



Certified:

B-18-20

Filed with
Clerk of the Nassau County Legislature
August 31, 2020 3:52 pm

NIFS ID: Department: Public Works

Capital: X

SERVICE: GC WWTP Marine Bulkhead Replacement S35114-12G-B18-20

Contract ID #:S35114-12G

NIFS Entry Date:

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	Y
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Material Adverse Information Identified? (if yes, attach memo):	N
5) Insurance Required	N

Vendor Info:	
Name: Chesterfield Associates, Inc.	Vendor ID#: 11-2467864
Address: 56 South Country Road Westhampton Beach NY, 11978	Contact Person: Jeffrey E. Grube
	Phone: (631) 288-5100

Department:
Contact Name: Vincent Falkowski
Address: NCDPW 3340 Merrick Road Building R, 3rd Floor Wantagh, NY 11793 Phone: 516 571-7515

Routing Slip

Department	NIFS Entry: X	22-MAY-20 -- LDIONISIO
Department	NIFS Approval: X	22-MAY-20 -- KARNOLD
DPW	Capital Fund Approved: X	22-MAY-20 -- KARNOLD
OMB	NIFA Approval: X	08-JUL-20 -- CNOLAN
OMB	NIFS Approval: X	22-MAY-20 -- NGUMIENIAK
County Atty.	Insurance Verification: X	22-MAY-20 -- NSARANDIS

County Atty.	Approval to Form: X	22-MAY-20 -- NSARANDIS
CPO	Approval: X	10-JUL-20 -- KOHAGENCE
DCEC	Approval: X	12-JUL-20 -- JCHIARA
Dep. CE	Approval: X	13-JUL-20 -- BSCHNEIDER
Leg. Affairs	Approval/Review: X	31-AUG-20 -- JSCHANTZ
Legislature	Approval:	
Comptroller	Deputy:	
NIFA	NIFA Approval:	

Contract Summary

Purpose: This is a construction project for the replacement of the marine bulkhead at the Glen Clove Waste Water Treatment Plant. The existing bulkhead was installed circa 1978 and has reached the end of its life cycle. A structural evaluation conducted by H2M Architects & Engineers in 2014 concluded that the bulkhead was deteriorated beyond possible repair and should be completely replaced.
Method of Procurement: The contract was publicly bid in accordance with General Municipal Law 103. Only one bid was received for this project from Chesterfield Associates. Please reference Low Participation Memo for details.
Procurement History: Request for sealed bids was advertised in Newsday, NYSCR & NC eProcure on 1/08/2020 and the sealed bids were publicly opened on 02/18/2020. Only one bid was received for this project from Chesterfield Associates. Please reference Low Participation Memo for details.
Description of General Provisions: This project provides for the complete replacement of the marine bulkhead at the Glen Cove Waste Water Treatment Plant.
Impact on Funding / Price Analysis: The maximum amount of this contract is \$1,874,950.00. Funds for this contract are available in Capital Project No. 35114.
Change in Contract from Prior Procurement: None.
Recommendation: (approve as submitted) Approve as Submitted.

Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	CSW	Revenue			PWCSWCSW/3511	\$ 1,874,950.00
Control:	35	Contract:			4/00004/012	
Resp:	114	County	\$ 0.00			\$ 0.00
Object:	00004	Federal	\$ 0.00			\$ 0.00
Transaction:	CH	State	\$ 0.00			\$ 0.00
Project #:	35114	Capital	\$ 1,874,950.00			\$ 0.00
Detail:	012	Other	\$ 0.00			\$ 0.00
RENEWAL		TOTAL	\$ 1,874,950.00		TOTAL	\$ 1,874,950.00
% Increase						
% Decrease						

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RULES RESOLUTION NO. -2020

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND CHESTERFIELD ASSOCIATES, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS [“Department”] has received competitive bids for contract S35114-12G, for GLEN COVE WASTEWATER TREATMENT PLANT-MARINE BULKHEAD REPLACEMENT - NASSAU COUNTY, NY [“Contract”], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of CHESTERFIELD ASSOCIATES, INC.

[“Vendor”] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$1,874,950.00, now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.



Contract Approval Request Form (As of January 1, 2015)

1. **Vendor:** Chesterfield Associates, Inc.

2. **Dollar amount requiring NIFA approval:** \$1874950

Amount to be encumbered: \$1874950

This is a New

If new contract - \$ amount should be full amount of contract

If advisement – NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. **Contract Term: The contract term is Twelve (12) months**

Has work or services on this contract commenced? N ____

If yes, please explain:

4. **Funding Source:**

General Fund (GEN)	Grant Fund (GRT)	
X Capital Improvement Fund (CAP)		Federal % 0
Other		State % 0
		County % 0

Is the cash available for the full amount of the contract? N

If not, will it require a future borrowing? Y

Has the County Legislature approved the borrowing? Y

Has NIFA approved the borrowing for this contract? N

5. **Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:**

This is a construction project for the replacement of the marine bulkhead at the Glen Cove Waste Water Treatment Plant. The existing bulkhead was installed circa 1978 and has reached the end of its life cycle. A structural evaluation conducted by H2M Architects & Engineers in 2014 concluded that the bulkhead was deteriorated beyond possible repair and should be completely replaced.

6. **Has the item requested herein followed all proper procedures and thereby approved by the:**

Nassau County Attorney as to form

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. **Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:**

Contract ID	Date	Amount

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

08-JUL-20

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

Jack Schnirman
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: Chesterfield Associates, Inc.

CONTRACTOR ADDRESS: 56 South Country Road, Westhampton Beach NY, 11978

FEDERAL TAX ID #: 11-2467864

Instructions: Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☑ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in Newsday, NYSCR, NC eProcure. [newspaper] on 01/08/2020 [date]. The sealed bids were publicly opened on 02/18/2020 [date]. 1 [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

_____, [describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ **D.** Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☒ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41*, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.


Department Head Signature
5/19/20
Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

U.S. Department of Labor

Occupational Safety and Health Administration
1400 Old Country Road
Suite 208
Westbury, NY 11590
Phone: 516-334-3344 Fax: 516-334-3326



Citation and Notification of Penalty

To:

Chesterfield Associates
56 S. Country Rd.
Westhampton Beach, NY 11978

Inspection Number: 1127066

Inspection Date(s): 02/23/2016 - 02/23/2016

Issuance Date: 08/19/2016

Inspection Site:

56 S. Country Rd.
Westhampton Beach, NY 11978

The violation(s) described in this Citation and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

This Citation and Notification of Penalty (this Citation) describes violations of the Occupational Safety and Health Act of 1970. The penalty(ies) listed herein is (are) based on these violations. You must abate the violations referred to in this Citation by the dates listed and pay the penalties proposed, unless within 15 working days (excluding weekends and Federal holidays) from your receipt of this Citation and Notification of Penalty **you either call to schedule an informal conference (see paragraph below) or** you mail a notice of contest to the U.S. Department of Labor Area Office at the address shown above. Please refer to the enclosed booklet (OSHA 3000) which outlines your rights and responsibilities and which should be read in conjunction with this form. Issuance of this Citation does not constitute a finding that a violation of the Act has occurred unless there is a failure to contest as provided for in the Act or, if contested, unless this Citation is affirmed by the Review Commission or a court.

Posting - The law requires that a copy of this Citation and Notification of Penalty be posted immediately in a prominent place at or near the location of the violation(s) cited herein, or, if it is not practicable because of the nature of the employer's operations, where it will be readily observable by all affected employees. This Citation must remain posted until the violation(s) cited herein has (have) been abated, or for 3 working days (excluding weekends and Federal holidays), whichever is longer.

Informal Conference - An informal conference is not required. However, if you wish to have such a conference you may request one with the Area Director during the 15 working day contest period. During such an informal conference you may present any evidence or views which you believe would support an adjustment to the citation(s) and/or penalty(ies).

If you are considering a request for an informal conference to discuss any issues related to this Citation and Notification of Penalty, you must take care to schedule it early enough to allow time to contest after the informal conference, should you decide to do so. Please keep in mind that a written letter of intent to contest must be submitted to the Area Director within 15 working days of your receipt of this Citation. The running of this contest period is not interrupted by an informal conference.

If you decide to request an informal conference, please complete, remove and post the Notice to Employees next to this Citation and Notification of Penalty as soon as the time, date, and place of the informal conference have been determined. Be sure to bring to the conference any and all supporting documentation of existing conditions as well as any abatement steps taken thus far. If conditions warrant, we can enter into an informal settlement agreement which amicably resolves this matter without litigation or contest.

Right to Contest – You have the right to contest this Citation and Notification of Penalty. You may contest all citation items or only individual items. You may also contest proposed penalties and/or abatement dates without contesting the underlying violations. **Unless you inform the Area Director in writing that you intend to contest the citation(s) and/or proposed penalty(ies) within 15 working days after receipt, the citation(s) and the proposed penalty(ies) will become a final order of the Occupational Safety and Health Review Commission and may not be reviewed by any court or agency.**

Penalty Payment – Penalties are due within 15 working days of receipt of this notification unless contested. (See the enclosed booklet and the additional information provided related to the Debt Collection Act of 1982.) Make your check or money order payable to “DOL-OSHA”. Please indicate the Inspection Number on the remittance. You can also make your payment electronically on www.pay.gov. On the left side of the pay.gov homepage, you will see an option to Search Public Forms. Type "OSHA" and click Go. From the results, click on **OSHA Penalty Payment Form**. The direct link is:

<https://www.pay.gov/paygov/forms/formInstance.html?agencyFormId=53090334>.

You will be required to enter your inspection number when making the payment. Payments can be made by credit card or Automated Clearing House (ACH) using your banking information. Payments of \$25,000 or more require a Transaction ID, and also must be paid using ACH. If you require a Transaction ID, please contact the OSHA Debt Collection Team at (202) 693-2170.

OSHA does not agree to any restrictions or conditions or endorsements put on any check, money order, or electronic payment for less than the full amount due, and will process the payments as if these restrictions or conditions do not exist.

Notification of Corrective Action – For each violation which you do not contest, you must provide ***abatement certification*** to the Area Director of the OSHA office issuing the citation and identified above. This abatement certification is to be provided by letter within 10 calendar days after each abatement date. Abatement certification includes the date and method of abatement. If the citation indicates that the violation was corrected during the inspection, no abatement certification is required for that item. The abatement certification letter must be posted at the location where the violation appeared and the corrective action took place or employees must otherwise be effectively informed about abatement activities. A sample abatement certification letter is enclosed with this Citation. In addition, where the citation indicates that ***abatement documentation*** is necessary, evidence of the purchase or repair of equipment, photographs or video, receipts, training records, etc., verifying that abatement has occurred is required to be provided to the Area Director.

Employer Discrimination Unlawful – The law prohibits discrimination by an employer against an

employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the U.S. Department of Labor Area Office at the address shown above.

Employer Rights and Responsibilities – The enclosed booklet (OSHA 3000) outlines additional employer rights and responsibilities and should be read in conjunction with this notification.

Notice to Employees – The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the U.S. Department of Labor Area Office at the address shown above and postmarked within 15 working days (excluding weekends and Federal holidays) of the receipt by the employer of this Citation and Notification of Penalty.

Inspection Activity Data – You should be aware that OSHA publishes information on its inspection and citation activity on the Internet under the provisions of the Electronic Freedom of Information Act. The information related to these alleged violations will be posted when our system indicates that you have received this citation. You are encouraged to review the information concerning your establishment at www.osha.gov. If you have any dispute with the accuracy of the information displayed, please contact this office.



NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with OSHA to discuss the citation(s) issued on 08/19/2016. The conference will be held by telephone or at the OSHA office located at 1400 Old Country Road, Suite 208, Westbury, NY 11590 on _____ at _____.

_____. Employees and/or representatives of employees have a right to attend an informal conference.

U.S. DEPARTMENT OF LABOR OCCUPATIONAL SAFETY AND
HEALTH ADMINISTRATION

In the Matter of: Chesterfield Associates

OSHA No.(s): 1127066

INFORMAL SETTLEMENT AGREEMENT

The undersigned Employer and the undersigned Occupational Safety and Health Administration (OSHA), in settlement of the above citation(s) and penalties which were issued on 08/19/2016, hereby agree as follows:

1. The Employer agrees to correct the violations as cited in the above citations or as amended below.
2. The Employer agrees to pay the proposed penalties, if any, as issued with the above citation(s), or, if amended by this agreement, as amended below.
3. The Employer and OSHA agree that any citations and/or penalties not addressed in paragraph 4 are not being amended.
4. OSHA agrees that the following citations and penalties are being amended as shown below:

Cit 01 Item 001a reduced to \$6984.00

Original Total Penalty- \$8730.00

Amended Total Penalty- \$6984.00

The employer agrees that job is complete and they will be contracting a service if needed in the future.

The Employer agrees to submit to the Long Island Area Office no later than the last abatement date, a letter detailing specifically how the hazards were abated and the date the hazards were abated by 09/06/16.

The employer affirms that all violations alleged in the citation(s) have been abated.

The amended total penalty of \$6984.00 will be paid in full on or before 09/06/2016

5. The Employer, by signing this informal settlement agreement, hereby waives its rights to contest the above citation(s) and penalties, as amended in paragraph 4 of this agreement.

6. The Employer agrees to immediately post a copy of this Settlement Agreement in a prominent place at or near the location of the violation(s) referred to in paragraph 4 above. This Settlement Agreement must remain posted until the violations cited have been corrected, or for 3 working days (excluding weekends and Federal Holidays), whichever is longer.
7. The Employer agrees to continue to comply with the applicable provisions of the Occupational Safety and Health Act of 1970, and the applicable safety and health standards promulgated pursuant to the Act.

For Occupational Safety
And Health Administration

Anthony Ciuffo, CSP

Area Director

(signature and date)

[Signature]
8/29/2016

For the Employer

(signature and date)

[Signature] 9/1/16



E-MAILED

9/1/16

NOTICE TO EMPLOYEES

The law gives you or your representative the opportunity to object to any abatement date set for a violation if you believe the date to be unreasonable. Any contest to the abatement dates of the citations amended in paragraph 4 of this Settlement Agreement must be mailed to the U.S. Department of Labor Area Office at 1400 Old Country Road, Suite 208, Westbury, NY 11590, within 15 working days (excluding weekends and Federal Holidays) of the receipt by the Employer of this Settlement Agreement. You or your representative also have the right to object to any of the abatement dates set for violations, which were not amended, provided that the objection is mailed to the office shown above within the 15-working-day period established by the original citation.



OSHA English | Spanish

Find it in OSHA



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Establishment Search Results

Establishment	Date Range	Office	State
chesterfield Associates	12/20/2011 to 12/20/2016	all	NY

Please note that inspections which are known to be incomplete will have the identifying Activity Nr shown in italic. Information for these open cases is especially dynamic, e.g., violations may be added or deleted.

Sort By: **Date** | Name | Office | State

Return to Search ↻

Results 1 - 1 of 1

By Date

Get Detail

Select All

Reset

	#	Activity	Opened	RID	St	Type	Sc	SIC	NAICS	Vio	Establishment Name
<input type="checkbox"/>	1	1127066.015	02/23/2016	0214700	NY	Referral	Partial		237990	1	Chesterfield Associates

UNITED STATES
DEPARTMENT OF LABOR

Occupational Safety and Health Administration
200 Constitution Ave., NW,
Washington, DC 20210
☎ 800-321-6742 (OSHA)
TTY
www.OSHA.gov

FEDERAL GOVERNMENT

White House
Affordable Care Act
Disaster Recovery Assistance
USA.gov
Disability.gov
Plain Writing Act
Recovery Act
No Fear Act
U.S. Office of Special Counsel

OCCUPATIONAL SAFETY AND HEALTH

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Inspection Detail

Case Status: CLOSED

Inspection: 1127066.015 - Chesterfield Associates

Inspection Information - Office: Long Island

Nr: 1127066.015 Report ID: 0214700 Open Date: 02/23/2016

Chesterfield Associates

56 S. Country Rd.

Westhampton Beach, NY 11978

Union Status: NonUnion

SIC:

NAICS: 237990/Other Heavy and Civil Engineering Construction

Mailing: 56 S. Country Rd., Westhampton Beach, NY 11978

Inspection Type: Referral

Scope: Partial

Advanced Notice: N

Ownership: Private

Safety/Health: Safety

Close Conference: 02/23/2016

Close Case: 09/07/2016

Related Activity: Type

ID

Safety

Health

Referral

1065524

Yes

Case Status: CLOSED

Violation Summary

	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations	1					1
Current Violations	1					1
Initial Penalty	\$8,730					\$8,730
Current Penalty	\$6,984					\$6,984
FTA Amount						

Violation Items

#	ID	Type	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent
1.	01001A	Serious	19150012 A01	08/19/2016	08/25/2016	\$6,984	\$8,730	\$0		I - Informal Settlement
2.	01001B	Serious	19150012 E	08/19/2016	08/25/2016	\$0	\$0	\$0		I - Informal Settlement

UNITED STATES
DEPARTMENT OF LABOR



August 29, 2016

Contractors & Engineers

Since 1968

P.O. Box 1229 • Westhampton Beach, NY 11978

phone: 631-288-5100 • fax: 631-288-5161

E-mail: info@ca-inc.net

www.ca-inc.net

U.S. Department of Labor
Occupational Safety & Health Administration
Attention: John Dednarik
Assistant Area Director
1400 Old Country Road
Suite 208
Westbury, NY 11590

Re: Inspection #1127066
Date: 2/23/2016

Citation 1, Item 1a: Abatement
Item 1b: Shipyard Rescue Team

Gentlemen:

Subsequent to the incident, the barge hull in question was resealed and welded shut. We have no intention to enter any closed space in the future without proper prior environmental testing and recovery personnel on site.

Since we are not a shipyard and would have a very small amount of maintenance, we have contacted Eastern Environmental Solutions, Inc. for their future service for air quality testing and a confined space response team. We will contract with them prior to any future work.

Please contact us if you have any questions.

Respectfully,

A handwritten signature in black ink, appearing to read 'Seth Allan', followed by the initials 'VP'.

Seth Allan
Vice President

CERTIFICATION OF CORRECTIVE ACTION WORKSHEET

Inspection Number: 1127066

Company Name: Chesterfield Associates

Inspection Site: 56 S. Country Rd., Westhampton Beach, NY 11978

Issuance Date: 08/19/2016

List the specific method of correction for each item on this citation in this package that does not read "Corrected During Inspection" and return to: **U.S. Department of Labor – Occupational Safety and Health Administration, 1400 Old Country Road, Suite 208, Westbury, NY 11590**

Citation Number 1 and Item Number 1a was corrected on 3/4/16

By (Method of Abatement): Boathull resealed + welded - will have Eastern Environmental Solutions, Inc. perform air quality testing, monitoring & their response team for any future confined space activities

Citation Number 1 and Item Number 1b was corrected on 8/29/16

By (Method of Abatement): Eastern Environmental Solutions, Inc.

Citation Number _____ and Item Number _____ was corrected on _____

By (Method of Abatement): _____

Citation Number _____ and Item Number _____ was corrected on _____

By (Method of Abatement): _____

Citation Number _____ and Item Number _____ was corrected on _____

By (Method of Abatement): _____

Citation Number _____ and Item Number _____ was corrected on _____

By (Method of Abatement): _____

I certify that the information contained in this document is accurate and that the affected employees and their representatives have been informed of the abatement.

Seth Allan
Signature
Seth Allan
Typed or Printed Name

8/29/16
Date
Vice President
Title

NOTE: 29 USC 666(g) whoever knowingly makes any false statements, representation or certification in any application, record, plan or other documents filed or required to be maintained pursuant to the Act shall, upon conviction, be punished by a fine of not more than \$10,000 or by imprisonment of not more than 6 months or both.

POSTING: A copy of completed Corrective Action Worksheet should be posted for employee review



Citation and Notification of Penalty

Company Name: Chesterfield Associates

Inspection Site: 56 S. Country Rd., Westhampton Beach, NY 11978

The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

Citation 1 Item 1 a Type of Violation: **Serious**

29 CFR 1915.12(a)(1): The employer did not ensure that spaces that had been sealed were visually inspected and tested by a competent person to determine the atmosphere's oxygen content prior to initial entry into the space by an employee.

a) Company yard, 56 South Country Rd., Westhampton Beach, NY: An employee entered a previously sealed, confined space inside the hull of a barge without prior atmospheric testing. The worker became dizzy and nauseated; on or about 2/23/16.

Note: In addition to abatement certification the employer is required to submit abatement documentation for this item in accordance with 29 CFR 1903.19.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:

08/25/2016

Proposed Penalty:

\$8730.00



Citation and Notification of Penalty

Company Name: Chesterfield Associates

Inspection Site: 56 S. Country Rd., Westhampton Beach, NY 11978

Citation 1 Item 1 b Type of Violation: **Serious**

29 CFR 1915.12(e): The employer neither established a shipyard rescue team nor arranged for an outside rescue team which will respond promptly to a request for rescue service.

a) Company yard, 56 South Country Rd., Westhampton Beach, NY: An employee entered a previously sealed, confined space inside the hull of a barge without a company prearranged shipyard rescue team or a prearranged outside rescue team which would respond promptly to a request for rescue service; on or about 2/23/16.

Note: In addition to abatement certification the employer is required to submit abatement documentation for this item in accordance with 29 CFR 1903.19.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:

08/25/2016

A handwritten signature in black ink, appearing to read "Anthony Ciuffo", written over a horizontal line.

Anthony Ciuffo, CSP
Area Director

August 30, 2016

Mrs. Janet Diomede
Chesterfield Associates, Inc.
P.O. Box 1229
56 South Country Road
Westhampton Beach, NY 11978

Dear Mrs. Diomede;

This letter is to confirm Eastern Environmental Solutions, Inc. (Eastern) has entered into an agreement with Chesterfield Associates, Inc. (Chesterfield) to perform all air-quality testing, monitoring & provide an emergency response team for confined spaces during interior maintenance activities within Chesterfields' barges.

Eastern Environmental Solutions, Inc.'s has a Comprehensive Health and Safety Program which will be presented to Chesterfield employees and will that apply to Chesterfield personnel and subcontractors performing work at various sites, where operations involve actual or potential exposure to safety or health hazards.

Eastern employees shall continually and diligently inspect their work, materials, and equipment to discover and rectify any condition that might adversely affect the performance of their work or that of any other individual on site and shall be responsible for the discovery and correction of any such condition.

Eastern technicians entering any confined space will do so in accordance with the procedures outlined in OSHA 1910.146. Eastern will provide personal protective equipment, operating equipment, and supervision necessary for protection of individual health and safety. All practices in confined space entry will meet or exceed applicable federal, state and local safety regulations to ensure that all individuals entering a confined space adhere to established safety practices and utilize required confined space entry equipment.

If you have any questions or require additional information please feel free to contact me in our office at 631-727-2700.

Best Regards,

»Eastern
ENVIRONMENTAL SOLUTIONS, INC.



Michael O. Flynn
President

Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Seth Allan state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Disclosures

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.

Name of Submitting Entity: Chesterfield Associates, Inc.

Vendor's Address: 56 South Country Road Westhampton Beach NY US 11978

Vendor's EIN or TIN: 112467864

Forms Submitted: _____

Political Campaign Contribution Disclosure Form:
04/15/2020 12:24:48 PM

Lobbyist Registration and Disclosure Form:
04/15/2020 12:26:35 PM

Business History Form certified:
04/15/2020 12:28:41 PM

Consultant's, Contractor's, and Vendor's Disclosure Form:
04/15/2020 12:25:58 PM

Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

Principal Name	Date Certified
Jeffrey Grube [JEFF@CA-INC.NET]	04/15/2020 12:12:24 PM
E. Davies Allan [BOATHOUSE@GWI.NET]	04/15/2020 11:19:37 AM
JeanMarie LaVallee [JEAN@CA-INC.NET]	04/15/2020 11:25:27 AM
Alexander T. Allan [ALEX@CA-INC.NET]	04/15/2020 11:17:09 AM
Seth D Allan [SETH@CA-INC.NET]	04/15/2020 11:21:51 AM

I, Seth Allan hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

Seth Allan

Name

Vice President

Title

Chesterfield Associates, Inc.

Name of Submitting Entity

04/15/2020 12:41:08 PM

Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 11/27/2019

1) Proposer's Legal Name: Chesterfield Associates, Inc.

2) Address of Place of Business: 56 South Country Road

City: Westhampton Beach State/Province/Territory: NY Zip/Postal Code: 11978

Country: US

3) Mailing Address (if different): P.O. Box 1229

City: Westhampton Beach State/Province/Territory: _____ Zip/Postal Code: _____

Country: _____

Phone: (631) 288-5100

Does the business own or rent its facilities? Own If other, please provide details:

4) Dun and Bradstreet number: 057728537

5) Federal I.D. Number: 11-2467864

6) The proposer is a: Corporation (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?

YES ☐ NO ☒ If yes, please provide details:

8) Does this business control one or more other businesses?

YES ☐ NO ☒ If yes, please provide details:

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

YES ☐ NO ☒ If yes, please provide details:

- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?
YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).
- 11) Has the proposer, during the past seven years, been declared bankrupt?
YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.
YES ☒ NO ☐ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

OSHA inspection #1127066 Citation 1. 1a (abatements), 1b (shipyard rescue team) see attached for details

2 File(s) Uploaded: OSHA settlement & pages 1-4.pdf, OSHA violation.pdf
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
a) Any felony charge pending?
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17 Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

- b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

Chesterfield Associates, Inc. will not entertain any bids or projects where there is a potential conflict of interest with individuals or companies.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

- i) Date of formation;

08/16/1978

- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

See attached list

No individuals with a financial interest in the company have been attached..

