



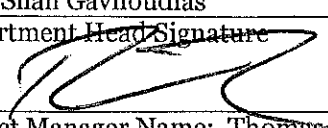
Nassau County

Department of Public Works

**B02-16**

RG-

**Staff Summary**

Subject: Pump Station Repair and Mitigation Contract No. S3P311-06G
Department of Public Works
Commissioner Shila Shah Gavmoudias
Department Head Signature 
Project Manager Name: Thomas A. Immerso Phone Number: 571-7508

Date: December 23, 2015
Vendor Name: Philip Ross Industries, Inc. 200 Long Island Ave., Wyandanch, NY 11798
Contract Number S3P311-06G
Personal Services _____ Blanket Res _____ Calendar _____
Bid <input checked="" type="checkbox"/> Rules Comm. _____
Contract Manager Name: Phil Carlucci, President Phone Number: (631) 253-3077

Proposed Legislative Action					
	To	Date	Approval	Info	Other
	Assgn Comm				
	Rules Comm				
	Full Leg				

Internal Approvals			
Date & Init.	Approval	Date & Init.	Approval
12/23/15	Dept. Head	12/24/15	Counsel to C.E.
12/24/15	Budget	1-8-16	County Atty.
1/15/16	Deputy C.E.		County Exec.

**Narrative**

**Purpose:** This contract consists of the repair/replacement of equipment and mitigation of four (4) wastewater pumping stations (Ocean Ave., Mill RD., Woodmere Blvd. & Longacre Ave.) damaged by Superstorm Sandy.

**Procurement History:** The contract was publicly bid on Oct. 27, 2015 and it is recommended that award be made to the lowest responsible bidder, Philip Ross Industries, Inc., who submitted a successful low bid of \$6,200,000.

**Discussion:** This construction contract provides for furnishing all labor, materials & equipment required for construction-related services. The contract is FEMA-eligible and includes repair/rehabilitation, code compliance & flood mitigation measures to 'harden' the stations against future storm surges, as occurred during Superstorm Sandy. It is envisioned to have a construction duration of twenty (20) months.

**Impact on Funding:** The bid for this Contract of \$6,200,000 is below the engineer's estimate and funding is available under Capital Project No. CSW 3P311. It is expected that this contract will be eligible for reimbursement by FEMA under the Robert T. Stafford Disaster Relief & Emergency Assistance Act.

**Recommendation:** It is recommended that this contract be approved as submitted.

JLD:TAL:rp

RECEIVED  
J. Amato 1/7/16  
(SUBJ. TO REQ. INS. + BOND)

11 JAN 15 P 4:11

RECEIVED  
CLERK OF THE LEGISLATURE  
NASSAU COUNTY

B02-16

RO 6 -2016

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND PHILIP ROSS INDUSTRIES, INC.

Passed by the Rules Committee  
Nassau County Legislature  
By Voice Vote on 1-25-16  
VOTING:  
ayes 4 nays 0 abstained 3 recused 0  
Legislators present: 7

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract S3P311-06G, PUMP STATION REPAIR & MITIGATION – OCEAN AVENUE, MILL ROAD, WOODMERE BLVD. & LONGACRE AVENUE PUMP STATIONS ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of PHILIP ROSS INDUSTRIES, INC.

["Vendor"] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 6,200,000 now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

COUNTY OF NASSAU  
Inter-Departmental Memo

**TO:** Office of the County Executive  
Att: Richard R. Walker, Chief Deputy County Executive

**FROM:** Department of Public Works

**DATE:** December 30, 2015


**SUBJECT: RECOMMENDATION OF AWARD**

**Contract No: S3P311-06G**

**Title: CONTRACT S3P311-05G – PUMP STATION REPAIR & MITIGATION –  
OCEAN AVENUE, MILL ROAD, WOODMERE BLVD. & LONGACRE  
AVENUE PUMP STATIONS**

**Bids received on: October 27, 2015**

I have examined the bids submitted for the contract mentioned above. Finding them to be in order, I recommend this contract be awarded to **Philip Ross Industries, Inc.**, as the lowest responsible bidder with a total bid amount of **\$6,200,000.00**. In order to facilitate processing of the above referenced contract, I request that the attached "Request to Initiate" form be approved.

  
Shila Shah-Gavnoudias  
Commissioner

SSG:KGA:jh



**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Loretta Dionisio, Hydrogeologist II

**FROM:** Kenneth G. Arnold, Assistant to Commissioner

**DATE:** December 21, 2015

**SUBJECT:** RECOMMENDATION OF AWARD

Contract No: S3P311-06G

Title: Pump Station Repair & Mitigation –  
Ocean Avenue, Mill Road, Woodmere  
Boulevard & Longacre Avenue


Engineer's Estimate: \$8,200,000.00

Bids Received On: October 27, 2015

The bids received for the above-referenced contract have been examined, and the bid submitted by Philip Ross Industries, Inc., in the amount of \$6,200,000.00 is acceptable as the lowest responsible bidder.

Since the low bid is less than the engineer's estimate and adequate funds are available (Capital Project No. CSW 3P311), it is requested that the Recommendation of Award be prepared for the Commissioner's signature and forwarded to the County Executive for his action.

Attached, herewith, please find a completed Staff Summary, NIFA, Engineer's Bid Analysis and Award Recommendation, and Request to Initiate forms for your information and use.

  
Kenneth G. Arnold  
Assistant to Commissioner

KGA:JLD:rp  
Attachments

c: Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit  
Thomas A. Immerso, Sanitary Engineer II



## REQUEST TO INITIATE

RTI Number 15-0365

## REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work OrderProject Title: PUMP STATION REPAIR AND MITIGATION (S3P311-06G)  
Ocean Ave., Mill Rd., Woodmere Blvd. and Longacre Ave.

Department: Public Works Project Manager: Thomas A. Immerso Date: October 30, 2015

Service Requested: General Construction Contract

Justification: Necessary for repairs/replacements of equipment at four (4) sewage pump stations damaged as a result of Superstorm Sandy as well as flood mitigation measures for the pump stations listed above.

Requested by: Department of Public Works Department/Agency/Office

Project Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$6,200,000.00  
Circle appropriate phaseTotal Project Cost: \$8,300,000.00 (approx.)  
Includes, design, construction and CMDate Start Work: November, 2015  
Phase being requestedDuration: 550 Days  
Phase being requestedCapital Funding Approval: YES ☒ NO ☐ [Signature] DATEFunding Allocation (Capital Project): 3P311  
See Attached Sheet if multiple ☐NIFS Entered: [Signature] DATEAIM Entered: K. Angew 11/19/15  
SIGNATURE DATEFunding Code: 3P311 SAN006  
Use this on all encumbrancesTimesheet Code: 15-0365  
Use this on timesheets

State Environmental Quality Review Act (SEQRA):

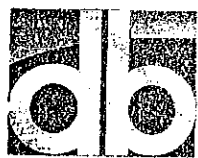
Type II Action ☐ or, Environmental Assessment Form Required ☐  
Supplemental Environmental DocumentationDepartment Head Approval: YES ☒ NO ☐ [Signature] SIGNATUREDCE/Ops Approval: YES ☐ NO ☐ [Signature] SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from responding vendors.

Vendor	Quote	Comment	See Attached Sheet (bid result(s))
Phillip Ross Industries, Inc.	\$6,200,000.00	10% Bid Bond; Low Bid	<input type="checkbox"/>
RJ Industries	\$6,968,800.00		
Posilllico Civil, Inc.	\$8,254,400.00		
John P. Picone, Inc.	\$8,294,800.00		

DCE/Ops Approval: YES NO Signature

[Signature] 10/30  
[Signature]



**D&B ENGINEERS  
AND  
ARCHITECTS, P.C.** **50**  
YEARS  
est. 1965

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Richard W. Lenz, P.E.

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Philip R. Sachs, P.E.

Daniel Shabat, P.E.

**Associates**

Meredith A. Byers

Anthony M. Caniano

Rudolph F. Cannavale

Christopher W. Francis

Michele Mastrangelo

Jamil Miranda, P.E.

Roger W. Owens

Steven E. Patak

Robbin A. Petrella

Edward J. Reilly

Michael G. Savarese, P.E.

Stephen E. Tauss

November 19, 2015

Joseph L. Davenport, P.E.  
Chief Sanitary Engineer  
Unit Head, Water/Wastewater Engineering Unit  
Nassau County Department of Public Works  
3340 Merrick Road, Building R, 3rd Floor  
Wantagh, New York 11793

Re: Pump Station Repair and Mitigation  
Contract No. S3P311-06G  
Bid Evaluation  
D&B No. 3618

Dear Mr. Davenport:

The detailed Bid Summary for bids received on Tuesday, October 27, 2015 for Contract No. S3P311-06G – Pump Station Repair and Mitigation – Ocean Avenue, Mill Road, Woodmere Boulevard and Longacre Avenue Pump Stations, is attached for your reference.

A general summary of the submitted bids is included below:

Contract	Bidder	Bid Amount
S3P311-06G – General Construction	Philip Ross Industries, Inc.*	\$6,200,000
S3P311-06G – General Construction	RJ Industries, Inc.	\$6,968,800
S3P311-06G – General Construction	Posillico Civil, Inc.	\$8,254,500
S3P311-06G – General Construction	John P. Picone, Inc	\$8,294,800

\*Apparent Low Bidder

The apparent low bidder, Philip Ross Industries, Inc., has previous experience with the County and is currently performing work at the County's Cedar Creek WPCP. Also, the apparent low bidder has experience with projects of similar magnitude having performed previous work on the County pump stations (East Hills, Locust Valley).

