

**B-1-21**

Certified:

B-1-21Filed with the Clerk of
the Nassau County Legislature on
December 29, 2020 4:14 pm**NIFS ID: Department: Public Works****Capital: X**

SERVICE: Asbestos/Lead Abatement-Various Loc-B-1-21-B90625-03C

Contract ID #:B90625-03C

NIFS Entry Date:

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	N
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Material Adverse Information Identified? (if yes, attach memo):	N
5) Insurance Required	N

Vendor Info:	
Name: 192 Branch Interior Services	Vendor ID#: [REDACTED]
Address: 2099 9th Avenue Ronkonkoma, NY 11779	Contact Person: [REDACTED]
	Phone: ([REDACTED])

Department:
Contact Name: Robert Exner
Address: NCDPW 1194 Prospect Avenue Westbury NY Phone: 571-6919

Routing Slip

Department	NIFS Entry: X	05-OCT-20 -- EKOBEL
Department	NIFS Approval: X	15-OCT-20 -- KARNOLD
DPW	Capital Fund Approved: X	16-OCT-20 -- RDALLEVA
OMB	NIFA Approval: X	13-NOV-20 -- CNOLAN
OMB	NIFS Approval: X	15-OCT-20 -- NGUMIENIAK
County Atty.	Insurance Verification: X	15-OCT-20 -- AAMATO
County Atty.	Approval to Form: X	15-OCT-20 -- NSARANDIS
CPO	Approval: X	23-NOV-20 -- KOHAGENCE

DCEC	Approval: X	29-NOV-20 -- JCHIARA
Dep. CE	Approval: X	30-NOV-20 -- BSCHNEIDER
Leg. Affairs	Approval/Review: X	29-DEC-20 -- JSCHANTZ
Legislature	Approval:	
Comptroller	Deputy:	
NIFA	NIFA Approval:	

Contract Summary

Purpose: This is a contract for 192 Branch Services to manage asbestos and lead containing building materials, in Nassau County operated facilities, which may become disturbed or deteriorate over time and pose potential health hazards to the public or employees.
Method of Procurement: Request for sealed bids
Procurement History: Bid documents were advertised in Newsday on September 4, 2019; in New York State Contract Reporter from September 4, 2019 through October 8, 2019; and in e-procure from September 6, 2019 through October 8, 2019. All sealed proposals were accepted until 10:30am, on October 8, 2019, and opened thereafter. The winning bid was from a local contractor, 192 Branch Interior Services, Inc., Ronkonkoma, N.Y.
Description of General Provisions: This is a contract for 192 Branch Services to manage asbestos and lead containing building materials, in Nassau County operated facilities, which may become disturbed or deteriorate over time and pose potential health hazards to the public or employees. Further, Federal and State regulations require immediate corrective measures when these materials are found to be damaged or in poor condition. This contract allows the County to comply with the above requirements and eliminates costly, time consuming, delays on any construction project undertaken by the County when unanticipated asbestos or lead containing materials are encountered by ensuring that these materials will be satisfactorily handled or removed at the lowest possible cost to the County.
Impact on Funding / Price Analysis: The maximum amount of this contract is \$2,000,000. This vendor is a certified MBE.
Change in Contract from Prior Procurement: N/A
Recommendation: (approve as submitted) Approve as submitted

Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	PWCAPCAP	Revenue		1	PWCAPCAP/90625/000/00004	\$ 0.01
Control:	90	Contract:				\$ 0.00
Resp:	625	County	\$ 0.00			\$ 0.00
Object:	00004	Federal	\$ 0.00			\$ 0.00
Transaction:	CH	State	\$ 0.00			\$ 0.00
Project #:	90625	Capital	\$ 0.01			\$ 0.00
Detail:	000	Other	\$ 0.00			\$ 0.00
RENEWAL		TOTAL	\$ 0.01		TOTAL	\$ 0.01
% Increase						
% Decrease						

RULES RESOLUTION NO. -2021

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND 192 BRANCH INTERIOR SERVICES, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS [“Department”] has received competitive bids for contract B90625-03C, for REQUIREMENTS CONTRACT – ASBESTOS AND LEAD ABATEMENT – VARIOUS LOCATIONS, NASSAU COUNTY, NY [“Contract”], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of 192 BRANCH INTERIOR SERVICES, INC.

[“Vendor”] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Department is representing to the Rules Committee that the term of this requirements contract is two (2) years, with the Department's option to extend the term of the Contract for an additional two (2) years period for a total Contract term of four (4) years, and the estimated expenditures under this contract is a total of \$ 2,000,000.00, at \$ 500,000.00 per year, and

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.



Nassau County Interim Finance Authority

Contract Approval Request Form (As of January 1, 2015)

1. Vendor: 192 Branch Interior Services

2. Dollar amount requiring NIFA approval: \$2000000

Amount to be encumbered: \$.01

This is a New

If new contract - \$ amount should be full amount of contract

If advisement – NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: two yrs with option for 2 additional years

Has work or services on this contract commenced? N ____

If yes, please explain:

4. Funding Source:

General Fund (GEN)	Grant Fund (GRT)	Federal % 0
X Capital Improvement Fund (CAP)		State % 0
Other		County % 0

Is the cash available for the full amount of the contract? N

If not, will it require a future borrowing? Y

Has the County Legislature approved the borrowing? N

Has NIFA approved the borrowing for this contract? N

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

To manage asbestos and lead containing building materials, in Nassau County operated facilities, which may become disturbed or deteriorate over time and pose potential health hazards to the public or employees. Further, Federal and State regulations require immediate corrective measures when these materials are found to be damaged or in poor condition. This contract allows the County to comply with the above requirements and eliminates costly, time consuming, delays on any construction project undertaken by the County when unanticipated asbestos or lead containing materials are encountered by ensuring that these materials will be satisfactorily handled or removed at the lowest possible cost to the County.

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

Contract ID	Date	Amount

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

13-NOV-20

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

Jack Schnirman
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: 192 Branch Interior Seivices

CONTRACTOR ADDRESS: 2099 9th Avenue, Ronkonkoma NY, 11779

FEDERAL TAX ID #: 11-2352067

Instructions: Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☑ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in Newsday, e-Procure, NYS Con. Report [newspaper] on 9/4/19 - 10/4/19 [date]. The sealed bids were publicly opened on October 8, 2019 [date]. Two (2) [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

_____[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☒ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☒ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☒ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☒ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41*, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.



Department Head Signature

2/10/2020

Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Arthur Chu state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Disclosures

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.

Name of Submitting Entity: 192 Branch Interior Services, Inc.

Vendor's Address: 2099 9th Avenue Ronkonkoma NY US 11779

Vendor's EIN or TIN: [REDACTED]

Forms Submitted: _____

Political Campaign Contribution Disclosure Form:
09/23/2020 01:03:34 PM

Lobbyist Registration and Disclosure Form:
09/17/2020 10:53:20 AM

Business History Form certified:
09/23/2020 12:40:21 PM

Consultant's, Contractor's, and Vendor's Disclosure Form:
09/22/2020 11:28:42 AM

Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

Principal Name	Date Certified
Arthur Chu [REDACTED]	09/23/2020 12:58:46 PM
Luke Saunders [REDACTED]	09/14/2020 12:22:06 PM
Grace Boccuzzo [REDACTED]	09/08/2020 01:13:06 PM

I, Arthur Chu hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

Arthur Chu

Name

President

Title

192 Branch Interior Services, Inc.

Name of Submitting Entity

09/23/2020 01:16:04 PM

Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 09/23/2020

1) Proposer's Legal Name: 192 Branch Interior Services, Inc.

2) Address of Place of Business: 2099 9th Avenue

City: Ronkonkoma State/Province/Territory: NY Zip/Postal Code: 11779

Country: US

Address: 119 Gary Way

City: Ronkonkoma State/Province/Territory: NY Zip/Postal Code: 11779

Country: _____

Start Date: 01-FEB-98 End Date: 01-MAY-17

3) Mailing Address (if different): _____

City: _____ State/Province/Territory: _____ Zip/Postal Code: _____

Country: _____

Phone: _____

Does the business own or rent its facilities? Own If other, please provide details:

4) Dun and Bradstreet number: 06-0338209

5) Federal I.D. Number: 11-2352067

6) The proposer is a: Corporation (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?

YES ☐ NO ☒ If yes, please provide details:

8) Does this business control one or more other businesses?

YES ☐ NO ☒ If yes, please provide details:

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?
YES ☐ NO ☒ If yes, please provide details:

- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?
YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).

- 11) Has the proposer, during the past seven years, been declared bankrupt?
YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets

- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.
YES ☒ NO ☐ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

Please see attachments with regard to this matter.

3 File(s) Uploaded: 2-24-20 NCDPW BH Form Inquiry.pdf, 4-10-20 DOL Violations III.pdf, 9-23-20 Updated DOL Violations.pdf

- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

- 17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists - We are aware of Nassau County's policy with regard to material financial relationships between our firm or any firm employee. To date there has been no conflict of interest. If such conflict should occur in the future, we will contact the county to alert them and proceed as per the county's instruction to uphold their policies and procedures.

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists - We are aware of Nassau County's policy with regard to any family relationship between an employee of our firm and any County public servant. To date there has been no conflict of interest. If such conflict should occur in the future, we will contact the county to alert them and proceed as per the county's instruction to uphold their policies and procedures.

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists - We are aware of Nassau County's policy with regard to any family relationship between an employee of our firm and any County public servant. To date there has been no conflict of interest. If such conflict should occur in the future, we will contact the county to alert them and proceed as per the county's instruction to uphold their policies and procedures.

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

As referenced in the above affirmations, in the future, should any such conflict arise, 192 Branch Interior Services, Inc. would immediately notify the County of Nassau and proceed as per and according to the policies and procedures set forth by the County of Nassau when dealing with a situation of conflict.

A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

i) Date of formation;

06/01/1975

ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

Arthur Chu - [REDACTED]

No individuals with a financial interest in the company have been attached..

iii) Name, address and position of all officers and directors of the company. If none, explain.

Arthur Chu - President
Luke Saunders - Vice President
Grace Boccuzzo - Comptroller

No officers and directors from this company have been attached.

- iv) State of incorporation (if applicable);
NY
- v) The number of employees in the firm;
75
- vi) Annual revenue of firm;
15000000
- vii) Summary of relevant accomplishments
Awarded annual contracts by Suffolk County DPW, Nassau County DPW, Nassau University Medical Center, Nassau BOCES and the New York State Office of General Services
- viii) Copies of all state and local licenses and permits.
2 File(s) Uploaded: Lead Abatement License Exp. 11-22-2021.pdf, NYS Asbestos License - exp 10-31-20.pdf

B. Indicate number of years in business.

44

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

Licensed asbestos, lead and mold abatement contractor. Fully insured. Completion of private, municipal and governmental projects annually.

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company	Suffolk County Department of Public Works		
Contact Person	Tom Szumczyk		
Address	335 Yaphank Avenue		
City	Yaphank	State/Province/Territory	NY
Country	US		
Telephone	(631) 852-5260		
Fax #			
E-Mail Address	thomas.szumczyk@suffolkcountyny.gov		

Company	Nassau University Medical Center		
Contact Person	Robert Bayer		
Address	2201 Hempstead Tpke.		
City	East Meadow	State/Province/Territory	NY
Country	US		
Telephone	(516) 572-9112		
Fax #	(516) 572-6267		
E-Mail Address	rbayer@numc.edu		

Company	Nassau BOCES		
Contact Person	Anthony Fierro		

Address	100 Hasket Drive Building C		
City	Syosset	State/Province/Territory	NY
Country	US		
Telephone	(516) 396-2010		
Fax #			
E-Mail Address	afierro@nasboces.org		

I, Arthur Chu , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Arthur Chu , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: 192 Branch Interior Services, Inc.

Electronically signed and certified at the date and time indicated by:

Arthur Chu

President

Title

09/23/2020 12:40:21 PM

Date

New York State – Department of Labor

Division of Safety and Health
License and Certificate Unit
State Campus, Building 12
Albany, NY 12240

ASBESTOS HANDLING LICENSE

192 Branch Interior Services, Inc.

2099 9th Avenue

Ronkonkoma, NY 11779

FILE NUMBER: 99-1047

LICENSE NUMBER: 28586

LICENSE CLASS: FULL

DATE OF ISSUE: 10/07/2019

EXPIRATION DATE: 10/31/2020

Duly Authorized Representative – Arthur Chu:

This license has been issued in accordance with applicable provisions of Article 30 of the Labor Law of New York State and of the New York State Codes, Rules and Regulations (12 NYCRR Part 56). It is subject to suspension or revocation for a (1) serious violation of state, federal or local laws with regard to the conduct of an asbestos project, or (2) demonstrated lack of responsibility in the conduct of any job involving asbestos or asbestos material.

This license is valid only for the contractor named above and this license or a photocopy must be prominently displayed at the asbestos project worksite. This license verifies that all persons employed by the licensee on an asbestos project in New York State have been issued an Asbestos Certificate, appropriate for the type of work they perform, by the New York State Department of Labor.



Eileen M. Franko, Director
For the Commissioner of Labor

United States Environmental Protection Agency

This is to certify that

192 Branch Interior Services, Inc.

has fulfilled the requirements of the Toxic Substances Control Act (TSCA) Section 402, and has received certification to conduct lead-based paint activities pursuant to 40 CFR Part 745.226

In the Jurisdiction of:

All EPA Administered Lead-based Paint Activities Program States, Tribes and Territories

This certification is valid from the date of issuance and expires November 22, 2021

LBP-796-1

Certification #

October 25, 2018

Issued On



Michelle Price, Chief

Lead, Heavy Metals, and Inorganics Branch



A BREATH OF FRESH AIR **SINCE 1975**

ASBESTOS • LEAD • FIRE • WATER • MOLD • CONSTRUCTION

April 10, 2020

Mr. Robert Exner
Nassau County Department of Public Works
1194 Prospect Avenue
Westbury, NY 11797

Re: BH Form Inquiry

Dear Mr. Exner,


On the original BH Disclosure Statement, question 13 asks if in the past 5 years the business or Owners/Affiliates have been subject to any investigations on a federal/state/local level etc. There are no investigations, so the answer would be no. There have been no investigations to date. DOL site inspections and/or subsequent violations and fines are not investigations.

Initially, Branch Services was given a list of 14 violations Nassau County obtained from PASSPort to respond to. The list that we submitted on February 24, 2020 corresponded to that specific request.

We have provided the information in regard to the DOL violations as requested. We have attached an up to date list of violations and the explanations for each. The original BH form did not have these listed because we did not realize that DOL inspections and/or violations were part of the mandatory required disclosures, being that they are so common in our industry and are considered minor infractions. Branch Services has been in business for 45 years and has never been in violation of any laws that would pose a danger to the health and safety to the workers or to the public. Branch Services, on average performs approximately 1100 asbestos abatement projects annually, and has never received a major violation. Any major violation would immediately require OSHA and EPA involvement. I have attached an up to date list of violations and the explanations for each.

Please call with any questions or if any further information is needed.

Respectfully,


Luke Saunders
VP of Operations

OFFICE 631.467.6600 | TOLL FREE 1.800.734.9947 | FAX 631.467.6611
2099 9TH AVENUE, RONKONKOMA, NY 11779 | WWW.BRANCHSERVICESINC.COM



SERVICES

SECTION 5: INVESTIGATIVE HISTORY



Section Status: Complete

Selecting 'Yes' requires that you add a row.

INVESTIGATIVE HISTORY

Investigations

1. In the past five (5) years, has the submitting vendor, or any of the submitting vendor's affiliates, or any individual currently or within that period serving as a principal owner, officer, or managerial employee, been investigated by any government agency, including, but not limited to, federal, state, and local regulatory agencies?

No



Convictions

2. Has the submitting vendor, any affiliate, or any of their current or former principal owners, officers, or managerial employees been convicted of a misdemeanor and/or found in violation of any administrative, statutory, or regulatory provisions?

Yes Vendor

Type	Violation	Misdemeanor Conviction	Summary of Finding	Date of Action
Yes Vendor	Regulatory Provision(s)		Violation# 26201222 - Violation in code rule for Waste clean up procedures, frequency for containerizing	08/01
Yes Vendor	Regulatory Provision(s)		Violation# 26197019 - Violation in code rule for Engineering controls, negative air pressure equipment, manometer	07/26
Yes Vendor	Regulatory Provision(s)		Violation# 26196640 - Violation in code rule for Engineering Controls, negative air pressure equipment, manometer	07/18
Yes Vendor	Regulatory Provision(s)		Violation# 26194858 - Notification (Error in project notification)	07/14
Yes Vendor	Regulatory Provision(s)		Violation # 26195604 - Notification (Error in project notification)	07/14
Yes Vendor	Regulatory Provision(s)		Violation # 26193964 - Violation in code rule for Electric power (07/14
Yes Vendor	Regulatory Provision(s)		Violation# 26185391 - Violation in code rule for Equipment and waste container decontamination and removal procedure, trailers and dumpsters	06/02
Yes Vendor	Regulatory Provision(s)		Violation# 26156746 - Violation in code rule for Engineering controls, negative air pressure equipment, regulated abatement work, enclosure isolation barriers, sheathing	12/28
Yes Vendor	Regulatory Provision(s)		Violation# 26133645 - Violation in code rule for Regulated abatement work area, enclosure isolation barriers, sheathing, engineering controls, Negative air pressure equipment, manometer	09/03
Yes Vendor	Regulatory Provision(s)		Violation# 26276343 - Violation in code rule for Engineering controls - Negative Air Pressure Equipment - Manometer	08/16
Yes Vendor	Regulatory Provision(s)		Violation # 26275513 - Violation in code rule for Phase 1B Background Pre Abatement Air Samples Waste Clean Up Procedures - Frequency or containerizing	08/16
Yes Vendor	Regulatory Provision(s)		Violation# 26259343 - Violation in code rule for Engineering Controls - Negative air pressure - Manometer	08/15
Yes Vendor	Regulatory Provision(s)		Violation # 26266646 - Violation in code rule for Engineering controls - Negative air pressure equipment - Manometer	07/25
Yes Vendor	Regulatory Provision(s)		Violation# 26263911 - Violation in code rule for Electric Power -Waste clean up procedures - Frequency for containerizing	07/03

14 Result(s)

3. Has the submitting vendor, any affiliate, or any of their current or former principal owners, officers, or managerial employees been convicted of a felony, and/or any crime related to truthfulness, and/or any crime related to business conduct?

