



Certified:

B-29-20

Filed with the Clerk of the
Nassau County Legislature
September 25, 2020 4:21pm

NIFS ID: Department: Public Works

Capital: X

SERVICE: CCWPCP Digester Gas Handling S35100-03GR-B29-20

Contract ID #:S35100-03GR

NIFS Entry Date:

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	N
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Material Adverse Information Identified? (if yes, attach memo):	N
5) Insurance Required	N

Vendor Info:	
Name: R.J. Industries, Inc.	Vendor ID#: [REDACTED]
Address: 75 East Bethpage Road Plainview, NY, 11803	Contact Person: [REDACTED] [REDACTED]
	Phone: [REDACTED]

Department:
Contact Name: Karen Fay, Sanitary Engineer
Address: CCWPCP 3340 Merrick Rd Wantagh NY 11973 Phone: (516) 571-7534

Routing Slip

Department	NIFS Entry: X	22-JUL-20 -- LDIONISIO
Department	NIFS Approval: X	22-JUL-20 -- KARNOLD
DPW	Capital Fund Approved: X	22-JUL-20 -- KARNOLD
OMB	NIFA Approval: X	13-SEP-20 -- CNOLAN
OMB	NIFS Approval: X	22-JUL-20 -- NGUMIENIAK
County Atty.	Insurance Verification: X	23-JUL-20 -- NSARANDIS
County Atty.	Approval to Form: X	23-JUL-20 -- NSARANDIS
CPO	Approval: X	18-SEP-20 -- KOHAGENCE

DCEC	Approval: X	21-SEP-20 -- JCHIARA
Dep. CE	Approval: X	21-SEP-20 -- BSCHNEIDER
Leg. Affairs	Approval/Review: X	25-SEP-20 -- JSCHANTZ
Legislature	Approval:	
Comptroller	Deputy:	
NIFA	NIFA Approval:	

Contract Summary

Purpose: This is a contract to assess and repair the gas sphere, furnish & install gas handling equipment at the Cedar Creek WPCP. The vendor will also evaluate the conditions of the gas sphere at the Bay Park STP.
Method of Procurement: This contract was publicly bid in accordance with General Municipal Law 103. A request for sealed bids was published in Newsday on 11/8/17. A total of two bids were received on 12/12/17. W.H.M. Plumbing & Heating Contractors, Inc. (WHM) was the lowest bidder, however, WHM withdrew their bid and Nassau County released them from any contractual obligations. Therefore, R.J. Industries, Inc. was deemed to be the lowest responsible bidder
Procurement History: This project was advertised in Newsday on November 8, 2017 and bids were opened on December 12, 2017. The lowest responsible bidder, R.J. Industries, Inc. has provided the County with a bid proposal which meets the Department's requirements.
Description of General Provisions: The General Construction contract S35100-03GR is for improvements to the digester gas handling system. The principal features of work consist of isolating and purging digester gas piping and the gas sphere, installation of new digester gas filtering and drying equipment (gas dryer), installation of improvements to digester gas piping, cleaning and disposing of sediment from the interior of the digester gas sphere, and a detailed interior inspection of the gas sphere at the Cedar Creek Water Pollution Control Plant (WPCP)
Impact on Funding / Price Analysis: Maximum amount is \$3,927,700.00. Adequate funds for this contract are available in Capital Project No. 35100.
Change in Contract from Prior Procurement: NA
Recommendation: (approve as submitted) The Department recommends this contract be approved as submitted.

Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	PWCSW	Revenue		1	PWCSWC/SW/3510	\$ 3,927,700.00
Control:	35	Contract:			0/003/00004	\$ 0.00
Resp:	100	County	\$ 0.00			\$ 0.00
Object:	00004	Federal	\$ 0.00			\$ 0.00
Transaction:	CH	State	\$ 0.00			\$ 0.00
Project #:	35100	Capital	\$ 3,927,700.00			\$ 0.00
Detail:	003	Other	\$ 0.00			\$ 0.00
RENEWAL		TOTAL	\$ 3,927,700.00		TOTAL	\$ 3,927,700.00
% Increase						

% Decrease			
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RULES RESOLUTION NO. -2020

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND R.J. INDUSTRIES, INC..

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS [“Department”] has received competitive bids for contract S35100-03GR, for CCWPCP IMPROVEMENTS TO DIGESTER GAS STORAGE SPHERES & APPURTENANCES, NASSAU COUNTY, NY [“Contract”], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of R.J. INDUSTRIES, INC.

[“Vendor”] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 3,927,700.00, now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.



Contract Approval Request Form (As of January 1, 2015)

1. **Vendor:** R.J. Industries, Inc.

2. **Dollar amount requiring NIFA approval:** \$3927700

Amount to be encumbered: \$3927700

This is a New

If new contract - \$ amount should be full amount of contract

If advisement – NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. **Contract Term: The term shall commence with written Notice to Proceed, and conclude twelve months thereafter.**

Has work or services on this contract commenced? N ____

If yes, please explain:

4. **Funding Source:**

General Fund (GEN)	Grant Fund (GRT)	
X Capital Improvement Fund (CAP)		Federal % 0
Other		State % 0
		County % 0

Is the cash available for the full amount of the contract? N

If not, will it require a future borrowing? Y

Has the County Legislature approved the borrowing? Y

Has NIFA approved the borrowing for this contract? N

5. **Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:**

To assess and repair the gas sphere, furnish & install gas handling equipment at the Cedar Creek WPCP. Also, evaluate the condition of the gas sphere at the Bay Park STP.

6. **Has the item requested herein followed all proper procedures and thereby approved by the:**

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. **Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:**

Contract ID	Date	Amount

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

13-SEP-20

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

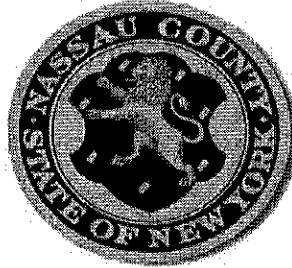
Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

Jack Schnirman
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

**COMPTROLLER APPROVAL FORM FOR PERSONAL,
PROFESSIONAL OR HUMAN SERVICES CONTRACTS**

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: R.J. Industries, Inc.

CONTRACTOR ADDRESS: 75 East Bethpage Rd, Plainview, NY 11803

FEDERAL TAX ID #: 11-3401288

Instructions: Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☑ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in Newsday [newspaper] on November 8, 2017 [date]. The sealed bids were publicly opened on December 12, 2017 [date]. Two (2) [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

_____, [describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☒ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41, 1987-1 C.B. 296*, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.



Department Head Signature

6/25/10

Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, William J Doremus state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Disclosures

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.

Name of Submitting Entity: R.J. Industries, Inc.

Vendor's Address: 75 East Bethpage Road Plainview NY US 11803

Vendor's EIN or TIN: 11-3401288

Forms Submitted: _____

Political Campaign Contribution Disclosure Form:
09/17/2020 12:00:29 PM

Lobbyist Registration and Disclosure Form:
09/11/2020 12:12:00 PM

Business History Form certified:
08/26/2020 04:43:57 PM

Consultant's, Contractor's, and Vendor's Disclosure Form:
09/24/2020 04:36:47 PM

Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

Principal Name	Date Certified
William J. Doremus [BJD@RJII.NET]	08/26/2020 04:10:58 PM
John Doremus [JDOREMUS@RJII.NET]	08/26/2020 04:23:00 PM
John Koziarz [JKOZIARZ@RJII.NET]	08/27/2020 11:18:46 AM
Richard O. Felicetta [RFELICETTA@RJII.NET]	08/26/2020 04:20:21 PM

I, William J Doremus hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

William J. Doremus

Name

Secretary-Treasurer

Title

R.J. Industries, Inc.

Name of Submitting Entity

09/24/2020 04:41:10 PM

Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 08/26/2020

1) Proposer's Legal Name: R.J. Industries, Inc.

2) Address of Place of Business: 75 East Bethpage Road

City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803

Country: US

3) Mailing Address (if different): _____

City: _____ State/Province/Territory: _____ Zip/Postal Code: _____

Country: _____

Phone: _____

Does the business own or rent its facilities? Own If other, please provide details:

4) Dun and Bradstreet number: none

5) Federal I.D. Number: 11-3401288

6) The proposer is a: Corporation (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?

YES ☐ NO ☒ If yes, please provide details:

8) Does this business control one or more other businesses?

YES ☒ NO ☐ If yes, please provide details:

RJ East Bethpage Road, LLC (owns the real estate at 75 East Bethpage Road; Plainview, NY 11803, which is the home office location of R.J. Industries, Inc.). R.J. Industries, Inc. is the sole owner of this business.

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

YES ☒ NO ☐ If yes, please provide details:

R.J.I. Mechanical, Inc. is an affiliate of R.J. Industries, Inc. R.J.I. Mechanical, Inc. is 51% owned by John E. Koziarz, who is a minority owner and Vice President of R.J. Industries, Inc.

- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?

YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).

- 11) Has the proposer, during the past seven years, been declared bankrupt?

YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets

- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17 Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

- b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

We would be pleased to assist Nassau County's efforts to eliminate potential conflict of interest, or any appearance of a conflict of interest.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

- i) Date of formation;

09/02/1997

- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

Richard O. Felicetta, President; 12 Allenby Drive, Ft. Salonga, NY 11768
John C. Doremus, Vice President; 17 Melbourne Road, Great Neck, NY 11201
John E. Koziarz, Vice President; 15 Warwick Road, Rockville Centre, NY 11570
William J. Doremus, Secretary-Treasurer; Northport, NY 11768

No individuals with a financial interest in the company have been attached..

- iii) Name, address and position of all officers and directors of the company. If none, explain.

Richard O. Felicetta, President; 12 Allenby Drive, Ft. Salonga, NY 11768
John C. Doremus, Vice President; 17 Melbourne Road, Great Neck, NY 11201
John E. Koziarz, Vice President; 15 Warwick Road, Rockville Centre, NY 11570
William J. Doremus, Secretary-Treasurer; Northport, NY 11768

No officers and directors from this company have been attached.

- iv) State of incorporation (if applicable);

NY

- v) The number of employees in the firm;

53

- vi) Annual revenue of firm;

59697267

- vii) Summary of relevant accomplishments

see attached

viii) Copies of all state and local licenses and permits.

