements. Our Office inquired of you about Local 175 Remittance Reports of union benefits which you provided to us on February 2, 2016.

After an extensive investigation as well as cooperation by you in providing records to our office, we have determined that the Comptroller's findings are controlling. Effective as of the date of this letter, our office will be closing the matter.

No further action is necessary on your part. We appreciate your cooperation and should you or your attorney have any questions, please feel free to contact me at (212) 416-8693. Thank you for giving this matter your kind attention.

Respectfully,

Matthew W. Ross

Assistant Attorney General

Office of the New York Attorney General

Labor Bureau

120 Broadway

New York, NY 10271



#### The City of New York BUSINESS INTEGRITY COMMISSION

100 Church Street · 20th Ploor New York New York 10007 Tel. (212) 676-6219 · Par (212) 676-6204

Daniel D. Brownell Commissioner and Chair

## CITY OF NEW YORK OFFICE OF ADMINISTRATIVE TRIALS AND HEARINGS

Business Integrity Commission,

STIPULATION OF

SETTLEMENT IN LIEU

Complainant.

OF NOTICE OF VIOLATION

-- against ---

Violation No. TWC-211646

J. Pizzirusso Landscaping Corp. 7104 Avenue W

BIC #1495

Brooklyn, NY 11234.

Respondent.

WHEREAS, pursuant to Title 16-A, Chapter 1 of the New York City Administrative Code ("Admin. Code"), the Trade Waste Commission of the City of New York has the authority over the issuance, renewal, denial and revocation of licenses and registrations required pursuant to the Code, to enforce all laws and rules governing trade waste removal businesses, and to impose fines and penalties for violations of the Code and the rules promulgated thereunder. Subsequently, pursuant to Chapter 63 of the New York City Charter, the Trade Waste Commission was consolidated into the Organized Crime Control Commission, and was renamed the Business Integrity Commission ("Commission") pursuant to Local Law 21 of 2002;

WHEREAS, J. Pizzirusso Landscapign Corp. ("Respondent") currently possesses a valid trade waste registration issued by the Commission;

WHEREAS, the Respondent admits that it failed to notify the Commission within ten (10) days of a material change in information, to wit, in that it failed to notify the Commission of the October 4, 2012 arrest of Libretto Nicholas, in violation of Title 17, Chapter 1 of the Rules of the City of New York ("RCNY"), sections 2-05(b)(iii);

WHEREAS, Respondent desires to resolve the above-mentioned violation(s); now, therefore:

IT IS HEREBY STIPULATED AND AGREED, by and between the Business Integrity Commission and Respondent:

- 1. Respondent shall pay to the Commission the sum of Two Hundred Fifty (\$250.00) Dollars to settle TWC-211646.
- 2. Settlement shall be by certified check or money order made payable to the "Business Integrity Commission," or by credit card on or before April 20, 2015.
- 3. Respondent shall abide by and adhere to Title 16-A, Chapter 1 of the Administrative Code and the rules promulgated thereunder.
- 4. Respondent is hereby put on notice that any future violation(s) of 17 RCNY §2-05 may subject the Respondent to the maximum penalty under law.
- 5. This Stipulation of Settlement resolves and settles the above violation. Respondent and its undersigned principal hereby agree to waive any right to a hearing of the violation, and any appeal thereof.
- 6. In the event Respondent fails to make payment as specified under paragraph 2 above, such failure shall constitute a material breach of this Stipulation of Settlement. Such failure shall be determined by the Commission in its sole discretion and shall subject Respondent to additional penalties.
- 7. Nothing contained herein limits in any way, or shall be construed in any way to limit, the authority of the Commission to exercise any and all its powers under Title 16-A, Chapter 1 of the Administrative Uods.

Agreed to by:

J. Pizzirusso Landscaping Curp.

Accepted for:

Daniel D. Brownell, as Commissioner and Chair of the Business Integrity Commission of The City of New York, by:

Print Name)

Joanna Klostermeyer

Paralegal

Date:

(Signature)

Date:



#### STATE OF NEW YORK OFFICE OF THE ATTORNEY GENERAL

DIVISION OF STATE COUNSEL

CIVIL RECOVERIES BUREAU

November 26, 2018

J. Pizzirusso Landscaping Corp. 7104 Avenue W Brooklyn, NY 11234

Re: SATISFACTION OF JUDGMENT STATE OF NEW YORK v. J. PIZZIRUSSO LANDSCAPING CORP. INDEX NO.: L-00289-16 REFERENCE NO.: S 272806

Dear J. Pizzirusso Landscaping Corp.:

The above referenced account is paid in full. A copy of the Satisfaction of Judgment filed in the county clerk's office is enclosed.

Please be advised that this office does not report judgments to credit reporting agencies, but certain credit reporting agencies do obtain judgment information from public records on file at the county clerk's office and may include this judgment information in your credit history. If this judgment appears on your credit history, and has not been reported as satisfied, then you should forward a copy of the Satisfaction of Judgment to all credit reporting agencies and request that they update their records in order to have your credit history reflect that the judgment has been satisfied.

Very truly yours,

K. Allärd

Collection Specialist 1

Enclosure

SUPREME	CC	URT	OF	THE	STATE	OF	NEW	YORK
COUNTY	0F	ALBA	MY		•			W

STATESOF NEW YORK,

Plaintiff,

SATISFACTION OF JUDGMENT

- against -

INDEX NO.: L-00289-16

J. PIZZIRUSSO LANDSCAPING CORP.,

Defendant (s).

272806

WHEREAS, a judgment was entered and docketed in the above action on June 13, 2016, in the Supreme Court of the State of New York, Albany County, in favor of plaintiff and against defendant(s) for the sum of \$3,339.06, and said judgment has been fully paid; and

WHEREAS, it is certified that there are no outstanding executions with any Sheriff or Marshall within the State of New York.

NOW, therefore, full satisfaction of said judgment is hereby acknowledged and the said Clerk is hereby authorized and directed to make an entry of full satisfaction on the docket of said judgment.

DATED: Albany , New York November 26, 2018

> Barbara D. Underwood Attorney General

By:

Deris Hurley , Assistant Attorney General

STATE OF NEW YORK

COUNTY OF ALBANY

SS:

On the day of November in the year of 2018 before me, the undersigned, a notary public in and for said state, personally appeared Denis Hurley, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individuals(s); or the person upon behalf of which the individual acted, executed the instrument.

Assistant Attorney General/

Notary Public

Commission Expires: Jarred O'Comher Notary Public, State of New York

Reg. No. 010C6379315 Qualified in Schenectady County Commission Expires August 13, 2022

\$J900

\*printed on recycled paper

KΑ

llard, Kathleen <Kathleen.Allard@ag.ny.gov>
iday, October 12, 2018 1:38 PM
hn Pizzirusso
E: J. PIZZIRUSSO LANDSCAPING CORP #17-6-0026 & #15-6-0349

islon's response to your settlement offer. They states that they are willing to waive the additional charges and collection ath accounts for \$7,500.00 plus proof of training from NY811. You have 30 days from the date of this e-mail to comply. You r payable to the State of New York and mail it to:

rder or Certified Bank Check we will begin the process of Satisfying the Judgments upon receipt. All other payment methods the closing process can begin.

