



Certified:

**B-12-21**

Filed with  
Clerk of Nassau County Legislature  
April 1, 2021 1:23PM

**NIFS ID:S82015-01G**

**Department: Public Works**

**Capital: X**

SERVICE: GOSR-FiveTowns Drain Imprv Cedarhurst-S82015-01G-B12-21

Contract ID #:S82015-01G

NIFS Entry Date:

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	N
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Material Adverse Information Identified? (if yes, attach memo):	N
5) Insurance Required	Y

<b>Vendor Info:</b>	
Name: <b>Mace Contracting Corp.</b>	Vendor ID#: [REDACTED]
Address: 35 Portman Rd	Contact Person: [REDACTED]
New Rochelle, NY 10801	
	Phone [REDACTED]

<b>Department:</b>
Contact Name: Joe Cuomo
Address: Nassau County DPW
1194 Prospect Ave
Westbury, NY 11580
Phone: 516-571-9489

## Routing Slip

Department	NIFS Entry: X	22-MAR-21 -- KARNOLD
Department	NIFS Approval: X	23-MAR-21 -- KARNOLD
DPW	Capital Fund Approved: X	23-MAR-21 -- KARNOLD
OMB	NIFA Approval: X	25-MAR-21 -- CNOLAN
OMB	NIFS Approval: X	24-MAR-21 -- NGUMIENIAK
County Atty.	Insurance Verification: X	23-MAR-21 -- DGREGWARE
County Atty.	Approval to Form: X	23-MAR-21 -- DGREGWARE
CPO	Approval: X	25-MAR-21 -- KOHAGEN

<b>DCEC</b>	<b>Approval: X</b>	<b>30-MAR-21 -- JCHIARA</b>
<b>Dep. CE</b>	<b>Approval: X</b>	<b>30-MAR-21 -- BSCHNEIDER</b>
<b>Leg. Affairs</b>	<b>Approval/Review: X</b>	<b>31-MAR-21 -- JSCHANTZ</b>
<b>Legislature</b>	<b>Approval:</b>	
<b>Comptroller</b>	<b>Deputy:</b>	
<b>NIFA</b>	<b>NIFA Approval:</b>	

## Contract Summary

<b>Purpose:</b> The principal features of the work to be performed under this project consists of construction of a new stormwater pumping station with two submersible propeller pumps, installation of tidal check valves, and installation of storm drain piping to and from the pump station in the Village of Cedarhurst.
<b>Method of Procurement:</b> RFQ issued 12/3/20 - 8 bids received
<b>Procurement History:</b> Bid was advertised in Newsday, NYSCR, Nassau County eProcure and GOSR website on December 3, 2020. NCDPW issued a bid due on January 5th 2021 for the GOSR Five Towns Drainage Improvement: Cedarhurst Pump Station Project. 8 bids were submitted, Mace Contracting Corp. submitted the lowest bid.
<b>Description of General Provisions:</b> Installation of a pump station that would reduce the frequency and severity of flooding in the Village of Cedarhurst.
<b>Impact on Funding / Price Analysis:</b> Contract amount is \$2,150,000.00 - GOSR Funded portion is \$1,971,246.87 the remainder will be funded by County capital project 35131. Vendor is MBE and WBE utilization rate is 15%.
<b>Change in Contract from Prior Procurement:</b> N/A
<b>Recommendation: (approve as submitted)</b> Approve as Submitted

## Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	CAP					
Control:	35	Revenue		01	PWCAPCAP/35131/00004	\$ 2,150,000.00
Resp:	131	Contract:				
Object:	00004	County	\$ 0.00			\$ 0.00
Transaction:		Federal	\$ 0.00			\$ 0.00
Project #:	35131	State	\$ 0.00			\$ 0.00
Detail:	000	Capital	\$ 2,150,000.00			\$ 0.00
		Other	\$ 0.00			\$ 0.00
		<b>TOTAL</b>	<b>\$ 2,150,000.00</b>		<b>TOTAL</b>	<b>\$ 2,150,000.00</b>
RENEWAL						
% Increase						
% Decrease						

# B12-21

RULES RESOLUTION NO. -2021

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND MACE CONTRACTING SERVICES, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS [“Department”] has received competitive bids for contract S82015-01G, for GOSR FIVE TOWNS DRAINAGE IMPROVEMENTS: CEDARHURST STORMWATER PUMP STATION – NASSAU COUNTY, NY [“Contract”], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of MACE CONTRACTING CORPORATION [“Vendor”] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 2,150,000, now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.





Nassau County Interim Finance Authority

**Contract Approval Request Form (As of January 1, 2015)**

1. **Vendor:** Mace Contracting Corp.

2. **Dollar amount requiring NIFA approval:** \$2150000

**Amount to be encumbered:** \$2150000

This is a New

If new contract - \$ amount should be full amount of contract

If advisement ?NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. **Contract Term: One year from NTP**

Has work or services on this contract commenced? N \_\_\_\_

If yes, please explain:

4. **Funding Source:**

General Fund (GEN)	Grant Fund (GRT)	Federal % 0
X Capital Improvement Fund (CAP)		State % 92
Other		County % 8

Is the cash available for the full amount of the contract? N

If not, will it require a future borrowing? Y

Has the County Legislature approved the borrowing? N

Has NIFA approved the borrowing for this contract? N

5. **Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:**

The principal features of the work to be performed under this project consists of construction of a new stormwater pumping station with two submersible propeller pumps, installation of tidal check valves, and installation of storm drain piping to and from the pump station in the Village of Cedarhurst as described in the Contract Documents.

6. **Has the item requested herein followed all proper procedures and thereby approved by the:**

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

**Date of approval(s) and citation to the resolution where approval for this item was provided:**

7. **Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:**

Contract ID	Date	Amount

## AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

25-MAR-21

**Authenticated User**

**Date**

## COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

**Authenticated User**

**Date**

## NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

**Authenticated User**

**Date**

**NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.**

**NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.**

**NIFA reserves the right to request additional information as needed.**

BID BOND

FORM OF BID BOND

**IMPORTANT** The bidder shall instruct the Surety Company to **USE THIS FORM PROVIDED** as the use of **ANY OTHER FORM** may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned Mace Contracting Corp

as Principal; and Fidelity and Deposit Company of Maryland as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

10% of the Amount Bid dollars (\$                     ) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 5th day of January, 2021

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under Contract No. S82015-01G for the

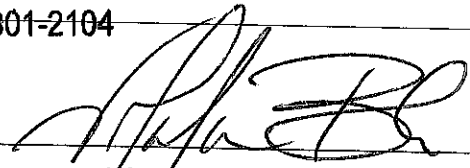
Cedarhurst Pump Station

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.


IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

Mace Contracting Corp  
35 Portman Road  
New Rochelle, NY 10801-2104

by  (L.S.) (Corporate seal of Contractor  
Title if a corporation)  
**Vladimir P. Blaise**  
**President**

by \_\_\_\_\_ (L.S.) Title

by \_\_\_\_\_ (L.S.) Title



Surety

by Edmund J. Bergassi, Power of Attorney (L.S.)  
Title of Officer

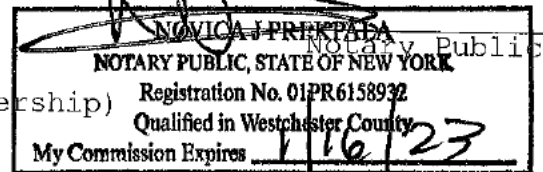
Attest:  (L.S.) (Corporate seal of Surety)  
Title of Officer

(Acknowledgment by Contractor if a corporation)

STATE OF NY )

ss.:  
COUNTY OF Westchester )

On this 5th day of January, 2021, before me personally came Vladimir P. Blaise, who being by me duly sworn, did depose and say for himself, that he is of the Mace Contracting Corp the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.



(Acknowledgment by Contractor if a partnership)

STATE OF \_\_\_\_\_ )

ss.:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_ to me to be a member of the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

\_\_\_\_\_  
Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF \_\_\_\_\_ )

ss.:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

\_\_\_\_\_  
Notary Public


(Acknowledgment by Surety Company)

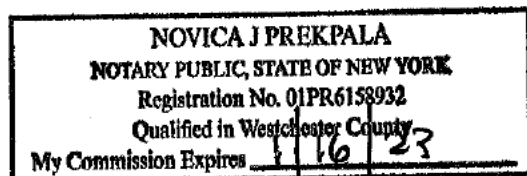
STATE OF New York )

ss.: Westchester )  
COUNTY OF \_\_\_\_\_ )

On this 5th day of January, 2021, before me personally came Edmund J. Bergassi, known to me, who being by me duly sworn, did depose and say that he resides

[REDACTED] that he is the Power of Attorney for the Surety (Fidelity & Deposit of Maryland), the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said Edmund J. Bergassi further said that he is acquainted with Surety & Fidelity & Deposit of Maryland and knows him to be the Power of Attorney of said company; that the signature of the said Edmund J. Bergassi subscribed to the within instrument is in the genuine handwriting of the said Edmund J. Bergassi and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said

  
Notary Public



# THE FIDELITY AND DEPOSIT COMPANY

OF MARYLAND  
1299 Zurich Way Schaumburg, IL 60196

## Statement of Financial Condition As Of December 31, 2019

### ASSETS

Bonds .....	\$ 255,279,821
Stocks .....	21,280,401
Cash and Short Term Investments .....	2,878,421
Reinsurance Recoverable .....	25,356,035
Federal Income Tax Recoverable .....	140,480
Other Accounts Receivable .....	20,383,843
<b>TOTAL ADMITTED ASSETS .....</b>	<b>\$ 325,319,001</b>

### LIABILITIES, SURPLUS AND OTHER FUNDS

Reserve for Taxes and Expenses .....	\$ 795,381
Ceded Reinsurance Premiums Payable .....	43,024,327
Remittances and Items Unallocated .....	0
Payable to parents, subs and affiliates .....	0
Securities Lending Collateral Liability .....	0
<b>TOTAL LIABILITIES .....</b>	<b>\$ 43,819,708</b>
Capital Stock, Paid Up .....	\$ 5,000,000
Surplus .....	276,499,293
Surplus as regards Policyholders .....	281,499,293
<b>TOTAL .....</b>	<b>\$ 325,319,001</b>

Securities carried at \$164,223,431 in the above statement are deposited with various states as required by law.

Securities carried on the basis prescribed by the National Association of Insurance Commissioners. On the basis of market quotations for all bonds and stocks owned, the Company's total admitted assets at December 31, 2019 would be \$322,248,132 and surplus as regards policyholders \$288,428,424.

I, LAURA J. LAZARCZYK, Corporate Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing statement is a correct exhibit of the assets and liabilities of the said Company on the 31st day of December, 2019.

*Laura J. Lazarczyk*

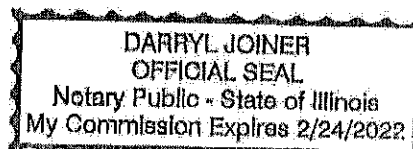
Corporate Secretary

State of Illinois }  
City of Schaumburg } SS:

Subscribed and sworn to, before me, a Notary Public of the State of Illinois, in the City of Schaumburg, this 25<sup>th</sup> day of February, 2020.

*Darryl Joiner*

Notary Public



**ZURICH AMERICAN INSURANCE COMPANY  
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND  
POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Illinois, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Illinois (herein collectively called the "Companies"), by **Robert D. Murray, Vice President**, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint **Edmund J. BERGASSI, Wendy COSTANTINO and Margaret BERGASSI, all of New Rochelle, New York, EACH**, its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: **any and all bonds and undertakings**, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland., in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies, and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 26th day of April, A.D. 2019.



**ATTEST:**  
ZURICH AMERICAN INSURANCE COMPANY  
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND

By: *Robert D. Murray*  
Vice President

By: *Dawn E. Brown*  
Secretary

**State of Maryland  
County of Baltimore**

On this 26th day of April, A.D. 2019, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, **Robert D. Murray, Vice President and Dawn E. Brown, Secretary** of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, depose and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.



Constance A. Dunn, Notary Public  
My Commission Expires: July 9, 2019



## EXTRACT FROM BY-LAWS OF THE COMPANIES

"Article V, Section 8, Attorneys-in-Fact. The Chief Executive Officer, the President, or any Executive Vice President or Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify or revoke any such appointment or authority at any time."

### CERTIFICATE

I, the undersigned, Vice President of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the ZURICH AMERICAN INSURANCE COMPANY at a meeting duly called and held on the 15th day of December 1998.

RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seals of the said Companies,  
this 5th day of January, 2021.



A handwritten signature in cursive script, reading "Brian M. Hodges".

Brian M. Hodges, Vice President

**TO REPORT A CLAIM WITH REGARD TO A SURETY BOND, PLEASE SUBMIT A COMPLETE DESCRIPTION OF THE CLAIM INCLUDING THE PRINCIPAL ON THE BOND, THE BOND NUMBER, AND YOUR CONTACT INFORMATION TO:**

Zurich Surety Claims  
1299 Zurich Way  
Schaumburg, IL 60196-1056  
[www.reportsforclaims@zurichna.com](http://www.reportsforclaims@zurichna.com)  
800-626-4577

PROPOSAL

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GENERAL CONSTRUCTION

COUNTY OF NASSAU  
STATE OF NEW YORK

PROPOSAL

FOR

GOSR Five Towns - Cedarhurst Pump Station  
Contract No. S82015-01G

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: Mace Contracting Corporation  
(Individual, Firm or Corporation, as case may be)

Bidder's Address: 35 Portman Road, New Rochelle NY 10801

Telephone: (914) 576-0606 Date: 1/5/2021

Fax Tel.: (914) 654-9386 E-MAIL: Vladimir@macecontracting.com

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NOTE: IF BIDDER IS A FIRM, FILL IN THE FOLLOWING BLANKS:

Name of Partners

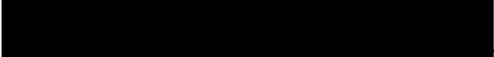
Residence of Partners

\_\_\_\_\_  
\_\_\_\_\_

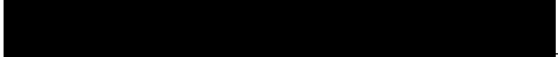
NOTE: IF BIDDER IS A CORPORATION, FILL IN THE FOLLOWING BLANKS:

Organized under the laws of the State of: New York State

Name of President: Vladimir P. Blaise

President's Domicile: 

Name of Vice President: Norica Peropala

Vice President's Domicile: 

Corporate Officer: \_\_\_\_\_ Title: \_\_\_\_\_

Corporate Officer's Domicile: \_\_\_\_\_

Corporate Officer: \_\_\_\_\_ Title: \_\_\_\_\_

Corporate Officer's Domicile: \_\_\_\_\_

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## PROPOSAL

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### GENERAL CONSTRUCTION

#### THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety or otherwise, upon any obligation to the County of Nassau.
4. That no officer nor employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the site of the work and that, from his own investigations, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of equipment, other facilities needed for the performance of the work, the general and local conditions, and all other items which may, in any way, affect the work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract; that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay, or North Hempstead or by the Cities of Glen Cove or Long Beach, the contractor shall be required to have such a license.

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## PROPOSAL

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### INFORMATION FOR BIDDERS

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#### I. Rejection of Bids.

##### A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. A determination that the bidder is not responsible is made in accordance with law; or if
6. The bid, in the opinion of the Commissioner, contains unbalanced bid prices, unless the bidder can show that the prices are not unbalanced for the probably required quantity of such items.

##### B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

#### II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

#### III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if, any.

#### IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

#### V. M/WBE Utilization Plan and Section 3 Plan

All bidders are requested to complete and submit the attached M/WBE Utilization Plan (Form- PROC-2) and Exhibit D – Contractor's Section 3 Plan with their bid. M/WBE participation percentages are provided in Exhibit E – Supplementary Conditions for Contracts, Part II: Required State Provisions, Number 6, Opportunities for Minority and Women-Owned Business Enterprises. Section 3 goals are included in the Notice to Bidders.



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TO BE COMPLETED BY CONTRACTORS SUBMITTING A BID ON S82015-01G – GENERAL CONSTRUCTION							
ITEM NO.	APPROX. QUANTITIES	ITEMS BID WITH PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE		
			DOLLARS	CENTS	DOLLARS	CENTS	
1.	Lump Sum	Base Bid for furnishing all Labor, Materials and Equipment required for all Construction work ready for operation. <u>TWO MILLION SEVENTY FOUR THOUSAND &amp; ZERO</u> DOLLARS CENTS	N/A	N/A	2,074,000	00	
2.	Allowance	Allowance for unforeseen conditions. <u>Twenty-five thousand</u> DOLLARS CENTS	N/A	N/A	\$25,000	00	
3.	Lump Sum	Provide form liner for southerly exterior face of pump station and colored concrete for above grade exterior walls of pump station. <u>THIRTY THOUSAND DOLLARS &amp; ZERO</u> DOLLARS CENTS	N/A	N/A	30,000	00	
4.	Lump Sum	In lieu of manual transfer switch, provide automatic transfer switch. <u>Twenty one thousand Dollars &amp; Zero</u> DOLLARS CENTS	N/A	N/A	21,000	00	

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TOTAL BASE BID (Bid Item Nos. 1 thru 2): MUST BE WRITTEN IN FIGURES: <del>\$1,500,000.00</del> <sup>(B)</sup> \$2,099,000.00	
TWO MILLION <del>NINE HUNDRED</del> NINETY NINE THOUSAND	ZERO
DOLLARS	CENTS
TOTAL ALTERNATE BID NO. 1 (Bid Item Nos. 1 thru 3): MUST BE WRITTEN IN WORDS: \$2,129,000.00	
TWO MILLION ONE HUNDRED TWENTY NINE THOUSAND	ZERO
DOLLARS	CENTS
TOTAL ALTERNATE BID NO. 2 (Bid Item Nos. 1 thru 4): MUST BE WRITTEN IN WORDS: <del>\$2,150,000.00</del>	
TWO MILLION ONE HUNDRED FIFTY THOUSAND	ZERO
DOLLARS	CENTS

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## **ALLOWANCES**

It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

- Twenty-five thousand dollars (\$25,000.00) for unforeseen conditions.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and Section 01500, Temporary Facilities and Controls.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

## **DETERMINATION OF LOW BID**

Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances, minus the Deduct Alternate(s), taken in order, or none of them, whichever amount(s) shall be judged by the Commissioner of Public Works to be in the best interest of the County.

## **MAJOR EQUIPMENT ITEMS**

The Bidder shall fill the name and address of the one proposed manufacturer for each major equipment item tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

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## PROPOSAL

MAJOR EQUIPMENT ITEMS: The Bidder shall fill the name and address of the proposed system suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

<u>Specification Number</u>	<u>Description</u>	<u>Manufacturer and/or Supplier</u>
02636	Tidal Check Valve	RED VALVE
11318	Stormwater Pumps	Xylem Flygt
13432	Pump Control Panel	Xylem Flygt
16496	Manual Transfer Switch	CUTLER HAMMER, SIEMENS
16496	Automatic Transfer Switch	RUSSELELECTRIC, ZENITH, ASCO
16498	Portable Generator Docking Station	TRYSTAR



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**BIDDER'S STATEMENT ON SEXUAL HARASSMENT**

**IN ACCORDANCE WITH NEW YORK STATE FINANCE LAW §139-I**

In keeping with the provisions of State Finance Law §139-I, competitive bidders for public contracts shall be required to sign and submit under the penalty of perjury the following certification affirming compliance with the requirements of New York Labor Law §201-g:

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of Section 201-g of the Labor Law.

Dated: January, New York  
5th, 2021

Sworn to before me this  
5th day of Jan., 2021

Notary Public

**NOVICA L. PREKPALA**

Notary Public, State of New York  
No.01PR6158932

Qualified in Westchester County  
Commission Expires January 16, 20 **23**

Mace Contracting Corp.  
Name of Bidder

Vladimir P. Blaise  
Signature of Authorized Official

**President**

Printed or Typed Name of Official and Title

04/10/17, 11:00 AM **NO TEXT ON THIS PAGE**  
2017.04.10

1. The following information is for your information only.  
2. The information is not to be used for any other purpose.  
3. The information is not to be used for any other purpose.  
4. The information is not to be used for any other purpose.



# NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

## WICKS EXEMPT LIST OF SUBCONTRACTORS

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

CONTRACT NO.

Contractor's Name and Address <b>Mace Contracting Corp</b> 35 Portman Road New Rochelle, NY 10801-2104 Federal ID No.: <b>1313884448</b>		Project Description (Project Title, Facility Name and Address): <b>Cedarhurst Pump Station</b>		Bid Date: <b>11/5/21</b>	Total Contract Amt:
Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply): <input type="checkbox"/> Plumbing and Gas Fitting <input type="checkbox"/> Steam Heating, Hot Water Heating, Ventilating and AC Apparatus <input type="checkbox"/> Electric Wiring and Standard Illuminating Fixtures					
If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box <input type="checkbox"/> skip to bottom of form, and sign it as required.					
Check (✓) only one.					
Subcontractor's Name, Address and Federal ID No.	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures	General Description of Work	Subcontractor's Contract Amt.
<b>Hink Elec Contracting</b> <b>Bohemia NY 11716</b> Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Attached</b>	<b>1203,900</b>
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.  
Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.

Company Authorized Signature: **Vladimir P. Blaise** Title: **President** Date: **11/5/21**

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APPROVAL  
APPROVAL

*Hinck Electrical Contractors, Inc.*

75 Orville Drive • Suite 1  
Bohemia, NY 11716

(631) 277-7700 FAX (631) 277-7833  
www.hinckelectric.com

**Cedarhurst Pump Station**  
**Contract # S82025-01G**  
**Division 16**  
**Electrical Work**

**Price Includes:** Installation of any electrician claimed instruments and panels. Short circuit, arc flash and coordination studies. Independent testing. Temp electric per spec section 01500.

**Price Excludes:** Excavation, dewatering, backfill, restoration, concrete. Water and gas line relocation. Concrete pullboxes furnished by Hinck, set with GC's machine, our labor. All instrumentation and associated instrument panels furnished by GC. Any Nassau County inspection costs. Any photos. Any painting of conduit per 16131 para 1.1E. Any energy charges. Point to point wiring.

**Item 1** Base Bid: **\$203,900**  
**Item 4** Adder for ATS in lieu of MTS: **\$19,800**

**Major Equipment Items:**

**Mfgr. or Supplier**

16416 Manual Transfer Switch

Cutler Hammer, Siemens or equal

16496 Automatic Transfer Switch

Russelectric, Zenith, Asco or equal

16498 Portable Generator Docking Station

Trystar or equal



**VENDOR PORTAL ACKNOWLEDGMENT**

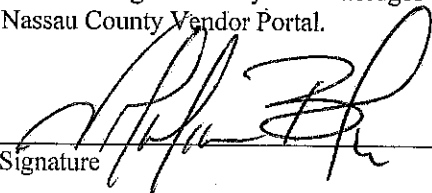
**Mace Contracting Corp**  
**35 Portman Road**

Vendor Name: New Rochelle, NY 10801-2104  
Contract Title: cedarhurst Pump Station  
Contract Number: S82025-01G

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at [www.nassaucountyny.gov](http://www.nassaucountyny.gov) by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.

Signature



Date

1/15/21

**Vladimir P. Blaise**  
**President**

Print Name

If you attempted to register via the Portal but were unable to do so, please explain here:

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Alvin P. O'Neil  
President

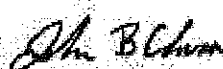
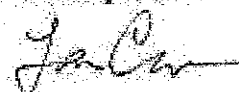
**LAURA CURRAN**  
NASSAU COUNTY EXECUTIVE

# NASSAU COUNTY



## Vendor Code of Ethics



<b>POLICY/PROCEDURE TITLE:</b> Nassau County Vendor Code of Ethics	<b>DATE ISSUED:</b> June 5 <sup>th</sup> , 2019
<b>DEPARTMENT ISSUING:</b> Executive – Compliance	<b>AUTHORIZED and SIGNED BY:</b>  Deputy County Executive For Compliance  County Executive

**POLICY:**

The Office of the Nassau County Executive recognizes the importance of the vendor community in helping the County provide necessary services for the residents of Nassau County. It is the policy of the County Executive to ensure that all vendors doing business with Nassau County operate under the highest standards of legal and ethical conduct.

**PURPOSE:**

To set forth a Code of Conduct for vendors to ensure that Nassau County Vendors are conducting their business with integrity, ethics, and compliance with all applicable laws and regulations.

**SCOPE:**

All vendors doing business or seeking to do business with Nassau County as specified in the Code.



# Vendor Code of Ethics

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## Vendor Code of Ethics

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## Vendor Code of Ethics

### Chapter 1: DEFINITIONS

As used in this Code, the following terms have the following meanings:

**Adverse Job-Related Action** includes any material alteration to existing terms, conditions, and privileges of employment, such as dismissal, demotion, suspension, compulsory leave, disciplinary action, creation of a hostile work environment, negative performance evaluation, any action resulting in loss of staff, office space or equipment or other benefit, reduction in compensation, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected employee.

**Contact** means any oral or written communication with any Nassau County Employee, other than the Designated Point(s) of Contact, where it could be reasonably inferred that such contact was intended to influence, or could reasonably be expected to influence, the subject of a County procurement.

**Designated Point(s) of Contact** means the individual(s) designated by the County to be a Vendor's only contact with Nassau County following the public advertisement of a solicitation or the issuance of a request for a bid, proposal, or quote for small purchase, until the award of a resulting contract and, where applicable, approval by the County Legislature. This timeframe, further defined in the State Procurement Lobbying Law, is also known as the Restricted Period.

**Nassau County Employee** means any officer, official or employee of Nassau County.

**Family Member** means (i) a Nassau County Employee's Spouse, Domestic Partner, Child, Sibling or Parent; (ii) a person who is a direct descendant (or the spouse of a direct descendant) of a Sibling of the Nassau County Employee or a Sibling of the Nassau County Employee's Spouse or Domestic Partner; or (iii) a person living in the same household as a Nassau County Employee.

**Gift** means the transfer, without equivalent consideration, of anything of benefit, tangible or intangible, having more than nominal value, including, but not limited to, cash, loans, forbearance,



## Vendor Code of Ethics

services, travel, gratuities of any kind, favors, money, meals, refreshments, entertainment, hospitality, admittance to private clubs, use of time-shares, personal use of the Vendor's facilities, promises, tickets to entertainment or sporting events, weekend trips, golf outings, loans of equipment, or other thing or benefit. A Gift need not be intended to influence or reward any individual or entity.

**Nassau County Code of Ethics** means Nassau County Charter Section 2218, and the rules and regulations promulgated thereunder as may be amended or modified.

**Participating Employee** means any Vendor employee who engages in any written or oral communication of a non-clerical or non-administrative nature with Nassau County or with a Nassau County Employee(s) as part of or in connection with the procurement.

**Participating Nassau County Employee** means any Nassau County Employee who the Vendor knows, has reason to know, or can reasonably anticipate is involved in a specific procurement, in either a direct or decision-making capacity, but not in a clerical capacity. This includes but is not limited to the Designated Point of Contact, the project manager, the project manager's staff to the extent that they are involved in the procurement, members of selection committees, technical experts and negotiating teams.

**Primary Contracting Party** means a Vendor who intends to directly enter into or has a contract with Nassau County.

**Retaliatory Action** is defined as any Adverse Job-Related Action taken by, or at the direction or request of, a Vendor or a Vendors' Employees as a result of any individual's (i) good-faith report with respect to a violation or potential violation of this Code or the law; or (ii) cooperation in any investigation of unlawful conduct or misconduct conducted by Nassau County or by federal, state, or local law enforcement officials.

**State Procurement Lobbying Law** means New York State Finance Law Sections 139-j and 139-k, and the rules and regulations promulgated thereunder as may be amended or modified.

**Vendor** means any individual or entity seeking to or doing business



## Vendor Code of Ethics

with Nassau County within the scope of this Code, including, without limitation, contractors, consultants, suppliers, manufacturers seeking to act as the primary contracting party, officers and employees of the foregoing, as well as any subcontractors, subconsultants and suppliers at all lower tiers.

### Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

#### Section 2.01 Designated Point(s) of Contact

Each procurement solicitation issued by Nassau County will identify the Designated Point(s) of Contact for that solicitation as required by the State Procurement Lobbying Law. Once the Designated Point(s) of Contact is/are established, the Vendor and any person or entity acting on the Vendor's behalf, including without limitation, those providing compensated or uncompensated lobbying, advocacy, consulting or other services should ensure that its contacts with Nassau County are in compliance with the requirements of the State Procurement Lobbying Law.

### Chapter 3: GIFTS OR CONTINGENT FEES

#### Section 3.01 Zero Tolerance

No Vendor may offer or give any Gift, directly or indirectly, to a Nassau County Employee. Similarly, no Vendor may offer or give any Gift, directly or indirectly, to any Family Member of a Nassau County employee where such Gift is made because of the Vendor's relationship with the Nassau County Employee. Additionally, no Vendor may accept a gift from a Nassau County Employee.

This Zero-Tolerance Policy applies regardless of actual intentions. In other words, even if a Gift does not, or is not intended to, influence an action or decision by a Nassau County Employee, it is prohibited by this Code.

#### Section 3.02 Personal Relationships

Notwithstanding the foregoing, if a Vendor has a pre-existing family or personal relationship with the Employee, a Gift that is wholly unconnected with the Employee's duties on behalf of Nassau County is





## Vendor Code of Ethics

not necessarily prohibited.

In determining whether the giving of an item was motivated by personal rather than business concerns, the following factors are considered:

- (a) the history of the relationship between the donor and the recipient, including but not limited to the mutuality of gift giving;
- (b) whether the item was paid for by the donor.

The giving of an item shall not be considered to be motivated by a family or personal relationship if the donor seeks to charge or deduct the value of the item as a business expense or seeks reimbursement from a client.

However, regardless of the family or personal relationship between a Vendor and an employee, a Gift is strictly forbidden where it is being given under circumstances where it can reasonably be inferred that it was intended to influence the employee in the performance of his or her official duties.

### Section 3.03 Contingent Fees

The Vendor will not employ or retain any individual or entity for the purpose of soliciting or securing a Nassau County contract upon any agreement or understanding for a commission, percentage, brokerage, or fee that is contingent or dependent upon the outcome of the procurement.

## Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

### Section 4.01 Restrictions During the Procurement Process

Vendors shall not discuss future employment with Participating Nassau County Employees or their Family Members from the date the procurement is advertised or solicited through 30 days following the date that the procurement is awarded, even if a Participating Nassau County Employee contacts the Vendor regarding employment. Questions regarding whether a particular Nassau County employee is a Participating Nassau County Employee for a specific



## Vendor Code of Ethics

procurement should be directed to the Designated Point of Contact for the procurement.

### Section 4.02 Restrictions Post Award

Vendors are prohibited from offering or discussing an employment opportunity with a Nassau County Employee or his or her Family Members before whom the Vendor has or expects to have a pending specific matter including, but not limited to, negotiations, performance evaluation, task order selection, approval of a voucher or invoice, or approval of or agreement to a contract amendment, change order, or deviation or waiver until:

- (i) 30 days from the time the matter before the Employee is closed, or
- (ii) 30 days from the time the Employee has no further involvement with the matter because of recusal or reassignment.

## Chapter 5: CONFLICT OF INTEREST

### Section 5.01 Financial Interest

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, as the case may be, may have a 10% or greater interest, nor shall the Vendor, nor any director, officer, principal, owner, or partner thereof, acquire a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract.

The Vendor will not permit an employee having a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract to be employed in the performance of the Nassau County contract.

### Section 5.02 Personal Business Dealings

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, may have a non-County business dealing with a



## Vendor Code of Ethics

Participating Nassau County Employee where it can be reasonably inferred that the purpose of the business dealing, at least in part, is to influence the Participating Nassau County Employee's action on a pending County matter.

### Section 5.03 Disclosure and Cooperation

The Vendor shall disclose immediately to the County any real or potential conflict of interest of which it becomes aware. This obligation is ongoing and shall last through the completion of performance of the contract. The Vendor shall provide to Nassau County, at the County's request and upon such forms as may be furnished by Nassau County, a disclosure of organizational, financial, contractual or other affiliations with any organization or entity that has interests that may be substantially affected by the procurement solicitation or award. The Vendor shall fully cooperate in any inquiry or investigation undertaken by Nassau County to determine whether any such affiliations present a conflict of interest, or whether any other provision of this Code has been violated. The Vendor shall fully cooperate with audits, investigations, examinations and reviews by the Nassau County Inspector General conducted pursuant to section 187 of the Nassau County Charter.

### Section 5.04 Confidential Information

At no time shall any Vendor who obtains confidential or proprietary Nassau County information in the course of doing or seeking to do business with the County disclose any such information to any person not authorized by Nassau County to receive such information or use such information for any personal gain except as necessary to fulfill its contractual obligations to Nassau County.

If the Vendor receives from any source confidential or proprietary Nassau County information prior to the award of a resulting contract and, where applicable, approval by the County Legislature, without the explicit approval of the Designated Point of Contact, the Vendor shall immediately so notify the Designated Point of Contact.

Nassau County confidential or proprietary information includes, but is not limited to, internal cost estimates and proposals submitted by other Vendors.



## Vendor Code of Ethics

### **Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development**

No Vendor who participates in the development of a scope of work, solicitation documents, assessment criteria, contractual instruments or technical specifications may participate as a bidder, sub-bidder, proposer or sub-proposer on that particular procurement or perform any work on that particular procurement or any other procurement that would constitute an organizational conflict of interest or would give that Vendor an unfair advantage over other bidders or proposers on that procurement. This prohibition may be waived in writing by the County Chief Procurement Officer upon a showing of good cause.

## **Chapter 6: FORMER NASSAU COUNTY EMPLOYEES**

### **Section 6.01 Appearance Before Former Agency-Two Year Bar**

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear or practice before any Nassau County agency, either prior to award or in the performance of a Nassau County contract, for a period of two years after termination of the Nassau County Employee's services with the County.

### **Section 6.02 Appearance Before Former Agency-Life Time Bar**

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear, practice, communicate or otherwise render services before the agency that employed the officer or employee or any other agency of Nassau County, either prior to award or in the performance of an agency's contract in relation to any case, proceeding, application or transaction with respect to which such former officer or employee was directly concerned and in which he or she personally participated, or which was under his or her active consideration during the period of his or her employment. This provision is a lifetime bar on projects that the former Nassau County Employee previously worked on while employed by the County.



## Vendor Code of Ethics

### Chapter 7: NON-COLLUSION

#### Section 7.01 Independent Bid Assessment

The Vendor will calculate the price(s) contained in any bid or proposal independently, without collusion, consultation, communication, or agreement with any competing Vendor for the purpose of restricting competition.

#### Section 7.02 Non-Communication of Bid

Unless otherwise required by law, the price(s) which the Vendor quotes in its bid or proposal will not knowingly be disclosed by the Vendor, directly or indirectly, to any competing Vendor prior to the closing date for bids or proposals.

#### Section 7.03 Bid Submission

The Vendor will not make any attempt to induce any other individual or entity to submit or not to submit a bid or proposal.

### Chapter 8: DISTRIBUTION AND CERTIFICATION

#### Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

As a condition of being considered for the award of any contract above the County's small purchase threshold of \$10,000, the Vendor will be required to distribute copies of the Nassau County Vendor Code of Ethics to all Participating Employees prior to any of those employee's participation in the procurement. The Code may be distributed either in hard copy or electronically as a separate PDF.

Additionally, as a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will be required to obtain an acknowledgement from each of its Participating Employees ("Participating Employee Acknowledgements") that they have received, read, understand, and will comply with the Nassau County Vendor Code of Ethics.



## Vendor Code of Ethics

The Vendor's responsibility for distributing copies of the Nassau County Vendor Code of Ethics and obtaining such signed Participating Employee Acknowledgements is ongoing until completion of performance of the contract and shall be retained for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Receipt and retention of Participating Employee Acknowledgments by the Vendor shall be subject to audit by Nassau County.

### Section 8.02 Vendor Certifications

The vendor by signing the final contract thereby certifies and attests to the following:

- (a) The Vendor has been provided with a copy of the Nassau County Vendor Code of Ethics and will comply with all of the provisions of the Code;
- (b) All of its Participating Employees during the course of procurement or contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to any of those employees' participation in the procurement;
- (c) All Participating Employees have completed the acknowledgement required by Section 8.01 of this Code;
- (d) The Vendor will retain all of the signed Participating Employee Acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County;
- (e) The Vendor will continue to distribute the Nassau County Vendor Code of Ethics, obtain signed Participating Employee Acknowledgements as new Participating Employees are added or changed during the contract period, and retain all of the signed acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.