B. Indicate number of years in business.

22

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

see attached list of projects

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company	Suffolk County, Department of Public Works		
Contact Person	Janice McGovern, PE		
Address	335 Yaphank Avenue		
City	Yaphank	State/Province/Territory	NY
Country	US		
Telephone	(631) 852-4188		
Fax #			
E-Mail Address	janice.mcgovern@suffolkcountyny.gov		

Company	H2M Group		
Contact Person	Chris Weiss		
Address	538 Broadhollow Road		
City	Melville	State/Province/Territory	NY
Country	US		
Telephone	(631) 756-8000		
Fax #			
E-Mail Address	cweiss@h2m.com		

Company	D&B Engineers & Architects		
Contact Person	Joseph Marturano		
Address	330 Crossways Park Drive		
City	Woodbury	State/Province/Territory	NY
Country	US		
Telephone	(516) 364-9890		
Fax #			
E-Mail Address	jmarturano@db-eng.com		

I, William J Doremus , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, William J Doremus , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

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Name of submitting business: R.J. Industries, Inc.

Electronically signed and certified at the date and time indicated by:
William J Doremus [BJD@RJII.NET]

Secretary-Treasurer
Title

08/26/2020 04:43:57 PM
Date

R.J. Industries, Inc.

Prime Contracts In Progress

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Cedar Creek WPCP Effluent Screening & Disinfection Facility Improv. (Contract S3C067-03G)	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$11,197,700	March-20	95%
Bay Park Sewage Treatment Plant Level 1 - Biological Nutrient Removal (BNR) Improvements (Contract S35123-L39G)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$18,947,700	March-20	88%
Bay Park Sewage Treatment Plant Engine Generator Facility (Contract S35121-16A)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$2,977,700	March-20	99%
Calverton Sewer District Sewage Treatment Plant Upgrade Contract G	Town of Riverhead	Michael Reichel reichel@townofriverheadny.gov 631-727-3069	\$5,793,700	March-21	11%
<u>BSRJ A T/V</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$97,447,200	June-20	97%
<u>RJ / Scalamandre, JV</u>					
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$15,677,700	June-20	86%
<u>RJ / Martin, JV</u>					
Coney Island WWTP Level 1 Step Feed BNR Upgrade (Contract: SF-BNR-CI)	NYC DEP	Stanley Joseph 718-595-7800	\$46,874,100	March-22	38%

as of December 31, 2019

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	2019
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	2017
Car Washer Repairs at 239th Street Yard, Concourse Yard and Pelham Yard (Bronx) Contract No C-34913	MTA - New York City Transit	Demitrios Milonas 646-252-4127	\$10,733,360	2021
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visone 516-571-7359	\$7,647,700	2017
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Joe Davenport jdavenport@nassaucountyny.gov 516-571-7515	\$16,187,700	2017
Bay Park Sewage Treatment Plant Electrical DistribSystem Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a T/V)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$28,956,674	2016
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improveemebts to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$24,767,700	2016

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$3,937,700	2016
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3)	County of Suffolk	John Berchtold	\$2,347,860	2013

As of December 31, 2019

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u> 631-852-4189	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Screening Room Odor Control General Construction & HVAC Contracts				
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection System & Related Infrastructure Repairs	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$2,701,537	2013
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartillucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading Rive CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW Ken Arnold 516-571-9604	\$3,647,700	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011
Cedar Creek WPCP Install New Gravity Belt Thickener	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bletsch H2M Group 631-756-8000	\$929,800	2010
Great Neck Water District Concrete Clearwell - Weybridge Road General Construction	Great Neck Water Dist.	Dvirka & Bartiluci Bill Merklin 516-595-4845	\$648,000	2010

As of December 31, 2019

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
SUNY - Maritime Replace Fuel Oil Tanks (Ft. Schuyler)	State University Construction Fund	Richard Brown 518-320-3204	\$1,998,050	2010
Sea Cliff Install 600,000 Gallon Elevated Tank	Aqua New York Matthew Snyder	Caldwell Tank, Inc (GC) Jenny Ferguson 502-964-3361	\$288,000	2009
Carle Place Water District Pump Sta. 4 Treatment Improvements & Building Rehab	Carle Place Water Dist.	Sidney Bowne Joseph Todaro, PE 516-746-2350	\$164,830	2009
Huntington WWTP Install Ultraviolet (UV) Disinfection System	Town of Huntington	H2M Group Steve Hearl 631-756-8000	\$568,800	2008
Port Jefferson WWTP Plant Reconstruction (General & Mechanical Contract)	Suffolk County DPW	H2M Group Frank Russo 631-756-8000	\$18,434,000	2008
Huntington Sewer District Treatment Plant Upgrade	Town of Huntington	H2M Group Chris Weiss 631-756-8000	\$13,298,460	2008
Keyspan - Barrett Bridge Install Piles and Foundation	Keyspan Energy	Jim Walsh 516-545-6277	\$643,348	2007
Westbury Water District Pump Modifications to Well #16 - General Construction Contract	Westbury Water District	Dvirka & Bartilucci William Merklin 516-364-9880	\$239,640	2006
Oyster Bay Pump Station Install Nitrogen Removal Facility	Oyster Bay Sewer District	Steven Hearle, PE H2M Group 631-756-8000	\$7,332,500	2006

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Port Washington UFSD Daly Elementary School 9 (GC)	Port Washington UFSD	Turner Construction John Trommel 516-767-0446	\$6,364,150	2005
Port Washington UFSD Weber Middle School (GC & Mechanical)	Port Washington UFSD	Turner Construction John Trommel 516-767-0446	\$9,859,900	2004
Rikers Island EMS Tank Replacements	NYC - Dept. Design and Construction	LiRo Engineering Michael Benedetti 516-938-5476	\$543,089	2004
Port Washington UFSD Guggenheim & Manorhaven Schools (Alterations)	Port Washington UFSD	Turner Construction John Trommel 516-767-0446	\$1,425,140	2003
Port Washington - Newlist Avenue Install Carbon Adsorption Sys	Port Washington Water Authority	Dvirka & Bartilucci Bill Merklin 516-364-9892	\$774,623	2003
Westbury Water District Construct Well (#9) Facility	Westbury Water District	Dvirka & Bartilucci Bill Merklin 516-595-4845	\$579,400	2003
Various NYC Bus Depots Air Compressor Replacements	Metropolitan Transportation Authority (MTA)	NYCTA John Goonan 646-252-4119	\$677,565	2002
Upgrade Petroleum Product Storage (C-33000) - Various NYC Locals	Metropolitan Transportation Authority (MTA)	NYCTA Joe Trainor 646-252-3467	\$4,805,450	2001



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☒ NO ☐ If yes, to what campaign committee?

In 2020: Richard Felicetta (President) contributed \$1,000 to Laura Curran and \$5,000 to Jack Schnirman and John Doremus (VP) contributed \$5,000 to Jack Schnirman
In 2019: Vendor contributed \$7,000 to Laura Gillen and \$250 to Klacik for Congress
In 2018: the vendor contributed \$1,000 to (Laura) Curran for Nassau County and \$8,000 to Laura Gillen. The President (Richard O. Felicetta) contributed \$1,000 to Curran for Nassau County and VP (John C. Doremus) contributed \$1,000 to Curran for Nassau County.

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:
William J. Doremus [BJD@RJII.NET]

Dated: 09/17/2020 12:00:29 PM

Vendor: R.J. Industries, Inc.

Title: Secretary-Treasurer



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

R.J. Industries, Inc. does not participate in any lobbying activities. None of it's officers are involved in lobbying.

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

Not applicable (see response to question #1).

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

Not applicable (see response to question #1).

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See the last page for a complete description of lobbying activities.

Not applicable (see response to question #1).

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

Not applicable (see response to question #1).

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby. separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee? If none, you must so state:

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:
William J Doremus [BJD@RJII.NET]

Dated: 09/11/2020 12:12:00 PM

Vendor: R.J. Industries, Inc.

Title: Secretary-Treasurer

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: John E. Koziarz
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: [REDACTED]

Business Address: 75 East Bethpage Road
City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803
Country: US
Telephone: (516) 779-5146

Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	<u>01/01/2008</u>
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>01/01/2008</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

5% ownership stake

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

President and shareholder of R.J.I. Mechanical, Inc, which is an affiliate of R.J. Industries, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, John Koziarz , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, John Koziarz , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

R J Industries, Inc

Name of submitting business

Electronically signed and certified at the date and time indicated by:

John Koziarz [JKOZIARZ@RJII.NET]

Vice President

Title

08/27/2020 11:18:46 AM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: William J. Doremus
Date of birth:
Home address:
City: State/Province/Territory: Zip/Postal Code:
Country:
- Business Address: 75 East Bethpage Road
City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803
Country: US
Telephone: (516) 315-9108
- Other present address(es):
City: State/Province/Territory: Zip/Postal Code:
Country:
Telephone:

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	Treasurer	01/01/2008
Chairman of Board	Shareholder	01/01/2008
Chief Exec. Officer	Secretary	01/01/2008
Chief Financial Officer	Partner	
Vice President		
(Other)		

3. Do you have an equity interest in the business submitting the questionnaire?

YES	X	NO		If Yes, provide details.
-----	---	----	--	--------------------------

5% ownership stake

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

William J Doremus has an outstanding loan balance of \$3,000 as of 8/21/2020

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Secretary and Treasurer of R.J.I. Mechanical, Inc, which is an affiliate of R.J. Industries, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, William J. Doremus , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, William J. Doremus , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

R.J. Industries, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

William J. Doremus [BJD@RJII.NET]

Secretary-Treasurer

Title

08/26/2020 04:10:58 PM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: John C. Doremus
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: [REDACTED]

Business Address: 75 East Bethpage Road
City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803
Country: US
Telephone: (516) 805-7816

Other present address(es):
City: Plainview State/Province/Territory: Zip/Postal Code: 11803
Country: US
Telephone: 5168057816

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President		Treasurer	
Chairman of Board		Shareholder	09/02/1997
Chief Exec. Officer		Secretary	
Chief Financial Officer		Partner	
Vice President	09/02/1997		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

45% ownership stake

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Vice President and shareholder of R.J.I. Mechanical, Inc, which is an affiliate of R.J. Industries, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, John Doremus , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, John Doremus , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

R.J. Industries, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

John Doremus [JDOREMUS@RJII.NET]

Vice President

Title

08/26/2020 04:23:00 PM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Richard O. Felicetta
Date of birth:
Home address:
City: State/Province/Territory: Zip/Postal Code:
Country:

Business Address: 75 East Bethpage Road
City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803
Country: US
Telephone: (516) 805-7998

Other present address(es):
City: State/Province/Territory: Zip/Postal Code:
Country:
Telephone:

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u>09/02/1997</u>	Treasurer	<u> </u>
Chairman of Board	<u> </u>	Shareholder	<u>09/02/1997</u>
Chief Exec. Officer	<u> </u>	Secretary	<u> </u>
Chief Financial Officer	<u> </u>	Partner	<u> </u>
Vice President	<u> </u>		
(Other)	<u> </u>		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

45% Ownership stake in R.J. Industries, Inc.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Vice President and shareholder in R.J.I. Mechanical, Inc., which is an affiliate of R.J. Industries, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Richard O. Felicetta , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Richard O. Felicetta , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

R.J. Industries, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Richard O. Felicetta [RFELICETTA@RJII.NET]

President

Title

08/26/2020 04:20:21 PM

Date

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: R.J. Industries, Inc

Address: 75 East Bethpage Road

City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803

Country: US

2. Entity's Vendor Identification Number: 11-3401288

3. Type of Business: Closely Held Corp (specify) _____

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

1 File(s) uploaded RJ Industries.doc

No principals have been attached to this form.

