



Certified:

B-22-21

Filed with the Clerk of the
Nassau County Legislature
May 3, 2021 1:45 pm

NIFS ID: Department: Public Works

Capital: X

SERVICE: Grand Ave Complete Street-PIN 0761.36-H62900-01G-B22-21

Contract ID #:H62900-01G

NIFS Entry Date:

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	N
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Material Adverse Information Identified? (if yes, attach memo):	Y
5) Insurance Required	N

Vendor Info:	
Name: J. Anthony Enterprises Inc.	Vendor ID#: [REDACTED]
Address: 175 Engineers Road Hauppauge, NY 11788	Contact Person: [REDACTED]
	Phone: [REDACTED]

Department:
Contact Name: Chris Liberti
Address: NCDPW 1194 Prospect Avenue Westbury, NY 11590 Phone: (516) 571-3930

Routing Slip

Department	NIFS Entry: X	23-APR-21 -- LDIONISIO
Department	NIFS Approval: X	23-APR-21 -- RD'ALLEVA
DPW	Capital Fund Approved: X	23-APR-21 -- RDALLEVA
OMB	NIFA Approval: X	27-APR-21 -- CNOLAN
OMB	NIFS Approval: X	26-APR-21 -- NGUMIENIAK
County Atty.	Insurance Verification: X	26-APR-21 -- AAMATO
County Atty.	Approval to Form: X	26-APR-21 -- NSARANDIS
CPO	Approval: X	30-APR-21 -- KOHAGEN

DCEC	Approval: X	03-MAY-21 -- RCLEARY
Dep. CE	Approval: X	03-MAY-21 -- BSCHNEIDER
Leg. Affairs	Approval/Review: X	03-MAY-21 -- JSCHANTZ
Legislature	Approval:	
Comptroller	Deputy:	
NIFA	NIFA Approval:	

Contract Summary

Purpose: This project will reconstruct the 1.4-mile stretch of Grand Avenue to improve pedestrian and vehicle safety, drainage in an effort to create a safer and more sustainable corridor. Roadway improvements include a lane diet, reconfigured lane widths, raised and painted center medians, increased parking lane widths and new traffic signals with protected left turn phases at select intersections. Intelligent transportation systems including cameras and driver feedback signs for speed awareness will be installed along the corridor to facilitate daily traffic flow. Pedestrian improvements will include curb bulb outs, improved crosswalks and count down timers at all signalized intersections. Drainage improvements will be incorporated into the reconfigured intersections along the corridor.
Method of Procurement: Request for sealed bids was published in Newsday and NYS Contract Report on. 3 bids were received and opened on 3/10/20.
Procurement History: Bid was advertised in the NYS Contract Reporter, Newsday and in eProcure. There were three (3) bids received.
Description of General Provisions: This project will reconstruct the 1.4-mile stretch of Grand Avenue to improve pedestrian and vehicle safety, drainage in an effort to create a safer and more sustainable corridor.
Impact on Funding / Price Analysis: Maximum amount is \$7,893,352.50. The Federal share of this cost is \$4,700,000 and the local share will be \$3,193,352.50 included under capital project 62900. MWBE utilization rate 9.2%.
Change in Contract from Prior Procurement: N/A
Recommendation: (approve as submitted) Approve As Submitted

Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	CAP	Revenue		1	PWCAPCAP/62900-000/00004	\$ 7,702,470.00
Control:	62	Contract:			PWCAPCAP/61091-000/00004	\$ 190,882.50
Resp:	900	County	\$ 0.00			\$ 0.00
Object:	00004	Federal	\$ 4,700,000.00			\$ 0.00
Transaction:	CH	State	\$ 0.00			\$ 0.00
Project #:	62900/61091	Capital	\$ 3,193,352.50			\$ 0.00
Detail:	000	Other	\$ 0.00			\$ 0.00
RENEWAL		TOTAL	\$ 7,893,352.50		TOTAL	\$ 7,893,352.50
% Increase						
% Decrease						

B22-21

RULES RESOLUTION NO. -2021

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND J. ANTHONY ENTERPRISES, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS [“Department”] has received competitive bids for contract H61125-01G, for GRAND AVENUE COMPLETE STREET CONSTRUCTION – PIN 0761.36 [“Contract”], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of J. ANTHONY ENTERPRISES, INC., [“Vendor”] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 7,893,352.50 now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature,
based on the representations of the Department and the recommendation of the
Commissioner of the Department, authorizes the County Executive to award and execute
the said contract with the vendor.



Contract Approval Request Form (As of January 1, 2015)

1. **Vendor:** J. Anthony Enterprises Inc.

2. **Dollar amount requiring NIFA approval:** \$7893352.5

Amount to be encumbered: \$7893352.5

This is a New

If new contract - \$ amount should be full amount of contract

If advisement ?NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. **Contract Term: 2 Years from Notice to Proceed**

Has work or services on this contract commenced? N ____

If yes, please explain:

4. **Funding Source:**

General Fund (GEN)	Grant Fund (GRT)	Federal % 0
X Capital Improvement Fund (CAP)		State % 0
Other		County % 0

Is the cash available for the full amount of the contract? N

If not, will it require a future borrowing? Y

Has the County Legislature approved the borrowing? Y

Has NIFA approved the borrowing for this contract? N

5. **Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:**

This project will reconstruct the 1.4-mile stretch of Grand Avenue to improve pedestrian and vehicle safety, drainage in an effort to create a safer and more sustainable corridor. Roadway improvements include a lane diet, reconfigured lane widths, raised and painted center medians, increased parking lane widths and new traffic signals with protected left turn phases at select intersections. Intel

6. **Has the item requested herein followed all proper procedures and thereby approved by the:**

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. **Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:**

Contract ID	Date	Amount

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

27-APR-21

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

BID BOND

FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to USE THIS FORM PROVIDED as the use of ANY OTHER FORM may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned J. Anthony Enterprises, Inc. 175 Engineers Road, Happauge, NY 11788

as Principal; and Western Surety Company, 151 N. Franklin Street, Chicago, IL 60606 as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

Ten Percent of Bid Amount _____ dollars (\$ 10%) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 9 day of March, 2020

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under Contract No. H62900-01G for the

Nassau County Grand Avenue Complete Street Construction, Pin# 0761.36, Roadwork, Nassau County, NY

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

Provided, however, that this bond is subject to the following additional conditions and limitations.

a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.

b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

J. Anthony Enterprises, Inc.
Contractor

by

(L.S.)

(Corporate seal of
Contractor
Title if a corporation)

by

(L.S.)

Title

by

(L.S.)

Title

Western Surety Company

Surety

by

Deborah L. Severin (L.S.)

Title of Officer Deborah L. Severin, Attorney in Fact

Attest:

(L.S.)

(Corporate seal
of Surety)

Title of Officer Lily Stevenson, Account Executive

Jeffrey M. Ray
Notary Public - State of New York
No. 01RA6176772
Qualified in Suffolk County
My Commission Expires November 05, 2020

SS.: *S. S. Hall*
COUNTY OF

On this ^{9th} day of March, 2020, before me personally came Joseph Kresich, known to me known, who, being by me duly sworn, did depose and say for himself, that he resides in ~~XXXXXXXXXXXXXXXXXXXXXXXXXXXX~~ that he is the President of the J. Anthony Egan Partners Inc. the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.

Notary Public

STATE OF

SS.:
COUNTY OF

On this day of , 20 , before me personally
came to me known and known
to me to be a member of
the firm described in and which executed the foregoing bond or
obligation, and he acknowledged to me that he subscribed the name of
said firm thereto on behalf of said firm for the purpose therein
mentioned.

Notary Public

STATE OF _____)

SS.:
COUNTY OF _____)

On this day of , 20 , before me personally
came to me
known and known to me to be the person described in and who executed the
foregoing instrument, and he duly acknowledged that he executed the
same.

Notary Public

(Acknowledgment by Surety Company)

STATE OF New York)

ss.:

COUNTY OF Nassau)

On this 9 day of March, 20 20, before me personally came Deborah L. Severin to me Known, who being by me duly sworn, did depose and say that he resides in 255 Executive Drive, Plainview, NY 11803

that he is the Attorney in Fact of the Western Surety Company, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said Notary Public further said that he is acquainted with Attorney in Fact and knows him to be the Attorney in Fact of said company; that the signature of the said Attorney in Fact subscribed to the within instrument is in the genuine handwriting of the said Attorney in Fact and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Notary Public

ROSANNE CALLAHAN
Notary Public, State of New York
No. 01CA6024444
Qualified in SUFFOLK County
Commission Expires May 10, 20

R Callahan

Notary Public

**CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW
YORK INSURANCE LAW**

STATE OF NEW YORK
DEPARTMENT OF FINANCIAL SERVICES

It is hereby certified that

**Western Surety Company
Of Sioux Falls, South Dakota**

a corporation organized under the laws of the State of South Dakota and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$1,541,567,347 (Capital \$4,000,000) as is shown by its sworn financial statement for the year ended December 31, 2018 on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have
unto set my hand and affixed
official seal of this Department
in the City of Albany, this

11th day of April 2019

Linda A. Lacewell
Acting Superintendent

By

Ellen R Buxbaum
Special Deputy Superintendent

Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

Peter T Henry, Fern Perry, Robert V Finnell, Rosanne Callahan, Jennifer Laura Johnston-Ogeka, Janice R Fiscina, Deborah L Severin, Individually

of Plainview, NY, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 17th day of February, 2016.



WESTERN SURETY COMPANY

Paul T. Bruflat, Vice President

State of South Dakota }
County of Minnehaha } ss

On this 17th day of February, 2016, before me personally came Paul T. Bruflat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

June 23, 2021



J. Mohr, Notary Public

CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this MAR 09 2020 day of March, 2020.



WESTERN SURETY COMPANY

L. Nelson, Assistant Secretary

Authorizing By-Law

ADOPTED BY THE SHAREHOLDERS OF WESTERN SURETY COMPANY

This Power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by the shareholders of the Company.

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, and Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.

WESTERN SURETY COMPANY
Sioux Falls, South Dakota
Statement of Net Admitted Assets and Liabilities
December 31, 2018

ASSETS

Bonds	\$ 1,935,428,756
Stocks	28,070,811
Cash, cash equivalents, and short-term investments	28,110,934
Receivables for securities	-
Investment income due and accrued	17,684,883
Premiums and considerations	48,092,741
Amounts recoverable from reinsurers	2,689,618
Current federal and foreign income tax recoverable and interest hereon	109,624
Net deferred tax asset	11,721,985
Receivable from parent, subsidiaries, and affiliates	11,902,908
Other assets	23,233
Total Assets	<u>\$ 2,083,835,493</u>

LIABILITIES AND SURPLUS

Losses	\$ 216,656,892
Loss adjustment expense	58,374,620
Commissions payable, contingent commissions and other similar charges	10,355,618
Other expenses (excluding taxes, license and fees)	-
Taxes, License and fees (excluding federal and foreign income taxes)	3,752,460
Federal and foreign income taxes payable	1,295,647
Unearned premiums	240,838,348
Advance premiums	5,412,052
Ceded reinsurance premiums payable (net of ceding commissions)	61,346
Amounts withheld or retained by company for account of others	5,262,414
Provision for reinsurance	182,147
Payable to parent, subsidiaries and affiliates	-
Payable on security transactions	-
Other liabilities	76,602
Total Liabilities	<u>\$ 542,268,146</u>

Surplus Account:

Common stock	\$ 4,000,000
Gross paid in and contributed surplus	280,071,837
Unassigned funds	1,257,495,510
Surplus as regards policyholders	<u>\$ 1,541,567,347</u>
Total Liabilities and Capital	<u>\$ 2,083,835,493</u>

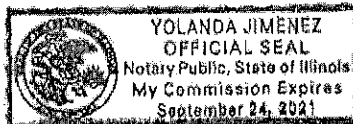
I, Victoria Baltus, Vice President of Western Surety Company hereby certify that the above is an accurate representation of the financial statement of the Company dated December 31, 2018, as filed with the various Insurance Departments and is a true and correct statement of the condition of Western Surety Company as of that date.

Western Surety Company

By Victoria L Baltus
Vice President

Subscribed and sworn to me this 19th day of March, 2019.

My commission expires:



By Yolanda Jimenez
Notary Public



NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

WICKS EXEMPT LIST OF SUBCONTRACTORS

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

CONTRACT NO. 1162900-016

Contractor's Name and Address <u>J. Anthony Enterprises Inc.</u> <u>175 Englewood Rd, Hempstead</u> <u>N.Y. 11558</u>	Project Description (Project Title, Facility Name and Address): <u>Grand Ave</u>	Bid Date: <u>3/10/20</u>	Total Contract Amt:
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Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):

☐ Plumbing and Gas Fitting

☐ Steam Heating, Hot Water Heating, Ventilating and AC Apparatus

☐ Electric Wiring and Standard Illuminating Fixtures

If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box ☐ skip to bottom of form, and sign it as required.

Subcontractor's Name, Address and Federal ID No.	Check (✓) only one.				General Description of Work	Subcontractor's Contract Amt.
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures			
<u>Johnson Electronics</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<u>Electric</u>	<u>1900,000</u>
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.

Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.

Company Authorized Signature: [Signature] Title: President Date: 3/9/20

NO TEXT ON THIS PAGE

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The Following Paragraphs are Applicable to the Contract

THE BIDDER AFFIRMS AND DECLARES:

1. That the above bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same work, and is in all respects fair and without collusion or fraud.
3. That said bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety or otherwise, upon any obligation to the County of Nassau.
4. That no officer nor employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the site of the work and that, from his own investigations, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of equipment, other facilities needed for the performance of the work, the general and local conditions, and all other items which may, in any way, affect the work or its performance.
6. The bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach, the contractor shall be required to have such a license.

INFORMATION FOR BIDDERS

I. Rejection of Bids.

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

MINORITY AND WOMEN'S BUSINESS ENTERPRISE UTILIZATION GOALS

MINORITY AND WOMEN'S BUSINESS ENTERPRISE UTILIZATION REQUIREMENTS

The New York State Department of Transportation has established the following Minority Business Enterprise (MBE) and Women's Business Enterprise (WBE) utilization goals for this contract in accordance with §§102-21 of the New York State Standard Specifications. The goals are expressed as a percentage of the total bid price.

For Clarification of Utilization Requirements refer to New York State Specification §102-21

Minority Participation Utilization Goal – 0.0 percent

Women's Participation Utilization Goal – 0.0 percent

Directories and/or information related to the current certification status of Minority and Women's Enterprises, can be obtained by contacting the:

New York State Department of Economic Development
Division of Minority and Women's Business Development
One Commerce Plaza
Albany, New York 12223
(518) 473-6442

Minority/Women's Business Enterprise Officer

The Bidder shall designate and enter below the name of a Minority/Women's Business Enterprise Officer who will have the responsibility for and must be capable of effectively administering and promoting an active Minority/Women's Business Enterprise Program and who must be assigned adequate authority and responsibility to do so.

Bidder Designated M/WBE

Officer

Jeffrey Ray - P.M.
(Name, Title)

Telephone Number

(631) 589-3244

RETURN THIS PAGE WITH BID

NO TEXT ON THIS PAGE

Grand Avenue Complete Street
PIN 0761.36
Contract # H62900-01G

NASSAU COUNTY RIGHT-OF-WAY CERTIFICATION

The Contractor shall certify that all work performed under this contract shall be on Nassau County right-of-way only. At no time shall the Contractor's personnel or equipment be permitted on private property. This certification shall remain in effect for the duration of this contract.

Bidder J. Anthony Enterprises Inc.
Officer Joseph Kirsch, President
(Name, Title)
Telephone Number (631) 589-3244

RETURN THIS PAGE WITH BID

NO TEXT ON THIS PAGE

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
 BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
 CONTRACT: H62900-01G

SCHEDULE OF PRICES									
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS		UNIT BID PRICE		TOTAL AMOUNT			
				DOLLARS	CENTS	DOLLARS	CENTS		
201.06	1 LS	Clearing & Grubbing FOR: FIFTY THOUSAND <u>TWENTY FIVE THOUSAND DOLLARS AND ZERO CENTS</u> (✓) Dollars Cents	25,000	00	25,000	00	25,000	00	00
203.02	2,500 CY	Unclassified Excavation and Disposal FOR: <u>Forty - Five</u> Dollars Cents	45	00	112,500	00	112,500	00	00
203.07	110 CY	Select Granular Fill FOR: <u>Twenty - Five</u> Dollars Cents	25	00	2,750	00	2,750	00	00
206.0201	275 CY	Trench and Culvert Excavation FOR: <u>THIRTY - FIVE</u> Dollars Cents	35	00	9,625	00	9,625	00	00
206.03010010	5,845 LF	Conduit Excavation and Backfill - (Restoring Top Surfaces Not Included) FOR: <u>THIRTY - FIVE</u> Dollars Cents	35	00	204,575	00	204,575	00	00
206.05	1 EA	Test Pit Excavation FOR: <u>TWENTY THOUSAND FIVE HUNDRED</u> <u>2500</u> Dollars Cents	2,500	00	2,500	00	2,500	00	00
209.12000010	41 EA	Silt Protection for Drainage Structures FOR: <u>FIFTEEN</u> <u>ZERO</u> Dollars Cents	15	00	615	00	615	00	00

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NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
 BID SHEET FOR GRAND AVENUE COMPLETE STRAITS CONSTRUCTION
 CONTRACT: H62900-01G

SCHEDULE OF PRICES							
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT		
			DOLLARS	CENTS	DOLLARS	CENTS	
304.10119917	375 CY	Subbase Course, Type 1011-2 FOR: <u>SIXTY-FIVE</u> Dollars <u>2500</u> Cents	65	00	24,375	00	
402.096103	5,700 TON	9.5 F1 Top Course HMA, 60 Series Compaction FOR: <u>ONE HUNDRED TWENTY</u> Dollars <u>2500</u> Cents	120	00	684,000	00	
402.196903	200 TON	19 F9 Binder Course HMA, 60 Series Compaction FOR: <u>TWO HUNDRED FIFTY</u> Dollars <u>2500</u> Cents	250	00	50,000	00	
402.376903	500 TON	37.5 F9 Base Course HMA, 60 Series Compaction FOR: <u>TWO HUNDRED FIFTY</u> Dollars <u>2500</u> Cents	250	00	125,000	00	
402.018903	740 TON	True & Leveling F9, Superpave HMA, 80 Series Compaction FOR: <u>FIFTY-FIVE</u> Dollars <u>2500</u> Cents	55	00	40,700	00	
407.0102	4,000 GAL	Diluted Tack Coat FOR: <u>FIVE</u> Dollars <u>2500</u> Cents	5	00	20,000	00	
418.7603	30,200 LF	Asphalt Pavement Joint Adhesive FOR: <u>TWO</u> Dollars <u>EIGHTY-FIVE</u> Cents	0	85	25,670	00	

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NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
 BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
 CONTRACT: H62900-01G

SCHEDULE OF PRICES									
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS		UNIT BID PRICE		TOTAL AMOUNT			
				DOLLARS	CENTS	DOLLARS	CENTS		
490.10	48,400 SY	Production Cold Milling of Bituminous Concrete FOR: <u>FOUR</u> Dollars <u>2500</u> Cents		4	00	193,600	00		
490.30	200 SY	Miscellaneous Cold Milling of Bituminous Concrete FOR: <u>TEN</u> Dollars <u>2500</u> Cents		10	00	2,000	00		
520.05000010	1,075 LF	Saw Cutting PCC & Composite Pavement FOR: <u>SIX</u> Dollars <u>2500</u> Cents		6	00	6,450	00		
520.09000010	6,000 LF	Saw Cutting Asphalt Concrete FOR: <u>FOUR</u> Dollars <u>2500</u> Cents		4	00	24,000	00		
552.17	3,200 SF	Shields and Shoring FOR: <u>2500</u> Dollars <u>045</u> Cents		0	01	32	00		
603.9815	110 LF	Smooth Interior Corrugated Polyethylene Culvert and Storm Drain 15 Inch FOR: <u>SIXTY-FIVE</u> Dollars <u>2500</u> Cents		65	00	7,150	00		
604.071008	1 EA	Altering Drainage Structure, Leaching Basins and Manholes FOR: <u>ONE THOUSAND FIVE HUNDRED</u> Dollars <u>2500</u> Cents		1,500	00	1,500	00		

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 CONTRACT: H62900-01G

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			DOLLARS	CENTS	DOLLARS	CENTS
604.07200010	5 EA	Setting New Drainage Frames on Existing Drainage Structures FOR: <u>FIVE HUNDRED</u> Dollars <u>ZERO</u> Cents	500	00	2,500	00
604.07210010	17 EA	Resetting Existing Drainage Frames on Existing Drainage Structures FOR: <u>TWO HUNDRED FIFTY</u> Dollars <u>ZERO</u> Cents	250	00	4,250	00
604.07240010	5 EA	Rebuilding Top of Existing Drainage Structure FOR: <u>THREE THOUSAND FIVE HUNDRED</u> Dollars <u>ZERO</u> Cents	3,500	00	17,500	00
604.501002	11.75 LF	Special Drainage Structure, Leaching Basin Type B-1 FOR: <u>TWO THOUSAND FIVE HUNDRED</u> Dollars <u>ZERO</u> Cents	2,500	00	29,375	00
604.501011	41 LF	Special Drainage Structure, Catch Basin Type C FOR: <u>TWO THOUSAND</u> Dollars <u>ZERO</u> Cents	2,000	00	82,000	00
608.0101	90 CY	Concrete Sidewalks & Driveways FOR: <u>ONE THOUSAND ONE HUNDRED</u> Dollars <u>ZERO</u> Cents	1,100	00	99,000	00
608.0102	125 CY	Accelerated Concrete Sidewalks & Driveways FOR: <u>ONE THOUSAND TWO HUNDRED</u> Dollars <u>ZERO</u> Cents	1,200	00	150,000	00

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NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
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 CONTRACT: H62900-01G

SCHEDULE OF PRICES						
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT	
			DOLLARS	CENTS	DOLLARS	CENTS
608.01020005	230 CY	Colored & Imprinted Portland Cement Concrete Sidewalk FOR: <u>ONE THOUSAND THREE HUNDRED</u> <u>2500</u> Dollars Cents	1,300	00	299,000	00
608.01050010	500 CY	Concrete Sidewalks - Unreinforced (Grading Included) FOR: <u>NINE HUNDRED</u> <u>2500</u> Dollars Cents	900	00	450,000	00
608.03	225 SY	Brick Paved Sidewalk and Driveways (Sand Setting Bed) FOR: <u>FIVE HUNDRED</u> <u>2500</u> Dollars Cents	500	00	112,500	00
608.20	70 SY	Surface Applied Detectable Warning Units FOR: <u>ONE HUNDRED TWENTY-FIVE</u> <u>2500</u> Dollars Cents	125	00	8,750	00
609.04010510	2,500 LF	Cast-In-Place Concrete Curb Type VF150 FOR: <u>SEVENTY SEVEN</u> <u>2500</u> Dollars Cents	77	00	192,500	00
611.0161	16 EA	Planting - Major Deciduous Trees - 2 1/2 Inch Caliper Ball & Burlap, Filed Potted or Field Boxed FOR: <u>ONE THOUSAND ONE HUNDRED TWENTY FIVE</u> Dollars Cents <u>AND ZERO CENTS</u>	1,125	00	18,000	00
611.0412	19 EA	Planting - Deciduous Shrubs - As Specified Container or Boxgrown FOR: <u>ONE HUNDRED FIFTY FIVE</u> <u>2500</u> Dollars Cents	165	00	3,135	00

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 CONTRACT: H62900-01G

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			DOLLARS	CENTS	DOLLARS	CENTS
615.01010110	6 EA	Litter (Trash) Receptacle Type 1 FOR: ONE THOUSAND TWENTY HUNDRED FIFTY 2500 Dollars Cents	1,250	00	7,500	00
615.08010005	6 EA	Bench, Type 01 FOR: TWO THOUSAND TWO HUNDRED FIFTY 2500 Dollars Cents	2,250	00	13,500	00
619.01	1 LS	Basic Work Zone Traffic Control FOR: ONE MILLION TWO HUNDRED THOUSAND 2000 Dollars Cents	1,200,000	00	1,200,000	00
619.110201	2 EA	Portable, Variable Message Sign (LED) FOR: FIVE THOUSAND 2500 Dollars Cents	5,000	00	10,000	00
619.1611	198 IM	Maintain Traffic Signal Equipment FOR: TWO HUNDRED Dollars Cents	200	00	39,600	00
621.03	4,700 LF	Cleaning Closed Drainage Systems FOR: SIX FIFTY Dollars Cents	6	50	30,550	00
621.04	68 EA	Cleaning Drainage Structures and Manholes FOR: SEVEN HUNDRED Dollars Cents	700	00	47,600	00

