



Certified:

B-26-21

Filed with the Clerk of the
Nassau County Legislature
July 1, 2021 12:39PM

NIFS ID: Department: Public Works

Capital: X

SERVICE: Glen Cove WWTP Preliminary Treatment S35114-13G-B26-21

Contract ID #:S35114-13G

NIFS Entry Date:

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	Y
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Material Adverse Information Identified? (if yes, attach memo):	N
5) Insurance Required	Y

Vendor Info:	
Name: R.J. Industries, Inc.	Vendor ID#: [REDACTED]
Address: 75 East Bethpage Rd. PO Box 349 Plainview, NY 11803	Contact Person: [REDACTED] [REDACTED]
	Phone: [REDACTED]

Department:
Contact Name: Vincent Falkowski
Address: NCDPW 3340 Merrick Road Building R, 3rd Floor Wantagh, NY 11793 Phone: 516-571-7515

Routing Slip

Department	NIFS Entry: X	23-JUN-21 -- LDIONISIO
Department	NIFS Approval: X	23-JUN-21 -- RD'ALLEVA
DPW	Capital Fund Approved: X	23-JUN-21 -- RDALLEVA
OMB	NIFA Approval: X	24-JUN-21 -- CNOLAN
OMB	NIFS Approval: X	24-JUN-21 -- NGUMIENIAK
County Atty.	Insurance Verification: X	24-JUN-21 -- AAMATO

County Atty.	Approval to Form: X	24-JUN-21 -- NSARANDIS
CPO	Approval: X	29-JUN-21 -- RCLEARY
DCEC	Approval: X	29-JUN-21 -- RCLEARY
Dep. CE	Approval: X	29-JUN-21 -- BSCHNEIDER
Leg. Affairs	Approval/Review: X	01-JUL-21 -- JSCHANTZ
Legislature	Approval:	
Comptroller	Deputy:	
NIFA	NIFA Approval:	

Contract Summary

Purpose: This is a contract with RJ Industries for the replacement of worn and obsolete equipment with new more efficient equipment that will improve the reliability of this critical infrastructure and reduce operating and maintenance costs at the Glen Cove Waste Water Treatment Plant.
Method of Procurement: Request for sealed bids
Procurement History: Request for sealed bids - Eight (8) bids were received. R.J Industries was the lowest responsible bidder.
Description of General Provisions: This construction contract provides for complete replacement of the mechanical bar screens, screw conveyors, influent pumps, grit removal, motors, and ancillary piping and controls, which are critical components of the Glen Cove WWTP. The replacement with new equipment will improve the reliability of the facility and reduce operating and maintenance costs
Impact on Funding / Price Analysis: The maximum amount of this contract is \$6,247,700.00. Capital Project No. 35114. MWBE utilization rate is 28.78%.
Change in Contract from Prior Procurement: None.
Recommendation: (approve as submitted) Recommend approval.

Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	CSW	Revenue		1	PWCSWCSW/3511	\$ 6,247,700.00
Control:	50	Contract:			4/00004/013	
Resp:	CSW	County	\$ 0.00			\$ 0.00
Object:	00004	Federal	\$ 0.00			\$ 0.00
Transaction:	CH	State	\$ 0.00			\$ 0.00
Project #:	35114	Capital	\$ 6,247,700.00			\$ 0.00
Detail:	013	Other	\$ 0.00			\$ 0.00
RENEWAL		TOTAL	\$ 6,247,700.00		TOTAL	\$ 6,247,700.00
% Increase						
% Decrease						

B26-21

RULES RESOLUTION NO. -2021

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND R.J. INDUSTRIES, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS [“Department”] has received competitive bids for contract S35114-13G, GLEN GOVE WASTEWATER TREATMENT PLANT – PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS [“Contract”], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of R.J. INDUSTRIES, INC.

[“Vendor”] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 6,247,700 now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature,
based on the representations of the Department and the recommendation of the
Commissioner of the Department, authorizes the County Executive to award and execute
the said contract with the vendor.



Nassau County Interim Finance Authority

Contract Approval Request Form (As of January 1, 2015)

1. **Vendor:** R.J. Industries, Inc.

2. **Dollar amount requiring NIFA approval:** \$6247700

Amount to be encumbered: \$6247700

This is a New

If new contract - \$ amount should be full amount of contract

If advisement ?NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. **Contract Term: The term will commence with written NTP from the Department, and conclude 577 consecutive calendar days thereafter.**

Has work or services on this contract commenced? N _____

If yes, please explain:

4. **Funding Source:**

General Fund (GEN)	Grant Fund (GRT)	
X Capital Improvement Fund (CAP)		Federal % 0
Other		State % 0
		County % 0

Is the cash available for the full amount of the contract? N

If not, will it require a future borrowing? Y

Has the County Legislature approved the borrowing? Y

Has NIFA approved the borrowing for this contract? N

5. **Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:**

Replacing the worn and obsolete equipment with new more efficient equipment will improve reliability of this critical infrastructure and reduce operating and maintenance costs.

6. **Has the item requested herein followed all proper procedures and thereby approved by the:**

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. **Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:**

Contract ID	Date	Amount

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

24-JUN-21

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

BID BOND

FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to **USE THIS FORM PROVIDED** as the use of **ANY OTHER FORM** may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned R.J. Industries, Inc., 75 East Bethpage Road, P.O. Box 349, Plainview, NY 11803

as Principal; and Liberty Mutual Insurance Company, 175 Berkeley Street, Boston, MA 02116 as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

Ten Percent of Proposal Price _____ dollars (\$ 10% of P.P.) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 20th day of January, 2021

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under Contract No. S35114-13G - General Construction for the

Glen Cove Wastewater Treatment Plant Preliminary Treatment System Improvements. Capital Project 35114

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

NO TEXT ON THIS PAGE

Provided, however, that this bond is subject to the following additional conditions and limitations.

a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.

b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

NO TEXT ON THIS PAGE

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

R.J. Industries, Inc.

Contractor

by William J. Doremus (L.S.) (Corporate seal of Contractor
Title if a corporation)
Secretary - Treasurer

by _____ (L.S.) Title

by _____ (L.S.) Title

Liberty Mutual Insurance Company

by [Signature] (L.S.) Surety
Title of Officer Dana Granice, Attorney-In-Fact

Witness: Christina Paga (L.S.) (Corporate seal of Surety)
~~XXXXXXXXXXXXXXXXXXXX~~

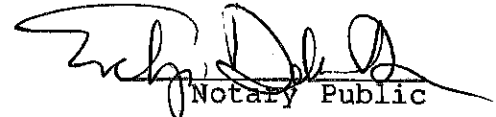
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(Acknowledgment by Contractor if a corporation)

STATE OF New York)

ss.:
COUNTY OF Nassau)

On this 2nd day of February, 2021, before me personally came William J. Doremus to me known, who, being by me duly sworn, did depose and say for himself, that he resides in Suffolk County, NY that he is the Sec-Treas of the R.J. Industries, Inc. the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.


Notary Public

(Acknowledgment by Contractor if a partnership)

STATE OF _____)

ss.:
COUNTY OF _____)

EVELYN DELLARATTA
Notary Public, State of New York
No. 01DE4854133
Qualified in Nassau County
My Commission expires on May 27, 2022

On this _____ day of _____, 20____, before me personally came _____ to me known and known to me to be a member of the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF _____)

ss.:
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

Notary Public

NO TEXT ON THIS PAGE

(Acknowledgment by Surety Company)

STATE OF New York)

ss.:

COUNTY OF Nassau)

On this 20th day of January, 20 21, before me personally came Dana Granice to me Known, who being by me duly sworn, did depose and say that he resides in Suffolk County, New York

that he is the Attorney-In-Fact of the Liberty Mutual Insurance Company, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said Melissa Saracino further said that he is acquainted with Dana Granice and knows him to be the Attorney-In-Fact of said company; that the signature of the said Dana Granice subscribed to the within instrument is in the genuine handwriting of the said Dana Granice and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Melissa Saracino

Melissa Saracino
Notary Public

MELISSA SARACINO
Notary Public-State of New York
No. 01SA6155895
Qualified in Nassau County
Commission Expires November 20, 2022

NO TEXT ON THIS PAGE

POWER OF ATTORNEY

ATTENTION: Insert the "Power of Attorney" after this page in with your bid submission.



This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company
West American Insurance Company

Certificate No: **8200782-969603**

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Colette R. Chisholm; Dana Granice; Susan Lupski; Robert T. Pearson; Katherine Acosta; Thomas Bean; George O. Brewster; Desiree Cardlin; Lee Ferrucci; Peter F. Jones; Rita Losquadro; Gerard S. Macholz; Camille Maitland; Nelly Renchiwich; Vincent A. Walsh; Michelle Wannamaker

all of the city of Uniondale state of NY each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 26th day of March, 2019.



Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company
West American Insurance Company

By:

David M. Carey
David M. Carey, Assistant Secretary

State of PENNSYLVANIA ss
County of MONTGOMERY

On this 26th day of March, 2019, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Teresa Pastella, Notary Public
Upper Merion Twp., Montgomery County
My Commission Expires March 28, 2021
Member, Pennsylvania Association of Notaries

By:

Teresa Pastella
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS: Section 12. Power of Attorney.

Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts: Section 5. Surety Bonds and Undertakings.

Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 20th day of January, 2021.



By:

Renee C. Llewellyn
Renee C. Llewellyn, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.

FINANCIAL STATEMENT

ATTENTION: Insert the "Financial Statement" after this page in with your bid submission.



LIBERTY MUTUAL INSURANCE COMPANY
FINANCIAL STATEMENT — DECEMBER 31, 2019

Assets		Liabilities	
Cash and Bank Deposits	\$778,754,989	Unearned Premiums	\$8,007,146,482
*Bonds — U.S Government	2,780,808,610	Reserve for Claims and Claims Expense	21,532,853,787
*Other Bonds	12,645,608,792	Funds Held Under Reinsurance Treaties	507,868,920
*Stocks	16,385,435,431	Reserve for Dividends to Policyholders	1,143,826
Real Estate	235,608,378	Additional Statutory Reserve	125,722,000
Agents' Balances or Uncollected Premiums	6,217,983,641	Reserve for Commissions, Taxes and	
Accrued Interest and Rents	102,273,390	Other Liabilities	4,117,460,075
Other Admitted Assets	11,957,106,292	Total	\$34,292,195,090
Total Admitted Assets	<u>\$51,103,579,523</u>	Special Surplus Funds	\$32,768,443
		Capital Stock	10,000,075
		Paid in Surplus	10,044,978,933
		Unassigned Surplus	6,723,636,983
		Surplus to Policyholders	16,811,384,434
		Total Liabilities and Surplus	<u>\$51,103,579,524</u>



* Bonds are stated at amortized or investment value; Stocks at Association Market Values.
The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2019, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 27th day of March, 2020.

T. Mikolajewski

Assistant Secretary

CERTIFICATE OF SOLVENCY

ATTENTION: Insert the "Certificate of Solvency" after this page in with your bid submission.

**STATE OF NEW YORK
DEPARTMENT OF FINANCIAL SERVICES**

**CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK INSURANCE
LAW**

It is hereby certified that

Liberty Mutual Insurance Company
of Boston, Massachusetts

a corporation organized under the laws of New York and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$16,811,384,434. (Capital \$10,000,000), as is shown by its sworn financial statement for the quarter ending, December 31, 2019, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have here-
unto set my hand and affixed the
official seal of this Department
at the City of Albany, this 2nd
day of April 2020.

Linda A. Lacewell
Superintendent

Ellen Buxbaum
Special Deputy Superintendent

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

**GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS**

NASSAU COUNTY, NEW YORK

Contract No. S35114-13G – General Construction

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: R. J. Industries, Inc.

Bidder: a sub S-corp

(Individual, Firm or Corporation, as case may be)

Bidder's Business Address: 75 East Bethpage Road, Plainview, NY 11803

Telephone: 516-845-9772 Date of Bid: Feb. 2, 2021

Fax: 516-454-1759 E-mail: bid@rji.net

(If Bidder is an Individual, fill in the following blanks:)

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

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PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

Name of Individual: _____

Residence of Individual: _____

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: _____

Name and Residence of Partner: _____

Name and Residence of Partner: _____

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: New York

Name and Residence of President: Richard O. Felicette

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

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PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

Name and Residence of Vice-President: John C. Doremus

Vice Pres.
Name and Residence of Secretary: John E. Kozlitz

Secretary
Name and Residence of Treasurer: William J. Doremus

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

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PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

GENERAL CONSTRUCTION

THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of labor, equipment, other facilities needed for the performance of the Work, the general and local conditions, and all other items which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach the contractor shall be required to have such a license.

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

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PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

I. - REJECTION OF BIDS

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.
7. Bids may be rejected if the County determines that the contractor's experience and qualifications statement does not show adequate experience with projects of similar size, scope and complexity, particularly concerning MOPO work and complex phasing and sequencing of equipment on and off line during construction.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. - Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. - Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

IV. - Apprenticeship Training

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

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PLUMBERS LOCAL UNION No. 200

DANNY GRODOTZKE
BUSINESS MANAGER

OF THE
UNITED ASSOCIATION OF JOURNEYMEN AND APPRENTICES OF THE
PLUMBING AND PIPE FITTING INDUSTRY OF THE UNITED STATES AND CANADA

RICHARD P. BROOKS
FIN. SEC./TREASURER

MARIO MATTERA
BUSINESS AGENT

2123 5TH AVENUE, RONKONKOMA, N.Y. 11779

(631) 981-2158

FAX (631) 981-1658

JAY MARELLI
PRESIDENT

PAUL MASON
VICE PRESIDENT

EDWARD YOUNG
REC. SECRETARY

January 21st, 2021

RE: Glen Cove WWTP Improvements
Contract # S35114-13G

Please be advised that Plumbers Local Union # 200 has Apprenticeship Training Program, (Sponsor Code 00337, ATP Code 13180) that is approved by the New York State Department of Labor and the Federal Department of Labor.

RJ Industries Inc., is signatory to an agreement with Plumbers Local Union # 200 and contributes, subscribes, and actively participates in apprenticeship training program and is a contractor in good standing.

Being signatory to our agreements, RJ Industries Inc., may, at their discretion, employ apprentices that are indentured in our program.