1 File(s) Uploaded: Stockholders.Officers.pdf

- iii) Name, address and position of all officers and directors of the company. If none, explain.

See attached list

No officers and directors from this company have been attached.

1 File(s) Uploaded: Stockholders.Officers.pdf

- iv) State of incorporation (if applicable);

NY

- v) The number of employees in the firm;

42

- vi) Annual revenue of firm;

22000000

- vii) Summary of relevant accomplishments

See attachments

1 File(s) Uploaded: Accomplishments & Licenses.pdf

- viii) Copies of all state and local licenses and permits.

1 File(s) Uploaded: Accomplishments & Licenses.pdf

- B. Indicate number of years in business.

- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

See attachments

- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company	Inc. Village of Saltaire		
Contact Person	Mario Posillico		
Address	P.O. Box 5551		
City	Bay Shore	State/Province/Territory	NY
Country	US		
Telephone	(631) 583-5566		
Fax #	(631) 583-5986		
E-Mail Address	mario@saltaire.org		

Company	Inc. Village of Ocean Beach		
Contact Person	Robert Steele, P.E.		
Address	P.O. Box 457		
City	Ocean Beach	State/Province/Territory	NY
Country	US		
Telephone	(631) 286-8668		
Fax #	(631) 583-7597		
E-Mail Address	rsteele@lkma.com		

Company	Bridgeport & Port Jefferson Steamboat Co.		
Contact Person	Harold Jacobson		
Address	102 Broadway		
City	Port Jefferson	State/Province/Territory	NY
Country	US		
Telephone	(631) 476-8045		
Fax #	(631) 476-8044		
E-Mail Address	hjacobso@mcallistertowing.com		

I, Seth Allan , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Seth Allan , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

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Name of submitting business: Chesterfield Associates, Inc.

Electronically signed and certified at the date and time indicated by:
Seth Allan [SETH@CA-INC.NET]

Vice President
Title

04/15/2020 12:28:41 PM
Date



Contractors & Engineers
Since 1968

P.O. Box 1229 • Westhampton Beach, NY 11978
phone: 631-288-5100 • fax: 631-288-5161

E-mail: info@ca-inc.net
www.ca-inc.net

Stockholders/Officers

**The following is a list of shareholders/corporate officers of
Chesterfield Associates, Inc. with their home addresses:**

E. Davies Allan, President
123 West Shore Road, Westport Island, ME 04578
(only voting share)

Seth Allan, Vice President (40%)
56 South Country Road, Westhampton Beach, NY 11978

Alexander T. Allan, Vice President (40%)
49 Seashore Avenue, East Quogue, NY 11942

JeanMarie LaVallee, Vice President (5%)
P.O. Box 1221, Jamesport, NY 11947

Jeffrey E. Grube, Secretary/Treasurer (15%)
136 Dahlia Drive, Mastic Beach, NY 11951

Janet Diomede

From: Stephanie Hart <hart@abcnys.org>
Sent: Thursday, September 26, 2019 2:34 PM
To: Jeff Grube; Janet Diomede
Cc: Jeff Albert; Brian Sampson
Subject: Excellence in Construction Awards Gala

Good afternoon Jeff,

Congratulations! On behalf of the Empire Chapter Board of Directors, your project has been chosen to receive an award at the Excellence in Construction Awards Gala on Thursday, November 7th, 2019 at the Gallery at the Dream Hotel. As the award process is being finalized we wanted to inform you again of the venue, date, time, and hotel reservations which you will find within the attached document. We encourage you to bring your construction partners (subcontractors, engineers, architects, suppliers, etc) so that they can celebrate the achievement with you.

Please reach out to me directly if you have any questions or concerns.

We are look forward to acknowledging and celebrating the wonderful work that merit shop contractors are doing to recreate the landscape of our great state.

All the best,

Steph

Stephanie Hart

Event & Program Manager

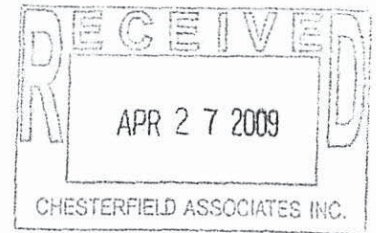
Associated Builders & Contractors, Empire State Chapter

6369 Collamer Dr.

East Syracuse, NY 13057-1115

Ph: 315-463-7539

Hart@abcnys.org



OFFICE OF THE SUPERVISOR

Town Hall
P.O. Box 970
38 North Ferry Road
Shelter Island, NY 11964-0970

James Dougherty
Supervisor

Phone (631) 749-0015
Fax (631) 749-0728
jdougherty@shelterislandtown.us

April 24, 2009

Jeffrey Grube
Chesterfield Associates, Inc.
56 South Country Road
PO Box 1229
Westhampton Beach, NY 11978

Dear Jeff,

The Shell Beach restoration project has been a wonderful accomplishment for Shelter Island and you played a critical role in our successfully achieving it. Thanks so much from all of us for your very effective efforts.

We are planning a ribbon cutting ceremony. It will be done in the informal, low key shelter Island way: a brief ceremony followed by some light refreshments. We would be delighted if you could join us as a key player.

Our current planning is for 3pm May 15th, but we're flexible so if another time would be more convenient for you, give me a ring and we'll explore.

Jeff,
not essential at all.
Just didn't want you
guys to feel excluded.
If it works, fine. If
not, will see you soon.
JD

Best regards,

James D. Dougherty
Supervisor



INC. VILLAGE OF SALTIRE
P.O. BOX 5551, BAYSHORE, NY 11706



Mayor Scott S. Rosenblum
Trustee Robert Cox
Trustee Hugh O'Brien
Trustee Bruce Rich
Trustee Hillary Richard

Phone (631) 583-5566
Fax (631) 583-5986
Security: (631) 583-5572
email: office@saltire.org
Website: www.saltire.org

January 22, 2008

Mr. Jeff Grube
Chesterfield Associates
PO Box 1229
Westhampton Beach, NY 11978

Dear Jeff:

I did not want to get too far from your completion of the reconstruction of the Saltaire Ferry Terminal without expressing my appreciation for the quality of work and professionalism that your firm delivered in every aspect of this project.

Although I am sure your firm may have constructed larger and or more complex waterfront projects, it was a significant project for our Village in many regards; and it was obvious right from the outset that you understood that from your approach to the project and your responsiveness to our needs and concerns. Your work with our engineer in redesigning certain sections of the project in an effort towards cost savings, without loss of quality and functionality, was vital in making the project financially feasible for us. Your flexibility in scheduling necessitated by atypical material requirements was key in bringing this project to completion without loss of service for our summer seasons.

I cannot praise enough the professionalism, expertise and quality of the work crews that were assigned to this project, particularly the primary crew of Alex Allan, Shawn King and Bert Vega. They approached this project as if they were constructing it for their own use, paying attention to every detail and constantly looking for ways to make the end product better. It was obvious from the beginning of the work that their goal was to construct the best facility possible, and that spirit was maintained for the duration of the project. The quality of their work never wavered, even during the most brutal weather conditions, and their on site recommendations and adjustments unquestionably made for a better facility. They were outstanding.

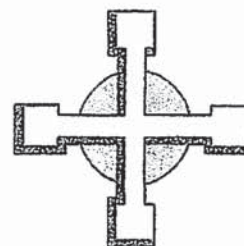
Finally, your office staff was always courteous, helpful and understood the needs of our office. They worked with us to provide the requisite documentation in a timely manner and in conformance with the relevant laws.

Please feel free to use the Village of Saltaire as a reference for any prospective client, because the quality of the work undertaken here should be a proud testament to the very high standards of Chesterfield Associates.

It was a pleasure working with you and your firm on this project.

Sincerely,

Mario Posillico
Village Administrator



January 12, 2009

Jeff Grube
Chesterfield Associates Inc.
P.O. Box 1229
Westhampton Beach, NY 11978

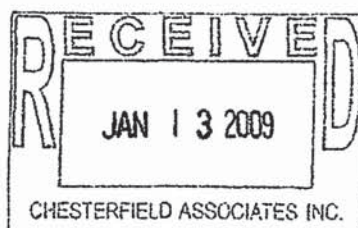
Dear Jeff,

I wanted to take this opportunity to thank you and in particular, Alex, for the work you did on the bulkheading here in the parish. I know you ran into a number of problems, not with the job, but with the Town and the DEC. And that of course delayed completing the project. But in the end it really looks good and we have received a number of compliments from parishioners; something I wanted to pass on to you.

Please be assured of the fact that we appreciate the work you did and I just wanted to – along with the final payment – express my thanks.

Sincerely,

Msgr. T. Peter Ryan
Pastor



Kristy Ligon

From: Hughes, Mike B (DEC) <mike.hughes@dec.ny.gov>
Sent: Thursday, August 25, 2016 2:12 PM
To: Lou Caglianone
Cc: Janet Diomedes; Jeff Grube
Subject: RE: Calverton dam

Follow Up Flag: Follow up
Flag Status: Flagged

Hello Lou,

Bob gave me a date for the final inspection of Thursday 9/22/16 at 8:30am. He said you may submit a payment request for the retainage -\$5,000.00. The remaining \$5,000.00 can be requested after the meeting on the 22nd providing there are no issues.

I really appreciate your patience. I also would like to thank all of you at Chesterfield for the fantastic job you did. It was a pleasure working with you, your field staff (Joe, Keith, Tom, Mike the welder) and your office support staff. This was a very complicated project. Joe Costello was unbelievably fantastic to deal with. He and his staff went above and beyond on many occasions to ensure the job was done correctly. He also took extra steps protecting the natural resources of the Peconic River.

Please pass my thanks along to all involved. I look forward to the possibility of working with you again.

Thanks again, Mike

Mike Hughes

Conservation Operations Supervisor III, Region One Operations

New York State Department of Environmental Conservation

484 Randall Road, Ridge, NY 11961

P: (631) 924-0059 | F: (631) 345-0102 | mike.hughes@dec.ny.gov

www.dec.ny.gov |  | 

From: Hughes, Mike B (DEC)
Sent: Wednesday, August 24, 2016 3:38 PM
To: 'Lou Caglianone'
Cc: info@ca-inc.net
Subject: RE: Calverton dam

Lou,

I called Bob, He still wasn't sure. If it's far off we can discuss billing for most of the retainage just leaving a little until they inspect.

Sorry, Mike

Note of Appreciation from Mattituck Park District

Dear Mr. Allan,

It is with the greatest of pleasure I'm writing this holiday card to you and your wonderful company for the job that was done at our beach in Mattituck. I am a 32 year commissioner of this beautiful property and words cannot express the men, the work, and the final product. The entire hamlet is so happy for the reform of this beach to its present state. You and your company have created a model for the people of Mattituck and Laurel. We thank you for all of your assistance and thank the staff for all of their hard work.

Thanks again,

Chairman of the Mattituck Park District



MAY THE PEACE OF
THIS CHRISTMAS SEASON
REMAIN WITH YOU
THROUGHOUT THE YEAR

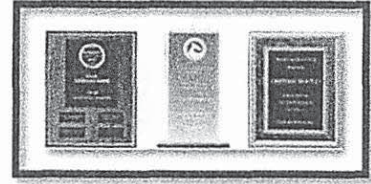
Charles Agard

Dear Father
 Arrived, & K. H. R.
 Mother & I pack!
 Dis. Jan. 1.

[illegible]

Awards

- Accreditations from the US Department of the Interior for the rehabilitation of the Roebling Bridge in Lackawacken, PA
- Presidential Award for Design Excellence for the Roebling Bridge Project
- Accreditations from the Village of Saltaire for the reconstruction of the piers on the barrier beach of Long Island.



NASSAU COUNTY
DEPARTMENT OF CONSUMER AFFAIRS
240 OLD COUNTRY ROAD, MINEOLA, NY 11501
WWW.NASSAUCOUNTYNY.GOV

Chesterfield Associates Inc
Pob 1229
Westhampton Beach, NY 11978



View licensing information
by scanning the link above

Chesterfield Associates Inc
H18H2640000
Home Improvement

Does Not Constitute a Plumbing or Electrical License

The issuance and retention of this license is contingent upon the licensee's compliance with the laws of The State of New York and the County of Nassau, the rules and regulations of the office of Consumer Affairs, and the rules and regulations of the all other New York State and Nassau County agencies, now in effect or which may hereafter be enacted.

DATE OF ISSUE: 03/01/2010

EXPIRATION DATE: 02/28/2022



Gregory A. May
GREGORY A MAY
COMMISSIONER

This License Must be Conspicuously Displayed

L.I.L.W.A.

This is to Certify that, Whereas

Seth Allan

Has demonstrated thorough knowledge of the Long Island Liquid Waste Association's Standards for Health, Safety and Service Procedures and has completed all the requirements of the 4 Hour Certification Program and it's Board. Therefore, has been awarded on this date a

L.I.L.W.A. CERTIFICATE OF COMPLIANCE

and is fully entitled to all the rights and privileges associated with this designation. This certificate will remain in force until the designated expiration date or unless otherwise revoked by action of the L.I.L.W.A. Certification Board

Issued: May 2018 Expires: May 2020

Linda E. Perlou

L.I.L.W.A. Exec. Director, Linda E. Perlou

Long Island Liquid Waste Association, Inc.
P.O. Box 2667
Lake Ronkonkoma, New York 11779
(631) 585-0448
www.lilwa.org

OWNER	PROJECT TYPE OF WORK	CONTACT	CONTRACT PRICE	DATE COMP- LETED
Town of Southampton 116 Hampton Road Southampton, NY 11968	Weesuck Avenue Bulkhead Repair Resolution #2018-157	Christopher F. Dwyer (631) 286-8668	140,502	Dec-18
Artisan Construction Associates 103 Hayground Rd Water Mill, NY 11976	355 Old Montauk Highway Montauk, NY Permanent Steel Sheet Shoring	Maude Adams (631) 808-3323	141,700	Dec-18
Town of Southampton 116 Hampton Road Southampton, NY 11968	Old Ponquoque Bridge Restoration Hampton Bays, NY Resolution #2017-893	Robert A. Steele, P.E. (631) 286-8668	1,777,090	Nov-18
East End Hospice One Meeting House Road Westhampton Beach, NY 11978	Bulkhead Replacement Ouloque, NY	John Peters (631) 601-4010	127,800	Oct-18
Town of Seabrook 99 Lafayette Road Seabrook, NH 03874	Bridge Brackets Seabrook, NH	Shaylia Marquis (603) 474-3311	113,800	Oct-18
Lake Champlain Transportation Co. One King Street Dock Burlington, VT 05401	Marine Railway Reconstruction Shelburne LCDF (1) Shelburne, VT	Russell Fox (802) 343-5100	3,124,000	Sep-18
Bridgeport Port Jefferson Steamboat Co. 102 West Broadway Port Jefferson, NY 11777	Refurbishment of Ferry Terminal Pier Port Jefferson, NY Proj. #BAB-2016010.01	Harold Jacobson, Sr. Chief Engineer (475) 232-1154	10,021,100	Sep-18
The Haskell Company 111 Riverside Avenue Jacksonville, FL 32202	USCG Station New York Boat Ramp Extension Staten Island, NY Subcontract #6601813-0043	Simeon H. Register 904-704-5520	315,130	Aug-18
Marijo Adimey 21515 Soundview Avenue Southold, NY 11971	Bulkhead Replacement Southold	Marijo Adimey (917) 886-4192	191,150	Aug-18
Alex Vasilakis 21625 Soundview Avenue Southold, NY 11971	Bulkhead Replacement Southold, NY	Alex Vasilakis (516) 384-3576	279,480	Aug-18
Town of Brookhaven One Independence Hill Farmingville, NY 11738	Reconstruction of Fire Island Pines Ferry Terminal, Contract #20160087 Fire Island Pines, Fire Island, NY	Christopher F. Dwyer (631) 286-8668	2,242,000	Aug-18
Town of North Hempstead 220 Plandome Road Manhasset, NY 11030	Non-Motorized Pier & Floating Dock North Hempstead Beach Park	James A. Gladysz, P.E. (631) 348-7600	621,500	Jul-18
State of Maine Dept. of Agriculture 22 State House Station Augusta, ME 04333	LaMoine State Park Shoreline & Pier Repairs BGS #2783, LaMoine, ME	David Rodrigues (207) 287-4916	100,667	Jul-18
Maine Maritime Museum 243 Washington Street Bath, ME 04530	Seasonal Float Improvement Project Bath, ME Project #17-05	Barney Baker, Proj. Enq. (207) 846-9724	124,505	Jul-18
Bulgin & Associates, Inc. 199 Mariner Drive, Suite 5 Southampton, NY 11968	160 Dune Road Piles & Girders Quoque, NY	Courtney Martin (631) 283-9670	273,000	May-18
Town of Southampton 116 Hampton Road Southampton, NY 11968	Old Fort Pond Catwalk, gangway, floating dock, piles Little Neck Road, Southampton	John MacDonald (631) 702-1896	246,000	May-18
A.I.I. Allen Industries 510 Broadway Amityville, NY 11701	Steel Bulkhead Long Beach, NY	Brenda Allan (631) 789-3333	412,000	Mar-18
John Hummel & Associates Custom 49B Route 114 East Hampton, NY 11937	94 Apaquoque Road Shoring & Dewatering East Hampton, NY	Tom Fantini (631) 324-5644	794,150	Feb-18
Bensin Contracting Inc. P.O. Box 388 Holtsville, NY 11742	New Well & Safe House Timber pile foundation Surfview Walk, Ocean Beach, Fire Island	Susan Sanchez (631) 758-7200	217,200	Sep-17
Metro Group of L.I. Inc. P.O. Box 20566 Huntington Station, NY 11746	Sunken Meadow Outdoor Learning Facility Western BOCES, Kings Park, NY Timber piles	Katie Schnelder (631) 261-1700	138,712	Jul-17
Town of Huntington 100 Main Street Huntington, NY 11743	Woodbine Marina Wave Screen ES2016-02/O-E Northport, NY	Edward Parrish, P.E. (516) 903-2668	239,500	Jun-17
Inc. Village of Saltaire P.O. Box 5551 Bay Shore, NY 11706	Boardwalk Reconstruction Phase VII Saltire, NY	Mario Posillico (631) 583-5566	1,252,300	Jun-17
Inc. Village of Ocean Beach P.O. Box 457 Ocean Beach, NY 11770	Bulkhead & Ferry Terminal Rehabilitation Phase I, D075950 Ocean Beach, Fire Island, NY	Robert A. Steele, P.E. (631) 286-8668	2,426,081	Jun-17
Northville Industries Corp. P.O. Box 2937 Melville, NY 11747	Bulkhead Stabilization Port Jefferson Marine Facility Beach Street, Port Jefferson, NY	Peter St. Germaine (631) 293-4700	423,578	Mar-17
Inc. Village of Manorhaven 33 Manorhaven Blvd. Manorhaven, NY 11050	Rehabilitation of Historic Morgan's Dock Phase II - Pier Installation Manorhaven, NY	Michael J. Borst, P.E. (631) 348-7600	414,578	Dec-16
Wright & Co. Construction, Inc. P.O. Box 315 Bridgehampton, NY 11932	AJR Meadow Lane LLC 1690 Meadow Lane, Southampton NY Catwalk	Susan Boscarino (631) 537-2555	170,741	Oct-16

CHESTERFIELD COMPLETED
JOBS LIST

OWNER	PROJECT TYPE OF WORK	CONTACT	CONTRACT PRICE	DATE COMP- LETED
Lettieri Construction, Inc. P.O. Box 1406 Westhampton Beach, NY 11978	1280 Meadow Lane LLC 1280 Meadow Lane, Southampton, NY Timber Piles	Terry Hochstedler (631) 288-4808	631,375	Sep-16
New York State Dept. of Environmental Conservation 625 Broadway, 3rd Floor Albany, NY 12233	Edwards Avenue Dam Repair Contract D009530 Towns of Brookhaven & Riverhead, NY	Thomas Miller, P.E. (518) 402-9084	936,067	Aug-16
LoDuca Associates, Inc. 113 Division Avenue Blue Point, NY 11715	LIRR timber piles Long Beach Substation #3213-PO-01 Long Beach, NY	Richard LoDuca (631) 567-3144	220,774	Aug-16
Northville Industries Corp. P.O. Box 2937 Melville, NY 11747	Holtsville Terminal Pipe Culverts 586 Union Avenue Holtsville, NY	Peter St. Germaine (631) 293-4700	281,813	Aug-16
Northville Industries Corp. P.O. Box 2937 Melville, NY 11747	Foam Building Foundation Port Jefferson, NY	Peter St. Germaine (631) 293-4700	207,200	Aug-16
The Haskell Company 111 Riverside Avenue Jacksonville, FL 32202	USCG Station Shinnecock Waterfront Improvements Proj. #6601733, Hampton Bays, NY	Jerry Martin Project Manager (904) 791-4628	7,615,957	Aug-16
The Haskell Company 111 Riverside Avenue Jacksonville, FL 32202	USCG Station Fire Island Platform & Ladder Access @ Breakwater Fire Island, NY	Jerry Martin Project Manager (904) 791-4628	27,025	Jul-16
Jamie Nickolaus 30 Buttonwood Drive Dix Hills, NY 11746	Terracing & Stone Revetment 17555 Soundview Avenue Southold, NY	Jamie Nickolaus (631) 241-9506	474,530	Jun-16
61 Crane Neck Road LLC 222 Middle Country Road, Ste 300 Smithtown, NY 11787	Damianos Residence Bluff Stabilization / Revetment Old Field, NY	Pelops Damianos (631) 360-9000	403,250	Jun-16
Axis Construction Corp. 125 Laser Court Hauppauge, NY 11788	East End Hospice Construction #14007 Quilico, NY	Vincent Buffolino (631) 881-5830	386,016	Jun-16
Inc. Village of Saltaire P.O. Box 5551 Bay Shore, NY 11706	Modular Decking Saltire, NY	Mario Posillico (631) 583-5566	434,355	May-16
Inc. Village of Saltaire P.O. Box 5551 Bay Shore, NY 11706	Boardwalk Reconstruction Phase IV Saltire, NY	Mario Posillico (631) 583-5566	2,321,392	Mar-16
Inc. Village of Saltaire P.O. Box 5551 Bay Shore, NY 11706	Boardwalk Reconstruction Phase VI Saltire, NY	Mario Posillico (631) 583-5566	1,397,068	Mar-16
Atlantic Salmon Federation Fort Andross, Suite 406 14 Maine Street Brunswick, ME 04011	Road Crossing Reconstruction at Blackstone Brook Blanchard, ME	Joseph McLean, P.E. (207) 725-8721	165,950	Dec-15
Inc. Village of Saltaire P.O. Box 5551 Bay Shore, NY 11706	Barge Delivery & Set of Modular Building Saltire, NY	Mario Posillico (631) 583-5566	326,625	Nov-15
Bulgin & Associates, Inc. 199 Mariner Drive, Suite 5 Southampton, NY 11968	Valentine Farm Helical Piles Old Brookville, NY	Thomas Lawrence (631) 283-9670	157,135	Sep-15
The Haskell Company 111 Riverside Avenue Jacksonville, FL 32202	USCG Station Fire Island Waterfront Improvements Proj. #6601734, Babylon, NY	Jerry Martin Project Manager (904) 791-4628	2,019,290	Aug-15
DF Stone Contracting, Ltd. 1230 Station Road Medford, NY 11763	Elmont Road Park Bank Stabilization (steel sheet pile shoring) Elmont, NY	Bill Thomas (631) 924-2730	354,950	Aug-15
Bulgin & Associates, Inc. 199 Mariner Drive, Suite 5 Southampton, NY 11968	62 Further Lane Timber Piles East Hampton, NY	Thomas Lawrence (631) 283-9670	411,020	Aug-15
USDOT/Federal Highway Administration 21400 Ridgetop Circle Sterling, VA 20166	Reconstruction of Lighthouse Dock NY ERFO FIIS 2013-1(6) DTFH71-15-C-00001, Fire Island, NY	James S. Grey, P.E. (703) 404-6269	808,113	Jul-15
Alfred Tovar 39 Waterview Drive Sound Beach, NY 11789	Slope Replenishment & Stabilization Sound Beach, NY	Alfred Tovar (631) 509-1154	356,620	Jul-15
Town of Brunswick 85 Union Street Brunswick, ME 04011	Androscoquin Launch Ramp	Jonathan DeWick, P.E. (207) 443-1508	123,600	May-15
Nassau County Bridge Authority P.O. Box 341 Lawrence, NY 11559-0341	Northwest Fender Rehabilitation @ Atlantic Beach Bridge Over Reynolds Channel Lawrence, NY	Vincent Grasso Executive Director (516) 239-6901	511,222	Apr-15
Bulgin & Associates, Inc. 199 Mariner Drive, Suite 5 Southampton, NY 11968	Shopkorn Residence Pool, Deck, Garage Piles Bridgehampton, NY	Robert J. Lemmen (631) 283-9670	113,000	Feb-15
Two Trees Management P.O. Box 1020 Bridgehampton, NY 11932	Walentas Residence Piles Southampton	Joseph Fowler (646) 852-0691	160,348	Feb-15
Suffolk County Dept. of Public Works 335 Yaphank Avenue	Brown's Creek Bridge Stormwater Remediation	Carmine Notaro, E.I.C. (631) 852-5334	3,044,417	Jan-15

U.S. Department of Labor

Occupational Safety and Health Administration
1400 Old Country Road
Suite 208
Westbury, NY 11590
Phone: 516-334-3344 Fax: 516-334-3326



Citation and Notification of Penalty

To:

Chesterfield Associates
56 S. Country Rd.
Westhampton Beach, NY 11978

Inspection Number: 1127066

Inspection Date(s): 02/23/2016 - 02/23/2016

Issuance Date: 08/19/2016

Inspection Site:

56 S. Country Rd.
Westhampton Beach, NY 11978

The violation(s) described in this Citation and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

This Citation and Notification of Penalty (this Citation) describes violations of the Occupational Safety and Health Act of 1970. The penalty(ies) listed herein is (are) based on these violations. You must abate the violations referred to in this Citation by the dates listed and pay the penalties proposed, unless within 15 working days (excluding weekends and Federal holidays) from your receipt of this Citation and Notification of Penalty **you either call to schedule an informal conference (see paragraph below) or** you mail a notice of contest to the U.S. Department of Labor Area Office at the address shown above. Please refer to the enclosed booklet (OSHA 3000) which outlines your rights and responsibilities and which should be read in conjunction with this form. Issuance of this Citation does not constitute a finding that a violation of the Act has occurred unless there is a failure to contest as provided for in the Act or, if contested, unless this Citation is affirmed by the Review Commission or a court.

