



B-20-17

Contract ID:H61587-55GR

Department: Public Works

Capital: X

SERVICE: Resurfacing - Phase 55

NIFS ID #:H61587-55GR NIFS Entry Date:

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	N
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Vendor Ownership & Mgmt. Disclosure Attached:	Y
5) Insurance Required	Y

Vendor Info:	
Name: Pratt Brothers, Inc.	Vendor ID#:
Address: 45 South Fourth St, Bay Shore, NY 11706	Contact Person: James Pratt
	Phone: 6316676800

Department:
Contact Name: August Eberling
Address: 1194 Prospect Ave, Westbury, NY 11590
Phone: 5165716944

Routing Slip

Department	NIFS Entry: X	01-AUG-17 -- APEREIRA
Department	NIFS Approval: X	01-AUG-17 -- KARNOLD
DPW	Capital Fund Approved: X	01-AUG-17 -- KARNOLD
OMB	NIFA Approval: X	01-AUG-17 -- RDALLEVA
OMB	NIFS Approval: X	01-AUG-17 -- MVOCATURA
County Atty.	Insurance Verification: X	01-AUG-17 -- DGRIPPO
County Atty.	Approval to Form: X	01-AUG-17 -- DGRIPPO
Dep. CE	Approval: X	02-AUG-17 -- CRIBANDO
Leg. Affairs	Approval/Review: X	01-AUG-17 -- FBECKER

Legislature	Approval:	
Comptroller	NIFS Approval:	
NIFA	NIFA Approval:	

Contract Summary

Purpose: It is proposed to improve various County roads in the Town of Hempstead. The work will include asphalt pavement removal, asphalt concrete overlay, removal and replacement of deteriorated pavement, repair of joints, replacement of pavement markings and traffic loops and other incidental work.

Method of Procurement: Open Competitive Bid

Procurement History: The bids received on 5/30/17 have been examined, the revised and re-evaluated Contract amount submitted by Pratt Brothers, Inc. received on 7/11/17 in the amount of \$4,348,565.00 was accepted as the lowest responsible bidder. The lower bid of Carlo Lizza & Sons Paving, Inc. was withdrawn from Nassau County legislature consideration because of the indictment of the company, alleging bribery and rewarding official misconduct. A total three firms bid on this contract and all were deemed to be local contractors.

Description of General Provisions: Unit price contract

Impact on Funding / Price Analysis: The bid for this contract of \$4,348,565.00 is below the engineer's estimate and is to come from the approved authorized funds from Project Number H61587-55GR

Change in Contract from Prior Procurement: N/A

Recommendation: (approve as submitted) Approve as submitted

Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
nd:	CAP	Revenue		01	PWCAPCAP/61587/	\$ 4,348,565.00
ntrol:	61	Contract:			00004	\$ 0.00
sp:	587	County	\$ 0.00			\$ 0.00
ject:	00004	Federal	\$ 0.00			\$ 0.00
nsaction:		State	\$ 0.00			\$ 0.00
ject #:	61587	Capital	\$ 4,348,565.00			\$ 0.00
tail:	055	Other	\$ 0.00			\$ 0.00
RENEWAL		TOTAL	\$ 4,348,565.00		TOTAL	\$ 4,348,565.00
% crease						
% crease						

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

RDALLEVA

01-AUG-17

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

RULES RESOLUTION NO. -2017

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND PRATT BROTHERS, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract H61587-55GR, for RESURFACING OF VARIOUS COUNTY ROADS IN THE TOWN OF HEMPSTEAD, PHASE 55, NASSAU COUNTY, NY ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of PRATT BROTHERS, INC. ["Vendor"] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 4,348,565.00, now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

George Maragos
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: Pratt Brothers, Inc.

CONTRACTOR ADDRESS: 45 South Fourth Street, Bay Shore NY 11706

FEDERAL TAX ID #:

Instructions: Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☑ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in Newsday [newspaper] on April 19, 2017 & May 22, 2017. [date]. The sealed bids were publicly opened on May 30, 2017 [date]. Four (4) [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; OR:
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

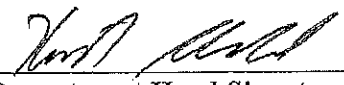
Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

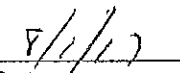
IX. ☒ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41*, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.



Department Head Signature



Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Exhibit A



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

Laura Curran

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Vendor: Pratt Brothers Inc.

Dated: July 18, 2017

Signed: 

Print Name: James J. Pratt, III

Title: President/Treasurer

Exhibit B



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

"None"

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

None

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

None

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

None

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

None

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

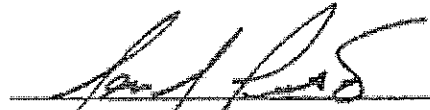
I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: July 20, 2017

Signed:



Print Name:

James J. Pratt, III

Title:

President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Edward P. McCoy, Jr.
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 45 South Fourth Street
City/state/zip Bay Shore, NY 11706
Telephone (631) 667-6800
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President ____/____/____ Treasurer ____/____/____
Chairman of Board ____/____/____ Shareholder ____/____/____
Chief Exec. Officer ____/____/____ Secretary ____/____/____
Chief Financial Officer ____/____/____ Partner ____/____/____
Vice President 01 / 01 / 2011 ____/____/____
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES ____ NO X If Yes, provide details.
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES ____ NO X If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES ____ NO X;
If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ____ NO X
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES ____ NO X If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ____ NO X If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ____ NO X If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ____ NO X If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.) No
- a) Is there any felony charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ____ NO X If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO X If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO X If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO X If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO X If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO X If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO X If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Edward P. McCoy, Jr., being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 18th day of July 2017

Carol A. Miller
Notary Public

CAROL A. MILLER
Notary Public, State of New York
No. 5002326
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Pratt Brothers Inc.
Name of submitting business

Edward P. McCoy, Jr.
Print name

[Signature]
Signature

Vice President
Title

7 / 18 / 2017
Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name James J. Pratt, III
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 45 South Fourth Street
City/state/zip Bay Shore, NY 11706
Telephone (631) 667-6800
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President 07 / 18 / 2002 Treasurer 07 / 18 / 2002
Chairman of Board _____ Shareholder 07 / 18 / 2002
Chief Exec. Officer _____ Secretary _____
Chief Financial Officer _____ Partner _____
Vice President _____
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES X NO ____ If Yes, provide details. 51%
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES X NO ____ If Yes, provide details.
As required by bank and bonding company.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES X NO ____;
If Yes, provide details. Scatt Materials Corp.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐
If Yes, provide details.

Asphalt pickup contracts

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.) No
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ☐ NO ☒ If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ☐ NO ☒ If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ☐ NO ☒ If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ☐ NO ☒ If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ☐ NO ☒ If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ☐ NO ☒ If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, James J. Pratt, III, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 18th day of July 2017

Carol A. Miller
Notary Public

CAROL A. MILLER
Notary Public, State of New York
No. 5002326
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Pratt Brothers Inc.
Name of submitting business

James J. Pratt, III
Print name

[Signature]
Signature

President/Treasurer
Title

7 / 18 / 2017
Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Joseph M. Williams, Jr., P.E.
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 45 South Fourth Street
City/state/zip Bay Shore, NY 11706
Telephone (631) 667-6800
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President ____/____/____ Treasurer ____/____/____
Chairman of Board ____/____/____ Shareholder ____/____/____
Chief Exec. Officer ____/____/____ Secretary ____/____/____
Chief Financial Officer ____/____/____ Partner ____/____/____
Vice President 07 / 18 / 2002 Sr. Vice President 01 / 01 / 2011
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES ____ NO X If Yes, provide details.
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES ____ NO X If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES ____ NO X;
If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ____ NO X
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES ____ NO X If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ____ NO X If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ____ NO X If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ____ NO X If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.) No
- a) Is there any felony charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ____ NO X If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO X If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO X If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO X If Yes, provide details for each such investigation.
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11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO X If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO X If Yes, provide details for each such year.

CERTIFICATION

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I, Joseph M. Williams, Jr., P.E., being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 18th day of July 2017

Carol A. Miller
Notary Public

CAROL A. MILLER
Notary Public, State of New York
No. 5002326
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Pratt Brothers Inc.

Name of submitting business

Joseph M. Williams, Jr., P.E.

Print name

[Signature]
Signature

Sr. Vice President

Title

7, 18, 2017
Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Thomas Pratt
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 45 South Fourth Street
City/state/zip Bay Shore, NY 11706
Telephone (631) 667-6800
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President ____/____/____ Treasurer ____/____/____
Chairman of Board ____/____/____ Shareholder 07 /18 /2002
Chief Exec. Officer ____/____/____ Secretary 07 /18 /2002
Chief Financial Officer ____/____/____ Partner ____/____/____
Vice President 07 / 18 /2002 ____/____/____
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES X NO ____ If Yes, provide details. 49%
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES X NO ____ If Yes, provide details.
As required by bank and bonding company.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES X NO ____:
If Yes, provide details. Scatt Materials Corp.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES X NO
If Yes, provide details.

Asphalt pickup contracts

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES NO X If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES NO X If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES NO X If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES NO X If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.) No
- a) Is there any felony charge pending against you? YES NO X If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES NO X If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES NO X If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES NO X If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES ☐ NO ☒ If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ☐ NO ☒ If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ☐ NO ☒ If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ☐ NO ☒ If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ☐ NO ☒ If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ☐ NO ☒ If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID/PROPOSAL OR FUTURE BIDS/PROPOSALS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Thomas Pratt, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 18th day of July 2017

Carol A. Miller
Notary Public

CAROL A. MILLER
Notary Public, State of New York
No. 5002928
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Pratt Brothers, Inc.
Name of submitting business

Thomas Pratt
Print name

Thomas Pratt
Signature

Vice President
Title

7 / 18 / 2017
Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: July 18, 2017

1) Proposer's Legal Name: Pratt Brothers Inc.

2) Address of Place of Business: 45 South Fourth Street, Bay Shore, NY 11706

List all other business addresses used within last five years:

3) Mailing Address (if different): _____

Phone : (631) 667-6800

Does the business own or rent its facilities? Rent

4) Dun and Bradstreet number: 176431117

5) Federal I.D. Number: [REDACTED]

6) The proposer is a (check one): ☐ Sole Proprietorship ☐ Partnership ☒ Corporation ☐ Other (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?

Yes ☒ No ☐ If Yes, please provide details: Share with Guy Pratt Inc.

8) Does this business control one or more other businesses? Yes ☐ No ☒ If Yes, please provide details: _____

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ___ No X If Yes, provide details. _____
- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ___ No X If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). _____
- 11) Has the proposer, during the past seven years, been declared bankrupt? Yes ___ No X If Yes, state date, court jurisdiction, amount of liabilities and amount of assets _____
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business. Yes ___ No X If Yes, provide details for each such investigation. _____
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ___ No X If Yes, provide details for each such investigation. _____
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
- a) Any felony charge pending? Yes ___ No X If Yes, provide details for each such charge. _____
- b) Any misdemeanor charge pending? Yes ___ No X If Yes, provide details for each such charge. _____
- c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes ___ No X

If Yes, provide details for each such conviction _____

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?
Yes ____ No X If Yes, provide details for each such conviction. _____

e) In the past 5 years, been found in violation of any administrative, statutory, or
regulatory provisions? Yes ____ No X If Yes, provide details for each such
occurrence. _____

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated
business had any sanction imposed as a result of judicial or administrative proceedings with
respect to any professional license held? Yes ____ No X; If Yes, provide details for
each such instance. _____

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to
pay any applicable federal, state or local taxes or other assessed charges, including but not
limited to water and sewer charges? Yes ____ No X If Yes, provide details for each
such year. Provide a detailed response to all questions checked 'YES'. If you need more
space, photocopy the appropriate page and attach it to the questionnaire. _____

Provide a detailed response to all questions checked "YES". If you need more space,
photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. **NOTE: If no
conflicts exist, please expressly state "No conflict exists."**

(i) Any material financial relationships that your firm or any firm employee has
that may create a conflict of interest or the appearance of a conflict of interest in
acting on behalf of Nassau County.

"No conflict exists"

(ii) Any family relationship that any employee of your firm has with any County
public servant that may create a conflict of interest or the appearance of a conflict
of interest in acting on behalf of Nassau County.

"No conflict exists"

(iii) Any other matter that your firm believes may create a conflict of interest or
the appearance of a conflict of interest in acting on behalf of Nassau County.

"No conflict exists"

b) Please describe any procedures your firm has, or would adopt, to assure the
County that a conflict of interest would not exist for your firm in the future.
**All current and future employees are required to disclose any
county conflicts.**

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; **July 18, 2002**
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner;
SEE ATTACHED QUALIFICATION STATEMENT, #11, Enc. 3, Pg. 1
- iii) Name, address and position of all officers and directors of the company;
SEE ATTACHED QUALIFICATION STATEMENT, #11, Enc. 3, Pg. 1
- iv) State of incorporation (if applicable); **New York**
- v) The number of employees in the firm; **45**
- vi) Annual revenue of firm; SEE ATTACHED QUALIFICATION STATEMENT, #18, Enc. 7
- vii) Summary of relevant accomplishments SEE ATTACHED QUALIFICATION STATEMENT, #3, Enc. 1, Pg. 1 & #4, Enc. 2, Pg. 1-5
- viii) Copies of all state and local licenses and permits. DOES NOT APPLY

- B. Indicate number of years in business. **15 Years**

- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.
- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Village of Garden City

Contact Person Domenick Stanco

Address 351 Stewart Avenue

City/State Garden City, NY 11530

Telephone (631) 465-4017/4027

Fax # (631) 742-5377

E-Mail Address dstanco@gardencityny.net

Company Village of Mineola

Contact Person Thomas Rini

Address 155 Washington Avenue

City/State Mineola, NY 11501

Telephone (516) 746-0753

Fax # (516) 746-0611

E-Mail Address _____

Company Suffolk County DPW

Contact Person Justin Hipperling

Address 335 Yaphank Avenue

City/State Yaphank, NY 11980

Telephone (631) 852-4006

Fax # (631) 852-4150

E-Mail Address justin.hipperling@suffolkcountyny.gov

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, James J. Pratt, III, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 18th day of July

2017

Carol A. Miller
Notary Public

CAROL A. MILLER
Notary Public, State of New York
No. 5002326
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Name of submitting business: Pratt Brothers Inc.

By: James J. Pratt, III
Print name

[Signature]
Signature

President/Treasurer
Title

7 / 18 / 2017
Date



PRATT BROTHERS, INC.
45 SOUTH FOURTH STREET
BAY SHORE, NY 11706
(631) 667-6800

QUALIFICATION STATEMENT

PRATT BROTHERS, INC.

AS OF

DECEMBER 31, 2016

PRATT BROTHERS, INC.



JAMES J PRATT, III, PRESIDENT/TREASURER

QUALIFICATION STATEMENT

1. How many years has your firm been in the business under your present business name?

15

2. How many years experience in the construction work of a similar type as this contract, has your firm had?

- a) as a Prime Contractor 15
b) as a Subcontractor 15

3. List below the construction projects your firm has under way as of this date.

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please See Enclosure 1

4. List the projects your firm as a firm has performed in the past few years which you feel will qualify you for this work.

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please See Enclosure 2

5. Have you:

- a) ever failed to complete any work awarded to you?

No

- b) ever been defaulted on a contract?

No

c) ever declared a non-responsible bidder by any municipality or public agency?

No

d) ever been barred from bidding municipal or public contracts?

No

6. Has any officer or partner or principal of your firm ever been an officer or partner or principal of some other firm:

a) that failed to complete a construction contract?

No

b) that has ever been defaulted on a contract?

No

c) that has ever been declared a non-responsible bidder by any municipality or public agency?

No

d) that has ever been barred from bidding municipal or public contracts?

No

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in this name?

No

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

Manufacturer of Asphalt Pavement Materials
Manufacturer of Asphalt Aggregate Materials

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and Type of Work	In What Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

Please See Enclosure 3

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected.

Item	Description, Size Capacity, Year, Etc.	Years of Service	Present Location
------	---	---------------------	---------------------

Please See Enclosure 4

14. In what manner have you inspected this proposed work? Explain in detail.

Complete Site Inspection
Complete plan take off of all quantities and materials

15. Explain your plan and lay-out for performing the proposed work.

To be submitted at the pre-construction meeting.

16. If a contract is awarded or a permit is issued to your firm, who will have the personal supervision of the work?

James J. Pratt, III

17. Insurance carried by your firm.

Please See Enclosure 5

18. Current financial statement.

Please See Enclosure 7

19. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "**Qualifications and Responsibility of Bidders**" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

NOTE: The bids shall be sworn to by the person signing them, in the following form:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK)
) ss.:
COUNTY OF SUFFOLK)

James J. Pratt, III being duly sworn, deposes and says:
that he resides [REDACTED] in the City of [REDACTED]
New York that he is the President/Treasurer of Pratt Brothers, Inc.
the corporation described in and which executed the foregoing
instrument; that he knows the seal of said corporation; that the seal affixed
to the said instrument is such corporate seal and was affixed by order of
the Board of Directors of said corporation; that he signed his name
thereto by like order; and that he has knowledge of the several matters
therein stated and they are in all respects true.

Subscribed and sworn to before me this 18th day of July, 2017

Carol A. Miller
Notary

CAROL A. MILLER
Notary Public, State of New York
No. 5002328
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Pratt Brothers, Inc.

