



Certified:

**B-30-20**

filed with the Clerk of the  
Clerk of the Nassau County Legislature  
September 25, 2020 4:22pm

**NIFS ID: Department: Public Works**

**Capital: X**

SERVICE: Glen Cove Svc Area PS Repairs & Improvements-S3P312-02G

Contract ID #:S3P312-02G

NIFS Entry Date:

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	Y
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Material Adverse Information Identified? (if yes, attach memo):	N
5) Insurance Required	N

<b>Vendor Info:</b>	
Name: <b>R.J. Industries, Inc.</b>	Vendor ID#: <b>11-3401288</b>
Address: 75 East Bethpage Road Plainview, NY 11803	Contact Person: William J. Doremus
	Phone: (516) 845-9772, ext 138

<b>Department:</b>
Contact Name: Visone, Edward F
Address: NCDPW CCWPCP 3340 Merrick Road Wantagh, NY 11793 Phone: 516-571-7359

## Routing Slip

Department	NIFS Entry: X	10-JUL-20 -- LDIONISIO
Department	NIFS Approval: X	16-JUL-20 -- KARNOLD
DPW	Capital Fund Approved: X	16-JUL-20 -- KARNOLD
OMB	NIFA Approval: X	13-SEP-20 -- CNOLAN
OMB	NIFS Approval: X	21-JUL-20 -- NGUMIENIAK
County Atty.	Insurance Verification: X	16-JUL-20 -- AAMATO

County Atty.	Approval to Form: X	16-JUL-20 -- DGRIPPO
CPO	Approval: X	18-SEP-20 -- KOHAGENCE
DCEC	Approval: X	21-SEP-20 -- JCHIARA
Dep. CE	Approval: X	21-SEP-20 -- BSCHNEIDER
Leg. Affairs	Approval/Review: X	25-SEP-20 -- JSCHANTZ
Legislature	Approval:	
Comptroller	Deputy:	
NIFA	NIFA Approval:	

## Contract Summary

<b>Purpose:</b> This is a contract for the replacement of outdated and obsolete equipment at the Central Homes, Long Meadow and Dana's Highway sewage pump stations to enhance the reliability, energy efficiency and useful life of these three stations. The work also includes the replacement of the Dock Place pump station force main.
<b>Method of Procurement:</b> The contract was publicly bid in accordance with General Municipal Law 103.
<b>Procurement History:</b> Request for sealed bids was advertised in Newsday, NYSCR & NC eProcure on 12/04/2019 and the sealed bids were publicly opened on 01/01/2020. R.J. was the lowest of three (3) received for this project.
<b>Description of General Provisions:</b> The principal features of the work to be performed under this contract consist of repairs and improvements to the existing Central Homes, Long Meadow, and Dana's Highway Pump Stations and replacement of a portion of the Dock Place Pump Station force main in Glen Cove
<b>Impact on Funding / Price Analysis:</b> Maximum amount \$ 4,792,470.00. Adequate funds for this contract are available in Capital Project No. 3P312. There is currently a 20% MWBE utilization rate
<b>Change in Contract from Prior Procurement:</b> NA
<b>Recommendation: (approve as submitted)</b> The Department recommends this contract be approved as submitted.

## Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	CSW					
Control:	3P	Revenue		1	PWCSWC SW/3P31	\$ 4,792,470.00
Resp:	312	Contract:			2/00004/002	\$ 0.00
Object:	00004	County	\$ 0.00			\$ 0.00
Transaction:	CH	Federal	\$ 0.00			\$ 0.00
Project #:	3P312	State	\$ 0.00			\$ 0.00
Detail:	002	Capital	\$ 4,792,470.00			\$ 0.00
		Other	\$ 0.00			\$ 0.00
		<b>TOTAL</b>	<b>\$ 4,792,470.00</b>		<b>TOTAL</b>	<b>\$ 4,792,470.00</b>
RENEWAL						
% Increase						
% Decrease						



# B30-20

RULES RESOLUTION NO. -2020

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND R.J. INDUSTRIES, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS [“Department”] has received competitive bids for contract S3P312-02G, for GLEN COVE SERVICE AREA PUMP STATION REPAIRS & IMPROVEMENTS, NASSAU COUNTY, NY [“Contract”], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of R.J. INDUSTRIES, INC.

[“Vendor”] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 4,792,470.00, now therefore be it



RESOLVED, that the Rules Committee of the Nassau County Legislature,  
based on the representations of the Department and the recommendation of the  
Commissioner of the Department, authorizes the County Executive to award and execute  
the said contract with the vendor.



Nassau County Interim Finance Authority

**Contract Approval Request Form (As of January 1, 2015)**

**1. Vendor:** R.J. Industries, Inc.

**2. Dollar amount requiring NIFA approval:** \$4792470

**Amount to be encumbered:** \$4792470

This is a New

If new contract - \$ amount should be full amount of contract

If advisement – NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

**3. Contract Term: This contract will commence upon written NTP from the Commissioner of NCDPW and conclude twenty (20) months thereafter.**

Has work or services on this contract commenced? N \_\_\_\_\_

If yes, please explain:

**4. Funding Source:**

General Fund (GEN)	Grant Fund (GRT)	Federal % 0
X Capital Improvement Fund (CAP)		State % 0
Other		County % 0

Is the cash available for the full amount of the contract? N

If not, will it require a future borrowing? Y

Has the County Legislature approved the borrowing? Y

Has NIFA approved the borrowing for this contract? N

**5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:**

The Central Homes, Long Meadow, and Dana's Highway sewage pump stations are located in the Glen Cove service area and are in need of replacement. These pump stations have not undergone any major repair or improvements in over a decade and most of the equipment and systems are antiquated and approaching the end of their useful life. The replacement of outdated and obsolete equipment will enhance reliability, improve energy efficiency and reduce operating and maintenance costs. In addition to the pump station work, the Dock Place pump station force main is in need of replacement.

**6. Has the item requested herein followed all proper procedures and thereby approved by the:**

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

**Date of approval(s) and citation to the resolution where approval for this item was provided:**

**7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:**

Contract ID	Date	Amount

## AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

13-SEP-20

**Authenticated User**

**Date**

## COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

**Authenticated User**

**Date**

## NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

**Authenticated User**

**Date**

**NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.**

**NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.**

**NIFA reserves the right to request additional information as needed.**

Jack Schnirman  
Comptroller



OFFICE OF THE COMPTROLLER  
240 Old Country Road  
Mineola, New York 11501

## COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

CONTRACTOR NAME: R.J. Industries, Inc.

CONTRACTOR ADDRESS: 75 East Bethpage Rd.; PO Box 349 Plainview, NY 11803

FEDERAL TAX ID #: 11-3401288

**Instructions:** Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

**I. ☑ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids.** The contract was awarded after a request for sealed bids was published in Newsday & NC eProcure [newspaper] on 12/04/2019 [date]. The sealed bids were publicly opened on 1/21/2020 [date]. 3 [#] of sealed bids were received and opened.

**II. ☑ The contractor was selected pursuant to a Request for Proposals.**

The Contract was entered into after a written request for proposals was issued on \_\_\_\_\_ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in \_\_\_\_\_ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on \_\_\_\_\_ [date]. \_\_\_\_\_ [state #] proposals were received and evaluated. The evaluation committee consisted of: \_\_\_\_\_

\_\_\_\_\_ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

**III. ☐ This is a renewal, extension or amendment of an existing contract.**

The contract was originally executed by Nassau County on \_\_\_\_\_ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after \_\_\_\_\_

\_\_\_\_\_  
[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

**IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.**

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

**V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.**

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

**VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated.** Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

**VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services.** The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

**Instructions with respect to Sections VIII, IX and X:** All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

**VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts.** The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

**IX. ☒ Department MWBE responsibilities.** To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

**X. ☐ Vendor will not require any sub-contractors.**

**In addition, if this is a contract with an individual or with an entity that has only one or two employees:** ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41*, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

  
Department Head Signature

7/8/20  
Date

***NOTE:*** Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

# Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, William J Doremus state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

## Vendor Disclosures

*This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.*

Name of Submitting Entity: R.J. Industries, Inc.

Vendor's Address: 75 East Bethpage Road Plainview NY US 11803

Vendor's EIN or TIN: 11-3401288

Forms Submitted: \_\_\_\_\_

Political Campaign Contribution Disclosure Form:  
09/17/2020 12:00:29 PM

Lobbyist Registration and Disclosure Form:  
09/11/2020 12:12:00 PM

Business History Form certified:  
08/26/2020 04:43:57 PM

Consultant's, Contractor's, and Vendor's Disclosure Form:  
09/24/2020 04:36:47 PM



# Principal Questionnaire(s)

*This refers to the most recent principal questionnaire submissions.*

Principal Name	Date Certified
William J. Doremus [BJD@RJII.NET]	08/26/2020 04:10:58 PM
John Doremus [JDOREMUS@RJII.NET]	08/26/2020 04:23:00 PM
John Koziarz [JKOZIARZ@RJII.NET]	08/27/2020 11:18:46 AM
Richard O. Felicetta [RFELICETTA@RJII.NET]	08/26/2020 04:20:21 PM

I, William J Doremus hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

## CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

William J. Doremus

*Name*

Secretary-Treasurer

*Title*

R.J. Industries, Inc.

*Name of Submitting Entity*

09/24/2020 04:41:10 PM

*Date*

## Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

**NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.**

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 02/11/2020

1) Proposer's Legal Name: R.J. Industries, Inc.

2) Address of Place of Business: 75 East Bethpage Road

City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803

Country: US

3) Mailing Address (if different): \_\_\_\_\_

City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_

Country: \_\_\_\_\_

Phone: \_\_\_\_\_

Does the business own or rent its facilities? Own If other, please provide details:

\_\_\_\_\_

4) Dun and Bradstreet number: none

5) Federal I.D. Number: 11-3401288

6) The proposer is a: Corporation (Describe) \_\_\_\_\_

7) Does this business share office space, staff, or equipment expenses with any other business?

YES ☐ NO ☒ If yes, please provide details:

\_\_\_\_\_

8) Does this business control one or more other businesses?

YES ☒ NO ☐ If yes, please provide details:

RJ East Bethpage road, LLC (owns the real estate at 75 East Bethpage Road; Plainview, NY 11803, which is the home office location of R.J. Industries, Inc.). R.J. Industries, Inc. is the sole owner of this business.

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

YES ☒ NO ☐ If yes, please provide details:

R.J.I. Mechanical, Inc. is an affiliate of R.J. Industries, Inc. R.J.I. Mechanical, Inc. is 51% owned by John E. Koziarz, who is a minority owner and Vice President of R.J. Industries, inc.

- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?

YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).

- 11) Has the proposer, during the past seven years, been declared bankrupt?

YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets

- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17 Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

- b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

We would be pleased to assist Nassau County efforts to eliminate potential conflict of interest or the appearance of a conflict of interest.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

- i) Date of formation;

09/02/1997

- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

Richard O. Felicetta, President; 12 Allenby Drive, Ft. Salonga, NY 11768  
John C. Doremus, Vice President; 17 Melbourne Road, Great Neck, NY 11201  
John E. Koziarz, Vice President; 15 Warwick Road, Rockville Centre, NY 11570  
William J. Doremus, Secretary-Treasurer; Northport, NY 11768

*No individuals with a financial interest in the company have been attached..*

- iii) Name, address and position of all officers and directors of the company. If none, explain.

Richard O. Felicetta, President; 12 Allenby Drive, Ft. Salonga, NY 11768  
John C. Doremus, Vice President; 17 Melbourne Road, Great Neck, NY 11201  
John E. Koziarz, Vice President; 15 Warwick Road, Rockville Centre, NY 11570  
William J. Doremus, Secretary-Treasurer; Northport, NY 11768

*No officers and directors from this company have been attached.*

- iv) State of incorporation (if applicable);

NY

- v) The number of employees in the firm;

45

- vi) Annual revenue of firm;

56250000

- vii) Summary of relevant accomplishments

see attached

viii) Copies of all state and local licenses and permits.

B. Indicate number of years in business.

22

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

see attached list of projects

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Suffolk County, Department of Public Works

Contact Person Janice McGovern, PE

Address 335 Yaphank Avenue

City Yaphank State/Province/Territory NY

Country US

Telephone (631) 852-4188

Fax #

E-Mail Address janice.mcgovern@suffolkcountyny.gov

Company H2M Group

Contact Person Chris Weiss

Address 538 Broadhollow Road

City Melville State/Province/Territory NY

Country US

Telephone (631) 756-8000

Fax #

E-Mail Address cweiss@h2m.com

Company D&B Engineers & Architects

Contact Person Joseph Marturano

Address 330 Crossways Park Drive

City Woodbury State/Province/Territory NY

Country US

Telephone (516) 364-9890

Fax #

E-Mail Address jmarturano@db-eng.com

I, William J. Doremus , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, William J. Doremus , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

### **CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: R.J. Industries, Inc.

Electronically signed and certified at the date and time indicated by:  
William J. Doremus [BJD@RJII.NET]

Secretary-Treasurer  
Title

02/11/2020 01:22:29 PM  
Date

**R.J. Industries, Inc.**

Prime Contracts In Progress

<b><u>PROJECT &amp; LOCATION</u></b>	<b><u>OWNER</u></b>	<b><u>CONTACT/CONSULTANT</u></b>	<b><u>ORIGINAL CONTRACT AMOUNT</u></b>	<b><u>SUBSTANTIAL COMPLETION DATE</u></b>	<b><u>% COMPLETE</u></b>
<b><u>RJ Industries, Inc.</u></b>					
Cedar Creek WPCP Effluent Screening & Disinfection Facility Improv. (Contract S3C067-03G)	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$11,197,700	March-20	95%
Bay Park Sewage Treatment Plant Level 1 - Biological Nutrient Removal (BNR) Improvements (Contract S35123-L39G)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$18,947,700	March-20	88%
Bay Park Sewage Treatment Plant Engine Generator Facility (Contract S35121-16A)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$2,977,700	March-20	99%
Calverton Sewer District Sewage Treatment Plant Upgrade Contract G	Town of Riverhead	Michael Reichel <a href="mailto:reichel@townofriverheadny.gov">reichel@townofriverheadny.gov</a> 631-727-3069	\$5,793,700	March-21	11%
<b><u>BSRJ A T/V</u></b>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$97,447,200	June-20	97%
<b><u>RJ / Scalamandre, JV</u></b>					
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$15,677,700	June-20	86%
<b><u>RJ / Martin, JV</u></b>					
Coney Island WWTP Level 1 Step Feed BNR Upgrade (Contract: SF-BNR-CI)	NYC DEP	Stanley Joseph 718-595-7800	\$46,874,100	March-22	38%

as of December 31, 2019



**R.J. Industries, Inc.**

<b><u>PROJECT &amp; LOCATION</u></b>	<b><u>OWNER</u></b>	<b><u>Contractor / Consultant</u></b>	<b><u>CONTRACT AMOUNT</u></b>	<b><u>DATE COMPLETED</u></b>
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	2019
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	2017
Car Washer Repairs at 239th Street Yard, Concourse Yard and Pelham Yard (Bronx) Contract No C-34913	MTA - New York City Transit	Demitrios Milonas 646-252-4127	\$10,733,360	2021
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visone 516-571-7359	\$7,647,700	2017
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Joe Davenport <a href="mailto:jdavenport@nassaucountyny.gov">jdavenport@nassaucountyny.gov</a> 516-571-7515	\$16,187,700	2017
Bay Park Sewage Treatment Plant Electrical DistribSystem Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a T/V)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$28,956,674	2016
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improveemebts to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$24,767,700	2016

**R.J. Industries, Inc.**

<b><u>PROJECT &amp; LOCATION</u></b>	<b><u>OWNER</u></b>	<b><u>Contractor / Consultant</u></b>	<b><u>CONTRACT AMOUNT</u></b>	<b><u>DATE COMPLETED</u></b>
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$3,937,700	2016
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3)	County of Suffolk	John Berchtold	\$2,347,860	2013

As of December 31, 2019

**R.J. Industries, Inc.**

<b><u>PROJECT &amp; LOCATION</u></b>	<b><u>OWNER</u></b>	<b><u>Contractor / Consultant</u></b> 631-852-4189	<b><u>CONTRACT AMOUNT</u></b>	<b><u>DATE COMPLETED</u></b>
Screening Room Odor Control General Construction & HVAC Contracts				
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection System & Related Infrastructure Repairs	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$2,701,537	2013
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartillucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading Rive CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011

**R.J. Industries, Inc.**

<b><u>PROJECT &amp; LOCATION</u></b>	<b><u>OWNER</u></b>	<b><u>Contractor / Consultant</u></b>	<b><u>CONTRACT AMOUNT</u></b>	<b><u>DATE COMPLETED</u></b>
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW Ken Arnold 516-571-9604	\$3,647,700	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011
Cedar Creek WPCP Install New Gravity Belt Thickener	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bletsch H2M Group 631-756-8000	\$929,800	2010
Great Neck Water District Concrete Clearwell - Weybridge Road General Construction	Great Neck Water Dist.	Dvirka & Bartiluci Bill Merklin 516-595-4845	\$648,000	2010