Posting - The law requires that a copy of this Citation and Notification of Penalty be posted immediately in a prominent place at or near the location of the violation(s) cited herein, or, if it is not practicable because of the nature of the employer's operations, where it will be readily observable by all affected employees. This Citation must remain posted until the violation(s) cited herein has (have) been abated, or for 3 working days (excluding weekends and Federal holidays), whichever is longer.

Informal Conference - An informal conference is not required. However, if you wish to have such a conference you may request one with the Area Director during the 15 working day contest period. During such an informal conference you may present any evidence or views which you believe would support an adjustment to the citation(s) and/or penalty(ies).

If you are considering a request for an informal conference to discuss any issues related to this Citation and Notification of Penalty, you must take care to schedule it early enough to allow time to contest after the informal conference, should you decide to do so. Please keep in mind that a written letter of intent to contest must be submitted to the Area Director within 15 working days of your receipt of this Citation. The running of this contest period is not interrupted by an informal conference.

If you decide to request an informal conference, please complete, remove and post the Notice to Employees next to this Citation and Notification of Penalty as soon as the time, date, and place of the informal conference have been determined. Be sure to bring to the conference any and all supporting documentation of existing conditions as well as any abatement steps taken thus far. If conditions warrant, we can enter into an informal settlement agreement which amicably resolves this matter without litigation or contest.

Right to Contest – You have the right to contest this Citation and Notification of Penalty. You may contest all citation items or only individual items. You may also contest proposed penalties and/or abatement dates without contesting the underlying violations. **Unless you inform the Area Director in writing that you intend to contest the citation(s) and/or proposed penalty(ies) within 15 working days after receipt, the citation(s) and the proposed penalty(ies) will become a final order of the Occupational Safety and Health Review Commission and may not be reviewed by any court or agency.**

Penalty Payment – Penalties are due within 15 working days of receipt of this notification unless contested. (See the enclosed booklet and the additional information provided related to the Debt Collection Act of 1982.) Make your check or money order payable to “DOL-OSHA”. Please indicate the Inspection Number on the remittance. You can also make your payment electronically on www.pay.gov. On the left side of the pay.gov homepage, you will see an option to Search Public Forms. Type "OSHA" and click Go. From the results, click on **OSHA Penalty Payment Form**. The direct link is:

<https://www.pay.gov/paygov/forms/formInstance.html?agencyFormId=53090334>.

You will be required to enter your inspection number when making the payment. Payments can be made by credit card or Automated Clearing House (ACH) using your banking information. Payments of \$25,000 or more require a Transaction ID, and also must be paid using ACH. If you require a Transaction ID, please contact the OSHA Debt Collection Team at (202) 693-2170.

OSHA does not agree to any restrictions or conditions or endorsements put on any check, money order, or electronic payment for less than the full amount due, and will process the payments as if these restrictions or conditions do not exist.

Notification of Corrective Action – For each violation which you do not contest, you must provide ***abatement certification*** to the Area Director of the OSHA office issuing the citation and identified above. This abatement certification is to be provided by letter within 10 calendar days after each abatement date. Abatement certification includes the date and method of abatement. If the citation indicates that the violation was corrected during the inspection, no abatement certification is required for that item. The abatement certification letter must be posted at the location where the violation appeared and the corrective action took place or employees must otherwise be effectively informed about abatement activities. A sample abatement certification letter is enclosed with this Citation. In addition, where the citation indicates that ***abatement documentation*** is necessary, evidence of the purchase or repair of equipment, photographs or video, receipts, training records, etc., verifying that abatement has occurred is required to be provided to the Area Director.

Employer Discrimination Unlawful – The law prohibits discrimination by an employer against an

employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the U.S. Department of Labor Area Office at the address shown above.

Employer Rights and Responsibilities – The enclosed booklet (OSHA 3000) outlines additional employer rights and responsibilities and should be read in conjunction with this notification.

Notice to Employees – The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the U.S. Department of Labor Area Office at the address shown above and postmarked within 15 working days (excluding weekends and Federal holidays) of the receipt by the employer of this Citation and Notification of Penalty.

Inspection Activity Data – You should be aware that OSHA publishes information on its inspection and citation activity on the Internet under the provisions of the Electronic Freedom of Information Act. The information related to these alleged violations will be posted when our system indicates that you have received this citation. You are encouraged to review the information concerning your establishment at www.osha.gov. If you have any dispute with the accuracy of the information displayed, please contact this office.



NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with OSHA to discuss the citation(s) issued on 08/19/2016. The conference will be held by telephone or at the OSHA office located at 1400 Old Country Road, Suite 208, Westbury, NY 11590 on _____ at _____.

_____. Employees and/or representatives of employees have a right to attend an informal conference.

U.S. DEPARTMENT OF LABOR OCCUPATIONAL SAFETY AND
HEALTH ADMINISTRATION

In the Matter of: Chesterfield Associates

OSHA No.(s): 1127066

INFORMAL SETTLEMENT AGREEMENT

The undersigned Employer and the undersigned Occupational Safety and Health Administration (OSHA), in settlement of the above citation(s) and penalties which were issued on 08/19/2016, hereby agree as follows:

1. The Employer agrees to correct the violations as cited in the above citations or as amended below.
2. The Employer agrees to pay the proposed penalties, if any, as issued with the above citation(s), or, if amended by this agreement, as amended below.
3. The Employer and OSHA agree that any citations and/or penalties not addressed in paragraph 4 are not being amended.
4. OSHA agrees that the following citations and penalties are being amended as shown below:

Cit 01 Item 001a reduced to \$6984.00

Original Total Penalty- \$8730.00

Amended Total Penalty- \$6984.00

The employer agrees that job is complete and they will be contracting a service if needed in the future.

The Employer agrees to submit to the Long Island Area Office no later than the last abatement date, a letter detailing specifically how the hazards were abated and the date the hazards were abated by 09/06/16.

The employer affirms that all violations alleged in the citation(s) have been abated.

The amended total penalty of \$6984.00 will be paid in full on or before 09/06/2016

5. The Employer, by signing this informal settlement agreement, hereby waives its rights to contest the above citation(s) and penalties, as amended in paragraph 4 of this agreement.

6. The Employer agrees to immediately post a copy of this Settlement Agreement in a prominent place at or near the location of the violation(s) referred to in paragraph 4 above. This Settlement Agreement must remain posted until the violations cited have been corrected, or for 3 working days (excluding weekends and Federal Holidays), whichever is longer.
7. The Employer agrees to continue to comply with the applicable provisions of the Occupational Safety and Health Act of 1970, and the applicable safety and health standards promulgated pursuant to the Act.

For Occupational Safety
And Health Administration

Anthony Ciuffo, CSP

Area Director

(signature and date)

8/29/2016

For the Employer

(signature and date)

9/1/16



E-MAILED

9/1/16

NOTICE TO EMPLOYEES

The law gives you or your representative the opportunity to object to any abatement date set for a violation if you believe the date to be unreasonable. Any contest to the abatement dates of the citations amended in paragraph 4 of this Settlement Agreement must be mailed to the U.S. Department of Labor Area Office at 1400 Old Country Road, Suite 208, Westbury, NY 11590, within 15 working days (excluding weekends and Federal Holidays) of the receipt by the Employer of this Settlement Agreement. You or your representative also have the right to object to any of the abatement dates set for violations, which were not amended, provided that the objection is mailed to the office shown above within the 15-working-day period established by the original citation.



OSHA English | Spanish

Find it in OSHA



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Establishment Search Results

Establishment	Date Range	Office	State
chesterfield Associates	12/20/2011 to 12/20/2016	all	NY

Please note that inspections which are known to be incomplete will have the identifying Activity Nr shown in italic. Information for these open cases is especially dynamic, e.g., violations may be added or deleted.

Sort By: **Date** | Name | Office | State

Return to Search ↺

Results 1 - 1 of 1

By Date

Get Detail

Select All

Reset

	#	Activity	Opened	RID	St	Type	Sc	SIC	NAICS	Vio	Establishment Name
<input type="checkbox"/>	1	1127066.015	02/23/2016	0214700	NY	Referral	Partial		237990	1	Chesterfield Associates

UNITED STATES
DEPARTMENT OF LABOR

Occupational Safety and Health Administration
200 Constitution Ave., NW,
Washington, DC 20210
☎ 800-321-6742 (OSHA)
TTY
www.OSHA.gov

FEDERAL GOVERNMENT

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Disability.gov
Plain Writing Act
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OCCUPATIONAL SAFETY AND HEALTH

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Inspection Detail

Case Status: CLOSED

Inspection: 1127066.015 - Chesterfield Associates

Inspection Information - Office: Long Island

Nr: 1127066.015 Report ID: 0214700 Open Date: 02/23/2016

Chesterfield Associates

56 S. Country Rd.

Westhampton Beach, NY 11978

Union Status: NonUnion

SIC:

NAICS: 237990/Other Heavy and Civil Engineering Construction

Mailing: 56 S. Country Rd., Westhampton Beach, NY 11978

Inspection Type: Referral

Scope: Partial

Advanced Notice: N

Ownership: Private

Safety/Health: Safety

Close Conference: 02/23/2016

Close Case: 09/07/2016

Related Activity: Type

ID

Safety

Health

Referral

1065524

Yes

Case Status: CLOSED

Violation Summary

	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations	1					1
Current Violations	1					1
Initial Penalty	\$8,730					\$8,730
Current Penalty	\$6,984					\$6,984
FTA Amount						

Violation Items

#	ID	Type	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent
1.	01001A	Serious	19150012 A01	08/19/2016	08/25/2016	\$6,984	\$8,730	\$0		I - Informal Settlement
2.	01001B	Serious	19150012 E	08/19/2016	08/25/2016	\$0	\$0	\$0		I - Informal Settlement

UNITED STATES
DEPARTMENT OF LABOR



August 29, 2016

Contractors & Engineers

Since 1968

P.O. Box 1229 • Westhampton Beach, NY 11978

phone: 631-288-5100 • fax: 631-288-5161

E-mail: info@ca-inc.net

www.ca-inc.net

U.S. Department of Labor
Occupational Safety & Health Administration
Attention: John Dednarik
Assistant Area Director
1400 Old Country Road
Suite 208
Westbury, NY 11590

Re: Inspection #1127066
Date: 2/23/2016

Citation 1, Item 1a: Abatement
Item 1b: Shipyard Rescue Team

Gentlemen:

Subsequent to the incident, the barge hull in question was resealed and welded shut. We have no intention to enter any closed space in the future without proper prior environmental testing and recovery personnel on site.

Since we are not a shipyard and would have a very small amount of maintenance, we have contacted Eastern Environmental Solutions, Inc. for their future service for air quality testing and a confined space response team. We will contract with them prior to any future work.

Please contact us if you have any questions.

Respectfully,

A handwritten signature in black ink, appearing to read 'Seth Allan, VP'.

Seth Allan
Vice President

CERTIFICATION OF CORRECTIVE ACTION WORKSHEET

Inspection Number: 1127066

Company Name: Chesterfield Associates

Inspection Site: 56 S. Country Rd., Westhampton Beach, NY 11978

Issuance Date: 08/19/2016

List the specific method of correction for each item on this citation in this package that does not read "Corrected During Inspection" and return to: **U.S. Department of Labor – Occupational Safety and Health Administration, 1400 Old Country Road, Suite 208, Westbury, NY 11590**

Citation Number 1 and Item Number 1a was corrected on 3/4/16

By (Method of Abatement): Boathull resealed + welded - will have Eastern Environmental Solutions, Inc. perform air quality testing, monitoring & their response team for any future confined space activities

Citation Number 1 and Item Number 1b was corrected on 8/29/16

By (Method of Abatement): Eastern Environmental Solutions, Inc.

Citation Number _____ and Item Number _____ was corrected on _____

By (Method of Abatement): _____

Citation Number _____ and Item Number _____ was corrected on _____

By (Method of Abatement): _____

Citation Number _____ and Item Number _____ was corrected on _____

By (Method of Abatement): _____

Citation Number _____ and Item Number _____ was corrected on _____

By (Method of Abatement): _____

I certify that the information contained in this document is accurate and that the affected employees and their representatives have been informed of the abatement.

Seth Allan
Signature
Seth Allan
Typed or Printed Name

8/29/16
Date
Vice President
Title

NOTE: 29 USC 666(g) whoever knowingly makes any false statements, representation or certification in any application, record, plan or other documents filed or required to be maintained pursuant to the Act shall, upon conviction, be punished by a fine of not more than \$10,000 or by imprisonment of not more than 6 months or both.

POSTING: A copy of completed Corrective Action Worksheet should be posted for employee review



Citation and Notification of Penalty

Company Name: Chesterfield Associates

Inspection Site: 56 S. Country Rd., Westhampton Beach, NY 11978

The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

Citation 1 Item 1 a Type of Violation: **Serious**

29 CFR 1915.12(a)(1): The employer did not ensure that spaces that had been sealed were visually inspected and tested by a competent person to determine the atmosphere's oxygen content prior to initial entry into the space by an employee.

a) Company yard, 56 South Country Rd., Westhampton Beach, NY: An employee entered a previously sealed, confined space inside the hull of a barge without prior atmospheric testing. The worker became dizzy and nauseated; on or about 2/23/16.

Note: In addition to abatement certification the employer is required to submit abatement documentation for this item in accordance with 29 CFR 1903.19.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:

08/25/2016

Proposed Penalty:

\$8730.00



Citation and Notification of Penalty

Company Name: Chesterfield Associates

Inspection Site: 56 S. Country Rd., Westhampton Beach, NY 11978

Citation 1 Item 1 b Type of Violation: **Serious**

29 CFR 1915.12(e): The employer neither established a shipyard rescue team nor arranged for an outside rescue team which will respond promptly to a request for rescue service.

a) Company yard, 56 South Country Rd., Westhampton Beach, NY: An employee entered a previously sealed, confined space inside the hull of a barge without a company prearranged shipyard rescue team or a prearranged outside rescue team which would respond promptly to a request for rescue service; on or about 2/23/16.

Note: In addition to abatement certification the employer is required to submit abatement documentation for this item in accordance with 29 CFR 1903.19.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:

08/25/2016

A handwritten signature in black ink, appearing to read "Anthony Ciuffo", written over a horizontal line.

Anthony Ciuffo, CSP
Area Director

August 30, 2016

Mrs. Janet Diomede
Chesterfield Associates, Inc.
P.O. Box 1229
56 South Country Road
Westhampton Beach, NY 11978

Dear Mrs. Diomede;

This letter is to confirm Eastern Environmental Solutions, Inc. (Eastern) has entered into an agreement with Chesterfield Associates, Inc. (Chesterfield) to perform all air-quality testing, monitoring & provide an emergency response team for confined spaces during interior maintenance activities within Chesterfields' barges.

Eastern Environmental Solutions, Inc.'s has a Comprehensive Health and Safety Program which will be presented to Chesterfield employees and will that apply to Chesterfield personnel and subcontractors performing work at various sites, where operations involve actual or potential exposure to safety or health hazards.

Eastern employees shall continually and diligently inspect their work, materials, and equipment to discover and rectify any condition that might adversely affect the performance of their work or that of any other individual on site and shall be responsible for the discovery and correction of any such condition.

Eastern technicians entering any confined space will do so in accordance with the procedures outlined in OSHA 1910.146. Eastern will provide personal protective equipment, operating equipment, and supervision necessary for protection of individual health and safety. All practices in confined space entry will meet or exceed applicable federal, state and local safety regulations to ensure that all individuals entering a confined space adhere to established safety practices and utilize required confined space entry equipment.

If you have any questions or require additional information please feel free to contact me in our office at 631-727-2700.

Best Regards,

»Eastern
ENVIRONMENTAL SOLUTIONS, INC.



Michael O. Flynn
President



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee?

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:
Seth D Allan [SETH@CA-INC.NET]

Dated: 04/15/2020 12:24:48 PM

Vendor: Chesterfield Associates, Inc.

Title: Vice President



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

none

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

none

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

none

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See the last page for a complete description of lobbying activities.

none

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

none

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby. separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee? If none, you must so state:

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:
Seth Allan [SETH@CA-INC.NET]

Dated: 04/15/2020 12:26:35 PM

Vendor: Chesterfield Associates, Inc.

Title: Vice President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Jeffrey E. Grube
Date of birth: 12/06/1957
Home address: 136 Dahlia Drive
City: Mastic Beach State/Province/Territory: NY Zip/Postal Code: 11951
Country: US

Business Address: 56 South Country Road
City: Westhampton Beach State/Province/Territory: NY Zip/Postal Code: 11978
Country: US
Telephone: (631) 288-5100

Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	<u>12/17/1980</u>
Chairman of Board	_____	Shareholder	<u>05/24/2007</u>
Chief Exec. Officer	_____	Secretary	<u>12/17/1980</u>
Chief Financial Officer	_____	Partner	_____
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

15%

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Jeffrey Grube , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Jeffrey Grube , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Chesterfield Associates, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Jeffrey Grube [JEFF@CA-INC.NET]

Secretary/Treasurer

Title

04/15/2020 12:12:24 PM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: JeanMarie LaVallee
Date of birth: 11/21/1968
Home address: 48 Bay Run
City: Jamesport State/Province/Territory: NY Zip/Postal Code: 11947
Country: US

Business Address: 56 South Country Road
City: Westhampton Beach State/Province/Territory: NY Zip/Postal Code: 11978
Country: US
Telephone: (631) 288-5100

Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	<u>05/24/2007</u>
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>09/30/2011</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

5%

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, JeanMarie LaVallee , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, JeanMarie LaVallee , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Chesterfield Associates, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

JeanMarie LaVallee [JEAN@CA-INC.NET]

Vice President

Title

04/15/2020 11:25:27 AM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Seth Allan
Date of birth: 12/06/1962
Home address: 56 South Country Road
City: Westhampton Beach State/Province/Territory: NY Zip/Postal Code: 11978
Country: US

Business Address: 56 So. Country Road
City: Westhampton Beach State/Province/Territory: NY Zip/Postal Code: 11978
Country: US
Telephone: (631) 288-5100

Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	<u>05/24/2007</u>
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>12/17/1980</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

40%

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Seth D Allan , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Seth D Allan , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Chesterfield Associates, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Seth D Allan [SETH@CA-INC.NET]

Vice President

Title

04/15/2020 11:21:51 AM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: E. Davies Allan
Date of birth: 07/13/1940
Home address: 123 West Shore Road
City: Westport Island State/Province/Territory: ME Zip/Postal Code: 04578
Country: US

Business Address: 56 South Country Road
City: Westhampton Beach State/Province/Territory: NY Zip/Postal Code: 11978
Country: US
Telephone: 631-288-5100

Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: US
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u>08/16/1978</u>	Treasurer	_____
Chairman of Board	_____	Shareholder	<u>05/24/2007</u>
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

voting share only

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, E. Davies Allan , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, E. Davies Allan , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

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Chesterfield Associates, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

E. Davies Allan [BOATHOUSE@GWI.NET]

President

Title

04/15/2020 11:19:37 AM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Alexander T. Allan
Date of birth: 10/03/1966
Home address: 49 Seashore Avenue
City: East Quogue State/Province/Territory: NY Zip/Postal Code: 11942
Country: US

Business Address: 56 South Country Road
City: Westhampton Beach State/Province/Territory: NY Zip/Postal Code: 11978
Country: US
Telephone: 631-288-5100

Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	<u>05/24/2007</u>
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>12/17/1980</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

40%

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

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YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

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YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

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a. Is there any felony charge pending against you?

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b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

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YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

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YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Alexander T. Allan , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Alexander T. Allan , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

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Chesterfield Associates, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Alexander T. Allan [ALEX@CA-INC.NET]

Vice President

Title

04/15/2020 11:17:09 AM

Date

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Chesterfield Associates, Inc.

Address: P.O. Box 1229, 56 South Country Road

City: Westhampton Beach State/Province/Territory: NY Zip/Postal Code: 11978

Country: US

2. Entity's Vendor Identification Number: 11-2467864

3. Type of Business: Closely Held Corp (specify) _____

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

1 File(s) uploaded Shareholders & Officers.pdf

No principals have been attached to this form.

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

E. Davies Allan, 123 West Shore Road, Westport Island, ME 04578
Seth Allan, 56 South Country Road, Westhampton Beach, NY 11978
Alexander Allan, 49 Seashore Avenue, East Quogue, NY 11942
Jeffrey E. Grube, 136 Dahlia Drive, Mastic Beach, NY 11951
JeanMarie LaVallee, 48 Bay Run, Jamesport, NY 11947

No shareholders, members, or partners have been attached to this form.

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

None

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES ☐ NO ☒

(a) Name, title, business address and telephone number of lobbyist(s):

none

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

none

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

none

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

Seth Allan [SETH@CA-INC.NET]

Dated: 04/15/2020 12:25:58 PM

Title: Vice President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.



Contractors & Engineers
Since 1968

P.O. Box 1229 • Westhampton Beach, NY 11978
phone: 631-288-5100 • fax: 631-288-5161
E-mail: info@ca-inc.net
www.ca-inc.net

Stockholders/Officers

**The following is a list of shareholders/corporate officers of
Chesterfield Associates, Inc. with their home addresses:**

E. Davies Allan, President
123 West Shore Road, Westport Island, ME 04578
(only voting share)

Seth Allan, Vice President (40%)
56 South Country Road, Westhampton Beach, NY 11978

Alexander T. Allan, Vice President (40%)
49 Seashore Avenue, East Quogue, NY 11942

JeanMarie LaVallee, Vice President (5%)
P.O. Box 1221, Jamesport, NY 11947

Jeffrey E. Grube, Secretary/Treasurer (15%)
136 Dahlia Drive, Mastic Beach, NY 11951

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Office of the County Executive
Att: Brian J. Schneider, Deputy County Executive

FROM: Department of Public Works

DATE: March 20, 2020

sent via email 4/17/20

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: S35114-12G
Title: Glen Cove WWTP Marine Bulkhead Replacement
Engineer's Estimate: \$3,120,000.00
Bids Received On: February 18, 2020

The bids received for the above referenced contract have been examined and Chesterfield Associates, Inc. is the lowest responsible bidder in the amount as follows:

General Construction (Lump Sum Base Bid):	\$ 1,285,225.00
Effluent Pump Bypass System (Lump Sum):	\$ 585,150.00
Add Alternate No. 1: Effluent Chamber with Aluminum Access Hatch:	\$ 4,575.00
Bid Total:	\$ 1,874,950.00

The lowest responsible bid is 39.9% lower than the Engineer's Estimate. Per discussion with Jeff Grube of Chesterfield Associates during the pre-award meeting, Mr. Grube confirmed that the bid price is accurate, and that Chesterfield is confident that the Work can be complete for this price. Chesterfield Associates submitted the only bid for this contract. For additional information regarding the low bid participation, please refer to the "Low Bid Participation" memo dated March 20, 2020 for this contract.

Funding for the construction services is available under capital project 35114.

If you approve or disapprove of the above request, please signify below and return the memo to this office for appropriate action.

Kenneth Arnold

Kenneth G. Arnold
Commissioner

KGA:VF:rp
Attachment

c: Christopher Nolan, Deputy Budget Director
Vincent Falkowski, Deputy Commissioner
Roseann D'Alleva, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner of Administration
Edward Visone, Assistant Superintendent of Sanitary Construction
Graham Sharkey/Adrian Hamilton, Jacobs

APPROVED:

Brian J. Schneider 4/22/2020
Brian J. Schneider Date
Deputy County Executive

DISAPPROVED:

Brian J. Schneider Date
Deputy County Executive





architects + engineers

538 Broad Hollow Road, 4th Floor East tel 631.756.8000
Melville, NY 11747 fax 631.694.4122

March 13, 2020

Mr. Edward Visone
Project Manager
Water/Wastewater Engineering Unit
Nassau County Department of Public Works
3340 Merrick Road, Building R, 3rd Floor
Wantagh, NY 11793

**Re: Nassau County Department of Public Works
Glen Cove Wastewater Treatment Plant
Marine Bulkhead Replacement
Contract No. S35 114-12G
BID ANALYSIS AND AWARD RECOMMENDATION
H2M Project No. NCDP 1602**

Dear Mr. Visone:

One bid was received on February 18, 2020 for the above referenced contract. H2M analyzed the corresponding documents provided by Nassau County (Proposal pages, Disclosure Statements) and conducted limited research of the apparent low bidder for the Contract, Chesterfield Associates. Following is the summary of the bid proposal analysis, reference checks, and award recommendation.