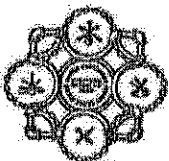
If you have any questions about our program or RJ Industries Inc., please contact me at the Union office.

Sincerely,



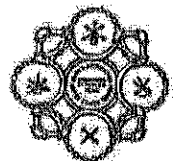
Richard P. Brooks
Financial Secty/Treasurer

RPB/ds



The Plumber Protects the Health of the Nation

© 1995 1054



PROPOSAL (ADDENDUM NO. 4)

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

REVISED VIA ADDENDUM #4

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

TO BE COMPLETED BY CONTRACTORS SUBMITTING A BID ON S35114-13G – GENERAL CONSTRUCTION						
ITEM NO.	APPROX. QUANTITIES	ITEMS BID WITH PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1.	Lump Sum	Base Bid for furnishing all Labor, Materials and Equipment required for all Construction work ready for operation. <u>Six million one hundred sixty nine thousand two hundred</u> DOLLARS <u>N0</u> CENTS	N/A	N/A	6,169,200.	00
2.	500 LINEAR FEET	For concrete crack repair (Section 03930) <u>Forty One</u> DOLLARS <u>N0</u> CENTS	41	00	20,500	00
3.	500 SQUARE FEET	For concrete spall repair (Section 03930) <u>Thirty Six</u> DOLLARS <u>N0</u> CENTS	36	00	18,000	00
4.	Allowance	Lump sum for purchase and delivery of the grit shaftless screw conveyor replacement parts including liners, wear bars, bearings and spirals to the job site location at 100 Morris Avenue, Glen Cove, New York, as specified in Section 11320 – Grit Handling Equipment including Contractor mark-up as specified in Article XXII, "Extra Work." <u>Forty thousand</u> DOLLARS <u>Zero</u> CENTS	N/A	N/A	\$40,000	00

NCDP 1701

P-11

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

TO BE COMPLETED BY CONTRACTORS SUBMITTING A BID ON							
S35114-13G – GENERAL CONSTRUCTION							
ITEM NO.	APPROX. QUANTITIES	ITEMS BID WITH PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE		
			DOLLARS	CENTS	DOLLARS	CENTS	
1.	Lump Sum	Base Bid for furnishing all Labor, Materials and Equipment required for all Construction work ready for operation. DOLLARS _____ CENTS _____	N/A	N/A			
2.	500 LINEAR FEET	For concrete crack repair (Section 03930) DOLLARS _____ CENTS _____					
3.	500 SQUARE FEET	For concrete spall repair (Section 03930) DOLLARS _____ CENTS _____					
4.	Allowance	Lump sum for Rehabilitation of the existing grit conveyor, including the removal and disposal of the existing liners and spirals and to furnish and install replacement liners and spirals, as specified in Section 11320 – Grit Handling Equipment. <u>Seventy thousand</u> _____ <u>Zero</u> _____ DOLLARS _____ CENTS _____	N/A	N/A	\$70,000	00	

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

TOTAL BASE BID (Bid Item Nos. 1 thru 4): MUST BE WRITTEN IN FIGURES:

6,247,700.⁰⁰

DOLLARS

CENTS

TOTAL BASE BID (Bid Item Nos. 1 thru 4): MUST BE WRITTEN IN WORDS:

Six million two hundred forty seven thousand seven hundred No

DOLLARS

CENTS

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

++ NO TEXT ON THIS PAGE ++

PROPOSAL (ADDENDUM NO. 4)

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

ALLOWANCES

It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

- Item No. 4: An allowance of forty thousand dollars (\$40,000.00) for the purchase and delivery of the grit shaftless screw conveyor replacement parts including liners, wear bars, bearings and spirals to the job site location at 100 Morris Avenue, Glen Cove, New York, as specified in Section 11320 – Grit Handling Equipment plus overhead and profit, computed in accordance with the requirements of the Agreement, Article XXII, "Extra Work."

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and Section 01500, Temporary Facilities and Controls.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID

Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances, minus the Deduct Alternate(s), taken in order, or none of them, whichever amount(s) shall be judged by the Commissioner of Public Works to be in the best interest of the County.

MAJOR EQUIPMENT ITEMS

The Bidder shall fill the name and address of the one proposed manufacturer for each major equipment item tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

ALLOWANCES

It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

- Item No. 4: An allowance of seventy thousand dollars (\$70,000.00) for rehabilitation of the existing grit conveyor, including the removal and disposal of the existing liners and spirals and the purchase and installation of the replacement liners and spirals, as specified in Section 11320 – Grit Handling Equipment. Installation by others.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and Section 01500, Temporary Facilities and Controls.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID

Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances, minus the Deduct Alternate(s), taken in order, or none of them, whichever amount(s) shall be judged by the Commissioner of Public Works to be in the best interest of the County.

MAJOR EQUIPMENT ITEMS

The Bidder shall fill the name and address of the one proposed manufacturer for each major equipment item tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

Specification Number	Description	Manufacturer
11316	Dry-Pit Submersible Sewage Pump	Fairbanks - PCS
11332	Sewage Bar Screen and Screening Washer Compactor	Parkson - Jager
16445	Motor Control Centers	Siemens (R) Siemens

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

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PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

SUB-CONTRACTOR LIST AND SCHEDULE OF VALUE

BIDDER: R.J. Industries, Inc.

SUBCONTRACTORS:

1. Plumbing and Gas Fitting

- a. Company: Self-Perform
- b. Address: _____
- c. Telephone: _____
- d. Contact Name: _____
- e. Subcontract Value: \$ _____

2. Heating, Ventilating and Air Conditioning

- a. Company: Tameer Inc
- b. Address: 21 Grand Ave Lynbrook, NY 11563
- c. Telephone: 516-858-0555
- d. Contact Name: Resa
- e. Subcontract Value: \$ 478,000.00

3. Electric Wiring and Standard Illuminating Fixtures

- a. Company: JVR Electric
- b. Address: 160 Gary Way, Ronkonkoma, NY 11779
- c. Telephone: 631-451-1226
- d. Contact Name: John
- e. Subcontract Value: \$ 983,385.00

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

4. Roofing

- a. Company: DME Construction Associates, Inc
b. Address: PO Box 482 Port Jefferson, NY 11777
c. Telephone: 631-689-2616
d. Contact Name: Peter Chardon
e. Subcontract Value: \$ 26,500.⁰⁰

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

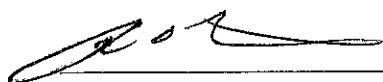
The Certification is as follows:

☒ a. **Certification that the Bidder is not on the List**

Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,

☐ b. **Certification that the Bidder's investment in Iran is ceasing**

The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.



Signature/Date

2/2/21

Richard O. Felicetta

Print Name and Position

President

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

MACBRIDE FAIR EMPLOYMENT PRINCIPLES

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:

MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

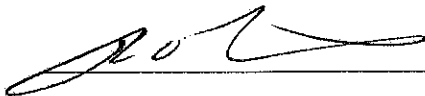
(1) have business operations in Northern Ireland,

Yes _____ No X

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes _____ No _____



Contractor's Signature

R.I. Industries, Inc.

Name of Business

Richard O. Felicetta,
President

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

CONTRACTOR CERTIFICATION STATEMENT REGARDING
STORM WATER POLLUTION AT THE WORK SITE

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

CONTRACTOR'S NAME: R. J. Industries, Inc.

TELEPHONE NUMBER: 516-845-9772

WORK SITE OR FACILITY NAME: Glen Cove W W T P

WORK SITE OR FACILITY ADDRESS OR
OTHER IDENTIFYING DESCRIPTION: _____

City of Glen Cove, (Nassau County), NY



Feb 2, 2021
Date

Richard O. Felicetta, President
Print Name and Title of Signer

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

Bidder: R.J. Industries, Inc.


(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: _____

Federal ID No: 11-3401288

Municipal License ID Number: _____

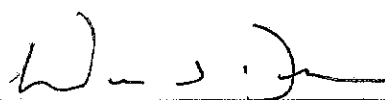
Municipal Licensing Agency: _____

By:  Date: Feb. 2, 2021

(Signature of Individual, Partner or Corporate Officer)

(Print): Richard O. Felicetta Title: President

WHERE BIDDER IS A CORPORATION, ADD

ATTEST: 

Secretary

William J. Doremus

(CORPORATE)

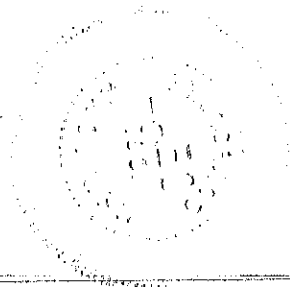
(SEAL)

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

++ NO TEXT ON THIS PAGE ++



PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____ Being duly sworn, deposes and
says: That he is the person described in and who executed the foregoing Bid and that the several
matters therein stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____.

Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____ Being duly sworn, deposes and
says: That he is a member of _____, the firm described in and
which executed the foregoing Bid; that he duly subscribed the name of the firm thereunto on behalf of the
firm; and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____.

Notary Public

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Richard O. Felicetta Being duly sworn, deposes and
says: That he resides at Suffolk County, NY that he is the
President of the corporation described in and which
executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the
said instrument is such corporate seal and was affixed by order of the Board of Directors of said
corporation; that he affixed his name thereto by like order; and that he has knowledge of the several
matters therein stated and they are in all respects true.

Subscribed and sworn to before me

This 2nd day of February 2021



Notary Public

EVELYN DELLARATTA
Notary Public, State of New York
No. 01DE4854133
Qualified in Nassau County
My Commission expires on May 27, 2022

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

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PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

PERFORMANCE BOND INFORMATION FORM

Construction Contract Number S35114-136

Name of Contract Glen Cove Wastewater Treatment Plant - ^{Preliminary Treatment} system improvements

Name of Contractor R.J. Industries, Inc.

Address 75 East Bethpage Road
Plainville, NY 11803

Bonding Company or Person Issuing Security Bond Liberty Mutual Insurance Co

Address One Battery Park Plaza - 30th Floor
New York, NY 10004

Bonding Company Agent George Brewster SUP
Alliant Insurance Services Inc.

Address 333 Earle Ovington Blvd, Ste 700
Uniondale, NY 11553

Amount of Bond As required by contract

Duration of Bond - From As Required by Contract

Identification number of Bond TBD

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

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PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?
23 Years 6 Months
2. How many years in the construction work of a similar type as this contract has your firm had;
as a Prime Contractor 13
a. as a Subcontractor 23
3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
--------------------	------------------	----------------------	--

See ATTACHED Schedule

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
--------------------	------------------	----------------------	--

See ATTACHED Schedule

(use additional blank sheets if additional space is necessary)

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
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PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

5. Have you ever failed to complete any work awarded to you?
If so, when and why?

No

(use additional blank sheets if additional space is necessary)

6. Has any officer or partner of your firm ever been an officer or partner of some other firm that failed to complete a construction contract?

No

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name?
If so, state name of individual, name of owner and reason therefor:

No

8. In what other lines of business are you financially interested?

None

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

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PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

9. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of Work	In What Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

See ATTACHED

(use additional blank sheets if additional space is necessary)

10. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, Etc.	Year of Service	Present Location
------	---	--------------------	---------------------

See ATTACHED

(use additional blank sheets if additional space is necessary)

Note: Should the equipment be moved from the above mentioned location, the submitter hereby agrees upon request of the County to state the new location where same may be found.

11. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

*See Attached - Indicated on
Equipment Schedule.*

(use additional blank sheets if additional space is necessary)

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

12. In what manner have you inspected this proposed work?
Explain in detail.

Review Contract Documents
(Plans + Specifications)

(use additional blank sheets if additional space is necessary)

Explain your plan and lay-out for performing the proposed work.

We Will Perform in Accordance with
the Owner/Engineer approved CPM
Schedule.

13. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

John E. Koziorz

14. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

See Attached Sample Insurance
Certificate


PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

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"Wicks Exempt"
SEE ENCLOSED ENVELOPE

 NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS					
WICKS EXEMPT LIST OF SUBCONTRACTORS				CONTRACT NO.	
NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.					
Contractor's Name and Address		Project Description (Project Title, Facility Name and Address):		Bid Date:	Total Contract Amt:
Federal ID No.					
Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):				<input type="checkbox"/> Plumbing and Gas Fitting <input type="checkbox"/> Steam Heating, Hot Water Heating, Ventilating and AC Apparatus <input type="checkbox"/> Electric Wiring and Standard Illuminating Fixtures	
If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box <input type="checkbox"/> skip to bottom of form, and sign it as required.					
Subcontractor's Name, Address and Federal ID No.	Check (✓) only one			General Description of Work	Subcontractor's Contract Amt.
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use an additional page if needed. Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.					
Company Authorized Signature: _____		Title: _____		Date: _____	

R.J. Industries, Inc.