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 CONTRACT: H62900-01G

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				DOLLARS	CENTS	DOLLARS CENTS
622.21051008	3 EA	Prefabricated Bus Shelter Integrated Bench 5 FT Width, 10 FT Length FOR: <u>FIFTEEN THOUSAND ZERO</u> Cents		15,000	00	45,000 00
625.01	1 LS	Survey Operations FOR: <u>TWO HUNDRED THIRTY THOUSAND ZERO</u> Cents		230,000	00	230,000 00
645.5102	102 SF	Ground-Mounted Sign Panels Less than or Equal to 30 SF, with Z-Bars FOR: <u>SEVENTY ZERO</u> Cents		70	00	7,140 00
645.81	29 EA	Type A Sign Posts FOR: <u>TWO HUNDRED TEN ZERO</u> Cents		210	00	6,090 00
647.31	24 EA	Relocate Sign Panel, Sign Panel Assembly Size I (Under 30 SF) FOR: <u>FOUR HUNDRED SIXTY ZERO</u> Cents		460	00	11,040 00
647.61	1 EA	Remove and Dispose Signs, Ground Mounted Type A Sign Supports FOR: <u>FIVE HUNDRED ZERO</u> Cents		500	00	500 00
655.05010010	69 EA	Resetting Existing Sanitary Sewer Manhole Castings FOR: <u>FIVE HUNDRED FIFTY ZERO</u> Cents		550	00	37,950 00

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			DOLLARS	CENTS	DOLLARS	CENTS
655.07170010	1 EA	Replacement of Existing Drainage Grates FOR: ONE THOUSAND FIVE HUNDRED 2500 Dollars Cents	1,500	00	1,500	00
655.0704	9 EA	Cast Frame F1, Unmountable Curb Box CUT & Reticuline Grate GT Manholes FOR: ONE THOUSAND FIVE HUNDRED 2500 Dollars Cents	1,500	00	13,500	00
655.1202	6 EA	Manhole Frame and Cover FOR: FIVE HUNDRED FIFTY 2500 Dollars Cents	550	00	3,300	00
662.60000010	3 EA	Install Utility Furnished Adjustment Rings for Manholes FOR: ONE HUNDRED FIFTY 2500 Dollars Cents	150	00	450	00
670.02050006	85 EA	Foundation For Decorative Light Pole FOR: TWO THOUSAND FOUR HUNDRED 2500 Dollars Cents	2,400	00	204,000	00
670.1206	5 EA	6 Foot Aluminum Single Member Bracket Arm FOR: FOUR HUNDRED 2500 Dollars Cents	400	00	2,000	00

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				DOLLARS	CENTS	DOLLARS	CENTS		
670.2007	4,600 LF	Galvanized Steel Conduit, (1.5") FOR: <u>SIXTEEN</u> Dollars <u>2520</u> Cents	16	00	73,600	00			
670.3006	39 EA	Pullboxes 5 Cubic Feet to 7.5 Cubic Feet, Inside Volume (Lighting) FOR: <u>ONE THOUSAND</u> Dollars <u>2520</u> Cents	1,000	00	39,000	00			
670.51380010	85 EA	Install Decorative Street Lighting Assembly Furnished By Others FOR: <u>ONE THOUSAND ONE HUNDRED</u> Dollars <u>2520</u> Cents	1,100	00	93,500	00			
670.7004	3,900 LF	Single Conductor Cable, Number 6 Gage FOR: <u>SIX</u> Dollars <u>2520</u> Cents	6	00	23,400	00			
670.7006	22,300 LF	Single Conductor Cable, Number 10 Gage FOR: <u>FOUR</u> Dollars <u>Forty</u> Cents	4	40	98,120	00			
670.7501	1,175 LF	Ground Wire No. 6 AWG FOR: <u>SIX</u> Dollars <u>2520</u> Cents	6	00	7,050	00			
680.050200NA	3 EA	Furnish and Install Video Vehicle Detection Equipment FOR: <u>NINE THOUSAND</u> Dollars <u>2520</u> Cents	9,000	00	27,000	00			

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ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT	
			DOLLARS	CENTS	DOLLARS	CENTS
680.110008NA	16 EA	Furnish and Install 8 Foot Aluminum Signal Pole FOR: <u>ONE THOUSAND FIVE HUNDRED</u> <u>2500</u> Dollars Cents	1,500	00	24,000	00
680.110010NA	3 EA	Furnish and Install 10 Foot Aluminum Signal Pole FOR: <u>ONE THOUSAND SIX HUNDRED</u> <u>2500</u> Dollars Cents	1,600	00	4,800	00
680.321001NA	11 EA	Furn and Inst Model 2070 Lite Controller Unit FOR: <u>SIX THOUSAND</u> <u>6000</u> Dollars Cents	6,000	00	66,000	00
680.3360001NA	11 EA	Furn and Inst Model 336S Cabinet and Auxiliary Equipment FOR: <u>FIFTEEN THOUSAND</u> <u>0000</u> Dollars Cents	15,000	00	165,000	00
680.5001	80 CY	Pole Excavation and Concrete Foundation FOR: <u>ONE THOUSAND FIVE HUNDRED</u> <u>2000</u> Dollars Cents	1,500	00	120,000	00
680.5002	2 EA	Concrete Base for Controller Cabinet FOR: <u>ONE THOUSAND ONE HUNDRED</u> <u>2000</u> Dollars Cents	1,100	00	2,200	00
680.51000010	20 EA	Alter Elevation of Pullboxes FOR: <u>THREE HUNDRED</u> Dollars Cents	300	00	6,000	00

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			DOLLARS	CENTS	DOLLARS	CENTS	
680.51050010	32 EA	Pullbox, Rectangular Reinforced Concrete (26" x 18") FOR: <u>ONE THOUSAND SIX HUNDRED</u> <u>ZERO</u> Dollars Cents	1,600	00	51,200	00	
680.51400010	11 EA	Concrete Fiber Optic Pullbox FOR: <u>TWO THOUSAND FIVE HUNDRED</u> <u>ZERO</u> Dollars Cents	2,500	00	27,500	00	
680.520102	75 LF	Conduit, Metal Steel, Zinc Coated 3/4 Inch FOR: <u>SEVEN</u> <u>SEVENTY</u> Dollars Cents	7	70	577	50	
680.520104	315 LF	Conduit, Metal Steel, Zinc Coated 1 1/4 Inch FOR: <u>THIRTEEN</u> <u>ZERO</u> Dollars Cents	13	00	4,095	00	
680.520106	165 LF	Conduit, Metal Steel, Zinc Coated 2 Inch FOR: <u>TWENTY-TWO</u> <u>ZERO</u> Dollars Cents	22	00	3,630	00	
680.520108	2,465 LF	Conduit, Metal Steel, Zinc Coated 3 Inch FOR: <u>THIRTY</u> <u>ZERO</u> Dollars Cents	30	00	73,950	00	
680.520110	185 LF	Conduit, Metal Steel, Zinc Coated 4 Inch FOR: <u>THIRTY-EIGHT</u> <u>ZERO</u> Dollars Cents	38	00	7,030	00	

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 CONTRACT: H62900-01G

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ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT				
			DOLLARS	CENTS	DOLLARS	CENTS			
680.54	2,300 LF	Inductance Loop Installation FOR: <u>TWENTY-FIVE</u> Dollars <u>2500</u> Cents	25	00	57,500	00			
680.700604	31 EA	Riser Assembly, 1 1/2" Diameter FOR: <u>NINE HUNDRED TWENTY-FIVE</u> Dollars <u>2500</u> Cents	925	00	28,675	00			
680.72	4,600 LF	Inductance Loop Wire FOR: <u>ONE</u> Dollars <u>FIFTY</u> Cents	1	50	6,900	00			
680.730214	10,520 LF	Signal Cable 2 Conductor 14 AWG FOR: <u>ONE</u> Dollars <u>SIXTY</u> Cents	1	60	16,832	00			
680.730314	6,505 LF	Signal Cable 3 Conductor 14 AWG FOR: <u>TWO</u> Dollars <u>2000</u> Cents	2	00	13,010	00			
680.730514	4,505 LF	Signal Cable 5 Conductor 14 AWG FOR: <u>TWO</u> Dollars <u>TWENTY</u> Cents	2	20	9,911	00			
680.730714	230 LF	Signal Cable 7 Conductor 14 AWG FOR: <u>THREE</u> Dollars <u>THIRTY</u> Cents	3	30	759	00			

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 CONTRACT: H62900-01G

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				DOLLARS	CENTS	DOLLARS	CENTS		
680.731015	1,315 LF	Signal Cable 10 Conductor 14 AWG FOR: <u>THREE</u> Dollars <u>EIGHTY</u> Cents		3	80	4,997	00		
680.731514	1,130 LF	Signal Cable 15 Conductor 14 AWG FOR: <u>FOUR</u> Dollars <u>SEVENTY</u> Cents		4	70	5,311	00		
680.732014	760 LF	Signal Cable 20 Conductor 14 AWG FOR: <u>FIVE</u> Dollars <u>FIFTY</u> Cents		5	50	4,180	00		
680.76610010	10 EA	Power Service Connection (Nassau County) FOR: <u>FOUR HUNDRED</u> Dollars <u>TWO</u> Cents		400	00	4,000	00		
680.79000010	1 LS	Remove Traffic Signal Equipment FOR: <u>ONE HUNDRED TENTHousand</u> Dollars <u>TWO</u> Cents		110,000	00	110,000	00		
680.80325010	1 EA	Aluminum Microcomputer Cabinet Base FOR: <u>NINE HUNDRED FIFTY</u> Dollars <u>TWO</u> Cents		950	00	950	00		
680.810101	63 EA	Traffic Signal Module - 12 inch, Red Ball LED FOR: <u>ONE HUNDRED TWENTY-FIVE</u> Dollars <u>TWO</u> Cents		125	00	7,875	00		

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CONTRACT: H62900-01G

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ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT		
			DOLLARS	CENTS	DOLLARS	CENTS	
680.810102	1 EA	Traffic Signal Module – 12 inch, Red Arrow LED FOR: <u>ONE HUNDRED TWENTY - FIVE</u> <u>LEDS</u> Dollars Cents	125	00	125	00	
680.810103	63 EA	Traffic Signal Module – 12 inch, Yellow Ball LED FOR: <u>SIX HUNDRED TWENTY - FIVE</u> <u>LEDS</u> Dollars Cents	125	00	7,875	00	
680.810104	7 EA	Traffic Signal Module – 12 inch, Yellow Arrow LED FOR: <u>ONE HUNDRED TWENTY - FIVE</u> <u>LEDS</u> Dollars Cents	125	00	875	00	
680.810105	58 EA	Traffic Signal Module – 12 inch, Green Ball LED FOR: <u>ONE HUNDRED TWENTY - FIVE</u> <u>LEDS</u> Dollars Cents	125	00	7,250	00	
680.810106	11 EA	Traffic Signal Module – 12 inch, Green Arrow LED FOR: <u>ONE HUNDRED TWENTY - FIVE</u> <u>LEDS</u> Dollars Cents	125	00	1,375	00	
680.810107	203 EA	Traffic Signal Section – Type 1, 12 inch FOR: <u>TWO HUNDRED TWENTY</u> <u>LEDS</u> Dollars Cents	220	00	44,660	00	
680.810301	29 EA	Traffic Signal Module – 8 inch, Red Ball LED FOR: <u>ONE HUNDRED FORTY</u> <u>LEDS</u> Dollars Cents	140	00	4,060	00	

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			DOLLARS	CENTS	DOLLARS	CENTS	
680.810303	31 EA	Traffic Signal Module - 8 inch, Yellow Ball LED FOR: <u>ONE HUNDRED FORTY</u> Dollars <u>2500</u> Cents	140	00	4,340	00	
680.810305	28 EA	Traffic Signal Module - 8 inch, Green Ball LED FOR: <u>ONE HUNDRED FORTY</u> Dollars <u>2500</u> Cents	140	00	3,920	00	
680.810307	88 EA	Traffic Signal Module - Type 1, 8 inch FOR: <u>TWO HUNDRED</u> Dollars <u>200</u> Cents	200	00	17,600	00	
680.8111	33 EA	Traffic Signal Bracket Assembly - 1 Way FOR: <u>THREE HUNDRED THIRTY</u> Dollars <u>200</u> Cents	330	00	10,890	00	
680.8112	8 EA	Traffic Signal Bracket Assembly - 2 Way FOR: <u>SEVEN HUNDRED</u> Dollars <u>200</u> Cents	700	00	5,600	00	
680.8113	8 EA	Traffic Signal Bracket Assembly - 3 Way FOR: <u>ONE THOUSAND</u> Dollars <u>200</u> Cents	1,000	00	8,000	00	
680.8114	5 EA	Traffic Signal Bracket Assembly - 4 Way FOR: <u>ONE THOUSAND TWO HUNDRED</u> Dollars <u>200</u> Cents	1,200	00	6,000	00	

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				DOLLARS	CENTS	DOLLARS	CENTS		
680.813108NA	46 EA	LED Pedestrian Signal with Countdown FOR: <u>NINE HUNDRED</u> Dollars <u>2500</u> Cents		900	00	41,400	00		00
680.81330010	46 EA	Audible Pedestrian Signal FOR: <u>NINE HUNDRED</u> Dollars <u>2500</u> Cents		900	00	41,400	00		00
680.8141	27 EA	Pedestrian Signal Bracket Mount Assembly FOR: <u>SIX HUNDRED</u> Dollars <u>2000</u> Cents		600	00	16,200	00		00
680.8142	19 EA	Pedestrian Signal Post Top Mount Assembly FOR: <u>SEVEN HUNDRED</u> Dollars <u>2000</u> Cents		700	00	13,300	00		00
680.81422010	9 EA	Auxiliary Pole and Foundation FOR: <u>THREE THOUSAND FIVE HUNDRED</u> Dollars <u>2500</u> Cents		3,500	00	31,500	00		00
680.8205	2 EA	Overhead Sign Assembly, Type E FOR: <u>NINE HUNDRED NINETY</u> Dollars <u>2500</u> Cents		990	00	1,980	00		00
680.82172510	2 EA	16.5 Foot Mast Arm Pole - 25 Foot Arm FOR: <u>TWELVE THOUSAND</u> Dollars <u>2000</u> Cents		12,000	00	24,000	00		00

NO TEXT ON THIS PAGE

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
 BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
 CONTRACT: H62900-01G

SCHEDULE OF PRICES									
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS		UNIT BID PRICE		TOTAL AMOUNT			
				DOLLARS	CENTS	DOLLARS	CENTS		
680.82173010	7 EA	16.5 Foot Mast Arm Pole – 30 Foot Arm FOR: <u>THIRTEEN THOUSAND</u> Dollars	<u>2000</u> Cents	13,000	00	91,000	00		
680.82173510	11 EA	16.5 Foot Mast Arm Pole – 35 Foot Arm FOR: <u>FOURTEEN THOUSAND</u> Dollars	<u>2000</u> Cents	14,000	00	154,000	00		
680.82174010	3 EA	16.5 Foot Mast Arm Pole – 40 Foot Arm FOR: <u>FIFTEEN THOUSAND</u> Dollars	<u>2000</u> Cents	15,000	00	45,000	00		
680.8230	1 EA	Tell Tale Light FOR: <u>FIVE HUNDRED</u> Dollars	<u>2000</u> Cents	500	00	500	00		
680.95020815NA	790 LF	Service Cable 2 conductor Number 8 AWG FOR: <u>TWO</u> Dollars	<u>TWENTY</u> Cents	2	20	1,738	00		
683.030600NA	5 EA	Furn & Inst HD CCTV Dome IP Camera Assembly FOR: <u>EIGHT THOUSAND</u> Dollars	<u>2000</u> Cents	8,000	00	40,000	00		
683.05000202	4 EA	Radar Driver Feedback Sign (Pole Mounted) Solar Power Source FOR: <u>ELEVEN THOUSAND</u> Dollars	<u>2000</u> Cents	11,000	00	44,000	00		

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NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
 BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
 CONTRACT: H62900-01G

SCHEDULE OF PRICES						
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT	
			DOLLARS	CENTS	DOLLARS	CENTS
683.060100NA	2 EA	Furnish & Install HD CCTV Camera Control Cabinet FOR: <u>SIX THOUSAND</u> Dollars <u>2500</u> Cents	6,000	00	12,000	00
683.072012NA	2 EA	Furnish & Install 12 Port Rack Mount Fiber Patch Panel FOR: <u>EIGHT HUNDRED</u> Dollars <u>2500</u> Cents	800	00	1,600	00
683.0722048NA	2 EA	Furnish & Install 48 Port Rack Mount Fiber Patch Panel FOR: <u>ONE THOUSAND ONE HUNDRED</u> Dollars <u>2500</u> Cents	1,100	00	2,200	00
683.0722072NA	1 EA	Furnish & Install 72 Port Rack Mount Fiber Patch Panel FOR: <u>ONE THOUSAND FIVE HUNDRED</u> Dollars <u>2500</u> Cents	1,500	00	1,500	00
683.090100NA	11 EA	Furn & Inst 8 Port 10/100 E'net Switch with 10/100/1000 Port FOR: <u>TWO THOUSAND FOUR HUNDRED</u> Dollars <u>2500</u> Cents	2,400	00	26,400	00
683.090400NA	1 EA	Furn & Inst 24 Port 10/100 E'net Switch with 2 GB E'net Ports FOR: <u>TWELVE THOUSAND</u> Dollars <u>2500</u> Cents	12,000	00	12,000	00
683.090600NA	13 EA	Furn & Inst a 10/100 MBPS OPT Ethernet Conv Shelf Mount FOR: <u>ONE THOUSAND FIVE HUNDRED</u> Dollars <u>2500</u> Cents	1,500	00	19,500	00

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NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
CONTRACT: H62900-01G

SCHEDULE OF PRICES						
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT	
			DOLLARS	CENTS	DOLLARS	CENTS
683.090700NA	18 EA	Furn & Inst a 10/100 MBPS OPT Ethernet Conv Rack Mount FOR: <u>ONE THOUSAND SIX HUNDRED</u> <u>2020</u> Dollars Cents	1,600	00	28,800	00
683.100100NA	1 EA	Communications HUB Cabinet FOR: <u>FIFTEEN THOUSAND</u> <u>2020</u> Dollars Cents	15,000	00	15,000	00
683.115100NA	5 EA	Wi-Fi Travel Time Reader FOR: <u>NINE THOUSAND</u> <u>2020</u> Dollars Cents	9,000	00	45,000	00
683.921048NA	3,600 LF	Single Mode Fiber Optic Trunk Cables, 48 Fibers FOR: <u>TEN</u> <u>2020</u> Dollars Cents	10	00	36,000	00
683.921072NA	8,000 LF	Single Mode Fiber Optic Trunk Cables, 72 Fibers FOR: <u>TWENTY-TWO</u> <u>2020</u> Dollars Cents	22	00	176,000	00
683.921144NA	300 LF	Single Mode Fiber Optic Trunk Cables, 144 Fibers FOR: <u>FORTY</u> <u>2020</u> Dollars Cents	40	00	12,000	00
683.921500NA	690 LF	Fiber Optic Drop Cable FOR: <u>FIFTY-FIVE</u> <u>2020</u> Dollars Cents	55	00	37,950	00

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NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
 BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
 CONTRACT: H62900-01G

SCHEDULE OF PRICES									
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS		UNIT BID PRICE		TOTAL AMOUNT			
				DOLLARS	CENTS	DOLLARS	CENTS		
685.01	50,000 LF	White Epoxy ReflectORIZED Pavement Stripes (15 MILS) FOR: <u>ZERO</u> Dollars <u>NINETY</u> Cents		0	90	45,000	00		
685.02	23,000 LF	Yellow Epoxy ReflectORIZED Pavement Stripes (15 MILS) FOR: <u>ZERO</u> Dollars <u>SEVENTY-FIVE</u> Cents		0	75	17,250	00		
685.03	48 EA	White Epoxy ReflectORIZED Pavement Letters FOR: <u>ONE HUNDRED THIRTY</u> Dollars <u>TWO</u> Cents		130	00	6,240	00		
685.04	75 EA	White Epoxy ReflectORIZED Pavement Symbols FOR: <u>ONE HUNDRED EIGHTY</u> Dollars <u>TWO</u> Cents		180	00	13,500	00		
691.03000020	31,500 DC	Training Requirements FOR: <u>ONE</u> Dollars <u>TWO</u> Cents		1	00	31,500	00		
697.03	270,000 DC	Field Change Payment FOR: <u>ONE</u> Dollars <u>TWO</u> Cents		1	00	270,000	00		
699.040001	1 LS	Mobilization FOR: <u>TWO HUNDRED SIXTY THOUSAND</u> Dollars <u>TWO</u> Cents		260,000	00	260,000	00		

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NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
 BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
 CONTRACT: H62900-01G

SCHEDULE OF PRICES						
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT	
			DOLLARS	CENTS	DOLLARS	CENTS
	1 LS	Time and Material FOR: <u>Twenty-Five THOUSAND</u> <u>ZERO</u> Dollars Cents	25,000	00	25,000	00

Total Bid in Numbers \$ 7,893,352.50

Total Amount in Words SEVEN MILLION EIGHT HUNDRED NINETY-THREE THOUSAND
THREE HUNDRED FIFTY-FIVE DOLLARS AND FIFTY CENTS

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§104-02 CHANGES, CONTINGENCIES, EXTRA WORK AND DEDUCTIONS

I. GENERAL

All work must be completed in accordance with the intent and meaning of the contract documents. The Department retains the right to alter contract work after contract award as reasonably necessary for the public interest. It is common that contracts require some changes. State Highway Law, §38(8), authorizes the Commissioner to amend construction contracts through the issuance of a Change Order (CO).

Extra work of any kind must be within the scope and limits of the original contract. The contract description, contract pay items and special note/conditions identify the contract scope of work. Contract documents identify the geographical contract limits, typically on the cover sheet.

For example, a Pavement Marking contract contains striping work throughout several identified counties in the Region. A Change Order can add additional striping work in any of the identified counties, but cannot add striping work to different counties, or to conduct pavement repairs in any county.

II. AUTHORIZATION OF EXTRA WORK

Early submission of a CO is not always practical or possible, as the need for changes is often not apparent until work is underway. The *Authorization of Extra Work* (AEW) allows work to begin prior to CO approval, however, it does not provide for payment. The use of these authorizations is limited to those situations where the extra work is within the contract scope and limits, and it is necessary to commence the work prior to receipt of the approved CO, or when the payment will be made by Field Change Payment (FCP).

An Authorization of Extra Work or Change Order is not necessary for minor changes or adjustments where there is no change in the quantities or scope of the work. Some examples of minor changes are:

- Minor changes in drainage structure locations or invert elevations.
- Minor changes in earthwork for undercuts, flattening slopes or daylighting cuts.
- Minor variations in locations of sidewalk, curb, underdrain or other appurtenances.

Prior to Office of the State Comptroller (OSC) approval, there is some risk that the approval of the CO will be delayed, and payments to the Contractor will be delayed until after the CO is approved. Verify that the work is clearly within the contract scope and limits, verify that adequate funding exists and ensure the Contractor's actual work effort is documented in the contract records.

The *Authorization of Extra Work* form (Exhibit 104-02A) must be completed by the EIC and approved by the appropriate individual. Approval authority for AEWs are:

<u>AEW Value</u>	<u>Approver</u>
\$0 - \$20,000	EIC
\$20,000 - \$50,000	Construction Area Supervisor
> \$50,000	Regional Construction Engineer

For major extra work on Federal-Aid RFA contracts, the Regional Construction Group is to obtain FHWA concurrence with the extra work prior to approval by the Regional Construction Engineer. See III.A. *Full Oversight/RFA Contracts*. The EIC may then give the Contractor permission to proceed with the work.

If there are any questions or uncertainties, contact the Office of Construction for guidance.

III. PRE-APPROVAL OF MAJOR CHANGES

Where changes appear to involve major redesigns, or major increases in cost, obtain pre-approval of the extra work by the Office of Construction, in order to ensure later approval by OSC. The Office of Construction will review the situation and contact OSC if appropriate.

The review of the situation by OSC will focus on the fundamental requirements of State Finance Law for competitive bidding. The ability to have work performed at a favorable price by utilizing an existing contract is not a compelling reason to approve from the viewpoint of OSC. Preserving critical infrastructure and protecting public health and safety are compelling issues.

The Region should notify the Office of Construction and provide the following:

1. The need for such changes, the costs, benefits and consequences of the revisions or inaction.
2. The estimated cost of the changes and whether payment will be by unit prices or force account.

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§104-02 CHANGES, CONTINGENCIES, EXTRA WORK AND DEDUCTIONS

- Detailed computations are not needed, only an order of magnitude of the proposed changes.
3. Comments by the Regional Design Group and Project Manager, and an evaluation of the potential effects on other agencies and the public.
 4. List of additional Resolutions, Right-of-way, and/or Permits (Environmental or Other) required.
 5. Evaluation of the impact on the Contractor's progress schedule, and other contract work.
 6. Need for any extension of time, and impact on time related provisions.

A. Full Oversight/RFA Contracts

Some contracts are subject to full oversight by FHWA. These contracts are coded as RFA (Requires Federal Approval) in Status Book. The EIC and/or Construction Area Supervisor should have regular and routine communication with the FHWA Area Engineer regarding all aspects of progress on the contract.

Major extra work is defined as additional item(s) in excess of \$100,000, (positives, not the net of the Change Order), any changes to the critical path on a contract with a Type II CPM, or any project recovery/acceleration. FHWA may modify the definition of major extra work for a contract at the time of award. FHWA concurrence with major extra work must be obtained from the FHWA Area Engineer before the major extra work is started. Provide the FHWA Area Engineer with an AEW and supporting documentation for review. Document FHWA concurrence for additional items of work, changes to the critical path or interim milestones on a contract with a Type II CPM, or any schedule recovery/acceleration via a copy of an email, or letter from the FHWA Area Engineer.

