



B-15-19

NIFS ID: Department: Public Works

Capital: X

SERVICE: S35121-16G Secondary Flood Prot. & Hardening-B15-19

Contract ID #:S35121-16G

NIFS Entry Date:

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	Y
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Vendor Ownership & Mgmt. Disclosure Attached:	Y
5) Insurance Required	N

Vendor Info:	
Name: RJ/Scalamandre, JV	Vendor ID#: [REDACTED]
Address: [REDACTED] [REDACTED] [REDACTED]	Contact Person: [REDACTED] [REDACTED]
	Phone: [REDACTED]

Department:	
Contact Name: John Domenica	
Address: 3340 Merrick Road Building R, 3rd Floor Wantagh, New York 11793	
Phone: (516) 571-7529	

RECEIVED
MASSA COUNTY
CLERK OF THE LEGISLATURE
2019 JUL -3 A 11:28

Routing Slip

Department	NIFS Entry: X	15-MAY-19 -- LDIONISIO
Department	NIFS Approval: X	15-MAY-19 -- RDALLEVA
DPW	Capital Fund Approved: X	15-MAY-19 -- RDALLEVA
OMB	NIFA Approval: X	23-MAY-19 -- CNOLAN
OMB	NIFS Approval: X	16-MAY-19 -- NGUMIENIAK
County Atty.	Insurance Verification: X	16-MAY-19 -- AAMATO
County Atty.	Approval to Form: X	17-MAY-19 -- NSARANDIS
CPO	Approval: X	29-MAY-19 -- KOHAGENCE

DCEC	Approval: X	03-JUN-19 -- JCHIARA
Dep. CE	Approval: X	03-JUN-19 -- BSCHNEIDER
Leg. Affairs	Approval/Review: X	03-JUL-19 -- JSCHANTZ
Legislature	Approval:	
Comptroller	Deputy:	
NIFA	NIFA Approval:	

Contract Summary

Purpose: This project will provide mitigation measures to protect the Bay Park Sewage Treatment Plant from future flood surges. The flood proofing measures for the recently completed perimeter flood wall of the Bay Park site consist of a combination of earthen berms, flood walls and flood doors with a top elevation of 18.25'. As a secondary level of protection, this project will harden critical facilities, structures, and process mechanical equipment necessary for the continued operation of the plant. Accordingly, this project will address the hardening of such critical "Tier 1" infrastructures by installing interior and exterior flood barriers/reinforced concrete walls, flood doors, tunnel barriers and sump pumps throughout various plant buildings and tunnels.

Method of Procurement: Public bid in accordance with General Municipal Law 103.

Procurement History: eProcure: 10/27/17-12/12/17; Newsday: 10/25/17; NYSCR: 10/25/17-12/12/17. Bids received/opened December 12, 2017.

Description of General Provisions: New contract for construction services. Capital Project number 35121.

Impact on Funding / Price Analysis: Approval is requested for funding of \$10,868,800. It is expected that the full cost of these services will be eligible for reimbursement by the Federal Emergency Management Agency (FEMA) under the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

Change in Contract from Prior Procurement: Not applicable.

Recommendation: (approve as submitted) Approve as Submitted

Advisement Information

BUDGET CODES	
Fund:	CSWCSW
Control:	35
Resp:	121
Object:	00004
Transaction:	CH
Project #:	35121
Detail:	16L

RENEWAL	
% Increase	
% Decrease	

FUNDING SOURCE	AMOUNT
Revenue	
Contract:	
County	\$ 0.00
Federal	\$ 0.00
State	\$ 0.00
Capital	\$ 10,868,800.00
Other	\$ 0.00
TOTAL	\$ 10,868,800.00

LINE	INDEX/OBJECT CODE	AMOUNT
1	PWCSWCSW/3512 1-16L	\$ 10,868,800.00
		\$ 0.00
		\$ 0.00
		\$ 0.00
		\$ 0.00
		\$ 0.00
	TOTAL	\$ 10,868,800.00



Nassau County Interim Finance Authority

Contract Approval Request Form (As of January 1, 2015)

1. Vendor: RJ/Scalamandre, JV

2. Dollar amount requiring NIFA approval: \$10868800

Amount to be encumbered: \$10868800

This is a New

If new contract - \$ amount should be full amount of contract

If advisement - NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: 730 days from Notice to Proceed

Has work or services on this contract commenced? N _____

If yes, please explain:

4. Funding Source:

General Fund (GEN)

X Capital Improvement Fund (CAP)

Other

Grant Fund (GRT)

Federal % 100

State % 0

County % 0

Is the cash available for the full amount of the contract?

N

If not, will it require a future borrowing?

Y

Has the County Legislature approved the borrowing?

Y

Has NIFA approved the borrowing for this contract?

N

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

This project will provide mitigation measures to protect the Bay Park Sewage Treatment Plant from future flood surges. The flood proofing measures for the recently completed perimeter flood wall of the Bay Park site consist of a combination of earthen berms, flood walls and flood doors with a top elevation of 18.25'. As a secondary level of protection, this project will harden critical facilities, structures, and process mechanical equipment necessary for the continued operation of the plant. Accordingly, this project will address the hardening of such critical "Tier 1" infrastructures by installing interior and exterior flood barriers, reinforced concrete walls, flood doors, tunnel barriers and sump pumps throughout various plant buildings and tunnels.

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

Contract ID	Date	Amount

...

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

23-MAY-19

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

B15-19

RULES RESOLUTION NO. -2019

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND RJ/SCALAMANDRE, JV.

Redacted

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract S35121-16G, for BAY PARK SEWAGE TREATMENT PLANT-SECONDARY FLOOD PROTECTION & HARDENING OF CRITICAL FACILITIES- GENERAL CONSTRUCTION, NASSAU COUNTY, NEW YORK ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of RJ/SCALAMANDRE, JV

["Vendor"] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 10,868,800.00 now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

Jack Schnirman
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: RJ/Scalamandre, JV

CONTRACTOR ADDRESS: 75 East Bethpage Road, P.O. Box 349, Plainview, NY 1180

FEDERAL TAX ID #: 48-5260840

Instructions: Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☑ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in eProcure: 10/27/17-12/12/17; Newsday [newspaper] on see prior field [date]. The sealed bids were publicly opened on December 12, 2017 [date]. [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on [date]. Potential proposers were made aware of the availability of the RFP by advertisement in [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on [date]. [state #] proposals were received and evaluated. The evaluation committee consisted of:

 (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; OR:
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☒ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, Revenue Ruling No. 87-41, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.


Department Head Signature

5/15/19
Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

2017: R.J. Industries, Inc. contributed \$5,500 to political campaigns, including \$2,500 to Laura Curran, \$1,000 to Jack Schneiderman and \$2,000 to Steve Labriolla.

John Doremus contributed \$2,500 to Laura Curran and \$1,000 to Schneiderman and Richard Felicetta contributed \$9,100 to Laura Curran.

2018: R.J. Industries, Inc. contributed \$2,000 to (Laura) Curran for Nassau County and Richard Felicetta and John Doremus each contributed \$1,000 to Curran for Nassau County.

R.J. Industries, Inc. contributed \$2500 to Laura Gillen (7/31/18) and \$500 to Laura Gillen (10/18/18)

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Vendor: R.J. Industries, Inc.

Dated: 12/31/2018

Signed:

Print Name: Richard O. Felicetta

Title: President



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

SEE ATTACHMENT

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated:

01/03/19

Vendor:

Peter SCALAMANDRE & SONS INC.

Signed:

[Signature]

Print Name:

Peter SCALAMANDRE

Title:

PRESIDENT



September 20, 2018

Political Contributions

2015-2016

01/28/16	Nassau Republican Committee	\$2500
02/23/16	Citizens of Saladino	\$200
05/25/16	Town of Oyster Bay Republican Committee	\$500
05/27/16	Friends of Robert T. Kennedy	\$1350
11/16/16	Town of Oyster Bay Republican Committee	\$500

2016-2017

01/06/17	Laura Curran	\$500
01/13/17	Unlty Home Rule, Kennedy Team	\$1000
02/10/17	Friends of Reggie Spinello	\$250
03/08/17	Wayne H. Wink, N. Hempstead Town Clerk	\$500
03/29/17	Laura Curran	\$350
10/27/17	Debra Mule	\$1000



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

None

None

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

None

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

None

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

Not Applicable

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

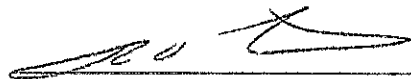
I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: January 11, 2019

Signed:



Print Name:

Richard O. Felicetta

Title:

President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

PETER SCARFAMORE + SONS, INC
is not a lobbying firm and does
not engage in any lobbying activities.

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

NONE

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

NONE

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

NONE

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

N/A

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 1/17/2019

Signed:



Print Name:

Damon Di Simone

Title:

CORP. SECRETARY

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Richard O. Felicetta
Date of birth / /
Home address
City/state/zip
Business address 75 East Bethpage road; PO Box 349
City/state/zip Plainville, NY 11803
Telephone 516-845-9772
Other present address(es) na
City/state/zip
Telephone
List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President 9 / 2 / 1997 Treasurer / /
Chairman of Board / / Shareholder 9 / 2 / 1997
Chief Exec. Officer / / Secretary / /
Chief Financial Officer / / Partner / /
Vice President / /
(Other)

3. Do you have an equity interest in the business submitting the questionnaire?
YES x NO If Yes, provide details. 45% interest in RJ Industries, Inc.
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES x NO If Yes, provide details.
Personal Guarantor: RJ Industries' line of credit at Signature Bank
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES x NO
If Yes, provide details. Managing member of BSRJ A T/V, RJ/Scalamandre, JV and RJ/Martin, JV. Minority shareholder & officer R.J.I. Mechanical, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐
If Yes, provide details. see attached schedule of projects

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES ☐ NO ☒ If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

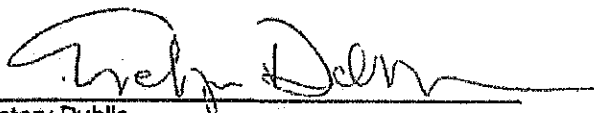
- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO x If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO x If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO x If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO x If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO x If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO x If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Richard O. Felicetta, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 11th day of January, 2019



Notary Public


EVELYN DELLARATTA
Notary Public, State of New York
No. CIDE4894133
Qualified in Nassau County
My Commission expires on May 27, 2022

R.J. Industries, Inc.

Name of submitting business

Richard O. Felicetta

Print name



Signature

President

Title

1 / 11 / 2019

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name John C. Doremus
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 75 East Bethpage Road; PO Box 349
City/state/zip Plainview, NY 11803
Telephone 516-845-9772
Other present address(es) None
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President ____/____/____ Treasurer ____/____/____
Chairman of Board ____/____/____ Shareholder 9 / 2 / 1997
Chief Exec. Officer ____/____/____ Secretary ____/____/____
Chief Financial Officer ____/____/____ Partner ____/____/____
Vice President 9 / 2 / 1997 to present ____/____/____
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES x NO ____ If Yes, provide details. stockholder (45% interest in R.J. Industries, Inc.)
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES x NO ____ If Yes, provide details.
Personal Guarantor - R.J.'s line of credit at Signature Bank
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES x NO ____;
If Yes, provide details. Managing member of BSRJ A T/V, R.J./Scalamandre, JV and R.J./Martin, JV.
Minority shareholder/officer R.J.I. Mechanical, Inc. and
officer James McCullagh Co., Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES x NO ____
If Yes, provide details. See Attached schedule of projects

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES ____ NO x If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ____ NO x If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ____ NO x If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ____ NO x If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ____ NO x If Yes, provide details for each such conviction.

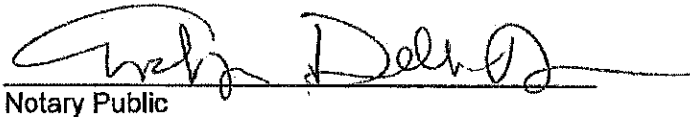
- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES ____ NO x If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO x If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO x If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO x If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO x If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO x If Yes, provide details for each such year.

CERTIFICATION

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I, John C. Doremus, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 11th day of January 2019


Notary Public


EVELYN DELLARATTA
Notary Public, State of New York
No. 01DE4854133
Qualified in Nassau County
My Commission expires on May 27, 2022

R.J. Industries, Inc.

Name of submitting business

John C. Doremus

Print name


Signature

Vice President

Title

1 / 11 / 2019
Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name William J. Doremus
Date of birth 1 / 1 / 1955
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 75 East Bethpage Road; PO Box 349
City/state/zip Plainville, NY 11803
Telephone 516-845-9772
Other present address(es) None
City/state/zip _____
Telephone _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)
President 1 / 1 / 2008 Treasurer 1 / 1 / 2008
Chairman of Board 1 / 1 / 2008 Shareholder 1 / 1 / 2008
Chief Exec. Officer 1 / 1 / 2008 Secretary 1 / 1 / 2008
Chief Financial Officer 1 / 1 / 2008 Partner 1 / 1 / 2008
Vice President 1 / 1 / 2008
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES x NO _____ If Yes, provide details. stockholder (5% interest in R.J. Industries, Inc.)
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES _____ NO x If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES x NO _____; If Yes, provide details. Minority Shareholder & officer of RJ Mechanical, Inc., which is an affiliate of RJ Industries, Inc. and Officer of James McCullagh Co., Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES x NO ____
If Yes, provide details. See Attached schedule of projects

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES ____ NO x If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ____ NO x If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ____ NO x If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ____ NO x If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ____ NO x If Yes, provide details for each such conviction.

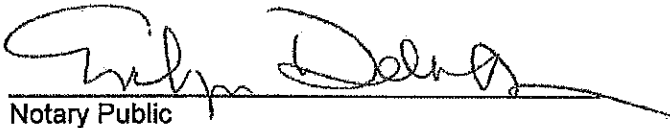
- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES ____ NO x If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO x If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO x If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO x If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO x If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO x If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, William J. Doremus, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 11th day of January 2019


Notary Public

EVEYIN DELLARATTA
Notary Public, State of New York
No. 01DE4894133
Qualified in Nassau County
My Commission expires on May 27, 2022

R.J. Industries, Inc.

Name of submitting business

William J. Doremus

Print name


Signature

Secretary - Treasurer

Title

1 / 11 / 19
Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name John E. Koziarz
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 75 East Bethpage Road; PO Box 349
City/state/zip Plainview, NY 11803
Telephone 516-845-9772
Other present address(es) None
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President / / Treasurer / /
Chairman of Board / / Shareholder 1 / 1 / 2008
Chief Exec. Officer / / Secretary / /
Chief Financial Officer / / Partner / /
Vice President 1 / 1 / 2008 to present / /
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES x NO If Yes, provide details. stockholder (5% interest in R.J. Industries, Inc.)
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES NO x If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES x NO ;
If Yes, provide details. Principal owner (51% stake) of RJ Mechanical, Inc., an affiliate of RJ Industries, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES x NO ____
If Yes, provide details. See Attached schedule of projects

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES ____ NO x If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ____ NO x If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ____ NO x If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ____ NO x If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ____ NO x If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO x If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO x If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO x If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO x If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO x If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO x If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, John E. Koziarz, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 11th day of January 2019


Notary Public

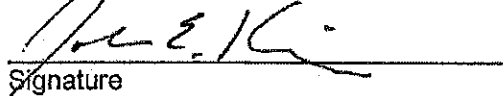
EVELYN DELLARATIA
Notary Public, State of New York
No. 01DE4894133
Qualified in Nassau County
My Commission expires on May 27, 2022

R.J. Industries, Inc.

Name of submitting business

John E. Koziarz

Print name


Signature

Vice President

Title

1 / 11 / 19
Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name M. J. McCarthy
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 137 ALBANY AVE
City/state/zip FREEPORT NY 11520
Telephone 516 868 3000
Other present address(es) [REDACTED]
City/state/zip NONE
Telephone [REDACTED]

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)
President / / Treasurer / /
Chairman of Board / / Shareholder / /
Chief Exec. Officer / / Secretary / /
Chief Financial Officer 06/01/89 Partner / /
Vice President 01/01/94 / /
(Other) / /
3. Do you have an equity interest in the business submitting the questionnaire?
YES NO X If Yes, provide details.
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES NO X If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES X NO /
If Yes, provide details.

SEE ATTACHMENT

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO X If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO X If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO X If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO X If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO X If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO X If Yes, provide details for each such year.

CERTIFICATION

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I, M. J. McCarthy, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 3 day of JAN 2019

Gina S. Greenhill
Notary Public

GINA S. GREENHILL
Notary Public, State of New York
No. 01GR4887265
Qualified In Suffolk County
Commission Expires October 8, 2022

Peter SCALAMANDRA & SONS INC
Name of submitting business

M J McCarthy
Print name

M J McCarthy
Signature

Vice Pres CFO
Title

01, 03, 19
Date



December 27, 2018

Nassau County DPW
Principal Questionnaire Form
Question No. 6

Sea Crest Construction Corp.
Suffolk County College
Contract \$20,950,600- April 2017

Nassau County DPW
BSRJ Joint Venture
Bay Park Electrical E-3
Contract \$97,447,200- July 2015

Peter Scalamandre & Son, Inc.
New York State DOT
Contract D263436
Contract \$6,417,100- April 2017

Peter Scalamandre & Sons, Inc.
State of NY Office of Parks
Contract \$19,957,100-August 2017

Nassau County DPW
BSRJ Joint Venture
Bay Park Electrical B-1
Contract \$28,674,700- February 2014

Nassau County DPW
RJ Scalamandre Joint Venture
Bay Park Grit Building
Contract \$16,187,700- March 2014

Roosevelt Island Operating Corp.
591 Main Street , Roosevelt, NY 10044
Elevator Construction Project
Contract \$5,580,000- October 2017

Continue



Citnalta-Scalamandre JV
Long Island Railroad
Contract \$80,350,000- January 2018

Scalamandre-Gramercy JV
MTA New York City Transit
Contract \$34,247,000- September 2018

Village of Freeport
Channel Crossing
Contract \$3,997,000- June 2018

NYS Office of Parks
Gateway Sign, Jones Beach
Contract \$1,327,000.00- November 2018

#5

PETER SCALAMANDRE & SONS, INC.OFFICERS

Peter Scalamandre, Pres.
 Darpon DiSimone, Esq., Secy.
 Lisa B. Hayes, Treas
 MJ McCarthy, VP/Controller



<u>STOCKHOLDERS</u>			
0.0000%	1,000.00 shares	Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
0.1250%	250.00 shares	Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-6864265)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-6864255)
	shares		Beneficiary: Tricia Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6864239)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6864200)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-6864147)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust II (#26-6864267)
	shares		Beneficiary: Tricia Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
29.5000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269227)
	shares		Beneficiary: Peter Scalamandre
	shares		Trustee: Peter Scalamandre
	shares		Trustee: Jill Scalamandre Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalamandre Clark Qualified Subchapter S Trust (#26-6269243)
	shares		Beneficiary: Jill Scalamandre Clark
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalamandre Qualified Subchapter S Trust (#26-6269210)
	shares		Beneficiary: Richard Scalamandre
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paulette Scalamandre Qualified Subchapter S Trust (#26-6269182)
	shares		Beneficiary: Paulette Scalamandre
	shares		Trustee: Peter Scalamandre
100.0000%	200,000.00		

SEA CREST CONSTRUCTION CORP.

OFFICERS

Peter Scalimandre, Pres.
Damon O'Simone, Esq., Secy.
Lisa B. Hayes, Treas
MJ McCarthy, VP/Controller



STOCKHOLDERS

0.5000%	1,000.00 shares	Voting Common Stock	Peter Scalimandre Qualified Subchapter S Trust (#26-6268227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
0.1250%	250.00 shares	Voting Common Stock	Glee Greenhill Qualified Subchapter S Trust (#26-6864167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
0.1250%	250.00 shares	Voting Common Stock	Tricia Scalimandre Qualified Subchapter S Trust (#26-6864256)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
	shares		Beneficiary: Lisa Scalimandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
	shares		Beneficiary: Gina Scalimandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
	shares		Beneficiary: Christina Scalimandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalimandre Qualified Subchapter S Trust (#26-6864256)
	shares		Beneficiary: Tricia Scalimandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6864239)
	shares		Beneficiary: Lisa Scalimandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6864200)
	shares		Beneficiary: Gina Scalimandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-6864147)
	shares		Beneficiary: Christina Scalimandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalimandre Qualified Subchapter S Trust II (#26-6864267)
	shares		Beneficiary: Tricia Scalimandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalimandre Hayes
	shares		Trustee: Tricia Scalimandre
29.5000%	50,000.00 shares	Non-Voting Common Stock	Peter Scalimandre Qualified Subchapter S Trust (#26-6268227)
	shares		Beneficiary: Peter Scalimandre
	shares		Trustee: Peter Scalimandre
	shares		Trustee: Jill Scalimandre Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalimandre-Clark Qualified Subchapter S Trust (#26-6268243)
	shares		Beneficiary: Jill Scalimandre Clark
	shares		Trustee: Peter Scalimandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalimandre Qualified Subchapter S Trust (#26-6268210)
	shares		Beneficiary: Richard Scalimandre
	shares		Trustee: Peter Scalimandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paulotte Scalimandre Qualified Subchapter S Trust (#26-6268192)
	shares		Beneficiary: Paulotte Scalimandre
	shares		Trustee: Peter Scalimandre
100.0000%	200,000.00 shares		

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name LISA HAYES
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 157 ALBANY AVE
City/state/zip FREEPORT NY 11520
Telephone 516 868 3000
Other present address(es) NONE
City/state/zip NONE
Telephone

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)
President / / Treasurer 01/01/02
Chairman of Board / / Shareholder / /
Chief Exec. Officer / / Secretary / /
Chief Financial Officer / / Partner / /
Vice President / /
(Other)
3. Do you have an equity interest in the business submitting the questionnaire?
YES X NO If Yes, provide details. 12.5%
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES NO X If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES X NO
If Yes, provide details. SEE ATTACHMENT

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES X NO ____
If Yes, provide details. SEE ATTACHMENT

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ____ NO X If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ____ NO X If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ____ NO X If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ____ NO X If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.) NO
- a) Is there any felony charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ____ NO X If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ☐ NO ☒ If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ☐ NO ☒ If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ☐ NO ☒ If Yes, provide details for each such investigation.
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11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ☐ NO ☒ If Yes, provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ☐ NO ☒ If Yes, provide details for each such year.

CERTIFICATION

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I, LISA HAYES, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 3 day of JAN 2019

Gina S. Greenhill
Notary Public

GINA S. GREENHILL
Notary Public, State of New York
No. 01GR4087265
Qualified in Suffolk County
Commission Expires October 8, 2022

Peter SCALAMANDRE & SONS Inc
Name of submitting business

LISA HAYES
Print name

Lisa Hayes
Signature

TREASURER
Title

01, 03, 19
Date



December 27, 2018

Nassau County DPW

Principal Questionnaire Form

Question No. 6

Sea Crest Construction Corp.
Suffolk County College
Contract \$20,950,600- April 2017

Nassau County DPW
BSRJ Joint Venture
Bay Park Electrical E-3
Contract \$97,447,200- July 2015

Peter Scalamandre & Son, Inc.
New York State DOT
Contract D263436
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Peter Scalamandre & Sons, Inc.
State of NY Office of Parks
Contract \$19,957,100-August 2017

Nassau County DPW
BSRJ Joint Venture
Bay Park Electrical B-1
Contract \$28,674,700- February 2014

Nassau County DPW
RJ Scalamandre Joint Venture
Bay Park Grit Building
Contract \$16,187,700- March 2014

Roosevelt Island Operating Corp.
591 Main Street , Roosevelt, NY 10044
Elevator Construction Project
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Continue



Citnalta-Scalamandre JV
Long Island Railroad
Contract \$80,350,000- January 2018

Scalamandre-Gramercy JV
MTA New York City Transit
Contract \$34,247,000- September 2018

Village of Freeport
Channel Crossing
Contract \$3,997,000- June 2018

NYS Office of Parks
Gateway Sign, Jones Beach
Contract \$1,327,000.00- November 2018

#5

PETER SCALAMANDRE & SONS, INC.

OFFICERS

Peter Scalamanore, Pres.
 Debra O'Shannon, Secy., Secy.
 Lisa G. Hayes, Treas.
 M.J. McCarthy, VP/Controller



STOCKHOLDERS

0.0000%	1,000.00 shares	Voting Common Stock	Peter Scalamanore Qualified Subchapter S Trust (#26-6269227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6364218)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6364167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6364124)
0.1250%	250.00 shares	Voting Common Stock	Tilda Scalamanore Qualified Subchapter S Trust (#26-6364266)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6364218)
	shares		Beneficiary: Lisa Scalamanore Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6364167)
	shares		Beneficiary: Gina Scalamanore Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6364124)
	shares		Beneficiary: Christina Scalamanore Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tilda Scalamanore Qualified Subchapter S Trust (#26-6364266)
	shares		Beneficiary: Tilda Scalamanore
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6364239)
	shares		Beneficiary: Lisa Scalamanore Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6364266)
	shares		Beneficiary: Gina Scalamanore Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-6364147)
	shares		Beneficiary: Christina Scalamanore Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tilda Scalamanore Qualified Subchapter S Trust II (#26-6364267)
	shares		Beneficiary: Tilda Scalamanore
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamanore Hayes
	shares		Trustee: Tilda Scalamanore
29.5000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalamanore Qualified Subchapter S Trust (#26-6269227)
	shares		Beneficiary: Peter Scalamanore
	shares		Trustee: Peter Scalamanore
	shares		Trustee: Jill Scalamanore Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalamanore Clark Qualified Subchapter S Trust (#26-6269243)
	shares		Beneficiary: Jill Scalamanore Clark
	shares		Trustee: Peter Scalamanore
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalamanore Qualified Subchapter S Trust (#26-6269210)
	shares		Beneficiary: Richard Scalamanore
	shares		Trustee: Peter Scalamanore
5.0000%	10,000.00 shares	Non-Voting Common Stock	Pauline Scalamanore Qualified Subchapter S Trust (#26-6269192)
	shares		Beneficiary: Pauline Scalamanore
	shares		Trustee: Peter Scalamanore
100.0000%	200,000.00 shares		

SEA CREST CONSTRUCTION CORP.

OFFICERS

Peter Scalapandros, Pres.
 Damon D'Simone, Esq., Secy.
 Lisa B. Hayes, Treas.
 MJ McCarthy, VP/Controller



STOCKHOLDERS

0.5000%	1,000.00 shares	Voting Common Stock	Peter Scalapandros Qualified Subchapter S Trust (#26-8260227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-8884248)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-8884187)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-8884124)
0.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalapandros Qualified Subchapter S Trust (#26-8884256)
			Lisa Hayes Qualified Subchapter S Trust (#26-8884216)
			Beneficiary: Lisa Scalapandros Hayes
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandros Hayes
			Trustee: Tricia Scalapandros
5.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-8884167)
			Beneficiary: Gina Scalapandros Greenhill
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandros Hayes
			Trustee: Tricia Scalapandros
8.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-8884124)
			Beneficiary: Christina Scalapandros Holton
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandros Hayes
			Trustee: Tricia Scalapandros
5.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalapandros Qualified Subchapter S Trust (#26-8884256)
			Beneficiary: Tricia Scalapandros
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandros Hayes
			Trustee: Tricia Scalapandros
0.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-8884238)
			Beneficiary: Lisa Scalapandros Hayes
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandros Hayes
			Trustee: Tricia Scalapandros
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-8884200)
			Beneficiary: Gina Scalapandros Greenhill
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandros Hayes
			Trustee: Tricia Scalapandros
8.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-8884147)
			Beneficiary: Christina Scalapandros Holton
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandros Hayes
			Trustee: Tricia Scalapandros
5.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalapandros Qualified Subchapter S Trust II (#26-8884267)
			Beneficiary: Tricia Scalapandros
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalapandros Hayes
			Trustee: Tricia Scalapandros
29.5000%	60,000.00 shares	Non-Voting Common Stock	Peter Scalapandros Qualified Subchapter S Trust (#26-8260227)
			Beneficiary: Peter Scalapandros
			Trustee: Peter Scalapandros
			Trustee: Jill Scalapandros Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalapandros Clark Qualified Subchapter S Trust (#26-8260243)
			Beneficiary: Jill Scalapandros Clark
			Trustee: Peter Scalapandros
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalapandros Qualified Subchapter S Trust (#26-8260210)
			Beneficiary: Richard Scalapandros
			Trustee: Peter Scalapandros
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paula Scalapandros Qualified Subchapter S Trust (#26-8260182)
			Beneficiary: Paula Scalapandros
			Trustee: Peter Scalapandros
100.0000%	200,000.00 shares		

AFFILIATES

MILL RENTAL CORP. (#11-2906866)

OFFICERS

Peter Scalamandre, President
Lisa Hayes, Vice President
Tricia Scalamandre, Secretary

STOCKHOLDERS

12.50% Lisa Hayes Family Trust
12.50% Tricia Scalamandre Family Trust
12.50% Christina Holton Family Trust
12.50% Gina Greenhill Family Trust
16.67% Peter Scalamandre Family Trust
16.67% Joseph L. Scalamandre Family Trust
16.66% Jill Clark Family Trust

SEVILLE CENTRAL MIX CORP. (#11-2526339)

OFFICERS

Peter Scalamandre, President
Lisa Hayes, Vice President
Tricia Scalamandre, Secretary

STOCKHOLDERS

12.50% Lisa Hayes Family Trust
12.50% Tricia Scalamandre Family Trust
12.50% Christina Holton Family Trust
12.50% Gina Greenhill Family Trust
16.67% Peter Scalamandre Family Trust
16.67% Joseph L. Scalamandre Family Trust
16.66% Jill Clark Family Trust

SCATT MATERIALS CORP. (#11-2607020)

OFFICERS

Peter Scalamandre, President
Thomas Pratt, Vice President
James Pratt, Treasurer

STOCKHOLDERS

45.000% James Pratt
5.625% Lisa Hayes Family Trust
5.625% Tricia Scalamandre Family Trust
5.625% Christina Holton Family Trust
5.625% Gina Greenhill Family Trust
7.500% Peter Scalamandre Family Trust
7.500% Joseph L. Scalamandre Family Trust
7.500% Jill Clark Family Trust
3.334% John Watral
3.333% Tom Watral
3.333% Paul Watral

SEA CREST DEVELOPMENT CORP. (#11-271873)

OFFICERS

Lisa Hayes, President
Peter Scalamandre, Vice President
Tricia Scalamandre, Secretary

STOCKHOLDERS

14.2860% Peter Scalamandre
14.2860% J Scalamandre QTIP - Residuary
14.2860% Jill Clark
14.2860% Lisa Hayes
14.2860% Gina Greenhill
14.2850% Christina Holton
14.2850% Tricia Scalamandre

SEAMENT TRANSPORTATION, LLC (#11-3592000)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

37.5% Peter Scalamandre
37.5% J Scalamandre QTIP - Residuary
20.0% Thomas Hayes
5.0% Peter Buok

WINDING ROAD LEASING CORP. (#11-3582254)

OFFICERS

Peter Scalamandre, President
Thomas Hayes, Vice President
Peter Buck, Secretary

STOCKHOLDERS

37.5% Peter Scalamandre
37.5% J Scalamandre QTIP - Residuary
20.0% Thomas Hayes
5.0% Peter Buck

SEVEN "S" REALTY, LLC (#11-3418867)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

16.6700% Peter Scalamandre
16.6700% J Scalamandre QTIP - Residuary
16.6600% Jill Clark
12.5000% Lisa Hayes
12.5000% Gina Greenhill
12.5000% Christina Hutton
12.5000% Tricia Scalamandre

SSS REALTY CO., LLC (#11-3313486)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

16.6700% Peter Scalamandre
16.6700% J Scalamandre QTIP - Residuary
16.6600% Jill Clark
12.5000% Lisa Hayes
12.5000% Gina Greenhill
12.5000% Christina Hutton
12.5000% Tricia Scalamandre

SOUTH SHORE MATERIALS, INC. (#11-3196622)

OFFICERS

Giuseppe Gianquinto, President
Peter Scalamandre, Vice President
James J Pratt, Secretary
Eugene Augustewicz, Treasurer

STOCKHOLDERS

8.3400% James J Pratt
8.3300% Thomas Pratt
8.3300% Cathleen Williams
25.0000% Eugene Augustewicz
8.3400% Giuseppe Gianquinto
8.3300% Luigi Gianquinto
8.3300% Anthony Gianquinto
4.1700% Peter Scalamandre
4.1700% J Scalamandre QTIP - Residuary
4.1600% Jill Clark
3.1250% Lisa Hayes
3.1250% Gina Greenhill
3.1250% Christina Hutton
3.1250% Tricia Scalamandre

SOUTH SHORE LAND, LLC (#11-3465012)

MANAGEMENT

Giuseppe Gianquinto, Managing Member

MEMBERS

8.3400% James J Pratt
8.3300% Thomas Pratt
8.3300% Cathleen Williams
25.0000% Eugene Augustewicz
8.3400% Giuseppe Gianquinto
8.3300% Luigi Gianquinto
8.3300% Anthony Gianquinto
4.1700% Peter Scalamandre
4.1700% J Scalamandre QTIP - Residuary
4.1600% Jill Clark
3.1250% Lisa Hayes
3.1250% Gina Greenhill
3.1250% Christina Holton
3.1250% Tricia Scalamandre

PRS SALES & LEASING LLC (#11-2068549)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

100.0000% Peter Scalamandre

FRED SCALAMANDRE & JOSEPH SCALAMANDRE REAL ESTATE (#11-6140493)

OWNERSHIP

1.0000% Fred Scalamandre
49.0000% Scalamandre Family Ltd Pship I
1.0000% Joseph Scalamandre
49.0000% Joseph Scalamandre Family Ltd Pship I

SCALAMANDRE FAMILY LTD PSHIP I (#11-3364127)

OWNERSHIP

1.0000% Fred Scalamandre
1.0000% F Scalà I Corp.
12.2500% Scalamandre Irrevocable Trust I (Gina)
12.2500% Scalamandre Irrevocable Trust II (Lisa)
12.2500% Scalamandre Irrevocable Trust III (Christina)
12.2500% Scalamandre Irrevocable Trust IV (Tricia)
12.2500% Scalamandre Irrevocable Trust V (Gina)
12.2500% Scalamandre Irrevocable Trust VI (Lisa)
12.2500% Scalamandre Irrevocable Trust VII (Christina)
12.2500% Scalamandre Irrevocable Trust VIII (Tricia)

JOSEPH SCALAMANDRE FAMILY LTD PSHIP I (#58-2440518)

OWNERSHIP

1.0000% Joseph Scalamandre
1.0000% Joseph Scalamandre Management Inc.
32.6700% J Scalamandre Irrev Trust FBO Peter
32.6800% J Scalamandre Irrev Trust FBO Jill
32.6700% J Scalamandre Irrev Trust FBO Joseph L

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Peter SCALAMANDRE
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 157 ALBANY AVE
City/state/zip Freeport NY 11520
Telephone 516 868 3000
Other present address(es) _____
City/state/zip NONE
Telephone _____
List of other addresses and telephone numbers attached _____

2. Positions held in submitting business and starting date of each (check all applicable)
President 01/01/02 Treasurer
Chairman of Board Shareholder
Chief Exec. Officer Secretary
Chief Financial Officer Partner
Vice President
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES ☒ NO ☐ If Yes, provide details. 30%
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES ☐ NO ☒ If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES ☒ NO ☐
If Yes, provide details. SEE ATTACHMENT

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐
If Yes, provide details. SEE ATTACHMENT

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If "Yes", provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.) NO
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ☐ NO ☒ If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ☐ NO ☒ If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ☐ NO ☒ If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ☐ NO ☒ If Yes, provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ☐ NO ☒ If Yes, provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ☐ NO ☒ If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Peter SCALAMANDRE, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 3 day of JAN 2019

Gina S. Greenhill
Notary Public

GINA S. GREENHILL
Notary Public, State of New York
No. 01GR4867265
Qualified In Suffolk County 22
Commission Expires October 8, 2022

Peter SCALAMANDRE? Sons Inc
Name of submitting business

Peter SCALAMANDRE
Print name

[Signature]
Signature

PRESIDENT
Title

01, 03, 19
Date



December 27, 2018

Nassau County DPW
Principal Questionnaire Form
Question No. 6

Sea Crest Construction Corp.
Suffolk County College
Contract \$20,950,600- April 2017

Nassau County DPW
BSRJ Joint Venture
Bay Park Electrical E-3
Contract \$97,447,200- July 2015

Peter Scalamandre & Son, Inc.
New York State DOT
Contract D263436
Contract \$6,417,100- April 2017

Peter Scalamandre & Sons, Inc.
State of NY Office of Parks
Contract \$19,957,100-August 2017

Nassau County DPW
BSRJ Joint Venture
Bay Park Electrical B-1
Contract \$28,674,700- February 2014

Nassau County DPW
RJ Scalamandre Joint Venture
Bay Park Grit Building
Contract \$16,187,700- March 2014

Roosevelt Island Operating Corp.
591 Main Street , Roosevelt, NY 10044
Elevator Construction Project
Contract \$5,580,000- October 2017



Continue

Citnalta-Scalamandre JV
Long Island Railroad
Contract \$80,350,000- January 2018

Scalamandre-Gramercy JV
MTA New York City Transit
Contract \$34,247,000- September 2018

Village of Freeport
Channel Crossing
Contract \$3,997,000- June 2018

NYS Office of Parks
Gateway Sign, Jones Beach
Contract \$1,327,000.00- November 2018

5 PETER SCALAMANDRE & SONS, INC.

OFFICERS

Peter Scalamandre, Pres.
 Damon O'Simone, Esq., Secy.
 Lisa S. Hayes, Treas.
 W.J. McCarthy, VP/Controller



STOCKHOLDERS

0.000%	1,000.00 shares	Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
0.1250%	250.00 shares	Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-6864265)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-6864265)
	shares		Beneficiary: Tricia Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864239)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864200)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864147)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust II (#26-6864267)
	shares		Beneficiary: Tricia Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
29.6000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269227)
	shares		Beneficiary: Peter Scalamandre
	shares		Trustee: Peter Scalamandre
	shares		Trustee: Jill Scalamandre Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalamandre Clark Qualified Subchapter S Trust (#26-6258243)
	shares		Beneficiary: Jill Scalamandre Clark
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalamandre Qualified Subchapter S Trust (#26-6269210)
	shares		Beneficiary: Richard Scalamandre
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paula Scalamandre Qualified Subchapter S Trust (#26-6269192)
	shares		Beneficiary: Paula Scalamandre
	shares		Trustee: Peter Scalamandre
100.0000%	200,000.00 shares		

SEA CREST CONSTRUCTION CORP.