No

List of all Federal, State and Local Violations issued in the past 5 years

<i>Violation Number</i>	<i>Premise</i>	<i>Violation Date</i>	<i>Infr#</i>	<i>Description</i>
26054385	Minnesauke ES 21 High Gates Dr. East Setauket, NY 11733	8/4/2014	56-7.5.F.2.I 56-8.2.B	Small and Large Size Regulated Abatement Work Areas - Washroom Access to and Maintenance of Decon. Systems Regulated Abatement Work Area Enclosure As per inspector the washroom was not adequately sized. Remedied on site. Outcome: violation was paid
26055072	Eastport ES 390 Montauk Hwy. Eastport, NY 11941	8/26/2014	56-9.1.D	Final Cleaning Procedures - Third or Final Cleaning and Visual Inspection As per inspector work area was not clean enough for final sampling. Remedied on site. Outcome: violation was paid
26061826	Southampton Elementary School 30 Pine Street Southampton, NY 11968	8/13/2014	56-7.11.F.1.1	Where Allowed Explained: negative pressure tent enclosure was used in lieu of attached decon. Outcome: violation was paid
26070635	38 Bay Ridge Huntington Bay, NY 11743	11/18/2014	56-3.4.B.2.III	Notification Postponement of start date was not relayed to the DOL. Outcome: violation was paid
26096210	33 Ashwood Drive Shirley, NY 11967	3/11/2015	56-7.5.A	Personal and Waste Decontamination System Enclosures-Installation As per inspector water was not flowing to the decon sufficiently. Remedied on site. Outcome: violation was paid
26108456	254 Prospect Street Farmingdale, NY 11735	5/15/2015	56-8.3.A.2.V	Regulated Abatement Work Area Entry & Exit Procedures - Showering As per inspector the supervisor exited the work area without using the shower. Outcome: violation was paid
26118459	1307 Pembroke Street Uniondale, NY 11553	7/9/2015	56-7.7 56-9.1.D	Electric Power Final Cleaning Procedures - Third or Final Cleaning and Visual Inspection Explained: power was active on a circuit that was untraceable to disconnect. As per inspector work area was not clean enough for final sampling. Remedied on site. Outcome: violation was paid
26118465	Cedar Creek Water Treatment Plant 3340 Merrick Road Seaford, NY 11783	7/8/2015	56-7.11.B.4	Regulated Abatement Work Area Enclosure - Isolation Barriers - Plasticizing Isolation Barriers As per the inspector the resultant holes in the floor upon tile removal need to be barriered. Remedied on site. Outcome: violation was paid
26108954	Commack Road Elem. School 300 Commack Road Islip, NY 11751	7/8/2015	56-9.1.C	Final Cleaning Procedures As per inspector work area was not clean enough for final sampling. Remedied on site. Outcome: violation was paid
26121590	Albany Ave. ES 101 Albany Avenue North Massapequa, NY 11758	7/14/2015	56-7.5.B.9	Personal Decontamination System Enclosure - Large Project As per inspector the washroom was not adequately sized. Remedied on site. Outcome: violation was paid
26115315	Old Bethpage ES 1159 Round Swamp Road Old Bethpage, NY 11804	7/6/2015	56-7.5.B.9 56-7.5.D.1	Personal Decontamination System Enclosure - Large Project Remote Personal Decontamination Unit - Protective Clothing As per inspector the washroom was not adequately sized. Remedied on site. Protective clothing was not stored properly. Remedied on site. Outcome: violation was paid

<i>Violation Number</i>	<i>Premise</i>	<i>Violation Date</i>	<i>Infr#</i>	<i>Description</i>
26119243	Northport HS 154 Laurel Hill Road Northport, NY 11768	7/8/2015	56-7.7 56-11.8.B.3	Electric Power Critical Barriers Explained: power was active on a circuit that was untraceable to disconnect. As per inspector holes in the floor were considered critical barriers, remedied on site immediately. Outcome: violation was paid
26120750	Wantagh HS 3297 Beltagh Ave Wantagh, NY 11793	7/14/2015	56-9.1.D 56-8.5.B	Final Cleaning Procedures - Third or Final Cleaning and Visual Inspection Waste Clean Up Procedures - Frequency for Containerizing As per inspector work area was not clean enough for final sampling. Remedied on site. Explained: as per inspector waste was not bagged frequently enough, remedied immediately. Outcome: violation was paid
26110416	School 2 2745 Terrell Avenue Oceanside, NY 11572	7/15/2015	56-7.3 56-8.2.B	Asbestos Abatement Contractor Daily Project Log Access to and Maintenance of Decon. Systems and Regulated Abatement Work Area Explained: Log Book not left on site when crew was not on site. Decon was locked and did not allow inspector entry when crew was not on site during normal hrs. Outcome: violation was paid
26110416	School 2 2745 Terrell Avenue Oceanside, NY 11572	7/15/2015	56-7.5.D.3	Remote Personal Decontamination System - Airlocks Explained: Remote decon airlocks were not waited down on curtains. Remedied on site. Outcome: violation was paid
26110416	School 2 2745 Terrell Avenue Oceanside, NY 11572	7/15/2015	56-7.7	Electric Power Explained: power was active on a circuit that was untraceable to disconnect. Outcome: violation was paid
26113627	McKenna ES 210 Spruce Street Massapequa, NY 11762	7/14/2015	56-8.5.B 56-11.8.B.3	Waste Clean Up Procedures Frequency for Containerizing Critical Barriers Explained: as per inspector waste was not bagged frequently enough, remedied on site immediately. As per inspector holes in the floor were considered critical barriers, remedied on site immediately. Outcome: violation was paid
26115909	JFK Intermediate School 101 Lake Avenue Deer Park, NY 11729	8/20/2015	56-7.7	Electric Power Explained: power was active on a circuit that was untraceable to disconnect. Outcome: violation was paid
26115323	JFK HS 50 Kennedy Drive Plainview, NY 11803	8/3/2015	56-7.5.B.9	Personal Decontamination System Enclosure - Large Project As per inspector the decon clean room was not large enough. Remedied on site. Outcome: violation was paid
26127330	Saddle Rock School 10 Hawthorne Lane Great Neck, NY 11020	8/17/2015	56-7.8.A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26122122	Northport MS	8/20/2015	56-7.5.F.2.1 56-7.7	Small and Large Size Regulated Abatement Work Areas - Washroom Electric Power As per inspector the decon wash room was not large enough. Remedied on site. Explained: power was active on a circuit that was untraceable to disconnect. Outcome: violation was paid

List of all Federal, State and Local Violations issued in the past 5 years

<i>Violation Number</i>	<i>Premise</i>	<i>Violation Date</i>	<i>Infr#</i>	<i>Description</i>
26122552	Saltzman ES 25 Mill Lane Farmingdale, NY 11735	8/20/2015	56-8.4.E	Handling and Removal Procedures - Handling As per inspector additional water to be used on floor tile. Remedied on site. Outcome: violation was paid
26132759	Stimson Middle School	8/20/2015	56-7.5.C.1 56-8.2.H	Personal Decontamination System Enclosure - Small Project Access to and Maintenance of Decon. Systems and Regulated Abatement Work Area Enclosure - Daily Cleaning of Enclosures As per inspector the clean room was too small on the decon. Remedied on site. Outcome: violation was paid
26126231	Most Holy Trinity R.C. Church 79 Buell Lane East Hampton, NY 11937	8/31/2015	56-7.3 56-11.6.E	Asbestos Abatement Contractor Daily Project Log Exterior Project Removal of Non Friable ACM & other NOB ACM's Final Cleaning procedures Log Book not left on site when crew was not on site. ACM tar left on roof drain bowl. Outcome: violation was paid
26133645	Long Beach MS 239 Lido Blvd.	9/3/2015	56-7.11.B.2 56-7.8.A.4	Regulated Abatement Work Area Enclosure Isolation Barriers Sheathing Engineering Controls Negative Air Pressure Equipment Manometer As per inspector sheathing must be used in enclosed tunnels connecting work areas. Remedied on site immediately. Explained: as per inspector manometer reading was not high enough, remedied on site. Outcome: violation was paid
26156746	1933 Union Blvd. Bay Shore, NY 11706	12/28/2015	56-7.8.A.3 56-7.11.B.2	Engineering Controls - Negative Air Pressure Equipment - Negative Air Pressure Regulated Abatement Work Area Enclosure - Isolation - Barriers Sheathing Explained: as per inspector manometer reading was not high enough, remedied on site. As per inspector sheathing must be used in enclosed tunnels connecting work areas. Remedied immediately. Outcome: violation was paid
26185391	69 Offaly Street Amityville, NY 11701	6/2/2016	56-8.9.G	Equipment and Waste Container Decontamination and Removal Procedure Trailers & Dumpsters As per inspector the waste container was not properly secured with poly covering. Outcome: violation was paid
26193964	Herricks HS 100 Shelter Rock Road New Hyde Park, NY 11040	7/14/2016	56-7.7	Electric Power Explained: power was active on a circuit that was untraceable to disconnect. Outcome: violation was paid
26195604	Manetuck ES 800 Van Buren Avenue West Islip, NY 11795	7/14/2016	56-3.4.B.2.VII	Notification Postponement of start date was not relayed to the DOL. Outcome: violation was paid
26194858	Memorial Jr. HS 320 Fletcher Ave Valley Stream, NY 11580	7/14/2016	56-3.4.B.2.VII	Notification Postponement of start date was not relayed to the DOL. Outcome: violation was paid
26196640	Syosset HS 70 Southwoods Road Syosset, NY 11791	7/18/2016	56-7.8.A.4	Engineering Controls Negative Air Pressure Equipment Manometer Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26197019	Wellington Mepham HS 2401 Camp Avenue Bellmore, NY 11710	7/26/2016	56-7.8.A.4	Engineering Controls Negative Air Pressure Equipment Manometer Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid

List of all Federal, State and Local Violations issued in the past 5 years

<i>Violation Number</i>	<i>Premise</i>	<i>Violation Date</i>	<i>Infr#</i>	<i>Description</i>
26201222	Wantagh HS 3297 Beltagh Avenue Wantagh, NY 11793	8/1/2016	56-8.5.B	Waste Clean UP Procedures Frequency for Containerizing Explained: as per inspector waste was not bagged frequently enough, remedied immediately. Outcome: violation was paid
26194313	Robert Williams School 1 Barbara Lane Jericho, NY 11753	7/15/2016	56-7.7	Electric Power Explained: power was active on a circuit that was untraceable to disconnect. Outcome: violation was paid
26204607	Centre Ave School 55 Centre Ave. East Rockaway, NY 11518	8/30/2016	56-7.2.O	Ventilation for Power Tools As per inspector tools being used to expose ACM must be HEPA equipped if inside work area. Outcome: violation was paid
26222070	3 Westchester Avenue Jericho, NY 11753	11/14/2016	56-7.8.A.10.II	Engineering Controls - Negative Air Pressure Equipment - Installation and Care Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26302828	187 Old Field Road	12/11/2017	56-9.1.D	Final cleaning procedures- Third or Final Cleaning and Visual Inspection As per inspector work area was not clean enough for final sampling. Remedied on site. Outcome: violation was paid
26263911	East Islip Grounds Garage 1 Spur Drive South Islip Terrace, NY 11752	7/3/2017	56-7.7 56-8.5.B	Electric Power Waste Clean Up Procedures - Frequency for Containerizing Explained: power was active on a circuit that was untraceable to disconnect. Explained: as per inspector waste was not bagged frequently enough, remedied immediately. Outcome: violation was paid
26266646	Rushmore Elementary School 251 Rushmore Avenue Carle Place, NY 11514	7/25/2017	56-7.8.A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26259343	Minnesauke Elementary School 21 High Gate Drive East Setauket, NY 11733	8/15/2017	56-7.81A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26275513	113 Carstairs Road Valley Stream, NY 11581	8/16/2017	56-8.5.B	Waste Clean Up Procedures - Frequency for Containerizing Explained: as per inspector waste was not bagged frequently enough, remedied immediately. Outcome: violation was paid
26276343	James Dever ES 585 North Corona Ave Valley Stream, NY 11580	8/16/2017	56-7.8.A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26222070	3 Westchester Avenue Jericho, NY 11753	11/14/2016	56-7.8.A.10.II	Engineering Controls - Negative Air Pressure Equipment - Installation and Care Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26302828	187 Old Field Road Setauket, NY 11733	12/11/2017	56-9.1.D	Final cleaning procedures- Third or Final Cleaning and Visual Inspection As per inspector work area was not clean enough for final sampling. Remedied on site. Outcome: violation was paid

List of all Federal, State and Local Violations issued in the past 5 years

<i>Violation Number</i>	<i>Premise</i>	<i>Violation Date</i>	<i>Infr#</i>	<i>Description</i>
26263911	East Islip Grounds Garage 1 Spur Drive South Islip Terrace, NY 11752	7/3/2017	56-7.7 56-8.5.B	Electric Power Waste Clean Up Procedures - Frequency for Containerizing Explained: power was active on a circuit that was untraceable to disconnect. Explained: as per inspector waste was not bagged frequently enough, remedied on site immediately. Outcome: violation was paid
26266646	Rushmore Elementary School 251 Rushmore Avenue Carle Place, NY 11514	7/25/2017	56-7.8.A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied on site immediately. Outcome: violation was paid
26259343	Minnesauke Elementary School 21 High Gate Drive East Setauket, NY 11733	8/15/2017	56-7.81A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied on site immediately. Outcome: violation was paid
26275513	113 Carstairs Road Valley Stream, NY 11581	8/16/2017	56-4.9.A 56-8.5.B	Phase I B Background Pre Abatement Air Samples Waste Clean Up Procedures - Frequency for Containerizing Explained: as per inspector waste was not bagged frequently enough, remedied on site immediately. Outcome: violation was paid
26276343	James Dever ES 585 N. Corona Ave. Valley Stream, NY 11580	8/16/2017	56-7.8.A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied on site immediately. Outcome: violation was paid

List of all Federal, State and Local Violations issued in the past 5 years

Violation Number	Premise	Violation Date	Infr#	Description
26345910	School 9 186 Alice Ave Oceanside, NY 11572	7/23/2018	56-7.7	Electric Power Explained: power was active on a circuit that was untraceable to disconnect. Outcome: violation was paid
26345892	Charles A Reinhard ECC 2750 South St. Marks Ave Bellmore, NY 11710	7/13/2018	56-7.8.A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26343384	Michael F. Stokes School 101 Owl Place Levittown, NY 11756	7/5/2018	56-3.2.A	Certification and Training Required Explained: worker had a refresher certificate on site and not his actual updated license. Outcome: violation was paid
26335831	1108 Lawrence Road North Bellmore, NY 11710	5/31/2018	56-7.7	Electric Power Explained: power was active on a circuit that was untraceable to disconnect. Outcome: violation was paid
26347671	Drexel Avenue School 161 Drexel Avenue Westbury, NY 11590	8/2/2018	56-7.7 56-8.3.A.1.III	Electric Power Regulated Abatement Work Area Entry and Exit procedures- Personal Protective Equipment Explained: power was active on a circuit that was untraceable to disconnect. Explained: worker was wearing a protective suit while performing bag out, remedied on site. Outcome: violation was paid
26349522	South HS 150 Jedwood Place Valley Stream, NY 11582	8/6/2018	56-7.11.F.1.1	Where allowed Explained: negative pressure tent enclosure was used in line of attached decon. Outcome: violation was paid
26340255	Carle Place HS 168 Cherry Lane Carle Place, NY 11514	8/6/2018	56-12.2	Variances Variance called for glove bag use, glove bags were not used in certain areas that were in full containment due to inaccessibility. Outcome: violation was paid
26373719	328 North Delaware Ave Massapequa, NY 11758	10/30/2018	56-7.7 56-8.3.A.1.III	Electric Power Regulated Abatement Work Area Entry and Exit Procedures - Personal Protective Equipment Explained: power was active on a circuit that was untraceable to disconnect. Explained: worker was wearing a protective suit while performing bag out, remedied on site. Outcome: violation was paid
26391701	196 Cornwell Avenue Valley Stream, NY 11580	1/23/2019	56-7.5.B.1 56-7.5.E.1	Personal Decontamination System Enclosure - Large Project Waste Decontamination System Enclosure - Large and Small Projects - Enclosure - General As per inspector holes in the floor were considered critical barriers, remedied on site immediately. Explained: as per inspector waste was not bagged frequently enough, remedied immediately. Outcome: violation was paid
26393796	1011 Rosegold Street Franklin Square, NY 11010	2/5/2019	56-7.11.A 56-8.5.B	Regulated Abatement Work Area Enclosure - Critical Barriers Waste Clean-Up Procedures - Frequency for Containerizing As per inspector critical barrier tape had partially detached, remedied on site immediately. Outcome: violation was paid
26431028	Aamco Building 1984 Union Blvd. Bay Shore, NY 11706	5/22/2019	56-7.8.A.11	Engineering Controls - Negative Air Pressure Equipment - Exhaust Location Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26447534	North High School 750 Herman Avenue Franklin Square, NY 11010	7/19/2019	56-8.5.B	Waste Clean-Up Procedures - Frequency for Containerizing Explained: as per inspector waste was not bagged frequently enough, remedied immediately. Outcome: violation was paid
26455077	Long Beach High School 322 Lagoon Drive West Lido Beach, NY 11561	7/31/2019	56-11.3.E.3 56-7.11.F.1.II.B	Minor Asbestos Projects - Tent Use - Exhausting the tent Tent Construction As per inspector HEPA vac was not in the tent long enough after removal, remedied on site. Outcome: violation was paid

[illegible]

List of all Federal, State and Local Violations issued in the past 5 years

<i>Violation Number</i>	<i>Premise</i>	<i>Violation Date</i>	<i>Infr#</i>	<i>Description</i>
26054385	Minnesauke ES 21 High Gates Dr. East Setauket, NY 11733	8/4/2014	56 7.5.F.2.I 56-8.2.B	Small and Large Size Regulated Abatement Work Areas Washroom Access to and Maintenance of Decon. Systems Regulated Abatement Work Area Enclosure As per inspector the washroom was not adequately sized. Remedied on site. Outcome: violation was paid
26055072	Eastport ES 390 Montauk Hwy. Eastport, NY 11941	8/26/2014	56 9.1.D	Final Cleaning Procedures - Third or Final Cleaning and Visual Inspection As per inspector work area was not clean enough for final sampling. Remedied on site. Outcome: violation was paid
26061826	Southampton Elementary School 30 Pine Street Southampton, NY 11968	8/13/2014	56-7.11.F.1.I	Where Allowed Explained: negative pressure tent enclosure was used in lieu of attached decon. Outcome: violation was paid
26070635	38 Bay Ridge Huntington Bay, NY 11743	11/18/2014	56-3.4.B.2.III	Notification Postponement of start date was not relayed to the DOL. Outcome: violation was paid
26096210	33 Ashwood Drive Shirley, NY 11967	3/11/2015	56-7.5.A	Personal and Waste Decontamination System Enclosures Installation As per inspector water was not flowing to the decon sufficiently. Remedied on site. Outcome: violation was paid
26108456	254 Prospect Street Farmingdale, NY 11735	5/15/2015	56 8.3.A.2.V	Regulated Abatement Work Area Entry & Exit Procedures - Showering As per inspector the supervisor exited the work area without using the shower. Outcome: violation was paid
26118459	1307 Pembroke Street Uniondale, NY 11553	7/9/2015	56-7.7 56 9.1.D	Electric Power Final Cleaning Procedures - Third or Final Cleaning and Visual Inspection Explained: power was active on a circuit that was untraceable to disconnect. As per inspector work area was not clean enough for final sampling. Remedied on site. Outcome: violation was paid
26118465	Cedar Creek Water Treatment Plant 3340 Merrick Road Seaford, NY 11783	7/8/2015	56 7.11.B.4	Regulated Abatement Work Area Enclosure Isolation Barriers - Plasticizing Isolation Barriers As per the inspector the resultant holes in the floor upon tile removal need to be barriered. Remedied on site. Outcome: violation was paid
26108954	Commack Road Elem. School 300 Commack Road Islip, NY 11751	7/8/2015	56-9.1.C	Final Cleaning Procedures As per inspector work area was not clean enough for final sampling. Remedied on site. Outcome: violation was paid
26121590	Albany Ave. ES 101 Albany Avenue North Massapequa, NY 11758	7/14/2015	56 7.5.B.9	Personal Decontamination System Enclosure - Large Project As per inspector the washroom was not adequately sized. Remedied on site. Outcome: violation was paid
26115315	Old Bethpage ES 1159 Round Swamp Road Old Bethpage, NY 11804	7/6/2015	56-7.5.B.9 56-7.5.D.1	Personal Decontamination System Enclosure Large Project Remote Personal Decontamination Unit Protective Clothing As per inspector the washroom was not adequately sized. Remedied on site. Protective clothing was not stored properly. Remedied on site. Outcome: violation was paid