Schedule recovery is defined by FHWA as efforts to regain lost time due to circumstances outside the control of the Contractor. Acceleration is defined by FHWA as efforts to speed progress in order to complete on a date earlier than that contemplated in the design and stated in the contract documents. FHWA typically will participate in efforts to recover a schedule, but will not participate in efforts to accelerate, unless it can be clearly proven to be in the public interest (which is very rare). Acceleration as defined in NY State law could encompass either definition by FHWA.

Minor extra work is defined as additional work that does not meet the definition of major extra work. FHWA concurrence must be obtained from the FHWA Area Engineer, but may be obtained after the work has started. The risk is that if FHWA does not concur with the addition of the extra work, FHWA may decline to participate in the funding of the change, and the work may have to be paid from a 100% State funded category (fiscal share).

B. Work Performed for Other Agencies

Other State agencies will sometimes request that the Department perform work for them, typically using a Where and When contract, that is outside the capability/expertise of that agency. For example, NYS Parks, Recreation and Historic Preservation owns a number of bridges within NYS parks. Upon request, the Department may perform bridge repair work within a park. A written agreement is developed by agency and the Office of Legal Services, outlining the conditions and responsibilities of each party, and the method of reimbursement. A separate Category is established in SMgr, funded by the other agency, to pay for the construction work, and PINs are established to track associated engineering/staff costs.

IV. CONTRACT CHANGE ORDERS

Revisions to work defined in the contract documents require an amendment to the contract, which is done by Contract Change Order (CO). The term Contract Change Order is used here to differentiate between a Contract Change Order and the SiteManager Change Order functionality, which is also used for Field Change Payment (FCP), which is not a Contract Change Order. Without a CO, the Contractor has no obligation to perform work beyond that indicated in the contract documents, and the State has no way to pay for such extra work. A CO should be prepared for all changes other than minor changes as soon as possible. COs are expected to be completed in a timely manner. The Contractor and subcontractors are very sensitive to timely payment of work. The history of prompt processing of COs and payments to Contractors has resulted in programmatic savings to the Department as a result of lower bid prices, as compared to other agencies who allow processing delays of COs and payments.

§104-02 CHANGES, CONTINGENCIES, EXTRA WORK AND DEDUCTIONS

Notify the Contractor of potential, actual or approved changes to the work. Make notification in writing, and document in the Engineer's Contract Diary. Notices pertaining to changed conditions or orders to proceed with new or extra work should be given to the Contractor in writing.

The EIC and inspection staff should continually compare completed work with items and quantities in the contract so that the need for adjustments can be detected as early as possible. Notify the Regional Office upon recognition of the need for extra work, changes in quantities, changes in the character of the work, or potential disputed work so that appropriate reviews and approvals can begin.

A single CO should include all work items relating to a particular addition or change. For example: If a drainage line is being added to a contract, the CO should include the work items for Excavation, Pipe, Drainage Structures, Frames & Grates and Backfill.

A Change Order (CO) is required whenever it is necessary to:

1. Increase or decrease quantities of existing contract pay items, or;
2. Introduce a new unit price for an existing item that has exceeded the contract threshold, or;
3. Add new contract pay items to pay for extra work, or;
4. Change Category (funding source) for a contract pay item(s), or;
5. Add new or modified specifications, requirements, to incorporate field change sheets, etc. or;
6. Incorporate changes to contract milestones, for contracts that contain time-related provisions,

V. SITE MANAGER CHANGE ORDER TYPES

There are 3 Change Order Types in SiteManager used by the Department. Not all of the Site Manager Change Order types are Contract Change Orders. Site Manager Change Order types are used in conjunction with Reason Codes to identify the reasons and programmatic costs associated with construction contract changes.

A. Field Change Payments (FCP)

Field Change Payments use the SiteManager Change Order Type - Field Change Payments (FCP). The *Field Change Payment* contract pay item provides a contract contingency allowance for the timely payment for extra work to fulfill the intent of the contract documents. Work must be authorized under an Authorization of Extra Work and completed prior to submittal of an FCP for payment. A Field Change Payment is not a Contract Change Order.

B. Change Orders (CO)

All Contract Change Orders use the SiteManager Change Order Type - Change Order. A CO should be approved prior to the performance of that extra work and must be approved before payments can be made. Preparation, review and processing of a typical CO takes approximately 6 weeks. COs requiring approval of other agencies may take longer, while simple changes may take less time.

C. Time Extension (TE)

Time Extensions use the SiteManager Change Order Type - Time Extension. Use a separate, stand-alone Change Order in Site Manager to process a time extension. Do not add any item(s) or funding changes to a Time Extension Change Order. If the Time Extension is related to the addition of extra work or other change in funding, note the circumstances and the change order, if relevant, in the explanation.

§104-02 CHANGES, CONTINGENCIES, EXTRA WORK AND DEDUCTIONS

VI. PREPARATION OF CHANGE ORDERS

A Change Order contains a header; contract pay items with adjusted quantities, time adjustments, explanation(s) and supporting documentation. Supporting documentation is attached to the header. The header contains the net change in total contract amount resulting from all changes, together with funding obligation data. Each Category is tabulated separately.

Assign a SiteManager Change Order Type and Reason Code to each Change Order that best describes the reason for the change. If there are multiple reasons, pick the Reason Code that has the greatest impact, or if necessary, consider creating multiple Change Orders, each for a different reason.

For each item the Category, Sequence Number, Item Code (Contract Pay Item Number), Description, Change Order Quantity and Supplemental Description are shown.

A. Field Change Payment (FCP)

FCPs may be used for extra work under existing contract pay items using Original Contract Bid Prices, Weighted Average Price from the Pay Item Catalog (PIC) or the Average of the 3 Lowest Bidders. FCPs may be used for extra work under new contract pay items using Weighted Average Price from the Pay Item Catalog (PIC). FCPs are NOT allowed for payment of extra work by Price Analyses, Disputed Work or Force Account work.

The payment for eligible work will be made from the Field Change Payment Item. The *Field Change Payment Information and Analysis for Contract [D123456]* report (See Exhibit 104-02B) will display the items paid, and the amount of the FCP item used. An FCP is processed to the Regional Construction Engineer level ONLY for approval because it does not result in a change to the contract value. Approvals by FHWA, Main Office or OSC are not required.

An FCP Change Order adds items and associated quantities of completed work, within a Category, to allow payment and deducts the corresponding dollar amount from item 697.03 *Field Change Payment within that Category*. See QRG 104-02A *Change Order – FCP* for detailed instructions.

B. Using FCP to Create a Dummy Item for DWR Tracking Prior to CO Approval

It may be necessary to create and begin tracking the progress of an item of work before a change order creating a new item can be approved. In that case, create an FCP as a mechanism to quickly add a new item to SiteManager, in order to allow Inspectors to record quantities against the correct item. See QRG 104-02F *Change Order – Create Dummy Item for DWR Tracking Using FCP* for detailed instructions.

C. Change Orders

For Change Orders with a net change of more than \$50,000, request that the Regional Change Order Specialist submit a request for approval of a DOB Attachment B or for Change Orders with a net change of more than \$20,000 a DOB Attachment C, as appropriate, to approve the expenditure of funds. Contracts (not change orders) that are 75% or more federally funded, or have a Health & Safety exemption, may submit a DOB Attachment B, which is approved within the Department. Others must submit a DOB Attachment A, which must be approved by others outside the Department. The DOB Attachment must be for at least the value of the Change Order. A generous estimate large enough to cover the cost of the CO is acceptable.

When the quantities of extra or altered work are not known, use estimated quantities for the CO.

See QRG 104-02B *Change Order – Existing Items* for detailed instructions on preparing an Existing Items Change Order.

See QRG 104-02C *Change Order – New Items* for detailed instructions on preparing a New Items Change Order.

1. Force Account Estimate (FE)

When significant amount of extra work (likely to be more than \$100,000) is going to be performed by Force Account and the work is expected to take more than two weeks to complete, submit a change order for a Force Account Estimate (FE). (See CAM 109-05IV and Exhibit 109-05F.) Use an appropriate 950.xx contract pay item (See Table 104-02A) to identify the type of work involved and

§104-02 CHANGES, CONTINGENCIES, EXTRA WORK AND DEDUCTIONS

assign a value in Dollars-Cents. Use of a Force Account Estimate allows progress payments, based on submitted, verified records, to be made in a timely manner.

Later, close each Force Account Estimate (FE) change order with a Force Account Work (FAW) change order that modifies the funding (additional funds, or deleting unused funds) and includes all of the details to support the actual cost.

2. Force Account Work (FAW)

If the requirements for extra work cannot be clearly identified, or the Contractor does not agree to perform work under an Agreed Price, the work must be paid for under a Force Account. Use an appropriate serialized 950 item number with a new supplemental description starting with "FAW" (force account work) each time it is added. Add a Supplemental Description describing the contract specific use of the 950 item. Example for Initial use of the item - *Item 950.24 Miscellaneous Metals*. [Supplemental Description:] *FAW - Diaphragms for Span 123 Bracing*. Example for Second use of the item: *Item 950.2401 Miscellaneous Metals*. [Supplemental Description:] *FAW - Diaphragms for Ramp V Bracing*.

3. 950 Items

Extra Work which does not correspond to an existing contract pay item is described using a 950 series contract pay item. 950 Items are typically by Agreed Price or Force Account, and have a unit of measure of Dollars/Cents (DC) and are serialized. For example: The first time it is needed for a contract, 950.05 is used, next time in the same contract use 950.0501, then 950.0502, etc.

TABLE 104-02A CHANGE ORDER 950 ITEMS		
Item No.	Item Description	Associated Standard Specifications
950.01	Earthwork	200
950.02	Bases and Sub-bases	300
950.03	Hot Mix Asphalt Pavement	400
950.04	PCC Pavement	500
950.05	Structures	550
950.06	Incidental Construction	600
950.07	Dispute Settlement	
950.08	Trenchless Casing Installation	650
950.09	Drainage	576, 602, 603, 604, 605, 655
950.10	Erosion Control	209
950.11	Water Supply Utilities	663
950.12	Engineering Costs	
950.13	Traffic Signals	680
950.14	Drilling	648
950.15	Sanitary Sewers	664
950.16	Signs	645, 646, 647
950.17	Lighting	670
950.18	Work Zone Traffic Control	619
950.19	Miscellaneous	
950.20	Emergency Work	
950.22	VECP (Value Eng) Savings	
950.23	VECP (Value Eng) Design	
950.24	Miscellaneous Metals	656
950.25	Utilities	660, 661, 662
950.26	Environmental	

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4. Job Order Contracts (JOCs)

A separate Time Extension Change Order is required to process the term extension, and a different, separate Change Order is required to add the additional funding. Process both Change Orders to the Office of Construction concurrently. Always number the Time Extension Change Order first, followed by the additional funding. (For example, CO 001 is the additional term of one year and 002 is the funding for the year.) If the contractor requests a modification of the Adjustment Factor in accordance with the special note in the contract proposal, add this to the Additional Funding Change Order, do not create a third Change Order.

Attach a current JOC Work Order list. See the Job Order Contract Users Guide (JUG) for additional details.

5. Response Contracts

Response contracts (Where and When, Emergency, Standby etc.) are contracts with no defined work. Attach a current work order list to each change order, listing the location, type of work, start date, end date (if complete), amount paid (if complete) and estimated amount (if not complete). Include as separate entries any contract level items such as Engineer's Field Office. All entries should be in whole dollar amounts. The work order list should document the current amount paid to the contractor, and demonstrate the need for additional funds. If additional funds are being added to a separate Category (fund source), clearly identify that in the explanation.

6. Changes to Interim Milestones

Contracts containing interim milestones require the Contractor to utilize and submit CPM Schedules. Adjustment of time frames for Incentive/Disincentive (I/D), A+B, Lane Rental, etc. must be tied to changes in the CPM schedule.

7. New Category

A new Category must be established in SiteManager to identify a new funding source. For example, under an Emergency situation where a declaration might be made at a later date that provides the Department with separate funding, a separate Category is established to help segregate and identify work performed under the Emergency. A new Category may also be used for Federal-Aid ineligible or other State funded work under a Federal-Aid contract.

A new Category must be created by the Zonal SiteManager Administrator, who supports several Regions, or the Office of Construction SiteManager Administrator. The Zonal Administrator creates a new Category, using the next available sequential number. The Regional Change Order Specialist coordinates with the Regional Planning and Program Management Group to identify the correct funding source and coding, and completes the new Category in SiteManager. Creation of a new PIN (including new 3 digit extension) typically requires at least one overnight computer system pass to populate in other computer systems. See QRG 104-02E *Change Order – New Category* for detailed instructions.

8. Clean-Up Change Orders

A Clean-Up Change Order is prepared in preparation for the Final Agreement, and addresses field measurements that vary from the estimated quantities, and minor quantity variations. When complete, and ready for Final Agreement, the total quantity authorized and the total quantity paid should be the same for every item.

D. Time Extensions

A separate SiteManager Change Order is required to process a Time Extension. Do NOT mix a Time Extension with any other changes (funding, etc.) in SiteManager.

See QRG 108-02A *Change Order -Time Extension* for detailed instructions on preparing a Time Extension Change Order.

§104-02 CHANGES, CONTINGENCIES, EXTRA WORK AND DEDUCTIONS

1. Contract Time Extensions

A Time Extension changes the required contract completion date. If the current contract completion date has passed, and a Time Extension has not been approved by the DCEC or designee on behalf of the Commissioner, **DIRECT THE CONTRACTOR TO STOP WORKING.**

The Form CONR 250 *Application for Extension of Completion Date* signed by the Contractor indicates the requested contract completion date, what work remains to be completed, and the reasons for the delay in completion of the work. **Do not modify or edit the Contractor's request.** In the Time Adjustments tab make a definitive statement whether or not any Engineering Charges or Liquidated Damages are recommended or not. e.g. "*This Time Extension is recommended for approval [with OR without] Engineering Charges and Liquidated Damages.*" Attach the signed Form CONR 250 application to the header of the Time Extension Change Order. For contracts with defined work, the extension is valid, and the Contractor can return to work immediately **after it is approved by the DCEC or designee for the Commissioner.** Payments cannot be made for work performed after the current contract completion date.

2. Response Contracts

Response contracts (Where and When, Emergency, Standby, JOC, etc.) are intended to provide the Department with the capability to respond to emergent and emergency needs for a defined time period, they cannot be routinely extended simply because the Contractor has successfully completed assigned work. For response contracts, if the current contract completion date has passed, and a Time Extension has not been approved by the Office of the State Comptroller, **DIRECT THE CONTRACTOR TO STOP WORKING.**

A response contract may be extended to cover the period until the planned award of the follow-on contract, or to complete work that has already been assigned or started. **Once the follow-on response contract has been awarded, no further work can be assigned to the existing response contract.** Provide the contract D number and the status (scheduled Letting, anticipated award date, etc.) of the follow-on contract in the explanation. Attach the signed Form CONR 250 application to the header of the Time Extension Change Order.

3. Administrative Time Extensions

An Administrative Time Extension is only required as a software work-around in SiteManager. An Administrative Time Extension does NOT extend the contract completion date for the Contractor to perform work. If the Contractor finishes work on the completion date, and Change Orders have not yet been approved by OSC, after the COs are approved, another diary entry will be required to authorize the completed quantities on a DWR and pay the Contractor for previously completed work. SiteManager can only have one contract diary entry per day. Process an Administrative Time Extension for 15 days, which provides 15 additional dates to use for contract DWR and diary entries, and to process subsequent payments after pending Change Orders are approved. Assign a Reason Code - TE-Administrative.

4. JOC Additional Term

A Time Extension is required to add an additional 1 year term, in accordance with the contract provisions. Attach Form CONR 250 *Application for Extension of Completion Date* signed by the Contractor to the header of the Time Extension Change Order. Attach a current JOC Work Order list. See the Job Order Contract Users Guide (JUG) for additional details.

§104-02 CHANGES, CONTINGENCIES, EXTRA WORK AND DEDUCTIONS

5. Best Value Original Contract Completion Date

A Best Value contract includes a duration for the work bid by the Contractor. The award date is not known when the transaction is sent to OSC, which must include the original completion date. After the contract is awarded, immediately process a Time Extension Change Order setting the contract completion date to the award date plus the bid duration in accordance with the contract provisions. Attach a copy of the award letter from Contract Management Bureau to the Contractor, which identifies the correct contract completion date. Form CONR 250 is not required, as this is not a request from the Contractor.

E. Change Order Explanations

Explanations must be provided for all net increases and net decreases of contract quantities (i.e., all items in which the final total quantities are not exactly equal to the authorized net item quantities as amended by all change orders). Explanations may be entered as one of three types, *General Change Order Explanations*, *Explanations Applied to Specific Items* or *Explanations Applied to All Line Items*. Explanations are reviewed by people from a variety of backgrounds, including Engineers from the Department, FHWA, etc.; reviewers from OSC; and auditors from various organizations. Use plain English instead of technical terminology, and avoid abbreviations. Write Southbound rather than SB, Eastbound rather than EB, etc. The explanation should be stated in simple, concise terms which accurately describe the problem and its solution. What may be readily apparent to the EIC may puzzle someone else. In limited cases, a few color photographs (no more than 1-3) may assist in explaining a very unusual situation.

Do not repeat information (item numbers, item descriptions, quantities, etc.) that is shown in other parts of the change order. Group items with similar explanations together with a single explanation. Do not copy and repeat the same explanation for multiple items. Every item must have an explanation.

Provide a CO explanation that contains the following:

1. Location and description of the problem;
2. The proposed solution;
3. The reason for the proposed solution;
4. References to the appropriate pages of the contract documents if necessary;
5. A list of Department individuals involved in the decision making process and organizations consulted and copies of pertinent correspondence. Individuals from outside the Department do not make the decisions, but they may provide the Department with information from the community or public opinions;
6. Any other facts or material needed to clearly understand the reason for the CO.

F. Change Order Attachments

All Change Order attachments in SiteManager are attached to the CO header. Attach an approved DOB Attachment B or DOB Attachment C, as appropriate, from the DOB Agency Spending Controls website. Attach written recommendations, requests, or approvals to support the explanation. When extra work has been performed in advance of approval of the Change Order, attach a copy of the Authorization of Extra Work (AEW) signed by the authorized individual. Requests by another agency for extra work or changes to the work should be in writing. Supporting correspondence may include recommendations by Geotechnical, Materials or Structures Engineers; and letters/memos/emails from other agencies. Carefully review emails, particularly email chains, and remove any previous discussions that do not support the final decision. Do not include prevailing wage schedules or certified payrolls to support employee wage rates.

For major extra work added to FHWA Full Oversight (RFA) contracts, attach both the AEW and the email from the FHWA Area Engineer approving the work.

Attach Agreed Price analysis in accordance with CAM §109-05 and a letter from the Contractor agreeing to the price(s).

Attach Force Account records in accordance with CAM §109-05.

§104-02 CHANGES, CONTINGENCIES, EXTRA WORK AND DEDUCTIONS

G. Creating an Approval Chain in Site Manager

When a Change Order is created by the PM/EIC in SiteManager, the PM must create an Approval Chain by identifying an individual by name under the "Groups for Approval" for each role that must approve the CO. If the identified individual is unavailable, when the CO gets to that approval level, it can be reassigned to an alternate approver.

FA contracts involving Intelligent Transportation Systems (ITS) work must include an FHWA ITS Specialist as the reviewer, instead of the normal Regional FHWA Area Engineer.

See applicable QRG 104-02 for detailed instructions on creating an Approval Chain.

H. Change Order Processing

Accounting Bureau, Appropriations Unit passes data for the financial transaction from SiteManager into WIPPER (Web Interface Pre/Post Error Results), which bulk loads data to the Statewide Financial System (SFS). Change Orders do not need to be processed in numeric order, but WIPPER only allows one pending Change Order at a time, so multiple pending Change Orders will stop at the Funding level in SiteManager until the previous Change Order is approved by OSC.

Once a Change Order has left the Region, do NOT reset it to draft in SiteManager for any reason, including answering questions from OSC reviewers, without the express concurrence and direction of the Office of Construction.

§104-02 CHANGES, CONTINGENCIES, EXTRA WORK AND DEDUCTIONS

VII. SITE MANAGER REASON CODES

Each contract change order, which has a Change Order Type as explained above, will also have a Reason Code, to further identify the reasons for the change. Change Orders should use reason codes from the first half of the list below. Time extensions should only use Reason Codes from the second half of the list below that start with TE.

TABLE 104-02B SITE MANAGER CHANGE ORDER REASON CODES		
Reason	Code	Detailed Description
Design Issue	DSER	As a result of Design/Engineering Issues
Added Work	NEWW	Extra work that was not part of the original contract
Unanticipated Field Condition	U/FC	Adjustments for unanticipated / differing site condition
Major Item Renegotiation	MAJR	Renegotiated Price for Major Item < 75% or >125%
Third Party Additional Work	3PAW	Additional work paid by a private entity (e.g. Utility)
JOC Funding	JOC	Annual replenishment of JOC funding
Declared Event	DCOC	Repair damages from declared event (e.g. named storm)
Emergency Work	EMRG	Repair damages from a non-declared/local emergency
Critical Preservation	CRTP	Work critical to infrastructure preservation, non-emergency
Damage by Public Traffic	DPT	Repair damages caused by identified public traffic
Requirements Contract	REQC	Work either undefined or partially defined at award
Estimate Quantity Modification	EQER	Completed work differing from estimated measurements
Material Change	MATC	From changes in materials provided
Fuel, Asphalt, Steel Adjustment	FAS	Adjustments in fuel, asphalt and/or steel costs.
Betterment	BTTR	Work performed for a public entity (e.g. municipality).
Cleanup Change Order	C/CO	Final quantity adjustments for actual work completed
Value Engineering	VENG	Approved VECP for cost savings
Dispute Resolution	DISP	Contract dispute resolution costs
Other	MISC	Miscellaneous
TE – Design Issues	TDSE	TE as a result of Design/Engineering Issues
TE – Added Work	TADD	TE to complete added work
TE – Unanticipated Field	TUFC	TE for delays due to differing field conditions
TE – Third Party Delays	T3PD	TE for delays caused by 3rd party (Utility, municipality, etc)
TE – JOC Term Renewal	TJOC	TE for an annual term renewal
TE – Declared Occurrence	TDCO	TE due to declared event (e.g. named storm)
TE – Emergency Work	TEMR	TE due to non-declared/ local emergency
TE - Critical Preservation	TCRP	TE for critical work, non-emergency
TE – Damage by Public Traffic	TDPT	TE for damages caused by public traffic
TE – Delay of Award	TAWD	TE for delay of award more than 45 days after Letting
TE – Contractor Delays	TCON	TE for Contractor delays – with EC/LD
TE – Delayed Permit Approval	TDPA	TE for delays in permits and/or approvals
TE – Severe Weather	TWTH	TE for delays due to damaging/prolonged severe weather
TE – Material Shortages	TMAT	TE for delays due to industry wide material shortages
TE – Value Engineering	TVEN	TE for approved VECP
TE – Dispute Resolution	TDIS	TE for a contract dispute resolution
TE – Other	RETE	Other
TE – Administrative	FTME	Administrative TE Only, does NOT change completion

§104-02 CHANGES, CONTINGENCIES, EXTRA WORK AND DEDUCTIONS

VIII. CHANGE ORDER APPROVAL PROCESS

The Change Order approval process consists of the following steps:

1. The EIC oversees the preparation of the Change Order, with explanations and supporting data. The EIC notifies the Contractor that a Change Order is being prepared. The SiteManager PM (typically EIC) approves the Change Order. SiteManager automatically notifies the next identified approver at each step in the chain when the previous person has approved the Change Order.
2. The Regional Change Order Specialist reviews the Change Order for completeness and accuracy. Upon completion of review, the Regional Change Order Specialist approves the Change Order.
3. The Construction Area Supervisor reviews and approves the Change Order.
4. The Regional Construction Engineer reviews and approves the Change Order.
5. The Office of Construction spot checks the Change Order for accuracy and reviews the supporting data.
6. The Change Order is approved by the Deputy Chief Engineer (Construction) or designee.
7. For Full Oversight (RFA) contracts, the Change Order must be approved by FHWA. The EIC/Area Supervisor should have already discussed any changes with the FHWA Area Engineer, and obtained FHWA approval of any major changes before the work was completed.
8. The Accounting Bureau, Appropriations Unit identifies the required funding, enters the financial transaction into WIPPER (Web Interface Pre/Post Error Results), which bulk loads data to the Statewide Financial System (SFS), and approves the Change Order under the "Funding" role in SiteManager.
9. OSC reviews the Change Order in Site Manager for completeness and accuracy; and verifies that the work is within the scope of the contract, that the actions being taken are within the Department's authority, and that decisions have been made in accordance with approved Department processes and procedures. After review, OSC approves the Change Order in the Statewide Financial System (SFS) and files the Change Order.
10. After approval by OSC, the Appropriations Unit Supervisor reviews the funding to ensure that the PO has been approved by OSC, and approves the Change Order under the "Fund Supervisor" role in SiteManager.
11. The Change Order is approved in SiteManager by the Appropriations Unit as a proxy for OSC under the "OSC" role in SiteManager.
12. Upon approval of the Change Order in SiteManager, the EIC provides a current CONR 22 to the Contractor showing the current list of approved items and quantities.