"50 Years of Facing Challenges, Finding Solutions... Since 1965"

D&B ENGINEERS AND ARCHITECTS, P.C.

Joseph L. Davenport, P.E.  
Chief Sanitary Engineer  
Unit Head, Water/Wastewater Engineering Unit  
Nassau County Department of Public Works  
November 19, 2015

Page Two

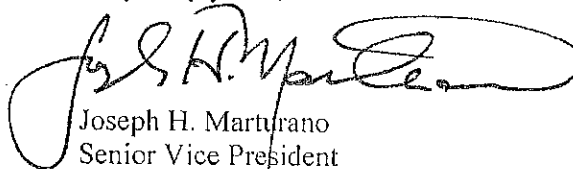
Philip Ross Industries, Inc., has also been the low bidder for two other pump station repair and mitigation projects, (S3P311-04 & S3P311-05).

A copy of the Philip Ross Industries, Inc. Bid Sheets are also attached for reference.

Based on the above, we recommend the low bid be accepted and that the County award Contract No. S3P311-06G – General Construction to Philip Ross Industries, Inc. in the amount of \$6,200,000.

Should you have any questions regarding the above, please do not hesitate to contact this office.

Very truly yours,



Joseph H. Marturano  
Senior Vice President

JHM/OMJt/cf

Attachments: Detailed Bid Summary (Contract S3P311-05G)  
Record of Telephone Conversation  
Bid Sheets

cc: T. Immerso (NCDPW)  
O.M. Jaramillo (D&B)  
C. Leal (PM-JV) w/o attachments  
H. Remo (PM-JV) w/o attachments

♦3618VJHM15LTR-06

**County of Nassau Department of Public Works  
Pump Station Repair and Mitigation  
Ocean Avenue, Mill Road, Woodmere Boulevard and Longacre Avenue Pump Stations  
CONTRACT NO. S3P311-06G - GENERAL CONSTRUCTION**

Bid Opening Date - Tuesday, October 27, 2015

**Detailed Bid Summary**

Item No.	Approximate Quantity	Description	Philip Ross Industries, Inc.	R.J. Industries, Inc.	Posillico Civil, Inc.	John P. Picone, Inc.
			Unit Price   Bid Amount   Total	Unit Price   Bid Amount   Total	Unit Price   Bid Amount   Total	Unit Price   Bid Amount   Total
1	Lump Sum	Base Bid for furnishing all labor, materials and equipment required for all Construction work at Wantagh Park, Newbridge Road, Merrick Road and Ray Street Pump Stations as specified and shown on the drawings, complete and ready for operation.	\$5,994,000.00	\$6,758,800.00	\$8,030,000.00	\$8,071,575.00
2	75 Cubic Yards	For extra earth excavation beyond the limits of the Contract Drawings and Specifications, as ordered by the County.	\$30.00   \$2,250.00	\$50.00   \$3,750.00	\$110.00   \$8,250.00	\$100.00   \$7,500.00
3	125 Cubic Yards	For select fill from outside sources (per the Contract Drawings and Specifications), as ordered by the County.	\$30.00   \$3,750.00	\$50.00   \$6,250.00	\$130.00   \$16,250.00	\$125.00   \$15,625.00
4	Allowance	For furnishing all labor, materials, equipment and incidentals for Miscellaneous Additional Work, as ordered by the County.	\$200,000.00	\$200,000.00	\$200,000.00	\$200,000.00
<b>TOTAL BID AMOUNT</b>			<b>\$6,200,000.00</b>	<b>\$6,968,800.00</b>	<b>\$8,254,500.00</b>	<b>\$8,294,800.00</b>

Average of four (4) bids received = \$7,429,525.00

Engineer's Estimate = \$8,200,000.00

# PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S3P311-06G

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S3P311-06G			
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	AMOUNT BID PRICE DOLLARS CENTS
1	Lump Sum	Base Bid for furnishing all labor, materials and equipment required for all Construction work at Ocean Avenue, Mill Road, Woodmere Boulevard and Longacre Avenue Pump Stations as specified and shown on the drawings, complete and ready for operation. <u>Five million nine hundred and ninety two thousand</u> Dollars	5,994 00 -
2	75 Cubic Yards	For extra earth excavation beyond the limits of the Contract Drawings and Specifications, as ordered by the County <u>thirty Dollars</u> Dollars	2,250 -
3	125 Cubic Yards	For select fill from outside sources (per the Contract Drawings and Specifications), as ordered by the County. <u>thirty Dollars</u> Dollars	3,750 -
4	Allowance	For furnishing all labor, materials, equipment and incidentals for Miscellaneous Additional Work, as ordered by the County. <u>Two Hundred Thousand</u> Dollars	\$200,000 00

TOTAL BASE BID (Bid Item Nos. 1 thru 4): \$ 6,200,000 -  
TOTAL BASE BID (Bid Item Nos. 1 thru 4): MUST BE WRITTEN IN WORDS:  
Six million, two hundred thousand Dollars & zero cents

DOLLARS

# PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S3P311-06G

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
		TOTAL BASE BID (Bid Item Nos. 1 thru 4)				
		\$6,200,000				
		TOTAL BASE BID (Bid Item Nos. 1 thru 4): MUST BE WRITTEN IN WORDS:				
		DOLLARS Six million Two hundred Thousand Dollars				

Pump Station Repair &amp; Mitigation - Ocean Ave., Mill Rd., Woodmere Blvd &amp; Longacre Ave Pump Stations

Bid Opening: 10/27/2015

Engineer: Tom Immerso

Phone: (516) 571-7508

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
Philip Ross Industries, Inc.	200 Long Island Avenue Wyandanch, NY 11798	Liberty Mutual Insurance Comp	10% Amt Bid	\$6,200,000.00	\$0.00
RJ Industries	75 East Bethpage Road Plainview, NY 11803-0349	Liberty Mutual Insurance Comp	10% Amt Bid	\$6,968,800.00	\$0.00
Posillico Civil, Inc.	1750 New Highway Farmingdale, NY 11735	Liberty Mutual Insurance Comp	10% Amt Bid	\$8,254,400.00	\$0.00
John P. Picone Inc.	31 Garden Lane, PO Box 913 Lawrence, NY 11559	National Liability & Fire Insura	10% Amt Bid	\$8,294,800.00	\$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Civil Service Employees Association, Nassau Local 830  
Att: Ronald Gurrieri, Executive Vice President

**FROM:** Department of Public Works

**DATE:** November 13, 2015

**SUBJECT:** CSEA Notification of a Proposed DPW Contract  
Pump Station Mitigation – General Construction  
Proposed Contract No. S3P311-06G

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW contract/agreement is for the work which has "historically and exclusively been performed by bargaining unit members".

1. DPW plans to recommend a contract/agreement for the following services:

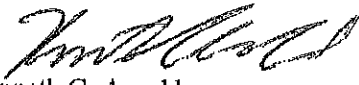
General construction for repairs/replacement work resulting from Hurricane Sandy, as well as requirements for Code/OSHA compliance items and flood mitigation measures for four (4) wastewater pump stations.

2. The work involves the following:

Scope of Work: General construction contract for the replacement of flood damaged process, mechanical and electrical equipment; elevating critical equipment above the 500 year base flood elevation (BFE); flood proofing structures to prevent damage from potential future storm events.

3. An estimate of the cost is: \$6,200,000.00
4. An estimate of the duration is: Twenty-four (24) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.

  
Kenneth G. Arnold  
Assistant to Commissioner

KGA:WSN:JLD:cs

- c: Christopher Fusco, Director, Office of Labor Relations  
Brian Libert, Deputy Director, Office of Labor Relations  
Keith Cromwell, Office of Labor Relations  
William S. Nimmo, Deputy Commissioner  
Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit  
Patricia Kivo, Unit Head, Human Resources Unit  
Thomas A. Immerso, Sanitary Engineer II  
Loretta V. Dionisio, Hydrogeologist II ✓  
Jonathan Lesman, Management Analyst II



BID BOND

FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to USE THIS FORM PROVIDED as the use of ANY OTHER FORM may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned Philip Ross Industries, Inc.

as Principal; and Liberty Mutual Insurance Company as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

Ten Percent of Proposal Price dollars (\$ 10% of P.P. ) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 13th day of October, 20 15

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under Contract No. S3P311-06G for the

Pump Station Repair and Mitigation - Ocean Avenue, Mill Road, Woodmere Blvd., Longacre Avenue

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

Provided, however, that this bond is subject to the following additional conditions and limitations.

a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.

b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

Philip Ross Industries, Inc.  
200 Long Island Avenue, Wyandanch, NY 11798  
Contractor

by [Signature] (L.S.) (Corporate seal of Contractor  
Title if a corporation)

by \_\_\_\_\_ (L.S.) Title

by \_\_\_\_\_ (L.S.) Title

Liberty Mutual Insurance Company  
175 Berkeley Street, Boston, MA 02116

by [Signature] (L.S.) Surety  
Title of Officer Susan Lupski, Attorney-In-Fact

Witness: [Signature] (L.S.) (Corporate seal of Surety)  
~~XXXXXX~~ ~~XXXXXXXXXXXX~~

(Acknowledgment by Contractor if a corporation)

STATE OF NY )

ss.:

COUNTY OF Suffolk )

On this 27 day of January, 2015, before me personally came Philip Ross who, being by me duly sworn, did depose and say for himself, that he resides in Medville NY that he is the pres of the Philip Ross Industries, Inc. the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto in the order

NOTARY PUBLIC-STATE OF NEW YORK  
No. 01SE4978492

Qualified in Suffolk County

My Commission Expires March 04, 2017

Stephen Hill Peck  
Notary Public

(Acknowledgment by Contractor if a partner or partner)