:orp.com> 10:30 AM I@ag.ny.gov> PING CORP #17-G-0026 & #15-G-0349



### Conditions (Remitter and Payee):

keep this copy for your record of the transaction vs of a specific state will consider these funds to be "abandoned" lashier's Check is not cashed by a certain time lease cash/deposit this Cashier's Check as soon as possible to revent this from occurring most cases, the funds will be considered "abandoned" efore the "Void After" Date a Stop Payment on a Cashier's Check top Payment can only be placed if the Cashier's Check ; lost, stolen, or destroyed le may not re-issue or refund the funds after the stop payment has een placed until 90 days after the original check was issued visit a Chase branch to report a lost, stolen, or destroyed Cashier's Check ny other information about this item

> For your protection save this copy Cashier's Check

Customer Copy 9865624611

10/12/2018 Void after 7 years

PIZZIRUSSO LANDSCAPING CORP.

\$\*\* 7.500.00 \*\*

'ATE OF NEW YORK

ly. Comment has no effect on bank's payment.

Drawer: JPMORGAN CHASE BANK, N.A. NON NEGOTIABLE

B-13-21 Additional Backup 2

B-13-21 Redacted Additional Backup

A CONTRACTOR OF A CONTRACTOR O

#### **Business History Form**

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date:	04/08/2021
1)	Proposer's Legal Name: DP Civil, Inc.
2)	Address of Place of Business: 315 Orinoco Drive
	City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706
	Country: US
3)	Mailing Address (if different):
	City: State/Province/Territory: Zip/Postal Code:
	Country:
	Phone:
ſ	Does the business own or rent its facilities? Both If other, please provide details:
l	
4)	Dun and Bradstreet number: 117782994
5)	Fodoral I D. Number: 94 4465449
6)	The proposer is a: Corporation (Describe)
,	
7)	Does this business share office space, staff, or equipment expenses with any other business?
ı	YES NO X If yes, please provide details:
!	
8)	Does this business control one or more other businesses?
-,	YES NO X If yes, please provide details:
I	
9)	Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?
	YES NO X If yes, please provide details:

Page 1 of 6 Rev. 3-2016

	Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?  YES NO X If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).
	Has the proposer, during the past seven years, been declared bankrupt?  YES NO X If yes, state date, court jurisdiction, amount of liabilities and amount of assets
	In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.  YES NO X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
ſ	In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.  YES NO X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
Γ	Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:  a) Any felony charge pending?  YES NO X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
[	b) Any misdemeanor charge pending?  YES NO X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an Page **2** of **6** Rev. 3-2016

YES	NO X If yes, provide details for each such investigation, an explanation of the umstances and corrective action taken.
YES	n the past 5 years, been convicted, after trial or by plea, of a misdemeanor?  S NO X If yes, provide details for each such investigation, an explanation of the umstances and corrective action taken.
YES	n the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?  NO X If yes, provide details for each such investigation, an explanation of the umstances and corrective action taken.
sand held YES	
fede YES que:	the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable ral, state or local taxes or other assessed charges, including but not limited to water and sewer charges?  NO X If yes, provide details for each such year. Provide a detailed response to all stions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the stionnaire.
Con a)	offlict of Interest: Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."  (i) Any material financial relationships that your firm or any firm employee has that may create a conflict
	of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.  No conflict exists
	(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.
	No conflict exists  (iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.
	No conflict exists

Page 3 of 6

	b)	Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.
		DP Civil, Inc. will follow any and all guidelines that Nassau County has to assure that conflict of interest would never exist.
Α.	expe	ide a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive erience in your profession. Any prior similar experiences, and the results of these experiences, must be tified.
	Have YES	e <u>you previously uploaded the below information under in the Document Vault?</u> NO X
	Is the	e proposer an individual?  NO X Should the proposer be other than an individual, the Proposal MUST include:
	i) [	Date of formation; 01/06/2020
	ii)	Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.
		Brian Doherty - President 50% William Parker - Vice President 50%
No inc	lividua	els with a financial interest in the company have been attached
	iii)	Name, address and position of all officers and directors of the company. If none, explain.  Brian Doherty - President - William Parker - Vice President -
No off	icers a	and directors from this company have been attached.
	iv)	State of incorporation (if applicable); NY
	v)	The number of employees in the firm;
	vi)	Annual revenue of firm; 1000000
	vii)	Summary of relevant accomplishments Please see attached
		1 File(s) Uploaded: DP Civil_Brian Doherty resume.pdf
	viii)	Copies of all state and local licenses and permits.
	,	

Page **4** of **6** Rev. 3-2016

В.	Indicate number of years in business.		
	1	··· ··	

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

Supply upon request.

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Contact Person Address City Country Telephone Fax # E-Mail Address	Atlantic Coast Dock Contractors Thomas McEvilly 71 Alder Drive Mastic Beach US (631) 281-0041 (631) 842-0535 tom@atcodock.com	State/Province/Territory	NY
Company Contact Person Address City Country Telephone Fax # E-Mail Address	LEB Electric, LTD Peter Mikolajuk 85 Ralph Ave Copiague US (631) 375-9658 pm@lebelectric.com	State/Province/Territory	NY
Company Contact Person Address City Country Telephone Fax # E-Mail Address	Town of Babylon Thomas Slay 200 E Sunrise Highway Lindenhurst US (631) 957-7343 tstay@townofbabylon.com	State/Province/Territory	NY

Page 5 of 6 Rev. 3-2016

I, Brian Doherty	, hereby acknowledge that a materially false statement
willfully or fraudulently made in connection with this form ma	ay result in rendering the submitting business entity and/or
any affiliated entities non-responsible, and, in addition, may	subject me to criminal charges.
I, Brian Doherty	, hereby certify that I have read and understand all the
items contained in this form; that I supplied full and complet	e answers to each item therein to the best of my
knowledge, information and belief; that I will notify the Cour	ity in writing of any change in circumstances occurring after
the submission of this form; and that all information supplied	d by me is true to the best of my knowledge, information
and belief. I understand that the County will rely on the infor	mation supplied in this form as additional inducement to
enter into a contract with the submitting business entity.	
CERTIFICATION	
A MATERIALLY CALCE CTATEMENT WILL CHILLY OR CO.	ALIDURENTI VIMADE IN CONNECTION MUTULTUO
A MATERIALLY FALSE STATEMENT WILLFULLY OR FR.	
QUESTIONNAIRE MAY RESULT IN RENDERING THE SU	
WITH RESPECT TO THE PRESENT BID OR FUTURE BID MAKING THE FALSE STATEMENT TO CRIMINAL CHARGE	
MAKING THE FALSE STATEMENT TO CRIMINAL CHARC	<b>3</b> E3.
Name of submitting business: DP Civil, Inc	
Traine of Submitting Businesse.	
Electronically signed and certified at the date and time indic	eated by:
Brian Doherty [BDOHERTY@DP-CIVIL.COM]	•
President	
Title	
04/08/2021 08:09:56 AM	Name of the Control o
Date	

Page 6 of 6 Rev. 3-2016



Mr. Doherty holds a Bachelor of Science in Architecture and Construction Management from the New York Institute of Technology. He has been in the heavy construction industry for over twenty years. His qualifications include projects for clients such as NYSDOT, NYCDOT, NJDOT, SCWA, NYCDEP, MTA, LIRR, PANYNJ and Amtrak. Brian specializes in large site excavation, heavy highway, bridge, utility work, support of excavation, concrete, precast, structural steel and tunneling. He is a highly driven individual who always puts the client first and maintains a safe work environment.