A number of Business Intelligence (BI) reports are available with information on Change Orders under:

Site Manager Reports > Construction Field Office [D#####] > Change Orders

Combo CONR7	Change Order Listing by Item	Change Orders Tracking
CONR7	Change Order Listing by CO	Change Orders Overriden
CO Analysis	Change Order Item Summary	FCP Summary and Analysis
CO Explanations	Major Items Report	
High Bid Item Analysis	Get Next Line Item Number	Estimates In Which Change Orders Were Approved

Site Manager Reports > Construction Regional Office [Active Contracts] > Change Orders

Pending CO(s) to be Approved	Change Orders Pending in Expenditures-OSC	
Change Order Aging Report	CO/FCP Details by Contract/Region	
VECP Change Order Summary	Time Extension Listing	COs Awaiting Approval by Contract
FCP/DWR Payments	Summary of Change Order Statistics	

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IX. REVIEW BY THE OFFICE OF THE STATE COMPTROLLER (OSC)

The Office of the State Comptroller (OSC) reviews all change orders for conformance with State Finance Law, and may ask questions, or request clarification. All replies should be routed back through the Regional Construction Group and Office of Construction. If a Change Order is returned or denied by OSC, do not modify the change order without direction from the Office of Construction.

If adequate answers or clarifications are provided to OSC after they have non-approved the change order, it may possibly be re-submitted without further changes.

X. CHANGES TO ONGOING CONTRACTS VIA OFFICIAL ISSUANCE

Changes to ongoing contracts may be made via an official issuance (ED/EI/EB). A copy of the issuance will be made a part of the contract files and will serve to document authorization for the change on applicable contracts. A copy of the issuance should be provided to the Contractor. Keep documentation if the Contractor contends a change in price is warranted. If a change in cost is warranted, attach a copy of the issuance to the Change Order as documentation. FHWA is normally included in the official issuance review process, so approval of changes on individual contracts to implement the change should not be controversial.

ASSOCIATED SITEMANAGER QUICK REFERENCE GUIDES

QRG 104-02A *Change Order – FCP*

QRG 104-02B *Change Order – Existing Items*

QRG 104-02C *Change Order – New Items*

QRG 104-02D *Change Order – Review/Approve/Track/Forward*

QRG 104-02E *Change Order – New Category*

QRG 104-02F *Create Dummy Item for DWR Tracking Using FCP*

EXHIBITS

A Sample CONR 104 *Authorization of Extra Work*

B Sample FCP Worksheet

C Sample *Agency Spending Controls - Attachment B*

D Sample CONR 7 *Explanations and Analysis Report*

NEW YORK STATE DEPARTMENT OF TRANSPORTATION
AUTHORIZATION OF EXTRA WORK

Print Form

Region #: 05	D123456	County/Countries: Albany	Date: April 15, 2016
Contract Description: Rte 123 Over the Hudson River			
Engineer-in-Charge: Ian InCharge	Field Office Fax: 518-456-7890		
Contractor: I. B. Builder			
Contract FHWA Full Oversight (RFA)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	FHWA Concurrence Obtained? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> N/A		
Municipal/Local Share Involvement? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Date of FHWA Concurrence: 04/13/2016		
Proposed Work within the Contract Limits? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	(Attach letter/email from FHWA to Change Order)		
Significant Change (\$104-04)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Sufficient Funding in Place? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Contract Bid Amount: \$10,200,567.00	Proposed work within the Contract Scope? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Contract Current Amount: \$12,058,789.00	Has Project Manager been Notified? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Estimated Increase Due to this Change: \$56,000.00			
Description of Proposed Extra Work:			
Install additional drainage on the East Bound side of Rte 123 from Sta 1+44 to Sta 4+00			
Reverse of form allows for entry of additional items			

Items Included in Description of Proposed Extra Work

Item No.	Description	Pay Unit	Authorized Quantity	Added Quantity	Price Type	Unit Price
604.301212	Drainage Structure	FT	96.00	24	Bid Price	\$1,000.00
603.6024	Reinforced Concrete Pipe 24"	FT	420.00	256	Bid Price	\$125.00

☐ AEW has a value less than \$20,000 ☐ AEW has a value between \$20,000 and \$50,000 ☒ AEW has a value greater than \$50,000
Send a copy back to the EIC and the CO Specialist

Estimated Increase Total	\$56,000.00
--------------------------	-------------

RECOMMENDED:

Area Construction Supervisor

Date: 04/20/2016

APPROVED:

Regional Construction Engineer

Date: 04/22/2016

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Exhibit 104-02A

NYSDOT-SiteManager-Reporting System

Field Change Payment Information and Analysis for Contract D261020

Change Order Number 020 Change Order Type FCP Status APPR Approved Date 09/02/2011

Category: 0001

Line Item	Item Code	Unit Price	CO Quantity	Unit Price * Quantity	Increase Displayed as Currency	Decrease	Item Percent Change
0014	203.1756 17	\$715.00	5.04	3603.6	\$3,603.60	\$0.00	107.20%
0254	570.04	\$1,000.00	0.86	860	\$860.00	\$0.00	128.67%
0284	596.18 17	\$300.00	1.3	390	\$390.00	\$0.00	165.00%
0522	644.11	\$15.00	447.2	6708	\$6,708.00	\$0.00	181.62%
0582	650.1004	\$325.00	2.04	663	\$663.00	\$0.00	103.00%
0830	697.03	\$1.00	-12224.6	-12224.6	\$0.00	(\$12,224.60)	19.25%

Sum of FCP Increase/Decrease this Category:

0 \$12,224.60 (\$12,224.60)

Increase/Decreases this Category:

\$0.00

Sum of FCP Activity this Change Order:

0 \$0.00

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Exhibit 104-02B

GENERAL NOTE: Change Orders that do not include FCP items are not listed in this report.

OVERSIDE NOTE: FCP Change Orders that contain items with a percent change in excess of 999.99% will have to be overridden so that the approval stops at the R.C.E.

FCP TOTAL NOTE: FCP Change Orders that do not total zero will require final approval by the Office of State Comptroller. To stop approvals at the R.C.E. adjust the FCP

**Division of the Budget
Agency Spending Controls****Request #3900000-20-2013**

Tab: Requests

Approved Request

Pursuant to New York State Executive Law Article 15-A and consistent with the Governor's goal of promoting equal opportunities for certified minority and women owned business enterprises, were any certified minority and women owned business enterprises solicited for this procurement opportunity?

If no, please explain:

Was a New York State certified minority or women owned business selected for this procurement opportunity?

If no, please explain:

Request Details

Agency Code: 3900000

Agency Name: Transportation, Department of

Request #: 3900000-20-2013

Alternative Tracking Number: D262025 CO #11

Agency Contact: Joanie Jones

Phone Number: 518-485-1894

Request Title: JS - D262025 CO #11, R-1

Description: I87 over Mohawk Bridge Rehabilitation
(Twin Bridges)

Attachment Type: B (75% Fed Funded)

Expedited: N/A

Centralized Contract: N/A

Contract Term: N/A

Member Item (007): N/A

Fund Type: Capital Projects Funds - Federal

Request Type: Capital - Change Orders / Other

NPS Type: N/A

Grant Contract: N/A

Justification: This order is necessary for the additional detour signs for phase 2.

Agency's M/WBE Contract Goal:

Rationale for Agency's M/WBE

Contract Goal:

Additional Information:

Supporting Files:

Internal Agency Comment:

Estimated Total Value This Request: \$22,000.00

Estimated 2012-13 Disbursements:

Estimated 2013-14 Disbursements: \$22,000.00

Estimated 2014-15 Disbursements:

Estimated 2015-16 Disbursements:

Request Status

Status: Approved

Agency Head/Designee: Ron Epstein

Status Date: 04/05/2013

Submitted to Agency Head by Janet Simson on 04/05/2013

Submitted to Agency Review Level 2 by Joan Jones on 04/04/2013

<https://www.budget.ny.gov/asca/tabs/request.do?print=true&requestId=3900000-20-2013&x-arf=e5e1B...> 6/24/2013

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Exhibit 104-02C

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Change Order Type: Change Order

ConR7 Explanations/Analysis

Region No.	County
02	Oneida
NYS Project Identification No.	
2016.78	
Federal Aid Project No.	
Z001-2016-783	

CO Description: Added Work - Shotcrete

Approved: 10/11/2017

Contractor Name: DOLOMITE PRODUCTS CO INC/DBA A L BLADES	Comptroller Contract No.
Description: RTE 12 MAPLEDALE TO ALDER CREEK	D263188
Pursuant to the determination of the Commissioner of Transportation to alter the above identified contract, the Contractor is hereby ordered and directed to perform the following work and furnish the necessary materials and equipment, in accordance with specifications therefore (Hwy. Law §38(8))	
Category	Order No.
0001	013

ETC: Andrew Boncella
 Construction Supervisor: Chris Langset

GEN: Due to the extent of the work to be performed for the Concrete repairs to roof of the M & N Railroad Structure on Rte. 12 in Remsen, it became necessary add New Items to replace the items provided for in the Plans. The depth of existing concrete removal was deeper than anticipated during Design. The additional depth required replacement by Shotcrete due to the necessary overhead application. Due to the varying depths it was necessary to add two (2) New Items and subsequently decrease two (2) existing items. The result is a negative Net change for this Change Order.

H E Item	Line Item Number	Item Code	CO Item Qty	Unit Price	Unit of Measure	Description	Authorized Qty	Increases	Decreases
X	0052	582.06	-940.000	\$125.000	SF	REMOVAL OF STRUCTURAL CONCRETE - REPLACEMENT WITH CLASS	270.000		-\$117,500.000

Cat Bid Qty	Composite Bid Quantf	Composite Bid Amount (in CO)	Major	Total % Change	H/L to Bid?	Price Analysis Remark	Prior CO QTY (in CO)	Prior CO QTY (in CO)
1,210.00	1,210.00	\$151,250.00	Y	-77.68%		Major Item Under	-940.000	-940.00

0062- The Decrease is Quantity not needed due to the item being replaced by New Items 583.02 & 583.03. A portion of the item is needed for work that was done as per Plan. (Sect# 3)

H E Item	Line Item Number	Item Code	CO Item Qty	Unit Price	Unit of Measure	Description	Authorized Qty	Increases	Decreases
X	0064	582.07	-586.000	\$116.000	SF	REMOVAL OF STRUCTURAL CONCRETE - REPLACEMENT WITH VERTICAL	9.000		-\$68,136.000

Cat Bid Qty	Composite Bid Quantf	Composite Bid Amount (in CO)	Major	Total % Change	H/L to Bid?	Price Analysis Remark	Prior CO QTY (in CO)	Prior CO QTY (in CO)
605.00	605.00	\$70,180.00	N	-\$9.51%			-586.000	-586.00

0064- The Decrease is Quantity not needed due to the item being replaced by New Items 583.02 & 583.03. A portion of the item is needed for work that was done as per Plan. (Sect# 3)

NYSDOT SiteManager Reporting System

D263128 CO #013

N E Item	Line Item Number	Item Code	CO Item Qty	Unit Price	Unit of Measure	Description	Authorized Qty	Increases	Decreases
X	0302	583.02	401.000	\$142.300	SF	REM STR CONC-REPLA W/ SHOTCRTE/NO REBAR	401.000	\$57,062.300	

A - New Item Added - CO #13

Cat Bid Qty	Composite Bid Amount (in CO)	Major	Total % Change	Unit Price	Unit of Measure	Description	Authorized Qty	Increases	Decreases
0.00	0.00	\$0.00	N	0.00%		New Item Previous CO	401.000	401.00	

0302- New Items 583.02 & 583.03 are being added to the Contract by Agreed Price. See attached Agreed Price Letter and Pay Item Catalog Reports. This price is acceptable by comparison with average weighted bid prices and with consideration given to the limited access to the Site due to steep sideslopes and the Structure being over Railroad Tracks. Water had to be pumped 500 feet due to limited access. All equipment had to be set in with a crane or run down the side of the tracks. (Sed# 4)

N E Item	Line Item Number	Item Code	CO Item Qty	Unit Price	Unit of Measure	Description	Authorized Qty	Increases	Decreases
X	0304	583.03	509.000	\$205.930	SF	REM STR CONC-REPLA W/ SHOTCRTE/REBAR ENCAS	509.000	\$104,818.370	

A - New Item Added - CO #13

Cat Bid Qty	Composite Bid Amount (in CO)	Major	Total % Change	Unit Price	Unit of Measure	Description	Authorized Qty	Increases	Decreases
0.00	0.00	\$0.00	N	0.00%		New Item Previous CO	509.000	509.00	

0304- New Items 583.02 & 583.03 are being added to the Contract by Agreed Price. See attached Agreed Price Letter and Pay Item Catalog Reports. This price is acceptable by comparison with average weighted bid prices and with consideration given to the limited access to the Site due to steep sideslopes and the Structure being over Railroad Tracks. Water had to be pumped 500 feet due to limited access. All equipment had to be set in with a crane or run down the side of the tracks. (Sed# 4)

Sub-Totals:	\$161,980.670	-\$186,536.000
Net Change in Funds:		-\$24,755.330
Change Order Total Amount:		(\$24,755.330)

Category: 0001

NYSDOT SiteManager Reporting System

10/20/2017 2:59:57 PM Page 3 of 3

Change Order Type: Change Order

Approved: 10/11/2017

ConR7 Explanations/Analysis

Region No.	County
02	Oneida
NYS Project Identification No.	
201678	
Federal Aid Project No.	
Z001-2016-783	

CO Description: Added Work - Shidcrete

Contractor Name: DOLOMITE PRODUCTS CO INC/DBA A L BLAD

Description: RTE 12 MAPLEDALE TO ALDER CREEK

Pursuant to the determination of the Commissioner of Transportation to alter the above identified contract, the Contractor is hereby ordered and directed to perform the following work and furnish the necessary materials and equipment, in accordance with specifications therefore (Subdrg, Sec 38, Hwy Law).

Comptroller Contract No.	D263188
Category	Composite
Order No.	013

Change in Field (\$)

Increases	Decreases
\$181,980.670	-\$186,636.000
TOTALS	-\$186,636.000
\$181,980.670	NET CHANGE
	-\$24,755.330
	Revised Contract Total (at time of printing)
	\$8,508,579.270

Brought Forward from Category: 0001

% Change for CO = -0.30%

% Change in Contract to-date = 3.94%

As Bid Contract Amount = \$8,185,986.15

Price Analysis Remarks Key

Major Item Over — Major item that is over the 125% Criteria, price and/or Qty over 125% may be negotiated by either party.
 Major Item Under — Major item that is under the 75% Criteria, Unit Price may be Re-negotiated
 Minor Item Over — Minor item that is over 200% Criteria AND cumulative change is greater than \$1,000 may be negotiated by either party.
 New Item/This CO — Brand new item for the project on this CO. Requires agreed price justification or force account
 New Item/Prev CO# — This item was new on a previous CO, Must Have an Agreed Price on that CO

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Exhibit 104-02D3

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LAURA CURRAN
NASSAU COUNTY EXECUTIVE



KENNETH G. ARNOLD, P.E.
COMMISSIONER

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
1194 PROSPECT AVENUE
WESTBURY, NEW YORK 11590-2723

VENDOR PORTAL ACKNOWLEDGMENT

Vendor Name: J. Anthony Enterprises Inc.
Contract Title: Grand Ave. Complete Street Pin # 076.36
Contract Number: H62900-01G

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at www.nassaucountyny.gov by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.

Signature

Date

3/9/20

Print Name

Joseph Kuesich

If you attempted to register via the Portal but were unable to do so, please explain here:

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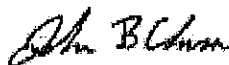
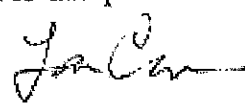
LAURA CURRAN
NASSAU COUNTY EXECUTIVE

NASSAU COUNTY



Vendor Code of Ethics



POLICY/PROCEDURE TITLE: Nassau County Vendor Code of Ethics	DATE ISSUED: June 5 th , 2019
DEPARTMENT ISSUING: Executive – Compliance	AUTHORIZED and SIGNED BY:  Deputy County Executive For Compliance  County Executive

POLICY: The Office of the Nassau County Executive recognizes the importance of the vendor community in helping the County provide necessary services for the residents of Nassau County. It is the policy of the County Executive to ensure that all vendors doing business with Nassau County operate under the highest standards of legal and ethical conduct.

PURPOSE: To set forth a Code of Conduct for vendors to ensure that Nassau County Vendors are conducting their business with integrity, ethics, and compliance with all applicable laws and regulations.

SCOPE: All vendors doing business or seeking to do business with Nassau County as specified in the Code.



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Chapter 1: DEFINITIONS

As used in this Code, the following terms have the following meanings:

Adverse Job-Related Action includes any material alteration to existing terms, conditions, and privileges of employment, such as dismissal, demotion, suspension, compulsory leave, disciplinary action, creation of a hostile work environment, negative performance evaluation, any action resulting in loss of staff, office space or equipment or other benefit, reduction in compensation, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected employee.

Contact means any oral or written communication with any Nassau County Employee, other than the Designated Point(s) of Contact, where it could be reasonably inferred that such contact was intended to influence; or could reasonably be expected to influence, the subject of a County procurement.

Designated Point(s) of Contact means the individual(s) designated by the County to be a Vendor's only contact with Nassau County following the public advertisement of a solicitation or the issuance of a request for a bid, proposal, or quote for small purchase, until the award of a resulting contract and, where applicable, approval by the County Legislature. This timeframe, further defined in the State Procurement Lobbying Law, is also known as the Restricted Period.

Nassau County Employee means any officer, official or employee of Nassau County.

Family Member means (i) a Nassau County Employee's Spouse, Domestic Partner, Child, Sibling or Parent; (ii) a person who is a direct descendant (or the spouse of a direct descendant) of a Sibling of the Nassau County Employee or a Sibling of the Nassau County Employee's Spouse or Domestic Partner; or (iii) a person living in the same household as a Nassau County Employee.

Gift means the transfer, without equivalent consideration, of anything of benefit, tangible or intangible, having more than nominal value, including, but not limited to, cash, loans, forbearance,



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services, travel, gratuities of any kind, favors, money, meals, refreshments, entertainment, hospitality, admittance to private clubs, use of time-shares, personal use of the Vendor's facilities, promises, tickets to entertainment or sporting events, weekend trips, golf outings, loans of equipment, or other thing or benefit. A Gift need not be intended to influence or reward any individual or entity.

Nassau County Code of Ethics means Nassau County Charter Section 2218, and the rules and regulations promulgated thereunder as may be amended or modified.

Participating Employee means any Vendor employee who engages in any written or oral communication of a non-clerical or non-administrative nature with Nassau County or with a Nassau County Employee(s) as part of or in connection with the procurement.

Participating Nassau County Employee means any Nassau County Employee who the Vendor knows, has reason to know, or can reasonably anticipate is involved in a specific procurement, in either a direct or decision-making capacity, but not in a clerical capacity. This includes but is not limited to the Designated Point of Contact, the project manager, the project manager's staff to the extent that they are involved in the procurement, members of selection committees, technical experts and negotiating teams.

Primary Contracting Party means a Vendor who intends to directly enter into or has a contract with Nassau County.

Retaliatory Action is defined as any Adverse Job-Related Action taken by, or at the direction or request of, a Vendor or a Vendors' Employees as a result of any individual's (i) good-faith report with respect to a violation or potential violation of this Code or the law; or (ii) cooperation in any investigation of unlawful conduct or misconduct conducted by Nassau County or by federal, state, or local law enforcement officials.

State Procurement Lobbying Law means New York State Finance Law Sections 139-j and 139-k, and the rules and regulations promulgated thereunder as may be amended or modified.

Vendor means any individual or entity seeking to or doing business



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with Nassau County within the scope of this Code, including, without limitation, contractors, consultants, suppliers, manufacturers seeking to act as the primary contracting party, officers and employees of the foregoing, as well as any subcontractors, subconsultants and suppliers at all lower tiers.

Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

Section 2.01 Designated Point(s) of Contact

Each procurement solicitation issued by Nassau County will identify the Designated Point(s) of Contact for that solicitation as required by the State Procurement Lobbying Law. Once the Designated Point(s) of Contact is/are established, the Vendor and any person or entity acting on the Vendor's behalf, including without limitation, those providing compensated or uncompensated lobbying, advocacy, consulting or other services should ensure that its contacts with Nassau County are in compliance with the requirements of the State Procurement Lobbying Law.

Chapter 3: GIFTS OR CONTINGENT FEES

Section 3.01 Zero Tolerance

No Vendor may offer or give any Gift, directly or indirectly, to a Nassau County Employee. Similarly, no Vendor may offer or give any Gift, directly or indirectly, to any Family Member of a Nassau County employee where such Gift is made because of the Vendor's relationship with the Nassau County Employee. Additionally, no Vendor may accept a gift from a Nassau County Employee.

This Zero-Tolerance Policy applies regardless of actual intentions. In other words, even if a Gift does not, or is not intended to, influence an action or decision by a Nassau County Employee, it is prohibited by this Code.

Section 3.02 Personal Relationships

Notwithstanding the foregoing, if a Vendor has a pre-existing family or personal relationship with the Employee, a Gift that is wholly unconnected with the Employee's duties on behalf of Nassau County is



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not necessarily prohibited.

In determining whether the giving of an item was motivated by personal rather than business concerns, the following factors are considered:

- (a) the history of the relationship between the donor and the recipient, including but not limited to the mutuality of gift giving;
- (b) whether the item was paid for by the donor.

The giving of an item shall not be considered to be motivated by a family or personal relationship if the donor seeks to charge or deduct the value of the item as a business expense or seeks reimbursement from a client.

However, regardless of the family or personal relationship between a Vendor and an employee, a Gift is strictly forbidden where it is being given under circumstances where it can reasonably be inferred that it was intended to influence the employee in the performance of his or her official duties.

Section 3.03 Contingent Fees

The Vendor will not employ or retain any individual or entity for the purpose of soliciting or securing a Nassau County contract upon any agreement or understanding for a commission, percentage, brokerage, or fee that is contingent or dependent upon the outcome of the procurement.

Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

Section 4.01 Restrictions During the Procurement Process

Vendors shall not discuss future employment with Participating Nassau County Employees or their Family Members from the date the procurement is advertised or solicited through 30 days following the date that the procurement is awarded, even if a Participating Nassau County Employee contacts the Vendor regarding employment. Questions regarding whether a particular Nassau County employee is a Participating Nassau County Employee for a specific



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procurement should be directed to the Designated Point of Contact for the procurement.

Section 4.02 Restrictions Post Award

Vendors are prohibited from offering or discussing an employment opportunity with a Nassau County Employee or his or her Family Members before whom the Vendor has or expects to have a pending specific matter including, but not limited to, negotiations, performance evaluation, task order selection, approval of a voucher or invoice, or approval of or agreement to a contract amendment, change order, or deviation or waiver until:

- (i) 30 days from the time the matter before the Employee is closed, or
- (ii) 30 days from the time the Employee has no further involvement with the matter because of recusal or reassignment.

Chapter 5: CONFLICT OF INTEREST

Section 5.01 Financial Interest

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, as the case may be, may have a 10% or greater interest, nor shall the Vendor, nor any director, officer, principal, owner, or partner thereof, acquire a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract.

The Vendor will not permit an employee having a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract to be employed in the performance of the Nassau County contract.

Section 5.02 Personal Business Dealings

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, may have a non-County business dealing with a



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Participating Nassau County Employee where it can be reasonably inferred that the purpose of the business dealing, at least in part, is to influence the Participating Nassau County Employee's action on a pending County matter.

Section 5.03 Disclosure and Cooperation

The Vendor shall disclose immediately to the County any real or potential conflict of interest of which it becomes aware. This obligation is ongoing and shall last through the completion of performance of the contract. The Vendor shall provide to Nassau County, at the County's request and upon such forms as may be furnished by Nassau County, a disclosure of organizational, financial, contractual or other affiliations with any organization or entity that has interests that may be substantially affected by the procurement solicitation or award. The Vendor shall fully cooperate in any inquiry or investigation undertaken by Nassau County to determine whether any such affiliations present a conflict of interest, or whether any other provision of this Code has been violated. The Vendor shall fully cooperate with audits, investigations, examinations and reviews by the Nassau County Inspector General conducted pursuant to section 187 of the Nassau County Charter.

Section 5.04 Confidential Information

At no time shall any Vendor who obtains confidential or proprietary Nassau County information in the course of doing or seeking to do business with the County disclose any such information to any person not authorized by Nassau County to receive such information or use such information for any personal gain except as necessary to fulfill its contractual obligations to Nassau County.

If the Vendor receives from any source confidential or proprietary Nassau County information prior to the award of a resulting contract and, where applicable, approval by the County Legislature, without the explicit approval of the Designated Point of Contact, the Vendor shall immediately so notify the Designated Point of Contact.

Nassau County confidential or proprietary information includes, but is not limited to, internal cost estimates and proposals submitted by other Vendors.



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Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

No Vendor who participates in the development of a scope of work, solicitation documents, assessment criteria, contractual instruments or technical specifications may participate as a bidder, sub-bidder, proposer or sub-proposer on that particular procurement or perform any work on that particular procurement or any other procurement that would constitute an organizational conflict of interest or would give that Vendor an unfair advantage over other bidders or proposers on that procurement. This prohibition may be waived in writing by the County Chief Procurement Officer upon a showing of good cause.

Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

Section 6.01 Appearance Before Former Agency-Two Year Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear or practice before any Nassau County agency, either prior to award or in the performance of a Nassau County contract, for a period of two years after termination of the Nassau County Employee's services with the County.

Section 6.02 Appearance Before Former Agency-Life Time Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear, practice, communicate or otherwise render services before the agency that employed the officer or employee or any other agency of Nassau County, either prior to award or in the performance of an agency's contract in relation to any case, proceeding, application or transaction with respect to which such former officer or employee was directly concerned and in which he or she personally participated, or which was under his or her active consideration during the period of his or her employment. This provision is a lifetime bar on projects that the former Nassau County Employee previously worked on while employed by the County.



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Chapter 7: NON-COLLUSION

Section 7.01 Independent Bid Assessment

The Vendor will calculate the price(s) contained in any bid or proposal independently, without collusion, consultation, communication, or agreement with any competing Vendor for the purpose of restricting competition.

Section 7.02 Non-Communication of Bid

Unless otherwise required by law, the price(s) which the Vendor quotes in its bid or proposal will not knowingly be disclosed by the Vendor, directly or indirectly, to any competing Vendor prior to the closing date for bids or proposals.

Section 7.03 Bid Submission

The Vendor will not make any attempt to induce any other individual or entity to submit or not to submit a bid or proposal.

Chapter 8: DISTRIBUTION AND CERTIFICATION

Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

As a condition of being considered for the award of any contract above the County's small purchase threshold of \$10,000, the Vendor will be required to distribute copies of the Nassau County Vendor Code of Ethics to all Participating Employees prior to any of those employee's participation in the procurement. The Code may be distributed either in hard copy or electronically as a separate PDF.

Additionally, as a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will be required to obtain an acknowledgement from each of its Participating Employees ("Participating Employee Acknowledgements") that they have received, read, understand, and will comply with the Nassau County Vendor Code of Ethics.



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The Vendor's responsibility for distributing copies of the Nassau County Vendor Code of Ethics and obtaining such signed Participating Employee Acknowledgements is ongoing until completion of performance of the contract and shall be retained for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Receipt and retention of Participating Employee Acknowledgments by the Vendor shall be subject to audit by Nassau County.

Section 8.02 Vendor Certifications

The vendor by signing the final contract thereby certifies and attests to the following:

- (a) The Vendor has been provided with a copy of the Nassau County Vendor Code of Ethics and will comply with all of the provisions of the Code;
- (b) All of its Participating Employees during the course of procurement or contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to any of those employees' participation in the procurement;
- (c) All Participating Employees have completed the acknowledgement required by Section 8.01 of this Code;
- (d) The Vendor will retain all of the signed Participating Employee Acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County;
- (e) The Vendor will continue to distribute the Nassau County Vendor Code of Ethics, obtain signed Participating Employee Acknowledgements as new Participating Employees are added or changed during the contract period, and retain all of the signed acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.



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Section 8.03 Subcontractor Certifications

As a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will obtain certifications executed by authorized officials from all of its lower tier subcontractors, subconsultants and suppliers (as well as from any other subcontractors, subconsultants and suppliers from whom that Vendor is soliciting or has received proposals for work on a Nassau County contract) whose employees have communicated or may communicate with Nassau County Employees. This obligation is ongoing and shall last through the completion of performance of the contract. Receipt and retention of lower tier certifications by the Vendor shall be subject to audit by Nassau County.



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Chapter 9: PENALTIES

Section 9.01 Responsibility Determination

For violation of any provision of the Nassau County Vendor Code of Ethics, Nassau County may avail itself of every remedy in law or equity, or as agreed to by parties in any contract, including but not limited to declaring the Vendor non-responsible or in material breach of the contract.

Section 9.02 Civil/Criminal Penalties

Additionally, violation of the Nassau County Vendor Code of Ethics or a provision thereof may subject the Vendor to criminal or civil penalties under State or Federal law.

Chapter 10: REPORTING OBLIGATION

Section 10.01 Reporting Gift Requests

Notwithstanding the provisions of Chapter 4 above, the Vendor is obligated to immediately report to Nassau County's Inspector General and the County Chief Procurement Officer, any and all requests made to the Vendor by any Nassau County Employee for a Gift.

Section 10.02 Reporting Material Changes

The Vendor is under a continuing obligation to report any change in circumstances that materially affects any prior report to Nassau County to Department of Chief Contracting Officer, including but not limited to disclosure of conflicts of interest and representations made in the Contractor Responsibility Form.

Section 10.03 Reporting Violations and Overpayments

The Vendor is obligated to timely report in writing to Nassau County's Inspector General, in connection with the award, performance or closeout of the Nassau County contract or subcontract, any credible evidence of significant overpayments on the contract or that a principal, employee, agent or subcontractor has committed a



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violation of law involving fraud, conflict of interest, bribery or gratuities.

Chapter 11: PROHIBITION ON RETALIATION

Section 11.01 Prohibition

To facilitate the reporting obligations under Chapter 10, this code strictly forbids all Vendors and Vendors' Employees from taking any Retaliatory Action against individuals who make such reports.



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CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.:

H62-900-01G

Project Description:

Grand Ave Complete Street P.I. 0761.36

The prospective lower tier participant (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to J. Anthony Enza, Inc. [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this

9th

day of

March

20 00

By

Signature of Authorized Official

Joseph Kersch - President

Name and Title of Authorized Official

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PARTICIPATING EMPLOYEE ACKNOWLEDGEMENT REGARDING NASSAU COUNTY VENDOR CODE OF ETHICS

Company: J. Anthony Enterprises Inc

Bid/Proposal No.: H62900-01G

I, Joseph Kresch, acknowledge that I have received and read the
Nassau County Vendor Code of Ethics on 3/9/20 and that I understand it and
will comply with this Code in my participation in procurements
between J. Anthony Enterprises (Vendor name) and Nassau County.

Executed this 9th day of March, 2020.

By

Joseph Kresch - President

Signature of Employee

Name and Title of Employee

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CONTRACTOR CERTIFICATION STATEMENT REGARDING
STORM WATER POLLUTION AT THE WORK SITE

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

CONTRACTOR'S NAME: J. Anthony Enterprises Inc.

TELEPHONE NUMBER: (631) 589.3274

WORK SITE OR FACILITY NAME: Grand Ave Complete Street

WORK SITE OR FACILITY ADDRESS OR
OTHER IDENTIFYING DESCRIPTION: Grand Ave Complete Street
1462900-01G


Signature

3/9/20
Date

Joseph Ruesch - President
Print Name and Title of Signer

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APPENDIX 2 IRAN DIVESTMENT ACT

As a result of the Iran Divestment Act of 2012 (Act), Chapter 1 of the 2012 Laws of New York, a new provision has been added to the State Finance Law (SFL), § 165-a, effective April 12, 2012. Under the Act, the Commissioner of the Office of General Services (OGS) will be developing a list (prohibited entities list) of "persons" who are engaged in "investment activities in Iran" (both are defined terms in the law). Pursuant to SFL § 165-a(3)(b), the initial list is expected to be issued no later than 120 days after the Act's effective date, at which time it will be posted on the OGS website.

By entering into this Contract, Contractor (or any assignee) certifies that once the prohibited entities list is posted on the OGS website, it will not utilize on such Contract any subcontractor that is identified on the prohibited entities list. Additionally, Contractor agrees that after the list is posted on the OGS website, should it seek to renew or extend the Contract, it will be required to certify at the time the Contract is renewed or extended that it is not included on the prohibited entities list. Contractor also agrees that any proposed Assignee of the Contract will be required to certify that it is not on the prohibited entities list, before the New York State Department of Transportation (NYSDOT) may approve a request for Assignment of Contract.

During the term of the Contract, should NYSDOT receive information that a person is in violation of the above-referenced certification, NYSDOT will offer the person an opportunity to respond. If the person fails to demonstrate that it has ceased its engagement in the investment which is in violation of the Act within 90 days after the determination of such violation, then NYSDOT shall take such action as may be appropriate including, but not limited to, imposing sanctions, seeking compliance, recovering damages, or declaring the Contractor in default.

NYSDOT reserves the right to reject any request for assignment for an entity that appears on the prohibited entities list prior to the award of a contract, and to pursue a responsibility review with respect to any entity that is awarded a contract and appears on the prohibited entities list after contract award.

APPENDIX 2-S IRAN DIVESTMENT ACT

As a result of the Iran Divestment Act of 2012 (Act), Chapter 1 of the 2012 Laws of New York, a new provision has been added to the State Finance Law (SFL), § 165-a, effective April 12, 2012. Under the Act, the Commissioner of the Office of General Services (OGS) will be developing a list (prohibited entities list) of "persons" who are engaged in "investment activities in Iran" (both are defined terms in the law). Pursuant to SFL § 165-a(3)(b), the initial list is expected to be issued no later than 120 days after the Act's effective date, at which time it will be posted on the OGS website.

By entering into a renewal or extension of this Contract, Contractor (or any assignee) certifies that once the prohibited entities list is posted on the OGS website, it will not utilize on such Contract any subcontractor that is identified on the prohibited entities list.

Additionally, Contractor understands that during the term of the Contract, should NYSDOT receive information that a person is in violation of the above-referenced certification NYSDOT will offer the person an opportunity to respond. If the person fails to demonstrate that it has ceased its engagement in the investment which is in violation of the Act within 90 days after the determination of such violation, then NYSDOT shall take such action as may be appropriate including, but not limited to, imposing sanctions, seeking compliance, recovering damages, or declaring the Contractor in default.

NYSDOT reserves the right to reject any renewal, extension or request for assignment for an entity that appears on the prohibited entities list hereafter and to pursue a responsibility review with respect to any entity that is granted a contract extension/renewal or assignment and appears on the prohibited entities list thereafter.

PROPOSAL

IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

 a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,

 b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.


Signature/Date

3/9/20

Joseph K. Kersch - Sr. Asst. Dir.
Print Name and Position

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MacBride Fair Employment Principles

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:

MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

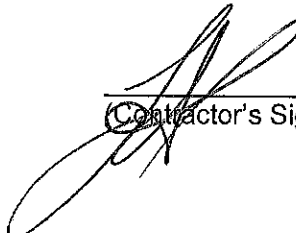
(1) have business operations in Northern Ireland,

Yes ___ No ☒

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes ___ No ___


(Contractor's Signature)

J. Anthony Enterprises Inc.
(Name of Business)

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U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Joseph Kresich - President

Name and Title of Authorized Representative

3/9/20

m/d/yy

Signature

3/9/20
Date

J. Anthony Enterprises Inc.

Name of Organization

175 Englewood Rd., Hauppauge, NY 11788

Address of Organization

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Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

NO TEXT ON THIS PAGE

PROPOSAL: For all work in accordance with the drawings and specifications:

J. Anthony Enterprises Inc.

(Individual, Firm or Corporation, as case may be)

Individual's Social Security Number _____

Firm or Corporation's Federal ID Number 05-0584612

Firm or Corporation's Municipal License ID Number _____

Municipal Licensing Agency _____

By: Joseph Kutsch Date: 3/9/20
(Print) Joseph Kutsch Title: President

WHERE BIDDER IS A CORPORATION, ADD:

ATTEST: [Signature] Secretary

(CORPORATE)
(SEAL)

NO TEXT ON THIS PAGE



PROPOSAL: For all work in accordance with the drawings and specifications:

J. Anthony Estepanew Inc.

(Individual, Firm or Corporation, as case may be)

Individual's Social Security Number _____

Firm or Corporation's Federal ID Number 05-0584612

Firm or Corporation's Municipal License ID Number _____

Municipal Licensing Agency _____

By: _____ Date: 3/9/20
(Print) Joseph Kutsch Title: President

WHERE BIDDER IS A CORPORATION, ADD

ATTEST: _____
Secretary

(CORPORATE)
(SEAL)

NO TEXT ON THIS PAGE

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 16 + years
2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 16 + years

b. as a Subcontractor 16 + years

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
\$11,000,000 -	Roadwork	90%	NYCDDC

X SEE Attached Bid Project Reference sheets will provide complete list when considered lowest bidder.

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer

X SEE Attached Bid Project Ref. sheets

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? No
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

NO TEXT ON THIS PAGE

c. ever been declared a non-responsible bidder by any municipality or public agency? NO
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? NO
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? NO
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? NO
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? NO
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

71 00
72 00

NO TEXT ON THIS PAGE

d. that has ever been barred from bidding municipal or public contracts? No

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

NO TEXT ON THIS PAGE

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Joseph Kessel	President	25 years	Construction	All phases
Jeffrey Ray	P.M.	20 years	Construction	All phases
Rob Ditzio	Supervisor	25 years	Roadwork	Supervisor

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	--	------------------	------------------

& See Attached Equipment List

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

(use additional blank sheets if additional space is necessary)

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14. In what manner have you inspected this proposed work?
Explain in detail.

Went to site & walked site & reviewed all work.

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

Submittals, Survey & Layout, meetings, Construction.

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

X Unknown At this time, will provide if awarded.

X See one of our super resume attached

17. Insurance Carried by your firm:

Type	Company	Limits of Coverage	Term
Disability	WBSO Insurance Company		
X See CL attached Example for another project			

NO TEXT ON THIS PAGE

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

NO TEXT ON THIS PAGE

NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU

Joseph Rensch
Being duly sworn, deposes and says:
That he resides at ~~1000 100th Street, in the City of Nassau~~ is the ~~President~~ of
~~J. Anthony Enterprises, Inc.~~
the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he signed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true.
Subscribed and sworn to before me
this day of , 20 .

Jeffrey M. Ray
Notary Public - State of New York
No. 01RA6176772
Qualified in Suffolk County
My Commission Expires November 05, 2020

JS
Notary

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU)

Being duly sworn, deposes and says:
That he is a member of
the firm described in and which executed the foregoing bid; that he duly subscribed the name of the firm hereunto on behalf of the firm; and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
this day of , 20 .

Notary

(Form of Affidavit where Bidder is a individual)

STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU)

Being duly sworn, deposes and says:
That he is the person described in and who executed the foregoing bid and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
this day of , 20 .

Notary

NO TEXT ON THIS PAGE

NOTICE OF AWARD

NO TEXT ON THIS PAGE

J Anthony Enterprises, Inc.

175 Engineers Road
Hauppauge, NY 11788
631-589-3244

The following are references for your review:

All Bonded Municipal Civil Construction Projects:

NYC Department of Design & Construction

Project/Location: Contract HWPR17Q - Borough of Queens, NY.
Project Type: Rehabilitation of Pedestrian Ramps at designated locations.
Contract Amount: \$11,283,900
Architect/Engineer: NYC DDC
Reference/Phone #: Norbu Tsering – 718-279-7166
Date Complete: In Progress

NYC Economic Development Corporation

Project/Location: Contract #: 54340002 – 94th/9th Ave. in Queens, NY.
Project Type: Atlantic Avenue Extension
Contract Amount: \$8,868,138.70
Architect/Engineer: Henningson, Durham & Richardson Architecture
Reference/Phone #: Lyle Mayer – 609-619-7599
Date Complete: In Progress

NYS Department of Transportation

Project/Location: Contract D262171 – Suffolk County, NY.
Project Type: General contractor for roadwork improvements on the Southern State Parkway – 22 miles of highway reconstruction. Installation of 150 catch basins, 1000CY concrete paving, heavy MPT, nighttime construction and restoration.
Contract Amount: \$4,750,000
Architect/Engineer: NYS DOT
Reference/Phone #: Ray Weixler – 631-952-6632
Date Complete: 2015

NYS Department of Transportation

Project/Location: Contract D262169 – Nassau/Suffolk County, NY.

Project Type: General contractor for repair, replace or construct curb ramps, sidewalks & bicycle facilities.

Contract Amount: \$2,450,000

Architect/Engineer: NYS DOT

Reference/Phone #: Scott Franzese – 516-513-1025

Date Complete: 2015

NYS Department of Transportation

Project/Location: Contract D262556 – Nassau/Suffolk County, NY.

Project Type: Sidewalk & curb ramp ADA requirements upgrades at various locations

Contract Amount: \$4,200,000

Architect/Engineer: NYS DOT

Reference/Phone #: William Brudi – 631-465-0772

Date Complete: 2017

NYS Department of Transportation

Project/Location: Contract D262725 – Suffolk County, NY.

Project Type: Safety Improvements on various state highways

Contract Amount: \$1,800,000

Architect/Engineer: NYS DOT

Reference/Phone #: Anthony Eichhorn – 631-396-0881

Date Complete: 2015

NYS Department of Transportation

Project/Location: Contract: D263565 – Suffolk County, NY.

Project Type: Reconstruction of handicap ramps at various locations

Contract Amount: \$905,100.00

Architect/Engineer: NYS DOT

Reference/Phone # - Gary Cerlini @ Intercounty Paving Assoc. – 516-827-6900

Date Complete: In Progress

NCDPW Hempstead Road Maintenance Facility PH. I

Project/Location: Contract: B906622-01GR – Westbury, NY.

Project Type: Redevelopment of the Hempstead Road Maintenance Facility

Contract Amount: \$2,540,000.00

Architect/Engineer: BBS Architects

Reference/Phone #: Joe Amerigo - 516 571 6804

Date Complete: 2014

Elmont Streetscape

Project/Location: Elmont Streetscape – Town of Hempstead, NY.

Project Type: Streetscape Beautification – Belmont Blvd. to Westgate

Contract Amount: \$452,735

Architect/Engineer: Lockwood, Kessler & Bartlett, Inc.

Reference/Phone #: Joe Marciano - 516-538-7100

Date Complete: 2017

Prospect Avenue:

Project/Location: PW #34-16 – Town of Hempstead

Project Type: Prospect Avenue Road Improvement

Contract Amount: \$757,100.10

Architect/Engineer: Town of Hempstead

Reference/Phone #: Brian Maddock – 631-348-7600

Date Complete: 2017

Town of Babylon

Project/Location: Complete Reconstruction of Tanner Park – Babylon, NY.

Project Type: Complete reconstruction of Tanner Park, new marina, vinyl sheet bulkhead and complete marina reconstruction. New site lighting, ramps, rip rap, new installation of decking and 2500 tons of asphalt paving.

Contract Amount: \$3,150,000

Architect/Engineer: Savik & Murray

Owner/Reference/Phone #: Bruce Savik & Murray – 631-467-7775

Date Complete: 2016

J Anthony Enterprises -- Inland Marine Scheduled Equipment -- 8/26/2019

Year, Make, Model	Serial No.	Description	Value/New vs Used
1. 2008 Case	N8C509240	Backhoe Loader	\$45,000 used
2. 2007 Bobcat T300	532016344	Skid Steer Loader	\$25,000 used
3. 2007 Komatsu	TBD	Wheel Loader	\$85,000 used
4. 2009 Caterpillar	RLN00288	Backhoe Loader	\$60,000 used
5. 2009 Kaeser 5466, 210	13016275366	Compressor WKAQN	\$15,000 used
6. 2009 Doosan DX210W	DHKCEWAES90005578	Excavator	\$75,000 new
7. Bobcat S750	A3P214595	Skid Steer	\$25,000 new
8. 2010 Airman 185	B4-6C12463	Compressor	\$10,000 used
9. 2013 Komatsu 10097	WA380-7	Wheel Loader	\$120,000 new
10. 2013 Case 590	NDCS591136	Backhoe Loader	\$55,000 new
11. 2013 Case 590SN	NDCS591139	Backhoe Loader	\$55,000 new
12. 2013 Komatsu 90212	D39PX-23	Crawler Dozer	\$100,000 used
13. 2013 Cat. RMX00463	328DLCR	Hydraulic Excavator	\$200,000 used
14. 2015 Vermac Basic Unit	2S9US4123ES132881	Message Board Basic	\$10,000 new
15. 2015 Vermac Basic Unit	2S9US412ES1321882	Message Board Basic Unit	\$10,000 new
16. 2015 Bobcat T770	AN8t14596	Bobcat	\$50,000 new
17. 2012 Vermac PCMS	2s9us4127cs132508	Solar Panel Msg Board	\$10,000 used
18. 2013 Vermac PCMS	2s9us4127ds1328	Solar Panel Msg Board	\$10,000 used
19. 2015 Vermac	2S9uS2116DS132853	Arrow Board	\$3,000 used
20. Komatsu 7948	PC88MR-10	Hydraulic Excavator	\$100,000 new
21. 2017 Wacker DW50	WNCD0202LPAL00489	Site Dumper	\$50,000
22. 2018 Bobcat 24IN Planer	AJN704578	Bobcat	\$20,000
23. 2018 John Deere 710	1T0710LXJF328716	Backhoe- Loader	\$202,041 new
24. 2019 Doosan Air Compre	490130JACF89	Air Compressor	\$24,766.50 new
25. 2018 Komatsu 5607	PC238US2C-1	Mid-sized Excavator	\$235,000 used
26. 2019 Bobcat	AT5A13370 S770	Loader	\$73,238.78 new
27. 2019 Caterpillar ODC900236 440-07A IT		Backhoe Loader	\$179,000 new
28. Case Roller SV212	SN NCNTC3329	Roller	\$50,000
29. Atlas Hammer	BES095266	Hydraulic	\$15,000
30. Atlas Hammer	SB552SN00580	Hydraulic	\$15,000
31. Breaker	BC14B980	Breaker	\$5,000

Coverages

COVERAGE	LIMIT	DEDUCTIBLE	DED TYPE
TV Contractor's Equipment	\$ 1,934,806.91	1000	Flat
Leased/Rented Agg.	\$ 250,000	1000	Flat
Employee Tools "Any One"	\$ 2,500	1000	Flat
Employee Tools "Any Occ."	\$ 25,000	1000	Flat
Blanket Installation Floater	\$ 250,000	2500	Flat

Resume

Robert A. Ditizio

~~XXXXXXXXXXXX~~

~~XXXXXXXXXXXX~~

~~XXXXXXXXXXXXXXXXXXXX~~

~~XXXXXXXXXXXX~~

~~XXXXXXXXXXXX~~

Experience:

2012-2017: Canal Asphalt / Petrillo Contracting.

Mt. Vernon, NY

General Superintendent/ Estimator

- Various NYCDDC jobs including, Water mains, Sewers, Sidewalks, Milling, Paving.

2010-2015: JREM Construction Corporation

Syosset, NY

OWNER/ President

- Completed Project for NYCDDC replacing Hydrant Fenders and
sidewalks all five boroughs — HYDREST02.

- Completed Sewer Extension Metropolitan Ave., Queens NYCDDC— Seq200521

- Completed Sidewalks around Kings County Hospital for NYCHHC.

1995-2011: Demicco Brothers, Inc.

Bronx, NY

General Superintendent/ Estimator

**Supervise and coordinate all highway and heavy
Construction Projects,**

From bid to completion in NYC area.

-Reconstruction of Ely Avenue, Bronx HWX741, NYCDDC 20 Million.

-Reconstruction of Paulding Avenue, Bronx HWX647

NYCDDC 40 Million. Project involved sewer replacement, water mains

Concrete curbs sidewalk and new concrete roadway and asphalt pavement.

- Reconstruction of Flushing and Nassau Avenue, Brooklyn HWK476
NYCDDC 41 Million. Project involves water main (trunk and distribution)
Sewer replacement, catch basins and full roadway reconstruction.
Utility relocation for Con-Edison, Time Warner cable and Verizon.
- Casting replacement various locations, Brooklyn.
NYCDDC 5 Million. Project involved replacing of 500 sewer manhole
Castings by coring, setting frames and replacing with high early concrete.
- Reconstruction of E149th Street-Bronx HWK733W NYCDDC 21 Million.
Project involved replacement of sewer, water main, catch-basins, and full
Roadway reconstruction. *Project was fast-tracked using up to eight crews
And subs and completed in under eighteen months and received full early
Completion bonus of One million dollars.*
- Emergency Sewer contract Bronx various locations NYCDEP.
- Reconstruction of Sutphin Blvd. HWQ600D2 Queens NYCDDC 5 Million.
- Bronx water main replacement various locations Bronx HED550 NYCDEP.
- Reconstruction of Liberty Ave. Brooklyn HWK557R NYCDDC.
- Carpenter Ave. Bronx, sub to ADC construction for deep sewers.
- Reconstruction of South Ozone Park Queens.
- Citywide Milling Bronx/ Queens NYCDDC completed two milling
Projects total of 160,000 CY milled.
- Meridian Road construction (Arthur Ash Stadium) Queens NYCEDC.
- Construction Ulmer St.-Queens NYCEDC.

**1989-1995: New York Paving
L.I.C. New York
Project Superintendent
Supervise and coordinate paving, highway and heavy
Construction projects.**

- Citywide Milling HW2CR94.
- Various Resurfacing Projects- All boroughs that included, milling and paving.
- Sewer and water main replacement Broad Channel —Queens (joint venture with
Techno(Carp) Construction).
- Reconstruction of Fulton St. Brooklyn.
- Paving Runways Newark Intl. Airport and JFK.

1988-1989: **Liro Group Construction Management**
Syosset, NY
Civil Engineer

1986-1989: **Berger Lehman associates, P.C.**
Plainview, NY
Civil Engineer

Education: Civil Engineering
New York Institute of Technology
Old Westbury, NY

Certifications:

- *OSHA 10 hour site safety
- *OSHA 30 hour safety
- *NYCDDC site safety and competent person approved.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

01/08/2020

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER JSM Brokerage Inc. 12-23A 150th St. Whitestone NY 11357		CONTACT NAME: Louis Blangiardo PHONE (A/C, No. Ext): (718) 767-9226 FAX (A/C, No.): (718) 746-6427 E-MAIL ADDRESS: john@jsmbrokerage.com															
INSURED J. Anthony Enterprises Inc. 175 Engineers Rd Hauppauge NY 11788		INSURER(S) AFFORDING COVERAGE <table border="1"><thead><tr><th>INSURER</th><th>NAIC #</th></tr></thead><tbody><tr><td>INSURER A: ALLIED WORLD INSURANCE COMPANY</td><td>22730</td></tr><tr><td>INSURER B: ALLIED WORLD SPECIALTY INSURANCE CO.</td><td>16624</td></tr><tr><td>INSURER C: CONTINENTAL INS CO</td><td>35289</td></tr><tr><td>INSURER D: PHILADELPHIA INDEMNITY INSURANCE CO.</td><td>18058</td></tr><tr><td>INSURER E: ASPEN AMERICAN INSURANCE COMPANY</td><td>43460</td></tr><tr><td>INSURER F:</td><td></td></tr></tbody></table>		INSURER	NAIC #	INSURER A: ALLIED WORLD INSURANCE COMPANY	22730	INSURER B: ALLIED WORLD SPECIALTY INSURANCE CO.	16624	INSURER C: CONTINENTAL INS CO	35289	INSURER D: PHILADELPHIA INDEMNITY INSURANCE CO.	18058	INSURER E: ASPEN AMERICAN INSURANCE COMPANY	43460	INSURER F:	
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COVERAGES**CERTIFICATE NUMBER:****REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY			6004-0037	09/09/2019	09/09/2020	EACH OCCURRENCE \$ 1,000,000
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR						DAMAGE TO RENTED PREMISES (Ea. occurrence) \$ 300,000
	<input checked="" type="checkbox"/> CONTRACTUAL						MED EXP (Any one person) \$ 10,000
	<input checked="" type="checkbox"/> PRIMARY + NON-CONTRIBUTC	X	X				PERSONAL & ADV INJURY \$ 1,000,000
GEN'L AGGREGATE LIMIT APPLIES PER:							GENERAL AGGREGATE \$ 2,000,000
	<input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PROJECT <input type="checkbox"/> LOC						PRODUCTS - COMP/OP AGG \$ 2,000,000
	OTHER:						\$
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY			6000-0697	09/09/2019	09/09/2020	COMBINED SINGLE LIMIT (Ea. accident) \$ 1,000,000
	<input checked="" type="checkbox"/> ANY AUTO						BODILY INJURY (Per person) \$
	<input type="checkbox"/> OWNED AUTOS ONLY <input checked="" type="checkbox"/> SCHEDULED AUTOS	X	X				BODILY INJURY (Per accident) \$
	<input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY						PROPERTY DAMAGE (Per accident) \$
C	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR			CUE 6043425117	09/09/2018	09/09/2020	EACH OCCURRENCE \$ 5,000,000
	<input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE	X	X				AGGREGATE \$ 5,000,000
	<input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ 10,000						\$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY						PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/>
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in N.Y.)	Y/N <input type="checkbox"/>	N/A				E.L. EACH ACCIDENT \$
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE \$
D	EXCESS LIABILITY			PHUB645912	09/09/2019	09/09/2020	EACH OCCURRENCE \$5,000,000
	OCCURRENCE FORM	X	X				AGGREGATE \$5,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
(SEE ADDITIONAL REMARKS)

CERTIFICATE HOLDER**CANCELLATION**

New York City Department of Design and Construction

Project ID: HWP2019S

30-30 Thomson Avenue, 4th Floor

Long Island City

NY 11101

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE



ADDITIONAL REMARKS SCHEDULE

Page ____ of ____

AGENCY JSM Brokerage Inc.		NAMED INSURED J. Anthony Enterprises Inc.
POLICY NUMBER		
CARRIER	NAIC CODE	EFFECTIVE DATE:

ADDITIONAL REMARKS

THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM,
FORM NUMBER: 25 FORM TITLE: Certificate of Liability Insurance

Re: HWP2019S, REHABILITATION OF PEDESTRIAN RAMPS AT DESIGNATED LOCATIONS
City-Wide, City of NY
PIN#: 8502019HW0001C

CERTIFICATE IS SUBJECT TO TERMS, CONDITIONS & EXCLUSIONS OF THE POLICY. CERTIFICATE REQUIRES ADDITIONAL INSURED TO HAVE A VALID WRITTEN CONTRACT IN PLACE AS DEFINED BY THE POLICY.
INCLUDED AS ADDITIONAL INSURED WITH RESPECTS TO THE GL, EXCESS, AND COMMERCIAL AUTO AND PROVIDES ADDITIONAL INSURED STATUS AS PER ISO FORM CG 2010 & 2037, INCLUDING ONGOING AND COMPLETED OPERATIONS:

New York City Department of Design and Construction;
The City of New York, including its officials and employees;
The Office of the New York City Comptroller;
Consolidated Edison;
Any Consultant, Inspecting Engineer, or Inspector (and their agents) working for or on the project.

WHERE REQUIRED PER WRITTEN CONTRACT, COVERAGE IS PROVIDED ON A PRIMARY AND NON-CONTRIBUTORY BASIS. AND WAIVER OF SUBROGATION IS TO APPLY.

Jack Schnirman
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: J Anthony Enterprises, Inc.

CONTRACTOR ADDRESS: 175 Engineers Road, Hauppauge, NY 11788

FEDERAL TAX ID #: 05-0584612

Instructions: Please check the appropriate box (“☒”) after one of the following roman numerals, and provide all the requested information.

I. ☒ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in Newsday, NYS Contract Reporter [newspaper] on 2/5/20, 2/12/20, 2/19/20 & 2/26/20 [date]. The sealed bids were publicly opened on March 10, 2020 [date]. Three (3) [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____[date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

_____[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ **A.** The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ **B.** The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ **A.** There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ **B.** The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ **C.** Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ **D.** Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☒ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41, 1987-1 C.B. 296*, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.


Department Head Signature

3/11/21

Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Joseph Knesich state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Disclosures

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.

Name of Submitting Entity: J. Anthony Enterprises Inc.

Vendor's Address: 175 Engineers Road Hauppauge NY US 11788

Vendor's EIN or TIN: 05-0584612

Forms Submitted: _____

Political Campaign Contribution Disclosure Form:
01/26/2021 11:04:27 AM

Lobbyist Registration and Disclosure Form:
02/02/2021 07:53:58 AM

Business History Form certified:
03/09/2021 11:38:35 AM

Consultant's, Contractor's, and Vendor's Disclosure Form:
02/16/2021 12:28:06 PM

Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

No principal questionnaires have been selected.

I, Joseph Knesich hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

Joseph Knesich

Name

President

Title

J. Anthony Enterprises Inc.

Name of Submitting Entity

03/09/2021 11:39:55 AM

Date



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee?

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:
Joseph Knesich [JOE@JANTHONYENT.COM]

Dated: 01/26/2021 11:04:27 AM

Vendor: J. Anthony Enterprises Inc.

Title: President



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

None

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See the last page for a complete description of lobbying activities.

None

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

None

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby. separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee? If none, you must so state:

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:

Joseph Knesich [JOE@JANTHONYENT.COM]

Dated: 02/02/2021 07:53:58 AM

Vendor: J. Anthony Enterprises Inc.

Title: President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Joseph Knesich
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: US

Business Address: 175 Engineers Road
City: Hauppauge State/Province/Territory: NY Zip/Postal Code: 11788
Country: US
Telephone: 6315893244

Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u>08/03/2003</u>	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

100% owner

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Joseph Knesich , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Joseph Knesich , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

J. Anthony Enterprises Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Joseph Knesich [JOE@JANTHONYENT.COM]

President

Title

03/02/2021 10:04:28 AM

Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 01/26/2021

1) Proposer's Legal Name: J. Anthony Enterprises Inc.

2) Address of Place of Business: 175 Engineers Road

City: Hauppauge State/Province/Territory: NY Zip/Postal Code: 11788

Country: US

3) Mailing Address (if different): _____

City: _____ State/Province/Territory: _____ Zip/Postal Code: _____

Country: _____

Phone: _____

Does the business own or rent its facilities? Own If other, please provide details:

4) Dun and Bradstreet number: 198442258

5) Federal I.D. Number: 050584612

6) The proposer is a: Corporation (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?

YES ☐ NO ☒ If yes, please provide details:

8) Does this business control one or more other businesses?

YES ☐ NO ☒ If yes, please provide details:

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

YES ☐ NO ☒ If yes, please provide details:

- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?
YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).
- 11) Has the proposer, during the past seven years, been declared bankrupt?
YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
a) Any felony charge pending?
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an

element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

- 17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

None

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

None

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

None

- b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

Company has a policy that states to avoid all conflicts of interest with County Officials. This will be adhered to throughout the contract and beyond the completion dates.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

- i) Date of formation;

09/15/2003

- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

Joseph Knesich - President - 100% owner of corporation -

No individuals with a financial interest in the company have been attached..

- iii) Name, address and position of all officers and directors of the company. If none, explain.

Joseph Knesich - President -

No officers and directors from this company have been attached.

- iv) State of incorporation (if applicable);

NY

- v) The number of employees in the firm;

37

- vi) Annual revenue of firm;

- vii) Summary of relevant accomplishments

Many projects completed

1 File(s) Uploaded: Project References CivilConstructionRef2018.docx

- viii) Copies of all state and local licenses and permits.

- B. Indicate number of years in business.

16

- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

Bonding Capacity of 22,000,000

- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company	Town of Hempstead		
Contact Person	Joe Marshiano		
Address	350 Front Street		
City	Hempstead	State/Province/Territory	NY
Country	US		
Telephone	(516) 538-7100		
Fax #			
E-Mail Address	jmarshiano@tohmail.org		

Company	NYSDOT		
Contact Person	Ray Weixler		
Address	250 Vets Highway		
City	Hauppauge	State/Province/Territory	NY
Country	US		
Telephone	(631) 952-6045		
Fax #			
E-Mail Address	raymond.weixler@dot.ny.gov		

Company	JKL Engineers		
Contact Person	Mahesh Kukato		
Address	6031 University Blvd.		
City	Ellicutt City	State/Province/Territory	NY
Country	US		
Telephone	(410) 465-9600		
Fax #			
E-Mail Address	Mkukata@adci-corp.com		

I, Joseph Knesich , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Joseph Knesich , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: J. Anthony Enterprises Inc.

Electronically signed and certified at the date and time indicated by:
Joseph Knesich [JOE@JANTHONYENT.COM]

President
Title

03/09/2021 11:38:35 AM
Date

J Anthony Enterprises, Inc.

175 Engineers Road
Hauppauge, NY 11788
631-589-3244

The following are references for your review:

All Bonded Municipal Civil Construction Projects:

NYC Department of Design & Construction

Project/Location: Contract HWPR17Q - Borough of Queens, NY.
Project Type: Rehabilitation of Pedestrian Ramps at designated locations.
Contract Amount: \$11,283,900
Architect/Engineer: NYC DDC
Reference/Phone #: Norbu Tsering – 718-279-7166
Date Complete: In Progress

NYC Economic Development Corporation

Project/Location: Contract #: 54340002 – 94th/9th Ave. in Queens, NY.
Project Type: Atlantic Avenue Extension
Contract Amount: \$8,868,138.70
Architect/Engineer: Henningson, Durham & Richardson Architecture
Reference/Phone #: Lyle Mayer – 609-619-7599
Date Complete: In Progress

NYS Department of Transportation

Project/Location: Contract D262171 – Suffolk County, NY.
Project Type: General contractor for roadwork improvements on the Southern State Parkway – 22 miles of highway reconstruction. Installation of 150 catch basins, 1000CY concrete paving, heavy MPT, nighttime construction and restoration.
Contract Amount: \$4,750,000
Architect/Engineer: NYS DOT
Reference/Phone #: Ray Weixler – 631-952-6632
Date Complete: 2015

NYS Department of Transportation

Project/Location: Contract D262169 – Nassau/Suffolk County, NY.

Project Type: General contractor for repair, replace or construct curb ramps, sidewalks & bicycle facilities.

Contract Amount: \$2,450,000

Architect/Engineer: NYS DOT

Reference/Phone #: Scott Franzese – 516-513-1025

Date Complete: 2015

NYS Department of Transportation

Project/Location: Contract D262556 – Nassau/Suffolk County, NY.

Project Type: Sidewalk & curb ramp ADA requirements upgrades at various locations

Contract Amount: \$4,200,000

Architect/Engineer: NYS DOT

Reference/Phone #: William Brudi – 631-465-0772

Date Complete: 2017

NYS Department of Transportation

Project/Location: Contract D262725 – Suffolk County, NY.

Project Type: Safety Improvements on various state highways

Contract Amount: \$1,800,000

Architect/Engineer: NYS DOT

Reference/Phone #: Anthony Eichhorn – 631-396-0881

Date Complete: 2015

NYS Department of Transportation

Project/Location: Contract: D263565 – Suffolk County, NY.

Project Type: Reconstruction of handicap ramps at various locations

Contract Amount: \$905,100.00

Architect/Engineer: NYS DOT

Reference/Phone # - Gary Cerlini @ Intercounty Paving Assoc. – 516-827-6900

Date Complete: In Progress

NCDPW Hempstead Road Maintenance Facility PH. I

Project/Location: Contract: B906622-01GR – Westbury, NY.

Project Type: Redevelopment of the Hempstead Road Maintenance Facility

Contract Amount: \$2,540,000.00

Architect/Engineer: BBS Architects

Reference/Phone #: Joe Amerigo - 516 571 6804

Date Complete: 2014

Elmont Streetscape

Project/Location: Elmont Streetscape – Town of Hempstead, NY.

Project Type: Streetscape Beautification – Belmont Blvd. to Westgate

Contract Amount: \$452,735

Architect/Engineer: Lockwood, Kessler & Bartlett, Inc.

Reference/Phone #: Joe Marciano - 516-538-7100

Date Complete: 2017

Prospect Avenue:

Project/Location: PW #34-16 – Town of Hempstead

Project Type: Prospect Avenue Road Improvement

Contract Amount: \$757,100.10

Architect/Engineer: Town of Hempstead

Reference/Phone #: Brian Maddock – 631-348-7600

Date Complete: 2017

Town of Babylon

Project/Location: Complete Reconstruction of Tanner Park – Babylon, NY.

Project Type: Complete reconstruction of Tanner Park, new marina, vinyl sheet bulkhead and complete marina reconstruction. New site lighting, ramps, rip rap, new installation of decking and 2500 tons of asphalt paving.

Contract Amount: \$3,150,000

Architect/Engineer: Savik & Murray

Owner/Reference/Phone #: Bruce Savik & Murray – 631-467-7775

Date Complete: 2016

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: J. Anthony Enterprises Inc.Address: 175 Engineers RoadCity: Hauppauge State/Province/Territory: NY Zip/Postal Code: 11788Country: US2. Entity's Vendor Identification Number: 0505846123. Type of Business: Other (specify) S Corporation - Joseph Knesich President - 100% owner of corporation

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

First Name	<u>Joseph</u>
Last Name	<u>Knesich</u>
MI	<u>A</u> Suffix <u></u>
Address	<u>175 Engineers Road</u>
City	<u>Hauppauge</u> State/Province/Territory: <u>NY</u> Zip/Postal Code: <u>11788</u>
Country	<u>US</u>
Position	<u>President</u>

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

Joseph Knesich - President

No shareholders, members, or partners have been attached to this form.

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

None

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee,

employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES ☐ NO ☒

(a) Name, title, business address and telephone number of lobbyist(s):

none

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

none

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

none

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

Joseph Knesich [JOE@JANTHONYENT.COM]

Dated: 02/16/2021 12:28:06 PM

Title: President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Office of the County Executive
Att: Brian J. Schneider, Deputy County Executive

FROM: Department of Public Works

DATE: January 25, 2021

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: H62900-01G

**Title: Grand Avenue Complete Street Improvements
Merrick Road to Stanton Avenue – PIN: 0761.36**

Engineer's Estimate: \$6,960,201.53

Bids Received On: March 10, 2020

The bids received for the above referenced contract have been examined and the bid submitted by: J. Anthony Enterprises, Inc. in the amount of \$7,893,352.50 is acceptable as the lowest bid (see the attached bid tabulation).

A Case II Cost Analysis was performed by LiRo, to determine if any changes to the EE would be appropriate and if the cost of the low bidder's estimate can be justified. They found that rebidding the project as is will not appreciably change the result and the low bid has been validated by the adjusted Engineer's Estimate.

The low bid by J. Anthony Enterprises, Inc. is **13.41%** above the adjusted engineer's estimate, and adequate funds are available (Capital Project Numbers 62900).

If you approve or disapprove of the above request, please signify below, and return this memo to this office for appropriate action.




Kenneth G. Arnold
Commissioner

KGA:JGP:HTL:jd

Attachments

c: Joseph G. Pecora, Deputy Commissioner
Rakhal Maitra, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Harold T. Lutz, Director of Traffic Engineering
Richard Iadevaio, Jr., Superintendent of Highway and Drainage Construction
Christopher Liberti, Traffic Engineer III
James Caruso, Project Manager II

APPROVED:



1/25/2021

Brian J. Schneider Date
Deputy County Executive

DISAPPROVED:

Brian J. Schneider Date
Deputy County Executive



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Kenneth G. Arnold, Commissioner

FROM: Harold T. Lutz, Director of Traffic Engineering

DATE: January 25, 2021

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: H62900-01G

Title: Grand Avenue Complete Street Improvements
Merrick Road to Stanton Avenue
PIN: 0761.36 LD036043

Engineer's Estimate: \$6,960,201.53

BIDS RECEIVED ON: March 10, 2020

The Bids received for the above referenced contract have been examined, as tabulated in the bid comparison (attached). The bid submitted by J. Anthony Enterprises, Inc. in the amount of \$7,893,352.50 is acceptable as the lowest responsible bidder.

A Case II Cost Analysis was performed by LiRo, to determine if any changes to the EE would be appropriate and if the cost of the low bidder's estimate can be justified. They found that rebidding the project as is will not appreciably change the result, and the low bid has been validated by the adjusted Engineer's Estimate.

The low bid by J. Anthony Enterprises, Inc is **13.41%** above the **adjusted** engineer's estimate and adequate funds are available (Capital Project number H62900-01G). After reviewing all documentation submitted by the contractor, it was found that J. Anthony Enterprises, Inc. meets the required goals for this project. Therefore, it is requested that the attached Recommendation of Award be prepared for the Commissioner's signature and forwarded to the County Executive for his action.



Harold T. Lutz
Director of Traffic Engineering

HTL:jd
Attachments

c: Joseph G. Pecora, Deputy Commissioner
Rakhal Maitra, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Richard Iadevaio Jr., Superintendent of Highway and Drainage Construction
Christopher Liberti, Traffic Engineer III
James Caruso, Project Manager II



Bid Opening: 3 /10/2020**Engineer:** Harold Lutz **Phone:** (516) 571-9453

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
J. Anthony Enterprises, Inc.	175 Engineers Rd Hauppauge, NY 11788	Western Surety Company	10% Amt Bid	\$7,893,352.50	\$0.00
Roadwork Ahead	180 Linden Ave Westbury, NY 11590	Western Surety Company	10% Amt Bid	\$8,059,895.00	\$0.00
Pratt Brothers, Inc.	45 South Fourth Street Bay Shore, NY 11706-1210	Philadelphia Insurance Compan	10% Amt Bid	\$9,890,000.00	\$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

REQUEST TO INITIATE

RTI Number

19-0274

REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC

☐ RFQ
 ☐ RFP
 ☒ RFBC
 ☐ In-House or Requirements Work Order

Project Title: _____

Department: Public Works Project Manager: Sean Sallie Date: 10.1.19

Service Requested: The Department of Public Works is requesting authorization to advertise and let a roadway construction project paid for under County Capital Project Number 62900. This project is also on the TIP – PIN# 0761.36

Justification: This project (Grand Avenue, Baldwin Complete Streets Improvements) will result in the safety and accessibility improvements to Grand Avenue from Merrick Road to Stanton Avenue. The project will include limited lane reduction, new signals, drainage, curb bump-outs, resurfacing/restriping, streetscape and a reconfiguration of the intersection of Merrick Road @ Grand Ave.

Requested by: Sean Sallie, NCDPW Department/Agency/Office

Project Cost for this Phase/Contract: (Plan/Design/**Construction**/CM/Equipment) \$6,189,824.11
Circle appropriate phaseTotal Project Cost: \$8,465,000
Includes, design, construction and CMDate Start Work: 3/1/20
Phase being requestedDuration: 24 months
Phase being requestedCapital Funding Approval: YES ☐ NO ☐

SIGNATURE

DATE

Funding Allocation (Capital Project):

See Attached Sheet if multiyear ☐

NIFS Entered:

SIGNATURE

DATE

AIM Entered:

SIGNATURE

DATE

Funding Code:

use this on all encumbrances

Timesheet Code:

use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☐ or, Environmental Assessment Form Required ☐

Supplemental Environmental Documentation _____

Department Head Approval:

YES ☒ NO ☐

SIGNATURE

DCE/Ops Approval:

YES ☒ NO ☐

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval:

YES

NO

Signature _____

Version January 2014

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Harold T. Lutz, Director, Director of Traffic Engineering

FROM: Office of the Commissioner


DATE: November 7, 2019

SUBJECT: CSEA Sub-Contracting Approval
C19-117 – Contract H62900
Grand Avenue, Baldwin – Complete Street Improvements

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. Pursuant to Section 32-3 of the CSEA/County CBA, CSEA has withdrawn its objection to the above-referenced contract known as **C19-117**.

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions, please speak with Jonathan Lesman.



Roseann D'Alleva
Deputy Commissioner

RD:las

c: Sean Sallie, Deputy Commissioner
Joseph G. Pecora, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Jonathan Lesman, Management Analyst II



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, Executive Vice President

FROM: Department of Public Works

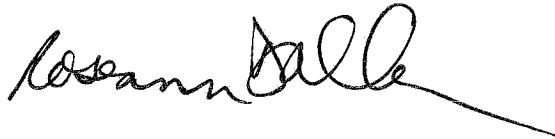
DATE: October 10, 2019

SUBJECT: CSEA Notification of a Proposed DPW Contract
Grand Avenue, Baldwin Complete Streets Improvements
Capital Project No: 62900

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a contract/agreement for the following services: Construction
2. The work involves the following: The installation of traffic signals, drainage and various vehicular and pedestrian safety improvements along Grand Avenue in Baldwin.
3. An estimate of the cost is: \$6,189,825.00
4. An estimate of the duration is: Twenty-four (24) months
5. Due to the nature and complexity of this work, historically, projects of this type have not been completed utilizing County work force.

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Roseann D'Alleva, Deputy Commissioner, telephone 1-0525, fax 1-9657.