Prime Contracts In Progress

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Bay Park Sewage Treatment Plant Level 1 - Biological Nutrient Removal (BNR) Improvements (Contract S35123-L39G)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazensawsawyer.com 516-881-7835	\$18,947,700	July-20	98%
Calverton Sewer District Sewage Treatment Plant Upgrade Contract G	Town of Riverhead	Michael Reichel reichel@townofriverheadny.gov 631-727-3069	\$5,793,700	March-21	85%
Chemical Treatment Improvements at Plant 5 Hewlett, NY	New York American Water	Jim Muskopf (H2M) 631-756-8000	\$2,382,400	March-21	52%
<u>BSRJ A TV</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$97,447,200	March-22	98%
<u>RJ / Scalimandre, JV</u>					
Bay Park Sewage Treatment Plant Sidestream Centrate Treatment Contract No. S35123-138GR	Nassau County DPW	Artem Khaykin (Jacobs) 917-440-8527	\$33,377,700	December-21	60%
Bay Park Sewage Treatment Plant Secondary Flood Protection and Hardening Contract No. S35121-16G	Nassau County DPW	Lazarus Francino, P.E 516-695-3860	\$10,868,000	December-21	56%
<u>RJ / Martin, JV</u>					
Coney Island WWTP Level 1 Step Feed BNR Upgrade (Contract: SF-BNR-CI)	NYC DEP	Yuklong Ma 646-529-0644	\$46,874,100	March-22	68%

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Cedar Creek WPCP Effluent Screening & Disinfection Facility Improv. (Contract S3C067-03G)	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$11,197,700	2020
Site Preparation For Shaft 17B Contract No. C547SP	NYC DEP	Daniela Tolov 718-595-7034	\$2,479,140	2020
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$15,677,700	2020
Bay Park Sewage Treatment Plant Engine Generator Facility (Contract S35121-16A)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$2,977,700	2019
Garvies Point Waterfront Redevelopment Phase II Garvies Point Road Pump Station (PS&S Project#03610.0023)	RXR Glen Isle Partners, LLC	Joseph LaRocca llarocca@garviespoint.com 516-945-3739	\$6,215,000	2019
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	2019
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	2017
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visone 516-571-7359	\$7,647,700	2017
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Joe Davenport jdavenport@nassaucountyny.gov 516-571-7515	\$16,187,700	2017
Bay Park Sewage Treatment Plant Electrical Distribution Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a TV)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$28,956,674	2016

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improvements to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$24,767,700	2016
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$3,937,700	2016
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,567,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-262-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3) Screening Room Odor Control General Construction & HVAC Contracts	County of Suffolk	John Berchold 631-852-4189	\$2,347,860	2013

RJ Industries, Inc.
11-3401288
New York State S-Corporation

Officers / Principals

Richard O. Felicetta: President,
John Doremus: Vice President,
John Koziarz: Vice President
William Doremus: Secretary & Treasurer,

Safety/Risk Management/Engineering

Richard A. Felicetta Field Risk Manager
Bob Sevier – Engineering/Drafting
Irto Kim – Field Engineering/Survey/Drafting

Project Management / Supervision / MWBE Procurement

Keith Olsen – Sr. Project Manager (Purchasing & Subcontract Management)
Joshua Rogers Project Manager
Nicholas Felicetta – Project Manager
Maram Alam Project Manager
Thomas Fallon Project Manager
Joseph Felicetta – Supervisor
Todd Melzer – Supervisor
Tony Etersque Supervisor (Mechanical)
Simone Washington MWBE Officer

Main Office

Maryanne Hilton Controller
Evelyn Dellaratta- Office Manager
Lynn Saccone – Purchasing Assistant
Anthony Dellaratta – Contract Administration & Staff Assistant to the Controller

Union Staff includes Engineers, Carpenters, Plumbers, Steamfitters, Laborers

RJ Equipment

<u>Year</u>	<u>Item</u>	<u>Model No</u>	<u>Serial No.</u>
2015	CAT Wheel loader	966M	OKJP01072
	Aqua Jet 410 V Evolution 2.0&		
	Aqua Jet PP 70 Power Pack 212Li/min		
2019	Doka Concrete forms - various		
2018	CAT 262D Ski Street Loader	262D	DTB09176
2018	Kubota	RTV-X1100CWL-H	43132
	Leica Builder 306 - surveying equip		
2019	Air Compressor		
2017	GPS System LEICA		
2013	CAT Excavator	305.5	
2014	CAT Excavator	349F	BZ200174
2010	Grove RT 600E (50 Ton Crane)	HO 2160	230901
2005	Link Belt RTC (50 Ton Crane)	RTC 805011	J6J57796
	Landis 6" Pipe Threader	(w/ GE Induction Motor)	23783
2013	CAT Excavator	308E	EJTMX00784
2012	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283352
2013	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283493
2014	Zenith 25 GPS		2860958
	APE Robovibe		158111
2013	Telescoping forklift		5CHXGC01404569
2011	Moretrench (Various Dewatering Equipment)		
2011	Komatsu Hydraulic Excavator	PC200LC-8HD	KMTPC180P54A89729
1999	CAT Hydraulic Excav	320 BL	7JR02231
2006	CAT Excavator	308 CCR	CVKCX01388
2001	CAT 725 Articulated Dump Truck	725	AFX00145
2002	CAT Mini Excavator	304.5	WAK00727
2001	CAT Backhoe	420 D	BLN00364
2002	CAT Skid Loader	262B	PDT00288
1990	John Deere Pay Loader	644E	DW644EB527520
1997	JCB Telehandler (Forklift)	506B	0579418
2002	CAT Bulldozer	D5C	7PS01937
2004	GORMAN RUPP - 4" Diesel Pump	PA8C60-4045D-C1	001281115N
	GORMAN RUPP - 6" Dry Prime Pump		
2001	Ingersoll Rand Vibratory Smooth Drum Roller	SD110D	168435
1998	CHICAGO PNEUMATIC Compressor	CPS 390	CE16156
2008	Concrete Saw - Self Propelled	FS8800D	1254115001
	Concrete Forms	Doka	
	3 - WHIRLYBIRDS	Power Trawl 36"	
	4-5 VIBRATORS	OZ Tech	
	Light Towers		
	Light Towers		
	Light Towers		
2003	JLG 400S - Self Propelled Deutz	400S	300072553
2006	Skyjack	SJ3219	262824
2005	Skyjack	SJ3219	250891
2006	Skyjack	SJ3219	262669
2001	JLG Telescopic Boom Lift	400S	300060660
2001	Lull - Telescopic Fork Lift	844C-42	01W21P22-3563
2002	JLG Telescopic Boom Lift	400S	300061232
	Yale Forklift GP 070	GP 070	B813D03952V
2005	John Deere (off road Dump)	300D	DW300DT599355



NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

WICKS EXEMPT LIST OF SUBCONTRACTORS

CONTRACT NO. 535114-136-

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

Contractor's Name and Address R.J. Industries, Inc. Plainville, NY Federal ID No.: 11-3401288	Project Description (Project Title, Facility Name and Address): Glen Cove WWTP, Preliminary Treatment system improvements	Bid Date: 2/2/21	Total Contract Amt: 6,247,700. ⁰⁰
--	---	---------------------	---

Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):

- ☒ Plumbing and Gas Fitting
☐ Steam Heating, Hot Water Heating, Ventilating and AC Apparatus
☐ Electric Wiring and Standard Illuminating Fixtures

If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box ☐ skip to bottom of form, and sign it as required.

Subcontractor's Name, Address and Federal ID No.	Check (✓) only one.			General Description of Work	Subcontractor's Contract Amt.
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures		
JVR Electric 11779 160 Gary Way, Ronkonkoma, NY Federal ID No. 11-3352029	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Electric Work	983,385. ⁰⁰
Tameer Inc 21 Grand Ave, Lynbrook, NY Federal ID No. 27-3640481 11562	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	HVAC work	478,000. ⁰⁰
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

Federal ID No.

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.
Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.

Company Authorized Signature: [Signature] Title: President Date: 2/2/21

LAURA CURRAN
NASSAU COUNTY EXECUTIVE



KENNETH G. ARNOLD, P.E.
COMMISSIONER

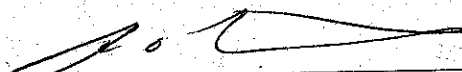
COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
1194 PROSPECT AVENUE
WESTBURY, NEW YORK 11590-2723

VENDOR PORTAL ACKNOWLEDGMENT

Vendor Name: R. J. Industries, Inc.
Contract Title: Glen Cove WWTP, Preliminary Treatment System Improvements
Contract Number: 5351/4 - 13 G

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at www.nassaucountyny.gov by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.


Signature

Feb. 2, 2021
Date

Richard O. Felicetta, President
Print Name

If you attempted to register via the Portal but were unable to do so, please explain here:

LAURA CURRAN
NASSAU COUNTY EXECUTIVE



KENNETH G. ARNOLD, P.E.
COMMISSIONER

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
1194 PROSPECT AVENUE
WESTBURY, NEW YORK 11590-2723

VENDOR PORTAL ACKNOWLEDGMENT

Vendor Name: R.J. Industries, Inc.
Contract Title: Glen Cove WWTP, Preliminary Treatment System Improvements
Contract Number: S35114-136

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at www.nassaucountyhy.gov by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.

Signature

Date

Richard O. Felicetta, President
Print Name


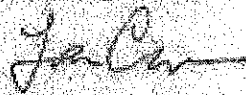
If you attempted to register via the Portal but were unable to do so, please explain here:

LAURA CURRAN
NASSAU COUNTY EXECUTIVE

NASSAU COUNTY



Vendor Code of Ethics

POLICY/PROCEDURE TITLE: Nassau County Vendor Code of Ethics	DATE ISSUED: June 5 th , 2019
DEPARTMENT ISSUING: Executive – Compliance	AUTHORIZED and SIGNED BY:  Deputy County Executive For Compliance  County Executive

POLICY:

The Office of the Nassau County Executive recognizes the importance of the vendor community in helping the County provide necessary services for the residents of Nassau County. It is the policy of the County Executive to ensure that all vendors doing business with Nassau County operate under the highest standards of legal and ethical conduct.

PURPOSE:

To set forth a Code of Conduct for vendors to ensure that Nassau County Vendors are conducting their business with integrity, ethics, and compliance with all applicable laws and regulations.

SCOPE:

All vendors doing business or seeking to do business with Nassau County as specified in the Code.



Vendor Code of Ethics

TABLE OF CONTENTS

Chapter 1: DEFINITIONS

Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

Section 2.01 Designated Point(s) of Contact

Chapter 3: GIFTS OR CONTINGENT FEES

Section 3.01 Zero Tolerance

Section 3.02 Personal Relationships

Section 3.03 Contingent Fees

Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

Section 4.01 Restrictions During the Procurement Process

Section 4.02 Restrictions Post Award

Chapter 5: CONFLICT OF INTEREST

Section 5.01 Financial Interest

Section 5.02 Personal Business Dealings

Section 5.03 Disclosure and Cooperation

Section 5.04 Confidential Information

Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

Section 6.01 Appearance Before Former Agency-Two Year Bar

Section 6.02 Appearance Before Former Agency-Life Time Bar

Chapter 7: NON-COLLUSION

Section 7.01 Independent Bid Assessment

Section 7.02 Non-Communication of Bid

Section 7.03 Bid Submission

Chapter 8: DISTRIBUTION AND CERTIFICATION

Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

Section 8.02 Vendor Certifications

Section 8.03 Subcontractor Certifications

Chapter 9: PENALTIES

Section 9.01 Responsibility Determination

Section 9.02 Civil/Criminal Penalties

Chapter 10: REPORTING OBLIGATION

Section 10.01 Reporting Gift Requests

Section 10.02 Reporting Material Changes



Vendor Code of Ethics

Section 10.03 Reporting Violations and Overpayments

Chapter 11: PROHIBITION ON RETALIATION

Section 11.01 Prohibition



Vendor Code of Ethics

Chapter 1: DEFINITIONS

As used in this Code, the following terms have the following meanings:

Adverse Job-Related Action includes any material alteration to existing terms, conditions, and privileges of employment, such as dismissal, demotion, suspension, compulsory leave, disciplinary action, creation of a hostile work environment, negative performance evaluation, any action resulting in loss of staff, office space or equipment or other benefit, reduction in compensation, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected employee.

Contact means any oral or written communication with any Nassau County Employee, other than the Designated Point(s) of Contact, where it could be reasonably inferred that such contact was intended to influence, or could reasonably be expected to influence, the subject of a County procurement.

Designated Point(s) of Contact means the individual(s) designated by the County to be a Vendor's only contact with Nassau County following the public advertisement of a solicitation or the issuance of a request for a bid, proposal, or quote for small purchase, until the award of a resulting contract and, where applicable, approval by the County Legislature. This timeframe, further defined in the State Procurement Lobbying Law, is also known as the Restricted Period.

Nassau County Employee means any officer, official or employee of Nassau County.

Family Member means (i) a Nassau County Employee's Spouse, Domestic Partner, Child, Sibling or Parent; (ii) a person who is a direct descendant (or the spouse of a direct descendant) of a Sibling of the Nassau County Employee or a Sibling of the Nassau County Employee's Spouse or Domestic Partner; or (iii) a person living in the same household as a Nassau County Employee.

Gift means the transfer, without equivalent consideration, of anything of benefit, tangible or intangible, having more than nominal value, including, but not limited to, cash, loans, forbearance,



Vendor Code of Ethics

services, travel, gratuities of any kind, favors, money, meals, refreshments, entertainment, hospitality, admittance to private clubs, use of time-shares, personal use of the Vendor's facilities, promises, tickets to entertainment or sporting events, weekend trips, golf outings, loans of equipment, or other thing or benefit. A Gift need not be intended to influence or reward any individual or entity.

Nassau County Code of Ethics means Nassau County Charter Section 2218, and the rules and regulations promulgated thereunder as may be amended or modified.

Participating Employee means any Vendor employee who engages in any written or oral communication of a non-clerical or non-administrative nature with Nassau County or with a Nassau County Employee(s) as part of or in connection with the procurement.

Participating Nassau County Employee means any Nassau County Employee who the Vendor knows, has reason to know, or can reasonably anticipate is involved in a specific procurement, in either a direct or decision-making capacity, but not in a clerical capacity. This includes but is not limited to the Designated Point of Contact, the project manager, the project manager's staff to the extent that they are involved in the procurement, members of selection committees, technical experts and negotiating teams.

Primary Contracting Party means a Vendor who intends to directly enter into or has a contract with Nassau County.

Retaliatory Action is defined as any Adverse Job-Related Action taken by, or at the direction or request of, a Vendor or a Vendors' Employees as a result of any individual's (i) good-faith report with respect to a violation or potential violation of this Code or the law; or (ii) cooperation in any investigation of unlawful conduct or misconduct conducted by Nassau County or by federal, state, or local law enforcement officials.

State Procurement Lobbying Law means New York State Finance Law Sections 139-j and 139-k, and the rules and regulations promulgated thereunder as may be amended or modified.

Vendor means any individual or entity seeking to or doing business



Vendor Code of Ethics

with Nassau County within the scope of this Code, including, without limitation, contractors, consultants, suppliers, manufacturers seeking to act as the primary contracting party, officers and employees of the foregoing, as well as any subcontractors, subconsultants and suppliers at all lower tiers.

Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

Section 2.01 Designated Point(s) of Contact

Each procurement solicitation issued by Nassau County will identify the Designated Point(s) of Contact for that solicitation as required by the State Procurement Lobbying Law. Once the Designated Point(s) of Contact is/are established, the Vendor and any person or entity acting on the Vendor's behalf, including without limitation, those providing compensated or uncompensated lobbying, advocacy, consulting or other services should ensure that its contacts with Nassau County are in compliance with the requirements of the State Procurement Lobbying Law.