As of December 31, 2019

***R.J. Industries, Inc.***

<b><u>PROJECT &amp; LOCATION</u></b>	<b><u>OWNER</u></b>	<b><u>Contractor / Consultant</u></b>	<b><u>CONTRACT AMOUNT</u></b>	<b><u>DATE COMPLETED</u></b>
SUNY - Maritime Replace Fuel Oil Tanks (Ft. Schuyler)	State University Construction Fund	Richard Brown 518-320-3204	\$1,998,050	2010
Sea Cliff Install 600,000 Gallon Elevated Tank	Aqua New York Matthew Snyder	Caldwell Tank, Inc (GC) Jenny Ferguson 502-964-3361	\$288,000	2009
Carle Place Water District Pump Sta. 4 Treatment Improvements & Building Rehab	Carle Place Water Dist.	Sidney Bowne Joseph Todaro, PE 516-746-2350	\$164,830	2009
Huntington WWTP Install Ultraviolet (UV) Disinfection System	Town of Huntington	H2M Group Steve Hearl 631-756-8000	\$568,800	2008
Port Jefferson WWTP Plant Reconstruction (General & Mechanical Contract)	Suffolk County DPW	H2M Group Frank Russo 631-756-8000	\$18,434,000	2008
Huntington Sewer District Treatment Plant Upgrade	Town of Huntington	H2M Group Chris Weiss 631-756-8000	\$13,298,460	2008
Keyspan - Barrett Bridge Install Piles and Foundation	Keyspan Energy	Jim Walsh 516-545-6277	\$643,348	2007
Westbury Water District Pump Modifications to Well #16 - General Construction Contract	Westbury Water District	Dvirka & Bartilucci William Merklin 516-364-9880	\$239,640	2006
Oyster Bay Pump Station Install Nitrogen Removal Facility	Oyster Bay Sewer District	Steven Hearle, PE H2M Group 631-756-8000	\$7,332,500	2006

As of December 31, 2019

**R.J. Industries, Inc.**

<b><u>PROJECT &amp; LOCATION</u></b>	<b><u>OWNER</u></b>	<b><u>Contractor / Consultant</u></b>	<b><u>CONTRACT AMOUNT</u></b>	<b><u>DATE COMPLETED</u></b>
Port Washington UFSD Daly Elementary School 9 (GC)	Port Washington UFSD	Turner Construction John Trommel 516-767-0446	\$6,364,150	2005
Port Washington UFSD Weber Middle School (GC & Mechanical)	Port Washington UFSD	Turner Construction John Trommel 516-767-0446	\$9,859,900	2004
Rikers Island EMS Tank Replacements	NYC - Dept. Design and Construction	LiRo Engineering Michael Benedetti 516-938-5476	\$543,089	2004
Port Washington UFSD Guggenheim & Manorhaven Schools (Alterations)	Port Washington UFSD	Turner Construction John Trommel 516-767-0446	\$1,425,140	2003
Port Washington - Newlist Avenue Install Carbon Adsorption Sys	Port Washington Water Authority	Dvirka & Bartilucci Bill Merklin 516-364-9892	\$774,623	2003
Westbury Water District Construct Well (#9) Facility	Westbury Water District	Dvirka & Bartilucci Bill Merklin 516-595-4845	\$579,400	2003
Various NYC Bus Depots Air Compressor Replacements	Metropolitan Transportation Authority (MTA)	NYCTA John Goonan 646-252-4119	\$677,565	2002
Upgrade Petroleum Product Storage (C-33000) - Various NYC Locals	Metropolitan Transportation Authority (MTA)	NYCTA Joe Trainor 646-252-3467	\$4,805,450	2001



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☒ NO ☐ If yes, to what campaign committee?

In 2019: Vendor contributed \$7,000 to Laura Gillen and \$250 to Klacik for Congress  
In 2018: the vendor contributed \$1,000 to (Laura) Curran for Nassau County and \$8,000 to Laura Gillen. The President (Richard O. Felicetta) contributed \$1,000 to Curran for Nassau County and VP (John C. Doremus) contributed \$1,000 to Curran for Nassau County.  
In 2017: the vendor contributed \$2,500 to Laura Curran, \$1,000 to Jack Schnirman (for Long Beach) and \$2,000 to Steve Labriolla (for Controller). John C. Doremus (VP) contributed \$2,500 to Laura Curran and \$1,000 to Jack Schnirman. Richard O. Felicetta (President) contributed \$9,100 to Laura Curran.  
2016: Vendor contributed \$1,000 to Friends of Suozzi, \$200 to Ed Mangano and \$1,700 to the Hicksville Republican Club

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:

William J. Doremus [BJD@RJII.NET]

Dated: 02/11/2020 01:05:37 PM

Vendor: R.J. Industries, Inc.

Title: Secretary-Treasurer



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

R.J. Industries, Inc. does not participate in any lobbying activities. None of it's officers are involved in lobbying.

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

Not applicable (see response to question #1).

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

Not applicable (see response to question #1).

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See the last page for a complete description of lobbying activities.

Not applicable (see response to question #1).

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

Not applicable (see response to question #1).

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby. separately attach such a written authorization from the client.



7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee? If none, you must so state:

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:  
William J. Doremus [BJD@RJII.NET]

Dated: 02/11/2020 02:10:31 PM

Vendor: R.J. Industries, Inc.

Title: Secretary Treasurer

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

**The term "lobbying" or "lobbying activities" does not include:** Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: John E. Koziarz  
Date of birth: 09/13/1965  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]  
Country: US

Business Address: 75 East Bethpage Road  
City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803  
Country: US  
Telephone: (516) 779-5146

Other present address(es):  
City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_  
Telephone: \_\_\_\_\_

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	<u>01/01/2008</u>
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>01/01/2008</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

5% ownership stake

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

President and shareholder of R.J.I. Mechanical, Inc, which is an affiliate of R.J. Industries, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, John Koziarz , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, John Koziarz , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

R.J. Industries, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

John Koziarz [JKOZIARZ@RJII.NET]

Vice President

Title

03/13/2020 11:44:29 AM

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: William J. Doremus  
Date of birth: 09/29/1964  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]  
Country: US
- Business Address: 75 East Bethpage Road  
City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803  
Country: US  
Telephone: (516) 315-9108
- Other present address(es):  
City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_  
Telephone: \_\_\_\_\_

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)
- |                         |       |             |                   |
|-------------------------|-------|-------------|-------------------|
| President               | _____ | Treasurer   | <u>01/01/2008</u> |
| Chairman of Board       | _____ | Shareholder | <u>01/01/2008</u> |
| Chief Exec. Officer     | _____ | Secretary   | <u>01/01/2008</u> |
| Chief Financial Officer | _____ | Partner     | _____             |
| Vice President          | _____ |             |                   |
| (Other)                 | _____ |             |                   |
3. Do you have an equity interest in the business submitting the questionnaire?  
YES ☒ NO ☐ If Yes, provide details.  
5% ownership stake
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?  
YES ☒ NO ☐ If Yes, provide details.  
RJ Industries Inc is holding a note vs. William J Doremus. The current balance is \$52,000
5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?  
YES ☒ NO ☐ If Yes, provide details.  
Secretary-Treasurer of R.J.I. Mechanical, Inc, which is an affiliate of R.J. Industries, Inc.



6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?  
YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, William J. Doremus , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, William J. Doremus , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

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R.J. Industries, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

William J. Doremus [BJD@RJII.NET]

Secretary-Treasurer

Title

02/11/2020 12:49:13 PM

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: John C. Doremus  
Date of birth: 05/24/1966  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]  
Country: US
- Business Address: 75 East Bethpage Road  
City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803  
Country: US  
Telephone: (516) 805-7816
- Other present address(es):  
City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_  
Telephone: \_\_\_\_\_

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)
- |                         |                   |             |                   |
|-------------------------|-------------------|-------------|-------------------|
| President               | _____             | Treasurer   | _____             |
| Chairman of Board       | _____             | Shareholder | <u>09/02/1997</u> |
| Chief Exec. Officer     | _____             | Secretary   | _____             |
| Chief Financial Officer | _____             | Partner     | _____             |
| Vice President          | <u>09/02/1997</u> |             |                   |
| (Other)                 | _____             |             |                   |
3. Do you have an equity interest in the business submitting the questionnaire?  
YES ☒ NO ☐ If Yes, provide details.  
45% ownership stake
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?  
YES ☐ NO ☒ If Yes, provide details.  
\_\_\_\_\_
5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?  
YES ☒ NO ☐ If Yes, provide details.  
Vice President and shareholder of R.J.I. Mechanical, Inc, which is an affiliate of R.J. Industries, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?  
YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.



I, John C. Doremus , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, John C. Doremus , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

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R.J. Industries, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

John C. Doremus [JDOREMUS@RJII.NET]

Vice President

Title

02/10/2020 01:30:20 PM

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Richard O. Felicetta  
Date of birth: 12/07/1960  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]  
Country: US
- Business Address: 75 East Bethpage Road  
City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803  
Country: US  
Telephone: (516) 805-7998
- Other present address(es):  
City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_  
Telephone: \_\_\_\_\_

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u>09/02/1997</u>	Treasurer	_____
Chairman of Board	_____	Shareholder	<u>09/02/1997</u>
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

45% Ownership stake in R.J. Industries, Inc.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Vice President and shareholder in R.J.I. Mechanical, Inc., which is an affiliate of R.J. Industries, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Richard Felicetta , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Richard Felicetta , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

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R. J. Industries

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Richard Felicetta [RFELICETTA@RJII.NET]

President

Title

02/10/2020 09:57:30 AM

Date

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: R.J. Industries, Inc

Address: 75 East Bethpage Road

City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803

Country: US

2. Entity's Vendor Identification Number: 11-3401288

3. Type of Business: Closely Held Corp (specify) \_\_\_\_\_

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

1 File(s) uploaded RJ Industries.doc

*No principals have been attached to this form.*

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

Richard O. Felicetta, President;	
John C. Doremus, Vice President;	
John E. Koziarz, Vice President;	
William J. Doremus, Secretary-Treasurer;	

4 File(s) uploaded RJ Industries.doc, RJ Industries.doc, RJ Industries.doc, RJ Industries.doc

*No shareholders, members, or partners have been attached to this form.*

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

R.J.I. Mechanical, Inc. is an affiliate of R.J. Industries, Inc.. At the present, we are not planning to use R.J.I. Mechanical, Inc. to perform on any Nassau County DPW contracts.
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7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES ☐ NO ☒

(a) Name, title, business address and telephone number of lobbyist(s):

None

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

None (no lobbying activity).

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None (no lobbying activity).

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

William J Doremus [BJD@RJII.NET]

Dated: 09/24/2020 04:36:47 PM

Title: Secretary-Treasurer



**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

RJ Industries, Inc.  
11-3401288  
New York State S-Corporation

**Officers / Principals**

Richard O. Felicetta: President,  
John Doremus: Vice President,  
John Koziarz: Vice President –  
William Doremus: Secretary & Treasurer,

**Safety/Risk Management/Engineering**

Richard A. Felicetta – Field Risk Manager  
Bob Sevier – Engineering/Drafting  
Irto Kim – Field Engineering/Survey/Drafting

**Project Management / Supervision / MWBE Procurement**

Keith Olsen – Sr. Project Manager (Purchasing & Subcontract Management)  
Joshua Rogers – Project Manager  
Nicholas Felicetta – Project Manager  
Maram Alam – Project Manager  
Thomas Fallon – Project Manager  
Joseph Felicetta – Supervisor  
Todd Melzer – Supervisor  
Tony Etersque – Supervisor (Mechanical)  
Simone Washington – MWBE Officer

**Main Office**

Maryanne Hilton – Controller  
Evelyn Dellaratta- Office Manager  
Lynn Saccone – Purchasing Assistant  
Anthony Dellaratta – Contract Administration & Staff Assistant to the Controller

Union Staff includes Engineers, Carpenters, Plumbers, Steamfitters, Laborers

COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
Inter-Departmental Memo

TO: Office of the County Executive  
Att: Brian J. Schneider, Deputy County Executive

FROM: Kenneth G. Arnold, Commissioner

DATE: March 19, 2020

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: S3P312-02G

Title: Repair and Improvements to the Central Homes, Long Meadow, and Dana's Highway Pump Stations in the City of Glen Cove

Engineer's Estimate: \$5,823,711.00

Bids Received On: January 21, 2020

The bids received for the above referenced contract have been examined and RJ Industries is the lowest responsible bidder in the amount as follows:

General Construction - Central Homes Pump Station (Lump Sum Base Bid):	\$ 1,650,000.00
General Construction - Long Meadow Pump Station (Lump Sum Base Bid):	\$ 1,130,000.00
General Construction - Dana's Highway Pump Station (Lump Sum Base Bid):	\$ 1,594,470.00
General Construction - Dock Place Force Main Improvements (Lump Sum Base Bid):	\$ 368,000.00
Allowance (Miscellaneous Additional Work)	\$ 50,000.00
<b>Bid Total:</b>	<b>\$ 4,792,470.00</b>

The lowest responsible bid is 17.7% lower than the Engineer's Estimate. Three total (3) bids were received for this contract.

Funding for the construction services is available under capital project 3P312.

If you approve or disapprove of the above request, please signify below and return the memo to this office for appropriate action.



Kenneth G. Arnold  
Commissioner

KGA:VF:rp  
Attachment

cc: Christopher Nolan, Deputy Budget Director  
Vincent Falkowski, Deputy Commissioner  
Roseann D'Alleva, Deputy Commissioner  
Loretta Dineen, Assistant to Deputy Commissioner of Administration  
Edward Vinoge, Assistant Superintendent of Sanitary Construction  
Graham Sharkey/Adrian Hamilton, Jacobs

APPROVED:

DISAPPROVED:

  
Brian J. Schneider  
Deputy County Executive

Date

Brian J. Schneider  
Deputy County Executive

Date

\\NAS-01\Public\For Signature\Water Management\Public\3P312-02G ReAMemo 2020-03-19.doc





architects + engineers

538 Broad Hollow Road, 4<sup>th</sup> Floor East  
Melville, NY 11747 | tel 631.756.8000

March 9, 2020

Mr. Edward Visone  
Nassau County Dept. of Public Works  
Cedar Creek WPCP Construction Office  
3340 Merrick Road  
Wantagh, New York 11793

**Re: Repair and Improvements to the Central Homes, Long Meadow and  
Dana's Highway Pump Stations in the City of Glen Cove  
Recommendation to Award  
NCDPW Contract ID No.: S3P312-02G  
H2M File No.: NCDP 15-02**

Dear Mr. Visone:

On January 21, 2020, three (3) bids were opened by Nassau County for Contract No.: S3P312-02G. As indicated on the attached bid tabulation prepared by the County, the low bidder is:

R.J. Industries, Inc.  
75 East Bethpage Road  
Plainview, New York 11803-0349

R.J. Industries, Inc. Total Bid (sum of Items 1 through 5) is in the amount of \$4,792,470.00.

A comparison of the bid item amounts to the cost opinion on the 95% documents is as follows:

Item No.	95% Cost Opinion (includes 5% contingency)	R.J. Industries Bid	% Bid to Cost Opinion
1	\$2,051,593	\$1,650,000.00	80.4%
2	\$1,196,698	\$1,130,000.00	94.4%
3	\$2,106,010	\$1,594,470.00	75.7%
4	\$419,410	368,000.00	87.7%
5 (Allowance)	\$50,000	\$50,000.00	----
TOTAL	\$5,823,711	\$4,792,470.00	82.3%

Item No. 3 is for the improvements to the Dana's Highway Pump Station. The cost opinion included work to create a parking area on the north side of the pump station. This work was deleted prior to bidding. The cost opinion was \$151,269 for this item. Deducting this amount from the cost opinion increases the %Bid to Cost opinion to 81.6% for Item 3 and the total project to 84.5%. The 95% cost opinion included a 5% design contingency. Excluding the elimination of the parking area on the north side of the Dana's Highway Pump Station, there were no other significant changes to the 95% bid documents. Eliminating the design contingency reduces the total cost opinion by \$272,808 and increases the %Bid to the total project to 88.8%.

On page P-13, the contractor included "or equal after the name of each proposed manufacturer. The instruction on P-11 indicate to fill the name and address of the one proposed manufacturer for each item. Page P-17 has a space to identify the roofing company. The scope of work at Central Homes and Long Meadow includes roofing. No roofing contractor was indicated. We understand the low bidder completed and submitted revisions to these pages with the information after the bid. The County will need to determine if not completing these forms prior to submission of the bid is acceptable. If yes, please let us know since the revised pages will need to be included in the conformed documents.



On the revised page P-13, R.J. Industries, Inc. indicated the sewage pumps would be provided by Grundfos. As noted in Section 11316 1.5C acceptable alternate manufacturers for submersible sewage pumps include Grundfos S pumps. The listing of this alternate manufacture does not guarantee the acceptability of the entire product line for that manufacturer. The specific equipment must be of equal quality and performance. By listing one of the substitute products in his/her bid, the Contractor understands that he/she is ultimately responsible for all design modifications and associated costs that may be required to adapt, modify, and otherwise satisfy the design and operating requirements.

This Contract is subject to Nassau County Local Laws for Participation by Minority Group Members and Women in Nassau County Contracts and for Participation of Service-Disabled Veterans in County Contracts. The contractor submitted EPA Form 6100-4 (DBE Subcontractor Utilization Form). The County will need to determine if County utilization plan requirements have been met.

The apparent low bidder has worked previously on multiple wastewater pump station and treatment plant improvement projects for the County. The contractor has successfully completed projects at wastewater facilities where H2M was the design engineer and provided services during construction. Therefore, pending the County determination of the low bidder not completing two forms prior to submission of the bid is acceptable, we recommend Nassau County award Contract No.: S3P312-02G to R.J. Industries, Inc. under the Total Bid (Sum of Item 1 through 5) in the amount of \$4,792,470.00.