ENCLOSURE 1

Current Ongoing Projects

CURRENT ONGOING PROJECTS
As of December 31, 2016

JOB NO.	AGENCY/OWNER	CONTRACT NAME/NUMBER	PRIME/ SUB	DESIGN ENGINEER/ ARCHITECT	TOTAL AMOUNT CONTRACT	% SUB	UNCOMPLETED AMOUNT	% INCOMPLETE
14-408	Southside Hospital Dubner Landscaping	Southside Hospital Emergency Dept. Exp. Paving	Sub			0%		19%
15-221	Green Acres Adjacent LLC Aurora Contractors	Green Acres Commons Valley Stream	Prime			10%		1%
15-243	Green Acres Adjacent LLC Aurora Contractors	Green Acres Commons Valley Stream Paving	Prime			7%		10%
15-261	Avalon Bay Communities	Avalon at Great Neck Site Work	Sub			7%		20%
16-044	Optical Communications Group Town of Hempstead	LIRR Directional Bore Westbury	Prime			50%		98%
16-048	Avalon Bay Communities	Avalon Rockville Centre II Sitework	Sub			5%		4%
16-034	Schulmann Industries Inc.	1933 & 1963 Union Blvd. Sitework	Sub			40%		80%
16-286	Rose Breslin Assoc. LLC c/o AVR Realty	Common Area 2 Sitework The Meadows at Yaphank	Prime			0%		64%
17-048	We're Associates	4 Ohio Drive Lake Success	Prime			0%		100%
17-023	Northville Industries	Port Jefferson Repair	Prime			0%		100%

ENCLOSURE 2

Major Completed Projects

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH/ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
07-135 Southern State Parkway Suffolk County D260416	New York State DOT			9/15/2009	94%	New York State DOT
08-290 County Road 16 Reconstruction, Ronkonkoma No. 5511	Suffolk County DPW			7/31/2011	64%	Suffolk County DPW
09-077 Milling Requirements - Queens HW2CR09C	City of New York DDC	City of New York DDC		4/30/2011	81%	City of New York
10-268 Culvert Replacement on Valley Stream Road	Village of Valley Stream	Village of Valley Stream	\$	12/31/2011	63%	Village of Valley Stream
10-208 Milling Requirements - Queens HW2CR11C	City of New York DDC	City of New York DDC		8/31/2011	70%	City of New York
10-300 CR19 Patchogue Holbrook Rd Traffic Calming 3302/5014	Suffolk County DPW	Suffolk County DPW		11/15/2011	66%	Suffolk County DPW
11-289 Sunset Plaza Shopping Center				12/31/2011	100%	Dear Park Associates LLC
09-155 Calverton National Cemetery Expansion 805CM2036	Calverton National Cemetery GC&P Faboon			12/31/2011	66%	
11-011 Sysco Warehouse Central Islip	Sysco Food Services			6/30/2012	85%	
11-059 CR31 & 104 Intersection Reconstr. Hampton Bays CP5572	Suffolk County DPW	Suffolk County DPW In-House		2/17/2012	71%	Suffolk County DPW
11-093 Village of Port Jefferson 2011 Road Improvements	Village of Port Jefferson			7/15/2012	77%	
11-413 Solar Array Project @ Cohatan Court Complex Central Islip	Enxco Development Co.	Enxco Development Co.		12/31/2012	100%	
11-285 Milling Requirements - Queens HW2CR12C	City of New York DDC	City of New York DDC		9/30/2012	88%	

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH/JENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
11-335 Solar Array Project @ Riverhead County Complex	[REDACTED]	[REDACTED]	\$ [REDACTED]	12/31/2012	93%	[REDACTED]
12-104 New Entrance Roadway For School of Medicine	Hofstra University	[REDACTED]	[REDACTED]	9/30/2012	60%	[REDACTED]
12-174 Home Depot Parking Lot Commack	Home Depot	Home Depot	[REDACTED]	12/31/2012	100%	[REDACTED]
09-239 Nassau County DPW Highway & Drainage Req. H66302S	Nassau County DPW	Nassau County DPW	[REDACTED]	12/31/2011	85%	Nassau County DPW
11-359 Suffolk County DPW Storm Water Pollution Remediation Phase 3 CP8239	Suffolk County DPW	Suffolk County DPW In-House	[REDACTED]	12/16/2013	90%	Suffolk County DPW
11-393 Suffolk County DPW Wicks Road Improvement CP5539	Suffolk County DPW	Nelson & Pope	[REDACTED]	12/31/2013	66%	Suffolk County DPW
12-014 Suffolk County DPW CR39 North Road Improvements CP5528	Suffolk County DPW	Suffolk County DPW In-House	[REDACTED]	12/31/2013	77%	Suffolk County DPW
12-018 Canon Headquarters Phase II - Sitework	Canon USA	Turner Construction	[REDACTED]	11/30/2013	56%	Turner Construction
12-158 Mall at Bay Plaza Site Work - Bronx, NY	Prestige Properties & Dev.	[REDACTED]	[REDACTED]	11/30/2013	98%	[REDACTED]
12-166 Home Depot Parking Lot Long Island City-Milling/Paving	Home Depot	Home Depot	[REDACTED]	12/31/2013	100%	[REDACTED]
13-033 Sheridan Blvd. Drainage Mineola H6005001G	Nassau County DPW	Nassau County DPW	[REDACTED]	12/31/2013	98%	[REDACTED]
12-426 Reconstruction of Sound Avenue, CR48 Greenport - 5526	Suffolk County DPW	Suffolk County DPW In-House	[REDACTED]	12/31/2014	86%	[REDACTED]

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
13-055 Loves of Comack Site Work	Aurora Contractors			12/31/2014	80%	
13-049 Clearspan Building Project	Brookhaven Rail Terminal	Brookhaven Rail Terminal		12/31/2014	65%	
13-089 Foremain and Pump Station - Huntington Sta.	Avalon Bay Communities	Nelson & Pope		12/31/2014	30%	
13-183 Asphalt Paving Huntington Station	Avalon Bay Communities	Nelson & Pope		6/30/2014	95%	
13-219 Stony Brook Medical Center East Parking Field Paving	State of New York Dormitory Authority	H2M Architects & Engineers		12/31/2014	100%	
13-261 Museum of American Armor Site Work - Old Bethpage	Museum of American Armor BDG Construction	BDG Construction Corp		8/29/2014	78%	
13-283 2013 Road Improvements Nassau Avenue	Village of Freeport	Village of Freeport In-House		12/31/2014	73%	
14-306 Green Acres Mall Valley Stream - Parking Field Pavement & Rehab	Green Acres Mall Opal Construction	Savik & Murray LLP		12/31/2014	95%	
13-035 Reconstruction of Rte 347 & 112 D262168	New York State DOT Scalamandre	New York State DOT		12/31/2015	98%	
13-297 Village of Mineola 2013 Road Improvements	Village of Mineola	Dvirka & Barilucci		12/31/2015	85%	
14-034 Bridge Rehabilitation Various Locations	Suffolk County DPW	Suffolk County DPW		12/31/2015	75%	
14-084 Lake Success Redevelopment 1 Dakota Drive	We're Associates, Inc.	We're Associates Inc.		7/27/2015	55%	
14-220 2014 Road Improvements	Village of Garden City	Village of Garden City In-House		12/31/2015	70%	

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
14-294 Ritz Carlton Residences Off Site Sanitary Sewer Connections SLRC1000018	LRC Construction LLC	H2M Architects Engs		7/27/2015	61%	
15-241 3&5 Dakota Parking Lots	We're Associates Inc.	We're Associates Inc.		12/31/2015	85%	
14-132 The Meadows at Yaphank Yaphank Woods Blvd. Road Improvements	Rose Breslin Assoc. LLC c/o AVR Realty			4/30/2016	100%	
14-142 Stony Brook University Toll Drive Residence Phase I	Aurora Contractors Inc. State University of New York at Stony Brook			12/31/2016	87%	
14-378 Stony Brook University Toll Drive Residence Phase II	Aurora Contractors Inc. State University of New York at Stony Brook			12/31/2016	70%	
15-017 Dormitories #A04B007 Stewwork	NYRA Belmont EW Howell Travis Salvo			9/28/2016	87%	
15-107 William Floyd Parkway Yaphank Woods Blvd.	Rose Breslin Assoc. LLC c/o AVR Realty			12/31/2016	76%	
15-149 Leavenworth Solar Farm Shoreham	Borrego Solar Systems Inc Eldor Contracting Corp. Keith Feldman			9/28/2016	88%	
15-315 2015 Road Improvements	Village of Floral Park Valente Contracting Corp.			12/31/2016	95%	
15-305 The Meadows at Yaphank Yaphank Woods Blvd. Phase 1A Sitework	Rose Breslin Assoc. LLC c/o AVR Realty			12/31/2016	100%	
15-181 Lake Success Redevelopment 3 Dakota - P Lot & Ramp	We're Associates Inc. Philp Arnold (516) 931-5322			4/30/2016	65%	
15-255 2015 Road Improvements	Village of Mineola Valente Contracting Corp.			9/28/2016	100%	
16-130 LIE Welcome Center Dix Hills	NYSDOT P. Scalamandre & Sons			11/30/2016	100%	

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
16-152 2016 Road Improvements	Village of New Hyde Park	[REDACTED]	[REDACTED]	12/31/2016	77%	[REDACTED]
16-218 2016 Road Improvements	Village of Garden City	Village of Garden City In-House	[REDACTED]	12/31/2016	65%	[REDACTED]
16-308 2016 Road Improvements	Village of Mineola Valente Contracting Corp.	[REDACTED]	[REDACTED]	12/31/2016	100%	[REDACTED]

ENCLOSURE 3

Construction Experience of the Principals

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL

As of December 31, 2016

Principal's Name	Title	Years of Construction Experience	With Whom & In What Capacity
James J. Pratt, III*	President/Treasurer	36	Pratt Brothers, Inc. President/Treasurer
	Vice President/Treasurer General Superintendent Safety Officer		Guy Pratt, Inc. Vice President/Treasurer General Superintendent Safety Officer
Thomas D. Pratt*	Vice President/Secretary	30	Pratt Brothers, Inc. Vice President/Secretary
	Operations Manager		Scott Materials Corp. Operations Manager
Supervisory Personnel			
Joseph M. Williams, Jr. P.E.*	Sr. Vice President Chief Estimator Chief Engineer	32	Pratt Brothers, Inc. Sr. Vice President Chief Estimator/Engineer
	Vice President Chief Estimator Chief Engineer		Guy Pratt Inc. Vice President Chief Estimator/Engineer
Edward P. McCoy, Jr.*	Vice President	15	Pratt Brothers, Inc. Vice President Project Manager
			Guy Pratt, Inc. Project Manager


*Corporate Officer

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL
As of December 31, 2016

Name	Title	Years of Construction Experience	With Whom & In What Capacity
Gregory Couch	Project Manager	32	Pratt Brothers, Inc. Project Manager
			Bi-County Construction Corp. President
			[REDACTED]
			[REDACTED]
James J. Pratt IV	Project Manager	4	Pratt Brothers, Inc. Project Manager
			REI Drilling Project Manager
			Staff Geologist
			[REDACTED]
Joseph Hirbek	Superintendent	36	Pratt Brothers, Inc. Superintendent
			Guy Pratt, Inc. Superintendent
			H.T. Schneider Superintendent
			[REDACTED]

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL

As of December 31 2016

<u>Name</u>	<u>Title</u>	<u>Years of Construction Experience</u>	<u>With Whom & In What Capacity</u>
Robert Voelkel	Superintendent	39	Pratt Brothers Inc. Superintendent
			Bi-County Construction Vice President Job Superintendent
			
William Zimmerman	Superintendent	40	Pratt Brothers Inc. Superintendent
	Superintendent		Kings Park Industries Superintendent Operating Engineer
Bill Ventura	Superintendent	36	Pratt Brothers, Inc. Superintendent
Matt Kingston	Asphalt Superintendent	10	Pratt Brothers, Inc. Asphalt Superintendent Operating Engineer
			Guy Pratt, Inc. Operating Engineer
Thomas Crawford	Shop Steward General Foreman	31	Pratt Brothers, Inc. General Foreman
			Guy Pratt, Inc. Laborer

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL
As of December 31, 2016

<u>Name</u>	<u>Title</u>	<u>Years of Construction Experience</u>	<u>With Whom & In What Capacity</u>
Arnold Hoffmann III	Asphalt Foreman	18	Pratt Brothers, Inc. Asphalt Foreman Asphalt Raker
			Guy Pratt Inc. Laborer
Eleanor DeBlasi	Estimator/Engineer	33	Pratt Brothers, Inc. Estimator
			Bi-County Construction Estimator
			[REDACTED]
			[REDACTED]

ENCLOSURE 4

Equipment List

EQUIPMENT LIST

(As of December 31, 2016)

LOADERS

- CAT IT-28
- Komatsu WA 320 Tool Carrier
- Komatsu WA-380
- Komatsu WA-430 Tool Carrier
- CAT 950F
- CAT 950F Tool Carrier
- Komatsu 470
- CAT 970 F
- Komatsu WA-480-6

BACKHOES

RUBBER TIRED BACKHOE/LOADERS

- CAT Backhoe Loader 430F2
- CAT 430E Backhoe Loader
- CAT 430E Backhoe Loader
- CAT 430D Backhoe Loader
- CAT 430D Backhoe Loader
- Case 590SM Backhoe Loader
- 490 Dynahoe w/Drill Rig

HYDRAULIC EXCAVATORS – TRACK

- CAT 303 Mini Excavator
- Komatsu PC-128UU w/Offset Boom
- Komatsu PC270
- CAT 325CL
- Komatsu PC290LC-10
- CAT PC400LC-7

HYDRAULIC EXCAVATORS – RUBBER TIRED

- Liebherr Excavator 904C
- Liebherr Excavator 904C
- CAT 320F

BULLDOZERS

- Komatsu D37PX-22 Dozer
- CAT D5M-LGP
- CAT D6M-LGP
- CAT D6N LGP
- CAT D6T LGP
- Dresser TD 25G Tilt Blade

EQUIPMENT LIST

(As of December 31, 2016)

CRANES

- 18 Ton Hydraulic Crane

TRUCKS

- Flat Truck
- Ford F550 Dump Truck
- Mack 20CY Dump Truck
- Peterbilt 20CY Dump Truck
- Mack Tractor (2)
- Peterbilt Tractor (3)
- MAC Dump Trailer (3)
- Steco Dump Trailer (2)
- 50 Ton Low Bed Trailer
- Red River Asphalt Trailer (3)
- Flow-Boy Asphalt Trailer (3)
- Flat Extendable Deck Trailer (3)
- Attenuator Trailer
- 25 Ton Tag-A-Long Trailer (2)

SCRAPERS

- 260E Elevating Scraper (Water Wagon)

ROLLERS

- CAT Vibratory Soil compactor-CS563
- Dynapac Vibratory Soil Compactor-CA252B
- Hyster 350D Roller
- Hypac 350D Roller
- Hyster 350D Roller
- Hyster 350D Roller
- Dynapac CC-102 Double Drum Vibratory Roller
- I/R Vibratory Roller DD24
- Caterpillar CB 534 Double Drum Vibratory Asphalt Roller
- I/R Roller DD110HF Double Drum Vibratory Asphalt Roller

GRADERS

- Komatsu GD655 Galion Grader

TRAILER

- Tag Trailer – Skid Steer (3)
- Marathon Tack Coat Trailer

EQUIPMENT LIST

(As of December 31, 2016)

ASPHALT PAVING MACHINES

- Power Ray 4-Ton Hot Asphalt Storage Rig
- CAT 1055D Asphalt Spreader
- CAT AP1055E Asphalt Spreader

MILLING MACHINE

- Wirtgen W200 Milling Machine

MISCELLANEOUS

- IR-85/185 Leroi/Joy 185/Kaeser 210 Compressors (3)
- Kaeser 400 Compressor/EZ Drill
- Read RD90 Screen-All
- Dewatering System
- CAT 247 Skid Steer Loader - Track
- CAT 272D Skid Steer Loader - Rubber
- CAT 289D Skid Steer Loader - Track
- Cold Planer PC206 (skid steers) – 3 ea.
- Amsig Led Flip Disk Variable Message Board w/Modems (5)
- Asphalt Zipper Road Reclaiming Attachment
- 10,000 L.F. Concrete Road Barrier
- 200 Steel Road Plates
- Powerscreen Trommel

ENCLOSURE 5

Insurance



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
12/13/2016

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER National Insurance Brokerage of New York, Inc. 175 Oval Drive Islandia NY 11749		CONTACT NAME: Kathleen Hofmann PHONE (A/C No, Ext): (631) 273-4242 FAX (A/C, No): (631) 273-8990 E-MAIL ADDRESS: khofmann@nibony.com	
INSURED Pratt Brothers, Inc. 45 South 4th Street Bay Shore NY 11706		INSURER(S) AFFORDING COVERAGE INSURER A: Allied World Assurance Company INSURER B: Endurance American Spec Ins Co INSURER C: Hartford Insurance Co. INSURER D: INSURER E: INSURER F:	

COVERAGES CERTIFICATE NUMBER: Master All Coverage REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WVG	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:		03099290	12/31/2015	4/1/2018	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO ALL OWNED AUTOS HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS NON-OWNED AUTOS		60000189	12/31/2015	4/1/2018	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ PIP-Basic \$ 50,000
B	<input checked="" type="checkbox"/> UMBRELLA LIAB EXCESS LIAB DED RETENTIONS		EXC0000026400	12/31/2015	4/1/2018	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/> N/A				PER STATUTE OTHER E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
C	Disability		INY645292	1/1/2017	12/31/2017	Statutory Limits

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER

Evidence of Insurance	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE Frank Cormio/KATHYR

CANCELLATION

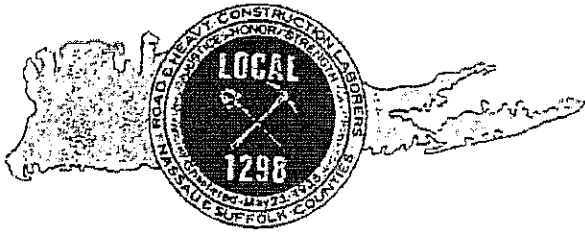
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ENCLOSURE 6

Apprenticeship Training Programs

LABORERS LOCAL UNION NO. 1298 JOINT APPRENTICESHIP TRAINING FUND

NASSAU & SUFFOLK COUNTIES



1611 LOCUST AVENUE
BOHEMIA, L.I., N.Y. 11716
Telephone: (631) 218-1376
Fax: (631) 218-1378

FUND ADMINISTRATOR
ANALISA C. DeFALCO ESQ.

TRAINING DIRECTOR
STEVEN M. AURIGEMA

BOARD OF TRUSTEES
GEORGE F. TRUCKO JR.
GEORGE S. TRUCKO
JOHN H. SHANNON
JAMES WINSHIP
FRANK ALBERTO
GREGORY W. COUCH
GRANT HEIDRICKS JR.
MARC HERBST

Re: Road and Heavy Construction Laborers Local #1298
Local 1298 Joint Apprenticeship Training Program
NYS SPONSOR CODE # 01764
NYS ATP CODE # 18514

TO WHOM IT MAY CONCERN

This letter will serve to confirm that Local 1298 Joint Apprenticeship Training Program currently conducts a fully registered and approved New York State "Apprenticeship Training Program for Skilled Construction Craft Laborer" ("ATP"). The ATP is co-sponsored by Local 1298 and all employers/signatory contractors, including but not limited to Pratt Brothers Inc., to the local 1298 Working Agreement Covering Wages and Working Conditions, dated June 1, 2012 to May 31, 2022.

Any additional questions should be directed to my attention.

Fraternally yours,

Steven M. Aurigema
President Road & Heavy Construction Laborers' Union Local 1298
Training Director
Joint Apprenticeship Training Fund



APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL
INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

SCHOOL (631) 286-8677
FAX (631) 286-8683

UNION - P.O. BOX 206, FARMINGDALE N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

Re: Local 138 Apprenticeship School (the "Apprenticeship School")
NYS Sponsor # 00422
NYS ATP Code # 18318
Pratt Brothers, Inc.

To Whom It May Concern

This letter serves to confirm that the Apprenticeship School currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to Pratt Brothers, Inc., to the Local 138 Agreement Covering Working Conditions, dated June 1, 2015 to May 31, 2019. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY
BUSINESS MANAGER & TREASURER
CHAIRMAN BOARD OF TRUSTEES
LOCAL 138 APPRENTICESHIP TRAINING FUND

ENCLOSURE 7

Financial Statement

PRATT BROTHERS, INC.
BALANCE SHEETS
September 30, 2016 and 2015
(Unaudited)

ASSETS

Current Assets

Cash

Contract receivables

Costs and estimated earnings in excess of billings
on uncompleted contracts

Prepaid expenses and other

Total Current Assets

Fixed Assets

Other Assets

LIABILITIES AND STOCKHOLDERS' EQUITY

Current Liabilities

Line-of-credit

Notes payable

Capital lease obligation

Accounts payable

Billings in excess of costs and estimated earnings
on uncompleted contracts

Accrued expenses and other

Total Current Liabilities

Long-Term Liabilities

Commitments and Contingencies

Stockholders' Equity

	2016	2015
	\$	\$
Cash		
Contract receivables		
Costs and estimated earnings in excess of billings on uncompleted contracts		
Prepaid expenses and other		
Total Current Assets		
Fixed Assets		
Other Assets		
	\$	\$
Line-of-credit		
Notes payable		
Capital lease obligation		-0-
Accounts payable		
Billings in excess of costs and estimated earnings on uncompleted contracts		
Accrued expenses and other		
Total Current Liabilities		
Long-Term Liabilities		
		-0-
Commitments and Contingencies		
Stockholders' Equity		

COUNTY OF NASSAU
CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Pratt Brothers Inc.

Address: 45 South Fourth Street

City, State and Zip Code: Bay Shore, NY 11706

2. Entity's Vendor Identification Number [REDACTED]

3. Type of Business: Public Corp Partnership Joint Venture
Ltd. Liability Co X Closely Held Corp Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

President/Treasurer: James J. Pratt, III [REDACTED]

Vice President/Secretary: Thomas Pratt [REDACTED]

Sr. Vice President: Joseph M. Williams, Jr., F.E. [REDACTED]

Vice President: Edward P. McCoy, Jr. [REDACTED]

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

James J. Pratt, III [REDACTED]

Thomas Pratt [REDACTED]

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

Scatt Materials Corp.