#### New York Institute of Technology – BS Architecture and Construction Management

#### DP Civil Inc.

#### President / Owner 2020

We are a heavy civil construction company located in Bay Shore, New York. We service the New York Metropolitan Area. Our services include heavy civil and bridge construction; utility support, directional drilling, excavation, concrete, paving and wastewater infrastructure. We pride ourselves on our people, our safe work environment and our dedication to quality services.

#### **Posillico**

#### **Project Superintendent 2019-2020**

#### SCWA Bergen Point Outfall Tunnel (\$190M) Jack Pipe - Segment Tunnel

- Managed Team of (4) Superintendents (3) Project Engineers (5) Foremen across 3 shifts
- Planned Executed TBM Launch Shaft Concrete Lining 900 Cubic Yards
- Managed the Assembly of a Herrenkencht Tunnel Boring Machine and Pipe Jack Sled
- Planned Safely Executed 400 feet of Precast jack pipe tunnel structure under the Great South Bay
- Implemented the procurement and delivery plan for 20,500 precast segment section of tunnel structure.
- Managed the engineering and planning and execution Receiving shaft Ground Freeze Excavation

#### Major Deegan - Cross Bronx Interchange 2018-2019

#### NYSDOT Design Build Highbridge Three Bridge Interchange (\$125M)

- Managed the Sequenced MPT and phased demolition, structural steel removal and replacement.
- Existing Hammer Head Pier and girder jacking for pier demolition.
- CIP replacement: 6 bridge abutments, 22 Hammer Head Piers ranging from 20 -50 feet tall and various size
   CIP retaining walls.
- 600 9T Precast deck panels, with Ultra High Performance Concrete Joints and bridge steel haunches.
- Utility removal, replacement, 6 miles of PCC road approaches, CIP barrier walls and slip form barrier walls.

#### **Tutor Perini Civil East**

#### **Project Superintendent 2015-2018**

#### MTA LIRR Grand Central Terminal Cavern and Track Installation

#### East Side Access Contract CM007 (\$265M Civil portion of the \$725M Total Contract)

- Coordinated all field operations with 8 superintendents, 4 project engineers, 6 field engineers, 4 safety engineers, and 3 QAQC Engineers.
- Managed 1st, 2nd, and 3rd shift operations labor, teamsters, and other operating engineers.
- Coordinated CM007 contract activities with three other contracts underway throughout the 8 miles of tunnel and 2 three level 1200-foot-long caverns.
- Lead the procurement, delivery, and installation of 2300 cavern precast beams, panels, and platforms.
- Coordinated the installation sequence of 18,000 CY of shotcrete and rebar.
- Managed the project material yards, trucking and delivery to area locations within the tunnels and caverns.
- Coordinated site crane and equipment use, and managed the maintenance of all tunnel heavy equipment.



#### Hudson Yards Amtrak Tunnel Casing "Cut-Cover" (\$75M) Hudson Yards MOE LIRR Track Yard Phase 1 (\$45M)

- Lead the project team of 4 Superintendents, 4 Field Engineers, 1 Safety Engineer, and 1 QAQC Engineer.
- Managed all field operations labor, equipment needs and site logistics on all three shifts.
- Managed the temporary steel installation and the 90T Bridge jacking operation.
- Managed the removal and replacement of the existing 11th avenue bridge deck, pier, and barriers.
- Coordinated the installation of jet grout SOE walls, concrete whalers, and tie backs.
- Managed the soil and rock excavation totaling 12,000 CY.
- Managed the track yard construction: installation of 3,200 LF of track, 8 switches, 1,100 tons of ballast, 25 precast utility structures, water lines, drainage lines, and DC power conduit duct banks.
- Developed weekly cost to complete budgets and tracked field projections.
- Developed field milestones and completed on time and within the projected budget.

#### **Project Superintendent 2012-2015**

#### NJDOT Pulaski Skyway Deck Replacement Contracts (\$205M)

- Lead the project team of 6 Superintendents, 3 Field Engineers, 3 Safety Engineers, and 1 QAQC Engineer.
- Worked with the estimating department with project means and methods.
- Contributed to the Safety Executive Team. Walked each project monthly and reviewed project safety.
- Developed safer project work plans and tracked project trends.
- Developed all daily, monthly, and advanced six-month project schedules.
- Coordinated all subcontractor activities: bridge painting, architectural steel, precast fabrication, signage, and deck surface treatments.
- Developed field cost to complete budgets and participated in the monthly and quarterly cost reviews.

#### Judlau Contracting Inc.

#### Project Manager 2008-2012

#### World Trade Center – PANYNJ (\$45M)

- Managed the project field office and all aspects of field operations- including the design build aspects.
- Modified the existing slurry walls for Tower 1 Vent Shaft Penetrations.
- Installed a blast plate protection system on the WTC existing structures, following the tower 1 foundation.
- Managed the PATH track outages for the demolitions phase and construction phase.
- Install new concrete structures: 2 floor slabs, columns, walls for future vent shafts.
- Pursued and managed the execution of over thirty change orders and completed the project within budget.
- Managed project cost and participated in monthly review meetings with the Project Executive.

#### **Project Manager**

#### NYCDEP Owls Head Waste Water Treatment Plant (\$73M)

- Managed all the site civil operations and constructed a four level reinforced concrete mechanical building with an architectural limestone facade.
- Constructed facility loading docks, permanent utilities, access roads and parking lots.
- Managed the installation of the building's mechanical systems: grit and scum separators.
- Installation of large bore and small bore pipe installation, HVAC and electric.
- Coordinated the replacement of HD pumps, grit system pumps, and material conveyor systems.
- Managed all project paperwork, change order negotiations, and scheduling.