Roseann D'Alleva
Deputy Commissioner

RD:SS:pl

c: Christopher Nicolino, Director, Office of Labor Relations
~~Sean E. Sallie, Deputy Commissioner~~
Loretta Dionisio, Assistant to Deputy Commissioner
Harold T. Lutz, Director, Traffic Engineering
Christopher Yansick, Unit Head, Financial Management Unit
Diane Pyne, Unit Head, Human Resources Unit
Jonathan Lesman, Management Analyst II



NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
 BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
 CONTRACT: H62900-01G

SCHEDULE OF PRICES							
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT		
			DOLLARS	CENTS	DOLLARS	CENTS	
201.06	1 LS	TWENTY FIVETHOUSAND DOLLARS AND ZERO CENTS Clearing & Grubbing FOR: FIFTY THOUSAND 2500  Dollars Cents	25,000 50,000 	00	25,000 50,000 	00	
203.02	2,500 CY	Unclassified Excavation and Disposal FOR: <u>FORTY-FIVE</u> <u>ZERO</u> Dollars Cents	45	00	112,500	00	
203.07	110 CY	Select Granular Fill FOR: <u>TWENTY-FIVE</u> <u>ZERO</u> Dollars Cents	25	00	2,750	00	
206.0201	275 CY	Trench and Culvert Excavation FOR: <u>THIRTY-FIVE</u> <u>ZERO</u> Dollars Cents	35	00	9,625	00	
206.03010010	5,845 LF	Conduit Excavation and Backfill - (Restoring Top Surfaces Not Included) FOR: <u>THIRTY-FIVE</u> <u>ZERO</u> Dollars Cents	35	00	204,575	00	
206.05	1 EA	Test Pit Excavation FOR: <u>TWOTHOUSAND FIVE HUNDRED</u> <u>ZERO</u> Dollars Cents	2,500	00	2,500	00	
209.12000010	41 EA	Silt Protection for Drainage Structures FOR: <u>FIFTEEN</u> <u>ZERO</u> Dollars Cents	15	00	615	00	

NO TEXT ON THIS PAGE

NO TEXT ON THIS PAGE

**NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
CONTRACT: H62900-01G**

SECTION 017102500-01G

SCHEDULE OF PRICES							
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT		
			DOLLARS	CENTS	DOLLARS	CENTS	
304.10119917	375 CY	Subbase Course, Type 1011-2 FOR: <u>SIXTY-FIVE</u> <u>ZERO</u> Dollars Cents	65	00	24,375	00	
402.096103	5,700 TON	9.5 F1 Top Course HMA, 60 Series Compaction FOR: <u>ONE HUNDRED TWENTY</u> <u>ZERO</u> Dollars Cents	120	00	684,000	00	
402.196903	200 TON	19 F9 Binder Course HMA, 60 Series Compaction FOR: <u>TWO HUNDRED FIFTY</u> <u>ZERO</u> Dollars Cents	250	00	50,000	00	
402.376903	500 TON	37.5 F9 Base Course HMA, 60 Series Compaction FOR: <u>TWO HUNDRED FIFTY</u> <u>ZERO</u> Dollars Cents	250	00	125,000	00	
402.018903	740 TON	True & Leveling F9, Superpave HMA, 80 Series Compaction FOR: <u>FIFTY-FIVE</u> <u>ZERO</u> Dollars Cents	55	00	40,700	00	
407.0102	4,000 GAL	Diluted Tack Coat FOR: <u>FIVE</u> <u>ZERO</u> Dollars Cents	5	00	20,000	00	
418.7603	30,200 LF	Asphalt Pavement Joint Adhesive FOR: <u>ZERO</u> <u>EIGHTY-FIVE</u> Dollars Cents	0	85	25,670	00	

NO TEXT ON THIS PAGE

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
 BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
 CONTRACT: H62900-01G

SCHEDULE OF PRICES							
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT		
			DOLLARS	CENTS	DOLLARS	CENTS	
490.10	48,400 SY	Production Cold Milling of Bituminous Concrete FOR: <u>FOUR</u> <u>2500</u> Dollars Cents	4	00	193,600	00	
490.30	200 SY	Miscellaneous Cold Milling of Bituminous Concrete FOR: <u>TEN</u> <u>2000</u> Dollars Cents	10	00	2,000	00	
520.05000010	1,075 LF	Saw Cutting PCC & Composite Pavement FOR: <u>SIX</u> <u>2000</u> Dollars Cents	6	00	6,450	00	
520.09000010	6,000 LF	Saw Cutting Asphalt Concrete FOR: <u>FOUR</u> <u>2000</u> Dollars Cents	4	00	24,000	00	
552.17	3,200 SF	Shields and Shoring FOR: <u>2000</u> <u>ONE</u> Dollars Cents	0	01	32	00	
603.9815	110 LF	Smooth Interior Corrugated Polyethylene Culvert and Storm Drain 15 Inch FOR: <u>SIXTY-FIVE</u> <u>2000</u> Dollars Cents	65	00	7,150	00	
604.071008	1 EA	Altering Drainage Structure, Leaching Basins and Manholes FOR: <u>ONE THOUSAND FIVE HUNDRED</u> <u>2000</u> Dollars Cents	1,500	00	1,500	00	

NO TEXT ON THIS PAGE

**NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
CONTRACT: H62900-01G**

SCHEDULE OF PRICES							
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT		
			DOLLARS	CENTS	DOLLARS	CENTS	
604.07200010	5 EA	Setting New Drainage Frames on Existing Drainage Structures FOR: <u>FIVE HUNDRED</u> <u>ZERO</u> Dollars Cents	500	00	2,500	00	
604.07210010	17 EA	Resetting Existing Drainage Frames on Existing Drainage Structures FOR: <u>TWO HUNDRED FIFTY</u> <u>ZERO</u> Dollars Cents	250	00	4,250	00	
604.07240010	5 EA	Rebuilding Top of Existing Drainage Structure FOR: <u>THREE THOUSAND FIVE HUNDRED</u> <u>ZERO</u> Dollars Cents	3,500	00	17,500	00	
604.501002	11.75 LF	Special Drainage Structure, Leaching Basin Type B-1 FOR: <u>TWO THOUSAND FIVE HUNDRED</u> <u>ZERO</u> Dollars Cents	2,500	00	29,375	00	
604.501011	41 LF	Special Drainage Structure, Catch Basin Type C FOR: <u>TWO THOUSAND</u> <u>ZERO</u> Dollars Cents	2,000	00	82,000	00	
608.0101	90 CY	Concrete Sidewalks & Driveways FOR: <u>ONE THOUSAND ONE HUNDRED</u> <u>ZERO</u> Dollars Cents	1,100	00	99,000	00	
608.0102	125 CY	Accelerated Concrete Sidewalks & Driveways FOR: <u>ONE THOUSAND TWO HUNDRED</u> <u>ZERO</u> Dollars Cents	1,200	00	150,000	00	

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NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
 BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
 CONTRACT: H62900-01G

SCHEDULE OF PRICES

ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT	
			DOLLARS	CENTS	DOLLARS	CENTS
608.01020005	230 CY	Colored & Imprinted Portland Cement Concrete Sidewalk FOR: <u>ONE THOUSAND THREE HUNDRED</u> <u>2020</u> Dollars Cents	1,300	00	299,000	00
608.01050010	500 CY	Concrete Sidewalks - Unreinforced (Grading Included) FOR: <u>NINE HUNDRED</u> <u>2520</u> Dollars Cents	900	00	450,000	00
608.03	225 SY	Brick Paved Sidewalk and Driveways (Sand Setting Bed) FOR: <u>FIVE HUNDRED</u> <u>2020</u> Dollars Cents	500	00	112,500	00
608.20	70 SY	Surface Applied Detectable Warning Units FOR: <u>ONE HUNDRED TWENTY - FIVE</u> <u>2520</u> Dollars Cents	125	00	8,750	00
609.04010510	2,500 LF	Cast-In-Place Concrete Curb Type VF150 FOR: <u>SEVENTY SEVEN</u> <u>2520</u> Dollars Cents	77	00	192,500	00
611.0161	16 EA	Planting - Major Deciduous Trees - 2 1/2 Inch Caliper Ball & Burlap, Filled Potted or Field Boxed FOR: <u>ONE THOUSAND ONE HUNDRED TWENTY FIVE</u> <u>AND ZERO CENTS</u> Dollars Cents	1,125	00	18,000	00
611.0412	19 EA	Planting - Deciduous Shrubs - As Specified Container or Boxgrown FOR: <u>ONE HUNDRED SIXTY FIVE</u> <u>2020</u> Dollars Cents	165	00	3,135	00

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			DOLLARS	CENTS	DOLLARS	CENTS	
615.01010110	6 EA	Litter (Trash) Receptacle Type 1 FOR: <u>ONE THOUSAND TWO HUNDRED FIFTY</u> <u>2500</u> Dollars Cents	1,250	00	7,500	00	
615.08010005	6 EA	Bench, Type 01 FOR: <u>TWO THOUSAND TWO HUNDRED FIFTY</u> <u>2500</u> Dollars Cents	2,250	00	13,500	00	
619.01	1 LS	Basic Work Zone Traffic Control FOR: <u>ONE MILLION TWO HUNDRED THOUSAND</u> <u>2000</u> Dollars Cents	1,200,000	00	1,200,000	00	
619.110201	2 EA	Portable, Variable Message Sign (LED) FOR: <u>FIVE THOUSAND</u> <u>2500</u> Dollars Cents	5,000	00	10,000	00	
619.1611	198 IM	Maintain Traffic Signal Equipment FOR: <u>TWO HUNDRED</u> Dollars Cents	200	00	39,600	00	
621.03	4,700 LF	Cleaning Closed Drainage Systems FOR: <u>SIX</u> <u>FIFTY</u> Dollars Cents	6	50	30,550	00	
621.04	68 EA	Cleaning Drainage Structures and Manholes FOR: <u>SEVEN HUNDRED</u> Dollars Cents	700	00	47,600	00	

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**NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
CONTRACT: H62900-01G**

SCHEDULE OF PRICES						
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT	
			DOLLARS	CENTS	DOLLARS	CENTS
622.21051008	3 EA	Prefabricated Bus Shelter Integrated Bench 5 FT Width, 10 FT Length FOR: <u>FIFTEEN THOUSAND</u> <u>ZERO</u> Dollars Cents	15,000	00	45,000	00
625.01	1 LS	Survey Operations FOR: <u>TWO HUNDRED THIRTY THOUSAND</u> <u>ZERO</u> Dollars Cents	230,000	00	230,000	00
645.5102	102 SF	Ground-Mounted Sign Panels Less than or Equal to 30 SF, with Z-Bars FOR: <u>SEVEN</u> <u>ZERO</u> Dollars Cents	70	00	7,140	00
645.81	29 EA	Type A Sign Posts FOR: <u>TWO HUNDRED TEN</u> <u>ZERO</u> Dollars Cents	210	00	6,090	00
647.31	24 EA	Relocate Sign Panel, Sign Panel Assembly Size I (Under 30 SF) FOR: <u>FOUR HUNDRED SIXTY</u> <u>ZERO</u> Dollars Cents	460	00	11,040	00
647.61	1 EA	Remove and Dispose Signs, Ground Mounted Type A Sign Supports FOR: <u>FIVE HUNDRED</u> <u>ZERO</u> Dollars Cents	500	00	500	00
655.05010010	69 EA	Resetting Existing Sanitary Sewer Manhole Castings FOR: <u>FIVE HUNDRED FIFTY</u> <u>ZERO</u> Dollars Cents	550	00	37,950	00

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			DOLLARS	CENTS	DOLLARS	CENTS	
655.07170010	1 EA	Replacement of Existing Drainage Grates FOR: <u>ONE THOUSAND FIVE HUNDRED</u> <u>2500</u> Dollars Cents	1,500	00	1,500	00	
655.0704	9 EA	Cast Frame F1, Unmountable Curb Box CUT & Reticuline Grate GT Manholes FOR: <u>ONE THOUSAND FIVE HUNDRED</u> <u>2500</u> Dollars Cents	1,500	00	13,500	00	
655.1202	6 EA	Manhole Frame and Cover FOR: <u>FIVE HUNDRED FIFTY</u> <u>2500</u> Dollars Cents	550	00	3,300	00	
662.60000010	3 EA	Install Utility Furnished Adjustment Rings for Manholes FOR: <u>ONE HUNDRED FIFTY</u> <u>2500</u> Dollars Cents	150	00	450	00	
670.02050006	85 EA	Foundation For Decorative Light Pole FOR: <u>TWOTHOUSAND FOUR HUNDRED</u> <u>2500</u> Dollars Cents	2,400	00	204,000	00	
670.1206	5 EA	6 Foot Aluminum Single Member Bracket Arm FOR: <u>FOUR HUNDRED</u> <u>2500</u> Dollars Cents	400	00	2,000	00	

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			DOLLARS	CENTS	DOLLARS	CENTS	
670.2007	4,600 LF	Galvanized Steel Conduit, (1.5") FOR: <u>SIXTEEN</u> <u>2520</u> Dollars Cents	16	00	73,600	00	
670.3006	39 EA	Pullboxes 5 Cubic Feet to 7.5 Cubic Feet, Inside Volume (Lighting) FOR: <u>ONE THOUSAND</u> <u>2520</u> Dollars Cents	1,000	00	39,000	00	
670.51380010	85 EA	Install Decorative Street Lighting Assembly Furnished By Others FOR: <u>ONE THOUSAND ONE HUNDRED</u> <u>2520</u> Dollars Cents	1,100	00	93,500	00	
670.7004	3,900 LF	Single Conductor Cable, Number 6 Gage FOR: <u>SIX</u> <u>2520</u> Dollars Cents	6	00	23,400	00	
670.7006	22,300 LF	Single Conductor Cable, Number 10 Gage FOR: <u>FOUR</u> <u>FORTY</u> Dollars Cents	4	40	98,120	00	
670.7501	1,175 LF	Ground Wire No. 6 AWG FOR: <u>SIX</u> <u>2520</u> Dollars Cents	6	00	7,050	00	
680.050200NA	3 EA	Furnish and Install Video Vehicle Detection Equipment FOR: <u>NINE THOUSAND</u> <u>2520</u> Dollars Cents	9,000	00	27,000	00	

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SCHEDULE OF PRICES

ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT	
			DOLLARS	CENTS	DOLLARS	CENTS
680.110008NA	16 EA	Furnish and Install 8 Foot Aluminum Signal Pole FOR: <u>ONE THOUSAND FIVE HUNDRED</u> <u>2500</u> Dollars Cents	1,500	00	24,000	00
680.110010NA	3 EA	Furnish and Install 10 Foot Aluminum Signal Pole FOR: <u>ONE THOUSAND SIX HUNDRED</u> <u>2000</u> Dollars Cents	1,600	00	4,800	00
680.321001NA	11 EA	Furn and Inst Model 2070 Lite Controller Unit FOR: <u>SIX THOUSAND</u> <u>2500</u> Dollars Cents	6,000	00	66,000	00
680.3360001NA	11 EA	Furn and Inst Model 336S Cabinet and Auxiliary Equipment FOR: <u>FIFTEEN THOUSAND</u> <u>2500</u> Dollars Cents	15,000	00	165,000	00
680.5001	80 CY	Pole Excavation and Concrete Foundation FOR: <u>ONE THOUSAND FIVE HUNDRED</u> <u>2500</u> Dollars Cents	1,500	00	120,000	00
680.5002	2 EA	Concrete Base for Controller Cabinet FOR: <u>ONE THOUSAND ONE HUNDRED</u> <u>2000</u> Dollars Cents	1,100	00	2,200	00
680.51000010	20 EA	Alter Elevation of Pullboxes FOR: <u>THREE HUNDRED</u> Dollars Cents	300	00	6,000	00

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SCHEDULE OF PRICES

ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT	
			DOLLARS	CENTS	DOLLARS	CENTS
680.51050010	32 EA	Pullbox, Rectangular Reinforced Concrete (26" x 18") FOR: <u>ONE THOUSAND SIX HUNDRED</u> <u>ZERO</u> Dollars Cents	1,600	00	51,200	00
680.51400010	11 EA	Concrete Fiber Optic Pullbox FOR: <u>TWO THOUSAND FIVE HUNDRED</u> <u>ZERO</u> Dollars Cents	2,500	00	27,500	00
680.520102	75 LF	Conduit, Metal Steel, Zinc Coated 3/4 Inch FOR: <u>SEVEN</u> <u>SEVENTY</u> Dollars Cents	7	70	577	50
680.520104	315 LF	Conduit, Metal Steel, Zinc Coated 1 1/4 Inch FOR: <u>THIRTEEN</u> <u>ZERO</u> Dollars Cents	13	00	4,095	00
680.520106	165 LF	Conduit, Metal Steel, Zinc Coated 2 Inch FOR: <u>TWENTY-TWO</u> <u>ZERO</u> Dollars Cents	22	00	3,630	00
680.520108	2,465 LF	Conduit, Metal Steel, Zinc Coated 3 Inch FOR: <u>THIRTY</u> <u>ZERO</u> Dollars Cents	30	00	73,950	00
680.520110	185 LF	Conduit, Metal Steel, Zinc Coated 4 Inch FOR: <u>THIRTY-EIGHT</u> <u>ZERO</u> Dollars Cents	38	00	7,030	00

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SCHEDULE OF PRICES

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			DOLLARS	CENTS	DOLLARS	CENTS
680.54	2,300 LF	Inductance Loop Installation FOR: <u>TWENTY-FIVE</u> <u>LESS</u> Dollars Cents	25	00	57,500	00
680.700604	31 EA	Riser Assembly, 1 1/2" Diameter FOR: <u>NINE HUNDRED TWENTY-FIVE</u> <u>LESS</u> Dollars Cents	925	00	28,675	00
680.72	4,600 LF	Inductance Loop Wire FOR: <u>ONE</u> <u>FIFTY</u> Dollars Cents	1	50	6,900	00
680.730214	10,520 LF	Signal Cable 2 Conductor 14 AWG FOR: <u>ONE</u> <u>SIXTY</u> Dollars Cents	1	60	16,832	00
680.730314	6,505 LF	Signal Cable 3 Conductor 14 AWG FOR: <u>TWO</u> <u>LESS</u> Dollars Cents	2	00	13,010	00
680.730514	4,505 LF	Signal Cable 5 Conductor 14 AWG FOR: <u>TWO</u> <u>TWENTY</u> Dollars Cents	2	20	9,911	00
680.730714	230 LF	Signal Cable 7 Conductor 14 AWG FOR: <u>THREE</u> <u>THIRTY</u> Dollars Cents	3	30	759	00

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SCHEDULE OF PRICES

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			DOLLARS	CENTS	DOLLARS	CENTS
680.731015	1,315 LF	Signal Cable 10 Conductor 14 AWG FOR: <u>THREE</u> <u>EIGHTY</u> Dollars Cents	3	80	4,997	00
680.731514	1,130 LF	Signal Cable 15 Conductor 14 AWG FOR: <u>FOUR</u> <u>SEVENTY</u> Dollars Cents	4	70	5,311	00
680.732014	760 LF	Signal Cable 10 20 Conductor 14 AWG FOR: <u>FIVE</u> <u>FIFTY</u> Dollars Cents	5	50	4,180	00
680.76610010	10 EA	Power Service Connection (Nassau County) FOR: <u>FOUR HUNDRED</u> <u>ZERO</u> Dollars Cents	400	00	4,000	00
680.7900010	1 LS	Remove Traffic Signal Equipment FOR: <u>ONE HUNDRED TENTH THOUSAND</u> <u>ZERO</u> Dollars Cents	110,000	00	110,000	00
680.80325010	1 EA	Aluminum Microcomputer Cabinet Base FOR: <u>NINE HUNDRED FIFTY</u> <u>ZERO</u> Dollars Cents	950	00	950	00
680.810101	63 EA	Traffic Signal Module - 12 inch, Red Ball LED FOR: <u>ONE HUNDRED TWENTY-FIVE</u> <u>ZERO</u> Dollars Cents	125	00	7,875	00

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			DOLLARS	CENTS	DOLLARS	CENTS
680.810102	1 EA	Traffic Signal Module – 12 inch, Red Arrow LED FOR: <u>ONE HUNDRED TWENTY-FIVE</u> <u>2020</u> Dollars Cents	125	00	125	00
680.810103	63 EA	Traffic Signal Module – 12 inch, Yellow Ball LED FOR: <u>ONE HUNDRED TWENTY-FIVE</u> <u>2020</u> Dollars Cents	125	00	7,875	00
680.810104	7 EA	Traffic Signal Module – 12 inch, Yellow Arrow LED FOR: <u>ONE HUNDRED TWENTY-FIVE</u> <u>2020</u> Dollars Cents	125	00	875	00
680.810105	58 EA	Traffic Signal Module – 12 inch, Green Ball LED FOR: <u>ONE HUNDRED TWENTY-FIVE</u> <u>2020</u> Dollars Cents	125	00	7,250	00
680.810106	11 EA	Traffic Signal Module – 12 inch, Green Arrow LED FOR: <u>ONE HUNDRED TWENTY-FIVE</u> <u>2020</u> Dollars Cents	125	00	1,375	00
680.810107	203 EA	Traffic Signal Section – Type 1, 12 inch FOR: <u>TWO HUNDRED TWENTY</u> <u>2020</u> Dollars Cents	220	00	44,660	00
680.810301	29 EA	Traffic Signal Module – 8 inch, Red Ball LED FOR: <u>ONE HUNDRED FORTY</u> <u>2020</u> Dollars Cents	140	00	4,060	00

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ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT		
			DOLLARS	CENTS	DOLLARS	CENTS	
680.810303	31 EA	Traffic Signal Module – 8 inch, Yellow Ball LED FOR: <u>ONE HUNDRED FORTY</u> <u>2520</u> Dollars Cents	140	00	4,340	00	
680.810305	28 EA	Traffic Signal Module – 8 inch, Green Ball LED FOR: <u>ONE HUNDRED FORTY</u> <u>2520</u> Dollars Cents	140	00	3,920	00	
680.810307	88 EA	Traffic Signal Module – Type 1, 8 inch FOR: <u>TWO HUNDRED</u> <u>2000</u> Dollars Cents	200	00	17,600	00	
680.8111	33 EA	Traffic Signal Bracket Assembly – 1 Way FOR: <u>THREE HUNDRED THIRTY</u> <u>2000</u> Dollars Cents	330	00	10,890	00	
680.8112	8 EA	Traffic Signal Bracket Assembly – 2 Way FOR: <u>SEVEN HUNDRED</u> <u>2000</u> Dollars Cents	700	00	5,600	00	
680.8113	8 EA	Traffic Signal Bracket Assembly – 3 Way FOR: <u>ONE THOUSAND</u> <u>1000</u> Dollars Cents	1,000	00	8,000	00	
680.8114	5 EA	Traffic Signal Bracket Assembly – 4 Way FOR: <u>ONE THOUSAND TWO HUNDRED</u> <u>2520</u> Dollars Cents	1,200	00	6,000	00	

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			DOLLARS	CENTS	DOLLARS	CENTS
680.813108NA	46 EA	LED Pedestrian Signal with Countdown FOR: <u>NINE HUNDRED</u> <u>2520</u> Dollars Cents	900	00	41,400	00
680.81330010	46 EA	Audible Pedestrian Signal FOR: <u>NINE HUNDRED</u> <u>2520</u> Dollars Cents	900	00	41,400	00
680.8141	27 EA	Pedestrian Signal Bracket Mount Assembly FOR: <u>SIX HUNDRED</u> <u>2020</u> Dollars Cents	600	00	16,200	00
680.8142	19 EA	Pedestrian Signal Post Top Mount Assembly FOR: <u>SEVEN HUNDRED</u> <u>2020</u> Dollars Cents	700	00	13,300	00
680.81422010	9 EA	Auxiliary Pole and Foundation FOR: <u>THREE THOUSAND FIVE HUNDRED</u> <u>2520</u> Dollars Cents	3,500	00	31,500	00
680.8205	2 EA	Overhead Sign Assembly, Type E FOR: <u>NINE HUNDRED NINETY</u> <u>2520</u> Dollars Cents	990	00	1,980	00
680.82172510	2 EA	16.5 Foot Mast Arm Pole - 25 Foot Arm FOR: <u>TWELVE THOUSAND</u> <u>2520</u> Dollars Cents	12,000	00	24,000	00

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			DOLLARS	CENTS	DOLLARS	CENTS
680.82173010	7 EA	16.5 Foot Mast Arm Pole – 30 Foot Arm FOR: <u>THIRTEEN THOUSAND</u> <u>2020</u> Dollars Cents	13,000	00	91,000	00
680.82173510	11 EA	16.5 Foot Mast Arm Pole – 35 Foot Arm FOR: <u>FOURTEEN THOUSAND</u> <u>2020</u> Dollars Cents	14,000	00	154,000	00
680.82174010	3 EA	16.5 Foot Mast Arm Pole – 40 Foot Arm FOR: <u>FIFTEEN THOUSAND</u> <u>2020</u> Dollars Cents	15,000	00	45,000	00
680.8230	1 EA	Tell Tale Light FOR: <u>FIVE HUNDRED</u> <u>2020</u> Dollars Cents	500	00	500	00
680.95020815NA	790 LF	Service Cable 2 conductor Number 8 AWG FOR: <u>TWO</u> <u>TWENTY</u> Dollars Cents	2	20	1,738	00
683.030600NA	5 EA	Furn & Inst HD CCTV Dome IP Camera Assembly FOR: <u>EIGHT THOUSAND</u> <u>2020</u> Dollars Cents	8,000	00	40,000	00
683.05000202	4 EA	Radar Driver Feedback Sign (Pole Mounted) Solar Power Source FOR: <u>ELEVEN THOUSAND</u> <u>2020</u> Dollars Cents	11,000	00	44,000	00

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			DOLLARS	CENTS	DOLLARS	CENTS	
683.060100NA	2 EA	Furnish & Install HD CCTV Camera Control Cabinet FOR: <u>SIX THOUSAND 2520</u> Dollars Cents	6,000	00	12,000	00	
683.072012NA	2 EA	Furnish & Install 12 Port Rack Mount Fiber Patch Panel FOR: <u>EIGHT HUNDRED 2520</u> Dollars Cents	800	00	1,600	00	
683.0722048NA	2 EA	Furnish & Install 48 Port Rack Mount Fiber Patch Panel FOR: <u>ONE THOUSAND ONE HUNDRED 2520</u> Dollars Cents	1,100	00	2,200	00	
683.0722072NA	1 EA	Furnish & Install 72 Port Rack Mount Fiber Patch Panel FOR: <u>ONE THOUSAND FIVE HUNDRED 2520</u> Dollars Cents	1,500	00	1,500	00	
683.090100NA	11 EA	Furn & Inst 8 Port 10/100 E'net Switch with 10/100/1000 Port FOR: <u>TWO THOUSAND FOUR HUNDRED 2520</u> Dollars Cents	2,400	00	26,400	00	
683.090400NA	1 EA	Furn & Inst 24 Port 10/100 E'net Switch with 2 GB E'net Ports FOR: <u>TWELVE THOUSAND 2520</u> Dollars Cents	12,000	00	12,000	00	
683.090600NA	13 EA	Furn & Inst a 10/100 MBPS OPT Ethernet Conv Shelf Mount FOR: <u>ONE THOUSAND FIVE HUNDRED 2520</u> Dollars Cents	1,500	00	19,500	00	

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			DOLLARS	CENTS	DOLLARS	CENTS
683.090700NA	18 EA	Furn & Inst a 10/100 MBPS OPT Ethernet Conv Rack Mount FOR: <u>ONE THOUSAND SIX HUNDRED ZERO</u> Dollars Cents	1,600	00	28,800	00
683.100100NA	1 EA	Communications HUB Cabinet FOR: <u>FIFTEEN THOUSAND ZERO</u> Dollars Cents	15,000	00	15,000	00
683.115100NA	5 EA	Wi-Fi Travel Time Reader FOR: <u>NINE THOUSAND ZERO</u> Dollars Cents	9,000	00	45,000	00
683.921048NA	3,600 LF	Single Mode Fiber Optic Trunk Cables, 48 Fibers FOR: <u>TEN ZERO</u> Dollars Cents	10	00	36,000	00
683.921072NA	8,000 LF	Single Mode Fiber Optic Trunk Cables, 72 Fibers FOR: <u>TWENTY-TWO ZERO</u> Dollars Cents	22	00	176,000	00
683.921144NA	300 LF	Single Mode Fiber Optic Trunk Cables, 144 Fibers FOR: <u>FORTY ZERO</u> Dollars Cents	40	00	12,000	00
683.921500NA	690 LF	Fiber Optic Drop Cable FOR: <u>FIFTY-FIVE ZERO</u> Dollars Cents	55	00	37,950	00

NO TEXT ON THIS PAGE

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
 BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
 CONTRACT: H62900-01G

SCHEDULE OF PRICES

ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT	
			DOLLARS	CENTS	DOLLARS	CENTS
685.01	50,000 LF	White Epoxy Reflectorized Pavement Stripes (15 MILS) FOR: <u>ZERO</u> <u>NINETY</u> Dollars Cents	0	90	45,000	00
685.02	23,000 LF	Yellow Epoxy Reflectorized Pavement Stripes (15 MILS) FOR: <u>ZERO</u> <u>SEVENTY-FIVE</u> Dollars Cents	0	75	17,250	00
685.03	48 EA	White Epoxy Reflectorized Pavement Letters FOR: <u>ONE HUNDRED THIRTY</u> <u>ZERO</u> Dollars Cents	130	00	6,240	00
685.04	75 EA	White Epoxy Reflectorized Pavement Symbols FOR: <u>ONE HUNDRED EIGHTY</u> <u>ZERO</u> Dollars Cents	180	00	13,500	00
691.03000020	31,500 DC	Training Requirements FOR: <u>ONE</u> <u>ZERO</u> Dollars Cents	1	00	31,500	00
697.03	270,000 DC	Field Change Payment FOR: <u>ONE</u> <u>ZERO</u> Dollars Cents	1	00	270,000	00
699.040001	1 LS	Mobilization FOR: <u>TWO HUNDRED SIXTY THOUSAND</u> <u>ZERO</u> Dollars Cents	260,000	00	260,000	00

NO TEXT ON THIS PAGE

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
 BID SHEET FOR GRAND AVENUE COMPLETE STREETS CONSTRUCTION
 CONTRACT: H62900-01G

SCHEDULE OF PRICES						
ITEM NO.	ESTIMATED QUANTITIES	ITEMS WITH UNIT PRICES WRITTEN IN WORDS	UNIT BID PRICE		TOTAL AMOUNT	
			DOLLARS	CENTS	DOLLARS	CENTS
-	1 LS	Time and Material FOR: <u>TWENTY-FIVE THOUSAND</u> <u>ZERO</u> Dollars Cents	25,000	00	25,000	00

Total Bid in Numbers \$ 7,893,352.50

Total Amount in Words SEVEN MILLION EIGHT HUNDRED NINETY-THREE THOUSAND
THREE HUNDRED FIFTY-TWO DOLLARS AND FIFTY CENTS

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 16 + years
2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 16 + years

b. as a Subcontractor 16 + years

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
\$11,000,000 -	Roadwork	90%	NYCDDC

X SEE Attached Bid Project Reference sheets will provide complete list when considered lowest bidder.