James J. Pratt III and Thomas Pratt (stockholders of Pratt Brothers Inc.) are also
stockholders of Scatt Materials Corp.

Scatt Materials is an asphalt hot mix manufacturer. Scatt Materials may supply
some of the hot mix material which is required for the construction of this project.

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

"None"

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

None

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: July 20, 2017

Signed: 

Print Name: James J. Pratt, III

Title: President/Treasurer

Page 4 of 4

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU
CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Scatt Materials Corp.

Address: 44 South Fourth Street

City, State and Zip Code: Bay Shore, NY 11706

2. Entity's Vendor Identification Number: 16-1620294

3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture

☐ Ltd. Liability Co ☒ Closely Held Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

President: Peter Scalamandre [REDACTED]

Secretary/Treasurer: James J. Pratt, III [REDACTED]

Vice President: Thomas Pratt [REDACTED]

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

James J. Pratt, III Gina Greenhill Family Trust

Thomas Pratt Peter Scalamandre Family Trust

Lisa Hayes Family Trust Joseph L. Scalamandre Family Trust

Tricia Maglioncalda Family Trust

Jill Clark Family Trust

Christina Horton Family Trust

Carole & Virginia Properties, LLC

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

None

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

"None"

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

None

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: July 20, 2017

Signed: 

Print Name: James J. Pratt, III

Title: Secretary/Treasurer

Page 4 of 4

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU
Inter-Departmental Memo

TO: Office of the County Executive
Att: Rob Walker, Chief Deputy County Executive

FROM: Department of Public Works

DATE: August 1, 2017

SUBJECT: RECOMMENDATION OF AWARD

Contract No: H61587-55GR


**Title: RESURFACING OF VARIOUS COUNTY ROADS IN THE TOWN OF
HEMPSTEAD – PHASE 55**

Bids received on: May 30, 2017

The bids received on May 30, 2017, for the above referenced contract have been examined, the revised and re-evaluated Contract amount submitted by **Pratt Brothers, Inc.**, received on July 11, 2017, in the amount of **\$4,348,565.00** is acceptable as the lowest responsible bidder.

The lower bid of Carlo Lizza & Sons Paving, Inc. was withdrawn from Nassau County Legislative consideration because of the indictment of the company, alleging bribery and rewarding official misconduct.

In order to facilitate processing of the above referenced contract, I request that the attached "Request to Initiate" form be approved.


Shila Shah-Gavnoudias
Commissioner



REQUEST TO INITIATE

RTI Number 17-0012

REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC

☐ RFQ ☐ RFP ☐ RFBC ☐ In-House or Requirements Work OrderProject Title: RESURFACING PHASE 55Department: Public Works Project Manager: Gus Eberling Date: 10-JAN-17
CONSTRUCTIONService Requested: MILL + FILL OF VARIOUS COUNTY ROADS

Justification:

Requested by: PUBLIC WORKS Department/Agency/OfficeProject Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment)
Circle appropriate phaseTotal Project Cost: \$1.2 m
Includes, design, construction and CMDate Start Work: SUMMER 2017 Duration: 120 DAYS
Phase being requested Phase being requestedCapital Funding Approval: YES ☒ NO ☐

SIGNATURE

DATE

Funding Allocation (Capital Project):
See Attached Sheet if multiyear61587NIPS Entered: [Signature]
SIGNATURE DATEAIM Entered: Deanna Funk
SIGNATURE DATE

2-1-17

Funding Code: 61587-055
use this on all encumbrancesTimesheet Code: 17-0012
use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐

Supplemental Environmental Documentation

Department Head Approval: YES ☒ NO ☐

SIGNATURE

DCE/Ops Approval: YES ☒ NO ☐

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval: YES NO Signature _____

ROUTING SLIP – REQUEST TO INITIATE FORM

After review please sign, date and forward to the next person on the list.

ROUTING No.: 17-0012

DATE: 1/11/17

ANDREA PEREIRA:	DATE: <u>slp</u>
KENNETH G. ARNOLD:	DATE:
RICHARD P. MILLET: <u>RM</u> (After signed by Chief Deputy Commissioner forward to County Executive's Office for signature) Date: <u>1/30/17</u>	DATE: <u>1/30/17</u>
After signature by County Executive's Office continue routing	
SEAN SALLIE:	DATE:
ANTHONY MARINO: <u>DF</u>	DATE: <u>2-1-17</u>
LAURA STEIN:	DATE:

After routing is complete give original to Author and copies to KGA & RTI File.

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Shila Shah-Gavnoudias, Commissioner

FROM: Rakhal Maitra, Deputy Commissioner

DATE: August 1, 2017

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: H61587-55G

Title: Resurfacing of Various County Roads in the Town of Hempstead

Engineer's Estimate: \$4,418,475.00

Bids Received On: May 30, 2017

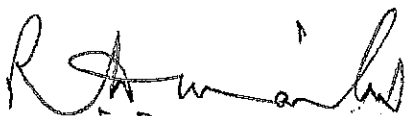
Re-evaluated Item Prices: July 11, 2017

The bids received on May 30, 2017, for the above referenced contract have been examined, as tabulated in the Bid Comparison attached, and the re-evaluated Contract amount submitted by Pratt Brothers, Inc., received on July 11, 2017, in the amount of \$4,348,565.00 is acceptable as the lowest responsible bidder.

The lower bid of Carlo Lizza & Sons Paving, Inc. was withdrawn from consideration by the Nassau County Legislature because of the indictment of the Company, alleging bribery and rewarding official misconduct.

The low bid by Pratt Brothers, Inc., is below the engineer's estimate and adequate funds are available (Capital Project Numbers 61587-55). After reviewing all documentation submitted by the Contractor, it was found that Pratt Brothers, Inc., meets the required goals for this project. Therefore, it is requested that the attached Recommendation of Award be prepared for the Commissioner's signature and forwarded to the County Executive for his action.

Attached herewith, please find a completed Contract Summary form for your information and use.



Rakhal Maitra
Deputy Commissioner

RM:ac

Attachments

c: Kenneth G. Arnold, Assistant to Commissioner
Richard Iadevaio, Jr., Superintendent of Highway and Drainage Construction
August Eberling, Civil Engineer III, Project Manager
Loretta Dionisio, Hydrogeologist II



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, Executive Vice President

FROM: Department of Public Works

DATE: January 19, 2017

SUBJECT: CSEA Notification of a Proposed DPW Contract Agreement
Proposed Contract Number: H61587055G

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should be not be implied that the proposed DPW contract/agreement is for the work which as "historically and exclusively been performed by bargaining unit member".

1. DPW plans to recommend a contract/agreement for Construction.
2. The work involves the following:

Resurfacing Various County Roads - Phase 55 to Nassau County standards. The resurfacing program's goal is to rehabilitate existing roads in order to extend their service life. This maintains capacity while not increasing paved surfaces. New pavement markings are installed after the road is resurfaced. Resurfacing provides the motorist with a smooth road surface, and a clearly defined traveled way, which decreases accidents and driver frustrations.

3. An estimate of the cost is: \$4,515,075.00
4. An estimate of the duration is: Four (4) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public works Attn: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.



Kenneth G. Arnold
Assistant to Commissioner

KGA:RM:WSN:pl

- c: Christopher Fusco, Director, Office of Labor Relations
Brian Libert, Deputy Director, Office of Labor Relations
Keith Cromwell, Office of Labor Relations
Robert Bedford, Office of the County Attorney
Rakhal Maitra, Deputy Commissioner
William S. Nimmo, Deputy Commissioner
Patricia Kivo, Unit Head, Human Resources Unit
Richard Iadevaio, Jr., Superintendent of Highway and Drainage Construction
Donna Boyle, Civil Engineer III
Loretta Dionisio, Hydrogeologist II
James Caruso, Construction Inspector I



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: James Caruso, Construction Inspector I

FROM: Office of the Commissioner

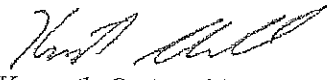
DATE: February 7, 2017

SUBJECT: CSEA Sub-Contracting Approval
C17-009 – Resurfacing Various County Roads Ph. 55 - Construction

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. Pursuant to Section 32-3 of the CSEA/County CBA, CSEA has withdrawn its objection to the above-referenced contract known as C17-009.

Please prepare the necessary documentation to proceed with your work. . Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions please speak with Jonathan Lesman.


Kenneth G. Arnold
Assistant to Commissioner

KGA:jm

c: Rakhal Maitra, Deputy Commissioner
Donna Boyle, Civil Engineer III
Richard Iadevaio, Jr., Superintendent of Highway and Drainage Construction ✓
Loretta Dionisio, Hydrogeologist II
Jonathan Lesman, Management Analyst II



FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to USE THIS FORM PROVIDED as the use of ANY OTHER FORM may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned Pratt Brothers, Inc.

as Principal; and United States Fire Insurance Company as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

 dollars (\$) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 19th day of May, 2017

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under Contract No. H61587-55GR for the

Resurfacing of Various County Roads in the Town of Hempstead (Phase 55)

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

Provided, however, that this bond is subject to the following additional conditions and limitations.

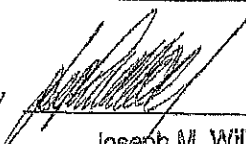
a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.

b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

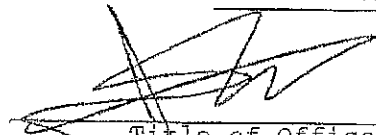
Pratt Brothers, Inc.
Contractor

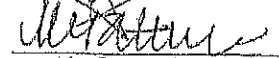
by  (L.S.) (Corporate seal of Contractor
Title if a corporation)
Joseph M. Williams Jr., P.E.
Senior Vice President

by _____ (L.S.) Title

by _____ (L.S.) Title

United States Fire Insurance Company

by  (L.S.) Surety
Title of Officer Joseph Tantillo Attorney-In-Fact

Attest:  (L.S.) (Corporate seal of Surety)
Title of Officer

(Acknowledgment by Contractor if a corporation)

STATE OF New York)

SS.:
COUNTY OF Suffolk)

On this 2nd day of May, 2017, before me personally came Joseph M. Williams Jr., P.E. to me known, who, being by me duly sworn, did depose and say for himself, that he resides in Nesconset, NY that he is the Sr. Vice President of the Pratt Brothers, Inc. the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.

Carol A. Miller
Notary Public

CAROL A. MILLER
Notary Public, State of New York
No. 5002820

Qualified in Suffolk County
Commission Expires Sept. 26, 2018

(Acknowledgment by Contractor if a partnership)

STATE OF _____)

SS.:
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____ to me known and known to me to be a member of the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF _____)

SS.:
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

Notary Public

Page 7

(Acknowledgment by Surety Company)

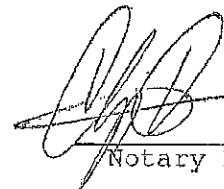
STATE OF New York)

ss.:
COUNTY OF Suffolk)

On this 19th day of May, 2017, before me personally came Joseph Tanillo to me Known, who being by me duly sworn, did depose and say that he resides in Ronkonkoma, NY

that he is the Attorney-in-Fact of the United States Fire Insurance Company, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said Christopher P Catera further said that he is acquainted with Joseph Tanillo and knows him to be the Attorney-in-Fact of said company; that the signature of the said Joseph Tanillo subscribed to the within instrument is in the genuine handwriting of the said Joseph Tanillo and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Christopher P Catera

Christopher P Catera
Notary Public, State of New York
No. 01CA6176842, Suffolk County
Commission Expires, Nov 5, 2019


Notary Public

POWER OF ATTORNEY

~~ATTENTION:~~ Insert the "Power of Attorney" after this page in with your
bid submission.

**POWER OF ATTORNEY
UNITED STATES FIRE INSURANCE COMPANY
PRINCIPAL OFFICE - MORRISTOWN, NEW JERSEY**

01115404918

KNOW ALL MEN BY THESE PRESENTS: That United States Fire Insurance Company, a corporation duly organized and existing under the laws of the state of Delaware, has made, constituted and appointed, and does hereby make, constitute and appoint:

Joseph Tantillo, Christopher Catara

each, its true and lawful Attorney(s)-in-Fact, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver: Any and all bonds and undertakings of surety and other documents that the ordinary course of surety business may require, and to bind United States Fire Insurance Company thereby as fully and to the same extent as if such bonds or undertakings had been duly executed and acknowledged by the regularly elected officers of United States Fire Insurance Company at its principal office, in amounts or penalties not exceeding: [REDACTED]

This Power of Attorney limits the act of those named therein to the bonds and undertakings specifically named therein, and they have no authority to bind United States Fire Insurance Company except in the manner and to the extent therein stated.

This Power of Attorney revokes all previous Powers of Attorney issued on behalf of the Attorneys-in-Fact named above and expires on January 31, 2018.

This Power of Attorney is granted pursuant to Article IV of the By-Laws of United States Fire Insurance Company as now in full force and effect, and consistent with Article III thereof, which Articles provide, in pertinent part:

Article IV, Execution of Instruments - Except as the Board of Directors may authorize by resolution, the Chairman of the Board, President, any Vice-President, any Assistant Vice President, the Secretary, or any Assistant Secretary shall have power on behalf of the Corporation:

(a) to execute, affix the corporate seal manually or by facsimile to, acknowledge, verify and deliver any contracts, obligations, instruments and documents whatsoever in connection with its business including, without limiting the foregoing, any bonds, guarantees, undertakings, recognizances, powers of attorney or revocations of any powers of attorney, stipulations, policies of insurance, deeds, leases, mortgages, releases, satisfactions and agency agreements;

(b) to appoint, in writing, one or more persons for any or all of the purposes mentioned in the preceding paragraph (a), including affixing the seal of the Corporation.

Article III, Officers, Section 3.11, Facsimile Signatures. The signature of any officer authorized by the Corporation to sign any bonds, guarantees, undertakings, recognizances, stipulations, powers of attorney or revocations of any powers of attorney and policies of insurance issued by the Corporation may be printed, facsimile, lithographed or otherwise produced. In addition, if and as authorized by the Board of Directors, dividend warrants or checks, or other numerous instruments similar to one another in form, may be signed by the facsimile signature or signatures, lithographed or otherwise produced, of such officer or officers of the Corporation as from time to time may be authorized to sign such instruments on behalf of the Corporation. The Corporation may continue to use for the purposes herein stated the facsimile signature of any person or persons who shall have been such officer or officers of the Corporation, notwithstanding the fact that he may have ceased to be such at the time when such instruments shall be issued.

IN WITNESS WHEREOF, United States Fire Insurance Company has caused these presents to be signed and attested by its appropriate officer and its corporate seal hereunto affixed this 10th day of March, 2016.

UNITED STATES FIRE INSURANCE COMPANY



A.R.R.

Anthony R. Slimowicz, Senior Vice President

State of New Jersey }
County of Morris }

On this 10th day of March 2016, before me, a Notary public of the State of New Jersey, came the above named officer of United States Fire Insurance Company, to me personally known to be the individual and officer described herein, and acknowledged that he executed the foregoing instrument and affixed the seal of United States Fire Insurance Company thereto by the authority of his office.

SONIA SCALA
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES 3/25/2019

Sonia Scala

(Notary Public)

I, the undersigned officer of United States Fire Insurance Company, a Delaware corporation, do hereby certify that the original Power of Attorney of which the foregoing is a full, true and correct copy is still in force and effect and has not been revoked.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of United States Fire Insurance Company on the 14th day of May 2017

UNITED STATES FIRE INSURANCE COMPANY



A. Wright

Al Wright, Senior Vice President

NO TEXT ON THIS PAGE

Page 12

FINANCIAL STATEMENT

ATTENTION: Insert the "Financial Statement" after this page in with your bid submission.

UNITED STATES FIRE INSURANCE COMPANY
1209 ORANGE STREET, WILMINGTON, DELAWARE 19801

STATEMENT OF ASSETS, LIABILITIES, SURPLUS AND OTHER FUNDS

AT DECEMBER 31, 2016

ASSETS

Bonds (Amortized Value).....
Preferred Stocks (Market Value).....
Common Stocks (Market Value).....
Cash, Cash Equivalents, and Short Term Investments.....
Derivatives.....
Other Invested Assets.....
Investment Income Due and Accrued.....
Premiums and Considerations.....
Amounts Recoverable from Reinsurers.....
Funds Held by or Deposited with Reinsured Companies.....
Current Income Taxes Recoverable.....
Net Deferred Tax Asset.....
Electronic Data Processing Equipment.....
Receivables from Parent, Subsidiaries and Affiliates.....
Other Assets.....
TOTAL ASSETS.....

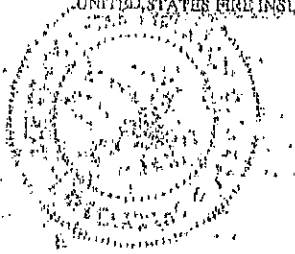
LIABILITIES, SURPLUS & OTHER FUNDS

Losses (Reported Losses Net of Reinsurance Ceded and Incurred
But Not Reported Losses).....
Reinsurance Payable on Paid Losses and Loss Adjustment Expenses.....
Loss Adjustment Expenses.....
Commissions Payable, Contingent Commissions and Other Similar Charges.....
Other Expenses (Excluding Taxes, Licenses and Fees).....
Taxes, Licenses and Fees (Excluding Federal Income Taxes).....
Unearned Premiums.....
Advance Premium.....
Ceded Reinsurance Premiums Payable.....
Funds Held by Company under Reinsurance Treaties.....
Amounts Withheld by Company for Account of Others.....
Provision for Reinsurance.....
Payable to Parent, Subsidiaries and Affiliates.....
Other Liabilities.....
TOTAL LIABILITIES.....

Common Capital Stock.....
Gross Paid In and Contributed Surplus.....
Unassigned Funds (Surplus).....
Surplus as Regards Policyholders.....
TOTAL LIABILITIES, SURPLUS & OTHER FUNDS.....

I, Carmine Scagliano, Vice President and Controller of UNITED STATES FIRE INSURANCE COMPANY, certify that the foregoing is a fair statement of Assets, Liabilities, Surplus and Other Funds of this Company, at the close of business, December 31, 2016, as reflected by its books and records and as reported in its statement on file with the Insurance Department of the State of Delaware.

IN TESTIMONY WHEREOF, I have set my hand and affixed the seal of the Company, this 8th day of March, 2017.
UNITED STATES FIRE INSURANCE COMPANY



NO TEXT ON THIS PAGE

CERTIFICATE OF SOLVENCY

ATTENTION: Insert the "Certificate of Solvency" after this page in with your bid submission.

CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW
YORK
INSURANCE LAW

STATE OF NEW YORK
DEPARTMENT OF FINANCIAL SERVICES

It is hereby certified that

UNITED STATES FIRE INSURANCE COMPANY
Of Wilmington, Delaware

a corporation organized under the laws of the State of Delaware and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law, and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of [REDACTED] (Capital [REDACTED]) as is shown by its sworn financial statement for the **Second Quarter as of June 30, 2016**, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have here-
unto set my hand and affixed the
official seal of this Department
at the City of Albany, this
2nd day of September, 2016

Maria T. Vullo
Superintendent

By *Jacqueline Catalfamo*

Jacqueline Catalfamo
Special Deputy Superintendent

NO TEXT ON THIS PAGE

PROPOSAL
To the County of Nassau

NASSAU COUNTY
RESURFACING OF VARIOUS COUNTY ROADS
IN THE TOWN OF HEMPSTEAD
PHASE 55
Contract No. H61587-55GR

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Advertisement for Bids and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the plans and specifications for the amount named in the proposal hereinafter described.