Upon notification of award by the County, conformed copies of the bid documents will be forwarded to you.

Please call me at (631) 756-8000, extension 1510 should you have any questions concerning this letter.

Very truly yours,

**H2M architects + engineers**

A handwritten signature in blue ink that reads "Steven C. Hearl".

Steven C. Hearl, P.E., LEED AP  
Vice President

Enclosure

cc: Graham Sharkey

X:\NCDP (Nassau County DPW) - 10677\NCDP1502 Glen Cove Pump Station Improvements\CORRESPONDENCE\Bid award S3P312-02G ltr out 2020 0309.docx

GLEN COVE SERVICE AREA PUMP STATION REPAIRS AND IMPROVEMENTS

**Bid Opening:** 1 /21/2020

**Engineer:** Edward Visone

**Phone:** (516) 571-7359

<b>Contractor</b>	<b>Address</b>	<b>Insurance</b>	<b>Payment</b>	<b>Bid Amount</b>	<b>Alternate Bid</b>
RJ Industries	75 East Bethpage Road Plainview, NY 11803-0349	Liberty Mutual Insurance Comp	10% Bid amount	\$4,792,470.00	\$0.00
Philip Ross Industries, Inc.	88 Duryea Rd Suite 204 Melville, NY 11747	Liberty Mutual Insurance Comp	10% Bid amount	\$4,831,000.00	\$0.00
Posillico Civil, Inc.	1750 New Highway Farmingdale, NY 11735	Liberty Mutual Insurance Comp	10% Bid amount	\$5,915,000.00	\$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

11/28 TAI

**COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
Inter-Departmental Memo**

**TO:** Water/Wastewater Engineering Unit  
Att: Joseph L. Davenport, Unit Head

**FROM:** Office of the Commissioner


**DATE:** November 17, 2016

**SUBJECT:** CSEA Sub-Contracting Approval  
S3P312-02G General Construction services to repair/improve Central Homes,  
Dana's Highway and Long Meadow Pump Station  
C16-004

Please be aware in accordance with Section 32-3 of the CSEA/County Collective Bargaining Agreement (CBA), the Department has met with CSEA representatives to discuss your proposed DPW contract C16-004 . Pursuant to Section 32-3 of the CSEA/County CBA the CSEA has withdrawn the alternate.

Please prepare the necessary contract advisement to encumber funding for this work. Once the advisement is certified, you may issue the contractor a Notice to Proceed.

If you have any questions please speak with Jonathan Lesman.

  
Kenneth G. Arnold  
Assistant to Commissioner

KGA:jm

c: Richard P. Millet, Chief Deputy Commissioner  
Loretta Dionisio, Hydrogeologist II  
Jonathan Lesman, Management Analyst II

**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Civil Service Employees Association, Nassau Local 830 (fax 742-3801)  
Att: Ronald Gurrieri, Executive Vice President

**FROM:** Department of Public Works

**DATE:** October 13, 2016

**SUBJECT:** CSEA Notification of a Proposed DPW Contract  
Glen Cove Sewer Service Area: Central Homes, Dana's Highway, and Long Meadow  
Pump Station Repairs & Improvements  
Contract No. S3P312-02G General Construction

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members".

1. DPW plans to recommend a contract/agreement for the following services:  
General Construction Services to repair and improve Central Homes, Dana's Highway, and Long Meadow Pump Stations
2. The work involves the following:  
The contractor is to provide the necessary labor and materials for the upgrade and/or rehabilitation of the Central Homes, Long Meadow, and Dana's Highway sewage pump stations, located in the Glen Cove service area. The replacement of outdated and obsolete pumps and other equipment will enhance reliability, improve energy efficiency and reduce operating and maintenance costs. In addition to the pump station work, the Dock Place pump station force main is in need of replacement.
3. An estimate of the cost is: \$5,050,000.00
4. An estimate of the duration is: Twelve (12) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within 10 days, to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.



Kenneth G. Arnold  
Assistant to Commissioner

KGa:WSN:JLD:rp

c: Christopher Fusco, Director, Office of Labor Relations  
Brian Libert, Deputy Director, Office of Labor Relations  
Keith Cromwell, Office of Labor Relations  
William S. Nimmo, Deputy Commissioner  
Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit  
Patricia Kivo, Unit Head, Human Resources Unit  
Loretta Dionisio, Hydrogeologist II  
Jonathan Lesman, Management Analyst II





**REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT****PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC**
☐ RFQ
 ☐ RFP
 ☒ RFBC
 ☐ In-House or Requirements Work Order
Project Title: S3P312-02G Glen Cove Sewer Service Area: Miscellaneous Pump Stations Repairs and ImprovementsDepartment: Public Works Project Manager: Edward F. Visone Date: August 12, 2019Service Requested: General Construction Services to repair and improve Central Homes, Dana's Highway, and Long Meadow Pump Stations

Justification: The Central Homes, Long Meadow, and Dana's Highway sewage pump stations are located in the Glen Cove service area and are in need of replacement. These pump stations have not undergone any major repair or improvements in over a decade and most of the equipment and systems are antiquated and approaching the end of their useful life. The replacement of outdated and obsolete equipment will enhance reliability, improve energy efficiency and reduce operating and maintenance costs. In addition to the pump station work, the Dock Place pump station force main is in need of replacement.

Requested by: Department of Public Works/Water & Wastewater Engineering UnitProject Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$5,050,000

Circle appropriate phase

Total Project Cost: \$7,550,000

Includes, design, construction, and CM

Date Start Work: 4/3/20

Phase being requested

Duration: 12 months

Phase being requested

Capital Funding Approval: YES ☐ NO ☐

SIGNATURE

DATE

Funding Allocation (Capital Project): 3P312See Attached Sheet if multiyear ☐NIFS Entered: SP

SIGNATURE

DATE

AIM Entered: Nancy Allen

SIGNATURE

DATE

Funding Code: 3P312-002

use this on all encumbrances

Timesheet Code: 19-0219

use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☐ or, Environmental Assessment Form Required ☐

Supplemental Environmental Documentation

Department Head Approval: YES ☒ NO ☐

SIGNATURE

DCE/Ops Approval: YES ☒ NO ☐

SIGNATURE

**PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from responding vendors.**

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval: YES NO

Signature \_\_\_\_\_

Version January 2014

T.D.  
08/12/19SP  
8-15-19VF  
8/15/19

**FORM OF BID BOND**

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

**IMPORTANT** The bidder shall instruct the Surety Company to USE THIS FORM PROVIDED as the use of ANY OTHER FORM may cause rejection of the bid.

**KNOW ALL MEN BY THESE PRESENTS,**

that we, the undersigned R.J. Industries, Inc., 75 East Bethpage Road, P.O. Box 349, Plainview, NY 11803

as Principal; and Liberty Mutual Insurance Company, 175 Berkeley Street, Boston, MA 02116 as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

Ten Percent of Proposal Price dollars (\$ 10% of P.P. ) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 7th day of January, 2020

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under

**CONTRACT NO. S3P312-02G FOR THE  
REPAIR AND IMPROVEMENTS TO THE  
CENTRAL HOMES, LONG MEADOW AND DANA'S HIGHWAY  
PUMP STATIONS IN THE CITY OF GLEN COVE**

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall:

- a. when notified by the County, execute all necessary counterparts of the Contract as set forth in the Contract Documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the Contract Documents for the faithful performance and proper fulfillment of such Contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience, and liquid assets required for the Contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

Provided, however, that this bond is subject to the following additional conditions and limitations.

- a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the Contract Documents and specifications herein

**FORM OF BID BOND**

**REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02**

**DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G**

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FORM OF BID BOND

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

stated.

- b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

R.J. Industries, Inc.

Contractor

by William S. Doremus (L.S.)

William S. Doremus

by Secretary-Treasurer (L.S.)

Title

by \_\_\_\_\_ (L.S.)

Title

Liberty Mutual Insurance Company

Surety

by Dana Granice (L.S.)

Title of Officer Dana Granice, Attorney-In-Fact

Witness:

~~XXXX~~ Laura Marino (L.S.)

Title of Officer

Laura Marino, Surety Account Representative

[Corporate Seal  
of Contractor  
Title if a  
corporation]

[Corporate Seal  
of Surety]

**FORM OF BID BOND**

**REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02**

**DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G**

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FORM OF BID BOND

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02


DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

(Acknowledgment by Contractor if a Corporation)

STATE OF New York

ss.:  
COUNTY OF Nassau

On this 14<sup>th</sup> day of January, 2020, before me personally came William J. Joremus  
to me known, who, being by me duly sworn, did depose and say for himself, that he resides in  
Suffolk County, NY  
that he is the Secretary-Treasurer of the R.J. Industries, Inc.  
the corporation described in, and which executed the foregoing instrument; that he knows the seal of said  
corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the  
Board of Directors of said corporation, and that he signed his name thereto by like order.

  
Notary Public

(Acknowledgment by Contractor if a partnership)

STATE OF \_\_\_\_\_ )

ss.:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_  
to me known and known to me to be a member of \_\_\_\_\_  
the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he  
subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

EVELYN DELLARATTA  
Notary Public, State of New York  
No. 01DE4854133  
Qualified in Nassau County  
My Commission expires on May 27, 2022

\_\_\_\_\_  
Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF \_\_\_\_\_ )

ss.:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_  
to me known and known to me to be the person described in and who executed the foregoing instrument, and  
he duly acknowledged that he executed the same.

\_\_\_\_\_  
Notary Public

**FORM OF BID BOND**

**REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02**

**DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G**

**++ NO TEXT ON THIS PAGE ++**

FORM OF BID BOND

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

(Acknowledgment by Surety Company)

STATE OF New York )

ss.:  
COUNTY OF Nassau )

On this 7<sup>th</sup> day of January, 2020, before me personally came Dana Granice to me

Known, who being by me duly sworn, did depose and say that he/she resides in \_\_\_\_\_

Suffolk County, New York

that he/she is the Attorney-In-Fact of the Liberty Mutual Insurance Company, the corporation described in and which executed the within instrument; that he/she knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he/she signed his/her name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said Grace Ackerson further said

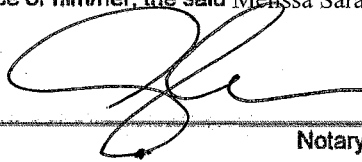
that he/she is acquainted with Dana Granice and knows him/her

to be the Attorney-In-Fact of said company; that the signature

of the said Dana Granice subscribed to the within instrument is

in the genuine handwriting of the said Dana Granice and was subscribed thereto by like order of the Board of Directors, and in the presence of him/her, the said Melissa Saracino.

GRACE ACKERSON  
Notary Public-State of New York  
No. 01AC6111590  
Qualified in Nassau County  
Commission Expires 6/14/2020



Notary Public



**FORM OF BID BOND**

**REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02**

**DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G**

**++ NO TEXT ON THIS PAGE ++**

**FORM OF BID BOND**

**REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02**

**DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G**

**POWER OF ATTORNEY**

**ATTENTION:** Insert the "Power of Attorney" after this page in with your bid submission.



This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Liberty Mutual Insurance Company  
The Ohio Casualty Insurance Company  
West American Insurance Company

Certificate No: **8200782-969603**

## POWER OF ATTORNEY

**KNOWN ALL PERSONS BY THESE PRESENTS:** That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Colette R. Chisholm; Dana Granice; Susan Lupski; Robert T. Pearson; Katherine Acosta; Thomas Bean; George O. Brewster; Desiree Cardlin; Lee Ferrucci; Peter F. Jones; Rita Losquadro; Gerard S. Macholz; Camille Maitland; Nelly Renchiwich; Vincent A. Walsh; Michelle Wannamaker

all of the city of Uniondale state of NY each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

**IN WITNESS WHEREOF**, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 26th day of March, 2019.



Liberty Mutual Insurance Company  
The Ohio Casualty Insurance Company  
West American Insurance Company

By:

David M. Carey  
David M. Carey, Assistant Secretary

State of PENNSYLVANIA ss  
County of MONTGOMERY

On this 26th day of March, 2019 before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

**IN WITNESS WHEREOF**, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Teresa Pastella, Notary Public  
Upper Merion Twp., Montgomery County  
My Commission Expires March 28, 2021  
Member, Pennsylvania Association of Notaries

By:

Teresa Pastella  
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

### ARTICLE IV – OFFICERS: Section 12. Power of Attorney.

Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

### ARTICLE XIII – Execution of Contracts: Section 5. Surety Bonds and Undertakings.

Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

**Certificate of Designation** – The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

**Authorization** – By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

**IN TESTIMONY WHEREOF**, I have hereunto set my hand and affixed the seals of said Companies this 7th day of January, 2020.



By:

Renee C. Llewellyn  
Renee C. Llewellyn, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.

**FORM OF BID BOND**

**REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02**

**DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G**

**++ NO TEXT ON THIS PAGE ++**

**FORM OF BID BOND**

**REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02**

**DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G**

**FINANCIAL STATEMENT**

**ATTENTION:** Insert the "Financial Statement" after this page in with your bid submission.



LIBERTY MUTUAL INSURANCE COMPANY  
FINANCIAL STATEMENT — DECEMBER 31, 2018

Assets		Liabilities	
Cash and Bank Deposits.....	\$464,341,712	Unearned Premiums.....	\$7,851,429,449
*Bonds — U.S Government.....	2,259,714,810	Reserve for Claims and Claims Expense.....	20,165,209,300
*Other Bonds.....	11,864,776,740	Funds Held Under Reinsurance Treaties.....	384,795,327
*Stocks .....	16,527,715,226	Reserve for Dividends to Policyholders.....	1,111,529
Real Estate.....	255,809,551	Additional Statutory Reserve .....	62,866,000
Agents' Balances or Uncollected Premiums.....	5,817,927,234	Reserve for Commissions, Taxes and	
Accrued Interest and Rents.....	108,139,840	Other Liabilities .....	3,999,822,802
Other Admitted Assets.....	11,532,139,744	<b>Total .....</b>	<b>\$32,465,234,407</b>
<b>Total Admitted Assets.....</b>	<b><u>\$48,830,564,857</u></b>	Special Surplus Funds.....	\$43,108,583
		Capital Stock.....	10,000,000
		Paid in Surplus.....	10,044,912,727
		Unassigned Surplus.....	6,267,309,139
		<b>Surplus to Policyholders .....</b>	<b>16,365,330,449</b>
		<b>Total Liabilities and Surplus .....</b>	<b><u>\$48,830,564,856</u></b>



\* Bonds are stated at amortized or investment value; Stocks at Association Market Values.  
The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2018, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 22<sup>nd</sup> day of March, 2019.

*T. Mikolajewski*

\_\_\_\_\_  
Assistant Secretary

**FORM OF BID BOND**

**REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02**

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NASSAU COUNTY, NEW YORK  
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**REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02**

**DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G**

**CERTIFICATE OF SOLVENCY**

**ATTENTION:** Insert the "Certificate of Solvency" after this page in with your bid submission.



**CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW  
YORK INSURANCE LAW**

STATE OF NEW YORK

DEPARTMENT OF FINANCIAL SERVICES

It is hereby certified that

**Liberty Mutual Insurance Company**  
Of Boston Massachusetts

a corporation organized under the laws of the State of Massachusetts and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$16,365,330,449 (Capital \$10,000,000) as is shown by its sworn financial statement for the last quarter ending December 31, 2018 on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have

unto set my hand and affixed

official seal of this Department  
in the City of Albany, this

19th day of March 2019.

Maria T. Vullo  
Superintendent

By

**Ellen R Buxbaum**  
**Special Deputy Superintendent**

**FORM OF BID BOND**

**REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02**

**DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G**

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# NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

## WICKS EXEMPT LIST OF SUBCONTRACTORS

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

CONTRACT NO. 53P312-026

Contractor's Name and Address <u>R.J. Industries, Inc.</u> <u>75 E. Bethpage Road,</u> <u>Plainville, NY 11803</u> Federal ID No.: <u>113401288</u>	Project Description (Project Title, Facility Name and Address): <u>Repair &amp; Improvements to the Central</u> <u>Homes, Long Meadow &amp; Dunes</u> <u>Hwy Pump Stations - Glen Cove</u>	Bid Date: <u>1/14/20</u>	Total Contract Amt: <u>4,792,470.00</u>
---	---	-----------------------------	--

Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):

☒ Plumbing and Gas Fitting

☐ Steam Heating, Hot Water Heating, Ventilating and AC Apparatus

☐ Electric Wiring and Standard Illuminating Fixtures

If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box ☐ skip to bottom of form, and sign it as required.

Check (✓) only one.

Subcontractor's Name, Address and Federal ID No.	Check (✓) only one.			General Description of Work	Subcontractor's Contract Amt.
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures		
<u>Klinggar, Inc</u> <u>311 South 6th Ave</u> <u>Mt Vernon, NY 10550</u> Federal ID No. <u>13-3567685</u>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<u>HVAC</u>	<u>75,000.00</u>
<u>Roland's Electric, Inc</u> <u>307 Suburban Ave</u> <u>Deer Park, NY 11729</u> Federal ID No. <u>to be provided</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<u>Electrical work</u>	<u>811,800.00</u>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

Federal ID No.

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.

Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.