#### Slattery Skanska Inc.,

#### Project Engineer / Superintendent2000-2008 (\$200M-\$550M Projects)

- East River Station Con Edison Superintendent
- NYCDEP Newtown Creek Wastewater Treatment Facility Superintendent
- NYPA Charles Poletti / 500MW Power Plant Assistant Superintendent
- KEYSPAN Ravenswood 500 MW Power Plant Project Engineer
- NYPA Glenwood Landing 24MW Power Project Field Engineer
- JFK ARTC Air Train Internship Field Engineering

#### **Safety Certificates:**

- OSHA 30 OSHA 10,
- NYSTATE Certified MPT Trained 2019
- American Red Cross CPR Trained / First Responder
- Fall Protection Trained Confined Space Trained
- Lead Awareness Trained- SWAC Certified TWIC Certified
- NYCTA Track Trained LIRR Track Trained Metro North Track Trained AMTRAK Track Trained,

**Eagle Scout-** Boy Scouts of America Troop 1-Flushing New York,1989- PRESENT as a Volunteer

American Institute of Architects AIA Associate Member Lifeguard Certified Rescue Swimmer – NYSP Jones Beach Lifeguard Corps.

#### PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

Date of birt	ame: <u>William</u> h: 03/28/1		
بالمصمم مططر		1980	
Home addr	ess:		
City:		State/Province/Territory: Zip/Postal Code:	
Country:	US		
Business A	-	315 Orinoco Drive	
City:	Bay Shore	State/Province/Territory: NY Zip/Postal Code:	11706
Country	US		
Telephone:	7183097701	1	
Other pres	ent address(es):	<b>)</b> :	
City:		State/Province/Territory: Zip/Postal Code:	
Country:			•
Telephone:	•	WEAR III	
		7440-1	******
List of othe	r addresses and	nd telephone numbers attached	
Positions h	eld in submitting	ng business and starting date of each (check all applicable)	
President	_	Treasurer	
Chairman d	of Board	Shareholder	
Chief Exec	. Officer	Secretary	
Chief Finar	ncial Officer	Partner	
Vice Presid	ient (	01/06/2020	
(Other)			
(,			
		erest in the business submitting the questionnaire?	
Do you hav	e an equity inte	erest in the business submitting the questionnaire?	
Do you hav	· <u>-</u>	If Yes, provide details.	
YES X	NO	· · · · · · · · · · · · · · · · · · ·	
· —	NO	· · · · · · · · · · · · · · · · · · ·	
YES X	NO	· · · · · · · · · · · · · · · · · · ·	
YES X	NO	· · · · · · · · · · · · · · · · · · ·	
YES X 50% Equity  Are there a	NO /	If Yes, provide details.  Joans, guarantees or any other form of security or lease or any other t	
YES X 50% Equity  Are there a contribution	NO  Iny outstanding In made in whole	If Yes, provide details.  Joans, guarantees or any other form of security or lease or any other to be or in part between you and the business submitting the questionnaire	
YES X 50% Equity  Are there a contribution	NO  Iny outstanding In made in whole	If Yes, provide details.  Joans, guarantees or any other form of security or lease or any other to be or in part between you and the business submitting the questionnaire	
YES X 50% Equity  Are there a contribution	NO  Iny outstanding In made in whole	If Yes, provide details.  Joans, guarantees or any other form of security or lease or any other t	
YES X 50% Equity  Are there a contribution	NO  Iny outstanding In made in whole	If Yes, provide details.  Joans, guarantees or any other form of security or lease or any other to be or in part between you and the business submitting the questionnaire	
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YES X 50% Equity  Are there a contribution YES  Within the	NO NO NO X	If Yes, provide details.  gloans, guarantees or any other form of security or lease or any other to be or in part between you and the business submitting the questionnaire of the provide details.  If Yes, provide details.	e? 
YES X 50% Equity  Are there a contribution YES  Within the	nny outstanding made in whole NO X  past 3 years, hathe one submitti	If Yes, provide details.  gloans, guarantees or any other form of security or lease or any other to be or in part between you and the business submitting the questionnaire of the provide details.	e? 

	Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the par 3 years while you were a principal owner or officer?									
	YES		NO		X	If Yes, provide details.				
_	•									
ilt of	f any a	action ta	iken by	/ag	over	tired below whether the sanction arose automatically, by operation of law, or as a nment agency. Provide a detailed response to all questions checked "YES". If yo propriate page and attach it to the questionnaire.				
		ch you l	iave b	een a	a prii	ou and/or any affiliated businesses or not-for-profit organizations listed in Section ncipal owner or officer:				
á	a.		debarr			y government agency from entering into contracts with that agency?				
		YES taken.		NC		X If yes, provide an explanation of the circumstances and corrective action				
ŀ	b.					ault and/or terminated for cause on any contract, and/or had any contracts				
		YES taken.	led for	NC	_	X If yes, provide an explanation of the circumstances and corrective action				
			•							
(	C.					rd of a contract and/or the opportunity to bid on a contract, including, but not eet pre-qualification standards?				
		YES taken.		NC		X If yes, provide an explanation of the circumstances and corrective action				
(	d.	Been pendir contra YES	ng that	ided coul	d for	any government agency from entering into any contract with it; and/or is any action mally debar or otherwise affect such business's ability to bid or propose on				
		_taken.								

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

Page 2 of 5 Rev. 3-2016

a.	Is there any felony charge pending against you?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
b.	Is there any misdemeanor charge pending against you?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
C.	Is there any administrative charge pending against you?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
d.	In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crim an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
e.	In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
f.	In the past 5 years, have you been found in violation of any administrative or statutory charges?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

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to Ques	stion 5, been investigation	the subj	ect of a crimina	e past 5 years has I investigation and ency, including but or officer?	/or a civil anti-tru	st investigation a	and/or any oth
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had an	y sanction im held?	posed a	s a result of judi	icial or administrat	ive proceedings	with respect to a	ny professio

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I, William Parker , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.
I, William Parker  , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.
CERTIFICATION  A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.
DP Civil Inc
Name of submitting business
Electronically signed and certified at the date and time indicated by: William Parker [BPARKER@DP-CIVIL.COM]
Vice President
Title
04/08/2021 08:00:10 AM
Date
Date

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#### PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

Principal Na		an Do								
Date of birti	-	/23/19	79							
Home addr	ess:									
City:	_	<u> </u>		State/Pro	ovince/Territory:	Zip/Postal Code:				
Country:	US									
Business A			315 Orin	noco Drive						
City:	Bay Sh	ore	e State/Province/Territory: <u>NY</u> Zip/Postal Code: <u>1</u>				11706			
Country	US									
Telephone:	718309	7183097701								
Other prese	ent addres	s(es):								
City:			State/Province/Territory: Zip/Postal Code:							
Country:	•					**************************************				
Telephone:										
List of other	r addresse	es and	telephone r	numbers attac	hed					
		, c and	totophono i	idinibolo dilao	1100					
Positions he	eld in subr	nitting	business ar	nd starting da	te of each (check all	applicable)				
President		0	4.00.000		Т					
	of Doord		1/06/2020	<del> </del>	Treasurer _					
Chairman of Board Chief Exec. Officer					Shareholder					
	Chief Financial Officer Vice President				Secretary _					
			410010000		Partner _					
(Other)	ent		1/06/2020							
(Other)										
Do you hav	<u>e a</u> n equif	y inter	est in the bu	usiness submi	tting the questionnai	re?				
YES X	NO		If Yes, pr	rovide details.						
50% Equity	<i>!</i>									
Are there a	nv outstar	idina k	nans duara	intees or any (	other form of security	or lease or any other t	vne of			
	Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?									
	YES NO X If Yes, provide details.									
120	1110		II 1 00, pi	Ovide details.						
					vner or officer of any	business or notfor-pro	fit organizatior			
other than t		bmittir								
YES X	NO		If Yes, pr	rovide details.						
Doherty De	velopina l	nc					<u> </u>			

Page 1 of 5 Rev. 3-2016

3	las any governmental entity awarded any contracts to a business or organization listed in Section 5 in the pas I year <u>s while y</u> ou we <u>re a prin</u> cipal owner or officer?									
YE	ES_		NO		X	If Yes, provide details.				
						TO STATE OF THE ST				
ult of a	any a	action ta	ken by	ag	ove	uired below whether the sanction arose automatically, by operation of law, or rnment agency. Provide a detailed response to all questions checked "YES". opropriate page and attach it to the questionnaire.	as a If yo			
In in	the whice	past (5) ch you h	years, ave be	hav en :	/e y a pr	ou and/or any affiliated businesses or not-for-profit organizations listed in Sec incipal owner or officer:	tion			
a.			ebarre			y government agency from entering into contracts with that agency?				
	ĺ	YES taken.		NC	) <u>[</u>	X If yes, provide an explanation of the circumstances and corrective action	on			
b.		Been d	eclare	d in	def	ault and/or terminated for cause on any contract, and/or had any contracts				
		cancell		cau	se?					
	ı	YES taken.		NC	) <u>[</u>	X If yes, provide an explanation of the circumstances and corrective acti	on			
C.						ard of a contract and/or the opportunity to bid on a contract, including, but not neet pre-qualification standards?				
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d.			g that			any government agency from entering into any contract with it; and/or is any a rmally debar or otherwise affect such business's ability to bid or propose on	actio			
	ı	YES [ taken.		NC	) [ 	X If yes, provide an explanation of the circumstances and corrective acti	on			

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

Page **2** of **5** 

a.	Is there any felony charge pending against you?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
b.	Is there any misdemeanor charge pending against you?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
c.	Is there any administrative charge pending against you?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
d.	In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crin an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
e.	In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
f.	In the past 5 years, have you been found in violation of any administrative or statutory charges?  YES NOX If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

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	, hereby acknowledge that a materially false statement							
willfully or fraudulently made in connection with this form may								
any affiliated entities non-responsible, and, in addition, may s	subject me to criminal charges.							
	, hereby certify that I have read and understand all the							
items contained in this form; that I supplied full and complete								
knowledge, information and belief; that I will notify the County	/ in writing of any change in circumstances occurring							
after the submission of this form; and that all information sup								
information and belief. I understand that the County will rely on the information supplied in this form as additional								
inducement to enter into a contract with the submitting busing	ess enuty.							
CERTIFICATION								
A MATERIALLY FALSE STATEMENT WILLFULLY OR FRA	LIDITI ENTI V MADE IN CONNECTION WITH THE							
QUESTIONNAIRE MAY RESULT IN RENDERING THE SU								
WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS								
MAKING THE FALSE STATEMENT TO CRIMINAL CHARG								
WARRING THE FALSE STATEMENT TO SKIMINAL SHAKS	_0.							
DP Civil Inc.								
Name of submitting business								
ŭ								
Electronically signed and certified at the date and time indica	ted by:							
Brian Doherty [BDOHERTY@DP-CIVIL.COM]	····,							
	HALL							
President								
Title								
04/08/2021 07:57:00 AM								
Date								

Page **5** of **5** Rev. 3-2016

# **Certificate of No Change Form**

All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Brian Doherty state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

## **Vendor Disclosures**

01/27/2021 08:35:36 AM

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.	This	refers to	o the	vendor in	itegrity ε	and d	lisclosure	forms	submitted	for the	vendor	doing	business	with	the (	Count	ν.
---	------	-----------	-------	-----------	------------	-------	------------	-------	-----------	---------	--------	-------	----------	------	-------	-------	----

Name of Submitting Entity:	DP Civil, Inc
Vendor's Address:	315 Orinoco Drive Bay Shore NY US 11706
Vendor's EIN or TIN:	_84-4165448
Forms Submitted:	
Political Campaign Contribution D 01/27/2021 07:16:12 AM	Disclosure Form:
Lobbyist Registration and Disclos 01/27/2021 07:16:22 AM	ure Form:
Business History Form certified: 04/08/2021 08:09:56 AM	
Consultant's, Contractor's, and Ve	endor's Disclosure Form:

Principal Questionnaire(s)
This refers to the most recent principal questionnaire submissions.

Principal Name	Date Certified
Brian Doherty [BDOHERTY@DP-CIVIL.COM]	04/08/2021 07:57:00 AM
William Parker [BPARKER@DP-CIVIL.COM]	04/08/2021 08:00:10 AM
I, Brian Doherty hereby acknowledge that a material fraudulently made in connection with this form may result in rendering the suffiliated entities non-responsible, and, in addition, may subject me to crimin	ibmitting business entity and/or any
I further certify that I have read and understand all the items contained in thi answers to each item therein to the best of my knowledge, information and buriting of any change in circumstances occurring after the submission of this by me is true to the best of my knowledge, information and belief. I understatinformation supplied in this form as additional inducement to enter into a contained in the contained	pelief; that I will notify the County in s form; and that all information supplied and that the County will rely on the
CERTIFICATION	
A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY METHIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BID SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINA	B BUSINESS ENTITY NOT DS, AND, IN ADDITION, MAY
Brian Doherty BDOHERTY@DP-CIVIL.COM	
Name	
President	
Title	
DP Civil, Inc	
Name of Submitting Entity	
04/08/2021 08:12:01 AM	
Date	

B-14-21 Additional Backup Z

B-14-21 Redacted Additional Backup

1911 199 :- 0 TO X 21

## **Business History Form**

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Propo	ser's Legal Name: DP	Civil, Inc.	
Addre	ess of Place of Business:		
City:	Bay Shore	State/Province/Territory: NY	Zip/Postal Code: 11706
Count	try: US		
City:		State/Province/Territory:	
Count	try:		
Phone			
Does	the business own or rent its		
	and Bradstreet number: 1	140	
Feder	and Bradstreet number: <u>1</u> al I.D. Number: <u>84-41654</u> roposer is a: <u>Corporation</u>	148	
Feder	ral I.D. Number: 84-41654 roposer is a: Corporation this business share office s	pace, staff, or equipment expenses with a	
Feder The p Does YES	ral I.D. Number: 84-41654 roposer is a: Corporation this business share office s  NO X If yes,	(Describe) pace, staff, or equipment expenses with a please provide details:	any other business?