List of all Federal, State and Local Violations Issued in the past 5 years

Violation Number	Premise	Violation Date	Inf#	Description
26119243	Northport HS 154 Laurel Hill Road Northport, NY 11768	7/8/2015	56-7.7 56-11.8.B.3	Electric Power Critical Barriers Explained: power was active on a circuit that was untraceable to disconnect. As per inspector holes in the floor were considered critical barriers, remedied on site immediately. Outcome: violation was paid
26120750	Wantagh HS 3297 Beltagh Ave Wantagh, NY 11793	7/14/2015	56-9.1.D 56-8.5.B	Final Cleaning Procedures - Third or Final Cleaning and Visual Inspection Waste Clean Up Procedures - Frequency for Containerizing As per inspector work area was not clean enough for final sampling. Remedied on site. Explained: as per inspector waste was not bagged frequently enough, remedied immediately. Outcome: violation was paid
26110416	School 2 2745 Terrell Avenue Oceanside, NY 11572	7/15/2015	56-7.3 56-8.2.B	Asbestos Abatement Contractor Daily Project Log Access to and Maintenance of Decon. Systems and Regulated Abatement Work Area Explained: Log Book not left on site when crew was not on site. Decon was locked and did not allow inspector entry when crew was not on site during normal hrs. Outcome: violation was paid
26110416	School 2 2745 Terrell Avenue Oceanside, NY 11572	7/15/2015	56-7.5.D.3	Remote Personal Decontamination System Airlocks Explained: Remote decon airlocks were not waited down on curtains. Remedied on site. Outcome: violation was paid
26110416	School 2 2745 Terrell Avenue Oceanside, NY 11572	7/15/2015	56 7.7	Electric Power Explained: power was active on a circuit that was untraceable to disconnect. Outcome: violation was paid
26113627	McKenna ES 210 Spruce Street Massapequa, NY 11762	7/14/2015	56-8.5.B 56-11.8.B.3	Waste Clean Up Procedures Frequency for Containerizing Critical Barriers Explained: as per inspector waste was not bagged frequently enough, remedied on site immediately. As per inspector holes in the floor were considered critical barriers, remedied on site immediately. Outcome: violation was paid
26115909	JFK Intermediate School 101 Lake Avenue Deer Park, NY 11729	8/20/2015	56-7.7	Electric Power Explained: power was active on a circuit that was untraceable to disconnect. Outcome: violation was paid
26115323	JFK HS 50 Kennedy Drive Plainview, NY 11803	8/3/2015	56-7.5.B.9	Personal Decontamination System Enclosure - Large Project As per inspector the decon clean room was not large enough. Remedied on site. Outcome: violation was paid
26127330	Saddle Rock School 10 Hawthorne Lane Great Neck, NY 11020	8/17/2015	56-7.8.A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26122122	Northport MS	8/20/2015	56-7.5.F.2.1 56 7.7	Small and Large Size Regulated Abatement Work Areas - Washroom Electric Power As per inspector the decon wash room was not large enough. Remedied on site. Explained: power was active on a circuit that was untraceable to disconnect. Outcome: violation was paid

Violation Number	Premise	Violation Date	Inf#	Description
26122552	Saltman ES 25 Mill Lane Farmingdale, NY 11735	8/20/2015	56-8.4.E	Handling and Removal Procedures - Handling As per inspector additional water to be used on floor tile. Remedied on site. Outcome: violation was paid
26132759	Stimson Middle School	8/20/2015	56-7.5.C.1 56-8.2.H	Personal Decontamination System Enclosure - Small Project Access to and Maintenance of Decon. Systems and Regulated Abatement Work Area Enclosure - Daily Cleaning of Enclosures As per inspector the clean room was too small on the decon. Remedied on site. Outcome: violation was paid
26126231	Most Holy Trinity R.C. Church 79 Buell Lane East Hampton, NY 11937	8/31/2015	56-7.3 56-11.6.E	Asbestos Abatement Contractor Daily Project Log Exterior Project Removal of Non Friable ACM & other NOB ACM's Final Cleaning procedures Log Book not left on site when crew was not on site. ACM tar left on roof drain bowl. Outcome: violation was paid
26133645	Long Beach MS 239 Lido Blvd.	9/3/2015	56-7.11.B.2 56-7.8.A.4	Regulated Abatement Work Area Enclosure Isolation Barriers Sheathing Engineering Controls Negative Air Pressure Equipment Manometer As per inspector sheathing must be used in enclosed tunnels connecting work areas. Remedied on site immediately. Explained: as per inspector manometer reading was not high enough, remedied on site. Outcome: violation was paid
26156746	1933 Union Blvd. Bay Shore, NY 11706	12/28/2015	56-7.8.A.3 56-7.11.B.2	Engineering Controls - Negative Air Pressure Equipment Negative Air Pressure Regulated Abatement Work Area Enclosure - Isolation - Barriers Sheathing Explained: as per inspector manometer reading was not high enough, remedied on site. As per inspector sheathing must be used in enclosed tunnels connecting work areas. Remedied immediately. Outcome: violation was paid
26185391	69 Offaly Street Amityville, NY 11701	6/2/2016	56-8.9.G	Equipment and Waste Container Decontamination and Removal Procedure Trailers & Dumpsters As per inspector the waste container was not properly secured with poly covering. Outcome: violation was paid
26193964	Herricks HS 100 Shelter Rock Road New Hyde Park, NY 11040	7/14/2016	56-7.7	Electric Power Explained: power was active on a circuit that was untraceable to disconnect. Outcome: violation was paid
26195604	Manetuck ES 800 Van Buren Avenue West Islip, NY 11795	7/14/2016	56-3.4.B.2.VII	Notification Postponement of start date was not relayed to the DOL. Outcome: violation was paid
26194858	Memorial Jr. HS 320 Fletcher Ave Valley Stream, NY 11580	7/14/2016	56-3.4.B.2.VII	Notification Postponement of start date was not relayed to the DOL. Outcome: violation was paid
26196640	Syosset HS 70 Southwoods Road Syosset, NY 11791	7/18/2016	56-7.8.A.4	Engineering Controls Negative Air Pressure Equipment Manometer Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26197019	Wellington Mephram HS 2401 Camp Avenue Bellmore, NY 11710	7/26/2016	56-7.8.A.4	Engineering Controls Negative Air Pressure Equipment Manometer Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid

List of all Federal, State and Local Violations issued in the past 5 years

<i>Violation Number</i>	<i>Premise</i>	<i>Violation Date</i>	<i>Infr#</i>	<i>Description</i>
26201222	Wantagh HS 3297 Beltagh Avenue Wantagh, NY 11793	8/1/2016	56-8.5.B	Waste Clean Up Procedures Frequency for Containerizing Explained: as per inspector waste was not bagged frequently enough, remedied immediately. Outcome: violation was paid
26194313	Robert Williams School 1 Barbara Lane Jericho, NY 11753	7/15/2016	56-7.7	Electric Power Explained: power was active on a circuit that was untraceable to disconnect. Outcome: violation was paid
26204607	Centre Ave School 55 Centre Ave. East Rockaway, NY 11518	8/30/2016	56 7.2.O	Ventilation for Power Tools As per inspector tools being used to expose ACM must be HEPA equipped if inside work area. Outcome: violation was paid
26222070	3 Westchester Avenue Jericho, NY 11753	11/14/2016	56 7.8.A.10.II	Engineering Controls Negative Air Pressure Equipment - Installation and Care Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26302828	187 Old Field Road	12/11/2017	56-9.1.D	Final cleaning procedures Third or Final Cleaning and Visual Inspection As per inspector work area was not clean enough for final sampling. Remedied on site. Outcome: violation was paid
26263911	East Islip Grounds Garage 1 Spur Drive South Islip Terrace, NY 11752	7/3/2017	56 7.7 56-8.5.B	Electric Power Waste Clean Up Procedures - Frequency for Containerizing Explained: power was active on a circuit that was untraceable to disconnect. Explained: as per inspector waste was not bagged frequently enough, remedied immediately. Outcome: violation was paid
26266646	Rushmore Elementary School 251 Rushmore Avenue Carle Place, NY 11514	7/25/2017	56 7.8.A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26259343	Minnesauke Elementary School 21 High Gate Drive East Setauket, NY 11733	8/15/2017	56-7.81A.4	Engineering Controls Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26275513	113 Carstairs Road Valley Stream, NY 11581	8/16/2017	56-8.5.B	Waste Clean Up Procedures - Frequency for Containerizing Explained: as per inspector waste was not bagged frequently enough, remedied immediately. Outcome: violation was paid
26276343	James Dever ES 585 North Corona Ave Valley Stream, NY 11580	8/16/2017	56-7.8.A.4	Engineering Controls Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26222070	3 Westchester Avenue Jericho, NY 11753	11/14/2016	56 7.8.A.10.II	Engineering Controls - Negative Air Pressure Equipment - Installation and Care Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26302828	187 Old Field Road Setauket, NY 11733	12/11/2017	56-9.1.D	Final cleaning procedures Third or Final Cleaning and Visual Inspection As per inspector work area was not clean enough for final sampling. Remedied on site. Outcome: violation was paid

List of all Federal, State and Local Violations Issued in the past 5 years

<i>Violation Number</i>	<i>Premise</i>	<i>Violation Date</i>	<i>Infr#</i>	<i>Description</i>
26263911	East Islip Grounds Garage 1 Spur Drive South Islip Terrace, NY 11752	7/3/2017	56-7.7 56-8.5.B	Electric Power Waste Clean Up Procedures - Frequency for Containerizing Explained: power was active on a circuit that was untraceable to disconnect. Explained: as per inspector waste was not bagged frequently enough, remedied on site immediately. Outcome: violation was paid
26266646	Rushmore Elementary School 251 Rushmore Avenue Carle Place, NY 11514	7/25/2017	56 7.8.A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied on site immediately. Outcome: violation was paid
26259343	Minnesauke Elementary School 21 High Gate Drive East Setauket, NY 11733	8/15/2017	56-7.81A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied on site immediately. Outcome: violation was paid
26275513	113 Carstairs Road Valley Stream, NY 11581	8/16/2017	56-4.9.A 56-8.5.B	Phase I B Background Pre Abatement Air Samples Waste Clean Up Procedures - Frequency for Containerizing Explained: as per inspector waste was not bagged frequently enough, remedied on site immediately. Outcome: violation was paid
26276343	James Dever ES 585 N. Corona Ave. Valley Stream, NY 11580	8/16/2017	56-7.8.A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied on site immediately. Outcome: violation was paid

List of all Federal, State and Local Violations issued in the past 5 years

Violation Number	Premise	Violation Date	Infr#	Description
26345910	School 9 186 Alice Ave Oceanside, NY 11572	7/23/2018	56-7.7	Electric Power Explained: power was active on a circuit that was untraceable to disconnect. Outcome: violation was paid
26345892	Charles A Reinhard ECC 2750 South St. Marks Ave Bellmore, NY 11710	7/13/2018	56-7.8.A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26343384	Michael F. Stokes School 101 Owl Place Levittown, NY 11756	7/5/2018	56-3.2.A	Certification and Training Required Explained: worker had a refresher certificate on site and not his actual updated license. Outcome: violation was paid
26335831	1108 Lawrence Road North Bellmore, NY 11710	5/31/2018	56-7.7	Electric Power Explained: power was active on a circuit that was untraceable to disconnect. Outcome: violation was paid
26347671	Drexel Avenue School 161 Drexel Avenue Westbury, NY 11590	8/2/2018	56-7.7 56-8.3.A.1.III	Electric Power Regulated Abatement Work Area Entry and Exit procedures- Personal Protective Equipment Explained: power was active on a circuit that was untraceable to disconnect. Explained: worker was wearing a protective suit while performing bag out, remedied on site. Outcome: violation was paid
26349522	South HS 150 Jedwood Place Valley Stream, NY 11582	8/6/2018	56-7.11.F.1.1	Where allowed Explained: negative pressure tent enclosure was used in line of attached decon. Outcome: violation was paid
26340255	Carle Place HS 168 Cherry Lane Carle Place, NY 11514	8/6/2018	56-12.2	Variances Variance called for glove bag use, glove bags were not used in certain areas that were in full containment due to inaccessibility. Outcome: violation was paid
26373719	328 North Delaware Ave Massapequa, NY 11758	10/30/2018	56-7.7 56-8.3.A.1.III	Electric Power Regulated Abatement Work Area Entry and Exit Procedures - Personal Protective Equipment Explained: power was active on a circuit that was untraceable to disconnect. Explained: worker was wearing a protective suit while performing bag out, remedied on site. Outcome: violation was paid
26391701	196 Cornwell Avenue Valley Stream, NY 11580	1/23/2019	56-7.5.B.1 56-7.5.E.1	Personal Decontamination System Enclosure - Large Project Waste Decontamination System Enclosure - Large and Small Projects - Enclosure General As per inspector holes in the floor were considered critical barriers, remedied on site immediately. Explained: as per inspector waste was not bagged frequently enough, remedied immediately. Outcome: violation was paid
26393796	1011 Rosegold Street Franklin Square, NY 11010	2/5/2019	56-7.11.A 56-8.5.B	Regulated Abatement Work Area Enclosure - Critical Barriers Waste Clean-Up Procedures Frequency for Containerizing As per inspector critical barrier tape had partially detached, remedied on site immediately. Outcome: violation was paid
26431028	Aamco Building 1984 Union Blvd. Bay Shore, NY 11706	5/22/2019	56-7.8.A.1.1	Engineering Controls - Negative Air Pressure Equipment - Exhaust Location Explained: as per inspector manometer reading was not high enough, remedied immediately. Outcome: violation was paid
26447534	North High School 750 Herman Avenue Franklin Square, NY 11010	7/19/2019	56-8.5.B	Waste Clean-Up Procedures - Frequency for Containerizing Explained: as per inspector waste was not bagged frequently enough, remedied immediately. Outcome: violation was paid
26455077	Long Beach High School 322 Lagoon Drive West Lido Beach, NY 11561	7/31/2019	56-11.3.E.3 56-7.11.F.1.II.B	Minor Asbestos Projects - Tent Use - Exhausting the tent Tent Construction As per inspector HEPA vac was not in the tent long enough after removal, remedied on site. Outcome: violation was paid

[illegible]



A BREATH OF FRESH AIR **SINCE 1975**

ASBESTOS • LEAD • FIRE • WATER • MOLD • CONSTRUCTION

February 24, 2020

Mr. Robert Exner
Nassau County Department of Public Works
1194 Prospect Avenue
Westbury, NY 11797

Re: BH Form Inquiry

Dear Mr. Exner:

We have provided the attached information in regard to the DOL regulatory investigations as requested. The original BH form did not have these listed because we did not realize that DOL investigations and/or violations were part of the mandatory required disclosures, being that they are so common in our industry. I have attached a list corresponding to the requested investigations, including an explanation and outcome for each.

Respectfully,


Luke Saunders
VP of Operations



Violation Number	Premise	Violation Date	Inf#	Description
26201222	Wanough HS 3297 Bellough Avenue Wanough, NY 11793	8/1/2016	56-8.3.B	Waste Clean Up Procedures Frequency for Containerizing Explained: As per inspector, waste should be containerized more frequently. Outcome: Violation was paid.
26197019	Wellington Mephim HS 2401 Camp Avenue Belmore, NY 11710	7/26/2016	56-7.8.A.4	Engineering Controls Negative Air Pressure Equipment Manometer Explained: As per inspector, monometer reading was not high enough. Remedied on site. Outcome: Violation was paid.
26196640	Syosset HS 70 Southwoods Road Syosset, NY 11791	7/18/2016	56-7.8.A.4	Engineering Controls Negative Air Pressure Equipment Manometer Explained: As per inspector, monometer reading was not high enough. Remedied on site. Outcome: Violation was paid.
26194838	Memorial Jr. HS	7/14/2016	56-3.4.B.2.VII	Notification Explained: Start date listed on original notification was postponed. According to inspector the DOL online system did not show the postponement date when he searched from a mobile device. Outcome: Violation was paid.
26195604	320 Flecher Ave Valley Stream, NY 11580 Monterick ES	7/14/2016	56-3.4.B.2.VII	Notification Explained: Start date listed on original notification was postponed. According to inspector the DOL online system did not show the postponement date when he searched from a mobile device. Outcome: Violation was paid.
26263911	800 Van Buren Avenue West Islip, NY 11795 East Islip Grounds Garage 1 Spur Drive South Islip Terrace, NY 11752	7/3/2017	56-7.7 56-8.5.B	Electric Power Waste Clean Up Procedures - Frequency for Containerizing Explained: Electric power was active on a circuit that was untraceable to disconnect. As per inspection, waste should be containerized more frequently. Outcome: Violation was paid.
26266446	Rushmore Elementary School 231 Rushmore Avenue Carle Place, NY 11514	7/25/2017	56-7.8.A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: As per inspector, monometer reading was not high enough. Remedied on site. Outcome: Violation was paid.
26259343	Manhasset Elementary School 21 High Gate Drive East Setauket, NY 11733	8/15/2017	56-7.81.A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: As per inspector, monometer reading was not high enough. Remedied on site. Outcome: Violation was paid.
26275513	1113 Carstairs Road Valley Stream, NY 11581	8/16/2017	56-4.9.A 56-8.5.B	Phase I B Background Pre Abatement Air Samples (NA) Waste Clean Up Procedures - Frequency for Containerizing Explained: As per inspector, waste should be containerized more frequently. Outcome: Violation was paid.
26276343	James Dever ES 585 North Corona Ave Valley Stream, NY 11580	8/16/2017	56-7.8.A.4	Engineering Controls - Negative Air Pressure Equipment - Manometer Explained: As per inspector, monometer reading was not high enough. Remedied on site. Outcome: Violation was paid.
26193964	Herricks HS 100 Shelter Rock Road New Hyde Park, NY 11040	7/14/2016	56-7.7	Electric Power Explained: Electric power was active on a circuit that was untraceable to disconnect. Outcome: Violation was paid.
26185391	69 Ojibay Street Amityville, NY 11701	6/2/2016	56-8.9.G	Equipment and Waste Container Decontamination and Removal Procedure Trailers & Dumpsters Explained: Visible construction debris was on the decontamination trailer floor. Remedied on site. Outcome: Violation was paid.
26156746	1933 Union Blvd. Bay Shore, NY 11706	12/28/2015	56-7.8.A.3 56-7.11.B.2	Engineering Controls - Negative Air Pressure Equipment - Negative Air Pressure Regulated Abatement Work Area Enclosure - Isolation - Barriers Sheathing Explained: A framed and poly covered tunnel was constructed to attach the decon to the work area. Inspector cited this tunnel should also be covered in plywood. Remedied on site. Outcome: Violation was paid.
26133645	Long Beach MS 239 Lido Blvd.	9/3/2015	56-7.11.B.2 56-7.8.A.4	Regulated Abatement Work Area Enclosure Isolation Barriers Sheathing Engineering Controls Negative Air Pressure Equipment Manometer Explained: A framed and poly covered tunnel was constructed to attach the decon to the work area. Inspector cited this tunnel should also be covered in plywood. Remedied on site. As per inspector, monometer reading was not high enough. Remedied on site. Outcome: Violation was paid.

NEW YORK STATE - DEPARTMENT OF LABOR

DIVISION OF SAFETY AND HEALTH
LICENSE AND CERTIFICATE UNIT
STATE CAMPUS BUILDING 12

Mold Remediator Company License

192 Branch Interior Services, Inc.
2099 9th Avenue
RONKONKOMA, NY 11779

LICENSE NUMBER 00038
DATE OF ISSUE: 12/16/2019
EXPIRATION DATE 12/31/2021

This license is valid only for the contractor named above.