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

Richard O. Felicetta, President;	
John C. Doremus, Vice President;	
John E. Koziarz, Vice President;	
William J. Doremus, Secretary-Treasurer;	

4 File(s) uploaded RJ Industries.doc, RJ Industries.doc, RJ Industries.doc, RJ Industries.doc

No shareholders, members, or partners have been attached to this form.

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

R.J.I. Mechanical, Inc. is an affiliate of R.J. Industries, Inc.. At the present, we are not planning to use R.J.I. Mechanical, Inc. to perform on any Nassau County DPW contracts.

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES ☐ NO ☒

(a) Name, title, business address and telephone number of lobbyist(s): _____

None

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

None (no lobbying activity).

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None (no lobbying activity).

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

William J Doremus [BJD@RJII.NET]

Dated: 09/24/2020 04:36:47 PM

Title: Secretary-Treasurer

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

RJ Industries, Inc.
11-3401288
New York State S-Corporation

Officers / Principals

Richard O. Felicetta: President,
John Doremus: Vice President,
John Koziarz: Vice President –
William Doremus: Secretary & Treasurer,

Safety/Risk Management/Engineering

Richard A. Felicetta – Field Risk Manager
Bob Sevier – Engineering/Drafting
Irto Kim – Field Engineering/Survey/Drafting

Project Management / Supervision / MWBE Procurement

Keith Olsen – Sr. Project Manager (Purchasing & Subcontract Management)
Joshua Rogers – Project Manager
Nicholas Felicetta – Project Manager
Maram Alam – Project Manager
Thomas Fallon – Project Manager
Joseph Felicetta – Supervisor
Todd Melzer – Supervisor
Tony Etersque – Supervisor (Mechanical)
Simone Washington – MWBE Officer

Main Office

Maryanne Hilton – Controller
Evelyn Dellaratta- Office Manager
Lynn Saccone – Purchasing Assistant
Anthony Dellaratta – Contract Administration & Staff Assistant to the Controller

Union Staff includes Engineers, Carpenters, Plumbers, Steamfitters, Laborers

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Office of the County Executive
Att: Brian J. Schneider, Deputy County Executive

FROM: Department of Public Works

DATE: January 10, 2019

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: S35100-03GR
Title: Cedar Creek Water Pollution Control Plant
Improvements to Digester Gas Handling
Engineer's Estimate: \$3,366,612
Bids Received On: December 12, 2017


The bids received for the above referenced contract have been examined and RJ Industries is the lowest responsible bidder in the amount as follows:

General Construction (Lump Sum Base Bid):	\$ 3,405,900.00
Improvements to Gas Sphere Exterior & Piping:	\$ 200,000.00
Sediment Removal & Disposal from Gas Sphere Interior:	\$ 18,000.00
Additional Cost for Sediment Removal & Disposal (if Hazardous):	\$ 28,800.00
Allowance (Miscellaneous Additional Work):	\$ 250,000.00
Allowance (Construction House Improvements):	<u>\$ 25,000.00</u>
Bid Total:	\$ 3,927,700.00

The lowest responsible bid is 16.7% over the Engineer's Estimate. Two (2) bids were received; however, one Contractor withdrew their bid due to a math error associated with bid Item No. 2.

Funding for the Construction Services is available under Capital Project 35100.

If you approve or disapprove of the above request, please signify below and return the Memo to this office for appropriate action.



Kenneth G. Arnold
Commissioner

KGA:VF:clm
Attachment

c: Christopher Nolan, Deputy Budget Director
Vincent Falkowski, Deputy Commissioner
Roseann D'Alleva, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Edward Visone, Assistant Superintendent of Sanitary Construction
Graham Sharkey, Jacobs

APPROVED:

DISAPPROVED:


Brian J. Schneider
Deputy County Executive

Brian J. Schneider
Deputy County Executive



Bid Opening: 12/12/2017**Engineer:** Joseph Davenport **Phone:**

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
W H.M. Plumbing	6H Enterprise Drive East Setauket, NY 11733	Fidelity & Deposit Company of	10% Amt Bid	\$2,449,000.00	\$0.00
RJ Industries	75 East Bethpage Road Plainview, NY 11803-0349	Liberty Mutual Insurance Comp	10% Amt Bid	\$3,927,700.00	\$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The li does not reflect the Department's determination of the lowest responsible bidder.

LAURA CURRAN
NASSAU COUNTY EXECUTIVE



KENNETH G. ARNOLD, P.E.
COMMISSIONER

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
1194 PROSPECT AVENUE
WESTBURY, NEW YORK 11590-2723

February 20, 2018

WHM Plumbing & Heating
64 Enterprise Drive
East Setauket, NY 11733

Att: William H. Mauff

Re: Cedar Creek WPCP Improvements to Digester Gas Handling
Contract No. S35100-03GR
General Construction
Bid Withdrawal

To Whom It May Concern:

The County is in receipt of your letter dated December 13, 2017, requesting the withdrawal of your bid for the above-indicated contract in the amount of \$2,449,400.00. Your withdrawal request is based on errors and omissions in your bid, identified in your letter.

Your errors and omissions in your bid are explained in detail and have been deemed adequate to grant the withdrawal of your original bid.

Very truly yours,

A handwritten signature in black ink, appearing to read "Kenneth G. Arnold".

Kenneth G. Arnold, P.E.
Commissioner of Public Works

KGA:VF:rp

c:Vincent Falkowski, Deputy Commissioner for Environmental Programs
Jane Houdek, Attorney for Public Works
Edward Visone, Assistant Superintendent of Sanitary Construction
✓ Loretta Dionisio, Hydrogeologist II
Graham Sharkey, Jacobs

To:

Ed Visone – NCDPW

Copies:

Brent Chow, Graham Sharkey –
JACOBS

Jennifer Chen – Arcadis

Arcadis of New York, Inc.
27-01 Queens Plaza North
Suite 800
Long Island City
New York 11101
Tel 718 446 0116
Fax 718 446 4020

From:

Eric Auerbach – Arcadis

Date:

February 20, 2018

Arcadis Project No.:

00726784.0000

Subject:

Cedar Creek Water Pollution Control Plant
Improvements to Digester Gas Storage Spheres & Appurtenances
Contract No. S35100-03GR
Bid Evaluation and Award Recommendation

Bids for Contract No. S35100-03GR were opened on December 12, 2017 at 10:30 AM at Nassau County's Theodore Roosevelt Executive and Legislative Building. At the request of the Nassau County Department of Public Works (NCDPW), Arcadis has evaluated the bids for Contract S35100-03GR.

Bid Comparison

Table 1 below summarizes the bids received for the Contract and includes the Engineer's Estimate.

Table 1: Summary of Submitted Bids for Contract S35100-03GR¹	
Contractor	Total Bid Price
Engineer's Estimate	\$3,366,612
RJ Industries	\$3,927,700

As shown in Table 1, the Engineer's Estimate is \$3,366,612. The apparent lowest bid received was \$3,927,700 from RJ Industries (RJ). RJ's bid is \$561,088 (approximately

¹ Two bids were received at bid opening. The lower of the two bids received was \$2,449,000 from W.H.M. Plumbing & Heating (WHM). However, on December 13, 2017, WHM requested to withdraw their bid due to a transcription/mathematical error. WHM was released from their obligations as the apparent lowest bidder on January 16, 2018.

16.7%) higher than the Engineer's Estimate. The total of the unit price items between the Engineer's Estimate and RJ differs by \$124,610. The most evident difference was between the lump sum bid prices at \$991,753. This difference can be attributed to the following:

- The highly specialized nature of the project requires engineering time to prepare various submittals and plans for approval prior to the "Start Work Notice for Digester Gas System Shutdown" per Section 01271, and the specialized work may be performed by the Prime Contractor instead of Subcontractor(s).
- The expeditious nature of the project requires multiple crews, which translates into a loss of efficiency.
- Elements of field design and conditions in which assumptions can create uncertainties and render additional costs.
- Bids may reflect increased vendor costs and expenses to account for tight delivery schedule of materials.
- For this type of project, field work supersedes administrative activities.

Evaluation of Apparent Low Bidder – RJ Industries

Arcadis has evaluated RJ, the apparent low bidder, and obtained a Dun & Bradstreet (D&B) report, attached. In summary, the D&B Business Information Report indicated the following, listed below in Table 2, as of the reporting date, 01/29/2018.

Table 2: R.J. Industries, Inc. D&B Report Summary		
D&B Item	Score	Comment
D&B Rating	1R3	Composite credit appraisal fair
D&B Paydex	56	Payments to suppliers average 26 days beyond payment terms based on payments collected over the last 3 months
Credit Score Class	3	Moderate Risk of severe payment delinquency
Financial Stress Class	4	Moderately higher than average financial stress
Viability Rating	6-9-B-G	See discussion below

RJ is a small water utility sewer construction company, who began operations in 1997, employs 20 and has a clear history. The report contains no financial information to review, such as a balance sheet or profit and loss statement (P&L). They pay vendors on average 26 days beyond terms vs the industry median at 5 days. However, they do pay to pay 75% of responding vendors within terms and show a high vendor credit of \$300,000. While there is somewhat limited information beyond what is noted above, there are no significant issues noted. Overall D&B rates this company as a 1R3, which is a generally "fair" rating.

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver a highly reliable assessment of the probability that a company will go out of business, become dormant/inactive, or file for bankruptcy/insolvency within the next 12 months. The D&B Viability Rating is made up of four components:

1. **Viability Score**, based on a scale of 1-9 with lower values representing lower risk, which assesses the probability that a company will no longer be in business, within the next 12 months, compared to all U.S. businesses within the D&B database.
2. **Portfolio Comparison**, based on a similar 1-9 scale, is a which assesses the viability of a company compared to similar businesses. The scores for RJ place the firm in D&B's Moderate Risk level categories with probabilities of the firms becoming no longer viable within the next 12 months of 13%.
3. **Data Depth Indicator**, an indicator of how much business intelligence is known about a business based on the availability of predictive information such as commercial trading activity and financial attributes. This indicator serves as a score qualifier and is based on a scale of A to M. RJ has a B score.
4. **Viability Rating**, examines how established a company is based on a combination of categories including financial data, trade payments, years in business, and company size (number of employees or sales). This indicator also serves as a score qualifier and is based on a scale of A to Z. RJ has a G score.

Construction Contracts in Progress with NCDPW

Relevant construction contracts that RJ Industries reports to be in progress with the NCDPW include the following²:

- Vehicle Fueling Facility & Tanks at Bay Park STP, Contract S35121-16TR
- Pump Station Repair & Mitigation at Various Locations, Contracts S3P311-03G and S3P311-07G
- Electrical Substation Upgrades (Phase E3) at Bay Park STP, Contract S35121-14F
- Grit Removal Facility Improvements at Bay Park STP, Contract S3B120-02G
- Effluent Pump Station at Bay Park STP, Contract S35121-12G

Bid Bond

A bid bond in the amount of 10% of the bid amount was provided. The surety on the bond was provided by Liberty Mutual Insurance Company of Massachusetts.

Subcontractors

RJ indicated Eldor Contracting will be the electrical subcontractor.

² Not listed as a project in progress is Effluent Screening and Disinfection Facility Improvements at Cedar Creek WPCP, Contract S3C067-06G.

Apprenticeship Training Program

RJ provided documentation indicating that they participate in registered and approved apprenticeship training programs with Plumbers Local Union 200.

MBE/WBE Utilization Plan

An MBE/WBE Utilization Plan was not provided.

Recommendation

Based on the current documentation provided by RJ Industries and its ongoing work with NCDPW and at the Cedar Creek WPCP project site, it is the recommendation of Arcadis that Contract S35100-03GR be awarded to R.J. Industries.

If you have any questions or require additional information, please contact Eric Auerbach eric.auerbach@arcadis.com.

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Joseph N. Walker, Assistant Superintendent Water Supply

FROM: Office of the Commissioner

DATE: June 30, 2017

SUBJECT: CSEA Sub-Contracting Approval
C17-055 -S35100-03GR
CCWPCP & BPSTP Improvements to Digester Gas Storage Spheres and
Appurtenances – General Construction

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract C17-055. Pursuant to Section 32-3 of the CSEA/County C.B.A. the CSEA has withdrawn the alternate.

Please prepare the necessary contract advisement to encumber funding for this work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions, please speak with Jonathan Lesman.



Kenneth G. Arnold
Assistant to Commissioner

KGA:las

c: Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit ✓
Loretta Dionisio, Hydrogeologist II
Jonathan Lesman, Management Analyst II



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, Executive Vice President

FROM: Department of Public Works

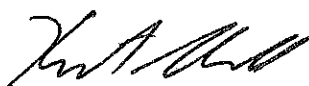
DATE: May 1, 2017

SUBJECT: CSEA Notification of a Proposed DPW Contract
Cedar Creek Water Pollution Control Plant & Bay Park Sewage Treatment Plant
Improvements to Digester Gas Storage Spheres and Appurtenances
S35100-03GR General Construction Contract

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a contract/agreement for the following services:
General construction at the Cedar Creek WPCP and Bay Park STP
2. The work involves the following:
Assess & repair the gas sphere, furnish & install gas handling equipment at the Cedar Creek WPCP. Assess the condition of the gas sphere at the Bay Park STP.
3. An estimate of the cost is: \$6,162,257
4. An estimate of the duration is: One (1) year

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.


Kenneth G. Arnold
Assistant to Commissioner

KGA:WSN:JLD:rp

- c: Christopher Fusco, Director, Office of Labor Relations
Brian Libert, Deputy Director, Office of Labor Relations
Rakhal Maitra, Deputy Commissioner
William S. Nimmo, Deputy Commissioner
Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit
Diane Pyne, Unit Head, Human Resources Unit
✓ Loretta V. Dionisio, Hydrogeologist II
Jonathan Lesman, Management Analyst II
Joseph N. Walker, Assistant Superintendent Water Supply



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Kenneth G. Arnold, Commissioner

FROM: Water/Wastewater Engineering Unit

DATE: October 7, 2019

SUBJECT: Low Participation for Cedar Creek Gas Handling Improvements, S35100-03GR

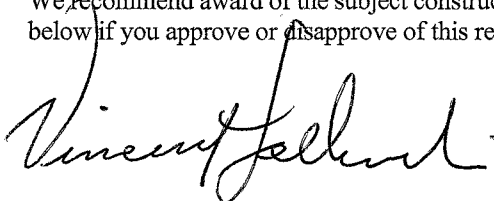
This project (with somewhat larger scope) was originally bid in November 2015 at which time two (2) bids were received, both of which far exceeded to engineer's estimate. Subsequently, the scope of the project was reduced, and the subject project was advertised for re-bid on November 8, 2017 in Newsday and on the County's website. The Department monitored the re-bid and contacted several firms who have provided similar or related construction services to the County to make them aware of the project. Two hundred and fifty-six (256) firms were notified via e-procure, twenty-eight (28) firms viewed the solicitation, and six (6) firms purchased bid documents. These firms, including RJ Industries, WHM Plumbing & Heating, and John P. Picone, Inc., expressed interest in bidding at the time of the Department's outreach.

Some firms expressed that they were not interested due to the specialized scope of the work, which included hazardous digested gas handling equipment, gas piping, pressure vessel cleaning and inspection, confined space entry and other hazardous work. In the current business environment, the firms are of the opinion that they could be more competitive bidding other projects, given the availability of less specialized work with which they were familiar.

Bids were due on December 12, 2017, at which time two (2) bids were received. John P. Picone, Inc. who had bid on the original procurement, and had expressed interest in this re-bid, did not submit a bid. The bid specifications were not restrictive and did not include any sole source, or proprietary equipment, nevertheless, the scope of the work is such that fewer than a dozen firms produce suitable gas handling equipment.

There is a high demand for construction services locally and regionally, and contractors must be selective in the projects they choose to pursue for a variety of reasons including bonding capacity, cash flow, the cost of bid preparation and risk versus reward. Given that two hundred and fifty-six firms (256) were notified of the project, it is unlikely that greater participation would be obtained by advertising more widely. Also, given the outreach that was expended and availability of other work for firms to pursue, it is unlikely that re-procuring the project would result in more competitive bids. To the contrary, it is likely that re-procurement would result in higher cost due to escalation, additional procurement effort, and delay the implementation of this important project.

We recommend award of the subject construction contract to RJ Industries as the lowest responsible bidder. Please indicate below if you approve or disapprove of this recommendation.




Vincent Falkowski
Deputy Commissioner

KGA:VF:rp

c: Christopher Nolan, Deputy Budget Director
Jane Houdek, Counsel to the Department of Public Works
Edward Visone, Assistant Superintendent of Sanitary Construction
Graham Sharkey, Adrian Hamilton, Theodore Diamantis, Jacobs

APPROVED:

DISAPPROVED:


Kenneth G. Arnold Date
Commissioner

Kenneth G. Arnold Date
Commissioner



REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT**PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC**
☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work Order

Project Title: S35100-03GR: Cedar Creek WPCP Improvements to Digester Gas Handling

Department: Public Works Project Manager: Karen Fay

Date: June 26, 2020

Service Requested: General Construction

Justification: The digester gas handling system, including the gas storage sphere, has experienced deterioration since installation in 1970 and it is unknown if the sphere is in a code compliant state. Improvements will enhance the efficiency of the digester gas which services various plant facilities.

Requested by: DPW / Water & Wastewater Engineering Unit

☒ Department ☐ Agency ☐ Office

 Project Cost for this Phase/Contract: (☐ Plan ☐ Design ☒ Construction ☐ CM ☐ Equipment) \$ 3,927,000.00
Select appropriate phase

Total Project Cost: \$ 5,243,327.17

 Date Start Work: 10/1/2020 NTP
Phase being requested

 Duration: 12 months (Construction)
Phase being requested
Capital Funding Approval: YES ☐ NO ☐

SIGNATURE

06/25/20

DATE

Funding Allocation (Capital Project): 35100

See Attached Sheet if multiyear ☐

NIFS Entered:

SIGNATURE

DATE

AIM Entered:

SIGNATURE

DATE

Funding Code:

use this on all encumbrances

Timesheet Code:

use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☐ or, Environmental Assessment Form Required ☐

Supplemental Environmental Documentation

Department Head Approval:

YES ☐ NO ☐

SIGNATURE

DCE/Ops Approval:

YES ☐ NO ☐

SIGNATURE

6/26/2020

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval:

YES ☐ NO ☐

Signature _____

BID BOND

FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to **USE THIS FORM PROVIDED** as the use of **ANY OTHER FORM** may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned R.J. Industries, Inc., 75 East Bethpage Road, P.O. Box 349, Plainview, NY 11803

as Principal; and Liberty Mutual Insurance Company, 175 Berkeley Street, Boston, MA 02116 as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

Ten Percent of Proposal Price dollars (\$ 10% of P.P.) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 7th day of December, 20 17

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under Contract No. S35100-03GR for the

Cedar Creek Water Pollution Control Plant Improvements to Digester Gas Handling. Contract No. S35100-03GR General Construction

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

NO TEXT ON THIS PAGE

Provided, however, that this bond is subject to the following additional conditions and limitations.

a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.

b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

NO TEXT ON THIS PAGE

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

R.J. Industries, Inc.

Contractor

by W. J. D. (L.S.) (Corporate seal of Contractor
Title if a corporation)
William J. Doremus,
Secretary-Treasurer

by _____ (L.S.) Title

by _____ (L.S.) Title

Liberty Mutual Insurance Company

by [Signature] (L.S.) Surety
Title of Officer Dana Granice, Attorney-In-Fact

Witness:

XXXXXXXXXX

Melissa Saracino (L.S.) (Corporate seal of Surety)
Title of Officer Melissa Saracino, Surety Account Representative

NO TEXT ON THIS PAGE

(Acknowledgment by Contractor if a corporation)

STATE OF New York)

ss.:)

COUNTY OF Nassau)

On this 8th day of December, 2017, before me personally came William J. Doremus to me known, who, being by me duly sworn, did depose and say for himself, that he resides in Suffolk County, NY that he is the Sec-Treas. of the R.J. Industries, Inc. the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.


MARYANNE HILTON

Notary Public, State of New York
No. 01H16213068

Qualified in Nassau County
Commission Expires November 02, 2021

(Acknowledgment by Contractor if a partnership)

STATE OF _____)

ss.:)

COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____ to me known and known to me to be a member of the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF _____)

ss.:)

COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

Notary Public

NO TEXT ON THIS PAGE

(Acknowledgment by Surety Company)

STATE OF New York)

SS.:)

COUNTY OF Nassau)

On this 7th day of December, 2017, before me personally came Dana Granice, Known, who being by me duly sworn, did depose and say that he resides in Suffolk County, New York

that he is the Attorney-In-Fact of the Liberty Mutual Insurance Company, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said Desiree Cardlin further said that he is acquainted with Dana Granice and knows him to be the Attorney-In-Fact of said company; that the signature of the said Dana Granice subscribed to the within instrument is in the genuine handwriting of the said Dana Granice and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Desiree Cardlin


Notary Public

DESIREE CARDLIN
Notary Public, State of New York
No. 01CA6150043
Qualified in Suffolk County
Commission Expires 07/24/2018

NO TEXT ON THIS PAGE

POWER OF ATTORNEY

ATTENTION: Insert the "Power of Attorney" after this page in with your bid submission.

THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 7727290

Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company West American Insurance Company

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Camille Maitland; Colette R. Chisholm; Dana Granice; Desiree Cardlin; George O. Browster; Gerard S. Macholz; Lee Ferrucci; Mia Woo-Warren; Michelle Wannamaker; Nelly Renchiwich; Peter F. Jones; Rita Sagistano; Robert T. Pearson; Susan Lupski; Thomas Bean; Vincent A. Walsh

all of the city of Uniondale, state of NY each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 12th day of April, 2017.



The Ohio Casualty Insurance Company
Liberty Mutual Insurance Company
West American Insurance Company

By: David M. Carey
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA ss
COUNTY OF MONTGOMERY

On this 12th day of April, 2017, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Teresa Pastella, Notary Public
Upper Merion Twp., Montgomery County
My Commission Expires March 28, 2021
Member, Pennsylvania Association of Notaries

By: Teresa Pastella
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS - Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings. Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this _____ day of _____, 20____.



DEC 07 2017
By: Renee C. Llewellyn
Renee C. Llewellyn, Assistant Secretary

NO TEXT ON THIS PAGE

FINANCIAL STATEMENT

ATTENTION: Insert the "Financial Statement" after this page in with your bid submission.



LIBERTY MUTUAL INSURANCE COMPANY
FINANCIAL STATEMENT — DECEMBER 31, 2016

Assets		Liabilities	
Cash and Bank Deposits.....	\$1,092,914,837	Unearned Premiums.....	\$6,929,723,299
*Bonds — U.S Government.....	1,406,763,970	Reserve for Claims and Claims Expense.....	17,233,877,300
*Other Bonds.....	11,379,916,523	Funds Held Under Reinsurance Treaties.....	208,362,823
*Stocks.....	10,349,761,988	Reserve for Dividends to Policyholders.....	944,909
Real Estate.....	290,265,760	Additional Statutory Reserve.....	39,649,905
Agents' Balances or Uncollected Premiums.....	4,709,977,463	Reserve for Commissions, Taxes and	
Accrued Interest and Rents.....	112,757,395	Other Liabilities.....	3,061,117,958
Other Admitted Assets.....	14,659,523,751	Total.....	\$27,473,676,194
		Special Surplus Funds.....	\$95,257,334
		Capital Stock.....	10,000,000
		Paid in Surplus.....	9,229,250,104
		Unassigned Surplus.....	7,193,698,055
Total Admitted Assets.....	\$44,001,881,687	Surplus to Policyholders.....	16,528,205,493
		Total Liabilities and Surplus.....	\$44,001,881,687



* Bonds are stated at amortized or investment value; Stocks at Association Market Values.
The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2016, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 23rd day of March, 2017.

T. Mikolajewski

Assistant Secretary

NO TEXT ON THIS PAGE

CERTIFICATE OF SOLVENCY

ATTENTION: Insert the "Certificate of Solvency" after this page in with your bid submission.

**CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW
YORK INSURANCE LAW**

STATE OF NEW YORK
DEPARTMENT OF FINANCIAL SERVICES

It is hereby certified that

Liberty Mutual Insurance Company

Of Boston, Massachusetts

a corporation organized under the laws of the State of Massachusetts and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$16,528,205,493 (Capital \$10,000,000) as is shown by its sworn financial statement for the year ending December 31, 2016 on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have

unto set my hand and affixed

official seal of this Department
in the City of Albany, this

1st day of March, 2017.

Maria T. Vullo
Superintendent

By *Jacqueline Catalfamo*

Jacqueline Catalfamo
Special Deputy Superintendent

NO TEXT ON THIS PAGE

PROPOSAL

COUNTY OF NASSAU
STATE OF NEW YORK

PROPOSAL

FOR

CEDAR CREEK WATER POLLUTION CONTROL PLANT
IMPROVEMENTS TO DIGESTER GAS HANDLING
NASSAU COUNTY, NEW YORK

Contract No. S35100-03GR

GENERAL CONSTRUCTION

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: R.J. Industries, Inc.

Bidder: S-corp.
(Individual, Firm or Corporation as case may be)

Bidder's Business Address: 75 East Bethpage Rd., Plainview, NY 11803

Telephone: 516-845-9772 Date of Bid: December 12, 2017

FAX: 516-454-1759 Email: sjd@rjil.net

NO TEXT THIS PAGE

PROPOSAL

(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: _____

Residence of Individual: _____

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: _____

Name and Residence of Partner: _____

Name and Residence of Partner: _____

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: New York

Name and Residence of President: Richard O. Felicitta

Name and Residence of Vice-President: John C. Doremus

Name and Residence of ^{Vice-Pres} Secretary: John E. Kozigrz

~~XXXXXXXXXX~~

Name and Residence of ^{Secretary} Treasurer: William J. Doremus

NO TEXT THIS PAGE

GENERAL CONSTRUCTION

THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this Bid; and that no other person, firm or corporation, except those herein named, has any interest in this Bid or in the Contract proposed to be entered into.
2. That this Bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a Bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or will become interested directly, or indirectly, as a contracting party, partner, stockholder, Surety or otherwise in this Bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the Work; the character, quality and quantity of existing materials; all difficulties likely to be encountered; the kind and extent of labor, equipment, other facilities needed for the performance of the Work; the general and local conditions; and all other items and conditions which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, and that he will execute the Contract and will completely perform it in strict accordance with its terms for the prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach the Contractor shall be required to have such a license.

NO TEXT THIS PAGE

PLUMBERS LOCAL UNION No. 200

DANNY GRODOTZKE
BUSINESS MANAGER

ARTHUR GIPSON
BUSINESS AGENT

MARIO MATTERA
BUSINESS AGENT

OF THE
UNITED ASSOCIATION OF JOURNEYMEN AND APPRENTICES OF THE
PLUMBING AND PIPE FITTING INDUSTRY OF THE UNITED STATES AND CANADA

2123 5TH AVENUE, RONKONKOMA, N.Y. 11779

(631) 981-2158

FAX (631) 981-1658

RICHARD P. BROOKS
FIN. SEC./TREASURER

JAY MARELLI
PRESIDENT

PAUL MASON
VICE PRESIDENT

EDWARD YOUNG
REC. SECRETARY

December 4, 2017

TO: Nassau County Department of Public Works

**RE: Cedar Creek WPCP Improvements to Digester Gas Handling
(S35100-03GR)**

Please be advised that Plumbers Local Union # 200 has Apprenticeship Training Program, (Sponsor Code 00337, ATP Code 13180) that is approved by the New York State Department of Labor and the Federal Department of Labor.

RJ Industries Inc. is signatory to an agreement with Plumbers Local Union # 200 and contributes, subscribes, and actively participates in apprenticeship training program and is a contractor in good standing.

Being signatory to our agreements, RJ Industries Inc. may, at their discretion, employ apprentices that are indentured in our program.

If you have any questions about our program or RJ Industries Inc. please contact me at the Union office.

Sincerely,



Richard P. Brooks
Financial Secty/Treasurer

RPB/ab



The Plumber Protects the Health of the Nation

© 1994



PROPOSAL

I. Rejection of Bids

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.
7. Bids may be rejected if the County determines that the contractor's experience and qualification statement does not show adequate experience with projects of similar size, scope and complexity, particularly concerning maintenance of plant operations (MOPO) and complex phasing and sequencing of equipment on and off line during construction.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of the various items multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids

Bids on Lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate price bid, if any.

IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

NO TEXT THIS PAGE

PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-03GR						
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1	Lump Sum	Base Bid for furnishing all labor, materials and equipment required for all General Construction work for the Improvements to Digester Gas Handling project as specified and shown on the drawings, complete and ready for operation. <i>Five thousand, Nine Hundred and one dollars and 146 cents</i>	—	—	\$3,405,900	—
2	Lump Sum	Improvements to Gas Sphere exterior and piping at base of Gas Sphere.	—	—	200,000	—
3	80 CY	Sediment removal and disposal from Gas Sphere interior, if determined non-hazardous. Excludes removal and disposal of waste generated from the cleaning Work of the interior walls of the Gas Sphere. Removal and disposal of waste generated from cleaning the interior walls of the Gas Sphere shall be compensated under Item 1. <i>Two hundred and twenty five dollars</i>	225	—	18,000	—
		PER CUBIC YARD				

PROPOSAL

NO TEXT THIS PAGE

PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-03GR					
ITEM NO.	APPROXIMATE QUANTITIES	ITEM WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE DOLLARS CENTS		AMOUNT BID PRICE DOLLARS CENTS
4	80 CY	Additional cost for sediment removal and disposal from Gas Sphere interior, if determined hazardous. Excludes removal and disposal of waste generated from the cleaning Work of the interior walls of the Gas Sphere. Removal and disposal of waste generated from cleaning the interior walls of the Gas Sphere shall be compensated under Item 1.	360	-	28,800 -
5	Allowance	<p><u>Three Hundred & Sixty</u> Dollars</p> <p>PER CUBIC YARD</p> <p>An Allowance for Miscellaneous Additional Work.</p> <p><u>Two hundred Fifty Thousand</u> Dollars</p>	N/A	N/A	\$250,000 00

PROPOSAL

NO TEXT THIS PAGE

PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-03GR					
ITEM NO.	APPROXIMATE QUANTITIES	ITEM WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE DOLLARS	UNIT BID PRICE CENTS	AMOUNT BID PRICE DOLLARS CENTS
6	Allowance	An Allowance for furnishing all labor, materials, equipment and incidentals required for Cedar Creek Construction Office Improvements. Twenty-Five Thousand Dollars	N/A	N/A	\$25,000 00

TOTAL BASE BID (Bid Item Nos. 1, 2, 3, 4, 5, and 6): \$ 3,927,700.

TOTAL BASE BID (Bid Item Nos. 1, 2, 3, 4, 5, and 6): MUST BE WRITTEN IN WORDS:

Three Million Nine hundred and forty Seven Thousand, Seven hundred and no ^{dollars} cents
DOLLARS CENTS

PROPOSAL

NO TEXT THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

ALLOWANCES. It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. 5: An Allowance of Two hundred Fifty Thousand Dollars (\$250,000) for Additional Miscellaneous Work.
2. Item No. 6: An Allowance of Twenty-Five Thousand Dollars (\$25,000) for Cedar Creek Construction Office Improvements.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and Section 01150, Measurement and Payment.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID. Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances, minus the Deduct Alternate(s), taken in order, or none of them, whichever amount(s) shall be judged by the Commissioner of Public Works to be in the best interest of the County.

MAJOR EQUIPMENT ITEMS. The Bidder shall fill in the names and addresses of the proposed manufacturers and/or suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

Specification Number	Description	Manufacturer and/or Supplier
11231	Digester Gas Handling Equipment	Central Associates, GA Fleet, Kerner or Bawn
11387	Coalescing Gas Liquid Separating Filters	Centridyne EQ, GA Fleet or Equal
11620	Air Cooled Refrigerated Gas Dryer	Centridyne EQ, GA Fleet or Equal

NO TEXT THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

PROPOSAL: For all Work in accordance with the Plans and Specifications:

Bidder: R.J. Industries, Inc.
(Individual, Firm or Corporation as case may be)

Individual's Social Security No.: _____

Federal I.D. No. 11-3401288

By: [Signature]
(Signature of Individual, Partner or Corporate Officer)

(Print): Richard O. Felicetta

Title: President

(Where Bidder is a Corporation Add)

ATTEST: [Signature]
Secretary
William J. Doremus

(Corporate
Seal)

NO TEXT THIS PAGE



PROPOSAL

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU)

_____ Being duly sworn, deposes and says: That he is the person described in and who executed the foregoing Bid and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me this ____ day of _____ 20____.

Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU)

_____ Being duly sworn, deposes and says: That he is a member of _____, the firm described in and which executed the foregoing Bid; that he duly subscribed the name of the firm thereunto on behalf of the firm; and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me this ____ day of _____ 20____.

Notary Public

NO TEXT THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Richard O. Felicetta Being duly sworn, deposes and says:
That he resides at Suffolk County, NY, at he is the President of the
corporation described in and which executed the foregoing instrument; that he knows the seal of
said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed
by order of the Board of Directors of said Corporation; that he affixed his name thereto by like
order; and that he has knowledge of the several matters therein stated and they are in all respects
true.

Subscribed and sworn to before me this 12th day of Dec. 20 17.

Maryanne Hilton
Notary Public

MARYANNE HILTON
Notary Public, State of New York
No. 01HI6213068
Qualified in Nassau County
Commission Expires November 02, 2021

NO TEXT THIS PAGE

Exhibit B



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

None

None

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. **See page 4 for a complete description of lobbying activities.**

None

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

None

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

We have not hired a lobbyist; however RJ Industries, Inc. contributed \$1,600 to the Hicksville

Republican Committee in 2016 (Check No. 20194 - 9/8/16).

RJ Industries, Inc. contributed a total of \$3,200 to the campaigns of Laura Curran (County Executive) and Steve Labriola (Comptroller) in 2017.

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: Dec. 12, 2017

Signed:



Print Name:

Richard O. Felicette

Title:

President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: _____

1) Proposer's Legal Name: R.J. Industries, Inc.

2) Address of Place of Business: 75 East Bethpage road; PO Box 349; Plainview, NY 11803

List all other business addresses used within last five years:

3) Mailing Address (if different): _____

Phone : 516-845-9772

Does the business own or rent its facilities? own

4) Dun and Bradstreet number: _____

5) Federal I.D. Number: 11-3401288

6) The proposer is a (check one): Sole Proprietorship Partnership
Corporation Other (Describe) s-corporation

7) Does this business share office space, staff, or equipment expenses with any other business?

Yes x No If Yes, please provide details: James McCullagh Co., Inc. & R.J.I. Mechanical, Inc.

8) Does this business control one or more other businesses? Yes x No If Yes, please provide details: RJ East Bethpage Road, LLC (Real Estate Holding Company; RJ's main office)

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ☒ No ☐ If Yes, provide details. R.J.I. Mechanical, Inc. is an affiliate of RJ's; it is a NYC plumbing contractor.
- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ☐ No ☒ If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). _____
- 11) Has the proposer, during the past seven years, been declared bankrupt? Yes ☐ No ☒ If Yes, state date, court jurisdiction, amount of liabilities and amount of assets _____
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.
Yes ☐ No ☒ If Yes, provide details for each such investigation. _____
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. _____
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
- a) Any felony charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. _____
- b) Any misdemeanor charge pending? Yes ☐ No ☒ If Yes, provide details for each such charge. _____
- c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes ☐ No ☒

If Yes, provide details for each such conviction _____

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?
Yes ____ No x If Yes, provide details for each such conviction. _____

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? Yes ____ No x If Yes, provide details for each such occurrence. _____

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? Yes ____ No x; If Yes, provide details for each such instance. _____

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? Yes ____ No x If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. _____

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. **NOTE: If no conflicts exist, please expressly state "No conflict exists."**

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County. Our MWBE Officer (Brittany Pedenzin) is related to an employee of Hazen and Sawyer. RJ hired Brittany in 2014; and we have been disclosing this to NC as part of the review necessary on all projects awarded to RJ since.

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

We are not aware of any other matters that would create a conflict of interest or appearance of a conflict of interest

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.
We are pleased to assist Nassau County and eliminate potential conflicts of interest; we will notify Nassau County staff in the event we believe they need to be made aware of such issues.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; 9/2/1997
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner; see attached
- iii) Name, address and position of all officers and directors of the company; see attached
- iv) State of incorporation (if applicable); New York
- v) The number of employees in the firm; 25 non-union & union staff varies (25-35)
- vi) Annual revenue of firm; \$30 million
- vii) Summary of relevant accomplishments see attached
- viii) Copies of all state and local licenses and permits. none

- B. Indicate number of years in business. 20

- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company see enclosed schedule of projects

Contact Person _____

Address _____

City/State _____

Telephone _____

Fax # _____

E-Mail Address _____

Company _____ see attached _____

Contact Person _____

Address _____

City/State _____

Telephone _____

Fax # _____

E-Mail Address _____



Company _____

Contact Person _____

Address _____

City/State _____

Telephone _____

Fax # _____

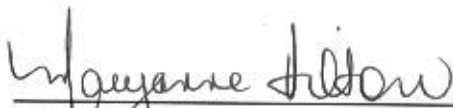
E-Mail Address _____

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Richard O. Felicetta, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 12th day of December 2017



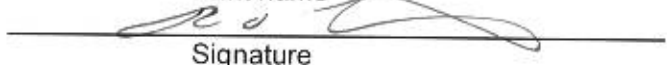
Notary Public

MARYANNE HILTON
Notary Public, State of New York
No. 01HI6213068
Qualified in Nassau County
Commission Expires November 02, 2021

Name of submitting business: R.J. Industries, Inc.

By: Richard O. Felicetta

Print name



Signature

President

Title

12 / 12 / 17
Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Richard O. Felicetta
Date of birth _____
Home address _____
City/state/zip _____
Business address 75 East Bethpage road; PO Box 349
Pla hwy e NY 1803
City/state/zip _____
Telephone 516-8345 97 2

_____ Other present address(es)
_____ na _____ City/state/zip _____ Telephone _____
_____ List of other
addresses and telephone numbers attached
2. Positions held in submitting business and starting date of each (check all applicable)
President 9 / 2 / 1997 Treasurer _____ / _____ / _____
Chairman of Board _____ / _____ / _____ Shareholder 9 / 2 / 1997
Chief Exec. Officer _____ / _____ / _____ Secretary _____ / _____ / _____
Chief Financial Officer _____ / _____ / _____ Partner _____ / _____ / _____
Vice President _____ / _____ / _____
- YES ☒ NO ____ If Yes, provide details. 45% interest in RJ Industries, Inc.
(Other)
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole business submitting the questionnaire? YES x NO ____ If Yes, provide details.
3. Do you have an equity interest in the business submitting the questionnaire? YES x NO ____ If Yes, provide details.
Personal Guarantor: RJ Industries' line of credit at Signature Bank
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES x NO ____;
If Yes, provide details. Managing member of BSRJA T/V and R.J./Scalamandre, JV

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐
If Yes, provide details. see attached schedule of projects

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES ☐ NO ☒ If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO x If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO x If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO x If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO x If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO x If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO x If Yes, provide details for each such year.

CERTIFICATION

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I, Richard O. Felicetta, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 12th day of Dec. 2017


Notary Public

MARYANNE HILTON
Notary Public, State of New York
No. 01HI6213068
Qualified in Nassau County
Commission Expires November 02, 2021

R.J. Industries, Inc.

Name of submitting business

Richard O. Felicetta

Print name



Signature

President

Title

Date

12 / 12 / 17

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

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1. Principal Name John C. Dore mus
Date of birth _____
Home address _____ City/state/zip _____
XXXXXXXXXX Business address _____
75 East Bethpage Road; PO Box 394 City/state/zip _____
Plainview Y 118 03 ,N Telephone _____
516 - 85-9 772 Other present address(es) _____ City/state/zip _____
_____ Telephone _____
_____ List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President ____/____/____ Treasurer ____/____/____
Chairman of Board ____/____/____ Shareholder 9 / 2 / 1997
Chief Exec. Officer ____/____/____ Secretary ____/____/____
Chief Financial Officer ____/____/____ Partner ____/____/____
Vice President 9 / 2 / 1997 to present ____/____/____
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES x NO ____ If Yes, provide details. stockholder (45% interest in R.J. Industries, Inc.)
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES x NO ____ If Yes, provide details.
Personal Guarantor - RJ's line of credit at Signature Bank
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES x NO ____
If Yes, provide details. Managing member of BSRJ A TV and RJ/Scalamandre, JV

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ☒ NO ☐
If Yes, provide details. See Attached schedule of projects

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES ☐ NO ☒ If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ☐ NO ☒ If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ☐ NO ☒ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ☐ NO ☒ If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If Yes, provide details for each such conviction.

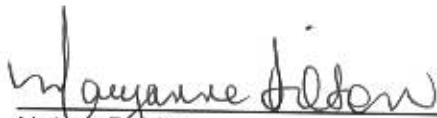
- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO x If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO x If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO x If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO x If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO x If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO x If Yes, provide details for each such year.

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I, John C. Doremus, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 12th day of December 2017


Notary Public


MARYANNE HILTON
Notary Public, State of New York
No. 01HI6213068
Qualified in Nassau County
Commission Expires November 02, 2021

R.J. Industries, Inc.

Name of submitting business

John C. Doremus

Print name



Signature

Vice President

Title

12 / 12 / 17
Date

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: R.J. Industries, Inc.

Address: 75 East Bethpage Road; PO Box 349

City, State and Zip Code: Plainview, NY 11803

2. Entity's Vendor Identification Number: 11-3401288

3. Type of Business: Public Corp Partnership Joint Venture
Ltd. Liability Co Closely Held Corp s-corp Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Richard O. Felicetta	President/Stockholder
John C. Doremus	VP / Stockholder
John E. Koziarz	VP / Stockholder
William J. Doremus	Secretary/Treasurer

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

see response to question #4

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

RJI Mechanical, Inc. - NY City Plumbing Contractor; not expected to perform on this project

BSRJ A T/V - Joint Venture of Bana Electric Corp; Peter Scalamandre and Sons Corporation and RJ Industries, Inc; not expected to perform on this project

RJ Scalamandre, JV - Joint Venture of Peter Scalamandre and Sons Corporation and RJ Industries, Inc.; not expected to perform on this project

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

we have not hired or worked with a lobbyist in connection with this project

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

not applicable - we have not worked with a lobbyist

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

not applicable

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: Dec. 12, 2017 Signed: 

Print Name: Richard O. Felicetta

Title: President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 20
2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 10

b. as a Subcontractor 20

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

See Attached

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

See Attached

(use additional blank sheets if additional space is necessary)

5. Have you:
 - a. ever failed to complete any work awarded to You? No
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

- b. ever been defaulted on a contract? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

- c. ever been declared a non-responsible bidder by any municipality or public agency? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

- d. ever been barred from bidding municipal or public contracts? No
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

- a. that failed to complete a construction contract? No
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

- b. that has ever been defaulted on a contract? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

- c. that has ever been declared a non-responsible bidder by any municipality or public agency? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

- d. that has ever been barred from bidding municipal or public contracts? No
If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Richard O. Felicetta	President	34	General & Mechanical Construction	Executive & Project Management
John C. Doremus	Vice President	30	General & Mechanical Construction	Executive & Project Management
John E. Koziarz	Vice President	30	General & Mechanical Construction	Project Management & Estimating
William J. Doremus	Secretary-Treasurer	20	General & Mechanical Construction	Finance/Risk Mgmt.

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	--	------------------	------------------

See Attached

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

None / Not Applicable

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?
Explain in detail.

Review of Contract Documents (Drawings and Specifications)

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

We will perform in accordance with the approved Critical Path Method (CPM) Schedule

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

John Doremus / John Koziarz

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
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See Attached

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



Richard O. Felicetta, President

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Richard O. Felicetta, President

Name and Title of Authorized Representative

m/d/yy

Signature

Date

R.J. Industries, Inc.

Name of Organization

75 East Bethpage Road; PO Box 349; Plainview, NY 11803

Address of Organization

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

PROPOSAL

CONTRACTOR CERTIFICATION STATEMENT REGARDING
STORM WATER POLLUTION AT THE WORK SITE


I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

CONTRACTOR'S NAME: R. J. Industries, Inc.

TELEPHONE NUMBER: 516-845-9772

WORK SITE OR FACILITY NAME: Cedar Creek WPCP

WORK SITE OR FACILITY ADDRESS OR
OTHER IDENTIFYING DESCRIPTION: Improvements to
digester gas handling.

 12/12/17
Signature Date

Richard O. Felicetta
Print Name and Title of Signer

NO TEXT THIS PAGE

R.J. Industries, Inc.

Prime Contracts In Progress

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	December-17	86%
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visone 516-571-7359	\$7,647,700	December-17	83%
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	April-18	9%
<u>BSRJ A T/V</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Joe Davenport jdavenport@nassaucountyny.gov 516-571-7515	\$97,447,200	December-18	67%
<u>RJ / Scalamandre, Joint Venture</u>					
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Joe Davenport jdavenport@nassaucountyny.gov 516-571-7515	\$16,187,700	September-17	99%
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Joe Davenport jdavenport@nassaucountyny.gov 516-571-7515	\$15,677,700	March-19	1%

as of September 30, 2017

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park Sewage Treatment Plant Electrical DistribSystem Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a T/V)	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$28,956,674	2016
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improvements to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Joseph Davenport 516-571-7515	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Joseph Davenport / 516-571-7515 Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$24,767,700	2016
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Joseph Davenport / 516-571-7515 Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$3,937,700	2016
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Joseph Davenport, PE 516-571-7515	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Michael DeNicola (Hazen & Sawyer) MDeNicola@hazenandsawyer.com 516-881-7843	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014

As of September 30, 2017

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3) Screening Room Odor Control General Construction & HVAC Contracts	County of Suffolk	John Berchtold 631-852-4189	\$2,347,860	2013
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection System & Related Infrastructure Repairs	Nassau County DPW	Joseph Davenport 516-571-7515	\$2,701,537	2013
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
		William F Cosulich, Assoc.		

As of September 30, 2017

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	Joseph Mautarano 516-364-9890	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartilucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading Rive CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW James Gallagher 516-571-7220	\$3,647,700	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bietsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bietsch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011

As of September 30, 2017

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Cedar Creek WPCP Install New Gravity Belt Thickner	Nassau County DPW	Hazen and Sawyer Mike De Nicola 212-539-7038	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bletsch H2M Group 631-756-8000	\$929,800	2010
Great Neck Water District Concrete Clearwell - Weybridge Road General Construction	Great Neck Water Dist.	Dvirka & Bartiluci Bill Merklin 516-595-4845	\$648,000	2010
SUNY - Maritime Replace Fuel Oil Tanks (Ft. Schuyler)	State University Construction Fund	Richard Brown 518-320-3204	\$1,998,050	2010
Sea Cliff Install 600,000 Gallon Elevated Tank	Aqua New York Matthew Snyder	Caldwell Tank, Inc (GC) Jenny Ferguson 502-964-3361	\$288,000	2009
Carle Place Water District Pump Sta. 4 Treatment Improvements & Building Rehab	Carle Place Water Dist.	Sidney Bowne Joseph Todaro, PE 516-746-2350	\$164,830	2009
Huntington WWTP Install Ultraviolet (UV) Disinfection System	Town of Huntington	H2M Group Steve Hearl 631-756-8000	\$568,800	2008

As of September 30, 2017

R.J. Industries, Inc.

Prime Contracts In Progress

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
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as of September 30, 2017

RJ Equipment

<u>Year</u>	<u>Item</u>	<u>Model No</u>	<u>Serial No.</u>	<u>Value / Orig Cost</u>
2014	CAT Excavator	349F	BZ200174	400,000
2010	Grove RT 600E (50 Ton Crane)	HO 2160	230901	365,000
2005	Link Belt RTC (50 Ton Crane)	RTC 805011	J6J57796	218,505
	Landis 6" Pipe Threader	(w/ GE Indusion Motor)	23783	16,520
2013	CAT Excavator	308E	EJTMX00784	97,500
2012	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283352	22,150
2013	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283493	22,150
2014	Zenith 25 GPS		2860958	13,000
2011	Moretrench (Various Dewatering Equipment)		105,000	
2011	Komatsu Hydraulic Excavator	PC200LC-8HD	KMTPC180P54A89729	128,450
1999	CAT Hydraulic Excav	320 BL	7JR02231	42,000
2002	CAT Skid Loader	262	CED01093	20,000
1991	GROVE Hyd Crane	RTG35	74217	65,000
2006	CAT Excavator	308 CCR	CVKXC01388	61,500
2002	CAT Mini Excavator	305	DSA00254	34,925
2001	CAT 725 Articulated Dump Truck	725	AFX00145	86,000
2002	CAT Mini Excavator	304.5	WAK00727	35,000
2001	CAT Backhoe	420 D	BLN00364	33,000
2002	CAT Skid Loader	262B	PDT00286	20,000
1990	John Deere Pay Loader	644E	DW644EB527520	45,000
1999	CAT Excavator	315 C	CCCFT00893	50,000
1997	CAT Excavator	315 BL	3AW01066	38,000
1997	JCB Telehandler (Forklift)	506B	0579416	23,250
	Gradall Forklift	544D	0455077	
1998	CAT Backhoe	426C	1YR01605	12,500
2002	CAT Bulldozer	D5C	7PS01937	40,000
2006	CAT Excavator	330CL	CYA01151	108,000
2004	GORMAN RUPP - 4" Diesel Pump	PA6C60-4045D-C1	001281115N	8,000
	GORMAN RUPP - 6" Dry Prime Pump			10,000
2001	Ingersoll Rand Vibratory Smooth Drum Roller	SD110D	168435	37,925
1998	CHICAGO PNEUMATIC Compressor	CPS 390	CE16158	5,000
2008	Concrete Saw - Self Propelled	FS6600D	1254115001	16,900
	Concrete Forms	Doka		80,000
	3 - WHIRLYBIRDS	Power Trawl 36"		
	4-5 VIBRATORS	OZ Tech		
	Light Towers			8,250
	Light Towers			8,250
	Light Towers			8,250
2003	JLG 400S - Self Propelled Deutz	400S	300072553	19,500
2006	Skyjack	SJ3219	262824	5,500
2005	Skyjack	SJ3219	250891	5,500
2006	Skyjack	SJ3219	262669	5,500
2001	JLG Telescopic Boom Lift	400S	300060660	13,750
2001	Lull - Telescopic Fork Lift	844C-42	01W21P22-3563	20,000
2002	JLG Telescopic Boom Lift	400S	300061232	13,750
	Yale Forklift GP 070	GP 070	B813D03952V	7,060
2009	CAT Payloader	966H	HCA6D02326	75,000
2005	John Deere (off road Dump)	300D	DW300DT599355	120,000



RJIND-1

OP ID: MC

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

08/09/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER
A. L. Carr Agency, Inc.
101 Mill Dam Road
Centerport, NY 11721-0608

631-271-2727

CONTACT

PHONE
(A/C, No, Ext): 631-271-2727FAX
(A/C, No): 631-271-2747E-MAIL
ADDRESS:

INSURED RJ INDUSTRIES, INC
75 EAST BETHPAGE ROAD
PLAINVIEW, NY 11803

INSURER(S) AFFORDING COVERAGE

NAIC #

INSURER A: ALLIED WORLD SPECIALTY

INSURER B: ALLIED WORLD ASSURANCE CO

10690

INSURER C: The State Insurance Fund

52421

INSURER D: American Guarantee Ins. Co.

