

**B-14-19****NIFS ID: Department: Public Works****Capital: X**

SERVICE: S3P311-11G Roslyn V; Morgan Pk & Shore Rd. PS-B14-19

Contract ID #:S3P311-11G

NIFS Entry Date:

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	Y
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Vendor Ownership & Mgmt. Disclosure Attached:	Y
5) Insurance Required	N

Vendor Info:	
Name: Philip Ross Industries, Inc.	Vendor ID#: [REDACTED]
Address: [REDACTED]	Contact Person: [REDACTED]
[REDACTED]	[REDACTED]
	Phone: [REDACTED]

Department:
Contact Name: John Domenica
Address: 3340 Merrick Road
Building R, 3rd Floor
Wantagh, New York 11793
Phone: 5165717529

RECEIVED
 NASSAU COUNTY
 CLERK OF THE LEGISLATURE
 2019 JUL -5 AM 11:36

Routing Slip

Department	NIFS Entry: X	07-MAY-19 -- LDIONISIO
Department	NIFS Approval: X	08-MAY-19 -- RDALLEVA
DPW	Capital Fund Approved: X	08-MAY-19 -- RDALLEVA
OMB	NIFA Approval: X	15-MAY-19 -- CNOLAN
OMB	NIFS Approval: X	09-MAY-19 -- NGUMIENIAK
County Atty.	Insurance Verification: X	15-MAY-19 -- AAMATO
County Atty.	Approval to Form: X	15-MAY-19 -- DGRIPPO
CPO	Approval: X	20-MAY-19 -- KOHAGENCE

DCEC	Approval: X	21-MAY-19 -- JCHIARA
Dep. CE	Approval: X	21-MAY-19 -- BSCHNEIDER
Leg. Affairs	Approval/Review: X	03-JUL-19 -- JSCHANTZ
Legislature	Approval:	
Comptroller	Deputy:	
NIFA	NIFA Approval:	

Contract Summary

Purpose: Rehabilitation and renovation of the following Pump Stations: Roslyn Village; Morgan Park; Shore Road. The work involves the following: flood reinforcement of existing structures, installation of flood proof doors and windows and other submersible equipment at the Roslyn Village, Morgan Park & Shore Rd Pump Stations.
Method of Procurement: Public bid in accordance with General Municipal Law 103.
Procurement History: Notice to Bidders: eProcure: 11/10/17-12/12/17; Newsday: 11/8/2017; NYS Contract Reporter: 11/8/17-12/12/17. Bid opening: 12/12/17
Description of General Provisions: New contract for construction services
Impact on Funding / Price Analysis: Approval is requested for additional funding of \$3,095,250.00 Capital Project #3P311
Change in Contract from Prior Procurement: not applicable
Recommendation: (approve as submitted) Approve as Submitted

Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	CSW					
Control:	3P	Revenue		1	PW/CSW/CSW/3P3	\$ 3,095,250.00
Resp:	311	Contract:			11/00004	\$ 0.00
Object:	00004	County	\$ 0.00			\$ 0.00
Transaction:	CH	Federal	\$ 0.00			\$ 0.00
Project #:	3P311	State	\$ 0.00			\$ 0.00
Detail:	011	Capital	\$ 3,095,250.00			\$ 0.00
		Other	\$ 0.00			\$ 0.00
		TOTAL	\$ 3,095,250.00		TOTAL	\$ 3,095,250.00

RENEWAL	
% Increase	
% Decrease	



Contract Approval Request Form (As of January 1, 2015)

1. Vendor: Philip Ross Industries, Inc.

2. Dollar amount requiring NIFA approval: \$3095250

Amount to be encumbered: \$3095250

This is a New

If new contract - \$ amount should be full amount of contract

If advisement – NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: 480 days from date of execution

Has work or services on this contract commenced? N _____

If yes, please explain:

4. Funding Source:

General Fund (GEN)

X Capital Improvement Fund (CAP)

Other

Grant Fund (GRT)

Federal % 100

State % 0

County % 0

Is the cash available for the full amount of the contract?

N

If not, will it require a future borrowing?

Y

Has the County Legislature approved the borrowing?

Y

Has NIFA approved the borrowing for this contract?

N

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

Rehabilitation and renovation of the following Pump Stations: Roslyn Village; Morgan Park; Shore Road

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

Contract ID	Date	Amount

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

15-MAY-19

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

RULES RESOLUTION NO. -2019

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND PHILIP ROSS INDUSTRIES, INC.

Redacted

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract S3P311-11G, PUMP STATION MITIGATION – ROSLYN VILLAGE, MORGAN PARK, & SHORE ROAD PUMP STATIONS ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of PHILIP ROSS INDUSTRIES, INC.

["Vendor"] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 3,095,250 now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

Jack Schnirman
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: Phillip Ross Industries, Inc.

CONTRACTOR ADDRESS: 88 Duryea Road, Melville, NY 11747

FEDERAL TAX ID #: 11-2707717

Instructions: Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☑ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in Newsday and County Website [newspaper] on November 19, 2017 [date]. The sealed bids were publicly opened on December 8, 2017 [date]. 4 [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; OR:
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☐ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☐ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41, 1987-1 C.B. 296*, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.


Department Head Signature


Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

No

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 4/9/19

Vendor: Philip Ross Industries, Inc.

Signed: [Signature]

Print Name: Philip Carlucci

Title: president



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

none

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

none

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

none

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

None

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

None

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

NONE

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 4/2/19

Signed:



Print Name:

Philip Canlucci

Title:

James

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Philip Carlucci
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 200 Long Island Ave. 88 DUNTER RD, SUITE 204
City/state/zip Wyandanch, NY 11798 MELVILLE NY 11747
Telephone 631-253-3077
Other present address(es) _____
City/state/zip _____
Telephone 516-805-0040
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President 6/9/94 Treasurer / /
Chairman of Board / / Shareholder / /
Chief Exec. Officer / / Secretary / /
Chief Financial Officer / / Partner / /
Vice President / /
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES ☒ NO ☐ If Yes, provide details. 100% ownership
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES ☐ NO ☒ If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES ☐ NO ☒
If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 8 years while you were a principal owner or officer? YES _____ NO X
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (8) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES _____ NO X If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES _____ NO X If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES _____ NO X If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES _____ NO X If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years; and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If "Yes", provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES _____ NO X If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES _____ NO X If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES _____ NO X If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES _____ NO X If Yes, provide details for each such conviction.

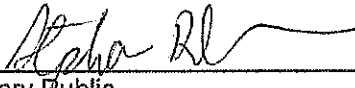
- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO X If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO X If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO X If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO X If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO X If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO X If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Philip CanLucci, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 9 day of April 2019


Notary Public
STEPHANIE KELLERMAN
Notary Public, State of New York
Reg. No. 01KE6959965
Qualified in Suffolk County
Commission Expires June 12, 2021

Philip Ross Industries Inc
Name of submitting business

Philip CanLucci
Print/name

[Signature]
Signature

President
Title

4/9/19
Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable."
No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 12/12/17 9/26/18

1) Proposer's Legal Name: Philip Ross Industries, Inc.

2) Address of Place of Business: 88 DUNYEA Rd; Suite 204, Melville NY 11747
200 Long Island Ave. Wyandanch, NY 11798

List all other business addresses used within last five years:

not-applicable

3) Mailing Address (if different): same

Phone: 631-253-3077

Does the business own or rent its facilities? Own

4) Dun and Bradstreet number: 15-401-7461

5) Federal I.D. Number: 11-2707717

6) The proposer is a (check one): ___ Sole Proprietorship ___ Partnership X
Corporation ___ Other (Describe) ___

7) Does this business share office space, staff, or equipment expenses with any other business?

Yes ___ No X If Yes, please provide details: ___

8) Does this business control one or more other businesses? Yes ___ No X If Yes, please provide details: ___

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ___ No X If Yes, provide details. _____
- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ___ No X If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture; or details regarding the termination. (if a contract). _____
- 11) Has the proposer, during the past seven years, been declared bankrupt? Yes ___ No X If Yes, state date, court jurisdiction, amount of liabilities and amount of assets _____
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.
Yes ___ No X If Yes, provide details for each such investigation. _____
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ___ No X If Yes, provide details for each such investigation. _____
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
- a) Any felony charge pending? Yes ___ No X If Yes, provide details for each such charge. _____
- b) Any misdemeanor charge pending? Yes ___ No X If Yes, provide details for each such charge. _____
- c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes ___ No X

If Yes, provide details for each such conviction _____

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?
Yes ____ No X If Yes, provide details for each such conviction. _____

e) In the past 5 years, been found in violation of any administrative, statutory, or
regulatory provisions? Yes ____ No X If Yes, provide details for each such
occurrence. _____

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated
business had any sanction imposed as a result of judicial or administrative proceedings with
respect to any professional license held? Yes ____ No X; If Yes, provide details for
each such instance. _____

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to
pay any applicable federal, state or local taxes or other assessed charges, including but not
limited to water and sewer charges? Yes ____ No X If Yes, provide details for each
such year. Provide a detailed response to all questions checked "YES". If you need more
space, photocopy the appropriate page and attach it to the questionnaire. _____

Provide a detailed response to all questions checked "YES". If you need more space,
photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no
conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has
that may create a conflict of interest or the appearance of a conflict of interest in
acting on behalf of Nassau County.
No conflict exists

(ii) Any family relationship that any employee of your firm has with any County
public servant that may create a conflict of interest or the appearance of a conflict
of interest in acting on behalf of Nassau County.
No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or
the appearance of a conflict of interest in acting on behalf of Nassau County.
No conflict exists

b) Please describe any procedures your firm has, or would adopt, to assure the
County that a conflict of interest would not exist for your firm in the future.
We have company policies to ensure firm boundary lines
between clients and employees.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; 1984
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner;
Philip Carlucci [REDACTED]
- iii) Name, address and position of all officers and directors of the company;
Philip Carlucci [REDACTED]
- iv) State of incorporation (if applicable); New York
- v) The number of employees in the firm; 20+
- vi) Annual revenue of firm; \$20 million
- vii) Summary of relevant accomplishments
- viii) Copies of all state and local licenses and permits.

B. Indicate number of years in business. 33

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Nassau County DPW- East Hills Pump Station

Contact Person Steve Hearl, H2M Group

Address _____

City/State _____

Telephone [REDACTED]

Fax # _____

E-Mail Address [REDACTED]

Philip Carlucci



Employment:

1984 -- Present Philip Ross Industries, Inc., Wyandanch, NY- President and Project Manager

Experience:

- Sole owner responsible for all phases and departments of company
- Supervisor of all field and administrative personnel
- Project management and coordination.
- Oversight of bids and estimating department in order to continually improve win ratios.
- Sales meetings and making presentations to current and potential customers.
- Oversight of billing and accounting department.
- Field engineering & interpretation engineering blueprints & specifications to identify and describe work scope changes.
- Setting the profit margin for the project and responsible to track job progress, schedule and material costs.
- Attend project meetings with the owners and engineers continually communicating with the various parties and reacting to their needs in a timely manner.
- Oversight of implementation of new technologies and software both in the office and in the field.
- Supervision of all project managers and subcontractor relationships.

Skill Areas:

- Excellent communication skills, both verbal and written.
- Proven engineering/project management skills.
- Ability to identify, prioritize, delegate and conduct appropriate follow up on all variations of project phases.
- Strong planning & organizational skills.
- OSHA oversight and compliance.

- Identify project costs and devise creative alternate solutions/options to common industry obstacles with saved the company time and money.
- Developed relationships with qualified, reliable & competitive subcontractors and vendors.

Education:

State University of NY- Farmingdale Campus
Associates in Business Administration- 1983

Company Nasau County DPW-Loct Valley Pump Station

Contact Person Phil Campisi

Address _____

City/State _____

Telephone 516-579-5856

Fax # _____

E-Mail Address pcampisi@debruinengineering.com

Company East Farmingdale Water District-Plant4

Contact Person Rich HUMann-H2m Group

Address _____

City/State _____

Telephone 631-867 756-8000

Fax # _____

E-Mail Address rhumann@h2m.com

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Philip Canhucco, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 9 day of April, 2019
STEPHANIE KELLERMAN
Notary Public, State of New York
Reg. No. 01KE6359965
Qualified in Suffolk County
Commission Expires June 12, 2021
Stephanie Kellerman
Notary Public

Name of submitting business: Philip Ross Industries Inc
By: Philip Canhucco
Print name
[Signature]
Signature
Pres.
Title
4/9/19
Date

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Philip Ross Industries, Inc.