## Vendor Code of Ethics

### Section 8.03 Subcontractor Certifications

As a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will obtain certifications executed by authorized officials from all of its lower tier subcontractors, subconsultants and suppliers (as well as from any other subcontractors, subconsultants and suppliers from whom that Vendor is soliciting or has received proposals for work on a Nassau County contract) whose employees have communicated or may communicate with Nassau County Employees. This obligation is ongoing and shall last through the completion of performance of the contract. Receipt and retention of lower tier certifications by the Vendor shall be subject to audit by Nassau County.



## **Vendor Code of Ethics**

### **Chapter 9: PENALTIES**

#### **Section 9.01 Responsibility Determination**

For violation of any provision of the Nassau County Vendor Code of Ethics, Nassau County may avail itself of every remedy in law or equity, or as agreed to by parties in any contract, including but not limited to declaring the Vendor non-responsible or in material breach of the contract.

#### **Section 9.02 Civil/Criminal Penalties**

Additionally, violation of the Nassau County Vendor Code of Ethics or a provision thereof may subject the Vendor to criminal or civil penalties under State or Federal law.

### **Chapter 10: REPORTING OBLIGATION**

#### **Section 10.01 Reporting Gift Requests**

Notwithstanding the provisions of Chapter 4 above, the Vendor is obligated to immediately report to Nassau County's Inspector General and the County Chief Procurement Officer, any and all requests made to the Vendor by any Nassau County Employee for a Gift.

#### **Section 10.02 Reporting Material Changes**

The Vendor is under a continuing obligation to report any change in circumstances that materially affects any prior report to Nassau County to Department of Chief Contracting Officer, including but not limited to disclosure of conflicts of interest and representations made in the Contractor Responsibility Form.

#### **Section 10.03 Reporting Violations and Overpayments**

The Vendor is obligated to timely report in writing to Nassau County's Inspector General, in connection with the award, performance or closeout of the Nassau County contract or subcontract, any credible evidence of significant overpayments on the contract or that a principal, employee, agent or subcontractor has committed a





## Vendor Code of Ethics

violation of law involving fraud, conflict of interest, bribery or gratuities.

### Chapter 11: PROHIBITION ON RETALIATION

#### Section 11.01 Prohibition

To facilitate the reporting obligations under Chapter 10, this code strictly forbids all Vendors and Vendors' Employees from taking any Retaliatory Action against individuals who make such reports.



## Vendor Code of Ethics

### CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.: S82015-01G

Project Description: Five Towns Drainage Improvement: Cedarhurst Pump Sta.

The prospective lower tier participant Hinck Electric (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to \_\_\_\_\_ [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this 4 day of Jan, 2021.

By [Signature] Signature of Authorized Official

John G. Buck - Pres. Hinck Elec Name and Title of Authorized Official



## Vendor Code of Ethics

### CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.:

S82015-01G

Project Description:

GOSR Five Towns - Cedarhurst Pump Station

The prospective lower tier participant \_\_\_\_\_ (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to \_\_\_\_\_ [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this

5th

day of

Jan

, 2021

By

Signature of Authorized Official

Name and Title of Authorized Official

**Vladimir P. Blaise**  
**President**



## Vendor Code of Ethics

### PARTICIPATING EMPLOYEE ACKNOWLEDGEMENT REGARDING NASSAU COUNTY VENDOR CODE OF ETHICS

Mace Contracting Corp  
35 Portman Road  
New Rochelle, NY 10801-2104

Company: \_\_\_\_\_

Bid/Proposal No.: S88025-01G

I, Vladimir P. Blaise  
President, acknowledge that I have received and read the  
Nassau County Vendor Code of Ethics on 1/5/21 and that I understand it and  
will comply with this Code in my participation in procurements  
between Mace Contracting Corp (Vendor name) and Nassau County.  
35 Portman Road  
New Rochelle, NY 10801-2104

Executed this 5th day of Jan, 2021

By \_\_\_\_\_

Signature of Employee

Name and Title of Employee

Vladimir P. Blaise  
President

Vladimir P. Blaise  
President

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
Lower Tier Covered Transactions  
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

**Vladimir P. Blaise**

Name and Title of Authorized Representative

1/5/24

m/d/yy

Signature

1/5/24

Date

Name of Organization  
**Mace Contracting Corp**

**35 Portman Road**

**New Rochelle, NY 10801-2104**

Address of Organization

U.S. DEPARTMENT OF JUSTICE  
OJP FORM 4061/1 (REV. 2/89) Previous editions are obsolete

### Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

## MacBride Fair Employment Principles

### NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

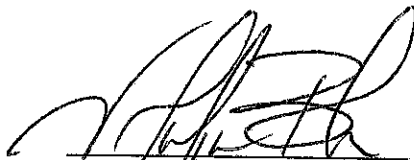
(1) have business operations in Northern Ireland,

Yes \_\_\_ No ☒

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes \_\_\_ No \_\_\_

  
(Contractor's Signature)  
**Vladimir P. Blaise**  
**President**

**Mace Contracting Corp**  
**35 Portman Road**  
**New Rochelle, NY 10801-2104**  
(Name of Business)

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### IRAN DIVESTMENT ACT – CERTIFICATION

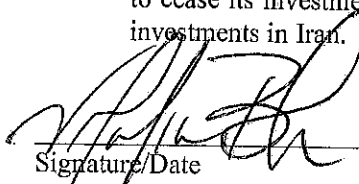
Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

☒ a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,

☐ b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.

  
Signature/Date

1/5/21

**Vladimir P. Blaise**  
**President**

Print Name and Position

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Innibent

CONTRACTOR CERTIFICATION STATEMENT REGARDING

STORM WATER POLLUTION AT THE WORK SITE

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

CONTRACTOR'S NAME: Mace Contracting Corp

TELEPHONE NUMBER: 914-576-0606

WORK SITE OR FACILITY NAME: Cedarhurst Pump Station

WORK SITE OR FACILITY ADDRESS OR  
OTHER IDENTIFYING DESCRIPTION: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

Signature 

1/5/21  
Date

**Vladimir P. Blaise**  
**President**

Signer

Print Name and Title of

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

Mace Contracting Corporation  
(Individual, Firm or Corporation, as case may be)

Individual's Social Security Number: \_\_\_\_\_

Firm or Corporation's Federal ID Number: 13-3884948

Firm or Corporation's Municipal License ID Number: \_\_\_\_\_

Municipal Licensing Agency: \_\_\_\_\_

By: [Signature] Date: 1/5/21

(Print): Vladimir P. Blaise Title: President  
**President**

WHERE BIDDER IS A CORPORATION, ADD

ATTEST:

[Signature]  
Secretary

**Novica Prekpala**  
**Vice President & Secretary**

(CORPORATE)  
(SEAL)

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PROPOSAL

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)  
 ) ss.:  
COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says: That  
he is the person described in and who executed the foregoing Bid and that the several matters therein stated are  
in all respects true.

Subscribed and sworn to before me

This 5<sup>th</sup> day of \_\_\_\_\_ 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)  
 ) ss.:  
COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says: That  
he is a member of \_\_\_\_\_, the firm described in and which executed the  
foregoing Bid; that he duly subscribed the name of the firm thereunto on behalf of the firm; and that the several  
matters therein stated are in all respects true.

Subscribed and sworn to before me

This \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

NO TEXT ON THIS PAGE



PROPOSAL

GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU )

Vladimir P. Blase Being duly sworn, deposes and says: That  
he resides at [REDACTED], that he is the President  
of the corporation described in and which executed the foregoing instrument; that he  
knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was  
affixed by order of the Board of Directors of said corporation; that he affixed his name thereto by like order; and  
that he has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me

This 5th day of January 2021.

[Signature]  
Notary Public

NOVICA J PREKPALA  
Notary Public, State of New York  
No.01PR6158932  
Qualified in Westchester County  
Commission Expires January 16, 2023

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### QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 22+
2. How many years experience in the construction work of a similar type as this contract has your firm had;
  - a. as a Prime Contractor ± 25yrs
  - b. as a Subcontractor same
3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Attached

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Attached

(use additional blank sheets if additional space is necessary)

5. Have you:
  - a. ever failed to complete any work awarded to You? No.  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.
  - b. ever been defaulted on a contract? No.  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

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c. ever been declared a non-responsible bidder by any municipality or public agency? No.  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No.  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No.  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No.  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No.  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

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- d. that has ever been barred from bidding municipal or public contracts? No  
If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No  
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None.

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None.

10. In what other lines of business are you financially interested?

N/A

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11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

Vladimir P. Blaise, Project Manager

Attached

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	---	---------------------	---------------------

Attached

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

None.

(use additional blank sheets if additional space is necessary)

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14. In what manner have you inspected this proposed work?  
Explain in detail.

GOULD EARTH MAT.

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

SITE CLEARING

EXCAVATION

CONCRETE & PILE

TRENCH & INSTALLATION OF PIPING

INSTALLATION OF PUMPS, SLEEVES, FIELD INSTRUMENTS

INSTALLATION OF ELECTRICAL COMPONENTS & CONTROLS

START-UP & TESTING

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

Vladimir P. Blaise

President

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

Attached

**NO TEXT ON THIS PAGE**

APPROVED BY: [Signature]  
DATE: 11/15/2015

[Signature]

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

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NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

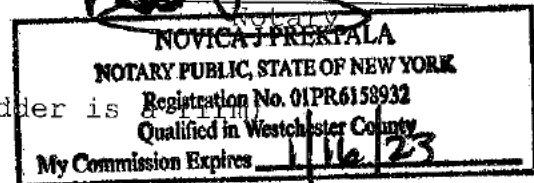
(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

Vladimir P. Blaise Being duly sworn, deposes and says:  
That he resides at [REDACTED] Street,  
in the City of [REDACTED] he is the President of

the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he signed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me  
this 5<sup>th</sup> day of January, 2021.



(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says:  
That he is a member of  
the firm described in and which executed the foregoing bid; that he  
duly subscribed the name of the firm hereunto on behalf of the firm;  
and that the several matters therein stated are in all respects true.  
Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

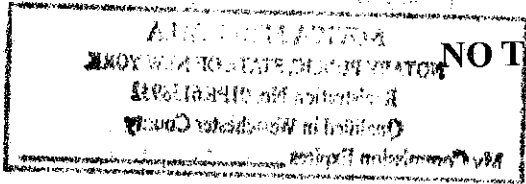
\_\_\_\_\_  
Notary

(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

\_\_\_\_\_ Being duly sworn, deposes and says:  
That he is the person described in and who executed the foregoing bid  
and that the several matters therein stated are in all respects true.  
Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

\_\_\_\_\_  
Notary



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## **Notice of Award**

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# Mace Contracting Corporation

## CAPABILITY STATEMENT

<b>Company Name:</b> Mace Contracting Corporation		<b>WHO WE ARE</b>	
<b>Address:</b> 35 Portman Road New Rochelle, NY 10801		<p>We are a NYC/NYS/PANYNJ MBE certified Firm specializing in General Construction work in the NY-NJ-CT Metro area. We are a full local union shop concentrating in the Public Construction sector with an aggregate bonding capacity of about \$50+mm.</p> <p>Established in 1989, we are a closely run C-Corporation with many notable projects completed and currently underway. We also subcontract a variety of Specialty Trades to Developers, CM's &amp; GC firms in NY.</p>	
<b>Contact's Name:</b> Vladimir Blaise, President			
<b>Telephone Number:</b> (914) 576-0606 x24			
<b>Email Address:</b> vladimir@macecontracting.com			
<b>Website:</b> None			
<b>Fax Number:</b> (914) 654-9386			
<b>DUNS Number:</b> 15-307-9756			
<b>Cage Code:</b> 5FPBO			
<b>EIN Number:</b> 13-3884948			
<b>SERVICES/PRODUCTS</b>		<b>CODES</b>	
02110-Excavation & Sitework	<b>NAICS</b>	<b>Description</b>	
02110-Removal of HazMat Site Materials	236220	Commercial & Institutional Building GC	
02115-UST Removals	237110	Construction of Pump Station & WWTP	
02070-Asbestos & Lead Abatement	237990	Dam & Cofferdam Construction	
03100-Concrete Formwork	238140	Masonry Contractors	
03300-Cast-in-Place Concrete	238190	Concrete Contractors	
04200-Masonry	238220	Plumbing Contractors	
05400-Cold-Formed Metal Framing	238350	Carpentry Contractors and Doors & Windows	
05300-Metal Decking	238910	Demolition Contractors	
06100-All Division 6-Wood & Plastics	236116	Housing Building Construction-GC	
07000-All Division 7-Thermal Moisture Protection	236210	Additions, Alterations & Renovations-GC	
08000-All Division 8-Doors & Windows	562910	Asbestos & Lead Abatement Services	
09000 All Division 9-Finishes			
15000 Mechanical Prime Contractor			
15400 Plumbing Prime Contractor			
	<b>PSC/FSC</b>		
	Y1AA	Construction of Office Buildings	
<b>SIC CODES</b>	Y1CA	Construction of Schools	
1542-General Building Contractors-Nonresidential	Y1JA	Construction of Museums-Exhibition Buildings	
1623-Water, Sewer, Pipeline, Comm Power Line Construction	Y1KA	Construction of Dams	
1629-Heavy Construction	Y1ND	Construction of Sewage & Waste Facility	
1799-Special Trade Contractors	Y1OA	Construction of Restoration of Real Property	
<b>CLIENT LIST</b>	<b>AGENCY</b>	<b>CERTIFICATIONS</b>	
US EPA, USPS, FEMA, GSA, United Nations, Panama Canal,	NYS	MBE Empire State Development Corp	
Swiss Mission, NYS OGS, DASNY, NYC SCA, NYC DDC,	NYS	Asbestos Abatement	
SUNY Maritime, CUNY Queens College, US Tennis, US Mint,	NYS DEC	DEC Waste Transporter Permits	
Honda, IBM, Brinks, WC-DPW, City of Yonkers & NYC DEP	US EPA	US EPA Lead Abatement	
<b>MAJOR PAST PERFORMANCE</b>	<b>REFERENCES</b>		
Playland Park Music Tower Restoration (AIA Award)	Provided at Request		
Hudson River Museum Entry Gate Addition (AIA Award)			
Chappaqua Railroad Station Restoration (AIA Award)			
Pelham HS Phase II Interior Renovation			
Manursing Lake Aquatic Habitat Restoration			
Hackensack River Pumping Station Upgrades			
Bay Park Sewage Plant, DAF Subnatant Pump Station	<b>WHAT OUR CLIENTS SAY</b>		
Demolition & Obsolete Equipment Removal, NewRoc WWTP	"We love you guys!" Dan Gibson		
Ramapo CSD Concession Stand, Press Box at Stadium	"You guys are good!" Queens College Procurement		
Ossining WWTP Upgrades & New Sludge Building	"Very professional and courteous company" Surety Company		
New Senior Community Center @ Port Chester, NY	"I would recommend those guys" Chappaqua Railroad Station		
	"You would be crazy not to use those guys" WC DPW Commissioner		



# Vlad P. Blaise

---

**Position** Senior Project Architect & Project Manager  
Overall Supervision of General Construction Activities

**Experience** 2004–Present Mace Contracting Corp. New Rochelle, NY  
**Project Management**

- Coordination and Supervision of General Construction Projects
- Estimating

1998–2004 MB Builders, Inc. Brooklyn, NY  
**Senior Project Manager**

- Complete Supervision of all General Construction Projects

1993–1997 Hirani Contracting Corp. Floral Park, NY  
**Project Manager**

- Estimating
- Coordination & Supervision of all Projects

**Education** 1989–1993 Pratt Institute Brooklyn, NY  
Bachelor of Architecture



**Mace Contracting Corp Equipment Schedule**

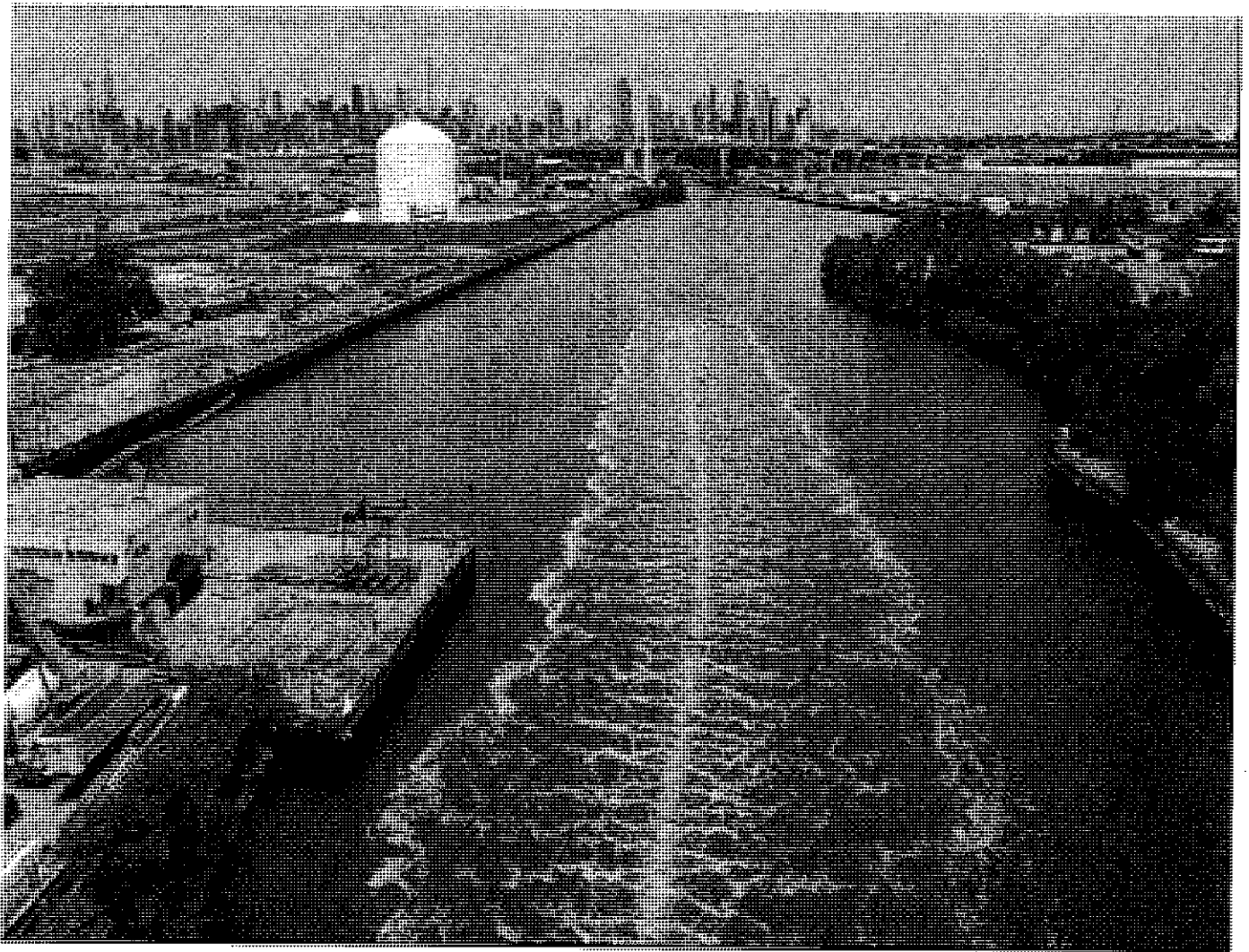
Description of Equipment	Model	Serial No.	Cost	Date	
CAT 320EL Excavator	320EL		\$350,000.00	2016	
CAT 308B Excavator	308B	00DL2128	\$35,000.00	2013	
CAT Backhoe	325		\$65,000.00	2010	
Crown Electric Fork Lift			\$12,000.00	2017	
JLG Telehandler			\$70,000.00	2019	
Lull Telehandler	1044C-62	01AB18P191233	\$65,000.00	2015	
SkyJack Scissor Lifts - 3			\$15,000.00	2018	
Yale Fork Lift	MPB045VG		\$45,000.00	2016	
Storage Sea-Land Containers -20'			\$3,000.00	2016	
Storage Sea-Land Containers -20'			\$3,000.00	2017	
Storage Sea-Land Containers -20'			\$3,000.00	2019	
Mobil Office / Storage Container - 2			\$10,000.00	2019	
Isuzu 16' Straight Truck					
Mercedes Sprinter Truck					
Ford E350 Van					
Golf Carts - 4			\$15,000.00	2019	
ATV 4-Man All Terrain Carrier			\$30,000.00	2020	
Colgate Scaffolding Sections etc			\$45,000.00	2019	
Hilti Specialty Tools			\$10,000.00	2019	





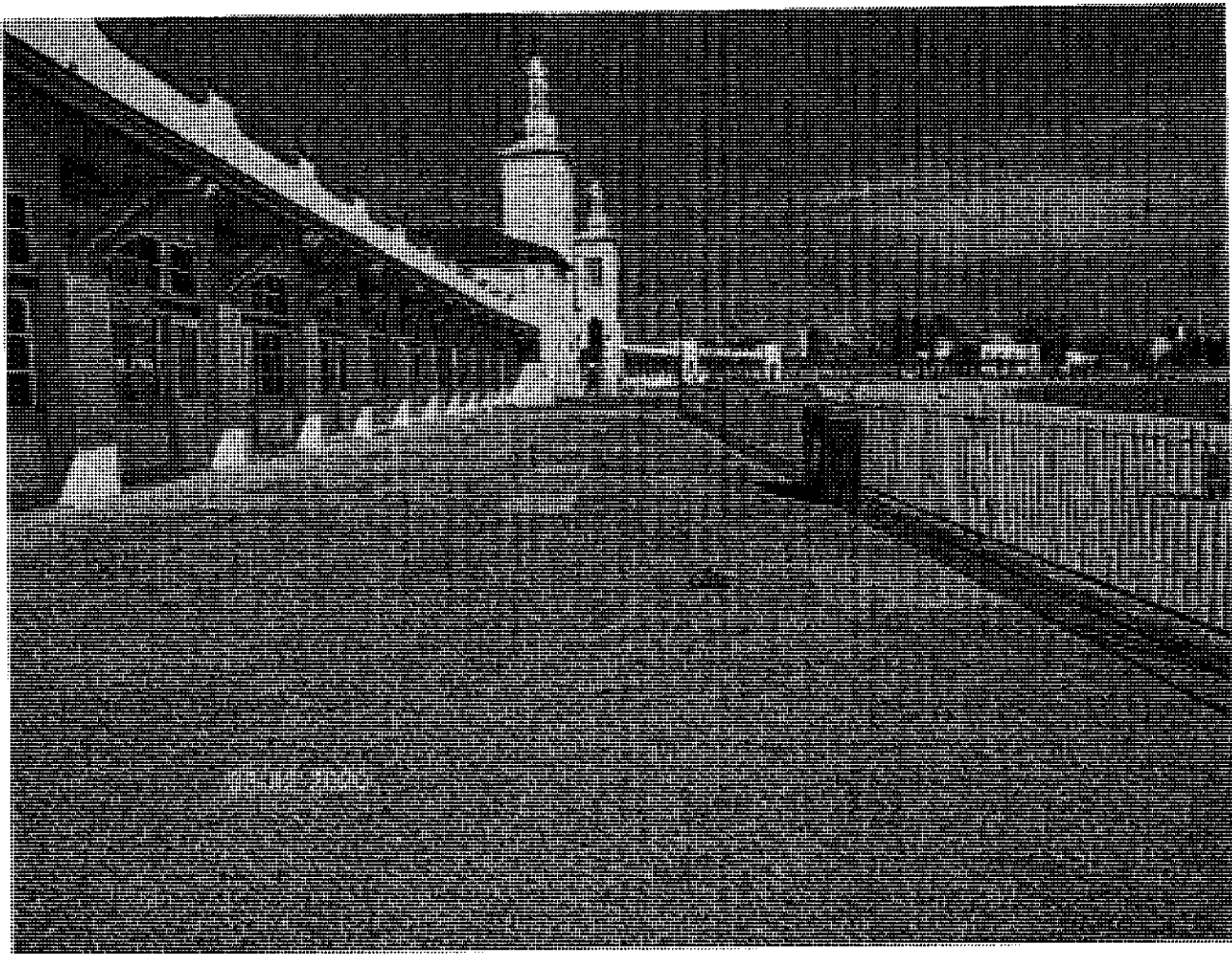
## PROJECT REFERENCES

AGENCY/OWNER	NYC DEP	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	East Brach Aeration Building & Systems (We were Prime GC & Mechanical) Maspeth, NY	
PROJECT DESCRIPTION	Construction of New Blower Building with Aeration Piping System in East Branch Creek	
PROJECT DATES	June 2015 to 2019	
CONTRACT AMOUNT	\$17,000,000.00	
PROJECT CONTACT	Hossein Ekhlas, PE NYC DEP	Tel: (718) 595-7221 Cell: (646) 784-3317



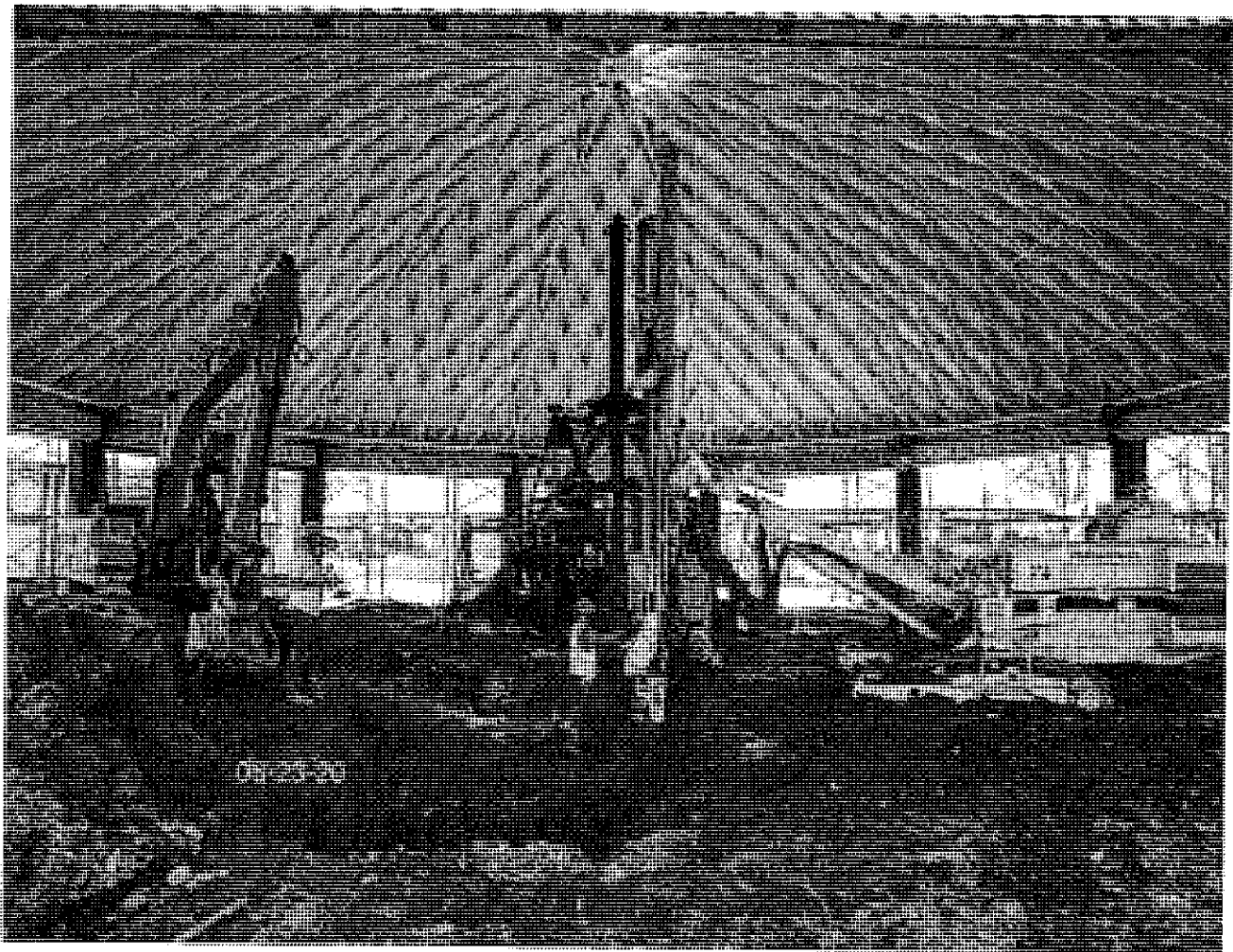
## PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Canopy Replacement @ Playland Park Rye, NY	
PROJECT DESCRIPTION	Removal & Replacement of Existing Structural Supports & Rebuilt of New Canopy.	
PROJECT DATES	November 2019 to 2021	
CONTRACT AMOUNT	\$1,087,000.00	
PROJECT CONTACT	R. James Antonaccio RA Westchester Cty-DPW	Tel: (914) 995-6343 Fax: (914) 995-6409



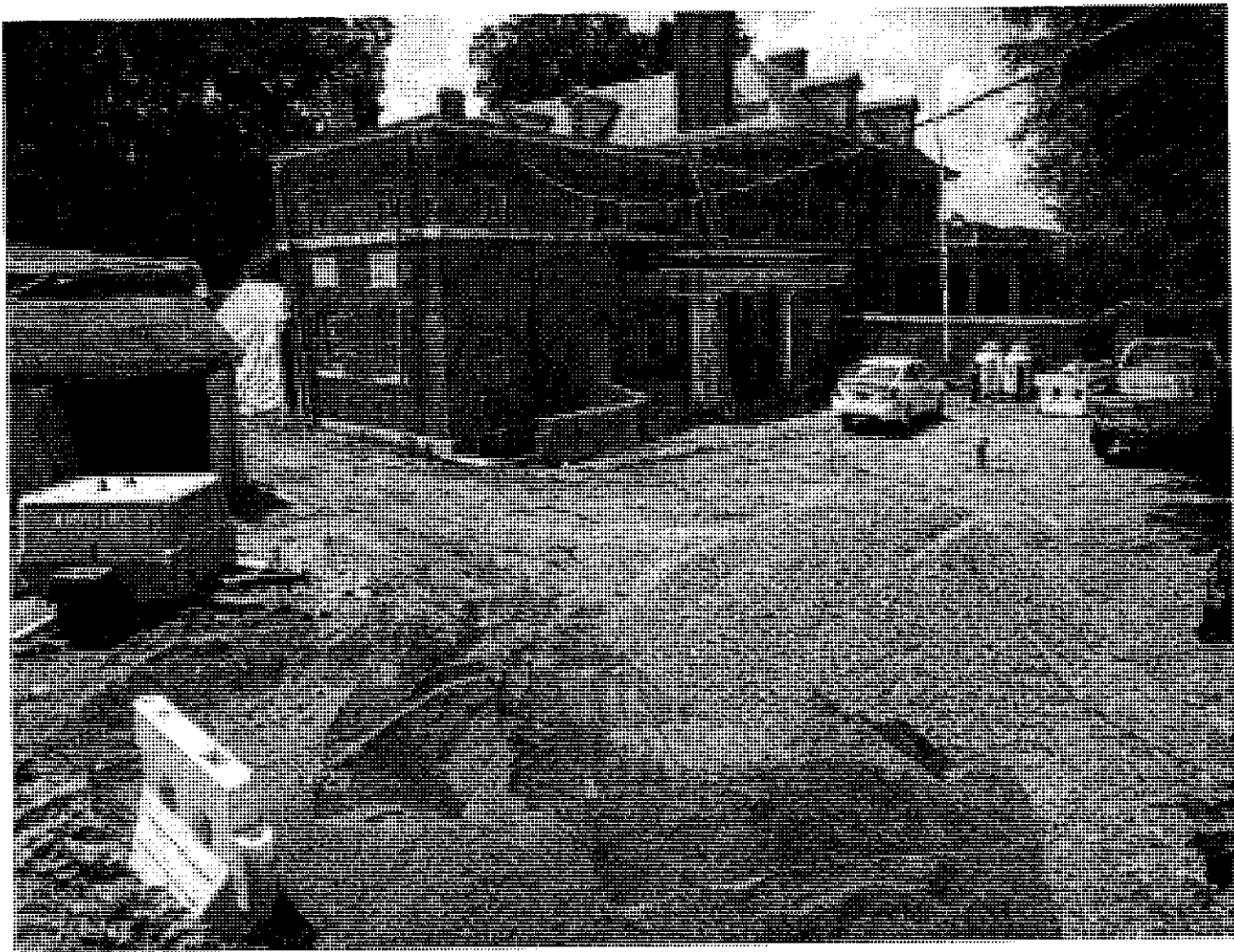
## PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	Mace Contracting Corporation	
PROJECT	Rehab Carousel & Derby Racer @ Playland Park Rye, NY	
PROJECT DESCRIPTION	Historical Rehab of the Carousel and Derby Racer.	
PROJECT DATES	November 2019 to 2021	
CONTRACT AMOUNT	\$8,450,000.00	
PROJECT CONTACT	R. James Antonaccio RA Westchester Cty-DPW	Tel: (914) 995-6343 Fax: (914) 995-6409



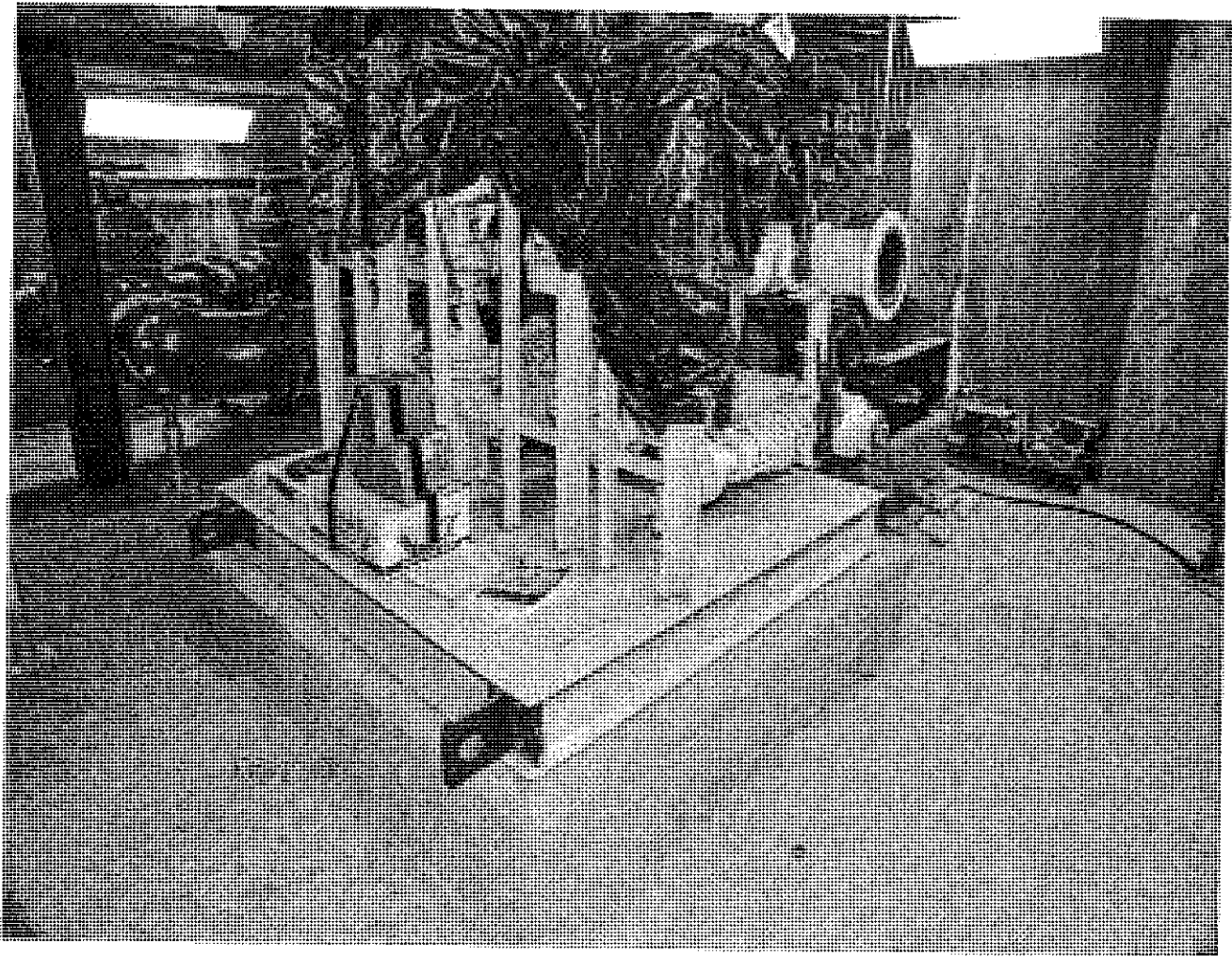
## PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Merestead Main House Rehab (Historic Mansion - #15-556) Mt Kisco, NY	
PROJECT DESCRIPTION	Historic Mansion Rehab, including Sitework, Masonry & Woodworks.	
PROJECT DATES	July 2019 to 2021	
CONTRACT AMOUNT	\$3,573,300.00	
PROJECT CONTACT	R. James Antonaccio RA Westchester Cty-DPW	Tel: (914) 995-6343 Fax: (914) 995-6409



## PROJECT REFERENCES

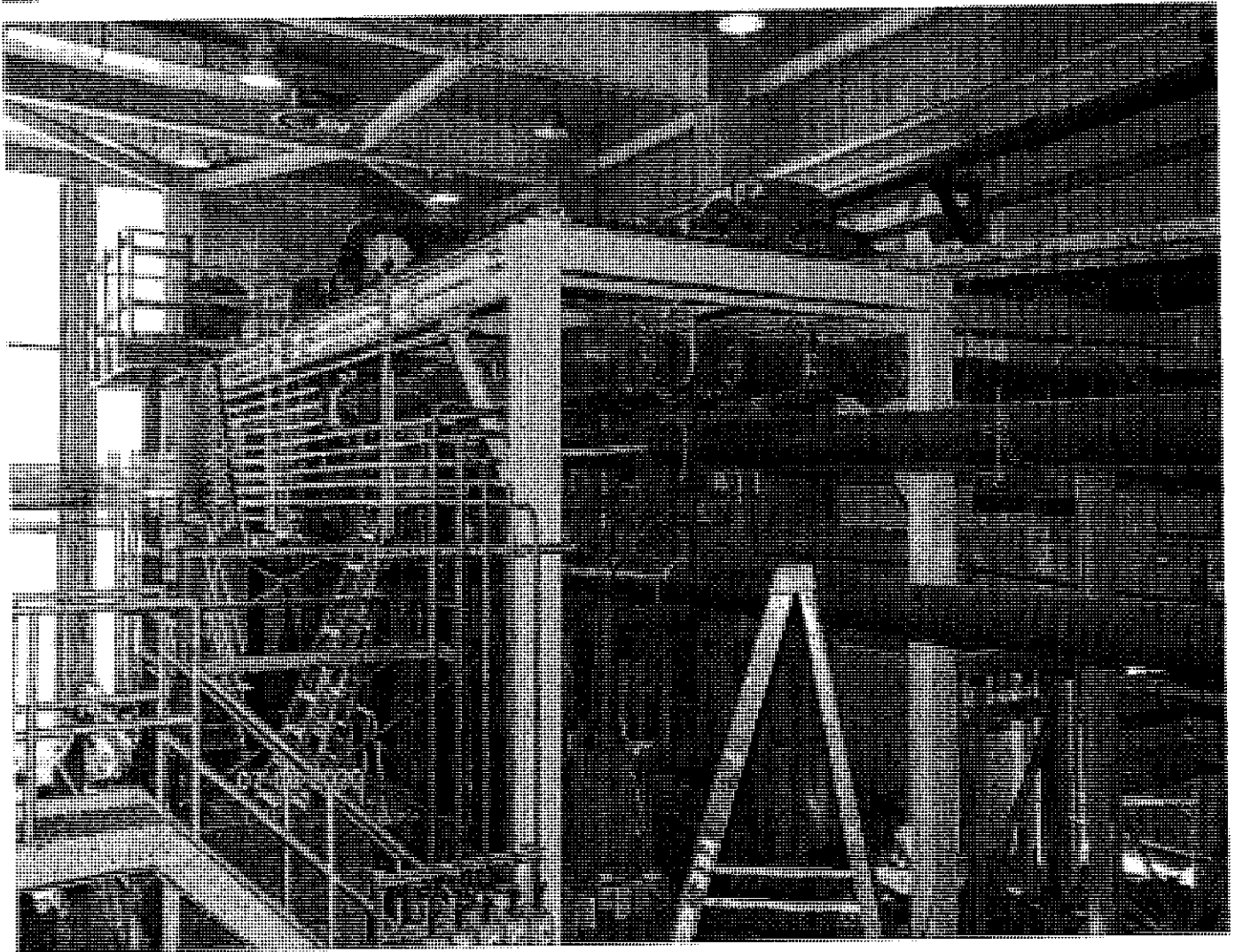
AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	Mace Contracting Corporation	
PROJECT	BNR Systems Improvements @ Mamaroneck WWTP (#17-509) Mamaroneck, NY	
PROJECT DESCRIPTION	Removal & Replacement of Plant Effluent Water Pump Skids, Modifications to Chemical Feed System & Piping Modifications.	
PROJECT DATES	November 2018 to 2021	
CONTRACT AMOUNT	\$6,973,000.00	
PROJECT CONTACT	Leah Radko, PE Westchester Cty-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727





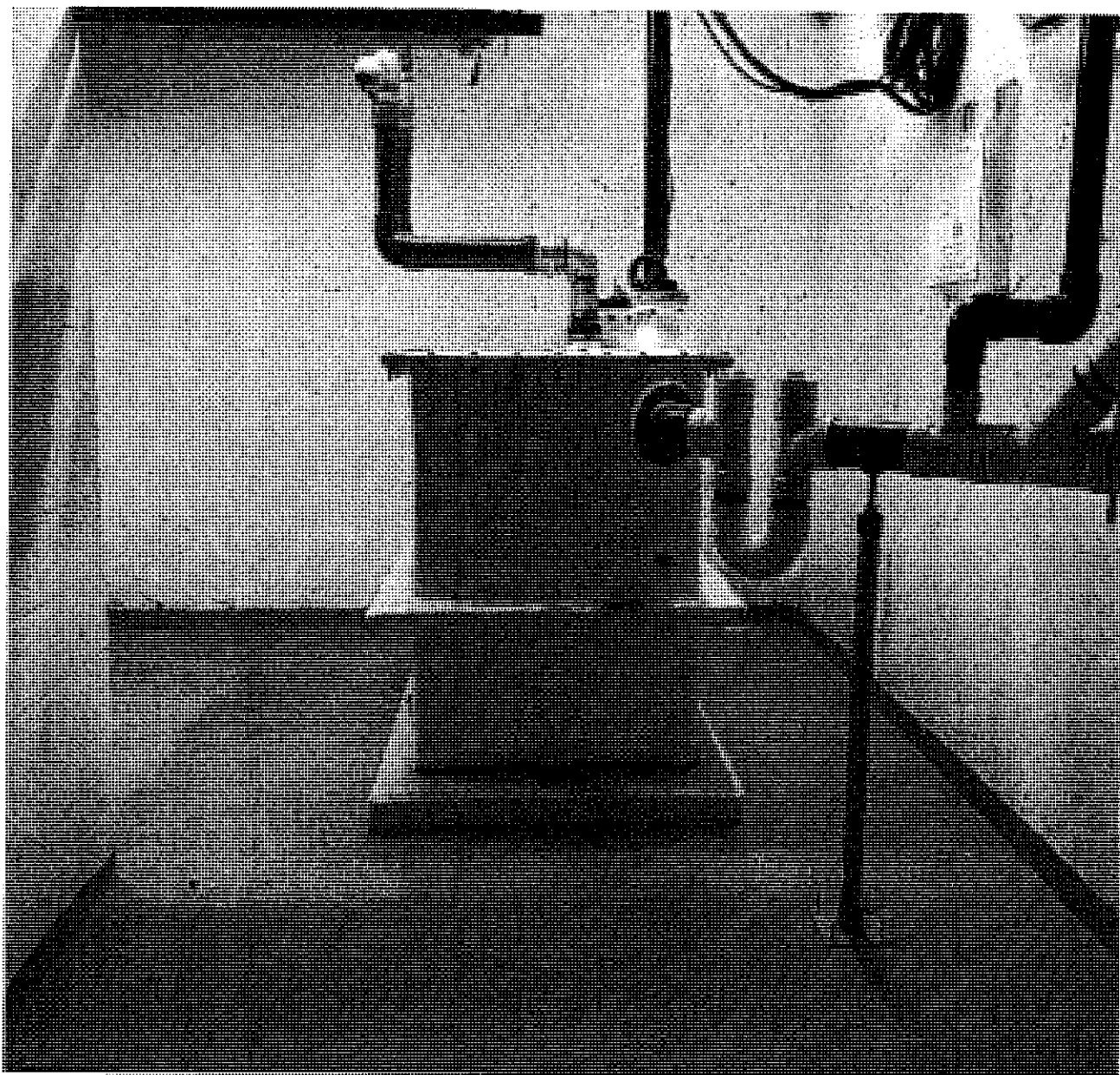
# PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Grit Handling Improvements @ YWWTP (#16-500) Yonkers, NY	
PROJECT DESCRIPTION	Removal & Replacement of Grit Elevators, Conveyor Screws, Sluice Gates, Baffle Walls, and Diffuser Systems.	
PROJECT DATES	April 2018 to 2020	
CONTRACT AMOUNT	\$6,227,000.00	
PROJECT CONTACT	Leah Radko, PE Westchester Cty-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727



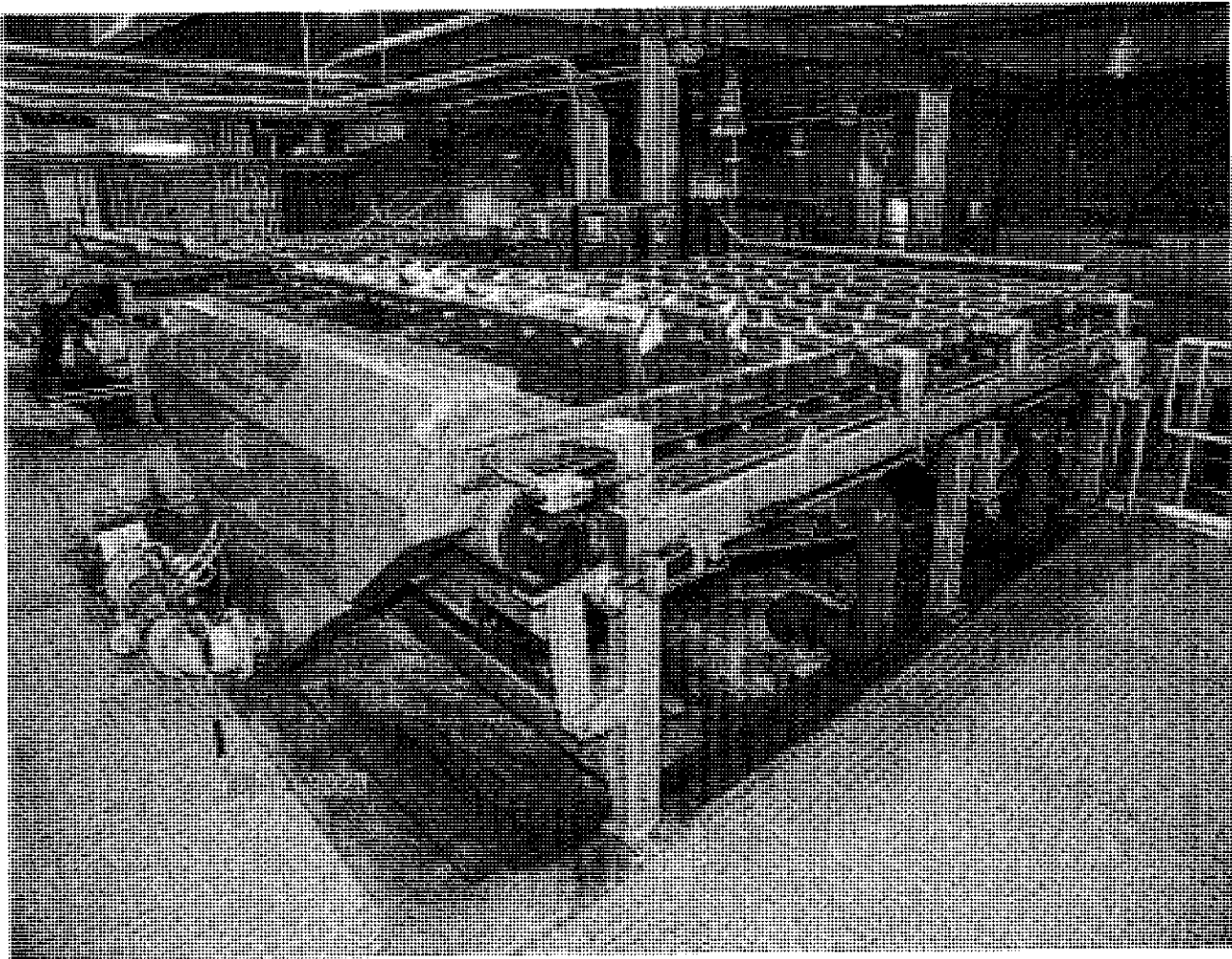
## PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	Mace Contracting Corporation	
PROJECT	Sandy Phase 3 (#15-545) @ YWWTP Yonkers, NY	
PROJECT DESCRIPTION	Removal & Replacement of all Sump Pumps thru-out the Plant, Pump Motors and Instruments.	
PROJECT DATES	March 2016 to 2019	
CONTRACT AMOUNT	\$6,750,000.00	
PROJECT CONTACT	Leah Radko, PE Westchester Cty-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727



## PROJECT REFERENCES

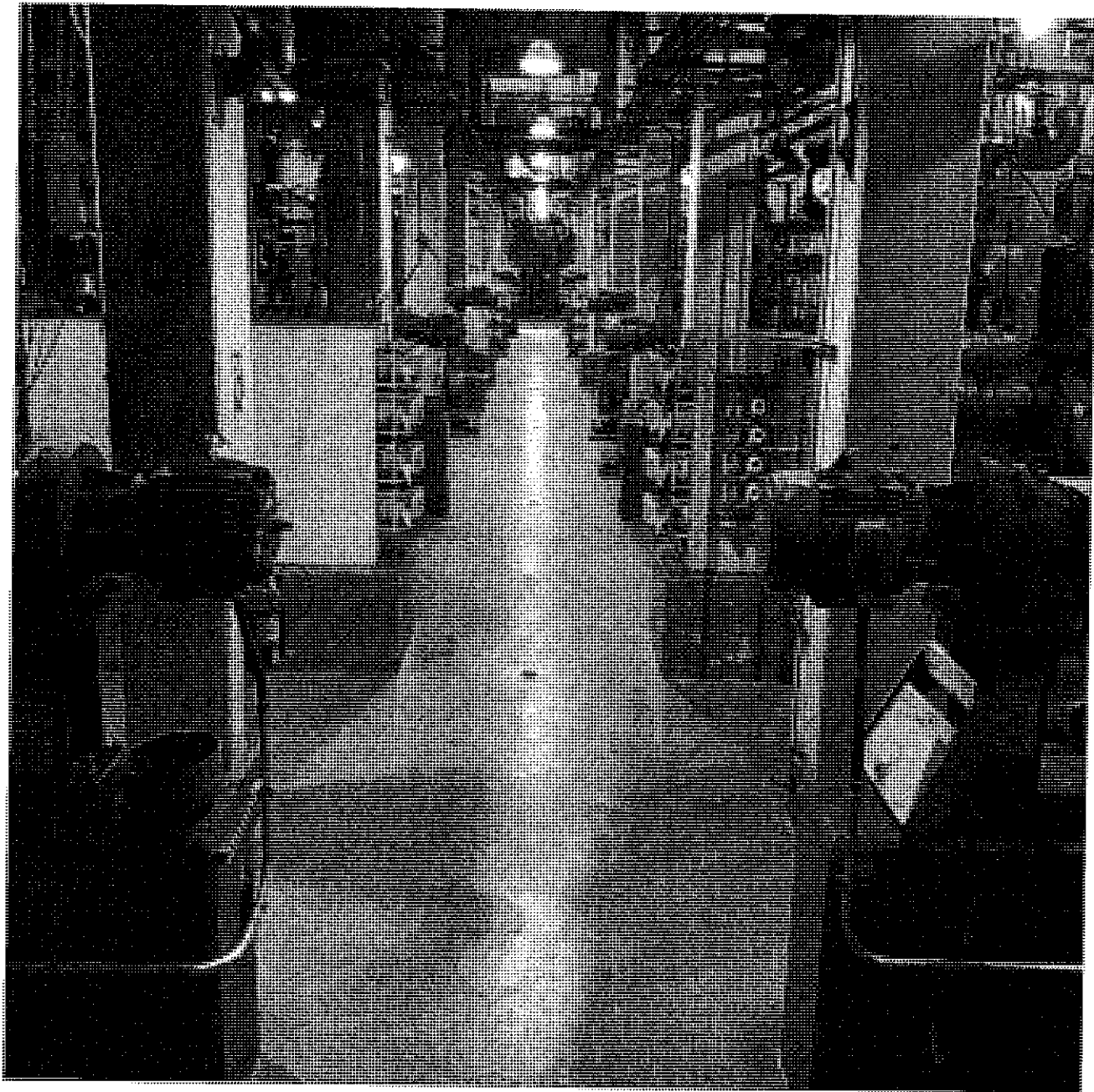
AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	DAF Thickeners @ YWWTP (#14-531) Yonkers, NY	
PROJECT DESCRIPTION	Installation of New Polymer Room, Electrical Room to Support NEW GBTs and Controls including Pumps, Piping, HVAC and Controls.	
PROJECT DATES	August 2017 to 2020	
CONTRACT AMOUNT	\$13,190,000.00	
PROJECT CONTACT	Leah Radko, PE Westchester Cty-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727





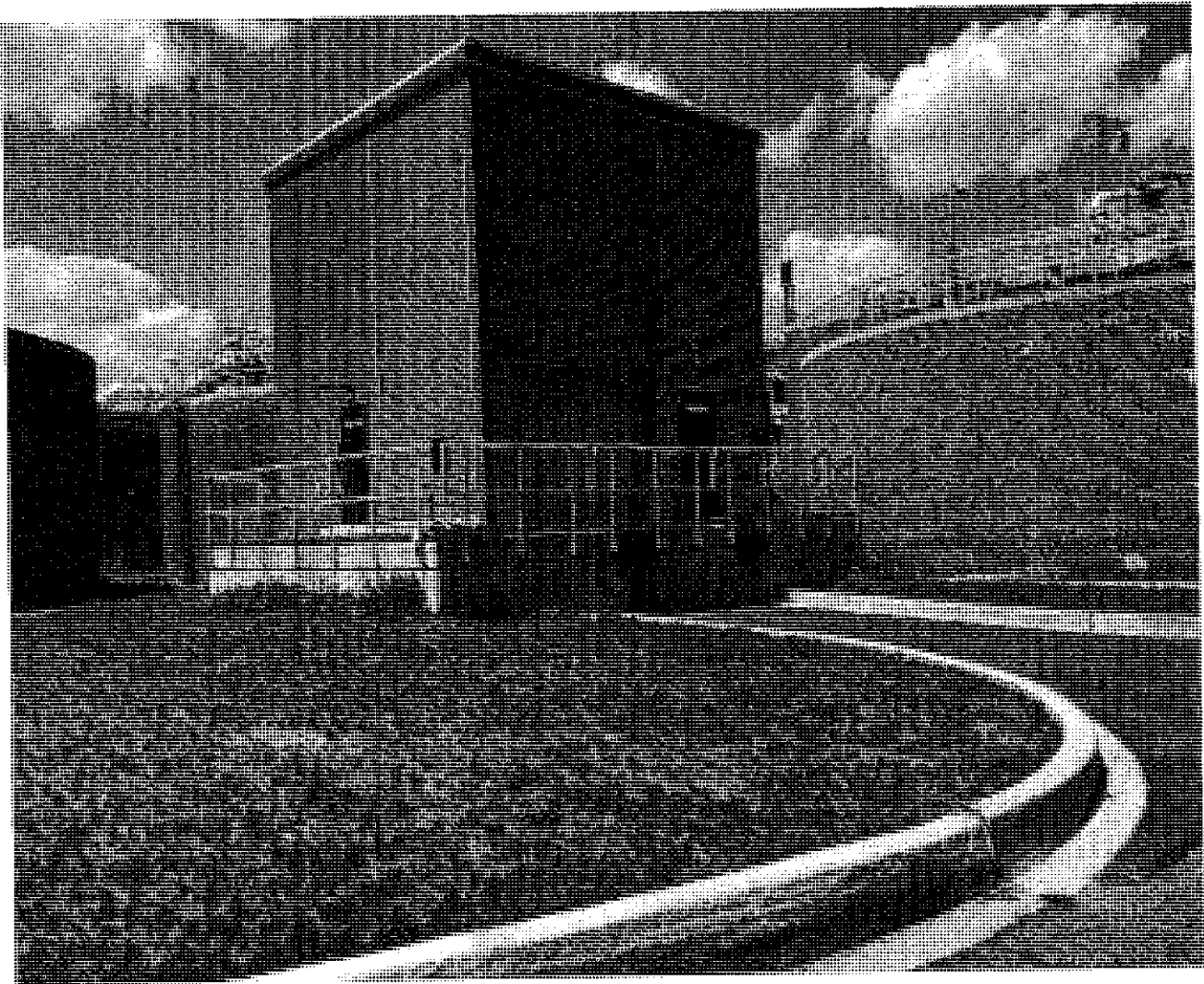
## PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Sandy II-4 (#14-519) Yonkers, NY	
PROJECT DESCRIPTION	Removal & Installation of New Resinous Flooring thru-out the Entire Basement of the Plant.	
PROJECT DATES	May 2017 to 2019	
CONTRACT AMOUNT	\$1,425,000.00	
PROJECT CONTACT	Leah Radko, PE Westchester Cty-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727



## PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Sandy Phase II-3 (#14-518) Yonkers, NY	
PROJECT DESCRIPTION	Addition of New Pre-Cast Building Housing New Potassium Permanganate System. Replacement of all Lighting Fixtures in Basement.	
PROJECT DATES	April 2017 to 2019	
CONTRACT AMOUNT	\$3,187,982.00	
PROJECT CONTACT	Leah Radko, PE Westchester Cty-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727



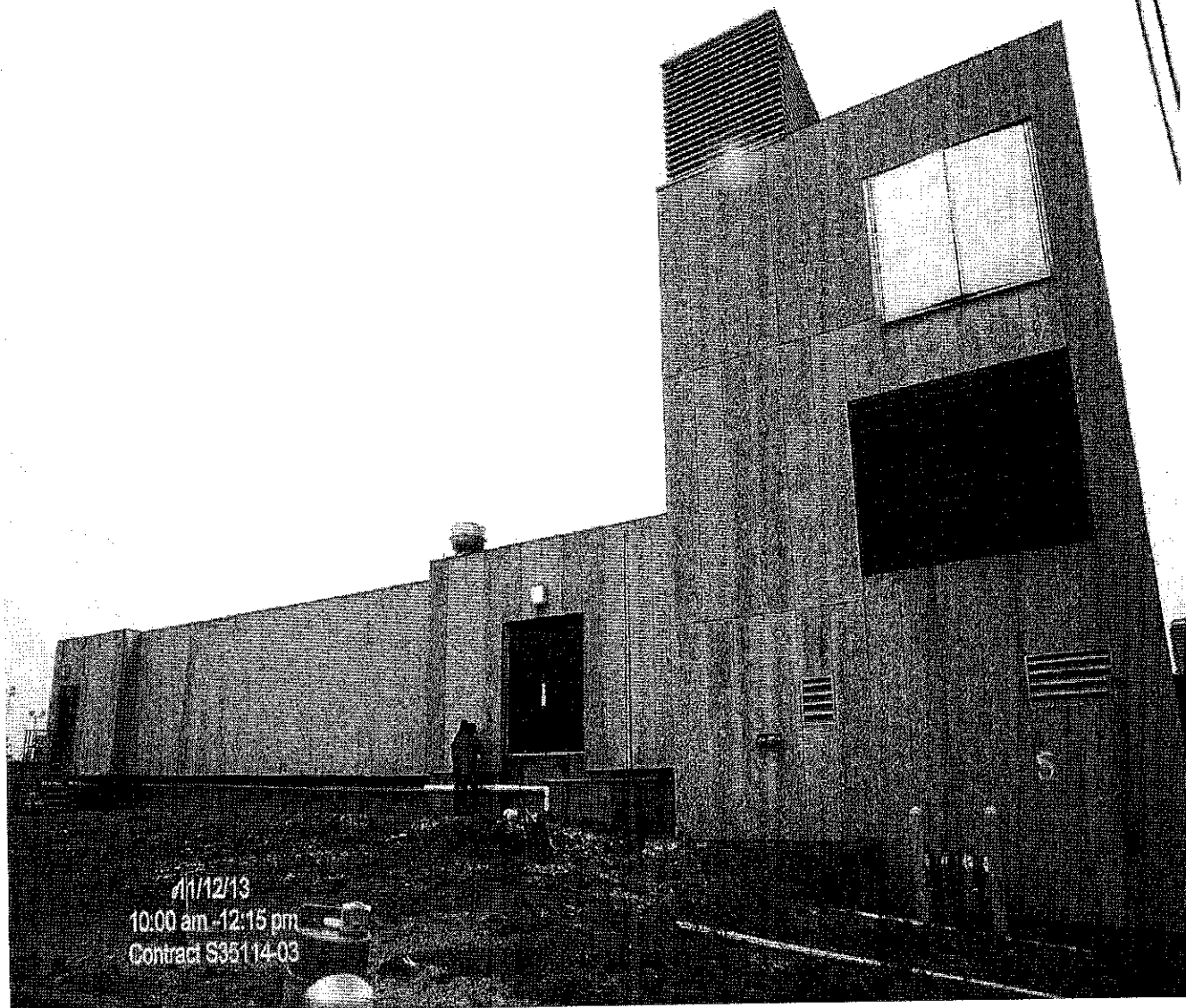
## PROJECT REFERENCES

AGENCY/OWNER	Grace Church Community Center	
PRIME CONTRACTOR	Mace Contracting Corporation	
PROJECT	Open Arms Men's Shelter White Plains, NY	
PROJECT DESCRIPTION	Complete Gut Rehab to existing Commercial 3 Story Building, including new addition to rear Stairway & additional Story on Roof Level. New Construction to Interior, walls, Ceilings, Drywall, Paint, Doors/Frames, Floor Flooding and All MEP Trades	
PROJECT DATES	March 2014 to June 2015	
CONTRACT AMOUNT	\$3,420,000.00	
PROJECT CONTACT	Paul Anderson Winchel, Executive Dir. Chad Dickinson, AIA	Tel: (914) 949-3008 ext 50 Tel: (203) 345-0406



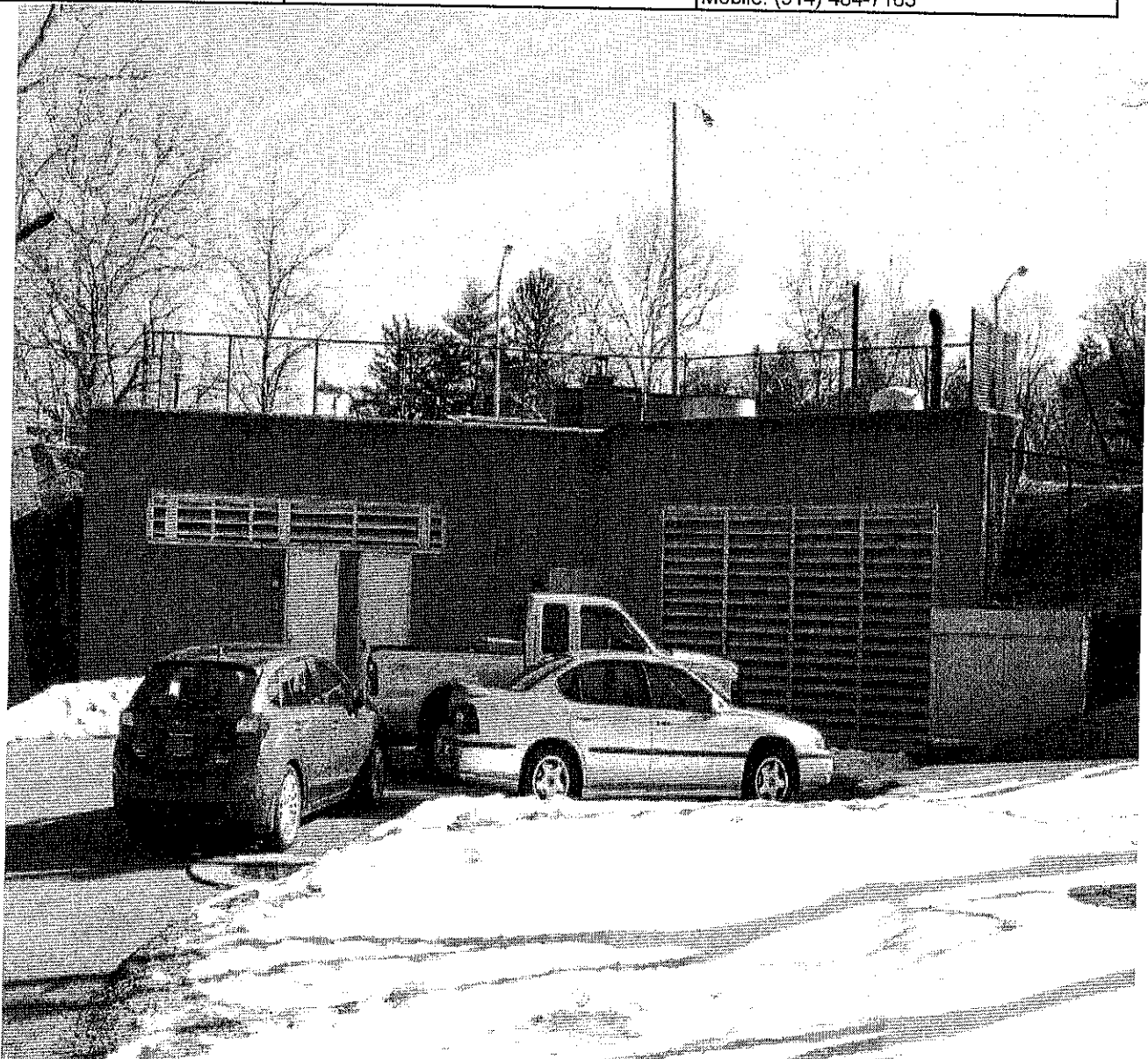
# PROJECT REFERENCES

AGENCY/OWNER	Nassau County Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Bay Park STP Sludge Thickening Facility East Rockaway, NY	
PROJECT DESCRIPTION	Demo Obsolete Sludge Thickening Equipment & Tanks. Install new Polymer Feed System, New Odor Control System, Instrumentation & Controls, Sitework, Concrete, Masonry, Metals, Caulking & Painting. Remove Asbestos Roof, replace w/New Built up Roof. Replace WAS & Effluent Pumps & Related VFD's. New Electrical Work including New MCC. Remove/Replace HVAC System, Air Handling Units, Ductwork, Louvers, Dampers, Fans, Electric Heaters, Auto Temperature Controls	
PROJECT DATES	September 2013 to October 2015	
CONTRACT AMOUNT	\$11,937,000.00	
PROJECT CONTACT	Frank May, PM	Tel: (516) 571-7224



# PROJECT REFERENCES

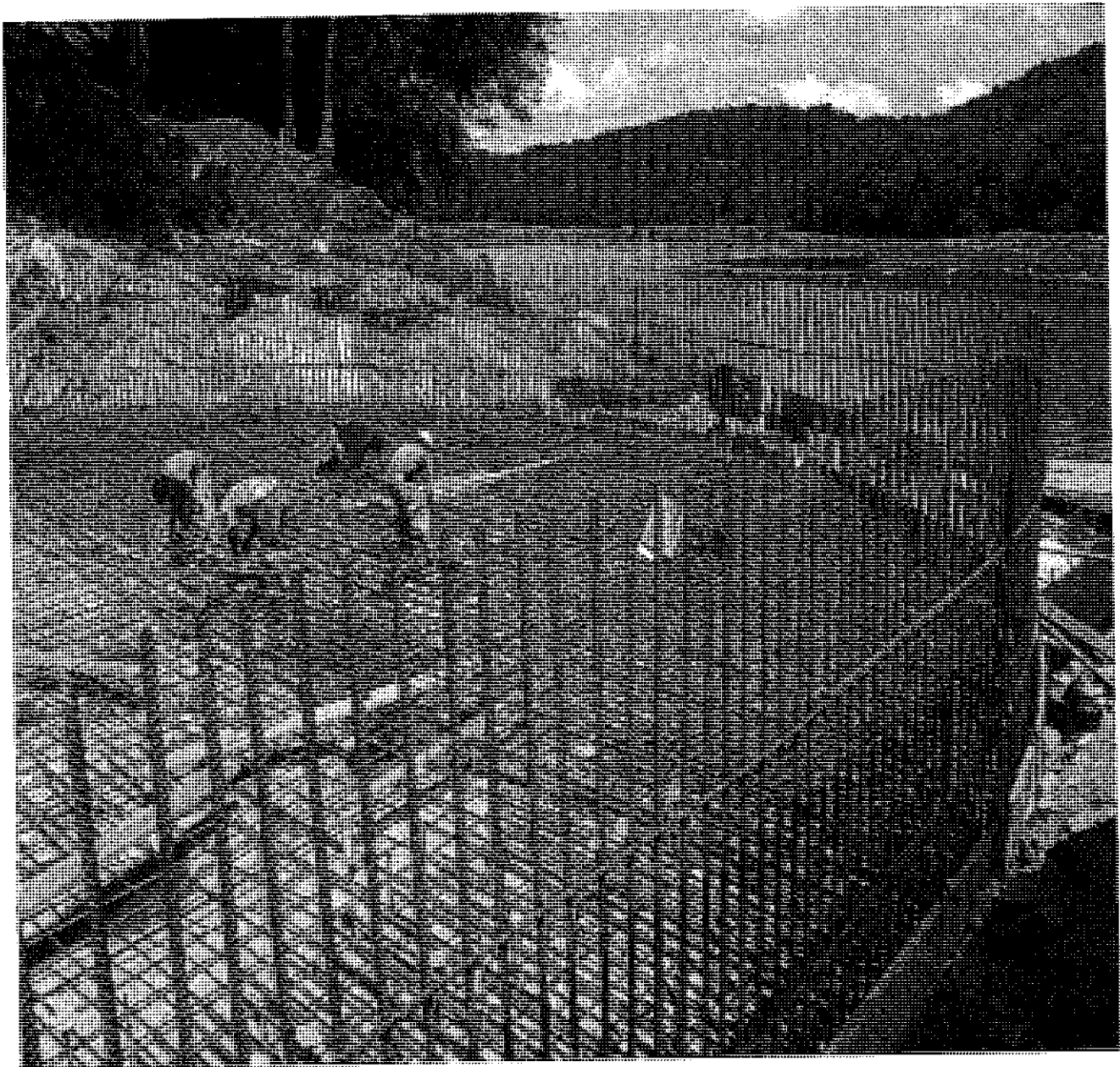
AGENCY/OWNER	Village of Scarsdale	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Reeves Newsom Water Supply Station Scarsdale, NY	
PROJECT DESCRIPTION	Remove/Replace Pump Station Equipment, Pumps, VFD;s, MCP Panels, Chemical Feed System, Generator. Interior GC Work, New electrical Service including ConEd Service, Transformers, SwitchGear, MCC, Lighting & Power for all New Equipment. Sitework inclusive of Asphalt Pavement, Curbs & Fencing. Remove Asbestos Roof w/New Green Roof. Remove/Replace HVAC System; New Air Handling Units, Duct-Work, Louvers, Dampers, Fans, Electric Heaters & Auto Temperature Controls.	
PROJECT DATES	December 2012 thru June 2015	
CONTRACT AMOUNT	\$4,136,000.00	
PROJECT CONTACT	Seth Schneider, PE Malcom Pirnie	Tel: (914) 641-2954 Mobile: (914) 484-7165





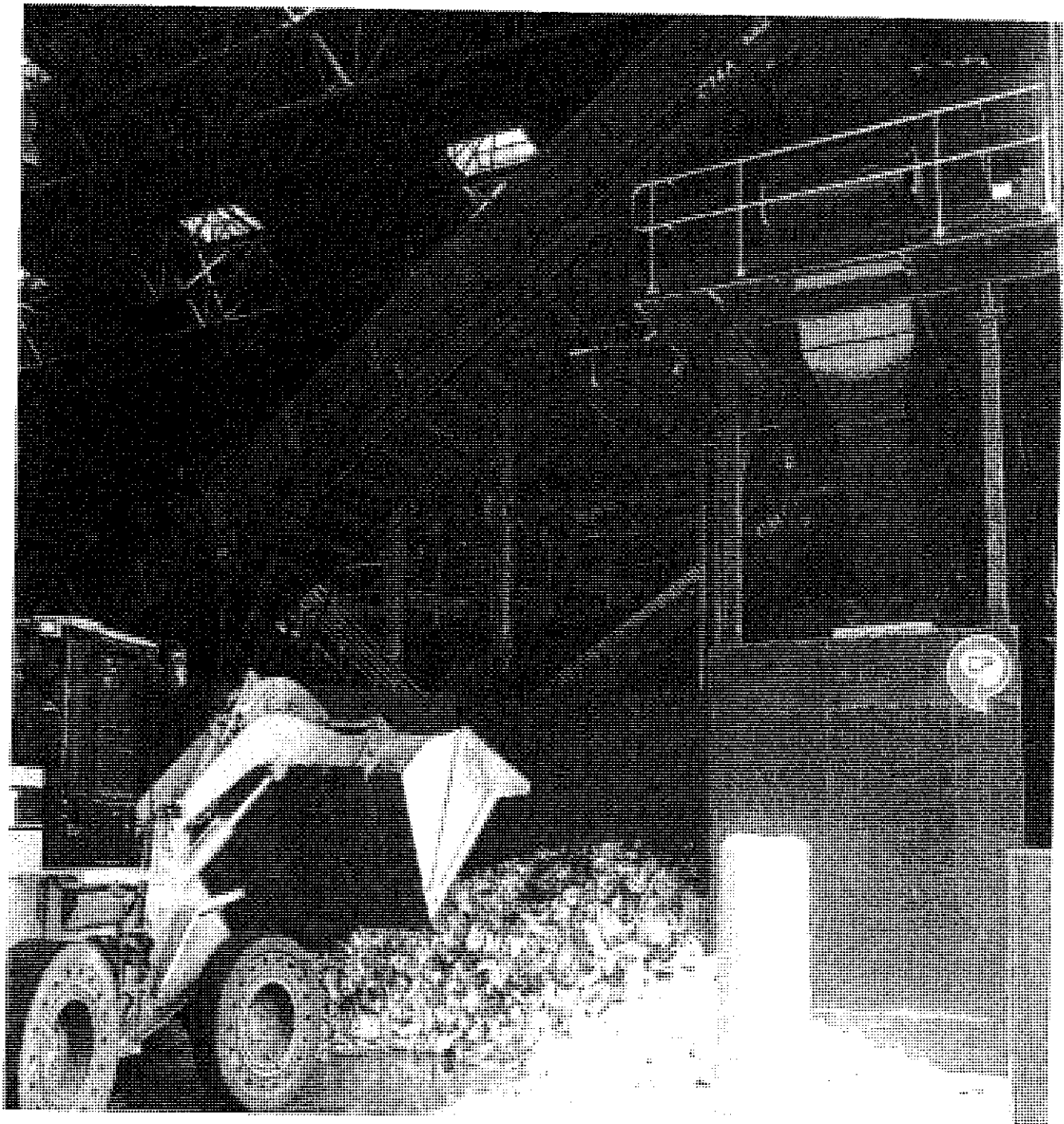
## PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Pocantico Lake Dam Rehabilitation Mount Pleasant, NY	
PROJECT DESCRIPTION	New concrete dam spillway, new culvert bridge, new concrete dam core walls, sitework, excavation, stone walls, drainage, Temporary By-Pass Siphone System New Roadway	
PROJECT DATES	December 2011 thru December 2012	
CONTRACT AMOUNT	\$3,719,999.65	
PROJECT CONTACT	David, Smyth, PE Westchester Cty-DPW	Tel: (914) 995-3361 Fax: (914) 995-2564



## PROJECT REFERENCES

AGENCY/OWNER	City Carting of Westchester	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Enhancement of The Daniel P. Thomas Material Recovery Facility Yonkers, NY	
PROJECT DESCRIPTION	General Construction & Electrical work for the installation of the new commingled process system. Demolition, Structural steel & concrete, Bollards and Asphalt Paving	
PROJECT DATES	March 2011 thru July 2011	
CONTRACT AMOUNT	\$974,000.00	
PROJECT CONTACT	Robert Matarazzo, PE Arcadis - Malcom Pirnie	Tel: (914) 641-2790 Fax: (914) 641-2475



## PROJECT REFERENCES

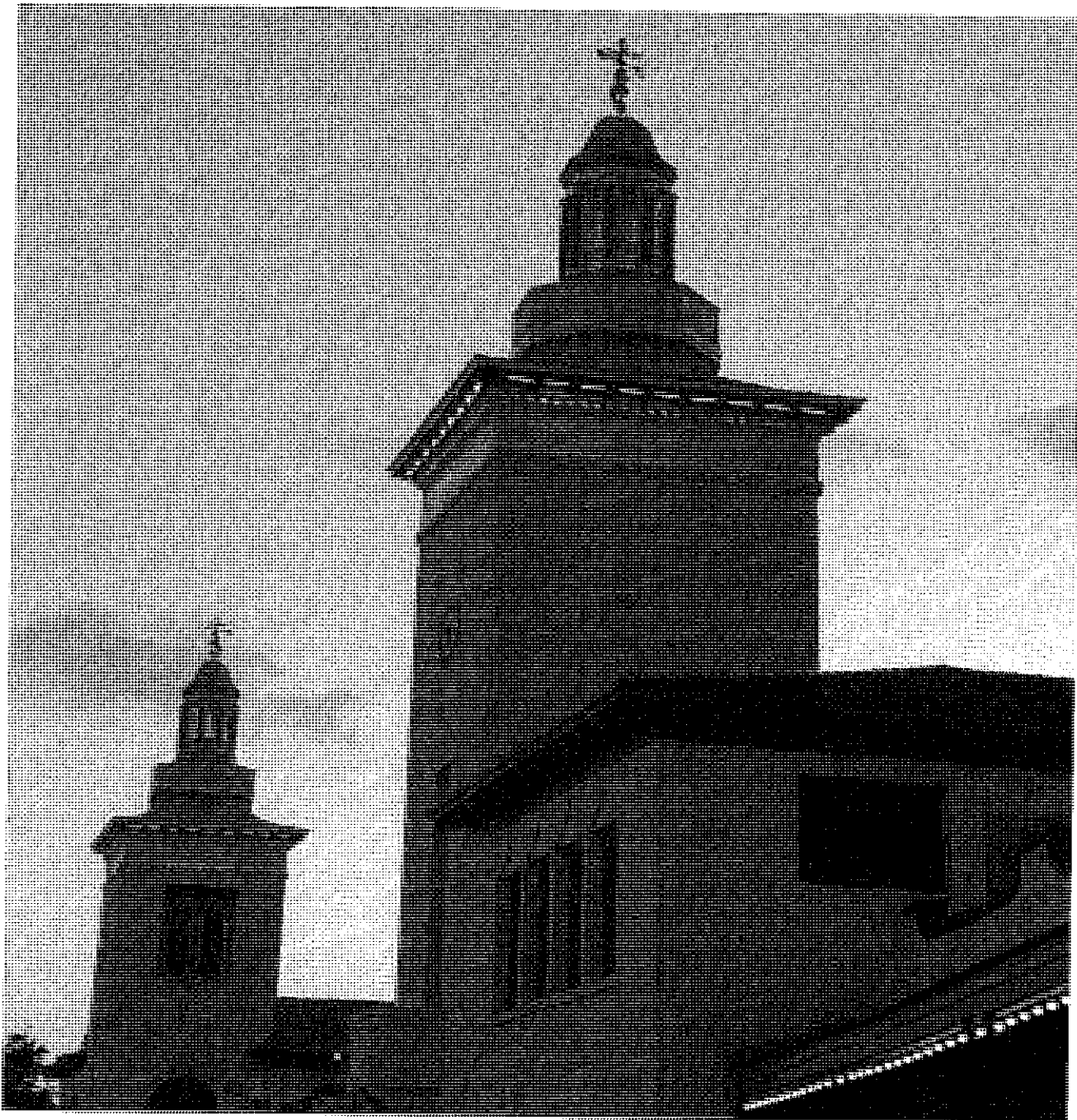
AGENCY/OWNER	NYC DEP	
PRIME CONTRACTOR	SEW Construction, a Joint Venture of Skanska / Ecco III / White	
SUBCONTRACTOR	<b>Mace Contracting Corporation, Concrete Subcontractor</b>	
PROJECT	WM-30 Catskill & Delaware Water Treatment Ultra Violet Light Disinfection Facility Valhalla, NY	
PROJECT DESCRIPTION	New Cast-in-Place Concrete headwalls, drainage structures, Precast Bollards, Concrete Ram Walls and Guard House	
PROJECT DATES	September 2011 thru October 2012	
CONTRACT AMOUNT	\$953,000.00	
PROJECT CONTACT	John Sullivan, Project Manager SEW / Skanska	Tel: (914) 358-0891 Fax: (914) 345-3104





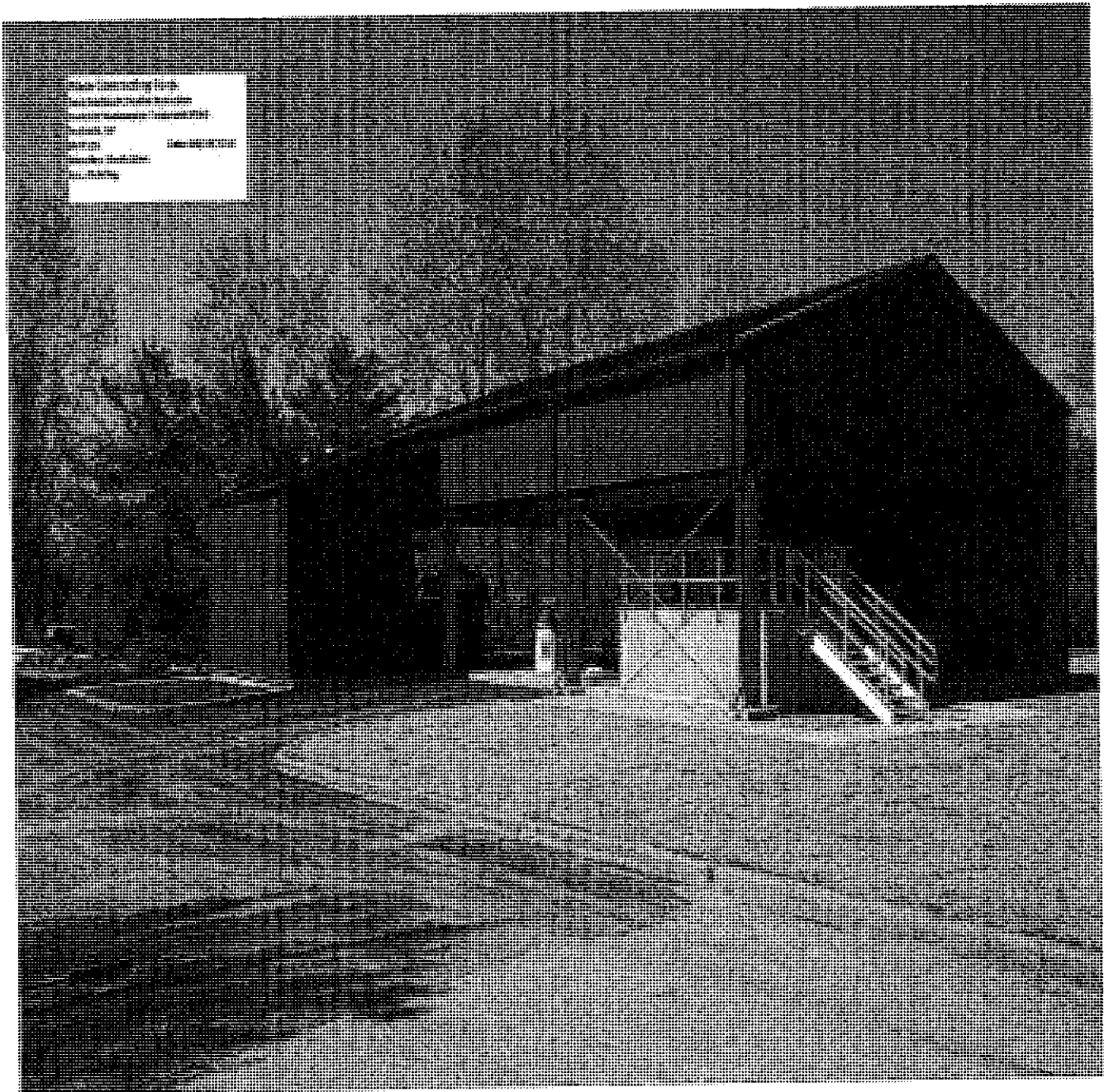
## PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Rye Playland Bathroom Restoration Rye, NY	
PROJECT DESCRIPTION	Interior & Exterior Renovations of Bathhouse, Sitework, Concrete, Carpentry, Painting, Doors, Windows, Roofing, Exterior Plastering and New Fiberglass Cupolas. MEPs, Propoane Gas System and Sprinklers	
PROJECT DATES	September 2011 thru May 2012	
CONTRACT AMOUNT	\$2,300,000.00	
PROJECT CONTACT	Joseph Maccariello Westchester Cty-DPW	Tel: (914) 497-2818



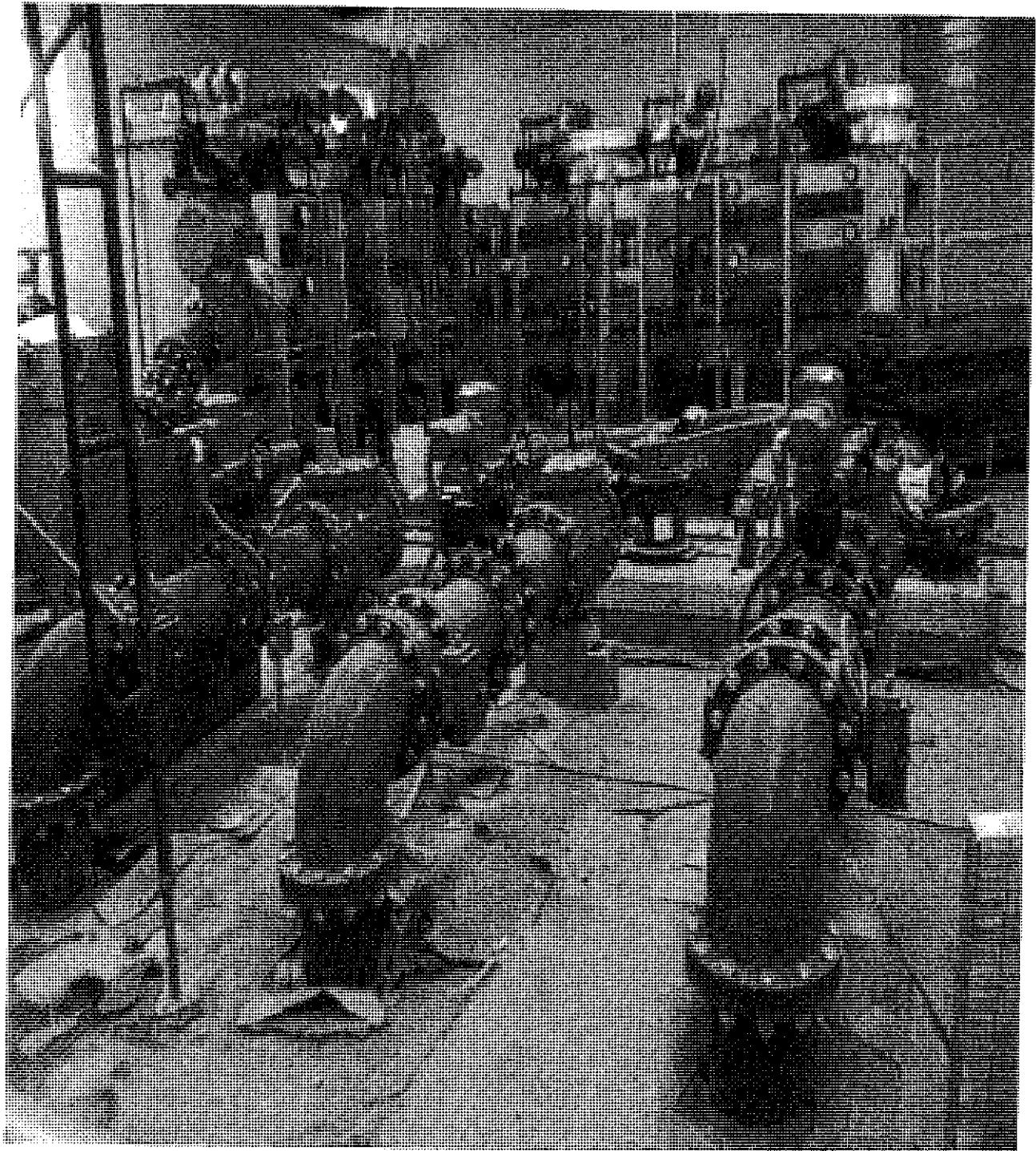
# PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Total Residual Chlorine Reduction @ Peekskill Wastewater Treatment Plant Peekskill, NY	
PROJECT DESCRIPTION	UV Disinfection Facility, Ancillary Equipment, Auger Cast Piles, Demolition of two Sludge drying beds, precast concrete, prefab metal building, excavation, sitework Sluice & Slide Gates, Temp By-Pass of Plant Effluent, Sheet Piling	
PROJECT DATES	September 2011 thru May 2012	
CONTRACT AMOUNT	\$3,710,000.00	
PROJECT CONTACT	Leah Radko, PE Westchester Cty-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727



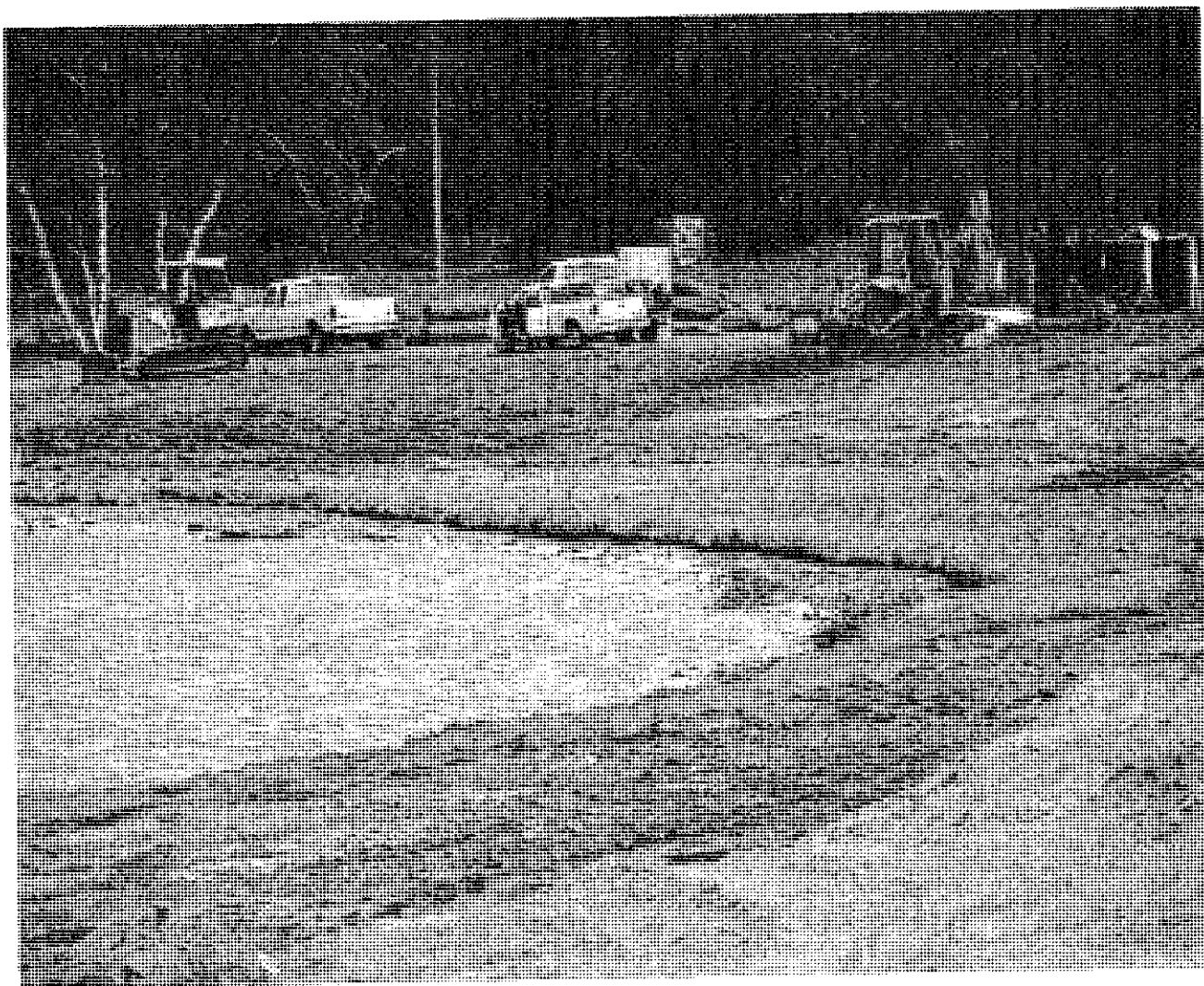
## PROJECT REFERENCES

AGENCY/OWNER	Hicksville Water District	
PRIME CONTRACTOR	Mace Contracting Corporation	
PROJECT	Wellhead Treatment for Nitrate & VOC Removal at Plant No.6 Hicksville, NY	
PROJECT DESCRIPTION	New Treatment Plant, Excavation, Concrete, Masonry, Carpentry, Paint, Mechanical Nitrate Removal System and Air Stripper Tower	
PROJECT DATES	July 2011	Thur August 2012
CONTRACT AMOUNT	\$3,511,050.00	
PROJECT CONTACT	Benjamin Blesch, PE H2M Group	Tel: (631) 756-8000 Fax: (631) 393-6322



# PROJECT REFERENCES

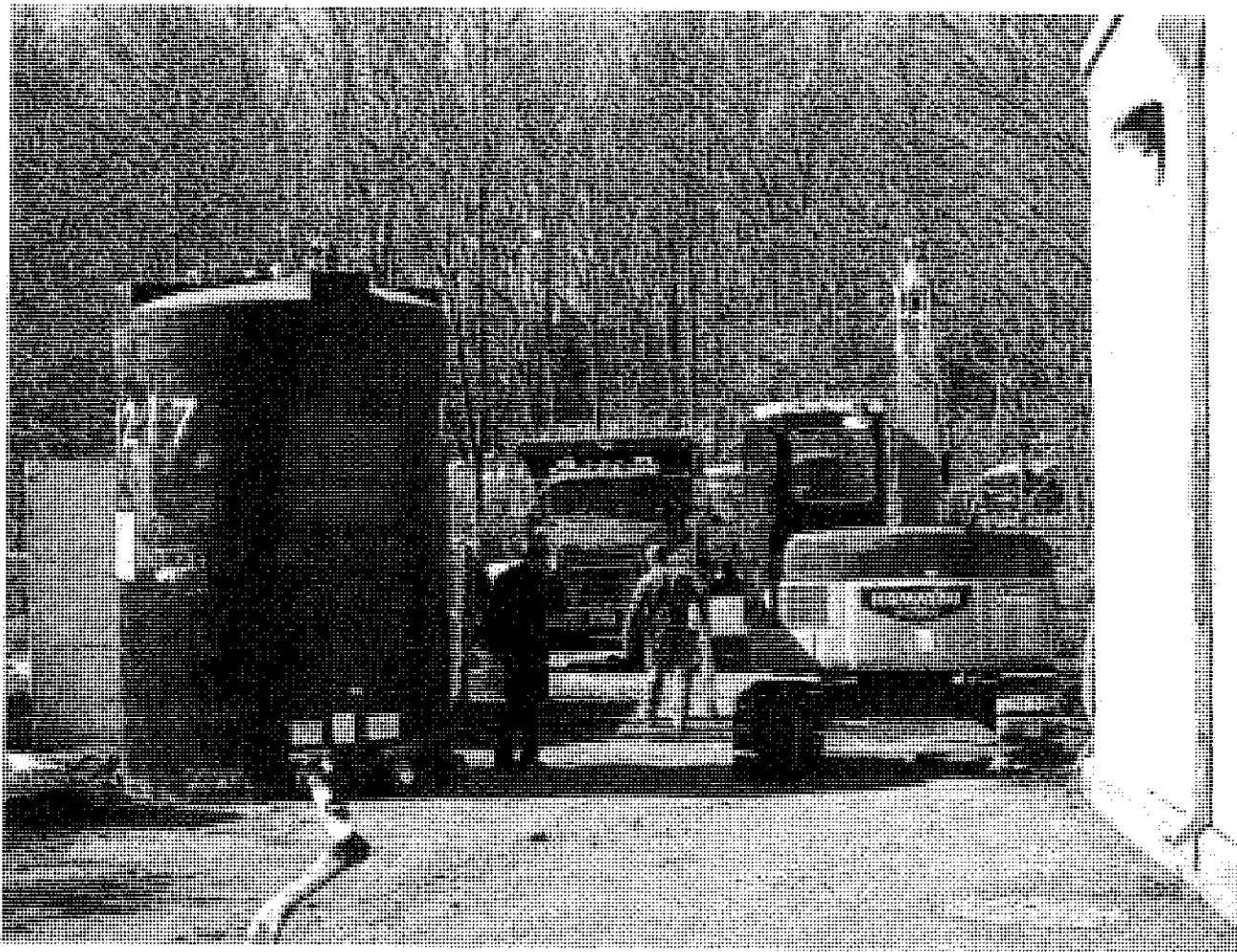
AGENCY/OWNER	Westchester County Department of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Manursing Lake Aquatic Habitat Restoration Playland Park, Rye, NY	
PROJECT DESCRIPTION	This Project includes the creation of Tidal/Salt Marsh along approx. 1,200 LF of Lake Shoreline and all associated appurtenances. Site work, grading, soil erosion, site prep, Asphalt curb paving and planting of Aquatic plants thru-out the lake's shoreline.	
PROJECT DATES	May 2010 thru August 2010	
CONTRACT AMOUNT	\$688,000.00	
PROJECT CONTACT	Leah Radko, PE (Director of D & C) County of Westchester-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727





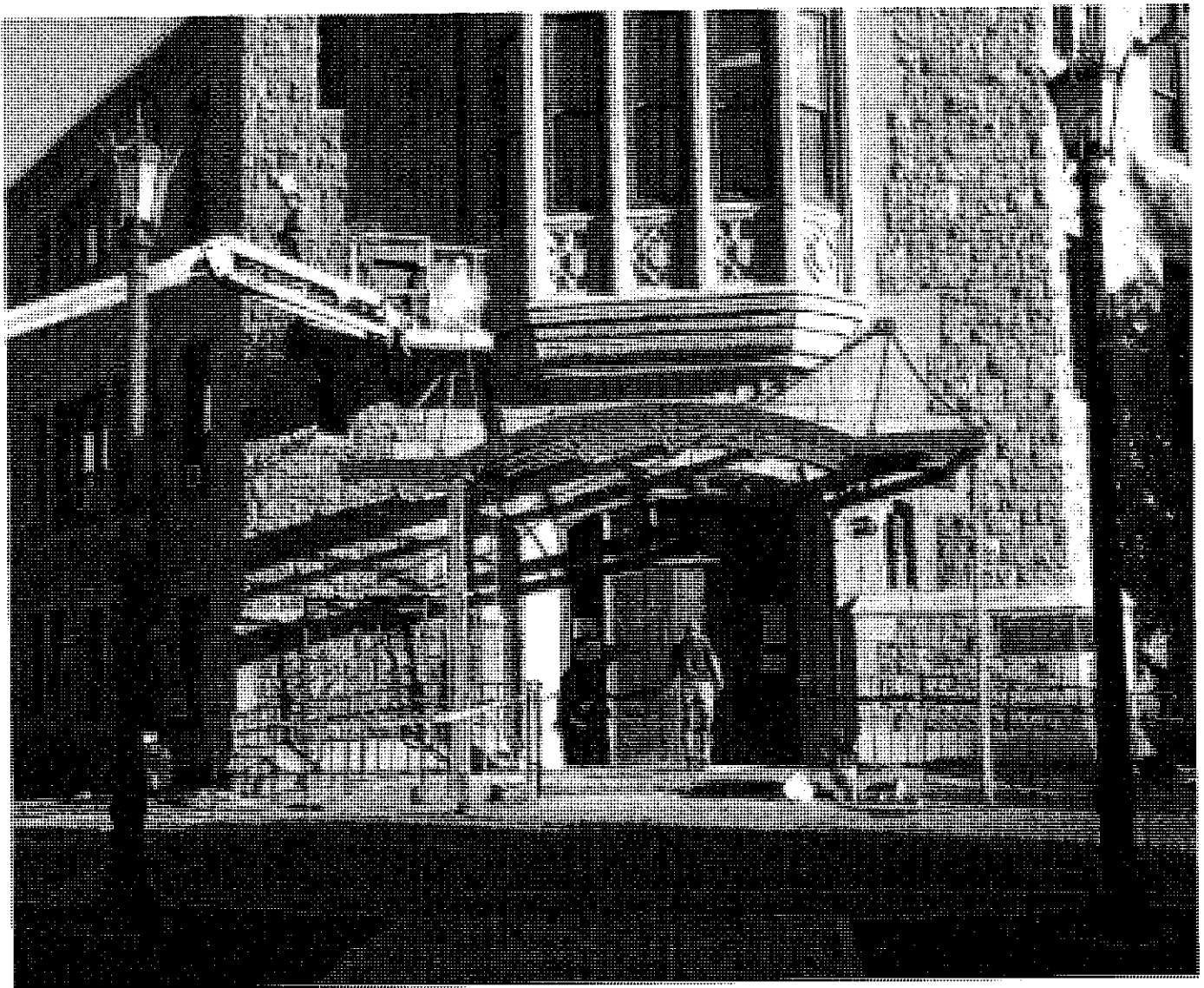
## PROJECT REFERENCES

AGENCY/OWNER	Rockland Sewer District I	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Hackensack River Pumping Station Upgrade Project Orangeburg, NY 10956	
PROJECT DESCRIPTION	Excavation & Site work, erosion control, prep for new South Elec Rm Addition. To include, concrete work, masonry, carpentry, roofing & Equipment pads. Removal of Six (6) Pumps, new PLCs & VFDs, New Pumps/Motors, modify control system.	
PROJECT DATES	January 2010 thru June 2011	
CONTRACT AMOUNT	\$2,557,000.00	
PROJECT CONTACT	Martin Dolphin, PE Engineer III Rockland County-Dept of Public Works	Tel: (845) 365-6111 Fax: (845) 365-6686



## PROJECT REFERENCES

AGENCY/OWNER	Pelham UFSD	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Interior Renovations, Phase II at Pelham HS Pelham, NY	
PROJECT DESCRIPTION	Renovations to the existing Pelham HS; New Library, Band Room & Thru-out School. Ultra Custom Woodwork, Wd Doors, Frames & Specialty Hardware, custom music acoustic noise isolation systems @ Band Rm & Library	
PROJECT DATES	March 2010 thru December 2010	
CONTRACT AMOUNT	\$3,000,000.00	
PROJECT CONTACT	Robert J. Firneis, Fara, LEED AP, CDT Savin Engineers, PC	Tel: (914) 769-3200 x276 Fax: (914) 747-6686

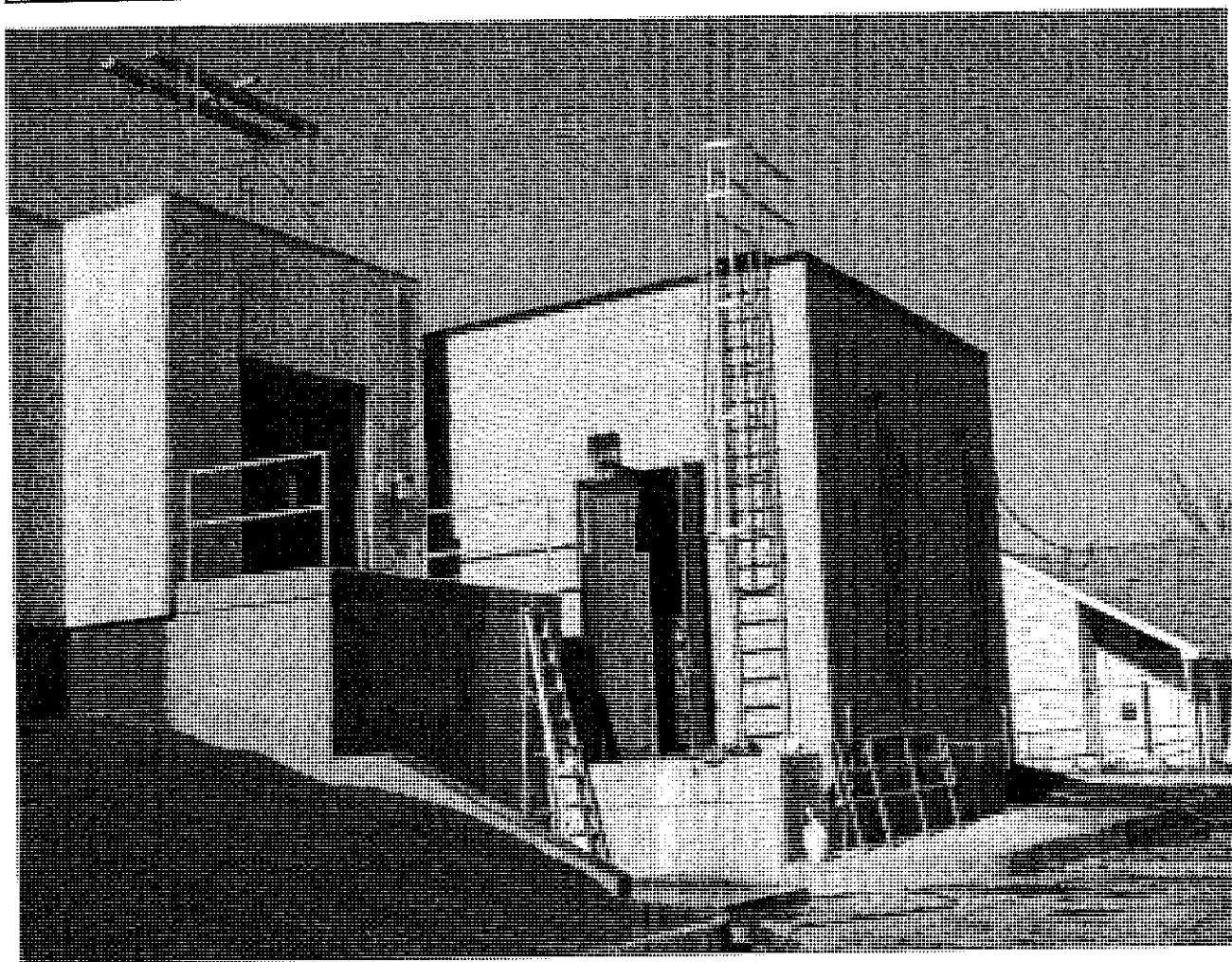


# PROJECT REFERENCES

AGENCY/OWNER	Westchester County Medical Center	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Interim Emergency Department Modular Enabling Work White Plains, NY	
PROJECT DESCRIPTION	Renovations to the existing emergency department including new fast track & new child care areas. Work includes demolition under "Dust Control" Procedures w/HEPA negative air systems, custom millwork, drywall, acoustic ceilings, floors & painting	
PROJECT DATES	Januray 2008, project is underway and progressing on schedule as per Phased Plan	
CONTRACT AMOUNT	\$957,000.00	
PROJECT CONTACT	Lenny Venechanos The Liro Group	Tel: (516) 351-8251 Fax: (914) 995-3238

## PROJECT REFERENCES

AGENCY/OWNER	Nassau County Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Bay Park Sewage Treatment Plant, DAF Subnatant Pump Station East Rockaway, NY	
PROJECT DESCRIPTION	New Pump Station Building, Sheet piling, Grout Piles, concrete block, brickwork, precast concrete plank roof subbase. Installation of 2-submersible by-pass pumps & piping. Install 3-new dry-pit submersible pumps, VFD's, PLC, Primary Sensors & Field Instru.	
PROJECT DATES	June 2009 thru February 2011	
CONTRACT AMOUNT	\$2,567,000.00	
PROJECT CONTACT	Frank May, Proj. Engineer	Tel: (516) 571-7223
	Lockwood, Kessler & Bartlett, Inc.	Fax: (516) 571-7230
	James M. Gallagher, PE	Tel: (516) 571-7220
	Nassau County-Dept of Public Works	Fax: (516) 571-7230





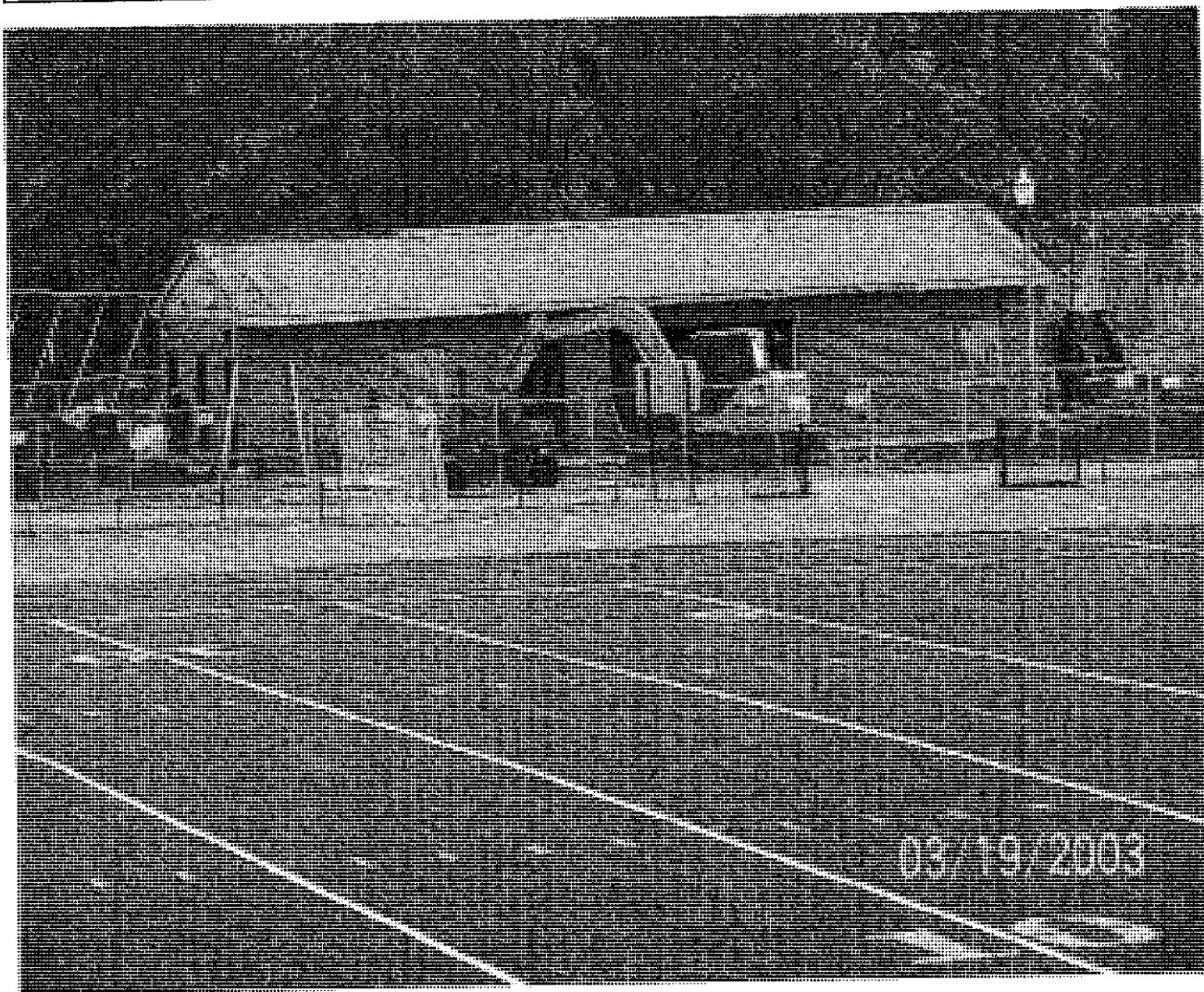
## PROJECT REFERENCES

AGENCY/OWNER	New Rochelle WWTP	
PRIME CONTRACTOR	Mace Contracting Corporation	
PROJECT	Demolition & Removal of Obsolete Equipment New Rochelle, NY 10801	
PROJECT DESCRIPTION	Sludge processing Equipment including sludge pumps, piping, incinerator, ash conveyor system. Oxygen compressors & tanks. Relocation of gas lines & odor control system, includes metering pumps, control panels, hypochlorine tanks & air compressors.	
PROJECT DATES	July 2009-April 2010	
CONTRACT AMOUNT	\$1,567,000.00	
PROJECT CONTACT	Leah Radko, PE, Director of D&C Westchester Cty-Dept of Public Works	Tel: (914) 995-2471 Fax: (914) 995-2727



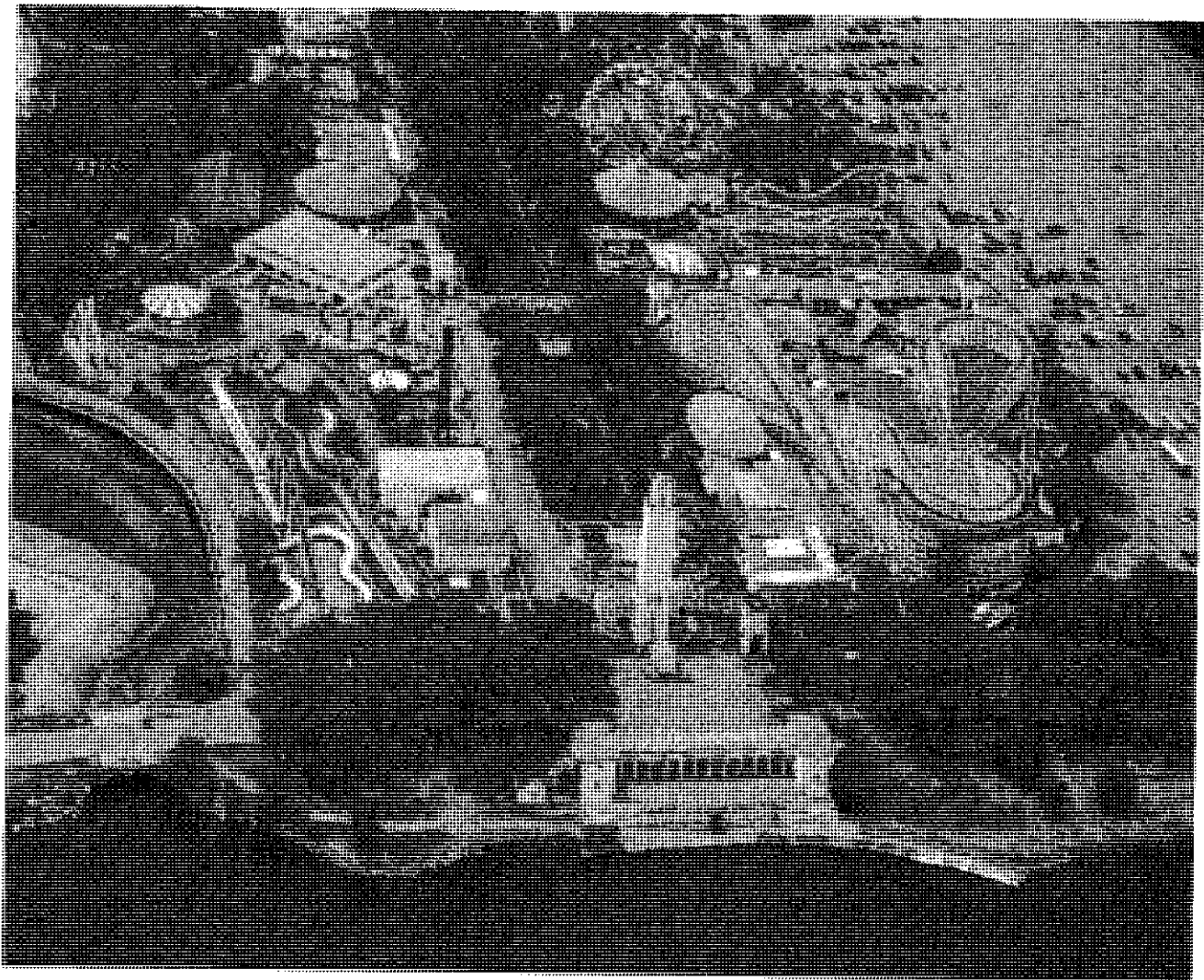
# PROJECT REFERENCES

AGENCY/OWNER	Ramapo CSD	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	2008 Capitol Projects Renovations Ramapo, NY	
PROJECT DESCRIPTION	New Storage Building with a major concession stand for Olympic size Stadium New Press Box for the Stadium, new concrete sidewalks.	
PROJECT DATES	July 2009-April 2010	
CONTRACT AMOUNT	\$1,167,000.00	
PROJECT CONTACT	Joseph Tedesco, Director of Facilities Ramapo Central School District	Tel: (845) 357-7783 x217 Fax: (845) 357-3073



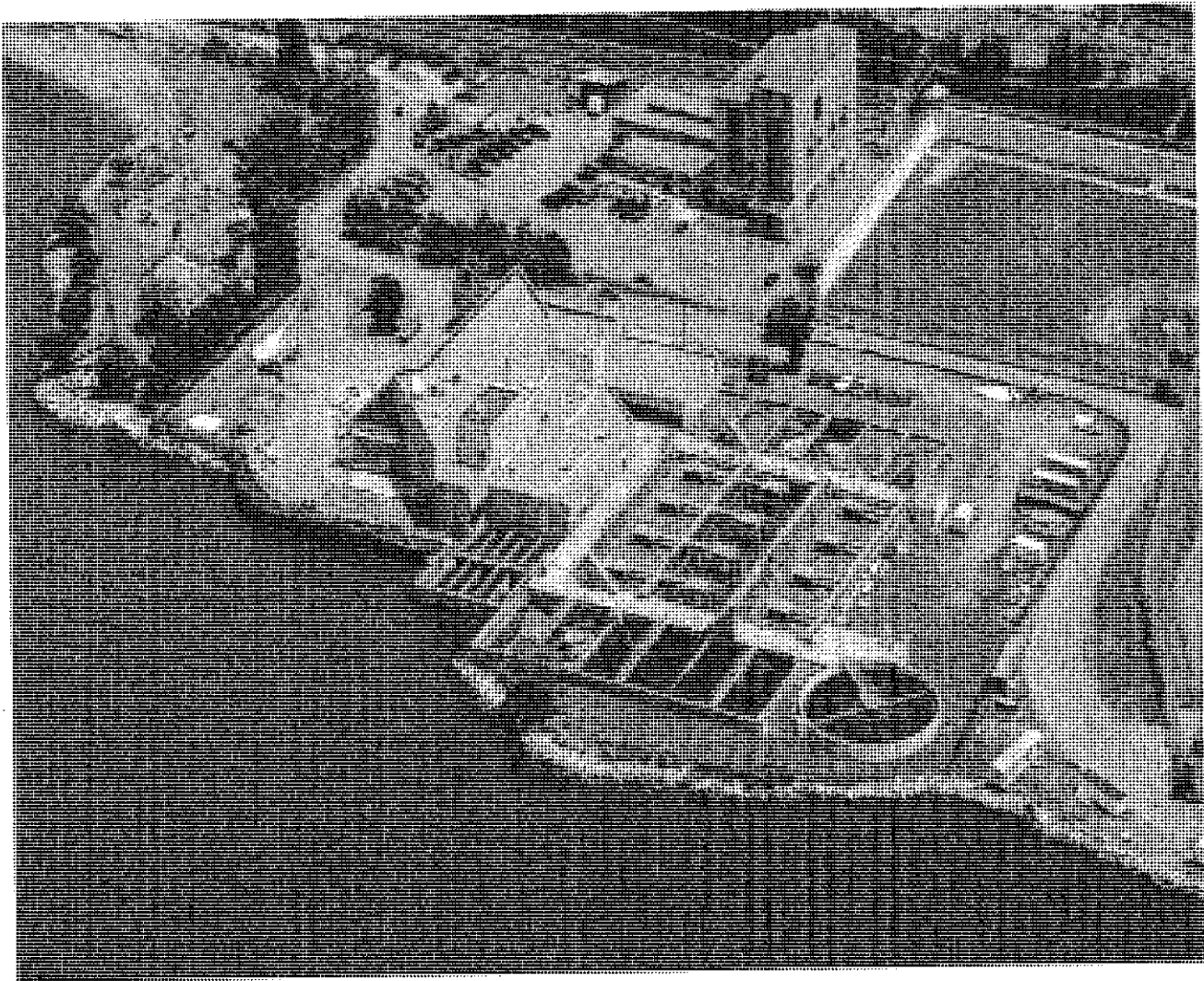
## PROJECT REFERENCES

AGENCY/OWNER	Westchester County Department of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Rye Playland Music Tower Rye, NY	
PROJECT DESCRIPTION	Music Tower major Renovation Structrual Steel Framing, Light Gage Steel Framing & Decorative Stucco & Masonry Complete Restoration of Tower's Jewel, S.Steel Framing & Stained Glass	
PROJECT DATES	November 2008-May 2009	
CONTRACT AMOUNT	\$1,300,000.00	
PROJECT CONTACT	John Hsu, PE, 1st Deputy Commissioner County of Westchester-DPW	Tel: (914) 995-2552 Fax: (914) 995-4479



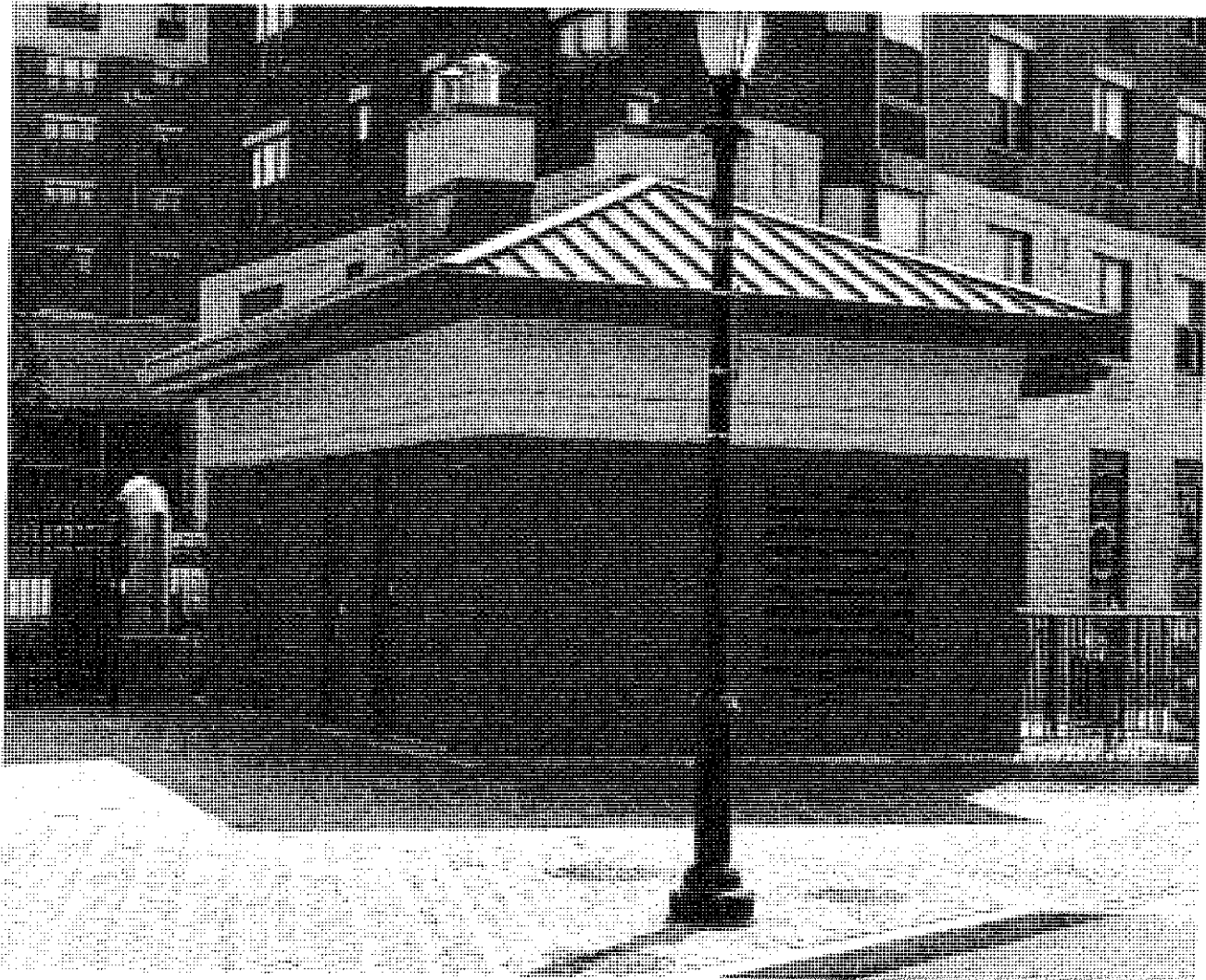
## PROJECT REFERENCES

AGENCY/OWNER	Westchester County Department of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Ossining Waste Water Treatment Ossining, NY	
PROJECT DESCRIPTION	Existing Plant Upgrades, New Sludge loading Building, Demolition, Major Sitework & Curbing and Roadwork. Install H-Piles, Drilling and Steel Piles. New Plunger Pumps & Mixers, Sluice Gates, New Scrubber Odor Control System. New Roof, New SS Stairs Metering Pumps, Chemical Feed System, PLC and Control Panels, VFD's.	
PROJECT DATES	July 2008-October 2009	
CONTRACT AMOUNT	\$5,367,000.00	
PROJECT CONTACT	Leah Radko, PE (Director of D & C) County of Westchester-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727



## PROJECT REFERENCES

AGENCY/OWNER	Westchester County Department of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Main Street Pump Station Yonkers, NY	
PROJECT DESCRIPTION	New Pump Station Building Concrete block, brickwork, metal framing, precast concrete plank roof subbase, metal roof system, new generator, odor control systems, structural aluminum framing	
PROJECT DATES	June-December 2007	
CONTRACT AMOUNT	\$498,000.00	
PROJECT CONTACT	Leah Radko, PE (Director of D & C) County of Westchester-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727





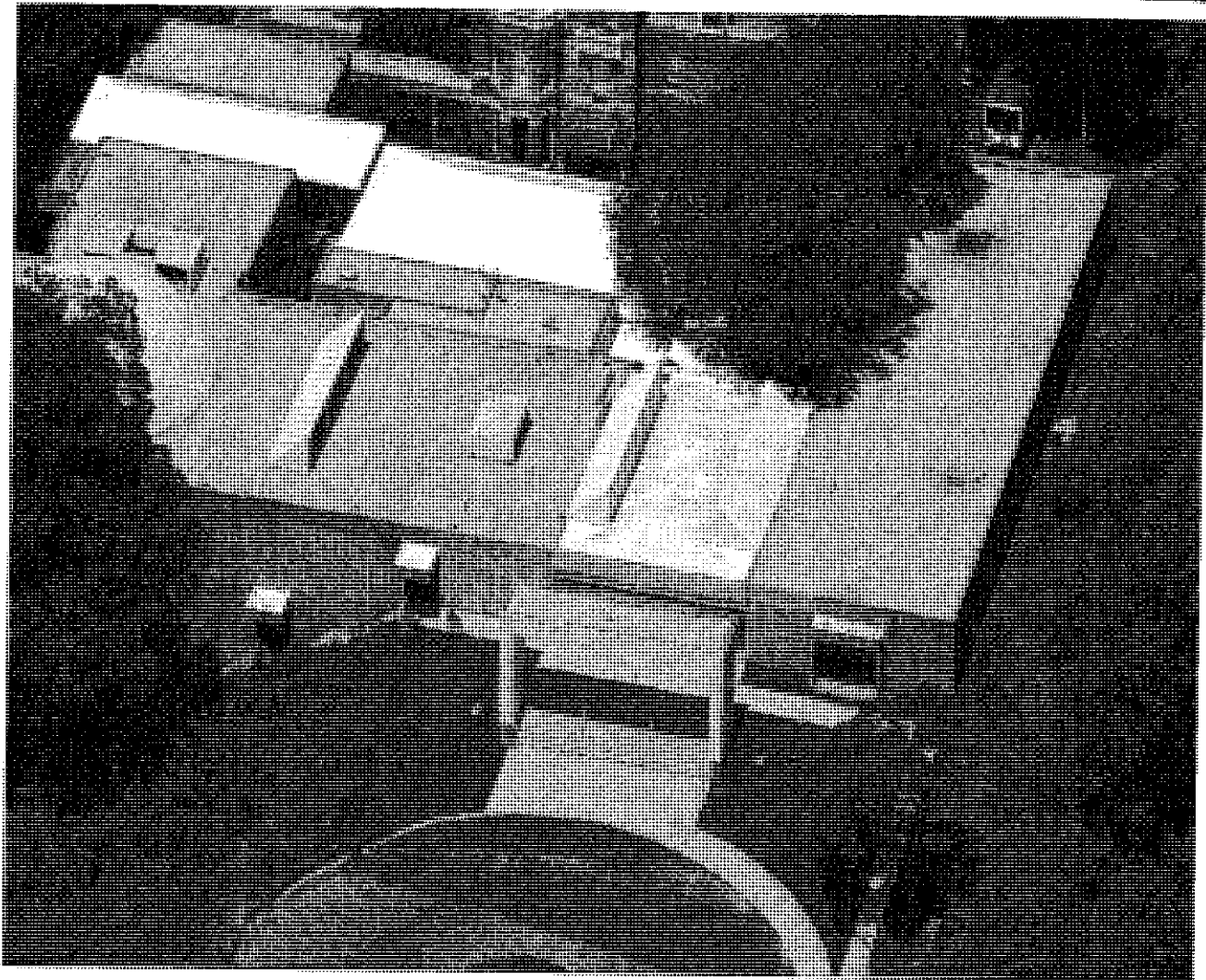
## PROJECT REFERENCES

AGENCY/OWNER	Westchester County Department of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Utility Reinforcement @ Valhalla Campus Valhalla, NY	
PROJECT DESCRIPTION	New Building for all facility Utilities on Campus Excavation, foundations, concrete block, split face block, brickwork, metal framing, aluminum metal roofing, electric switchgears & power distribution systems	
PROJECT DATES	February-August 2007	
CONTRACT AMOUNT	\$1,788,000.00	
PROJECT CONTACT	John J. Hsu, PE (1st Deputy Comm) County of Westchester-DPW	Tel: (914) 995-2552 Fax: (914) 995-4479



## PROJECT REFERENCES

AGENCY/OWNER	City of Yonkers & The Hudson River Museum	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Hudson River Museum Yonkers, NY	
PROJECT DESCRIPTION	New Arched Entry to the Museum Concrete work, steps, precast preformed concrete wall panels to match existing, terrazzo flooring, new aluminum entry systems, roof system, rear building addition	
PROJECT DATES	July-December 2006	
CONTRACT AMOUNT	\$1,100,000.00	
PROJECT CONTACT	Benjamin D. Kracauer, AIA Archimuse, Inc.	Tel: (212) 566-7301 Fax: (212) 566-7305



## PROJECT REFERENCES

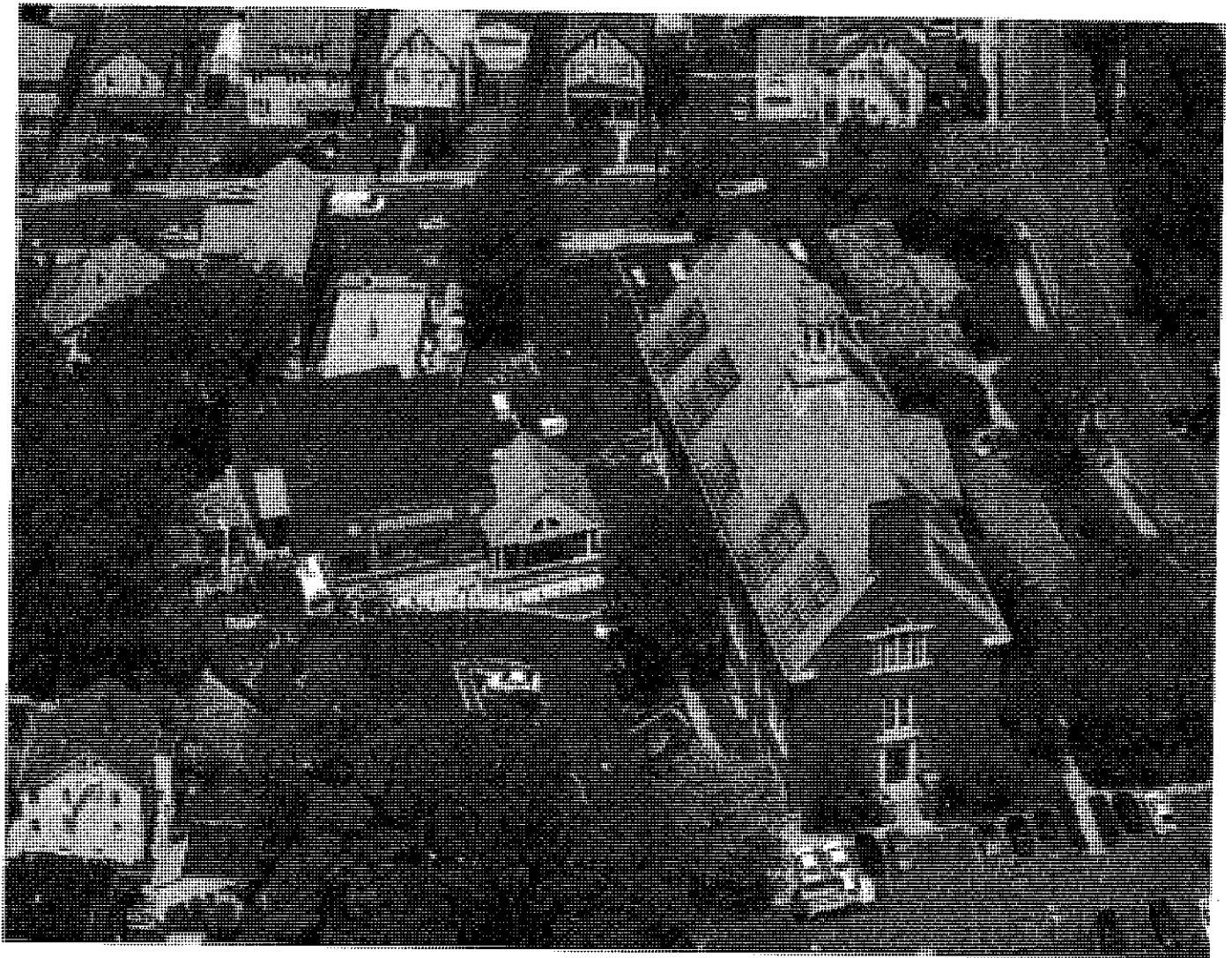
AGENCY/OWNER	Mineola Union Free School District	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Cross Street, Hampton Street & Jackson Street Elementary Schools Mineola, NY	
PROJECT DESCRIPTION	New Elevator Building Additions to Three (3) Elementary Schools New foundations, brick/block exterior walls, new roof systems, new windows, interior partitions, elevator smoke containment systems & all new finishes	
PROJECT DATES	July-December 2006	
CONTRACT AMOUNT	\$1,200,000.00	
PROJECT CONTACT	Robert A. Spencer, AIA DCLW Associate Architects, LLP	Tel: (518) 479-4000 x480 Fax: (518) 477-1366





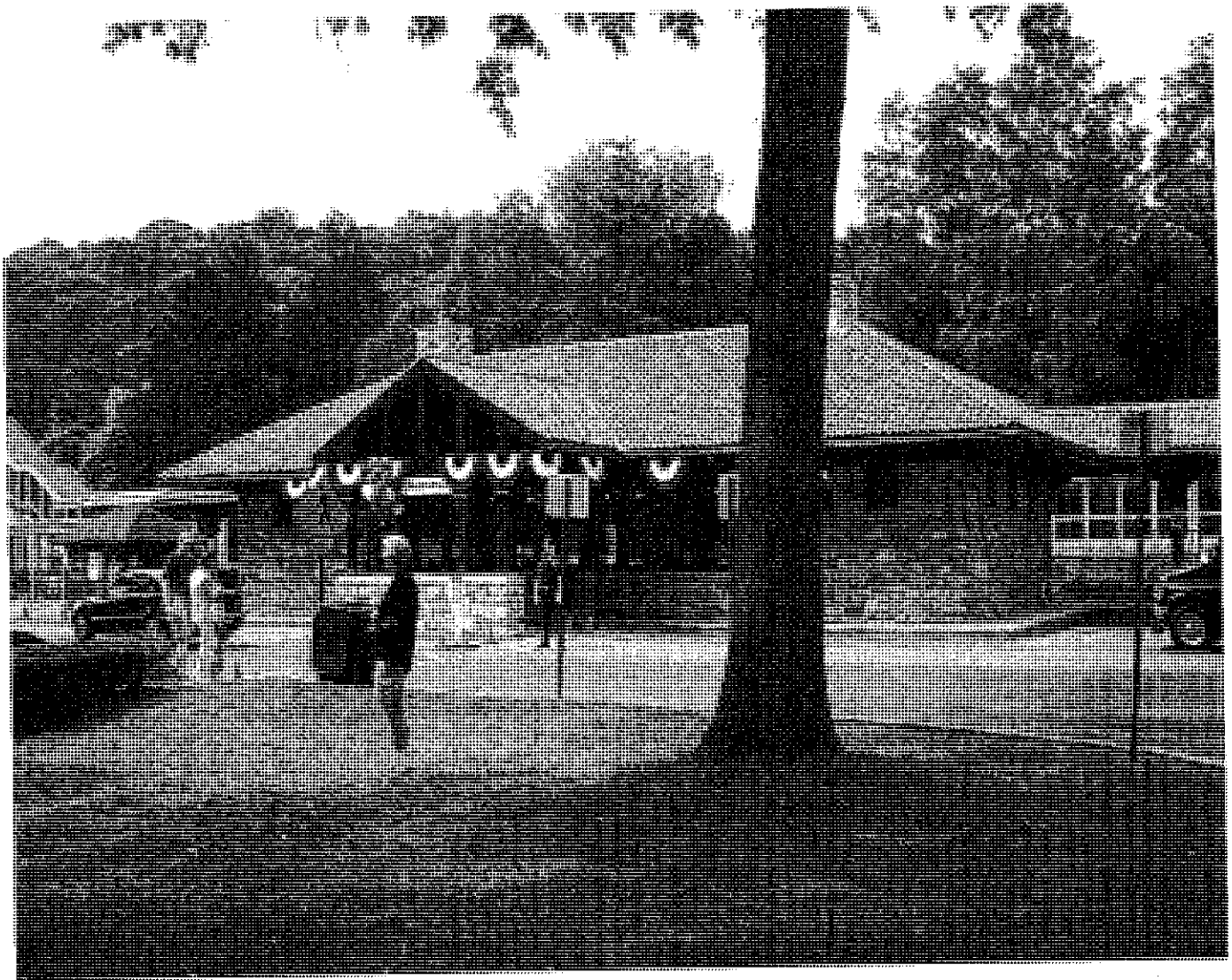
# PROJECT REFERENCES

AGENCY/OWNER	Village of Port Chester	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Senior Community Center Port Chester, NY	
PROJECT DESCRIPTION	New Senior Community Center New foundations, brick/block, metal framing, stucco system, new windows, Str. Steel, interior partitions, custom millwork & woodwork, commercial kitchen	
PROJECT DATES	July-December 2006	
CONTRACT AMOUNT	\$2,350,000.00	
PROJECT CONTACT	Joseph Malgioglio, AIA Arconics Architecture, PC	Tel: (914) 937-5596 x105 Fax: (914) 939-12558



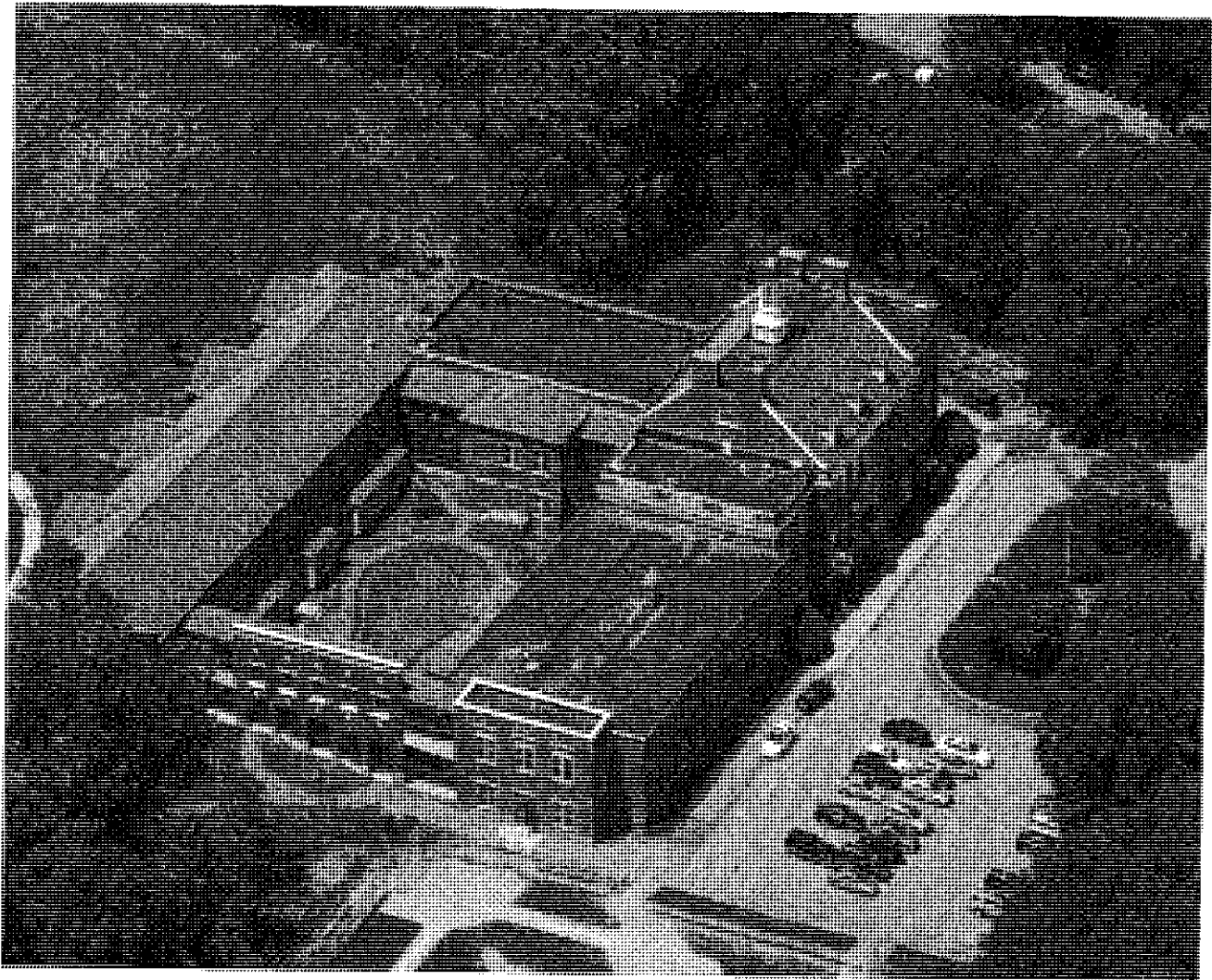
## PROJECT REFERENCES

AGENCY/OWNER	Town of New Castle	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Chappaqua Railroad Station Restoration	***AIA 2006 Design Award Winner
	Chappaqua, NY	
PROJECT DESCRIPTION	Complete Restoration of Landmark Facility: Stone & Masonry Restoration Asbestos & lead Remediation, modernization of all amenities to include custom millwork coffee shop & custom wood blank stamped concrete, new millwork	
PROJECT DATES	September-December 2004	
CONTRACT AMOUNT	\$764,000.00	
PROJECT CONTACT	Carl Rothbart, AIA WASA Associate Architects, LLP	Tel: (212) 420-1160 x178 Fax: (212) 529-9079



## PROJECT REFERENCES

AGENCY/OWNER	Mt. Pleasant Central School District	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Hawthorne Elementary School 225 Memorial Drive, Hawthorne, NY 10532	
PROJECT DESCRIPTION	New +/-60k SF Addition Concrete block, ground face block, split face block and new brick work custom precast concrete sills, limestone arches, etc.	
PROJECT DATES	September-December 2004	
CONTRACT AMOUNT	\$850,000.00	
PROJECT CONTACT	Robert Firmeis, AIA Savin Engineers, PC	Tel: (914) 769-3200 x226 Fax: (914) 747-6696



Master Plumbing License 3021



Joseph M Sbuttoni  
D.O.B: 12/28/1964  
Height: 5'11 Weight: 185  
Hair: Brown-Grey Eyes: Brown  
Company:  
Mace Contracting Corp  
38 Putnam Road  
New Rochelle, NY 10801

License No. 1557  
Expires on: 12/31/2021

John Royce



Putnam County Plumbing/Mechanical Trades Board  
110 Old Route 6, Building #3, Carmel, New York 10512  
Phone No. 845-808-1817 EXT 46026 Fax No. 845-808-1828

Dear Joseph M Sbuttoni,

11/2/2020

Thank you for submitting your renewal application. Enclosed is your Master's License. Please keep the card with you on all Putnam County job sites. Fines will be imposed for those who cannot show a Putnam County Master's License while working on a job.

Please notify this office of any changes made to your company name or change of address.

Your Master's License will run the term of 12/31/2020 - 12/31/2021.

If you have any questions, please do not hesitate to call our office.

Please Retain This Card And Keep It With You On All Putnam County Job Sites

Ellen Sorrento  
Secretary To The Plumbing Board

Joseph M Sbuttoni  
7 Hillcrest Drive  
Mahopac, NY 10541

Putnam County New York  
Plumbing/Mechanical Trades  
Licensed Master Plumber

Joseph M Sbuttoni  
Mace Contracting Corporation  
7 Hillcrest Drive  
Mahopac, NY 10541

License Number 476  
Valid Until 12/31/2021

110 Old Route 6, Bldg 3, Carmel NY 10512





# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

10/24/2019

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> John M. Glover Agency P.O. Box 700 Norwalk CT 06852	<b>CONTACT</b> NAME: Janice Caldararo PHONE (A/C No. Ext): 914-829-9077 FAX (A/C No): 203-274-9471 E-MAIL: caldararo@johnmglover.com ADDRESS: [redacted]
<b>INSURED</b> Mace Contracting Corp. 35 Portman Road New Rochelle NY 10801	<b>INSURER(S) AFFORDING COVERAGE</b> INSURER A: Travelers Casualty Insurance Company of America INSURER B: The Charter Oak Fire Insurance Company INSURER C: West American Insurance Company INSURER D: United States Fire Insurance Company INSURER E: INSURER F:

**COVERAGES**

CERTIFICATE NUMBER: 614002800

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PROJECT <input type="checkbox"/> LOC OTHER:	Y		DT-CO-3G75416A-IND-19	10/1/2019	10/1/2020	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 4,000,000 PRODUCTS - COMP/OP AGG \$ 4,000,000 \$
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS HIRED AUTOS ONLY <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY	Y		BA-8N06307A-19-26-G	10/1/2019	10/1/2020	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB DED RETENTION \$						OCCUR CLAIMS-MADE EACH OCCURRENCE \$ AGGREGATE \$ \$
D	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N	N/A	408-737685-6	10/16/2019	10/16/2020	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
C	Equipment Floater			BMW57236768	6/14/2019	6/14/2020	Leased/Rented Equipmt 500,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
Re: Contract # 17-509, location of operations-All locations in Westchester County, New York

The certificate holder is included as an additional insured under the General Liability and Umbrella Liability policy on a primary and non-contributory basis if required by written contract executed prior to a loss.

The certificate holder is included as an additional insured under the Auto Liability Policy, if required by written contract executed prior to a loss.

**CERTIFICATE HOLDER****CANCELLATION**

County of Westchester  
148 Martine Avenue, Room 528  
White Plains, NY 10601

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE



Workers'  
Compensation  
Board

## CERTIFICATE OF INSURANCE COVERAGE DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

### PART 1. To be completed by Disability and Paid Family Leave Benefits Carrier or Licensed Insurance Agent of that Carrier

1a. Legal Name & Address of Insured (use street address only)  
MACE CONTRACTING CORPORATION

35 PORTMAN ROAD  
NEW ROCHELLE, NY 10801

Work Location of Insured (Only required if coverage is specifically limited to certain locations in New York State, i.e., Wrap-Up Policy)

1b. Business Telephone Number of Insured  
914-576-0606

1c. Federal Employer Identification Number of Insured  
or Social Security Number  
133884948

2. Name and Address of Entity Requesting Proof of Coverage  
(Entity Being Listed as the Certificate Holder)

County of Westchester  
148 Martine Avenue, Room 528  
White Plains, NY 10601

3a. Name of Insurance Carrier

ShelterPoint Life Insurance Company

3b. Policy Number of Entity Listed in Box "1a"

DBL493240

3c. Policy effective period

10/01/2019

to

09/30/2020

4. Policy provides the following benefits:

- ☒ A. Both disability and paid family leave benefits.  
☐ B. Disability benefits only.  
☐ C. Paid family leave benefits only.

5. Policy covers:

- ☒ A. All of the employer's employees eligible under the NYS Disability and Paid Family Leave Benefits Law.  
☐ B. Only the following class or classes of employer's employees:

Under penalty of perjury, I certify that I am an authorized representative or licensed agent of the insurance carrier referenced above and that the named insured has NYS Disability and/or Paid Family Leave Benefits insurance coverage as described above.

Date Signed 10/21/2019

By

(Signature of Insurance carrier's authorized representative or NYS Licensed Insurance Agent of that insurance carrier)

Telephone Number 516-829-8100

Name and Title Richard White, Chief Executive Officer

**IMPORTANT:** If Boxes 4A and 5A are checked, and this form is signed by the insurance carrier's authorized representative or NYS Licensed Insurance Agent of that carrier, this certificate is COMPLETE. Mail it directly to the certificate holder.

If Box 4B, 4C or 5B is checked, this certificate is NOT COMPLETE for purposes of Section 220, Subd. 8 of the NYS Disability and Paid Family Leave Benefits Law. It must be mailed for completion to the Workers' Compensation Board, Plans Acceptance Unit, PO Box 5200, Binghamton, NY 13902-5200.

### PART 2. To be completed by the NYS Workers' Compensation Board (Only if Box 4C or 5B of Part 1 has been checked)

#### State of New York Workers' Compensation Board

According to information maintained by the NYS Workers' Compensation Board, the above-named employer has complied with the NYS Disability and Paid Family Leave Benefits Law with respect to all of his/her employees.

Date Signed

By

(Signature of Authorized NYS Workers' Compensation Board Employee)

Telephone Number

Name and Title

**Please Note:** Only insurance carriers licensed to write NYS disability and paid family leave benefits insurance policies and NYS licensed insurance agents of those insurance carriers are authorized to issue Form DB-120.1. Insurance brokers are NOT authorized to issue this form.







**Workers'  
Compensation  
Board**

## CERTIFICATE OF NYS WORKERS' COMPENSATION INSURANCE COVERAGE

<b>1a. Legal Name &amp; Address of Insured (use street address only)</b> Mace Contracting Corp. 35 Portman Road New Rochelle NY 10801  <b>Work Location of Insured (Only required if coverage is specifically limited to certain locations in New York State, i.e., a Wrap-Up Policy)</b>	<b>1b. Business Telephone Number of Insured</b> 914-576-0606  <b>1c. NYS Unemployment Insurance Employer Registration Number of Insured</b>  <b>1d. Federal Employer Identification Number of Insured or Social Security Number</b> 133884948
<b>2. Name and Address of Entity Requesting Proof of Coverage (Entity Being Listed as the Certificate Holder)</b> County of Westchester 148 Martine Avenue, Room 528 White Plains, NY 10601	<b>3a. Name of Insurance Carrier</b> United States Fire Insurance Company  <b>3b. Policy Number of Entity Listed in Box "1a"</b> 408-737685-6  <b>3c. Policy effective period</b> 10/16/2019 to 10/16/2020  <b>3d. The Proprietor, Partners or Executive Officers are</b> <input checked="" type="checkbox"/> included. (Only check box if all partners/officers included) <input type="checkbox"/> all excluded or certain partners/officers excluded.

This certifies that the insurance carrier indicated above in box "3" insures the business referenced above in box "1a" for workers' compensation under the New York State Workers' Compensation Law. (To use this form, New York (NY) must be listed under Item 3A on the **INFORMATION PAGE** of the workers' compensation insurance policy). The Insurance Carrier or its licensed agent will send this Certificate of Insurance to the entity listed above as the certificate holder in box "2".

Will the carrier notify the certificate holder within 10 days of a policy being cancelled for non-payment of premium or within 30 days if cancelled for any other reason or if the insured is otherwise eliminated from the coverage indicated on this certificate prior to the end of the policy effective period? ☐ YES ☒ NO

This certificate is issued as a matter of information only and confers no rights upon the certificate holder. This certificate does not amend, extend or alter the coverage afforded by the policy listed, nor does it confer any rights or responsibilities beyond those contained in the referenced policy.

This certificate may be used as evidence of a Workers' Compensation contract of insurance only while the underlying policy is in effect.

**Please Note: Upon cancellation of the workers' compensation policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of Workers' Compensation Coverage or other authorized proof that the business is complying with the mandatory coverage requirements of the New York State Workers' Compensation Law.**

Under penalty of perjury, I certify that I am an authorized representative or licensed agent of the insurance carrier referenced above and that the named insured has the coverage as depicted on this form.

Approved by: John Forlivo  
(Print name of authorized representative or licensed agent of insurance carrier)

Approved by: John D. Forlivo 10/24/2019  
(Signature) (Date)

Title: Chief Executive Officer

Telephone Number of authorized representative or licensed agent of insurance carrier: 914-829-9077

**Please Note: Only insurance carriers and their licensed agents are authorized to issue Form C-105.2. Insurance brokers are NOT authorized to issue it.**

## **Workers' Compensation Law**

### **Section 57. Restriction on issue of permits and the entering into contracts unless compensation is secured.**

1. The head of a state or municipal department, board, commission or office authorized or required by law to issue any permit for or in connection with any work involving the employment of employees in a hazardous employment defined by this chapter, and notwithstanding any general or special statute requiring or authorizing the issue of such permits, shall not issue such permit unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that compensation for all employees has been secured as provided by this chapter. Nothing herein, however, shall be construed as creating any liability on the part of such state or municipal department, board, commission or office to pay any compensation to any such employee if so employed.
2. The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in a hazardous employment defined by this chapter, notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that compensation for all employees has been secured as provided by this chapter.



Jack Schnirman  
Comptroller



OFFICE OF THE COMPTROLLER  
240 Old Country Road  
Mineola, New York 11501

## COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

CONTRACTOR NAME: Mace Contracting Corp

CONTRACTOR ADDRESS: 35 Portman Road, New Rochelle, New York 10801

FEDERAL TAX ID #: 133884948

**Instructions:** Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

**I. ☑ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids.** The contract was awarded after a request for sealed bids was published in Newsday [newspaper] on December 3, 2020 [date]. The sealed bids were publicly opened on January 5th 2021 [date]. 8 [#] of sealed bids were received and opened.

**II. ☐ The contractor was selected pursuant to a Request for Proposals.**

The Contract was entered into after a written request for proposals was issued on \_\_\_\_\_ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in \_\_\_\_\_ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on \_\_\_\_\_ [date]. \_\_\_\_\_ [state #] proposals were received and evaluated. The evaluation committee consisted of: \_\_\_\_\_

\_\_\_\_\_ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

**III. ☐ This is a renewal, extension or amendment of an existing contract.**

The contract was originally executed by Nassau County on \_\_\_\_\_[date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after \_\_\_\_\_

\_\_\_\_\_[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

**IV. ☒ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.**

- ☒ **A.** The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ **B.** The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

**V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.**

- ☐ **A.** There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ **B.** The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ **C.** Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ **D.** Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

**VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated.** Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

**VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services.** The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

**Instructions with respect to Sections VIII, IX and X:** All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

**VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts.** The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

**IX. ☒ Department MWBE responsibilities.** To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

**X. ☐ Vendor will not require any sub-contractors.**

**In addition, if this is a contract with an individual or with an entity that has only one or two employees:** ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41*, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

  
Department Head Signature

3/9/21

Date

**NOTE:** Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee?

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:  
Vladimir Blaise [VLADIMIR@MACECONTRACTING.COM]

Dated: 03/05/2021 11:47:29 AM

Vendor: Mace Contracting CORP

Title: President



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

No

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

N/A

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See the last page for a complete description of lobbying activities.

N/A

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

N/A

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby. separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee? If none, you must so state:

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:  
Vladimir Blaise [VLADIMIR@MACECONTRACTING.COM]

Dated: 03/05/2021 12:09:45 PM

Vendor: Mace Contracting Corp

Title: President

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

**The term "lobbying" or "lobbying activities" does not include:** Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

**COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD**

1. Principal Name: Novica Prekpala  
Date of birth: [REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
Country: US

Business Address: 35 Portman Road  
City: New Rochelle State/Province/Territory: NY Zip/Postal Code: 10801  
Country: US  
Telephone: 9147557814

Other present address(es):  
City: New Rochelle State/Province/Territory: NY Zip/Postal Code: 10801  
Country: US  
Telephone: 9147557814

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	<u>01/01/2020</u>
Chief Financial Officer	_____	Partner	_____
Vice President	<u>01/01/2020</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

45% Owner

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.



6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Novica Prekpala , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Novica Prekpala , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Mace Contracting Corp

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Novica Prekpala [NOVICA@MACECONTRACTING.COM]

VP

Title

03/15/2021 10:30:46 AM

Date

**Mace Contracting Corporation** (Started 04/15/1996 to Present; General Construction Firm)

35 Portman Road, New Rochelle, NY 10801

(914) 576-0606

Fed ID #13-3884948

Ownership: Vladimir Blaise, President & Treasurer, 55% and Novica Prekpala, Executive Vice President & Secretary, 45%

**Mace Industries LLC** (Started 01/01/2007 to Present; Real Estate Holding Firm)

35 Portman Road, New Rochelle, NY 10801

(914) 654-1200

Fed ID#20-4776697

Ownership: Vladimir Blaise, Member, 50% and Novica Prekpala, Member, 50%

**Blaise Group NY LLC** (Started 09/12/2006 to Present; Insurance Agency)

256 East 3<sup>rd</sup> Street, Mt Vernon, NY 10553

(914) 667-7700

Fed ID#20-5552801

Ownership: Vladimir Blaise, Member, 60% and Novica Prekpala, Member, 40%

**HSC Contracting Inc** (Started 10/23/2009 to Present; Dormant Firm)

PO Box 296, Farmingville, NY 11738

No Telephone / Fax Numbers

Fed ID#27-1174285

Ownership: Vladimir Blaise, President, 100%

**Harrison Specialty Contracting Inc** (Started 10/23/2009 to Present; Dormant Firm)

35 Portman Road, New Rochelle, NY 10801

No Telephone / Fax Numbers

Fed ID#27-4698863

Ownership: Novica Prekpala, President, 100%

**Funds Control, LLC** (Started 11/08/2013 to Present; Funds Administration Consulting Firm)

35 Portman Road, New Rochelle, NY 10801

(800) 654-8814

Fed ID#46-4038745

Ownership: Novica Prekpala, Member, 100%

**Mace JV, LLC** (Started 12/12/2014 to Present; General Construction Company)

258 East 3<sup>rd</sup> Street, Mt Vernon, NY 10553

(914) 654-8800

Fed ID# Not Filed todate

Ownership: Not formerly established (Mace Contracting Corp, Member)

**242 Wood Street LLC** (Started 01/01/2020, Real Estate Holding Firm)

16192 Coastal Highway, Lewes, DE 19958 (Mailing address is 35 Portman Road, New Rochelle, NY 10801)

(914) 654-8800

Fed ID #85-2372145

Ownership: Vladimir Blaise, Member 50% and Novica Prekpalaj, Member 50%

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

**COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD**

1. Principal Name: Vladimir Blaise  
Date of birth: [REDACTED]  
[REDACTED] [REDACTED] [REDACTED] [REDACTED] [REDACTED]  
Country: US

Business Address: 35 Portman Road  
City: New Rochelle State/Province/Territory: NY Zip/Postal Code: 10801  
Country: US  
Telephone: 9147557814

Other present address(es):  
City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_  
Telephone: \_\_\_\_\_

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u>12/11/2011</u>	Treasurer	<u>12/11/2011</u>
Chairman of Board	_____	Shareholder	<u>12/11/2011</u>
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

55% Owner

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

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YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

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YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

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YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Vladimir Blaise , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Vladimir Blaise , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

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Mace Contracting Corp

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Vladimir Blaise [VLADIMIR@MACECONTRACTING.COM]

President

Title

03/15/2021 10:32:41 AM

Date

**Mace Contracting Corporation** (Started 04/15/1996 to Present; General Construction Firm)

35 Portman Road, New Rochelle, NY 10801

(914) 576-0606

Fed ID #13-3884948

Ownership: Vladimir Blaise, President & Treasurer, 55% and Novica Prekpala, Executive Vice President & Secretary, 45%

**Mace Industries LLC** (Started 01/01/2007 to Present; Real Estate Holding Firm)

35 Portman Road, New Rochelle, NY 10801

(914) 654-1200

Fed ID#20-4776697

Ownership: Vladimir Blaise, Member, 50% and Novica Prekpala, Member, 50%

**Blaise Group NY LLC** (Started 09/12/2006 to Present; Insurance Agency)

256 East 3<sup>rd</sup> Street, Mt Vernon, NY 10553

(914) 667-7700

Fed ID#20-5552801

Ownership: Vladimir Blaise, Member, 60% and Novica Prekpala, Member, 40%

**HSC Contracting Inc** (Started 10/23/2009 to Present; Dormant Firm)

PO Box 296, Farmingville, NY 11738

No Telephone / Fax Numbers

Fed ID#27-1174285

Ownership: Vladimir Blaise, President, 100%

**Harrison Specialty Contracting Inc** (Started 10/23/2009 to Present; Dormant Firm)

35 Portman Road, New Rochelle, NY 10801

No Telephone / Fax Numbers

Fed ID#27-4698863

Ownership: Novica Prekpala, President, 100%

**Funds Control, LLC** (Started 11/08/2013 to Present; Funds Administration Consulting Firm)

35 Portman Road, New Rochelle, NY 10801

(800) 654-8814

Fed ID#46-4038745

Ownership: Novica Prekpala, Member, 100%

**Mace JV, LLC** (Started 12/12/2014 to Present; General Construction Company)

258 East 3<sup>rd</sup> Street, Mt Vernon, NY 10553

(914) 654-8800

Fed ID# Not Filed todate

Ownership: Not formerly established (Mace Contracting Corp, Member)

**242 Wood Street LLC** (Started 01/01/2020, Real Estate Holding Firm)

16192 Coastal Highway, Lewes, DE 19958 (Mailing address is 35 Portman Road, New Rochelle, NY 10801)

(914) 654-8800

Fed ID #85-2372145

Ownership: Vladimir Blaise, Member 50% and Novica Prekpalaj, Member 50%

## Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

**NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.**

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 01/26/2021

1) Proposer's Legal Name: Mace Contracting Corp

2) Address of Place of Business: 35 Portman Road, Ground Floor

City: New Rochelle State/Province/Territory: NY Zip/Postal Code: 10801

Country: US

3) Mailing Address (if different): \_\_\_\_\_

City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_

Country: \_\_\_\_\_

Phone: \_\_\_\_\_

Does the business own or rent its facilities? Own If other, please provide details:

\_\_\_\_\_

4) Dun and Bradstreet number: 153079756

5) Federal I.D. Number: 13-3884948

6) The proposer is a: Corporation (Describe) \_\_\_\_\_

7) Does this business share office space, staff, or equipment expenses with any other business?

YES ☐ NO ☒ If yes, please provide details:

\_\_\_\_\_

8) Does this business control one or more other businesses?

YES ☐ NO ☒ If yes, please provide details:

\_\_\_\_\_

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

YES ☒ NO ☐ If yes, please provide details:

\_\_\_\_\_

- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?  
YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).
- 11) Has the proposer, during the past seven years, been declared bankrupt?  
YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:  
a) Any felony charge pending?  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.  
  
  
b) Any misdemeanor charge pending?  
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.  
  
  
c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an

element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?
- YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

- 17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists



- b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

No conflict exists currently, and Mace does not foresee any future conflicts with Nassau County. Mace has no specific current plans or procedures addressing conflicts of interests and is agreeable to adopting any conflict of interest plans from Nassau County. Our typical procedure is to update our yearly questionnaire(s) and advise of any conflicts of interests, changes to / from, revisions and upgrades to our Obligees / Customers, either thru this process, NYC's Passport, PIP (Vendex System), etc. Should any potential conflicts arise at anytime we will notify your office immediately.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

- i) Date of formation;

04/15/1996

- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

Vladimir Blaise, 8 West 3rd Street, Freeport, NY is 55% Owner, President & Treasurer  
Novica Prekpala, 1250 North Avenue, New Rochelle, NY is 45% Owner, VP & Secretary

*No individuals with a financial interest in the company have been attached..*

- iii) Name, address and position of all officers and directors of the company. If none, explain.

Same as above in ii.

*No officers and directors from this company have been attached.*

- iv) State of incorporation (if applicable);

NY

- v) The number of employees in the firm;

100

- vi) Annual revenue of firm;

17000000

- vii) Summary of relevant accomplishments

3 File(s) Uploaded: MccCapabilityStmt.pdf, MccDEPEvalCSONC3GPEFY17.pdf, MccProjects20.pdf

- viii) Copies of all state and local licenses and permits.

B. Indicate number of years in business.

25

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

1 File(s) Uploaded: MccDEPEvalCSNC3HPEFY17.pdf

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company	NYC DEP		
Contact Person	Hossein Ekhlas, PE		
Address	56-15a 58th Street		
City	Maspeth	State/Province/Territory	NY
Country	US		
Telephone	(646) 784-3317		
Fax #			
E-Mail Address	HEkhlas@dep.nyc.gov		

Company	Westchester County DPW		
Contact Person	Leah Radko, PE		
Address	148 Martine Avenue		
City	White Plains	State/Province/Territory	NY
Country	US		
Telephone	(914) 995-2471		
Fax #	(914) 995-2727		
E-Mail Address	lrx4@westchestergov.com		

Company	D & B Engineers and Architects PC		
Contact Person	Olga Mubarak-Jaramillo		
Address	330 Crossways Park Drive		
City	Woodbury	State/Province/Territory	NY
Country	US		
Telephone	(914) 755-7814		
Fax #	(516) 364-9045		
E-Mail Address	omjaramillo@db-eng.com		

I, Vladimir Blaise , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Vladimir Blaise , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

### **CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: Mace Contracting Corp

Electronically signed and certified at the date and time indicated by:  
Vladimir Blaise [VLADIMIR@MACECONTRACTING.COM]

President  
Title

03/23/2021 04:19:37 PM  
Date

**Mace Contracting Corporation** (Started 04/15/1996 to Present; General Construction Firm)

35 Portman Road, New Rochelle, NY 10801

(914) 576-0606

Fed ID #13-3884948

Ownership: Vladimir Blaise, President & Treasurer, 55% and Novica Prekpala, Executive Vice President & Secretary, 45%

**Mace Industries LLC** (Started 01/01/2007 to Present; Real Estate Holding Firm)

35 Portman Road, New Rochelle, NY 10801

(914) 654-1200

Fed ID#20-4776697

Ownership: Vladimir Blaise, Member, 50% and Novica Prekpala, Member, 50%

**Blaise Group NY LLC** (Started 09/12/2006 to Present; Insurance Agency)

256 East 3<sup>rd</sup> Street, Mt Vernon, NY 10553

(914) 667-7700

Fed ID#20-5552801

Ownership: Vladimir Blaise, Member, 60% and Novica Prekpala, Member, 40%

**HSC Contracting Inc** (Started 10/23/2009 to Present; Dormant Firm)

PO Box 296, Farmingville, NY 11738

No Telephone / Fax Numbers

Fed ID#27-1174285

Ownership: Vladimir Blaise, President, 100%

**Harrison Specialty Contracting Inc** (Started 10/23/2009 to Present; Dormant Firm)

35 Portman Road, New Rochelle, NY 10801

No Telephone / Fax Numbers

Fed ID#27-4698863

Ownership: Novica Prekpala, President, 100%

**Funds Control, LLC** (Started 11/08/2013 to Present; Funds Administration Consulting Firm)

35 Portman Road, New Rochelle, NY 10801

(800) 654-8814

Fed ID#46-4038745

Ownership: Novica Prekpala, Member, 100%

**Mace JV, LLC** (Started 12/12/2014 to Present; General Construction Company)

258 East 3<sup>rd</sup> Street, Mt Vernon, NY 10553

(914) 654-8800

Fed ID# Not Filed todate

Ownership: Not formerly established (Mace Contracting Corp, Member)

**242 Wood Street LLC** (Started 01/01/2020, Real Estate Holding Firm)

16192 Coastal Highway, Lewes, DE 19958 (Mailing address is 35 Portman Road, New Rochelle, NY 10801)

(914) 654-8800

Fed ID #85-2372145

Ownership: Vladimir Blaise, Member 50% and Novica Prekpalaj, Member 50%

# PROJECT REFERENCES

AGENCY/OWNER	NYC DEP	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>East Brach Aeration Building &amp; Systems (We were Prime GC &amp; Mechanical)</b> Maspeth, NY	
PROJECT DESCRIPTION	Construction of New Blower Building with Aeration Piping System in East Branch Creek	
PROJECT DATES	June 2015 to 2019	
CONTRACT AMOUNT	\$17,000,000.00	
PROJECT CONTACT	Hossein Ekhlas, PE NYC DEP	Tel: (718) 595-7221 Cell: (646) 784-3317





# PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Canopy Replacement @ Playland Park</b> Rye, NY	
PROJECT DESCRIPTION	Removal & Replacement of Existing Structural Supports & Rebuilt of New Canopy.	
PROJECT DATES	November 2019 to 2021	
CONTRACT AMOUNT	\$1,087,000.00	
PROJECT CONTACT	R. James Antonaccio RA Westchester Cty-DPW	Tel: (914) 995-6343 Fax: (914) 995-6409



# PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Rehab Carousel &amp; Derby Racer @ Playland Park</b> Rye, NY	
PROJECT DESCRIPTION	Historical Rehab of the Carousel and Derby Racer.	
PROJECT DATES	November 2019 to 2021	
CONTRACT AMOUNT	\$8,450,000.00	
PROJECT CONTACT	R. James Antonaccio RA Westchester Cty-DPW	Tel: (914) 995-6343 Fax: (914) 995-6409





# PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Merestead Main House Rehab (Historic Mansion - #15-556)</b> Mt Kisco, NY	
PROJECT DESCRIPTION	Historic Mansion Rehab, including Sitework, Masonry & Woodworks.	
PROJECT DATES	July 2019 to 2021	
CONTRACT AMOUNT	\$3,573,300.00	
PROJECT CONTACT	R. James Antonaccio RA Westchester Cty-DPW	Tel: (914) 995-6343 Fax: (914) 995-6409





# PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>BNR Systems Improvements @ Mamaroneck WWTP (#17-509)</b> Mamaroneck, NY	
PROJECT DESCRIPTION	Removal & Replacement of Plant Effluent Water Pump Skids, Modifications to Chemical Feed System & Piping Modifications.	
PROJECT DATES	November 2018 to 2021	
CONTRACT AMOUNT	\$6,973,000.00	
PROJECT CONTACT	Leah Radko, PE Westchester Cty-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727



# PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Grit Handling Improvements @ YWWTP (#16-500)</b> Yonkers, NY	
PROJECT DESCRIPTION	Removal & Replacement of Grit Elevators, Conveyor Screws, Sluice Gates, Baffle Walls, and Diffuser Systems.	
PROJECT DATES	April 2018 to 2020	
CONTRACT AMOUNT	\$6,227,000.00	
PROJECT CONTACT	Leah Radko, PE Westchester Cty-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727





# PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Sandy Phase 3 (#15-545) @ YWWTP</b> Yonkers, NY	
PROJECT DESCRIPTION	Removal & Replacement of all Sump Pumps thru-out the Plant, Pump Motors and Instruments.	
PROJECT DATES	March 2016 to 2019	
CONTRACT AMOUNT	\$6,750,000.00	
PROJECT CONTACT	Leah Radko, PE Westchester Cty-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727



# PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>DAF Thickeners @ YWWTP (#14-531)</b> Yonkers, NY	
PROJECT DESCRIPTION	Installation of New Polymer Room, Electrical Room to Support NEW GBTs and Controls including Pumps, Piping, HVAC and Controls.	
PROJECT DATES	August 2017 to 2020	
CONTRACT AMOUNT	\$13,190,000.00	
PROJECT CONTACT	Leah Radko, PE Westchester Cty-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727





# PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Sandy II-4 (#14-519)</b> Yonkers, NY	
PROJECT DESCRIPTION	Removal & Installation of New Resinous Flooring thru-out the Entire Basement of the Plant.	
PROJECT DATES	May 2017 to 2019	
CONTRACT AMOUNT	\$1,425,000.00	
PROJECT CONTACT	Leah Radko, PE Westchester Cty-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727



# PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Sandy Phase II-3 (#14-518)</b> Yonkers, NY	
PROJECT DESCRIPTION	Addition of New Pre-Cast Building Housing New Potassium Permanganate System. Replacement of all Lighting Fixtures in Basement.	
PROJECT DATES	April 2017 to 2019	
CONTRACT AMOUNT	\$3,187,982.00	
PROJECT CONTACT	Leah Radko, PE Westchester Cty-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727





# PROJECT REFERENCES

AGENCY/OWNER	Grace Church Community Center	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Open Arms Men's Shelter</b> White Plains, NY	
PROJECT DESCRIPTION	Complete Gut Rehab to existing Commercial 3 Story Building, including new addition to rear Stairway & additional Story on Roof Level. New Construction to interior; walls, Ceilings, Drywall, Paint, Doors/Frames, Hdwe Flooring and All MEP Trades	
PROJECT DATES	March 2014 to June 2015	
CONTRACT AMOUNT	\$3,429,000.00	
PROJECT CONTACT	Paul Anderson Winchell, Executive Dir. Duo Dickinson, AIA	Tel: (914) 949-3098 x9750 Tel: (203) 245-0405





# PROJECT REFERENCES

AGENCY/OWNER	Nassau County Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Bay Park STP Sludge Thickening Facility</b> East Rockaway, NY	
PROJECT DESCRIPTION	Demo Obsolete Sludge Thickening Equipment & Tanks. Install new Polymer Feed System, New Odor Control System, Instrumentation & Controls, Sitework, Concrete, Masonry, Metals, Caulking & Painting. Remove Asbestos Roof, replace w/New Built up Roof. Replace WAS & Effluent Pumps & Related VFD's. New Electrical Work including New MCC. Remove/Replace HVAC System, Air Handling Units, Ductwork, Louvers, Dampers, Fans, Electric Heaters, Auto Temperature Controls	
PROJECT DATES	September 2013 to October 2015	
CONTRACT AMOUNT	\$11,937,000.00	
PROJECT CONTACT	Frank May, PM	Tel: (516) 571-7224



# PROJECT REFERENCES

AGENCY/OWNER	Village of Scarsdale	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Reeves Newsom Water Supply Station</b> Scarsdale, NY	
PROJECT DESCRIPTION	Remove/Replace Pump Station Equipment, Pumps, VFD;s, MCP Panels, Chemical Feed System, Generator. Interior GC Work, New electrical Service including ConEd Service, Transformers, SwitchGear, MCC, Lighting & Power for all New Equipment. Sitework inclusive of Asphalt Pavement, Curbs & Fencing. Remove Asbestos Roof w/New Green Roof. Remove/Replace HVAC System; New Air Handling Units, Duct-Work, Louvers, Dampers, Fans, Electric Heaters & Auto Temperature Controls.	
PROJECT DATES	December 2012 thru June 2015	
CONTRACT AMOUNT	\$4,136,000.00	
PROJECT CONTACT	Seth Schneider, PE Malcom Pirnie	Tel: (914) 641-2954 Mobile: (914) 484-7165





# PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Pocantico Lake Dam Rehabilitation</b> Mount Pleasant, NY	
PROJECT DESCRIPTION	New concrete dam spillway, new culvert bridge, new concrete dam core walls, sitework, excavation, stone walls, drainage, Temporary By-Pass Siphon System New Roadway	
PROJECT DATES	December 2011 thru December 2012	
CONTRACT AMOUNT	\$3,719,999.65	
PROJECT CONTACT	David, Smyth, PE Westchester Cty-DPW	Tel: (914) 995-3361 Fax: (914) 995-2564





# PROJECT REFERENCES

AGENCY/OWNER	City Carting of Westchester	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Enhancement of The Daniel P. Thomas Material Recovery Facility</b> Yonkers, NY	
PROJECT DESCRIPTION	General Construction & Electrical work for the installation of the new commingled process system. Demolition, Structural steel & concrete, Bollards and Asphalt Paving	
PROJECT DATES	March 2011 thru July 2011	
CONTRACT AMOUNT	\$974,000.00	
PROJECT CONTACT	Robert Matarazzo, PE Arcadis - Malcom Pirnie	Tel: (914) 641-2790 Fax: (914) 641-2475





# PROJECT REFERENCES

AGENCY/OWNER	NYC DEP	
PRIME CONTRACTOR	SEW Construction, a Joint Venture of Skanska / Ecco III / White	
SUBCONTRACTOR	<b>Mace Contracting Corporation, Concrete Subcontractor</b>	
PROJECT	<b>WM-30 Catskill &amp; Delaware Water Treatment Ultra Violet Light Disinfection Facility</b> Valhalla, NY	
PROJECT DESCRIPTION	New Cast-in-Place Concrete headwalls, drainage structures, Precast Bollards, Concrete Ram Walls and Guard House	
PROJECT DATES	September 2011 thru October 2012	
CONTRACT AMOUNT	\$953,000.00	
PROJECT CONTACT	John Sullivan, Project Manager SEW / Skanska	Tel: (914) 358-0891 Fax: (914) 345-3104





# PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Rye Playland Bathroom Restoration</b> Rye, NY	
PROJECT DESCRIPTION	Interior & Exterior Renovations of Bathhouse, Sitework, Concrete, Carpentry, Painting, Doors, Windows, Roofing, Exterior Plastering and New Fiberglass Cupolas. MEPs, Propoane Gas System and Sprinklers	
PROJECT DATES	September 2011 thru May 2012	
CONTRACT AMOUNT	\$2,300,000.00	
PROJECT CONTACT	Joseph Maccariello Westchester Cty-DPW	Tel: (914) 497-2818





# PROJECT REFERENCES

AGENCY/OWNER	County of Westchester Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Total Residual Chlorine Reduction @ Peekskill Wastewater Treatment Plant</b> Peekskill, NY	
PROJECT DESCRIPTION	UV Disinfection Facility, Ancillary Equipment, Auger Cast Piles, Demolition of two Sluge drying beds, precast concrete, prefab metal building, excavation, sitework Sluice & Slide Gates, Temp By-Pass of Plant Effluent, Sheet Piling	
PROJECT DATES	September 2011 thru May 2012	
CONTRACT AMOUNT	\$3,710,000.00	
PROJECT CONTACT	Leah Radko, PE Westchester Cty-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727