In making this proposal the Bidder hereby declares that the Addenda which has been issued by the County of Nassau and has been received by him, that all provisions thereof have been complied with in preparing his bids.

Name of Bidder: Pratt Brothers Inc.
(Individual, Firm or Corporation, as case may be)

Bidder's Address: 45 South Fourth Street, Bay Shore, NY 11706

Telephone: (631) 667-6800 Date: May 19, 2017

FAX Tele: (631) 289-6119 E-Mail: jwilliams@prattbrothers.com

NOTE: IF BIDDER IS A FIRM, FILL IN THE FOLLOWING BLANKS:

Name of Partners

Residence of Partners

NOTE: IF BIDDER IS A CORPORATION, FILL IN THE FOLLOWING BLANKS:

Organized under the laws of the State of: New York

Name of President: James J. Pratt, III

President's Domicile: [REDACTED]

Name of Vice Pres: Thomas Pratt

Vice Pres's Domicile: [REDACTED]

Corporate Officer: Joseph M. Williams, Jr., P.E. Title: Sr. Vice President

Corporate Officer's Domicile: [REDACTED]

Corporate Officer: Edward P. McCoy, Jr. Title: Vice President

Corporate Officer's Domicile: [REDACTED]

NO TEXT ON THIS PAGE

The Following Paragraphs are Applicable to the Contract

THE BIDDER AFFIRMS AND DECLARES:

1. That the above bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same work, and is in all respects fair and without collusion or fraud.
3. That said bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety or otherwise, upon any obligation to the County of Nassau.
4. That no officer nor employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the site of the work and that, from his own investigations, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of equipment, other facilities needed for the performance of the work, the general and local conditions, and all other items which may, in any way, affect the work or its performance.
6. The bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach, the contractor shall be required to have such a license.

NO TEXT ON THIS PAGE

INFORMATION FOR BIDDERS

I. Rejection of Bids.

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. A determination that the bidder is not responsible is made in accordance with law; or if
6. The bid, in the opinion of the Commissioner, contains unbalanced bid prices, unless the bidder can show that the prices are not unbalanced for the probably required quantity of such items.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

NO TEXT ON THIS PAGE

CPIN	Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward
				Unit Bid Price
1M	1.00	Lump Sum FOR ONE HUNDRED FIFTY THOUSAND DOLLARS NO CENTS	PER Lump Sum	150,000.000
2	60.00	Cu. Yards FOR TWENTY DOLLARS NO CENTS	PER Cu. Yards	1,200.00
4A	300.00	Sq. Yards FOR TEN DOLLARS NO CENTS	PER Sq. Yards	3,000.00
5C	50.00	Cu. Yards FOR FIFTEEN DOLLARS NO CENTS	PER Cu. Yards	750.00
7	300.00	Sq. Yards FOR THREE DOLLARS NO CENTS	PER Sq. Yards	900.00
				Carry Forward

CPIN

Page 2

Date 7/07/2017

Cont H6158755GR

Item Number	Estimate of Quantities	Items with unit bid price written in words		Brought Forward	
				Unit Bid Price	Amount Bid
9	10.00	Cu. Yards , Topsoil			
		FOR ONE HUNDRED FIFTY DOLLARS NO CENTS		150.000	1,500.00
			PER Cu. Yards		
12H-X	15.00	Each , Cleaning Existing Drainage System			
		FOR SEVEN HUNDRED FIFTY DOLLARS NO CENTS		750.000	11,250.00
			PER Each		
15X	13.00	Each , Altering Existing Catch Basins			
		FOR THREE THOUSAND DOLLARS NO CENTS		3,000.000	39,000.00
			PER Each		
16X	85.00	Each , Altering Brick Manholes			
		FOR THREE HUNDRED DOLLARS NO CENTS		300.000	25,500.00
			PER Each		
24V	6.00	Cu. Yards , Concrete Valley Gutter			
		FOR NINE HUNDRED FIFTY DOLLARS NO CENTS		950.000	5,700.00
			PER Cu. Yards		
				Carry Forward	

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CPIN	Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward
				Unit Bid Price Amount Bid
	26	6,600.00	Lin. Feet , Concrete Curb FOR THIRTY EIGHT DOLLARS NO CENTS	38.000 250,800.00
	26CG	700.00	Lin. Feet , Monolithic Concrete Curb & Gutter FOR SIXTY NINE DOLLARS NO CENTS	69.000 48,300.00
	26SP-D	300.00	Lin. Feet , Concrete Curb - Type D FOR FORTY FIVE DOLLARS NO CENTS	45.000 13,500.00
	27	22,000.00	Sq. Feet , Concrete Sidewalk FOR THIRTEEN DOLLARS NO CENTS	13.000 286,000.00
	27DW	2,800.00	Sq. Feet , Detectable Warning Surface FOR THIRTY FIVE DOLLARS NO CENTS	35.000 98,000.00
				Carry Forward

CPIN

Page 5

Date 7/07/2017

Cont H6158755GR

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
32X-1	100.00	Each , Load Transfer Device for Cement Concrete Pavement Repairs FOR NINETY DOLLARS NO CENTS	Unit Bid Price	Amount Bid
34	18,000.00	Pounds , Miscellaneous Metals FOR THREE DOLLARS NO CENTS	90.000	9,000.00
36CX	40.00	Tons , Asphalt Concrete Truing & Leveling Type 1A FOR FIFTY FIVE DOLLARS NO CENTS	3.000	54,000.00
36DRAR	14,100.00	Tons , Rut Avoidance Asphalt Concrete Type 1A (Top RA Resurfacing) FOR NINETY EIGHT DOLLARS NO CENTS	55.000	2,200.00
58A	300.00	Lin. Feet , Sawcutting Existing Non Roadway Asphalt Pavement FOR FOUR DOLLARS NO CENTS	98.000	1,381,800.00
		PER Lin. Feet	4.000	1,200.00
			Carry Forward	

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CPIN

Page 6

Date 7/07/2017

Cont H6158755GR

Item Number	Estimate of Quantities	Items with unit bid price written in words			Brought Forward	
		Lin. Feet			Unit Bid Price	Amount Bid
58RPC	3,000.00	FOR FIVE DOLLARS NO CENTS			5.000	15,000.00
102	1.00	Lump Sum	Work Zone Traffic Control	PER Lin. Feet		
		FOR TWO HUNDRED THIRTY THREE THOUSAND TWO HUNDRED FORTY FIVE DOLLARS NO CENTS			233,245.000	233,245.00
102D	180.00	Days	Flashing Arrow Board	PER Lump Sum		
		FOR FIVE DOLLARS NO CENTS			5.000	900.00
102PVMS	185.00	Days	Portable Variable Message Sign	PER Days		
		FOR FIVE DOLLARS NO CENTS			5.000	925.00
111	5,400.00	Sq. Yards	Removal and Replacement of Pavements	PER Days		
		FOR ONE HUNDRED TWENTY DOLLARS NO CENTS			120.000	648,000.00
					Carry Forward	

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CPIN

Page 7

Date 7/07/2017

Cont H6158755GR

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
112	60.00	Each , Adjusting Manholes FOR THREE HUNDRED DOLLARS NO CENTS	300.000	18,000.00
114	175.00	Each , Adjustment of Water Appurtenances FOR TWENTY FIVE DOLLARS NO CENTS	25.000	4,375.00
115	1,100.00	Lin. Feet , Butt Joints FOR TEN DOLLARS NO CENTS	10.000	11,000.00
116A	147,000.00	Sq. Yards , Profile and Removal of Asphalt Pavement FOR TWO DOLLARS FIFTY CENTS	2.500	367,500.00
126B	25.00	Lin. Feet , Reset Existing Block Curbs FOR THIRTY DOLLARS NO CENTS	30.000	750.00
			Carry Forward	

07/07/2017@16:58.06

CPIN	Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward
				Unit Bid Price Amount Bid
129		60.00	Cu. Yards , Cement Concrete for Pavement Repairs FOR NINE HUNDRED DOLLARS NO CENTS	900.000 54,000.00
132		55.00	Each , Plowable Raised ReflectORIZED Pavement Markers FOR FIFTY FIVE DOLLARS NO CENTS	55.000 3,025.00
136		50.00	SHIFT , Survey & Stakeout FOR TWO HUNDRED SEVENTY FIVE DOLLARS NO CENTS	275.000 13,750.00
137		200.00	Lin. Feet , Remove Existing Traffic Markings FOR THREE DOLLARS NO CENTS	3.000 600.00
138		50.00	Sq. Yards , Asphalt Joint Repair FOR SIXTY DOLLARS NO CENTS	60.000 3,000.00
			PER Sq. Yards	Carry Forward

CPIN

Page 9

Date 7/07/2017

Cont H6158755GR

Item Number	Estimate of Quantities	Items with unit bid price written in words		Brought Forward	
		Unit Bid Price	Amount Bid		
141B	20.00	Each , Silt Protection for Surface Inlet Drainage Structures FOR TWO HUNDRED DOLLARS NO CENTS	200.000	4,000.00	
141C	130.00	Each , Silt Protection for Curb Inlet Drainage Structures FOR TWO HUNDRED TWENTY FIVE DOLLARS NO CENTS	225.000	29,250.00	
199	1.00	Lump Sum , Interim Payment FOR ONE HUNDRED TWENTY THOUSAND DOLLARS NO CENTS	120,000.000	120,000.00	
199AX	1.00	Lump Sum , Asphalt Price Adjustment FOR TWENTY THOUSAND DOLLARS NO CENTS	20,000.000	20,000.00	
365	50.00	Sq. Feet , Sodding FOR FIVE DOLLARS NO CENTS	5.000	250.00	
Carry Forward					

Item Number	Estimate of Quantities	Items with unit bid price written in words		Brought Forward	
				Unit Bid Price	Amount Bid
368	1,000.00	Sq. Yards , Topsoil & Grass Seed FOR EIGHTEEN DOLLARS NO CENTS		18.000	18,000.00
419S-075	20.00	Lin. Feet , Furnish and Install 3/4 Inch Diameter Steel Conduit FOR TWENTY TWO DOLLARS NO CENTS	PER Sq. Yards	22.000	440.00
422L	6,600.00	Lin. Feet , Furnish and Install Loop Wires FOR THREE DOLLARS NO CENTS	PER Lin. Feet	3.000	19,800.00
422LS	2,200.00	Lin. Feet , Furnish and Install Loop Sawcut FOR THIRTY DOLLARS NO CENTS	PER Lin. Feet	30.000	66,000.00
422SHE	4.00	Each , Adjust Traffic Magnetic Vehicle Detector FOR ONE THOUSAND TWO HUNDRED DOLLARS NO CENTS	PER Lin. Feet	1,200.000	4,800.00
				Carry Forward	

CPIN	Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
442W		43,600.00	Lin. Feet , White Epoxy ReflectORIZED Pavement Markings FOR ZERO DOLLARS FORTY FIVE CENTS	0.450	19,620.00
442W-1		5,000.00	Lin. Feet , White Epoxy ReflectORIZED Pavement Markings for Hand Applications FOR ONE DOLLAR THIRTY CENTS	1.300	6,500.00
442Y		54,500.00	Lin. Feet , Yellow Epoxy ReflectORIZED Pavement Markings FOR ZERO DOLLARS FORTY FIVE CENTS	0.450	24,525.00
442Y-1		800.00	Lin. Feet , Yellow Epoxy ReflectORIZED Pavement Markings for Hand Applications FOR ONE DOLLAR THIRTY CENTS	1.300	1,040.00
443A		73.00	Each , Preformed Tape Pavement Markings - Arrows FOR TWO HUNDRED TWENTY FIVE DOLLARS NO CENTS	225.000	16,425.00
			PER Lin. Feet		
			PER Each		
			Carry Forward		

CPIN

Page 12

Date 7/07/2017

Cont H6158755GR

Item Number	Estimate of Quantities	Items with unit bid price written in words		Brought Forward	
				Unit Bid Price	Amount Bid
443C	44.00	Each	, Preformed Tape Pavement Markings - Character		
		FOR	ONE HUNDRED SEVENTY FIVE DOLLARS NO CENTS	175.000	7,700.00
445W-12	6,000.00	Lin. Feet	, 12" White Preformed High Performance Pavement Marking Tape		
		FOR	SEVEN DOLLARS FIFTY CENTS	7.500	45,000.00
445W-24	50.00	Lin. Feet	, 24" White Preformed High Performance Pavement Marking Tape		
		FOR	FOURTEEN DOLLARS FIFTY CENTS	14.500	725.00
501	1.00	Lump Sum	, Cleaning & Filling Joints & Cracks		
		FOR	ONE HUNDRED THIRTY FIVE THOUSAND DOLLARS NO CENTS	135,000.000	135,000.00
		PLEASE BE SURE A BID IS ENTERED FOR EACH ITEM EXCEPT AS DIRECTED FOR OPTIONAL ITEMS			
		TOTAL OR GROSS SUM WRITTEN IN WORDS FOUR MILLION THREE HUNDRED FORTY EIGHT THOUSAND FIVE HUNDRED SIXTY FIVE DOLLARS NO CENTS		\$	\$4,348,565.00

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Exhibit A



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

Laura Curran

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Vendor: Pratt Brothers Inc.

Dated: July 18, 2017

Signed: 

Print Name: James J. Pratt, III

Title: President/Treasurer

Exhibit B



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

"None"

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

None

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

None

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

None

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

None

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

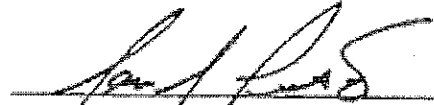
I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: July 20, 2017

Signed:



Print Name:

James J. Pratt, III

Title:

President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

NO TEXT ON THIS PAGE

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Edward P. McCoy, Jr.
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 45 South Fourth Street
City/state/zip Bay Shore, NY 11706
Telephone (631) 667-6800
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President ____/____/____ Treasurer ____/____/____
Chairman of Board ____/____/____ Shareholder ____/____/____
Chief Exec. Officer ____/____/____ Secretary ____/____/____
Chief Financial Officer ____/____/____ Partner ____/____/____
Vice President 01 / 01 / 2011 ____/____/____
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES ____ NO X If Yes, provide details.
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES ____ NO X If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES ____ NO X;
If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ____ NO X
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ____ NO X If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ____ NO X If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ____ NO X If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ____ NO X If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.) No
- a) Is there any felony charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ____ NO X If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO X If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO X If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO X If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO X If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO X If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO X If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Edward P. McCoy, Jr., being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 18th day of July 2017

Carol A. Miller
Notary Public

CAROL A. MILLER
Notary Public, State of New York
No. 5002328
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Pratt Brothers Inc.
Name of submitting business

Edward P. McCoy, Jr.
Print name

[Signature]
Signature

Vice President
Title

7 / 18 / 2017
Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name James J. Pratt, III
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 45 South Fourth Street
City/state/zip Bay Shore, NY 11706
Telephone (631) 667-6800
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President 07 / 18 / 2002 Treasurer 07 / 18 / 2002
Chairman of Board _____ Shareholder 07 / 18 / 2002
Chief Exec. Officer _____ Secretary _____
Chief Financial Officer _____ Partner _____
Vice President _____
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES X NO _____ If Yes, provide details. 51%
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES X NO _____ If Yes, provide details.
As required by bank and bonding company.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES X NO _____
If Yes, provide details. Scatt Materials Corp.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐
If Yes, provide details.

Asphalt pickup contracts

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES ☐ NO ☒ If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.) No
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO X If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO X If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO X If Yes, provide details for each such investigation.
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11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO X If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO X If Yes, provide details for each such year.

CERTIFICATION

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I, James J. Pratt, III, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 18th day of July 2017

Carol A. Miller
Notary Public

CAROL A. MILLER
Notary Public, State of New York
No. 5002326
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Pratt Brothers Inc.
Name of submitting business

James J. Pratt, III
Print name

[Signature]
Signature

President/Treasurer
Title

7 / 18 / 2017
Date

PRINCIPAL QUESTIONNAIRE FORM

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1. Principal Name Joseph M. Williams, Jr., P.E.
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 45 South Fourth Street
City/state/zip Bay Shore, NY 11706
Telephone (631) 667-6800
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President ____/____/____ Treasurer ____/____/____
Chairman of Board ____/____/____ Shareholder ____/____/____
Chief Exec. Officer ____/____/____ Secretary ____/____/____
Chief Financial Officer ____/____/____ Partner ____/____/____
Vice President 07 / 18 / 2002 Sr. Vice President 01 / 01 / 2011
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES ____ NO X If Yes, provide details.
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES ____ NO X If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES ____ NO X;
If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ____ NO X
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES ____ NO X If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ____ NO X If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ____ NO X If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ____ NO X If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.) No
- a) Is there any felony charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ____ NO X If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ☐ NO ☒ If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ☐ NO ☒ If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ☐ NO ☒ If Yes, provide details for each such investigation.
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11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ☐ NO ☒ If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ☐ NO ☒ If Yes, provide details for each such year.

CERTIFICATION

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I, Joseph M. Williams, Jr., P.E., being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 18th day of July 2017

Carol A. Miller
Notary Public

CAROL A. MILLER
Notary Public, State of New York
No. 5002326
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Pratt Brothers Inc.
Name of submitting business

Joseph M. Williams, Jr., P.E.
Print name

[Signature]
Signature

Sr. Vice President
Title

7, 18, 2017
Date

PRINCIPAL QUESTIONNAIRE FORM

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COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Thomas Pratt
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 45 South Fourth Street
City/state/zip Bay Shore, NY 11706
Telephone (631) 667-6800
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President ____/____/____ Treasurer ____/____/____
Chairman of Board ____/____/____ Shareholder 07 /18 /2002
Chief Exec. Officer ____/____/____ Secretary 07 /18 /2002
Chief Financial Officer ____/____/____ Partner ____/____/____
Vice President 07 / 18 /2002 ____/____/____
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES X NO ____ If Yes, provide details. 49%
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES X NO ____ If Yes, provide details.
As required by bank and bonding company.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES X NO ____;
If Yes, provide details. Scatt Materials Corp.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES X NO ____
If Yes, provide details.

Asphalt pickup contracts

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES ____ NO X If Yes, provide details for each such instance.
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- a) Is there any felony charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ____ NO X If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO X If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO X If Yes, provide details for each such occurrence.
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12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO X If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID/PROPOSAL OR FUTURE BIDS/PROPOSALS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Thomas Pratt, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 16th day of July 2017

Carol A. Miller

Notary Public

CAROL A. MILLER
Notary Public, State of New York
No. 5002926
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Pratt Brothers, Inc.

Name of submitting business

Thomas Pratt

Print name

Thomas Pratt

Signature

Vice President

Title

7 / 18 / 2017

Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable."
No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: July 18, 2017

1) Proposer's Legal Name: Pratt Brothers Inc.

2) Address of Place of Business: 45 South Fourth Street, Bay Shore, NY 11706

List all other business addresses used within last five years:

3) Mailing Address (if different): _____

Phone : (631) 667-6800

Does the business own or rent its facilities? Rent

4) Dun and Bradstreet number: 176431117

5) Federal I.D. Number: [REDACTED]

6) The proposer is a (check one): Sole Proprietorship Partnership X
Corporation Other (Describe)

7) Does this business share office space, staff, or equipment expenses with any other business?

Yes X No If Yes, please provide details: Share with Guy Pratt Inc.