Address: ~~200 Long Island Ave~~ 88 Dunbar Rd; Suite 204

City, State and Zip Code: Wyandanch, NY 11798 Melville NY 11747

2. Entity's Vendor Identification Number: 11-2707717

3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture

☐ Ltd. Liability Co ☒ Closely Held Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Philip Carlucci, [REDACTED]

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

Philip Carlucci, 6 Northcote Dr. Melville, NY 11747

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

NONE

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

NONE

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

None

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 4/21/2 Signed: [Signature]
Print Name: philip CARLUCCI
Title: partner

Page 4 of 4

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Office of the County Executive
Att: Brian J. Schneider, Deputy County Executive

FROM: Department of Public Works

DATE: February 14, 2019

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: S3P311-11G
Title: Roslyn Village, Morgan Park & Shore Road Pump Stations
Engineer's Estimate: \$3,900,000
Bids Received On: December 12, 2017

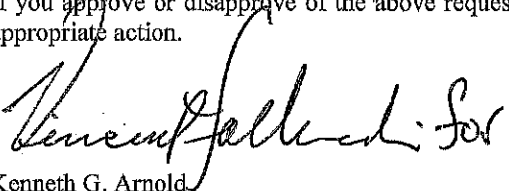
R.J. Industries was the initial low bidder at \$2,374,400, however their bid was withdrawn after demonstrating a gross calculation error in their bid proposal. The bids received for the above referenced contract have been examined and "Philip Ross Industries, Inc." is the lowest responsible bidder in the amount as follows:

General Construction (Lump Sum Base Bid):	\$2,980,000.00
Extra earth excavation (Unit Price Bid Item):	\$ 9,000.00
Import Select Fill (Unit Price Bid Item):	\$ 6,250.00
Allowance (Unforeseen Conditions)	\$ 50,000.00
Allowance (Pump Replacements)	<u>\$ 50,000.00</u>
Bid Total:	\$3,095,250.00

The low bid received is 23% below the Engineer's Estimate (after deducting bid amount for the Allowance).

Funding for the construction services is available under capital project 3P311.

If you approve or disapprove of the above request, please signify below and return the memo to this office for appropriate action.



Kenneth G. Arnold
Commissioner

KGA:VF:rp
Attachment

c: Christopher Nolan, Deputy Budget Director
Vincent Falkowski, Deputy Commissioner
Roseann D'Alleva, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Edward Visone, Assistant Superintendent of Sanitary Construction
John Domenica, Jacobs
Eric Mills, Hazen & Sawyer

APPROVED:

DISAPPROVED:


Brian J. Schneider
Deputy County Executive

Date

Brian J. Schneider
Deputy County Executive

Date



REQUEST TO INITIATE

RTI Number 17-0255A

REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work OrderProject Title: PUMP STATION REPAIR AND MITIGATION (S3P311-11G)
Roslyn Village, Morgan Park and Shore Road Pumping StationsDepartment: Public Works Project Manager: Thomas A. ImmerseDate: August 8, 2017

Rev. 11/15/18

Service Requested: General Construction Contract

Justification: Necessary for repairs/replacement of equipment at three (3) sewage pump stations, including improvements and flood mitigation measures for the pump stations listed above.

Requested by: Department of Public Works Department/Agency/Office

\$ 3,095,250

Project Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$3,900,000.00
Circle appropriate phaseTotal Project Cost: \$4,650,000.00 (approx.)
Includes, design, construction and CMDate Start Work: November, 2017
Phase being requestedDuration: 480 Days
Phase being requestedCapital Funding Approval: YES ☒ NO ☐Roseann Dillon 11/15/18
SIGNATURE DATEFunding Allocation (Capital Project):
See Attached Sheet if multiyear ☐3P311NIFS Entered: OP
SIGNATURE DATEAIM Entered: Deanna Funk
SIGNATURE DATEFunding Code: 3P311-011
Use this on all encumbrancesTimesheet Code: 17-0255
Use this on timesheets

State Environmental Quality Review Act (SEORA):

Type II Action ☒ or, Environmental Assessment Form Required ☐
Supplemental Environmental DocumentationDepartment Head Approval: YES ☒ NO ☐Tom Immerse
SIGNATUREDCE/Ops Approval: YES ☒ NO ☐Ben Salmeron 11/15/18
SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from responding vendors.

VendorQuoteComment

See Attached Sheet (bid results)

☐

DCE/Ops Approval:

YES

NO

Signature _____

11/15/18

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Kenneth G. Arnold, Commissioner

FROM: Water/Wastewater Engineering Unit

DATE: November 30, 2018

SUBJECT: RECOMMENDATION OF AWARD

Contract No: S3P311-11G

Title: Roslyn Village, Morgan Park & Shore Road Pump Stations

Engineer's Estimate: \$3,900,000

Bids Received On: December 17, 2017

The bids received for the above-referenced contract have been examined, and the bid submitted by Philip Ross Industries, Inc. (PRI), in the amount of \$3,095,250 is acceptable as the lowest responsible bidder. A lower bid of \$2,374,400 by RJ Industries, Inc. was withdrawn upon realization of an error in their estimate.

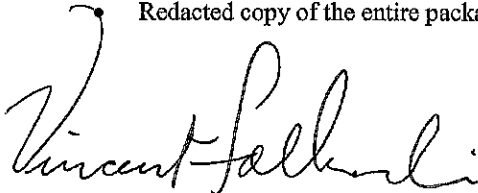
The apparent low bid of \$3,095,250 is 20.6% lower than the engineer's estimate. This bid is 16.6% lower than the average bid of the remaining three bids. The results of the bidding indicate a very competitive bidding environment, with PRI having extensive experience on this Upgrade Program, having already performed similar efforts for six other of pump station mitigation contracts.

Attached herewith, is the Design Engineer's analysis of PRI of which it indicates that although the references are not to the same magnitude of the value of this project, the references indicate a favorable and responsible contractor.

As a result, PRI's bid being approximately 20.6% lower than the engineer's estimate has been determined as the lowest responsible bidder. Accordingly, since adequate funds are available (Capital Project No. CSW 3P311), it is requested that the Recommendation of Award be prepared for the Commissioner's signature and forwarded the County Executive for her action.

Attached, herewith are the following documents for your information and use:

- Staff Summary,
- Comptroller Approval Form,
- Exhibit A-NC Political Campaign Contribution Disclosure Form,
- Request to Initiate,
- Engineer's Bid Analysis,
- CSEA memo and CSEA response,
- Certification Regarding Debarment, Suspension, and Voluntary Exclusion,
- M/WBE utilization Plan, and
- Redacted copy of the entire package as listed above.



Vincent Falkowski
Deputy Commissioner for Environmental Programs

VF:TAI:rp
Attachments

c: Thomas A. Immerso, Sanitary Engineer II
Loretta Dionisio, Hydrogeologist II
Eric Mills, Hazen & Sawyer
John Domenica, Jacobs



Nassau DPW B.I.D.S - Summary of Bid Opening

S3P311-11G - General Contracting
Pump Station Mitigation-Roslyn Village, Morgan Park & Shore Road Pump Stations

12/12/2017

Bid Opening: 12/12/2017

Engineer: Tom Immerso Phone: (516) 571-7508

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
RJ Industries	75 East Bedpage Road Plainview, NY 11803-0349	Liberty Mutual Insurance Comp	10% Amt Bid	\$2,374,400.00	\$0.00
Philip Ross Industries, Inc.	200 Long Island Avenue Wyandanch, NY 11798	Liberty Mutual Insurance Comp	10% Amt Bid	\$3,095,250.00	\$0.00
W.H.M. Plumbing	6H Enterprise Drive East Setauket, NY 11733	Fidelity & Deposit Company of	10% Amt Bid	\$3,576,321.00	\$0.00
Bersin Contracting, Inc.	652 Union Avenue P.O. Box 388 Holtsville, NY 11742	International Fidelity Insurance	10% Amt Bid	\$4,458,750.00	\$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

R.J. Industries, Inc.
75 East Bethpage Road
P.O. Box 349
Plainview, New York 11803-0349

Phone: (516) 845-9772
Fax: (516) 454-1759

January 12, 2018

Nassau County Department of Public Works
1194 Prospect Avenue
Westbury, NY 11590-2723

Att: Kenneth Arnold, P.E.
Acting Commissioner of Public Works

Re: Pump Station Mitigation
Roslyn Village Morgan Park and Shore Road Pump Stations
Contract No. S3P311-11G General Construction
Bid Value Submitted \$2,374,400.00
Bid Dated 12/12/17

Subject: BID ERROR

Dear Commissioner:

We regret to inform you that after further review of our bid sheets, a clerical error has been identified when preparing our internal summary sheets in the above-mentioned bid. Therefore, R.J. Industries is unable to perform this work at this submitted price.

Because of this, we hereby request the County to recognize our error and release R.J. Industries from any further obligations to this project.

We apologize for this mistake and hereby await your formal response.

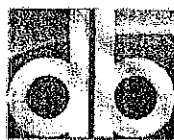
Very truly yours,
R.J. Industries, Inc.



John Koziarz, Vice President

JK/ed

cc: Thomas Immerso, NC
Richard Felicetta, RJ
John Doremus, RJ



D&B ENGINEERS AND ARCHITECTS, P.C.

330 Crossways Park Drive, Woodbury, New York 11797

516-364-9890 • 718-460-3634 • Fax: 516-364-9045 • www.db-eng.com

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Adam Rantick, P.E.

Adriana S. Restrepo, P.E.

Daniel Shabat, P.E.

Associates

Jeffrey G. Butler, P.E., BCEE

Meredith A. Byers

Anthony M. Caniano, P.G.

Rudolph F. Cannavale

Michael Carroll, P.E., CBM

Kevin J. Donlevy, P.E.

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Stephen E. Tausa, P.G.

Frank Visigardi, Jr., P.E.

February 8, 2018

Thomas A. Immerso
Nassau County Department of Public Works
3340 Merrick Road, Building R, 3rd Floor
Wantagh, New York 11793

Re: Pump Station Mitigation – Roslyn Village, Morgan Park and Shore Road
Pump Stations
Contract No. S3P311-11G
Bid Evaluation
D&B No. 3930

Dear Mr. Immerso:

Bids were received on Tuesday, December 12, 2017 for Contract No. S3P311-11G – Pump Station Mitigation – Roslyn Village, Morgan Park and Shore Road Pump Stations. As requested, the second low bidder, Philip Ross Industries, Inc. (PRI), was contacted due to the low bid Contractor RJ Industries, Inc. bid withdrawal. Confirmation on PRI's bid, in addition to questions regarding his qualifications to perform the work under the above-referenced contract were responded favorably, (reference attached Record of Phone Conversation and Philip Ross letter dated 2/5/18). A detailed Bid Summary for the general construction prime contracts is attached. A general summary of the submitted bids is included below:

Contract	Bidder	Bid Amount
S3P311-11G – General Construction	Philip Ross Industries, Inc.	\$3,095,250*
S3P311-11G – General Construction	W.H.M. Plumbing and Heating Contractors, Inc.	\$3,576,321
S3P311-11G – General Construction	Bensin Contracting, Inc.	\$4,458,750
Engineer's Opinion of Construction Cost		\$3,900,000

*Apparent Low Bidder

"50+ Years of Facing Challenges, Finding Solutions... Since 1965"

D&B ENGINEERS AND ARCHITECTS, P.C.

Thomas A. Immerso
Nassau County Department of Public Works
February 8, 2018

Page Two

As previously predicted under the Project Labor Agreement (PLA) Feasibility Analysis, the apparent low bid for the General Construction contract was below the construction cost estimate for this project. It is apparent that current economy and the use of the PLA generated the expected cost savings for the County.