Company Authorized Signature: [Signature] Title: Richard Felice Hg, Pres. Date: 1/14/20

PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

**REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES,  
LONG MEADOW AND DANA'S HIGHWAY PUMP STATIONS  
IN THE CITY OF GLEN COVE**

**NASSAU COUNTY, NEW YORK**

**Contract No. S3P312-02G – General Construction**

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: R.J. Industries, Inc.  
a New York State Corporation

Bidder: \_\_\_\_\_  
(Individual, Firm or Corporation, as case may be)

Bidder's Business Address: 75 East Bethpage Road; Plainview, NY 11803

Telephone: 516-845-9772 Date of Bid: January 14, 2020

Fax: 516-474-1759 E-mail: bjd@rjii.net

(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: \_\_\_\_\_

Residence of Individual: \_\_\_\_\_

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: \_\_\_\_\_

Name and Residence of Partner: \_\_\_\_\_

Name and Residence of Partner: \_\_\_\_\_

# General Building Laborers' Local 66

## TRAINING FUND

1600 WALT WHITMAN ROAD, P.O. BOX 667, MELVILLE, L.I., N.Y. 11747-0667

Tel.: (631) 454-2330

Fax: (631) 249-6290



Address Replies To:  
ALLEN MARMOR, Fund Manager  
DAVID MALICO, Training Director  
ANA GIL, Training Coordinator

TRUSTEES:  
Stephen Flanagan, Chairman  
Eugene Messina, Secretary  
Vincent Alu  
Joseph Cavallieri  
Antonio Ferreira  
Joseph Montalbano  
Paul O'Brien  
John O'Hare

January 9, 2020

Nassau County Department of Public Works- City of Glen Cove

Re: Central Homes, Long Meadow & Dana's Highway Pump Station Repair & Improvement Contract No.S3P312-02G

**\*\*\*FOR THIS PROJECT ONLY\*\*\***

To Whom It May Concern:

R.J. Industries, Inc. whose mailing address is 75 East Bethpage Road, Plainview, NY 11803 is currently signatory to a collective bargaining agreement with General Building Laborers' Local No. 66 at 1600 Walt Whitman Rd. Melville, NY 11747. Said agreement is currently in effect for Nassau County Department of Public Works- City of Glen Cove jobsite on Central Homes, Long Meadow & Dana's Highway Pump Station Repair & Improvement.

R.J. Industries, Inc. must remain in good standing according to the collective bargaining agreement **VALID FOR THE DURATION OF THIS PROJECT OR UNTIL** June 30, 2021, whichever is first. A new letter of participation with our apprenticeship program can be requested after that date.

As a result, R.J. Industries, Inc. is a participant in the General Building Laborers' Local No. 66 Apprenticeship Program registered with the NY State Department of Labor and therefore entitled to have Local No. 66 NY State registered Construction Craft Laborer apprentices dispatched to the company for the purpose of employment for Central Homes, Long Meadow & Dana's Highway Pump Station Repair & Improvement Contract No.S3P312-02G jobsite **ONLY**, at the above location City of Glen Cove - Nassau County Department of Public Works until that date.

**Please contact our office if validation of this letter is needed. Our letters are job specific.**

Respectfully,

David Malico

Gen. Bldg. Laborers' Local #66 JATC



SCHOOL (631) 286-8677  
FAX (631) 286-8683

APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL  
**INTERNATIONAL UNION OF OPERATING ENGINEERS**  
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

247-C

UNION - P.O. BOX 206, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

January 8, 2020

City of Glen Cove  
9 Glen Street  
Glen Cove, NY 11542

Re: Local 138 Apprenticeship School (the "Apprenticeship School")  
NYS Sponsor # 00422  
NYS ATP Code # 18318  
R. J. Industries Inc.

**Contract No. S3P312-02G – Repair and Improvements to the Central Homes, Long Meadow and Dana's Highway Pump Stations in the City of Glen Cove**

To Whom It May Concern,

This letter serves to confirm that the **Apprenticeship School** currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to R.J. Industries Inc., to the Local 138 Agreement Covering Working Conditions, dated June 1, 2019 to May 31, 2023. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY  
BUSINESS MANAGER & TREASURER  
CHAIRMAN BOARD OF TRUSTEES  
LOCAL 138 APPRENTICESHIP TRAINING FUND

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15 02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312 02G

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: New York

Name and Residence of President: Richard O. Felicetta

Name and Residence of Vice-President: John C. Doremus

Vice President :  
Name and Residence of ~~Secretary~~ <sup>xxxxxxx</sup> John E. Koziarz

Secretary &  
Name and Residence of <sup>^</sup>Treasurer: William J. Doremus



## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

## GENERAL CONSTRUCTION

### THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of labor, equipment, other facilities needed for the performance of the Work, the general and local conditions, and all other items which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach the contractor shall be required to have such a license.

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

### I. - REJECTION OF BIDS

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.
7. Bids may be rejected if the County determines that the contractor's experience and qualifications statement does not show adequate experience with projects of similar size, scope and complexity, particularly concerning MOPO work and complex phasing and sequencing of equipment on and off line during construction.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

### II. - Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

### III. - Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

### IV. - Apprenticeship Training

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
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DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
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# PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M CONTRACT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

TO BE COMPLETED BY CONTRACTORS SUBMITTING A BID ON S3P312-02G – GENERAL CONSTRUCTION						
ITEM NO.	APPROX. QUANTITIES	ITEMS BID WITH PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1.	Lump Sum	Lump sum amount for furnishing all Labor, Materials and Equipment required for Construction of the Improvements to the Central Homes Pump Station and for cleaning and televising downstream end of force main. <u>one million six hundred and fifty dollars and zero cents</u> DOLLARS CENTS	N/A	N/A	1,650,000	00
2.	Lump Sum	Lump sum amount for furnishing all Labor, Materials and Equipment required for Construction of the Improvements to the Long Meadow Pump Station. <u>one million one hundred and thirty thousand dollars and zero cents</u> DOLLARS	N/A	N/A	1,130,000	00
3.	Lump Sum	Lump sum amount for furnishing all Labor, Materials and Equipment required for Construction of the Improvements to the Dana's Highway Pump Station. <u>one million five hundred ninety-four thousand four hundred seventy dollars and zero cents</u> DOLLARS	N/A	N/A	1,594,470	00
4.	Lump Sum	Lump sum amount for furnishing all Labor, Materials and Equipment required for Construction of the Improvements to the Dock Place Pump Station Force Main Bridge Crossing and installation of manhole inserts. <u>three hundred and sixty-eight thousand dollars and zero cents</u> DOLLARS	N/A	N/A	368,000	00
5.	Allowance	Allowance amount associated with unforeseen conditions. <u>FIFTY THOUSAND AND 00/100</u> DOLLARS CENTS	N/A	N/A	\$50,000	00

PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M CONTRACT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

TOTAL BID AMOUNT (Bid Item Nos. 1, 2, 3, 4 and 5): MUST BE WRITTEN IN FIGURES: \$

4,792,470.00

TOTAL BID AMOUNT (Bid Item Nos. 1, 2, 3, 4 AND 5): MUST BE WRITTEN IN WORDS:

four million seven hundred ninety two thousand four hundred seventy dollars and zero cents

DOLLARS

CENTS

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

## ALLOWANCES

It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

- Item No. 5: An allowance of Fifty Thousand Dollars (\$50,000.00) for costs associated with unforeseen conditions.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and Section 01500, Temporary Facilities and Controls.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

## ALTERNATES

It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security. The following Deduct Alternate bid items may be eliminated by the Owner in the order listed prior to finalizing the Contract Agreement, which will potentially decrease the total Bid presented in this Proposal and be the basis for establishing the amount of the Bid Security:

- {NONE}.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01030; Alternates; and Section 01500, Temporary Facilities and Controls.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

## DETERMINATION OF LOW BID

Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances, minus the Deduct Alternate(s), taken in order, or none of them, whichever amount(s) shall be judged by the Commissioner of Public Works to be in the best interest of the County.

## MAJOR EQUIPMENT ITEMS

The Bidder shall fill the name and address of the one proposed manufacturer for each major equipment item tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.



## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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# PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

Specification Number	Description	Manufacturer
08390	Watertight Doors	PS Door, SAVANNAH Door - OR Equal
11316	Sewage Pumps	Xylem/Flygt, Grundfos - OR Equal
13432	Pump Control Panels	PCS - OR Equal
16482	Motor Control Centers	ABB - OR Equal
16496	Automatic Transfer Switches	Cummins - OR Equal
16620	Generator Assemblies	Cummins - OR Equal

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

**SUB-CONTRACTOR LIST AND SCHEDULE OF VALUES**

R.J. Industries, Inc.

BIDDER: \_\_\_\_\_

**SUBCONTRACTORS:**

1. Plumbing and Gas Fitting

- a. Company: Self - Pentam
- b. Address: \_\_\_\_\_
- c. Telephone: \_\_\_\_\_
- d. Contact Name: \_\_\_\_\_
- e. Subcontract Value: \$ \_\_\_\_\_

2. Heating, Ventilating and Air Conditioning

- a. Company: Klairgar, Inc.
- b. Address: 311 South 6<sup>th</sup> ave Mt Vernon, NY 10550
- c. Telephone: 914-699-1520
- d. Contact Name: Wes Evans
- e. Subcontract Value: \$ 75,000.00

3. Electric Wiring and Standard Illuminating Fixtures

- a. Company: Roland's Electric, Inc.
- b. Address: 307 Suburban Ave Deer Park, NY 11729
- c. Telephone: 631-242-8080
- d. Contact Name: John Scarpa
- e. Subcontract Value: \$ 811,800.00

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

### 4. Roofing

- a. Company: \_\_\_\_\_
- b. Address: \_\_\_\_\_
- c. Telephone: \_\_\_\_\_
- d. Contact Name: \_\_\_\_\_
- e. Subcontract Value: \$ \_\_\_\_\_

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

++ NO TEXT ON THIS PAGE ++

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

### IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

XX a. **Certification that the Bidder is not on the List**

Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,

       b. **Certification that the Bidder's investment in Iran is ceasing**

The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.



Signature/Date

Richard O. Felicetta, President

Print Name and Position



## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

**MACBRIDE FAIR EMPLOYMENT PRINCIPLES**

**NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:  
MACBRIDE FAIR EMPLOYMENT PRINCIPLES**

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

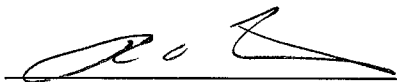
(1) have business operations in Northern Ireland,

Yes \_\_\_\_\_ No <sup>xx</sup> \_\_\_\_\_

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes \_\_\_\_\_ No \_\_\_\_\_



Contractor's Signature

Richard O. Felicetta, President

R.J. Industries, Inc.

Name of Business

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

CONTRACTOR CERTIFICATION STATEMENT REGARDING  
STORM WATER POLLUTION AT THE WORK SITE

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

R.J. Industries, Inc.


CONTRACTOR'S NAME: \_\_\_\_\_

TELEPHONE NUMBER: 516-845-9772

WORK SITE OR FACILITY NAME: Central Homes, Long Meadow & Dana's Highway Pump Stations

WORK SITE OR FACILITY ADDRESS OR  
OTHER IDENTIFYING DESCRIPTION: City of Glen Cove, NY

\_\_\_\_\_  
\_\_\_\_\_

 Jan. 14. 2020  
Date

Richard O. Felicetta, President

\_\_\_\_\_  
Print Name and Title of Signer

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

++ NO TEXT ON THIS PAGE ++

PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

**GENERAL CONSTRUCTION**

PROPOSAL: For all work in accordance with the drawings and specifications:


Bidder: R.J. Industries, Inc.  
(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: \_\_\_\_\_

Federal ID No: 11-3401288

Municipal License ID Number: \_\_\_\_\_

Municipal Licensing Agency: \_\_\_\_\_

By:  Date: January 14, 2020  
(Signature of Individual, Partner or Corporate Officer)

(Print): Richard O. Felicetta Title: President

WHERE BIDDER IS A CORPORATION, ADD

ATTEST: 

Secretary  
William J. Doremus

(CORPORATE)

(SEAL)

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

++ NO TEXT ON THIS PAGE ++

PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

**GENERAL CONSTRUCTION**

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

**(Form of Affidavit where Bidder is an Individual)**

STATE OF \_\_\_\_\_ )  
 ) ss.:  
COUNTY OF \_\_\_\_\_ )

\_\_\_\_\_ Being duly sworn, deposes and  
says: That he/she is the person described in and who executed the foregoing Bid and that the several  
matters therein stated are in all respects true.

Subscribed and sworn to before me

This \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

**(Form of Affidavit where Bidder is a Firm)**

STATE OF \_\_\_\_\_ )  
 ) ss.:  
COUNTY OF \_\_\_\_\_ )

\_\_\_\_\_ Being duly sworn, deposes and  
says: That he/she is a member of \_\_\_\_\_, the firm described in and  
which executed the foregoing Bid; that he/she duly subscribed the name of the firm thereunto on behalf of  
the firm; and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public



## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCPD 15-02

63

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

**PERFORMANCE BOND INFORMATION FORM**

Construction Contract Number S3P312-02G

Name of Contract Repairs and Improvements to the Central Homes Long Meadow and Dana's Highway Pump Stations in the City of Glen Cove

Name of Contractor R.J. Industries, Inc.

Address 75 East Bethpage Road  
Plainview, NY 11803

Bonding Company or Person Issuing Security Bond Liberty Mutual Insurance Company

Address One Battery Park Plaza; New York, NY

Bonding Company Agent George Brewster, SVP; Alliant Insurance Services

Address 700 Earle Ovington Blvd; Uniondale, NY

Amount of Bond 100% of the Contract Price

Duration of Bond – From Notice to Proceed to Final Acceptance date

Identification number of Bond TBD Upon Award of Contract

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

### QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?

22 Years 4 Months

2. How many years in the construction work of a similar type as this contract has your firm had;

as a Prime Contractor 12

a. as a Subcontractor 22

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
--------------------	------------------	----------------------	--

See enclosed

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
--------------------	------------------	----------------------	--

see enclosed

(use additional blank sheets if additional space is necessary)

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

5. Have you ever failed to complete any work awarded to you?  
If so, when and why?

No

(use additional blank sheets if additional space is necessary)

6. Has any officer or partner of your firm ever been an officer or partner of some other firm that failed to complete a construction contract?

No

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name?  
If so, state name of individual, name of owner and reason therefor:

No

8. In what other lines of business are you financially interested?

None



## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

+ + NO TEXT ON THIS PAGE +

# PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

9. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of Work	In What Capacity
see enclosed				

(use additional blank sheets if additional space is necessary)

10. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, Etc.	Year of Service	Present Location
see enclosed			

(use additional blank sheets if additional space is necessary)

Note: Should the equipment be moved from the above mentioned location, the submitter hereby agrees upon request of the County to state the new location where same may be found.

11. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

The following items are covered by a note:

AquaJet - current loan balance \$372k
Kubota RTV - \$16k
Cat 262 Steer Loader - \$47k

(use additional blank sheets if additional space is necessary)

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

12. In what manner have you inspected this proposed work?  
Explain in detail.

Review of contract documents (plans, specifications & addenda)

(use additional blank sheets if additional space is necessary)

Explain your plan and lay-out for performing the proposed work.

We intend to perform the work in line with an owner approved CPM schedule.

13. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

Anthony Etersque

14. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

see attached certificate

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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LAURA CURRAN  
NASSAU COUNTY EXECUTIVE



KENNETH G. ARNOLD, P.E.  
COMMISSIONER

COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
1194 PROSPECT AVENUE  
WESTBURY, NEW YORK 11590-2723

**VENDOR PORTAL ACKNOWLEDGMENT**

Vendor Name: R.J. Industries, Inc.  
Contract Title: Repair and Improvements to the Central Homes, Long Meadow and Dana's Highway P.S.  
Contract Number: S3P312-02G

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at [www.nassaucountyny.gov](http://www.nassaucountyny.gov) by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.

Signature

A handwritten signature in dark ink, appearing to read "R. Felicetta", is written over a horizontal line.