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer

X SEE Attached Bid Project Ref. sheets

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? No
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

NO TEXT ON THIS PAGE

c. ever been declared a non-responsible bidder by any municipality or public agency? NO
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? NO
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? NO
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? NO
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? NO
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

71 00
72 00

NO TEXT ON THIS PAGE

- d. that has ever been barred from bidding municipal or public contracts? No
If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

11 11

11 11

NO TEXT ON THIS PAGE

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Joseph Kessel	President	25 years	Construction	All phases
Jeffrey Ray	P.M.	20 years	Construction	All phases
Rob Ditzio	Supervisor	25 years	Roadwork	Supervisor

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	--	------------------	------------------

& See Attached Equipment List

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

(use additional blank sheets if additional space is necessary)

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14. In what manner have you inspected this proposed work?
Explain in detail.

Went to site & walked site & reviewed all work.

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

Submittals, Survey & Layout, meetings, Construction.

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

X Unknown At this time, will provide if awarded.

X See one of our super resume attached

17. Insurance Carried by your firm:

Type	Company	Limits of Coverage	Term
Disability	WBSO Insurance Company		
X SEE CL attached Example for another project			

NO TEXT ON THIS PAGE

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

NO TEXT ON THIS PAGE

NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Joseph R. Rasmussen
Being duly sworn, deposes and says:
That he resides at ~~XX~~ street,
in the City of ~~XX~~ that he is the ~~XXXXXXXXXXXX~~ of
the corporation described in and which executed the foregoing
instrument; that he knows the seal of said corporation; that the seal
affixed to the said instrument is such corporate seal and was affixed
by order of the Board of Directors of said corporation; that he signed
his name thereto by like order; and that he has knowledge of the
several matters therein stated and they are in all respects true.
Subscribed and sworn to before me
this day of , 20 .

Jeffrey M. Ray

Notary Public - State of New York

No. 01RA6176772

Qualified in Suffolk County

My Commission Expires November 05, 2020

JS
Notary

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Being duly sworn, deposes and says:
That he is a member of
the firm described in and which executed the foregoing bid; that he
duly subscribed the name of the firm hereunto on behalf of the firm;
and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
this day of , 20 .

Notary

(Form of Affidavit where Bidder is a individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Being duly sworn, deposes and says:
That he is the person described in and who executed the foregoing bid
and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
this day of , 20 .

Notary

NO TEXT ON THIS PAGE

NOTICE OF AWARD

NO TEXT ON THIS PAGE

J Anthony Enterprises, Inc.

175 Engineers Road
Hauppauge, NY 11788
631-589-3244

The following are references for your review:

All Bonded Municipal Civil Construction Projects:

NYC Department of Design & Construction

Project/Location: Contract HWPR17Q - Borough of Queens, NY.
Project Type: Rehabilitation of Pedestrian Ramps at designated locations.
Contract Amount: \$11,283,900
Architect/Engineer: NYC DDC
Reference/Phone #: Norbu Tsering – 718-279-7166
Date Complete: In Progress

NYC Economic Development Corporation

Project/Location: Contract #: 54340002 – 94th/9th Ave. in Queens, NY.
Project Type: Atlantic Avenue Extension
Contract Amount: \$8,868,138.70
Architect/Engineer: Henningson, Durham & Richardson Architecture
Reference/Phone #: Lyle Mayer – 609-619-7599
Date Complete: In Progress

NYS Department of Transportation

Project/Location: Contract D262171 – Suffolk County, NY.
Project Type: General contractor for roadwork improvements on the Southern State Parkway – 22 miles of highway reconstruction. Installation of 150 catch basins, 1000CY concrete paving, heavy MPT, nighttime construction and restoration.
Contract Amount: \$4,750,000
Architect/Engineer: NYS DOT
Reference/Phone #: Ray Weixler – 631-952-6632
Date Complete: 2015

NYS Department of Transportation

Project/Location: Contract D262169 – Nassau/Suffolk County, NY.

Project Type: General contractor for repair, replace or construct curb ramps, sidewalks & bicycle facilities.

Contract Amount: \$2,450,000

Architect/Engineer: NYS DOT

Reference/Phone #: Scott Franzese – 516-513-1025

Date Complete: 2015

NYS Department of Transportation

Project/Location: Contract D262556 – Nassau/Suffolk County, NY.

Project Type: Sidewalk & curb ramp ADA requirements upgrades at various locations

Contract Amount: \$4,200,000

Architect/Engineer: NYS DOT

Reference/Phone #: William Brudi – 631-465-0772

Date Complete: 2017

NYS Department of Transportation

Project/Location: Contract D262725 – Suffolk County, NY.

Project Type: Safety Improvements on various state highways

Contract Amount: \$1,800,000

Architect/Engineer: NYS DOT

Reference/Phone #: Anthony Eichhorn – 631-396-0881

Date Complete: 2015

NYS Department of Transportation

Project/Location: Contract: D263565 – Suffolk County, NY.

Project Type: Reconstruction of handicap ramps at various locations

Contract Amount: \$905,100.00

Architect/Engineer: NYS DOT

Reference/Phone # - Gary Cerlini @ Intercounty Paving Assoc. – 516-827-6900

Date Complete: In Progress

NCDPW Hempstead Road Maintenance Facility PH. I

Project/Location: Contract: B906622-01GR – Westbury, NY.

Project Type: Redevelopment of the Hempstead Road Maintenance Facility

Contract Amount: \$2,540,000.00

Architect/Engineer: BBS Architects

Reference/Phone #: Joe Amerigo - 516 571 6804

Date Complete: 2014

Elmont Streetscape

Project/Location: Elmont Streetscape – Town of Hempstead, NY.

Project Type: Streetscape Beautification – Belmont Blvd. to Westgate

Contract Amount: \$452,735

Architect/Engineer: Lockwood, Kessler & Bartlett, Inc.

Reference/Phone #: Joe Marciano - 516-538-7100

Date Complete: 2017

Prospect Avenue:

Project/Location: PW #34-16 – Town of Hempstead

Project Type: Prospect Avenue Road Improvement

Contract Amount: \$757,100.10

Architect/Engineer: Town of Hempstead

Reference/Phone #: Brian Maddock – 631-348-7600

Date Complete: 2017

Town of Babylon

Project/Location: Complete Reconstruction of Tanner Park – Babylon, NY.

Project Type: Complete reconstruction of Tanner Park, new marina, vinyl sheet bulkhead and complete marina reconstruction. New site lighting, ramps, rip rap, new installation of decking and 2500 tons of asphalt paving.

Contract Amount: \$3,150,000

Architect/Engineer: Savik & Murray

Owner/Reference/Phone #: Bruce Savik & Murray – 631-467-7775

Date Complete: 2016

J Anthony Enterprises -- Inland Marine Scheduled Equipment -- 8/26/2019

Year, Make, Model	Serial No.	Description	Value/New vs Used
1. 2008 Case	N8C509240	Backhoe Loader	\$45,000 used
2. 2007 Bobcat T300	532016344	Skid Steer Loader	\$25,000 used
3. 2007 Komatsu	TBD	Wheel Loader	\$85,000 used
4. 2009 Caterpillar	RLN00288	Backhoe Loader	\$60,000 used
5. 2009 Kaeser 5466, 210	13016275366	Compressor WKAQN	\$15,000 used
6. 2009 Doosan DX210W	DHKCEWAES90005578	Excavator	\$75,000 new
7. Bobcat S750	A3P214595	Skid Steer	\$25,000 new
8. 2010 Airman 185	B4-6C12463	Compressor	\$10,000 used
9. 2013 Komatsu 10097	WA380-7	Wheel Loader	\$120,000 new
10. 2013 Case 590	NDCS591136	Backhoe Loader	\$55,000 new
11. 2013 Case 590SN	NDCS591139	Backhoe Loader	\$55,000 new
12. 2013 Komatsu 90212	D39PX-23	Crawler Dozer	\$100,000 used
13. 2013 Cat. RMX00463	328DLCR	Hydraulic Excavator	\$200,000 used
14. 2015 Vermac Basic Unit	2S9US4123ES132881	Message Board Basic	\$10,000 new
15. 2015 Vermac Basic Unit	2S9US412ES1321882	Message Board Basic Unit	\$10,000 new
16. 2015 Bobcat T770	AN8t14596	Bobcat	\$50,000 new
17. 2012 Vermac PCMS	2s9us4127cs132508	Solar Panel Msg Board	\$10,000 used
18. 2013 Vermac PCMS	2s9us4127ds1328	Solar Panel Msg Board	\$10,000 used
19. 2015 Vermac	2S9uS2116DS132853	Arrow Board	\$3,000 used
20. Komatsu 7948	PC88MR-10	Hydraulic Excavator	\$100,000 new
21. 2017 Wacker DW50	WNCD0202LPAL00489	Site Dumper	\$50,000
22. 2018 Bobcat 24IN Planer	AJN704578	Bobcat	\$20,000
23. 2018 John Deere 710	1T0710LXJF328716	Backhoe- Loader	\$202,041 new
24. 2019 Doosan Air Compre	490130JACF89	Air Compressor	\$24,766.50 new
25. 2018 Komatsu 5607	PC238US2C-1	Mid-sized Excavator	\$235,000 used
26. 2019 Bobcat	AT5A13370 S770	Loader	\$73,238.78 new
27. 2019 Caterpillar ODC900236 440-07A IT		Backhoe Loader	\$179,000 new
28. Case Roller SV212	SN NCNTC3329	Roller	\$50,000
29. Atlas Hammer	BES095266	Hydraulic	\$15,000
30. Atlas Hammer	SB552SN00580	Hydraulic	\$15,000
31. Breaker	BC14B980	Breaker	\$5,000

Coverages

COVERAGE	LIMIT	DEDUCTIBLE	DED TYPE
TV Contractor's Equipment	\$ 1,934,806.91	1000	Flat
Leased/Rented Agg.	\$ 250,000	1000	Flat
Employee Tools "Any One"	\$ 2,500	1000	Flat
Employee Tools "Any Occ."	\$ 25,000	1000	Flat
Blanket Installation Floater	\$ 250,000	2500	Flat

Resume

Robert A. Ditizio

XXXXXXXXXXXXXXXXXXXX
XXXXXXXXXXXXXXXXXXXX

XXXXXXXXXXXXXXXXXXXX

XXXXXXXXXXXXXXXXXXXX
XXXXXXXXXXXXXXXXXXXX

XXXXXXXXXXXXXXXXXXXX
XXXXXXXXXXXXXXXXXXXX

Experience:

2012-2017: Canal Asphalt / Petrillo Contracting.

Mt. Vernon, NY

General Superintendent/ Estimator

- Various NYCDDC jobs including, Water mains, Sewers, Sidewalks, Milling, Paving.

2010-2015: JREM Construction Corporation

Syosset, NY

OWNER/ President

- Completed Project for NYCDDC replacing Hydrant Fenders and
sidewalks all five boroughs — HYDREST02.

- Completed Sewer Extension Metropolitan Ave., Queens NYCDDC— Seq200521

- Completed Sidewalks around Kings County Hospital for NYCHHC.

1995-2011: Demicco Brothers, Inc.

Bronx, NY

General Superintendent/ Estimator

**Supervise and coordinate all highway and heavy
Construction Projects,**

From bid to completion in NYC area.

-Reconstruction of Ely Avenue, Bronx HWX741, NYCDDC 20 Million.

-Reconstruction of Paulding Avenue, Bronx HWX647

NYCDDC 40 Million. Project involved sewer replacement, water mains

Concrete curbs sidewalk and new concrete roadway and asphalt pavement.

- Reconstruction of Flushing and Nassau Avenue, Brooklyn HWK476
NYCDDC 41 Million. Project involves water main (trunk and distribution)
Sewer replacement, catch basins and full roadway reconstruction.
Utility relocation for Con-Edison, Time Warner cable and Verizon.
- Casting replacement various locations, Brooklyn.
NYCDDC 5 Million. Project involved replacing of 500 sewer manhole
Castings by coring, setting frames and replacing with high early concrete.
- Reconstruction of E149th Street-Bronx HWK733W NYCDDC 21 Million.
Project involved replacement of sewer, water main, catch-basins, and full
Roadway reconstruction. *Project was fast-tracked using up to eight crews
And subs and completed in under eighteen months and received full early
Completion bonus of One million dollars.*
- Emergency Sewer contract Bronx various locations NYCDEP.
- Reconstruction of Sutphin Blvd. HWQ600D2 Queens NYCDDC 5 Million.
- Bronx water main replacement various locations Bronx HED550 NYCDEP.
- Reconstruction of Liberty Ave. Brooklyn HWK557R NYCDDC.
- Carpenter Ave. Bronx, sub to ADC construction for deep sewers.
- Reconstruction of South Ozone Park Queens.
- Citywide Milling Bronx/ Queens NYCDDC completed two milling
Projects total of 160,000 CY milled.
- Meridian Road construction (Arthur Ash Stadium) Queens NYCEDC.
- Construction Ulmer St.-Queens NYCEDC.

**1989-1995: New York Paving
L.I.C. New York
Project Superintendent
Supervise and coordinate paving, highway and heavy
Construction projects.**

- Citywide Milling HW2CR94.
- Various Resurfacing Projects- All boroughs that included, milling and paving.
- Sewer and water main replacement Broad Channel —Queens (joint venture with
Techno(Carp) Construction).
- Reconstruction of Fulton St. Brooklyn.
- Paving Runways Newark Intl. Airport and JFK.

1988-1989: **Liro Group Construction Management**
Syosset, NY
Civil Engineer

1986-1989: **Berger Lehman associates, P.C.**
Plainview, NY
Civil Engineer

Education: Civil Engineering
New York Institute of Technology
Old Westbury, NY

Certifications:

- *OSHA 10 hour site safety
- *OSHA 30 hour safety
- *NYCDDC site safety and competent person approved.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

01/08/2020

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER JSM Brokerage Inc. 12-23A 150th St. Whitestone NY 11357		CONTACT NAME: Louis Blangiardo PHONE (A/C, No. Ext): (718) 767-9226 FAX (A/C, No.): (718) 746-6427 E-MAIL ADDRESS: john@jsmbrokerage.com													
INSURED J. Anthony Enterprises Inc. 175 Engineers Rd Hauppauge NY 11788		INSURER(S) AFFORDING COVERAGE <table border="1"><tr><td>INSURER A: ALLIED WORLD INSURANCE COMPANY</td><td>NAIC # 22730</td></tr><tr><td>INSURER B: ALLIED WORLD SPECIALTY INSURANCE CO.</td><td>16624</td></tr><tr><td>INSURER C: CONTINENTAL INS CO</td><td>35289</td></tr><tr><td>INSURER D: PHILADELPHIA INDEMNITY INSURANCE CO.</td><td>18058</td></tr><tr><td>INSURER E: ASPEN AMERICAN INSURANCE COMPANY</td><td>43460</td></tr><tr><td>INSURER F:</td><td></td></tr></table>		INSURER A: ALLIED WORLD INSURANCE COMPANY	NAIC # 22730	INSURER B: ALLIED WORLD SPECIALTY INSURANCE CO.	16624	INSURER C: CONTINENTAL INS CO	35289	INSURER D: PHILADELPHIA INDEMNITY INSURANCE CO.	18058	INSURER E: ASPEN AMERICAN INSURANCE COMPANY	43460	INSURER F:	
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INSURER E: ASPEN AMERICAN INSURANCE COMPANY	43460														
INSURER F:															

COVERAGES**CERTIFICATE NUMBER:****REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY			6004-0037	09/09/2019	09/09/2020	EACH OCCURRENCE \$ 1,000,000
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR						DAMAGE TO RENTED PREMISES (Ea. occurrence) \$ 300,000
	<input checked="" type="checkbox"/> CONTRACTUAL						MED EXP (Any one person) \$ 10,000
	<input checked="" type="checkbox"/> PRIMARY + NON-CONTRIBUTC	X	X				PERSONAL & ADV INJURY \$ 1,000,000
GEN'L AGGREGATE LIMIT APPLIES PER:							GENERAL AGGREGATE \$ 2,000,000
<input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PROJECT <input type="checkbox"/> LOC							PRODUCTS - COMP/OP AGG \$ 2,000,000
OTHER:							\$
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY			6000-0697	09/09/2019	09/09/2020	COMBINED SINGLE LIMIT (Ea. accident) \$ 1,000,000
	<input checked="" type="checkbox"/> ANY AUTO						BODILY INJURY (Per person) \$
	<input type="checkbox"/> OWNED AUTOS ONLY <input checked="" type="checkbox"/> SCHEDULED AUTOS	X	X				BODILY INJURY (Per accident) \$
	<input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY						PROPERTY DAMAGE (Per accident) \$
C	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR			CUE 6043425117	09/09/2018	09/09/2020	EACH OCCURRENCE \$ 5,000,000
	<input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE	X	X				AGGREGATE \$ 5,000,000
	<input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ 10,000						\$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY						PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/>
ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in N.Y.)		Y/N	N/A				E.L. EACH ACCIDENT \$
If yes, describe under DESCRIPTION OF OPERATIONS below							E.L. DISEASE - EA EMPLOYEE \$
D	EXCESS LIABILITY			PHUB645912	09/09/2019	09/09/2020	EACH OCCURRENCE \$5,000,000
	OCCURRENCE FORM	X	X				AGGREGATE \$5,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
(SEE ADDITIONAL REMARKS)

CERTIFICATE HOLDER**CANCELLATION**

New York City Department of Design and Construction

Project ID: HWP2019S

30-30 Thomson Avenue, 4th Floor

Long Island City

NY 11101

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

AGENCY CUSTOMER ID: _____

LOC #: _____

**ADDITIONAL REMARKS SCHEDULE**

Page ____ of ____

AGENCY JSM Brokerage Inc.		NAMED INSURED J. Anthony Enterprises Inc.
POLICY NUMBER		
CARRIER	NAIC CODE	EFFECTIVE DATE:

ADDITIONAL REMARKS

THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM,
FORM NUMBER: 25 FORM TITLE: Certificate of Liability Insurance

Re: HWP2019S, REHABILITATION OF PEDESTRIAN RAMPS AT DESIGNATED LOCATIONS
City-Wide, City of NY
PIN#: 8502019HW0001C

CERTIFICATE IS SUBJECT TO TERMS, CONDITIONS & EXCLUSIONS OF THE POLICY. CERTIFICATE REQUIRES ADDITIONAL INSURED TO HAVE A VALID WRITTEN CONTRACT IN PLACE AS DEFINED BY THE POLICY.
INCLUDED AS ADDITIONAL INSURED WITH RESPECTS TO THE GL, EXCESS, AND COMMERCIAL AUTO AND PROVIDES ADDITIONAL INSURED STATUS AS PER ISO FORM CG 2010 & 2037, INCLUDING ONGOING AND COMPLETED OPERATIONS:

New York City Department of Design and Construction;
The City of New York, including its officials and employees;
The Office of the New York City Comptroller;
Consolidated Edison;
Any Consultant, Inspecting Engineer, or Inspector (and their agents) working for or on the project.

WHERE REQUIRED PER WRITTEN CONTRACT, COVERAGE IS PROVIDED ON A PRIMARY AND NON-CONTRIBUTORY BASIS. AND WAIVER OF SUBROGATION IS TO APPLY.

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Joseph Kutsch - President

Name and Title of Authorized Representative

12/18/20

m/d/yy

[Signature]
Signature

12/18/20

Date

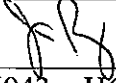
J. Anthony Enterprises Inc.
Name of Organization

175 Engineers Rd., Hauppauge, N.Y. 11787
Address of Organization

83-01000-0 JJP FORM 4061/1 (REV. 2/89) Previous editions are obsolete

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
CONSULTANT/ CONTRACTOR DETAILED DBE UTILIZATION PLAN

Part 1- General Information:

Consultant/Contractor Name: J. Anthony Enterprises Inc.
Address (street/city/state/zip code): 175 Engineers Road, Hauppauge, N.Y. 11788
Authorized Representative (name/title): Jeffrey Ray – Project Manager
Authorized Signature: 
Contract Number: LD036043 – H62900-01G
Contract/Project Name: Grand Ave. Improvements
Contract/Project Description: Roadwork Improvements to Grand Ave. Baldwin, N.Y.

Part 2- Projected DBE Contract Summary:

	Amount (\$)		Percentage (%)
Total Dollar Value of the Prime Contract	\$ 7,893,352.50		9%
Total DBE Dollar Amount	\$ 726,198.75	DBE Contract Percentage	9.2%
Total Combined DBE Dollar Amount	\$ 726,198.75	Combined DBE Contract Percentage	9.2%

Part 3- DBE Information (use additional blank sheets as necessary):

DBE Firm	Description of Work (DBE)	Projected DBE Contract Amount (\$) and Award Date	DBE Contract Scheduled Start Date and Completion Date
Name: Bohemia Garden Center, Inc. Address: 608 Johnson Ave. – Suite 1 City: Bohemia State/Zip Code: N.Y. 11716 Authorized Representative: Donna DeFede Telephone No. (631) 580-3140	Landscaping	Amount (\$): 20,450.00 Award Date: 	Start Date: Completion Date:
Name: Contractors Layout, Inc. Address: 829-4 Lincoln Ave. City: Bohemia State/Zip Code: N.Y. 11716 Authorized Representative: Darcy Telephone No. (631) 218-0334	Surveyor	Amount (\$): 75,000.00 Award Date: 	Start Date: Completion Date:
Name: Double Nickel Contracting, Inc. Address: 3 Terrell Lane City: Hicksville State/Zip Code: N.Y. 11801 Authorized Representative: Mary Bremen Telephone No. (516) 233-7973	Trucking / Disposal	Amount (\$): 558,900.00 Award Date: 	Start Date: Completion Date:

Part 4- DBE Information (use additional blank sheets as necessary):

DBE Firm	Description of Work (DBE)	Projected DBE Contract Amount (\$) and Award Date	DBE Contract Scheduled Start Date and Completion Date
Name: Island Pavement Cutting Co. Inc. Address: 84 Kean Street City: West Babylon State/Zip Code: N.Y. 11704 Authorized Representative: Marissa O'Neil Telephone No. (631) 567-2711	Saw Cutting	Amount (\$): 48,618.75 Award Date: 	Start Date: Completion Date:
Name: Ponderosa Fence Enterprises, Inc. Address: 110 Stewart Ave. City: Hicksville State/Zip Code: N.Y. 11801 Authorized Representative: Bob Ginette Telephone No. (516) 433-9471	Signs	Amount (\$): 23,230.00 Award Date: 	Start Date: Completion Date:
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date: 	Start Date: Completion Date: