

## RULES RESOLUTION NO. -2020

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND PRATT BROTHERS, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS [“Department”] has received competitive bids for contract H61587-PR4, for NASSAU COUNTY PRIORITY RESURFACING, PHASE 4, NASSAU COUNTY, NY [“Contract”], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of PRATT BROTHERS, INC.

[“Vendor”] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 8,888,777.00, now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

Jack Schnirman  
Comptroller



OFFICE OF THE COMPTROLLER

240 Old Country Road  
Mineola, New York 11501

**COMPTROLLER APPROVAL FORM FOR PERSONAL,  
PROFESSIONAL OR HUMAN SERVICES CONTRACTS**

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

**CONTRACTOR NAME:** Pratt Brothers Inc.

**CONTRACTOR ADDRESS:** 45 South Fourth Street, Bay Shore, NY 11706

**FEDERAL TAX ID #:** 16-1620294

**Instructions:** Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

**I. ☑ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids.** The contract was awarded after a request for sealed bids was published in Newsday / NYS Contract Reporter [newspaper] on 8/28/2019 [date]. The sealed bids were publicly opened on 10/01/2019 [date]. 4 [#] of sealed bids were received and opened.

**II. ☐ The contractor was selected pursuant to a Request for Proposals.**

The Contract was entered into after a written request for proposals was issued on \_\_\_\_\_ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in \_\_\_\_\_ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on \_\_\_\_\_ [date]. \_\_\_\_\_ [state #] proposals were received and evaluated. The evaluation committee consisted of: \_\_\_\_\_

\_\_\_\_\_ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

**III. ☐ This is a renewal, extension or amendment of an existing contract.**

The contract was originally executed by Nassau County on \_\_\_\_\_ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after \_\_\_\_\_

\_\_\_\_\_[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

**IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.**

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

**V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.**

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.



- ☐ **D.** Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

**VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated.** Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

**VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services.** The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

**Instructions with respect to Sections VIII, IX and X:** All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

**VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts.** The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

**IX. ☒ Department MWBE responsibilities.** To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

**X. ☐ Vendor will not require any sub-contractors.**

**In addition, if this is a contract with an individual or with an entity that has only one or two employees:** ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41*, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

  
\_\_\_\_\_  
Department Head Signature

  
\_\_\_\_\_  
Date

**NOTE:** Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

# Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Joseph M. Williams, Jr., P.E. state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

## Vendor Disclosures

*This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.*

Name of Submitting Entity: Pratt Brothers Inc.

Vendor's Address: 45 South Fourth Street Bay Shore NY US 11706

Vendor's EIN or TIN: 161620294

Forms Submitted: \_\_\_\_\_

Political Campaign Contribution Disclosure Form:  
02/13/2020 02:53:08 PM

Lobbyist Registration and Disclosure Form:  
02/25/2020 02:47:49 PM

Business History Form certified:  
02/25/2020 03:04:02 PM

Consultant's, Contractor's, and Vendor's Disclosure Form:  
02/28/2020 02:40:54 PM

# Principal Questionnaire(s)

*This refers to the most recent principal questionnaire submissions.*

Principal Name	Date Certified
Joseph M. Williams, Jr., P.E. [ ]	02/25/2020 02:52:28 PM
James J. Pratt, III [ ]	02/25/2020 03:53:08 PM
Thomas Pratt [ ]	02/25/2020 04:04:47 PM
Edward McCoy Jr. [ ]	02/25/2020 04:21:08 PM

I, Joseph M. Williams, Jr., P.E. hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

## CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

Joseph M. Williams, Jr., P.E.

*Name*

Sr. Vice President

*Title*

Pratt Brothers, Inc.

*Name of Submitting Entity*

03/05/2020 02:02:58 PM

*Date*

### **Business History Form**

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

**NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.**

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 02/26/2019

1) Proposer's Legal Name: Pratt Brothers Inc.

2) Address of Place of Business: 45 South Fourth Street

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

3) Mailing Address (if different): 45 South Fourth Street

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

Phone: (631) 667-6800

Does the business own or rent its facilities? Rent If other, please provide details:

4) Dun and Bradstreet number: 176421117

5) Federal I.D. Number: 16-1620294

6) The proposer is a: Corporation (Describe) \_\_\_\_\_

7) Does this business share office space, staff, or equipment expenses with any other business?

YES ☒ NO ☐ If yes, please provide details:

Shares with Guy Pratt, Inc.

8) Does this business control one or more other businesses?

YES ☐ NO ☒ If yes, please provide details:

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

YES ☒ NO ☐ If yes, please provide details:

Scatt Materials, Corp.



James J. Pratt, III and Thomas Pratt, stockholders in Pratt Brothers Inc., are also stockholders in Scatt Materials Corp.

Scatt Materials is an asphalt hot mix manufacturer. Scatt Materials may supply some of the hot mix materials which is required for the construction in our projects.

- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?  
YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).
- 11) Has the proposer, during the past seven years, been declared bankrupt?  
YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:  
a) Any felony charge pending?  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.  
  
b) Any misdemeanor charge pending?  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

- 17 Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

NO CONFLICT EXISTS

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

NO CONFLICT EXISTS

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

NO CONFLICT EXISTS

- b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

All employees are required to disclose any current and future conflicts

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

- i) Date of formation;

07/18/2002

- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

SEE ATTACHED SHAREHOLDERS WITH PERCENTAGE OWNED

First Name	James								
Last Name	Pratt								
MI	J		Suffix	III					
Address	[REDACTED]								
City	[REDACTED]		State/Province/Territory	[REDACTED]	Zip/Postal Code	[REDACTED]			
Country	US								
Position	President/Treasurer								

First Name	Thomas								
Last Name	Pratt								
MI			Suffix						
Address	[REDACTED]								
City	[REDACTED]		State/Province/Territory	[REDACTED]	Zip/Postal Code	[REDACTED]			
Country	US								
Position	Vice President/Secretary								

1 File(s) Uploaded: Business History Form Shareholders.docx

- iii) Name, address and position of all officers and directors of the company. If none, explain.



## **PRATT BROTHERS, INC.**

**GENERAL CONTRACTING • HEAVY CONSTRUCTION**

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45 S. Fourth Street • Bay Shore, NY 11706-1210 • Phone: 631-667-6800 • Fax: 631-289-3843

### **Shareholders**

**James J. Pratt, III – President/Treasurer – 51%**



**Thomas Pratt – Vice President/Secretary – 49%**



First Name Edward  
Last Name McCoy  
MI P Suffix Jr.  
Address [REDACTED]  
City [REDACTED] State/Province/Territory [REDACTED] Zip/Postal Code [REDACTED]  
Country US  
Position Vice President

First Name James  
Last Name Pratt  
MI J Suffix III  
Address [REDACTED]  
City [REDACTED] State/Province/Territory [REDACTED] Zip/Postal Code [REDACTED]  
Country US  
Position President/Treasurer

First Name Thomas  
Last Name Pratt  
MI [REDACTED] Suffix [REDACTED]  
Address [REDACTED]  
City [REDACTED] State/Province/Territory [REDACTED] Zip/Postal Code [REDACTED]  
Country US  
Position Vice President/Secretary

First Name Joseph  
Last Name Williams  
MI M Suffix Jr.  
Address [REDACTED]  
City [REDACTED] State/Province/Territory [REDACTED] Zip/Postal Code [REDACTED]  
Country US  
Position Sr Vice President

iv) State of incorporation (if applicable);

NY

v) The number of employees in the firm;

47

vi) Annual revenue of firm;

[REDACTED]

vii) Summary of relevant accomplishments

MAJOR PROJECTS COMPLETED IS ATTACHED

1 File(s) Uploaded: PB MAJOR PROJECTS COMPLETED.xls

viii) Copies of all state and local licenses and permits.



## MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
<b>07-135</b> Southern State Parkway Suffolk County D260416	New York State DOT	New York State DOT James Eldor	\$ 10,433,621.00	9/15/2009	94%	New York State DOT James Eldor
<b>08-290</b> County Road 16 Reconstruction, Ronkonkoma No. 5511	Suffolk County DPW James Peterman (631) 852-4003	Lockwood, Kessler and Bartlett  (516) 938-0600	\$ 22,641,805.00	7/31/2011	64%	Suffolk County DPW Justin Hipperling (631) 852-4006
<b>09-077</b> Milling Requirements - Queens HW2CR09C	City of New York DDC	City of New York DDC	\$ 4,979,762.40	4/30/2011	81%	City of New York Yuliya Ruvinova (718) 322-5053
<b>10-268</b> Culvert Replacement on Valley Stream Road	Village of Valley Stream Tony Cella (516) 592-5105	Village of Valley Stream	\$ 1,448,380.60	12/31/2011	63%	Village of Valley Stream Tony Cella (516) 592-5105
<b>10-208</b> Milling Requirements - Queens HW2CR11C	City of New York DDC	City of New York DDC	\$ 1,971,345.18	8/31/2011	70%	City of New York Patrick Larkin (718) 391-1958
<b>10-300</b> CR19 Patchogue Holbrook Rd Traffic Calming 3302/5014	Suffolk County DPW	Suffolk County DPW In-House	\$ 1,201,304.47	11/15/2011	66%	Suffolk County DPW Justin Hipperling (631) 852-4006
<b>11-289</b> Sunset Plaza Shopping Center	Deer Park Associates LLC Len Abrams (631) 667-9575	Sidney B Bowne & Son	\$ 548,035.15	12/31/2011	100%	Deer Park Associates LLC Len Abrams (631) 667-9575
<b>09-155</b> Calverton National Cemetery Expansion 805CM2036	Calverton National Cemetery GC&P Fabcon	Carter Van Dyke (212) 345-5053	\$ 11,770,011.69	12/31/2011	66%	GC&P Fabcon LLC John Schleer (908) 782-0526
<b>11-011</b> Sysco Warehouse Central Islip	Sysco Food Services Aurora Contractors Barney Riley (631) 981-3785	Vollmuth & Brush (631) 363-2683	\$ 5,445,493.96	6/30/2012	85%	Aurora Contractors Barney Reilly (631) 981-3785
<b>11-059</b> CR31 & 104 Intersection Reconstr. Hampton Bays CP5572	Suffolk County DPW William Hillman P.E. (631) 852-4006	Suffolk County DPW In-House	\$ 1,072,863.65	2/17/2012	71%	Suffolk County DPW Justin Hipperling (631) 852-4006
<b>11-093</b> Village of Port Jefferson 2011 Road Improvements	Village of Port Jefferson Robert Juliano	Dvirka & Bartilucci Ken Pritchard	\$ 2,761,192.92	7/15/2012	77%	Dvirka & Bartilucci Ed Kozik (516) 364-9890
<b>11-413</b> Solar Array Project @ Cohalan Court Complex Central Islip	Enxco Development Co. Eldor Contracting Corp. Keith Feldman	Enxco Development Co.	\$ 569,373.28	12/31/2012	100%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
<b>11-285</b> Milling Requirements - Queens HW2CR12C	City of New York DDC Patrick Larkin (718) 391-1958	City of New York DDC	\$ 3,328,891.23	9/30/2012	88%	City of New York DDC Patrick Larkin (718) 391-1958

## MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
<b>11-335</b> Solar Array Project @ Riverhead County Complex	Enxco Development Co. Eldor Contracting Corp. Keith Feldman	Enxco Development Co.	\$ 627,901.15	12/31/2012	93%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
<b>12-104</b> New Entrance Roadway For School of Medicine	Hofstra University Richard S Leddy	Cameron Eng & Assoc. Jack Ozyman (516) 827-4900	\$ 504,205.00	9/30/2012	60%	Hofstra University Richard S. Leddy (516) 463-5258
<b>12-174</b> Home Depot Parking Lot Commack	Home Depot	Home Depot	\$ 796,600.90	12/31/2012	100%	Opal Construction Chris Cavoto (631) 242-7440
<b>09-239</b> Nassau County DPW Highway & Drainage Req. H66302S	Nassau County DPW	Nassau County DPW	\$ 1,252,924.00	12/31/2011	85%	Nassau County DPW (516) 571-6655
<b>11-359</b> Suffolk County DPW Storm Water Pollution Remedtion Phase 3 CP8239	Suffolk County DPW	Suffolk County DPW In-House	\$ 1,294,862.00	12/16/2013	90%	Suffolk County DPW Justin Hipperling (631) 852-4006
<b>11-393</b> Suffolk County DPW Wicks Road Improvement CP5539	Suffolk County DPW	Nelson & Pope	\$ 5,693,653.00	12/31/2013	66%	Suffolk County DPW Justin Hipperling (631) 852-4006
<b>12014</b> Suffolk County DPW CR39 North Road Improvements CP5528	Suffolk County DPW	Suffolk County DPW In-House	\$ 3,779,594.00	12/31/2013	77%	Suffolk County DPW Justin Hipperling (631) 852-4006
<b>12-018</b> Canon Headquarters Phase II - Sitework	Canon USA	Turner Construction	\$ 5,563,069.00	11/30/2013	56%	Turner Construction Chris Deschler (212) 229-6000
<b>12-158</b> Mall at Bay Plaza Site Work - Bronx, NY	Prestige Properties & Dev.	Stantec Consulting Services Inc. (212) 366-5600	\$ 1,799,983.00	11/30/2013	98%	Aurora Contractors Jonathan McGowan (631) 981-3785
<b>12-166</b> Home Depot Parking Lot Long Island City-Milling/Paving	Home Depot	Home Depot	\$ 493,600.00	12/31/2013	100%	Opal Construction Chris Cavoto (631) 242-7440
<b>13-033</b> Sheridan Blvd. Drainage Mineola H6005001G	Nassau County DPW	Nassau County DPW	\$ 718,259.00	12/31/2013	98%	Nassau County DPW Shila Shah Gavnoudias (516) 571-9600
<b>12-426</b> Reconstruction of Sound Avenue, CR48	Suffolk County DPW Justin Hipperling P.E. (631) 852-4006	Suffolk County DPW In-House	\$ 3,791,800.00	12/31/2014	86%	Suffolk County DPW Justin Hipperling (631) 852-4006

## MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
Greenport - 5526						
<b>13-055</b> Lowes of Commack Site Work	Aurora Contractors Barney Riley (631) 981-3785	Rosenbaum Design Group	\$ 3,092,479.00	12/31/2014	80%	Aurora Contractors Barney Reilly (631) 981-3785
<b>13-049</b> Clearspan Building Project	Brookhaven Rail Terminal	Brookhaven Rail Terminal	\$ 1,684,905.00	12/31/2014	65%	Brookhave Rail Term. Andy Kaufman (631) 924-8800
<b>13-089</b> Forcemain and Pump Station - Huntington Sta.	Avalon Bay Communities	Nelson & Pope	\$ 1,023,793.00	12/31/2014	30%	Avalon Bay Communities Matthew Gendron (617) 645-3937
<b>13-183</b> Asphalt Paving Huntington Station	Avalon Bay Communities	Nelson & Pope	\$ 1,288,545.00	6/30/2014	95%	Avalon Bay Communities Matthew Gendron (617) 645-3937
<b>13-219</b> Stony Brook Medical Center East Parking Field Paving	State of New York Dormitory Authority	H2M Architects & Engineers	\$ 670,176.00	12/31/2014	100%	Watral Bros. Inc. Jake Watral (631) 586-8300
<b>13-261</b> Museum of American Armor Site Work - Old Bethpage	Museum of American Armor BDG Construction	BDG Construction Corp	\$ 1,285,390.00	8/29/2014	78%	BDG Construction Anthony Galu (516) 624-1979
<b>13-283</b> 2013 Road Improvements Nassau Avenue	Village of Freeport	Village of Freeport In-House	\$ 1,407,428.00	12/31/2014	73%	Village of Freeport Robert Fisenne P.E. (516) 377-2233
<b>14-306</b> Green Acres Mall Valley Stream - Parking Field Pavement & Rehab	Green Acres Mall Opal Construction	Savik & Murray LLP	\$ 889,154.46	12/31/2014	95%	Opal Construction Chris Cavoto (631) 242-7440
<b>13-035</b> Reconstruction of Rte 347 & 112 D262168	New York State DOT Scalamandre	New York State DOT	\$ 5,634,311.00	12/31/2015	98%	P. Scalamandre & Sons Tom Hayes (516) 868-3000
<b>13-297</b> Village of Mineola 2013 Road Improvements	Village of Mineola	Dvirka & Bartilucci Christopher Clement (516)364-9890	\$ 1,654,509.00	12/31/2015	85%	Village of Mineola Thomas Rini (516) 746-0753
<b>14-034</b> Bridge Rehabilitation Various Locations	Suffolk County DPW	Suffolk County DPW	\$ 1,848,219.00	12/31/2015	75%	Suffolk County DPW Justin Hipperling (631) 852-4006
<b>14-084</b> Lake Success Redevelopment 1 Dakota Drive	We're Associates, Inc.	We're Associates Inc. Gary R Woska	\$ 2,321,333.00	7/27/2015	55%	We're Associates, Inc. Philip Arnold (516) 931-5322
<b>14-220</b> 2014 Road Improvements	Village of Garden City	Village of Garden City In-House	\$ 4,746,069.00	12/31/2015	70%	Village of Garden City Domenick Stanco (516) 465-4017

## MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
<b>14-294</b> Ritz Carlton Residences Off Site Sanitary Sewer Connections SLRC1080018	LRC Construction LLC	H2M Architects Engs	\$ 525,000.00	7/27/2015	61%	LRC Construction LLC Steve Feinstein (914) 773-7700
<b>15-241</b> 3&5 Dakota Parking Lots	We're Associates Inc.	We're Associates Inc. Gary R Woska	\$ 609,457.00	12/31/2015	85%	We're Associates Philip Arnold (516) 931-5322
<b>14-132</b> The Meadows at Yaphank Yaphank Woods Blvd. Road Improvements	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 1,835,000.00	4/30/2016	100%	AVR Realty Tom Perna (914) 965-3990
<b>14-142</b> Stony Brook University Toll Drive Residence Phase I	Aurora Contractors Inc. State University of New York at Stony Brook	KSQ Architects, P.C. (914) 682-3700	\$ 1,980,789.00	12/31/2016	87%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
<b>14-378</b> Stony Brook University Toll Drive Residence Phase II	Aurora Contractors Inc. State University of New York at Stony Brook	KSQ Architects, P.C. (914) 682-3700	\$ 2,146,774.00	12/31/2016	70%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
<b>15-017</b> Dormitories #A04B007 Sitework	NYRA Belmont EW Howell Travis Salvo	Dolph Rotfeld Eng. (914) 631-8600	\$ 538,413.00	9/28/2016	87%	EW Howell Travis Salvo (516) 921-7100
<b>15-107</b> William Floyd Parkway Yaphank Woods Blvd.	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 4,061,494.00	12/31/2016	76%	AVR Realty Tom Perna (914) 965-3990
<b>15-149</b> Leavenworth Solar Farm Shoreham	Borrego Solar Systems Inc Eldor Contracting Corp. Keith Feldman	VHB Engineering (631) 234-3444	\$ 1,082,426.00	9/28/2016	88%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
<b>15-315</b> 2015 Road Improvements	Village of Floral Park Valente Contracting Corp.	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 1,297,048.00	12/31/2016	95%	Valente Contracting Corp John Valente (516) 746-7933
<b>15-305</b> The Meadows at Yaphank Yaphank Woods Blvd. Phase 1A Sitework	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 978,895.00	12/31/2016	100%	AVR Realty Tom Perna (914) 965-3990
<b>15-181</b> Lake Success Redevelopment 3 Dakota -P.Lot & Ramp	We're Associates Inc. Philip Arnold (516) 931-5322	We're Associates Inc. Gary R Woska	\$ 1,423,116.00	4/30/2016	65%	We're Associates Inc. Philip Arnold (516) 931-5322
<b>15-255</b> 2015 Road Improvements	Village of Mineola Valente Contracting Corp.	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 742,821.00	9/28/2016	100%	Valente Contracting Corp John Valente (516) 746-7933

## MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
<b>16-130</b> LIE Welcome Center Dix Hills	NYSDOT P. Scalamandre & Sons	Stantec Consulting Services Inc. (212) 366-5600	\$ 1,578,526.00	11/30/2016	100%	P. Scalamandre & Sons Tom Hayes (516) 868-3000
<b>16-152</b> 2016 Road Improvements	Village of New Hyde Park	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 2,127,958.00	12/31/2016	77%	Village of New Hyde Park Tom Gannon (516) 354-0064
<b>16-218</b> 2016 Road Improvements	Village of Garden City	Village of Garden City In-House	\$ 1,447,250.00	12/31/2016	65%	Village of Garden City Domenick Stanco (516) 465-4017
<b>16-308</b> 2016 Road Improvements	Village of Mineola Valente Contracting Corp.	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 725,790.00	12/31/2016	100%	Valente Contracting Corp John Valente (516) 746-7933
<b>15-221</b> Green Acres Commons Valley Stream	Green Acres Adjacent LLC Aurora Contractors Inc. Barney Reilly	SPG3 Architects Bruce Keller	\$ 6,523,195.00	7/31/2017	90%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
<b>15-243</b> Green Acres Commons Valley Stream - Paving	Green Acres Adjacent LLC Aurora Contractors Inc. Barney Reilly	SPG3 Architects Bruce Keller	\$ 1,560,664.00	7/31/2017	93%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
<b>16-034</b> 1933 & 1963 Union Blvd. Sitework	South Side Hospital Schulmann Industries Inc. Harry Haralambous	Sidney B. Bowne & Son (516) 746-2350	\$ 1,735,825.00	9/30/2017	55%	Schulmann Industries Inc Harry Haralambous (631) 499-7974
<b>16-160</b> Roslyn Schools Paving	Roslyn UF School District Watral Brothers Inc.	VHB Engineering (631) 234-3444	\$ 589,403.00	9/30/2017	98%	Watral Brothers Inc. Jake Watral (631) 586-8300
<b>16-358</b> Culverts 1 & 2 Holtsville Terminal	Northville Industries Corp.	U.N.I Engineering Inc. Edward J. Sapp	\$ 786,034.00	12/26/2017	41%	Northville Industries Corp. (631) 475-5060
<b>17-273</b> Parking Lot Construction Union Blvd., Bay Shore	Northwell Health Inc. Schulman Industries Harry Haralambous	Sidney B. Bowne & Son (516) 746-2350	\$ 548,425.00	12/31/2017	70%	Northwell Health Inc. Schulman Industries Harry Haralambous (631) 499-7974
<b>15-261</b> Avalon at Great Neck Site Work	Avalon Bay Communities Scott Fradenburg 516-501-6020	VHB Engineering (631) 234-3444	\$ 1,501,001.19	1/31/2018	93%	Avalon Bay Communities Scott Fradenburg 516-501-6020
<b>16-048</b> Avalon Rockville Centre II Sitework	Avalon Bay Communities Scott Fradenburg 516-501-6020	Nelson & Pope (631) 427-5665	\$ 1,373,303.43	1/31/2018	95%	Avalon Bay Communities Scott Fradenburg 516-501-6020
<b>16-286</b> Common Area 2 Sitework The Meadows at Yaphank	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 679,748.50	12/31/2018	100%	AVR Realty Tom Perna (914) 965-3990
<b>17-103</b> Shoreham Solar	Shoreham Solar Commons LLC Keith Feldmann - Eldor	TRC Engineers Inc. (516) 671-3407	\$ 2,854,197.00	11/30/2018	90%	Eldor Contracting Corp. Keith Feldmann



## MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
Commons - Sitework	(631) 218-0100					(631) 218-0100
<b>17-189</b> Village of Garden City 2017 Road Improvements	Village of Garden City Valente Contracting Corp. John Valente	Village of Garden City In-House	\$ 1,049,622.00	12/31/2018	96%	Valente Contracting Corp John Valente (516) 746-7933
<b>17-237</b> Northwell Lynbrook Site Work	Talisen Construction Corp. Joseph Rigazio (212) 244-4581	Sidney B. Bowne & Son (516) 746-2350	\$ 908,330.81	6/30/2018	59%	Talisen Construction Corp. Joseph Rigazio (212) 244-4581
<b>17-347</b> Sky Drive Farmingdale Sitework	BDG Farmingdale LLC Mike Christiano (516) 624-1948	Bohler Engineering Joseph Deal (631) 738-1200	4,327,684.00	12/31/2018	70%	BDG Farmingdale LLC Mike Christiano (516) 624-1948

B. Indicate number of years in business.

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C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

Work on previous NCDPW contracts

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Village of Garden City

Contact Person Domenick Stanco

Address 351 Stewart Avenue

City Garden City

State/Province/Territory NY

Country US

Telephone [REDACTED]

Fax # [REDACTED]

E-Mail Address [REDACTED]

Company Suffolk County DPW

Contact Person William Hillman, P.E.

Address 335 Yaphank Avenue

City Yaphank

State/Province/Territory NY

Country US

Telephone [REDACTED]

Fax # [REDACTED]

E-Mail Address [REDACTED]

Company Village of Mineola

Contact Person Thomas Rini

Address 155 Washington Avenue

City Mineola

State/Province/Territory NY

Country US

Telephone [REDACTED]

Fax # [REDACTED]

E-Mail Address [REDACTED]

I, Joseph M. Williams, Jr., P.E., hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Joseph M. Williams, Jr., P.E., hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

#### **CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: Pratt Brothers, Inc.

Electronically signed and certified at the date and time indicated by:

Joseph M. Williams, Jr., P.E. [Redacted Signature]

Sr. Vice President

Title

02/25/2020 03:04:02 PM

Date



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☒ NO ☐ If yes, to what campaign committee?

Laura Curran  
Jack Schnirman

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:

Joseph M. Williams, Jr., P.E. [ ]

Dated: 09/13/2019 04:23:06 PM

Vendor: Pratt Brothers, Inc.

Title: Sr. Vice President



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

None

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See the last page for a complete description of lobbying activities.

None

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

None

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby. separately attach such a written authorization from the client.



7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee? If none, you must so state:

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:

Joseph M. Williams, Jr., P.E. [

]

Dated: 09/13/2019 04:22:28 PM

Vendor: Pratt Brothers, Inc.

Title: Sr. Vice President

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

**The term "lobbying" or "lobbying activities" does not include:** Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

**COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD**

1. Principal Name: Edward P McCoy Jr  
Date of birth: [REDACTED]  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]  
Country: US

Business Address: 45 S. 4th St.  
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706  
Country: US  
Telephone: 631-667-6800

Other present address(es):  
City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_  
Telephone: \_\_\_\_\_

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>01/01/2011</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

\_\_\_\_\_

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

\_\_\_\_\_

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

\_\_\_\_\_

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?



YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Edward McCoy Jr., hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Edward McCoy Jr., hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Pratt Brothers, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Edward McCoy Jr.

Vice President

Title

02/25/2020 04:21:08 PM

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

**COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD**

1. Principal Name: James J Pratt, III  
Date of birth: [REDACTED]  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
Country: US

Business Address: Pratt Brothers Inc.  
City: 45 South Fourth Street State/Province/Territory: NY Zip/Postal Code: 11706  
Country: US  
Telephone: 631-667-6800

Other present address(es):  
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706  
Country: US  
Telephone: 6316676800

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u>07/18/2002</u>	Treasurer	<u>07/18/2002</u>
Chairman of Board	<u></u>	Shareholder	<u>07/18/2002</u>
Chief Exec. Officer	<u></u>	Secretary	<u></u>
Chief Financial Officer	<u></u>	Partner	<u></u>
Vice President	<u></u>		
(Other)	<u></u>		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

[REDACTED]

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

As required by bank and bonding company

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Scatt Materials Corp.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?  
YES ☒ NO ☐ If Yes, provide details.

Asphalt pickup contracts

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, James J Pratt III , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, James J Pratt III , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Pratt Brothers Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

James J. Pratt, III

President/Treasurer

Title

02/25/2020 03:53:08 PM

Date



## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Joseph M. Williams, Jr., P.E.  
Date of birth: [REDACTED]  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
Country: US

Business Address: 45 South Fourth Street  
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706  
Country: US  
Telephone: (631) 667-6800

Other present address(es):  
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706  
Country: US  
Telephone: 6316676800

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>07/18/2002</u>		
(Other)			

Type	Description	Start Date
Other	Sr. Vice President	01/01/2011

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9. a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Joseph M. Williams, Jr., P.E. , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Joseph M. Williams, Jr., P.E. , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Pratt Brothers Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Joseph M. Williams, Jr., P.E. [REDACTED]

Sr. Vice President

Title

02/25/2020 02:52:28 PM

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Thomas Pratt  
Date of birth: [REDACTED]  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
Country: US

Business Address: 45 South Fourth Street  
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706  
Country: US  
Telephone: 631-667-6800

Other present address(es):  
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706  
Country: US  
Telephone: 6315860554

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	<u>07/18/2002</u>
Chief Exec. Officer	_____	Secretary	<u>07/18/2002</u>
Chief Financial Officer	_____	Partner	_____
Vice President	<u>07/18/2002</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

[REDACTED]

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

As required by bank and bonding company

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Scatt Materials Corp.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?  
YES ☒ NO ☐ If Yes, provide details.

Asphalt pickup contracts

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?



YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Thomas Pratt , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Thomas Pratt , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

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Pratt Brothers Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Thomas Pratt

Vice President/Secretary

Title

02/25/2020 04:04:47 PM

Date

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Pratt Brothers Inc.

Address: 45 South Fourth Street

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

2. Entity's Vendor Identification Number: 16-1620294

3. Type of Business: Closely Held Corp (specify) \_\_\_\_\_

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

First Name Joseph  
 Last Name Williams  
 MI M Suffix Jr.  
 Address [REDACTED]  
 City [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
 Country US  
 Position Sr Vice President

First Name James  
 Last Name Pratt  
 MI J Suffix III  
 Address [REDACTED]  
 City [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
 Country US  
 Position President/Treasurer

First Name Thomas  
 Last Name Pratt  
 MI \_\_\_\_\_ Suffix \_\_\_\_\_  
 Address [REDACTED]  
 City [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
 Country US  
 Position Vice President/Secretary

First Name Edward  
 Last Name McCoy  
 MI P Suffix Jr.  
 Address [REDACTED]  
 City [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
 Country US

Position Vice President

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.  
If none, explain.

First Name James  
Last Name Pratt  
MI J Suffix III  
Address [REDACTED]  
City [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
Country [REDACTED]  
Position President/Treasurer

First Name Thomas  
Last Name Pratt  
MI [REDACTED] Suffix [REDACTED]  
Address [REDACTED]  
City [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
Country [REDACTED]  
Position Vice President/Secretary

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

Scatt Materials Corp.

3 File(s) uploaded Scatt Vendor Disclosure Form 2.13.20.pdf, Scatt Vendor Disclosure Form 2.21.20.pdf, Scatt Vendor Disclosure Form 9.13.19.pdf

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence or promote a matter before Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES ☐ NO ☒

(a) Name, title, business address and telephone number of lobbyist(s):

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

Joseph M. Williams, Jr., P.E. 

Dated: 02/21/2020 02:51:30 PM

Title: Sr. Vice President

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Scatt Materials Corp.

Address: 44 South Fourth Street

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

2. Entity's Vendor Identification Number: 11-2607021

3. Type of Business: Closely Held Corp (specify) \_\_\_\_\_

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

First Name Thomas  
 Last Name Pratt  
 MI \_\_\_\_\_ Suffix \_\_\_\_\_  
 Address [REDACTED]  
 City [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
 Country US  
 Position President

First Name James  
 Last Name Haney  
 MI \_\_\_\_\_ Suffix \_\_\_\_\_  
 Address [REDACTED]  
 City [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
 Country US  
 Position Vice President

First Name James  
 Last Name Haney  
 MI \_\_\_\_\_ Suffix \_\_\_\_\_  
 Address [REDACTED]  
 City [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
 Country US  
 Position Secretary

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

[Redacted]

No shareholders, members, or partners have been attached to this form.

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

Pratt Brothers, Inc - James J. Pratt, III and Thomas Pratt share ownership as Scatt Materials Corp. will supply asphalt to Pratt Brothers, Inc.

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES ☐ NO ☒

(a) Name, title, business address and telephone number of lobbyist(s):

[Redacted]

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

[Redacted]

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

[Redacted]

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

Thomas Pratt [Redacted]

Dated: 02/19/2020 12:34:42 PM

Title: President



**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Office of the County Executive  
Att: Brian J. Schneider, Deputy County Executive

**FROM:** Department of Public Works

**DATE:** October 8, 2019

**SUBJECT: RECOMMENDATION OF AWARD**

**Contract Number:** H61587-PR4

**Title:** Nassau County Priority Resurfacing – Phase 4


**Engineer's Estimate:** \$ 9,624,850.00

**Bids Received On:** October 1, 2019

The bids received for the above referenced contract have been examined and the bid submitted by Pratt Brothers Inc. in the amount of \$8,888,777.00 is acceptable as the lowest bid (see the attached bid tabulation).

The low bid by Pratt Brothers Inc. is 7.65% below the engineer's estimate, and adequate funds are available (Capital Project Number 61587-PR4).

If you approve or disapprove of the above request, please signify below and return this memo to this office for appropriate action.


  
Kenneth G. Arnold  
Commissioner

KGA:RM:jd  
Attachments

c: Rakhal Maitra, Deputy Commissioner  
Loretta Dionisio, Assistant to Deputy Commissioner  
Richard Iadevaio, Jr., Superintendent of Highway and Drainage Construction  
Jose Viteri, Civil Engineer II

**APPROVED:**

**DISAPPROVED:**

  
Brian J. Schneider      Date  
Deputy County Executive

\_\_\_\_\_  
Brian J. Schneider      Date  
Deputy County Executive



**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Kenneth G. Arnold, Commissioner  
**FROM:** Rakhal Maitra, Deputy Commissioner

**DATE:** October 8, 2019

**SUBJECT: RECOMMENDATION OF AWARD**

**Contract Number:** H61587-PR4

**Title:** Nassau County Priority Resurfacing – Phase 4

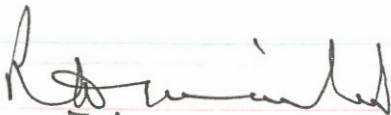
**Engineer's Estimate:** \$ 9,624,850.00

**BIDS RECEIVED ON:** October 1, 2019

The Bids received for the above referenced contract have been examined, as tabulated in the bid comparison (attached). The bid submitted by Pratt Brothers Inc., in the amount of \$8,888,777.00 is acceptable as the lowest responsible bidder.

The low bid by Pratt Brothers Inc. is 7.65% below the engineer's estimate and adequate funds are available (Capital Project number H61587-PR4). After reviewing all documentation submitted by the contractor, it was found that Pratt Brothers Inc, Inc. meets the required goals for this project.

Therefore, it is requested that the Recommendation of Award be prepared for the Commissioner's signature and forwarded to the County Executive for his action.



Rakhal Maitra  
Deputy Commissioner

RM:jd

Attachments

c: Loretta Dionisio, Assistant to Deputy Commissioner  
Richard Iadevaio Jr., Superintendent of Highway and Drainage Construction  
Jose Viteri, Civil Engineer II



**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Civil Service Employees Association, Nassau Local 830  
 Att: Ronald Gurrieri, Executive Vice President

**FROM:** Department of Public Works

**DATE:** May 31, 2019

**SUBJECT:** CSEA Notification of a Proposed DPW Contract  
 Nassau County Priority Resurfacing Contract – Phase 4  
 Proposed Contract Number: H61587-PR4

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a construction contract for the following services: Resurfacing of Various County Roads in Nassau County.
2. The work involves the following: Furnishing labor, materials, tools, equipment and incidentals as specified for the resurfacing of asphalt and/or concrete surfaces and other related work at various locations in Nassau County. The work operations may include, but not be limited to milling and paving of the existing asphalt pavement, concrete pavement repairs, full depth asphalt and joint repairs, and installation of pavement markings, traffic signal loops and plowable raised reflectorized pavement markers.
3. An estimate of the cost is: \$10,012,000.00.
4. An estimate of the duration is: One hundred and eighty (180) calendar days.

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Roseann D'Alleva, Deputy Commissioner, telephone 1-0525, fax 1-9657.



Roseann D'Alleva  
 Deputy Commissioner

RD:RM:ac

c: Christopher Nicolino, Director, Office of Labor Relations  
 Rakhal Maitra, Deputy Commissioner  
 Loretta Dionisio, Assistant to Deputy Commissioner  
 Christopher Yansick, Unit Head, Financial Management Unit  
 Diane Pyne, Unit Head, Human Resources Unit  
 Richard Iadevaio, Jr., Superintendent of Highway and Drainage Construction  
 Jonathan Lesman, Management Analyst II  
 Saji Varughese, Project Manager II



**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Saji Varughese, Project Manager II

**FROM:** Office of the Commissioner

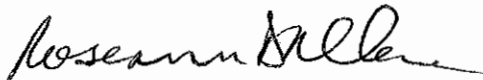
**DATE:** July 9, 2019

**SUBJECT:** CSEA Sub-Contracting Approval  
**C19-060** – H61587-PR4 – Nassau County Priority Resurfacing Contract – Phase 4

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. Pursuant to Section 32-3 of the CSEA/County CBA, CSEA has withdrawn its objection to the above-referenced contract known as **C19-060**.

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions, please speak with Jonathan Lesman.



Roseann D'Alleva  
Deputy Commissioner

RD:las

c: Rakhal Maitra, Deputy Commissioner  
Richard Iadevaio, Jr., Superintendent of Highway and Drainage Construction  
Loretta Dionisio, Assistant to Deputy Commissioner  
Jonathan Lesman, Management Analyst II



PROPOSAL  
To the County of Nassau  
**RESURFACING OF VARIOUS COUNTY ROADS  
IN THE TOWN OF HEMPSTEAD  
PHASE 4**

**Contract No. H61587-PR4**

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Advertisement for Bids and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the plans and specifications for the amount named in the proposal hereinafter described.

In making this proposal the Bidder hereby declares that the Addenda which has been issued by the County of Nassau and has been received by him, that all provisions thereof have been complied with in preparing his bids.

Name of Bidder: Pratt Brothers, Inc.  
(Individual, Firm or Corporation, as case may be)

Bidder's Address: 45 South Fourth Street, Bay Shore, NY 11706

Telephone: 631-667-6800 Date: September 27, 2019

FAX Tele: 631-289-6119 E-Mail: jwilliams@prattbrothers.com

NOTE: IF BIDDER IS A FIRM, FILL IN THE FOLLOWING BLANKS:

Name of Partners

Residence of Partners

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

NOTE: IF BIDDER IS A CORPORATION, FILL IN THE FOLLOWING BLANKS:

Organized under the laws of the State of: New York

Name of President: James J. Pratt, III

President's Domicile: [REDACTED]

Name of Vice Pres: Thomas Pratt

Vice Pres's Domicile: [REDACTED]

Corporate Officer: Joseph M. Williams, Jr., P.E. Title: Sr. Vice President

Corporate Officer's Domicile: [REDACTED]

Corporate Officer: Edward P. McCoy, Jr. Title: Vice President

Corporate Officer's Domicile: [REDACTED]

**NO TEXT ON THIS PAGE**

The Following Paragraphs are Applicable to the Contract

THE BIDDER AFFIRMS AND DECLARES:

1. That the above bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same work, and is in all respects fair and without collusion or fraud.
3. That said bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety or otherwise, upon any obligation to the County of Nassau.
4. That no officer nor employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the site of the work and that, from his own investigations, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of equipment, other facilities needed for the performance of the work, the general and local conditions, and all other items which may, in any way, affect the work or its performance.
6. The bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach, the contractor shall be required to have such a license.



## INFORMATION FOR BIDDERS

### I. Rejection of Bids.

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. A determination that the bidder is not responsible is made in accordance with law; or if
6. The bid, in the opinion of the Commissioner, contains unbalanced bid prices, unless the bidder can show that the prices are not unbalanced for the probably required quantity of such items.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

### II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

### III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

### IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

CPIN	Item Number	Estimate of Quantities	Page 1			Date	Cont H61587-PR4	Brought Forward		
			Items with unit bid price written in words						Unit Bid Price	Amount Bid
1M		1.00	Lump Sum	, Mobilization						
			FOR	THREE HUNDRED FIFTEEN THOUSAND FIVE HUNDRED FIFTY FOUR DOLLARS				315,554.610	315,554.61	
			SIXTY ONE CENTS							

[illegible]

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
17A	10.00	Cu Yd , Class A Concrete for Structures FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	0.10
24	20.00	Cu Yd , Concrete Pavement FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____	1.000	20.00
24V	12.00	Cu Yd , Concrete Valley Gutter FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____	1.000	12.00
26	3,500.00	Lin Ft , Concrete Curb FOR <u>FORTY SIX DOLLARS NO CENTS</u> _____ _____ _____	46.000	161,000.00
26CG	50.00	Lin Ft , Combination Curb & Gutter FOR <u>FIFTY DOLLARS NO CENTS</u> _____ _____ _____	50.000	2,500.00
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words			Brought Forward	
					Unit Bid Price	Amount Bid
27	8,000.00	Sq Ft	, Concrete Sidewalk			
		FOR	<u>FIVE DOLLARS NO CENTS</u>		5.000	40,000.00
				PER Sq Ft		
27DW	1,000.00	Sq Ft	, Detectable Warning Surface			
		FOR	<u>ZERO DOLLARS ONE CENT</u>		0.010	10.00
				PER Sq Ft		
28	350.00	Sq Ft	, Concrete Driveway & Aprons			
		FOR	<u>TEN DOLLARS NO CENTS</u>		10.000	3,500.00
				PER Sq Ft		
29	200.00	Sq ft	, Driveway Restoration			
		FOR	<u>ZERO DOLLARS ONE CENT</u>		0.010	2.00
				PER Sq ft		
30	50.00	Sq Yd	, Metal Reinforcement for Conc. Pvmnt			
		FOR	<u>ONE DOLLAR NO CENTS</u>		1.000	50.00
				PER Sq Yd		
					Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
31	500.00	Lin Ft , Transverse Joint Supports FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	5.00
32A	200.00	Each , Longitudinal Joint Ties Pavement FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	2.00
32X	200.00	Each , Joint Ties Grout Type FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	2.00
32X-1	200.00	Each , Load Transfer Device for Conc Pymnt Repairs FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	2.00
33	1,000.00	Lb , Bar Reinforcement for Structures FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	10.00
Carry Forward				

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
34	23,500.00	Lb , Misc Metals FOR ONE DOLLAR NO CENTS	1.000	23,500.00
36CX	220.00	Ton , Asphalt Truing & Leveling Type 1A For Cracks in Asphalt Pvmnt FOR ZERO DOLLARS ONE CENT	0.010	2.20
36DRAR	35,000.00	Tons , Rut Avoidance Asphalt Type 1A (Top RA Resurfacing) FOR ONE HUNDRED THIRTY DOLLARS FIFTY CENTS	130.500	4,567,500.00
58A	100.00	Lin Ft , Sawcutting Existing Non Roadway Asphalt FOR ZERO DOLLARS ONE CENT	0.010	1.00
58RPC	500.00	Lin Ft , Sawcutting Existing Roadway Pavement & Concrete FOR ZERO DOLLARS ONE CENT	0.010	5.00
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words		Brought Forward	
				Unit Bid Price	Amount Bid
102	1.00	Lump Sum , Work Zone Traffic Control FOR TWENTY FIVE THOUSAND DOLLARS NO CENTS		25,000.000	25,000.00
102D	400.00	Day , Flashing Arrow Board FOR THIRTY DOLLARS NO CENTS	PER Lump Sum		
102PVMS	500.00	Day , Portable Variable Message Sign FOR FIFTY DOLLARS NO CENTS	PER Day	30.000	12,000.00
111	5,000.00	Sq Yd , Removal & Replacement of Pavement FOR ONE DOLLAR NO CENTS	PER Day	50.000	25,000.00
112	50.00	Each , Adjusting Manholes FOR TWO HUNDRED DOLLARS NO CENTS	PER Sq Yd	1.000	5,000.00
			PER Each	200.000	10,000.00
				Carry Forward	



Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
114	300.00	Each , Adjustment of Water Valve Box Elevation FOR SEVENTY FIVE DOLLARS NO CENTS	75.000	22,500.00
115	2,500.00	LIn Ft , Butt Joints FOR TWENTY DOLLARS NO CENTS	20.000	50,000.00
116A	400,000.00	Sq Yd , Profiling & Removal of Asphalt Pavement FOR FIVE DOLLARS TWENTY CENTS	5.200	2,080,000.00
116C	100.00	Sq Yd , Profiling & Removal of Concrete Pavement FOR ZERO DOLLARS ONE CENT	0.010	1.00
129	10.00	Cu Yds , Cement Concrete Pavement Repairs FOR ONE THOUSAND FIVE HUNDRED DOLLARS NO CENTS	1,500.000	15,000.00
Carry Forward				

Item Number	Estimate of Quantities	Items with unit bid price written in words			Brought Forward	
					Unit Bid Price	Amount Bid
132	100.00	Each	, Plowable Raised ReflectORIZED Pavement Markers			
		FOR SIXTY FIVE DOLLARS NO CENTS			65.000	6,500.00
133A	500.00	Lin Ft	, Cleaning & Resealing of Longitudinal Joints in PCC Pavement			
		FOR ZERO DOLLARS ONE CENT			0.010	5.00
133B	100.00	Lin Ft	, Sealing of Transverse Joints in Cement Concrete Pavement			
		FOR ZERO DOLLARS ONE CENT			0.010	1.00
133X	1.00	Lump Sum	, Clean & Fill Joints and Cracks			
		FOR TWENTY FIVE THOUSAND DOLLARS NO CENTS			25,000.000	25,000.00
136S	25.00	Day	, Survey			
		FOR THREE THOUSAND DOLLARS NO CENTS			3,000.000	75,000.00
					Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
137	1,000.00	Lin Ft FOR ZERO DOLLARS ONE CENT _____ _____ _____	0.010	10.00
138	1,000.00	Sq Yd FOR TEN DOLLARS NO CENTS _____ _____ _____	10.000	10,000.00
141B	200.00	Each FOR ZERO DOLLARS ONE CENT _____ _____ _____	0.010	2.00
141C	200.00	Each FOR ZERO DOLLARS ONE CENT _____ _____ _____	0.010	2.00
199	1.00	Lump Sum FOR TWO HUNDRED THOUSAND DOLLARS NO CENTS _____ _____ _____	200,000.000	200,000.00
		PER Lin Ft		
		PER Sq Yd		
		PER Each		
		PER Each		
		PER Lump Sum		
		Carry Forward		

Item Number	Estimate of Quantities	Items with unit bid price written in words		Brought Forward	
				Unit Bid Price	Amount Bid
199A	1.00	Lump Sum , Asphalt Price Adjustment (Force) FOR <u>TEN THOUSAND DOLLARS NO CENTS</u>		10,000.000	10,000.00
368	1,000.00	Sq Yd , Topsoil & Grass Seed FOR <u>ZERO DOLLARS ONE CENT</u>	PER Lump Sum	0.010	10.00
372A	5.00	Each , Tree Removal A ( < 6" Caliper) FOR <u>ZERO DOLLARS ONE CENT</u>	PER Sq Yd	0.010	0.05
372B	5.00	Each , Tree Removal B (6" - < 12" Caliper) FOR <u>ZERO DOLLARS ONE CENT</u>	PER Each	0.010	0.05
372C	5.00	Each , Tree Removal C (12" - < 24" Caliper) FOR <u>ZERO DOLLARS ONE CENT</u>	PER Each	0.010	0.05
				Carry Forward	

Item Number	Estimate of Quantities	items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
372D	5.00	Each , Tree removal D (24" - < 36' Caliper) FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	0.05
372E	2.00	Each , Tree Removal E (36" - < 48" Caliper) FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	0.02
373A	5.00	Each , Stump Removal 4" - < 6" Caliper FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	0.05
373B	5.00	Each , Stump Removal B 6" - < 12" caliper FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	0.05
373C	5.00	Each , Stump Removal C 12" - < 24" Caliper FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	0.05
Carry Forward				

CPIN			Page 13	Date	Cont H61587-PR4	Brought Forward	
Item Number	Estimate of Quantities	Items with unit bid price written in words				Unit Bid Price	Amount Bid
373D	5.00	Each	, Stump Removal D 24" - < 36" Caliper			0.010	0.05
		FOR <u>ZERO DOLLARS ONE CENT</u>					
		PER Each					
373E	5.00	Each	, Stump Removal E 36" - < 48" Caliper			0.010	0.05
		FOR <u>ZERO DOLLARS ONE CENT</u>					
		PER Each					
374A	5.00	Each	, Stump Grinding A 4" - < 6" Caliper			0.010	0.05
		FOR <u>ZERO DOLLARS ONE CENT</u>					
		PER Each					
374B	5.00	Each	, Stump Grinding B 6" - < 12" caliper			0.010	0.05
		FOR <u>ZERO DOLLARS ONE CENT</u>					
		PER Each					
374C	5.00	Each	, Stump Grinding C 12" - < 24" Caliper			0.010	0.05
		FOR <u>ZERO DOLLARS ONE CENT</u>					
		PER Each					
Carry Forward							

CPIN	Page 14	Date	Cont H61587-PR4	Brought Forward	
Item Number	Estimate of Quantities	Items with unit bid price written in words		Unit Bid Price	Amount Bid
374D	2.00	Each	, Stump Grinding D 24" - < 36" Caliper	0.010	0.02
		FOR	ZERO DOLLARS ONE CENT		
		</			

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
422SHE	5.00	Each , Adjust Traffic Magnetic Vehicle Detector FOR FOUR HUNDRED DOLLARS NO CENTS	400.000	2,000.00
685.07200110	250,000.00	Lin. Feet , White Epoxy ReflectORIZED Pavement Stripes - 20 Mils (Wet Night Visibility) FOR ZERO DOLLARS SIXTY FIVE CENTS	0.650	162,500.00
685.07200210	275.00	Each , White Epoxy ReflectORIZED Pavement Letters - 20 Mils (Wet Night Visibility) FOR ONE HUNDRED TEN DOLLARS NO CENTS	110.000	30,250.00
685.07200310	350.00	Each , White Epoxy ReflectORIZED Pavement Symbols - 20 Mils (Wet Night Visibility) FOR ONE HUNDRED THIRTY FIVE DOLLARS NO CENTS	135.000	47,250.00
685.0720410	60,000.00	Lin. Feet , White Epoxy ReflectORIZED Pavement Stripes (Cross Hatching) - 20 Mils (Wet Night Visibility) FOR ONE DOLLAR FIFTY CENTS	1.500	90,000.00
Carry Forward				



Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
685.0720610	250,000.00	Lin. Feet , Yellow Epoxy ReflectORIZED Pavement Stripes - 20 Mils (Wet Night Visibility) FOR <u>ZERO DOLLARS SIXTY FIVE CENTS</u>	0.650	162,500.00
685.0720710	15,000.00	Lin. Feet , Yellow Epoxy ReflectORIZED Pavement Stripes (Cross Hatching) - 20 Mils ( ) FOR <u>ONE DOLLAR FORTY CENTS</u>	1.400	21,000.00
744	1.00	LS , Force Account Work FOR <u>TWO HUNDRED THOUSAND DOLLARS NO CENTS</u>	200,000.000	200,000.00
		PLEASE BE SURE A BID IS ENTERED FOR EACH ITEM EXCEPT AS DIRECTED FOR OPTIONAL ITEMS		
		TOTAL OR GROSS SUM WRITTEN IN WORDS <u>EIGHT MILLION EIGHT HUNDRED EIGHTY EIGHT THOUSAND SEVEN HUNDRED SEVENTY SEVEN DOLLARS NO CENTS</u>	\$	\$8,888,777.00

LAURA CURRAN  
NASSAU COUNTY EXECUTIVE



KENNETH G. ARNOLD, P.E.  
COMMISSIONER

COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
1194 PROSPECT AVENUE  
WESTBURY, NEW YORK 11590-2723

**VENDOR PORTAL ACKNOWLEDGMENT**

Vendor Name: Pratt Brothers, Inc.  
Contract Title: Priority Resurfacing of Various Road - Phase 4  
Contract Number: H61587-P4

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at [www.nassaucountyny.gov](http://www.nassaucountyny.gov) by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.

Signature

September 27, 2019  
Date

Joseph M. Williams, Jr., P.E., Sr. Vice President  
Print Name

If you attempted to register via the Portal but were unable to do so, please explain here:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

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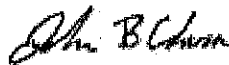
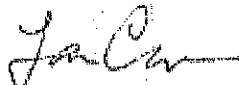
**LAURA CURRAN**  
NASSAU COUNTY EXECUTIVE

# NASSAU COUNTY



## Vendor Code of Ethics



<b>POLICY/PROCEDURE TITLE:</b> Nassau County Vendor Code of Ethics	<b>DATE ISSUED:</b> June 5 <sup>th</sup> , 2019
<b>DEPARTMENT ISSUING:</b> Executive – Compliance	<b>AUTHORIZED and SIGNED BY:</b>   Deputy County Executive For Compliance   County Executive

**POLICY:**

The Office of the Nassau County Executive recognizes the importance of the vendor community in helping the County provide necessary services for the residents of Nassau County. It is the policy of the County Executive to ensure that all vendors doing business with Nassau County operate under the highest standards of legal and ethical conduct.

**PURPOSE:**

To set forth a Code of Conduct for vendors to ensure that Nassau County Vendors are conducting their business with integrity, ethics, and compliance with all applicable laws and regulations.

**SCOPE:**

All vendors doing business or seeking to do business with Nassau County as specified in the Code.



# Vendor Code of Ethics

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## Vendor Code of Ethics

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## Vendor Code of Ethics

### Chapter 1: DEFINITIONS

As used in this Code, the following terms have the following meanings:

**Adverse Job-Related Action** includes any material alteration to existing terms, conditions, and privileges of employment, such as dismissal, demotion, suspension, compulsory leave, disciplinary action, creation of a hostile work environment, negative performance evaluation, any action resulting in loss of staff, office space or equipment or other benefit, reduction in compensation, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected employee.

**Contact** means any oral or written communication with any Nassau County Employee, other than the Designated Point(s) of Contact, where it could be reasonably inferred that such contact was intended to influence, or could reasonably be expected to influence, the subject of a County procurement.

**Designated Point(s) of Contact** means the individual(s) designated by the County to be a Vendor's only contact with Nassau County following the public advertisement of a solicitation or the issuance of a request for a bid, proposal, or quote for small purchase, until the award of a resulting contract and, where applicable, approval by the County Legislature. This timeframe, further defined in the State Procurement Lobbying Law, is also known as the Restricted Period.

**Nassau County Employee** means any officer, official or employee of Nassau County.

**Family Member** means (i) a Nassau County Employee's Spouse, Domestic Partner, Child, Sibling or Parent; (ii) a person who is a direct descendant (or the spouse of a direct descendant) of a Sibling of the Nassau County Employee or a Sibling of the Nassau County Employee's Spouse or Domestic Partner; or (iii) a person living in the same household as a Nassau County Employee.

**Gift** means the transfer, without equivalent consideration, of anything of benefit, tangible or intangible, having more than nominal value, including, but not limited to, cash, loans, forbearance,





## Vendor Code of Ethics

services, travel, gratuities of any kind, favors, money, meals, refreshments, entertainment, hospitality, admittance to private clubs, use of time-shares, personal use of the Vendor's facilities, promises, tickets to entertainment or sporting events, weekend trips, golf outings, loans of equipment, or other thing or benefit. A Gift need not be intended to influence or reward any individual or entity.

**Nassau County Code of Ethics** means Nassau County Charter Section 2218, and the rules and regulations promulgated thereunder as may be amended or modified.

**Participating Employee** means any Vendor employee who engages in any written or oral communication of a non-clerical or non-administrative nature with Nassau County or with a Nassau County Employee(s) as part of or in connection with the procurement.

**Participating Nassau County Employee** means any Nassau County Employee who the Vendor knows, has reason to know, or can reasonably anticipate is involved in a specific procurement, in either a direct or decision-making capacity, but not in a clerical capacity. This includes but is not limited to the Designated Point of Contact, the project manager, the project manager's staff to the extent that they are involved in the procurement, members of selection committees, technical experts and negotiating teams.

**Primary Contracting Party** means a Vendor who intends to directly enter into or has a contract with Nassau County.

**Retaliatory Action** is defined as any Adverse Job-Related Action taken by, or at the direction or request of, a Vendor or a Vendors' Employees as a result of any individual's (i) good-faith report with respect to a violation or potential violation of this Code or the law; or (ii) cooperation in any investigation of unlawful conduct or misconduct conducted by Nassau County or by federal, state, or local law enforcement officials.

**State Procurement Lobbying Law** means New York State Finance Law Sections 139-j and 139-k, and the rules and regulations promulgated thereunder as may be amended or modified.

**Vendor** means any individual or entity seeking to or doing business



## Vendor Code of Ethics

with Nassau County within the scope of this Code, including, without limitation, contractors, consultants, suppliers, manufacturers seeking to act as the primary contracting party, officers and employees of the foregoing, as well as any subcontractors, subconsultants and suppliers at all lower tiers.

### Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

#### Section 2.01 Designated Point(s) of Contact

Each procurement solicitation issued by Nassau County will identify the Designated Point(s) of Contact for that solicitation as required by the State Procurement Lobbying Law. Once the Designated Point(s) of Contact is/are established, the Vendor and any person or entity acting on the Vendor's behalf, including without limitation, those providing compensated or uncompensated lobbying, advocacy, consulting or other services should ensure that its contacts with Nassau County are in compliance with the requirements of the State Procurement Lobbying Law.

### Chapter 3: GIFTS OR CONTINGENT FEES

#### Section 3.01 Zero Tolerance

No Vendor may offer or give any Gift, directly or indirectly, to a Nassau County Employee. Similarly, no Vendor may offer or give any Gift, directly or indirectly, to any Family Member of a Nassau County employee where such Gift is made because of the Vendor's relationship with the Nassau County Employee. Additionally, no Vendor may accept a gift from a Nassau County Employee.

This Zero-Tolerance Policy applies regardless of actual intentions. In other words, even if a Gift does not, or is not intended to, influence an action or decision by a Nassau County Employee, it is prohibited by this Code.

#### Section 3.02 Personal Relationships

Notwithstanding the foregoing, if a Vendor has a pre-existing family or personal relationship with the Employee, a Gift that is wholly unconnected with the Employee's duties on behalf of Nassau County is



## Vendor Code of Ethics

not necessarily prohibited.

In determining whether the giving of an item was motivated by personal rather than business concerns, the following factors are considered:

- (a) the history of the relationship between the donor and the recipient, including but not limited to the mutuality of gift giving;
- (b) whether the item was paid for by the donor.

The giving of an item shall not be considered to be motivated by a family or personal relationship if the donor seeks to charge or deduct the value of the item as a business expense or seeks reimbursement from a client.

However, regardless of the family or personal relationship between a Vendor and an employee, a Gift is strictly forbidden where it is being given under circumstances where it can reasonably be inferred that it was intended to influence the employee in the performance of his or her official duties.

### Section 3.03 Contingent Fees

The Vendor will not employ or retain any individual or entity for the purpose of soliciting or securing a Nassau County contract upon any agreement or understanding for a commission, percentage, brokerage, or fee that is contingent or dependent upon the outcome of the procurement.

## Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

### Section 4.01 Restrictions During the Procurement Process

Vendors shall not discuss future employment with Participating Nassau County Employees or their Family Members from the date the procurement is advertised or solicited through 30 days following the date that the procurement is awarded, even if a Participating Nassau County Employee contacts the Vendor regarding employment. Questions regarding whether a particular Nassau County employee is a Participating Nassau County Employee for a specific



## Vendor Code of Ethics

procurement should be directed to the Designated Point of Contact for the procurement.

### Section 4.02 Restrictions Post Award

Vendors are prohibited from offering or discussing an employment opportunity with a Nassau County Employee or his or her Family Members before whom the Vendor has or expects to have a pending specific matter including, but not limited to, negotiations, performance evaluation, task order selection, approval of a voucher or invoice, or approval of or agreement to a contract amendment, change order, or deviation or waiver until:

- (i) 30 days from the time the matter before the Employee is closed, or
- (ii) 30 days from the time the Employee has no further involvement with the matter because of recusal or reassignment.

## Chapter 5: CONFLICT OF INTEREST

### Section 5.01 Financial Interest

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, as the case may be, may have a 10% or greater interest, nor shall the Vendor, nor any director, officer, principal, owner, or partner thereof, acquire a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract.

The Vendor will not permit an employee having a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract to be employed in the performance of the Nassau County contract.

### Section 5.02 Personal Business Dealings

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, may have a non-County business dealing with a



## Vendor Code of Ethics

Participating Nassau County Employee where it can be reasonably inferred that the purpose of the business dealing, at least in part, is to influence the Participating Nassau County Employee's action on a pending County matter.

### Section 5.03 Disclosure and Cooperation

The Vendor shall disclose immediately to the County any real or potential conflict of interest of which it becomes aware. This obligation is ongoing and shall last through the completion of performance of the contract. The Vendor shall provide to Nassau County, at the County's request and upon such forms as may be furnished by Nassau County, a disclosure of organizational, financial, contractual or other affiliations with any organization or entity that has interests that may be substantially affected by the procurement solicitation or award. The Vendor shall fully cooperate in any inquiry or investigation undertaken by Nassau County to determine whether any such affiliations present a conflict of interest, or whether any other provision of this Code has been violated. The Vendor shall fully cooperate with audits, investigations, examinations and reviews by the Nassau County Inspector General conducted pursuant to section 187 of the Nassau County Charter.

### Section 5.04 Confidential Information

At no time shall any Vendor who obtains confidential or proprietary Nassau County information in the course of doing or seeking to do business with the County disclose any such information to any person not authorized by Nassau County to receive such information or use such information for any personal gain except as necessary to fulfill its contractual obligations to Nassau County.

If the Vendor receives from any source confidential or proprietary Nassau County information prior to the award of a resulting contract and, where applicable, approval by the County Legislature, without the explicit approval of the Designated Point of Contact, the Vendor shall immediately so notify the Designated Point of Contact.

Nassau County confidential or proprietary information includes, but is not limited to, internal cost estimates and proposals submitted by other Vendors.



## Vendor Code of Ethics

### Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

No Vendor who participates in the development of a scope of work, solicitation documents, assessment criteria, contractual instruments or technical specifications may participate as a bidder, sub-bidder, proposer or sub-proposer on that particular procurement or perform any work on that particular procurement or any other procurement that would constitute an organizational conflict of interest or would give that Vendor an unfair advantage over other bidders or proposers on that procurement. This prohibition may be waived in writing by the County Chief Procurement Officer upon a showing of good cause.

## Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

### Section 6.01 Appearance Before Former Agency-Two Year Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear or practice before any Nassau County agency, either prior to award or in the performance of a Nassau County contract, for a period of two years after termination of the Nassau County Employee's services with the County.

### Section 6.02 Appearance Before Former Agency-Life Time Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear, practice, communicate or otherwise render services before the agency that employed the officer or employee or any other agency of Nassau County, either prior to award or in the performance of an agency's contract in relation to any case, proceeding, application or transaction with respect to which such former officer or employee was directly concerned and in which he or she personally participated, or which was under his or her active consideration during the period of his or her employment. This provision is a lifetime bar on projects that the former Nassau County Employee previously worked on while employed by the County.



## Vendor Code of Ethics

### Chapter 7: NON-COLLUSION

#### Section 7.01 Independent Bid Assessment

The Vendor will calculate the price(s) contained in any bid or proposal independently, without collusion, consultation, communication, or agreement with any competing Vendor for the purpose of restricting competition.

#### Section 7.02 Non-Communication of Bid

Unless otherwise required by law, the price(s) which the Vendor quotes in its bid or proposal will not knowingly be disclosed by the Vendor, directly or indirectly, to any competing Vendor prior to the closing date for bids or proposals.

#### Section 7.03 Bid Submission

The Vendor will not make any attempt to induce any other individual or entity to submit or not to submit a bid or proposal.

### Chapter 8: DISTRIBUTION AND CERTIFICATION

#### Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

As a condition of being considered for the award of any contract above the County's small purchase threshold of \$10,000, the Vendor will be required to distribute copies of the Nassau County Vendor Code of Ethics to all Participating Employees prior to any of those employee's participation in the procurement. The Code may be distributed either in hard copy or electronically as a separate PDF.

Additionally, as a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will be required to obtain an acknowledgement from each of its Participating Employees ("Participating Employee Acknowledgements") that they have received, read, understand, and will comply with the Nassau County Vendor Code of Ethics.



## Vendor Code of Ethics

The Vendor's responsibility for distributing copies of the Nassau County Vendor Code of Ethics and obtaining such signed Participating Employee Acknowledgements is ongoing until completion of performance of the contract and shall be retained for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Receipt and retention of Participating Employee Acknowledgments by the Vendor shall be subject to audit by Nassau County.

### Section 8.02 Vendor Certifications

The vendor by signing the final contract thereby certifies and attests to the following:

- (a) The Vendor has been provided with a copy of the Nassau County Vendor Code of Ethics and will comply with all of the provisions of the Code;
- (b) All of its Participating Employees during the course of procurement or contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to any of those employees' participation in the procurement;
- (c) All Participating Employees have completed the acknowledgement required by Section 8.01 of this Code;
- (d) The Vendor will retain all of the signed Participating Employee Acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County;
- (e) The Vendor will continue to distribute the Nassau County Vendor Code of Ethics, obtain signed Participating Employee Acknowledgements as new Participating Employees are added or changed during the contract period, and retain all of the signed acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.





## Vendor Code of Ethics

### Section 8.03 Subcontractor Certifications

As a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will obtain certifications executed by authorized officials from all of its lower tier subcontractors, subconsultants and suppliers (as well as from any other subcontractors, subconsultants and suppliers from whom that Vendor is soliciting or has received proposals for work on a Nassau County contract) whose employees have communicated or may communicate with Nassau County Employees. This obligation is ongoing and shall last through the completion of performance of the contract. Receipt and retention of lower tier certifications by the Vendor shall be subject to audit by Nassau County.



## Vendor Code of Ethics

### Chapter 9: PENALTIES

#### Section 9.01 Responsibility Determination

For violation of any provision of the Nassau County Vendor Code of Ethics, Nassau County may avail itself of every remedy in law or equity, or as agreed to by parties in any contract, including but not limited to declaring the Vendor non-responsible or in material breach of the contract.

#### Section 9.02 Civil/Criminal Penalties

Additionally, violation of the Nassau County Vendor Code of Ethics or a provision thereof may subject the Vendor to criminal or civil penalties under State or Federal law.

### Chapter 10: REPORTING OBLIGATION

#### Section 10.01 Reporting Gift Requests

Notwithstanding the provisions of Chapter 4 above, the Vendor is obligated to immediately report to Nassau County's Inspector General and the County Chief Procurement Officer, any and all requests made to the Vendor by any Nassau County Employee for a Gift.

#### Section 10.02 Reporting Material Changes

The Vendor is under a continuing obligation to report any change in circumstances that materially affects any prior report to Nassau County to Department of Chief Contracting Officer, including but not limited to disclosure of conflicts of interest and representations made in the Contractor Responsibility Form.

#### Section 10.03 Reporting Violations and Overpayments

The Vendor is obligated to timely report in writing to Nassau County's Inspector General, in connection with the award, performance or closeout of the Nassau County contract or subcontract, any credible evidence of significant overpayments on the contract or that a principal, employee, agent or subcontractor has committed a



## Vendor Code of Ethics

violation of law involving fraud, conflict of interest, bribery or gratuities.

### Chapter 11: PROHIBITION ON RETALIATION

#### Section 11.01 Prohibition

To facilitate the reporting obligations under Chapter 10, this code strictly forbids all Vendors and Vendors' Employees from taking any Retaliatory Action against individuals who make such reports.



## Vendor Code of Ethics

### CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.: H61587-PR4

Project Description: Priority Resurfacing of Various Roads - Phase 4

The prospective lower tier participant \_\_\_\_\_ (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to Pratt Brothers, Inc. [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this 27th day of September, 2019.

By

Signature of Authorized Official

Joseph M. Williams, Jr, P.E., Sr. Vice President

Name and Title of Authorized Official



## Vendor Code of Ethics

### PARTICIPATING EMPLOYEE ACKNOWLEDGEMENT REGARDING NASSAU COUNTY VENDOR CODE OF ETHICS

Company: Pratt Brothers, Inc.

Bid/Proposal No.: H61587-PR4

I, Joseph M. Williams, Jr., P.E., acknowledge that I have received and read the Nassau County Vendor Code of Ethics on September 27, 2019 and that I understand it and will comply with this Code in my participation in procurements between Pratt Brothers, Inc. (Vendor name) and Nassau County.

Executed this 27th day of September, 20 19.

By  Signature of Employee

Joseph M. Williams, Jr., P.E., Sr. Vice President Name and Title of Employee

CONTRACTOR CERTIFICATION STATEMENT REGARDING  
STORM WATER POLLUTION AT THE WORK SITE

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

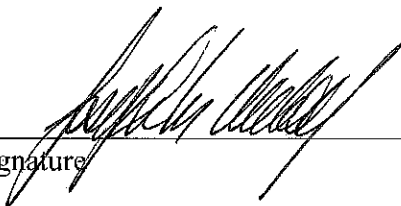
CONTRACTOR'S NAME: Pratt Brothers, Inc.

TELEPHONE NUMBER: 631-667-6800

WORK SITE OR FACILITY NAME: H61587-PR

WORK SITE OR FACILITY ADDRESS OR  
OTHER IDENTIFYING DESCRIPTION: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

  
Signature

9/27/19

Date

Joseph M. Williams, Jr, P.E., Sr. Vice President  
Print Name and Title of Signer

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# NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

## WICKS EXEMPT LIST OF SUBCONTRACTORS

CONTRACT NO.

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

Contractor's Name and Address	Project Description (Project Title, Facility Name and Address):	Bid Date:	Total Contract Amt:
Federal ID No.:			

Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):

☐ Plumbing and Gas Fitting  
☐ Steam Heating, Hot Water Heating, Ventilating and AC Apparatus  
☐ Electric Wiring and Standard Illuminating Fixtures

If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box [ ] skip to bottom of form, and sign it as required.

Subcontractor's Name, Address and Federal ID No.	Check (✓) only one.			General Description of Work	Subcontractor's Contract Amt.
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.

Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.

Company Authorized Signature: \_\_\_\_\_ Title: \_\_\_\_\_ Date: \_\_\_\_\_



**NO TEXT ON THIS PAGE**

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
Lower Tier Covered Transactions  
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Joseph M. Williams, Jr, P.E., Sr. Vice President

Name and Title of Authorized Representative

m/d/yy

Signature

9/27/2019

Date

Pratt Brothers, Inc.

Name of Organization

45 South Fourth Street, Bay Shore, NY 11706

Address of Organization

U.S. GPO: 1989 OJP FORM 4061/1 (REV. 2/89) Previous editions are obsolete

### Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

## MacBride Fair Employment Principles

### NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

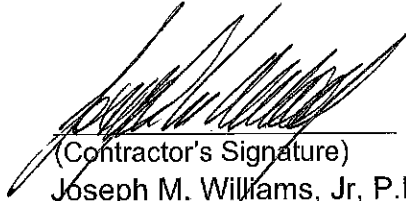
(1) have business operations in Northern Ireland,

Yes \_\_\_ No X

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes \_\_\_ No \_\_\_



(Contractor's Signature)

Joseph M. Williams, Jr, P.E.,  
Sr. Vice President

Pratt Brothers, Inc.

(Name of Business)

**NO TEXT ON THIS PAGE**

### IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law § 165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

X      **a. Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,

\_\_\_\_ **b. Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.

  
Signature/Date

9/27/2019      Joseph M. Williams, Jr, P.E., Sr. Vice President  
Print Name and Position

**NO TEXT ON THIS PAGE**

PROPOSAL: For all work in accordance with the drawings and specifications:

Pratt Brothers, Inc.

(Individual, Firm or Corporation, as case may be)

Individual's Social Security Number \_\_\_\_\_

Firm or Corporation's Federal ID Number 16-1620294

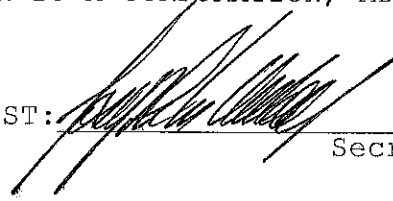
Firm or Corporation's Municipal License ID Number \_\_\_\_\_

Municipal Licensing Agency \_\_\_\_\_

By:  Date: 9/27/2019

(Print) Joseph M. Williams, Jr, P.E. Title: Sr. Vice President

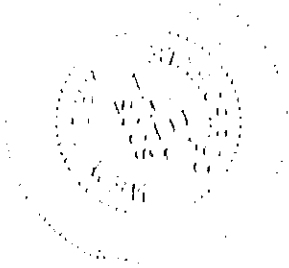
WHERE BIDDER IS A CORPORATION, ADD:

ATTEST:  Secretary

(CORPORATE)  
(SEAL)



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## QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 17 years
2. How many years experience in the construction work of a similar type as this contract has your firm had;
  - a. as a Prime Contractor 17 years
  - b. as a Subcontractor 17 years
3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
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SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

5. Have you:
  - a. ever failed to complete any work awarded to You? No  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.
  - b. ever been defaulted on a contract? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

**NO TEXT ON THIS PAGE**

c. ever been declared a non-responsible bidder by any municipality or public agency? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

**NO TEXT ON THIS PAGE**

d. that has ever been barred from bidding municipal or public contracts? No

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

Manufacturer of asphalt aggregate or asphalt pavement materials.

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11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	---	---------------------	---------------------

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

N/A

(use additional blank sheets if additional space is necessary)



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14. In what manner have you inspected this proposed work?  
Explain in detail.

Complete site inspection and plan take off of all quantities  
and materials.

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

As per plans and specifications

16. If a contract is awarded or a permit is issued, to your firm, who  
will have the personal supervision of the work? Attach resume.

James J. Pratt, III

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

SEE ATTACHED QUALIFICATION STATEMENT

**NO TEXT ON THIS PAGE**

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

**NO TEXT ON THIS PAGE**

NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

Joseph M. Williams, Jr., P.E. Being duly sworn, deposes and says:  
That he resides at [REDACTED] Street,  
in the City of [REDACTED] that he is the Sr. Vice President of  
Pratt Brothers, Inc.  
the corporation described in and which executed the foregoing  
instrument; that he knows the seal of said corporation; that the seal  
affixed to the said instrument is such corporate seal and was affixed  
by order of the Board of Directors of said corporation; that he signed  
his name thereto by like order; and that he has knowledge of the  
several matters therein stated and they are in all respects true.  
Subscribed and sworn to before me  
this 27th day of September, 2019.

Carol A. Miller  
Notary

CAROL A. MILLER  
Notary Public, State of New York  
No. 5002326  
Qualified in Suffolk County  
Commission Expires Sept. 28, 2022

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says:  
That he is a member of  
the firm described in and which executed the foregoing bid; that he  
duly subscribed the name of the firm hereunto on behalf of the firm;  
and that the several matters therein stated are in all respects true.  
Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary

(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says:  
That he is the person described in and who executed the foregoing bid  
and that the several matters therein stated are in all respects true.  
Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary

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THE FOLLOWING INFORMATION IS FOR YOUR INFORMATION

DATE: 10/10/2017 10:10 AM  
PAGE: 1

THE FOLLOWING INFORMATION IS FOR YOUR INFORMATION

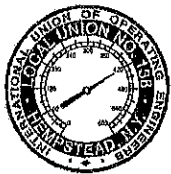
DATE: 10/10/2017 10:10 AM  
PAGE: 1

DATE: 10/10/2017 10:10 AM

# NOTICE OF AWARD



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SCHOOL (631) 286-8677

FAX (631) 286-8683

APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL

**INTERNATIONAL UNION OF OPERATING ENGINEERS**

LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

247-C

UNION - P.O. BOX 206, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

Re: Local 138 Apprenticeship School (the "Apprenticeship School")  
NYS Sponsor # 00422  
NYS ATP Code # 18318  
Pratt Brothers, Inc.

To Whom It May Concern,

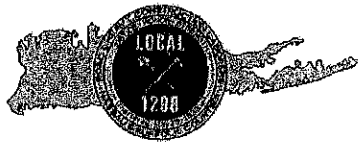
This letter serves to confirm that the **Apprenticeship School** currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to Pratt Brothers, Inc., to the Local 138 Agreement Covering Working Conditions, dated June 1, 2019 to May 31, 2023. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY  
BUSINESS MANAGER & TREASURER  
CHAIRMAN BOARD OF TRUSTEES  
LOCAL 138 APPRENTICESHIP TRAINING FUND

# LABORERS LOCAL UNION NO. 1298 JOINT APPRENTICESHIP TRAINING FUND



NASSAU & SUFFOLK COUNTIES

1161 LOCUST AVENUE  
BOHEMIA, N.Y. 11716  
Telephone: (631) 218-1376  
Fax: (631) 218-1379

® 1298 154

**FUND ADMINISTRATOR**  
ANNALISA C. DEFALCO ESQ.

**TRAINING DIRECTOR**  
STEVEN M. AURIGEMA

**BOARD OF TRUSTEES**  
GEORGE F. TRUICKO JR.  
GEORGE S. TRUICKO  
FRANK DAMIANO JR.  
JAMES WINSHIP  
FRANK ALBERTO  
GREGORY W. COUCH  
JASON GOLDEN  
MARC HERBST

Re: Road and Heavy Construction Laborers Local #1298  
Local 1298 Joint Apprenticeship Training Program  
NYS SPONSOR CODE # 01764  
NYS ATP CODE # 18514

TO WHOM IT MAY CONCERN;

This letter will serve to confirm that Local 1298 Joint Apprenticeship Training Program currently conducts a fully registered and approved New York State "Apprenticeship Training Program for Skilled Construction Craft Laborers" ("ATP"). The ATP is co-sponsored by Local 1298 and all employers/signatory contractors, including but not limited to Pratt Brothers Contracting Inc., to the Local 1298 Working Agreement Covering Wages and Working Conditions, dated June 1, 2012 to May 31, 2022.

Any additional questions should be directed to my attention.

Fraternally yours,

Steven M. Aurigema  
Training Director  
Joint Apprenticeship Training Fund



**PRATT BROTHERS, INC.**  
**45 SOUTH FOURTH STREET**  
**BAY SHORE, NY 11706**  
**(631) 667-6800**

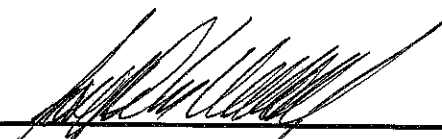
# **QUALIFICATION STATEMENT**

**PRATT BROTHERS, INC.**

**AS OF**

**DECEMBER 31, 2018**

**PRATT BROTHERS, INC.**

  
\_\_\_\_\_  
**JOSEPH M. WILLIAMS, JR., P.E., SR. VICE PRESIDENT**

## **QUALIFICATION STATEMENT**

1. How many years has your firm been in the business under your present business name?

17

2. How many years experience in the construction work of a similar type as this contract, has your firm had?

- a) as a Prime Contractor 17  
b) as a Subcontractor 17

3. List below the construction projects your firm has under way as of this date.

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

***Please See Enclosure 1***

4. List the projects your firm as a firm has performed in the past few years which you feel will qualify you for this work.

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

***Please See Enclosure 2***

5. Have you:

- a) ever failed to complete any work awarded to you?

No

- b) ever been defaulted on a contract?

No

c) ever declared a non-responsible bidder by any municipality or public agency?

No

d) ever been barred from bidding municipal or public contracts?

No

6. Has any officer or partner or principal of your firm ever been an officer or partner or principal of some other firm:

a) that failed to complete a construction contract?

No

b) that has ever been defaulted on a contract?

No

c) that has ever been declared a non-responsible bidder by any municipality or public agency?

No

d) that has ever been barred from bidding municipal or public contracts?

No

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in this name?

No

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

Manufacturer of Asphalt Pavement Materials  
Manufacturer of Asphalt Aggregate Materials

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and Type of Work	In What Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

***Please See Enclosure 3***

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected.

Item	Description, Size Capacity, Year, Etc.	Years of Service	Present Location
------	---	---------------------	---------------------

***Please See Enclosure 4***

14. In what manner have you inspected this proposed work? Explain in detail.

Complete Site Inspection  
Complete plan take off of all quantities and materials

15. Explain your plan and lay-out for performing the proposed work.

To be submitted at the pre-construction meeting.

16. If a contract is awarded or a permit is issued to your firm, who will have the personal supervision of the work?

James J. Pratt, III

17. Insurance carried by your firm.

***Please See Enclosure 5***

18. Current financial statement.

***Please See Enclosure 7***

19. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "**Qualifications and Responsibility of Bidders**" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



NOTE: The bids shall be sworn to by the person signing them, in the following form:

(Form of affidavit where Bidder is a corporation)

STATE OF **NEW YORK**     )  
  ) ss.:  
COUNTY OF **SUFFOLK**     )

Joseph M. Williams, Jr., P.E being duly sworn, deposes and says:  
that he resides at [REDACTED] the City of [REDACTED]  
that he is the Sr. Vice President of Pratt Brothers, Inc.  
the corporation described in and which executed the foregoing  
instrument; that he knows the seal of said corporation; that the seal affixed  
to the said instrument is such corporate seal and was affixed by order of  
the Board of Directors of said corporation; that he signed his name  
thereto by like order; and that he has knowledge of the several matters  
therein stated and they are in all respects true.

Subscribed and sworn to before me this 27<sup>th</sup> day of September, 2019

Carol A. Miller  
Notary

CAROL A. MILLER  
Notary Public, State of New York  
No. 5002326  
Qualified in Suffolk County  
Commission Expires Sept. 28, 2020

## ENCLOSURE 1

Current Ongoing Projects

# **CURRENT ONGOING PROJECTS** As of December 31, 2018

JOB NO.	AGENCY/OWNER	CONTRACT NAME/NUMBER	PRIME/ SUB	DESIGN ENGINEER/ ARCHITECT	TOTAL AMOUNT CONTRACT	% UNCOMPLETED SUB	UNCOMPLETED AMOUNT	% INCOMPLETE
17-029	Hofstra University J&A Concrete Jonathan Martins	Zarb School of Business	Sub	Cameron Engineering (516) 827-4900	\$ 1,020,658.00	7%	47,200.00	5%
17-043	AVR Realty Tom Perna (914) 965-3990	AVR Yaphank Const. LIE Service Road Westbound Ramps	Prime	Vollmuth & Brush George Brush (631) 363-2683	\$ 3,782,086.80	24%	45,587.80	3%
17-075	AVR Realty Tom Perna (914) 965-3990	AVR Yaphank Const. LIE Service Road Eastbound Ramps	Prime	Greenman Pedersen Inc. (631) 587-5060	\$ 2,013,283.40	33%	25,596.58	3%
17-109	Nassau County DPW Hemanth George Mathew (516) 571-3741	Resurfacing Requirements Contract H6158702Q	Prime	Nassau County DPW In-House	\$ 6,289,217.34	15%	2,413,902.95	38%
17-111	Suffolk County DPW Paul McMahon	Storm Water Remediation Improvement - Mud Creek 5-17.3.30(CP 8239.310)	Prime	Suffolk County DPW In-House	\$ 359,700.00	18%	15,026.20	4%
17-199	Nassau County DPW Hemanth George Mathew (516) 571-3741	Resurfacing Phase 55 Town of Hempstead H61587-55GR	Prime	Nassau County DPW In-House	\$ 4,348,565.00	25%	611,981.18	14%
17-231	State University of NY Aurora Contractors Barney Reilly	Stony Brook University Innovation & Discovery Center	Sub	Mitchell - Giurgola Architects, LLP	\$ 1,941,645.00	14%	218,605.00	11%
17-241	Village of Valley Stream Robert Fumagalli (516) 592-5105	2017 Road Improvements	Prime	Lucchesi Engineering PC Michael Mark (516) 942-3772	\$ 1,686,334.00	42%	68,831.39	4%
17-375	Nassau County DPW P. Scalamandre & Sons	Hempstead Force Main Village of Hempstead Contract S3P311-09S	Sub	Cameron Engineering (516) 827-4900	\$ 392,085.50	0%	32,463.34	65%
17-405	Suffolk County DPW Paul McMahon	Improvement to CR93 Ocean Ave @ Rosevale CP 5535/5014	Prime	Suffolk County DPW In-House	\$ 1,951,159.00	24%	1,951,159.00	100%
18-018	Marriot Residence Inn Augustewicz Contracting	Residence Inn Garden City, NY Site Work/Paving	Sub	VHB Engineering (631) 813-2545	\$ 258,805.00	0%	142,190.00	55%

# **CURRENT ONGOING PROJECTS** As of December 31, 2018

JOB NO.	AGENCY/OWNER	CONTRACT NAME/NUMBER	PRIME/SUB	DESIGN ENGINEER/ARCHITECT	TOTAL AMOUNT CONTRACT	% UNCOMPLETED SUB	UNCOMPLETED AMOUNT	% INCOMPLETE
18-070	Simply Self Storage Axis Construction Corp.	Simply Self Storage Hauptpauge Site Work	Sub	Barnett Bonacci & Van Weele, PC (631) 435-1111	\$ 592,486.00	20%	107,798.75	18%
18-112	National Grid Shulman Industries Chris Pirraglia	National Grid Bay Shore The Gateway to Brightwaters Sitework/Paving	Sub	National Grid In-House	\$ 751,777.70	10%	334,964.06	45%
18-138	Target Bush Construction John Maloney	Target Copiague Remodel Sitework/Paving	Sub	Gausman & Moore	\$ 229,388.93	30%	34,888.93	15%
18-144	Town of Oyster Bay	Requirements Contract HGR17-162	Prime	Town of Oyster Bay In-House	\$ 1,000,000.00	5%	392,418.29	39%
18-196	AVR Realty Co. Tom Perna (914) 965-3990	Yaphank Woods Blvd Extension Phase 3	Sub	Vollmuth & Brush George Brush (631) 363-2683	\$ 594,179.70	5%	187,449.14	32%
18-208	Suffolk County DPW Paul McMahon	Storm Water Remediation Improvement - Peconic La 5-17.3.30(CP 5072.315)	Prime	Suffolk County DPW In-House	\$ 118,075.00	0%	112,000.00	95%
18-218	Suffolk County DPW Paul McMahon	Storm Water Remediation Improvement - Medford 5-17.3.30(CP 5014.362)	Prime	Suffolk County DPW In-House	\$ 170,158.00	7%	12,567.25	7%
18-302	Village of Garden City Valente Contracting Corp. John Valente	Village of Garden City 2018 Road Improvements	Sub	Village of Garden City In-House	\$ 1,032,483.00	0%	258,235.92	25%
18-328	Riverhead Solar Farm LLC Rosendin Electric Inc. Rich Shaffer	Riverhead Solar Project	Sub	Blymer Engineers 510-521-3773	\$ 2,338,806.00	5%	831,883.25	36%
18-338	Comerstone Hauptpauge LLC	Comerstone at Hauptpauge On-site Paving	Sub	Nelson & Pope (631) 427-5665	\$ 886,000.00	20%	886,000.00	100%
18-354	AVR Yaphank Constr. Co. AVR Realty Co. Tom Perna	AVR Yaphank Common Area 2 Blvd West	Sub	Vollmuth & Brush George Brush (631) 363-2683	\$ 53,940.00	80%	53,940.00	100%

# **CURRENT ONGOING PROJECTS** As of December 31, 2018

JOB NO.	AGENCY/OWNER	CONTRACT NAME/NUMBER	PRIME/SUB	DESIGN ENGINEER/ARCHITECT	TOTAL AMOUNT CONTRACT	% SUB	UNCOMPLETED AMOUNT	% INCOMPLETE
18-358	Village of New Hyde Park	2018 Road Improvements	Prime	D&B Engineers Mehmet Adkag	\$ 479,557.00	28%	32,169.59	7%
18-366	Elecnor Hawkeye LLC Juan Sanchez (631) 447-3100	Riverhead Solar Substation 199	Sub	VHB Engineering (631) 813-2545	\$ 460,895.00	40%	424,775.00	92%
18-430	AVR Yaphank Constr. Co. AVR Realty Co. Tom Perna	Site Work ALR/ILR Assisted Living Parking Lot - Paving	Sub	Vollmuth & Brush George Brush (631) 363-2683	\$ 170,200.46	0%	55,443.50	33%
18-446	AVR Yaphank Constr. Co. AVR Realty Co. Tom Perna	The Meadows at Yaphank Hotel - Site Work	Sub	Bohler Engineering (631) 738-1200	\$ 426,928.65	0%	107,089.50	25%
<b>New Work 2019</b>							<b>9,404,166.62</b>	
18-304	G&C Fab-Con LLC Matt Creter	Calverton Nation Cemetery Gravesite Expansion & Site Improvements 805PC2048	Sub	Gordon 703-263-1900	\$ 5,889,065.00		5,889,065.00	100%

## ENCLOSURE 2

### Major Completed Projects

## MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
<b>07-135</b> Southern State Parkway Suffolk County D260416	New York State DOT	New York State DOT James Eldor	\$ 10,433,621.00	9/15/2009	94%	New York State DOT James Eldor
<b>08-290</b> County Road 16 Reconstruction, Ronkonkoma No. 5511	Suffolk County DPW James Peterman (631) 852-4003	Lockwood, Kessler and Bartlett (516) 938-0600	\$ 22,641,805.00	7/31/2011	64%	Suffolk County DPW Justin Hipperling (631) 852-4006
<b>09-077</b> Milling Requirements - Queens HW2CR09C	City of New York DDC	City of New York DDC	\$ 4,979,762.40	4/30/2011	81%	City of New York Yuliya Ruvinova (718) 322-5053
<b>10-268</b> Culvert Replacement on Valley Stream Road	Village of Valley Stream Tony Cella (516) 592-5105	Village of Valley Stream	\$ 1,448,380.60	12/31/2011	63%	Village of Valley Stream Tony Cella (516) 592-5105
<b>10-208</b> Milling Requirements - Queens HW2CR11C	City of New York DDC	City of New York DDC	\$ 1,971,345.18	8/31/2011	70%	City of New York Patrick Larkin (718) 391-1958
<b>10-300</b> CR19 Patchogue Holbrook Rd Traffic Calming 3302/5014	Suffolk County DPW	Suffolk County DPW In-House	\$ 1,201,304.47	11/15/2011	66%	Suffolk County DPW Justin Hipperling (631) 852-4006
<b>11-289</b> Sunset Plaza Shopping Center	Deer Park Associates LLC Len Abrams (631) 667-9575	Sidney B Bowne & Son	\$ 548,035.15	12/31/2011	100%	Deer Park Associates LLC Len Abrams (631) 667-9575
<b>09-155</b> Calverton National Cemetery Expansion 805CM2036	Calverton National Cemetery GC&P Fabcon	Carter Van Dyke (212) 345-5053	\$ 11,770,011.69	12/31/2011	66%	GC&P Fabcon LLC John Schleer (908) 782-0526
<b>11-011</b> Sysco Warehouse Central Islip	Sysco Food Services Aurora Contractors Barney Riley (631) 981-3785	Vollmuth & Brush (631) 363-2683	\$ 5,445,493.96	6/30/2012	85%	Aurora Contractors Barney Reilly (631) 981-3785
<b>11-059</b> CR31 & 104 Intersection Reconstr. Hampton Bays CP5572	Suffolk County DPW William Hillman P.E. (631) 852-4006	Suffolk County DPW In-House	\$ 1,072,863.65	2/17/2012	71%	Suffolk County DPW Justin Hipperling (631) 852-4006
<b>11-093</b> Village of Port Jefferson 2011 Road Improvements	Village of Port Jefferson Robert Juliano	Dvirka & Bartilucci Ken Pritchard	\$ 2,761,192.92	7/15/2012	77%	Dvirka & Bartilucci Ed Kozik (516) 364-9890
<b>11-413</b> Solar Array Project @ Cohalan Court Complex Central Islip	Enxco Development Co. Eldor Contracting Corp. Keith Feldman	Enxco Development Co.	\$ 569,373.28	12/31/2012	100%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
<b>11-285</b> Milling Requirements - Queens HW2CR12C	City of New York DDC Patrick Larkin (718) 391-1958	City of New York DDC	\$ 3,328,891.23	9/30/2012	88%	City of New York DDC Patrick Larkin (718) 391-1958

## MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
11-335 Solar Array Project @ Riverhead County Complex	Enxco Development Co. Eldor Contracting Corp. Keith Feldman	Enxco Development Co.	\$ 627,901.15	12/31/2012	93%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
12-104 New Entrance Roadway For School of Medicine	Hofstra University Richard S Leddy	Cameron Eng & Assoc. Jack Ozyman (516) 827-4900	\$ 504,205.00	9/30/2012	60%	Hofstra University Richard S. Leddy (516) 463-5258
12-174 Home Depot Parking Lot Commack	Home Depot	Home Depot	\$ 796,600.90	12/31/2012	100%	Opal Construction Chris Cavoto (631) 242-7440
09-239 Nassau County DPW Highway & Drainage Req. H66302S	Nassau County DPW	Nassau County DPW	\$ 1,252,924.00	12/31/2011	85%	Nassau County DPW (516) 571-6655
11-359 Suffolk County DPW Storm Water Pollution Remediation Phase 3 CP8239	Suffolk County DPW	Suffolk County DPW In-House	\$ 1,294,862.00	12/16/2013	90%	Suffolk County DPW Justin Hipperling (631) 852-4006
11-393 Suffolk County DPW Wicks Road Improvement CP5539	Suffolk County DPW	Nelson & Pope	\$ 5,693,653.00	12/31/2013	66%	Suffolk County DPW Justin Hipperling (631) 852-4006
12014 Suffolk County DPW CR39 North Road Improvements CP5528	Suffolk County DPW	Suffolk County DPW In-House	\$ 3,779,594.00	12/31/2013	77%	Suffolk County DPW Justin Hipperling (631) 852-4006
12-018 Canon Headquarters Phase II - Sitework	Canon USA	Turner Construction	\$ 5,563,069.00	11/30/2013	56%	Turner Construction Chris Deschler (212) 229-6000
12-158 Mail at Bay Plaza Site Work - Bronx, NY	Prestige Properties & Dev. Services Inc.	Stantec Consulting Services Inc. (212) 366-5600	\$ 1,799,983.00	11/30/2013	98%	Aurora Contractors Jonathan McGowan (631) 981-3785
12-166 Home Depot Parking Lot Long Island City-Milling/Paving	Home Depot	Home Depot	\$ 493,600.00	12/31/2013	100%	Opal Construction Chris Cavoto (631) 242-7440
13-033 Sheridan Blvd. Drainage Mineola H6005001G	Nassau County DPW	Nassau County DPW	\$ 718,259.00	12/31/2013	98%	Nassau County DPW Shila Shah Gavoudias (516) 571-9600
12-426 Reconstruction of Sound Avenue, CR48 Greenport - 5526	Suffolk County DPW Justin Hipperling P.E. (631) 852-4006	Suffolk County DPW In-House	\$ 3,791,800.00	12/31/2014	86%	Suffolk County DPW Justin Hipperling (631) 852-4006



# MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH/ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
13-055 Lowses of Comrack Site Work	Aurora Contractors Barney Riley (631) 981-3785	Rosenbaum Design Group	\$ 3,092,479.00	12/31/2014	80%	Aurora Contractors Barney Riley (631) 981-3785
13-049 Clearspan Building Project	Brookhaven Rail Terminal	Brookhaven Rail Terminal	\$ 1,684,905.00	12/31/2014	65%	Brookhaven Rail Term. Andy Kaufman (631) 924-8800
13-089 Foremain and Pump Station - Huntington Sta.	Avalon Bay Communities	Nelson & Pope	\$ 1,023,793.00	12/31/2014	30%	Avalon Bay Communities Matthew Gendron (617) 645-3937
13-183 Asphalt Paving Huntington Station	Avalon Bay Communities	Nelson & Pope	\$ 1,288,545.00	6/30/2014	95%	Avalon Bay Communities Matthew Gendron (617) 645-3937
13-219 Stony Brook Medical Center East Parking Field Paving	State of New York Dormitory Authority	H2M Architects & Engineers	\$ 670,176.00	12/31/2014	100%	Watral Bros. Inc. Jake Watral (631) 586-8300
13-261 Museum of American Armor Site Work - Old Bethpage	Museum of American Armor BDG Construction	BDG Construction Corp	\$ 1,285,390.00	8/29/2014	78%	BDG Construction Anthony Galu (516) 624-1979
13-283 2013 Road Improvements Nassau Avenue	Village of Freeport	Village of Freeport In-House	\$ 1,407,428.00	12/31/2014	73%	Village of Freeport Robert Fisenne P.E. (516) 377-2233
14-306 Green Acres Mall Valley Stream - Parking Field Pavement & Rehab	Green Acres Mall Opal Construction	Savik & Murray LLP	\$ 889,154.46	12/31/2014	95%	Opal Construction Chris Cavoto (631) 242-7440
13-035 Reconstruction of Rte 347 & 112 D262168	New York State DOT Scalamandre	New York State DOT	\$ 5,634,311.00	12/31/2015	98%	P. Scalamandre & Sons Tom Hayes (516) 868-3000
13-297 Village of Mineola 2013 Road Improvements	Village of Mineola	Dvirka & Bartilucci Christopher Clement (516)364-9890	\$ 1,654,509.00	12/31/2015	85%	Village of Mineola Thomas Rini (516) 746-0753
14-034 Bridge Rehabilitation Various Locations	Suffolk County DPW	Suffolk County DPW	\$ 1,848,219.00	12/31/2015	75%	Suffolk County DPW Justin Hipperling (631) 852-4006
14-084 Lake Success Redevelopment 1 Dakota Drive	We're Associates, Inc.	We're Associates Inc. Gary R Woska	\$ 2,321,333.00	7/27/2015	55%	We're Associates, Inc. Philip Arnold (516) 931-5322
14-220 2014 Road Improvements	Village of Garden City	Village of Garden City In-House	\$ 4,746,069.00	12/31/2015	70%	Village of Garden City Domenick Stanco (516) 465-4017

# **MAJOR PROJECTS COMPLETED**

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
<b>14-294</b> Ritz Carlton Residences Off Site Sanitary Sewer Connections SLRC1080018	LRC Construction LLC	H2M Architects Engs	\$ 525,000.00	7/27/2015	61%	LRC Construction LLC Steve Feinstein (914) 773-7700
<b>15-241</b> 3&5 Dakota Parking Lots	We're Associates Inc.	We're Associates Inc. Gary R Woska	\$ 609,457.00	12/31/2015	85%	We're Associates Philip Arnold (516) 931-5322
<b>14-132</b> The Meadows at Yaphank Yaphank Woods Blvd. Road Improvements	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 1,835,000.00	4/30/2016	100%	AVR Realty Tom Perna (914) 965-3990
<b>14-142</b> Stony Brook University Toll Drive Residence Phase I	Aurora Contractors Inc. State University of New York at Stony Brook	KSQ Architects, P.C. (914) 682-3700	\$ 1,980,789.00	12/31/2016	87%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
<b>14-378</b> Stony Brook University Toll Drive Residence Phase II	Aurora Contractors Inc. State University of New York at Stony Brook	KSQ Architects, P.C. (914) 682-3700	\$ 2,146,774.00	12/31/2016	70%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
<b>15-017</b> Dormitories #A04B007 Sitework	NYRA Belmont EW Howell Travis Salvo	Dolph Rofffeld Eng. (914) 631-8600	\$ 538,413.00	9/28/2016	87%	EW Howell Travis Salvo (516) 921-7100
<b>15-107</b> William Floyd Parkway Yaphank Woods Blvd.	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 4,061,494.00	12/31/2016	76%	AVR Realty Tom Perna (914) 965-3990
<b>15-149</b> Leavenworth Solar Farm Shoreham	Borrego Solar Systems Inc Eldor Contracting Corp. Keith Feldman	VHB Engineering (631) 234-3444	\$ 1,082,426.00	9/28/2016	88%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
<b>15-315</b> 2015 Road Improvements	Village of Floral Park Valente Contracting Corp.	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 1,297,048.00	12/31/2016	95%	Valente Contracting Corp John Valente (516) 746-7933
<b>15-305</b> The Meadows at Yaphank Yaphank Woods Blvd. Phase 1A Sitework	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 978,895.00	12/31/2016	100%	AVR Realty Tom Perna (914) 965-3990
<b>15-181</b> Lake Success Redevelopment 3 Dakota - P.Lot & Ramp	We're Associates Inc. Philip Arnold (516) 931-5322	We're Associates Inc. Gary R Woska	\$ 1,423,116.00	4/30/2016	65%	We're Associates Inc. Philip Arnold (516) 931-5322
<b>15-255</b> 2015 Road Improvements	Village of Mineola Valente Contracting Corp.	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 742,821.00	9/28/2016	100%	Valente Contracting Corp John Valente (516) 746-7933
<b>16-130</b> LIE Welcome Center Dix Hills	NYSDOT P. Scalamandre & Sons	Stantec Consulting Services Inc. (212) 366-5600	\$ 1,578,526.00	11/30/2016	100%	P. Scalamandre & Sons Tom Hayes (516) 868-3000

## MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
16-152 2016 Road Improvements	Village of New Hyde Park	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 2,127,958.00	12/31/2016	77%	Village of New Hyde Park Tom Gannon (516) 354-0064
16-218 2016 Road Improvements	Village of Garden City	Village of Garden City In-House	\$ 1,447,250.00	12/31/2016	65%	Village of Garden City Domenick Stanco (516) 465-4017
16-308 2016 Road Improvements	Village of Mineola Valente Contracting Corp.	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 725,790.00	12/31/2016	100%	Valente Contracting Corp John Valente (516) 746-7933
15-221 Green Acres Commons Valley Stream	Green Acres Adjacent LLC Aurora Contractors Inc. Barney Reilly	SPG3 Architects Bruce Keller	\$ 6,523,195.00	7/31/2017	90%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
15-243 Green Acres Commons Valley Stream - Paving	Green Acres Adjacent LLC Aurora Contractors Inc. Barney Reilly	SPG3 Architects Bruce Keller	\$ 1,560,664.00	7/31/2017	93%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
16-034 1933 & 1963 Union Blvd. Sitetwork	South Side Hospital Schulmann Industries Inc. Harry Haralambous	Sidney B. Bowne & Son (516) 746-2350	\$ 1,735,825.00	9/30/2017	55%	Schulmann Industries Inc Harry Haralambous (631) 499-7974
16-160 Roslyn Schools Paving	Roslyn UF School District Watral Brothers Inc.	VHB Engineering (631) 234-3444	\$ 589,403.00	9/30/2017	98%	Watral Brothers Inc. Jake Watral (631) 586-8300
16-358 Culverts 1 & 2 Holtsville Terminal	Northville Industries Corp.	U.N.I Engineering Inc. Edward J. Sapp	\$ 786,034.00	12/26/2017	41%	Northville Industries Corp. (631) 475-5060
17-273 Parking Lot Construction Union Blvd., Bay Shore	Northwell Health Inc. Schulman Industries Harry Haralambous	Sidney B. Bowne & Son (516) 746-2350	\$ 548,425.00	12/31/2017	70%	Northwell Health Inc. Schulman Industries Harry Haralambous (631) 499-7974
15-261 Avalon at Great Neck Site Work	Avalon Bay Communities Scott Fradenburg 516-501-6020	VHB Engineering (631) 234-3444	\$ 1,501,001.19	1/31/2018	93%	Avalon Bay Communities Scott Fradenburg 516-501-6020
16-048 Avalon Rockville Centre II Sitework	Avalon Bay Communities Scott Fradenburg 516-501-6020	Nelson & Pope (631) 427-5665	\$ 1,373,303.43	1/31/2018	95%	Avalon Bay Communities Scott Fradenburg 516-501-6020
16-286 Common Area 2 Sitework The Meadows at Yaphank	Rose Breslin Assoc. LLC c/o AVR Realty	Vollimuth & Brush Jeffrey Vollimuth, P.E. (631) 363-2683	\$ 679,748.50	12/31/2018	100%	AVR Realty Tom Perna (914) 965-3990
17-103 Shoreham Solar Commons - Sitework	Shoreham Solar Commons LLC Keith Feldmann - Eldor (631) 218-0100	TRC Engineers Inc. (516) 671-3407	\$ 2,854,197.00	11/30/2018	90%	Eldor Contracting Corp. Keith Feldmann (631) 218-0100
17-189 Village of Garden City 2017 Road Improvements	Village of Garden City Valente Contracting Corp. John Valente	Village of Garden City In-House	\$ 1,049,622.00	12/31/2018	96%	Valente Contracting Corp John Valente (516) 746-7933

## MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
17-237 Northwell Lynbrook Site Work	Talisen Construction Corp. Joseph Rigazio (212) 244-4581	Sidney B. Bowne & Son (516) 746-2350	\$ 908,330.81	6/30/2018	59%	Talisen Construction Corp Joseph Rigazio (212) 244-4581
17-347 Sky Drive Farmingdale Sitework	BDG Farmingdale LLC Mike Christiano (516) 624-1948	Bohler Engineering Joseph Deal (631) 738-1200	4,327,684.00	12/31/2018	70%	BDG Farmingdale LLC Mike Christiano (516) 624-1948

## ENCLOSURE 3

Construction Experience of the Principals

**CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL**

As of December 31, 2018

<b>Principal's Name</b>	<b>Title</b>	<b>Years of Construction Experience</b>	<b>With Whom &amp; In What Capacity</b>
James J. Pratt, III* [REDACTED]	President/Treasurer  Vice President/Treasurer General Superintendent Safety Officer	38	Pratt Brothers, Inc. President/Treasurer  Guy Pratt, Inc. Vice President/Treasurer General Superintendent Safety Officer  Lehigh University BS - Civil Engineering
Thomas D. Pratt* [REDACTED]	Vice President/Secretary  Operations Manager	32	Pratt Brothers, Inc. Vice President/Secretary  Scatt Materials Corp. Operations Manager  New Hampshire College BS - C.I.S.
<b>Supervisory Personnel</b> Joseph M. Williams, Jr. P.E.* [REDACTED]	Sr. Vice President Chief Estimator Chief Engineer  Vice President Chief Estimator Chief Engineer	34	Pratt Brothers, Inc. Sr. Vice President Chief Estimator/Engineer  Guy Pratt Inc. Vice President Chief Estimator/Engineer  Manhattan College BE - Chemical Engineering
Edward P. McCoy, Jr.* [REDACTED]	Vice President	17	Pratt Brothers, Inc. Vice President Project Manager  Guy Pratt, Inc. Project Manager  Boston University BS - Bio-Medical Engineering

\*Corporate Officer

**CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL**

As of December 31, 2018

<b><u>Name</u></b>	<b><u>Title</u></b>	<b><u>Years of Construction Experience</u></b>	<b><u>With Whom &amp; In What Capacity</u></b>
Gregory Couch	Project Manager	34	Pratt Brothers, Inc. Project Manager
			Bi-County Construction Corp. President
			Villanova University BS- Civil Engineering
			Polytechnic University MSM - Construction Mgmt
James J. Pratt IV	Project Manager	6	Pratt Brothers, Inc. Project Manager
			REI Drilling Project Manager Staff Geologist
			Washington and Lee University BS - Geology
Joseph Hrbek	Superintendent	38	Pratt Brothers, Inc. Superintendent
			Guy Pratt, Inc. Superintendent
			H.T. Schneider Superintendent
			Lizza Industries Project Engineer

**CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL**

As of December 31 2018

<b><u>Name</u></b>	<b><u>Title</u></b>	<b><u>Years of Construction Experience</u></b>	<b><u>With Whom &amp; In What Capacity</u></b>
Robert Voelkel	Superintendent	41	Pratt Brothers Inc. Superintendent
			Bi-County Construction Vice President Job Superintendent
			Debruin Engineering Engineer In Charge
William Zimmerman	Superintendent	42	Pratt Brothers Inc. Superintendent
	Superintendent		Kings Park Industries Superintendent Operating Engineer
Matt Kingston	Asphalt Superintendent	12	Pratt Brothers, Inc. Asphalt Superintendent Operating Engineer
			Guy Pratt, Inc. Operating Engineer
Thomas Crawford	Shop Steward General Foreman	33	Pratt Brothers, Inc. General Foreman
			Guy Pratt, Inc. Laborer



**CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL**

As of December 31, 2018

<b><u>Name</u></b>	<b><u>Title</u></b>	<b><u>Years of Construction Experience</u></b>	<b><u>With Whom &amp; In What Capacity</u></b>
Arnold Hoffmann III	Asphalt Foreman	20	Pratt Brothers, Inc. Asphalt Foreman Asphalt Raker
			Guy Pratt Inc. Laborer
Eleanor DeBlasi	Estimator/Engineer	35	Pratt Brothers, Inc. Estimator
			Bi-County Construction Estimator
			Lizza Industries Estimator
			Polytechnic University B.S. Civil Engineering

ENCLOSURE 4

Equipment List

# **EQUIPMENT LIST**

(As of December 31, 2018)

## **LOADERS**

- CAT IT-28
- Komatsu WA 320 Tool Carrier
- Komatsu WA-380
- Komatsu WA-430 Tool Carrier
- CAT 950F
- CAT 950F Tool Carrier
- Komatsu 470
- CAT 970 F
- Komatsu WA-480-6
- Komatsu WA380-8

## **BACKHOES**

### **RUBBER TIRED BACKHOE/LOADERS**

- CAT Backhoe Loader 430F2
- CAT 430E Backhoe Loader
- CAT 430E Backhoe Loader
- CAT 430D Backhoe Loader
- CAT 430D Backhoe Loader
- Case 590SM Backhoe Loader
- 490 Dynahoe w/Drill Rig

### **HYDRAULIC EXCAVATORS – TRACK**

- CAT 303 Mini Excavator
- Komatsu PC270
- CAT 325CL
- Komatsu PC290LC-10
- CAT PC400LC-7
- Komatsu PC170-11

### **HYDRAULIC EXCAVATORS – RUBBER TIRED**

- Liebherr Excavator 904C
- Liebherr Excavator 904C
- CAT 320F

## **BULLDOZERS**

- Komatsu D37PX-22 Dozer
- CAT D5M-LGP
- CAT D6N LGP
- CAT D6T LGP
- Dresser TD 25G Tilt Blade

# **EQUIPMENT LIST**

(As of December 31, 2018)

## **CRANES**

- 18 Ton Hydraulic Crane

## **TRUCKS**

- Flat Truck
- Ford F550 Dump Truck
- Mack 20CY Dump Truck
- Peterbilt 20CY Dump Truck
- Kenworth Tractor
- Peterbilt Tractor (3)
- MAC Dump Trailer (3)
- 50 Ton Low Bed Trailer
- Red River Asphalt Trailer (3)
- Flow-Boy Asphalt Trailer (3)
- Attenuator Trailer
- 25 Ton Tag-A-Long Trailer (2)

## **SCRAPERS**

- 260E Elevating Scraper (Water Wagon)

## **ROLLERS**

- CAT Vibratory Soil compactor-CS563
- Dynapac Vibratory Soil Compactor-CA252B
- Hyster 350D Roller
- Hyster 350D Roller
- Dynapac CC-102 Double Drum Vibratory Roller
- I/R Vibratory Roller DD24
- I/R Roller DD110HF Double Drum Vibratory Asphalt Roller
- HAMM HD14 Roller
- HAMM HD20 Vibratory Roller

## **GRADERS**

- Komatsu GD655 Galion Grader

## **TRAILER**

- Tag Trailer – Skid Steer (5)
- Marathon Tack Coat Trailer

# **EQUIPMENT LIST**

(As of December 31, 2018)

## **ASPHALT PAVING MACHINES**

- Power Ray 4-Ton Hot Asphalt Storage Rig
- CAT AP1055F Asphalt Spreader

## **MILLING MACHINE**

- Wirtgen W200 Milling Machine

## **MISCELLANEOUS**

- IR-85/185 Leroi/Joy 185/Kaeser 210 Compressors (3)
- Kaeser 400 Compressor/EZ Drill
- Read RD90 Screen-All
- Dewatering System
- CAT 247 Skid Steer Loader - Track
- CAT 272D Skid Steer Loader - Rubber
- CAT 289D Skid Steer Loader - Track
- Cold Planer PC206 (skid steers) – 3 ea.
- Amsig Led Flip Disk Variable Message Board w/Modems (5)
- Asphalt Zipper Road Reclaiming Attachment
- 10,000 L.F. Concrete Road Barrier
- 200 Steel Road Plates
- Powerscreen Trommel

## ENCLOSURE 5

Insurance



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

4/4/2019

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER  
National Insurance Brokerage of New York, Inc.  
175 Oval Drive

CONTACT NAME: Kathleen Hofmann  
PHONE (A/C No. Ext): (631) 273-4242 FAX (A/C No.): (631) 273-8990  
E-MAIL ADDRESS: khofmann@nibony.com

Islandia NY 11749

INSURED  
Pratt Brothers, Inc.  
608 Union Avenue

Holtsville NY 11742

## INSURER(S) AFFORDING COVERAGE

NAIC #

INSURER A: American Southern Home Insurance Compar

INSURER B: American Family Home Insurance Company

INSURER C: Berkley Insurance Company

INSURER D: Travelers Indemnity CO

INSURER E: Evanston Insurance Company

INSURER F:

## COVERAGES

CERTIFICATE NUMBER: Master 19-20 W/ Pollution

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			88A5GL0000310	4/1/2019	4/1/2020	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COM/OP AGG \$ 2,000,000 \$
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS			88A5CA0000469	4/1/2019	4/1/2020	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ PIP-Basic \$ 50,000
C	<input checked="" type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$ 10,000			MKC1110029	4/1/2019	4/1/2020	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000 \$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	N/A				PER STATUTE E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
D	Excess Umbrella			ZUP81N12504-19NF	4/1/2019	4/1/2020	\$4,000,000 Limit
E	Pollution Liability			MKLVIENV100542	9/05/2018	9/5/2019	\$2,000,000/\$1,000,000 \$5,000 DED

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

## CERTIFICATE HOLDER

## CANCELLATION

Evidence of Insurance

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Frank Cormio/STEPHK

## ENCLOSURE 6

Apprenticeship Training Programs



# LABORERS LOCAL UNION NO. 1298 JOINT APPRENTICESHIP TRAINING FUND

## NASSAU & SUFFOLK COUNTIES



1161 LOCUST AVENUE  
BOHEMIA, N.Y. 11716  
Telephone: (631) 218-1376  
Fax: (631) 218-1379

© 1994

**FUND ADMINISTRATOR**  
ANNA LISA C. DEFALCO ESQ.

**TRAINING DIRECTOR**  
STEVEN M. AURIGEMA

**BOARD OF TRUSTEES**  
GEORGE F. TRUTCKO JR.  
GEORGE S. TRUTCKO  
FRANK DAMIANO JR.  
JAMES WINSHIP  
FRANK ALBERTO  
GREGORY W. COUCH  
JASON GOLDEN  
MARC HERBST

Re: Road and Heavy Construction Laborers Local #1298  
Local 1298 Joint Apprenticeship Training Program  
NYS SPONSOR CODE # 01764  
NYS ATP CODE # 18514

TO WHOM IT MAY CONCERN;

This letter will serve to confirm that Local 1298 Joint Apprenticeship Training Program currently conducts a fully registered and approved New York State "Apprenticeship Training Program for Skilled Construction Craft Laborers" ("ATP"). The ATP is co-sponsored by Local 1298 and all employers/signatory contractors, including but not limited to Pratt Brothers Contracting Inc., to the Local 1298 Working Agreement Covering Wages and Working Conditions, dated June 1, 2012 to May 31, 2022.

Any additional questions should be directed to my attention.

Fraternaly yours,

Steven M. Aurigema  
Training Director  
Joint Apprenticeship Training Fund



APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL  
**INTERNATIONAL UNION OF OPERATING ENGINEERS**  
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

SCHOOL (631) 286-8677  
FAX (631) 286-8683

247-C

UNION - P.O. BOX 206, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

Re: Local 138 Apprenticeship School (the "Apprenticeship School")  
NYS Sponsor # 00422  
NYS ATP Code # 18318  
Pratt Brothers, Inc.

To Whom It May Concern,

This letter serves to confirm that the **Apprenticeship School** currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to Pratt Brothers, Inc., to the Local 138 Agreement Covering Working Conditions, dated June 1, 2019 to May 31, 2023. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY  
BUSINESS MANAGER & TREASURER  
CHAIRMAN BOARD OF TRUSTEES  
LOCAL 138 APPRENTICESHIP TRAINING FUND

ENCLOSURE 7

Financial Statement

**PRATT BROTHERS, INC.**  
**BALANCE SHEETS**  
December 31, 2018 and 2017

	<u>2018</u>	<u>2017</u>
<b>ASSETS</b>		
<b>Current Assets</b>		
Cash		\$
Contract receivables		
Due from related parties		
Costs and estimated earnings in excess of billings on uncompleted contracts		
Prepaid expenses and other		
Total Current Assets		
<b>Fixed Assets, Net</b>		
<b>Other Assets</b>		
Equity in joint venture		
 <b>LIABILITIES AND STOCKHOLDERS' EQUITY</b>		
<b>Current Liabilities</b>		
Line-of-credit		\$
Accounts payable		
Notes payable		
Capital lease obligation		
Billings in excess of costs and estimated earnings on uncompleted contracts		
Accrued expenses and other		
Total Current Liabilities		
<b>Long-Term Liabilities</b>		
Notes payable		
Capital lease obligation		
 <b>Commitments and Contingencies</b>		
<b>Stockholders' Equity</b>		
Common stock - no par value; 200 shares authorized, 100 shares issued and outstanding		
Retained earnings		

CPIN	Item Number	Estimate of Quantities	Page 1			Date	Cont H61587-PR4	Brought Forward		
			Items with unit bid price written in words					Unit Bid Price	Amount Bid	
1M		1.00	Lump Sum	, Mobilization						
			FOR	THREE HUNDRED FIFTEEN THOUSAND FIVE HUNDRED FIFTY FOUR DOLLARS SIXTY ONE CENTS					315,554.610	315,554.61
							PER	Lump Sum		
2		150.00	Cu Yd	, Unclassified Excavation						
			FOR	ONE HUNDRED DOLLARS NO CENTS					100.000	15,000.00
							PER	Cu Yd		
4A		125.00	Sq Yd	, Cement Concrete Breaking Pavement						
			FOR	ZERO DOLLARS ONE CENT					0.010	1.25
							PER	Sq Yd		
4B		20.00	Cu Yd	, Cement Concrete Breaking Structures						
			FOR	ZERO DOLLARS ONE CENT					0.010	0.20
							PER	Cu Yd		
5C		100.00	Cu Yd	, Selected Fill						
			FOR	ZERO DOLLARS ONE CENT					0.010	1.00
							PER	Cu Yd		



Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
17A	10.00	Cu Yd , Class A Concrete for Structures FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	0.10
24	20.00	Cu Yd , Concrete Pavement FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____	1.000	20.00
24V	12.00	Cu Yd , Concrete Valley Gutter FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____	1.000	12.00
26	3,500.00	Lin Ft , Concrete Curb FOR <u>FORTY SIX DOLLARS NO CENTS</u> _____ _____ _____	46.000	161,000.00
26CG	50.00	Lin Ft , Combination Curb & Gutter FOR <u>FIFTY DOLLARS NO CENTS</u> _____ _____ _____	50.000	2,500.00
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words			Brought Forward	
					Unit Bid Price	Amount Bid
27	8,000.00	Sq Ft	, Concrete Sidewalk			
		FOR	<u>FIVE DOLLARS NO CENTS</u>		5.000	40,000.00
				PER Sq Ft		
27DW	1,000.00	Sq Ft	, Detectable Warning Surface			
		FOR	<u>ZERO DOLLARS ONE CENT</u>		0.010	10.00
				PER Sq Ft		
28	350.00	Sq Ft	, Concrete Driveway & Aprons			
		FOR	<u>TEN DOLLARS NO CENTS</u>		10.000	3,500.00
				PER Sq Ft		
29	200.00	Sq ft	, Driveway Restoration			
		FOR	<u>ZERO DOLLARS ONE CENT</u>		0.010	2.00
				PER Sq ft		
30	50.00	Sq Yd	, Metal Reinforcement for Conc. Pvmnt			
		FOR	<u>ONE DOLLAR NO CENTS</u>		1.000	50.00
				PER Sq Yd		
					Carry Forward	



Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
31	500.00	Lin Ft , Transverse Joint Supports FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	5.00
32A	200.00	Each , Longitudinal Joint Ties Pavement FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	2.00
32X	200.00	Each , Joint Ties Grout Type FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	2.00
32X-1	200.00	Each , Load Transfer Device for Conc Pymnt Repairs FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	2.00
33	1,000.00	Lb , Bar Reinforcement for Structures FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	10.00
Carry Forward				

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
34	23,500.00	Lb Misc Metals FOR ONE DOLLAR NO CENTS	1.000	23,500.00
36CX	220.00	Ton Asphalt Truing & Leveling Type 1A For Cracks in Asphalt Pvmnt FOR ZERO DOLLARS ONE CENT	0.010	2.20
36DRAR	35,000.00	Tons Rut Avoidance Asphalt Type 1A (Top RA Resurfacing) FOR ONE HUNDRED THIRTY DOLLARS FIFTY CENTS	130.500	4,567,500.00
58A	100.00	Lin Ft Sawcutting Existing Non Roadway Asphalt FOR ZERO DOLLARS ONE CENT	0.010	1.00
58RPC	500.00	Lin Ft Sawcutting Existing Roadway Pavement & Concrete FOR ZERO DOLLARS ONE CENT	0.010	5.00
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words		Brought Forward	
				Unit Bid Price	Amount Bid
102	1.00	Lump Sum , Work Zone Traffic Control FOR <u>TWENTY FIVE THOUSAND DOLLARS NO CENTS</u> _____ _____	PER Lump Sum	25,000.000	25,000.00
102D	400.00	Day , Flashing Arrow Board FOR <u>THIRTY DOLLARS NO CENTS</u> _____ _____	PER Day	30.000	12,000.00
102PVMS	500.00	Day , Portable Variable Message Sign FOR <u>FIFTY DOLLARS NO CENTS</u> _____ _____	PER Day	50.000	25,000.00
111	5,000.00	Sq Yd , Removal & Replacement of Pavement FOR <u>ONE DOLLAR NO CENTS</u> _____ _____	PER Sq Yd	1.000	5,000.00
112	50.00	Each , Adjusting Manholes FOR <u>TWO HUNDRED DOLLARS NO CENTS</u> _____ _____	PER Each	200.000	10,000.00
Carry Forward					

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
114	300.00	Each , Adjustment of Water Valve Box Elevation FOR SEVENTY FIVE DOLLARS NO CENTS	75.000	22,500.00
115	2,500.00	L In Ft , Butt Joints FOR TWENTY DOLLARS NO CENTS	20.000	50,000.00
116A	400,000.00	Sq Yd , Profiling & Removal of Asphalt Pavement FOR FIVE DOLLARS TWENTY CENTS	5.200	2,080,000.00
116C	100.00	Sq Yd , Profiling & Removal of Concrete Pavement FOR ZERO DOLLARS ONE CENT	0.010	1.00
129	10.00	Cu Yds , Cement Concrete Pavement Repairs FOR ONE THOUSAND FIVE HUNDRED DOLLARS NO CENTS	1,500.000	15,000.00
			PER	Each
			PER	L In Ft
			PER	Sq Yd
			PER	Cu Yds
			Carry Forward	

CPIN	Item Number	Estimate of Quantities	Items with unit bid price written in words Date	Page 9	Cont H61587-PR4	Brought Forward
						Unit Bid Price      Amount Bid
132		100.00	Each , Plowable Raised ReflectORIZED Pavement Markers  FOR SIXTY FIVE DOLLARS NO CENTS _____ _____			65.000      6,500.00
133A		500.00	Lin Ft , Cleaning & Resealing of Longitudinal Joints in PCC Pavement  FOR ZERO DOLLARS ONE CENT _____ _____			0.010      5.00
133B		100.00	Lin Ft , Sealing of Transverse Joints in Cement Concrete Pavement  FOR ZERO DOLLARS ONE CENT _____ _____			0.010      1.00
133X		1.00	Lump Sum , Clean & Fill Joints and Cracks  FOR TWENTY FIVE THOUSAND DOLLARS NO CENTS _____ _____			25,000.000      25,000.00
136S		25.00	Day , Survey  FOR THREE THOUSAND DOLLARS NO CENTS _____ _____			3,000.000      75,000.00
						Carry Forward

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
137	1,000.00	Lin Ft FOR ZERO DOLLARS ONE CENT _____ _____ _____	0.010	10.00
138	1,000.00	Sq Yd FOR TEN DOLLARS NO CENTS _____ _____ _____	10.000	10,000.00
141B	200.00	Each FOR ZERO DOLLARS ONE CENT _____ _____ _____	0.010	2.00
141C	200.00	Each FOR ZERO DOLLARS ONE CENT _____ _____ _____	0.010	2.00
199	1.00	Lump Sum FOR TWO HUNDRED THOUSAND DOLLARS NO CENTS _____ _____ _____	200,000.000	200,000.00
		PER Lin Ft		
		PER Sq Yd		
		PER Each		
		PER Each		
		PER Lump Sum		
		Carry Forward		

Item Number	Estimate of Quantities	Items with unit bid price written in words		Brought Forward	
				Unit Bid Price	Amount Bid
199A	1.00	Lump Sum , Asphalt Price Adjustment (Force) FOR <u>TEN THOUSAND DOLLARS NO CENTS</u>		10,000.000	10,000.00
368	1,000.00	Sq Yd , Topsoil & Grass Seed FOR <u>ZERO DOLLARS ONE CENT</u>	PER Lump Sum	0.010	10.00
372A	5.00	Each , Tree Removal A ( < 6" Caliper) FOR <u>ZERO DOLLARS ONE CENT</u>	PER Sq Yd	0.010	0.05
372B	5.00	Each , Tree Removal B (6" - < 12" Caliper) FOR <u>ZERO DOLLARS ONE CENT</u>	PER Each	0.010	0.05
372C	5.00	Each , Tree Removal C (12" - < 24" Caliper) FOR <u>ZERO DOLLARS ONE CENT</u>	PER Each	0.010	0.05
				Carry Forward	

Item Number	Estimate of Quantities	items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
372D	5.00	Each , Tree removal D (24" - < 36' Caliper) FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	0.05
		PER Each		
372E	2.00	Each , Tree Removal E (36" - < 48" Caliper) FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	0.02
		PER Each		
373A	5.00	Each , Stump Removal 4" - < 6" Caliper FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	0.05
		PER Each		
373B	5.00	Each , Stump Removal B 6" - < 12" caliper FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	0.05
		PER Each		
373C	5.00	Each , Stump Removal C 12" - < 24" Caliper FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	0.05
		PER Each		
Carry Forward				



CPIN			Page 13	Date	Cont H61587-PR4	Brought Forward		
Item Number	Estimate of Quantities	Items with unit bid price written in words				Unit Bid Price	Amount Bid	
373D	5.00	Each	, Stump Removal D 24" - < 36" Caliper				0.010	0.05
		FOR <u>ZERO DOLLARS ONE CENT</u>						
		PER Each						
373E	5.00	Each	, Stump Removal E 36" - < 48" Caliper				0.010	0.05
		FOR <u>ZERO DOLLARS ONE CENT</u>						
		PER Each						
374A	5.00	Each	, Stump Grinding A 4" - < 6" Caliper				0.010	0.05
		FOR <u>ZERO DOLLARS ONE CENT</u>						
		PER Each						
374B	5.00	Each	, Stump Grinding B 6" - < 12" caliper				0.010	0.05
		FOR <u>ZERO DOLLARS ONE CENT</u>						
		PER Each						
374C	5.00	Each	, Stump Grinding C 12" - < 24" Caliper				0.010	0.05
		FOR <u>ZERO DOLLARS ONE CENT</u>						
		PER Each						
Carry Forward								

[illegible]

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
422SHE	5.00	Each , Adjust Traffic Magnetic Vehicle Detector FOR FOUR HUNDRED DOLLARS NO CENTS	400.000	2,000.00
685.07200110	250,000.00	Lin. Feet , White Epoxy ReflectORIZED Pavement Stripes - 20 Mils (Wet Night Visibility) FOR ZERO DOLLARS SIXTY FIVE CENTS	0.650	162,500.00
685.07200210	275.00	Each , White Epoxy ReflectORIZED Pavement Letters - 20 Mils (Wet Night Visibility) FOR ONE HUNDRED TEN DOLLARS NO CENTS	110.000	30,250.00
685.07200310	350.00	Each , White Epoxy ReflectORIZED Pavement Symbols - 20 Mils (Wet Night Visibility) FOR ONE HUNDRED THIRTY FIVE DOLLARS NO CENTS	135.000	47,250.00
685.0720410	60,000.00	Lin. Feet , White Epoxy ReflectORIZED Pavement Stripes (Cross Hatching) - 20 Mils (Wet Night Visibility) FOR ONE DOLLAR FIFTY CENTS	1.500	90,000.00
Carry Forward				

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
685.0720610	250,000.00	Lin. Feet , Yellow Epoxy ReflectORIZED Pavement Stripes - 20 Mils (Wet Night Visibility) FOR <u>ZERO DOLLARS SIXTY FIVE CENTS</u>	0.650	162,500.00
685.0720710	15,000.00	Lin. Feet , Yellow Epoxy ReflectORIZED Pavement Stripes (Cross Hatching) - 20 Mils ( ) FOR <u>ONE DOLLAR FORTY CENTS</u>	1.400	21,000.00
744	1.00	LS , Force Account Work FOR <u>TWO HUNDRED THOUSAND DOLLARS NO CENTS</u>	200,000.000	200,000.00
		PLEASE BE SURE A BID IS ENTERED FOR EACH ITEM EXCEPT AS DIRECTED FOR OPTIONAL ITEMS		
		TOTAL OR GROSS SUM WRITTEN IN WORDS <u>EIGHT MILLION EIGHT HUNDRED EIGHTY EIGHT THOUSAND SEVEN HUNDRED SEVENTY SEVEN DOLLARS NO CENTS</u>	\$	\$8,888,777.00

## QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 17 years
2. How many years experience in the construction work of a similar type as this contract has your firm had;
  - a. as a Prime Contractor 17 years
  - b. as a Subcontractor 17 years
3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

5. Have you:
  - a. ever failed to complete any work awarded to You? No  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.
  - b. ever been defaulted on a contract? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

**NO TEXT ON THIS PAGE**

c. ever been declared a non-responsible bidder by any municipality or public agency? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

**NO TEXT ON THIS PAGE**



d. that has ever been barred from bidding municipal or public contracts? No

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

Manufacturer of asphalt aggregate or asphalt pavement materials.

**NO TEXT ON THIS PAGE**

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
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SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
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SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

N/A

(use additional blank sheets if additional space is necessary)

**NO TEXT ON THIS PAGE**

14. In what manner have you inspected this proposed work?  
Explain in detail.

Complete site inspection and plan take off of all quantities  
and materials.

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

As per plans and specifications

16. If a contract is awarded or a permit is issued, to your firm, who  
will have the personal supervision of the work? Attach resume.

James J. Pratt, III

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
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SEE ATTACHED QUALIFICATION STATEMENT

**NO TEXT ON THIS PAGE**

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

**NO TEXT ON THIS PAGE**



NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

Joseph M. Williams, Jr., P.E. Being duly sworn, deposes and says:  
That he resides at [REDACTED] Street,  
in the City of [REDACTED] that he is the Sr. Vice President of  
Pratt Brothers, Inc. [REDACTED]  
the corporation described in and which executed the foregoing  
instrument; that he knows the seal of said corporation; that the seal  
affixed to the said instrument is such corporate seal and was affixed  
by order of the Board of Directors of said corporation; that he signed  
his name thereto by like order; and that he has knowledge of the  
several matters therein stated and they are in all respects true.  
Subscribed and sworn to before me  
this 27th day of September , 2019 .

Carol A. Miller  
Notary

CAROL A. MILLER  
Notary Public, State of New York  
No. 5002326  
Qualified in Suffolk County  
Commission Expires Sept. 28, 2022

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says:  
That he is a member of  
the firm described in and which executed the foregoing bid; that he  
duly subscribed the name of the firm hereunto on behalf of the firm;  
and that the several matters therein stated are in all respects true.  
Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_ .

\_\_\_\_\_  
Notary

(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says:  
That he is the person described in and who executed the foregoing bid  
and that the several matters therein stated are in all respects true.  
Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_ .

\_\_\_\_\_  
Notary

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
Lower Tier Covered Transactions  
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Joseph M. Williams, Jr, P.E., Sr. Vice President

Name and Title of Authorized Representative

m/d/yy

Signature

9/27/2019

Date

Pratt Brothers, Inc.

Name of Organization

45 South Fourth Street, Bay Shore, NY 11706

Address of Organization

U.S. GPO: 1989 OJP FORM 4061/1 (REV. 2/89) Previous editions are obsolete

**NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS**

**CONSULTANT/ CONTRACTOR DETAILED MBE/WBE UTILIZATION PLAN**

**Part 1- General Information:**

Consultant/Contractor Name: Pratt Brothers ,Inc.
Address (street/city/state/zip code): 45 South 4th Street , Bay Shore,NY, 11706
Authorized Representative (name/title): Gregory Couch, Project Manager
Authorized Signature:
Contract Number: H61587-PR4
Contract/Project Name: Resurfacing Various County Roads Phase 4
Contract/Project Description:

**Part 2- Projected MBE/WBE Contract Summary:**

	Amount (\$)		Percentage (%)
Total Dollar Value of the Prime Contract	\$8.888,777.00		
Total MBE Dollar Amount	\$222,109.00	MBE Contract Percentage	2.5%
Total WBE Dollar Amount	\$150,012.00	WBE Contract Percentage	1.7%
Total Combined M/WBE Dollar Amount	\$372,121.00	Combined M/WBE Contract Percentage	4.2%

**Part 3- MBE Information (use additional blank sheets as necessary):**

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount(\$) and Award Date	MBE Contract Scheduled Start Date and Completion Date
Name: Valente Contracting Corp. Address: 77 Jackson Ave City: Mineola State/Zip Code: N.Y. 11501 Authorized Representative: John Valente Telephone No. (516) 746-7951	Items 15X, 24,24V 26C,26CG,27 27DW, 28,30 ,31,32X-1,129	Amount (\$): 222,109.00 <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>

**Part 4- WBE Information (use additional blank sheets as necessary):**

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount(\$ ) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: Island Pavement Cutting Co., Inc. Address: 84 Kean Street City: West Babylon State/Zip Code: N.Y. 11704 Authorized Representative: Marissa O'Neill Telephone No. (631) 567-2711	58A, 58RCP, 115, 133A, 133B, 133X	Amount (\$): 75,012.00 <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Contractor's Layout Inc. Address: 829 Lincoln Ave, Suite 4 City: Bohemia State/Zip Code: N.Y. 11716 Authorized Representative: Darcy Quappe Telephone No. (631) 218-0334	136S	Amount (\$): 75,000.00 <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>