Jan 14, 2020

Date

Richard O. Felicetta, President

Print Name

If you attempted to register via the Portal but were unable to do so, please explain here:

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**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	2019
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	2017
Car Washer Repairs at 239th Street Yard, Concourse Yard and Pelham Yard (Bronx) Contract No C-34913	MTA - New York City Transit	Demitrios Milonas 646-252-4127	\$10,733,360	2021
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visone 516-571-7359	\$7,647,700	2017
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Joe Davenport <a href="mailto:jdavenport@nassaucountyny.gov">jdavenport@nassaucountyny.gov</a> 516-571-7515	\$16,187,700	2017
Bay Park Sewage Treatment Plant Electrical DistribSystem Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a TV)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$28,956,674	2016
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improvebets to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$24,767,700	2016

As of December 31, 2019



***R.J. Industries, Inc.***

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$3,937,700	2016
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3)	County of Suffolk	John Berchtold	\$2,347,860	2013

As of December 31, 2019

**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u> 631-852-4189	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Screening Room Odor Control General Construction & HVAC Contracts				
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection System & Related Infrastructure Repairs	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$2,701,537	2013
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartilucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading River CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011

As of December 31, 2019

**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW Ken Arnold 516-571-9604	\$3,647,700	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011
Cedar Creek WPCP Install New Gravity Belt Thickner	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bletsch H2M Group 631-756-8000	\$929,800	2010
Great Neck Water District Concrete Clearwell - Weybridge Road General Construction	Great Neck Water Dist.	Dvirka & Bartiluci Bill Merklin 516-595-4845	\$648,000	2010

***R.J. Industries, Inc.***

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
SUNY - Maritime Replace Fuel Oil Tanks (Ft. Schuyler)	State University Construction Fund	Richard Brown 518-320-3204	\$1,998,050	2010
Sea Cliff Install 600,000 Gallon Elevated Tank	Aqua New York Matthew Snyder	Caldwell Tank, Inc (GC) Jenny Ferguson 502-964-3361	\$288,000	2009
Carle Place Water District Pump Sta. 4 Treatment Improvements & Building Rehab	Carle Place Water Dist.	Sidney Bowne Joseph Todaro, PE 516-746-2350	\$164,830	2009
Huntington WWTP Install Ultraviolet (UV) Disinfection System	Town of Huntington	H2M Group Steve Hearl 631-756-8000	\$568,800	2008
Port Jefferson WWTP Plant Reconstruction (General & Mechanical Contract)	Suffolk County DPW	H2M Group Frank Russo 631-756-8000	\$18,434,000	2008
Huntington Sewer District Treatment Plant Upgrade	Town of Huntington	H2M Group Chris Weiss 631-756-8000	\$13,298,460	2008
Keyspan - Barrett Bridge Install Piles and Foundation	Keyspan Energy	Jim Walsh 516-545-6277	\$643,348	2007
Westbury Water District Pump Modifications to Well #16 - General Construction Contract	Westbury Water District	Dvirka & Bartilucci William Merklin 516-364-9880	\$239,640	2006
Oyster Bay Pump Station Install Nitrogen Removal Facility	Oyster Bay Sewer District	Steven Hearle, PE H2M Group 631-756-8000	\$7,332,500	2006

# **R.J. Industries, Inc.**

Prime Contracts In Progress

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Cedar Creek WPCP Effluent Screening & Disinfection Facility Improv. (Contract S3C067-03G)	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$11,197,700	March-20	95%
Bay Park Sewage Treatment Plant Level 1 - Biological Nutrient Removal (BNR) Improvements (Contract S35123-L39G)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$18,947,700	March-20	88%
Bay Park Sewage Treatment Plant Engine Generator Facility (Contract S35121-16A)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$2,977,700	March-20	99%
Calverton Sewer District Sewage Treatment Plant Upgrade Contract G	Town of Riverhead	Michael Reichel reichel@townofriverheadny.gov 631-727-3069	\$5,793,700	March-21	11%
<u>BSRJ A TV</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$97,447,200	June-20	97%
<u>RJ / Scalamandre, JV</u>					
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$15,677,700	June-20	86%
<u>RJ / Martin, JV</u>					
Coney Island WWTP Level 1 Step Feed BNR Upgrade (Contract: SF-BNR-CI)	NYC DEP	Stanley Joseph 718-595-7800	\$46,874,100	March-22	38%

as of December 31, 2019

Independent Auditor's Review Report

To the Board of Directors  
R.J. Industries, Inc.

*Report on Financial Statements*

We have reviewed the accompanying interim combined financial statements of R.J. Industries, Inc. and Affiliates (collectively the "Company"), which comprise the combined balance sheets as of June 30, 2019 and 2018, and the related combined statements of income and comprehensive income, equity, and cash flows for the six month periods then ended.

*Management's Responsibility for the Financial Information*

Management is responsible for the preparation and fair presentation of the combined interim financial information in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation and maintenance of internal control sufficient to provide a reasonable basis for the preparation and fair presentation of combined interim financial information in accordance with accounting principles generally accepted in the United States of America.

*Auditor's Responsibility*

Our responsibility is to conduct our reviews in accordance with auditing standards generally accepted in the United States of America applicable to reviews of interim financial information. A review of interim financial information consists principally of applying analytical procedures and making inquiries of persons responsible for financial and accounting matters. It is substantially less in scope than an audit conducted in accordance with auditing standards generally accepted in the United States of America, the objective of which is the expression of an opinion regarding the financial information as a whole. Accordingly, we do not express such an opinion.

*Conclusion*

Based on our reviews, we are not aware of any material modifications that should be made to the accompanying combined interim financial information for it to be in accordance with accounting principles generally accepted in the United States of America.

*Report on Supplementary Information*

Our reviews were made primarily for the purpose of obtaining a basis for reporting whether we are aware of any material modifications that should be made to the interim financial information in order for it to be in conformity with accounting principles generally accepted in the United States of America through performing limited procedures. The accompanying supplementary information included in the accompanying schedules on pages 21 to 23, which is the responsibility of management, is presented for purposes of additional analysis and is not a required part of the interim financial information. The supplementary information has been subjected to the limited procedures applied in the reviews of the interim financial information, and we did not become aware of any material modifications that should be made to such information.

*CohnReznick LLP*

Jericho, New York  
September 26, 2019

R.J. Industries, Inc. and Affiliates

Combined Balance Sheets  
June 30, 2019 and 2018  
(Unaudited)

Assets

	<u>2019</u>	<u>2018</u>
Current assets		
Cash and cash equivalents		
Contracts receivable		
Marketable securities		
Bonds substituted for retainage		
Costs and estimated earnings in excess of billings on uncompleted contracts		
Prepaid expenses and other current assets		
Due from officers		
Deferred costs		
Prepaid income taxes		
Total current assets		
Property and equipment, net		
Security deposit		
Total assets		

Liabilities and Equity

Current liabilities		
Accounts payable and accrued expenses		
Accounts payable - related parties		
Income taxes payable		
Line of credit		
Current portion of long-term debt		
Current portion of mortgage payable		
Billings in excess of costs and estimated earnings on uncompleted contracts		
Total current liabilities		
Long-term debt, less current portion		
Mortgage payable, net of current portion		
Total liabilities		
Commitments and contingencies		
Equity		
Common stock		
Additional paid-in capital		
Retained earnings		
Partners' capital		
Accumulated other comprehensive income		
Total R.J. Industries Inc. and Affiliates equity		
Noncontrolling interest		
Total equity		
Total liabilities and equity		

See Independent Auditor's Review Report and Notes to Combined Financial Statements.

RJ Industries, Inc.  
11-3401288  
New York State S-Corporation

**Officers / Principals**

Richard O. Felicetta: President,  
John Doremus: Vice President,  
John Koziarz: Vice President

William Doremus: Secretary & Treasurer,

**Safety/Risk Management/Engineering**

Richard A. Felicetta Field Risk Manager  
Bob Sevier Engineering/Drafting  
Irto Kim Field Engineering/Survey/Drafting

**Project Management / Supervision / MWBE Procurement**

Keith Olsen Sr. Project Manager (Purchasing & Subcontract Management)  
Joshua Rogers Project Manager  
Nicholas Felicetta Project Manager  
Maram Alam Project Manager  
Thomas Fallon Project Manager  
Joseph Felicetta Supervisor  
Todd Melzer Supervisor  
Tony Etersque Supervisor (Mechanical)  
Simone Washington MWBE Officer

**Main Office**

Maryanne Hilton Controller  
Evelyn Dellaratta- Office Manager  
Lynn Saccone Purchasing Assistant  
Anthony Dellaratta Contract Administration & Staff Assistant to the Controller

Union Staff includes Engineers, Carpenters, Plumbers, Steamfitters, Laborers





RJIND-1

OP ID: PA

## CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

07/31/2019

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER A. L. Carr Agency, Inc. 101 Mill Dam Road Centerport, NY 11721-0608 A.L. CARR AGENCY INC	631-271-2727	CONTACT NAME: PHONE (A/C, No, Ext): 631-271-2727 FAX (A/C, No): 631-271-2747 E-MAIL ADDRESS:
INSURER(S) AFFORDING COVERAGE		NAIC #
INSURER A: ALLIED WORLD ASSURANCE CO		19489
INSURER B: ALLIED WORLD SPECIALTY		10690
INSURER C: AMERICAN GUARANTEE INSURANCE		26247
INSURER D: THE STATE INSURANCE FUND		52421
INSURER E: ASPEN AMERICAN		43460
INSURER F: STANDARD SECURITY LIFE INS.CO		69078

INSURED  
RJ INDUSTRIES, INC.  
75 EAST BETHPAGE ROAD  
PLAINVIEW, NY 11803

## COVERAGES

## CERTIFICATE NUMBER:

## REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			6004-0011	08/01/2019	08/01/2020	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 4,000,000 PRODUCTS - COM/OP AGG \$ 4,000,000
B	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> COMP <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS ONLY <input checked="" type="checkbox"/> COLLISION			6000-0268	08/01/2019	08/01/2020	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
C	UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$			SXS0181443-03	08/01/2019	08/01/2020	EACH OCCURRENCE \$ 14,000,000 AGGREGATE \$ 14,000,000
D	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/> N/A		2340 643-2	04/01/2019	04/01/2020	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
E	PROPERTY/EQUIP/BR			IMADPGD19	05/04/2019	05/04/2020	BLANKET \$ 1,000,000
F	NYS DISABILITY			D92340-000	10/01/2016	10/01/2019	STATUTORY

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

-FOR EVIDENCE PURPOSES-

## CERTIFICATE HOLDER

## CANCELLATION

TOWHO-1

TO WHOM IT MAY CONCERN

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

## RJ Equipment

<u>Year</u>	<u>Item</u>	<u>Model No</u>	<u>Serial No.</u>	<u>Value / Orig Cost</u>
2015	CAT Wheel loader	966M	OKJP01072	270,000
	Aqua Jet 410 V Evolution 2.0&			
	Aqua Jet PP 70 Power Pack 212Li/min			542,000
2019	Doka Concrete forms - various			93,000
2018	CAT 262D Ski Street Loader	262D	DTB09176	62,500
2018	Kubota	RTV-X1100CWL-H	43132	24,000
	Leica Builder 306 - surveying equip			6,400
2019	Air Compressor			22,500
2017	GPS System LEICA			25,400
2013	CAT Excavator	305.5		65,000
2014	CAT Excavator	349F	BZ200174	400,000
2010	Grove RT 600E (50 Ton Crane)	HO 2160	230901	365,000
2005	Link Belt RTC (50 Ton Crane)	RTC 805011	J6J57796	218,505
	Landis 6" Pipe Threader	(w/ GE Induction Motor)	23783	16,520
2013	CAT Excavator	308E	EJTMX00784	97,500
2012	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283352	22,150
2013	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283493	22,150
2014	Zenith 25 GPS		2860958	13,000
	APE Robovibe		156111	172,000
2013	Telescoping forklift		5CHXGC01404569	105,000
2011	Moretrench (Various Dewatering Equipment)			105,000
2011	Komatsu Hydraulic Excavator	PC200LC-8HD	KMTPC180P54A89729	128,450
1999	CAT Hydraulic Excav	320 BL	7JR02231	42,000
2006	CAT Excavator	308 CCR	CVKCX01388	61,500
2001	CAT 725 Articulated Dump Truck	725	AFX00145	86,000
2002	CAT Mini Excavator	304.5	WAK00727	35,000
2001	CAT Backhoe	420 D	BLN00364	33,000
2002	CAT Skid Loader	262B	PDT00286	20,000
1990	John Deere Pay Loader	644E	DW644EB527520	45,000
1997	JCB Telehandler (Forklift)	506B	0579416	23,250
2002	CAT Bulldozer	D5C	7PS01937	40,000
2004	GORMAN RUPP - 4" Diesel Pump	PA6C60-4045D-C1	001281115N	8,000
	GORMAN RUPP - 6" Dry Prime Pump			10,000
2001	Ingersoll Rand Vibratory Smooth Drum Roller	SD110D	168435	37,925
1998	CHICAGO PNEUMATIC Compressor	CPS 390	CE16156	5,000
2008	Concrete Saw - Self Propelled	FS6600D	1254115001	16,900
	Concrete Forms	Doka		80,000
	3 - WHIRLYBIRDS	Power Trawl 36"		
	4-5 VIBRATORS	OZ Tech		
	Light Towers			8,250
	Light Towers			8,250
	Light Towers			8,250
2003	JLG 400S - Self Propelled Deutz	400S	300072553	19,500
2006	Skyjack	SJ3219	262824	5,500
2005	Skyjack	SJ3219	250891	5,500
2006	Skyjack	SJ3219	262669	5,500
2001	JLG Telescopic Boom Lift	400S	300060660	13,750
2001	Lull - Telescopic Fork Lift	844C-42	01W21P22-3563	20,000
2002	JLG Telescopic Boom Lift	400S	300061232	13,750
	Yale Forklift GP 070	GP 070	B813D03952V	7,060
2005	John Deere (off road Dump)	300D	DW300DT599355	120,000

## ANTHONY ETERSQUE

Suffolk County Plumbers License 2455P

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### CERTIFICATIONS

- X-Ray Welder
- Medical Gas
- Radiant Heat
- ANSI Poly Pro
- Foreman Training
- Health & Safety

### PROFESSIONAL EXPERIENCE

*Project Manager/Master Plumber*  
R.J. Industries, Inc.

2008 - Present

*Project Manager/Master Plumber*  
James McCullagh Co., Inc.

1985 - 2008

Experience consists of a wide range of plumbing and mechanical projects over the past twenty-four years:

#### Commercial & Industrial

- Wastewater
- Powerhouse
- Hi-Pressure Gas (5,000 psi)
- Retail
- Hi-Rise
- Water Purification
- Leachate Remediation
- School Work
- Hospital Work

#### Residential

- Jobbing & Service Work
- New Housing & Hi-End Housing
- Boiler & Heating Work
- Radiant Heat
- Alteration Work

### EDUCATION

Welding Instructor Local 457 1985 1987

Local #457

Suffolk County Dept. of Labor D. Ryan Plumbing & Heating

Arista Engineering New York State Plumbing Code

Medical Gas Certified Local 200

Advanced Welding Local 200 & 457

Hazardous Communications Training

Boiler Controls & Oil Burners BOCES

Boiler Controls Wales Darby

Radiant Heat Wales Darby

Nassau Community College Civil Engineering

## Disadvantaged Business Enterprise (DBE) Program DBE Subcontractor Utilization Form

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE<sup>1</sup> subcontractors<sup>2</sup> and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name R.J. Industries, Inc.		Project Name Repair & Improvements-Glen Cove Pump Stations	
Bid/ Proposal No. S3P312-02G	Assistance Agreement ID No. (if known)	Point of Contact John Koziarz	
Address PO Box 349, Plainview, NY 11803			
Telephone No. (516) 845-9772		Email Address jkoziarz@rjii.net	
Issuing/Funding Entity: Nassau County, NY DPW			

I have identified potential DBE certified subcontractors	<input checked="" type="radio"/> YES	<input type="radio"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
Cassone Leasing, Inc.	1950 Lakeland Ave. Ronkonkoma, NY 11779 631-585-7800, mary@cassone.com	\$ 10,000.00	Yes
L B Consulting Inc.	296 Sport Hill Road Easton CT 06612 203-373-1195, lboffa@lbconsultinginc.com; jboffa@lbconsultinginc.com	\$ 10,000.00	Yes
Sound Environmental Associates LLC.	18 Tode Court, Wadding River, NY 11792; 631-414-7198; erin@soundny.com	\$ 10,000.00	Yes

Continue on back if needed

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

## Disadvantaged Business Enterprise (DBE) Program DBE Subcontractor Utilization Form

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE<sup>1</sup> subcontractors<sup>2</sup> and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name R.J. Industries, Inc.		Project Name Repair & Improvements-Glen Cove Pump Stations	
Bid/ Proposal No. S3P312-02G	Assistance Agreement ID No. (if known)	Point of Contact John Koziarz	
Address PO Box 349, Plainview, NY 11803			
Telephone No. (516) 845-9772		Email Address jkoziarz@rjii.net	
Issuing/Funding Entity: Nassau County, NY DPW			

I have identified potential DBE certified subcontractors	<input checked="" type="radio"/> YES	<input type="radio"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
			Yes
Klairgar, Inc.	311 South 6th Ave., Mount Vernon, NY 10550-4113; 914-699-1520; Klairgar@aol.com; W.Evans@Klairgar.com; h.lawrence@klairgar.com	\$ 75,000.00	Yes
Hailey Insulation Corp.	815 Route 25A, Rocky Point, NY 11778; 631-689-0450; dsteinfelder@haileyinsulation.com	\$ 30,000.00	Yes

Continue on back if needed

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

## Disadvantaged Business Enterprise (DBE) Program DBE Subcontractor Utilization Form

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE<sup>1</sup> subcontractors<sup>2</sup> and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name R.J. Industries, Inc.		Project Name Repair & Improvements -Glen Cove Pump Stations	
Bid/ Proposal No. S3P312-02G	Assistance Agreement ID No. (if known)	Point of Contact John Koziarz	
Address PO Box 349, Plainview, NY 11803			
Telephone No. (516) 845-9772		Email Address jkoziarz@rjii.net	
Issuing/Funding Entity: Nassau County, NY DPW			

I have identified potential DBE certified subcontractors	<input checked="" type="radio"/> YES	<input type="radio"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
PDK Commerical Photographers, Ltd., DBA Bernstein Associates	828 South Broadway - LL01 Tarrytown NY 10591 914-674-9100;laurie@bernsteinassociates.com; info@bernsteinassociates.com; bids@bernsteinassociates.com	\$ 10,000.00	Yes
PCS Pump and Process	117 Broadway, Suite B-1, Hicksville, NY 11801; 516-331-1797; ehirani@infiniteconsultingcorp.com; marketing@infiniteconsultingcorp.com	\$ 120,000.00	Yes
Audubon Environmental Inc	6 Park Drive, Shamong, NJ 08088-8994; 609-859-3652; j.gray@audubonenvironmental.com		Yes

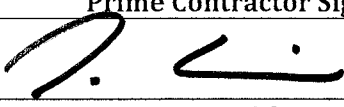
Continue on back if needed

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

<b>Prime Contractor Signature</b>	<b>Print Name</b>
	John Koziarz
<b>Title</b>	<b>Date</b>
Vice President	1/20/2020

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

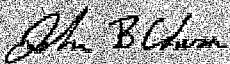
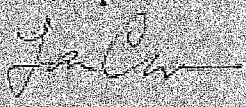
**LAURA CURRAN**  
NASSAU COUNTY EXECUTIVE

# NASSAU COUNTY



## Vendor Code of Ethics



<b>POLICY/PROCEDURE TITLE:</b> Nassau County Vendor Code of Ethics	<b>DATE ISSUED:</b> June 5 <sup>th</sup> , 2019
<b>DEPARTMENT ISSUING:</b> Executive – Compliance	<b>AUTHORIZED and SIGNED BY:</b>  Deputy County Executive For Compliance  County Executive

- POLICY:** The Office of the Nassau County Executive recognizes the importance of the vendor community in helping the County provide necessary services for the residents of Nassau County. It is the policy of the County Executive to ensure that all vendors doing business with Nassau County operate under the highest standards of legal and ethical conduct.
- PURPOSE:** To set forth a Code of Conduct for vendors to ensure that Nassau County Vendors are conducting their business with integrity, ethics, and compliance with all applicable laws and regulations.
- SCOPE:** All vendors doing business or seeking to do business with Nassau County as specified in the Code.