STATE OF \_\_\_\_\_ )

ss.:

COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_ to me to be a member of the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

\_\_\_\_\_  
Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF \_\_\_\_\_ )

ss.:

COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

\_\_\_\_\_  
Notary Public

(Acknowledgment by Surety Company)

STATE OF New York )

ss.:  
COUNTY OF Nassau )

On this 13th day of October , 20 15 , before me personally  
came Susan Lupski to me  
Known, who being by me duly sworn, did depose and say that he resides  
in Nassau County, New York

that he is the Attorney-In-Fact of the Liberty Mutual Insurance Company , the  
corporation described in and which executed the within instrument; that  
he knows the seal of said corporation; that the seal affixed to said  
instrument is such corporate seal; that it was so affixed by the order  
of the Board of Directors of said corporation, and that he signed his  
name thereto by like order; and that the liabilities of said company do  
not exceed its assets as ascertained in the manner provided by the laws  
of the State of New York, and the said Dana Granice further said  
that he is acquainted with Susan Lupski and knows him  
to be the Attorney-In-Fact of said company; that the signature  
of the said Susan Lupski subscribed to the within instrument is  
in the genuine handwriting of the said Susan Lupski  
and was subscribed thereto by like order of the Board of Directors, and  
in the presence of him, the said Dana Granice

  
Notary Public

DANA GRANICE  
Notary Public, State of New York  
No. 01GR6099128  
Qualified in Suffolk County  
Commission Expires 9/22/2019

**THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.**

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 7045213

American Fire and Casualty Company  
The Ohio Casualty Insurance Company

Liberty Mutual Insurance Company  
West American Insurance Company

**POWER OF ATTORNEY**

KNOWN ALL PERSONS BY THESE PRESENTS: That American Fire & Casualty Company and The Ohio Casualty Insurance Company are corporations duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint Camille Mallard; Colette R. Chisholm; Desiree Gardlin; George O. Brewster; Gerard S. Macholz; Lee Parrucci; Mia Woo-Warren; Nelly Rencihwch; Peter F. Jones; Rita Saglano; Robert T. Pearson; Susan Lupski; Thomas Bean; Vincent A. Walsh

all of the city of Uniondale, state of NY, each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surely and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of those presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 6th day of JULY, 2015



American Fire and Casualty Company  
The Ohio Casualty Insurance Company  
Liberty Mutual Insurance Company  
West American Insurance Company

By: David M. Carey  
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA ss  
COUNTY OF MONTGOMERY

On this 6th day of JULY, 2015, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of American Fire and Casualty Company, Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company; and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at Plymouth Meeting, Pennsylvania, on the day and year first above written.



Notarial Seal  
Teresa Pastella, Notary Public  
Plymouth Twp., Montgomery County  
My Commission Expires March 28, 2017  
Member, Pennsylvania Association of Notaries

By: Teresa Pastella  
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

**ARTICLE IV - OFFICERS - SECTION 12. Power of Attorney.** Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surely any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

**ARTICLE XII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings.** Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surely any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

**Certificate of Designation -** The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surely any and all undertakings, bonds, recognizances and other surety obligations.

**Authorization -** By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Gregory W. Davenport, the undersigned, Assistant Secretary, of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this OCT 13 2015 day of OCT, 2015



By: Gregory W. Davenport  
Gregory W. Davenport, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.



LIBERTY MUTUAL INSURANCE COMPANY  
FINANCIAL STATEMENT — DECEMBER 31, 2014

Assets		Liabilities	
Cash and Bank Deposits.....	\$744,221,142	Unearned Premiums.....	\$6,288,178,795
*Bonds — U.S. Government.....	1,718,117,704	Reserve for Claims and Claims Expense.....	16,879,324,618
*Other Bonds.....	11,205,872,087	Funds Held Under Reinsurance Treaties.....	211,983,009
*Stocks .....	9,533,437,819	Reserve for Dividends to Policyholders.....	1,246,547
Real Estate.....	277,742,849	Additional Statutory Reserve .....	40,877,587
Agents' Balances or Uncollected Premiums.....	4,150,041,316	Reserve for Commissions, Taxes and	
Accrued Interest and Rents .....	129,261,358	Other Liabilities .....	<u>2,664,248,124</u>
Other Admitted Assets.....	<u>14,896,464,393</u>	<b>Total .....</b>	<b>\$26,085,858,680</b>
<b>Total Admitted Assets.....</b>	<b><u>\$42,655,158,668</u></b>	Special Surplus Funds.....	\$53,954,363
		Capital Stock.....	10,000,000
		Paid in Surplus.....	8,829,117,542
		Unassigned Surplus.....	7,676,228,083
		<b>Surplus to Policyholders .....</b>	<b><u>16,569,299,988</u></b>
		<b>Total Liabilities and Surplus .....</b>	<b><u>\$42,655,158,668</u></b>



\* Bonds are stated at amortized or investment value; Stocks at Association Market Values.  
The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2014, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 20th day of March, 2015.

*T. Mikolajewski*

Assistant Secretary

CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK  
INSURANCE LAW

STATE OF NEW YORK  
DEPARTMENT OF FINANCIAL SERVICES

It is hereby certified that

**LIBERTY MUTUAL INSURANCE COMPANY**

Of Boston, Massachusetts

a corporation organized under the laws of the State of Massachusetts and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$16,569,299,988 (Capital \$10,000,000) as is shown by its sworn financial statement for the Year-End as of December 31, 2014, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have here-  
unto set my hand and affixed the  
official seal of this Department  
at the City of Albany, this  
17<sup>th</sup> day of March, 2015

Benjamin M. Lawskey  
Superintendent

By *Jacqueline Catalfamo*

Jacqueline Catalfamo  
Special Deputy Superintendent



# NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

## WICKS EXEMPT LIST OF SUBCONTRACTORS

CONTRACT NO.

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

Contractor's Name and Address Philip Ross Industries, Inc. 200 Long Island Ave Wyandanch NY 11798 Federal ID No.: 11-2707717	Project Description (Project Title, Facility Name and Address): Pump station repair & mitigation Ocean Ave, Mill Rd, Woodmere Blvd. & Longacre Ave, pump stations	Bid Date: 10/27/15	Total Contract Amt: 6,200,000
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Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):

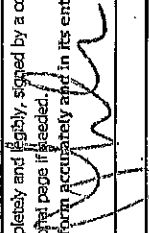
Plumbing and Gas Fitting
Steam Heating, Hot Water Heating, Ventilating and AC Apparatus
Electric Wiring and Standard Illuminating Fixtures

If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box [ ] skip to bottom of form, and sign it as required.

Check ( ) only one.				General Description of Work	Subcontractor's Contract Amt.
Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures			
Hewer electric co		✓		electrical	1,100,000
MACE CONTRACTING	✓			PLUMBING	350,000
MACE CONTRACTING			✓	HVAC	150,000

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use an additional page if needed.

Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.

Company Authorized Signature:  Title: PRES. Date: 10/27/15

PROPOSAL

COUNTY OF NASSAU  
STATE OF NEW YORK

PROPOSAL

FOR

PUMP STATION REPAIR AND MITIGATION  
OCEAN AVENUE, MILL ROAD, WOODMERE BOULEVARD AND  
LONGACRE AVENUE PUMP STATIONS

Contract No. S3P311-06G

GENERAL CONSTRUCTION

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: Philip Carlucci

Bidder: Philip Ross Industries, Inc.

(Individual, Firm or Corporation, as case may be)

Bidder's Business Address: 200 Long Island Ave., Wyandanch, NY 11798

Telephone: 631-253-3077

Date of Bid: 10/27/15

Fax: 631-253-0180

E-Mail: \_\_\_\_\_

PROPOSAL

(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: \_\_\_\_\_

Residence of Individual: \_\_\_\_\_

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: \_\_\_\_\_

Name and Residence of Partner: \_\_\_\_\_

Name and Residence of Partner: \_\_\_\_\_

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: New York

Name and Residence of President: Philip Carlucci

Name and Residence of Vice-President: \_\_\_\_\_

Name and Residence of Secretary: Philip Carlucci

Name and Residence of Treasurer: \_\_\_\_\_

## PROPOSAL

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### GENERAL CONSTRUCTION

#### THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of labor, equipment, other facilities needed for the performance of the Work, the general and local conditions, and all other items which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities of Glen Cove or Long Beach, the contractor shall be required to have such a license.

## PROPOSAL

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### I. Rejection of Bids.

#### A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.

#### B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend / to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

### II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

### III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

### IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.



APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL  
**INTERNATIONAL UNION OF OPERATING ENGINEERS**  
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

SCHOOL (631) 286-8677  
FAX (631) 286-8683

247-0

UNION - P.O. BOX 206, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

May 11, 2015

Nassau County DPW  
1550 Franklin Ave  
Mineola, NY 11501

Re: Local 138 Apprenticeship School (the "Apprenticeship School")  
NYS Sponsor # 00422  
NYS ATP Code # 18318  
Philip Ross Industries

Project No. S3P11-02G

To Whom It May Concern,

This letter serves to confirm that the **Apprenticeship School** currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited Philip Ross Industries to the Local 138 Agreement Covering Working Conditions, dated June 1, 2009 to May 31, 2015. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY  
BUSINESS MANAGER & TREASURER  
CHAIRMAN BOARD OF TRUSTEES  
LOCAL 138 APPRENTICESHIP TRAINING FUND

# JOINT APPRENTICESHIP COMMITTEE OF PLUMBERS LOCAL NO. 200

DANNY GRODOTZKE  
CO-CHAIRMAN

UNION  
MARIO MATTERA  
ART GIPSON

375 CENTRAL AVENUE  
BOHEMIA, NEW YORK 11716  
PHONE 631-567-3083  
FAX 631-210-0033

TRAINING COORDINATOR  
KEITH GORDON

FRANK COLASURDO  
CO-CHAIRMAN

EMPLOYERS  
JOHN BOTTO  
ROBERT RUGGIERO

May 18, 2015

To Whom It May Concern:

Please be advised that Philip Ross Industries is signatory to a Collective Bargaining Agreement with Plumbers Local Union #200 and is a contractor in good standing.