Chapter 3: GIFTS OR CONTINGENT FEES

Section 3.01 Zero Tolerance

No Vendor may offer or give any Gift, directly or indirectly, to a Nassau County Employee. Similarly, no Vendor may offer or give any Gift, directly or indirectly, to any Family Member of a Nassau County employee where such Gift is made because of the Vendor's relationship with the Nassau County Employee. Additionally, no Vendor may accept a gift from a Nassau County Employee.

This Zero-Tolerance Policy applies regardless of actual intentions. In other words, even if a Gift does not, or is not intended to, influence an action or decision by a Nassau County Employee, it is prohibited by this Code.

Section 3.02 Personal Relationships

Notwithstanding the foregoing, if a Vendor has a pre-existing family or personal relationship with the Employee, a Gift that is wholly unconnected with the Employee's duties on behalf of Nassau County is



Vendor Code of Ethics

not necessarily prohibited.

In determining whether the giving of an item was motivated by personal rather than business concerns, the following factors are considered:

- (a) the history of the relationship between the donor and the recipient, including but not limited to the mutuality of gift giving;
- (b) whether the item was paid for by the donor.

The giving of an item shall not be considered to be motivated by a family or personal relationship if the donor seeks to charge or deduct the value of the item as a business expense or seeks reimbursement from a client.

However, regardless of the family or personal relationship between a Vendor and an employee, a Gift is strictly forbidden where it is being given under circumstances where it can reasonably be inferred that it was intended to influence the employee in the performance of his or her official duties.

Section 3.03 Contingent Fees

The Vendor will not employ or retain any individual or entity for the purpose of soliciting or securing a Nassau County contract upon any agreement or understanding for a commission, percentage, brokerage, or fee that is contingent or dependent upon the outcome of the procurement.

Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

Section 4.01 Restrictions During the Procurement Process

Vendors shall not discuss future employment with Participating Nassau County Employees or their Family Members from the date the procurement is advertised or solicited through 30 days following the date that the procurement is awarded, even if a Participating Nassau County Employee contacts the Vendor regarding employment. Questions regarding whether a particular Nassau County employee is a Participating Nassau County Employee for a specific



Vendor Code of Ethics

procurement should be directed to the Designated Point of Contact for the procurement.

Section 4.02 Restrictions Post Award

Vendors are prohibited from offering or discussing an employment opportunity with a Nassau County Employee or his or her Family Members before whom the Vendor has or expects to have a pending specific matter including, but not limited to, negotiations, performance evaluation, task order selection, approval of a voucher or invoice, or approval of or agreement to a contract amendment, change order, or deviation or waiver until:

- (i) 30 days from the time the matter before the Employee is closed, or
- (ii) 30 days from the time the Employee has no further involvement with the matter because of recusal or reassignment.

Chapter 5: CONFLICT OF INTEREST

Section 5.01 Financial Interest

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, as the case may be, may have a 10% or greater interest, nor shall the Vendor, nor any director, officer, principal, owner, or partner thereof, acquire a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract.

The Vendor will not permit an employee having a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract to be employed in the performance of the Nassau County contract.

Section 5.02 Personal Business Dealings

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, may have a non-County business dealing with a



Vendor Code of Ethics

Participating Nassau County Employee where it can be reasonably inferred that the purpose of the business dealing, at least in part, is to influence the Participating Nassau County Employee's action on a pending County matter.

Section 5.03 Disclosure and Cooperation

The Vendor shall disclose immediately to the County any real or potential conflict of interest of which it becomes aware. This obligation is ongoing and shall last through the completion of performance of the contract. The Vendor shall provide to Nassau County, at the County's request and upon such forms as may be furnished by Nassau County, a disclosure of organizational, financial, contractual or other affiliations with any organization or entity that has interests that may be substantially affected by the procurement solicitation or award. The Vendor shall fully cooperate in any inquiry or investigation undertaken by Nassau County to determine whether any such affiliations present a conflict of interest, or whether any other provision of this Code has been violated. The Vendor shall fully cooperate with audits, investigations, examinations and reviews by the Nassau County Inspector General conducted pursuant to section 187 of the Nassau County Charter.

Section 5.04 Confidential Information

At no time shall any Vendor who obtains confidential or proprietary Nassau County information in the course of doing or seeking to do business with the County disclose any such information to any person not authorized by Nassau County to receive such information or use such information for any personal gain except as necessary to fulfill its contractual obligations to Nassau County.

If the Vendor receives from any source confidential or proprietary Nassau County information prior to the award of a resulting contract and, where applicable, approval by the County Legislature, without the explicit approval of the Designated Point of Contact, the Vendor shall immediately so notify the Designated Point of Contact.

Nassau County confidential or proprietary information includes, but is not limited to, internal cost estimates and proposals submitted by other Vendors.



Vendor Code of Ethics

Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

No Vendor who participates in the development of a scope of work, solicitation documents, assessment criteria, contractual instruments or technical specifications may participate as a bidder, sub-bidder, proposer or sub-proposer on that particular procurement or perform any work on that particular procurement or any other procurement that would constitute an organizational conflict of interest or would give that Vendor an unfair advantage over other bidders or proposers on that procurement. This prohibition may be waived in writing by the County Chief Procurement Officer upon a showing of good cause.

Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

Section 6.01 Appearance Before Former Agency-Two Year Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear or practice before any Nassau County agency, either prior to award or in the performance of a Nassau County contract, for a period of two years after termination of the Nassau County Employee's services with the County.

Section 6.02 Appearance Before Former Agency-Life Time Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear, practice, communicate or otherwise render services before the agency that employed the officer or employee or any other agency of Nassau County, either prior to award or in the performance of an agency's contract in relation to any case, proceeding, application or transaction with respect to which such former officer or employee was directly concerned and in which he or she personally participated, or which was under his or her active consideration during the period of his or her employment. This provision is a lifetime bar on projects that the former Nassau County Employee previously worked on while employed by the County.



Vendor Code of Ethics

Chapter 7: NON-COLLUSION

Section 7.01 Independent Bid Assessment

The Vendor will calculate the price(s) contained in any bid or proposal independently, without collusion, consultation, communication, or agreement with any competing Vendor for the purpose of restricting competition.

Section 7.02 Non-Communication of Bid

Unless otherwise required by law, the price(s) which the Vendor quotes in its bid or proposal will not knowingly be disclosed by the Vendor, directly or indirectly, to any competing Vendor prior to the closing date for bids or proposals.

Section 7.03 Bid Submission

The Vendor will not make any attempt to induce any other individual or entity to submit or not to submit a bid or proposal.

Chapter 8: DISTRIBUTION AND CERTIFICATION

Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

As a condition of being considered for the award of any contract above the County's small purchase threshold of \$10,000, the Vendor will be required to distribute copies of the Nassau County Vendor Code of Ethics to all Participating Employees prior to any of those employee's participation in the procurement. The Code may be distributed either in hard copy or electronically as a separate PDF.

Additionally, as a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will be required to obtain an acknowledgement from each of its Participating Employees ("Participating Employee Acknowledgements") that they have received, read, understand, and will comply with the Nassau County Vendor Code of Ethics,



Vendor Code of Ethics

The Vendor's responsibility for distributing copies of the Nassau County Vendor Code of Ethics and obtaining such signed Participating Employee Acknowledgements is ongoing until completion of performance of the contract and shall be retained for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Receipt and retention of Participating Employee Acknowledgments by the Vendor shall be subject to audit by Nassau County.

Section 8.02 Vendor Certifications

The vendor by signing the final contract thereby certifies and attests to the following:

- (a) The Vendor has been provided with a copy of the Nassau County Vendor Code of Ethics and will comply with all of the provisions of the Code;
- (b) All of its Participating Employees during the course of procurement or contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to any of those employees' participation in the procurement;
- (c) All Participating Employees have completed the acknowledgement required by Section 8.01 of this Code;
- (d) The Vendor will retain all of the signed Participating Employee Acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County;
- (e) The Vendor will continue to distribute the Nassau County Vendor Code of Ethics, obtain signed Participating Employee Acknowledgements as new Participating Employees are added or changed during the contract period, and retain all of the signed acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.



Vendor Code of Ethics

Section 8.03 Subcontractor Certifications

As a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will obtain certifications executed by authorized officials from all of its lower tier subcontractors, subconsultants and suppliers (as well as from any other subcontractors, subconsultants and suppliers from whom that Vendor is soliciting or has received proposals for work on a Nassau County contract) whose employees have communicated or may communicate with Nassau County Employees. This obligation is ongoing and shall last through the completion of performance of the contract. Receipt and retention of lower tier certifications by the Vendor shall be subject to audit by Nassau County.



Vendor Code of Ethics

Chapter 9: PENALTIES

Section 9.01 Responsibility Determination

For violation of any provision of the Nassau County Vendor Code of Ethics, Nassau County may avail itself of every remedy in law or equity, or as agreed to by parties in any contract, including but not limited to declaring the Vendor non-responsible or in material breach of the contract.

Section 9.02 Civil/Criminal Penalties

Additionally, violation of the Nassau County Vendor Code of Ethics or a provision thereof may subject the Vendor to criminal or civil penalties under State or Federal law.

Chapter 10: REPORTING OBLIGATION

Section 10.01 Reporting Gift Requests

Notwithstanding the provisions of Chapter 4 above, the Vendor is obligated to immediately report to Nassau County's Inspector General and the County Chief Procurement Officer, any and all requests made to the Vendor by any Nassau County Employee for a Gift.

Section 10.02 Reporting Material Changes

The Vendor is under a continuing obligation to report any change in circumstances that materially affects any prior report to Nassau County to Department of Chief Contracting Officer, including but not limited to disclosure of conflicts of interest and representations made in the Contractor Responsibility Form.

Section 10.03 Reporting Violations and Overpayments

The Vendor is obligated to timely report in writing to Nassau County's Inspector General, in connection with the award, performance or closeout of the Nassau County contract or subcontract, any credible evidence of significant overpayments on the contract or that a principal, employee, agent or subcontractor has committed a



Vendor Code of Ethics

violation of law involving fraud, conflict of interest, bribery or gratuities.

Chapter 11: PROHIBITION ON RETALIATION

Section 11.01 Prohibition

To facilitate the reporting obligations under Chapter 10, this code strictly forbids all Vendors and Vendors' Employees from taking any Retaliatory Action against individuals who make such reports.



Vendor Code of Ethics

PARTICIPATING EMPLOYEE ACKNOWLEDGEMENT REGARDING NASSAU COUNTY VENDOR CODE OF ETHICS

Company: R.J. Industries, Inc.

Bid/Proposal No.: 535114-136

I, Richard O. Felicetta, acknowledge that I have received and read the Nassau County Vendor Code of Ethics on 2/2/21 and that I understand it and will comply with this Code in my participation in procurements between R.J. Industries, Inc. (Vendor name) and Nassau County.

Executed this 2nd day of February, 2021

By

[Signature]

Signature of Employee

Richard O. Felicetta, President Name and Title of Employee



Vendor Code of Ethics

CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.: _____

Project Description: _____

The prospective lower tier participant _____ (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to _____ [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this _____ day of _____, 20____.

By _____ Signature of Authorized Official

Name and Title of Authorized Official

Notice of Award

NO TEXT ON THIS PAGE

Jack Schnirman
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: R.J. Industries, Inc.

CONTRACTOR ADDRESS: 75 East Bethpage Rd, Plainview, NY 11803

FEDERAL TAX ID #: 11-3401288

Instructions: Please check the appropriate box (“☒”) after one of the following roman numerals, and provide all the requested information.

I. ☒ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in Newsday, NYSCR & NC eProcure [newspaper] on December 16, 2020 [date]. The sealed bids were publicly opened on February 23, 2021 [date]. Eight (8) [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____[date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

_____[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ **A.** The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ **B.** The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ **A.** There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ **B.** The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ **C.** Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ **D.** Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☒ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41*, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.



Department Head Signature

6/23/21

Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, William J Doremus state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Disclosures

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.

Name of Submitting Entity: R.J. Industries, Inc.

Vendor's Address: 75 East Bethpage Road Plainview NY US 11803

Vendor's EIN or TIN: 11-3401288

Forms Submitted: _____

Political Campaign Contribution Disclosure Form:
02/23/2021 01:30:09 PM

Lobbyist Registration and Disclosure Form:
03/02/2021 11:40:21 AM

Business History Form certified:
05/07/2021 04:39:09 PM

Consultant's, Contractor's, and Vendor's Disclosure Form:
03/02/2021 11:36:40 AM

Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

Principal Name	Date Certified
William J. Doremus [BJD@RJII.NET]	06/04/2021 11:33:29 AM
John Doremus [JDOREMUS@RJII.NET]	05/10/2021 02:00:06 PM
John Koziarz [JKOZIARZ@RJII.NET]	02/23/2021 12:22:34 PM
Richard Orlando Felicetta [RFELICETTA@RJII.NET]	05/10/2021 09:00:21 AM

I, William J Doremus hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

William J Doremus BJD@RJII.NET

Name

Secretary-Treasurer

Title

R.J. Industries, Inc.

Name of Submitting Entity

06/23/2021 01:50:42 PM

Date



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☒ NO ☐ If yes, to what campaign committee?

In 2020: Richard Felicetta (President) contributed \$1,000 to Laura Curran and \$5,000 to Jack Schnirman and John Doremus (VP) contributed \$5,000 to Jack Schnirman
In 2019: Vendor contributed \$7,000 to Laura Gillen and \$250 to Klacik for Congress
In 2018: the vendor contributed \$1,000 to (Laura) Curran for Nassau County and \$8,000 to Laura Gillen. The President (Richard O. Felicetta) contributed \$1,000 to Curran for Nassau County and VP (John C. Doremus) contributed \$1,000 to Curran for Nassau County.

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:

William J. Doremus [BJD@RJII.NET]

Dated: 02/23/2021 01:30:09 PM

Vendor: R.J. Industries, Inc.

Title: Secretary-Treasurer



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

R.J. Industries, Inc. does not participate in any lobbying activities. None of it's officers are involved in lobbying.