# Vendor Code of Ethics

NASSAU COUNTY  
FLORIDA

## TABLE OF CONTENTS

### Chapter 1: DEFINITIONS

### Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

#### Section 2.01 Designated Point(s) of Contact

### Chapter 3: GIFTS OR CONTINGENT FEES

#### Section 3.01 Zero Tolerance

#### Section 3.02 Personal Relationships

#### Section 3.03 Contingent Fees

### Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

#### Section 4.01 Restrictions During the Procurement Process

#### Section 4.02 Restrictions Post Award

### Chapter 5: CONFLICT OF INTEREST

#### Section 5.01 Financial Interest

#### Section 5.02 Personal Business Dealings

#### Section 5.03 Disclosure and Cooperation

#### Section 5.04 Confidential Information

#### Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

### Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

#### Section 6.01 Appearance Before Former Agency-Two Year Bar

#### Section 6.02 Appearance Before Former Agency-Life Time Bar

### Chapter 7: NON-COLLUSION

#### Section 7.01 Independent Bid Assessment

#### Section 7.02 Non-Communication of Bid

#### Section 7.03 Bid Submission

### Chapter 8: DISTRIBUTION AND CERTIFICATION

#### Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

#### Section 8.02 Vendor Certifications

#### Section 8.03 Subcontractor Certifications

### Chapter 9: PENALTIES

#### Section 9.01 Responsibility Determination

#### Section 9.02 Civil/Criminal Penalties

### Chapter 10: REPORTING OBLIGATION

#### Section 10.01 Reporting Gift Requests

#### Section 10.02 Reporting Material Changes





## Vendor Code of Ethics

Section 10.03 Reporting Violations and Overpayments

Chapter 11: PROHIBITION ON RETALIATION

Section 11.01 Prohibition





## Vendor Code of Ethics

### Chapter 1: DEFINITIONS

As used in this Code, the following terms have the following meanings:

**Adverse Job-Related Action** includes any material alteration to existing terms, conditions, and privileges of employment, such as dismissal, demotion, suspension, compulsory leave, disciplinary action, creation of a hostile work environment, negative performance evaluation, any action resulting in loss of staff, office space or equipment or other benefit, reduction in compensation, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected employee.

**Contact** means any oral or written communication with any Nassau County Employee, other than the Designated Point(s) of Contact, where it could be reasonably inferred that such contact was intended to influence, or could reasonably be expected to influence, the subject of a County procurement.

**Designated Point(s) of Contact** means the individual(s) designated by the County to be a Vendor's only contact with Nassau County following the public advertisement of a solicitation or the issuance of a request for a bid, proposal, or quote for small purchase, until the award of a resulting contract and, where applicable, approval by the County Legislature. This timeframe, further defined in the State Procurement Lobbying Law, is also known as the Restricted Period.

**Nassau County Employee** means any officer, official or employee of Nassau County.

**Family Member** means (i) a Nassau County Employee's Spouse, Domestic Partner, Child, Sibling or Parent; (ii) a person who is a direct descendant (or the spouse of a direct descendant) of a Sibling of the Nassau County Employee or a Sibling of the Nassau County Employee's Spouse or Domestic Partner; or (iii) a person living in the same household as a Nassau County Employee.

**Gift** means the transfer, without equivalent consideration, of anything of benefit, tangible or intangible, having more than nominal value, including, but not limited to, cash, loans, forbearance,





## Vendor Code of Ethics

services, travel, gratuities of any kind, favors, money, meals, refreshments, entertainment, hospitality, admittance to private clubs, use of time-shares, personal use of the Vendor's facilities, promises, tickets to entertainment or sporting events, weekend trips, golf outings, loans of equipment, or other thing or benefit. A Gift need not be intended to influence or reward any individual or entity.

**Nassau County Code of Ethics** means Nassau County Charter Section 2218, and the rules and regulations promulgated thereunder as may be amended or modified.

**Participating Employee** means any Vendor employee who engages in any written or oral communication of a non-clerical or non-administrative nature with Nassau County or with a Nassau County Employee(s) as part of or in connection with the procurement.

**Participating Nassau County Employee** means any Nassau County Employee who the Vendor knows, has reason to know, or can reasonably anticipate is involved in a specific procurement, in either a direct or decision-making capacity, but not in a clerical capacity. This includes but is not limited to the Designated Point of Contact, the project manager, the project manager's staff to the extent that they are involved in the procurement, members of selection committees, technical experts and negotiating teams.

**Primary Contracting Party** means a Vendor who intends to directly enter into or has a contract with Nassau County.

**Retaliatory Action** is defined as any Adverse Job-Related Action taken by, or at the direction or request of, a Vendor or a Vendors' Employees as a result of any individual's (i) good-faith report with respect to a violation or potential violation of this Code or the law; or (ii) cooperation in any investigation of unlawful conduct or misconduct conducted by Nassau County or by federal, state, or local law enforcement officials.

**State Procurement Lobbying Law** means New York State Finance Law Sections 139-j and 139-k, and the rules and regulations promulgated thereunder as may be amended or modified.

**Vendor** means any individual or entity seeking to or doing business





## Vendor Code of Ethics

with Nassau County within the scope of this Code, including, without limitation, contractors, consultants, suppliers, manufacturers seeking to act as the primary contracting party, officers and employees of the foregoing, as well as any subcontractors, subconsultants and suppliers at all lower tiers.

### **Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY**

#### **Section 2.01 Designated Point(s) of Contact**

Each procurement solicitation issued by Nassau County will identify the Designated Point(s) of Contact for that solicitation as required by the State Procurement Lobbying Law. Once the Designated Point(s) of Contact is/are established, the Vendor and any person or entity acting on the Vendor's behalf, including without limitation, those providing compensated or uncompensated lobbying, advocacy, consulting or other services should ensure that its contacts with Nassau County are in compliance with the requirements of the State Procurement Lobbying Law.

### **Chapter 3: GIFTS OR CONTINGENT FEES**

#### **Section 3.01 Zero Tolerance**

No Vendor may offer or give any Gift, directly or indirectly, to a Nassau County Employee. Similarly, no Vendor may offer or give any Gift, directly or indirectly, to any Family Member of a Nassau County employee where such Gift is made because of the Vendor's relationship with the Nassau County Employee. Additionally, no Vendor may accept a gift from a Nassau County Employee.

This Zero-Tolerance Policy applies regardless of actual intentions. In other words, even if a Gift does not, or is not intended to, influence an action or decision by a Nassau County Employee, it is prohibited by this Code.

#### **Section 3.02 Personal Relationships**

Notwithstanding the foregoing, if a Vendor has a pre-existing family or personal relationship with the Employee, a Gift that is wholly unconnected with the Employee's duties on behalf of Nassau County is





## Vendor Code of Ethics

not necessarily prohibited.

In determining whether the giving of an item was motivated by personal rather than business concerns, the following factors are considered:

- (a) the history of the relationship between the donor and the recipient, including but not limited to the mutuality of gift giving;
- (b) whether the item was paid for by the donor.

The giving of an item shall not be considered to be motivated by a family or personal relationship if the donor seeks to charge or deduct the value of the item as a business expense or seeks reimbursement from a client.

However, regardless of the family or personal relationship between a Vendor and an employee, a Gift is strictly forbidden where it is being given under circumstances where it can reasonably be inferred that it was intended to influence the employee in the performance of his or her official duties.

### Section 3.03 Contingent Fees

The Vendor will not employ or retain any individual or entity for the purpose of soliciting or securing a Nassau County contract upon any agreement or understanding for a commission, percentage, brokerage, or fee that is contingent or dependent upon the outcome of the procurement.

## Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

### Section 4.01 Restrictions During the Procurement Process

Vendors shall not discuss future employment with Participating Nassau County Employees or their Family Members from the date the procurement is advertised or solicited through 30 days following the date that the procurement is awarded, even if a Participating Nassau County Employee contacts the Vendor regarding employment. Questions regarding whether a particular Nassau County employee is a Participating Nassau County Employee for a specific





## Vendor Code of Ethics

procurement should be directed to the Designated Point of Contact for the procurement.

### Section 4.02 Restrictions Post Award

Vendors are prohibited from offering or discussing an employment opportunity with a Nassau County Employee or his or her Family Members before whom the Vendor has or expects to have a pending specific matter including, but not limited to, negotiations, performance evaluation, task order selection, approval of a voucher or invoice, or approval of or agreement to a contract amendment, change order, or deviation or waiver until:

- (i) 30 days from the time the matter before the Employee is closed, or
- (ii) 30 days from the time the Employee has no further involvement with the matter because of recusal or reassignment.

## Chapter 5: CONFLICT OF INTEREST

### Section 5.01 Financial Interest

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, as the case may be, may have a 10% or greater interest, nor shall the Vendor, nor any director, officer, principal, owner, or partner thereof, acquire a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract.

The Vendor will not permit an employee having a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract to be employed in the performance of the Nassau County contract.

### Section 5.02 Personal Business Dealings

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, may have a non-County business dealing with a





## Vendor Code of Ethics

Participating Nassau County Employee where it can be reasonably inferred that the purpose of the business dealing, at least in part, is to influence the Participating Nassau County Employee's action on a pending County matter.

### **Section 5.03 Disclosure and Cooperation**

The Vendor shall disclose immediately to the County any real or potential conflict of interest of which it becomes aware. This obligation is ongoing and shall last through the completion of performance of the contract. The Vendor shall provide to Nassau County, at the County's request and upon such forms as may be furnished by Nassau County, a disclosure of organizational, financial, contractual or other affiliations with any organization or entity that has interests that may be substantially affected by the procurement solicitation or award. The Vendor shall fully cooperate in any inquiry or investigation undertaken by Nassau County to determine whether any such affiliations present a conflict of interest, or whether any other provision of this Code has been violated. The Vendor shall fully cooperate with audits, investigations, examinations and reviews by the Nassau County Inspector General conducted pursuant to section 187 of the Nassau County Charter.

### **Section 5.04 Confidential Information**

At no time shall any Vendor who obtains confidential or proprietary Nassau County information in the course of doing or seeking to do business with the County disclose any such information to any person not authorized by Nassau County to receive such information or use such information for any personal gain except as necessary to fulfill its contractual obligations to Nassau County.

If the Vendor receives from any source confidential or proprietary Nassau County information prior to the award of a resulting contract and, where applicable, approval by the County Legislature, without the explicit approval of the Designated Point of Contact, the Vendor shall immediately so notify the Designated Point of Contact.

Nassau County confidential or proprietary information includes, but is not limited to, internal cost estimates and proposals submitted by other Vendors.





## Vendor Code of Ethics

### **Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development**

No Vendor who participates in the development of a scope of work, solicitation documents, assessment criteria, contractual instruments or technical specifications may participate as a bidder, sub-bidder, proposer or sub-proposer on that particular procurement or perform any work on that particular procurement or any other procurement that would constitute an organizational conflict of interest or would give that Vendor an unfair advantage over other bidders or proposers on that procurement. This prohibition may be waived in writing by the County Chief Procurement Officer upon a showing of good cause.

## **Chapter 6: FORMER NASSAU COUNTY EMPLOYEES**

### **Section 6.01 Appearance Before Former Agency-Two Year Bar**

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear or practice before any Nassau County agency, either prior to award or in the performance of a Nassau County contract, for a period of two years after termination of the Nassau County Employee's services with the County.

### **Section 6.02 Appearance Before Former Agency-Life Time Bar**

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear, practice, communicate or otherwise render services before the agency that employed the officer or employee or any other agency of Nassau County, either prior to award or in the performance of an agency's contract in relation to any case, proceeding, application or transaction with respect to which such former officer or employee was directly concerned and in which he or she personally participated, or which was under his or her active consideration during the period of his or her employment. This provision is a lifetime bar on projects that the former Nassau County Employee previously worked on while employed by the County.





## Vendor Code of Ethics

### Chapter 7: NON-COLLUSION

#### Section 7.01 Independent Bid Assessment

The Vendor will calculate the price(s) contained in any bid or proposal independently, without collusion, consultation, communication, or agreement with any competing Vendor for the purpose of restricting competition.

#### Section 7.02 Non-Communication of Bid

Unless otherwise required by law, the price(s) which the Vendor quotes in its bid or proposal will not knowingly be disclosed by the Vendor, directly or indirectly, to any competing Vendor prior to the closing date for bids or proposals.

#### Section 7.03 Bid Submission

The Vendor will not make any attempt to induce any other individual or entity to submit or not to submit a bid or proposal.

### Chapter 8: DISTRIBUTION AND CERTIFICATION

#### Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

As a condition of being considered for the award of any contract above the County's small purchase threshold of \$10,000, the Vendor will be required to distribute copies of the Nassau County Vendor Code of Ethics to all Participating Employees prior to any of those employee's participation in the procurement. The Code may be distributed either in hard copy or electronically as a separate PDF.

Additionally, as a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will be required to obtain an acknowledgement from each of its Participating Employees ("Participating Employee Acknowledgements") that they have received, read, understand, and will comply with the Nassau County Vendor Code of Ethics.





## Vendor Code of Ethics

The Vendor's responsibility for distributing copies of the Nassau County Vendor Code of Ethics and obtaining such signed Participating Employee Acknowledgements is ongoing until completion of performance of the contract and shall be retained for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Receipt and retention of Participating Employee Acknowledgments by the Vendor shall be subject to audit by Nassau County.

### Section 8.02 Vendor Certifications

The vendor by signing the final contract thereby certifies and attests to the following:

- (a) The Vendor has been provided with a copy of the Nassau County Vendor Code of Ethics and will comply with all of the provisions of the Code;
- (b) All of its Participating Employees during the course of procurement or contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to any of those employees' participation in the procurement;
- (c) All Participating Employees have completed the acknowledgement required by Section 8.01 of this Code;
- (d) The Vendor will retain all of the signed Participating Employee Acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County;
- (e) The Vendor will continue to distribute the Nassau County Vendor Code of Ethics, obtain signed Participating Employee Acknowledgements as new Participating Employees are added or changed during the contract period, and retain all of the signed acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.





## Vendor Code of Ethics

### Section 8.03 Subcontractor Certifications

As a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will obtain certifications executed by authorized officials from all of its lower tier subcontractors, subconsultants and suppliers (as well as from any other subcontractors, subconsultants and suppliers from whom that Vendor is soliciting or has received proposals for work on a Nassau County contract) whose employees have communicated or may communicate with Nassau County Employees. This obligation is ongoing and shall last through the completion of performance of the contract. Receipt and retention of lower tier certifications by the Vendor shall be subject to audit by Nassau County.





## Vendor Code of Ethics

### Chapter 9: PENALTIES

#### Section 9.01 Responsibility Determination

For violation of any provision of the Nassau County Vendor Code of Ethics, Nassau County may avail itself of every remedy in law or equity, or as agreed to by parties in any contract, including but not limited to declaring the Vendor non-responsible or in material breach of the contract.

#### Section 9.02 Civil/Criminal Penalties

Additionally, violation of the Nassau County Vendor Code of Ethics or a provision thereof may subject the Vendor to criminal or civil penalties under State or Federal law.

### Chapter 10: REPORTING OBLIGATION

#### Section 10.01 Reporting Gift Requests

Notwithstanding the provisions of Chapter 4 above, the Vendor is obligated to immediately report to Nassau County's Inspector General and the County Chief Procurement Officer, any and all requests made to the Vendor by any Nassau County Employee for a Gift.

#### Section 10.02 Reporting Material Changes

The Vendor is under a continuing obligation to report any change in circumstances that materially affects any prior report to Nassau County to Department of Chief Contracting Officer, including but not limited to disclosure of conflicts of interest and representations made in the Contractor Responsibility Form.

#### Section 10.03 Reporting Violations and Overpayments

The Vendor is obligated to timely report in writing to Nassau County's Inspector General, in connection with the award, performance or closeout of the Nassau County contract or subcontract, any credible evidence of significant overpayments on the contract or that a principal, employee, agent or subcontractor has committed a





## Vendor Code of Ethics

violation of law involving fraud, conflict of interest, bribery or gratuities.

### Chapter 11: PROHIBITION ON RETALIATION

#### Section 11.01 Prohibition

To facilitate the reporting obligations under Chapter 10, this code strictly forbids all Vendors and Vendors' Employees from taking any Retaliatory Action against individuals who make such reports.





## Vendor Code of Ethics

### PARTICIPATING EMPLOYEE ACKNOWLEDGEMENT REGARDING NASSAU COUNTY VENDOR CODE OF ETHICS

Company: R.J. Industries, Inc.

Bid/Proposal No.: SBP312 - OZG General Construction

I, John C. Doremus, acknowledge that I have received and read the Nassau County Vendor Code of Ethics on 1/20/2020 and that I understand it and will comply with this Code in my participation in procurements between R.J. Industries, Inc. (Vendor name) and Nassau County.

Executed this 21<sup>st</sup> day of January, 2020

By

Signature of Employee

John C. Doremus, U.P.

Name and Title of Employee





## Vendor Code of Ethics

### CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.: \_\_\_\_\_

Project Description: \_\_\_\_\_

The prospective lower tier participant \_\_\_\_\_ (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to \_\_\_\_\_ [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

By \_\_\_\_\_ Signature of Authorized Official

\_\_\_\_\_ Name and Title of Authorized Official

**LIII. ALL LEGAL PROVISIONS DEEMED INCLUDED; SEPARABILITY; SUPREMACY; COMPLIANCE WITH LAW**

9. **Prohibition of Gifts.** In accordance with County Executive Order 2-2018, the Contractor shall not offer, give, or agree to give anything of value to any County employee, agent, consultant, construction manager, or other person or firm representing the County (a "County Representative"), including members of a County Representative's immediate family, in connection with the performance by such County Representative of duties involving transactions with the Contractor on behalf of the County, whether such duties are related to this Agreement or any other County contract or matter. As used herein, "anything of value" shall include, but not be limited to, meals, holiday gifts, holiday baskets, gift cards, tickets to golf outings, tickets to sporting events, currency of any kind, or any other gifts, gratuities, favorable opportunities or preferences. For purposes of this subsection, an immediate family member shall include a spouse, child, parent, or sibling. The Contractor shall include the provisions of this subsection in each subcontract entered into under this Agreement.

10. **Disclosure of Conflicts of Interest.** In accordance with County Executive Order 2-2018, the Contractor has disclosed as part of its response to the County's Business History Form, or other disclosure form(s), any and all instances where the Contractor employs any spouse, child, or parent of a County employee of the agency or department that contracted or procured the goods and/or services described under this Agreement. The Contractor shall have a continuing obligation, as circumstances arise, to update this disclosure throughout the term of this Agreement.

11. **Vendor Code of Ethics.** By executing this Agreement, the Contractor hereby certifies and covenants that:

- (i) The Contractor has been provided a copy of the Nassau County Vendor Code of Ethics issued on June 5, 2019, as may be amended from time to time (the "Vendor Code of Ethics"), and will comply with all of its provisions;
- (ii) All of the Contractor's Participating Employees, as such term is defined in the Vendor Code of Ethics (the "Participating Employees"), have been provided a copy of the Vendor Code of Ethics prior to their participation in the underlying procurement;
- (iii) All Participating Employees have completed the acknowledgment required by the Vendor Code of Ethics;
- (iv) The Contractor will retain all of the signed Participating Employee acknowledgements for the period it is required to retain other records pertinent to performance under this Agreement;
- (v) The Contractor will continue to distribute the Vendor Code of Ethics, obtain signed Participating Employee acknowledgments as new Participating Employees are added or changed during the term of this Agreement, and retain such signed acknowledgments for the period the



Contractor is required to retain other records pertinent to performance under this Agreement; and

The Contractor has obtained the certifications required by the Vendor Code of Ethics from any subcontractors or other lower tier participants who have participated in procurements for work performed under this Agreement.

---



Signature

William J. Joremus, Secretary-Treasurer

Printed Name and Title

---

2/12/2020

Date

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

### QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?

22 Years 4 Months

2. How many years in the construction work of a similar type as this contract has your firm had;

as a Prime Contractor 12

a. as a Subcontractor 22

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
--------------------	------------------	----------------------	--

See enclosed

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
--------------------	------------------	----------------------	--

see enclosed

(use additional blank sheets if additional space is necessary)

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M PROJECT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

5. Have you ever failed to complete any work awarded to you?  
If so, when and why?

No

(use additional blank sheets if additional space is necessary)

6. Has any officer or partner of your firm ever been an officer or partner of some other firm that failed to complete a construction contract?

No

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name?  
If so, state name of individual, name of owner and reason therefor:

No

8. In what other lines of business are you financially interested?

None

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REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
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REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
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9. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of Work	In What Capacity
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see enclosed

(use additional blank sheets if additional space is necessary)

10. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, Etc.	Year of Service	Present Location
------	---	--------------------	---------------------

see enclosed

(use additional blank sheets if additional space is necessary)

Note: Should the equipment be moved from the above mentioned location, the submitter hereby agrees upon request of the County to state the new location where same may be found.

11. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

The following items are covered by a note:

AquaJet - current loan balance \$372k

Kubota RTV - \$16k

Cat 262 Steer Loader - \$47k

(use additional blank sheets if additional space is necessary)



## PROPOSAL

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NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

12. In what manner have you inspected this proposed work?  
Explain in detail.

Review of contract documents (plans, specifications & addenda)

(use additional blank sheets if additional space is necessary)

Explain your plan and lay-out for performing the proposed work.

We intend to perform the work in line with an owner approved CPM schedule.

13. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

Anthony Etersque

14. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
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see attached certificate

## PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
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DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

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++ NO TEXT ON THIS PAGE ++

LAURA CURRAN  
NASSAU COUNTY EXECUTIVE



KENNETH G. ARNOLD, P.E.  
COMMISSIONER

COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
1194 PROSPECT AVENUE  
WESTBURY, NEW YORK 11590-2723

**VENDOR PORTAL ACKNOWLEDGMENT**

Vendor Name: R.J. Industries, Inc.  
Contract Title: Repair and Improvements to the Central Homes, Long Meadow and Dana's Highway P.S.  
Contract Number: S3P312-02G

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at [www.nassaucountyny.gov](http://www.nassaucountyny.gov) by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.

Signature

A handwritten signature in dark ink, appearing to read "R. Felicetta", is written over a horizontal line.

Jan 14, 2020

Date

Richard O. Felicetta, President

Print Name

If you attempted to register via the Portal but were unable to do so, please explain here:

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**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	2019
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	2017
Car Washer Repairs at 239th Street Yard, Concourse Yard and Pelham Yard (Bronx) Contract No C-34913	MTA - New York City Transit	Demitrios Milonas 646-252-4127	\$10,733,360	2021
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visone 516-571-7359	\$7,647,700	2017
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Joe Davenport <a href="mailto:jdavenport@nassaucountyny.gov">jdavenport@nassaucountyny.gov</a> 516-571-7515	\$16,187,700	2017
Bay Park Sewage Treatment Plant Electrical DistribSystem Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a TV)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$28,956,674	2016
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improvebets to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$24,767,700	2016

***R.J. Industries, Inc.***

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$3,937,700	2016
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) <a href="mailto:rpedenzin@hazenandsawyer.com">rpedenzin@hazenandsawyer.com</a> 516-881-7835	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3)	County of Suffolk	John Berchtold	\$2,347,860	2013

As of December 31, 2019

**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u> 631-852-4189	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Screening Room Odor Control General Construction & HVAC Contracts				
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection System & Related Infrastructure Repairs	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$2,701,537	2013
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartilucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading River CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011

As of December 31, 2019



**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW Ken Arnold 516-571-9604	\$3,647,700	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011
Cedar Creek WPCP Install New Gravity Belt Thickner	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bletsch H2M Group 631-756-8000	\$929,800	2010
Great Neck Water District Concrete Clearwell - Weybridge Road General Construction	Great Neck Water Dist.	Dvirka & Bartiluci Bill Merklin 516-595-4845	\$648,000	2010

***R.J. Industries, Inc.***

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
SUNY - Maritime Replace Fuel Oil Tanks (Ft. Schuyler)	State University Construction Fund	Richard Brown 518-320-3204	\$1,998,050	2010
Sea Cliff Install 600,000 Gallon Elevated Tank	Aqua New York Matthew Snyder	Caldwell Tank, Inc (GC) Jenny Ferguson 502-964-3361	\$288,000	2009
Carle Place Water District Pump Sta. 4 Treatment Improvements & Building Rehab	Carle Place Water Dist.	Sidney Bowne Joseph Todaro, PE 516-746-2350	\$164,830	2009
Huntington WWTP Install Ultraviolet (UV) Disinfection System	Town of Huntington	H2M Group Steve Hearl 631-756-8000	\$568,800	2008
Port Jefferson WWTP Plant Reconstruction (General & Mechanical Contract)	Suffolk County DPW	H2M Group Frank Russo 631-756-8000	\$18,434,000	2008
Huntington Sewer District Treatment Plant Upgrade	Town of Huntington	H2M Group Chris Weiss 631-756-8000	\$13,298,460	2008
Keyspan - Barrett Bridge Install Piles and Foundation	Keyspan Energy	Jim Walsh 516-545-6277	\$643,348	2007
Westbury Water District Pump Modifications to Well #16 - General Construction Contract	Westbury Water District	Dvirka & Bartilucci William Merklin 516-364-9880	\$239,640	2006
Oyster Bay Pump Station Install Nitrogen Removal Facility	Oyster Bay Sewer District	Steven Hearle, PE H2M Group 631-756-8000	\$7,332,500	2006

# **R.J. Industries, Inc.**

Prime Contracts In Progress

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Cedar Creek WPCP Effluent Screening & Disinfection Facility Improv. (Contract S3C067-03G)	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$11,197,700	March-20	95%
Bay Park Sewage Treatment Plant Level 1 - Biological Nutrient Removal (BNR) Improvements (Contract S35123-L39G)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$18,947,700	March-20	88%
Bay Park Sewage Treatment Plant Engine Generator Facility (Contract S35121-16A)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$2,977,700	March-20	99%
Calverton Sewer District Sewage Treatment Plant Upgrade Contract G	Town of Riverhead	Michael Reichel reichel@townofriverheadny.gov 631-727-3069	\$5,793,700	March-21	11%
<u>BSRJ A TV</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$97,447,200	June-20	97%
<u>RJ / Scalamandre, JV</u>					
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$15,677,700	June-20	86%
<u>RJ / Martin, JV</u>					
Coney Island WWTP Level 1 Step Feed BNR Upgrade (Contract: SF-BNR-CI)	NYC DEP	Stanley Joseph 718-595-7800	\$46,874,100	March-22	38%

as of December 31, 2019

Independent Auditor's Review Report

To the Board of Directors  
R.J. Industries, Inc.

*Report on Financial Statements*

We have reviewed the accompanying interim combined financial statements of R.J. Industries, Inc. and Affiliates (collectively the "Company"), which comprise the combined balance sheets as of June 30, 2019 and 2018, and the related combined statements of income and comprehensive income, equity, and cash flows for the six month periods then ended.

*Management's Responsibility for the Financial Information*

Management is responsible for the preparation and fair presentation of the combined interim financial information in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation and maintenance of internal control sufficient to provide a reasonable basis for the preparation and fair presentation of combined interim financial information in accordance with accounting principles generally accepted in the United States of America.

*Auditor's Responsibility*

Our responsibility is to conduct our reviews in accordance with auditing standards generally accepted in the United States of America applicable to reviews of interim financial information. A review of interim financial information consists principally of applying analytical procedures and making inquiries of persons responsible for financial and accounting matters. It is substantially less in scope than an audit conducted in accordance with auditing standards generally accepted in the United States of America, the objective of which is the expression of an opinion regarding the financial information as a whole. Accordingly, we do not express such an opinion.

*Conclusion*

Based on our reviews, we are not aware of any material modifications that should be made to the accompanying combined interim financial information for it to be in accordance with accounting principles generally accepted in the United States of America.

*Report on Supplementary Information*

Our reviews were made primarily for the purpose of obtaining a basis for reporting whether we are aware of any material modifications that should be made to the interim financial information in order for it to be in conformity with accounting principles generally accepted in the United States of America through performing limited procedures. The accompanying supplementary information included in the accompanying schedules on pages 21 to 23, which is the responsibility of management, is presented for purposes of additional analysis and is not a required part of the interim financial information. The supplementary information has been subjected to the limited procedures applied in the reviews of the interim financial information, and we did not become aware of any material modifications that should be made to such information.

*CohnReznick LLP*

Jericho, New York  
September 26, 2019

R.J. Industries, Inc. and Affiliates

Combined Balance Sheets  
June 30, 2019 and 2018  
(Unaudited)

Assets

	<u>2019</u>	<u>2018</u>
Current assets		
Cash and cash equivalents		
Contracts receivable		
Marketable securities		
Bonds substituted for retainage		
Costs and estimated earnings in excess of billings on uncompleted contracts		
Prepaid expenses and other current assets		
Due from officers		
Deferred costs		
Prepaid income taxes		
Total current assets		
Property and equipment, net		
Security deposit		
Total assets		

Liabilities and Equity

Current liabilities		
Accounts payable and accrued expenses		
Accounts payable - related parties		
Income taxes payable		
Line of credit		
Current portion of long-term debt		
Current portion of mortgage payable		
Billings in excess of costs and estimated earnings on uncompleted contracts		
Total current liabilities		
Long-term debt, less current portion		
Mortgage payable, net of current portion		
Total liabilities		
Commitments and contingencies		
Equity		
Common stock		
Additional paid-in capital		
Retained earnings		
Partners' capital		
Accumulated other comprehensive income		
Total R.J. Industries Inc. and Affiliates equity		
Noncontrolling interest		
Total equity		
Total liabilities and equity		

See Independent Auditor's Review Report and Notes to Combined Financial Statements.

RJ Industries, Inc.  
11-3401288  
New York State S-Corporation

**Officers / Principals**

Richard O. Felicetta: President,  
John Doremus: Vice President,  
John Koziarz: Vice President

William Doremus: Secretary & Treasurer,

**Safety/Risk Management/Engineering**

Richard A. Felicetta Field Risk Manager  
Bob Sevier Engineering/Drafting  
Irto Kim Field Engineering/Survey/Drafting

**Project Management / Supervision / MWBE Procurement**

Keith Olsen Sr. Project Manager (Purchasing & Subcontract Management)  
Joshua Rogers Project Manager  
Nicholas Felicetta Project Manager  
Maram Alam Project Manager  
Thomas Fallon Project Manager  
Joseph Felicetta Supervisor  
Todd Melzer Supervisor  
Tony Etersque Supervisor (Mechanical)  
Simone Washington MWBE Officer

**Main Office**

Maryanne Hilton Controller  
Evelyn Dellaratta- Office Manager  
Lynn Saccone Purchasing Assistant  
Anthony Dellaratta Contract Administration & Staff Assistant to the Controller

Union Staff includes Engineers, Carpenters, Plumbers, Steamfitters, Laborers



RJIND-1

OP ID: PA

**CERTIFICATE OF LIABILITY INSURANCE**

DATE (MM/DD/YYYY)