OFFICERS

Peter Scalimandre, Pres.
Gamon DiStefano, Esq., Secy.
Lisa J. Hayes, Treas.
M.J. McCarthy, VP/Controller



STOCKHOLDERS

0.0000%	1,000.00 shares	Voting Common Stock	Peter Scalimandre Qualified Subchapter S Trust (#26-6269227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6064216)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6064107)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6064124)
0.1250%	250.00 shares	Voting Common Stock	Tricia Scalimandre Qualified Subchapter S Trust (#26-6064255)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6064216)
			Beneficiary: Lisa Scalimandre Hayes
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalimandre Hayes
			Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6064107)
			Beneficiary: Gina Scalimandre Greenhill
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalimandre Hayes
			Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6064124)
			Beneficiary: Christina Scalimandre Holton
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalimandre Hayes
			Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalimandre Qualified Subchapter S Trust (#26-6064255)
			Beneficiary: Tricia Scalimandre
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalimandre Hayes
			Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6064239)
			Beneficiary: Lisa Scalimandre Hayes
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalimandre Hayes
			Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6064200)
			Beneficiary: Gina Scalimandre Greenhill
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalimandre Hayes
			Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-6064147)
			Beneficiary: Christina Scalimandre Holton
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalimandre Hayes
			Trustee: Tricia Scalimandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalimandre Qualified Subchapter S Trust II (#26-6064267)
			Beneficiary: Tricia Scalimandre
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalimandre Hayes
			Trustee: Tricia Scalimandre
29.5000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalimandre Qualified Subchapter S Trust (#26-6269227)
			Beneficiary: Peter Scalimandre
			Trustee: Peter Scalimandre
			Trustee: Jill Scalimandre Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalimandre-Clark Qualified Subchapter S Trust (#26-6269245)
			Beneficiary: Jill Scalimandre Clark
			Trustee: Peter Scalimandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalimandre Qualified Subchapter S Trust (#26-6269210)
			Beneficiary: Richard Scalimandre
			Trustee: Peter Scalimandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paula Scalimandre Qualified Subchapter S Trust (#26-6269192)
			Beneficiary: Paula Scalimandre
			Trustee: Peter Scalimandre
100.0000%	200,000.00 shares		

AFFILIATES

MILL RENTAL CORP. (#11-2806956)

OFFICERS

Peter Scalomandre, President
Lisa Hayes, Vice President
Tricia Scalomandre, Secretary

STOCKHOLDERS

12.50% Lisa Hayes Family Trust
12.50% Tricia Scalomandre Family Trust
12.50% Christina Holton Family Trust
12.50% Gina Greenhill Family Trust
16.67% Peter Scalomandre Family Trust
16.67% Joseph L. Scalomandre Family Trust
16.66% Jill Clark Family Trust

SEVILLE CENTRAL MIX CORP. (#11-2526339)

OFFICERS

Peter Scalomandre, President
Lisa Hayes, Vice President
Tricia Scalomandre, Secretary

STOCKHOLDERS

12.50% Lisa Hayes Family Trust
12.50% Tricia Scalomandre Family Trust
12.50% Christina Holton Family Trust
12.50% Gina Greenhill Family Trust
16.67% Peter Scalomandre Family Trust
16.67% Joseph L. Scalomandre Family Trust
16.66% Jill Clark Family Trust

SCATT MATERIALS CORP. (#11-2607020)

OFFICERS

Peter Scalomandre, President
Thomas Pratt, Vice President
James Pratt, Treasurer

STOCKHOLDERS

45.000% James Pratt
5.625% Lisa Hayes Family Trust
5.625% Tricia Scalomandre Family Trust
5.625% Christina Holton Family Trust
5.625% Gina Greenhill Family Trust
7.500% Peter Scalomandre Family Trust
7.500% Joseph L. Scalomandre Family Trust
7.500% Jill Clark Family Trust
3.334% John Watral
3.333% Tom Watral
3.333% Paul Watral

SEA CREST DEVELOPMENT CORP. (#11-2171973)

OFFICERS

Lisa Hayes, President
Peter Scalomandre, Vice President
Tricia Scalomandre, Secretary

STOCKHOLDERS

14.2860% Peter Scalomandre
14.2860% J Scalomandre QTIP - Residuary
14.2860% Jill Clark
14.2860% Lisa Hayes
14.2860% Gina Greenhill
14.2860% Christina Holton
14.2860% Tricia Scalomandre

SEAMENT TRANSPORTATION, LLC (#11-3592000)

MANAGEMENT

Peter Scalomandre, Managing Member

MEMBERS

37.6% Peter Scalomandre
37.6% J Scalomandre QTIP - Residuary
20.0% Thomas Hayes
5.0% Peter Buok

WINDING ROAD LEASING CORP. (#11-3592264)

OFFICERS

Peter Scalamandre, President
Thomas Hayes, Vice President
Peter Buck, Secretary

STOCKHOLDERS

37.5% Peter Scalamandre
37.5% J Scalamandre QTIP - Residuary
20.0% Thomas Hayes
6.0% Peter Buck

SEVEN "S" REALTY, LLC (#11-341887)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

16.6700% Peter Scalamandre
16.6700% J Scalamandre QTIP - Residuary
16.6600% Jill Clark
12.5000% Lisa Hayes
12.5000% Gina Greenhill
12.5000% Christina Hutton
12.5000% Tricia Scalamandre

SSS REALTY CO., LLC (#11-3313486)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

16.6700% Peter Scalamandre
16.6700% J Scalamandre QTIP - Residuary
16.6600% Jill Clark
12.5000% Lisa Hayes
12.5000% Gina Greenhill
12.5000% Christina Hutton
12.5000% Tricia Scalamandre

SOUTH SHORE MATERIALS, INC. (#11-3186622)

OFFICERS

Giuseppe Gianquinto, President
Peter Scalamandre, Vice President
James J Pratt, Secretary
Eugene Augustewicz, Treasurer

STOCKHOLDERS

8.3400% James J Pratt
8.3300% Thomas Pratt
8.3300% Cathleen Williams
25.0000% Eugene Augustewicz
8.3400% Giuseppe Gianquinto
8.3300% Luigi Gianquinto
8.3300% Anthony Gianquinto
4.1700% Peter Scalamandre
4.1700% J Scalamandre QTIP - Residuary
4.1600% Jill Clark
3.1250% Lisa Hayes
3.1250% Gina Greenhill
3.1250% Christina Hutton
3.1250% Tricia Scalamandre

SOUTH SHORE LAND, LLC (#11-3465012)

MANAGEMENT

Giuseppa Gianquinto, Managing Member

MEMBERS

8.3400% James J Pratt
8.3300% Thomas Pratt
8.3300% Cathleen Williams
25.0000% Eugene Augustewicz
8.3400% Giuseppa Gianquinto
8.3300% Luigi Gianquinto
8.3300% Anthony Gianquinto
4.1700% Peter Scalamandre
4.1700% J Scalamandre QTIP - Residuary
4.1600% Jill Clark
3.1250% Lisa Hayes
3.1250% Gina Greenhill
3.1250% Christina Hottel
3.1250% Tricia Scalamandre

PRS SALES & LEASING LLC (#41-2058549)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

100.0000% Peter Scalamandre

FRED SCALAMANDRE & JOSEPH SCALAMANDRE REAL ESTATE (#11-6140493)

OWNERSHIP

1.0000% Fred Scalamandre
49.0000% Scalamandre Family Ltd Pship I
1.0000% Joseph Scalamandre
49.0000% Joseph Scalamandre Family Ltd Pship I

SCALAMANDRE FAMILY LTD PSHIP I (#11-3364127)

OWNERSHIP

1.0000% Fred Scalamandre
1.0000% F Scal I Corp.
12.2500% Scalamandre Irrevocable Trust I (Gina)
12.2500% Scalamandre Irrevocable Trust II (Lisa)
12.2500% Scalamandre Irrevocable Trust III (Christina)
12.2500% Scalamandre Irrevocable Trust IV (Tricia)
12.2500% Scalamandre Irrevocable Trust V (Gina)
12.2500% Scalamandre Irrevocable Trust VI (Lisa)
12.2500% Scalamandre Irrevocable Trust VII (Christina)
12.2500% Scalamandre Irrevocable Trust VIII (Tricia)

JOSEPH SCALAMANDRE FAMILY LTD PSHIP I (#59-2440518)

OWNERSHIP

1.0000% Joseph Scalamandre
1.0000% Joseph Scalamandre Management Inc.
32.6700% J Scalamandre Irrev Trust FBO Peter
32.6600% J Scalamandre Irrev Trust FBO Jill
32.6700% J Scalamandre Irrev Trust FBO Joseph L

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name DAVID D. SIMONE ESQ
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 157 ALBANY AVE
City/state/zip FREDONIA, NY 11520
Telephone 516 868 3000
Other present address(es) NONE
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President / / Treasurer / /
Chairman of Board / / Shareholder / /
Chief Exec. Officer / / Secretary 01/01/02
Chief Financial Officer / / Partner / /
Vice President / /
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES NO X If Yes, provide details. _____
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES NO X If Yes, provide details. _____
6. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES X NO
If Yes, provide details. SEE ATTACHMENTS

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐
If Yes, provide details. **SEE ATTACHMENT**

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.) **NO**
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ☐ NO ☒ If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ☐ NO ☒ If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ☐ NO ☒ If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ☐ NO ☒ If Yes, provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ☐ NO ☒ If Yes, provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ☐ NO ☒ If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, DAMON DiSIMONE, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 3 day of JAN 2019

Gina A. Greenhill
Notary Public

GINA S. GREENHILL
Notary Public, State of New York
No. 01GR4867265
Qualified in Suffolk County
Commission Expires October 8, 2022

Peter SCALAMANDRE & SONS Inc

Name of submitting business

DAMON DiSIMONE

Print name


Signature

SECRETARY
Title

01, 03, 19
Date



December 27, 2018.

Nassau County DPW
Principal Questionnaire Form
Question No. 6

Sea Crest Construction Corp.
Suffolk County College
Contract \$20,950,600- April 2017

Nassau County DPW
BSRJ Joint Venture
Bay Park Electrical E-3
Contract \$97,447,200- July 2015

Peter Scalamandre & Son, Inc.
New York State DOT
Contract D263436
Contract \$6,417,100- April 2017

Peter Scalamandre & Sons, Inc.
State of NY Office of Parks
Contract \$19,957,100-August 2017

Nassau County DPW
BSRJ Joint Venture
Bay Park Electrical B-1
Contract \$28,674,700- February 2014

Nassau County DPW
RJ Scalamandre Joint Venture
Bay Park Grit Building
Contract \$16,187,700- March 2014

Roosevelt Island Operating Corp.
591 Main Street, Roosevelt, NY 10044
Elevator Construction Project
Contract \$5,580,000- October 2017

Continue



Citnalta-Scalamandre JV
Long Island Railroad
Contract \$80,350,000- January 2018

Scalamandre-Gramercy JV
MTA New York City Transit
Contract \$34,247,000- September 2018

Village of Freeport
Channel Crossing
Contract \$3,997,000- June 2018

NYS Office of Parks
Gateway Sign, Jones Beach
Contract \$1,327,000.00- November 2018

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PETER SCALAMANDRE & SONS, INC.OFFICERS

Peter Scalamanore, Pres.
 Damon O'Shynone, Esq., Secy.
 Lisa G. Hayes, Treas.
 MJ McCarthy, VP/Controller

STOCKHOLDERS

Percentage	Shares	Stock Type	Holder Information
0.0000%	1,000.00 shares	Voting Common Stock	Peter Scalamanore Qualified Subchapter S Trust (#26-6269227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864216)
0.1760%	250.00 shares	Voting Common Stock	Olga Greenhill Qualified Subchapter S Trust (#26-6894187)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864424)
0.1250%	250.00 shares	Voting Common Stock	Tricia Scalamanore Qualified Subchapter S Trust (#26-6864265)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864216) Beneficiary: Lisa Scalamanore Hayes Trustee: Grace Gargiulo Trustee: Lisa Scalamanore Hayes Trustee: Tricia Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Olga Greenhill Qualified Subchapter S Trust (#26-6864187) Beneficiary: Olga Scalamanore Greenhill Trustee: Grace Gargiulo Trustee: Lisa Scalamanore Hayes Trustee: Tricia Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124) Beneficiary: Christina Scalamanore Holton Trustee: Grace Gargiulo Trustee: Lisa Scalamanore Hayes Trustee: Tricia Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamanore Qualified Subchapter S Trust (#26-6864255) Beneficiary: Tricia Scalamanore Trustee: Grace Gargiulo Trustee: Lisa Scalamanore Hayes Trustee: Tricia Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust I (#26-6864239) Beneficiary: Lisa Scalamanore Hayes Trustee: Grace Gargiulo Trustee: Lisa Scalamanore Hayes Trustee: Tricia Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Olga Greenhill Qualified Subchapter S Trust II (#26-6864200) Beneficiary: Olga Scalamanore Greenhill Trustee: Grace Gargiulo Trustee: Lisa Scalamanore Hayes Trustee: Tricia Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust I (#26-6864147) Beneficiary: Christina Scalamanore Holton Trustee: Grace Gargiulo Trustee: Lisa Scalamanore Hayes Trustee: Tricia Scalamanore
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamanore Qualified Subchapter S Trust I (#26-6864267) Beneficiary: Tricia Scalamanore Trustee: Grace Gargiulo Trustee: Lisa Scalamanore Hayes Trustee: Tricia Scalamanore
29.5000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalamanore Qualified Subchapter S Trust (#26-6269227) Beneficiary: Peter Scalamanore Trustee: Peter Scalamanore Trustee: Jil Scalamanore Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jil Scalamanore Clark Qualified Subchapter S Trust (#26-6269243) Beneficiary: Jil Scalamanore Clark Trustee: Peter Scalamanore
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalamanore Qualified Subchapter S Trust (#26-6269210) Beneficiary: Richard Scalamanore Trustee: Peter Scalamanore
6.0000%	10,000.00 shares	Non-Voting Common Stock	Patricia Scalamanore Qualified Subchapter S Trust (#26-6269182) Beneficiary: Patricia Scalamanore Trustee: Peter Scalamanore
100.0000%	200,000.00 shares		

SEA GREST CONSTRUCTION CORP.

OFFICERS

Petar Scalomandros, Pres.
 Demon DiSimone, Esq., Secy.
 Lisa B. Hayes, Treas.
 MJ McCarthy, VP/Controller



STOCKHOLDERS

0.5000%	1,000.00 shares	Voting Common Stock	Petar Scalomandros Qualified Subchapter S Trust (#20-6260227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#20-604230)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#20-604167)
0.1250%	250.00 shares	Voting Common Stock	Christina Hotten Qualified Subchapter S Trust (#20-604124)
0.1250%	250.00 shares	Voting Common Stock	Tricia Scalomandros Qualified Subchapter S Trust (#20-604255)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#20-604230)
			Beneficiary: Lisa Scalomandros Hayes
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalomandros Hayes
			Trustee: Tricia Scalomandros
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#20-604167)
			Beneficiary: Gina Scalomandros Greenhill
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalomandros Hayes
			Trustee: Tricia Scalomandros
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Hotten Qualified Subchapter S Trust (#20-604124)
			Beneficiary: Christina Scalomandros Hotten
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalomandros Hayes
			Trustee: Tricia Scalomandros
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalomandros Qualified Subchapter S Trust (#20-604255)
			Beneficiary: Tricia Scalomandros
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalomandros Hayes
			Trustee: Tricia Scalomandros
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#20-604230)
			Beneficiary: Lisa Scalomandros Hayes
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalomandros Hayes
			Trustee: Tricia Scalomandros
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#20-604250)
			Beneficiary: Gina Scalomandros Greenhill
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalomandros Hayes
			Trustee: Tricia Scalomandros
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Hotten Qualified Subchapter S Trust II (#20-604147)
			Beneficiary: Christina Scalomandros Hotten
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalomandros Hayes
			Trustee: Tricia Scalomandros
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalomandros Qualified Subchapter S Trust II (#20-604267)
			Beneficiary: Tricia Scalomandros
			Trustee: Grace Gargiulo
			Trustee: Lisa Scalomandros Hayes
			Trustee: Tricia Scalomandros
29.5000%	60,000.00 shares	Non-Voting Common Stock	Petar Scalomandros Qualified Subchapter S Trust (#20-6260227)
			Beneficiary: Petar Scalomandros
			Trustee: Petar Scalomandros
			Trustee: Jill Scalomandros Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalomandros Clark Qualified Subchapter S Trust (#20-6260245)
			Beneficiary: Jill Scalomandros Clark
			Trustee: Petar Scalomandros
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalomandros Qualified Subchapter S Trust (#20-6260210)
			Beneficiary: Richard Scalomandros
			Trustee: Petar Scalomandros
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paula Scalomandros Qualified Subchapter S Trust (#20-6260182)
			Beneficiary: Paula Scalomandros
			Trustee: Petar Scalomandros
100.0000%	200,000.00 shares		

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: January 11, 2019

1) Proposer's Legal Name: RJ/Scalamandre, JV

2) Address of Place of Business: 75 East Bethpage Road; Plainview, NY 11803

List all other business addresses used within last five years:

3) Mailing Address (if different): _____

Phone : 516-845-9772

Does the business own or rent its facilities? Facility owned by RJ 75 East Bethpage Road, LLC

4) Dun and Bradstreet number: _____

5) Federal I.D. Number: 46-5260846

6) The proposer is a (check one): _____ Sole Proprietorship _____ Partnership _____
Corporation _____ Other (Describe) Joint Venture

7) Does this business share office space, staff, or equipment expenses with any other business?

Yes x No _____ If Yes, please provide details: R.J. Industries, Inc. and affiliates

8) Does this business control one or more other businesses? Yes _____ No x If Yes, please provide details: _____

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ☒ No ☐ If Yes, provide details. Members of this joint venture are RJ Industries, Inc. and Peter Scalamandre and Sons, Inc.
- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ☐ No ☒ If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). _____
- 11) Has the proposer, during the past seven years, been declared bankrupt? Yes ☐ No ☒ If Yes, state date, court jurisdiction, amount of liabilities and amount of assets _____
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. _____
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. _____
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
- a) Any felony charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. _____
- b) Any misdemeanor charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. _____
- c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes ☐ No ☒

If Yes, provide details for each such conviction _____

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?
Yes ____ No x If Yes, provide details for each such conviction: _____

e) In the past 5 years, been found in violation of any administrative, statutory, or
regulatory provisions? Yes ____ No x If Yes, provide details for each such
occurrence. _____

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated
business had any sanction imposed as a result of judicial or administrative proceedings with
respect to any professional license held? Yes ____ No x; If Yes, provide details for
each such instance. _____

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to
pay any applicable federal, state or local taxes or other assessed charges, including but not
limited to water and sewer charges? Yes ____ No x If Yes, provide details for each
such year. Provide a detailed response to all questions checked 'YES'. If you need more
space, photocopy the appropriate page and attach it to the questionnaire. _____

Provide a detailed response to all questions checked "YES". If you need more space,
photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. **NOTE: If no
conflicts exist, please expressly state "No conflict exists."**

(i) Any material financial relationships that your firm or any firm employee has
that may create a conflict of interest or the appearance of a conflict of interest in
acting on behalf of Nassau County.

No conflict exists

(ii) Any family relationship that any employee of your firm has with any County
public servant that may create a conflict of interest or the appearance of a conflict
of interest in acting on behalf of Nassau County.

No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or
the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

b) Please describe any procedures your firm has, or would adopt, to assure the
County that a conflict of interest would not exist for your firm in the future.

We are pleased to accommodate all reasonable efforts by the County of Nassau

that work toward eliminating and preventing conflicts of interest.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; April 1, 2014
 - ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner; see enclosed
 - iii) Name, address and position of all officers and directors of the company; see enclosed
 - iv) State of incorporation (if applicable);
 - v) The number of employees in the firm; 4 principals (officers) - estimated trade payroll 8-15
 - vi) Annual revenue of firm; \$7 million
 - vii) Summary of relevant accomplishments see enclosed schedule of projects
 - viii) Copies of all state and local licenses and permits. none
- B. Indicate number of years in business. 3+
- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services. Please refer to the enclosed experience schedules for RJ Industries, Inc. and Peter Scalamandre and Sons, Inc.
- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

R.J. Scalamandre, JV performed one project, which was for Nassau County,
Company Bay Park Grit Removal Facility Improvements (S3B120-02G)

Contact Person Damon Urso

Address Majorie Lane; East Rockaway, NY

City/State _____

Telephone 516-571-7359

Fax # _____

E-Mail Address durso@nassaucountyny.gov

Company _____

Contact Person _____

Address _____

City/State _____

Telephone _____

Fax # _____

E-Mail Address _____

Company _____

Contact Person _____

Address _____

City/State _____

Telephone _____

Fax # _____

E-Mail Address _____

RJ/Scalamandre, JV

Prime Contracts In Progress

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ/Scalamandre, JV</u> Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9804	\$15,677,700	June-20	23%

as of December 31, 2018

RJ/Scalamandre, JV

PROJECT & LOCATION

Bay Park Sewage Treatment Plant
Grit Removal Facility Improvements
Contract No. S3B120-02G

OWNER

Nassau County DPW

**Contractor /
Consultant**

Ken Arnold
karnold@nassaucountygov.gov
516-571-9604

CONTRACT AMOUNT DATE COMPLETED

\$16,187,700 2017

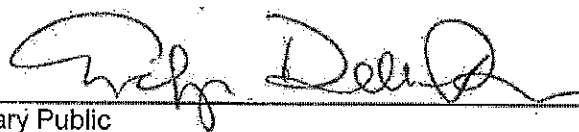
As of November 30, 2018

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Richard O. Felicetta, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 11th day of January, 2019


Notary Public

EVELYN DELLARATTA
Notary Public, State of New York
No. C1DE4854133
Qualified in Nassau County
My Commission expires on May 27, 2022

Name of submitting business: RJ/Scalamandre, JV

By: Richard O. Felicetta

Print name


Signature

Member

Title

1 / 11 / 2019
Date

A ii + iii

RJ/Scalamandre, JV

46-5260846

(a joint venture of R.J. Industries, Inc. and Peter Scalamandre and Sons, Inc.)

Members

Richard O. Felicetta: President, [REDACTED]

John Doremus: Vice President, [REDACTED]

Peter Scalamandre: [REDACTED]

Lisa B. Hayes: [REDACTED]

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

CONTRACTOR EVALUATION FORM (Interim/Final)

Contract No.: S3B120-02G Number of Prime Contracts: 1

Contract Desc: Grit Removal Facility - Replacement of (3) Grit Tanks, and upgrade of Ancillary Equipment in the facility

Contract Type: Construction Contract Amt: \$16,187,700.00

Firm's Name: RJ/Scalamandre JV Vendor I.D.: [REDACTED]

Address: [REDACTED]

Contract Completion Date: July 18th, 2017

Evaluate: [Good (G); Satisfactory (S); Unsatisfactory (U) or Not Applicable (n/a)]

Work Quality	<u>G</u>	Physical Facilities	<u>G</u>
Reliability	<u>G</u>	Technical Ability	<u>G</u>
Accountability	<u>G</u>	Record Keeping	<u>G</u>
Achieving Schedule	<u>S</u>	Cooperation	<u>G</u>
Substitution of Materials	<u>G</u>	Supervision	<u>S</u>
Attendance at Meetings	<u>G</u>	Organization	<u>G</u>
Litigation (Y/N)	<u>N</u>	Adequacy of Personnel	<u>S</u>
Compliance with Contract	<u>G</u>	Safety Compliance	<u>S</u>

Overall Performance G

Comments (Mandatory for U Ratings) _____

Rated by: Ben Liang

Title: Resident Engineer

Date: 4/5/2017

Reviewed by: Robert Pedenzin

4/5/2017

#9 A 11 & 111

PETER SCALAMANDRE & SONS, INC.

OFFICERS

Peter Scalamandre, Pres.
 Damon DiSimone, Esq., Secy.
 Lisa B. Hayes, Treas
 M.J. McCarthy, VP/Controller



STOCKHOLDERS

0.5000%	1,000.00 shares	Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-4263227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-4864218)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
0.1250%	250.00 shares	Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-6864265)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-6864265)
	shares		Beneficiary: Tricia Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6864239)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
0.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6864200)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-6864147)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust II (#26-6864267)
	shares		Beneficiary: Tricia Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
29.6000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269227)
	shares		Beneficiary: Peter Scalamandre
	shares		Trustee: Peter Scalamandre
	shares		Trustee: Jill Scalamandre Clark
10.3000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalamandre Clark Qualified Subchapter S Trust (#26-6269243)
	shares		Beneficiary: Jill Scalamandre Clark
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalamandre Qualified Subchapter S Trust (#26-6269210)
	shares		Beneficiary: Richard Scalamandre
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Pauline Scalamandre Qualified Subchapter S Trust (#26-6269192)
	shares		Beneficiary: Pauline Scalamandre
	shares		Trustee: Peter Scalamandre
100.0000%	200,000.00		

Aiii

RJ Industries, Inc.
11-3401288
New York State S-Corporation

Officers / Principals

Richard O. Felicetta: President,
John Doremus: Vice President,
John Koziarz: Vice President –
William Doremus: Secretary & Treasurer,

Safety/Risk Management/Engineering

Richard A. Felicetta – Field Risk Manager
Bob Sevier - Draftsman
Irto Kim - Survey

Project Management / Supervision / MWBE Procurement

Keith Olsen – Sr. Project Manager (Purchasing & Subcontract Management)
Nick Johnas – Project Manager
Joshua Rogers – Project Manager
Nicholas Felicetta – Project Manager
Maram Alam – Project Manager
Thomas Fallon – Project Manager
Joseph Felicetta – Supervisor
Todd Melzer – Supervisor
Tony Etersque – Supervisor (Mechanical)
Simone Washington – MWBE Officer

Main Office

Maryanne Hilton – Controller
Evelyn Dellaratta- Office Manager
Lynn Saccone – Purchasing Assistant

Union Staff includes Engineers, Carpenters, Plumbers, Steamfitters, Laborers

R.J. Industries, Inc.

Prime Contracts In Progress

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Cedar Creek WPCP Effluent Screening & Disinfection Facility Improv. (Contract S3C067-03G)	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$11,197,700	June-19	69%
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	March-19	87%
Bay Park Sewage Treatment Plant Level 1 - Biological Nutrient Removal (BNR) Improvements (Contract S35123-L39G)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$18,947,700	March-20	40%
Bay Park Sewage Treatment Plant Engine Generator Facility (Contract S35121-16A)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$2,977,700	December-19	70%
<u>BSRJA TV</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$97,447,200	September-19	89%
<u>RJ / Scalamandre, JV</u>					
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$15,677,700	June-20	23%
<u>RJ / Martin, JV</u>					
Coney Island WWTP Level 1 Step Feed BNR Upgrade (Contract: SF-BNR-C)	NYC DEP	Stanley Joseph 718-595-7800	\$46,874,100	March-22	11%

as of December 31, 2018

A VII

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	2017
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visone 516-571-7359	\$7,847,700	2017
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Joe Davenport jdavenport@nassaucountyny.gov 516-571-7515	\$16,187,700	2017
Bay Park Sewage Treatment Plant Electrical DistribSystem Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a TV)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$26,956,674	2016
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improvements to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$24,767,700	2016
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$3,937,700	2016

As of November 30, 2018

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-8604	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Kelth Summa 646-252-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3) Screening Room Odor Control General Construction & HVAC Contracts	County of Suffolk	John Berchtold 631-852-4189	\$2,347,860	2013
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-8604	\$2,701,537	2013

As of November 30, 2018

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
System & Related Infrastructure Repairs				
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartilucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$20,888,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading River CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW Ken Arnold 516-571-9604	\$3,647,700	2011

As of November 30, 2018

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: January 11, 2019

1) Proposer's Legal Name: R.J. Industries, Inc.

2) Address of Place of Business: 75 East Bethpage road; PO Box 349; Plainview, NY 11803

List all other business addresses used within last five years:

3) Mailing Address (if different): _____

Phone : 516-845-9772

Does the business own or rent its facilities? own

4) Dun and Bradstreet number: _____

5) Federal I.D. Number: 11-3401288

6) The proposer is a (check one): Sole Proprietorship s-corporation Partnership _____ Corporation _____ Other (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?

Yes x No _____ If Yes, please provide details: James McCullagh Co., Inc. & R.J.I. Mechanical, Inc.

8) Does this business control one or more other businesses? Yes x No _____ If Yes, please provide details: RJ East Bethpage Road, LLC (Real Estate Holding Company; RJ's main office)

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ☒ No ☐ If Yes, provide details. R.J.I. Mechanical, Inc. is an affiliate of RJ's; it is a NYC plumbing contractor.
- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ☐ No ☒ If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). _____
- 11) Has the proposer, during the past seven years, been declared bankrupt? Yes ☐ No ☒ If Yes, state date, court jurisdiction, amount of liabilities and amount of assets _____
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.
Yes ☐ No ☒ If Yes, provide details for each such investigation. _____
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. _____
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
- a) Any felony charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. _____
- b) Any misdemeanor charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. _____
- c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes ☐ No ☒

If Yes, provide details for each such conviction _____

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?
Yes ____ No x If Yes, provide details for each such conviction, _____

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? Yes ____ No x If Yes, provide details for each such occurrence. _____

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? Yes ____ No x; If Yes, provide details for each such instance. _____

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? Yes ____ No x If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. _____

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. **NOTE: If no conflicts exist, please expressly state "No conflict exists."**

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

We are not aware of any other matters that would create a conflict of interest or appearance of a conflict of interest

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

We are pleased to assist Nassau County and eliminate potential conflicts of interest; we will notify Nassau County staff in the event we believe they need to be made aware of such issues.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; 9/2/1997
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner; see attached
- iii) Name, address and position of all officers and directors of the company; see attached
- iv) State of incorporation (if applicable); New York
- v) The number of employees in the firm; 25 non-union & union staff varies (25-35)
- vi) Annual revenue of firm; \$30 million
- vii) Summary of relevant accomplishments see attached
- viii) Copies of all state and local licenses and permits. none

- B. Indicate number of years in business. 20

- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Suffolk County DPW

Contact Person Janice McGovern, P.E.

Address 335 Yaphank Avenue

City/State Yaphank, NY 11980

Telephone 631-852-4188

Fax # _____

E-Mail Address janice.mcGovern@suffolkcountyny.gov

Company H2M Group
Contact Person Chris Weiss
Address 538 Broadhollow Road
City/State Melville, NY 11747
Telephone 631-756-8000
Fax # _____
E-Mail Address cweiss@h2m.com

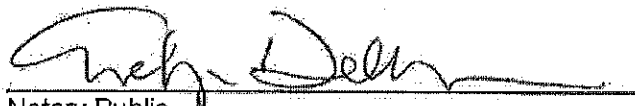
Company D&B Engineers & Architects
Contact Person Joseph Mautarano
Address 330 Crossways Park Drive
City/State Woodbury, NY 11797
Telephone 516-364-9890
Fax # _____
E-Mail Address _____

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

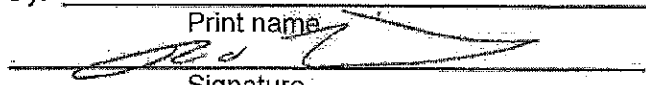
I, Richard O. Felicetta, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 11th day of January, 2019


Notary Public

EVELYN DELLARATTA
Notary Public, State of New York
No. C1DE4854133
Qualified in Nassau County
My Commission expires on May 27, 2022

Name of submitting business: R.J. Industries, Inc.

By: Richard O. Felicetta
Print name

Signature
President

Title

1 / 11 / 2019
Date

A ii + iii

RJ Industries, Inc.

11-3401288

New York State S-Corporation

Officers / Principals

Richard O. Felicetta: President, [REDACTED]

John Doremus: Vice President, [REDACTED]

John Koziarz: Vice President - [REDACTED]

William Doremus: Secretary & Treasurer, [REDACTED]

Safety/Risk Management/Engineering

Richard A. Felicetta - Field Risk Manager

Bob Sevier - Draftsman

Irto Kim - Survey

Project Management / Supervision / MWBE Procurement

Keith Olsen - Sr. Project Manager (Purchasing & Subcontract Management)

Nick Johneas - Project Manager

Joshua Rogers - Project Manager

Nicholas Felicetta - Project Manager

Maram Alam - Project Manager

Thomas Fallon - Project Manager

Joseph Felicetta - Supervisor

Todd Melzer - Supervisor

Tony Etersque - Supervisor (Mechanical)

Simone Washington - MWBE Officer

Main Office

Maryanne Hilton - Controller

Evelyn Dellaratta - Office Manager

Lynn Saccone - Purchasing Assistant

Union Staff includes Engineers, Carpenters, Plumbers, Steamfitters, Laborers

R.J. Industries, Inc.

Prime Contracts In Progress

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Cedar Creek WPCP Effluent Screening & Disinfection Facility Improv. (Contract S3C067-03G)	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$11,197,700	June-19	69%
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visona 516-571-7359	\$5,947,700	March-19	87%
Bay Park Sewage Treatment Plant Level 1 - Biological Nutrient Removal (BNR) Improvements (Contract S36123-L39G)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rodenzin@hazenandsawyer.com 516-881-7835	\$18,947,700	March-20	40%
Bay Park Sewage Treatment Plant Engine Generator Facility (Contract S35121-16A)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rodenzin@hazenandsawyer.com 516-881-7835	\$2,977,700	December-19	70%
<u>BSRJ A TV</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$97,447,200	September-19	89%
<u>RJ Scalapandre, JV</u>					
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$15,677,700	June-20	23%
<u>RJ Martin, JV</u>					
Coney Island WWTP Level 1 Step Feed BNR Upgrade (Contract: SF-BNR-CI)	NYC DEP	Stanley Joseph 718-595-7800	\$46,874,100	March-22	11%

as of December 31, 2018

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	2017
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Vlsone 516-571-7359	\$7,647,700	2017
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Joe Davenport jdavenport@nassaucountyny.gov 516-571-7515	\$16,187,700	2017
Bay Park Sewage Treatment Plant Electrical DistribSystem Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a TAV)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$28,956,674	2016
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,600	2016
Cedar Creek WPCP Improvements to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$24,767,700	2016
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$3,937,700	2016

As of November 30, 2018

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Padenzin (Hazen & Sawyer) rpadenzin@hazenandsawyer.com 516-881-7835	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Kelth Summa 646-252-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3) Screening Room Odor Control General Construction & HVAC Contracts	County of Suffolk	John Berchtold 631-852-4189	\$2,347,880	2013
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$2,701,537	2013

As of November 30, 2018

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
System & Related Infrastructure Repairs				
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartilucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading River CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW Ken Arnold 516-571-9604	\$3,647,700	2011

As of November 30, 2018

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

**NOTE: All questions require a response, even if response is "none" or "not-applicable."
No blanks.**

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 01/03/19

1) Proposer's Legal Name: PETER SCALAMANDAR & SONS INC

2) Address of Place of Business: 157 ALBANY AVE FREEPORT NY 11520

List all other business addresses used within last five years: NONE

3) Mailing Address (if different): _____

Phone: 516 868 3000

Does the business own or rent its facilities? RENT

4) Dun and Bradstreet number: 00241 3730

5) Federal I.D. Number: 11 1805182

6) The proposer is a (check one): ☒ Sole Proprietorship ☐ Partnership ☒ Corporation ☐ Other (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?
Yes ☒ No ☐ If Yes, please provide details: SEE ATTACHMENT

8) Does this business control one or more other businesses? Yes ☐ No ☒ If Yes, please provide details: _____

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ☒ No ☐ If Yes, provide details. SEE ATTACHMENT
- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ☐ No ☒ If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture; or details regarding the termination (if a contract). _____
- 11) Has the proposer, during the past seven years, been declared bankrupt? Yes ☐ No ☒ If Yes, state date, court jurisdiction, amount of liabilities and amount of assets _____
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. _____
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. _____
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
- a) Any felony charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. _____
- b) Any misdemeanor charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. _____
- c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes ☐ No ☒

P. SCALAMANDRE & SONS INC

If Yes, provide details for each such conviction _____

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?
Yes ___ No X If Yes, provide details for each such conviction. _____

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? Yes X No ___ If Yes, provide details for each such occurrence. OSHA VIOLATIONS

SEE ATTACHMENTS

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? Yes ___ No X; If Yes, provide details for each such instance. _____

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? Yes ___ No X If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. _____

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

NO CONFLICT EXISTS

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

NO CONFLICT EXISTS

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

NO

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

IF A CONFLICT AROSE DURING THE TERM OF THE CONTRACT
WE WOULD MEET WITH THE COUNTY TO RESOLVE ANY ISSUES

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; 07/19/1956
- ii) Name, addresses, and position of all persons having a financial interest in the SEE company, including shareholders, members, general or limited partner; ATTACHMENT
- iii) Name, address and position of all officers and directors of the company; "
- iv) State of Incorporation (if applicable); NEW YORK
- v) The number of employees in the firm; 400
- vi) Annual revenue of firm; 110,000,000
- vii) Summary of relevant accomplishments
- viii) Copies of all state and local licenses and permits. N/A

- B. Indicate number of years in business. 63
- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.
- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company DELTA AIRLINES 84347,000

Contact Person Peter CHORMAN

Address JFK AIRPORT

City/State JAMAICA NY

Telephone 646 484 0133

Fax # _____

E-Mail Address _____

Company NYS DOT 28,000,000
Contact Person RAY WEIKLER
Address 250 VETS MEMORIAL HWY
City/State HAMPSHIRE NY 11788
Telephone 631 952 6045
Fax # _____
E-Mail Address _____

Company TISHMAN CONST CO. 60,000,000
Contact Person FRANK DE GENNARO
Address 100 PARK AVE
City/State NEW YORK NY 10017
Telephone 913 703 5030
Fax # _____
E-Mail Address _____

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Peter SCALAMANDRE, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 3 day of JAN 2019

Gina S. Greenhill
Notary Public

GINA S. GREENHILL
Notary Public, State of New York
No. 01GR4867285
Qualified in Suffolk County
Commission Expires October 8, 2022

Name of submitting business: Peter SCALAMANDRE & SONS INC

By: Peter SCALAMANDRE
Print name
[Signature]
Signature

PRESIDENT
Title

01.03.19
Date



November 19, 2018

Shared Office Space

Sea Crest Construction Corp.	11-1960116
Seville Central Mix	11-2526339
Mill Rental Corp.	11-2905956
Sea Crest Development Corp.	11-2171973
Seament Transportation LLC	11-3592000
Winding Road Leasing	11-3592254
Scalamandre Real Estate	11-6140493

All- 157 Albany Avenue, Freeport, NY 11520

Business History Form
7

9 A 11 & 111

PETER SCALAMANDRE & SONS, INC.

OFFICERS

Peter Scalamandre, Pres.
 Dayton DiSimone, Esq., Secy.
 Lisa B. Hayes, Treas.
 M.J. McCarthy, VP/Controller



STOCKHOLDERS

0.0000%	1,000.00 shares	Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-828227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-8864218)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-8864167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-8864124)
0.1250%	250.00 shares	Voting Common Stock	Titola Scalamandre Qualified Subchapter S Trust (#26-8864266)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-8864218)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Titola Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-8864167)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Titola Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-8864124)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Titola Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Titola Scalamandre Qualified Subchapter S Trust (#26-8864266)
	shares		Beneficiary: Titola Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Titola Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-8864239)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Titola Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-8864200)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Titola Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-8864147)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Titola Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Titola Scalamandre Qualified Subchapter S Trust II (#26-8864267)
	shares		Beneficiary: Titola Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Titola Scalamandre
29.5000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-828227)
	shares		Beneficiary: Peter Scalamandre
	shares		Trustee: Peter Scalamandre
	shares		Trustee: Jill Scalamandre Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalamandre Clark Qualified Subchapter S Trust (#26-828248)
	shares		Beneficiary: Jill Scalamandre Clark
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalamandre Qualified Subchapter S Trust (#26-828210)
	shares		Beneficiary: Richard Scalamandre
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Pauline Scalamandre Qualified Subchapter S Trust (#26-828192)
	shares		Beneficiary: Pauline Scalamandre
	shares		Trustee: Peter Scalamandre
100.0000%	200,000.00 shares		

SEA CREST CONSTRUCTION CORP.

OFFICERS

Peter Scalomandre, Pres.
Damon D'Simonds, Esq., Secy.
Lisa B. Hayes, Treas
MJ McCarthy, VP/Controller



STOCKHOLDERS

0.6200%	1,000.00 shares	Voting Common Stock	Peter Scalomandre Qualified Subchapter S Trust (#26-6268227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
0.1250%	250.00 shares	Voting Common Stock	Christine Holton Qualified Subchapter S Trust (#26-6864124)
0.1250%	250.00 shares	Voting Common Stock	Tilda Scalomandre Qualified Subchapter S Trust (#26-6864255)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
	shares		Beneficiary: Lisa Scalomandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tilda Scalomandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
	shares		Beneficiary: Gina Scalomandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tilda Scalomandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christine Holton Qualified Subchapter S Trust (#26-6864124)
	shares		Beneficiary: Christine Scalomandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tilda Scalomandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tilda Scalomandre Qualified Subchapter S Trust (#26-6864255)
	shares		Beneficiary: Tilda Scalomandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tilda Scalomandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6864230)
	shares		Beneficiary: Lisa Scalomandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tilda Scalomandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6864200)
	shares		Beneficiary: Gina Scalomandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tilda Scalomandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christine Holton Qualified Subchapter S Trust II (#26-6864147)
	shares		Beneficiary: Christine Scalomandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tilda Scalomandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tilda Scalomandre Qualified Subchapter S Trust II (#26-6864287)
	shares		Beneficiary: Tilda Scalomandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tilda Scalomandre
29.6000%	68,000.00 shares	Non-Voting Common Stock	Peter Scalomandre Qualified Subchapter S Trust (#26-6268227)
	shares		Beneficiary: Peter Scalomandre
	shares		Trustee: Jill Scalomandre Clark
70.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalomandre-Clark Qualified Subchapter S Trust (#26-6268248)
	shares		Beneficiary: Jill Scalomandre Clark
	shares		Trustee: Peter Scalomandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalomandre Qualified Subchapter S Trust (#26-6268210)
	shares		Beneficiary: Richard Scalomandre
	shares		Trustee: Peter Scalomandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paulette Scalomandre Qualified Subchapter S Trust (#26-6268192)
	shares		Beneficiary: Paulette Scalomandre
	shares		Trustee: Peter Scalomandre
100.0000%	200,000.00 shares		

AFFILIATES

MILL RENTAL CORP. (#11-2905950)

OFFICERS

Peter Scalamandre, President
Lisa Hayes, Vice President
Tricia Scalamandre, Secretary

STOCKHOLDERS

12.50% Lisa Hayes Family Trust
12.50% Tricia Scalamandre Family Trust
12.50% Christina Hutton Family Trust
12.50% Gina Greenhill Family Trust
16.67% Peter Scalamandre Family Trust
16.67% Joseph L Scalamandre Family Trust
16.66% Jill Clark Family Trust

SEVILLE CENTRAL MIX CORP. (#11-2626336)

OFFICERS

Peter Scalamandre, President
Lisa Hayes, Vice President
Tricia Scalamandre, Secretary

STOCKHOLDERS

12.50% Lisa Hayes Family Trust
12.50% Tricia Scalamandre Family Trust
12.50% Christina Hutton Family Trust
12.50% Gina Greenhill Family Trust
16.67% Peter Scalamandre Family Trust
16.67% Joseph L Scalamandre Family Trust
16.66% Jill Clark Family Trust

SCATY MATERIALS CORP. (#11-2807020)

OFFICERS

Peter Scalamandre, President
Thomas Pratt, Vice President
James Pratt, Treasurer

STOCKHOLDERS

45.000% James Pratt
5.625% Lisa Hayes Family Trust
5.625% Tricia Scalamandre Family Trust
5.625% Christina Hutton Family Trust
5.625% Gina Greenhill Family Trust
7.500% Peter Scalamandre Family Trust
7.500% Joseph L Scalamandre Family Trust
7.500% Jill Clark Family Trust
3.334% John Watral
3.333% Tom Watral
3.333% Paul Watral

SEA CREST DEVELOPMENT CORP. (#11-2171973)

OFFICERS

Lisa Hayes, President
Peter Scalamandre, Vice President
Tricia Scalamandre, Secretary

STOCKHOLDERS

14.2860% Peter Scalamandre
14.2860% J Scalamandre QTIP - Residuary
14.2850% Jill Clark
14.2860% Lisa Hayes
14.2860% Gina Greenhill
14.2850% Christina Hutton
14.2850% Tricia Scalamandre

SEAMENT TRANSPORTATION, LLC (#11-3592000)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

37.5% Peter Scalamandre
37.5% J Scalamandre QTIP - Residuary
20.0% Thomas Hayes
5.0% Peter Buck

WINDING ROAD LEASING CORP. (#11-3592254)

OFFICERS

Peter Scalamandre, President
Thomas Hayes, Vice President
Peter Buck, Secretary

STOCKHOLDERS

37.5% Peter Scalamandre
37.5% J Scalamandre QTIP - Residuary
20.0% Thomas Hayes
5.0% Peter Buck

SEVEN "S" REALTY, LLC (#11-3418887)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

16.6700% Peter Scalamandre
16.6700% J Scalamandre QTIP - Residuary
16.6600% Jill Clark
12.5000% Lisa Hayes
12.5000% Gina Greenhill
12.5000% Christina Hotton
12.5000% Tricia Scalamandre

SSS REALTY CO., LLC (#11-3313488)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

16.6700% Peter Scalamandre
16.6700% J Scalamandre QTIP - Residuary
16.6600% Jill Clark
12.5000% Lisa Hayes
12.5000% Gina Greenhill
12.5000% Christina Hotton
12.5000% Tricia Scalamandre

SOUTH SHORE MATERIALS, INC. (#11-3196622)

OFFICERS

Giuseppe Gianquinto, President
Peter Scalamandre, Vice President
James J Pratt, Secretary
Eugene Augustiewicz, Treasurer

STOCKHOLDERS

8.3400% James J Pratt
8.3300% Thomas Pratt
8.3300% Cathleen Williams
25.0000% Eugene Augustiewicz
8.3400% Giuseppe Gianquinto
8.3300% Luigi Gianquinto
8.3300% Anthony Gianquinto
4.1700% Peter Scalamandre
4.1700% J Scalamandre QTIP - Residuary
4.1600% Jill Clark
3.1250% Lisa Hayes
3.1250% Gina Greenhill
3.1250% Christine Hotton
3.1250% Tricia Scalamandre

SOUTH SHORE LAND, LLC (#11-3485012)

MANAGEMENT

Giuseppe Gianquinto, Managing Member

MEMBERS

8.3400% James J Pratt
8.3300% Thomas Pratt
8.3300% Cathleen Williams
25.0000% Eugene Augustawicz
8.3400% Giuseppa Gianquinto
8.3300% Luigi Gianquinto
8.3300% Anthony Gianquinto
4.1700% Peter Scalamandre
4.1700% J Scalamandre QP - Residuary
4.1600% Jill Clark
3.1250% Lisa Hayes
3.1250% Gine Greenhill
3.1250% Christina Hellen
3.1250% Tricia Scalamandre

PRS SALES & LEASING LLC (#11-2068549)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

100.0000% Peter Scalamandre

FRED SCALAMANDRE & JOSEPH SCALAMANDRE REAL ESTATE (#11-8746493)

OWNERSHIP

1.0000% Fred Scalamandre
49.0000% Scalamandre Family Ltd Pshp I
1.0000% Joseph Scalamandre
49.0000% Joseph Scalamandre Family Ltd Pshp I

SCALAMANDRE FAMILY LTD PSHIP I (#11-3364127)

OWNERSHIP

1.0000% Fred Scalamandre
1.0000% F Scott & Corp.
12.2500% Scalamandre Irrevocable Trust I (Gina)
12.2500% Scalamandre Irrevocable Trust II (Lisa)
12.2500% Scalamandre Irrevocable Trust III (Christina)
12.2500% Scalamandre Irrevocable Trust IV (Tricia)
12.2500% Scalamandre Irrevocable Trust V (Gina)
12.2500% Scalamandre Irrevocable Trust VI (Lisa)
12.2500% Scalamandre Irrevocable Trust VII (Christina)
12.2500% Scalamandre Irrevocable Trust VIII (Tricia)

JOSEPH SCALAMANDRE FAMILY LTD PSHIP I (#88-2448818)

OWNERSHIP

1.0000% Joseph Scalamandre
1.0000% Joseph Scalamandre Management Inc.
32.8700% J Scalamandre Irrev Trust FBO Peter
32.8600% J Scalamandre Irrev Trust FBO Jill
32.8700% J Scalamandre Irrev Trust FBO Joseph L

ATTACHMENT

U.S. Department of Labor
Office of the Solicitor

201 Varick Street, Room 983
New York, NY 10014
Phone: (646) 264-3650
Fax: (646) 264-3660



Reply to the Attention of: SOL:DH:KG

October 18, 2018

Honorable Covette Rooney, Chief Judge
Occupational Safety and Health
Review Commission
One Lafayette Centre
1120 20th Street, N.W., Suite 990
Washington, D.C. 20036-3419

Re: Secretary of Labor v. Peter Scalamandre & Sons, Inc.
OSHRC Docket No. 18-1457

Dear Chief Judge Rooney:

Enclosed please find the executed Stipulated Settlement Agreement in this matter, along with the proposed Order Approving Settlement.

Sincerely,

Jeffrey S. Rogoff
Regional Solicitor

BY:

Daniel Hennefeld
Counsel for Occupational Safety and Health

Enclosures

FIVE PAGES 10/10/18

cc: Peter Scalamandre & Sons, Inc.

UNITED STATES OF AMERICA

OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

R. ALEXANDER ACOSTA, Secretary of Labor,
United States Department of Labor,
Complainant,

vs.

Peter Scalamandre & Sons, Inc.
Respondent.

OSHRC Docket No.

Inspection No. 1300489

STIPULATED SETTLEMENT

KATE S. O'SCANNLAIN
Solicitor of Labor

JEFFREY S. ROGOFF
Regional Solicitor

DANIEL HENNEFELD
Counsel for Occupational Safety & Health

U.S. Department of Labor,
Attorneys for R. ALEXANDER ACOSTA,
Secretary of Labor.

POST OFFICE ADDRESS:

Jeffrey S. Rogoff,
Regional Solicitor
U.S. Department of Labor
201 Varick Street, Rm. 983
New York, NY 10014
Tel. 646-264-3650

UNITED STATES OF AMERICA
OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

R. ALEXANDER ACOSTA, Secretary of Labor,
United States Department of Labor,

Complainant,

vs.

Peter Scalamandre & Sons, Inc.
Respondent.

OSHRC Docket No.

Inspection No. 1300489

STIPULATED SETTLEMENT

Based upon the following recital, the Complainant and the Respondent herein agree to the following as a conclusion of this matter:

1. The Complainant, R. ALEXANDER ACOSTA, Secretary of Labor, United States Department of Labor, hereby amends Item(s) 1 of Citation No. 1 to delete all references to said item(s) as "serious violation" and recharacterizing said item(s) as "other than serious."
2. Respondent affirmatively hereby agrees to provide the Queens District Office with abatement certification and documentation for each citation item within ten (10) days of the entry of a Final Order of the Review Commission.
3. The Secretary hereby amends the citation(s) proposed penalty to reflect a total amended proposed penalty of \$12934.00 to be assessed as follows:

<u>CITATION NO.</u>	<u>ITEM NO.</u>	<u>ORIGINAL PROPOSED PENALTY</u>	<u>AMENDED PROPOSED PENALTY</u>
1	i	\$12934.00	\$12934.00

TOTAL PROPOSED PENALTY: \$12934.00

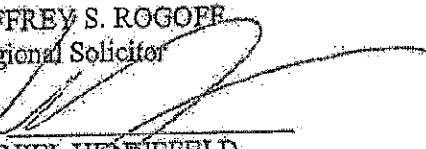
4. Based upon the above, Respondent herein withdraws its notice of contest as to the citation(s) and notification(s) of proposed penalty, as modified herein.
5. Respondent affirmatively states that:
 - a. The excavator was sold and is no longer onsite. The employer will provide a copy of the bill of sale.
 - b. The employer agrees to develop a policy and procedure to verify temporary and permanent employee's training.
6. Respondent affirmatively states that it will comply in the future with the Occupational Safety and Health Act.
7. Respondent certifies that on 10/10/2018, this stipulation will be posted where affected employees may see it.
8. Respondent will pay the amended proposed penalty of \$12,934.00 within ten (10) days of entry of a Final Order of the Review Commission by forwarding a check made payable to "Occupational Safety and Health Labor" in that amount to U.S. Department of Labor – OSHA, 45-17 Marathon Parkway, 2nd floor, Little Neck, NY 11362.
9. Each party hereby agrees to bear its own fees and other expenses incurred by such party in connection with any stage of this proceeding.
10. The Respondent consents to the electronic filing and service of this stipulated

settlement with the Review Commission.

DATED: 10/10/2018
New York, New York

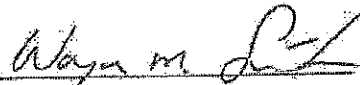
KATE S. O'SCANNLAIN
Solicitor of Labor

JEFFREY S. ROGOFF
Regional Solicitor

By: 
DANIEL HENNEFELD
Counsel for Occupational Safety and Health

U.S. Department of Labor,
Attorneys for R. ALEXANDER ACOSTA,
Secretary of Labor.

Peter Scalamandre & Sons, Inc.
Respondent

By: 

UNITED STATES OF AMERICA

OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

R. ALEXANDER ACOSTA, Secretary of Labor,
United States Department of Labor,

Complainant,

vs.

Peter Scalamandre & Sons, Inc.

Respondent.

OSHRC Docket No.

Inspection No. 1300489

ORDER APPROVING SETTLEMENT

Respondent in Inspection No. 1300489, by a letter dated 9/17/19, contested the citation issued to it by Complainant on 8/23/18. In that letter, Respondent also contested the \$12934.00 penalty proposed by Complainant for the citation.

An executed Stipulated Settlement has been received from the parties, and this stipulation addresses all matters at issue between the parties in this proceeding. The Stipulation having been read and considered, it is

ORDERED:

- (1) That the terms of the Stipulated Settlement are approved and incorporated as part of this Order; and
- (2) That this Order, pursuant to Section 12(j) of the Act, 29 U.S.C. Section 661(j) will become the final order of the Commission at the expiration of thirty (30) days from the date of docketing by the Executive Secretary, unless within that time a member of the Commission directs that it be reviewed.

Dated this 25th day of October, 2018

SO ORDERED:

Civil A. Baumeister
Judge, Occupational Safety and Health
Review Commission

CERTIFICATE OF SERVICE

I hereby certify that on _____, I served a copy of the attached Stipulated Settlement on _____ the authorized employee representative at the following address:

This service was accomplished by mailing the Stipulated Settlement to the representative at this last known address by postage pre-paid first class mail.

NAME: _____

TITLE: _____

CERTIFICATE OF SERVICE

I hereby certify that on _____, I personally delivered a copy of the attached Stipulated Settlement on _____, the authorized employee representative at the following address:

NAME: _____

TITLE: _____

CERTIFICATION ON NON-REPRESENTATION

I hereby certify that there is no authorized employee representative for the employees affected by the action which is the subject of the attached Stipulated Settlement.

NAME: Wayne M. Smith

TITLE: Corp. Safety Director



U.S. Department of Labor

Occupational Safety and Health Administration
Queens District Office
45-17 Marathon Parkway, 2nd Floor
Little Neck, NY 11362

October 3, 2018

Mr. Wayne Smith
157 Albany Ave.
Freeport, NY 11520

OCT 11 2018

W. Smith
10/11/18
12,934
OSHA

Dear Mr. Smith:

Re: **Secretary of Labor v. Peter Scalamandre & Sons, Inc.**
Inspection No. 1300489

Enclosed please find an original and three copies of a Stipulated Settlement in the above matter. You will note that a copy of the agreement must be posted and that the date of posting must be filled in the appropriate space provided in the Stipulation (Paragraph 3).

IN ADDITION TO FILLING IN THE DATE OF POSTING COMMISSION RULES ALSO REQUIRE THAT YOU SERVE A COPY OF THE SETTLEMENT ON THE AUTHORIZED EMPLOYEE REPRESENTATIVE IF ANY. YOU MUST EXECUTE ONE OF THE CERTIFICATES OF SERVICE ANNEXED TO THE STIPULATED SETTLEMENT.

SCALAMANDRE & SONS • 157 ALBANY AVENUE • FREEPORT, N.Y. 11520					VENDOR ID #	CUSTOMER ID #	
					ONETIME		2048591
DATE	INVOICE NO.	DESCRIPTION	AMOUNT	RETAINAGE	NET AMOUNT		
10-03-18	100318	INSPECTION 1300489	12934.00	.00	12934.00		
10-11-18	2048591			TOTAL	12934.00		

U.S. Department of Labor

Occupational Safety and Health Administration
Manhattan Area Office
201 Varick Street - Room 908
New York, New York 10014
Tel: (212) 620-3200
Fax: (212) 620-4121
OSHA Website Address: <http://www.osha.gov>



April 2, 2015

Peter Scalamandre and Sons, Inc.
157 Albany Avenue
Freeport, NY 11520
Attn: Wayne Smith, Safety Director

Ref: Inspection 1011559

Dear Mr. Smith;

As a result of discussions between representatives of OSHA and you with your ~~representatives concerning the OSHA inspection of your establishment~~, Inspection Number 1011559, conducted on 12/04/2014, the parties have reached a tentative agreement resolving disputed citations, penalties and abatement dates.

You have indicated that you are willing to sign the Settlement Agreement we reached verbally on 04/01/2015.

Attached to this letter is a written document reflecting the agreement. The document has been signed and dated by the Area Director and requires the signature of an authorized company official to make the agreement binding upon the company. The agreement must be signed on or before **04/06/2015**, which is the last day of the 15 working day contest period, provided for by the Occupational Safety and Health Act.

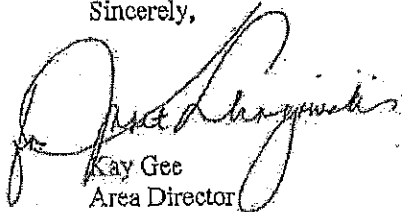
If you agree with the terms as set forth, please sign the agreement, without change, date it and return it to the Area Office. Any change will render the document invalid unless such change is accepted by the Area Director before the date of final order.

If, after further consideration, you decide not to sign the proposed settlement agreement, the citations, penalties and abatement dates as previously issued will become a final and unappealable order unless you file, before the date of the final order, a written notice of your intent to contest the citations and penalties formally before the Occupational Safety and Health Review Commission.

Please note that any notice of intent to contest must be in writing. Any oral statements of dissatisfaction with the citations or penalties which you may have expressed to OSHA personnel do not constitute a formal notice of contest.

If you have any questions regarding any of the matters discussed in this letter, please contact me at your earliest convenience.

Sincerely,



Kay Gee
Area Director

U.S. Department of Labor
Occupational Safety and Health Administration

Inspection Number: 1011559
Inspection Date(s): 12/04/2014 - 02/24/2015
Issuance Date: 03/12/2015



Citation and Notification of Penalty

Company Name: Peter Scalamandre and Sons Inc.
Inspection Site: 1 West End Ave, New York, NY 10023

Citation 1 Item 2 Type of Violation: **Serious**

29 CFR 1926.502(e)(9): Positioning device systems were not inspected prior to each use for wear, damage, and other deterioration, and defective components were not removed from service:

a) West Crash wall:

On or about December 4, 2014

The positioning device system was not inspected and removed from use for defective components.

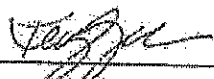
NOTE: THE EMPLOYER IS REQUIRED TO SUBMIT ABATEMENT CERTIFICATION FOR THIS ITEM, FAILURE TO COMPLY WILL RESULT IN AN ADDITIONAL PENALTY OF \$1,000.00 AS PER 29 CFR 1903.19.

Date By Which Violation Must be Abated:

03/24/2015

Proposed Penalty:

\$4500.00



Kay Gee
Area Director

COUNTY OF NASSAU
CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: RJ/Scalamandre, JV

Address: 75 East Bethpage Road; PO Box 349

City, State and Zip Code: Plainview, NY 11803

2. Entity's Vendor Identification Number: 46-5260846

3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture
☐ Ltd. Liability Co ☐ Closely Held Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Richard O. Felicetta, Member; [REDACTED]

John C. Doremus, Member; [REDACTED]

Peter Scalamandre, Member; [REDACTED]

Lisa Hayes, Member; [REDACTED]

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

See response to question 4.

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

R.J. Industries, Inc. a member of RJ/Scalamandre, JV (disclosure enclosed)

~~Peter Scalamandre and Sons Inc. a member of RJ/Scalamandre, JV (disclosure enclosed)~~

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

None

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

None

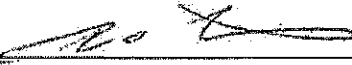
(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: January 11, 2019

Signed: 

Print Name: Richard O. Felicetta

Title: Member

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The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: R.J. Industries, Inc.

Address: 75 East Bethpage Road; PO Box 349

City, State and Zip Code: Plainview, NY 11803

2. Entity's Vendor Identification Number: 11-3401288

3. Type of Business: Public Corp Partnership Joint Venture

Ltd. Liability Co Closely Held Corp s-corp Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Richard O. Felicetta	President/Stockholder	[REDACTED]
John C. Doremus	VP / Stockholder	[REDACTED]
John E. Kozlarz	VP / Stockholder	[REDACTED]
William J. Doremus	Secretary/Treasurer	[REDACTED]

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

see response to question #4

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

RJI Mechanical, Inc. - NY City Plumbing Contractor; not expected to perform on this project

BSRJ A T/V - Joint Venture of Bana Electric Corp; Peter Scalamandre and Sons Corporation and
RJ Industries, Inc.; not expected to perform on this project

RJ Scalamandre, JV - Joint Venture of Peter Scalamandre and Sons Corporation and

RJ Industries, Inc.; ~~not~~ expected to perform on this project (as Prime Gen. Contractor)

WB

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

we have not hired or worked with a lobbyist in connection with this project

Page 3 of 4

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

not applicable - we have not worked with a lobbyist

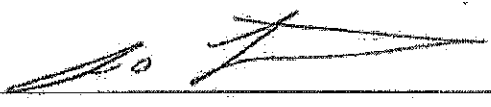
(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

not applicable

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: January 11, 2019

Signed: 

Print Name: Richard O. Felicetta

Title: President

Page 4 of 4

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Peter Scalamandre & Sons Inc.

Address: 151 ALBANY AVE

City, State and Zip Code: FREEPORT NY 11520

2. Entity's Vendor Identification Number: 111805182

3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture

☐ Ltd. Liability Co ☐ Closely Held Corp S. Corp Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

SEE ATTACHMENT

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

SEE ATTACHMENT

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

SEE ATTACHMENT

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

NO LOBBYING ACTIVITY

Page 3 of 4

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

NONE

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

NONE

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 01/03/19

Signed:

Print Name:

Peter SCALAMANDRE

Title:

PRESIDENT

Page 4 of 4

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

PETER SCALAMANDRE & SONS, INC.

OFFICERS

Peter Scalamandre, Pres.
 Cayton D'Skone, Esq., Secy.
 Lisa R. Hayes, Treas.
 MJ McGilley, VP/Controller



STOCKHOLDERS

0.0000%	1,000.00 shares	Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6064216)
0.1250%	250.00 shares	Voting Common Stock	Uma Greenhill Qualified Subchapter S Trust (#26-6064167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6064124)
0.1250%	250.00 shares	Voting Common Stock	Tilda Scalamandre Qualified Subchapter S Trust (#26-6064263)
0.1250%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6064216)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Uma Greenhill Qualified Subchapter S Trust (#26-6064167)
	shares		Beneficiary: Uma Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6064124)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tilda Scalamandre Qualified Subchapter S Trust (#26-6064263)
	shares		Beneficiary: Tilda Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6064216)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Uma Greenhill Qualified Subchapter S Trust (#26-6064167)
	shares		Beneficiary: Uma Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6064124)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tilda Scalamandre Qualified Subchapter S Trust (#26-6064263)
	shares		Beneficiary: Tilda Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tilda Scalamandre
29.6000%	59,200.00 shares	Non-Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6261227)
	shares		Beneficiary: Peter Scalamandre
	shares		Trustee: Peter Scalamandre
	shares		Trustee: Lisa Scalamandre Hayes
10.0000%	20,000.00 shares	Non-Voting Common Stock	Lisa Scalamandre Qualified Subchapter S Trust (#26-6064216)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Peter Scalamandre
6.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalamandre Qualified Subchapter S Trust (#26-6269210)
	shares		Beneficiary: Richard Scalamandre
	shares		Trustee: Peter Scalamandre
6.0000%	10,000.00 shares	Non-Voting Common Stock	Paulette Scalamandre Qualified Subchapter S Trust (#26-6269192)
	shares		Beneficiary: Paulette Scalamandre
	shares		Trustee: Peter Scalamandre
100.0000%	200,000.00 shares		

SEA CREST CONSTRUCTION CORP.

OFFICERS

Petar Scalomandre, Pres.
Damon O'Simone, Esq., Secy.
Lisa B. Hayes, Treas.
MJ McCarthy, VP/Controller



STOCKHOLDERS

0.5000%	1,000.00 shares	Voting Common Stock	Petar Scalomandre Qualified Subchapter S Trust (#26-6288227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-684210)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-684167)
0.1250%	250.00 shares	Voting Common Stock	Christine Holton Qualified Subchapter S Trust (#26-684124)
0.1250%	250.00 shares	Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust (#26-684265)
0.1075%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-684216)
			Beneficiary: Lisa Scalomandre Hayes
			Trustee: Grace Gargallo
			Trustee: Lisa Scalomandre Hayes
			Trustee: Tricia Scalomandre
0.1075%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-684167)
			Beneficiary: Gina Scalomandre Gargallo
			Trustee: Grace Gargallo
			Trustee: Lisa Scalomandre Hayes
			Trustee: Tricia Scalomandre
0.1075%	12,375.00 shares	Non-Voting Common Stock	Christine Holton Qualified Subchapter S Trust (#26-684124)
			Beneficiary: Christine Scalomandre Holton
			Trustee: Grace Gargallo
			Trustee: Lisa Scalomandre Hayes
			Trustee: Tricia Scalomandre
0.1075%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust (#26-684265)
			Beneficiary: Tricia Scalomandre
			Trustee: Grace Gargallo
			Trustee: Lisa Scalomandre Hayes
			Trustee: Tricia Scalomandre
0.1075%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-684239)
			Beneficiary: Lisa Scalomandre Hayes
			Trustee: Grace Gargallo
			Trustee: Lisa Scalomandre Hayes
			Trustee: Tricia Scalomandre
0.1075%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-684200)
			Beneficiary: Gina Scalomandre Greenhill
			Trustee: Grace Gargallo
			Trustee: Lisa Scalomandre Hayes
			Trustee: Tricia Scalomandre
0.1075%	12,375.00 shares	Non-Voting Common Stock	Christine Holton Qualified Subchapter S Trust II (#26-684147)
			Beneficiary: Christine Scalomandre Holton
			Trustee: Grace Gargallo
			Trustee: Lisa Scalomandre Hayes
			Trustee: Tricia Scalomandre
0.1075%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust II (#26-684267)
			Beneficiary: Tricia Scalomandre
			Trustee: Grace Gargallo
			Trustee: Lisa Scalomandre Hayes
			Trustee: Tricia Scalomandre
29.0000%	58,000.00 shares	Non-Voting Common Stock	Petar Scalomandre Qualified Subchapter S Trust (#26-6288227)
			Beneficiary: Petar Scalomandre
			Trustee: Petar Scalomandre
			Trustee: Tricia Scalomandre
16.0000%	32,000.00 shares	Non-Voting Common Stock	JM Scalomandre Clerk Qualified Subchapter S Trust (#26-6288243)
			Beneficiary: JM Scalomandre Clerk
			Trustee: Petar Scalomandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalomandre Qualified Subchapter S Trust (#26-6288210)
			Beneficiary: Richard Scalomandre
			Trustee: Petar Scalomandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paula Scalomandre Qualified Subchapter S Trust (#26-6288192)
			Beneficiary: Paula Scalomandre
			Trustee: Petar Scalomandre
100.0000%	200,000.00 shares		

AFFILIATES

MILL RENTAL CORP. (#11-2905966)

OFFICERS

Peter Scalomandre, President
Lisa Hayes, Vice President
Tricia Scalomandre, Secretary

STOCKHOLDERS

12.50% Lisa Hayes Family Trust
12.50% Tricia Scalomandre Family Trust
12.50% Christina Holton Family Trust
12.50% Gina Greenhill Family Trust
18.67% Peter Scalomandre Family Trust
18.67% Joseph L. Scalomandre Family Trust
18.66% Jill Clark Family Trust

SEVILLE CENTRAL MIX CORP. (#11-2528339)

OFFICERS

Peter Scalomandre, President
Lisa Hayes, Vice President
Tricia Scalomandre, Secretary

STOCKHOLDERS

12.50% Lisa Hayes Family Trust
12.50% Tricia Scalomandre Family Trust
12.50% Christina Holton Family Trust
12.50% Gina Greenhill Family Trust
18.67% Peter Scalomandre Family Trust
18.67% Joseph L. Scalomandre Family Trust
18.66% Jill Clark Family Trust

SCATT MATERIALS CORP. (#11-2607020)

OFFICERS

Peter Scalomandre, President
Thomas Pratt, Vice President
James Pratt, Treasurer

STOCKHOLDERS

45.000% James Pratt
5.625% Lisa Hayes Family Trust
5.625% Tricia Scalomandre Family Trust
5.625% Christina Holton Family Trust
5.625% Gina Greenhill Family Trust
7.500% Peter Scalomandre Family Trust
7.500% Joseph L. Scalomandre Family Trust
7.500% Jill Clark Family Trust
3.333% John Watral
3.333% Tom Watral
3.333% Paul Watral

SEA CREST DEVELOPMENT CORP. (#11-2171973)

OFFICERS

Lisa Hayes, President
Peter Scalomandre, Vice President
Tricia Scalomandre, Secretary

STOCKHOLDERS

14.2850% Peter Scalomandre
14.2850% J. Scalomandre QTIP - Residuary
14.2850% Jill Clark
14.2850% Lisa Hayes
14.2850% Gina Greenhill
14.2850% Christina Holton
14.2850% Tricia Scalomandre

SEAMENT TRANSPORTATION, LLC (#11-3592050)

MANAGEMENT

Peter Scalomandre, Managing Member

MEMBERS

37.5% Peter Scalomandre
37.5% J. Scalomandre QTIP - Residuary
20.0% Thomas Hayes
5.0% Peter Duck

WINDING ROAD LEASING CORP. (#11-3582254)

OFFICERS

Peter Scalamandre, President
Thomas Hayes, Vice President
Peter Buck, Secretary

STOCKHOLDERS

37.5% Peter Scalamandre
37.5% J Scalamandre QTIP - Residuary
20.0% Thomas Hayes
5.0% Peter Buck

SEVEN "S" REALTY, LLC (#11-3418807)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

16.6700% Peter Scalamandre
16.6700% J Scalamandre QTIP - Residuary
16.6600% Jill Clark
12.5000% Lisa Hayes
12.5000% Gina Greenhill
12.5000% Christina Holton
12.5000% Tricie Scalamandre

SSS REALTY CO., LLC (#11-3313486)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

16.6700% Peter Scalamandre
16.6700% J Scalamandre QTIP - Residuary
16.6600% Jill Clark
12.5000% Lisa Hayes
12.5000% Gina Greenhill
12.5000% Christina Holton
12.5000% Tricie Scalamandre

SOUTH SHORE MATERIALS, INC. (#11-3196622)

OFFICERS

Giuseppe Gianquinto, President
Peter Scalamandre, Vice President
James J Pratt, Secretary
Eugene Augustewicz, Treasurer

STOCKHOLDERS

8.3400% James J Pratt
8.3300% Thomas Pratt
8.3300% Cathleen Williams
25.0000% Eugene Augustewicz
8.3400% Giuseppe Gianquinto
8.3300% Luigi Gianquinto
8.3300% Anthony Gianquinto
4.1700% Peter Scalamandre
4.1700% J Scalamandre QTIP - Residuary
4.1600% Jill Clark
3.1250% Lisa Hayes
3.1250% Gina Greenhill
3.1250% Christina Holton
3.1250% Tricie Scalamandre

SOUTH SHORE LAND, LLC (#12-3465012)

MANAGEMENT

Giuseppe Gianquinto, Managing Member

MEMBERS

8.3400% James J Pratt
8.3300% Thomas Pratt
8.3300% Cathleen Williams
25.0000% Eugene Augustiewicz
0.3400% Giuseppe Gianquinto
8.3300% Luigi Gianquinto
8.3300% Anthony Gianquinto
4.1700% Peter Scalamandre
4.1700% J Scalamandre QTIP - Residuary
4.1800% Jill Clark
3.1250% Lisa Hayes
3.1250% Gina Greenhill
3.1250% Christina Holton
3.1250% Tricia Scalamandre

PRO SALES & LEASING LLO (#11-2050649)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

100.0000% Peter Scalamandre

FRED SCALAMANDRE & JOSEPH SCALAMANDRE REAL ESTATE (#11-6140493)

OWNERSHIP

1.0000% Fred Scalamandre
49.0000% Scalamandre Family Ltd Pship I
1.0000% Joseph Scalamandre
49.0000% Joseph Scalamandre Family Ltd Pship I

SCALAMANDRE FAMILY LTD PSHIP I (#11-3364127)

OWNERSHIP

1.0000% Fred Scalamandre
1.0000% F Scale I Corp.
12.2500% Scalamandre Irrevocable Trust I (Gina)
12.2500% Scalamandre Irrevocable Trust II (Lisa)
12.2500% Scalamandre Irrevocable Trust III (Christina)
12.2500% Scalamandre Irrevocable Trust IV (Tricia)
12.2500% Scalamandre Irrevocable Trust V (Gina)
12.2500% Scalamandre Irrevocable Trust VI (Lisa)
12.2500% Scalamandre Irrevocable Trust VII (Christina)
12.2500% Scalamandre Irrevocable Trust VIII (Tricia)

JOSEPH SCALAMANDRE FAMILY LTD PSHIP I (#68-2440616)

OWNERSHIP

1.0000% Joseph Scalamandre
1.0000% Joseph Scalamandre Management Inc.
32.6700% J Scalamandre Irrev Trust FBO Peter
32.6700% J Scalamandre Irrev Trust FBO Jill
32.6700% J Scalamandre Irrev Trust FBO Joseph L

**COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo**

TO: Office of the County Executive
Attn: Brian J. Schneider, Deputy County Executive

FROM: Kenneth G. Arnold, Commissioner

DATE: November 14, 2018

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: S35121-16G

Title: Bay Park Sewage Treatment Plant Secondary Flood Protection & Hardening of Critical Facilities

Engineer's Estimate: \$12,700,000

Bids Received On: December 12, 2017

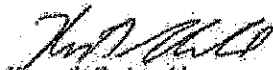
The bids received for the above referenced contract have been examined and "RJ/Scalamandre, JV" is the lowest responsible bidder in the amount as follows:

General Construction (Lump Sum Base Bid):	\$10,458,800.00
Extra earth excavation (Unit Price Bid Item):	\$ 3,750.00
Import Select Fill (Unit Price Bid Item):	\$ 6,250.00
Allowance (Unforeseen Conditions)	<u>\$ 400,000.00</u>
Bid Total:	\$10,868,800.00

The lowest responsible bid is 14.4% below the Engineer's Estimate. Three bids were received, one of which was rejected as the bidder failed to purchase the bids in the manner required by the Nassau County Electronic Solicitation Board, additionally outlined in the Notice and Supplemental Notice to Bidders.

Funding for the construction services is available under capital project 35121.


If you approve or disapprove of the above request, please signify below and return the memo to this office for appropriate action.


Kenneth G. Arnold
Commissioner

KGA:VF:rp
Attachment

c: Christopher Nolan, Deputy Budget Director
Vincent Falkowski, Deputy Commissioner
Roseann D'Alleva, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner of Administration
Thomas Immerso, Sanitary Engineer II
John Domenica, Jacobs

APPROVED:


Brian J. Schneider
Deputy County Executive

Date

DISAPPROVED:

Brian J. Schneider
Deputy County Executive

Date



RTI Number 12-0174 A

REQUEST TO INITIATE
REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC
☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work Order

Project Title: Bay Park STP Secondary Flood Protection & Hardening of Critical Facilities S35121-16G

Department: Public Works Project Manager: Damon Urso

Date: June 2, 2017

Rev. 11/15/18

Service Requested: General Construction

Justification: As a result of Hurricane Sandy, mitigation measures have been identified to protect the site and the STP from future flood surges. The flood proofing measures (presently under construction) for the perimeter of the Bay Park site consist of a combination of earthen berms, flood walls and flood doors with a top elevation of 18.25'. However, the potential risk of flooding emitting from a failure within the earthen berm or operator error requires a secondary level of protection to adequately protect critical facilities, structures, and process mechanical equipment necessary for the continued critical operation of processing liquid sewage flow through the plant. Accordingly, this contract will provide general construction for this work.

Requested by: Public Works - Water/Wastewater Engineering Unit

Project Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment): \$14,200,000.00

10,868,000

Circle appropriate phase.

Total Project Cost: \$19,000,000.00
includes, design, construction and CM

Date Start Work: October 2017
Phase being requested

Duration: Twenty-four (24) months
Phase being requested

Capital Funding Approval: YES ☒ NO ☐

SIGNATURE

DATE

Funding Allocation (Capital Project): 35121
See Attached Sheet if multiyear ☐

NIFS Entered: APP

SIGNATURE

DATE

AIM Entered: Deanna Furr

SIGNATURE

DATE

Funding Code: 35121-16L

use this on all encumbrances

Timesheet Code: 17-0174

use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐
Supplemental Environmental Documentation

Department Head Approval:

YES ☒ NO ☐

SIGNATURE

DCE/Ops Approval:

YES ☒ NO ☐

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval:
Version January 2014

YES NO

Signature _____

P. U.
11/15/18

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Kenneth G. Arnold, Commissioner
FROM: Water/Wastewater Engineering Unit
DATE: March 2, 2018
SUBJECT: RECOMMENDATION OF AWARD

Contract No: S35121-16G
Title: Bay Park STP Secondary Flood Protection & Hardening of Critical Facilities
Engineer's Estimate: \$12,700,000
Bids Received On: December 12, 2017

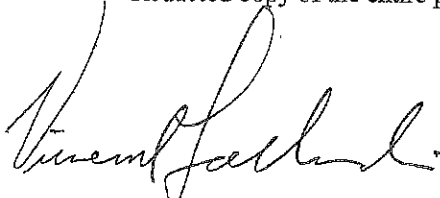
The bids received for the above-referenced contract have been examined, and the bid submitted by RJ/Scalamandre, JV, in the amount of \$10,868,800 is acceptable as the lowest responsible bidder.

The apparent low bid of \$10,868,800 is 14.4% below the engineer's estimate. We can attribute the lower bid to the current economy and the use of the PLA both of which resulted in a favorable cost savings for the County.

As a result, RJ/Scalamandre, JV's bid being approximately 14.4% below the engineer's estimate has been determined as the lowest responsible bidder. Accordingly, since adequate funds are available (Capital Project No. CSW 35121), it is requested that the Recommendation of Award be prepared for the Commissioner's signature and forwarded to the County Executive for his action.

Attached, herewith are the following documents for your information and use:

- Staff Summary,
- Comptroller Approval Form,
- Exhibit A-NC Political Campaign Contribution Disclosure Form,
- Request to Initiate,
- Engineer's Bid Analysis and Award Recommendation,
- CSEA,
- M/WBE utilization Plan, and
- Redacted copy of the entire package as listed above.



Vincent Falkowski
Deputy Commissioner for Environmental Programs

VF:DWU:rp
Attachments

c: Damon Urso, Sanitary Engineer III
Loretta Dionisio, Hydrogeologist II





D&B ENGINEERS AND ARCHITECTS, P.C.

330 Crossways Park Drive, Woodbury, New York 11797

516-364-9890 • 718-460-3634 • Fax: 516-364-9045 • www.db-eng.com

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Kevin J. Donlevy, P.E.
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Stephen E. Tauss, P.G.
Frank Visingardi, Jr., P.E.

February 13, 2018

Mr. Damon Urso, P.E.
Nassau County Department of Public Works
3340 Merrick Road, Building R, 3rd Floor
Wantagh, NY 11793

Re: Bay Park STP – Secondary Flood Protection and Hardening of
Critical Facilities
Contract No. S35121-16G
Bid Evaluation
D&B No. 3887

Dear Mr. Urso:

Bids were received on Tuesday, December 12, 2017 for Contract No. S35121-16G – Bay Park STP – Secondary Flood Protection and Hardening of Critical Facilities. A detailed Bid Summary is attached. A general summary of the submitted bids is included below:

Contract	Bidder	Bid Amount
S35121-16G – General Construction	RJ/Scalamandre, JV	\$10,868,800*
S35121-16G – General Construction	John P. Picone, Inc	\$13,297,000
S35121-16G – General Construction	W.H.M. Plumbing and Heating Contractors, Inc.	Rejected
Engineer's Opinion of Construction Cost		\$12,700,000

*Apparent Low Bidder

As observed in other previous projects similarly bid under a Project Labor Agreement (PLA) and confirming the findings of the PLA Feasibility Analysis, the apparent low bid for the General Construction Contract was below the construction cost estimate for this project. It is apparent that current economy and the use of the PLA generated the expected cost savings for the County.

The apparent low bidder responded to the information requested by the County in the letter dated December 29, 2017, attached for reference. In addition, the bid qualifications and financial information provided by the apparent low bidder appears to be in accordance with the Contract Documents, (attached).

"50+ Years of Facing Challenges, Finding Solutions... Since 1965"

D&B ENGINEERS AND ARCHITECTS, P.C.

Mr. Damon Urso, P.E.
Nassau County Department of Public Works
February 13, 2018

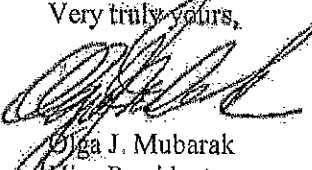
Page Two

The apparent low bidder has completed and is currently completing numerous projects of similar or larger magnitude which are included in their references in response to the 12/29/17 County letter. Some of these references are projects currently being performed for the County. A reference check and an internet search evaluation were performed with positive feedback obtained and no negative entries found, also attached.

Based on the above, we recommend the low bid be accepted and that the County award Contract No. S35121-16G – General Construction to RJ/Scalamandre, JV in the amount of \$10,868,800.

Should you have any questions regarding the above, please do not hesitate to contact this office.

Very truly yours,



Olga J. Mubarak
Vice President

OJM/vcf

Attachments:

- Detailed Bid Summary (Contract S35121-16G)
- Bid Sheets
- Record of Phone Conversation
- County Letter dated 12/29/17
- RJ/Scalamandre, JV Documentation by E-mail dated 1/18/18
- Reference Check Summary/Internet Search

cc: D. Urso (NCDPW)
J. H. Marturano (D&B)

♦3887/OJM18LTR-01

Nassau DPW B.I.D.S - Summary of Bid Opening

S35121-16G - General Contracting

12/13/2017

Bay Park Sewage Treatment Plant Secondary Flood Protection & Hardening of Critical Facilities

Bid Opening: 12/12/2017

Engineer: Damon Urso Phone: (516) 571-7534

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
RJ/Scalamandro, JV	75 East Bedpage Road P.O. Box 349 Plainview, NY 11803	Liberty Mutual Insurance Comp	10%	\$10,868,800.00	\$0.00
John P. Picone Inc.	31 Garden Lane, PO Box 913 Lawrence, NY 11559	Liberty Mutual Insurance Comp	10%	\$13,297,000.00	\$0.00
WHM Plumbing & Heating Contractors, Inc. 6H Enterprise Drive, E Setauket, NY 11733				REJECTED	

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Damon W. Urso, Sanitary Engineer III

FROM: Office of the Commissioner

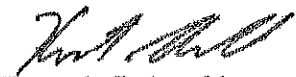
DATE: September 18, 2017

SUBJECT: CSEA Sub-Contracting Approval
C17-075 – S35121-16G
BPSTP Secondary Flood Protection and
Hardening of Critical Facilities General Construction

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. Pursuant to Section 32-3 of the CSEA/County CBA, CSEA has withdrawn its objection to the above-referenced contract known as **C17-075**.

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions, please speak with Jonathan Lesman.


Kenneth G. Arnold
Assistant to Commissioner

KGA:las

c: Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit
Loretta Dionisio, Hydrogeologist II
Jonathan Lesman, Management Analyst II



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Civil Service Employees Association, Nassau Local 830
 Att: Ronald Gurrieri, Executive Vice President

FROM: Department of Public Works

DATE: June 12, 2017

SUBJECT: CSEA Notification of a Proposed DPW Contract
 Bay Park STP Secondary Flood Protection and Hardening of Critical Facilities
 General Construction
 Proposed Contract No. S35121-16G

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW contract/agreement is for the work which has "historically and exclusively been performed by bargaining unit members".

1. DPW plans to recommend a contract/agreement for the following services:
 Construction to protect critical plant facilities/infrastructures/critical mechanical equipment emitting from a failure within the earthen berm and/or its components due to a breach or operator error.
2. The work involves the following:
Scope of Work. Construction contract that will furnish all labor, equipment and materials required to complete all structural, architectural, mechanical, electrical, HVAC and appurtenant work required for the secondary flood protection and hardening of critical facilities of the Tier 1 structures for the Bay Park Sewage Treatment Plant.
3. An estimate of the construction cost is: \$14,200,000.00
4. An estimate of the design duration is: Twenty-four (24) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.



Kenneth G. Arnold
 Assistant to Commissioner

KGA:WSN:JLD:rp

- c: Christopher Fusco, Director, Office of Labor Relations
 Brian Libert, Deputy Director, Office of Labor Relations
 Robert Bedford, Office of the County Attorney
 William S. Nimmo, Deputy Commissioner
 Diane Pyne, Unit Head, Human Resources Unit
 Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit
 Damon W. Urso, Sanitary Engineer III
 Loretta V. Dionisio, Hydrogeologist II
 Jonathan Lesman, Management Analyst II



BID BOND

FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to USE THIS FORM PROVIDED as the use of ANY OTHER FORM may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned RJ/Scalamandre, JV, 75 East Bethpage Road, P.O. Box 349, Plainview, NY 11803

as Principal; and Liberty Mutual Insurance Company, 175 Berkeley Street, Boston, MA 02116 as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

Ten Percent of Proposal Price dollars (\$ 10% of P.P.) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 29th day of November, 20 17

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under Contract No. S35121-16G for the Bay Park Sewage Treatment Plant Secondary Flood Protection and Hardening of Critical Facilities
Capital Project 35121, Nassau County, New York, Contract No.: S35121-16G - General Construction

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

Provided, however, that this bond is subject to the following additional conditions and limitations.

a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.

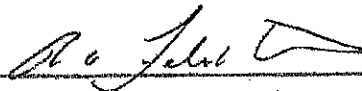
b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

RJ/Scalamandre, JV

Contractor

by  (L.S.) (Corporate seal of Contractor
Richard O. Felicetta Title if a corporation)
Member


by _____ (L.S.) Title

by _____ (L.S.) Title

Liberty Mutual Insurance Company

Surety

by  (L.S.)
Title of Officer Dana Granice, Attorney-In-Fact

Witness:  (L.S.) (Corporate seal of Surety)
XXXXXXXX Title of Officer Melissa Saracino, Surety Account Representative

(Acknowledgment by Contractor if a corporation)

STATE OF _____)

ss.:
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally
came _____ to me known,
who, being by me duly sworn, did depose and say for himself, that he
resides in _____
that he is the _____ of the
the corporation described in, and which executed the foregoing
instrument; that he knows the seal of said corporation; that the seal
affixed to said instrument is such corporate seal; that it was so
affixed by order of the Board of Directors of said corporation, and that
he signed his name thereto by like order.

Notary Public

(Acknowledgment by Contractor if a partnership)

STATE OF _____)

ss.:
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally
came _____ to me known and known
to me to be a member of
the firm described in and which executed the foregoing bond or
obligation, and he acknowledged to me that he subscribed the name of
said firm thereto on behalf of said firm for the purpose therein
mentioned.

Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF _____)

ss.:
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally
came _____ to me
known and known to me to be the person described in and who executed the
foregoing instrument, and he duly acknowledged that he executed the
same.

Notary Public

(Acknowledgment by Surety Company)

STATE OF New York)

ss.:
COUNTY OF Nassau)

On this 29th day of November, 2017, before me personally came Dana Granice, Known, who being by me duly sworn, did depose and say that he resides in Suffolk County, New York

that he is the Attorney-in-Fact of the Liberty Mutual Insurance Company, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said Desiree Cardlin further said that he is acquainted with Dana Granice and knows him to be the Attorney-in-Fact of said company; that the signature of the said Dana Granice subscribed to the within instrument is in the genuine handwriting of the said Dana Granice and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Desiree Cardlin.


Notary Public

DESIREE CARDLIN
Notary Public, State of New York
No. 01CA6150043
Qualified in Suffolk County
Commission Expires 07/24/2018

Acknowledgment by Principal

State of New York }
County of Nassau }

On this 4th day of December 2017, personally appeared before me Richard O. Felicetta member of the firm/Joint Venture of RJ/Scalamandre, JV to me known and known to me to be the individual described in and who executed the foregoing instrument and he acknowledged to me that he executed the same for an on behalf of said firm/Joint Venture.

Sworn before me this 4th day of December 2017



Notary Public

EVELYN DELLA RATTA
Notary Public, State of New York
01DE4854138
Qualified in Nassau County
Commission Expires May 27, 2018

POWER OF ATTORNEY

ATTENTION: Insert the "Power of Attorney" after this page in with your bid submission.

THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 7726870

Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company West American Insurance Company

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Camille Maitland; Colette R. Chisholm; Dana Granice; Desirée Cardin; George O. Brewster; Gerard S. Macholz; Lee Ferrucci; Mia Woo-Warren; Michelle Wannemaker; Nelly Renchilwidh; Peter F. Jones; Rita Sagistano; Robert T. Pearson; Susan Lupski; Thomas Bean; Vincent A. Walsh

all of the city of Untondale, state of NY, each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 12th day of April, 2017.



The Ohio Casualty Insurance Company
Liberty Mutual Insurance Company
West American Insurance Company

By: David M. Carey
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA ss
COUNTY OF MONTGOMERY

On this 12th day of April, 2017, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my Notarial Seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Teresa Pastella, Notary Public
Upper Merion Twp., Montgomery County
My Commission Expires March 28, 2021
Member, Pennsylvania Association of Notaries

By: Teresa Pastella
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS - Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings. Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this NOV 29 2017 day of NOV 29 2017, 2017.



By: Renee C. Llewellyn
Renee C. Llewellyn, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-800-841-1234 between 9:00 am and 4:30 pm EST on any business day

FINANCIAL STATEMENT

ATTENTION: Insert the "Financial Statement" after this page in with your bid submission.



LIBERTY MUTUAL INSURANCE COMPANY
FINANCIAL STATEMENT — DECEMBER 31, 2016

Assets		Liabilities	
Cash and Bank Deposits.....	\$1,092,914,837	Unearned Premiums.....	\$6,929,723,299
*Bonds — U.S Government.....	1,406,763,970	Reserve for Claims and Claims Expense.....	17,233,877,300
*Other Bonds.....	11,379,916,523	Funds Held Under Reinsurance Treaties.....	208,362,823
*Stocks.....	10,349,761,988	Reserve for Dividends to Policyholders.....	944,909
Real Estate.....	290,265,760	Additional Statutory Reserve.....	39,649,905
Agents' Balances or Uncollected Premiums.....	4,709,977,463	Reserve for Commissions, Taxes and	
Accrued Interest and Rents.....	112,757,395	Other Liabilities.....	3,061,117,958
Other Admitted Assets.....	14,659,523,751	Total.....	\$27,473,676,194
Total Admitted Assets.....	\$44,001,881,687	Special Surplus Funds.....	\$95,257,334
		Capital Stock.....	10,000,000
		Paid in Surplus.....	9,229,250,104
		Unassigned Surplus.....	7,193,698,055
		Surplus to Policyholders.....	16,528,205,493
		Total Liabilities and Surplus.....	\$44,001,881,687



* Bonds are stated at amortized or investment value; Stocks at Association Market Values.
The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2016, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 23rd day of March, 2017.

T. Mikolajewski

Assistant Secretary

CERTIFICATE OF SOLVENCY

ATTENTION: Insert the "Certificate of Solvency" after this page in with your bid submission.

CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW
YORK INSURANCE LAW

STATE OF NEW YORK
DEPARTMENT OF FINANCIAL SERVICES

It is hereby certified that

Liberty Mutual Insurance Company
Of Boston, Massachusetts

a corporation organized under the laws of the State of Massachusetts and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$16,528,205,493 (Capital \$10,000,000) as is shown by its sworn financial statement for the year ending December 31, 2016 on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have
unto set my hand and affixed
official seal of this Department
in the City of Albany, this

1st day of March, 2017.

Maria T. Vullo
Superintendent

By *Jacqueline Catalfamo*
Jacqueline Catalfamo
Special Deputy Superintendent

PROPOSAL

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS		CONTRACT NO. <i>S35121-166</i>			
WICKS EXEMPT LIST OF SUBCONTRACTORS		NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.			
Contractor's Name and Address	Project Description (Project Title, Facility Name and Address):	Bid Date:	Total Contract Amt:		
<i>Rd / Scalomandre, JV Plainview, NY 11803 Federal ID No.: 46-5260846</i>	<i>Bey Park STP Secondary Flood Protection and Hardening of Critical Facilities</i>	<i>12/5/17</i>	<i>\$10,868,800.-</i>		
Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply): <input checked="" type="checkbox"/> Plumbing and Gas Fitting <input type="checkbox"/> Steam Heating, Hot Water Heating, Ventilating and AC Apparatus <input type="checkbox"/> Electric Wiring and Standard Illuminating Fixtures					
If all contract work is to be self-performed, i.e. no subcontractors will be used, please check this box <input type="checkbox"/> skip to bottom of form, and sign it as required.					
Check () only one.					
Subcontractor's Name, Address and Federal ID No.	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures	General Description of Work	Subcontractor's Contract Amt.
<i>Klainger Mt. Vernon, NY</i>		<input checked="" type="checkbox"/>		<i>HVAC work</i>	<i>50,000.-</i>
<i>Bene Electric Farmingdale NY</i>			<input checked="" type="checkbox"/>	<i>Electric work</i>	<i>1,775,000.-</i>
Federal ID No. _____ Federal ID No. _____ Federal ID No. _____					
This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed. Failure to complete this form accurately and in its entirety may result in a non responsive bid determination.					
Company Authorized Signature: <i>[Signature]</i> Title: <i>Richard Felicitas, Manager</i> Date: <i>Dec. 5, 2017</i>					

PROPOSAL

NO TEXT ON THIS PAGE

P-18

Brittany Pedenzin

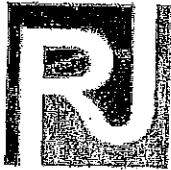
From: Brittany Pedenzin
Sent: Monday, December 11, 2017 2:28 PM
To: 'Neil Singh'
Subject: Bay Park Secondary Flood Protection - EPA Forms
Attachments: EPA Form 6100-2 (DBE Subcontractor Participation Form) - Subs.pdf; EPA Form 6100-3 (DBE Subcontractor Performance Form).pdf

Good Afternoon,

Please complete the attached EPA Forms 6100-2 and 6100-3 as they are required to be sent in with tomorrow's bid documents.

Thank you!

Brittany V. Pedenzin



R.J. Industries, Inc.
75 East Bethpage Road
Plainview, New York 11803
P: 516-845-9772 ext. 154
F: 516-843-9198
BPedenzin@rji.net
<http://rjiindustriesny.com/>



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE¹ subcontractors² and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name R.J./Scal, A J/V		Project Name Bay Park Sewage Treatment Plant Secondary Flood Protection Hardening of Critical Facilities	
Bid/ Proposal No. S35121-16G	Assistance Agreement ID No. (if known)	Point of Contact Richard Feliceffa, President	
Address PO BOX 349, Plainview, NY 11803			
Telephone No. 516-845-9772		Email Address RFeliceffa@rjl.net	
Issuing/Funding Entity:			

I have identified potential DBE certified subcontractors	<input type="checkbox"/> YES	<input type="checkbox"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
LB Consulting Inc.	296 Sport Hill Road Easton, CT 06812 Phone No.: 203-373-1195 Email: lboffa@lbconsulting.com		WBE
FCS Group LLC.	57-12 Grand Ave., 2nd Floor Maspeth, NY 11378 Phone No.: 516-599-4100 Email: nsingh@fcsnewyork.com		MBE
Atlantic Reinforcing Concrete Co. Inc.	14 Burt Dr., Unit G Deer Park, NY 11729 Phone No.: 631-242-5020 Email: erin@atlanticrc.net		WBE
Continue on back if needed			

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

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Prime Contractor Name R.J./Scal, A J/V		Project Name Bay Park Sewage Treatment Plant Secondary Flood Protection and Hardening of Critical Facilities	
Bid/ Proposal No. S35121-16G	Assistance Agreement ID No. (if known)		Point of Contact Richard Felicetta, President
Address PO BOX 349, Plainview, NY 11803			
Telephone No. 516-845-9772		Email Address RFelicetta@rjl.net	
Issuing/Funding Entity:			

I have identified potential DBE certified subcontractors	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
E-Mech Contracting Inc.	84 North 19th St. Wyandanch, NY 11798 Phone No.: 631-920-0764 Email: emechinc@optonline.net		WBE
A K De Rama Industrial Control System Inc.	253 Sheffield St. Mountainside, NJ 07092 Phone No.: 908-789-1600 Email: aderama@aol.com	\$215,600.00	WBE
Klalggar, Inc.	311 South 6th Ave. Mount Vernon, NY 10550-4113 Phone No.: 914-699-1520 Email: Klalggar@aol.com	\$50,255.00	MBE

Continue on back if needed

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Prime Contractor Name R.J./Scal, A J/V		Project Name Bay Park Sewage Treatment Plant Secondary Flood Protection and Hardening of Critical Facilities	
Bid/ Proposal No. S35121-16G	Assistance Agreement ID No. (if known)	Point of Contact Richard Felicetta, President	
Address PO BOX 349, Plainview, NY 11803			
Telephone No. 516-845-9772		Email Address RFelicetta@rjil.net	
Issuing/Funding Entity:			

I have identified potential DBE certified subcontractors	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
Northeast Structural Steel Inc.	916 Old Nepperhan Ave. Yonkers, NY 10703 Phone No.: 914-699-9900 Email: ddefine@nestructuralsteel.com		MBE
DME Construction Associates, Inc.	PO BOX 482 Port Jefferson, NY 11777 Phone No.: 631-689-2616 Email: PChardon@msn.com	\$24,650.00	WBE (Pending)
Callahan Industries (Pending NYS WBE Approval)	26 Weman Ct. Plainview, NY 11803 Phone No.: 516-586-5549 Email: Jessica@CallahanPiping.com		WBE

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Prime Contractor Name R.J./Scal, A J/V		Project Name Bay Park Sewage Treatment Plant Secondary Flood Protection and Hardening of Critical Facilities	
Bid/ Proposal No. S35121-16G	Assistance Agreement ID No. (if known)	Point of Contact Richard Fellcetta, President	
Address PO BOX 349, Plainview, NY 11803			
Telephone No. 516-845-9772		Email Address RFellcetta@rjl.net	
Issuing/Funding Entity:			

I have identified potential DBE certified subcontractors	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
Hailey Insulation Corp.	815 Route 25A Rocky Point, NY 11778 Phone No.: 631-689-0450 ADonnelly@HaileyInsulation.com	\$38,800.00	WBE

Continue on back if needed

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**Disadvantaged Business Enterprise (DBE) Program
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Prime Contractor Name R.J./Scal, A J/V		Project Name Bay Park Sewage Treatment Plant Secondary Flood Protection Hardening of Critical Facilities	
Bid/ Proposal No. S35121-16G	Assistance Agreement ID No. (if known)	Point of Contact Richard Felicetta, President	
Address PO BOX 349, Plainville, NY 11803			
Telephone No. 516-845-9772		Email Address RFelcetta@rjl.net	
Issuing/Funding Entity:			

I have identified potential DBE certified subcontractors	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
LB Consulting Inc.	296 Sport Hill Road Easton, CT 06612 Phone No.: 203-373-1195 Email: lboffa@lbconsulting.com	35,000	WBE
FCS Group LLC.	57-12 Grand Ave., 2nd Floor Maspeth, NY 11378 Phone No.: 516-599-4100 Email: nsingh@fcsnewyork.com	280,000	MBE
Atlantic Reinforcing Concrete Co. Inc.	14 Burt Dr., Unit G Deer Park, NY 11729 Phone No.: 631-242-5020 Email: erln@atlanticrc.net	60,000	WBE

Continue on back if needed

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Prime Contractor Name R.J./Scal, A J/V		Project Name Bay Park Sewage Treatment Plant Secondary Flood Protection and Hardening of Critical Facilities	
Bid/ Proposal No. S35121-16G	Assistance Agreement ID No. (if known)	Point of Contact Richard Felicetta, President	
Address PO BOX 349, Plainview, NY 11803			
Telephone No. 516-845-9772		Email Address RFelicetta@rjl.net	
Issuing/Funding Entity:			

I have identified potential DBE certified subcontractors	___ YES	___ NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
E-Mech Contracting Inc.	84 North 19th St. Wyandanch, NY 11798 Phone No.: 631-920-0764 Email: emechinc@optonline.net	—	WBE
A K De Rama Industrial Control System Inc.	253 Sheffield St. Mountainside, NJ 07092 Phone No.: 908-789-1600 Email: aderama@aol.com	\$215,600.00	WBE
Klairgar, Inc.	311 South 6th Ave. Mount Vernon, NY 10550-4113 Phone No.: 914-699-1520 Email: Klairgar@aol.com	\$50,255.00	MBE

Continue on back if needed

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Prime Contractor Name R.J./Scal, A JV		Project Name Bay Park Sewage Treatment Plant Secondary Flood Protection and Hardening of Critical Facilities	
Bid/ Proposal No. S35121-16G	Assistance Agreement ID No. (if known)	Point of Contact Richard Felicetta, President	
Address PO BOX 349, Plainview, NY 11803			
Telephone No. 516-845-9772		Email Address RFelicetta@rjl.net	
Issuing/Funding Entity:			

I have identified potential DBE certified subcontractors	___ YES	___ NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
Northeast Structural Steel Inc.	916 Old Nepperhan Ave. Yonkers, NY 10703 Phone No.: 914-699-9900 Email: ddefine@nestructuralsteel.com	---	MBE
DME Construction Associates, Inc.	PO BOX 482 Port Jefferson, NY 11777 Phone No.: 631-689-2616 Email: PChardon@men.com	\$24,650.00	WBE (Pending)
Callahan Industries (Pending NYS WBE Approval)	26 Weman Ct. Plainview, NY 11803 Phone No.: 516-586-5549 Email: Jessica@CallahanPiping.com	50,000	WBE

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Prime Contractor Name R.J./Scal, A JV		Project Name Bay Park Sewage Treatment Plant Secondary Flood Protection and Hardening of Critical Facilities	
Bid/ Proposal No. S35121-16G	Assistance Agreement ID No. (if known)	Point of Contact Richard Felicetta, President	
Address PO BOX 349, Plainview, NY 11803			
Telephone No. 516-845-9772		Email Address RFelicetta@rjl.net	
Issuing/Funding Entity:			

I have identified potential DBE certified subcontractors	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
Hailey Insulation Corp.	815 Route 25A Rocky Point, NY 11778 Phone No.: 631-689-0450 ADONNELLY@HaileyInsulation.com	\$38,800.00	WBE

Continue on back if needed

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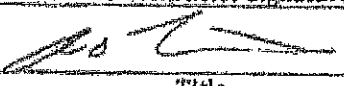
² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Utilization Form

I certify under penalty of perjury that the foregoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
	Richard Felicetta
Title	Date
Partner	12/12/2017

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

This form is intended to capture the DBE¹ subcontractor's² description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractors bid or proposal package.

Subcontractor Name A.K. DE RAMA INDUSTRIAL CONTROL SYSTEM, INC.		Project Name BAY PARK SEWAGE TREATMENT PLANT SECONDARY FLOOD PROTECTION AND HARDENING OF CRITICAL FACILITIES	
Bid/ Proposal No. S35121-16G	Assistance Agreement ID No. (if known)	Point of Contact	
Address 253 SHEFFIELD ST. MOUNTAINSIDE NJ 07092			
Telephone No. 908-789-1600		Email Address derama@aol.com	
Prime Contractor Name R.J./Scal, A J/V		Issuing/Funding Entity:	

Contract Item Number	Description of Work Submitted to the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
15999A	SUMP PUMP CONTROL PANELS INSTRUMENTATION AND CONTROLS (CONTRACT NO. S35121-16G)	
DBE Certified By: <input checked="" type="radio"/> DOT <input type="radio"/> SBA <input checked="" type="radio"/> Other: ESD		Meets/ exceeds EPA certification standards? <input checked="" type="radio"/> YES <input type="radio"/> NO <input type="radio"/> Unknown

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OMB Control No: 2090-0030

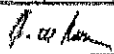
Approved: 8/13/2013

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DBE Subcontractor Performance Form**

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Prime Contractor Signature	Print Name
	Richard Felicetta
Title	Date
Partner	12/12/2017

Subcontractor Signature	Print Name
	ANTONIO K. DE RAMA
Title	Date
PRESIDENT	NOVEMBER 27, 2017

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.



OMB Control No: 2090-0030

Approved: 8/13/2013

Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

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Subcontractor Name HAILEY INSULATION CORP.		Project Name BAY PARK SECONDARY FLOOD PROTECTION	
Bid/ Proposal No. S35121-16G	Assistance Agreement ID No. (if known)		Point of Contact AMY DONNELLY
Address 815 ROUTE 25A, ROCKY POINT, NY 11778			
Telephone No. 631-689-0450		Email Address adonnelly@haileyinsulation.com	
Prime Contractor Name R.J./Scal, A J/V		Issuing/Funding Entity:	

Contract Item Number	Description of Work Submitted to the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
	Mechanical Insulation	\$38,800.00
DBE Certified By: <input type="checkbox"/> DOT <input type="checkbox"/> SBA <input type="checkbox"/> Other: _____		Meets/ exceeds EPA certification standards? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> Unknown

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 93.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

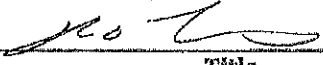
² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.




OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
	Richard Felicetta
Title	Date
Partner	12/12/2017

Subcontractor Signature	Print Name
	Amy Donnelly
Title	Date
President	12/11/17

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.



OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form

This form is intended to capture the DBE¹ subcontractor's² description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractors bid or proposal package.

Subcontractor Name Faxa, Inc.		Project Name Bay Park STP Secondary Flood Protection and Hardening of Critical Facilities	
Bid/ Proposal No. S35121-16G	Assistance Agreement ID No. (if known)	Point of Contact Michael Spivey	
Address 65-04 Smith Ave, N. B. Beach, NJ			
Telephone No. 201-868-6626	Email Address est.mike@fexa-inc.com		
Prime Contractor Name R.J./Scal, A J/V		Issuing/Funding Entity:	

Contract Item Number	Description of Work Submitted to the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
	Masonry	\$318,000

DBE Certified By: <input checked="" type="checkbox"/> DOT <input type="checkbox"/> SBA	Meets/ exceeds EPA certification standards? <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> Unknown
<input type="checkbox"/> Other: <u>MAINT</u>	

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

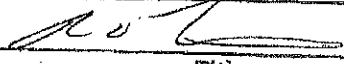
² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

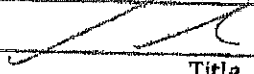


OMB Control No: 2090-0020
Approved: 8/13/2013
Approval Expires: 8/31/2015

Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
	Richard Felicetta
Title	Date
Partner	12/12/2017

Subcontractor Signature	Print Name
	Michael Sperry
Title	Date
Editor	12/10/17

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.



OMB Control No: 2090-0030

Approved: 8/13/2013

Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

This form is intended to capture the DBE¹ subcontractor's² description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractors bid or proposal package.

Subcontractor Name Cid Construction Services, LLC		Project Name Bay Park Secondary Flood Protection	
Bid/ Proposal No. S35121-16G	Assistance Agreement ID No. (if known) N/A	Point of Contact Roque G. Schipilliti	
Address 300-2 State Route 17 South - Suite #3 Lodi, NJ 07644			
Telephone No. (973) 685-9791		Email Address info@cidbuilds.com	
Prime Contractor Name		Issuing/Funding Entity: N/A	

Contract Item Number	Description of Work Submitted to the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
S35121-16G	Asbestos Caulk Removal	\$8,800.00
DBE Certified By: <input checked="" type="checkbox"/> DOT <input type="checkbox"/> SBA <input type="checkbox"/> Other: _____		Meets/ exceeds EPA certification standards? <input type="checkbox"/> YES <input type="checkbox"/> NO <input checked="" type="checkbox"/> Unknown

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

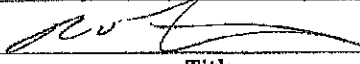
² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

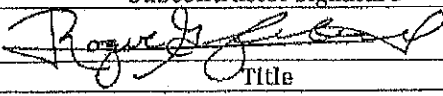


OMB Control No: 2090-0030
Approved: 8/13/2013
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
	Richard Felicetta
Title	Date
Partner	12/12/2017

Subcontractor Signature	Print Name
	Roque G. Schpilliti
Title	Date
President	12/11/2017

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

PROPOSAL

GENERAL CONSTRUCTION

COUNTY OF NASSAU
STATE OF NEW YORK

PROPOSAL

FOR

BAY PARK STP
Secondary Flood Protection and Hardening
of Critical Facilities

Contract No. S35121-16G

GENERAL CONSTRUCTION

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: RS / Scalimondre, JV

Bidder: Joint venture
(Individual, Firm or Corporation, as case may be)

Bidder's Business Address: 75 East Bethpage Road, Plainville, NY

Telephone: 516-845-9772 Date of Bid: Dec. 5, 2017 11803

Fax: 516-454-1759 E-Mail: bide@njl.net

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: _____

Residence of Individual: _____

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: Richard O. Felicetta

Name and Residence of Partner: Peter Scalamandre

Name and Residence of Partner: _____

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: _____

Name and Residence of President: _____

Name and Residence of Vice-President: _____

Name and Residence of Secretary: _____

Name and Residence of Treasurer: _____

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GENERAL CONSTRUCTION

THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of labor, equipment, other facilities needed for the performance of the Work, the general and local conditions, and all other items which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities of Glen Cove or Long Beach, the contractor shall be required to have such a license.

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

I. Rejection of Bids.

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend / to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING
A BID ON GENERAL CONSTRUCTION
CONTRACT NO. S35121-16G

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1	Lump Sum	Base Bid for furnishing all labor, materials and equipment required for all Construction work at the Bay Park Sewage Treatment Plant as specified and shown on the drawings, complete and ready for operation. <i>four hundred and fifty Eight thousand Ten Million Eight Hundred and No</i> DOLLARS CENTS	N/A	N/A	\$10,458,800	--
2	75 Cubic Yards	For extra earth excavation beyond the limits of the Contract Drawings and Specifications, as ordered by the County <i>Fifty dollars and zero cents</i> DOLLARS CENTS	50	00	3,750	00
3	125 Cubic Yards	For select fill from outside sources (per the contract Drawings and Specifications) as ordered by the County <i>Fifty dollars and zero cents</i> DOLLARS CENTS	50	00	6,250	00
4	Allowance	For furnishing all labor, materials, equipment and incidentals for Miscellaneous Additional Work (Section 01020) as ordered by the County Four Hundred Thousand No DOLLARS CENTS	N/A	N/A	\$400,000	00

++ NO TEXT ON THIS PAGE ++

P-10

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING
A BID ON GENERAL CONSTRUCTION
CONTRACT NO. S35121-16G

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
TOTAL BASE BID (Bid Item Nos. 1 thru 4)						
<div> <div>\$ 10,868,800.00</div> <div> TOTAL BASE BID (Bid Item Nos. 1 thru 4): MUST BE WRITTEN IN WORDS: Ten Million, Eight Hundred and Sixty Eight Thousand, Eight Hundred Dollars & NO CENTS </div> </div>						

PROPOSAL

++ NO TEXT ON THIS PAGE ++

P-12

PROPOSAL

ALLOWANCES: It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. 4: An allowance of \$400,000 for Miscellaneous Additional Work.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances and Section 1150, Measurement and Payment

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID: Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances.

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

MAJOR EQUIPMENT ITEMS: The Bidder shall fill the name and address of the proposed system suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

<u>Specification Number</u>	<u>Description</u>	<u>Manufacturer and/or Supplier</u>
08390	Flood Doors	Deutscher Dichtung, SAVANNAH OR EQUAL
08391	Flood Gates/Barriers	Deutscher Dichtung, SAVANNAH OR EQUAL
08392	Passive Flood Control Louver Gates	Deutscher Dichtung, SAVANNAH OR EQUAL
15999	Sump Pumps	Hydromatic (PCS), PCS OR EQUAL
15999A	Sump Pump Control Panels	A.K. DERAMA, OCE, PCS OR EQUAL

PROPOSAL

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PROPOSAL

IRAN DIVESTMENT ACT - CERTIFICATION

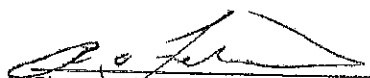
Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

☒ a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,

☐ b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.


Signature/Date

12/5/17

Richard W. Felicetta, Member
Print Name and Position

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

MacBride Fair Employment Principles

**NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:
MACBRIDE FAIR EMPLOYMENT PRINCIPLES**

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

(1) have business operations in Northern Ireland,

Yes ___ No ☒

If yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes ___ No ___


(Contractor's Signature)

*Richard O. Felizetta,
Member*

RJ/Scalamandre, JV
(Name of Business)

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

Bidder: RJ / Scalapandre, JV
(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: _____

Federal ID No: 46 - 526 0846

Municipal License ID Number: _____

Municipal Licensing Agency: _____

By: [Signature] Date: Dec. 5, 2017
(Signature of Individual, Partner or Corporate Officer)

(Print): Richard O. Felicetta Title: Member

WHERE BIDDER IS A CORPORATION, ADD

ATTEST: _____
Secretary

(CORPORATE)
(SEAL)

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Being duly sworn,
deposes and says: That he is the person described in and who executed the foregoing Bid
and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____.

Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Richard O. Felicetta Being duly sworn,
deposes and says: That he is a member of R/S Scalamandre, JV, the
firm described in and which executed the foregoing Bid; that he duly subscribed the name
of the firm thereunto on behalf of the firm; and that the several matters therein stated are
in all respects true.

Subscribed and sworn to before me

This 5th day of December 20 17.

Maryanne Hilton
Notary Public

MARYANNE HILTON
Notary Public, State of New York
No. 01H16213068
Qualified in Nassau County
Commission Expires November 02, 2021

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

deposes and says: That he resides at _____ Being duly sworn,
that he is the _____ of the corporation
described in and which executed the foregoing instrument; that he knows the seal of said
corporation; that the seal affixed to the said instrument is such corporate seal and was
affixed by order of the Board of Directors of said corporation; that he affixed his name
thereto by like order; and that he has knowledge of the several matters therein stated and
they are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20____.

Notary Public

PROPOSAL

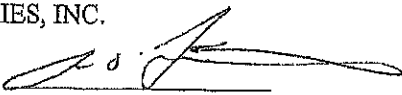
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To: County of Nassau, Department of Public Works

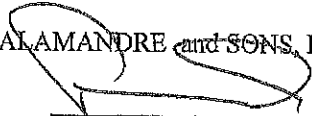
Re: **Bay Park Sewage Treatment Plant
Effluent Pumping Facility Improvements
Contract No. S35121-16G; General Construction**

This will designate Richard O. Felicetta to act as Attorney-in-Fact and Signatory to the above captioned proposal on behalf of the Joint Venture of R.J. Industries, Inc. and PETER SCALAMANDRE and SONS, INC.

R.J. INDUSTRIES, INC.


By: 
Richard O. Felicetta, President

PETER SCALAMANDRE and SONS, INC.

By: 
Peter Scalamandre, President

STATE OF NEW YORK)
COUNTY OF NASSAU) ss:

On this 5th day of December, 2017, before me personally came Richard O. Felicetta, to me known, who, being by me duly sworn, did depose and say for himself, that he is a president of R.J. Industries, Inc. the Corporation described in, and which executed the foregoing instrument; that he knows the seal of said Corporation; that the seal affixed to said instrument is such Corporate seal; that it was so affixed by order of the Board of Directors of said Corporation, and that he signed his name thereto by like order.


Notary Public

EVELYN DELLA RATTÀ
Notary Public, State of New York
01DE4854133
Qualified in Nassau County
Commission Expires May 27, 20 18

STATE OF NEW YORK)
COUNTY OF NASSAU) ss:

On this 5th day of December, 2017, before me personally came Peter Scalamandre, to me known, who, being by me duly sworn, did depose and say for himself, that he is the President of Peter Scalamandre and Sons, Inc., the corporation described in, and which executed the foregoing instrument; that he knows the seal of said Corporation; that the seal affixed to said instrument is such Corporate seal; that it was so affixed by order of the Board of Directors of said Corporation, and that he signed his name thereby by like order.


Notary Public

GINA S. GREENHILL
Notary Public, State of New York
No. 01GR4867265
Qualified in Suffolk County
Commission Expires October 8, 20 18



Approved: _____
Special Agent in Charge

PLUMBERS LOCAL UNION No. 200

DANNY GRODZKE
BUSINESS MANAGER

ARTHUR GIPSON
BUSINESS AGENT

MARIO MATTERA
BUSINESS AGENT

OF THE
UNITED ASSOCIATION OF JOURNEYMEN AND APPRENTICES OF THE
PLUMBING AND PIPE FITTING INDUSTRY OF THE UNITED STATES AND CANADA

2123 5TH AVENUE, RONKONKOMA, N.Y. 11779

(631) 991-2158

FAX (631) 991-1658

RICHARD P. BROOKS
FIN. SEC./TREASURER

JAY MARELLI
PRESIDENT

PAUL MASON
VICE PRESIDENT

EDWARD YOUNG
REG. SECRETARY

December 4, 2017

TO: Nassau County Department of Public Works

RE: Bay Park STP Secondary Flood Protection and Hardening of
Critical Facilities (s35121-150)
RJ/Scalamandre, JV

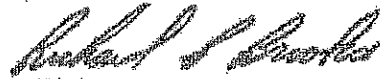
Please be advised that Plumbers Local Union # 200 has Apprenticeship Training Program, (Sponsor Code 00337, ATP Code 13180) that is approved by the New York State Department of Labor and the Federal Department of Labor.

RJ Industries Inc. is signatory to an agreement with Plumbers Local Union # 200 and contributes, subscribes, and actively participates in apprenticeship training program and is a contractor in good standing.

Being signatory to our agreements, RJ Industries Inc.. may, at their discretion, employ apprentices that are indentured in our program.

If you have any questions about our program or RJ Industries Inc. please contact me at the Union office.

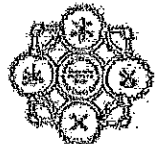
Sincerely,



Richard P. Brooks
Financial Secty/Treasurer



The Plumber Protects the Health of the Nation



QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 3

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 3

b. as a Subcontractor _____

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

see enclosed

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

see enclosed

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? no
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? no
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

c. ever been declared a non-responsible bidder by any municipality or public agency? no
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? no
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? no
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? no
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? no
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

d. that has ever been barred from bidding municipal or public contracts? no

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? no
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

none

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

none

10. In what other lines of business are you financially interested?

none

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

see enclosed

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	---	---------------------	---------------------

see enclosed

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

not applicable; equipment will be furnished by the members (RJ and Scalamandre)

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?
Explain in detail.

Review of Contract Documents

(use additional blank sheets if additional space is necessary)

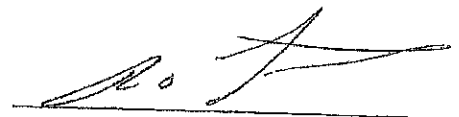
15. Explain your plan and lay-out for performing the proposed work.
In accordance with the approved CPM schedule.

16. If a contract is awarded or a permit is issued, to your firm, who
will have the personal supervision of the work? Attach resume.
see enclosed

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
see enclosed			

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



Richard O. Felicetta, Member

CONTRACTOR CERTIFICATION STATEMENT REGARDING
STORM WATER POLLUTION AT THE WORK SITE

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

CONTRACTOR'S NAME: RJ / Scalapandrea, JV

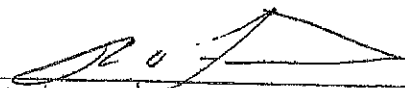
TELEPHONE NUMBER: 516-845-9772

WORK SITE OR FACILITY NAME: Bay Park STP

WORK SITE OR FACILITY ADDRESS OR
OTHER IDENTIFYING DESCRIPTION: Majorie Lane,

East Rockaway, NY Contract NO

S-35121-166


Signature

12/5/17
Date

Richard O. Felicetta, Member
Print Name and Title of Signer

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 20

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 10

b. as a Subcontractor 20

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

See Attached

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

See Attached

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? No
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

c. ever been declared a non-responsible bidder by any municipality or public agency? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

- d. that has ever been barred from bidding municipal or public contracts? No
If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary).

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Richard O. Felicetta	President	34	General & Mechanical Construction	Executive & Project Management
John C. Doremus	Vice President	30	General & Mechanical Construction	Executive & Project Management
John E. Koziarz	Vice President	30	General & Mechanical Construction	Project Management & Estimating
William J. Doremus	Secretary-Treasurer	20	General & Mechanical Construction	Finance/Risk Mgmt.

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	--	------------------	------------------

See Attached

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

None / Not Applicable

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?
Explain in detail.

Review of Contract Documents (Drawings and Specifications)

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

We will perform in accordance with the approved Critical Path Method (CPM) Schedule

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

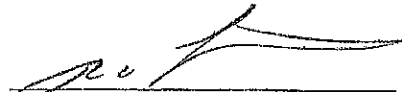
John Doremus / John Koziarz

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

See Attached

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



Richard O. Felicetta, President

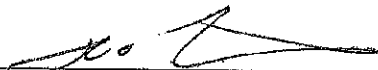
Attachment 9
New York State Environmental Facilities Corporation
CERTIFICATION REGARDING LOBBYING
FOR
CONTRACTS, GRANTS, LOANS, AND
COOPERATIVE AGREEMENTS
40 CFR Part 34

SRF Project No.: _____

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

By: 
Name: Richard D. Felicetta
Title: Member
Date: 12/5/17
Contract ID: S 35 121 - 166

AIS CONTRACTOR CERTIFICATION
FOR CONSTRUCTION CONTRACTS PAID FOR WITH FUNDS FROM
THE NYS CLEAN WATER STATE REVOLVING FUND OR
THE NYS DRINKING WATER STATE REVOLVING FUND VIA THE
NYS ENVIRONMENTAL FACILITIES CORPORATION

Project Title: Bay Park STP; Secondary Flood Protection and Hardening of
Contractor's Name: RJ/Scalsmendre, JV Critical Facilities
Contract ID: 535121-166
SRF Project #: _____
SRF Recipient Name: _____

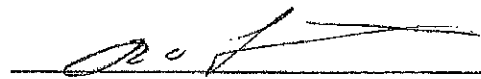
I certify that the iron and steel products that will be permanently incorporated into the public water system or wastewater treatment works project under this construction contract will have been produced in the United States, in accordance with the requirements of the US Environmental Protection Agency. I will also develop and maintain at the project location the necessary documentation to demonstrate that the iron and steel products incorporated into the project were produced in the United States, and make such documentation available to The NYS Environmental Facilities Corporation or their authorized representatives, upon request.

Signature: _____

Name (print): _____

Title: _____

Date: _____


Richard D. Felicetta
Member
Dec. 5, 2017

Attachment 1
New York State Environmental Facilities Corporation
EQUAL EMPLOYMENT OPPORTUNITY POLICY STATEMENT
NEW YORK STATE REVOLVING FUND (SRF)

I, Richard O. Felicetta, am the authorized representative of RJ/Scalemendre, JV.
Name of Representative Name of Contractor/Service Provider
I hereby certify that RJ/Scalemendre, JV will abide by the equal employment
Name of Contractor/Service Provider
opportunity (EEO) policy statement provisions outlined below.

- (i) The Contractor will not discriminate on the basis of race, creed, color, national origin, sex, age, disability, or marital status against any employee or applicant for employment, will undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination and will make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on Contracts relating to SRF projects.
- (ii) The Contractor shall state in all solicitations or advertisements for employees that, in the performance of the Contract relating to this SRF project, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.
- (iii) The Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status, and that such union or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.
- (iv) The Contractor shall comply with the provisions of the Human Rights Law (Article 15 of the Executive Law), including those relating to non-discrimination on the basis of prior criminal conviction and prior arrest, and with all other State and federal statutory and constitutional non-discrimination provisions, including Titles VI and VII of the Civil Rights Act of 1964, 40 CFR Part 7, 41 CFR Part 60-1 Subpart A, 41 CFR Part 60-4, Section 504 of the Rehabilitation Act of 1973, and the Age Discrimination Act of 1975. The Contractor and Subcontractors shall not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status or domestic violence victim status.
- (v) The Contractor will include the provisions of subdivisions (i) through (iv) in every Subcontract in such a manner that the requirements of these subdivisions will be binding upon each Subcontractor as to work in connection with the Contract.

X

Contractor/Service Provider Representative

Richard O. Felicetta
Member

RJ Equipment

<u>Year</u>	<u>Item</u>	<u>Model No</u>	<u>Serial No.</u>	<u>Value / Orig Cost</u>
2014	CAT Excavator	349F	BZ200174	400,000
2010	Grove RT 600E (50 Ton Crane)	HO 2160		365,000
2005	Link Belt RTC (50 Ton Crane)	RTC 805011	J6J57796	218,505
	Landis 6" Pipe Threader	(w/ GE Induction Motor)		18,520
2013	CAT Excavator	308E	EJTMX00784	97,500
2012	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283352	22,150
2013	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283493	22,150
2014	Zenith 25 GPS		2860958	13,000
2011	Moretrench (Various Dewatering Equipment)			105,000
2011	Komatsu Hydraulic Excavator	PC200LC-8HD	KMTPC180P54A89729	128,450
1999	CAT Hydraulic Excav	320 BL	7JR02231	42,000
2002	CAT Skid Loader	262	CED01093	20,000
1991	GROVE Hyd Crane	RTG35	74217	65,000
2006	CAT Excavator	308 CCR	GVKXC01388	61,500
2002	CAT Mini Excavator	305	DSA00254	34,925
2001	CAT 725 Articulated Dump Truck	725	AFX00145	86,000
2002	CAT Mini Excavator	304.5	WAK00727	35,000
2001	CAT Backhoe	420 D	BLN00364	33,000
2002	CAT Skid Loader	262B	PD700288	20,000
1990	John Deere Pay Loader	644E	DW644EB527620	45,000
1999	CAT Excavator	315 C	CCCFT00893	50,000
1997	CAT Excavator	315 BL	3AW01066	38,000
1997	JCB Telehandler (Forklift)	506B	0579416	23,250
	Gradall Forklift	544D	0455077	
1998	CAT Backhoe	426C	1YR01605	12,500
2002	CAT Bulldozer	D5C	7P501937	40,000
2006	CAT Excavator	330CL	CYA01151	108,000
2004	GORMAN RUPP - 4" Diesel Pump	PA6C60-4045D-C1	001281115N	8,000
	GORMAN RUPP - 6" Dry Prime Pump			10,000
2001	Ingersoll Rand Vibratory Smooth Drum Roller	SD110D	168435	37,925
1998	CHICAGO PNEUMATIC Compressor	CPS 390	CE16156	5,000
2008	Concrete Saw - Self Propelled	FS6600D	1254115001	16,900
	Concrete Forms	Doka		80,000
	3 - WHIRLYBIRDS	Power Trawl 36"		
	4-5 VIBRATORS	OZ Tech		
	Light Towers			8,250
	Light Towers			8,250
	Light Towers			8,250
2003	JLG 400S - Self Propelled Deutz	400S	300072553	19,500
2006	Skyjack	SJ3219	262824	5,500
2005	Skyjack	SJ3219	250891	5,500
2006	Skyjack	SJ3219	262669	5,500
2001	JLG Telescopic Boom Lift	400S	300060660	13,750
2001	Lull - Telescopic Fork Lift	844C-42	01W21P22-3563	20,000
2002	JLG Telescopic Boom Lift	400S	300081232	13,750
	Yale Forklift GP 070	GP 070	B813D03952V	7,060
2009	CAT Payloader	986H	HCA6D02326	75,000
2005	John Deere (off road Dump)	300D	DW300DT599355	120,000

R.J. Industries, Inc.

Prime Contracts In Progress

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>R.J. Industries, Inc.</u>					
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-18TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	December-17	86%
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visone 516-571-7359	\$7,647,700	December-17	83%
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	April-18	9%
<u>BSRJA TIV</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$97,447,200	December-18	67%
<u>R.J. Scalimandre, Joint Venture</u>					
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$16,187,700	September-17	99%
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$15,677,700	March-19	1%

as of September 30, 2017

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park Sewage Treatment Plant Electrical Distribution System Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a TIV)	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$28,956,674	2016
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improvements to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Joseph Davenport 516-571-7515	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Joseph Davenport / 516-571-7515 Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$24,767,700	2016
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S35119-06G	Nassau County DPW	Joseph Davenport / 516-571-7515 Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$3,937,700	2016
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Joseph Davenport, PE 516-571-7515	\$998,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014

As of September 30, 2017

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3) Screening Room Odor Control General Construction & HVAC Contracts	County of Suffolk	John Berchtold 631-852-4189	\$2,347,860	2013
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection System & Related Infrastructure Repairs	Nassau County DPW	Joseph Davenport 516-571-7515	\$2,701,537	2013
Greater Atlantic Beach - Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-766-8000	\$4,872,523	2013
		William F Cosulich, Assoc.		

As of September 30, 2017

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	Joseph Mautarano 516-364-9890	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Barilucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading Rive CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW James Gallagher 516-571-7220	\$3,647,700	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$548,440	2011
Jencho Water District Well No. 5 - GC & Mechanical Work	Jencho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011

As of September 30, 2017

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Cedar Creek WPCP Install New Gravity Belt Thickener	Nassau County DPW	Hazen and Sawyer Mike De Nicola 212-539-7038	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bleisch H2M Group 631-756-8000	\$929,800	2010
Great Neck Water District Concrete Cleanwell - Weybridge Road General Construction	Great Neck Water Dist.	Dvirka & Bartucci Bill Merklin 516-595-4845	\$648,000	2010
SUNY - Maritime Replace Fuel Oil Tanks (FL Schuyler)	State University Construction Fund	Richard Brown 518-320-3204	\$1,998,050	2010
Sea Cliff Install 600,000 Gallon Elevated Tank	Aqua New York Matthew Snyder	Caldwell Tank, Inc (GC) Jenny Ferguson 502-964-3361	\$288,000	2009
Carle Place Water District Pump Sta. 4 Treatment Improvements & Building Rehab	Carle Place Water Dist.	Sidney Bowne Joseph Todaro, PE 516-746-2350	\$164,830	2009
Huntington WWTP Install Ultraviolet (UV) Disinfection System	Town of Huntington	H2M Group Steve Hearl 631-756-8000	\$568,800	2008

As of September 30, 2017

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 61

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 61

b. as a Subcontractor 61

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

SEE ATTACHMENT

---(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

SEE ATTACHMENT

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? NO
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? NO
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

c. ever been declared a non-responsible bidder by any municipality or public agency? YES
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? YES
If so; identify the municipality or public agency; the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? NO
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? NO
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? NO
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

- d. that has ever been barred from bidding municipal or public contracts? NO

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? NO
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State;

NONE

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years;

NONE

10. In what other lines of business are you financially interested?

NONE

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Peter Salamandine	PRES	30	GEN CONST	OVERALL MGT

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	---	---------------------	---------------------

SEE ATTACHMENT

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

NONE

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?
Explain in detail.

REVIEW PLANS & SPECIFICATIONS

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

TO BE DETERMINED UPON AWARD
AND OWNERS APPROVED SCHEDULE

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

TO BE DETERMINED

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

SEE SAMPLE CERTIFICATE

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "Qualifications and Responsibility of Bidders" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

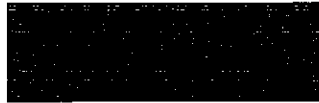


Peter Scalapandak PLES

PETER SCALAMANDRE & SONS, INC.

OFFICERS

Peter Scalamandre, Pres.
 Damon DiSipone, Esq., Secy.
 Lisa B. Hayes, Treas
 MJ McCarthy, VP/Controller



STOCKHOLDERS

0.0000%	1,000.00 shares	Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-6864265)
	shares		Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-6864265)
	shares		Beneficiary: Tricia Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6864239)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6864200)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-6864147)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust II (#26-6864267)
	shares		Beneficiary: Tricia Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
29.5000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-6269227)
	shares		Beneficiary: Peter Scalamandre
	shares		Trustee: Peter Scalamandre
	shares		Trustee: Jill Scalamandre Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalamandre Clark Qualified Subchapter S Trust (#26-6269243)
	shares		Beneficiary: Jill Scalamandre Clark
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalamandre Qualified Subchapter S Trust (#26-6269210)
	shares		Beneficiary: Richard Scalamandre
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paulette Scalamandre Qualified Subchapter S Trust (#26-6269192)
	shares		Beneficiary: Paulette Scalamandre
	shares		Trustee: Peter Scalamandre
100.0000%	200,000.00 shares		

SEA CREST CONSTRUCTION CORP.

OFFICERS

Peter Scalomandre, Pres.
Damon DiSimone, Esq., Secy.
Lisa B. Hayes, Treas.
MJ McCarthy, VP/Controller



STOCKHOLDERS

0.0000%	1,000.00 shares	Voting Common Stock	Peter Scalomandre Qualified Subchapter S Trust (#26-6269227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
0.1250%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
0.1250%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
0.1250%	250.00 shares	Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust (#26-6864255)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
	shares		Beneficiary: Lisa Scalomandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
	shares		Beneficiary: Gina Scalomandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
	shares		Beneficiary: Christina Scalomandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust (#26-6864255)
	shares		Beneficiary: Tricia Scalomandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6864239)
	shares		Beneficiary: Lisa Scalomandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6864200)
	shares		Beneficiary: Gina Scalomandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-6864147)
	shares		Beneficiary: Christina Scalomandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust II (#26-6864267)
	shares		Beneficiary: Tricia Scalomandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalomandre Hayes
	shares		Trustee: Tricia Scalomandre
29.5000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalomandre Qualified Subchapter S Trust (#26-6269227)
	shares		Beneficiary: Peter Scalomandre
	shares		Trustee: Peter Scalomandre
	shares		Trustee: Jill Scalomandre Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalomandre-Clark Qualified Subchapter S Trust (#26-6269248)
	shares		Beneficiary: Jill Scalomandre Clark
	shares		Trustee: Peter Scalomandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalomandre Qualified Subchapter S Trust (#26-6269210)
	shares		Beneficiary: Richard Scalomandre
	shares		Trustee: Peter Scalomandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paulette Scalomandre Qualified Subchapter S Trust (#26-6269192)
	shares		Beneficiary: Paulette Scalomandre
	shares		Trustee: Peter Scalomandre
100.0000%	200,000.00 shares		

AFFILIATES

MILL RENTAL CORP. (#11-2905956)

OFFICERS

Peter Scalamandre, President
Lisa Hayes, Vice President
Tricia Scalamandre, Secretary

STOCKHOLDERS

12.50% Lisa Hayes Family Trust
12.50% Tricia Scalamandre Family Trust
12.50% Christina Hutton Family Trust
12.50% Gina Greenhill Family Trust
16.67% Peter Scalamandre Family Trust
16.67% Joseph L. Scalamandre Family Trust
16.66% Jill Clark Family Trust

SEVILLE CENTRAL MIX CORP. (#11-2526339)

OFFICERS

Peter Scalamandre, President
Lisa Hayes, Vice President
Tricia Scalamandre, Secretary

STOCKHOLDERS

12.50% Lisa Hayes Family Trust
12.50% Tricia Scalamandre Family Trust
12.50% Christina Hutton Family Trust
12.50% Gina Greenhill Family Trust
16.67% Peter Scalamandre Family Trust
16.67% Joseph L. Scalamandre Family Trust
16.66% Jill Clark Family Trust

SCATT MATERIALS CORP. (#11-2607020)

OFFICERS

Peter Scalamandre, President
Thomas Pratt, Vice President
James Pratt, Treasurer

STOCKHOLDERS

45.000% James Pratt
5.625% Lisa Hayes Family Trust
5.625% Tricia Scalamandre Family Trust
5.625% Christina Hutton Family Trust
5.625% Gina Greenhill Family Trust
7.500% Peter Scalamandre Family Trust
7.500% Joseph L. Scalamandre Family Trust
7.500% Jill Clark Family Trust
3.334% John Watral
3.333% Tom Watral
3.333% Paul Watral

SEA CREST DEVELOPMENT CORP. (#11-2171973)

OFFICERS

Lisa Hayes, President
Peter Scalamandre, Vice President
Tricia Scalamandre, Secretary

STOCKHOLDERS

14.2860% Peter Scalamandre
14.2860% J Scalamandre QTIP - Residuary
14.2860% Jill Clark
14.2860% Lisa Hayes
14.2860% Gina Greenhill
14.2850% Christina Hutton
14.2850% Tricia Scalamandre

SEAMENT TRANSPORTATION, LLC (#11-3592000)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

37.5% Peter Scalamandre
37.5% J Scalamandre QTIP - Residuary
20.0% Thomas Hayes
5.0% Peter Buck

WINDING ROAD LEASING CORP. (#11-3592254)

OFFICERS

Peter Scalamandre, President
Thomas Hayes, Vice President
Peter Buck, Secretary

STOCKHOLDERS

37.5% Peter Scalamandre
37.5% J Scalamandre QTIP - Residuary
20.0% Thomas Hayes
5.0% Peter Buck

SEVEN "S" REALTY, LLC (#11-3418887)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

16.6700% Peter Scalamandre
16.6700% J Scalamandre QTIP - Residuary
16.6600% Jill Clark
12.5000% Lisa Hayes
12.5000% Gina Greenhill
12.5000% Christina Hotton
12.5000% Tricia Scalamandre

SSS REALTY CO., LLC (#11-3313486)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

16.6700% Peter Scalamandre
16.6700% J Scalamandre QTIP - Residuary
16.6600% Jill Clark
12.5000% Lisa Hayes
12.5000% Gina Greenhill
12.5000% Christina Hotton
12.5000% Tricia Scalamandre

SOUTH SHORE MATERIALS, INC. (#11-3196622)

OFFICERS

Giuseppe Gianquinto, President
Peter Scalamandre, Vice President
James J Pratt, Secretary
Eugene Augustlewicz, Treasurer

STOCKHOLDERS

8.3400% James J Pratt
8.3300% Thomas Pratt
8.3300% Cathleen Williams
25.0000% Eugene Augustlewicz
8.3400% Giuseppe Gianquinto
8.3300% Luigi Gianquinto
8.3300% Anthony Gianquinto
4.1700% Peter Scalamandre
4.1700% J Scalamandre QTIP - Residuary
4.1600% Jill Clark
3.1250% Lisa Hayes
3.1250% Gina Greenhill
3.1250% Christina Hotton
3.1250% Tricia Scalamandre

SOUTH SHORE LAND, LLC (#11-3465012)

MANAGEMENT

Giuseppe Gianquinto, Managing Member

MEMBERS

8.3400% James J Pratt
8.3300% Thomas Pratt
8.3300% Cathleen Williams
25.0000% Eugene Augustiewicz
8.3400% Giuseppe Gianquinto
8.3300% Luigi Gianquinto
8.3300% Anthony Gianquinto
4.1700% Peter Scalamandre
4.1700% J Scalamandre QTIP - Residuary
4.1600% Jill Clark
3.1250% Lisa Hayes
3.1250% Gina Greenhill
3.1250% Christina Hutton
3.1250% Tricia Scalamandre

PRS SALES & LEASING LLC (#41-2058549)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

100.0000% Peter Scalamandre

FRED SCALAMANDRE & JOSEPH SCALAMANDRE REAL ESTATE (#11-6140493)

OWNERSHIP

1.0000% Fred Scalamandre
49.0000% Scalamandre Family Ltd Pship I
1.0000% Joseph Scalamandre
49.0000% Joseph Scalamandre Family Ltd Pship I

SCALAMANDRE FAMILY LTD PSHIP I (#11-3364127)

OWNERSHIP

1.0000% Fred Scalamandre
1.0000% F Scal I Corp.
12.2500% Scalamandre Irrevocable Trust I (Gina)
12.2500% Scalamandre Irrevocable Trust II (Lisa)
12.2500% Scalamandre Irrevocable Trust III (Christina)
12.2500% Scalamandre Irrevocable Trust IV (Tricia)
12.2500% Scalamandre Irrevocable Trust V (Gina)
12.2500% Scalamandre Irrevocable Trust VI (Lisa)
12.2500% Scalamandre Irrevocable Trust VII (Christina)
12.2500% Scalamandre Irrevocable Trust VIII (Tricia)

JOSEPH SCALAMANDRE FAMILY LTD PSHIP I (#58-2440518)

OWNERSHIP

1.0000% Joseph Scalamandre
1.0000% Joseph Scalamandre Management Inc.
32.6700% J Scalamandre Irrev Trust FBO Peter
32.6600% J Scalamandre Irrev Trust FBO Jill
32.6700% J Scalamandre Irrev Trust FBO Joseph L

SCHEDULE OF WORK EXPERIENCE (WORK IN PROGRESS AS OF 06/30/17)

[illegible]

PETER SCALAMANDRE & SONS, INC.

SCHEDULE OF WORK EXPERIENCE (COMPLETED PROJECTS)

NAME & ADDRESS OF OWNER	PROJECT TITLE & LOCATION	CONTRACT AMOUNT	DATE COMPLETED
NYS DOT	ROUTE 347-112	\$22,514,858.89	11/30/2015
JET BLUE AIRWAYS	JFK AIRPORT	\$13,294,423.53	7/31/2015
DELTA AIRLINES	PHASE II EXT	\$19,223,033.43	12/31/2015
DELTA AIRLINES	TERMINAL 3	\$84,347,129.00	12/31/2015
TISHMAN CONSTRUCTION	RIVERSIDE	\$25,650,802.00	12/31/2015
TURNER CONSTRUCTION	WEST VILLAGE	\$8,609,677.00	2/29/2016
TOB	WYANDANCH	\$8,040,188.00	3/31/2016
TISHMAN CONSTRUCTION	RIVERSIDE	\$29,152,317.00	9/30/2015
DELTA AIRLINES	PHASE II FOUNDATIONS	\$4,215,091.48	5/31/2015
DELTA AIRLINES	JFK IAT	\$73,384,000.00	2/1/2013
DELTA AIRLINES	T4 CONCOURSE B	\$27,078,000.00	10/1/2012
MTA NYC TRANSIT	SITE K	\$57,871,025.00	11/1/2012
DELTA AIRLINES	TERMINAL 4	\$12,000,000.00	4/1/2012
NSUMC	MEDICAL CENTER	\$8,000,000.00	2/1/2012
SNC LAVALIN	ASTORIA POWER PLANT	\$5,800,000.00	4/1/2011
TOOB	HICKSVILLE PARKING GARAGE	\$33,083,000.00	6/1/2011
TOWN OF BROOKHAVEN	SEWER MAIN	\$1,800,000.00	6/1/2011
NYS DOT	SOUND BARRIER L.I.E	\$3,435,732.00	4/1/2010
SKANSKA USA	NYU	\$20,154,821.00	4/1/2010
DEKALB ASS LLC	BROOKLYN HIGH RISE	\$27,440,948.00	11/1/2009
TOWN OF HEMPSTEAD	MILL POND	\$5,100,000.00	12/1/2009
SOUTHWEST AIRLINES	MCARTHUR AIRPORT	\$8,700,059.00	5/1/2009
TURNER CONSTRUCTION	JET BLUE JFK	\$23,425,334.00	4/1/2009
SEIMAN POWER	F&S POWER BELL POT	\$167,855,428.00	4/1/2009
TURNER CONSTRUCTION	JET BLUE JFK	\$24,533,307.00	2/1/2009
JET BLUE AIRWAYS	NEW GARAGE	\$64,370,488.00	9/1/2008
TOOB	BETHPAGE ICE RINK	\$17,194,537.00	12/1/2008
VRH TORCON	AMERICAN AIRLINES JFK AIRPORT	\$4,575,000.00	6/1/2009

PETER SCALAMANDRE & SONS, INC.
157 Albany Avenue
FREEPORT, NEW YORK 11520

VEHICLE CLASSIFICATION	CODE	YEAR	MAKE AND MODEL	SERIAL NUMBER	PLATE #	PLATE EXP	INSPECT EXP
A.T.V.	M-160	86	KAWASAKI KLF 300 BAYOU	3615		EQUIP FLTR	
ARROW BOARDS	M-171	87	AMIDA DIESEL ARROWBOARDS (3)	8704-R1700,R1703,R1702		N.O.P	
BACKHOES	M-038	72	DYNAHOE 190-B W/CONC. BREAKER	190-B-1748	SR1632	12/31/99	
BACKHOES	M-045	74	MASSEY FERGUSON MF-50 BACKHOE	9A193990/5687	SR1635	12/31/99	
BACKHOES	M-047	74	DYNAHOE LOADER 190-CD	D190CD2107E	SU2754	12/31/99	
BACKHOES	M-073A	94	CATAPILLAR 214 RUBBER TIRE	4TF14211	SW5155	12/31/99	
BACKHOES	M-093A	98	CATAPILLAR 446 BACKHOE LOADER	5BL01615	SW8959	12/31/99	
BACKHOES	M-105A	86	BENATI BACKHOE 2000	201081	SU2766	12/31/99	
BACKHOES	M-108A	96	DYNAHOE 490K LOADER	490304	SU2768	12/31/99	
BACKHOES	M-114	79	DYNAHOE 190-B LOADER	D190D50227E	SR1547	12/31/99	
BACKHOES	M-115	81	JCB BACKHOE 1550	290867	SR1548	12/31/99	
BACKHOES	M-126A	89	DYNAHOE 490 LOADER	BCP490197	SU4635	12/31/99	
BACKHOES	M-143	80	DYNAHOE D-200-4 LOADER	D2004D70024E	SU2771	12/31/99	
BACKHOES	M-181	87	DYNAHOE 490 LOADER	BCP490122	SU2753	12/31/99	
BACKHOES	M-182	87	DYNAHOE 490 LOADER	BCP490123	SU2752	12/31/99	
BACKHOES	M-192	87	BENATI BACKHOE 2000	201343	SR1520	12/31/99	
BACKHOES	M-193	87	BENATI BACKHOE 2000	210345	SR1519	12/31/99	
BACKHOES	M-202B	87	DYNAHOE 190-4	BCP64186	SV7033	12/31/99	
BENDING MACHINE	M-104A	NA	IRON MASTER STEEL REBAR M205 (own 5)	1823607426			
BUS	M-044A	84	INTERNATIONAL	1HVLMD3L8EHA19947	BZ7509	2/28/00	
COMPRESSORS	M-050B	NA	INGERSOLL RAND CFM 375	P375AW-D			
COMPRESSORS	M-091A	77	GARDINER/DENVER COMP. M# 750	701182		EQUIP FLTR	
COMPRESSORS	M-153	85	JOY 185 AIR COMPRESSOR DIESEL	169590		EQUIP FLTR	
COMPRESSORS	M-208	89	INGERSOLL-RAND COMPRESSOR 185CFM	174339 U89 329		SCD CORP.	

VEHICLE CLASSIFICATION	CODE	YEAR	MAKE AND MODEL	SERIAL NUMBER	PLATE #	PLATE EXP	INSPECT EXP
A.T.V.							
COMPRESSORS	M-160	86	KAWASAKI KLF 300 BAYOU	3615		EQUIP FLTR	
CONCRETE, BREAKERS	M-286	95	INGERSOLL-RAND MODEL #575T	5068			
CONCRETE, GROOVER	M-033A	81	RAYCO BARCO 100 MILLING MACH.	89330			
CONCRETE, PAVING	M-095B	84	GROOVER WNAAC	GR6500-8403T			
CONCRETE, PAVING	M-081	67	REX CONC. SPREADER WHOPPER	PWR192			
CONCRETE, PAVING	M-082	67	REX CONCRETE FINISHER	FAR380			
CONCRETE, PAVING	M-083A	72	GOMACO DECK SCREED C-450X(CONC.)	MC-17297-08			
CONCRETE, PAVING	M-085	81	BIDWELL OVERLAY FIN. MACHINE OF500	OF8122			
CONCRETE, PAVING	M-111A	87	CURBMASTER PAVING MACHINE CMSF	874178			
CONCRETE, PAVING	M-156	86	GOMACO SLIP PAVR MOD GT6300	MC1388501			
CONCRETE, PLACEMENT	M-087A	88	GOMACO GRADE TRIMMER MC1477 GT3300	44233699			
CONCRETE, PLACER	M-121	80	CONFORM CRETE PLACER KP5	1024			
CONCRETE, PUMPS	M-019A	86	MACK W/SCHWING CONCRETE PUMP	1M2K186C7GM001106	SU7091	12/31/99	
CONCRETE, PUMPS	M-028A	91	CHEMGROUT MACHINE WHOSE & WAND	12CG550-2C4(GH(PUMP))			
CONCRETE, PUMPS	M-277A	90	VOLVO WHITE GMC W/SCHWING PUMP	4V2DMF-JF4LN626151	SW4892	12/31/99	
CRANES	M-001	67	LIMA 400T CRANE 40 TON	473415			
CRANES	M-041B	74	GROVE RT75S HYDRAULIC CRANE	30119	SU2763	12/31/99	
CRANES	M-116	69	LINKBELT CRANE H-138 CD2474 65 TON	19F9149	SW2990	12/31/99	
CRANES	M-174	71	P&H T650 HYDRAULIC CRANE 65 TON	32619	SR1636	12/31/99	
CRANES	M-201	87	GROVE CRANE MODEL #RT6303B 30 TON	69852	SU2794	12/31/99	
CRANES	M-203A	78	LINKBELT TRUCK CRANE HC218A 100 TON	18HA730	SU4442	12/31/99	
CRANES	M-260A	84	GROVE RT755 CRANE 45 TON	67498	SV4842	12/31/99	
CRANES	M-276A	88	P&H 1900XL 100T	54114	SU6991	12/31/99	
CRANES	M-276	79	GROVE RT655 HYD. CRANE 35 TON	40566	SW3853	12/31/99	
CRANES	M-297	59	LINKBELT HC98 SELF PROPELLED 30 TON	9LAH1602	SV4867	12/31/99	
DEWATERING	M-170	NA	PAVEMENT BREAKER CHAMPION H16	2112	SW5113	12/31/99	
DEWATERING	M-223	86	MCCAUSLAND 8" HYD PUMP KOMATSU DIS.	72633			
DOZERS	M-024B	89	KOMATSU D21E-6 DOZER	61700			
DOZERS	M-135B	88	DRESSOR DOZER MODEL TD25G	4370007J002469		EQUIP FLTR	
DOZERS	M-151A	87	KOMATSU D21E-6 DOZER	D21E 80942		EQUIP FLTR	

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VEHICLE CLASSIFICATION	CODE	YEAR	MAKE AND MODEL	SERIAL NUMBER	PLATE #	PLATE EXP	INSPECT EXP
A.T.V.	M-160	86	KAWASAKI KLF 300 BAYOU	3615			
DOZERS	M-168A	85	INT'L DRESSER DOZER TD25G	4370007U002081		EQUIP FLTR	
DOZERS	M-215	87	CAT CRAWLER DOZER D-8H	4RC01041		EQUIP FLTR	
DOZERS	M-221	90	CAT D4C CRAWLER DOZER	2C1425		EQUIP FLTR	
DOZERS	M-261A	96	KOMATSU D37P-5 CRAWLER DOZER	3475		EQUIP FLTR	
DOZERS	M-262A	96	KOMATSU D41P CRAWLER DOZER	B20155			
EXCAVATOR	M-232A	95	KOMATSU PC400LC-5	21496			
FORKLIFTS	M-030A	78	CHAMP FORKLIFT C-40	CCH9838		EQUIP FLTR	
FORKLIFTS	M-031C	NA	JCB FORKLIFT 930	607245			
FORKLIFTS	M-036A	72	TAYLOR FORKLIFT 10T MODEL Y20-WO	S25-9681			
FORKLIFTS	M-039A	78	HYSTER FORKLIFT	COO5D1826fY		EQUIP FLTR	
FORKLIFTS	M-220	79	CHAMP TCM FORKLIFT FD7024	26550697		EQUIP FLTR	
FORKLIFTS	M-226	82	TCM FORKLIFT FG20 (GAS)	FG200N6-3464979		N.O.P	
FORKLIFTS, LULL	M-224	87	LULL HIGHLANDER FORKLIFT M# 1044	K7G5E6-144			
GENERATORS	M-154	91	50KW GENERATOR W/ TRAILER	UDR-221421D15	10492SP	12/31/99	
GENERATORS	M-200	88	CUMMINS 215 KW GENERATOR N1855GC2	30128092		N.O.P	
GENERATORS	M-228	70	7KW GENERATOR				
GENERATORS	M-245	91	CUMMINS 35KW DIESEL GENERATOR	76958			
GRADALL	M-060A	71	WARNER/SWASEY GRADALL 1000	G7784100			
GRADERS	M-051D	92	850 DRESSER GRADER	G580012V200016	SU2773	12/1/99	
GRADERS	M-094A	88	PUCKETT BROS. MODEL 510 UTILITY	PBP88G623	SW3855	12/31/99	
HAMMER	M-225	89	NPK 7X HAMMER		SW3854	12/31/99	
LOADERS, RUBBER TIRE	M-023B	95	KOMATSU WA450 WHEEL LOADER	A26093			
LOADERS, RUBBER TIRE	M-035A	97	KOMATSU 450-3L	A30238	SW4883	12/31/99	
LOADERS, RUBBER TIRE	M-077A	85	KOMATSU WA450 PAYLOADER	10299	SW4913	12/31/99	
LOADERS, RUBBER TIRE	M-127	74	TEREX LOADER M# 7231	61887	SU7095	12/31/99	
LOADERS, RUBBER TIRE	M-198A	95	KOMATSU WA500 WHEEL LOADER	A60176	SU2751	12/31/99	
LOADERS, RUBBER TIRE	M-216	89	DRESSER PAYLOADER 540	5141	SW8960	12/31/99	
LOADERS, RUBBER TIRE	M-217	86	DRESSER PAYLOADER 550	2111	SU6992	12/31/99	
LOADERS, RUBBER TIRE	M-280	88	DRESSER 555 WHEEL LOADER	3570559U03551	SU6994	12/31/99	
					SV9264	12/31/99	

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A.T.V.							
LOADERS, RUBBER TIRE	M-160	86	KAWASAKI KLF 300 PAYOU	3615			
LOADERS, RUBBER TIRE	M-294	86	KOMATSU WA-450 PAYLOADER	10375	SW5112	EQUIP FLTR	
MAN LIFTS	M-295	86	KOMATSU WA-500 PAYLOADER	10268	SW5114	12/31/99	
MAN LIFTS, ELEVATOR	M-017B	86	MANLIFT M# TBA60R (ATO SNORKELIFT)	866030788		12/31/99	
MAN LIFTS	M-022B	80	ATLAS ELECTRIC HOIST MODEL 8500	011	SOLD		
MILLING MACHINE	M-136	NA	HEFT-THERMAN SCISSOR LIFT	3600203			
POWER SCREEDS	M-252	76	BRIDGEPORT VERT 6" MILLING MACH 9X42	148631			
ROLL OFFS, CONTAINER	M-084	79	55' ALLEN SCREED 12ED RAZOR BACK	NA		N.O.P	
ROLL OFFS, CONTAINER	M-159A	87	ROLLOFFS 2 FOR MACK M165 #48#5				
ROLL OFFS, TRUCK	M-166	86	ROLL-OFFS 4-FOR MACK 165				
ROLLERS	M-165A	86	MACK DM800SX WATLAS ROLL-OFF	1M3B167K1G1003857		N.O.P	
ROLLERS	M-009B	59	ESSICK TAGALONG MODEL UR721EC	52911997	EH6976	N.O.P	
ROLLERS	M-029A	88	DYNAPAC CA-25 VIBRATORY ROLLER	575344			
ROLLERS	M-066B	79	WACKER ROLLER W74A	615405526	SV2085	12/31/99	
ROLLERS	M-097	72	VIBRA PLUS WALK BEHIND MODEL	DD17			
ROLLERS	M-098	58	VIBRA PLUS TAG-A-LONG CK20	324663 6127698			
SCREENERS	M-167	76	DYNAPACK TANDEM ROLLER-CC32A	283D24			
SCREENERS	M-234	90	POWERSCREEN COMMANDER	5100199	SR4355	12/31/99	
SCREENERS	M-061A	89	POWER GRID	702872		N.O.P	
SCREENERS	M-210	89	REED-SCREEN-ALL MODEL RD901	383387			
SNOWMOBILE, TRAILER	M-178A	88	YACHTCLUB TRAILER	1HJ01121XJ0048854			
SNOWMOBILES	M-178B	88	ARTICAT JAG SNOWMOBILE #234835	8801527	76181N	12/31/99	
SNOWMOBILES	M-178C	88	ARTICAT JAG SNOWMOBILE #234835	8812784	9156AN	8/31/98	
SWEEPERS	M-101B	94	CLARKE AMERICAN LINCOLN MODEL 2260	460285 M#578-530	9157AN	8/31/99	
SWEEPERS	M-235	81	MOBIL SWEEPER MODEL 2TE4D	820-42D-1364			
TRAILER	M-011B	66	RODGERS TRAILER (WAR WAGON)	12382	SU6997	12/31/99	
TRAILER	M-103A	69	RODGERS TRI-AXEL LOWBOY	1410269	51606D	12/31/99	
TRAILER	M-149	75	HOMEMADE TRAILER	TH7838PA	78139G	12/31/99	
TRAILER	M-150B	79	BECK TRAILER (BOBCAT)	P473		N.O.P	
TRAILER	M-155B	88	FONTAINE TRI-AXLE FLATBED	13N4464C4J164138E	53857U	DEC	

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VEHICLE CLASSIFICATION	CODE	YEAR	MAKE AND MODEL	SERIAL NUMBER	PLATE #	PLATE EXP	INSPECT EXP
A.T.V.	M-160	86	KAWASAKI KLF 300 BAYOU	3615			
TRAILER	M-163A	79	BUD STRETCH TRIAXLE TRAILER	164560L	61140F	EQUIP FLTR	
TRAILER	M-247	66	TRAILERMOBILE FLAT BED	21TOSAH31487	1067E	12/31/99	
TRAILER	M-259	63	FRUEHAUF SEMI STRETCH	FWC671101	99100E	12/31/99	
TRAILER, DUMP	M-086A	86	SUMMIT STEEL DUMP TRAILER SD-28	1S8SD283XG0006045	76337Z	12/31/99	
TRAILER, DUMP	M-125	74	FRUEHAUF TRIAXLE STEEL DUMP	FWR562305	51802D	12/31/99	
TRAILER, IMPACT	M-246	65	FRUEHAUF DROP DECK TRAILER	FWG663301	12062E	12/31/99	
TRAILER, LOWBED	M-010A	63	MARTI SEMI	9230	76339Z	12/31/99	
TRAILER, LOWBED	M-113A	96	TRAIL KING TK100HDG TRAILER	1TKJ05338VM076047	36788M	12/31/99	
TRAILER, LOWBED	M-250	87	ROGERS 55 TON LOWBOY TR-AXLE	1RBH51305HAR20695	95301S	12/31/99	
TRAILER, LOWBOY	M-005C	88	BENSON LOWBOY TRAILER	INULT38ZXJMN50196	13546F	12/31/99	
TRANSPORTER	M-128	NA	TRANSPORTER PLATES		1905561	5/31/00	
TRENCHERS	M-057	75	JETCO TRENCHER NY 1	C1009752855			
TRUCKS, BOOM	M-117B	95	KENWORTH T-800 BOOM TRUCK	1NKDLAEXXS659987	SN4640	12/31/99	
TRUCKS, BOOM	M-303	88	VOLVO BOOM TRUCK	YB3U68383HB408060			
TRUCKS, DUMP	M-004A	80	FORD DUMP TRUCK-SMALL	F37GCHD5958	E11701	6/30/99	
TRUCKS, DUMP	M-195	88	KENWORTH TRACTOR C500B	2NKCLATX9JM519585	EH5255	7/31/99	
TRUCKS, DUMP	M-196	88	KENWORTH TRACTOR C500B	2NKCLATX9JM519586	EH5256	7/31/99	
TRUCKS, DUMP	M-502	86	MACK 10 WHEELER(SCD)	1M2P139C8GA014092	22149AD	5/31/99	
TRUCKS, DUMP	M-503	86	MACK 10 WHEELER(SCD)	1M2P139C9GA014098	38702AH	5/31/99	
TRUCKS, DUMP	M-512	78	AUTOCAR DUMP TRUCK	Z019VVH086924	63436AL	7/31/99	
TRUCKS, FLAT	M-043A	85	GMC C7D042 FLAT TRUCK	1GDG7D1B7FV607991	EH9960	1/31/01	
TRUCKS, FLAT	M-102A	97	KENWORTH FLAT TRUCK	3NKM4HD742WF763473	SN5059	7/31/99	
TRUCKS, FLAT	M-130	84	GMC C7D042 FLAT BED	1GDG7D1Y5EV517936	ET1047	3/31/99	
TRUCKS, FLAT	M-131	84	GMC C7D042 FLAT BED	1GDG7D1Y5EV517953	E11046	3/31/99	
TRUCKS, FLAT	M-132	84	GMC C7D042 FLAT BED	1GDG7D1Y9EV519028	E11700	6/30/99	
TRUCKS, FLAT	M-133	84	GMC C7D042 FLAT BED	1GDG7D1Y7EV519039	HY6332	3/31/99	
TRUCKS, FLAT	M-184	88	GMC C7D042 FLAT BED	1GDG7D1Y1JV510962	ES9772	4/30/00	
TRUCKS, FLAT	M-185	88	GMC C7D042 FLAT BED	1GDG7D1Y5JV511192	ES9774	4/30/00	
TRUCKS, IMPACT	M-064	76	FORD F-600 STAKE TRUCK	F60DCA76583	E17395	12/31/99	

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VEHICLE CLASSIFICATION	CODE	YEAR	MAKE AND MODEL	SERIAL NUMBER	PLATE #	PLATE EXP	INSPECT EXP
A.T.V.	M-160	86	KAWASAKI KLF 300 BAYOU	3616			
TRUCKS, OFF ROAD	M-300	91	CAT D350 ROUGH TERRAIN	9RF00377	SV2080	EQUIP FLTR	
TRUCKS, OFF ROAD	M-301	91	CAT D350 ROUGH TERRAIN	9RF00388	SV2983	12/31/99	
TRUCKS, PICK UP	M-013B	90	FORD F250 PICK-UP (SUPERCAB)	1FTHX25M3LKB15660	VY3285	12/31/99	
TRUCKS, PICK UP	M-014A	90	FORD F250 PICK-UP (SUPERCAB)	1FTHX25M7LKB15659	ET9053	11/30/00	1/27/99
TRUCKS, PICK UP	M-048A	80	CHEVROLET C-20 PICK-UP	CC1244AB106932	EG8503	5/31/99	
TRUCKS, PICK UP	M-055A	94	CHEVROLET PICKUP	1GCEC14Z5RZ218634	88566AN	12/31/99	12/9/99
TRUCKS, PICK UP	M-058A	86	CHEVROLET C-20 PICK-UP	2GCC14N0G1207860	DL9927	8/31/00	10/00
TRUCKS, PICK UP	M-140C	86	CHEVROLET PICK-UP (SMALL 4 CYL)	1GCBS14E0G2185065	SN5474	7/31/00	12/12/99
TRUCKS, PICK UP	M-145	85	GMC C2500 PICK-UP	2GJEC24N2F1520146	HY6631	3/31/99	12/31/99
TRUCKS, PICK UP	M-158	86	JEEP COMANCHE PICK-UP	1JTWVW66F1GT209617	ET2599	6/30/99	1/27/99
TRUCKS, PICK UP	M-183B	87	CHEVROLET CUSTOM 20 PICK-UP	1GCER24H5HF403410	DI9928	8/31/00	12/12/99
TRUCKS, PICK UP	M-256	89	FORD F250	1FTRX18W1XNA21969	X683ZN	11/30/00	12/28/99
TRUCKS, PICK UP	M-268A	95	CHEVROLET PICK-UP	1GCDT19Z2K2214169	X2Y100	2/28/99	
TRUCKS, PICK UP	M-283	95	FORD F250 PICK-UP	1GTGC34K2SE522136	522136	10/31/99	12/8/99
TRUCKS, PICK UP	M-288	96	FORD F250 PICK UP	1FTEF25YOSNA65788	XR9407	1/31/01	11/12/99
TRUCKS, PICK UP	M-289	96	FORD F250 PICK UP	1FTHF25Y2TEA48795	XW5213	11/30/99	1/8/00
TRUCKS, PICK UP	M-290	96	FORD F250 PICK UP	1FTHF25Y1TEA48814	XW5212	11/30/99	7/12/99
TRUCKS, SERVICE	M-049B	88	FORD UTILITY F-800 (BIG RED)	1FTHF25Y1TEA48822	XW5215	12/31/99	1/7/00
TRUCKS, SERVICE	M-287	88	IVECO	1FDPK94AGJVA09176	GX3169	2/28/00	1/8/00
TRUCKS, TOW	M-092A	87	MACK MS260P MIDLINER W/COAR CARRIER	ZCFBB1138J1107285	93305AB	4/3/99	
TRUCKS, TRACTORS	M-070	71	MACK TRACTOR U685ST	VG6M112E8HB065757	21143TH	12/31/99	
TRUCKS, TRACTORS	M-194	88	KENWORTH TRACTOR W 900	U685ST4810 TMT	TU1829	3/31/99	
TRUCKS, TRACTORS	M-236	89	MACK CUSTOM SUPERLINE TRACTOR	2XKWD BOX9JM521055	TT4095	7/31/99	
TRUCKS, TRACTORS	M-278	89	RED MACK SUPERLINER RW753	1M2A438X9KM001562	TW5903	2/28/00	
TRUCKS, TRACTORS	M-616	87	MACK TRACTOR(SCD)	1M2AY38YOKM001553	TX6515	8/31/98	
TRUCKS, WELDING	M-069	71	MACK U685ST FLAT BED(MILLER WELDER)	1M2AY04YXHM002643	TX6639	5/31/99	
VARIANCE BARRIER MOLD	M-138	84	GOMACO SHROUD & SIDE DOOR HOPPER	U685ST4808	EH6978	1/31/00	
VIBROPLATE	M-251	92	WACKER VIBROPLATE DPU6055	MC13165-21			
				0694121598			

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A.T.V.	M-160	86	KAWASAKI KLF 300 BAYOU	3615			
WATER TANK	M-141	63	TANK SEMI TRAILER (PENNSYLVANIA)	11198			
WATER TANK	M-211	73	TRAILMOBILE TRAILER TANK	J40450	41877K	EQUIP FLTR	
WATER TANK	M-219	61	MACK B-61 TANKER	B61T35634	53798U	12/31/99	
WELDING MACHINE	M-059A	88	MILLER WELDING MACHINE	JH247209/JH329957			
WELDING MACHINE	M-086	80	MILLER AIR COOLED WELDER TRAILER	JA368882		N.O.P	
WELDING MACHINE	M-109	81	HOBART WELDER GB318-3	8BW14081			
WELDING MACHINE	M-231	91	MILLER BIG 40 DIESEL	902957			
WELDING MACHINE	M-240	88	MILLER WELDING MACHINE	JJ329957			
WELL POINTS	M-129A	NA	3 SETS WELL POINTS			N.O.P	

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QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 3
2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 3

b. as a Subcontractor _____

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

see enclosed

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

see enclosed

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? no
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? no
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

c. ever been declared a non-responsible bidder by any municipality or public agency? no
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? no
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm;

a. that failed to complete a construction contract? no
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? no
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? no
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

d. that has ever been barred from bidding municipal or public contracts? no

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? no
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

none

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

none

10. In what other lines of business are you financially interested?

none

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

see enclosed

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	---	---------------------	---------------------

see enclosed

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

not applicable; equipment will be furnished by the members (RJ and Scalamandre)

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?
Explain in detail.

Review of Contract Documents

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.
In accordance with the approved CPM schedule.

16. If a contract is awarded or a permit is issued, to your firm, who
will have the personal supervision of the work? Attach resume.
see enclosed

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
see enclosed			

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.


Richard O. Felicetta, Member

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING
A BID ON GENERAL CONSTRUCTION
CONTRACT NO. S35121-16G

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1	Lump Sum	Base Bid for furnishing all labor, materials and equipment required for all Construction work at the Bay Park Sewage Treatment Plant as specified and shown on the drawings, complete and ready for operation. <i>four hundred and fifty six thousand and no</i> <i>Ten Million Eight Hundred and No</i> DOLLARS CENTS	N/A	N/A	\$10,458,800	00
2	75 Cubic Yards	For extra earth excavation beyond the limits of the Contract Drawings and Specifications, as ordered by the County <i>Fifty dollars and zero cents</i> DOLLARS CENTS	50	00	3,750	00
3	125 Cubic Yards	For select fill from outside sources (per the contract Drawings and Specifications) as ordered by the County <i>Fifty dollars and zero cents</i> DOLLARS CENTS	50	00	6,250	00
4	Allowance	For furnishing all labor, materials, equipment and incidentals for Miscellaneous Additional Work (Section 01020) as ordered by the County Four Hundred Thousand No DOLLARS CENTS	N/A	N/A	\$400,000	00

++ NO TEXT ON THIS PAGE ++

P-10

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING
A BID ON GENERAL CONSTRUCTION
CONTRACT NO: S35121-16G

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
TOTAL BASE BID (Bid Item Nos. 1 thru 4)						
\$ 10,868,800.00						
TOTAL BASE BID (Bid Item Nos. 1 thru 4): MUST BE WRITTEN IN WORDS:						
Ten Million, Eight hundred and SIXTY EIGHT DOLLARS			NO CENTS			

PROPOSAL

++ NO TEXT ON THIS PAGE ++

P-12

PROPOSAL

ALLOWANCES: It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. 4: An allowance of \$400,000 for Miscellaneous Additional Work.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances and Section 1150, Measurement and Payment

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID: Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances.

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

MAJOR EQUIPMENT ITEMS: The Bidder shall fill the name and address of the proposed system suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

<u>Specification Number</u>	<u>Description</u>	<u>Manufacturer and/or Supplier</u>
08390	Flood Doors	Deutscher Drahtwerk SAVANNAH OR EQUAL
08391	Flood Gates/Barriers	Deutscher Drahtwerk SAVANNAH OR EQUAL
08392	Passive Flood Control Louver Gates	Deutscher Drahtwerk SAVANNAH OR EQUAL
15999	Sump Pumps	Hydromatic (PES) OR EQUAL
15999A	Sump Pump Control Panels	A.K. PERAMA, COO, PES OR EQUAL

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

John C. Doremus, President
Name and Title of Authorized Representative

5/9/19
m/d/yy

Signature

Date

RJ / Scalapandic, JV
Name of Organization

11803

75 East 3rd Avenue Road, Plainville, NY
Address of Organization

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

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(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

John C. Doremus, Vice President 5/9/19
Name and Title of Authorized Representative mdy/yy

[Signature] _____
Signature Date

R.J. Industries, Inc.
Name of Organization

75 East Bethpage Road ; Plainville NY 11803
Address of Organization

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 87, Section 87.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Peter SCALAMANDRE PRESIDENT
Name and Title of Authorized Representative

m/d/yy

Signature

Date

01/03/19

Peter SCALAMANDRE & SONS Inc
Name of Organization

151 ALBANY AVE
Address of Organization

Freeport NY 11520

U.S. DEPARTMENT OF JUSTICE FORM 4061/1 (REV. 2/89) Previous editions are obsolete

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
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QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 3

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 3

b. as a Subcontractor _____

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

see enclosed

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
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see enclosed

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? no
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? no
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

c. ever been declared a non-responsible bidder by any municipality or public agency? no
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? no
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? no
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? no
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c. that has ever been declared a non-responsible bidder by any municipality or public agency? no
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

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(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? no

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

none

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

none

10. In what other lines of business are you financially interested?

none

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

see enclosed

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	---	---------------------	---------------------

see enclosed

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

not applicable; equipment will be furnished by the members (RJ and Scalamandre)

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?
Explain in detail.

Review of Contract Documents

(use additional blank sheets if additional space is necessary)

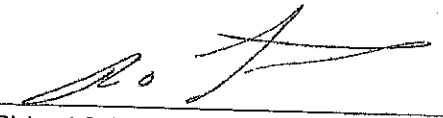
15. Explain your plan and lay-out for performing the proposed work.
In accordance with the approved CPM schedule.

16. If a contract is awarded or a permit is issued, to your firm, who
will have the personal supervision of the work? Attach resume.
see enclosed

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
see enclosed			

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.


Richard O. Felicetta, Member

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 20

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 10

b. as a Subcontractor 20

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
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See Attached

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
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See Attached

(use additional blank sheets if additional space is necessary)

5. Have you:

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b. ever been defaulted on a contract? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

c. ever been declared a non-responsible bidder by any municipality or public agency? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

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6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

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If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

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If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

d. that has ever been barred from bidding municipal or public contracts? No

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(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Richard O. Felicetta	President	34	General & Mechanical Construction	Executive & Project Management
John C. Doremus	Vice President	30	General & Mechanical Construction	Executive & Project Management
John E. Kozlarz	Vice President	30	General & Mechanical Construction	Project Management & Estimating
William J. Doremus	Secretary-Treasurer	20	General & Mechanical Construction	Finance/Risk Mgmt.

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	--	------------------	------------------

See Attached

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

None / Not Applicable

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?
Explain in detail.

Review of Contract Documents (Drawings and Specifications)

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

We will perform in accordance with the approved Critical Path Method (CPM) Schedule

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

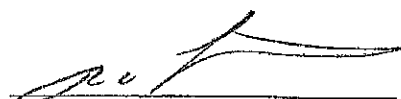
John Doremus / John Koziarz

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

See Attached

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



Richard O. Fellicetta, President


Attachment 9
New York State Environmental Facilities Corporation
CERTIFICATION REGARDING LOBBYING
FOR
CONTRACTS, GRANTS, LOANS, AND
COOPERATIVE AGREEMENTS
40 CFR Part 34

SRF Project No.: _____

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

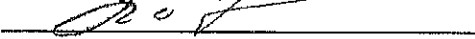
This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

By: 
Name: Richard D. Felicetta
Title: Member
Date: 12/5/17
Contract ID: S35121-166

AIS CONTRACTOR CERTIFICATION
FOR CONSTRUCTION CONTRACTS PAID FOR WITH FUNDS FROM
THE NYS CLEAN WATER STATE REVOLVING FUND OR
THE NYS DRINKING WATER STATE REVOLVING FUND VIA THE
NYS ENVIRONMENTAL FACILITIES CORPORATION

Project Title: Bay Port STP; Secondary Flood Protection and Hardening of
Contractor's Name: RJ/Scalsmendre, JV Critical Facilities
Contract ID: S35121-16G
SRF Project #: _____
SRF Recipient Name: _____

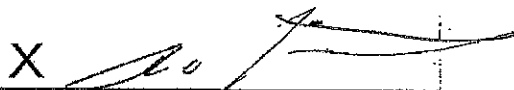
I certify that the iron and steel products that will be permanently incorporated into the public water system or wastewater treatment works project under this construction contract will have been produced in the United States, in accordance with the requirements of the US Environmental Protection Agency. I will also develop and maintain at the project location the necessary documentation to demonstrate that the iron and steel products incorporated into the project were produced in the United States, and make such documentation available to The NYS Environmental Facilities Corporation or their authorized representatives, upon request.

Signature: 
Name (print): Richard O. Felicetta
Title: Member
Date: Dec. 5, 2017

Attachment 1
New York State Environmental Facilities Corporation
EQUAL EMPLOYMENT OPPORTUNITY POLICY STATEMENT
NEW YORK STATE REVOLVING FUND (SRF)

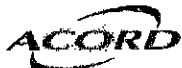
I, Richard O. Felicetta, am the authorized representative of RJ/Scalominde, JV.
Name of Representative Name of Contractor/Service Provider
I hereby certify that RJ/Scalominde, JV will abide by the equal employment
Name of Contractor/Service Provider
opportunity (EEO) policy statement provisions outlined below.

- (i) The Contractor will not discriminate on the basis of race, creed, color, national origin, sex, age, disability, or marital status against any employee or applicant for employment, will undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination and will make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on Contracts relating to SRF projects.
- (ii) The Contractor shall state in all solicitations or advertisements for employees that, in the performance of the Contract relating to this SRF project, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.
- (iii) The Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status, and that such union or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.
- (iv) The Contractor shall comply with the provisions of the Human Rights Law (Article 15 of the Executive Law), including those relating to non-discrimination on the basis of prior criminal conviction and prior arrest, and with all other State and federal statutory and constitutional non-discrimination provisions, including Titles VI and VII of the Civil Rights Act of 1964, 40 CFR Part 7, 41 CFR Part 60-1 Subpart A, 41 CFR Part 60-4, Section 504 of the Rehabilitation Act of 1973, and the Age Discrimination Act of 1975. The Contractor and Subcontractors shall not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status or domestic violence victim status.
- (v) The Contractor will include the provisions of subdivisions (i) through (iv) in every Subcontract in such a manner that the requirements of these subdivisions will be binding upon each Subcontractor as to work in connection with the Contract.

X 

Contractor/Service Provider Representative

Richard O. Felicetta
Member



RJIND-1

CP ID: MC

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

08/09/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER A. L. Carr Agency, Inc. 101 Mill Dam Road Centerport, NY 11721-0608	631-271-2727	CONTACT NAME: PHONE (A/C, No, Ext): 631-271-2727 FAX (A/C, No): 631-271-2747 E-MAIL: ADDRESS:
INSURED RJ INDUSTRIES, INC 75 EAST BETHPAGE ROAD PLAINVIEW, NY 11803		INSURER(S) AFFORDING COVERAGE INSURER A: ALLIED WORLD SPECIALTY INSURER B: ALLIED WORLD ASSURANCE CO 10690 INSURER C: The State Insurance Fund 52421 INSURER D: American Guarantee Ins. Co. 02562 INSURER E: ASPEN AMERICAN INSURANCE CO INSURER F: STANDARD SECURITY LIFE INS. CO 69078

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD: WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
B	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> <input type="checkbox"/> GEN'L AGGREGATE LIMIT APPLIES PER <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC <input type="checkbox"/> OTHER		0309-1225	08/01/2017	08/01/2018	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (Per occurrence) \$ 300,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 4,000,000 PRODUCTS - COMPROP AGG \$ 4,000,000 \$
A	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY		6000-0268	08/01/2017	08/01/2018	COMBINED SINGLE LIMIT (Per accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
D	UMBRELLA LIAB <input checked="" type="checkbox"/> EXCESS LIAB. <input type="checkbox"/> OCCUR <input checked="" type="checkbox"/> CLAIMS-MADE DED. RETENTION \$		SXS0181443-02	08/01/2017	08/01/2018	EACH OCCURRENCE \$ AGGREGATE \$ 14,000,000 \$
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/OWNER EXCLUDED? (Mandatory in NY) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/> N/A	2340 643-2	04/01/2017	04/01/2018	PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
E	BUILDERS RISK/INST		IMA95XV17	05/04/2017	05/04/2018	ALL RISK BLANKET
F	NYS DISABILITY		D92340-000	10/01/2016	10/01/2018	STATUTORY

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

-FOR EVIDENCE PURPOSES-

CERTIFICATE HOLDER TOWHO-1 TO WHOM IT MAY CONCERN	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
---	---

RJ Equipment

Year	Item	Model No	Serial No.	Value / Orig Cost
2014	CAT Excavator	348F	BZ200174	400,000
2010	Grove RT 600E (50 Ton Crane)	HO 2160	230901	385,000
2005	Link Belt RTC (50 Ton Crane)	RTC 805011	J6J57798	218,505
	Landis 6" Pipe Threader	(w/ GE Induction Motor)	23783	18,520
2013	CAT Excavator	308E	EJTMX00784	97,500
2012	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283352	22,150
2013	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283493	22,150
2014	Zenith 25 GPS		2860958	13,000
2011	Moretranch (Various Dewatering Equipment)			105,000
2011	Komatsu Hydraulic Excavator	PC200LC-8HD	KMTPC180P64A89729	128,450
1999	CAT Hydraulic Excavator	320 BL	7JRD2231	42,000
2002	CAT Skid Loader	262	CED01093	20,000
1991	GROVE Hyd Crane	RTG35	74217	65,000
2006	CAT Excavator	308 CCR	CVKXC01388	61,500
2002	CAT Mini Excavator	305	DSA00254	34,925
2001	CAT 725 Articulated Dump Truck	725	AFX00145	86,000
2002	CAT Mini Excavator	304.5	WAK00727	35,000
2001	CAT Backhoe	420 D	BLN00364	33,000
2002	CAT Skid Loader	262B	PD00286	20,000
1990	John Deere Pay Loader	644E	DW644EB527520	45,000
1999	CAT Excavator	315 C	CCCFT00893	50,000
1997	CAT Excavator	315 BL	3AW01068	38,000
1987	JCB Telehandler (Forklift)	506B	0579416	23,250
	Gradall Forklift	544D	0455077	
1998	CAT Backhoe	426C	1YR01805	12,500
2002	CAT Bulldozer	D5C	7PS01937	40,000
2006	CAT Excavator	330CL	CYA01151	108,000
2004	GORMAN RUPP - 4" Diesel Pump	PA8C60-4045D-C1	001281115N	8,000
	GORMAN RUPP - 6" Dry Prime Pump			10,000
2001	Ingersoll Rand Vibratory Smooth Drum Roller	SD110D	168435	37,925
1998	CHICAGO PNEUMATIC Compressor	CPS 390	CE16156	5,000
2008	Concrete Saw - Self Propelled	FS6600D	1254115001	16,900
	Concrete Forms	Doka		80,000
	3 - WHIRLYBIROS	Power Trawl 36"		
	4-5 VIBRATORS	OZ Tech		
	Light Towers			8,250
	Light Towers			8,250
	Light Towers			8,250
2003	JLG 400S - Self Propelled Deutz	400S	300072553	19,500
2006	Skyjack	SJ3219	262824	5,500
2005	Skyjack	SJ3219	250891	5,500
2006	Skyjack	SJ3219	262669	5,500
2001	JLG Telescopic Boom Lift	400S	300060660	13,750
2001	Lull - Telescopic Fork Lift	844C-42	01W21P22-3663	20,000
2002	JLG Telescopic Boom Lift	400S	300061232	13,750
	Yale Forklift GP 070	GP 070	B813D03962V	7,060
2009	CAT Payloader	966H	HCA6D02326	75,000
2005	John Deere (off road Dump)	300D	DW300DT599365	120,000

R.J. Industries, Inc.

Prime Contracts In Progress

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	December-17	86%
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visone 516-571-7359	\$7,647,700	December-17	83%
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	April-18	9%
<u>BSRJA TV</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$97,447,200	December-18	67%
<u>RJ / Scalapandure Joint Venture</u>					
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$16,187,700	September-17	99%
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$15,677,700	March-19	1%

as of September 30, 2017

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park Sewage Treatment Plant Electrical Distribution System Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a TV)	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$28,956,674	2016
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improvements to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Joseph Davenport 516-571-7515	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Joseph Davenport / 516-571-7515 Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$24,767,700	2016
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Joseph Davenport / 516-571-7515 Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$3,937,700	2016
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Joseph Davenport, PE 516-571-7515	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014

As of September 30, 2017

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Maurarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Maurarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot, Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3) Screening Room Odor Control General Construction & HVAC Contracts	County of Suffolk	John Berchold 631-852-4189	\$2,347,860	2013
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection System & Related Infrastructure Repairs	Nassau County DPW	Joseph Davenport 516-571-7515	\$2,701,537	2013
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-766-8000 William F Cosulich, Assoc.	\$4,872,523	2013

As of September 30, 2017

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT DATE COMPLETED</u>	
			<u>\$814,400</u>	<u>2013</u>
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	Joseph Mautarano 516-364-9890		
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartilucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading Rive CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-766-8000	\$8,906,550	2011
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW James Gallagher 516-571-7220	\$3,647,700	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011

As of September 30, 2017

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Cedar Creek WPCP Install New Gravity Belt Thickener	Nassau County DPW	Hazen and Sawyer Mike De Nicola 212-539-7038	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bleisch H2M Group 631-756-8000	\$929,800	2010
Great Neck Water District Concrete Clearwell - Weybridge Road General Construction	Great Neck Water Dist.	Dvirka & Bartilucci Bill Merklin 516-595-4845	\$648,000	2010
SUNY - Maritime Replace Fuel Oil Tanks (FL Schuyler)	State University Construction Fund	Richard Brown 518-320-3204	\$1,998,050	2010
Sea Cliff Install 600,000 Gallon Elevated Tank	Aqua New York Matthew Snyder	Caldwell Tank, Inc (GC) Jenny Ferguson 502-964-3361	\$288,000	2009
Carle Place Water District Pump Sta. 4 Treatment Improvements & Building Rehab	Carle Place Water Dist.	Sidney Bowne Joseph Todaro, PE 516-746-2350	\$164,830	2009
Huntington WWTP Install Ultraviolet (UV) Disinfection System	Town of Huntington	H2M Group Steve Hearl 631-756-8000	\$563,800	2008

As of September 30, 2017

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 61

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 61

b. as a Subcontractor 61

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

SEE ATTACHMENT

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

SEE ATTACHMENT

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? NO
If so, identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? NO
If so, identify the project, the owner, the contract amount, the circumstances and the date of all default actions

c. ever been declared a non-responsible bidder by any municipality or public agency? YES
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? YES
If so; identify the municipality or public agency; the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? NO
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? NO
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? NO
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

d. that has ever been barred from bidding municipal or public contracts? NO

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? NO
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State;

NONE

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years;

NONE

10. In what other lines of business are you financially interested?

NONE

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Peter Salamandine	PRES	30	GEN CONST	OVERALL MGT

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	---	---------------------	---------------------

SEE ATTACHMENT

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

NONE

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?
Explain in detail.

REVIEW PLANS & SPECIFICATIONS

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

TO BE DETERMINED UPON AWARD
AND OWNERS APPROVED SCHEDULE

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

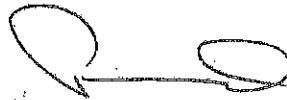
TO BE DETERMINED

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

SEE SAMPLE CERTIFICATE

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "Qualifications and Responsibility of Bidders" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

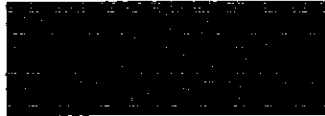


Peter SCALAPANDIR. PRES

PETER SCALAMANDRE & SONS, INC.

OFFICERS

Peter Scalamandre, Pres.
 Damon DiStimone, Esq., Secy.
 Lisa B. Hayes, Treas
 MJ McCarthy, VP/Controller



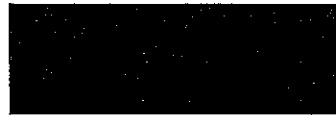
STOCKHOLDERS

0.6000%	1,000.00 shares	Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-8269227)
0.1250%	250.00 shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-8864218)
0.1260%	250.00 shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-8864167)
0.1260%	250.00 shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-8864124)
0.1260%	250.00 shares	Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-8864265)
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-8864218)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-8864167)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-8864124)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust (#26-8864255)
	shares		Beneficiary: Tricia Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1875%	12,375.00 shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-8864239)
	shares		Beneficiary: Lisa Scalamandre Hayes
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1876%	12,375.00 shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-8864200)
	shares		Beneficiary: Gina Scalamandre Greenhill
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1876%	12,375.00 shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-8864147)
	shares		Beneficiary: Christina Scalamandre Holton
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
6.1876%	12,375.00 shares	Non-Voting Common Stock	Tricia Scalamandre Qualified Subchapter S Trust II (#26-8864267)
	shares		Beneficiary: Tricia Scalamandre
	shares		Trustee: Grace Gargiulo
	shares		Trustee: Lisa Scalamandre Hayes
	shares		Trustee: Tricia Scalamandre
29.6000%	59,000.00 shares	Non-Voting Common Stock	Peter Scalamandre Qualified Subchapter S Trust (#26-8269227)
	shares		Beneficiary: Peter Scalamandre
	shares		Trustee: Peter Scalamandre
	shares		Trustee: Jill Scalamandre-Clark
10.0000%	20,000.00 shares	Non-Voting Common Stock	Jill Scalamandre-Clark Qualified Subchapter S Trust (#26-8269243)
	shares		Beneficiary: Jill Scalamandre-Clark
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Richard Scalamandre Qualified Subchapter S Trust (#26-8269210)
	shares		Beneficiary: Richard Scalamandre
	shares		Trustee: Peter Scalamandre
5.0000%	10,000.00 shares	Non-Voting Common Stock	Paula Scalamandre Qualified Subchapter S Trust (#26-8269192)
	shares		Beneficiary: Paula Scalamandre
	shares		Trustee: Peter Scalamandre
100.0000%	200,000.00 shares		

SEA CREST CONSTRUCTION CORP.

OFFICERS

Peter Scalomandre, Pres.
Damon DiSimone, Esq., Secy.
Lisa B. Hayes, Treas.
MJ McCarthy, VP/Controller



STOCKHOLDERS

0.5000%	1,000.00	shares	Voting Common Stock	Peter Scalomandre Qualified Subchapter S Trust (#26-6269227)
0.1250%	250.00	shares	Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
0.1250%	250.00	shares	Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
0.1250%	250.00	shares	Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
0.1250%	250.00	shares	Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust (#26-6864255)
6.1875%	12,375.00	shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust (#26-6864218)
		shares		Beneficiary: Lisa Scalomandre Hayes
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust (#26-6864167)
		shares		Beneficiary: Gina Scalomandre Greenhill
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust (#26-6864124)
		shares		Beneficiary: Christina Scalomandre Holton
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust (#26-6864255)
		shares		Beneficiary: Tricia Scalomandre
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Lisa Hayes Qualified Subchapter S Trust II (#26-6864238)
		shares		Beneficiary: Lisa Scalomandre Hayes
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Gina Greenhill Qualified Subchapter S Trust II (#26-6864200)
		shares		Beneficiary: Gina Scalomandre Greenhill
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Christina Holton Qualified Subchapter S Trust II (#26-6864147)
		shares		Beneficiary: Christina Scalomandre Holton
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
6.1875%	12,375.00	shares	Non-Voting Common Stock	Tricia Scalomandre Qualified Subchapter S Trust II (#26-6864287)
		shares		Beneficiary: Tricia Scalomandre
		shares		Trustee: Grace Gargiulo
		shares		Trustee: Lisa Scalomandre Hayes
		shares		Trustee: Tricia Scalomandre
29.5000%	59,000.00	shares	Non-Voting Common Stock	Peter Scalomandre Qualified Subchapter S Trust (#26-6269227)
		shares		Beneficiary: Peter Scalomandre
		shares		Trustee: Peter Scalomandre
10.0000%	20,000.00	shares	Non-Voting Common Stock	Jill Scalomandre-Clark Qualified Subchapter S Trust (#26-6269243)
		shares		Beneficiary: Jill Scalomandre-Clark
		shares		Trustee: Peter Scalomandre
5.0000%	10,000.00	shares	Non-Voting Common Stock	Richard Scalomandre Qualified Subchapter S Trust (#26-6269210)
		shares		Beneficiary: Richard Scalomandre
		shares		Trustee: Peter Scalomandre
5.0000%	10,000.00	shares	Non-Voting Common Stock	Paulette Scalomandre Qualified Subchapter S Trust (#26-6269192)
		shares		Beneficiary: Paulette Scalomandre
		shares		Trustee: Peter Scalomandre
100.0000%	200,000.00	shares		

AFFILIATES

MILL RENTAL CORP. (#11-2905956)

OFFICERS

Peter Scalamandre, President
Lisa Hayes, Vice President
Tricia Scalamandre, Secretary

STOCKHOLDERS

12.50% Lisa Hayes Family Trust
12.50% Tricia Scalamandre Family Trust
12.50% Christina Holton Family Trust
12.50% Gina Greenhill Family Trust
16.67% Peter Scalamandre Family Trust
16.67% Joseph L Scalamandre Family Trust
16.66% Jill Clark Family Trust

SEVILLE CENTRAL MIX CORP. (#11-2526339)

OFFICERS

Peter Scalamandre, President
Lisa Hayes, Vice President
Tricia Scalamandre, Secretary

STOCKHOLDERS

12.50% Lisa Hayes Family Trust
12.50% Tricia Scalamandre Family Trust
12.50% Christina Holton Family Trust
12.50% Gina Greenhill Family Trust
16.67% Peter Scalamandre Family Trust
16.67% Joseph L Scalamandre Family Trust
16.66% Jill Clark Family Trust

SCATT MATERIALS CORP. (#11-2607020)

OFFICERS

Peter Scalamandre, President
Thomas Pratt, Vice President
James Pratt, Treasurer

STOCKHOLDERS

45.000% James Pratt
5.625% Lisa Hayes Family Trust
5.625% Tricia Scalamandre Family Trust
5.625% Christina Holton Family Trust
5.625% Gina Greenhill Family Trust
7.500% Peter Scalamandre Family Trust
7.500% Joseph L Scalamandre Family Trust
7.500% Jill Clark Family Trust
3.334% John Watral
3.333% Tom Watral
3.333% Paul Watral

SEA CREST DEVELOPMENT CORP. (#11-2171973)

OFFICERS

Lisa Hayes, President
Peter Scalamandre, Vice President
Tricia Scalamandre, Secretary

STOCKHOLDERS

14.2860% Peter Scalamandre
14.2860% J Scalamandre QTIP - Residuary
14.2860% Jill Clark
14.2860% Lisa Hayes
14.2860% Gina Greenhill
14.2850% Christina Holton
14.2850% Tricia Scalamandre

SEAMENT TRANSPORTATION, LLC (#11-3592000)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

37.5% Peter Scalamandre
37.5% J Scalamandre QTIP - Residuary
20.0% Thomas Hayes
5.0% Peter Buck

WINDING ROAD LEASING CORP. (#11-3592254)

OFFICERS

Peter Scalamandre, President
Thomas Hayes, Vice President
Peter Buck, Secretary

STOCKHOLDERS

37.5% Peter Scalamandre
37.5% J Scalamandre QTIP - Residuary
20.0% Thomas Hayes
5.0% Peter Buck

SEVEN "S" REALTY, LLC (#11-3418887)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

16.6700% Peter Scalamandre
16.6700% J Scalamandre QTIP - Residuary
16.6600% Jill Clark
12.5000% Lisa Hayes
12.5000% Gina Greenhill
12.5000% Christina Hotton
12.5000% Tricia Scalamandre

SSS REALTY CO., LLC (#11-3313486)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

16.6700% Peter Scalamandre
16.6700% J Scalamandre QTIP - Residuary
16.6600% Jill Clark
12.5000% Lisa Hayes
12.5000% Gina Greenhill
12.5000% Christina Hotton
12.5000% Tricia Scalamandre

SOUTH SHORE MATERIALS, INC. (#11-3196622)

OFFICERS

Giuseppe Gianquinto, President
Peter Scalamandre, Vice President
James J Pratt, Secretary
Eugene Augustlewicz, Treasurer

STOCKHOLDERS

8.3400% James J Pratt
8.3300% Thomas Pratt
8.3300% Cathleen Williams
25.0000% Eugene Augustlewicz
8.3400% Giuseppe Gianquinto
8.3300% Luigi Gianquinto
8.3300% Anthony Gianquinto
4.1700% Peter Scalamandre
4.1700% J Scalamandre QTIP - Residuary
4.1600% Jill Clark
3.1250% Lisa Hayes
3.1250% Gina Greenhill
3.1250% Christina Hotton
3.1250% Tricia Scalamandre

SOUTH SHORE LAND, LLC (#11-3465012)

MANAGEMENT

Giuseppe Gianquinto, Managing Member

MEMBERS

8.3400% James J Pratt
8.3300% Thomas Pratt
8.3300% Cathleen Williams
25.0000% Eugene Augustiewicz
8.3400% Giuseppe Gianquinto
8.3300% Luigi Gianquinto
8.3300% Anthony Gianquinto
4.1700% Peter Scalamandre
4.1700% J Scalamandre QTIP - Residuary
4.1600% Jill Clark
3.1250% Lisa Hayes
3.1250% Gina Greenhill
3.1250% Christina Hotton
3.1250% Tricia Scalamandre

PRS SALES & LEASING LLC (#41-2068549)

MANAGEMENT

Peter Scalamandre, Managing Member

MEMBERS

100.0000% Peter Scalamandre

FRED SCALAMANDRE & JOSEPH SCALAMANDRE REAL ESTATE (#11-6140493)

OWNERSHIP

1.0000% Fred Scalamandre
49.0000% Scalamandre Family Ltd Pship I
1.0000% Joseph Scalamandre
49.0000% Joseph Scalamandre Family Ltd Pship I

SCALAMANDRE FAMILY LTD PSHIP I (#11-3364127)

OWNERSHIP

1.0000% Fred Scalamandre
1.0000% F Scal I Corp.
12.2500% Scalamandre Irrevocable Trust I (Gina)
12.2500% Scalamandre Irrevocable Trust II (Lisa)
12.2500% Scalamandre Irrevocable Trust III (Christina)
12.2500% Scalamandre Irrevocable Trust IV (Tricia)
12.2500% Scalamandre Irrevocable Trust V (Gina)
12.2500% Scalamandre Irrevocable Trust VI (Lisa)
12.2500% Scalamandre Irrevocable Trust VII (Christina)
12.2500% Scalamandre Irrevocable Trust VIII (Tricia)

JOSEPH SCALAMANDRE FAMILY LTD PSHIP I (#58-2440518)

OWNERSHIP

1.0000% Joseph Scalamandre
1.0000% Joseph Scalamandre Management Inc.
32.6700% J Scalamandre Irrev Trust FBO Peter
32.6600% J Scalamandre Irrev Trust FBO Jill
32.6700% J Scalamandre Irrev Trust FBO Joseph L

SCHEDULE OF WORK EXPERIENCE (WORK IN PROGRESS AS OF 06/30/17)

[illegible]

PETER SCALAMANDRE & SONS, INC.

SCHEDULE OF WORK EXPERIENCE (COMPLETED PROJECTS)

NAME & ADDRESS OF OWNER	PROJECT TITLE & LOCATION	CONTRACT AMOUNT	DATE COMPLETED
NYS DOT	ROUTE 347-112	\$22,514,858.89	11/30/2015
JET BLUE AIRWAYS	JFK AIRPORT	\$13,294,423.53	7/31/2015
DELTA AIRLINES	PHASE II EXT	\$19,223,033.43	12/31/2015
DELTA AIRLINES	TERMINAL 3	\$84,347,129.00	12/31/2015
TISHMAN CONSTRUCTION	RIVERSIDE	\$25,650,802.00	12/31/2015
TURNER CONSTRUCTION	WEST VILLAGE	\$8,609,677.00	2/29/2016
TOB	WYANDANCH	\$8,040,188.00	3/31/2016
TISHMAN CONSTRUCTION	RIVERSIDE	\$29,152,317.00	9/30/2015
DELTA AIRLINES	PHASE II FOUNDATIONS	\$4,215,091.48	5/31/2015
DELTA AIRLINES	JFK IAT	\$73,384,000.00	2/1/2013
DELTA AIRLINES	T4 CONCOURSE B	\$27,078,000.00	10/1/2012
MTA NYC TRANSIT	SITE K	\$57,871,025.00	11/1/2012
DELTA AIRLINES	TERMINAL 4	\$12,000,000.00	4/1/2012
NSUMC	MEDICAL CENTER	\$8,000,000.00	2/1/2012
SNC LAVALIN	ASTORIA POWER PLANT	\$5,800,000.00	4/1/2011
TOOB	HICKSVILLE PARKING GARAGE	\$33,083,000.00	6/1/2011
TOWN OF BROOKHAVEN	SEWER MAIN	\$1,600,000.00	6/1/2011
NYS DOT	SOUND BARRIER L.I.E	\$3,435,732.00	4/1/2010
SKANSKA USA	NYU	\$20,154,821.00	4/1/2010
DEKALB ASS LLC	BROOKLYN HIGH RISE	\$27,440,948.00	11/1/2009
TOWN OF HEMPSTEAD	MILL POND	\$5,100,000.00	12/1/2009
SOUTHWEST AIRLINES	MCARTHUR AIRPORT	\$8,700,059.00	5/1/2009
TURNER CONSTRUCTION	JET BLUE JFK	\$23,425,334.00	4/1/2009
SEIMAN POWER	F&S POWER BELL POT	\$167,855,428.00	4/1/2009
TURNER CONSTRUCTION	JET BLUE JFK	\$24,533,307.00	2/1/2009
JET BLUE AIRWAYS	NEW GARAGE	\$64,370,488.00	9/1/2008
TOOB	BETHPAGE ICE RINK	\$17,194,537.00	12/1/2008
VRH TORCON	AMERICAN AIRLINES JFK AIRPORT	\$4,575,000.00	6/1/2009



November 9, 2017

Nassau County DPW
Business History Form

Question No. 7

Sea Crest Construction Corp.
Mill Rental Corp
Seville Central Mix
Sea Crest Development Corp
Seament transportation LLC
Winding Road Leasing Corp

All of 157 Albany Avenue Freeport, NY 11520



November 9, 2017

Nassau County DPW
Principal Questionnaire Form

Question No. 6

Sea Crest Construction Corp
Suffolk Community College
Contract \$20,950,600- April 2017

Peter Scalamandre & Sons Inc.
New York State DOT
Contract D263436
Contract \$6,417,100- April 2017

Peter Scalamandre & Sons Inc.
State of NY Office of Parks
Contract \$ 19,957,100- August 2017

Nassau County DPW
BSRJ Joint Venture
Bay Park Electrical B-1
Contract \$28,674,700- February 2014

Nassau County DPW
RJ- Scalamandre JV
Bay Park Grit Building
Contract \$16,187,700- March 2014

Nassau County DPW
BSRJ Joint Venture
Bay Park Electrical E-3
Contract \$97,447,200- July 2015

Nassau County DPW
RJ- Scalamandre JV
Bay Park Effluent PS
Contract \$15,677,700- September 2015



March 24, 2015

Nassau County Department of Public Works

The following is provided in answers to Questions 5C & 5D.

5C: On December 28, 2001 The Contract Review Unit of the NY State Department of Transportation found that a Joint Venture of Peter Scalamandre & Sons, Inc. and J.D. Posillico, Inc. was not the lowest Responsible bidder on Contract No. D258873. Since then the company has bid on and received NYSDOT Projects.

5D: On April 12, 2002 The US Department of Transportation, Federal Highway Administration debarred the company from bidding on or receiving Federally Funded Projects for a period of three years. The debarment expired on April 12, 2005. The company is eligible to bid on and receive Federally Funded Projects.

PETER SCALAMANDRE & SONS, INC.
157 Albany Avenue
FREEPORT, NEW YORK 11520

VEHICLE CLASSIFICATION	CODE	YEAR	MAKE AND MODEL	SERIAL NUMBER	PLATE #	PLATE EXP	INSPECT EXP
A.T.V.	M-160	86	KAWASAKI KLF 300 BAYOU	3615		EQUIP FLTR	
ARROW BOARDS	M-171	87	AMIDA DIESEL ARROWBOARDS (3)	8704-R1700,R1703,R1702		N.O.P	
BACKHOES	M-038	72	DYNAHOE 190-B W/CONC. BREAKER	190-B-1748	SR1632	12/31/99	
BACKHOES	M-045	74	MASSEY FERGUSON MF-50 BACKHOE	9A13399015687	SR1635	12/31/99	
BACKHOES	M-047	74	DYNAHOE LOADER 190-CD	D190CD2107E	SU2754	12/31/99	
BACKHOES	M-073A	94	CATAPILLAR 214 RUBBER TIRE	4TF14211	SW5155	12/31/99	
BACKHOES	M-093A	98	CATAPILLAR 446 BACKHOE LOADER	5BL01615	SW8959	12/31/99	
BACKHOES	M-105A	86	BENATI BACKHOE 2000	201081	SU2766	12/31/99	
BACKHOES	M-108A	96	DYNAHOE 490K LOADER	490304	SU2768	12/31/99	
BACKHOES	M-114	79	DYNAHOE 190-B LOADER	D190D50227E	SR1547	12/31/99	
BACKHOES	M-115	81	JCB BACKHOE 1550	290867	SR1548	12/31/99	
BACKHOES	M-126A	89	DYNAHOE 490 LOADER	BCP490197	SU4635	12/31/99	
BACKHOES	M-143	80	DYNAHOE D-200-4 LOADER	D2004D70024E	SU2771	12/31/99	
BACKHOES	M-181	87	DYNAHOE 490 LOADER	BCP490122	SU2753	12/31/99	
BACKHOES	M-182	87	DYNAHOE 490 LOADER	BCP490123	SU2752	12/31/99	
BACKHOES	M-192	87	BENATI BACKHOE 2000	201343	SR1520	12/31/99	
BACKHOES	M-193	87	BENATI BACKHOE 2000	210345	SR1519	12/31/99	
BACKHOES	M-202B	87	DYNAHOE 190-4	BCP54186	SV7033	12/31/99	
BENDING MACHINE	M-104A	NA	IRON MASTER STEEL REBAR M205 (own 5)	1823607426			
BUS	M-044A	84	INTERNATIONAL				
COMPRESSORS	M-050B	NA	INGERSOLL RAND CFM 375	1HVLMD3L8EHA19947	BZ7509	2/28/00	
COMPRESSORS	M-091A	77	GARDINER/DENVER COMP. M#750	P375AW-D			
COMPRESSORS	M-153	85	JOY 185' AIR COMPRESSOR DIESEL	701182		EQUIP FLTR	
COMPRESSORS	M-208	89	INGERSOLL-RAND COMPRESSOR 185CFM	169590		EQUIP FLTR	
				174339 U89 329		SCD CORP.	

5/13/99

Page 1

EQUIPMENT ONLY
ALLEQUIPMENT.XLS

VEHICLE CLASSIFICATION	CODE	YEAR	MAKE AND MODEL	SERIAL NUMBER	PLATE #	PLATE EXP	INSPECT EXP
A.T.V.	M-160	86	KAWASAKI KLF 300 BAYOU	3615		EQUIP FLTR	
COMPRESSORS	M-286	95	INGERSOLL-RAND MODEL #575T	5068			
CONCRETE, BREAKERS	M-033A	81	RAYCO BARCO 100 MILLING MACH.	88630			
CONCRETE, GROOVER	M-095B	84	GROOVER W/VAC.	GR6500-8403T			
CONCRETE, PAVING	M-081	67	REX CONC. SPREADER W/HOPPER	PWR192			
CONCRETE, PAVING	M-082	67	REX CONCRETE FINISHER	FAR380			
CONCRETE, PAVING	M-083A	72	GOMACO DECK SCREED C-450X(CONC.)	MC-17297-08			
CONCRETE, PAVING	M-085	81	BIDWELL OVERLAY FIN. MACH OF 500	OF8122			
CONCRETE, PAVING	M-111A	87	CURBMASTER PAVING MACHINE C/MSF	874178			
CONCRETE, PAVING	M-156	86	GOMACO SLIP PAYER MOD GT3300	MC1388501			
CONCRETE, PLACEMENT	M-087A	88	GOMACO GRADE TRIMMER MC1477 GT3300	44233699			
CONCRETE, PLACER	M-121	80	CONFORM CRETE PLACER KP6	1024			
CONCRETE, PUMPS	M-019A	86	MACK W/SCHWING CONCRETE PUMP	1M2K166C7GM001106	SU7091	12/31/99	
CONCRETE, PUMPS	M-028A	91	CHEMGROUT MACHINE W/HOSE & WAND	12CG550-20C4/GH(PUMP)			
CONCRETE, PUMPS	M-277A	90	VOLVO WHITE GMC W/SCHWING PUMP	4V2DMFJF4LN826151			
CRANES	M-001	67	LIMA 400T CRANE 40 TON	473415	SW4892	12/31/99	
CRANES	M-041B	74	GROVE RT75S HYDRAULIC CRANE	30119	SU2763	12/31/99	
CRANES	M-116	69	LINKBELT CRANE H-138 CD2474 65 TON	19F9149	SW2990	12/31/99	
CRANES	M-174	71	P&H T650 HYDRAULIC CRANE 65 TON	32619	SR1636	12/31/99	
CRANES	M-201	87	GROVE CRANE MODEL#RT6303B 30 TON	69852	SU2794	12/31/99	
CRANES	M-203A	78	LINKBELT TRUCK CRANE HC218A 100 TON	18HA730	SU4442	12/31/99	
CRANES	M-260A	84	GROVE RT765 CRANE 45 TON	67498	SV4842	12/31/99	
CRANES	M-275A	88	P&H T800XL 100T	54114	SU6991	12/31/99	
CRANES	M-276	79	GROVE RT65S HYD. CRANE 35 TON	40566	SW3853	12/31/99	
CRANES	M-297	59	LINKBELT HC98 SELF PROPELLED 30 TON	9LAH1602	SV4867	12/31/99	
DEWATERING	M-170	NA	PAVEMENT BREAKER CHAMPION H16	2112	SW5113	12/31/99	
DEWATERING	M-223	86	MCCAUSLAND 8" HYD PUMP KOMATSU DIS.	72633			
DOZERS	M-024B	89	KOMATSU D21E-6 DOZER	61700			
DOZERS	M-135B	88	DRESSOR DOZER MODEL TD25G	4370007U002469			
DOZERS	M-151A	87	KOMATSU D21E-6 DOZER	D21E 60942		EQUIP FLTR	

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VEHICLE CLASSIFICATION	CODE	YEAR	MAKE AND MODEL	SERIAL NUMBER	PLATE #	PLATE EXP	INSPECT EXP
A.T.V.	M-150	86	KAWASAKI KLF 300 BAYOU	3615			
DOZERS	M-168A	85	INT'L DRESSER DOZER TD25G	4370007U002081		EQUIP FLTR	
DOZERS	M-215	87	CAT CRAWLER DOZER D-6H	4RC01041		EQUIP FLTR	
DOZERS	M-221	90	CAT D4C CRAWLER DOZER	2CJ425		EQUIP FLTR	
DOZERS	M-261A	96	KOMATSU D37P-5 CRAWLER DOZER	3475		EQUIP FLTR	
DOZERS	M-262A	96	KOMATSU D41P CRAWLER DOZER	B20155			
EXCAVATOR	M-232A	95	KOMATSU PC400LC-5	21496			
FORKLIFTS	M-030A	78	CHAMP FORKLIFT C-40	CCH9838		EQUIP FLTR	
FORKLIFTS	M-031C	NA	JCB FORKLIFT 930	607245			
FORKLIFTS	M-036A	72	TAYLOR FORKLIFT 10T MODEL Y20-WO	S25-9681			
FORKLIFTS	M-039A	78	HYSTER FORKLIFT	COO5D18261Y		EQUIP FLTR	
FORKLIFTS	M-220	79	CHAMP TCM FORKLIFT FD7024	26550697		EQUIP FLTR	
FORKLIFTS	M-226	82	TCM FORKLIFT FG20 (GAS)	FG200N6-3464879		N.O.P	
GENERATORS	M-224	87	LULL HIGHLANDER FORKLIFT M# 1044	K7G5E8-144			
GENERATORS	M-154	91	50KW GENERATOR W/ TRAILER	UDR-221421D15	10492SP	12/31/99	
GENERATORS	M-200	88	CUMMINS 215 KW GENERATOR NT855GC2	30128092			
GENERATORS	M-228	70	7KW GENERATOR			N.O.P	
GENERATORS	M-245	91	CUMMINS 35KW DIESEL GENERATOR	76958			
GRADALL	M-060A	71	WARNER/5WASEY GRADALL 1000	G7784100			
GRADERS	M-051D	92	850 DRESSER GRADER	G580012V2000016	SU2773	12/1/99	
GRADERS	M-094A	88	PUCKETT BROS. MODEL 510 UTILITY	PBP88G623	SW3855	12/31/99	
HAMMER	M-225	89	NPK 7X HAMMER		SW3854	12/31/99	
LOADERS, RUBBER TIRE	M-023B	95	KOMATSU WA450 WHEEL LOADER	A26093			
LOADERS, RUBBER TIRE	M-035A	97	KOMATSU 450-3L	A30238	SW4883	12/31/99	
LOADERS, RUBBER TIRE	M-077A	85	KOMATSU WA450 PAYLOADER	10299	SW4913	12/31/99	
LOADERS, RUBBER TIRE	M-127	74	TEREX LOADER M# 7231	61887	SU7095	12/31/99	
LOADERS, RUBBER TIRE	M-198A	95	KOMATSU WA500 WHEEL LOADER	A60176	SU2751	12/31/99	
LOADERS, RUBBER TIRE	M-216	89	DRESSER PAYLOADER 540	5141	SW8950	12/31/99	
LOADERS, RUBBER TIRE	M-217	86	DRESSER PAYLOADER 550	2111	SU8992	12/31/99	
LOADERS, RUBBER TIRE	M-280	88	DRESSER 555 WHEEL LOADER	3570559UJ3551	SU6994	12/31/99	
					SV9264	12/31/99	

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VEHICLE CLASSIFICATION	CODE	YEAR	MAKE AND MODEL	SERIAL NUMBER	PLATE #	PLATE EXP	INSPECT EXP
A.T.V.							
LOADERS, RUBBER TIRE	M-160	86	KAWASAKI KLF 300 BAYOU	3615			
LOADERS, RUBBER TIRE	M-294	86	KOMATSU WA-450 PAYLOADER	10375			
LOADERS, RUBBER TIRE	M-295	86	KOMATSU WA-500 PAYLOADER	10288	SW5112	EQUIP FLTR	
MAN LIFTS	M-017B	86	MANLIFT M# TBA60R (ATO SNORKELIFT)	866030788	SW5114		
MAN LIFTS, ELEVATOR	M-022B	80	ATLAS ELECTRIC HOIST MODEL 9500	011			
MAN LIFTS	M-136	NA	HEFT-THERMAN SCISSOR LIFT	3800203	SOLD		
MILLING MACHINE	M-252	76	BRIDGEPORT VERT 6" MILLING MACH 9X42	148631			
POWER SCREEDS	M-084	79	55' ALLEN SCREED 12ED RAZOR BACK	NA		N.O.P	
ROLL OFFS, CONTAINER	M-159A	87	ROLLOFFS 2 FOR MACK M165 #48#5				
ROLL OFFS, CONTAINER	M-166	86	ROLL-OFFS 4 FOR MACK 165				
ROLL OFFS, TRUCK	M-165A	86	MACK DM800SX WATLAS ROLL OFF	1M3B167K1GT003857			
ROLLERS	M-009B	59	ESSICK TAGALONG MODEL UR741EC	52911997	EH6976		
ROLLERS	M-029A	88	DYNAPAC CA-25 VIBRATORY ROLLER	575344			
ROLLERS	M-066B	79	WACKER ROLLER W74A	615405526	SV2085		
ROLLERS	M-097	72	VIBRA PLUS WALK BEHIND MODEL	DD17		12/31/99	
ROLLERS	M-098	58	VIBRA PLUS TAG-A-LONG CK20	324663 6127698			
ROLLERS	M-167	76	DYNAPACK TANDEM ROLLER CC52A	283D24			
SCREENERS	M-234	90	POWERSCREEN COMMANDER	5100199	SR4355		
SCREENERS	M-061A	89	POWER GRID	702872		12/31/99	
SNOWMOBILE, TRAILER	M-210	89	REED-SCREEN-ALL MODEL RD901	383387		N.O.P	
SNOWMOBILES	M-178A	88	YACHTCLUB TRAILER				
SNOWMOBILES	M-178B	88	ARTICAT JAG SNOWMOBILE #234836	1HJ01121XJ0048854	76181N	12/31/99	
SNOWMOBILES	M-178C	88	ARTICAT JAG SNOWMOBILE #234835	8801527	9156AN	8/31/99	
SWEEPERS	M-101B	94	CLARKE AMERICAN LINCOLN MODEL 2260	8812784	9157AN	8/31/99	
SWEEPERS	M-235	81	MOBIL SWEEPER MODEL 2TE4D	460285 M#578-530			
TRAILER	M-011B	66	RODGERS TRAILER (WAR WAGON)	820-42D-1364	SU6997	12/31/99	
TRAILER	M-103A	69	RODGERS TRI-AXEL LOWBOY	12382	51806D	12/31/99	
TRAILER	M-149	75	HOMEMADE TRAILER	1410269	78139G	12/31/99	
TRAILER	M-150B	79	BECK TRAILER (BOBCAT)	TH7838PA			
TRAILER	M-155B	88	FONTAINE TRI-AXLE FLATBED	P473	53857U	N.O.P	
				13N4464C4J154136E	78138G	DEC	
						12/31/99	

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VEHICLE CLASSIFICATION	CODE	YEAR	MAKE AND MODEL	SERIAL NUMBER	PLATE #	PLATE EXP	INSPECT EXP
A.T.V.	M-160	86	KAWASAKI KLF 300 BAYOU	3615			
TRAILER	M-163A	79	BUD STRETCH TRI AXLE TRAILER	164660L	61140F	EQUIP FLTR	
TRAILER	M-247	65	TRAILERMOBILE FLAT BED	21TOSAH31487	1067E	12/31/99	
TRAILER	M-259	63	FRUEHAUF SEMI STRETCH	FWC571101	99100E	12/31/99	
TRAILER, DUMP	M-086A	86	SUMMIT STEEL DUMP TRAILER SD-28	1S8SD283XG00006045	76337Z	12/31/99	
TRAILER, DUMP	M-125	74	FRUEHAUF TRI AXLE STEEL DUMP	FWR562305	51802D	12/31/99	
TRAILER, DUMP	M-246	65	FRUEHAUF DROP DECK TRAILER	FWG663301	12062E	12/31/99	
TRAILER, IMPACT	M-010A	63	MARTI SEMI	9230	76339Z	12/31/99	
TRAILER, LOWBED	M-113A	96	TRAIL KING TK100HDG TRAILER	1TKJ05388VM076047	36788M	12/31/99	
TRAILER, LOWBED	M-250	87	ROGERS 55 TON LOWBOY TR-AXLE	1RBH51305HAR20695	95301S	12/31/99	
TRAILER, LOWBOY	M-006C	88	BENSON LOWBOY TRAILER	INULT38ZXJMN50196	13546F	12/31/99	
TRANSPORTER	M-128	NA	TRANSPORTER PLATES		1905561	5/31/00	
TRENCHERS	M-057	75	JETCO TRENCHER NY 1	C1009752855			
TRUCKS, BOOM	M-117B	95	KENWORTH T-300 BOOM TRUCK	1NKDLAEXXSS659987	SN4640	12/31/99	
TRUCKS, BOOM	M-303	88	VOLVO BOOM TRUCK	YB3U68383HB408060			
TRUCKS, DUMP	M-004A	80	FORD DUMP TRUCK-SMALL	F37GCHD5958	ET1701	6/30/99	
TRUCKS, DUMP	M-195	88	KENWORTH TRACTOR C-500B	2NKKLATX9JM519585	EH5255	7/31/99	
TRUCKS, DUMP	M-196	88	KENWORTH TRACTOR C-500B	2NKKLATXOJM519586	EH5256	7/31/99	
TRUCKS, DUMP	M-502	86	MACK 10 WHEELER(SCD)	1M2P139C8GA014092	22149AD	5/31/99	
TRUCKS, DUMP	M-503	86	MACK 10 WHEELER(SCD)	1M2P139C9GA014098	38702AH	5/31/99	
TRUCKS, DUMP	M-512	78	AUTOCAR DUMP TRUCK	Z019VVH086924	63436AL	7/31/99	
TRUCKS, FLAT	M-043A	85	GMC C7D042 FLAT TRUCK	1GDG7D1B7FV607991	EH9960	1/31/01	
TRUCKS, FLAT	M-102A	97	KENWORTH FLAT TRUCK	3NKMHD742WF763473	SN5059	7/31/99	
TRUCKS, FLAT	M-130	84	GMC C7D042 FLAT BED	1GDG7D1Y5EV517936	ET1047	3/31/99	
TRUCKS, FLAT	M-131	84	GMC C7D042 FLAT BED	1GDG7D1Y5EV517953	ET1046	3/31/99	
TRUCKS, FLAT	M-132	84	GMC C7D042 FLAT BED	1GDG7D1Y9EV519026	ET1700	6/30/99	
TRUCKS, FLAT	M-133	84	GMC C7D042 FLAT BED	1GDG7D1Y7EV519039	HY6532	3/31/99	
TRUCKS, FLAT	M-184	88	GMC CDO42 FLAT BED	1GDG7D1Y1JV510962	ES9772	4/30/00	
TRUCKS, FLAT	M-185	88	GMC CDO42 FLAT BED	1GDG7D1Y5JV511192	ES9774	4/30/00	
TRUCKS, IMPACT	M-064	76	FORD F-600 STAKE TRUCK	F60DCA76583	ET7395	12/31/99	

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VEHICLE CLASSIFICATION	CODE	YEAR	MAKE AND MODEL	SERIAL NUMBER	PLATE #	PLATE EXP	INSPECT EXP
A.T.V.							
TRUCKS, OFF ROAD	M-160	86	KAWASAKI KLF 300 BAYOU	3615			
TRUCKS, OFF ROAD	M-300	91	CAT D350 ROUGH TERRAIN	9RF00377		EQUIP FLTR	
TRUCKS, PICK UP	M-301	91	CAT D350 ROUGH TERRAIN	9RF00388	SV2080	12/31/99	
TRUCKS, PICK UP	M-013B	90	FORD F250 PICK-UP (SUPERCAB)	1FTHX25M3LKB15660	SV2983	12/31/99	
TRUCKS, PICK UP	M-014A	90	FORD F250 PICK-UP (SUPERCAB)	1FTHX25M7LKB15659	VY3285	11/30/00	1/27/99
TRUCKS, PICK UP	M-048A	80	CHEVROLET C-20 PICK-UP	CCT24AB106932	ET9053	5/31/99	
TRUCKS, PICK UP	M-055A	94	CHEVROLET PICKUP	1GCEC14Z5RZ218634	EG8503	12/31/99	12/9/99
TRUCKS, PICK UP	M-058A	86	CHEVROLET C-20 PICK-UP	2GCC14NOG1207860	88566AN	6/30/00	1/0/00
TRUCKS, PICK UP	M-140C	86	CHEVROLET PICK-UP (SMALL 4 CYL)	1GCBST14EOG2185065	DL9927	8/31/00	12/12/99
TRUCKS, PICK UP	M-145	85	GMC C2500 PICK-UP	2GTEC24N2F1520146	SN5474	7/31/00	12/31/99
TRUCKS, PICK UP	M-158	86	JEEP COMANCHE PICK-UP	1JTWV66F1GT209617	HY6531	3/31/99	12/7/99
TRUCKS, PICK UP	M-172	87	CHEVROLET CUSTOM 20 PICK-UP	1GCER24H5HF403410	ET2599	6/30/99	12/12/99
TRUCKS, PICK UP	M-183B	99	FORD F250	1FTRX18W1XNA21959	DL9928	8/31/00	12/28/99
TRUCKS, PICK UP	M-256	89	CHEVROLET PICK-UP	1GCDT19Z2K2214169	X683ZN	11/30/00	
TRUCKS, PICK UP	M-266A	95	GMC PICK-UP	1GTGC34K2SE522136	X2Y100	2/28/99	12/8/99
TRUCKS, PICK UP	M-283	95	FORD F250 PICK-UP	1FTEF25YOSNA65788	522136	10/31/99	11/12/99
TRUCKS, PICK UP	M-288	96	FORD F250 PICK-UP	1FTHF25Y2TEA48795	XR9407	1/31/01	1/8/00
TRUCKS, PICK UP	M-289	96	FORD F250 PICK-UP	1FTHF25Y2TEA48814	XW5213	11/30/99	7/12/99
TRUCKS, SERVICE	M-290	96	FORD F250 PICK-UP	1FTHF25Y1TEA48822	XW5212	11/30/99	1/7/00
TRUCKS, SERVICE	M-049B	88	FORD UTILITY F-800 (BIG RED)	1FDPK84A0JVA09176	XW5215	12/31/99	1/8/00
TRUCKS, SERVICE	M-287	88	IVECO	ZCFB1138J1107285	GX3169	2/28/00	
TRUCKS, TOW	M-092A	87	MACK MS250P MIDLINER W/CAR CARRIER	VG6M112B8HB065757	93305AB	4/3/99	
TRUCKS, TRACTORS	M-070	71	MACK TRACTOR U685ST	U685ST4810 TMT	21143TH	12/31/99	
TRUCKS, TRACTORS	M-194	88	KENWORTH TRACTOR W 900	2XKWD0X9JM521055	TU1829	3/31/99	
TRUCKS, TRACTORS	M-236	89	MACK CUSTOM SUPERLINE TRACTOR	1M2A438X9KM001552	TT4085	7/31/99	
TRUCKS, TRACTORS	M-278	89	RED MACK SUPERLINER RW753	1M2AY38Y0KM001553	TW5903	2/28/00	
TRUCKS, TRACTORS	M-616	87	MACK TRACTOR (SCD)	1M2AY04YXHM002643	TX6515	8/31/99	
TRUCKS, WELDING	M-089	71	MACK U685ST FLAT BED (MILLER WELDER)	U685ST4808	TX6839	5/31/99	
VARIANCE BARRIER MOLD	M-138	84	GOMACO SHROUD & SIDE DOOR HOPPER	MC13165-21	EH6978	1/31/00	
VIBROPLATE	M-251	92	WACKER VIBROPLATE DPU6055	0694121598			

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VEHICLE CLASSIFICATION	CODE	YEAR	MAKE AND MODEL	SERIAL NUMBER	PLATE #	PLATE EXP	INSPECT EXP
A.T.V.	M-160	86	KAWASAKI KLF-300 BAYOU	3615			
WATER TANK	M-141	63	TANK SEMI TRAILER (PENNSYLVANIA)	11198	41877K	EQUIP FLTR	
WATER TANK	M-211	73	TRAILMOBILE TRAILER TANK	J40450	53798U	12/31/99	
WATER TANK	M-219	61	MACK B-61 TANKER	B61135634			
WELDING MACHINE	M-059A	88	MILLER WELDING MACHINE	JH247209/JH329957			
WELDING MACHINE	M-098	80	MILLER AIR COOLED WELDER TRAILER	JA388882		N.O.P	
WELDING MACHINE	M-109	81	HOBART WELDER GB318-3	8BW14081			
WELDING MACHINE	M-231	91	MILLER BIG 40 DIESEL	902957			
WELDING MACHINE	M-240	88	MILLER WELDING MACHINE	JJ329957			
WELL POINTS	M-129A	NA	3 SETS WELL POINTS			N.O.P	

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Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form
(Revised 09/2014)

The following instructions will help you complete the Utilization Plan / Waiver Request and EEO Staffing Plan Forms for the New York State Environmental Facilities Corporation (EFC) Clean & Drinking Water State Revolving Fund (CWSRF/DWSRF) program.

Instructions for Contractors & Service Providers:

You are responsible for completing sections 2, 3, & 4. **Submit the completed form to the SRF Recipient's designated Minority Business Officer (MBO) no later than the date of contract execution.** Incomplete forms will be found deficient. Copies of all forms & guidance documents are found on the MWBE webpage at <http://www.efc.ny.gov/mwbe>. All requirements described in EFC's Bid Packet must be followed. If the contract is performed under an MWBE Joint Venture or Teaming Arrangement, please fill out the additional form Joint Venture & Teaming Arrangement Form. If more than 10 subcontractors are used, additional pages for Section 3 can be found in the form Additional Utilization Plan Section 3. After signing, please send the **Word version by email** to the MBO.

The appropriate EEO goals specific to each County can be found on EFC's website. MWBE firms must be certified by the NYS Empire State Development (ESD) to be used for goal crediting purposes. Please refer to the Good Faith Effort Documentation webinar on EFC's MWBE website for guidance on searching ESD's directory. If you require additional assistance, please contact your designated Minority Business Officer (MBO).

Instructions for Minority Business Officers (MBO):

It is EFC's intention that this form be filled out & signed electronically by both the MBO and their Authorized Representative (if applicable) by checking the boxes indicated in Section 1.

After reviewing and signing the utilization plan, please send the **Word version by email to your MWBE Representative**

When sending the email, please use a subject heading that follows the format "UP/Waiver Request, SRF Number, Contractor". NYSEFC will review and send the MBO an accepted copy of the utilization plan by email. Please retain the Word version of this document even after receiving the accepted copy as it can be modified for easy submittal of revised utilization plans.

Please ensure that the following procedures are also followed:

1. That legally signed and executed MWBE subcontracts and purchase orders are obtained from the prime contractor, contain the appropriate bid packet, and are maintained in the MBO files for review and/or inspection by EFC.
2. That documentation of proof of payments to MWBE subcontractors is obtained from the prime contractor and maintained in the MBO files for review and/or inspection by the EFC.
3. That monthly reports from prime contractors are collected and stored in the MBO files and used by the MBO or authorized representative to compile, sign and submit the quarterly report(s) to EFC.
4. That any changes in this utilization plan, including the addition or replacement of subcontractors, require written or electronic notification of the revision(s) be submitted to EFC.
5. That any contract cost increases due to change orders or amendments are reflected on quarterly reports or a revised utilization plan if over the threshold dollar amount of \$25,000.

To ensure continued compliance with the MWBE/EEO programs, all requirements described in NYSEFC's guidance document for MBOs must be followed. For more information on the roles and responsibilities of the MBO please contact EFC's MWBE Unit at 518.402.7433 or by e-mail at mwbe@efc.ny.gov.

Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form
(Revised 09/2014)

SECTION 1: MUNICIPAL INFORMATION			
Recipient/Municipality: Department of Public Works		County: Nassau	
SRF Project No.: S35121-16G	GIGP No.:	Contract ID:	Registration No. (NYC only):
Minority Business Officer:		Email:	Phone #:
Address of MBO:			
Signature of MBO: (Required even if Authorized Rep. is filled out)			
<input type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief.			
<i>Complete if applicable: MBO may authorize representative to complete & submit quarterly payment reports.</i>			
Authorized Representative:		Title:	Date:
Authorized Rep. Company:		Email:	Phone #:
Electronic Signature of Authorized Rep.:		Date:	
<input type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief.			

SECTION 2: PRIME CONTRACTOR / SERVICE PROVIDER INFORMATION			
If contract is performed through an MWBE Joint Venture or Teaming Arrangement please submit the additional form found at www.efc.ny.gov/mwbe			
Firm Name: RJ/Scal, JV	Contract Type: <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Other Services		
Prime Firm is Certified as: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input checked="" type="checkbox"/> N/A <input type="checkbox"/> Other:			
Please repeat information in the Utilization Plan below (Section 3). If dual certified, you must select either MBE or WBE.			
Address: PO BOX 349, Plainview, NY 11803		Phone #: 516-845-9772	Fed. Employer ID #: 46-5260846
Description of Work: Bay Park STP Secondary Flood Protection and Hardening of Critical Facilities			
Award Date:	Start Date:	Completion Date:	
Total Contract Amount: \$ 13,297,000.00	MWBE GOAL Total		
MWBE Eligible Contract Amount: \$	MBE: % \$	PROPOSED MWBE Participation	
(MWBE Goals are applied to this amount and includes all change orders, amendments, & waivers)	WBE: % \$	MBE: 2.00% \$ 266,200.00	
	Total: 20.00% \$ 2,659,400.00	WBE: 0.48% \$ 63,450.00	
		Total: 2.48% \$ 329,650.00	
If waivers are requested, documentation must be attached: <input type="checkbox"/> Full Waiver (No Participation) <input checked="" type="checkbox"/> Partial Waiver (Short of the MWBE Goal)			
<input checked="" type="checkbox"/> Specialty Equipment/Services Waiver (must be of SIGNIFICANT cost - list of equipment and cost & good faith effort documentation must be attached)			
Electronic Signature of Contractor: <input checked="" type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and that all MWBE subcontractors will perform a commercially useful function.			
Name (Please Type): Rich Felicetta, Partner			Date: 2/23/2018

Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form
(Revised 09/2014)

SECTION 3: UTILIZATION PLAN				
This Submittal is:		Revised Utilization Plan #:		
<input checked="" type="checkbox"/> The First/Original Utilization Plan <input type="checkbox"/> Revised Utilization Plan #		NYS-Certified MWBE Contractor & Subcontractor Info (MBO to check certifications)		
		Contract Amount:		For EFC Use:
		MBE (\$)	WBE (\$)	
Name: Hailey Insulation Corp.		Fed. Employer ID#: 11-3268981		
Address: 815 Route 25A, Rocky Point, NY 11778		Phone #: 631-689-0450		
Scope of Work: Piping Insulation		Email: Adomnelly@HaileyInsulation.com		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		
				38,800.00
Name: A K De Rana Industrial Control System Inc.		Fed. Employer ID#: 22-3104082		
Address: 253 Sheffield St., Mountaingside, NJ 07092		Phone #: 908-789-1600		
Scope of Work: I&C		Email: aderama@aol.com		
Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		
		215,600.00		
Name: Klairgar, Inc.		Fed. Employer ID#:		
Address: 311 South 6 th Ave., Mount Vernon, NY 10550-4113		Phone #: 914-699-1520		
Scope of Work: HVAC Sub.		Email: klairgar@aol.com		
Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		
		50,600.00		
Name: DME Construction Associates, Inc. (Pending NYS Approval)		Fed. Employer ID#: 452320345		
Address: 126 Old Field Rd., Setauket, NY 11733		Phone #: 631-689-2616		
Scope of Work: Roofing Sub.		Email: Pchardon@msn.com		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		
				24,650.00
Name:		Fed. Employer ID#:		
Address:		Phone #:		
Scope of Work:		Email:		
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		

Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form
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NYS-Certified MWBE Contractor & Subcontractor Info: (MBO to check/certifications)		Contract Amount:		For EFC Use:
		MBE (\$)	WBE (\$)	
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:	Start Date:			
Full Contract Amount: \$	Completion Date:			
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:	Start Date:			
Full Contract Amount: \$	Completion Date:			
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:	Start Date:			
Full Contract Amount: \$	Completion Date:			
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:	Start Date:			
Full Contract Amount: \$	Completion Date:			

Additional Pages can be found under MWBE Materials at www.efc.ny.gov/mwbe

Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form
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SECTION 4: EEO STAFFING PLAN (Service Providers Only - Instructions on the following page)

Municipality:	County:	SRF Project No.:	Contract ID:
Service Provider Name:		Date:	

Report Includes - Please select one from the options below:

☐ Workforce utilized on this contract

☐ Prime Service Provider

☐ Contractor/subcontractor's total workforce

☐ Subcontractor

Reporting Entity - Please select one from the options below:

Job Categories	Hispanic/Latino		Not Hispanic or Latino						Female			
	Male	Female	Male			Female			Native Hawaiian/Other Pacific Islander	Asian	Native American/Alaska Native	Two or More Races
			Black/African American	White	Native American/Alaska Native	Two or More Races	White	Black/African American				
Senior Level Officials/Managers	0	0	0	0	0	0	0	0	0	0	0	0
Mid-Level Officials/Managers	0	0	0	0	0	0	0	0	0	0	0	0
Professionals	0	0	0	0	0	0	0	0	0	0	0	0
Technicians	0	0	0	0	0	0	0	0	0	0	0	0
Sales Workers	0	0	0	0	0	0	0	0	0	0	0	0
Administrative Support Workers	0	0	0	0	0	0	0	0	0	0	0	0
Skilled Craftsmen	0	0	0	0	0	0	0	0	0	0	0	0
Operatives Semi-Skilled	0	0	0	0	0	0	0	0	0	0	0	0
Laborers & Helpers	0	0	0	0	0	0	0	0	0	0	0	0
Service Workers	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL	0	0	0	0	0	0	0	0	0	0	0	0
Journey persons												
Apprentices												
Trainees												

Electronic Signature of Service Provider: ☐ I certify that the information submitted herein is true, accurate and complete to the best of my knowledge.

Name (Please Type):

Date:

Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form
(Revised 09/2014)

INSTRUCTIONS

General Instructions: All Service Providers (including legal, engineering, financial advisory or other professional services, and labor) and each subcontractor identified in the bid or proposal must complete an EEO Staffing Plan and submit it as part of the MWBE Utilization Plan no later than the date of execution of the contract. Where the work force to be utilized in the performance of the contract can be separated out from the contractor's or subcontractors' total work force, the contractor shall complete this form *only for the anticipated work force to be utilized on the contract*. Where the work force to be utilized in the performance of the contract cannot be separated out from the contractor's or subcontractors' total work force, the contractor shall complete this form for the contractor's or subcontractors' *total work force*.

RACE/ETHNIC IDENTIFICATION: Definitions of race and ethnicity for purposes of completion of this form are as follows:

- **Hispanic or Latino** - A person having origins in Cuba, Mexico, Puerto Rico, South or Central America.
- **White** - A person having origins of Europe, the Middle East, or North Africa.
- **Black or African-American** - A person having origins in any of the black racial groups of Africa.
- **Native Hawaiian or Other Pacific Islander** - A person having origins in any of the peoples of Hawaii, Guam, Samoa, or other Pacific Islands.
- **Asian** - A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian Subcontinent
- **American Indian or Alaska Native** - A person having origins in any of the original peoples of North, Central, and South America and who maintain tribal affiliation or community attachment.
- **Two or More Races** - All persons who identify with more than one of the above (Non-Hispanic or Latino) five races.

DESCRIPTION OF JOB CATEGORIES

The major job categories used in EEO Staffing Plan are listed below.

Senior Level Officials and Managers - Individuals residing in the highest levels of organizations who plan, direct and formulate policies, set strategy and provide the overall direction of enterprises/organizations for the development and delivery of products or services.

Mid-Level Officials and Managers - Individuals who receive directions from the Senior Level management and serve as managers, other than those who serve as Senior Level Officials and Managers, including those who oversee and direct the delivery of products, services or functions at group, regional or divisional levels of organizations

Professionals - Most jobs in this category require bachelor and graduate degrees, and/or professional certification. In some instances, comparable experience may establish a person's qualifications.

Technicians - Jobs in this category include activities that require applied scientific skills, usually obtained by post-secondary education of varying lengths, depending on the particular occupation, recognizing that in some instances additional training, certification, or comparable experience is required.

Sales Workers - These jobs include non-managerial activities that wholly and primarily involve direct sales.

Administrative Support Workers - These jobs involve non-managerial tasks providing administrative and support assistance, primarily in office settings.

Skilled Craftsmen - Includes higher skilled occupations in construction (building trades craft workers and their formal apprentices) and natural resource extraction workers. Examples of these types of positions include: boilermakers; brick and stone masons; carpenters; electricians; painters

Operatives Semi-Skilled - Most jobs in this category include intermediate skilled occupations and include workers who operate machines or factory-related processing equipment. Most of these occupations do not usually require more than several months of training. Examples include: textile machine workers;

Laborers & Helpers - Jobs in this category include workers with more limited skills who require only brief training to perform tasks that require little or no independent judgment.

Service Workers - Jobs in this category include food service, cleaning service, personal service, and protective service activities.