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Additional  
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Additional Backup

2021 APR -9 P 3:21  
MASSACHUSETTS  
OFFICE OF THE ATTORNEY GENERAL

### Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

**NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.**

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 04/08/2021

1) Proposer's Legal Name: DP Civil, Inc.

2) Address of Place of Business: 315 Orinoco Drive

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

3) Mailing Address (if different): \_\_\_\_\_

City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_

Country: \_\_\_\_\_

Phone: \_\_\_\_\_

Does the business own or rent its facilities? Both If other, please provide details:  
\_\_\_\_\_

4) Dun and Bradstreet number: 117782994

5) Federal I.D. Number: 84-4165448

6) The proposer is a: Corporation (Describe) \_\_\_\_\_

7) Does this business share office space, staff, or equipment expenses with any other business?  
YES  NO  If yes, please provide details:  
\_\_\_\_\_

8) Does this business control one or more other businesses?  
YES  NO  If yes, please provide details:  
\_\_\_\_\_

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?  
YES  NO  If yes, please provide details:  
\_\_\_\_\_

10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?

YES  NO  If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).

11) Has the proposer, during the past seven years, been declared bankrupt?

YES  NO  If yes, state date, court jurisdiction, amount of liabilities and amount of assets

12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.

YES  NO  If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.

YES  NO  If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending?

YES  NO  If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?

YES  NO  If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an

element of which relates to truthfulness or the underlying facts of which related to the conduct of business?  
YES  NO  If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?  
YES  NO  If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?  
YES  NO  If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?  
YES  NO  If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?  
YES  NO  If yes, provide details for each such year. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17 Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.  
DP Civil, Inc. will follow any and all guidelines that Nassau County has to assure that conflict of interest would never exist.

A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?  
YES  NO

Is the proposer an individual?  
YES  NO  Should the proposer be other than an individual, the Proposal MUST include:

i) Date of formation;  
01/06/2020

ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.  
Brian Doherty - President 50%  
William Parker - Vice President 50%

*No individuals with a financial interest in the company have been attached..*

iii) Name, address and position of all officers and directors of the company. If none, explain.  
Brian Doherty - President - [REDACTED]  
William Parker - Vice President - [REDACTED]

*No officers and directors from this company have been attached.*

iv) State of incorporation (if applicable);  
NY

v) The number of employees in the firm;  
20

vi) Annual revenue of firm;  
1000000

vii) Summary of relevant accomplishments  
Please see attached

1 File(s) Uploaded: DP Civil\_Brian\_Doherty\_resume.pdf

viii) Copies of all state and local licenses and permits.

B. Indicate number of years in business.

1

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

Supply upon request.

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Atlantic Coast Dock Contractors  
Contact Person Thomas McEvelly  
Address 71 Alder Drive  
City Mastic Beach State/Province/Territory NY  
Country US  
Telephone (631) 281-0041  
Fax # (631) 842-0535  
E-Mail Address tom@atcodock.com

Company LEB Electric, LTD  
Contact Person Peter Mikolajuk  
Address 85 Ralph Ave  
City Copiague State/Province/Territory NY  
Country US  
Telephone (631) 375-9658  
Fax #  
E-Mail Address pm@lebelectric.com

Company Town of Babylon  
Contact Person Thomas Slay  
Address 200 E Sunrise Highway  
City Lindenhurst State/Province/Territory NY  
Country US  
Telephone (631) 957-7343  
Fax #  
E-Mail Address tstay@townofbabylon.com

I, Brian Doherty , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Brian Doherty , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: DP Civil, Inc

Electronically signed and certified at the date and time indicated by:  
Brian Doherty [BDOHERTY@DP-CIVIL.COM]

President  
Title

04/08/2021 08:09:56 AM  
Date



Mr. Doherty holds a Bachelor of Science in Architecture and Construction Management from the New York Institute of Technology. He has been in the heavy construction industry for over twenty years. His qualifications include projects for clients such as NYSDOT, NYCDOT, NJDOT, SCWA, NYCDEP, MTA, LIRR, PANYNJ and Amtrak. Brian specializes in large site excavation, heavy highway, bridge, utility work, support of excavation, concrete, precast, structural steel and tunneling. He is a highly driven individual who always puts the client first and maintains a safe work environment.

### **New York Institute of Technology – BS Architecture and Construction Management**

#### **DP Civil Inc, President / Owner 2020**

- We are a heavy civil construction company located in Bay Shore, New York. We service the New York Metropolitan Area. Our services include heavy civil and bridge construction; utility support, directional drilling, excavation, concrete, paving and wastewater infrastructure. We pride ourselves on our people, our safe work environment and our dedication to quality services.

