

E-178-17

**NIFS ID:CLAT17000031 Department: County Attorney****Capital:**

SERVICE: Special counsel

Contract ID #:CQAT12000017

NIFS Entry Date: 31-JUL-17

Term: from 01-FEB-12 to 31-JAN-18

Amendment
Time Extension:
Addl. Funds:X
Blanket Resolution:
RES#

1) Mandated Program:	N
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	N
4) Vendor Ownership & Mgmt. Disclosure Attached:	Y
5) Insurance Required	Y

<b>Vendor Info:</b>	
Name: <b>Leventhal, Mullaney &amp; Blinkoff, LLP</b>	Vendor ID#: [REDACTED]
Address: 15 Remsen Avenue Roslyn, NY 11576	Contact Person: Steven Leventhal
	Phone: 516-484-5440

<b>Department:</b>
Contact Name: Jaclyn Delle
Address: 1 West St. Mineola, NY 11501
Phone: 5165713034

**Routing Slip**

Department	NIFS Entry: X	08-AUG-17 -- JDELLE
Department	NIFS Approval: X	11-AUG-17 -- JDELLE
DPW	Capital Fund Approved:	
OMB	NIFA Approval: X	16-AUG-17 -- RDALLEVA
OMB	NIFS Approval: X	16-AUG-17 -- MVOCATURA
County Atty.	Insurance Verification: X	11-AUG-17 -- AAMATO
County Atty.	Approval to Form: X	14-AUG-17 -- DMCDERMOTT
Dep. CE	Approval: X	22-AUG-17 -- CRIBANDO

RECEIVED  
NASSAU COUNTY  
CLERK OF THE COURT  
2017 AUG 25 4 11:53 PM  
JACLYN DELLE

<b>Leg. Affairs</b>	<b>Approval/Review: X</b>	<b>17-AUG-17 -- MREYNOLDS</b>
<b>Legislature</b>	<b>Approval:</b>	
<b>Comptroller</b>	<b>NIFS Approval:</b>	
<b>NIFA</b>	<b>NIFA Approval:</b>	

## Contract Summary

<b>Purpose:</b> This is an amendment to an outside counsel contract for services as counsel to the Nassau County Board of Ethics, and, from time to time, general legal advice and assistance to the Nassau County Attorney or his designee, for matters that require Counsel's particular expertise. This amendment is to increase the maximum amount of the contract by \$85,000, to cover services to January 31, 2018. Upon approval of this amendment, the new maximum amount of the contract will be \$260,000.
<b>Method of Procurement:</b> This is a contract amendment. Please see procurement history below.
<b>Procurement History:</b> A Request for Qualification was issued and a panel established. Leventhal, Mullaney, & Blinkoff, LLP (formerly known as Leventhal, Cursio, Mullaney & Sliney, LLP) has been selected from the panel based on the firm's experience, expertise in the subject matter, and availability (particularly Attorney Steven Leventhal). Counsel has also previously contracted with the County.
<b>Description of General Provisions:</b> As described above.
<b>Impact on Funding / Price Analysis:</b> \$50,000.00 (Total increase to max amount \$85,000.00, however, only \$50,000.00 initial encumbrance, pursuant to the terms of this amendment.)
<b>Change in Contract from Prior Procurement:</b> N/A
<b>Recommendation:</b> (approve as submitted) Approve as submitted.

## Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	GEN	Revenue		1	ATGEN1100/DE502	\$ 50,000.00
Control:	AT	Contract:				\$ 0.00
Resp:	1100	County	\$ 50,000.00			\$ 0.00
Object:	502	Federal	\$ 0.00			\$ 0.00
Transaction:		State	\$ 0.00			\$ 0.00
Project #:		Capital	\$ 0.00			\$ 0.00
Detail:		Other	\$ 0.00			\$ 0.00
RENEWAL		TOTAL	\$ 50,000.00		TOTAL	\$ 50,000.00
% Increase						
% Decrease						



Nassau County Interim Finance Authority

**Contract Approval Request Form (As of January 1, 2015)**

**1. Vendor:** Leventhal, Mullaney & Blinkoff, LLP

**2. Dollar amount requiring NIFA approval:** \$85000

**Amount to be encumbered:** \$50000

This is a Amendment

If new contract - \$ amount should be full amount of contract

If advisement – NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

**3. Contract Term:** 2/1/12-1/31/18

Has work or services on this contract commenced? Y       

If yes, please explain: Contractor continuing service on active matters as amendment is routed through approvals.

**4. Funding Source:**

X General Fund (GEN)	Grant Fund (GRT)	Federal % 0
Capital Improvement Fund (CAP)		State % 0
Other		County % 100

Is the cash available for the full amount of the contract? Y

If not, will it require a future borrowing? N

Has the County Legislature approved the borrowing? N/A

Has NIFA approved the borrowing for this contract? N/A

**5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:**

This is an amendment to an outside counsel contract for services as counsel to the Nassau County Board of Ethics, and, from time to time, general legal advice and assistance to the Nassau County Attorney or his designee, for matters that require Counsel's particular expertise. This amendment is to increase the maximum amount of the contract by \$85,000, to cover services to January 31, 2018. Upon approval of this amendment, the new maximum amount of the contract will be \$260,000.

**6. Has the item requested herein followed all proper procedures and thereby approved by the:**

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

**Date of approval(s) and citation to the resolution where approval for this item was provided:**

**7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:**

Contract ID	Date	Amount

## AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

RDALLEVA

16-AUG-17

**Authenticated User**

**Date**

## COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

**Authenticated User**

**Date**

## NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

**Authenticated User**

**Date**

**NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.**

**NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.**

**NIFA reserves the right to request additional information as needed.**

RULES RESOLUTION NO. – 2017

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE  
TO EXECUTE AN AMENDMENT TO A PERSONAL SERVICES  
AGREEMENT BETWEEN THE COUNTY OF NASSAU, ACTING ON  
BEHALF OF THE NASSAU COUNTY ATTORNEY'S OFFICE, AND  
LEVENTHAL, MULLANEY & BLINKOFF, LLP

WHEREAS, the County has negotiated an amendment to a personal services agreement with Leventhal, Mullaney & Blinkoff, LLP to provide legal services, a copy of which is on file with the Clerk of the Legislature; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature authorizes the County Executive to execute the said amendment to an agreement with Leventhal, Mullaney & Blinkoff, LLP

George Maragos  
Comptroller



OFFICE OF THE COMPTROLLER  
240 Old Country Road  
Mineola, New York 11501

## COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

CONTRACTOR NAME: Leventhal, Mullaney & Blinkoff, LLP (CLAT17000031)

CONTRACTOR ADDRESS: 15 Remsen Ave, Roslyn, NY 11576

FEDERAL TAX ID #:                     

**Instructions:** Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☐ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in \_\_\_\_\_ [newspaper] on \_\_\_\_\_ [date]. The sealed bids were publicly opened on \_\_\_\_\_ [date]. \_\_\_\_\_ [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on \_\_\_\_\_ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in \_\_\_\_\_ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on \_\_\_\_\_ [date]. \_\_\_\_\_ [state #] proposals were received and evaluated. The evaluation committee consisted of: \_\_\_\_\_

\_\_\_\_\_ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

**III. X This is a renewal, extension or amendment of an existing contract.**

The contract was originally executed by Nassau County on June 17, 2013, and amended thereafter. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after a Request for Qualification was issued and a panel established. Leventhal, Cursio, Mullaney & Sliney, LLP was selected from the panel based on the firm's experience, expertise in the subject matter and availability (particularly Attorney Steven Leventhal). Additionally, the County Attorney approved a rate increase from previous contracts and from what the firm was listed on the panel. The per hour rate paid to the firm under this contract is still within the range of panel rates. Also, the Contractor has previously contracted with the County. Under a previous contract, three law firms were interviewed and Leventhal, Cursio, Mullaney & Sliney, LLP was selected based upon its outstanding qualifications and the significant prior experience of Attorney Steven Leventhal to provide those services.

**IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.**

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

**V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.**

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.
- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services



required through an inter-municipal agreement.

**VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated.** Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

**VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services.** The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

**Instructions with respect to Sections VIII, IX and X:** All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

**VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts.** The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

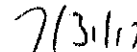
**IX. ☐ Department MWBE responsibilities.** To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

**X. ☒ Vendor will not require any sub-contractors.**

**In addition, if this is a contract with an individual or with an entity that has only one or two employees:** ☐ a review of the criteria set forth by the Internal Revenue Service, Revenue Ruling No. 87-41, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.



Department Head Signature



Date

**NOTE:** Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Compt. form Pers./Prof. Services Contracts: Rev. 03/16

## **Exhibit A**



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

No

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Vendor: Leventhal, Mullaney & Blinkoff, LLP

Dated: 7/24/17

Signed: [Signature]

Print Name: Steven E. Leventhal

Title: Managing Partner

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Steven G. Leventhal  
Date of birth [REDACTED]  
Home address [REDACTED]  
City/state/zip [REDACTED]  
Business address 15 Remsen Ave  
City/state/zip Roslyn, NY 11576  
Telephone 516-484-5440  
Other present address(es) N/A  
City/state/zip \_\_\_\_\_  
Telephone \_\_\_\_\_  
List of other addresses and telephone numbers attached \_\_\_\_\_
2. Positions held in submitting business and starting date of each (check all applicable)  
President \_\_\_\_/\_\_\_\_/\_\_\_\_ Treasurer \_\_\_\_/\_\_\_\_/\_\_\_\_  
Chairman of Board \_\_\_\_/\_\_\_\_/\_\_\_\_ Shareholder \_\_\_\_/\_\_\_\_/\_\_\_\_  
Chief Exec. Officer \_\_\_\_/\_\_\_\_/\_\_\_\_ Secretary \_\_\_\_/\_\_\_\_/\_\_\_\_  
Chief Financial Officer \_\_\_\_/\_\_\_\_/\_\_\_\_ Partner 03/\_\_\_\_/2000  
Vice President \_\_\_\_/\_\_\_\_/\_\_\_\_  
(Other) \_\_\_\_\_
3. Do you have an equity interest in the business submitting the questionnaire?  
YES ☒ NO \_\_\_\_ If Yes, provide details. 100% Equity Interest
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES \_\_\_\_ NO ☒ If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES ☒ NO \_\_\_\_; If Yes, provide details.

---

**PRINCIPAL QUESTIONNAIRE FORM**

5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES

Nassau County Bar Association- President

Duck Pond Associates- Partner

Old Roslyn Management Corp- President

Setauket Village Market, LLP- Managing Member

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES \_\_\_ NO ☒  
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.  
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?  
YES \_\_\_ NO ☒ If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES \_\_\_ NO ☒ If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES \_\_\_ NO ☒ If Yes, provide details for each such instance.
  - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES \_\_\_ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES \_\_\_ NO ☒ If Yes, provide details for each such charge.
  - b) Is there any misdemeanor charge pending against you? YES \_\_\_ NO ☒ If Yes, provide details for each such charge.
  - c) Is there any administrative charge pending against you? YES \_\_\_ NO ☒ If Yes, provide details for each such charge.
  - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES \_\_\_ NO ☒ If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES \_\_\_\_ NO ☒ If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES \_\_\_\_ NO ☒ If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES \_\_\_\_ NO ☒ If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES \_\_\_\_ NO ☒ If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES \_\_\_\_ NO ☒ If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES \_\_\_\_ NO ☒ If Yes, provide details for each such year.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Steven G. Leventhal, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 24<sup>th</sup> day of July 2017

Thomas J. Mullaney  
Notary Public

**Thomas J. Mullaney**  
NOTARY PUBLIC, State of New York  
No. 01MU4816770  
Qualified in Nassau County  
Commission Expires Jan. 31, 2019

Leventhal, Mullaney & Blinkoff, LLP  
Name of submitting business

Steven G. Leventhal  
Print name

[Signature]  
Signature

Managing Partner  
Title

7 / 24 / 17  
Date



### Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

**NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.**

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 7/24/17

- 1) Proposer's Legal Name: Leventhal, Mullaney & Blunkoff, LLP  
2) Address of Place of Business: 15 Remsen Ave. Roslyn, NY 11576

List all other business addresses used within last five years:

3) Mailing Address (if different): \_\_\_\_\_

Phone: 516-484-5440

Does the business own or rent its facilities? Rent

4) Dun and Bradstreet number: N/A

5) Federal I.D. Number: [REDACTED]

6) The proposer is a (check one): \_\_\_\_\_ Sole Proprietorship ☒ Partnership \_\_\_\_\_  
Corporation \_\_\_\_\_ Other (Describe) \_\_\_\_\_

7) Does this business share office space, staff, or equipment expenses with any other business?  
Yes ☒ No \_\_\_\_\_ If Yes, please provide details: Share office space with Leventhal & Company, CPAs

8) Does this business control one or more other businesses? Yes \_\_\_\_\_ No ☒ If Yes, please provide details: \_\_\_\_\_

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ☐ No ☒ If Yes, provide details. \_\_\_\_\_
- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ☐ No ☒ If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). \_\_\_\_\_
- 11) Has the proposer, during the past seven years, been declared bankrupt? Yes ☐ No ☒ If Yes, state date, court jurisdiction, amount of liabilities and amount of assets \_\_\_\_\_
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.  
Yes ☐ No ☒ If Yes, provide details for each such investigation. \_\_\_\_\_
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. \_\_\_\_\_
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
- a) Any felony charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. \_\_\_\_\_
- b) Any misdemeanor charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. \_\_\_\_\_
- c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes ☐ No ☒

If Yes, provide details for each such conviction \_\_\_\_\_

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?

Yes \_\_\_\_ No ☒ If Yes, provide details for each such conviction. \_\_\_\_\_

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? Yes \_\_\_\_ No ☒ If Yes, provide details for each such occurrence. \_\_\_\_\_

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? Yes \_\_\_\_ No ☒ If Yes, provide details for each such instance. \_\_\_\_\_

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? Yes \_\_\_\_ No ☒ If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. \_\_\_\_\_

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. **NOTE: If no conflicts exist, please expressly state "No conflict exists."**

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

Perform conflict checks in connection with each engagement

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include: *See attached*

- i) Date of formation;
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner;
- iii) Name, address and position of all officers and directors of the company;
- iv) State of incorporation (if applicable);
- v) The number of employees in the firm;
- vi) Annual revenue of firm;
- vii) Summary of relevant accomplishments
- viii) Copies of all state and local licenses and permits.

B. Indicate number of years in business.

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company \_\_\_\_\_

Contact Person Honorable Carrell Foskey, County Attorney

Address One West Street

City/State Mineola, NY

Telephone 516 - 571 - 3056

Fax # \_\_\_\_\_

E-Mail Address \_\_\_\_\_

Company \_\_\_\_\_  
Contact Person Cindy Elan-Mangano, Esq., Town Attorney  
Address 100 Main Street  
City/State Huntington, NY 11743  
Telephone \_\_\_\_\_  
Fax # \_\_\_\_\_  
E-Mail Address \_\_\_\_\_

---

Company \_\_\_\_\_  
Contact Person Honorable Julienne W. Beckerman  
Address One "Raz" Tarfuro Way  
City/State Muttontown, NY 11791  
Telephone \_\_\_\_\_  
Fax # \_\_\_\_\_  
E-Mail Address \_\_\_\_\_

LEVENTHAL, MULLANEY & BLINKOFF, LLP

ATTORNEYS AT LAW  
15 REMSEN AVENUE  
ROSLYN, NEW YORK 11576  
TELEPHONE: (516) 484-5440  
FACSIMILE: (516) 484-2710

STEVEN G. LEVENTHAL  
THOMAS J. MULLANEY  
JEFFREY L. BLINKOFF

July 18, 2017

**BUSINESS HISTORY FORM**

**A.**

- i) Date of formation: Established in 2000
- ii) Steven G. Leventhal  
15 Remsen Avenue  
Roslyn, NY 11576
- iii) Steven G. Leventhal  
15 Remsen Avenue  
Roslyn, NY 11576
- iv) New York
- vi) \$585,000 (Steven G. Leventhal, PC)
- vii) attached
- viii) n/a

## **STEVEN G. LEVENTHAL**

15 Remsen Avenue, Roslyn, New York 11576; [www.lcmlaw.com](http://www.lcmlaw.com)  
Tel: (516) 484-5440; Fax: (516) 484-2710; e-mail: [sleventhal@lcmlaw.com](mailto:sleventhal@lcmlaw.com)  
(July 2017)

### **Attorney at Law**

Managing Member, *Leventhal, Mullaney & Blinkoff, LLP* (2000-2017), President, *Steven G. Leventhal, P.C.* (1983-2017), Roslyn, New York.

- Municipal Affairs and related Litigation, Government Ethics, Zoning and Land Use Regulation,
- Banking and Financial Services, Securities Litigation, Arbitration and Regulatory Enforcement and Compliance,
- Business Relations, Commercial Transactions, Commercial Litigation, Tax Planning and Tax Litigation,
- Wills, Trusts, Estate Planning and Probate, Estate Litigation,
- Real Property Transactions,
- Professional Ethics and Discipline.

Special Counsel, *Merrill Lynch, Pierce, Fenner & Smith, Inc.* (1998-2012). Commercial Litigation, Securities Arbitration, Expert Witness Services (Taxation).

Trial Attorney, *Legal Aid Society*, Criminal Defense Division, New York, New York, Felony Certified (1981-1983). All phases of criminal defense, including jury trials to verdict.

Legal Intern, *Internal Revenue Service*, Office of Chief Counsel, Tax Litigation Division, Washington, D.C. (1979).

### **Certified Public Accountant**

*Leventhal and Leventhal, CPA's P.C.* (formerly, *Leventhal and Company, LLP*). Accounting, tax and management advisory services (1976-1977; 1980-1981).

### **Judicial Service and Dispute Resolution**

#### **Associate Village Justice,**

- *Village of Lattingtown, New York* (2009-2017);
- *Village of Massapequa Park, New York* (2005-2017), Published opinions: *People v. Olsen*, 37 Misc. 3d 862 (2012), *aff'd* 34 Misc. 3d 137(A) (2011); *People v. Kramer*, 41 Misc. 3d 458 (2014); *People v. Cerasoli*, 53 Misc. 3d 1210(A) (2016).
- *Village of Oyster Bay Cove, New York* (2001-2005).
- Rated "well qualified" to serve as a Justice of the New York State Supreme Court by the Judiciary Committee of the Nassau County Bar Association (2005).

**Judicial Service and Dispute Resolution (cont.)**

**Hearing Officer, *New York State Joint Commission on Public Ethics (JCOPE)* (2014-2017).** Appointed to serve as presiding officer in adjudicatory hearings to determine whether a violation has occurred of Public Officers Law sections 73 (Restrictions on Activities of current and former state officers and employees), 73-a (Financial Disclosure) or 74 (Code of Ethics); Civil Law Section Law section 107 (Prohibition against certain political activities; improper influence); or Legislative Law article one-A (Lobbying Act).

**Panel Chairperson (2016-17), Arbitrator (2011-2016), *Financial Industry Regulatory Authority ("FINRA")*.** Appointed to serve as non-public (industry) arbitrator in industry and investor disputes.

**Trial Commissioner, *Nassau County Police Department*, (2016-17)** Served as legal advisor to hearing officers in departmental disciplinary and administrative proceedings;

Hearing Officer,

- *Village of Lawrence, New York* (2011). Appointed to preside over disciplinary hearing conducted pursuant to the New York Civil Service Law.
- *Village of Farmingdale, New York* (2012). Appointed to preside over disciplinary hearing conducted pursuant to the New York Civil Service Law.

Temporary Receiver, *United States District Court*, Eastern District of New York (2001). Appointed to marshal business assets of multi-state retail franchises, employ and supervise management, collect business revenue and pay business expenses.

Referee, *Supreme Court of the State of New York*, County of Nassau (1997-2001). References to hear and report in surplus money claims; report and sell in mortgage foreclosures.

Arbitrator, *Nassau Co. Bar Assoc. Alternative Dispute Resolution Tribunal* (2016-2017).

- Rated "well qualified" to serve as an Arbitrator/Mediator by the Judiciary Committee of the Suffolk County Bar Association (2016).

