



Certified:

**B-26-20**

**NIFS ID: Department: Public Works**

Filed with  
Clerk of Nassau County Legislature  
September 24, 2020 1:22PM

**Capital: X**

SERVICE: Resurfacing County Roads-Ph 62-H61587-62G-B26-20

Contract ID #:H61587-62G

NIFS Entry Date:

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	N
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Material Adverse Information Identified? (if yes, attach memo):	N
5) Insurance Required	N

<b>Vendor Info:</b>	
Name: <b>H &amp; L Contracting, LLC</b>	Vendor ID#: <b>46-4082629</b>
Address: 38 Homan Avenue Bay Shore, NY 11706	Contact Person: Keith Haney
	Phone: 6318132266

<b>Department:</b>
Contact Name: John O'Dougherty
Address: Nassau County DPW 1194 Prospect Avenue Westbury, NY 11590 Phone: 5165715601

## Routing Slip

Department	NIFS Entry: X	29-JUL-20 -- LDIONISIO
Department	NIFS Approval: X	30-JUL-20 -- RDALLEVA
DPW	Capital Fund Approved: X	30-JUL-20 -- RDALLEVA
OMB	NIFA Approval: X	13-SEP-20 -- CNOLAN
OMB	NIFS Approval: X	31-JUL-20 -- NGUMIENIAK
County Atty.	Insurance Verification: X	31-JUL-20 -- AAMATO
County Atty.	Approval to Form: X	31-JUL-20 -- DMCDERMOTT
CPO	Approval: X	16-SEP-20 -- KOHAGENCE

<b>DCEC</b>	<b>Approval: X</b>	<b>16-SEP-20 -- JCHIARA</b>
<b>Dep. CE</b>	<b>Approval: X</b>	<b>16-SEP-20 -- BSCHNEIDER</b>
<b>Leg. Affairs</b>	<b>Approval/Review: X</b>	<b>24-SEP-20 -- JSCHANTZ</b>
<b>Legislature</b>	<b>Approval:</b>	
<b>Comptroller</b>	<b>Deputy:</b>	
<b>NIFA</b>	<b>NIFA Approval:</b>	

## Contract Summary

<b>Purpose:</b> This is a contract to resurface various County roads in the Town of Hempstead. The work will include asphalt pavement removal, asphalt concrete overlay, removal and replacement of deteriorated pavement, the installation of curbs, sidewalks, ADA compliant ramps, driveway aprons, replacement of pavement markings and traffic loops, and other incidental work.
<b>Method of Procurement:</b> The Notice to Bidders was advertised in Newsday on 3/25/20, eProcure from 3/27/20 - 4/28/20, New York State Contract Reporter from 3/25/20 - 4/28/20. The Contract was selected through the open competitive bidding process.
<b>Procurement History:</b> The bids were received on 4/30/20 for the above referenced Contract. Bids were examined and the Contract bid amount of \$4,987,560.00, submitted by H&L Contracting, LLC, has been accepted as the low bid. Therefore, it is recommended to award the Contract to the lowest responsible bidder, H&L Contracting, LLC. Total of five (5) firms bid on this Contract and all were deemed to be local contractors.
<b>Description of General Provisions:</b> This is a contract to resurface various County roads in the Town of Hempstead.
<b>Impact on Funding / Price Analysis:</b> This is a unit price contract. The maximum value of the contract is \$4,987,560. Funding being encumbered will come from the approved and available Capital Project Number 61587. Contract has current combined utilization M/WBE percentage of 16.69%
<b>Change in Contract from Prior Procurement:</b> This is a new contract - no prior procurement
<b>Recommendation: (approve as submitted)</b> Approve Contract as submitted

## Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	CAP					
Control:	61	Revenue		1	PWCAPCAP/61587/00004/062	\$ 4,987,560.00
Resp:	587	Contract:				\$ 0.00
Object:	00004	County	\$ 0.00			\$ 0.00
Transaction:	CH	Federal	\$ 0.00			\$ 0.00
Project #:	61587	State	\$ 0.00			\$ 0.00
Detail:	062	Capital	\$ 4,987,560.00			\$ 0.00
		Other	\$ 0.00			\$ 0.00
		<b>TOTAL</b>	<b>\$ 4,987,560.00</b>		<b>TOTAL</b>	<b>\$ 4,987,560.00</b>
RENEWAL						
% Increase						
% Decrease						

RULES RESOLUTION NO. -2020

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND H & L CONTRACTING, LLC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS [“Department”] has received competitive bids for contract H61587-62G, for RESURFACING OF VARIOUS COUNTY ROADS-PHASE 62 – NASSAU COUNTY, NY [“Contract”], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of H & L CONTRACTING, LLC.

[“Vendor”] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 4,987,560, now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.





**Contract Approval Request Form (As of January 1, 2015)**

1. **Vendor:** H & L Contracting, LLC

2. **Dollar amount requiring NIFA approval:** \$4987560

**Amount to be encumbered:** \$4987560

This is a New

If new contract - \$ amount should be full amount of contract

If advisement – NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. **Contract Term: 90 calendar days from Notice to Proceed**

Has work or services on this contract commenced? N \_\_\_\_

If yes, please explain:

4. **Funding Source:**

General Fund (GEN)	Grant Fund (GRT)	
X Capital Improvement Fund (CAP)		Federal % 0
Other		State % 0
		County % 0

Is the cash available for the full amount of the contract? N

If not, will it require a future borrowing? Y

Has the County Legislature approved the borrowing? Y

Has NIFA approved the borrowing for this contract? N

5. **Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:**

To resurface various County roads in the Town of Hempstead. The work will include asphalt pavement removal, asphalt concrete overlay, removal and replacement of deteriorated pavement, the installation of curbs, sidewalks, ADA compliant ramps, driveway aprons, replacement of pavement markings and traffic loops, and other incidental work.

6. **Has the item requested herein followed all proper procedures and thereby approved by the:**

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

**Date of approval(s) and citation to the resolution where approval for this item was provided:**

7. **Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:**

Contract ID	Date	Amount

## AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

13-SEP-20

**Authenticated User**

**Date**

## COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

**Authenticated User**

**Date**

## NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

**Authenticated User**

**Date**

**NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.**

**NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.**

**NIFA reserves the right to request additional information as needed.**

Jack Schnirman  
Comptroller



OFFICE OF THE COMPTROLLER  
240 Old Country Road  
Mineola, New York 11501

## COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

CONTRACTOR NAME: H & L Contracting, LLC

CONTRACTOR ADDRESS: 38 Homan Avenue, Bay Shore, NY 11706

FEDERAL TAX ID #: 46-4082629

**Instructions:** Please check the appropriate box ("☐") after one of the following roman numerals, and provide all the requested information.

**I. ☒ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids.** The contract was awarded after a request for sealed bids was published in Newsday [newspaper] on 3/25/20 [date]. The sealed bids were publicly opened on 4/28/20 [date]. Five (5) [#] of sealed bids were received and opened.

**II. ☐ The contractor was selected pursuant to a Request for Proposals.**

The Contract was entered into after a written request for proposals was issued on \_\_\_\_\_ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in \_\_\_\_\_ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on \_\_\_\_\_ [date]. \_\_\_\_\_ [state #] proposals were received and evaluated. The evaluation committee consisted of: \_\_\_\_\_

\_\_\_\_\_ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

**III. ☐ This is a renewal, extension or amendment of an existing contract.**

The contract was originally executed by Nassau County on \_\_\_\_\_ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after \_\_\_\_\_

\_\_\_\_\_[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

**IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.**

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

**V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.**

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

**VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated.** Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

**VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services.** The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

***Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.***


**VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts.** The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

**IX. ☒ Department MWBE responsibilities.** To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

**X. ☐ Vendor will not require any sub-contractors.**

***In addition, if this is a contract with an individual or with an entity that has only one or two employees:*** ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41, 1987-1 C.B. 296*, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

  
Department Head Signature

  
Date

***NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.***

# Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Keith N. Haney state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

## Vendor Disclosures

*This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.*

Name of Submitting Entity: H&L Contracting LLC

Vendor's Address: 38 Homan Avenue Bayshore NY US 11706

Vendor's EIN or TIN: 464082629

Forms Submitted: \_\_\_\_\_

Political Campaign Contribution Disclosure Form:  
05/20/2020 05:00:30 PM

Lobbyist Registration and Disclosure Form:  
05/21/2020 08:36:02 AM

Business History Form certified:  
05/19/2020 02:21:45 PM

Consultant's, Contractor's, and Vendor's Disclosure Form:  
05/19/2020 02:32:43 PM

# Principal Questionnaire(s)

*This refers to the most recent principal questionnaire submissions.*

Principal Name	Date Certified
Keith Haney [KHANEY@HLCONTRACTINGLLC.COM]	05/19/2020 12:26:49 PM
Christopher Haney [CHANEY@HLCONTRACTINGLLC.COM]	05/19/2020 01:57:33 PM
James K Haney III [JHANEY100@HOTMAIL.COM]	05/19/2020 01:18:16 PM

I, Keith N. Haney hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

## CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

Keith N. Haney

*Name*

Managing Member

*Title*

H&L Contracting LLC

*Name of Submitting Entity*

07/15/2020 01:41:20 PM

*Date*

### Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

**NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.**

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 05/05/2020

1) Proposer's Legal Name: H & L Contracting, LLC

2) Address of Place of Business: 38 Homan Avenue

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

3) Mailing Address (if different): \_\_\_\_\_

City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_

Country: \_\_\_\_\_

Phone: (631) 813-2266

Does the business own or rent its facilities? Own If other, please provide details: \_\_\_\_\_

4) Dun and Bradstreet number: 07-936-6701

5) Federal I.D. Number: 46-4082629

6) The proposer is a: Other (Describe) Limited Liability Company

1 File(s) Uploaded: Operating agreement.PDF

7) Does this business share office space, staff, or equipment expenses with any other business?

YES ☐ NO ☒ If yes, please provide details: \_\_\_\_\_

8) Does this business control one or more other businesses?

YES ☒ NO ☐ If yes, please provide details: \_\_\_\_\_

Village Dock Contracting, Inc.

Village Dock, Inc.

1 File(s) Uploaded: Ownership structure.PDF

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?



YES ☒ NO ☐ If yes, please provide details:

Village Dock Contracting, Inc.  
Village Dock, Inc.

- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?

YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).

- 11) Has the proposer, during the past seven years, been declared bankrupt?

YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets

- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?  
YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17 Conflict of Interest:

- a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflicts exists

- b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

Candidates will be questioned during the interview process

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

- i) Date of formation;

11/12/2013

- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

Keith Haney - 33 Moriches Avenue, East Moriches, NY 11940

Chris Haney - 9 Canterbury Lane, Nesconset, NY 11767

James K Haney III - 96 West Shore Drive, Massapequa, NY 11758

*No individuals with a financial interest in the company have been attached..*

- iii) Name, address and position of all officers and directors of the company. If none, explain.

Keith Haney - 33 Moriches Avenue, East Moriches, NY 11940

Chris Haney - 9 Canterbury Lane, Nesconset, NY 11767

James K Haney III - 96 West Shore Drive, Massapequa, NY 11758

*No officers and directors from this company have been attached.*

- iv) State of incorporation (if applicable);

NY

- v) The number of employees in the firm;

193

- vi) Annual revenue of firm;

50710029

- vii) Summary of relevant accomplishments

Member of Long Island Contractors Association (LICA)

Member of Association of General Contractors (AGC)

viii) Copies of all state and local licenses and permits.

B. Indicate number of years in business.

7

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

H & L Contracting LLC is comprised of multiple individuals with vast experience in all aspects of marine & bridge construction. We possess both the knowledge & resources to complete projects on time & within budget

1 File(s) Uploaded: Resume for Keith Haney.PDF

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company	NYSDOT		
Contact Person	Brett Garvar PE		
Address	47-40 21st Street		
City	Long Island City	State/Province/Territory	NY
Country	US		
Telephone	(718) 482-4801		
Fax #	(718) 482-4810		
E-Mail Address	Brett.Garvar@dot.ny.gov		

Company	NYSDOT		
Contact Person	John McGullam		
Address	250 Veterans Memorial Hwy		
City	Hauppauge	State/Province/Territory	NY
Country	US		
Telephone	(631) 952-6041		
Fax #	(631) 952-6044		
E-Mail Address	John.McGullam@dot.ny.gov		

Company	SCDPW		
Contact Person	Justin Hipperling		
Address	335 Yaphank Avenue		
City	Yaphank	State/Province/Territory	NY
Country	US		
Telephone	(631) 852-4003		
Fax #	(631) 852-4006		
E-Mail Address	Justin.Hipperling@suffolkcountyny.gov		

I, Keith N. Haney , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Keith N. Haney , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

#### **CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: H&L Contracting LLC

Electronically signed and certified at the date and time indicated by:  
Keith N. Haney [KHANEY@HLCONTRACTINGLLC.COM]

Managing Member  
Title

05/19/2020 02:21:45 PM  
Date

# VILLAGE DOCK CONTRACTING, INC.

15 North Columbia Street, Port Jefferson, NY 11777

Phone: 631-928-4104 Fax: 631- 928-4590

## VILLAGE DOCK, INC.

President: Peter Hough 27 Josephine Drive, Shoreham, NY 11786  
V.P.: Chris Haney 9 Canterbury Lane, Nesconset, NY 11767  
Sec-Tres: Keith Haney 33 Moriches Avenue, East Moriches, NY 11940

- Village Dock, Inc. is 100% owned by Village Dock Contracting, Inc.  
15 North Columbia Street  
Port Jefferson, New York 11777

- Corporate Structure of Village Dock Contracting, Inc.

10/29/79 Keith Haney – President 33 1/3 % owner  
33 Moriches Avenue, East Moriches, NY 11940

08/16/77 Chris Haney – V.P. 33 1/3 % owner  
9 Canterbury Lane, Nesconset, NY 11767

01/03/76 James Haney – Sec-Tres 33 1/3 % owner  
96 W. Shore Drive, Massapequa, NY 11758



# H & L CONTRACTING, LLC.

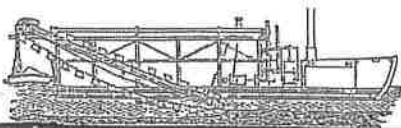
38 Homan Avenue, Bay Shore, NY 11706  
Phone: 631-403-4911 • Fax: 631-928-4590

- Partnership Structure of H & L Contracting, LLC.

Keith Haney – Managing Partner 33 1/3% owner  
33 Moriches Avenue, East Moriches, NY 11940  
SS # 114-72-7261

Chris Haney –Partner 33 1/3% owner  
9 Canterbury Lane, Nesconset, NY 11767  
SS # 114-72-2902

James K. Haney III – Partner 33 1/3% owner  
96 W. Shore Drive, Massapequa, NY 11758  
SS # 114-72-2787



**KEITH N. HANEY**

33 Moriches Avenue  
 East Moriches, NY 11940  
 (631) 831-3114  
 khaney@hlcontractingllc.com

**EDUCATION:**

ROGER WILLIAMS UNIVERSITY, B.S. CONSTRUCTION MANAGEMENT, 2002

**GENERAL:**

I HAVE WORKED IN THE CONSTRUCTION INDUSTRY FOR OVER TWENTY YEARS, AND HELD THE ROLES OF LABOR FOREMAN, PROJECT ENGINEER, PROJECT MANAGER, AND MANAGING MEMBER. THE PROJECTS THAT I HAVE BEEN INVOLVED IN RANGED FROM \$100,000 TO 40 MILLION IN REVENUE. MY DUTIES AS LABOR FOREMAN AND PROJECT ENGINEER INCLUDED SUPERVISING UNION WORK FORCE, ORDERING MATERIALS, PROCESSING PAY REQUISITIONS, SCHEDULING, AND NEGOTIATING CHANGE ORDERS. AS A PROJECT MANAGER, I TOOK ON THE RESPONSIBILITY OF ESTIMATING PROJECTS, COORDINATING SUBCONTRACTORS, IMPLEMENTING SAFETY GUIDELINES, AND OVERSEEING MULTIPLE PROJECTS AT A TIME. AS MANAGING MEMBER OF H & L CONTRACTING, I AM ALSO RESPONSIBLE FOR ALL THE OVERALL DIRECTION OF THE COMPANY, LOOKING FOR FUTURE OPPORTUNITIES, AND FINDING CONSTRUCTION MARKETS THAT WILL HELP INSURE COMPANY GROWTH AND FUTURE REVENUE.

**EXPERIENCE:**

MANAGING MEMBER, VILLAGE DOCK/H & L CONTRACTING, LLC

2008-PRESENT

- TOWN OF BABYLON – AMERICAN VENICE BRIDGES (7.2 MILLION)
- USACOE-DREDGING SALEM RIVER, NJ (2.7 MILLION)
- NYSDOT-6 BRIDGE REPAIR (13.5 MILLION)
- USACOE-LONG BEACH T-GROIN CONSTRUCTION (37.6 MILLION)
- NYSDOT-FLUSHING RIVER FENDERING SYSTEM (8.8 MILLION)
- NYSDOT-SCOUR CRITICAL BRIDGE IMPROVEMENTS (27.2 MILLION)
- USACOE-CONEY ISLAND T-GROIN CONSTRUCTION (25.2 MILLION)
- SUFFOLK COUNTY, DRAW BRIDGE REHABILITATION (8.2 MILLION)
- NYSDOT-3 BRIDGE REPAIR (5.1 MILLION)
- NYSDOT-HECKSHER STATE PARKWAY BRIDGE (8.4 MILLION)
- TOWN OF ISLIP- BULKHEAD CONSTRUCTION (2.4 MILLION)
- TOWN OF OYSTER BAY BULKHEAD (834,000)
- DREDGING STONY BROOK HARBOR (1.8 MILLION)
- DREDGING OF PLUM ISLAND AND ORIENT HARBOR (1.5 MILLION)
- NYSDOT-TEMP STEEL SHEETING (1.8 MILLION)
- LIRR AMMOTT CULVERT (4.2 MILLION)
- NYSDOT OVERHEAD SIGN REPLACEMENT (1.7 MILLION)
- SUFFOLK COUNTY NISSEQUOGUE RIVER DREDGING (2 MILLION)
- NYC PARKS BARRETTO POINT PIER (1.4 MILLION)

PROJECT MANAGER, NEWBORN CONSTRUCTION

2005-2008

- LEAD PROJECTS END TO END
- TOWN OF OYSTER BAY TEDDY ROOSEVELT MARINA REHAB (7 MILLION)
- TOWN OF OYSTER BAY TAPPEN BEACH BOAT RAMP/BULKHEAD (2 MILLION)
- USACOE-ELDERS ISLAND MARSH REHABILITATION (2.6 MILLION)



- TOWN OF NEW HYDE PARK ROAD REHAB (1 MILLION)
- NYS DEC JACOBS SHIPYARD WOOD PIER (300,000)
- SUFFOLK COUNTY DREDGING OF STONY BROOK AND MOUNT SINAI HARBORS (1.3 MILLION)
- TILCON PORT WASHINGTON BULKHEAD (3.8 MILLION)
- TOWN OF PATCHOGUE/PATCHOGUE RIVER DREDGING (400,000)
- TOWN OF NORTH HEMPSTEAD MILL POND DREDGING (700,000)
- SUFFOLK COUNTY NISSEQUOGUE RIVER DREDGING (2 MILLION)
- KEYSpan NORTHPORT POWER PLANT DREDGING (1.5 MILLION)
- NASSAU COUNTY WANTAGH PARK MARINA (4.8 MILLION)

#### 2003-2005 - PROJECT ENGINEER

- BROOKHAVEN LANDFILL NEW CELL CONSTRUCTION (5 MILLION)
- BROOKHAVEN LANDFILL FORCE MAIN (250,000)
- NYS DOT 7 BRIDGES REHABILITATION (8 MILLION)
- NYS DOT RTE 25 CUTCHOGUE REHABILITATION (18 MILLION)

#### 1998-2002 SUMMERS/WINTERS LABOR FORMAN

- LEAD UNION CREWS ON ROAD CONSTRUCTION PROJECTS (1 MILLION-27 MILLION)

#### LICENSES/CERTIFICATIONS

- US ARMY CORPS OF ENGINEERS CERTIFICATE OF CONSTRUCTION QUALITY MANAGEMENT FOR CONTRACTORS
- OSHA 30 HOUR CERTIFICATE - CONSTRUCTION INDUSTRY OUTREACH TRAINING

**EXHIBIT A**

<b>NAME &amp; ADDRESS OF MEMBER</b>	<b>INITIAL CAPITAL CONTRIBUTION</b>	<b>MEMBERSHIP INTEREST &amp; PROFIT SHARING RATIO</b>
<b>Keith N Haney 33 Moriches Ave East Moriches, NY 11940</b>	<b>\$5,000.00</b>	<b>33 1/3%</b>
<b>James K Haney III 96 West Shore Drive Massapequa, NY 11758</b>	<b>\$5,000.00</b>	<b>33 1/3%</b>
<b>Christopher Haney 9 Canterbury Nesconset, NY 11767</b>	<b>\$5,000.00</b>	<b>33 1/3%</b>



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☒ NO ☐ If yes, to what campaign committee?

LAURA CURRAN 01-06-2017, \$500.00

1 File(s) uploaded: donation.pdf

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:  
KEITH N. HANEY [KHANEY@HLCONTRACTINGLLC.COM]

Dated: 05/20/2020 05:00:30 PM

Vendor: H&L cONTRACTING LLC

Title: MANAGING MEMBER



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

NONE

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

NONE

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

NONE

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See the last page for a complete description of lobbying activities.

NONE

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

NONE

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby. separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee? If none, you must so state:

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:  
KEITH N. HANEY [KHANEY@HLCONTRACTINGLLC.COM]

Dated: 05/21/2020 08:36:02 AM

Vendor: H&L CONTRACTING LLC

Title: MANAGING MEMBER

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

**The term "lobbying" or "lobbying activities" does not include:** Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: James K Haney III  
Date of birth: 01/03/1976  
Home address: 96 West Shore Drive  
City: Massapequa State/Province/Territory: NY Zip/Postal Code: 11758  
Country: US

Business Address: 38 Homan Avenue  
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706  
Country: US  
Telephone: (631) 403-4911

Other present address(es):  
City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_  
Telephone: \_\_\_\_\_

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	<u>11/12/2013</u>
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

33 1/3%

1 File(s) Uploaded: Partnership structure.PDF

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Village Dock Contracting, Inc.

Village Dock, Inc.  
Jocar  
JCKD Materials  
Scatt Materials  
KPI Asphalt

2 File(s) Uploaded: JCKD-Jocar ownership.PDF, Ownership structure.PDF

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

New Jersey Dept of Transportation - dredging at Manasquan

1 File(s) Uploaded: Contract for Manasquan.PDF

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.