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

Not applicable (see response to question #1).

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

Not applicable (see response to question #1).

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See the last page for a complete description of lobbying activities.

Not applicable (see response to question #1).

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

Not applicable (see response to question #1).

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby. separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee? If none, you must so state:

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:
William J. Doremus [BJD@RJII.NET]

Dated: 03/02/2021 11:40:21 AM

Vendor: R.J. Industries, Inc.

Title: Secretary-Treasurer

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: William J. Doremus
Date of birth: ~~XXXXXXXXXX~~
Home address: ~~XXXXXXXXXXXXXXXXXXXX~~
City: ~~XXXXXXXXXX~~ State/Province/Territory: ~~XX~~ Zip/Postal Code: ~~XXXXXX~~
Country: US

Business Address: 75 East Bethpage Road
City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803
Country: US
Telephone: (516) 805-7816

Other present address(es):
City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803
Country: US
Telephone: 5168057816

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	01/01/2008
Chairman of Board	_____	Shareholder	01/01/2008
Chief Exec. Officer	_____	Secretary	01/01/2008
Chief Financial Officer	_____	Partner	_____
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

5% ownership stake

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Secretary & Treasurer of R.J.I. Mechanical, Inc, which is an affiliate of R.J. Industries, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever

initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you

been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, William J. Doremus, hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, William J. Doremus, hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

R.J. Industries, Inc.
Name of submitting business

Electronically signed and certified at the date and time indicated by:
William J. Doremus [BJD@RJII.NET]

Secretary-Treasurer
Title

06/04/2021 11:33:29 AM
Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: John C. Doremus
Date of birth: ~~03/20/1968~~
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: US

Business Address: 75 East Bethpage Road
City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803
Country: US
Telephone: (516) 805-7816

Other present address(es):
City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803
Country: US
Telephone: 5168057816

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	<u>09/02/1997</u>
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>09/02/1997</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

45% ownership stake

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Vice President and shareholder of R.J.I. Mechanical, Inc, which is an affiliate of R.J. Industries, Inc.

Member of the following Joint Ventures:

BSRJ a T/V (joint venture of Bana Electric, Peter Scalamandre and Sons Corp and RJ Industries, Inc. - RJ Industries, Inc. is the managing member and has a 33% stake)

RJ/Scalamandre, JV (a joint venture of RJ Industries, Inc. and Peter Scalamandre and Sons Corp. - RJ has a 50% stake and is the managing member)

RJ/Martin, JV (joint venture of RJ Industries, Inc. and Martin and Associates, RJ has a 70% stake and is the managing member)

Skanska/RJ, Joint Venture (RJ has a 30% stake and it is not the managing member)

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

Nassau County DPW awarded contracts S35123-38GR (Bay Park STP Sidestream) and S35121-16G (Bay Park STP Secondary Flood) to RJ/Scalamandre, JV in 2019.

NYC DEP awarded Contract CI-119 (Coney Island WWTP Sewage Pumps) to Skanska/RJ, Joint Venture on 12/15/2020.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action

taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9. a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action

taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, John Doremus , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, John Doremus , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

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RJ Industries

Name of submitting business

Electronically signed and certified at the date and time indicated by:

John Doremus [JDOREMUS@RJII.NET]

VP

Title

05/10/2021 02:00:06 PM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: John E. Koziarz
Date of birth: X 09/13/1965 X
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: US

Business Address: 75 East Bethpage Road
City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803
Country: US
Telephone: (516) 779-5146

Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	<u>01/01/2008</u>
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>01/01/2008</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

5% ownership stake

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

President and shareholder of R.J.I. Mechanical, Inc, which is an affiliate of R.J. Industries, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?
YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, John Koziarz, hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, John Koziarz, hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

R J Industries

Name of submitting business

Electronically signed and certified at the date and time indicated by:

John Koziarz [JKOZIARZ@RJII.NET]

Vice President

Title

02/23/2021 12:22:34 PM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Richard O. Fellicetta
Date of birth: XXXXXXXXXX
Home address: XXXXXXXXXX
City: XXXXXXXXXX State/Province/Territory: XXXXXXXXXX Zip/Postal Code: XXXXXXXXXX
Country: US

Business Address: 75 East Bethpage Road
City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803
Country: US
Telephone: (516) 805-7998

Other present address(es):
City: XXXXXXXXXX State/Province/Territory: NY Zip/Postal Code: 11768
Country: US
Telephone: 5168057998

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u>09/02/1997</u>	Treasurer	<u> </u>
Chairman of Board	<u> </u>	Shareholder	<u>09/02/1997</u>
Chief Exec. Officer	<u> </u>	Secretary	<u> </u>
Chief Financial Officer	<u> </u>	Partner	<u> </u>
Vice President	<u> </u>		
(Other)	<u> </u>		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

45% Ownership stake in R.J. Industries, Inc.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Vice President and shareholder in R.J.I. Mechanical, Inc., which is an affiliate of R.J. Industries, Inc.

Member of the following joint ventures:

BSRJ a JV (joint venture of Bana Electric, Peter Scalamandre Corp and RJ Industries, Inc. - RJ Industries, Inc. is the managing member & 33% stake).

RJ/Scalamandre, JV (joint venture of RJ Industries, Inc. and Peter Scalamandre and Sons Corp, RJ has a 50% stake and is managing member)

RJ/Martin, JV (joint venture of RJ Industries, Inc. and Martin & Associates, RJ has a 70% stake and is the managing member)

Skanska/RJ, Joint Venture (RJ has a 30% stake and it is not the managing member)

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

Nassau County DPW awarded contracts S35123-38GR (Bay Park STP - Sidestream) and S35121-46G (Bay, Park Secondary Flood) to RJ/Scalamandre, JV in 2019.

City of New York DEP awarded Contract CI-119 (Coney Island WWTP Sewage Pumps) to Skanska/RJ, Joint Venture on 12/15/2020

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action

taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action

taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Richard Orlando Felicetta, hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Richard Orlando Felicetta, hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

R.J. Industries, Inc

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Richard Orlando Felicetta [RFELICETTA@RJII.NET]

President

Title

05/10/2021 09:00:21 AM

Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 02/23/2021

1) Proposer's Legal Name: R.J. Industries, Inc.

2) Address of Place of Business: 75 East Bethpage Road

City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803

Country: US

3) Mailing Address (if different): _____

City: _____ State/Province/Territory: _____ Zip/Postal Code: _____

Country: _____

Phone: _____

Does the business own or rent its facilities? Own If other, please provide details:

4) Dun and Bradstreet number: none

5) Federal I.D. Number: 11-3401288

6) The proposer is a: Corporation (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?

YES ☐ NO ☒ If yes, please provide details:

8) Does this business control one or more other businesses?

YES ☒ NO ☐ If yes, please provide details:

RJ East Bethpage Road, LLC (owns the real estate at 75 East Bethpage Road; Plainview, NY 11803, which is the home office location of R.J. Industries, Inc.). R.J. Industries, Inc. is the sole owner of this business.

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

YES ☒ NO ☐ If yes, please provide details:

R.J.I. Mechanical, Inc. is an affiliate of R.J. Industries, Inc. R.J.I. Mechanical, Inc. is 51% owned by John E. Koziarz, who is a stockholder and Vice President of R.J. Industries, Inc.

R.J. Industries, Inc. is also a member of the following joint ventures:

1. BSRJ a T/V, is a joint venture of Bana Electric, Peter Scalamandre and Sons Corp. and R.J. Industries, Inc. (RJ has a 33% equity stake and is the managing member)
2. RJ/Scalamandre, JV, is a joint venture of R.J. Industries, Inc. and Peter Scalamandre and Sons Corp. (RJ has a 50% equity stake and is the managing member)
3. RJ/Martin, JV, is a joint venture of R.J. Industries, Inc. and Martin Associates (RJ has a 70% equity stake and is the managing member).
4. Skanska/RJ, Joint Venture is a joint venture of Skanska and R.J. Industries, Inc. (RJ has a 30% equity stake and Skanska is the managing member).

- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?

YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).

- 11) Has the proposer, during the past seven years, been declared bankrupt?

YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets

- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

- 17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

We would be pleased to assist Nassau County's efforts intended to prevent or eliminate any potential for, or appearance of, conflict of interest.

A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

i) Date of formation;

09/02/1997

ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

Richard O. Felicetta, President;
John C. Doremus, Vice President;
John E. Koziarz, Vice President;
William J. Doremus, Secretary-Treasurer;

No individuals with a financial interest in the company have been attached..

iii) Name, address and position of all officers and directors of the company. If none, explain.

Richard O. Felicetta, President;
John C. Doremus, Vice President;
John E. Koziarz, Vice President;
William J. Doremus, Secretary-Treasurer;

No officers and directors from this company have been attached.

iv) State of incorporation (if applicable);

NY

v) The number of employees in the firm;

53

vi) Annual revenue of firm;

59697267

vii) Summary of relevant accomplishments

see attached

4 File(s) Uploaded: Open 2019-12-31.xls, Open 2020-06-30.xls, Open 2020-12-31.xls, Open Jul 2019.xls

viii) Copies of all state and local licenses and permits.

B. Indicate number of years in business.

22

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

see attached list of projects

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Suffolk County, Department of Public Works

Contact Person Janice McGovern, PE

Address 335 Yaphank Avenue

City Yaphank State/Province/Territory NY

Country US

Telephone (631) 852-4188

Fax #

E-Mail Address janice.mcgovern@suffolkcountyny.gov

Company H2M Group

Contact Person Chris Weiss

Address 538 Broadhollow Road

City Melville State/Province/Territory NY

Country US

Telephone (631) 756-8000

Fax #

E-Mail Address cweiss@h2m.com

Company D&B Engineers & Architects

Contact Person Joseph Marturano

Address 330 Crossways Park Drive

City Woodbury State/Province/Territory NY

Country US

Telephone (516) 364-9890

Fax #

E-Mail Address jmarturano@db-eng.com

I, William J. Doremus , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, William J. Doremus , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: R.J. Industries, Inc.

Electronically signed and certified at the date and time indicated by:
William J. Doremus [BJD@RJII.NET]

Secretary-Treasurer
Title

05/07/2021 04:39:09 PM
Date

R.J. Industries, Inc.

Prime Contracts In Progress

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Cedar Creek WPCP Effluent Screening & Disinfection Facility Improv. (Contract S3C067-03G)	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$11,197,700	March-20	95%
Bay Park Sewage Treatment Plant Level 1 - Biological Nutrient Removal (BNR) Improvements (Contract S35123-L39G)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$18,947,700	March-20	88%
Bay Park Sewage Treatment Plant Engine Generator Facility (Contract S35121-16A)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$2,977,700	March-20	99%
Calverton Sewer District Sewage Treatment Plant Upgrade Contract G	Town of Riverhead	Michael Reichel reichel@townofriverheadny.gov 631-727-3069	\$5,793,700	March-21	11%
<u>BSRJ A T/V</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$97,447,200	June-20	97%
<u>RJ / Scalamandre, JV</u>					
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$15,677,700	June-20	86%
<u>RJ / Martin, JV</u>					
Coney Island WWTP Level 1 Step Feed BNR Upgrade (Contract: SF-BNR-CI)	NYC DEP	Stanley Joseph 718-595-7800	\$46,874,100	March-22	38%

as of December 31, 2019

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	2019
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	2017
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visone 516-571-7359	\$7,647,700	2017
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Joe Davenport jdavenport@nassaucountyny.gov 516-571-7515	\$16,187,700	2017
Bay Park Sewage Treatment Plant Electrical DistribSystem Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a T/V)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$28,956,674	2016
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improvemebts to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$24,767,700	2016

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$3,937,700	2016
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3) Screening Room Odor Control	County of Suffolk	John Berchtold 631-852-4189	\$2,347,860	2013

As of June 30, 2020

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
General Construction & HVAC Contracts				
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection System & Related Infrastructure Repairs	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$2,701,537	2013
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartillucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading Rive CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW Ken Arnold 516-571-9604	\$3,647,700	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011
Cedar Creek WPCP Install New Gravity Belt Thickner	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bletsch H2M Group 631-756-8000	\$929,800	2010
Great Neck Water District Concrete Clearwell - Weybridge Road General Construction	Great Neck Water Dist.	Dvirka & Bartiluci Bill Merklin 516-595-4845	\$648,000	2010

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
SUNY - Maritime Replace Fuel Oil Tanks (Ft. Schuyler)	State University Construction Fund	Richard Brown 518-320-3204	\$1,998,050	2010
Sea Cliff Install 600,000 Gallon Elevated Tank	Aqua New York Matthew Snyder	Caldwell Tank, Inc (GC) Jenny Ferguson 502-964-3361	\$288,000	2009
Carle Place Water District Pump Sta. 4 Treatment Improvements & Building Rehab	Carle Place Water Dist.	Sidney Bowne Joseph Todaro, PE 516-746-2350	\$164,830	2009
Huntington WWTP Install Ultraviolet (UV) Disinfection System	Town of Huntington	H2M Group Steve Hearl 631-756-8000	\$568,800	2008
Port Jefferson WWTP Plant Reconstruction (General & Mechanical Contract)	Suffolk County DPW	H2M Group Frank Russo 631-756-8000	\$18,434,000	2008
Huntington Sewer District Treatment Plant Upgrade	Town of Huntington	H2M Group Chris Weiss 631-756-8000	\$13,298,460	2008
Keyspan - Barrett Bridge Install Piles and Foundation	Keyspan Energy	Jim Walsh 516-545-6277	\$643,348	2007
Westbury Water District Pump Modifications to Well #16 - General Construction Contract	Westbury Water District	Dvirka & Bartilucci William Merklin 516-364-9880	\$239,640	2006
Oyster Bay Pump Station Install Nitrogen Removal Facility	Oyster Bay Sewer District	Steven Hearle, PE H2M Group 631-756-8000	\$7,332,500	2006