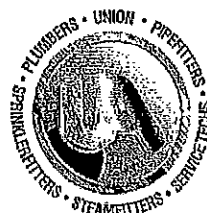
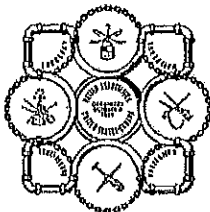
Plumbers Local Union #200 has an apprenticeship Training Program (Sponsor Code 00337, ATP Code 13180) that is approved and recognized by both the federal Department of Labor and the New York State Department of Labor. Being signatory to our agreements, Philip Ross Industries may, at their discretion, employ apprentices that are indentured in our program.

If you have any questions regarding the Apprenticeship Training Program of Plumbers Local Union #200, please contact me at any time.

Sincerely,



Keith Gordon  
Training Coordinator



# PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S3P311-06G

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S3P311-06G			
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	AMOUNT BID PRICE DOLLARS CENTS
1	Lump Sum	Base Bid for furnishing all labor, materials and equipment required for all Construction work at Ocean Avenue, Mill Road, Woodmere Boulevard and Longacre Avenue Pump Stations as specified and shown on the drawings, complete and ready for operation. <u>Five Million Nine Hundred Twenty Two Thousand</u> Dollars <u>Cents Dollars &amp; Cents</u>	<u>5,294.00</u> -
2	75 Cubic Yards	For extra earth excavation beyond the limits of the Contract Drawings and Specifications, as ordered by the County <u>thirty Dollars</u> Dollars Cents	<u>2,250</u> -
3	125 Cubic Yards	For select fill from outside sources (per the Contract Drawings and Specifications), as ordered by the County. <u>thirty Dollars</u> Dollars Cents	<u>3,750</u> -
4	Allowance	For furnishing all labor, materials, equipment and incidentals for Miscellaneous Additional Work, as ordered by the County. <u>Two Hundred Thousand</u> Dollars Cents	<u>\$200,000</u> 00

TOTAL BASE BID (Bid Item Nos. 1 thru 4): \$ 6,200,000 -  
TOTAL BASE BID (Bid Item Nos. 1 thru 4): MUST BE WRITTEN IN WORDS:  
Six Million, Two hundred Thousand Dollars & Zero cents  
CENTS

DOLLARS

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S3P311-06G

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
		TOTAL BASE BID (Bid Item Nos. 1 thru 4) <u>\$6,200,000 --</u>				
		TOTAL BASE BID (Bid Item Nos. 1 thru 4); MUST BE WRITTEN IN WORDS: <u>DOLLARS Six million Two hundred Thousand Dollars CENTS Zero</u>				

## GENERAL CONSTRUCTION

ALLOWANCES. It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. 4: An Allowance of two hundred thousand dollars (\$200,000) for Miscellaneous Additional Work.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and Section 01150, Measurement and Payment.

Final Contract Payment for allowance items shall be based upon actual payments as authorized by the County, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID. Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances.

MAJOR EQUIPMENT ITEMS. The Bidder shall fill in the names and addresses of the proposed manufacturers and/or suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

Specification Number	Description	Manufacturer and/or Supplier
11530	Gas Detection Systems	MSA OR EQUAL
11600	Fuel Tank Leak and Level Detection System	Veeder-Root OR EQUAL
15099	Large Diameter Valves, Specials and Appurtenances	DeZurik, Co OR EQUAL
15160A	Dry-Pit Submersible Pumps	Flygt OR EQUAL
15341	Hydraulic Grinder System	Franklin Miller, Inc OR EQUAL
15999	Sump Pumps	Zoeller OR EQUAL
Division 16	Electric Service Panel	Square D OR EQUAL
16200	Diesel Engine Driven Generator	Cummins OR EQUAL
16927	Sump Pump Control Panel	EG Controls OR EQUAL
17999	Odor Control System	Carbtrol OR EQUAL

### IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

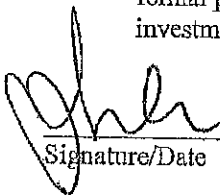
For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

X

a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,

b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.

  
Signature/Date

10/27/15

Philip Carlucci Pres.  
Print Name and Position

## MacBride Fair Employment Principles

### NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),


(1) have business operations in Northern Ireland,

Yes \_\_\_ No X

If yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes \_\_\_ No X

  
(Contractor's Signature)

Philip Ross Industries, Inc.  
(Name of Business)

GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

Bidder: Philip Ross Industries, Inc.

(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: \_\_\_\_\_

Federal ID No: 11-2707717

Municipal License ID Number: \_\_\_\_\_

Municipal Licensing Agency: \_\_\_\_\_

By: 

Date: 10/27/15

(Signature of Individual, Partner or Corporate Officer)

(Print): Philip Carlucci

Title: Pres.

WHERE BIDDER IS A CORPORATION, ADD

ATTEST:

Philip Carlucci

Secretary

(CORPORATE)

(SEAL)

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

\_\_\_\_\_. Being duly sworn, deposes and says:  
That he is the person described in and who executed the foregoing Bid and that the several matters therein  
stated are in all respects true.

Subscribed and sworn to before me

This \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

\_\_\_\_\_. Being duly sworn, deposes and says:  
That he is a member of \_\_\_\_\_, the firm described in and which  
executed the foregoing Bid; that he duly subscribed the name of the firm thereunto on behalf of the firm; and  
that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

(Form of Affidavit where Bidder is a Corporation)

) ss.:

Philip Carlucci

Being duly sworn, deposes and says:

That he resides at Melville, NY, that he is the Pres.

\_\_\_\_\_ of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he affixed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me

This 27 day of October, 20 15

Notary Public

**STÉPHANIE SELIX KELLERMAN**  
**NOTARY PUBLIC-STATE OF NEW YORK**  
 No. 01SE4978492  
 Qualified in Suffolk County  
 My Commission Expires March 04, 2017

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

\_\_\_\_\_. Being duly sworn, deposes and says:  
That he is the person described in and who executed the foregoing Bid and that the several matters therein  
stated are in all respects true.

Subscribed and sworn to before me

This \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

\_\_\_\_\_. Being duly sworn, deposes and says:  
That he is a member of \_\_\_\_\_, the firm described in and which  
executed the foregoing Bid; that he duly subscribed the name of the firm thereunto on behalf of the firm; and  
that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

(Form of Affidavit where Bidder is a Corporation)

) SS.:

Philip Carlucci

Being duly sworn, deposes and says:

Subscribed and sworn to before me

This 27 day of October 2015

Stephanie Self Hall  
Notary Public

**DISCLOSURE STATEMENT**

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Philip Ross Industries, Inc.

Address: 200 Long Island Avenue

City, State and Zip Code: Wyandanch, NY 11798

2. Entity's Vendor Identification Number: 11-2707717

3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture  
☐ Ltd. Liability Co ☒ Closely Held Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

[REDACTED] NY  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation include a copy of the 10K in lieu of completing this section.

Philip Carlucci; [REDACTED]  
\_\_\_\_\_  
\_\_\_\_\_

Page 3 of 4

(b) Describe lobbying activity of each lobbyist. See page 4 of 4 for a complete description of lobbying activities.

None

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 10/27/15

Signed: 

Print Name: Philip Carlucci

Title: Pres.

EXECUTIVE ORDER NO. 2 - 2015

EXECUTIVE ORDER PURSUANT TO SECTION 203 OF THE  
COUNTY GOVERNMENT LAW OF NASSAU COUNTY TO FURTHER  
DISCLOSURE BY THOSE DOING BUSINESS WITH NASSAU  
COUNTY

WHEREAS, pursuant to subdivision 1 of section 203 of the Nassau County Charter, the County Executive is responsible for the administration of all departments, offices and functions of the county government; and

WHEREAS, the County Executive, in furtherance of his duties pursuant to subdivision 1 of section 203, is committed to ensuring transparency and openness with respect to the administration of governmental functions; NOW, THEREFORE BE IT

ORDERED, every lobbyist, as defined on the attached Lobbyist Registration and Disclosure Form (hereinafter "Lobbyist Form"), shall annually file the Lobbyist Form with the County Attorney and with the Clerk of the Nassau County Legislature for each calendar year, provided, however, that the filing of such statement of registration shall not be required of any lobbyist who in any year does not earn or incur an amount in excess of one thousand dollars reportable compensation and expenses for the purposes of lobbying, or is an officer, director, trustee or employee of any public corporation when acting in such official capacity; and it is further

ORDERED, that such filing shall be completed on or before January fifteenth by those persons who have been retained, employed or designated as lobbyists on or before December thirty-first of the previous calendar year who reasonably anticipate that in the coming year they will earn or incur combined reportable compensation and expenses in an amount in excess of one thousand dollars; and for those lobbyists retained, employed or designated after December thirty-first, and those lobbyists who, subsequent to their retainer, employment or designation, reasonably anticipate combined reportable compensation and expenses in excess of such amount, such filing must be completed within fifteen days thereafter; and it is further