Eileen Franko, Director
FOR THE COMMISSIONER OF LABOR



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee?

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:

Arthur Chu [REDACTED]

Dated: 09/23/2020 01:03:34 PM

Vendor: 192 Branch Interior Services, Inc.

Title: President



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None/ No Lobbying Performed

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None / No Lobbying Performed

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

None / No Lobbying Performed

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See the last page for a complete description of lobbying activities.

None / No Lobbying Performed

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

None / No Lobbying Performed

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby. separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee? If none, you must so state:

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:
Arthur Chu [A.CHU@BRANCHSERVICESINC.COM]

Dated: 09/17/2020 10:53:20 AM

Vendor: 192 Branch Interior Services, Inc.

Title: President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Arthur Chu
Date of birth: [REDACTED]
[REDACTED]
[REDACTED]
Country: US

Business Address: 2099 9th Avenue
City: Ronkonkoma State/Province/Territory: NY Zip/Postal Code: 11779
Country: US
Telephone: 631.467.6600

Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u>01/01/1995</u>	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Arthur Chu , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Arthur Chu , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

192 Branch Interior Services, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Arthur Chu

President

Title

09/23/2020 12:58:46 PM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Luke Saunders
Date of birth: [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
Country: US

Business Address: 2099 9th Avenue
City: Ronkonkoma State/Province/Territory: NY Zip/Postal Code: 11779
Country: US
Telephone: 5163228230

Other present address(es):
City: Ronkonkoma State/Province/Territory: NY Zip/Postal Code: 11779
Country: US
Telephone: 5163228230

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>10/15/2010</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Luke Saunders , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Luke Saunders , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

192 Branch Interior Services, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Luke Saunders

Vice President

Title

09/14/2020 12:22:06 PM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Grace Boccuzzo
Date of birth: [REDACTED]
[REDACTED] [REDACTED] [REDACTED] [REDACTED] [REDACTED]
Country: US

Business Address: 2099 9th Ave
City: ronkonoma State/Province/Territory: NY Zip/Postal Code: 11779
Country: US
Telephone: 6314676600

Other present address(es):
City: Ronkonkoma State/Province/Territory: Zip/Postal Code: 11779
Country: US
Telephone: 6314676600

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	<u>02/10/2000</u>	Partner	_____
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Grace Boccuzzo , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Grace Boccuzzo , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

192 Branch Interior Services, Inc

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Grace Boccuzzo

Controller

Title

09/08/2020 01:13:06 PM

Date

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: 192 Branch Interior Services, Inc. DBA Branch Services

Address: 2099 9th Avenue

City: Ronkonkoma State/Province/Territory: NY Zip/Postal Code: 11779

Country: US

2. Entity's Vendor Identification Number: [REDACTED]

3. Type of Business: Other (specify) S Corp

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

2 File(s) uploaded Arthur Chu - Company Principal.pdf, Company Officers.pdf

No principals have been attached to this form.

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

None. There is only 1 owner for our company

No shareholders, members, or partners have been attached to this form.

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

None

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES ☐ NO ☒

(a) Name, title, business address and telephone number of lobbyist(s):

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

Arthur Chu 

Dated: 09/22/2020 11:28:42 AM

Title: President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.



*A BREATH OF FRESH AIR **SINCE 1975***

ASBESTOS • LEAD • FIRE • WATER • MOLD • CONSTRUCTION

Company Principal

Arthur Chu – 232 Bayview Avenue, Massapequa, NY 11758

OFFICE 631.467.6600 | TOLL FREE 1.800.734.9947 | FAX 631.467.6611
2099 9TH AVENUE, RONKONKOMA, NY 11779 | WWW.BRANCHSERVICESINC.COM





*A BREATH OF FRESH AIR **SINCE 1975***

ASBESTOS • LEAD • FIRE • WATER • MOLD • CONSTRUCTION

Company Officers

Luke Saunders – Vice President - 6 Cornwallis Road, Setauket, NY 11733

Grace Boccuzzo – Comptroller - 59 Glenmere Way, Holbrook, NY 11747

OFFICE 631.467.6600 | TOLL FREE 1.800.734.9947 | FAX 631.467.6611
2099 9TH AVENUE, RONKONKOMA, NY 11779 | WWW.BRANCHSERVICESINC.COM



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Office of the County Executive
Att: Brian J. Schneider, Deputy County Executive

FROM: Department of Public Works

DATE: March 17, 2020 (**Revised October 13, 2020**)

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: B90625-03C (Requirements Contract)

Title: Asbestos & Lead Abatement at Various County Locations

Engineer's Estimate: \$1,000,000.00 (2-year contract; 2- year extension option)

Bids Received On: October 8, 2019

The bids received for the above referenced contract have been examined and "192 Branch Interior Services", with the "Comparison Bid" amount of \$838,750.00 is the lowest bid.

See attached "Low Bidder Participation" memo and bid sheet.

The primary funding source for these services will be provided by Capital Project No. 90625 (Asbestos/Lead Abatement at Various County Locations). Funding shall also be provided by various construction Capital Project No's. when applicable.

If you approve or disapprove of the above request, please signify below and return this memo to this office for appropriate action.



Kenneth G. Arnold
Commissioner

KGA:WSN:FM:jd
Attachment

c: William Nimmo, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Fredrick Maroni, Unit Head, Facilities Management Unit
Anthony Marino, Deputy Superintendent of Buildings
Robert Exner, Asbestos Abatement Project Manager

APPROVED:

 10/15/2020

Brian J. Schneider Date
Deputy County Executive

DISAPPROVED:

Brian J. Schneider Date
Deputy County Executive



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Kenneth G. Arnold, Commissioner

FROM: William S. Nimmo, Deputy Commissioner

DATE: December 11, 2019

SUBJECT: Low Participation for Requirements Contract
Asbestos and Lead Abatement at Various County Locations
Bid Contract No. B90625-03C

The Requirements Contract for Asbestos and Lead Abatement at Various County Locations was advertised in Newsday on September 4, 2019; in New York State Contract Reporter from September 4, 2019 through October 8, 2019, and in e-procure from September 6, 2019 through October 8, 2019.

Twenty-one (21) vendors viewed the Solicitation on e-procure. Three (3) vendors purchased the Contract Documents and two (2) bids were received. After receipt of the bids, several of the non-participating firms were contacted to inquire why they did not submit a bid. Vendors who had submitted bids in the past for this work noted the level of information required for the disclosure forms and the volume of documentation in general as a reason for not submitting a bid. Some of the smaller vendors capable of performing this work stated they could not comply with the minimum insurance requirement and/or participation in an approved apprenticeship program. Many of the vendors viewing the bid documents were environmental consulting firms or engineering firms not capable of performing the specified work.

The following are questions from the Low Vendor Participation memo, dated May 23, 2018, from Deputy County Executive, John Chiara, with responses.

- 1) Review the specification to ensure that it is not unduly restrictive so as to limit competition. Is any component of the solicitation so restrictive that only one or a small number of vendors is capable of responding to the solicitation? If so, were those vendors notified of the solicitation? **Response: the bid was not restrictive. Due to the emergency response requirement in the contract, vendors from NYC are generally not interested because they cannot mobilize to sites in Nassau County quickly enough. Locally, there are only a few vendors that can perform the specified work and comply with the minimum requirements to submit a qualifying bid.**
- 2) Was the solicitation advertised and posted on the County website as required? **Response: Yes.**
- 3) Would we be likely to obtain greater vendor participation by advertising in other venues (e.g. New York State Contract Reporter, trade journals, other local media, etc.)? **Response: the bid was advertised in NYSCR and Newsday**
- a. Was the NIGP commodity code used to conduct the solicitation appropriate? Were appropriate vendors registered with the County for that commodity code or otherwise notified? **Response: The correct commodity codes were utilized in e-procure. Over two-hundred (200) vendors registered for the commodity codes received an automatic notification.**




Kenneth G. Arnold, Commissioner

December 11, 2019

Page two

SUBJECT: Low Participation for requirements Contract
Asbestos and Lead Abatement at Various County Locations
Bid Contract No. B90625-03C

- 4) Is the market for the specified goods or services structurally limited (i.e. are there geographic, capital, vendor capacity, service schedule, or other requirements) such that the greater vendor participation is not possible? **Response: Yes: As stated in question (1), being a non- local vendor can be problematic when considering emergency responses. There is a limited pool of local abatement vendors with the expertise to meet all of the requirements of this contract including capital (from time to time, payments may vary), vendor capacity (performing very large abatements), and service schedule (responses to large and minor emergency requests).**
- 5) Survey vendors that received notice of the solicitation but did not respond to determine why the vendor chose not to do so. Typical responses include but are not limited to:
- a. The vendor did not see the advertisement. **Response: Several hundred vendors were notified on e-procure and twenty-one (21) vendors viewed the specifications.**
 - b. The vendor does not offer the specified goods/services. As a follow up, ask if the specification is too specific to a competitor's product (is the specification "brand-specific" or written to one manufacturer's or service provider's offering?) **Response: The work specification was not too specific so as to limit participation.**
 - c. The vendor is too busy with other work at this time. **Response: No vendor stated this as a reason for not submitting a bid.**
 - d. The vendor is not interested in pursuing a County contract at this time – as a follow-up, ask why this is the case. **Response: The volume of documentation, specifically, the disclosure forms, to comply with the contract was a concern. Vendors also expressed concern, because this is a Requirements Contract, there is no guarantee for a minimum amount of work.**

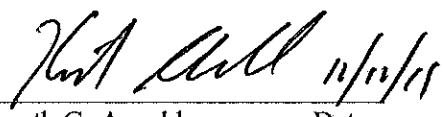

William S. Nimmo
Deputy Commissioner

WSN:FM:pl

c: Jane Houdek, Attorney for DPW
Fredrick Maroni, Unit Head, Facilities Management Unit
Robert Exner, Asbestos Abatement Project Manager

APPROVED:

DISAPPROVED:


Kenneth G. Arnold
Commissioner

Date

Kenneth G. Arnold
Commissioner

Date

B90625-03C - Nassau DPW B.I.D.S - Summary of Bid Opening
Asbestos and Lead Abatement

10/8/2019

Bid Opening: 10/8 /2019

Engineer: Rob Exner

Phone: (516) 571-6919

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
192 Branch Interior Services, Inc.	2099 Ninth Ave Ronkonkoma, NY 11779	U.S. Specialty Insurance	50,000.00	\$838,750.00	\$0.00
IAR	119 Cooper St Babylon, NY 11702	Allagheny Casualty Insurance	50,000.00	\$1,689,050.00	\$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Kenneth G. Arnold, Commissioner
FROM: William S. Nimmo, Deputy Commissioner
DATE: March 12, 2020 (**October 13, 2020**)
SUBJECT: RECOMMENDATION OF AWARD

Contract Number: B90625-03C (Requirements Contract)
Title: Asbestos & Lead Abatement at Various County Locations
Engineer's Estimate: \$1,000,000.00 (2-year contract; 2- year extension option)
Bids Received On: October 8, 2019

The bids received for the above referenced contract have been examined and the bid submitted by 192 Branch Interior Services, Inc., in the "Comparison Bid" amount of \$838,750.00, is acceptable as the lowest responsible bidder.

Since the low "Comparison Bid" amount by 192 Branch Interior Services, Inc., is fair and reasonable, it is requested that the Recommendation of Award be prepared for the Commissioner's signature and forwarded to the Deputy County Executive for his action.

Attached is the Bid Summary sheet and the "Low Bidder Participation" memo.

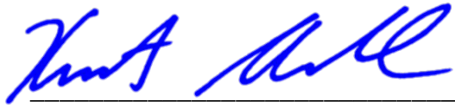
If you approve or disapprove of the above request, please signify below, and return this memo to this office for appropriate action.

William S. Nimmo
Deputy Commissioner

WSN:FM:jd
Attachment

c: Loretta Dionisio, Assistant to Deputy Commissioner
Fredrick Maroni, Unit Head, Facilities Management Unit
Anthony Marino, Deputy Superintendent of Buildings
Robert Exner, Asbestos Abatement Project Manager

APPROVED:



Kenneth G. Arnold Date 10/15/20
Commissioner

DISAPPROVED:

Kenneth G. Arnold Date
Commissioner



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Kenneth G. Arnold, Commissioner

FROM: William S. Nimmo, Deputy Commissioner

DATE: December 11, 2019

SUBJECT: Low Participation for Requirements Contract
Asbestos and Lead Abatement at Various County Locations
Bid Contract No. B90625-03C

The Requirements Contract for Asbestos and Lead Abatement at Various County Locations was advertised in Newsday on September 4, 2019; in New York State Contract Reporter from September 4, 2019 through October 8, 2019, and in e-procure from September 6, 2019 through October 8, 2019.

Twenty-one (21) vendors viewed the Solicitation on e-procure. Three (3) vendors purchased the Contract Documents and two (2) bids were received. After receipt of the bids, several of the non-participating firms were contacted to inquire why they did not submit a bid. Vendors who had submitted bids in the past for this work noted the level of information required for the disclosure forms and the volume of documentation in general as a reason for not submitting a bid. Some of the smaller vendors capable of performing this work stated they could not comply with the minimum insurance requirement and/or participation in an approved apprenticeship program. Many of the vendors viewing the bid documents were environmental consulting firms or engineering firms not capable of performing the specified work.

The following are questions from the Low Vendor Participation memo, dated May 23, 2018, from Deputy County Executive, John Chiara, with responses.

- 1) Review the specification to ensure that it is not unduly restrictive so as to limit competition. Is any component of the solicitation so restrictive that only one or a small number of vendors is capable of responding to the solicitation? If so, were those vendors notified of the solicitation? **Response: the bid was not restrictive. Due to the emergency response requirement in the contract, vendors from NYC are generally not interested because they cannot mobilize to sites in Nassau County quickly enough. Locally, there are only a few vendors that can perform the specified work and comply with the minimum requirements to submit a qualifying bid.**
- 2) Was the solicitation advertised and posted on the County website as required? **Response: Yes.**
- 3) Would we be likely to obtain greater vendor participation by advertising in other venues (e.g. New York State Contract Reporter, trade journals, other local media, etc.)? **Response: the bid was advertised in NYSCR and Newsday**
- a. Was the NIGP commodity code used to conduct the solicitation appropriate? Were appropriate vendors registered with the County for that commodity code or otherwise notified? **Response: The correct commodity codes were utilized in e-procure. Over two-hundred (200) vendors registered for the commodity codes received an automatic notification.**



Kenneth G. Arnold, Commissioner

December 11, 2019

Page two

SUBJECT: Low Participation for requirements Contract
Asbestos and Lead Abatement at Various County Locations
Bid Contract No. B90625-03C

- 4) Is the market for the specified goods or services structurally limited (i.e. are there geographic, capital, vendor capacity, service schedule, or other requirements) such that the greater vendor participation is not possible? **Response: Yes: As stated in question (1), being a non- local vendor can be problematic when considering emergency responses. There is a limited pool of local abatement vendors with the expertise to meet all of the requirements of this contract including capital (from time to time, payments may vary), vendor capacity (performing very large abatements), and service schedule (responses to large and minor emergency requests).**
- 5) Survey vendors that received notice of the solicitation but did not respond to determine why the vendor chose not to do so. Typical responses include but are not limited to:
- a. The vendor did not see the advertisement. **Response: Several hundred vendors were notified on e-procure and twenty-one (21) vendors viewed the specifications.**
 - b. The vendor does not offer the specified goods/services. As a follow up, ask if the specification is too specific to a competitor's product (is the specification "brand-specific" or written to one manufacturer's or service provider's offering?) **Response: The work specification was not too specific so as to limit participation.**
 - c. The vendor is too busy with other work at this time. **Response: No vendor stated this as a reason for not submitting a bid.**
 - d. The vendor is not interested in pursuing a County contract at this time – as a follow-up, ask why this is the case. **Response: The volume of documentation, specifically, the disclosure forms, to comply with the contract was a concern. Vendors also expressed concern, because this is a Requirements Contract, there is no guarantee for a minimum amount of work.**

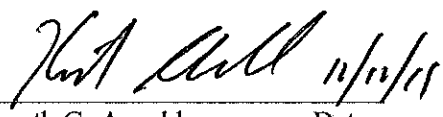

William S. Nimmo
Deputy Commissioner

WSN:FM:pl

c: Jane Houdek, Attorney for DPW
Fredrick Maroni, Unit Head, Facilities Management Unit
Robert Exner, Asbestos Abatement Project Manager

APPROVED:

DISAPPROVED:


Kenneth G. Arnold
Commissioner

Date

Kenneth G. Arnold
Commissioner

Date

B90625-03C - Nassau DPW B.I.D.S - Summary of Bid Opening
Asbestos and Lead Abatement

10/8/2019

Bid Opening: 10/8 /2019

Engineer: Rob Exner

Phone: (516) 571-6919

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
192 Branch Interior Services, Inc.	2099 Ninth Ave Ronkonkoma, NY 11779	U.S. Specialty Insurance	50,000.00	\$838,750.00	\$0.00
IAR	119 Cooper St Babylon, NY 11702	Allagheny Casualty Insurance	50,000.00	\$1,689,050.00	\$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

**COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo**

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, Executive Vice President

FROM: Department of Public Works

DATE: April 23, 2019

SUBJECT: CSEA Notification of a Proposed DPW Contract
Asbestos/Lead Abatement at Various Nassau County Locations
Proposed Contract No: B90625-03C

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members

1. DPW plans to recommend a contract/agreement for the following services:
Asbestos and Lead Abatement at Various Locations, Nassau County, New York

(The spirit of this proposed contract/agreement is to provide services which generally cannot be performed by bargaining unit members due to 3rd party monitoring requirements enforced by).

2. The work involves the following:
Furnishing the necessary labor, materials, tools, equipment and incidentals for encapsulation, enclosure, and removal of asbestos and lead containing materials in Nassau County maintained buildings at various locations in Nassau County.
3. An estimate of the cost is: \$1,000,000.00
4. An estimate of the duration is: Twenty-four (24) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Roseann D'Alleva, Deputy Commissioner, ext. 1-0525, fax 1-9657.

Roseann D'Alleva

Roseann D'Alleva
Deputy Commissioner

RD:WSN:FM:pl

c: Christopher Nicolino, Director, Office of Labor Relations
William S. Nimmo, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Fredrick Maroni, Unit Head, Facilities Management Unit
Christopher Yansick, Unit Head, Financial Management Unit
Diane Pyne, Unit Head, Human Resources Unit
Jonathan Lesman, Management Analyst II
Robert Exner, Asbestos Abatement Project Manager



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Robert Exner, Asbestos Abatement Project Manager

FROM: Office of the Commissioner

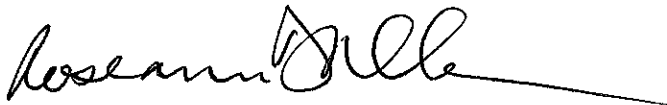
DATE: May 16, 2019

SUBJECT: CSEA Sub-Contracting Approval
C19-036 – B90625-03C
Asbestos/Lead Abatement at Various Nassau County Locations

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. Pursuant to Section 32-3 of the CSEA/County CBA, CSEA has withdrawn its objection to the above-referenced contract known as **C19-036**.

Please prepare the necessary documentation to proceed with your work. . Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions, please speak with Jonathan Lesman.