Mediator, (2004-2005). Privately engaged to mediate terms of matrimonial separation

Dispute Resolution Training,

- New York State Bar Association Dispute Resolution Section and Benjamin N. Cardozo School of Law, *Commercial Arbitration Training for Arbitrator and Counsel: Comprehensive Training for the Conduction of Commercial Arbitrations* (2016),
- FINRA Chairperson Training, (2016); Basic Arbitrator, Expungement and Live Video Training (2011).



**Expert Witness Services**

Engaged to provide analysis and expert testimony on tax issues in securities arbitration and matrimonial proceedings, and valuation of monetary damages in employment discrimination claims (1999-2009).

**Public Service**

Counsel to Member, *U.S. House of Representatives*, Fourth Congressional District, New York, (1994-1996). Legislation, Constituent Services, Employment and Personnel.

**Village Attorney**

- *Village of Muttontown* (2006-2017). Served as general counsel to Mayor, Board of Trustees, Planning Board, and all Village Departments; supervised prosecution of Code violations; served as general litigation and tax certiorari counsel.
- *Village of Manorhaven* (2016-2017)

**Chair, Board of Ethics, *County of Nassau* (1996-2002);** member (1990-2002).

Rendered Advisory opinions interpreting Nassau County Code of Ethics; presided over investigation and adjudication of complaints; administered Financial Disclosure Law.

**Counsel to Ethics Board.** Drafted Code of Ethics, Financial Disclosure Form and Instructions, Plain Language Guide to Government Ethics; Developed ethics policies and procedures, developed and implemented ethics training program for officers and employees, provided counsel to Board of Ethics, developed procedures for conducting investigations, drafted advisory opinions, administered financial disclosure program.

- *County of Nassau*, (2002-2003; 2010-2017).
- *County of Suffolk (Ethics Commission)*, (2009-2011).
- *Town of Southampton* (2007-2017).
- *County of Westchester (Independent Consultant)*, (2012-2017).
- *Town of Huntington* (2015-2017).
- *Town of Oyster Bay* (2015-2017).
- *Town of North Hempstead* (2007-2011, 2014-16).
- *Town of Putnam Valley* (2006-2007).
- *Village of Sag Harbor* (2016-2017).
- *Village of Rockville Centre* (2006-2012).

**Special Counsel, Ethics:**

- *County of Nassau*, (2001-2003; 2010-2017). Provided advice to Republican and Democratic administrations in government ethics, financial disclosure, records management, government and legislative operations and executive transition; assisted in revising Ethics Code, drafted plain language guide to government ethics.

**Public Service (cont.)**

- *County of Suffolk (Ethics Commission)*, (2009-2011). Served as litigation counsel in connection with matters pending before the Commission, and in connection a review of the operations and procedures of the Commission by a special committee of the Suffolk County Legislature, the County Comptroller and a Suffolk County Grand Jury.
- *Suffolk County Community College*, (2015-2017). Retained to conduct independent ethics review, and to provide advice and recommendations regarding college governance.
- *City of White Plains* (2010-2012). Appointed to serve as counsel to Board of Ethics in connection with ethics investigation, and as litigation counsel in Article 78 proceeding challenging denial of request by local newspaper for disclosure under FOIL of documents constituting record of preliminary investigation by Board of Ethics.
- *City of Mount Vernon* (2015). Retained to conduct independent ethics review, and to provide ethics advice and recommendations.
- *Town of Yorktown*, Retained to review Town Code of Ethics, provide ethics advice and recommendations, and draft proposal for new Code of Ethics. (2015).
- *Town of Putnam Valley* (2006-2007). Served as litigation counsel.
- *Village of Manorhaven*. Retained as litigation counsel in Federal Civil Rights and Article 78 challenges to Village approval of telecommunications facilities, and to provide ethics advice and recommendations (2009-2013).
- *Village of Freeport Housing Authority*. Retained to supervise investigation, and to provide advice and recommendations (2015-16).
- *Village of Plandome Manor*, Retained to conduct independent ethics review, and to provide ethics advice and recommendations (2009).
- *Village of Lynbrook Towing Review Board* (2006-2007). Retained to conduct independent ethics review and to provide ethics advice and recommendations.
- *Town of Eastchester Fire Department* (2009). Retained to provide ethics advice to Board of Fire Commissioners.
- *Westbury Water and Fire District* (2007-2008). Retained for advice and assistance in developing ethics policies and programs.
- *Port Washington Police District* (2011-2012). Retained for internal investigation and report.
- *Roosevelt Children's Academy Charter School* (2015). Retained to conduct independent ethics review, and to provide ethics advice and recommendations.

**Special Counsel: Other**

- *County of Nassau*, (2012-2017) retained as litigation counsel to prosecute claims in LIBOR transactions; retained to investigate and recommend in connection with EEOC complaint; retained to defend Federal ADA and State Human Rights claims on behalf of the Police Department.
- *Village of Hempstead Community Development Agency* (2016).

**Public Service (cont.)**

Special Counsel: Other (cont.)

- *Village of Freeport*, Retained as litigation counsel in the defense of self-insured tort claims (2010-2013).
- *Village of Freeport Community Development Agency*, Litigation counsel in defense of breach of contract and tort claims (2012-2015).
- *Village of Hempstead Housing Authority* (2013-2017). Litigation counsel in Federal and State courts.
- *Franklin Square Munson Fire District* (2011). Retained for advice in connection with a labor and employment matter.
- *Roosevelt Public Library* (2008-2011). Advice in connection with legal, accounting, personnel, civil service, and unemployment issues; litigation counsel.
- *Village of Rockville Centre Planning Board* (2008-2012)

Member, Planning Board, *Village of Lattingtown* (2002-2009).

**Teaching Experience**

Adjunct Professor, *Long Island Univ.*, Col. of Management, Graduate School of Public Service, Dept. of Health Care and Public Admin., Greenvale, N.Y. *Environmental Law and Administration; Environmental Litigation Internship; Regulatory Agencies; Administrative Law and the Legal Environment in the Health Sector; Medical Ethics.* (1998-2001)

**Bar Admissions**

United States Supreme Court (2010).  
United States Court of Appeals for the Second Circuit (1989).  
United States District Court

- Eastern District of New York (1983).
- Southern District of New York (1983).
- District of New Jersey (1990, ret. 2014).

United States Tax Court (1983).  
New York State Bar (1981).  
New Jersey State Bar (1990, ret. 2014).

**Education**

New York University School of Law, J.D. 1980  
Editor, *The Commentator*; Intern, Urban Law Clinic.

School of Professional Accountancy, C.W. Post College, Long Island Univ., B.S. 1976  
Recipient, M. Tomasulo Award in Accounting; Member, *Delta Mu Delta*, National Business Honor Society.

**Professional Speaking Activities**

Lecturer: New York State Bar Association, Municipal Law Section,

- “*A New Administration Faces Conflicts of Interest*” (2017);
- “*2016 New York Government Ethics Update: Legislative and Judicial Developments*” (2016);
- “*An Interactive Guide to State and Local Ethics Law in the Real World – with Hypotheticals*” (2015);
- “*Politics, Elections and the Municipal Attorney*” (2014);
- “*Looking a Gift Horse in the Mouth: The Gift Regulations Applicable to State and Local Government Officers and Employees,*” (2013);
- “*How to Analyze an Ethics Problem: Recognizing Common Law Conflicts of Interest,*” (2013);
- “*The Ethics of Transparency and the Transparency of Ethics: Reconciling the Ethical Duty of Confidentiality under Article 18 of the General Municipal Law with the Duty to Disclose Under the Freedom of Information Law and the Open Meetings Law*” (2012, 2014);
- “*Communication with Represented Public Officials: The ‘No Contact’ Rule as Applied to the Government Client*” (2012);
- “*Ethics and Professionalism*” (2011, 2009, 2008, 2007);
- “*Needed: A New Statewide Ethics Code For Local Municipalities*” (2010);
- “*Ethics Update – Rules of Professional Conduct and Municipal Law Ethics Issues*” (2009); “*What You Need to Know About Running or Appearing Before a Local Municipal Board of Ethics*” (2008);
- “*Operating a Local Municipal Board of Ethics*” (2006);
- “*Anatomy of a Conflicts Case*” (2001).

Program Co-Chair: New York State Bar Association, Municipal Law Section,

- *Joint Meeting with Environmental Law Section, Jiminy Peak, MA.* (2013);
- *Fall Meeting, Washington, D.C.* (2010).

Lecturer: NYS Bar Association, Young Lawyers Section, Bridge the Gap Program:

- “*Municipal Law 101*” (2016);
- “*Suing or Defending a Municipality – The Notice of Claim*” (2015).

Lecturer: New York State Association of Counties

- Leg. Conf., “*Ethics Training for Municipal Officers and Employees*” (2016);
- Legislative Conference, “*Orientation for Newly Elected Officials: Ethics*” (2005, 2006, 2007, 2008, 2009, 2010, 2011, 2013, 2015, 2017);
- Dennis A. Pelletier County Government Institute/Cornell University: “*Ethics in Government*”, core requirement (2006, 2007, 2008, 2009, 2010, 2011, 2013);
- Ethics and Integrity Workshop (2015).
- County Finance School, “*An Ethics Minefield: Avoiding Missteps in Government Service*” (2012).

**Public Service (cont.)**

## Lecturer:

- New York State Association of Clerks of County Legislative Boards, *"Government Ethics in the Real World"* (2017, 2016).
- New York State Public Employer Labor Relations Association, *"Local Government Ethics: Hypotheticals for Training Municipal Officials"* (2017).
- Nassau County Village Officials Association, *"A Practical Guide to Government Ethics"* (2012).
- New York State Economic Development Council, Basic Economic Development Course: *"Ethics and Integrity in Government"* (2011-2017).
- County Attorneys' Association of the State of New York Annual Meeting, *"Representing the Municipal Client: The Dual Demands of Government Ethics and Professional Responsibility"* (2011).
- Pace University School of Law, Center for Continuing Legal Education, *"Municipal Ethics for Practitioners and Public Officials"* (2011, 2004).
- SUNY New Paltz, Center for Research, Regional Education and Outreach, *"Ethical Issues for Municipal and Land Use Attorneys, including Amendments to Code of Professional Responsibility"* (2009).
- Manhattan College Center for Ethics, *"Current Issues in Gov't Ethics"* (2013).
- eRealty Title Agency, *"A Practical Guide to Government Ethics"* (2012).
- Nassau/Suffolk Water Commissioners Association, *"An Ethics Minefield: Avoiding Missteps in Government Service"* (2009).
- Leadership Training Institute, *"Responsibilities of Officers and Directors of Not-for-Profit Corporations"* (2008).
- Lorman Education Services, *"Representing the Municipal Client: The Dual Demands of Government Ethics and Professional Responsibility"* (2008).
- Nassau County Supreme Court, *"Ethics Training for Small Claims Assessment Review (SCAR) Hearing Officers"* (2007).
- New York State Association of Real Property Tax Services, *"An Ethics Minefield: Avoiding Missteps in Government Service"* (2006).
- Gold Coast Library District, *"Ethics Training for District Trustees"* (2006).
- Practising Law Institute, *"Who is the Client of a Government Attorney: Attorney-Client Privilege in the Government Setting"* (2005).
- Farrell Fritz, P.C., Continuing Legal Ed. Program, *"Government Ethics"* (2004).

## Panelist:

- The Center for NYC Law at New York Law School and The NYC Conflicts of Interest Board, The Nineteenth Citywide Seminar on Ethics in NYC Government *"A New Admin. Faces Conflicts of Interest"* (2017); *"History of Ethics"* (2013).
- Albany Law School, Government Law Center, *Municipal Law and Planning: A Local Perspective on Hydrofracking* (2012).

**Professional Speaking Activities (cont.)**

## Panelist:

- Hofstra University School of Law/Institute of Real Estate - Breslin Center for Real Estate Studies – Nassau County Planning Commission, Land Use Training Program for Municipal Planning and Zoning Officials, *"Best Practices for Public Hearings"* (2011).
- Theodore Roosevelt American Inn of Court, *Securities Arbitration* (2012). *"Preliminary Injunctions: Practical Insights from the Perspectives of the Client, Advocate and Judiciary"* (2010).
- American Bar Association/American Law Institute, *"Ethical Considerations for Government Lawyers"* (2009).
- Nassau Academy of Law and Gold Coast International Film Festival, *"(Dis)honesty – The Truth About Lies"* (2015).
- Council on Government Ethics Laws (COGEL), *"Financial Disclosure: How Much is Too Much?"* (2008).
- Helsinki University School of Law, *"Comparative Legal Systems: Judicial Ethics"* (2007).

## Lecturer: New York State Association of Towns Annual Meeting,

- *"Running a Local Ethics Board"* (2002, 2008, 2011);
- *"Ethics and Integrity in Government"* (2012);
- *"A Practical Guide to Government Ethics"* (2012);
- *"How to Analyze an Ethics Problem: Recognizing Common Law Conflicts of Interest"* (2013);
- *"Running a Local Ethics Board"* (2002, 2008, 2011);
- *"Talking to the Press: Ethical Considerations"* (2014)

## Lecturer: New York State Conference of Mayors and Municipal Officials (NYCOM), Annual Fall Training School for City &amp; Village Officials,

- *"Representing the Municipal Client: The Dual Demands of Government Ethics and Professional Responsibility"* (2006);
- *"Running a Local Municipal Ethics Board"* (2011);
- *"Zoning and Land Use: Case Law Round-Up"* (2013).

## Lecturer: Hofstra University School of Law/Institute of Real Estate - Breslin Center for Real Estate Studies, Land Use Training Program for Municipal Planning and Zoning Officials,

- *"Ethics Update"* (2015, 2011, 2010);
- *"An Ethics Minefield: Avoiding Missteps in Municipal Planning and Zoning"* (2009).

Program Chair, Theodore Roosevelt American Inn of Court, *"Municipal Ethics"* (2011).

**Professional Speaking Activities (cont.)**

Program Chair: Nassau County Bar Association Academy of Law,

- *"Can I Quote You?: A Lawyer's Guide to Dealing with the Press"* (2015);
- *"Securities Arbitration Before the Financial Industry Regulatory Authority (FINRA): The New Suitability Rules, the Proposed New Rules for Expungement of Reported Information From a Broker's Record, and Ethical Considerations in Securities Arbitration"* (2013);
- *"Current Issues in Government Ethics"* (2004);
- *"Current Issues in Government Ethics"* (2004);
- *"Horn v. NY Times: May a Physician be Fired for Refusing to Violate the Ethics of the Med. Profession?"* (2002).

Lecturer: Nassau County Bar Association Academy of Law, Dean's Hour,

- *"Clients with Diminished Capacity: Ethical Considerations"* (2016); *"An Interactive Guide to State and Local Ethics Law in the Real World – with Hypotheticals"* (2015);
- *"Government Ethics: An Interactive Guide"* (2014);
- *"Talking to the Press: Ethical Considerations for Municipal and School Attorneys"* (2014);
- *"Looking a Gift Horse in the Mouth: The Gift Regulations Applicable to State and Local Government Officers and Employees,"* (2014);
- *"Representing the Municipal Client: The Dual Demands of Government Ethics and Professional Responsibility"* (2011, 2010);
- *"Who is the Client of a Government Attorney: Attorney-Client Privilege in the Government Setting"* (2008);
- *"An Ethics Minefield: A Day in the Life of a Government Attorney"* (2007, 2005);
- *"Horn v. NY Times: May a Physician be Fired for Refusing to Violate the Ethics of the Medical Profession?"* (2002);

Lecturer: Nassau County Bar Association Academy of Law, Bridge the Gap Program

- *"Everything You Wanted to Know About Municipal Law..."* (2017)

Lecturer: Nassau County Bar Association, Education Law Committee, *"Representing the Municipal Client: The Dual Demands of Gov. Ethics and Prof. Responsibility"* (2012).

Lecturer: Nassau County Bar Association, Municipal Law Committee:

- *"Suing or Defending a Municipality: An Overview"* (2016);
- *"Gifts and Favors: 'Tis the Season"* (2014);
- *"Proposed Model Code of Ethics for Municipalities"* (2001);
- *"Government Ethics"* (1998).

**Professional Speaking Activities (cont.)**

Lecturer: Suffolk County Bar Association, 24<sup>th</sup> Annual Labor & Employment Conference, "*A Practical Guide to Government Ethics*" (2014).

Panelist: Suffolk County Bar Association, Allen Sak Municipal Law CLE Program, "*New York Government Ethics Update: Cases of Interest.*" (2017).

Program Chair: Suffolk County Bar Association Academy of Law, "*An Ethics Minefield: Avoiding Missteps in Government Service*" (2005).

Lecturer: Office of the Nassau County Attorney, Continuing Legal Education Program:

- "*Representing the Municipal Client: The Dual Demands of Government Ethics and Professional Responsibility*" (2017, 2013, 2011, 2010, 2006).
- "*Ethics Roundtable*" (2016);
- "*Government Ethics in the Real World*" (2015);
- "*Government Ethics: An Interactive Guide*" (2014);
- "*An Agency Head's Guide to Financial Disclosure* (2014);

Lecturer: "*Ethics Training for Municipal Officers and Employees*"

- Town of East Fishkill (2017)
- County of Nassau (2016, 2011);
- Town of Huntington (2016);
- County of Rockland, (2012, 2010);
- County of St. Lawrence (2007);
- City of Peekskill (2011);
- Town of Clarence (2014);
- Town of Clifton Park (2014, 2013, 2011, 2010, 2009);
- Town of Halfmoon (2015);
- Town of Malta (2014);
- Town of Yorktown (2013);
- Town of Milton (2011);
- Town of Putnam Valley (2016, 2008, 2006);
- Town of North Hempstead (2007);
- Town of Southampton (2004);
- Village of Old Brookville (2011);
- Town of Oyster Bay (2017);
- Village of Rockville Centre (2009, 2006);
- Village of Muttontown (2008).

Lecture: "*Best Practices for Village Officers and Employees*"

- Village of Manorhaven (2016);
- Village of Muttontown (2015).



**Professional Speaking Activities (cont.)**

## Lecturer:

- County of Westchester, "*Ethics Training for the Board of Ethics*" (2013);
- Town of North Hempstead, "*Financial Disclosure for Town Officers and Employees*" (2007);
- Village of Westbury, Constitution Day Celebration, *The United States Constitution in History and in the Village Courts Today* (2010).

## Lecturer:

- Dean's Colloquium on Medical Ethics, The June Chinn Society for Bioethics and Human Rights, NYU School of Medicine Master Scholars Program, "*May a Physician be Fired for Refusing to Violate the Ethics of the Med. Profession?*" (2004);
- Rivkin Radler LLP, Continuing Legal Education Program, "*Government Ethics*" (2001);
- Hicksville United Methodist Church, Outreach Program, "*Living Wills, Health Care Proxies, and Other Advance Directives*" (2001);
- American Society of Women Accountants, "*Corporate Shareholder Agreements*" (1988);
- Tax and Accounting Institute, School of Professional Accountancy, Long Island University, "*Corporate Shareholder Agreements*" (1987).

Program Chair: The Shanti Fund, "*Wake Up Manju!*" (1998).

**Publications**

Editor and Contributing author, "*Municipal Ethics In New York: A Primer for Attorneys and Public Officials*", New York State Bar Association, Municipal Law Section, Committee on Government Ethics and Professional Responsibility (2016), Chapters:

- *Article 18: New York's Conflict of Interest Law for Municipal Officials* (with Mark Davies)
- *Running a Local Municipal Ethics Board, Ten Steps to a Better Board*
- *How to Analyze an Ethics Problem: Recognizing Common Law Conflicts of Interest*
- *Reconciling the Ethical Duty of Confidentiality under Article 18 of the General Municipal Law with the Duty to Disclose Under the Freedom of Information Law and the Open Meetings Law.*

Co-Author, The Municipal Lawyer, NYS Bar Association, Municipal Law Section:

- *Local Government Ethics: A Summary and Hypotheticals for Training Municipal Officials*" (2014);
- *An "Abbreviated History of Government Ethics Laws"* (2014);

**Publications (cont.)**

Co-Author, The Municipal Lawyer, NYS Bar Assoc., Municipal Law Section (cont.):

- “*The Ethics of Transparency and the Transparency of Ethics: Reconciling the Ethical Duty of Confidentiality under Article 18 of the General Municipal Law with the Duty to Disclose Under the Freedom of Information Law and the Open Meetings Law*” (2013);

Author, The Municipal Lawyer, NYS Bar Association, Municipal Law Section:

- “*Professor Mark Davies Reflects on a Career in Government Ethics*” (2016);
- “*Talking to the Press: Ethical Considerations for Municipal Attorneys*” (2014);
- “*The Case for Renaming the Professional Ethics Committee*” (2012);
- “*Communication with Represented Public Officials: The ‘No Contact’ Rule as Applied to the Government Client*” (2012);
- “*How to Analyze an Ethics Problem: Recognizing Common Law Conflicts of Interest*” (2011);
- “*Needed: A New Statewide Ethics Code for Local Municipalities*” (2009);
- “*Running a Local Municipal Ethics Board: Ten Steps to a Better Board*” (2008);
- “*Running a Municipal Ethics Bd.: Glossary of Municipal Ethics Terms*,” (2006);
- “*Running a Municipal Ethics Bd.: Is Ethics Advice Confidential?*” (2004).