8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, James K. Haney III , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, James K. Haney III , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

H&L Contracting LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

James K Haney III [JHANEY100@HOTMAIL.COM]

Member

Title

05/19/2020 01:18:16 PM

Date



## State of New Jersey

DEPARTMENT OF TRANSPORTATION  
P.O. Box 600  
Trenton, New Jersey 08625-0600

CHRIS CHRISTIE  
*Governor*

RICHARD T. HAMMER  
*Acting Commissioner*

KIM GUADAGNO  
*Lt. Governor*  
January 27, 2016

Mr. Keith Haney, President  
Village Dock Contracting, Inc.  
15 N. Columbia Street  
Port Jefferson, NY 11777

**Re: Maintenance Dredging and Channel Improvements for Lower Manasquan River, Crabtown Creek, Kings Bridge Channel, Wills Hole Thorofare and Wills Hole West Channel, Contract No. 041201504, Brielle Boro, Manasquan Boro, Point Pleasant Boro and Point Pleasant Beach Boro, Monmouth and Ocean Counties, 100% State, UPC No. 201504, PE No. 6110108, CE No. 6900328, DP No. 15445**

Dear Mr. Haney;

The Commissioner of Transportation, acting pursuant to N.J.S.A. 27:7-30, has awarded the project designated Maintenance Dredging and Channel Improvements for Lower Manasquan River, Crabtown Creek, Kings Bridge Channel, Wills Hole Thorofare and Wills Hole West Channel, Contract No. 041201504, Brielle Boro, Manasquan Boro, Point Pleasant Boro and Point Pleasant Beach Boro, Monmouth and Ocean Counties, 100% State, UPC No. 201504, PE No. 6110108, CE No. 6900328, DP No. 15445 to your firm on **January 27, 2016**. The Contract amount is **(\$3,366,629.10)**. As stated in the Standard Specifications, this award is not binding upon the State until the contract has been executed by the Commissioner. Furthermore, no work shall be performed on account of the proposed contract until you have been notified that the contract has been executed by the Commissioner.

**All payment obligations are subject to appropriations and the availability of funds**

Consistent with the Specifications, this award is being made to your firm since you were the lowest responsible bidder whose proposal conformed in all respects to the requirements set forth in the contract documents. All the contract documents which formed the basis of your bid were in accordance with Department Specifications. In submitting your proposal to the Department of Transportation, you agreed to carry out and complete the project as specified and delineated in these contract documents at the price per unit of measure bid for each scheduled item of work.

The contract (Form DC-81) must be signed and witnessed. **PLEASE DO NOT DATE THE CONTRACT.** The contract will be dated at the time it is signed by the Commissioner or his/her designee. A properly signed and sealed corporate resolution verifying the authority of the officers to sign the contract for the corporation must be attached with the contract. **AN ACCEPTABLE CORPORATE RESOLUTION TEMPLATE CAN BE FOUND FROM THE WEBSITE BELOW FOR YOUR USE.**  
<http://www.state.nj.us/transportation/business/procurement/ConstrServ/curradvproj.shtm>  
**USING THIS TEMPLATE WILL AVOID DELAYS ENCOUNTERED DURING CONTRACT EXECUTION.**

"IMPROVING LIVES BY IMPROVING TRANSPORTATION"  
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Performance and payment bonds must be issued by surety companies listed in the current U.S. Treasury Circular 570 ("Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds"), and cannot exceed the amount of authority listed in U. S. Treasury Circular 570. All surety companies must be licensed to transact surety business in the State of New Jersey. In the event the penal sum of the bond exceeds the limitations prescribed in Circular 570, two or more listed surety companies may be accepted, jointly and severally, as co-sureties on the contract, as long as the penal sum of the bond does not exceed the prescribed limitations of their aggregate qualifying power.

The performance and payment bonds must be signed by the authorized officers of the corporation and the corporate seal must be affixed. They must be signed by each surety company, witnessed and accompanied by both a certification as to authorization of the Attorney-in-Fact to bind each surety company and a true and correct statement of the financial condition of each surety company. All names must be typed or printed below the signature on the bonds. The bonds must bear the dates on which they are issued and signed. In addition, the contractor must submit to the Department proof of valid business registration with the Division of Revenue in the New Jersey Department of Treasury in accordance with P.L. 2001, c. 134 (N.J.S.A. 52:32-44), and proof of registration with the New Jersey Department of Labor as a Public Works Contractor in accordance with N.J.S.A. 34:11-56.48 and P.L. 2003, c. 91, effective August 16, 2003.

FOR 100% STATE FUNDED PROJECTS ONLY, your firm must be in compliance with Public Law 2005, Chapter 51 Contractor Certification and Disclosure of Political Contributions (formerly Executive Order #134) and Executive Order # 117, effective November 15, 2008 prior to the Contract being executed by the Commissioner. You must complete the required Certification and Disclosure forms and submit them, together with a completed Ownership Disclosure form, to the Department. Instructions for completing these forms are at <http://www.state.nj.us/treasury/purchase/forms.htm#eo134>. IGNORE THIS REQUIREMENT FOR FEDERALLY FUNDED PROJECTS.

Your firm must return the fully executed contract, payment bond, performance bond and proof of valid business registration, etc. to this office **WITHIN FOURTEEN DAYS OF THE RECEIPT OF THE AWARD**. If you intend to escrow bid documents as per Section 103.05 of the Specifications, please phone this office to request the custody agreement form. If you do not intend to escrow bid documents, please indicate this by signing in the space provided and return this letter with the executed contract, corporate resolution, payment and performance bonds and proof of valid business registration.

The N.J.D.O.T. Insurance Certificate (DC 175) must be completed by your insurance agent in triplicate and submitted to the Regional Construction Engineer at the preconstruction conference. DC 175 form can be found from the website below.

<http://www.state.nj.us/transportation/business/procurement/ConstrServ/curradvproj.shtm>

Proof of any other insurance required by the contract must be provided separately on forms satisfactory to the Department at the preconstruction conference.

**For STATE and PARTIALLY STATE FUNDED CONSTRUCTION CONTRACTS ONLY.**

**Re: Initial Project Workforce Report - Construction, FORM AA-201**

The New Jersey Department of Transportation does not have delegated authority to monitor and enforce EEO Workforce Compliance on State Funded construction projects. The New Jersey Department of the Treasury, Division of Public Contracts EEO Compliance has jurisdiction for EEO monitoring and enforcement. Therefore, in accordance with the regulations governing State Funded Construction Projects (PL 1975, c.127; N.J.S.A 10:5-31 et.seq., N.J.A.C.17:27), please submit your **Initial Project Workforce Report - Construction, Form AA-201** directly to the Department of the Treasury, Division of Public Contracts EEO Compliance. The NJDOT's Bureau of Construction Services will supply you with Form AA-201 along with the instructions for completing the form upon issuing the NJDOT contract for your project.

Form AA-201 can also be found on the Department of the Treasury's website at [http://www.state.nj.us/treasury/contract\\_compliance/pdf/aa201.pdf](http://www.state.nj.us/treasury/contract_compliance/pdf/aa201.pdf)

Please complete and submit Form AA-201 as follows:

<b><u>FIRST (2) Copies to:</u></b>	<b><u>(3<sup>rd</sup>) Copy – (Marked Public Agency) to:</u></b>
New Jersey Department of the Treasury Division of Public Contracts Equal Employment Opportunity Compliance P.O. Box 209 Trenton, NJ 08625	New Jersey Department of Transportation Division of Civil Rights/Affirmative Action Contract Compliance Unit P.O. Box 600 Trenton, NJ 08625

If you have any questions, I may be reached at (609) 530-6355.


Very truly yours,

Anthony Genovese  
Director  
Division of Procurement

AG/

cc: B. DeLucia, A. Rossi, L. Legge, J. Overton, E. Powers, P. Adams, A. Genovese, K. Daniels, Q. Viernes  
D. Kuhn, G. Clifton, S. Douglas

I do not intend to escrow bid documents as per Sec. 103.05 of the specifications.

Authorized Signature 

Print Name: Keith Haney

Title: President

# H & L CONTRACTING, LLC.

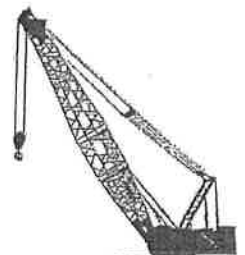
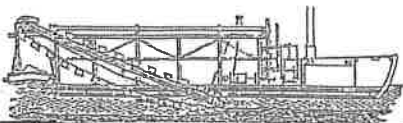
38 Homan Avenue, Bay Shore, NY 11706  
Phone: 631-403-4911 • Fax: 631-928-4590

- Partnership Structure of H & L Contracting, LLC.

Keith Haney – Managing Partner 33 1/3% owner  
33 Moriches Avenue, East Moriches, NY 11940  
SS # 114-72-7261

Chris Haney –Partner 33 1/3% owner  
9 Canterbury Lane, Nesconset, NY 11767  
SS # 114-72-2902

James K. Haney III – Partner 33 1/3% owner  
96 W. Shore Drive, Massapequa, NY 11758  
SS # 114-72-2787



General Contractors • Heavy Highway & Marine Construction • Equipment Rental

# JCKD Materials Corp.

15 N.Columbia Street, Port Jefferson, New York 11777

## JCKD Material Corp.

President : James K. Haney- 25% Ownership  
96 West Shore Drive, Massapequa, NY 11758

Vice President: Christopher Haney-25% Ownership  
9 Canterbury Lane, Nesconset, NY 11767

Sec/Trea: Keith Haney-25% Ownership  
33 Moriches Avenue, East Moriches, NY 11940

JCKD Materials owns 50% of Jocar Asphalt, LLC., PO Box 530, Old Bethpage, NY 11804

## Jocar Asphalt, LLC.

Managing Member: James K. Haney  
96 West Shore Drive, Massapequa, NY 11758

Member: Christopher Haney  
9 Canterbury Lane, Nesconset, NY 11767

Member: Keith Haney  
33 Moriches Avenue, East Moriches, NY 11940



# VILLAGE DOCK CONTRACTING, INC.

15 North Columbia Street, Port Jefferson, NY 11777

Phone: 631-928-4104 Fax: 631- 928-4590

## VILLAGE DOCK, INC.

President: Peter Hough 27 Josephine Drive, Shoreham, NY 11786

V.P.: Chris Haney 9 Canterbury Lane, Nesconset, NY 11767

Sec-Tres: Keith Haney 33 Moriches Avenue, East Moriches, NY 11940

- Village Dock, Inc. is 100% owned by Village Dock Contracting, Inc.  
15 North Columbia Street  
Port Jefferson, New York 11777

- Corporate Structure of Village Dock Contracting, Inc.

10/29/79 Keith Haney – President 33 1/3 % owner  
33 Moriches Avenue, East Moriches, NY 11940

08/16/77 Chris Haney – V.P. 33 1/3 % owner  
9 Canterbury Lane, Nesconset, NY 11767

01/03/76 James Haney – Sec-Tres 33 1/3 % owner  
96 W. Shore Drive, Massapequa, NY 11758



## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Christopher Haney  
Date of birth: 08/16/1977  
Home address: 9 Canterbury Lane  
City: Nesconset State/Province/Territory: NY Zip/Postal Code: 11767  
Country: US

Business Address: 38 Homan Avenue  
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706  
Country: US  
Telephone: (631) 403-4911

Other present address(es):  
City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_  
Telephone: \_\_\_\_\_

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	<u>11/12/2013</u>
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

33 1/3%

1 File(s) Uploaded: Partnership structure.PDF

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Village Dock Contracting, Inc.

Village Dock, Inc.  
Jocar  
JCKD Materials  
Scatt Materials  
KPI Asphalt

1 File(s) Uploaded: JCKD-Jocar ownership.PDF

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

New Jersey Dept of Transportation - dredging at Manasquan

1 File(s) Uploaded: Contract for Manasquan.PDF

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Christopher Haney , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Christopher Haney , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

H&L Contracting LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Christopher Haney [CHANEY@HLCONTRACTINGLLC.COM]

Member

Title

05/19/2020 01:57:33 PM

Date



## State of New Jersey

DEPARTMENT OF TRANSPORTATION

P.O. Box 600

Trenton, New Jersey 08625-0600

CHRIS CHRISTIE  
*Governor*

RICHARD T. HAMMER  
*Acting Commissioner*

KIM GUADAGNO  
*Lt. Governor*  
January 27, 2016

Mr. Keith Haney, President  
Village Dock Contracting, Inc.  
15 N. Columbia Street  
Port Jefferson, NY 11777

**Re: Maintenance Dredging and Channel Improvements for Lower Manasquan River, Crabtown Creek, Kings Bridge Channel, Wills Hole Thorofare and Wills Hole West Channel, Contract No. 041201504, Brielle Boro, Manasquan Boro, Point Pleasant Boro and Point Pleasant Beach Boro, Monmouth and Ocean Counties, 100% State, UPC No. 201504, PE No. 6110108, CE No. 6900328, DP No. 15445**

Dear Mr. Haney;

The Commissioner of Transportation, acting pursuant to N.J.S.A. 27:7-30, has awarded the project designated Maintenance Dredging and Channel Improvements for Lower Manasquan River, Crabtown Creek, Kings Bridge Channel, Wills Hole Thorofare and Wills Hole West Channel, Contract No. 041201504, Brielle Boro, Manasquan Boro, Point Pleasant Boro and Point Pleasant Beach Boro, Monmouth and Ocean Counties, 100% State, UPC No. 201504, PE No. 6110108, CE No. 6900328, DP No. 15445 to your firm on January 27, 2016. The Contract amount is (\$3,366,629.10). As stated in the Standard Specifications, this award is not binding upon the State until the contract has been executed by the Commissioner. Furthermore, no work shall be performed on account of the proposed contract until you have been notified that the contract has been executed by the Commissioner.

**All payment obligations are subject to appropriations and the availability of funds**

Consistent with the Specifications, this award is being made to your firm since you were the lowest responsible bidder whose proposal conformed in all respects to the requirements set forth in the contract documents. All the contract documents which formed the basis of your bid were in accordance with Department Specifications. In submitting your proposal to the Department of Transportation, you agreed to carry out and complete the project as specified and delineated in these contract documents at the price per unit of measure bid for each scheduled item of work.

The contract (Form DC-81) must be signed and witnessed. **PLEASE DO NOT DATE THE CONTRACT.** The contract will be dated at the time it is signed by the Commissioner or his/her designee. A properly signed and sealed corporate resolution verifying the authority of the officers to sign the contract for the corporation must be attached with the contract. **AN ACCEPTABLE CORPORATE RESOLUTION TEMPLATE CAN BE FOUND FROM THE WEBSITE BELOW FOR YOUR USE.**  
<http://www.state.nj.us/transportation/business/procurement/ConstrServ/curradvproj.shtm>  
**USING THIS TEMPLATE WILL AVOID DELAYS ENCOUNTERED DURING CONTRACT EXECUTION.**

"IMPROVING LIVES BY IMPROVING TRANSPORTATION"

New Jersey Is an Equal Opportunity Employer • Printed on Recycled and Recyclable Paper

Performance and payment bonds must be issued by surety companies listed in the current U.S. Treasury Circular 570 ("Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds"), and cannot exceed the amount of authority listed in U. S. Treasury Circular 570. All surety companies must be licensed to transact surety business in the State of New Jersey. In the event the penal sum of the bond exceeds the limitations prescribed in Circular 570, two or more listed surety companies may be accepted, jointly and severally, as co-sureties on the contract, as long as the penal sum of the bond does not exceed the prescribed limitations of their aggregate qualifying power.

The performance and payment bonds must be signed by the authorized officers of the corporation and the corporate seal must be affixed. They must be signed by each surety company, witnessed and accompanied by both a certification as to authorization of the Attorney-in-Fact to bind each surety company and a true and correct statement of the financial condition of each surety company. All names must be typed or printed below the signature on the bonds. The bonds must bear the dates on which they are issued and signed. In addition, the contractor must submit to the Department proof of valid business registration with the Division of Revenue in the New Jersey Department of Treasury in accordance with P.L. 2001, c. 134 (N.J.S.A. 52:32-44), and proof of registration with the New Jersey Department of Labor as a Public Works Contractor in accordance with N.J.S.A. 34:11-56.48 and P.L. 2003, c. 91, effective August 16, 2003.

FOR 100% STATE FUNDED PROJECTS ONLY, your firm must be in compliance with Public Law 2005, Chapter 51 Contractor Certification and Disclosure of Political Contributions (formerly Executive Order #134) and Executive Order # 117, effective November 15, 2008 prior to the Contract being executed by the Commissioner. You must complete the required Certification and Disclosure forms and submit them, together with a completed Ownership Disclosure form, to the Department. Instructions for completing these forms are at <http://www.state.nj.us/treasury/purchase/forms.htm#eo134>. IGNORE THIS REQUIREMENT FOR FEDERALLY FUNDED PROJECTS.

Your firm must return the fully executed contract, payment bond, performance bond and proof of valid business registration, etc. to this office **WITHIN FOURTEEN DAYS OF THE RECEIPT OF THE AWARD**. If you intend to escrow bid documents as per Section 103.05 of the Specifications, please phone this office to request the custody agreement form. If you do not intend to escrow bid documents, please indicate this by signing in the space provided and return this letter with the executed contract, corporate resolution, payment and performance bonds and proof of valid business registration.

The N.J.D.O.T. Insurance Certificate (DC 175) must be completed by your insurance agent in triplicate and submitted to the Regional Construction Engineer at the preconstruction conference.

DC 175 form can be found from the website below.

<http://www.state.nj.us/transportation/business/procurement/ConstrServ/curradvproj.shtm>

Proof of any other insurance required by the contract must be provided separately on forms satisfactory to the Department at the preconstruction conference.



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Anthony Genovese  
Director  
Division of Procurement

AG/

cc: B. DeLucia, A. Rossi, L. Legge, J. Overton, E. Powers, P. Adams, A. Genovese, K. Daniels, Q. Viernes  
D. Kuhn, G. Clifton, S. Douglas

I do not intend to escrow bid documents as per Sec. 103.05 of the specifications.

Authorized Signature



Print Name:

Keith Haney

Title:

President

# H & L CONTRACTING, LLC.

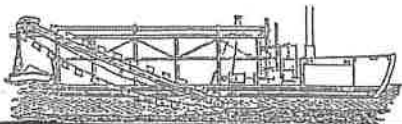
38 Homan Avenue, Bay Shore, NY 11706  
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9 Canterbury Lane, Nesconset, NY 11767  
SS # 114-72-2902

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15 North Columbia Street, Port Jefferson, NY 11777

Phone: 631-928-4104 Fax: 631- 928-4590

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1. Principal Name: Keith Haney  
Date of birth: 10/29/1979  
Home address: 33 Moriches Avenue  
City: East Moriches State/Province/Territory: NY Zip/Postal Code: 11940  
Country: US

Business Address: 38 Homan Avenue  
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706  
Country: US  
Telephone: (631) 403-4911

Other present address(es):  
City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_  
Telephone: \_\_\_\_\_

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	<u>11/12/2013</u>
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

33 1/3%

1 File(s) Uploaded: Partnership structure.PDF

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Village Dock Contracting, Inc.

Village Dock, Inc.  
Jocar  
JCKD Materials  
Scatt Materials  
KPI Asphalt

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

New Jersey Dept of Transportation - dredging at Manasquan

1 File(s) Uploaded: Contract for Manasquan.PDF

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

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YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.



I, Keith Haney , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Keith Haney , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

H&L Contracting LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Keith Haney [KHANEY@HLCONTRACTINGLLC.COM]

Managing Member

Title

05/19/2020 12:26:49 PM

Date



## State of New Jersey

DEPARTMENT OF TRANSPORTATION

P.O. Box 600

Trenton, New Jersey 08625-0600

CHRIS CHRISTIE  
Governor

RICHARD T. HAMMER  
Acting Commissioner

KIM GUADAGNO  
Lt. Governor  
January 27, 2016

Mr. Keith Haney, President  
Village Dock Contracting, Inc.  
15 N. Columbia Street  
Port Jefferson, NY 11777

Re: Maintenance Dredging and Channel Improvements for Lower Manasquan River, Crabtown Creek, Kings Bridge Channel, Wills Hole Thorofare and Wills Hole West Channel, Contract No. 041201504, Brielle Boro, Manasquan Boro, Point Pleasant Boro and Point Pleasant Beach Boro, Monmouth and Ocean Counties, 100% State, UPC No. 201504, PE No. 6110108, CE No. 6900328, DP No. 15445

Dear Mr. Haney;

The Commissioner of Transportation, acting pursuant to N.J.S.A. 27:7-30, has awarded the project designated Maintenance Dredging and Channel Improvements for Lower Manasquan River, Crabtown Creek, Kings Bridge Channel, Wills Hole Thorofare and Wills Hole West Channel, Contract No. 041201504, Brielle Boro, Manasquan Boro, Point Pleasant Boro and Point Pleasant Beach Boro, Monmouth and Ocean Counties, 100% State, UPC No. 201504, PE No. 6110108, CE No. 6900328, DP No. 15445 to your firm on January 27, 2016. The Contract amount is (\$3,366,629.10). As stated in the Standard Specifications, this award is not binding upon the State until the contract has been executed by the Commissioner. Furthermore, no work shall be performed on account of the proposed contract until you have been notified that the contract has been executed by the Commissioner.

**All payment obligations are subject to appropriations and the availability of funds**

Consistent with the Specifications, this award is being made to your firm since you were the lowest responsible bidder whose proposal conformed in all respects to the requirements set forth in the contract documents. All the contract documents which formed the basis of your bid were in accordance with Department Specifications. In submitting your proposal to the Department of Transportation, you agreed to carry out and complete the project as specified and delineated in these contract documents at the price per unit of measure bid for each scheduled item of work.

The contract (Form DC-81) must be signed and witnessed. **PLEASE DO NOT DATE THE CONTRACT.** The contract will be dated at the time it is signed by the Commissioner or his/her designee. A properly signed and sealed corporate resolution verifying the authority of the officers to sign the contract for the corporation must be attached with the contract. **AN ACCEPTABLE CORPORATE RESOLUTION TEMPLATE CAN BE FOUND FROM THE WEBSITE BELOW FOR YOUR USE.**  
<http://www.state.nj.us/transportation/business/procurement/ConstrServ/curradvproj.shtm>  
**USING THIS TEMPLATE WILL AVOID DELAYS ENCOUNTERED DURING CONTRACT EXECUTION.**

"IMPROVING LIVES BY IMPROVING TRANSPORTATION"

New Jersey Is an Equal Opportunity Employer • Printed on Recycled and Recyclable Paper

Performance and payment bonds must be issued by surety companies listed in the current U.S. Treasury Circular 570 ("Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds"), and cannot exceed the amount of authority listed in U. S. Treasury Circular 570. All surety companies must be licensed to transact surety business in the State of New Jersey. In the event the penal sum of the bond exceeds the limitations prescribed in Circular 570, two or more listed surety companies may be accepted, jointly and severally, as co-sureties on the contract, as long as the penal sum of the bond does not exceed the prescribed limitations of their aggregate qualifying power.

The performance and payment bonds must be signed by the authorized officers of the corporation and the corporate seal must be affixed. They must be signed by each surety company, witnessed and accompanied by both a certification as to authorization of the Attorney-in-Fact to bind each surety company and a true and correct statement of the financial condition of each surety company. All names must be typed or printed below the signature on the bonds. The bonds must bear the dates on which they are issued and signed. In addition, the contractor must submit to the Department proof of valid business registration with the Division of Revenue in the New Jersey Department of Treasury in accordance with P.L. 2001, c. 134 (N.J.S.A. 52:32-44), and proof of registration with the New Jersey Department of Labor as a Public Works Contractor in accordance with N.J.S.A. 34:11-56.48 and P.L. 2003, c. 91, effective August 16, 2003.

FOR 100% STATE FUNDED PROJECTS ONLY, your firm must be in compliance with Public Law 2005, Chapter 51 Contractor Certification and Disclosure of Political Contributions (formerly Executive Order #134) and Executive Order # 117, effective November 15, 2008 prior to the Contract being executed by the Commissioner. You must complete the required Certification and Disclosure forms and submit them, together with a completed Ownership Disclosure form, to the Department. Instructions for completing these forms are at <http://www.state.nj.us/treasury/purchase/forms.htm#eo134>. IGNORE THIS REQUIREMENT FOR FEDERALLY FUNDED PROJECTS.

Your firm must return the fully executed contract, payment bond, performance bond and proof of valid business registration, etc. to this office **WITHIN FOURTEEN DAYS OF THE RECEIPT OF THE AWARD**. If you intend to escrow bid documents as per Section 103.05 of the Specifications, please phone this office to request the custody agreement form. If you do not intend to escrow bid documents, please indicate this by signing in the space provided and return this letter with the executed contract, corporate resolution, payment and performance bonds and proof of valid business registration.

The N.J.D.O.T. Insurance Certificate (DC 175) must be completed by your insurance agent in triplicate and submitted to the Regional Construction Engineer at the preconstruction conference. DC 175 form can be found from the website below.

<http://www.state.nj.us/transportation/business/procurement/ConstrServ/curradvproj.shtm>

Proof of any other insurance required by the contract must be provided separately on forms satisfactory to the Department at the preconstruction conference.

**For STATE and PARTIALLY STATE FUNDED CONSTRUCTION CONTRACTS ONLY**

**Re: Initial Project Workforce Report - Construction, FORM AA-201**

The New Jersey Department of Transportation does not have delegated authority to monitor and enforce EEO Workforce Compliance on State Funded construction projects. The New Jersey Department of the Treasury, Division of Public Contracts EEO Compliance has jurisdiction for EEO monitoring and enforcement. Therefore, in accordance with the regulations governing State Funded Construction Projects (PL 1975, c.127; N.J.S.A 10:5-31 et.seq., N.J.A.C.17:27), please submit your **Initial Project Workforce Report - Construction, Form AA-201** directly to the Department of the Treasury, Division of Public Contracts EEO Compliance. The NJDOT's Bureau of Construction Services will supply you with Form AA-201 along with the instructions for completing the form upon issuing the NJDOT contract for your project.

Form AA-201 can also be found on the Department of the Treasury's website at [http://www.state.nj.us/treasury/contract\\_compliance/pdf/aa201.pdf](http://www.state.nj.us/treasury/contract_compliance/pdf/aa201.pdf)

Please complete and submit Form AA-201 as follows:

<b><u>FIRST (2) Copies to:</u></b>	<b><u>(3<sup>rd</sup>) Copy - (Marked Public Agency) to:</u></b>
New Jersey Department of the Treasury Division of Public Contracts Equal Employment Opportunity Compliance P.O. Box 209 Trenton, NJ 08625	New Jersey Department of Transportation Division of Civil Rights/Affirmative Action Contract Compliance Unit P.O. Box 600 Trenton, NJ 08625

If you have any questions, I may be reached at (609) 530-6355.


Very truly yours,

Anthony Genovese  
Director  
Division of Procurement

AG/

cc: B. DeLucia, A. Rossi, L. Legge, J. Overton, E. Powers, P. Adams, A. Genovese, K. Daniels, Q. Viernes  
D. Kuhn, G. Clifton, S. Douglas

I do not intend to escrow bid documents as per Sec. 103.05 of the specifications.

Authorized Signature 

Print Name: Keith Haney

Title: President

# H & L CONTRACTING, LLC.

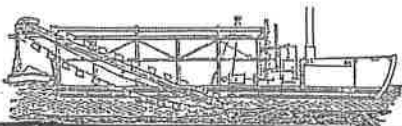
38 Homan Avenue, Bay Shore, NY 11706  
Phone: 631-403-4911 • Fax: 631-928-4590

- Partnership Structure of H & L Contracting, LLC.

Keith Haney – Managing Partner 33 1/3% owner  
33 Moriches Avenue, East Moriches, NY 11940  
SS # 114-72-7261

Chris Haney – Partner 33 1/3% owner  
9 Canterbury Lane, Nesconset, NY 11767  
SS # 114-72-2902

James K. Haney III – Partner 33 1/3% owner  
96 W. Shore Drive, Massapequa, NY 11758  
SS # 114-72-2787



# JCKD Materials Corp.

15 N.Columbia Street, Port Jefferson, New York 11777

## JCKD Material Corp.

President : James K. Haney- 25% Ownership  
96 West Shore Drive, Massapequa, NY 11758

Vice President: Christopher Haney-25% Ownership  
9 Canterbury Lane, Nesconset, NY 11767

Sec/Trea: Keith Haney-25% Ownership  
33 Moriches Avenue, East Moriches, NY 11940

JCKD Materials owns 50% of Jocar Asphalt, LLC., PO Box 530, Old Bethpage, NY 11804

## Jocar Asphalt, LLC.

Managing Member: James K. Haney  
96 West Shore Drive, Massapequa, NY 11758

Member: Christopher Haney  
9 Canterbury Lane, Nesconset, NY 11767

Member: Keith Haney  
33 Moriches Avenue, East Moriches, NY 11940

# VILLAGE DOCK CONTRACTING, INC.

15 North Columbia Street, Port Jefferson, NY 11777

Phone: 631-928-4104 Fax: 631- 928-4590

## VILLAGE DOCK, INC.

President: Peter Hough 27 Josephine Drive, Shoreham, NY 11786  
V.P.: Chris Haney 9 Canterbury Lane, Nesconset, NY 11767  
Sec-Tres: Keith Haney 33 Moriches Avenue, East Moriches, NY 11940

- Village Dock, Inc. is 100% owned by Village Dock Contracting, Inc.  
15 North Columbia Street  
Port Jefferson, New York 11777

- Corporate Structure of Village Dock Contracting, Inc.

10/29/79 Keith Haney – President 33 1/3 % owner  
33 Moriches Avenue, East Moriches, NY 11940

08/16/77 Chris Haney – V.P. 33 1/3 % owner  
9 Canterbury Lane, Nesconset, NY 11767

01/03/76 James Haney – Sec-Tres 33 1/3 % owner  
96 W. Shore Drive, Massapequa, NY 11758



COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: H & L Contracting, LLC

Address: 38 Homan Avenue

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

2. Entity's Vendor Identification Number: 464082629

3. Type of Business: Ltd. Liability Co (specify) \_\_\_\_\_

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

1 File(s) uploaded Partnership structure.PDF

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

Keith Haney - 33 Moriches Avenue, East Moriches, NY 11940  
Chris Haney - 9 Canterbury Lane, Nesconset, NY 11767  
James K Haney - 96 West Shore Drive, Massapequa, NY 11758

1 File(s) uploaded Partnership structure.PDF

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

Village Dock, Inc., Relationship - affiliated company with common owners.  
Village Dock Contracting, Inc., Relationship - affiliated company with common owners.  
Jocar, Relationship - common ownership in partner with others.  
JCKD Materials, Relationship - common ownership in partner with others.  
Scatt Materials, Relationship - common ownership in partner with others.  
KPI Asphalt, Relationship - common ownership in partner with others.

Affiliates will not be participating in the performance of this contract.

2 File(s) uploaded JCKD-Jocar ownership.PDF, Ownership structure.PDF

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee,



employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES ☐ NO ☒

(a) Name, title, business address and telephone number of lobbyist(s):

None

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

None

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

Keith N. Haney [KHANEY@HLCONTRACTINGLLC.COM]

Dated: 05/19/2020 02:32:43 PM

Title: Managing Member

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

# H & L CONTRACTING, LLC.

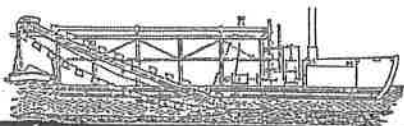
38 Homan Avenue, Bay Shore, NY 11706  
Phone: 631-403-4911 • Fax: 631-928-4590

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SS # 114-72-7261

Chris Haney –Partner 33 1/3% owner  
9 Canterbury Lane, Nesconset, NY 11767  
SS # 114-72-2902

James K. Haney III – Partner 33 1/3% owner  
96 W. Shore Drive, Massapequa, NY 11758  
SS # 114-72-2787



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33 Moriches Avenue, East Moriches, NY 11940

JCKD Materials owns 50% of Jocar Asphalt, LLC., PO Box 530, Old Bethpage, NY 11804

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96 West Shore Drive, Massapequa, NY 11758

Member: Christopher Haney  
9 Canterbury Lane, Nesconset, NY 11767

Member: Keith Haney  
33 Moriches Avenue, East Moriches, NY 11940

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15 North Columbia Street, Port Jefferson, NY 11777

Phone: 631-928-4104 Fax: 631- 928-4590

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V.P.: Chris Haney 9 Canterbury Lane, Nesconset, NY 11767

Sec-Tres: Keith Haney 33 Moriches Avenue, East Moriches, NY 11940

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- **Corporate Structure of Village Dock Contracting, Inc.**

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08/16/77 Chris Haney – V.P. 33 1/3 % owner  
9 Canterbury Lane, Nesconset, NY 11767

01/03/76 James Haney – Sec-Tres 33 1/3 % owner  
96 W. Shore Drive, Massapequa, NY 11758



**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Office of the County Executive  
Att: Brian J. Schneider, Deputy County Executive

**FROM:** Department of Public Works

**DATE:** May 7, 2020

Sent via Email Only

**SUBJECT: RECOMMENDATION OF AWARD**

**Contract Number: H61587-62G**

**Title: Resurfacing of Various County Road in the Town of Hempstead**

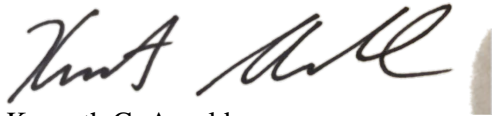
**Engineer's Estimate: \$4,695,795.10**

**Bids Received On: April 28, 2020**

The bids received for the above referenced contract have been examined and the bid submitted by H&L Contracting, LLC in the amount of \$4,987,560.00 is acceptable as the lowest bid (see the attached bid tabulation and bid analysis).

The low bid by H&L Contracting, LLC is above the engineer's estimate by 6.2%, and adequate funds are available (Capital Project Numbers 61587-62G).

If you approve or disapprove of the above request, please signify below and return this memo to this office for appropriate action.



Kenneth G. Arnold  
Commissioner

KGA:RM:jd

Attachments

c: Rakhal Maitra, Deputy Commissioner  
Loretta Dionisio, Assistant to Deputy Commissioner  
Richard Iadevaio, Jr., Superintendent of Highway and Drainage Construction  
John O'Dougherty, Project Manager III  
Alla Russ, Office Engineer

**APPROVED:**



5/26/2020

Brian J. Schneider  
Deputy County Executive

Date

**DISAPPROVED:**

Brian J. Schneider  
Deputy County Executive

Date



**Bid Opening:** 4 /28/2020**Engineer:** Vivian Toscano **Phone:** (516) 571-6814

<b>Contractor</b>	<b>Address</b>	<b>Insurance</b>	<b>Payment</b>	<b>Bid Amount</b>	<b>Alternate Bid</b>
H & L Contracting, LLC	38 Homan Ave. Bayshore, NY 11706	Arch Insurance Company	10% Amt Bid	\$4,987,560.00	\$0.00
Roadwork Ahead	180 Linden Ave Westbury, NY 11590	Western Surety Company	10% Amt Bid	\$5,073,855.00	\$0.00
Posillico Civil, Inc.	1750 New Highway Farmingdale, NY 11735	Liberty Mutual Insurance Comp	10% Amt Bid	\$5,664,815.90	\$0.00
Pratt Brothers, Inc.	45 South Fourth Street Bay Shore, NY 11706-1210	Philadelphia Indemnity Insuranc	10% Amt Bid	\$6,063,063.00	\$0.00
Grace Industries LLC	336 South Service Road Melville,, NY 11747	Travelers Causualty & Surety C	10% Amt Bid	\$7,086,510.50	\$0.00

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The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

Item #	Description	ENGINEER'S EST.		PHASE 62 TOTAL	
		Unit Price	Unit	Quantity	Cost
1M	MOBILIZATION	\$187,000.00	LS	1	\$183,000.00
2	UNCLASSIFIED EXCAVATION	\$150.00	CY	286	\$42,900.00
5C *	SELECTED FILL	\$50.00	CY	286	\$14,300.00
7 *	PREPARING FINE GRADE	\$5.00	SY	360	\$1,800.00
12H *	CLEANING EXISTING DRAINAGE SYSTEM	\$20.00	LF	450	\$9,000.00
12H-X *	CLEANING EXISTING DRAINAGE SYSTEM CATCH BASIN	\$750.00	EA	191	\$143,250.00
15	REHABILITATION OF CATCH BASINS	\$1,667.00	EA	8	\$13,336.00
26	CONCRETE CURB	\$30.00	LF	7,000	\$210,000.00
27	CEMENT CONCRETE SIDEWALK	\$15.00	SF	10,800	\$162,000.00
27DW	DETECTABLE WARNING SURFACE	\$57.00	SF	2,100	\$119,700.00
34	MISCELLANEOUS METALS	\$3.00	LB	1,200	\$3,600.00
36CX	ASPHALT CONCRETE TRUING AND LEVELING	\$145.00	TN	840	\$121,800.00
36DRAR	RUT AVOIDANCE ASPHALT CONCRETE TYPE 1A (TOP RA RESURF.)	\$115.00	TN	15,700	\$1,805,500.00
58RPC	SAW CUTTING EXISTING ROADWAY PAVEMENT AND CONCRETE	\$5.00	LF	7,500	\$37,500.00
102	WORK ZONE TRAFFIC	\$210,000.00	LS	1	\$210,000.00
102D	FLASHING ARROW BOARD	\$75.00	DAYS	96	\$7,200.00
102PVMS	PORTABLE MESSAGE SIGN	\$100.00	DAYS	420	\$42,000.00
111	REMOVAL & REPLACEMENT OF PAVEMENT	\$100.00	SY	1,880	\$188,000.00
112	ADJUSTING MANHOLES	\$200.00	EA	220	\$44,000.00
114	ADJUSTMENT OF WATER VALVE BOX ELEVATION	\$80.00	EA	248	\$19,840.00
115	BUTT JOINT	\$15.00	LF	3,470	\$52,050.00
116A	PROFILING & REMOVAL OF ASPHALT PAVEMENT	\$3.00	SY	146,700	\$440,100.00
132	PLOWABLE RAISED REFLECTORIZED PAVEMENT (BLUE MARKERS)	\$150.00	EA	73	\$10,950.00
133X	CLEAN & FILL JOINTS AND CRACKS	\$92,000.00	LS	1	\$92,000.00
136S	SURVEY STAKEOUT	\$1,300.00	SHFT	96	\$124,800.00
137 *	REMOVE EXISTING TRAFFIC MARKINGS	\$2.00	LF	21,000	\$42,000.00
138	ASPHALT JOINT REPAIR	\$100.00	SY	300	\$30,000.00
141B	SILT PROTECTION FOR SURFACE INLET DRAINAGE STRUCTURES	\$267.00	EA	158	\$42,186.00
141C	SILT PROTECTION FOR CURB INLET DRAINAGE STRUCTURES	\$233.00	EA	140	\$32,620.00
199	INTERIM PAYMENT (FORCE)	\$182,000.00	LS	1	\$182,000.00
199AX	ASPHALT PRICE ADJUSTMENT (FORCE)	\$62,000.00	LS	1	\$62,000.00
368	TOPSOIL AND GRASS SEED	\$10.00	SY	2,802	\$28,020.00
372A	TREE REMOVAL - A - (<6" CALIPER)	\$500.00	EA	6	\$3,000.00
372B	TREE REMOVAL - B - (6" - <12" CALIPER)	\$750.00	EA	4	\$3,000.00
372C	TREE REMOVAL - C - (12" - <24" CALIPER)	\$1,100.00	EA	5	\$5,500.00



Item #	Description	ENGINEER'S EST.		PHASE 62 TOTAL	
		Unit Price	Unit	Quantity	Cost
372D	TREE REMOVAL - D - (24" - <36" CALIPER)	\$1,600.00	EA	8	\$12,800.00
374A	STUMP REMOVAL - A - (<6" CALIPER)	\$150.00	EA	1	\$150.00
374B	STUMP REMOVAL - B - (6" - <12" CALIPER)	\$200.00	EA	3	\$600.00
374C	STUMP REMOVAL - C - (12" - <24" CALIPER)	\$400.00	EA	6	\$2,400.00
374D	STUMP REMOVAL - D - (24" - <36" CALIPER)	\$650.00	EA	8	\$5,200.00
419S-075 *	F&I 3/4" Dia Steel Conduit*	\$25.00	LF	100	\$2,500.00
422L	FURNISH AND INSTALL LOOP WIRE	\$2.50	LF	4,284	\$10,710.00
422LS	FURNISH AND INSTALL LOOP SAW CUT	\$14.00	LF	1,428	\$19,992.00
422SHE	ADJUSTING TRAFFIC MAGNETONA*	\$433.00	EA	5	\$2,165.00
685.07200110	WHITE EPOXY REFL'D PVMT STRIPE,20 MILS(WET NIGHT VISIBILITY SPHERE)	\$0.50	LF	85,900	\$42,950.00
685.07200210	WHITE EPOXY REFL'D PVMT LETTER,20 MILS(WET NIGHT VISIBILITY SPHERE)	\$100.00	EA	51	\$5,100.00
685.07200310	WHITE EPOXY REFL'D PVMNT SYMBOL,20 MILS(WET NIGHT VISIBILITY SPHERE)	\$150.00	EA	99	\$14,850.00
685.07200410	WHITE EPOXY REFL'D PVMNT STRIPE (CROSS HATCHING) 20 MILS (WET NIGHT VISIBILITY SPHERE)	\$1.25	LF	5,112	\$6,390.00
685.07200510	WHITE EPOXY REFL'D PVMNT STRIPE (SPECIAL MARKING) 20 MILS (WET NIGHT VISIBILITY SPHERE)	\$1.50	LF	28,134	\$42,201.00
685.07200610	YELLOW EPOXY REFL'D PVMNT STRIPE, 20 MILS (WET NIGHT VISIBILITY SPHERE)	\$0.50	LF	84,210	\$42,105.00
685.07200710	YELLOW EPOXY REFL'D PVMNT STRIPE(CROSS HATCHING)20 MILS (WET NIGHT VISIBILITY SPHERE)	\$1.35	LF	3,186	\$4,301.10
	5% CONTINGENCY				\$237,168.31
	<b>TOTAL</b>				<b>\$4,980,534.41</b>
	* Contingency Item				

**COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
Inter-Departmental Memo**

**TO:** Kenneth G. Arnold, Commissioner  
**FROM:** Rakhal Maitra, Deputy Commissioner  
**DATE:** May 1, 2020  
**SUBJECT: RECOMMENDATION OF AWARD**

**Contract Number: H61587-62G**

**Title: Resurfacing of Various County Roads in the Town of Hempstead**

**Engineer's Estimate: \$4,695,795.10**

**Bids Received On: April 30, 2020**

The bids received for the above referenced contract have been examined, as tabulated in the Bid Comparison attached, and the bid submitted by H&L Contracting, LLC in the amount of \$4,987,560.00 is acceptable as the lowest responsible bidder.

The low bid by H&L Contracting, LLC is above the engineer's estimate by 6.2% and adequate funds are available (Capital Project Numbers 61587-62G). After reviewing all documentation submitted by the Contractor, it was found that H&L Contracting, LLC meets the required goals for this project. The majority of the unit prices are within an acceptable range, except a few items as noted below.

The Contractor was contacted and was queried about two major items due to their unit prices having large variations. The explanations are listed below:

1. Item 102 - Work Zone Traffic Control, Engineers Estimate \$200,000.00/L.S.- H&L's Bid \$525,000.00/L.S. This high bid is explained due to different locations concurrently with multiple crews while utilizing the proper traffic control equipment and devices. – *The reason is justifiable considering the size of the project and the time constraint to complete the work without major interruptions. Hence the lump sum cost is acceptable. Item 102 is prorated based on the percentage of work completed and is not payable as a lump sum at the beginning of the project.*

A few other unit prices, although higher than the corresponding Engineers' Estimate, are verified to be within reasonable range.

Based on the justifications noted above and considering overall bid cost (less than 10% higher than the Engineer's Estimate), the bid including Incentive/Disincentive clause is acceptable to the County's benefit. Therefore, it is requested that the attached Recommendation of Award be prepared for the Commissioner's signature and forwarded to the County Executive for his action.

Attached herewith, please find a completed Contract Summary form for your information and use.





Rakhal Maitra  
Deputy Commissioner

RM:lms

Attachments

c: Richard Iadevaio, Jr., Superintendent of Highway and Drainage Construction  
John O'Dougherty, Project Manager III  
Alla Russ, Office Engineer

COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
Inter-Departmental Memo

**TO:** Graham Smith, Civil Engineer I

**FROM:** Office of the Commissioner

**DATE:** March 5, 2020

**SUBJECT:** CSEA Sub-Contracting Approval  
**C20-023** – Contract H6158762G  
Resurfacing Various County Roads – Phase 62 - Construction

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. The Department has determined that it will proceed with the above-referenced contract known as **C20-023**.

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions, please speak with Jonathan Lesman.