Roseann D'Alleva
Deputy Commissioner

RD:las

c: William S. Nimmo, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Frederick Maroni, Unit Head, Facilities Management Unit
Jonathan Lesman, Management Analyst II



REQUEST TO INITIATE

RTI Number 19-0063

REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC

☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work OrderProject Title: Asbestos/Lead Abatement @ Various County LocationsDepartment: Public Works Project Manager: Robert Exner Date: 3-7-19Service Requested: Asbestos Lead Abatement @ Various LocationsJustification: To manage asbestos/lead containing building materials at County operated facilities as required by NYS. To provide immediate action when asbestos/lead materials pose health risks to occupants. To facilitate in-house construction projects when asbestos/lead is presentRequested by: DPLW Department/Agency/OfficeProject Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$01
Circle appropriate phaseTotal Project Cost: \$1,350,000.00
Includes, design, construction and CMDate Start Work: TBD
Phase being requestedDuration: 3 years
Phase being requestedCapital Funding Approval: YES ☐ NO ☐Don Miller 3/21/19 DP
SIGNATURE DATEFunding Allocation (Capital Project):
See Attached Sheet if multiyear ☐90625NIFS Entered: DP DATEAIM Entered: Nancy Allen 4/5/19
SIGNATURE DATEFunding Code: 90625-000
use this on all encumbrancesTimesheet Code: 19-0063
use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☐ or Environmental Assessment Form Required ☐

Supplemental Environmental Documentation

Department Head Approval: YES ☒ NO ☐Art Miller
SIGNATUREDCE/Ops Approval: YES ☒ NO ☐Brian Salmeron 3/26/19
SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval: YES NO Signature _____

BID BOND

FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to USE THIS FORM PROVIDED as the use of ANY OTHER FORM may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned 192 BRANCH INTERIOR SERVICES, INC.

as Principal; and U.S. SPECIALTY INSURANCE COMPANY as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

FIFTY THOUSAND AND 00/100

dollars (\$ 50,000.00) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 8TH day of OCTOBER, 2019

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under Contract No. B90625-03C for the

ASBESTOS AND LEAD ABATEMENT. VARIOUS BUILDINGS IN NASSAU COUNTY.

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

Page 1

Provided, however, that this bond is subject to the following additional conditions and limitations.

a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.

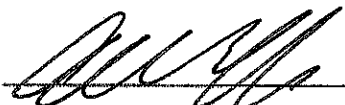
b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

192 BRANCH INTERIOR SERVICES, INC.

Contractor

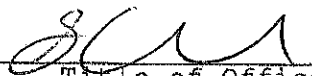
by  (L.S.) (Corporate seal of Contractor
Title if a corporation)
President

by _____ (L.S.) Title

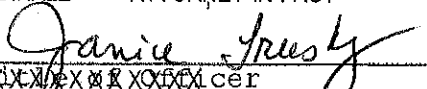
by _____ (L.S.) Title

U.S. SPECIALTY INSURANCE COMPANY

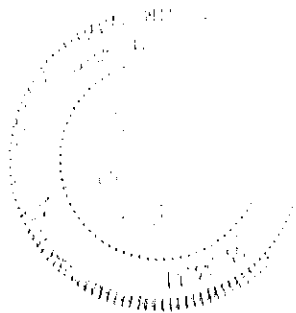
Surety

by  (L.S.)
Title of Officer

GARY A. CARDINALE ATTORNEY-IN-FACT

Attest:  (L.S.) (Corporate seal of Surety)
~~XXXXXX~~ cer

JANICE TRUSKEY WITNESS



(Acknowledgment by Contractor if a corporation)

STATE OF NY)

ss.:
COUNTY OF Suffolk)

On this 16 day of Sept., 20 19, before me personally came _____ to me known, who, being by me duly sworn, did depose and say for himself, that he resides in _____ that he is the _____ of the the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.

GRACE BOCCUZZO
Notary Public, State of New York
Reg. No. 01BO6049754
Qualified in Suffolk County
Commission Expires Oct. 23 20

Grace
Notary Public

(Acknowledgment by Contractor if a partnership)

STATE OF _____)

ss.:
COUNTY OF _____)

On this _____ day of _____, 20 _____, before me personally came _____ to me known and known to me to be a member of the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of ~~said firm thereto on behalf of said firm for the purpose therein~~ mentioned.

Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF _____)

ss.:
COUNTY OF _____)

On this _____ day of _____, 20 _____, before me personally came _____ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

Notary Public

Page 7

(Acknowledgment by Surety Company)

STATE OF NEW YORK)

ss.:
COUNTY OF ERIE)

On this 8TH day of OCTOBER, 2019, before me personally came GARY A. CARDINALE to me Known, who being by me duly sworn, did depose and say that he resides in [REDACTED]

that he is the ATTORNEY-IN-FACT of the U.S. SPECIALTY INSURANCE COMPANY, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said COLLEEN A. KENDZIORA further said that he is acquainted with GARY A. CARDINALE and knows him to be the ATTORNEY-IN-FACT of said company; that the signature of the said GARY A. CARDINALE subscribed to the within instrument is in the genuine handwriting of the said GARY A. CARDINALE and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said GARY A. CARDINALE

COLLEEN A. KENDZIORA
NOTARY PUBLIC STATE OF NEW YORK
ERIE COUNTY
COMM. EXP. 03/23/20 22

Colleen A. Kendziora
Notary Public

POWER OF ATTORNEY

ATTENTION: Insert the "Power of Attorney" after this page in with your bid submission.



TOKIO MARINE
HCC

POWER OF ATTORNEY
AMERICAN CONTRACTORS INDEMNITY COMPANY TEXAS BONDING COMPANY
UNITED STATES SURETY COMPANY U.S. SPECIALTY INSURANCE COMPANY

KNOW ALL MEN BY THESE PRESENTS: That American Contractors Indemnity Company, a California corporation, Texas Bonding Company, an assumed name of American Contractors Indemnity Company, United States Surety Company, a Maryland corporation and U.S. Specialty Insurance Company, a Texas corporation (collectively, the "Companies"), do by these presents make, constitute and appoint:

Gary Cardinale, Kevin Pino

its true and lawful Attorney(s)-in-fact, each in their separate capacity if more than one is named above, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver any and all bonds, recognizances, undertakings or other instruments or contracts of suretyship to include riders, amendments, and consents of surety, providing the bond penalty does not exceed *****Unlimited***** Dollars

(~~***unlimited***~~). This Power of Attorney shall expire without further action on April 23rd, 2022. This Power of Attorney is granted under and by authority of the following resolutions adopted by the Boards of Directors of the Companies:

Be it Resolved, that the President, any Vice-President, any Assistant Vice-President, any Secretary or any Assistant Secretary shall be and is hereby vested with full power and authority to appoint any one or more suitable persons as Attorney(s)-in-Fact to represent and act for and on behalf of the Company subject to the following provisions:

Attorney-in-Fact may be given full power and authority for and in the name of and on behalf of the Company, to execute, acknowledge and deliver, any and all bonds, recognizances, contracts, agreements or indemnity and other conditional or obligatory undertakings, including any and all consents for the release of retained percentages and/or final estimates on engineering and construction contracts, and any and all notices and documents canceling or terminating the Company's liability thereunder, and any such instruments so executed by any such Attorney-in-Fact shall be binding upon the Company as if signed by the President and sealed and effected by the Corporate Secretary.

Be it Resolved, that the signature of any authorized officer and seal of the Company heretofore or hereafter affixed to any power of attorney or any certificate relating thereto by facsimile, and any power of attorney or certificate bearing facsimile signature or facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached.

IN WITNESS WHEREOF, The Companies have caused this instrument to be signed and their corporate seals to be hereto affixed, this 1st day of June, 2018.

AMERICAN CONTRACTORS INDEMNITY COMPANY TEXAS BONDING COMPANY
UNITED STATES SURETY COMPANY U.S. SPECIALTY INSURANCE COMPANY

State of California

County of Los Angeles



By:


Daniel P. Aguilar, Vice-President

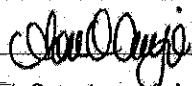
A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

On this 1st day of June, 2018, before me, Sonia O. Garrejo, a notary public, personally appeared Daniel P. Aguilar, Vice President of American Contractors Indemnity Company, Texas Bonding Company, United States Surety Company and U.S. Specialty Insurance Company who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

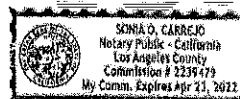
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature



(seal)



I, Kio Lo, Assistant Secretary of American Contractors Indemnity Company, Texas Bonding Company, United States Surety Company and U.S. Specialty Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney executed by said Companies, which is still in full force and effect, furthermore, the resolutions of the Boards of Directors, set out in the Power of Attorney are in full force and effect.

In Witness Whereof, I have hereunto set my hand and affixed the seals of said Companies at Los Angeles, California this

01st day of October, 2019

Corporate Seals
Bond No.

Agency No. 12293




Kio Lo, Assistant Secretary

Kant Kopy K1
Security Paper

- Hidden Pantograph
- Color Match
- Artificial Watermark
- Anti-Copy Coin Rub
- Erasure Protection
- Security Features Box
- Microprint Protection
- Acid Free

Kant Kopy K1
Security Paper

- Hidden Pantograph
- Color Match
- Artificial Watermark
- Anti-Copy Coin Rub
- Erasure Protection
- Security Features Box
- Microprint Protection
- Acid Free

Kant Kopy K1
Security Paper

- Hidden Pantograph
- Color Match
- Artificial Watermark
- Anti-Copy Coin Rub
- Erasure Protection
- Security Features Box
- Microprint Protection
- Acid Free

Kant Kopy K1
Security Paper

- Hidden Pantograph
- Color Match
- Artificial Watermark
- Anti-Copy Coin Rub
- Erasure Protection
- Security Features Box
- Microprint Protection
- Acid Free

FINANCIAL STATEMENT

ATTENTION: Insert the "Financial Statement" after this page in with your bid submission.

**U.S. SPECIALTY INSURANCE COMPANY
STATUTORY STATEMENT OF ADMITTED ASSETS,
LIABILITIES, CAPITAL AND SURPLUS (1)**

December 31, 2018

Admitted Assets

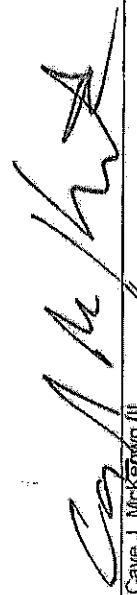
Investments:	
Fixed Maturities, at amortized cost	1,568,883,702
Preferred Stocks	7,209,500
Common stocks	7,384,714
Mortgage loans on real estate - first liens	115,926,433
Mortgage loans on real estate - other than first liens	2,564,219
Cash and short term investments	51,288,561
Receivable for securities	16,804
Total cash and invested assets:	1,753,273,933

Investment income due and accrued	18,542,015
Premium receivable	221,195,821
Recoverable from reinsurers	22,159,063
Net deferred tax asset	23,530,919
Receivable from parent, subsidiaries and affiliates	3,641,963
	289,069,781
Total admitted assets	2,042,343,714

(1) - In accordance with the statutory financial statements as filed on March 1, 2019.

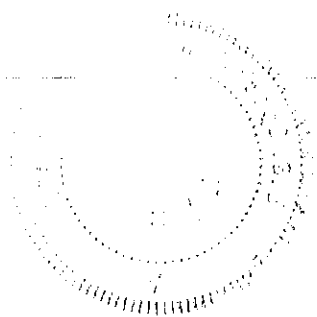
I, Cave J. McKeown III, Chief Financial Officer of U.S. Specialty Insurance Company, hereby certify that to the best of my knowledge and belief, the foregoing is a full and true Statutory Statement of Admitted Assets, Liabilities and Capital and Surplus of the Company as of December 31, 2018, prepared in conformity with accounting practices prescribed or permitted by the Texas Department of Insurance. The foregoing statement should not be taken as a complete statement of financial condition of the Company. Such a statement is available upon written request at the Company's home office located at 13403 Northwest Freeway, Houston, Texas 77040.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Corporation at Houston, Texas.


Cave J. McKeown III
Chief Financial Officer

Liabilities and Capital and Surplus

Liabilities:	
Unpaid loss and loss adjustment expense	988,770,886
Reinsurance payable on paid losses and loss adjustment expenses	68,484,176
Commission payable	6,936,199
Accrued expenses	7,337,637
Taxes, licenses, and fees	3,127,589
Current federal income taxes	419,028
Unearned premiums	278,044,656
Advance premium	3,968,213
Dividends to policyholders	290,375
Ceded reinsurance balance payable	64,962,534
Funds held under reinsurance treaties	4,265,991
Amounts withheld or retained for others	11,842
Provision for reinsurance	1,834,200
Payable to parent, subsidiaries and affiliates	20,146,196
Payable for securities	72,776
Total liabilities	1,448,672,798
Capital and Surplus:	
Capital Stock	4,200,000
Additional paid-in and contributed capital	190,085,811
Unassigned surplus	399,385,105
	593,670,916
Total liabilities and capital and surplus	2,042,343,714



CERTIFICATE OF SOLVENCY

ATTENTION: Insert the "Certificate of Solvency" after this page in with your bid submission.

STATE OF NEW YORK
DEPARTMENT OF FINANCIAL SERVICES

**CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK
INSURANCE LAW**

It is hereby certified that

U.S. Specialty Insurance Company
of Houston, Texas

a corporation organized under the laws of the State of Texas, and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$612,058,648. (Capital \$4,200,000.) as is shown by its sworn financial statement for the quarter ended March 31, 2019, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.

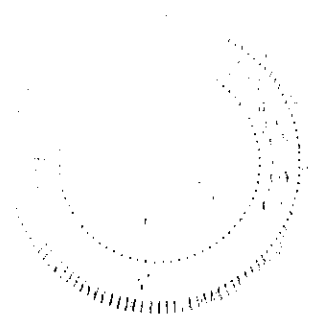


In Witness Whereof, I have here-
unto set my hand and affixed the
official seal of this Department
at the City of Albany, this 21st
day of June, 2019.

Linda A. Lacewell
Superintendent

By *Ellen Buxbaum*

Ellen Buxbaum
Special Deputy Superintendent





NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

WICKS EXEMPT LIST OF SUBCONTRACTORS

CONTRACT NO. **B90625-03C**

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

Contractor's Name and Address 192 Branch Interior Services 2099 9th Ave. Ronkonkoma NY 11779	Project Description (Project Title, Facility Name and Address): Various properties in Nassau	Bid Date: 10/8/19 10:30 AM	Total Contract Amt:
Federal ID No.: 11-2352067			

Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):


- ☐ Plumbing and Gas Fitting
☐ Steam Heating, Hot Water Heating, Ventilating and AC Apparatus
☐ Electric Wiring and Standard Illuminating Fixtures

If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box ☒ skip to bottom of form, and sign it as required.

Subcontractor's Name, Address and Federal ID No.	Check (✓) only one:			General Description of Work	Subcontractor's Contract Amt.
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.

Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.

Company Authorized Signature:  Title: **VP of Operations** Date: **9-10-19**

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

**COUNTY OF NASSAU
STATE OF NEW YORK**

PROPOSAL

**FOR
REQUIREMENTS CONTRACT
ASBESTOS AND LEAD ABATEMENT**

CONTRACT NO.: B90625-03C

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: 192 Branch Interior Services, Inc.
(Individual, Firm or Corporation, as case may be)

Bidder's Address: 2099 9th Ave, Ronkonkoma NY 11779

Telephone: 631-467-6600 Date: 9-10-19

Fax Tel.: 631-467-6611 E-MAIL: L.saunders@branchservicesinc.com

NO TEXT ON THIS PAGE

NOTE: IF BIDDER IS A FIRM, FILL IN THE FOLLOWING BLANKS:

Name of Partners

Residence of Partners

NOTE: IF BIDDER IS A CORPORATION, FILL IN THE FOLLOWING BLANKS:

Organized under the laws of the State of: NY

Name of President: Arthur Chu

President's Domicile:

Name of Vice President: _____

Vice President's Domicile: _____

Corporate Officer: _____ Title: _____

Corporate Officer's Domicile: _____

Corporate Officer: _____ Title: _____

Corporate Officer's Domicile: _____

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
 2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
 3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety or otherwise, upon any obligation to the County of Nassau.
 4. That no officer nor employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
 5. That he has carefully examined the site of the work and that, from his own investigations, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of equipment, other facilities needed for the performance of the work, the general and local conditions, and all other items which may, in any way, affect the work or its performance.
-
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract; that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
 7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
 8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay, or North Hempstead or by the Cities of Glen Cove or Long Beach, the contractor shall be required to have such a license.

NO TEXT ON THIS PAGE

PROPOSAL

INFORMATION FOR BIDDERS

I. Rejection of Bids.

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. A determination that the bidder is not responsible is made in accordance with law; or if
6. The bid, in the opinion of the Commissioner, contains unbalanced bid prices, unless the bidder can show that the prices are not unbalanced for the probably required quantity of such items.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if, any.

IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

NO TEXT ON THIS PAGE

FORM OF PROPOSAL
REQUIREMENTS CONTRACT - ASBESTOS AND LEAD ABATEMENT
VARIOUS LOCATIONS, NASSAU COUNTY, NY
CONTRACT NO. B90625-03C

Item No.	Approximate Quantity	Item Description with Unit Price Written in Words	Unit Bid Price		Amount Bid	
			Dollars	Cents	Dollars	Cents
1A	40 ea.	Mobilization for Minor Asbestos Projects; includes all work associated with completion of minor project. For <u>one thousand five hundred dollars, zero cents</u> Dollars Cents	1500	00	60,000	00
1B	25 ea.	Mobilization for Small Asbestos Projects. For <u>one thousand five hundred dollars, zero cents</u> Dollars Cents	1500	00	37,500	00
1C	10 ea.	Mobilization for Large Asbestos Projects. For <u>four thousand five hundred dollars, zero cents</u> Dollars Cents	4500	00	45,000	00
2A	3,000 s.f.	Removal of Asbestos Containing Pipe Insulation greater than 25 l.f. and less than 260 l.f. (Small Asbestos Project). Bid price in s.f. (See Section II Payment Items) For <u>Eight dollars, zero cents</u> Dollars Cents	8	00	24,000	00
2B	10,000 s.f.	Removal of Asbestos Containing Pipe Insulation greater than 260 l.f. (Large Asbestos Project). Bid price in s.f. (See Section II Payment Items). For <u>Three dollars, Ninety Five Cents</u> Dollars Cents	3	95	39,500	00

NO TEXT ON THIS PAGE

FORM OF PROPOSAL
REQUIREMENTS CONTRACT - ASBESTOS AND LEAD ABATEMENT
VARIOUS LOCATIONS, NASSAU COUNTY, NY
CONTRACT NO. B90625-03C

Item No.	Approximate Quantity	Item Description with Unit Price Written in Words	Unit Bid Price		Amount Bid	
			Dollars	Cents	Dollars	Cents
3A	3,000 s.f.	Removal of Asbestos Containing Boiler, Breeching, Uptake, Duct, and Tank Insulation greater than 10 s.f. and less than 160 s.f. (Small Asbestos Project). For <u>Thirteen dollars, zero cents</u> Dollars Cents	13	00	39,000	00
3B	8,000 s.f.	Removal of Asbestos Containing Boiler, Breeching, Uptake, Duct, and Tank Insulation greater than 160 s.f. (Large Asbestos Project). For <u>Eight dollars, zero cents</u> Dollars Cents	8	00	64,000	00
4A	2,000 s.f.	Removal of Asbestos Containing Surfacing Materials greater than 10 s.f. and less than 160 s.f. (Small Asbestos Project). For <u>Six dollars, zero cents</u> Dollars Cents	6	00	12,000	00
4B	4,000 s.f.	Removal of Asbestos Containing Surfacing Material greater than 160 s.f. and less than 500 s.f. (Large Asbestos Project). For <u>Ten Dollars, zero cents</u> Dollars Cents	10	00	40,000	00

PC-441

3

10

NOTICE TO THE PUBLIC

NOTICE TO THE PUBLIC

1. Project Name: [REDACTED]

2. [REDACTED]

3. [REDACTED]

4. [REDACTED]

5. [REDACTED]

NO TEXT ON THIS PAGE

FORM OF PROPOSAL
REQUIREMENTS CONTRACT - ASBESTOS AND LEAD ABATEMENT
VARIOUS LOCATIONS, NASSAU COUNTY, NY
CONTRACT NO. B90625-03C

Item No.	Approximate Quantity	Item Description with Unit Price Written in Words	Unit Bid Price		Amount Bid	
			Dollars	Cents	Dollars	Cents
4C	5,000 s.f.	Removal of Asbestos Containing Surfacing Material greater than 500 s.f. (Large Asbestos Project). For <u>Ten dollars, zero cents</u> Dollars Cents	10	00	50,000	00
5A	3,000 s.f.	Removal of Asbestos Containing Floor Tiles greater than 10 s.f. and less than 160 s.f. (Small Asbestos Project). For <u>Two dollars, zero cents</u> Dollars Cents	2	50	7500	00
5B	12,000 s.f.	Removal of Asbestos Containing Floor Tiles greater than 160 s.f. less than 1,000 s.f. (Large Asbestos Project). For <u>four dollars, zero cents</u> Dollars Cents	4	00	48,000	00
5C	20,000 s.f.	Removal of Asbestos Containing Floor Tiles greater than 1,000 s.f. (Large Asbestos Project). For <u>four dollars, zero cents</u> Dollars Cents	4	00	80,000	00

NO TEXT ON THIS PAGE

FORM OF PROPOSAL
REQUIREMENTS CONTRACT - ASBESTOS AND LEAD ABATEMENT
VARIOUS LOCATIONS, NASSAU COUNTY, NY
CONTRACT NO. B90625-03C

Item No.	Approximate Quantity	Item Description with Unit Price Written in Words	Unit Bid Price		Amount Bid	
			Dollars	Cents	Dollars	Cents
6A	1,000 s.f.	Removal of Asbestos Containing Roofing Systems including stone ballast, built up roofing, flashing, insulation, and vapor barrier greater than 10 s.f. and less than 160 s.f. (Small Asbestos Project). For <u>Three dollars, zero cents</u> Dollars Cents	3	00	3000	00
6B	5,000 s.f.	Removal of Asbestos Containing Roofing Systems including stone ballast, built up roofing, flashing, insulation, and vapor barrier greater than 160 s.f. and less than 1,000 s.f. (Large Asbestos Project). For <u>five dollars, zero cents</u> Dollars Cents	5	00	25,000	00
6C	25,000 s.f.	Removal of Asbestos Containing Roofing Systems including stone ballast, built up roofing, flashing, insulation, and vapor barrier greater than 1,000 s.f. (Large Asbestos Project). For <u>four dollars, fifty cents</u> Dollars Cents	4	50	112,500	00
7A	250 s.f.	Removal of Asbestos Containing Transite Panels For <u>Three dollars, eighty cents</u> Dollars Cents	3	80	950	00

NO TEXT ON THIS PAGE

FORM OF PROPOSAL
REQUIREMENTS CONTRACT - ASBESTOS AND LEAD ABATEMENT
VARIOUS LOCATIONS, NASSAU COUNTY, NY
CONTRACT NO. B90625-03C

Item No.	Approximate Quantity	Item Description with Unit Price Written in Words	Unit Bid Price	Amount Bid
8A	40 c.y.	Pick-up and Disposal of Gross Asbestos Contaminated Debris (excluding waste generated from items 1A thru 7A) For <u>Twenty dollars</u> Dollars <u>Zero</u> Cents	20 00	800 00
9A	Lump Sum	Asbestos Abatement Force Account Work For <u>One Hundred Thousand</u> Dollars <u>Zero</u> Cents	\$100,000 00	\$100,000 00
10A	Lump Sum	Lead Abatement Force Account For <u>Fifty Thousand</u> Dollars <u>Zero</u> Cents	\$50,000 00	\$50,000 00

NO TEXT ON THIS PAGE

FORM OF PROPOSAL
REQUIREMENTS CONTRACT - ASBESTOS AND LEAD ABATEMENT
VARIOUS LOCATIONS, NASSAU COUNTY, NY
CONTRACT NO. B90625-03C

TOTAL AMOUNT BID \$ 838,750.00

TOTAL AMOUNT BID (MUST BE WRITTEN IN WORDS):

\$ Eight Hundred Thirty Eight Thousand Seven Hundred Fifty dollars

BASIS OF AWARD:

Bids on Unit Contracts will be compared on the basis of the total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump sum Bid on individual items, in accordance with the items set forth in the bid proposal. The sum total of all "Amount's Bid" will determine the low bid and the subsequent award of this contract.

NOTES:

- (1) See Section II of the Technical Specifications for a complete description of the work required as part of each Unit Price item.

NO TEXT ON THIS PAGE

LAURA CURRAN
NASSAU COUNTY EXECUTIVE



KENNETH G. ARNOLD, P.E.
COMMISSIONER

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
1194 PROSPECT AVENUE
WESTBURY, NEW YORK 11590-2723

VENDOR PORTAL ACKNOWLEDGMENT

Vendor Name: 192 Branch Interior Services, Inc.
Contract Title: Requirements Contract Asbestos and Lead Abatement
Contract Number: B90625-03C

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at www.nassaucountyny.gov by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.

Signature

A handwritten signature in black ink, appearing to read "Luke Saunders", is written over a horizontal line.

Date

9-10-19

Print Name

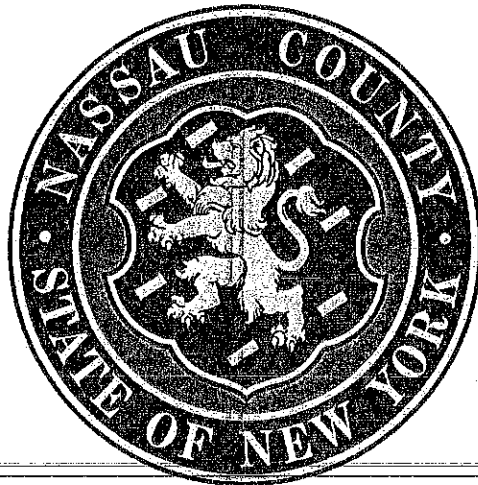
Luke Saunders

If you attempted to register via the Portal but were unable to do so, please explain here:

NO TEXT ON THIS PAGE

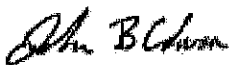

LAURA CURRAN
NASSAU COUNTY EXECUTIVE

NASSAU COUNTY



Vendor Code of Ethics



POLICY/PROCEDURE TITLE: Nassau County Vendor Code of Ethics	DATE ISSUED: June 5 th , 2019
DEPARTMENT ISSUING: Executive – Compliance	AUTHORIZED and SIGNED BY:  Deputy County Executive For Compliance  County Executive

POLICY: The Office of the Nassau County Executive recognizes the importance of the vendor community in helping the County provide necessary services for the residents of Nassau County. It is the policy of the County Executive to ensure that all vendors doing business with Nassau County operate under the highest standards of legal and ethical conduct.

PURPOSE: To set forth a Code of Conduct for vendors to ensure that Nassau County Vendors are conducting their business with integrity, ethics, and compliance with all applicable laws and regulations.

SCOPE: All vendors doing business or seeking to do business with Nassau County as specified in the Code.



Vendor Code of Ethics

TABLE OF CONTENTS

Chapter 1: DEFINITIONS

Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

Section 2.01 Designated Point(s) of Contact

Chapter 3: GIFTS OR CONTINGENT FEES

Section 3.01 Zero Tolerance

Section 3.02 Personal Relationships

Section 3.03 Contingent Fees

Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

Section 4.01 Restrictions During the Procurement Process

Section 4.02 Restrictions Post Award

Chapter 5: CONFLICT OF INTEREST

Section 5.01 Financial Interest

Section 5.02 Personal Business Dealings

Section 5.03 Disclosure and Cooperation

Section 5.04 Confidential Information

Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

Section 6.01 Appearance Before Former Agency-Two Year Bar

Section 6.02 Appearance Before Former Agency-Life Time Bar

Chapter 7: NON-COLLUSION

Section 7.01 Independent Bid Assessment

Section 7.02 Non-Communication of Bid

Section 7.03 Bid Submission

Chapter 8: DISTRIBUTION AND CERTIFICATION

Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

Section 8.02 Vendor Certifications

Section 8.03 Subcontractor Certifications

Chapter 9: PENALTIES

Section 9.01 Responsibility Determination

Section 9.02 Civil/Criminal Penalties

Chapter 10: REPORTING OBLIGATION

Section 10.01 Reporting Gift Requests

Section 10.02 Reporting Material Changes



Vendor Code of Ethics

Section 10.03 Reporting Violations and Overpayments Chapter 11: PROHIBITION ON RETALIATION Section 11.01 Prohibition



Vendor Code of Ethics

Chapter 1: DEFINITIONS

As used in this Code, the following terms have the following meanings:

Adverse Job-Related Action includes any material alteration to existing terms, conditions, and privileges of employment, such as dismissal, demotion, suspension, compulsory leave, disciplinary action, creation of a hostile work environment, negative performance evaluation, any action resulting in loss of staff, office space or equipment or other benefit, reduction in compensation, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected employee.

Contact means any oral or written communication with any Nassau County Employee, other than the Designated Point(s) of Contact, where it could be reasonably inferred that such contact was intended to influence, or could reasonably be expected to influence, the subject of a County procurement.

Designated Point(s) of Contact means the individual(s) designated by the County to be a Vendor's only contact with Nassau County following the public advertisement of a solicitation or the issuance of a request for a bid, proposal, or quote for small purchase, until the award of a resulting contract and, where applicable, approval by the County Legislature. This timeframe, further defined in the State Procurement Lobbying Law, is also known as the Restricted Period.

Nassau County Employee means any officer, official or employee of Nassau County.

Family Member means (i) a Nassau County Employee's Spouse, Domestic Partner, Child, Sibling or Parent; (ii) a person who is a direct descendant (or the spouse of a direct descendant) of a Sibling of the Nassau County Employee or a Sibling of the Nassau County Employee's Spouse or Domestic Partner; or (iii) a person living in the same household as a Nassau County Employee.

Gift means the transfer, without equivalent consideration, of anything of benefit, tangible or intangible, having more than nominal value, including, but not limited to, cash, loans, forbearance,



Vendor Code of Ethics

services, travel, gratuities of any kind, favors, money, meals, refreshments, entertainment, hospitality, admittance to private clubs, use of time-shares, personal use of the Vendor's facilities, promises, tickets to entertainment or sporting events, weekend trips, golf outings, loans of equipment, or other thing or benefit. A Gift need not be intended to influence or reward any individual or entity.

Nassau County Code of Ethics means Nassau County Charter Section 2218, and the rules and regulations promulgated thereunder as may be amended or modified.

Participating Employee means any Vendor employee who engages in any written or oral communication of a non-clerical or non-administrative nature with Nassau County or with a Nassau County Employee(s) as part of or in connection with the procurement.

Participating Nassau County Employee means any Nassau County Employee who the Vendor knows, has reason to know, or can reasonably anticipate is involved in a specific procurement, in either a direct or decision-making capacity, but not in a clerical capacity. This includes but is not limited to the Designated Point of Contact, the project manager, the project manager's staff to the extent that they are involved in the procurement, members of selection committees, technical experts and negotiating teams.

Primary Contracting Party means a Vendor who intends to directly enter into or has a contract with Nassau County.

Retaliatory Action is defined as any Adverse Job-Related Action taken by, or at the direction or request of, a Vendor or a Vendors' Employees as a result of any individual's (i) good-faith report with respect to a violation or potential violation of this Code or the law; or (ii) cooperation in any investigation of unlawful conduct or misconduct conducted by Nassau County or by federal, state, or local law enforcement officials.

State Procurement Lobbying Law means New York State Finance Law Sections 139-j and 139-k, and the rules and regulations promulgated thereunder as may be amended or modified.

Vendor means any individual or entity seeking to or doing business



Vendor Code of Ethics

with Nassau County within the scope of this Code, including, without limitation, contractors, consultants, suppliers, manufacturers seeking to act as the primary contracting party, officers and employees of the foregoing, as well as any subcontractors, subconsultants and suppliers at all lower tiers.

Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

Section 2.01 Designated Point(s) of Contact

Each procurement solicitation issued by Nassau County will identify the Designated Point(s) of Contact for that solicitation as required by the State Procurement Lobbying Law. Once the Designated Point(s) of Contact is/are established, the Vendor and any person or entity acting on the Vendor's behalf, including without limitation, those providing compensated or uncompensated lobbying, advocacy, consulting or other services should ensure that its contacts with Nassau County are in compliance with the requirements of the State Procurement Lobbying Law.

Chapter 3: GIFTS OR CONTINGENT FEES

Section 3.01 Zero Tolerance

No Vendor may offer or give any Gift, directly or indirectly, to a Nassau County Employee. Similarly, no Vendor may offer or give any Gift, directly or indirectly, to any Family Member of a Nassau County employee where such Gift is made because of the Vendor's relationship with the Nassau County Employee. Additionally, no Vendor may accept a gift from a Nassau County Employee.

This Zero-Tolerance Policy applies regardless of actual intentions. In other words, even if a Gift does not, or is not intended to, influence an action or decision by a Nassau County Employee, it is prohibited by this Code.

Section 3.02 Personal Relationships

Notwithstanding the foregoing, if a Vendor has a pre-existing family or personal relationship with the Employee, a Gift that is wholly unconnected with the Employee's duties on behalf of Nassau County is



Vendor Code of Ethics

not necessarily prohibited.

In determining whether the giving of an item was motivated by personal rather than business concerns, the following factors are considered:

- (a) the history of the relationship between the donor and the recipient, including but not limited to the mutuality of gift giving;
- (b) whether the item was paid for by the donor.

The giving of an item shall not be considered to be motivated by a family or personal relationship if the donor seeks to charge or deduct the value of the item as a business expense or seeks reimbursement from a client.

However, regardless of the family or personal relationship between a Vendor and an employee, a Gift is strictly forbidden where it is being given under circumstances where it can reasonably be inferred that it was intended to influence the employee in the performance of his or her official duties.

Section 3.03 Contingent Fees

The Vendor will not employ or retain any individual or entity for the purpose of soliciting or securing a Nassau County contract upon any agreement or understanding for a commission, percentage, brokerage, or fee that is contingent or dependent upon the outcome of the procurement.

Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

Section 4.01 Restrictions During the Procurement Process

Vendors shall not discuss future employment with Participating Nassau County Employees or their Family Members from the date the procurement is advertised or solicited through 30 days following the date that the procurement is awarded, even if a Participating Nassau County Employee contacts the Vendor regarding employment. Questions regarding whether a particular Nassau County employee is a Participating Nassau County Employee for a specific



Vendor Code of Ethics

procurement should be directed to the Designated Point of Contact for the procurement.

Section 4.02 Restrictions Post Award

Vendors are prohibited from offering or discussing an employment opportunity with a Nassau County Employee or his or her Family Members before whom the Vendor has or expects to have a pending specific matter including, but not limited to, negotiations, performance evaluation, task order selection, approval of a voucher or invoice, or approval of or agreement to a contract amendment, change order, or deviation or waiver until:

- (i) 30 days from the time the matter before the Employee is closed, or
- (ii) 30 days from the time the Employee has no further involvement with the matter because of recusal or reassignment.

Chapter 5: CONFLICT OF INTEREST

Section 5.01 Financial Interest

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, as the case may be, may have a 10% or greater interest, nor shall the Vendor, nor any director, officer, principal, owner, or partner thereof, acquire a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract.

The Vendor will not permit an employee having a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract to be employed in the performance of the Nassau County contract.

Section 5.02 Personal Business Dealings

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, may have a non-County business dealing with a



Vendor Code of Ethics

Participating Nassau County Employee where it can be reasonably inferred that the purpose of the business dealing, at least in part, is to influence the Participating Nassau County Employee's action on a pending County matter.

Section 5.03 Disclosure and Cooperation

The Vendor shall disclose immediately to the County any real or potential conflict of interest of which it becomes aware. This obligation is ongoing and shall last through the completion of performance of the contract. The Vendor shall provide to Nassau County, at the County's request and upon such forms as may be furnished by Nassau County, a disclosure of organizational, financial, contractual or other affiliations with any organization or entity that has interests that may be substantially affected by the procurement solicitation or award. The Vendor shall fully cooperate in any inquiry or investigation undertaken by Nassau County to determine whether any such affiliations present a conflict of interest, or whether any other provision of this Code has been violated. The Vendor shall fully cooperate with audits, investigations, examinations and reviews by the Nassau County Inspector General conducted pursuant to section 187 of the Nassau County Charter.

Section 5.04 Confidential Information

At no time shall any Vendor who obtains confidential or proprietary Nassau County information in the course of doing or seeking to do business with the County disclose any such information to any person not authorized by Nassau County to receive such information or use such information for any personal gain except as necessary to fulfill its contractual obligations to Nassau County.

If the Vendor receives from any source confidential or proprietary Nassau County information prior to the award of a resulting contract and, where applicable, approval by the County Legislature, without the explicit approval of the Designated Point of Contact, the Vendor shall immediately so notify the Designated Point of Contact.

Nassau County confidential or proprietary information includes, but is not limited to, internal cost estimates and proposals submitted by other Vendors.



Vendor Code of Ethics

Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

No Vendor who participates in the development of a scope of work, solicitation documents, assessment criteria, contractual instruments or technical specifications may participate as a bidder, sub-bidder, proposer or sub-proposer on that particular procurement or perform any work on that particular procurement or any other procurement that would constitute an organizational conflict of interest or would give that Vendor an unfair advantage over other bidders or proposers on that procurement. This prohibition may be waived in writing by the County Chief Procurement Officer upon a showing of good cause.

Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

Section 6.01 Appearance Before Former Agency-Two Year Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear or practice before any Nassau County agency, either prior to award or in the performance of a Nassau County contract, for a period of two years after termination of the Nassau County Employee's services with the County.

Section 6.02 Appearance Before Former Agency-Life Time Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear, practice, communicate or otherwise render services before the agency that employed the officer or employee or any other agency of Nassau County, either prior to award or in the performance of an agency's contract in relation to any case, proceeding, application or transaction with respect to which such former officer or employee was directly concerned and in which he or she personally participated, or which was under his or her active consideration during the period of his or her employment. This provision is a lifetime bar on projects that the former Nassau County Employee previously worked on while employed by the County.



Vendor Code of Ethics

Chapter 7: NON-COLLUSION

Section 7.01 Independent Bid Assessment

The Vendor will calculate the price(s) contained in any bid or proposal independently, without collusion, consultation, communication, or agreement with any competing Vendor for the purpose of restricting competition.

Section 7.02 Non-Communication of Bid

Unless otherwise required by law, the price(s) which the Vendor quotes in its bid or proposal will not knowingly be disclosed by the Vendor, directly or indirectly, to any competing Vendor prior to the closing date for bids or proposals.

Section 7.03 Bid Submission

The Vendor will not make any attempt to induce any other individual or entity to submit or not to submit a bid or proposal.

Chapter 8: DISTRIBUTION AND CERTIFICATION

Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

As a condition of being considered for the award of any contract above the County's small purchase threshold of \$10,000, the Vendor will be required to distribute copies of the Nassau County Vendor Code of Ethics to all Participating Employees prior to any of those employee's participation in the procurement. The Code may be distributed either in hard copy or electronically as a separate PDF.