# PROJECT REFERENCES

AGENCY/OWNER	Hicksville Water District	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Wellhead Treatment for Nitrate &amp; VOC Removal at Plant No.6</b> Hicksville, NY	
PROJECT DESCRIPTION	New Treatment Plant, Excavation, Concrete, Masonry, Carpentry, Paint, Mechanical Nitrate Removal System and Air Stripper Tower	
PROJECT DATES	July 2011 Thur August 2012	
CONTRACT AMOUNT	\$3,511,050.00	
PROJECT CONTACT	Benjamin Blesch, PE H2M Group	Tel: (631) 756-8000 Fax: (631) 393-6322





# PROJECT REFERENCES

AGENCY/OWNER	Westchester County Department of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Manursing Lake Aquatic Habitat Restoration</b> Playland Park, Rye, NY	
PROJECT DESCRIPTION	This Project includes the creation of Tidal/Salt Marsh along approx. 1,200 LF of Lake Shoreline and all associated appurtenances. Site work, grading, soil erosion, site prep, Asphalt curb paving and planting of Aquatic plants thru-out the lake's shoreline.	
PROJECT DATES	May 2010 thru August 2010	
CONTRACT AMOUNT	\$688,000.00	
PROJECT CONTACT	Leah Radko, PE (Director of D & C) County of Westchester-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727





# PROJECT REFERENCES

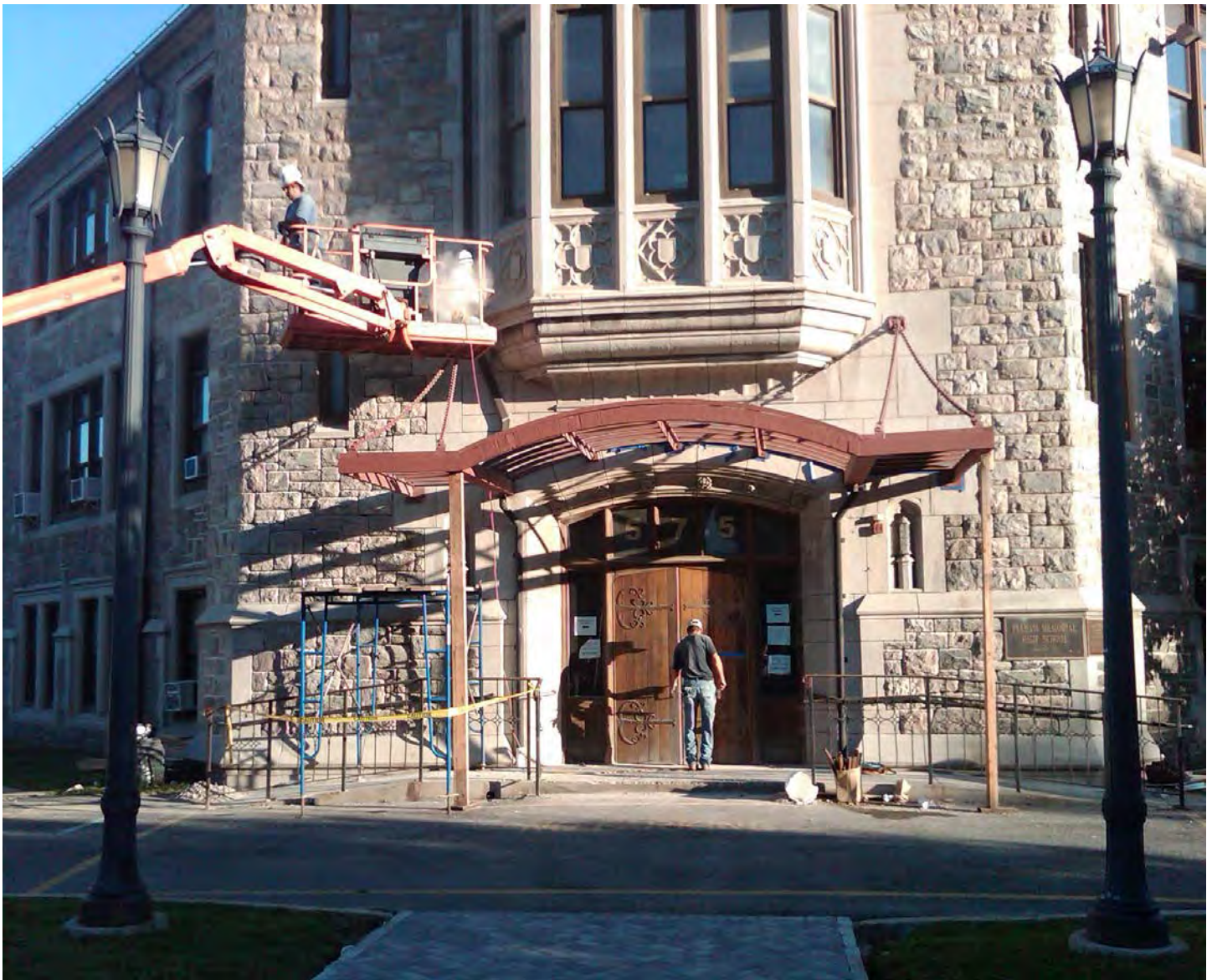
AGENCY/OWNER	Rockland Sewer District I	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Hackensack River Pumping Station Upgrade Project</b> Orangeburg, NY 10956	
PROJECT DESCRIPTION	Excavation & Site work, erosion control, prep for new South Elec Rm Addition. To include, concrete work, masonry, carpentry, roofing & Equipment pads. Removal of Six (6) Pumps, new PLCs & VFDs, New Pumps/Motors, modify control system.	
PROJECT DATES	January 2010 thru June 2011	
CONTRACT AMOUNT	\$2,557,000.00	
PROJECT CONTACT	Martin Dolphin, PE Engineer III Rockland County-Dept of Public Works	Tel: (845) 365-6111 Fax: (845) 365-6686





# PROJECT REFERENCES

AGENCY/OWNER	Pelham UFSD	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Interior Renovations, Phase II at Pelham HS</b> Pelham, NY	
PROJECT DESCRIPTION	Renovations to the existing Pelham HS; New Library, Band Room & Thru-out School. Ultra Custom Woodwork, Wd Doors, Frames & Specialty Hardware, custom music acoustic noise isolation systems @ Band Rm & Library	
PROJECT DATES	March 2010 thru December 2010	
CONTRACT AMOUNT	\$3,000,000.00	
PROJECT CONTACT	Robert J. Firneis, Fara, LEED AP, CDT Savin Engineers, PC	Tel: (914) 769-3200 x276 Fax: (914) 747-6686



# PROJECT REFERENCES

AGENCY/OWNER	Westchester County Medical Center	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	Interim Emergency Department Modular Enabling Work White Plains, NY	
PROJECT DESCRIPTION	Renovations to the existing emergency department including new fast track & new child care areas. Work includes demolition under "Dust Control" Procedures w/HEPA negative air systems, custom millwork, drywall, acoustic ceilings, floors & painting	
PROJECT DATES	Januray 2008, project is underway and progressing on schedule as per Phased Plan	
CONTRACT AMOUNT	\$957,000.00	
PROJECT CONTACT	Lenny Venechanos The Liro Group	Tel: (516) 351-8251 Fax: (914) 995-3238



# PROJECT REFERENCES

AGENCY/OWNER	Nassau County Dept of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Bay Park Sewage Treatment Plant, DAF Subnatant Pump Station</b> East Rockaway, NY	
PROJECT DESCRIPTION	New Pump Station Building, Sheeting, Grout Piles, concrete block, brickwork, precast concrete plank roof subbase. Installation of 2-submersible by-pass pumps & piping. Install 3-new dry-pit submersible pumps, VFD's, PLC, Primary Sensors & Field Instru.	
PROJECT DATES	June 2009 thru February 2011	
CONTRACT AMOUNT	\$2,567,000.00	
PROJECT CONTACT	Frank May, Proj. Engineer	Tel: (516) 571-7223
	Lockwood, Kessler & Bartlett, Inc.	Fax: (516) 571-7230
	James M. Gallagher, PE	Tel: (516) 571-7220
	Nassau County-Dept of Public Works	Fax: (516) 571-7230



# PROJECT REFERENCES

AGENCY/OWNER	New Rochelle WWTP	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Demolition &amp; Removal of Obsolete Equipment</b> New Rochelle, NY 10801	
PROJECT DESCRIPTION	Sludge processing Equipment including sludge pumps, piping, incinerator, ash conveyor system. Oxygen compressors & tanks. Relocation of gas lines & odor control system, includes metering pumps, control panels, hypochlorine tanks & air compressors.	
PROJECT DATES	July 2009-April 2010	
CONTRACT AMOUNT	\$1,567,000.00	
PROJECT CONTACT	Leah Radko, PE, Directo of D&C Westchester Cty-Dept of Public Works	Tel: (914) 995-2471 Fax: (914) 995-2727





# PROJECT REFERENCES

AGENCY/OWNER	Ramapo CSD	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>2008 Capitol Projects Renovations</b> Ramapo, NY	
PROJECT DESCRIPTION	New Storage Building with a major concession stand for Olympic size Stadium New Press Box for the Stadium, new concrete sidewalks.	
PROJECT DATES	July 2009-April 2010	
CONTRACT AMOUNT	\$1,167,000.00	
PROJECT CONTACT	Joseph Tedesco, Director of Facilities Ramapo Central School District	Tel: (845) 357-7783 x217 Fax: (845) 357-3073



# PROJECT REFERENCES

AGENCY/OWNER	Westchester County Department of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Rye Playland Music Tower</b> Rye, NY	
PROJECT DESCRIPTION	Music Tower major Renovation Structrual Steel Framing, Light Gage Steel Framing & Decorative Stucco & Masonry Complete Restoration of Tower's Jewel, S.Steel Framing & Stained Glass	
PROJECT DATES	November 2008-May 2009	
CONTRACT AMOUNT	\$1,300,000.00	
PROJECT CONTACT	John Hsu, PE, 1st Deputy Commissioner County of Westchester-DPW	Tel: (914) 995-2552 Fax: (914) 995-4479





# PROJECT REFERENCES

AGENCY/OWNER	Westchester County Department of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Ossining Waste Water Treatment</b> Ossining, NY	
PROJECT DESCRIPTION	Existing Plant Upgrades, New Sludge loading Building, Demolition, Major Sitework & Curbing and Roadwork. Install H-Piles, Drilling and Steel Piles. New Plunger Pumps & Mixers, Sluice Gates, New Scrubber Odor Control System. New Roof, New SS Stairs Metering Pumps, Chemical Feed System, PLC and Control Panels, VFD's.	
PROJECT DATES	July 2008-October 2009	
CONTRACT AMOUNT	\$5,367,000.00	
PROJECT CONTACT	Leah Radko, PE (Director of D & C) County of Westchester-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727



# PROJECT REFERENCES

AGENCY/OWNER	Westchester County Department of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Main Street Pump Station</b> Yonkers, NY	
PROJECT DESCRIPTION	New Pump Station Building Concrete block, brickwork, metal framing, precast concrete plank roof subbase, metal roof system, new generator, odor control systems, structural aluminum framing	
PROJECT DATES	June-December 2007	
CONTRACT AMOUNT	\$498,000.00	
PROJECT CONTACT	Leah Radko, PE (Director of D & C) County of Westchester-DPW	Tel: (914) 995-2471 Fax: (914) 995-2727





# PROJECT REFERENCES

AGENCY/OWNER	Westchester County Department of Public Works	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Utility Reinforcement @ Valhalla Campus</b> Valhalla, NY	
PROJECT DESCRIPTION	New Building for all facility Utilities on Campus Excavation, foundations, concrete block, split face block, brickwork, metal framing, aluminum metal roofing, electric switchgears & power distribution systems	
PROJECT DATES	February-August 2007	
CONTRACT AMOUNT	\$1,788,000.00	
PROJECT CONTACT	John J. Hsu, PE (1st Deputy Comm) County of Westchester-DPW	Tel: (914) 995-2552 Fax: (914) 995-4479



# PROJECT REFERENCES

AGENCY/OWNER	City of Yonkers & The Hudson River Museum	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Hudson River Museum</b> Yonkers, NY	
PROJECT DESCRIPTION	New Arched Entry to the Museum Concrete work, steps, precast preformed concrete wall panels to match existing, terrazzo flooring, new aluminum entry systems, roof system, rear building addition	
PROJECT DATES	July-December 2006	
CONTRACT AMOUNT	\$1,100,000.00	
PROJECT CONTACT	Benjamin D. Kracauer, AIA Archimuse, Inc.	Tel: (212) 566-7301 Fax: (212) 566-7305





# PROJECT REFERENCES

AGENCY/OWNER	Mineola Union Free School District	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Cross Street, Hampton Street &amp; Jackson Street Elementary Schools</b> Mineola, NY	
PROJECT DESCRIPTION	New Elevator Building Additions to Three (3) Elementary Schools New foundations, brick/block exterior walls, new roof systems, new windows, interior partitions, elevator smoke containment systems & all new finishes	
PROJECT DATES	July-December 2006	
CONTRACT AMOUNT	\$1,200,000.00	
PROJECT CONTACT	Robert A. Spencer, AIA DCLW Associate Architects, LLP	Tel: (518) 479-4000 x480 Fax: (518) 477-1356





# PROJECT REFERENCES

AGENCY/OWNER	Village of Port Chester	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Senior Community Center</b> Port Chester, NY	
PROJECT DESCRIPTION	New Senior Community Center New foundations, brick/block, metal framing, stucco system, new windows, Str. Steel, interior partitions, custom millwork & woodwork, commercial kitchen	
PROJECT DATES	July-December 2006	
CONTRACT AMOUNT	\$2,350,000.00	
PROJECT CONTACT	Joseph Malgioglio, AIA Arconics Architecture, PC	Tel: (914) 937-5596 x105 Fax: (914) 939-12558





# PROJECT REFERENCES

AGENCY/OWNER	Town of New Castle	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Chappaqua Railroad Station Restoration</b> ***AIA 2006 Design Award Winner Chappaqua, NY	
PROJECT DESCRIPTION	Complete Restoration of Landmark Facility: Stone & Masonry Restoration Asbestos & lead Remediation, modernization of all amenities to include custom millwork coffee shop & custom wood blank stamped concrete, new millwork	
PROJECT DATES	September-December 2004	
CONTRACT AMOUNT	\$764,000.00	
PROJECT CONTACT	Carl Rothbart, AIA WASA Associate Architects, LLP	Tel: (212) 420-1160 x178 Fax: (212) 529-9079





# PROJECT REFERENCES

AGENCY/OWNER	Mt. Pleasant Central School District	
PRIME CONTRACTOR	<b>Mace Contracting Corporation</b>	
PROJECT	<b>Hawthorne Elementary School</b> 225 Memorial Drive, Hawthorne, NY 10532	
PROJECT DESCRIPTION	New +/-60k SF Addition Concrete block, ground face block, split face block and new brick work custom precast concrete sills, limestone arches, etc.	
PROJECT DATES	September-December 2004	
CONTRACT AMOUNT	\$850,000.00	
PROJECT CONTACT	Robert Firneis, AIA Savin Engineers, PC	Tel: (914) 769-3200 x226 Fax: (914) 747-6696





Mayor's Office of Contract Services  
Contract Performance Evaluation

CONSTRUCTION

Vendor Name: MACE CONTRACTING CORP

Vendor TIN/EIN: 133884948

Vendor Address: 35 PORTMAN ROAD

NEW ROCHELLE, NY 10801

US

Vendor E-Mail Address: Vladimir@macecontracting.com

Vendor Updated Mailing Address:

Contract Number: CTI 826 20161406677

Procurement Identification  
Number: 82615B0049001

Contract Term: 10/30/2015 - 04/11/2017

Contract Description: East Branch Aeration, HVAC

Award Amount: \$819,000.00

Evaluating Agency: DEPARTMENT OF ENVIRONMENTAL PROTECT.

Evaluation Period: 10/30/2015 - 10/30/2016

Evaluator First Name: Ray Evaluator Last Name: Meshkati

Evaluator Phone Number: 718-595-6123

Evaluator E-Mail Address: Rmeshkati@dep.nyc.gov

I. TIMELINESS OF PERFORMANCE (Evaluators are to consider the following criteria when rating timeliness; discuss specifics in the Comments section.)

1. Was the contract work completed on time, and if ongoing, is the vendor appropriately adhering to schedules and milestones;
2. If the vendor was given any extensions of time, were any such extensions reasonable; and
3. Were any unreasonable delays in the work caused by the vendor or any of its subcontractor(s)?

Comments:

The contractor has been adhering to schedules and milestones appropriately and has made efforts to address issues and

mitigate delays.

Subcategory Rating

☐ Unsatisfactory ☐ Poor ☐ Fair ☒ Good ☐ Excellent

II. FISCAL ADMINISTRATION AND ACCOUNTABILITY (Evaluators are to consider the following criteria when rating Fiscal Administration and Accountability; discuss specifics in the Comments section.)

1. Did the vendor meet its budgetary goals, exercising reasonable efforts to contain costs, including change order pricing;
2. Has the vendor met any/all of the minority, women and emerging business enterprise participation goals and/or Local Business enterprise requirements, to the extent applicable;
3. Did the vendor and any/all subcontractors comply with applicable Prevailing Wage requirements;
4. Did the vendor maintain adequate records and logs, and did it submit accurate, complete and timely payment requisitions, fiscal reports and invoices, change order proposals, timesheets and other required daily and periodic record submissions (as applicable);
5. Did the vendor submit its proposed subcontractors for approval in advance of all work by such subcontractors; and
6. Did the vendor pay its suppliers and subcontractors, if any, promptly?

Comments:

The contractor has generally complied with budgetary, WMBE, Prevailing Wage, records & logs maintenance and change order proposals requirements as well as obtaining subcontractor & vendor approvals and then paying them on time.

Subcategory Rating

☐ Unsatisfactory ☐ Poor ☐ Fair ☒ Good ☐ Excellent

III. PERFORMANCE AND OVERALL QUALITY OF WORK (Evaluators are to consider the following criteria when rating Performance Quality; discuss specifics in the Comments section.)

1. Did the vendor and its subcontractors perform the contract with the requisite technical skill and expertise;
2. Did the vendor adequately supervise the contract and its personnel, and did its supervisors demonstrate the requisite technical skill and expertise to advance the work;
3. Did the vendor adequately staff the contract;
4. Did the vendor fully comply with all applicable safety standards and maintain the site in an appropriate and safe condition;
5. Did the vendor fully cooperate with the agency, e.g., by participating in necessary meetings, responding to agency orders and assisting the agency in addressing complaints from the community during the construction as applicable; and
6. Did the vendor adequately identify and promptly notify the agency of any issues or conditions that could impact the quality of work or result in delays, and did it adequately and promptly assist the agency in resolving problems?

Comments:

The contractor performance and supervision resulted in good quality work and they had the required expertise for the contract to advance the work in timely manner with adequate staff. They complied with, and maintained high safety standards as well as cooperated with the agency for necessary meetings, correspondence, and community concerns during construction. The contractor promptly notified the agency of any potential issues or conditions that could impact the quality of work or schedule and assisted to resolve them.

Subcategory Rating

☐ Unsatisfactory ☐ Poor ☐ Fair ☒ Good ☐ Excellent

Overall Rating (Based on the above three subcategory ratings, evaluators are to give the vendor an overall rating.)

Overall Rating

☐ Unsatisfactory ☐ Poor ☐ Fair ☒ Good ☐ Excellent

The foregoing evaluation represents my best judgment concerning the performance of the contractor and is based on documentation on file at the City Agency.

Evaluated By: Ray Meshkati

Evaluation Date: 12/20/2016

### For Evaluator Use Only

Upon completing the PE, use the Check Errors button to validate the document. After checking errors, you must forward your completed evaluation to ACCO/DACCO/Designated Contact. To do so, save the completed evaluation to your computer. It will automatically save as an Adobe PDF. Send an email through outlook, with the completed evaluation attached, to the ACCO/DACCO/Designated Contact.

### For ACCO Use Only

Once the completed evaluation is approved and ready to send to MOCS, complete the "approved by" section below. Then click the "Validate and Lock" button below. Once locked, the form cannot be modified--if modification is necessary, a new document must be created (from scratch). Save the Adobe PDF to your computer. Navigate to the "Performance Evaluation Upload" page in FMS/3 VENDEX to upload the locked evaluation and send to MOCS.

Approved By

Name: Roy Tysvaer

Title: Portfolio Manager

Date: 01/03/2017

This form was locked on Tue Jan 31 2017 16:50:57 GMT-0500 (Eastern Standard Time)



# Mayor's Office of Contract Services Contract Performance Evaluation

## CONSTRUCTION

Vendor Name: MACE CONTRACTING CORP

Vendor TIN/EIN: 133884948

Vendor Address: 35 PORTMAN ROAD

NEW ROCHELLE, NY 10801

US

Vendor E-Mail Address: Vladimir@macecontracting.com

Vendor Updated Mailing Address:

Contract Number: CT1 826 20161407082

Procurement Identification  
Number: 82615B0047001C006

Contract Term: 10/30/2015 - 05/15/2017

Contract Description: East Branch Aeration, General

Award Amount: \$14,391,312.59

Evaluating Agency: DEPARTMENT OF ENVIRONMENTAL PROTECT.

Evaluation Period: 10/30/2015 - 10/30/2016

Evaluator First Name: Ray Evaluator Last Name: Meshkati

Evaluator Phone Number: 718-595-6123

Evaluator E-Mail Address: Rmeshkati@dep.nyc.gov

I. **TIMELINESS OF PERFORMANCE** (Evaluators are to consider the following criteria when rating timeliness; discuss specifics in the Comments section.)

1. Was the contract work completed on time, and if ongoing, is the vendor appropriately adhering to schedules and milestones;
2. If the vendor was given any extensions of time, were any such extensions reasonable; and
3. Were any unreasonable delays in the work caused by the vendor or any of its subcontractor(s)?

Comments:

The contractor has been adhering to schedules and milestones appropriately and has made efforts to address issues and

mitigate delays.

Subcategory Rating

☐ Unsatisfactory

☐ Poor

☐ Fair

☒ Good

☐ Excellent

II. FISCAL ADMINISTRATION AND ACCOUNTABILITY (Evaluators are to consider the following criteria when rating Fiscal Administration and Accountability; discuss specifics in the Comments section.)

1. Did the vendor meet its budgetary goals, exercising reasonable efforts to contain costs, including change order pricing;
2. Has the vendor met any/all of the minority, women and emerging business enterprise participation goals and/or Local Business enterprise requirements, to the extent applicable;
3. Did the vendor and any/all subcontractors comply with applicable Prevailing Wage requirements;
4. Did the vendor maintain adequate records and logs, and did it submit accurate, complete and timely payment requisitions, fiscal reports and invoices, change order proposals, timesheets and other required daily and periodic record submissions (as applicable);
5. Did the vendor submit its proposed subcontractors for approval in advance of all work by such subcontractors; and
6. Did the vendor pay its suppliers and subcontractors, if any, promptly?

Comments:

The contractor has generally complied with budgetary, WMBE, Prevailing Wage, records & logs maintenance and change order proposals requirements as well as obtaining subcontractor & vendor approvals and then paying them on time.

Subcategory Rating

☐ Unsatisfactory

☐ Poor

☐ Fair

☒ Good

☐ Excellent

III. PERFORMANCE AND OVERALL QUALITY OF WORK (Evaluators are to consider the following criteria when rating Performance Quality; discuss specifics in the Comments section.)

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6. Did the vendor adequately identify and promptly notify the agency of any issues or conditions that could impact the quality of work or result in delays, and did it adequately and promptly assist the agency in resolving problems?

Comments:

The contractor and its subcontractors performance and supervision resulted in good quality work and they had the required expertise for the contract to advance the work in timely manner with adequate staff. They complied with, and maintained high safety standards as well as cooperated with the agency for necessary meetings, correspondence, and community concerns during construction. The contractor promptly notified the agency of any potential issues or conditions that could impact the quality of work or schedule and assisted to resolve them.

Subcategory Rating

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☐ Poor

☐ Fair

☒ Good

☐ Excellent

Overall Rating (Based on the above three subcategory ratings, evaluators are to give the vendor an overall rating.)

Overall Rating

☐ Unsatisfactory

☐ Poor

☐ Fair

☒ Good

☐ Excellent



The foregoing evaluation represents my best judgment concerning the performance of the contractor and is based on documentation on file at the City Agency.

Evaluated By: Ray Meshkati

Evaluation Date: 12/20/2016

### For Evaluator Use Only

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Approved By

Name: Roy Tysvaer

Title: Portfolio Manager

Date: 01/03/2017

This form was locked on Tue Jan 31 2017 16:40:15 GMT-0500 (Eastern Standard Time)





# Rockland County

Ed Day, Rockland County Executive

## CONSUMER PROTECTION / WEIGHTS & MEASURES

50 Sanatorium Road, Building A, 8th Floor

Pomona, NY 10970

(845) 364-3901 Fax: (845) 364-3902

CPLCAL@co.rockland.ny.us

The issuance and retention of this license is contingent upon the licensee's compliance with the laws of the State of New York and the County of Rockland, the rules and regulations of the Office of Consumer Protection, and the rules and regulations of all other New York State and Rockland County agencies, now in effect or which may hereafter be enacted.

LICENSED FOR

## MECHANICAL

LICENSE NUMBER: **P-20290**

LICENSE CLASSIFICATIONS:

**P Plumbing**

ISSUED TO: **Mace Contracting Corp.**

35 Portman Road

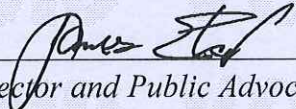
New Rochelle, NY 10801

LICENSE HOLDER: **Joseph M. Sbuttoni**

INITIAL APPLICATION DATE: **10/20/2020**

EFFECTIVE DATE: **1/1/2021**

EXPIRATION DATE: **12/31/2021**

  
Director and Public Advocate

12/30/2020

PLEASE NOTE:

**THIS LICENSE MUST BE CONSPICUOUSLY DISPLAYED**

**This Does Not Constitute A Home Improvement Or Electrical License**



# Mace Contracting Corporation

CAPABILITY STATEMENT		
<b>Company Name:</b> Mace Contracting Corporation	<b>WHO WE ARE</b>	
<b>Address:</b> 35 Portman Road New Rochelle, NY 10801	We are a NYC/NYS/PANYNJ MBE certified Firm specializing in General Construction work in the NY-NJ-CT Metro area. We are a full local union shop concentrating in the Public Construction sector with an aggregate bonding capacity of about \$50+mm. Established in 1989, we are a closely run C-Corporation with many notable projects completed and currently underway. We also subcontract a variety of Specialty Trades to Developers, CM's & GC firms in NY.	
<b>Contact's Name:</b> Vladimir Blaise, President		
<b>Telephone Number:</b> (914) 576-0606 x24		
<b>Email Address:</b> <a href="mailto:vladimir@macecontracting.com">vladimir@macecontracting.com</a>		
<b>Website:</b> None		
<b>Fax Number:</b> (914) 654-9386		
<b>DUNS Number:</b> 15-307-9756		
<b>Cage Code:</b> 5FPBO	<b>CODES</b>	
<b>EIN Number:</b> 13-3884948		
<b>SERVICES/PRODUCTS</b>	<b>NAICS</b>	<b>Description</b>
02110-Excavation & Sitework	236220	Commercial & Institutional Building GC
02110-Removal of HazMat Site Materials	237110	Construction of Pump Station & WWTP
02115-UST Removals	237990	Dam & Cofferdam Construction
02070-Asbestos & Lead Abatement	238140	Masonry Contractors
03100-Concrete Formwork	238190	Concrete Contractors
03300-Cast-in-Place Concrete	238220	Plumbing Contractors
04200-Masonry	238350	Carpentry Contractors and Doors & Windows
05400-Cold-Formed Metal Framing	238910	Demolition Contractors
05300-Metal Decking	236116	Housing Building Construction-GC
06100-All Division 6-Wood & Plastics	236210	Additions, Alterations & Renovations-GC
07000-All Division 7-Thermal Moisture Protection	562910	Asbestos & Lead Abatement Services
08000-All Division 8-Doors & Windows		
09000 All Division 9-Finishes		
15000 Mechanical Prime Contractor		
15400 Plumbing Prime Contractor		
	<b>PSC/FSC</b>	
	Y1AA	Construction of Office Buildings
<b>SIC CODES</b>	Y1CA	Construction of Schools
1542-General Building Contractors-Nonresidential	Y1JA	Construction of Museums-Exhibition Buildings
1623-Water, Sewer, Pipeline, Comm Power Line Construction	Y1KA	Construction of Dams
1629-Heavy Construction	Y1ND	Construction of Sewage & Waste Facility
1799-Special Trade Contractors	Y1QA	Construction of Restoration of Real Property
<b>CLIENT LIST</b>	<b>AGENCY</b>	<b>CERTIFICATIONS</b>
US EPA, USPS, FEMA, GSA, United Nations, Panama Canal,	NYS	MBE Empire State Development Corp
Swiss Mission, NYS OGS, DASNY, NYC SCA, NYC DDC,	NYS	Asbestos Abatement
SUNY Maritime, CUNY Queens College, US Tennis, US Mint,	NYS DEC	DEC Waste Transporter Permits
Honda, IBM, Brinks, WC-DPW, City of Yonkers & NYC DEP	US EPA	US EPA Lead Abatement
<b>MAJOR PAST PERFORMANCES</b>	<b>REFERENCES</b>	
Playland Park Music Tower Restoration (AIA Award)	Provided at Request	
Hudson River Museum Entry Gate Addition (AIA Award)		
Chappaqua Railroad Station Restoration (AIA Award)		
Pelham HS Phase II Interior Renovation		
Manursing Lake Aquatic Habitat Restoration		
Hackensack River Pumping Station Upgrades	<b>WHAT OUR CLIENTS SAY</b>	
Bay Park Sewage Plant, DAF Subnatant Pump Station	"We love you guys!" Dan Gibson	
Demolition & Obsolete Equipment Removal, NewRoc WWTP	"You guys are good!" Queens College Procurement	
Ramapo CSD Concession Stand, Press Box at Stadium	"Very professional and courteous company" Surety Company	
Ossining WWTP Upgrades & New Sludge Building	"I would recommend those guys" Chappaqua Railroad Station	
New Senior Community Center @ Port Chester, NY	"You would be crazy not to use those guys" WC DPW Commissioner	

Westchester County Board of Plumbing Examiners  
Westchester County Consumer Protection  
**Master Plumbing License 2021**



Joseph M Sbuttoni  
D.O.B: [REDACTED]  
Height: 5'11 Weight: 185  
Hair: Brown-Gray Eyes: Brown  
Company:  
Mase Contracting Corp  
35 Portman Road  
New Rochelle, NY 10801

License No. 1587  
Expires on: 12/31/2021

John Royce



**Putnam County Plumbing/Mechanical Trades Board**

110 Old Route 6, Building #3, Carmel, New York 10512  
Phone No. 845-808-1617 EXT 46026 Fax No. 845-808-1928

11/2/2020

Dear Joseph M Sbuttoni,

Thank you for submitting your renewal application. Enclosed is your Master's License. Please keep the card with you on all Putnam County job sites. Fines will be imposed for those who cannot show a Putnam County Master's License while working on a job.

Please notify this office of any changes made to your company name or change of address.

Your Master's License will run the term of 12/31/2020 - 12/31/2021.

If you have any questions, please do not hesitate to call our office.

Ellen Sorrento  
Secretary To The Plumbing Board

Joseph M Sbuttoni  
[REDACTED]

Please Remove This Card And Keep It With You On All Putnam County Job Sites

**Putnam County New York  
Plumbing/Mechanical Trades  
Licensed Master Plumber**

Joseph M Sbuttoni  
Mase Contracting Corporation

License Number 476 Valid Until 12/31/2021

110 Old Route 6, Bldg 3, Carmel NY 10512



COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Mace Contracting Corp

Address: 35 Portman Road

City: New Rochelle State/Province/Territory: NY Zip/Postal Code: 10801

Country: US

2. Entity's Vendor Identification Number: 13-3884948

3. Type of Business: Closely Held Corp (specify) \_\_\_\_\_

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

1 File(s) uploaded MaceCC & Affiliates List.pdf

*No principals have been attached to this form.*

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

Vladimir Blaise, President & Treasurer of Mace Contracting Corp is 55% Shareholder  
Novica Prekpala, VP & Secretary of Mace Contracting Corp is 45% Shareholder

1 File(s) uploaded MaceCC & Affiliates List.pdf

*No shareholders, members, or partners have been attached to this form.*

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

See attached.

1 File(s) uploaded MaceCC & Affiliates List.pdf

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES ☐ NO ☒

(a) Name, title, business address and telephone number of lobbyist(s):

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

--

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

--

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:  
Vladimir Blaise [VLADIMIR@MACECONTRACTING.COM]

Dated: 03/15/2021 10:43:30 AM

Title: President



**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

**Mace Contracting Corporation** (Started 04/15/1996 to Present; General Construction Firm)

35 Portman Road, New Rochelle, NY 10801

(914) 576-0606

Fed ID #13-3884948

Ownership: Vladimir Blaise, President & Treasurer, 55% and Novica Prekpala, Executive Vice President & Secretary, 45%

**Mace Industries LLC** (Started 01/01/2007 to Present; Real Estate Holding Firm)

35 Portman Road, New Rochelle, NY 10801

(914) 654-1200

Fed ID#20-4776697

Ownership: Vladimir Blaise, Member, 50% and Novica Prekpala, Member, 50%

**Blaise Group NY LLC** (Started 09/12/2006 to Present; Insurance Agency)

256 East 3<sup>rd</sup> Street, Mt Vernon, NY 10553

(914) 667-7700

Fed ID#20-5552801

Ownership: Vladimir Blaise, Member, 60% and Novica Prekpala, Member, 40%

**HSC Contracting Inc** (Started 10/23/2009 to Present; Dormant Firm)

PO Box 296, Farmingville, NY 11738

No Telephone / Fax Numbers

Fed ID#27-1174285

Ownership: Vladimir Blaise, President, 100%

**Harrison Specialty Contracting Inc** (Started 10/23/2009 to Present; Dormant Firm)

35 Portman Road, New Rochelle, NY 10801

No Telephone / Fax Numbers

Fed ID#27-4698863

Ownership: Novica Prekpala, President, 100%

**Funds Control, LLC** (Started 11/08/2013 to Present; Funds Administration Consulting Firm)

35 Portman Road, New Rochelle, NY 10801

(800) 654-8814

Fed ID#46-4038745

Ownership: Novica Prekpala, Member, 100%

**Mace JV, LLC** (Started 12/12/2014 to Present; General Construction Company)

258 East 3<sup>rd</sup> Street, Mt Vernon, NY 10553

(914) 654-8800

Fed ID# Not Filed todate

Ownership: Not formerly established (Mace Contracting Corp, Member)

**242 Wood Street LLC** (Started 01/01/2020, Real Estate Holding Firm)

16192 Coastal Highway, Lewes, DE 19958 (Mailing address is 35 Portman Road, New Rochelle, NY 10801)

(914) 654-8800

Fed ID #85-2372145

Ownership: Vladimir Blaise, Member 50% and Novica Prekpalaj, Member 50%



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

11/5/2020

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> John M. Glover Agency Insurance Services 45 Knollwood Road Elmsford NY 10523	<b>CONTACT</b> NAME Janice Caldararo PHONE (A/C, No, Ext) 914-829-9077 E-MAIL ADDRESS jcaldararo@johnmglover.com FAX (A/C, No) 203-274-9471														
License#: PC-904790 MACECON-01	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">INSURER(S) AFFORDING COVERAGE</th> <th style="text-align: left;">NAIC #</th> </tr> </thead> <tbody> <tr> <td>INSURER A Travelers Casualty Insurance Company of America</td> <td>19046</td> </tr> <tr> <td>INSURER B The Charter Oak Fire Insurance</td> <td>25615</td> </tr> <tr> <td>INSURER C United States Fire Insurance Company</td> <td>21113</td> </tr> <tr> <td>INSURER D West American Insurance Company</td> <td>44393</td> </tr> <tr> <td>INSURER E Endurance American Spec. Ins.</td> <td>41718</td> </tr> <tr> <td>INSURER F</td> <td></td> </tr> </tbody> </table>	INSURER(S) AFFORDING COVERAGE	NAIC #	INSURER A Travelers Casualty Insurance Company of America	19046	INSURER B The Charter Oak Fire Insurance	25615	INSURER C United States Fire Insurance Company	21113	INSURER D West American Insurance Company	44393	INSURER E Endurance American Spec. Ins.	41718	INSURER F	
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<b>INSURED</b> Mace Contracting Corp. 35 Portman Road New Rochelle NY 10801															

**COVERAGES**
**CERTIFICATE NUMBER: 973827333**
**REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS														
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE L MIT APPL ES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:	Y	Y	DT-CO-3G75416A-TCT-20	10/1/2020	10/1/2021	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>EACH OCCURRENCE</td><td>\$ 2,000,000</td></tr> <tr><td>DAMAGE TO RENTED PREMISES (Ea occurrence)</td><td>\$ 300,000</td></tr> <tr><td>MED EXP (Any one person)</td><td>\$ 5,000</td></tr> <tr><td>PERSONAL &amp; ADV INJURY</td><td>\$ 2,000,000</td></tr> <tr><td>GENERAL AGGREGATE</td><td>\$ 4,000,000</td></tr> <tr><td>PRODUCTS - COMP/OP AGG</td><td>\$ 4,000,000</td></tr> <tr><td></td><td>\$</td></tr> </table>	EACH OCCURRENCE	\$ 2,000,000	DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 300,000	MED EXP (Any one person)	\$ 5,000	PERSONAL & ADV INJURY	\$ 2,000,000	GENERAL AGGREGATE	\$ 4,000,000	PRODUCTS - COMP/OP AGG	\$ 4,000,000		\$
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E	<b>UMBRELLA LIAB</b> <input checked="" type="checkbox"/> OCCUR <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$ 10,000	Y		EXN30002024500	10/1/2020	10/1/2021	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>EACH OCCURRENCE</td><td>\$ 3,000,000</td></tr> <tr><td>AGGREGATE</td><td>\$ 3,000,000</td></tr> <tr><td></td><td>\$</td></tr> </table>	EACH OCCURRENCE	\$ 3,000,000	AGGREGATE	\$ 3,000,000		\$								
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C	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCR PTION OF OPERATIONS below	Y/N <input checked="" type="checkbox"/> N	Y	408-739955-4	10/16/2020	10/16/2021	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td><input checked="" type="checkbox"/> PER STATUTE</td> <td><input type="checkbox"/> OTH-ER</td> <td></td> </tr> <tr><td>E.L. EACH ACC DENT</td><td></td><td>\$ 1,000,000</td></tr> <tr><td>E.L. DISEASE - EA EMPLOYEE</td><td></td><td>\$ 1,000,000</td></tr> <tr><td>E.L. DISEASE - POLICY LIMIT</td><td></td><td>\$ 1,000,000</td></tr> </table>	<input checked="" type="checkbox"/> PER STATUTE	<input type="checkbox"/> OTH-ER		E.L. EACH ACC DENT		\$ 1,000,000	E.L. DISEASE - EA EMPLOYEE		\$ 1,000,000	E.L. DISEASE - POLICY LIMIT		\$ 1,000,000		
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D	Equipment Floater			BMW57236768	6/14/2020	6/14/2021	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>Leased/Rented Equipme</td><td>500,000</td></tr> </table>	Leased/Rented Equipme	500,000												
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DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Re: Contract No. 125H - Ventilation Construction

Port Washington Water Pollution Control District, including Board of Commissioners, all elected and appointed officials, employees and volunteers; D&B Engineers & Architects, P.C. and its consultants and Town of North Hempstead are included as an additional insured under the General Liability policy on a primary and non-contributory basis and as an additional insured under the Auto Liability policy, if required by written contract executed prior to a loss. Waiver of subrogation is provided in favor of additional insureds with respect to General Liability, Auto Liability and Workers Compensation if required by written contract executed prior to a loss.

Contractual liability is included subject to the terms and conditions of the policy. Excess Liability policy is follow form with respect to Additional Insureds.

**CERTIFICATE HOLDER**
**CANCELLATION**

Port Washington Water Pollution Control District  
 70 Harbor Road  
 Port Washington, NY 11050

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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# NEW YORK CONSTRUCTION CERTIFICATE OF LIABILITY INSURANCE ADDENDUM

DATE (MM/DD/YYYY)

11/5/2020

THIS ADDENDUM SUMMARIZES SOME OF THE POLICY PROVISIONS IN THE REFERENCED INSURANCE POLICIES AND IS ISSUED AS A MATTER OF INFORMATION ONLY; IT CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. ALL TERMS, EXCLUSIONS AND CONDITIONS IN THE ACTUAL POLICY SHOULD BE CONSULTED FOR A MORE DETAILED ANALYSIS OF COVERAGE, AS THIS ADDENDUM DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES.

AGENCY John M. Glover Agency		NAMED INSURED(S) Mace Contracting Corp.	
POLICY NUMBER DT-CO-3G75416A-TCT-20	EFFECTIVE DATE 10/1/2020	CARRIER Travelers Casualty Ins Co of America	NAIC CODE 19046

**ADDENDUM INFORMATION****CERTIFICATE NUMBER:**973827333**REVISION NUMBER:****A. Insurer**

- ☒ Admitted / authorized
- ☐ Excess line or free trade zone

**B. General Liability (GL) policy form**

- ☒ ISO / ISO modified
- ☐ Other

**C. Specific operations excluded or restricted (GL policy)**

- ☐ Location: \_\_\_\_\_
- ☐ Type of construction: \_\_\_\_\_
- ☐ Building height: \_\_\_\_\_
- ☐ Classifications [see attached declarations / endorsement]
- ☐ Designated work [see attached endorsement]

**D. Additional insured endorsement (GL policy)**

- ☐ CG 20 10    ☐ CG 20 26    ☐ CG 20 32    ☐ CG 20 33    ☐ CG 20 37    ☐ CG 20 38
- ☒ Other:    #: CG D6 04    Title: Blanket Additional Insured - Automatic Status

**E. According to the terms of this GL policy, the additional insured has primary and noncontributory coverage**

- ☒ Yes    ☐ No and    ☐ no other option is available with this insurer

**F. Additional insured will receive advance notice if insurer cancels (GL policy)**

- ☒ Yes    ☐ No and    ☐ no other option is available with this insurer

**G. Blanket contractual liability located in the "insured contract" definition (Section V, Number 9, Item f. in the ISO CGL policy) is removed or restricted**

- ☐ Yes and    ☐ no other option is available with this insurer    ☒ No changes made

**H. "Insured contract" exception to the employers liability exclusion is removed or modified (GL policy)**

- ☐ Yes and    ☐ no other option is available with this insurer    ☒ No changes made

**I. GL policy (including endorsements) does not cover the additional insured for claims involving injury to employees of the named insured or subcontractors (not workers' compensation)**

- ☐ Yes and    ☐ no other option is available with this insurer    ☒ No changes made

**J. Earth movement, excavation or explosion / collapse / underground property damage is excluded or restricted (GL policy)**

☐ Yes and ☐ no other option is available with this insurer ☒ No changes made

**K. Insured vs. insured suits (cross liability in the ISO CGL policy) are excluded or restricted (other than named insured vs. named insured)**

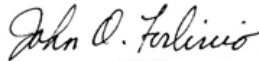
☐ Yes and ☐ no other option is available with this insurer ☒ No changes made

**L. Property damage to work performed by subcontractors (exception to the "damage to your work" exclusion in the ISO CGL policy) is excluded or restricted**

☐ Yes and ☐ no other option is available with this insurer ☒ No changes made

**M. Excess / umbrella policy is primary and non-contributory for additional insureds**

☐ Yes, by specific policy provision ☐ Yes, by endorsement ☒ No and ☐ no other option is available with this insurer



AUTHORIZED REPRESENTATIVE SIGNATURE

11/5/2020

DATE (MM/DD/YYYY)



**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.**

## **NEW YORK BUSINESS AUTO EXTENSION ENDORSEMENT**

This endorsement modifies insurance provided under the following:

### **BUSINESS AUTO COVERAGE FORM**

**GENERAL DESCRIPTION OF COVERAGE** – This endorsement broadens coverage. However, coverage for any injury, damage or medical expenses described in any of the provisions of this endorsement may be excluded or limited by another endorsement to the Coverage Part, and these coverage broadening provisions do not apply to the extent that coverage is excluded or limited by such an endorsement. The following listing is a general coverage description only. Limitations and exclusions may apply to these coverages. Read all the provisions of this endorsement and the rest of your policy carefully to determine rights, duties, and what is and is not covered.

- |  |  |
|--|--|
| <ul style="list-style-type: none"> <li><b>A. BROAD FORM NAMED INSURED</b></li> <li><b>B. BLANKET ADDITIONAL INSURED</b></li> <li><b>C. EMPLOYEE HIRED AUTO</b></li> <li><b>D. EMPLOYEES AS INSURED</b></li> <li><b>E. SUPPLEMENTARY PAYMENTS – INCREASED LIMITS</b></li> <li><b>F. WAIVER OF DEDUCTIBLE – GLASS</b></li> <li><b>G. HIRED AUTO PHYSICAL DAMAGE – LOSS OF USE – INCREASED LIMIT</b></li> </ul> | <ul style="list-style-type: none"> <li><b>H. PHYSICAL DAMAGE – TRANSPORTATION EXPENSES – INCREASED LIMIT</b></li> <li><b>I. PERSONAL PROPERTY</b></li> <li><b>J. AIRBAGS</b></li> <li><b>K. NOTICE AND KNOWLEDGE OF ACCIDENT OR LOSS.</b></li> <li><b>L. BLANKET WAIVER OF SUBROGATION</b></li> <li><b>M. UNINTENTIONAL ERRORS OR OMISSIONS</b></li> </ul> |
|--|--|

### **PROVISIONS**

#### **A. BROAD FORM NAMED INSURED**

The following is added to Paragraph **A.1., Who Is An Insured**, of **SECTION II – COVERED AUTOS LIABILITY COVERAGE**:

Any organization you newly acquire or form during the policy period over which you maintain 50% or more ownership interest and that is not separately insured for Business Auto Coverage. Coverage under this provision is afforded only until the 180th day after you acquire or form the organization or the end of the policy period, whichever is earlier.

#### **B. BLANKET ADDITIONAL INSURED**

The following is added to Paragraph **c. in A.1., Who Is An Insured**, of **SECTION II – COVERED AUTOS LIABILITY COVERAGE**:

Any person or organization who is required under a written contract or agreement between you and that person or organization, that is signed and executed by you before the "bodily injury" or "property damage" occurs and that is in effect during the policy period, to be named as an

additional insured is an "insured" for Covered Autos Liability Coverage, but only for damages to which this insurance applies and only to the extent that person or organization qualifies as an "insured" under the Who is An Insured provision contained in Section II.

#### **C. EMPLOYEE HIRED AUTO**

**1. The following is added to Paragraph A.1., Who Is An Insured, of SECTION II – COVERED AUTOS LIABILITY COVERAGE:**

An "employee" of yours is an "Insured" while operating an "auto" hired or rented under a contract or agreement in an "employee's" name, with your permission, while performing duties related to the conduct of your business.

**2. The following replaces Paragraph b. in B.5., Other Insurance, of SECTION IV – BUSINESS AUTO CONDITIONS:**

**b. For Hired Auto Physical Damage Coverage, the following are deemed to be covered "autos" you own:**



- (1) Any covered "auto" you lease, hire, rent or borrow; and
- (2) Any covered "auto" hired or rented by your "employee" under a contract in an "employee's" name, with your permission, while performing duties related to the conduct of your business.

However, any "auto" that is leased, hired, rented or borrowed with a driver is not a covered "auto".

**D. EMPLOYEES AS INSURED**

The following is added to Paragraph A.1., **Who Is An Insured**, of **SECTION II – COVERED AUTOS LIABILITY COVERAGE**:

Any "employee" of yours is an "insured" while using a covered "auto" you don't own, hire or borrow in your business or your personal affairs.

**E. SUPPLEMENTARY PAYMENTS – INCREASED LIMITS**

1. The following replaces Paragraph A.2.a.(2), of **SECTION II – COVERED AUTOS LIABILITY COVERAGE**:
  - (2) Up to \$3,000 for cost of bail bonds (including bonds for related traffic law violations) required because of an "accident" we cover. We do not have to furnish these bonds.
2. The following replaces Paragraph A.2.a.(4), of **SECTION II – COVERED AUTOS LIABILITY COVERAGE**:
  - (4) All reasonable expenses incurred by the "insured" at our request, including actual loss of earnings up to \$500 a day because of time off from work.

**F. WAIVER OF DEDUCTIBLE – GLASS**

The following is added to Paragraph D., **Deductible**, of **SECTION III – PHYSICAL DAMAGE COVERAGE**:

No deductible for a covered "auto" will apply to glass damage if the glass is repaired rather than replaced.

**G. HIRED AUTO PHYSICAL DAMAGE – LOSS OF USE – INCREASED LIMIT**

The following replaces the last sentence of Paragraph A.4.b., **Loss Of Use Expenses**, of **SECTION III – PHYSICAL DAMAGE COVERAGE**:

However, the most we will pay for any expenses for loss of use is \$65 per day, to a maximum of \$750 for any one "accident".

**H. PHYSICAL DAMAGE – TRANSPORTATION EXPENSES – INCREASED LIMIT**

The following replaces the first sentence in Paragraph A.4.a., **Transportation Expenses**, of **SECTION III – PHYSICAL DAMAGE COVERAGE**:

We will pay up to \$50 per day to a maximum of \$1,500 for temporary transportation expense incurred by you because of the total theft of a covered "auto" of the private passenger type.

**I. PERSONAL PROPERTY**

The following is added to Paragraph A.4., **Coverage Extensions**, of **SECTION III – PHYSICAL DAMAGE COVERAGE**:

**Personal Property**

We will pay up to \$400 for "loss" to wearing apparel and other personal property which is:

- (1) Owned by an "insured"; and
- (2) In or on your covered "auto".

This coverage applies only in the event of a total theft of your covered "auto".

No deductibles apply to this Personal Property coverage.

**J. AIRBAGS**

The following is added to Paragraph B.3., **Exclusions**, of **SECTION III – PHYSICAL DAMAGE COVERAGE**:

Exclusion 3.a. does not apply to "loss" to one or more airbags in a covered "auto" you own that inflate due to a cause other than a cause of "loss" set forth in Paragraphs A.1.b. and A.1.c., but only:

- a. If that "auto" is a covered "auto" for Comprehensive Coverage under this policy;
- b. The airbags are not covered under any warranty; and
- c. The airbags were not intentionally inflated.

We will pay up to a maximum of \$1,000 for any one "loss".

**K. NOTICE AND KNOWLEDGE OF ACCIDENT OR LOSS**

The following is added to Paragraph A.2.a., of **SECTION IV – BUSINESS AUTO CONDITIONS**:

Your duty to give us or our authorized representative notice as soon as reasonably

possible of the "accident" or "loss" applies only when the "accident" or "loss" is known to:

- (a) You (if you are an individual);
- (b) A partner (if you are a partnership);
- (c) A member (if you are a limited liability company);
- (d) An executive officer, director or insurance manager (if you are a corporation or other organization); or
- (e) Any "employee" authorized by you to give notice of the "accident" or "loss".

**L. BLANKET WAIVER OF SUBROGATION**

The following replaces Paragraph **A.5., Transfer Of Rights Of Recovery Against Others To Us**, of **SECTION IV – BUSINESS AUTO CONDITIONS**:

**5. Transfer Of Rights Of Recovery Against Others To Us**

We waive any right of recovery we may have against any person or organization to the extent required of you by a written contract signed and executed prior to any "accident" or "loss", provided that the "accident" or "loss" arises out of operations contemplated by such contract. The waiver applies only to the person or organization designated in such contract.

**M. UNINTENTIONAL ERRORS OR OMISSIONS**

The following is added to Paragraph **B.2., Concealment, Misrepresentation, Or Fraud**, of **SECTION IV – BUSINESS AUTO CONDITIONS**:

The unintentional omission of, or unintentional error in, any information given by you shall not prejudice your rights under this insurance. However this provision does not affect our right to collect additional premium or exercise our right of cancellation or non-renewal.



**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.**

## **BLANKET ADDITIONAL INSURED – AUTOMATIC STATUS IF REQUIRED BY WRITTEN CONTRACT (CONTRACTORS)**

This endorsement modifies insurance provided under the following:

**COMMERCIAL GENERAL LIABILITY COVERAGE PART**

The following is added to **SECTION II – WHO IS AN INSURED**:

Any person or organization that:

- a. You agree in a written contract or agreement to include as an additional insured on this Coverage Part; and
- b. Has not been added as an additional insured for the same project by attachment of an endorsement under this Coverage Part which includes such person or organization in the endorsement's schedule;

is an insured, but:

- a. Only with respect to liability for "bodily injury" or "property damage" that occurs, or for "personal injury" caused by an offense that is committed, subsequent to the signing of that contract or agreement and while that part of the contract or agreement is in effect; and
- b. Only as described in Paragraph (1), (2) or (3) below, whichever applies:

(1) If the written contract or agreement specifically requires you to provide additional insured coverage to that person or organization by the use of:

- (a) The Additional Insured – Owners, Lessees or Contractors – (Form B) endorsement CG 20 10 11 85; or
- (b) Either or both of the following: the Additional Insured – Owners, Lessees or Contractors – Scheduled Person Or Organization endorsement CG 20 10 10 01, or the Additional Insured – Owners, Lessees or Contractors – Completed Operations endorsement CG 20 37 10 01;

the person or organization is an additional insured only if the injury or damage arises out of "your work" to which the written contract or agreement applies;

(2) If the written contract or agreement specifically requires you to provide additional insured coverage to that person or organization by the use of:

- (a) The Additional Insured – Owners, Lessees or Contractors – Scheduled Person or Organization endorsement CG 20 10 07 04 or CG 20 10 04 13, the Additional Insured – Owners, Lessees or Contractors – Completed Operations endorsement CG 20 37 07 04 or CG 20 37 04 13, or both of such endorsements with either of those edition dates; or

- (b) Either or both of the following: the Additional Insured – Owners, Lessees or Contractors – Scheduled Person Or Organization endorsement CG 20 10, or the Additional Insured – Owners, Lessees or Contractors – Completed Operations endorsement CG 20 37, without an edition date of such endorsement specified;

the person or organization is an additional insured only if the injury or damage is caused, in whole or in part, by acts or omissions of you or your subcontractor in the performance of "your work" to which the written contract or agreement applies; or

(3) If neither Paragraph (1) nor (2) above applies:

- (a) The person or organization is an additional insured only if, and to the extent that, the injury or damage is caused by acts or omissions of you or your subcontractor in the performance of "your work" to which the written contract or agreement applies; and
- (b) Such person or organization does not qualify as an additional insured with respect to the independent acts or omissions of such person or organization.

The insurance provided to such additional insured is subject to the following provisions:

- a. If the Limits of Insurance of this Coverage Part shown in the Declarations exceed the minimum limits required by the written contract or agreement, the insurance provided to the additional insured will be limited to such minimum required limits. For the purposes of determining whether

## COMMERCIAL GENERAL LIABILITY

this limitation applies, the minimum limits required by the written contract or agreement will be considered to include the minimum limits of any Umbrella or Excess liability coverage required for the additional insured by that written contract or agreement. This provision will not increase the limits of insurance described in Section III – Limits Of Insurance.

**b.** The insurance provided to such additional insured does not apply to:

**(1)** Any "bodily injury", "property damage" or "personal injury" arising out of the providing, or failure to provide, any professional architectural, engineering or surveying services, including:

**(a)** The preparing, approving, or failing to prepare or approve, maps, shop drawings, opinions, reports, surveys, field orders or change orders, or the preparing, approving, or failing to prepare or approve, drawings and specifications; and

**(b)** Supervisory, inspection, architectural or engineering activities.

**(2)** Any "bodily injury" or "property damage" caused by "your work" and included in the "products-completed operations hazard" unless the written contract or agreement specifically requires you to provide such coverage for that additional insured during the policy period.

**c.** The additional insured must comply with the following duties:

**(1)** Give us written notice as soon as practicable of an "occurrence" or an offense which may

result in a claim. To the extent possible, such notice should include:

**(a)** How, when and where the "occurrence" or offense took place;

**(b)** The names and addresses of any injured persons and witnesses; and

**(c)** The nature and location of any injury or damage arising out of the "occurrence" or offense.

**(2)** If a claim is made or "suit" is brought against the additional insured:

**(a)** Immediately record the specifics of the claim or "suit" and the date received; and

**(b)** Notify us as soon as practicable and see to it that we receive written notice of the claim or "suit" as soon as practicable.

**(3)** Immediately send us copies of all legal papers received in connection with the claim or "suit", cooperate with us in the investigation or settlement of the claim or defense against the "suit", and otherwise comply with all policy conditions.

**(4)** Tender the defense and indemnity of any claim or "suit" to any provider of other insurance which would cover such additional insured for a loss we cover. However, this condition does not affect whether the insurance provided to such additional insured is primary to other insurance available to such additional insured which covers that person or organization as a named insured as described in Paragraph 4., Other Insurance, of Section IV – Commercial General Liability Conditions.





# CERTIFICATE OF INSURANCE COVERAGE DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

## PART 1. To be completed by Disability and Paid Family Leave Benefits Carrier or Licensed Insurance Agent of that Carrier

<p>1a. Legal Name &amp; Address of Insured (use street address only) <b>MACE CONTRACTING CORPORATION</b></p> <p><b>35 PORTMAN ROAD</b> <b>NEW ROCHELLE, NY 10801</b></p> <p>Work Location of Insured (Only required if coverage is specifically limited to certain locations in New York State, i.e., Wrap-Up Policy)</p>	<p>1b. Business Telephone Number of Insured <b>914-576-0606</b></p> <p>1c. Federal Employer Identification Number of Insured or Social Security Number <b>133884948</b></p>
<p>2. Name and Address of Entity Requesting Proof of Coverage (Entity Being Listed as the Certificate Holder) <b>Port Washington Water Pollution Control District</b> <b>70 Harbor Road</b> <b>Port Washington, NY 11050</b></p>	<p>3a. Name of Insurance Carrier <b>ShelterPoint Life Insurance Company</b></p> <p>3b. Policy Number of Entity Listed in Box "1a" <b>DBL493240</b></p> <p>3c. Policy effective period <b>10/01/2020</b> to <b>09/30/2021</b></p>

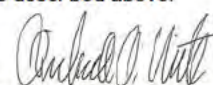
4. Policy provides the following benefits:

- ☒ A. Both disability and paid family leave benefits.  
☐ B. Disability benefits only.  
☐ C. Paid family leave benefits only.

5. Policy covers:

- ☒ A. All of the employer's employees eligible under the NYS Disability and Paid Family Leave Benefits Law.  
☐ B. Only the following class or classes of employer's employees:

Under penalty of perjury, I certify that I am an authorized representative or licensed agent of the insurance carrier referenced above and that the named insured has NYS Disability and/or Paid Family Leave Benefits insurance coverage as described above.

Date Signed 11/5/2020 By   
 (Signature of insurance carrier's authorized representative or NYS Licensed Insurance Agent of that insurance carrier)

Telephone Number 516-829-8100 Name and Title Richard White, Chief Executive Officer

**IMPORTANT:** If Boxes 4A and 5A are checked, and this form is signed by the insurance carrier's authorized representative or NYS Licensed Insurance Agent of that carrier, this certificate is COMPLETE. Mail it directly to the certificate holder.

If Box 4B, 4C or 5B is checked, this certificate is NOT COMPLETE for purposes of Section 220, Subd. 8 of the NYS Disability and Paid Family Leave Benefits Law. It must be mailed for completion to the Workers' Compensation Board, Plans Acceptance Unit, PO Box 5200, Binghamton, NY 13902-5200.

## PART 2. To be completed by the NYS Workers' Compensation Board (Only if Box 4C or 5B of Part 1 has been checked)

### State of New York Workers' Compensation Board

According to information maintained by the NYS Workers' Compensation Board, the above-named employer has complied with the NYS Disability and Paid Family Leave Benefits Law with respect to all of his/her employees.

Date Signed \_\_\_\_\_ By \_\_\_\_\_  
 (Signature of Authorized NYS Workers' Compensation Board Employee)

Telephone Number \_\_\_\_\_ Name and Title \_\_\_\_\_

**Please Note:** Only insurance carriers licensed to write NYS disability and paid family leave benefits insurance policies and NYS licensed insurance agents of those insurance carriers are authorized to issue Form DB-120.1. **Insurance brokers are NOT authorized to issue this form.**







**Workers'  
Compensation  
Board**

**CERTIFICATE OF  
NYS WORKERS' COMPENSATION INSURANCE COVERAGE**

<p>1a. Legal Name &amp; Address of Insured (use street address only)</p> <p><b>Mace Contracting Corp.</b> 35 Portman Road New Rochelle NY 10801</p> <p>Work Location of Insured (Only required if coverage is specifically limited to certain locations in New York State, i.e., a Wrap-Up Policy)</p>	<p>1b. Business Telephone Number of Insured 914-576-0606</p> <p>1c. NYS Unemployment Insurance Employer Registration Number of Insured</p> <p>1d. Federal Employer Identification Number of Insured or Social Security Number 13-3884948</p>
<p>2. Name and Address of Entity Requesting Proof of Coverage (Entity Being Listed as the Certificate Holder)</p> <p><b>Port Washington Water Pollution Control District</b> 70 Harbor Road Port Washington, NY 11050</p>	<p>3a. Name of Insurance Carrier <b>United States Fire Insurance Company</b></p> <p>3b. Policy Number of Entity Listed in Box "1a" 408-739955-4</p> <p>3c. Policy effective period 10/16/2020 to 10/16/2021</p> <p>3d. The Proprietor, Partners or Executive Officers are  <input checked="" type="checkbox"/> included. (Only check box if all partners/officers included)  <input type="checkbox"/> all excluded or certain partners/officers excluded.         </p>

This certifies that the insurance carrier indicated above in box "3" insures the business referenced above in box "1a" for workers' compensation under the New York State Workers' Compensation Law. (To use this form, New York (NY) must be listed under Item 3A on the **INFORMATION PAGE** of the workers' compensation insurance policy). The Insurance Carrier or its licensed agent will send this Certificate of Insurance to the entity listed above as the certificate holder in box "2".

Will the carrier notify the certificate holder within 10 days of a policy being cancelled for non-payment of premium or within 30 days if cancelled for any other reason or if the insured is otherwise eliminated from the coverage indicated on this certificate prior to the end of the policy effective period? ☐ YES ☒ NO

This certificate is issued as a matter of information only and confers no rights upon the certificate holder. This certificate does not amend, extend or alter the coverage afforded by the policy listed, nor does it confer any rights or responsibilities beyond those contained in the referenced policy.

This certificate may be used as evidence of a Workers' Compensation contract of insurance only while the underlying policy is in effect.

**Please Note: Upon cancellation of the workers' compensation policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of Workers' Compensation Coverage or other authorized proof that the business is complying with the mandatory coverage requirements of the New York State Workers' Compensation Law.**

Under penalty of perjury, I certify that I am an authorized representative or licensed agent of the insurance carrier referenced above and that the named insured has the coverage as depicted on this form.

Approved by: John Forlivo  
(Print name of authorized representative or licensed agent of insurance carrier)

Approved by: John D. Forlivo 11/5/2020  
(Signature) (Date)

Title: Chief Executive Officer

Telephone Number of authorized representative or licensed agent of insurance carrier: 914-829-9077

**Please Note: Only insurance carriers and their licensed agents are authorized to issue Form C-105.2. Insurance brokers are NOT authorized to issue it.**

## **Workers' Compensation Law**

### **Section 57. Restriction on issue of permits and the entering into contracts unless compensation is secured.**

1. The head of a state or municipal department, board, commission or office authorized or required by law to issue any permit for or in connection with any work involving the employment of employees in a hazardous employment defined by this chapter, and notwithstanding any general or special statute requiring or authorizing the issue of such permits, shall not issue such permit unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that compensation for all employees has been secured as provided by this chapter. Nothing herein, however, shall be construed as creating any liability on the part of such state or municipal department, board, commission or office to pay any compensation to any such employee if so employed.
2. The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in a hazardous employment defined by this chapter, notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that compensation for all employees has been secured as provided by this chapter.

**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Office of the County Executive  
Att: Brian J. Schneider, Deputy County Executive

**FROM:** Department of Public Works

**DATE:** March 15, 2021 (Revised)

**SUBJECT: RECOMMENDATION OF AWARD**

**Contract Number: S82015-01G**

**Title: Five Towns Drainage Improvements: Cedarhurst Pump Station**

**Engineer's Estimate: \$ 1,906,000.00**

**Bids Received On: January 5, 2021**

The bids received for the above referenced contract have been examined and the bid submitted by Mace Contracting Corp. in the amount of \$2,150,00.00 is acceptable as the lowest bid (see the attached bid tabulation and bid analysis).

The low bid Mace Contracting Corp. is above the engineer's estimate and adequate funds will be available (Capital Project Number 35131).

If you approve or disapprove of the above request, please signify below, and return this memo to this office for appropriate action.



Kenneth G. Arnold  
Commissioner

KGA:SS:jd  
Attachments

c: Sean E. Sallie, Deputy Commissioner  
Rakhal Maitra, Deputy Commissioner  
Loretta Dionisio, Assistant to Deputy Commissioner of Public Works  
Richard Iadevaio, Jr., Superintendent of Highway and Drainage Construction  
Joseph Cuomo, Planner II, Project Manager  
CariAnn Palmese, Program Manager, Armand Corporation

APPROVED:

DISAPPROVED:

 3/15/2021

Brian J. Schneider  
Deputy County Executive

Date

Brian J. Schneider  
Deputy County Executive

Date



**REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT**PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work OrderProject Title: Construction of Five Towns Drainage Improvements: Cedarhurst Pump StationDepartment: Public Works Project Manager: Joseph Cuomo Date: 3/11/2020Service Requested: Construction ServicesJustification: Installation of a pump station that would reduce the frequency and severity of flooding in the Village of Cedarhurst. This is a GOSR funded project.Requested by: Department of Public Works Department/Agency/OfficeProject Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment): \$3,700,000.00  
Circle appropriate phaseTotal Project Cost: \$4,342,272.13 Date Start Work: January, 2021 Duration: 12 months  
Includes, design, construction and CM Phase being requested Phase being requestedCapital Funding Approval: YES ☐ NO ☐

SIGNATURE

DATE

Funding Allocation (Capital Project):

See Attached Sheet if multiyear ☐

NIFS Entered: SIGNATURE DATE

AIM Entered: SIGNATURE DATE

Funding Code: 82015  
use this on all encumbrancesTimesheet Code: \_\_\_\_\_  
use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐

Supplemental Environmental Documentation

NEPA CEDepartment Head Approval: YES ☒ NO ☐

SIGNATURE

DCE/Ops Approval: YES ☐ NO ☐

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval: YES NO Signature \_\_\_\_\_

COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
Inter-Departmental Memo

**TO:** Joseph Cuomo, Planner II

**FROM:** Office of the Commissioner

**DATE:** March 11, 2020

**SUBJECT:** CSEA Sub-Contracting Approval  
**C20-040** Five Towns Stormwater Infrastructure Upgrades Cedarhurst Pump Station  
GOSR Project

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. Pursuant to Section 32-3 of the CSEA/County CBA, CSEA has withdrawn its objection to the above-referenced contract known as **C20-040**.

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions, please speak with Jonathan Lesman.



Roseann D'Alleva  
Deputy Commissioner

RD:las

c: Sean Sallie, Deputy Commissioner  
Loretta Dionisio, Assistant to Deputy Commissioner  
Jonathan Lesman, Management Analyst II



**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Civil Service Employees Association, Nassau Local 830  
 Att: Ronald Gurrieri, Executive Vice President

**FROM:** Department of Public Works

**DATE:** March 10, 2020

**SUBJECT:** CSEA Notification of a proposed DPW Contract  
 Five Towns Stormwater Infrastructure Upgrades  
 Cedarhurst Pump Station GOSR Project

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

Please refer to CSEA subcontracting approval memo C17-076 dated December 7, 2018. As per the above approval memo, the County shall notify CSEA of each aspect of work being done under this agreement.

- 
1. The work involves the following:  
 Installation of a pump station that would reduce the frequency and severity of flooding in the Village of Cedarhurst. This is a GOSR funded project.
  2. An estimate of the cost is: \$2,498,130.66
  3. An estimate of the duration is: Twelve (12) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days, to: Department of Public Works, Att: Roseann D'Alleva, Deputy Commissioner, telephone 571-9607, fax 571-9657.



Roseann D'Alleva  
 Deputy Commissioner

RD:SS:ac

- c: Christopher Nicolino, Director, Office of Labor Relations  
 Sean Sallie, Deputy Commissioner  
 Loretta Dionisio, Assistant to Deputy Commissioner  
 Christopher Yansick, Unit Head, Financial Management Unit  
 Diane Pyne, Unit Head, Human Resources Unit  
 Joseph Cuomo, Planner II  
 CariAnn Palmese, Program Manager





U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
Lower Tier Covered Transactions  
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

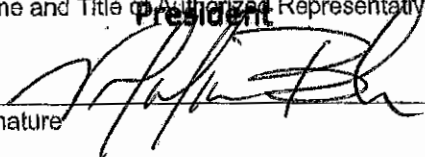
**Vladimir P. Blaise**

Name and Title of Authorized Representative

**President**

m/d/yy

Signature



Date

1/5/24

1/5/24

Name of Organization

**Mace Contracting Corp**

**35 Portman Road**

**New Rochelle, NY 10801-2104**

Address of Organization

## M/WBE UTILIZATION PLAN

**INSTRUCTIONS:** This form is submitted at the time of bid or RFP submittal, as outlined in procurement submission instructions. This Utilization Plan must contain a detailed description of the supplies and/or services to be provided by each certified Minority and Women-owned Business Enterprise (M/WBE) under the contract. Attach additional sheets if necessary.

<b>Subrecipient Name:</b>		<b>Project Name:</b>	Cedarhurst Pump Station S82015-01G	
<b>Offeror's Name:</b>	Mace Contracting Corp	<b>Federal ID Number:</b>	13-3884948	
<b>Address:</b>	35 Portman Road	<b>Contract Number (if applicable):</b>	S82015-01G	
<b>City State &amp; Zip Code:</b>	New Rochelle, NY 10801	<b>Phone:</b> 914.576.0606		
<b>Location of Work:</b>	Cedarhurst Pump Station			

MWBE Target Goal				Proposed MWBE Participation		
Category	Percentage		Amount	Category	Percentage	Amount
<b>MBE:</b>	15	%	\$ 314,850.00	<b>MBE:</b>	100	% \$ 2,099,000.00
<b>WBE:</b>	15	%	\$ 314,850.00	<b>WBE:</b>	15	% \$ 314,850.00
<b>Totals:</b>		%	\$	<b>Totals:</b>	%	\$

1. Certified M/WBE Subcontractors/Suppliers Information:		Classification NYS-ESD Certified (Choose One Only)		Federal ID No. :	Detailed Description of Work:	Dollar Value of Subcontractors/S upplies/Services	Intended performance dates on each component of the contract
		MBE	WBE				
A	Name: Mace Contracting Corp	✓		13-3884948	Prime General Contractor	\$2,099,000.00	Start to Finish
	Address: 35 Portman Road, 10801						
	Email: vladimir@macecontracting.com						
	Phone: 914.576.0606 x24						
B	Name: Avila Consultants, LLC		✓	01-0794028	Scheduling & CPM Vendor	+/- \$10,000.00	Start to Finish
	Address: 116 Fairfield Road, 07004						
	Email: lucy@avilacpm.com						
	Phone: 973.575.6327						
C	Name: HSP Rentals		✓		Equipment Rental	+/- \$20,000.00	Start to Finish
	Address: 15-11 130th St, 11356						
	Email: john@hspequipment.com						
	Phone: 718.358.4040						
D	Name: TBD - Darr Construction or AAL Construction		✓		Site Excavation Subcontractor	+/- \$300,000.00	Start to Finish
	Address:						
	Email:						
	Phone:						

**Contractor Use:**

Name of Preparer: Novica Prekpala, VP

Name of Approver: Novica Prekpala, VP

Authorized Signature:



Date: 02/04/2021

Email: novica@macecontracting.com

Phone: 914.576.0606 x22