



B-13-19

**NIFS ID: Department: Public Works**

**Capital: X**

SERVICE: S35123-L38GR BPSTP Sidestream Centrate Treatmt-B13-19

Contract ID #:S35123-L38GR

NIFS Entry Date:

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	Y
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Vendor Ownership & Mgmt. Disclosure Attached:	Y
5) Insurance Required	N

<b>Vendor Info:</b>	
Name: <b>RJ/Scalamandre, JV</b>	Vendor ID#: [REDACTED]
Address: [REDACTED] [REDACTED] [REDACTED]	Contact Person: [REDACTED] [REDACTED]
	Phone: [REDACTED]

<b>Department:</b>
Contact Name: John Domenica
Address: 3340 Merrick Road  Building R, 3rd Floor  Wantagh, New York 11793
Phone: (516) 571-7529

2019 JUL -3 A 11:27  
NASSAU COUNTY  
CLERK OF THE SUPREMACY

## Routing Slip

Department	NIFS Entry: X	15-MAY-19 -- LDIONISIO
Department	NIFS Approval: X	15-MAY-19 -- RDALLEVA
DPW	Capital Fund Approved: X	15-MAY-19 -- RDALLEVA
OMB	NIFA Approval: X	23-MAY-19 -- CNOLAN
OMB	NIFS Approval: X	16-MAY-19 -- NGUMIENIAK
County Atty.	Insurance Verification: X	16-MAY-19 -- AAMATO
County Atty.	Approval to Form: X	16-MAY-19 -- DGRIPPO
CPO	Approval: X	29-MAY-19 -- KOHAGENCE

DCEC	Approval: X	03-JUN-19 -- JCHIARA
Dep. CE	Approval: X	03-JUN-19 -- BSCHNEIDER
Leg. Affairs	Approval/Review: X	03-JUL-19 -- JSCHANTZ
Legislature	Approval:	
Comptroller	Deputy:	
NIFA	NIFA Approval:	

## Contract Summary

<b>Purpose:</b> This project will reduce the nitrogen level of fluid produced during the sludge dewatering process and is critical to bring the Bay Park STP effluent pollution within regulatory limits. This project is associated with a NYSDEC Consent Agreement. 100% Federal Fund reimbursement.
<b>Method of Procurement:</b> Public bid in accordance with General Municipal Law 103
<b>Procurement History:</b> eProcure: 8/24/2018-9/25/2018; Newsday: 8/22/2018; NYS Contract Reporter: 8/22/2018 - 9/25/2018. Bids received/opened 9/25/18.
<b>Description of General Provisions:</b> New contract for construction services in order to fulfill a NYSDEC Consent Agreement. Capital Project number 35123.
<b>Impact on Funding / Price Analysis:</b> Approval is requested for additional funding of \$33,377,700.00
<b>Change in Contract from Prior Procurement:</b> Not applicable
<b>Recommendation:</b> (approve as submitted) Approve as Submitted

## Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	CSWEFC					
Control:	35	Revenue		1	PWCSWEFC/35123	\$ 33,377,700.00
Resp:	123	Contract:			-L38/00004/	\$ 0.00
Object:	00004	County	\$ 0.00			\$ 0.00
Transaction:	CH	Federal	\$ 0.00			\$ 0.00
Project #:	35123	State	\$ 0.00			\$ 0.00
Detail:	L38	Capital	\$ 33,377,700.00			\$ 0.00
		Other	\$ 0.00			\$ 0.00
		<b>TOTAL</b>	<b>\$ 33,377,700.00</b>		<b>TOTAL</b>	<b>\$ 33,377,700.00</b>

RENEWAL	
% Increase	
% Decrease	



Nassau County Interim Finance Authority

**Contract Approval Request Form (As of January 1, 2015)**

1. **Vendor:** RJ/Scalamandre, JV

2. **Dollar amount requiring NIFA approval:** \$33377700

**Amount to be encumbered:** \$33377700

This is a New

If new contract - \$ amount should be full amount of contract

If advisement – NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. **Contract Term: 730 days from Notice to Proceed**

Has work or services on this contract commenced? N \_\_\_\_\_

If yes, please explain:

4. **Funding Source:**

General Fund (GEN)	Grant Fund (GRT)	
X Capital Improvement Fund (CAP)		Federal % 90
Other		State % 0
		County % 10

Is the cash available for the full amount of the contract? N

If not, will it require a future borrowing? Y

Has the County Legislature approved the borrowing? Y

Has NIFA approved the borrowing for this contract? N

5. **Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:**

This project will reduce the nitrogen level of fluid produced during the sludge dewatering process and is critical to bring the Bay Park STP effluent pollution within regulatory limits. This project is associated with a NYSDEC Consent Agreement. 100% Federal Fund reimbursement.

6. **Has the item requested herein followed all proper procedures and thereby approved by the:**

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

**Date of approval(s) and citation to the resolution where approval for this item was provided:**

7. **Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:**

Contract ID	Date	Amount

## AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

23-MAY-19

**Authenticated User**

**Date**

## COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

**Authenticated User**

**Date**

## NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

**Authenticated User**

**Date**

**NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.**

**NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.**

**NIFA reserves the right to request additional information as needed.**

# B13-19

RULES RESOLUTION NO. -2019

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND RJ/SCALAMANDRE, JV.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract S35123-L38GR, for BAY PARK SEWAGE TREATMENT PLANT SIDESTREAM CENTRATE TREATMENT-GENERAL CONSTRUCTION, NASSAU COUNTY, NEW YORK ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of RJ\SCALAMANDRE, JV ["Vendor"] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 33,377,700.00 now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

Jack Schnirman  
Comptroller



OFFICE OF THE COMPTROLLER  
240 Old Country Road  
Mineola, New York 11501

## COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

CONTRACTOR NAME: RJ/Scalamandre, JV

CONTRACTOR ADDRESS: 75 East Bethpage Road, P.O. Box 349, Plainview, NY 11801

FEDERAL TAX ID #: 48-6260846

**Instructions:** Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

**I. ☑ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids.** The contract was awarded after a request for sealed bids was published in eProcure: 8/24/2018-9/25/2018; News [newspaper] on see prior field [date]. The sealed bids were publicly opened on September 25, 2018 [date].        [#] of sealed bids were received and opened.

**II. ☐ The contractor was selected pursuant to a Request for Proposals.**  
The Contract was entered into after a written request for proposals was issued on        [date]. Potential proposers were made aware of the availability of the RFP by advertisement in        [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on        [date].        [state #] proposals were received and evaluated. The evaluation committee consisted of:       

       (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

**III. ☐ This is a renewal, extension or amendment of an existing contract.**

The contract was originally executed by Nassau County on \_\_\_\_\_ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after \_\_\_\_\_

\_\_\_\_\_  
[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

**IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.**

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; OR:
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

**V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.**

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.



- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

**VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated.** Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

**VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services.** The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

**VIII. ☐ Participation of Minority Group Members and Women in Nassau County Contracts.** The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

**IX. ☐ Department MWBE responsibilities.** To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

**X. ☐ Vendor will not require any sub-contractors.**

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, Revenue Ruling No. 87-41, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

  
\_\_\_\_\_  
Department Head Signature

5/6/19  
\_\_\_\_\_  
Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

2017: R.J. Industries, Inc. contributed \$5,500 to political campaigns, including \$2,500

to Laura Curran, \$1,000 to Jack Schneiderman and \$2,000 to Steve Labriola.

John Doremus contributed \$2,500 to Laura Curran and \$1,000 to Schneiderman and Richard Felicetta contributed \$9,100 to Laura Curran.

2018: R.J. Industries, Inc. contributed \$2,000 to (Laura) Curran for Nassau County and

Richard Felicetta and John Doremus each contributed \$1,000 to Curran for Nassau County.

R.J. Industries, Inc. contributed \$2500 to Laura Gillen (7/31/18) and \$500 to Laura Gillen (10/18/18)

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 12/31/2018

Vendor: R.J. Industries, Inc.

Signed:

Print Name: Richard O. Felicetta

Title: President



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

SEE ATTACHMENT

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 01/03/19

Vendor: Peter Scalamandae & Sons Inc

Signed: [Signature]

Print Name: Peter Scalamandae

Title: President



September 20, 2018

## Political Contributions

### 2015-2016

01/28/16	Nassau Republican Committee	\$2500
02/23/16	Citizens of Saladino	\$200
05/25/16	Town of Oyster Bay Republican Committee	\$500
05/27/16	Friends of Robert T. Kennedy	\$1350
11/16/16	Town of Oyster Bay Republican Committee	\$500

### 2016-2017

01/06/17	Laura Curran	\$500
01/13/17	Unity Home Rule, Kennedy Team	\$1000
02/10/17	Friends of Reggie Spinello	\$250
03/08/17	Wayne H. Wink, N. Hempstead Town Clerk	\$500
03/29/17	Laura Curran	\$350
10/27/17	Debra Mule	\$1000



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

None

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4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. **See page 4 for a complete description of lobbying activities.**

None

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5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

None

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6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

none

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I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

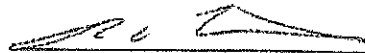
I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: January 11, 2019

Signed:



Print Name:

Richard O. Felicetta

Title:

Member

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

**The term "lobbying" or "lobbying activities" does not include:** Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.





COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

PETER SCALAMANO & SONS, INC  
is not a Lobbying Firm and does  
not engage in any lobbying activities.

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

NONE

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4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

NONE

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5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

NONE

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6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b). beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

N/A

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 1/17/2019

Signed:



Print Name:

Damon Di Simone

Title:

CORP. SECRETARY

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

**The term "lobbying" or "lobbying activities" does not include:** Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

### PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Richard O. Felicetta  
Date of birth     /     /      
Home address      
City/state/zip      
Business address 75 East Bethpage road; PO Box 349  
City/state/zip Plainview, NY 11803  
Telephone 516-845-9772  
Other present address(es) na  
City/state/zip      
Telephone      
List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President 9 / 2 / 1997 Treasurer     /     /      
Chairman of Board     /     /     Shareholder 9 / 2 / 1997  
Chief Exec. Officer     /     /     Secretary     /     /      
Chief Financial Officer     /     /     Partner     /     /      
Vice President     /     /      
(Other)

3. Do you have an equity interest in the business submitting the questionnaire?  
YES x NO     If Yes, provide details. 45% interest in RJ Industries, Inc.
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES x NO     If Yes, provide details.  
Personal Guarantor: RJ Industries' line of credit at Signature Bank
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES x NO      
If Yes, provide details. Managing member of BSRJA T/V, RJ/Scalmandre, JV and RJ/Martin, JV. Minority shareholder & officer R.J.I. Mechanical, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐  
If Yes, provide details. see attached schedule of projects

**NOTE:** An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?  
YES ☐ NO ☒ If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
  - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

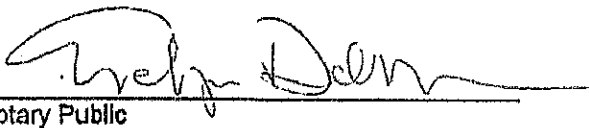
- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES \_\_\_\_ NO x If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES \_\_\_\_ NO x If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES \_\_\_\_ NO x If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES \_\_\_\_ NO x If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES \_\_\_\_ NO x If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES \_\_\_\_ NO x If Yes, provide details for each such year.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Richard O. Felicetta, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 11<sup>th</sup> day of January, 2019

  
Notary Public

EVELYN DELLARATTA  
Notary Public, State of New York  
No. 01DE4894123  
Qualified in Nassau County  
My Commission expires on May 27, 2022

R.J. Industries, Inc.

Name of submitting business

Richard O. Felicetta

Print name

  
Signature  
President

Title

1 / 11 / 2019  
Date



## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name John C. Doremus  
Date of birth [REDACTED]  
Home address [REDACTED]  
City/state/zip [REDACTED]  
Business address 75 East Bethpage Road; PO Box 349  
City/state/zip Plainview, NY 11803  
Telephone 516-845-9772  
Other present address(es) None  
City/state/zip \_\_\_\_\_  
Telephone \_\_\_\_\_  
List of other addresses and telephone numbers attached \_\_\_\_\_
2. Positions held in submitting business and starting date of each (check all applicable)  
President \_\_\_\_/\_\_\_\_/\_\_\_\_ Treasurer \_\_\_\_/\_\_\_\_/\_\_\_\_  
Chairman of Board \_\_\_\_/\_\_\_\_/\_\_\_\_ Shareholder 9 / 2 / 1997  
Chief Exec. Officer \_\_\_\_/\_\_\_\_/\_\_\_\_ Secretary \_\_\_\_/\_\_\_\_/\_\_\_\_  
Chief Financial Officer \_\_\_\_/\_\_\_\_/\_\_\_\_ Partner \_\_\_\_/\_\_\_\_/\_\_\_\_  
Vice President 9 / 2 / 1997 to present \_\_\_\_/\_\_\_\_/\_\_\_\_  
(Other) \_\_\_\_\_
3. Do you have an equity interest in the business submitting the questionnaire?  
YES x NO \_\_\_\_ If Yes, provide details. stockholder (45% interest in R.J. Industries, Inc.)
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES x NO \_\_\_\_ If Yes, provide details.  
Personal Guarantor - R.J.'s line of credit at Signature Bank
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES x NO \_\_\_\_;  
If Yes, provide details. Managing member of BSRJ A TV, RJ/Scalamandre, JV and RJ/Martin, JV.  
Minority shareholder/officer R.J.I. Mechanical, Inc. and  
officer James McCullagh Co., Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES x NO \_\_\_\_  
If Yes, provide details. See Attached schedule of projects

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.  
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?  
YES \_\_\_\_ NO x If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES \_\_\_\_ NO x If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES \_\_\_\_ NO x If Yes, provide details for each such instance.
  - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES \_\_\_\_ NO x If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES \_\_\_\_ NO x If Yes, provide details for each such charge.
  - b) Is there any misdemeanor charge pending against you? YES \_\_\_\_ NO x If Yes, provide details for each such charge.
  - c) Is there any administrative charge pending against you? YES \_\_\_\_ NO x If Yes, provide details for each such charge.
  - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES \_\_\_\_ NO x If Yes, provide details for each such conviction.


- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES \_\_\_\_ NO x If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES \_\_\_\_ NO x If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES \_\_\_\_ NO x If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES \_\_\_\_ NO x If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES \_\_\_\_ NO x If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES \_\_\_\_ NO x If Yes, provide details for each such year.

**CERTIFICATION**

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I, John C. Doremus, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 11<sup>th</sup> day of January, 2019

  
Notary Public


EVEEN DELLARATTA  
Notary Public, State of New York  
No. 01DE4854133  
Qualified in Nassau County  
My Commission expires on May 27, 2022

R.J. Industries, Inc.

\_\_\_\_\_  
Name of submitting business

John C. Doremus

\_\_\_\_\_  
Print name

  
\_\_\_\_\_  
Signature

Vice President

\_\_\_\_\_  
Title

1 / 11 / 2019  
Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name William J. Doremus  
Date of birth      /      /       
Home address                       
City/state/zip                       
Business address 75 East Bethpage Road; PO Box 349  
City/state/zip Plainview, NY 11803  
Telephone 516-845-9772  
Other present address(es) None  
City/state/zip                       
Telephone                       
List of other addresses and telephone numbers attached
2. Positions held in submitting business and starting date of each (check all applicable)  
President      /      /      Treasurer 1 / 1 / 2008  
Chairman of Board      /      /      Shareholder 1 / 1 / 2008  
Chief Exec. Officer      /      /      Secretary 1 / 1 / 2008  
Chief Financial Officer      /      /      Partner      /      /       
Vice President      /      /       
(Other)
3. Do you have an equity interest in the business submitting the questionnaire?  
YES x NO      If Yes, provide details. stockholder (5% interest in R.J. Industries, Inc.)
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES      NO x If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES x NO     ;  
If Yes, provide details. Minority Shareholder & officer of RJ Mechanical, Inc., which is an affiliate of RJ Industries, Inc. and Officer of James McCullagh Co., Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES x NO \_\_\_\_  
If Yes, provide details. See Attached schedule of projects

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.  
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?  
YES \_\_\_\_ NO x If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES \_\_\_\_ NO x If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES \_\_\_\_ NO x If Yes, provide details for each such instance.
  - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES \_\_\_\_ NO x If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES \_\_\_\_ NO x If Yes, provide details for each such charge.
  - b) Is there any misdemeanor charge pending against you? YES \_\_\_\_ NO x If Yes, provide details for each such charge.
  - c) Is there any administrative charge pending against you? YES \_\_\_\_ NO x If Yes, provide details for each such charge.
  - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES \_\_\_\_ NO x If Yes, provide details for each such conviction.

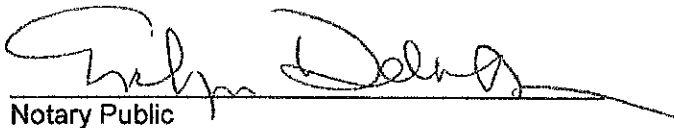
- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?  
YES \_\_\_\_ NO x If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES \_\_\_\_ NO x If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES \_\_\_\_ NO x If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES \_\_\_\_ NO x If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES \_\_\_\_ NO x If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES \_\_\_\_ NO x If Yes, provide details for each such year.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, William J. Doremus, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 11<sup>th</sup> day of January 2019

  
Notary Public

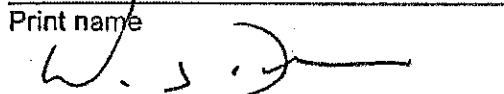
EVELYN DELLAR D'IA  
Notary Public - State of New York  
No. 0156404130  
Qualified in Nassau County  
My Commission expires on May 27, 2022

R.J. Industries, Inc.

Name of submitting business

William J. Doremus

Print name

  
Signature

Secretary - Treasurer

Title

1 / 11 / 19  
Date



## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name John E. Koziarz  
Date of birth [REDACTED]  
Home address [REDACTED]  
City/state/zip [REDACTED]  
Business address 75 East Bethpage Road; PO Box 349  
City/state/zip Plainview, NY 11803  
Telephone 516-845-9772  
Other present address(es) None  
City/state/zip \_\_\_\_\_  
Telephone \_\_\_\_\_  
List of other addresses and telephone numbers attached \_\_\_\_\_

2. Positions held in submitting business and starting date of each (check all applicable)  
President \_\_\_\_/\_\_\_\_/\_\_\_\_ Treasurer \_\_\_\_/\_\_\_\_/\_\_\_\_  
Chairman of Board \_\_\_\_/\_\_\_\_/\_\_\_\_ Shareholder 1 / 1 / 2008  
Chief Exec. Officer \_\_\_\_/\_\_\_\_/\_\_\_\_ Secretary \_\_\_\_/\_\_\_\_/\_\_\_\_  
Chief Financial Officer \_\_\_\_/\_\_\_\_/\_\_\_\_ Partner \_\_\_\_/\_\_\_\_/\_\_\_\_  
Vice President 1 / 1 / 2008 to present \_\_\_\_/\_\_\_\_/\_\_\_\_  
(Other) \_\_\_\_\_
3. Do you have an equity interest in the business submitting the questionnaire?  
YES x NO \_\_\_\_ If Yes, provide details. stockholder (5% interest in R.J. Industries, Inc.)
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES \_\_\_\_ NO x If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES x NO \_\_\_\_;  
If Yes, provide details. Principal owner (51% stake) of RJI Mechanical, Inc., an affiliate of RJ Industries, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES x NO \_\_\_\_  
If Yes, provide details. See Attached schedule of projects

**NOTE:** An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.  
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?  
YES \_\_\_\_ NO x If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES \_\_\_\_ NO x If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES \_\_\_\_ NO x If Yes, provide details for each such instance.
  - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES \_\_\_\_ NO x If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES \_\_\_\_ NO x If Yes, provide details for each such charge.
  - b) Is there any misdemeanor charge pending against you? YES \_\_\_\_ NO x If Yes, provide details for each such charge.
  - c) Is there any administrative charge pending against you? YES \_\_\_\_ NO x If Yes, provide details for each such charge.
  - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES \_\_\_\_ NO x If Yes, provide details for each such conviction.


- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES \_\_\_\_ NO x If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES \_\_\_\_ NO x If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES \_\_\_\_ NO x If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES \_\_\_\_ NO x If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES \_\_\_\_ NO x If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES \_\_\_\_ NO x If Yes, provide details for each such year.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, John E. Koziarz, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 11<sup>th</sup> day of January 2019

  
Notary Public

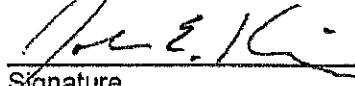
EVERLYN DELLAROTIA  
Notary Public, State of New York  
No. 01DE484103  
Qualified in Nassau County  
My Commission expires on May 27, 2022

R.J. Industries, Inc.

\_\_\_\_\_  
Name of submitting business

John E. Koziarz

\_\_\_\_\_  
Print name

  
\_\_\_\_\_  
Signature

Vice President

\_\_\_\_\_  
Title

1 / 11 / 19  
Date

### PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name M. J. McCarthy  
Date of birth [REDACTED]  
Home address [REDACTED]  
City/state/zip [REDACTED]  
Business address 157 ALBANY AVE  
City/state/zip FREEPORT NY 11520  
Telephone 516 868 3000  
Other present address(es) \_\_\_\_\_  
City/state/zip NONE  
Telephone \_\_\_\_\_  
List of other addresses and telephone numbers attached \_\_\_\_\_

2. Positions held in submitting business and starting date of each (check all applicable)

President     /     /     Treasurer     /     /      
Chairman of Board     /     /     Shareholder     /     /      
Chief Exec. Officer     /     /     Secretary     /     /      
Chief Financial Officer 06/01/89 Partner     /     /      
Vice President 01/01/94     /     /      
(Other) \_\_\_\_\_

3. Do you have an equity interest in the business submitting the questionnaire?  
YES     NO X If Yes, provide details.
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES     NO X If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES X NO      
If Yes, provide details. SEE ATTACHMENT

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐  
If Yes, provide details.

**NOTE:** An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.  
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ☐ NO ☒ If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
  - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES \_\_\_\_ NO X If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES \_\_\_\_ NO X If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES \_\_\_\_ NO X If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES \_\_\_\_ NO X If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES \_\_\_\_ NO X If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES \_\_\_\_ NO X If Yes, provide details for each such year.

**CERTIFICATION**

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I, M. J. McCarthy, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 3 day of JAN 2019

Gina S. Greenhill  
Notary Public

GINA S. GREENHILL  
Notary Public, State of New York  
No. 01GR4887265  
Qualified in Suffolk County  
Commission Expires October 8, 2022

Peter SCALAMANDRA & SONS INC

Name of submitting business

M J McCarthy

Print name

M J McCarthy

Signature

Vice Pres. CEO

Title

01, 03, 19

Date





December 27, 2018

**Nassau County DPW**  
**Principal Questionnaire Form**  
**Question No. 6**

Sea Crest Construction Corp.  
Suffolk County College  
Contract \$20,950,600- April 2017

Nassau County DPW  
BSRJ Joint Venture  
Bay Park Electrical E-3  
Contract \$97,447,200- July 2015

Peter Scalamandre & Son, Inc.  
New York State DOT  
Contract D263436  
Contract \$6,417,100- April 2017

Peter Scalamandre & Sons, Inc.  
State of NY Office of Parks  
Contract \$19,957,100-August 2017

Nassau County DPW  
BSRJ Joint Venture  
Bay Park Electrical B-1  
Contract \$28,674,700- February 2014

Nassau County DPW  
RJ Scalamandre Joint Venture  
Bay Park Grit Building  
Contract \$16,187,700- March 2014

Roosevelt Island Operating Corp.  
591 Main Street , Roosevelt, NY 10044  
Elevator Construction Project  
Contract \$5,580,000- October 2017

Continue



Citnalta-Scalamandre JV

Long Island Railroad

Contract \$80,350,000- January 2018

Scalamandre-Gramercy JV

MTA New York City Transit

Contract \$34,247,000- September 2018

Village of Freeport

Channel Crossing

Contract \$3,997,000- June 2018

NYS Office of Parks

Gateway Sign, Jones Beach

Contract \$1,327,000.00- November 2018

#5

## PETER SCALAMANDRE &amp; SONS, INC.

## OFFICERS

Peter Scalamandre, Pres.  
 Damon DiSimone, Esq., Secy.  
 Lisa B. Hayes, Treas  
 MJ McCarthy, VP/Controller



## STOCKHOLDERS

0.0000%	1,000.00 shares	Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6064218)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6064167)
0.1250%	250.00 shares	Voting Common Stock	Christina Hutton Qualified Subchapter S Trust (#26-6064124)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-6064265)
	shares		Lisa Hayes Qualified Subchapter S Trust (#26-6064718)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6064167)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Hutton Qualified Subchapter S Trust (#26-6064124)
	shares		Beneficiary: Christina Scalamandre Hutton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-6064265)
	shares		Beneficiary: Tricia Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6064239)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6064200)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Hutton Qualified Subchapter S Trust II (#26-6064147)
	shares		Beneficiary: Christina Scalamandre Hutton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust II (#26-6064267)
	shares		Beneficiary: Tricia Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
29.6000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269227)
	shares		Beneficiary: Peter Scalamandre
	shares		Trustee: Peter Scalamandre
	shares		Trustee: Jill Scalamandre Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalamandre Clark Qualified Subchapter S Trust (#26-6269243)
	shares		Beneficiary: Jill Scalamandre Clark
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalamandre Qualified Subchapter S Trust (#26-6269210)
	shares		Beneficiary: Richard Scalamandre
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paulette Scalamandre Qualified Subchapter S Trust (#26-6269192)
	shares		Beneficiary: Paulette Scalamandre
	shares		Trustee: Peter Scalamandre
100.0000%	200,000.00 shares		

# SEA CREST CONSTRUCTION CORP.

## OFFICERS

Peter Scalimandre, Pres. 65 Crescent Cove Ct., Seaford, NY 11783  
 Damon DiSimone, Esq., Secy. 109 Ridge Rd., Valley Cottage, NY 10988  
 Lisa B. Hayes, Treas. 2 Breezy Hill Dr., Fort Salonga, NY 11793  
 MJ McCarthy, VP/Controller 14 Basswood Lane, Farmingville, NY 11736