Additionally, as a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will be required to obtain an acknowledgement from each of its Participating Employees ("Participating Employee Acknowledgements") that they have received, read, understand, and will comply with the Nassau County Vendor Code of Ethics.



Vendor Code of Ethics

The Vendor's responsibility for distributing copies of the Nassau County Vendor Code of Ethics and obtaining such signed Participating Employee Acknowledgements is ongoing until completion of performance of the contract and shall be retained for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Receipt and retention of Participating Employee Acknowledgments by the Vendor shall be subject to audit by Nassau County.

Section 8.02 Vendor Certifications

The vendor by signing the final contract thereby certifies and attests to the following:

- (a) The Vendor has been provided with a copy of the Nassau County Vendor Code of Ethics and will comply with all of the provisions of the Code;
- (b) All of its Participating Employees during the course of procurement or contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to any of those employees' participation in the procurement;
- (c) All Participating Employees have completed the acknowledgement required by Section 8.01 of this Code;
- (d) The Vendor will retain all of the signed Participating Employee Acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County;
- (e) The Vendor will continue to distribute the Nassau County Vendor Code of Ethics, obtain signed Participating Employee Acknowledgements as new Participating Employees are added or changed during the contract period, and retain all of the signed acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.



Vendor Code of Ethics

Section 8.03 Subcontractor Certifications

As a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will obtain certifications executed by authorized officials from all of its lower tier subcontractors, subconsultants and suppliers (as well as from any other subcontractors, subconsultants and suppliers from whom that Vendor is soliciting or has received proposals for work on a Nassau County contract) whose employees have communicated or may communicate with Nassau County Employees. This obligation is ongoing and shall last through the completion of performance of the contract. Receipt and retention of lower tier certifications by the Vendor shall be subject to audit by Nassau County.



Vendor Code of Ethics

Chapter 9: PENALTIES

Section 9.01 Responsibility Determination

For violation of any provision of the Nassau County Vendor Code of Ethics, Nassau County may avail itself of every remedy in law or equity, or as agreed to by parties in any contract, including but not limited to declaring the Vendor non-responsible or in material breach of the contract.

Section 9.02 Civil/Criminal Penalties

Additionally, violation of the Nassau County Vendor Code of Ethics or a provision thereof may subject the Vendor to criminal or civil penalties under State or Federal law.

Chapter 10: REPORTING OBLIGATION

Section 10.01 Reporting Gift Requests

Notwithstanding the provisions of Chapter 4 above, the Vendor is obligated to immediately report to Nassau County's Inspector General and the County Chief Procurement Officer, any and all requests made to the Vendor by any Nassau County Employee for a Gift.

Section 10.02 Reporting Material Changes

The Vendor is under a continuing obligation to report any change in circumstances that materially affects any prior report to Nassau County to Department of Chief Contracting Officer, including but not limited to disclosure of conflicts of interest and representations made in the Contractor Responsibility Form.

Section 10.03 Reporting Violations and Overpayments

The Vendor is obligated to timely report in writing to Nassau County's Inspector General, in connection with the award, performance or closeout of the Nassau County contract or subcontract, any credible evidence of significant overpayments on the contract or that a principal, employee, agent or subcontractor has committed a



Vendor Code of Ethics

violation of law involving fraud, conflict of interest, bribery or gratuities.

Chapter 11: PROHIBITION ON RETALIATION

Section 11.01 Prohibition

To facilitate the reporting obligations under Chapter 10, this code strictly forbids all Vendors and Vendors' Employees from taking any Retaliatory Action against individuals who make such reports.



Vendor Code of Ethics

CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.: B90625-03C

Project Description: Requirements Contract Asbestos and Lead Abatement

The prospective lower tier participant 192 Branch Interior Services, Inc. (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to NCDEW [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this 10 day of Sept., 2019.

By [Signature] Signature of Authorized Official

Luke Saunders, VP of Operations Name and Title of Authorized Official



Vendor Code of Ethics

PARTICIPATING EMPLOYEE ACKNOWLEDGEMENT REGARDING NASSAU COUNTY VENDOR CODE OF ETHICS

Company: 192 Branch Interior Services, Inc.

Bid/Proposal No.: B90625-036

I, Luke Saunders, acknowledge that I have received and read the Nassau County Vendor Code of Ethics on 9-10-19 and that I understand it and will comply with this Code in my participation in procurements between 192 Branch Interior Services (Vendor name) and Nassau County.

Executed this 10 day of Sept., 2019

By

Signature of Employee

Luke Saunders, VP of Operations

Name and Title of Employee

CONTRACTOR CERTIFICATION STATEMENT REGARDING
STORM WATER POLLUTION AT THE WORK SITE

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

CONTRACTOR'S NAME: 192 Branch Interior Services, Inc.

TELEPHONE NUMBER: 631-467-6611

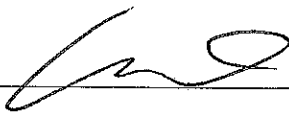
WORK SITE OR FACILITY NAME: All

WORK SITE OR FACILITY ADDRESS OR

OTHER IDENTIFYING DESCRIPTION: All Nassau

County owned and/or operated facilities

Signature



9-10-9

Date

Luka Saunders, VP of Operations
Print Name and Title of Signer

NO TEXT ON THIS PAGE

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.610, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Luke Saunders, VP of Operations 9/10/19
Name and Title of Authorized Representative m/d/yy

[Signature] 9-10-19
Signature Date

192 Branch Interior Services, Inc.
Name of Organization

2099 9th Ave, Ronkonkoma NY 11779
Address of Organization

U.S. GPO: 1989 OJP FORM 4061/1 (REV. 2/89) Previous editions are obsolete

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

PROPOSAL

MacBride Fair Employment Principles

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:

MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

(1) have business operations in Northern Ireland,

Yes ___ No ☒

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes ___ No ___


(Contractor's Signature)

192 Branch Interior Servicing, Inc.
(Name of Business)

NO TEXT ON THIS PAGE

PROPOSAL

IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

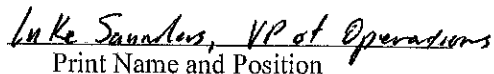
For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

- ☒ **a. Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,
- ☐ **b. Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.


Signature/Date

9-10-19


Print Name and Position

NO TEXT ON THIS PAGE

PROPOSAL

DETERMINATION OF LOW BID. Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances, plus the Add Alternate(s), minus the Deduct Alternate(s) where applicable, taken in order, or none of them, whichever amount(s) shall be judged by the Commissioner of Public Works to be in the best interest of the County.

NO TEXT ON THIS PAGE

PROPOSAL

MAJOR EQUIPMENT ITEMS: The Bidder shall fill the name and address of the proposed system suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

<u>Specification Number</u>	<u>Description</u>	<u>Manufacturer and/or Supplier</u>
<i>none</i>	<i>none</i>	<i>none</i>

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

192 Branch Interior Services, Inc.

(Individual, Firm or Corporation, as case may be)

Individual's Social Security Number: _____

Firm or Corporation's Federal ID Number: 11-2352067

Firm or Corporation's Municipal License ID Number: 28586

Municipal Licensing Agency: NYS DOL

By: [Signature] Date: 9-10-19

(Print): Luke Saunders Title: VP of Operations

WHERE BIDDER IS A CORPORATION, ADD

ATTEST:

[Signature]
Secretary

(CORPORATE)
(SEAL)

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____. Being duly sworn, deposes and says: That
he is the person described in and who executed the foregoing Bid and that the several matters therein stated are
in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____

Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____. Being duly sworn, deposes and says: That
he is a member of _____, the firm described in and which executed the
foregoing Bid; that he duly subscribed the name of the firm thereunto on behalf of the firm; and that the several
matters therein stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____

Notary Public

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Luke Saunders Being duly sworn, deposes and says: That
he resides [REDACTED] is the VP of Operations of

142 Branch Interior Services of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he affixed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me

This 10 day of Sept. 20 19.

GRACE BOCCUZZO
Notary Public, State of New York
Reg. No. 01BO6049754
Qualified in Suffolk County
Commission Expires Oct. 23 22

G. Boccuzzo
Notary Public

NO TEXT ON THIS PAGE

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 44
2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 44

b. as a Subcontractor 44

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
\$586,000	Abatement	95	Elmont UFSD 135 Elmont Rd. Elmont NY 11003
\$108,000	Abatement	25	NCCPW 1144 Prospect Ave. Westbury NY 11590

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
\$82,000	Abatement	100	NCCPW 1144 Prospect Ave. Westbury NY 11590
\$599,000	Abatement	100	Carle Place UFSD 168 Cherry Ave. Carle Place NY 11514

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? NO
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? NO
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

NO TEXT ON THIS PAGE

- c. ever been declared a non-responsible bidder by any municipality or public agency? no
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

- d. ever been barred from bidding municipal or public contracts? no
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

- a. that failed to complete a construction contract? no
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

- b. that has ever been defaulted on a contract? no
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

- c. that has ever been declared a non-responsible bidder by any municipality or public agency? no
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

NO TEXT ON THIS PAGE

d. that has ever been barred from bidding municipal or public contracts? no

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? no

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

none

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

none

10. In what other lines of business are you financially interested?

none

NO TEXT ON THIS PAGE

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Arthur Chu	President	30	Large Scale Abatement + Demolition	President
Luke Saunders	VP of Operations	19	Large Scale Construction, Abatement + Demolition	VP of ops.

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
list attached.			2099 9th Ave. Bronx, NY 10779

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

(use additional blank sheets if additional space is necessary)

NO TEXT ON THIS PAGE

BRANCH SERVICES

A BREATH OF FRESH AIR SINCE 1975

ASBESTOS • LEAD • FIRE • WATER • MOLD • CONSTRUCTION

Available Equipment

RE: B90625-03C

Requirements Contract Asbestos and Lead Abatement

Branch Services has, at a minimum, the following list of equipment available at all times stored on site at our address:

Trucks:	6
Vans:	5
Portable decon trailers:	7
Portable showers:	45
Water heaters:	60
Negative air machines:	200
Airless sprayers:	30
Popup decon:	200
GFI's:	300
Extension cords:	500
Water hose:	200
Suits:	5000
Respirators:	600
Half face filters:	1200
Ladders:	120
Poly:	500
Reinforced poly:	500
Scarifiers (walk behind):	2
Hepa grinders (hand):	12
Hepa Vacuum 15 gal:	30



14. In what manner have you inspected this proposed work?
Explain in detail.

Requirements contract.

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

*Responses to all requirements of the contract will
be adhered to.*

16. If a contract is awarded or a permit is issued, to your firm, who
will have the personal supervision of the work? Attach resume.

Arthur Chen, resume attached.

Luke Saunders, resume attached.

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

See sample certificate attached following resume's.

NO TEXT ON THIS PAGE

Arthur Chu
President and Owner

Professional Experience:

1995 – Present Branch Services, Inc., Ronkonkoma, New York
President

Duties include project management, cost estimating, consulting and client relations with respect to asbestos abatement, lead abatement, and hazardous materials.

1990 – 1995 ECO Environmental Corporation, Pelham, New York
Vice President

Duties include project management, cost estimating, consulting, and client relations with respect to asbestos abatement, lead abatement, and hazardous materials.

1988 – 1990 Comply Co Environmental Services, Brooklyn, New York
Senior Project Manager

Duties include project management, cost estimating, consulting, and client relations with respect to asbestos abatement, lead abatement, and hazardous materials.

1986 – 1988 Environmental Compliance, Inc. Hauppauge, New York
Senior Engineering Consultant

Duties include being in charge of asbestos section of company, supervising industrial hygienists to conduct asbestos air monitoring, abatement management, consulting, and survey for AHERA compliance for numerous school districts on Long Island. Wrote all asbestos abatement contracts and specifications. Other duties include hazardous materials surveys of various Federal Superfund sites and numerous school districts. Design leaching pool systems.

1982 – 1986 Grumman Data Systems, Woodbury, New York
Systems Engineer

Duties include incorporating design changes on a \$100,000,000.00 computer system design to test aircraft engines for the United States Air Force. Supervise the move of the entire computer system to Tullahoma, Tennessee. All work done to all military standards. Remainder of information is classified.

Professional Certifications:

Advanced Asbestos Contract and Specifications Writing
Tufts University – Medford, Massachusetts

Practices and Procedures in Asbestos Control
National Asbestos Training Center – University of Kansas

New York State Department of Labor Asbestos Supervisor
Certification #AH 88-08337

City of New York Asbestos Supervisor
Certification #76460

HAZWOPER - 40 hr OSHA Hazardous Material Training

MEHRC – Mold Contamination of Indoor Environments and Microbiological Remediation 40hrs

Educational Background:

Bachelor of Science in Mechanical Engineering – 1985
New York Institute of Technology
Old Westbury, New York

Polytechnic Institute of New York
Farmingdale, New York
Mechanical Engineering Major

LUKE SAUNDERS

L.S.A. [REDACTED]

Branch Services, Inc. Vice President of Operations

October 2011-Present

- Responsible for all aspects of estimating, scheduling, and coordination of a multitude of Environmental projects including but not limited to Asbestos, Lead, and Mold Remediation.
- Responsible for coordination between Owners, Testing Labs, Consulting Firms, and Local Governing Authorities on projects that range from School Districts and Public Municipalities, to Privately Owned Commercial Properties and Residences.
- Responsible for overall client satisfaction.
- Specializing in budgetary planning, critical path schedule management, and Environmental Services in occupied facilities.

Professional Experience

School Construction Consultants, Inc.

March 2002-October 2011

Senior Project Manager

Construction Management and Consulting Services for Educational Facilities.

- Specializing in various scale construction projects in and around occupied educational facilities while maintaining normal operations.
- Multi-million dollar renovation projects as part of bond referendums & capital improvement plans in many Long Island school districts.
- Responsible for the coordination of architects, engineers, contractors & tradesmen with district administration & local governing authorities.
- Responsible for overall client satisfaction from concept & design to construction & maintenance.
- Specialize in budget analysis, construction planning & critical path schedule management, vendor and materials management, construction in occupied facilities.
- **Brentwood Union Free School District- April 2007-October 2011**
Senior Project Manager for a \$100 million dollar bond referendum which includes space expansion, extensive renovations to all 18 District Facilities. Included in this bond is a District wide security & technology infrastructure upgrade which includes a 700 unit CCTV security camera deployment. I am the Senior Project Manager supervising 4 other Project Managers in addition to coordinating all daily activities between District Administration, District Staff, and all Contractors.
- **Oceanside Union Free School District- April 2006-March 2007**
Responsible for the installation of Stormtech drainage management system, full irrigation, slit drainage, and sod at sports fields and various areas covering over 5 acres at High School and Middle School locations. Fieldturf field and rubberized track surface along with Musco track lighting installed at the High School. Certificates of Occupancy and closeout documents were procured for an addition at the Middle School that was incomplete upon my arrival to the project.

- **North Bellmore Union Free School District- October 2005-April 2006**

Responsible for the removal and replacement of existing roofing at 3 elementary facilities during occupied times of the school year. The project was completed through the winter in record time with absolutely no impact on daily school operations.

- **Smithtown Central School District- April 2005-October 2005**

\$10 million dollars in bond work which was completed and closed out in a 6 month period under my direct management. Alterations to 5 existing elementary facilities included window replacements, unit ventilator and casework replacements, and site work improvements, as well as a \$1 million dollar Auditorium renovation.

- **Sachem Central School District- March 2002-April 2005**

Responsible for the additions and alteration in 12 elementary schools as part of a \$228.6 million dollar bond referendum. Alterations included replacements of roofing, windows, casework, HVAC and electrical equipment, fire alarm and PA system, faculty and gang toilet reconstruction.

Education

Educational Experience consists of classes in Architectural Engineering/Construction Management Technology at the SUNY Farmingdale, NY.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

11/30/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER C & H Agency 783 Riverview Drive P.O. Box 324 Totowa NJ 07511	CONTACT NAME: Taras Terlecky PHONE (A/C No. Ext): (973) 890-0900 FAX (A/C No.): (973) 812-9866 E-MAIL ADDRESS: tterlecky@chagency.com
INSURED 192 Branch Interior Services, Inc. Branch Services, Inc. 2099 Ninth Avenue Ronkonkoma NY 11779	INSURER(S) AFFORDING COVERAGE INSURER A: Great Divide Insurance Company NAIC # 25224 INSURER B: Colony Insurance Company 39993 INSURER C: INSURER D: INSURER E: INSURER F:

COVERAGES

CERTIFICATE NUMBER: **18-19 GL, A, U, WC, Excess**

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADOL/SUBR INSR VWD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Pollution/Asbestos <input checked="" type="checkbox"/> Contractual Liability GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PROJECT <input type="checkbox"/> LOC OTHER:		ECP2020143-12	12/4/2018	12/4/2019	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (EA occurrence) \$ 300,000 MED EXP (Any one person) \$ 25,000 PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COM/OP AGG \$ 2,000,000
A	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS		BAP2024785-11	12/4/2018	12/4/2019	COMBINED SINGLE LIMIT (EA accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
A	UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$		FFX2020146-12	12/4/2018	12/4/2019	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/> N/A	WCA2020145-12	12/4/2018	12/4/2019	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
B	Excess Liability		EXO307786	12/4/2018	12/4/2019	Each Occurrence: \$5,000,000 Aggregate: \$5,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

SAMPLE

CERTIFICATE HOLDER

SAMPLE

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Robert Culnen/LORI

© 1988-2014 ACORD CORPORATION. All rights reserved.

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

40

41

42

43

44

45

46

47

48

49

50

51

52

53

54

55

56

57

58

59

60

61

62

63

64

65

66

67

68

69

70

71

72

73

74

75

76

77

78

79

80

81

82

83

84

85

86

87

88

89

90

91

92

93

94

95

96

97

98

99

100

101

102

103

104

105

106

107

108

109

110

111

112

113

114

115

116

117

118

119

120

121

122

123

124

125

126

127

128

129

130

131

132

133

134

135

136

137

138

139

140

141

142

143

144

145

146

147

148

149

150

151

152

153

154

155

156

157

158

159

160

161

162

163

164

165

166

167

168

169

170

171

172

173

174

175

176

177

178

179

180

181

182

183

184

185

186

187

188

189

190

191

192

193

194

195

196

197

198

199

200

201

202

203

204

205

206

207

208

209

210

211

212

213

214

215

216

217

218

219

220

221

222

223

224

225

226

227

228

229

230

231

232

233

234

235

236

237

238

239

240

241

242

243

244

245

246

247

248

249

250

251

252

253

254

255

256

257

258

259

260

261

262

263

264

265

266

267

268

269

270

271

272

273

274

275

276

277

278

279

280

281

282

283

284

285

286

287

288

289

290

291

292

293

294

295

296

297

298

299

300

301

302

303

304

305

306

307

308

309

310

311

312

313

314

315

316

317

318

319

320

321

322

323

324

325

326

327

328

329

330

331

332

333

334

335

336

337

338

339

340

341

342

343

344

345

346

347

348

349

350

351

352

353

354

355

356

357

358

359

360

361

362

363

364

365

366

367

368

369

370

371

372

373

374

375

376

18.

The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



9-10-9

Luke Saunders, VP of Operations

NO TEXT ON THIS PAGE



LOCAL 78
ASBESTOS, LEAD & HAZARDOUS WASTE LABORERS

11-17 43rd Avenue
Tel: (212) 227 - 4803
Fax: (212) 406 - 1800

September 14, 2019

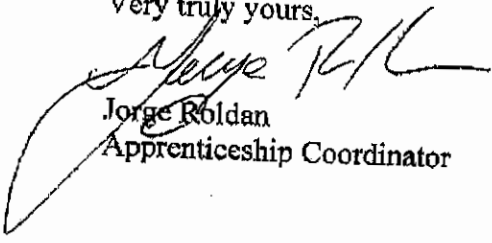
TO WHOM IT MAY CONCERN:

Please be advised that **Branch Services Inc.** is a member of the Environmental Contractors Association, which is a signatory party of the collective bargaining agreement with the Laborers Local 78 of the Mason Tenders District Council of Greater New York New Jersey and Long Island.

Branch Services Inc has been a participating contractor in Local 78's Skill Craft Labor Apprenticeship program, approved by the NYS Department of Labor.

If you have any questions, please do not hesitate to contact me.

Very truly yours,


Jorge Roldan
Apprenticeship Coordinator

FORM OF PROPOSAL
REQUIREMENTS CONTRACT - ASBESTOS AND LEAD ABATEMENT
VARIOUS LOCATIONS, NASSAU COUNTY, NY
CONTRACT NO. B90625-03C

Item No.	Approximate Quantity	Item Description with Unit Price Written in Words	Unit Bid Price		Amount Bid	
			Dollars	Cents	Dollars	Cents
1A	40 ea.	Mobilization for Minor Asbestos Projects; includes all work associated with completion of minor project. For <u>one thousand five hundred dollars, zero cents</u> Dollars Cents	1500	00	60,000	00
1B	25 ea.	Mobilization for Small Asbestos Projects. For <u>one thousand five hundred dollars, zero cents</u> Dollars Cents	1500	00	37,500	00
1C	10 ea.	Mobilization for Large Asbestos Projects: For <u>four thousand five hundred dollars, zero cents</u> Dollars Cents	4500	00	45,000	00
2A	3,000 s.f.	Removal of Asbestos Containing Pipe Insulation greater than 25 l.f. and less than 260 l.f. (Small Asbestos Project). Bid price in s.f. (See Section II Payment Items) For <u>Eight dollars, zero cents</u> Dollars Cents	8	00	24,000	00
2B	10,000 s.f.	Removal of Asbestos Containing Pipe Insulation greater than 260 l.f. (Large Asbestos Project). Bid price in s.f. (See Section II Payment Items). For <u>Three dollars, ninety five cents</u> Dollars Cents	3	95	39,500	00

FORM OF PROPOSAL
REQUIREMENTS CONTRACT - ASBESTOS AND LEAD ABATEMENT
VARIOUS LOCATIONS, NASSAU COUNTY, NY
CONTRACT NO. B90625-03C

Item No.	Approximate Quantity	Item Description with Unit Price Written in Words	Unit Bid Price		Amount Bid	
			Dollars	Cents	Dollars	Cents
3A	3,000 s.f.	Removal of Asbestos Containing Boiler, Breeching, Uptake, Duct, and Tank Insulation greater than 10 s.f. and less than 160 s.f. (Small Asbestos Project). For <u>Thirteen dollars, zero cents</u> Dollars Cents	13	00	39,000	00
3B	8,000 s.f.	Removal of Asbestos Containing Boiler, Breeching, Uptake, Duct, and Tank Insulation greater than 160 s.f. (Large Asbestos Project). For <u>Eight dollars, zero cents</u> Dollars Cents	8	00	64,000	00
4A	2,000 s.f.	Removal of Asbestos Containing Surfacing Materials greater than 10 s.f. and less than 160 s.f. (Small Asbestos Project). For <u>Six dollars, zero cents</u> Dollars Cents	6	00	12,000	00
4B	4,000 s.f.	Removal of Asbestos Containing Surfacing Material greater than 160 s.f. and less than 500 s.f. (Large Asbestos Project). For <u>Ten Dollars, zero cents</u> Dollars Cents	10	00	40,000	00

FORM OF PROPOSAL
REQUIREMENTS CONTRACT - ASBESTOS AND LEAD ABATEMENT
VARIOUS LOCATIONS, NASSAU COUNTY, NY
CONTRACT NO. B90625-03C

Item No.	Approximate Quantity	Item Description with Unit Price Written in Words	Unit Bid Price		Amount Bid	
			Dollars	Cents	Dollars	Cents
4C	5,000 s.f.	Removal of Asbestos Containing Surfacing Material greater than 500 s.f. (Large Asbestos Project). For <u>Ten dollars, zero cents</u> Dollars Cents	10	00	50,000	00
5A	3,000 s.f.	Removal of Asbestos Containing Floor Tiles greater than 10 s.f. and less than 160 s.f. (Small Asbestos Project). For <u>Two dollars, zero cents</u> Dollars Cents	2	50	7500	00
5B	12,000 s.f.	Removal of Asbestos Containing Floor Tiles greater than 160 s.f. less than 1,000 s.f. (Large Asbestos Project). For <u>four dollars, zero cents</u> Dollars Cents	4	00	48,000	00
5C	20,000 s.f.	Removal of Asbestos Containing Floor Tiles greater than 1,000 s.f. (Large Asbestos Project). For <u>four dollars, zero cents</u> Dollars Cents	4	00	80,000	00

FORM OF PROPOSAL
REQUIREMENTS CONTRACT - ASBESTOS AND LEAD ABATEMENT
VARIOUS LOCATIONS, NASSAU COUNTY, NY
CONTRACT NO. B90625-03C

Item No.	Approximate Quantity	Item Description with Unit Price Written in Words	Unit Bid Price		Amount Bid	
			Dollars	Cents	Dollars	Cents
6A	1,000 s.f.	Removal of Asbestos Containing Roofing Systems including stone ballast, built up roofing, flashing, insulation, and vapor barrier greater than 10 s.f. and less than 160 s.f. (Small Asbestos Project). For <u>Three dollars, zero cents</u> Dollars Cents	3	00	3000	00
6B	5,000 s.f.	Removal of Asbestos Containing Roofing Systems including stone ballast, built up roofing, flashing, insulation, and vapor barrier greater than 160 s.f. and less than 1,000 s.f. (Large Asbestos Project). For <u>Five dollars, zero cents</u> Dollars Cents	5	00	25,000	00
6C	25,000 s.f.	Removal of Asbestos Containing Roofing Systems including stone ballast, built up roofing, flashing, insulation, and vapor barrier greater than 1,000 s.f. (Large Asbestos Project). For <u>Four dollars, fifty cents</u> Dollars Cents	4	50	112,500	00
7A	250 s.f.	Removal of Asbestos Containing Transite Panels For <u>Three dollars, eighty cents</u> Dollars Cents	3	80	950	00

FORM OF PROPOSAL
REQUIREMENTS CONTRACT – ASBESTOS AND LEAD ABATEMENT
VARIOUS LOCATIONS, NASSAU COUNTY, NY
CONTRACT NO. B90625-03C

Item No.	Approximate Quantity	Item Description with Unit Price Written in Words	Unit Bid Price	Amount Bid
8A	40 c.y.	Pick-up and Disposal of Gross Asbestos Contaminated Debris (excluding waste generated from items 1A thru 7A) For <u>Twenty dollars</u> Dollars <u>Zero</u> Cents	20 00	800 00
9A	Lump Sum	Asbestos Abatement Force Account Work For <u>One Hundred Thousand</u> Dollars <u>Zero</u> Cents	\$100,000 00	\$100,000 00
10A	Lump Sum	Lead Abatement Force Account For <u>Fifty Thousand</u> Dollars <u>Zero</u> Cents	\$50,000 00	\$50,000 00

FORM OF PROPOSAL
REQUIREMENTS CONTRACT - ASBESTOS AND LEAD ABATEMENT
VARIOUS LOCATIONS, NASSAU COUNTY, NY
CONTRACT NO. B90625-03C

TOTAL AMOUNT BID \$ 838,750.00

TOTAL AMOUNT BID (MUST BE WRITTEN IN WORDS):

\$ Eight Hundred Thirty Eight Thousand Seven Hundred Fifty dollars

BASIS OF AWARD:

Bids on Unit Contracts will be compared on the basis of the total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump sum Bid on individual items, in accordance with the items set forth in the bid proposal.

The sum total of all "Amount's Bid" will determine the low bid and the subsequent award of this contract.

NOTES:

- (1) See Section II of the Technical Specifications for a complete description of the work required as part of each Unit Price item.

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 44

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 44

b. as a Subcontractor 44

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
\$536,000	Abatement	95	Elmont UFSD 135 Elmont Rd. Elmont NY 11003
\$108,000	Abatement	25	NCPW 1144 Prospect Ave. Westbury NY 11590

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
\$82,000	Abatement	100	NCPW 1144 Prospect Ave. Westbury NY 11590
\$589,000	Abatement	100	Carle Place UFSD 168 Cherry Ave. Carle Place NY 11514

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? NO
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? NO
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

c. ever been declared a non-responsible bidder by any municipality or public agency? no
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? no
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? no
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? no
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? no
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

d. that has ever been barred from bidding municipal or public contracts? NO

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? NO
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

none

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

none

10. In what other lines of business are you financially interested?

none

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Arthur Chu	President	30	Large Scale Abatement & Demolition	President
Luke Saunders	VP of Operations	19	Large Scale Construction, Abatement & Demolition	VP of ops.

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
list attached.			2099 9th Ave. Bronx, NY 10779

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

(use additional blank sheets if additional space is necessary)

BRANCH SERVICES

A BREATH OF FRESH AIR SINCE 1975

ASBESTOS • LEAD • FIRE • WATER • MOLD • CONSTRUCTION

Available Equipment

RE: B90625-03C

Requirements Contract Asbestos and Lead Abatement

Branch Services has, at a minimum, the following list of equipment available at all times stored on site at our address:

Trucks:	6
Vans:	5
Portable decon trailers:	7
Portable showers:	45
Water heaters:	60
Negative air machines:	200
Airless sprayers:	30
Popup decon:	200
GFI's:	300
Extension cords:	500
Water hose:	200
Suits:	5000
Respirators:	600
Half face filters:	1200
Ladders:	120
Poly:	500
Reinforced poly:	500
Scarifiers (walk behind):	2
Hepa grinders (hand):	12
Hepa Vacuum 15 gal:	30



14. In what manner have you inspected this proposed work?
Explain in detail.

Requirements contract.

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

Responses to all requirements of the contract will
be adhered to.

16. If a contract is awarded or a permit is issued, to your firm, who
will have the personal supervision of the work? Attach resume.

Arthur Chea, resume attached.

Luke Saunders, resume attached.

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
See sample certificate attached following resume's.			

Arthur Chu
President and Owner

Professional Experience:

1995 – Present Branch Services, Inc., Ronkonkoma, New York
President

Duties include project management, cost estimating, consulting and client relations with respect to asbestos abatement, lead abatement, and hazardous materials.

1990 – 1995 ECO Environmental Corporation, Pelham, New York
Vice President

Duties include project management, cost estimating, consulting, and client relations with respect to asbestos abatement, lead abatement, and hazardous materials.

1988 – 1990 Comply Co Environmental Services, Brooklyn, New York
Senior Project Manager

Duties include project management, cost estimating, consulting, and client relations with respect to asbestos abatement, lead abatement, and hazardous materials.

1986 – 1988 Environmental Compliance, Inc. Hauppauge, New York
Senior Engineering Consultant

Duties include being in charge of asbestos section of company, supervising industrial hygienists to conduct asbestos air monitoring, abatement management, consulting, and survey for AHERA compliance for numerous school districts on Long Island. Wrote all asbestos abatement contracts and specifications. Other duties include hazardous materials surveys of various Federal Superfund sites and numerous school districts. Design leeching pool systems.

1982 – 1986 Grumman Data Systems, Woodbury, New York
Systems Engineer

Duties include incorporating design changes on a \$100,000,000.00 computer system design to test aircraft engines for the United States Air Force. Supervise the move of the entire computer system to Tullahoma, Tennessee. All work done to all military standards. Remainder of information is classified.

Professional Certifications:

Advanced Asbestos Contract and Specifications Writing
Tufts University – Medford, Massachusetts

Practices and Procedures in Asbestos Control
National Asbestos Training Center – University of Kansas

New York State Department of Labor Asbestos Supervisor
Certification #AH 88-08337

City of New York Asbestos Supervisor
Certification #76460

HAZWOPER - 40 hr OSHA Hazardous Material Training

MEHRC – Mold Contamination of Indoor Environments and Microbiological Remediation 40hrs

Educational Background:

Bachelor of Science in Mechanical Engineering – 1985
New York Institute of Technology
Old Westbury, New York

Polytechnic Institute of New York
Farmingdale, New York
Mechanical Engineering Major

LUKE SAUNDERS

L. [REDACTED]

Branch Services, Inc. Vice President of Operations

October 2011-Present

- Responsible for all aspects of estimating, scheduling, and coordination of a multitude of Environmental projects including but not limited to Asbestos, Lead, and Mold Remediation.
- Responsible for coordination between Owners, Testing Labs, Consulting Firms, and Local Governing Authorities on projects that range from School Districts and Public Municipalities, to Privately Owned Commercial Properties and Residences.
- Responsible for overall client satisfaction.
- Specializing in budgetary planning, critical path schedule management, and Environmental Services in occupied facilities.

Professional Experience

School Construction Consultants, Inc.

March 2002-October 2011

Senior Project Manager

Construction Management and Consulting Services for Educational Facilities.

- Specializing in various scale construction projects in and around occupied educational facilities while maintaining normal operations.
- Multi-million dollar renovation projects as part of bond referendums & capital improvement plans in many Long Island school districts.
- Responsible for the coordination of architects, engineers, contractors & tradesmen with district administration & local governing authorities.
- Responsible for overall client satisfaction from concept & design to construction & maintenance.
- Specialize in budget analysis, construction planning & critical path schedule management, vendor and materials management, construction in occupied facilities.
- **Brentwood Union Free School District- April 2007-October 2011**
Senior Project Manager for a \$100 million dollar bond referendum which includes space expansion, extensive renovations to all 18 District Facilities. Included in this bond is a District wide security & technology infrastructure upgrade which includes a 700 unit CCTV security camera deployment. I am the Senior Project Manager supervising 4 other Project Managers in addition to coordinating all daily activities between District Administration, District Staff, and all Contractors.
- **Oceanside Union Free School District- April 2006-March 2007**
Responsible for the installation of: Stormtech drainage management system, full irrigation, slit drainage, and sod at sports fields and various areas covering over 5 acres at High School and Middle School locations. Fieldturf field and rubberized track surface along with Musco track lighting installed at the High School. Certificates of Occupancy and closeout documents were procured for an addition at the Middle School that was incomplete upon my arrival to the project.

- **North Bellmore Union Free School District- October 2005-April 2006**
Responsible for the removal and replacement of existing roofing at 3 elementary facilities during occupied times of the school year. The project was completed through the winter in record time with absolutely no impact on daily school operations.
- **Smithtown Central School District- April 2005-October 2005**
\$10 million dollars in bond work which was completed and closed out in a 6 month period under my direct management. Alterations to 5 existing elementary facilities included window replacements, unit ventilator and casework replacements, and site work improvements, as well as a \$1 million dollar Auditorium renovation.
- **Sachem Central School District- March 2002-April 2005**
Responsible for the additions and alteration in 12 elementary schools as part of a \$228.6 million dollar bond referendum. Alterations included replacements of roofing, windows, casework, HVAC and electrical equipment, fire alarm and PA system, faculty and gang toilet reconstruction.

Education

Educational Experience consists of classes in Architectural Engineering/Construction Management Technology at the SUNY Farmingdale, NY.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
11/30/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER C & H Agency 783 Riverview Drive P.O. Box 324 Totowa NJ 07511	CONTACT NAME: Taras Terlecky PHONE (A/C No. Ext.): (973) 890-0900 FAX (A/C No.): (973) 812-9860 E-MAIL ADDRESS: tterlecky@chagency.com
INSURED 192 Branch Interior Services, Inc. Branch Services, Inc. 2099 Ninth Avenue Ronkonkoma NY 11779	INSURER(S) AFFORDING COVERAGE INSURER A: Great Divide Insurance Company NAIC # 25224 INSURER B: Colony Insurance Company 39993 INSURER C: INSURER D: INSURER E: INSURER F:

COVERAGES CERTIFICATE NUMBER: 18-19 GL, A, U, WC, Excess REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Pollution/Asbestos <input checked="" type="checkbox"/> Contractual Liability GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:		XCF2020143-12	12/4/2018	12/4/2019	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (En occurrence) \$ 300,000 MED EXP (Any one person) \$ 25,000 PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COM/PROP AGG \$ 2,000,000 \$
A	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS		BAP2024785-11	12/4/2018	12/4/2019	COMBINED SINGLE LIMIT (Per accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
A	UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTION S		FFX2020146-12	12/4/2018	12/4/2019	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000 \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N/A	WCA2020145-12	12/4/2018	12/4/2019	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
B	Excess Liability		EX0307786	12/4/2018	12/4/2019	Each Occurrence: \$5,000,000 Aggregate: \$5,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
SAMPLE

CERTIFICATE HOLDER

SAMPLE

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE
Robert Culnen/LORI

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



9-10-99

Luke Saunders, VP of Operations



LOCAL 78
ASBESTOS, LEAD & HAZARDOUS WASTE LABORERS

11-17 43rd Avenue
Tel: (212) 227 - 4803
Fax: (212) 406 - 1800

September 14, 2019

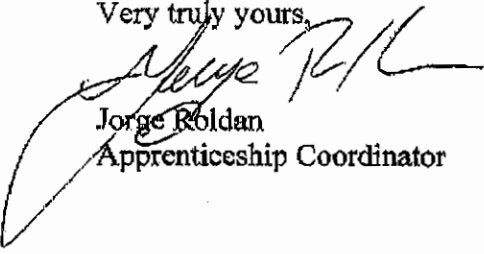
TO WHOM IT MAY CONCERN:

Please be advised that **Branch Services Inc.** is a member of the Environmental Contractors Association, which is a signatory party of the collective bargaining agreement with the Laborers Local 78 of the Mason Tenders District Council of Greater New York New Jersey and Long Island.

Branch Services Inc has been a participating contractor in Local 78's Skill Craft Labor Apprenticeship program, approved by the NYS Department of Labor.

If you have any questions, please do not hesitate to contact me.

Very truly yours,


Jorge Roldan
Apprenticeship Coordinator

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Arthur Chu

9-3-20

Name and Title of Authorized Representative

m/d/yy

Signature

9-3-20

Date

192 Branch Interior Services, Inc.

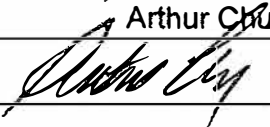
Name of Organization

2099 9th Avenue, Ronkonkoma, NY 11779

Address of Organization

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
CONSULTANT/ CONTRACTOR DETAILED MBE/WBE UTILIZATION PLAN

Part 1- General Information:

Consultant/Contractor Name:	192 Branch Interior Services, Inc.
Address (street/city/state/zip code):	2099 9th Avenue, Ronkonkoma, New York 11779
Authorized Representative (name/title):	Arthur Chu - President
Authorized Signature:	
Contract Number:	B90625-C
Contract/Project Name:	Asbestos and Lead Abatement at Various County Locations
Contract/Project Description:	

Part 2- Projected MBE/WBE Contract Summary:

	Amount (\$)		Percentage (%)
Total Dollar Value of the Prime Contract	1,000,000.00		
Total MBE Dollar Amount	1,000,000.00	MBE Contract Percentage	100%
Total WBE Dollar Amount		WBE Contract Percentage	
Total Combined M/WBE Dollar Amount	1,000,000.00	Combined M/WBE Contract Percentage	100%