ORDERED, that the County Attorney shall forward copies of each Lobbyist Form to the Nassau County Department of Information Technology ("IT"), and that IT shall develop and maintain a lobbyist registration page on that County's website, and make available all such Lobbyist Forms for public inspection on the County's website; and it is further

ORDERED, that upon termination of a lobbyist's retainer, employment or designation, such lobbyist and the client, if any, on whose behalf such service has been rendered shall both give notice to the County Attorney in the electronic filing system within thirty days after the lobbyist ceases the activity that required such lobbyist to file a statement of registration; however, such lobbyist shall nevertheless comply with the reporting requirements as set forth above and the reporting requirements for the last periodic reporting period up to the date such activity has ceased; and it is further

ORDERED, any lobbyist required to file a Lobbying Form as set forth herein who, in any lobbying year, earns or incurs combined reportable compensation and expenses in an amount in excess of one thousand dollars, for the purpose of lobbying, shall file with the County Attorney periodic reports, on forms prescribed by the County Attorney, by the fifteenth day next succeeding the end of the reporting period on which the cumulative total for such lobbying year equaled such sum. Such reporting periods shall be the period from January 1 to March 31, April 1 to May 31, June 1 to August 31 and August 31 to December 31; and it is further

ORDERED, that any lobbyist making a report pursuant to the above paragraph shall thereafter file with the County Attorney, on forms prescribed by the County Attorney, a periodic report for each reporting period that such person earns or incurs combined reportable compensation and expenses in an amount in excess of one thousand dollars for the purposes of lobbying during such reporting period. Such report shall be filed not later than the fifteenth day next succeeding the end of such reporting period and shall include the amounts so earned or

COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name of lobbyist(s)/lobbying organization: The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission; or to otherwise engage in lobbying activities as the term is defined herein. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

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2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

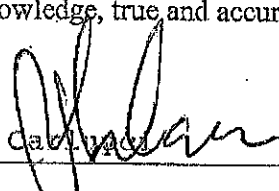
3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

None

Page 3 of 4

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 10/27/15

Signed: Philip Carlucci 

Print Name: Philip Carlucci

Title: Pres.

### Business History Form

In addition to the submission of bids/proposals, as applicable, each bidder/proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the bid/proposal.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 10/27/15

1) Bidder's/Proposer's Legal Name: Philip Ross Industries, Inc.

2) Address of Place of Business: 200 Long Island Ave, Wyandanch NY

List all other business addresses used within last five years:

3) Mailing Address (if different): \_\_\_\_\_

Phone: 631-253-3077

Does the business own or rent its facilities? own

4) Dun and Bradstreet number: 154017461

5) Federal I.D. Number: 11-2707717

6) The bidder/proposer is a (check one):        Sole Proprietorship        Partnership         
Corporation X Other (Describe) \_\_\_\_\_

7) Does this business share office space, staff, or equipment expenses with any other business?  
Yes        No X If Yes, please provide details: \_\_\_\_\_

8) Does this business control one or more other businesses? Yes        No X If Yes, please provide details: See 6/30/15 financial statement note #1 for explanation

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes X No        If Yes, provide details: See 6/30/15 financial statement note #1 for explanation

10) Has the bidder/proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes        No X If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture; or details regarding the termination (if a contract). \_\_\_\_\_

Attachments to Business History Form

Please provide any other information which would be appropriate and helpful in determining the bidder's/proposer's capacity and reliability to perform these services.

- A. Include a resume or detailed description of the bidder's/proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the bidder/proposer be other than an individual, the bid/proposal should include:

- i) Date of formation; 1984
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner; Philip Carlucci; [REDACTED]
- iii) Name, address and position of all officers and directors of the company; Philip Carlucci; [REDACTED]
- iv) State of incorporation (if applicable); New York
- v) The number of employees in the firm; 20
- vi) Annual revenue of firm; 14 million
- vii) Summary of relevant accomplishments; see project experience lists
- viii) Copies of all state and local licenses and permits. not applicable

- B. Indicate number of years in business. 31

- C. Provide any other information which would be appropriate and helpful in determining the bidder's/proposer's capacity and reliability to perform these services.

- D. Provide names and addresses for no fewer than three references for whom the bidder/proposer has provided similar services or who are qualified to evaluate the bidder's/proposer's capability to perform this work.

Company H2M Group

Contact Person Rich Humann, President

Address 538 Broadhollow Road, Melville NY

City/State Melville NY

Telephone 631-756-8000

Fax # \_\_\_\_\_

E-Mail Address rhumann@h2m.com

**PHILIP ROSS INDUSTRIES, INC. AND AFFILIATES**  
**COMBINED FINANCIAL STATEMENTS**  
**JUNE 30, 2015**

PHILIP ROSS INDUSTRIES, INC. AND AFFILIATES

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## INDEPENDENT ACCOUNTANTS' REVIEW REPORT

To The Stockholder  
Philip Ross Industries, Inc. and Affiliates  
Wyandanch, New York

We have reviewed the accompanying combined balance sheet of Philip Ross Industries, Inc. and Affiliates at June 30, 2015, and the related combined statements of income and retained earnings and cash flows for the six months then ended. A review includes primarily applying analytical procedures to management's financial data and making inquiries of Company management. A review is substantially less in scope than an audit, the objective of which is the expression of an opinion regarding the combined financial statements as a whole. Accordingly, we do not express such an opinion.

Management is responsible for the preparation and fair presentation of the combined financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the combined financial statements.

Our responsibility is to conduct the review in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. Those standards require us to perform procedures to obtain limited assurance that there are no material modifications that should be made to the combined financial statements. We believe that the results of our procedures provide a reasonable basis for our report.

Based on our review, we are not aware of any material modifications that should be made to the accompanying combined financial statements in order for them to be in conformity with accounting principles generally accepted in the United States of America.

*Grassi & Co., CPAs, P.C.*

GRASSI & CO., CPAs, P.C.

Jericho, New York  
August 27, 2015

PHILIP ROSS INDUSTRIES, INC. AND AFFILIATES  
COMBINED BALANCE SHEET  
JUNE 30, 2015

ASSETS

CURRENT ASSETS:

Cash	\$ 2,669,085
Contract receivables, net	5,351,473
Costs and estimated earnings in excess of billings on uncompleted contracts	545,652
Prepaid expenses and other current assets	<u>51,020</u>
Total Current Assets	<u>8,617,230</u>

PROPERTY AND EQUIPMENT, NET (including \$432,000 related to  
variable interest entities)

690,366

OTHER ASSETS:

Advances to stockholder	531,708
Advances to affiliate	<u>25,697</u>
	<u>557,405</u>

\$ 9,865,001

See independent accountants' review report and notes to combined financial statements.

PHILIP ROSS INDUSTRIES, INC. AND AFFILIATES  
COMBINED BALANCE SHEET  
JUNE 30, 2015

LIABILITIES AND STOCKHOLDER'S EQUITY

CURRENT LIABILITIES:

Current maturities of long-term debt	\$ 43,148
Accounts payable and other accrued expenses	3,667,274
Billings in excess of costs and estimated earnings on uncompleted contracts	587,220
Income taxes payable - current	<u>29,352</u>

Total Current Liabilities	<u>4,326,994</u>
---------------------------	------------------

LONG-TERM LIABILITIES:

Long-term debt, less current maturities	<u>93,683</u>
---	---------------

COMMITMENTS AND CONTINGENCIES

STOCKHOLDER'S EQUITY:

Common stock	1,000
Retained earnings	<u>5,443,324</u>

Total Stockholder's Equity	<u>5,444,324</u>
----------------------------	------------------

\$ 9,865,001

See independent accountants' review report and notes to combined financial statements.

PHILIP ROSS INDUSTRIES, INC. AND AFFILIATES  
COMBINED STATEMENT OF INCOME AND RETAINED EARNINGS  
FOR THE SIX MONTHS ENDED JUNE 30, 2015

CONTRACT REVENUES	\$ 10,112,521
CONTRACT COSTS	<u>8,250,392</u>
GROSS PROFIT	1,862,129
GENERAL AND ADMINISTRATIVE EXPENSES	<u>683,469</u>
INCOME FROM OPERATIONS	<u>1,178,660</u>
OTHER INCOME (EXPENSE):	
Interest and dividend income	2,532
Interest expense	(6,771)
Miscellaneous income	<u>35</u>
Total Other Expense	<u>(4,204)</u>
INCOME BEFORE PROVISION FOR INCOME TAXES	1,174,456
PROVISION FOR INCOME TAXES	<u>54,000</u>
NET INCOME	1,120,456
RETAINED EARNINGS, BEGINNING OF PERIOD	<u>4,322,868</u>
RETAINED EARNINGS, END OF PERIOD	<u>\$ 5,443,324</u>

See independent accountants' review report and notes to combined financial statements.

Company Dvirka & Bartilucci

Contact Person Bill Merklin, Exec. VP

Address 330 Crossways Park Dr.

City/State Woodbury NY

Telephone 516-364-9880

Fax #

E-Mail Address bmerklin@db-eng.com

---

Company Cameron Associates

Contact Person Mark Wagner, VP

Address 100 Sunnyside Blvd.

City/State Syosset, NY

Telephone 516-827-4900

Fax #

E-Mail Address mwagner@cameronengineering.com

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered and the answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID/ PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Philip Carlucci

Date of birth 4 / 4 / 4

Home address [REDACTED]

City/state/zip [REDACTED]

Business address 200 Long Island Ave.