Author, *Running a Municipal Ethics Board: Tips for Drafting Advisory Opinions*. Talk of the Towns, New York State Association of Towns; International Visitors’ Manual, New York City Conflicts of Interest Board; and website of the New York State Bar Association (2004).

Author, The Nassau Lawyer, Nassau County Bar Association

- “*Restoring Public Confidence in Government*” (2017);
- “*The Case for Renaming the Ethics Committee*” (2012);
- “*Why Do We Need a Government Ethics Code?*” (2004).

Cited as Expert on Government Ethics, *The New York Times* (August 21, 2004).

**Awards**

Recipient, *Frank J. Santagata Memorial Award*, Nassau Co. Magistrates Assoc.: for “exemplary ethics, professionalism, love of the law, and devotion to justice for all” (2014).

Recipient, *Directors Award*, Nassau County Bar Assoc.: for having “worked tirelessly to advance the goals of the Assoc. and enhance the practice of law in Nassau Co.” (2006).

Recipient, *Shanti (Peace) Award*, “for selfless and visionary help” to Indian-Americans (1998).

**Professional and Civic Associations and Activities**

Nassau County Magistrate's Association: President, (2010-2011); Vice President, (2009-2010); Secretary, (2008-2009); Treas. (2007-2008); Elected Director (2003-2007); Chair, Continuing Judicial Education Committee, (2016-2017).

American Bar Association

- Member, House of Delegates (2015-2017);
- Fellow, American Bar Association (2005-2013).

New York State Bar Association

- **Member, Executive Committee, Municipal Law Section, (2010-2017);**
- **Co-Chair, Committee on Ethics and Professionalism, Municipal Law Section (2009-2017),** Member, (2013-14);
- Member, Nominating Committee (2013-2017);
- Member, Committee on Standards of Attorney Conduct (2014-2016);
- Member, Committee for Bar Leaders of New York State (2016);
- Member, Committee on Attorney Professionalism (2001-2002);
- Member (by special appointment) Task Force on Government Ethics (2010);
- Member, House of Delegates (2011-2015);
- Director, New York Bar Foundation (2013-2015), Member (2011-2015).

Nassau County Bar Association

- **President (2017-2018),** President Elect (2016-2017), Vice President (2015-2016), Second VP (2014-2016), Treasurer, (2013-2014), Secretary (2012-2013);
- Elected Director, (2003-2006; 2007-2010);
- Chair, Access to Justice Committee (2014-2016);
- Chair, Non-Dues Revenue Task Force (2016);
- Chair, Investment Committee (2013-2014);
- Chair, Domus (House) Committee (2010-2012);
- Chair, Finance Oversight Committee (2005-2006; 2008-2010);
- Chair, Municipal Law Committee (2001-2003);
- Member, Justice Courts Task Force (2007);
- Trustee, Nassau Academy of Law (2003-2006; 2007-2010; 2012-2016);
- Director, Nassau County Bar Association Assigned Counsel Defender Plan, Inc. (2003-2006, 2007-2010, 2012-2016);
- Director, Bar Association of Nassau County Fund Inc. (2012-2016).

Member, Long Island Council of Bar Leaders (2010-2011).

**Director, Nassau-Suffolk Legal Services Committee, Inc. (2012-2017);** Member, Nominating Committee (2016-2017).

**Professional and Civic Associations and Activities (cont.)**

Competition Judge

- Moot Court Competition, Nassau Academy of Law (2003-2013, 2015-17);
- Moot Court Competition, Hofstra Law School (2008, 2011-2013, 2015-17);
- Natl. Civil Rights Trial Competition, St. John's Univ. Law School (2005, 2012).
- Statewide High School Mock Trial Competition (2005, 2008-2015, 2017);
- Plainview High School Mock Trial Competition (2016);
- National Trial Competition (2012).

Member, Theodore Roosevelt American Inn of Court (2009-2016).

Member, Council on Government Ethics Laws (national organization of government ethics administrators) (2006-2007).

Participant (by invitation), Dean's Colloquium on Medical Ethics, The June Chinn Society for Bioethics and Human Rights, New York University School of Medicine Master Scholars Program (2004).

President, Chamber Players International (formerly, The Sea Cliff Chamber Players) (1996-1997, 1999-2009); Secretary-Treasurer (1993-1996).

Treasurer, Nassau County Firefighters Museum and Education Center (2008-2009); Member, Executive Committee, Board of Directors, (2004-2009).

Member, Board of Directors, Andrew Glover Youth Program, New York County Criminal Court (1983-1985).

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Steven G. Leventhal, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 24<sup>th</sup> day of July

2017

Thomas J. Mullaney  
Notary Public

**Thomas J. Mullaney**  
NOTARY PUBLIC, State of New York  
No. 01MU4816770  
Qualified in Nassau County  
Commission Expires Jan. 31, 2019

Name of submitting business: Leventhal, Mullaney & Blinkoff, LLP

By: Steven G. Leventhal

Print name

Signature

Managing Partner

Title

7 / 24 / 17  
Date

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Leventhal, Mullaney & Blinkoff, LLP

Address: 15 Remsen Ave

City, State and Zip Code: Roslyn, NY 11576

2. Entity's Vendor Identification Number: [REDACTED]

3. Type of Business: ☐ Public Corp ☒ Partnership ☐ Joint Venture

☐ Ltd. Liability Co ☐ Closely Held Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Steven G. Leventhal

15 Remsen Ave.

Roslyn, NY 11576

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

See attached

LEVENTHAL, MULLANEY & BLINKOFF, LLP

ATTORNEYS AT LAW

15 REMSEN AVENUE

ROSLYN, NEW YORK 11576

TELEPHONE: (516) 484-5440

FACSIMILE: (516) 484-2710

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

Question 5.

Steven G. Leventhal  
15 Remsen Avenue  
Roslyn, NY 11576

Thomas J. Mullaney  
15 Remsen Avenue  
Roslyn, NY 11576

Jeffrey L. Blinkoff  
15 Remsen Avenue  
Roslyn, NY 11576

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

Steven G. Leventhal, P.C. Common  
Ownership

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

None



(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

None


(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 2/24/17

Signed: 

Print Name: Steven G. Leventhal

Title: Managing Partner

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

## AMENDMENT NO. 5

AMENDMENT (together with any appendices or exhibits hereto, this "Amendment") dated as of the date (the "Effective Date") that this Amendment is executed by Nassau County, between (i) Nassau County, a municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County"), acting for and on behalf of the Office of the Nassau County Attorney, having its principal office at One West Street, Mineola, New York 11501 (the "Department"), and (ii) Leventhal, Mullaney & Blinkoff, LLP (formerly known as Leventhal, Cursio, Mullaney & Sliney, LLP), having an office located at 15 Remsen Avenue, Roslyn, New York 11576 ("Counsel" or "Contractor").

### WITNESSETH:

WHEREAS, pursuant to County contract number CQAT12000017 between the County and Counsel, executed on behalf of the County on June 17, 2013, and as amended thereafter (the "Original Agreement"), Counsel serves as counsel to the Nassau County Board of Ethics and provides general legal advice and assistance to the Nassau County Attorney or his designee, which services are more fully described in the Original Agreement (the services contemplated by the Original Agreement, the "Services"); and

WHEREAS, the term of the Original Agreement is from February 1, 2012 until January 31, 2018, unless sooner terminated in accordance with the provisions of the Original Agreement (the "Original Term"); and

WHEREAS, the maximum amount that the County agreed to reimburse Counsel for Services under the Original Agreement, as full compensation for the Services, is One Hundred Seventy-five Thousand Dollars (\$175,000.00) (the "Maximum Amount"); and

WHEREAS, the County desires to increase the Maximum Amount.

NOW, THEREFORE, in consideration of the promises and mutual covenants contained in this Amendment, the parties agree as follows:

1. Maximum Amount. The Maximum Amount shall be increased by Eighty-five Thousand Dollars (\$85,000.00) (the "Amendment Maximum Amount"), so that the maximum amount that the County shall pay to Counsel as full consideration for all Services provided under the Original Agreement, as amended by this Amendment (the "Amended Agreement"), shall be Two Hundred Sixty Thousand Dollars (\$260,000.00) (the "Amended Maximum Amount").


2. Partial Encumbrance. Counsel acknowledges that the County will partially encumber funds to be applied toward the Amendment Maximum Amount throughout the term of this Amended Agreement. Counsel further acknowledges that the first encumbrance will be Fifty Thousand Dollars (\$50,000.00). Thereafter, the Department shall notify Counsel of the availability of additional monies, which written notice shall include the amount encumbered. Such notification shall serve as notice to proceed.

3. Full Force and Effect. All the terms and conditions of the Original Agreement not expressly amended by this Amendment shall remain in full force and effect and govern the relationship of the parties for the term of the Amended Agreement.


[Remainder of Page Intentionally Left Blank.]

IN WITNESS WHEREOF, the parties have executed this Amendment as of the Effective Date.

LEVENTHAL, MULLANEY & BLINKOFF, LLP

By:   
Name: Steven G. Leventhal  
Title: Managing member  
Date: 7.24.17

NASSAU COUNTY

By:   
Name: Carnell Foskey  
Title: County Attorney  
Date: 7/31/17

NASSAU COUNTY

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: County Executive  
☐ Deputy County Executive  
Date: \_\_\_\_\_

PLEASE EXECUTE IN BLUE INK

STATE OF NEW YORK)  
 )ss.:  
COUNTY OF NASSAU )

On the 24<sup>th</sup> day of July in the year 2017 before me personally came Steven G. Leventhal to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is the Managing Member of Leventhal, Mullaney & Blinkoff, the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

Thomas J. Mullaney  
NOTARY PUBLIC

**Thomas J. Mullaney**  
NOTARY PUBLIC, State of New York  
No. 01MU4816770  
Qualified in Nassau County  
Commission Expires Jan. 31, 2019

STATE OF NEW YORK)  
 )ss.:  
COUNTY OF NASSAU )

On the 31<sup>st</sup> day of July in the year 2017 before me personally came Carnell Foskey to me personally known, who, being by me duly sworn, did depose and say that he resides in the County of Nassau; that he is County Attorney of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he signed his name thereto pursuant to Section 1101 of the County Government Law of Nassau County.

Jaclyn Delle  
NOTARY PUBLIC

JACLYN DELLE  
Notary Public, State of New York  
No. 02DE6305114  
Qualified in Nassau County  
Commission Expires on June 2, 2018

STATE OF NEW YORK)  
 )ss.:  
COUNTY OF NASSAU )

On the \_\_\_\_ day of \_\_\_\_\_ in the year 20\_\_ before me personally came \_\_\_\_\_ to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of \_\_\_\_\_; that he or she is a Deputy County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

\_\_\_\_\_  
NOTARY PUBLIC

Contract ID#: CQAT12000017



Received 4/5/17

Department: County Attorney

E-36-17

**Contract Details**

SERVICES: Special Counsel

NIFS ID #: CLAT17000009

NIFS Entry Date: 1/10/2017

Term: February 1, 2012—January 31, 2018

New <input type="checkbox"/> Renewal <input checked="" type="checkbox"/>	1) Mandated Program:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Amendment #4 <input checked="" type="checkbox"/>	2) Comptroller Approval Form Attached:	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Time Extension <input checked="" type="checkbox"/>	3) CSEA Agmt. § 32 Compliance Attached:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Addl. Funds <input type="checkbox"/>	4) Vendor Ownership & Mgmt. Disclosure Attached:	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Blanket Resolution <input type="checkbox"/>	5) Insurance Required	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
RES#		

**Agency Information**

Vendor		County Department	
Name Leventhal, Mullaney & Blinkoff, LLP	Vendor ID# [REDACTED]	Department Contact Jaclyn Delle	
Address 15 Remsen Avenue Roslyn, New York 11576	Contact Person Steven Leventhal	Address 1 West St. Mineola, New York 11501	
	Phone (516) 484-5440	Phone (516) 571-3034	

**Routing Slip**

DATE Rec'd.	DEPARTMENT	Internal Verification	DATE Appv'd & Fw'd.	SIGNATURE	Leg. Approval Required
	Department	NIFS Entry (Dept) <input type="checkbox"/> NIFS Appvl (Dept. Head) <input type="checkbox"/>		[Signature]	
1/17/17	OMB	NIFS Approval <input checked="" type="checkbox"/>	1/17/17	[Signature]	Yes <input type="checkbox"/> No <input type="checkbox"/> Not required if blanket resolution
	County Attorney	CA RE&I Verification <input checked="" type="checkbox"/>	1/31/17	[Signature]	
	County Attorney	CA Approval as to form <input checked="" type="checkbox"/>	1/31/17	[Signature]	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
2/1/17	Legislative Affairs	Fw'd Original K to CA <input type="checkbox"/>	2/1/17	[Signature]	
	Rules <input type="checkbox"/> / Leg. <input type="checkbox"/>	<input type="checkbox"/>			
	County Attorney	NIFS Approval <input checked="" type="checkbox"/>	2/24/17	[Signature]	
	County Comptroller	NIFS Approval <input checked="" type="checkbox"/>	3/13/17	[Signature]	
2/13/17	County Executive	Notarization <input type="checkbox"/> Filed with Clerk of the Leg. <input type="checkbox"/>	2/17/17	[Signature]	



## Contract Summary

<b>Description:</b> Amendment #4 to original contract for legal services.
<b>Purpose:</b> This is an amendment to an outside counsel contract where Contractor shall serve as counsel to the Nassau County Board of Ethics and from time to time, shall provide general legal advice and assistance to the Nassau County Attorney or his designee, for matters that require Counsel's particular expertise. Please see firm resume describing qualifications and accomplishments attached to the Business History form in this contract package. This amendment is to extend the term of the original contract, so that the new termination date shall be January 31, 2018.
<b>Method of Procurement:</b> This is an amendment to an existing contract. See procurement history below.
<b>Procurement History:</b> A Request for Qualification was issued and a panel established. Leventhal, Mullaney, & Blinkoff, LLP (formerly known as Leventhal, Cursio, Mullaney & Sliney, LLP) has been selected from the panel based on the firm's experience, expertise in the subject matter and availability (particularly Attorney Steven Leventhal). Additionally, the County Attorney approved a rate increase from previous contracts and from what the firm was listed on the panel. The per hour rate paid to the firm under this contract is still within the range of panel rates. Also, the Contractor has previously contracted with the County. Under a previous contract, three law firms were interviewed and Leventhal, Cursio, Mullaney & Sliney, LLP was selected based upon its outstanding qualifications and the significant prior experience of Attorney Steven Leventhal to provide those services.
<b>Description of General Provisions:</b> As described above.
<b>Impact on Funding / Price Analysis:</b> \$.01
<b>Change in Contract from Prior Procurement:</b> N/A
<b>Recommendation:</b> Approve as submitted.

## Advisement Information

BUDGET CODES	
Fund:	GEN
Control:	AT
Resp:	1100
Object:	502
Transaction:	

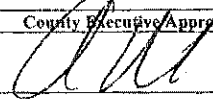
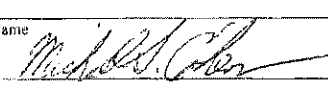
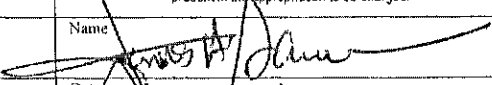
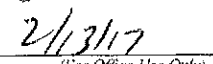
FUNDING SOURCE	AMOUNT
Revenue Contract <input type="checkbox"/>	XXXXXXX
County	\$ .01
Federal	\$
State	\$
Capital	\$
Other	\$
<b>TOTAL</b>	<b>\$ .01</b>

LINE	INDEX/OBJECT CODE	AMOUNT
1	ATGEN1100/DE502	\$ .01
2		\$
3		\$
4		\$
5		\$
6		\$
<b>TOTAL</b>		<b>\$ .01</b>

RENEWAL	
% Increase	
% Decrease	

Document Prepared By: \_\_\_\_\_

Date: \_\_\_\_\_

NIFS Certification	Comptroller Certification	County Executive Approval
I certify that this document was accepted into NIFS.	I certify that an unencumbered balance sufficient to cover this contract is present in the appropriation to be charged.	Name: 
Name: 	Name: 	Date: 
Date: 3/15/2017	Date: 3/13/17	(For Office Use Only)
		E #:



RULES RESOLUTION NO. 43 2017

E-36-17

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE  
TO EXECUTE AN AMENDMENT TO A PERSONAL SERVICES  
AGREEMENT BETWEEN THE COUNTY OF NASSAU, ACTING ON  
BEHALF OF THE NASSAU COUNTY ATTORNEY'S OFFICE, AND  
LEVENTHAL, MULLANEY & BLINKOFF, LLP

Passed by the Rules Committee  
Nassau County Legislature  
By Voice Vote on 2-22-17  
VOTING:  
yeas 4 nays 3 abstained 0 recused 0  
Legislators present: 7

WHEREAS, the County has negotiated an amendment to a personal services agreement with Leventhal, Mullaney & Blinkoff, LLP to provide legal services, a copy of which is on file with the Clerk of the Legislature; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature authorize the County Executive to execute the said amendment to an agreement with Leventhal, Mullaney & Blinkoff, LLP

George Maragos  
Comptroller



OFFICE OF THE COMPTROLLER  
240 Old Country Road  
Mineola, New York 11501

## COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

CONTRACTOR NAME: Leventhal, Mullaney & Blinkoff, LLP (CLAT17000009)

CONTRACTOR ADDRESS: 15 Remsen Ave, Roslyn, NY 11576

FEDERAL TAX ID #:                     

**Instructions:** Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

**I. ☐ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids.** The contract was awarded after a request for sealed bids was published in \_\_\_\_\_ [newspaper] on \_\_\_\_\_ [date]. The sealed bids were publicly opened on \_\_\_\_\_ [date]. \_\_\_\_\_ [#] of sealed bids were received and opened.

**II. ☐ The contractor was selected pursuant to a Request for Proposals.**

The Contract was entered into after a written request for proposals was issued on \_\_\_\_\_ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in \_\_\_\_\_ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on \_\_\_\_\_ [date]. \_\_\_\_\_ [state #] proposals were received and evaluated. The evaluation committee consisted of: \_\_\_\_\_

\_\_\_\_\_ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

**III. X This is a renewal, extension or amendment of an existing contract.**

The contract was originally executed by Nassau County on June 17, 2013, and amended thereafter. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after a Request for Qualification was issued and a panel established. Leventhal, Cursio, Mullaney & Sliney, LLP was selected from the panel based on the firm's experience, expertise in the subject matter and availability (particularly Attorney Steven Leventhal). Additionally, the County Attorney approved a rate increase from previous contracts and from what the firm was listed on the panel. The per hour rate paid to the firm under this contract is still within the range of panel rates. Also, the Contractor has previously contracted with the County. Under a previous contract, three law firms were interviewed and Leventhal, Cursio, Mullaney & Sliney, LLP was selected based upon its outstanding qualifications and the significant prior experience of Attorney Steven Leventhal to provide those services.

**IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.**

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

**V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.**

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.
- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services

required through an inter-municipal agreement.

**VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated.** Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

**VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services.** The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

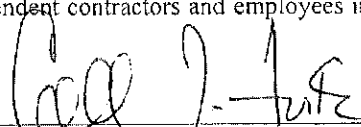
**Instructions with respect to Sections VIII, IX and X:** All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

**VIII. ☐ Participation of Minority Group Members and Women in Nassau County Contracts.** The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

**IX. ☐ Department MWBE responsibilities.** To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

**X. ☐ Vendor will not require any sub-contractors.**

**In addition, if this is a contract with an individual or with an entity that has only one or two employees:** ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41, 1987-1 C.B. 296*, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

  
\_\_\_\_\_  
Department Head Signature

  
\_\_\_\_\_  
Date

**NOTE:** Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Compt. form Pers./Prof. Services Contracts: Rev. 03/16

#### AMENDMENT NO. 4

AMENDMENT (together with any appendices or exhibits hereto, this "Amendment") dated as of the date (the "Effective Date") that this Amendment is executed by Nassau County, between (i) Nassau County, a municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County"), acting for and on behalf of the Office of the Nassau County Attorney, having its principal office at One West Street, Mineola, New York 11501 (the "Department"), and (ii) Leventhal, Mullaney & Blinkoff, LLP (formerly known as Leventhal, Cursio, Mullaney & Sliney, LLP), having an office located at 15 Remsen Avenue, Roslyn, New York 11576 ("Counsel" or "Contractor").

#### WITNESSETH:

WHEREAS, pursuant to County contract number CQAT12000017 between the County and Counsel, executed on behalf of the County on June 17, 2013, as amended by amendment one (1) County contract number CLAT13000023, executed on behalf of the County on August 1, 2013, as amended by amendment two (2) County contract number CLAT14000002, executed on behalf of the County on February 19, 2014, and as amended by amendment three (3) County contract number CLAT15000016, executed on behalf of the County on January 5, 2016 (the "Original Agreement"), Counsel serves as counsel to the Nassau County Board of Ethics and provides general legal advice and assistance to the Nassau County Attorney or his designee, which services are more fully described in the Original Agreement (the services contemplated by the Original Agreement, the "Services"); and

WHEREAS, the term of the Original Agreement is from February 1, 2012 until January 31, 2016, unless sooner terminated in accordance with the provisions of the Original Agreement (the "Original Term"); and

WHEREAS, the maximum amount that the County agreed to reimburse Counsel for Services under the Original Agreement, as full compensation for the Services, is One Hundred Twenty-five Thousand Dollars (\$125,000.00) (the "Maximum Amount"); and

WHEREAS, the County desires to extend the Original Term and increase the Maximum Amount.

NOW, THEREFORE, in consideration of the promises and mutual covenants contained in this Amendment, the parties agree as follows:

1. Term Extension. The Original Term shall be extended for two (2) years, so that the termination date of the Original Agreement, as amended by this Amendment (the "Amended Agreement"), shall be January 31, 2018.

2. Maximum Amount. The Maximum Amount shall be increased by Fifty Thousand Dollars (\$50,000.00) (the "Amendment Maximum Amount"), so that the maximum amount that the County shall pay to Counsel as full consideration for all Services provided under the Amended Agreement, shall be One Hundred Seventy-five Thousand Dollars (\$175,000.00) (the "Amended Maximum Amount").


3. Partial Encumbrance. Counsel acknowledges that the County will partially encumber funds to be applied toward the Amendment Maximum Amount throughout the term of this Amended Agreement. Counsel further acknowledges that there will be no encumbrance under this Amendment. Thereafter, the Department shall notify Counsel of the availability of additional monies, which written notice shall include the amount encumbered. Such notification shall serve as notice to proceed.

4. Full Force and Effect. All the terms and conditions of the Original Agreement not expressly amended by this Amendment shall remain in full force and effect and govern the relationship of the parties for the term of the Amended Agreement.

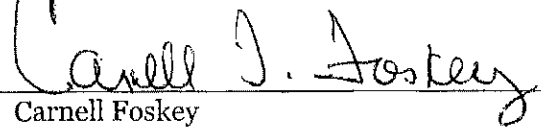
[Remainder of Page Intentionally Left Blank.]

IN WITNESS WHEREOF, the parties have executed this Amendment as of the Effective Date.

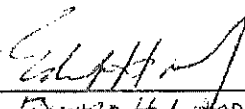
LEVENTHAL, MULLANEY & BLINKOFF, LLP

By:   
Name: Steven G. Leventhal  
Title: Managing Partner  
Date: 12-19-16

NASSAU COUNTY

By:   
Name: Carnell Foskey  
Title: County Attorney  
Date: 1-11-17

NASSAU COUNTY

By:   
Name: E. H. Wilson  
Title: County Executive  
☒ Deputy County Executive  
Date: 3/27/17

PLEASE EXECUTE IN BLUE INK

STATE OF NEW YORK)

)SS.:

On the 14<sup>th</sup> day of December in the year 2016 before me personally came Steven G. Leventhal to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is the Managing Partner of Leventhal, Mullaneys & Blunkoff, the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

Thomas J. Sullivan  
NOTARY PUBLIC

**Thomas J. Mullaney**  
NOTARY PUBLIC, State of New York  
No. 01MU4816770  
Qualified in Nassau County  
Commission Expires Jan. 31, 2019

STATE OF NEW YORK) )ss.:  
COUNTY OF NASSAU )

SS.:

On the 11<sup>th</sup> day of January in the year 2017 before me personally came Carnell Foskey to me personally known, who, being by me duly sworn, did depose and say that he resides in the County of Nassau; that he is County Attorney of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he signed his name thereto pursuant to Section 1101 of the County Government Law of Nassau County.

County Volusia  
 \_\_\_\_\_  
 NOTARY PUBLIC

JACLYN DELLE  
Notary Public, State of New York  
No. 02DE6305114  
Qualified in Nassau County  
Commission Expires on June 2, 2018

STATE OF NEW YORK) )ss.:  
COUNTY OF NASSAU )

155.

On the 27 day of march in the year 2017 before me personally came Edward Ward to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is a Deputy County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

NOTARY PUBLIC

FRANCIS X. BECKER II  
Notary Public, State of New York  
No. 01BE5073153  
Qualified in Nassau County  
Commission Expires February 18, 2009



Contract ID#: CQAT12000017



Certified contract received

Department: County Attorney

3/30/16

E-212-15

## Contract Details

SERVICES: Special Counsel

NIFS ID #: CLAT15000016

NIFS Entry Date: 9/16/2015

Term: February 1, 2012—January 31, 2016

New <input type="checkbox"/> Renewal <input type="checkbox"/>
Amendment #3 <input checked="" type="checkbox"/>
Time Extension <input checked="" type="checkbox"/>
Addl. Funds <input type="checkbox"/>
Blanket Resolution <input type="checkbox"/>
RES#

1) Mandated Program:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
2) Comptroller Approval Form Attached:	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
3) CSEA Agmt. § 32 Compliance Attached:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
4) Vendor Ownership & Mgmt. Disclosure Attached:	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
5) Insurance Required	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

## Agency Information

Vendor	
Name Leventhal, Cursio, Mullaney & Sliney, LLP	Vendor ID# [REDACTED]
Address 15 Remsen Avenue Roslyn, New York 11576	Contact Person Steven Leventhal
	Phone (516) 484-5440

County Department
Department Contact Jaclyn Delle
Address 1 West St. Mineola, New York 11501
Phone (516) 571-3034

## Routing Slip

DATE Rec'd	DEPARTMENT	Internal Verification	DATE	SIGNATURE	Leg. Approval Required
	Department	NIFS Entry (Dept) NIFS Appvl (Dept. Head)		Cel 1 472	
	OMB	NIFS Approval	9/24/15	Josephine Sculio	Yes <input type="checkbox"/> No <input type="checkbox"/> Not required if blanket resolution
9/29/15	County Attorney	CA RE&I Verification	9/29/15	J. Grato	
	County Attorney	CA Approval as to form	10/14/2015	RES. de	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	LEG	Fw'd Original K to CA	10/14/15	Concetta V. S.	
	Rules <input type="checkbox"/> / Leg. <input type="checkbox"/>				
	County Attorney	NIFS Approval	11/12/15	8-2-15	
	County Comptroller	NIFS Approval	12/22/15	Open	
10/16/15	County Executive	Notarization Filed with Clerk of the Leg.	11/11/15	Cel 1	



## Contract Summary

<b>Description:</b> Amendment #3 to original contract for legal services.
<b>Purpose:</b> This is an amendment to an outside counsel contract where Contractor shall serve as counsel to the Nassau County Board of Ethics and from time to time, shall provide general legal advice and assistance to the Nassau County Attorney or his designee. This amendment extends the term of the original contract
<b>Method of Procurement:</b> This is an amendment to an existing contract. See procurement history below.
<b>Procurement History:</b> A Request for Qualification was issued and a panel established. Leventhal, Cursio, Mullaney & Sliney, LLP was selected from the panel based on the firm's experience, expertise in the subject matter and availability (particularly Attorney Steven Leventhal). Additionally, the County Attorney approved a rate increase from previous contracts and from what the firm was listed on the panel. The per hour rate paid to the firm under this contract is still within the range of panel rates. Also, the Contractor has previously contracted with the County. Under a previous contract, three law firms were interviewed and Leventhal, Cursio, Mullaney & Sliney, LLP was selected based upon its outstanding qualifications and the significant prior experience of Attorney Steven Leventhal to provide those services.
<b>Description of General Provisions:</b> As described above.
<b>Impact on Funding / Price Analysis:</b> \$.01
<b>Change in Contract from Prior Procurement:</b> N/A
<b>Recommendation:</b> Approve as submitted.

## Advisement Information

BUDGET CODES	
Fund:	GEN
Control:	AT
Resp:	1100
Object:	502
Transaction:	

RENEWAL	
% Increase	
% Decrease	

FUNDING SOURCE	AMOUNT
Revenue Contract <input type="checkbox"/>	XXXXXX
County	\$.01
Federal	\$
State	\$
Capital	\$
Other	\$
<b>TOTAL</b>	<b>\$.01</b>

LINE	INDEX/OBJECT CODE	AMOUNT
1	ATGEN1100/DE502	\$.01
2		\$
3		\$
4		\$
5		\$
6		\$
<b>TOTAL</b>		<b>\$.01</b>

Document Prepared By: \_\_\_\_\_

Date: \_\_\_\_\_

NIFS Certification		Comptroller Certification	County Executive Approval
I certify that this document was accepted into NIFS.		I certify that an unencumbered balance sufficient to cover this contract is present in the appropriation to be charged.	Name _____
Name _____	Date _____	Name _____	Date _____
		Date _____	(For Office Use Only)
		E #:	

G-212-15

RULES RESOLUTION NO. 270 2015

A RESOLUTION AFFIRMING TO AN AMENDMENT TO A  
SPECIAL COUNSEL CONTRACT ENTERED INTO BY THE NASSAU  
COUNTY ATTORNEY AND LEVENTHAL, CURSIO, MULLANEY &  
SLINEY, LLP

Passed by the Rules Committee  
Nassau County Legislature  
By Voice Vote on unanimous  
VOTING:  
ayes 4 nays 3 abstained 0 recused 0  
Legislators present: 7

WHEREAS, the Nassau County Attorney has executed an amendment  
to a special counsel agreement with Leventhal, Cursio, Mullaney & Sliney,  
LLP, a copy of which is on file with the Clerk of the Legislature; now,  
therefore, be it

RESOLVED, notwithstanding Nassau County Charter Section 1101,  
the Rules Committee of the Nassau County Legislature affirms the  
amendment to a special counsel contract entered into by the Nassau County  
Attorney and Leventhal, Cursio, Mullaney & Sliney, LLP

RULES RESOLUTION NO.    – 2015

A RESOLUTION AFFIRMING TO AN AMENDMENT TO A  
SPECIAL COUNSEL CONTRACT ENTERED INTO BY THE NASSAU  
COUNTY ATTORNEY AND LEVENTHAL, CURSIO, MULLANEY &  
SLINEY, LLP

WHEREAS, the Nassau County Attorney has executed an amendment  
to a special counsel agreement with Leventhal, Cursio, Mullaney & Sliney,  
LLP, a copy of which is on file with the Clerk of the Legislature; now,  
therefore, be it

RESOLVED, notwithstanding Nassau County Charter Section 1101,  
the Rules Committee of the Nassau County Legislature affirms the  
amendment to a special counsel contract entered into by the Nassau County  
Attorney and Leventhal, Cursio, Mullaney & Sliney, LLP

George Maragos  
Comptroller



OFFICE OF THE COMPTROLLER  
240 Old Country Road  
Mineola, New York 11501

## COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

**CONTRACTOR NAME:** Leventhal, Cursio, Mullaney & Sliney, LLP  
(CLAT15000016)

**CONTRACTOR ADDRESS:** 15 Remsen Avenue, Roslyn, New York 11576

**FEDERAL TAX ID #:** [REDACTED]

**Instructions:** Please check the appropriate box ("☐") after one of the following roman numerals, and provide all the requested information.

**I. ☐ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids.** The contract was awarded after a request for sealed bids was published in \_\_\_\_\_ [newspaper] on \_\_\_\_\_ [date]. The sealed bids were publicly opened on \_\_\_\_\_ [date]. \_\_\_\_\_ [#] of sealed bids were received and opened.

**II. ☐ The contractor was selected pursuant to a Request for Proposals.**

The Contract was entered into after a written request for proposals was issued on \_\_\_\_\_ [date]. Potential proposers were made aware of the availability of the RFP by \_\_\_\_\_ [newspaper advertisement, posting on website, mailing, etc.]. \_\_\_\_\_ [#] of potential proposers requested copies of the RFP. Proposals were due on \_\_\_\_\_ [date]. \_\_\_\_\_ [#] proposals were received and evaluated. The evaluation committee consisted of: \_\_\_\_\_

\_\_\_\_\_ [list members]. The proposals were scored and ranked. As a result of the scoring and ranking (attached), the highest-ranking proposer was selected.

**III. X This is a renewal, extension or amendment of an existing contract.**

The contract was originally executed by Nassau County on June 17, 2013, and amended thereafter on August 1, 2013 and February 19, 2014. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after a Request for Qualification was issued and a panel established. Leventhal, Cursio, Mullaney & Sliney, LLP was selected from the panel based on the firm's experience, expertise in the subject matter and availability (particularly Attorney Steven Leventhal). Additionally, the County Attorney approved a rate increase from previous contracts and from what the firm was listed on the panel. The per hour rate paid to the firm under this contract is still within the range of panel rates. Also, the Contractor has previously contracted with the County. Under a previous contract, three law firms were interviewed and Leventhal, Cursio, Mullaney & Sliney, LLP was selected based upon its outstanding qualifications and the significant prior experience of Attorney Steven Leventhal to provide those services.

**IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.**

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; OR:
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

**V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.**

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

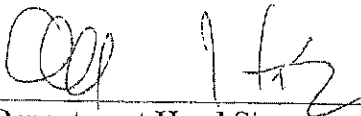

**VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated.** Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

**VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services.** The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No.928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

**In addition, if this is a contract with an individual or with an entity that has only one or two employees:**

☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41, 1987-1 C.B. 296*, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

  
\_\_\_\_\_  
Department Head Signature  
  
\_\_\_\_\_  
Date

**NOTE:** Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

*Compt. form Pers./Prof Services Contracts: Rev. 02/04*

### AMENDMENT NO. 3

AMENDMENT (together with any appendices or exhibits hereto, this "Amendment") dated as of the date (the "Effective Date") that this Amendment is executed by Nassau County, between (i) Nassau County, a municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County"), acting for and on behalf of the Office of the Nassau County Attorney, having its principal office at One West Street, Mineola, New York 11501 (the "Department"), and (ii) Leventhal, Cursio, Mullaney & Sliney, LLP, having an office located at 15 Remsen Avenue, Roslyn, New York 11576 ("Counsel" or "Contractor").

#### WITNESSETH:

WHEREAS, pursuant to County contract number CQAT12000017 between the County and Counsel, executed on behalf of the County on June 17, 2013, as amended by amendment one (1) County contract number CLAT13000023, executed on behalf of the County on August 1, 2013, and as amended by amendment two (2) County contract number CLAT14000002, executed on behalf of the County on February 19, 2014 (the "Original Agreement"), Counsel serves as counsel to the Nassau County Board of Ethics and provides general legal advice and assistance to the Nassau County Attorney or his designee, which services are more fully described in the Original Agreement (the services contemplated by the Original Agreement, the "Services"); and

WHEREAS, the term of the Original Agreement is from February 1, 2012 until January 31, 2015, unless sooner terminated in accordance with the provisions of the Original Agreement (the "Original Term"); and

WHEREAS, the maximum amount that the County agreed to reimburse Counsel for Services under the Original Agreement, as full compensation for the Services, was One Hundred Twenty-five Thousand Dollars (\$125,000.00) (the "Maximum Amount"); and

WHEREAS, the County desires to extend the Original Term.

NOW, THEREFORE, in consideration of the promises and mutual covenants contained in this Amendment, the parties agree as follows:

1. Term Extension. The Original Term shall be extended for one (1) year, so that the termination date of the Original Agreement, as amended by this Amendment (the "Amended Agreement"), shall be January 31, 2016.

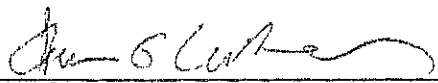
2. Full Force and Effect. All the terms and conditions of the Original Agreement not expressly amended by this Amendment shall remain in full force and effect and govern the relationship of the parties for the term of the Amended Agreement.

[Remainder of Page Intentionally Left Blank.]




IN WITNESS WHEREOF, the parties have executed this Amendment as of the Effective Date.


LEVENTHAL, CURSIO, MULLANEY & BLINKOFF, LLP

By:   
Name: Steven G. Leventhal  
Title: Managing Partner  
Date: 8-17-15

NASSAU COUNTY

By:   
Name: Carnell Foskey  
Title: County Attorney  
Date: 9/11/16

NASSAU COUNTY

By:   
Name: Charles Mbamba  
Title: County Executive  
☒ Deputy County Executive  
Date: 1/5/16

PLEASE EXECUTE IN BLUE INK

STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU )

On the 17 day of August in the year 2015 before me personally came Steven G. Leventhal to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is the Managing Partner of Leventhal, Curcio, Mullaneys & Linkoff the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

*Thomas J. Mullaney*  
NOTARY PUBLIC

**Thomas J. Mullaney**  
NOTARY PUBLIC, State of New York  
No. 01MU4816770  
Qualified in Nassau County  
Commission Expires Jan. 31, 2019

STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU )

On the 17<sup>th</sup> day of September in the year 2015 before me personally came Carnell Foskey to me personally known, who, being by me duly sworn, did depose and say that he resides in the County of Nassau; that he is County Attorney of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he signed his name thereto pursuant to Section 1101 of the County Government Law of Nassau County.

*Jaclyn Delle*  
NOTARY PUBLIC

**JACLYN DELLE**  
Notary Public, State of New York  
No. 02DE6305114  
Qualified in Nassau County  
Commission Expires on June 2, 2018

STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU )

On the 5 day of January in the year 2016 before me personally came Charles K. Barbo to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is a Deputy County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

NOTARY PUBLIC

*Concetta A. Petrucci*

CONCETTA A. PETRUCCI  
Notary Public, State of New York  
No. 02DE6305114  
Qualified in Nassau County  
Commission Expires on June 2, 2018

Contract ID#: CQAT12000017



*Certified contract  
received on 02/21/2014*  
Department: County Attorney

*1/12*

## Contract Details

SERVICES: Special Counsel

NIFS ID #: CLAT14000002 NIFS Entry Date: 01/16/2014 Term: February 1, 2012–January 31, 2015

New <input type="checkbox"/> Renewal <input checked="" type="checkbox"/>	1) Mandated Program:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Amendment # 2 <input checked="" type="checkbox"/>	2) Comptroller Approval Form Attached:	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Time Extension <input checked="" type="checkbox"/>	3) CSEA Agmt. § 32 Compliance Attached:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Addl. Funds <input type="checkbox"/>	4) Vendor Ownership & Mgmt. Disclosure Attached:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Blanket Resolution <input type="checkbox"/>	5) Insurance Required	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
RES#		

## Agency Information

Vendor		County Department
Name Leventhal, Cursio, Mullaney & Sliney, LLP	Vendor ID# [REDACTED]	Department Contact Daniel Gregware
Address 15 Remsen Avenue Roslyn, New York 11576	Contact Person Steven Leventhal	Address 1 West St. Mineola, New York 11501
	Phone (516) 484-5440	Phone (516) 571-1675

## Routing Slip

DATE Rec'd.	DEPARTMENT	Internal Verification	DATE App'd & Fw'd.	SIGNATURE
	Department	NIFS Entry (Dept) NIFS Appl (Dept. Head)	<input type="checkbox"/>	<i>[Signature]</i>
	OMB	NIFS Approval	<input type="checkbox"/>	<i>[Signature]</i>
<i>2/4/14</i>	County Attorney	CA RE&I Verification	<input checked="" type="checkbox"/>	<i>[Signature]</i>
	County Attorney	CA Approval as to form	<input type="checkbox"/>	<i>[Signature]</i>
	LEG	Legislative Affairs	Fw'd Original K to CA <input type="checkbox"/>	
	Rules <input type="checkbox"/> / Leg. <input type="checkbox"/>		<input type="checkbox"/>	
	County Attorney	NIFS Approval	<input type="checkbox"/>	<i>[Signature]</i>
	County Comptroller	NIFS Approval	<input checked="" type="checkbox"/>	<i>[Signature]</i>
	County Executive	Notarization Filed with Clerk of the Leg.	<input type="checkbox"/>	<i>[Signature]</i>



## Contract Summary

Description: Amendment # 2 (renewal) to original contract for legal services.
Purpose: This is an amendment to renew the outside counsel contract where Contractor shall serve as counsel to the Nassau County Board of Ethics and from time to time, shall provide general legal advice and assistance to the Nassau County Attorney or his designee. This amendment extends the term of the original contract.
Method of Procurement: This is an amendment to an existing contract. See procurement history below.
Procurement History: A Request for Qualification was issued and a panel established. Leventhal, Cursio, Mullaney & Sliney, LLP was selected from the panel based on the firm's experience, expertise in the subject matter and availability (particularly Attorney Steven Leventhal). Additionally, the County Attorney approved a rate increase from previous contracts and from what the firm was listed on the panel. The per hour rate paid to the firm under this contract is still within the range of panel rates. Also, the Contractor has previously contracted with the County. Under a previous contract, three law firms were interviewed and Leventhal, Cursio, Mullaney & Sliney, LLP was selected based upon its outstanding qualifications and the significant prior experience of Attorney Steven Leventhal to provide those services.
Description of General Provisions: As described above.
Impact on Funding / Price Analysis: \$.01
Change in Contract from Prior Procurement: N/A
Recommendation: Approve as submitted.

## Advisement Information

BUDGET CODES	
Fund:	GEN
Control:	AT
Resp:	1100
Object:	502
Transaction:	

FUNDING SOURCE	AMOUNT
Revenue Contract <input type="checkbox"/>	XXXXXXXX
County	\$.01
Federal	\$
State	\$
Capital	\$
Other	\$
<b>TOTAL</b>	<b>\$.01</b>

LINE	INDEX/OBJECT CODE	AMOUNT
1	ATGEN1100/DE502	\$.01
2		\$
3		\$
4		\$
5		\$
6		\$
<b>TOTAL</b>		<b>\$.01</b>

RENEWAL	
% Increase	
% Decrease	

Document Prepared By: \_\_\_\_\_

Date: \_\_\_\_\_

NIFS Certification	Comptroller Certification	County Executive Approval
I certify that this document was accepted into NIFS.	I certify that an unencumbered balance sufficient to cover this contract is present in the appropriation to be charged.	Name <i>[Signature]</i>
Name <i>Michael S. Colon</i>	Name <i>[Signature]</i>	Date <i>2/19/14</i>
Date <i>2/13/2014</i>	Date <i>2/11/14</i>	(For Office Use Only) E #:

George Maragos  
Comptroller



OFFICE OF THE COMPTROLLER  
240 Old Country Road  
Mineola, New York 11501

## COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

CONTRACTOR NAME: Leventhal, Cursio, Mullaney & Sliney, LLP  
(CLAT14000002)

CONTRACTOR ADDRESS: 15 Remsen Avenue, Roslyn, New York 11576

FEDERAL TAX ID #: [REDACTED]

Instructions: Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☐ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in \_\_\_\_\_ [newspaper] on \_\_\_\_\_ [date]. The sealed bids were publicly opened on \_\_\_\_\_ [date]. \_\_\_\_\_ [#] of sealed bids were received and opened.

### II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on \_\_\_\_\_ [date]. Potential proposers were made aware of the availability of the RFP by \_\_\_\_\_ [newspaper advertisement, posting on website, mailing, etc.]. \_\_\_\_\_ [#] of potential proposers requested copies of the RFP. Proposals were due on \_\_\_\_\_ [date]. \_\_\_\_\_ [#] proposals were received and evaluated. The evaluation committee consisted of: \_\_\_\_\_

\_\_\_\_\_ [list members]. The proposals were scored and ranked. As a result of the scoring and ranking (attached), the highest-ranking proposer was selected.

**III. X This is a renewal, extension or amendment of an existing contract.**

The contract was originally executed by Nassau County on June 17, 2013, and amended thereafter on August 1, 2013. This is a renewal or extension pursuant to the contract; or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after a Request for Qualification was issued and a panel established. Leventhal, Cursio, Mullaney & Sliney, LLP was selected from the panel based on the firm's experience, expertise in the subject matter and availability (particularly Attorney Steven Leventhal). Additionally, the County Attorney approved a rate increase from previous contracts and from what the firm was listed on the panel. The per hour rate paid to the firm under this contract is still within the range of panel rates. Also, the Contractor has previously contracted with the County. Under a previous contract, three law firms were interviewed and Leventhal, Cursio, Mullaney & Sliney, LLP was selected based upon its outstanding qualifications and the significant prior experience of Attorney Steven Leventhal to provide those services.

**IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.**

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; OR:
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

**V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.**

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

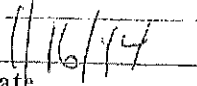
In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No.928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

*In addition, if this is a contract with an individual or with an entity that has only one or two employees:*

☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41, 1987-1 C.B. 296*, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

  
\_\_\_\_\_  
Department Head Signature

  
\_\_\_\_\_  
Date

*NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.*

*Compt. form Pers./Prof. Services Contracts: Rev. 02/04*

## AMENDMENT NO. 2

AMENDMENT (together with any appendices or exhibits hereto, this "Amendment") dated as of the date (the "Effective Date") that this Amendment is executed by Nassau County, between (i) Nassau County, a municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County"), acting for and on behalf of the Office of the Nassau County Attorney, having its principal office at One West Street, Mineola, New York 11501 (the "Department"), and (ii) Leventhal, Cursio, Mullaney & Sliney, LLP, having an office located at 15 Remsen Avenue, Roslyn, New York 11576 ("Counsel" or "Contractor").

### WITNESSETH:

WHEREAS, pursuant to County contract number CQAT12000017 between the County and Counsel, executed on behalf of the County on June 17, 2013, and as amended by amendment one (1) County contract number CLAT13000023, executed on behalf of the County on August 1, 2013 (the "Original Agreement"), Counsel serves as counsel to the Nassau County Board of Ethics and provides general legal advice and assistance to the Nassau County Attorney or his designee, which services are more fully described in the Original Agreement (the services contemplated by the Original Agreement, the "Services"); and

WHEREAS, the term of the Original Agreement is from February 1, 2012 until January 31, 2014, unless sooner terminated in accordance with the provisions of the Original Agreement, provided that the County may renew the Original Agreement for one (1) additional one (1) year period under the same terms and conditions (the "Original Term"); and

WHEREAS, the maximum amount that the County agreed to reimburse Counsel for Services under the Original Agreement, as full compensation for the Services, was One Hundred Twenty-five Thousand Dollars (\$125,000.00) (the "Maximum Amount"); and

WHEREAS, the County desires to exercise the remaining one (1) year option to renew by extending the Original Term.

NOW, THEREFORE, in consideration of the promises and mutual covenants contained in this Amendment, the parties agree as follows:

1. Renewal of Term. The Original Agreement shall be renewed and thereby ~~extended for one (1) year, so that the termination date of the Original Agreement, as~~ amended by this Amendment (the "Amended Agreement"), shall be January 31, 2015.

2. Full Force and Effect. All the terms and conditions of the Original Agreement not expressly amended by this Amendment shall remain in full force and effect and govern the relationship of the parties for the term of the Amended Agreement.

[Remainder of Page Intentionally Left Blank.]



IN WITNESS WHEREOF, the parties have executed this Amendment as of the Effective Date.

LEVENTHAL, CURSIO, MULLANEY & SLINEY, LLP

By: [Signature]  
Name: Steven G. Leventhal  
Title: Managing Partner  
Date: January 13, 2014

NASSAU COUNTY

By: [Signature]  
Name: Carnell Foskey  
Title: County Attorney  
Date: 1/16/14

NASSAU COUNTY

By: [Signature]  
Name: Richard R. Walker  
Title: County Executive ☒ Chief Deputy County Executive  
☐ Deputy County Executive  
Date: 2/19/14

PLEASE EXECUTE IN BLUE INK

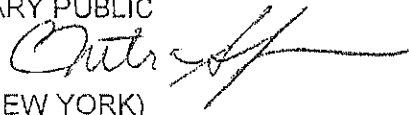
STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU )

On the 13 day of January in the year 2014 before me personally came Steven G. Leventhal to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is the Managing Member of Leventhal, Curcio, Wilentz & Siney, LLC the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

NOTARY PUBLIC



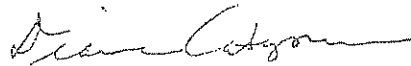
STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU )

Chitra Anbalagan  
Notary Public, State of New York  
No. 02AN6270955  
Qualified in Nassau County  
Commission Expires October 29, 2016

On the 16<sup>th</sup> day of January in the year 2014 before me personally came Carnell Foskey to me personally known, who, being by me duly sworn, did depose and say that he resides in the County of Nassau; that he is County Attorney of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he signed his name thereto pursuant to Section 1101 of the County Government Law of Nassau County.



NOTARY PUBLIC

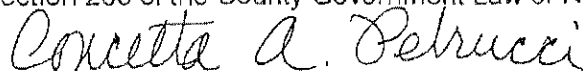
DIANA CATAPANO  
NOTARY PUBLIC, STATE OF NEW YORK  
NO. 01CA6029854  
QUALIFIED IN NASSAU COUNTY  
COMMISSION EXPIRES MAR. 31, 2015

STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU )

On the 19 day of February in the year 2014 before me personally came Richard R. Walker to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is a Deputy County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.



NOTARY PUBLIC

CONCETTA A. PETRUCCI  
Notary Public, State of New York  
No. 01PE0250026  
Qualified in Nassau County  
Commission Expires April 02, 2016

Contract ID#: CQAT12000017



Certified contract  
received on 08/05/2013/17  
Department: County Attorney

## Contract Details

SERVICES: Special Counsel

NIFS ID #: CLAT13000023 NIFS Entry Date: 06/19/2013 Term: February 1, 2012-January 31, 2014

New <input type="checkbox"/> Renewal <input checked="" type="checkbox"/>	1) Mandated Program:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Amendment # 1 <input checked="" type="checkbox"/>	2) Comptroller Approval Form Attached:	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Time Extension <input checked="" type="checkbox"/>	3) CSEA Agmt. § 32 Compliance Attached:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Addl. Funds <input type="checkbox"/>	4) Vendor Ownership & Mgmt. Disclosure Attached:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Blanket Resolution <input type="checkbox"/>	5) Insurance Required	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
RES#		

## Agency Information

Vendor		County Department	
Name Leventhal, Cursio, Mullaney & Sliney, LLP	Vendor ID# [REDACTED]	Department Contact Daniel Gregware	
Address 15 Remsen Avenue Roslyn, New York 11576	Contact Person Steven Leventhal	Address 1 West St. Mineola, New York 11501	
	Phone (516) 484-5440	Phone (516) 571-1675	

## Routing Slip

DATE Rec'd	DEPARTMENT	Internal Verification	DATE App'd & Fw'd	SIGNATURE
	Department	NIFS Entry (Dept) NIFS Appvl (Dept. Head)	<input type="checkbox"/> 6/21/13	[Signature]
	OMB	NIFS Approval	<input type="checkbox"/> 6/21/13	[Signature]
7/2/13	County Attorney	CA RE&I Verification	<input checked="" type="checkbox"/> 7/2/13	[Signature]
	County Attorney	CA Approval as to form	<input type="checkbox"/> 7/2/13	[Signature]
	LEG	Legislative Affairs	<input type="checkbox"/>	
		Rules <input type="checkbox"/> / Leg. <input type="checkbox"/>	<input type="checkbox"/>	
	County Attorney	NIFS Approval	<input type="checkbox"/> 7/2/13	[Signature]
	County Comptroller	NIFS Approval	<input checked="" type="checkbox"/> 7/2/13	[Signature]
	County Executive	Notarization Filed with Clerk of the Leg	<input type="checkbox"/> 8/1/13	[Signature]



## Contract Summary

Description: Amendment # 1 (renewal) to original contract for legal services.

Purpose: This is an amendment to renew the outside counsel contract where Contractor shall serve as counsel to the Nassau County Board of Ethics and from time to time, shall provide general legal advice and assistance to the Nassau County Attorney or his designee. This amendment extends the term of the original contract.

Method of Procurement: This is an amendment to an existing contract. See procurement history below.

Procurement History: A Request for Qualification was issued and a panel established. Leventhal, Cursio, Mullaney & Sliney, LLP was selected from the panel based on the firm's experience, expertise in the subject matter and availability (particularly Attorney Steven Leventhal). Additionally, the County Attorney approved a rate increase from previous contracts and from what the firm was listed on the panel. The per hour rate paid to the firm under this contract is still within the range of panel rates. Also, the Contractor has previously contracted with the County. Under a previous contract, three law firms were interviewed and Leventhal, Cursio, Mullaney & Sliney, LLP was selected based upon its outstanding qualifications and the significant prior experience of Attorney Steven Leventhal to provide those services.

Description of General Provisions: As described above.

Impact on Funding / Price Analysis: \$.01

Change in Contract from Prior Procurement: N/A

Recommendation: Approve as submitted.

## Advisement Information

BUDGET CODES	
Fund:	GEN
Control:	AT
Resp:	1100
Object:	502
Transaction:	

RENEWAL	
% Increase	
% Decrease	

FUNDING SOURCE	AMOUNT
Revenue Contract <input type="checkbox"/>	XXXXXXXX
County	\$.01
Federal	\$
State	\$
Capital	\$
Other	\$
<b>TOTAL</b>	<b>\$.01</b>

LINE	INDEX/OBJECT CODE	AMOUNT
1	ATGEN1100/DE502	\$.01
2		\$
3		\$
4		\$
5		\$
6		\$
<b>TOTAL</b>		<b>\$.01</b>

Document Prepared By: \_\_\_\_\_

Date: \_\_\_\_\_

<b>NIFS Certification</b> I certify that this document was accepted into NIFS.		<b>County Executive Approval</b> Name: <i>[Signature]</i> Date: <i>8/1/13</i>	
<b>County Attorney Certification</b> I certify that an unencumbered balance sufficient to cover this contract is present in the appropriation to be charged.		<b>County Executive Approval</b> Name: <i>[Signature]</i> Date: <i>8/1/13</i>	
Name: <i>[Signature]</i> Date: <i>7/29/13</i>	Name: <i>Steven J. Leventhal</i> Date: <i>7/29/13</i>	E #: _____	

George Maragos  
Comptroller



OFFICE OF THE COMPTROLLER  
240 Old Country Road  
Mineola, New York 11501

## COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

CONTRACTOR NAME: Leventhal, Cursio, Mullaney & Sliney, LLP  
(CLAT13000023)

CONTRACTOR ADDRESS: 15 Remsen Avenue, Roslyn, New York 11576

FEDERAL TAX ID #: [REDACTED]

**Instructions:** Please check the appropriate box ("☐") after one of the following roman numerals, and provide all the requested information.

I. ☐ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in \_\_\_\_\_ [newspaper] on \_\_\_\_\_ [date]. The sealed bids were publicly opened on \_\_\_\_\_ [date]. \_\_\_\_\_ [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals. The Contract was entered into after a written request for proposals was issued on \_\_\_\_\_ [date]. Potential proposers were made aware of the availability of the RFP by \_\_\_\_\_ [newspaper advertisement, posting on website, mailing, etc.]. \_\_\_\_\_ [#] of potential proposers requested copies of the RFP. Proposals were due on \_\_\_\_\_ [date]. \_\_\_\_\_ [#] proposals were received and evaluated. The evaluation committee consisted of: \_\_\_\_\_

\_\_\_\_\_ [list members]. The proposals were scored and ranked. As a result of the scoring and ranking (attached), the highest-ranking proposer was selected.

**III. X This is a renewal, extension or amendment of an existing contract.**

The contract was originally executed by Nassau County on June 17, 2013. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after a Request for Qualification was issued and a panel established. Leventhal, Cursio, Mullaney & Sliney, LLP was selected from the panel based on the firm's experience, expertise in the subject matter and availability (particularly Attorney Steven Leventhal). Additionally, the County Attorney approved a rate increase from previous contracts and from what the firm was listed on the panel. The per hour rate paid to the firm under this contract is still within the range of panel rates. Also, the Contractor has previously contracted with the County. Under a previous contract three law firms were interviewed and Leventhal, Cursio, Mullaney & Sliney, LLP was selected based upon its outstanding qualifications and the significant prior experience of Attorney Steven Leventhal to provide those services.

**IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.**

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; OR:
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

**V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.**

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

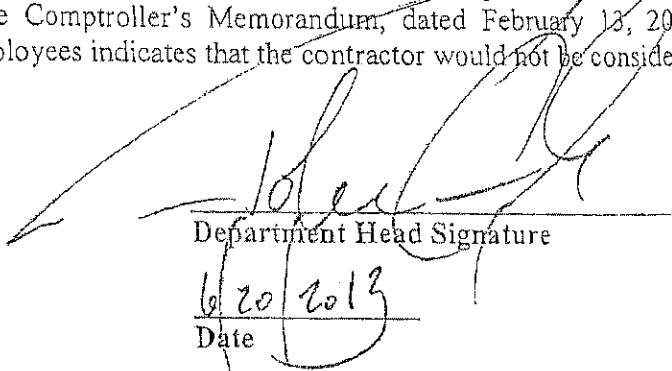
**VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated.** Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

**VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services.** The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No.928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

*In addition, if this is a contract with an individual or with an entity that has only one or two employees:*

- ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41, 1987-1 C.B. 296*, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

  
Department Head Signature

6/20/2013  
Date

*NOTE:* Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.



LEVENTHAL, CURSIO, MULLANEY & SLINEY, LLP

ATTORNEYS AT LAW

15 REMSEN AVENUE

ROSLYN, NEW YORK 11576

TELEPHONE: (516) 484-5440

FACSIMILE: (516) 484-2710

STEVEN G. LEVENTHAL  
RALPH M. CURSIO  
THOMAS J. MULLANEY  
BENEDICT L. SLINEY

---

CHITRA ANBALAGAN

June 7, 2013

Via Federal Express

Daniel J. Gregware, Esq.

Deputy County Attorney

Nassau County Attorney's Office

Municipal Transactions Bureau

One West Street

Mineola, NY 11501

Re: Special Counsel Contract with Leventhal, Cursio, Mullaney & Sliney, LLP

Dear Mr. Gregware:

This is to confirm that the names, business addresses and phone numbers of all principals of Leventhal and Sliney, LLP are as follows:

Steven G. Leventhal  
15 Remsen Avenue  
Roslyn, NY 11576  
(516) 484- 5440 ext. 101

Ralph M. Cursio  
15 Remsen Avenue  
Roslyn, NY 11576  
(516) 484- 5440 ext. 201



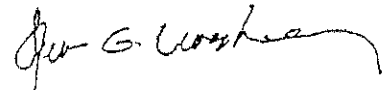
Daniel J. Gregware, Esq.  
Deputy County Attorney  
June 7, 2013  
Page 2.

Thomas J. Mullaney  
15 Remsen Avenue  
Roslyn, NY 11576  
(516) 484- 5440 ext. 301

Benedict L. Sliney  
15 Remsen Avenue  
Roslyn, NY 11576  
(516) 484- 5440 ext. 100

Thank you for your assistance in this matter.

Very truly yours,

A handwritten signature in dark ink, appearing to read "Steven G. Leventhal", with a stylized flourish at the end.

Steven G. Leventhal

## AMENDMENT NO. 1

AMENDMENT (together with any appendices or exhibits hereto, this "Amendment") dated as of the date (the "Effective Date") that this Amendment is executed by Nassau County, between (i) Nassau County, a municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County"), acting for and on behalf of the Nassau County Attorney, having its principal office at One West Street, Mineola, New York 11501 (the "Department"), and (ii) Leventhal, Cursio, Mullaney&Sliney, LLP, having an office located at 15 Remsen Avenue, Roslyn, New York 11576 ("Counsel" or "Contractor").

### WITNESSETH:

WHEREAS, pursuant to County contract number CQAT12000017 between the County and Counsel (the "Original Agreement"), Counsel serves as counsel to the Nassau County Board of Ethics and provides general legal advice and assistance to the Nassau County Attorney or his designee, which services are more fully described in the Original Agreement (the services contemplated by the Original Agreement, the "Services"); and

WHEREAS, the term of the Original Agreement is from February 1, 2012 until January 31, 2013, unless sooner terminated in accordance with the provisions of the Original Agreement, provided that the County may renew the Original Agreement for two (2) additional one (1) year periods under the same terms and conditions (the "Original Term"); and

WHEREAS, the maximum amount that the County agreed to reimburse Counsel for Services under the Original Agreement, as full compensation for the Services, is One Hundred Twenty-five Thousand Dollars (\$125,000.00) (the "Maximum Amount"); and

WHEREAS, the County desires to exercise one (1) of the two (2) renewal options by extending the Original Term.


NOW, THEREFORE, in consideration of the promises and mutual covenants contained in this Amendment, the parties agree as follows:

1. Renewal of Term. The Original Agreement shall be renewed and thereby extended for one (1) year, so that the termination date of the Original Agreement, as amended by this Amendment (the "Amended Agreement"), shall be January 31, 2014.
2. Full Force and Effect. All the terms and conditions of the Original Agreement not expressly amended by this Amendment shall remain in full force and effect and govern the relationship of the parties for the term of the Amended Agreement.

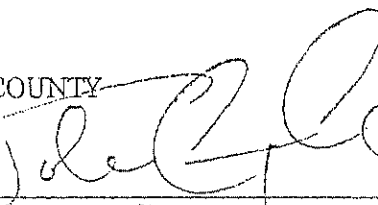
[Remainder of Page Intentionally Left Blank.]

IN WITNESS WHEREOF, the parties have executed this Amendment as of the Effective Date.


LEVENTHAL, CURSIO, MULLANEY & SLINEY, LLP

By:   
Name: Steven G. Leventhal  
Title: Managing Member  
Date: 6/7/13

NASSAU COUNTY

By:   
Name: John Ciampoli  
Title: County Attorney  
Date: 6/20/2013

NASSAUCOUNTY

By:   
Name: Richard R. Walker  
Title: County Executive  
☒ Deputy County Executive  
Date: 8/1/13

PLEASE EXECUTE IN BLUE INK

STATE OF NEW YORK)  
)ss.:  
COUNTY OF NASSAU )

On the 7<sup>th</sup> day of JUNE in the year 2013 before me personally came Steven G. Leventhal to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of NASSAU; that he or she is the Managing Member of Leventhal, Curcio, Hultanz & Sincich, LLC, the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

*Chitra Anbalagan*

NOTARY PUBLIC

Chitra Anbalagan  
Notary Public, State of New York  
No. 02AN6270955

STATE OF NEW YORK)  
)ss.:  
COUNTY OF NASSAU )

Qualified in Nassau County  
Commission Expires October 29, 2016

On the 21<sup>st</sup> day of June in the year 2013 before me personally came John Ciampoli to me personally known, who, being by me duly sworn, did depose and say that he resides in the County of Nassau; that he is County Attorney of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he signed his name thereto pursuant to Section 1101 of the County Government Law of Nassau County.

*Diana Catapano*

NOTARY PUBLIC

DIANA CATAPANO  
NOTARY PUBLIC, STATE OF NEW YORK  
NO. 01CA8089854  
QUALIFIED IN NASSAU COUNTY  
COMMISSION EXPIRES MAR. 31, 2015

STATE OF NEW YORK)  
)ss.:  
COUNTY OF NASSAU )

On the 1 day of AUGUST in the year 2013 before me personally came Richard R. Walker to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of NASSAU; that he or she is a Deputy County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

NOTARY PUBLIC

*Doreen R. Pennica*

DOREEN R. PENNICA  
NOTARY PUBLIC  
STATE OF NEW YORK  
COMMISSION NO. 01PE6270832  
EXPIRES 7/23/2011

Contract ID#: CQAT12000017



Certified Contract  
 RECEIVED ON 06/18/2013 L.A.  
 Department: County Attorney

# E-150-12

## Contract Details

SERVICES: Special Counsel

NIFS ID #: CQAT12000017 NIFS Entry Date: 04/30/2012 Term: from February 1, 2012-January 31, 2013

New X Renewal <input type="checkbox"/>	1) Mandated Program:	Yes <input type="checkbox"/>	No X
Amendment <input type="checkbox"/>	2) Comptroller Approval Form Attached:	Yes X	No <input type="checkbox"/>
Time Extension <input type="checkbox"/>	3) CSEA Agmt. § 32 Compliance Attached:	Yes <input type="checkbox"/>	No X
Addl. Funds <input type="checkbox"/>	4) Vendor Ownership & Mgmt. Disclosure Attached:	Yes X	No <input type="checkbox"/>
Blanket Resolution RES# <input type="checkbox"/>	5) Insurance Required	Yes X	No <input type="checkbox"/>

## Agency Information

Vendor		County Department	
Name Leventhal & Sliney, LLP	Vendor ID# [REDACTED]	Department Contact Daniel Gregware	
Address 15 Remsen Avenue Roslyn, New York 11576	Contact Person Steven Leventhal	Address 1 West St. Mineola, New York 11501	
	Phone (516) 484-5440	Phone (516) 571-1675	

## Routing Slip

DATE Rec'd	DEPARTMENT	Internal Verification	DATE App'd & Fw'd	SIGNATURE	Leg. Approval Required
	Department	NIFS Entry (Dept) NIFS Appvl (Dept. Head)	<input type="checkbox"/>	[Signature]	
	OMB	NIFS Approval	<input type="checkbox"/>	[Signature]	Yes <input type="checkbox"/> No <input type="checkbox"/> Not required if blanket resolution
5/23/12	County Attorney	CA RE&I Verification	<input checked="" type="checkbox"/>	[Signature]	
	County Attorney	CA Approval as to form	<input type="checkbox"/>	[Signature]	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	LEG	Legislative Affairs	<input type="checkbox"/>	[Signature]	
	Rules <input type="checkbox"/> / Leg. <input type="checkbox"/>	Fw'd Original K to CA	<input type="checkbox"/>	[Signature]	
	County Attorney	NIFS Approval	<input type="checkbox"/>	[Signature]	
	County Comptroller	NIFS Approval	<input checked="" type="checkbox"/>	[Signature]	
	County Executive	Notarization Filed with Clerk of the Leg	<input type="checkbox"/>	[Signature]	



## Contract Summary

Description: New contract.

Purpose: This is a new outside counsel contract where Contractor shall serve as counsel to the Nassau County Board of Supervisors and from time to time, shall provide general legal advice and assistance to the Nassau County Attorney or his designee.

Method of Procurement: A Request for Qualification was issued and a panel established. Leventhal & Stiney, L.L.P. was selected from the panel based on the firm's experience, expertise in the subject matter and availability (particularly Attorney Steven Leventhal). Additionally, the County Attorney approved a rate increase from previous contracts and from what the firm was listed on the panel. The per hour rate paid to the firm under this contract is still within the range of panel rates.

Procurement History: See method of procurement above. The Contractor has previously contracted with the County. Additionally, under a previous contract, three law firms were interviewed and Leventhal & Stiney, L.L.P. was selected based upon its outstanding qualifications and the significant prior experience of Attorney Steven Leventhal to provide those services.

Description of General Provisions: As described above.

Impact on Funding / Price Analysis: \$125,000.00

Change in Contract from Prior Procurement: N/A

Recommendation: Approve as submitted.

## Advisement Information

BUDGET CODES	
Fund:	GEN
Control:	AT
Resp:	1100
Object:	502
Transaction:	

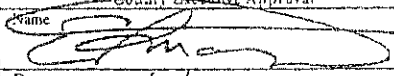

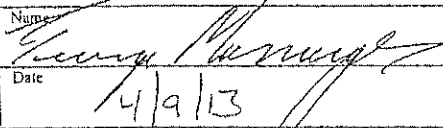
RENEWAL	
% Increase	
% Decrease	

FUNDING SOURCE	AMOUNT
Revenue Contract <input type="checkbox"/>	XXXXXXXX
County	\$125,000.00
Federal	\$
State	\$
Capital	\$
Other	\$
<b>TOTAL</b>	<b>\$125,000.00</b>

LINE	INDEX/OBJECT CODE	AMOUNT
1	AT GEN 1100/DE502	\$125,000.00
2		\$
3		\$
4		\$
5		\$
6		\$
<b>TOTAL</b>		<b>\$125,000.00</b>

Document Prepared By:

Date:

NIFS Certification	Comptroller Certification	County Executive Approval
I certify that this document was accepted into NIFS	I certify that an unencumbered balance sufficient to cover this contract is present in the appropriation to be charged	Name: 
Name: 	Name: 	Date: 6/12/12
Date: 4/9/13	Date: 4/9/13	E #:

RULES RESOLUTION NO. 85 2013

A RESOLUTION AFFIRMING TO A SPECIAL COUNSEL  
CONTRACT ENTERED INTO BY THE COUNTY ATTORNEY AND  
LEVENTHAL & SLINEY, LLP

Passed by the Rules Committee  
Nassau County Legislature  
By Voice Vote on 3-18-13  
VOTING  
ayes 7 nays 3 abstained 0 recused 0  
Legislators present: 7

WHEREAS, the County Attorney has executed a special counsel agreement with Leventhal & Sliney, LLP, a copy of which is on file with the Clerk of the Legislature; now, therefore, be it

RESOLVED, notwithstanding Nassau County Charter Section 1101, the Rules Committee of the Nassau County Legislature affirms the special counsel contract entered into by the County Attorney and Leventhal & Sliney, LLP.

RULES RESOLUTION NO. 2012

A RESOLUTION AFFIRMING TO A SPECIAL COUNSEL  
CONTRACT ENTERED INTO BY THE COUNTY ATTORNEY AND  
LEVENTHAL & SLINEY, LLP

---

WHEREAS, the County Attorney has executed a special counsel agreement with Leventhal & Sliney, LLP, a copy of which is on file with the Clerk of the Legislature; now, therefore, be it

RESOLVED, notwithstanding Nassau County Charter Section 1101, the Rules Committee of the Nassau County Legislature affirms the special counsel contract entered into by the County Attorney and Leventhal & Sliney, LLP.



George Maragos  
Comptroller



OFFICE OF THE COMPTROLLER  
240 Old Country Road  
Mineola, New York 11501

## COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

CONTRACTOR NAME: Leventhal & Sliney, LLP (CQAT12000017)

CONTRACTOR ADDRESS: 15 Remsen Avenue, Roslyn, New York 11576

FEDERAL TAX ID #: 113547627

Instructions: Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☐ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in \_\_\_\_\_ [newspaper] on \_\_\_\_\_ [date]. The sealed bids were publicly opened on \_\_\_\_\_ [date]. \_\_\_\_\_ [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals. The Contract was entered into after a written request for proposals was issued on \_\_\_\_\_ [date]. Potential proposers were made aware of the availability of the RFP by \_\_\_\_\_ [newspaper advertisement, posting on website, mailing, etc.]. \_\_\_\_\_ [#] of potential proposers requested copies of the RFP. Proposals were due on \_\_\_\_\_ [date]. \_\_\_\_\_ [#] proposals were received and evaluated. The evaluation committee consisted of: \_\_\_\_\_

\_\_\_\_\_ [list members]. The proposals were scored and ranked. As a result of the scoring and ranking (attached), the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on \_\_\_\_\_ [date] This is renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RI (copies of the relevant pages are attached). The original contract was entered in after \_\_\_\_\_

\_\_\_\_\_ [describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; OR:

X B. A Request for Qualification was issued and a panel established. Leventhal & Sliney, LLP was selected from the panel based on the firm's experience, expertise in the subject matter and availability (particularly Attorney Steven Leventhal). Additionally, the County Attorney approved a rate increase from previous contracts and from what the firm was listed on the panel. The per hour rate paid to the firm under this contract is still within the range of panel rates.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.

☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).

☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

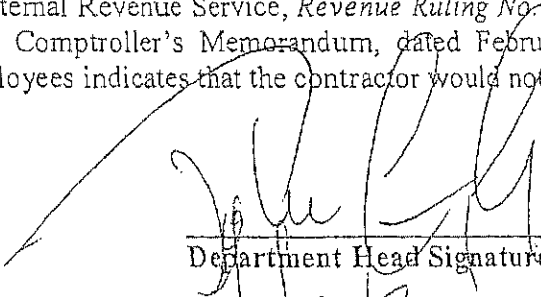
VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No.928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

In addition, if this is a contract with an individual or with an entity that has only one or two employees:

- ☐ a review of the criteria set forth by the Internal Revenue Service, Revenue Ruling No. 87-41, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

  
\_\_\_\_\_  
Department Head Signature

5/3/2017  
\_\_\_\_\_  
Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Compt. form Pers./Prof. Services Contracts: Rev. 02/04

LEVENTHAL AND SLINEY, LLP  
ATTORNEYS AT LAW  
15 REMSEN AVENUE  
ROSLYN, NEW YORK 11576  
TELEPHONE: (516) 484-5440  
FACSIMILE: (516) 484-2710

STEVEN G. LEVENTHAL  
BENEDICT L. SLINEY  
THOMAS J. MULLANEY

CHRISTINE H. PRICE

April 26, 2012

Daniel J. Gregware, Esq.  
Deputy County Attorney  
Nassau County Attorney's Office  
Municipal Transactions Bureau  
One West Street  
Mineola, New York 11501

Re: Special Counsel Contract with Steven G. Leventhal

Dear Mr. Gregware:

This is to confirm that the names, business addresses and phone numbers of all principals of Leventhal and Sliney, LLP are as follows:

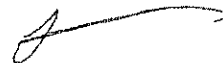
Steven G. Leventhal  
15 Remsen Avenue  
Roslyn, New York 11576  
(516) 484-5440, ext. 101

Benedict L. Sliney  
15 Remsen Avenue  
Roslyn, New York 11576  
(516) 484-5440

Thomas J. Mullaney  
15 Remsen Avenue  
Roslyn, New York 11576  
(516) 484-5440, ext. 102

Thank you for your assistance in this matter.

Very truly yours,



Steven G. Leventhal

## SPECIAL COUNSEL AGREEMENT

THIS AGREEMENT, (together with the schedules, appendices, attachments and exhibits, if any, this "Agreement") dated as of the date (the "Effective Date") that this Agreement is executed by Nassau County, is entered into by and between (i) Nassau County, a municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County"), acting for and on behalf of the County Attorney, having its principal office at One West Street, Mineola, New York 11501 (the "Department"), and (ii) Leventhal & Sliney, LLP, having an office located at 15 Remsen Avenue, Roslyn, New York 11576 ("Counsel" or "Contractor").

### WITNESSETH:

WHEREAS, pursuant to Nassau County Charter Section 1101, the County Attorney has determined the need for the employment of special counsel; and

WHEREAS, the County desires to hire Counsel to perform the services described in this Agreement; and

WHEREAS, Counsel is eminently qualified and ready to provide the necessary services; and

WHEREAS, this is a personal service contract within the intent and purview of Section 220 of the County Charter;

NOW, THEREFORE, in consideration of the promises and mutual covenants contained in this Agreement, the parties agree as follows:

1. Term. This Agreement shall commence on February 1, 2012 and shall terminate on January 31, 2013, unless sooner terminated in accordance with the provisions of this Agreement, provided that the County may, in its discretion, renew this Agreement for two (2) additional one (1) year periods under the same terms and conditions.

2. Services. a. The Contractor shall serve as counsel to the Nassau County Board of Ethics ("Board") and from time to time, shall provide general legal advice and assistance to the Nassau County Attorney or his designee ("County Attorney") ("Services"). In its capacity as counsel to the Board, Counsel shall provide daily counseling to all levels of employees on ethics issues and perform the following responsibilities:

- i. Planning and running bi-monthly Board meetings, including gathering any necessary background information, consistent with confidentiality;
- ii. Writing, on behalf of the Board, formal opinions regarding all issues presented to the Board, as well as letters, e-mails and other informal communications informing those employees who have written to the Board with informational letters, complaints or disclosures about the resolution of their matter;
- iii. Drafting rules and regulations for the Board, as necessary and assisting the Board in meeting its financial disclosure management and enforcement responsibilities.

b. All of the Services provided by Counsel under this Agreement shall be provided by Attorney Steven G. Leventhal. The parties acknowledge and understand that Mr. Leventhal is essential to this Agreement and may not be removed or replaced by Counsel without the prior written consent of the County. Notwithstanding the foregoing, the County reserves the right to terminate this Agreement in the event that Attorney Leventhal becomes unavailable or unable to provide the Services hereunder.

3. Payment. (a) Amount of Consideration. The amount to be paid to Counsel as full

consideration for Counsel's Services for at least during the initial stages of representation under this Agreement, including disbursements, shall not exceed the sum of One Hundred Twenty-five Thousand Dollars (\$125,000.00) ("Maximum Amount"). Counsel shall be paid at an hourly rate of Two Hundred Dollars (\$200.00) from February 1, 2012 until April 30, 2012. Beginning May 1, 2012, Counsel shall be paid at an hourly rate of Two Hundred Twenty-five Dollars (\$225.00).

(a)(2) Any appearances before the County Legislature, or any committee thereof for the purpose of the approval of this Agreement or any amendment thereto, are to be construed as part of the fee negotiation and approval process and Counsel agrees that no fee will be charged for any such appearances.

(b) Vouchers; Voucher Review, Approval and Audit. Payment shall be made to Counsel in arrears and shall be contingent upon (i) Counsel submitting a claim voucher (the "Voucher") in a form satisfactory to the County, that (a) is accompanied by a contemporaneous record of hours billed stating the person(s) performing the Services and indicating with reasonable specificity, the Services provided and the payment requested in consideration for such Services, or contains a detailed, itemized list of allowable expenses; (b) certifies that the Services rendered and the payment requested are in accordance with this Agreement, and (c) is accompanied by documentation satisfactory to the County supporting the amount claimed, and upon (ii) review, approval and audit of the Voucher by the Department and/or the County Comptroller or his or her duly designated representative (the "Comptroller").

(c) Timing of Payment Claims. Counsel shall submit its claim no later than three (3) months following the County's receipt of the services that are the subject of the claim, and no more frequently than once a month.

(d) Expenses and Disbursement. Counsel shall be compensated within the Maximum Amount for all reasonable expenses and disbursements actually incurred, including but not limited to out-of-pocket disbursements for expert costs, messengers, investigators, and other legitimate expenses.

(e) No Duplication of Payments. Payments under this Agreement shall not duplicate payments for any work performed or to be performed under other agreements between the Contractor and any funding source including the County.

(f) Payments in Connection with Termination or Notice of Termination. Unless a provision of this Agreement expressly states otherwise, payments to Counsel following the termination of this Agreement shall not exceed payments made as consideration for services that were (i) performed prior to termination, (ii) authorized by this Agreement to be performed, and (iii) not performed after Counsel received notice that the County did not desire to receive such services.

4. Independent Contractor. Counsel is an independent contractor of the County. Counsel shall not, nor shall any officer, director, employee, servant, agent or independent contractor of Counsel (a "Counsel Agent"), be (i) deemed a County employee, (ii) commit the County to any obligation, or (iii) hold itself, himself, or herself out as a County employee or Person with the authority to commit the County to any obligation. As used in this Agreement the word "Person" means any individual person, entity (including partnerships, corporations and limited liability companies), and government or political subdivision thereof (including agencies, bureaus, offices and departments thereof).

5. No Arrears or Default. Counsel is not in arrears to the County upon any debt or

contract and it is not in default as surety, contractor, or otherwise upon any obligation to the County, including any obligation to pay taxes to, or perform services for or on behalf of, the County.

6. Compliance with Law. (a) Generally. Counsel shall comply with any and all applicable Federal, State and local Laws, including, but not limited to those relating to conflicts of interest, human rights, a living wage, disclosure of information and vendor registration in connection with its performance under this Agreement. In furtherance of the foregoing, Counsel is bound by and shall comply with the terms of Appendix EE attached hereto and with the County's registration protocol. As used in this Agreement the word "Law" includes any and all statutes, local laws, ordinances, rules, regulations, applicable orders, and/or decrees, as the same may be amended from time to time, enacted, or adopted.

(b) Nassau County Living Wage Law. Pursuant to LL 1-2006, as amended, and to the extent that a waiver has not been obtained in accordance with such law or any rules of the County Executive, Counsel agrees as follows:

- (i) Counsel shall comply with the applicable requirements of the Living Wage Law, as amended;
- (ii) Failure to comply with the Living Wage Law, as amended, may constitute a material breach of this Agreement, the occurrence of which shall be determined solely by the County. Counsel has the right to cure such breach within thirty days of receipt of notice of breach from the County. In the event that such breach is not timely cured, the County may terminate this Agreement as well as exercise any other rights available to the County under applicable law.
- (iii) It shall be a continuing obligation of the Counsel to inform the County of any material changes in the content of its certification of compliance, attached to this Agreement as Appendix L, and shall provide to the County any information necessary to maintain the certification's accuracy.

(c) Records Access. The parties acknowledge and agree that all records, information, and data ("Information") acquired in connection with performance or administration of this Agreement shall be used and disclosed solely for the purpose of performance and administration of the contract or as required by law. Counsel acknowledges that Counsel Information in the County's possession may be subject to disclosure under Article 6 of the New York State Public Officer's Law ("Freedom of Information Law" or "FOIL"). In the event that such a request for disclosure is made, the County shall make reasonable efforts to notify Counsel of such request prior to disclosure of the Information so that the Counsel may take such action as it deems appropriate.

7. Ownership of Records. All County Information provided to Counsel by the County shall remain the property of the County. All reports, documents or information created by Counsel on behalf of the County shall be deemed the property of the County. Upon the County's request, completion of Services, or termination of this Agreement, all such County Information, reports, documents or information shall be returned to the County.

8. Service Standards. Regardless of whether required by Law: (a) Counsel shall, and shall cause Counsel Agents to, conduct his or her activities in connection with this Agreement so as not to endanger or harm any Person or property.

(b) Counsel shall deliver Services under this Agreement in a professional manner consistent with the best practices of the legal profession. Counsel shall take all actions necessary or appropriate to meet the obligation described in the immediately preceding sentence, including obtaining and maintaining, and causing all Counsel Agents to obtain and maintain, all approvals, licenses, and certifications ("Approvals") necessary or appropriate in connection with this Agreement.

9. No Conflict Representation. During the term of this Agreement, Counsel shall not represent any party whose interest is or may be adverse to or in conflict with, or whose interest may appear to be adverse to or in conflict with the County, nor shall it commence any action or proceeding, or act as Counsel in any action or proceeding that is adverse to the County or any County officer or employee, without the County's prior written consent.

10. Indemnification; Defense; Cooperation. (a) Counsel shall indemnify, defend and hold harmless the County, the Department and its officers, employees, and agents (the "Indemnified Parties") from and against any and all liabilities arising out of or in connection with performance under this Agreement by Counsel or a Counsel Agent, provided, however, that the Counsel shall not be responsible for that portion, if any, of a Loss that is caused by the negligence of the County.

(b) Counsel shall, upon the County's demand and at the County's direction, promptly and diligently defend, at Counsel's own risk and expense, any and all suits, actions, or proceedings which may be brought or instituted against one or more Indemnified Parties for which Counsel is responsible under this Section, and, further to Counsel's indemnification obligations, Counsel shall pay and satisfy any judgment, decree, loss or settlement in connection therewith.

(c) Counsel shall, and shall cause Counsel Agents to, cooperate with the County and the Department in connection with the investigation, defense or prosecution of any action, suit or proceeding in connection with this Agreement, including the acts or omissions of Counsel and/or a Counsel Agent in connection with this Agreement.

(d) The provisions of this Section shall survive the termination of this Agreement.

11. Insurance. (a) Types and Amounts. Counsel shall obtain and maintain throughout the term of this Agreement, at its own expense: (i) one or more policies for commercial general liability insurance, which policy(ies) shall name "Nassau County" as an additional insured and have a minimum single combined limit of liability of not less than One Million Dollars (\$1,000,000.00) per occurrence and Two Million Dollars (\$2,000,000.00) aggregate coverage, (ii) if contracting in whole or part to provide professional services, one or more policies for professional liability insurance, which policy(ies) shall have a minimum single combined limit of liability of not less than One Million Dollars (\$1,000,000.00) per claim (iii) compensation insurance for the benefit of the Counsel's employees ("Workers' Compensation Insurance"), which insurance is in compliance with the New York State Workers' Compensation Law, and (iv) such additional insurance as the County may from time to time specify.

(b) Acceptability; Deductibles; Subcontractors. All insurance obtained and maintained by Counsel pursuant to this Agreement shall be (i) written by one or more commercial insurance carriers licensed to do business in New York State and acceptable to the County, and which is (ii) in form and substance acceptable to the County. Counsel shall be solely responsible for the payment of



all deductibles to which such policies are subject. Counsel shall require any subcontractor hired in connection with this Agreement to carry insurance with the same limits and provisions required to be carried by Counsel under this Agreement.

(c) Delivery; Coverage Change; No Inconsistent Action. Prior to the execution of this Agreement, copies of current certificates of insurance evidencing the insurance coverage required by this Agreement shall be delivered to the Department. Not less than thirty (30) days prior to the date of any expiration or renewal of, or actual, proposed or threatened reduction or cancellation of coverage under, any insurance required hereunder, Counsel shall provide written notice to the Department of the same and deliver to the Department renewal or replacement certificates of insurance. Counsel shall cause all insurance to remain in full force and effect throughout the term of this Agreement and shall not take or omit to take any action that would suspend or invalidate any of the required coverages. The failure of Counsel to maintain Workers' Compensation Insurance shall render this contract void and of no effect. The failure of Counsel to maintain the other required coverages shall be deemed a material breach of this Agreement upon which the County reserves the right to consider this Agreement terminated as of the date of such failure.

12. Assignment; Amendment; Waiver; Subcontracting. This Agreement and the rights and obligations hereunder may not be in whole or part (i) assigned, transferred or disposed of, (ii) amended, (iii) waived, or (iv) subcontracted, without the prior written consent of the County Executive or his or her duly designated deputy (the "County Executive"), and any purported assignment, other disposal or modification without such prior written consent shall be null and void. The failure of a party to assert any of its rights under this Agreement, including the right to demand strict performance, shall not constitute a waiver of such rights.

13. Termination. (a) Generally. This Agreement may be terminated (i) for any reason by the County upon thirty (30) days' written notice to Counsel, (ii) for "Cause" by the County immediately upon the receipt by Counsel of written notice of termination, (iii) upon mutual written Agreement of the County and the Counsel, and (iv) in accordance with any other provisions of this Agreement expressly addressing termination.

As used in this Agreement the word "Cause" includes: (i) a breach of this Agreement; (ii) the failure to obtain and maintain in full force and effect all Approvals required for the services described in this Agreement to be legally and professionally rendered; and (iii) the termination or impending termination of federal or state funding for the services to be provided under this Agreement.

(b) By Counsel. This Agreement may be terminated by Counsel if performance becomes impracticable through no fault of the Counsel, where the impracticability relates to Counsel's ability to perform its obligations and not to a judgment as to convenience or the desirability of continued performance. Termination under this subsection shall be effected by Counsel delivering to the commissioner or other head of the Department (the "Commissioner"), at least sixty (60) days prior to the termination date (or a shorter period if sixty days' notice is impossible), a notice stating (i) that Counsel is terminating this Agreement in accordance with this subsection, (ii) the date as of which this Agreement will terminate, and (iii) the facts giving rise to the Counsel's right to terminate under this subsection. A copy of the notice given to the Commissioner shall be given to the Deputy County Executive who oversees the administration of the Department (the "Applicable DCE") on the same day that notice is given to the Commissioner.

(c) Counsel Assistance upon Termination. In connection with the termination or impending termination of this Agreement the Counsel shall, regardless of the reason for termination, take all

actions reasonably requested by the County (including those set forth in other provisions of this Agreement) to assist the County in transitioning Counsel's responsibilities under this Agreement. The provisions of this subsection shall survive the termination of this Agreement.

14. Accounting Procedures; Records. Counsel shall maintain and retain, for a period of six (6) years following the later of termination of or final payment under this Agreement, complete and accurate records, documents, accounts and other evidence, whether maintained electronically or manually ("Records"), pertinent to performance under this Agreement. Records shall be maintained in accordance with Generally Accepted Accounting Principles or such other standard accounting principles that are acceptable within the industry and, if Counsel is a non-profit entity, must comply with the accounting guidelines set forth in the federal Office of Management & Budget Circular A-122, "Cost Principles for Non-Profit Organizations." Such Records shall at all times be available for audit and inspection by the Comptroller, the Department, any other governmental authority with jurisdiction over the provision of services hereunder and/or the payment therefore, and any of their duly designated representatives. The provisions of this Section shall survive the termination of this Agreement.

---

15. Limitations on Actions and Special Proceedings against the County. No action or special proceeding shall lie or be prosecuted or maintained against the County upon any claims arising out of or in connection with this Agreement unless:

(a) Notice. At least thirty (30) days prior to seeking relief, Counsel shall have presented the demand or claim(s) upon which such action or special proceeding is based in writing to the Applicable DCE for adjustment and the County shall have neglected or refused to make an adjustment or payment on the demand or claim for thirty (30) days after presentment. Counsel shall send or deliver copies of the documents presented to the Applicable DCE under this Section to each of (i) the Department and the (ii) the County Attorney (at the address specified above for the County) on the same day that documents are sent or delivered to the Applicable DCE. The complaint or necessary moving papers of Counsel shall allege that the above-described actions and inactions preceded Counsel's action or special proceeding against the County.

(b) Time Limitation. Such action or special proceeding is commenced within the earlier of (i) one (1) year of the first to occur of (A) final payment under or the termination of this Agreement, and (B) the accrual of the cause of action, and (ii) the time specified in any other provision of this Agreement.

16. Work Performance Liability. The Counsel is and shall remain primarily liable for the successful completion of all work in accordance this Agreement irrespective of whether the Counsel is using a Counsel Agent to perform some or all of the work contemplated by this Agreement, and irrespective of whether the use of such Counsel Agent has been approved by the County.

17. Consent to Jurisdiction and Venue; Governing Law. Unless otherwise specified in this Agreement or required by Law, exclusive original jurisdiction for all claims or actions with respect to this Agreement shall be in the Supreme Court in Nassau County in New York State and the parties expressly waive any objections to the same on any grounds, including venue and forum non conveniens. This Agreement is intended as a contract under, and shall be governed and construed in accordance with, the Laws of New York State, without regard to the conflict of laws provisions thereof.

18. Notices. Any notice, request, demand or other communication required to be given or made in connection with this Agreement shall be (a) in writing, (b) delivered or sent (i) by hand

delivery, evidenced by a signed, dated receipt, (ii) postage prepaid via certified mail, return receipt requested, or (iii) overnight delivery via a nationally recognized courier service, (c) deemed given or made on the date the delivery receipt was signed by a County employee, three (3) business days after it is mailed or one (1) business day after it is released to a courier service, as applicable, and (d)(i) if to the Department, to the attention of the Commissioner at the address specified above for the Department, (ii) if to an Applicable DCE, to the attention of the Applicable DCE (whose name Counsel shall obtain from the Department) at the address specified above for the County, (iii) if to the Comptroller, to the attention of the Comptroller at 240 Old Country Road, Mineola, NY 11501, and (iv) if to Counsel, to the attention of the person who executed this Agreement on behalf of Counsel at the address specified above for Counsel, or in each case to such other persons or addresses as shall be designated by written notice.

19. All Legal Provisions Deemed Included; Severability; Supremacy. (a) Every provision required by Law to be inserted into or referenced by this Agreement is intended to be a part of this Agreement. If any such provision is not inserted or referenced or is not inserted or referenced in correct form then (i) such provision shall be deemed inserted into or referenced by this Agreement for purposes of interpretation and (ii) upon the application of either party this Agreement shall be formally amended to comply strictly with the Law, without prejudice to the rights of either party.

(b) In the event that any provision of this Agreement shall be held to be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.

(c) Unless the application of this subsection will cause a provision required by Law to be excluded from this Agreement, in the event of an actual conflict between the terms and conditions set forth above the signature page to this Agreement and those contained in any schedule, exhibit, appendix, or attachment to this Agreement, the terms and conditions set forth above the signature page shall control. To the extent possible, all the terms of this Agreement should be read together as not conflicting.

(d) Each party has cooperated in the negotiation and preparation of this Agreement. Therefore, in the event that construction of this Agreement occurs, it shall not be construed against either party as drafter.

20. Section and Other Headings. The section and other headings contained in this Agreement are for reference purposes only and shall not affect the meaning or interpretation of this Agreement.

21. Administrative Service Charge. Counsel agrees to pay the County an administrative service charge of Five Hundred Thirty-three Dollars (\$533.00) for the processing of this Agreement pursuant to Ordinance Number 74-1979, as amended by Ordinance Number 201-2001. The administrative service charge shall be due and payable to the County by Counsel upon signing this Agreement.

22. Executory Clause. Notwithstanding any other provision of this Agreement:

(a) Approval and Execution. The County shall have no liability under this Agreement (including any extension or other modification of this Agreement) to any Person unless (i) all requisite County and all other governmental approvals have been obtained, including, if required, approval by the County Legislature and (ii) this Agreement has been executed by the County Executive (as defined in this Agreement).

(b) Availability of Funds. The County shall have no liability under this Agreement (including any extension or other modification of this Agreement) to any Person beyond funds appropriated or otherwise lawfully available for this Agreement, and, if any portion of the funds for this Agreement are from the state and/or federal governments, then beyond funds available to the County from the state and/or federal governments.

23. Entire Agreement. This Agreement represents the full and entire understanding and agreement between the parties with regard to the subject matter hereof and supersedes all prior agreements (whether written or oral) of the parties relating to the subject matter of this Agreement.

[Remainder of Page Intentionally Left Blank.]

---

IN WITNESS WHEREOF, Counsel and the County have executed this Agreement as of the Effective Date.

LEVENTHAL & SLINEY, LLP

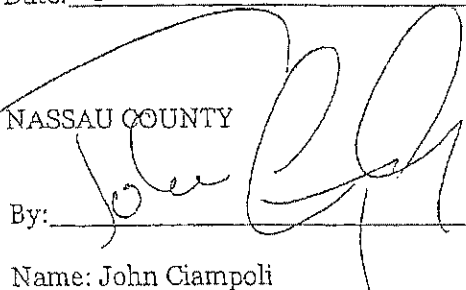
By: 

Name: Steven G. Leventhal

Title: Managing Member

Date: April 26, 2012

NASSAU COUNTY

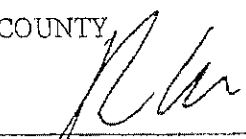
By: 

Name: John Ciampoli

Title: County Attorney

Date: \_\_\_\_\_

NASSAU COUNTY

By: 

Name: Richard R. Wallace

Title: County Executive

☒ Deputy County Executive

Date: 6/17/12

PLEASE EXECUTE IN BLUE INK

STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU)

On the 26th day of April in the year 2012 before me personally came Steven G. Leventhal to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is the Managing Member of Leventhal and Sliney, LLP, the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

NOTARY PUBLIC

Christine H. Price  
Notary Public, State of New York  
No. 01PR6173963  
Qualified in Nassau County  
Commission Expires September 4, 2015

*Christine H. Price*

STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU)

On the 3<sup>rd</sup> day of May in the year 2012 before me personally came John Ciampoli to me personally known, who, being by me duly sworn, did depose and say that he resides in the County of Nassau; that he is the County Attorney for the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he signed his name thereto pursuant to Law, including Nassau County Charter Section 1101.

NOTARY PUBLIC

*Kevin Walsh*  
KEVIN WALSH  
Notary Public, State of New York  
No. 02WA4956523  
Qualified in Suffolk County  
Commission Expires Sept. 25, 2013

STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU)

On the 17 day of June in the year 2013 before me personally came Richard R. Walker to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is a Deputy County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

NOTARY PUBLIC

*Concetta A. Petrucci*  
CONCETTA A. PETRUGGI  
Notary Public, State of New York  
No. 01PE253026  
Qualified in Nassau County  
Commission Expires April 02, 2016

**Appendix EE**  
**Equal Employment Opportunities for Minorities and Women**

The provisions of this Appendix EE are hereby made a part of the document to which it is attached.

The Contractor shall comply with all federal, State and local statutory and constitutional anti-discrimination provisions. In addition, Local Law No. 14-2002, entitled "Participation by Minority Group Members and Women in Nassau County Contracts," governs all County Contracts as defined herein and solicitations for bids or proposals for County Contracts. In accordance with Local Law 14-2002:

- (a) The Contractor shall not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability or marital status in recruitment, employment, job assignments, promotions, upgradings, demotions, transfers, layoffs, terminations, and rates of pay or other forms of compensation. The Contractor will undertake or continue existing programs related to recruitment, employment, job assignments, promotions, upgradings, transfers, and rates of pay or other forms of compensation to ensure that minority group members and women are afforded equal employment opportunities without discrimination.
- (b) At the request of the County contracting agency, the Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability, or marital status and that such employment agency, labor union, or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.
- (c) The Contractor shall state, in all solicitations or advertisements for employees, that, in the performance of the County Contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.
- (d) The Contractor shall make best efforts to solicit active participation by certified minority or women-owned business enterprises ("Certified M/WBEs") as defined in Section 101 of Local Law No. 14-2002, for the purpose of granting of Subcontracts.
- (e) The Contractor shall, in its advertisements and solicitations for Subcontractors, indicate its interest in receiving bids from Certified M/WBEs and the requirement that Subcontractors must be equal opportunity employers.
- (f) Contractors must notify and receive approval from the respective Department Head prior to issuing any Subcontracts and, at the time of requesting such authorization, must submit a signed Best Efforts Checklist.

- (g) Contractors for projects under the supervision of the County's Department of Public Works shall also submit a utilization plan listing all proposed Subcontractors so that, to the greatest extent feasible, all Subcontractors will be approved prior to commencement of work. Any additions or changes to the list of subcontractors under the utilization plan shall be approved by the Commissioner of the Department of Public Works when made. A copy of the utilization plan any additions or changes thereto shall be submitted by the Contractor to the Office of Minority Affairs simultaneously with the submission to the Department of Public Works.
- (h) At any time after Subcontractor approval has been requested and prior to being granted, the contracting agency may require the Contractor to submit Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises. In addition, the contracting agency may ~~require the Contractor to submit such documentation at any time after~~ Subcontractor approval when the contracting agency has reasonable cause to believe that the existing Best Efforts Checklist may be inaccurate. Within ten working days (10) of any such request by the contracting agency, the Contractor must submit Documentation.
- (i) In the case where a request is made by the contracting agency or a Deputy County Executive acting on behalf of the contracting agency, the Contractor must, within two (2) working days of such request, submit evidence to demonstrate that it employed Best Efforts to obtain Certified M/WBE participation through proper documentation.
- (j) Award of a County Contract alone shall not be deemed or interpreted as approval of all Contractor's Subcontracts and Contractor's fulfillment of Best Efforts to obtain participation by Certified M/WBEs.
- (k) A Contractor shall maintain Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises for a period of six (6) years. Failure to maintain such records shall be deemed failure to make Best Efforts to comply with this Appendix EE, evidence of false certification as M/WBE compliant or considered breach of the County Contract.
- (l) The Contractor shall be bound by the provisions of Section 109 of Local Law No. 14-2002 providing for enforcement of violations as follows:
- a. Upon receipt by the Executive Director of a complaint from a contracting agency that a County Contractor has failed to comply with the provisions of Local Law



No. 14-2002, this Appendix EE or any other contractual provisions included in furtherance of Local Law No. 14-2002, the Executive Director will try to resolve the matter.

- b. If efforts to resolve such matter to the satisfaction of all parties are unsuccessful, the Executive Director shall refer the matter, within thirty days (30) of receipt of the complaint, to the American Arbitration Association for proceeding thereon.
- c. Upon conclusion of the arbitration proceedings, the arbitrator shall submit to the Executive Director his recommendations regarding the imposition of sanctions, fines or penalties. The Executive Director shall either (i) adopt the recommendation of the arbitrator (ii) determine that no sanctions, fines or penalties should be imposed or (iii) modify the recommendation of the arbitrator, provided that such modification shall not expand upon any sanction recommended or impose any new sanction, or increase the amount of any recommended fine or penalty. The Executive Director, within ten days (10) of receipt of the arbitrators award and recommendations, shall file a determination of such matter and shall cause a copy of such determination to be served upon the respondent by personal service or by certified mail return receipt requested. The award of the arbitrator, and the fines and penalties imposed by the Executive Director, shall be final determinations and may only be vacated or modified as provided in the civil practice law and rules ("CPLR").

(m) The contractor shall provide contracting agency with information regarding all subcontracts awarded under any County Contract, including the amount of compensation paid to each Subcontractor and shall complete all forms provided by the Executive Director or the Department Head relating to subcontractor utilization and efforts to obtain M/WBE participation.

Failure to comply with provisions (a) through (m) above, as ultimately determined by the Executive Director, shall be a material breach of the contract constituting grounds for immediate termination. Once a final determination of failure to comply has been reached by the Executive Director, the determination of whether to terminate a contract shall rest with the Deputy County Executive with oversight responsibility for the contracting agency.

Provisions (a), (b) and (c) shall not be binding upon Contractors or Subcontractors in the performance of work or the provision of services or any other activity that are unrelated, separate, or distinct from the County Contract as expressed by its terms.

The requirements of the provisions (a), (b) and (c) shall not apply to any employment or application for employment outside of this County or solicitations or advertisements therefor or any existing programs of affirmative action regarding employment outside of this County and the effect of contract provisions required by these provisions (a), (b) and (c) shall be so limited.

The Contractor shall include provisions (a), (b) and (c) in every Subcontract in such a

manner that these provisions shall be binding upon each Subcontractor as to work in connection with the County Contract.

As used in this Appendix EE the term "Best Efforts Checklist" shall mean a list signed by the Contractor, listing the procedures it has undertaken to procure Subcontractors in accordance with this Appendix EE.

As used in this Appendix EE the term "County Contract" shall mean (i) a written agreement or purchase order instrument, providing for a total expenditure in excess of twenty-five thousand dollars (\$25,000), whereby a County contracting agency is committed to expend or does expend funds in return for labor, services, supplies, equipment, materials or any combination of the foregoing, to be performed for, or rendered or furnished to the County; or (ii) a written agreement in excess of one hundred thousand dollars (\$100,000), whereby a County contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon. However, the term "County Contract" does not include agreements or orders for the following services: banking services, insurance policies or contracts, or contracts with a County contracting agency for the sale of bonds, notes or other securities.

As used in this Appendix EE the term "County Contractor" means an individual, business enterprise, including sole proprietorship, partnership, corporation, not-for-profit corporation, or any other person or entity other than the County, whether a contractor, licensor, licensee or any other party, that is (i) a party to a County Contract, (ii) a bidder in connection with the award of a County Contract, or (iii) a proposed party to a County Contract, but shall not include any Subcontractor.

As used in this Appendix EE the term "County Contractor" shall mean a person or firm who will manage and be responsible for an entire contracted project.

As used in this Appendix EE "Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises" shall include, but is not limited to the following:

- a. Proof of having advertised for bids, where appropriate, in minority publications, trade newspapers/notices and magazines, trade and union publications, and publications of general circulation in Nassau County and surrounding areas or having verbally solicited M/WBEs whom the County Contractor reasonably believed might have the qualifications to do the work. A copy of the advertisement, if used, shall be included to demonstrate that it contained language indicating that the County Contractor welcomed bids and quotes from M/WBE Subcontractors. In addition, proof of the date(s) any such advertisements appeared must be included in the Best Effort Documentation. If verbal solicitation is used, a County Contractor's affidavit with a notary's signature and stamp shall be required as part of the documentation.
- b. Proof of having provided reasonable time for M/WBE Subcontractors to respond to bid opportunities according to industry norms and standards. A chart outlining

the schedule/time frame used to obtain bids from M/WBEs is suggested to be included with the Best Effort Documentation

- c. Proof or affidavit of follow-up of telephone calls with potential M/WBE subcontractors encouraging their participation. Telephone logs indicating such action can be included with the Best Effort Documentation
- d. Proof or affidavit that M/WBE Subcontractors were allowed to review bid specifications, blue prints and all other bid/RFP related items at no charge to the M/WBEs, other than reasonable documentation costs incurred by the County Contractor that are passed onto the M/WBE.
- e. Proof or affidavit that sufficient time prior to making award was allowed for M/WBEs to participate effectively, to the extent practicable given the timeframe of the County Contract.
- f. Proof or affidavit that negotiations were held in good faith with interested M/WBEs, and that M/WBEs were not rejected as unqualified or unacceptable without sound business reasons based on (1) a thorough investigation of M/WBE qualifications and capabilities reviewed against industry custom and standards and (2) cost of performance. The basis for rejecting any M/WBE deemed unqualified by the County Contractor shall be included in the Best Effort Documentation
- g. If an M/WBE is rejected based on cost, the County Contractor must submit a list of all sub-bidders for each item of work solicited and their bid prices for the work.
- h. The conditions of performance expected of Subcontractors by the County Contractor must also be included with the Best Effort Documentation
- i. County Contractors may include any other type of documentation they feel necessary to further demonstrate their Best Efforts regarding their bid documents.

As used in this Appendix EE the term "Executive Director" shall mean the Executive Director of the Nassau County Office of Minority Affairs; provided, however, that Executive Director shall include a designee of the Executive Director except in the case of final determinations issued pursuant to Section (a) through (l) of these rules.

As used in this Appendix EE the term "Subcontract" shall mean an agreement consisting of part or parts of the contracted work of the County Contractor.

As used in this Appendix EE, the term "Subcontractor" shall mean a person or firm who performs part or parts of the contracted work of a prime contractor providing services, including construction

services, to the County pursuant to a county contract. Subcontractor shall include a person or firm that provides labor, professional or other services, materials or supplies to a prime contractor that are necessary for the prime contractor to fulfill its obligations to provide services to the County pursuant to a county contract. Subcontractor shall not include a supplier of materials to a contractor who has contracted to provide goods but no services to the County, nor a supplier of incidental materials to a contractor, such as office supplies, tools and other items of nominal cost that are utilized in the performance of a service contract.

Provisions requiring contractors to retain or submit documentation of best efforts to utilize certified subcontractors and requiring Department head approval prior to subcontracting shall not apply to inter-governmental agreements. In addition, the tracking of expenditures of County dollars by not-for-profit corporations, other municipalities, States, or the federal government is not required.

---

Appendix L

Certificate of Compliance

In compliance with Local Law 1-2006, as amended (the "Law"), Counsel hereby certifies the following:

1. The chief executive officer of Contractor is:

Steven G. Leventhal (Name)

15 Remsen Avenue, Roslyn, NY 11576 (Address)

516-484-5440 (Telephone Number)

2. The Contractor agrees to either (1) comply with the requirements of the Nassau County Living Wage Law or (2) as applicable, obtain a waiver of the requirements of the Law pursuant to section 9 of the Law. In the event that the Contractor does not comply with the requirements of the Law or obtain a waiver of the requirements of the Law, and such Contractor establishes to the satisfaction of the Department that at the time of execution of this Agreement, it had a reasonable certainty that it would receive such waiver based on the Law and Rules pertaining to waivers, the County will agree to terminate the contract without imposing costs or seeking damages against the Contractor
3. In the past five years, Contractor \_\_\_\_\_ has X has not been found by a court or a government agency to have violated federal, state, or local laws regulating payment of wages or benefits, labor relations, or occupational safety and health. If a violation has been assessed against the Contractor, describe below:  
  
\_\_\_\_\_  
  
\_\_\_\_\_  
  
\_\_\_\_\_  
  
\_\_\_\_\_

4. In the past five years, an administrative proceeding, investigation, or government body-

initiated judicial action \_\_\_\_\_ has ☒ has not been commenced against or relating to the Contractor in connection with federal, state, or local laws regulating payment of wages or benefits, labor relations, or occupational safety and health. If such a proceeding, action, or investigation has been commenced, describe below:

5. Contractor agrees to permit access to work sites and relevant payroll records by authorized County representatives for the purpose of monitoring compliance with the Living Wage Law and investigating employee complaints of noncompliance.

I hereby certify that I have read the foregoing statement and, to the best of my knowledge and belief, it is true, correct and complete. Any statement or representation made herein shall be accurate and true as of the date stated below.

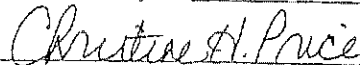
April 26, 2012  
Dated

  
Signature of Chief Executive Officer

Steven G. Leventhal  
Name of Chief Executive Officer

Sworn to before me this

26th day of April, 2012.

  
Notary Public

Christine H. Price  
Notary Public, State of New York  
No. 01PR6173903  
Qualified in Nassau County  
Commission Expires September 4, 2015



# CERTIFICATE OF LIABILITY INSURANCE

297772

DATE (MM/DD/YYYY)

07-18-2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> USI Affinity 14 Cliffwood Avenue, Suite 310 Matawan, NJ 07747-3911	<b>CONTACT</b> NAME: PHONE: (A/C No. Ext): FAX: (A/C No.): E-MAIL: ADDRESS:  <b>INSURER(S) AFFORDING COVERAGE</b> <b>INSURER A:</b> Continental Casualty Company <b>INSURER B:</b> <b>INSURER C:</b> <b>INSURER D:</b> <b>INSURER E:</b> <b>INSURER F:</b>	<b>NAIC #</b>
---	---	---------------

**COVERAGES****CERTIFICATE NUMBER:****REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDITIONAL INSURANCE	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	<b>GENERAL LIABILITY</b> <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR  <input type="checkbox"/> GENL AGGREGATE LIMIT APPLIES PER <input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC					EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Per occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS COMP/OP AGG \$ \$
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS					COMBINED SINGLE LIMIT (Per accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	<b>UMBRELLA LIAB</b> <b>EXCESS LIAB</b> <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE  <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$					EACH OCCURRENCE \$ AGGREGATE \$ \$
	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	<input type="checkbox"/> Y <input type="checkbox"/> N	N/A			WC STATUTORY LIMITS <input type="checkbox"/> OTHER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
A	Lawyers Professional Liability		425267347	02-15-2017	02-15-2018	\$1,000,000 Each Claim \$2,000,000 Aggregate \$10,000 Deductible

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

**CERTIFICATE HOLDER****CANCELLATION**

Nassau County 1550 Franklin Avenue Mineola, NY 11501	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE  Joe Rivera
--	---

© 1988-2010 ACORD CORPORATION. All rights reserved.



DUCKPON-02

SOKULA

## CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

07/18/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> Risc One Inc. 400 West Main Street Ste 302 Riverhead, NY 11901	<b>CONTACT NAME:</b> <b>PHONE (A/C, No, Ext):</b> (631) 369-3800 <b>FAX (A/C, No):</b> (631) 369-0379 <b>E-MAIL ADDRESS:</b> <b>INSURER(S) AFFORDING COVERAGE</b> <b>INSURER A:</b> HARLEYSVILLE WORCESTER INSURANCE COMPANY <b>INSURER B:</b> <b>INSURER C:</b> <b>INSURER D:</b> <b>INSURER E:</b> <b>INSURER F:</b>
<b>INSURED</b>  Steven G. Leventhal, P.C. 15 Remsen Avenue Roslyn, NY 11576	<b>NAIC #</b> 26182

## COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSP	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC <input type="checkbox"/> OTHER			BOP91B39H	03/01/2017	03/01/2018	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
	UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> Y/N If yes, describe under DESCRIPTION OF OPERATIONS below		N/A				PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

## CERTIFICATE HOLDER

## CANCELLATION

Nassau County  
1550 Franklin Avenue  
Mineola, NY 11501

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE



STATE OF NEW YORK - WORKERS' COMPENSATION BOARD  
ESTADO DE NUEVA YORK - JUNTA DE COMPENSACION OBRERA

www.wcb.ny.gov  
Statewide Fax Line: 877-533-0337

NOTICE OF COMPLIANCE

AVISO DE CUMPLIMIENTO

TO EMPLOYEES

A EMPLEADOS

IMPORTANT INFORMATION FOR EMPLOYEES WHO ARE  
INJURED OR SUFFER AN OCCUPATIONAL DISEASE WHILE  
WORKING.

INFORMACION IMPORTANTE PARA EMPLEADOS QUE SEAN  
LESIONADOS O SUFRAN UNA ENFERMEDAD OCUPACIONAL  
MIENTRAS TRABAJAN.

1. By posting this notice and information concerning your rights as an injured worker, your employer is in compliance with the Workers' Compensation Law.
2. If you do not notify your employer within 30 days of the date of your injury your claim may be disallowed, so do so immediately.
3. You are entitled to obtain any necessary medical treatment and should do so immediately.
4. You may choose any doctor, podiatrist, chiropractor or psychologist referred by a medical doctor that accepts NY State Workers' Compensation patients and is Board authorized. However, if your employer is involved in a certified preferred provider organization (PPO) you must first be treated by a provider chosen by your employer and your employer must give you a written statement of your rights concerning further medical care.
5. You should tell your doctor to file copies of medical reports concerning your claim with the Workers' Compensation Board and with your employer's insurance company, which is indicated at the bottom of this form.
6. You may be entitled to lost time benefits if your work-related injury keeps you from work for more than seven days, compels you to work at lower wages or results in permanent disability to any part of your body. You may be entitled to rehabilitation services if you need help returning to work.
7. You should not pay any medical providers directly. They should send their bills to your employer's insurance carrier. If there is a dispute, the provider must wait until the Board makes a decision before it attempts to collect payment from you. If you do not pursue your claim or the Board rules that your injury is not work-related, you may be responsible for the payment of the bills.
8. You are entitled to be represented by an attorney or licensed representative, but it is not required. If you do hire a representative do not pay him/her directly. Any fee will be set by the Board and will be deducted from your award.
9. If you have difficulty in obtaining a claim form or need help in filling it out, or if you have any other questions or problems about a job-related injury, contact any office of the Workers' Compensation Board.

1. Su patrono está cumpliendo la Ley de Compensación Obrera cuando despliega este comunicado concerniente a sus derechos como trabajador lesionado.
2. Si usted no notifica a su patrono dentro del término de 30 días de haber sufrido su lesión su reclamación podría ser desestimada, por eso notifique inmediatamente.
3. Usted tiene derecho a recibir cualquier tratamiento médico necesario relacionado con su lesión y debe gestionarlo inmediatamente.
4. Para el tratamiento de cualquier lesión o enfermedad relacionada con el trabajo, usted puede escoger cualquier médico, podiatra, quiropráctico o psicólogo (si es referido por un médico autorizado) que esté autorizado y acepte pacientes de la Junta de Compensación Obrera. Sin embargo, si su patrono está autorizado a participar una organización certificada de proveedores preferidos (PPO) usted deberá obtener tratamiento inicial para cualquier lesión o enfermedad relacionada con el trabajo de la correspondiente entidad. Patronos que participen en cualquier de estos programas establecidos por ley están obligados a proveer a sus empleados notificación escrita explicando sus derechos y obligaciones bajo el programa a que esté acogido.
5. Usted deberá requerir de su Médico que radique copias de los informes médicos de su caso en la Junta de Compensación Obrera y en la compañía de seguros de su patrono, que se indica al final de esta forma.
6. Usted tiene derecho a compensación si su lesión relacionada con el trabajo le impide trabajar por más de siete días, le obliga a trabajar a sueldo más bajo o resulta en incapacidad permanente de cualquier parte de su cuerpo. Usted puede tener derecho a servicios de rehabilitación si necesita ayuda para regresar al trabajo.
7. No pague a ningún proveedor médico directamente por tratamiento de su lesión o enfermedad relacionada con el trabajo. Ellos deben enviar sus facturas al asegurador de su patrono. Si el caso es cuestionado, el proveedor deberá esperar hasta que la Junta decida el caso, antes de iniciar gestión de cobro alguna contra usted. Si usted no tramita su caso o la Junta falla que su lesión o enfermedad no está relacionada con el trabajo, usted podría ser responsable del pago de las facturas.
8. No es obligatorio el estar representado en ninguno de los procedimientos de la Junta, pero es un derecho que usted tiene, el estar representado por abogado o por representante licenciado si usted así lo desea. Si es representado, no pague al abogado o al representante licenciado. Cuando la Junta decida su caso, los honorarios serán determinados por la Junta y descontados de sus beneficios.
9. Si tiene dificultad en conseguir un formulario de reclamación o necesita ayuda para llenarlo o tiene dudas sobre cualquier situación relacionada con una lesión o enfermedad comuníquese con la oficina mas cercana de la Junta.

WORKERS' COMPENSATION BOARD OFFICES

Albany, 12241 - 100 Broadway-Menands - (866) 750-5157  
\*Brooklyn, 11201 - 111 Livingston St. - Brooklyn - (800) 877-1373  
Binghamton, 13901 - State Office Bldg.-44 Hawley St. - (866) 802-3604  
Buffalo, 14202 - 369 Franklin Street - (866) 211-0645  
\*Hauppauge, 11788 - 220 Rabro Drive - Suite 100 - (866) 881-5354  
\*Hempstead, 11550 - 175 Fulton Avenue - (866) 805-3630  
\*New York, 10027 - 215 W.125th St. - Manhattan - (800) 877-1373  
\*Peekskill, 10566 - 41 North Division St. - (866) 746-0552  
\*Queens, 11432 - 169-46 91st Ave. - Jamaica (800) 877-1373  
Rochester, 14614 - 130 Main Street West - (866) 211-0644  
Syracuse, 13203 - 835 James St. - (866) 802-3730

\*DOWNSTATE MAIL ADDRESS Claims-related mail for the Hauppauge, Hempstead, Peekskill and all NYC offices should be mailed to: PO Box 5205 Binghamton, NY 13902-5205

*Robert E. Beloten*

Robert E. Beloten  
Chair (Presidente)

Workers' Compensation Benefits, when due, will be paid by (Los beneficios de Compensación Obrera, cuando debidos, serán pagados por):

<b>THE STATE INSURANCE FUND</b> 199 Church Street, New York, N. Y. 10007 (212) 312-9000	
Effective From (En Vigor Desde)	01/18/2016 To cancellation (Hasta cancellation)
Policy No. (Poliza No.)	H 812 007-3

Name of employer (Nombre de patrono)

STEVEN G LEVENTHAL PC  
15 REMSEN AVENUE  
ROSLYN NY 11576

THIS NOTICE MUST BE POSTED CONSPICUOUSLY IN AND  
ABOUT THE EMPLOYER'S PLACE OR PLACES OF BUSINESS.

Failure by an employer to post this notice in and about  
the employer's place or places of business may result  
in a \$250 penalty for each violation.

C-105 (08-2009)

S. I. F. U-30  
"U30SF/SN"

PRESCRIBED BY CHAIR  
WORKERS' COMPENSATION BOARD  
STATE OF NEW YORK

www.wcb.ny.gov