



Certified:

B-14-21Filed with the Clerk of the
Nassau County Legislature on
March 29, 2021 11:53 am**NIFS ID:S82019-01G****Department: Public Works****Capital: X**

SERVICE: GOSR Silver Lake Drainage Improvement-S82019-01G-B14-21

Contract ID #:S82019-01G

NIFS Entry Date:

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	N
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Material Adverse Information Identified? (if yes, attach memo):	N
5) Insurance Required	Y

Vendor Info:	
Name: D.P. Civil Inc.	Vendor ID#: [REDACTED]
Address: 315 Orinoco Drive Bay Shore, NY 11706	Contact Person: [REDACTED]
	Phone: [REDACTED]

Department:
Contact Name: Joe Cuomo
Address: Nassau County DPW 1194 Prospect Avenue Westbury, NY 11590 Phone: 516-571-9489

Routing Slip

Department	NIFS Entry: X	22-MAR-21 -- KARNOLD
Department	NIFS Approval: X	22-MAR-21 -- KARNOLD
DPW	Capital Fund Approved: X	22-MAR-21 -- KARNOLD
OMB	NIFA Approval: X	22-MAR-21 -- CNOLAN
OMB	NIFS Approval: X	22-MAR-21 -- NGUMIENIAK
County Atty.	Insurance Verification: X	22-MAR-21 -- DGRIPPO
County Atty.	Approval to Form: X	22-MAR-21 -- DGRIPPO
CPO	Approval: X	23-MAR-21 -- KOHAGEN

DCEC	Approval: X	24-MAR-21 -- JCHIARA
Dep. CE	Approval: X	25-MAR-21 -- BSCHNEIDER
Leg. Affairs	Approval/Review: X	29-MAR-21 -- JSCHANTZ
Legislature	Approval:	
Comptroller	Deputy:	
NIFA	NIFA Approval:	

Contract Summary

Purpose: The work to be performed under this contract consists of the following: elevating the perimeter walkway of Silver Lake to Elevation 5.0', installing Tide Gates on Silver Lake outfalls, install a stormwater treatment device between Lofts Pond and the Natural Channel of Parsonage Creek, providing a fish passage to Caroline's Pond. The work to be undertaken by the County under the auspices of the Governor's Office of Storm Recovery (GOSR) and is being funded under the Community Development Block Grant-Disaster Recovery (CDBG-DR) Funds, administered by the Federal Housing and Urban Development (HUD)
Method of Procurement: Request for sealed bids - 12/2/20
Procurement History: Bid was advertised in Newsday, NYSCR, Nassau County eProcure and GOSR website on December 2, 2020. NCDPW issued a bid due on January 5th 2021 for the GOSR Silver Drainage Improvement Project. 7 bids were submitted, D.P. Civil submitted the lowest bid.
Description of General Provisions: The purpose of the contract is to provide drainage improvements to Silver Lake and Caroline's pond by elevating the perimeter and the installation of tide gates.
Impact on Funding / Price Analysis: Maximum amount is \$1,951,337 - GOSR Funded portion is \$1,746,277.70, the remainder will be funded by County capital project 82019. The MWBE utilization rate is 21%.
Change in Contract from Prior Procurement: n/a
Recommendation: (approve as submitted) Approve as submitted

Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	CAP					
Control:	82	Revenue		01	PWCAPCAP/82019/00004	\$ 1,951,337.00
Resp:	019	Contract:				\$ 0.00
Object:	00004	County	\$ 0.00			\$ 0.00
Transaction:		Federal	\$ 0.00			\$ 0.00
Project #:	82019	State	\$ 0.00			\$ 0.00
Detail:	000	Capital	\$ 1,951,337.00			\$ 0.00
		Other	\$ 0.00			\$ 0.00
		TOTAL	\$ 1,951,337.00		TOTAL	\$ 1,951,337.00
RENEWAL						
% Increase						
% Decrease						

RULES RESOLUTION NO. -2021

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND D P CIVIL, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS [“Department”] has received competitive bids for contract S82019-01G, for GOSR SILVER LAKE DRAINAGE IMPROVEMENTS NASSAU COUNTY, NY [“Contract”], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of D P CIVIL, INC.

[“Vendor”] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 1,951,337, now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature,
based on the representations of the Department and the recommendation of the
Commissioner of the Department, authorizes the County Executive to award and execute
the said contract with the vendor.



Nassau County Interim Finance Authority

Contract Approval Request Form (As of January 1, 2015)

1. **Vendor:** D.P. Civil Inc.

2. **Dollar amount requiring NIFA approval:** \$1951337

Amount to be encumbered: \$1951337

This is a New

If new contract - \$ amount should be full amount of contract

If advisement ?NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. **Contract Term: One year from notice to proceed**

Has work or services on this contract commenced? N ____

If yes, please explain:

4. **Funding Source:**

General Fund (GEN)	Grant Fund (GRT)	Federal % 90
X Capital Improvement Fund (CAP)		State % 0
Other		County % 10

Is the cash available for the full amount of the contract? N

If not, will it require a future borrowing? Y

Has the County Legislature approved the borrowing? N

Has NIFA approved the borrowing for this contract? N

5. **Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:**

Construction improvement plans have been developed by the County for use in competitive bidding for Silver Lake Drainage Improvements Project. The proposed work is to be undertaken by the County under the auspices of the Governor's Office of Storm Recovery (GOSR) and is being funded under the Community Development Block Grant-Disaster Recovery (CDBG-DR) Funds, administered by the Federal Housing a

6. **Has the item requested herein followed all proper procedures and thereby approved by the:**

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. **Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:**

Contract ID	Date	Amount

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

22-MAR-21

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

BID BOND

FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to **USE THIS FORM PROVIDED** as the use of **ANY OTHER FORM** may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned DP-Civil, Inc.

as Principal; and North American Specialty Insurance Company as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

Ten Percent Amount Bid dollars (\$ 10% Amt Bid) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 29th day of December, 2020

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under Contract No. S82019 01G for the

GOSR Silver Lake Drainage Improvements

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

NO TEXT ON THIS PAGE

Provided, however, that this bond is subject to the following additional conditions and limitations.

a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.

b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

NO TEXT ON THIS PAGE

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

DP-Civil, Inc.
315 Orinoco Drive, Bay Shore, NY 11706
Contractor

by Brian Doherty (L.S.) (Corporate seal of Contractor
Title if a corporation)

by _____ (L.S.) Title

by _____ (L.S.) Title

North American Specialty Insurance Company
1450 American Lane, Schaumburg, IL 60173

by Robert Kempner (L.S.) Surety
~~XXXXXXXXXXXXXXXXXXXX~~
Robert Kempner, Attorney-In-Fact

Witness
~~XXXXXX~~: Joyce Turner (L.S.) (Corporate seal of Surety)
~~XXXXXXXXXXXXXXXXXXXX~~

NO TEXT ON THIS PAGE

(Acknowledgment by Contractor if a corporation)

STATE OF New York)

ss.:
COUNTY OF Suffolk)

On this 30 day of December, 2020, before me personally came Brian Doherty to me known, who, being by me duly sworn, did depose and say for himself, that he resides in [REDACTED] that he is [REDACTED] of the DPCivil, Inc the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by Like Order.

PATRICIA A. NORRIS
Notary Public, State of New York
No. 01NO6220474
Qualified in Nassau County
Commission Expires April 12, 2022

Patricia Norris
Notary Public

(Acknowledgment by Contractor if a partnership)

STATE OF _____)

ss.:
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____ to me known and known to me to be a member of the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF _____)

ss.:
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

Notary Public

NO TEXT ON THIS PAGE

(Acknowledgment by Surety Company)

STATE OF NEW YORK)

SS.:
COUNTY OF NASSAU)

On this 29th day of December, 2020, before me personally came Robert Kempner to me Known, who being by me duly sworn, did depose and say that he resides in Suffolk County, NY

that he is the Attorney-In-Fact of the North American Specialty Insurance Company, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said Danielle Heckman further said that he is acquainted with Robert Kempner and knows him to be the Attorney-In-Fact of said company; that the signature of the said Robert Kempner subscribed to the within instrument is in the genuine handwriting of the said Robert Kempner and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Danielle Heckman.


Notary Public

Danielle Heckman
Notary Public, State of New York
No. 01HE6302468
Qualified in Suffolk County
Commission Expires 05/05/2022

NO TEXT ON THIS PAGE

POWER OF ATTORNEY

ATTENTION: Insert the "Power of Attorney" after this page in with your bid submission.

SWISS RE CORPORATE SOLUTIONS

NORTH AMERICAN SPECIALTY INSURANCE COMPANY
WASHINGTON INTERNATIONAL INSURANCE COMPANY

GENERAL POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS, THAT North American Specialty Insurance Company, a corporation duly organized and existing under laws of the State of New Hampshire, and having its principal office in the City of Overland Park, Kansas, and Washington International Insurance Company, a corporation organized and existing under the laws of the State of New Hampshire and having its principal office in the City of Overland Park, Kansas, each does hereby make, constitute and appoint:

JOSEPH V. SFORZO, JOSEPH M. SFORZO,

ROBERT KEMPNER and ROBERT W. O'KANE

JOINTLY OR SEVERALLY

Its true and lawful Attorney(s)-in-Fact, to make, execute, seal and deliver, for and on its behalf and as its act and deed, bonds or other writings obligatory in the nature of a bond on behalf of each of said Companies, as surety, on contracts of suretyship as are or may be required or permitted by law, regulation, contract or otherwise, provided that no bond or undertaking or contract or suretyship executed under this authority shall exceed the amount of:

FIFTY MILLION (\$50,000,000.00) DOLLARS

This Power of Attorney is granted and is signed by facsimile under and by the authority of the following Resolutions adopted by the Boards of Directors of both North American Specialty Insurance Company and Washington International Insurance Company at meetings duly called and held on the 9th of May, 2012:

"RESOLVED, that any two of the Presidents, any Managing Director, any Senior Vice President, any Vice President, any Assistant Vice President, the Secretary or any Assistant Secretary be, and each or any of them hereby is authorized to execute a Power of Attorney qualifying the attorney named in the given Power of Attorney to execute on behalf of the Company bonds, undertakings and all contracts of surety, and that each or any of them hereby is authorized to attest to the execution of any such Power of Attorney and to attach therein the seal of the Company; and it is

FURTHER RESOLVED, that the signature of such officers and the seal of the Company may be affixed to any such Power of Attorney or to any certificate relating thereto by facsimile, and any such Power of Attorney or certificate bearing such facsimile signatures or facsimile seal shall be binding upon the Company when so affixed and in the future with regard to any bond, undertaking or contract of surety to which it is attached."



By

Steven P. Anderson, Senior Vice President of Washington International Insurance Company
& Senior Vice President of North American Specialty Insurance Company



By

Michael A. Ito, Senior Vice President of Washington International Insurance Company
& Senior Vice President of North American Specialty Insurance Company

IN WITNESS WHEREOF, North American Specialty Insurance Company and Washington International Insurance Company have caused their official seals to be hereunto affixed, and these presents to be signed by their authorized officers this 22nd day of February, 2018.

North American Specialty Insurance Company
Washington International Insurance Company

State of Illinois
County of Cook

SS:

On this 22nd day of February, 2018, before me, a Notary Public personally appeared Steven P. Anderson, Senior Vice President of Washington International Insurance Company and Senior Vice President of North American Specialty Insurance Company and Michael A. Ito, Senior Vice President of Washington International Insurance Company and Senior Vice President of North American Specialty Insurance Company, personally known to me, who being by me duly sworn, acknowledged that they signed the above Power of Attorney as officers of and acknowledged said instrument to be the voluntary act and deed of their respective companies.



M. Kenny, Notary Public

I, Jeffrey Goldberg, the duly elected Assistant Secretary of North American Specialty Insurance Company and Washington International Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney given by said North American Specialty Insurance Company and Washington International Insurance Company, which is still in full force and effect.

IN WITNESS WHEREOF, I have set my hand and affixed the seals of the Companies this 29th day of December, 2020.

Jeffrey Goldberg, Vice President & Assistant Secretary of
Washington International Insurance Company & North American Specialty Insurance Company

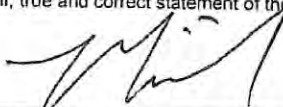
NORTH AMERICAN SPECIALTY INSURANCE COMPANY
A New Hampshire Corporation

BALANCE SHEET AS OF DECEMBER 31, 2019
(Statutory Basis)

Valuation of securities on National Association of Insurance Commissioner Basis

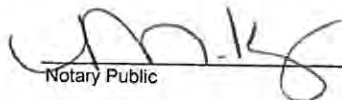
ASSETS		LIABILITIES	
Cash	107,599,514	Reserve for Unearned Premiums	1,263
Bonds	205,726,476	Reserve for Losses and Loss Adjustment Expenses	21,145,528
Other Invested Assets	64,161,844	Funds Withheld	7,577,476
Other Admitted Assets	118,474,935	Taxes and Other Liabilities	143,938,110
		Surplus	323,300,392
TOTAL ADMITTED ASSETS	495,962,769	TOTAL LIABILITIES & POLICYHOLDERS' SURPLUS	495,962,769

The undersigned, being duly sworn, says: That he is Senior Vice President of North American Specialty Insurance Company, Kansas City, Missouri that said company is a corporation duly organized, existing and engaged in business as a Surety Company by virtue of the Laws of the State of New Hampshire and authorized to do business in the State of New York and has duly complied with all the requirements of the laws of said State applicable to said Company and is duly qualified to act as Surety under such laws; that said Company has also complied with and is duly qualified to act as Surety under the Act of Congress approved; July 1947 6 U.S.C. sec. 6-13; and that to the best of his knowledge and belief the above statement is a full, true and correct statement of the financial condition of the said Company on the 31st day of December, 2019



Michael A. Ito, Senior Vice President
North American Specialty Insurance Company

Subscribed and sworn before me,
this 6th day of March, 2020



Notary Public



**STATE OF NEW YORK
DEPARTMENT OF FINANCIAL SERVICES**

**CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK INSURANCE
LAW**

It is hereby certified that

North American Specialty Insurance Company
of Manchester, New Hampshire

a corporation organized under the laws of New York and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$323,300,392. (Capital \$4,800,000), as is shown by its sworn financial statement for the quarter ending, December 31, 2019, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have here-
unto set my hand and affixed the
official seal of this Department
at the City of Albany, this 2nd
day of April 2020.

Linda A. Lacewell
Superintendent

Ellen Buxbaum
Special Deputy Superintendent

TO BE ATTACHED AND FORM A PART OF BOND NO. _____

STATE OF NEW YORK

COUNTY OF NASSAU

The undersigned, Robert Kempner duly sworn, deposes and says:

That she/he is the Attorney-In-Fact of the North American Specialty Insurance Company,
Surety on the attached bond, and that a Certificate of the Solvency of the Surety, as provided for
in Section 327 and Section III of the Insurance Law of the State of New York was issued by the
Superintendent of Insurance of the State of New York and has not been revoked.

Subscribed to and sworn before me this 29th day of December 2020

North American Specialty Insurance Company
(Surety)

BY:



Robert Kempner

Attorney-In-Fact


(Notary)

Danielle Heckman
Notary Public, State of New York
No. 01HE6302468
Qualified in Suffolk County
Commission Expires 05/05/2022

PROPOSAL

GENERAL CONSTRUCTION

**COUNTY OF NASSAU
STATE OF NEW YORK**

PROPOSAL

FOR

**GOSR Silver Lake Drainage Improvements
Contract No. S82019-01G**

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: DP Civil, Inc
(Individual, Firm or Corporation, as case may be)

Bidder's Address: 315 Orinoco Drive, Bay Shore NY 11706

Telephone: 718-309-7701

Date: 01/05/2020 (20)

Fax Tel.: N/A

E-MAIL: bdoherly@dp-civil.com

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NOTE: IF BIDDER IS A FIRM, FILL IN THE FOLLOWING BLANKS:

Name of Partners

Residence of Partners

N/A

NOTE: IF BIDDER IS A CORPORATION, FILL IN THE FOLLOWING BLANKS:

Organized under the laws of the State of: New York

Name of President: Brian Doherty

President's Domicile:

Name of Vice President: William Parker

Vice President's Domicile:

Corporate Officer: N/A Title: _____

Corporate Officer's Domicile: _____

Corporate Officer: N/A Title: _____

Corporate Officer's Domicile: _____

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PROPOSAL

GENERAL CONSTRUCTION

THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety or otherwise, upon any obligation to the County of Nassau.
4. That no officer nor employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the site of the work and that, from his own investigations, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of equipment, other facilities needed for the performance of the work, the general and local conditions, and all other items which may, in any way, affect the work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract; that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay, or North Hempstead or by the Cities of Glen Cove or Long Beach, the contractor shall be required to have such a license.

NO TEXT ON THIS PAGE

PROPOSAL

INFORMATION FOR BIDDERS

I. Rejection of Bids.

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. A determination that the bidder is not responsible is made in accordance with law; or if
6. The bid, in the opinion of the Commissioner, contains unbalanced bid prices, unless the bidder can show that the prices are not unbalanced for the probably required quantity of such items.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if, any.

IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

V. M/WBE Utilization Plan and Section 3 Plan

All bidders are requested to complete and submit the attached M/WBE Utilization Plan (Form- PROC-2) and Exhibit D – Contractor's Section 3 Plan with their bid. M/WBE participation percentages are provided in Exhibit E – Supplementary Conditions for Contracts, Part II: Required State Provisions, Number 6, Opportunities for Minority and Women-Owned Business Enterprises. Section 3 goals are included in the Notice to Bidders.

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Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: Silver Lake Drainage Improvements - S82019-01G

Item No	Engineers Estimate	Item Description	Unit Price Written in words	Unit Bid Price Dollars and Cents	Amount Bid Price Dollars and Cents
1	1.00 LS	Clearing and Grubbing	For: <i>Forty five thousand two hundred feet</i>	45,217.00	45,217.00
1 M/F	1.00 LS	Mobilization With Field Office	For: <i>Seventy eight thousand five hundred</i>	78,500.00	78,500.00
1SS	130.00 CY	Controlling Invasive Plant Species (Stockpile in Spoil Retention Area)	For: <i>One hundred & seven</i>	107.00	13,910.00
1SS-A	130.00 CY	Controlling Invasive Plant Species (Dispose of on Long Island at an Approved Facility)	For: <i>three hundred & fifty</i>	315.00	40,950.00
1SS-B	130.00 CY	Controlling Invasive Plant Species (Dispose of OFF Long Island at an Approved Facility)	For: <i>Five hundred & seventy five</i>	575.00	74,750.00
2	800.00 CY	Unclassified Excavation	For: <i>One hundred & sixty</i>	160.00	128,000.00
3	185.00 CY	Trench, Culvert and Bridge Excavation	For: <i>Seventy five</i>	75.00	13,875.00
4B	35.00 CY	Cement Concrete Breaking (Structures)	For: <i>three hundred & four</i>	304.00	10,640.00
5C	1,010.00 CY	Selected Fill	For: <i>Sixty five Dollars & fifty cents</i>	65.50	66,155.00
7	740.00 SY	Preparing Fine Grade	For: <i>Seventeen</i>	17.00	12,580.00
10A-1	820.00 SF	Excavation Protection System	For: <i>Fifty one</i>	51.00	41,820.00
17A	155.00 CY	Class A Concrete for Structures	For: <i>One thousand eight hundred & thirty</i>	1,830.00	283,650.00
19	10.00 CY	Screened Gravel, Loose Measure	For: <i>Ninety seven</i>	97.00	970.00
26	35.00 LF	Concrete Curb	For: <i>thirty eight</i>	38.00	1,330.00

38.00	1230.00		
17.00	810.00		
80.12.00	511.802.00	(5)	
23.00	131.800.00	(5)	
80.12.00	182.00.00	(5)	
82.20	50.00.00	(5)	
100.00	32.100.00	(5)	
93.00	110.22.00	(5)	
50.00	55.00.00	(5)	
212.00	14.320.00		
312.00	40.00.00		
151.00	12.33.00	(5)	
13.00.00	14.00.00	(5)	
100.00.00	10.00.00	(5)	

NO TEXT ON THIS PAGE

Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: Silver Lake Drainage Improvements - S82019-01G

Item No	Engineers Estimate	Item Description	Unit Price Written in words	Unit Bid Price Dollars and Cents	Amount Bid Price Dollars and Cents
26F	2,900.00 LF	Concrete Curb - Type Flush	For: thirty —	30.00	87,000.00
27	130.00 SF	Cement Concrete Sidewalk	For: Twenty two —	22.00	2,860.00
28	80.00 SF	Cement Concrete Driveways and Driveway Aprons	For: Thirty six —	36.00	2,880.00
33	16,200.00 LB	Bar Reinforcement for Structures	For: Two dollars - forty five cents —	2.45	39,690.00
36D	140.00 TONS	Asphalt Concrete Type 1A (Top & Binder)	For: Two hundred & seventy five —	275.00	38,500.00
39-4	2,225.00 SF	Paving Stones On Aggregate Base - 4" Thick	For: Nineteen dollars - fifty cents —	19.50	43,875.00
58A	75.00 LF	Saw Cutting Existing Non-Roadway Asphalt	For: Seventy five —	75.00	5,625.00
58RPC	210.00 LF	Saw Cutting Existing Roadway Pavement and Concrete	For: twelve —	12.00	2,520.00
64	85.00 LF	Reset Existing Fence	For: Eighty five —	85.00	7,225.00
98	1.00 EACH	Cofferdams	For: NINETEEN thousand —	19,000.00	19,000.00
102	1.00 LS	Work Zone Traffic Control	For: forty thousand —	40,000.00	40,000.00
105IS	155.00 SY	Colored and Imprinted Concrete Walk	For: one hundred & forty three —	143.00	22,165.00
111	8.00 SY	Removal and Replacement of Pavements	For: sixty four —	64.00	512.00
120-SS	5.00 EA	REMOVAL, RELOCATION AND REATTACHMENT OF EXISTING LIGHT POST	For: one thousand - nine hundred —	1,900.00	9,500.00
121	80.00 CY	Drybound Base Course	For: Eighty six —	86.00	6,880.00

NO TEXT ON THIS PAGE

Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: Silver Lake Drainage Improvements - S82019-01G

Item No	Engineers Estimate	Item Description	Unit Price Written in words	Unit Bid Price Dollars and Cents	Amount Bid Price Dollars and Cents
136	1.00 LS	Survey Stakeout	For: thirty five thousand —	35,000.00	35,000.00
141	185.00 LF	Silt Fence	For: nine —	9.00	1,665.00
141SS-D	80.00 SY	Construction Entrance - Temporary	For: Seventy Five —	75.00	6,000.00
158A	605.00 SF	Geotextile Cloth	For: Ten —	10.00	6,050.00
361SS-A	9.00 EA	Planting Major Deciduous Trees	For: One thousand six hundred & forty —	1,640.00	14,760.00
361SS-B	24.00 EA	Planting Deciduous Shrubs	For: thirty Seven —	37.00	888.00
361SS-C	772.00 EA	Planting Emergent Wetland Plants	For: Six dollars & Seventy five cents —	6.75	5,211.00
361SS-D	494.00 EA	Planting Sub-Emergent Wetlands Plants	For: ten dollars & fifty cents —	10.50	5,187.00
367SS-1	3,010.00 SY	Topsoil and Hydroseeding	For: Eleven —	11.00	33,110.00
367SS-2	3,750.00 SY	Wetland buffer mix - no topsoil	For: five dollars & forty cents —	5.50	20,625.00
372B	10.00 EACH	TREE REMOVAL 6" - < 12" CAL	For: One thousand six hundred forty —	1,620.00	16,200.00
373B	10.00 EACH	STUMP REMOVAL 6" < 12" CAL	For: One thousand eighty —	1,080.00	10,800.00
377	16.00 EA	Temporary Wooden Tree Guards	For: three hundred Seventy five —	375.00	6,000.00
420SS	650.00 LF	Swaged Railing System	For: two hundred & three —	203.00	131,950.00
421SS	80.00 LF	Security Fence	For: One thousand one hundred & nineteen —	1,119.00	89,520.00
512-TF-CM-18	1.00 EA	Installation of Checkmate Ultraflex Inline Tide Flex Check	For: twelve thousand & forty two —	12,052.00	12,052.00

NO TEXT ON THIS PAGE

Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: Silver Lake Drainage Improvements - S82019-01G

Item No	Engineers Estimate	Item Description	Unit Price Written in words	Unit Bid Price Dollars and Cents	Amount Bid Price Dollars and Cents
		Valve (18")			
604SS	6.00 EA	Benches	For: Two thousand eight hundred —	2,800.00	16,800.00 (2)
697.03	1.00 DC	Field Change Pavement (FCP)	For: Eighty thousand	\$80,000.00	80,000.00 —
720SS	310.00 LF	Water Fowl Canopy Fence	For: twelve —	12.00	3,720.00 —
900SS	1.00 LS	87" x 120" Culvert Flap Gate	For: One hundred of nineteen thousand —	119,000.00	119,000.00 —
901SS	1.00 LS	72" x 72" Self Regulating Tide Gate	For: One hundred of three thousand —	103,000.00	103,000.00
902SS-F	20.00 CY	Stone Filling (Fine)	For: One hundred of thirteen —	119.00	2,380.00
903SS	65.00 LF	Blue Stone Cap	For: One hundred of twenty seven —	127.00	8,255.00 (2)
904SS	950.00 EA	Drilling and Grouting Anchor Bolts and Rebars in Concrete	For: twenty seven —	27.00	25,650.00
905SS	1.00 LS	Painting Exterior Concrete Surfaces	For: Seven thousand five hundred —	7,500.00	7,500.00
906SS	6.00 SF	Interpretive Sign Panel	For: Six thousand five hundred of fifteen —	6,515.00	6,515.00
908SS	1.00 LS	Turbidity Curtain	For: One thousand eight hundred of fifty —	1,850.00	1,850.00
909SS	130.00 LF	Coir-Fiber Rolls (BIOLOGS), 16 inch Diameter	For: Six —	6.00	780.00
919SS	75.00 TON	Fumish and Install Heavy Natural Boulders	For: four hundred of twenty —	420.00	31,500.00
920SS	1.00 LS	Flexible Culvert Baffles (Fish Passage at Caroline's Lake)	For: Eight thousand seven hundred of ninety —	8,790.00	8,790.00

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Department of Public Works Nassau County, N.Y.

Bid Sheet for Contract: Silver Lake Drainage Improvements - S82019-01G

\$ 1,951,337.00

Total Bid in Numbers \$

Total Amount in Words

ONE MILLION NINE HUNDRED FIFTY ONE

THOUSAND, THREE HUNDRED THIRTY SEVEN DOLLARS

+ ZERO CENTS

27430 04135 +

27430 04135 + 00.826 E.P. 2

(041)

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SHEET #1 / OF 2



NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

WICKS EXEMPT LIST OF SUBCONTRACTORS
 CONTRACT NO. S82019-01G
 NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

Contractor's Name and Address DP CILLINC 315 ORINCO DR, Bayshore NY 11706 Federal ID No.: 84-4165448		Project Description (Project Title, Facility Name and Address): GOSR Silver Lake Drainage improvements		Bid Date: 01/05/21	Total Contract Amt: 1,951,337.00
Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply): <input type="checkbox"/> Plumbing and Gas Fitting <input type="checkbox"/> Steam Heating, Hot Water Heating, Ventilating and AC Apparatus <input type="checkbox"/> Electric Wiring and Standard Illuminating Fixtures					
If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box [] , slip to bottom of form, and sign it as required.					
Check (✓) only one.					
Subcontractor's Name, Address and Federal ID No.	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures	General Description of Work	Subcontractor's Contract Amt.
LEB ELECTRIC	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	RELOCATE LAMP POSTS	9000.00
SWEET HOLLOW LANDSCAPE	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	SWEET HOLLOW LANDSCAPE ITEMS	95000.00
CONTRACTORS LAYOUT	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	SURVEY	39000.00
This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed. Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.					
Company Authorized Signature: <u>Brian Donerty</u>				Title: <u>Brian Donerty, President</u> Date: <u>01/04/2021</u>	

NO TEXT ON THIS PAGE

SHEET # 2/2



NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

WICKS EXEMPT LIST OF SUBCONTRACTORS

CONTRACT NO.

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

Contractor's Name and Address DP CIVIL INC		Project Description (Project Title, Facility Name and Address): GOSS SILVER LAKE DRAINAGE IMPROV		Bid Date: 1/05/21	Total Contract Amt: 1,951,332.00
Federal ID No.: 84-4165448					
Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):					
<input type="checkbox"/> Plumbing and Gas Fitting <input type="checkbox"/> Steam Heating, Hot Water Heating, Ventilating and AC Apparatus <input type="checkbox"/> Electric Wiring and Standard Illuminating Fixtures					
If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box <input type="checkbox"/> skip to bottom of form, and sign it as required.					
Subcontractor's Name, Address and Federal ID No.	Check () only one.			General Description of Work	Subcontractor's Contract Amt.
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures		
COMMERCIAL FENCE INC. Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	STEEL FENCE ANY. FENCE SECURITY FENCE	200,000-
ATLANTIC COAST DOCK Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	STEEL STREET / ROYAL COFFEE ADAM	49,000-
DU-AL IND. Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CONCRETE CURB SIDE WALK	94,000-

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.

Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.

Company Authorized Signature: Brian J. Anthony Title: PRESIDENT Date: 1/05/2021

VENDOR PORTAL ACKNOWLEDGMENT

Vendor Name: DP Civil, Inc
Contract Title: GOSR Silver Lake Drainage Improvements
Contract Number: S82019-01G

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at www.nassaucountyny.gov by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.

Brian Doherty
Signature

01/04/2021
Date

Brian Doherty, President
Print Name

If you attempted to register via the Portal but were unable to do so, please explain here:

N/A

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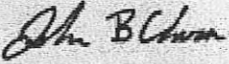
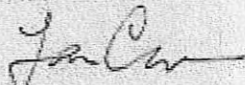
LAURA CURRAN
NASSAU COUNTY EXECUTIVE

NASSAU COUNTY



Vendor Code of Ethics



POLICY/PROCEDURE TITLE: Nassau County Vendor Code of Ethics	DATE ISSUED: June 5 th , 2019
DEPARTMENT ISSUING: Executive – Compliance	AUTHORIZED and SIGNED BY:  Deputy County Executive For Compliance  County Executive

- POLICY:** The Office of the Nassau County Executive recognizes the importance of the vendor community in helping the County provide necessary services for the residents of Nassau County. It is the policy of the County Executive to ensure that all vendors doing business with Nassau County operate under the highest standards of legal and ethical conduct.
- PURPOSE:** To set forth a Code of Conduct for vendors to ensure that Nassau County Vendors are conducting their business with integrity, ethics, and compliance with all applicable laws and regulations.
- SCOPE:** All vendors doing business or seeking to do business with Nassau County as specified in the Code.



Vendor Code of Ethics

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Vendor Code of Ethics

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Vendor Code of Ethics

Chapter 1: DEFINITIONS

As used in this Code, the following terms have the following meanings:

Adverse Job-Related Action includes any material alteration to existing terms, conditions, and privileges of employment, such as dismissal, demotion, suspension, compulsory leave, disciplinary action, creation of a hostile work environment, negative performance evaluation, any action resulting in loss of staff, office space or equipment or other benefit, reduction in compensation, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected employee.

Contact means any oral or written communication with any Nassau County Employee, other than the Designated Point(s) of Contact, where it could be reasonably inferred that such contact was intended to influence, or could reasonably be expected to influence, the subject of a County procurement.

Designated Point(s) of Contact means the individual(s) designated by the County to be a Vendor's only contact with Nassau County following the public advertisement of a solicitation or the issuance of a request for a bid, proposal, or quote for small purchase, until the award of a resulting contract and, where applicable, approval by the County Legislature. This timeframe, further defined in the State Procurement Lobbying Law, is also known as the Restricted Period.

Nassau County Employee means any officer, official or employee of Nassau County.

Family Member means (i) a Nassau County Employee's Spouse, Domestic Partner, Child, Sibling or Parent; (ii) a person who is a direct descendant (or the spouse of a direct descendant) of a Sibling of the Nassau County Employee or a Sibling of the Nassau County Employee's Spouse or Domestic Partner; or (iii) a person living in the same household as a Nassau County Employee.

Gift means the transfer, without equivalent consideration, of anything of benefit, tangible or intangible, having more than nominal value, including, but not limited to, cash, loans, forbearance,



Vendor Code of Ethics

services, travel, gratuities of any kind, favors, money, meals, refreshments, entertainment, hospitality, admittance to private clubs, use of time-shares, personal use of the Vendor's facilities, promises, tickets to entertainment or sporting events, weekend trips, golf outings, loans of equipment, or other thing or benefit. A Gift need not be intended to influence or reward any individual or entity.

Nassau County Code of Ethics means Nassau County Charter Section 2218, and the rules and regulations promulgated thereunder as may be amended or modified.

Participating Employee means any Vendor employee who engages in any written or oral communication of a non-clerical or non-administrative nature with Nassau County or with a Nassau County Employee(s) as part of or in connection with the procurement.

Participating Nassau County Employee means any Nassau County Employee who the Vendor knows, has reason to know, or can reasonably anticipate is involved in a specific procurement, in either a direct or decision-making capacity, but not in a clerical capacity. This includes but is not limited to the Designated Point of Contact, the project manager, the project manager's staff to the extent that they are involved in the procurement, members of selection committees, technical experts and negotiating teams.

Primary Contracting Party means a Vendor who intends to directly enter into or has a contract with Nassau County.

Retaliatory Action is defined as any Adverse Job-Related Action taken by, or at the direction or request of, a Vendor or a Vendors' Employees as a result of any individual's (i) good-faith report with respect to a violation or potential violation of this Code or the law; or (ii) cooperation in any investigation of unlawful conduct or misconduct conducted by Nassau County or by federal, state, or local law enforcement officials.

State Procurement Lobbying Law means New York State Finance Law Sections 139-j and 139-k, and the rules and regulations promulgated thereunder as may be amended or modified.

Vendor means any individual or entity seeking to or doing business



Vendor Code of Ethics

with Nassau County within the scope of this Code, including, without limitation, contractors, consultants, suppliers, manufacturers seeking to act as the primary contracting party, officers and employees of the foregoing, as well as any subcontractors, subconsultants and suppliers at all lower tiers.

Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

Section 2.01 Designated Point(s) of Contact

Each procurement solicitation issued by Nassau County will identify the Designated Point(s) of Contact for that solicitation as required by the State Procurement Lobbying Law. Once the Designated Point(s) of Contact is/are established, the Vendor and any person or entity acting on the Vendor's behalf, including without limitation, those providing compensated or uncompensated lobbying, advocacy, consulting or other services should ensure that its contacts with Nassau County are in compliance with the requirements of the State Procurement Lobbying Law.

Chapter 3: GIFTS OR CONTINGENT FEES

Section 3.01 Zero Tolerance

No Vendor may offer or give any Gift, directly or indirectly, to a Nassau County Employee. Similarly, no Vendor may offer or give any Gift, directly or indirectly, to any Family Member of a Nassau County employee where such Gift is made because of the Vendor's relationship with the Nassau County Employee. Additionally, no Vendor may accept a gift from a Nassau County Employee.

This Zero-Tolerance Policy applies regardless of actual intentions. In other words, even if a Gift does not, or is not intended to, influence an action or decision by a Nassau County Employee, it is prohibited by this Code.

Section 3.02 Personal Relationships

Notwithstanding the foregoing, if a Vendor has a pre-existing family or personal relationship with the Employee, a Gift that is wholly unconnected with the Employee's duties on behalf of Nassau County is



Vendor Code of Ethics

not necessarily prohibited.

In determining whether the giving of an item was motivated by personal rather than business concerns, the following factors are considered:

- (a) the history of the relationship between the donor and the recipient, including but not limited to the mutuality of gift giving;
- (b) whether the item was paid for by the donor.

The giving of an item shall not be considered to be motivated by a family or personal relationship if the donor seeks to charge or deduct the value of the item as a business expense or seeks reimbursement from a client.

However, regardless of the family or personal relationship between a Vendor and an employee, a Gift is strictly forbidden where it is being given under circumstances where it can reasonably be inferred that it was intended to influence the employee in the performance of his or her official duties.

Section 3.03 Contingent Fees

The Vendor will not employ or retain any individual or entity for the purpose of soliciting or securing a Nassau County contract upon any agreement or understanding for a commission, percentage, brokerage, or fee that is contingent or dependent upon the outcome of the procurement.

Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

Section 4.01 Restrictions During the Procurement Process

Vendors shall not discuss future employment with Participating Nassau County Employees or their Family Members from the date the procurement is advertised or solicited through 30 days following the date that the procurement is awarded, even if a Participating Nassau County Employee contacts the Vendor regarding employment. Questions regarding whether a particular Nassau County employee is a Participating Nassau County Employee for a specific



Vendor Code of Ethics

procurement should be directed to the Designated Point of Contact for the procurement.

Section 4.02 Restrictions Post Award

Vendors are prohibited from offering or discussing an employment opportunity with a Nassau County Employee or his or her Family Members before whom the Vendor has or expects to have a pending specific matter including, but not limited to, negotiations, performance evaluation, task order selection, approval of a voucher or invoice, or approval of or agreement to a contract amendment, change order, or deviation or waiver until:

- (i) 30 days from the time the matter before the Employee is closed, or
- (ii) 30 days from the time the Employee has no further involvement with the matter because of recusal or reassignment.

Chapter 5: CONFLICT OF INTEREST

Section 5.01 Financial Interest

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, as the case may be, may have a 10% or greater interest, nor shall the Vendor, nor any director, officer, principal, owner, or partner thereof, acquire a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract.

The Vendor will not permit an employee having a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract to be employed in the performance of the Nassau County contract.

Section 5.02 Personal Business Dealings

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, may have a non-County business dealing with a



Vendor Code of Ethics

Participating Nassau County Employee where it can be reasonably inferred that the purpose of the business dealing, at least in part, is to influence the Participating Nassau County Employee's action on a pending County matter.

Section 5.03 Disclosure and Cooperation

The Vendor shall disclose immediately to the County any real or potential conflict of interest of which it becomes aware. This obligation is ongoing and shall last through the completion of performance of the contract. The Vendor shall provide to Nassau County, at the County's request and upon such forms as may be furnished by Nassau County, a disclosure of organizational, financial, contractual or other affiliations with any organization or entity that has interests that may be substantially affected by the procurement solicitation or award. The Vendor shall fully cooperate in any inquiry or investigation undertaken by Nassau County to determine whether any such affiliations present a conflict of interest, or whether any other provision of this Code has been violated. The Vendor shall fully cooperate with audits, investigations, examinations and reviews by the Nassau County Inspector General conducted pursuant to section 187 of the Nassau County Charter.

Section 5.04 Confidential Information

At no time shall any Vendor who obtains confidential or proprietary Nassau County information in the course of doing or seeking to do business with the County disclose any such information to any person not authorized by Nassau County to receive such information or use such information for any personal gain except as necessary to fulfill its contractual obligations to Nassau County.

If the Vendor receives from any source confidential or proprietary Nassau County information prior to the award of a resulting contract and, where applicable, approval by the County Legislature, without the explicit approval of the Designated Point of Contact, the Vendor shall immediately so notify the Designated Point of Contact.

Nassau County confidential or proprietary information includes, but is not limited to, internal cost estimates and proposals submitted by other Vendors.



Vendor Code of Ethics

Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

No Vendor who participates in the development of a scope of work, solicitation documents, assessment criteria, contractual instruments or technical specifications may participate as a bidder, sub-bidder, proposer or sub-proposer on that particular procurement or perform any work on that particular procurement or any other procurement that would constitute an organizational conflict of interest or would give that Vendor an unfair advantage over other bidders or proposers on that procurement. This prohibition may be waived in writing by the County Chief Procurement Officer upon a showing of good cause.

Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

Section 6.01 Appearance Before Former Agency-Two Year Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear or practice before any Nassau County agency, either prior to award or in the performance of a Nassau County contract, for a period of two years after termination of the Nassau County Employee's services with the County.

Section 6.02 Appearance Before Former Agency-Life Time Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear, practice, communicate or otherwise render services before the agency that employed the officer or employee or any other agency of Nassau County, either prior to award or in the performance of an agency's contract in relation to any case, proceeding, application or transaction with respect to which such former officer or employee was directly concerned and in which he or she personally participated, or which was under his or her active consideration during the period of his or her employment. This provision is a lifetime bar on projects that the former Nassau County Employee previously worked on while employed by the County.



Vendor Code of Ethics

Chapter 7: NON-COLLUSION

Section 7.01 Independent Bid Assessment

The Vendor will calculate the price(s) contained in any bid or proposal independently, without collusion, consultation, communication, or agreement with any competing Vendor for the purpose of restricting competition.

Section 7.02 Non-Communication of Bid

Unless otherwise required by law, the price(s) which the Vendor quotes in its bid or proposal will not knowingly be disclosed by the Vendor, directly or indirectly, to any competing Vendor prior to the closing date for bids or proposals.

Section 7.03 Bid Submission

The Vendor will not make any attempt to induce any other individual or entity to submit or not to submit a bid or proposal.

Chapter 8: DISTRIBUTION AND CERTIFICATION

Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

As a condition of being considered for the award of any contract above the County's small purchase threshold of \$10,000, the Vendor will be required to distribute copies of the Nassau County Vendor Code of Ethics to all Participating Employees prior to any of those employee's participation in the procurement. The Code may be distributed either in hard copy or electronically as a separate PDF.

Additionally, as a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will be required to obtain an acknowledgement from each of its Participating Employees ("Participating Employee Acknowledgements") that they have received, read, understand, and will comply with the Nassau County Vendor Code of Ethics.



Vendor Code of Ethics

The Vendor's responsibility for distributing copies of the Nassau County Vendor Code of Ethics and obtaining such signed Participating Employee Acknowledgements is ongoing until completion of performance of the contract and shall be retained for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Receipt and retention of Participating Employee Acknowledgments by the Vendor shall be subject to audit by Nassau County.

Section 8.02 Vendor Certifications

The vendor by signing the final contract thereby certifies and attests to the following:

- (a) The Vendor has been provided with a copy of the Nassau County Vendor Code of Ethics and will comply with all of the provisions of the Code;
- (b) All of its Participating Employees during the course of procurement or contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to any of those employees' participation in the procurement;
- (c) All Participating Employees have completed the acknowledgement required by Section 8.01 of this Code;
- (d) The Vendor will retain all of the signed Participating Employee Acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County;
- (e) The Vendor will continue to distribute the Nassau County Vendor Code of Ethics, obtain signed Participating Employee Acknowledgements as new Participating Employees are added or changed during the contract period, and retain all of the signed acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.



Vendor Code of Ethics

Section 8.03 Subcontractor Certifications

As a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will obtain certifications executed by authorized officials from all of its lower tier subcontractors, subconsultants and suppliers (as well as from any other subcontractors, subconsultants and suppliers from whom that Vendor is soliciting or has received proposals for work on a Nassau County contract) whose employees have communicated or may communicate with Nassau County Employees. This obligation is ongoing and shall last through the completion of performance of the contract. Receipt and retention of lower tier certifications by the Vendor shall be subject to audit by Nassau County.



Vendor Code of Ethics

Chapter 9: PENALTIES

Section 9.01 Responsibility Determination

For violation of any provision of the Nassau County Vendor Code of Ethics, Nassau County may avail itself of every remedy in law or equity, or as agreed to by parties in any contract, including but not limited to declaring the Vendor non-responsible or in material breach of the contract.

Section 9.02 Civil/Criminal Penalties

Additionally, violation of the Nassau County Vendor Code of Ethics or a provision thereof may subject the Vendor to criminal or civil penalties under State or Federal law.

Chapter 10: REPORTING OBLIGATION

Section 10.01 Reporting Gift Requests

Notwithstanding the provisions of Chapter 4 above, the Vendor is obligated to immediately report to Nassau County's Inspector General and the County Chief Procurement Officer, any and all requests made to the Vendor by any Nassau County Employee for a Gift.

Section 10.02 Reporting Material Changes

The Vendor is under a continuing obligation to report any change in circumstances that materially affects any prior report to Nassau County to Department of Chief Contracting Officer, including but not limited to disclosure of conflicts of interest and representations made in the Contractor Responsibility Form.

Section 10.03 Reporting Violations and Overpayments

The Vendor is obligated to timely report in writing to Nassau County's Inspector General, in connection with the award, performance or closeout of the Nassau County contract or subcontract, any credible evidence of significant overpayments on the contract or that a principal, employee, agent or subcontractor has committed a



Vendor Code of Ethics

violation of law involving fraud, conflict of interest, bribery or gratuities.

Chapter 11: PROHIBITION ON RETALIATION

Section 11.01 Prohibition

To facilitate the reporting obligations under Chapter 10, this code strictly forbids all Vendors and Vendors' Employees from taking any Retaliatory Action against individuals who make such reports.



Vendor Code of Ethics

CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.: _____

Project Description: _____

The prospective lower tier participant _____ (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to _____ [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this _____ day of _____, 20____.

By _____ Signature of Authorized Official
_____ Name and Title of Authorized Official



Vendor Code of Ethics

PARTICIPATING EMPLOYEE ACKNOWLEDGEMENT REGARDING NASSAU COUNTY VENDOR CODE OF ETHICS

Company: _____

Bid/Proposal No.: _____

I, _____, acknowledge that I have received and read the Nassau County Vendor Code of Ethics on _____ and that I understand it and will comply with this Code in my participation in procurements between _____ (Vendor name) and Nassau County.

Executed this _____ day of _____, 20____.

By _____ Signature of Employee

Name and Title of Employee

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

MacBride Fair Employment Principles

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:

MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

(1) have business operations in Northern Ireland,

Yes ___ No ☒

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes ___ No ___

Brian A. Kelly
(Contractor's Signature)

DP Civil, Inc
(Name of Business)

NO TEXT ON THIS PAGE

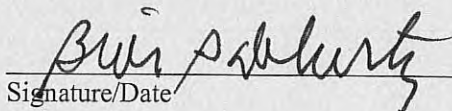
IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

- ☒ **a. Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,
- ☐ **b. Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.


Signature/Date

Brian Doherty, Resident
Print Name and Position

NO TEXT ON THIS PAGE

10/15/2019 10:10 AM *John Doe*

CONTRACTOR CERTIFICATION STATEMENT REGARDING
STORM WATER POLLUTION AT THE WORK SITE

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

CONTRACTOR'S NAME: DP Civil, Inc

TELEPHONE NUMBER: 718-309-7701

WORK SITE OR FACILITY NAME: Silver Lake Park

WORK SITE OR FACILITY ADDRESS OR
OTHER IDENTIFYING DESCRIPTION: Nassau County, Baldwin
New York

Brian A Doherty 01/04/2021
Signature Date

Brian Doherty, President Print Name and Title of
Signer

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

BP Civil, Inc

(Individual, Firm or Corporation, as case may be)

Individual's Social Security Number: N/A

Firm or Corporation's Federal ID Number: 84-4165448

Firm or Corporation's Municipal License ID Number: N/A

Municipal Licensing Agency: N/A

By: Brian A. Doherty Date: 01/04/2021

(Print): Brian Doherty Title: President

WHERE BIDDER IS A CORPORATION, ADD

ATTEST:

Brian A. Doherty
Secretary

(CORPORATE)
(SEAL)

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

N/A Being duly sworn, deposes and says: That he is the person described in and who executed the foregoing Bid and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____.

Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

N/A Being duly sworn, deposes and says: That he is a member of _____, the firm described in and which executed the foregoing Bid; that he duly subscribed the name of the firm thereunto on behalf of the firm; and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____.

Notary Public

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Brian Doherty

he resides at

[REDACTED]

Being duly sworn, deposes and says: That

he is the

President

PC of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he affixed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me

This

30

day of

December

20 20

Patricia A. Norris

Notary Public

PATRICIA A. NORRIS

Notary Public, State of New York

No. 01NO6220474

Qualified in Nassau County

Commission Expires April 12, 2022

NO TEXT ON THIS PAGE

CONDITION EXHIBIT 10-1033
COUNTY OF NASSAU, FLORIDA
JULY 1, 2010
NASSAU COUNTY, FLORIDA
PATRICIA A. HARRIS

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 1

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 1

b. as a Subcontractor 1

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--------------------------------------------------

Please see attached

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--------------------------------------------------

Please see attached

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? No
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

NO TEXT ON THIS PAGE

c. ever been declared a non-responsible bidder by any municipality or public agency? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

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- d. that has ever been barred from bidding municipal or public contracts? No

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

NO TEXT ON THIS PAGE

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
----------------------	----------------------------------	----------------------------------------	----------------------------------	------------------------

Please see attached resume

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	-------------------------------------------	---------------------	---------------------

Please see attached Equipment List

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

N/A

(use additional blank sheets if additional space is necessary)

NO TEXT ON THIS PAGE

14. In what manner have you inspected this proposed work?
Explain in detail.

We have thoroughly inspected site location and the proposed work.

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

We will be providing a two week look ahead prior to starting work upon notice of lowest bidder.

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

Brian Doherty, President. Please see attached resume.

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
General Liability	Admiral Insurance company	\$3,000,000.00	6/30/21
Auto Liability	Evanston Insurance company	\$1,000,000.00	07/20/21
Umbrella Liability	Merchants Mutual Insurance	\$1,000,000.00	6/30/21

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18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

NO TEXT ON THIS PAGE

NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU)

Brian Doherty Being duly sworn, deposes and says:
That he resides [REDACTED] Street,
in the City of [REDACTED] is the Resident of

the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he signed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me
this 30 day of December, 2020

Patricia A. Norris
Notary

PATRICIA A. NORRIS
Notary Public, State of New York
No. 01NO6220474

Qualified in Nassau County

Commission Expires April 12, 2022

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU)

N/A Being duly sworn, deposes and says:
That he is a member of
the firm described in and which executed the foregoing bid; that he
duly subscribed the name of the firm hereunto on behalf of the firm;
and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
this day of , 20 .

Notary

(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU)

N/A Being duly sworn, deposes and says:
That he is the person described in and who executed the foregoing bid
and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
this day of , 20 .

Notary

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Notice of Award

NO TEXT ON THIS PAGE

PROPOSAL

MAJOR EQUIPMENT ITEMS: The Bidder shall fill the name and address of the proposed system suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

<u>Specification Number</u>	<u>Description</u>	<u>Manufacturer and/or Supplier</u>
900SS	WATERMAN 72" GATE	QUALITY CONTROL INC
900	WATERMAN 120x88 GATE	QUALITY CONTROL INC
421SS	CHECKMATE 18" GV	KOESTER ASSC.
17A	CONCRETE	ELM
920SS	FISH PASSAGE Baffles	SCOTT + ASSC.
1	FIELD OFFICE TRLR	CASSONE

100.00	100.00	100.00
100.00	100.00	100.00
100.00	100.00	100.00
100.00	100.00	100.00
100.00	100.00	100.00
100.00	100.00	100.00
100.00	100.00	100.00
100.00	100.00	100.00
100.00	100.00	100.00
100.00	100.00	100.00

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APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL
INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

SCHOOL (631) 286-8677
FAX (631) 286-8683

247-C

UNION - P.O. BOX 206, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

December 9, 2020

Re: Local 138 Apprenticeship School (the "Apprenticeship School")
NYS Sponsor # 00422
NYS ATP Code # 18318

PROJECT : S82019-01G GOSR Silver Lake Drainage Improvements

To Whom It May Concern,

This letter serves to confirm that the **Apprenticeship School** currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to the Local 138 Agreement Covering Working Conditions, dated June 1, 2019 to May 31, 2023. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY
BUSINESS MANAGER & TREASURER
CHAIRMAN BOARD OF TRUSTEES
LOCAL 138 APPRENTICESHIP TRAINING FUND

	A	B	C	D	E
1	DP Civil, Inc				
2	315 Orinoco Drive				
3	Bay Shore NY 11706				
4					
5					
6	Projects In Progress 2020				
9	OWNER	DESCRIPTION	Contract Dt	% COMPLETE	CONTRACT AMOUNT
10	LEVITTOWN FIRE DISTRICT	PAVEMENT REHAB / SITE IMPROVEMENTS TO STATION 2 & 3	7/28/2020	95%	\$379,455.10
11	VILLAGE OF ROCKVILLE CENTRE	LIVING WITH THE BAY-MILL RIVER GREENSTREET	12/7/2020	0%	\$473,835.00

DP Civil, Inc
315 Orinoco Drive
Bay Shore NY 11706

Projects Completed

All of our projects have been completed on time, at the date requested.
The owner of each project was more than satisfied. L.E.B. Electric has never asked for an extension of time on any project.
L.E.B. Electric has never had any OSHA violations, arbitration, litigation, liens, or defaulted on any project.
There have been occasional change orders at the direction of the owners. All of the work was performed by our forces.

OWNER	DESCRIPTION	CONTACT	COMPLETION DATE	CONTRACT AMOUNT
Town of Babylon	Straight Path Road Rehabilitation Package	Tom Stay (631) 957-4270	27-May-20	215,000.00
MTA	LIRR Expansion from Floral Park to Hicksville	Edwin Goodalf (347) 216-5428	30-Nov-20	92,845.00



Mr. Doherty holds a Bachelor of Science in Architecture and Construction Management from the New York Institute of Technology. He has been in the heavy construction industry for over twenty years. His qualifications include projects for clients such as NYSDOT, NYCDOT, NJDOT, SCWA, NYCDEP, MTA, LIRR, PANYNJ and Amtrak. Brian specializes in large site excavation, heavy highway, bridge, utility work, support of excavation, concrete, precast, structural steel and tunneling. He is a highly driven individual who always puts the client first and maintains a safe work environment.

New York Institute of Technology – BS Architecture and Construction Management

**DP Civil Inc,
President / Owner 2020**

- We are a heavy civil construction company located in Bay Shore, New York. We service the New York Metropolitan Area. Our services include heavy civil and bridge construction; utility support, directional drilling, excavation, concrete, paving and wastewater infrastructure. We pride ourselves on our people, our safe work environment and our dedication to quality services.

Posillico

Project Superintendent 2019-2020

SCWA Bergen Point Outfall Tunnel (\$190M) Jack Pipe – Segment Tunnel

- Managed Team of (4) Superintendents (3) Project Engineers (5) Foremen across 3 shifts
- Planned – Executed TBM Launch Shaft Concrete Lining – 900 Cubic Yards
- Managed the Assembly of a Herrenknecht Tunnel Boring Machine and Pipe Jack Sled
- Planned - Safely Executed 400 feet of Precast jack pipe tunnel structure – under the Great South Bay
- Implemented the procurement and delivery plan for 20,500 precast segment section of tunnel structure.
- Managed the engineering and planning and execution – Receiving shaft Ground Freeze Excavation

Major Deegan – Cross Bronx Interchange 2018-2019

NYSDOT Design Build Highbridge Three Bridge Interchange (\$125M)

- Managed the Sequenced MPT and phased demolition, structural steel removal and replacement.
- Existing Hammer Head Pier and girder jacking for pier demolition.
- CIP replacement: 6 bridge abutments, 22 Hammer Head Piers ranging from 20 -50 feet tall and various size CIP retaining walls.
- 600 – 9T Precast deck panels, with Ultra High Performance Concrete Joints and bridge steel haunches.
- Utility removal, replacement, 6 miles of PCC road approaches, CIP barrier walls and slip form barrier walls.

Tutor Perini Civil East

Project Superintendent 2015-2018

MTA LIRR Grand Central Terminal Cavern and Track Installation

East Side Access Contract CM007 (\$265M Civil portion of the \$725M Total Contract)

- Coordinated all field operations with 8 superintendents, 4 project engineers, 6 field engineers, 4 safety engineers, and 3 QAQC Engineers.
- Managed 1st, 2nd, and 3rd shift operations labor, teamsters, and other operating engineers.
- Coordinated CM007 contract activities with three other contracts underway throughout the 8 miles of tunnel and 2 three level 1200-foot-long caverns.
- Lead the procurement, delivery, and installation of 2300 cavern precast beams, panels, and platforms.
- Coordinated the installation sequence of 18,000 CY of shotcrete and rebar.
- Managed the project material yards, trucking and delivery to area locations within the tunnels and caverns.
- Coordinated site crane and equipment use, and managed the maintenance of all tunnel heavy equipment.



Hudson Yards Amtrak Tunnel Casing "Cut-Cover" (\$75M)

Hudson Yards MOE LIRR Track Yard Phase 1 (\$45M)

- Lead the project team of 4 Superintendents, 4 Field Engineers, 1 Safety Engineer, and 1 QAQC Engineer.
- Managed all field operations labor, equipment needs and site logistics on all three shifts.
- Managed the temporary steel installation and the 90T Bridge jacking operation.
- Managed the removal and replacement of the existing 11th avenue bridge deck, pier, and barriers.
- Coordinated the installation of jet grout SOE walls, concrete whalers, and tie backs.
- Managed the soil and rock excavation totaling 12,000 CY.
- Managed the track yard construction: installation of 3,200 LF of track, 8 switches, 1,100 tons of ballast, 25 precast utility structures, water lines, drainage lines, and DC power conduit duct banks.
- Developed weekly cost to complete budgets and tracked field projections.
- Developed field milestones and completed on time and within the projected budget.

Project Superintendent 2012-2015

NJDOT Pulaski Skyway Deck Replacement Contracts (\$205M)

- Lead the project team of 6 Superintendents, 3 Field Engineers, 3 Safety Engineers, and 1 QAQC Engineer.
- Worked with the estimating department with project means and methods.
- Contributed to the Safety Executive Team. Walked each project monthly and reviewed project safety.
- Developed safer project work plans and tracked project trends.
- Developed all daily, monthly, and advanced six-month project schedules.
- Coordinated all subcontractor activities: bridge painting, architectural steel, precast fabrication, signage, and deck surface treatments.
- Developed field cost to complete budgets and participated in the monthly and quarterly cost reviews.

Judlau Contracting Inc.

Project Manager 2008-2012

World Trade Center – PANYNJ (\$45M)

- Managed the project field office and all aspects of field operations- including the design build aspects.
- Modified the existing slurry walls for Tower 1 Vent Shaft Penetrations.
- Installed a blast plate protection system on the WTC existing structures, following the tower 1 foundation.
- Managed the PATH track outages for the demolitions phase and construction phase.
- Install new concrete structures: 2 floor slabs, columns, walls for future vent shafts.
- Pursued and managed the execution of over thirty change orders and completed the project within budget.
- Managed project cost and participated in monthly review meetings with the Project Executive.

Project Manager

NYCDEP Owls Head Waste Water Treatment Plant (\$73M)

- Managed all the site civil operations and constructed a four level reinforced concrete mechanical building with an architectural limestone façade.
- Constructed facility loading docks, permanent utilities, access roads and parking lots.
- Managed the installation of the building's mechanical systems: grit and scum separators.
- Installation of large bore and small bore pipe installation, HVAC and electric.
- Coordinated the replacement of HD pumps, grit system pumps, and material conveyor systems.
- Managed all project paperwork, change order negotiations, and scheduling.



Slattery Skanska Inc.,

Project Engineer / Superintendent 2000-2008 (\$200M-\$550M Projects)

- East River Station Con Edison – Superintendent
- NYCDEP Newtown Creek Wastewater Treatment Facility – Superintendent
- NYPA Charles Poletti / 500MW Power Plant – Assistant Superintendent
- KEYSpan Ravenswood 500 MW Power Plant – Project Engineer
- NYPA Glenwood Landing 24MW Power Project – Field Engineer
- JFK ARTC Air Train – Internship Field Engineering

Safety Certificates:

- OSHA 30 OSHA 10,
- NYSTATE Certified MPT Trained 2019
- American Red Cross CPR Trained / First Responder
- Fall Protection Trained - Confined Space Trained
- Lead Awareness Trained- SWAC Certified - TWIC Certified
- NYCTA Track Trained - LIRR Track Trained - Metro North Track Trained - AMTRAK Track Trained,

Eagle Scout- Boy Scouts of America Troop 1-
Flushing New York, 1989- PRESENT as a Volunteer

American Institute of Architects AIA Associate Member

Lifeguard Certified Rescue Swimmer – NYSP Jones Beach Lifeguard Corps.



January 04, 2021

DP Civil, Inc will provide all certifications regarding Distribution of Nassau County Vendor Code of Ethics upon notice of lowest bidder for all prospective lower tier participants.

Thank you for your time in this matter.



January 04, 2021

DP Civil, Inc will provide a completed Section 3 plan upon notice of lowest bidder.

Thank you for your time in this matter.



January 04, 2021

Equipment List:

2008 International Dump Truck -
2017 Ford F-350 Mason Dump Truck
ON ORDER - 2020 Kenworth Roll Off Container Truck w/ 20CY Containers and Flat Beds
2019 Kubota EX88 Excavator w/ Hydraulic Breaker
2019 Kubota S75 Skid Steer w/ 24" and 36" auger
2008 Vermeer Trencher
5" Vermeer Bullet – Trenchless driller
2018 John Deere 710
2017 Tandem Axel 12k Cap. Kaufman Trailer
(1) 2019 Tandem Axel Dual Wheel 19k Cap. Trailer
(2) 2019 Ram 3500 Crew Cab
(1) 2020 Ram 5500
(2) 2018 Ford F-150 Crew Cab
(1) 2008 Sprinter Van
(1) 2010 Isuzu Stake Body Truck
(1) Wanco Arrow Board



January 04, 2021

DP Civil, Inc has acknowledged Addendum No. 1 and included in bid proposal

LABORERS LOCAL UNION NO. 1298 JOINT APPRENTICESHIP TRAINING FUND

NASSAU & SUFFOLK COUNTIES



1161 LOCUST AVENUE
BOHEMIA, N.Y. 11716
Telephone: (631) 218-1376
Fax: (631) 218-1379



FUND ADMINISTRATOR
ANNALISA C. DEFALCO ESQ.

TRAINING DIRECTOR
STEVEN M. AURIGEMA

BOARD OF TRUSTEES
GEORGE F. TRUICKO JR.
GEORGE S. TRUICKO
FRANK DAMIANO JR.
JAMES WINSHIP
FRANK ALBERTO
GREGORY W. COUCH
JASON GOLDEN
MARC HERBST

Re: Road and Heavy Construction Laborers Local #1298
Local 1298 Joint Apprenticeship Training Program
NYS SPONSOR CODE # 01764
NYS ATP CODE # 18514

December 08, 2020

Owner : NCDPW
Description : Silver Lake Drainage Improvements
Contract/Bid No. : S82019-01G GOSR

TO WHOM IT MAY CONCERN;

This letter will serve to confirm that Local 1298 Joint Apprenticeship Training Program currently conducts a fully registered and approved New York State "Apprenticeship Training Program for Skilled Construction Craft Laborers" ("ATP"). The ATP is co-sponsored by Local 1298 and all employers/signatory contractors, including but not limited to DP Civil, Inc., to the Local 1298 Working Agreement Covering Wages and Working Conditions, dated June 1, 2012 to May 31, 2022.

Any additional questions should be directed to my attention.

Fraternally yours,

Steven M. Aurigema
Training Director
Joint Apprenticeship Training Fund

MINORITY, WOMEN'S AND DISADVANTAGED GOALS

The New York State Department of Transportation has established the following Minority Business Enterprise (MBE) and Women's Business Enterprise (WBE) utilization goals for this contract in accordance with §§102-12 of the New York State Standard Specifications. The goals are expressed as a percentage of the total bid price.

For Clarification of Utilization Requirements refer to New York State Specification §102-12

MBE Goal – 15 percent

WBE Goal – 15 percent

EEO Participation Goals for Minority – 5.8%

EEO Participation Goals for Women – 6.9%

Disadvantaged Business Enterprise (DBE) Goal – 0%

Directories and/or information related to the current certification status of Minority and Women's Enterprises, can be obtained by contacting the:

New York State Department of Economic Development
Division of Minority and Women's Business Development
One Commerce Plaza
Albany, New York 12223
(518) 473-6442

Minority/Women's Business Enterprise Officer

The Bidder shall designate and enter below the name of a Minority/Women's Business Enterprise Officer who will have the responsibility for and must be capable of effectively administering and promoting an active Minority/Women's Business Enterprise Program and who must be assigned adequate authority and responsibility to do so.

Bidder Designated D/M/WBE

Officer

Brian Doherty Brian Doherty, President
(Name, Title)

Telephone Number 718-309-7701

RETURN THIS PAGE WITH BID

M/WBE UTILIZATION PLAN

INSTRUCTIONS: This form is submitted at the time of bid or RFP submittal, as outlined in procurement submission instructions. This Utilization Plan must contain a detailed description of the supplies and/or services to be provided by each certified Minority and Women-owned Business Enterprise (M/WBE) under the contract. Attach additional sheets if necessary.

Subrecipient Name:	NASSAU COUNTY DPW	Project Name:	GSR SILVERLAKE DRAIN
Offeror's Name:	DP CIVIL INC	Federal ID Number:	IMPROVEMENT
Address:	315 BRUNOCO DRIVE	Contract Number (if applicable):	S82019-016
City State & Zip Code:	BAY SHORE NY 11706	Phone:	
Location of Work:	BAUDWIN, NY.		

MWBE Target Goal			Proposed MWBE Participation		
Category	Percentage	Amount	Category	Percentage	Amount
MBE:	15	\$ 292,700.00	MBE:	17	\$ 335,000.00
WBE:	15	\$ 292,700.00	WBE:	6	\$ 125,000.00
Totals:	30	\$ 585,401.00	Totals:	23	\$ 460,000.00

Contractor Use:		DP CIVIL INC
Name of Preparer:	BRIAN DOHERTY	
Name of Approver:	BRIAN DOHERTY	
Authorized Signature:	<i>Brian Doherty</i>	
Date:	1-04-2021	
Email:	BDOHERTY@DP-CIVIL.COM	
Phone:	718 309 7701	

1. Certified M/WBE Subcontractors/Suppliers Information:				Classification NYS-ESD Certified (Choose One Only)		Federal ID No. :	Detailed Description of Work:	Dollar Value of Subcontractors/S upplies/Services	Intended performance dates on each component of the contract					
		MBE	WBE											
A	Name: SWEET HOLLOW Address: 1-07 SWAB RD Email: SWEETHOLLOWINC.COM Phone: 631-427-2350			X		LANDSCAPE PLANTINGS TREE REMOVAL	95,000.00							
B	Name: COMMERCIAL FENCE Address: MIDDLE ISLAND Email: EDK@COMMERCIALFENCE.COM Phone: 631-821-4587				SECTION III				FENCE ITEMS	210,000 --				
C	Name: DU-ALL IN D Address: RIVER HEAD NY Email: COAK21@AOL.COM Phone: 631-245-3034		X									CONCRETE CURBS PAVEMENT SIDEWALK	125,000 --	
D	Name: Contractor Layout Address: Melville NY Email: DARYL@CONTRACTORSLAYOUT.COM Phone: 631-218-0334		X											

Jack Schnirman
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: D. P. Civil Inc.

CONTRACTOR ADDRESS: 315 Orinoco Drive, Bay Shore NY 11706

FEDERAL TAX ID #: 844165448

Instructions: Please check the appropriate box (“☒”) after one of the following roman numerals, and provide all the requested information.

I. ☒ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in Newsday [newspaper] on December 2, 2020 [date]. The sealed bids were publicly opened on January 5, 2021 [date]. 7 [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____[date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

_____[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☒ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☒ **A.** The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ **B.** The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ **A.** There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ **B.** The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ **C.** Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ **D.** Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☒ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41*, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.



Department Head Signature

3/9/21

Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Brian Doherty state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Disclosures

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.

Name of Submitting Entity: DP Civil, Inc.

Vendor's Address: 315 Orinoco Drive Bay Shore NY US 11706

Vendor's EIN or TIN: 84-4165448

Forms Submitted: _____

Political Campaign Contribution Disclosure Form:
01/27/2021 07:16:12 AM

Lobbyist Registration and Disclosure Form:
01/27/2021 07:16:22 AM

Business History Form certified:
01/27/2021 09:08:24 AM

Consultant's, Contractor's, and Vendor's Disclosure Form:
01/27/2021 08:35:36 AM

Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

Principal Name	Date Certified
Brian Doherty [BDOHERTY@DP-CIVIL.COM]	01/27/2021 08:24:25 AM

I, Brian Doherty hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

Brian Doherty

Name

President

Title

DP Civil, Inc.

Name of Submitting Entity

01/27/2021 09:12:36 AM

Date



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee?

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:
Brian Doherty [BDOHERTY@DP-CIVIL.COM]

Dated: 01/27/2021 07:16:12 AM

Vendor: DP Civil Inc

Title: President



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

N/A - None

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A - None

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

N/A - None

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See the last page for a complete description of lobbying activities.

N/A - None

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

N/A - None

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby. separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee? If none, you must so state:

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:
Brian Doherty [BDOHERTY@DP-CIVIL.COM]

Dated: 01/27/2021 07:16:22 AM

Vendor: DP Civil Inc

Title: President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses. attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: William Parker
Date of birth: [REDACTED]
[REDACTED] [REDACTED] [REDACTED] [REDACTED] [REDACTED]
Country: US

Business Address: 315 Orinoco Drive
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706
Country: US
Telephone: 7183097701

Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>01/06/2020</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

50% Equity

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, William Parker , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, William Parker , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

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DP Civil

Name of submitting business

Electronically signed and certified at the date and time indicated by:

William Parker [BPARKER@DP-CIVIL.COM]

Vice President

Title

03/22/2021 07:03:29 AM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Brian Doherty
Date of birth: [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
Country: US

Business Address: 315 Orinoco Drive
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706
Country: US
Telephone: 7183097701

Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u>01/06/2020</u>	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>01/06/2020</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

50% Equity

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?
YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Brian Doherty , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Brian Doherty , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

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DP Civil Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Brian Doherty [BDOHERTY@DP-CIVIL.COM]

President

Title

03/08/2021 09:03:02 AM

Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 03/12/2021

1) Proposer's Legal Name: DP Civil, Inc.

2) Address of Place of Business: 315 Orinoco Drive

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

3) Mailing Address (if different): _____

City: _____ State/Province/Territory: _____ Zip/Postal Code: _____

Country: _____

Phone: _____

Does the business own or rent its facilities? Both If other, please provide details:

4) Dun and Bradstreet number: 117782994

5) Federal I.D. Number: 84-4165448

6) The proposer is a: Corporation (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?

YES ☐ NO ☒ If yes, please provide details:

8) Does this business control one or more other businesses?

YES ☐ NO ☒ If yes, please provide details:

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

YES ☐ NO ☒ If yes, please provide details:

- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?
YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).
- 11) Has the proposer, during the past seven years, been declared bankrupt?
YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
a) Any felony charge pending?
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an

element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

- 17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

- b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

DP Civil, Inc. will follow any and all guidelines that Nassau County has to assure that conflict of interest would never exist.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

- i) Date of formation;

01/06/2020

- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

Brian Doherty - President 50%
William Parker - Vice President 50%

No individuals with a financial interest in the company have been attached..

- iii) Name, address and position of all officers and directors of the company. If none, explain.

Brian Doherty - President - [REDACTED]
William Parker - Vice President - [REDACTED]

No officers and directors from this company have been attached.

- iv) State of incorporation (if applicable);

NY

- v) The number of employees in the firm;

20

- vi) Annual revenue of firm;

1000000

- vii) Summary of relevant accomplishments

Please see attached

1 File(s) Uploaded: DP Civil_Brian_Doherty_resume.pdf

- viii) Copies of all state and local licenses and permits.

B. Indicate number of years in business.

1

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

Supply upon request.

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Atlantic Coast Dock Contractors

Contact Person Thomas McEvilly

Address 71 Alder Drive

City Mastic Beach State/Province/Territory NY

Country US

Telephone (631) 281-0041

Fax # (631) 842-0535

E-Mail Address tom@atcodock.com

Company L.E.B. Electric, LTD.

Contact Person Peter Mikolajuk

Address 85 Ralph Ave

City Copiague State/Province/Territory NY

Country US

Telephone (631) 375-9658

Fax #

E-Mail Address pm@lebelectric.com

Company Town of Babylon

Contact Person Thomas Stay

Address 315 Orinoco Drive

City Bay Shore State/Province/Territory NY

Country US

Telephone (631) 957-7343

Fax #

E-Mail Address tstay@townofbabylon.com

I, Brian Doherty , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Brian Doherty , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

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Name of submitting business: DP Civil, Inc.

Electronically signed and certified at the date and time indicated by:
Brian Doherty [BDOHERTY@DP-CIVIL.COM]

President
Title

03/12/2021 01:59:44 PM
Date



Mr. Doherty holds a Bachelor of Science in Architecture and Construction Management from the New York Institute of Technology. He has been in the heavy construction industry for over twenty years. His qualifications include projects for clients such as NYSDOT, NYCDOT, NJDOT, SCWA, NYCDEP, MTA, LIRR, PANYNJ and Amtrak. Brian specializes in large site excavation, heavy highway, bridge, utility work, support of excavation, concrete, precast, structural steel and tunneling. He is a highly driven individual who always puts the client first and maintains a safe work environment.

New York Institute of Technology – BS Architecture and Construction Management

DP Civil Inc, President / Owner 2020

- We are a heavy civil construction company located in Bay Shore, New York. We service the New York Metropolitan Area. Our services include heavy civil and bridge construction; utility support, directional drilling, excavation, concrete, paving and wastewater infrastructure. We pride ourselves on our people, our safe work environment and our dedication to quality services.

Posillico

Project Superintendent 2019-2020

SCWA Bergen Point Outfall Tunnel (\$190M) Jack Pipe – Segment Tunnel

- Managed Team of (4) Superintendents (3) Project Engineers (5) Foremen across 3 shifts
- Planned – Executed TBM Launch Shaft Concrete Lining – 900 Cubic Yards
- Managed the Assembly of a Herrenknecht Tunnel Boring Machine and Pipe Jack Sled
- Planned - Safely Executed 400 feet of Precast jack pipe tunnel structure – under the Great South Bay
- Implemented the procurement and delivery plan for 20,500 precast segment section of tunnel structure.
- Managed the engineering and planning and execution – Receiving shaft Ground Freeze Excavation

Major Deegan – Cross Bronx Interchange 2018-2019

NYSDOT Design Build Highbridge Three Bridge Interchange (\$125M)

- Managed the Sequenced MPT and phased demolition, structural steel removal and replacement.
- Existing Hammer Head Pier and girder jacking for pier demolition.
- CIP replacement: 6 bridge abutments, 22 Hammer Head Piers ranging from 20 -50 feet tall and various size CIP retaining walls.
- 600 – 9T Precast deck panels, with Ultra High Performance Concrete Joints and bridge steel haunches.
- Utility removal, replacement, 6 miles of PCC road approaches, CIP barrier walls and slip form barrier walls.

Tutor Perini Civil East

Project Superintendent 2015-2018

MTA LIRR Grand Central Terminal Cavern and Track Installation

East Side Access Contract CM007 (\$265M Civil portion of the \$725M Total Contract)

- Coordinated all field operations with 8 superintendents, 4 project engineers, 6 field engineers, 4 safety engineers, and 3 QAQC Engineers.
- Managed 1st, 2nd, and 3rd shift operations labor, teamsters, and other operating engineers.
- Coordinated CM007 contract activities with three other contracts underway throughout the 8 miles of tunnel and 2 three level 1200-foot-long caverns.
- Lead the procurement, delivery, and installation of 2300 cavern precast beams, panels, and platforms.
- Coordinated the installation sequence of 18,000 CY of shotcrete and rebar.
- Managed the project material yards, trucking and delivery to area locations within the tunnels and caverns.
- Coordinated site crane and equipment use, and managed the maintenance of all tunnel heavy equipment.



Hudson Yards Amtrak Tunnel Casing “Cut-Cover” (\$75M)

Hudson Yards MOE LIRR Track Yard Phase 1 (\$45M)

- Lead the project team of 4 Superintendents, 4 Field Engineers, 1 Safety Engineer, and 1 QAQC Engineer.
- Managed all field operations labor, equipment needs and site logistics on all three shifts.
- Managed the temporary steel installation and the 90T Bridge jacking operation.
- Managed the removal and replacement of the existing 11th avenue bridge deck, pier, and barriers.
- Coordinated the installation of jet grout SOE walls, concrete whalers, and tie backs.
- Managed the soil and rock excavation totaling 12,000 CY.
- Managed the track yard construction: installation of 3,200 LF of track, 8 switches, 1,100 tons of ballast, 25 precast utility structures, water lines, drainage lines, and DC power conduit duct banks.
- Developed weekly cost to complete budgets and tracked field projections.
- Developed field milestones and completed on time and within the projected budget.

Project Superintendent 2012-2015

NJDOT Pulaski Skyway Deck Replacement Contracts (\$205M)

- Lead the project team of 6 Superintendents, 3 Field Engineers, 3 Safety Engineers, and 1 QAQC Engineer.
- Worked with the estimating department with project means and methods.
- Contributed to the Safety Executive Team. Walked each project monthly and reviewed project safety.
- Developed safer project work plans and tracked project trends.
- Developed all daily, monthly, and advanced six-month project schedules.
- Coordinated all subcontractor activities: bridge painting, architectural steel, precast fabrication, signage, and deck surface treatments.
- Developed field cost to complete budgets and participated in the monthly and quarterly cost reviews.

Judlau Contracting Inc.

Project Manager 2008-2012

World Trade Center – PANYNJ (\$45M)

- Managed the project field office and all aspects of field operations- including the design build aspects.
- Modified the existing slurry walls for Tower 1 Vent Shaft Penetrations.
- Installed a blast plate protection system on the WTC existing structures, following the tower 1 foundation.
- Managed the PATH track outages for the demolitions phase and construction phase.
- Install new concrete structures: 2 floor slabs, columns, walls for future vent shafts.
- Pursued and managed the execution of over thirty change orders and completed the project within budget.
- Managed project cost and participated in monthly review meetings with the Project Executive.

Project Manager

NYCDEP Owls Head Waste Water Treatment Plant (\$73M)

- Managed all the site civil operations and constructed a four level reinforced concrete mechanical building with an architectural limestone façade.
- Constructed facility loading docks, permanent utilities, access roads and parking lots.
- Managed the installation of the building's mechanical systems: grit and scum separators.
- Installation of large bore and small bore pipe installation, HVAC and electric.
- Coordinated the replacement of HD pumps, grit system pumps, and material conveyor systems.
- Managed all project paperwork, change order negotiations, and scheduling.



Slattery Skanska Inc.,

Project Engineer / Superintendent 2000-2008 (\$200M-\$550M Projects)

- East River Station Con Edison – Superintendent
- NYCDEP Newtown Creek Wastewater Treatment Facility – Superintendent
- NYPA Charles Poletti / 500MW Power Plant – Assistant Superintendent
- KEYSpan Ravenswood 500 MW Power Plant – Project Engineer
- NYPA Glenwood Landing 24MW Power Project – Field Engineer
- JFK ARTC Air Train – Internship Field Engineering

Safety Certificates:

- OSHA 30 OSHA 10,
- NYSTATE Certified MPT Trained 2019
- American Red Cross CPR Trained / First Responder
- Fall Protection Trained - Confined Space Trained
- Lead Awareness Trained- SWAC Certified - TWIC Certified
- NYCTA Track Trained - LIRR Track Trained - Metro North Track Trained - AMTRAK Track Trained,

Eagle Scout- Boy Scouts of America Troop 1-
Flushing New York, 1989- PRESENT as a Volunteer

American Institute of Architects AIA Associate Member

Lifeguard Certified Rescue Swimmer – NYSP Jones Beach Lifeguard Corps.

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: DP Civil Inc.

Address: 315 Orinoco Drive

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

2. Entity's Vendor Identification Number: 84-4165448

3. Type of Business: Public Corp (specify) _____

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

1 File(s) uploaded 2 - Board of Directors.pdf

No principals have been attached to this form.

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

Brian Doherty - 50%

William Parker - 50%

No shareholders, members, or partners have been attached to this form.

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

None.

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES ☐ NO ☒

(a) Name, title, business address and telephone number of lobbyist(s):

N/A

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

N/A

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

Brian Doherty [BDOHERTY@DP-CIVIL.COM]

Dated: 01/27/2021 08:35:36 AM

Title: President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

1/27/2021

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Borg Risk Management Services, Inc 148 East Main Street Huntington NY 11743	CONTACT NAME PHONE (A/C, No., Ext) 631-673-7600 FAX (A/C, No) E-MAIL ADDRESS insurance@borgborg.com														
INSURED DP Civil Inc. 315 Orinoco Dr. Bay Shore NY 11706	License#: PC-648965 DPCIVIL-01 <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%;">INSURER(S) AFFORDING COVERAGE</th> <th style="width: 20%;">NAIC #</th> </tr> </thead> <tbody> <tr> <td>INSURER A Admiral Insurance Company</td> <td>24856</td> </tr> <tr> <td>INSURER B Evanston Insurance Company</td> <td>35378</td> </tr> <tr> <td>INSURER C Merchants Mutual Insurance</td> <td>23329</td> </tr> <tr> <td>INSURER D</td> <td></td> </tr> <tr> <td>INSURER E</td> <td></td> </tr> <tr> <td>INSURER F</td> <td></td> </tr> </tbody> </table>	INSURER(S) AFFORDING COVERAGE	NAIC #	INSURER A Admiral Insurance Company	24856	INSURER B Evanston Insurance Company	35378	INSURER C Merchants Mutual Insurance	23329	INSURER D		INSURER E		INSURER F	
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INSURER E															
INSURER F															

COVERAGES
CERTIFICATE NUMBER: 586013259
REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS														
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE L MIT APPL ES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:	Y	Y	CA000038835-01	6/30/2020	6/30/2021	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>EACH OCCURRENCE</td><td>\$ 1,000,000</td></tr> <tr><td>DAMAGE TO RENTED PREMISES (Ea occurrence)</td><td>\$ 300,000</td></tr> <tr><td>MED EXP (Any one person)</td><td>\$ 5,000</td></tr> <tr><td>PERSONAL & ADV INJURY</td><td>\$ 1,000,000</td></tr> <tr><td>GENERAL AGGREGATE</td><td>\$ 2,000,000</td></tr> <tr><td>PRODUCTS - COMP/OP AGG</td><td>\$ 2,000,000</td></tr> <tr><td></td><td>\$</td></tr> </table>	EACH OCCURRENCE	\$ 1,000,000	DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 300,000	MED EXP (Any one person)	\$ 5,000	PERSONAL & ADV INJURY	\$ 1,000,000	GENERAL AGGREGATE	\$ 2,000,000	PRODUCTS - COMP/OP AGG	\$ 2,000,000		\$
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	\$																				
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCR PTION OF OPERATIONS below	Y/N	N/A				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>PER STATUTE</td> <td>OTH-ER</td> </tr> <tr><td>E.L. EACH ACC DENT</td><td>\$</td></tr> <tr><td>E.L. DISEASE - EA EMPLOYEE</td><td>\$</td></tr> <tr><td>E.L. DISEASE - POLICY LIMIT</td><td>\$</td></tr> </table>	PER STATUTE	OTH-ER	E.L. EACH ACC DENT	\$	E.L. DISEASE - EA EMPLOYEE	\$	E.L. DISEASE - POLICY LIMIT	\$						
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E.L. EACH ACC DENT	\$																				
E.L. DISEASE - EA EMPLOYEE	\$																				
E.L. DISEASE - POLICY LIMIT	\$																				

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
 Certificate holder is an additional insured to the fullest extent permitted by law when required by a written executed contract.

CERTIFICATE HOLDER
CANCELLATION

Nassau County Dept of Public Works
 1194 Prospect Avenue
 Westbury NY 11590

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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CERTIFICATE OF INSURANCE COVERAGE DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

PART 1. To be completed by Disability and Paid Family Leave Benefits Carrier or Licensed Insurance Agent or that Carrier

1a. Legal Name and Address of Insured (Use street address only)
DP Civil Inc.
315 Orinoco Dr.
Bay Shore, NY 11706

Work Location of Insured (Only required if specifically limited to certain locations in New York State, i.e. a Wrap-Up Policy)

1b. Business Telephone Number of Insured
(516) 250-1929

1c. Federal Employer Identification Number or Social Security Number
84-4165448

2. Name and Address of Entity Requesting Proof of Coverage
(Entity Being Listed as Certificate Holder)

Nassau County Dept of Public Works
1194 Prospect Avenue
Westbury NY 11590

3a. Name of Insurance Carrier
Shelter Point Insurance Co.

3b. Policy Number of entity listed in box "1a": **DBL619430**

3c. Policy effective period: **7/31/2020 to 7/31/2021**

4. Policy provides the following benefits:

- ☒ A. All for the employer's employees eligible under the New York Disability Law
☐ B. Only the following class or classes of employer's employees:
☐ C. Paid family leave benefits only

5. Policy covers:

- ☒ A. All of the employer's employees eligible under the NYS Disability and Paid Family Leave Benefits Law
☐ B. Only the following class or classes of employer's employees:

Under penalty of perjury, I certify that I am an authorized representative or licensed agent of the insurance carrier referenced above and that the named insured has NYS Disability Benefits insurance coverage as described above.

Date Signed January 27, 2021

By: David M Borg
(Signature of insurance carrier's authorized representative or NYS Licensed Insurance Agent of that insurance carrier)

Telephone No. 631 673 7600

Name and Title: President

IMPORTANT: If box 4a is checked, and this form is signed by the insurance carrier's authorized representative or NYS Licensed Insurance Agent of that carrier, this certificate is COMPLETE. Mail it directly to the certificate holder.

If box "4b" is checked, this certificate is NOT COMPLETE for the purposes of Section 220, Sub. 8 of the Disability Benefits Law. It must be mailed for completion to the Workers' Compensation Board, DB Plans Acceptance Unit, 328 State Street, Schenectady, New York 12305

PART 2. To be completed by the NYS Workers Compensation Board (Only if Box 4C or 5B of Part 1 has been checked)

**State of New York
Workers' Compensation Board**

According to information maintained by the NYS Workers' Compensation Board, the above-named-insured employer has complied with the NYS Disability Benefits Law with respect to all or his/her employees.

Date Signed _____ By _____
(Signature of NYS Workers' Compensation Board Employee)

Telephone No. _____ Title: _____

Please Note: Only insurance carriers licensed to write NYS disability benefits insurance policies and NYS license insurance agents of those insurance carriers are authorized to issue Form DB-120.1. **Insurance Brokers are not authorized to issue this form.**
DB-120.1 (10-17)

Additional Instructions for Form DB-120.1

By signing this form, the insurance carrier identified in box "3" on this form is certifying that it is insuring the business referenced in box "1a" for disability and/or paid family leave benefits under the New York State Disability and Paid Family

Leave Benefits Law. The Insurance Carrier or its licensed agent will send this Certificate of Insurance to the entity listed as the certificate holder in box "2'.

The insurance carrier must notify the above certificate holder and the Workers Compensation Board within 10 days IF a policy is cancelled due to nonpayment of premiums or within 30 days IF there are reasons other than nonpayment of premiums that cancel the policy or eliminate the insured from coverage indicated on this Certificate. (These notices may be sent by regular mail.) Otherwise, this Certificate is valid for one year after this form is approved by the insurance carrier or its licensed agent, or until the policy expiration date listed in Box 3c, whichever is earlier.

This certificate is issued as a matter of information only and confers no rights upon the certificate holder. This certificate does not amend, extend or alter the coverage afforded by the policy listed, nor does it confer any rights or responsibilities beyond those contained in the referenced policy.

This certificate may be used as evidence of a Disability and/or Paid Family Leave Benefits contract of insurance only while the underlying policy is in effect.

Please Note: Upon the cancellation of the disability and/or paid family leave benefits policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of NYS Disability and/or Paid Family Leave Benefits Coverage or other authorized proof that the business is complying with the mandatory coverage requirements of the New York State Disability and Paid Family Leave Benefits Law.

DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

220. Subd. 8

(a) The head of a state or municipal department, board, commission or office authorized or required by law to issue any permit for or in connection with any work involving the employment of employees in employment as defined in this article, and notwithstanding any general or special statute requiring or authorizing the issue of such permits, shall not issue such permit unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand and twenty-one, the payment of family leave benefits for all employees has been secured as provided by this article. Nothing herein, however, shall be construed as creating any liability on the part of such state or municipal department, board, commission or office to pay any disability benefits to any such employee if so employed.

(b) The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in employment as defined in this article and notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits, and after January first, two thousand eighteen, the payment of family leave benefits has been secured as provided by this article.

CERTIFICATE OF WORKERS' COMPENSATION INSURANCE

^ ^ ^ ^ ^ ^ ^ 844165448
BORG & BORG INC
148 E MAIN ST
HUNTINGTON NY 11743



SCAN TO VALIDATE
AND SUBSCRIBE

POLICYHOLDER DP CIVIL INC. 315 ORINOCO DRIVE BAY SHORE NY 11706		CERTIFICATE HOLDER NASSAU COUNTY DEPT OF PUBLIC WORKS 1194 PROSPECT AVENUE WESTBURY NY 11590	
POLICY NUMBER I2519 873-0	CERTIFICATE NUMBER 27767	POLICY PERIOD 07/31/2020 TO 07/31/2021	DATE 1/27/2021

THIS IS TO CERTIFY THAT THE POLICYHOLDER NAMED ABOVE IS INSURED WITH THE NEW YORK STATE INSURANCE FUND UNDER POLICY NO. 2519 873-0, COVERING THE ENTIRE OBLIGATION OF THIS POLICYHOLDER FOR WORKERS' COMPENSATION UNDER THE NEW YORK WORKERS' COMPENSATION LAW WITH RESPECT TO ALL OPERATIONS IN THE STATE OF NEW YORK, EXCEPT AS INDICATED BELOW, AND, WITH RESPECT TO OPERATIONS OUTSIDE OF NEW YORK, TO THE POLICYHOLDER'S REGULAR NEW YORK STATE EMPLOYEES ONLY.

IF YOU WISH TO RECEIVE NOTIFICATIONS REGARDING SAID POLICY, INCLUDING ANY NOTIFICATION OF CANCELLATIONS, OR TO VALIDATE THIS CERTIFICATE, VISIT OUR WEBSITE AT [HTTPS://WWW.NYSIF.COM/CERT/CERTVAL.ASP](https://www.nysif.com/cert/certval.asp). THE NEW YORK STATE INSURANCE FUND IS NOT LIABLE IN THE EVENT OF FAILURE TO GIVE SUCH NOTIFICATIONS.

THIS POLICY DOES NOT COVER CLAIMS OR SUITS THAT ARISE FROM BODILY INJURY SUFFERED BY THE OFFICERS OF THE INSURED CORPORATION.

PRESIDENT
BRIAN DOHERTY
VICE PRESIDENT
WILLIAM PARKER
DP CIVIL INC
TWO PERSON CORP

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS NOR INSURANCE COVERAGE UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICY.

NEW YORK STATE INSURANCE FUND

DIRECTOR, INSURANCE FUND UNDERWRITING

VALIDATION NUMBER: 235848879

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Office of the County Executive
Att: Brian J. Schneider, Deputy County Executive

FROM: Department of Public Works

DATE: February 10, 2021

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: S82019-01G

Title: Silver Lake Drainage Improvements

Engineer's Estimate: \$1,716,858.00

Bids Received On: January 5, 2021

The bids received for the above referenced contract have been examined and the bid submitted by DP Civil, Inc. in the amount of \$1,951,337.00 is acceptable as the lowest bid (see the attached bid tabulation and bid analysis).

The low bid by DP Civil, Inc. is above the engineer's estimate and adequate funds will be available (Capital Project Number 82019).

If you approve or disapprove of the above request, please signify below, and return this memo to this office for appropriate action.



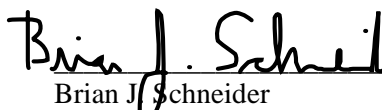
Kenneth G. Arnold
Commissioner

KGA:SS:jd
Attachments

c: Sean Sallie, Deputy Commissioner
Rakhal Maitra, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Richard Iadevaio, Jr., Superintendent of Highway and Drainage Construction
Joseph Cuomo, Planner II, Project Manager
CariAnn Palmese, Program Manager, Armand Corporation

APPROVED:

DISAPPROVED:

 02/17/2021

Brian J. Schneider Date
Deputy County Executive

Brian J. Schneider Date
Deputy County Executive



REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACTPART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC☐ RFQ☐ RFP☒ RFBC☐ In-House or Requirements Work OrderProject Title: Construction of Silver Lake Drainage ImprovementsDepartment: Public Works Project Manager: Joseph Cuomo Date: 3/11/2020Service Requested: Construction Services

Justification: The work involves the following: Elevate perimeter walkway of Silver Lake to Elevation 5.0' Install Tide Gates on Silver Lake outfalls. Provide a fish passage to Caroline's Pond. This is a GOSR funded project.

Requested by: Department of Public Works Department/Agency/OfficeProject Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment): \$1,765,000.00
Circle appropriate phaseTotal Project Cost: \$2,395,891.25

Includes, design, construction and CM

Date Start Work: January, 2021

Phase being requested

Duration: 9 months

Phase being requested

Capital Funding Approval: YES ☐ NO ☐

SIGNATURE

DATE

Funding Allocation (Capital Project): 82019See Attached Sheet if multiyear ☐

NIFS Entered:

SIGNATURE

DATE

AIM Entered:

SIGNATURE

DATE

Funding Code: 82019

use this on all encumbrances

Timesheet Code:

use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐

Supplemental Environmental Documentation

Department Head Approval:

YES

☒

NO

☐

SIGNATURE

DCE/Ops Approval:

YES

☐

NO

☐

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval:

YES

NO

Signature _____

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Joseph Cuomo, Planner II

FROM: Office of the Commissioner

DATE: March 11, 2020

SUBJECT: CSEA Sub-Contracting Approval
C20-039 – Silver Lake Drainage Improvements GOSR Project

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. Pursuant to Section 32-3 of the CSEA/County CBA, CSEA has withdrawn its objection to the above-referenced contract known as **C20-039**.

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions, please speak with Jonathan Lesman.



Roseann D'Alleva
Deputy Commissioner

RD:las

c: Sean Sallie, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Jonathan Lesman, Management Analyst II



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, Executive Vice President

FROM: Department of Public Works

DATE: March 10, 2020

SUBJECT: CSEA Notification of a proposed DPW Contract
Silver Lake Drainage Improvements – GOSR Project

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

Please refer to CSEA subcontracting approval memo C17-076 dated December 7, 2018. As per the above approval memo, the County shall notify CSEA of each aspect of work being done under this agreement.

1. The work involves the following:

Elevate perimeter walkway of Silver Lake to Elevation 5.0' Install Tide Gates on Silver Lake outfalls. Install a stormwater treatment device between Lofts Pond and the Natural Channel of Parsonage Creek. Provide a fish passage to Caroline's Pond. This is a GOSR funded project.

2. An estimate of the cost is: \$1,781,019.63
3. An estimate of the duration is: Six (6) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days, to: Department of Public Works, Att: Roseann D'Alleva, Deputy Commissioner, telephone 571-9607, fax 571-9657.



Roseann D'Alleva
Deputy Commissioner

RD:SS:ac

- c: Christopher Nicolino, Director, Office of Labor Relations
Sean Sallie, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Christopher Yansick, Unit Head, Financial Management Unit
Diane Pyne, Unit Head, Human Resources Unit
Joseph Cuomo, Planner II
CariAnn Palmese, Program Manager



U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

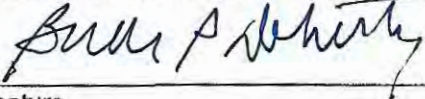
(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Brian Doherty - President

01/27/2021

Name and Title of Authorized Representative

m/d/yy



Signature

01/27/2021

Date

DP Civil, Inc.

Name of Organization

315 Orinoco Drive Bay Shore, NY 11706

Address of Organization

M/WBE UTILIZATION PLAN

INSTRUCTIONS: This form is submitted at the time of bid or procurement submission, or at the time of contract execution, or within a reasonable time thereafter as outlined in procurement submission instructions. This Utilization Plan must contain a detailed description of the supplies and/or services to be provided by each certified Minority and Women-owned Business Enterprise (M/WBE) under the contract. Attach additional sheets if necessary.

Subrecipient Name:		Project Name:	
Offeror's Name:		Federal ID Number:	
Address:		Contract Number (if applicable):	
City State & Zip Code:		Phone:	
Location of Work:			

M/WBE Target Goal				Proposed M/WBE Participation			
Category	Percentage		Amount	Category	Percentage		Amount
MBE:	15	%	\$ 292,700.25	MBE:	10	%	\$ 177,485.00
WBE:	15	%	\$ 292,700.25	WBE:	11	%	\$ 194,062.00
Totals:	30	%	\$ 585,401.00	Totals:	21	%	\$ 371,547.00

1. Certified M/WBE Subcontractors/Suppliers Information:		Classification NYS-ESD Certified (Choose One Only)		Federal ID No. :	Detailed Description of Work:	Dollar Value of Subcontractors/Supplies/Services	Intended performance dates on each component of the contract
		MBE	WBE				
A	Name:						
	Address:						
	Email:						
	Phone:						
B	Name:						
	Address:						
	Email:						
	Phone:						
C	Name:						
	Address:						
	Email:						
	Phone:						
D	Name:						
	Address:						
	Email:						
	Phone:						

Contractor Use:	
Name of Preparer:	
Authorized Signature:	
Date:	
Email:	
Phone:	