07/31/2019

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> A. L. Carr Agency, Inc. 101 Mill Dam Road Centerport, NY 11721-0608 A.L. CARR AGENCY INC		631-271-2727		<b>CONTACT NAME:</b> <b>PHONE (A/C, No, Ext):</b> 631-271-2727 <b>FAX (A/C, No):</b> 631-271-2747 <b>E-MAIL ADDRESS:</b>																						
<b>INSURED</b> RJ INDUSTRIES, INC. 75 EAST BETHPAGE ROAD PLAINVIEW, NY 11803		<table border="1"><thead><tr><th colspan="2">INSURER(S) AFFORDING COVERAGE</th><th>NAIC #</th></tr></thead><tbody><tr><td>INSURER A:</td><td>ALLIED WORLD ASSURANCE CO</td><td>19489</td></tr><tr><td>INSURER B:</td><td>ALLIED WORLD SPECIALTY</td><td>10690</td></tr><tr><td>INSURER C:</td><td>AMERICAN GUARANTEE INSURANCE</td><td>26247</td></tr><tr><td>INSURER D:</td><td>THE STATE INSURANCE FUND</td><td>52421</td></tr><tr><td>INSURER E:</td><td>ASPEN AMERICAN</td><td>43460</td></tr><tr><td>INSURER F:</td><td>STANDARD SECURITY LIFE INS.CO</td><td>69078</td></tr></tbody></table>				INSURER(S) AFFORDING COVERAGE		NAIC #	INSURER A:	ALLIED WORLD ASSURANCE CO	19489	INSURER B:	ALLIED WORLD SPECIALTY	10690	INSURER C:	AMERICAN GUARANTEE INSURANCE	26247	INSURER D:	THE STATE INSURANCE FUND	52421	INSURER E:	ASPEN AMERICAN	43460	INSURER F:	STANDARD SECURITY LIFE INS.CO	69078
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**COVERAGES****CERTIFICATE NUMBER:****REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS														
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC OTHER:			6004-0011	08/01/2019	08/01/2020	<table border="1"><tr><td>EACH OCCURRENCE</td><td>\$ 2,000,000</td></tr><tr><td>DAMAGE TO RENTED PREMISES (Ea occurrence)</td><td>\$ 300,000</td></tr><tr><td>MED EXP (Any one person)</td><td>\$ 10,000</td></tr><tr><td>PERSONAL &amp; ADV INJURY</td><td>\$ 2,000,000</td></tr><tr><td>GENERAL AGGREGATE</td><td>\$ 4,000,000</td></tr><tr><td>PRODUCTS - COM/OP AGG</td><td>\$ 4,000,000</td></tr><tr><td></td><td>\$</td></tr></table>	EACH OCCURRENCE	\$ 2,000,000	DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 300,000	MED EXP (Any one person)	\$ 10,000	PERSONAL & ADV INJURY	\$ 2,000,000	GENERAL AGGREGATE	\$ 4,000,000	PRODUCTS - COM/OP AGG	\$ 4,000,000		\$
EACH OCCURRENCE	\$ 2,000,000																				
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PRODUCTS - COM/OP AGG	\$ 4,000,000																				
	\$																				
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY <input checked="" type="checkbox"/> COMP <input checked="" type="checkbox"/> COLLISION			6000-0268	08/01/2019	08/01/2020	<table border="1"><tr><td>COMBINED SINGLE LIMIT (Ea accident)</td><td>\$ 1,000,000</td></tr><tr><td>BODILY INJURY (Per person)</td><td>\$</td></tr><tr><td>BODILY INJURY (Per accident)</td><td>\$</td></tr><tr><td>PROPERTY DAMAGE (Per accident)</td><td>\$</td></tr><tr><td></td><td>\$</td></tr></table>	COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,000	BODILY INJURY (Per person)	\$	BODILY INJURY (Per accident)	\$	PROPERTY DAMAGE (Per accident)	\$		\$				
COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,000																				
BODILY INJURY (Per person)	\$																				
BODILY INJURY (Per accident)	\$																				
PROPERTY DAMAGE (Per accident)	\$																				
	\$																				
C	<input type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$			SXS0181443-03	08/01/2019	08/01/2020	<table border="1"><tr><td>EACH OCCURRENCE</td><td>\$ 14,000,000</td></tr><tr><td>AGGREGATE</td><td>\$ 14,000,000</td></tr><tr><td></td><td>\$</td></tr></table>	EACH OCCURRENCE	\$ 14,000,000	AGGREGATE	\$ 14,000,000		\$								
EACH OCCURRENCE	\$ 14,000,000																				
AGGREGATE	\$ 14,000,000																				
	\$																				
D	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> Y/N <input type="checkbox"/> N/A If yes, describe under DESCRIPTION OF OPERATIONS below			2340 643-2	04/01/2019	04/01/2020	<table border="1"><tr><td><input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER</td><td></td></tr><tr><td>E.L. EACH ACCIDENT</td><td>\$ 1,000,000</td></tr><tr><td>E.L. DISEASE - EA EMPLOYEE</td><td>\$ 1,000,000</td></tr><tr><td>E.L. DISEASE - POLICY LIMIT</td><td>\$ 1,000,000</td></tr></table>	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER		E.L. EACH ACCIDENT	\$ 1,000,000	E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000	E.L. DISEASE - POLICY LIMIT	\$ 1,000,000						
<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER																					
E.L. EACH ACCIDENT	\$ 1,000,000																				
E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000																				
E.L. DISEASE - POLICY LIMIT	\$ 1,000,000																				
E	PROPERTY/EQUIP/BR			IMADPGD19	05/04/2019	05/04/2020	BLANKET														
F	NYS DISABILITY			D92340-000	10/01/2016	10/01/2019	STATUTORY														

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

**-FOR EVIDENCE PURPOSES-****CERTIFICATE HOLDER****CANCELLATION**

TOWHO-1

TO WHOM IT MAY CONCERN

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

## RJ Equipment

<u>Year</u>	<u>Item</u>	<u>Model No</u>	<u>Serial No.</u>	<u>Value / Orig Cost</u>
2015	CAT Wheel loader	966M	OKJP01072	270,000
	Aqua Jet 410 V Evolution 2.0&			
	Aqua Jet PP 70 Power Pack 212Li/min			542,000
2019	Doka Concrete forms - various			93,000
2018	CAT 262D Ski Street Loader	262D	DTB09176	62,500
2018	Kubota	RTV-X1100CWL-H	43132	24,000
	Leica Builder 306 - surveying equip			6,400
2019	Air Compressor			22,500
2017	GPS System LEICA			25,400
2013	CAT Excavator	305.5		65,000
2014	CAT Excavator	349F	BZ200174	400,000
2010	Grove RT 600E (50 Ton Crane)	HO 2160	230901	365,000
2005	Link Belt RTC (50 Ton Crane)	RTC 805011	J6J57796	218,505
	Landis 6" Pipe Threader	(w/ GE Induction Motor)	23783	16,520
2013	CAT Excavator	308E	EJTMX00784	97,500
2012	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283352	22,150
2013	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283493	22,150
2014	Zenith 25 GPS		2860958	13,000
	APE Robovibe		156111	172,000
2013	Telescoping forklift		5CHXGC01404569	105,000
2011	Moretrench (Various Dewatering Equipment)			105,000
2011	Komatsu Hydraulic Excavator	PC200LC-8HD	KMTPC180P54A89729	128,450
1999	CAT Hydraulic Excav	320 BL	7JR02231	42,000
2006	CAT Excavator	308 CCR	CVKXC01388	61,500
2001	CAT 725 Articulated Dump Truck	725	AFX00145	86,000
2002	CAT Mini Excavator	304.5	WAK00727	35,000
2001	CAT Backhoe	420 D	BLN00364	33,000
2002	CAT Skid Loader	262B	PDT00286	20,000
1990	John Deere Pay Loader	644E	DW644EB527520	45,000
1997	JCB Telehandler (Forklift)	506B	0579416	23,250
2002	CAT Bulldozer	D5C	7PS01937	40,000
2004	GORMAN RUPP - 4" Diesel Pump	PA6C60-4045D-C1	001281115N	8,000
	GORMAN RUPP - 6" Dry Prime Pump			10,000
2001	Ingersoll Rand Vibratory Smooth Drum Roller	SD110D	168435	37,925
1998	CHICAGO PNEUMATIC Compressor	CPS 390	CE16156	5,000
2008	Concrete Saw - Self Propelled	FS6600D	1254115001	16,900
	Concrete Forms	Doka		80,000
	3 - WHIRLYBIRDS	Power Trawl 36"		
	4-5 VIBRATORS	OZ Tech		
	Light Towers			8,250
	Light Towers			8,250
	Light Towers			8,250
2003	JLG 400S - Self Propelled Deutz	400S	300072553	19,500
2006	Skyjack	SJ3219	262824	5,500
2005	Skyjack	SJ3219	250891	5,500
2006	Skyjack	SJ3219	262669	5,500
2001	JLG Telescopic Boom Lift	400S	300060660	13,750
2001	Lull - Telescopic Fork Lift	844C-42	01W21P22-3563	20,000
2002	JLG Telescopic Boom Lift	400S	300061232	13,750
	Yale Forklift GP 070	GP 070	B813D03952V	7,060
2005	John Deere (off road Dump)	300D	DW300DT599355	120,000



# ANTHONY ETERSQUE

Suffolk County Plumbers License 2455P

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## CERTIFICATIONS

- X-Ray Welder
- Medical Gas
- Radiant Heat
- ANSI Poly Pro
- Foreman Training
- Health & Safety

## PROFESSIONAL EXPERIENCE

*Project Manager/Master Plumber*  
R.J. Industries, Inc.

2008 - Present

*Project Manager/Master Plumber*  
James McCullagh Co., Inc.

1985 - 2008

Experience consists of a wide range of plumbing and mechanical projects over the past twenty-four years:

### Commercial & Industrial

- Wastewater
- Powerhouse
- Hi-Pressure Gas (5,000 psi)
- Retail
- Hi-Rise
- Water Purification
- Leachate Remediation
- School Work
- Hospital Work

### Residential

- Jobbing & Service Work
- New Housing & Hi-End Housing
- Boiler & Heating Work
- Radiant Heat
- Alteration Work

## EDUCATION

Welding Instructor Local 457 1985 1987

Local #457

Suffolk County Dept. of Labor D. Ryan Plumbing & Heating

Arista Engineering New York State Plumbing Code

Medical Gas Certified Local 200

Advanced Welding Local 200 & 457

Hazardous Communications Training

Boiler Controls & Oil Burners BOCES

Boiler Controls Wales Darby

Radiant Heat Wales Darby

Nassau Community College Civil Engineering

# PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M CONTRACT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

TO BE COMPLETED BY CONTRACTORS SUBMITTING A BID ON S3P312-02G – GENERAL CONSTRUCTION						
ITEM NO.	APPROX. QUANTITIES	ITEMS BID WITH PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1.	Lump Sum	Lump sum amount for furnishing all Labor, Materials and Equipment required for Construction of the Improvements to the Central Homes Pump Station and for cleaning and televising downstream end of force main. <u>one million six hundred and fifty dollars and zero cents</u> DOLLARS CENTS	N/A	N/A	1,650,000	00
2.	Lump Sum	Lump sum amount for furnishing all Labor, Materials and Equipment required for Construction of the Improvements to the Long Meadow Pump Station. <u>one million one hundred and thirty thousand dollars and zero cents</u> DOLLARS CENTS	N/A	N/A	1,130,000	00
3.	Lump Sum	Lump sum amount for furnishing all Labor, Materials and Equipment required for Construction of the Improvements to the Dana's Highway Pump Station. <u>one million five hundred ninety four thousand four hundred seventy dollars and zero cents</u> DOLLARS CENTS	N/A	N/A	1,594,470	00
4.	Lump Sum	Lump sum amount for furnishing all Labor, Materials and Equipment required for Construction of the Improvements to the Dock Place Pump Station Force Main Bridge Crossing and installation of manhole inserts. <u>three hundred and sixty eight thousand dollars and zero cents</u> DOLLARS CENTS	N/A	N/A	368,000	00
5.	Allowance	Allowance amount associated with unforeseen conditions. FIFTY THOUSAND AND 00/100 DOLLARS CENTS	N/A	N/A	\$50,000	00

PROPOSAL

REPAIRS AND IMPROVEMENTS TO THE CENTRAL HOMES, LONG MEADOW  
AND DANA'S HIGHWAY PUMP STATIONS IN THE CITY OF GLEN COVE  
H2M CONTRACT NO.: NCDP 15-02

DEPARTMENT OF PUBLIC WORKS  
NASSAU COUNTY, NEW YORK  
CONTRACT NO.: S3P312-02G

TOTAL BID AMOUNT (Bid Item Nos. 1, 2, 3, 4 and 5): MUST BE WRITTEN IN FIGURES:

\$ 4,792,470.00

TOTAL BID AMOUNT (Bid Item Nos. 1, 2, 3, 4 AND 5): MUST BE WRITTEN IN WORDS:

four million seven hundred ninety two thousand four hundred seventy dollars and zero cents

DOLLARS

CENTS

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
Lower Tier Covered Transactions  
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

William J. Doremus, Secretary-Treasurer  
Name and Title of Authorized Representative

[Signature]  
Signature

m/d/yy

4/16/2020  
Date

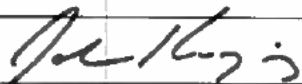
R. J. Industries, Inc.  
Name of Organization

75 East Bethpage Road  
Plainville, NY 11803  
Address of Organization

**NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS**

**CONSULTANT/ CONTRACTOR DETAILED MBE/WBE UTILIZATION PLAN**

**Part 1- General Information:**

Consultant/Contractor Name:	R.J. Industries, Inc.
Address (street/city/state/zip code):	PO Box 349, Plainview, NY 11803
Authorized Representative (name/title):	John Koziarz
Authorized Signature:	
Contract Number:	S3P312-02G
Contract/Project Name:	Repair and Improvements to the Central Homes, Long Meadow, and Dana's Highways Pump Stations
Contract/Project Description:	Repair and improvements at existing Central Homes, Long Meadow, and Dana's Highway Pump Stations in the City of Glen Cove.

**Part 2- Projected MBE/WBE Contract Summary:**

	Amount (\$)		Percentage (%)
Total Dollar Value of the Prime Contract	\$4,792,470.00		
Total MBE Dollar Amount	\$479,247.00	MBE Contract Percentage	10.00%
Total WBE Dollar Amount	\$479,247.00	WBE Contract Percentage	10.00%
Total Combined M/WBE Dollar Amount	\$958,494.00	Combined M/WBE Contract Percentage	20.00%

**Part 3- MBE Information (use additional blank sheets as necessary):**

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount(\$ ) and Award Date	MBE Contract Scheduled Start Date and Completion Date
Name: <span style="background-color: black; color: black;">[REDACTED]</span> Address: <span style="background-color: black; color: black;">[REDACTED]</span> City: <span style="background-color: black; color: black;">[REDACTED]</span> State/Zip Code: <span style="background-color: black; color: black;">[REDACTED]</span> Authorized Representative: <span style="background-color: black; color: black;">[REDACTED]</span> Telephone No. <span style="background-color: black; color: black;">[REDACTED]</span>	<span style="background-color: black; color: black;">[REDACTED]</span>	Amount (\$): _____  Award Date: _____	Start Date: _____  Completion Date: _____
Name: PCS Pump and Process Address: 41 Plymouth Street City: Fairfield State/Zip Code: NJ, 07004 Authorized Representative: Pete Tabone Telephone No. 201-953-9126	Instrumentation & Controls	Amount (\$): 120,000.00  Award Date: TBD	Start Date: TBD  Completion Date: TBD
Name: Klairgar, Inc. Address: 311 South 6th Ave City: Mt Vernon State/Zip Code: NY, 10550 Authorized Representative: Wes Evans Telephone No. 914-699-1520	HVAC	Amount (\$): 75,000.00  Award Date: TBD	Start Date: TBD  Completion Date: TBD

**Part 4- WBE Information (use additional blank sheets as necessary):**

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount(\$) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: <b>Cassone Leasing</b> Address: <b>1900 Lakeland Ave</b> City: <b>Ronkonkoma</b> State/Zip Code: <b>NY, 11779</b> Authorized Representative: <b>Mary Borg</b> Telephone No. <b>631-585-7800</b>	<b>Office Trailers</b>	Amount (\$): <b>10,000.00</b> <hr/> Award Date: <b>TBD</b> <hr/>	Start Date: <b>TBD</b> <hr/> Completion Date: <b>TBD</b> <hr/>
Name: <b>L B Consulting</b> Address: <b>26 Sport Hill Road</b> City: <b>Easton</b> State/Zip Code: <b>CT, 06612</b> Authorized Representative: <b>Joe Boffa</b> Telephone No. <b>203-373-1195</b>	<b>Scheduling</b>	Amount (\$): <b>10,000.00</b> <hr/> Award Date: <b>TBD</b> <hr/>	Start Date: <b>TBD</b> <hr/> Completion Date: <b>TBD</b> <hr/>
Name: <b>PDK - Bernstein Associates</b> Address: <b>83 Calvert Street</b> City: <b>Harrison</b> State/Zip Code: <b>NY, 10528</b> Authorized Representative: <b>Alan Kazin</b> Telephone No. <b>914-835-2121</b>	<b>Photography</b>	Amount (\$): <b>10,000.00</b> <hr/> Award Date: <b>TBD</b> <hr/>	Start Date: <b>TBD</b> <hr/> Completion Date: <b>TBD</b> <hr/>

**Part 4- WBE Information (use additional blank sheets as necessary):**

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount(\$) and Award Date	WBE Contract Scheduled Start Date and Completion Date
<b>Name:</b> Sound Environmental Assoc. <b>Address:</b> 18 Tode Street <b>City:</b> Wadding River <b>State/Zip Code:</b> NY, 11792 <b>Authorized Representative:</b> Erin Marsalisi <b>Telephone No.</b> 631-414-7198	Safety	<b>Amount (\$):</b> 10,000 <hr/> <b>Award Date:</b> TBD	<b>Start Date:</b> TBD <hr/> <b>Completion Date:</b> TBD
<b>Name:</b> Hailey Insulation <b>Address:</b> 585 Route 25A <b>City:</b> Rocky Point <b>State/Zip Code:</b> NY, 11778 <b>Authorized Representative:</b> Don Steinfeld <b>Telephone No.</b> 631-689-0450	HVAC & Plumbing Insulation	<b>Amount (\$):</b> 30,000.00 <hr/> <b>Award Date:</b> TBD	<b>Start Date:</b> TBD <hr/> <b>Completion Date:</b> TBD
<b>Name:</b> Audobon Environmental <b>Address:</b> 6 Park Drive <b>City:</b> Shamong <b>State/Zip Code:</b> NJ, 08088 <b>Authorized Representative:</b> John Gray <b>Telephone No.</b> 609-859-3652	Helical Pipe Piles	<b>Amount (\$):</b> 15,363.00 <hr/> <b>Award Date:</b> TBD	<b>Start Date:</b> TBD <hr/> <b>Completion Date:</b> TBD



**Part 4- WBE Information (use additional blank sheets as necessary):**

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount(\$ and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: <b>DME Construction Assoc.</b> Address: <b>126 Old Field Road</b> City: <b>Setauket</b> State/Zip Code: <b>NY, 11733</b> Authorized Representative: <b>Pete Chardon</b> Telephone No. <b>631-689-2616</b>	Roofing	Amount (\$): <b>50,000.00</b> <hr/> Award Date: <b>TBD</b> <hr/>	Start Date: <b>TBD</b> <hr/> Completion Date: <b>TBD</b> <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>