Summary

The bids received are tabulated below.

No.	Firm	Amount
1	Chesterfield Associates	\$1,874,950.00
-	<i>Average Bid</i>	<i>\$1,874,950.00</i>

-	Engineer's Estimate (EE)	\$3,120,000.00
---	--------------------------	----------------

Chesterfield Associates, Inc. apparent low bid is 40% less than the Engineer's Estimate (EE). The apparent low bid is considered representative of the current market conditions present during the bid period for this project. The 40% in project costs that separate the apparent low bid from the Engineer's Estimate is a reasonable reflection of Contractor's assumed means and methods associated with site logistics and maintenance of plant operations considerations that need to be included throughout the entire duration of Contract work and anticipated materials costs increases over the life of the contract period, which was confirmed during the pre-award interview with Chesterfield on March 10, 2020 located at the Cedar Creek Water Pollution Control Plant (WPCP) in the 3rd floor conference room of Building 'R'.

Tabulation of the low bid, including individual lump sum, allowance, and deduct alternate bid items are attached.

Subcontracting

Chesterfield Associates, Inc. indicated that the three prime subcontracts that are proposed are as follows:

1. Plumbing and Gas Fitting: Philip Ross Industries, \$505,000.00

2. HVAC: None

3. Electrical: K. Schrage & Son, Inc. Electrical Contractors, \$20,800.00

A review of the current New York State and Federal projects disbarment listing verified that these the listed subcontractors were not disbarred from working on NYS and Federal funded projects.

The total prime subcontracted (Plumbing and Gas Fitting + Electrical) work equates to about 28.0% of the total contract, which is less than the 60% limitation specified in Agreement Article XV.

References

H2M reviewed the Disclosure Statement provided by Chesterfield Associates, Inc. The review targeted the firm's present and past work experience as it relates to the type, size, and scope of work for the Glen Cove WWTP Marine Bulkhead Replacement project. The findings are presented below.

Disclosure Statements submitted by Chesterfield Associates, Inc. did not reflect negative information regarding failure to complete work awarded, contract default, non-responsive bidding, and debarment.

Years in business under present business name as prime and subcontractor, as provided by the contractor, is 52 years

All references contacted generally characterized the firm as qualified, diversified, professional, cooperative, and stable. The references regarded the firm as self-performers and the firm's work as good quality. H2M and Nassau County have had previous projects of similar scope and scale where Chesterfield Associates, Inc. was the prime general contractor and can confirm the validity of the above noted referenced comments summary.

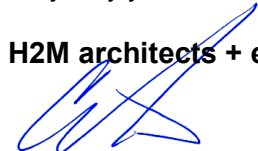
Award Recommendation

Based on the above, H2M recommends that the County proceeds with the award of the Contract to Chesterfield Associates, Inc. as the lowest responsive bidder. Our recommendation is predicated on confirmation by the County of the Contractor's compliance with the front-end requirements (bonding, insurance, legal, etc.) of the Contract and confirmation of the acceptability of the firm's financials.

Please contact us should you have any questions regarding the above or other aspects of the Glen Cove WWTP Sludge Dewatering Improvement project.

Very truly yours,

H2M architects + engineers.



Christopher A. Weiss, P.E.
Vice President – Director Wastewater Engineering

NFB:caw

encl

cc: Vincent Falkowski, P.E. PMP CCM (NCDPW)
NFB

**COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo**

TO: Kenneth G. Arnold, Commissioner
FROM: Water/Wastewater Engineering Unit
DATE: April 16, 2020
SUBJECT: Low Participation for Glen Cove WWTP Marine Bulkhead Replacement
Bid Contract No. S35114-12G

The Glen Cove WWTP Marine Bulkhead Replacement Project was advertised in Newsday on January 8, 2020; and in the New York State Contract Reporter on January 8, 2020 through February 18, 2020; and in eProcure from January 10, 2020 through February 18, 2020.

Forty-Seven (47) firms viewed the solicitation, seven (7) firms purchased bid documents, and four (4) firms attended the bid walkthrough, held at the Glen Cove WWTP on January 24, 2020, and one (1) bid was received.

Following the bid opening, perspective bidders were contacted and in general, stated they were not familiar with the bypass pumping scope of work; the bypass scope is approximately one-third of the total work. A representative from Chesterfield Associates also admitted that they are unfamiliar with the bypass work, since they are specifically a marine bulkhead contractor, therefore, Chesterfield chose to subcontract the bypass work to Philip Ross Industries (PRI), a plumbing /mechanical contractor who is familiar with Nassau County's wastewater operations. In speaking with Chesterfield's references, all references were confident in Chesterfield's expertise and ability to complete the project on time and on budget.

The following are the questions from the Low Vendor Participation memo from DCE John Chiara, with responses:

1. Review the specification to ensure that it is not unduly restrictive so as to limit competition. Is any component of the solicitation so restrictive that only one or a small number of vendors is capable of responding to the solicitation? If so, were those vendors notified of the solicitation?

Response: The solicitation was not restrictive because it is a fairly common bulkhead replacement project. The department was aware that seven (7) firms purchased documents and expected robust, or at least ample, competition for this contract.

2. Was the solicitation advertised and posted on the County website as required?

Response: Yes.

3. Would we be likely to obtain greater vendor participation by advertising in other venues (e.g. New York State Contract Reporter, trade journals, and other local media, etc.)?

Response: The solicitation was advertised in NYSCR and Newsday. Given that six hundred and forty-six (646) firms were notified of the project, it is unlikely that greater participation would be obtained by advertising more widely.

4. Was the NIGP commodity code used to conduct the solicitation appropriately? Were appropriate vendors registered with the County for that code or otherwise notified?

Response: The correct commodity codes were utilized in eProcure, accordingly, the vendors registered for that commodity code received an automatic notification.



Kenneth G. Arnold, Commissioner

April 16, 2020

Page 2

SUBJECT: Low Participation for Glen Cove WWTP Marine
Bulkhead Replacement
Bid Contract No. S35114-12G

5. Is the market for the specified goods or services structurally limited (i.e. are there geographic, capital, vendor capacity, service schedule, or other requirements) such that greater vendor participation is not possible?

Response: No.

6. Survey vendors that receive notice of the solicitation but did not respond to determine why the vendor chose not to do so. Typical responses include but are not limited to:

- a. The vendor did not see the advertisement.

Response: Six hundred forty-six (646) vendors were notified on eProcure, forty-seven (74) downloaded the documents.

- b. The vendor does not offer the specified goods/services as a follow-up ask if the specification is too specific to a competitor's product (is the specification "brand specific" or written to one manufacturer's or service provider's offering?).

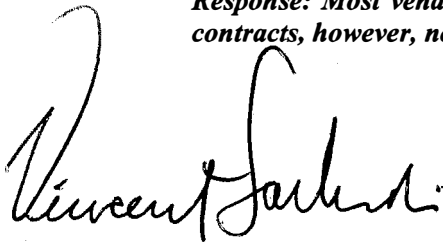
Response: The work specification was not too specific so as to restrict participation.

- c. The vendor is too busy with other work at this time.

Response: Most vendors contacted did not indicate that they were too busy, however, stated that they did not perform bypass pumping scope of work.

- d. The vendor is not interested in pursuing a County contract at this time as a follow-up ask as to why this is the case.

Response: Most vendors contacted stated that they are interested in pursuing County contracts, however, not familiar with the bypass pumping scope of work.



Vincent Falkowski
Deputy Commissioner

VF:rp

- c: Jane Houdek, Counsel to the Department of Public Works
Loretta Dionisio, Assistant to Deputy Commissioner
Edward Visone, Assistant Superintendent for Sanitary Construction
Graham Sharkey, Jacobs Global North America
Adrian Hamilton, Jacobs Global North America

APPROVED:

DISAPPROVED:

Kenneth Arnold

4/16/20

Kenneth G. Arnold
Commissioner

Date

Kenneth G. Arnold
Commissioner

Date

Bid Opening: 2 /18/2020**Engineer:** Edward Visone **Phone:** (516) 571-7359

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
Chesterfield Associates	P.O. Box 1229 Westhampton Beach, NY 11978	RLI Insurance Company	10% Amt Bid	\$1,870,375.00	\$1,874,950.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT**PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC**
☐ RFQ
 ☒ RFP
 ☐ RFBC
 ☐ In-House or Requirements Work Order
Project Title: S35114-12G Glen Cove WWTP – Marine Bulkhead ReplacementDepartment: Public Works Project Manager: Vincent FalkowskiDate: June 14, 2019Service Requested: Construction Contractor Services of the Glen Cove WWTP Marine Bulkhead Replacement Project

Justification: The Glen Cover Wastewater Treatment Plant shares its northern property line with the Glen Cove Creek. The existing associated marine bulkhead predates the waste plant completed circa 1978 and has reached the end of its designed lifespan. A 2014 evaluation by H2M Architects & Engineers concluded that the existing bulkhead material has deteriorated beyond repair and recommends replaced in its entirety.

Requested by: Department of Public Works/Water & Wastewater Engineering UnitProject Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$2,750,000
Circle appropriate phaseTotal Project Cost: \$3,362,520
Includes, design, construction and CMDate Start Work: 12/14/19
Phase being requestedDuration: Twelve (12) months
Phase being requestedCapital Funding Approval: YES ☒ NO ☐

SIGNATURE

DATE

Funding Allocation (Capital Project):

See Attached Sheet if multiyear ☐

35114

NIFS Entered :

SIGNATURE

DATE

AIM Entered:

SIGNATURE

DATE

Funding Code:

use this on all encumbrances

Timesheet Code:

use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐

Supplemental Environmental Documentation

Department Head Approval:

YES ☒ NO ☐

SIGNATURE

DCE/Ops Approval:

YES ☒ NO ☐

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval:

YES

NO

Signature _____

T.D.
06/14/19JW
06/14/19JW
6/12/19

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Vincent Falkowski, Deputy Commissioner

FROM: Office of the Commissioner

DATE: July 23, 2019

SUBJECT: CSEA Sub-Contracting Approval
C19-073 – S35114-12G– General Construction Services - Glen Cove Wastewater Treatment Plant – Marine Bulkhead Replacement

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. Pursuant to Section 32-3 of the CSEA/County CBA, CSEA has withdrawn its objection to the above-referenced contract known as **C19-073**.

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions, please speak with Jonathan Lesman.



Roseann D'Alleva
Deputy Commissioner

RD:las

c: Loretta Dionisio, Assistant to Deputy Commissioner
Jonathan Lesman, Management Analyst II



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, Executive Vice President

FROM: Department of Public Works

DATE: June 24, 2019

SUBJECT: CSEA Notification of a Proposed DPW Contract
Glen Cove WWTP – Marine Bulkhead Replacement
S35114-12G Construction Contractor Services

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a contract/agreement for the following services:
Construction Contractor Services at the Glen Cove Wastewater Treatment Plant.
2. The work involves the following:
Provide Construction Contract Services in connection with the Marine Sheet-Pile Bulkhead Replacement at the Glen Cove Wastewater Treatment Plant
3. An estimate of the cost is: \$2,750,000
4. An estimate of the duration is: Twelve (12) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Kenneth G. Arnold, Commissioner, telephone 1-9607, fax 1-9657.



Roseann D'Alleva
Deputy Commissioner

RD:VF:rp

- c: Christopher Nicolino, Director, Office of Labor Relations
Vincent Falkowski, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Christopher Yansick, Unit Head, Financial Management Unit
Diane Pyne, Unit Head, Human Resources Unit
Jonathan Lesman, Management Analyst II
Theodore Diamantis, Project Manager



FORM OF BID BOND

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M PROJECT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-12G

IMPORTANT The bidder shall instruct the Surety Company to USE THIS FORM PROVIDED as the use of ANY OTHER FORM may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned Chesterfield Associates, Inc.

as Principal; and RLI Insurance Company as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

Ten Percent of Amount Bid dollars (\$ 10% of Bid Amount) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 7th day of February, 2020

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under

**CONTRACT NO. S35114-12G FOR THE
GLEN COVE WWTP MARINE BULKHEAD REPLACEMENT**

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall:

- a. when notified by the County, execute all necessary counterparts of the Contract as set forth in the Contract Documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the Contract Documents for the faithful performance and proper fulfillment of such Contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience, and liquid assets required for the Contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

Provided, however, that this bond is subject to the following additional conditions and limitations.

- a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the Contract Documents and specifications herein

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FORM OF BID BOND

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M PROJECT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-12G


stated.

- b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

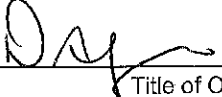
Chesterfield Associates, Inc.
Contractor

by  (L.S.)

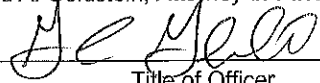
by Seth Allan, Vice President (L.S.)
Title

by _____ (L.S.)
Title

RLI Insurance Company
Surety

by  (L.S.)
Title of Officer

David A. Goldstein, Attorney-in-Fact

Attest  (L.S.)
Title of Officer
Glenn Glubiak, Attorney-in-Fact

[Corporate Seal
of Contractor
Title if a
corporation]

[Corporate Seal
of Surety]

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FORM OF BID BOND

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M PROJECT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-12G

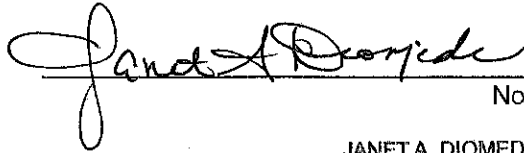
(Acknowledgment by Contractor if a Corporation)

STATE OF New York)

ss.:

COUNTY OF Suffolk)

On this 17th day of February, 2020, before me personally came Seth Allan
to me known, who, being by me duly sworn, did depose and say for himself, that he resides in
Westhampton Beach, NY
that he is the Vice President of the Chesterfield Associates, Inc.
the corporation described in, and which executed the foregoing instrument; that he knows the seal of said
corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of
the Board of Directors of said corporation, and that he signed his name thereto by like order.



Notary Public

(Acknowledgment by Contractor if a partnership)

STATE OF _____)

ss.:

COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____
to me known and known to me to be a member of _____
the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that
he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

JANET A. DIOMEDE
Notary Public, State of New York
Reg. No. 01D16077055
Qualified in Suffolk County
Commission Expires July 1, 2022

Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF _____)

ss.:

COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____
to me known and known to me to be the person described in and who executed the foregoing instrument, and
he duly acknowledged that he executed the same.

Notary Public

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FORM OF BID BOND

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M PROJECT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-12G

(Acknowledgment by Surety Company)

STATE OF New York)

ss.:

COUNTY OF Suffolk)

On this 7th day of February , 2020 , before me personally came David A. Goldstein to me

Known, who being by me duly sworn, did depose and say that he/she resides in _____

Merrick, New York

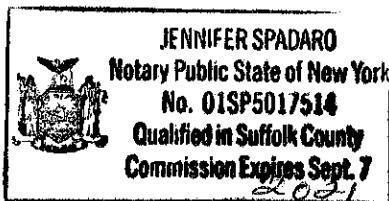
that he/she is the Attorney-in-Fact of the RLI Insurance Company, the corporation described in and which executed the within instrument; that he/she knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he/she signed his/her name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said Glenn Glubiak further said

that he/she is acquainted with David A. Goldstein and knows him/her

to be the Attorney-in-Fact of said company; that the signature

of the said David A. Goldstein subscribed to the within instrument is

in the genuine handwriting of the said David A. Goldstein and was subscribed thereto by like order of the Board of Directors, and in the presence of him/her, the said Glenn Glubiak.



Jennifer Spadaro
Notary Public

CHARGE TO MEMBERS
NOTED TO STATE CHIEF
#111064210 00
WHICH STATEMENT
1. 1902 00102 00000000

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FORM OF BID BOND

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M PROJECT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-12G

POWER OF ATTORNEY

ATTENTION: Insert the "Power of Attorney" after this page in with your bid submission.

POWER OF ATTORNEY

RLI Insurance Company Contractors Bonding and Insurance Company

9025 N. Lindbergh Dr. Peoria, IL 61615
Phone: 800-645-2402

Know All Men by These Presents:

That this Power of Attorney is not valid or in effect unless attached to the bond which it authorizes executed, but may be detached by the approving officer if desired.

That **RLI Insurance Company** and/or **Contractors Bonding and Insurance Company**, each an Illinois corporation, (separately and together, the "Company") do hereby make, constitute and appoint:

Glenn Glubiak, David A. Goldstein, jointly or severally

in the City of Melville, State of New York its true and lawful Agent(s) and Attorney(s) in Fact, with full power and authority hereby conferred, to sign, execute, acknowledge and deliver for and on its behalf as Surety, in general, any and all bonds and undertakings in an amount not to exceed Twenty Five Million Dollars (\$25,000,000.00) for any single obligation.

The acknowledgment and execution of such bond by the said Attorney in Fact shall be as binding upon the Company as if such bond had been executed and acknowledged by the regularly elected officers of the Company.

RLI Insurance Company and/or **Contractors Bonding and Insurance Company**, as applicable, have each further certified that the following is a true and exact copy of a Resolution adopted by the Board of Directors of each such corporation, and is now in force, to-wit:

"All bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, any Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or Agents who shall have authority to issue bonds, policies or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile."

IN WITNESS WHEREOF, the **RLI Insurance Company** and/or **Contractors Bonding and Insurance Company**, as applicable, have caused these presents to be executed by its respective Vice President with its corporate seal affixed this 18th day of January, 2019.



RLI Insurance Company
Contractors Bonding and Insurance Company

By: B. W. Davis
Barton W. Davis Vice President

State of Illinois
County of Peoria

} SS

CERTIFICATE

On this 18th day of January, 2019, before me, a Notary Public, personally appeared Barton W. Davis, who being by me duly sworn, acknowledged that he signed the above Power of Attorney as the aforesaid officer of the **RLI Insurance Company** and/or **Contractors Bonding and Insurance Company** and acknowledged said instrument to be the voluntary act and deed of said corporation.

I, the undersigned officer of **RLI Insurance Company** and/or **Contractors Bonding and Insurance Company**, do hereby certify that the attached Power of Attorney is in full force and effect and is irrevocable; and furthermore, that the Resolution of the Company as set forth in the Power of Attorney, is now in force. In testimony whereof, I have hereunto set my hand and the seal of the **RLI Insurance Company** and/or **Contractors Bonding and Insurance Company** this 7th day of February, 2020.

By: Gretchen L. Johnigk
Gretchen L. Johnigk Notary Public

RLI Insurance Company
Contractors Bonding and Insurance Company

By: Jean M. Stephenson
Jean M. Stephenson Corporate Secretary



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FORM OF BID BOND

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M PROJECT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-12G

FINANCIAL STATEMENT

ATTENTION: Insert the "Financial Statement" after this page in with your bid submission.



RLI Insurance Company
P.O. Box 3967 Peoria IL 61612-3967
Phone: 309-692-1000 Fax: 309-683-1610

RLI Insurance Company

December 31, 2018

Admitted Assets

Investments:	
Fixed maturities	\$ 747,235,489
Equity securities	884,291,735
Short-term investments	0
Real estate	29,802,463
Properties held to produce income	0
Cash on hand and on deposit	29,638,778
Other invested assets	34,966,118
Receivables for securities	0
Agents' balances	81,240,293
Investment income due and accrued	5,929,976
Funds held	0
Reinsurance recoverable on paid losses	8,591,035
Federal income taxes receivable	0
Net deferred tax asset	3,836,443
Guarantee funds receivable or on deposit	60,867
Electronic data processing equipment, net of depreciation	8,145,831
Receivable from affiliates	460,607
Other admitted assets	11,201,403

Total Admitted Assets \$ 1,845,401,038

State of Illinois

County of Peoria

Liabilities and Surplus

Liabilities:

Reserve for unpaid losses and loss adjustment expenses	\$ 596,730,971
Unearned premiums	263,712,726
Accrued expenses	49,282,615
Funds held	401,143
Advance premiums	7,214,508
Amounts withheld	74,762,115
Dividends declared and unpaid	40,638
Ceded reinsurance premium payable	10,940,257
Payable for securities	1,216,188
Statutory penalties	310,000
Current federal & foreign income taxes	3,524,047
Federal income tax payable	0
Borrowed money and accrued interest	0
Drafts outstanding	0
Payable to affiliate	4,475,118
Other liabilities	3,015,592

Total Liabilities \$ 1,015,625,918

Surplus:

Common stock	\$ 10,000,375
Additional paid-in capital	242,451,084
Unassigned surplus	577,323,661

Total Surplus \$ 829,775,120

Total Liabilities and Surplus \$ 1,845,401,038

The undersigned, being duly sworn, says: That he is the President of **RLI Insurance Company**; that said Company is a corporation duly organized, in the State of Illinois, and licensed and engaged in business in the State of New York and has duly complied with all the requirements of the laws of said State applicable of said Company and is duly qualified to act as Surety under such laws; that said Company has also complied with and is duly qualified to act as Surety under the Act of Congress approved July 1947, 6U.S.C sec. 6-13; and that to the best of his knowledge and belief the above statement is a full, true, and correct statement of the financial condition of the said Company on the 31st day of December 2018.

Attest:



{ Corporate Seal Affixed }

Craig Kliethermes President

Cherie L. Montgomery Assistant Secretary

Sworn to before me this 23rd day of February, 2019.



{ Notarial Seal Affixed }

Gretchen L. Johnnigk Notary Public, State of Illinois

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FORM OF BID BOND

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M PROJECT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-12G

CERTIFICATE OF SOLVENCY

ATTENTION: Insert the "Certificate of Solvency" after this page in with your bid submission.

**STATE OF NEW YORK
DEPARTMENT OF FINANCIAL SERVICES**

CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK
INSURANCE LAW

It is hereby certified that

RLI INSURANCE COMPANY
of Peoria, Illinois

a corporation organized under the laws of the State of Illinois, and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$829,775,120 (Capital \$10,000,375) as is shown by its sworn financial statement for the year ended December 31, 2018, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have here-
unto set my hand and affixed the
official seal of this Department
at the City of Albany, this 9th
day of April, 2019

Linda A. Lacewell
Acting Superintendent

By *Ellen Buxbaum*

Ellen Buxbaum
Special Deputy Superintendent

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PROPOSAL

PROJECT NO. 16-02
PROJECT NAME: GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12G

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT

NASSAU COUNTY, NEW YORK

Contract No. S35114-12G - General Construction

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: Chesterfield Associates, Inc.

Bidder: Chesterfield Associates, Inc.

(Individual, Firm or Corporation, as case may be)

Bidder's Business Address: P.O. Box 1229, Westhampton Beach, NY 11978

Telephone: 631-288-5100 Date of Bid: 2/18/2020

Fax: 631-288-5161 E-mail: seth@ca-inc.net

(If Bidder is an Individual, fill in the following blanks:)

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PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

Name of Individual: _____

Residence of Individual: _____

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: _____

Name and Residence of Partner: _____

Name and Residence of Partner: _____

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: New York

Name and Residence of President: E. Davies Allan, President -

123 West Shore Road, Westport Island, ME 04578

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PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

Name and Residence of Vice-President: _____

Seth Allan, Vice President -56 South Country Road, Westhampton Beach, NY 11978

Alexander T. Allan, Vice President - 49 Seashore Avenue, East Quogue, NY 11942

JeanMarie LaVallee, Vice President - 48 Bay Run, Jamesport NY 11947

Name and Residence of Secretary: _____

Jeffrey E. Grube, Secretary/Treasurer - 136 Dahlia Drive, Mastic Beach, NY 11951

Name and Residence of Treasurer: _____

Jeffrey E. Grube, Secretary/Treasurer - 136 Dahlia Drive, Mastic Beach, NY 11951

PROPOSAL

CONSTRUCTION OF THE NEW YORK STATE
THRUWAY AUTHORITY
THRUWAY AUTHORITY

CONSTRUCTION OF THE NEW YORK STATE

THRUWAY AUTHORITY

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PROPOSAL

DEPARTMENT OF PUBLIC WORKS, GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

GENERAL CONSTRUCTION

THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of labor, equipment, other facilities needed for the performance of the Work, the general and local conditions, and all other items which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach the contractor shall be required to have such a license

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PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

I. - REJECTION OF BIDS

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.
7. Bids may be rejected if the County determines that the contractor's experience and qualifications statement does not show adequate experience with projects of similar size, scope and complexity, particularly concerning MOPO work and complex phasing and sequencing of equipment on and off line during construction.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. - Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on Individual Items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. - Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

IV. - Apprenticeship Training

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

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Chesterfield Associates, Inc.

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

TO BE COMPLETED BY CONTRACTORS SUBMITTING A BID ON S35114-12G - GENERAL CONSTRUCTION						
ITEM NO.	APPROX. QUANTITIES	ITEMS BID WITH PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1.	Lump Sum	Base Bid for furnishing all Labor, Materials, Equipment and incidentals required for the existing bulkhead removal(s) and installation of new steel sheet pile marine bulkhead, including but not limited to cast-in-place concrete dead men, wales and tie-rods and site restoration. One Million, Two Hundred Eighty Five Thousand, Two Hundred Twenty Five and Zero DOLLARS	N/A	N/A	1,285,225	00
2.	Lump Sum	Base Bid for furnishing all Labor, Materials and Equipment required for installation, operation and maintenance of effluent bypass pump system, including effluent diffuser assembly, floating bottom weighted turbidity curtain and all incidental removals and replacements of existing site features scheduled to remain. Five Hundred Eighty Five Thousand, One Hundred Fifty and Zero DOLLARS	N/A	N/A	585,150	00
3.	Lump Sum	ADD ALTERNATE #1 - Furnish and install new top slab with aluminum access hatch at effluent chamber, including offsite disposal of existing top slab deemed not suitable for reinstallation as approved by Owner and Engineer. Four Thousand, Five Hundred Seventy Five and Zero DOLLARS	N/A	N/A	4,575	00

NCDP 16-02

P-11

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Chesterfield Associates, Inc.

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: SS5114-12C

PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

TOTAL BASE BID (Bid Item Nos. 1 + 2): MUST BE WRITTEN IN FIGURES:	
\$1,870,375.00	
DOLLARS	CENTS
TOTAL BASE BID (Bid Item Nos. 1 + 2): MUST BE WRITTEN IN WORDS:	
One Million, Eight Hundred Seventy Thousand, Three Hundred Seventy Five and Zero	
DOLLARS	CENTS

TOTAL BASE BID INCLUDING ADD ALTERNATE #1 (Bid Item Nos. 1 + 2 + 3): MUST BE WRITTEN IN FIGURES:	
\$1,874,950.00	
DOLLARS	CENTS
TOTAL BASE BID INCLUDING ADD ALTERNATE #1 (Bid Item Nos. 1 + 2 + 3): MUST BE WRITTEN IN WORDS:	
One Million, Eight Hundred Seventy Four Thousand, Nine Hundred Fifty and Zero	
DOLLARS	CENTS

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

ALLOWANCES

It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

- None

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and Section 01500, Temporary Facilities and Controls.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

ALTERNATES

It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security. The following Add Alternate bid item may be included by the Owner in the order listed prior to finalizing the Contract Agreement, which will potentially increase the total Bid presented in this Proposal and be the basis for establishing the amount of the Bid Security:

- Item No. 3 - Furnish and install new top slab with aluminum access hatch at effluent chamber, including offsite disposal of existing top slab deemed not suitable for reinstallation as approved by Owner and Engineer.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01030, Alternates; and Section 01500, Temporary Facilities and Controls.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID

Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances, minus the Deduct Alternate(s), taken in order, or none of them, whichever amount(s) shall be judged by the Commissioner of Public Works to be in the best interest of the County.

MAJOR EQUIPMENT ITEMS

The Bidder shall fill the name and address of the one proposed system supplier for each major equipment item tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

Specification Number	Description	Manufacturer and/or Supplier
02150	Bypass pumping system	Xylem/Godwin, 84 Floodgate Road Bridgeport, NJ 08014

PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NGDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

4. Roofing

- a. Company: None
- b. Address: _____
- c. Telephone: _____
- d. Contact Name: _____
- e. Subcontract Value: \$ _____

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S36114-12C

SUB-CONTRACTOR LIST AND SCHEDULE OF VALUE

BIDDER: Chesterfield Associates, Inc.

SUBCONTRACTORS:

1. Plumbing and Gas Fitting

- a. Company: Philip Ross Industries
- b. Address: 200 Long Island Avenue, Wyandanch, NY 11798
- c. Telephone: (631) 253-3077
- d. Contact Name: Derek Madsen
- e. Subcontract Value: \$ \$505,000.00

2. Heating, Ventilating and Air Conditioning

- a. Company: None
- b. Address: _____
- c. Telephone: _____
- d. Contact Name: _____
- e. Subcontract Value: \$ _____

3. Electric Wiring and Standard Illuminating Fixtures

- a. Company: K. Schrage & Son, Inc. Electrical Contractors
- b. Address: 10 Normandie Lane, East Moriches, NY 11940
- c. Telephone: (631) 874-2489
- d. Contact Name: Kevin Schrage
- e. Subcontract Value: \$ 20,800.00

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PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S36114-12C

IRAN DIVESTMENT ACT - CERTIFICATION

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.


The Certification is as follows:

X a. Certification that the Bidder is not on the List

Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,

 b. Certification that the Bidder's investment in Iran is ceasing

The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.

 2/14/2020
Signature/Date

Seth Allan, Vice President
Print Name and Position

2014-15

2014-15
2014-15
2014-15
2014-15

2014-15

2014-15

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PROPOSAL

DEPARTMENT OF PUBLIC WORKS
GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S36114-12C

MACBRIDE FAIR EMPLOYMENT PRINCIPLES

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:
MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

(1) have business operations in Northern Ireland,

Yes _____ No X

If yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes _____ No _____



Contractor's Signature

Seth Allan, Vice President

Chesterfield Associates, Inc.

Name of Business

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U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.


(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Seth Allan, Vice President

02/14/2020

Name and Title of Authorized Representative

m/d/yy



02/14/2020

Signature

Date

Chesterfield Associates, Inc.

Name of Organization

P.O. Box 1229, Westhampton Beach, NY 11978

Address of Organization

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these Instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

CERTIFICATION REGARDING LOBBYING

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined by 28 CFR Part 69, the State must include the language of the certification below in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and require all subrecipients to certify and disclose accordingly. Subrecipients should refer to the regulations cited above and should also review the instructions included in the regulations before completing this form.

The subrecipient certifies, to the best of its knowledge and belief, that

- (a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;
- (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions;
- (c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure.

Seth Allan, Vice President

Name and Title of Authorized Representative

Seth Allan, VP

Signature

02/14/2020

Date m/d/yy

Chesterfield Associates, Inc. - PO Box 1229, Westhampton Beach, NY 11978

Name and Address of Organization

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PROPOSAL

NEW YORK STATE DEPARTMENT OF PUBLIC WORKS

CERTIFICATION

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO. S35114-12C

CONTRACTOR CERTIFICATION STATEMENT REGARDING
STORM WATER POLLUTION AT THE WORK SITE

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

CONTRACTOR'S NAME: Chesterfield Associates, Inc.

TELEPHONE NUMBER: 631-288-5100

WORK SITE OR FACILITY NAME: Glen Cove Waste Water Treatment Plant

WORK SITE OR FACILITY ADDRESS OR
OTHER IDENTIFYING DESCRIPTION: _____

Contract No. S35114-12G



2/14/2020

Date

Seth Allan, Vice President

Print Name and Title of Signer

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PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NODP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

Bidder: Chesterfield Associates, Inc.

(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: _____

Federal ID No: 11-2467864

Municipal License ID Number: 11012640000

Municipal Licensing Agency: NCD of Consumer Affairs

By: [Signature] Date: 2/14/2020

(Signature of Individual, Partner or Corporate Officer)

(Print): Seth Allan Title: Vice President

WHERE BIDDER IS A CORPORATION, ADD

ATTEST: [Signature]

Jeffrey E. Grube

Secretary

(CORPORATE)

(SEAL)

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10/11/2011 10:10:10 AM

PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____, Being duly sworn, deposes and
says: That he is the person described in and who executed the foregoing Bid and that the several
matters therein stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____.

Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____, Being duly sworn, deposes and
says: That he is a member of _____, the firm described in and
which executed the foregoing Bid; that he duly subscribed the name of the firm thereunto on behalf of the
firm; and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____.

Notary Public

SECRET
This document contains information that is exempt from public release under the Freedom of Information Act, 5 U.S.C. 552, and is to be controlled, stored, handled, transmitted, and disposed of in accordance with the provisions of the National Security Agency (NSA) Manual, 1-1.1, and the NSA Manual, 1-1.2.

SECRET
This document contains information that is exempt from public release under the Freedom of Information Act, 5 U.S.C. 552, and is to be controlled, stored, handled, transmitted, and disposed of in accordance with the provisions of the National Security Agency (NSA) Manual, 1-1.1, and the NSA Manual, 1-1.2.

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S36114-12C

GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK)

) ss.:

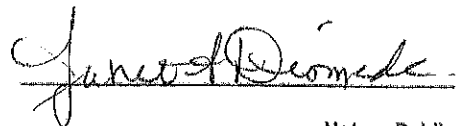
COUNTY OF ~~NASSAU~~
SUFFOLK

Seth Allan

Being duly sworn, deposes and says: That he resides at 56 S. Country Road, Westhampton Beach, that he is the Vice President of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he affixed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me

This 14th day of February 20 20



Notary Public

JANET A. DIOMEDE
Notary Public, State of New York
Reg. No. 01016077055
Qualified in Suffolk County
Commission Expires July 1, 2022

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PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

PERFORMANCE BOND INFORMATION FORM

Construction Contract Number S35114-12G

Name of Contract Glen Cove WWTP Marine Bulkhead Replacement

Name of Contractor Chesterfield Associates, Inc.

Address P.O. Box 1229, 56 S. Country Road

Westhampton Beach, NY 11978

Bonding Company or Person Issuing Security Bond RLI Insurance Company

Address 9025 N. Lindbergh Dr., Peoria, IL 61615

Bonding Company Agent AssuredPartners Northeast, LLC

Address 100 Baylis Road, Suite 300, Melville, NY 11747

Amount of Bond 10%

Duration of Bond -- From 2/7/2020 -

Identification number of Bond None

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PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?

51 Years Months

2. How many years in the construction work of a similar type as this contract has your firm had;

as a Prime Contractor 51

a. as a Subcontractor 51

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
--------------------	------------------	----------------------	--

Please see attached list of on-going jobs.

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
--------------------	------------------	----------------------	--

Please see attached completed job list

(use additional blank sheets if additional space is necessary)

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NGDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

5. Have you ever failed to complete any work awarded to you?
If so, when and why?

No.

(use additional blank sheets if additional space is necessary)

6. Has any officer or partner of your firm ever been an officer or partner of some other firm that failed to complete a construction contract?

No.

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name?

If so, state name of individual, name of owner and reason therefor:

No.

8. In what other lines of business are you financially interested?

None.

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

9. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of Work	In What Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

Please see attached resumes

(use additional blank sheets if additional space is necessary)

10. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, Etc.	Year of Service	Present Location
------	---	--------------------	---------------------

Please see attached equipment list

(use additional blank sheets if additional space is necessary)

Note: Should the equipment be moved from the above mentioned location, the submitter hereby agrees upon request of the County to state the new location where same may be found.

11. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

Not Applicable

(use additional blank sheets if additional space is necessary)

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PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

12. In what manner have you inspected this proposed work?
Explain in detail.

The proposed work was inspected on 1/24/2020 during the prebid meeting. Many pictures were taken
and the site was thoroughly reviewed.

(use additional blank sheets if additional space is necessary)

Explain your plan and lay-out for performing the proposed work.
Mobilize office trailer and install temporary power including lighting. Clear & grub entire site and dispose of
of existing floating docks. Excavate and install the precast deadman system. Set up and install the bypass
pumping system. Install new bulkhead 18" outward of existing bulkhead starting from the western end just short of
the bypass outfall pipe & precast ring. Install cofferdam and complete bypass work for the 15" & 36" outfall pipe.

Remove temporary water bypass system and continue driving sheeting. Intall cofferdam around 24" pipe, complete
extension and new concrete bulkhead. Remove cofferdam when complete. Complete installation of bulkhead along
with all tie-rod & wale connections. Backfill new wall and fill in between new and existing sheets. Cap new bulkhead
and installed new 6' fence. Install new stone walkway along new bulkhead. Remove temporary lighting & office
trailer. Site restoration including topsoil and seed.

13. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision
of the work? Attach resume.

Seth Allan, Vice President

Please see attached resume.

14. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

See attached certificate

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NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

WICKS EXEMPT LIST OF SUBCONTRACTORS

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

CONTRACT NO. S35114-12G

Contractor's Name and Address: Chesterfield Associates, Inc. PO Box 1229 Westhampton Beach, NY 11778 Federal ID No.: 11-2467864	Project Description (Project Title, Facility Name and Address): Contract No. S35114-12G Glen Cove Waster Water Treatment Plant	Bid Date: 2/18/2020	Total Contract Amt:
---	--	------------------------	-------------------------

Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):

- ☐ Plumbing and Gas Fitting
☐ Steam Heating, Hot Water Heating, Ventilating and AC Apparatus
☐ Electric Wiring and Standard Illuminating Fixtures

If All contract work is to be self-performed, i.e. no subcontractors will be used, please check this box [] skip to bottom of form, and sign as required.

Subcontractor's Name, Address and Federal ID No.	Check (x) only one:			General Description of Work	Subcontractor's Contract Amt.
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures		
Philip Ross Industries 200 Long Island Avenue Farmland, NY 11798 11-2707117	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Plumbing	\$505,000.00
K. Schrage & Son Inc. 10 Normandie Lane East Moriches, NY 11940 11-3502763	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Temporary electric	\$20,800.00
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.

Failure to complete this form accurately and legibly, may result in a non responsive bid determination.

Company Authorized Signature: [Signature] Title: Seth Allan, Vice President Date: 2/14/2020

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LAURA CURRAN
NASSAU COUNTY EXECUTIVE



KENNETH G. ARNOLD, P.E.
COMMISSIONER

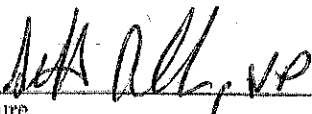
COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
1194 PROSPECT AVENUE
WESTBURY, NEW YORK 11590-2723

VENDOR PORTAL ACKNOWLEDGMENT

Vendor Name: Chesterfield Associates, Inc.
Contract Title: Glen Cove Waste Water Treatment Plant Marine Bulkhead Replacement
Contract Number: S35114-12G

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at www.nassaucountyny.gov by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.

 2/14/2020
Signature Date

Seth Allan, Vice President
Print Name

If you attempted to register via the Portal but were unable to do so, please explain here:

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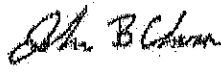

LAURA CURRAN
NASSAU COUNTY EXECUTIVE

NASSAU COUNTY



Vendor Code of Ethics



POLICY/PROCEDURE TITLE: Nassau County Vendor Code of Ethics	DATE ISSUED: June 5 th , 2019
DEPARTMENT ISSUING: Executive – Compliance	AUTHORIZED and SIGNED BY:  Deputy County Executive For Compliance  County Executive

POLICY: The Office of the Nassau County Executive recognizes the importance of the vendor community in helping the County provide necessary services for the residents of Nassau County. It is the policy of the County Executive to ensure that all vendors doing business with Nassau County operate under the highest standards of legal and ethical conduct.

PURPOSE: To set forth a Code of Conduct for vendors to ensure that Nassau County Vendors are conducting their business with integrity, ethics, and compliance with all applicable laws and regulations.

SCOPE: All vendors doing business or seeking to do business with Nassau County as specified in the Code.



Vendor Code of Ethics

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Vendor Code of Ethics

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Vendor Code of Ethics

Chapter 1: DEFINITIONS

As used in this Code, the following terms have the following meanings:

Adverse Job-Related Action includes any material alteration to existing terms, conditions, and privileges of employment, such as dismissal, demotion, suspension, compulsory leave, disciplinary action, creation of a hostile work environment, negative performance evaluation, any action resulting in loss of staff, office space or equipment or other benefit, reduction in compensation, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected employee.

Contact means any oral or written communication with any Nassau County Employee, other than the Designated Point(s) of Contact, where it could be reasonably inferred that such contact was intended to influence, or could reasonably be expected to influence, the subject of a County procurement.

Designated Point(s) of Contact means the individual(s) designated by the County to be a Vendor's only contact with Nassau County following the public advertisement of a solicitation or the issuance of a request for a bid, proposal, or quote for small purchase, until the award of a resulting contract and, where applicable, approval by the County Legislature. This timeframe, further defined in the State Procurement Lobbying Law, is also known as the Restricted Period.

Nassau County Employee means any officer, official or employee of Nassau County.

Family Member means (i) a Nassau County Employee's Spouse, Domestic Partner, Child, Sibling or Parent; (ii) a person who is a direct descendant (or the spouse of a direct descendant) of a Sibling of the Nassau County Employee or a Sibling of the Nassau County Employee's Spouse or Domestic Partner; or (iii) a person living in the same household as a Nassau County Employee.

Gift means the transfer, without equivalent consideration, of anything of benefit, tangible or intangible, having more than nominal value, including, but not limited to, cash, loans, forbearance,



Vendor Code of Ethics

services, travel, gratuities of any kind, favors, money, meals, refreshments, entertainment, hospitality, admittance to private clubs, use of time-shares, personal use of the Vendor's facilities, promises, tickets to entertainment or sporting events, weekend trips, golf outings, loans of equipment, or other thing or benefit. A Gift need not be intended to influence or reward any individual or entity.

Nassau County Code of Ethics means Nassau County Charter Section 2218, and the rules and regulations promulgated thereunder as may be amended or modified.

Participating Employee means any Vendor employee who engages in any written or oral communication of a non-clerical or non-administrative nature with Nassau County or with a Nassau County Employee(s) as part of or in connection with the procurement.

Participating Nassau County Employee means any Nassau County Employee who the Vendor knows, has reason to know, or can reasonably anticipate is involved in a specific procurement, in either a direct or decision-making capacity, but not in a clerical capacity. This includes but is not limited to the Designated Point of Contact, the project manager, the project manager's staff to the extent that they are involved in the procurement, members of selection committees, technical experts and negotiating teams.

Primary Contracting Party means a Vendor who intends to directly enter into or has a contract with Nassau County.

Retaliatory Action is defined as any Adverse Job-Related Action taken by, or at the direction or request of, a Vendor or a Vendors' Employees as a result of any individual's (i) good-faith report with respect to a violation or potential violation of this Code or the law; or (ii) cooperation in any investigation of unlawful conduct or misconduct conducted by Nassau County or by federal, state, or local law enforcement officials.

State Procurement Lobbying Law means New York State Finance Law Sections 139-j and 139-k, and the rules and regulations promulgated thereunder as may be amended or modified.

Vendor means any individual or entity seeking to or doing business



Vendor Code of Ethics

with Nassau County within the scope of this Code, including, without limitation, contractors, consultants, suppliers, manufacturers seeking to act as the primary contracting party, officers and employees of the foregoing, as well as any subcontractors, subconsultants and suppliers at all lower tiers.

Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

Section 2.01 Designated Point(s) of Contact

Each procurement solicitation issued by Nassau County will identify the Designated Point(s) of Contact for that solicitation as required by the State Procurement Lobbying Law. Once the Designated Point(s) of Contact is/are established, the Vendor and any person or entity acting on the Vendor's behalf, including without limitation, those providing compensated or uncompensated lobbying, advocacy, consulting or other services should ensure that its contacts with Nassau County are in compliance with the requirements of the State Procurement Lobbying Law.

Chapter 3: GIFTS OR CONTINGENT FEES

Section 3.01 Zero Tolerance

No Vendor may offer or give any Gift, directly or indirectly, to a Nassau County Employee. Similarly, no Vendor may offer or give any Gift, directly or indirectly, to any Family Member of a Nassau County employee where such Gift is made because of the Vendor's relationship with the Nassau County Employee. Additionally, no Vendor may accept a gift from a Nassau County Employee.

This Zero-Tolerance Policy applies regardless of actual intentions. In other words, even if a Gift does not, or is not intended to, influence an action or decision by a Nassau County Employee, it is prohibited by this Code.

Section 3.02 Personal Relationships

Notwithstanding the foregoing, if a Vendor has a pre-existing family or personal relationship with the Employee, a Gift that is wholly unconnected with the Employee's duties on behalf of Nassau County is



Vendor Code of Ethics

not necessarily prohibited.

In determining whether the giving of an item was motivated by personal rather than business concerns, the following factors are considered:

- (a) the history of the relationship between the donor and the recipient, including but not limited to the mutuality of gift giving;
- (b) whether the item was paid for by the donor.

The giving of an item shall not be considered to be motivated by a family or personal relationship if the donor seeks to charge or deduct the value of the item as a business expense or seeks reimbursement from a client.

However, regardless of the family or personal relationship between a Vendor and an employee, a Gift is strictly forbidden where it is being given under circumstances where it can reasonably be inferred that it was intended to influence the employee in the performance of his or her official duties.

Section 3.03 Contingent Fees

The Vendor will not employ or retain any individual or entity for the purpose of soliciting or securing a Nassau County contract upon any agreement or understanding for a commission, percentage, brokerage, or fee that is contingent or dependent upon the outcome of the procurement.

Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

Section 4.01 Restrictions During the Procurement Process

Vendors shall not discuss future employment with Participating Nassau County Employees or their Family Members from the date the procurement is advertised or solicited through 30 days following the date that the procurement is awarded, even if a Participating Nassau County Employee contacts the Vendor regarding employment. Questions regarding whether a particular Nassau County employee is a Participating Nassau County Employee for a specific



Vendor Code of Ethics

procurement should be directed to the Designated Point of Contact for the procurement.

Section 4.02 Restrictions Post Award

Vendors are prohibited from offering or discussing an employment opportunity with a Nassau County Employee or his or her Family Members before whom the Vendor has or expects to have a pending specific matter including, but not limited to, negotiations, performance evaluation, task order selection, approval of a voucher or invoice, or approval of or agreement to a contract amendment, change order, or deviation or waiver until:

- (i) 30 days from the time the matter before the Employee is closed, or
- (ii) 30 days from the time the Employee has no further involvement with the matter because of recusal or reassignment.

Chapter 5: CONFLICT OF INTEREST

Section 5.01 Financial Interest

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, as the case may be, may have a 10% or greater interest, nor shall the Vendor, nor any director, officer, principal, owner, or partner thereof, acquire a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract.

The Vendor will not permit an employee having a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract to be employed in the performance of the Nassau County contract.

Section 5.02 Personal Business Dealings

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, may have a non-County business dealing with a



Vendor Code of Ethics

Participating Nassau County Employee where it can be reasonably inferred that the purpose of the business dealing, at least in part, is to influence the Participating Nassau County Employee's action on a pending County matter.

Section 5.03 Disclosure and Cooperation

The Vendor shall disclose immediately to the County any real or potential conflict of interest of which it becomes aware. This obligation is ongoing and shall last through the completion of performance of the contract. The Vendor shall provide to Nassau County, at the County's request and upon such forms as may be furnished by Nassau County, a disclosure of organizational, financial, contractual or other affiliations with any organization or entity that has interests that may be substantially affected by the procurement solicitation or award. The Vendor shall fully cooperate in any inquiry or investigation undertaken by Nassau County to determine whether any such affiliations present a conflict of interest, or whether any other provision of this Code has been violated. The Vendor shall fully cooperate with audits, investigations, examinations and reviews by the Nassau County Inspector General conducted pursuant to section 187 of the Nassau County Charter.

Section 5.04 Confidential Information

At no time shall any Vendor who obtains confidential or proprietary Nassau County information in the course of doing or seeking to do business with the County disclose any such information to any person not authorized by Nassau County to receive such information or use such information for any personal gain except as necessary to fulfill its contractual obligations to Nassau County.

If the Vendor receives from any source confidential or proprietary Nassau County information prior to the award of a resulting contract and, where applicable, approval by the County Legislature, without the explicit approval of the Designated Point of Contact, the Vendor shall immediately so notify the Designated Point of Contact.

Nassau County confidential or proprietary information includes, but is not limited to, internal cost estimates and proposals submitted by other Vendors.



Vendor Code of Ethics

Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

No Vendor who participates in the development of a scope of work, solicitation documents, assessment criteria, contractual instruments or technical specifications may participate as a bidder, sub-bidder, proposer or sub-proposer on that particular procurement or perform any work on that particular procurement or any other procurement that would constitute an organizational conflict of interest or would give that Vendor an unfair advantage over other bidders or proposers on that procurement. This prohibition may be waived in writing by the County Chief Procurement Officer upon a showing of good cause.

Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

Section 6.01 Appearance Before Former Agency-Two Year Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear or practice before any Nassau County agency, either prior to award or in the performance of a Nassau County contract, for a period of two years after termination of the Nassau County Employee's services with the County.

Section 6.02 Appearance Before Former Agency-Life Time Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear, practice, communicate or otherwise render services before the agency that employed the officer or employee or any other agency of Nassau County, either prior to award or in the performance of an agency's contract in relation to any case, proceeding, application or transaction with respect to which such former officer or employee was directly concerned and in which he or she personally participated, or which was under his or her active consideration during the period of his or her employment. This provision is a lifetime bar on projects that the former Nassau County Employee previously worked on while employed by the County.



Vendor Code of Ethics

Chapter 7: NON-COLLUSION

Section 7.01 Independent Bid Assessment

The Vendor will calculate the price(s) contained in any bid or proposal independently, without collusion, consultation, communication, or agreement with any competing Vendor for the purpose of restricting competition.

Section 7.02 Non-Communication of Bid

Unless otherwise required by law, the price(s) which the Vendor quotes in its bid or proposal will not knowingly be disclosed by the Vendor, directly or indirectly, to any competing Vendor prior to the closing date for bids or proposals.

Section 7.03 Bid Submission

The Vendor will not make any attempt to induce any other individual or entity to submit or not to submit a bid or proposal.