## STOCKHOLDERS

0.5000%	1,000.00 shares	Voting Common Stock	Peter Scalimandre Qualified Subchapter S Trust (#26-6269227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
0.1250%	250.00 shares	Voting Common Stock	Tricia Scalimandre Qualified Subchapter S Trust (#26-6864255)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
	shares		Beneficiary: Lisa Scalimandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
	shares		Beneficiary: Gina Scalimandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
	shares		Beneficiary: Christina Scalimandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalimandre Qualified Subchapter S Trust (#26-6864255)
	shares		Beneficiary: Tricia Scalimandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6864239)
	shares		Beneficiary: Lisa Scalimandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6864200)
	shares		Beneficiary: Gina Scalimandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-6864147)
	shares		Beneficiary: Christina Scalimandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalimandre Qualified Subchapter S Trust II (#26-6864267)
	shares		Beneficiary: Tricia Scalimandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
29.5000%	50,000.00 shares	Non-Voting Common Stock	Peter Scalimandre Qualified Subchapter S Trust (#26-6269227)
	shares		Beneficiary: Peter Scalimandre
	shares		Trustee: Peter Scalimandre
	shares		Trustee: Jill Scalimandre Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalimandre Clark Qualified Subchapter S Trust (#26-6269244)
	shares		Beneficiary: Jill Scalimandre Clark
	shares		Trustee: Peter Scalimandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalimandre Qualified Subchapter S Trust (#26-6269210)
	shares		Beneficiary: Richard Scalimandre
	shares		Trustee: Peter Scalimandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paulotta Scalimandre Qualified Subchapter S Trust (#26-6269192)
	shares		Beneficiary: Paulotta Scalimandre
	shares		Trustee: Peter Scalimandre
100.0000%	200,000.00 shares		

**PRINCIPAL QUESTIONNAIRE FORM**

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

**COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD**

1. Principal Name LISA HAYES  
Date of birth [REDACTED]  
Home address [REDACTED]  
City/state/zip [REDACTED]  
Business address 157 ALBANY AVE  
City/state/zip FREEPORT NY 11520  
Telephone 516 868 3000  
Other present address(es) NONE  
City/state/zip [REDACTED]  
Telephone [REDACTED]  
List of other addresses and telephone numbers attached \_\_\_\_\_
2. Positions held in submitting business and starting date of each (check all applicable)  
President     /     /     Treasurer 01/01/02  
Chairman of Board     /     /     Shareholder     /     /      
Chief Exec. Officer     /     /     Secretary     /     /      
Chief Financial Officer     /     /     Partner     /     /      
Vice President     /     /      
(Other) \_\_\_\_\_
3. Do you have an equity interest in the business submitting the questionnaire?  
YES ☒ NO ☐ If Yes, provide details. 12.5%
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES ☐ NO ☒ If Yes, provide details. \_\_\_\_\_
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES ☒ NO ☐  
If Yes, provide details. SEE ATTACHMENT

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐  
If Yes, provide details. SEE ATTACHMENT

**NOTE:** An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ☐ NO ☒ If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
  - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.) NO
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ☐ NO ☒ If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ☐ NO ☒ If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ☐ NO ☒ If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ☐ NO ☒ If Yes, provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ☐ NO ☒ If Yes, provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ☐ NO ☒ If Yes, provide details for each such year.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, LISA HAYES, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 3 day of JAN 2019

Gina S. Greenhill  
Notary Public

GINA S. GREENHILL  
Notary Public, State of New York  
No. 01644087205  
Qualified in Suffolk County  
Commission Expires October 8, 2022

Peter SCALAMANDRA & SONS Inc

Name of submitting business

LISA HAYES

Print name

Lisa Hayes

Signature

TREASURER

Title

01, 03, 19

Date





December 27, 2018

Nassau County DPW  
Principal Questionnaire Form  
Question No. 6

Sea Crest Construction Corp.  
Suffolk County College  
Contract \$20,950,600- April 2017

Nassau County DPW  
BSRJ Joint Venture  
Bay Park Electrical E-3  
Contract \$97,447,200- July 2015

Peter Scalamandre & Son, Inc.  
New York State DOT  
Contract D263436  
Contract \$6,417,100- April 2017

Peter Scalamandre & Sons, Inc.  
State of NY Office of Parks  
Contract \$19,957,100-August 2017

Nassau County DPW  
BSRJ Joint Venture  
Bay Park Electrical B-1  
Contract \$28,674,700- February 2014

Nassau County DPW  
RJ Scalamandre Joint Venture  
Bay Park Grit Building  
Contract \$16,187,700- March 2014

Roosevelt Island Operating Corp.  
591 Main Street , Roosevelt, NY 10044  
Elevator Construction Project  
Contract \$5,580,000- October 2017

Continue



Citnalta-Scalamandre JV  
Long Island Railroad  
Contract \$80,350,000- January 2018

Scalamandre-Gramercy JV  
MTA New York City Transit  
Contract \$34,247,000- September 2018

Village of Freeport  
Channel Crossing  
Contract \$3,997,000- June 2018

NYS Office of Parks  
Gateway Sign, Jones Beach  
Contract \$1,327,000.00- November 2018

#5

# PETER SCALAMANDRE & SONS, INC.

## OFFICERS

Peter Scalamanore, Pres.  
Darron O'Simons, Esq., Secy.  
Lisa B. Hayes, Treas  
MJ McCarthy, VP/Controller



## STOCKHOLDERS

0.0000%	1,000.00 shares	Voting Common Stock	Peter Scalamanore Qualified Subchapter S Trust (#26-6269227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864210)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
0.1250%	250.00 shares	Voting Common Stock	Christina Hutton Qualified Subchapter S Trust (#26-6864124)
0.1250%	250.00 shares	Voting Common Stock	Tilda Scalamanore Qualified Subchapter S Trust (#26-6864266)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864210)
	shares		Beneficiary: Lisa Scalamanore Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
	shares		Beneficiary: Gina Scalamanore Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Hutton Qualified Subchapter S Trust (#26-6864124)
	shares		Beneficiary: Christina Scalamanore Hutton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tilda Scalamanore Qualified Subchapter S Trust (#26-6864266)
	shares		Beneficiary: Tilda Scalamanore
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864239)
	shares		Beneficiary: Lisa Scalamanore Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864260)
	shares		Beneficiary: Gina Scalamanore Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Hutton Qualified Subchapter S Trust (#26-6864147)
	shares		Beneficiary: Christina Scalamanore Hutton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tilda Scalamanore Qualified Subchapter S Trust (#26-6864267)
	shares		Beneficiary: Tilda Scalamanore
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
29.6000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalamanore Qualified Subchapter S Trust (#26-6269227)
	shares		Beneficiary: Peter Scalamanore
	shares		Trustee: Peter Scalamanore
	shares		Trustee: Tilda Scalamanore
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalamanore Clark Qualified Subchapter S Trust (#26-6269243)
	shares		Beneficiary: Jill Scalamanore Clark
	shares		Trustee: Peter Scalamanore
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalamanore Qualified Subchapter S Trust (#26-6106270)
	shares		Beneficiary: Richard Scalamanore
	shares		Trustee: Peter Scalamanore
5.0000%	10,000.00 shares	Non-Voting Common Stock	Pavlette Scalamanore Qualified Subchapter S Trust (#26-6269192)
	shares		Beneficiary: Pavlette Scalamanore
	shares		Trustee: Peter Scalamanore
100.0000%	200,000.00 shares		

# SEA CREST CONSTRUCTION CORP.

## OFFICERS

Peter Scalapandis, Pres.  
Danton DiSanto, Esq., Secy.  
Lisa B. Hayes, Treas  
MJ McCarthy, VP/Controller

86 Chestnut Cove Ct., Sanford, NY 11783  
189 Ridge Rd., Valley College, NY 10988  
2 Breezy Hill Dr, Fort Salonga, NY 11723  
14 Basswood Lane, Farmingville, NY 11738

## STOCKHOLDERS

0.5000%	1,000.00 shares	Voting Common Stock	Peter Scalapandis Qualified Subchapter S Trust (#26-6260227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6064215)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6064167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6064124)
0.1250%	250.00 shares	Voting Common Stock	Tricia Scalapandis Qualified Subchapter S Trust (#26-6064256)
5.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6064215)
			Beneficiary: Lisa Scalapandis Hayes
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandis Hayes
			Trustee: Tricia Scalapandis
5.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6064167)
			Beneficiary: Gina Scalapandis Greenhill
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandis Hayes
			Trustee: Tricia Scalapandis
5.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6064124)
			Beneficiary: Christina Scalapandis Holton
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandis Hayes
			Trustee: Tricia Scalapandis
5.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalapandis Qualified Subchapter S Trust (#26-6064256)
			Beneficiary: Tricia Scalapandis
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandis Hayes
			Trustee: Tricia Scalapandis
5.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6064236)
			Beneficiary: Lisa Scalapandis Hayes
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandis Hayes
			Trustee: Tricia Scalapandis
5.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6064200)
			Beneficiary: Gina Scalapandis Greenhill
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandis Hayes
			Trustee: Tricia Scalapandis
5.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-6064147)
			Beneficiary: Christina Scalapandis Holton
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandis Hayes
			Trustee: Tricia Scalapandis
5.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalapandis Qualified Subchapter S Trust II (#26-6064267)
			Beneficiary: Tricia Scalapandis
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandis Hayes
			Trustee: Tricia Scalapandis
20.5000%	40,000.00 shares	Non-Voting Common Stock	Peter Scalapandis Qualified Subchapter S Trust (#26-6260227)
			Beneficiary: Peter Scalapandis
			Trustee: Peter Scalapandis
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalapandis-Calk Qualified Subchapter S Trust (#26-6260243)
			Beneficiary: Jill Scalapandis Calk
			Trustee: Peter Scalapandis
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalapandis Qualified Subchapter S Trust (#26-6260210)
			Beneficiary: Richard Scalapandis
			Trustee: Peter Scalapandis
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paula Scalapandis Qualified Subchapter S Trust (#26-6260182)
			Beneficiary: Paula Scalapandis
			Trustee: Peter Scalapandis
100.0000%	200,000.00 shares		

## AFFILIATES

### MILL RENTAL CORP. (#11-2905956)

#### OFFICERS

Peter Scalamandre, President  
Lisa Hayes, Vice President  
Tricia Scalamandre, Secretary

#### STOCKHOLDERS

12.50% Lisa Hayes Family Trust  
12.50% Tricia Scalamandre Family Trust  
12.50% Christina Holton Family Trust  
12.50% Gina Greenhill Family Trust  
16.67% Peter Scalamandre Family Trust  
16.67% Joseph L. Scalamandre Family Trust  
16.66% Jill Clark Family Trust

### SEVILLE CENTRAL MIX CORP. (#11-2525339)

#### OFFICERS

Peter Scalamandre, President  
Lisa Hayes, Vice President  
Tricia Scalamandre, Secretary

#### STOCKHOLDERS

12.50% Lisa Hayes Family Trust  
12.50% Tricia Scalamandre Family Trust  
12.50% Christina Holton Family Trust  
12.50% Gina Greenhill Family Trust  
16.67% Peter Scalamandre Family Trust  
16.67% Joseph L. Scalamandre Family Trust  
16.66% Jill Clark Family Trust

### SCATT MATERIALS CORP. (#11-2607020)

#### OFFICERS

Peter Scalamandre, President  
Thomas Pratt, Vice President  
James Pratt, Treasurer

#### STOCKHOLDERS

45.000% James Pratt  
5.625% Lisa Hayes Family Trust  
5.625% Tricia Scalamandre Family Trust  
5.625% Christina Holton Family Trust  
5.625% Gina Greenhill Family Trust  
7.500% Peter Scalamandre Family Trust  
7.500% Joseph L. Scalamandre Family Trust  
7.500% Jill Clark Family Trust  
3.334% John Watral  
3.333% Tom Watral  
3.333% Paul Watral

### SEA CREST DEVELOPMENT CORP. (#11-2171973)

#### OFFICERS

Lisa Hayes, President  
Peter Scalamandre, Vice President  
Tricia Scalamandre, Secretary

#### STOCKHOLDERS

14.2860% Peter Scalamandre  
14.2860% J Scalamandre QTIP - Residuary  
14.2860% Jill Clark  
14.2860% Lisa Hayes  
14.2860% Gina Greenhill  
14.2850% Christina Holton  
14.2850% Tricia Scalamandre

### SEAMENT TRANSPORTATION, LLC (#11-3592000)

#### MANAGEMENT

Peter Scalamandre, Managing Member

#### MEMBERS

37.5% Peter Scalamandre  
37.5% J Scalamandre QTIP - Residuary  
20.0% Thomas Hayes  
5.0% Peter Buok

WINDING ROAD LEASING CORP. (#11-3592254)

**OFFICERS**

Peter Scalamandre, President  
Thomas Hayes, Vice President  
Peter Buck, Secretary

**STOCKHOLDERS**

37.5% Peter Scalamandre  
37.5% J Scalamandre QTIP - Residuary  
20.0% Thomas Hayes  
5.0% Peter Buck

SEVEN "S" REALTY, LLC (#11-3418887)

**MANAGEMENT**

Peter Scalamandre, Managing Member

**MEMBERS**

16.6700% Peter Scalamandre  
16.6700% J Scalamandre QTIP - Residuary  
16.6600% Jill Clark  
12.5000% Lisa Hayes  
12.5000% Gina Greenhill  
12.5000% Christina Hutton  
12.5000% Tricia Scalamandre

SSS REALTY CO., LLC (#11-3313486)

**MANAGEMENT**

Peter Scalamandre, Managing Member

**MEMBERS**

16.6700% Peter Scalamandre  
16.6700% J Scalamandre QTIP - Residuary  
16.6600% Jill Clark  
12.5000% Lisa Hayes  
12.5000% Gina Greenhill  
12.5000% Christina Hutton  
12.5000% Tricia Scalamandre

SOUTH SHORE MATERIALS, INC. (#11-3196622)

**OFFICERS**

Giuseppe Gianquinto, President  
Peter Scalamandre, Vice President  
James J Pratt, Secretary  
Eugene Augustewicz, Treasurer

**STOCKHOLDERS**

8.3400% James J Pratt  
8.3300% Thomas Pratt  
8.3300% Cathleen Williams  
25.0000% Eugene Augustewicz  
8.3400% Giuseppe Gianquinto  
8.3300% Luigi Gianquinto  
8.3300% Anthony Gianquinto  
4.1700% Peter Scalamandre  
4.1700% J Scalamandre QTIP - Residuary  
4.1600% Jill Clark  
3.1250% Lisa Hayes  
3.1250% Gina Greenhill  
3.1250% Christina Hutton  
3.1250% Tricia Scalamandre

SOUTH SHORE LAND, LLC (#11-3465012)

MANAGEMENT

Giuseppe Gianquinto, Managing Member

MEMBERS

8.3400% James J Pratt  
8.3300% Thomas Pratt  
8.3300% Cathleen Williams  
25.0000% Eugene Augustewicz  
8.3400% Giuseppe Gianquinto  
8.3300% Luigi Gianquinto  
8.3300% Anthony Gianquinto  
4.1700% Peter Scalamandre  
4.1700% J Scalamandre QTIP - Residuary  
4.1600% Jill Clark  
3.1250% Lisa Hayes  
3.1250% Gina Greenhill  
3.1250% Christina Hotton  
3.1250% Tricia Scalamandre

PRS SALES & LEASING LLC (#41-2068549)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

100.0000% Peter Scalamandre

FRED SCALAMANDRE & JOSEPH SCALAMANDRE REAL ESTATE (#11-6140493)

OWNERSHIP

1.0000% Fred Scalamandre  
49.0000% Scalamandre Family Ltd Pship I  
1.0000% Joseph Scalamandre  
49.0000% Joseph Scalamandre Family Ltd Pship I

SCALAMANDRE FAMILY LTD PSHIP I (#11-3364127)

OWNERSHIP

1.0000% Fred Scalamandre  
1.0000% F Scale I Corp.  
12.2500% Scalamandre Irrevocable Trust I (Gina)  
12.2500% Scalamandre Irrevocable Trust II (Lisa)  
12.2500% Scalamandre Irrevocable Trust III (Christina)  
12.2500% Scalamandre Irrevocable Trust IV (Tricia)  
12.2500% Scalamandre Irrevocable Trust V (Gina)  
12.2500% Scalamandre Irrevocable Trust VI (Lisa)  
12.2500% Scalamandre Irrevocable Trust VII (Christina)  
12.2500% Scalamandre Irrevocable Trust VIII (Tricia)

JOSEPH SCALAMANDRE FAMILY LTD PSHIP I (#68-2440518)

OWNERSHIP

1.0000% Joseph Scalamandre  
1.0000% Joseph Scalamandre Management Inc.  
32.6700% J Scalamandre Irrev Trust FBO Peter  
32.6600% J Scalamandre Irrev Trust FBO Jill  
32.6700% J Scalamandre Irrev Trust FBO Joseph L

# PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Peter Scalamandre  
Date of birth [REDACTED]  
Home address [REDACTED]  
City/state/zip [REDACTED]  
Business address 157 Albany Ave  
City/state/zip Freeport NY 11520  
Telephone 516 868 3000  
Other present address(es) \_\_\_\_\_  
City/state/zip NONE  
Telephone \_\_\_\_\_  
List of other addresses and telephone numbers attached \_\_\_\_\_

2. Positions held in submitting business and starting date of each (check all applicable)  
President 01/01/02 Treasurer \_\_\_\_\_  
Chairman of Board \_\_\_\_\_ Shareholder \_\_\_\_\_  
Chief Exec. Officer \_\_\_\_\_ Secretary \_\_\_\_\_  
Chief Financial Officer \_\_\_\_\_ Partner \_\_\_\_\_  
Vice President \_\_\_\_\_  
(Other) \_\_\_\_\_
3. Do you have an equity interest in the business submitting the questionnaire?  
YES X NO \_\_\_\_\_ If Yes, provide details. 30%
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES \_\_\_\_\_ NO X If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES X NO \_\_\_\_\_  
If Yes, provide details. SEE ATTACHMENT



6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐  
If Yes, provide details. SEE ATTACHMENT

**NOTE:** An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.  
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ☐ NO ☒ If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
  - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.) NO
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ☐ NO ☒ If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ☐ NO ☒ If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ☐ NO ☒ If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ☐ NO ☒ If Yes, provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ☐ NO ☒ If Yes, provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ☐ NO ☒ If Yes, provide details for each such year.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Peter SCALAMANDRE, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 3 day of JAN 2019

Gina S. Greenhill  
Notary Public

GINA S. GREENHILL  
Notary Public, State of New York  
No. 01GR4867265  
Qualified in Suffolk County 22  
Commission Expires October 8, 20

Peter SCALAMANDRE SONS INC.  
Name of submitting business

Peter SCALAMANDRE  
Print name

[Signature]  
Signature

PRESIDENT  
Title

01, 03, 19  
Date



December 27, 2018

Nassau County DPW  
Principal Questionnaire Form  
Question No. 6

Sea Crest Construction Corp.  
Suffolk County College  
Contract \$20,950,600- April 2017

Nassau County DPW  
BSRJ Joint Venture  
Bay Park Electrical E-3  
Contract \$97,447,200- July 2015

Peter Scalamandre & Son, Inc.  
New York State DOT  
Contract D263436  
Contract \$6,417,100- April 2017

Peter Scalamandre & Sons, Inc.  
State of NY Office of Parks  
Contract \$19,957,100-August 2017

Nassau County DPW  
BSRJ Joint Venture  
Bay Park Electrical B-1  
Contract \$28,674,700- February 2014

Nassau County DPW  
RJ Scalamandre Joint Venture  
Bay Park Grit Building  
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Roosevelt Island Operating Corp.  
591 Main Street , Roosevelt, NY 10044  
Elevator Construction Project  
Contract \$5,580,000- October 2017

Continue



Citnalta-Scalamandre JV  
Long Island Railroad  
Contract \$80,350,000- January 2018

Scalamandre-Gramercy JV  
MTA New York City Transit  
Contract \$34,247,000- September 2018

Village of Freeport  
Channel Crossing  
Contract \$3,997,000- June 2018

NYS Office of Parks  
Gateway Sign, Jones Beach  
Contract \$1,327,000.00- November 2018

# 5

**PETER SCALAMANDRE & SONS, INC.**

**OFFICERS**

Peter Scalamandre, Pres.  
 Cayton O'Shane, Esq., Secy.  
 Lisa B. Hayes, Treas.  
 MJ McCarthy, VP/Controller

55 Crescent Cove Ct., Seaborn, NY 11789  
 160 Ridge Rd., Valley Cottage, NY 10989  
 2 Greasy Hill Dr, Fort Salonga, NY 11793  
 14 Greenwood Lane, Farmingville, NY 11731

**STOCKHOLDERS**

0.0000%	1,000.00 shares	Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-0269227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-0854210)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6884167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6884124)
0.1250%	250.00 shares	Voting Common Stock	Tilda Scalamandre Qualified Subchapter S Trust (#26-6884256)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6854210)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6884167)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,376.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6884124)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,376.00 shares	Non-Voting Common Stock	Tilda Scalamandre Qualified Subchapter S Trust (#26-6884256)
	shares		Beneficiary: Tilda Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6854210)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6884167)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6884124)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,376.00 shares	Non-Voting Common Stock	Tilda Scalamandre Qualified Subchapter S Trust (#26-6884256)
	shares		Beneficiary: Tilda Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
29.6000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269227)
	shares		Beneficiary: Peter Scalamandre
	shares		Trustee: Peter Scalamandre
	shares		Trustee: Jill Scalamandre Clerk
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalamandre Clerk Qualified Subchapter S Trust (#26-6269243)
	shares		Beneficiary: Jill Scalamandre Clerk
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalamandre Qualified Subchapter S Trust (#26-6269210)
	shares		Beneficiary: Richard Scalamandre
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paula Scalamandre Qualified Subchapter S Trust (#26-6269192)
	shares		Beneficiary: Paula Scalamandre
	shares		Trustee: Peter Scalamandre
100.0000%	200,000.00 shares		

# SEA CREST CONSTRUCTION CORP.

## OFFICERS

Peter Scalomandre, Pres.  
Damon DiSandro, Esq., Secy.  
Lisa B. Hayes, Treas.  
MJ McCarthy, VP/Controller



## STOCKHOLDERS

0.0000%	1,000.00	shares	Voting Common Stock	Peter Scalomandre Qualified Subchapter S Trust (#26-0259227)
0.1250%	250.00	shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-0264210)
0.1250%	250.00	shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-0864167)
0.1250%	250.00	shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-0864124)
0.1250%	250.00	shares	Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust (#26-0864255)
6.1875%	12,375.00	shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-0864210)
		shares		Beneficiary: Lisa Scalomandre Hayes
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-0864167)
		shares		Beneficiary: Gina Scalomandre Greenhill
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-0864124)
		shares		Beneficiary: Christina Scalomandre Holton
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust (#26-0864255)
		shares		Beneficiary: Tricia Scalomandre
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-0864239)
		shares		Beneficiary: Lisa Scalomandre Hayes
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-0864200)
		shares		Beneficiary: Gina Scalomandre Greenhill
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-0864147)
		shares		Beneficiary: Christina Scalomandre Holton
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust II (#26-0864267)
		shares		Beneficiary: Tricia Scalomandre
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
29.5000%	59,000.00	shares	Non-Voting Common Stock	Peter Scalomandre Qualified Subchapter S Trust (#26-0260227)
		shares		Beneficiary: Peter Scalomandre
		shares		Trustee: Peter Scalomandre
10.0000%	20,000.00	shares	Non-Voting Common Stock	Jill Scalomandre-Clark Qualified Subchapter S Trust (#26-0260243)
		shares		Beneficiary: Jill Scalomandre-Clark
		shares		Trustee: Peter Scalomandre
5.0000%	10,000.00	shares	Non-Voting Common Stock	Richard Scalomandre Qualified Subchapter S Trust (#26-0260210)
		shares		Beneficiary: Richard Scalomandre
		shares		Trustee: Peter Scalomandre
5.0000%	10,000.00	shares	Non-Voting Common Stock	Paula Scalomandre Qualified Subchapter S Trust (#26-0260192)
		shares		Beneficiary: Paula Scalomandre
		shares		Trustee: Peter Scalomandre
100.0000%	200,000.00	shares		

## AFFILIATES

### MILL RENTAL CORP. (#11-2906856)

#### OFFICERS

Peter Scalamandre, President  
Lisa Hayes, Vice President  
Tricia Scalamandre, Secretary

#### STOCKHOLDERS

12.50% Lisa Hayes Family Trust  
12.50% Tricia Scalamandre Family Trust  
12.50% Christine Holton Family Trust  
12.50% Gina Greenhill Family Trust  
16.67% Peter Scalamandre Family Trust  
16.67% Joseph L. Scalamandre Family Trust  
16.66% Jill Clark Family Trust

### SEVILLE CENTRAL MIX CORP. (#11-2526339)

#### OFFICERS

Peter Scalamandre, President  
Lisa Hayes, Vice President  
Tricia Scalamandre, Secretary

#### STOCKHOLDERS

12.50% Lisa Hayes Family Trust  
12.50% Tricia Scalamandre Family Trust  
12.50% Christine Holton Family Trust  
12.50% Gina Greenhill Family Trust  
16.67% Peter Scalamandre Family Trust  
16.67% Joseph L. Scalamandre Family Trust  
16.58% Jill Clark Family Trust

### SCATT MATERIALS CORP. (#11-2607020)

#### OFFICERS

Peter Scalamandre, President  
Thomas Pratt, Vice President  
James Pratt, Treasurer

#### STOCKHOLDERS

45.000% James Pratt  
5.625% Lisa Hayes Family Trust  
5.625% Tricia Scalamandre Family Trust  
5.625% Christine Holton Family Trust  
5.625% Gina Greenhill Family Trust  
7.500% Peter Scalamandre Family Trust  
7.500% Joseph L. Scalamandre Family Trust  
7.500% Jill Clark Family Trust  
3.334% John Waitai  
3.333% Tom Waitai  
3.333% Paul Waitai

### SEA CREST DEVELOPMENT CORP. (#11-2171973)

#### OFFICERS

Lisa Hayes, President  
Peter Scalamandre, Vice President  
Tricia Scalamandre, Secretary

#### STOCKHOLDERS

14.2860% Peter Scalamandre  
14.2860% J Scalamandre QTIP - Residuary  
14.2860% Jill Clark  
14.2860% Lisa Hayes  
14.2860% Gina Greenhill  
14.2860% Christine Holton  
14.2850% Tricia Scalamandre

### SEAMENT TRANSPORTATION, LLC (#11-3592000)

#### MANAGEMENT

Peter Scalamandre, Managing Member

#### MEMBERS

37.5% Peter Scalamandre  
37.5% J Scalamandre QTIP - Residuary  
20.0% Thomas Hayes  
5.0% Peter Buok



WINDING ROAD LEASING CORP. (#11-3592264)

**OFFICERS**

Peter Scalamandre, President  
Thomas Hayes, Vice President  
Peter Buck, Secretary

**STOCKHOLDERS**

37.5% Peter Scalamandre  
37.5% J Scalamandre QTIP - Residuary  
20.0% Thomas Hayes  
5.0% Peter Buck

SEVEN "S" REALTY, LLC (#11-3418887)

**MANAGEMENT**

Peter Scalamandre, Managing Member

**MEMBERS**

16.6700% Peter Scalamandre  
16.6700% J Scalamandre QTIP - Residuary  
16.6600% Jill Clark  
12.5000% Lisa Hayes  
12.5000% Gina Greenhill  
12.5000% Christina Hutton  
12.5000% Tricia Scalamandre

SSS REALTY CO., LLC (#11-3313486)

**MANAGEMENT**

Peter Scalamandre, Managing Member

**MEMBERS**

16.6700% Peter Scalamandre  
16.6700% J Scalamandre QTIP - Residuary  
16.6600% Jill Clark  
12.5000% Lisa Hayes  
12.5000% Gina Greenhill  
12.5000% Christina Hutton  
12.5000% Tricia Scalamandre

SOUTH SHORE MATERIALS, INC. (#11-3196622)

**OFFICERS**

Giuseppe Gianquinto, President  
Peter Scalamandre, Vice President  
James J Pratt, Secretary  
Eugene Augustlewicz, Treasurer

**STOCKHOLDERS**

8.3400% James J Pratt  
8.3300% Thomas Pratt  
8.3300% Cathleen Williams  
25.0000% Eugene Augustlewicz  
8.3400% Giuseppe Gianquinto  
8.3300% Luigi Gianquinto  
8.3300% Anthony Gianquinto  
4.1700% Peter Scalamandre  
4.1700% J Scalamandre QTIP - Residuary  
4.1600% Jill Clark  
3.1250% Lisa Hayes  
3.1250% Gina Greenhill  
3.1250% Christina Hutton  
3.1250% Tricia Scalamandre

SOUTH SHORE LAND, LLC (#11-3466012)

MANAGEMENT

Giuseppe Gianquinto, Managing Member

MEMBERS

8.3400% James J Pratt  
8.3300% Thomas Pratt  
8.3300% Cathleen Williams  
25.0000% Eugene Augustewicz  
8.3400% Giuseppe Gianquinto  
8.3300% Luigi Gianquinto  
8.3300% Anthony Gianquinto  
4.1700% Peter Scalamandre  
4.1700% J Scalamandre QTIP - Residuary  
4.1600% Jill Clark  
3.1250% Lisa Hayes  
3.1250% Gina Greenhill  
3.1250% Christina Holton  
3.1250% Tricia Scalamandre

PRS SALES & LEASING LLC (#41-2068549)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

100.0000% Peter Scalamandre

FRED SCALAMANDRE & JOSEPH SCALAMANDRE REAL ESTATE (#11-6140493)

OWNERSHIP

1.0000% Fred Scalamandre  
49.0000% Scalamandre Family Ltd Pship I  
1.0000% Joseph Scalamandre  
49.0000% Joseph Scalamandre Family Ltd Pship I

SCALAMANDRE FAMILY LTD PSHIP I (#11-3364127)

OWNERSHIP

1.0000% Fred Scalamandre  
1.0000% F Scala I Corp.  
12.2500% Scalamandre Irrevocable Trust I (Gina)  
12.2500% Scalamandre Irrevocable Trust II (Lisa)  
12.2500% Scalamandre Irrevocable Trust III (Christina)  
12.2500% Scalamandre Irrevocable Trust IV (Tricia)  
12.2500% Scalamandre Irrevocable Trust V (Gina)  
12.2500% Scalamandre Irrevocable Trust VI (Lisa)  
12.2500% Scalamandre Irrevocable Trust VII (Christina)  
12.2500% Scalamandre Irrevocable Trust VIII (Tricia)

JOSEPH SCALAMANDRE FAMILY LTD PSHIP I (#58-2440510)

OWNERSHIP

1.0000% Joseph Scalamandre  
1.0000% Joseph Scalamandre Management Inc.  
32.6700% J Scalamandre Irrev Trust FBO Peter  
32.6500% J Scalamandre Irrev Trust FBO Jill  
32.6700% J Scalamandre Irrev Trust FBO Joseph L

# PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name DAVID D. SIMONE ESQ  
Date of birth [REDACTED]  
Home address [REDACTED]  
City/state/zip [REDACTED]  
Business address 157 ALBANY AVE  
City/state/zip FREDRICK NY 11520  
Telephone 516 868 3000  
Other present address(es) NONE  
City/state/zip [REDACTED]  
Telephone [REDACTED]

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)  
President     /     /     Treasurer     /     /      
Chairman of Board     /     /     Shareholder     /     /      
Chief Exec. Officer     /     /     Secretary 01/01/02  
Chief Financial Officer     /     /     Partner     /     /      
Vice President     /     /      
(Other)
3. Do you have an equity interest in the business submitting the questionnaire? YES     NO X If Yes, provide details.
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES     NO X If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES X NO     If Yes, provide details.

SEE ATTACHMENTS

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐  
If Yes, provide details. SEE ATTACHMENT

**NOTE:** An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.  
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ☐ NO ☒ If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
  - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.) NO
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
  - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES \_\_\_ NO X If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES \_\_\_ NO X If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES \_\_\_ NO X If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES \_\_\_ NO X If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES \_\_\_ NO X If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES \_\_\_ NO X If Yes, provide details for each such year.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, DAMON DiSIMONE, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 3 day of JAN 2019

Gina A. Greenhill  
Notary Public

GINA S. GREENHILL  
Notary Public, State of New York  
No. 01GR4867265  
Qualified in Suffolk County  
Commission Expires October 8, 2022

Peter SCALAMANDRE & SONS INC  
Name of submitting business

DAMON DiSIMONE  
Print name

[Signature]  
Signature

SECRETARY  
Title

01, 03, 19  
Date



December 27, 2018

Nassau County DPW  
Principal Questionnaire Form  
Question No. 6

Sea Crest Construction Corp.  
Suffolk County College  
Contract \$20,950,600- April 2017

Nassau County DPW  
BSRJ Joint Venture  
Bay Park Electrical E-3  
Contract \$97,447,200- July 2015

Peter Scalamandre & Son, Inc.  
New York State DOT  
Contract D263436  
Contract \$6,417,100- April 2017

Peter Scalamandre & Sons, Inc.  
State of NY Office of Parks  
Contract \$19,957,100-August 2017

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BSRJ Joint Venture  
Bay Park Electrical B-1  
Contract \$28,674,700- February 2014

Nassau County DPW  
RJ Scalamandre Joint Venture  
Bay Park Grit Building  
Contract \$16,187,700- March 2014

Roosevelt Island Operating Corp.  
591 Main Street , Roosevelt, NY 10044  
Elevator Construction Project  
Contract \$5,580,000- October 2017

*Continue*



Citnalta-Scalamandre JV  
Long Island Railroad  
Contract \$80,350,000- January 2018

Scalamandre-Gramercy JV  
MTA New York City Transit  
Contract \$34,247,000- September 2018

Village of Freeport  
Channel Crossing  
Contract \$3,997,000- June 2018

NYS Office of Parks  
Gateway Sign, Jones Beach  
Contract \$1,327,000.00- November 2018

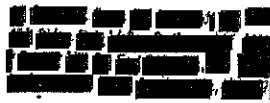


# 5

# PETER SCALAMANDRE & SONS, INC.

## OFFICERS

Peter Scalamandre, Pres.  
 Carmen DiStefano, Esq., Secy.  
 Lisa B. Hayes, Treas.  
 M.J. McCarthy, VP/Controller



## STOCKHOLDERS

0.0000%	1,000.00 shares	Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-8268227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-8864218)
0.1760%	350.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-8864167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-8864124)
0.1250%	250.00 shares	Voting Common Stock	Tilda Scalamandre Qualified Subchapter S Trust (#26-8864256)
6.1875%	12,376.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-8864218)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,376.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-8864167)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,376.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-8864124)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,376.00 shares	Non-Voting Common Stock	Tilda Scalamandre Qualified Subchapter S Trust (#26-8864256)
	shares		Beneficiary: Tilda Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,376.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-8864218)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,376.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-8864167)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,376.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-8864124)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,376.00 shares	Non-Voting Common Stock	Tilda Scalamandre Qualified Subchapter S Trust (#26-8864256)
	shares		Beneficiary: Tilda Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
29.6000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-8268227)
	shares		Beneficiary: Peter Scalamandre
	shares		Trustee: Peter Scalamandre
	shares		Trustee: Jit Scalamandre Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jit Scalamandre Clark Qualified Subchapter S Trust (#26-8268245)
	shares		Beneficiary: Jit Scalamandre Clark
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalamandre Qualified Subchapter S Trust (#26-8268270)
	shares		Beneficiary: Richard Scalamandre
	shares		Trustee: Peter Scalamandre
6.0000%	10,000.00 shares	Non-Voting Common Stock	Pauline Scalamandre Qualified Subchapter S Trust (#26-8268292)
	shares		Beneficiary: Pauline Scalamandre
	shares		Trustee: Peter Scalamandre
100.0000%	200,000.00 shares		

# SEA CREST CONSTRUCTION CORP.

## OFFICERS

Peter Scalomandre, Pres.  
 Damon DiSimone, Esq., Secy.  
 Lisa B. Hayes, Treas.  
 MJ McCarthy, VP/Controller

55 Cassock Cove Ct., Searford, NY 11783  
 103 Maple Rd., Valley College, NY 10980  
 2 Bazaar Hill Dr, Fort Salonga, NY 11793  
 14 Basswood Lane, Farmingville, NY 11738

## STOCKHOLDERS

0.5000%	1,000.00 shares	Voting Common Stock	Peter Scalomandre Qualified Subchapter S Trust (#26-6268227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6054216)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6054167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6054124)
0.1250%	250.00 shares	Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust (#26-6054255)
6.1675%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6042161)
	shares		Beneficiary: Lisa Scalomandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
6.1675%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6054167)
	shares		Beneficiary: Gina Scalomandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
6.1675%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6042124)
	shares		Beneficiary: Christina Scalomandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
6.1675%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust (#26-6042355)
	shares		Beneficiary: Tricia Scalomandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
6.1675%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6042399)
	shares		Beneficiary: Lisa Scalomandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
6.1675%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6042000)
	shares		Beneficiary: Gina Scalomandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
6.1675%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-6054147)
	shares		Beneficiary: Christina Scalomandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
6.1675%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust II (#26-6054267)
	shares		Beneficiary: Tricia Scalomandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
20.0000%	60,000.00 shares	Non-Voting Common Stock	Peter Scalomandre Qualified Subchapter S Trust (#26-6268227)
	shares		Beneficiary: Peter Scalomandre
	shares		Trustee: Peter Scalomandre
	shares		Trustee: Jill Scalomandre Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalomandre-Clark Qualified Subchapter S Trust (#26-6268249)
	shares		Beneficiary: Jill Scalomandre Clark
	shares		Trustee: Peter Scalomandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalomandre Qualified Subchapter S Trust (#26-6268210)
	shares		Beneficiary: Richard Scalomandre
	shares		Trustee: Peter Scalomandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paulella Scalomandre Qualified Subchapter S Trust (#26-6268192)
	shares		Beneficiary: Paulella Scalomandre
	shares		Trustee: Peter Scalomandre
100.0000%	200,000.00 shares		

### Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

**NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.**

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: January 11, 2019

1) Proposer's Legal Name: RJ/Scalamandre, JV

2) Address of Place of Business: 75 East Bethpage Road; Plainview, NY 11803

List all other business addresses used within last five years:

3) Mailing Address (if different): \_\_\_\_\_

Phone : 516-845-9772

Does the business own or rent its facilities? Facility owned by RJ 75 East Bethpage Road, LLC

4) Dun and Bradstreet number: \_\_\_\_\_

5) Federal I.D. Number: 46-5260846

6) The proposer is a (check one): ☐ Sole Proprietorship ☐ Partnership ☐ Corporation ☐ Other (Describe) Joint Venture

7) Does this business share office space, staff, or equipment expenses with any other business?

Yes ☒ No ☐ If Yes, please provide details: R.J. Industries, Inc. and affiliates

8) Does this business control one or more other businesses? Yes ☐ No ☒ If Yes, please provide details: \_\_\_\_\_

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ☒ No ☐ If Yes, provide details. Members of this joint venture are RJ Industries, Inc. and Peter Scalamandre and Sons, Inc.
- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ☐ No ☒ If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). \_\_\_\_\_
- 11) Has the proposer, during the past seven years, been declared bankrupt? Yes ☐ No ☒ If Yes, state date, court jurisdiction, amount of liabilities and amount of assets \_\_\_\_\_
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.  
Yes ☐ No ☒ If Yes, provide details for each such investigation. \_\_\_\_\_
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. \_\_\_\_\_
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
- a) Any felony charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. \_\_\_\_\_
- b) Any misdemeanor charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. \_\_\_\_\_
- c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes ☐ No ☒

If Yes, provide details for each such conviction \_\_\_\_\_

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor? Yes \_\_\_\_ No x If Yes, provide details for each such conviction. \_\_\_\_\_

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? Yes \_\_\_\_ No x If Yes, provide details for each such occurrence. \_\_\_\_\_

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? Yes \_\_\_\_ No x; If Yes, provide details for each such instance. \_\_\_\_\_

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? Yes \_\_\_\_ No x If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. \_\_\_\_\_

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. **NOTE: If no conflicts exist, please expressly state "No conflict exists."**

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

\_\_\_\_\_  
No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

\_\_\_\_\_  
No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

\_\_\_\_\_  
No conflict exists

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

We are pleased to accommodate all reasonable efforts by the County of Nassau

that work toward eliminating and preventing conflicts of interest

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; April 1, 2014
  - ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner; see enclosed
  - iii) Name, address and position of all officers and directors of the company; see enclosed
  - iv) State of incorporation (if applicable);
  - v) The number of employees in the firm; 4 principals (officers) - estimated trade payroll 8-15
  - vi) Annual revenue of firm; \$7 million
  - vii) Summary of relevant accomplishments see enclosed schedule of projects
  - viii) Copies of all state and local licenses and permits. none
- B. Indicate number of years in business. 3+
- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services. Please refer to the enclosed experience schedules for RJ Industries, Inc. and Peter Scalamandre and Sons, Inc.
- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company R.J. Scalamandre, JV performed one project, which was for Nassau County,  
Bay Park Grit Removal Facility Improvements (S3B120-02G)

Contact Person Damon Urso

Address Majorie Lane; East Rockaway, NY

City/State

Telephone 516-571-7359

Fax #

E-Mail Address durso@nassaucountyny.gov

Company \_\_\_\_\_  
Contact Person \_\_\_\_\_  
Address \_\_\_\_\_  
City/State \_\_\_\_\_  
Telephone \_\_\_\_\_  
Fax # \_\_\_\_\_  
E-Mail Address \_\_\_\_\_

\_\_\_\_\_

Company \_\_\_\_\_  
Contact Person \_\_\_\_\_  
Address \_\_\_\_\_  
City/State \_\_\_\_\_  
Telephone \_\_\_\_\_  
Fax # \_\_\_\_\_  
E-Mail Address \_\_\_\_\_

**NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS**

**CONTRACTOR EVALUATION FORM (Interim/Final)**

Contract No.: S3B120-02G Number of Prime Contracts: 1

Contract Desc: Gnt Removal Facility - Replacement of (3) Gnt Tanks, and upgrade of Ancillary Equipment in the facility

Contract Type: Construction Contract Amt: \$16,187,700.00

Firm's Name: RJ/Scalamandre JV Vendor I.D.: [REDACTED]

Address: [REDACTED]

Contract Completion Date: July 18th, 2017

Evaluate: [Good (G); Satisfactory (S); Unsatisfactory (U) or Not Applicable (n/a)]

Work Quality	<u>G</u>	Physical Facilities	<u>G</u>
Reliability	<u>G</u>	Technical Ability	<u>G</u>
Accountability	<u>G</u>	Record Keeping	<u>G</u>
Achieving Schedule	<u>S</u>	Cooperation	<u>G</u>
Substitution of Materials	<u>G</u>	Supervision	<u>S</u>
Attendance at Meetings	<u>G</u>	Organization	<u>G</u>
Litigation (Y/N)	<u>N</u>	Adequacy of Personnel	<u>S</u>
Compliance with Contract	<u>G</u>	Safety Compliance	<u>S</u>
		Overall Performance	<u>G</u>

Comments (Mandatory for U Ratings) \_\_\_\_\_

Rated by: Ben Liang  
 Title: Resident Engineer  
 Date: 4/5/2017

Reviewed by: Robert Pedenzin  
4/5/2017



***RJ/Scalamandra, JV***

Prime Contracts In Progress

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u><i>RJ / Scalamandra, JV</i></u> Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$15,677,700	June-20	23%

as of December 31, 2019

**RJ/Scalamandre, JV**

**PROJECT & LOCATION**

Bay Park Sewage Treatment Plant  
Grit Removal Facility Improvements  
Contract No. S3B120-02G

**OWNER**

Nassau County DPW

**Contractor /  
Consultant**

Ken Arnold  
[karnold@nassaucountyny.gov](mailto:karnold@nassaucountyny.gov)  
516-571-9804

**CONTRACT AMOUNT DATE COMPLETED**

\$16,187,700 2017

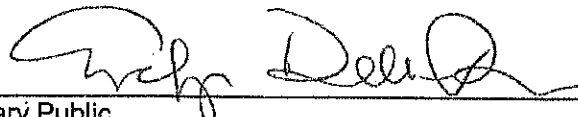
As of November 30, 2018

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Richard O. Felicetta, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 11<sup>th</sup> day of January, 2019


  
\_\_\_\_\_  
Notary Public

EVELYN DELLARATTA  
Notary Public, State of New York  
No. 01DE4854133  
Qualified in Nassau County  
My Commission expires on May 27, 2022

Name of submitting business: RJ/Scalamandre, JV

By: Richard O. Felicetta

Print name

  
\_\_\_\_\_  
Signature

Member

\_\_\_\_\_  
Title

1 / 11 / 2019  
Date

A ii + iii

RJ/Scalamandre, JV

46-5260846

(a joint venture of R.J. Industries, Inc. and Peter Scalamandre and Sons, Inc.)

**Members**

Richard O. Felicetta: President, [REDACTED]

John Doremus: Vice President, [REDACTED]

Peter Scalamandre: [REDACTED]

Lisa B. Hayes: [REDACTED]

#9

A11 &amp; 111

PETER SCALAMANDRE & SONS, INC.OFFICERS

Peter Scalamandre, Pres.  
 Damon DiSimone, Esq., Secy.  
 Lisa B. Hayes, Treas  
 M.J. McCarthy, VP/Controller

STOCKHOLDERS

0.5000%	1,000.00 shares	Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-8289227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6854167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
0.1250%	250.00 shares	Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-6864266)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-6864266)
	shares		Beneficiary: Tricia Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6864239)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6864200)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-6864147)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1876%	12,376.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust II (#26-6864267)
	shares		Beneficiary: Tricia Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
29.6000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269227)
	shares		Beneficiary: Peter Scalamandre
	shares		Trustee: Peter Scalamandre
	shares		Trustee: Jill Scalamandre-Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalamandre-Clark Qualified Subchapter S Trust II (#26-8209243)
	shares		Beneficiary: Jill Scalamandre-Clark
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalamandre Qualified Subchapter S Trust (#26-6269210)
	shares		Beneficiary: Richard Scalamandre
	shares		Trustee: Peter Scalamandre
6.0000%	10,000.00 shares	Non-Voting Common Stock	Pauline Scalamandre Qualified Subchapter S Trust (#26-6269192)
	shares		Beneficiary: Pauline Scalamandre
	shares		Trustee: Peter Scalamandre
100.0000%	200,000.00 shares		

A i l l i

RJ Industries, Inc.  
11-3401288  
New York State S-Corporation

**Officers / Principals**

Richard O. Felicetta: President,  
John Doremus: Vice President,  
John Koziarz: Vice President –  
William Doremus: Secretary & Treasurer,

**Safety/Risk Management/Engineering**

Richard A. Felicetta – Field Risk Manager  
Bob Sevier - Draftsman  
Irto Kim - Survey

**Project Management / Supervision / MWBE Procurement**

Keith Olsen – Sr. Project Manager (Purchasing & Subcontract Management)  
Nick Johnas – Project Manager  
Joshua Rogers – Project Manager  
Nicholas Felicetta – Project Manager  
Maram Alam – Project Manager  
Thomas Fallon – Project Manager  
Joseph Felicetta – Supervisor  
Todd Melzer – Supervisor  
Tony Etersque – Supervisor (Mechanical)  
Simone Washington – MWBE Officer

**Main Office**

Maryanne Hilton – Controller  
Evelyn Dellaratta- Office Manager  
Lynn Saccone – Purchasing Assistant

Union Staff includes Engineers, Carpenters, Plumbers, Steamfitters, Laborers

**R.J. Industries, Inc.**

Prime Contracts In Progress

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Cedar Creek WPCP Effluent Screening & Disinfection Facility Improv. (Contract S3C087-03G)	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$11,197,700	June-19	69%
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	March-19	87%
Bay Park Sewage Treatment Plant Level 1 - Biological Nutrient Removal (BNR) Improvements (Contract S35123-L39G)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$18,947,700	March-20	40%
Bay Park Sewage Treatment Plant Engine Generator Facility (Contract S35121-16A)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$2,977,700	December-19	70%
<u>BSRJA TV</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$97,447,200	September-19	85%
<u>RJ/Scalamandre, JV</u>					
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$15,677,700	June-20	23%
<u>RJ/Martin, JV</u>					
Coney Island WWTP Level 1 Step Feed BNR Upgrade (Contract: SF-BNR-CI)	NYC DEP	Stanley Joseph 718-596-7800	\$46,874,100	March-22	11%

as of December 31, 2018

**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	2017
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visone 516-571-7359	\$7,647,700	2017
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Joe Davenport <a href="mailto:jdavenport@nassaucountyny.gov">jdavenport@nassaucountyny.gov</a> 516-571-7515	\$16,187,700	2017
Bay Park Sewage Treatment Plant Electrical DistribSystem Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a TV)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$28,956,674	2016
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improvements to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$24,767,700	2016
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$3,937,700	2016

As of November 30, 2018



**R.J. Industries, Inc.**

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Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-6604	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-681-7835	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
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Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
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Bergen Point WWTP (SCSD #3) Screening Room Odor Control General Construction & HVAC Contracts	County of Suffolk	John Berchtold 631-852-4189	\$2,347,860	2013
Bay Park WWTP Emergency Contract (Sandy) Restores Plant Operations, Sewage Collection	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$2,701,537	2013

As of November 30, 2018

***R.J. Industries, Inc.***

<u>PROJECT &amp; LOCATION</u> System & Related Infrastructure Repairs	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4063	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartilucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading River CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,806,550	2011
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW Ken Arnold 516-571-9604	\$3,647,700	2011

As of November 30, 2018

### Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

**NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.**

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: January 11, 2019

1) Proposer's Legal Name: R.J. Industries, Inc.

2) Address of Place of Business: 75 East Bethpage road; PO Box 349; Plainview, NY 11803

List all other business addresses used within last five years:

3) Mailing Address (if different): \_\_\_\_\_

Phone : 516-845-9772

Does the business own or rent its facilities? own

4) Dun and Bradstreet number: \_\_\_\_\_

5) Federal I.D. Number: 11-3401288

6) The proposer is a (check one): ☐ Sole Proprietorship ☐ Partnership ☐ Corporation ☐ Other (Describe) s-corporation

7) Does this business share office space, staff, or equipment expenses with any other business?  
Yes ☒ No ☐ If Yes, please provide details: James McCullagh Co., Inc. & R.J.I. Mechanical, Inc.

8) Does this business control one or more other businesses? Yes ☒ No ☐ If Yes, please provide details: RJ East Bethpage Road, LLC (Real Estate Holding Company; RJ's main office)

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ☒ No ☐ If Yes, provide details. R.J.I. Mechanical, Inc. is an affiliate of RJ's; it is a NYC plumbing contractor.
- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ☐ No ☒ If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). \_\_\_\_\_
- 11) Has the proposer, during the past seven years, been declared bankrupt? Yes ☐ No ☒ If Yes, state date, court jurisdiction, amount of liabilities and amount of assets \_\_\_\_\_
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. \_\_\_\_\_
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. \_\_\_\_\_
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
- a) Any felony charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. \_\_\_\_\_
- b) Any misdemeanor charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. \_\_\_\_\_
- c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes ☐ No ☒

If Yes, provide details for each such conviction \_\_\_\_\_

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?  
Yes \_\_\_\_ No x If Yes, provide details for each such conviction. \_\_\_\_\_

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? Yes \_\_\_\_ No x If Yes, provide details for each such occurrence. \_\_\_\_\_

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? Yes \_\_\_\_ No x; If Yes, provide details for each such instance. \_\_\_\_\_

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? Yes \_\_\_\_ No x If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. \_\_\_\_\_

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. **NOTE: If no conflicts exist, please expressly state "No conflict exists."**

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

We are not aware of any other matters that would create a conflict of interest or appearance of a conflict of interest

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.  
We are pleased to assist Nassau County and eliminate potential conflicts of interest; we will notify Nassau County staff in the event we believe they need to be made aware of such issues.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; 9/2/1997
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner; see attached
- iii) Name, address and position of all officers and directors of the company; see attached
- iv) State of incorporation (if applicable); New York
- v) The number of employees in the firm; 25 non-union & union staff varies (25-35)
- vi) Annual revenue of firm; \$30 million
- vii) Summary of relevant accomplishments see attached
- viii) Copies of all state and local licenses and permits. none

- B. Indicate number of years in business. 20

- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Suffolk County DPW

Contact Person Janice McGovern, P.E.

Address 335 Yaphank Avenue

City/State Yaphank, NY 11980

Telephone 631-852-4188

Fax # \_\_\_\_\_

E-Mail Address janice.mcgovern@suffolkcountyny.gov

Company H2M Group  
Contact Person Chris Weiss  
Address 538 Broadhollow Road  
City/State Melville, NY 11747  
Telephone 631-756-8000  
Fax # \_\_\_\_\_  
E-Mail Address cweiss@h2m.com

---

Company D&B Engineers & Architects  
Contact Person Joseph Mautarano  
Address 330 Crossways Park Drive  
City/State Woodbury, NY 11797  
Telephone 516-364-9890  
Fax # \_\_\_\_\_  
E-Mail Address \_\_\_\_\_

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Richard O. Felicetta, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.


Sworn to before me this 11<sup>th</sup> day of January,

2019

  
Notary Public

EVELYN DELLARATTA  
Notary Public, State of New York  
No. 01DE4894103  
Qualified in Nassau County  
My Commission expires on May 27, 2022

Name of submitting business: R.J. Industries, Inc.

By: Richard O. Felicetta  
Print name  
  
Signature  
President

\_\_\_\_\_  
Title

11/11/2019  
Date



A ii + iii

RJ Industries, Inc.

11-3401288

New York State S-Corporation

**Officers / Principals**

Richard O. Felicetta: President, [REDACTED]

John Doremus: Vice President, [REDACTED]

John Koziarz: Vice President - [REDACTED]

William Doremus: Secretary & Treasurer, [REDACTED]

**Safety/Risk Management/Engineering**

Richard A. Felicetta - Field Risk Manager

Bob Sevier - Draftsman

Irto Kim - Survey

**Project Management / Supervision / MWBE Procurement**

Keith Olsen - Sr. Project Manager (Purchasing & Subcontract Management)

Nick Johnas - Project Manager

Joshua Rogers - Project Manager

Nicholas Felicetta - Project Manager

Maram Alam - Project Manager

Thomas Fallon - Project Manager

Joseph Felicetta - Supervisor

Todd Melzer - Supervisor

Tony Etersque - Supervisor (Mechanical)

Simone Washington - MWBE Officer

**Main Office**

Maryanne Hilton - Controller

Evelyn Dellaratta- Office Manager

Lynn Saccone - Purchasing Assistant

Union Staff includes Engineers, Carpenters, Plumbers, Steamfitters, Laborers

**R.J. Industries, Inc.**

Prime Contracts In Progress

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
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as of December 31, 2018

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As of November 30, 2018

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As of November 30, 2018

**R.J. Industries, Inc.**

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As of November 30, 2018

### Business History Form

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**NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.**

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 01/03/19

1) Proposer's Legal Name: Patra Scalapandae & Sons Inc

2) Address of Place of Business: 157 ALBANY AVE FREEPORT NY 11520

List all other business addresses used within last five years: NONE

3) Mailing Address (if different): \_\_\_\_\_

Phone: 516 868 3000

Does the business own or rent its facilities? RENT

4) Dun and Bradstreet number: 002413730

5) Federal I.D. Number: 111805182

6) The proposer is a (check one): ☒ Sole Proprietorship ☐ Partnership ☒ Corporation ☐ Other (Describe) \_\_\_\_\_

7) Does this business share office space, staff, or equipment expenses with any other business?

Yes ☒ No ☐ If Yes, please provide details: SEE ATTACHMENT

8) Does this business control one or more other businesses? Yes ☐ No ☒ If Yes, please provide details: \_\_\_\_\_

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ☒ No ☐ If Yes, provide details. SEE ATTACHMENT
- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ☐ No ☒ If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). \_\_\_\_\_
- 11) Has the proposer, during the past seven years, been declared bankrupt? Yes ☐ No ☒ If Yes, state date, court jurisdiction, amount of liabilities and amount of assets \_\_\_\_\_
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. \_\_\_\_\_
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. \_\_\_\_\_
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
- a) Any felony charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. \_\_\_\_\_
- b) Any misdemeanor charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. \_\_\_\_\_
- c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes ☐ No ☒

P. SCALAMANDRE & SONS INC

If Yes, provide details for each such conviction \_\_\_\_\_

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?  
Yes \_\_\_\_\_ No X If Yes, provide details for each such conviction. \_\_\_\_\_

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? Yes X No \_\_\_\_\_ If Yes, provide details for each such occurrence. OSHA VIOLATIONS

SEE ATTACHMENTS

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? Yes \_\_\_\_\_ No X; If Yes, provide details for each such instance. \_\_\_\_\_

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? Yes \_\_\_\_\_ No X If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. \_\_\_\_\_

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

NO CONFLICT EXISTS

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

NO CONFLICT EXISTS

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

NO

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

IF A CONFLICT AROSE DURING THE TERM OF THE CONTRACT  
WE WOULD MEET WITH THE COUNTY TO RESOLVE ANY ISSUES



- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; 07/19/1956
- ii) Name, addresses, and position of all persons having a financial interest in the SEE company, including shareholders, members, general or limited partner; ATTACHMENT
- iii) Name, address and position of all officers and directors of the company; "
- iv) State of incorporation (if applicable); NEW YORK
- v) The number of employees in the firm; 400
- vi) Annual revenue of firm; 110,000,000
- vii) Summary of relevant accomplishments
- viii) Copies of all state and local licenses and permits. N/A

B. Indicate number of years in business. 63

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company DELTA AIRLINES 84347,000

Contact Person Petra CHORMAN

Address JFK Airport

City/State JAMAICA NY

Telephone 646 484 0133

Fax # \_\_\_\_\_

E-Mail Address \_\_\_\_\_

Company NYS DOT 28,000,000  
Contact Person RAY WEIKLER  
Address 250 VETS MEMORIAL HWY  
City/State HARPDUNGE NY 11788  
Telephone 631 952 6045  
Fax # \_\_\_\_\_  
E-Mail Address \_\_\_\_\_

---

Company TISHMAN CONST CO. 60,000,000  
Contact Person FRANK DE GENNARO  
Address 100 PARK AVE  
City/State NEW YORK NY 10017  
Telephone 973 703 5030  
Fax # \_\_\_\_\_  
E-Mail Address \_\_\_\_\_

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Peter SCALAMANDRE, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 3 day of JAN 2019

Gina S. Greenhill  
Notary Public

GINA S. GREENHILL  
Notary Public, State of New York  
No. 01614887265  
Qualified in Suffolk County  
Commission Expires October 8, 2022

Name of submitting business: Peter SCALAMANDRE & SONS INC

By: Peter SCALAMANDRE  
Print name  
[Signature]  
Signature

PRESIDENT  
Title

01, 03, 19  
Date



November 19, 2018

Shared Office Space

Sea Crest Construction Corp.	11-1960116
Seville Central Mix	11-2526339
Mill Rental Corp.	11-2905956
Sea Crest Development Corp.	11-2171973
Seament Transportation LLC	11-3592000
Winding Road Leasing	11-3592254
Scalamandre Real Estate	11-6140493

All- 157 Albany Avenue, Freeport, NY 11520

Business History Form  
# 7

# 9 A 11 & 111

**PETER SCALAMANDRE & SONS, INC.**

**OFFICERS**

Peter Scalamandre, Pres.  
 Dayton DiStefano, Esq., Secy.  
 Lisa B. Hayes, Treas  
 MJ McCarthy, VP/Controller



**STOCKHOLDERS**

0.0000%	1,000.00	shares	Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6289227)
0.1250%	250.00	shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
0.1250%	250.00	shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
0.1250%	250.00	shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
0.1250%	250.00	shares	Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-6864256)
6.1875%	12,375.00	shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
		shares		Beneficiary: Lisa Scalamandre Hayes
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalamandre Hayes
		shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
		shares		Beneficiary: Gina Scalamandre Greenhill
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalamandre Hayes
		shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
		shares		Beneficiary: Christina Scalamandre Holton
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalamandre Hayes
		shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-6864256)
		shares		Beneficiary: Tricia Scalamandre
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalamandre Hayes
		shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6864239)
		shares		Beneficiary: Lisa Scalamandre Hayes
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalamandre Hayes
		shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6864200)
		shares		Beneficiary: Gina Scalamandre Greenhill
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalamandre Hayes
		shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-6864147)
		shares		Beneficiary: Christina Scalamandre Holton
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalamandre Hayes
		shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust I (#26-6864267)
		shares		Beneficiary: Tricia Scalamandre
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalamandre Hayes
		shares		Trustee: Tricia Scalamandre
28.6000%	59,000.00	shares	Non-Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6289227)
		shares		Beneficiary: Peter Scalamandre
		shares		Trustee: Peter Scalamandre
		shares		Trustee: Jill Scalamandre Clark
10.0000%	20,000.00	shares	Non-Voting Common Stock	Jill Scalamandre-Clark Qualified Subchapter S Trust (#26-6289243)
		shares		Beneficiary: Jill Scalamandre Clark
		shares		Trustee: Peter Scalamandre
5.0000%	10,000.00	shares	Non-Voting Common Stock	Richard Scalamandre Qualified Subchapter S Trust (#26-6289210)
		shares		Beneficiary: Richard Scalamandre
		shares		Trustee: Peter Scalamandre
6.0000%	10,000.00	shares	Non-Voting Common Stock	Paula Scalamandre Qualified Subchapter S Trust (#26-6289192)
		shares		Beneficiary: Paula Scalamandre
		shares		Trustee: Peter Scalamandre
100.0000%	200,000.00	shares		

# SEA CREST CONSTRUCTION CORP.

## OFFICERS

Peter Scalomandros, Pres.  
Damon Disimone, Esq., Secy.  
Lisa B. Hayes, Treas.  
MJ McCarthy, VP/Controller



## STOCKHOLDERS

0.6000%	1,000.00 shares	Voting Common Stock	Peter Scalomandros Qualified Subchapter S Trust (#26-6260227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6644218)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6644167)
0.1250%	250.00 shares	Voting Common Stock	Christina Hollon Qualified Subchapter S Trust (#26-6041147)
0.1250%	250.00 shares	Voting Common Stock	Tilda Scalomandros Qualified Subchapter S Trust (#26-6044255)
6.1675%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6044218)
	shares		Beneficiary: Lisa Scalomandros Hayes
	shares		Trustee: Grace Gargallo
	shares		Trustee: Lisa Scalomandros Hayes
	shares		Trustee: Tilda Scalomandros
6.1675%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6644167)
	shares		Beneficiary: Gina Scalomandros Greenhill
	shares		Trustee: Grace Gargallo
	shares		Trustee: Lisa Scalomandros Hayes
	shares		Trustee: Tilda Scalomandros
6.1675%	12,375.00 shares	Non-Voting Common Stock	Christina Hollon Qualified Subchapter S Trust (#26-6041147)
	shares		Beneficiary: Christina Scalomandros Hollon
	shares		Trustee: Grace Gargallo
	shares		Trustee: Lisa Scalomandros Hayes
	shares		Trustee: Tilda Scalomandros
6.1675%	12,375.00 shares	Non-Voting Common Stock	Tilda Scalomandros Qualified Subchapter S Trust (#26-6044255)
	shares		Beneficiary: Tilda Scalomandros
	shares		Trustee: Grace Gargallo
	shares		Trustee: Lisa Scalomandros Hayes
	shares		Trustee: Tilda Scalomandros
6.1675%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6044239)
	shares		Beneficiary: Lisa Scalomandros Hayes
	shares		Trustee: Grace Gargallo
	shares		Trustee: Lisa Scalomandros Hayes
	shares		Trustee: Tilda Scalomandros
6.1675%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6644200)
	shares		Beneficiary: Gina Scalomandros Greenhill
	shares		Trustee: Grace Gargallo
	shares		Trustee: Lisa Scalomandros Hayes
	shares		Trustee: Tilda Scalomandros
6.1675%	12,375.00 shares	Non-Voting Common Stock	Christina Hollon Qualified Subchapter S Trust II (#26-6041147)
	shares		Beneficiary: Christina Scalomandros Hollon
	shares		Trustee: Grace Gargallo
	shares		Trustee: Lisa Scalomandros Hayes
	shares		Trustee: Tilda Scalomandros
6.1675%	12,375.00 shares	Non-Voting Common Stock	Tilda Scalomandros Qualified Subchapter S Trust II (#26-6044257)
	shares		Beneficiary: Tilda Scalomandros
	shares		Trustee: Grace Gargallo
	shares		Trustee: Lisa Scalomandros Hayes
	shares		Trustee: Tilda Scalomandros
29.6000%	69,000.00 shares	Non-Voting Common Stock	Peter Scalomandros Qualified Subchapter S Trust (#26-6260227)
	shares		Beneficiary: Peter Scalomandros
	shares		Trustee: Peter Scalomandros
	shares		Trustee: Jill Scalomandros Clark
70.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalomandros-Clark Qualified Subchapter S Trust (#26-6269243)
	shares		Beneficiary: Jill Scalomandros Clark
	shares		Trustee: Peter Scalomandros
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalomandros Qualified Subchapter S Trust (#26-6260210)
	shares		Beneficiary: Richard Scalomandros
	shares		Trustee: Peter Scalomandros
6.0000%	10,000.00 shares	Non-Voting Common Stock	Peulette Scalomandros Qualified Subchapter S Trust (#26-6260192)
	shares		Beneficiary: Peulette Scalomandros
	shares		Trustee: Peter Scalomandros
100.0000%	200,000.00 shares		

## AFFILIATES

### MILL RENTAL CORP. (#11-2905956)

#### OFFICERS

Peter Scalamandre, President  
Lisa Hayes, Vice President  
Tricia Scalamandre, Secretary

#### STOCKHOLDERS

12.50% Lisa Hayes Family Trust  
12.50% Tricia Scalamandre Family Trust  
12.50% Christina Hutton Family Trust  
12.50% Gina Greenhill Family Trust  
16.67% Peter Scalamandre Family Trust  
16.67% Joseph L. Scalamandre Family Trust  
16.66% Jill Clark Family Trust

### SEVILLE CENTRAL MIX CORP. (#11-2626339)

#### OFFICERS

Peter Scalamandre, President  
Lisa Hayes, Vice President  
Tricia Scalamandre, Secretary

#### STOCKHOLDERS

12.50% Lisa Hayes Family Trust  
12.50% Tricia Scalamandre Family Trust  
12.50% Christina Hutton Family Trust  
12.50% Gina Greenhill Family Trust  
16.67% Peter Scalamandre Family Trust  
16.67% Joseph L. Scalamandre Family Trust  
16.66% Jill Clark Family Trust

### SCATT MATERIALS CORP. (#11-2607020)

#### OFFICERS

Peter Scalamandre, President  
Thomas Pratt, Vice President  
James Pratt, Treasurer

#### STOCKHOLDERS

46.000% James Pratt  
5.625% Lisa Hayes Family Trust  
5.625% Tricia Scalamandre Family Trust  
5.625% Christina Hutton Family Trust  
5.625% Gina Greenhill Family Trust  
7.500% Peter Scalamandre Family Trust  
7.500% Joseph L. Scalamandre Family Trust  
7.500% Jill Clark Family Trust  
3.334% John Watral  
3.333% Tom Watral  
3.333% Paul Watral

### SEA CREST DEVELOPMENT CORP. (#11-2171973)

#### OFFICERS

Lisa Hayes, President  
Peter Scalamandre, Vice President  
Tricia Scalamandre, Secretary

#### STOCKHOLDERS

14.2860% Peter Scalamandre  
14.2860% J Scalamandre QTIP - Residuary  
14.2860% Jill Clark  
14.2860% Lisa Hayes  
14.2860% Gina Greenhill  
14.2860% Christina Hutton  
14.2860% Tricia Scalamandre

### SEAMENT TRANSPORTATION, LLC (#11-3592000)

#### MANAGEMENT

Peter Scalamandre, Managing Member

#### MEMBERS

37.5% Peter Scalamandre  
37.5% J Scalamandre QTIP - Residuary  
20.0% Thomas Hayes  
5.0% Peter Buck

WINDING ROAD LEASING CORP. (#11-3692264)

**OFFICERS**

Peter Scalamandre, President  
Thomas Hayes, Vice President  
Peter Buck, Secretary

**STOCKHOLDERS**

37.5% Peter Scalamandre  
37.5% J Scalamandre QTIP - Residuary  
20.0% Thomas Hayes  
5.0% Peter Buck

SEVEN "S" REALTY, LLC (11-3416087)

**MANAGEMENT**

Peter Scalamandre, Managing Member

**MEMBERS**

16.6700% Peter Scalamandre  
16.6700% J Scalamandre QTIP - Residuary  
16.6600% Jill Clark  
12.5000% Lisa Hayes  
12.5000% Gina Greenhill  
12.5000% Christina Hutton  
12.5000% Tricia Scalamandre

SSS REALTY CO., LLC (#11-3313486)

**MANAGEMENT**

Peter Scalamandre, Managing Member

**MEMBERS**

16.6700% Peter Scalamandre  
16.6700% J Scalamandre QTIP - Residuary  
16.6600% Jill Clark  
12.5000% Lisa Hayes  
12.5000% Gina Greenhill  
12.5000% Christina Hutton  
12.5000% Tricia Scalamandre

SOUTH SHORE MATERIALS, INC. (#11-3196622)

**OFFICERS**

Giuseppe Gianquinto, President  
Peter Scalamandre, Vice President  
James J Pratt, Secretary  
Eugene Augustewicz, Treasurer

**STOCKHOLDERS**

8.3400% James J Pratt  
8.3300% Thomas Pratt  
8.3300% Cathleen Williams  
25.0000% Eugene Augustewicz  
8.3400% Giuseppe Gianquinto  
8.3300% Luigi Gianquinto  
8.3300% Anthony Gianquinto  
4.1700% Peter Scalamandre  
4.1700% J Scalamandre QTIP - Residuary  
4.1600% Jill Clark  
3.1250% Lisa Hayes  
3.1250% Gina Greenhill  
3.1250% Christina Hutton  
3.1250% Tricia Scalamandre



SOUTH SHORE LAND, LLC (#11-3466012)

**MANAGEMENT**

Giuseppe Gianquinto, Managing Member

**MEMBERS**

8.3400% James J Pratt  
8.3300% Thomas Pratt  
8.3300% Cathleen Williams  
25.0000% Eugene Augustlewicz  
8.3400% Giuseppe Gianquinto  
8.3300% Luigi Gianquinto  
8.3300% Anthony Gianquinto  
4.1700% Peter Scalamanadre  
4.1700% J Scalamanadre QTIP - Residuary  
4.1600% Jill Clark  
3.1250% Lisa Hayes  
3.1250% Gine Greenhill  
3.1250% Christina Hutton  
3.1250% Tricia Scalamanadre

PRS SALES & LEASING LLC (#41-2058549)

**MANAGEMENT**

Peter Scalamanadre, Managing Member

**MEMBERS**

100.0000% Peter Scalamanadre

FRED SCALAMANDRE & JOSEPH SCALAMANDRE REAL ESTATE (#11-0140483)

**OWNERSHIP**

1.0000% Fred Scalamanadre  
49.0000% Scalamanadre Family Ltd Pship I  
1.0000% Joseph Scalamanadre  
49.0000% Joseph Scalamanadre Family Ltd Pship I

SCALAMANDRE FAMILY LTD PSHIP I (#11-3364427)

**OWNERSHIP**

1.0000% Fred Scalamanadre  
1.0000% F Spatá I Corp.  
12.2500% Scalamanadre Irrevocable Trust I (Gina)  
12.2500% Scalamanadre Irrevocable Trust II (Lisa)  
12.2500% Scalamanadre Irrevocable Trust III (Christina)  
12.2500% Scalamanadre Irrevocable Trust IV (Tricia)  
12.2500% Scalamanadre Irrevocable Trust V (Gina)  
12.2500% Scalamanadre Irrevocable Trust VI (Lisa)  
12.2500% Scalamanadre Irrevocable Trust VII (Christina)  
12.2500% Scalamanadre Irrevocable Trust VIII (Tricia)

JOSEPH SCALAMANDRE FAMILY LTD PSHIP I (#68-2440518)

**OWNERSHIP**

1.0000% Joseph Scalamanadre  
1.0000% Joseph Scalamanadre Management Inc.  
32.6700% J Scalamanadre Irrev Trust FBO Peter  
32.6600% J Scalamanadre Irrev Trust FBO Jill  
32.6700% J Scalamanadre Irrev Trust FBO Joseph L

ATTACHMENT

U.S. Department of Labor  
Office of the Solicitor

201 Varick Street, Room 903  
New York, NY 10014  
Phone: (546) 264-3656  
Fax: (546) 264-3660



Reply to the Attention of: SOL:DH:KG

October 18, 2018

Honorable Covette Rooney, Chief Judge  
Occupational Safety and Health  
Review Commission  
One Lafayette Centre  
1120 20th Street, N.W., Suite 990  
Washington, D.C. 20036-3419

Re: Secretary of Labor v. Peter Scalamandre & Sons, Inc.  
OSHRC Docket No. 18-1457

Dear Chief Judge Rooney:

Enclosed please find the executed Stipulated Settlement Agreement in this matter, along with the proposed Order Approving Settlement.

Sincerely,

Jeffrey S. Rogoff  
Regional Solicitor

BY:

Daniel Hennefeld  
Counsel for Occupational Safety and Health

Enclosures

*Five to 10/18/18*

cc: Peter Scalamandre & Sons, Inc.

UNITED STATES OF AMERICA

OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

R. ALEXANDER ACOSTA, Secretary of Labor,  
United States Department of Labor,  
Complainant,

vs.

Peter Scalamandre & Sons, Inc.  
Respondent.

OSHRC Docket No.

Inspection No. 1300489

STIPULATED SETTLEMENT

KATE S. O'SCANNLAIN  
Solicitor of Labor

JEFFREY S. ROGOFF  
Regional Solicitor

DANIEL HENNEFELD  
Counsel for Occupational Safety & Health

U.S. Department of Labor,  
Attorneys for R. ALEXANDER ACOSTA,  
Secretary of Labor.

POST OFFICE ADDRESS:

Jeffrey S. Rogoff,  
Regional Solicitor  
U.S. Department of Labor  
201 Varick Street, Rm. 983  
New York, NY 10014  
Tel. 646-264-3650

UNITED STATES OF AMERICA  
OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

-----  
R. ALEXANDER ACOSTA, Secretary of Labor,  
United States Department of Labor,

Complainant,

vs.

Peter Scalamandre & Sons, Inc.  
Respondent.  
-----

OSHRC Docket No.

Inspection No. 1300489

STIPULATED SETTLEMENT

Based upon the following recital, the Complainant and the Respondent herein agree to the following as a conclusion of this matter:

1. The Complainant, R. ALEXANDER ACOSTA, Secretary of Labor, United States Department of Labor, hereby amends Item(s) 1 of Citation No. 1 to delete all references to said item(s) as "serious violation" and recharacterizing said item(s) as "other than serious."
2. Respondent affirmatively hereby agrees to provide the Queens District Office with abatement certification and documentation for each citation item within ten (10) days of the entry of a Final Order of the Review Commission.
3. The Secretary hereby amends the citation(s) proposed penalty to reflect a total amended proposed penalty of \$12934.00 to be assessed as follows:

<u>CITATION NO.</u>	<u>ITEM NO.</u>	<u>ORIGINAL PROPOSED PENALTY</u>	<u>AMENDED PROPOSED PENALTY</u>
1	1	\$12934.00	\$12934.00

TOTAL PROPOSED PENALTY: \$12934.00

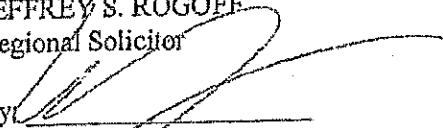
4. Based upon the above, Respondent herein withdraws its notice of contest as to the citation(s) and notification(s) of proposed penalty, as modified herein.
5. Respondent affirmatively states that:
  - a. The excavator was sold and is no longer onsite. The employer will provide a copy of the bill of sale.
  - b. The employer agrees to develop a policy and procedure to verify temporary and permanent employee's training.
6. Respondent affirmatively states that it will comply in the future with the Occupational Safety and Health Act.
7. Respondent certifies that on 10/10/2018, this stipulation will be posted where affected employees may see it.
8. Respondent will pay the amended proposed penalty of \$12,934.00 within ten (10) days of entry of a Final Order of the Review Commission by forwarding a check made payable to "Occupational Safety and Health Labor" in that amount to U.S. Department of Labor – OSHA, 45-17 Marathon Parkway, 2<sup>nd</sup> floor, Little Neck, NY 11362.
9. Each party hereby agrees to bear its own fees and other expenses incurred by such party in connection with any stage of this proceeding.
10. The Respondent consents to the electronic filing and service of this stipulated

settlement with the Review Commission.

DATED: 10/10/2018  
New York, New York

KATE S. O'SCANNLAIN  
Solicitor of Labor

JEFFREY S. ROGOFF  
Regional Solicitor

By:   
DANIEL HENNEFELD  
Counsel for Occupational Safety and Health

U.S. Department of Labor,  
Attorneys for R. ALEXANDER ACOSTA,  
Secretary of Labor.

Peter Scalamandre & Sons, Inc.  
Respondent

By: Wayne M. L...

UNITED STATES OF AMERICA

OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

R. ALEXANDER ACOSTA, Secretary of Labor,  
United States Department of Labor,

Complainant,

vs.

Peter Scalamanandre & Sons, Inc.

Respondent.

OSHRC Docket No.

Inspection No. 1300489

ORDER APPROVING SETTLEMENT

Respondent in Inspection No. 1300489, by a letter dated 9/17/19, contested the citation issued to it by Complainant on 8/23/18. In that letter, Respondent also contested the \$12934.00 penalty proposed by Complainant for the citation.

An executed Stipulated Settlement has been received from the parties, and this stipulation addresses all matters at issue between the parties in this proceeding. The Stipulation having been read and considered, it is

**ORDERED:**

- (1) That the terms of the Stipulated Settlement are approved and incorporated as part of this Order; and
- (2) That this Order, pursuant to Section 12(j) of the Act, 29 U.S.C. Section 661(j) will become the final order of the Commission at the expiration of thirty (30) days from the date of docketing by the Executive Secretary, unless within that time a member of the Commission directs that it be reviewed.

Dated this 25<sup>th</sup> day of October, 2018

SO ORDERED:

Carol A. Baumeister  
Judge, Occupational Safety and Health  
Review Commission

### CERTIFICATE OF SERVICE

I hereby certify that on \_\_\_\_\_, I served a copy of the attached Stipulated Settlement on \_\_\_\_\_ the authorized employee representative at the following address:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

This service was accomplished by mailing the Stipulated Settlement to the representative at this last known address by postage pre-paid first class mail.

NAME: \_\_\_\_\_

TITLE: \_\_\_\_\_

### CERTIFICATE OF SERVICE

I hereby certify that on \_\_\_\_\_, I personally delivered a copy of the attached Stipulated Settlement on \_\_\_\_\_, the authorized employee representative at the following address:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

NAME: \_\_\_\_\_

TITLE: \_\_\_\_\_

### CERTIFICATION ON NON-REPRESENTATION

I hereby certify that there is no authorized employee representative for the employees affected by the action which is the subject of the attached Stipulated Settlement.

NAME: Wayne M. Smith

TITLE: Corp. Safety Director





**U.S. Department of Labor**

Occupational Safety and Health Administration  
Queens District Office  
45-17 Marathon Parkway, 2nd Floor  
Little Neck, NY 11362

October 3, 2018

Mr. Wayne Smith  
157 Albany Ave.  
Freeport, NY 11520

OCT 11 2018

*Wayne*  
*10/11/18*  
*12,934*  
*OSHA*

Dear Mr. Smith:

Re: **Secretary of Labor v. Peter Scalamandre & Sons, Inc.**  
**Inspection No. 1300489**

Enclosed please find an original and three copies of a Stipulated Settlement in the above matter. You will note that a copy of the agreement must be posted and that the date of posting must be filled in the appropriate space provided in the Stipulation (Paragraph 3).

**IN ADDITION TO FILLING IN THE DATE OF POSTING COMMISSION RULES ALSO REQUIRE THAT YOU SERVE A COPY OF THE SETTLEMENT ON THE AUTHORIZED EMPLOYEE REPRESENTATIVE IF ANY. YOU MUST EXECUTE ONE OF THE CERTIFICATES OF SERVICE ANNEXED TO THE STIPULATED SETTLEMENT.**

SCALAMANDRE & SONS • 157 ALBANY AVENUE • FREEPORT, N.Y. 11520			VENDOR ID # ONETIME	CUSTOMER ID #	2048591
DATE	INVOICE NO.	DESCRIPTION	AMOUNT	RETAINAGE	NET AMOUNT
10-03-18	100318	INSPECTION 1300489	12934.00	.00	12934.00
10-11-18	2048591			TOTAL	12934.00

U.S. Department of Labor

Occupational Safety and Health Administration  
Manhattan Area Office  
201 Varick Street - Room 908  
New York, New York 10014  
Tel: (212) 820-3200  
Fax: (212) 820-4121  
OSHA Website Address: <http://www.osha.gov>



April 2, 2015

Peter Scalamandre and Sons, Inc.  
157 Albany Avenue  
Freeport, NY 11520  
Attn: Wayne Smith, Safety Director

Ref: Inspection 1011559

Dear Mr. Smith;

As a result of discussions between representatives of OSHA and you with your ~~representatives concerning the OSHA inspection of your establishment~~, Inspection Number 1011559, conducted on 12/04/2014, the parties have reached a tentative agreement resolving disputed citations, penalties and abatement dates.

You have indicated that you are willing to sign the Settlement Agreement we reached verbally on 04/01/2015.

Attached to this letter is a written document reflecting the agreement. The document has been signed and dated by the Area Director and requires the signature of an authorized company official to make the agreement binding upon the company. The agreement must be signed on or before **04/06/2015**, which is the last day of the 15 working day contest period, provided for by the Occupational Safety and Health Act.

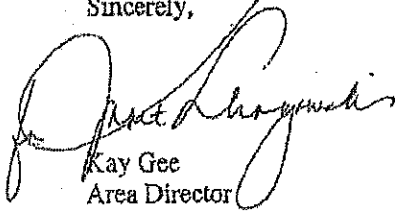
If you agree with the terms as set forth, please sign the agreement, without change, date it and return it to the Area Office. Any change will render the document invalid unless such change is accepted by the Area Director before the date of final order.

If, after further consideration, you decide not to sign the proposed settlement agreement, the citations, penalties and abatement dates as previously issued will become a final and unappealable order unless you file, before the date of the final order, a written notice of your intent to contest the citations and penalties formally before the Occupational Safety and Health Review Commission.

Please note that any notice of intent to contest must be in writing. Any oral statements of dissatisfaction with the citations or penalties which you may have expressed to OSHA personnel do not constitute a formal notice of contest.

If you have any questions regarding any of the matters discussed in this letter, please contact me at your earliest convenience.

Sincerely,



Kay Gee  
Area Director

U.S. Department of Labor  
Occupational Safety and Health Administration

Inspection Number: 1011559  
Inspection Date(s): 12/04/2014 - 02/24/2015  
Issuance Date: 03/12/2015



Citation and Notification of Penalty

Company Name: Peter Scalamandre and Sons Inc.  
Inspection Site: 1 West End Ave, New York, NY 10023

Citation 1 Item 2 Type of Violation: **Serious**

29 CFR 1926.502(e)(9): Positioning device systems were not inspected prior to each use for wear, damage, and other deterioration, and defective components were not removed from service:

a) West Crash wall:

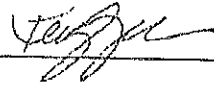
On or about December 4, 2014

The positioning device system was not inspected and removed from use for defective components.

**NOTE: THE EMPLOYER IS REQUIRED TO SUBMIT ABATEMENT CERTIFICATION FOR THIS ITEM, FAILURE TO COMPLY WILL RESULT IN AN ADDITIONAL PENALTY OF \$1,000.00 AS PER 29 CFR 1903.19.**

Date By Which Violation Must be Abated:  
Proposed Penalty:

03/24/2015  
\$4500.00

  
\_\_\_\_\_  
Kay Gee  
Area Director

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: RJ/Scalamandre, JV

Address: 75 East Bethpage Road; PO Box 349

City, State and Zip Code: Plainview, NY 11803

2. Entity's Vendor Identification Number: 46-5260846

3. Type of Business: Public Corp Partnership Joint Venture

Ltd. Liability Co Closely Held Corp Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Richard O. Felicetta, Member; [REDACTED]

John C. Doremus, Member; [REDACTED]

Peter Scalamandre, Member; [REDACTED]

Lisa Hayes, Member; [REDACTED]

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

See response to question 4.

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6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

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R.J. Industries, Inc. a member of RJ/Scalamandre, JV (disclosure enclosed)

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~~Peter Scalamandre and Sons Inc. a member of RJ/Scalamandre, JV (disclosure enclosed)~~

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7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

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None

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(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

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None

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(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

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None


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8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: January 11, 2019 Signed:   
Print Name: Richard O. Felicetta  
Title: Member

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.



COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: R.J. Industries, Inc.

Address: 75 East Bethpage Road; PO Box 349

City, State and Zip Code: Plainview, NY 11803

2. Entity's Vendor Identification Number: 11-3401288

3. Type of Business: Public Corp Partnership Joint Venture

Ltd. Liability Co Closely Held Corp s-corp Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Richard O. Felicetta	President/Stockholder	[REDACTED]
John C. Doremus	VP / Stockholder	[REDACTED]
John E. Kozlarsz	VP / Stockholder	[REDACTED]
William J. Doremus	Secretary/Treasurer	[REDACTED]

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

see response to question #4

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

RJI Mechanical, Inc. - NY City Plumbing Contractor; not expected to perform on this project

BSRJ A TV - Joint Venture of Bana Electric Corp; Peter Scalamandre and Sons Corporation and RJ Industries, Inc; not expected to perform on this project

RJ Scalamandre, JV - Joint Venture of Peter Scalamandre and Sons Corporation and RJ Industries, Inc.; ~~not~~ expected to perform on this project

(as Prime Gen. Contractor)

WB

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

we have not hired or worked with a lobbyist in connection with this project

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

not applicable - we have not worked with a lobbyist


(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

not applicable

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: January 11, 2019

Signed: 

Print Name: Richard O. Felicetta

Title: President

**Page 4 of 4**

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Peter Scalamandre & Sons Inc

Address: 151 ALBANY AVE

City, State and Zip Code: FREEPORT NY 11520

2. Entity's Vendor Identification Number: 111805182

3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture  
☐ Ltd. Liability Co ☐ Closely Held Corp S. Corp Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

SEE ATTACHMENT

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

SEE ATTACHMENT

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

SEE ATTACHMENT

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

NO LOBBYING ACTIVITY

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

NONE

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

NONE

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 01/03/19

Signed:

Print Name:

Peter SCALAMANDALE

Title:

PRESIDENT

**Page 4 of 4**

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.



# PETER SCALAMANDRE & SONS, INC.

## OFFICERS

Peter Scalamandre, Pres.  
Dagmar O'Shannon, Secy., Secy.  
Lisa S. Hayes, Treas.  
MJ McCarthy, VP/Controller



## STOCKHOLDERS

0.0000%	1,000.00 shares	Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6064216)
0.1250%	250.00 shares	Voting Common Stock	Gino Greenhill Qualified Subchapter S Trust (#26-0284167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6064124)
0.1250%	250.00 shares	Voting Common Stock	Tilda Scalamandre Qualified Subchapter S Trust (#26-6064265)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6064216)
0.1250%	250.00 shares	Non-Voting Common Stock	Beneficiary: Lisa Scalamandre Hayes
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Grace Gargiulo
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Lisa Scalamandre Hayes
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Tilda Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Gino Greenhill Qualified Subchapter S Trust (#26-6064167)
0.1250%	250.00 shares	Non-Voting Common Stock	Beneficiary: Gino Scalamandre Greenhill
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Grace Gargiulo
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Lisa Scalamandre Hayes
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Tilda Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6064124)
0.1250%	250.00 shares	Non-Voting Common Stock	Beneficiary: Christina Scalamandre Holton
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Grace Gargiulo
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Lisa Scalamandre Hayes
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Tilda Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Tilda Scalamandre Qualified Subchapter S Trust (#26-6064265)
0.1250%	250.00 shares	Non-Voting Common Stock	Beneficiary: Tilda Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Grace Gargiulo
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Lisa Scalamandre Hayes
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Tilda Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6064239)
0.1250%	250.00 shares	Non-Voting Common Stock	Beneficiary: Lisa Scalamandre Hayes
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Grace Gargiulo
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Lisa Scalamandre Hayes
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Tilda Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Gino Greenhill Qualified Subchapter S Trust (#26-6064267)
0.1250%	250.00 shares	Non-Voting Common Stock	Beneficiary: Gino Scalamandre Greenhill
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Grace Gargiulo
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Lisa Scalamandre Hayes
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Tilda Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6064147)
0.1250%	250.00 shares	Non-Voting Common Stock	Beneficiary: Christina Scalamandre Holton
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Grace Gargiulo
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Lisa Scalamandre Hayes
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Tilda Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Tilda Scalamandre Qualified Subchapter S Trust (#26-6064267)
0.1250%	250.00 shares	Non-Voting Common Stock	Beneficiary: Tilda Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Grace Gargiulo
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Lisa Scalamandre Hayes
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Tilda Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269227)
0.1250%	250.00 shares	Non-Voting Common Stock	Beneficiary: Peter Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Peter Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Jil Scalamandre Clark
0.1250%	250.00 shares	Non-Voting Common Stock	Jil Scalamandre Clark Qualified Subchapter S Trust (#26-6269243)
0.1250%	250.00 shares	Non-Voting Common Stock	Beneficiary: Jil Scalamandre Clark
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Peter Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269210)
0.1250%	250.00 shares	Non-Voting Common Stock	Beneficiary: Peter Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Peter Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Paula Scalamandre Qualified Subchapter S Trust (#26-6269192)
0.1250%	250.00 shares	Non-Voting Common Stock	Beneficiary: Paula Scalamandre
0.1250%	250.00 shares	Non-Voting Common Stock	Trustee: Peter Scalamandre

# SEA CREST CONSTRUCTION CORP.

## OFFICERS

Peter Scalapando, Pres.  
Damon GSimmons, Esq., Secy.  
Lisa A. Hayes, Treas.  
M.J. McCarthy, VP/Controller



## STOCKHOLDERS

0.5000%	1,000.00 shares	Voting Common Stock	Peter Scalapando Qualified Subchapter S Trust (#26-6258227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6258240)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6258241)
0.1250%	250.00 shares	Voting Common Stock	Christina Hollon Qualified Subchapter S Trust (#26-6258242)
0.1250%	250.00 shares	Voting Common Stock	Tricia Scalapando Qualified Subchapter S Trust (#26-6258243)
0.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6258244)
	12,375.00 shares		Beneficiary: Lisa Scalapando Hayes
	12,375.00 shares		Trustee: Grace Gargallo
	12,375.00 shares		Trustee: Lisa Scalapando Hayes
	12,375.00 shares		Trustee: Tricia Scalapando
0.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6258245)
	12,375.00 shares		Beneficiary: Gina Scalapando Greenhill
	12,375.00 shares		Trustee: Grace Gargallo
	12,375.00 shares		Trustee: Lisa Scalapando Hayes
	12,375.00 shares		Trustee: Tricia Scalapando
0.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Hollon Qualified Subchapter S Trust (#26-6258246)
	12,375.00 shares		Beneficiary: Christina Scalapando Hollon
	12,375.00 shares		Trustee: Grace Gargallo
	12,375.00 shares		Trustee: Lisa Scalapando Hayes
	12,375.00 shares		Trustee: Tricia Scalapando
0.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalapando Qualified Subchapter S Trust (#26-6258247)
	12,375.00 shares		Beneficiary: Tricia Scalapando
	12,375.00 shares		Trustee: Grace Gargallo
	12,375.00 shares		Trustee: Lisa Scalapando Hayes
	12,375.00 shares		Trustee: Tricia Scalapando
0.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust F (#26-6258248)
	12,375.00 shares		Beneficiary: Lisa Scalapando Hayes
	12,375.00 shares		Trustee: Grace Gargallo
	12,375.00 shares		Trustee: Lisa Scalapando Hayes
	12,375.00 shares		Trustee: Tricia Scalapando
0.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6258249)
	12,375.00 shares		Beneficiary: Gina Scalapando Greenhill
	12,375.00 shares		Trustee: Grace Gargallo
	12,375.00 shares		Trustee: Lisa Scalapando Hayes
	12,375.00 shares		Trustee: Tricia Scalapando
0.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Hollon Qualified Subchapter S Trust II (#26-6258250)
	12,375.00 shares		Beneficiary: Christina Scalapando Hollon
	12,375.00 shares		Trustee: Grace Gargallo
	12,375.00 shares		Trustee: Lisa Scalapando Hayes
	12,375.00 shares		Trustee: Tricia Scalapando
0.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalapando Qualified Subchapter S Trust II (#26-6258251)
	12,375.00 shares		Beneficiary: Tricia Scalapando
	12,375.00 shares		Trustee: Grace Gargallo
	12,375.00 shares		Trustee: Lisa Scalapando Hayes
	12,375.00 shares		Trustee: Tricia Scalapando
29.5000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalapando Qualified Subchapter S Trust (#26-6258252)
	59,000.00 shares		Beneficiary: Peter Scalapando
	59,000.00 shares		Trustee: Peter Scalapando
	59,000.00 shares		Trustee: JM Scalapando Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	JM Scalapando Clark Qualified Subchapter S Trust (#26-6258253)
	20,000.00 shares		Beneficiary: JM Scalapando Clark
	20,000.00 shares		Trustee: Peter Scalapando
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalapando Qualified Subchapter S Trust (#26-6258254)
	10,000.00 shares		Beneficiary: Richard Scalapando
	10,000.00 shares		Trustee: Peter Scalapando
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paulita Scalapando Qualified Subchapter S Trust (#26-6258255)
	10,000.00 shares		Beneficiary: Paulita Scalapando
	10,000.00 shares		Trustee: Peter Scalapando
100.0000%	200,000.00 shares		

## AFFILIATES

### MILL RENTAL CORP. (#11-2905956)

#### OFFICERS

Peter Scalamandre, President  
Lisa Hayes, Vice President  
Tricia Scalamandre, Secretary

#### STOCKHOLDERS

12.50% Lisa Hayes Family Trust  
12.50% Tricia Scalamandre Family Trust  
12.50% Christine Holton Family Trust  
12.50% Gina Greenhill Family Trust  
16.67% Peter Scalamandre Family Trust  
16.67% Joseph L. Scalamandre Family Trust  
16.65% Jill Clark Family Trust

### SEVILLE CENTRAL MIX CORP. (#11-2526339)

#### OFFICERS

Peter Scalamandre, President  
Lisa Hayes, Vice President  
Tricia Scalamandre, Secretary

#### STOCKHOLDERS

12.50% Lisa Hayes Family Trust  
12.50% Tricia Scalamandre Family Trust  
12.50% Christine Holton Family Trust  
12.50% Gina Greenhill Family Trust  
16.67% Peter Scalamandre Family Trust  
16.67% Joseph L. Scalamandre Family Trust  
16.65% Jill Clark Family Trust

### SCAFF MATERIALS CORP. (#11-2607020)

#### OFFICERS

Peter Scalamandre, President  
Thomas Pratt, Vice President  
James Pratt, Treasurer

#### STOCKHOLDERS

45.000% James Pratt  
5.625% Lisa Hayes Family Trust  
5.625% Tricia Scalamandre Family Trust  
5.625% Christine Holton Family Trust  
5.625% Gina Greenhill Family Trust  
7.500% Peter Scalamandre Family Trust  
7.500% Joseph L. Scalamandre Family Trust  
7.500% Jill Clark Family Trust  
3.334% John Watral  
3.333% Tom Watral  
3.333% Paul Watral

### SEA CREST DEVELOPMENT CORP. (#11-2471973)

#### OFFICERS

Lisa Hayes, President  
Peter Scalamandre, Vice President  
Tricia Scalamandre, Secretary

#### STOCKHOLDERS

14.2860% Peter Scalamandre  
14.2860% J Scalamandre QTIP - Residuary  
14.2860% Jill Clark  
14.2860% Lisa Hayes  
14.2860% Gina Greenhill  
14.2860% Christine Holton  
14.2860% Tricia Scalamandre

### SEAMENT TRANSPORTATION, LLC (#11-3692000)

#### MANAGEMENT

Peter Scalamandre, Managing Member

#### MEMBERS

37.5% Peter Scalamandre  
37.5% J Scalamandre QTIP - Residuary  
20.0% Thomas Hayes  
5.0% Peter Duck

WINDING ROAD LEASING CORP. (#11-3592254)

**OFFICERS**

Peter Scalomandre, President  
Thomas Hayes, Vice President  
Peter Duck, Secretary

**STOCKHOLDERS**

37.5% Peter Scalomandre  
37.5% J Scalomandre QTIP - Residuary  
20.0% Thomas Hayes  
5.0% Peter Duck

SEVEN "S" REALTY, LLC (#11-3418887)

**MANAGEMENT**

Peter Scalomandre, Managing Member

**MEMBERS**

16.6700% Peter Scalomandre  
16.6700% J Scalomandre QTIP - Residuary  
16.6600% Jill Clark  
12.5000% Lisa Hayes  
12.5000% Gina Greenhill  
12.5000% Christina Holton  
12.5000% Tricie Scalomandre

SSS REALTY CO., LLC (#11-3313496)

**MANAGEMENT**

Peter Scalomandre, Managing Member

**MEMBERS**

16.6700% Peter Scalomandre  
16.6700% J Scalomandre QTIP - Residuary  
16.6600% Jill Clark  
12.5000% Lisa Hayes  
12.5000% Gina Greenhill  
12.5000% Christina Holton  
12.5000% Tricie Scalomandre

SOUTH SHORE MATERIALS, INC. (#11-3196622)

**OFFICERS**

Giuseppe Gianquinto, President  
Peter Scalomandre, Vice President  
James J Pratt, Secretary  
Eugene Augustewicz, Treasurer

**STOCKHOLDERS**

8.3400% James J Pratt  
8.3300% Thomas Pratt  
8.3300% Catherine Williams  
25.0000% Eugene Augustewicz  
8.3400% Giuseppe Gianquinto  
8.3300% Luigi Gianquinto  
8.3300% Anthony Gianquinto  
4.1700% Peter Scalomandre  
4.1700% J Scalomandre QTIP - Residuary  
4.1600% Jill Clark  
3.1250% Lisa Hayes  
3.1250% Gina Greenhill  
3.1250% Christina Holton  
3.1250% Tricie Scalomandre

SOUTH SHORE LAND, LLC (#11-3465012)

MANAGEMENT

Giuseppe Gianquinto, Managing Member

MEMBERS

8.3400% James J Pratt  
8.3300% Thomas Pratt  
8.3300% Cathleen Williams  
25.0000% Eugene Augustewicz  
8.8400% Giuseppe Gianquinto  
8.3300% Luigi Gianquinto  
8.3300% Anthony Gianquinto  
4.1700% Peter Scalamandre  
4.1700% J Scalamandre QTIP - Residuary  
4.1600% Jill Clark  
3.1250% Lisa Hayes  
3.1250% Gina Greenhill  
3.1250% Christina Holton  
3.1250% Tricia Scalamandre

PRS SALES & LEASING LLC (#41-2050549)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

100.0000% Peter Scalamandre

FRED SCALAMANDRE & JOSEPH SCALAMANDRE REAL ESTATE (#11-5140493)

OWNERSHIP

1.0000% Fred Scalamandre  
49.0000% Scalamandre Family Ltd Pship I  
1.0000% Joseph Scalamandre  
49.0000% Joseph Scalamandre Family Ltd Pship I

SCALAMANDRE FAMILY LTD PSHIP I (#11-3364127)

OWNERSHIP

1.0000% Fred Scalamandre  
1.0000% F Scalá I Corp.  
12.2500% Scalamandre Irrevocable Trust I (Gina)  
12.2500% Scalamandre Irrevocable Trust II (Lisa)  
12.2500% Scalamandre Irrevocable Trust III (Christina)  
12.2500% Scalamandre Irrevocable Trust IV (Tricia)  
12.2500% Scalamandre Irrevocable Trust V (Gina)  
12.2500% Scalamandre Irrevocable Trust VI (Lisa)  
12.2500% Scalamandre Irrevocable Trust VII (Christina)  
12.2500% Scalamandre Irrevocable Trust VIII (Tricia)

JOSEPH SCALAMANDRE FAMILY LTD PSHIP I (#58-2440510)

OWNERSHIP

1.0000% Joseph Scalamandre  
1.0000% Joseph Scalamandre Management Inc.  
32.6700% J Scalamandre Irrev Trust FBO Peter  
32.6800% J Scalamandre Irrev Trust FBO Jill  
32.6700% J Scalamandre Irrev Trust FBO Joseph L

**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Office of the County Executive  
Att: Brian J. Schneider, Deputy County Executive

**FROM:** Department of Public Works

**DATE:** April 15, 2019

**SUBJECT: —RECOMMENDATION OF AWARD**

Contract Number: S35123-L38GR  
Title: Bay Park Sewage Treatment Plant Sidestream  
Centrate Treatment Facility

Engineer's Estimate: \$26,586,313

Bids Received On: September 25, 2018

The bids received for the above referenced contract have been examined and "RJ/Scalamandre, JV" is the lowest responsible bidder in the amount as follows:

General Construction (Lump Sum Base Bid):	\$28,094,000.00
Proprietary centrate DEMON system (Lump Sum)	\$3,325,600.00
Additional depth of Augered Cast-in-Place Piles beyond tip EL-38.5 (Unit Price Bid Item)	\$387,000.00
Additional Compressive Pile Load Test (Unit Price Bid Item)	\$177,000.00
Additional Lateral Pile Load Test (Unit Price Bid Item)	\$23,600.00
Additional Elevated Odor Control Fixed Supports (Unit Price Bid Item)	\$74,000.00
Additional Elevated Odor Control Sliding Supports (Unit Price Bid Item)	\$74,000.00
Additional Odor Control Steel Brackets (Unit Price Bid Item)	\$18,000.00
Additional Auger Cast-in-Place Piles to tip EL -38.5 (Unit Price Bid Item)	\$72,000.00
Additional ¾ inch PVC Coated Steel Conduit (Unit Price Bid Item)	\$14,000.00
Additional 1-inch PVC Coated Steel Conduit (Unit Price Bid Item)	\$16,500.00
Additional No.14 600 Volt XHHW-2 Conductor (Unit Price Bid Item)	\$2,000.00
Remediation Work for Unforeseen Hazardous Material (Allowance)	\$100,000.00
Costs Incurred to maintain the project schedule which would otherwise be Delayed due to conditions out of the control of the Contractor (Allowance)	<u>\$1,000,000.00</u>
 Bid Total:	 \$33,377,700.00

The lowest responsible bid is 20.5% above the Engineer's Estimate. Five bids were received.

Funding for the construction services is available under capital project 35123.



Office of the County Executive  
Att: Brian J. Schneider, Deputy County Executive  
April 15, 2019

Page two

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: S35123-L38GR

Title: Bay Park Sewage Treatment Plant Sidestream  
Centrate Treatment Facility

If you approve or disapprove of the above request, please signify below and return the memo to this office for appropriate action.



Kenneth G. Arnold  
Commissioner

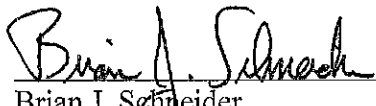
KGA:VF:rp

Attachment

c: Christopher Nolan, Deputy Budget Director  
Vincent Falkowski, Deputy Commissioner  
Roseann D'Alleva, Deputy Commissioner  
Loretta Dionisio, Assistant to Deputy Commissioner  
Eric Mills, Hazen & Sawyer  
Maryanne Dioquino, Arcadis  
John Domenica, Jacobs

APPROVED:

DISAPPROVED:

 4/16/19  
\_\_\_\_\_  
Brian J. Schneider Date  
Deputy County Executive

\_\_\_\_\_  
Brian J. Schneider Date  
Deputy County Executive

**REQUEST TO INITIATE** RTI Number 17-0111A  
**REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT**

**PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC**  
☐ RFQ    ☐ RFP    ☒ RFBC    ☐ In-House or Requirements Work Order

Project Title: Bay Park STP Sidestream Treatment Facility S35123-L38G

Department: Public Works Project Manager: Damon Urso Date: March 27, 2017

Service Requested: Construction Management Services

Justification The Department of Public Works is preparing bid specifications for the construction of wastewater treatment process to reduce the concentration of nitrogen containing compounds in the Bay Park Sewage Treatment Plant effluent. This Nitrogen Reduction Facility is part of the County's plan for facility upgrades for nitrogen removal in anticipation of future State nitrogen limits. Included in the construction of the new facilities is specific deammonification equipment for the removal of ammonia from the sludge dewatering process centrate.

Requested by: Public Works - Water/Wastewater Engineering Unit

Project Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment): \$21,000,000.00  
Circle appropriate phase

Total Project Cost: \$24,500,000.00  
Includes, design, construction and CM

Date Start Work: November 2017  
Phase being requested

Duration: Twenty-five (25) months  
Phase being requested

Capital Funding Approval: YES ☒ NO ☐

Roseann Della  
SIGNATURE

5/10/17  
DATE

Funding Allocation (Capital Project):  
See Attached Sheet if multiyear ☐

35123

NIFS Entered: DP

SIGNATURE

DATE

AIM Entered: Deanna Funk

SIGNATURE

4/12/17  
DATE

Funding Code: 35123-L38

use this on all encumbrances

Timesheet Code: 17-0111

use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐

Supplemental Environmental Documentation

Department Head Approval:

YES ☒ NO ☐

Roseann Della  
SIGNATURE

DCE/Ops Approval:

YES ☒ NO ☐

Deanna Funk  
SIGNATURE

**PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.**

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval:  
Version January 2014

YES NO

Signature \_\_\_\_\_



**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Kenneth G. Arnold, Commissioner

**FROM:** Water/Wastewater Engineering Unit

**DATE:** April 8, 2019

**SUBJECT:** RECOMMENDATION OF AWARD

Contract No: S35123-L38GR

Title: Bay Park Sewage Treatment Plant Sidestream Centrate Treatment Facility

Engineer's Estimate: \$26,586,313

Bids Received On: September 25, 2018

The bids received for the above-referenced contract have been examined, and the bid submitted by RJ/Scalamandre, JV, in the amount of \$ 33,377,700.00 is acceptable as the lowest responsible bidder.

The apparent low bid of \$ 33,377,700.00 is 20.5% above the engineer's estimate. The results of the bidding indicate a competitive bidding environment, with five experienced contractors submitting bids.

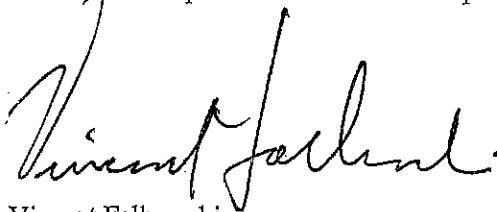
Attached herewith, is the Design Engineer's analysis of RJ/Scalamandre, JV.

Adequate funds are available (Capital Project No. CSW 35123) and it is requested that the Recommendation of Award be prepared for the Commissioner's signature and forwarded the County Executive for her action.

Attached, herewith are the following documents for your information and use:

- Request to Initiate
- CSEA memo and CSEA response
- Engineer's Bid Analysis
- M/WBE utilization Plan

Firm and Principal Disclosures will be uploaded to the Solicitation Tracking & Contract Routing Systems.



Vincent Falkowski  
Deputy Commissioner

VF:rp

Attachments

- c: Loretta Dionisio, Assistant to Deputy Commissioner  
Edward Visone, Assistant Superintendent of Sanitary Construction  
Eric Mills, Hazen & Sawyer  
Maryanne Dioquino, Arcadis  
John Domenica, Jacobs



Nassau DPW B.I.D.S - Summary of Bid Opening

S35123-L38GR - General Contracting

9/25/2018

Bay Park Sewage Treatment Plant Sidestream Centrate Treatment - Capital Project 35123

Bid Opening: 9/25/2018

Engineer: Damon Urso Phone: (516) 571-7534

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
RJ/Scalamandre, JV	75 East Bethpage Road P.O. Box 349 Plainview, NY 11803	Liberty Mutual Insurance Comp	10% Amt Bid	\$33,377,700.00	\$0.00
John P. Picone Inc.	31 Garden Lane, PO Box 913 Lawrence, NY 11559	Liberty Mutual Insurance Comp	10% Amt Bid	\$34,625,000.00	\$0.00
W.H.M. Plumbing	6H Enterprise Drive East Setauket, NY 11733	Everest Reinsurance Company	10% Amt Bid	\$36,894,350.00	\$0.00
Posillico Civil, Inc.	1750 New Highway Farmingdale, NY 11735	Liberty Mutual Insurance Comp	10% Amt Bid	\$36,917,550.00	\$0.00
Silverite Construction Co., Inc	520 Old Country Road West Hicksville, NY 11801	Zurich American Insurance Com	10% Amt Bid	\$41,450,000.00	\$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Damon W. Urso, Sanitary Engineer III

**FROM:** Office of the Commissioner

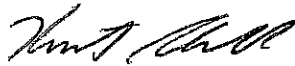
**DATE:** June 30, 2017

**SUBJECT:** CSEA Sub-Contracting Approval  
C17-052  
S35123-L38G - BPSTP Sidestream Treatment Facility - Construction

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract C17-052. Pursuant to Section 32-3 of the CSEA/County C.B.A. the CSEA has withdrawn the alternate.

Please prepare the necessary contract advisement to encumber funding for this work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions, please speak with Jonathan Lesman.



Kenneth G. Arnold  
Assistant to Commissioner

KGA:las

c: Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit ✓  
Loretta Dionisio, Hydrogeologist II  
Jonathan Lesman, Management Analyst II



**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Civil Service Employees Association, Nassau Local 830  
 Att: Ronald Gurrieri, Executive Vice President

**FROM:** Department of Public Works

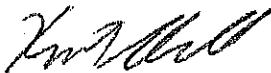
**DATE:** April 26, 2017

**SUBJECT:** CSEA Notification of a Proposed DPW Contract  
 Bay Park STP Sidestream Treatment Facility  
 Proposed Contract No: S35123-L38G

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a contract/agreement for the following services:  
 Construction contract for the installation of a Nitrogen Reduction Process (Sidestream) Facility at the Bay Park Sewage Treatment Plant.
2. The work involves the following:  
Scope of Work: This contract is to provide the necessary labor and materials associated with the construction of a Nitrogen Reduction Facility to remove nitrogen from the centrate produced from the sludge dewatering process.
3. An estimate of the cost is: \$21,000,000
4. An estimate of the duration is: Twenty-four (24) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within 10 days to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.

  
 Kenneth G. Arnold  
 Assistant to Commissioner

KGA:WSN:JLD:rp

- c: Christopher Fusco, Director, Office of Labor Relations  
 Brian Libert, Deputy Director, Office of Labor Relations  
 William S. Nimmo, Deputy Commissioner  
 Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit  
 Diane Pyne, Unit Head, Human Resources Unit  
 Loretta V. Dionisio, Hydrogeologist II  
 Jonathan Lesman, Management Analyst II  
 Damon W. Urso, Sanitary Engineer III



BID BOND

FORM OF BID BOND

**IMPORTANT** The bidder shall instruct the Surety Company to USE THIS FORM PROVIDED as the use of ANY OTHER FORM may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned RJ/Scalamandre, JV, 75 East Bethpage Road, P.O. Box 349, Plainview, NY 11803  
as Principal; and Liberty Mutual Insurance Company, 175 Berkeley Street, Boston, MA 02116 as surety, who  
is Licensed to do Business in the State of New York, are hereby firmly  
bound unto the County of Nassau in the penal sum of

Ten Percent of Proposal Price dollars (\$ 10% of P.P. ) for the payment  
of which, well and truly to be made, we hereby jointly and severally  
bind ourselves, our heirs, executors, administrators, successors and  
assigns.

Signed, this 12th day of September, 2018

The conditions of the above obligation is such that whereas the  
Principal has submitted to the County of Nassau a certain Bid attached  
hereto and hereby made a part hereof, to enter into a contract in  
writing for the work under Contract No. S35123-L38GR for the  
Bay Park Sewage Treatment Plant Sldestream Centrate Treatment. Capital Project 35123  
Nassau County, New York Contract No.: S35123-L38GR - General Construction.

NOW, THEREFORE, the conditions of this obligation are such that if the  
Principal shall not withdraw said proposal except by mutual consent of  
the County of Nassau within a period of forty-five (45) days after the  
opening of bids and in the event of acceptance of the Principal's  
proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of  
the contract as set forth in the contract documents in accordance  
with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract  
documents for the faithful performance and proper fulfillment of such  
contract, which bonds or other security shall be satisfactory in all  
respects to the County; and
- c. in all respects, comply with the provisions set forth in the  
invitation to bid; or if the County of Nassau shall reject the  
aforesaid proposal for a reason other than the Principal's failure  
to satisfy the County that he has the necessary skill, experience  
and liquid assets required for the contract as stated in the  
documents aforesaid, then this obligation shall be null and void;  
otherwise to remain in full force and effect.

Provided, however, that this bond is subject to the following additional conditions and limitations.

- a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.
- b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

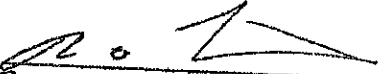
The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

RJ/Scalamandre, JV

Contractor

by

  
Richard O. Felicetta,  
Member

(L.S.)

(Corporate seal of  
Contractor  
Title if a corporation)

by

(L.S.)

Title

by


(L.S.)

Title

Liberty Mutual Insurance Company

Surety

by

  
Title of Officer (L.S.)

Dana Granice, Attorney-In-Fact

Witness:

~~xxxxxx~~

Title of Officer

(L.S.)

(Corporate seal  
of Surety)

Grace Ackerson, Surety Account Representative


Acknowledgment by Principal

State of New York )  
County of Nassau )

On this 25<sup>th</sup> day of September 2018, personally appeared before me Richard O. Felice member of the firm/Joint Venture of RJ/Scalamandre, JV to me known and known to me to be the individual described in and who executed the foregoing instrument and he acknowledged to me that he executed the same for an on behalf of said firm/Joint Venture.

Sworn before me this 25<sup>th</sup> day of Sept. 2018

EVELYN DELLARATTA  
Notary Public, State of New York  
No. 01DE4854133  
Qualified in Nassau County  
My Commission expires on May 27 2022

  
Notary Public



(Acknowledgment by Surety Company)

STATE OF New York )

ss.:  
COUNTY OF Nassau )

On this 12th day of September, 2018, before me personally came Dana Granice Known, who being by me duly sworn, did depose and say that he resides in Suffolk County, New York

that he is the Attorney-in-Fact of the Liberty Mutual Insurance Company, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said Desiree Cardlin further said that he is acquainted with Dana Granice and knows him to be the Attorney-in-Fact of said company; that the signature of the said Dana Granice subscribed to the within instrument is in the genuine handwriting of the said Dana Granice and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Desiree Cardlin

  
Notary Public

DESIREE CARDLIN  
Notary Public, State of New York  
No. 01CA6160043  
Qualified in Suffolk County  
Commission Expires 07/24/2022

(Acknowledgment by Contractor if a corporation)

STATE OF \_\_\_\_\_ )

ss.:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally  
came \_\_\_\_\_, to me known,  
who, being by me duly sworn, did depose and say for himself, that he  
resides in \_\_\_\_\_  
that he is the \_\_\_\_\_ of the  
the corporation described in, and which executed the foregoing  
instrument; that he knows the seal of said corporation; that the seal  
affixed to said instrument is such corporate seal; that it was so  
affixed by order of the Board of Directors of said corporation, and that  
he signed his name thereto by like order.

\_\_\_\_\_  
Notary Public

(Acknowledgment by Contractor if a partnership)

STATE OF \_\_\_\_\_ )

ss.:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally  
came \_\_\_\_\_, to me known and known  
to me to be a member of  
the firm described in and which executed the foregoing bond or  
obligation, and he acknowledged to me that he subscribed the name of  
said firm thereto on behalf of said firm for the purpose therein  
mentioned.

\_\_\_\_\_  
Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF \_\_\_\_\_ )

ss.:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally  
came \_\_\_\_\_, to me  
known and known to me to be the person described in and who executed the  
foregoing instrument, and he duly acknowledged that he executed the  
same.

\_\_\_\_\_  
Notary Public

POWER OF ATTORNEY

ATTENTION: Insert the "Power of Attorney" after this page in with your bid submission.



This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Liberty Mutual Insurance Company  
The Ohio Casualty Insurance Company West American Insurance Company

Certificate No. 8194306 - 889603

## POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Colette R. Chisholm; Dana Granice; Susan Lupski; Robert T. Pearson; Michelle Wannamaker; Katherine Acosta; Thomas Bean; George O. Brewster; Desiree Cardin; Lee Ferrucci; Peter F. Jones; Gerard S. Macholz; Camille Matland; Nelly Rencihwch; Rita Sagistano; Vincent A. Walsh

all of the city of Uniondale, state of NY, each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 4th day of September, 2018



STATE OF PENNSYLVANIA  
COUNTY OF MONTGOMERY

ss

On this 4th day of September, 2018, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Teresa Pastella, Notary Public  
Upper Merion Twp., Montgomery County  
My Commission Expires March 28, 2021  
Member, Pennsylvania Association of Notaries

The Ohio Casualty Insurance Company  
Liberty Mutual Insurance Company  
West American Insurance Company

By: David M. Carey  
David M. Carey, Assistant Secretary

By: Teresa Pastella  
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

**ARTICLE IV - OFFICERS - Section 12. Power of Attorney.** Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

**ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings.** Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

**Certificate of Designation -** The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

**Authorization -** By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this \_\_\_\_\_ day of \_\_\_\_\_, 2018



By: Renee C. Llewellyn  
Renee C. Llewellyn, Assistant Secretary

To confirm the validity of this Power of Attorney call  
1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.

Not valid for mortgage, note, loan, letter of credit,  
currency rate, interest rate or residual value guarantees.

**FINANCIAL STATEMENT**

**ATTENTION:** Insert the "Financial Statement" after this page in with your bid submission.



## LIBERTY MUTUAL INSURANCE COMPANY

## FINANCIAL STATEMENT — DECEMBER 31, 2017

Assets		Liabilities	
Cash and Bank Deposits.....	\$370,003,299	Unearned Premiums.....	\$7,503,154,587
*Bonds — U.S. Government.....	1,331,664,975	Reserve for Claims and Claims Expense.....	19,658,731,454
*Other Bonds.....	11,127,053,004	Funds Held Under Reinsurance Treaties.....	224,693,828
*Stocks.....	16,367,850,688	Reserve for Dividends to Policyholders.....	967,520
Real Estate.....	272,895,626	Additional Statutory Reserve.....	52,491,027
Agents' Balances or Uncollected Premiums.....	5,258,657,823	Reserve for Commissions, Taxes and	
Accrued Interest and Rents.....	100,341,596	Other Liabilities.....	4,049,392,852
Other Admitted Assets.....	11,192,287,530	<b>Total.....</b>	<b>\$31,489,431,268</b>
<b>Total Admitted Assets.....</b>	<b>\$46,020,754,541</b>	Special Surplus Funds.....	\$176,230,822
		Capital Stock.....	10,000,000
		Paid in Surplus.....	9,484,316,385
		Unassigned Surplus.....	4,860,776,066
		<b>Surplus to Policyholders.....</b>	<b>14,531,323,273</b>
		<b>Total Liabilities and Surplus.....</b>	<b>\$46,020,754,541</b>



\* Bonds are stated at amortized or investment value; Stocks at Association Market Values.  
The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2017, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 16<sup>th</sup> day of March, 2018.

*TAMikolajewski*

Assistant Secretary

**CERTIFICATE OF SOLVENCY**

**ATTENTION:** Insert the "Certificate of Solvency" after this page in with your bid submission.

**STATE OF NEW YORK  
DEPARTMENT OF FINANCIAL SERVICES**

**CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK  
INSURANCE LAW**

It is hereby certified that

Liberty Mutual Insurance Company  
of Boston, Massachusetts

a corporation organized under the laws of the State of Massachusetts, and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$14,531,323,273. (Capital \$10,000,000.) as is shown by its sworn financial statement for the year ended December 31, 2017, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have here-  
unto set my hand and affixed the  
official seal of this Department  
at the City of Albany, this 1st  
day of March, 2018.

Maria T. Vullo  
Superintendent

By *Jacqueline Catalfamo*  
Jacqueline Catalfamo  
Special Deputy Superintendent



# PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR



## NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

### WICKS EXEMPT LIST OF SUBCONTRACTORS

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

CONTRACT NO. **S35123-  
L38GR**

Contractor's Name and Address <b>RJ/Scalamandre, JV</b>	Project Description (Project Title, Facility Name and Address): <b>Bay Park S.T.P.</b>	Bid Date: <b>9/25/18</b>	Total Contract Amt: <b>\$3,377,700</b>
Federal ID No.: <b>46-5260846</b>	<b>Side Stream Centrate Treat</b>		

Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):

- ☒ Plumbing and Gas Fitting
- ☒ Steam Heating, Hot Water Heating, Ventilating and AC Apparatus
- ☐ Electric Wiring and Standard Illuminating Fixtures

If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box ( ) skip to Bottom of form, and sign it as required.

Subcontractor's Name, Address and Federal ID No.	Check ( ) only one.			General Description of Work	Subcontractor's Contract Amt.
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures		
<b>LEB Electric</b> <b>COPAQUE, NY</b>			<input checked="" type="checkbox"/>	<b>Electric SUS</b>	<b>\$3,100,000</b>
Federal ID No.					
Federal ID No.					
Federal ID No.					

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use additional page if needed.

Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.

Company Authorized Signature: Title: **Memset**

Date: **9/25/18**

PROPOSAL

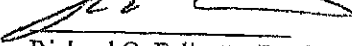
TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

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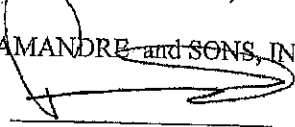
To: County of Nassau, Department of Public Works  
Re: **Bay Park Sewage Treatment Plant  
Effluent Pumping Facility Improvements  
Contract No. S35121-L38GR; General Construction**

This will designate Richard O. Felicetta to act as Attorney-in-Fact and Signatory to the above captioned proposal on behalf of the Joint Venture of R.J. Industries, Inc. and PETER SCALAMANDRE and SONS, INC. —

R.J. INDUSTRIES, INC.


By:   
Richard O. Felicetta, President

PETER SCALAMANDRE and SONS, INC.

By:   
Peter Scalamandre, President

STATE OF NEW YORK)  
COUNTY OF NASSAU ) ss:


On this 12<sup>th</sup> day of December, 2018, before me personally came Richard O. Felicetta, to me known, who, being by me duly sworn, did depose and say for himself, that he is a president of R.J. Industries, Inc. the Corporation described in, and which executed the foregoing instrument; that he knows the seal of said Corporation; that the seal affixed to said instrument is such Corporate seal; that it was so affixed by order of the Board of Directors of said Corporation, and that he signed his name thereto by like order.

  
Notary Public

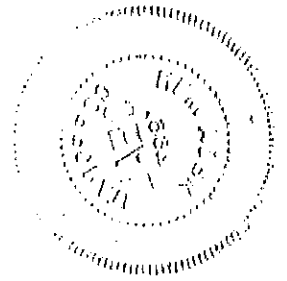
EVELYN DELLARATTA  
Notary Public, State of New York  
No. 01DE4854133  
Qualified in Nassau County  
My Commission expires on May 27, 2022

STATE OF NEW YORK)  
COUNTY OF NASSAU ) ss:

On this 12<sup>th</sup> day of December, 2018, before me personally came Peter Scalamandre, to me known, who, being by me duly sworn, did depose and say for himself, that he is the President of Peter Scalamandre and Sons, Inc., the corporation described in, and which executed the foregoing instrument; that he knows the seal of said Corporation; that the seal affixed to said instrument is such Corporate seal; that it was so affixed by order of the Board of Directors of said Corporation, and that he signed his name thereby by like order.

  
Notary Public

DAMON DISIMONE  
NOTARY PUBLIC, State of New York  
No. 02DI6071059  
Qualified in Rockland County  
Commission Expires July 14, 2022



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Washington, D.C.  
20540

PROPOSAL

GENERAL CONSTRUCTION

COUNTY OF NASSAU  
STATE OF NEW YORK

PROPOSAL

FOR

BAY PARK STP  
SIDESTREAM CENTRATE TREATMENT

Contract No. S35123-L38GR

GENERAL CONSTRUCTION

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: R J / Scalamandre, JV

Bidder: Joint Venture  
(Individual, Firm or Corporation, as case may be)

Bidder's Business Address: 75 East Bethpage Rd., Plainville, NY 1180

Telephone: 516-845-9772 Date of Bid: Sept. 25, 2010

Fax: 516-454-1759 E-Mail: bid@rjll.net

PROPOSAL

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PROPOSAL

(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: \_\_\_\_\_

Residence of Individual: \_\_\_\_\_

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: Richard O. Felice

\_\_\_\_\_

Name and Residence of Partner: John G. D...

\_\_\_\_\_

Name and Residence of Partner: Peter Sc...

\_\_\_\_\_

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: \_\_\_\_\_

Name and Residence of President: \_\_\_\_\_

\_\_\_\_\_

Name and Residence of Vice-President: \_\_\_\_\_

\_\_\_\_\_

Name and Residence of Secretary: \_\_\_\_\_

\_\_\_\_\_

Name and Residence of Treasurer: \_\_\_\_\_

\_\_\_\_\_

PROPOSAL

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PROPOSAL

(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: \_\_\_\_\_

Residence of Individual: \_\_\_\_\_

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: Lisa B Hayes

\_\_\_\_\_  
Name and Residence of Partner: \_\_\_\_\_

\_\_\_\_\_  
Name and Residence of Partner: \_\_\_\_\_

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: \_\_\_\_\_

Name and Residence of President: \_\_\_\_\_

\_\_\_\_\_  
Name and Residence of Vice-President: \_\_\_\_\_

\_\_\_\_\_  
Name and Residence of Secretary: \_\_\_\_\_

\_\_\_\_\_  
Name and Residence of Treasurer: \_\_\_\_\_

## PROPOSAL

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### GENERAL CONSTRUCTION

#### THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of labor, equipment, other facilities needed for the performance of the Work, the general and local conditions, and all other items which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities of Glen Cove or Long Beach, the contractor shall be required to have such a license.

PROPOSAL

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## PROPOSAL

### I. Rejection of Bids.

#### A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.

#### B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend / to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

### II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

### III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

### IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

## PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S3S123-L38GR

ITEM NO.	APPOXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1	Lump Sum	Base Bid for Base Bid for furnishing all Labor, Materials and Equipment required for all Construction work ready for operation. <i>Twenty Six Million, Nine hundred Thousand + no</i> DOLLARS CENTS	N/A	N/A	<i>\$28,000,000</i>	-
2	Lump Sum	Proprietary centrale DEMON® system provided by World Water Works, Inc. (WWW)(Specification Section 11305). Cost for Contractor to install all equipment furnished under Item 2 shall be included in Item 1, Lump Sum.  Three Million Three Hundred Twenty Five Thousand Six Hundred Dollars and No Cents DOLLARS CENTS	3,325,600	.00	3,325,600	.00

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

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PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
3	3,000 LINEAR FEET	Additional depth of Augered Cast-in-Place Piles beyond tip elevation -38.5 shown on the drawings (Section 02363) <i>One hundred and TWENTY NINE + NO</i> DOLLARS CENTS PER LINEAR FOOT	\$129	00	\$387,000	00
4	TWO (2) TEST	For Additional Compressive Pile Load Test (Section 02363) <i>Eighty Eight Thousand five hundred and NO</i> DOLLARS CENTS PER TEST	\$88,500	00	\$177,000	00
5	TWO (2) TESTS	For Additional Lateral Pile Load Test - Equalization Tank (Section 02363) <i>Eleven Thousand Eight hundred + NO</i> DOLLARS CENTS PER TEST	\$11,800	00	\$23,600	00



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A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

ITEM NO.	APPOXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
6	TWO (2) SUPPORTS	For Additional Elevated Odor Control Fixed Supports (Section 15890) <i>Twenty Seven Thousand + no</i> DOLLARS CENTS PER SUPPORT	\$37,000	..	\$74,000	..
7	TWO (2) SUPPORTS	For Additional Elevated Odor Control Sliding Supports (Section 15890) <i>Twenty Seven Thousand + no</i> DOLLARS CENTS PER SUPPORT	\$37,000	..	\$74,000	..
8	Six (6) BRACKETS	For Additional Odor Control Steel Brackets (Section 15890) <i>Three Thousand + no</i> DOLLARS CENTS PER BRACKET	\$3,000	..	\$18,000	..
9	Ten (10) FILES	For Additional Auger Cast-in-Place Piles to tip Elevation -38.5 (Section 02363) <i>Seventy Two Hundred + no</i> DOLLARS CENTS PER PILE	\$7,200	..	\$72,000	..

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CONTRACT NO. S35123-L38GR

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
10	500 Linear Feet	Additional 3/4-inch PVC Coated Steel Conduit (Section 16131).	\$28	00	\$14,000	00
		<i>Twenty Eight + no</i> DOLLARS CENTS PER LINEAR FOOT (Section 16131)				
11	500 Linear Feet	Additional 1-inch PVC Coated Steel Conduit (Section 16131).	\$33	00	\$16,500	00
		<i>Thirty Three + no</i> DOLLARS CENTS PER LINEAR FOOT				
12	1,000 Linear Feet	Additional No. 14 600 Volt XHHW-2 Conductor (Section 16121)	\$2	00	\$2,000	00
		<i>Two + no</i> DOLLARS CENTS PER LINEAR FOOT				
13	Allowance	For Remediation Work for Unforeseen Hazardous Material	N/A	N/A	\$100,000.	00
		<i>One Hundred Thousand Dollars and No Cents</i> DOLLARS CENTS				

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ITEM NO.	APPOXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
14	Allowance	For Costs Incurred to Maintain the project Schedule Which Would Otherwise Be Delayed Due To Conditions Out Of The Control Of The Contractor  <u>One Million Dollars and No Cents</u> DOLLARS CENTS	N/A	N/A	\$1,000,000.	00

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CONTRACT NO. S35123-L38GR

ITEM NO.	APPOXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
		TOTAL BASE BID (Bid Item Nos. 1 thru 14)				
		\$ <u>33,377,700.00</u>				
		TOTAL BASE BID (Bid Item Nos. 1 thru 14): MUST BE WRITTEN IN WORDS:				
		Thirty three Million, Three hundred and + zero				
		DOLLARS Seventy Seven Thousand, Seven hundred CENTS				



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ALLOWANCES: It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. 13 An Allowance of \$100,000 for costs associated with Remediation Work for Unforeseen Hazardous Material.
2. Item No. 14 An Allowance of \$1,000,000 for costs incurred to maintain the Project Schedule which would otherwise be delayed due to conditions out of the control of the Contractor.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work and Section 01020, Allowances.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID: Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances.

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MAJOR EQUIPMENT ITEMS: The Bidder shall fill the name and address of the proposed system suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

<u>Specification Number</u>	<u>Description</u>	<u>Manufacturer and/or Supplier</u>
06521	Fiberglass Cover System	Fiberglass Fabrications/ISODURO/ STRONGWALL OR EQUAL
15890	FRP Ductwork	PERRY/AN-COR/TROY OR EQUAL

PROPOSAL

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PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR  
IRAN DIVESTMENT ACT - CERTIFICATION

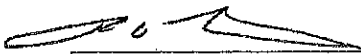
Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,

b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.

  
Signature/Date

9/25/12 Richard O. Felice, Jr.  
Print Name and Position

MemSet

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
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PROPOSAL

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**MacBride Fair Employment Principles**

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:  
MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

(1) have business operations in Northern Ireland,

Yes \_\_\_ No X

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes \_\_\_ No \_\_\_

  
(Contractor's Signature)

Richard O. Felicetta  
Memsco

RJ/Scalco, Inc.  
(Name of Business)



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PROPOSAL  
GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

Bidder: RJ / Scalapandre, JV  
(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: \_\_\_\_\_

Federal ID No: 46-5260846

Municipal License ID Number: \_\_\_\_\_

Municipal Licensing Agency: \_\_\_\_\_

By: [Signature] Date: 9/25/18  
(Signature of Individual, Partner or Corporate Officer)

(Print): Richard O. Felicette Title: Member

WHERE BIDDER IS A CORPORATION, ADD

ATTEST: \_\_\_\_\_  
Secretary

(CORPORATE)  
(SEAL)

PROPOSAL  
GENERAL CONSTRUCTION

++ NO TEXT ON THIS PAGE ++

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

Notary Public

MARYANNE HILTON  
Notary Public, State of New York  
No. 01H16213068  
Qualified in Nassau County  
Commission Expires November 02, 2021

PROPOSAL  
GENERAL CONSTRUCTION

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PROPOSAL  
GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

\_\_\_\_\_ Being duly sworn,  
deposes and says: That he resides at \_\_\_\_\_, that  
he is the \_\_\_\_\_ of the corporation described  
in and which executed the foregoing instrument; that he knows the seal of said corporation;  
that the seal affixed to the said instrument is such corporate seal and was affixed by order of  
the Board of Directors of said corporation; that he affixed his name thereto by like order; and  
that he has knowledge of the several matters therein stated and they are in all respects true.  
Subscribed and sworn to before me  
This \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

PROPOSAL  
GENERAL CONSTRUCTION

++ NO TEXT ON THIS PAGE ++

AGREEMENT

CONTRACTOR CERTIFICATION STATEMENT REGARDING  
STORM WATER POLLUTION AT THE WORK SITE

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.


CONTRACTOR'S NAME: R J / Scalapendre, JV

TELEPHONE NUMBER: 516-845-9772

WORK SITE OR FACILITY NAME: Bay Park S.T.P.

WORK SITE OR FACILITY ADDRESS OR  
OTHER IDENTIFYING DESCRIPTION: \_\_\_\_\_

Masorie Lane, East Rockaway, N.Y.

  
Signature

9/25/10  
Date

Richard O. Felicetta, Member  
Print Name and Title of Signer



AGREEMENT

NO TEXT ON THIS PAGE

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE<sup>1</sup> subcontractors<sup>2</sup> and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name RJ/Scalamandre, JV		Project Name Bay Park STP; Sldestream Centrate Treatment	
Bid/ Proposal No. S35121-L38GR	Assistance Agreement ID No. (if known)	Point of Contact Richard Fellicetta	
Address 75 East Belhpage Road; Plainview, NY 11803			
Telephone No. 516-845-9772		Email Address rfellicetta@rjli.net (Simone Washington - swashington@rjli.net)	
Issuing/Funding Entity: County of Nassau (NY), Department of Public Works			

I have identified potential DBE certified subcontractors		<input checked="" type="radio"/> YES	<input type="radio"/> NO
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
METROFab	15 Fairchild Court, Plainview, NY 11803 (516) 349-7373; joemags@metrofabinc.com	\$170,000	Y
METROFab	15 Fairchild Court, Plainview, NY 11803 (516) 349-7373; joemags@metrofabinc.com	\$40,000	Y
Callahan Industries	441 Flimouth Road, West Babylon , NY 11740	\$800,000	Y

Continue on back if needed

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

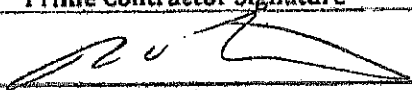
<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



OMB Control No: 2090-0030  
Approved: 8/13/2013  
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

I certify under penalty of perjury that the foregoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

<b>Prime Contractor Signature</b>	<b>Print Name</b>
	Richard O. Felicetta
<b>Title</b>	<b>Date</b>
Member	9/25/2018

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.



OMB Control No: 2090-0030  
Approved: 8/13/2013  
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE<sup>1</sup> subcontractors<sup>2</sup> and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name RJ/Scalamandre, JV		Project Name Bay Park STP; Sidestream Centrate Treatment	
Bid/Proposal No. S35121-L38GR	Assistance Agreement ID No. (if known)	Point of Contact Richard Felicetta	
Address 75 East Bathpage Road; Plainview, NY 11803			
Telephone No. 516-845-9772		Email Address rfelicetta@rjil.net (Simone Washington - swashington@rjil.net)	
Issuing/Funding Entity: County of Nassau (NY), Department of Public Works			

I have identified potential DBE certified subcontractors	<input checked="" type="radio"/> YES	<input type="radio"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
Bernstein	Photographs	\$8500	Y
Turtle/Husky	Electrical Equipment	\$800,000	Y
Heity Insulation		\$50,000	Y

Continue on back if needed

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



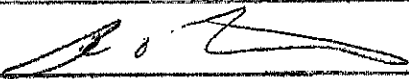
OMB Control No: 2090-0030

Approved: 8/13/2013

Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

<b>Prime Contractor Signature</b>	<b>Print Name</b>
	Richard O. Felicetta
<b>Title</b>	<b>Date</b>
Member	9/25/2018

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

**Attachment 1**  
**New York State Environmental Facilities Corporation**  
**EQUAL EMPLOYMENT OPPORTUNITY POLICY STATEMENT**  
**NEW YORK STATE REVOLVING FUND (SRF)**

I, Richard O Felicetta, am the authorized representative of RJ/Scalamandre, JV.  
Name of Representative Name of Contractor/Service Provider  
I hereby certify that RJ/Scalamandre, JV will abide by the equal employment  
Name of Contractor/Service Provider  
opportunity (EEO) policy statement provisions outlined below.

- (i) The Contractor will not discriminate on the basis of race, creed, color, national origin, sex, age, disability, or marital status against any employee or applicant for employment, will undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination and will make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on Contracts relating to SRF projects.
- (ii) The Contractor shall state in all solicitations or advertisements for employees that, in the performance of the Contract relating to this SRF project, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.
- (iii) The Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status, and that such union or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.
- (iv) The Contractor shall comply with the provisions of the Human Rights Law (Article 15 of the Executive Law), including those relating to non-discrimination on the basis of prior criminal conviction and prior arrest, and with all other State and federal statutory and constitutional non-discrimination provisions, including Titles VI and VII of the Civil Rights Act of 1964, 40 CFR Part 7, 41 CFR Part 60-1 Subpart A, 41 CFR Part 60-4, Section 504 of the Rehabilitation Act of 1973, and the Age Discrimination Act of 1975. The Contractor and Subcontractors shall not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status or domestic violence victim status.
- (v) The Contractor will include the provisions of subdivisions (i) through (iv) in every Subcontract in such a manner that the requirements of these subdivisions will be binding upon each Subcontractor as to work in connection with the Contract.



Contractor/Service Provider Representative

### QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 3
2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 3

b. as a Subcontractor \_\_\_\_\_

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	-----------------------------------------------------

see enclosed

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	-----------------------------------------------------

see enclosed

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? no  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? no  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions.

c. ever been declared a non-responsible bidder by any municipality or public agency? no  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? no  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been, on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? no  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? no  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? no  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.



d. that has ever been barred from bidding municipal or public contracts? no

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? no

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

none

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

none

10. In what other lines of business are you financially interested?

none

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
----------------------	----------------------------------	----------------------------------------	----------------------------------	------------------------

see enclosed

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	-------------------------------------------	---------------------	---------------------

see enclosed

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

not applicable; equipment will be furnished by the members (RJ and Scalamandre)

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?  
Explain in detail.

Review of Contract Documents

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.  
In accordance with the approved CPM schedule.

16. If a contract is awarded or a permit is issued, to your firm, who  
will have the personal supervision of the work? Attach resume.

see enclosed

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
see enclosed			

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

  
Richard O. Felicetta, Member



RJIND-1

QP ID: LC

## CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

07/27/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> A. L. Carr Agency, Inc. 101 Mill Dam Road Canterport, NY 11721-0608		<b>CONTACT</b> PHONE (A/C, No, Ext): 631-271-2727 FAX (A/C, No): 631-271-2747 E-MAIL: ADDRESS:	
<b>INSURED</b> RJ INDUSTRIES, INC 75 EAST BETHPAGE ROAD PLAINVIEW, NY 11803		<b>INSURER(S) AFFORDING COVERAGE</b>	
		INSURER A: ALLIED WORLD ASSURANCE CO	NAIC # 10690
		INSURER B: ALLIED WORLD SPECIALTY	10690
		INSURER C: AMERICAN GUARANTEE INS CO	26247
		INSURER D: THE STATE INSURANCE FUND	52421
		INSURER E: ASPEN AMERICAN INSURANCE CO	
		INSURER F: STANDARD SECURITY LIFE INS.CO	69078

COVERAGES		CERTIFICATE NUMBER:		REVISION NUMBER:	
THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.					
INSR LTR	TYPE OF INSURANCE	ADD'L INSURER (INSR LTR)	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: POLICY <input type="checkbox"/> PROTECT <input type="checkbox"/> LOC OTHER	Y	0309-1226	08/01/2018	08/01/2019
				LIMITS	
				EACH OCCURRENCE \$ 2,000,000	
				DAMAGE TO RENTED PREMISES (Per occurrence) \$ 300,000	
				MED EXP (Any one person) \$ 10,000	
				PERSONAL & ADV INJURY \$ 2,000,000	
				GENERAL AGGREGATE \$ 4,000,000	
				PRODUCTS - COMP/OP AGG \$ 4,000,000	
				OTHER \$	
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY		6000-0266	08/01/2018	08/01/2019
				COMBINED SINGLE LIMIT (Per occurrence) \$ 1,000,000	
				BODILY INJURY (Per person) \$	
				BODILY INJURY (Per accident) \$	
				PROPERTY DAMAGE (Per accident) \$	
				OTHER \$	
C	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> EXCESS LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$		SXS0181443-03	08/01/2018	08/01/2019
				EACH OCCURRENCE \$ 14,000,000	
				AGGREGATE \$ 14,000,000	
				OTHER \$	
D	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/OWNER EXCLUDED? (Mandatory in NY) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/> N/A	2340 643-2	04/01/2018	04/01/2019
				X PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/>	
				E.L. EACH ACCIDENT \$ 1,000,000	
				E.L. DISEASE - EA EMPLOYEE \$ 1,000,000	
				E.L. DISEASE - POLICY LIMIT \$ 1,000,000	
E	BUILDERS RISK/INST		IMA96XV17	05/04/2018	05/04/2019
F	NYS DISABILITY		D92340-009	10/01/2016	10/01/2019
				ALL RISK BLANKET	
				STATUTORY	

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
CONTRACT NO. S35121-18TR BAY PARK STP, ADDITIONAL INSURED: TOWN OF HEMPSTEAD, COUNTY OF NASSAU, STATE OF NEW YORK, BAY PARK RECOVERY PROGRAM MANAGEMENT JOINT VENTURE (PM-JV), HAZEN AND SAWYER, ENVIRONMENTAL ENGINEERS AND SCIENTISTS, ARCADIS (LEGAL ENTITY MALCOLM PIRNIE INC.)

CERTIFICATE HOLDER	CANCELLATION
COUNONA  COUNTY OF NASSAU DEPARTMENT OF PUBLIC WORKS 1194 PROSPECT AVENUE WESTBURY, NY 11592723	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE 

ACORD 26 (2016/03)

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APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL  
**INTERNATIONAL UNION OF OPERATING ENGINEERS**  
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

SCHOOL (631) 288-8677  
FAX (631) 288-8883

247-C

UNION - P.O. BOX 206, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 675 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

September 7, 2018

Nassau County  
Department of Public Works  
1550 Franklin Avenue  
Mineola, NY 11501

Re: Local 138 Apprenticeship School (the "Apprenticeship School")  
NYS Sponsor # 00422  
NYS ATP Code # 18318  
R.J. Industries

**Contract: S35123-L38GR Bay Park Sidestream Centrate Treatment**

To Whom It May Concern,

This letter serves to confirm that the **Apprenticeship School** currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to R. J. Industries, to the Local 138 Agreement Covering Working Conditions, dated June 1, 2015 to May 31, 2019. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY  
BUSINESS MANAGER & TREASURER  
CHAIRMAN BOARD OF TRUSTEES  
LOCAL 138 APPRENTICESHIP TRAINING FUND

Danny Grodotzke  
Business Manager

Arlur Gipson  
Business Agent

Mario Mattora  
Business Agent

Plumbers Local Union No.200  
of the  
United Association of Journeymen & Apprentices of the  
Plumbing & Pipefitting Industry of the United States & Canada

2123 5<sup>th</sup> Avenue Ronkonkoma, NY 11779

(631) 981-2158 FAX (631) 981-1658

Richard P. Brooks  
Fin. Secty/Treasurer

Jay Marelli  
President

Paul Mason  
Vice President

Edward Young  
Rec. Secretary

September 6, 2018

**RE: NCDPW Project: Bay Park Sidestream Centrate Treatment**  
**Contract No. S35123-L38GR**

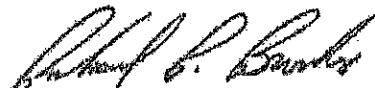
Please be advised that Plumbers Local Union # 200 has Apprenticeship Training Program, (Sponsor Code 00337, ATP Code 13180) that is approved by the New York State Department of Labor and the Federal Department of Labor.

RJ Industries Inc., is signatory to an agreement with Plumbers Local Union # 200 and contributes, subscribes, and actively participates in apprenticeship training program and is a contractor in good standing.

Being signatory to our agreements, RJ Industries Inc., may, at their discretion, employ apprentices that are indentured in our program.

If you have any questions about our program or RJ Industries Inc., please contact me at the Union office.

Sincerely,

  
Richard P. Brooks  
Financial Secty/Treasurer

RPB/ds

### QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 20

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 10

b. as a Subcontractor 20

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	-----------------------------------------------------

See Attached

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	-----------------------------------------------------

See Attached

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? No  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions



- d. that has ever been barred from bidding municipal or public contracts? No

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No  
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

14. In what manner have you inspected this proposed work?  
Explain in detail.

Review of Contract Documents (Drawings and Specifications)

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

We will perform in accordance with the approved Critical Path Method (CPM) Schedule

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

John Doremus / John Koziarz

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

See Attached

c. ever been declared a non-responsible bidder by any municipality or public agency? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Richard O. Felicetta	President	34	General & Mechanical Construction	Executive & Project Management
John C. Doremus	Vice President	30	General & Mechanical Construction	Executive & Project Management
John E. Kozlarz	Vice President	30	General & Mechanical Construction	Project Management & Estimating
William J. Doremus	Secretary-Treasurer	20	General & Mechanical Construction	Finance/Risk Mgmt.

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	----------------------------------------	------------------	------------------

See Attached

(use additional blank sheets if additional space is necessary)

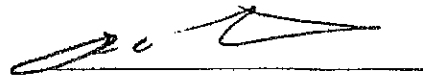
NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

None / Not Applicable

(use additional blank sheets if additional space is necessary)

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



Richard O. Felicetta, President

## RJ Equipment

Year	Item	Model No	Serial No.	Value / Orig Cost
2014	CAT Excavator	349F	BZ200174	400,000
2010	Grove RT 600E (50 Ton Crane)	HO 2160	230901	365,000
2005	Link Belt RTC (50 Ton Crane)	RTC 805011	J6J57796	218,505
	Landis 6" Pipe Threader	(w/ GE Induction Motor)	23783	18,520
2013	CAT Excavator	308E	EJTMX00784	97,500
2012	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283352	22,150
2013	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283493	22,150
2014	Zenith 25 GPS		2860958	13,000
2011	Moretrench (Various Dewatering Equipment)			105,000
2011	Komatsu Hydraulic Excavator	PC200LC-6HD	KMTPC180P54A89729	128,450
1999	CAT Hydraulic Excav	320 BL	7JR02231	42,000
2002	CAT Skid Loader	262	CED01093	20,000
2006	CAT Excavator	308 CCR	CVKCX01388	61,500
2002	CAT Mini Excavator	305	DSA00254	34,925
2001	CAT 725 Articulated Dump Truck	725	AFX00145	86,000
2002	CAT Mini Excavator	304.5	WAK00727	35,000
2001	CAT Backhoe	420 D	BLN00364	33,000
2002	CAT Skid Loader	262B	PDT00286	20,000
1990	John Deere Pay Loader	644E	DW644EB627520	45,000
1999	CAT Excavator	315 C	CCCFT00893	50,000
1997	CAT Excavator	315 BL	3AVW01086	38,000
1997	JCB Telehandler (Forklift)	506B	0579416	23,250
	Gradall Forklift	544D	0455077	
1998	CAT Backhoe	426C	1YR01605	12,500
2002	CAT Bulldozer	D5C	7PS01937	40,000
2006	CAT Excavator	330CL	CYA01151	108,000
2004	GORMAN RUPP - 4" Diesel Pump	PA6C60-4045D-C1	001281115N	8,000
	GORMAN RUPP - 6" Dry Prime Pump			10,000
2001	Ingersoll Rand Vibratory Smooth Drum Roller	SD110D	168435	37,925
1998	CHICAGO PNEUMATIC Compressor	CPS 390	CE16156	5,000
2008	Concrete Saw - Self Propelled	FS6600D	1254115001	16,900
	Concrete Forms	Doka		80,000
	3 - WHIRLYBIRDS	Power Trawl 36"		
	4-5 VIBRATORS	OZ Tech		
	Light Towers			8,250
	Light Towers			8,250
	Light Towers			8,250
2003	JLG 400S - Self Propelled Deutz	400S	300072553	19,500
2008	Skyjack	SJ3219	262824	5,500
2005	Skyjack	SJ3219	250891	5,500
2006	Skyjack	SJ3219	262669	5,500
2001	JLG Telescopic Boom Lift	400S	300060660	13,750
2001	Lull - Telescopic Fork Lift	844C-42	01W21P22-3583	20,000
2002	JLG Telescopic Boom Lift	400S	300061232	13,750
	Yale Forklift GP 070	GP 070	B813D03952V	7,060
2009	CAT Payloader	966H	HCA6D02326	75,000
2005	John Deere (off road Dump)	300D	DW300DT599355	120,000

***R.J. Industries, Inc.***

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Dannon Urso, PE 516-571-7508	\$1,247,200	2017
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visono 516-571-7359	\$7,647,700	2017
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Joe Davenport jdavenport@nassaucountyny.gov 516-571-7515	\$16,187,700	2017
Bay Park Sewage Treatment Plant Electrical Distribution System Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a TV)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$28,956,674	2016
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improvements to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$24,767,700	2016
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$3,937,700	2016

As of June 30, 2018

**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3) Screening Room Odor Control General Construction & HVAC Contracts	County of Suffolk	John Berchtold 631-852-4189	\$2,347,860	2013
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$2,701,537	2013

As of June 30, 2018



**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u> System & Related Infrastructure Repairs	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartilucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading River CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW Ken Arnold 516-571-9604	\$3,647,700	2011

As of June 30, 2018

**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Manhasset Lakeville Water District IU Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011
Cedar Creek WPCP Install New Gravity Belt Thickner	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bletsch H2M Group 631-756-8000	\$929,800	2010

As of June 30, 2018

CONTRACTOR CERTIFICATION STATEMENT REGARDING  
STORM WATER POLLUTION AT THE WORK SITE

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

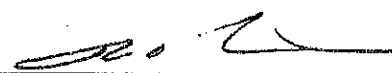
CONTRACTOR'S NAME: R.J. Industries, Inc.

TELEPHONE NUMBER: 516-845-9772

WORK SITE OR FACILITY NAME: Bq Park STP

WORK SITE OR FACILITY ADDRESS OR  
OTHER IDENTIFYING DESCRIPTION: Sidestream

Centrate Treatment

  
Signature

9/25/18  
Date

Richard O. Felicetta, President  
Print Name and Title of Signer

NO TEXT ON THIS PAGE

# QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 62
2. How many years experience in the construction work of a similar type as this contract has your firm had;
  - a. as a Prime Contractor 62
  - b. as a Subcontractor 62
3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--------------------------------------------------

SEE ATTACHMENT

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--------------------------------------------------

SEE ATTACHMENT

(use additional blank sheets if additional space is necessary)

5. Have you:
  - a. ever failed to complete any work awarded to you? NO  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.
  - b. ever been defaulted on a contract? NO  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

- c. ever been declared a non-responsible bidder by any municipality or public agency? YES  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

SEE ATTACHMENT

- d. ever been barred from bidding municipal or public contracts? YES  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

SEE ATTACHMENT

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

- a. that failed to complete a construction contract? NO  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

- b. that has ever been defaulted on a contract? NO  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

- c. that has ever been declared a non-responsible bidder by any municipality or public agency? NO  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

- d. that has ever been barred from bidding municipal or public contracts? No  
If so, state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No  
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

NONE

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

NONE

10. In what other lines of business are you financially interested?

NONE

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Peter SEALAMANDAP		40	GEN CONST	MGT

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	----------------------------------------	------------------	------------------

SEE ATTACHMENT

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

NONE

(use additional blank sheets if additional space is necessary)



14. In what manner have you inspected this proposed work?  
Explain in detail.

REVIEW PLANS & SPECS

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

TO BE DETERMINED UPON AWARD AND  
OWNERS APPROVED SCHEDULE

16. If a contract is awarded or a permit is issued, to your firm, who  
will have the personal supervision of the work? Attach resume.

TO BE DETERMINED

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

SEE ATTACHED SAMPLE CERTIFICATE

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "Qualifications and Responsibility of Bidders" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

Peter S. KAMANDAKIS & SONS INC  
[Signature] VPCFO  
09/19/18

NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK )

)ss.:

COUNTY OF NASSAU )

M. J. McCarthy Being duly sworn, deposes and says:  
That he resides at Suffolk NY Street,  
in the City of P. SCALAMANDRE & SONS INC that he is the VP CFO of

the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he signed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me

this 19 day of Sept, 2018.

GINA S. GREENHILL  
Notary Public, State of New York  
No. 01GR4867265  
Qualified in Suffolk County  
Commission Expires October 8, 2018

Gina S. Greenhill  
Notary

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK )

)ss.:

COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says:  
That he is a member of  
the firm described in and which executed the foregoing bid; that he  
duly subscribed the name of the firm hereunto on behalf of the firm;  
and that the several matters therein stated are in all respects true.  
Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

\_\_\_\_\_  
Notary

(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK )

)ss.:

COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says:  
That he is the person described in and who executed the foregoing bid  
and that the several matters therein stated are in all respects true.  
Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

\_\_\_\_\_  
Notary



March 24, 2015

Nassau County Department of Public Works

The following is provided in answers to Questions 5C & 5D.

5C: On December 28, 2001 The Contract Review Unit of the NY State Department of Transportation found that a Joint Venture of Peter Scalamandre & Sons, Inc. and J.D. Posillico, Inc. was not the lowest Responsible bidder on Contract No. D258873. Since then the company has bid on and received NYSDOT Projects.

5D: On April 12, 2002 The US Department of Transportation, Federal Highway Administration debarred the company from bidding on or receiving Federally Funded Projects for a period of three years. The debarment expired on April 12, 2005. The company is eligible to bid on and receive Federally Funded Projects.

**PETER SCALAMANDRE & SONS, INC.****SCHEDULE OF WORK EXPERIENCE ( COMPLETED PROJECTS)**

NAME & ADDRESS OF OWNER	PROJECT TITLE & LOCATION	CONTRACT AMOUNT	DATE COMPLETED
NYSDOT	WELCOME CENTER	\$28,000,000.00	12/1/2016
TISHMAN CONST	RIVERSIDE I	\$60,286,000.00	12/1/2016
HOLT CONST	ARK BRIDGE	\$1,200,000.00	3/1/2017
TURNER CONST	TWA FLIGHT CTR	\$3,611,000.00	4/1/2017
NYSDOT	ROUTE 347-112	\$22,514,858.89	11/30/2015
JET BLUE AIRWAYS	JFK AIRPORT	\$13,294,423.53	7/31/2015
DELTA AIRLINES	PHASE II EXT	\$19,223,033.43	12/31/2015
DELTA AIRLINES	TERMINAL 3	\$84,347,129.00	12/31/2015
TISHMAN CONSTRUCTION	RIVERSIDE	\$25,660,802.00	12/31/2015
TURNER CONSTRUCTION	WEST VILLAGE	\$8,609,677.00	2/29/2016
TOB	WYANDANCH	\$8,040,188.00	3/31/2016
TISHMAN CONSTRUCTION	RIVERSIDE	\$29,152,317.00	9/30/2015
DELTA AIRLINES	PHASE II FOUNDATIONS	\$4,215,091.48	5/31/2015
DELTA AIRLINES	JFK IAT	\$73,384,000.00	2/1/2013
DELTA AIRLINES	T4 CONCOURSE B	\$27,078,000.00	10/1/2012
MTA NYC TRANSIT	SITE K	\$57,871,025.00	11/1/2012
DELTA AIRLINES	TERMINAL 4	\$12,000,000.00	4/1/2012
NSUMC	MEDICAL CENTER	\$8,000,000.00	2/1/2012
SNC LAVALIN	ASTORIA POWER PLANT	\$5,500,000.00	4/1/2011
TOOB	HICKSVILLE PARKING GARAGE	\$33,083,000.00	6/1/2011
TOWN OF BROOKHAVEN	SEWER MAIN	\$1,600,000.00	6/1/2011
NYSDOT	SOUND BARRIER L.I.E	\$3,435,732.00	4/1/2010
SKANSKA USA	NYU	\$20,154,821.00	4/1/2010
DEKALB ASS LLC	BROOKLYN HIGH RISE	\$27,440,948.00	11/1/2009
TOWN OF HEMPSTEAD	MILL POND	\$6,100,000.00	12/1/2009
SOUTHWEST AIRLINES	MCARTHUR AIRPORT	\$8,700,059.00	5/1/2009
TURNER CONSTRUCTION	JET BLUE JFK	\$23,425,334.00	4/1/2009
SEIMAN POWER	F&S POWER BELLPOT	\$167,855,428.00	4/1/2009
TURNER CONSTRUCTION	JET BLUE JFK	\$24,533,307.00	2/1/2009
JET BLUE AIRWAYS	NEW GARAGE	\$64,370,488.00	9/1/2008
TOOB	BETHPAGE ICE RINK	\$17,194,537.00	12/1/2008
VRH TORCON	AMERICAN AIRLINES JFK AIRPORT	\$4,575,000.00	6/1/2009

SCHEDULE OF WORK EXPERIENCE (WORK IN PROGRESS AS OF 06/30/18)

[illegible]

**PETER SCALAMANDRE & SONS, INC.****SCHEDULE OF WORK EXPERIENCE ( COMPLETED PROJECTS)**

NAME & ADDRESS OF OWNER	PROJECT TITLE & LOCATION	CONTRACT AMOUNT	DATE COMPLETED
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TOOB	BETHPAGE ICE RINK	\$17,194,537.00	12/1/2008
VRH TORCON	AMERICAN AIRLINES JFK AIRPORT	\$4,575,000.00	6/1/2009

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1	Lump Sum	Base Bid for Base Bid for furnishing all Labor, Materials and Equipment required for all Construction work ready for operation. <i>Turn 5121 million.</i> <i>Ninety four Thousand + 00</i> DOLLARS CENTS	N/A	N/A	\$ 28,094,000	-
2	Lump Sum	Proprietary centrate DEMON® system provided by World Water Works, Inc. (WWW)(Specification Section 11305). Cost for Contractor to install all equipment furnished under Item 2 shall be included in Item 1, Lump Sum.  Three Million Three Hundred Twenty Five Thousand Six Hundred Dollars and No Cents DOLLARS CENTS	3,325,600	.00	3,325,600	.00



PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

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# PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

ITEM NO.	APPOXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
3	3,000 LINEAR FEET	Additional depth of Augered Cast-in-Place Piles beyond tip elevation -38.5 shown on the drawings (Section 02363) <i>One hundred and Twenty Nine + NO</i> DOLLARS CENTS PER LINEAR FOOT	\$129	00	\$387,000	00
4	TWO (2) TEST	For Additional Compressive File Load Test (Section 02363) <i>Eighty Eight Thousand Five hundred and NO</i> DOLLARS CENTS PER TEST	\$88,500	00	\$177,000	00
5	TWO (2) TESTS	For Additional Lateral File Load Test - Equalization Tank (Section 02363) <i>Twenty Three Thousand Six hundred and NO</i> DOLLARS CENTS PER TEST	\$11,800	00	\$23,600	00

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

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PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
6	TWO (2) SUPPORTS	For Additional Elevated Odor Control Fixed Supports (Section 15890) <i>Three Seven Thousand + NO</i>	\$37,000	..	\$74,000	..
		DOLLARS CENTS PER SUPPORT				
7	TWO (2) SUPPORTS	For Additional Elevated Odor Control Sliding Supports (Section 15890) <i>Three Seven Thousand + NO</i>	\$37,000	..	\$74,000	..
		DOLLARS CENTS PER SUPPORT				
8	Six (6) BRACKETS	For Additional Odor Control Steel Brackets (Section 15890) <i>Three Thousand + NO</i>	\$3,000	..	\$18,000	..
		DOLLARS CENTS PER BRACKET				
9	Ten (10) PILES	For Additional Auger Cast-in-Place Piles to tip Elevation -38.5 (Section 02363) <i>Seven Thousand + NO</i>	\$7,200	..	\$72,000	..
		DOLLARS CENTS PER PILE				

PROPOSAL

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A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

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PROPOSAL

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A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

ITEM NO.	APPOXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
10	500 Linear Feet	Additional 3/4-inch PVC Coated Steel Conduit (Section 16131). <i>Twelve Eight + NO</i> DOLLARS CENTS PER LINEAR FOOT (Section 16131)	\$28	00	\$14,000	00
11	500 Linear Feet	Additional 1-inch PVC Coated Steel Conduit (Section 16131). <i>Thirteen + NO</i> DOLLARS CENTS PER LINEAR FOOT	\$33	00	\$16,500	00
12	1,000 Linear Feet	Additional No. 14 600 Volt XHHW-2 Conductor (Section 16121). <i>Two + NO</i> DOLLARS CENTS PER LINEAR FOOT	\$2	00	\$2,000	00
13	Allowance	For Remediation Work for Unforeseen Hazardous Material <u>One Hundred Thousand Dollars and No Cents</u> DOLLARS CENTS	N/A	N/A	\$100,000.	00

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

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PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
14	Allowance	For Costs Incurred to Maintain the project Schedule Which Would Otherwise Be Delayed Due To Conditions Out Of The Control Of The Contractor  <u>One Million Dollars and No Cents</u> DOLLARS CENTS	N/A	N/A	\$1,000,000.	00



PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

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PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

ITEM NO.	APPOXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
		TOTAL BASE BID (Bid Item Nos. 1 thru 14) <u>\$ 33,377,700.00</u>				
		TOTAL BASE BID (Bid Item Nos. 1 thru 14): MUST BE WRITTEN IN WORDS: <u>Thirty three Million, Three hundred and + 200</u> DOLLARS <u>Seventy Seven thousand, Seven hundred</u> CENTS				

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

++ NO TEXT ON THIS PAGE ++

## PROPOSAL

### TO BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35123-L38GR

ALLOWANCES: It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. 13 An Allowance of \$100,000 for costs associated with Remediation Work for Unforeseen Hazardous Material.
2. Item No. 14 An Allowance of \$1,000,000 for costs incurred to maintain the Project Schedule which would otherwise be delayed due to conditions out of the control of the Contractor.

All in accordance with the requirements of Division I, Special Conditions; Section 01010, Summary of Work and Section 01020, Allowances.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID: Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances.

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

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PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35123-L38GR

MAJOR EQUIPMENT ITEMS: The Bidder shall fill the name and address of the proposed system suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

<u>Specification Number</u>	<u>Description</u>	<u>Manufacturer and/or Supplier</u>
06521	Fiberglass Cover System	Fiberglass Fabricators / ENDURO / STRONGWALL or EQUAL
15890	FRP Ductwork	PERRY / AN-COR / TROY or EQUAL

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
Lower Tier Covered Transactions  
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 28, 1988 *Federal Register* (pages 19180-19211).

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Peter SCALAMANDAR PRESIDENT  
Name and Title of Authorized Representative

m/d/yy

Signature

Date

Peter SCALAMANDAR & SONS Inc  
Name of Organization

151 ALBANY AVE  
Address of Organization

FREEPORT NY 11520

U.S. GPO FORM 4081/1 (REV. 2/88) Previous editions are obsolete

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
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**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

John C. Doremus, Vice President 5/9/19  
Name and Title of Authorized Representative m/d/yy

Signature

Date

R.J. Industries, Inc.  
Name of Organization

75 East Bethpage Road; Plainville NY 11803  
Address of Organization



### Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
Lower Tier Covered Transactions  
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

John C. Joremyus, Memsr  
Name and Title of Authorized Representative

5/9/19  
m/d/yy

Signature

Date

RJ/Scalamandre, JV  
Name of Organization

11803

75 East 3rd Avenue Road, Plainville, NY  
Address of Organization

### Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

### QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 3
2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 3

b. as a Subcontractor \_\_\_\_\_

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	-----------------------------------------------------

see enclosed

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	-----------------------------------------------------

see enclosed

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? no  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? no  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions.

c. ever been declared a non-responsible bidder by any municipality or public agency? no  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? no  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(Use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? no  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? no  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? no  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

d. that has ever been barred from bidding municipal or public contracts? no

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? no  
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

none

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

none

10. In what other lines of business are you financially interested?

none

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
----------------------	----------------------------------	----------------------------------------	----------------------------------	------------------------

see enclosed

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	-------------------------------------------	---------------------	---------------------

see enclosed

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

not applicable; equipment will be furnished by the members (RJ and Scalamandre)

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?  
Explain in detail.

Review of Contract Documents

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.  
In accordance with the approved CPM schedule.

16. If a contract is awarded or a permit is issued, to your firm, who  
will have the personal supervision of the work? Attach resume.  
see enclosed

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
see enclosed			



18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

  
Richard O. Felicetta, Member

### QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 20

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 10

b. as a Subcontractor 20

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	-----------------------------------------------------

See Attached

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	-----------------------------------------------------

See Attached

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? No  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

d. that has ever been barred from bidding municipal or public contracts? No

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No  
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

14. In what manner have you inspected this proposed work?  
Explain in detail.

Review of Contract Documents (Drawings and Specifications)

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

We will perform in accordance with the approved Critical Path Method (CPM) Schedule

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

John Doremus / John Kozlars

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

See Attached

c. ever been declared a non-responsible bidder by any municipality or public agency? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Richard O. Felicetta	President	34	General & Mechanical Construction	Executive & Project Management
John C. Doremus	Vice President	30	General & Mechanical Construction	Executive & Project Management
John E. Koziarz	Vice President	30	General & Mechanical Construction	Project Management & Estimating
William J. Doremus	Secretary-Treasurer	20	General & Mechanical Construction	Finance/Risk Mgmt.

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	----------------------------------------	------------------	------------------

See Attached

(use additional blank sheets if additional space is necessary)

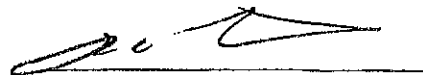
NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

None / Not Applicable

(use additional blank sheets if additional space is necessary)

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



Richard O. Felicetta, President

## RJ Equipment

<u>Year</u>	<u>Item</u>	<u>Model No</u>	<u>Serial No.</u>	<u>Value / Orig Cost</u>
2014	CAT Excavator	349F	BZ200174	400,000
2010	Grove RT 600E (50 Ton Crane)	HO 2160	230901	365,000
2005	Link Belt RTC (50 Ton Crane)	RTC 805011	J6J57796	218,505
	Landis 6" Pipe Threader	(w/ GE Induction Motor)	23783	16,520
2013	CAT Excavator	308E	EJTMX00784	97,500
2012	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283352	22,150
2013	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283493	22,150
2014	Zenith 25 GPS		2860958	13,000
2011	Moretrench (Various Dewatering Equipment)			105,000
2011	Komatsu Hydraulic Excavator	PC200LC-6HD	KMTPC180P54A89729	128,450
1999	CAT Hydraulic Excav	320 BL	7JR02231	42,000
2002	CAT Skid Loader	262	CED01083	20,000
2006	CAT Excavator	308 CCR	CVKCX01388	61,500
2002	CAT Mini Excavator	306	DSA00254	34,925
2001	CAT 725 Articulated Dump Truck	725	AFX00145	86,000
2002	CAT Mini Excavator	304.5	WAK00727	35,000
2001	CAT Backhoe	420 D	BLN00364	33,000
2002	CAT Skid Loader	262B	PDT00286	20,000
1990	John Deere Pay Loader	644E	DW644EB527520	45,000
1999	CAT Excavator	315 C	CCCFT00893	50,000
1997	CAT Excavator	315 BL	3AW01068	38,000
1997	JCB Telehandler (Forklift)	506B	0579416	23,250
	Gradall Forklift	544D	0455077	
1998	CAT Backhoe	426C	1YR01805	12,500
2002	CAT Bulldozer	D5C	7PS01937	40,000
2008	CAT Excavator	330CL	CYA01151	108,000
2004	GORMAN RUPP - 4" Diesel Pump	PA6C60-4045D-C1	001281115N	8,000
	GORMAN RUPP - 6" Dry Prime Pump			10,000
2001	Ingersoll Rand Vibratory Smooth Drum Roller	SD110D	168435	37,925
1998	CHICAGO PNEUMATIC Compressor	CPS 390	CE16158	5,000
2008	Concrete Saw - Self Propelled	FS6900D	1254115001	16,900
	Concrete Forms	Doka		80,000
	3 - WHIRLYBIRDS	Power Trawl 35"		
	4-5 VIBRATORS	OZ Tech		
	Light Towers			8,250
	Light Towers			8,250
	Light Towers			8,250
2003	JLG 400S - Self Propelled Deutz	400S	300072553	19,500
2006	Skyjack	SJ3219	262624	5,500
2006	Skyjack	SJ3219	250891	5,500
2006	Skyjack	SJ3219	262669	5,500
2001	JLG Telescopic Boom Lift	400S	300060660	13,750
2001	Lull - Telescopic Fork Lift	844C-42	01W21P22-3563	20,000
2002	JLG Telescopic Boom Lift	400S	300061232	13,750
	Yale Forklift GP 070	GP 070	B813D03952V	7,060
2009	CAT Payloader	966H	HCA6D02326	75,000
2005	John Deere (off road Dump)	300D	DW300DT599355	120,000



**R.J. Industries, Inc.**

Prime Contracts In Progress

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Cedar Creek WPCP Effluent Screening & Disinfection Facility Improv. (Contract S3C067-03G)	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$11,197,700	March-19	55%
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	December-18	45%
Bay Park Sewage Treatment Plant Level 1 - Biological Nutrient Removal (BNR) Improvements (Contract S35123-L39G)	Nassau County DPW	Robert Pederzin (Hazen & Sawyer) rpederzin@hazenandsawyer.com 516-881-7835	\$18,947,700	March-19	15%
Bay Park Sewage Treatment Plant Engine Generator Facility (Contract S35121-16A)	Nassau County DPW	Robert Pederzin (Hazen & Sawyer) rpederzin@hazenandsawyer.com 516-881-7835	\$2,977,700	December-18	40%
<u>BSRJA TV</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$97,447,200	December-18	91%
<u>RJ / Scalapandre, JV</u>					
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$15,677,700	March-19	12%
<u>RJ / Martin, JV</u>					
Coney Island WWTP Level 1 Step Feed BNR Upgrade (Contract SF-BNR-CI)	NYC DEP	Stanley Joseph 718-595-7800	\$46,874,100	March-22	0%

as of June 30, 2018

***R.J. Industries, Inc.***

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	2017
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visone 516-571-7359	\$7,647,700	2017
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Joe Davenport jdavenport@nassaucountyny.gov 516-571-7515	\$16,187,700	2017
Bay Park Sewage Treatment Plant Electrical Distrib System Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a TV)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$28,956,874	2016
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improvements to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$24,767,700	2016
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$3,937,700	2016

As of June 30, 2018

***R.J. Industries, Inc.***

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-381-7835	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3) Screening Room Odor Control General Construction & HVAC Contracts	County of Suffolk	John Berchtold 631-852-4189	\$2,347,860	2013
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$2,701,537	2013

As of June 30, 2018

***R.J. Industries, Inc.***

<u>PROJECT &amp; LOCATION</u> System & Related Infrastructure Repairs	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-384-9890	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartiluoci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-384-9890	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading River CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011
Bay Park STP Dechlorination Facility - General Const	Nassau County DPW	Nassau County - DPW Ken Arnold 516-571-9604	\$3,647,700	2011

As of June 30, 2018

**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Manhasset Lakeville Water District IU Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011
Cedar Creek WPCP Install New Gravity Belt Thickner	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bletsch H2M Group 631-756-8000	\$929,800	2010

As of June 30, 2018

# QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 62
2. How many years experience in the construction work of a similar type as this contract has your firm had;
  - a. as a Prime Contractor 62
  - b. as a Subcontractor 62
3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--------------------------------------------------

SEE ATTACHMENT

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--------------------------------------------------

SEE ATTACHMENT

(use additional blank sheets if additional space is necessary)

5. Have you:
  - a. ever failed to complete any work awarded to You? NO  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

- b. ever been defaulted on a contract? NO  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

- c. ever been declared a non-responsible bidder by any municipality or public agency? YES  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

SEE ATTACHMENT

- d. ever been barred from bidding municipal or public contracts? YES  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

SEE ATTACHMENT

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

- a. that failed to complete a construction contract? NO  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

- b. that has ever been defaulted on a contract? NO  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

- c. that has ever been declared a non-responsible bidder by any municipality or public agency? NO  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

- d. that has ever been barred from bidding municipal or public contracts? NO  
If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? NO  
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

NONE

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

NONE

10. In what other lines of business are you financially interested?

NONE



11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Peter Sealamandap		40	GEN CONST	MGT

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	----------------------------------------	------------------	------------------

SEE ATTACHMENT

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

NONE

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?  
Explain in detail.

REVIEW PLANS & SPECS

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

TO BE DETERMINED UPON AWARD AND  
OWNERS APPROVED SCHEDULE

16. If a contract is awarded or a permit is issued, to your firm, who  
will have the personal supervision of the work? Attach resume.

TO BE DETERMINED

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

SEE ATTACHED SAMPLE CERTIFICATE

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "Qualifications and Responsibility of Bidders" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

Attn: SAKAMANDI & SONS INC  
[Signature] VPCF2  
09/19/18

NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK )

) ss.:

COUNTY OF NASSAU )

M. J. McCarthy Being duly sworn, deposes and says:  
That he resides at Suffolk NY street,  
in the City of P. SCALAMANDRE & SONS INC that he is the VP CFO of  
the corporation described in and which executed the foregoing  
instrument; that he knows the seal of said corporation; that the seal  
affixed to the said instrument is such corporate seal and was affixed  
by order of the Board of Directors of said corporation; that he signed  
his name thereto by like order; and that he has knowledge of the  
several matters therein stated and they are in all respects true.  
Subscribed and sworn to before me  
this 19 day of Sept, 2018.

GINA S. GREENHILL  
Notary Public, State of New York  
No. 01GR4867265  
Qualified in Suffolk County  
Commission Expires October 8, 2018

Gina S. Greenhill  
Notary

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK )

) ss.:

COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says:  
That he is a member of  
the firm described in and which executed the foregoing bid; that he  
duly subscribed the name of the firm hereunto on behalf of the firm;  
and that the several matters therein stated are in all respects true.  
Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

\_\_\_\_\_  
Notary

(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK )

) ss.:

COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says:  
That he is the person described in and who executed the foregoing bid  
and that the several matters therein stated are in all respects true.  
Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

\_\_\_\_\_  
Notary



March 24, 2015

Nassau County Department of Public Works

The following is provided in answers to Questions 5C & 5D.

5C: On December 28, 2001 The Contract Review Unit of the NY State Department of Transportation found that a Joint Venture of Peter Scalamandre & Sons, Inc. and J.D. Posillico, Inc. was not the lowest Responsible bidder on Contract No. D258873. Since then the company has bid on and received NYSDOT Projects.

5D: On April 12, 2002 The US Department of Transportation, Federal Highway Administration debarred the company from bidding on or receiving Federally Funded Projects for a period of three years. The debarment expired on April 12, 2005. The company is eligible to bid on and receive Federally Funded Projects.

**PETER SCALAMANDRE & SONS, INC.****SCHEDULE OF WORK EXPERIENCE ( COMPLETED PROJECTS)**

NAME & ADDRESS OF OWNER	PROJECT TITLE & LOCATION	CONTRACT AMOUNT	DATE COMPLETED
NYS DOT	WELCOME CENTER	\$28,000,000.00	12/1/2016
TISHMAN CONST	RIVERSIDE I	\$60,286,000.00	12/1/2016
HOLT CONST	ARK BRIDGE	\$1,200,000.00	3/1/2017
TURNER CONST	TWA FLIGHT CTR	\$3,611,000.00	4/1/2017
NYS DOT	ROUTE 347-112	\$22,514,858.89	11/30/2015
JET BLUE AIRWAYS	JFK AIRPORT	\$13,294,423.53	7/31/2015
DELTA AIRLINES	PHASE II EXT	\$19,223,033.43	12/31/2015
DELTA AIRLINES	TERMINAL 3	\$84,347,129.00	12/31/2015
TISHMAN CONSTRUCTION	RIVERSIDE	\$25,650,802.00	12/31/2015
TURNER CONSTRUCTION	WEST VILLAGE	\$8,609,677.00	2/29/2016
TOB	WYANDANCH	\$8,040,188.00	3/31/2016
TISHMAN CONSTRUCTION	RIVERSIDE	\$29,152,317.00	9/30/2015
DELTA AIRLINES	PHASE II FOUNDATIONS	\$4,215,091.48	5/31/2015
DELTA AIRLINES	JFK IAT	\$73,384,000.00	2/1/2013
DELTA AIRLINES	T4 CONCOURSE B	\$27,078,000.00	10/1/2012
MTA NYC TRANSIT	SITE K	\$57,871,025.00	11/1/2012
DELTA AIRLINES	TERMINAL 4	\$12,000,000.00	4/1/2012
NSUMC	MEDICAL CENTER	\$8,000,000.00	2/1/2012
SNC LAVALIN	ASTORIA POWER PLANT	\$5,800,000.00	4/1/2011
TOOB	HICKSVILLE PARKING GARAGE	\$33,083,000.00	6/1/2011
TOWN OF BROOKHAVEN	SEWER MAIN	\$1,600,000.00	6/1/2011
NYS DOT	SOUND BARRIER L.I.E	\$3,435,732.00	4/1/2010
SKANSKA USA	NYU	\$20,154,821.00	4/1/2010
DEKALB ASS LLC	BROOKLYN HIGH RISE	\$27,440,948.00	11/1/2009
TOWN OF HEMPSTEAD	MILL POND	\$5,100,000.00	12/1/2009
SOUTHWEST AIRLINES	MCARTHUR AIRPORT	\$8,700,059.00	5/1/2009
TURNER CONSTRUCTION	JET BLUE JFK	\$23,425,334.00	4/1/2009
SEIMAN POWER	F&S POWER BELLPOT	\$167,855,428.00	4/1/2009
TURNER CONSTRUCTION	JET BLUE JFK	\$24,533,307.00	2/1/2009
JET BLUE AIRWAYS	NEW GARAGE	\$64,370,488.00	9/1/2008
TOOB	BETHPAGE ICE RINK	\$17,194,537.00	12/1/2008
VRH TORCON	AMERICAN AIRLINES JFK AIRPORT	\$4,575,000.00	6/1/2009

**SCHEDULE OF WORK EXPERIENCE (WORK IN PROGRESS AS OF 06/30/18)**

[illegible]



OMB Control No: 2090-0030  
Approved: 8/13/2013  
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE<sup>1</sup> subcontractors<sup>2</sup> and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name RJ/Scalamandre, JV		Project Name Bay Park STP; Sidestream Centrate Treatment	
Bid/ Proposal No. S35121-L38GR	Assistance Agreement ID No. (if known)	Point of Contact Richard Felicetta	
Address 75 East Bethpage Road; Plainview, NY 11803			
Telephone No. 516-845-9772		Email Address rfelicetta@rjli.net (Simone Washington - swashington@rjli.net)	
Issuing/Funding Entity: County of Nassau (NY), Department of Public Works			

I have identified potential DBE certified subcontractors	<input checked="" type="radio"/> YES	<input checked="" type="radio"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
METROFab	15 Fairchild Court, Plainview, NY 11803 (516) 349-7373; joemags@metrofabinc.com	\$170,000	Y
METROFab	15 Fairchild Court, Plainview, NY 11803 (516) 349-7373; joemags@metrofabinc.com	\$40,000	Y
Callahan Industries	441 Elmwood Road, West Babylon, NY 11740	\$800,000	Y

Continue on back if needed

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

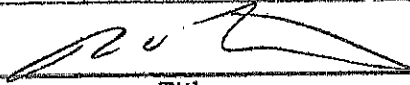




OMB Control No: 20  
Approved: 8/1  
Approval Expires: 8/3

**Disadvantaged Business Enterprise (DBE) Pro  
DBE Subcontractor Utilization**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

<b>Prime Contractor Signature</b>	<b>Print Name</b>
	Richard O. Felicetta
<b>Title</b>	<b>Date</b>
Member	9/25/2018

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T) Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not the completed form to this address.



OMB Control No: 2090-0030  
Approved: 8/13/2013  
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

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Prime Contractor Name RJ/Scalamandre, JV		Project Name Bay Park STP; Sldestream Centrate Treatment	
Bld/ Proposal No. S35121-L38GR	Assistance Agreement ID No. (if known)	Point of Contact Richard Felicetta	
Address 75 East Bethpage Road; Plainview, NY 11803			
Telephone No. 516-845-9772		Email Address rfelicetta@rjil.net (Simone Washington - swashington@rjil.net)	
Issuing/Funding Entity: County of Nassau (NY), Department of Public Works			

I have identified potential DBE certified subcontractors	<input checked="" type="radio"/> YES	<input type="radio"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
Bernstein	Photographs	\$8500	Y
Turtle/Husky	Electrical Equipment	\$800,000	Y
Heity Insulation		\$50,000	Y

Continue on back if needed

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

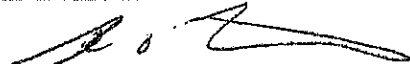
<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



OMB Control No: 2090-0030  
Approved: 8/13/2013  
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

I certify under penalty of perjury that the foregoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

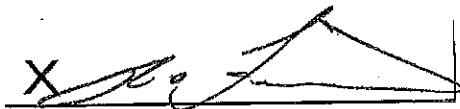
<b>Prime Contractor Signature</b>	<b>Print Name</b>
	Richard O. Felicetta
<b>Title</b>	<b>Date</b>
Member	9/25/2018

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

**Attachment 1**  
**New York State Environmental Facilities Corporation**  
**EQUAL EMPLOYMENT OPPORTUNITY POLICY STATEMENT**  
**NEW YORK STATE REVOLVING FUND (SRF)**

I, Richard O Felicetta, am the authorized representative of RJ/Scalamandre, JV.  
Name of Representative Name of Contractor/Service Provider  
I hereby certify that RJ/Scalamandre, JV will abide by the equal employment  
Name of Contractor/Service Provider  
opportunity (EEO) policy statement provisions outlined below.

- (i) The Contractor will not discriminate on the basis of race, creed, color, national origin, sex, age, disability, or marital status against any employee or applicant for employment, will undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination and will make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on Contracts relating to SRF projects.
- (ii) The Contractor shall state in all solicitations or advertisements for employees that, in the performance of the Contract relating to this SRF project, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.
- (iii) The Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status, and that such union or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.
- (iv) The Contractor shall comply with the provisions of the Human Rights Law (Article 15 of the Executive Law), including those relating to non-discrimination on the basis of prior criminal conviction and prior arrest, and with all other State and federal statutory and constitutional non-discrimination provisions, including Titles VI and VII of the Civil Rights Act of 1964, 40 CFR Part 7, 41 CFR Part 60-1 Subpart A, 41 CFR Part 60-4, Section 504 of the Rehabilitation Act of 1973, and the Age Discrimination Act of 1975. The Contractor and Subcontractors shall not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status or domestic violence victim status.
- (v) The Contractor will include the provisions of subdivisions (i) through (iv) in every Subcontract in such a manner that the requirements of these subdivisions will be binding upon each Subcontractor as to work in connection with the Contract.



Contractor/Service Provider Representative