8) Does this business control one or more other businesses? Yes No X If Yes, please provide details: _____

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ___ No X If Yes, provide details. _____
- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ___ No X If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). _____
- 11) Has the proposer, during the past seven years, been declared bankrupt? Yes ___ No X If Yes, state date, court jurisdiction, amount of liabilities and amount of assets _____
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business. Yes ___ No X If Yes, provide details for each such investigation. _____
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ___ No X If Yes, provide details for each such investigation. _____
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
- a) Any felony charge pending? Yes ___ No X If Yes, provide details for each such charge. _____
- b) Any misdemeanor charge pending? Yes ___ No X If Yes, provide details for each such charge. _____
- c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes ___ No X

If Yes, provide details for each such conviction _____

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?
Yes ____ No X If Yes, provide details for each such conviction. _____

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? Yes ____ No X If Yes, provide details for each such occurrence. _____

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? Yes ____ No X; If Yes, provide details for each such instance. _____

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? Yes ____ No X If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. _____

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. **NOTE: If no conflicts exist, please expressly state "No conflict exists."**

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

"No conflict exists"

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

"No conflict exists"

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

"No conflict exists"

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.
All current and future employees are required to disclose any county conflicts.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; **July 18, 2002**
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner;
SEE ATTACHED QUALIFICATION STATEMENT, #11, Enc. 3, Pg. 1
- iii) Name, address and position of all officers and directors of the company;
SEE ATTACHED QUALIFICATION STATEMENT, #11, Enc. 3, Pg. 1
- iv) State of incorporation (if applicable); **New York**
- v) The number of employees in the firm; **45**
- vi) Annual revenue of firm; SEE ATTACHED QUALIFICATION STATEMENT, #18, Enc. 7
- vii) Summary of relevant accomplishments SEE ATTACHED QUALIFICATION STATEMENT, #3, Enc. 1, Pg. 1 & #4, Enc. 2, Pg. 1-5
- viii) Copies of all state and local licenses and permits. DOES NOT APPLY

- B. Indicate number of years in business. **15 Years**

- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Village of Garden City

Contact Person Domenick Stanco

Address 351 Stewart Avenue

City/State Garden City, NY 11530

Telephone (631) 465-4017/4027

Fax # (631) 742-5377

E-Mail Address dstanco@gardencityny.net

Company Village of Mineola

Contact Person Thomas Rini

Address 155 Washington Avenue

City/State Mineola, NY 11501

Telephone (516) 746-0753

Fax # (516) 746-0611

E-Mail Address _____

Company Suffolk County DPW

Contact Person Justin Hipperling

Address 335 Yaphank Avenue

City/State Yaphank, NY 11980

Telephone (631) 852-4006

Fax # (631) 852-4150

E-Mail Address justin.hipperling@suffolkcountyny.gov

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, James J. Pratt, III, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 18th day of July

2017

Carol A. Miller
Notary Public

CAROL A. MILLER
Notary Public, State of New York
No. 5002326
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Name of submitting business: Pratt Brothers Inc.

By: James J. Pratt, III
Print name
[Signature]
Signature
President/Treasurer
Title

7 / 18 / 2017
Date



PRATT BROTHERS, INC.
45 SOUTH FOURTH STREET
BAY SHORE, NY 11706
(631) 667-6800

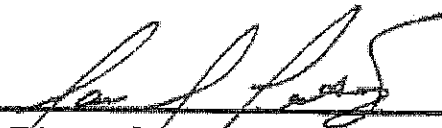
QUALIFICATION STATEMENT

PRATT BROTHERS, INC.

AS OF

DECEMBER 31, 2016

PRATT BROTHERS, INC.



JAMES J PRATT, III, PRESIDENT/TREASURER

QUALIFICATION STATEMENT

1. How many years has your firm been in the business under your present business name?

15

2. How many years experience in the construction work of a similar type as this contract, has your firm had?

- a) as a Prime Contractor 15
b) as a Subcontractor 15

3. List below the construction projects your firm has under way as of this date.

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

Please See Enclosure 1

4. List the projects your firm as a firm has performed in the past few years which you feel will qualify you for this work.

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

Please See Enclosure 2

5. Have you:

- a) ever failed to complete any work awarded to you?

No

- b) ever been defaulted on a contract?

No

c) ever declared a non-responsible bidder by any municipality or public agency?

No

d) ever been barred from bidding municipal or public contracts?

No

6. Has any officer or partner or principal of your firm ever been an officer or partner or principal of some other firm:

a) that failed to complete a construction contract?

No

b) that has ever been defaulted on a contract?

No

c) that has ever been declared a non-responsible bidder by any municipality or public agency?

No

d) that has ever been barred from bidding municipal or public contracts?

No

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in this name?

No

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

Manufacturer of Asphalt Pavement Materials
Manufacturer of Asphalt Aggregate Materials

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and Type of Work	In What Capacity
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Please See Enclosure 3

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected.

Item	Description, Size Capacity, Year, Etc.	Years of Service	Present Location
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Please See Enclosure 4

14. In what manner have you inspected this proposed work? Explain in detail.

Complete Site Inspection
Complete plan take off of all quantities and materials

15. Explain your plan and lay-out for performing the proposed work.

To be submitted at the pre-construction meeting.

16. If a contract is awarded or a permit is issued to your firm, who will have the personal supervision of the work?

James J. Pratt, III

17. Insurance carried by your firm.

Please See Enclosure 5

18. Current financial statement.

Please See Enclosure 7

19. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "**Qualifications and Responsibility of Bidders**" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

NOTE: The bids shall be sworn to by the person signing them, in the following form:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK)
) ss.:
COUNTY OF SUFFOLK)

James J. Pratt, III being duly sworn, deposes and says:
that he resides [REDACTED] in the City of [REDACTED]
New York that he is the President/Treasurer of Pratt Brothers, Inc.
the corporation described in and which executed the foregoing
instrument; that he knows the seal of said corporation; that the seal affixed
to the said instrument is such corporate seal and was affixed by order of
the Board of Directors of said corporation; that he signed his name
thereto by like order; and that he has knowledge of the several matters
therein stated and they are in all respects true.

Subscribed and sworn to before me this 18th day of July, 2017

Carol A. Miller
Notary

CAROL A. MILLER
Notary Public, State of New York
No. 5002926
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Pratt Brothers, Inc.

ENCLOSURE 1

Current Ongoing Projects

CURRENT ONGOING PROJECTS
As of December 31, 2016

JOB NO.	AGENCY/OWNER	CONTRACT NAME/NUMBER	PRIME/ SUB	DESIGN ENGINEER/ ARCHITECT	TOTAL AMOUNT CONTRACT	% UNCOMPLETED SUB	UNCOMPLETED AMOUNT	% INCOMPLETE
14-408	Southside Hospital Dubner Landscaping	Southside Hospital Emergency Dept. Exp. Paving	Sub	[REDACTED]	[REDACTED]	0%	[REDACTED]	19%
15-221	Green Acres Adjacent LLC Aurora Contractors	Green Acres Commons Valley Stream	Prime	[REDACTED]	[REDACTED]	10%	[REDACTED]	1%
15-243	Green Acres Adjacent LLC Aurora Contractors	Green Acres Commons Valley Stream Paving	Prime	[REDACTED]	[REDACTED]	7%	[REDACTED]	10%
15-261	Avalon Bay Communities	Avalon at Great Neck Site Work	Sub	[REDACTED]	[REDACTED]	7%	[REDACTED]	20%
16-044	Optical Communications Group Town of Hempstead	LIRR Directional Bore Westbury	Prime	[REDACTED]	[REDACTED]	50%	[REDACTED]	98%
16-046	Avalon Bay Communities	Avalon Rockville Centre II Sitework	Sub	[REDACTED]	[REDACTED]	5%	[REDACTED]	4%
16-034	Schulmann Industries Inc.	1933 & 1963 Union Blvd. Sitework	Sub	[REDACTED]	[REDACTED]	40%	[REDACTED]	80%
16-286	Rose Breslin Assoc. LLC c/o AVR Realty	Common Area 2 Sitework The Meadows at Yaphank	Prime	[REDACTED]	[REDACTED]	0%	[REDACTED]	64%
17-049	We're Associates	4 Ohio Drive Lake Success	Prime	[REDACTED]	[REDACTED]	0%	[REDACTED]	100%
17-023	Northville Industries	Port Jefferson Repair	Prime	[REDACTED]	[REDACTED]	0%	[REDACTED]	100%

ENCLOSURE 2

Major Completed Projects

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH/ENG	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
07-135 Southern State Parkway Suffolk County D260416	New York State DOT	[REDACTED]	[REDACTED]	9/15/2009	94%	New York State DOT [REDACTED]
08-290 County Road 16 Reconstruction, Ronkonkoma No. 5511	Suffolk County DPW [REDACTED]	[REDACTED]	[REDACTED]	7/31/2011	64%	Suffolk County DPW [REDACTED]
09-077 Milling Requirements - Queens HW2CR09C	City of New York DDC	City of New York DDC	[REDACTED]	4/30/2011	81%	City of New York [REDACTED]
10-268 Culvert Replacement on Valley Stream Road	Village of Valley Stream [REDACTED]	Village of Valley Stream	\$ [REDACTED]	12/31/2011	63%	Village of Valley Stream [REDACTED]
10-208 Milling Requirements - Queens HW2CR11C	City of New York DDC	City of New York DDC	[REDACTED]	8/31/2011	70%	City of New York [REDACTED]
10-300 CR19 Patchogue Holbrook Rd Traffic Calming 3302/5014	Suffolk County DPW	Suffolk County DPW	[REDACTED]	11/15/2011	66%	Suffolk County DPW [REDACTED]
11-289 Sunset Plaza Shopping Center	[REDACTED]	[REDACTED]	[REDACTED]	12/31/2011	100%	Deer Park Associates LLC [REDACTED]
09-155 Calverton National Cemetery Expansion 805CM2036	Calverton National Cemetery GC&P Fabcon	[REDACTED]	[REDACTED]	12/31/2011	66%	[REDACTED]
11-011 Sysco Warehouse Central Islip	Sysco Food Services [REDACTED]	[REDACTED]	[REDACTED]	6/30/2012	85%	[REDACTED]
11-059 CR31 & 104 Intersection Reconstr. Hampton Bays CP5572	Suffolk County DPW [REDACTED]	Suffolk County DPW In-House	[REDACTED]	2/17/2012	71%	Suffolk County DPW [REDACTED]
11-093 Village of Port Jefferson 2011 Road Improvements	Village of Port Jefferson [REDACTED]	[REDACTED]	[REDACTED]	7/15/2012	77%	[REDACTED]
11-413 Solar Array Project @ Cohalan Court Complex Central Islip	Enxco Development Co. [REDACTED]	Enxco Development Co.	[REDACTED]	12/31/2012	100%	[REDACTED]
11-285 Milling Requirements - Queens HW2CR12C	City of New York DDC [REDACTED]	City of New York DDC	[REDACTED]	9/30/2012	88%	[REDACTED]

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH/ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
11-335 Solar Array Project @ Riverhead County Complex	[REDACTED]	[REDACTED]	\$ [REDACTED]	12/31/2012	93%	[REDACTED]
12-104 New Entrance Roadway For School of Medicine	Hofstra University	[REDACTED]	[REDACTED]	9/30/2012	60%	[REDACTED]
12-174 Home Depot Parking Lot Commack	Home Depot	Home Depot	[REDACTED]	12/31/2012	100%	[REDACTED]
09-239 Nassau County DPW Highway & Drainage Req. H66302S	Nassau County DPW	Nassau County DPW	[REDACTED]	12/31/2011	85%	Nassau County DPW
11-358 Suffolk County DPW Storm Water Pollution Remediation Phase 3 CP8239	Suffolk County DPW	Suffolk County DPW In-House	[REDACTED]	12/16/2013	90%	Suffolk County DPW
11-393 Suffolk County DPW Wicks Road Improvement CP5539	Suffolk County DPW	Nelson & Pope	[REDACTED]	12/31/2013	66%	Suffolk County DPW
12-014 Suffolk County DPW CR39 North Road Improvements CP5528	Suffolk County DPW	Suffolk County DPW In-House	[REDACTED]	12/31/2013	77%	Suffolk County DPW
12-018 Canon Headquarters Phase II - Sitework	Canon USA	Turner Construction	[REDACTED]	11/30/2013	56%	Turner Construction
12-158 Mail at Bay Plaza Site Work - Bronx, NY	Prestige Properties & Dev.	[REDACTED]	[REDACTED]	11/30/2013	98%	[REDACTED]
12-166 Home Depot Parking Lot Long Island City-Milling/Paving	Home Depot	Home Depot	[REDACTED]	12/31/2013	100%	[REDACTED]
13-033 Shardian Blvd. Drainage Mineola H6005001G	Nassau County DPW	Nassau County DPW	[REDACTED]	12/31/2013	98%	[REDACTED]
12-426 Reconstruction of Sound Avenue, CR48 Greenport - 5526	Suffolk County DPW	Suffolk County DPW In-House	[REDACTED]	12/31/2014	86%	[REDACTED]

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
13-055 Lowes of Commack Site Work	Aurora Contractors	[REDACTED]	[REDACTED]	12/31/2014	80%	[REDACTED]
13-049 Clearspan Building Project	Brookhaven Rail Terminal	Brookhaven Rail Terminal	[REDACTED]	12/31/2014	65%	[REDACTED]
13-089 Foremain and Pump Station - Huntington Sta.	Avalon Bay Communities	Nelson & Pope	[REDACTED]	12/31/2014	30%	[REDACTED]
13-183 Asphalt Paving Huntington Station	Avalon Bay Communities	Nelson & Pope	[REDACTED]	6/30/2014	95%	[REDACTED]
13-219 Stony Brook Medical Center East Parking Field Paving	State of New York Dormitory Authority	H2M Architects & Engineers	[REDACTED]	12/31/2014	100%	[REDACTED]
13-261 Museum of American Armor Site Work - Old Bethpage	Museum of American Armor BDG Construction Village of Freeport	BDG Construction Corp	[REDACTED]	8/29/2014	78%	[REDACTED]
13-283 2013 Road Improvements Nassau Avenue	Village of Freeport	Village of Freeport In-House	[REDACTED]	12/31/2014	73%	[REDACTED]
14-306 Green Acres Mall Valley Stream - Parking Field Pavement & Rehab	Green Acres Mall Opal Construction	Savik & Murray LLP	[REDACTED]	12/31/2014	95%	[REDACTED]
13-035 Reconstruction of Rte 347 & 112 D262168	New York State DOT Scalamandre	New York State DOT	[REDACTED]	12/31/2015	98%	[REDACTED]
13-297 Village of Mineola 2013 Road Improvements	Village of Mineola	Dvirka & Barilucci	[REDACTED]	12/31/2015	85%	[REDACTED]
14-034 Bridge Rehabilitation Various Locations	Suffolk County DPW	Suffolk County DPW	[REDACTED]	12/31/2015	75%	[REDACTED]
14-084 Lake Success Redevelopment 1 Dakota Drive	We're Associates, Inc.	We're Associates Inc.	[REDACTED]	7/27/2015	55%	[REDACTED]
14-220 2014 Road Improvements	Village of Garden City	Village of Garden City In-House	[REDACTED]	12/31/2015	70%	[REDACTED]

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH/ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
14-294 Ritz Carlton Residences Off Site Sanitary Sewer Connections SLRC1080018	LRC Construction LLC	H2M Architects Engs		7/27/2015	61%	
15-241 3&5 Dakota Parking Lots	We're Associates Inc.	We're Associates Inc.		12/31/2015	85%	
14-132 The Meadows at Yaphank Yaphank Woods Blvd. Road Improvements	Rose Breslin Assoc. LLC c/o AVR Realty			4/30/2016	100%	
14-142 Stony Brook University Toll Drive Residence Phase I	Aurora Contractors Inc. State University of New York at Stony Brook			12/31/2016	87%	
14-378 Stony Brook University Toll Drive Residence Phase II	Aurora Contractors Inc. State University of New York at Stony Brook			12/31/2016	70%	
15-017 Dormitories #A04B007 Sitework	NYRA Belmont EW Howell Travis Salvo			9/28/2016	87%	
15-107 William Floyd Parkway Yaphank Woods Blvd.	Rose Breslin Assoc. LLC c/o AVR Realty			12/31/2016	76%	
15-149 Leavenworth Solar Farm Shoreham	Borrego Solar Systems Inc Eldor Contracting Corp. Keith Feldman			9/28/2016	88%	
15-315 2015 Road Improvements	Village of Floral Park Valente Contracting Corp.			12/31/2016	95%	
15-305 The Meadows at Yaphank Yaphank Woods Blvd. Phase 1A Sitework	Rose Breslin Assoc. LLC c/o AVR Realty			12/31/2016	100%	
15-181 Lake Success Redevelopment 3 Dakota -P Lot & Ramp	We're Associates Inc. Philip Arnold (516) 931-5322			4/30/2016	65%	
15-255 2015 Road Improvements	Village of Mineola Valente Contracting Corp.			9/28/2016	100%	
16-130 LIE Welcome Center Dix Hills	NYSDOT P. Scalamandra & Sons			11/30/2016	100%	

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
16-152 2016 Road Improvements	Village of New Hyde Park	[REDACTED]	[REDACTED]	12/31/2016	77%	[REDACTED]
16-218 2016 Road Improvements	Village of Garden City	Village of Garden City In-House	[REDACTED]	12/31/2016	65%	[REDACTED]
16-308 2016 Road Improvements	Village of Mineola Valente Contracting Corp.	[REDACTED]	[REDACTED]	12/31/2016	100%	[REDACTED]

ENCLOSURE 3

Construction Experience of the Principals

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL

As of December 31, 2016

Principal's Name	Title	Years of Construction Experience	With Whom & In What Capacity
James J. Pratt, III*	President/Treasurer	36	Pratt Brothers, Inc. President/Treasurer
	Vice President/Treasurer General Superintendent Safety Officer		Guy Pratt, Inc. Vice President/Treasurer General Superintendent Safety Officer
Thomas D. Pratt*	Vice President/Secretary	30	Pratt Brothers, Inc. Vice President/Secretary
	Operations Manager		Scott Materials Corp. Operations Manager
Supervisory Personnel			
Joseph M. Williams, Jr. P.E.*	Sr. Vice President Chief Estimator Chief Engineer	32	Pratt Brothers, Inc. Sr. Vice President Chief Estimator/Engineer
	Vice President Chief Estimator Chief Engineer		Guy Pratt Inc. Vice President Chief Estimator/Engineer
Edward P. McCoy, Jr.*	Vice President	15	Pratt Brothers, Inc. Vice President Project Manager
			Guy Pratt, Inc. Project Manager


*Corporate Officer

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL
As of December 31, 2016

<u>Name</u>	<u>Title</u>	<u>Years of Construction Experience</u>	<u>With Whom & In What Capacity</u>
Gregory Couch	Project Manager	32	Pratt Brothers, Inc. Project Manager
			Bi-County Construction Corp. President
			[REDACTED]
			[REDACTED]
James J. Pratt IV	Project Manager	4	Pratt Brothers, Inc. Project Manager
			REI Drilling Project Manager
			Staff Geologist
			[REDACTED]
Joseph Hrbek	Superintendent	36	Pratt Brothers, Inc. Superintendent
			Guy Pratt, Inc. Superintendent
			H.T. Schneider Superintendent
			[REDACTED]



CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL

As of December 31, 2016

<u>Name</u>	<u>Title</u>	<u>Years of Construction Experience</u>	<u>With Whom & In What Capacity</u>
Robert Voelkel	Superintendent	39	Pratt Brothers Inc. Superintendent
			Bi-County Construction Vice President Job Superintendent
			
William Zimmerman	Superintendent	40	Pratt Brothers Inc. Superintendent
	Superintendent		Kings Park Industries Superintendent Operating Engineer
Bill Ventura	Superintendent	36	Pratt Brothers, Inc. Superintendent
Matt Kingston	Asphalt Superintendent	10	Pratt Brothers, Inc. Asphalt Superintendent Operating Engineer
			Guy Pratt, Inc. Operating Engineer
Thomas Crawford	Shop Steward General Foreman	31	Pratt Brothers, Inc. General Foreman
			Guy Pratt, Inc. Laborer

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL

As of December 31, 2016

<u>Name</u>	<u>Title</u>	<u>Years of Construction Experience</u>	<u>With Whom & In What Capacity</u>
Arnold Hoffmann III	Asphalt Foreman	18	Pratt Brothers, Inc. Asphalt Foreman Asphalt Raker Guy Pratt Inc. Laborer
Eleanor DeBlasi	Estimator/Engineer	33	Pratt Brothers, Inc. Estimator Bi-County Construction Estimator  

ENCLOSURE 4

Equipment List

EQUIPMENT LIST

(As of December 31, 2016)

LOADERS

- CAT IT-28
- Komatsu WA 320 Tool Carrier
- Komatsu WA-380
- Komatsu WA-430 Tool Carrier
- CAT 950F
- CAT 950F Tool Carrier
- Komatsu 470
- CAT 970 F
- Komatsu WA-480-6

BACKHOES

RUBBER TIRED BACKHOE/LOADERS

- CAT Backhoe Loader 430F2
- CAT 430E Backhoe Loader
- CAT 430E Backhoe Loader
- CAT 430D Backhoe Loader
- CAT 430D Backhoe Loader
- Case 590SM Backhoe Loader
- 490 Dynahoe w/Drill Rig

HYDRAULIC EXCAVATORS – TRACK

- CAT 303 Mini Excavator
- Komatsu PC-128UU w/Offset Boom
- Komatsu PC270
- CAT 325CL
- Komatsu PC290LC-10
- CAT PC400LC-7

HYDRAULIC EXCAVATORS – RUBBER TIRED

- Liebherr Excavator 904C
- Liebherr Excavator 904C
- CAT 320F

BULLDOZERS

- Komatsu D37PX-22 Dozer
- CAT D5M-LGP
- CAT D6M-LGP
- CAT D6N LGP
- CAT D6T LGP
- Dresser TD 25G Tilt Blade

EQUIPMENT LIST

(As of December 31, 2016)

CRANES

- 18 Ton Hydraulic Crane

TRUCKS

- Flat Truck
- Ford F550 Dump Truck
- Mack 20CY Dump Truck
- Peterbilt 20CY Dump Truck
- Mack Tractor (2)
- Peterbilt Tractor (3)
- MAC Dump Trailer (3)
- Steco Dump Trailer (2)
- 50 Ton Low Bed Trailer
- Red River Asphalt Trailer (3)
- Flow-Boy Asphalt Trailer (3)
- Flat Extendable Deck Trailer (3)
- Attenuator Trailer
- 25 Ton Tag-A-Long Trailer (2)

SCRAPERS

- 260E Elevating Scraper (Water Wagon)

ROLLERS

- CAT Vibratory Soil compactor-CS563
- Dynapac Vibratory Soil Compactor-CA252B
- Hyster 350D Roller
- Hypac 350D Roller
- Hyster 350D Roller
- Hyster 350D Roller
- Dynapac CC-102 Double Drum Vibratory Roller
- I/R Vibratory Roller DD24
- Caterpillar CB 534 Double Drum Vibratory Asphalt Roller
- I/R Roller DD110HF Double Drum Vibratory Asphalt Roller

GRADERS

- Komatsu GD655 Galion Grader

TRAILER

- Tag Trailer -- Skid Steer (3)
- Marathon Tack Coat Trailer

EQUIPMENT LIST

(As of December 31, 2016)

ASPHALT PAVING MACHINES

- Power Ray 4-Ton Hot Asphalt Storage Rig
- CAT 1055D Asphalt Spreader
- CAT AP1055E Asphalt Spreader

MILLING MACHINE

- Wirtgen W200 Milling Machine

MISCELLANEOUS

- IR-85/185 Leroi/Joy 185/Kaeser 210 Compressors (3)
- Kaeser 400 Compressor/EZ Drill
- Read RD90 Screen-All
- Dewatering System
- CAT 247 Skid Steer Loader - Track
- CAT 272D Skid Steer Loader - Rubber
- CAT 289D Skid Steer Loader - Track
- Cold Planer PC206 (skid steers) – 3 ea.
- Amsig Led Flip Disk Variable Message Board w/Modems (5)
- Asphalt Zipper Road Reclaiming Attachment
- 10,000 L.F. Concrete Road Barrier
- 200 Steel Road Plates
- Powerscreen Trommel

ENCLOSURE 5

Insurance



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

12/13/2016

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER National Insurance Brokerage of New York, Inc. 175 Oval Drive Islandia NY 11749		CONTACT NAME: Kathleen Hofmann PHONE (A/C No. Exl): (631) 273-4242 FAX (A/C No.): (631) 273-8990 E-MAIL ADDRESS: khofmann@nibony.com	
INSURED Pratt Brothers, Inc. 45 South 4th Street Bay Shore NY 11706		INSURER(S) AFFORDING COVERAGE INSURER A: Allied World Assurance Company INSURER B: Endurance American Spec Ins Co INSURER C: Hartford Insurance Co. INSURER D: INSURER E: INSURER F:	

COVERAGES **CERTIFICATE NUMBER** Master All Coverage **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADD'L SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC <input type="checkbox"/> OTHER		03099290	12/31/2015	4/1/2018	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS		60000189	12/31/2015	4/1/2018	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ P-P-Basic \$ 50,000
B	<input checked="" type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$		EXC0000026400	12/31/2015	4/1/2018	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/> N/A				PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
C	Disability		INY645292	1/1/2017	12/31/2017	Statutory Limits

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

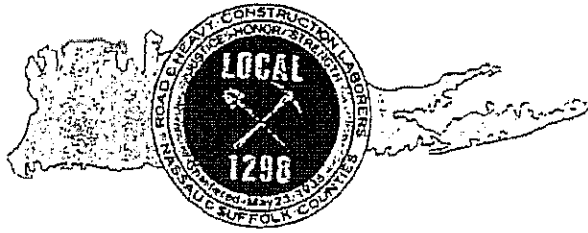
CERTIFICATE HOLDER Evidence of Insurance	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE Frank Cormio/KATHYH
--	---

ENCLOSURE 6

Apprenticeship Training Programs

LABORERS LOCAL UNION NO. 1298 JOINT APPRENTICESHIP TRAINING FUND

NASSAU & SUFFOLK COUNTIES



1611 LOCUST AVENUE
BOHEMIA, L.I., N.Y. 11716
Telephone (631) 218-1378
Fax: (631) 218-1379

FUND ADMINISTRATOR
ANNALESA C. DEFALCO ESQ.

TRAINING DIRECTOR
STEVEN M. AURIGEMA

BOARD OF TRUSTEES
GEORGE F. TRUCKO JR.
GEORGE S. TRUCKO
JOHN H. SHANNON
JAMES WINSHIP
FRANK ALBERTO
GREGORY W. COUCH
GRANT HENDRICKS JR.
MARC HERBST

Re: Road and Heavy Construction Laborers Local #1298
Local 1298 Joint Apprenticeship Training Program
NYS SPONSOR CODE # 01764
NYS ATP CODE # 18514

TO WHOM IT MAY CONCERN

This letter will serve to confirm that Local 1298 Joint Apprenticeship Training Program currently conducts a fully registered and approved New York State "Apprenticeship Training Program for Skilled Construction Craft Laborer" ("ATP"). The ATP is co-sponsored by Local 1298 and all employers/signatory contractors, including but not limited to Pratt Brothers Inc., to the local 1298 Working Agreement Covering Wages and Working Conditions, dated June 1, 2012 to May 31, 2022.

Any additional questions should be directed to my attention.

Fraternaly yours,

Steven M. Aurigema
President Road & Heavy Construction Laborers' Union Local 1298
Training Director
Joint Apprenticeship Training Fund



APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL
INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

SCHOOL (516) 286-8577
FAX (516) 286-8583

UNION - P.O. BOX 205, FARMINGDALE, N.Y. 11735-0205

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11715

Re: Local 138 Apprenticeship School (the "Apprenticeship School")
NYS Sponsor # 00422
NYS ATP Code # 18318
Pratt Brothers, Inc.

To Whom It May Concern

This letter serves to confirm that the Apprenticeship School currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to Pratt Brothers, Inc., to the Local 138 Agreement Covering Working Conditions, dated June 1, 2015 to May 31, 2019. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY
BUSINESS MANAGER & TREASURER
CHAIRMAN BOARD OF TRUSTEES
LOCAL 138 APPRENTICESHIP TRAINING FUND

ENCLOSURE 7

Financial Statement

PRATT BROTHERS, INC.
BALANCE SHEETS
September 30, 2016 and 2015
(Unaudited)

	2016	2015
ASSETS		
Current Assets		
Cash	\$ [REDACTED]	\$ [REDACTED]
Contract receivables	[REDACTED]	[REDACTED]
Costs and estimated earnings in excess of billings on uncompleted contracts	[REDACTED]	[REDACTED]
Prepaid expenses and other	[REDACTED]	[REDACTED]
Total Current Assets	[REDACTED]	[REDACTED]
Fixed Assets	[REDACTED]	[REDACTED]
Other Assets	[REDACTED]	[REDACTED]
	\$ [REDACTED]	\$ [REDACTED]
LIABILITIES AND STOCKHOLDERS' EQUITY		
Current Liabilities		
Line-of-credit	\$ [REDACTED]	\$ [REDACTED]
Notes payable	[REDACTED]	[REDACTED]
Capital lease obligation	[REDACTED]	-0-
Accounts payable	[REDACTED]	[REDACTED]
Billings in excess of costs and estimated earnings on uncompleted contracts	[REDACTED]	[REDACTED]
Accrued expenses and other	[REDACTED]	[REDACTED]
Total Current Liabilities	[REDACTED]	[REDACTED]
Long-Term Liabilities	[REDACTED]	[REDACTED]
	[REDACTED]	-0-
	[REDACTED]	[REDACTED]
Commitments and Contingencies		
Stockholders' Equity	[REDACTED]	[REDACTED]
	[REDACTED]	[REDACTED]

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Pratt Brothers Inc.

Address: 45 South Fourth Street

City, State and Zip Code: Bay Shore, NY 11706

2. Entity's Vendor Identification Number [REDACTED]

3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture
☐ Ltd. Liability Co ☒ Closely Held Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

President/Treasurer: James J. Pratt, III [REDACTED]

Vice President/Secretary: Thomas Pratt [REDACTED]

Sr. Vice President: Joseph M. Williams, Jr., P.E. [REDACTED]

Vice President: Edward P. McCoy, Jr. [REDACTED]

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

James J. Pratt, III [REDACTED]

Thomas Pratt [REDACTED]

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

Scatt Materials Corp.

James J. Pratt III and Thomas Pratt (stockholders of Pratt Brothers Inc.) are also
stockholders of Scatt Materials Corp.

Scatt Materials is an asphalt hot mix manufacturer. Scatt Materials may supply
some of the hot mix material which is required for the construction of this project.

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

"None"

Page 3 of 4

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

None

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: July 20, 2017

Signed: 

Print Name: James J. Pratt, III

Title: President/Treasurer

Page 4 of 4

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU
CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Scatt Materials Corp.

Address: 44 South Fourth Street

City, State and Zip Code: Bay Shore, NY 11706

2. Entity's Vendor Identification Number: 16-1620294

3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture

☐ Ltd. Liability Co ☒ Closely Held Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

President: Peter Scalamandre [REDACTED]

Secretary/Treasurer: James J. Pratt, III [REDACTED]

Vice President: Thomas Pratt [REDACTED]

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

James J. Pratt, III

Gina Greenhill Family Trust

Thomas Pratt

Peter Scalamandre Family Trust

Lisa Hayes Family Trust

Joseph L. Scalamandre Family Trust

Tricia Magioncalda Family Trust

Jill Clark Family Trust

Christina Horton Family Trust

Carole & Virginia Properties, LLC

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

None

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

"None"

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

None

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: July 20, 2017

Signed: 

Print Name: James J. Pratt, III

Title: Secretary/Treasurer

Page 4 of 4

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.



NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

WICKS EXEMPT LIST OF SUBCONTRACTORS

CONTRACT NO.

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

Contractor's Name and Address	Project Description (Project Title, Facility Name and Address):	Bid Date:	Total Contract Amt:
Federal ID No.:			

Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):

☐ Plumbing and Gas Fitting

☐ Steam Heating, Hot Water Heating, Ventilating and AC Apparatus

☐ Electric Wiring and Standard Illuminating Fixtures

If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box [] skip to bottom of form, and sign it as required.

Subcontractor's Name, Address and Federal ID No.	Check (✓) only one.			General Description of Work	Subcontractor's Contract Amt.
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.

Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.

Company Authorized Signature: _____ Title: _____ Date: _____

NO TEXT ON THIS PAGE

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Joseph M. Williams, Jr., P.E. Sr. Vice President

Name and Title of Authorized Representative

m/d/yy

Signature

5/19/17

Date

Pratt Brothers Inc.

Name of Organization

45 South Fourth Street, Bay Shore, NY 11706

Address of Organization

1870-01-01 OJP FORM 4061/1 (REV. 2/89) Previous editions are obsolete

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

PROPOSAL

MacBride Fair Employment Principles

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:
MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

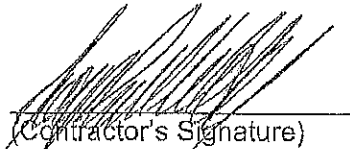
(1) have business operations in Northern Ireland,

Yes ___ No X

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes ___ No ___


(Contractor's Signature)

Joseph M. Williams, Jr., P.E.
Sr. Vice President

Pratt Brothers Inc.
(Name of Business)

NO TEXT ON THIS PAGE

PROPOSAL

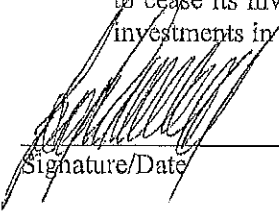
IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

- X a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,
- b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.


Signature/Date

5/19/17

Joseph M. Williams, Jr., P.E.
Sr. Vice President

Print Name and Position

NO TEXT ON THIS PAGE

CONTRACTOR CERTIFICATION STATEMENT REGARDING
STORM WATER POLLUTION AT THE WORK SITE

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

CONTRACTOR'S NAME: Pratt Brothers Inc.

TELEPHONE NUMBER: (631) 667-6800

WORK SITE OR FACILITY NAME: _____

WORK SITE OR FACILITY ADDRESS OR
OTHER IDENTIFYING DESCRIPTION: H61587-55GR

Town of Hempstead - Resurfacing of Various County
Roads

 5/19/17
Signature Date

Joseph M. Williams, Jr., P.E. Sr. Vice President
Print Name and Title of Signer

NO TEXT ON THIS PAGE

PROPOSAL: For all work in accordance with the drawings and specifications:

Pratt Brothers Inc.

(Individual, Firm or Corporation, as case may be)

Individual's Social Security Number _____

Firm or Corporation's Federal ID Number

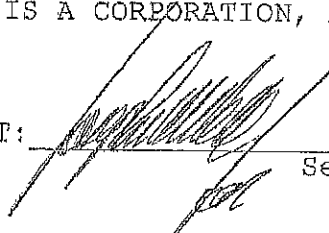
Firm or Corporation's Municipal License ID Number _____

Municipal Licensing Agency _____

By:  Date: May 19, 2017

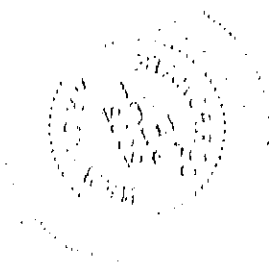
(Print) Joseph M. Williams, Jr., P.E. Title: Sr. Vice President

WHERE BIDDER IS A CORPORATION, ADD:

ATTEST:  Secretary

(CORPORATE)
(SEAL)

NO TEXT ON THIS PAGE



QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 15

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 15

b. as a Subcontractor 15

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? No
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

NO TEXT ON THIS PAGE

c. ever been declared a non-responsible bidder by any municipality or public agency? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

NO TEXT ON THIS PAGE

- d. that has ever been barred from bidding municipal or public contracts? No
If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

"None"

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

"None"

10. In what other lines of business are you financially interested?

Manufacturer of asphalt aggregate and asphalt pavement materials.

NO TEXT ON THIS PAGE

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	---	---------------------	---------------------

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

None

(use additional blank sheets if additional space is necessary)

NO TEXT ON THIS PAGE

14. In what manner have you inspected this proposed work?
Explain in detail.

Complete plan take off and site inspection.

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

As per plans and specifications.

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

James J. Pratt, III - President

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

SEE ATTACHED QUALIFICATION STATEMENT

NO TEXT ON THIS PAGE

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "**Qualifications and Responsibility of Bidders**" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

NO TEXT ON THIS PAGE

NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK)
Suffolk) ss.:
COUNTY OF ~~NASSAU~~)

Joseph M. Williams, Jr., P.E. Being duly sworn, deposes and says:
That he resides at 19 Burgundy Lane Street,
in the City of Nesconset, NY that he is the Sr. Vice President of
Pratt Brothers Inc.
the corporation described in and which executed the foregoing
instrument; that he knows the seal of said corporation; that the seal
affixed to the said instrument is such corporate seal and was affixed
by order of the Board of Directors of said corporation; that he signed
his name thereto by like order; and that he has knowledge of the
several matters therein stated and they are in all respects true.
Subscribed and sworn to before me
this 19th day of May , 20 17.

Carol A. Miller
Notary
CAROL A. MILLER
Notary Public, State of New York
No. 5002926
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK)
ss.:
COUNTY OF NASSAU)

_____ Being duly sworn, deposes and says:
That he is a member of
the firm described in and which executed the foregoing bid; that he
duly subscribed the name of the firm hereunto on behalf of the firm;
and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
this _____ day of _____, 20 ____.

Notary

(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK)
ss.:
COUNTY OF NASSAU)

_____ Being duly sworn, deposes and says:
That he is the person described in and who executed the foregoing bid
and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
this _____ day of _____, 20 ____.

Notary

NO TEXT ON THIS PAGE

NOTICE OF AWARD

CPIN

Page 1

Date 7/07/2017

Cont H6158755GR

Item Number	Estimate of Quantities	Items with unit bid price written in words		Brought Forward	
				Unit Bid Price	Amount Bid
1M	1.00	Lump Sum , Mobilization FOR ONE HUNDRED FIFTY THOUSAND DOLLARS NO CENTS		150,000.000	150,000.00
2	60.00	Cu. Yards , Unclassified Excavation FOR TWENTY DOLLARS NO CENTS	PER Lump Sum	20.000	1,200.00
4A	300.00	Sq. Yards , Cement Concrete Breaking (Pavement) FOR TEN DOLLARS NO CENTS	PER Cu. Yards	10.000	3,000.00
5C	50.00	Cu. Yards , Selected Fill FOR FIFTEEN DOLLARS NO CENTS	PER Sq. Yards	15.000	750.00
7	300.00	Sq. Yards , Preparing Fine Grade FOR THREE DOLLARS NO CENTS	PER Cu. Yards	3.000	900.00
				Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words		Brought Forward	
		Unit Bid Price	Amount Bid		
9	10.00	Cu. Yards , Topsoil FOR ONE HUNDRED FIFTY DOLLARS NO CENTS	150.000	1,500.00	
12H-X	15.00	Each , Cleaning Existing Drainage System FOR SEVEN HUNDRED FIFTY DOLLARS NO CENTS	750.000	11,250.00	
15X	13.00	Each , Altering Existing Catch Basins FOR THREE THOUSAND DOLLARS NO CENTS	3,000.000	39,000.00	
16X	85.00	Each , Altering Brick Manholes FOR THREE HUNDRED DOLLARS NO CENTS	300.000	25,500.00	
24V	6.00	Cu. Yards , Concrete Valley Gutter FOR NINE HUNDRED FIFTY DOLLARS NO CENTS	950.000	5,700.00	
Carry Forward					

CPIN	Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward
				Unit Bid Price Amount Bid
	26	6,600.00	Lin. Feet FOR THIRTY EIGHT DOLLARS NO CENTS	38.000 250,800.00
	26CG	700.00	Lin. Feet FOR SIXTY NINE DOLLARS NO CENTS	69.000 48,300.00
	26SP-D	300.00	Lin. Feet FOR FORTY FIVE DOLLARS NO CENTS	45.000 13,500.00
	27	22,000.00	Sq. Feet FOR THIRTEEN DOLLARS NO CENTS	13.000 286,000.00
	27DW	2,800.00	Sq. Feet FOR THIRTY FIVE DOLLARS NO CENTS	35.000 98,000.00
				Carry Forward

CPIN

Page 4

Date 7/07/2017

Cont H6158755GR

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
27DW-1	100.00	Sq. Feet , Surface Applied Detectable Warning Surface FOR ONE HUNDRED SEVENTY FIVE DOLLARS NO CENTS	175.000	17,500.00
28	1,400.00	Sq. Feet , Cement Concrete Driveways & Driveway Aprons FOR SIXTEEN DOLLARS NO CENTS	16.000	22,400.00
29	600.00	Sq. Feet , Driveway Restoration FOR EIGHT DOLLARS NO CENTS	8.000	4,800.00
30	310.00	Sq. Yards , Metal Reinforcement For Concrete Pavement FOR SIXTEEN DOLLARS NO CENTS	16.000	4,960.00
32X	60.00	Each , Joint Ties (Grout Type) FOR THIRTY SIX DOLLARS NO CENTS	36.000	2,160.00
			Carry Forward	

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CPIN	Page 5	Date 7/07/2017	Cont H6158755GR	Brought Forward	
Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid	
32X-1	100.00	Each , Load Transfer Device for Cement Concrete Pavement Repairs FOR <u>NINETY DOLLARS NO CENTS</u> _____ _____	90.000	9,000.00	
34	18,000.00	Pounds , Miscellaneous Metals FOR <u>THREE DOLLARS NO CENTS</u> _____ _____	3.000	54,000.00	
36CX	40.00	Tons , Asphalt Concrete Truing & Leveling Type 1A FOR <u>FIFTY FIVE DOLLARS NO CENTS</u> _____ _____	55.000	2,200.00	
36DRAR	14,100.00	Tons , Rut Avoidance Asphalt Concrete Type 1A (Top RA Resurfacing) FOR <u>NINETY EIGHT DOLLARS NO CENTS</u> _____ _____	98.000	1,381,800.00	
58A	300.00	Lin. Feet , Sawcutting Existing Non Roadway Asphalt Pavement FOR <u>FOUR DOLLARS NO CENTS</u> _____ _____	4.000	1,200.00	
		PER Lin. Feet	Carry Forward		

Item Number	Estimate of Quantities	Items with unit bid price written in words			Brought Forward	
		Lin. Feet			Unit Bid Price	Amount Bid
58RPC	3,000.00	Lin. Feet	Saw Cutting Existing Roadway Pavement Concrete			
		FOR FIVE DOLLARS NO CENTS			5.000	15,000.00
102	1.00	Lump Sum	Work Zone Traffic Control			
		FOR TWO HUNDRED THIRTY THREE THOUSAND TWO HUNDRED FORTY FIVE DOLLARS NO CENTS			233,245.000	233,245.00
102D	180.00	Days	Flashing Arrow Board			
		FOR FIVE DOLLARS NO CENTS			5.000	900.00
102PVMS	185.00	Days	Portable Variable Message Sign			
		FOR FIVE DOLLARS NO CENTS			5.000	925.00
111	5,400.00	Sq. Yards	Removal and Replacement of Pavements			
		FOR ONE HUNDRED TWENTY DOLLARS NO CENTS			120.000	648,000.00
					Carry Forward	

CPIN

Page 7

Date 7/07/2017

Cont H6158755GR

Item Number	Estimate of Quantities	Items with unit bid price written in words		Brought Forward	Amount Bid
112	60.00	Each	, Adjusting Manholes FOR THREE HUNDRED DOLLARS NO CENTS	300.000	18,000.00
114	175.00	Each	, Adjustment of Water Appurtenances FOR TWENTY FIVE DOLLARS NO CENTS	25.000	4,375.00
115	1,100.00	Lin. Feet	, Butt Joints FOR TEN DOLLARS NO CENTS	10.000	11,000.00
116A	147,000.00	Sq. Yards	, Profile and Removal of Asphalt Pavement FOR TWO DOLLARS FIFTY CENTS	2.500	367,500.00
126B	25.00	Lin. Feet	, Reset Existing Block Curbs FOR THIRTY DOLLARS NO CENTS	30.000	750.00
Carry Forward					

07/07/2017@16:58.06

Item Number	Estimate of Quantities	Items with unit bid price written in words		Brought Forward	
		Unit Bid Price	Amount Bid	Unit Bid Price	Amount Bid
129	60.00	Cu. Yards , Cement Concrete for Pavement Repairs FOR NINE HUNDRED DOLLARS NO CENTS	900.000	54,000.00	
132	55.00	Each , Plowable Raised ReflectORIZED Pavement Markers FOR FIFTY FIVE DOLLARS NO CENTS	55.000	3,025.00	
136	50.00	SHIFT , Survey & Stakeout FOR TWO HUNDRED SEVENTY FIVE DOLLARS NO CENTS	275.000	13,750.00	
137	200.00	Lin. Feet , Remove Existing Traffic Markings FOR THREE DOLLARS NO CENTS	3.000	600.00	
138	50.00	Sq. Yards , Asphalt Joint Repair FOR SIXTY DOLLARS NO CENTS	60.000	3,000.00	
		PER Sq. Yards	Carry Forward		

CPIN

Page 9

Date 7/07/2017

Cont H8158755GR

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
141B	20.00	Each , Silt Protection for Surface Inlet Drainage Structures FOR TWO HUNDRED DOLLARS NO CENTS	200.000	4,000.00
141C	130.00	Each , Silt Protection for Curb Inlet Drainage Structures FOR TWO HUNDRED TWENTY FIVE DOLLARS NO CENTS	225.000	29,250.00
199	1.00	Lump Sum , Interim Payment FOR ONE HUNDRED TWENTY THOUSAND DOLLARS NO CENTS	120,000.000	120,000.00
199AX	1.00	Lump Sum , Asphalt Price Adjustment FOR TWENTY THOUSAND DOLLARS NO CENTS	20,000.000	20,000.00
365	50.00	Sq. Feet , Sodding FOR FIVE DOLLARS NO CENTS	5.000	250.00
			Carry Forward	

07/07/2017@16:58.06

CPIN

Page 10

Date 7/07/2017

Cont H6158755GR

Item Number	Estimate of Quantities	Items with unit bid price written in words		Brought Forward	
				Unit Bid Price	Amount Bid
368	1,000.00	Sq. Yards , Topsoil & Grass Seed FOR <u>EIGHTEEN DOLLARS NO CENTS</u>		18.000	18,000.00
419S-075	20.00	Lin. Feet , Furnish and Install 3/4 Inch Diameter Steel Conduit FOR <u>TWENTY TWO DOLLARS NO CENTS</u>	PER Sq. Yards	22.000	440.00
422L	6,600.00	Lin. Feet , Furnish and Install Loop Wires FOR <u>THREE DOLLARS NO CENTS</u>	PER Lin. Feet	3.000	19,800.00
422LS	2,200.00	Lin. Feet , Furnish and Install Loop Sawcut FOR <u>THIRTY DOLLARS NO CENTS</u>	PER Lin. Feet	30.000	66,000.00
422SHE	4.00	Each , Adjust Traffic Magnetic Vehicle Detector FOR <u>ONE THOUSAND TWO HUNDRED DOLLARS NO CENTS</u>	PER Lin. Feet	1,200.000	4,800.00
				Carry Forward	

07/07/2017@16:58.06

Item Number	Estimate of Quantities	Items with unit bid price written in words		Brought Forward	
				Unit Bid Price	Amount Bid
443C	44.00	Each	, Preformed Tape Pavement Markings - Character		
		FOR	ONE HUNDRED SEVENTY FIVE DOLLARS NO CENTS	175.000	7,700.00
445W-12	6,000.00	Lin. Feet	, 12" White Preformed High Performance Pavement Marking Tape		
		FOR	SEVEN DOLLARS FIFTY CENTS	7.500	45,000.00
445W-24	50.00	Lin. Feet	, 24" White Preformed High Performance Pavement Marking Tape		
		FOR	FOURTEEN DOLLARS FIFTY CENTS	14.500	725.00
501	1.00	Lump Sum	, Cleaning & Filling Joints & Cracks		
		FOR	ONE HUNDRED THIRTY FIVE THOUSAND DOLLARS NO CENTS	135,000.000	135,000.00
		PLEASE BE SURE A BID IS ENTERED FOR EACH ITEM EXCEPT AS DIRECTED FOR OPTIONAL ITEMS			
		TOTAL OR GROSS SUM WRITTEN IN WORDS			
		FOUR MILLION THREE HUNDRED FORTY EIGHT THOUSAND FIVE HUNDRED SIXTY FIVE DOLLARS NO CENTS			
				\$	\$4,348,565.00

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19180-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

James J. Pratt, III President/Treasurer

Name and Title of Authorized Representative

m/d/yy

Signature

7/18/2017

Date

Pratt Brothers Inc.

Name of Organization

45 South Fourth Street, Bay Shore, NY 11706

Address of Organization

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these Instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 15
2. How many years experience in the construction work of a similar type as this contract has your firm had;
 - a. as a Prime Contractor 15
 - b. as a Subcontractor 15
3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
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SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

5. Have you:
 - a. ever failed to complete any work awarded to You? No
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.
 - b. ever been defaulted on a contract? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

NO TEXT ON THIS PAGE

c. ever been declared a non-responsible bidder by any municipality or public agency? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

NO TEXT ON THIS PAGE

d. that has ever been barred from bidding municipal or public contracts? No

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

"None"

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

"None"

10. In what other lines of business are you financially interested?

Manufacturer of asphalt aggregate and asphalt pavement materials.

NO TEXT ON THIS PAGE

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
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SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	---	---------------------	---------------------

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

None

(use additional blank sheets if additional space is necessary)

NO TEXT ON THIS PAGE

14. In what manner have you inspected this proposed work?
Explain in detail.

Complete plan take off and site inspection.

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

As per plans and specifications.

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

James J. Pratt, III - President

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
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SEE ATTACHED QUALIFICATION STATEMENT

NO TEXT ON THIS PAGE

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

NO TEXT ON THIS PAGE

NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK)
Suffolk)ss.:
COUNTY OF NASSAU)

Joseph M. Williams, Jr., P.E. Being duly sworn, deposes and says:
That he resides at 19 Burgundy Lane Street,
in the City of Nesconset, NY that he is the Sr. Vice President of
Pratt Brothers Inc.
the corporation described in and which executed the foregoing
instrument; that he knows the seal of said corporation; that the seal
affixed to the said instrument is such corporate seal and was affixed
by order of the Board of Directors of said corporation; that he signed
his name thereto by like order; and that he has knowledge of the
several matters therein stated and they are in all respects true.
Subscribed and sworn to before me
this 19th day of May , 20 17 .

Carol A. Miller
Notary
CAROL A. MILLER
Notary Public, State of New York
No. 6002928
Qualified in Suffolk County
Commission Expires Sept. 29, 2018

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK)
ss.:
COUNTY OF NASSAU)

_____ Being duly sworn, deposes and says:
That he is a member of
the firm described in and which executed the foregoing bid; that he
duly subscribed the name of the firm hereunto on behalf of the firm;
and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
this _____ day of _____, 20 ____ .

Notary

(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK)
ss.:
COUNTY OF NASSAU)

_____ Being duly sworn, deposes and says:
That he is the person described in and who executed the foregoing bid
and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
this _____ day of _____, 20 ____ .

Notary

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PRATT BROTHERS, INC.
45 SOUTH FOURTH STREET
BAY SHORE, NY 11706
(631) 667-6800

QUALIFICATION STATEMENT

PRATT BROTHERS, INC.

AS OF

DECEMBER 31, 2016

PRATT BROTHERS, INC.



JAMES J PRATT, III, PRESIDENT/TREASURER

QUALIFICATION STATEMENT

1. How many years has your firm been in the business under your present business name?

15

2. How many years experience in the construction work of a similar type as this contract, has your firm had?

- a) as a Prime Contractor 15
b) as a Subcontractor 15

3. List below the construction projects your firm has under way as of this date.

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please See Enclosure 1

4. List the projects your firm as a firm has performed in the past few years which you feel will qualify you for this work.

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please See Enclosure 2

5. Have you:

- a) ever failed to complete any work awarded to you?

No

- b) ever been defaulted on a contract?

No

c) ever declared a non-responsible bidder by any municipality or public agency?

No

d) ever been barred from bidding municipal or public contracts?

No

6. Has any officer or partner or principal of your firm ever been an officer or partner or principal of some other firm:

a) that failed to complete a construction contract?

No

b) that has ever been defaulted on a contract?

No

c) that has ever been declared a non-responsible bidder by any municipality or public agency?

No

d) that has ever been barred from bidding municipal or public contracts?

No

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in this name?

No

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

Manufacturer of Asphalt Pavement Materials
Manufacturer of Asphalt Aggregate Materials

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and Type of Work	In What Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

Please See Enclosure 3

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected.

Item	Description, Size Capacity, Year, Etc.	Years of Service	Present Location
------	---	---------------------	---------------------

Please See Enclosure 4

14. In what manner have you inspected this proposed work? Explain in detail.

Complete Site Inspection
Complete plan take off of all quantities and materials

15. Explain your plan and lay-out for performing the proposed work.

To be submitted at the pre-construction meeting.

16. If a contract is awarded or a permit is issued to your firm, who will have the personal supervision of the work?

James J. Pratt, III

17. Insurance carried by your firm.

Please See Enclosure 5

18. Current financial statement.

Please See Enclosure 7

19. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "**Qualifications and Responsibility of Bidders**" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

NOTE: The bids shall be sworn to by the person signing them, in the following form:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK)
) ss.:
COUNTY OF SUFFOLK)

James J. Pratt, III being duly sworn, deposes and says:
that he resides [REDACTED] in the City of [REDACTED]
New York that he is the President/Treasurer of Pratt Brothers, Inc.
the corporation described in and which executed the foregoing
instrument; that he knows the seal of said corporation; that the seal affixed
to the said instrument is such corporate seal and was affixed by order of
the Board of Directors of said corporation; that he signed his name
thereto by like order; and that he has knowledge of the several matters
therein stated and they are in all respects true.

Subscribed and sworn to before me this 18th day of July, 2017

Carol A. Miller
Notary

CAROL A. MILLER
Notary Public, State of New York
No. 5002326
Qualified in Suffolk County
Commission Expires Sept. 28, 2018

Pratt Brothers, Inc.

ENCLOSURE 1

Current Ongoing Projects

CURRENT ONGOING PROJECTS
As of December 31, 2016

JOB NO.	AGENCY/OWNER	CONTRACT NAME/NUMBER	PRIME/ SUB	DESIGN ENGINEER/ ARCHITECT	TOTAL AMOUNT CONTRACT	% SUB	UNCOMPLETED AMOUNT	% INCOMPLETE
14-408	Southside Hospital Dubner Landscaping	Southside Hospital Emergency Dept. Exp. Paving	Sub	[REDACTED]	[REDACTED]	0%	[REDACTED]	19%
15-221	Green Acres Adjacent LLC Aurora Contractors	Green Acres Commons Valley Stream	Prime	[REDACTED]	[REDACTED]	10%	[REDACTED]	1%
15-243	Green Acres Adjacent LLC Aurora Contractors	Green Acres Commons Valley Stream Paving	Prime	[REDACTED]	[REDACTED]	7%	[REDACTED]	10%
15-261	Avalon Bay Communities	Avalon at Great Neck Site Work	Sub	[REDACTED]	[REDACTED]	7%	[REDACTED]	20%
16-044	Opticat Communications Group Town of Hempstead	LLRR Directional Bore Westbury	Prime	[REDACTED]	[REDACTED]	50%	[REDACTED]	98%
16-048	Avalon Bay Communities	Avalon Rockville Centre II Sitework	Sub	[REDACTED]	[REDACTED]	5%	[REDACTED]	4%
16-034	Schulmann Industries Inc.	1933 & 1963 Union Blvd. Sitework	Sub	[REDACTED]	[REDACTED]	40%	[REDACTED]	80%
16-286	Rose Breslin Assoc. LLC c/o AVR Realty	Common Area 2 Sitework The Meadows at Yaphank	Prime	[REDACTED]	[REDACTED]	0%	[REDACTED]	64%
17-048	We're Associates	4 Ohio Drive Lake Success	Prime	[REDACTED]	[REDACTED]	0%	[REDACTED]	100%
17-023	Northville Industries	Port Jefferson Repair	Prime	[REDACTED]	[REDACTED]	0%	[REDACTED]	100%

ENCLOSURE 2

Major Completed Projects

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH/ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
07-135 Southern State Parkway Suffolk County D260416	New York State DOT			9/15/2009	94%	New York State DOT
08-290 County Road 16 Reconstruction, Ronkonkoma No. 5511	Suffolk County DPW			7/31/2011	64%	Suffolk County DPW
09-077 Milling Requirements - Queens HW2CR09C	City of New York DDC	City of New York DDC		4/30/2011	81%	City of New York
10-268 Culvert Replacement on Valley Stream Road	Village of Valley Stream	Village of Valley Stream	\$	12/31/2011	63%	Village of Valley Stream
10-208 Milling Requirements - Queens HW2CR11C	City of New York DDC	City of New York DDC		8/31/2011	70%	City of New York
10-300 CR19 Patchogue Holbrook Rd Traffic Calming 3302/5014	Suffolk County DPW	Suffolk County DPW		11/15/2011	66%	Suffolk County DPW
11-289 Sunset Plaza Shopping Center				12/31/2011	100%	Dear Park Associates LLC
09-155 Calverton National Cemetery Expansion 805CM2036	Calverton National Cemetery GC&P Fabcon			12/31/2011	66%	
11-011 Sysco Warehouse Central Islip	Sysco Food Services			6/30/2012	85%	
11-059 CR31 & 104 Intersection Reconstr. Hampton Bays CP5572	Suffolk County DPW	Suffolk County DPW In-House		2/17/2012	71%	Suffolk County DPW
11-093 Village of Port Jefferson 2011 Road Improvements	Village of Port Jefferson			7/15/2012	77%	
11-413 Solar Array Project @ Cohalan Court Complex Central Islip	Enxco Development Co.	Enxco Development Co.		12/31/2012	100%	
11-285 Milling Requirements - Queens HW2CR12C	City of New York DDC	City of New York DDC		9/30/2012	88%	

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH/ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
11-335 Solar Array Project @ Riverhead County Complex	[REDACTED]	[REDACTED]	\$ [REDACTED]	12/31/2012	93%	[REDACTED]
12-104 New Entrance Roadway For School of Medicine	Hofstra University [REDACTED]	[REDACTED]	[REDACTED]	9/30/2012	60%	[REDACTED]
12-174 Home Depot Parking Lot Commack	Home Depot	Home Depot	[REDACTED]	12/31/2012	100%	[REDACTED]
09-239 Nassau County DPW Highway & Drainage Req. H663025	Nassau County DPW	Nassau County DPW	[REDACTED]	12/31/2011	85%	Nassau County DPW [REDACTED]
11-359 Suffolk County DPW Storm Water Pollution Remediation Phase 3 CP8239	Suffolk County DPW	Suffolk County DPW In-House	[REDACTED]	12/16/2013	90%	Suffolk County DPW [REDACTED]
11-393 Suffolk County DPW Wicks Road Improvement CP5539	Suffolk County DPW	Nelson & Pope	[REDACTED]	12/31/2013	66%	Suffolk County DPW [REDACTED]
12014 Suffolk County DPW CR39 North Road Improvements CP5528	Suffolk County DPW	Suffolk County DPW In-House	[REDACTED]	12/31/2013	77%	Suffolk County DPW [REDACTED]
12-018 Canon Headquarters Phase II - Sitework	Canon USA	Turner Construction	[REDACTED]	11/30/2013	56%	Turner Construction [REDACTED]
12-158 Mall at Bay Plaza Site Work - Bronx, NY	Prestige Properties & Dev. [REDACTED]	[REDACTED]	[REDACTED]	11/30/2013	98%	[REDACTED]
12-166 Home Depot Parking Lot Long Island City Milling/Paving	Home Depot	Home Depot	[REDACTED]	12/31/2013	100%	[REDACTED]
13-033 Shendan Blvd. Drainage Mineola H6005001G	Nassau County DPW	Nassau County DPW	[REDACTED]	12/31/2013	98%	[REDACTED]
12-426 Reconstruction of Sound Avenue, CR48 Greenport - 5526	Suffolk County DPW [REDACTED]	Suffolk County DPW In-House	[REDACTED]	12/31/2014	86%	[REDACTED]

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
13-055 Lowes of Commack Site Work	Aurora Contractors	[REDACTED]	[REDACTED]	12/31/2014	80%	[REDACTED]
13-049 Clearspan Building Project	Brookhaven Rail Terminal	Brookhaven Rail Terminal	[REDACTED]	12/31/2014	65%	[REDACTED]
13-089 Forcetrain and Pump Station - Huntington Sta.	Avalon Bay Communities	Nelson & Pope	[REDACTED]	12/31/2014	30%	[REDACTED]
13-183 Asphalt Paving Huntington Station	Avalon Bay Communities	Nelson & Pope	[REDACTED]	6/30/2014	95%	[REDACTED]
13-219 Stony Brook Medical Center East Parking Field Paving	State of New York Dormitory Authority	H2M Architects & Engineers	[REDACTED]	12/31/2014	100%	[REDACTED]
13-261 Museum of American Armor Site Work - Old Bethpage	Museum of American Armor BDG Construction	BDG Construction Corp	[REDACTED]	8/29/2014	78%	[REDACTED]
13-283 2013 Road Improvements Nassau Avenue	Village of Freeport	Village of Freeport In-House	[REDACTED]	12/31/2014	73%	[REDACTED]
14-306 Green Acres Mall Valley Stream - Parking Field Pavement & Rehab	Green Acres Mall Opal Construction	Savik & Murray LLP	[REDACTED]	12/31/2014	95%	[REDACTED]
13-035 Reconstruction of Rte 347 & 112 D262168	New York State DOT Scalamandre	New York State DOT	[REDACTED]	12/31/2015	98%	[REDACTED]
13-297 Village of Mineola 2013 Road Improvements	Village of Mineola	Dvirka & Barilucci	[REDACTED]	12/31/2015	85%	[REDACTED]
14-034 Bridge Rehabilitation Various Locations	Suffolk County DPW	Suffolk County DPW	[REDACTED]	12/31/2015	75%	[REDACTED]
14-084 Lake Success Redevelopment 1 Dakota Drive	We're Associates, Inc.	We're Associates Inc.	[REDACTED]	7/27/2015	56%	[REDACTED]
14-220 2014 Road Improvements	Village of Garden City In-House	Village of Garden City In-House	[REDACTED]	12/31/2015	70%	[REDACTED]

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
14-294 Ritz Carlton Residences Off Site Sanitary Sewer Connections SLR01280018	LRC Construction LLC	H2M Architects Engs	[REDACTED]	7/27/2015	61%	[REDACTED]
15-241 3&5 Dakota Parking Lots	We're Associates Inc.	We're Associates Inc.	[REDACTED]	12/31/2015	85%	[REDACTED]
14-132 The Meadows at Yaphank Yaphank Woods Blvd. Road Improvements	Rose Breslin Assoc. LLC c/o AVR Realty	[REDACTED]	[REDACTED]	4/30/2016	100%	[REDACTED]
14-142 Stony Brook University Toll Drive Residence Phase I	Aurora Contractors Inc. State University of New York at Stony Brook	[REDACTED]	[REDACTED]	12/31/2016	87%	[REDACTED]
14-378 Stony Brook University Toll Drive Residence Phase II	Aurora Contractors Inc. State University of New York at Stony Brook	[REDACTED]	[REDACTED]	12/31/2016	70%	[REDACTED]
15-017 Dormitories #A04B007 Stilework	NYRA Belmont EW Howell Travis Salvo	[REDACTED]	[REDACTED]	9/28/2016	87%	[REDACTED]
15-107 William Floyd Parkway Yaphank Woods Blvd.	Rose Breslin Assoc. LLC c/o AVR Realty	[REDACTED]	[REDACTED]	12/31/2016	76%	[REDACTED]
15-149 Leavenworth Solar Farm Shoreham	Borego Solar Systems Inc Eldor Contracting Corp. Keith Feldman	[REDACTED]	[REDACTED]	9/28/2016	88%	[REDACTED]
15-315 2015 Road Improvements	Village of Floral Park Valente Contracting Corp.	[REDACTED]	[REDACTED]	12/31/2016	95%	[REDACTED]
15-305 The Meadows at Yaphank Yaphank Woods Blvd. Phase 1A Sitework	Rose Breslin Assoc. LLC c/o AVR Realty	[REDACTED]	[REDACTED]	12/31/2016	100%	[REDACTED]
15-181 Lake Success Redevelopment 3 Dakota - P Lot & Ramp	We're Associates Inc. Philip Arnold (516) 931-5322	[REDACTED]	[REDACTED]	4/30/2016	65%	[REDACTED]
15-255 2015 Road Improvements	Village of Mineola Valente Contracting Corp.	[REDACTED]	[REDACTED]	9/28/2016	100%	[REDACTED]
16-130 LIE Welcome Center Dix Hills	NYS DOT P. Scalimandre & Sons	[REDACTED]	[REDACTED]	11/30/2016	100%	[REDACTED]

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH/ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
16-152 2016 Road Improvements	Village of New Hyde Park	[REDACTED]	[REDACTED]	12/31/2016	77%	[REDACTED]
16-218 2016 Road Improvements	Village of Garden City	Village of Garden City In-House	[REDACTED]	12/31/2016	65%	[REDACTED]
16-308 2016 Road Improvements	Village of Mineola Valente Contracting Corp.	[REDACTED]	[REDACTED]	12/31/2016	100%	[REDACTED]

ENCLOSURE 3

Construction Experience of the Principals

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL
As of December 31, 2016


<i>Principal's Name</i>	<i>Title</i>	<i>Years of Construction Experience</i>	<i>With Whom & In What Capacity</i>
James J. Pratt, III*	President/Treasurer	36	Pratt Brothers, Inc. President/Treasurer
[REDACTED]	Vice President/Treasurer General Superintendent Safety Officer		Guy Pratt, Inc. Vice President/Treasurer General Superintendent Safety Officer
Thomas D. Pratt*	Vice President/Secretary	30	Pratt Brothers, Inc. Vice President/Secretary
[REDACTED]	Operations Manager		Scott Materials Corp. Operations Manager
[REDACTED]			[REDACTED]
<u>Supervisory Personnel</u>			
Joseph M. Williams, Jr. P.E.*	Sr. Vice President Chief Estimator Chief Engineer	32	Pratt Brothers, Inc. Sr. Vice President Chief Estimator/Engineer
[REDACTED]	Vice President Chief Estimator Chief Engineer		Guy Pratt Inc. Vice President Chief Estimator/Engineer
[REDACTED]			[REDACTED]
Edward P. McCoy, Jr.*	Vice President	15	Pratt Brothers, Inc. Vice President Project Manager
[REDACTED]			Guy Pratt, Inc. Project Manager
[REDACTED]			[REDACTED]

*Corporate Officer

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL
As of December 31, 2016

Name	Title	Years of Construction Experience	With Whom & In What Capacity
Gregory Couch	Project Manager	32	[REDACTED] [REDACTED] Pratt Brothers, Inc. Project Manager Bi-County Construction Corp. President
James J. Pratt IV	Project Manager	4	[REDACTED] Pratt Brothers, Inc. Project Manager REI Drilling Project Manager Staff Geologist [REDACTED]
Joseph Hrbek	Superintendent	36	Pratt Brothers, Inc. Superintendent Guy Pratt, Inc. Superintendent H.T. Schneider Superintendent [REDACTED]

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL
As of December 31 2016

Name	Title	Years of Construction Experience	With Whom & In What Capacity
Robert Voelkel	Superintendent	39	Pratt Brothers Inc. Superintendent
			Bi-County Construction Vice President Job Superintendent
			
William Zimmerman	Superintendent	40	Pratt Brothers Inc. Superintendent
	Superintendent		Kings Park Industries Superintendent Operating Engineer
Bill Ventura	Superintendent	36	Pratt Brothers, Inc. Superintendent
Matt Kingston	Asphalt Superintendent	10	Pratt Brothers, Inc. Asphalt Superintendent Operating Engineer
			Guy Pratt, Inc. Operating Engineer
Thomas Crawford	Shop Steward General Foreman	31	Pratt Brothers, Inc. General Foreman
			Guy Pratt, Inc. Laborer

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL

As of December 31, 2016

<u>Name</u>	<u>Title</u>	<u>Years of Construction Experience</u>	<u>With Whom & In What Capacity</u>
Arnold Hoffmann III	Asphalt Foreman	18	Pratt Brothers, Inc. Asphalt Foreman Asphalt Raker
			Guy Pratt Inc. Laborer
Eleanor DeBlasi	Estimator/Engineer	33	Pratt Brothers, Inc. Estimator Bi-County Construction Estimator

Equipment List

ENCLOSURE 4

EQUIPMENT LIST

(As of December 31, 2016)

LOADERS

- CAT IT-28
- Komatsu WA 320 Tool Carrier
- Komatsu WA-380
- Komatsu WA-430 Tool Carrier
- CAT 950F
- CAT 950F Tool Carrier
- Komatsu 470
- CAT 970 F
- Komatsu WA-480-6

BACKHOES

- CAT Backhoe Loader 430F2
- CAT 430E Backhoe Loader
- CAT 430E Backhoe Loader
- CAT 430D Backhoe Loader
- CAT 430D Backhoe Loader
- CAT 430D Backhoe Loader
- Case 590SM Backhoe Loader
- 490 Dynahoe w/Drill Rig

HYDRAULIC EXCAVATORS – TRACK

- CAT 303 Mini Excavator
- Komatsu PC-128UU w/Offset Boom
- Komatsu PC270
- CAT 325CL
- Komatsu PC290LC-10
- CAT PC400LC-7

HYDRAULIC EXCAVATORS – RUBBER TIERED

- Liebherr Excavator 904C
- Liebherr Excavator 904C
- CAT 320F

BULLDOZERS

- Komatsu D37PX-22 Dozer
- CAT DSM-LGP
- CAT D6M-LGP
- CAT D6N LGP
- CAT D6T LGP
- Dresser TD 25G Tilt Blade

EQUIPMENT LIST

(As of December 31, 2016)

CRANES

- 18 Ton Hydraulic Crane

TRUCKS

- Flat Truck
- Ford F550 Dump Truck
- Mack 20CY Dump Truck
- Peterbilt 20CY Dump Truck
- Mack Tractor (2)
- Peterbilt Tractor (3)
- MAC Dump Trailer (3)
- Steco Dump Trailer (2)
- 50 Ton Low Bed Trailer
- Red River Asphalt Trailer (3)
- Flow-Boy Asphalt Trailer (3)
- Flat Extendable Deck Trailer (3)
- Attenuator Trailer
- 25 Ton Tag-A-Long Trailer (2)

SCRAPERS

- 260E Elevating Scraper (Water Wagon)

ROLLERS

- CAT Vibratory Soil compactor-CS563
- Dynapac Vibratory Soil Compactor-CA252B
- Hyster 350D Roller
- Hypac 350D Roller
- Hyster 350D Roller
- Dynapac CC-102 Double Drum Vibratory Roller
- I/R Vibratory Roller DD24
- Caterpillar CB 534 Double Drum Vibratory Asphalt Roller
- I/R Roller DD110HF Double Drum Vibratory Asphalt Roller

GRADERS

- Komatsu GD655 Gallon Grader

TRAILER

- Tag Trailer – Skid Steer (3)
- Marathon Tack Coat Trailer

EQUIPMENT LIST

(As of December 31, 2016)

ASPHALT PAVING MACHINES

- Power Ray 4-Ton Hot Asphalt Storage Rig
- CAT 1055D Asphalt Spreader
- CAT AP1055E Asphalt Spreader

MILLING MACHINE

- Wirtgen W200 Milling Machine

MISCELLANEOUS

- IR-85/185 Leroy/Joy 185/Kaeser 210 Compressors (3)
- Kaeser 400 Compressor/EZ Drill
- Read RD90 Screen-All
- Dewatering System
- CAT 247 Skid Steer Loader - Track
- CAT 272D Skid Steer Loader - Rubber
- CAT 289D Skid Steer Loader - Track
- Cold Planer PC206 (skid steers) -- 3 ea.
- Amsig Led Flip Disk Variable Message Board w/Modems (5)
- Asphalt Zipper Road Reclaiming Attachment
- 10,000 L.F. Concrete Road Barrier
- 200 Steel Road Plates
- Powerscreen Trommel

ENCLOSURE 5

Insurance

Apprenticeship Training Programs

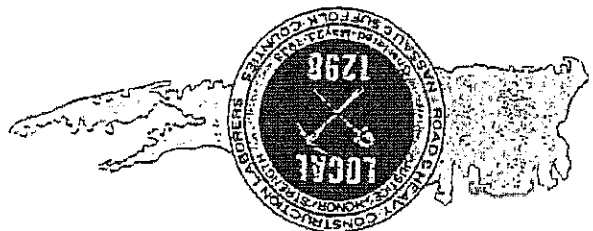
ENCLOSURE 6

LABORERS LOCAL UNION NO. 1298 JOINT APPRENTICESHIP TRAINING FUND

NASSAU & SUFFOLK COUNTIES

1811 LOCUST AVENUE
BOHEMIA, L.I., N.Y. 11716
Telephone: (631) 218-1376
Fax: (631) 218-1379

BOARD OF TRUSTEES
George F. Trautko Jr.
George S. Trautko
John H. Shannon
James V. Inship
Frank A. Berto
Gregory W. Couch
Grant Hendricks Jr.
Marc Hebert



FUND ADMINISTRATOR
AVALISA C. DeFalco Esq.
TRAINING DIRECTOR
STEVEN M. AURIGEMA

Re: Road and Heavy Construction Laborers Local #1298
Local 1298 Joint Apprenticeship Training Program
NYS SPONSOR CODE # 01764
NYS ATP CODE # 18514

TO WHOM IT MAY CONCERN

This letter will serve to confirm that Local 1298 Joint Apprenticeship Training Program currently conducts a fully registered and approved New York State "Apprenticeship Training Program for Skilled Construction Craft Laborer" ("ATP"). The ATP is co-sponsored by Local 1298 and all employers/signatory contractors, including but not limited to Pratt Brothers Inc., to the local 1298 Working Agreement Covering Wages and Working Conditions, dated June 1, 2012 to May 31, 2022.

Any additional questions should be directed to my attention.

Fraternally yours,

Steven M. Aurigema
President Road & Heavy Construction Laborers' Union Local 1298
Training Director
Joint Apprenticeship Training Fund



Re
 Local 138 Apprenticeship School (the "Apprenticeship School")
 NYS Sponsor # 00422
 NYS ATP Code # 18318
 Pratt Brothers, Inc.

To Whom It May Concern

This letter serves to confirm that the Apprenticeship School currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to Pratt Brothers, Inc., to the Local 138 Agreement Covering Working Conditions, dated June 1, 2015 to May 31, 2019. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention

Sincerely,

[Handwritten signature]

JOHN DUFFY
 BUSINESS MANAGER & TREASURER
 CHAIRMAN BOARD OF TRUSTEES
 LOCAL 138 APPRENTICESHIP TRAINING FUND

Financial Statement

ENCLOSURE 7

PRATT BROTHERS, INC.
BALANCE SHEETS
September 30, 2016 and 2015
(Unaudited)

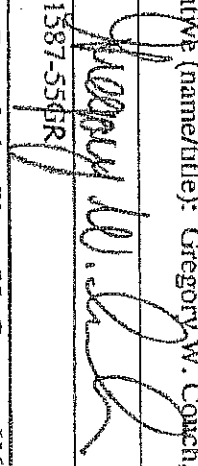
ASSETS		
Current Assets		
Cash		
Contract receivables		
Costs and estimated earnings in excess of billings on uncompleted contracts		
Prepaid expenses and other		
Total Current Assets		
Fixed Assets		
Other Assets		
LIABILITIES AND STOCKHOLDERS' EQUITY		
Current Liabilities		
Line-of-credit		
Notes payable		
Capital lease obligation		
Accounts payable		
Billings in excess of costs and estimated earnings on uncompleted contracts		
Accrued expenses and other		
Long-Term Liabilities		
Commitments and Contingencies		
Stockholders' Equity		

2016 2015

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

CONSULTANT/ CONTRACTOR DETAILED MBE/WBE UTILIZATION PLAN

Part 1 - General Information:

Consultant/Contractor Name:	Pratt Brothers, Inc.
Address (street/city/state/zip code):	45 South 4th Street, Bay Shore, N.Y. 11706
Authorized Representative (name/title):	Gregory W. Couch, Project Manager
Authorized Signature:	
Contract Number:	H61587-55GR
Contract/Project Name:	Resurfacing Phase 55- Contract H61587-55GR
Contract/Project Description:	Resurfacing Phase 55- Contract H61587- 000 55GR

Part 2- Projected MBE/WBE Contract Summary:

	Amount (\$)		Percentage (%)
Total Dollar Value of the Prime Contract	\$4,348,565.00		
Total MBE Dollar Amount	\$569,000	MBE Contract Percentage	13.08%
Total WBE Dollar Amount	\$15,900	WBE Contract Percentage	.36%
Total Combined M/WBE Dollar Amount	\$584,900	Combined M/WBE Contract Percentage	13.44%

Part 3- MBE Information (use additional blank sheets as necessary):

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount (\$) and Award Date	MBE Contract Scheduled Start Date and Completion Date
Name: Valente Contracting Corporation Address: 77 Jackson Avenue City: Mineola State/Zip Code: N.Y., 11501 Authorized Representative: John Valente Telephone No. (516) 746-7951	Concrete Work	Award Date: 9/2017 Amount (\$): \$569,000	Start Date: 9/2017 Completion Date: 6/2018
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No		Award Date: Amount (\$): 	Start Date: Completion Date:
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Award Date: Amount (\$): 	Start Date: Completion Date:

Part 4- WBE Information (use additional blank sheets as necessary):

WBE Firm		Description of Work (WBE)	Projected WBE Contract Amount (\$) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: Island Pavement Cutting		Sawcutting	Amount (\$): \$15,900	Start Date: 9/2017
Address: 84 Kean Street				
City: West Babylon				
State/Zip Code: N.Y., 11704			Award Date: 9/2017	Completion Date: 6/2018
Authorized Representative: Anne O'Neill				
Telephone No. (631) 567-2711				
Name:			Amount (\$):	Start Date:
Address:				
City:				
State/Zip Code:			Award Date:	Completion Date:
Authorized Representative:				
Telephone No.				
Name:			Amount (\$):	Start Date:
Address:				
City:				
State/Zip Code:			Award Date:	Completion Date:
Authorized Representative:				
Telephone No.				