#### **Posillico**

##### **Project Superintendent 2019-2020**

##### **SCWA Bergen Point Outfall Tunnel (\$190M) Jack Pipe – Segment Tunnel**

- Managed Team of (4) Superintendents (3) Project Engineers (5) Foremen across 3 shifts
- Planned – Executed TBM Launch Shaft Concrete Lining – 900 Cubic Yards
- Managed the Assembly of a Herrenknecht Tunnel Boring Machine and Pipe Jack Sled
- Planned - Safely Executed 400 feet of Precast jack pipe tunnel structure – under the Great South Bay
- Implemented the procurement and delivery plan for 20,500 precast segment section of tunnel structure.
- Managed the engineering and planning and execution – Receiving shaft Ground Freeze Excavation

#### **Major Deegan – Cross Bronx Interchange 2018-2019**

##### **NYSDOT Design Build Highbridge Three Bridge Interchange (\$125M)**

- Managed the Sequenced MPT and phased demolition, structural steel removal and replacement.
- Existing Hammer Head Pier and girder jacking for pier demolition.
- CIP replacement: 6 bridge abutments, 22 Hammer Head Piers ranging from 20 -50 feet tall and various size CIP retaining walls.
- 600 – 9T Precast deck panels, with Ultra High Performance Concrete Joints and bridge steel haunches.
- Utility removal, replacement, 6 miles of PCC road approaches, CIP barrier walls and slip form barrier walls.

#### **Tutor Perini Civil East**

##### **Project Superintendent 2015-2018**

##### **MTA LIRR Grand Central Terminal Cavern and Track Installation**

##### **East Side Access Contract CM007 (\$265M Civil portion of the \$725M Total Contract)**

- Coordinated all field operations with 8 superintendents, 4 project engineers, 6 field engineers, 4 safety engineers, and 3 QAQC Engineers.
- Managed 1<sup>st</sup>, 2<sup>nd</sup>, and 3<sup>rd</sup> shift operations labor, teamsters, and other operating engineers.
- Coordinated CM007 contract activities with three other contracts underway throughout the 8 miles of tunnel and 2 three level 1200-foot-long caverns.
- Lead the procurement, delivery, and installation of 2300 cavern precast beams, panels, and platforms.
- Coordinated the installation sequence of 18,000 CY of shotcrete and rebar.
- Managed the project material yards, trucking and delivery to area locations within the tunnels and caverns.
- Coordinated site crane and equipment use, and managed the maintenance of all tunnel heavy equipment.





**Hudson Yards Amtrak Tunnel Casing "Cut-Cover" (\$75M)**

**Hudson Yards MOE LIRR Track Yard Phase 1 (\$45M)**

- Lead the project team of 4 Superintendents, 4 Field Engineers, 1 Safety Engineer, and 1 QAQC Engineer.
- Managed all field operations labor, equipment needs and site logistics on all three shifts.
- Managed the temporary steel installation and the 90T Bridge jacking operation.
- Managed the removal and replacement of the existing 11<sup>th</sup> avenue bridge deck, pier, and barriers.
- Coordinated the installation of jet grout SOE walls, concrete whalers, and tie backs.
- Managed the soil and rock excavation totaling 12,000 CY.
- Managed the track yard construction: installation of 3,200 LF of track, 8 switches, 1,100 tons of ballast, 25 precast utility structures, water lines, drainage lines, and DC power conduit duct banks.
- Developed weekly cost to complete budgets and tracked field projections.
- Developed field milestones and completed on time and within the projected budget.

**Project Superintendent 2012-2015**

**NJDOT Pulaski Skyway Deck Replacement Contracts (\$205M)**

- Lead the project team of 6 Superintendents, 3 Field Engineers, 3 Safety Engineers, and 1 QAQC Engineer.
- Worked with the estimating department with project means and methods.
- Contributed to the Safety Executive Team. Walked each project monthly and reviewed project safety.
- Developed safer project work plans and tracked project trends.
- Developed all daily, monthly, and advanced six-month project schedules.
- Coordinated all subcontractor activities: bridge painting, architectural steel, precast fabrication, signage, and deck surface treatments.
- Developed field cost to complete budgets and participated in the monthly and quarterly cost reviews.

**Judlau Contracting Inc.**

**Project Manager 2008-2012**

**World Trade Center – PANYNJ (\$45M)**

- Managed the project field office and all aspects of field operations- including the design build aspects.
- Modified the existing slurry walls for Tower 1 Vent Shaft Penetrations.
- Installed a blast plate protection system on the WTC existing structures, following the tower 1 foundation.
- Managed the PATH track outages for the demolitions phase and construction phase.
- Install new concrete structures: 2 floor slabs, columns, walls for future vent shafts.
- Pursued and managed the execution of over thirty change orders and completed the project within budget.
- Managed project cost and participated in monthly review meetings with the Project Executive.

**Project Manager**

**NYCDEP Owls Head Waste Water Treatment Plant (\$73M)**

- Managed all the site civil operations and constructed a four level reinforced concrete mechanical building with an architectural limestone façade.
- Constructed facility loading docks, permanent utilities, access roads and parking lots.
- Managed the installation of the building's mechanical systems: grit and scum separators.
- Installation of large bore and small bore pipe installation, HVAC and electric.
- Coordinated the replacement of HD pumps, grit system pumps, and material conveyor systems.
- Managed all project paperwork, change order negotiations, and scheduling.



**Slattery Skanska Inc.,**

**Project Engineer / Superintendent 2000-2008 (\$200M-\$550M Projects)**

- East River Station Con Edison – Superintendent
- NYCDEP Newtown Creek Wastewater Treatment Facility – Superintendent
- NYPA Charles Poletti / 500MW Power Plant – Assistant Superintendent
- KEYSpan Ravenswood 500 MW Power Plant – Project Engineer
- NYPA Glenwood Landing 24MW Power Project – Field Engineer
- JFK ARTC Air Train – Internship Field Engineering

**Safety Certificates:**

- OSHA 30 OSHA 10,
- NYSTATE Certified MPT Trained 2019
- American Red Cross CPR Trained / First Responder
- Fall Protection Trained - Confined Space Trained
- Lead Awareness Trained- SWAC Certified - TWIC Certified
- NYCTA Track Trained - LIRR Track Trained - Metro North Track Trained - AMTRAK Track Trained,

**Eagle Scout- Boy Scouts of America Troop 1-**

Flushing New York, 1989- PRESENT as a Volunteer

**American Institute of Architects AIA Associate Member**

**Lifeguard Certified Rescue Swimmer – NYSP Jones Beach Lifeguard Corps.**

**PRINCIPAL QUESTIONNAIRE FORM**

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

**COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD**

1. Principal Name: William Parker  
Date of birth: 03/28/1980  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
Country: US

Business Address: 315 Orinoco Drive  
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706  
Country: US  
Telephone: 7183097701

Other present address(es):  
City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_  
Telephone: \_\_\_\_\_

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>01/06/2020</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES  NO  If Yes, provide details.

50% Equity

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES  NO  If Yes, provide details.

\_\_\_\_\_

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES  NO  If Yes, provide details.

LEB Electric Lt, LEB Holding LLC, PARKALLOTT Inc

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?  
YES  NO  If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

a. Been debarred by any government agency from entering into contracts with that agency?  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES  NO  If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

I, William Parker , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, William Parker , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

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DP Civil Inc

Name of submitting business

Electronically signed and certified at the date and time indicated by:

William Parker [BPARKER@DP-CIVIL.COM]

Vice President

Title

04/08/2021 08:00:10 AM

Date

**PRINCIPAL QUESTIONNAIRE FORM**

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

**COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD**

1. Principal Name: Brian Doherty  
Date of birth: 10/23/1979  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
Country: US

Business Address: 315 Orinoco Drive  
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706  
Country: US  
Telephone: 7183097701

Other present address(es):  
City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_  
Telephone: \_\_\_\_\_

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

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Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>01/06/2020</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?  
YES  NO  If Yes, provide details.  
50% Equity

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?  
YES  NO  If Yes, provide details.  
\_\_\_\_\_

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?  
YES  NO  If Yes, provide details.  
Doherty Developing Inc



6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES  NO  If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

a. Been debarred by any government agency from entering into contracts with that agency?

YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

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b. Is there any misdemeanor charge pending against you?  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?  
YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES  NO  If yes, provide an explanation of the circumstances and corrective action taken.

I, Brian Doherty , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Brian Doherty , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

DP Civil Inc.

\_\_\_\_\_  
Name of submitting business

Electronically signed and certified at the date and time indicated by:

Brian Doherty [BDOHERTY@DP-CIVIL.COM]

\_\_\_\_\_  
President

Title

04/08/2021 07:57:00 AM

\_\_\_\_\_  
Date

# Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Brian Doherty state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

## Vendor Disclosures

*This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.*

Name of Submitting Entity: DP Civil, Inc

Vendor's Address: 315 Orinoco Drive Bay Shore NY US 11706

Vendor's EIN or TIN: 84-4165448

Forms Submitted: \_\_\_\_\_

Political Campaign Contribution Disclosure Form:  
01/27/2021 07:16:12 AM

Lobbyist Registration and Disclosure Form:  
01/27/2021 07:16:22 AM

Business History Form certified:  
04/08/2021 08:09:56 AM

Consultant's, Contractor's, and Vendor's Disclosure Form:  
01/27/2021 08:35:36 AM

# Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

Principal Name	Date Certified
Brian Doherty [BDOHERTY@DP-CIVIL.COM]	04/08/2021 07:57:00 AM
William Parker [BPARKER@DP-CIVIL.COM]	04/08/2021 08:00:10 AM

I, Brian Doherty hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

## CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

Brian Doherty BDOHERTY@DP-CIVIL.COM

*Name*

President

*Title*

DP Civil, Inc

*Name of Submitting Entity*

04/08/2021 08:12:01 AM

*Date*