02562

INSURER E: ASPEN AMERICAN INSURANCE CO

INSURER F: STANDARD SECURITY LIFE INS.CO

69078

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
B	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER		0309-1225	08/01/2017	08/01/2018	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 4,000,000 PRODUCTS - COMPO/OP AGG \$ 4,000,000
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY		6000-0268	08/01/2017	08/01/2018	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
D	<input type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> EXCESS LIAB <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$	<input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE	SXS0181443-02	08/01/2017	08/01/2018	EACH OCCURRENCE \$ AGGREGATE \$ 14,000,000
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/OWNER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	<input checked="" type="checkbox"/> Y <input type="checkbox"/> N N/A	2340 643-2	04/01/2017	04/01/2018	PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
E	BUILDERS RISK/INST		IMA95XV17	05/04/2017	05/04/2018	ALL RISK
F	NYS DISABILITY		D92340-000	10/01/2016	10/01/2018	STATUTORY

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

-FOR EVIDENCE PURPOSES-

CERTIFICATE HOLDER

TOWHO-1

TO WHOM IT MAY CONCERN

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

RJ Industries, Inc.
11-3401288
New York State S-Corporation

Officers / Principals

Richard Felicetta: President,
John Doremus: Vice President
John Koziarz: Vice President / President, RJ Mechanical, Inc.
William Doremus: Secretary & Treasurer

MWBE Officer

Brittany Pedenzen (Oversees Documenting / Administration of GF Efforts)

Safety / Risk Engineering

Richard A. Felicetta

Office / Administration

Maryanne Hilton – Controller
Evellyn Dellaratta- Office Manager
Lynn Saccone – Purchasing Assistant

Projects

Bay Park Site

John Doremus: Vice President, (Bay Park Site Project Executive)
Keith Olsen – Sr. Project Manager (Purchasing & Subcontract Management)
Joshua Rogers – Project Manager
Nicholas Felicetta – Project Manager
Todd Melzer – Project Supervisor
Tony Etersque – Supervisor (Mechanical)

Union Staff includes Engineers, Carpenters, Plumbers, Steamfitters, Laborers

LIII. ALL LEGAL PROVISIONS DEEMED INCLUDED; SEPARABILITY; SUPREMACY; COMPLIANCE WITH LAW

9. **Prohibition of Gifts.** In accordance with County Executive Order 2-2018, the Contractor shall not offer, give, or agree to give anything of value to any County employee, agent, consultant, construction manager, or other person or firm representing the County (a "County Representative"), including members of a County Representative's immediate family, in connection with the performance by such County Representative of duties involving transactions with the Contractor on behalf of the County, whether such duties are related to this Agreement or any other County contract or matter. As used herein, "anything of value" shall include, but not be limited to, meals, holiday gifts, holiday baskets, gift cards, tickets to golf outings, tickets to sporting events, currency of any kind, or any other gifts, gratuities, favorable opportunities or preferences. For purposes of this subsection, an immediate family member shall include a spouse, child, parent, or sibling. The Contractor shall include the provisions of this subsection in each subcontract entered into under this Agreement.

10. **Disclosure of Conflicts of Interest.** In accordance with County Executive Order 2-2018, the Contractor has disclosed as part of its response to the County's Business History Form, or other disclosure form(s), any and all instances where the Contractor employs any spouse, child, or parent of a County employee of the agency or department that contracted or procured the goods and/or services described under this Agreement. The Contractor shall have a continuing obligation, as circumstances arise, to update this disclosure throughout the term of this Agreement.

11. **Vendor Code of Ethics.** By executing this Agreement, the Contractor hereby certifies and covenants that:

- (i) The Contractor has been provided a copy of the Nassau County Vendor Code of Ethics issued on June 5, 2019, as may be amended from time to time (the "Vendor Code of Ethics"), and will comply with all of its provisions;
- (ii) All of the Contractor's Participating Employees, as such term is defined in the Vendor Code of Ethics (the "Participating Employees"), have been provided a copy of the Vendor Code of Ethics prior to their participation in the underlying procurement;
- (iii) All Participating Employees have completed the acknowledgment required by the Vendor Code of Ethics;
- (iv) The Contractor will retain all of the signed Participating Employee acknowledgements for the period it is required to retain other records pertinent to performance under this Agreement;
- (v) The Contractor will continue to distribute the Vendor Code of Ethics, obtain signed Participating Employee acknowledgments as new Participating Employees are added or changed during the term of this Agreement, and retain such signed acknowledgments for the period the



Contractor is required to retain other records pertinent to performance under this Agreement; and

The Contractor has obtained the certifications required by the Vendor Code of Ethics from any subcontractors or other lower tier participants who have participated in procurements for work performed under this Agreement.



Signature

William J. Joremus, Secretary-Treasurer

Printed Name and Title

2/12/2020

Date

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 20
2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 10

b. as a Subcontractor 20

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

See Attached

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

See Attached

(use additional blank sheets if additional space is necessary)

5. Have you:
 - a. ever failed to complete any work awarded to You? No
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

- b. ever been defaulted on a contract? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

- c. ever been declared a non-responsible bidder by any municipality or public agency? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

- d. ever been barred from bidding municipal or public contracts? No
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

- a. that failed to complete a construction contract? No
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

- b. that has ever been defaulted on a contract? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

- c. that has ever been declared a non-responsible bidder by any municipality or public agency? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

- d. that has ever been barred from bidding municipal or public contracts? No
If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Richard O. Felicetta	President	34	General & Mechanical Construction	Executive & Project Management
John C. Doremus	Vice President	30	General & Mechanical Construction	Executive & Project Management
John E. Koziarz	Vice President	30	General & Mechanical Construction	Project Management & Estimating
William J. Doremus	Secretary-Treasurer	20	General & Mechanical Construction	Finance/Risk Mgmt.

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	--	------------------	------------------

See Attached

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

None / Not Applicable

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?
Explain in detail.

Review of Contract Documents (Drawings and Specifications)

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

We will perform in accordance with the approved Critical Path Method (CPM) Schedule

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

John Doremus / John Koziarz

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
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See Attached

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



Richard O. Felicetta, President

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

William J. Doremus, Secretary-Treasurer
Name and Title of Authorized Representative

[Signature]
Signature

m/d/yy

4/16/2020
Date

R. J. Industries, Inc.
Name of Organization

75 East Bethpage Road
Plainville, NY 11803
Address of Organization

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
CONSULTANT/ CONTRACTOR DETAILED MBE/WBE UTILIZATION PLAN

Part 1- General Information:

Consultant/Contractor Name: R.J. Industries, Inc.
Address (street/city/state/zip code): PO BOX 349, Plainview, NY 11803
Authorized Representative (name/title): John Koziarz, Vice President
Authorized Signature:
Contract Number: S35100-03GR
Contract/Project Name: Cedar Creek WPCP Improvements to Digester Gas Handling
Contract/Project Description: Improvements to digester gas handling.

Part 2- Projected MBE/WBE Contract Summary:

	Amount (\$)		Percentage (%)
Total Dollar Value of the Prime Contract	\$3,927,700		
Total MBE Dollar Amount		MBE Contract Percentage	
Total WBE Dollar Amount		WBE Contract Percentage	
Total Combined M/WBE Dollar Amount		Combined M/WBE Contract Percentage	

Part 3- MBE Information (use additional blank sheets as necessary):

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount(\$) and Award Date	MBE Contract Scheduled Start Date and Completion Date
Name: FCS Group LLC. (MBE) Address: 57-12 Grand Ave., Second Floor City: Maspeth State/Zip Code: New York, 11378 Authorized Representative: Will Gonzalez Telephone No. 516-599-4100	Painting	Amount (\$): \$30,000.00 <hr/> Award Date: TBD <hr/>	Start Date: TBD <hr/> Completion Date: TBD <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: TBD <hr/>	Start Date: TBD <hr/> Completion Date: TBD <hr/>
Name: Address: City: Tarrytown State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: TBD <hr/>	Start Date: TBD <hr/> Completion Date: TBD <hr/>

Part 3- MBE Information (use additional blank sheets as necessary):

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount(\$) and Award Date	MBE Contract Scheduled Start Date and Completion Date
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>

Part 4- WBE Information (use additional blank sheets as necessary):

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount(\$) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: Hailey Insulation Corp. (WBE) Address: 815 Route 25A City: Rocky Point State/Zip Code: New York, 111778 Authorized Representative: Ed Wagner Telephone No. 631-689-0450	Pipe Insulation	Amount (\$): \$3,000.00 <hr/> Award Date: TBD <hr/>	Start Date: TBD <hr/> Completion Date: TBD <hr/>
Name: PDK Commercial Photographers, Ltd., DBA Bernstein Associates (WBE) Address: 828 South Broadway City: Tarrytown State/Zip Code: New York, 10591 Authorized Representative: Alan Kazin Telephone No. 914-674-9100	Photography	Amount (\$): \$2,000.00 <hr/> Award Date: TBD <hr/>	Start Date: TBD <hr/> Completion Date: TBD <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>

Part 4- WBE Information (use additional blank sheets as necessary):

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount(\$) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name:		Amount (\$):	Start Date:
Address:			
City:			
State/Zip Code:		Award Date:	Completion Date:
Authorized Representative:			
Telephone No.			
Name:		Amount (\$):	Start Date:
Address:			
City:			
State/Zip Code:		Award Date:	Completion Date:
Authorized Representative:			
Telephone No.			
Name:		Amount (\$):	Start Date:
Address:			
City:			
State/Zip Code:		Award Date:	Completion Date:
Authorized Representative:			
Telephone No.			