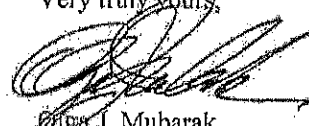
The apparent low bidder responded to the information requested by the County in the letter dated February 5, 2018 and is attached for reference. In addition, the bid qualifications and financial information provided by the apparent low bidder appears to be in accordance with the Contract Documents, (attached).

The apparent low bidder has completed and is currently completing numerous projects of similar or larger magnitude such as Pump Station Repair and Mitigation projects S3P311-04G, 05G and 06G, additional references are included in the response to the Additional Information County letter, attached.

Based on the above, we recommend the low bid be accepted and that the County award Contract No. S3P311-11G – General Construction to Philip Ross Industries Inc., in the amount of \$3,095,250.

Should you have any questions regarding the above, please do not hesitate to contact this office.

Very truly yours,



Ziga J. Mubarak
Vice President

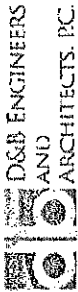
OJMt/cf

Attachments: Detailed Bid Summary (Contract S3P311-11G)
Bid Sheets
Record of Phone Conversation
County Letter 2/2018
Philip Ross Industries Documentation dated 2/5/2018
Request to Withdraw Bid Letter dated 1/26/2018

cc: K. Arnold (NCDPW)
E. Visone (NCDPW)
J.H. Marturano (D&B)

♦3930/OJM18L-TR-02

DETAILED BID SUMMARY
CONTRACT S3P311-11G



County of Nassau Department of Public Works
 Pump Station Mitigation
 Roslyn Village, Morgan Park, and Shore Road Pump Stations
 CONTRACT NO. S3P311-1166 - GENERAL CONSTRUCTION

Detailed Bid Summary

Bid Opening Date - Tuesday, December 12, 2017

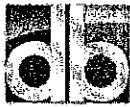
Item No.	Approximate Quantity	Description	R.J. Industries, Inc.*		Philip Ross Industries, Inc.		W.H.M. Plumbing & Heating Contractors, Inc.		Bensin Contracting, Inc.	
			Unit Price	Bid Amount Total	Unit Price	Bid Amount Total	Unit Price	Bid Amount Total	Unit Price	Bid Amount Total
1	Lump Sum	Base Bid for furnishing all labor, materials and equipment required for all Construction work at Wantagh Park, Newbridge Road, Merrick Road and Ray Street Pump Stations as specified and shown on the drawings, complete and ready for operation.		\$2,264,400.00		\$2,980,000.00		\$3,446,500.00		\$4,350,000.00
2	75 Cubic Yards	For extra earth excavation beyond the limits of the Contract Drawings and Specifications, as ordered by the County.	\$50.00	\$3,750.00	\$120.00	\$9,000.00	\$161.07	\$12,080.00	\$50.00	\$3,750.00
3	125 Cubic Yards	For select fill from outside sources (per the Contract Drawings and Specifications), as ordered by the County.	\$50.00	\$6,250.00	\$50.00	\$6,250.00	\$141.93	\$17,741.00	\$40.00	\$5,000.00
4	Allowance	For furnishing all labor, materials, equipment and incidentals for Miscellaneous Additional Work, as ordered by the County.		\$50,000.00		\$50,000.00		\$50,000.00		\$50,000.00
5	Allowance	For furnishing all labor, materials, equipment and incidentals for the removal, rebuilding and reinstallation of the Shore Road Pump Station Main Sewage Pumps.		\$50,000.00		\$50,000.00		\$50,000.00		\$50,000.00
TOTAL BID AMOUNT				\$2,374,400.00		\$3,095,250.00		\$3,576,321.00		\$4,458,750.00

*= Bid Withdrawn

Average of three (3) bids received = \$3,710,107.00

Engineer's Estimate = \$3,900,000.00

RECORD OF PHONE CONVERSATION



D&B ENGINEERS
AND
ARCHITECTS, P.C.

RECORD OF TELEPHONE CONVERSATION

CALL PLACED TO: Mr. Philip Carlucci
Philip Ross Industries, Inc.

CALL PLACED FROM: Olga Mubarak
X 3041

DATE
: 2/5/18

SUBJECT: Pump Station Mitigation - Roslyn Village,
Morgan Park and Shore Road

TIME: 11:00 a.m.

Contract No. S3P311-11G

TELEPHONE: 631-253-3077

JOB NO.: 3930

Contacted Mr. Carlucci to inform him that Philip Ross was being considered as the apparent low bidder and to discuss his understanding of the above-referenced project. Mr. Carlucci was asked if he felt comfortable with his bid and he indicated that he felt "comfortable" with his bid amount.

Mr. Carlucci was asked if he had any concerns with completing the work within the contract construction period. He indicated that he felt prepared to complete the work within the contract duration and was looking forward to performing this project for the County.

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Thomas A. Immerso, Sanitary Engineer II

FROM: Office of the Commissioner

DATE: August 30, 2017

SUBJECT: CSEA Sub-Contracting Approval
C17-095 – S3P311-11G
Construction work for the flood mitigation of the Roslyn Village, Morgan Park & Shore Road pump stations

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. Pursuant to Section 32-3 of the CSEA/County CBA, CSEA has withdrawn its objection to the above-referenced contract known as **C17-095**.

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions, please speak with Jonathan Lesman.



Kenneth G. Arnold
Assistant to Commissioner

KGA:las

c: Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit
Loretta Dionisio, Hydrogeologist II
Jonathan Lesman, Management Analyst II



**COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo**

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, Executive Vice President

FROM: Department of Public Works

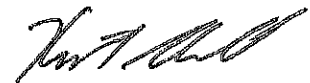
DATE: August 22, 2017

SUBJECT: CSEA Notification of a Proposed DPW Contract
Roslyn Village, Morgan Park & Shore Road Pump Station Mitigation
Proposed Contract No: S3P311-11G

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a contract/agreement for the following services: construction work for the flood mitigation of the Roslyn Village, Morgan Park & Shore Road pump stations.
2. The work involves the following: flood reinforcement of existing structures, installation of flood proof doors and windows and other submersible equipment at the Roslyn Village, Morgan Park & Shore Rd Pump Stations.
3. An estimate of the cost is: \$3,900,000
4. An estimate of the duration is: One (1) year.

Should you wish to propose an alternative to the proposed contract/agreement, please respond within 10 days to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.



Kenneth G. Arnold
Assistant to Commissioner

KGA:WSN:JLD:rp

- c: Christopher Fusco, Director, Office of Labor Relations
Ernst Bonaparte, Deputy County Attorney
William S. Nimmo, Deputy Commissioner
Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit
Diane Pyne, Unit Head, Human Resources Unit
Loretta Dionisio, Hydrogeologist II
Jonathan Lesman, Management Analyst II
✓ Thomas A. Immerso, Sanitary Engineer II



BID BOND

FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to USE THIS FORM PROVIDED as the use of ANY OTHER FORM may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned Philip Ross Industries, Inc., 200 Long Island Avenue, Wyandanch, NY 11798

as Principal; and Liberty Mutual Insurance Company, 175 Berkeley Street, Boston, MA 02116 as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

Ten Percent of Proposal Price dollars (\$ 10% of P.P.) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 4th day of December, 2017

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under Contract No. 53P311-116 for the

Pump Station Mitigation at Roslyn Village; Morgan Park & Shore Road Pump Stations.

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

Provided, however, that this bond is subject to the following additional conditions and limitations.

- a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.
- b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

Philip Ross Industries, Inc.

Contractor

by [Signature]
PRESIDENT

(L.S.)

(Corporate seal of
Contractor
Title if a corporation)

by _____ (L.S.)

Title

by _____ (L.S.)

Title

Liberty Mutual Insurance Company

by [Signature]

(L.S.)

Title of Officer

Dana Granice, Attorney-In-Fact

Surety

Witness:

~~Witness~~

[Signature]
~~Witness~~

(L.S.)

(Corporate seal
of Surety)

(Acknowledgment by Contractor if a corporation)

STATE OF MD)

ss.:
COUNTY OF Suffolk)

On this 17 day of DEC, 2017, before me personally came Philip Canwell to me known, who, being by me duly sworn, did depose and say for himself, that he resides in [REDACTED] that he is the pres of the Philip Ross Industries, Inc. the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.

STEPHANIE KELLERMAN
Notary Public, State of New York
Reg. No. 01KE6359965
Qualified in Suffolk County
Commission Expires June 12, 2021

Stephanie Kellerman
Notary Public

(Acknowledgment by Contractor if a partnership)

STATE OF _____)

ss.:
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____ to me known and known to me to be a member of the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF _____)

ss.:
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

Notary Public


(Acknowledgment by Surety Company)

STATE OF New York)

ss.:
COUNTY OF Nassau)

On this 4th day of December, 2017, before me personally came Dana Granice to me Known, who being by me duly sworn, did depose and say that he resides in Suffolk County, New York

that he is the Attorney-in-Fact of the Liberty Mutual Insurance Company, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said Desiree Cardlin further said that he is acquainted with Dana Granice and knows him to be the Attorney-in-Fact of said company; that the signature of the said Dana Granice subscribed to the within instrument is in the genuine handwriting of the said Dana Granice and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Desiree Cardlin


Notary Public

DESIREE CARDLIN
Notary Public, State of New York
No. 01CA6150043
Qualified in Suffolk County
Commission Expires 07/24/2018

THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 7726875

Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company West American Insurance Company

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"); pursuant to and by authority herein set forth, doas hereby name, constitute and appoint, Camille Maitland; Colette R. Chisholm; Dana Granice; Desiree Cardlin; George O. Brewster; Gerald S. Macholz; Lee Ferrucci; Mia Woo-Warren; Michelle Wannamaker; Nelly Reichiwich; Peter F. Jones; Rita Sagistano; Robert T. Pearson; Susan Lupski; Thomas Bean; Vincent A. Walsh

all of the city of Uniondale, state of NY each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed hereto this 12th day of April, 2017



The Ohio Casualty Insurance Company
Liberty Mutual Insurance Company
West American Insurance Company

By: David M. Carey
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA
COUNTY OF MONTGOMERY

On this 12th day of April, 2017, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Teresa Pastella, Notary Public
Upper Merion Twp., Montgomery County
My Commission Expires March 28, 2021
Member, Pennsylvania Association of Notaries

By: Teresa Pastella
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS - Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings. Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, whenever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Ranea C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this _____ day of _____, 2017



By: Ranea C. Llewellyn
Ranea C. Llewellyn, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.



LIBERTY MUTUAL INSURANCE COMPANY
FINANCIAL STATEMENT — DECEMBER 31, 2016

Assets		Liabilities	
Cash and Bank Deposits	\$1,092,914,837	Unearned Premiums	\$6,929,723,299
*Bonds — U.S Government	1,406,763,970	Reserve for Claims and Claims Expense	17,233,877,300
*Other Bonds	11,379,916,523	Funds Held Under Reinsurance Treaties	208,362,823
*Stocks	10,349,761,988	Reserve for Dividends to Policyholders	944,909
Real Estate	290,265,760	Additional Statutory Reserve	39,649,905
Agents' Balances or Uncollected Premiums	4,709,977,463	Reserve for Commissions, Taxes and	
Accrued Interest and Rents	112,757,395	Other Liabilities	3,061,117,958
Other Admitted Assets	14,659,523,751	Total	\$27,473,676,194
Total Admitted Assets	\$44,001,881,687	Special Surplus Funds	\$95,257,334
		Capital Stock	10,000,000
		Paid in Surplus	9,229,250,104
		Unassigned Surplus	7,193,698,055
		Surplus to Policyholders	16,528,205,493
		Total Liabilities and Surplus	\$44,001,881,687



* Bonds are stated at amortized or investment value; Stocks at Association Market Values.
The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2016, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 23rd day of March, 2017.

Tim Mikolajewski

Assistant Secretary

**CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW
YORK INSURANCE LAW**

**STATE OF NEW YORK
DEPARTMENT OF FINANCIAL SERVICES**

It is hereby certified that

Liberty Mutual Insurance Company

Of Boston, Massachusetts

a corporation organized under the laws of the State of Massachusetts and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$16,528,205,493 (Capital \$10,000,000) as is shown by its sworn financial statement for the year ending December 31, 2016 on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have

unto set my hand and affixed

official seal of this Department
in the City of Albany, this

1st day of March, 2017.

Marla T. Vullo
Superintendent

By

Jacqueline Catalfamo

Jacqueline Catalfamo
Special Deputy Superintendent



NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

WICKS EXEMPT LIST OF SUBCONTRACTORS

CONTRACT NO. S3P311-11G

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks Law. Failure to submit this form correctly may render the bidder non responsive.


Contractor's Name and Address Philip Ross Ind. Inc. 200 Long Island Ave. Wyandanch, NY 11798 Federal ID No.:	Project Description (Project Title, Facility Name and Address): Pump Station Mitigation Roslyn Village, Morgan Park and Shore Road Pump Stations	Bid Date: 12/12/17	Total Contract Amt: \$308,250
--	---	-----------------------	----------------------------------

Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):

- ☒ Plumbing and Gas Fitting
☒ Steam Heating, Hot Water Heating, Ventilating and AC Apparatus
☐ Electric Wiring and Standard Illuminating Fixtures

If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box ☐ skip to bottom of form, and sign it as required.

Subcontractor's Name, Address and Federal ID No.	Check () only one.			General Description of Work	Subcontractor's Contract Amt.
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures		
Hutch Electric 75 Orville Dr., Bohemia Federal ID No. 11-2215246			<input checked="" type="checkbox"/>	electrical	\$648,000

Federal ID No.	
This form must be filed out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.	
Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.	
Contractor Authorized Signature: 	Date: 12/12/17

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COUNTY OF NASSAU
STATE OF NEW YORK

PROPOSAL

FOR

PUMP STATION MITIGATION
ROSLYN VILLAGE, MORGAN PARK, AND SHORE ROAD PUMP STATIONS

Contract No. S3P311-11G

GENERAL CONSTRUCTION

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: Philip Ross Industries, Inc.

Bidder: Philip Ross Industries, Inc.

(Individual, Firm or Corporation, as case may be)

Bidder's Business Address: 200 Long Island Ave. Wyandanch, NY 11798

Telephone: 631-253-3077

Date of Bid: 12/12/2017

Fax: 631-253-0180

E-Mail: _____

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(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: _____

Residence of Individual: _____

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: _____

Name and Residence of Partner: _____

Name and Residence of Partner: _____

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: New York

Name and Residence of President: Philip Carlucci

Name and Residence of Vice-President: _____

Name and Residence of Secretary: _____

Name and Residence of Treasurer: _____

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GENERAL CONSTRUCTION

THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of labor, equipment, other facilities needed for the performance of the Work, the general and local conditions, and all other items which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities of Glen Cove or Long Beach, the contractor shall be required to have such a license.

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I. Rejection of Bids.

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend / to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

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SCHOOL (631) 286-8677
FAX (631) 286-8683

APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL
INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

247-C

UNION - P.O. BOX 208, FARMINGDALE, N.Y. 11735-0208

SCHOOL - 675 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

November 15, 2017

City of Long Beach
Department of Public Works
1 West Chester Street
Long Beach, NY 11561

Re: Local 138 Apprenticeship School (the "Apprenticeship School")
NYS Sponsor # 00422
NYS ATP Code # 18318
Philip Ross Industries, Inc.

Project – Wastewater Treatment Plant Repairs

To Whom It May Concern,

This letter serves to confirm that the **Apprenticeship School** currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to Philip Ross Industries, Inc., to the Local 138 Agreement Covering Working Conditions, dated June 1, 2015 to May 31, 2019. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY
BUSINESS MANAGER & TREASURER
CHAIRMAN BOARD OF TRUSTEES
LOCAL 138 APPRENTICESHIP TRAINING FUND

JOINT APPRENTICESHIP COMMITTEE OF PLUMBERS LOCAL NO. 200

DANNY GRODOTZKE
CO-CHAIRMAN

375 CENTRAL AVENUE
BOHEMIA, NEW YORK 11716
PHONE 631-567-3083
FAX 631-210-0033
local200jac@optonline.net
Training Coordinator
Keith Gordon

FRANK COLASURDO
CO-CHAIRMAN

December 11, 2017

To Whom It May Concern:

Please be advised that Philip Ross Industries is signatory to a Collective Bargaining Agreement with Plumbers Local Union #200 and is a contractor in good standing.

Plumbers Local Union #200 has an apprenticeship Training Program (Sponsor Code 00337, ATP Code 13180) that is approved and recognized by both the federal Department of Labor and the New York State Department of Labor.

Being signatory to our agreements, Philip Ross Industries, may, at their discretion, employ apprentices that are indentured in our program.

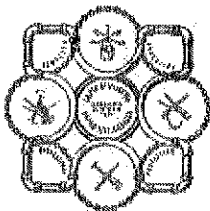
If you have any questions regarding the Apprenticeship Training Program of Plumbers Local Union #200, please contact me at any time.

If you have any questions, please feel free to contact me.

Sincerely,



Keith Gordon
Training Coordinator



ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE BID		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1	Lump Sum	Base Bid for furnishing all labor, materials and equipment required for all Construction work at Roslyn Village, Morgan Park, and Shore Road Pump Stations as specified and shown on the drawings, complete and ready for operation. <u>two million, nine hundred & eighty thousand Dollars</u> DOLLARS CENTS	N/A	N/A	2,980,000	-
2	75 Cubic Yards	For extra earth excavation beyond the limits of the Contract Drawings and Specifications, as ordered by the County. <u>one hundred & twenty</u> DOLLARS CENTS	120	-	9,000	-
3	125 Cubic Yards	For select fill from outside sources (per the Contract Drawings and Specifications), as ordered by the County. <u>Fifty 0 2000</u> DOLLARS CENTS	50	-	6,250	-
4	Allowance	For furnishing all labor, materials, equipment and incidentals for Miscellaneous Additional Work, as ordered by the County. <u>Fifty Thousand Dollars</u> No DOLLARS CENTS	N/A	N/A	\$50,000	00

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ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE BID		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
5	Allowance	For furnishing all labor, materials, equipment and incidentals for the removal, rebuilding and reinstallation of the Shore Road Pump Station Main Sewage Pumps. Fifty Thousand Dollars No DOLLARS CENTS	N/A	N/A	\$50,000	00
		TOTAL BASE BID (Bid Item Nos. 1 thru 5) \$ 3,093,250.00				
		TOTAL BASE BID (Bid Item Nos. 1 thru 5): MUST BE WRITTEN IN WORDS: Three million, ninety five thousand, two hundred DOLLARS & fifty Dollars & zero cents CENTS				

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ALLOWANCES: It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. 4: An Allowance of fifty thousand dollars (\$50,000) for Miscellaneous Additional Work.
2. Item No. 5: An Allowance of fifty thousand dollars (\$50,000) for removal, rebuilding and reinstallation of the Shore Road Pump Station Main Sewage Pumps.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and Section 01150, Measurement and Payment

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID: Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances.

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MAJOR EQUIPMENT ITEMS: The Bidder shall fill the name and address of the proposed system suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

<u>Specification Number</u>	<u>Description</u>	<u>Manufacturer and/or Supplier</u>
08390	Flood Doors	Orange Flood Doors on Eval
11530	Gas Detection System	MSA on Eval
11600	Fuel Tank Leak and Level Detection System	Veeder Root on Eval
15341	Hydraulic Grinder System	GP Sagen, Red Lion on Eval
15999	Sump Pumps	Goulds pump, Zoeller on Eval
16927	Sump Pump Control Panel	E & G Controls on Eval

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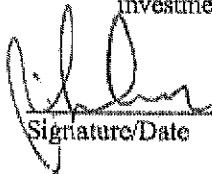
IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

- ☒ a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership, society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,
- ☐ b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.


Signature/Date

12/12/17

Philip Carlucci, President
Print Name and Position

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MacBride Fair Employment Principles

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),


(1) have business operations in Northern Ireland,

Yes ___ No x

If yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes ___ No ___


(Contractor's Signature)

Philip Ross Industries, Inc.
(Name of Business)

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GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

Bidder: Philip Ross Industries, Inc.
(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: _____

Federal ID No: 11-2707717

Municipal License ID Number: _____

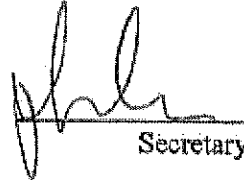
Municipal Licensing Agency: _____

By: _____ Date: 12/12/17
(Signature of Individual, Partner or Corporate Officer)

(Print): Philip Carlucci Title: President

WHERE BIDDER IS A CORPORATION, ADD

ATTEST:


Secretary

(CORPORATE)
(SEAL)

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GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____. Being duly sworn, deposes and says:
That he is the person described in and who executed the foregoing Bid and that the several matters therein
stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____.

Notary Public.

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____. Being duly sworn, deposes and says:
That he is a member of _____, the firm described in and which
executed the foregoing Bid; that he duly subscribed the name of the firm thereunto on behalf of the firm; and
that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____.

Notary Public

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GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Philip Carlucci Being duly sworn, deposes and says:
That he resides at [REDACTED] that he is the President
[REDACTED] of the corporation described in and which executed the foregoing
instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such
corporate seal and was affixed by order of the Board of Directors of said corporation; that he affixed his
name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all
respects true.

Subscribed and sworn to before me

This 12 day of Dec 2017

STEPHANIE KELLERMAN
Notary Public, State of New York
Reg. No. 01KE0359066
Qualified in Suffolk County
Commission Expires June 12, 2021

[Signature]
Notary Public

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QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 33

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 33 yrs

b. as a Subcontractor 33 yrs

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

Please see attached.

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

Please see attached

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? No
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

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Philip Ross Industries, Inc.
200 Long Island Avenue
Wyandanch, NY 11798
(631) 253-3077

CERTIFIED NY STATE DEPT OF ENVIRONMENTAL CONSERVATION
WELL DRILLER SINCE 1997 (LICENSE # 1776)

PROJECT REFERENCES

PROJECT:	Wellhead Treatment at Plant 5
LOCATION:	Locust Valley, NY
OWNER:	Locust Valley water District
CONTACT:	Dustin Rigos (631) 756-8000
VALUE:	\$1,289,000
COMPLETED:	6/15
DESCRIPTION:	Install GAC treatment system for VOC removal. Includes 2 GAC vessels; valves; associated piping & construction of Building extension to house the treatment system.
PROJECT:	Emergency Repairs to Water Pollution Control Plant
LOCATION:	Long Beach, NY
OWNER:	City of Long Beach
CONTACT:	Mark Rauber (516) 827-4900
VALUE:	\$1,513,670
COMPLETED:	12/15
DESCRIPTION:	Removals of existing equipment; install new influent flow Meter system; install level control system; replace sluice gate actuators & clarifier drive shafts; wet well inlet piping; sludge mixing pumps; electrical tie ins.
PROJECT:	GAC Treatment facility
LOCATION:	Levittown, NY
OWNER:	AGVIG/ US Navy/ CH2M HILL
CONTACT:	Jim Nicotri 781-585-5724
VALUE:	\$2,534,000
COMPLETED:	7/15
DESCRIPTION:	Removals of all existing equipment; install GAC vessels; Waste tank; process pipe & valves; backwash pumps; chemical Systems; pressure testing; tie in to natural gas lines; rebuild Well pumps & motors.

PROJECT:	Improvements to Waste Water Pollution Plant
LOCATION:	Greenport
OWNER:	Inc. Village of Greenport
CONTACT:	Rich Hults (516) 364-9880
VALUE:	\$6,180,000
COMPLETED:	Completed 8/12
DESCRIPTION:	Dewater existing sludge facilities; install concrete Tanks; BNR system; new screening facilities; Ultra-Violet light disinfection systems; sludge holding tanks; aerobic holding tank; new clarifier internals.
PROJECT:	VOC Treatment at Plant 1
LOCATION:	South Farmingdale, NY
OWNER:	South Farmingdale Water District
CONTACT:	Rich Humann (631) 756-8000
VALUE:	\$6,747,000
COMPLETION:	Completed 7/11
DESCRIPTION:	Site work; removals; cast in place concrete; new VOC & Iron treatment bldg; air stripper & iron removal vessels; Mechanical piping; blowers & pumps; HVAC; metals.
PROJECT:	Packed Tower Aeration System
LOCATION:	Community Drive
OWNER:	Water Authority of Great Neck North
CONTACT:	Bill Merklin (516) 364-9880
VALUE:	\$4,578,000
COMPLETED:	Completed 6/13
DESCRIPTION:	Install packed aeration system; well pumps; piping; Control systems; site work; chemical equipment; asphalt; Sodium hypochlorite system; electrical.
PROJECT:	Construction of Locust Valley Sewer Pump Station
LOCATION:	Locust Valley
OWNER:	Nassau County
CONTACT:	Phil Campisi (516) 579-5856
VALUE:	\$1,695,000
COMPLETED:	Completed 6/11
DESCRIPTION:	Site clearing; construction pump bldg; comminutor & pumps; piping; wet well; fuel tank; odor control; Flow elements; electrical; HVAC; plumbing & start up

PROJECT: Digester Cover Gas Valve Improvements
LOCATION: Cedar Creek Water Pollution Plant
OWNER: Nassau County
CONTACT: Bob Petersen (516) 571-7389
VALUE: \$440,000
COMPLETED: Completed 6/11
DESCRIPTION: Replace valves & digester plugs in 9 digesters;
Repair Lightening Protection systems; replace
Stair assemblies as needed.

PROJECT: Granular Activated Carbon System
LOCATION: Plant 7- Contract G & P
OWNER: So Huntington water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,638,000
COMPLETED: Completed 1/14
DESCRIPTION: Removals; construction pump station bldg.; install
GAC treatment facility; fuel tank; chemical treatment
System; disinfection; GAC vessels; piping

PROJECT: Nitrate Removal at Plant 8
LOCATION: Hicksville
OWNER: Hicksville Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,405,000
COMPLETED: Completed 4/10
DESCRIPTION: Site Clearing; Fencing; Landscaping; Concrete; Masonry;
Carpentry; Waterproofing; Painting; Airstripping System;
Chemical Monitoring; Mechanical Piping

PROJECT: Nitrate Removal System
LOCATION: Well 4
OWNER: Town of North Hempstead & the Pt Washington water Dis.
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,404,000
COMPLETED: Completed 3/13
DESCRIPTION: Construction of nitrate system bldg.; paving; replace diesel
Engine; concrete; solenoid valves; GAC vessel coating;pumps

PROJECT: VOC Removal at Plant 4
LOCATION: East Farmingdale, NY
OWNER: Town of Babylon
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,051,000

COMPLETION: Completed 4/11
DESCRIPTION: Removals; new treatment bldg; mechanicals for New bldg; HVAC system; new chemical treatment System; site work; air stripping tower & assoc. equip

PROJECT: GAC Filter at Plant 15
LOCATION: South Huntington
OWNER: South Huntington Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,045,608
COMPLETED: Completed 2/10
DESCRIPTION: Site work; Concrete; Masonry; Stairs; Carpentry; Waterproofing; Modular Absorption System; Caustic; Chemical Monitoring Equip; Mechanical Piping; Electric.

PROJECT: GAC Treatment at Well 7
LOCATION: Roosevelt Field Water District
OWNER: Town of Hempstead
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,186,000
COMPLETION: Completed 4/11
DESCRIPTION: Replace well pump; construct new carbon treatment bldg; All mechanical piping & fittings; HVAC; new carbon absorption system.

PROJECT: VOC Removal at Plant 11
LOCATION: Greenlawn
OWNER: Greenlawn Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$1,197,000
COMPLETED: Completed 9/09
DESCRIPTION: Site clearing; Concrete; Masonry; Metals; Carpentry; Modular adsorption system; Mechanical; Electric.

PROJECT: Airstripping for VOC Removal at Well 1&2
LOCATION: Franklin Square
OWNER: Franklin Square Water District
CONTACT: Rich Humann (631)- 756-8000
VALUE: \$1,347,000
COMPLETED: Completed 8/09
DESCRIPTION: Site Clearing; excavation; paving; concrete; site Water lines; disinfection; leaching pool; landscaping; Floor coating; turbine pumps; air stripping tower; piping.

PROJECT: GAC Treatment for VOC Removal at Well 7
LOCATION: Garden City, NY
OWNER: Inc Vlg of Garden City
CONTACT: Rich Humann (631) 756-8000
VALUE: \$592,345
COMPLETED: 11/08
DESCRIPTION: Site clearing; site water lines; fencing; seeding; concrete;
Structural steel; metals; water treatment system; carbon;
Piping; modular absorption system; painting.

PROJECT: GAC Treatment for VOC Removal at Plant 11
LOCATION: Hicksville, NY
OWNER: Hicksville Water District
CONTACT: Thomas Carrahill (631) 756-8000
VALUE: \$560,000
COMPLETED: 10/08
DESCRIPTION: Site clearing; trenching; concrete; fencing; structural steel;
Modular absorption system; carbon; piping; valves

PROJECT: Swimming Pool Rehabilitation
LOCATION: Salisbury Ave.
OWNER: Inc. Village of Stewart Manor
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,378,075
COMPLETED: 6/08
DESCRIPTION: Replace filter bldg; concrete decks; pool gutter;
Landscaping; retaining wall; piping; paving; pool liner;
Refreshment stand; drainage structures; ventilation.

PROJECT: Packed Tower Aeration System at Well 12
LOCATION: Corporate Dr., Westbury
OWNER: Town of Hempstead & Roosevelt Field Water District
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,402,236
COMPLETED: 11/07
DESCRIPTION: Modify existing pump; construct new aeration bldg;
Install packed tower; pumps & blowers; electrical;
Control system; ventilation system.

PROJECT: Perchlorate Removal at Well BDG-1
LOCATION: Plainview Rd
OWNER: Bethpage Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$1,178,000

COMPLETED: 8/08
DESCRIPTION: Well head treatment; Site work; Concrete; Masonry;
Waterproofing; Modular Iron Exchange System; Piping

PROJECT: Packed Aeration System at Well 2A
LOCATION: Old Farm Rd
OWNER: Town of Hempstead & Levittown Water District
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,100,000
COMPLETED: 12/05
DESCRIPTION: Replace well pump& motor; build aeration bldg &
clearwell; install packed tower; piping; booster pump;
Ventilation equipment.

PROJECT: Airstripping for VOC removal at Plant 2
LOCATION: Whitson Rd
OWNER: Plainview Water District
CONTACT: Tony Trombino (631) 756-8600
VALUE: \$2,300,000
COMPLETED: 5/07
DESCRIPTION: Install air stripping tower; grading; piping; precast concrete;
Fencing; landscaping; masonry; concrete; carpentry; metal
work; vertical turbine pumps; IIVAC; backflow prevention.

c. ever been declared a non-responsible bidder by any municipality or public agency? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

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d. that has ever been barred from bidding municipal or public contracts? No

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

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11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Philip Carlsson	Pres	35+	all phases	owner

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	--	------------------	------------------

see List

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

None

(use additional blank sheets if additional space is necessary)

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PHILIP ROSS INDUSTRIES, INC.
200 LONG ISLAND AVE
WYANDANCH, NY 11798

Quantity	Make	Model	Equipment	Serial #
1	Hitachi	EX200LC	Hydraulic Excavator	14767886
1	Hitachi	EX50	Hydraulic Excavator	1BUP240227
1	Ideco	MES2500	Hydraulic Breaker	1157
1	JCB	1700B	Backhoe	333427
1	'87 Ford	555B	Backhoe	C773880
1	Ditch Witch	6510	Trencher	430807
1	Godwin	WP200	8" Well Point Pump	91488870
1	Godwin	WP200	8" Well Point Pump	90572275
1	Vermeer	88	Trencher	
1			Forklift	
1	Skidsteer	960	Loader	563128N
5			Office Trailers	
1	Grove		18-Ton Iron Crane	69708RT418
1	Cat	DC3XL	Dozer Crawler	4TS1013
1	Volvo	L120C	Loader	10924
3	Interstate	14RBS	Tag along Trailers	
1	Hitachi	2X35U5	Compact Excavator	

14. In what manner have you inspected this proposed work?
Explain in detail.

plans & specs

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

see plans & specs

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

Philip Canlucci

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
G.L.	Hanleyville ins	2 mill	11/17-11/18
AUTO	Hanleyville ins	1 mill	11/17-11/18
WORKERS COMP	STATE INS FUND	STATUTORY	12/17-12/18
Umbrella	ACE American	20 mill	11/17-11/18

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18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

A handwritten signature in dark ink, appearing to be 'J. H. Lee' or similar, written in a cursive style.

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Attachment 1
New York State Environmental Facilities Corporation
EQUAL EMPLOYMENT OPPORTUNITY POLICY STATEMENT
NEW YORK STATE REVOLVING FUND (SRF)

I, Philip Carlucci am the authorized representative of Philip Ross Ind. Inc.
Name of Representative Name of Contractor/Service Provider

I hereby certify that Philip Ross Ind. will abide by the equal employment
Name of Contractor/Service Provider

opportunity (EEO) policy statement provisions outlined below.

- (i) The Contractor will not discriminate on the basis of race, creed, color, national origin, sex, age, disability, or marital status against any employee or applicant for employment, will undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination and will make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on Contracts relating to SRF projects.
- (ii) The Contractor shall state in all solicitations or advertisements for employees that, in the performance of the Contract relating to this SRF project, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.
- (iii) The Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status, and that such union or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.
- (iv) The Contractor shall comply with the provisions of the Human Rights Law (Article 15 of the Executive Law), including those relating to non-discrimination on the basis of prior criminal conviction and prior arrest, and with all other State and federal statutory and constitutional non-discrimination provisions, including Titles VI and VII of the Civil Rights Act of 1964, 40 CFR Part 7, 41 CFR Part 60-1 Subpart A, 41 CFR Part 60-4, Section 504 of the Rehabilitation Act of 1973, and the Age Discrimination Act of 1975. The Contractor and Subcontractors shall not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status or domestic violence victim status.
- (v) The Contractor will include the provisions of subdivisions (i) through (iv) in every Subcontract in such a manner that the requirements of these subdivisions will be binding upon each Subcontractor as to work in connection with the Contract.

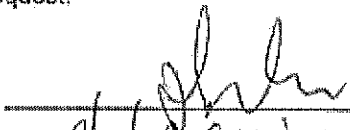
X


Contractor/Service Provider Representative

AIS CONTRACTOR CERTIFICATION
FOR CONSTRUCTION CONTRACTS PAID FOR WITH FUNDS FROM
THE NYS CLEAN WATER STATE REVOLVING FUND OR
THE NYS DRINKING WATER STATE REVOLVING FUND VIA THE
NYS ENVIRONMENTAL FACILITIES CORPORATION

Project Title: WATER QUALITY MITIGATION
Contractor's Name: Phil Ross Industries
Contract ID: 538311-NL
SRF Project #: _____
SRF Recipient Name: _____

I certify that the iron and steel products that will be permanently incorporated into the public water system or wastewater treatment works project under this construction contract will have been produced in the United States, in accordance with the requirements of the US Environmental Protection Agency. I will also develop and maintain at the project location the necessary documentation to demonstrate that the iron and steel products incorporated into the project were produced in the United States, and make such documentation available to The NYS Environmental Facilities Corporation or their authorized representatives, upon request.

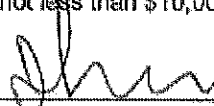
Signature: 
Name (print): Phil Canbucci
Title: President
Date: 12/12/17

Attachment 9
New York State Environmental Facilities Corporation
CERTIFICATION REGARDING LOBBYING
FOR
CONTRACTS, GRANTS, LOANS, AND
COOPERATIVE AGREEMENTS
40 CFR Part 34
SRF Project No.: S3P311-11G

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

By: 
Name: Philip Carlucci
Title: President
Date: 12/12/2017
Contract ID: S3P311-11G

AIS CONTRACTOR CERTIFICATION
FOR CONSTRUCTION CONTRACTS PAID FOR WITH FUNDS FROM
THE NYS CLEAN WATER STATE REVOLVING FUND OR
THE NYS DRINKING WATER STATE REVOLVING FUND VIA THE
NYS ENVIRONMENTAL FACILITIES CORPORATION

Project Title: Pump Station Mitigation: Roslyn Village, Morgan Park and Shore

Contractor's Name: Philip Ross Industries, Inc. Road Pump Stations

Contract ID: S3P311-11G

SRF Project #: _____

SRF Recipient Name: _____

I certify that the iron and steel products that will be permanently incorporated into the public water system or wastewater treatment works project under this construction contract will have been produced in the United States, in accordance with the requirements of the US Environmental Protection Agency. I will also develop and maintain at the project location the necessary documentation to demonstrate that the iron and steel products incorporated into the project were produced in the United States, and make such documentation available to The NYS Environmental Facilities Corporation or their authorized representatives, upon request.

Signature: _____

Name (print): _____

Title: _____

Date: _____

Philip Carlucci

President

12/12/2017

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE¹ subcontractors² and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name Philip Ross Industries, Inc.		Project Name Pump Station Mitigation		Pump
Bid/ Proposal No. S3P311-11G		Assistance Agreement ID No. (if known)		
Address 200 Long Island Ave. Wyandanch, NY 11798		Point of Contact Philip Carlucci		Stations
Telephone No. 631-253-3077		Email Address		
Issuing/Funding Entity:				

I have identified potential DBE certified subcontractors		<input checked="" type="radio"/> YES		<input type="radio"/> NO	
If yes, please complete the table below. If no, please explain:					
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?		
Orange Floodways	44 B-s-into Plaza Old Tappan, NJ	\$277,815	NYS WBE		
Dann Construction	870 Sylvan Ave Bayport, NY	\$107,000	NYS WBE		
MACE Contracting CO	35 Postman Rd New Rochelle NY	\$300,000	NYS MBE		

Continue on back if needed

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

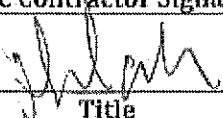
² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Utilization Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
	Philip Carlucci
Title	Date
President	12/12/2017

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Participation Form

An EPA Financial Assistance Agreement Recipient must require its prime contractors to provide this form to its DBE subcontractors. This form gives a DBE¹ subcontractor² the opportunity to describe work received and/or report any concerns regarding the EPA-funded project (e.g., in areas such as termination by prime contractor, late payments, etc.). The DBE subcontractor can, as an option, complete and submit this form to the EPA DBE Coordinator at any time during the project period of performance.

Subcontractor Name <i>Orange Flood</i>		Project Name <i>PUMP STATION MITIGATION</i>	
Bid/Proposal No. <i>539311-116</i>	Assistance Agreement ID No. (if known)	Point of Contact	
Address <i>48 BISTATE PLAZA - OLD TAPPAN NJ</i>			
Telephone No. <i>201-664-1054</i>		Email Address	
Prime Contractor Name <i>Phil Ross Industries Inc</i>		Issuing/Funding Entity:	

Contract Item Number	Description of Work Received from the Prime Contractor Involving Construction, Services, Equipment or Supplies	Amount Received by Prime Contractor
1	Flood proof Doors	\$237,815

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² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



OMB Control No: 2090-0030

Approved: 8/13/2013

Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Participation Form

Please use the space below to report any concerns regarding the above EPA-funded project:

Subcontractor Signature	Print Name
<i>[Signature]</i>	KIRA McEDOWN-ADAMS
Title	Date
CEO of Orange Food Control, LLC	12.11.17

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Participation Form

An EPA Financial Assistance Agreement Recipient must require its prime contractors to provide this form to its DBE subcontractors. This form gives a DBE¹ subcontractor² the opportunity to describe work received and/or report any concerns regarding the EPA-funded project (e.g., in areas such as termination by prime contractor, late payments, etc.). The DBE subcontractor can, as an option, complete and submit this form to the EPA DBE Coordinator at any time during the project period of performance.

Subcontractor Name <i>Dana Construction Corp</i>		Project Name <i>Pumpstation Mitigation</i>	
Bid/Proposal No. <i>538311-116</i>	Assistance Agreement ID No. (if known)		Point of Contact
Address <i>810 Sylvan Ave, Bayport NY</i>			
Telephone No. <i>631-418-1801</i>		Email Address	
Prime Contractor Name <i>Philco 2044 Industries Inc</i>		Issuing/Funding Entity:	

Contract Item Number	Description of Work Received from the Prime Contractor Involving Construction, Services, Equipment or Supplies	Amount Received by Prime Contractor
1	Site work, Demolition, Waste Removal	\$107,000

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Participation Form

Please use the space below to report any concerns regarding the above EPA-funded project:

Subcontractor Signature	Print Name
<i>Maureen Cannetti</i>	MAUREEN CANNETTI
Title	Date
Pres.	12/11/17

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Participation Form**

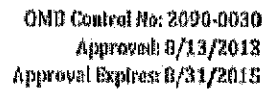
An EPA Financial Assistance Agreement Recipient must require its prime contractors to provide this form to its DBE subcontractors. This form gives a DBE¹ subcontractor² the opportunity to describe work received and/or report any concerns regarding the EPA-funded project (e.g., in areas such as termination by prime contractor, late payments, etc.). The DBE subcontractor can, as an option, complete and submit this form to the EPA DBE Coordinator at any time during the project period of performance.

Subcontractor Name <i>ANPE CONTRACTING CO</i>		Project Name <i>PUMP STATION MITIGATION</i>
Bid/ Proposal No. <i>531311-116</i>	Assistance Agreement ID No. (if known)	Point of Contact
Address <i>35 Pontmain Rd. New Rochelle NY</i>		
Telephone No. <i>914-576-0606</i>	Email Address	
Prime Contractor Name <i>And. Ross Industries Inc</i>	Issuing/Funding Entity:	

Contract Item Number	Description of Work Received from the Prime Contractor Involving Construction, Services, Equipment or Supplies	Amount Received by Prime Contractor
1	Mechanical	\$300,000

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² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



Please use the space below to report any concerns regarding the above EPA-funded project:

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE BID		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1	Lump Sum	Base Bid for furnishing all labor, materials and equipment required for all Construction work at Roslyn Village, Morgan Park, and Shore Road Pump Stations as specified and shown on the drawings, complete and ready for operation. <i>Eight Hundred Dollars</i> DOLLARS CENTS	N/A	N/A	2,980.00	-
2	75 Cubic Yards	For extra earth excavation beyond the limits of the Contract Drawings and Specifications, as ordered by the County. <i>Two hundred Twenty</i> DOLLARS CENTS	120	-	9,000	-
3	125 Cubic Yards	For select fill from outside sources (per the Contract Drawings and Specifications), as ordered by the County. <i>Fifty or zero</i> DOLLARS CENTS	50	-	6,250	-
4	Allowance	For furnishing all labor, materials, equipment and incidentals for Miscellaneous Additional Work, as ordered by the County. Fifty Thousand Dollars No DOLLARS CENTS	N/A	N/A	\$50,000	00

++ NO TEXT ON THIS PAGE ++

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE BID		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
5	Allowance	<p>For furnishing all labor, materials, equipment and incidentals for the removal, rebuilding and reinstallation of the Shore Road Pump Station Main Sewage Pumps.</p> <p>Fifty Thousand Dollars No</p> <p>DOLLARS CENTS</p>	N/A	N/A	\$50,000	00
<p>TOTAL BASE BID (Bid Item Nos. 1 thru 5)</p> <p>\$ 3095,250.00</p> <p>TOTAL BASE BID (Bid Item Nos. 1 thru 5): MUST BE WRITTEN IN WORDS:</p> <p>Three million, ninety five thousand, two hundred</p> <p>DOLLARS & fifty Dollars & 20 cents CENTS</p>						

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ALLOWANCES: It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. 4: An Allowance of fifty thousand dollars (\$50,000) for Miscellaneous Additional Work.
2. Item No. 5: An Allowance of fifty thousand dollars (\$50,000) for removal, rebuilding and reinstallation of the Shore Road Pump Station Main Sewage Pumps.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and Section 01150, Measurement and Payment

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID: Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances.

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MAJOR EQUIPMENT ITEMS: The Bidder shall fill the name and address of the proposed system suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

<u>Specification Number</u>	<u>Description</u>	<u>Manufacturer and/or Supplier</u>
08390	Flood Doors	Orange Flood Doors on Equal
11530	Gas Detection System	MSA on Equal
11600	Fuel Tank Leak and Level Detection System	Veeder Root on Equal
15341	Hydraulic Grinder System	GP Sauer, Red Lion on Equal
15999	Sump Pumps	Goulds pump, Zoeller on Equal
16927	Sump Pump Control Panel	E G Controls on Equal

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U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Philip Carlucci, Pres
Name and Title of Authorized Representative

[Signature]
Signature

5/6/88
Date

Philip Ross Industries Inc
Name of Organization

88 Dwyer Rd; Suite 204, Melville NY 11747
Address of Organization

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 33

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 33/15

b. as a Subcontractor 33/15

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please see attached

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please see attached

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? No
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

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Philip Ross Industries, Inc.
200 Long Island Avenue
Wyandanch, NY 11798
(631) 253-3077

CERTIFIED NY STATE DEPT OF ENVIRONMENTAL CONSERVATION
WELL DRILLER SINCE 1997 (LICENSE # 1776)

PROJECT REFERENCES

PROJECT:	Wellhead Treatment at Plant 5
LOCATION:	Locust Valley, NY
OWNER:	Locust Valley water District
CONTACT:	Dustin Rigos (631) 756-8000
VALUE:	\$1,289,000
COMPLETED:	6/15
DESCRIPTION:	Install GAC treatment system for VOC removal. Includes 2 GAC vessels; valves; associated piping & construction of Building extension to house the treatment system.
PROJECT:	Emergency Repairs to Water Pollution Control Plant
LOCATION:	Long Beach, NY
OWNER:	City of Long Beach
CONTACT:	Mark Rauber (516) 827-4900
VALUE:	\$1,513,670
COMPLETED:	12/15
DESCRIPTION:	Removals of existing equipment; install new influent flow Meter system; install level control system; replace sluice gate actuators & clarifier drive shafts; wet well inlet piping; sludge mixing pumps; electrical tie ins.
PROJECT:	GAC Treatment facility
LOCATION:	Levittown, NY
OWNER:	AGV1Q/ US Navy/ CH2M HILL
CONTACT:	Jim Nicotri 781-585-5724
VALUE:	\$2,534,000
COMPLETED:	7/15
DESCRIPTION:	Removals of all existing equipment; install GAC vessels; Waste tank; process pipe & valves; backwash pumps; chemical Systems; pressure testing; tie in to natural gas lines; rebuild Well pumps & motors.

PROJECT:	Improvements to Waste Water Pollution Plant
LOCATION:	Greenport
OWNER:	Inc. Village of Greenport
CONTACT:	Rich Hults (516) 364-9880
VALUE:	\$6,180,000
COMPLETED:	Completed 8/12
DESCRIPTION:	Dewater existing sludge facilities; install concrete Tanks; BNR system; new screening facilities; Ultra-Violet light disinfection systems; sludge holding tanks; aerobic holding tank; new clarifier internals.
PROJECT:	VOC Treatment at Plant 1
LOCATION:	South Farmingdale, NY
OWNER:	South Farmingdale Water District
CONTACT:	Rich Humann (631) 756-8000
VALUE:	\$6,747,000
COMPLETION:	Completed 7/11
DESCRIPTION:	Site work; removals; cast in place concrete; new VOC & Iron treatment bldg; air stripper & iron removal vessels; Mechanical piping; blowers & pumps; HVAC; metals.
PROJECT:	Packed Tower Aeration System
LOCATION:	Community Drive
OWNER:	Water Authority of Great Neck North
CONTACT:	Bill Merklin (516) 364-9880
VALUE:	\$4,578,000
COMPLETED:	Completed 6/13
DESCRIPTION:	Install packed aeration system; well pumps; piping; Control systems; site work; chemical equipment; asphalt; Sodium hypochlorite system; electrical.
PROJECT:	Construction of Locust Valley Sewer Pump Station
LOCATION:	Locust Valley
OWNER:	Nassau County
CONTACT:	Phil Campisi (516) 579-5856
VALUE:	\$1,695,000
COMPLETED:	Completed 6/11
DESCRIPTION:	Site clearing; construction pump bldg; comminutor & pumps; piping; wet well; fuel tank; odor control; Flow elements; electrical; HVAC; plumbing & start up

PROJECT: Digester Cover Gas Valve Improvements
LOCATION: Cedar Creek Water Pollution Plant
OWNER: Nassau County
CONTACT: Bob Petersen (516) 571-7389
VALUE: \$440,000
COMPLETED: Completed 6/11
DESCRIPTION: Replace valves & digester plugs in 9 digesters;
Repair Lightening Protection systems; replace
Stair assemblies as needed.

PROJECT: Granular Activated Carbon System
LOCATION: Plant 7- Contract G & P
OWNER: So Huntington water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,638,000
COMPLETED: Completed 1/14
DESCRIPTION: Removals; construction pump station bldg.; install
GAC treatment facility; fuel tank; chemical treatment
System; disinfection; GAC vessels; piping

PROJECT: Nitrate Removal at Plant 8
LOCATION: Hicksville
OWNER: Hicksville Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,405,000
COMPLETED: Completed 4/10
DESCRIPTION: Site Clearing; Fencing; Landscaping; Concrete; Masonry;
Carpentry; Waterproofing; Painting; Airstripping System;
Chemical Monitoring; Mechanical Piping

PROJECT: Nitrate Removal System
LOCATION: Well 4
OWNER: Town of North Hempstead & the Pt Washington water Dis.
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,404,000
COMPLETED: Completed 3/13
DESCRIPTION: Construction of nitrate system bldg.; paving; replace diesel
Engine; concrete; solenoid valves; GAC vessel coating;pumps

PROJECT: VOC Removal at Plant 4
LOCATION: East Farmingdale, NY
OWNER: Town of Babylon
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,051,000

COMPLETION: Completed 4/11
DESCRIPTION: Removals; new treatment bldg; mechanicals for
New bldg; HVAC system; new chemical treatment
System; site work; air stripping tower & assoc. equip

PROJECT: GAC Filter at Plant 15
LOCATION: South Huntington
OWNER: South Huntington Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,045,608
COMPLETED: Completed 2/10
DESCRIPTION: Site work; Concrete; Masonry; Stairs; Carpentry;
Waterproofing; Modular Absorption System; Caustic;
Chemical Monitoring Equip; Mechanical Piping; Electric.

PROJECT: GAC Treatment at Well 7
LOCATION: Roosevelt Field Water District
OWNER: Town of Hempstead
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,186,000
COMPLETION: Completed 4/11
DESCRIPTION: Replace well pump; construct new carbon treatment bldg;
All mechanical piping & fittings; HVAC; new carbon
absorption system.

PROJECT: VOC Removal at Plant 11
LOCATION: Greenlawn
OWNER: Greenlawn Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$1,197,000
COMPLETED: Completed 9/09
DESCRIPTION: Site clearing; Concrete; Masonry; Metals; Carpentry;
Modular adsorption system; Mechanical; Electric.

PROJECT: Airstripping for VOC Removal at Well1&2
LOCATION: Franklin Square
OWNER: Franklin Square Water District
CONTACT: Rich Humann (631)- 756-8000
VALUE: \$1,347,000
COMPLETED: Completed 8/09
DESCRIPTION: Site Clearing; excavation; paving; concrete; site
Water lines; disinfection; leaching pool; landscaping;
Floor coating; turbine pumps; air stripping tower; piping.

PROJECT: GAC Treatment for VOC Removal at Well 7
LOCATION: Garden City, NY
OWNER: Inc Vlg of Garden City
CONTACT: Rich Humann (631) 756-8000
VALUE: \$592,345
COMPLETED: 11/08
DESCRIPTION: Site clearing; site water lines; fencing; seeding; concrete;
Structural steel; metals; water treatment system; carbon;
Piping; modular absorption system; painting.

PROJECT: GAC Treatment for VOC Removal at Plant 11
LOCATION: Hicksville, NY
OWNER: Hicksville Water District
CONTACT: Thomas Carrahill (631) 756-8000
VALUE: \$560,000
COMPLETED: 10/08
DESCRIPTION: Site clearing; trenching; concrete; fencing; structural steel;
Modular absorption system; carbon; piping; valves

PROJECT: Swimming Pool Rehabilitation
LOCATION: Salisbury Ave.
OWNER: Inc. Village of Stewart Manor
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,378,075
COMPLETED: 6/08
DESCRIPTION: Replace filter bldg; concrete decks; pool gutter;
Landscaping; retaining wall; piping; paving; pool liner;
Refreshment stand; drainage structures; ventilation.

PROJECT: Packed Tower Aeration System at Well 12
LOCATION: Corporate Dr., Westbury
OWNER: Town of Hempstead & Roosevelt Field Water District
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,402,236
COMPLETED: 11/07
DESCRIPTION: Modify existing pump; construct new aeration bldg;
Install packed tower; pumps & blowers; electrical;
Control system; ventilation system.

PROJECT: Perchlorate Removal at Well BDG-1
LOCATION: Plainview Rd
OWNER: Bethpage Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$1,178,000

COMPLETED: 8/08
DESCRIPTION: Well head treatment; Site work; Concrete; Masonry;
Waterproofing; Modular Iron Exchange System; Piping

PROJECT: Packed Aeration System at Well 2A
LOCATION: Old Farm Rd
OWNER: Town of Hempstead & Levittown Water District
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,100,000
COMPLETED: 12/05
DESCRIPTION: Replace well pump& motor; build aeration bldg &
clearwell; install packed tower; piping; booster pump;
Ventilation equipment.

PROJECT: Airstripping for VOC removal at Plant 2
LOCATION: Whitson Rd
OWNER: Plainview Water District
CONTACT: Tony Trombino (631) 756-8600
VALUE: \$2,300,000
COMPLETED: 5/07
DESCRIPTION: Install air stripping tower; grading; piping; precast concrete;
Fencing; landscaping; masonry; concrete; carpentry; metal
work; vertical turbine pumps; IIVAC; backflow prevention.

c. ever been declared a non-responsible bidder by any municipality or public agency? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

NO TEXT ON THIS PAGE

d. that has ever been barred from bidding municipal or public contracts? No

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

NO TEXT ON THIS PAGE

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Philip Carlson	Pres	35+	all phases	owner

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	--	------------------	------------------

see List

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

None

(use additional blank sheets if additional space is necessary)

NO TEXT ON THIS PAGE

PHILIP ROSS INDUSTRIES, INC.
200 LONG ISLAND AVE
WYANDANCH, NY 11798

Quantity	Make	Model	Equipment	Serial #
1	Hitachi	EX200LC	Hydraulic Excavator	14767886
1	Hitachi	EX50	Hydraulic Excavator	1BUP240227
1	Ideco	MES2500	Hydraulic Breaker	1157
1	JCB	1700B	Backhoe	333427
1	'87 Ford	555B	Backhoe	C773880
1	Ditch Witch	6510	Trencher	430807
1	Godwin	WP200	8" Well Point Pump	91488870
1	Godwin	WP200	8" Well Point Pump	90572275
1	Vermeer	88	Trencher	
1			Forklift	
1	Skidsteer	960	Loader	563128N
5			Office Trailers	
1	Grove		18 Ton Iron Crane	69708RT418
1	Cat	DC3XL	Dozer Crawler	4TS1013
1	Volvo	L120C	Loader	10924
3	Interstate	14RBS	Tag along Trailers	
1	Hitachi	2X35U5	Compact Excavator	

14. In what manner have you inspected this proposed work?
Explain in detail.

plans & specs

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

see plans & specs

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

Philip Canlucci

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
G.L.	Hanleyville ins	2 mill	11/17-11/18
AUTO	Hanleyville ins	1 mill	11/17-11/18
Workers Comp	State ins fund	STATUTORY	12/17-12/18
Umbrella	Ace American	20 mill	11/17-11/18

NO TEXT ON THIS PAGE

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

A handwritten signature in dark ink, appearing to be 'J. H. Lee' or similar, written in a cursive style.

NO TEXT ON THIS PAGE

Attachment 1
New York State Environmental Facilities Corporation
EQUAL EMPLOYMENT OPPORTUNITY POLICY STATEMENT
NEW YORK STATE REVOLVING FUND (SRF)

I, Philip Carlucci am the authorized representative of Philip Ross Ind. Inc.
Name of Representative Name of Contractor/Service Provider

I hereby certify that Philip Ross Ind. will abide by the equal employment
Name of Contractor/Service Provider

opportunity (EEO) policy statement provisions outlined below.

- (i) The Contractor will not discriminate on the basis of race, creed, color, national origin, sex, age, disability, or marital status against any employee or applicant for employment, will undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination and will make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on Contracts relating to SRF projects.
- (ii) The Contractor shall state in all solicitations or advertisements for employees that, in the performance of the Contract relating to this SRF project, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.
- (iii) The Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status, and that such union or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.
- (iv) The Contractor shall comply with the provisions of the Human Rights Law (Article 15 of the Executive Law), including those relating to non-discrimination on the basis of prior criminal conviction and prior arrest, and with all other State and federal statutory and constitutional non-discrimination provisions, including Titles VI and VII of the Civil Rights Act of 1964, 40 CFR Part 7, 41 CFR Part 60-1 Subpart A, 41 CFR Part 60-4, Section 504 of the Rehabilitation Act of 1973, and the Age Discrimination Act of 1975. The Contractor and Subcontractors shall not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status or domestic violence victim status.
- (v) The Contractor will include the provisions of subdivisions (i) through (iv) in every Subcontract in such a manner that the requirements of these subdivisions will be binding upon each Subcontractor as to work in connection with the Contract.

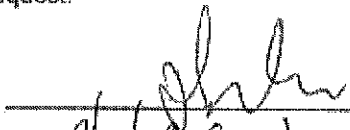
X


Contractor/Service Provider Representative

AIS CONTRACTOR CERTIFICATION
FOR CONSTRUCTION CONTRACTS PAID FOR WITH FUNDS FROM
THE NYS CLEAN WATER STATE REVOLVING FUND OR
THE NYS DRINKING WATER STATE REVOLVING FUND VIA THE
NYS ENVIRONMENTAL FACILITIES CORPORATION

Project Title: WASTEWATER MITIGATION
Contractor's Name: Phil Ross Industries
Contract ID: 538311-16
SRF Project #: _____
SRF Recipient Name: _____

I certify that the iron and steel products that will be permanently incorporated into the public water system or wastewater treatment works project under this construction contract will have been produced in the United States, in accordance with the requirements of the US Environmental Protection Agency. I will also develop and maintain at the project location the necessary documentation to demonstrate that the iron and steel products incorporated into the project were produced in the United States, and make such documentation available to The NYS Environmental Facilities Corporation or their authorized representatives, upon request.

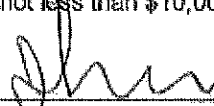
Signature: 
Name (print): Phil P. Canhucco
Title: President
Date: 12/12/17

Attachment 9
New York State Environmental Facilities Corporation
CERTIFICATION REGARDING LOBBYING
FOR
CONTRACTS, GRANTS, LOANS, AND
COOPERATIVE AGREEMENTS
40 CFR Part 34
SRF Project No.: S3P311-11G

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

By: 
Name: Philip Carlucci
Title: President
Date: 12/12/2017
Contract ID: S3P311-11G

AIS CONTRACTOR CERTIFICATION
FOR CONSTRUCTION CONTRACTS PAID FOR WITH FUNDS FROM
THE NYS CLEAN WATER STATE REVOLVING FUND OR
THE NYS DRINKING WATER STATE REVOLVING FUND VIA THE
NYS ENVIRONMENTAL FACILITIES CORPORATION

Project Title: Pump Station Mitigation: Roslyn Village, Morgan Park and Shore
Contractor's Name: Philip Ross Industries, Inc. Road Pump Stations
Contract ID: S3P311-11G
SRF Project #: _____
SRF Recipient Name: _____

I certify that the iron and steel products that will be permanently incorporated into the public water system or wastewater treatment works project under this construction contract will have been produced in the United States, in accordance with the requirements of the US Environmental Protection Agency. I will also develop and maintain at the project location the necessary documentation to demonstrate that the iron and steel products incorporated into the project were produced in the United States, and make such documentation available to The NYS Environmental Facilities Corporation or their authorized representatives, upon request.

Signature: _____

Name (print): _____

Title: _____

Date: _____

Philip Carlucci

President

12/12/2017

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE¹ subcontractors² and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name Philip Ross Industries, Inc.		Project Name Pump Station Mitigation	
Bid/ Proposal No. S3P311-11G	Assistance Agreement ID No. (if known)	Point of Contact Philip Carlucci	Roslyn Village, Morgan Park & Shore Rd Stations
Address 200 Long Island Ave. Wyandanch, NY 11798			
Telephone No. 631-253-3077		Email Address	
Issuing/Funding Entity:			

Pump

I have identified potential DBE certified subcontractors	<input checked="" type="radio"/> YES	<input type="radio"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
Orange Flood Walls	44 Bi-Slate Plaza Old Tappan, NJ	\$277,815	NYS WBE
Dann Construction	870 Sylvan Ave Bayport, NY	\$107,000	NYS WBE
Mace Contracting Co	35 Postman Rd New Rochelle NY	\$300,000	NYS MBE

Continue on back if needed

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

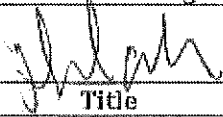
² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Utilization Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
	Philip Carlucci
Title	Date
President	12/12/2017

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Participation Form

An EPA Financial Assistance Agreement Recipient must require its prime contractors to provide this form to its DBE subcontractors. This form gives a DBE¹ subcontractor² the opportunity to describe work received and/or report any concerns regarding the EPA-funded project (e.g., in areas such as termination by prime contractor, late payments, etc.). The DBE subcontractor can, as an option, complete and submit this form to the EPA DBE Coordinator at any time during the project period of performance.

Subcontractor Name <i>Orange Flood</i>		Project Name <i>Pump Station Mitigation</i>	
Bid/ Proposal No. <i>539311-116</i>	Assistance Agreement ID No. (if known)	Point of Contact	
Address <i>48 Bi State Plaza - Old Tappan NJ</i>			
Telephone No. <i>201-664-1054</i>		Email Address	
Prime Contractor Name <i>Phil Ross Industries Inc</i>		Issuing/Funding Entity:	

Contract Item Number	Description of Work Received from the Prime Contractor Involving Construction, Services, Equipment or Supplies	Amount Received by Prime Contractor
1	Flood proof Doors	\$237,815

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² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



OMB Control No: 2090-0030

Approved: 8/13/2013

Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Participation Form

Please use the space below to report any concerns regarding the above EPA-funded project:

Subcontractor Signature	Print Name
<i>[Signature]</i>	KIRA McEDOWN-ADAMS
Title	Date
CEO of Orange Food Center, LLC	12.11.17

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Participation Form

An EPA Financial Assistance Agreement Recipient must require its prime contractors to provide this form to its DBE subcontractors. This form gives a DBE¹ subcontractor² the opportunity to describe work received and/or report any concerns regarding the EPA-funded project (e.g., in areas such as termination by prime contractor, late payments, etc.). The DBE subcontractor can, as an option, complete and submit this form to the EPA DBE Coordinator at any time during the project period of performance.

Subcontractor Name <i>Dana Construction Corp</i>		Project Name <i>Pumpstation Mitigation</i>	
Bid/ Proposal No. <i>538311-116</i>	Assistance Agreement ID No. (if known)		Point of Contact
Address <i>870 Sylvan Ave., Bayport NY</i>			
Telephone No. <i>631-418-1801</i>		Email Address	
Prime Contractor Name <i>Phil Rogg Industries Inc</i>		Issuing/Funding Entity:	

Contract Item Number	Description of Work Received from the Prime Contractor Involving Construction, Services, Equipment or Supplies	Amount Received by Prime Contractor
1	Site work, Demolition, Waste Removal	\$107,000

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Participation Form

Please use the space below to report any concerns regarding the above EPA-funded project:

Subcontractor Signature	Print Name
<i>Maurice Cannetti</i>	MAURICE CANNETTI
Title	Date
Pres.	12/11/17

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.



OMB Control No: 2090-0030

Approved: 8/13/2013

Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Participation Form

An EPA Financial Assistance Agreement Recipient must require its prime contractors to provide this form to its DBE subcontractors. This form gives a DBE¹ subcontractor² the opportunity to describe work received and/or report any concerns regarding the EPA-funded project (e.g., in areas such as termination by prime contractor, late payments, etc.). The DBE subcontractor can, as an option, complete and submit this form to the EPA DBE Coordinator at any time during the project period of performance.

Subcontractor Name <i>ANPER CONTRACTING CO</i>		Project Name <i>PUMP STATION MITIGATION</i>	
Bid/Proposal No. <i>531311-116</i>	Assistance Agreement ID No. (If known)	Point of Contact	
Address <i>35 PONTMAD RD. NEW ROCHELLE NY</i>			
Telephone No. <i>914-576-0606</i>		Email Address	
Prime Contractor Name <i>Phil & Ross Industries Inc</i>		Issuing/Funding Entity:	

Contract Item Number	Description of Work Received from the Prime Contractor Involving Construction, Services, Equipment or Supplies	Amount Received by Prime Contractor
1	Mechanical	\$300,000

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 39.204-39.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 39.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



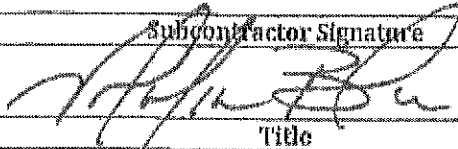
OMB Control No: 2090-0030

Approved: 8/13/2013

Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Participation Form

Please use the space below to report any concerns regarding the above EPA-funded project:

Subcontractor Signature 	Print Name VLADIMIR BLAISE
Title PRESIDENT	Date 12/11/2017

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form
(Revised 09/2014)

SECTION 1: MUNICIPAL INFORMATION			
Recipient/Municipality:	County:		
SRF Project No.:	GIGP No.:	Contract ID:	Registration No. (NYC only):
Minority Business Officer:	Email:	Phone #:	
Address of MBO:			
Signature of MBO: (Required even if Authorized Rep. is filled out)			
<input type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief.			
<i>Complete if applicable: MBO may authorize representative to complete & submit quarterly payment reports.</i>			
Authorized Representative:	Title:		Date:
Authorized Rep. Company:	Email:	Phone #:	
Electronic Signature of Authorized Rep.:			
<input type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief.			
Date:			

SECTION 2: PRIME CONTRACTOR / SERVICE PROVIDER INFORMATION			
If contract is performed through an MWBE Joint Venture or Teaming Arrangement please submit the additional form found at www.efc.ny.gov/mwbe			
Firm Name: PHILIP ROSS INDUSTRIES INC	Contract Type: <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Other Services		
Prime Firm is Certified as: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> N/A <input type="checkbox"/> Other:			
Please repeat information in the Utilization Plan below (Section 3). If dual certified, you must select either MBE or WBE.			
Address: 88 DURYEA RD; SUITE 204; MELVILLE, NY 11747	Phone #: 631-253-3077	Fed. Employer ID #: 11-2707717	
Description of Work: PUMP STATION MITIGATION WORK 11G			
Award Date:	Start Date:	Completion Date:	
Total Contract Amount: \$ 3,095,250.00	MWBE GOAL Total		
MWBE Eligible Contract Amount: \$ 619,050.00	MBE: 10%	\$ 309,525	PROPOSED MWBE Participation
(MWBE Goals are applied to this amount and includes all change orders, amendments, & waivers)	WBE: 10%	\$ 309,525	MBE: 10% \$ 333,000
	Total: 20%	\$ 619,050.00	WBE: 16% \$ 475,715
			Total: 26% \$ 808,715.00
If waivers are requested, documentation must be attached: <input type="checkbox"/> Full Waiver (No Participation) <input type="checkbox"/> Partial Waiver (Short of the MWBE Goal)			
<input type="checkbox"/> Specialty Equipment/Services Waiver (must be of SIGNIFICANT cost - list of equipment and cost & good faith effort documentation must be attached)			
Electronic Signature of Contractor: <input checked="" type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and that all MWBE subcontractors will perform a commercially useful function.			
Name (Please Type): PHILIP CARLUCCI			Date: 3/1/18

Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form
(Revised 09/2014)

SECTION 3: UTILIZATION PLAN					
This Submittal is:	<input checked="" type="checkbox"/> The First/Original Utilization Plan	<input type="checkbox"/> Revised Utilization Plan #:	Contract Amount:		For EFC Use:
NYS Certified M/WBE Contractor & Subcontractor Info (MBO to check certifications)			MBE (\$)	WBE (\$)	
Name: MACE CONTRACTING CORP.			Fed. Employer ID#: 13-3884948		
Address: 35 PORTMAN RD; NEW ROCHELLE, NY			Phone #: 914-576-0606		
Scope of Work: MECHANICAL			Email: vladimir@macecontracting.com		
Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:			Start Date:		
Full Contract Amount: \$ 320,000			Completion Date:		
			320,000		
Name: ARCHITECTURAL SIGNS GROUP			Fed. Employer ID#: 11-3596696		
Address: 145 MEACHEM AVE; ELMONT, NY			Phone #: 516-326-1800		
Scope of Work: BUILDING SIGNS			Email: ajaffer@archsignsgroup.com		
Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:			Start Date:		
Full Contract Amount: \$ 3,000			Completion Date:		
			3,000		
Name: A. JONES PHOTOGRAPHY			Fed. Employer ID#: 27-4813823		
Address: 135-06 DENIS ST; JAMAICA, NY			Phone #: 917-755-8177		
Scope of Work: PROJECT PROGRESS PHOTOS			Email:		
Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:			Start Date:		
Full Contract Amount: \$ 10,000			Completion Date:		
			10,000		
Name: CASSONE LEASING			Fed. Employer ID#: 11-2396808		
Address: 1950 LAKE LAND AVE; RONKONKOMA			Phone #: 631-585-7800		
Scope of Work: SITE TRAILERS			Email: jduva@cassone.com		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:			Start Date:		
Full Contract Amount: \$ 30,000			Completion Date:		
			30,000		
Name: ORANGE FLOOD CONTROL DOORS			Fed. Employer ID#: 47-2719035		
Address: 48 BI-STATE PLAZA; OLD TAPPAN, NJ			Phone #: 201-746-0921		
Scope of Work: FLOOD CONTROL DOORS			Email: r.adamo@orangecontrol.com		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:			Start Date:		
Full Contract Amount: \$ 237,815			Completion Date:		
			237,815		

Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form
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NYS Certified M/WBE Contractor & Subcontractor Info (MBO to check certifications)		Contract Amount:		For EFC Use:
		MBE (\$)	WBE (\$)	
Name: RODBUSTERS		Fed. Employer ID#: 11-3140842		
Address: 36 BROADMOOR LANE, WESTBURY, NY		Phone #: 516-333-7604		
Scope of Work: REBAR		Email:		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$ 60,000		Completion Date:		
Name: DARR CONSTRUCTION CORP		Fed. Employer ID#: 11-2607941		107,900
Address: 870 SYLVAN AVE, BAYPORT, NY		Phone #: 631-419-1801		
Scope of Work: EXCAVATION & DUMPSTERS		Email:		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$ 107,900		Completion Date:		
Name: BENDLIN		Fed. Employer ID#:		20,000
Address: 1037 RTE 46, CLIFTON, NJ		Phone #: 973-574-0000		
Scope of Work: GRINDERS		Email:		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$ 20,000		Completion Date:		
Name: AVILA CONSULTANTS		Fed. Employer ID#: 01-0794028		20,000
Address: 116 FAIRFIELD RD, FAIRFIELD, NJ		Phone #: 973-575-6327		
Scope of Work: CONSTRUCTION SCHEDULE		Email:		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$ 20,000		Completion Date:		
Name:		Fed. Employer ID#:		
Address:		Phone #:		
Scope of Work:		Email:		
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		

Additional Pages can be found under MWBE Materials at www.efc.ny.gov/mwbe