City/state/zip Wyandanch, NY

Telephone 631-253-3077

Other present address(es) \_\_\_\_\_

City/state/zip \_\_\_\_\_

Telephone \_\_\_\_\_

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President 6 / 24 / 84 Treasurer \_\_\_\_\_

Chairman of Board \_\_\_\_\_ Shareholder \_\_\_\_\_

Chief Exec. Officer \_\_\_\_\_ Secretary 6 / 24 / 84

Chief Financial Officer \_\_\_\_\_ Partner \_\_\_\_\_

Vice President \_\_\_\_\_

(Other) \_\_\_\_\_

3. Do you have an equity interest in the business submitting the questionnaire?

NO \_\_\_\_ YES X If Yes, provide details. 100% ownership

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? NO X YES \_\_\_\_ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? NO X YES \_\_\_\_; If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? NO \_\_\_\_ YES \_\_\_\_ If Yes, provide details.

not applicable

9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? NO X YES \_\_\_\_ If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? NO X YES \_\_\_\_ If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? NO X YES \_\_\_\_ If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? NO X YES \_\_\_\_ If Yes, provide details for each such year.

# QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 31
2. How many years experience in the construction work of a similar type as this contract has your firm had;
  - a. as a Prime Contractor 31
  - b. as a Subcontractor \_\_\_\_\_
3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

see attached list

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

see attached list

(use additional blank sheets if additional space is necessary)

5. Have you:
  - a. ever failed to complete any work awarded to You? No  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

- b. ever been defaulted on a contract? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

c. ever been declared a non-responsible bidder by any municipality or public agency? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

- d. that has ever been barred from bidding municipal or public contracts? No  
If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No  
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

11. What is the construction experience of the principal individuals



**Philip Ross Industries, Inc.**  
**200 Long Island Avenue**  
**Wyandanch, NY 11798**  
**(631) 253-3077**

**CERTIFIED NY STATE DEPT OF ENVIRONMENTAL CONSERVATION**  
**WELL DRILLER SINCE 1997 (LICENSE # 1776)**

### **PROJECT REFERENCES**

<b>PROJECT:</b>	Improvements to Waste Water Pollution Plant
<b>LOCATION:</b>	Greenport
<b>OWNER:</b>	Inc. Village of Greenport
<b>CONTACT:</b>	Rich Hults (516) 364-9880
<b>VALUE:</b>	\$6,180,000
<b>COMPLETED:</b>	Completed 8/12
<b>DESCRIPTION:</b>	Dewater existing sludge facilities; install concrete Tanks; BNR system; new screening facilities; Ultra-Violet light disinfection systems; sludge holding tanks; aerobic holding tank; new clarifier internals.
<b>PROJECT:</b>	VOC Treatment at Plant 1
<b>LOCATION:</b>	South Farmingdale, NY
<b>OWNER:</b>	South Farmingdale Water District
<b>CONTACT:</b>	Rich Humann (631) 756-8000
<b>VALUE:</b>	\$6,747,000
<b>COMPLETION:</b>	Completed 7/11
<b>DESCRIPTION:</b>	Site work; removals; cast in place concrete; new VOC & Iron treatment bldg; air stripper & iron removal vessels; Mechanical piping; blowers & pumps; HVAC; metals.
<b>PROJECT:</b>	Packed Tower Aeration System
<b>LOCATION:</b>	Community Drive
<b>OWNER:</b>	Water Authority of Great Neck North
<b>CONTACT:</b>	Bill Merklin (516) 364-9880
<b>VALUE:</b>	\$4,578,000
<b>COMPLETED:</b>	Completed 6/13
<b>DESCRIPTION:</b>	Install packed aeration system; well pumps; piping; Control systems; site work; chemical equipment; asphalt; Sodium hypochlorite system; electrical.

PROJECT: Construction of Locust Valley Sewer Pump Station  
LOCATION: Locust Valley  
OWNER: Nassau County  
CONTACT: Phil Campisi (516) 579-5856  
VALUE: \$1,695,000  
COMPLETED: Completed 6/11  
DESCRIPTION: Site clearing; construction pump bldg; comminutor  
& pumps; piping; wet well; fuel tank; odor control;  
Flow elements; electrical; HVAC; plumbing & start up

PROJECT: Digester Cover Gas Valve Improvements  
LOCATION: Cedar Creek Water Pollution Plant  
OWNER: Nassau County  
CONTACT: Bob Petersen (516) 571-7389  
VALUE: \$440,000  
COMPLETED: Completed 6/11  
DESCRIPTION: Replace valves & digester plugs in 9 digesters;  
Repair Lightening Protection systems; replace  
Stair assemblies as needed.

PROJECT: Granular Activated Carbon System  
LOCATION: Plant 7- Contract G & P  
OWNER: So Huntington water District  
CONTACT: Rich Humann (631) 756-8000  
VALUE: \$2,638,000  
COMPLETED: Completed 1/14  
DESCRIPTION: Removals; construction pump station bldg.; install  
GAC treatment facility; fuel tank; chemical treatment  
System; disinfection; GAC vessels; piping

PROJECT: Nitrate Removal at Plant 8  
LOCATION: Hicksville  
OWNER: Hicksville Water District  
CONTACT: Rich Humann (631) 756-8000  
VALUE: \$2,405,000  
COMPLETED: Completed 4/10  
DESCRIPTION: Site Clearing; Fencing; Landscaping; Concrete; Masonry;  
Carpentry; Waterproofing; Painting; Airstripping System;  
Chemical Monitoring; Mechanical Piping

PROJECT: Nitrate Removal System  
LOCATION: Well 4  
OWNER: Town of North Hempstead & the Pt Washington water Dis.  
CONTACT: Bill Merklin (516) 364-9880  
VALUE: \$1,404,000  
COMPLETED: Completed 3/13  
DESCRIPTION: Construction of nitrate system bldg.; paving; replace diesel Engine; concrete; solenoid valves; GAC vessel coating;pumps

PROJECT: VOC Removal at Plant 4  
LOCATION: East Farmingdale, NY  
OWNER: Town of Babylon  
CONTACT: Rich Humann (631) 756-8000  
VALUE: \$2,051,000  
COMPLETION: Completed 4/11  
DESCRIPTION: Removals; new treatment bldg; mechanicals for New bldg; HVAC system; new chemical treatment System; site work; air stripping tower & assoc. equip

PROJECT: GAC Filter at Plant 15  
LOCATION: South Huntington  
OWNER: South Huntington Water District  
CONTACT: Rich Humann (631) 756-8000  
VALUE: \$2,045,608  
COMPLETED: Completed 2/10  
DESCRIPTION: Site work; Concrete; Masonry; Stairs; Carpentry; Waterproofing; Modular Absorption System; Caustic; Chemical Monitoring Equip; Mechanical Piping; Electric.

PROJECT: GAC Treatment at Well 7  
LOCATION: Roosevelt Field Water District  
OWNER: Town of Hempstead  
CONTACT: Bill Merklin (516) 364-9880  
VALUE: \$1,186,000  
COMPLETION: Completed 4/11  
DESCRIPTION: Replace well pump; construct new carbon treatment bldg; All mechanical piping & fittings; HVAC; new carbon absorption system.

PROJECT: VOC Removal at Plant 11  
LOCATION: Greenlawn  
OWNER: Greenlawn Water District  
CONTACT: Rich Humann (631) 756-8000

VALUE: \$1,197,000  
COMPLETED: Completed 9/09  
DESCRIPTION: Site clearing; Concrete; Masonry; Metals; Carpentry;  
Modular adsorption system; Mechanical; Electric.

PROJECT: Airstripping for VOC Removal at Well 1&2  
LOCATION: Franklin Square  
OWNER: Franklin Square Water District  
CONTACT: Rich Humann (631)- 756-8000  
VALUE: \$1,347,000  
COMPLETED: Completed 8/09  
DESCRIPTION: Site Clearing; excavation; paving; concrete; site  
Water lines; disinfection; leaching pool; landscaping;  
Floor coating; turbine pumps; air stripping tower; piping.

PROJECT: GAC Treatment for VOC Removal at Well 7  
LOCATION: Garden City, NY  
OWNER: Inc Vlg of Garden City  
CONTACT: Rich Humann (631) 756-8000  
VALUE: \$592,345  
COMPLETED: 11/08  
DESCRIPTION: Site clearing; site water lines; fencing; seeding; concrete;  
Structural steel; metals; water treatment system; carbon;  
Piping; modular absorption system; painting.

PROJECT: GAC Treatment for VOC Removal at Plant 11  
LOCATION: Hicksville, NY  
OWNER: Hicksville Water District  
CONTACT: Thomas Carrahill (631) 756-8000  
VALUE: \$560,000  
COMPLETED: 10/08  
DESCRIPTION: Site clearing; trenching; concrete; fencing; structural steel;  
Modular absorption system; carbon; piping; valves

PROJECT: Swimming Pool Rehabilitation  
LOCATION: Salisbury Ave.  
OWNER: Inc. Village of Stewart Manor  
CONTACT: Bill Merklin (516) 364-9880  
VALUE: \$1,378,075  
COMPLETED: 6/08  
DESCRIPTION: Replace filter bldg; concrete decks; pool gutter;  
Landscaping; retaining wall; piping; paving; pool liner;  
Refreshment stand; drainage structures; ventilation.

PROJECT: Packed Tower Aeration System at Well 12  
LOCATION: Corporate Dr., Westbury  
OWNER: Town of Hempstead & Roosevelt Field Water District  
CONTACT: Bill Merklin (516) 364-9880  
VALUE: \$1,402,236  
COMPLETED: 11/07  
DESCRIPTION: Modify existing pump; construct new aeration bldg;  
Install packed tower; pumps & blowers; electrical;  
Control system; ventilation system.

PROJECT: Perchlorate Removal at Well BDG-1  
LOCATION: Plainview Rd  
OWNER: Bethpage Water District  
CONTACT: Rich Humann (631) 756-8000  
VALUE: \$1,178,000  
COMPLETED: 8/08  
DESCRIPTION: Well head treatment; Site work; Concrete; Masonry;  
Waterproofing; Modular Iron Exchange System; Piping

PROJECT: Packed Aeration System at Well 2A  
LOCATION: Old Farm Rd  
OWNER: Town of Hempstead & Levittown Water District  
CONTACT: Bill Merklin (516) 364-9880  
VALUE: \$1,100,000  
COMPLETED: 12/05  
DESCRIPTION: Replace well pump& motor; build aeration bldg &  
clearwell; install packed tower; piping; booster pump;  
Ventilation equipment.

PROJECT: Airstripping for VOC removal at Plant 2  
LOCATION: Whitson Rd  
OWNER: Plainview Water District  
CONTACT: Tony Trombino (631) 756-8600  
VALUE: \$2,300,000  
COMPLETED: 5/07  
DESCRIPTION: Install air stripping tower; grading; piping; precast concrete;  
Fencing; landscaping; masonry; concrete; carpentry; metal  
work; vertical turbine pumps; HVAC; backflow prevention.

PROJECT: Airstripping at Plant #10  
LOCATION: Whitson Road  
OWNER: So Huntington water District  
CONTACT: Tony Trombino (631) 756-8000  
VALUE: \$1,749,000  
COMPLETED: 6/05

DESCRIPTION: Excavation; booster pumps; piping; mechanical;  
Chemical storage systems; air stripping system.

PROJECT: Construction of Wells 11 &12  
LOCATION: Corporate Dr-Westbury  
OWNER: Roosevelt Field Water District/  
Town of Hempstead

CONTACT: Bill Merklin (516) 364-9880

VALUE: \$1,770,000

COMPLETED: 6/05

DESCRIPTION: Carpentry; roofing; foundations; piping & pumping  
Equipment; chemical treatment equipment; electrical;  
Disinfection.

PROJECT: Olympic Pool Rehab  
LOCATION: Hendrickson Park  
OWNER: Inc. Village of Valley Stream  
CONTACT: Bill Merklin (516) 364-9880

VALUE: \$1,550,000

COMPLETED: 6/04

DESCRIPTION: Removal of all existing filtration system & swimming  
pool; Install new swimming pool, decking, lighting,  
Filtration system; drainage & swimming pool accessories.

Individual's Name	of your firm? Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Philip Carlucci	Pres	30+	All phases	Pres.

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	---	---------------------	---------------------

see attached list

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

Not applicable

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?  
Explain in detail.

Per plans & specs

PHILIP ROSS INDUSTRIES, INC.  
200 LONG ISLAND AVE  
WYANDANCH, NY 11798

Quantity	Make	Model	Equipment	Serial #
1	Hitachi	EX200LC	Hydraulic Excavator	14767886
1	Hitachi	EX50	Hydraulic Excavator	1BUP240227
1	Ideco	MES2500	Hydraulic Breaker	1157
1	JCB	1700B	Backhoe	333427
1	'87 Ford	555B	Backhoe	C773880
1	Ditch Witch	6510	Trencher	430807
1	Godwin	WP200	8" Well Point Pump	91488870
1	Godwin	WP200	8" Well Point Pump	90572275
1	Vermeer	88	Trencher	
1			Forklift	
1	Skidsteer	960	Loader	563128N
5			Office Trailers	
1	Grove		18 Ton Iron Crane	69708RT418
1	Cat	DC3XL	Dozer Crawler	4TS1013
1	Volvo	L120C	Loader	10924

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

Per plans & specs

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

Philip Carlucci

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
GL	Harleysville	2 million	11/14 -11/15
Workers Comp	State Ins Fund	statutory	11/14 -11/15
Auto	Harleysville	1 million	11/14 -11/15
Umbrella	AIG	20 million	11/14-11/15

**Philip Carlucci**  
6 Northcote Dr  
Melville, NY 11747

**Employment:**

1984 – Present      Philip Ross Industries, Inc., Wyandanch, NY- President and Project Manager

**Experience:**

- Sole owner responsible for all phases and departments of company
- Supervisor of all field and administrative personnel
- Project management and coordination.
- Oversight of bids and estimating department in order to continually improve win ratios.
- Sales meetings and making presentations to current and potential customers.
- Oversight of billing and accounting department.
- Field engineering & interpretation engineering blueprints & specifications to identify and describe work scope changes.
- Setting the profit margin for the project and responsible to track job progress, schedule and material costs.
- Attend project meetings with the owners and engineers continually communicating with the various parties and reacting to their needs in a timely manner.
- Oversight of implementation of new technologies and software both in the office and in the field.
- Supervision of all project managers and subcontractor relationships.

**Skill Areas:**


- Excellent communication skills, both verbal and written.
- Proven engineering/project management skills.
- Ability to identify, prioritize, delegate and conduct appropriate follow up on all variations of project phases.
- Strong planning & organizational skills.
- OSHA oversight and compliance.

- Identify project costs and devise creative alternate solutions/options to common industry obstacles with saved the company time and money.
- Developed relationships with qualified, reliable & competitive subcontractors and vendors.

**Education:**

State University of NY- Farmingdale Campus  
Associates in Business Administration- 1983

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "Qualifications and Responsibility of Bidders" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

A handwritten signature in dark ink, appearing to be 'J. H. L.', is written in the center of the page.

NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

Philip Carlucci Being duly sworn, deposes and says:  
That he resides at \_\_\_\_\_ Street,  
in the City of Melville NY that he is the Pres. of

the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he signed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true. Subscribed and sworn to before me this 27 day of October, 2015.

STEPHANIE SÉLIX KELLERMAN  
NOTARY PUBLIC-STATE OF NEW YORK  
No. 018E4978492

Qualified in Suffolk County

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says:  
That he is a member of \_\_\_\_\_  
the firm described in and which executed the foregoing bid; that he duly subscribed the name of the firm hereunto on behalf of the firm; and that the several matters therein stated are in all respects true. Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary

(Form of Affidavit where Bidder is a individual)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says:  
That he is the person described in and who executed the foregoing bid and that the several matters therein stated are in all respects true. Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
Lower Tier Covered Transactions  
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Philip Carlucci, President

Name and Title of Authorized Representative

m/d/yy

Signature

10/27/15

Date

Philip Ross Industries, Inc.

Name of Organization

200 Long Island Ave, Wyandanch NY 11798

Address of Organization

U.S. DEPT. OF JUSTICE OJP FORM 4061/1 (REV. 2/89) Previous editions are obsolete



OMB Control No: 2090-0030  
Approved: 8/13/2013  
Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Performance Form

This form is intended to capture the DBE<sup>1</sup> subcontractor's<sup>2</sup> description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractor's bid or proposal package.

Subcontractor Name <i>MACE Contracting Corp</i>		Project Name <i>Wumpstonia Repair</i>	
Bid/ Proposal No. <i>539311-06 G</i>	Assistance Agreement ID No. (if known)	Point of Contact	
Address <i>35 Main Road, New Rochelle NY</i>			
Telephone No.		Email Address	
Prime Contractor Name <i>Phil Gross Industries Inc</i>		Issuing/Funding Entity: <i>NASSAU COUNTY</i>	

Contract Item Number	Description of Work Submitted to the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
	<i>PLUMBING</i>	<i>\$550,000</i>
	<i>HVAC odor control</i>	<i>\$150,000</i>
DBE Certified By: <input type="checkbox"/> DOT <input type="checkbox"/> SBA		Meets/ exceeds EPA certification standards?
<input type="checkbox"/> Other: _____		<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> Unknown

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 93.204-93.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 93.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Performance Form**

I certify under penalty of perjury that the foregoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
<i>[Signature]</i>	Philip Canlucci
Title	Date
pres	10/27/15

Subcontractor Signature	Print Name
<i>[Signature]</i>	VLADIMIR BLAISE
Title	Date
PRESIDENT	10/26/2015

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.



OMB Control No: 2090-0030  
Approved: 8/13/2013  
Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Performance Form

This form is intended to capture the DBE<sup>1</sup> subcontractor's<sup>2</sup> description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractors bid or proposal package.

Subcontractor Name <i>Pann Construction Corp</i>		Project Name <i>PUMP STATION REPAIR</i>	
Bid/Proposal No. <i>SBP311-066</i>	Assistance Agreement ID No. (if known)	Point of Contact	
Address <i>870 SYLVAN AVE, BAYPORT, NY</i>			
Telephone No. <i>631-419-1801</i>		Email Address	
Prime Contractor Name <i>WILLIAMS INDUSTRIES INC</i>		Issuing/Funding Entity: <i>NASSAU CTX</i>	

Contract Item Number	Description of Work Submitted to the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
	<i>EXCAVATION &amp; REMOVALS</i>	<i>450,000</i>
DBE Certified By: <input type="checkbox"/> DOT <input type="checkbox"/> SBA		Meets/ exceeds EPA certification standards?
<input checked="" type="checkbox"/> Other: <i>LYS BARNY &amp; EMPIRE STATE SUPERIOR MASSILL QUALITY</i>		<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> Unknown

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

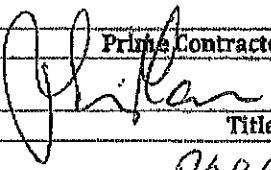
<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.




OMB Control No: 2090-0030  
Approved: 8/13/2013  
Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Performance Form

I certify under penalty of perjury that the foregoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

 Philip Carlucci Title pres	 Print Name Philip Carlucci Date 10/27/15
---	---

 Maureen Cannetti Title Pres.	 Print Name Maureen Cannetti Date 10/26/15
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The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE<sup>1</sup> subcontractors<sup>2</sup> and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name <i>Phil Ross Industries</i>		Project Name <i>PUMP STATION REPAIR</i>	
Bid/Proposal No. <i>53P311-066</i>	Assistance Agreement ID No. (if known)		Point of Contact
Address <i>200 LONG ISLAND AVE WYANDANCH NY</i>			
Telephone No. <i>031 253-3077</i>		Email Address	
Issuing/Funding Entity: <i>NASSAU COUNTY</i>			

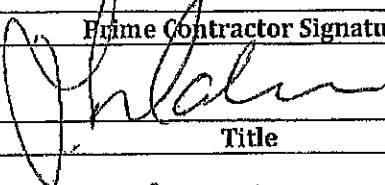
I have identified potential DBE certified subcontractors	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
<i>MACE CONTRACTING</i>	<i>35 PORTMAN RD NEW ROCHELLE, NY</i>	<i>\$700,000</i>	<i>yes. (MBE)</i>
<i>DANA CONSTRUCTION</i>	<i>870 SYLVAN AVE BAYPORT, NY</i>	<i>\$50,000</i>	<i>yes (WBE)</i>
Continue on back if needed			

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

<b>Prime Contractor Signature</b>	<b>Print Name</b>
	Philip Conlucci
<b>Title</b>	<b>Date</b>
pres	10/27/15

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

**AGREEMENT TO ABIDE BY EQUAL EMPLOYMENT OPPORTUNITY  
POLICY STATEMENT REQUIREMENTS  
NEW YORK STATE REVOLVING FUND (SRF)**

I, Philip Carlucci, am the authorized representative of Philip Ross Ind.  
Name of Representative Name of Contractor/Service Provider  
I hereby certify that Philip Ross Ind. will abide by the equal employment  
Name of Contractor/Service Provider  
opportunity (EEO) policy statement provisions outlined below.

- (i) A statement that the contractor will not discriminate on the basis of race, creed, color, national origin, sex, age, disability, or marital status against any employee or applicant for employment, will undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination and will make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on contracts relating to the Project.
- (ii) An agreement that all of contractor's solicitations or advertisements for employees will state that, in the performance of the contract relating to this Project, all qualified applicants will be afforded equal employment opportunities without discrimination on the basis of race, creed, color, national origin, sex, age, disability or marital status.
- (iii) An agreement to request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status and that such union or representative will affirmatively cooperate in the implementation of the contractor's obligations herein.
- (iv) An agreement to comply with the provisions of the Human Rights Law (Article 15 of the Executive Law), including those relating to non-discrimination on the basis of prior criminal conviction and prior arrest, and with all other State and federal statutory constitutional non-discrimination provisions.

Blank EEO Policy Statements are available at [www.efc.ny.gov/mwbe](http://www.efc.ny.gov/mwbe), if needed.

If contractor fails to submit to Recipient an EEO policy statement consistent with the provisions set forth above in clauses (i), (ii), (iii) and (iv) and within the timeframe required thereof, Recipient may declare this contract to be null and void.

  
\_\_\_\_\_  
Contractor/Service Provider Representative

Once completed, please provide to the Prime Contractor and/or the community MBO

**NIFA**

**Nassau County Interim Finance Authority**

**Contract Approval Request Form (As of January 1, 2015)**

1. Vendor: Philip Ross Industries, Inc.

2. Dollar amount requiring NIFA approval: \$ 6,200,000.00

Amount to be encumbered: \$ 6,200,000.00 *MA*

This is a ☒ New Contract ☐ Advisement ☐ Amendment

If new contract - \$ amount should be full amount of contract

If advisement - NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: 20 months

Has work or services on this contract commenced? ☐ Yes ☒ No

If yes, please explain: \_\_\_\_\_

4. Funding Source:

☐ General Fund (GEN)  
☒ Capital Improvement Fund (CAP)  
☐ Other

☒ Grant Fund (GRT)

Federal % 100  
State % \_\_\_\_\_  
County % \_\_\_\_\_

*All FEMA Funding*  
*MA*

Is the cash available for the full amount of the contract? ☐ Yes ☒ No

If not, will it require a future borrowing? ☐ Yes ☒ No

Has the County Legislature approved the borrowing? ☐ Yes ☐ No ☒ N/A

Has NIFA approved the borrowing for this contract? ☐ Yes ☐ No ☒ N/A

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

This construction contract provides for furnishing all labor, materials and equipment required for construction-related services under Contract No. S3P311-06G - Pump Station Repair and Mitigation - for Ocean Ave., Mill Rd., Woodmere Blvd. and Longacre Ave. Pump Stations. The Department has publicly bid the construction contract to maintain FEMA eligibility for the construction work. Work will include repair/rehabilitation, code compliance and flood mitigation measures to "harden" the stations against future storm surges, as incurred during Hurricane Sandy. This contract, in tandem with S3P311-07G, will proceed under the auspices of CM Contract No. S3P311-06M and is expected to have a construction duration of twenty (20) months.

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form ☒ Yes ☐ No ☐ N/A  
Nassau County Committee and/or Legislature ☒ Yes ☐ No ☐ N/A

Date of approval(s) and citation to the resolution where approval for this item was provided:

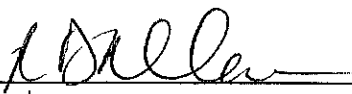
*B 02-16*

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

*Exceeds \$50 K.*

## AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

 1/4/16  
Signature Title Date

\_\_\_\_\_  
Print Name

## COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

\_\_\_\_\_ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

\_\_\_\_\_ I certify that the bonding for this contract has been approved by NIFA.

\_\_\_\_\_ Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

\_\_\_\_\_  
Signature Title Date

\_\_\_\_\_  
Print Name

## NIFA

Amount being approved by NIFA: \_\_\_\_\_

\_\_\_\_\_  
Signature Title Date

\_\_\_\_\_  
Print Name

**NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.**

**NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.**

**NIFA reserves the right to request additional information as needed.**

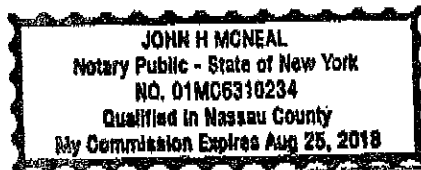
STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU )

On the 5<sup>th</sup> day of June in the year 2015 before me personally came Ellen T. Leonard to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is the Medical Director of Primal General of East Norwich, the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

*John H. McNeal*  
NOTARY PUBLIC



STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU )

On the 5<sup>th</sup> day of November in the year 2015 before me personally came Charles Ribando to me personally known, who, being duly sworn, did depose and said that (s)he resides in Nassau County; that (s)he is the County Executive or Chief Deputy County Executive or ✓ Deputy County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that (s)he signed his/her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

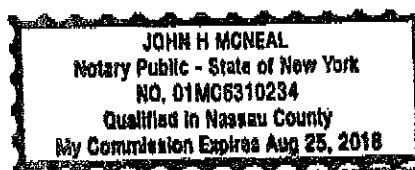
*Concetta A. Petrucci*  
NOTARY PUBLIC

*Concetta A. Petrucci*  
Notary Public - State of New York  
No. 01MC6310234  
Qualified in Nassau County  
My Commission Expires April 24, 2016

STATE OF NEW YORK)  
 )ss.:  
 COUNTY OF NASSAU )

On the 5<sup>th</sup> day of June in the year 2015 before me personally came Ellen T. Leberhardt to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is the Medical Director of Animal General of East Norwich, the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

*John H. McNeal*  
 NOTARY PUBLIC



STATE OF NEW YORK)  
 )ss.:  
 COUNTY OF NASSAU )

On the 5<sup>th</sup> day of November in the year 2015 before me personally came Charles Ribando to me personally known, who, being duly sworn, did depose and said that (s)he resides in Nassau County; that (s)he is the County Executive or Chief Deputy County Executive or Deputy County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that (s)he signed his/her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

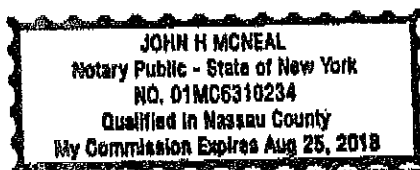
*Concetta A. Petrucci*  
 NOTARY PUBLIC

CONCETTA A. PETRUCCI  
 Notary Public - State of New York  
 No. 01MC6310234  
 Qualified in Nassau County  
 Commission Expires April 22, 2016

STATE OF NEW YORK)  
 )ss.:  
 COUNTY OF NASSAU)

On the 5<sup>th</sup> day of June in the year 2015 before me personally came Ellen T. Leberhardt to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is the Medical Director of Animal General of East Norwich, the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

*John H. McNeal*  
 NOTARY PUBLIC



STATE OF NEW YORK)  
 )ss.:  
 COUNTY OF NASSAU)

On the 5<sup>th</sup> day of November in the year 2015 before me personally came Charles Ribando to me personally known, who, being duly sworn, did depose and said that (s)he resides in Nassau County; that (s)he is the County Executive or Chief Deputy County Executive or Deputy County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that (s)he signed his/her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

*Concetta A. Petrucci*  
 NOTARY PUBLIC

*Concetta A. Petrucci*  
 Notary Public - State of New York  
 No. 01MC6310234  
 Qualified in Nassau County  
 My Commission Expires April 24, 2016