




**B13-16**  
Nassau County

**Department of Public Works**

**Staff Summary**

Subject: Cedar Creek WPCP Efficiency Improvements - Biosolids Reduction Project (Centrifuges)
Department: Public Works
Commissioner: Shila Shah-Gavnoudias Department Head Signature: 
Project Manager: Joseph N. Walker Phone No. 571-7512

Date: February 11, 2016
Vendor Name: R. J. Industries, Inc. 75 East Bethpage Road, PO Box 349, Plainview, NY 11803
Contract No. S3C067-06G
Personal Services ___ Blanket Res ___ Calendar ___
Bid <input checked="" type="checkbox"/> Rules Comm. ___
Contract Manager: Richard O. Felicetta, President Phone Number: (516) 845-9772

Proposed Legislative Action					
	To	Date	Approval	Info	Other
	Assgn Comm		1		
	Rules Comm				
	Full Leg				

Internal Approvals			
Date & Init.	Approval	Date & Init.	Approval
SS 3/3/16 RS 3/8/16	Dept. Head	4/29/16	Counsel to C.E.
	Budget	3/9/16	County Atty.
	Deputy C.E.	4/25/16	County Exec.

**Narrative**

**Purpose:** This contract is to provide the necessary labor and materials associated with the efficiency improvements to the Sludge Dewatering Systems at the Cedar Creek Water Pollution Control Plant. The principal features of the work to be performed under this contract consist of furnishing and installation of new plant equipment, and associated electrical and instrumentation.

**Discussion:** The existing sludge dewatering equipment, belt filter presses, is capable of dewatering sludge to a maximum 18% solids, whereas the proposed centrifuge dewatering equipment will be able to achieve dewatered sludge in the 24%-26% range. The increased solids percentage results in a reduced sludge volume for disposal thus reducing disposal and fees.

**Impact on Funding:** The contract provides for the expenditure of \$3,424,400.00. Funding will be provided through an existing Capital Project No. 3C067. Bids were opened on January 19, 2016; and, RJ Industries, Inc. was accepted as the lowest responsible bidder.

**Recommendation:** It is recommended that this contract be approved as submitted.

SSG:KGA:JLD:cs

RECEIVED  
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2016 MAY -2 A 11:00  
NASSAU COUNTY



# Nassau County Interim Finance Authority

## Contract Approval Request Form (As of January 1, 2015)

1. Vendor: RJ Industries, Inc

2. Dollar amount requiring NIFA approval: \$ 3,424,400.00

Amount to be encumbered: \$ 3,424,400.00 *1/2*

This is a ☒ New Contract ☐ Advisement ☐ Amendment

If new contract - \$ amount should be full amount of contract

If advisement - NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: 12 Months

Has work or services on this contract commenced? ☐ Yes ☒ No

If yes, please explain: \_\_\_\_\_

### 4. Funding Source:

☐ General Fund (GEN) ☐ Grant Fund (GRT) Federal % \_\_\_\_\_  
☒ Capital Improvement Fund (CAP) State % \_\_\_\_\_  
☐ Other County % \_\_\_\_\_

Is the cash available for the full amount of the contract? ☐ Yes ☒ No

If not, will it require a future borrowing? ☒ Yes ☐ No

Has the County Legislature approved the borrowing? ☒ Yes ☐ No ☐ N/A

Has NIFA approved the borrowing for this contract? ☐ Yes ☒ No ☐ N/A

### 5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

This contract is to provide the necessary labor and materials associated with the efficiency improvements to the Sludge Dewatering Systems at the Cedar Creek Water Pollution Control Plant. The principal features of the work to be performed under this contract consist of furnishing and installation of new plant equipment, and associated electrical and instrumentation.

### 6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form ☐ Yes ☐ No ☐ N/A  
Nassau County Committee and/or Legislature ☐ Yes ☐ No ☐ N/A

Date of approval(s) and citation to the resolution where approval for this item was provided:

### 7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

Exceeds \$50K

## AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

Roseann Allen 3/8/16  
Signature Title Date

\_\_\_\_\_  
Print Name

## COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

\_\_\_\_\_ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

\_\_\_\_\_ I certify that the bonding for this contract has been approved by NIFA.

\_\_\_\_\_ Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

\_\_\_\_\_  
Signature Title Date

\_\_\_\_\_  
Print Name

## NIFA

Amount being approved by NIFA: \_\_\_\_\_

\_\_\_\_\_  
Signature Title Date

\_\_\_\_\_  
Print Name

**NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.**

**NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.**

**NIFA reserves the right to request additional information as needed.**

George Maragos  
Comptroller



OFFICE OF THE COMPTROLLER  
240 Old Country Road  
Mineola, New York 11501

## COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

CONTRACTOR NAME: RJ Industries

CONTRACTOR ADDRESS: 75 East Bethpage Road, P.O. Box 349, Plainview, NY  
11803

FEDERAL TAX ID #: 11-3401288

**Instructions:** Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☒ **The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids.** The contract was awarded after a request for sealed bids was published in Newsday [newspaper] on \_\_\_\_\_ [date]. The sealed bids were publicly opened on January 19, 2016 [date]. 6 [#] of sealed bids were received and opened.

II. ☐ **The contractor was selected pursuant to a Request for Proposals.**

The Contract was entered into after a written request for proposals was issued on \_\_\_\_\_ [date]. Potential proposers were made aware of the availability of the RFP by \_\_\_\_\_ [newspaper advertisement, posting on website, mailing, etc.]. \_\_\_\_\_ [#] of potential proposers requested copies of the RFP. Proposals were due on \_\_\_\_\_ [date]. \_\_\_\_\_ [#] proposals were received and evaluated. The evaluation committee consisted of: \_\_\_\_\_

\_\_\_\_\_ [list members]. The proposals were scored and ranked. As a result of the scoring and ranking (attached), the highest-ranking proposer was selected.

**III. ☐ This is a renewal, extension or amendment of an existing contract.**

The contract was originally executed by Nassau County on \_\_\_\_\_ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after \_\_\_\_\_

\_\_\_\_\_  
[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

**IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.**

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

**V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.**

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ **D.** Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

**VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated.** Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

**VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services.** The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No.928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

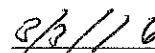
**VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts.** The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

**IX. ☒ Department MWBE responsibilities.** To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to the contract being submitted to the Comptroller.

**X. ☐ Vendor will not require any sub-contractors.**

***In addition, if this is a contract with an individual or with an entity that has only one or two employees:*** ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41, 1987-1 C.B. 296*, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

  
\_\_\_\_\_  
Department Head Signature

  
\_\_\_\_\_  
Date

***NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.***

*Compt. form Pers./Prof. Services Contracts: Rev. 09/15*

## Exhibit A



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

Since January 1, 2014, R.J. Industries, Inc. has contributed to Friends of Ed Mangano (\$3,500 in '2015

& \$5,000 in 2014) and Friends of George Maragos (\$2,000 in 2014).

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

R.J. Industries, Inc.

Vendor: \_\_\_\_\_

Signed: \_\_\_\_\_

Dated: \_\_\_\_\_

3/30/16

Print Name: \_\_\_\_\_

Richard O. Felicetta

Title: \_\_\_\_\_

President



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**Exhibit B**



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

We have not hired a lobbying firm.

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

NA

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

NA

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4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

NA

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5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

NA

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6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

NA

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

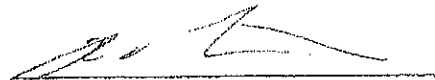
I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 3/30/2016

Signed:



Print Name:

Richard O. Felicetta

Title:

President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: R.J. Industries, Inc.

Address: 75 East Bethpage Road; PO Box 349

City, State and Zip Code: Plainview, NY 11803

2. Entity's Vendor Identification Number: 11-3401288

3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture  
☐ Ltd. Liability Co ☐ Closely Held Corp ☐ Sub S-Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Richard O. Felcetta, President;

John C. Doremus, Vice President;

John E. Kozlarz, Vice President;

William J. Doremus, Secretary-Treasurer;

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

see response to question #4

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

RJI Mechanical, Inc.

BSRJ A TV

RJ/Scalamandre, JV

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

none

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

none

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

no

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 3/30/2016

Signed: 

Print Name: Richard O. Felicetta

Title: President



The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

### Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable."  
No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: \_\_\_\_\_

1) Proposer's Legal Name: R.J. Industries, Inc.

2) Address of Place of Business: 75 East Bethpage Road; Plainview, NY 11803

List all other business addresses used within last five years:

3) Mailing Address (if different): PO Box 349; Plainview, NY 11803

Phone: 516-845-9772

Does the business own or rent its facilities? own

4) Dun and Bradstreet number: \_\_\_\_\_

5) Federal I.D. Number: 11-3401288

6) The proposer is a (check one): Sole Proprietorship Partnership  
Corporation Other (Describe) Subchapter s-corp

7) Does this business share office space, staff, or equipment expenses with any other business?  
Yes x No    If Yes, please provide details: BSRJ A TV, RJ/Scalamandre, JV

8) Does this business control one or more other businesses? Yes X No    If Yes, please provide details: RJ East Bethpage Road, LLC (operates the main office building in Plainview, NY)

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes x No      If Yes, provide details. R.J.I. Mechanical, Inc.

10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes      No x If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture; or details regarding the termination (if a contract).     

11) Has the proposer, during the past seven years, been declared bankrupt? Yes      No x If Yes, state date, court jurisdiction, amount of liabilities and amount of assets     

12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business. Yes      No x If Yes, provide details for each such investigation.     

13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes      No x If Yes, provide details for each such investigation.     

14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending? Yes      No x If Yes, provide details for each such charge.     

b) Any misdemeanor charge pending? Yes      No x If Yes, provide details for each such charge.     

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes      No x

If Yes, provide details for each such conviction \_\_\_\_\_

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?  
Yes \_\_\_\_ No x If Yes, provide details for each such conviction. \_\_\_\_\_

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? Yes \_\_\_\_ No x If Yes, provide details for each such occurrence. \_\_\_\_\_

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? Yes \_\_\_\_ No x; If Yes, provide details for each such instance. \_\_\_\_\_

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? Yes \_\_\_\_ No x If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. \_\_\_\_\_

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.  
No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County. On the previous disclosure form we noted that one of our employees is related to an employee of Hazen and Sawyer which is employed by Nassau County. Nassau County has since awarded contracts to us.

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.  
No conflict exists

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.  
We would be pleased to assist Nassau County in its efforts to eliminate conflicts of interest. Should we learn of any potential conflicts, we intend to notify the County for its determination on what is appropriate.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; 9/2/1997
  - ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner; see attached
  - iii) Name, address and position of all officers and directors of the company; see attached
  - iv) State of incorporation (if applicable); NY
  - v) The number of employees in the firm; 25 non-union / union staff varies (usually between 25 & 35)
  - vi) Annual revenue of firm; [REDACTED]
  - vii) Summary of relevant accomplishments see attached list of projects
  - viii) Copies of all state and local licenses and permits.
- B. Indicate number of years in business.
- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.
- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company see attached list of projects

Contact Person \_\_\_\_\_

Address \_\_\_\_\_

City/State \_\_\_\_\_

Telephone \_\_\_\_\_

Fax # \_\_\_\_\_

E-Mail Address \_\_\_\_\_

\_\_\_\_\_

Company \_\_\_\_\_

Contact Person \_\_\_\_\_

Address \_\_\_\_\_

City/State \_\_\_\_\_

Telephone \_\_\_\_\_

Fax # \_\_\_\_\_

E-Mail Address \_\_\_\_\_

Company \_\_\_\_\_

Contact Person \_\_\_\_\_

Address \_\_\_\_\_

City/State \_\_\_\_\_

Telephone \_\_\_\_\_

Fax # \_\_\_\_\_


E-Mail Address \_\_\_\_\_

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

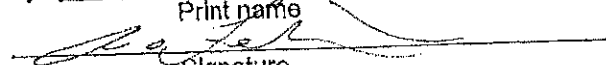
I, Richard O. Felicetta, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 30<sup>th</sup> day of March 2016

  
Notary Public

EVELYN DELLA RATT  
Notary Public, State of New York  
01DE4854133  
Qualified in Nassau County  
Commission Expires May 27, 2018

Name of submitting business: R.J. Industries, Inc.

By: Richard O. Felicetta  
Print name  
  
Signature

President  
Title

3 / 30 / 2016  
Date

## R.J. Industries, Inc.

PROJECT & LOCATION	OWNER	CONTACT/CONSULTANT	ORIGINAL CONTRACT AMOUNT	SUBSTANTIAL COMPLETION DATE	% COMPLETE
<b>B.J. Industries, Inc.</b> Bay Park STP / Cedar Creek WPCP Order Control Facility, Contract No. S35110-01G	Nassau County DPW	Joseph Davenport / 516-571-7515 Michael DeNicola (Hazen & Sawyer) MDenicola@hazendon.com 516-881-7843	\$24,767,700	March-16	93%
Bay Park STP Temporary Hot Water Piping System Emergency Contract No. S35110-05G	Nassau County DPW	Joseph Davenport / 516-571-7515 Michael DeNicola (Hazen & Sawyer) MDenicola@hazendon.com 516-881-7843	\$3,937,700	January-16	88%
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 831-756-5000	\$17,869,900	Jun-16	86%
Cedar Creek WPCP Improvements to Prohibited & Domestic Water Systems, Contract S30087-44G	Nassau County DPW	Joseph Davenport 516-571-7515	\$1,447,423	March-16	83%
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 831-852-4188	\$1,869,800	December-15	79%
Car Wash Repairs at 289th Street Yard, Concourse Yard and Pelham Yard (Bronx) Contract No. C-34913	MTA - New York City Transit	Domitrios Milonas 646-252-4127	\$10,733,360	December-16	7%
<b>B.J. Mechanical, Inc.</b> North Queens (Corona) Gate Station Install 35,000 feet High Pressure Gas Main	National Grid	John Liscardi (Network Infrastructure) 516-385-3000	\$1,147,000	November-15	100%
<b>BSRJA, LLC</b> Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E1) Contract No. S35121-13E	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDenicola@hazendon.com 516-881-7843	\$28,574,700	Jun-16	84%
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDenicola@hazendon.com 516-881-7843	\$97,447,200	Dec-17	2%
<b>R.J. Scalimandros, Joint Venture</b> Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S35120-02G	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDenicola@hazendon.com 516-881-7843	\$16,187,700	Sep-17	29%



**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Joseph Davenport, PE 516-571-7515	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) <a href="mailto:MDeNicola@hazenandsawyer.com">MDeNicola@hazenandsawyer.com</a> 516-881-7843	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-384-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
City of Long Beach Rehabilitation of New York Avenue Lift Station	City of Long Beach		\$448,400	2014
Bergen Point WWTP (SCSD #3)	County of Suffolk	John Berchtold	\$2,347,860	2013 As of December 2015

**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Screening Room Odor Control General Construction & HVAC Contracts		631-852-4189		
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection System & Related Infrastructure Repairs	Nassau County DPW	Joseph Davenport 516-571-7515	\$2,701,537	2013
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	Robert Breslin 516-924-4376	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartilucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	Robert Breslin 516-924-4376	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading River CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP	Village of Patchogue	Steven Cluff	\$8,906,550	2011 As of December 2015

**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Upgrade & Expansion - GC & Mechanical				
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW James Gallagher 516-571-7220	\$3,647,700	2011
Manhasset Lakeville Water District 10 Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District 10 Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011
Cedar Creek WPCP Install New Gravity Belt Thickner	Nassau County DPW	Hazen and Sawyer Mike De Nicola 212-639-7038	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,388,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bletsch H2M Group 631-756-8000	\$929,800	2010

As of December 2015

RJ Industries, Inc.

11-3401288

New York State S-Corporation

Officers / Principals

Richard Felicetta: President,  
John Doremus: Vice President,  
John Koziarz: Vice President –  
William Doremus: Secretary & Treasurer,

Safety / Risk Engineering

Vijay Kumar  
Phil Belfiore

Project Management / Supervision

Keith Olsen – Sr. Project Manager (Purchasing & Subcontract Management)  
Joshua Rogers – Project Manager  
Nicholas Felicetta – Project Manager  
Joseph Felicetta – Supervisor  
Todd Melzer – Supervisor  
Tony Etersque – Supervisor (Mechanical)

Office / Administration

Maryanne Hilton – Controller  
Evellyn Dellaratta- Office Manager  
Lynn Saccone – Purchasing Assistant

Union Staff includes Engineers, Carpenters, Plumbers, Steamfitters, Laborers

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Richard O. Felicetta  
Date of birth [REDACTED]  
Home address [REDACTED]  
City/state/zip [REDACTED]  
Business address 75 East Bethpage Road; PO Box 349  
City/state/zip Plainview, NY 11803  
Telephone 516-845-9772, ext 130  
Other present address(es) \_\_\_\_\_  
City/state/zip \_\_\_\_\_  
Telephone cell [REDACTED]  
List of other addresses and telephone numbers attached \_\_\_\_\_
2. Positions held in submitting business and starting date of each (check all applicable)  
President 7/2/97 Treasurer 1/1/  
Chairman of Board 1/1/ Shareholder 1/1/  
Chief Exec. Officer 1/1/ Secretary 1/1/  
Chief Financial Officer 1/1/ Partner 1/1/  
Vice President 1/1/  
(Other) \_\_\_\_\_
3. Do you have an equity interest in the business submitting the questionnaire?  
YES x NO \_\_\_\_ If Yes, provide details. 45 shares (45% interest) R.J. Industries, Inc. Stock
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES x NO \_\_\_\_ If Yes, provide details.  
Personally Indemnify R.J. Industries' credit facilities with Signature Bank and Liberty Mutual (Surety)
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES \_\_\_\_ NO x  
If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES \_\_\_\_ NO \_\_\_\_  
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.  
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES \_\_\_\_ NO \_\_\_\_ If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES \_\_\_\_ NO \_\_\_\_ If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES \_\_\_\_ NO \_\_\_\_ If Yes, provide details for each such instance.
  - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES \_\_\_\_ NO \_\_\_\_ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES \_\_\_\_ NO \_\_\_\_ If Yes, provide details for each such charge.
  - b) Is there any misdemeanor charge pending against you? YES \_\_\_\_ NO x \_\_\_\_ If Yes, provide details for each such charge.
  - c) Is there any administrative charge pending against you? YES \_\_\_\_ NO x \_\_\_\_ If Yes, provide details for each such charge.
  - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES \_\_\_\_ NO x \_\_\_\_ If Yes, provide details for each such conviction.

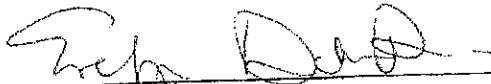
- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?  
YES \_\_\_\_ NO x If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES \_\_\_\_ NO x If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES \_\_\_\_ NO x If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES \_\_\_\_ NO x If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES \_\_\_\_ NO x If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES \_\_\_\_ NO x If Yes, provide details for each such year.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Richard O. Felicetta, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

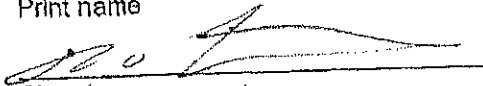
Sworn to before me this 30 day of March 2016

  
\_\_\_\_\_  
Notary Public

EVELYN DELLA RATTÀ  
Notary Public, State of New York  
01DE4854133  
Qualified in Nassau County  
Commission Expires May 27, 2018

R. J. Industries, Inc.  
Name of submitting business

Richard O. Felicetta  
Print name

  
\_\_\_\_\_  
Signature

President  
Title

3/30/2016  
Date



## PRINCIPAL QUESTIONNAIRE FORM

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1. Principal Name John C. Doremus  
Date of birth [REDACTED]  
Home address [REDACTED]  
City/state/zip [REDACTED]  
Business address 75 East Bethpage Road; PO Box 349  
City/state/zip Plainview, NY 11803  
Telephone 516-845-9772, ext 131  
Other present address(es) \_\_\_\_\_  
City/state/zip \_\_\_\_\_  
Telephone cell [REDACTED]  
List of other addresses and telephone numbers attached \_\_\_\_\_
2. Positions held in submitting business and starting date of each (check all applicable)  
President   /  /   Treasurer   /  /    
Chairman of Board   /  /   Shareholder   /  /    
Chief Exec. Officer   /  /   Secretary   /  /    
Chief Financial Officer   /  /   Partner   /  /    
Vice President 8/2/1997 to Present  
(Other) \_\_\_\_\_
3. Do you have an equity interest in the business submitting the questionnaire?  
YES x NO    If Yes, provide details. 45 shares (45% interest) R.J. Industries, Inc. Stock
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES x NO    If Yes, provide details.  
Personally indemnify R.J. Industries's credit facilities at Signature Bank and Liberty Mutual (surety)
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES    NO x  
If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES \_\_\_\_ NO x  
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.  
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (6) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES \_\_\_\_ NO x If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES \_\_\_\_ NO x If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES \_\_\_\_ NO x If Yes, provide details for each such instance.
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  - c) Is there any administrative charge pending against you? YES \_\_\_\_ NO x If Yes, provide details for each such charge.
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- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES \_\_\_\_ NO x If Yes, provide details for each such conviction.
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9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES \_\_\_\_ NO x If Yes, provide details for each such investigation.
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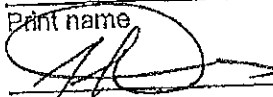
I, John C. Doremus, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 30 day of March 2016

  
Notary Public

EVELYN DELLA RATTA  
Notary Public, State of New York  
01DE4854133  
Qualified in Nassau County  
Commission Expires May 27, 2018

R.J. Industries, Inc.  
Name of submitting business  
John C. Doremus

Print name  
  
Signature

Vice Pres.  
Title

313012016  
Date

COUNTY OF NASSAU  
Inter-Departmental Memo

**TO:** Office of the County Executive  
Att: Richard R. Walker, Chief Deputy County Executive

**FROM:** Department of Public Works

**DATE:** March 3, 2016

**SUBJECT: RECOMMENDATION OF AWARD**

**Contract No: S3C067-06G**

**Title: CONTRACT S3C067-06G – CEDAR CREEK WATER POLLUTION  
CONTROL PLANT – EFFICIENCY CAPITAL IMPROVEMENT-SLUDGE  
REDUCTION**

**Bids received on: January 19, 2016**

I have examined the bids submitted for the contract mentioned above. Finding them to be in order, I recommend this contract be awarded to **R.J. Industries, Inc.**, as the lowest responsible bidder with a total bid amount of **\$3,424,400.00**. In order to facilitate processing of the above referenced contract, I request that the attached "Request to Initiate" form be approved.



Shila Shah-Gaynoudias  
Commissioner

SSG:KGA:jh



**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Division of Administration  
Att: Kenneth G. Arnold, Assistant to Commissioner

**FROM:** Division of Engineering  
Water/Wastewater Engineering Unit

**DATE:** February 24, 2016

**SUBJECT:** RECOMMENDATION OF AWARD

Contract No: S3C067-06G

Title: Cedar Creek WPCP Energy Efficiency  
Sludge Reduction Project

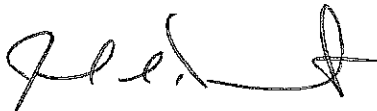
Engineer's Estimate: \$4,202,886.00

Bids Received On: January 19, 2016

The bids received for the above-mentioned contract have been examined; and, the bid submitted by RJ Industries, Inc., in the amount of \$3,424,400.00 is acceptable as the lowest responsible bidder.

Since the low bid is less than the engineer's estimate and adequate funds are available (Capital Project No. 3C067), it is requested that the Recommendation of Award be prepared for the Commissioner's signature and forwarded to the County Executive for his action.

Attached, herewith, please find Engineer of Record Recommendation letter, CSEA memo, MWBE Utilization Plan, Staff Summary, NIFA, Comptroller Approval and Request to Initiate forms for your information and use.



Joseph L. Davenport  
Chief Sanitary Engineer  
Unit Head, Water/Wastewater Engineering Unit

JLD:cs  
Attachments

c: Joseph N. Walker, Assistant Superintendent of Water Supply  
Brent Chow, Jacobs Engineering  
John Domenica, Jacobs Engineering  
Loretta V. Dionisio, Hydrogeologist II



## REQUEST TO INITIATE

RTI Number 15-0394

## REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC

☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work OrderProject Title: S3C067-06G Cedar Creek WPCP - Energy Efficiency Sludge Reduction ProjectDepartment: Public Works Project Manager: Joseph Davenport Date: November 13, 2015Service Requested: General Construction Services to Modify the Cedar Creek Sludge Dewatering FacilityJustification: Modifications of the existing Sludge Dewatering Building to include (2) new dewatering centrifuges and associated equipment will result in cost savings and efficient sludge processing at the Cedar Creek Water Pollution Control Plant. The services of a general contractor are required to execute the construction contract.Requested by: Department of Public Works/Water & Wastewater Engineering UnitProject Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$5,959,035  
Circle appropriate phaseTotal Project Cost: \$7,596,194  
Includes, design, construction and CMDate Start Work: 5/2/16 (NTP)  
Phase being requestedDuration: 500 days (construction)  
Phase being requestedCapital Funding Approval: YES ☒ NO ☐

SIGNATURE

DATE

Funding Allocation (Capital Project): 3C067See Attached Sheet if multiyear ☒NIFS Entered: [Signature]

SIGNATURE

DATE

AIM Entered: K. Angew

SIGNATURE

DATE

Funding Code: 3C067 006

use this on all encumbrances

Timesheet Code: 3C067 006

use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐

Supplemental Environmental Documentation

Department Head Approval:

YES ☒ NO ☐

SIGNATURE

DCE/Ops Approval:

YES ☐ NO ☐

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval:

YES NO

Signature \_\_\_\_\_

Version January 2014

**B13-16**

RO -2016

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND  
EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON  
BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND  
R.J. INDUSTRIES, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract S3C067-06G, CEDAR CREEK WATER POLLUTION CONTROL PLANT – EFFICIENCY CAPITAL IMPROVEMENT-SLUDGE REDUCTION ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of R.J. INDUSTRIES, INC.

["Vendor"] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and



WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 3,424,400.00 now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.



60 Crossways Park West, Suite 340  
Woodbury, NY 11757  
tel: 516-496-8400  
fax: 516-921-1521

February 8, 2016

Joseph L. Davenport, P.E.  
Chief Sanitary Engineer  
Unit Head, Water/Wastewater Engineering Unit  
Nassau County Department of Public Works  
3340 Merrick Road, Building R, 3rd Floor  
Wantagh, New York 11793

Subject: Nassau County, New York - Wastewater Facilities Efficiency Improvements  
Contract No. S3C067-06G Sludge Reduction Dewatering (DWR) Project  
Bid Evaluation and Recommendation

Dear Mr. Davenport:

On January 19, 2016, Nassau County Department of Public Works (NCDPW) received six bids for the above referenced project. Camp Dresser McKee & Smith (CDM Smith) has performed a bid analysis of the bids received. Below is our summary of the analysis, references, and recommendation.

### **Bid Analysis**

CDM Smith has reviewed the lump sum bids for Contract No. S3C067-06G which are summarized as follows:

Description	Item No. 1 (Lump Sum of Base Bid)
Engineer's Opinion of Probable Construction Cost	\$4,202,886
R.J. Industries, Inc.	\$3,424,400
John P. Picone, Inc.	\$4,024,000
Posillico Civil, Inc.	\$4,136,000
Mace Contracting Corp.	\$4,139,000
Yonkers Contracting Company, Inc.	\$4,231,000
W.H.M. Plumbing	\$6,284,108

R.J. Industries, Inc (R.J. Industries) is the apparent low bidder with a bid that is approximately \$800,000 (19%) less than the Engineer's Opinion of Probable Construction Cost. We believe the bid offered by R.J. Industries is reasonable and R.J. Industries has affirmed their commitment to the County to honor this bid price.





Mr. Joseph L. Davenport, P.E.

February 8, 2016

Page 3

**Past Experience**

CDM Smith also reviewed the Qualification Statement submitted with R.J. Industries, Inc.'s proposal. From the list of current and past projects performed, it is apparent that R.J. Industries and their business associations had at least eleven (11) projects involving work for the NCDPW since 2010 and they have performed work at the Cedar Creek WPCP. Contracts with the NCDPW range in value from \$0.9M to \$97.4M.

In addition to work with the NCDPW, R.J. Industries has significant experience with projects involving wastewater facilities in the area.

**Award Recommendation**

Based upon the above, CDM Smith recommends that this contract be awarded to the lowest responsive responsible bidder, R.J. Industries, in the amount of \$3,424,400. CDM Smith's recommendation is based on confirmation by the County of R.J. Industries' compliance with the bonding, insurance, and other bidding requirements of the Contract.

Should you have any questions or concerns, please do not hesitate to contact me. We look forward to working with the County during the construction phase of this important project.

Sincerely,

A handwritten signature in black ink that reads "Howard Matteson".

Howard Matteson, P.E., BCEE  
Senior Project Manager  
CDM Smith Inc.

cc: J. Walker, Nassau County  
C. Korzenko, CDM Smith

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**Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form**  
(Revised 09/2014)

<b>SECTION 1: MUNICIPAL INFORMATION</b>			
Recipient/Municipality: Department of Public Works		County: Nassau	
SRE Project No.:	GIGP No.:	Contract ID: S3C067-06G	Registration No. (NYC only):
Minority Business Officer:		Email:	Phone #:
Address of MBO:			
Signature of MBO: (Required even if Authorized Rep. is filled out) <input type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief.			
Date:			
<i>Complete if applicable: MBO may authorize representative to complete &amp; submit quarterly payment reports.</i>			
Authorized Representative:		Title:	
Authorized Rep. Company:		Email:	Phone #:
Electronic Signature of Authorized Rep.: <input type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief.			
Date:			

<b>SECTION 2: PRIME CONTRACTOR / SERVICE PROVIDER INFORMATION</b>			
If contract is performed through an MWBE Joint Venture or Teaming Arrangement please submit the additional form found at <a href="http://www.efc.ny.gov/mwbe">www.efc.ny.gov/mwbe</a>			
Firm Name: R.J. Industries, Inc.	Contract Type: <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Other Services		
Prime Firm is Certified as: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input checked="" type="checkbox"/> N/A <input type="checkbox"/> Other:			
Please repeat information in the Utilization Plan below (Section 3). If dual certified, you must select either MBE or WBE.			
Address: PO BOX 349, Plainview, NY 11803	Phone #: 516-845-9772	Fed. Employer ID #: 11-3401288	
Description of Work: Energy Efficient Sludge Reduction Project Cedar Creek WPCP			
Award Date:	Start Date:	Completion Date:	
Total Contract Amount: \$ 3,424,400.00	MWBE GOAL Total		PROPOSED MWBE Participation
MWBE Eligible Contract Amount: \$	MBE: % \$	MBE: 0.87%	\$ 30,000.00
(MWBE Goals are applied to this amount and includes all change orders, amendments, & waivers)	WBE: % \$	WBE: 7.88%	\$ 270,000.00
	Total: 20%	\$ 684,880.00	Total: 8.76% \$ 300,000.00
If waivers are requested, documentation must be attached: <input type="checkbox"/> Full Waiver (No Participation) <input type="checkbox"/> Partial Waiver (Short of the MWBE Goal)			
<input type="checkbox"/> Specialty Equipment/Services Waiver (must be of SIGNIFICANT cost - list of equipment and cost & good faith effort documentation must be attached)			
Electronic Signature of Contractor: <input checked="" type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and that all MWBE subcontractors will perform a commercially useful function.			
Name (Please Type): Richard Felicetta, President			Date: 2/12/2016

**Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form**  
(Revised 09/2014)

SECTION 3: UTILIZATION PLAN				
This Submittal is:		Revised Utilization Plan #:		
<input checked="" type="checkbox"/> The First/Original Utilization Plan <input type="checkbox"/> NYS Certified M/WBE Contractor & Subcontractor Info (MBO to check certifications)		Contract Amount:		For EFC Use:
		MBE (\$)	WBE (\$)	
Name: FCS Group LLC.		Fed. Employer ID#: 37-1462733		
Address: 57-12 Grand Ave., 2nd Floor, Maspeth, NY 11378		Phone #: 516-599-4100		
Scope of Work: Painting		Email: nsingh@fcsnewyork.com		
Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		\$30,000.00
Name: E-Mech Contracting, Inc.		Fed. Employer ID#: 11-3200070		
Address: 84 North 19th St., Wyandanch, NY 11798		Phone #: 631-920-0764		
Scope of Work: Install Centrifuges		Email: emechinc@optonline.net		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		\$260,000.00
Name: Metrofab Pipe Inc.		Fed. Employer ID#: 11-3135667		
Address: 15 Fairchild Ct., Plainview, NY 11803		Phone #: 516-349-7373		
Scope of Work:		Email: lizmetrofab@yahoo.com		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		\$10,000.00
Name:		Fed. Employer ID#:		
Address:		Phone #:		
Scope of Work:		Email:		
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		
Name:		Fed. Employer ID#:		
Address:		Phone #:		
Scope of Work:		Email:		
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		

**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Civil Service Employees Association, Nassau Local 830  
Att: Ronald Gurrieri, Executive Vice President

**FROM:** Department of Public Works

**DATE:** November 23, 2015

**SUBJECT:** CSEA Notification of a Proposed DPW Contract  
Cedar Creek WPCP – Sludge Reduction Project  
S3C067-06G General Construction Contract

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a contract/agreement for the following services:  
General construction at the Cedar Creek Water Pollution Control Plant
2. The work involves the following:  
Modifications of the existing Sludge Dewatering Building to include (2) new dewatering centrifuges and associated equipment.
3. An estimate of the cost is: \$5,959,035
4. An estimate of the duration is: 500 days

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.



Kenneth G. Arnold  
Assistant to Commissioner

KGA:WSN:JLD:rp

- c: Christopher Fusco, Director, Office of Labor Relations  
Brian Libert, Deputy Director, Office of Labor Relations  
Keith Cromwell, Office of Labor Relations  
Rakhal Maitra, Deputy Commissioner  
William S. Nimmo, Deputy Commissioner  
Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit  
Patricia Kivo, Unit Head, Human Resources Unit  
Loretta V. Dionisio, Hydrogeologist II  
✓ Joseph N. Walker, Assistant Superintendent Water Supply



BID BOND

FORM OF BID BOND

**IMPORTANT** The bidder shall instruct the Surety Company to USE THIS FORM PROVIDED as the use of ANY OTHER FORM may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned R.J. Industries, Inc.

as Principal; and Liberty Mutual Insurance Company as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

Ten Percent of Proposal Price dollars (\$ 10% of P.P. ) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 11th day of January, 20 16

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under Contract No. S3C067-06G for the Cedar Creek Water Pollution Control Plant Efficiency Capital Improvement Sludge Reduction Capital Project SC3067-06G, Nassau County, New York, Contract No.: S3C067-06G - General Construction

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

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Page 2



Provided, however, that this bond is subject to the following additional conditions and limitations.

a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.

b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

NO TEXT ON THIS PAGE

Page 4

20.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

R.J. Industries, Inc.

75 East Bethpage Road, P.O. Box 349, Plainview, NY 11803

Contractor

by

William J. Doremus (L.S.)

(Corporate seal of Contractor)

Title if a corporation)

William J. Doremus,

Secretary-Treasurer

by

(L.S.)

Title

by

(L.S.)

Title

Liberty Mutual Insurance Company

175 Berkeley Street, Boston, MA 02116

Surety

by

Susan Lupski (L.S.)

Title of Officer Susan Lupski, Attorney-In-Fact

Witness:

XXXXXX

Bill Martin  
XXXXXXXXXXXX

(L.S.)

(Corporate seal of Surety)

NO TEXT ON THIS PAGE

(Acknowledgment by Contractor if a corporation)

STATE OF New York )

ss.:  
COUNTY OF Nassau )

On this 12<sup>th</sup> day of January, 2016, before me personally came William J. Doremus to me known, who, being by me duly sworn, did depose and say for himself, that he resides in [REDACTED] that he is the Sec. Treas. of the R.J. Industries, Inc. the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.

Maryanne Hilton  
Notary Public

(Acknowledgment by Contractor if a partnership)

STATE OF \_\_\_\_\_ )

ss.:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_ to me known and known to me to be a member of the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

MARYANNE HILTON  
Notary Public, State of New York  
No. 01H16213068  
Qualified in Nassau County  
Commission Expires November 02, 2017

\_\_\_\_\_  
Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF \_\_\_\_\_ )

ss.:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

\_\_\_\_\_  
Notary Public

NO TEXT ON THIS PAGE

(Acknowledgment by Surety Company)

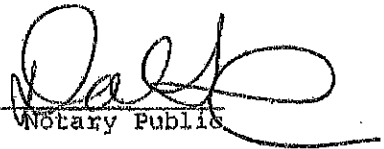
STATE OF New York )

ss.:  
COUNTY OF Nassau )

On this 11th day of January, 2016, before me personally came Susan Lupski to me Known, who being by me duly sworn, did depose and say that he resides in [REDACTED]

that he is the Attorney-In-Fact of the Liberty Mutual Insurance Company, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said Dana Granice further said that he is acquainted with Susan Lupski and knows him to be the Attorney-In-Fact of said company; that the signature of the said Susan Lupski subscribed to the within instrument is in the genuine handwriting of the said Susan Lupski and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Dana Granice

DANA GRANICE  
Notary Public, State of New York  
No. 01GR6099128  
Qualified in Suffolk County  
Commission Expires 9/22/2019

  
Notary Public

NO TEXT ON THIS PAGE



**POWER OF ATTORNEY**

**ATTENTION:** Insert the "Power of Attorney" after this page in with your bid submission.

**THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.**

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. [REDACTED]

American Fire and Casualty Company  
The Ohio Casualty Insurance Company

Liberty Mutual Insurance Company  
West American Insurance Company

**POWER OF ATTORNEY**

KNOWN ALL PERSONS BY THESE PRESENTS: That American Fire & Casualty Company and The Ohio Casualty Insurance Company are corporations duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Camilla Maitland; Colette R. Chisholm; Desirée Cardin; George O. Brewster; Gerard S. Macholz; Lee Ferrucci; Mia Woo-Warren; Nelly Rentschlich; Peter F. Jones; Rita Sagliano; Robert T. Pearson; Susan Lupski; Thomas Bean; Vincent A. Walsh

all of the city of Uriondale, state of NY, each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 22nd day of September, 2015.



American Fire and Casualty Company  
The Ohio Casualty Insurance Company  
Liberty Mutual Insurance Company  
West American Insurance Company

By: David M. Carey  
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA  
COUNTY OF MONTGOMERY

On this 22nd day of September, 2015, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of American Fire and Casualty Company, Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at Plymouth Meeting, Pennsylvania, on the day and year first above written.



Notarial Seal  
Teresa Pastella, Notary Public  
Plymouth Twp., Montgomery County  
My Commission Expires March 28, 2017  
Member, Pennsylvania Association of Notaries

By: Teresa Pastella  
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

**ARTICLE IV - OFFICERS - Section 12. Power of Attorney.** Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

**ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings.** Any officer of the Company authorized for that purpose in writing by the chairman of the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

**Certificate of Designation -** The President of the Company, acting pursuant to the Bylaws of the Company, authorized David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

**Authorization -** By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Gregory W. Davenport, the undersigned, Assistant Secretary, of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this JAN 11 2016 day of JAN, 2016.



By: Gregory W. Davenport  
Gregory W. Davenport, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.

NO TEXT ON THIS PAGE

**FINANCIAL STATEMENT**

**ATTENTION:** Insert the "Financial Statement" after this page in with your bid submission.



LIBERTY MUTUAL INSURANCE COMPANY  
FINANCIAL STATEMENT — DECEMBER 31, 2014

Assets	Liabilities
Cash and Bank Deposits.....	Unearned Premiums.....
*Bonds — U.S Government .....	Reserve for Claims and Claims Expense.....
*Other Bonds.....	Funds Held Under Reinsurance Treaties.....
*Stocks .....	Reserve for Dividends to Policyholders.....
Real Estate.....	Additional Statutory Reserve .....
Agents' Balances or Uncollected Premiums.....	Reserve for Commissions, Taxes and
Accrued Interest and Rents .....	Other Liabilities .....
Other Admitted Assets.....	Total .....
	Special Surplus Funds.....
	Capital Stock.....
	Paid in Surplus.....
	Unassigned Surplus.....
	Surplus to Policyholders .....
Total Admitted Assets.....	Total Liabilities and Surplus .....



\* Bonds are stated at amortized or investment value; Stocks at Association Market Values.  
The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2014, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 20th day of March, 2015.

*T. Mikolajewski*

Assistant Secretary

NO TEXT ON THIS PAGE

**CERTIFICATE OF SOLVENCY**

**ATTENTION:** Insert the "Certificate of Solvency" after this page in with your bid submission.

CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK  
INSURANCE LAW

STATE OF NEW YORK  
DEPARTMENT OF FINANCIAL SERVICES

It is hereby certified that

**LIBERTY MUTUAL INSURANCE COMPANY**

Of Boston, Massachusetts

a corporation organized under the laws of the State of Massachusetts and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of [REDACTED] (Capital [REDACTED]) as is shown by its sworn financial statement for the Year-End as of December 31, 2014, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have here-  
unto set my hand and affixed the  
official seal of this Department  
at the City of Albany, this  
17<sup>th</sup> day of March, 2015

Benjamin M. Lawsky  
Superintendent

By *Jacqueline Catalfamo*

Jacqueline Catalfamo  
Special Deputy Superintendent





# NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

## WICKS EXEMPT LIST OF SUBCONTRACTORS

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

CONTRACT NO. **S3C067-06G**

Contractor's Name and Address <b>R.J. Industries, Inc. Plainville, NY 11803</b>	Project Description (Project Title, Facility Name and Address): <b>Cedar Creek WPCP Sludge Reduction</b>	Bid Date: <b>1/19/16</b>	Total Contract Amt: <b>\$3,424,400.00</b>
--	---	-----------------------------	--

Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):

- ☒ Plumbing and Gas Fitting  
☒ Steam Heating, Hot Water Heating, Ventilating and AC Apparatus  
☐ Electric Wiring and Standard Illuminating Fixtures

If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box ☐ skip to bottom of form, and sign it as required.

Check (✓) only one.

Subcontractor's Name, Address and Federal ID No.	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures	General Description of Work	Subcontractor's Contract Amt.
<b>LEB Electric Corp. Copaisville, NY</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Electrical Subcontract</b>	<b>\$90,000</b>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

Federal ID No.

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use an additional page if needed.

Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.

Company Authorized Signature:

Title: **President** Date: **January 17, 2016**

PROPOSAL

COUNTY OF NASSAU  
STATE OF NEW YORK

PROPOSAL

FOR

SLUDGE REDUCTION

Contract No. S3C067-06G

GENERAL CONSTRUCTION

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: R. J. Industries, Inc.

Bidder: an S corporation  
(Individual, Firm or Corporation as case may be)

Bidder's Business Address: 75 East Bethpage Road, PO Box 349  
Plainville, NY 11803

Telephone: 516-845-9772

Date of Bid: January 19, 2016

FAX: 516-454-1759

E-mail: bid@rjic.net

PROPOSAL

(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: \_\_\_\_\_

Residence of Individual: \_\_\_\_\_

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: \_\_\_\_\_

Name and Residence of Partner: \_\_\_\_\_

Name and Residence of Partner: \_\_\_\_\_

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: New York

Name and Residence of President: Richard O. Felicetta

Name and Residence of Vice-President: John C. Doremus

<sup>Vice Pres.</sup>  
Name and Residence of Secretary: John E. Koziarz

<sup>Secretary</sup>  
Name and Residence of Treasurer: William J. Doremus

## PROPOSAL

### GENERAL CONSTRUCTION

#### THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this Bid; and that no other person, firm or corporation, except those herein named, has any interest in this Bid or in the Contract proposed to be entered into.
2. That this Bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a Bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or will become interested directly, or indirectly, as a contracting party, partner, stockholder, Surety or otherwise in this Bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the Work; the character, quality and quantity of existing materials; all difficulties likely to be encountered; the kind and extent of labor, equipment, other facilities needed for the performance of the Work; the general and local conditions; and all other items and conditions which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, and that he will execute the Contract and will completely perform it in strict accordance with its terms for the prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach the Contractor shall be required to have such a license.

## PROPOSAL

### I. Rejection of Bids

#### A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.
7. Bids may be rejected if the County determines that the contractor's experience and qualification statement does not show adequate experience with projects of similar size, scope and complexity, particularly concerning maintenance of plant operations (MOPO) and complex phasing and sequencing of equipment on and off line during construction.

#### B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

### II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of the various items multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

### III. Lump Sum Contracts, Comparison of Bids

Bids on Lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate price bid, if any.

### IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program



APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL  
**INTERNATIONAL UNION OF OPERATING ENGINEERS**  
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

SCHOOL (631) 286-8677  
FAX (631) 286-8683

UNION - P.O. BOX 208, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

January 5, 2016

Nassau County  
Department of Public Works  
1550 Franklin Avenue  
Mineola, NY 11501

Re: Local 138 Apprenticeship School (the "Apprenticeship School")  
NYS Sponsor # 00422  
NYS ATP Code # 18318  
R.J. Industries

Contract: Contract# S35114-10G Chemical Reduction at CCWPCP

To Whom It May Concern,

This letter serves to confirm that the Apprenticeship School currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to R. J. Industries, to the Local 138 Agreement Covering Working Conditions, dated June 1, 2015 to May 31, 2019. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY  
BUSINESS MANAGER & TREASURER  
CHAIRMAN BOARD OF TRUSTEES  
LOCAL 138 APPRENTICESHIP TRAINING FUND

# PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S3C067-06G					
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		AMOUNT BID PRICE
			DOLLARS	CENTS	DOLLARS CENTS
1	Lump Sum	Base Bid for furnishing all labor, materials and equipment required for all General Construction work for the Sludge Reduction Project as specified and shown on the drawings, complete and ready for operation.			\$ 3,424,400 ..

TOTAL BASE BID (Bid Item No. 1): \$ 3,424,400.00

TOTAL BASE BID (Bid Item No. 1): MUST BE WRITTEN IN WORDS:

Three Million, four hundred and twenty four thousand, four hundred dollars & no cents  
DOLLARS CENTS

GENERAL CONSTRUCTION

DETERMINATION OF LOW BID. Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances items.



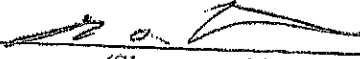
GENERAL CONSTRUCTION

PROPOSAL: For all Work in accordance with the Plans and Specifications:

Bidder: R.I. Industries, Inc.  
(Individual, Firm or Corporation as case may be)

Individual's Social Security No.: \_\_\_\_\_

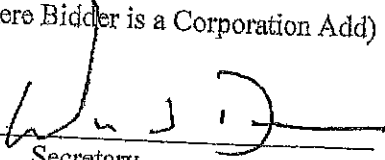
Federal I.D. No. 11-3401288

By:   
(Signature of Individual, Partner or Corporate Officer)

(Print): Richard O. Felicetta

Title: President

(Where Bidder is a Corporation Add)

ATTEST:   
Secretary

(Corporate  
Seal)

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK )

) ss.:

COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says: That he is the person described in and who executed the foregoing Bid and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK )

) ss.:

COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says: That he is a member of \_\_\_\_\_, the firm described in and which executed the foregoing Bid; that he duly subscribed the name of the firm thereunto on behalf of the firm; and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK )

) ss.:

COUNTY OF NASSAU )

Richard O. Felicetta Being duly sworn, deposes and says:  
That he resides at [REDACTED] at he is the President of the  
corporation described in and which executed the foregoing instrument; that he knows the seal of  
said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed  
by order of the Board of Directors of said Corporation; that he affixed his name thereto by like  
order; and that he has knowledge of the several matters therein stated and they are in all respects  
true.

Subscribed and sworn to before me this 4<sup>th</sup> day of January 20 16.

Maryanne Hilton  
Notary Public  
MARYANNE HILTON  
Notary Public, State of New York  
No. 01HI6213068  
Qualified in Nassau County  
Commission Expires November 02, 20 17

PROPOSAL

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QUALIFICATION STATEMENT

## QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?  
17

2. How many years experience in the construction work of a similar type as this contract has your firm had?

a. as a Prime Contractor 7

b. as a Subcontractor 17

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

see attached

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

see attached

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to you? no

If so, identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? no

If so, identify the project, the owner, the contract amount, the circumstances and the date of all default actions.



- c. ever been declared a non-responsible bidder by any municipality or public agency? no

If so; identify the project, the owner, the contract amount, the circumstances and date of all such declarations.

- d. ever been barred from bidding municipal or public contracts? no

If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been an officer, partner or principal of some other firm?

- a. that failed to complete a construction contract? no

If so; state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all failures to complete for all principals of the firm.

- b. that has ever been defaulted on a contract? no

If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

- c. that has ever been declared a non-responsible bidder by any municipality or public agency? no

If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

- d. that has ever been barred from bidding municipal or public contracts?

no

If so, state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? no

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

none

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

none

10. In what other lines of business are you financially interested?

Real Estate Partnership 512 W 185th Street, LLC (minority interest)

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Richard C. Felicetta	President	27 yrs.	General	Executive/Project Mgt & Mechanical
John C. Doremus	Vice President	24 yrs.	General	Executive/Project Mgt & Mechanical
John E. Koziarz	Vice President	24 yrs.	Mechanical	Proj. Mgmt
William J. Doremus	Sec. Treasurer	16 yrs.		Finance/Admin.

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected.

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
see attached			

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

Signature Bank - 2010 Grove RT 600E (50 Ton Crane)

(use additional blank sheets if additional space is necessary)



14. In what manner have you inspected this proposed work?  
Explain in detail.  
Review of contract documents & pre-bid site visit  
  
(use additional blank sheets if additional space is necessary)
15. Explain your plan and lay-out for performing the proposed work.  
Will perform in accordance with owner approved schedule
16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.  
John Doremus
17. Insurance carried by your firm:
- | Type                            | Company | Limits of Coverage | Term |
|---------------------------------|---------|--------------------|------|
| See attached sample certificate |         |                    |      |

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "Qualifications and Responsibility of Bidders" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



Richard O. Felicetta, President

NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK )

) ss.

COUNTY OF NASSAU )

Richard O. Felicitia

Being duly sworn, deposes and says:

That he resides at [REDACTED] Street,  
in the City of [REDACTED] that he is the President of

R.J. Industries, Inc.

the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he signed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me

this 11<sup>th</sup> day of January, 2016.

*[Signature]*  
Notary

(Form of affidavit where Bidder is a firm)

STATE OF NEW YORK )

) ss.

COUNTY OF NASSAU )

Being duly sworn, deposes and says:

That he is a member of  
the firm in which executed the foregoing bid; that he duly subscribed in and which executed the foregoing bid; that he duly subscribed the name of the firm hereunto on behalf of the firm; and that the several matters therein stated are in all respects true.

this day of , 20

Notary

(Form of affidavit where Bidder is an individual)

STATE OF NEW YORK )

) ss.

COUNTY OF NASSAU )

Being duly sworn, deposes and says:

That he is the person described in and who executed the foregoing bid and that the several matters therein stated are in all respects true.

this day of , 20

Notary

JOANNE HILTON  
Notary Public, State of New York  
No. 01H16218068  
Qualified in Nassau County  
Commission Expires November 02, 2017

## RJ Equipment

<u>Year</u>	<u>Item</u>	<u>Model No</u>	<u>Serial No.</u>	<u>Value / Orig Cost</u>
2010	Grove RT 600E (50 Ton Crane)			
2005	Link Belt RTC (50 Ton Crane)			
	Landis 6" Pipe Threader			
2013	CAT Excavator			
2012	Geomax Robotic Total Station (Photo Lens)			
2013	Geomax Robotic Total Station (Photo Lens)			
2014	Zenith 25 GPS			
2011	Moretrench (Various Dewatering Equipment)			
2011	Komatsu Hydraulic Excavator			
1999	CAT Hydraulic Excav			
2002	CAT Skid Loader			
1991	GROVE Hyd Crane			
2006	CAT Excavator			
2002	CAT Mini Excavator			
2001	CAT 725 Articulated Dump Truck			
2002	CAT Mini Excavator			
2001	CAT Backhoe			
2002	CAT Skid Loader			
1990	John Deere Pay Loader			
1999	CAT Excavator			
1997	CAT Excavator			
1997	JCB Telehandler (Forklift)			
	Gradall Forklift			
1998	CAT Backhoe			
2002	CAT Bulldozer			
2003	ROBOVIB Pile Driver			
2006	CAT Excavator			
2004	GORMAN RUPP - 4" Diesel Pump			
	GORMAN RUPP - 6" Dry Prime Pump			
2001	Ingersoll Rand Vibratory Smooth Drum Roller			
1998	CHICAGO PNEUMATIC Compressor			
2008	Concrete Saw - Self Propelled			
	Concrete Forms			
	3 - WHIRLYBIRDS			
	4-5 VIBRATORS			
	Light Towers			
	Light Towers			
	Light Towers			
2003	JLG 400S - Self Propelled Deutz			
2006	Skyjack			
2005	Skyjack			
2006	Skyjack			
2001	JLG Telescopic Boom Lift			
2001	Lull - Telescopic Fork Lift			
2002	JLG Telescopic Boom Lift			
	Yale Forklift GP 070			
2009	CAT Payloader			
2005	John Deere (off road Dump)			



**R.J. Industries, Inc.**

**Prime Contracts In Progress**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Bay Park STP / Cedar Creek WPCP Odor Control Facility, Contract No S35110-01G	Nassau County DPW	Joseph Davenport / 516-571-7515 Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$24,767,700	March-16	93%
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S35119-06G	Nassau County DPW	Joseph Davenport / 516-571-7515 Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$3,937,700	January-16	68%
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	Jun-16	68%
Cedar Creek WPCP Improvements to Protected & Domestic Water Systems, Contract S3C067-04G	Nassau County DPW	Joseph Davenport 516-571-7515	\$1,447,423	March-16	53%
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	December-15	79%
Car Washer Repairs at 239th Street Yard, Concourse Yard and Pelham Yard (Bronx) Contract No C-34913	MTA - New York City Transit	Dimitrios Milonas 646-252-4127	\$10,733,360	December-16	7%
<u>RJ Mechanical, Inc.</u>					
North Queens (Corona) Gate Station Install 98,000 feet High Pressure Gas Math	National Grid	John Lusardi (Network Infrastructure) 516-385-3030	\$1,147,000	November-15	100%

as of December 2015

**R.J. Industries, Inc.**

**Prime Contracts In Progress**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>BSRJA IV</u> Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E1) Contract No. S35721-13E	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$28,674,700	Jun-16	84%
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$97,447,200	Dec-17	2%
<u>RJ/Scalamandre Joint Venture</u> Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S35123-02G	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$16,187,700	Sep-17	29%

as of December 2015

**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Joseph Davenport, PE 516-671-7515	\$896,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
City of Long Beach Rehabilitation of New York Avenue Lift Station	City of Long Beach		\$448,400	2014
Bergen Point WWTP (SCSD #3)	County of Suffolk	John Berchtold	\$2,347,860	2013 As of December 2015

**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u> 631-852-4189	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Screening Room Odor Control General Construction & HVAC Contracts				
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection System & Related Infrastructure Repairs	Nassau County DPW	Joseph Davenport 516-571-7515	\$2,701,537	2013
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Cortisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	Robert Breslin 516-924-4376	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartilucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	Robert Breslin 516-924-4376	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3057	\$1,076,423	2012
Shoreham Wading Rive CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP	Village of Patchogue	Steven Cluff	\$8,906,550	2011 As of December 2015



**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Upgrade & Expansion - GC & Mechanical		H2M Group 631-756-8000		
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW James Gallagher 516-571-7220	\$3,647,700	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bleisch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bleisch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011
Cedar Creek WPCP Install New Gravity Belt Thickener	Nassau County DPW	Hazen and Sawyer Mike De Nicola 212-539-7038	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bleisch H2M Group 631-756-8000	\$929,800	2010

As of December 2015

### Business History Form

In addition to the submission of bids/proposals, as applicable, each bidder/proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the bid/proposal.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: November 10, 2015

1) Bidder's/Proposer's Legal Name: R.J. Industries, Inc.

2) Address of Place of Business: 75 East Bethpage Road; Plainview, NY 11803

List all other business addresses used within last five years: n/a

3) Mailing Address (if different): 75 East Bethpage Road; PO Box 349; Plainview, NY 11803

Phone: 516-845-9772

Does the business own or rent its facilities? n/a

4) Dun and Bradstreet number: n/a

5) Federal I.D. Number: 11-3401288

6) The bidder/proposer is a (check one):      Sole Proprietorship      Partnership       
Corporation x Other (Describe)     

7) Does this business share office space, staff, or equipment expenses with any other business?  
Yes x No      If Yes, please provide details: RJ manages BSRJ A T/V & RJ/Scalamandre, JV out of it's main office in Plainview, NY.

8) Does this business control one or more other businesses? Yes      No x If Yes, please provide details:     

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes x No      If Yes, provide details: R.J.I. Mechanical, Inc.

10) Has the bidder/proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes      No x If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture; or details regarding the termination (if a contract).

11) Has the bidder/proposer, during the past seven years, been declared bankrupt? Yes \_\_\_ No x  
If Yes, state date, court jurisdiction, amount of liabilities and amount of assets \_\_\_\_\_

12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business. Yes \_\_\_ No x If Yes, provide details for each such investigation. \_\_\_\_\_

13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes \_\_\_ No x If Yes, provide details for each such investigation. \_\_\_\_\_

14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending? No x Yes \_\_\_ If Yes, provide details for each such charge. \_\_\_\_\_

b) Any misdemeanor charge pending? No x Yes \_\_\_ If Yes, provide details for each such charge. \_\_\_\_\_

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? No x Yes \_\_\_ If Yes, provide details for each such conviction \_\_\_\_\_

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor? No x Yes \_\_\_ If Yes, provide details for each such conviction. \_\_\_\_\_

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? No x Yes \_\_\_ If Yes, provide details for each such \_\_\_\_\_

occurrence.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? No x Yes \_\_\_\_; If Yes, provide details for each such instance.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? No x Yes \_\_\_\_ If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose:

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting as collection agent on behalf of Nassau County. none

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting as collection agent on behalf of Nassau County.

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting as a collection agent on behalf of Nassau County. none

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

17)a)(ii) In 2014, RJ had an open position for an MWBE Officer; we attempted to fill the position with a former employee who was our MWBE Officer but she declined. We received a resume from Brittany Pedenzin, who happens to be related to Robert Pedenzin, an employee of Hazen and Sawyer, the engineering firm currently working for Nassau County. Brittany was hired and has been working as our MWBE officer on all RJ (& related) projects since April 7, 2014.

17)b) We would be willing to assist Nassau County on any initiatives that would help the County maintain protocols that eliminate potential conflicts of interest. Should we learn of any potential / questionable issues that may arise, we intend to notify the County for its determination of what is appropriate.

Attachments to Business History Form

Please provide any other information which would be appropriate and helpful in determining the bidder's/proposer's capacity and reliability to perform these services.

- A. Include a resume or detailed description of the bidder's/proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the bidder/proposer be other than an individual, the bid/proposal should include:

- i) Date of formation; 9/2/1997
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner; see attached
- iii) Name, address and position of all officers and directors of the company; see attached
- iv) State of incorporation (if applicable); NY
- v) The number of employees in the firm; 25 non-union / union varies (25-35)
- vi) Annual revenue of firm; [REDACTED]
- vii) Summary of relevant accomplishments
- viii) Copies of all state and local licenses and permits.

- B. Indicate number of years in business.

- C. Provide any other information which would be appropriate and helpful in determining the bidder's/proposer's capacity and reliability to perform these services.

- D. Provide names and addresses for no fewer than three references for whom the bidder/proposer has provided similar services or who are qualified to evaluate the bidder's/proposer's capability to perform this work.

see enclosed schedule of projects .

Company \_\_\_\_\_

Contact Person \_\_\_\_\_

Address \_\_\_\_\_

City/State \_\_\_\_\_

Telephone \_\_\_\_\_

Fax # \_\_\_\_\_

E-Mail Address \_\_\_\_\_

Company see attached

Contact Person \_\_\_\_\_

Address \_\_\_\_\_

City/State \_\_\_\_\_

Telephone \_\_\_\_\_

Fax # \_\_\_\_\_

E-Mail Address \_\_\_\_\_

Company \_\_\_\_\_

Contact Person \_\_\_\_\_

Address \_\_\_\_\_

City/State \_\_\_\_\_

Telephone \_\_\_\_\_

Fax # \_\_\_\_\_

E-Mail Address \_\_\_\_\_

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID/PROPOSAL OR FUTURE BIDS/PROPOSALS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Richard O. Felicetta, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 19<sup>th</sup> day of January, 2016

Maryanne Hilton  
Notary Public

MARYANNE HILTON  
Notary Public, State of New York  
No. 01HI6213068  
Qualified in Nassau County  
Commission Expires November 02, 2017

Name of submitting business: R.J. Industries, Inc.

By: Richard O. Felicetta

Richard O. Felicetta  
Print name

[Signature]  
Signature

President

Title

1 / 19 / 16  
Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered and the answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID/ PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Richard O. Felicetta

Date of birth [REDACTED]

Home address [REDACTED]

City/state/zip [REDACTED]

Business address 75 East Bethpage Road; PO Box 349

City/state/zip Plainview, NY 11803

Telephone 516-845-9772

Other present address(es) na

City/state/zip

Telephone

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President 9 / 2 / 1997 Treasurer     /    /    

Chairman of Board     /    /     Shareholder     /    /    

Chief Exec. Officer     /    /     Secretary     /    /    

Chief Financial Officer     /    /     Partner     /    /    

Vice President     /    /    

(Other)

3. Do you have an equity interest in the business submitting the questionnaire?  
NO      YES x If Yes, provide details. Stockholder (45% interest in R.J. Industries, Inc.)

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? NO      YES x If Yes, provide details.

Personal Guarantor - RJ (& affiliated) credit lines at Signature Bank

5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? NO      YES x If Yes, provide details.

Managing Member of RJ/Scalamandre, JV and BSRJ A TV

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? NO      YES x If Yes, provide details.  
See Attached Schedule of Projects



**NOTE:** An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
  - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
  - b) Is there any misdemeanor charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
  - c) Is there any administrative charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
  - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? NO ☒ YES \_\_\_\_ If Yes, provide details for each such conviction.
  - e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? NO ☒ YES \_\_\_\_ If Yes, provide details for each such conviction.
  - f) In the past 5 years, have you been found in violation of any administrative or statutory charges? NO ☒ YES \_\_\_\_ If Yes, provide details for each such occurrence.

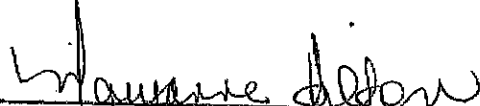
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? NO ☒ YES \_\_\_\_ If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? NO ☒ YES \_\_\_\_ If Yes, provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? NO ☒ YES \_\_\_\_ If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? NO ☒ YES \_\_\_\_ If Yes, provide details for each such year.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID/PROPOSAL OR FUTURE BIDS/PROPOSALS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Richard O. Felicetta, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 19<sup>th</sup> day of January, 2016

  
Notary Public

MARYANNE HILTON  
Notary Public, State of New York  
No. 01HI6213068  
Qualified in Nassau County  
Commission Expires November 02, 2017

R.J. Industries, Inc.

Name of submitting business

Richard O. Felicetta

Print name

  
Signature

President

Title

1 / 19 / 16  
Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered and the answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID/ PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name John C. Doremus  
Date of birth [REDACTED]  
Home address [REDACTED]  
City/state/zip [REDACTED]  
Business address 75 East Bethpage Road; PO Box 349  
City/state/zip Plainview, NY 11803  
Telephone 516-845-9772  
Other present address(es) na  
City/state/zip \_\_\_\_\_  
Telephone \_\_\_\_\_

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)  
President    /   /    Treasurer    /   /     
Chairman of Board    /   /    Shareholder    /   /     
Chief Exec. Officer    /   /    Secretary    /   /     
Chief Financial Officer    /   /    Partner    /   /     
Vice President 9/2/1997 to present    /   /     
(Other) \_\_\_\_\_
3. Do you have an equity interest in the business submitting the questionnaire?  
NO     YES x If Yes, provide details.
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? NO     YES x If Yes, provide details. Personal Guarantor - Signature Bank credit lines
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? NO     YES x; If Yes, provide details.  
BSRJ a TV & RJ/Scalamandre, JV
6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? NO     YES x If Yes, provide details.  
see attached

**NOTE:** An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer.
- a. Been debarred by any government agency from entering into contracts with that agency? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
  - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If "Yes", provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
  - b) Is there any misdemeanor charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
  - c) Is there any administrative charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
  - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? NO ☒ YES \_\_\_\_ If Yes, provide details for each such conviction.
  - e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? NO ☒ YES \_\_\_\_ If Yes, provide details for each such conviction.
  - f) In the past 5 years, have you been found in violation of any administrative or statutory charges? NO ☒ YES \_\_\_\_ If Yes, provide details for each such occurrence.

9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? NO ☒ YES \_\_\_\_ If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? NO ☒ YES \_\_\_\_ If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? NO ☒ YES \_\_\_\_ If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? NO ☒ YES \_\_\_\_ If Yes, provide details for each such year.

**CERTIFICATION**

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I, John C. Doremus, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 19<sup>th</sup> day of January, 2016

Maryanne Hilton  
Notary Public

MARYANNE HILTON  
Notary Public, State of New York  
No. 01HI6213068  
Qualified in Nassau County  
Commission Expires November 02, 2017

RJ Industries, Inc.

Name of submitting business

John C. Doremus

Print name

[Signature]  
Signature

Vice President

Title

1 / 19 / 16  
Date

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
Lower Tier Covered Transactions  
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Richard O. Felicetta, President

Name and Title of Authorized Representative

m/d/yy

Signature

Date

R.J. Industries, Inc.

Name of Organization

75 East Bethpage Road; PO Box 349; Plainview, NY 11803

Address of Organization



### Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: R.J. Industries, Inc.  
Address: 75 East Bethpage Road; PO Box 349  
City, State and Zip Code: Plainview, NY 11803
2. Entity's Vendor Identification Number: 11-3401288
3. Type of Business: Public Corp Partnership Joint Venture  
Ltd. Liability Co Closely Held Corp sub s-corp Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Richard O. Felicetta, President

John C. Doremus, Vice President

John E. Koziarz, Vice President

William J. Doremus, Secretary-Treasurer

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation include a copy of the 10K in lieu of completing this section.

see response to question #4

6. List all affiliated and related companies and their relationship to the firm entered on line 1, above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

R.J.I. Mechanical, Inc. 18-15 129th Street; College Point, NY 11356

affiliate of R.J. Industries, Inc.

RJ/Scalamandre, JV 75 East Bethpage Road; Plainview, NY 11803

a joint venture of RJ Industries, Inc. and Peter Scalamandre and Sons Corp.

BSRJ A TV

75 East Bethpage Road; Plainview, NY 11803

a joint venture of RJ Industries, Inc., Peter Scalamandre and Sons, Corp and

Bana Electric Corp.

None of these firms are scheduled to work on this contract (S36067-006G)

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements, or to otherwise engage in lobbying as the term is defined herein. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

none

Page 3 of 4

(b) Describe lobbying activity of each lobbyist. See page 4 of 4 for a complete description of lobbying activities.

N/A

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: Jan. 19, 2016

Signed: 

Print Name: Richard O. Felicetta

Title: President

Page 4 of 4:

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

EXECUTIVE ORDER NO. 2 - 2015

EXECUTIVE ORDER PURSUANT TO SECTION 203 OF THE  
COUNTY GOVERNMENT LAW OF NASSAU COUNTY TO FURTHER  
DISCLOSURE BY THOSE DOING BUSINESS WITH NASSAU  
COUNTY

WHEREAS, pursuant to subdivision 1 of section 203 of the Nassau County Charter, the County Executive is responsible for the administration of all departments, offices and functions of the county government; and

WHEREAS, the County Executive, in furtherance of his duties pursuant to subdivision 1 of section 203, is committed to ensuring transparency and openness with respect to the administration of governmental functions; NOW, THEREFORE BE IT

ORDERED, every lobbyist, as defined on the attached Lobbyist Registration and Disclosure Form (hereinafter "Lobbyist Form"), shall annually file the Lobbyist Form with the County Attorney and with the Clerk of the Nassau County Legislature for each calendar year, provided, however, that the filing of such statement of registration shall not be required of any lobbyist who in any year does not earn or incur an amount in excess of one thousand dollars reportable compensation and expenses for the purposes of lobbying, or is an officer, director, trustee or employee of any public corporation when acting in such official capacity; and it is further

ORDERED, that such filing shall be completed on or before January fifteenth by those persons who have been retained, employed or designated as lobbyists on or before December thirty-first of the previous calendar year who reasonably anticipate that in the coming year they will earn or incur combined reportable compensation and expenses in an amount in excess of one thousand dollars; and for those lobbyists retained, employed or designated after December thirty-first, and those lobbyists who, subsequent to their retainer, employment or designation, reasonably anticipate combined reportable compensation and expenses in excess of such amount, such filing must be completed within fifteen days thereafter; and it is further

ORDERED, that the County Attorney shall forward copies of each Lobbyist Form to the Nassau County Department of Information Technology ("IT"), and that IT shall develop and maintain a lobbyist registration page on that County's website, and make available all such Lobbyist Forms for public inspection on the County's website; and it is further

ORDERED, that upon termination of a lobbyist's retainer, employment or designation, such lobbyist and the client, if any, on whose behalf such service has been rendered shall both give notice to the County Attorney in the electronic filing system within thirty days after the lobbyist ceases the activity that required such lobbyist to file a statement of registration; however, such lobbyist shall nevertheless comply with the reporting requirements as set forth above and the reporting requirements for the last periodic reporting period up to the date such activity has ceased; and it is further

ORDERED, any lobbyist required to file a Lobbying Form as set forth herein who, in any lobbying year, earns or incurs combined reportable compensation and expenses in an amount in excess of one thousand dollars, for the purpose of lobbying, shall file with the County Attorney periodic reports, on forms prescribed by the County Attorney, by the fifteenth day next succeeding the end of the reporting period on which the cumulative total for such lobbying year equaled such sum. Such reporting periods shall be the period from January 1 to March 31, April 1 to May 31, June 1 to August 31 and August 31 to December 31; and it is further

ORDERED, that any lobbyist making a report pursuant to the above paragraph shall thereafter file with the County Attorney, on forms prescribed by the County Attorney, a periodic report for each reporting period that such person earns or incurs combined reportable compensation and expenses in an amount in excess of one thousand dollars for the purposes of lobbying during such reporting period. Such report shall be filed not later than the fifteenth day next succeeding the end of such reporting period and shall include the amounts so earned or

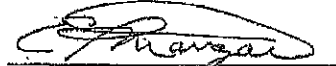
incurred during such reporting period and the cumulative total during the lobbying year; and it is further

ORDERED, that IT shall ensure that the Lobbying Form and the form for periodic reports prescribed by the County Attorney are available on the County website; and it is further

ORDERED, that this Executive Order shall take effect immediately.

Dated:

May 15, 2015

  
EDWARD P. MANGANO  
NASSAU COUNTY ATTORNEY

COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name of lobbyist(s)/lobbying organization: The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission; or to otherwise engage in lobbying activities as the term is defined herein. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

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2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

N/A



4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

N/A

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

N/A

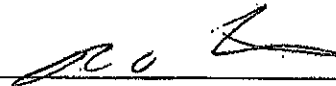
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Page 3 of 4

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: January 19, 2016

Signed: \_\_\_\_\_



Print Name: Richard O. Felicetta

Title: President

The term **lobbying** shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

Addendum No. 30, December 2015

**Project Specific Addendum to the  
Project Labor Agreement Dated May 18, 2011  
Between Nassau County and the Nassau Suffolk Building and Construction Trades Council  
and their Affiliated Local Construction Trades Unions**

This addendum relates to the Nassau County Department of Public Works (NCDPW), Cedar Creek Water Pollution Control Plant (WPCP) - Energy Efficiency Capital Improvement – Sludge Reduction Project, Construction Contract No. S3C067-06G and located in Nassau County, New York and under the conditions and requirements of the PLA referenced above.

**1. Project Name:**

NCDPW, Cedar Creek WPCP - Efficiency Capital Improvement Program – Sludge Reduction Project, Contract No. S3C067-06G.

**2. Project Description:**

NCDPW owns and operates the Cedar Creek WPCP on the south shore of Long Island. The Cedar Creek WPCP is a 72-mgd secondary treatment facility located in Wantagh, NY, Town of Hempstead. An upgrade program is underway at the Cedar Creek WPCP to address operational upgrades and system improvements. One objective of the Efficiency Capital Improvements Program is to implement a Sludge Reduction Project at the Cedar Creek WPCP to reduce operating costs and improve overall operational reliability.

The Work to be performed under this contract consists of furnishing a new Sludge Dewatering Facility. The project includes, but is not limited to, site preparation, temporary staging, facility installation, , demolition of existing equipment (belt filter presses, sludge feed pumps, polymer feed pumps, and appurtenances), installation of new mechanical equipment (including polymer feed pumps and dewatering centrifuges), as well as associated structural, instrumentation and electrical improvements at the Cedar Creek WPCP.

In general, the Work specified in the Contract Documents is typical for the construction of a new Sludge Dewatering Facility, as it requires labor forces with special skills and specific engineering expertise in the design and construction of same.

The construction schedule is 457-calendar days from issuance of the Notice to Proceed. The construction cost is estimated to be approximately \$6.1 million, of which labor costs are \$1.3 million or approximately 21% of the construction cost. In addition, it is estimated that approximately 13,000 labor hours will be expended for the construction work.

**3. Feasibility Study:**

In November 2015, the NCDPW engaged Camp Dresser McKee & Smith to prepare a PLA Feasibility Study to determine if the development and implementation of a PLA for the Project would provide be a benefit to the County with respect to time, cost and quality of work. As a result, the feasibility study determined that through a PLA, there is a potential savings of \$261,900 that would be realized or approximately 4.2% of the total construction cost. The feasibility study also determined that utilizing a PLA should provide for cooperation between labor trades, eliminate potential strikes, labor disputes and job actions, standardize working conditions, expedite the resolution of grievances and jurisdictional disputes, increase competitive bidding and generally level the trade labor participation for the Project.

**4. PLA Administrator:**

The construction manager for the Project will administer the PLA for the Project.

**5. Wicks Law:**

The General Construction Contract (Contract No. S3C067-06G) will be executed as single prime contract as part of the Master PLA.

**6. Project Engineer and Construction Manager:**

Camp Dresser McKee & Smith in association with Savin Engineers are the Project Engineer for the Project. Construction Management for the Project has not been designated as of the date of this Addendum.

**7. PLA Adjustments:**

The following Article shall be added to the Contract Agreement:

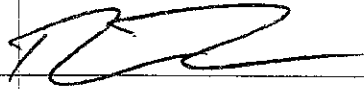
"Article XIV, Workers Compensation ADR - The parties may negotiate regarding the application of a Workers Compensation Alternate Dispute Resolution (ADR) program on a Project wide basis with the option of individual Union affiliates to opt out at their discretion. Notwithstanding the status of any of these negotiations, the remainder of this Agreement will remain in full force and effect."

**8. Project PLA Letter of Assent:**

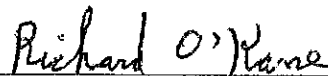
See attached.

IN WITNESS WHEREOF, the parties have caused the Agreement to be executed and effective as of

the 26<sup>TH</sup> day of January, 2016

*for*   
\_\_\_\_\_

Nassau County Department of Public Works  
By: Shila Shah-Gavnoudias, P.E., Commissioner

  
\_\_\_\_\_

Nassau-Suffolk Building and Construction Trades Council  
By: Richard O'Kane, President

**EXHIBIT**  
**Letter of Assent**  
**Nassau County Project Labor Agreement - Letter of Assent**

The undersigned party confirms that it agrees to be a party to and be bound by the Nassau County Project Labor Agreement, NCM-PLA, for the designated Project added to the Agreement by Addendum \_\_\_\_\_ Dated March 2015 as such agreement may, from time to time, be amended by the parties or interpreted pursuant to its terms. The terms of the NCM-PLA, Schedules, Addenda and Exhibits are hereby incorporated by reference herein.

The undersigned, as a Contractor or Subcontractor (hereinafter Contractor) on the specific project added by the prior stated addendum (hereinafter PROJECT), for and in consideration of the award to it of a contract to perform work on said PROJECT, and in further consideration of the mutual promises made in the NCM-PLA, a copy of which was received and is acknowledged, hereby:

1. Accepts and agrees to be bound by the terms and conditions of the Agreement, together with any and all schedules: amendments and supplements now existing or which are later made thereto. Agrees to be bound by the legally established collective bargaining agreements and local trust agreements to the extent set forth in the NCM-PLA.
2. Authorizes the parties to such local trust agreements to appoint trustees and successor trustees to administer the trust funds and hereby ratifies and accepts the trustees so appointed as if made by the Contractor.
3. Certifies that it has no commitments or agreements that would preclude its full and complete compliance with the terms and conditions of said NCM-PLA.
4. Agrees to employ labor that can work in harmony with all other labor on the Project and shall require labor harmony from every lower tier subcontractor it engaged to work on the Project, Labor harmony disputes issues shall be subject to the Labor Management Committee's Pre-Job conference provisions.
5. Agrees to secure from any Contractor(s) (as defined in said Agreement) which is or becomes a Subcontractor (of any tier), to it, a duly executed Agreement to be Bound in form identical to this document
6. Agrees that it will not invoke the Most Favored Nations Clause that may be contained in any of its Collective Bargaining Agreements with affiliated unions as a result of the application of this NCM-PLA to this project

\_\_\_\_\_  
(Print name of officer of contractor)

Subscribed and sworn to before  
this \_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
(Signature of officer of contractor)

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Company Name

\_\_\_\_\_  
Address and Telephone Number