Roseann D'Alleva  
Deputy Commissioner

RD:las

c: Rakhal Maitra, Deputy Commissioner  
Loretta Dionisio, Assistant to Deputy Commissioner  
Richard Iadevaio Jr., Superintendent of Highway and Drainage Construction  
Vivian Toscano, Civil Engineer III  
Jonathan Lesman, Management Analyst II  
Daniel Wong, Civil Engineer II



**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Civil Service Employees Association, Nassau Local 830  
 Att: Ronald Gurrieri, Executive Vice President

**FROM:** Department of Public Works

**DATE:** February 7, 2020

**SUBJECT:** CSEA Notification of a Proposed DPW Contract Agreement  
 Proposed Contract Number H6158762G  
 Resurfacing of Various County Roads - Phase 62 - Construction.

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should be not be implied that the proposed DPW contract/agreement is for the work which has "historically and exclusively been performed by bargaining unit members".

1. Department of Public Works plans to recommend a contract/agreement for Construction.
2. The work involves the following:  
 Resurfacing Various County Roads - Phase 62 to Nassau County standards. Asphalt roadways are to be rehabilitated in this project by means of milling and full depth pavement repair. The installation of new traffic loops will be done to replace existing traffic loops. New manhole castings are to be installed to grade to replace non-adjustable castings as needed. Handicap ramps are to be installed to meet NYSDOT ADA compliance. New pavement markings are installed after the road is resurfaced. Coordination with outside municipalities will be required for all work involving municipality owned structures.
3. An estimate of the construction cost is: \$5,000,000.
4. An estimate of the duration is: One (1) year.

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Roseann D'Alleva, Deputy Commissioner, telephone 1-0525, fax 1-9657.



Roseann D'Alleva  
 Deputy Commissioner

RD:RM:ac

c: Christopher Nicolino, Director, Office of Labor Relations  
 Rakhal Maitra, Deputy Commissioner  
 Loretta V. Dionisio, Assistant to Deputy Commissioner  
 Christopher Yansick, Unit Head, Financial Services Unit  
 Diane Pyne, Unit Head, Human Resources Unit  
 Richard Iadevaio, Jr., Superintendent of Highway and Drainage Construction  
 Jonathan Lesman, Management Analyst II  
 Graham Smith, Civil Engineer I  
 Daniel Wong, Civil Engineer II  
 Vivian Toscano, Civil Engineer III



**REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT**PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC☐ RFQ ☐ RFP ☐ RFBC ☒ In-House or Requirements Work OrderProject Title: Resurfacing of various county roads - Phase 62 - Construction.Department: Public Works Project Manager: Daniel Wong Date: January 27, 2020Service Requested: Resurfacing construction work of various county roads in Phase 62Justification: To procure a contractor to resurface various County roads in Phase 62.Requested by: Public Works Department/Agency/OfficeProject Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$4,750,000  
Circle appropriate phaseTotal Project Cost: TBD  
Includes, design, construction and CMDate Start Work: Fall, 2020  
Phase being requestedDuration: Two (2) Years  
Phase being requestedCapital Funding Approval: YES ☐ NO ☐

SIGNATURE

DATE

Funding Allocation (Capital Project): 61587-062See Attached Sheet if multiyear ☐NIFS Entered: 1/2

SIGNATURE

DATE

AIM Entered: Nancy Allen

SIGNATURE

DATE

Funding Code: 61587-062

use this on all encumbrances

Timesheet Code: 20-0038

use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐

Supplemental Environmental Documentation

Department Head Approval:

YES ☒ NO ☐

SIGNATURE

DCE/Ops Approval:

YES ☒ NO ☐

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval:

YES

NO

Signature \_\_\_\_\_

## FORM OF BID BOND

**IMPORTANT** The bidder shall instruct the Surety Company to **USE THIS FORM PROVIDED** as the use of **ANY OTHER FORM** may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned H&L Contracting, LLC

as Principal; and Arch Insurance Company as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

Ten Percent of Amount Bid-- dollars (\$ 10%-- ) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 28th day of April, 2020

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under Contract No. H61587-62G for the

Resurfacing of Various County Roads - Phase 62; Nassau County, NY

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

NO TEXT ON THIS PAGE



Provided, however, that this bond is subject to the following additional conditions and limitations.

- a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.
- b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

NO TEXT ON THIS PAGE

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

H&L Contracting, LLC  
Contractor

by  (L.S.) (Corporate seal of Contractor  
Title if a corporation)  
managing member

by \_\_\_\_\_ (L.S.) Title

by \_\_\_\_\_ (L.S.) Title

Arch Insurance Company  
Surety

by  (L.S.)  
Title of Officer Benedict J. Tockarszewsky, Attorney-in-Fact

Attest:  (L.S.) (Corporate seal of Surety)  
Title of Officer William D. Haas, Attorney-in-Fact

NO TEXT ON THIS PAGE

(Acknowledgment by Contractor if a corporation)

STATE OF \_\_\_\_\_ )

SS.:

COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_ to me known, who, being by me duly sworn, did depose and say for himself, that he resides in \_\_\_\_\_ that he is the \_\_\_\_\_ of the \_\_\_\_\_ the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.

\_\_\_\_\_  
Notary Public

(Acknowledgment by Contractor if a ~~partnership~~ <sup>LLC</sup>)

STATE OF NEW YORK )

SS.:

COUNTY OF SUFFOLK )

On this 28<sup>th</sup> day of April, 2020, before me personally came KEITH HANEY to me known and known to me to be a member of H + L CONTRACTING, LLC the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

*Kathleen Rignola-Enneser*

**KATHLEEN RIGNOLA-ENNESSER**  
Notary Public, State of New York  
Registration No. 01R14692061  
Qualified in County of Suffolk  
Commission Expires: Oct. 16, 2021

(Acknowledgment by Contractor if an individual.)

STATE OF \_\_\_\_\_ )

SS.:

COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

\_\_\_\_\_  
Notary Public

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Washington, D.C. 20005  
All rights reserved.

NO TEXT ON THIS PAGE

(Acknowledgment by Surety Company)

STATE OF New York )

ss.:

COUNTY OF Westchester )

On this 28th day of April, 2020, before me personally came **Benedict J. Tockarshewsky** to me Known, who being by me duly sworn, did depose and say that he resides in **Flushing, New York**

that he is the **Attorney-in-Fact** of the **Arch Insurance Company**, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said **Notary Public** further said that he is acquainted with **Benedict J. Tockarshewsky** and knows him to be the **Attorney-in-Fact** of said company; that the signature of the said **Benedict J. Tockarshewsky** subscribed to the within instrument is in the genuine handwriting of the said **Benedict J. Tockarshewsky** and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said **Notary Public**

  
Notary Public

**MARNIE GINSBURG**  
Notary Public, State of New York  
No. 01Gi6196136  
Qualified in Westchester County  
Commission Expires 11/03/2020



**THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON BLUE BACKGROUND.**

***This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated. Not valid for Note, Loan, Letter of Credit, Currency Rate, Interest Rate or Residential Value Guarantees.***

## POWER OF ATTORNEY

Know All Persons By These Presents:

That the Arch Insurance Company, a corporation organized and existing under the laws of the State of Missouri, having its principal administrative office in Jersey City, New Jersey (hereinafter referred to as the "Company") does hereby appoint:

Benedict J. Tockarshewsky, Denese Thompson, Dominick Scotto, Marnie Ginsburg, Maureen A. Grande, Raymond C. Carman, Theresa A. Lanfranco and William D. Haas of White Plains, NY (EACH)

its true and lawful Attorney(s)in-Fact, to make, execute, seal, and deliver from the date of issuance of this power for and on its behalf as surety, and as its act and deed:

Any and all bonds, undertakings, recognizances and other surety obligations, in the penal sum not exceeding Ninety Million Dollars (\$90,000,000.00).

This authority does not permit the same obligation to be split into two or more bonds In order to bring each such bond within the dollar limit of authority as set forth herein.

The execution of such bonds, undertakings, recognizances and other surety obligations in pursuance of these presents shall be as binding upon the said Company as fully and amply to all intents and purposes, as if the same had been duly executed and acknowledged by its regularly elected officers at its principal administrative office in Jersey City, New Jersey.

This Power of Attorney is executed by authority of resolutions adopted by unanimous consent of the Board of Directors of the Company on September 15, 2011, true and accurate copies of which are hereinafter set forth and are hereby certified to by the undersigned Secretary as being in full force and effect:

"VOTED, That the Chairman of the Board, the President, or the Executive Vice President, or any Senior Vice President, of the Surety Business Division, or their appointees designated in writing and filed with the Secretary, or the Secretary shall have the power and authority to appoint agents and attorneys-in-fact, and to authorize them subject to the limitations set forth in their respective powers of attorney, to execute on behalf of the Company, and attach the seal of the Company thereto, bonds, undertakings, recognizances and other surety obligations obligatory in the nature thereof, and any such officers of the Company may appoint agents for acceptance of process."

This Power of Attorney is signed, sealed and certified by facsimile under and by authority of the following resolution adopted by the unanimous consent of the Board of Directors of the Company on September 15, 2011:

VOTED, That the signature of the Chairman of the Board, the President, or the Executive Vice President, or any Senior Vice President, of the Surety Business Division, or their appointees designated in writing and filed with the Secretary, and the signature of the Secretary, the seal of the Company, and certifications by the Secretary, may be affixed by facsimile on any power of attorney or bond executed pursuant to the resolution adopted by the Board of Directors on September 15, 2011, and any such power so executed, sealed and certified with respect to any bond or undertaking to which it is attached, shall continue to be valid and binding upon the Company.



In Testimony Whereof, the Company has caused this instrument to be signed and its corporate seal to be affixed by their authorized officers, this 1<sup>st</sup> day of October, 2019.

Attested and Certified

Arch Insurance Company

  
Patrick K. Nails, Secretary

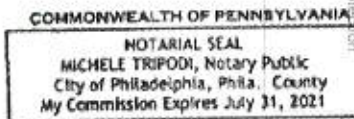


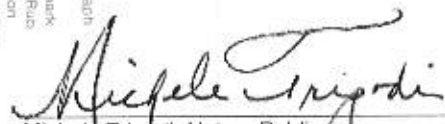
  
David M. Finkelstein, Executive Vice President

STATE OF PENNSYLVANIA SS

COUNTY OF PHILADELPHIA SS

I, Michele Tripodi, a Notary Public, do hereby certify that Patrick K. Nails and David M. Finkelstein personally known to me to be the same persons whose names are respectively as Secretary and Executive Vice President of the Arch Insurance Company, a Corporation organized and existing under the laws of the State of Missouri, subscribed to the foregoing instrument, appeared before me this day in person and severally acknowledged that they being thereunto duly authorized signed, sealed with the corporate seal and delivered the said instrument as the free and voluntary act of said corporation and as their own free and voluntary acts for the uses and purposes therein set forth.



  
Michele Tripodi, Notary Public  
My commission expires 07/31/2021

#### CERTIFICATION

I, Patrick K. Nails, Secretary of the Arch Insurance Company, do hereby certify that the attached Power of Attorney dated October 1, 2019 on behalf of the person(s) as listed above is a true and correct copy and that the same has been in full force and effect since the date thereof and is in full force and effect on the date of this certificate; and I do further certify that the said David M. Finkelstein, who executed the Power of Attorney as Executive Vice President, was on the date of execution of the attached Power of Attorney the duly elected Executive Vice President of the Arch Insurance Company.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seal of the Arch Insurance Company on this 28 day of April, 2020.

  
Patrick K. Nails, Secretary

This Power of Attorney limits the acts of those named therein to the bonds and undertakings specifically named therein and they have no authority to bind the Company except in the manner and to the extent herein stated.

**PLEASE SEND ALL CLAIM INQUIRIES RELATING TO THIS BOND TO THE FOLLOWING ADDRESS:**

Arch Insurance – Surety Division  
3 Parkway, Suite 1500  
Philadelphia, PA 19102



Kant Kopy® K1  
Security Paper  
• Hidden Pantograph  
• Color Match  
• Artificial Watermark  
• Anti-Copy Color Rub  
• Erasure Protection  
• Security Features Box  
• Microprint Protection  
• Acid Free

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Page 2 of 2

Kant Kopy® K1  
Security Paper  
• Hidden Pantograph  
• Color Match  
• Artificial Watermark  
• Anti-Copy Color Rub  
• Erasure Protection  
• Security Features Box  
• Microprint Protection  
• Acid Free

Printed in U.S.A.

ARCH INSURANCE COMPANY  
STATEMENT OF FINANCIAL CONDITION  
DECEMBER 31, 2019

Assets

Cash in Banks	\$ 66,834,581
Bonds owned	\$ 2,773,669,218
Stocks	\$ 632,027,703
Premiums in course of collection	\$ 481,916,166
Accrued interest and other assets	\$ 567,156,420
Total Assets	<u>\$ 4,521,604,088</u>


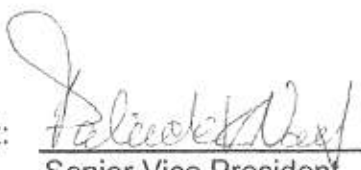
Liabilities

Reserve for losses and adjustment expenses	\$ 1,890,227,649
Reserve for unearned premiums	\$ 812,210,429
Ceded reinsurance premiums payable	\$ 296,890,508
Amounts withheld or retained by company for account of others	\$ 158,378,578
Reserve for taxes, expenses and other liabilities	\$ 408,441,322

Total Liabilities 3,566,148,486

Surplus as regards policyholders 955,455,602

Total Surplus and Liabilities \$ 4,521,604,088

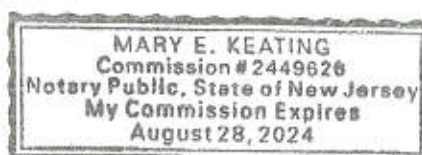
By:  Attest:   
Senior Vice President, Chief Senior Vice President,  
Financial Officer and Treasurer General Counsel and Secretary

State of New Jersey )  
                                  ) SS  
County of Hudson )

Thomas James Ahern, Senior Vice President, Chief Financial Officer and Treasurer and Patrick Kenneth Nails, Senior Vice President, General Counsel and Secretary being duly sworn, of ARCH INSURANCE COMPANY, Missouri; and that the foregoing is a true and correct statement of financial condition of said company, as of December 31, 2019.

Subscribed and sworn to before me, this 29<sup>th</sup> day of February, 2020

Notary Public  

**STATE OF NEW YORK  
DEPARTMENT OF FINANCIAL SERVICES**

**CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK INSURANCE  
LAW**

It is hereby certified that

Arch Insurance Company  
of Kansas City, Missouri

a corporation organized under the laws of New York and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$932,088,238. (Capital \$5,000,000), as is shown by its sworn financial statement for the quarter ending, September 30, 2019, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have here-  
unto set my hand and affixed the  
official seal of this Department  
at the City of Albany, this 18th  
day of February, 2020.

Linda A. Lacewell  
Superintendent

By *Ellen Buxbaum*

Ellen Buxbaum  
Special Deputy Superintendent



PROPOSAL  
To the County of Nassau  
RESURFACING OF VARIOUS COUNTY ROADS  
PHASE 62

Contract No. H61587-62G

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Advertisement for Bids and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the plans and specifications for the amount named in the proposal hereinafter described.

In making this proposal the Bidder hereby declares that the Addenda which has been issued by the County of Nassau and has been received by him, that all provisions thereof have been complied with in preparing his bids.

Name of Bidder: H&L Contracting LLC  
(Individual, Firm or Corporation, as case may be)

Bidder's Address: 38 Herman Ave, Bay Shore, NY 11706

Telephone: 631 813-2266 Date: \_\_\_\_\_

FAX Tele: 631 813-2263 E-Mail: Kthneyehlcontractingllc.com

NOTE: IF BIDDER IS A FIRM, FILL IN THE FOLLOWING BLANKS:

Name of Partners

Residence of Partners

Keith Naney  
Chris Naney

33 Moriches Ave, East Moriches NY 11940  
9 Pantherbury Ln, Nesconset NY 11767

NOTE: IF BIDDER IS A CORPORATION, FILL IN THE FOLLOWING BLANKS:

Organized under the laws of the State of: \_\_\_\_\_

Name of President: \_\_\_\_\_

President's Domicile: \_\_\_\_\_

Name of Vice Pres: \_\_\_\_\_

Vice Pres's Domicile: \_\_\_\_\_

Corporate Officer: \_\_\_\_\_ Title: \_\_\_\_\_

Corporate Officer's Domicile: \_\_\_\_\_

Corporate Officer: \_\_\_\_\_ Title: \_\_\_\_\_

Corporate Officer's Domicile: \_\_\_\_\_

**NO TEXT ON THIS PAGE**

The Following Paragraphs are Applicable to the Contract

THE BIDDER AFFIRMS AND DECLARES:

1. That the above bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same work, and is in all respects fair and without collusion or fraud.
3. That said bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety or otherwise, upon any obligation to the County of Nassau.
4. That no officer nor employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the site of the work and that, from his own investigations, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of equipment, other facilities needed for the performance of the work, the general and local conditions, and all other items which may, in any way, affect the work or its performance.
6. The bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach, the contractor shall be required to have such a license.



## INFORMATION FOR BIDDERS

### I. Rejection of Bids.

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. A determination that the bidder is not responsible is made in accordance with law; or if
6. The bid, in the opinion of the Commissioner, contains unbalanced bid prices, unless the bidder can show that the prices are not unbalanced for the probably required quantity of such items.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

### II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

### III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

### IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.



Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: H6158762G

Item No	Engineers Estimate	Item Description	Unit Price Written in words	Unit Bid Price Dollars and Cents	Amount Bid Price Dollars and Cents
1M	1.00 LS	Mobilization	For: ONE HUNDRED NINETY THOUSAND DOLLARS & NO CENTS	190,000 <sup>00</sup>	190,000 <sup>00</sup>
2	286.00 CY	Unclassified Excavation	For: THIRTY- EIGHT DOLLARS & NO CENTS	38 <sup>00</sup>	10,868 <sup>00</sup>
5C	286.00 CY	Selected Fill	For: FORTY TWO DOLLARS & NO CENTS	42 <sup>00</sup>	12,012 <sup>00</sup>
7	360.00 SY	Preparing Fine Grade	For: ONE DOLLAR & NO CENTS	1 <sup>00</sup>	360 <sup>00</sup>
12H	450.00 LF	Cleaning Existing Drainage System	For: TWO DOLLARS & NO CENTS	2 <sup>00</sup>	900 <sup>00</sup>
12H-X	191.00 EACH	Cleaning Existing Drainage System-Catch Basin	For: TWO HUNDRED FIFTY DOLLARS & NO CENTS	250 <sup>00</sup>	47,750 <sup>00</sup>
15	8.00 EACH	Altering Catch Basins	For: FORTY-SEVEN HUNDRED TWENTY DOLLARS & NO CENTS	4,720 <sup>00</sup>	37,760 <sup>00</sup>
26	7,000.00 LF	Concrete Curb	For: FORTY-TWO DOLLARS & NO CENTS	42 <sup>00</sup>	294,000 <sup>00</sup>
27	10,800.00 SF	Cement Concrete Sidewalk	For: FIFTEEN DOLLARS & NO CENTS	15 <sup>00</sup>	162,000 <sup>00</sup>
27DW	2,100.00 SF	Detectable Warning Surface	For: FORTY DOLLARS & NO CENTS	40 <sup>00</sup>	84,000 <sup>00</sup>
28	7,850.00 SF	Cement Concrete Driveways and Driveway Aprons	For: TEN DOLLARS & NO CENTS	10 <sup>00</sup>	78,500 <sup>00</sup>
29	2,640.00 SF	Driveway Restoration	For: FIVE DOLLARS & NO CENTS	5 <sup>00</sup>	13,200 <sup>00</sup>
34	1,200.00 LB	Miscellaneous Metals	For: SIX DOLLARS & NO CENTS	6 <sup>00</sup>	7,200 <sup>00</sup>
36CX	840.00 TON	Asphalt Concrete Truing and Leveling Course Type 1A	For: ONE HUNDRED SEVEN & NO CENTS	107 <sup>50</sup>	89,880 <sup>00</sup>
36DRAR	14,130.00 TON	Rut Avoidance Asphalt Concrete Type 1A (Top RA Resurfacing)	For: ONE HUNDRED SEVEN DOLLARS & FIFTY CENTS	107 <sup>50</sup>	1,518,975 <sup>00</sup>

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Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: H6158762G

Item No	Engineers Estimate	Item Description	Unit Price Written in words	Unit Bid Price Dollars and Cents	Amount Bid Price Dollars and Cents
58RPC	7,500.00 LF	Saw Cutting Existing Roadway Pavement and Concrete	For: FIVE DOLLARS + NO CENTS	5-	37,500-
102	1.00 LS	Work Zone Traffic Control	For: FIVE HUNDRED TWENTY - FIVE THOUSAND DOLLARS + NO CENTS	525,000	525,000-
102D	96.00 DAY	Flashing Arrow Board	For: TWENTY - FIVE DOLLARS + NO CENTS	25-	2,400-
102PVMS	420.00 DAY	Portable Variable Message Sign	For: FIFTY DOLLARS + NO CENTS	50-	21,000-
111	1,880.00 SY	Removal and Replacement of Pavements	For: ONE HUNDRED FIFTY DOLLARS + NO CENTS	150-	282,000-
112	220.00 EACH	Adjusting Manholes	For: THREE HUNDRED DOLLARS + NO CENTS	300-	66,000-
114	248.00 EACH	Adjustment of Water Valve Box Elevation	For: FORTY - FIVE DOLLARS + NO CENTS	45-	11,160-
115	3,530.00 LF	Butt Joints	For: ONE DOLLAR + NO CENTS	1-	3,530-
116A	132,030.00 SY	Profiling and Removal of Asphalt Pavement	For: FOUR DOLLARS + SEVENTY - FIVE CENTS	4 <sup>75</sup> 5 <sup>4H</sup>	627,142 <sup>50</sup>
132	73.00 EACH	Placeable Raised ReflectORIZED Pavement Markers	For: EIGHTY - SIX DOLLARS + NO CENTS	86-	6,278-
133A	9,830.00 LF	Cleaning and Resealing of Longitudinal Joints in Portland Cement Concrete Pavement	For: TWO DOLLARS + NO CENTS	2-	19,660-
133X	1.00 LS	Clean and Fill Joints and Cracks	For: ONE HUNDRED SEVEN THOUSAND TWO HUNDRED TWENTY DOLLARS + SEVENTY CENTS	107,212 <sup>70</sup>	107,212 <sup>70</sup>
136X	96.00 SHFT	Survey Stakeout	For: ONE HUNDRED DOLLARS + NO CENTS	100-	9,600-
137	21,000.00 LF	Remove Existing Traffic Markings	For: NO DOLLARS + EIGHTY - SIX CENTS	Contingent 86	18,060-
138	300.00 SY	Asphalt Joint Repair	For: SIXTY DOLLARS + NO CENTS	60-	18,000-

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Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: H6158762G

Item No	Engineers Estimate	Item Description	Unit Price Written in words	Unit Bid Price Dollars and Cents	Amount Bid Price Dollars and Cents
141B	158.00 EACH	Silt Protection For Surface Inlet Drainage Structures	For: Two Hundred Fifty Dollars & No Cents	250 <sup>-</sup>	39,500 <sup>-</sup>
141C	140.00 EACH	Silt Protection For Curb Inlet Drainage Structures	For: One Hundred Dollars & No Cents	100 <sup>-</sup>	14,000 <sup>-</sup>
199	1.00 LS	Interim Payments (Force)	For: One Hundred Eighty Thousand Dollars & No Cents	\$180,000.00	180,000 <sup>-</sup>
199AX	1.00 LS	Asphalt Price Adjustment (Force)	For: Fifty-Three Thousand Dollars & No Cents	\$53,000.00	53,000 <sup>-</sup>
368	2,802.00 SY	Topsoil and Grass Seed	For: Eighteen Dollars & No Cents	18 <sup>-</sup>	50,436 <sup>-</sup>
372A	6.00 EACH	TREE REMOVAL < 6" CAL	For: One Hundred Ninety-Five Dollars & No Cents	195 <sup>-</sup>	1,170 <sup>-</sup>
372B	4.00 EACH	Tree Removal - B - (6" - <12" Caliber)	For: Two Hundred Seventy Dollars & No Cents	270 <sup>-</sup>	1,080 <sup>-</sup>
372C	5.00 EACH	Tree Removal - C - (12" - <24" Caliber)	For: Seven Hundred Sixty Dollars & No Cents	760 <sup>-</sup>	3,800 <sup>-</sup>
372D	8.00 EACH	Tree Removal - D - (24" - <36" Caliber)	For: Twelve Hundred Seventy-Five Dollars & No Cents	1,275 <sup>-</sup>	10,200 <sup>-</sup>
374A	1.00 EACH	Stump Grinding - A - (4" - <6" Diameter)	For: Seventy-Five Dollars & No Cents	75 <sup>-</sup>	75 <sup>-</sup>
374B	3.00 EACH	Stump Grinding - B - (6" - <12" Diameter)	For: One Hundred Twenty Dollars & No Cents	120 <sup>-</sup>	360 <sup>-</sup>
374C	6.00 EACH	Stump Grinding - C - (12" - <24" Diameter)	For: Four Hundred Twenty Dollars & No Cents	420 <sup>-</sup>	2,520 <sup>-</sup>
374D	8.00 EACH	Stump Grinding - D - (24" - <36" Diameter)	For: Seven Hundred Fifteen Dollars & No Cents	715 <sup>-</sup>	5,720 <sup>-</sup>
419S-075	100.00 LF	Furnish and Install 3/4 Inch Diameter Steel Conduit	For: Seventeen Dollars & Fifty Cents	Contingent 17 <sup>-50</sup>	1,750 <sup>-</sup>
420R	10.00 EACH	Regrade a Pullbox Frame and Cover	For: Three Hundred Dollars & No Cents	300 <sup>-</sup>	3,000 <sup>-</sup>
422L	4,284.00 LF	Furnish and Install Loop Wire	For: One Dollar & Seventy-Five Cents	1 <sup>-75</sup>	7,497 <sup>-</sup>

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Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: H6158762G

Item No	Engineers Estimate	Item Description	Unit Price Written in words	Unit Bid Price Dollars and Cents	Amount Bid Price Dollars and Cents
422LS	1,428.00 LF	Furnish and Install Loop Saw Cut	For: TWENTY- ONE DOLLARS & NO CENTS	21-	29,988 -
422SHE	5.00 EACH	Adjust Traffic Magnetic Vehicle Detector	For: SIX HUNDRED SIXTY- FIVE DOLLARS & NO CENTS	665-	3,325 -
450	1.00 EACH	Furnish and Install Post Mounted Sign	For: FIVE HUNDRED TWENTY DOLLARS & NO CENTS	520-	520 -
685.0720 0110	85,900.00 LF	White Epoxy ReflectORIZED Pavement Stripes - 20 mils (Wet Night Visibility Spheres)	For: NO DOLLARS & SIXTY CENTS		
685.0720 0210	51.00 EA	White Epoxy ReflectORIZED Pavement Letters - 20 Mils (Wet Night Visibility Spheres)	For: ONE HUNDRED FIFTY- SIX DOLLARS & NO CENTS	0 <sup>60</sup>	51,540 -
685.0720 0310	99.00 EACH	White Epoxy ReflectORIZED Pavement Symbols - 20 mils (Wet Night Visibility Spheres)	For: ONE HUNDRED EIGHTY- FIVE DOLLARS & NO CENTS	156-	7,954 -
685.0720 0410	5,112.00 LF	White Epoxy ReflectORIZED Pavement Stripes (Cross Hatching) - 20 mils (Wet Night Visibility Spheres)	For: ONE DOLLAR & FIFTY CENTS	185-	18,315 -
685.0720 0510	28,134.00 LF	WHITE EPOXY REFLECTORIZED PAVEMENT STRIPES (SPECIAL MARKINGS) 20 MILS THICK (WET NIGHT VISIBILITY SPHERES)	For: ONE DOLLAR & SEVENTY CENTS	1 <sup>50</sup>	7,668 -
685.0720 0610	84,210.00 LF	Yellow Epoxy ReflectORIZED Pavement Stripes - 20 mils (Wet Night Visibility Spheres)	For: NO DOLLARS & FIFTY CENTS	1 <sup>70</sup>	47,827 <sup>80</sup>
685.0720 0710	3,186.00 LF	YELLOW EPOXY REFLECTORIZED PAVEMENT STRIPES (CROSS HATCHING) 20 MILS	For: ONE DOLLAR & FIFTY CENTS	0 <sup>50</sup>	42,105 -
				1 <sup>50</sup>	4,779 -

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Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: H6158762G

Item No	Engineers Estimate	Item Description	Unit Price Written in words	Unit Bid Price Dollars and Cents	Amount Bid Price Dollars and Cents
698.9394 0015	1.00 LS	THICK (WET NIGHT VISIBILITY SPHERES)  Incentive Payments / Disincentive Assessments for Work Subject to the Special Note "Incentive/Disincentive Clause" (Fixed)	For: ONE HUNDRED THOUSAND DOLLARS & NO CENTS	\$100,000.00	100,000 -

Total Bid in Numbers \$ 4,987,560<sup>00</sup>

Total Amount in Words FOUR MILLION, NINE HUNDRED EIGHTY-SEVEN THOUSAND, FIVE HUNDRED SIXTY DOLLARS & NO CENTS

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LAURA CURRAN  
NASSAU COUNTY EXECUTIVE



KENNETH G. ARNOLD, P.E.  
COMMISSIONER

COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
1194 PROSPECT AVENUE  
WESTBURY, NEW YORK 11590-2723

**VENDOR PORTAL ACKNOWLEDGMENT**

Vendor Name: H-L Contracting LLC  
Contract Title: Resurfacing of various County roads  
Contract Number: H61587-62G

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at [www.nassaucountyny.gov](http://www.nassaucountyny.gov) by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.

Signature [Handwritten Signature] Date 4-28-2020

Print Name Keith Naney

If you attempted to register via the Portal but were unable to do so, please explain here:

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**LAURA CURRAN**  
NASSAU COUNTY EXECUTIVE

# NASSAU COUNTY



## Vendor Code of Ethics



<b>POLICY/PROCEDURE TITLE:</b> Nassau County Vendor Code of Ethics	<b>DATE ISSUED:</b> June 5 <sup>th</sup> , 2019
<b>DEPARTMENT ISSUING:</b> Executive – Compliance	<b>AUTHORIZED and SIGNED BY:</b>  Deputy County Executive For Compliance  County Executive

- POLICY:** The Office of the Nassau County Executive recognizes the importance of the vendor community in helping the County provide necessary services for the residents of Nassau County. It is the policy of the County Executive to ensure that all vendors doing business with Nassau County operate under the highest standards of legal and ethical conduct.
- PURPOSE:** To set forth a Code of Conduct for vendors to ensure that Nassau County Vendors are conducting their business with integrity, ethics, and compliance with all applicable laws and regulations.
- SCOPE:** All vendors doing business or seeking to do business with Nassau County as specified in the Code.





## Vendor Code of Ethics

### TABLE OF CONTENTS

#### Chapter 1: DEFINITIONS

#### Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

##### Section 2.01 Designated Point(s) of Contact

#### Chapter 3: GIFTS OR CONTINGENT FEES

##### Section 3.01 Zero Tolerance

##### Section 3.02 Personal Relationships

##### Section 3.03 Contingent Fees

#### Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

##### Section 4.01 Restrictions During the Procurement Process

##### Section 4.02 Restrictions Post Award

#### Chapter 5: CONFLICT OF INTEREST

##### Section 5.01 Financial Interest

##### Section 5.02 Personal Business Dealings

##### Section 5.03 Disclosure and Cooperation

##### Section 5.04 Confidential Information

##### Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

#### Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

##### Section 6.01 Appearance Before Former Agency-Two Year Bar

##### Section 6.02 Appearance Before Former Agency-Life Time Bar

#### Chapter 7: NON-COLLUSION

##### Section 7.01 Independent Bid Assessment

##### Section 7.02 Non-Communication of Bid

##### Section 7.03 Bid Submission

#### Chapter 8: DISTRIBUTION AND CERTIFICATION

##### Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

##### Section 8.02 Vendor Certifications

##### Section 8.03 Subcontractor Certifications

#### Chapter 9: PENALTIES

##### Section 9.01 Responsibility Determination

##### Section 9.02 Civil/Criminal Penalties

#### Chapter 10: REPORTING OBLIGATION

##### Section 10.01 Reporting Gift Requests

##### Section 10.02 Reporting Material Changes



## Vendor Code of Ethics

### Section 10.03 Reporting Violations and Overpayments Chapter 11: PROHIBITION ON RETALIATION Section 11.01 Prohibition





## Vendor Code of Ethics

### Chapter 1: DEFINITIONS

As used in this Code, the following terms have the following meanings:

**Adverse Job-Related Action** includes any material alteration to existing terms, conditions, and privileges of employment, such as dismissal, demotion, suspension, compulsory leave, disciplinary action, creation of a hostile work environment, negative performance evaluation, any action resulting in loss of staff, office space or equipment or other benefit, reduction in compensation, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected employee.

**Contact** means any oral or written communication with any Nassau County Employee, other than the Designated Point(s) of Contact, where it could be reasonably inferred that such contact was intended to influence, or could reasonably be expected to influence, the subject of a County procurement.

**Designated Point(s) of Contact** means the individual(s) designated by the County to be a Vendor's only contact with Nassau County following the public advertisement of a solicitation or the issuance of a request for a bid, proposal, or quote for small purchase, until the award of a resulting contract and, where applicable, approval by the County Legislature. This timeframe, further defined in the State Procurement Lobbying Law, is also known as the Restricted Period.

**Nassau County Employee** means any officer, official or employee of Nassau County.

**Family Member** means (i) a Nassau County Employee's Spouse, Domestic Partner, Child, Sibling or Parent; (ii) a person who is a direct descendant (or the spouse of a direct descendant) of a Sibling of the Nassau County Employee or a Sibling of the Nassau County Employee's Spouse or Domestic Partner; or (iii) a person living in the same household as a Nassau County Employee.

**Gift** means the transfer, without equivalent consideration, of anything of benefit, tangible or intangible, having more than nominal value, including, but not limited to, cash, loans, forbearance,





## Vendor Code of Ethics

services, travel, gratuities of any kind, favors, money, meals, refreshments, entertainment, hospitality, admittance to private clubs, use of time-shares, personal use of the Vendor's facilities, promises, tickets to entertainment or sporting events, weekend trips, golf outings, loans of equipment, or other thing or benefit. A Gift need not be intended to influence or reward any individual or entity.

**Nassau County Code of Ethics** means Nassau County Charter Section 2218, and the rules and regulations promulgated thereunder as may be amended or modified.

**Participating Employee** means any Vendor employee who engages in any written or oral communication of a non-clerical or non-administrative nature with Nassau County or with a Nassau County Employee(s) as part of or in connection with the procurement.

**Participating Nassau County Employee** means any Nassau County Employee who the Vendor knows, has reason to know, or can reasonably anticipate is involved in a specific procurement, in either a direct or decision-making capacity, but not in a clerical capacity. This includes but is not limited to the Designated Point of Contact, the project manager, the project manager's staff to the extent that they are involved in the procurement, members of selection committees, technical experts and negotiating teams.

**Primary Contracting Party** means a Vendor who intends to directly enter into or has a contract with Nassau County.

**Retaliatory Action** is defined as any Adverse Job-Related Action taken by, or at the direction or request of, a Vendor or a Vendors' Employees as a result of any individual's (i) good-faith report with respect to a violation or potential violation of this Code or the law; or (ii) cooperation in any investigation of unlawful conduct or misconduct conducted by Nassau County or by federal, state, or local law enforcement officials.

**State Procurement Lobbying Law** means New York State Finance Law Sections 139-j and 139-k, and the rules and regulations promulgated thereunder as may be amended or modified.

**Vendor** means any individual or entity seeking to or doing business





## Vendor Code of Ethics

with Nassau County within the scope of this Code, including, without limitation, contractors, consultants, suppliers, manufacturers seeking to act as the primary contracting party, officers and employees of the foregoing, as well as any subcontractors, subconsultants and suppliers at all lower tiers.

### Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

#### Section 2.01 Designated Point(s) of Contact

Each procurement solicitation issued by Nassau County will identify the Designated Point(s) of Contact for that solicitation as required by the State Procurement Lobbying Law. Once the Designated Point(s) of Contact is/are established, the Vendor and any person or entity acting on the Vendor's behalf, including without limitation, those providing compensated or uncompensated lobbying, advocacy, consulting or other services should ensure that its contacts with Nassau County are in compliance with the requirements of the State Procurement Lobbying Law.

### Chapter 3: GIFTS OR CONTINGENT FEES

#### Section 3.01 Zero Tolerance

No Vendor may offer or give any Gift, directly or indirectly, to a Nassau County Employee. Similarly, no Vendor may offer or give any Gift, directly or indirectly, to any Family Member of a Nassau County employee where such Gift is made because of the Vendor's relationship with the Nassau County Employee. Additionally, no Vendor may accept a gift from a Nassau County Employee.

This Zero-Tolerance Policy applies regardless of actual intentions. In other words, even if a Gift does not, or is not intended to, influence an action or decision by a Nassau County Employee, it is prohibited by this Code.

#### Section 3.02 Personal Relationships

Notwithstanding the foregoing, if a Vendor has a pre-existing family or personal relationship with the Employee, a Gift that is wholly unconnected with the Employee's duties on behalf of Nassau County is



## Vendor Code of Ethics

not necessarily prohibited.

In determining whether the giving of an item was motivated by personal rather than business concerns, the following factors are considered:

- (a) the history of the relationship between the donor and the recipient, including but not limited to the mutuality of gift giving;
- (b) whether the item was paid for by the donor.

The giving of an item shall not be considered to be motivated by a family or personal relationship if the donor seeks to charge or deduct the value of the item as a business expense or seeks reimbursement from a client.

However, regardless of the family or personal relationship between a Vendor and an employee, a Gift is strictly forbidden where it is being given under circumstances where it can reasonably be inferred that it was intended to influence the employee in the performance of his or her official duties.

### Section 3.03 Contingent Fees

The Vendor will not employ or retain any individual or entity for the purpose of soliciting or securing a Nassau County contract upon any agreement or understanding for a commission, percentage, brokerage, or fee that is contingent or dependent upon the outcome of the procurement.

## Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

### Section 4.01 Restrictions During the Procurement Process

Vendors shall not discuss future employment with Participating Nassau County Employees or their Family Members from the date the procurement is advertised or solicited through 30 days following the date that the procurement is awarded, even if a Participating Nassau County Employee contacts the Vendor regarding employment. Questions regarding whether a particular Nassau County employee is a Participating Nassau County Employee for a specific





## Vendor Code of Ethics

procurement should be directed to the Designated Point of Contact for the procurement.

### Section 4.02 Restrictions Post Award

Vendors are prohibited from offering or discussing an employment opportunity with a Nassau County Employee or his or her Family Members before whom the Vendor has or expects to have a pending specific matter including, but not limited to, negotiations, performance evaluation, task order selection, approval of a voucher or invoice, or approval of or agreement to a contract amendment, change order, or deviation or waiver until:

- (i) 30 days from the time the matter before the Employee is closed, or
- (ii) 30 days from the time the Employee has no further involvement with the matter because of recusal or reassignment.

## Chapter 5: CONFLICT OF INTEREST

### Section 5.01 Financial Interest

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, as the case may be, may have a 10% or greater interest, nor shall the Vendor, nor any director, officer, principal, owner, or partner thereof, acquire a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract.

The Vendor will not permit an employee having a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract to be employed in the performance of the Nassau County contract.

### Section 5.02 Personal Business Dealings

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, may have a non-County business dealing with a



## Vendor Code of Ethics

Participating Nassau County Employee where it can be reasonably inferred that the purpose of the business dealing, at least in part, is to influence the Participating Nassau County Employee's action on a pending County matter.

### **Section 5.03 Disclosure and Cooperation**

The Vendor shall disclose immediately to the County any real or potential conflict of interest of which it becomes aware. This obligation is ongoing and shall last through the completion of performance of the contract. The Vendor shall provide to Nassau County, at the County's request and upon such forms as may be furnished by Nassau County, a disclosure of organizational, financial, contractual or other affiliations with any organization or entity that has interests that may be substantially affected by the procurement solicitation or award. The Vendor shall fully cooperate in any inquiry or investigation undertaken by Nassau County to determine whether any such affiliations present a conflict of interest, or whether any other provision of this Code has been violated. The Vendor shall fully cooperate with audits, investigations, examinations and reviews by the Nassau County Inspector General conducted pursuant to section 187 of the Nassau County Charter.

### **Section 5.04 Confidential Information**

At no time shall any Vendor who obtains confidential or proprietary Nassau County information in the course of doing or seeking to do business with the County disclose any such information to any person not authorized by Nassau County to receive such information or use such information for any personal gain except as necessary to fulfill its contractual obligations to Nassau County.

If the Vendor receives from any source confidential or proprietary Nassau County information prior to the award of a resulting contract and, where applicable, approval by the County Legislature, without the explicit approval of the Designated Point of Contact, the Vendor shall immediately so notify the Designated Point of Contact.

Nassau County confidential or proprietary information includes, but is not limited to, internal cost estimates and proposals submitted by other Vendors.





## Vendor Code of Ethics

### **Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development**

No Vendor who participates in the development of a scope of work, solicitation documents, assessment criteria, contractual instruments or technical specifications may participate as a bidder, sub-bidder, proposer or sub-proposer on that particular procurement or perform any work on that particular procurement or any other procurement that would constitute an organizational conflict of interest or would give that Vendor an unfair advantage over other bidders or proposers on that procurement. This prohibition may be waived in writing by the County Chief Procurement Officer upon a showing of good cause.

## **Chapter 6: FORMER NASSAU COUNTY EMPLOYEES**

### **Section 6.01 Appearance Before Former Agency-Two Year Bar**

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear or practice before any Nassau County agency, either prior to award or in the performance of a Nassau County contract, for a period of two years after termination of the Nassau County Employee's services with the County.

### **Section 6.02 Appearance Before Former Agency-Life Time Bar**

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear, practice, communicate or otherwise render services before the agency that employed the officer or employee or any other agency of Nassau County, either prior to award or in the performance of an agency's contract in relation to any case, proceeding, application or transaction with respect to which such former officer or employee was directly concerned and in which he or she personally participated, or which was under his or her active consideration during the period of his or her employment. This provision is a lifetime bar on projects that the former Nassau County Employee previously worked on while employed by the County.



## Vendor Code of Ethics

### Chapter 7: NON-COLLUSION

#### Section 7.01 Independent Bid Assessment

The Vendor will calculate the price(s) contained in any bid or proposal independently, without collusion, consultation, communication, or agreement with any competing Vendor for the purpose of restricting competition.

#### Section 7.02 Non-Communication of Bid

Unless otherwise required by law, the price(s) which the Vendor quotes in its bid or proposal will not knowingly be disclosed by the Vendor, directly or indirectly, to any competing Vendor prior to the closing date for bids or proposals.

#### Section 7.03 Bid Submission

The Vendor will not make any attempt to induce any other individual or entity to submit or not to submit a bid or proposal.

### Chapter 8: DISTRIBUTION AND CERTIFICATION

#### Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

As a condition of being considered for the award of any contract above the County's small purchase threshold of \$10,000, the Vendor will be required to distribute copies of the Nassau County Vendor Code of Ethics to all Participating Employees prior to any of those employee's participation in the procurement. The Code may be distributed either in hard copy or electronically as a separate PDF.

Additionally, as a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will be required to obtain an acknowledgement from each of its Participating Employees ("Participating Employee Acknowledgements") that they have received, read, understand, and will comply with the Nassau County Vendor Code of Ethics.





## Vendor Code of Ethics

The Vendor's responsibility for distributing copies of the Nassau County Vendor Code of Ethics and obtaining such signed Participating Employee Acknowledgements is ongoing until completion of performance of the contract and shall be retained for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Receipt and retention of Participating Employee Acknowledgments by the Vendor shall be subject to audit by Nassau County.

### Section 8.02 Vendor Certifications

The vendor by signing the final contract thereby certifies and attests to the following:

- (a) The Vendor has been provided with a copy of the Nassau County Vendor Code of Ethics and will comply with all of the provisions of the Code;
- (b) All of its Participating Employees during the course of procurement or contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to any of those employees' participation in the procurement;
- (c) All Participating Employees have completed the acknowledgement required by Section 8.01 of this Code;
- (d) The Vendor will retain all of the signed Participating Employee Acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County;
- (e) The Vendor will continue to distribute the Nassau County Vendor Code of Ethics, obtain signed Participating Employee Acknowledgements as new Participating Employees are added or changed during the contract period, and retain all of the signed acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.



## Vendor Code of Ethics

### Section 8.03 Subcontractor Certifications

As a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will obtain certifications executed by authorized officials from all of its lower tier subcontractors, subconsultants and suppliers (as well as from any other subcontractors, subconsultants and suppliers from whom that Vendor is soliciting or has received proposals for work on a Nassau County contract) whose employees have communicated or may communicate with Nassau County Employees. This obligation is ongoing and shall last through the completion of performance of the contract. Receipt and retention of lower tier certifications by the Vendor shall be subject to audit by Nassau County.





## **Vendor Code of Ethics**

### **Chapter 9: PENALTIES**

#### **Section 9.01 Responsibility Determination**

For violation of any provision of the Nassau County Vendor Code of Ethics, Nassau County may avail itself of every remedy in law or equity, or as agreed to by parties in any contract, including but not limited to declaring the Vendor non-responsible or in material breach of the contract.

#### **Section 9.02 Civil/Criminal Penalties**

Additionally, violation of the Nassau County Vendor Code of Ethics or a provision thereof may subject the Vendor to criminal or civil penalties under State or Federal law.

### **Chapter 10: REPORTING OBLIGATION**

#### **Section 10.01 Reporting Gift Requests**

Notwithstanding the provisions of Chapter 4 above, the Vendor is obligated to immediately report to Nassau County's Inspector General and the County Chief Procurement Officer, any and all requests made to the Vendor by any Nassau County Employee for a Gift.

#### **Section 10.02 Reporting Material Changes**

The Vendor is under a continuing obligation to report any change in circumstances that materially affects any prior report to Nassau County to Department of Chief Contracting Officer, including but not limited to disclosure of conflicts of interest and representations made in the Contractor Responsibility Form.

#### **Section 10.03 Reporting Violations and Overpayments**

The Vendor is obligated to timely report in writing to Nassau County's Inspector General, in connection with the award, performance or closeout of the Nassau County contract or subcontract, any credible evidence of significant overpayments on the contract or that a principal, employee, agent or subcontractor has committed a



## Vendor Code of Ethics

violation of law involving fraud, conflict of interest, bribery or gratuities.

### **Chapter 11: PROHIBITION ON RETALIATION**

#### **Section 11.01 Prohibition**

To facilitate the reporting obligations under Chapter 10, this code strictly forbids all Vendors and Vendors' Employees from taking any Retaliatory Action against individuals who make such reports.



## Vendor Code of Ethics

### CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.: H61587-62G

Project Description: Resurfacing of various county roads

The prospective lower tier participant \_\_\_\_\_ (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to \_\_\_\_\_ [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

By \_\_\_\_\_ Signature of Authorized Official

\_\_\_\_\_ Name and Title of Authorized Official





## Vendor Code of Ethics

### **PARTICIPATING EMPLOYEE ACKNOWLEDGEMENT REGARDING NASSAU COUNTY VENDOR CODE OF ETHICS**

Company: \_\_\_\_\_

Bid/Proposal No.: \_\_\_\_\_

I, \_\_\_\_\_, acknowledge that I have received and read the Nassau County Vendor Code of Ethics on \_\_\_\_\_ and that I understand it and will comply with this Code in my participation in procurements between \_\_\_\_\_ (Vendor name) and Nassau County.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

By \_\_\_\_\_ Signature of Employee

\_\_\_\_\_ Name and Title of Employee

CONTRACTOR CERTIFICATION STATEMENT REGARDING  
STORM WATER POLLUTION AT THE WORK SITE

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

CONTRACTOR'S NAME: N+L Contracting LLC

TELEPHONE NUMBER: 631 813-2266

WORK SITE OR FACILITY NAME: \_\_\_\_\_

WORK SITE OR FACILITY ADDRESS OR  
OTHER IDENTIFYING DESCRIPTION: Resurfacing various  
County roads

  
Signature

4-28-2020  
Date

Kerth Haney- managing member  
Print Name and Title of Signer

**NO TEXT ON THIS PAGE**





# NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

## WICKS EXEMPT LIST OF SUBCONTRACTORS

CONTRACT NO. *H61587-62G*

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

Contractor's Name and Address <i>AL-L Contracting LLC 38 Norman Ave Bay Shore, NY 11706</i>	Project Description (Project Title, Facility Name and Address): <i>Resurfacing of various County roads Phase 62</i>	Bid Date: <i>4/28/2020</i>	Total Contract Amt: <i>4,987,560<sup>00</sup></i>
--	--	-------------------------------	--

Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):

☐ Plumbing and Gas Fitting

☐ Steam Heating, Hot Water Heating, Ventilating and AC Apparatus

☐ Electric Wiring and Standard Illuminating Fixtures

If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box ☒ skip to bottom of form, and sign it as required.

Subcontractor's Name, Address and Federal ID No.	Check (✓) only one.			General Description of Work	Subcontractor's Contract Amt.
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.

Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.

Company Authorized Signature: *[Signature]* Title: *Managing member* Date: *4-28-2020*

**NO TEXT ON THIS PAGE**

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
Lower Tier Covered Transactions  
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\_\_\_\_\_  
Name and Title of Authorized Representative

m/d/yyyy

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name of Organization

\_\_\_\_\_  
Address of Organization

U.S. GPO FORM 4061/1 (REV. 2/89) Previous editions are obsolete



### Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

## MacBride Fair Employment Principles

### NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:

#### MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),


(1) have business operations in Northern Ireland,


Yes \_\_\_ No ☒

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes \_\_\_ No \_\_\_

  
(Contractor's Signature)

  
(Name of Business)

**NO TEXT ON THIS PAGE**



### IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

- ☒ a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,
- ☐ b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.

  
Signature/Date

4/28/20 Kerth Haney - managing member  
Print Name and Position

**NO TEXT ON THIS PAGE**



PROPOSAL: For all work in accordance with the drawings and specifications:

N-L Contracting LLC

(Individual, Firm or Corporation, as case may be)

Individual's Social Security Number \_\_\_\_\_

Firm or Corporation's Federal ID Number 46-4082629

Firm or Corporation's Municipal License ID Number \_\_\_\_\_

Municipal Licensing Agency \_\_\_\_\_

By: [Signature] Date: 4-28-2020

(Print) Kerth Haney Title: managing member

WHERE BIDDER IS A CORPORATION, ADD:

ATTEST: \_\_\_\_\_  
Secretary

(CORPORATE)  
(SEAL)

**NO TEXT ON THIS PAGE**

# QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 7+
2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 20+

b. as a Subcontractor 20+

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

*See attached*

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

\$ 32,687,178 <sup>00</sup>	Road	41%	NYS DOT- 50 Wolf Rd, Albany, NY 12232
\$ 2,629,375 <sup>00</sup>	Road	90%	Town of Islip, Long Island MacArthur Airport
\$ 2,395,669 <sup>00</sup>	road	34%	100 Arrival Ave, Ronkonkoma, NY 11779
\$ 730,730 <sup>00</sup>	Road	98%	Town of Hempstead - 1 Washington St, Hempstead, NY 11550 NYS DOT- 50 Wolf Rd, Albany, NY 12232

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? No  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

**NO TEXT ON THIS PAGE**

- c. ever been declared a non-responsible bidder by any municipality or public agency? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

- d. ever been barred from bidding municipal or public contracts? No  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

- a. that failed to complete a construction contract? No  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

- b. that has ever been defaulted on a contract? No  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

- c. that has ever been declared a non-responsible bidder by any municipality or public agency? No  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

**NO TEXT ON THIS PAGE**



d. that has ever been barred from bidding municipal or public contracts? No

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State. N/A

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

N/A

10. In what other lines of business are you financially interested?

Rason materials

Scott materials

KPI Asphalt

**NO TEXT ON THIS PAGE**



11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Keith Haney	managing member	20+	Heavy construction road + marine	All
Chris Haney	member	20+	Heavy construction road + marine	All
James K Haney	member	20+	Heavy construction road + marine	All

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	--	------------------	------------------

*See attached*

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

(use additional blank sheets if additional space is necessary)

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## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

### EQUIPMENT SCHEDULE

Item No.	Description of Equipment	Limit
<u>1</u>	<u>2011 Komatsu PC228USLC-8</u>	<u>\$ 200,000</u>
	<u>Serial#: 50351</u>	
<u>2</u>	<u>2012 Komatsu PC490LC-10</u>	<u>\$ 369,000</u>
	<u>Serial#: A400081</u>	
<u>3</u>	<u>2012 Komatsu PC360LC-10</u>	<u>\$ 347,000</u>
	<u>Serial#: A32782</u>	
<u>4</u>	<u>2013 Komatsu PC228USLC-8</u>	<u>\$ 238,000</u>
	<u>Serial#: 51618</u>	
<u>5</u>	<u>2012 Komatsu PC78USLC-8</u>	<u>\$ 75,000</u>
	<u>Serial#: 18465</u>	
<u>6</u>	<u>Ice Hammer H126 &amp; Power Pak 570</u>	<u>\$ 30,000</u>
	<u>Serial#: 251226</u>	

## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

### EQUIPMENT SCHEDULE

Item No.	Description of Equipment	Limit
<u>7</u>	<u>Komatsu Payloader WA-380-7</u>	<u>\$ 250,000</u>
	<u>Serial#: A64173</u>	
<u>8</u>	<u>APE JM Robovib</u>	<u>\$ 159,000</u>
	<u>Serial#: 1030022</u>	
<u>9</u>	<u>2014 Komatsu Crawler D65WX-7</u>	<u>\$ 229,500</u>
	<u>Serial#: 1300</u>	
<u>10</u>	<u>Komatsu Wheel Loader NA500-6</u>	<u>\$ 219,000</u>
<u>11</u>	<u>Terex Crane</u>	<u>\$ 970,000</u>
<u>12</u>	<u>2014 Komatsu Payloader MHM400-3</u>	<u>\$ 350,000</u>
	<u>Serial#: S3062</u>	

## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

### EQUIPMENT SCHEDULE

Item No.	Description of Equipment	Limit
<u>13</u>	<u>Komatsu Wheel Loader WA3807-7</u>	<u>\$ 187,800</u>
	<u>Serial#: SA64274</u>	
<u>14</u>	<u>Komatsu Hydraulic Excavator</u>	<u>\$ 248,208</u>
	<u>Serial#: S1346</u>	
<u>15</u>	<u>Komatsu Hydraulic Excavator</u>	<u>\$ 266,293</u>
	<u>Serial#: SA10019</u>	
<u>16</u>	<u>Fambo Hydraulic Hammer</u>	<u>\$ 50,000</u>
<u>17</u>	<u>Komatsu HM400-3</u>	<u>\$ 289,000</u>
	<u>Serial#: 3057</u>	
<u>18</u>	<u>Komatsu HM400-3</u>	<u>\$ 399,000</u>
	<u>Serial#: 3619</u>	

## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

### EQUIPMENT SCHEDULE

Item No.	Description of Equipment	Limit
<u>19</u>	<u>Komatsu Wheel Loader Equipped with</u> <u>Rockland 8 cu yard Bucket</u>  <u>Serial#: A94378</u>	<u>\$ 469,426</u>
<u>20</u>	<u>Godwin Diesel Power Pack GHPU90</u>  <u>Serial#: NPS201405009</u>	<u>\$ 78,808</u>
<u>21</u>	<u>Godwin Hydraulic Tandem Pumpend</u> <u>Heidra 150HH</u>  <u>Serial#: 03326241</u>	<u>\$ 36,014</u>
<u>22</u>	<u>Komatsu Material Handler</u>  <u>Serial#: 30353</u>	<u>\$ 1,636,311</u>
<u>23</u>	<u>Komatsu Crawler Dozer</u>  <u>Serial#: 30861</u>	<u>\$ 209,000</u>
<u>24</u>	<u>Komatsu Material Handler</u>  <u>Serial#: 30356</u>	<u>\$ 1,486,011</u>



## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

### EQUIPMENT SCHEDULE

Item No.	Description of Equipment	Limit
<u>25</u>	<u>2014 Bld Well Paver w/2 Telescoping</u> <u>Workbrid</u> <u>Serial#: SB36004852014</u>	\$ <u>75,000</u>
<u>26</u>	<u>2010 Sullair Air Compressor</u> <u>Serial#: 201007090029</u>	\$ <u>16,921</u>
<u>27</u>	<u>Komatsu Wheel Loader</u> <u>Serial#: A96115</u>	\$ <u>393,780</u>
<u>28</u>	<u>AST KDS710</u> <u>Serial#: 154175</u>	\$ <u>129,000</u>
<u>29</u>	<u>125 Foot x 12 Foot Acrow Beam Bridge</u>	\$ <u>175,000</u>
<u>30</u>	<u>Kubota 4 Seat Utility Vehicle</u>	\$ <u>23,000</u>

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## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

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### EQUIPMENT SCHEDULE

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Item No.	Description of Equipment	Limit
<u>31</u>	<u>1965 Manitowoc Crane including 210 Boom</u>  <u>Serial#: 39649</u>	<u>\$ 100,000</u>
<u>32</u>	<u>1978 Lima Crane</u>  <u>Serial#: 709A120</u>	<u>\$ 40,000</u>
<u>33</u>	<u>2003 Caterpillar 262 Skid Steer</u>  <u>Serial#: CED02118</u>	<u>\$ 15,000</u>
<u>34</u>	<u>2008 Bobcat T300 High Flow</u>  <u>Serial#: 017183</u>	<u>\$ 40,000</u>
<u>35</u>	<u>1978 Lima 700 HC Crane Detroit</u>  <u>Serial#: 709A121</u>	<u>\$ 50,000</u>
<u>36</u>	<u>Vibratory Extractor HPSI</u>  <u>Serial#: 2310E2309P</u>	<u>\$ 60,000</u>

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## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

---

### EQUIPMENT SCHEDULE

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Item No.	Description of Equipment	Limit
<u>37</u>	<u>Komatsu Hydraulic 2994</u>	\$ <u>60,000</u>
	<u>Serial#: K40040</u>	
<u>38</u>	<u>Arrow Board</u>	\$ <u>3,950</u>
	<u>Serial#: 0087AB12</u>	
<u>39</u>	<u>Arrow Board</u>	\$ <u>3,950</u>
	<u>Serial#: 0156AB12</u>	
<u>40</u>	<u>Arrow Board</u>	\$ <u>3,950</u>
	<u>Serial#: 0084AB12</u>	
<u>41</u>	<u>Arrow Board</u>	\$ <u>3,950</u>
	<u>Serial#: 0158AB12</u>	
<u>42</u>	<u>Arrow Board</u>	\$ <u>3,950</u>
	<u>Serial#: 0154AB12</u>	

## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

### EQUIPMENT SCHEDULE

Item No.	Description of Equipment	Limit
<u>43</u>	<u>McElroy Welder 6-18</u>	<u>\$ 56,000</u>
	<u>Serial#: C58356</u>	
<u>44</u>	<u>McElroy Welder 6-16</u>	<u>\$ 56,000</u>
	<u>Serial#: C54745</u>	
<u>45</u>	<u>2005 Komatsu 220</u>	<u>\$ 50,000</u>
	<u>Serial#: 40053</u>	
<u>46</u>	<u>Airman Compressor MPD5400</u>	<u>\$ 10,000</u>
<u>47</u>	<u>Airman Compressor MPD5185</u>	<u>\$ 10,000</u>
	<u>Serial#: B466C20254</u>	
<u>48</u>	<u>8000 Bevel Mill</u>	<u>\$ 2,395</u>

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## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

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### EQUIPMENT SCHEDULE

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Item No.	Description of Equipment	Limit
<u>49</u>	<u>Komatsu Hydraulic Excavator</u>	<u>\$ 35,000</u>
	<u>Serial#: A84516</u>	
<u>50</u>	<u>Topcon Receiver GPS GR-5 GNSS</u>	<u>\$ 19,250</u>
	<u>Serial#: 85120065</u>	
<u>51</u>	<u>Storage Container</u>	<u>\$ 6,000</u>
	<u>Serial#: SCC21180</u>	
<u>52</u>	<u>Storage Container</u>	<u>\$ 6,000</u>
	<u>Serial#: SCC24178</u>	
<u>53</u>	<u>Storage Container</u>	<u>\$ 6,000</u>
	<u>Serial#: SCC24184</u>	
<u>54</u>	<u>Storage Container</u>	<u>\$ 6,000</u>
	<u>Serial#: SCC3980</u>	

## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

### EQUIPMENT SCHEDULE

Item No.	Description of Equipment	Limit
<u>55</u>	<u>Storage Container</u>	\$ <u>6,000</u>
	<u>Serial#: SCC20213</u>	
<u>56</u>	<u>Storage Container</u>	\$ <u>6,000</u>
	<u>Serial#: SCC3484</u>	
<u>57</u>	<u>Storage Container</u>	\$ <u>6,000</u>
	<u>Serial#: SCC20808</u>	
<u>58</u>	<u>Storage Container</u>	\$ <u>6,000</u>
	<u>Serial#: SCC2592</u>	
<u>59</u>	<u>Storage Container</u>	\$ <u>6,000</u>
	<u>Serial#: SCC22622</u>	
<u>60</u>	<u>Storage Container</u>	\$ <u>6,000</u>
	<u>Serial#: SCC21245</u>	



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## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

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### EQUIPMENT SCHEDULE

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Item No.	Description of Equipment	Limit
<u>61</u>	<u>Storage Container</u>	<u>\$ 6,000</u>
	<u>Serial#: SCC21836</u>	
<u>62</u>	<u>Sonnebogen Material Handler</u>	<u>\$ 985,215</u>
	<u>Serial#: 850.0.30132</u>	
<u>63</u>	<u>Komatsu Hydraulic Excavator</u>	<u>\$ 517,000</u>
	<u>Serial#: A42278</u>	
<u>64</u>	<u>Komatsu Hydraulic Excavator</u>	<u>\$ 549,700</u>
	<u>Serial#: A45092</u>	
<u>65</u>	<u>Compaxt Truck Loader</u>	<u>\$ 58,500</u>
	<u>Serial#: 28063</u>	
		<u>\$ 12,404,882 Total</u>



THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

## POLICY CHANGE ENDORSEMENT

### Commercial Output Policy

ENDORSEMENT NUMBER: 6

POLICY NUMBER : MKLM6IM0053097	POLICY CHANGES EFFECTIVE DATE: March 31, 2019
NAMED INSURED: H&L Contracting LLC	COMPANY: MARKEL AMERICAN INSURANCE COMPANY

#### SCHEDULE OF COVERAGE CONTRACTORS' EQUIPMENT

It is hereby understood and agreed the following equipment is added to policy.

Description	Serial #	Limit:
Komatsu Wheel Loader M#WA470-4R	S#A49539	\$349,000.
Kubota M#RTV-XII740WL-H		\$ 24,000.

Attached to and forming part of Policy No. stated above

All other terms and conditions remain unchanged

Authorized Representative Signature



THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

## POLICY CHANGE ENDORSEMENT

### Commercial Output Policy

ENDORSEMENT NUMBER: 5

POLICY NUMBER : MKLM6IM0053097	POLICY CHANGES EFFECTIVE DATE: June 20, 2019
NAMED INSURED: H&L Contracting LLC	COMPANY: MARKEL AMERICAN INSURANCE COMPANY

#### SCHEDULE OF COVERAGE CONTRACTORS' EQUIPMENT

It is hereby understood and agreed the following equipment and loss payee is added to policy.

Description	Serial#	Limit:
2016 Milling Machine; Wirtgen; 2501 8'2"	S#0622-1018	\$452,270.
2016 Milling Machine; Wirtgen; 2501 12'2"	S#0622-1022	\$602,300.
2016 Milling Machine; Wirtgen; 2501 12'2"	S#0622-1023	\$602,300.

**We will pay "Loss" to you and:**

M&T Bank and its successors and assigns  
PO Box 1358  
Buffalo, NY 14240

In respects to: S#0622-1018, S#0622-1022, & S#0622-1023

Attached to and forming part of Policy No. stated above

All other terms and conditions remain unchanged

Authorized Representative Signature



THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

## POLICY CHANGE ENDORSEMENT

### Commercial Output Policy

ENDORSEMENT NUMBER: 4

POLICY NUMBER : MKLM6IM0053097	POLICY CHANGES EFFECTIVE DATE: May 13, 2019
NAMED INSURED: H&L Contracting LLC	COMPANY: MARKEL AMERICAN INSURANCE COMPANY

It is hereby understood and agreed the attached equipment is added to policy.

\*See attached\*

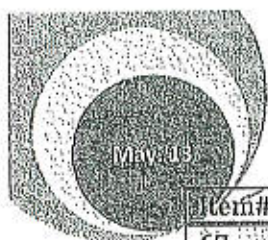
Attached to and forming part of Policy No. stated above

All other terms and conditions remain unchanged

A handwritten signature in black ink, appearing to read "Markel", written over a horizontal line.

Authorized Representative Signature





Item#	Description	Serial #	Limit
67	Sieve 8" Dia 2" (100mm) Mesh, Full Height, Brass Frame, Stainless Mesh	H-3920CS4.000	\$ 50.
68	Sieve 8" Dia 2" (50mm) Mesh, Full Height, Brass Frame, Stainless Mesh	H-3920CS2.000	\$ 50.
69	Sieve 8" Dia 3/4" (19.0mm) Mesh, Full Height, Brass Frame, Stainless Mesh	H-3920CS.750	\$ 50.
70	Sieve 8" Dia 1/4" (6.3mm) Mesh, Full Height, Brass Frame, Stainless Mesh	H-3920CS.250	\$ 50.
71	Sieve 8" Dia No.40(425) Full Height, Brass Frame, Stainless Mesh	H-3920FS40	\$ 53.
72	Sieve 8" Dia No.200(75) Full Height, Brass Frame, Stainless Mesh	H-3920FS200	\$ 70.
73	Sieve 12" Dia 1/4" (6.3mm) Mesh, Full Height, Brass Frame, Stainless Mesh	H-3912CS.250	\$ 85.
74	Sieve 12" Dia 2" (50mm) Mesh, Full Height, Brass Frame, Stainless Mesh	H-3912CS2.000	\$ 85.
75	Pan for 12" Dia Sieve, 2" Deep, Brass	H-3922P	\$ 39.
76	Motorized Sieve Shaker up to 7 No 12" Dia Sieves, 115V 60Hz	H-4330	\$1,010.
77	Mold Moisture Density, 4"	H-4141	\$ 97.
78	Clean-N-Stor, for 8" Sieves	H-3802	\$ 231.
79	Spoon, Stainless Steel, 13" Length	H-4974	\$ 6.
80	Spatula, 6"X7/8"	H-4906	\$ 14.
81	Straightedge, 8"	H-4144.8	\$ 22.
82	Balance, 60lb / 30kgx.005lb /2g	HB-4930	\$1,256.
83	Balance, Portable, Ohaus Navigator XL, 2200gx0.1g 120V 60Hz	HB-4974	\$ 365.
84	Field Stove, Dual Tubular Burner, 825W, 110V 60Hz	H-4949	\$ 160.
85	Standard Compaction Hammer 5.5lb	H-4160B	\$ 74.



THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

## POLICY CHANGE ENDORSEMENT

### Commercial Output Policy

ENDORSEMENT NUMBER: 3

POLICY NUMBER : MKLM6IM0053097	POLICY CHANGES EFFECTIVE DATE: April 8, 2019
NAMED INSURED: H&L Contracting LLC	COMPANY: MARKEL AMERICAN INSURANCE COMPANY

#### SCHEDULE OF COVERAGE CONTRACTORS' EQUIPMENT

It is hereby understood and agreed the following equipment is added to policy

Description	Serial #	Limit:
Komatsu Excavator M#PC360LCi-II	S#A35288	\$387,500.

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Attached to and forming part of Policy No. stated above

All other terms and conditions remain unchanged

Authorized Representative Signature



14. In what manner have you inspected this proposed work?  
Explain in detail.

Detailed field investigation including measurements  
and existing conditions analysis

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

Mobilize + Setup, begin sawcutting for pavement repairs.  
Begin repairs + casting replacements followed by pavement  
milling operations. Prep existing pavement for resurfacing and  
complete paving. Finally, install new signal loops + final  
line striping.

16. If a contract is awarded or a permit is issued, to your firm, who  
will have the personal supervision of the work? Attach resume.

Chris Haney - General Superintendent - see attached

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
Commercial G/L	Allied World	2,000,000/4,000,000	9/25/19 - 9/25/20
Auto	United States Fire	1,000,000	9/25/19 - 9/25/20
Workers Comp	Turn + Foster	1,000,000	9/25/19 - 9/25/20
Land Excess	Starr Indemnity	3,000,000	9/25/19 - 9/25/20
2nd Layer Excess	Navigators	2,000,000/3,000,000	9/25/19 - 9/25/20
Land Pollution	Ironshore	3,000,000/3,000,000	9/25/19 - 9/25/20
Contractors Equip	Markel	1,300,000	9/25/19 - 9/25/20
Disability	Standard Security		9/15/14 - 9/15/20

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CHRIS HANEY  
9 Canterbury Lane  
Nesconset, NY 11767  
(516) 315-7645

**EDUCATION:**

- INTERNATIONAL UNION of OPERATING ENGINEERS Local 138

**WORK EXPERIENCE:**

2005-PRESENT

GENERAL SUPERINTENDENT – VILLAGE DOCK CONTRACTING INC., PORT JEFFERSON, NY 11777

- NYSDOT - HECKSCHER STATE PARKWAY BRIDGE REHABILITATION (COMPLETE BRIDGE RE-HAB)
- NYSDOT-1-495 BRIDGE REHABILITATION (STEEL REPAIR & BEARING REPLACEMENT)
- NYSDOT-BRIDGE PARAPET REPAIRS (2 SEPARATE PROJECTS)
- VARIOUS NYSDOT GUIDERAIL & FENCE PROJECTS
- US ARMY CORPS OF ENGINEERS—VARIOUS DREDGING PROJECTS (HYDRAULIC & MECHANICAL DREDGING)
- L.I.R.R.- AMOTT CULVERT PROJECT (JACKING 72" PIPE UNDER LIVE RR TRACKS, VARIOUS DRAINAGE)
- M.T.A.—SECURITY UPGRADES (HEAVY DUTY GATES & SECURITY FENCING)
- SUFFOLK COUNTY D.P.W.-VARIOUS BRIDGE REHABILITATION PROJECTS (STEEL REPAIR/DECK REPAIR)
- SUFFOLK COUNTY D.P.W.—REHABILITATION OF SHINNECOCK CANAL LOCKS
- NYS OFFICE OF GOV'T SERVICES-CONSTRUCTION OF SUNKEN MEADOW BRIDGE
- NYS OFFICE OF GOV'T SERVICES-JONES BEACH PIER & DECK REPAIRS (SANDY)

1996-2005

ASPHALT SUPERINTENDENT – NEWBORN CONSTRUCTION, INC., CENTER MORICHES, NY 11934

- NYSDOT 1-495 (LONG ISLAND EXPRESSWAY) MILL & RE-PAVE PROJECT  
CONTRACT VALUE \$25,000,000
- NYSDOT RTE 25 MILL & RE-PAVE PROJECT  
CONTRACT VALUE \$7,000,000
- NYSDOT CROSS ISLAND PARKWAY PAVING PROJECT  
CONTRACT VALUE \$8,000,000
- TOWN OF ISLIP MACARTHUR AIRPORT RUNWAY REHABILITATION  
CONTRACT VALUE \$2,000,000

TRAFFIC CONTROL SUPERVISOR

- NYSDOT I-495 SERVICE ROAD CONSTRUCTION YAPHANK  
CONTRACT VALUE \$26,000,000

PROJECT SUPERINTENDENT

- NYSDOT-REHABILITATION OF 7 BRIDGES  
CONTRACT VALUE \$8,000,000
- NYSDOT-REHABILITATION OF 3 BRIDGES  
CONTRACT VALUE \$4,000,000
- US ARMY CORPS OF ENGINEERS -DREDGING OF SHREWSBURY INLET  
CONTRACT VALUE \$12,000,000

GENERAL FOREMAN

- VARIOUS NYSDOT PROJECTS OF ROAD CONSTRUCTION, DRAINAGE, SIGN STRUCTURES, GUIDERAIL AND FENCING

#### **LICENSES/CERTIFICATIONS:**

- OSHA 10 HOUR CONSTRUCTION SAFETY
- L.I.R.R. ROAD WORKER PROTECTION
- MTA/N.Y.C.T. TRACK SAFETY TRAINING
- LEAD PAINT AWARENESS
- COMPETENT PERSON – DEMOLITION & EXCAVATION
- COMPETENT PERSON – ROAD CONSTRUCTION & DRAINAGE INSTALLATION
- COMPETENT PERSON – FENCE & GUIDERAIL
- COMPETENT PERSON – PILE DRIVING & BULKHEAD INSTALLATION
- COMPETENT PERSON – DREDGING & MARINE WORK
- QUALIFIED RIGGER

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



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NOTICE OF AWARD

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NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK )  
 )ss.:  
COUNTY OF NASSAU )

\_\_\_\_\_  
That he resides at \_\_\_\_\_ Being duly sworn, deposes and says:  
in the City of \_\_\_\_\_ that he is the \_\_\_\_\_ Street,  
of \_\_\_\_\_

the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he signed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true. Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

\_\_\_\_\_  
Notary

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK )  
 )ss.:  
COUNTY OF NASSAU )

Kerth Honey  
\_\_\_\_\_  
That he is a member of H-L Contracting LLC Being duly sworn, deposes and says:  
the firm described in and which executed the foregoing bid; that he duly subscribed the name of the firm hereunto on behalf of the firm; and that the several matters therein stated are in all respects true. Subscribed and sworn to before me  
this 18<sup>th</sup> day of April, 2020.

DEBORAH RYDER  
Notary Public, State of New York  
No. 01RY6258036  
Qualified in Suffolk County  
Commission Expires March 19, 2024

Deborah Ryder  
\_\_\_\_\_  
Notary

(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK )  
 )ss.:  
COUNTY OF NASSAU )

\_\_\_\_\_  
That he is the person described in and who executed the foregoing bid and that the several matters therein stated are in all respects true. Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

\_\_\_\_\_  
Notary

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Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: H6158762G

Item No	Engineers Estimate	Item Description	Unit Price Written in words	Unit Bid Price Dollars and Cents	Amount Bid Price Dollars and Cents
1M	1.00 LS	Mobilization	For: ONE HUNDRED NINETY THOUSAND DOLLARS & NO CENTS	190,000 <sup>-</sup>	190,000 <sup>-</sup>
2	286.00 CY	Unclassified Excavation	For: THIRTY- EIGHT DOLLARS & NO CENTS	38 <sup>-</sup>	10,868 <sup>-</sup>
5C	286.00 CY	Selected Fill	For: FORTY TWO DOLLARS & NO CENTS	42 <sup>-</sup>	12,012 <sup>-</sup>
7	360.00 SY	Preparing Fine Grade	For: ONE DOLLAR & NO CENTS	1 <sup>-</sup>	360 <sup>-</sup>
12H	450.00 LF	Cleaning Existing Drainage System	For: TWO DOLLARS & NO CENTS	2 <sup>-</sup>	900 <sup>-</sup>
12H-X	191.00 EACH	Cleaning Existing Drainage System-Catch Basin	For: TWO HUNDRED FIFTY DOLLARS & NO CENTS	250 <sup>-</sup>	47,750 <sup>-</sup>
15	9.00 EACH	Altering Catch Basins	For: FORTY-SEVEN HUNDRED TWENTY DOLLARS & NO CENTS	4,720 <sup>-</sup>	37,760 <sup>-</sup>
26	7,000.00 LF	Concrete Curb	For: FORTY-TWO DOLLARS & NO CENTS	42 <sup>-</sup>	294,000 <sup>-</sup>
27	10,800.00 SF	Cement Concrete Sidewalk	For: FIFTEEN DOLLARS & NO CENTS	15 <sup>-</sup>	162,000 <sup>-</sup>
27DW	2,100.00 SF	Detectable Warning surface	For: FORTY DOLLARS & NO CENTS	40 <sup>-</sup>	84,000 <sup>-</sup>
28	7,850.00 SF	Cement Concrete Driveways and Driveway Aprons	For: FIFTEEN DOLLARS & NO CENTS	10 <sup>-</sup>	78,500 <sup>-</sup>
29	2,640.00 SF	Driveway Restoration	For: FIVE DOLLARS & NO CENTS	4 <sup>-</sup>	10,560 <sup>-</sup>
34	1,200.00 LB	Miscellaneous Metals	For: SIX DOLLARS & TEN CENTS	6 <sup>-</sup>	7,320 <sup>-</sup>
36CX	840.00 TON	Asphalt Concrete Truing and Leveling Course Type 1A	For: ONE HUNDRED SEVEN & NO CENTS	107 <sup>-</sup>	89,880 <sup>-</sup>
36DFAR	14,150.00 TON	But Avoidance Asphalt Concrete Type 1A (Top RA Resurfacing)	For: ONE HUNDRED SEVEN DOLLARS & FIFTY CENTS	107 <sup>50</sup>	1,518,975 <sup>-</sup>

Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: H6158762G

Item No	Engineers Estimate	Item Description	Unit Price Written in words	Unit Bid Price Dollars and Cents	Amount Bid Price Dollars and Cents
58RPO	7,500.00 LF	Saw Cutting Existing Roadway Pavement and Concrete	For: FIVE DOLLARS + NO CENTS	5-	
102	1.00 LS	Work Zone Traffic Control	For: FIVE HUNDRED TWENTY-FIVE THOUSAND DOLLARS + NO CENTS		37,500-
102D	96.00 DAY	Flashing Arrow Board	For: TWENTY-FIVE DOLLARS + NO CENTS	525,000	525,000-
102PMS	420.00 DAY	Portable Variable Message Sign	+ NO CENTS For: FIFTY DOLLARS + NO CENTS	25-	2,400-
111	1,860.00 SY	Removal and Replacement of Pavements	For: ONE HUNDRED FIFTY DOLLARS + NO CENTS	50-	21,000-
112	220.00 EACH	Adjusting Manholes	For: THREE HUNDRED DOLLARS + NO CENTS	150-	282,000-
114	248.00 EACH	Adjustment of Water Valve Box Elevation	For: FORTY-FIVE DOLLARS + NO CENTS	300-	66,000-
115	3,530.00 LF	Butt Joints	For: ONE DOLLAR + NO CENTS	45-	11,160-
116A	132,030.00 SY	Profiling and Removal of Asphalt Pavement	For: FOUR DOLLARS + SEVENTY-FIVE CENTS	1- KH	3,530-
132	73.00 EACH	Plowable Raised ReflectORIZED Pavement Markers	For: EIGHTY-SIX DOLLARS + NO CENTS	475 86-	627,142 50 6,278-
133A	9,830.00 LF	Cleaning and Resealing of Longitudinal Joints in Portland Cement Concrete Pavement	For: TWO DOLLARS + NO CENTS	2-	19,660-
133X	1.00 LS	Clean and Fill Joints and Cracks	For: ONE HUNDRED SEVEN THOUSAND TWO HUNDRED TWENTY DOLLARS + SEVENTY CENTS	70 107,212	107,212 70
134X	96.00 SHFT	Survey Stakeout	For: ONE HUNDRED DOLLARS + NO CENTS	100-	9,600-
137	21,000.00 LF	Remove Existing Traffic Markings	For: NO DOLLARS + EIGHTY-SIX CENTS	Contingent 0-	18,060-
138	300.00 SY	Asphalt Joint Repair	For: SIXTY DOLLARS + NO CENTS	60-	18,000-



Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: H6158762G

Item No	Engineers Estimate	Item Description	Unit Price Written in words	Unit Bid Price Dollars and Cents	Amount Bid Price Dollars and Cents
141B	159.00 EACH	Silt Protection For Surface Inlet Drainage Structures	For: Two Hundred Fifty Dollars & No Cents	250 <sup>-</sup>	39,500 <sup>-</sup>
141C	140.00 EACH	Silt Protection For Curb Inlet Drainage Structures	For: One Hundred Dollars & No Cents	100 <sup>-</sup>	14,000 <sup>-</sup>
199	1.00 LS	Interim Payments (Force)	For: One Hundred Eighty Thousand Dollars & No Cents	\$180,000.00	180,000 <sup>-</sup>
199AX	1.00 LS	Asphalt Price Adjustment (Force)	For: Fifty-Three Thousand Dollars & No Cents	\$53,000.00	53,000 <sup>-</sup>
368	2,802.00 SY	Topsoil and Grass Seed	For: Eighteen Dollars & No Cents	18 <sup>-</sup>	50,436 <sup>-</sup>
372A	6.00 EACH	TREE REMOVAL < 6" CAL	For: One Hundred Ninety-Five Dollars & No Cents	195 <sup>-</sup>	1,170 <sup>-</sup>
372B	4.00 EACH	Tree Removal - B - (6" - <12" Caliper)	For: Two Hundred Seventy Dollars & No Cents	270 <sup>-</sup>	1,080 <sup>-</sup>
372C	5.00 EACH	Tree Removal - C - (12" - <24" Caliper)	For: Seven Hundred Sixty Dollars & No Cents	760 <sup>-</sup>	3,800 <sup>-</sup>
372D	8.00 EACH	Tree Removal - D - (24" - <36" Caliper)	For: Twelve Hundred Seventy-Five Dollars & No Cents	1,275 <sup>-</sup>	10,200 <sup>-</sup>
374A	1.00 EACH	Stump Grinding - A - (4" - <6" Diameter)	For: Seventy-Five Dollars & No Cents	75 <sup>-</sup>	75 <sup>-</sup>
374B	3.00 EACH	Stump Grinding - B - (6" - <12" Diameter)	For: One Hundred Twenty Dollars & No Cents	120 <sup>-</sup>	360 <sup>-</sup>
374C	6.00 EACH	Stump Grinding - C - (12" - <24" Diameter)	For: Four Hundred Twenty Dollars & No Cents	420 <sup>-</sup>	2,520 <sup>-</sup>
374D	8.00 EACH	Stump Grinding - D - (24" - <36" Diameter)	For: Seven Hundred Fifteen Dollars & No Cents	715 <sup>-</sup>	5,720 <sup>-</sup>
4195-075	100.00 LP	Furnish and Install 3/4 inch Diameter Steel Conduit	For: Seventeen Dollars & Fifty Cents	17 <sup>50</sup>	1,750 <sup>-</sup>
420R	10.00 EACH	Regrade a Pullbox Frame and Cover	For: Three Hundred Dollars & No Cents	300 <sup>-</sup>	3,000 <sup>-</sup>
422L	4,264.00 LF	Furnish and Install Loop Wire	For: One Dollar & Seventy-Five Cents	1 <sup>75</sup>	7,497 <sup>-</sup>

Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: H6158762G

Item No	Engineers Estimate	Item Description	Unit Price Written in words	Unit Bid Price Dollars and Cents	Amount Bid Price Dollars and Cents
422LE	1,428.00 LF	Furnish and Install Good Saw Cut	For: TWENTY- ONE DOLLARS & NO CENTS	21-	29,988 -
422SHE	5.00 EACH	Adjust Traffic Magnetic Vehicle Detector	For: SIX HUNDRED SIXTY- FIVE DOLLARS & NO CENTS	665-	3,325 -
450	1.00 EACH	Furnish and Install Post Mounted Sign	For: FIVE HUNDRED TWENTY DOLLARS & NO CENTS	520-	520 -
685.0720 0110	85,900.00 LF	White Epoxy ReflectORIZED Pavement Stripes - 20 mils (Wet Night Visibility Spheres)	For: NO DOLLARS & SIXTY CENTS	0 <sup>60</sup> -	51,540 -
685.0720 0210	51.00 EA	White Epoxy ReflectORIZED Pavement Letters - 20 Mils (Wet Night Visibility Spheres)	For: ONE HUNDRED FIFTY- SIX DOLLARS & NO CENTS	156-	7,954 -
685.0720 0310	99.00 EACH	White Epoxy ReflectORIZED Pavement Symbols - 20 mils (Wet Night Visibility Spheres)	For: ONE HUNDRED EIGHTY- FIVE DOLLARS & NO CENTS	185-	18,315 -
685.0720 0410	5,112.00 LF	White Epoxy ReflectORIZED Pavement Stripes (Cross Hatching) - 20 mils (Wet Night Visibility Spheres)	For: ONE DOLLAR & FIFTY CENTS	1 <sup>50</sup> -	7,668 -
685.0720 0510	28,134.00 LF	WHITE EPOXY REFLECTORIZED PAVEMENT STRIPES (SPECIAL MARKINGS) 20 MILS THICK (WET NIGHT VISIBILITY SPHERES)	For: ONE DOLLAR & SEVENTY CENTS	1 <sup>70</sup> -	47,827 <sup>80</sup>
685.0720 0610	84,210.00 LF	Yellow Epoxy ReflectORIZED Pavement Stripes - 20 mils (Wet Night Visibility Spheres)	For: NO DOLLARS & FIFTY CENTS	0 <sup>50</sup> -	42,105 -
685.0720 0710	3,186.00 LF	YELLOW EPOXY REFLECTORIZED PAVEMENT STRIPES (CROSS HATCHING) 20 MILS	For: ONE DOLLAR & FIFTY CENTS	1 <sup>50</sup> -	4,779 -

Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: H6158762G

Item No	Engineers Estimate	Item Description	Unit Price Written in words	Unit Bid Price Dollars and Cents	Amount Bid Price Dollars and Cents
698.9394 0015	1.00 LS	THICK (WET NIGHT VISIBILITY SPHERES)  Incentive Payments / Disincentive Assessments for Work Subject to the Special Note "Incentive/Disincentive Clause" (Fixed)	For: ONE HUNDRED THOUSAND DOLLARS + NO CENTS	\$100,000.00	100,000 -

Total Bid in Numbers \$ 4,987,560<sup>00</sup>

Total Amount in Words FOUR MILLION, NINE HUNDRED EIGHTY-SEVEN THOUSAND, FIVE HUNDRED SIXTY DOLLARS + NO CENTS

# QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 7+
2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 20+

b. as a Subcontractor 20+

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

*See attached*

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

\$ 32,687,178 <sup>00</sup>	Road	41%	NYS DOT- 50 Wolf Rd, Albany, NY 12232
\$ 2,629,375 <sup>00</sup>	Road	90%	Town of Islip, Long Island MacArthur Airport
\$ 2,395,669 <sup>00</sup>	road	34%	100 Arrival Ave, Ronkonkoma, NY 11779
\$ 730,730 <sup>00</sup>	Road	98%	Town of Hempstead - 1 Washington St, Hempstead, NY 11550 NYS DOT- 50 Wolf Rd, Albany, NY 12232

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? No  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

**NO TEXT ON THIS PAGE**



c. ever been declared a non-responsible bidder by any municipality or public agency? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.



**NO TEXT ON THIS PAGE**

d. that has ever been barred from bidding municipal or public contracts? No

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State. N/A

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

N/A

10. In what other lines of business are you financially interested?

Rason materials

Scott materials

KPI Asphalt

**NO TEXT ON THIS PAGE**

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Keith Haney	managing member	20+	Heavy construction road + marine	All
Chris Haney	member	20+	Heavy construction road + marine	All
James K Haney	member	20+	Heavy construction road + marine	All

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	--	------------------	------------------

*See attached*

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

(use additional blank sheets if additional space is necessary)

**NO TEXT ON THIS PAGE**

## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

### EQUIPMENT SCHEDULE

Item No.	Description of Equipment	Limit
<u>1</u>	<u>2011 Komatsu PC228USLC-8</u>	<u>\$ 200,000</u>
	<u>Serial#: 50351</u>	
<u>2</u>	<u>2012 Komatsu PC490LC-10</u>	<u>\$ 369,000</u>
	<u>Serial#: A400081</u>	
<u>3</u>	<u>2012 Komatsu PC360LC-10</u>	<u>\$ 347,000</u>
	<u>Serial#: A32782</u>	
<u>4</u>	<u>2013 Komatsu PC228USLC-8</u>	<u>\$ 238,000</u>
	<u>Serial#: 51618</u>	
<u>5</u>	<u>2012 Komatsu PC78USLC-8</u>	<u>\$ 75,000</u>
	<u>Serial#: 18465</u>	
<u>6</u>	<u>Ice Hammer H126 &amp; Power Pak 570</u>	<u>\$ 30,000</u>
	<u>Serial#: 251226</u>	



## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

### EQUIPMENT SCHEDULE

Item No.	Description of Equipment	Limit
<u>7</u>	<u>Komatsu Payloader WA-380-7</u>	<u>\$ 250,000</u>
	<u>Serial#: A64173</u>	
<u>8</u>	<u>APE JM Robovib</u>	<u>\$ 159,000</u>
	<u>Serial#: 1030022</u>	
<u>9</u>	<u>2014 Komatsu Crawler D65WX-7</u>	<u>\$ 229,500</u>
	<u>Serial#: 1300</u>	
<u>10</u>	<u>Komatsu Wheel Loader NA500-6</u>	<u>\$ 219,000</u>
<u>11</u>	<u>Terex Crane</u>	<u>\$ 970,000</u>
<u>12</u>	<u>2014 Komatsu Payloader MHM400-3</u>	<u>\$ 350,000</u>
	<u>Serial#: S3062</u>	

## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

### EQUIPMENT SCHEDULE

Item No.	Description of Equipment	Limit
<u>13</u>	<u>Komatsu Wheel Loader WA3807-7</u>	<u>\$ 187,800</u>
	<u>Serial#: SA64274</u>	
<u>14</u>	<u>Komatsu Hydraulic Excavator</u>	<u>\$ 248,208</u>
	<u>Serial#: S1346</u>	
<u>15</u>	<u>Komatsu Hydraulic Excavator</u>	<u>\$ 266,293</u>
	<u>Serial#: SA10019</u>	
<u>16</u>	<u>Fambo Hydraulic Hammer</u>	<u>\$ 50,000</u>
<u>17</u>	<u>Komatsu HM400-3</u>	<u>\$ 289,000</u>
	<u>Serial#: 3057</u>	
<u>18</u>	<u>Komatsu HM400-3</u>	<u>\$ 399,000</u>
	<u>Serial#: 3619</u>	

## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

### EQUIPMENT SCHEDULE

Item No.	Description of Equipment	Limit
<u>19</u>	<u>Komatsu Wheel Loader Equipped with</u> <u>Rockland 8 cu yard Bucket</u>  <u>Serial#: A94378</u>	<u>\$ 469,426</u>
<u>20</u>	<u>Godwin Diesel Power Pack GHPU90</u>  <u>Serial#: NPS201405009</u>	<u>\$ 78,808</u>
<u>21</u>	<u>Godwin Hydraulic Tandem Pumpend</u> <u>Heidra 150HH</u>  <u>Serial#: 03326241</u>	<u>\$ 36,014</u>
<u>22</u>	<u>Komatsu Material Handler</u>  <u>Serial#: 30353</u>	<u>\$ 1,636,311</u>
<u>23</u>	<u>Komatsu Crawler Dozer</u>  <u>Serial#: 30861</u>	<u>\$ 209,000</u>
<u>24</u>	<u>Komatsu Material Handler</u>  <u>Serial#: 30356</u>	<u>\$ 1,486,011</u>

## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

### EQUIPMENT SCHEDULE

Item No.	Description of Equipment	Limit
<u>25</u>	<u>2014 Bld Well Paver w/2 Telescoping</u> <u>Workbrid</u>  <u>Serial#: SB36004852014</u>	\$ <u>75,000</u>
<u>26</u>	<u>2010 Sullair Air Compressor</u>  <u>Serial#: 201007090029</u>	\$ <u>16,921</u>
<u>27</u>	<u>Komatsu Wheel Loader</u>  <u>Serial#: A96115</u>	\$ <u>393,780</u>
<u>28</u>	<u>AST KDS710</u>  <u>Serial#: 154175</u>	\$ <u>129,000</u>
<u>29</u>	<u>125 Foot x 12 Foot Acrow Beam Bridge</u>  _____	\$ <u>175,000</u>
<u>30</u>	<u>Kubota 4 Seat Utility Vehicle</u>  _____	\$ <u>23,000</u>

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## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

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### EQUIPMENT SCHEDULE

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Item No.	Description of Equipment	Limit
<u>31</u>	<u>1965 Manitowoc Crane including 210 Boom</u>  <u>Serial#: 39649</u>	<u>\$ 100,000</u>
<u>32</u>	<u>1978 Lima Crane</u>  <u>Serial#: 709A120</u>	<u>\$ 40,000</u>
<u>33</u>	<u>2003 Caterpillar 262 Skid Steer</u>  <u>Serial#: CED02118</u>	<u>\$ 15,000</u>
<u>34</u>	<u>2008 Bobcat T300 High Flow</u>  <u>Serial#: 017183</u>	<u>\$ 40,000</u>
<u>35</u>	<u>1978 Lima 700 HC Crane Detroit</u>  <u>Serial#: 709A121</u>	<u>\$ 50,000</u>
<u>36</u>	<u>Vibratory Extractor HPSI</u>  <u>Serial#: 2310E2309P</u>	<u>\$ 60,000</u>

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## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

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### EQUIPMENT SCHEDULE

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Item No.	Description of Equipment	Limit
<u>37</u>	<u>Komatsu Hydraulic 2994</u>	\$ <u>60,000</u>
	<u>Serial#: K40040</u>	
<u>38</u>	<u>Arrow Board</u>	\$ <u>3,950</u>
	<u>Serial#: 0087AB12</u>	
<u>39</u>	<u>Arrow Board</u>	\$ <u>3,950</u>
	<u>Serial#: 0156AB12</u>	
<u>40</u>	<u>Arrow Board</u>	\$ <u>3,950</u>
	<u>Serial#: 0084AB12</u>	
<u>41</u>	<u>Arrow Board</u>	\$ <u>3,950</u>
	<u>Serial#: 0158AB12</u>	
<u>42</u>	<u>Arrow Board</u>	\$ <u>3,950</u>
	<u>Serial#: 0154AB12</u>	



## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

### EQUIPMENT SCHEDULE

Item No.	Description of Equipment	Limit
<u>43</u>	<u>McElroy Welder 6-18</u>	<u>\$ 56,000</u>
	<u>Serial#: C58356</u>	
<u>44</u>	<u>McElroy Welder 6-16</u>	<u>\$ 56,000</u>
	<u>Serial#: C54745</u>	
<u>45</u>	<u>2005 Komatsu 220</u>	<u>\$ 50,000</u>
	<u>Serial#: 40053</u>	
<u>46</u>	<u>Airman Compressor MPD5400</u>	<u>\$ 10,000</u>
<u>47</u>	<u>Airman Compressor MPD5185</u>	<u>\$ 10,000</u>
	<u>Serial#: B466C20254</u>	
<u>48</u>	<u>8000 Bevel Mill</u>	<u>\$ 2,395</u>

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## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

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### EQUIPMENT SCHEDULE

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Item No.	Description of Equipment	Limit
<u>49</u>	<u>Komatsu Hydraulic Excavator</u>	<u>\$ 35,000</u>
	<u>Serial#: A84516</u>	
<u>50</u>	<u>Topcon Receiver GPS GR-5 GNSS</u>	<u>\$ 19,250</u>
	<u>Serial#: 85120065</u>	
<u>51</u>	<u>Storage Container</u>	<u>\$ 6,000</u>
	<u>Serial#: SCC21180</u>	
<u>52</u>	<u>Storage Container</u>	<u>\$ 6,000</u>
	<u>Serial#: SCC24178</u>	
<u>53</u>	<u>Storage Container</u>	<u>\$ 6,000</u>
	<u>Serial#: SCC24184</u>	
<u>54</u>	<u>Storage Container</u>	<u>\$ 6,000</u>
	<u>Serial#: SCC3980</u>	

## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

### EQUIPMENT SCHEDULE

Item No.	Description of Equipment	Limit
<u>55</u>	<u>Storage Container</u>	\$ <u>6,000</u>
	<u>Serial#: SCC20213</u>	
<u>56</u>	<u>Storage Container</u>	\$ <u>6,000</u>
	<u>Serial#: SCC3484</u>	
<u>57</u>	<u>Storage Container</u>	\$ <u>6,000</u>
	<u>Serial#: SCC20808</u>	
<u>58</u>	<u>Storage Container</u>	\$ <u>6,000</u>
	<u>Serial#: SCC2592</u>	
<u>59</u>	<u>Storage Container</u>	\$ <u>6,000</u>
	<u>Serial#: SCC22622</u>	
<u>60</u>	<u>Storage Container</u>	\$ <u>6,000</u>
	<u>Serial#: SCC21245</u>	

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## EQUIPMENT SCHEDULE CONTRACTORS' EQUIPMENT

(The entries required to complete this schedule  
will be shown below or on the "schedule of coverages".)

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### EQUIPMENT SCHEDULE

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Item No.	Description of Equipment	Limit
<u>61</u>	<u>Storage Container</u>	<u>\$ 6,000</u>
	<u>Serial#: SCC21836</u>	
<u>62</u>	<u>Sonnebogen Material Handler</u>	<u>\$ 985,215</u>
	<u>Serial#: 850.0.30132</u>	
<u>63</u>	<u>Komatsu Hydraulic Excavator</u>	<u>\$ 517,000</u>
	<u>Serial#: A42278</u>	
<u>64</u>	<u>Komatsu Hydraulic Excavator</u>	<u>\$ 549,700</u>
	<u>Serial#: A45092</u>	
<u>65</u>	<u>Compaxt Truck Loader</u>	<u>\$ 58,500</u>
	<u>Serial#: 28063</u>	
		<u>\$ 12,404,882 Total</u>



THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

## POLICY CHANGE ENDORSEMENT

### Commercial Output Policy

ENDORSEMENT NUMBER: 6

POLICY NUMBER : MKLM6IM0053097	POLICY CHANGES EFFECTIVE DATE: March 31, 2019
NAMED INSURED: H&L Contracting LLC	COMPANY: MARKEL AMERICAN INSURANCE COMPANY

#### SCHEDULE OF COVERAGE CONTRACTORS' EQUIPMENT

It is hereby understood and agreed the following equipment is added to policy.

Description	Serial #	Limit:
Komatsu Wheel Loader M#WA470-4R	S#A49539	\$349,000.
Kubota M#RTV-XII740WL-H		\$ 24,000.

Attached to and forming part of Policy No. stated above

All other terms and conditions remain unchanged

Authorized Representative Signature



THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

## POLICY CHANGE ENDORSEMENT

### Commercial Output Policy

ENDORSEMENT NUMBER: 5

POLICY NUMBER : MKLM6IM0053097	POLICY CHANGES EFFECTIVE DATE: June 20, 2019
NAMED INSURED: H&L Contracting LLC	COMPANY: MARKEL AMERICAN INSURANCE COMPANY

#### SCHEDULE OF COVERAGE CONTRACTORS' EQUIPMENT

It is hereby understood and agreed the following equipment and loss payee is added to policy.

Description	Serial#	Limit:
2016 Milling Machine; Wirtgen; 2501 8'2"	S#0622-1018	\$452,270.
2016 Milling Machine; Wirtgen; 2501 12'2"	S#0622-1022	\$602,300.
2016 Milling Machine; Wirtgen; 2501 12'2"	S#0622-1023	\$602,300.

**We will pay "Loss" to you and:**

M&T Bank and its successors and assigns  
PO Box 1358  
Buffalo, NY 14240

In respects to: S#0622-1018, S#0622-1022, & S#0622-1023

Attached to and forming part of Policy No. stated above

All other terms and conditions remain unchanged

Authorized Representative Signature





THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

## POLICY CHANGE ENDORSEMENT

### Commercial Output Policy

ENDORSEMENT NUMBER: 4

POLICY NUMBER : MKLM6IM0053097	POLICY CHANGES EFFECTIVE DATE: May 13, 2019
NAMED INSURED: H&L Contracting LLC	COMPANY: MARKEL AMERICAN INSURANCE COMPANY

It is hereby understood and agreed the attached equipment is added to policy.

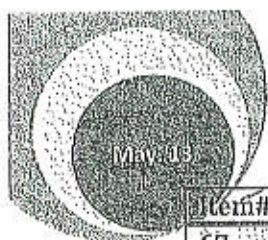
\*See attached\*

Attached to and forming part of Policy No. stated above

All other terms and conditions remain unchanged

A handwritten signature in black ink, appearing to read "Markel", written over a horizontal line.

Authorized Representative Signature



Item#	Description	Serial #	Limit
67	Sieve 8" Dia 2"(100mm) Mesh, Full Height, Brass Frame, Stainless Mesh	H-3920CS4.000	\$ 50.
68	Sieve 8" Dia 2"(50mm) Mesh, Full Height, Brass Frame, Stainless Mesh	H-3920CS2.000	\$ 50.
69	Sieve 8" Dia 3/4"(19.0mm) Mesh, Full Height, Brass Frame, Stainless Mesh	H-3920CS.750	\$ 50.
70	Sieve 8" Dia 1/4"(6.3mm) Mesh, Full Height, Brass Frame, Stainless Mesh	H-3920CS.250	\$ 50.
71	Sieve 8" Dia No.40(425) Full Height, Brass Frame, Stainless Mesh	H-3920FS40	\$ 53.
72	Sieve 8" Dia No.200(75) Full Height, Brass Frame, Stainless Mesh	H-3920FS200	\$ 70.
73	Sieve 12" Dia 1/4"(6.3mm) Mesh, Full Height, Brass Frame, Stainless Mesh	H-3912CS.250	\$ 85.
74	Sieve 12" Dia 2"(50mm) Mesh, Full Height, Brass Frame, Stainless Mesh	H-3912CS2.000	\$ 85.
75	Pan for 12" Dia Sieve, 2" Deep, Brass	H-3922P	\$ 39.
76	Motorized Sieve Shaker up to 7 No 12" Dia Sieves, 115V 60Hz	H-4330	\$1,010.
77	Mold Moisture Density, 4"	H-4141	\$ 97.
78	Clean-N-Stor, for 8" Sieves	H-3802	\$ 231.
79	Spoon, Stainless Steel, 13" Length	H-4974	\$ 6.
80	Spatula, 6"X7/8"	H-4906	\$ 14.
81	Straightedge, 8"	H-4144.8	\$ 22.
82	Balance, 60lb / 30kgx.005lb /2g	HB-4930	\$1,256.
83	Balance, Portable, Ohaus Navigator XL, 2200gx0.1g 120V 60Hz	HB-4974	\$ 365.
84	Field Stove, Dual Tubular Burner, 825W, 110V 60Hz	H-4949	\$ 160.
85	Standard Compaction Hammer 5.5lb	H-4160B	\$ 74.



THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

## POLICY CHANGE ENDORSEMENT

### Commercial Output Policy

ENDORSEMENT NUMBER: 3

POLICY NUMBER : MKLM6IM0053097	POLICY CHANGES EFFECTIVE DATE: April 8, 2019
NAMED INSURED: H&L Contracting LLC	COMPANY: MARKEL AMERICAN INSURANCE COMPANY

#### SCHEDULE OF COVERAGE CONTRACTORS' EQUIPMENT

It is hereby understood and agreed the following equipment is added to policy

Description	Serial #	Limit:
Komatsu Excavator M#PC360LCi-II	S#A35288	\$387,500.

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Attached to and forming part of Policy No. stated above

All other terms and conditions remain unchanged

Authorized Representative Signature

14. In what manner have you inspected this proposed work?  
Explain in detail.

Detailed field investigation including measurements  
and existing conditions analysis

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

Mobilize + Setup, begin sawcutting for pavement repairs.  
Begin repairs + casting replacements followed by pavement  
milling operations. Prep existing pavement for resurfacing and  
complete paving. Finally, install new signal loops + final  
line striping.

16. If a contract is awarded or a permit is issued, to your firm, who  
will have the personal supervision of the work? Attach resume.

Chris Haney - General Superintendent - see attached

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
Commercial G/L	Allied World	2,000,000/4,000,000	9/25/19 - 9/25/20
Auto	United States Fire	1,000,000	9/25/19 - 9/25/20
Workers Comp	Turn + Foster	1,000,000	9/25/19 - 9/25/20
Land Excess	Starr Indemnity	3,000,000	9/25/19 - 9/25/20
2nd Layer Excess	Navigators	2,000,000/3,000,000	9/25/19 - 9/25/20
Land Pollution	Ironshore	3,000,000/3,000,000	9/25/19 - 9/25/20
Contractors Equip	Markel	1,300,000	9/25/19 - 9/25/20
Disability	Standard Security		9/15/14 - 9/15/20



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CHRIS HANEY  
9 Canterbury Lane  
Nesconset, NY 11767  
(516) 315-7645

**EDUCATION:**

- INTERNATIONAL UNION of OPERATING ENGINEERS Local 138

**WORK EXPERIENCE:**

2005-PRESENT

GENERAL SUPERINTENDENT – VILLAGE DOCK CONTRACTING INC., PORT JEFFERSON, NY 11777

- NYSDOT - HECKSCHER STATE PARKWAY BRIDGE REHABILITATION (COMPLETE BRIDGE RE-HAB)
- NYSDOT-1-495 BRIDGE REHABILITATION (STEEL REPAIR & BEARING REPLACEMENT)
- NYSDOT-BRIDGE PARAPET REPAIRS (2 SEPARATE PROJECTS)
- VARIOUS NYSDOT GUIDERAIL & FENCE PROJECTS
- US ARMY CORPS OF ENGINEERS—VARIOUS DREDGING PROJECTS (HYDRAULIC & MECHANICAL DREDGING)
- L.I.R.R.- AMOTT CULVERT PROJECT (JACKING 72" PIPE UNDER LIVE RR TRACKS, VARIOUS DRAINAGE)
- M.T.A.—SECURITY UPGRADES (HEAVY DUTY GATES & SECURITY FENCING)
- SUFFOLK COUNTY D.P.W.-VARIOUS BRIDGE REHABILITATION PROJECTS (STEEL REPAIR/DECK REPAIR)
- SUFFOLK COUNTY D.P.W.—REHABILITATION OF SHINNECOCK CANAL LOCKS
- NYS OFFICE OF GOV'T SERVICES-CONSTRUCTION OF SUNKEN MEADOW BRIDGE
- NYS OFFICE OF GOV'T SERVICES-JONES BEACH PIER & DECK REPAIRS (SANDY)

1996-2005

ASPHALT SUPERINTENDENT – NEWBORN CONSTRUCTION, INC., CENTER MORICHES, NY 11934

- NYSDOT 1-495 (LONG ISLAND EXPRESSWAY) MILL & RE-PAVE PROJECT  
CONTRACT VALUE \$25,000,000
- NYSDOT RTE 25 MILL & RE-PAVE PROJECT  
CONTRACT VALUE \$7,000,000
- NYSDOT CROSS ISLAND PARKWAY PAVING PROJECT  
CONTRACT VALUE \$8,000,000
- TOWN OF ISLIP MACARTHUR AIRPORT RUNWAY REHABILITATION  
CONTRACT VALUE \$2,000,000

TRAFFIC CONTROL SUPERVISOR

- NYSDOT I-495 SERVICE ROAD CONSTRUCTION YAPHANK  
CONTRACT VALUE \$26,000,000

PROJECT SUPERINTENDENT

- NYSDOT-REHABILITATION OF 7 BRIDGES  
CONTRACT VALUE \$8,000,000
- NYSDOT-REHABILITATION OF 3 BRIDGES  
CONTRACT VALUE \$4,000,000
- US ARMY CORPS OF ENGINEERS -DREDGING OF SHREWSBURY INLET  
CONTRACT VALUE \$12,000,000

GENERAL FOREMAN

- VARIOUS NYSDOT PROJECTS OF ROAD CONSTRUCTION, DRAINAGE, SIGN STRUCTURES, GUIDERAIL AND FENCING



**LICENSES/CERTIFICATIONS:**

- OSHA 10 HOUR CONSTRUCTION SAFETY
- L.I.R.R. ROAD WORKER PROTECTION
- MTA/N.Y.C.T. TRACK SAFETY TRAINING
- LEAD PAINT AWARENESS
- COMPETENT PERSON – DEMOLITION & EXCAVATION
- COMPETENT PERSON – ROAD CONSTRUCTION & DRAINAGE INSTALLATION
- COMPETENT PERSON – FENCE & GUIDERAIL
- COMPETENT PERSON – PILE DRIVING & BULKHEAD INSTALLATION
- COMPETENT PERSON – DREDGING & MARINE WORK
- QUALIFIED RIGGER

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

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NOTICE OF AWARD

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NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

\_\_\_\_\_  
That he resides at \_\_\_\_\_ Being duly sworn, deposes and says:  
in the City of \_\_\_\_\_ that he is the \_\_\_\_\_ Street,  
of \_\_\_\_\_

the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he signed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true. Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

\_\_\_\_\_  
Notary

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

Kerth Honey  
\_\_\_\_\_  
That he is a member of H-L Contracting LLC Being duly sworn, deposes and says:  
the firm described in and which executed the foregoing bid; that he duly subscribed the name of the firm hereunto on behalf of the firm; and that the several matters therein stated are in all respects true. Subscribed and sworn to before me  
this 18<sup>th</sup> day of April, 2020.

DEBORAH RYDER  
Notary Public, State of New York  
No. 01RY6258036  
Qualified in Suffolk County  
Commission Expires March 19, 2024

Deborah Ryder  
\_\_\_\_\_  
Notary

(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

\_\_\_\_\_  
That he is the person described in and who executed the foregoing bid and that the several matters therein stated are in all respects true. Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

\_\_\_\_\_  
Notary



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U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
Lower Tier Covered Transactions  
(Sub-Recipient)**


This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

KEITH HANEY 6/29/20  
Name and Title of Authorized Representative m/d/yy

 6/29/20  
Signature Date

H \* L CONTRACTING LLC  
Name of Organization

38 HOMAN AVE, BAYSHORE NY 11706  
Address of Organization

### Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

**NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS**

**CONSULTANT/ CONTRACTOR DETAILED MBE/WBE UTILIZATION PLAN**

**Part 1- General Information:**

Consultant/Contractor Name: H&L CONTRACTING LLC
Address (street/city/state/zip code): 140 ADAMS AVENUE, SUITE B14
Authorized Representative (name/title): KEN ANGERMAN PE / ESTIMATOR
Authorized Signature: <i>Ken Angerman</i>
Contract Number: H61587-62G
Contract/Project Name: RESURFACING OF VARIOUS COUNTY ROADS – PHASE 62
Contract/Project Description: PHASE 62 RESURFACING

**Part 2- Projected MBE/WBE Contract Summary:**

	Amount (\$)		Percentage (%)
Total Dollar Value of the Prime Contract	\$4,987,560.00		
Total MBE Dollar Amount	\$794,786.00	MBE Contract Percentage	15.94%
Total WBE Dollar Amount	\$37,500.00	WBE Contract Percentage	0.75%
Total Combined M/WBE Dollar Amount	\$832,286.00	Combined M/WBE Contract Percentage	16.69%

**Part 3- MBE Information (use additional blank sheets as necessary):**

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount(\$) and Award Date	MBE Contract Scheduled Start Date and Completion Date
Name: VALENTE CONTRACTING CORP.  Address: 77 JACKSON AVENUE  City: MINEOLA  State/Zip Code: NY 11501  Authorized Representative: JOHN VALENTE  Telephone No. 516-746-7933	CONCRETE CURB, SIDEWALK, DRIVEWAYS, RESET PULLBOXES, CONCRETE SAWCUTTING	Amount (\$): \$794,786.00  <hr/>  Award Date:  <hr/>	Start Date:  <hr/>  Completion Date:  <hr/>
Name:  Address:  City:  State/Zip Code:  Authorized Representative:  Telephone No.		Amount (\$):  <hr/>  Award Date:  <hr/>	Start Date:  <hr/>  Completion Date:  <hr/>
Name:  Address:  City:  State/Zip Code:  Authorized Representative:		Amount (\$):  <hr/>  Award Date:  <hr/>	Start Date:  <hr/>  Completion Date:  <hr/>

Telephone No.			
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**Part 4- WBE Information (use additional blank sheets as necessary):**

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount(\$) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: ISLAND PAVEMENT CUTTING CO INC Address: 84 KEAN STREET City: WEST BABYLON State/Zip Code: NY 11704 Authorized Representative: MARISSA ONEIL Telephone No. 631-567-2711	ITEM 111, SAWCUTTING ROADWAY PAVEMENT ONLY	Amount (\$): \$37,500 <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>



Name:		Amount (\$):	Start Date:
Address:			
City:			
State/Zip Code:		Award Date:	Completion Date:
Authorized Representative:			
Telephone No.			