Chapter 8: DISTRIBUTION AND CERTIFICATION

Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

As a condition of being considered for the award of any contract above the County's small purchase threshold of \$10,000, the Vendor will be required to distribute copies of the Nassau County Vendor Code of Ethics to all Participating Employees prior to any of those employee's participation in the procurement. The Code may be distributed either in hard copy or electronically as a separate PDF.

Additionally, as a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will be required to obtain an acknowledgement from each of its Participating Employees ("Participating Employee Acknowledgements") that they have received, read, understand, and will comply with the Nassau County Vendor Code of Ethics.



Vendor Code of Ethics

The Vendor's responsibility for distributing copies of the Nassau County Vendor Code of Ethics and obtaining such signed Participating Employee Acknowledgements is ongoing until completion of performance of the contract and shall be retained for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Receipt and retention of Participating Employee Acknowledgments by the Vendor shall be subject to audit by Nassau County.

Section 8.02 Vendor Certifications

The vendor by signing the final contract thereby certifies and attests to the following:

- (a) The Vendor has been provided with a copy of the Nassau County Vendor Code of Ethics and will comply with all of the provisions of the Code;
- (b) All of its Participating Employees during the course of procurement or contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to any of those employees' participation in the procurement;
- (c) All Participating Employees have completed the acknowledgement required by Section 8.01 of this Code;
- (d) The Vendor will retain all of the signed Participating Employee Acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County;
- (e) The Vendor will continue to distribute the Nassau County Vendor Code of Ethics, obtain signed Participating Employee Acknowledgements as new Participating Employees are added or changed during the contract period, and retain all of the signed acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.



Vendor Code of Ethics

Section 8.03 Subcontractor Certifications

As a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will obtain certifications executed by authorized officials from all of its lower tier subcontractors, subconsultants and suppliers (as well as from any other subcontractors, subconsultants and suppliers from whom that Vendor is soliciting or has received proposals for work on a Nassau County contract) whose employees have communicated or may communicate with Nassau County Employees. This obligation is ongoing and shall last through the completion of performance of the contract. Receipt and retention of lower tier certifications by the Vendor shall be subject to audit by Nassau County.



Vendor Code of Ethics

Chapter 9: PENALTIES

Section 9.01 Responsibility Determination

For violation of any provision of the Nassau County Vendor Code of Ethics, Nassau County may avail itself of every remedy in law or equity, or as agreed to by parties in any contract, including but not limited to declaring the Vendor non-responsible or in material breach of the contract.

Section 9.02 Civil/Criminal Penalties

Additionally, violation of the Nassau County Vendor Code of Ethics or a provision thereof may subject the Vendor to criminal or civil penalties under State or Federal law.

Chapter 10: REPORTING OBLIGATION

Section 10.01 Reporting Gift Requests

Notwithstanding the provisions of Chapter 4 above, the Vendor is obligated to immediately report to Nassau County's Inspector General and the County Chief Procurement Officer, any and all requests made to the Vendor by any Nassau County Employee for a Gift.

Section 10.02 Reporting Material Changes

The Vendor is under a continuing obligation to report any change in circumstances that materially affects any prior report to Nassau County to Department of Chief Contracting Officer, including but not limited to disclosure of conflicts of interest and representations made in the Contractor Responsibility Form.

Section 10.03 Reporting Violations and Overpayments

The Vendor is obligated to timely report in writing to Nassau County's Inspector General, in connection with the award, performance or closeout of the Nassau County contract or subcontract, any credible evidence of significant overpayments on the contract or that a principal, employee, agent or subcontractor has committed a



Vendor Code of Ethics

violation of law involving fraud, conflict of interest, bribery or gratuities.

Chapter 11: PROHIBITION ON RETALIATION

Section 11.01 Prohibition

To facilitate the reporting obligations under Chapter 10, this code strictly forbids all Vendors and Vendors' Employees from taking any Retaliatory Action against individuals who make such reports.



Vendor Code of Ethics

CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.: _____

Project Description: _____

The prospective lower tier participant _____ (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to _____ [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this _____ day of _____, 20____.

By _____ Signature of Authorized Official

_____ Name and Title of Authorized Official



Vendor Code of Ethics

PARTICIPATING EMPLOYEE ACKNOWLEDGEMENT REGARDING NASSAU COUNTY VENDOR CODE OF ETHICS

Company: _____

Bid/Proposal No.: _____

I, _____, acknowledge that I have received and read the Nassau County Vendor Code of Ethics on _____ and that I understand it and will comply with this Code in my participation in procurements between _____ (Vendor name) and Nassau County.

Executed this _____ day of _____, 20____.

By _____ Signature of Employee

Name and Title of Employee

Notice of Award

11/11/11

11/11/11

11/11/11

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CHESTERFIELD COMPLETED
JOBS LIST

OWNER	PROJECT TYPE OF WORK	CONTACT	CONTRACT PRICE	DATE COMP- LETED
Northville Industries Corp. P.O. Box 2937 Melville, NY 11747	Catwalk Port Jefferson Marine Facility Beach Street, Port Jefferson, NY	Peter St. Germaine (631) 293-4700	158,925	Jun-19
Construction Consultants/LI, Inc. 36 E. Second Street Riverhead, NY 11901	Ponquoque Beach Redevelopment of Beach Pavilion, Piles Installation Hampton Bays, NY	Susan Young (631) 727-6604	98,300	May-19
Seascope Partners LLC P.O. Box 1264 Water Mill, NY 11976	117 Cobb Isle, Water Mill NY Piles	Vincent Galardi (631) 237-1130	120,700	Apr-19
Westhampton Country Club 35 Petunk Lane Westhampton Beach, NY 11978	Bulkhead Replacement Westhampton Beach, NY	Jay Glover (631) 288-1148	117,000	Mar-19
D.F. Stone Contracting Ltd. 1230 Station Road Medford, NY 11763	Kellenberg Memorial High School Retaining Wall, Contract #KMHS-RW-01 Uniondale, NY	Bill Thomas L.S., V.P. (631) 924-2730	440,000	Feb-19
Town of Southampton 116 Hampton Road Southampton, NY 11968	Shinnecock Commercial Dock Re-Decking Project, #2016 TBR 537) Hampton Bays, NY	Christina Fetter, P.E. (631) 702-1750	194,758	Jan-19
Town of Southampton 116 Hampton Road Southampton, NY 11968	Weesuck Avenue Bulkhead Repair Resolution #2018-157	Christopher P. Dwyer (631) 286-8668	140,502	Dec-18
Artisan Construction Associates 103 Hayground Rd Water Mill, NY 11976	355 Old Montauk Highway Montauk, NY Permanent Steel Sheet Piling	Maude Adams (631) 808-3323	141,700	Dec-18
Town of Southampton 116 Hampton Road Southampton, NY 11968	Old Ponquoque Bridge Restoration Hampton Bays, NY Resolution #2017-893	Robert A. Steele, P.E. (631) 286-8668	1,777,090	Nov-18
East End Hospice One Meeting House Road Westhampton Beach, NY 11978	Bulkhead Replacement Quiloque, NY	John Peters (631) 601-4010	127,800	Oct-18
Town of Seabrook 99 Lafayette Road Seabrook, NH 03874	Bridge Brackets Seabrook, NH	Shayla Marquis (603) 474-3311	113,800	Oct-18
Lake Champlain Transportation Co. One King Street Dock Burlington, VT 05401	Marine Railway Reconstruction Shelburne LCPD (1) Shelburne, VT	Russell Fox (802) 343-5100	3,124,000	Sep-18
Bridgport Port Jefferson Steamboat Co. 102 West Broadway Port Jefferson, NY 11777	Refurbishment of Ferry Terminal Pier Port Jefferson, NY Proj. #BAB-2016010.01	Harold Jacobson, Sr. Chief Engineer (475) 292-1154	10,021,100	Sep-18
The Haskell Company 111 Riverside Avenue Jacksonville, FL 32202	USCG Station New York Boat Ramp Extension Staten Island, NY Subcontract #6601813-0043	Simeon H. Registrar 904-704-5520	315,130	Aug-18
Marlo Adimey 21515 Soundview Avenue Southold, NY 11971	Bulkhead Replacement Southold	Marlo Adimey (917) 886-4192	191,150	Aug-18
Alex Vasilakis 21625 Soundview Avenue Southold, NY 11971	Bulkhead Replacement Southold, NY	Alex Vasilakis (516) 384-3576	279,480	Aug-18
Town of Brookhaven One Independence Hill Farminville, NY 11738	Reconstruction of Fire Island Pines Ferry Terminal, Contract #20160087 Fire Island Pines, Fire Island, NY	Christopher F. Dwyer (631) 286-8668	2,242,000	Aug-18
Town of North Hempstead 220 Plandome Road Manhasset, NY 11030	Non-Motorized Pier & Floating Dock North Hempstead Beach Park	James A. Gladysz, P.E. (631) 348-7600	621,500	Jul-18
State of Maine Dept. of Agriculture 22 State House Station Augusta, ME 04333	LaMoine State Park Shoreline & Pier Repairs BGS #2783, LaMoine, ME	David Rodrigues (207) 287-4816	100,667	Jul-18
Maine Maritime Museum 243 Washington Street Bath, ME 04530	Seasonal Float Improvement Project Bath, ME Project #17-05	Barney Baker, Proj. Eng. (207) 846-9724	124,505	Jul-18
Buick & Associates, Inc. 199 Mariner Drive, Suite 5 Southampton, NY 11968	160 Dune Road Piles & Girders Orono, NY	Courtney Martin (631) 283-9670	273,000	May-18
Town of Southampton 116 Hampton Road Southampton, NY 11968	Old Port Pond Catwalk, gangway, floating dock, piles Little Neck Road, Southampton	John MacDonald (631) 702-1896	246,000	May-18
SUNY Maritime College 6 Pennyfield Avenue Bronx, NY 10485	Floating Dock Replacement IFB #FLD-029-16 Bronx, NY	Peter Fountis (718) 409-7209	3,747,069	Apr-18
A.I.T. Allen Industries 510 Broadway Amityville, NY 11701	Steel Bulkhead Long Beach, NY	Brenda Allan (631) 789-3333	412,000	Mar-18
John Hummel & Associates Custom 49B Route 114 East Hampton, NY 11937	94 Apauquoque Road Shoring & Dewatering East Hampton, NY	Tom Fantini (631) 324-5644	794,150	Feb-18
Bensin Contracting Inc. P.O. Box 388 Holtsville, NY 11742	New Well & Safe House Timber pile foundation Surfview Walk, Ocean Beach, Fire Island	Susan Sanchez (631) 758-7200	217,200	Sep-17
Metro Group of L.I. Inc.	Sunken Meadow Outdoor Learning Facility	Katie Schneider	138,712	Jul-17

OWNER	PROJECT TYPE OF WORK	CONTACT	CONTRACT PRICE	% COMPLETE
Inc. Village of Saltine P.O. Box 5551 Bay Shore, NY 11706	Lighthouse Promenade Timber Walkway and Water Main Reconstruction	Mario Posillio Village Administrator (631) 583-5566	\$4,204,600.00	80%
Village of Sag Harbor 8 Wharf Street Sag Harbor, NY 11963	Long Wharf Rehabilitation	Beth Kamper Village Clerk 631-722-0222	\$4,321,944.00	70%
AGV/Q 2809 S. Lynnhaven Road, Suite 200 Virginia Beach, VA 23452-8518	Support Of Excavation For Remediation Work	Stephen Matney (757) 213-8588	\$1,670,485.00	90%
Nassau County DPW 1194 Prospect Ave Westbury, NY 11590	Bulkhead	Richard Kramer (516) 571-1950	\$2,044,000.00	0%

CHESTERFIELD ASSOCIATES, INC

EQUIPMENT LIST

Customer's ID	Year	Manufacturer	Model	Description	Serial #
ARROW1				Solar Tech Arrow Board 1	4GM1AD091871522282
ARROW2				Solar Tech Arrow Board 2	4GM1AD091041520848
ARROW3				Arrow Board SolarTech VMS Board	522284
ARROW4				AMSI Solar Message Board	1A9B533128228420
ATTACH19		EDM Penco	RT-20	Helical Drive Head w/adaptor	
ATTACH20		Military Grapple Anvil Assembly	HSG4MCO100C	Military Grapple Anvil Assembly	
ATTACH21		Anvil Clamshell Bucket Assembly	MHCD100X026	Anvil Clamshell Bucket Assembly	652
ATTACH22	2015	Concrete Pulverizer	20CP47	Concrete Pulverizer -NYE Extreme	
ATTACH23	2015	CAT Broom attachment for 287B Skidloader	BA118C Sweeper	CAT Broom attachment for 287B Skidloader	BA801021
ATTACH24		Auger		Auger 8x20	
ATTACH25		Digga	5070	Torque Head	
ATTACH8			H3	Minnich Drill	2010-18
ATV2	2013	Kubota	RTV900XT	Utility UTV	C2623
ATV3	2013	Kubota	RTV400	Utility UTV	16606
BACKHOE2	1998		555C	Ford	A-400570
BACKHOE3	2005	Caterpillar	430D	Backhoe Loader	BNK07005
BACKHOE4	1989	Ford	555	Backhoe	
COMP5	2007			Sullair Air Compressor	2.00703E+11
CRANE10	1973		MDL TR/C	Grove Crane	18526
CRANE11	1980		806	JLG Crane	408000242
CRANE14	1980		HC-785	Link Belt Crane	70BH2852
CRANE15	1968		40T TR/C	Bucyrus Erie Crane	129306
CRANE16			25 Ton	P&H Omega Crane	
CRANE19			SC350	Sumitomo Crawler Crane	3C035-0019
CRANE2	1967		CR350	Bantam Crane	10913
CRANE20			30	Meadia Crane	
CRANE21			EX90T	Used Hitachi Mini Crane	10G-00188
CRANE22				Bantam CR350 (Wilson)	
CRANE23	1994	Sumitomo	SC-500	Crawler Crane	3C050-1147
CRANE25	1998	Manitex	8012	40 Ton Crane	80106
CRANE27	1990	P&H	CN115	Rough Terrain Crane	
CRANE28	2006	Manitex	6030LP	Crane	60-166
CRANE30	2002	Linkbelt	RTC-8040	Crane	
CRANE31	2002	Manitoc	555 Crawler Crane	2002 Manitoc Model 555 Crawler Crane, Unit: 1403	5551014
CRANE4	1988		OMEGA 128	P&H Omega 128	53046
CRANE7	1984		OMEGA 20 TON	P&H Omega 20 Ton	51505
CRANE8	1976		MDLCM40	Anton Yale CM40	25T1021
CRANE9	1979			P&H Omega 40 Ton	48522
CRWLLDR1	1986		855D	Case Crawler Loader	7500359
CRWLLDR2			MODEL 953C	Caterpillar Track Loader	22N3659
CRWLLDR3			963B	CATERPILLAR	21201709
CRWLLDR5	1978		850B	Case Crawler Loader	7078116
DOZER1	1986		850D	Case Bulldozer	7409111
Dozer3	1989	Case	855D	Dozer	7403984
EXC1	1993		SK200	Kobelco Excavator	YH15455
EXC10	1995		SK200	Kobelco Long Reach	
EXC11			115	Kobelco	
EXC12	2004	Komatsu	PC76U-6	Crawler Excavator	5934
EXC13	2007		PC35MR-2	Komatsu Mini Excavator	9577
EXC14	2006	Komatsu	220LC8	Hydraulic Excavator	A86238
EXC15	1988		907C	Kobelco	YQ-00165
EXC16	2003	John Deere Excavator	ZTS35	Mini Excavator	231003
EXC17	2008	Komatsu	PC35MR-2	Excavator (Maine-Dave)	9739
EXC22	2014	Komatsu	PC170LC-10	Excavator Exco 42" Bucket	30259
EXC4	1995		PW210-1	Komatsu	10088
EXC6	2001		322CL	Caterpillar	61K00373
EXC7	1996		311	Caterpillar	5PK02010
EXC8		Hydraulic Hammer	V1035	Yanmar Mini Excavator	
EXC9	2006	Case	CK160 Crew	Excavator	DAC162148
Excavator 20	2013	Hitachi	ZX15B-3	Excavator	83340
Excavator#20	1997	Kobelco	SK50 Mark IV	Excavator	1130445
Excavator15	2007	Komatsu	PC300-7	Excavator	55119
EXCAVATOR23	2015	Caterpillar	326F-SLA	Excavator	0WGL00546
Excavator24	2016	Kubota	U35-4R1A	Excavator	12077
Excavator25	2011	Hyundai	R352-9	Compact Excavator	
FARMTR43	2006	Kubota	M7040HDC	Cab Tractor	5.26936E+14
FARMTR44	2003		87500HSD	Kubota Cab Tractor	66307
FARMTR45	2000	John Deere	4100	Cab Tractor- Maine-Dave	LV4100G112139
FARMTR46	2011	John Deere	3032E	Cab Tractor UFT552JD	LV3032ET6H312689
FARMTR47	2002	John Deere	4620	Tractor	
FORK#9	1995	Case	586E	Forklift	
FORK4				Towmotor Forklift	
FORK6		Hyster	H165	Forklift	C007001768E
FORK7		Petroleum Army 4X4 RT		Forklift	
FORK8	2003	Case	588G	Forklift	1/00152067
Haul Truck #2	1996	Komatsu	CD-50	Haul Truck - Off Road Hauler	1029
HAULL	2001		TA30	Tereu TA30 Haul	A7991271

CHESTERFIELD ASSOCIATES, INC
EQUIPMENT LIST

Customer's #	Year	Manufacturer	Model	Description	Serial #
LARC5	1985			Larc Amphibius	
MULE1		Truck Platform Utility Off-Road Vehicle	M274A2	1/2 ton 4X4 Magnata	5373
PILEDRIVER1	2014	Junttan	PM18	Piling Rig/HYK 45L Hammer&JD 3.5 Side Auger	1665
Pump #21	1996	Gedwin	HL30R1	High Pressure Pump	941007-5
PUMP11				GM Fire	
PUMP13			64EQM	4 Cyl Deutz	G020403
PUMP14			56T	Hydratech Pump #2	5K838
PUMP15			6VW-DIDIT-4045D	Thompson Vacuum-Assa Wellpoint	6VW-036
PUMP16			134CQM	Berkeley Pump - 4 Cyl Deutz	
PUMP6				Deutz	4815172
PUMP7				471	9781408
ROADSAW1			6500	Core Cut	003A18
ROADSAW2			6560XL	Core Cut	1273774
ROADSAW3		Edco	13HP	Slab/Core Cutter	DS16A-21237
ROLLER1	1987		SP5600	Ingersoll-Rand Roller	7808
ROLLER2		Dynapac	LP65HF	Dynapac Roller	680046
ROLLER3	1998		8PU3345A	Wacker Plate Tamper	1128961
RTL9	2010	Caterpillar	986H	Wheel Loader	DA5D02528
RTL1	1988		WA250-11	Kometus Rubber Tire Loader	60084
RTL3	1979		1700	Trojan Rubber Tire Loader	17-1813
RTL4	1996		621B	Case Rubber Tire Loader	JEE0042702
RTL5	1991	Komatsu	WA320-1	Rubber Tire Loader	A25027
RTL6			821C	Case Payloader	
RTL7	2006		44A1	John Deere Loader	DW44A12395569
RTL8	2007	Caterpillar	904B	Loader	CAT0903PB4L00775
SCREEN1	1985			Powertscreen Mark II	2715117
SCREEN2	1985		MODEL L20	Viper Squeezer	V0109
SKIDDR1	1981		L455	Now Holland Skid Steer Loader	709551
SKIDDR3	2003		287	Caterpillar Skid Steer Loader	
SKIDDR4	2007	Caterpillar	287C	Skidloader	MAS417
SKIDDR5	2011	Bobcat	T750	Skidsteer	ANKA11592
SKIDDR6	2001		277	Cat Track Loader	CNC 00460
SKIDDR7	2016	Caterpillar Compact Tract Loader	289D		GTAWQB873
TUNKER		Tunker SD30		It is a Tunker SD30 Isolator. No motor. It hangs from the crane boom	
VIBHAM1	1995		50	APE Vibrohammer	940517
VIBHAM10			93B	MKT 933 Air Pile Hammer	8
VIBHAM11				ICE Model 108 Power Pack	800010
VIBHAM12			903	MKT983 Air Pile Hammer #2	MKT983
VIBHAM13				MKT Model #7 Air Hammer	80473
VIBHAM14				Koerting	700306
VIBHAM15		Vibratory Hammer	MKT 983	Vibratory Hammer	MKT 983
VIBHAM2	1989		416	ICE Vibrohammer	180832
VIBHAM4				MKT #6 Hammer	
VIBHAM7		Birmingham Diesel	02005	Hammer	2/10/2020
VIBHAM8		ICE	15/Pwr Pack180	Vibratory Hammer	164002
VIBHAM9			15	APE Model 15 Vib Driver/Extrac	
VIBHAM16	2006	Birminghamhammer	0-9M	Pile Driver	06-089-89M
VIBHAM17	2016	ICE	14D	Vibratory Hammer & Accessories	V14314
WELDER1	1998		MQ300	Multi-Chup Welder	4786399
WELDER3			21BNT	Miller Bobcat (Used)	KK228564
WELDER4				Miller Btg 30	J1369122
WELDER5				Miller Trail Blazer 302	LK020113Q
Welder6	2017	Miller Bobcat	250 Diesel	Welding Machine	MG480
Welder7		Bobcat	250 Miller	250 Bobcat Miller	MH1391279R



Contractors & Engineers
Since 1968

P.O. Box 1229 • Westhampton Beach, NY 11978
phone: 631-288-5100 • fax: 631-288-5161

E-mail: info@ca-inc.net
www.ca-inc.net

Stockholders/Officers

The following is a list of shareholders/Corporate officers of Chesterfield Associates, Inc. with their home addresses:

E. Davies Allan, President (only voting share)

123 West Shore Road, Westport Island, ME 04578

Date of Birth: 07-13-1940

Seth Allan, Vice President (40%)

56 South Country Road, Westhampton Beach, NY 11978

Date of Birth: 12-06-1962

Alexander T. Allan, Vice President (40%)

49 Seashore Avenue, East Quogue, NY 11942

Date of Birth: 10-03-1966

JeanMarie LaVallee, Vice President (5%)

48 Bay Run, Jamesport NY 11947

Date of Birth: 11-21-1968

Jeffrey E. Grube, Secretary/Treasurer (15%)

136 Dahlia Drive, Mastic Beach, NY 11951

Date of Birth: 12-06-1957

Alexander T. Allan
PO Box 241
East Quogue, NY 11942

Personal: Married
DOB 10/3/1966

Education: Westhampton Beach High School
Westhampton Beach, NY

Experience: 1983-present Chesterfield Associates
Vice President / Project Superintendent

Certificates/Licenses Class A CDL
Unlimited Crane License

Work Experience - New York State Office of Parks, (\$ 3.3 Million)
Recreation & Historic Preservation
Project No. RM 2006-601 D003745
East Boat Basin Restoration @ Robert Moses State Park

USCG – CEU Providence, (\$ 748,000)
Task Order # HSCGG1-08-J-3WK134
Waterfront Alterations & USCG Station
Jones Beach, Freeport, NY

USCG – FDCC Atlantic (\$ 2.1 Million)
Task Order #HSCG47-07-J-A76001
Rehabilitate Waterfront at USCG Station
Fire Island, Babylon, NY

U.S. Department of the Interior (\$ 2.2 Million)
National Park Service - DSC
Rehabilitate Protect Beach Facilities
Fire Island National Seashore, FIIS-004
Barrett Beach Talisman Area
Contract No. 1443C4500029095
(others available upon request)

E. Davies Allan
Resume

Graduated from Pomfret School, Connecticut 1958

Attended University of Pennsylvania – 3 years
(Studied engineering & creative writing)

Technical writer for Markem Machine, Keene, NH. 1962-1968

Founded Chesterfield Associates, Inc 1968

Pioneered erosion control methods on Fire Island, NY.
Oft times sole-source contractor for Fire Island National
Seashore because of environmental techniques initiated for
activities in marsh areas and dune transiting. 1968 -

Awarded numerous contracts by the US Coast Guard for
lighthouse repair. 1969-

Developed a technique to install a 450' outfall pipe in the
Hudson River without using large dredging equipment. 1976

Designed, built, and installed offshore wave monitoring devices
for NOAA; Shinnecock Inlet, Nantucket, and Chatham, MA
1978

Awarded contract by US NPS to rebuild the Roebling Aqueduct
(PA) although not low bidder. Project won numerous
engineering awards, and was featured in the Engineering News
Record. (\$2.2m) 1985

Resume – continued

Negotiated design-build contract (\$4.5m) to rebuild the longest covered bridge in the US, Cornish NH. Project won numerous engineering awards. 1987

Appointed to Vermont Timber bridge design committee 1988

Served on committee to save 34 lighthouses by transferring to municipal & private entities. 1988

Nominated for the Loeb Fellowship, Harvard School of Design 1989

Served on committee to rewrite workman's compensation laws in Maine. 1990

Constructed a new covered bridge weighing 120 tons and moved 2 miles to final location using unique carrier assemblies. (\$750k) 1991

Awarded design-build contract by US Coast Guard for emergency repairs, Ambrose Light, Atlantic Ocean. (\$320k). Criteria included fast pace requirement consisting of winter work. 1996

Numerous federal, state, local municipalities and private projects involving various materials and procedures. Projects include bridge construction, bridge rehabilitation, new pier construction, pier rehabilitation, shore stabilizing, historic renovations, sewer outfall, culvert replacement, and dredging. 1997-2008

Resume – continued

Grand Point Pier (\$247K)	2009
Castine Sheet Piling (\$1M	2011
Westport Jetty	2012
Bulkhead Repair Maine Maritime	2012
Days Bridge Bristol	2012
Metinic Island (\$25K)	2013
Fire Island	2013-2014
Phippsburg boat launch	2014

JEFFREY E. GRUBE

Address: 136 Dahlia Drive, Mastic Beach, NY 11951

Phone: unavailable | Mobile: 631.831.8926 | Email: jeffgrube@optonline.net

PROJECT MANAGER | CONSTRUCTION ESTIMATOR

QUALIFICATIONS PROFILE

Multifaceted, innovative, and well-respected professional offering more than 30 years of extensive and hands-on experience in construction industry, including project management and estimation; contract negotiation and administration; and change orders.