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	other government entity terminated? YES NO X If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).
[	Has the proposer, during the past seven years, been declared bankrupt?  YES NO X If yes, state date, court jurisdiction, amount of liabilities and amount of assets
	In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state local prosecuting or investigative agency, where such investigation was related to activities performed at, for, on behalf of an affiliated business.  YES NO X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
	In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.  YES NO X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
ſ	Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:  a) Any felony charge pending?  YES NO X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
L	b) Any misdemeanor charge pending?  YES NO X If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an Page **2** of **6** Rev. 3-2016

	umstances and corrective action taken.
YES	the past 5 years, been convicted, after trial or by plea, of a misdemeanor?  NO X If yes, provide details for each such investigation, an explanation of the umstances and corrective action taken.
YES	the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?  NO X If yes, provide details for each such investigation, an explanation of the umstances and corrective action taken.
In th	ne past (5) years, has this business or any of its owners or officers, or any other affiliated business had any
san held YES	ction imposed as a result of judicial or administrative proceedings with respect to any professional license in the control of
YES que	eral, state or local taxes or other assessed charges, including but not limited to water and sewer charges?  NO X If yes, provide details for each such year. Provide a detailed response to all stions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the stionnaire.
	suomane.
Cor a)	offlict of Interest: Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."
	(i) Any material financial relationships that your firm or any firm employee has that may create a conflict
	<ul> <li>(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.     No conflict exists     </li> <li>(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.</li> </ul>
	<ul> <li>(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.     No conflict exists     </li> <li>(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau</li> </ul>
	<ul> <li>(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.     No conflict exists     </li> <li>(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.</li> </ul>

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	υ)	interest would not exist for your firm in the future.
		DP Civil, Inc. will follow any and all guidelines that Nassau County has to assure that conflict of interest would never exist.
Α.		de a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive rience in your profession. Any prior similar experiences, and the results of these experiences, must be ified.
	Have YES	you previously uploaded the below information under in the Document Vault?  NO X
	Is the	proposer an individual?  NO X Should the proposer be other than an individual, the Proposal MUST include:
	i) [	Date of formation; 01/06/2020
	ii)	Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.  Brian Doherty - President 50%
		William Parker - Vice President 50%
No ind	dividua	ls with a financial interest in the company have been attached
	iii)	Name, address and position of all officers and directors of the company. If none, explain.  Brian Doherty - President -  William Parker - Vice President -
No off	icers a	nd directors from this company have been attached.
	iv)	State of incorporation (if applicable);  NY
	v)	The number of employees in the firm;
	vi)	Annual revenue of firm; 1000000
	vii)	Summary of relevant accomplishments Please see attached
		1 File(s) Uploaded: DP Civil_Brian_Doherty_resume.pdf
	viii)	Copies of all state and local licenses and permits.

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- B. Indicate number of years in business.

  1
- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

  Supply upon request.
- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Contact Person Address City Country Telephone Fax # E-Mail Address	Atlantic Coast Dock Contractors Thomas McEvilly 71 Alder Drive Mastic Beach US (631) 281-0041 (631) 842-0535 tom@atcodock.com	State/Province/Territory	NY
Address City Country Telephone Fax #	LEB Electric, LTD Peter Mikolajuk 85 Ralph Ave Copiague US (631) 375-9658  pm@lebelectric.com	State/Province/Territory	NY
Company Contact Person Address City Country Telephone Fax #	Town of Babylon Thomas Slay 200 E Sunrise Highway Lindenhurst US (631) 957-7343	State/Province/Territory	NY

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E-Mail Address tstay@townofbabylon.com

I, Brian Doherty		, hereby acknowledge that a materially false statement
		ay result in rendering the submitting business entity and/or
any affiliated entities non-responsible	e, and, in addition, may	subject me to criminal charges.
knowledge, information and belief; the submission of this form; and that	at I will notify the Cour all information supplie nty will rely on the info	, hereby certify that I have read and understand all the te answers to each item therein to the best of my naty in writing of any change in circumstances occurring after d by me is true to the best of my knowledge, information rmation supplied in this form as additional inducement to
CERTIFICATION		
QUESTIONNAIRE MAY RESULT IN	RENDERING THE SU BID OR FUTURE BID	AUDULENTLY MADE IN CONNECTION WITH THIS JBMITTING BUSINESS ENTITY NOT RESPONSIBLE DS, AND, IN ADDITION, MAY SUBJECT THE PERSON GES.
Name of submitting business:	DP Civil, Inc	
Electronically signed and certified at Brian Doherty [BDOHERTY@DP-CIV		cated by:
President		
Title		1.70
04/08/2021 08:09:56 AM		
1 1541 64		

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Mr. Doherty holds a Bachelor of Science in Architecture and Construction Management from the New York Institute of Technology. He has been in the heavy construction industry for over twenty years. His qualifications include projects for clients such as NYSDOT, NYCDOT, NJDOT, SCWA, NYCDEP, MTA, LIRR, PANYNJ and Amtrak. Brian specializes in large site excavation, heavy highway, bridge, utility work, support of excavation, concrete, precast, structural steel and tunneling. He is a highly driven individual who always puts the client first and maintains a safe work environment.

#### New York Institute of Technology - BS Architecture and Construction Management

#### DP Civil Inc.

#### President / Owner 2020

We are a heavy civil construction company located in Bay Shore, New York. We service the New York Metropolitan Area. Our services include heavy civil and bridge construction; utility support, directional drilling, excavation, concrete, paving and wastewater infrastructure. We pride ourselves on our people, our safe work environment and our dedication to quality services.

#### **Posillico**

## **Project Superintendent 2019-2020**

## SCWA Bergen Point Outfall Tunnel (\$190M) Jack Pipe - Segment Tunnel

- Managed Team of (4) Superintendents (3) Project Engineers (5) Foremen across 3 shifts
- Planned Executed TBM Launch Shaft Concrete Lining 900 Cubic Yards
- Managed the Assembly of a Herrenkencht Tunnel Boring Machine and Pipe Jack Sled
- Planned Safely Executed 400 feet of Precast jack pipe tunnel structure under the Great South Bay
- Implemented the procurement and delivery plan for 20,500 precast segment section of tunnel structure.
- Managed the engineering and planning and execution Receiving shaft Ground Freeze Excavation

## Major Deegan - Cross Bronx Interchange 2018-2019

## NYSDOT Design Build Highbridge Three Bridge Interchange (\$125M)

- Managed the Sequenced MPT and phased demolition, structural steel removal and replacement.
- Existing Hammer Head Pier and girder jacking for pier demolition.
- CIP replacement: 6 bridge abutments, 22 Hammer Head Piers ranging from 20 -50 feet tall and various size CIP retaining walls.
- 600 9T Precast deck panels, with Ultra High Performance Concrete Joints and bridge steel haunches.
- Utility removal, replacement, 6 miles of PCC road approaches, CIP barrier walls and slip form barrier walls.

#### **Tutor Perini Civil East**

#### **Project Superintendent 2015-2018**

## MTA LIRR Grand Central Terminal Cavern and Track Installation

#### East Side Access Contract CM007 (\$265M Civil portion of the \$725M Total Contract)

- Coordinated all field operations with 8 superintendents, 4 project engineers, 6 field engineers, 4 safety engineers, and 3 QAQC Engineers.
- Managed 1st, 2nd, and 3rd shift operations labor, teamsters, and other operating engineers.
- Coordinated CM007 contract activities with three other contracts underway throughout the 8 miles of tunnel and 2 three level 1200-foot-long caverns.
- Lead the procurement, delivery, and installation of 2300 cavern precast beams, panels, and platforms.
- Coordinated the installation sequence of 18,000 CY of shotcrete and rebar.
- Managed the project material yards, trucking and delivery to area locations within the tunnels and caverns.
- Coordinated site crane and equipment use, and managed the maintenance of all tunnel heavy equipment.



## Hudson Yards Amtrak Tunnel Casing "Cut-Cover" (\$75M) Hudson Yards MOE LIRR Track Yard Phase 1 (\$45M)

- Lead the project team of 4 Superintendents, 4 Field Engineers, 1 Safety Engineer, and 1 QAQC Engineer.
- Managed all field operations labor, equipment needs and site logistics on all three shifts.
- Managed the temporary steel installation and the 90T Bridge jacking operation.
- Managed the removal and replacement of the existing 11th avenue bridge deck, pier, and barriers.
- Coordinated the installation of jet grout SOE walls, concrete whalers, and tie backs.
- Managed the soil and rock excavation totaling 12,000 CY.
- Managed the track yard construction: installation of 3,200 LF of track, 8 switches, 1,100 tons of ballast, 25 precast utility structures, water lines, drainage lines, and DC power conduit duct banks.
- Developed weekly cost to complete budgets and tracked field projections.
- Developed field milestones and completed on time and within the projected budget.

#### **Project Superintendent 2012-2015**

## NJDOT Pulaski Skyway Deck Replacement Contracts (\$205M)

- Lead the project team of 6 Superintendents, 3 Field Engineers, 3 Safety Engineers, and 1 QAQC Engineer.
- Worked with the estimating department with project means and methods.
- Contributed to the Safety Executive Team. Walked each project monthly and reviewed project safety.
- Developed safer project work plans and tracked project trends.
- Developed all daily, monthly, and advanced six-month project schedules.
- Coordinated all subcontractor activities: bridge painting, architectural steel, precast fabrication, signage, and deck surface treatments.
- Developed field cost to complete budgets and participated in the monthly and quarterly cost reviews.

#### Judiau Contracting Inc.

## Project Manager 2008-2012

## World Trade Center - PANYNJ (\$45M)

- Managed the project field office and all aspects of field operations- including the design build aspects.
- Modified the existing slurry walls for Tower 1 Vent Shaft Penetrations.
- Installed a blast plate protection system on the WTC existing structures, following the tower 1 foundation.
- Managed the PATH track outages for the demolitions phase and construction phase.
- Install new concrete structures: 2 floor slabs, columns, walls for future vent shafts.
- Pursued and managed the execution of over thirty change orders and completed the project within budget.
- Managed project cost and participated in monthly review meetings with the Project Executive.

## **Project Manager**

## NYCDEP Owls Head Waste Water Treatment Plant (\$73M)

- Managed all the site civil operations and constructed a four level reinforced concrete mechanical building with an architectural limestone facade.
- Constructed facility loading docks, permanent utilities, access roads and parking lots.
- Managed the installation of the building's mechanical systems; grit and scum separators.
- Installation of large bore and small bore pipe installation, HVAC and electric.
- Coordinated the replacement of HD pumps, grit system pumps, and material conveyor systems.
- Managed all project paperwork, change order negotiations, and scheduling.



## Slattery Skanska Inc.,

## Project Engineer / Superintendent2000-2008 (\$200M-\$550M Projects)

- East River Station Con Edison Superintendent
- NYCDEP Newtown Creek Wastewater Treatment Facility Superintendent
- NYPA Charles Poletti / 500MW Power Plant Assistant Superintendent
- KEYSPAN Ravenswood 500 MW Power Plant Project Engineer
- NYPA Glenwood Landing 24MW Power Project Field Engineer
- JFK ARTC Air Train Internship Field Engineering

#### **Safety Certificates:**

- OSHA 30 OSHA 10,
- NYSTATE Certified MPT Trained 2019
- American Red Cross CPR Trained / First Responder
- Fall Protection Trained Confined Space Trained
- Lead Awareness Trained- SWAC Certified TWIC Certified
- NYCTA Track Trained LIRR Track Trained Metro North Track Trained AMTRAK Track Trained,

**Eagle Scout-** Boy Scouts of America Troop 1-Flushing New York,1989- PRESENT as a Volunteer

American Institute of Architects AIA Associate Member
Lifeguard Certified Rescue Swimmer – NYSP Jones Beach Lifeguard Corps.

## **PRINCIPAL QUESTIONNAIRE FORM**

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1.	Principal Name: William Parker						
	Date of birth: 03/28/1980						
	Home address:						
	City: State/Province/Territory: Zip/Postal Code: Country: US						
	Country: US						
	Business Address: 315 Orinoco Drive						
	City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706						
	Country US						
	Telephone:						
	Other present address(es):						
	City: State/Province/Territory: Zip/Postal Code:						
	Country:						
	Telephone:						
	List of other addresses and telephone numbers attached						
2.	Positions hold in submitting business and starting data of each (shock all applicable)						
۷.	Positions held in submitting business and starting date of each (check all applicable)						
	President Treasurer						
	Chairman of Board Shareholder						
	Chief Exec. Officer Secretary						
	Chief Financial Officer Partner						
	Vice President 01/06/2020						
	(Other)						
3.	Do you have an equity interest in the business submitting the questionnaire?						
J.	YES X NO If Yes, provide details.						
	50% Equity						
4.	Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?						
	YES NO X If Yes, provide details.						
	123   NO A   Il res, provide details.						
E	Within the next 2 years have you have a missingly and a set on the inner a set of the se						
5.	Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?						
	YES X NO If Yes, provide details.						
	LEB Electric Lt, LEB Holding LLC, PARKALLOTT Inc						
	LED LIGORIO EL LED FIORING LEO, I ARTICLEO I I INC						

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	YES	NO X If Yes, provide details.
sult (	of any a	firmative answer is required below whether the sanction arose automatically, by operation of law, or as a action taken by a government agency. Provide a detailed response to all questions checked "YES". If you bace, photocopy the appropriate page and attach it to the questionnaire.
		past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 ch you have been a principal owner or officer:
	a.	Been debarred by any government agency from entering into contracts with that agency?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
	b.	Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
	c.	Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
	d.	Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

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1,	tionnaire.)
a.	Is there any felony charge pending against you?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
b.	Is there any misdemeanor charge pending against you?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
C.	Is there any administrative charge pending against you?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
d.	In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crir an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y  YES NO X  If yes, provide an explanation of the circumstances and corrective action taken.
e.	In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
f.	In the past 5 years, have you been found in violation of any administrative or statutory charges?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

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	YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
11.	In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?
	YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
12.	In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
	TES   NO   X   II yes, provide an explanation of the circumstances and corrective action taken.
13.	For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.

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## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1.		ian Doherty									
		)/23/1979									
	Home address: _										
	City:		State/Province/Territory: _	Zip/Postal Code:							
	Country: US										
	Business Address: 315 Orinoco Drive										
	City: Bay Sh	nore	State/Province/Territory: NY	Zip/Postal Code: 11706							
	Country US										
	Telephone: 718309	97701									
	Other present addres	ss(es):									
	City		State/Province/Territory:	Zip/Postal Code:							
	Country:		<del></del>								
	Telephone:										
	List of other addresse	es and telephone n	numbers attached								
	Elot of other addresses	so and tolophone in	idiliboro dilaonoa								
2.	Positions held in sub	mitting business ar	nd starting date of each (check all	applicable)							
	5	0.4 (0.0 (0.0 0.0	_								
	President	01/06/2020	Treasurer _								
	Chairman of Board										
	Chief Exec. Officer		Secretary _								
	Chief Financial Office		Partner								
	Vice President (Other)	01/06/2020									
	(Other)										
3.	Do you have an equi	ty interest in the bu	usiness submitting the questionnai	re?							
	YES X NO	If Yes, pro	ovide details.								
	50% Equity										
4.	Are there any outstar	nding loans, quarar	ntees or any other form of security	or lease or any other type of							
			etween you and the business subn								
		X If Yes, pro	•								
	120   110										
	•										
5.	Within the past 3 yea	ars have you been	a principal owner or officer of any	business or notfor-profit organization							
<b>J</b> .	other than the one su			Dadinoto or notion-profit organization							
	YES X NO		ovide details.								
	Doherty Developing										

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	year		you we		a pri	awarded any contracts to a business or organization in the comment of the contracts are sufficed as the contracts of the contract of the contracts of the contract of the cont	•
YE	ES		NO		X	If Yes, provide details.	
L							
ult of a	any a	ection ta	aken by	/a	gove	red below whether the sanction arose automatica nment agency. Provide a detailed response to all o propriate page and attach it to the questionnaire.	lly, by operation of law, or as a questions checked "YES". If yo
		ch you l	have b	een	a p	u and/or any affiliated businesses or not-for-profit cipal owner or officer:	-
a.			debarr			government agency from entering into contracts	
	ı	YES taken.		N	0	X If yes, provide an explanation of the circums	stances and corrective action
b.			lled for		use?	ult and/or terminated for cause on any contract, an X If yes, provide an explanation of the circums	•
C.			to, fai		to r	d of a contract and/or the opportunity to bid on a cet pre-qualification standards?  X If yes, provide an explanation of the circums	
d.			ng that act?		uld fo	ny government agency from entering into any con mally debar or otherwise affect such business's at	oility to bid or propose on

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

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*****	
a.	Is there any felony charge pending against you?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
b.	Is there any misdemeanor charge pending against you?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
C.	Is there any administrative charge pending against you?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
d.	In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other cri an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
e.	In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.
f.	In the past 5 years, have you been found in violation of any administrative or statutory charges?  YES NO X If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

to Ques type of i	tion 5, been nvestigation	the subject the subject the subject to the subject	ect of a criminal invest	igation and/or a civil a cluding but not limited	ess or organization listed in respor nti-trust investigation and/or any of to federal, state, and local regulat
YES	NO	X	If yes, provide an ex	planation of the circum	nstances and corrective action take
	sanction im		s a result of judicial or	administrative proceed	ness listed in response to Question dings with respect to any profession distances and corrective action take
had any license l	sanction im neld?	nposed as	s a result of judicial or	administrative proceed	dings with respect to any professio

Page **4** of **5** 

I, Brian Doherty , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.
I, Brian Doherty , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.
CERTIFICATION  A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.
DP Civil Inc.
Name of submitting business
Electronically signed and certified at the date and time indicated by: Brian Doherty [BDOHERTY@DP-CIVIL.COM]
President
Title
04/08/2021 07:57:00 AM Date

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# **Certificate of No Change Form**

All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Brian Doherty state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

## **Vendor Disclosures**

01/27/2021 08:35:36 AM

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Name of Submitting Entity:	DP Civil, Inc					
Vendor's Address:	315 Orinoco Drive Bay Shore NY US 11706					
Vendor's EIN or TIN:	84-4165448					
Forms Submitted:						
Political Campaign Contribution Di 01/27/2021 07:16:12 AM	sclosure Form:					
Lobbyist Registration and Disclosu 01/27/2021 07:16:22 AM	ire Form:					
Business History Form certified: 04/08/2021 08:09:56 AM						
Consultant's Contractor's and Ve	ndor's Disclosure Form:					

Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

Drive is at Nove	Data Cartificat							
Principal Name  Brian Doherty [BDOHERTY@DP-CIVIL.COM] 04/08/202	Date Certified 1 07:57:00 AM							
	1 08:00:10 AM							
VIIII AIRO DI FRANCIA DI PONTE. COM	1 00.00.10 7.01							
I, Brian Doherty hereby acknowledge that a materially false state fraudulently made in connection with this form may result in rendering the submitting busi affiliated entities non-responsible, and, in addition, may subject me to criminal charges.								
I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity								
CERTIFICATION								
A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."								
Brian Doherty BDOHERTY@DP-CIVIL.COM								
Name								
President								
Title								
DP Civil, Inc								
Name of Submitting Entity								
04/08/2021 08:12:01 AM  Date								

E-37.21 Additional Backup

E-37-21 Corrected Backup

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## **COUNTY OF NASSAU**

## POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor pro State Election Law in (a) the period beginning April 1, 2016 a April 1, 2018, the period beginning two years prior to the dat disclosure, to the campaign committees of any of the following committees of any candidates for any of the following Nassa Clerk, the Comptroller, the District Attorney, or any County L	and ending on the date of this disclosure, or (b), beginning e of this disclosure and ending on the date of this ng Nassau County elected officials or to the campaign to County elected offices: the County Executive, the County egislator?
Director Raymond Maguire has donated to the campaign co and County Legislator Steve Rhoads. Joseph Bentivegna ha Rose Walker. All other Director's have indicated that they ha	mmittees of the County Executive, the County Comptroller, as donated to the campaign committee of County Legislator
	•
2. VERIFICATION: This section must be signed by a princip signatory of the firm for the purpose of executing Contracts.	
The undersigned affirms and so swears that he/she has reahis/her knowledge, true and accurate.	d and understood the foregoing statements and they are, to
The undersigned further certifies and affirms that the contrib made freely and without duress, threat or any promise of a gremuneration.	
Electronically signed and certified at the date and time indicated to the control of the control	ated by:
Dated: 04/07/2021 07:23:01 PM	Vendor: Vocational Education and Extension Board
	Title: Executive Director

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