As of June 30, 2020

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Port Washington UFSD Daly Elementary School 9 (GC)	Port Washington UFSD	Turner Construction John Trommel 516-767-0446	\$6,364,150	2005
Port Washington UFSD Weber Middle School (GC & Mechanical)	Port Washington UFSD	Turner Construction John Trommel 516-767-0446	\$9,859,900	2004
Rikers Island EMS Tank Replacements	NYC - Dept. Design and Construction	LiRo Engineering Michael Benedetti 516-938-5476	\$543,089	2004
Port Washington UFSD Guggenheim & Manorhaven Schools (Alterations)	Port Washington UFSD	Turner Construction John Trommel 516-767-0446	\$1,425,140	2003
Port Washington - Newlist Avenue Install Carbon Adsorption Sys	Port Washington Water Authority	Dvirka & Bartilucci Bill Merklin 516-364-9892	\$774,623	2003
Westbury Water District Construct Well (#9) Facility	Westbury Water District	Dvirka & Bartilucci Bill Merklin 516-595-4845	\$579,400	2003
Various NYC Bus Depots Air Compressor Replacements	Metropolitan Transportation Authority (MTA)	NYCTA John Goonan 646-252-4119	\$677,565	2002
Upgrade Petroleum Product Storage (C-33000) - Various NYC Locals	Metropolitan Transportation Authority (MTA)	NYCTA Joe Trainor 646-252-3467	\$4,805,450	2001

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	2019
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	2017
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visone 516-571-7359	\$7,647,700	2017
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Joe Davenport jdavenport@nassaucountyny.gov 516-571-7515	\$16,187,700	2017
Bay Park Sewage Treatment Plant Electrical DistribSystem Upgrades (Phase E1) Contract No. S35121-14F (BSRJ a T/V)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$28,956,674	2016
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improvements to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$24,767,700	2016

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$3,937,700	2016
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3) Screening Room Odor Control General Construction & HVAC Contracts	County of Suffolk	John Berchtold 631-852-4189	\$2,347,860	2013

As of September 30, 2020

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection System & Related Infrastructure Repairs	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$2,701,537	2013
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartillucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading Rive CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011
Bay Park STP	Nassau County DPW	Nassau County - DPW	\$3,647,700	2011

As of September 30, 2020

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Dechlorination Facility - General Const.		Ken Arnold 516-571-9604		
Manhasset Lakeville Water District IU Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011
Cedar Creek WPCP Install New Gravity Belt Thickner	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bletsch H2M Group 631-756-8000	\$929,800	2010
Great Neck Water District Concrete Clearwell - Weybridge Road General Construction	Great Neck Water Dist.	Dvirka & Bartiluci Bill Merklin 516-595-4845	\$648,000	2010

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
SUNY - Maritime Replace Fuel Oil Tanks (Ft. Schuyler)	State University Construction Fund	Richard Brown 518-320-3204	\$1,998,050	2010
Sea Cliff Install 600,000 Gallon Elevated Tank	Aqua New York Matthew Snyder	Caldwell Tank, Inc (GC) Jenny Ferguson 502-964-3361	\$288,000	2009
Carle Place Water District Pump Sta. 4 Treatment Improvements & Building Rehab	Carle Place Water Dist.	Sidney Bowne Joseph Todaro, PE 516-746-2350	\$164,830	2009
Huntington WWTP Install Ultraviolet (UV) Disinfection System	Town of Huntington	H2M Group Steve Hearl 631-756-8000	\$568,800	2008
Port Jefferson WWTP Plant Reconstruction (General & Mechanical Contract)	Suffolk County DPW	H2M Group Frank Russo 631-756-8000	\$18,434,000	2008
Huntington Sewer District Treatment Plant Upgrade	Town of Huntington	H2M Group Chris Weiss 631-756-8000	\$13,298,460	2008
Keyspan - Barrett Bridge Install Piles and Foundation	Keyspan Energy	Jim Walsh 516-545-6277	\$643,348	2007
Westbury Water District Pump Modifications to Well #16 - General Construction Contract	Westbury Water District	Dvirka & Bartilucci William Merklin 516-364-9880	\$239,640	2006
Oyster Bay Pump Station Install Nitrogen Removal Facility	Oyster Bay Sewer District	Steven Hearle, PE H2M Group 631-756-8000	\$7,332,500	2006
Port Washington UFSD	Port Washington	Turner Construction	\$6,364,150	2005

As of September 30, 2020

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Daly Elementary School 9 (GC)	UFSD	John Trommel 516-767-0446		
Port Washington UFSD Weber Middle School (GC & Mechanical)	Port Washington UFSD	Turner Construction John Trommel 516-767-0446	\$9,859,900	2004
Rikers Island EMS Tank Replacements	NYC - Dept. Design and Construction	LiRo Engineering Michael Benedetti 516-938-5476	\$543,089	2004
Port Washington UFSD Guggenheim & Manorhaven Schools (Alterations)	Port Washington UFSD	Turner Construction John Trommel 516-767-0446	\$1,425,140	2003
Port Washington - Newlist Avenue Install Carbon Adsorption Sys	Port Washington Water Authority	Dvirka & Bartilucci Bill Merklin 516-364-9892	\$774,623	2003
Westbury Water District Construct Well (#9) Facility	Westbury Water District	Dvirka & Bartilucci Bill Merklin 516-595-4845	\$579,400	2003
Various NYC Bus Depots Air Compressor Replacements	Metropolitan Transportation Authority (MTA)	NYCTA John Goonan 646-252-4119	\$677,565	2002
Upgrade Petroleum Product Storage (C-33000) - Various NYC Locals	Metropolitan Transportation Authority (MTA)	NYCTA Joe Trainor 646-252-3467	\$4,805,450	2001

R.J. Industries, Inc.

Prime Contracts In Progress

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Cedar Creek WPCP Effluent Screening & Disinfection Facility Improv. (Contract S3C067-03G)	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$11,197,700	December-19	90%
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	December-19	97%
Bay Park Sewage Treatment Plant Level 1 - Biological Nutrient Removal (BNR) Improvements (Contract S35123-L39G)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$18,947,700	March-20	66%
Bay Park Sewage Treatment Plant Engine Generator Facility (Contract S35121-16A)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$2,977,700	December-19	92%
Garvies Point Waterfront Redevelopment Phase II Garvies Point Road Pump Station (PS&S Project#03610.0023)	RXR Glen Isle Partners, LLC	Joseph LaRocca jlarocca@garviespoint.com 516-945-3739	\$6,215,000	December-19	74%
<u>BSRJ A T/V</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$97,447,200	December-19	95%
<u>RJ / Scalamandre, JV</u>					
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$15,677,700	June-20	63%
<u>RJ / Martin, JV</u>					
Coney Island WWTP Level 1 Step Feed BNR Upgrade (Contract: SF-BNR-CI)	NYC DEP	Stanley Joseph 718-595-7800	\$46,874,100	March-22	19%

as of June 30, 2019

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: R.J. Industries, Inc

Address: 75 East Bethpage Road

City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803

Country: US

2. Entity's Vendor Identification Number: 11-3401288

3. Type of Business: Closely Held Corp (specify) _____

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

1 File(s) uploaded RJ Industries.doc

No principals have been attached to this form.

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

Richard O. Felicetta, President;
John C. Doremus, Vice President;
John E. Koziarz, Vice President;
William J. Doremus, Secretary-Treasurer;

4 File(s) uploaded RJ Industries.doc, RJ Industries.doc, RJ Industries.doc, RJ Industries.doc

No shareholders, members, or partners have been attached to this form.

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

R.J.I. Mechanical, Inc. is an affiliate of R.J. Industries, Inc.. At the present, we are not planning to use R.J.I. Mechanical, Inc. to perform on any Nassau County DPW contracts.

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES ☐ NO ☒

(a) Name, title, business address and telephone number of lobbyist(s):

None

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

None (no lobbying activity).

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None (no lobbying activity).

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

William J. Doremus [BJD@RJII.NET]

Dated: 03/02/2021 11:36:40 AM

Title: Secretary-Treasurer

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

RJ Industries, Inc.
11-3401288
New York State S-Corporation

Officers / Principals

Richard O. Felicetta: President,
John Doremus: Vice President,
John Koziarz: Vice President

William Doremus: Secretary & Treasurer,

Safety/Risk Management/Engineering

Richard A. Felicetta – Field Risk Manager
Bob Sevier – Engineering/Drafting
Irto Kim – Field Engineering/Survey/Drafting

Project Management / Supervision / MWBE Procurement

Keith Olsen – Sr. Project Manager (Purchasing & Subcontract Management)
Joshua Rogers – Project Manager
Nicholas Felicetta – Project Manager
Maram Alam – Project Manager
Thomas Fallon – Project Manager
Joseph Felicetta – Supervisor
Todd Melzer – Supervisor
Tony Etersque – Supervisor (Mechanical)
Simone Washington – MWBE Officer

Main Office

Maryanne Hilton – Controller
Evelyn Dellaratta- Office Manager
Lynn Saccone – Purchasing Assistant
Anthony Dellaratta – Contract Administration & Staff Assistant to the Controller

Union Staff includes Engineers, Carpenters, Plumbers, Steamfitters, Laborers

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Office of the County Executive
Att: Brian J. Schneider, Deputy County Executive

FROM: Department of Public Works

DATE: March 22, 2021

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: S35114-13G
Title: Glen Cove WWTP Preliminary Treatment Improvements
Engineer's Estimate: \$10,615,500.00
Bids Received On: February 23, 2021

The bids received for the above referenced contract have been examined and RJ Industries, Inc. is the lowest responsible bidder in the amount as follows:

Item No. 1: General Construction (Lump Sum Base Bid):	\$ 6,169,200.00
Item No. 2: Concrete Crack Repair (Unit Price, 500 LF):	\$ 20,500.00
Item No. 3: Concrete Spall Repair (Unit Price, 500 LF):	\$ 18,000.00
Item No. 4: Allowance:	\$ 40,000.00
Bid Total:	\$ 6,247,700.00

The lowest responsible bid is 41.1% lower than the Engineer's Estimate. There was a total of eight (8) respondent bidders to this bid contract.

Funding for the construction services is available under capital project 35114.

If you approve or disapprove of the above request, please signify below and return the memo to this office for appropriate action.



Kenneth G. Arnold
Commissioner

KGA:VF:rp
Attachment

c: Christopher Nolan, Deputy Budget Director
Vincent Falkowski, Deputy Commissioner
Roseann D'Alleva, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner of Administration
Edward Visone, Assistant Superintendent of Sanitary Construction
Graham Sharkey/Adrian Hamilton, Jacobs

APPROVED:

DISAPPROVED:

 3/22/2021

Brian J. Schneider
Deputy County Executive

Date

Brian J. Schneider
Deputy County Executive

Date





architects + engineers

538 Broad Hollow Road, 4th Floor East tel 631.756.8000
Melville, NY 11747 fax 631.694.4122

March 11, 2021

Mr. Edward Visone
Assistant Superintendent of Sanitary Construction
Water/Wastewater Engineering Unit
Nassau County Department of Public Works
3340 Merrick Road, Building R, 3rd Floor
Wantagh, NY 11793

**Re: Nassau County Department of Public Works
Glen Cove Wastewater Treatment Plant
Preliminary Treatment Improvements
Contract No. S35114-13G
BID ANALYSIS AND AWARD RECOMMENDATION
H2M Project No. NCDP 1701**

Dear Mr. Visone:

Eight (8) bids were received on February 23, 2021 for the above referenced contract. H2M analyzed the corresponding documents provided by Nassau County (Proposal pages, Disclosure Statements) and conducted limited research of the apparent low bidder for the Contract, R.J. Industries, Inc. (R.J. Industries).

The following is a summary of the bid proposal analysis, reference checks, and award recommendation.

Summary

The bids received are tabulated below.

No.	Firm	Bid Amount
1	R.J. Industries	\$6,247,700.00
2	W.H.M. Plumbing	\$6,995,000.00
3	ECCO III Enterprises	\$7,342,000.00
4	Philip Ross Industries, Inc.	\$7,390,000.00
5	Mace Contracting Corp.	\$7,847,000.00
6	Welkin Mechanical	\$8,620,000.00
7	Posillico Civil, Inc.	\$8,754,900.00
8	Silverite Construction Co., Inc.	\$8,951,000.00
-	<i>Average Bid</i>	<i>\$7,768,450.00</i>
-	Engineer's Estimate (EE)	\$10,615,500.00

R.J. Industries apparent low bid amount is 41.1% below the Engineer's Estimate (EE) and 17.0% less than the Average Bid. If bidding, the EE would have been the highest bid; the EE is 31.8% above the Average Bid.

Following the bid opening, H2M re-evaluated the Engineer's Estimate (EE) to identify reasons for the deviation between the EE and bids received. Following our evaluation, H2M concluded that the bids received are representative of the complete scope of work defined in the contract plans and specifications, and the cause for the discrepancy has been attributed to conservative assumptions used in the EE to project contractor labor production rates as they relate to the general conditions, demolition, and mechanical construction requirements to account for potential loss of labor productivity due to COVID-19 impacts on the industry. Market volatility is also a factor that impacts the deviation between the EE and bids received.

Tabulation of the low bid is attached.

Subcontracting

R.J. Industries indicated that the three prime subcontracts that are proposed as required by the Wicks Law requirements under a PLA bid municipal project are as follows:

1. Plumbing and Gas Fitting: Self-perform
2. HVAC: Tameer, Inc., \$478,000.00
3. Electrical: JVR Electric, \$983,385.00
4. Roofing: DME Construction Associates, Inc., \$26,500.00

A review of the current New York State and Federal projects disbarment listing verified that these the listed subcontractors were not disbarred from working on NYS and Federal funded projects.

The total prime subcontracted (HVAC, Electrical & Roofing) work equates to about 23.8% of the total contract, which is less than the 60% limitation specified in Agreement Article XV.

References

H2M reviewed the Disclosure Statement provided by R.J. Industries. The review targeted the firm's present and past work experience as it relates to the type, size, and scope of work for the Glen Cove WWTP Sludge Dewatering Facility Improvements project. The findings are presented below.

Disclosure Statements submitted by R.J. Industries did not reflect negative information regarding failure to complete work awarded, contract default, non-responsive bidding, and debarment.

Years in business under present business name as prime and subcontractor, as provided by the contractor, is 23 years and 6 months at the time of bid opening.

All references contacted generally characterized the firm as qualified, diversified, professional, cooperative, and stable. The references regarded the firm as self-performers and the firm's work as good quality. H2M and Nassau County have had previous projects of similar scope and scale where R.J. Industries was the prime general contractor and can confirm the validity of the above noted referenced comments summary.

Financials

Financial information was submitted to the County in the additional information package by R.J. Industries that included a financial statement for the year ending December 31, 2013, as certified by Tim Mikolajewski, Assistant Secretary of Liberty Mutual Insurance Company, dated December 31, 2019. A review of this financial statement indicated the following:

- Total Admitted Assets = \$51,103,579,523
 - Cash and Cash Equivalent Assets at end of 2019 = \$778,754,989
- Total Liabilities = \$34,292,195,090



The financial statement indicates that “the foregoing financial information is taken from Liberty Mutual Insurance Company’s financial statement filed with the state of Massachusetts Department of Insurance.”

Award Recommendation

Based on the above, H2M recommends that the County proceeds with the award of the Contract to R.J. Industries, Inc. as the lowest responsive bidder. Our recommendation is predicated on confirmation by the County of the Contractor’s compliance with the front-end requirements (bonding, insurance, legal, etc.) of the Contract and confirmation of the acceptability of the firm’s financials.

Please contact us should you have any questions regarding the above or other aspects of the Glen Cove WWTP Preliminary Treatment Improvements project.

Very truly yours,

H2M architects + engineers.

A handwritten signature in black ink, appearing to read 'NFB'.

Nicholas F. Bono, P.E.
Associate – Discipline Engineer

NFB:caw
Encl

cc: Vincent Falkowski, P.E., PMP, CCM (NCDPW)
Graham Sharkey, P.E., CCM (Jacobs)
Christopher A. Weiss, P.E. (H2M)

Bid Opening: 2/23/2021**Engineer:** Edward Visone **Phone:** (516) 571-7359

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
RJ Industries	75 East Bethpage Road Plainview, NY 11803-0349	Liberty Mutual Insurance Comp	10% of Bid Amount	\$6,247,700.00	\$0.00
W.H.M. Plumbing	6H Enterprise Drive East Setauket, NY 11733	Everest Reinsurance Company	10% of Bid Amount	\$6,995,000.00	\$0.00
ECCO III Enterprises	201 Saw Mill River Road Yonkers, NY 10701	Liberty Mutual Insurance Comp	10% of Bid Amount	\$7,342,000.00	\$0.00
Philip Ross Industries, Inc.	88 Duryea Rd Suite 204 Melville, NY 11747	Liberty Mutual Insurance Comp	10% of Bid Amount	\$7,390,000.00	\$0.00
Mace Contracting Corp.	35 Portman Road New Rochelle, NY 10801	Fidelity and Deposit Company o	10% of Bid Amount	\$7,847,000.00	\$0.00
Welkin Mechanical	14-45 117th Street College Point, NY 11356	Liberty Mutual Insurance Comp	10% of Bid Amount	\$8,620,000.00	\$0.00
Posillico Civil, Inc.	1750 New Highway Farmingdale, NY 11735	Liberty Mutual Insurance Comp	10% of Bid Amount	\$8,754,900.00	\$0.00
Silverite Construction Co., Inc	520 Old Country Road West Hicksville, NY 11801	Federal Insurnace Company	10% of Bid Amount	\$8,951,000.00	\$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACTPART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC☐ RFQ ☒ RFP ☐ RFBC ☐ In-House or Requirements Work OrderProject Title: S351 14-13G Glen Cove WWTP – Preliminary Treatment ImprovementsDepartment: Public Works Project Manager: Vincent Falkowski Date: June 13, 2019Service Requested: General Construction Services of the Glen Cove Preliminary Treatment Improvements

Justification: Mechanical bar screens, screw conveyor, influent pumps, grit removal, motors and ancillary piping and controls are critical components of the Glen Cove WWTP and are approaching the end of their useful life. Replacing the worn and obsolete equipment with new more efficient equipment will improve reliability of this critical infrastructure and reduce operating and maintenance cost.

Requested by: Department of Public Works/Water & Wastewater Engineering UnitProject Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$8,690,000
Circle appropriate phaseTotal Project Cost: \$11,117,000
Includes, design, construction and CMDate Start Work: 1/13/20
Phase being requestedDuration: 24 months
Phase being requestedCapital Funding Approval: YES ☒ NO ☐

SIGNATURE

DATE

Funding Allocation (Capital Project):
See Attached Sheet if multiyear ☐35114NIFS Entered: dp
SIGNATURE DATEAIM Entered: Nancy Allen 7/9/19
SIGNATURE DATEFunding Code: 35114-013
use this on all encumbrancesTimesheet Code: 19-0176
use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐
Supplemental Environmental DocumentationDepartment Head Approval: YES ☒ NO ☐

SIGNATURE

DCE/Ops Approval: YES ☒ NO ☐

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval: YES NO Signature _____

Version January 2014

Handwritten notes:
T.D.
06/13/19
V.F. 6/13/19

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Vincent Falkowski, Deputy Commissioner

FROM: Office of the Commissioner

DATE: July 23, 2019

SUBJECT: CSEA Sub-Contracting Approval
C19-071 – S35114-13G – General Construction Services
Glen Cove Wastewater Treatment Plant – Preliminary Treatment Improvements

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. Pursuant to Section 32-3 of the CSEA/County CBA, CSEA has withdrawn its objection to the above-referenced contract known as C19-071.

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions, please speak with Jonathan Lesman.



Roseann D'Alleva
Deputy Commissioner

RD:las

c: Loretta Dionisio, Assistant to Deputy Commissioner
Jonathan Lesman, Management Analyst II



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, Executive Vice President

FROM: Department of Public Works


DATE: June 18, 2019


SUBJECT: CSEA Notification of a Proposed DPW Contract
Glen Cove WWTP – Preliminary Treatment Improvements
S35114-13G General Construction Services

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a contract/agreement for the following services:
General Construction services at the Glen Cove Waste Water Treatment Plant
2. The work involves the following:
Provide construction management, inspection, scheduling and general contract administrative services relating to the Preliminary Treatment Improvements.
3. An estimate of the cost is: \$8,690,000
4. An estimate of the duration is: Twenty-four (24) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Roseann D'Alleva, Deputy Commissioner, telephone 1-0525, fax 1-9657.


Roseann D'Alleva
Deputy Commissioner



RD:VF:rp

c: Christopher Nicolino, Director, Office of Labor Relations
Vincent Falkowski, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Christopher Yansick, Unit Head, Financial Management Unit
Diane Pyne, Unit Head, Human Resources Unit
Jonathan Lesman, Management Analyst II
Theodore Diamantis, Project Manager



PROPOSAL (ADDENDUM NO. 4)

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

REVISED VIA ADDENDUM #4

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

TO BE COMPLETED BY CONTRACTORS SUBMITTING A BID ON S35114-13G – GENERAL CONSTRUCTION							
ITEM NO.	APPROX. QUANTITIES	ITEMS BID WITH PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE		
			DOLLARS	CENTS	DOLLARS	CENTS	
1.	Lump Sum	Base Bid for furnishing all Labor, Materials and Equipment required for all Construction work ready for operation. <u>Six million one hundred sixty nine thousand two hundred</u> DOLLARS NO CENTS	N/A	N/A	6,169,200.	00	
2.	500 LINEAR FEET	For concrete crack repair (Section 03930) <u>Forty One</u> NO DOLLARS CENTS	41	00	20,500	00	
3.	500 SQUARE FEET	For concrete spall repair (Section 03930) <u>THIRTY Six</u> NO DOLLARS CENTS	36	00	18,000	00	
4.	Allowance	Lump sum for purchase and delivery of the grit shaftless screw conveyor replacement parts including liners, wear bars, bearings and spirals to the job site location at 100 Morris Avenue, Glen Cove, New York, as specified in Section 11320 – Grit Handling Equipment including Contractor mark-up as specified in Article XXII, "Extra Work." <u>Forty thousand</u> Zero DOLLARS CENTS	N/A	N/A	\$40,000	00	

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

TOTAL BASE BID (Bid Item Nos. 1 thru 4): MUST BE WRITTEN IN FIGURES:

6,247,700.⁰⁰

DOLLARS

CENTS

TOTAL BASE BID (Bid Item Nos. 1 thru 4): MUST BE WRITTEN IN WORDS:

Six million two hundred forty seven thousand seven hundred No

DOLLARS

CENTS

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?
23 Years 6 Months
2. How many years in the construction work of a similar type as this contract has your firm had;
as a Prime Contractor 13
a. as a Subcontractor 23
3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
--------------------	------------------	----------------------	--

See ATTACHED Schedule

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
--------------------	------------------	----------------------	--

See ATTACHED Schedule

(use additional blank sheets if additional space is necessary)

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

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PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

5. Have you ever failed to complete any work awarded to you?
If so, when and why?

No

(use additional blank sheets if additional space is necessary)

6. Has any officer or partner of your firm ever been an officer or partner of some other firm that failed to complete a construction contract?

No

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name?
If so, state name of individual, name of owner and reason therefor:

No

8. In what other lines of business are you financially interested?

None

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

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PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

9. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of Work	In What Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

See ATTACHED

(use additional blank sheets if additional space is necessary)

10. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, Etc.	Year of Service	Present Location
------	---	--------------------	---------------------

See ATTACHED

(use additional blank sheets if additional space is necessary)

Note: Should the equipment be moved from the above mentioned location, the submitter hereby agrees upon request of the County to state the new location where same may be found.

11. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

*See ATTACHED - Indicated on
Equipment Schedule.*

(use additional blank sheets if additional space is necessary)

PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

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PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

12. In what manner have you inspected this proposed work?
Explain in detail.

Review Contract Documents
(Plans + Specifications)

(use additional blank sheets if additional space is necessary)

Explain your plan and lay-out for performing the proposed work.

We Will Perform in Accordance with
the Owner/Engineer approved CPM
Schedule.

13. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

John E. Koziorz

14. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

See Attached Sample Insurance
Certificate


PROPOSAL

GLEN COVE WASTEWATER TREATMENT PLANT
PRELIMINARY TREATMENT SYSTEM IMPROVEMENTS
H2M CONTRACT NO.: NCDP 1701

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
CONTRACT NO.: S35114-13G

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"Wicks Exempt"
SEE ENCLOSED ENVELOPE

 NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS					
WICKS EXEMPT LIST OF SUBCONTRACTORS				CONTRACT NO.	
NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.					
Contractor's Name and Address		Project Description (Project Title, Facility Name and Address):		Bid Date:	Total Contract Amt:
Federal ID No.					
Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply): <div style="float: right;"> <input type="checkbox"/> Plumbing and Gas Fitting <input type="checkbox"/> Steam Heating, Hot Water Heating, Ventilating and AC Apparatus <input type="checkbox"/> Electric Wiring and Standard Illuminating Fixtures </div>					
If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box <input type="checkbox"/> skip to bottom of form, and sign it as required.					
Subcontractor's Name, Address and Federal ID No.	Check (✓) only one			General Description of Work	Subcontractor's Contract Amt.
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use an additional page if needed. Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.					
Company Authorized Signature: _____		Title: _____		Date: _____	

R.J. Industries, Inc.

Prime Contracts In Progress

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Bay Park Sewage Treatment Plant Level 1 - Biological Nutrient Removal (BNR) Improvements (Contract S35123-L39G)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazensawsawyer.com 516-881-7835	\$18,947,700	July-20	98%
Calverton Sewer District Sewage Treatment Plant Upgrade Contract G	Town of Riverhead	Michael Reichel reichel@townofriverheadny.gov 631-727-3069	\$5,793,700	March-21	85%
Chemical Treatment Improvements at Plant 5 Hewlett, NY	New York American Water	Jim Muskopf (H2M) 631-756-8000	\$2,382,400	March-21	52%
<u>BSRJ A TV</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E3) Contract No. S35121-14F	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$97,447,200	March-22	98%
<u>RJ / Scalamandre, JV</u>					
Bay Park Sewage Treatment Plant Sidestream Centrate Treatment Contract No. S35123-138GR	Nassau County DPW	Artem Khaykin (Jacobs) 917-440-8527	\$33,377,700	December-21	60%
Bay Park Sewage Treatment Plant Secondary Flood Protection and Hardening Contract No. S35121-16G	Nassau County DPW	Lazarus Francino, P.E 516-695-3860	\$10,868,000	December-21	56%
<u>RJ / Martin, JV</u>					
Coney Island WWTP Level 1 Step Feed BNR Upgrade (Contract: SF-BNR-CI)	NYC DEP	Yuklong Ma 646-529-0644	\$46,874,100	March-22	68%

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Cedar Creek WPCP Effluent Screening & Disinfection Facility Improv. (Contract S3C067-03G)	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$11,197,700	2020
Site Preparation For Shaft 17B Contract No. C547SP	NYC DEP	Daniela Tolov 718-595-7034	\$2,479,140	2020
Bay Park Sewage Treatment Plant Effluent Pump Station Contract No. S35121-12G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$15,677,700	2020
Bay Park Sewage Treatment Plant Engine Generator Facility (Contract S35121-16A)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$2,977,700	2019
Garvies Point Waterfront Redevelopment Phase II Garvies Point Road Pump Station (PS&S Project#03610.0023)	RXR Glen Isle Partners, LLC	Joseph LaRocca jlarocca@garviespoint.com 516-945-3739	\$6,215,000	2019
Pump Station Repair & Mitigation (Various Loc's) Inwood (Contract S3P311-07G)	Nassau County DPW	Ed Visone 516-571-7359	\$5,947,700	2019
Bay Park Sewage Treatment Plant Vehicle Fueling Facility & Tanks (Contract S35121-16TR)	Nassau County DPW	Damon Urso, PE 516-571-7508	\$1,247,200	2017
Pump Station Repair & Mitigation (Various Loc's) Wantagh Park (Contract S3P311-03G)	Nassau County DPW	Ed Visone 516-571-7359	\$7,647,700	2017
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Joe Davenport jdavenport@nassaucountyny.gov 516-571-7515	\$16,187,700	2017
Bay Park Sewage Treatment Plant Electrical Distribution Upgrades (Phase E1) Contract No. S35121-14F (BSR a TV)	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$28,956,674	2016

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	2016
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	2016
Cedar Creek WPCP Improvements to Protected & Domestic Water Systems; Contract S3C067-04G	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$1,447,423	2016
Bay Park STP / Cedar Creek WPCP Odor Control Facility; Contract No S35110-01G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$24,767,700	2016
Bay Park STP Temporary Hot Water Piping System Emergency Contract No S3B119-06G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$3,937,700	2016
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Ken Arnold - DPW Commissioner 516-571-9604	\$996,700	2015
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) rpedenzin@hazenandsawyer.com 516-881-7835	\$892,200	2015
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,567,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-262-3464	\$2,148,272	2014
Bergen Point WWTP (SCSD #3) Screening Room Odor Control General Construction & HVAC Contracts	County of Suffolk	John Berchold 631-852-4189	\$2,347,860	2013

RJ Industries, Inc.
11-3401288
New York State S-Corporation

Officers / Principals

Richard O. Felicetta: President,
John Doremus: Vice President,
John Koziarz: Vice President
William Doremus: Secretary & Treasurer,

Safety/Risk Management/Engineering

Richard A. Felicetta Field Risk Manager
Bob Sevier – Engineering/Drafting
Irto Kim – Field Engineering/Survey/Drafting

Project Management / Supervision / MWBE Procurement

Keith Olsen – Sr. Project Manager (Purchasing & Subcontract Management)
Joshua Rogers Project Manager
Nicholas Felicetta – Project Manager
Maram Alam Project Manager
Thomas Fallon Project Manager
Joseph Felicetta – Supervisor
Todd Melzer – Supervisor
Tony Etersque Supervisor (Mechanical)
Simone Washington MWBE Officer

Main Office

Maryanne Hilton Controller
Evelyn Dellaratta- Office Manager
Lynn Saccone – Purchasing Assistant
Anthony Dellaratta – Contract Administration & Staff Assistant to the Controller

Union Staff includes Engineers, Carpenters, Plumbers, Steamfitters, Laborers

RJ Equipment

<u>Year</u>	<u>Item</u>	<u>Model No</u>	<u>Serial No.</u>
2015	CAT Wheel loader	966M	OKJP01072
	Aqua Jet 410 V Evolution 2.0&		
	Aqua Jet PP 70 Power Pack 212Li/min		
2019	Doka Concrete forms - various		
2018	CAT 262D Ski Street Loader	262D	DTB09176
2018	Kubota	RTV-X1100CWL-H	43132
	Leica Builder 306 - surveying equip		
2019	Air Compressor		
2017	GPS System LEICA		
2013	CAT Excavator	305.5	
2014	CAT Excavator	349F	BZ200174
2010	Grove RT 600E (50 Ton Crane)	HO 2160	230901
2005	Link Belt RTC (50 Ton Crane)	RTC 805011	J6J57796
	Landis 6" Pipe Threader	(w/ GE Induction Motor)	23783
2013	CAT Excavator	308E	EJTMX00784
2012	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283352
2013	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283493
2014	Zenith 25 GPS		2860958
	APE Robovibe		158111
2013	Telescoping forklift		5CHXGC01404569
2011	Moretrench (Various Dewatering Equipment)		
2011	Komatsu Hydraulic Excavator	PC200LC-8HD	KMTPC180P54A89729
1999	CAT Hydraulic Excav	320 BL	7JR02231
2006	CAT Excavator	308 CCR	CVKCX01388
2001	CAT 725 Articulated Dump Truck	725	AFX00145
2002	CAT Mini Excavator	304.5	WAK00727
2001	CAT Backhoe	420 D	BLN00364
2002	CAT Skid Loader	262B	PDT00288
1990	John Deere Pay Loader	644E	DW644EB527520
1997	JCB Telehandler (Forklift)	506B	0579418
2002	CAT Bulldozer	D5C	7PS01937
2004	GORMAN RUPP - 4" Diesel Pump	PA8C60-4045D-C1	001281115N
	GORMAN RUPP - 6" Dry Prime Pump		
2001	Ingersoll Rand Vibratory Smooth Drum Roller	SD110D	168435
1998	CHICAGO PNEUMATIC Compressor	CPS 390	CE16156
2008	Concrete Saw - Self Propelled	FS8800D	1254115001
	Concrete Forms	Doka	
	3 - WHIRLYBIRDS	Power Trawl 36"	
	4-5 VIBRATORS	OZ Tech	
	Light Towers		
	Light Towers		
	Light Towers		
2003	JLG 400S - Self Propelled Deutz	400S	300072553
2006	Skyjack	SJ3219	262824
2005	Skyjack	SJ3219	250891
2006	Skyjack	SJ3219	262669
2001	JLG Telescopic Boom Lift	400S	300060660
2001	Lull - Telescopic Fork Lift	844C-42	01W21P22-3563
2002	JLG Telescopic Boom Lift	400S	300061232
	Yale Forklift GP 070	GP 070	B813D03952V
2005	John Deere (off road Dump)	300D	DW300DT599355

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

- Name and Title of Authorized Representative

m/d/yy

Richard O. Felicetta, President

4/15/2021

Signature

Date _____

Name of Organization

R.J. Industries, Inc.

Address of Organization

75 East Bethpage Road; Plainview, NY 11803

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

**NYS Environmental Facilities Corporation
Minority- & Women- Owned Business Enterprise (MWBE) Utilization Plan**

SECTION 1: MUNICIPAL INFORMATION			
Recipient/Municipality: Nassau County DPW		County: Nassau	
Project No.:	GIGP/EPG No.:	Contract ID: S35114-13G	Registration No. (NYC only):
Minority Business Officer:		Email:	Phone #:
Address of MBO:			
Electronic Signature of MBO: <input type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief.			Date:
Complete if applicable:			
Authorized Representative:		Title:	
Authorized Rep. Company:		Email:	Phone #:
Electronic Signature of Authorized Rep.: <input type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief.			Date:

SECTION 2: PRIME CONTRACTOR / SERVICE PROVIDER INFORMATION				
Firm Name: R.J. Industries, Inc.			Contract Type: <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Other Services	
Prime Firm is Certified as: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input checked="" type="checkbox"/> N/A <input type="checkbox"/> Other: Please repeat information in the Utilization Plan below (Section 3). If dual certified, you must select either MBE or WBE.				
Address: PO B0x 349, Plainview, NY 11803		Phone #: 516-845-9772		Fed. Employer ID #: 11-3401288
Description of Work: Glen Cove WWTP Preliminary Treatment System Improvements				
Award Date:	Start Date:	Completion Date:	MWBE GOAL Total	PROPOSED MWBE Participation
Total Contract Amount: \$ 6,247,700.00 MWBE Eligible Contract Amount: \$ 6,247,700.00 (MWBE Goals are applied to this amount and includes all change orders, amendments, & waivers)			MBE: 10.00 % \$ 624,770.00 WBE: 10.00 % \$ 624,770.00 Total: 20.00 % \$1,249,540.00	MBE: 17.66 % \$ 1,103,095.00 WBE: 11.12 % \$ 695,000.0 Total: 28.78 % \$ 1,798,095.00

**NYS Environmental Facilities Corporation
Minority- & Women- Owned Business Enterprise (MWBE) Utilization Plan**

SECTION 3: MWBE SUBCONTRACTOR INFORMATION				
This Submittal is: <input checked="" type="checkbox"/> The First/Original Utilization Plan <input type="checkbox"/> Revised Utilization Plan #:				
NYS Certified M/WBE Subcontractor Info		Contract Amount:		For EFC Use:
		MBE (\$)	WBE (\$)	
Name: Tameer, Inc.		Fed. Employer ID#: 27-3640481		478,000.00
Address: 21 Grand, Lynbrook, NY 1563		Phone #: 516-858-0555		
Scope of Work: HVAC		Email: estimating@tameernyc.com		
Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input checked="" type="checkbox"/> N/A		Completion Date:		
Full Contract Amount: \$ 478,000.00				
Name: Great Rock Automation		Fed. Employer ID#: 46-331-0779		110,000.00
Address: 97 Rome St, Farmingdale, NY 11735		Phone #: 631-270-1508		
Scope of Work: Controls		Email: vanessa@greatrockny.com		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input checked="" type="checkbox"/> N/A		Completion Date:		
Full Contract Amount: \$ 110,000.00				
Name: AMG Demolition Inc		Fed. Employer ID#: 36-4741729		125,000.00
Address: 510 Broadhollow Road, Suite 110 Melville NY 11747		Phone #: 631-592-2858		
Scope of Work: Demolition		Email: josepha@amg-demolition.com		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input checked="" type="checkbox"/> N/A		Completion Date:		
Full Contract Amount: \$ 125,000.00				
Name: Crossroads JV, LLC		Fed. Employer ID#: 46-4075941		41,000.00
Address: 25 Cain Drive Plainview NY 11803		Phone #: 516-605-1122		
Scope of Work: Painting		Email: sheetal@crossroadsv.com		
Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input checked="" type="checkbox"/> N/A		Completion Date:		
Full Contract Amount: \$ 41,000.00				

**NYS Environmental Facilities Corporation
Minority- & Women- Owned Business Enterprise (MWBE) Utilization Plan**

SECTION 3: MWBE SUBCONTRACTOR INFORMATION				
This Submittal is:		<input type="checkbox"/> The First/Original Utilization Plan <input type="checkbox"/> Revised Utilization Plan #:		
NYS Certified M/WBE Subcontractor Info		Contract Amount:		For EFC Use:
		MBE (\$)	WBE (\$)	
Name: PCS Pump and Process		Fed. Employer ID#: 22-3714238		572,095.00
Address: 41 Plymouth St., Fairfield, NJ 07004		Phone #: 973-575-7464		
Scope of Work: Pumps		Email: ptabone@pcspump.com		
Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Select Only One: <input type="checkbox"/> Broker ___% <input checked="" type="checkbox"/> Supplier <input type="checkbox"/> N/A		Completion Date:		
Full Contract Amount: \$ 572,095.00				
Name: Great Rock Automation		Fed. Employer ID#: 46-331-0779		260,000.00
Address: 97 Rome St, Farmingdale, NY 11735		Phone #: 631-270-1508		
Scope of Work: 2nd Tier Controls Supplier		Email: vanessa@greatrockny.com		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Select Only One: <input type="checkbox"/> Broker ___% <input checked="" type="checkbox"/> Supplier <input type="checkbox"/> N/A		Completion Date:		
Full Contract Amount: \$ 260,000.00				
Name: ACT ELECTRICAL & DATA SUPPLIES INC.		Fed. Employer ID#: 11-2535917		200,000.00
Address: 43-73 9th Street, Long Island City, NY 11101		Phone #: 718-937-3902		
Scope of Work: 2nd Tier Electrical Supplier		Email: sales@actelectricalinc.com		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Select Only One: <input type="checkbox"/> Broker ___% <input checked="" type="checkbox"/> Supplier <input type="checkbox"/> N/A		Completion Date:		
Full Contract Amount: \$ 200,000.00				
Name: GCU Environmental Solutions Corp.		Fed. Employer ID#: 22-3904521		12,000.00
Address: 54 Rolling Lane Levittown NY 11756		Phone #: 516-250-9671		
Scope of Work: Asbestos Abatement		Email: ernie.gcuenvironmental@gmail.com		
Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input checked="" type="checkbox"/> N/A		Completion Date:		
Full Contract Amount: \$ 12,000.00				

**NYS Environmental Facilities Corporation
Minority- & Women- Owned Business Enterprise (MWBE) Utilization Plan**

SECTION 3: MWBE SUBCONTRACTOR INFORMATION				
This Submittal is: <input type="checkbox"/> The First/Original Utilization Plan <input type="checkbox"/> Revised Utilization Plan #:				
NYS Certified M/WBE Subcontractor Info		Contract Amount:		For EFC Use:
		MBE (\$)	WBE (\$)	
Name:		Fed. Employer ID#:		
Address:		Phone #:		
Scope of Work:		Email:		
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input type="checkbox"/> N/A		Completion Date:		
Full Contract Amount: \$				
Name:		Fed. Employer ID#:		
Address:		Phone #:		
Scope of Work:		Email:		
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input type="checkbox"/> N/A		Completion Date:		
Full Contract Amount: \$				
Name:		Fed. Employer ID#:		
Address:		Phone #:		
Scope of Work:		Email:		
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input type="checkbox"/> N/A		Completion Date:		
Full Contract Amount: \$				
Name:		Fed. Employer ID#:		
Address:		Phone #:		
Scope of Work:		Email:		
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input type="checkbox"/> N/A		Completion Date:		
Full Contract Amount: \$				

**NYS Environmental Facilities Corporation
Minority- & Women- Owned Business Enterprise (MWBE) Utilization Plan**

SECTION 3: MWBE SUBCONTRACTOR INFORMATION				
This Submittal is:	<input type="checkbox"/> The First/Original Utilization Plan <input type="checkbox"/> Revised Utilization Plan #:			
NYS Certified M/WBE Subcontractor Info		Contract Amount:		For EFC Use:
		MBE (\$)	WBE (\$)	
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:	Start Date:			
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input type="checkbox"/> N/A	Completion Date:			
Full Contract Amount: \$				
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:	Start Date:			
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input type="checkbox"/> N/A	Completion Date:			
Full Contract Amount: \$				
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:	Start Date:			
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input type="checkbox"/> N/A	Completion Date:			
Full Contract Amount: \$				
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:	Start Date:			
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input type="checkbox"/> N/A	Completion Date:			
Full Contract Amount: \$				

**NYS Environmental Facilities Corporation
Minority- & Women- Owned Business Enterprise (MWBE) Utilization Plan**

SECTION 3: M/WBE SUBCONTRACTOR INFORMATION continued				
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:	Start Date:			
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input type="checkbox"/> N/A	Completion Date:			
Full Contract Amount: \$				
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:	Start Date:			
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input type="checkbox"/> N/A	Completion Date:			
Full Contract Amount: \$				
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:	Start Date:			
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input type="checkbox"/> N/A	Completion Date:			
Full Contract Amount: \$				
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:	Start Date:			
Select Only One: <input type="checkbox"/> Broker ___% <input type="checkbox"/> Supplier <input type="checkbox"/> N/A	Completion Date:			
Full Contract Amount: \$				
SIGNATURE				
Electronic Signature of Contractor: <input type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and that all MWBE subcontractors will perform a commercially useful function. Name (Please Type): Maram Alam				Date: 4/16/2021