- Exemplify outstanding construction reputation while frequently receiving requests from engineers to provide feedback on various projects.
- Offer wide-ranging knowledge of construction and trade requirements, including architectural engineering, surveying, operating heavy equipment, excavation, and demolition.
- Possess comprehensive awareness of Occupational Safety and Health Administration (OSHA) policies and procedures; dedicated to observing compliance with rules and regulations, ensuring project quality, and employing effective material handling procedure.
- Demonstrate excellent analytical and problem solving skills in identifying suitability of site for project, as well as in determining and developing solutions to possible problems.
- Show exceptional interpersonal abilities in establishing relationships and interfacing with all levels of professionals to ensure project completion and apply design and structural modification.

PROFESSIONAL EXPERIENCE

CHESTERFIELD ASSOCIATES INC. • WESTHAMPTON BEACH, NY—\$12M to \$14M construction company specializing in marine and bridge work
General Manager 1998–Present

Project and Operations Management

- Prepare and submit up-to-date information to company president concerning project profitability.
- Adeptly manage the entire project operations including purchasing of project materials; hiring and firing of project managers; supervising turnover meetings for all projects; and maintaining compliance with the project budget.
- Closely collaborate with corporate accountant; supervise reserves and investments; and oversee the administration of insurance and bond procurement.
- Track projects and investigate any lost to other contractors.
- Conduct weekly assessment of accounts payable and receivable, and provide approval of all payables.

Cost Management and Estimation

- Hold full accountability in developing cost for the completion of ongoing large projects for the middle and end of the fiscal year.
- Keep track of backlog and regularly evaluate monthly job costing.
- Monitor and guarantee on-time billing of various projects, and periodically check the capabilities and accuracy of bookkeeper.
- Boost functionality of company estimating software and generate regular update intervals for resources.
- Oversee the updating of equipment costing in Computer Ease and Blue Book.

Performance Evaluation

- Take full management of the controller, estimators, and project managers while sustaining professionalism and appearance of the office.
- Evaluate employee performance through job completion meetings, and execute regular jobsite visits to look over the schedules with foremen.
- Perform comprehensive study on employee review and pay structure.

Policy and Procedure Development

- Establish new policies as necessary and ascertain complete adherence to current policies.
- Analyze and modify company policies and procedures as needed.

Client Service and Relations

- Regularly visit and communicate with clients regarding the value offered by Chesterfield Associates, Inc.
- Retain excellent reputation by monitoring quality of work and increasing liability of field personnel.

Strategic Planning and Implementation

- Conduct strategic planning to maintain competitiveness and sustainability as a Public Works contractor.
- Lead the implementation and oversight of long-term goals and growth.
- Analyze and create methodologies to reduce overhead.

JEFFREY E. GRUBE

Address: 136 Dahlia Drive, Mastic Beach, NY 11951

Phone: unavailable | **Mobile:** 631.831.8926 | **Email:** jeffgrube@optonline.net

Notable Accomplishments:

- ✓ Boosted revenue from approximately \$7M to up to 14M annually, and launched new markets to the company.
- ✓ Acquired three Apprenticeship Training programs—a program necessary for company eligibility in executing public works projects, which were approved by the New York State Department of Labor.
- ✓ Established criteria and played an integral role in creating curriculum for the program.
- ✓ Proposed, managed, and assumed various responsibilities on the largest ongoing project with approximately \$15M budget which gained various commendations and highlighted in a few publications.

OTHER POSITION HELD:

Vice President | Operations Manager

1979–1996

EARLIER CAREER

NEW YORK PAVING, INC., LONG ISLAND CITY, NY—\$50M road and bridge contractor with three divisions
Project Manager | Division Manager

UNITED STATES AIR FORCE, Honorable Discharge
Aircraft Maintenance Specialist

PROFESSIONAL DEVELOPMENT

- *Construction Safety and Health • 10-Hour Occupational Safety and Health Training Course*
- *Cable Crane Certification of Competence*
- *Commercial Driver's License A*
- *Instructor Certifications in Constructor Craft Laborer, Construction Essentials and Heavy Equipment Operator • National Center for Construction Education and Research (NCCER)*
- *Certified installer of A.B. Chance Helical Products*
- *Supervisors Safety Course*

PROFESSIONAL AFFILIATIONS

Associated Builders and Contractors (ABC):

Regional Council Chairman, Long Island / Metro Region of the Empire State Chapter (New York) | State Board of the Empire State Chapter, Chairman of the State Board | National Board of the ABC

Merit Apprenticeship Alliance:

Charter Member

Construction Laborer, Dockbuilder, and Heavy Equipment Operator Trades:

Construction Craft Instructor

ACTIVITIES

Volunteer, March of Dimes (MOD) | Fundraiser, Local Girl (Grace P)

EDUCATION

Coursework in Mathematics • Walton Junior College, Niceville, FL
Coursework in Liberal Arts • Suffolk County Community College, Selden, NY

TECHNICAL ACUMEN

Microsoft Office Suite (Word, Outlook, and Excel) | B2W Estimating Software | Adobe | AGTEK Earthwork Software
Basic knowledge of ComputerEase Accounting Software

SETH ALLAN

CHESTERFIELD ASSOCIATES, INC.

P.O. Box 1229

Westhampton Beach, NY 11978

631-288-5100 ph

631-288-5161 fax

Corporate Title: Vice-President, Operations Coordinator

Email: seth@ca-inc.net

RESIDENCE:

56 South Country Road

Westhampton Beach

(631) 288-5100 phone

(516) 369-2079 cell

PERSONAL

- **Married**
- **Date of Birth - 12/6/1962**

PROFILE

- **Skilled in prevention maintenance activities, including job scheduling, inventory control, and contractor supervision; read and interpret blueprints and schematics; specialized knowledge of marine services.**
- **Estimating, project management, operations manager**
- **Corporate Vice President & Field Operations Coordinator since 1996. Manage entire operations of companies dredging, pile driving, marine services, excavation, septic work and miscellaneous projects.**
- **Company average sales volume since year 2000 - \$10 - \$12 Million**

EXPERIENCE HISTORY

1984

**New York State Office of Parks & Recreation
Reconstruction & Dredging of Short Beach Boat Basin
Jones Beach State Park**

1991

**New York State Department of Transportation
Mill Dam Creek Bridge
Route 25 & East Marion**

1993

**New York State Department of Transportation
Reconstruction of Bridge @ State Route 114
Shelter Island, Shelter Island Heights**

1994

**New York State Department of Transportation
Reconstruction of Nissequogue River Bridge
Route 25 and Route 25A, Smithtown**

1996

**Suffolk County Department of Public Works
Reconstruction & Drainage on CR16
Horseblock Road, Smithtown**

SETH ALLAN

Dredging Projects

George E. Vickers Jr. Residence

Dredging Amount: 1,000 cubic yards

George Post Residence

Dredging Amount: 800 cubic yards

Ramana Drive Properties Owner Association

Dredging Amount: 1,500 cubic yards

And many other miscellaneous small residential projects.

Town of Smithtown

Long Beach Bulkhead

Dredging Amount: 3,000 cubic yards

Presently – We have an on-going requirements contract with the Suffolk County Department of Public Works for dredging equipment use.

CERTIFICATES / LICENSES

• CLASS A CDL LICENSE

• UNLIMITED CRANE LICENSE

WE ARE YOUR DOL



Andrew M. Cuomo, Governor
Roberta L. Reardon, Commissioner

Jo Ann McCants

Apprentice Training Representative

Department of Labor

303 W. Old Country Road 2nd Floor

Hicksville, NY 11801

www.labor.ny.gov

To: Mr. Jeffrey Grube
Chesterfield Associates, Inc.

From: Jo Ann McCants
Apprenticeship Training Representative

Date: January 13, 2020

Subject: Apprenticeship Program Verification
Sponsor: Chesterfield Associates, Inc.

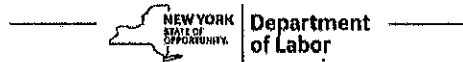
Dear Mr. Grube,

This is in response to your request made via electronic mail on January 13, 2020, regarding verification for Chesterfield Associates, Inc. apprenticeship program(s) with New York State.

According to our records, the sponsor listed below is registered with the New York State Department of Labor and has an approved apprenticeship program(s) as indicated:

<u>Sponsor</u>	<u>Trade</u>	<u>Date Registered</u>	<u>Current Status</u>
Chesterfield Associates, Inc.	Carpenter		
	(Piledriver/Dockbuilder)	05/12/2011	Active
	Operating Engineer		
	(Heavy Equipment)	07/20/2007	Active
	Skilled Construction		
	Craft Laborer	04/21/2003	Active

WE ARE YOUR DOL



Andrew M. Cuomo, Governor
Roberta L. Reardon, Commissioner

This sponsor has active apprentices as listed below:

Carpenter (Piledriver/Dockbuilder) Current – Javier Avecillas: Start date: 12/17/2018

Carpenter (Piledriver/Dockbuilder) – Last Completion date – 01/17/2018

Operating Engineer (Heavy Equipment) Current - Joseph Johnson: Start date: 09/11/2017

Operating Engineer (Heavy Equipment) Last Completion date – 9/23/2016

Skilled Construction Craft Laborer – No Active Apprentice

Skilled Construction Craft Laborer – Last Completion date - 11/21/2019

Should you have any questions concerning the above information, please contact my office at (516) 490-2347.

Thank you,

A handwritten signature in black ink, appearing to read "Jo Ann McCants".

Jo Ann McCants
Apprenticeship Training Representative



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

02/12/2020

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Keevily Spero Whitelaw, Inc. 500 Mamaroneck Ave Harrison NY 10528	CONTACT NAME: Renee Palushevic PHONE (A/C, No, Ext): (914) 381-5511 FAX (A/C, No): (914) 381-1134 E-MAIL ADDRESS: rpalushevic@keevily.com
INSURED Chesterfield Associates Inc. 56 South Country Road PO Box 1229 West Hampton Beach NY 11978	INSURER(S) AFFORDING COVERAGE INSURER A: Travelers Property Casualty Co of America INSURER B: Phoenix Insurance Co. INSURER C: INSURER D: INSURER E: INSURER F:

COVERAGES

CERTIFICATE NUMBER: 20-21 Chester NO Hull

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Contractual Liability <input checked="" type="checkbox"/> Pollution Limited GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:		ZOL-14P42172-20-ND	02/13/2020	02/13/2021	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COM/PROP AGG \$ 2,000,000 Deductible: \$ 5,000
B	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY		810-0P991566	02/13/2020	02/13/2021	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$ 25,000		ZOB-14P42541-20-ND	02/13/2020	02/13/2021	EACH OCCURRENCE \$ 10,000,000 AGGREGATE \$ 10,000,000
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/> N/A				PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

For Evidence of Insurance Purposes.

CERTIFICATE HOLDER

CANCELLATION

EVIDENCE OF INSURANCE

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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LIII. ALL LEGAL PROVISIONS DEEMED INCLUDED; SEPARABILITY; SUPREMACY; COMPLIANCE WITH LAW

9. **Prohibition of Gifts.** In accordance with County Executive Order 2-2018, the Contractor shall not offer, give, or agree to give anything of value to any County employee, agent, consultant, construction manager, or other person or firm representing the County (a "County Representative"), including members of a County Representative's immediate family, in connection with the performance by such County Representative of duties involving transactions with the Contractor on behalf of the County, whether such duties are related to this Agreement or any other County contract or matter. As used herein, "anything of value" shall include, but not be limited to, meals, holiday gifts, holiday baskets, gift cards, tickets to golf outings, tickets to sporting events, currency of any kind, or any other gifts, gratuities, favorable opportunities or preferences. For purposes of this subsection, an immediate family member shall include a spouse, child, parent, or sibling. The Contractor shall include the provisions of this subsection in each subcontract entered into under this Agreement.

10. **Disclosure of Conflicts of Interest.** In accordance with County Executive Order 2-2018, the Contractor has disclosed as part of its response to the County's Business History Form, or other disclosure form(s), any and all instances where the Contractor employs any spouse, child, or parent of a County employee of the agency or department that contracted or procured the goods and/or services described under this Agreement. The Contractor shall have a continuing obligation, as circumstances arise, to update this disclosure throughout the term of this Agreement.

11. **Vendor Code of Ethics.** By executing this Agreement, the Contractor hereby certifies and covenants that:

- (i) The Contractor has been provided a copy of the Nassau County Vendor Code of Ethics issued on June 5, 2019, as may be amended from time to time (the "Vendor Code of Ethics"), and will comply with all of its provisions;
- (ii) All of the Contractor's Participating Employees, as such term is defined in the Vendor Code of Ethics (the "Participating Employees"), have been provided a copy of the Vendor Code of Ethics prior to their participation in the underlying procurement;
- (iii) All Participating Employees have completed the acknowledgment required by the Vendor Code of Ethics;
- (iv) The Contractor will retain all of the signed Participating Employee acknowledgements for the period it is required to retain other records pertinent to performance under this Agreement;
- (v) The Contractor will continue to distribute the Vendor Code of Ethics, obtain signed Participating Employee acknowledgments as new Participating Employees are added or changed during the term of this Agreement, and retain such signed acknowledgments for the period the

Contractor is required to retain other records pertinent to performance under this Agreement; and

The Contractor has obtained the certifications required by the Vendor Code of Ethics from any subcontractors or other lower tier participants who have participated in procurements for work performed under this Agreement.

Signature

JeanMarie LaVallee

JeanMarie LaVallee Vice President

Printed Name and Title

Date

4/15/20

PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?

51 Years Months

2. How many years in the construction work of a similar type as this contract has your firm had;

as a Prime Contractor 51

a. as a Subcontractor 51

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
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Please see attached list of on-going jobs.

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
--------------------	------------------	----------------------	--

Please see attached completed job list

(use additional blank sheets if additional space is necessary)

PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NGDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

5. Have you ever failed to complete any work awarded to you?
If so, when and why?

No.

(use additional blank sheets if additional space is necessary)

6. Has any officer or partner of your firm ever been an officer or partner of some other firm that failed to complete a construction contract?

No.

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name?
If so, state name of individual, name of owner and reason therefor:

No.

8. In what other lines of business are you financially interested?

None.

PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

9. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of Work	In What Capacity
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Please see attached resumes

(use additional blank sheets if additional space is necessary)

10. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, Etc.	Year of Service	Present Location
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Please see attached equipment list

(use additional blank sheets if additional space is necessary)

Note: Should the equipment be moved from the above mentioned location, the submitter hereby agrees upon request of the County to state the new location where same may be found.

11. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

Not Applicable

(use additional blank sheets if additional space is necessary)

PROPOSAL

GLEN COVE WASTE WATER TREATMENT PLANT
MARINE BULKHEAD REPLACEMENT
H2M CONTRACT NO.: NCDP 16-02

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CAPITAL PROJECT NO.: S35114-12C

12. In what manner have you inspected this proposed work?
Explain in detail.

The proposed work was inspected on 1/24/2020 during the prebid meeting. Many pictures were taken
and the site was thoroughly reviewed.

(use additional blank sheets if additional space is necessary)

Explain your plan and lay-out for performing the proposed work.
Mobilize office trailer and install temporary power including lighting. Clear & grub entire site and dispose of
of existing floating docks. Excavate and install the precast deadman system. Set up and install the bypass
pumping system. Install new bulkhead 18" outward of existing bulkhead starting from the western end just short of
the bypass outfall pipe & precast ring. Install cofferdam and complete bypass work for the 15" & 36" outfall pipe.

Remove temporary water bypass system and continue driving sheeting. Intall cofferdam around 24" pipe, complete
extension and new concrete bulkhead. Remove cofferdam when complete. Complete installation of bulkhead along
with all tie-rod & wale connections. Backfill new wall and fill in between new and existing sheets. Cap new bulkhead
and installed new 6' fence. Install new stone walkway along new bulkhead. Remove temporary lighting & office
trailer. Site restoration including topsoil and seed.

13. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision
of the work? Attach resume.

Seth Allan, Vice President

Please see attached resume.

14. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
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See attached certificate

CHESTERFIELD COMPLETED
JOBS LIST

OWNER	PROJECT TYPE OF WORK	CONTACT	CONTRACT PRICE	DATE COMP- LETED
Northville Industries Corp. P.O. Box 2937 Melville, NY 11747	Catwalk Port Jefferson Marine Facility Beach Street, Port Jefferson, NY	Peter St. Germaine (631) 293-4700	158,925	Jun-19
Construction Consultants/LI, Inc. 36 E. Second Street Riverhead, NY 11901	Ponquoque Beach Redevelopment of Beach Pavilion, Piles Installation Hampton Bays, NY	Susan Young (631) 727-6604	98,300	May-19
Seascope Partners LLC P.O. Box 1264 Water Mill, NY 11976	117 Cobb Isle, Water Mill NY Piles	Vincent Galardi (631) 237-1130	120,700	Apr-19
Westhampton Country Club 35 Petunk Lane Westhampton Beach, NY 11978	Bulkhead Replacement Westhampton Beach, NY	Jay Glover (631) 288-1148	117,000	Mar-19
D.F. Stone Contracting Ltd. 1230 Station Road Medford, NY 11763	Kellenberg Memorial High School Retaining Wall, Contract #KMHS-RW-01 Unlondale, NY	Bill Thomas L.S., V.P. (631) 924-2730	440,000	Feb-19
Town of Southampton 116 Hampton Road Southampton, NY 11968	Shinnecock Commercial Dock Re-Decking Project, #2016 TBR 537 Hampton Bays, NY	Christina Fetter, P.E. (631) 702-1750	194,758	Jan-19
Town of Southampton 116 Hampton Road Southampton, NY 11968	Weesuck Avenue Bulkhead Repair Resolution #2018-157	Christopher P. Dwyer (631) 286-8668	140,502	Dec-18
Artisan Construction Associates 103 Hayground Rd Water Mill, NY 11976	355 Old Montauk Highway Montauk, NY Permanent Steel Sheet Piling	Maude Adams (631) 608-3323	141,700	Dec-18
Town of Southampton 116 Hampton Road Southampton, NY 11968	Old Ponquoque Bridge Restoration Hampton Bays, NY Resolution #2017-893	Robert A. Steele, P.E. (631) 286-8668	1,777,090	Nov-18
East End Hospice One Meeting House Road Westhampton Beach, NY 11978	Bulkhead Replacement Quiloque, NY	John Peters (631) 601-4010	127,800	Oct-18
Town of Seabrook 99 Lafayette Road Seabrook, NH 03874	Bridge Brackets Seabrook, NH	Shayla Marquis (603) 474-3311	113,800	Oct-18
Lake Champlain Transportation Co. One King Street Dock Burlington, VT 05401	Marine Railway Reconstruction Shelburne LCPD (1) Shelburne, VT	Russell Fox (802) 343-5100	3,124,000	Sep-18
Bridgport Port Jefferson Steamboat Co. 102 West Broadway Port Jefferson, NY 11777	Refurbishment of Ferry Terminal Pier Port Jefferson, NY Proj. #BAB-2016010.01	Harold Jacobson, Sr. Chief Engineer (475) 292-1154	10,021,100	Sep-18
The Haskell Company 111 Riverside Avenue Jacksonville, FL 32202	USCG Station New York Boat Ramp Extension Staten Island, NY Subcontract #6601813-0043	Simeon H. Registrar 904-704-5520	315,130	Aug-18
Marlo Adimey 21515 Soundview Avenue Southold, NY 11971	Bulkhead Replacement Southold	Marlo Adimey (917) 886-4192	191,150	Aug-18
Alex Vasilakis 21625 Soundview Avenue Southold, NY 11971	Bulkhead Replacement Southold, NY	Alex Vasilakis (516) 384-3576	279,480	Aug-18
Town of Brookhaven One Independence Hill Farminville, NY 11738	Reconstruction of Fire Island Pines Ferry Terminal, Contract #20160087 Fire Island Pines, Fire Island, NY	Christopher F. Dwyer (631) 286-8668	2,242,000	Aug-18
Town of North Hempstead 220 Plandome Road Manhasset, NY 11030	Non-Motorized Pier & Floating Dock North Hempstead Beach Park	James A. Gladysz, P.E. (631) 348-7600	621,500	Jul-18
State of Maine Dept. of Agriculture 22 State House Station Augusta, ME 04333	LaMoine State Park Shoreline & Pier Repairs BGS #2783, LaMoine, ME	David Rodrigues (207) 287-4816	100,667	Jul-18
Maine Maritime Museum 243 Washington Street Bath, ME 04530	Seasonal Float Improvement Project Bath, ME Project #17-05	Barney Baker, Proj. Eng. (207) 846-9724	124,505	Jul-18
Buick & Associates, Inc. 199 Mariner Drive, Suite 5 Southampton, NY 11968	160 Dune Road Piles & Girders Orono, NY	Courtney Martin (631) 283-9670	273,000	May-18
Town of Southampton 116 Hampton Road Southampton, NY 11968	Old Port Pond Catwalk, gangway, floating dock, piles Little Neck Road, Southampton	John MacDonald (631) 702-1896	246,000	May-18
SUNY Maritime College 6 Pennyfield Avenue Bronx, NY 10485	Floating Dock Replacement IFB #FLD-029-16 Bronx, NY	Peter Fountis (718) 409-7209	3,747,069	Apr-18
A.I.T. Allen Industries 510 Broadway Amityville, NY 11701	Steel Bulkhead Long Beach, NY	Brenda Allan (631) 789-3333	412,000	Mar-18
John Hummel & Associates Custom 49B Route 114 East Hampton, NY 11937	94 Apaquoque Road Shoring & Dewatering East Hampton, NY	Tom Fantini (631) 324-5644	794,150	Feb-18
Bensin Contracting Inc. P.O. Box 388 Holtsville, NY 11742	New Well & Safe House Timber pile foundation Surfview Walk, Ocean Beach, Fire Island	Susan Sanchez (631) 758-7200	217,200	Sep-17
Metro Group of L.I. Inc.	Sunken Meadow Outdoor Learning Facility	Katie Schneider	138,712	Jul-17

OWNER	PROJECT TYPE OF WORK	CONTACT	CONTRACT PRICE	% COMPLETE
Inc. Village of Saltine P.O. Box 5551 Bay Shore, NY 11706	Lighthouse Promenade Timber Walkway and Water Main Reconstruction	Mario Posillio Village Administrator (631) 583-5566	\$4,204,600.00	80%
Village of Sag Harbor 8 Wharf Street Sag Harbor, NY 11963	Long Wharf Rehabilitation	Beth Kamper Village Clerk 631-722-0222	\$4,321,944.00	70%
AGV/Q 2809 S. Lynnhaven Road, Suite 200 Virginia Beach, VA 23452-8518	Support Of Excavation For Remediation Work	Stephen Matney (757) 213-8588	\$1,670,485.00	90%
Nassau County DPW 1194 Prospect Ave Westbury, NY 11590	Bulkhead	Richard Kramer (516) 571-1950	\$2,044,000.00	0%

CHESTERFIELD ASSOCIATES, INC

EQUIPMENT LIST

Customer's ID	Year	Manufacturer	Model	Description	Serial #
ARROW1				Solar Tech Arrow Board 1	4GM1AD091871522282
ARROW2				Solar Tech Arrow Board 2	4GM1AD091041520848
ARROW3				Arrow Board SolarTech VMS Board	522284
ARROW4				AMSI Solar Message Board	1A9B533128228420
ATTACH19		EDM Penco	RT-20	Helical Drive Head w/adaptor	
ATTACH20		Military Grapple Anvil Assembly	HSG4MCO100C	Military Grapple Anvil Assembly	
ATTACH21		Anvil Clamshell Bucket Assembly	MHCD100X026	Anvil Clamshell Bucket Assembly	652
ATTACH22	2015	Concrete Pulverizer	20CP47	Concrete Pulverizer -NYE Extreme	
ATTACH23	2015	CAT Broom attachment for 287B Skidloader	BA118C Sweeper	CAT Broom attachment for 287B Skidloader	BA801021
ATTACH24		Auger		Auger 8x20	
ATTACH25		Digga	5070	Torque Head	
ATTACH8			H3	Minnich Drill	2010-18
ATV2	2013	Kubota	RTV900XT	Utility UTV	C2623
ATV3	2013	Kubota	RTV400	Utility UTV	16606
BACKHOE2	1998		555C	Ford	A-400570
BACKHOE3	2005	Caterpillar	430D	Backhoe Loader	BNK07005
BACKHOE4	1989	Ford	555	Backhoe	
COMP5	2007			Sullair Air Compressor	2.00703E+11
CRANE10	1973		MDL TR/C	Grove Crane	18526
CRANE11	1980		806	JLG Crane	408000242
CRANE14	1980		HC-785	Link Belt Crane	70BH2852
CRANE15	1968		40T TR/C	Bucyrus Erie Crane	129306
CRANE16			25 Ton	P&H Omega Crane	
CRANE19			SC350	Sumitomo Crawler Crane	3C035-0019
CRANE2	1967		CR350	Bantam Crane	10913
CRANE20			30	Meadia Crane	
CRANE21			EX90T	Used Hitachi Mini Crane	10G-00188
CRANE22				Bantam CR350 (Wilson)	
CRANE23	1994	Sumitomo	SC-500	Crawler Crane	3C050-1147
CRANE25	1998	Manitex	8012	40 Ton Crane	80106
CRANE27	1990	P&H	CN115	Rough Terrain Crane	
CRANE28	2006	Manitex	6030LP	Crane	60-166
CRANE30	2002	Linkbelt	RTC-8040	Crane	
CRANE31	2002	Manitoc	555 Crawler Crane	2002 Manitoc Model 555 Crawler Crane, Unit: 1403	5551014
CRANE4	1988		OMEGA 128	P&H Omega 128	53046
CRANE7	1984		OMEGA 20 TON	P&H Omega 20 Ton	51505
CRANE8	1976		MDLCM40	Anton Yale CM40	25T1021
CRANE9	1979			P&H Omega 40 Ton	48522
CRWLLDR1	1986		855D	Case Crawler Loader	7500359
CRWLLDR2			MODEL 953C	Caterpillar Track Loader	22N3659
CRWLLDR3			963B	CATERPILLAR	21201709
CRWLLDR5	1978		850B	Case Crawler Loader	7078116
DOZER1	1986		850D	Case Bulldozer	7409111
Dozer3	1989	Case	855D	Dozer	7403984
EXC1	1993		SK200	Kobelco Excavator	YH15455
EXC10	1995		SK200	Kobelco Long Reach	
EXC11			115	Kobelco	
EXC12	2004	Komatsu	PC76U-6	Crawler Excavator	5934
EXC13	2007		PC35MR-2	Komatsu Mini Excavator	9577
EXC14	2006	Komatsu	220LC8	Hydraulic Excavator	A86238
EXC15	1988		907C	Kobelco	YQ-00165
EXC16	2003	John Deere Excavator	ZTS35	Mini Excavator	231003
EXC17	2008	Komatsu	PC35MR-2	Excavator (Maine-Dave)	9739
EXC22	2014	Komatsu	PC170LC-10	Excavator Exco 42" Bucket	30259
EXC4	1995		PW210-1	Komatsu	10088
EXC6	2001		322CL	Caterpillar	61K00373
EXC7	1996		311	Caterpillar	5PK02010
EXC8		Hydraulic Hammer	V1035	Yanmar Mini Excavator	
EXC9	2006	Case	CK160 Crew	Excavator	DAC162148
Excavator 20	2013	Hitachi	ZX15B-3	Excavator	83340
Excavator#20	1997	Kobelco	SK50 Mark IV	Excavator	1EJ0445
Excavator15	2007	Komatsu	PC300-7	Excavator	55119
EXCAVATOR23	2015	Caterpillar	326F-SLA	Excavator	0WGL00546
Excavator24	2016	Kubota	U35-4R1A	Excavator	12077
Excavator25	2011	Hyundai	R352-9	Compact Excavator	
FARMTR43	2006	Kubota	M7040HDC	Cab Tractor	5.26936E+14
FARMTR44	2003		87500HSD	Kubota Cab Tractor	66307
FARMTR45	2000	John Deere	4100	Cab Tractor- Maine-Dave	LV4100G112139
FARMTR46	2011	John Deere	3032E	Cab Tractor UFT552JD	LV3032ETRH312689
FARMTR47	2002	John Deere	4620	Tractor	
FORK#9	1995	Case	586E	Forklift	
FORK4				Towmotor Forklift	
FORK6		Hyster	H165	Forklift	COO7001768E
FORK7		Petroleum Army 4X4 RT		Forklift	
FORK8	2003	Case	588G	Forklift	1/00152067
Haul Truck #2	1996	Komatsu	CD-50	Haul Truck - Off Road Hauler	1029
HAULL	2001		TA30	Tereu TA30 Haul	A7991271

CHESTERFIELD ASSOCIATES, INC
EQUIPMENT LIST

Customer's #	Year	Manufacturer	Model	Description	Serial #
LARC5	1985			Larc Amphibius	
MULE1		Truck Platform Utility Off-Road Vehicle	M274A2	1/2 ton 4X4 Magnata	5373
PILEDRIVER1	2014	Junttan	PM18	Piling Rig/HYK 45L Hammer&JD 3.5 Side Auger	1665
Pump #21	1996	Gedwin	HL30R1	High Pressure Pump	941007-5
PUMP11				GM Fire	
PUMP13			64EQM	4 Cyl Deutz	G020403
PUMP14			56T	Hydratech Pump #2	5K838
PUMP15			6VW-DIDIT-4045D	Thompson Vacuum-Assa Wellpoint	6VW-036
PUMP16			134CQM	Berkeley Pump - 4 Cyl Deutz	
PUMP6				Deutz	4815172
PUMP7				471	9781408
ROADSAW1			6500	Core Cut	003A18
ROADSAW2			6560XL	Core Cut	1273774
ROADSAW3		Edco	13HP	Slab/Core Cutter	DS16A-21237
ROLLER1	1987		SP5600	Ingersoll-Rand Roller	7808
ROLLER2		Dynapac	LP65HF	Dynapac Roller	680046
ROLLER3	1998		8PU3345A	Wacker Plate Tamper	1128961
RTL9	2010	Caterpillar	986H	Wheel Loader	DA5D02528
RTL1	1988		WA250-11	Kometus Rubber Tire Loader	60084
RTL3	1979		1700	Trojan Rubber Tire Loader	17-1813
RTL4	1996		621B	Case Rubber Tire Loader	JEE0042702
RTL5	1991	Komatsu	WA320-1	Rubber Tire Loader	A25027
RTL6			821C	Case Payloader	
RTL7	2006		44A1	John Deere Loader	DW44A12395569
RTL8	2007	Caterpillar	904B	Loader	CAT0903PB4L00775
SCREEN1	1985			Powertscreen Mark II	2715117
SCREEN2	1985		MODEL L20	Viper Squeezer	V0109
SKIDDR1	1981		L455	Now Holland Skid Steer Loader	709551
SKIDDR3	2003		287	Caterpillar Skid Steer Loader	
SKIDDR4	2007	Caterpillar	287C	Skidloader	MA3417
SKIDDR5	2011	Bobcat	T750	Skidsteer	ANXA11592
SKIDDR6	2001		277	Cat Track Loader	CNC 00460
SKIDDR7	2016	Caterpillar Compact Tract Loader	289D		GTAWQB873
TUNKER		Tunker SD30		It is a Tunker SD30 Isolator. No motor. It hangs from the crane boom	
VIBHAM1	1995		50	APE Vibrohammer	940517
VIBHAM10			93B	MKT 933 Air Pile Hammer	8
VIBHAM11				ICE Model 108 Power Pack	800010
VIBHAM12			903	MKT983 Air Pile Hammer #2	MKT983
VIBHAM13				MKT Model #7 Air Hammer	80473
VIBHAM14				Koerting	700306
VIBHAM15		Vibratory Hammer	MKT 983	Vibratory Hammer	MKT 983
VIBHAM2	1989		416	ICE Vibrohammer	180832
VIBHAM4				MKT #6 Hammer	
VIBHAM7		Birmingham Diesel	02005	Hammer	2/10/2020
VIBHAM8		ICE	25/Pwr Pack180	Vibratory Hammer	164002
VIBHAM9			15	APE Model 15 Vib Driver/Extrac	
VIBHAM16	2006	Birminghamhammer	0-9M	Pile Driver	06-089-89M
VIBHAM17	2016	ICE	14D	Vibratory Hammer & Accessories	V14314
WELDER1	1998		MQ300	Multi-Chup Welder	4786399
WELDER3			21BNT	Miller Bobcat (Used)	KK228564
WELDER4				Miller Btg 30	J1369122
WELDER5				Miller Trail Blazer 302	LK020113Q
Welder6	2017	Miller Bobcat	250 Diesel	Welding Machine	MG480
Welder7		Bobcat	250 Miller	250 Bobcat Miller	MH1391279R



Contractors & Engineers
Since 1968

P.O. Box 1229 • Westhampton Beach, NY 11978
phone: 631-288-5100 • fax: 631-288-5161

E-mail: info@ca-inc.net
www.ca-inc.net

Stockholders/Officers

The following is a list of shareholders/Corporate officers of Chesterfield Associates, Inc. with their home addresses:

E. Davies Allan, President (only voting share)

123 West Shore Road, Westport Island, ME 04578

Date of Birth: 07-13-1940

Seth Allan, Vice President (40%)

56 South Country Road, Westhampton Beach, NY 11978

Date of Birth: 12-06-1962

Alexander T. Allan, Vice President (40%)

49 Seashore Avenue, East Quogue, NY 11942

Date of Birth: 10-03-1966

JeanMarie LaVallee, Vice President (5%)

48 Bay Run, Jamesport NY 11947

Date of Birth: 11-21-1968

Jeffrey E. Grube, Secretary/Treasurer (15%)

136 Dahlia Drive, Mastic Beach, NY 11951

Date of Birth: 12-06-1957

Alexander T. Allan
PO Box 241
East Quogue, NY 11942

Personal: Married
DOB 10/3/1966

Education: Westhampton Beach High School
Westhampton Beach, NY

Experience: 1983-present Chesterfield Associates
Vice President / Project Superintendent

Certificates/Licenses Class A CDL
Unlimited Crane License

Work Experience - New York State Office of Parks, (\$ 3.3 Million)
Recreation & Historic Preservation
Project No. RM 2006-601 D003745
East Boat Basin Restoration @ Robert Moses State Park

USCG – CEU Providence, (\$ 748,000)
Task Order # HSCGG1-08-J-3WK134
Waterfront Alterations & USCG Station
Jones Beach, Freeport, NY

USCG – FDCC Atlantic (\$ 2.1 Million)
Task Order #HSCG47-07-J-A76001
Rehabilitate Waterfront at USCG Station
Fire Island, Babylon, NY

U.S. Department of the Interior (\$ 2.2 Million)
National Park Service - DSC
Rehabilitate Protect Beach Facilities
Fire Island National Seashore, FIIS-004
Barrett Beach Talisman Area
Contract No. 1443C4500029095
(others available upon request)

E. Davies Allan
Resume

Graduated from Pomfret School, Connecticut 1958

Attended University of Pennsylvania – 3 years
(Studied engineering & creative writing)

Technical writer for Markem Machine, Keene, NH. 1962-1968

Founded Chesterfield Associates, Inc 1968

Pioneered erosion control methods on Fire Island, NY.
Oft times sole-source contractor for Fire Island National
Seashore because of environmental techniques initiated for
activities in marsh areas and dune transiting. 1968 -

Awarded numerous contracts by the US Coast Guard for
lighthouse repair. 1969-

Developed a technique to install a 450' outfall pipe in the
Hudson River without using large dredging equipment. 1976

Designed, built, and installed offshore wave monitoring devices
for NOAA; Shinnecock Inlet, Nantucket, and Chatham, MA
1978

Awarded contract by US NPS to rebuild the Roebling Aqueduct
(PA) although not low bidder. Project won numerous
engineering awards, and was featured in the Engineering News
Record. (\$2.2m) 1985

Resume – continued

Negotiated design-build contract (\$4.5m) to rebuild the longest covered bridge in the US, Cornish NH. Project won numerous engineering awards. 1987

Appointed to Vermont Timber bridge design committee 1988

Served on committee to save 34 lighthouses by transferring to municipal & private entities. 1988

Nominated for the Loeb Fellowship, Harvard School of Design 1989

Served on committee to rewrite workman's compensation laws in Maine. 1990

Constructed a new covered bridge weighing 120 tons and moved 2 miles to final location using unique carrier assemblies. (\$750k) 1991

Awarded design-build contract by US Coast Guard for emergency repairs, Ambrose Light, Atlantic Ocean. (\$320k). Criteria included fast pace requirement consisting of winter work. 1996

Numerous federal, state, local municipalities and private projects involving various materials and procedures. Projects include bridge construction, bridge rehabilitation, new pier construction, pier rehabilitation, shore stabilizing, historic renovations, sewer outfall, culvert replacement, and dredging.

1997-2008

Resume – continued

Grand Point Pier (\$247K)	2009
Castine Sheet Piling (\$1M	2011
Westport Jetty	2012
Bulkhead Repair Maine Maritime	2012
Days Bridge Bristol	2012
Metinic Island (\$25K)	2013
Fire Island	2013-2014
Phippsburg boat launch	2014

JEFFREY E. GRUBE

Address: 136 Dahlia Drive, Mastic Beach, NY 11951

Phone: unavailable | Mobile: 631.831.8926 | Email: jeffgrube@optonline.net

PROJECT MANAGER | CONSTRUCTION ESTIMATOR

QUALIFICATIONS PROFILE

Multifaceted, innovative, and well-respected professional offering more than 30 years of extensive and hands-on experience in construction industry, including project management and estimation; contract negotiation and administration; and change orders.

- Exemplify outstanding construction reputation while frequently receiving requests from engineers to provide feedback on various projects.
- Offer wide-ranging knowledge of construction and trade requirements, including architectural engineering, surveying, operating heavy equipment, excavation, and demolition.
- Possess comprehensive awareness of Occupational Safety and Health Administration (OSHA) policies and procedures; dedicated to observing compliance with rules and regulations, ensuring project quality, and employing effective material handling procedure.
- Demonstrate excellent analytical and problem solving skills in identifying suitability of site for project, as well as in determining and developing solutions to possible problems.
- Show exceptional interpersonal abilities in establishing relationships and interfacing with all levels of professionals to ensure project completion and apply design and structural modification.

PROFESSIONAL EXPERIENCE

CHESTERFIELD ASSOCIATES INC. • WESTHAMPTON BEACH, NY—\$12M to \$14M construction company specializing in marine and bridge work
General Manager 1998–Present

Project and Operations Management

- Prepare and submit up-to-date information to company president concerning project profitability.
- Adeptly manage the entire project operations including purchasing of project materials; hiring and firing of project managers; supervising turnover meetings for all projects; and maintaining compliance with the project budget.
- Closely collaborate with corporate accountant; supervise reserves and investments; and oversee the administration of insurance and bond procurement.
- Track projects and investigate any lost to other contractors.
- Conduct weekly assessment of accounts payable and receivable, and provide approval of all payables.

Cost Management and Estimation

- Hold full accountability in developing cost for the completion of ongoing large projects for the middle and end of the fiscal year.
- Keep track of backlog and regularly evaluate monthly job costing.
- Monitor and guarantee on-time billing of various projects, and periodically check the capabilities and accuracy of bookkeeper.
- Boost functionality of company estimating software and generate regular update intervals for resources.
- Oversee the updating of equipment costing in Computer Ease and Blue Book.

Performance Evaluation

- Take full management of the controller, estimators, and project managers while sustaining professionalism and appearance of the office.
- Evaluate employee performance through job completion meetings, and execute regular jobsite visits to look over the schedules with foremen.
- Perform comprehensive study on employee review and pay structure.

Policy and Procedure Development

- Establish new policies as necessary and ascertain complete adherence to current policies.
- Analyze and modify company policies and procedures as needed.

Client Service and Relations

- Regularly visit and communicate with clients regarding the value offered by Chesterfield Associates, Inc.
- Retain excellent reputation by monitoring quality of work and increasing liability of field personnel.

Strategic Planning and Implementation

- Conduct strategic planning to maintain competitiveness and sustainability as a Public Works contractor.
- Lead the implementation and oversight of long-term goals and growth.
- Analyze and create methodologies to reduce overhead.

JEFFREY E. GRUBE

Address: 136 Dahlia Drive, Mastic Beach, NY 11951

Phone: unavailable | **Mobile:** 631.831.8926 | **Email:** jeffgrube@optonline.net

Notable Accomplishments:

- ✓ Boosted revenue from approximately \$7M to up to 14M annually, and launched new markets to the company.
- ✓ Acquired three Apprenticeship Training programs—a program necessary for company eligibility in executing public works projects, which were approved by the New York State Department of Labor.
- ✓ Established criteria and played an integral role in creating curriculum for the program.
- ✓ Proposed, managed, and assumed various responsibilities on the largest ongoing project with approximately \$15M budget which gained various commendations and highlighted in a few publications.

OTHER POSITION HELD:

Vice President | Operations Manager

1979–1996

EARLIER CAREER

NEW YORK PAVING, INC., LONG ISLAND CITY, NY—\$50M road and bridge contractor with three divisions
Project Manager | Division Manager

UNITED STATES AIR FORCE, Honorable Discharge
Aircraft Maintenance Specialist

PROFESSIONAL DEVELOPMENT

- *Construction Safety and Health • 10-Hour Occupational Safety and Health Training Course*
- *Cable Crane Certification of Competence*
- *Commercial Driver's License A*
- *Instructor Certifications in Constructor Craft Laborer, Construction Essentials and Heavy Equipment Operator • National Center for Construction Education and Research (NCCER)*
- *Certified installer of A.B. Chance Helical Products*
- *Supervisors Safety Course*

PROFESSIONAL AFFILIATIONS

Associated Builders and Contractors (ABC):

Regional Council Chairman, Long Island / Metro Region of the Empire State Chapter (New York) | State Board of the Empire State Chapter, Chairman of the State Board | National Board of the ABC

Merit Apprenticeship Alliance:

Charter Member

Construction Laborer, Dockbuilder, and Heavy Equipment Operator Trades:

Construction Craft Instructor

ACTIVITIES

Volunteer, March of Dimes (MOD) | Fundraiser, Local Girl (Grace P)

EDUCATION

Coursework in Mathematics • Walton Junior College, Niceville, FL
Coursework in Liberal Arts • Suffolk County Community College, Selden, NY

TECHNICAL ACUMEN

Microsoft Office Suite (Word, Outlook, and Excel) | B2W Estimating Software | Adobe | AGTEK Earthwork Software
Basic knowledge of ComputerEase Accounting Software

SETH ALLAN

CHESTERFIELD ASSOCIATES, INC.

P.O. Box 1229

Westhampton Beach, NY 11978

631-288-5100 ph

631-288-5161 fax

Corporate Title: Vice-President, Operations Coordinator

Email: seth@ca-inc.net

RESIDENCE:

56 South Country Road

Westhampton Beach

(631) 288-5100 phone

(516) 369-2079 cell

PERSONAL

- **Married**
- **Date of Birth - 12/6/1962**

PROFILE

- **Skilled in prevention maintenance activities, including job scheduling, inventory control, and contractor supervision; read and interpret blueprints and schematics; specialized knowledge of marine services.**
- **Estimating, project management, operations manager**
- **Corporate Vice President & Field Operations Coordinator since 1996. Manage entire operations of companies dredging, pile driving, marine services, excavation, septic work and miscellaneous projects.**
- **Company average sales volume since year 2000 - \$10 - \$12 Million**

EXPERIENCE HISTORY

1984

**New York State Office of Parks & Recreation
Reconstruction & Dredging of Short Beach Boat Basin
Jones Beach State Park**

1991

**New York State Department of Transportation
Mill Dam Creek Bridge
Route 25 & East Marion**

1993

**New York State Department of Transportation
Reconstruction of Bridge @ State Route 114
Shelter Island, Shelter Island Heights**

1994

**New York State Department of Transportation
Reconstruction of Nissequogue River Bridge
Route 25 and Route 25A, Smithtown**

1996

**Suffolk County Department of Public Works
Reconstruction & Drainage on CR16
Horseblock Road, Smithtown**

SETH ALLAN

Dredging Projects

George E. Vickers Jr. Residence

Dredging Amount: 1,000 cubic yards

George Post Residence

Dredging Amount: 800 cubic yards

Ramana Drive Properties Owner Association

Dredging Amount: 1,500 cubic yards

And many other miscellaneous small residential projects.

Town of Smithtown

Long Beach Bulkhead

Dredging Amount: 3,000 cubic yards

Presently – We have an on-going requirements contract with the Suffolk County Department of Public Works for dredging equipment use.

CERTIFICATES / LICENSES

• CLASS A CDL LICENSE

• UNLIMITED CRANE LICENSE

WE ARE YOUR DOL



Andrew M. Cuomo, Governor
Roberta L. Reardon, Commissioner

Jo Ann McCants

Apprentice Training Representative
Department of Labor
303 W. Old Country Road 2nd Floor
Hicksville, NY 11801
www.labor.ny.gov

To: Mr. Jeffrey Grube
Chesterfield Associates, Inc.

From: Jo Ann McCants
Apprenticeship Training Representative

Date: January 13, 2020

Subject: Apprenticeship Program Verification
Sponsor: Chesterfield Associates, Inc.

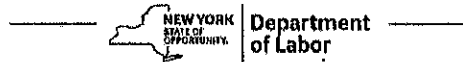
Dear Mr. Grube,

This is in response to your request made via electronic mail on January 13, 2020, regarding verification for Chesterfield Associates, Inc. apprenticeship program(s) with New York State.

According to our records, the sponsor listed below is registered with the New York State Department of Labor and has an approved apprenticeship program(s) as indicated:

<u>Sponsor</u>	<u>Trade</u>	<u>Date Registered</u>	<u>Current Status</u>
Chesterfield Associates, Inc.	Carpenter		
	(Piledriver/Dockbuilder)	05/12/2011	Active
	Operating Engineer		
	(Heavy Equipment)	07/20/2007	Active
	Skilled Construction		
	Craft Laborer	04/21/2003	Active

WE ARE YOUR DOL



Andrew M. Cuomo, Governor
Roberta L. Reardon, Commissioner

This sponsor has active apprentices as listed below:

Carpenter (Piledriver/Dockbuilder) Current – Javier Avecillas: Start date: 12/17/2018

Carpenter (Piledriver/Dockbuilder) – Last Completion date – 01/17/2018

Operating Engineer (Heavy Equipment) Current - Joseph Johnson: Start date: 09/11/2017

Operating Engineer (Heavy Equipment) Last Completion date – 9/23/2016

Skilled Construction Craft Laborer – No Active Apprentice

Skilled Construction Craft Laborer – Last Completion date - 11/21/2019

Should you have any questions concerning the above information, please contact my office at (516) 490-2347.

Thank you,

A handwritten signature in black ink, appearing to read "Jo Ann McCants". The signature is fluid and cursive, with the first letters of the first and last names being capitalized and prominent.

Jo Ann McCants
Apprenticeship Training Representative

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

JeanMarie LaVallee
Name and Title of Authorized Representative

4/15/20
m/d/yy

JeanMarie LaVallee
Signature

4/15/20
Date

Chesterfield Associates, Inc.
Name of Organization

56 S. Country Rd. PO Box 1229 Westhampton Beach, NY 11978
Address of Organization


Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

CONSULTANT/ CONTRACTOR DETAILED MBE/WBE UTILIZATION PLAN

Part 1- General Information:

Consultant/Contractor Name:	Chesterfield Associates, Inc.
Address (street/city/state/zip code):	56 S. Country Rd. PO Box 1229 Westhampton Beach, NY 11978
Authorized Representative (name/title):	Jeffrey Grube Secretary / Treasurer
Authorized Signature:	 Sr. / Tsn
Contract Number:	NCDP 16-02
Contract/Project Name:	Glen Cove Waste Water Treatment Plant
Contract/Project Description:	Marine Bulkhead Replacement

Part 2- Projected MBE/WBE Contract Summary:

	Amount (\$)		Percentage (%)
Total Dollar Value of the Prime Contract	1,874,950		98.59
Total MBE Dollar Amount	21,250	MBE Contract Percentage	1.13
Total WBE Dollar Amount	5,200	WBE Contract Percentage	.28
Total Combined M/WBE Dollar Amount	26,450	Combined M/WBE Contract Percentage	1.41

Part 3- MBE Information (use additional blank sheets as necessary):

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount(\$) and Award Date	MBE Contract Scheduled Start Date and Completion Date
Name: <u>Island Concrete Construction Corp</u> Address: <u>PO Box 549</u> City: <u>Centereach</u> State/Zip Code: <u>NY 11720</u> Authorized Representative: <u>Paula Simoes</u> Telephone No. <u>631 981 1234</u>	<u>Cast in place concrete for outfall pipe headwalls</u>	Amount (\$): <u>21,250</u> Award Date: _____	Start Date: _____ Completion Date: _____
Name: _____ Address: _____ City: _____ State/Zip Code: _____ Authorized Representative: _____ Telephone No. _____		Amount (\$): _____ Award Date: _____	Start Date: _____ Completion Date: _____
Name: _____ Address: _____ City: _____ State/Zip Code: _____ Authorized Representative: _____ Telephone No. _____		Amount (\$): _____ Award Date: _____	Start Date: _____ Completion Date: _____

Part 4- WBE Information (use additional blank sheets as necessary):

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount(\$) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: Sound Environmental Associates, LLC. Address: 18 Tide Ct. City: Wading River State/Zip Code: NY 11792 Authorized Representative: Telephone No. 6314147198	Health + Safety Plan, Emergency Action Plan + Fall Protection Plan	Amount (\$): 5,200 Award Date:	Start Date: Completion Date:
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date: