



Certified:

B-27-21
Filed with the Clerk of the Nassau County
Legislature on October 21, 2021 2:35pm

NIFS ID: Department: Public Works

Capital: X

SERVICE: BPSTP-Contaminated Soil Haul/Dispose-S35123-L38H-B27-21

Contract ID #:S35123-L38H

NIFS Entry Date:

Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	Y
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Material Adverse Information Identified? (if yes, attach memo):	N
5) Insurance Required	N

Vendor Info:	
Name: Grace Industries, LLC	Vendor ID#: [REDACTED]
Address: 336 South Service Road Melville, NY 11747	Contact Person: [REDACTED]
	Phone: [REDACTED]

Department:
Contact Name: Chris Vella
Address: NCDPW 3340 Merrick Road Building R, 3rd Floor Wantagh, NY 11793 Phone: 516-571-7523

Routing Slip

Department	NIFS Entry: X	08-SEP-21 -- EKOBEL
Department	NIFS Approval: X	08-SEP-21 -- RD'ALLEVA
DPW	Capital Fund Approved: X	08-SEP-21 -- RDALLEVA
OMB	NIFA Approval: X	16-SEP-21 -- CNOLAN
OMB	NIFS Approval: X	08-SEP-21 -- NGUMIENIAK
County Atty.	Insurance Verification: X	08-SEP-21 -- AAMATO

County Atty.	Approval to Form: X	08-SEP-21 -- NSARANDIS
CPO	Approval: X	22-SEP-21 -- PARJUNE
DCEC	Approval: X	22-SEP-21 -- RCLEARY
Dep. CE	Approval: X	23-SEP-21 -- BSCHNEIDER
Leg. Affairs	Approval/Review: X	21-OCT-21 -- JSCHANTZ
Legislature	Approval:	
Comptroller	Deputy:	
NIFA	NIFA Approval:	

Contract Summary

Purpose: Agreement with Grace Industries LLC to haul and dispose of unsuitable contaminated soils stockpiled at the Bay Park STP to allow for siting of upcoming federally funded improvements.
Method of Procurement: Request for sealed bids - six bids were received.
Procurement History: Request for sealed bids issued 1/13/21 - six bids received, Grace Industries LLC was the lowest responsible bidder.
Description of General Provisions: Grace Industries LLC shall haul and dispose of the contaminated soil in accordance with all regulatory trucking and manifest requirements for improvements to Bay Park STP as a result of Hurricane Sandy. Contaminated soils were discovered during construction of the Sidestream Centrate Treatment Facility excavation. The soil must be removed from site to allow for siting of future regulatory driven facility construction.
Impact on Funding / Price Analysis: Maximum amount of contract is \$1,484,120 for term of 3 months. Capital Project 35123. Current MWBE utilization is 20.5%
Change in Contract from Prior Procurement: New Contract
Recommendation: (approve as submitted) Approve as Submitted.

Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	CSW	Revenue		1	PWCSWCSW/35123/L38/00004	\$ 1,484,120.00
Control:	00	Contract:				\$ 0.00
Resp:	CSW	County	\$ 0.00			\$ 0.00
Object:	00004	Federal	\$ 1,484,120.00			\$ 0.00
Transaction:	CH	State	\$ 0.00			\$ 0.00
Project #:	35123	Capital	\$ 0.00			\$ 0.00
Detail:	L38	Other	\$ 0.00			\$ 0.00
RENEWAL		TOTAL	\$ 1,484,120.00		TOTAL	\$ 1,484,120.00
% Increase						
% Decrease						

RULES RESOLUTION NO. -2021

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND GRACE INDUSTRIES, LLC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS [“Department”] has received competitive bids for contract S35123-L38H, for BAY PARK SEWAGE TREATMENT PLANT CONTAMINATED SOIL HAULING AND DISPOSAL-NASSAU COUNTY, NY [“Contract”], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of GRACE INDUSTRIES, LLC.

[“Vendor”] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 1,484,120.00, now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature,
based on the representations of the Department and the recommendation of the
Commissioner of the Department, authorizes the County Executive to award and execute
the said contract with the vendor.



Contract Approval Request Form (As of January 1, 2015)

1. **Vendor:** Grace Industries, LLC

2. **Dollar amount requiring NIFA approval:** \$1484120

Amount to be encumbered: \$1484120

This is a New

If new contract - \$ amount should be full amount of contract

If advisement ?NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. **Contract Term:**

Has work or services on this contract commenced? N ____

If yes, please explain:

4. **Funding Source:**

General Fund (GEN)	Grant Fund (GRT)	Federal % 0
X Capital Improvement Fund (CAP)		State % 0
Other		County % 0

Is the cash available for the full amount of the contract? N

If not, will it require a future borrowing? Y

Has the County Legislature approved the borrowing? Y

Has NIFA approved the borrowing for this contract? N

5. **Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:**

This contract is required to haul and dispose of unsuitable contaminated soils stockpiled at the Bay Park STP to allow for siting of upcoming federally funded improvements. The contractor that discovered this material in the course of their work was unable to provide competitive price for removal which for contaminated material was out of scope.

6. **Has the item requested herein followed all proper procedures and thereby approved by the:**

Nassau County Attorney as to form Y

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. **Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:**

Contract ID	Date	Amount

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

16-SEP-21

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

Jack Schnirman
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: _____

CONTRACTOR ADDRESS: _____

FEDERAL TAX ID #: _____

Instructions: Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☐ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in _____ [newspaper] on _____ [date]. The sealed bids were publicly opened on _____ [date]. _____ [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____[date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

_____[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ **A.** The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ **B.** The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ **A.** There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ **B.** The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ **C.** Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ **D.** Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☐ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☐ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41*, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

Rossann Dalleva

Department Head Signature

Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

FORM OF BID BOND

FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to **USE THIS FORM PROVIDED** as the use of **ANY OTHER FORM** may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned Grace Industries, LLC, 336 South Service Road, Melville, NY 11747

Travelers Casualty and Surety Company of America
as Principal; and One Tower Square, Hartford, CT 06183 as surety,
who is Licensed to do business in the State of New York, are hereby firmly bound unto the County
of Nassau in the penal sum of

Ten Percent of Proposal Price dollars (\$ 10% of P.P.) for the payment
of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs,
executors, administrators, successors and assigns.

Signed, this 9th day of February, 20 21

The conditions of the above obligation is such that whereas the Principal has submitted to the
County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a
contract in writing for the work under

**CONTRACT NO. S35123-L38H for the
BAY PARK SEWAGE TREATMENT PLANT
CONTAMINATED SOIL HAULING AND DISPOSAL**

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not
withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-
five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal,
if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the
contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance
and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all
respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of
Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy
the County that he has the necessary skill, experience and liquid assets required for the contract as
stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain
in full force and effect.

FORM OF BID BOND

NO TEXT ON THIS PAGE

FORM OF BID BOND

Provided, however, that this bond is subject to the following additional conditions and limitations.

- a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.
- b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

FORM OF BID BOND

NO TEXT ON THIS PAGE

FORM OF BID BOND

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

Grace Industries, LLC

Contractor

by *Richard Wagner*

by VICE PRESIDENT
Title

By _____
Title

Travelers Casualty and Surety Company of America

Surety

By *Susan Lupski*
Title of Officer
Susan Lupski, Attorney-In-Fact

Witness: *Tim Hays*
~~XXXX~~ Title of Officer

[Corporate Seal
of Contractor
Title if a
corporation]
Does not apply

[Corporate Seal
of Surety]

FORM OF BID BOND

NO TEXT ON THIS PAGE

ACKNOWLEDGEMENT FOR CONTRACTOR

ACKNOWLEDGEMENT FOR CONTRACTOR, IF LIMITED LIABILITY COMPANY

STATE OF New York }
COUNTY OF Suffolk }

ON THE 11th DAY OF February, 2021 BEFORE ME
PERSONALLY APPEARED Richard C. Weyer TO ME
KNOWN AND KNOWN TO ME TO BE THE Vice President OF
GRACE INDUSTRIES, LLC, A LIMITED LIABILITY COMPANY, DESCRIBED IN AND
WHO EXECUTED THE FOREGOING INSTRUMENT AND ACKNOWLEDGED TO ME
THAT (S)HE EXECUTED THE FOREGOING INSTRUMENT AND ACKNOWLEDGED
TO ME THAT (S)HE EXECUTED THE SAME AS AND FOR THE ACT AND DEED OF
SAID LIMITED LIABILITY COMPANY.


Notary Public

WILLIAM S. ROGERS
Notary Public State of New York
No. 014001243A
Expiration Date: 12/31/2024
Commission Expires Sept. 12, 2024

FORM OF BID BOND

(Acknowledgment by Contractor if a corporation)
STATE OF _____)

ss.:
COUNTY OF _____) Does not apply

On this day of _____, 20____, before me personally came _____ to me known, who, being by me duly sworn, did depose and say for himself, that he resides in _____

that he is the _____ of the _____
the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.

Notary Public

(Acknowledgment by Contractor if a partnership)

STATE OF _____) Does not apply
ss.:
COUNTY OF _____)

Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF _____) does not apply
ss.:
COUNTY OF _____)

On this day of _____, 20____, before me personally came _____ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

Notary Public

FORM OF BID BOND

NO TEXT ON THIS PAGE

FORM OF BID BOND

(Acknowledgment by Surety Company)

STATE OF New York)

SS.:

COUNTY OF Nassau)

On this 9th day of, ^{*}2021, before me personally came ^{**}_____ to me
^{*February} ^{**Susan Lupski}

Known, who being by me duly sworn, did depose and say that heresides

in Nassau County, New York

that he is the Attorney-In-Fact of the
Travelers Casualty and Surety Company of America, the

corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws

of the State of New York, and the said Katherine Acosta further
said

that he is acquainted with Susan Lupski and
knows him

to be the Attorney-In-Fact of said company; that the signature

of the said Susan Lupski subscribed to the within instrument is

in the genuine handwriting of the said Susan Lupski and as
subscribed thereto by like order of the Board of Directors, and in the presence of him, the said
Katherine Acosta

Katherine A. Acosta

Notary Public

KATHERINE AACOSTA
Notary Public, State of New York
Registration No. 01AC6395314
Qualified in Suffolk County
Commission Expires July 22, 2023

FORM OF BID BOND

NO TEXT ON THIS PAGE

FORM OF BID BOND

POWER OF ATTORNEY

ATTENTION: Insert the “Power of Attorney” after this page in with your bid submission.



Travelers Casualty and Surety Company of America
Travelers Casualty and Surety Company
St. Paul Fire and Marine Insurance Company

POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company are corporations duly organized under the laws of the State of Connecticut (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint **Susan Lupski of Uniondale, New York**, their true and lawful Attorney-in-Fact to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed, and their corporate seals to be hereto affixed, this **3rd day of February, 2017**.



State of Connecticut

City of Hartford ss.

By: _____

Robert L. Raney
Robert L. Raney, Senior Vice President

On this the **3rd day of February, 2017**, before me personally appeared **Robert L. Raney**, who acknowledged himself to be the Senior Vice President of Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

In Witness Whereof, I hereunto set my hand and official seal.

My Commission expires the **30th day of June, 2021**



Marie C. Tetreault
Marie C. Tetreault, Notary Public

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, **Kevin E. Hughes**, the undersigned, Assistant Secretary of Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which remains in full force and effect.

Dated this **9th** day of **February**, 20**21**



Kevin E. Hughes
Kevin E. Hughes, Assistant Secretary

To verify the authenticity of this Power of Attorney, please call us at 1-800-421-3880.
Please refer to the above-named Attorney-in-Fact and the details of the bond to which the power is attached.

FORM OF BID BOND

NO TEXT ON THIS PAGE

FORM OF BID BOND

FINANCIAL STATEMENT

ATTENTION: Insert the “Financial Statement” after this page in with your bid submission.

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

HARTFORD, CONNECTICUT 06183

FINANCIAL STATEMENT AS OF DECEMBER 31, 2019

CAPITAL STOCK \$ 6,480,000

ASSETS		LIABILITIES & SURPLUS	
CASH AND INVESTED CASH	\$ 90,238,215	UNEARNED PREMIUMS	\$ 1,079,715,557
BONDS	3,590,884,327	LOSSES	772,047,572
STOCKS	297,933,044	LOSS ADJUSTMENT EXPENSES	174,714,868
INVESTMENT INCOME DUE AND ACCRUED	37,250,410	COMMISSIONS	48,970,467
OTHER INVESTED ASSETS	3,986,514	TAXES, LICENSES AND FEES	14,728,588
PREMIUM BALANCES	263,364,263	OTHER EXPENSES	43,134,646
NET DEFERRED TAX ASSET	52,134,926	CURRENT FEDERAL AND FOREIGN INCOME TAXES	12,674,197
REINSURANCE RECOVERABLE	31,203,529	REMITTANCES AND ITEMS NOT ALLOCATED	17,964,746
SECURITIES LENDING REINVESTED COLLATERAL ASSETS	3,732,602	AMOUNTS WITHHELD / RETAINED BY COMPANY FOR OTHERS	26,565,278
RECEIVABLES FROM PARENT, SUBSIDIARIES AND AFFILIATES	11,831,826	RETROACTIVE REINSURANCE RESERVE ASSUMED	826,255
ASSUMED REINSURANCE RECEIVABLE AND PAYABLE	567,396	POLICYHOLDER DIVIDENDS	11,482,845
OTHER ASSETS	3,574,968	PROVISION FOR REINSURANCE	9,837,205
		ADVANCE PREMIUM	2,140,883
		PAYABLE FOR SECURITIES LENDING	3,732,602
		CEDED REINSURANCE NET PREMIUMS PAYABLE	48,059,812
		OTHER ACCRUED EXPENSES AND LIABILITIES	421,937
		TOTAL LIABILITIES	\$ 2,263,017,456
		CAPITAL STOCK	\$ 6,480,000
		PAID IN SURPLUS	433,803,760
		OTHER SURPLUS	1,683,400,804
		TOTAL SURPLUS TO POLICYHOLDERS	\$ 2,123,684,564
TOTAL ASSETS	\$ 4,386,702,020	TOTAL LIABILITIES & SURPLUS	\$ 4,386,702,020

STATE OF CONNECTICUT)
COUNTY OF HARTFORD) SS.
CITY OF HARTFORD)

MICHAEL J. DOODY, BEING DULY SWORN, SAYS THAT HE IS VICE PRESIDENT - FINANCE, OF TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA,
AND THAT TO THE BEST OF HIS KNOWLEDGE AND BELIEF, THE FOREGOING IS A TRUE AND CORRECT STATEMENT OF THE FINANCIAL CONDITION OF SAID
COMPANY AS OF THE 31ST DAY OF DECEMBER, 2019.

Michael J. Doody
VICE PRESIDENT - FINANCE

Susan M. Weissleder
NOTARY PUBLIC

SUBSCRIBED AND SWORN TO BEFORE ME THIS
26TH DAY OF MARCH, 2020

SUSAN M. WEISSLEDER
Notary Public
My Commission Expires November 30, 2022



FORM OF BID BOND

NO TEXT ON THIS PAGE

FORM OF BID BOND

CERTIFICATE OF SOLVENCY

ATTENTION: Insert the “Certificate of Solvency” after this page in with your bid submission.

**STATE OF NEW YORK
DEPARTMENT OF FINANCIAL SERVICES**

CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK INSURANCE
LAW

It is hereby certified that

Travelers Casualty & Surety Company of America
of Hartford, Connecticut

a corporation organized under the laws of New York and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$2,123,684,564. (Capital \$6,480,000), as is shown by its sworn financial statement for the quarter ending, December 31, 2019, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have here-
unto set my hand and affixed the
official seal of this Department
at the City of Albany, this 7th
day of April 2020.

Linda A. Lacewell
Superintendent

Ellen Buxbaum
Special Deputy Superintendent

FORM OF BID BOND

NO TEXT ON THIS PAGE

PROPOSAL

COUNTY OF NASSAU
NEW YORK

PROPOSAL

FOR

BAY PARK SEWAGE TREATMENT PLANT
CONTAMINATED SOIL HAULING AND DISPOSAL

Contract No. S35123-L38H

GENERAL

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: Grave Industries, LLC

Bidder: _____
(Individual, Firm or Corporation, as case may be)

Bidder's Business Address: 336 South Service Road
Melville, NY 11747

Telephone: 516-336-6720 Date of Bid: 2/16/21

Fax: 516-336-6722 E-Mail: bids@gravecivil.com

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

LLC

(If Bidder is an ~~Individual~~, fill in the following blanks:)

Name of ~~Individual~~: LLC - Please see attached

Residence of Individual: _____

(If Bidder is a ~~Firm~~, fill in the following blanks:) Does not apply

Name and Residence of Partner: _____

Name and Residence of Partner: _____

Name and Residence of Partner: _____

(If Bidder is a ~~Corporation~~, fill in the following blanks:) Does not apply

Organized under the laws of the State of: _____

Name and Residence of President: _____

Name and Residence of Vice-President: _____

Name and Residence of Secretary: _____

Name and Residence of Treasurer: _____

PROPOSAL

++ NO TEXT ON THIS PAGE ++

Grace Industries LLC Owners & Officers

Name :
Title:
Address:

Name :
Title:
Address:

Name :
Title:
Address:

Name :
Title:
Address:

Name :
Title:
Address:

Name :
Title:
Address:

Name :
Title:
Address:

Name :
Title:
Address:

Name :
Title:
Address:



PROPOSAL

THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of labor, equipment, other facilities needed for the performance of the Work, the general and local conditions, and all other items which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities of Glen Cove or Long Beach, the contractor shall be required to have such a license.

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

I. Rejection of Bids.

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law; or if
7. Bids may be rejected if the County determines that the contractor's experience and qualifications statement does not show adequate experience with projects of similar size, scope and complexity, MOPO work and complex phasing and sequencing of equipment on and off line during construction.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend / to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING

A BID ON

CONTRACT NO. S35123-L38H

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1	Lump Sum	Base Bid for furnishing all Labor, Materials and Equipment required for all work at Bay Park Sewage Treatment Plant CONTAMINATED SOIL HAULING AND DISPOSAL as specified. <u>THIRTY SEVEN THOUSAND</u> DOLLARS CENTS	N/A	N/A	37,000	⁰⁰ / ₀₀
2	372 Cubic Yards	For all work associated with hauling and disposal of RCRA Hazardous, NON TSCA PCB Containing Soils. <u>FOUR HUNDRED SIXTY</u> DOLLARS CENTS	460	⁰⁰ / ₀₀	171,120	⁰⁰ / ₀₀
3	745 Cubic Yards	For all work associated with hauling and disposal of NON RCRA Hazardous, TSCA PCB Containing Soils. <u>FOUR HUNDRED SIXTY</u> DOLLARS CENTS	460	⁰⁰ / ₀₀	342,700	⁰⁰ / ₀₀
4	6333 Cubic Yards	For all work associated with hauling and disposal of NON RCRA Hazardous, NON TSCA PCB Containing Soils. <u>ONE HUNDRED</u> DOLLARS CENTS	100	⁰⁰ / ₀₀	633,300	⁰⁰ / ₀₀

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

5	Allowance	For all work associated with hauling and disposal of RCRA Hazardous, TSCA PCB Contaminated Soil.	N/A	N/A	\$200,000	00
		Two Hundred Thousand No DOLLARS CENTS				
6	Allowance	For all work associated with additional asphalt and/ or curb repair or replacement as directed by the County.	N/A	N/A	\$100,000	00
		One Hundred Thousand No DOLLARS CENTS				

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON

CONTRACT NO. S35123-L38H

TOTAL BASE BID (Bid Item Nos. 1 thru 6): MUST BE WRITTEN IN FIGURES:

\$ 1,434,120⁰⁰

TOTAL BASE BID (Bid Item Nos. 1 thru 6): MUST BE WRITTEN IN WORDS:

ONE MILLION FOUR HUNDRED EIGHTY FOUR THOUSAND

DOLLARS

ONE HUNDRED TWENTY, ^{CENTS} DOLLARS EVEN

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

ALLOWANCES: It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. 5: An allowance of two hundred thousand dollars (\$200,000) for all work associated with hauling and disposal of RCRA Hazardous, TSCA PCB Contaminated Soil.
2. Item No. 6: An allowance of one hundred thousand dollars (\$100,000) for all work associated additional asphalt and/ or curb repair or replacement as directed by the County.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and Section 01150, Measurement and Payment.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID: Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances.

PROPOSAL

++ NO TEXT ON THIS PAGE ++

Does not apply

PROPOSAL



NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

WICKS EXEMPT LIST OF SUBCONTRACTORS

CONTRACT NO. S35123-L38H

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non-responsive.

Contractor's Name and Address
Grace Industries, LLC
336 So. Service Rd.
Melville, NY 11747
Federal ID No.: 20-8054737

Project Description (Project Title, Facility Name and Address):

Bid Date:

Total Contract Amt:

2/16/21

Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):

Plumbing and Gas Fitting

Steam Heating, Hot Water Heating, Ventilating and AC Apparatus

Electric Wiring and Standard Illuminating Fixtures

If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box ☐ skip to bottom of form, and sign it as required.

Subcontractor's Name, Address and Federal ID No.	Check () only one.			General Description of Work	Subcontractor's Contract Amt.
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures		
Federal ID No.					
Federal ID No.					
Federal ID No.					

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.

Failure to complete this form accurately and in its entirety, may result in a non-responsive bid determination.

Company Authorized Signature:

Title:

Date:

Does not apply

PROPOSAL

NO TEXT ON THIS
PAGE

PROPOSAL

IRAN DIVESTMENT ACT – CERTIFICATION

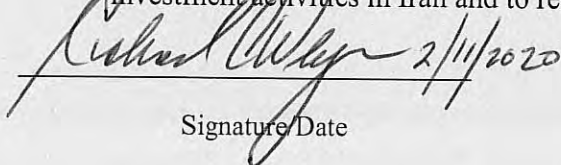
Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

☒ a. Certification that the Bidder is not on the List: Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,

☐ b. Certification that the Bidder's investment in Iran is ceasing: The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.

 2/11/2020
Signature Date

Richard C. Weyer, E.V.P.

Print Name and Position

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

MacBride Fair Employment Principles

**NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN
IRELAND: MACBRIDE FAIR EMPLOYMENT PRINCIPLES**

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

(1) have business operations in Northern Ireland,

Yes _____ No X

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes _____ No _____


Contractor's Signature

Grace Industries, LLC
Name of Business

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL

PROPOSAL: For all work in accordance with the drawings and specifications:

Bidder: Grace Industries, LLC
(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: _____

Federal ID No: 20-8054737

Municipal License ID Number: _____

Municipal Licensing Agency: _____

By: Richard C. Weyer Date: 02/11/2020
(Signature of Individual, Partner or Corporate Officer)

(Print): Richard C. Weyer Title: E.V.P.

WHERE BIDDER IS A CORPORATION, ADD

Does not apply

ATTEST: _____
Secretary

(CORPORATE)

(SEAL)

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GENERAL

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an **Individual**) *Does not apply*

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Being duly sworn,
deposes and says: That he is the person described in and who executed the foregoing Bid
and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
This _____ day of _____ 20 _____.

Notary Public

(Form of Affidavit where Bidder is a **Firm**) *LLC*

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Richard C. Weyer

Being duly sworn,
deposes and says: That he is a member of *Grace Industries, LLC* the firm
described in and which executed the foregoing Bid; that he duly subscribed the name of the
firm thereunto on behalf of the firm; and that the several matters therein stated are in all
respects true.
Subscribed and sworn to before me
This *11th* day of *February* 20 *21*.

William S. Rogers

Notary Public

WILLIAM S. ROGERS
Notary Public State of New York
No. 01-RO6133228
Qualified in Nassau County
Commission Expires Sept. 12, 20 *21*

PROPOSAL

page 10/2/2015

++ NO TEXT ON THIS PAGE ++

PROPOSAL

(Form of Affidavit where Bidder is a Corporation)

Does not apply

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____ Being duly sworn,
deposes and says: That he resides at _____, that he is the _

_____ of the corporation described in and which
executed the foregoing instrument; that he knows the seal of said corporation; that the
seal affixed to the said instrument is such corporate seal and was affixed by order of the
Board of Directors of said corporation; that he affixed his name thereto by like order; and
that he has knowledge of the several matters therein stated and they are in all respects true.
Subscribed and sworn to before me

This _____ day of _____ 20_____.

Notary Public

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

**CONTRACTOR CERTIFICATION STATEMENT
REGARDING STORM WATER POLLUTION AT THE
WORK SITE**

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

CONTRACTOR'S NAME: Grave Industries, LLC

TELEPHONE NUMBER: 516-336-6720

WORK SITE OR FACILITY NAME: BAY PARK SEWAGE PLANT.

WORK SITE OR FACILITY ADDRESS OR

OTHER IDENTIFYING DESCRIPTION: BAY PARK, EAST

Roseaway, N.Y.

Richard C. Weyer

Date
02/11/2020

Print Name and Title of Signer

Richard C. Weyer
Executive Vice President

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

U.S. DEPARTMENT OF JUSTICE OFFICE OF JUSTICE PROGRAMS OFFICE OF THE COMPTROLLER	
Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions (Sub-Recipient)	
<p>This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 <i>Federal Register</i> (pages 19160-19211). (BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)</p> <p>(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.</p> <p>(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.</p>	
<p><i>Richard C. Weyer, Executive Vice President</i></p>	
Name and Title of Authorized Representative	m/d/yy
<i>Richard C. Weyer</i>	<i>02/11/2020</i>
Signature	Date
<p><i>Grave Industries, LLC</i></p>	
Name of Organization	
<p><i>336 South Service Road, Melville, NY 11747</i></p>	
Address of Organization	

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER
OJP FORM 4061/1 (REV. 2/89) Previous editions are obsolete

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?

14 Years _____ Months

2. How many years experience in the construction work of a similar type as this contract has your firm had;

as a Prime Contractor 13

as a Subcontractor 12

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please See Attached

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please See Attached.

(use additional blank sheets if additional space is necessary)

PROPOSAL

NO TEXT ON THIS PAGE

Grace Industries

Work on Hand

Project Name / Location / Description	Owner	Design Engineer	Contract Price	Scheduled Completion Date / % Complete
JFK 164.020 Reconstruction of Runway 13L-31R & Associated Taxiways	The Port Authority of NY/NJ	The Port Authority of NY/NJ	\$152,000,000 Joint Venture	12/1/2020 99%
LGA 124.236 & 236M – Landscape & Maintenance	The Port Authority of NY/NJ John Aribitto (718) 244-3708	The Port Authority of NY/NJ	\$2,067,070 Prime	04/2022 85%
D263477- NYS Route 231 Safety Improvements at Northern State Parkway Interchange	NYSDOT	NYSDOT	\$15,743,703 Prime	10/1/2020 99%
D264023- Resurfacing of 1.5 Miles on Route 59 (Rockland County)	NYSDOT Jim Olson	NYSDOT	\$2,754,266	9/1/2020 99%
D263959- Asphalt Concrete Milling and Resurfacing on Rt. 9A (Westchester County)	NYSDOT Dina Colavito	NYSDOT	\$2,656,935	9/1/2020 30%
LaGuardia Perm. Landside Paving, Flushing NY	PANY/NJ	Skanska-Walsh Design Build	\$9,188,348 Subcontractor	11/2020 75%
Long Island - Mains & Services	National Grid – Gas	National Grid – Gas	\$25,000,000 *annually	03/2021 Unit Price
X092-508M- Construction of Putnam Greenway	NYC Department of Parks & Recreation	NYCDPR	\$2,757,830 Prime	10/2020 75%
X092-217M - Van Cortlandt Park	NYC Department of Parks & Recreation	NYCDPR	\$4,954,952 Prime	9/1/2020 99%
D214692 - All Electronic Tolling Collection (AETC) Conversion of Yonkers Toll Plaza	NYS Thruway Authority	McLaren Shumaker Wayne Allen	\$15,302,528 Prime	9/1/2020 99%



Grace Industries

Work on Hand

Project Name/ Location / Description	Owner	Design Engineer	Contract Price	Scheduled Completion Date / % Complete
D214698 – All Electronic Tolling Collection (AETC) Conversion of New Rochelle Toll Plaza	NYS Thruway Authority	GPI	\$12,819,320 Prime	9/1/2020 99%
Beaver Concrete – Various Paving Jobs	Beaver Concrete	NYCDOT	\$1,381,670 Subcontractor	10/2020 85%
M037-318M- Highbridge Park	NYC Department of Parks & Recreation	NYCDPR	\$8,877,849 Prime	10/2020 75%
LGA124.248 Deck Extension Wetland Mitigation Pugsley Creek	The Port Authority of NY/NJ Trevor Santos 718-533-3477	The Port Authority of NY/NJ	\$7,407,376	11/2020 99%
LGA 124.266 Rehabilitation of Taxiway A from Taxiway K to Taxiway E	The Port Authority of NY/NJ Trevor Santos 718-533-3477	The Port Authority of NY/NJ	\$8,947,585	11/2021 15%
D263860- Walt Whitman Road over I- 495 Bridge Replacement and Widening	NYSDOT	NYSDOT	\$28,171,161 Prime	12/1/2020 65%
Office of General Services EE240C Repair Building from Vehicle Collision	OGS	OGS	\$200,000 Prime	12/1/2020 20%
NYSDOT- D264106 Cross Bronx Expressway	NYSDOT	NYSDOT	\$15,545,605 Prime	12/1/2020 15%
NYSTA- TANE 19-40/D214758 Pavement Repairs at Various Locations on the New England Thruway	NYSTA	NYSTA	\$11,398,985 Prime	11/2021 20%



Grace Industries

Work on Hand

Project Name/ Location / Description	Owner	Design Engineer	Contract Price	Scheduled Completion Date / % Complete
Verrazano Bridge Anchorage Rehabilitation EE Cruz	TBTA	TBTA	\$722,820 Subcontractor	12/2020 0%
TN-53 Throgs Neck Bridge Paving Judlau Contracting	TBTA	TBTA	\$1,129,240 Subcontractor	10/2023 0%
TN-49 Throgs Neck Bridge Paving Judlau Contracting	TBTA	TBTA	\$2,003,260 Subcontractor	10/2023 0%
Patchogue River Watershed Project	Suffolk County Department of Public Works	CH2MHILL	\$44,126,120 Prime	0%
Worth Construction Airport Building – Dutchess Community College – Furnish and Install Asphalt Concrete Pavement	Dutchess Community College	C&S Engineers	\$263,000	0%



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
Westchester Community Center ACF Conversion	US Army Corps of Engineers	LiRo	04/2020	\$2,991,570
DeMatteis Construction	Leon D. DeMatteis Construction Corp.	Various	10/2019	\$1,301,750 Subcontractor
Contract D263626 Operational Improvements on Southbound West Shore Expressway	NYSDOT Sorin Nour (718) 317-7061	NYSDOT	10/2019	\$7,074,832 Prime
D263361 – Pavement Preservation 7 Locations	NYSDOT Nick Parisi 718-273-2904	NYSDOT	08/2019	\$11,586,206 Prime
Q498-115M, Construction of Saltwater Marsh and Coastal Upland Area In Sunset Cove Park	NYCDPR Mohamed Ayoub (718) 760-6721	NYCDPR & HDR Engineering	08/2019	\$12,743,580 Prime
MFA-100.512 WO#14 – JFK Airport Perimeter Systems Infrastructure	URS (AECOM)	The Port Authority of NY/NJ	06/2019	\$525,444 Subcontractor
Local Law 30 - Cut In Curb Valves	National Grid – Gas	National Grid – Gas	05/2019	\$8,200,000
EE Cruz- Various Paving Locations	EE Cruz	NYSDOT	05/2019	\$1,325,569
6%19165 – NYCT Water Mitigation Drain Cleaning	NYCT Richard Battista 646-252-6240	NYCT	04/2019	\$23,662,000



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
4400007375 – Greenpoint LNG Facility Geoweb Installation	National Grid – Electrical	National Grid – Electrical	03/2019	\$2,999,960
6967 – High Point Vent & Drain Pit Replacement JFK	Meccon Industries Inc.	The Port Authority of NY/NJ	03/2019	\$340,000
LK Comstock – Site Work at LIRR Wyandanch Station	LK Comstock	LIRR	02/2019	\$18,771,374
Montauk Energy Storage Center Battery Energy Storage System (BESS)	Nextera Energy	Nextera Energy	02/2019	\$6,819,632
MA00005871 – Barret Substation Foundation Install	PSEG-LI	PSEG-LI	02/2019	\$500,000
ConEd Battery Energy Storage System (BESS)	RES	Con Ed	01/2019	\$3,594,580
D214594- Pavement Repairs at Various Locations on the New England Thruway (I-95)	NYS Thruway Authority	NYS Thruway Authority	12/2018	\$9,844,012
JFK 124.016 – Rehab. Taxiway Q, QG and Restricted Vehicle Service Road	The Port Authority of NY/NJ James Brogan (718) 533-3538	PANY/NJ	11/2018	\$29,250,000
D263398 – Safety Improvements on the Harlem River Drive	NYSDOT Arlene Mungo 917-676-6751	NYSDOT	08/2018	\$7,455,164
H63029-05G Barnum Island Bridge Improvements	NCDPW Rich Kramer 516-571-1950	Greenman-Pedersen	07/2018	\$8,326,190



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
1400-FLP- FEMA Reconstruction at Pennsylvania/ Fountain Ave.	NYCDEP Walter Goyzueta (718) 595-7000	Lockwood, Kessler & Bartlett, Inc.	03/2018	\$1,151,318
Gas Pipe Installation East Hampton & Montauk	Aggreko	N/A	03/2018	\$600,000
Mark Paving - Jet Blue Taxi Loading Area	Jet Blue	PANY/NJ	12/2017	\$374,805
Mark Paving - Jet Blue British Airways, JFK Airport Jamaica, NY	Jet Blue/ British Airways	PANY/NJ	12/2017	\$1,548,259
S35121-17G – Bay Park Sewage Treatment Plant – Perimeter Flood Protection, Bay Park, NY	NC DPW Maryanne Dioquino 917-952-2724	Arcadis	12/2017	\$63,000,000
Clove Lake - Install Pig Launcher Foundation	National Grid		08/2017	\$153,000
D900014 – NY Route 347 (DB Phase 2) – Mount Pleasant Road to Terry Road, Smithtown, NY	NYSDOT John McGullam (631)-560-9975	LiRo Engineers	05/2017	\$39,427,800
1213117-049 Rockaway Boardwalk Reconstruction Site RPL Decking Phases 5B, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	05/2017	\$4,675,409 Subcontractor
1213117-045 Rockaway Boardwalk Reconstruction Site Work and Cast-in-Place Concrete – Phases 5A and 5B, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	05/2017	\$11,047,309 Subcontractor



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
1213117-048 Rockaway Boardwalk Recon. Boardwalk and Access Pile Driving and Pile Caps, Sand Retaining Wall, and Access Planks – Phase 5B, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	06/2017	\$10,633,058 Subcontractor
1213117-025 Rockaway Boardwalk Reconstruction Phase 4B Boardwalk Piles, Caps, Sand Retaining Wall & Access Structure Piles, Caps and Precast Planks, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	05/2017	\$7,630,567 Subcontractor
1213117-037.1 Rockaway Boardwalk Reconstruction Site Work – Phase 3, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	05/2017	\$4,985,656 Subcontractor
1213117-040 Rockaway Boardwalk Reconstruction RPL Decking, RPL Fascia, Wood Stairs & Furniture – Phase 3 & 5a, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	05/2017	\$8,684,721 Subcontractor
1213117-031 Rockaway Boardwalk Recon Phase 3 Pile Driving and Water Main Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	05/2017	\$15,089,649 Subcontractor
Paving PS-176	DeMatteis Ben Mena (516)- 285-5500	SCA	05/2017	\$690,000 Subcontractor



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
1213117-032 Rockaway Boardwalk Reconstruction Phase 4A&4B Site Work and Cast-In Place Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	04/2017	\$5,797,408 Subcontractor
1213117-022 Rockaway Boardwalk Reconstruction Signage Phases 1, 2, 3, 4, and 5, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	04/2017	\$261,000 Subcontractor
1213117-52.1 Rockaway Boardwalk Phase 3 B115 & B117 Ramps	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	04/2017	\$337,645 Subcontractor
Queens Dead Gas Pilot	Con Edison	Bob McGrath	03/2017	\$1,035,000
1213117-047 Rockaway Boardwalk Reconstruction Site Preparation, Salvage and Removals – Phases 5B, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	11/2016	\$2,062,984 Subcontractor
WTC 324.359 - World Trade Center Paving Milling & Paving, New York, NY	TB Pennick Brian Miniutti	Port Authority	08/2016	\$302,706 Subcontractor
D262267 - Henry Hudson Milling & Paving, Various, NY	Commodore Paul Kiernan (914)- 297-3016	NYSDOT	08/2016	\$1,661,520 Subcontractor
Contract ERBPM-14 Williamsburg Bridge Milling & Paving, New York, NY	Commodore Ray Speckman (917)- 417-4949	NYCDOT	08/2016	\$457,046 Subcontractor



Grace Industries
Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
Contract MED617 - Route 9A Restoration and Concrete Pavement, New York, NY	Triumph Dominick Cuzzi (914)- 755- 1963	Domani Consulting	07/2016	\$161,322 Subcontractor
1213117-18.2 Rockaway Boardwalk Reconstruction Site Preparation, Salvage, and Removals- Phase 5A Part 2, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	06/2016	\$1,507,268 Subcontractor
1213117-18.1 Rockaway Boardwalk Reconstruction Site Preparation, Salvage and Removals- Phase 5A Part 1, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	06/2016	\$1,543,893 Subcontractor
Contract C-821, Long Beach Comfort Stations, Long Beach, NY	The City of Long Beach James LaCarrubba (516) 431-1011	City of Long Beach	05/2016	\$7,670,141 Prime
LGA 124.231 Rehabilitation of Taxiways West of Runway 4-22, Queens, NY	Port Authority of NY/ NJ Jim Fox (718) 533-3796	Port Authority of NY/ NJ	04/2016	\$8,744,286 Prime
1213117-024 Rockaway Boardwalk Recon. Phase 4A Boardwalk Piles, Caps, Sand Retaining Wall & Access Structures Piles, Caps and Precast Planks, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	04/2016	\$9,690,413 Subcontractor



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
H81011-05G Rehabilitation of Rushmore Drain, Westbury, NY	NC DPW Tim Kelly (631)-374-9664	NC DPW	03/2016	\$2,671,740 Prime
D262977- Route 22 Pavement Preventive Maintenance (1.7 Miles), Eastchester, NY	NYSDOT Dina Colavito (914) 804- 1578	NYSDOT	01/2016	\$3,088,888 Prime
D262894- 5.4 Miles of Asphalt Concrete Milling and Resurfacing on Route 9D, Greenburg, NY	NYSDOT Dina Colavito (914) 804- 1578	NYSDOT	12/2015	\$5,869,947 Prime
1213117-015 Rockaway Boardwalk Reconstruction Phase 4B Site Prep, Salvage and Demolition, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	11/2015	\$980,610 Subcontractor
1213117-008 Rockaway Boardwalk Reconstruction Phase 1 & 2 Electrical Rough-in, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	06/2015	\$2,458,054 Subcontractor
1213117-019 Rockaway Boardwalk Reconstruction Phase 3A Site Prep, Salvage and Removals, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	05/2015	\$509,075 Subcontractor
D262692- Route 138 Preventive Maintenance - Pavement, Westchester, NY	NYSDOT Dina Colavito (914) 804-1578	NYSDOT	05/2015	\$2,468,795 Prime



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
D262419 – NY Route 440 Southbound Connector, Staten Island, NY	NYSDOT John McElrath (917)- 584-0340	NYSDOT	03/2015	\$6,675,000 Prime
1213117-020 Rockaway Boardwalk Reconstruction Phase 3B Site Prep, Salvage and Removals, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	02/2015	\$524,278 Subcontractor
1213117-003 Rockaway Boardwalk Recon. Phase 1 Site Prep and Removals, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	01/2015	\$630,934 Subcontractor
LGA-124.165 – LaGuardia Airport – Rehabilitation of Taxiways A, M, & ZA	Port Authority of NY/ NJ	Port Authority of NY/ NJ	12/2014	\$10,477,777
D262610- Mill & Overlay and Associated Tasks, Westchester, NY	NYSDOT Dina Colavito (914) 804-1578	NYSDOT	11/2014	\$5,249,420 Prime
1213117-004 Rockaway Boardwalk Recon. Phase 1 Pile Driving and Sand Retaining Wall, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	10/2014	\$1,518,035 Subcontractor
Contract C-760 – Reconstruction of Long Beach Boardwalk, Long Beach, NY	City of Long Beach James La Carrubba (516) 431-1011	LIRO	06/2014	\$42,995,487 Prime



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
D261545 – Recon. of Northern State Parkway over Route 110, Melville, NY	NYSDOT Anna Elias (631) 952-6050	Brian McNamara (631) 609-7975	12/2013	\$59,972,334 Prime
D262303 – 21 Miles of Pavement Resurfacing, Various, NY	NYSDOT Allan Porreca (845) 476-5084	NYSDOT	11/2013	\$10,153,272 Prime
D262302 – 11 Miles of Pavement Resurfacing, Various, NY	NYSDOT Dina Colavito (914) 804-1578	NYSDOT	11/2013	\$6,960,309 Prime
LGA-124.101: Rehabilitation of Taxiways R, S, P, & G, Queens, NY	Port Authority of NY/ NJ James Brogan (718) 533-3538	Port Authority of NY/ NJ	10/2013	\$2,839,697 Prime
LGA-124.060 – Rehab. of CTB Concourse Alleyway Pavements, Queens, NY	Port Authority of NY/ NJ Anthony Vero, PE (718) 533-3535	Port Authority of NY/ NJ	06/2013	\$3,015,091 Prime
HBX1195 – Replacement of Shore Road Circle Bridge over Amtrak, Bronx, NY	NYCDOT Mohammed Arain (212) 487-7854	NYCDOT	05/2013	\$17,492,513 Prime
EC301-G – Re-grade beach sand at Jones Beach State Park, Various, NY	Office of General Services Daryl Cousins (631) 951-0248	Office of General Services	05/2013	\$300,000 Prime
EC271-C – Restore Fields 4 & 5 Robert Moses State Park, Various, NY	Office of General Services Daryl Cousins (631) 951-0248	Office of General Services	05/2013	\$300,000 Prime
D261003 - Reconstruction of Starlight Park & The Bronx River Greenway Bronx, NY	NYSDOT Jack Haran (718) 482-4794	NYSDOT	04/2013	\$17,043,043 Prime



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
D261357 – Reconstruction of Route 347 Suffolk County, NY	NYSDOT John McGullam (631) 761-5544	NYSDOT	02/2013	\$26,987,807 Prime
Change Order Emergency Reconstruction of Orient Point State Park, Orient Point, NY	NYSDOT Anna Elias (631) 952-6050	NYSDOT	02/2013	\$1,894,552 Prime
D262021 – Pavement Resurfacing in Westchester Various, NY	NYSDOT Dina Colavito 914-804-1578	NYSDOT	12/2012	\$7,250,877 Prime
Reconstruction of CR 67 Bridge over the LIE Hauppauge, NY	Suffolk County DPW James Peterman (631) 852-4003	Greenman Pederson Henry Schneck (631) 761-7246	08/2012	\$18,470,470 Prime
D261588 – Resurfacing I-684, Rehab 3 Bridges Westchester, NY	NYSDOT Paul Tirums (914) 490-3269	NYSDOT	12/2011	\$18,213,684 Prime
C-34766 – Rehab of Ocean Parkway Viaduct, Phase II Brooklyn, NY	MTA-NYCT Raymond Wong (646) 252-3918	MTA-NYCT	05/2011	\$5,869,578 Prime
D261288 - Resurfacing the Northern State Parkway Suffolk County, NY	NYSDOT Raymond Weixler (631) 952-6045	NYSDOT	05/2011	\$9,233,233 Prime
D260463 – Pavement Maintenance via Work Order Various, NYC	NYSDOT Bruce Ogurek (516) 779-0261	NYSDOT	06/2010	\$19,551,730 Prime



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
AK-181 – Staten Island Bridges – Pavement Maintenance Staten Island, NY	Port Authority of NY/ NJ James Masset (718) 390-2505	Port Authority of NY/ NJ	03/2010	\$964,868 Prime
JFK-164.011 – Rehabilitation of Taxiways S,SB,SC,SD, Apron Area, Taxiway NB, Jamaica, NY	Port Authority of NY/ NJ John Aribitto (718) 244-3708	Port Authority of NY/ NJ	11/2009	\$17,072,000 Prime
GWB-542 – Pavement Maintenance Repairs via WO at GWB Bronx, NY & NJ	Port Authority of NY/ NJ Andrea Giorgi-Blocker (201) 346-4021	Port Authority of NY/ NJ	08/2008	\$1,010,360 Prime



PROPOSAL

5. Have you ever failed to complete any work awarded to you?
If so, when and why? **NO**

(use additional blank sheets if additional space is necessary)

6. Has any officer or partner of your firm ever been an officer or partner of some other firm that failed to complete a construction contract? **NO**

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? **NO**

If so, state name of individual, name of owner and reason therefor:

(use additional blank sheets if additional space is necessary)

8. In what other lines of business are you financially interested?

None.

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

9. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Year of Construction Experience	Magnitude and type of Work	In What Capacity
----------------------	----------------------------------	---------------------------------------	-------------------------------	---------------------

Please See Attached

(use additional blank sheets if additional space is necessary)

10. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size, Capacity, Year, Etc	Year of Service	Present Location
------	--	--------------------	---------------------

Please See Attached

(use additional blank sheets if additional space is necessary)

Note: Should the equipment be moved from the above mentioned location, the submitter hereby agrees upon request of the County to state the new location where same may be found.

11. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like

encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

Please See Attached

(use additional blank sheets if additional space is necessary)

PROPOSAL

NO TEXT ON THIS PAGE

Category	Description	Manufacturer	Model
Air Comp-Md			
	AIRCOMP-MD,AIRMAN,400,07	AIRMAN	400CFM
	AIRCOMP-MD,AIRMAN,400,07	AIRMAN	400CFM
	AIRCOMP-MD,AIRMAN,400,07	AIRMAN	400CFM
	AIRCOMP-MD,INGERSOLRAND,185,99	INGERSOLRAND	185CFM
	AIRCOMP-MD,SCALES,230V,125PSI	SCALES	230V,3 PHASE,125PSI
	Air Comp-Md - Pieces of Equipment: 5		
Air Compressors			
	COMPRESSORS, 6 GALLON	PORTER CABLE	2.6SCFM
	COMPRESSORS, 20 GALLON	HUSKY	H1820F
	COMPRESSORS, 30 GALLON	INGERSOLL RAND	2475F116
	AIR COMPRESSORS,2700 PSI	APACHE	CMG250AC
	COMPRESSORS, 6 GALLON	PORTER CABLE	2.6SCFM
	COMPRESSORS, 6 GALLON	PORTER CABLE	2.6SCFM
	SM AIR COMPRESSOR, 135 PSI, 4GAL, 3HP	PORTA CABLE	
	COMPRESSORS 110 VOLT 2HP	WACKER	D1500HPV5
	Air Compressors - Pieces of Equipment: 8		
Air Comp-Sm			
	AIRCOMP-MD,AIRMAN,185,07	AIRMAN	185
	AIRCOMP-MD,AIRMAN,185,05	MAGNUM	185
	AIRCOMP-MD,AIRMAN,185,08	AIRMAN	185CFM
	AIRCOMP-MD,AIRMAN,185,08	AIRMAN	185CFM
	Air Comp-Sm - Pieces of Equipment: 4		
Arrow Board			
	ARWBRD-SLR,WANCO,WTSP,06	WANCO	WTSP
	ARWBRD-SLR,AMIDA,WTSP,09	AMIDA	WTSP55-LSA
	ARWBRD-DSL,ALMND,2200,05	ALLMAND	2200SE
	ARWBRD-SLR,WANCO,WTSP,03	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,05	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,07	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,07	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,07	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,07	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,06	WANCO	WTSP55-LSA
	ARWBRD-SLR,ALMND,2200,07	ALLMAND	2200SE
	ARWBRD-SLR,WANCO,WTSP,07	WANCO	WTSP55-LSA
Arrow Board			
	ARWBRD-SLR,WANCO,WTSP,07	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,11	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,09	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,09	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,09	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,09	WANCO	WTSP55-LSA
	Arrow Board - Pieces of Equipment: 18		
Atv			
	ATV,POLARISRNGR,800XP,10	POLARIS	RANGER 800XP
	ATV,YAMAHA,RHINO,660,04	YAMAHA	RHINO 660
	ATV,POLARISRNGR,500CC,03	POLARIS	RANGER 500C
	ATV,POLARISRNGR,500XP,13	POLARIS	RANGER 500C
	Atv - Pieces of Equipment: 4		
Backhoe-Lg			
	BACKHOE-LG,CAT,450E,09	CATERPILLAR	450E
	BACKHOE-LG,CAT,450E,10	CATERPILLAR	450E
	Backhoe-Lg - Pieces of Equipment: 2		
Backhoe-Md			
	BACKHOE-LG,CAT,446B,02	CATERPILLAR	446B

- Continued

BACKHOE-LG,CAT,446B,02	CATERPILLAR	446B
BACKHOE-LG,JDEERE,710D,94	JOHN DEERE	710D
Backhoe-Md - Pieces of Equipment: 3		

Bucket Truck Mh-Lg

TRUCK-BUCKET,77'ALTEC A77-T,INTERNATIONAL,74'ALTEC/INTERNATIONAL		A77-T/7400
TRUCK-BUCKET,77'ALTEC A77-T,INTERNATIONAL,74'ALTEC/INTERNATIONAL		A77-T/7400
TRUCK-BUCKET,42'DODGE,SPICEBUCKET,12	DODGE	D5500

Bucket Truck Mh-Lg - Pieces of Equipment: 3

Bucket Truck Mh-Md

TRUCK-BUCKET, MH55',INTERNATIONAL,7300,05	INTERNATIONAL	7300 4x4
TRUCK-BUCKET, MH55',INTERNATIONAL,7300,05	INTERNATIONAL	7300 4x4
TRUCK-BUCKET, MH55',INTERNATIONAL,7300,14	INTERNATIONAL	7300 4x4 / AM55
TRUCK-BUCKET, MH55',INTERNATIONAL,7300,14	INTERNATIONAL	7300 4x4/ AM55
TRUCK-BUCKET, MH41',INTERNATIONAL,7300,13	INTERNATIONAL	7300 4x4
TRUCK-BUCKET, MH55',IHC,4700,98	IHC	4700 / HR50-OM
TRUCK-BUCKET, MH55',IHC,4900,98	IHC	4900 / AM855MH
TRUCK-BUCKET, MH55',GMC,C8500,02	GMC	8500 / A55-OC
TRUCK-BUCKET, MH55',GMC,C8500,02	GMC	8500 / A55-OC

Bucket Truck Mh-Md - Pieces of Equipment: 9

Bucket Truck Mh-Trk

TRUCK-TRACK BUCKET,80' ALTEC,A77-TE93,CAMOIPI ALTEC/ INTERNATIONAL		A77-TW93/ GT3000
--	--	------------------

Bucket Truck Mh-Trk - Pieces of Equipment: 1

Bucket Truck Sb-Sm

TRUCK-BUCKET,42'ALTEC,FORD,F550,08	FORD / ALTEC	F550 / AT37-G
TRUCK-BUCKET,42'ALTEC,FORD,F550,09	FORD/ ALTEC	F550 / AT37-G
TRUCK-BUCKET, MH55',IHC,4900,98	IHC	4900 / 42-OM

Bucket Truck Sb-Sm - Pieces of Equipment: 3

Construction Boats

BOAT-SM,LOWE,14,07	LOWE	14
--------------------	------	----

Construction Boats - Pieces of Equipment: 1

Container

CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20,08	CONEX	8X20
CONTAINER,NA,8X20,08	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8x20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20

Container - Pieces of Equipment: 15

Container-Lg

8X40 CONTAINER	INTERPORT	8X40
8X40 CONTAINER	INTERPORT	8X40

Container-Lg - Pieces of Equipment: 2

Crusher-Impact

CRUSHER,LIPPMAN,5165,09	LIPPMAN	5165
CRUSHER,LIPPMAN,4800,08	LIPPMAN	4800

Crusher-Impact - Pieces of Equipment: 2

Crusher-Jaw

CRUSHER,SANDVIK, QJ341,12	SANDVIK	QJ341
CRUSHER,LIPPMAN,3062,99	LIPPMAN	3062

Crusher-Jaw - Pieces of Equipment: 2

Digger 6X4

TRUCK-DIGGER,D2050TR,CHEVROLET,C8500,06	CHEVROLET	C8500 4X6
TRUCK-DIGGER,FREIGHTLINER,D3060B-TR,6X6,14	FREIGHTLINER	6X6
TRUCK-DIGGER,D2050TR,CHEVROLET,C8500,06	IHC	4900 / Commander4500
TRUCK-DIGGER,D2050TR,CHEVROLET,C8500,06	GMC	C8500 D945-TR-27000

Digger 6X4 - Pieces of Equipment: 4

Dozer-Lg

DOZER-LG,KMTSU,D65PX,2010	KOMATSU	D65PX-16
---------------------------	---------	----------

Dozer-Lg - Pieces of Equipment: 1

Dozer-Md

DOZER-MD,CAT,D5KXL,10	CATERPILLAR	D5KXL
DOZER-LG,KMTSU,D61PX,10	KOMATSU	D61PX-15EO

Dozer-Md - Pieces of Equipment: 2

Excavator-Lg

EXCAVATOR-LG,CAT,345CL,08	CATERPILLAR	345CL
EXCVTR-LG,KMTSU,PC450CL-8,11	KOMATSU	PC450LC-8
MATERIALHANDLER-LG,CATERPILLAR,375,98	CATERPILLAR	375
MATERIALHANDLER-LG,KOMATSU,PC450,00	KOMATSU	PC450LC-MH
MATERIAL HANDLER- LG, CAT, 345CL,06	CATERPILLAR	345CL MH
EXCAVATOR-CASE,9030	CASE	9030

Excavator-Lg - Pieces of Equipment: 6

Excavator-Md

EXCVTR-MD,KMTSU,PC228,08	KOMATSU	PC228
EXCVTR-MD,CAT,328D,10	CATERPILLAR	328D
RETIRED-EXCVTR-MD,JDEERE,220DW,11	JOHN DEERE	220DW
RETIRED-EXCVTR-MD,JDEERE,220DW,11	JOHN DEERE	220DW
EXCVTR-MD,CATERPILLAR,328DLCL,07	CATERPILLAR	328DLCL
EXCVTR-MD,CAT,M322D,11	CATERPILLAR	M322D
EXCVTR-MD,KOMATSU,PC138,14	KOMATSU	PC138
EXCAVATOR-MD,CATERPILLAR,235C,91	CATERPILLAR	235C

Excavator-Md - Pieces of Equipment: 8

Excavator-Sm

EXCVTR-SM,TAKCHI,TB138,09	TAKEUCHI	TB138FRC
EXCVTR-MD,JDR,35DXFF,11	JOHN DEERE	35DXFF

Excavator-Sm - Pieces of Equipment: 2

Forklift

FORKTRUCK-LG,CAT	CATERPILLAR	V300B
FORKTRUCK-MD,KMTSU,25,07	KOMATSU	FG25ST16
FORKTRUCK,HYSTER,50	HYSTER	H50XM

Forklift - Pieces of Equipment: 3

Fuel Tank-500G

FUEL-TANK,500GALLON	CUSTOM	500GALLON
FUEL-TANK,500GALLON	CUSTOM	500GALLON
FUEL-TANK,500GALLON	CUSTOM	500GALLON
FUEL-TANK,500GALLON	CUSTOM	500GALLON
FUEL-TANK,AEROPPOWER,2000GALLON	AERO POWER	2,000G

Fuel Tank-500G - Pieces of Equipment: 5

Generator-Lg

GENERATOR,KHOLER,750KW	KHOLER	750KW
------------------------	--------	-------

Generator-Lg - Pieces of Equipment: 1

Generator-Md

GENRTR,MAGNUM,80KVA,04	MAGNUM PRO	MMG80
GENERATOR,CATERPILLAR,225KV,96	CATERPILLAR	225KV

Generator-Md - Pieces of Equipment: 2

Hammer

HAMMER,INDECO,MES2000,02	INDECO	MES2000
HAMMER,NPK,H16X,00	NPK	H16X
HAMMER,ALLIED,HF560,93	ALLIED	HF560
HAMMER,NPK,5000X	NPK	
GROUND ROD DRIVER, 5/8"	RELIABLE	REL-GRD-1
GROUND ROD DRIVER, 1"	RELIABLE	REL-GRD-A-1"

Hammer - Pieces of Equipment: 6

Light Towers

LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,10	MAGNUM PRO	MLT3060
LIGHTTWR - MAGM,MLT3060,07	MAGNUM PRO	MLT3060

Light Towers - Pieces of Equipment: 12

Loader-Lg

Loader-Lg - Pieces of Equipment: 6

Loader-Lg-Internal Rent

Loader-Lg-Internal Rent - Pieces of Equipment: 1

Loader-Md

Loader-Md - Pieces of Equipment: 5

Loader-Sm

Loader-Sm - Pieces of Equipment: 2

Manlift-Md

Manlift-Md - Pieces of Equipment: 1

Message Boards

Message Boards - Pieces of Equipment: 35

Miller-Md

Miller-Md - Pieces of Equipment: 1

Miller-Sm

Miller-Sm - Pieces of Equipment: 1

Mixer

MIXER-CMNT,MLTIQP,9CF,05	MULTIQUIP	9 CUBIC FT
MIXER-MRTR,MLTIQP,9CF,02	MULTIQUIP	9 CUBIC FT
MIXER-CMNT,MLTIQP,9CF,05	MULTIQUIP	9 CUBIC FT
MIXER-CMNT,MLTIQP,9CF,12	MULTIQUIP	9 CUBIC FT
Mixer - Pieces of Equipment: 4		

Pickup W Saddle Fuel Tank

PICK-UP,FORD,F250,08	FORD	F250
PICK-UP,FORD,F250,08	FORD	F250
PICK-UP,GMC,2500,01	GMC	2500
PICK-UP,FORD,F250,95	FORD	F250
PICK-UP,GMC,2500HD,03	GMC	2500HD
PICK-UP,FORD,F250,96	FORD	F250

Pickup W Saddle Fuel Tank - Pieces of Equipment: 6

Pickup-Lg

PICK-UP,FORD,F350,11	FORD	F350
PICK-UP,FORD,F350,11	FORD	F350
PICK-UP,FORD,F350,11	FORD	F350
PICK-UP,FORD,F350,13	FORD	F350
PICK-UP,GMC,2500HD,15	GMC	2500HD CREW
PICK-UP,GMC,2500HD,15	GMC	2500HD CREW
TRUCK-MECH,FORD,F350,08	FORD	F350

Pickup-Lg - Pieces of Equipment: 7

Pickup-Md

PICK-UP,FORD,F250,03	FORD	F250
PICK-UP,GMC,2500,03	GMC	2500
PICK-UP,FORD,F250,11	FORD	F250
PICK-UP,FORD,F250,11	FORD	F250
PICK-UP,FORD,F150,11	FORD	F150
PICK-UP,GMC,1500,12	GMC	SIERRA
PICK-UP,GMC,1500,12	GMC	SIERRA
PICK-UP,FORD,F150,14	FORD	F150

Pickup-Md - Pieces of Equipment: 8

Pickup-Sm

PICK-UP,FORD,SPORTRAC,07	FORD	SPORT TRAC
PICK-UP,FORD,F150,07	FORD	F150
PICK-UP,FORD,F150,02	FORD	F150
PICK-UP,FORD,F150,10	FORD	F150
PICK-UP,FORD,F150,11	FORD	F150
PICK-UP,FORD,F150,11	FORD	F150
PICK-UP,FORD,F150,11	FORD	F150
PICK-UP,FORD,F150,11	FORD	F150
PICK-UP,FORD,F150,12	FORD	F150
PICK-UP,FORD,F150,13	FORD	F150
PICK-UP,FORD,F150,13	FORD	F150
PICK-UP,FORD,F150,13	FORD	F150
PICK-UP,FORD,F150,13	FORD	F150
PICK-UP,FORD,F150,13	FORD	F150
PICK-UP,FORD,F150,13	FORD	F150
PICK-UP,FORD,F150,14	FORD	F150
PICK-UP,FORD,F150,14	FORD	F150
PICKUP-SM,FORD,F150-KINGRANCH,08	FORD	F150

Pickup-Sm - Pieces of Equipment: 18

Puller/Tensioner-Lg

TRAILER-PULLER/TENSIONER, HOGG&DAVIS, ODP40	HOGG & DAVIS	ODP4000
TRAILER-PULLER/TENSIONER,THALER,KESP3050	THALER	KE-SP3050
TRAILER-PULLER/TENTIONER-LG,ALTEC,UP400	ATLEC	UP400
CABLE PULLER (SUPER TUGGER) POWER UNIT, 6500	GREENLEE	6001

Puller/Tensioner-Lg - Pieces of Equipment: 4

Puller/Tensioner-Md

TRAILER-PULLER/TENSIONER-MD,WAGNERSMITH,T- WAGNER SMITH		UDP-240
TRAILER-PULLER/TENSIONER-MD,TSE,4000DPT,00	TSE	4000DPT

Puller/Tensioner-Md - Pieces of Equipment: 2

Puller/Tensioner-Sm

TRAILER-PULLER,CONDUX FIBER&DATA RECORDER ZIERKE		CONDUX W/ DATA
TRAILER-TENSIONER,BULL WHEEL,TSE,T30/36BWRC TSE/ ALTEC		T30/36BWRC
TRAILER-PULLING,ZIERKE CONDUX FIBER OPTIC,3500LB T2		CONDUX 3500LB T2

Puller/Tensioner-Sm - Pieces of Equipment: 3

Roller-Dirt-Lg

ROLLER-D-LG,CAT,CS563E,08	CATERPILLAR	CS563E
ROLLER-D-LG,DYNAPAC,CA250	DYNAPAC	CA250
Roller-Dirt-Lg - Pieces of Equipment: 2		

Roller-Lg

ROLLER-A-LG,CAT,CB634,01	CATERPILLAR	CB-634C
ROLLER-A-LG,CAT,CB634,99	CATERPILLAR	CB-634C
ROLLER-A-LG,HAMM,130,08	HAMM	HD 130 HV
ROLLER-A-LG,HAMM,140,010	HAMM	HD 140 HV
Roller-Lg - Pieces of Equipment: 4		

Roller-Md

ROLLER-A-MD,BOMAG,120,05	BOMAG	BW120AD
ROLLER-A-MD,CAT,CB44B,13	CATERPILLAR	CB44B

Roller-Md - Pieces of Equipment: 2

Roller-Sm

ROLLER-A-SM,SAKAI,WB,08	SAKAI	WB
ROLLER-A-SM,SAKAI,WB,11	SAKAI	WB

Roller-Sm - Pieces of Equipment: 2

Screener

SCREENER,PWRSCRN,WAR,06	POWER SCREEN	WARRIOR 18
SCREENER	REED	RD6-B
SCREENER,CEC,5X12,00	CEC	5X12

Screener - Pieces of Equipment: 3

Skid Mounted Vacuum

VACUUM, SKIDMOUNT,KINNY,KT300D,12	KINNEY	KT300D
VACUUM, SKIDMOUNT,KINNY,KT300D,12	KINNEY	KT300D

Skid Mounted Vacuum - Pieces of Equipment: 2

Skidsteere

SKID-STEERE,GEHL,CTL75,10	GEHL	CTL75
SKID-STERE,DITCHWITCH,SK750,12	DITCH WITCH	SK750
SKIDSTEERE,BOBCAT,S250,05	BOBCAT	S250
SKIDSTEERE,CATERPILLAR,262B,05	CATERPILLAR	262B

Skidsteere - Pieces of Equipment: 4

Spreader-Asphalt

SPRDR-A,CAT,AP1055D,09	CATERPILLAR	AP1055D
SPRDR-A,CAT,AP655D,11	CATERPILLAR	AP655D
SPRDR-CAT,AP1055E	CATERPILLAR	AP-1055E

Spreader-Asphalt - Pieces of Equipment: 3

Spreader-Cement

SPRDR-CMNT,BDWL,B3600,04	BIDWELL	B3600
--------------------------	---------	-------

Spreader-Cement - Pieces of Equipment: 1

Supervisory Vehicle-Lg

CAR-SUV,FORD,EXPEDITNEL,10	FORD	EXPEDITION EL
CAR-SUV,FORD,EXPEDITN,11	FORD	EXPEDITION
CAR-SUV,FORD,EXPEDITIONEL,12	FORD	EXPEDITION EL

Supervisory Vehicle-Lg - Pieces of Equipment: 3

Supervisory Vehicle-Md

CAR-SUV,FORD,EXPLORER,10	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER,10	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER,10	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER,07	FORD	EXPLORER
CAR-SUV,MERCURY,MOUNTR,07	MERCURY	MOUNTNEER
CAR-SUV,FORD,ESCAPE,11	FORD	ESCAPE
CAR-SUV,FORD,ESCAPE,11	FORD	ESCAPE
CAR-SUV,FORD,EXPLORER,11	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER,12	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER,13	FORD	EXPLORER
RETIRED-CAR-SUV,FORD,EXPLORER,13	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER-SPORT,13	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER-SPORT,13	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER,14	FORD	EXPLORER
CAR-SUV,LINCOLN,MKX,14	LINCOLN	MKX
CAR-SUV, FORD, EXPLORER, 13	FORD	EXPLORER XLT

Supervisory Vehicle-Md - Pieces of Equipment: 16

Supervisory Vehicle-Sm

CAR-SM,HONDA,CIVIC,07	HONDA	CIVIC
CAR-SM,HONDA,CIVIC,08	HONDA	CIVIC
CAR-SM,HONDA,CIVIC,07	HONDA	CIVIC

CAR-SM,HONDA,CIVIC,09	HONDA	CIVIC
HG-CAR-SM,VW,JETTA,10	VOLKSWAGEN	JETTA
CAR-SUV,FORD,ESCAPE,08	FORD	ESCAPE
CAR-SUV,FORD,ESCAPE,11	FORD	ESCAPE
CAR-SM,CHRYSLER,300C,11	CHRYSLER	300C
CAR-SUV,FORD,ESCAPE,12	FORD	ESCAPE
Supervisory Vehicle-Sm - Pieces of Equipment: 9		

Survey Truck Outfitted W Equip

CAR-VAN,FORD,TRANSCONN,10	FORD	TRANS CONN
Survey Truck Outfitted W Equip - Pieces of Equipment: 1		

Telehandler

TELEHANDLER-CAT,TL1255,12	CATERPILLAR	TL1255
Telehandler - Pieces of Equipment: 1		

Trailer- Tanker

9000 GALLON ALUMINUM TANKER TRAILER	ITI	9000 GALLON
9000 GALLON ALUMINUM TANKER TRAILER	ITI	9000 GALLON
9000 GALLON ALUMINUM TANKER TRAILER	ITI	9000 GALLON
9000 GALLON ALUMINUM TANKER TRAILER	ITI	9000 GALLON
Trailer- Tanker - Pieces of Equipment: 4		

Trailer-Cargo

TRLR-CARGO,ROYAL,7X14,07	ROYAL	7X14
TRLR-CARGO,ROYAL,7X14,07	ROYAL	7X14
TRAILER-BOX,GREATDANE,48',94	GREAT DANE	48'
Trailer-Cargo - Pieces of Equipment: 3		

Trailer-Dblepot Boiler

MT-TRAILER-DBLEPOTBOILER,CIMILI,02	CIMILI	NA
Trailer-Dblepot Boiler - Pieces of Equipment: 1		

Trailer-Deck

TRLR-DECK,CUSTOM,16,00	CUSTOM	DECK
TRLR-DECK,KAUFMAN,TILT,10	KAUFMAN	TILT
MIDNIGHTTRLR-DECK,CUSTOM,20TON,89	CUSTOM	20 TON
TRLR-CARRY-ON,5X10WG,13	5x10	CARRY-ON
Trailer-Deck - Pieces of Equipment: 4		

Trailer-Dump

MT - TRAILER, DUMP. EASTERN, 13	EAST	TRI-AXEL
MT-TRAILER- DUMP, BENSON,02	BENSON	DUMP TRAILER - A
MT - TRAILER, DUMP. EASTERN, 15	EAST	TRI-AXEL
RETIRED-TRAILER-DUMP,KINGCOBRA,ALUMINUM,00 KING COBRA		ALUMINUM
TRAILER-DUMP,BENSON,STEEL,05	BENSON	STEEL
TRAILER-DUMP,BENSON,STEEL,05	BENSON	STEEL

Trailer-Dump

TRAILER-DUMP,BENSON,STEEL,05	BENSON	STEEL
TRAILER-DUMP,EAST,STEEL,15	EAST	STEEL
TRAILER-DUMP,EAST,STEEL,15	EAST	STEEL
TRAILER-DUMP,EAST,STEEL,15	EAST	STEEL
TRAILER-DUMP,SPECTEC,STEEL,15	SPECTEC	STEEL
TRAILER-DUMP,SPECTEC,STEEL,13	SPECTEC	STEEL
Trailer-Dump - Pieces of Equipment: 11		

- Continued

Trailer-Floboy

MT-TRAILER-FLOBOY,TRAILKING,OLB434NG,12	TRAIL KING	OLB434NG-HH
MT-TRAILER-FLOBOY,TRAILKING,OLB434NG,14	TRAIL KING	OLB434NG-HH
Trailer-Floboy - Pieces of Equipment: 2		

Trailer-Hot Box

TRLR-HOTBOX,MARATHON,	MARATHON	HOT BOX
TRLR-HOTBOX,MARATHON,HMT8000DT,4TON,05	MARATHON	HMT8000DT
Trailer-Hot Box - Pieces of Equipment: 2		

Trailer-Loboy

MT-TRAILER-LOBOY,TRAILKING,FLIPAXEL,13	TRAIL KING	FLIP AXEL
MT-TRAILER-LOWBOY,TRAILKING,13	TRAIL KING	LOWBOY
TRAILER-LOWBOY,ROGERS,50TON,86	ROGERS	50TON
Trailer-Loboy - Pieces of Equipment: 3		

Trailer-Mobilesurveillance

TRAILER,MOBILESURVEILLANCE,4X6,10	HORTON VAN INC.	HY46SA 4X6
Trailer-Mobilesurveillance - Pieces of Equipment: 1		

Trailer-Pole

TRAILER-POLE,BUTLER,BP-2080,14	BUTLER	EXTENDABLE BP-2080
--------------------------------	--------	--------------------

Trailer-Pole - Pieces of Equipment: 1

Trailer-Reel

TRAILER-REEL,SELF LOADING, 100K LBS unit 101	MIRK	100K
TRAILER-REEL,SELF LOADING, 100K LBS unit 106	MIRK	100k
TRAILER-REEL,4-ARBOR,BRINDLE,14	BRINDLE	4RT21BT
TRAILER-REEL,SINGLE ARBOR,BRINDLE,11	BRINDLE	BRT90
TRAILER-REEL,4-ARBOR,BRINDLE,4RT21BS-S,99	BRINDLE	4RT21BS
TRAILER-REEL,1-ARBOR,SAUBER,93	SAUBER	Single Arbor
TRAILER-REEL,2-ARBOR,ACE,90	ACE	1590 Two Arbor

Trailer-Reel - Pieces of Equipment: 6

Trailer-Rollerchutes

TRAILER-ROLLERCHUTES,8' RADIUS	KRUGER TRAILER	06S62-144K7SER16
--------------------------------	----------------	------------------

Trailer-Rollerchutes - Pieces of Equipment: 1

Trailer-Splice

TRAILER-SPLICE,WELLS CARGO,32'	WELLS CARGO	EW2422
TRAILER-SPLICE,INTECH FIBER OPTIC,13	INTECH	8X12TA
TRAILER-SPLICE,TSE,7X12,08	TSE	7X12

Trailer-Splice - Pieces of Equipment: 3

Trailer-Steeltubdump

TRAILER-FRUEH,STEELTUBDUMP,97	FRUEH	STEEL TUB DUMP
-------------------------------	-------	----------------

Trailer-Steeltubdump - Pieces of Equipment: 1

Trailer-Tar Kettle

TRLR-TKTL,MARATHON,170,10	MARATHON	KEB170T
TRLR-TKTL,LEEBOY	LEEBOY	250T

Trailer-Tar Kettle - Pieces of Equipment: 2

Trailer-Vaccum

TRAILER-VACUUM,OIL PURIFIER,VACUDYNE,VOP-1T- WELLS CARGO		VOP-1T-100GPH/379 LP
--	--	----------------------

TRAILER-VACUUM,PUMPING SKID	WELLS CARGO	VP-47
-----------------------------	-------------	-------

Trailer-Vaccum - Pieces of Equipment: 2

Trailer-Water

TRLR-WATER,MAGNUM,500,08	MAGNUM PRO	MWT500
TRLR-WATER,LEDWELL,500,03	LEDWELL	LW500WT
WATER-TANK,10,000GALLON	TANK	10,000G

Trailer-Water - Pieces of Equipment: 3

Trencher-Lg

TRNCHR-LG,VRMR,T650,94	VERMEER	T655DT
------------------------	---------	--------

Trencher-Lg - Pieces of Equipment: 1

Truck-Boom

MT-TRUCK-BOOM,MACK,DM6,03	MACK	DM6
---------------------------	------	-----

Truck-Boom - Pieces of Equipment: 1

Truck-Cement-Front Loader

TRUCK-CEMENT-FL,OSHKOSH,SSE,95	OSHKOSH	SSE
TRUCK-CEMENT-FL,OSHKOSH,SSE,95	OSHKOSH	SSE
RETIRED-TRUCK-CEMENT-FL,ADVANCED,CMIX,00	ADVANCED	CMIX
TRUCK-CEMENT-FL,ADVANCED,CMIX,99	ADVANCED	CMIX
TRUCK-CEMENT-FL,ADVANCED,CMIX,99	ADVANCED	CMIX
TRUCK-CEMENT-FL,ADVANCED,CMIX,00	ADVANCED	CMIX
TRUCK-CEMENT-FL,OSHKOSH,SSE,05	OSHKOSH	SSE

Truck-Cement-Front Loader - Pieces of Equipment: 7

Truck-Cement-Rear Loader

TRUCK-CEMENT-RL,KENWORTH,09	KENWORTH	CMIX
TRUCK-CEMENT-RL,KENWORTH,09	KENWORTH	CMIX
TRUCK-CEMENT-RL,KENWORTH,09	KENWORTH	CMIX
TRUCK-CEMENT-RL,MACK,DM6,98	MACK	DM6
TRUCK-CEMENT-RL,MACK,DM6,98	MACK	DM6
TRUCK-CEMENT-RL,MACK,DM6,96	MACK	DM6

Truck-Cement-Rear Loader - Pieces of Equipment: 6

Truck-Dump-Lg

MT-TRUCK-DUMP-LG,MACK,RD6,01	MACK	RD6
MT-TRUCK-DUMP-LG,MACK,RD6,01	MACK	RD6

Truck-Dump-Lg - Pieces of Equipment: 2

Truck-Dump-Sm

MT-TRUCK-DUMP,FORD,550,08	FORD	F550
MT-TRUCK-DUMP,FORD,550,07	FORD	F550
MT-TRUCK-DUMP,FORD,F450,04	FORD	F450
MIDNIGHTTRUCK-DUMP-SM,FORD,550,02	FORD	F450

Truck-Dump-Sm - Pieces of Equipment: 4

Truck-Flat

MT-TRUCK-FLAT,FORD,L08,97 FORD L08

Truck-Flat - Pieces of Equipment: 1

Truck-Fork-Sm

FORKTRUCK-MD,KMTSU,25,08 KOMATSU FG25T-16

Truck-Fork-Sm - Pieces of Equipment: 1

Truck-Fuel-Lg

MT-TRUCK-FUEL,MACK,DM6,95 MACK DM6

Truck-Fuel-Lg - Pieces of Equipment: 1

Truck-Mechanic

TRUCK-MECH,PETERB,335,08 PETERBILT 335
TRUCK-MECH,FORD,F550,06 FORD F550
TRUCK-MECH,CHEVROLET,C5500,06 CHEVROLET C5500
TRUCK-WELDER,GMC,3500HD,15 GMC 3500HD
TRUCK-MECHANIC,FORD,F450,99 FORD F450

Truck-Mechanic - Pieces of Equipment: 5

Truck-Rack

MIDNIGHT-TRUCK-RACK,MITSU,FE145,07 MITSUBISHI FE145
MT-TRUCK-RACK,MITSU,FE180,07 MITSUBISHI FE180
TRUCK-RACK,GMC,W5500,07 GMC W5500
MIDNIGHTTRUCK-RACK,ISUZU,NPR,07 ISUZU NPR

Truck-Rack - Pieces of Equipment: 4

Truck-Sweeper

TRUCK-SWEEPER,MOBILSWEEP,M8A,95 MOBILSWEEP M8A

Truck-Sweeper - Pieces of Equipment: 1

Truck-Tractor

MT-TRUCK-TRACTOR,PETERBILT,388,13 PETERBILT 388
MT-TRUCK-TRACTOR,PETERBILT,378,01 PETERBILT 378
MT-TRUCK-TRACTOR,KENWORTH,W900,15 KENWORTH W900
MT-TRUCK-TRACTOR,KENWORTH,T880,15 KENWORTH T880
MT-TRUCK-TRACTOR,KENWORTH,T880,15 KENWORTH T880
MT-TRUCK-TRACTOR,KENWORTH,T880,15 KENWORTH T880
MT-TRUCK-TRACTOR,KENWORTH,T880,15 KENWORTH T880
TRUCK-TRACTOR,MACK,CHU613,13 MACK CHU613
TRUCK-TRACTOR,MACK,CHU613,13 MACK CHU613
TRUCK-TRACTOR,MACK,CHU613,13 MACK CHU613
TRUCK-TRACTOR,MACK,CHU613,13 MACK CHU613
TRUCK-TRACTOR,PETERBILT,379,01 PETERBILT 379
TRUCK-TRACTOR,PETERBILT,379,01 PETERBILT 379
TRUCK-TRACTOR,PETERBILT,379,04 PETERBILT 379
TRUCK-TRACTOR,PETERBILT,357,97 PETERBILT 357

Truck-Tractor - Pieces of Equipment: 15

- Continued

Truck-Water

MT-TRUCK-WATER,INTL,4900,97 INTERNATIONAL 4900

Truck-Water - Pieces of Equipment: 1

Van-Lg

VAN-MD,FORD,E450,00 FORD E450
VAN-LG,FRTLNR,MT45,01 FREIGHTLINER MT45

Van-Lg - Pieces of Equipment: 2

Van-Md

RETIRED-VAN-MD,FORD,E350,06 FORD E350
RETIRED - VAN-MD,FORD,E250,00 FORD E250
VAN-MD,FORD,E350,06 FORD E350
VAN-MD,FORD,E350,06 FORD E350
VAN-MD,FORD,E150,13 FORD E150

Van-Md - Pieces of Equipment: 5

Walk Behind Saw 36"

SAW-36,DIAMAS,FS6600,02 DIAMAS FS6600

Walk Behind Saw 36" - Pieces of Equipment: 1



Grace Industries LLC
336 South Service Road
Melville, NY 11747
Phone: 516-336-6720
Fax: 516-336-6722

Name	Position	Years of Experience	Magnitude & Type of Work	In what Capacity?
William Haugland Jr.	Co-President	10+	Heavy Highway/Civil >1mil-100mil	Supervisor
Joseph Haugland	Co-President	10+	Heavy Highway/Civil >1mil-100mil	Supervisor
Michael Pino	General Superintendent	30+	Heavy Highway/Civil >1mil-100mil	Superintendent
Matthew GaNun	Sr. Project Manager	25+	Heavy Highway/Civil >1mil-100mil	Project Manager
Tim Mullarkey	Chief Estimator/PM	25+	Heavy Highway/Civil >1mil-100mil	Project Manager
Robert Hartig	Senior Estimator	30+	Heavy Highway/Civil >1mil-100mil	Estimator/PM

PROPOSAL

12. In what manner have you inspected this proposed work?

Explain in detail.

We have examined the contract specs
and have performed engineering take-off.

(use additional blank sheets if additional space is necessary)

13. Explain your plan and lay-out for performing the proposed work.

PERFORM IN-SITU SOIL SAMPLING.
SUBMIT RESULTS & DISPOSE FACILITY
LOAD OUT MATERIAL
RESTORE SITE AS PER PLAN / AS DIRECTED
BY ENGINEER.

14. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

(Please Attached)

15. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

(Please See Attached)

PROPOSAL

NO TEXT ON THIS PAGE



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

10/29/2020

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Alliant Insurance Services, Inc. 333 Earle Ovington Blvd., Ste 700 Uniondale NY 11553	CONTACT NAME: PHONE (A/C, No, Ext): FAX (A/C, No): E-MAIL ADDRESS:														
INSURED Grace Industries LLC 336 South Service Road Melville NY 11747	<table><tr><th>INSURER(S) AFFORDING COVERAGE</th><th>NAIC #</th></tr><tr><td>INSURER A : Travelers Indemnity Company</td><td>25658</td></tr><tr><td>INSURER B : Travelers Property Casualty Co</td><td>25674</td></tr><tr><td>INSURER C : Charter Oak Fire Insurance Com</td><td>25615</td></tr><tr><td>INSURER D : Endurance American Specialty I</td><td>41718</td></tr><tr><td>INSURER E : Evanston Insurance Company</td><td>35378</td></tr><tr><td>INSURER F : Navigators Insurance Company</td><td>42307</td></tr></table>	INSURER(S) AFFORDING COVERAGE	NAIC #	INSURER A : Travelers Indemnity Company	25658	INSURER B : Travelers Property Casualty Co	25674	INSURER C : Charter Oak Fire Insurance Com	25615	INSURER D : Endurance American Specialty I	41718	INSURER E : Evanston Insurance Company	35378	INSURER F : Navigators Insurance Company	42307
INSURER(S) AFFORDING COVERAGE	NAIC #														
INSURER A : Travelers Indemnity Company	25658														
INSURER B : Travelers Property Casualty Co	25674														
INSURER C : Charter Oak Fire Insurance Com	25615														
INSURER D : Endurance American Specialty I	41718														
INSURER E : Evanston Insurance Company	35378														
INSURER F : Navigators Insurance Company	42307														

COVERAGES

CERTIFICATE NUMBER: 78597118

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Contractual Liab GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:	Y	Y	VTC2K-CO-2E971115-IND-20	10/31/2020	10/31/2021	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 4,000,000 PRODUCTS - COMP/OP AGG \$ 4,000,000 OTHER: \$
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY	Y	Y	VTC2J-CAP-2E971127-TIL-20	10/31/2020	10/31/2021	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ OTHER: \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$	Y	Y	CUP-2P395637-20-25	10/31/2020	10/31/2021	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000 OTHER: \$
C	<input checked="" type="checkbox"/> WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N	N/A	UB-5N496249-20-25-D	10/31/2020	10/31/2021	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
D	Excess Liability (Quota Share) Excess Liability (Quota Share) Excess Liability (Quota Share)	Y Y Y	Y Y Y	EXC30000824202 MKLV1EUE100732 NY20EXC943972IV	10/31/2020 10/31/2020 10/31/2020	10/31/2021 10/31/2021 10/31/2021	Occ.: \$2.5M p/o \$5M Occ.: \$2.5M p/o \$5M Occ.: \$7.5M p/o \$13M Agg: \$2.5M p/o \$5M Agg: \$2.5M p/o \$5M Agg: \$7.5M p/o \$13M

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
(See attached for additional policies)

Excess Liability (Quota Share), Policy # NHA091890, Eff: 10/31/2020, Exp: 10/31/2021, RSUI Indemnity Company, NAIC:22314, Occ/Agg: 5,500,000 p/o \$13,000,000
Excess Liability, Policy #EXN30002089500, Eff: 10/31/2020, Exp: 10/31/2021, Endurance American Specialty Insurance Company, NAIC: 41718, Occ/Agg: \$2,000,000
Professional/Pollution, Policy #: GPL742050707, Eff Date: 10/31/2020, Exp Date: 10/31/2021, Greenwich Insurance Company, NAIC: 22322, Per Occurrence: \$5,000,000, Aggregate: \$5,000,000
Evidence of Insurance

CERTIFICATE HOLDER**CANCELLATION 30 Days Notice of Cancellation**

Evidence of Insurance

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE



336 South Service Road
Melville, NY 11747

Michael P. Pino

Vice President Field Operations

Grace Industries LLC

VP Field Operations 2008-Present

Mr. Pino has over 40 years of experience within the construction and engineering fields. He has worked at Hawkeye, LLC for 8 years dealing with all aspects of field operations including cost engineering analysis, subcontractor supervision, scheduling, take-off and procurement, and field operations and planning. He has handled a range of complex jobs ranging from high-voltage underground work to substation and converter station interconnects, to large civil projects in environmentally sensitive areas.

Prior to Hawkeye, he worked at Yonkers Contracting Company, where he handled large highway and parkway jobs, supervising 100+ union craftsmen, subcontractors, and field operations and planning. Earlier in his career, he served as Project Engineer for Spencer White, and Prentis (subsidiary of Raymond, International) working on the Midland Nuclear Power Plant in Midland, Michigan.

Mr. Pino has a BSCE from Clarkson College of Technology in Potsdam, NY.

PROFESSIONAL EXPERIENCE

2008-Present	Grace Industries LLC – VP Field Operations
2001-2008	Hawkeye LLC – Superintendent
1987-2001	Yonkers Contracting Company, Inc. – Superintendent
1984-1987	Yonkers Contracting Company, Inc. – Project Engineer
1982-1984	Spencer, White & Prentis, Inc. – Project Engineer

RECENT PROJECTS

VP Field Operations

Supervision of all field related operations. Current projects:

• D261357: Reconstruction of Route 347 – NYSDOT	\$26.8 Million
• D261545: Reconstruction of Northern State Parkway Over Rt 110 - NYSDOT	\$55.7 Million
• D261588: Resurfacing I-684, Rehab 3 Bridges - NYSDOT	\$18.2 Million
• HBX1195 Replacement of Shore Road Circle Bridge – NYCDOT	\$17.5 Million
• LGA-124.060: Rehabilitation of CTB Concourse Alleyways – Port Authority	\$3.3 Million
• LGA-124.101: Rehabilitation of Taxiways R, S, P, & G – Port Authority	\$2.8 Million

Superintendent

NYSDOT – Southampton \$3 Million

SCDPW – Clean Air/Clean Water Bond Act \$300 Thousand

Keyspan - Jones Beach Project \$1 Million

Pike Co., - Walmart \$800 Thousand

- Prepare material take-off and procurement
- Supervise all field operations and planning
- Negotiate design change orders
- Revise scheduling to increase job profitability
- Organize with Subcontractors daily activities
- Implement cost engineering analysis

Superintendent

NYSDOT – Northern State Parkway \$80 Million

NYSDOT – Queens Midtown Viaduct \$104 Million

NYSDOT – Nassau Expressway Section B Stage 3 \$57.6 Million

NYSDOT – Nassau Expressway Section B Stage 5 \$21.7 Million

- Supervised 100+ union craftsman
- Organized Subcontractor activities
- Supervised all field operations and planning
- Negotiated design change orders
- Revised scheduling to increase job profitability

Project Engineer

NYSDOT – Nassau Expressway Section B Stage 2 \$24.6 Million

NYSDOT – Cross County Parkway \$13.9 Million

- Complied monthly billing
- Implemented cost engineering analysis
- Prepared material take-off and procurement

Project Engineer

Midland Nuclear Power Plant, Midland, MI

EDUCATION

BSCE, Clarkson College of Technology

Potsdam, NY 1980, GPA, 3.1/4.0

PROPOSAL

16. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "**Qualifications and Responsibility of Bidders**" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

PROPOSAL

NO TEXT ON THIS PAGE

LAURA CURRAN
NASSAU COUNTY EXECUTIVE



KENNETH G. ARNOLD, P.E.
COMMISSIONER

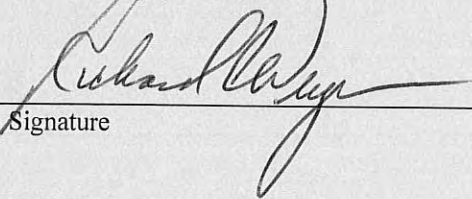
COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
1194 PROSPECT AVENUE
WESTBURY, NEW YORK 11590-2723

VENDOR PORTAL ACKNOWLEDGMENT

Vendor Name: Grace Industries, LLC.
Contract Title: Bay Park Sewage Treatment Plant, contaminated soil hauling & disposal
Contract Number: S35123-L-384

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at www.nassaucountyny.gov by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.


Signature

2/16/21
Date

Richard C. Weyer
Print Name

If you attempted to register via the Portal but were unable to do so, please explain here:

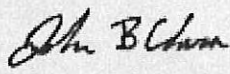
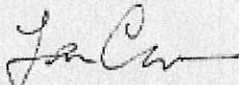
+++ NO TEXT THIS PAGE +++

LAURA CURRAN
NASSAU COUNTY EXECUTIVE

NASSAU COUNTY



Vendor Code of Ethics

POLICY/PROCEDURE TITLE: Nassau County Vendor Code of Ethics	DATE ISSUED: June 5 th , 2019
DEPARTMENT ISSUING: Executive – Compliance	AUTHORIZED and SIGNED BY:  Deputy County Executive For Compliance  County Executive

- POLICY:** The Office of the Nassau County Executive recognizes the importance of the vendor community in helping the County provide necessary services for the residents of Nassau County. It is the policy of the County Executive to ensure that all vendors doing business with Nassau County operate under the highest standards of legal and ethical conduct.
- PURPOSE:** To set forth a Code of Conduct for vendors to ensure that Nassau County Vendors are conducting their business with integrity, ethics, and compliance with all applicable laws and regulations.
- SCOPE:** All vendors doing business or seeking to do business with Nassau County as specified in the Code.



Vendor Code of Ethics

TABLE OF CONTENTS

Chapter 1: DEFINITIONS

Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

Section 2.01 Designated Point(s) of Contact

Chapter 3: GIFTS OR CONTINGENT FEES

Section 3.01 Zero Tolerance

Section 3.02 Personal Relationships

Section 3.03 Contingent Fees

Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

Section 4.01 Restrictions During the Procurement Process

Section 4.02 Restrictions Post Award

Chapter 5: CONFLICT OF INTEREST

Section 5.01 Financial Interest

Section 5.02 Personal Business Dealings

Section 5.03 Disclosure and Cooperation

Section 5.04 Confidential Information

Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

Section 6.01 Appearance Before Former Agency-Two Year Bar

Section 6.02 Appearance Before Former Agency-Life Time Bar

Chapter 7: NON-COLLUSION

Section 7.01 Independent Bid Assessment

Section 7.02 Non-Communication of Bid

Section 7.03 Bid Submission

Chapter 8: DISTRIBUTION AND CERTIFICATION

Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

Section 8.02 Vendor Certifications

Section 8.03 Subcontractor Certifications

Chapter 9: PENALTIES

Section 9.01 Responsibility Determination

Section 9.02 Civil/Criminal Penalties

Chapter 10: REPORTING OBLIGATION

Section 10.01 Reporting Gift Requests

Section 10.02 Reporting Material Changes



Vendor Code of Ethics

Section 10.03 Reporting Violations and Overpayments

Chapter 11: PROHIBITION ON RETALIATION

Section 11.01 Prohibition



Vendor Code of Ethics

Chapter 1: DEFINITIONS

As used in this Code, the following terms have the following meanings:

Adverse Job-Related Action includes any material alteration to existing terms, conditions, and privileges of employment, such as dismissal, demotion, suspension, compulsory leave, disciplinary action, creation of a hostile work environment, negative performance evaluation, any action resulting in loss of staff, office space or equipment or other benefit, reduction in compensation, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected employee.

Contact means any oral or written communication with any Nassau County Employee, other than the Designated Point(s) of Contact, where it could be reasonably inferred that such contact was intended to influence, or could reasonably be expected to influence, the subject of a County procurement.

Designated Point(s) of Contact means the individual(s) designated by the County to be a Vendor's only contact with Nassau County following the public advertisement of a solicitation or the issuance of a request for a bid, proposal, or quote for small purchase, until the award of a resulting contract and, where applicable, approval by the County Legislature. This timeframe, further defined in the State Procurement Lobbying Law, is also known as the Restricted Period.

Nassau County Employee means any officer, official or employee of Nassau County.

Family Member means (i) a Nassau County Employee's Spouse, Domestic Partner, Child, Sibling or Parent; (ii) a person who is a direct descendant (or the spouse of a direct descendant) of a Sibling of the Nassau County Employee or a Sibling of the Nassau County Employee's Spouse or Domestic Partner; or (iii) a person living in the same household as a Nassau County Employee.

Gift means the transfer, without equivalent consideration, of anything of benefit, tangible or intangible, having more than nominal value, including, but not limited to, cash, loans, forbearance,



Vendor Code of Ethics

services, travel, gratuities of any kind, favors, money, meals, refreshments, entertainment, hospitality, admittance to private clubs, use of time-shares, personal use of the Vendor's facilities, promises, tickets to entertainment or sporting events, weekend trips, golf outings, loans of equipment, or other thing or benefit. A Gift need not be intended to influence or reward any individual or entity.

Nassau County Code of Ethics means Nassau County Charter Section 2218, and the rules and regulations promulgated thereunder as may be amended or modified.

Participating Employee means any Vendor employee who engages in any written or oral communication of a non-clerical or non-administrative nature with Nassau County or with a Nassau County Employee(s) as part of or in connection with the procurement.

Participating Nassau County Employee means any Nassau County Employee who the Vendor knows, has reason to know, or can reasonably anticipate is involved in a specific procurement, in either a direct or decision-making capacity, but not in a clerical capacity. This includes but is not limited to the Designated Point of Contact, the project manager, the project manager's staff to the extent that they are involved in the procurement, members of selection committees, technical experts and negotiating teams.

Primary Contracting Party means a Vendor who intends to directly enter into or has a contract with Nassau County.

Retaliatory Action is defined as any Adverse Job-Related Action taken by, or at the direction or request of, a Vendor or a Vendors' Employees as a result of any individual's (i) good-faith report with respect to a violation or potential violation of this Code or the law; or (ii) cooperation in any investigation of unlawful conduct or misconduct conducted by Nassau County or by federal, state, or local law enforcement officials.

State Procurement Lobbying Law means New York State Finance Law Sections 139-j and 139-k, and the rules and regulations promulgated thereunder as may be amended or modified.

Vendor means any individual or entity seeking to or doing business



Vendor Code of Ethics

with Nassau County within the scope of this Code, including, without limitation, contractors, consultants, suppliers, manufacturers seeking to act as the primary contracting party, officers and employees of the foregoing, as well as any subcontractors, subconsultants and suppliers at all lower tiers.

Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

Section 2.01 Designated Point(s) of Contact

Each procurement solicitation issued by Nassau County will identify the Designated Point(s) of Contact for that solicitation as required by the State Procurement Lobbying Law. Once the Designated Point(s) of Contact is/are established, the Vendor and any person or entity acting on the Vendor's behalf, including without limitation, those providing compensated or uncompensated lobbying, advocacy, consulting or other services should ensure that its contacts with Nassau County are in compliance with the requirements of the State Procurement Lobbying Law.

Chapter 3: GIFTS OR CONTINGENT FEES

Section 3.01 Zero Tolerance

No Vendor may offer or give any Gift, directly or indirectly, to a Nassau County Employee. Similarly, no Vendor may offer or give any Gift, directly or indirectly, to any Family Member of a Nassau County employee where such Gift is made because of the Vendor's relationship with the Nassau County Employee. Additionally, no Vendor may accept a gift from a Nassau County Employee.

This Zero-Tolerance Policy applies regardless of actual intentions. In other words, even if a Gift does not, or is not intended to, influence an action or decision by a Nassau County Employee, it is prohibited by this Code.

Section 3.02 Personal Relationships

Notwithstanding the foregoing, if a Vendor has a pre-existing family or personal relationship with the Employee, a Gift that is wholly unconnected with the Employee's duties on behalf of Nassau County is



Vendor Code of Ethics

not necessarily prohibited.

In determining whether the giving of an item was motivated by personal rather than business concerns, the following factors are considered:

- (a) the history of the relationship between the donor and the recipient, including but not limited to the mutuality of gift giving;
- (b) whether the item was paid for by the donor.

The giving of an item shall not be considered to be motivated by a family or personal relationship if the donor seeks to charge or deduct the value of the item as a business expense or seeks reimbursement from a client.

However, regardless of the family or personal relationship between a Vendor and an employee, a Gift is strictly forbidden where it is being given under circumstances where it can reasonably be inferred that it was intended to influence the employee in the performance of his or her official duties.

Section 3.03 Contingent Fees

The Vendor will not employ or retain any individual or entity for the purpose of soliciting or securing a Nassau County contract upon any agreement or understanding for a commission, percentage, brokerage, or fee that is contingent or dependent upon the outcome of the procurement.

Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

Section 4.01 Restrictions During the Procurement Process

Vendors shall not discuss future employment with Participating Nassau County Employees or their Family Members from the date the procurement is advertised or solicited through 30 days following the date that the procurement is awarded, even if a Participating Nassau County Employee contacts the Vendor regarding employment. Questions regarding whether a particular Nassau County employee is a Participating Nassau County Employee for a specific



Vendor Code of Ethics

procurement should be directed to the Designated Point of Contact for the procurement.

Section 4.02 Restrictions Post Award

Vendors are prohibited from offering or discussing an employment opportunity with a Nassau County Employee or his or her Family Members before whom the Vendor has or expects to have a pending specific matter including, but not limited to, negotiations, performance evaluation, task order selection, approval of a voucher or invoice, or approval of or agreement to a contract amendment, change order, or deviation or waiver until:

- (i) 30 days from the time the matter before the Employee is closed, or
- (ii) 30 days from the time the Employee has no further involvement with the matter because of recusal or reassignment.

Chapter 5: CONFLICT OF INTEREST

Section 5.01 Financial Interest

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, as the case may be, may have a 10% or greater interest, nor shall the Vendor, nor any director, officer, principal, owner, or partner thereof, acquire a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract.

The Vendor will not permit an employee having a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract to be employed in the performance of the Nassau County contract.

Section 5.02 Personal Business Dealings

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, may have a non-County business dealing with a



Vendor Code of Ethics

Participating Nassau County Employee where it can be reasonably inferred that the purpose of the business dealing, at least in part, is to influence the Participating Nassau County Employee's action on a pending County matter.

Section 5.03 Disclosure and Cooperation

The Vendor shall disclose immediately to the County any real or potential conflict of interest of which it becomes aware. This obligation is ongoing and shall last through the completion of performance of the contract. The Vendor shall provide to Nassau County, at the County's request and upon such forms as may be furnished by Nassau County, a disclosure of organizational, financial, contractual or other affiliations with any organization or entity that has interests that may be substantially affected by the procurement solicitation or award. The Vendor shall fully cooperate in any inquiry or investigation undertaken by Nassau County to determine whether any such affiliations present a conflict of interest, or whether any other provision of this Code has been violated. The Vendor shall fully cooperate with audits, investigations, examinations and reviews by the Nassau County Inspector General conducted pursuant to section 187 of the Nassau County Charter.

Section 5.04 Confidential Information

At no time shall any Vendor who obtains confidential or proprietary Nassau County information in the course of doing or seeking to do business with the County disclose any such information to any person not authorized by Nassau County to receive such information or use such information for any personal gain except as necessary to fulfill its contractual obligations to Nassau County.

If the Vendor receives from any source confidential or proprietary Nassau County information prior to the award of a resulting contract and, where applicable, approval by the County Legislature, without the explicit approval of the Designated Point of Contact, the Vendor shall immediately so notify the Designated Point of Contact.

Nassau County confidential or proprietary information includes, but is not limited to, internal cost estimates and proposals submitted by other Vendors.



Vendor Code of Ethics

Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

No Vendor who participates in the development of a scope of work, solicitation documents, assessment criteria, contractual instruments or technical specifications may participate as a bidder, sub-bidder, proposer or sub-proposer on that particular procurement or perform any work on that particular procurement or any other procurement that would constitute an organizational conflict of interest or would give that Vendor an unfair advantage over other bidders or proposers on that procurement. This prohibition may be waived in writing by the County Chief Procurement Officer upon a showing of good cause.

Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

Section 6.01 Appearance Before Former Agency-Two Year Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear or practice before any Nassau County agency, either prior to award or in the performance of a Nassau County contract, for a period of two years after termination of the Nassau County Employee's services with the County.

Section 6.02 Appearance Before Former Agency-Life Time Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear, practice, communicate or otherwise render services before the agency that employed the officer or employee or any other agency of Nassau County, either prior to award or in the performance of an agency's contract in relation to any case, proceeding, application or transaction with respect to which such former officer or employee was directly concerned and in which he or she personally participated, or which was under his or her active consideration during the period of his or her employment. This provision is a lifetime bar on projects that the former Nassau County Employee previously worked on while employed by the County.



Vendor Code of Ethics

Chapter 7: NON-COLLUSION

Section 7.01 Independent Bid Assessment

The Vendor will calculate the price(s) contained in any bid or proposal independently, without collusion, consultation, communication, or agreement with any competing Vendor for the purpose of restricting competition.

Section 7.02 Non-Communication of Bid

Unless otherwise required by law, the price(s) which the Vendor quotes in its bid or proposal will not knowingly be disclosed by the Vendor, directly or indirectly, to any competing Vendor prior to the closing date for bids or proposals.

Section 7.03 Bid Submission

The Vendor will not make any attempt to induce any other individual or entity to submit or not to submit a bid or proposal.

Chapter 8: DISTRIBUTION AND CERTIFICATION

Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

As a condition of being considered for the award of any contract above the County's small purchase threshold of \$10,000, the Vendor will be required to distribute copies of the Nassau County Vendor Code of Ethics to all Participating Employees prior to any of those employee's participation in the procurement. The Code may be distributed either in hard copy or electronically as a separate PDF.

Additionally, as a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will be required to obtain an acknowledgement from each of its Participating Employees ("Participating Employee Acknowledgements") that they have received, read, understand, and will comply with the Nassau County Vendor Code of Ethics.



Vendor Code of Ethics

The Vendor's responsibility for distributing copies of the Nassau County Vendor Code of Ethics and obtaining such signed Participating Employee Acknowledgements is ongoing until completion of performance of the contract and shall be retained for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Receipt and retention of Participating Employee Acknowledgments by the Vendor shall be subject to audit by Nassau County.

Section 8.02 Vendor Certifications

The vendor by signing the final contract thereby certifies and attests to the following:

- (a) The Vendor has been provided with a copy of the Nassau County Vendor Code of Ethics and will comply with all of the provisions of the Code;
- (b) All of its Participating Employees during the course of procurement or contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to any of those employees' participation in the procurement;
- (c) All Participating Employees have completed the acknowledgement required by Section 8.01 of this Code;
- (d) The Vendor will retain all of the signed Participating Employee Acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County;
- (e) The Vendor will continue to distribute the Nassau County Vendor Code of Ethics, obtain signed Participating Employee Acknowledgements as new Participating Employees are added or changed during the contract period, and retain all of the signed acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.



Vendor Code of Ethics

Section 8.03 Subcontractor Certifications

As a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will obtain certifications executed by authorized officials from all of its lower tier subcontractors, subconsultants and suppliers (as well as from any other subcontractors, subconsultants and suppliers from whom that Vendor is soliciting or has received proposals for work on a Nassau County contract) whose employees have communicated or may communicate with Nassau County Employees. This obligation is ongoing and shall last through the completion of performance of the contract. Receipt and retention of lower tier certifications by the Vendor shall be subject to audit by Nassau County.



Vendor Code of Ethics

Chapter 9: PENALTIES

Section 9.01 Responsibility Determination

For violation of any provision of the Nassau County Vendor Code of Ethics, Nassau County may avail itself of every remedy in law or equity, or as agreed to by parties in any contract, including but not limited to declaring the Vendor non-responsible or in material breach of the contract.

Section 9.02 Civil/Criminal Penalties

Additionally, violation of the Nassau County Vendor Code of Ethics or a provision thereof may subject the Vendor to criminal or civil penalties under State or Federal law.

Chapter 10: REPORTING OBLIGATION

Section 10.01 Reporting Gift Requests

Notwithstanding the provisions of Chapter 4 above, the Vendor is obligated to immediately report to Nassau County's Inspector General and the County Chief Procurement Officer, any and all requests made to the Vendor by any Nassau County Employee for a Gift.

Section 10.02 Reporting Material Changes

The Vendor is under a continuing obligation to report any change in circumstances that materially affects any prior report to Nassau County to Department of Chief Contracting Officer, including but not limited to disclosure of conflicts of interest and representations made in the Contractor Responsibility Form.

Section 10.03 Reporting Violations and Overpayments

The Vendor is obligated to timely report in writing to Nassau County's Inspector General, in connection with the award, performance or closeout of the Nassau County contract or subcontract, any credible evidence of significant overpayments on the contract or that a principal, employee, agent or subcontractor has committed a



Vendor Code of Ethics

violation of law involving fraud, conflict of interest, bribery or gratuities.

Chapter 11: PROHIBITION ON RETALIATION

Section 11.01 Prohibition

To facilitate the reporting obligations under Chapter 10, this code strictly forbids all Vendors and Vendors' Employees from taking any Retaliatory Action against individuals who make such reports.



Vendor Code of Ethics

PARTICIPATING EMPLOYEE ACKNOWLEDGEMENT REGARDING NASSAU COUNTY VENDOR CODE OF ETHICS

Company: Grave Industries, LLC

Bid/Proposal No.: S35123-L38H

I, Richard C. Weyer, acknowledge that I have received and read the Nassau County Vendor Code of Ethics on 2/16/21 and that I understand it and will comply with this Code in my participation in procurements between Grave Industries, LLC (Vendor name) and Nassau County.

Executed this 16th day of February, 2021

By Richard C. Weyer Signature of Employee

Richard C. Weyer, Vice President Name and Title of Employee



Vendor Code of Ethics

CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.:

S35123-L38H

Project Description:

Bay Park Sewage Treatment Plant
Contaminated Soil Hauling and Disposal

The prospective lower tier participant _____ (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to Grave Industries, LLC [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this 16th day of February, 2021.

By

Signature of Authorized Official

Richard C. Weyer, E.V.P.

Name and Title of Authorized Official

N/A

PROPOSAL

NOTICE OF AWARD

PROPOSAL

NO TEXT ON THIS PAGE



SCHOOL (631) 286-8677
FAX (631) 286-8683

APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL
INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

247-C

UNION - P.O. BOX 206, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

February 11, 2021

Nassau County Dept. of Public Works
1194 Prospect Ave
Westbury, NY 11590

Re: Local 138 Apprenticeship School (the "Apprenticeship School")
NYS Sponsor # 00422
NYS ATP Code # 18318
Grace Industries LLC

**Contract #S35123-L38H – Bay Park Sewage Treatment Plant Contaminated Soil
Hauling & Disposal**

To Whom It May Concern,

This letter serves to confirm that the **Apprenticeship School** currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to Grace Industries LLC, to the Local 138 Agreement Covering Working Conditions, dated June 1, 2019 to May 31, 2023. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY
BUSINESS MANAGER & TREASURER
CHAIRMAN BOARD OF TRUSTEES
LOCAL 138 APPRENTICESHIP TRAINING FUND

LABORERS LOCAL UNION NO. 1298 JOINT APPRENTICESHIP TRAINING FUND



NASSAU & SUFFOLK COUNTIES

1161 LOCUST AVENUE
BOHEMIA, N.Y. 11716
Telephone: (631) 218-1376
Fax: (631) 218-1379



FUND ADMINISTRATOR

ANNALISA C. DEFALCO ESQ.

TRAINING DIRECTOR

STEVEN M. AURIGEMA

BOARD OF TRUSTEES

GEORGE F. TRUICKO JR.
GEORGE S. TRUICKO
FRANK DAMIANO JR.
JAMES WINSHIP
FRANK ALBERTO
GREGORY W. COUCH
JASON GOLDEN
MARC HERBST

Re: Road and Heavy Construction Laborers Local #1298
Local 1298 Joint Apprenticeship Training Program
NYS SPONSOR CODE # 01764
NYS ATP CODE # 18514

February 10, 2021

Owner : Nassau County Department of Public Works
Bid/Pin/Project No. : S35123-L38H
Contract : Bay Park Sewage Treatment Plant Soil Hauling and Disposal

TO WHOM IT MAY CONCERN:

This letter will serve to confirm that Local 1298 Joint Apprenticeship Training Program currently conducts a fully registered and approved New York State "Apprenticeship Training Program for Skilled Construction Craft Laborers" ("ATP"). The ATP is co-sponsored by Local 1298 and all employers/signatory contractors, including but not limited to Grace Industries LLC., to the Local 1298 Working Agreement Covering Wages and Working Conditions, dated June 1, 2012 to May 31, 2022.

Any additional questions should be directed to my attention.

Sincerely,

Steven M. Aurigema
Training Director
Road & Heavy Construction Laborers' Union Local 1298
Joint Apprenticeship Training Fund

Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Matthew Pirozzi state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Disclosures

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.

Name of Submitting Entity: Grace Industries LLC

Vendor's Address: 336 S Service Rd Melville NY US 11747

Vendor's EIN or TIN: 208054737

Forms Submitted: _____

Political Campaign Contribution Disclosure Form:
05/11/2021 01:07:56 PM

Lobbyist Registration and Disclosure Form:
05/11/2021 01:08:41 PM

Business History Form certified:
10/14/2021 11:27:57 AM

Consultant's, Contractor's, and Vendor's Disclosure Form:
10/14/2021 11:23:58 AM

Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

Principal Name	Date Certified
Richard C Weyer [RWEYER@GRACECIVIL.COM]	05/05/2021 11:03:22 AM
William J. Haugland [BILL@HAUGLANDLLC.COM]	07/14/2021 04:48:40 PM
Guyon Moseley [GUYON@MOSELEY.ORG]	07/14/2021 04:44:27 PM
Edward Tackenberg [ETACKENBERG@HAUGLANDLLC.COM]	07/13/2021 04:19:49 PM
Michael Murillo [MMURILLO@HAUGLANDLLC.COM]	05/06/2021 07:55:11 AM
John Reynolds [JREYNOLDS@HAUGLANDLLC.COM]	07/13/2021 04:20:54 PM
Matthew Pirozzi [MPIROZZI@HAUGLANDLLC.COM]	07/13/2021 04:14:40 PM
William D. Haugland [BILLYH@HAUGLANDLLC.COM]	07/13/2021 04:16:33 PM
Joseph Haugland [JHAUGLAND@GRACECIVIL.COM]	07/13/2021 04:17:48 PM
Michael Pino [MPINO@GRACECIVIL.COM]	05/05/2021 11:41:19 AM

I, Matthew Pirozzi hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

Matthew Pirozzi MPIROZZI@HAUGLANDLLC.COM

Name

Chief Financial Officer

Title

Grace Industries LLC

Name of Submitting Entity

10/14/2021 11:31:25 AM

Date



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☒ NO ☐ If yes, to what campaign committee?

1 File(s) uploaded: Campaign Contribution.pdf

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:
Matthew Pirozzi [MPIROZZI@HAUGLANDLLC.COM]

Dated: 05/11/2021 01:07:56 PM

Vendor: Grace Industries LLC

Title: Chief Financial Officer

10/14/16	GRACE INDUSTRIES	FRIENDS OF ED MANGANO
12/30/16	GRACE INDUSTRIES	FRIENDS OF MADELINE SINGAS
01/06/17	GRACE INDUSTRIES	LAURA CURRAN 2017
01/06/17	HAUGLAND ENERGY	LAURA CURRAN 2017
03/21/17	GRACE INDUSTRIES	LAURA CURRAN 2017
05/01/17	HAUGLAND ENERGY	LAURA CURRAN 2017
05/25/17	GRACE INDUSTRIES	FRIENDS OF MADELINE SINGAS
06/01/17	HAUGLAND GROUP	FRIENDS OF MADELINE SINGAS
06/29/17	GRACE INDUSTRIES	LAURA CURRAN 2017
07/05/17	GRACE INDUSTRIES	JACK SCHNIRMAN
09/19/17	HAUGLAND ENERGY	LAURA CURRAN 2017
10/06/17	GRACE INDUSTRIES	JACK SCHNIRMAN
10/06/17	HAUGLAND GROUP	LAURA CURRAN 2017
10/17/17	GRACE INDUSTRIES	LAURA CURRAN 2017
03/12/18	HAUGLAND GROUP	JACK SCHNIRMAN
03/15/18	HAUGLAND GROUP	FRIENDS OF MADELINE SINGAS
05/16/18	GRACE INDUSTRIES	JACK SCHNIRMAN
06/06/18	HAUGLAND GROUP	FRIENDS OF MADELINE SINGAS
12/13/18	HAUGLAND GROUP	FRIENDS OF MADELINE SINGAS
01/10/19	HAUGLAND GROUP	JACK SCHNIRMAN
06/04/19	HAUGLAND GROUP	JACK SCHNIRMAN
06/17/19	WILLIAM J HAUGLAND	FRIENDS OF MADELINE SINGAS
09/27/19	WILLIAM J HAUGLAND	FRIENDS OF MADELINE SINGAS
01/07/20	WILLIAM J HAUGLAND	JACK SCHNIRMAN
06/23/20	WILLIAM J HAUGLAND	CURRAN FOR NASSAU
09/18/20	WILLIAM J HAUGLAND	FRIENDS OF MADELINE SINGAS
10/16/20	WILLIAM J HAUGLAND	CURRAN FOR NASSAU
12/11/20	WILLIAM J HAUGLAND	JACK SCHNIRMAN



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

None

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See the last page for a complete description of lobbying activities.

None

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

None

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby. separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee? If none, you must so state:

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:
Matthew Pirozzi [MPIROZZI@HAUGLANDLLC.COM]

Dated: 05/11/2021 01:08:41 PM

Vendor: Grace Industries LLC

Title: Chief Financial Officer

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Edward Tackenberg
Date of birth:
Home address:
City: State/Province/Territory: Zip/Postal Code:
Country: US

Business Address: 336 South Service Road
City: Melville State/Province/Territory: NY Zip/Postal Code: 11747
Country: US
Telephone: 5163366720

Other present address(es):
City: State/Province/Territory: Zip/Postal Code:
Country:
Telephone:

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u> </u>	Treasurer	<u> </u>
Chairman of Board	<u> </u>	Shareholder	<u> </u>
Chief Exec. Officer	<u> </u>	Secretary	<u> </u>
Chief Financial Officer	<u> </u>	Partner	<u> </u>
Vice President	<u>12/11/2006</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Edward Tackenberg , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Edward Tackenberg , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Grace Industries LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:
Edward Tackenberg [ETACKENBERG@HAUGLANDLLC.COM]

Senior Vice President

Title

05/11/2021 08:56:35 AM

Date

5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire?

-
- | Response | Percentage |
|---|------------|
| Yes, the current administration is responsible | 85% |
| No, the current administration is not responsible | 15% |

•

[REDACTED]

■

[REDACTED]

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: William J. Haugland 1999 Multigenerational Trust
Date of birth: 03/24/1999
Home address: 2669 South Bayshore Drive
City: Miami State/Province/Territory: FL Zip/Postal Code: 33133
Country: US

Business Address: 2669 South Bayshore Drive
City: Miami State/Province/Territory: FL Zip/Postal Code: 33133
Country: US
Telephone: 5163366720

Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	_____		
(Other)	_____		

Type	Description	Start Date
Other	Owner	03/24/1999

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

William J. Haugland 1999 Multigenerational Trust owns 70% of Grace Industries LLC.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

There are intercompany payables from the Trust to Grace for various expenses.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

1 File(s) Uploaded: Principal Questionnaire- Question 5- William J. Haugland 1999 Multigenerational Trust.pdf

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

1 File(s) Uploaded: Principal Questionnaire- Question 6 -HVI.pdf

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Guyon Moseley , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Guyon Moseley , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Grace Industries LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Guyon Moseley [GUYON@MOSELEY.ORG]

Member of William J. Haugland 1999 Multigenerational Trust

Title

07/14/2021 04:44:27 PM

Date

5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire?

Firm Name: 11 Commercial LLC
Position Held: Owner:

Firm Name: Horseblock Properties LLC
Position Held: Owner

Firm Name: North Berwick Road LLC
Position Held: Owner

Firm Name: 336 South Service Road LLC
Position Held: Owner

Firm Name: 88 Tyler LLC
Position Held: Owner

Firm Name: 12 Winterbrook Road LLC
Position Held: Owner

Firm Name: Haugland Group LLC
Position Held: Owner

Firm Name: Haugland Virgin Islands Inc.
Position Held: Owner

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

Haugland Virgin Islands:

- Virgin Islands Water and Power Authority - Cruz Bay UG Duct Bank Mounted Outdoor Dist. Swgr- May 2020
- Virgin Islands Water and Power Authority - East End Substation- Phase 2- July 2020
- Virgin Islands Water and Power Authority- St Thomas - Composite Poles 2021 - Region A- January 2021
- Virgin Islands Water and Power Authority- St Thomas - Composite Poles 2021 - Region B- January 2021
- Virgin Islands Water and Power Authority- STX 2021 Composite Pole Material Purchase- January 2021
- Virgin Islands Water and Power Authority- STT 2021 Composite Pole Material Purchase- January 2021
- Virgin Islands Water and Power Authority- WAPA Cruz Bay SC-23-21- December 2020
- Virgin Islands Water and Power Authority- St Croix - Composite Poles 2020- December 2019

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Joseph Haugland
Date of birth:
Home address:
City: State/Province/Territory: Zip/Postal Code:
Country: US

Business Address: 336 South Service Road
City: Melville State/Province/Territory: NY Zip/Postal Code: 11747
Country: US
Telephone: 5163366720

Other present address(es):
City: State/Province/Territory: Zip/Postal Code:
Country:
Telephone:

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u> </u>	Treasurer	<u> </u>
Chairman of Board	<u> </u>	Shareholder	<u> </u>
Chief Exec. Officer	<u> </u>	Secretary	<u> </u>
Chief Financial Officer	<u> </u>	Partner	<u> </u>
Vice President	<u> </u>		
(Other)	<u> </u>		

Type	Description	Start Date
Other	Co-President	03/04/2016

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

1 File(s) Uploaded: Prinicipal Questionnaire- Question 5- Joseph Haugland.pdf

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

1 File(s) Uploaded: Principal Questionnaire- Question 6.pdf

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Joseph Haugland , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Joseph Haugland , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Grace Industries LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Joseph Haugland [JHAUGLAND@GRACECIVIL.COM]

Co-President

Title

05/11/2021 12:26:40 PM

Date

5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire?

- [REDACTED]
- | [REDACTED]
- | [REDACTED]
- | [REDACTED]
- | [REDACTED]
- | [REDACTED]

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

Haugland Energy Group LLC:

- Village of Rockville Center- Substations 3 & 4 Construction & Commissioning- October 8, 2020
- MTA Capital Construction Co.- East Side Access- Harold Catenary- October 2020
- US Army Corp. of Engineers- Westchester Community Center, ACF- March 2020
- Town of Islip- Runway 15R-33L Taxiway B&S Edge Lighting Replacement- March 26, 2020
- Lindenhurst UFSD- 2017 Bond Projects- November 20, 2019

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: John W Reynolds
Date of birth:
Home address:
City: State/Province/Territory: Zip/Postal Code:
Country: US

Business Address: 336 South Service Road
City: Melville State/Province/Territory: NY Zip/Postal Code: 11747
Country: US
Telephone: 5163366720

Other present address(es):
City: State/Province/Territory: Zip/Postal Code:
Country: US
Telephone:

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	<u>12/06/2012</u>	Partner	_____
Vice President	_____		
(Other)	_____		

Type	Description	Start Date
Other	Chief Commercial Officer	06/19/2018

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

1 File(s) Uploaded: Prinicipal Questionnaire- Question 5- John Reynolds.pdf

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

1 File(s) Uploaded: Principal Questionnaire- Question 6.pdf

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, John W Reynolds , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, John W Reynolds , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Grace Industries LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

John W Reynolds [JREYNOLDS@HAUGLANDLLC.COM]

Chief Commercial Officer

Title

05/06/2021 04:56:57 PM

Date

5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire?

- | Country | Share of GDP |
|------------------------|--------------|
| United States | 1.2% |
| Germany | 1.1% |
| France | 1.0% |
| United Kingdom | 0.9% |
| Italy | 0.8% |
| Spain | 0.7% |
| Japan | 0.6% |
| China | 0.5% |
| India | 0.4% |
| Brazil | 0.3% |
| Canada | 0.2% |
| South Korea | 0.1% |
| Australia | 0.1% |
| Sweden | 0.1% |
| Netherlands | 0.1% |
| Belgium | 0.1% |
| Austria | 0.1% |
| Switzerland | 0.1% |
| Denmark | 0.1% |
| Finland | 0.1% |
| Portugal | 0.1% |
| Greece | 0.1% |
| Ireland | 0.1% |
| Luxembourg | 0.1% |
| Slovenia | 0.1% |
| Czech Republic | 0.1% |
| Poland | 0.1% |
| Hungary | 0.1% |
| Romania | 0.1% |
| Bulgaria | 0.1% |
| Slovakia | 0.1% |
| Slovenia | 0.1% |
| Croatia | 0.1% |
| Bosnia and Herzegovina | 0.1% |
| Montenegro | 0.1% |
| Albania | 0.1% |
| Macedonia | 0.1% |
| Serbia | 0.1% |
| Bulgaria | 0.1% |
| Romania | 0.1% |
| Hungary | 0.1% |
| Poland | 0.1% |
| Czech Republic | 0.1% |
| Slovakia | 0.1% |
| Slovenia | 0.1% |
| Croatia | 0.1% |
| Bosnia and Herzegovina | 0.1% |
| Montenegro | 0.1% |
| Albania | 0.1% |
| Macedonia | 0.1% |
| Serbia | 0.1% |
| Bulgaria | 0.1% |
| Romania | 0.1% |
| Hungary | 0.1% |
| Poland | 0.1% |
| Czech Republic | 0.1% |
| Slovakia | 0.1% |
| Slovenia | 0.1% |
| Croatia | 0.1% |
| Bosnia and Herzegovina | 0.1% |
| Montenegro | 0.1% |
| Albania | 0.1% |
| Macedonia | 0.1% |
| Serbia | 0.1% |
| Bulgaria | 0.1% |
| Romania | 0.1% |
| Hungary | 0.1% |
| Poland | 0.1% |
| Czech Republic | 0.1% |
| Slovakia | 0.1% |
| Slovenia | 0.1% |
| Croatia | 0.1% |
| Bosnia and Herzegovina | 0.1% |
| Montenegro | 0.1% |
| Albania | 0.1% |
| Macedonia | 0.1% |
| Serbia | 0.1% |
| Bulgaria | 0.1% |
| Romania | 0.1% |
| Hungary | 0.1% |
| Poland | 0.1% |
| Czech Republic | 0.1% |
| Slovakia | 0.1% |
| Slovenia | 0.1% |
| Croatia | 0.1% |
| Bosnia and Herzegovina | 0.1% |
| Montenegro | 0.1% |
| Albania | 0.1% |
| Macedonia | 0.1% |
| Serbia | 0.1% |
| Bulgaria | 0.1% |
| Romania | 0.1% |
| Hungary | 0.1% |
| Poland | 0.1% |
| Czech Republic | 0.1% |
| Slovakia | 0.1% |
| Slovenia | 0.1% |
| Croatia | 0.1% |
| Bosnia and Herzegovina | 0.1% |
| Montenegro | 0.1% |
| Albania | 0.1% |
| Macedonia | 0.1% |
| Serbia | 0.1% |
| Bulgaria | 0.1% |
| Romania | 0.1% |
| Hungary | 0.1% |
| Poland | 0.1% |
| Czech Republic | 0.1% |
| Slovakia | 0.1% |
| Slovenia | 0.1% |
| Croatia | 0.1% |
| Bosnia and Herzegovina | 0.1% |
| Montenegro | 0.1% |
| Albania | 0.1% |
| Macedonia | 0.1% |
| Serbia | 0.1% |
| Bulgaria | 0.1% |
| Romania | 0.1% |
| Hungary | 0.1% |
| Poland | 0.1% |
| Czech Republic | 0.1% |
| Slovakia | 0.1% |
| Slovenia | 0.1% |
| Croatia | 0.1% |
| Bosnia and Herzegovina | 0.1% |
| Montenegro | 0.1% |
| Albania | 0.1% |
| Macedonia | 0.1% |
| Serbia | 0.1% |
| Bulgaria | 0.1% |
| Romania | 0.1% |
| Hungary | 0.1% |
| Poland | 0.1% |
| Czech Republic | 0.1% |
| Slovakia | 0.1% |
| Slovenia | 0.1% |
| Croatia | 0.1% |
| Bosnia and Herzegovina | 0.1% |
| Montenegro | 0.1% |
| Albania | 0.1% |
| Macedonia | 0.1% |
| Serbia | 0.1% |
| Bulgaria | 0.1% |
| Romania | 0.1% |
| Hungary | 0.1% |
| Poland | 0.1% |
| Czech Republic | 0.1% |
| Slovakia | 0.1% |
| Slovenia | 0.1% |
| Croatia | 0.1% |
| Bosnia and Herzegovina | 0.1% |
| Montenegro | 0.1% |
| Albania | 0.1% |
| Macedonia | 0.1% |
| Serbia | 0.1% |
| Bulgaria | 0.1% |
| Romania | 0.1% |
| Hungary | 0.1% |
| Poland | 0.1% |
| Czech Republic | 0.1% |
| Slovakia | 0.1% |
| Slovenia | 0.1% |
| Croatia | 0.1% |
| Bosnia and Herzegovina | 0.1% |
| Montenegro | 0.1% |
| Albania | 0.1% |
| Macedonia | 0.1% |

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

Haugland Energy Group LLC:

- Village of Rockville Center- Substations 3 & 4 Construction & Commissioning- October 8, 2020
- MTA Capital Construction Co.- East Side Access- Harold Catenary- October 2020
- US Army Corp. of Engineers- Westchester Community Center, ACF- March 2020
- Town of Islip- Runway 15R-33L Taxiway B&S Edge Lighting Replacement- March 26, 2020
- Lindenhurst UFSD- 2017 Bond Projects- November 20, 2019
- Florida Keys Electric Co-op Association- Master Service Agreement- July 2020
- Tampa Electric Company- Master Service Agreement- July 2020
- Virgin Islands Water and Power Authority- 2019 USVI CAT F St John Debris Removal
- Virgin Islands Water and Power Authority- 2019 USVI CAT F St Thomas Debris Removal
- Virgin Islands Water and Power Authority- 2019 USVI CAT F Water Island Debris Removal
- Virgin Islands Water and Power Authority- 2019 USVI CAT F St. Croix Debris Removal

Haugland Virgin Islands:

- Virgin Islands Water and Power Authority - Cruz Bay UG Duct Bank Mounted Outdoor Dist. Swgr- May 2020
- Virgin Islands Water and Power Authority - East End Substation- Phase 2- July 2020
- Virgin Islands Water and Power Authority- St Thomas - Composite Poles 2021 - Region A- January 2021
- Virgin Islands Water and Power Authority- St Thomas - Composite Poles 2021 - Region B- January 2021
- Virgin Islands Water and Power Authority- STX 2021 Composite Pole Material Purchase- January 2021
- Virgin Islands Water and Power Authority- STT 2021 Composite Pole Material Purchase- January 2021
- Virgin Islands Water and Power Authority- WAPA Cruz Bay SC-23-21- December 2020
- Virgin Islands Water and Power Authority- St Croix - Composite Poles 2020- December 2019

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Michael Murillo
Date of birth:
Home address:
City: State/Province/Territory: Zip/Postal Code:
Country: US

Business Address: 336 South Service Road
City: Melville State/Province/Territory: NY Zip/Postal Code: 11747
Country: US
Telephone: 5163366720

Other present address(es):
City: State/Province/Territory: Zip/Postal Code:
Country:
Telephone:

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u> </u>	Treasurer	<u> </u>
Chairman of Board	<u> </u>	Shareholder	<u> </u>
Chief Exec. Officer	<u> </u>	Secretary	<u> </u>
Chief Financial Officer	<u> </u>	Partner	<u> </u>
Vice President	<u>03/01/2017</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

1 File(s) Uploaded: Principal Questionnaire- Question 6.pdf

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Michael Murillo , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Michael Murillo , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Grace Industries LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Michael Murillo [MMURILLO@HAUGLANDLLC.COM]

Vice President

Title

05/06/2021 07:55:11 AM

Date

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

Haugland Energy Group LLC:

- Village of Rockville Center- Substations 3 & 4 Construction & Commissioning- October 8, 2020
- MTA Capital Construction Co.- East Side Access- Harold Catenary- October 2020
- Town of Islip- Runway 15R-33L Taxiway B&S Edge Lighting Replacement- March 26, 2020
- Lindenhurst UFSD- 2017 Bond Projects- October 5, 2020

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Matthew Pirozzi
 Date of birth: [REDACTED]
 Home address: [REDACTED]
 City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
 Country: US

Business Address:		336 South Service Road	
City:	Melville	State/Province/Territory:	NY
Country	US	Zip/Postal Code:	11747
Telephone:	5163366720		

Other present address(es): _____
 City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
 Country: _____
 Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President		Treasurer	
Chairman of Board		Shareholder	
Chief Exec. Officer		Secretary	
Chief Financial Officer	06/19/2018	Partner	
Vice President			
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

1 File(s) Uploaded: Prinicipal Questionnaire- Question 5- Matthew Pirozzi.pdf

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

1 File(s) Uploaded: Principal Questionnaire- Question 6.pdf

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Matthew Pirozzi , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Matthew Pirozzi , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Grace Industries LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Matthew Pirozzi [MPIROZZI@HAUGLANDLLC.COM]

CFO

Title

05/06/2021 09:10:41 AM

Date

5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire?

-
- | Row | Bar Length (approx. % of total width) |
|-----|---------------------------------------|
| 1 | 85 |
| 2 | 65 |
| 3 | 75 |
| 4 | 80 |
| 5 | 95 |
| 6 | 85 |
| 7 | 100 |
| 8 | 90 |
| 9 | 80 |
| 10 | 70 |
| 11 | 85 |

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

Haugland Energy Group LLC:

- Village of Rockville Center- Substations 3 & 4 Construction & Commissioning- October 8, 2020
- MTA Capital Construction Co.- East Side Access- Harold Catenary- October 2020
- US Army Corp. of Engineers- Westchester Community Center, ACF- March 2020
- Town of Islip- Runway 15R-33L Taxiway B&S Edge Lighting Replacement- March 26, 2020
- Lindenhurst UFSD- 2017 Bond Projects- November 20, 2019
- Florida Keys Electric Co-op Association- Master Service Agreement- July 2020
- Tampa Electric Company- Master Service Agreement- July 2020
- Virgin Islands Water and Power Authority- 2019 USVI CAT F St John Debris Removal
- Virgin Islands Water and Power Authority- 2019 USVI CAT F St Thomas Debris Removal
- Virgin Islands Water and Power Authority- 2019 USVI CAT F Water Island Debris Removal
- Virgin Islands Water and Power Authority- 2019 USVI CAT F St. Croix Debris Removal

Haugland Virgin Islands:

- Virgin Islands Water and Power Authority - Cruz Bay UG Duct Bank Mounted Outdoor Dist. Swgr- May 2020
- Virgin Islands Water and Power Authority - East End Substation- Phase 2- July 2020
- Virgin Islands Water and Power Authority- St Thomas - Composite Poles 2021 - Region A- January 2021
- Virgin Islands Water and Power Authority- St Thomas - Composite Poles 2021 - Region B- January 2021
- Virgin Islands Water and Power Authority- STX 2021 Composite Pole Material Purchase- January 2021
- Virgin Islands Water and Power Authority- STT 2021 Composite Pole Material Purchase- January 2021
- Virgin Islands Water and Power Authority- WAPA Cruz Bay SC-23-21- December 2020
- Virgin Islands Water and Power Authority- St Croix - Composite Poles 2020- December 2019

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

[REDACTED]

[REDACTED]

[REDACTED]

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Michael Pino
Date of birth:
Home address:
City: State/Province/Territory: Zip/Postal Code:
Country: US

Business Address: 336 South Service Road
City: Melville State/Province/Territory: NY Zip/Postal Code: 11747
Country: US
Telephone: 5163366720

Other present address(es):
City: State/Province/Territory: Zip/Postal Code:
Country:
Telephone:

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u> </u>	Treasurer	<u> </u>
Chairman of Board	<u> </u>	Shareholder	<u> </u>
Chief Exec. Officer	<u> </u>	Secretary	<u> </u>
Chief Financial Officer	<u> </u>	Partner	<u> </u>
Vice President	<u>03/01/2016</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Michael Pino , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Michael Pino , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Grace Industries LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Michael Pino [MPINO@GRACECIVIL.COM]

Vice President

Title

05/05/2021 11:41:19 AM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Richard C Weyer
Date of birth:
Home address:
City: State/Province/Territory: Zip/Postal Code:
Country: US

Business Address: 336 South Service Road
City: Melville State/Province/Territory: NY Zip/Postal Code: 11747
Country: US
Telephone: 5163366720

Other present address(es):
City: State/Province/Territory: Zip/Postal Code:
Country:
Telephone:

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u> </u>	Treasurer	<u> </u>
Chairman of Board	<u> </u>	Shareholder	<u> </u>
Chief Exec. Officer	<u> </u>	Secretary	<u> </u>
Chief Financial Officer	<u> </u>	Partner	<u> </u>
Vice President	<u>03/04/2016</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Richard C Weyer , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Richard C Weyer , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Grace Industries LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:
Richard C Weyer [RWEYER@GRACECIVIL.COM]

Executive Vice President

Title

05/05/2021 11:03:22 AM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: William J. Haugland
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: US

Business Address: 336 South Service Road
City: Melville State/Province/Territory: NY Zip/Postal Code: 11747
Country: US
Telephone: 5163366720

Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	<u>12/11/2006</u>	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

[REDACTED]

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, William J. Haugland , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, William J. Haugland , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Grace Industries LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

William J. Haugland [BILL@HAUGLANDLLC.COM]

CEO

Title

05/06/2021 04:54:41 PM

Date

5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire?

-

•

[REDACTED]

|

[REDACTED]

|

[REDACTED]

|

[REDACTED]

|

[REDACTED]

|

[REDACTED]

|

[REDACTED]

|

[REDACTED]

|

[REDACTED]

|

[REDACTED]

Principal Questionnaire:

5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire?

- [illegible]

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 05/11/2021

1) Proposer's Legal Name: Grace Industries LLC

2) Address of Place of Business: 336 South Service Road

City: Melville State/Province/Territory: NY Zip/Postal Code: 11747

Country: US

Address: 11 Commercial Street

City: Plainview State/Province/Territory: NY Zip/Postal Code: 11803

Country: US

Start Date: 01-OCT-10 End Date: 31-DEC-18

3) Mailing Address (if different): _____

City: _____ State/Province/Territory: _____ Zip/Postal Code: _____

Country: _____

Phone: _____

Does the business own or rent its facilities? Rent If other, please provide details:

4) Dun and Bradstreet number: 800530706

5) Federal I.D. Number: 208054737

6) The proposer is a: Other (Describe) Limited Liability Company

7) Does this business share office space, staff, or equipment expenses with any other business?

YES ☒ NO ☐ If yes, please provide details:

1 File(s) Uploaded: Business History Form- Question 7.pdf

8) Does this business control one or more other businesses?

YES ☐ NO ☒ If yes, please provide details:

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?
YES ☒ NO ☐ If yes, please provide details:

1 File(s) Uploaded: Business History Form- Question 9.pdf

- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?
YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).

- 11) Has the proposer, during the past seven years, been declared bankrupt?
YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets

- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
a) Any felony charge pending?
YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

- 17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

- b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

Grace Industries LLC has a code for Business Ethics & Standards of Conduct and an Employee Manual; both of which were developed to help our employees understand the importance of maintaining public trust and confidence. Policies emphasize that our employees are expected to exercise good judgement in their internal and external business relationships and to avoid even the appearance of impropriety. If potential for a conflict arises, we will contact the County for guidance.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

- i) Date of formation;

12/11/2006

- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

William J. Haugland- Chief Executive Officer/Member, [REDACTED]

[REDACTED]

William J. Haugland 1999 Multigenerational Trust- Member, [REDACTED]

[REDACTED]

William D. Haugland- Co-President- [REDACTED]

Joseph Haugland- Co- President- [REDACTED]

First Name	William				
Last Name	Haugland				
MI	J	Suffix			
Address	[REDACTED]				
City	[REDACTED]	State/Province/Territory	[REDACTED]	Zip/Postal Code	[REDACTED]
Country	US				
Position	Chief Executive Officer				

iii) Name, address and position of all officers and directors of the company. If none, explain.

First Name	William		
Last Name	Haugland		
MI	J	Suffix	
Address	[REDACTED]		
City	[REDACTED]	State/Province/Territory	[REDACTED]
Country	US		
Position	Chief Executive Officer		

1 File(s) Uploaded: Business History Form- iii..pdf

iv) State of incorporation (if applicable);

v) The number of employees in the firm;

165

vi) Annual revenue of firm;

vii) Summary of relevant accomplishments

2 File(s) Uploaded: Contracts Completed- WOH 4.27.21.pdf, HG Brochure 11052020.pdf

viii) Copies of all state and local licenses and permits.

B. Indicate number of years in business.

15

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

2 File(s) Uploaded: Contracts Completed- WOH 4.27.21.pdf, HG Brochure 11052020.pdf

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company	NYSDOT		
Contact Person	John McGullam		
Address	[REDACTED]		
City	[REDACTED]	State/Province/Territory	[REDACTED]
Country	US		
Telephone	([REDACTED])		
Fax #			
E-Mail Address	john.mcgullam@dot.ny.gov		

Company	PANYNJ
---------	--------

Contact Person	Trevor Santos		
Address	[REDACTED]		
City	[REDACTED]	State/Province/Territory	[REDACTED]
Country	US		
Telephone	([REDACTED])		
Fax #			
E-Mail Address	trsantos@panynj.gov		

Company	NYSTA		
Contact Person	Frank Fierro		
Address	[REDACTED]		
City	[REDACTED]	State/Province/Territory	[REDACTED]
Country	US		
Telephone	[REDACTED]		
Fax #			
E-Mail Address	Ffiero@thorntontomasetti.com		

I, Matthew Pirozzi , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Matthew Pirozzi , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: Grace Industries LLC

Electronically signed and certified at the date and time indicated by:
Matthew Pirozzi [MPIROZZI@HAUGLANDLLC.COM]

Chief Financial Officer
Title

10/14/2021 11:27:57 AM
Date

Name, address and position of all officers and directors of the company
Officers:

William J. Haugland, Chief Executive Officer

[REDACTED]

William D. Haugland, Co-President

[REDACTED]

Joseph Haugland, Co-President-

[REDACTED]

Richard C. Weyer, Executive Vice President

[REDACTED]

John W. Reynolds, III, Chief Commercial Officer

[REDACTED]

Matthew J. Pirozzi, Chief Financial Officer

[REDACTED]

Edward Tackenberg, Senior Vice President, Accounting and Finance

[REDACTED]

Michael Pino, Vice President of Field Operations

[REDACTED]

Michael Murillo, Vice President

[REDACTED]

7. Does this business share office space, staff, or equipment expenses with any other business?

Grace shares space, staff, equipment and expenses with the below entities:

A horizontal bar chart with 20 bars representing different age groups. The bars are black and their lengths vary, indicating the percentage of respondents in each age group who believe the current administration is responsible for the current state of the world. The bars are arranged in descending order of length from top to bottom.

Age Group	Percentage
18-24	85%
25-34	95%
35-44	80%
45-54	90%
55-64	85%
65-74	55%
75-84	40%
85+	80%
18-24	55%
25-34	40%
35-44	70%
45-54	55%
55-64	45%
65-74	55%
75-84	75%
85+	50%
18-24	50%
25-34	50%
35-44	50%
45-54	60%
55-64	50%
65-74	50%
75-84	50%
85+	50%
18-24	90%
25-34	95%
35-44	60%

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

9. Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

Affiliated Entities (Common Ownership):

Age Group	Should Take Action (%)	Should Not Take Action (%)
18-29	88%	12%
30-49	85%	15%
50-69	82%	18%
70+	78%	22%

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Grace Industries Work on Hand

Project Name/ Location / Description	Owner	Design Engineer	Contract Price	Scheduled Completion Date / % Complete
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]



Grace Industries Work on Hand

Project Name/ Location / Description	Owner	Design Engineer	Contract Price	Scheduled Completion Date / % Complete
[REDACTED]	[REDACTED]		[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]		[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]		[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]		[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]		[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]		[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]		[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]		[REDACTED]	[REDACTED]



Grace Industries

Projects Completed 2008- Present

[illegible]

Grace Industries
Projects Completed 2008- Present

[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

Projects Completed 2008- Present

[illegible]

Projects Completed 2008- Present

[illegible]

Grace Industries Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]				
[REDACTED]				
[REDACTED]				
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]				
[REDACTED]				
[REDACTED]				
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]				
[REDACTED]				
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]				



Grace Industries Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]				
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]				
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			



Grace Industries Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]



Projects Completed 2008- Present

[illegible]

Grace Industries Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

Projects Completed 2008- Present

[illegible]

Grace Industries Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]				
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]			

Grace Industries Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
██████████ ██████████ ██████████ ██████████	██████████ ██████████ ██████████	██████████	██████████	██████████
██████████ ██████████ ██████████	██████████ ██████████	██████████	██████████	██████████
██████████ ██████████ ██████████	██████████ ██████████	██████████	██████████	██████████



HAUGLAND GROUP

CORPORATE OVERVIEW



336 South Service Road | Melville, New York 11747
P: 516-336-6720
www.hauglandgroup.us

HAUGLAND GROUP

Established in 1999, Haugland Group LLC (HG) is an infrastructure services holding company with subsidiaries and affiliates that provide a wide range of energy and civil infrastructure services. Our team brings decades of experience in the fields of engineering, design/build, best value, project management, project finance and development. We effectively manage a unionized labor force, and provide a broad range of construction services for clients in commercial and government sectors, both within the United States and its territories.

The complementary portfolio of services offered by our affiliate companies provides a competitive advantage in executing complex, multifaceted projects. Affiliate Grace Industries provides heavy highway, bridge, boardwalk, and airport construction, earthwork, drainage, design/build, and other civil services. Haugland Energy (HE) provides turnkey construction, electrical power generation, transmission, distribution, and substations. HE employs a team of industry leading executives, project managers, estimators, welders, splicers, and linemen with decades of energy infrastructure experience.



HAUGLAND GROUP OWNS AND MANAGES



Haugland Energy provides turnkey construction maintenance for all phases of electrical power generation, transmission, distribution, and substations. We employ a team of industry leading executives, project managers, linemen, welders, and splicers, with decades of energy infrastructure experience.



Grace Industries provides heavy highway, bridge, boardwalk and airport construction, earthwork, drainage, design/build and other civil services. Our expert field, estimating and office personnel have the knowledge and expertise to execute a broad scale of projects on time and on budget, while maintaining our impressive safety rating.



Haugland Virgin Islands Inc. was established in 2018 in response to Haugland Group's ongoing commitment to rebuilding and increasing the resiliency of the U.S. Virgin Islands (USVI) after Hurricanes Irma and Maria in 2017.



Midnight Trucking Enterprises specializes in construction trucking services. Midnight Trucking offerstotal transportation and logistics solutions. Our specialized fleet allows for us to customize every move as needed. We pride ourselves in our modern fleet and ability to deliver on time and safely.



Inwood Material Terminal (IMT) services the greater New York construction industry with the recycling of debris, distribution of several types of aggregate, and stevedoring of specialty cargo through its marine terminals in Inwood and Glen Cove, New York.



Eastern Utilities Services (EUS) specializes in directional drilling and trenchless technology pneumatic boring, working with government, municipal, industrial and commercial customers.



Hawkeye Energy Greenport is a 54-Megawatt, simple cycle combustion Pratt & Whitney FT8 SWIFTPAC gas turbine generating facility located in Greenport, NY; owned and operated by Haugland Group.

PERMANENT OFFICE LOCATIONS

Melville, NY
Patchogue, NY
South Plainfield, NJ

St. Thomas, USVI
Mechanic Falls, ME
Wells, ME

MAJOR CUSTOMERS

CORPORATE

ABB
BP Solar
Calpine
Crown Castle
General Electric
Holt Construction Skanska USA Building, Inc.
Lighttower
LS Cable
Noble Environmental
Siemens Westinghouse
Skanska Walsh JV-LGA
Verizon SunPower

UTILITY

Con Edison
Dominion Virginia Power
Entergy
Exelon (PEPCO, PECO) First Energy
Eversource (NU, PSNH, NSTAR, WMECO)
Florida Power & Light (FPL)
Iberdrola (RGE, CMP, NYSEG)
National Grid
NextEra Energy
PSEG-LI / LIPA
PSEG NJ
USVI WAPA

GOVERNMENT

City of Long Beach
Metropolitan Transit Authority
Long Island Power Authority (LIPA)
Long Island Rail Road (LIRR)
Nassau County Office of Emergency Mgmt.
Nassau County Dept. of Public Works
New York City Dept. of Transportation
New York City Engineering & Design
New York State Dept. of Transportation
NYS Office of General Services
NYS Department of Sanitation
NYS Parks Department
New York Power Authority (NYPA)
Port Authority of NY & NJ
Suffolk County Dept. of Public Works
U.S. Army Corps of Engineers

Haugland Group's affiliates and subsidiaries have worked with an extensive list of clients in both public and private sectors.



ALTERNATE CARE FACILITY (ACF) COVID-19 CONVERSION

Westchester County Center

Owner: U.S. Army Corps of Engineers

Immediately upon executing a contract with the U.S. Army Corps of Engineers, Haugland Group mobilized began design and construction on a temporary alternate care facility (ACF) to provide COVID-19 treatment in Westchester, NY. Haugland Group assembled a team of industry experts, including designers, engineers, suppliers and technical project managers to assist on the great effort. The team made quick work to perform preliminary assessments, contact subcontractors and obtain the materials needed. The facility received substantial completion in three weeks, and adds 110 ICU-spec'd beds to one of the hardest hit COVID-19 regions in the country.

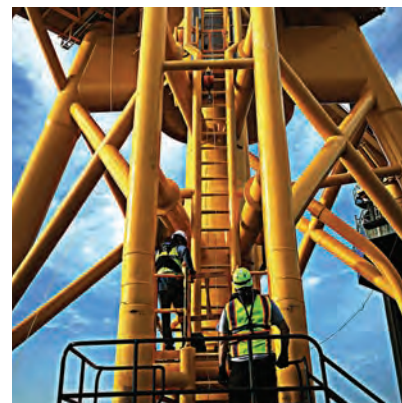
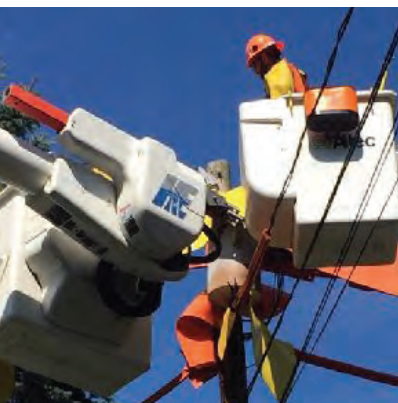
Teamwork, patriotism and humanity were among the most powerful tools used by the crews to substantially complete the design-build project in the allotted 21 days. Haugland Energy, Grace Industries and Midnight Trucking all contributed to the expeditious execution of the project.

Haugland Group is thankful to the USACE, New York State and local governments, the NYSDOT, our subcontractors, vendors and team members that banded together, working tirelessly for the good of our community. We are proud to be a part of the global fight against the COVID-19 pandemic, providing essential services to support our critical infrastructures.





Haugland Energy Group LLC is an affiliate company of Haugland Group, providing turnkey construction, electrical power generation, transmission, distribution, and substations. We employ a team of industry leading executives, project managers, estimators, welders, splicers, and linemen with decades of energy infrastructure experience.



TRANSMISSION & DISTRIBUTION

STORM RESTORATION SERVICES

Structure replacement, including wood, steel, hybrid, and laminate poles
Lattice tower demolition and reconstruction
Conductor replacement – experience with all operating voltages
Optical Ground Wire & All-Dielectric Self-Supporting cable installation
ROW clearing
Tree trimming
Access road construction
Matting services
Environmental protection services
Railroad ROW and Hi-Rail capability

SUBSTATIONS

New substation construction
Substation expansion and upgrades
Overhead and underground exit feeder construction
Foundation work
Control wiring and system automation
Trenching and duct bank construction
Control house Installation
Power plant maintenance and construction
Transmission and distribution cable pulling, jointing/splicing, terminating & testing
Testing, commissioning, inspection and repair
Civil work: trenching, directional boring, duct bank & manhole installation

ADDITIONAL SERVICES

Telecommunication ODAS, DAS CW testing
Horizontal directional drilling (HDD)
Fiber optic cable installation
Renewable energy: solar, wind, biomass & fuel cell
Battery storage facility installation
Gas utilities

MAJOR PROJECTS

NEXTERA ENERGY – Turnkey installation of battery storage facility
RES AMERICA CONSTRUCTION – Construction, testing, commissioning, and start-up of an 8 MW/12 MWh Energy Storage Facility
GENERAL ELECTRIC – Turnkey construction: addition of synchronous condenser for the utility connection to Eversource at the Stony Hill 48C Substation
USVI WAPA – Hurricane restoration and mitigation
PSEG-LI – FEMA 406 mitigation storm hardening program
PSEG-LI – Far Rockaway substation hurricane restoration
CENTRAL MAINE POWER – 34.5 kV overhead transmission circuits rebuild
PSEG-NJ – Pipe type underground transmission cable installation
EVERSOURCE – Cape Cod – directional drilling & CIC replacement (5 miles)
NORWOOD – 115kV transmission line replacement (6.5 miles)
CALPINE – JFK Airport full substation outage testing and maintenance

HAUGLAND ENERGY MEMBERS OF NATIONAL ELECTRICAL CONTRACTORS ASSOCIATION (NECA)

Board of Directors

William Haugland
Jim Kennedy
Richard Weyer
Tom Halpin

Trust Funds Appointees

Joseph Haugland
Tom Halpin

Apprenticeship Subcommittees Appointees

Bill Leonard

UNDERGROUND TRANSMISSION

Maintenance and emergency services
High Pressure Fluid Filled (HPFF) pipe type cable
Solid dielectric cable installation
Self-contained cable systems (low & medium pressure)
Submarine systems
Cryogenic cable systems
Cable pulling capacity up to 80,000 pounds
Cable system design including analysis & calculations for cable support
Distribution cable supply, pulling, jointing/ splicing, terminating and testing
Testing: dielectric fluid testing and processing, witness factory testing, commissioning
Civil work: trenching, directional boring, duct bank & manhole installation
Support equipment

TESTING & MAINTENANCE

Acceptance testing	Circuit breaker testing
Functional trip testing	Retrofitting
Battery testing	Commissioning
Infra-red scanning	Scada
Cable fault locating	Electrical testing
Insulating fluid sampling and analysis	SF 6 services
Cable splicing	Emergency service contracts
Metering	Transformer maintenance
Circuit breaker maintenance	Transformer testing
Power quality testing and monitoring	Troubleshooting





EASTERN LONG ISLAND, NY BATTERY STORAGE FACILITY

Renewable Energy | Battery Storage

Owner: Confidential

Haugland Energy Group LLC provided turnkey installation of a confidential battery storage facility, along with site work to include foundations, building and commissioning support. Construction began in January 2018; despite a winter rife with extreme cold temperatures, snow and freezing rain, the facility was energized on August 1, 2018, marking a successful completion of the demanding project.

The facility has a 5-megawatt capacity, with the ability to power approximately 5,000 homes, providing as much as eight hours of critical back-up power to the electrical grid. The facility draws power from the grid during off-peak hours, and stores it in the lithium-ion battery racks to later be released during high-demand hours, which is especially critical in the summer months. Storage facilities like this are becoming critical to Long Island as the region takes steps to reshape its energy infrastructure to increase energy storage, renewable energy resources, and energy efficiency.





ROUTE 347 DESIGN BUILD-NYSOT
Drainage installation
Deep foundation installation
Noise wall construction

ROCKAWAY BOARDWALK DEMOLITION & CONSTRUCTION – SKANSKA AND NYCEDC
Steel pipe & H-pile installation
Extensive pre-cast substructure components
Sand cut-off wall installation

ROUTE 110 AND NORTHERN STATE PARKWAY INTERCHANGE – NYSDOT
Complete bridge removal and replacement
Significant earthwork: GRES wall construction
Extensive utility relocation

HURRICANE SANDY RECOVERY – VARIOUS AGENCIES

PANYNJ JFK AIRPORT- REHABILITATION OF RUNWAY 13L-13R & ASSOCIATED TAXIWAYS
Aggressive schedule
Milling, paving, drainage improvements, pavement markings, lighting upgrades

NYSDOT ROUTE 231 SAFETY IMPROVEMENTS
Complete reconstruction of existing interchange. Earthwork, concrete curbs and pavement, traffic signals, lighting, landscaping.

GRACE INDUSTRIES LLC is an affiliate of Haugland Group, providing heavy highway, bridge, boardwalk, airport and utility construction. We specialize in complex construction, earthwork, drainage, gas main & service installations, design/build and other civil services. Our expert field, estimating and office personnel have the knowledge and expertise to execute a broad scale of projects on time and on budget, while maintaining an impressive safety rating.

Grace Industries Has Extensive Experience In:

Airport taxiways and runway realignment/reconstruction
Kerfing for new lighting
Removal & installation of new guidance systems
Milling & paving
Complete bridge reconstruction
Highway interchange reconstruction
Design-Build
Geotechnical construction
Utility relocation and duct bank installation
Complex drainage system installation
Highway/roadway resurfacing
Extensive pre-cast/cast-in-place concrete construction
Emergency response/storm restoration

MEMBERSHIPS

Associated General Contractors (AGC)
General Contractors Association (GCA)
Long Island Contractors Association (LICA)

MAJOR PROJECTS

PANYNJ JFK AIRPORT – TAXIWAY CONSTRUCTION
Time sensitive paving coordination
Complete taxiway lighting system and guidance sign removal and replacement

LAGUARDIA AIRPORT VARIOUS TAXIWAY RECONSTRUCTION PROJECTS (PANYNJ)
Time sensitive paving coordination
Extensive full depth pavement reconstruction
Complete taxiway lighting system and guidance sign removal and replacement

BAY PARK SEWAGE TREATMENT PLANT PERIMETER BERM AND FLOOD WALL-NASSAU COUNTY
Auger cast pile installation
Cast in place concrete retaining walls
Extensive utility relocation

NYCDPR SUNSET COVE PARK
Construction and restoration of saltwater marsh and coastal upland. Excavation and removal of soils, clear and grub five acres or land, rtree removal; import fill, clean sand, and top soil; various plantings; removal and replacement of existing fence.

Grace Industries is a Pre-Qualified Approved Airside Paving Contractor for the PANYNJ





MIDNIGHT TRUCKING ENTERPRISES LLC SPECIALIZES IN TRANSPORTATION LOGISTIC SERVICES

Midnight Trucking offers total transportation and logistics solutions. Our specialized fleet allows for us to customize every move as needed. We pride ourselves in our modern fleet and ability to deliver on time and safely.

OUR FLEET CONSISTS OF ALL CURRENT EMISSION VEHICLES, INCLUDING:

- Tri-Axle Dump Trucks
- Flow Boy Trailers
- Dump Trailers
- Low Bed Trailers (for equipment relocation within an airport)
- Slinger Trucks
- Roll Off Trucks

Midnight Trucking can reduce the overall trucking cost per ton to move material through its relationship with affiliate company Inwood Material Terminal





Inwood Material Terminal (IMT) is a full service marine terminal that services the greater New York construction industry with the recycling of debris, distribution of several types of aggregate, and stevedoring of specialty cargo through its marine terminals in Inwood and Glen Cove, New York. IMT owns more than 20 barges, each with a capacity ranging from 1,600-4,000 tons, and has a yearly output of over one million tons of material.



INWOOD MATERIAL TERMINAL CAPACITIES

Aggregate distribution of concrete and drainage stone through three DEC registered dumping and crushing recycling facilities

Stevedoring Services

- Barging Services
- Trucking Services
- Marine Terminal Services

INBOUND

- Mix Debris
- Asphalt Millings
- Concrete
- Contaminated Soil

OUTBOUND

- Virgin and Recycled materials
- DGABC
- Screened Millings
- Clean Recycled
- Oversize Clean Recycled
- All Sizes of Quarry Friction Stone/ Dolomite Stone

LOCATIONS

- 1 Sheridan Boulevard | Inwood, NY
- 47 Herb Hill Road | Glen Cove, NY



eastern

UTILITIES SERVICES LLC

KEY EQUIPMENT

HORIZONTAL DIRECTIONAL DRILLS

- 2020 Vermeer 100 x 140
- 2018 Universal 45/65
- 2014 Ditch Witch JT 30
- 2019 Ditch Witch JT 20
- 2019 McLaughlin MCL Pit Launch
- 2010 Ditch Witch 12/20

RECLAIMER

- Vermeer R250C
- Vermeer Thunderstorm II

VACUUM EXCAVATORS

- 2009 Freightliner 2100 Gallon (Vac Truck)
- 2016 Ditch Witch FX 80 1200 gallon
- 2016 Ditch Witch FX 80 800 gallon
- 2020 Guzzler 3000 Gallon (Vac Truck)

SPECIALTY TRUCKS

- Four 18-Wheeler Tanker Trailers
- Boom Truck
- Freightliner 3000 Gallon Mix Truck
- Sterling 3200 Gallon Mix Truck

Eastern Utilities Services (EUS) specializes in directional drilling and trenchless technology. Utilizing production style directional drilling, EUS safely installs the maximum amount of footage possible by using an advanced mud management system and specialized equipment, including a state-of-the-art reclaiming system, yielding boring rates of up to three times greater than its competitors.

COMPANY INFORMATION

Year Established: 2001
Bondable
Union Affiliated
LEED Experience
BIM Experience

SERVICES PROVIDED

Directional Drilling
Auger Boring
Utility Construction
Trenching

SERVICE AREAS

Long Island, New York
New York City
Upstate New York
Connecticut
New Jersey

PROJECT HIGHLIGHTS

RONKONKOMA SUBSTATION (PSEG)

Directional Drill - Electrical Conduits
Distance: 22,000 LF
Depth: 12 ft
Bore Size: 20-inch
Product: (2) and (3) 6-inch HDPE Conduits
Ground Conditions: Sand
Performed With: Vermeer 100 x 140

JFK164.020 (PANYNJ) – RECONSTRUCTION OF RUNWAY 13L-31R

Directional Drill - Electrical Conduits
Distance: 1800 LF (6 Bores of 300 LF each)
Depth: 25 ft
Bore Size: 28-inch
Product: (1) 20-inch HDPE
Ground Conditions: Mud, Bog, Clay, Ground Water
Performed With: 2010 Ditch Witch JT 8020

BERRY STREET EXIT FEEDERS (PSEG)

Directional Drill - Electric Conduit
Distance: 1500 LF
Depth: 10 ft
Bore Size: 18-inch
Product: (3) 6-inch PVC Conduits
Ground Conditions: Loam, Sand, Gravel
Performed With: 2016 Ditch Witch JT 25

FAIRFIELD AT HOLBROOK CONDOMINIUMS

Directional Drill – Forced Sewer Main
Distance: 3,270 LF
Depth: 12 ft
Bore Size: 7-inch
Product: 4-inch HDPE Conduit
Ground Conditions: Sand, Gravel
Performed With: 2018 Universal 45/65

GREENPORT POWER PLANT (PSEG)

Directional Drill - Electrical Conduits
Distance: 1500 LF
Depth: 10 ft
Bore Size: 18-inch
Product: (3) 6-inch PVC Conduits
Ground Conditions: Sand, Gravel, Large Cobble
Performed With: 2016 Ditch Witch JT 25



D263860 (NYSDOT) – WALT WHITMAN RD BRIDGE REPLACEMENT

Directional Drill - Water Main
Distance: 350 LF
Depth: 25 ft
Bore Size: 28-inch
Product: (1) 24-inch HDPE
Ground Conditions: Sand, Gravel
Performed With: 2010 Ditch Witch JT 8020

NEW ROCHELLE - NYS THRUWAY

Directional Drill - Electric Conduits
Distance: 250 LF
Depth: 20 ft
Bore Size: 12-inch
Product: (2) 4-inch PVC Conduits
Ground Conditions: Bed Rock, Boulder
Performed With: 2016 Ditch Witch JT 25

BELMONT SUBSTATION 69KV TRANSMISSION (PSEG)

Directional Drill – Electrical Conduit
Distance: (2) Bores @ 860 LF each
Depth: 10 FT / 20 FT
Bore Size: 24-inch
Product: (3) 6-inch HDPE (2) 4-inch HDPE
Ground Conditions: Mud, Bog, Clay, Ground Water
Performed With: 2010 JT8020 / 2018 Universal 45/65



Hawkeye Energy Greenport, owned and operated by Haugland Group, is a 54-Megawatt Pratt & Whitney FT8 SWIFTPAC gas turbine generating facility located in Greenport, NY, under contract with the Long Island Power Authority (LIPA).

Construction began in February 2003 in extreme winter cold. The project was designated by LIPA to be a “fast-track” unit, requiring that the facility be operational by the summer peak period of that year. Although the Pratt & Whitney SWIFTPAC units were factory-assembled and modularized to reduce field assembly and simplify mechanical work, there was still a substantial amount of civil construction to be completed at the site, including foundations and embedded conduit. Weather complications challenged the accelerated pace throughout the project, with the extreme cold temperatures followed by torrential spring rains. Despite significant ongoing weather-related hindrances, the construction schedule remained on time.

The testing phase concluded with commercial operations being achieved on July 3, 2003. The entire project – from land clearing to the first fire and commercial operation – was completed in 93 days; a notable timeline for a project of this size and scope.

HAWKEYE ENERGY GREENPORT



USVI OPERATIONS



Following the devastation of hurricanes Irma and Maria, Haugland Energy Group performed emergency restoration work on the transmission and distribution systems of the U.S. Virgin Islands. After the immediate repair work was complete, Haugland expanded its operations in the region, establishing Haugland Virgin Islands (HVI), with a permanent office in St. Thomas.

HVI currently performs work on the USVI Water and Power Authority's (WAPA) transmission, distribution and substation systems; including a project to install new composite poles on the transmission lines of the WAPA system replacing the existing wooden poles. The new fiberglass and resin high performance poles are stronger, more resilient to severe weather and offer a substantially longer lifetime.



HAUGLAND GROUP REMAINS COMMITTED TO REBUILDING AND STRENGTHENING THE USVI

In 2019, Haugland erected an asphalt plant on St. Thomas and founded East End Asphalt. The plant was built on a fast-track schedule utilizing local USVI labor, a manufacturer's representative and Haugland project management personnel to complete the project. The plant produces and supplies asphalt to local contractors for various projects across St. Thomas and St. John.

Grace Civil, LLC, also established in 2019, further expands Haugland's breadth of infrastructure services in the USVI, and provides roadway, bridge and airport construction services.

Haugland Group remains committed to rebuilding and strengthening the USVI.



COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Grace Industries LLCAddress: [REDACTED]City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]Country: US2. Entity's Vendor Identification Number: [REDACTED]3. Type of Business: Ltd. Liability Co (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

1 File(s) uploaded Disclosure Form- Question 4.pdf

First Name Richard
Last Name Weyer
MI C Suffix
Address [REDACTED]
City [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country US
Position Vice President

First Name William
Last Name Haugland
MI J Suffix
Address [REDACTED]
City [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country US
Position Chief Executive Officer

First Name Ed
Last Name Tackenberg
MI Suffix
Address [REDACTED]
City [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country US
Position Vice President

First Name Michael
Last Name Murillo
MI Suffix
Address [REDACTED]
City [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]

Country US
Position Vice President

First Name John
Last Name Reynolds
MI W Suffix
Address
City State/Province/Territory: Zip/Postal Code:
Country US
Position Chief Commercial Officer

First Name Matthew
Last Name Pirozzi
MI Suffix
Address
City State/Province/Territory: Zip/Postal Code:
Country US
Position Chief Financial Officer

First Name William
Last Name Haugland
MI D Suffix
Address
City State/Province/Territory: Zip/Postal Code:
Country US
Position Co-President

First Name Joseph
Last Name Haugland
MI Suffix
Address
City State/Province/Territory: Zip/Postal Code:
Country US
Position Co-President

First Name Michael
Last Name Pino
MI Suffix
Address
City State/Province/Territory: Zip/Postal Code:
Country US
Position Vice President

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.
If none, explain.

William J. Haugland-
William J. Haugland 1999 Multigenerational Trust-
William D. Haugland-
Joseph Haugland-

No shareholders, members, or partners have been attached to this form.

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

No affiliates will be participating in the contract.

1 File(s) uploaded Disclosure Form- Question 6.pdf

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES ☐ NO ☒

(a) Name, title, business address and telephone number of lobbyist(s):

None

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

None

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

Matthew Pirozzi [MPIROZZI@HAUGLANDLLC.COM]

Dated: 10/14/2021 11:23:58 AM

Title: Chief Financial Officer

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

Question 4.

List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Officers:

William J. Haugland, Chief Executive Officer

[REDACTED]

William D. Haugland, Co-President

[REDACTED]

Joseph Haugland, Co-President-

[REDACTED]

Richard C. Weyer, Executive Vice President

[REDACTED]

John W. Reynolds, III, Chief Commercial Officer

[REDACTED]

Matthew J. Pirozzi, Chief Financial Officer

[REDACTED]

Edward Tackenberg, Senior Vice President, Accounting and Finance

[REDACTED]

Michael Pino, Vice President of Field Operations

[REDACTED]

Michael Murillo, Vice President

[REDACTED]

William J. Haugland 1999 Multigenerational Trust

[REDACTED]

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter 'None'). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

Affiliated Entities (Common Ownership):

Haugland Energy Group LLC

Midnight Trucking Enterprises LLC

Haugland Group LLC

Eastern Utilities Services LLC

Inwood Material Terminal LLC

336 South Service LLC

NYS Bulk LLC

Stamford Power Ventures LLC

North Berwick Road LLC

88 Tyler LLC

Haugland Infrastructure Group LLC

Midnight Trucking LLC

100 Marcus LLC

Certified Concrete LLC

Infrastructure Material Management LLC

HS Ventures LLC

Regis Holdings LLC

Grace Asphalt LLC

12 Winterbrook Road LLC

1180 Veterans LLC

2 Rason Road LLC

11 Commercial LLC

47 Herb Hill LLC

1 Sheridan LLC

Access Road Properties LLC

Grace Civil LLC

East End Asphalt LLC

Haugland Virgin Islands, Inc

Hawkeye Energy Greenport LLC

Horseblock Properties LLC

Tompkins CAMF LLC

Tompkins Cove Holdings LLC

Tompkins Dock LLC

Tompkins Distribution LLC

Haugland Development Company LLC

No affiliates will be participating in the contract.

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Office of the County Executive
Att: Brian J. Schneider, Deputy County Executive

FROM: Department of Public Works

DATE: April 29, 2021

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: S35123-L338H
Title: Bay Park STP Contaminated Soil Hauling and Disposal
Engineer's Estimate: \$2,693,996.48
Bids Received On: February 16, 2021

The bids received for the above referenced contract have been examined and Grace Industries, LLC is the lowest responsible bidder in the amount as follows:

General Construction (Lump Sum Base Bid)	\$ 37,000.00
Hauling & disposal of RCRA Hazardous NON TSCA PCB Containing Soils (Unit Price Bid Item)	\$ 171,120.00
Hauling & disposal of NON RCRA Hazardous, TSCA PCB Containing Soils (Unit Price Bid Item)	\$ 342,700.00
Hauling & disposal of NON RCRA Hazardous NON TSCA PCB Containing Soils (Unit Price Bid Item)	\$ 633,300.00
Hauling & disposal of RCRA Hazardous TSCA PCB Contaminated Soils (Allowance)	\$ 200,000.00
Additional asphalt and/or curb repair or replacement by the County (Allowance)	<u>\$ 100,000.00</u>
Bid Total:	\$1,484,120.00

The lowest responsible bid is 44.9% below the Engineer's Estimate and 14.9% below the average bid of \$1,743,186.33. The Engineer's Estimate Bid Item No. 1 at \$386,807.48 was 90% higher than the low bidder. The EE overestimated the sweeping of the lot and added costs for removal and restoration of pavement and concrete curb that were already part of the Bid Item 7.

Six (6) bids were received indicating a competitive bidding environment.

Funding for the construction services is available under capital project 35123.



Office of the County Executive

Att: Brian J. Schneider, Deputy County Executive

April 29, 2021

Page 2

Subject: Recommendation of Award
Bay Park STP
Contaminated Soil Hauling and Disposal
Contract No. S35123-L38H

If you approve or disapprove of the above request, please signify below and return the memo to this office for appropriate action.



Kenneth G. Arnold
Commissioner


KGA:VF:rp

Attachment

c: Christopher Nolan, Deputy Budget Director
Vincent Falkowski, Deputy Commissioner
Roseann D'Alleva, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Edward Visone, Assistant Superintendent Sanitary Construction
Karen Fay, Sanitary Engineer III
Eric Mills, Anna Walsh, Hazen and Sawyer
Maryanne Dioquino, Arcadis

APPROVED:

DISAPPROVED:

 04/29/2021

Brian J. Schneider Date
Deputy County Executive

Brian J. Schneider Date
Deputy County Executive

Bid Opening: 2/16/2021**Engineer:** Karen A. Fay, P.E. **Phone:** (516) 660-2533

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
Grace Industries LLC	336 South Service Road Melville,, NY 11747	Travelers Casualty and Surety C	10% of Bid Amount	\$1,484,120.00	\$0.00
Tully Environmental, Inc. dba Evergreen	127-50 Northern Blvd. Flushing, NY 11368	Federal Insurance Company	10% of Bid Amount	\$1,533,714.00	\$0.00
Bove Industries, Inc.	16 Hulse Road East Setauket, NY 11733	Western Surety Company	10% of Bid Amount	\$1,765,756.00	\$0.00
Posillico Environmental, Inc.	1750 New Highway Farmingdale, NY 11735	Liberty Mutual Insurance Comp	10% of Bid Amount	\$1,871,462.00	\$0.00
Innovative Recycling Technologies, Inc.	690 No. Queens Avenue Lindenhurst, NY 11757	U. S. Specialty Insurance Comp	10% of Bid amount	\$1,882,004.00	\$0.00
Gemstar Construction Corp.	83 Jewett Avenue Staten Island, NY 10302	Fidelity & Deposit Company of	10% of Bid Amount	\$1,922,062.00	\$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

REQUEST TO INITIATE

RTI Number 20-0139

REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work OrderProject Title: S35123-L38H Contaminated Soil Hauling & DisposalDepartment: Public WorksProject Manager: Karen FayDate: 6/30/20Service Requested: Hauling & Disposal of Contaminated Soil

Justification: During construction of the Bay Park Sewage Treatment Plant Sidestream Centrate Treatment Facility foundation, excavated soils in the area of the proposed Deammonification and Equalization tanks were determined to be Type-2 soil contaminated with non-hazardous levels of PCBs. The soil is currently stockpiled in the south-west corner of the facility. The soil must be removed from site to allow for siting of future regulatory driven facility construction and associated contractor staging, including but not limited to the future Aeration Blower Facility. The services of a contractor to haul and dispose of the contaminated soil in accordance with all regulatory tracking and manifest requirements is required.

Requested by: Public Works - Water/Wastewater Engineering UnitProject Cost for this Phase/Contract: (Plan/Design/~~Construction~~/CM/Equipment): \$3,000,000

Circle appropriate phase

Total Project Cost: \$3,000,000

Includes, design, construction and CM

Date Start Work: Feb 2021

Phase being requested

Duration: 1 Month

Phase being requested

Capital Funding Approval: YES ☒ NO ☐

SIGNATURE

06/30/20

DATE

Funding Allocation (Capital Project):

35123See Attached Sheet if multiyear ☐

NIFS Entered :

SIGNATURE

DATE

AIM Entered:

SIGNATURE

DATE

Funding Code: 35123-L38

use this on all encumbrances

Timesheet Code: 20-0139

use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐

Supplemental Environmental Documentation

Department Head Approval:

YES ☒ NO ☐

SIGNATURE

DCE/Ops Approval:

YES ☐ NO ☐

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval:

YES

NO

Signature _____

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Karen Fay, Sanitary Engineer III


FROM: Office of the Commissioner

DATE: October 14, 2020

SUBJECT: CSEA Sub-Contracting Approval
C20-084 – Proposed Contract Number: S35123-L38H
Construction Services for Handling & Disposal of Contaminated Soil

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. The Department has determined that it will proceed with the above-referenced contract known as **C20-084**.

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.



Roseann D'Alleva
Deputy Commissioner

RD:jd

c: Kenneth G. Arnold, P.E., Commissioner of Public Works
Loretta Dionisio, Assistant to Deputy Commissioner
Vincent Falkowski, Deputy Commissioner



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

C20-084

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, Executive Vice President

FROM: Department of Public Works

DATE: September 8, 2020

SUBJECT: CSEA Notification of a Proposed DPW Contract
Requirements Contract
Proposed Contract No: S35123-L38H

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a contract/agreement for the following services:
construction services for the hauling and disposal of contaminated soil.
2. The work involves the following: hauling and disposal of excavated soils in the area of the proposed Deammonification and Equalization tanks that were determined to be Type-2 soil contaminated with non-hazardous levels of PCBs.
3. An estimate of the cost is: \$3,000,000.00
4. An estimate of the duration is: One (1) month

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Roseann D'Alleva, Deputy Commissioner, telephone 1-0525, fax 1-9657.

Roseann D'Alleva

Roseann D'Alleva
Deputy Commissioner

RD:VF:rp

c: Christopher Nicolino, Director, Office of Labor Relations
Vincent Falkowski, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Christopher Yansick, Unit Head, Financial Management Unit
Diane Pyne, Unit Head, Human Resources Unit
Karen Fay, Karen Fay, Sanitary Engineer III
Jonathan Lesman, Management Analyst II
Eric Mills, Program Controls Manager, PMJV
Maryanne Dioquino, PMJV



PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING

A BID ON

CONTRACT NO. S35123-L38H

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1	Lump Sum	Base Bid for furnishing all Labor, Materials and Equipment required for all work at Bay Park Sewage Treatment Plant CONTAMINATED SOIL HAULING AND DISPOSAL as specified. <u>THIRTY SEVEN THOUSAND</u> DOLLARS CENTS	N/A	N/A	37,000	⁰⁰ / ₁₀₀
2	372 Cubic Yards	For all work associated with hauling and disposal of RCRA Hazardous, NON TSCA PCB Containing Soils. <u>FOUR HUNDRED SIXTY</u> DOLLARS CENTS	460	⁰⁰ / ₁₀₀	171,120	⁰⁰ / ₁₀₀
3	745 Cubic Yards	For all work associated with hauling and disposal of NON RCRA Hazardous, TSCA PCB Containing Soils. <u>FOUR HUNDRED SIXTY</u> DOLLARS CENTS	460	⁰⁰ / ₁₀₀	342,700	⁰⁰ / ₁₀₀
4	6333 Cubic Yards	For all work associated with hauling and disposal of NON RCRA Hazardous, NON TSCA PCB Containing Soils. <u>NINE HUNDRED</u> DOLLARS CENTS	100	⁰⁰ / ₁₀₀	633,300	⁰⁰ / ₁₀₀

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

5	Allowance	For all work associated with hauling and disposal of RCRA Hazardous, TSCA PCB Contaminated Soil.	N/A	N/A	\$200,000	00
		Two Hundred Thousand No DOLLARS CENTS				
6	Allowance	For all work associated with additional asphalt and/ or curb repair or replacement as directed by the County.	N/A	N/A	\$100,000	00
		One Hundred Thousand No DOLLARS CENTS				

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON

CONTRACT NO. S35123-L38H

TOTAL BASE BID (Bid Item Nos. 1 thru 6): MUST BE WRITTEN IN FIGURES:

\$ 1,434,120⁰⁰

TOTAL BASE BID (Bid Item Nos. 1 thru 6): MUST BE WRITTEN IN WORDS:

ONE MILLION FOUR HUNDRED EIGHTY FOUR THOUSAND

DOLLARS

ONE HUNDRED TWENTY, ^{CENTS} DOLLARS EVEN

PROPOSAL

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?

14 Years _____ Months

2. How many years experience in the construction work of asimilar type as this contract has your firm had;

as a Prime Contractor 13

as a Subcontractor 12

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please See Attached

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please See Attached.

(use additional blank sheets if additional space is necessary)

PROPOSAL

NO TEXT ON THIS PAGE

Grace Industries

Work on Hand

Project Name / Location / Description	Owner	Design Engineer	Contract Price	Scheduled Completion Date / % Complete
JFK 164.020 Reconstruction of Runway 13L-31R & Associated Taxiways	The Port Authority of NY/NJ	The Port Authority of NY/NJ	\$152,000,000 Joint Venture	12/1/2020 99%
LGA 124.236 & 236M – Landscape & Maintenance	The Port Authority of NY/NJ John Aribitto (718) 244-3708	The Port Authority of NY/NJ	\$2,067,070 Prime	04/2022 85%
D263477- NYS Route 231 Safety Improvements at Northern State Parkway Interchange	NYSDOT	NYSDOT	\$15,743,703 Prime	10/1/2020 99%
D264023- Resurfacing of 1.5 Miles on Route 59 (Rockland County)	NYSDOT Jim Olson	NYSDOT	\$2,754,266	9/1/2020 99%
D263959- Asphalt Concrete Milling and Resurfacing on Rt. 9A (Westchester County)	NYSDOT Dina Colavito	NYSDOT	\$2,656,935	9/1/2020 30%
LaGuardia Perm. Landside Paving, Flushing NY	PANY/NJ	Skanska-Walsh Design Build	\$9,188,348 Subcontractor	11/2020 75%
Long Island - Mains & Services	National Grid – Gas	National Grid – Gas	\$25,000,000 *annually	03/2021 Unit Price
X092-508M- Construction of Putnam Greenway	NYC Department of Parks & Recreation	NYCDPR	\$2,757,830 Prime	10/2020 75%
X092-217M - Van Cortlandt Park	NYC Department of Parks & Recreation	NYCDPR	\$4,954,952 Prime	9/1/2020 99%
D214692 - All Electronic Tolling Collection (AETC) Conversion of Yonkers Toll Plaza	NYS Thruway Authority	McLaren Shumaker Wayne Allen	\$15,302,528 Prime	9/1/2020 99%



Grace Industries

Work on Hand

Project Name/ Location / Description	Owner	Design Engineer	Contract Price	Scheduled Completion Date / % Complete
D214698 – All Electronic Tolling Collection (AETC) Conversion of New Rochelle Toll Plaza	NYS Thruway Authority	GPI	\$12,819,320 Prime	9/1/2020 99%
Beaver Concrete – Various Paving Jobs	Beaver Concrete	NYCDOT	\$1,381,670 Subcontractor	10/2020 85%
M037-318M- Highbridge Park	NYC Department of Parks & Recreation	NYCDPR	\$8,877,849 Prime	10/2020 75%
LGA124.248 Deck Extension Wetland Mitigation Pugsley Creek	The Port Authority of NY/NJ Trevor Santos 718-533-3477	The Port Authority of NY/NJ	\$7,407,376	11/2020 99%
LGA 124.266 Rehabilitation of Taxiway A from Taxiway K to Taxiway E	The Port Authority of NY/NJ Trevor Santos 718-533-3477	The Port Authority of NY/NJ	\$8,947,585	11/2021 15%
D263860- Walt Whitman Road over I- 495 Bridge Replacement and Widening	NYSDOT	NYSDOT	\$28,171,161 Prime	12/1/2020 65%
Office of General Services EE240C Repair Building from Vehicle Collision	OGS	OGS	\$200,000 Prime	12/1/2020 20%
NYSDOT- D264106 Cross Bronx Expressway	NYSDOT	NYSDOT	\$15,545,605 Prime	12/1/2020 15%
NYSTA- TANE 19-40/D214758 Pavement Repairs at Various Locations on the New England Thruway	NYSTA	NYSTA	\$11,398,985 Prime	11/2021 20%



Grace Industries

Work on Hand

Project Name/ Location / Description	Owner	Design Engineer	Contract Price	Scheduled Completion Date / % Complete
Verrazano Bridge Anchorage Rehabilitation EE Cruz	TBTA	TBTA	\$722,820 Subcontractor	12/2020 0%
TN-53 Throgs Neck Bridge Paving Judlau Contracting	TBTA	TBTA	\$1,129,240 Subcontractor	10/2023 0%
TN-49 Throgs Neck Bridge Paving Judlau Contracting	TBTA	TBTA	\$2,003,260 Subcontractor	10/2023 0%
Patchogue River Watershed Project	Suffolk County Department of Public Works	CH2MHILL	\$44,126,120 Prime	0%
Worth Construction Airport Building – Dutchess Community College – Furnish and Install Asphalt Concrete Pavement	Dutchess Community College	C&S Engineers	\$263,000	0%



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
Westchester Community Center ACF Conversion	US Army Corps of Engineers	LiRo	04/2020	\$2,991,570
DeMatteis Construction	Leon D. DeMatteis Construction Corp.	Various	10/2019	\$1,301,750 Subcontractor
Contract D263626 Operational Improvements on Southbound West Shore Expressway	NYSDOT Sorin Nour (718) 317-7061	NYSDOT	10/2019	\$7,074,832 Prime
D263361 – Pavement Preservation 7 Locations	NYSDOT Nick Parisi 718-273-2904	NYSDOT	08/2019	\$11,586,206 Prime
Q498-115M, Construction of Saltwater Marsh and Coastal Upland Area In Sunset Cove Park	NYCDPR Mohamed Ayoub (718) 760-6721	NYCDPR & HDR Engineering	08/2019	\$12,743,580 Prime
MFA-100.512 WO#14 – JFK Airport Perimeter Systems Infrastructure	URS (AECOM)	The Port Authority of NY/NJ	06/2019	\$525,444 Subcontractor
Local Law 30 - Cut In Curb Valves	National Grid – Gas	National Grid – Gas	05/2019	\$8,200,000
EE Cruz- Various Paving Locations	EE Cruz	NYSDOT	05/2019	\$1,325,569
6%19165 – NYCT Water Mitigation Drain Cleaning	NYCT Richard Battista 646-252-6240	NYCT	04/2019	\$23,662,000



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
4400007375 – Greenpoint LNG Facility Geoweb Installation	National Grid – Electrical	National Grid – Electrical	03/2019	\$2,999,960
6967 – High Point Vent & Drain Pit Replacement JFK	Meccon Industries Inc.	The Port Authority of NY/NJ	03/2019	\$340,000
LK Comstock – Site Work at LIRR Wyandanch Station	LK Comstock	LIRR	02/2019	\$18,771,374
Montauk Energy Storage Center Battery Energy Storage System (BESS)	Nextera Energy	Nextera Energy	02/2019	\$6,819,632
MA00005871 – Barret Substation Foundation Install	PSEG-LI	PSEG-LI	02/2019	\$500,000
ConEd Battery Energy Storage System (BESS)	RES	Con Ed	01/2019	\$3,594,580
D214594- Pavement Repairs at Various Locations on the New England Thruway (I-95)	NYS Thruway Authority	NYS Thruway Authority	12/2018	\$9,844,012
JFK 124.016 – Rehab. Taxiway Q, QG and Restricted Vehicle Service Road	The Port Authority of NY/NJ James Brogan (718) 533-3538	PANY/NJ	11/2018	\$29,250,000
D263398 – Safety Improvements on the Harlem River Drive	NYSDOT Arlene Mungo 917-676-6751	NYSDOT	08/2018	\$7,455,164
H63029-05G Barnum Island Bridge Improvements	NCDPW Rich Kramer 516-571-1950	Greenman-Pedersen	07/2018	\$8,326,190



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
1400-FLP- FEMA Reconstruction at Pennsylvania/ Fountain Ave.	NYCDEP Walter Goyzueta (718) 595-7000	Lockwood, Kessler & Bartlett, Inc.	03/2018	\$1,151,318
Gas Pipe Installation East Hampton & Montauk	Aggreko	N/A	03/2018	\$600,000
Mark Paving - Jet Blue Taxi Loading Area	Jet Blue	PANY/NJ	12/2017	\$374,805
Mark Paving - Jet Blue British Airways, JFK Airport Jamaica, NY	Jet Blue/ British Airways	PANY/NJ	12/2017	\$1,548,259
S35121-17G – Bay Park Sewage Treatment Plant – Perimeter Flood Protection, Bay Park, NY	NC DPW Maryanne Dioquino 917-952-2724	Arcadis	12/2017	\$63,000,000
Clove Lake - Install Pig Launcher Foundation	National Grid		08/2017	\$153,000
D900014 – NY Route 347 (DB Phase 2) – Mount Pleasant Road to Terry Road, Smithtown, NY	NYSDOT John McGullam (631)-560-9975	LiRo Engineers	05/2017	\$39,427,800
1213117-049 Rockaway Boardwalk Reconstruction Site RPL Decking Phases 5B, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	05/2017	\$4,675,409 Subcontractor
1213117-045 Rockaway Boardwalk Reconstruction Site Work and Cast-in-Place Concrete – Phases 5A and 5B, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	05/2017	\$11,047,309 Subcontractor



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
1213117-048 Rockaway Boardwalk Recon. Boardwalk and Access Pile Driving and Pile Caps, Sand Retaining Wall, and Access Planks – Phase 5B, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	06/2017	\$10,633,058 Subcontractor
1213117-025 Rockaway Boardwalk Reconstruction Phase 4B Boardwalk Piles, Caps, Sand Retaining Wall & Access Structure Piles, Caps and Precast Planks, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	05/2017	\$7,630,567 Subcontractor
1213117-037.1 Rockaway Boardwalk Reconstruction Site Work – Phase 3, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	05/2017	\$4,985,656 Subcontractor
1213117-040 Rockaway Boardwalk Reconstruction RPL Decking, RPL Fascia, Wood Stairs & Furniture – Phase 3 & 5a, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	05/2017	\$8,684,721 Subcontractor
1213117-031 Rockaway Boardwalk Recon Phase 3 Pile Driving and Water Main Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	05/2017	\$15,089,649 Subcontractor
Paving PS-176	DeMatteis Ben Mena (516)- 285-5500	SCA	05/2017	\$690,000 Subcontractor



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
1213117-032 Rockaway Boardwalk Reconstruction Phase 4A&4B Site Work and Cast-In Place Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	04/2017	\$5,797,408 Subcontractor
1213117-022 Rockaway Boardwalk Reconstruction Signage Phases 1, 2, 3, 4, and 5, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	04/2017	\$261,000 Subcontractor
1213117-52.1 Rockaway Boardwalk Phase 3 B115 & B117 Ramps	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	04/2017	\$337,645 Subcontractor
Queens Dead Gas Pilot	Con Edison	Bob McGrath	03/2017	\$1,035,000
1213117-047 Rockaway Boardwalk Reconstruction Site Preparation, Salvage and Removals – Phases 5B, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	11/2016	\$2,062,984 Subcontractor
WTC 324.359 - World Trade Center Paving Milling & Paving, New York, NY	TB Pennick Brian Miniutti	Port Authority	08/2016	\$302,706 Subcontractor
D262267 - Henry Hudson Milling & Paving, Various, NY	Commodore Paul Kiernan (914)- 297-3016	NYSDOT	08/2016	\$1,661,520 Subcontractor
Contract ERBPM-14 Williamsburg Bridge Milling & Paving, New York, NY	Commodore Ray Speckman (917)- 417-4949	NYCDOT	08/2016	\$457,046 Subcontractor



Grace Industries
Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
Contract MED617 - Route 9A Restoration and Concrete Pavement, New York, NY	Triumph Dominick Cuzzi (914)- 755- 1963	Domani Consulting	07/2016	\$161,322 Subcontractor
1213117-18.2 Rockaway Boardwalk Reconstruction Site Preparation, Salvage, and Removals- Phase 5A Part 2, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	06/2016	\$1,507,268 Subcontractor
1213117-18.1 Rockaway Boardwalk Reconstruction Site Preparation, Salvage and Removals- Phase 5A Part 1, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	06/2016	\$1,543,893 Subcontractor
Contract C-821, Long Beach Comfort Stations, Long Beach, NY	The City of Long Beach James LaCarrubba (516) 431-1011	City of Long Beach	05/2016	\$7,670,141 Prime
LGA 124.231 Rehabilitation of Taxiways West of Runway 4-22, Queens, NY	Port Authority of NY/ NJ Jim Fox (718) 533-3796	Port Authority of NY/ NJ	04/2016	\$8,744,286 Prime
1213117-024 Rockaway Boardwalk Recon. Phase 4A Boardwalk Piles, Caps, Sand Retaining Wall & Access Structures Piles, Caps and Precast Planks, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	04/2016	\$9,690,413 Subcontractor



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
H81011-05G Rehabilitation of Rushmore Drain, Westbury, NY	NC DPW Tim Kelly (631)-374-9664	NC DPW	03/2016	\$2,671,740 Prime
D262977- Route 22 Pavement Preventive Maintenance (1.7 Miles), Eastchester, NY	NYSDOT Dina Colavito (914) 804- 1578	NYSDOT	01/2016	\$3,088,888 Prime
D262894- 5.4 Miles of Asphalt Concrete Milling and Resurfacing on Route 9D, Greenburg, NY	NYSDOT Dina Colavito (914) 804- 1578	NYSDOT	12/2015	\$5,869,947 Prime
1213117-015 Rockaway Boardwalk Reconstruction Phase 4B Site Prep, Salvage and Demolition, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	11/2015	\$980,610 Subcontractor
1213117-008 Rockaway Boardwalk Reconstruction Phase 1 & 2 Electrical Rough-in, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	06/2015	\$2,458,054 Subcontractor
1213117-019 Rockaway Boardwalk Reconstruction Phase 3A Site Prep, Salvage and Removals, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	05/2015	\$509,075 Subcontractor
D262692- Route 138 Preventive Maintenance - Pavement, Westchester, NY	NYSDOT Dina Colavito (914) 804-1578	NYSDOT	05/2015	\$2,468,795 Prime



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
D262419 – NY Route 440 Southbound Connector, Staten Island, NY	NYSDOT John McElrath (917)- 584-0340	NYSDOT	03/2015	\$6,675,000 Prime
1213117-020 Rockaway Boardwalk Reconstruction Phase 3B Site Prep, Salvage and Removals, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	02/2015	\$524,278 Subcontractor
1213117-003 Rockaway Boardwalk Recon. Phase 1 Site Prep and Removals, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	01/2015	\$630,934 Subcontractor
LGA-124.165 – LaGuardia Airport – Rehabilitation of Taxiways A, M, & ZA	Port Authority of NY/ NJ	Port Authority of NY/ NJ	12/2014	\$10,477,777
D262610- Mill & Overlay and Associated Tasks, Westchester, NY	NYSDOT Dina Colavito (914) 804-1578	NYSDOT	11/2014	\$5,249,420 Prime
1213117-004 Rockaway Boardwalk Recon. Phase 1 Pile Driving and Sand Retaining Wall, Rockaway, NY	NYCEDC/Skanska Ricky Zendt (973)-610-5711	CH2MHILL	10/2014	\$1,518,035 Subcontractor
Contract C-760 – Reconstruction of Long Beach Boardwalk, Long Beach, NY	City of Long Beach James La Carrubba (516) 431-1011	LIRO	06/2014	\$42,995,487 Prime



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
D261545 – Recon. of Northern State Parkway over Route 110, Melville, NY	NYSDOT Anna Elias (631) 952-6050	Brian McNamara (631) 609-7975	12/2013	\$59,972,334 Prime
D262303 – 21 Miles of Pavement Resurfacing, Various, NY	NYSDOT Allan Porreca (845) 476-5084	NYSDOT	11/2013	\$10,153,272 Prime
D262302 – 11 Miles of Pavement Resurfacing, Various, NY	NYSDOT Dina Colavito (914) 804-1578	NYSDOT	11/2013	\$6,960,309 Prime
LGA-124.101: Rehabilitation of Taxiways R, S, P, & G, Queens, NY	Port Authority of NY/ NJ James Brogan (718) 533-3538	Port Authority of NY/ NJ	10/2013	\$2,839,697 Prime
LGA-124.060 – Rehab. of CTB Concourse Alleyway Pavements, Queens, NY	Port Authority of NY/ NJ Anthony Vero, PE (718) 533-3535	Port Authority of NY/ NJ	06/2013	\$3,015,091 Prime
HBX1195 – Replacement of Shore Road Circle Bridge over Amtrak, Bronx, NY	NYCDOT Mohammed Arain (212) 487-7854	NYCDOT	05/2013	\$17,492,513 Prime
EC301-G – Re-grade beach sand at Jones Beach State Park, Various, NY	Office of General Services Daryl Cousins (631) 951-0248	Office of General Services	05/2013	\$300,000 Prime
EC271-C – Restore Fields 4 & 5 Robert Moses State Park, Various, NY	Office of General Services Daryl Cousins (631) 951-0248	Office of General Services	05/2013	\$300,000 Prime
D261003 - Reconstruction of Starlight Park & The Bronx River Greenway Bronx, NY	NYSDOT Jack Haran (718) 482-4794	NYSDOT	04/2013	\$17,043,043 Prime



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
D261357 – Reconstruction of Route 347 Suffolk County, NY	NYSDOT John McGullam (631) 761-5544	NYSDOT	02/2013	\$26,987,807 Prime
Change Order Emergency Reconstruction of Orient Point State Park, Orient Point, NY	NYSDOT Anna Elias (631) 952-6050	NYSDOT	02/2013	\$1,894,552 Prime
D262021 – Pavement Resurfacing in Westchester Various, NY	NYSDOT Dina Colavito 914-804-1578	NYSDOT	12/2012	\$7,250,877 Prime
Reconstruction of CR 67 Bridge over the LIE Hauppauge, NY	Suffolk County DPW James Peterman (631) 852-4003	Greenman Pederson Henry Schneck (631) 761-7246	08/2012	\$18,470,470 Prime
D261588 – Resurfacing I-684, Rehab 3 Bridges Westchester, NY	NYSDOT Paul Tirums (914) 490-3269	NYSDOT	12/2011	\$18,213,684 Prime
C-34766 – Rehab of Ocean Parkway Viaduct, Phase II Brooklyn, NY	MTA-NYCT Raymond Wong (646) 252-3918	MTA-NYCT	05/2011	\$5,869,578 Prime
D261288 - Resurfacing the Northern State Parkway Suffolk County, NY	NYSDOT Raymond Weixler (631) 952-6045	NYSDOT	05/2011	\$9,233,233 Prime
D260463 – Pavement Maintenance via Work Order Various, NYC	NYSDOT Bruce Ogurek (516) 779-0261	NYSDOT	06/2010	\$19,551,730 Prime



Grace Industries

Projects Completed 2008- Present

Project Name / Location / Description	Owner	Design Engineer	Completion Dates	Contract Price
AK-181 – Staten Island Bridges – Pavement Maintenance Staten Island, NY	Port Authority of NY/ NJ James Masset (718) 390-2505	Port Authority of NY/ NJ	03/2010	\$964,868 Prime
JFK-164.011 – Rehabilitation of Taxiways S,SB,SC,SD, Apron Area, Taxiway NB, Jamaica, NY	Port Authority of NY/ NJ John Aribitto (718) 244-3708	Port Authority of NY/ NJ	11/2009	\$17,072,000 Prime
GWB-542 – Pavement Maintenance Repairs via WO at GWB Bronx, NY & NJ	Port Authority of NY/ NJ Andrea Giorgi-Blocker (201) 346-4021	Port Authority of NY/ NJ	08/2008	\$1,010,360 Prime



PROPOSAL

5. Have you ever failed to complete any work awarded to you?
If so, when and why? **NO**

(use additional blank sheets if additional space is necessary)

6. Has any officer or partner of your firm ever been an officer or partner of some other firm that failed to complete a construction contract? **NO**

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? **NO**

If so, state name of individual, name of owner and reason therefor:

(use additional blank sheets if additional space is necessary)

8. In what other lines of business are you financially interested?

None.

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

9. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Year of Construction Experience	Magnitude and type of Work	In What Capacity
----------------------	----------------------------------	---------------------------------------	-------------------------------	---------------------

Please See Attached

(use additional blank sheets if additional space is necessary)

10. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size, Capacity, Year, Etc	Year of Service	Present Location
------	--	--------------------	---------------------

Please See Attached

(use additional blank sheets if additional space is necessary)

Note: Should the equipment be moved from the above mentioned location, the submitter hereby agrees upon request of the County to state the new location where same may be found.

11. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like

encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

Please See Attached

(use additional blank sheets if additional space is necessary)

PROPOSAL

NO TEXT ON THIS PAGE

Category	Description	Manufacturer	Model
Air Comp-Md			
	AIRCOMP-MD,AIRMAN,400,07	AIRMAN	400CFM
	AIRCOMP-MD,AIRMAN,400,07	AIRMAN	400CFM
	AIRCOMP-MD,AIRMAN,400,07	AIRMAN	400CFM
	AIRCOMP-MD,INGERSOLRAND,185,99	INGERSOLRAND	185CFM
	AIRCOMP-MD,SCALES,230V,125PSI	SCALES	230V,3 PHASE,125PSI
	Air Comp-Md - Pieces of Equipment: 5		
Air Compressors			
	COMPRESSORS, 6 GALLON	PORTER CABLE	2.6SCFM
	COMPRESSORS, 20 GALLON	HUSKY	H1820F
	COMPRESSORS, 30 GALLON	INGERSOLL RAND	2475F116
	AIR COMPRESSORS,2700 PSI	APACHE	CMG250AC
	COMPRESSORS, 6 GALLON	PORTER CABLE	2.6SCFM
	COMPRESSORS, 6 GALLON	PORTER CABLE	2.6SCFM
	SM AIR COMPRESSOR, 135 PSI, 4GAL, 3HP	PORTA CABLE	
	COMPRESSORS 110 VOLT 2HP	WACKER	D1500HPV5
	Air Compressors - Pieces of Equipment: 8		
Air Comp-Sm			
	AIRCOMP-MD,AIRMAN,185,07	AIRMAN	185
	AIRCOMP-MD,AIRMAN,185,05	MAGNUM	185
	AIRCOMP-MD,AIRMAN,185,08	AIRMAN	185CFM
	AIRCOMP-MD,AIRMAN,185,08	AIRMAN	185CFM
	Air Comp-Sm - Pieces of Equipment: 4		
Arrow Board			
	ARWBRD-SLR,WANCO,WTSP,06	WANCO	WTSP
	ARWBRD-SLR,AMIDA,WTSP,09	AMIDA	WTSP55-LSA
	ARWBRD-DSL,ALMND,2200,05	ALLMAND	2200SE
	ARWBRD-SLR,WANCO,WTSP,03	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,05	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,07	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,07	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,07	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,07	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,06	WANCO	WTSP55-LSA
	ARWBRD-SLR,ALMND,2200,07	ALLMAND	2200SE
	ARWBRD-SLR,WANCO,WTSP,07	WANCO	WTSP55-LSA
Arrow Board			
	ARWBRD-SLR,WANCO,WTSP,07	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,11	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,09	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,09	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,09	WANCO	WTSP55-LSA
	ARWBRD-SLR,WANCO,WTSP,09	WANCO	WTSP55-LSA
	Arrow Board - Pieces of Equipment: 18		
Atv			
	ATV,POLARISRNGR,800XP,10	POLARIS	RANGER 800XP
	ATV,YAMAHA,RHINO,660,04	YAMAHA	RHINO 660
	ATV,POLARISRNGR,500CC,03	POLARIS	RANGER 500C
	ATV,POLARISRNGR,500XP,13	POLARIS	RANGER 500C
	Atv - Pieces of Equipment: 4		
Backhoe-Lg			
	BACKHOE-LG,CAT,450E,09	CATERPILLAR	450E
	BACKHOE-LG,CAT,450E,10	CATERPILLAR	450E
	Backhoe-Lg - Pieces of Equipment: 2		
Backhoe-Md			
	BACKHOE-LG,CAT,446B,02	CATERPILLAR	446B

- Continued

BACKHOE-LG,CAT,446B,02	CATERPILLAR	446B
BACKHOE-LG,JDEERE,710D,94	JOHN DEERE	710D
Backhoe-Md - Pieces of Equipment: 3		

Bucket Truck Mh-Lg

TRUCK-BUCKET,77'ALTEC A77-T,INTERNATIONAL,74'ALTEC/INTERNATIONAL	A77-T/7400
TRUCK-BUCKET,77'ALTEC A77-T,INTERNATIONAL,74'ALTEC/INTERNATIONAL	A77-T/7400
TRUCK-BUCKET,42'DODGE,SPICEBUCKET,12	DODGE
	D5500

Bucket Truck Mh-Lg - Pieces of Equipment: 3

Bucket Truck Mh-Md

TRUCK-BUCKET, MH55',INTERNATIONAL,7300,05	INTERNATIONAL	7300 4x4
TRUCK-BUCKET, MH55',INTERNATIONAL,7300,05	INTERNATIONAL	7300 4x4
TRUCK-BUCKET, MH55',INTERNATIONAL,7300,14	INTERNATIONAL	7300 4x4 / AM55
TRUCK-BUCKET, MH55',INTERNATIONAL,7300,14	INTERNATIONAL	7300 4x4/ AM55
TRUCK-BUCKET, MH41',INTERNATIONAL,7300,13	INTERNATIONAL	7300 4x4
TRUCK-BUCKET, MH55',IHC,4700,98	IHC	4700 / HR50-OM
TRUCK-BUCKET, MH55',IHC,4900,98	IHC	4900 / AM855MH
TRUCK-BUCKET, MH55',GMC,C8500,02	GMC	8500 / A55-OC
TRUCK-BUCKET, MH55',GMC,C8500,02	GMC	8500 / A55-OC

Bucket Truck Mh-Md - Pieces of Equipment: 9

Bucket Truck Mh-Trk

TRUCK-TRACK BUCKET,80' ALTEC,A77-TE93,CAMOIPI ALTEC/ INTERNATIONAL	A77-TW93/ GT3000
--	------------------

Bucket Truck Mh-Trk - Pieces of Equipment: 1

Bucket Truck Sb-Sm

TRUCK-BUCKET,42'ALTEC,FORD,F550,08	FORD / ALTEC	F550 / AT37-G
TRUCK-BUCKET,42'ALTEC,FORD,F550,09	FORD/ ALTEC	F550 / AT37-G
TRUCK-BUCKET, MH55',IHC,4900,98	IHC	4900 / 42-OM

Bucket Truck Sb-Sm - Pieces of Equipment: 3

Construction Boats

BOAT-SM,LOWE,14,07	LOWE	14
--------------------	------	----

Construction Boats - Pieces of Equipment: 1

Container

CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20,08	CONEX	8X20
CONTAINER,NA,8X20,08	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8x20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20
CONTAINER,NA,8X20	CONEX	8X20

Container - Pieces of Equipment: 15

Container-Lg

8X40 CONTAINER	INTERPORT	8X40
8X40 CONTAINER	INTERPORT	8X40

Container-Lg - Pieces of Equipment: 2

Crusher-Impact

CRUSHER,LIPPMAN,5165,09	LIPPMAN	5165
CRUSHER,LIPPMAN,4800,08	LIPPMAN	4800

Crusher-Impact - Pieces of Equipment: 2

Crusher-Jaw

CRUSHER,SANDVIK, QJ341,12	SANDVIK	QJ341
CRUSHER,LIPPMAN,3062,99	LIPPMAN	3062

Crusher-Jaw - Pieces of Equipment: 2

Digger 6X4

TRUCK-DIGGER,D2050TR,CHEVROLET,C8500,06	CHEVROLET	C8500 4X6
TRUCK-DIGGER,FREIGHTLINER,D3060B-TR,6X6,14	FREIGHTLINER	6X6
TRUCK-DIGGER,D2050TR,CHEVROLET,C8500,06	IHC	4900 / Commander4500
TRUCK-DIGGER,D2050TR,CHEVROLET,C8500,06	GMC	C8500 D945-TR-27000

Digger 6X4 - Pieces of Equipment: 4

Dozer-Lg

DOZER-LG,KMTSU,D65PX,2010	KOMATSU	D65PX-16
---------------------------	---------	----------

Dozer-Lg - Pieces of Equipment: 1

Dozer-Md

DOZER-MD,CAT,D5KXL,10	CATERPILLAR	D5KXL
DOZER-LG,KMTSU,D61PX,10	KOMATSU	D61PX-15EO

Dozer-Md - Pieces of Equipment: 2

Excavator-Lg

EXCAVATOR-LG,CAT,345CL,08	CATERPILLAR	345CL
EXCVTR-LG,KMTSU,PC450CL-8,11	KOMATSU	PC450LC-8
MATERIALHANDLER-LG,CATERPILLAR,375,98	CATERPILLAR	375
MATERIALHANDLER-LG,KOMATSU,PC450,00	KOMATSU	PC450LC-MH
MATERIAL HANDLER- LG, CAT, 345CL,06	CATERPILLAR	345CL MH
EXCAVATOR-CASE,9030	CASE	9030

Excavator-Lg - Pieces of Equipment: 6

Excavator-Md

EXCVTR-MD,KMTSU,PC228,08	KOMATSU	PC228
EXCVTR-MD,CAT,328D,10	CATERPILLAR	328D
RETIRED-EXCVTR-MD,JDEERE,220DW,11	JOHN DEERE	220DW
RETIRED-EXCVTR-MD,JDEERE,220DW,11	JOHN DEERE	220DW
EXCVTR-MD,CATERPILLAR,328DLCL,07	CATERPILLAR	328DLCL
EXCVTR-MD,CAT,M322D,11	CATERPILLAR	M322D
EXCVTR-MD,KOMATSU,PC138,14	KOMATSU	PC138
EXCAVATOR-MD,CATERPILLAR,235C,91	CATERPILLAR	235C

Excavator-Md - Pieces of Equipment: 8

Excavator-Sm

EXCVTR-SM,TAKCHI,TB138,09	TAKEUCHI	TB138FRC
EXCVTR-MD,JDR,35DXFF,11	JOHN DEERE	35DXFF

Excavator-Sm - Pieces of Equipment: 2

Forklift

FORKTRUCK-LG,CAT	CATERPILLAR	V300B
FORKTRUCK-MD,KMTSU,25,07	KOMATSU	FG25ST16
FORKTRUCK,HYSTER,50	HYSTER	H50XM

Forklift - Pieces of Equipment: 3

Fuel Tank-500G

FUEL-TANK,500GALLON	CUSTOM	500GALLON
FUEL-TANK,500GALLON	CUSTOM	500GALLON
FUEL-TANK,500GALLON	CUSTOM	500GALLON
FUEL-TANK,500GALLON	CUSTOM	500GALLON
FUEL-TANK,AEROPPOWER,2000GALLON	AERO POWER	2,000G

Fuel Tank-500G - Pieces of Equipment: 5

Generator-Lg

GENERATOR,KHOLER,750KW	KHOLER	750KW
------------------------	--------	-------

Generator-Lg - Pieces of Equipment: 1

Generator-Md

GENRTR,MAGNUM,80KVA,04	MAGNUM PRO	MMG80
GENERATOR,CATERPILLAR,225KV,96	CATERPILLAR	225KV

Generator-Md - Pieces of Equipment: 2

Hammer

HAMMER,INDECO,MES2000,02	INDECO	MES2000
HAMMER,NPK,H16X,00	NPK	H16X
HAMMER,ALLIED,HF560,93	ALLIED	HF560
HAMMER,NPK,5000X	NPK	
GROUND ROD DRIVER, 5/8"	RELIABLE	REL-GRD-1
GROUND ROD DRIVER, 1"	RELIABLE	REL-GRD-A-1"

Hammer - Pieces of Equipment: 6

Light Towers

LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,05	MAGNUM	MLT3060MMH
LIGHTTWR,MAGM,MLT3060,10	MAGNUM PRO	MLT3060
LIGHTTWR - MAGM,MLT3060,07	MAGNUM PRO	MLT3060

Light Towers - Pieces of Equipment: 12

Loader-Lg

Loader-Lg - Pieces of Equipment: 6

Loader-Lg-Internal Rent

Loader-Lg-Internal Rent - Pieces of Equipment: 1

Loader-Md

Loader-Md - Pieces of Equipment: 5

Loader-Sm

Loader-Sm - Pieces of Equipment: 2

Manlift-Md

Manlift-Md - Pieces of Equipment: 1

Message Boards

Message Boards - Pieces of Equipment: 35

Miller-Md

Miller-Md - Pieces of Equipment: 1

Miller-Sm

Miller-Sm - Pieces of Equipment: 1

Mixer

MIXER-CMNT,MLTIQP,9CF,05	MULTIQUIP	9 CUBIC FT
MIXER-MRTR,MLTIQP,9CF,02	MULTIQUIP	9 CUBIC FT
MIXER-CMNT,MLTIQP,9CF,05	MULTIQUIP	9 CUBIC FT
MIXER-CMNT,MLTIQP,9CF,12	MULTIQUIP	9 CUBIC FT
Mixer - Pieces of Equipment: 4		

Pickup W Saddle Fuel Tank

PICK-UP,FORD,F250,08	FORD	F250
PICK-UP,FORD,F250,08	FORD	F250
PICK-UP,GMC,2500,01	GMC	2500
PICK-UP,FORD,F250,95	FORD	F250
PICK-UP,GMC,2500HD,03	GMC	2500HD
PICK-UP,FORD,F250,96	FORD	F250

Pickup W Saddle Fuel Tank - Pieces of Equipment: 6

Pickup-Lg

PICK-UP,FORD,F350,11	FORD	F350
PICK-UP,FORD,F350,11	FORD	F350
PICK-UP,FORD,F350,11	FORD	F350
PICK-UP,FORD,F350,13	FORD	F350
PICK-UP,GMC,2500HD,15	GMC	2500HD CREW
PICK-UP,GMC,2500HD,15	GMC	2500HD CREW
TRUCK-MECH,FORD,F350,08	FORD	F350

Pickup-Lg - Pieces of Equipment: 7

Pickup-Md

PICK-UP,FORD,F250,03	FORD	F250
PICK-UP,GMC,2500,03	GMC	2500
PICK-UP,FORD,F250,11	FORD	F250
PICK-UP,FORD,F250,11	FORD	F250
PICK-UP,FORD,F150,11	FORD	F150
PICK-UP,GMC,1500,12	GMC	SIERRA
PICK-UP,GMC,1500,12	GMC	SIERRA
PICK-UP,FORD,F150,14	FORD	F150

Pickup-Md - Pieces of Equipment: 8

Pickup-Sm

PICK-UP,FORD,SPORTRAC,07	FORD	SPORT TRAC
PICK-UP,FORD,F150,07	FORD	F150
PICK-UP,FORD,F150,02	FORD	F150
PICK-UP,FORD,F150,10	FORD	F150
PICK-UP,FORD,F150,11	FORD	F150
PICK-UP,FORD,F150,11	FORD	F150
PICK-UP,FORD,F150,11	FORD	F150
PICK-UP,FORD,F150,11	FORD	F150
PICK-UP,FORD,F150,12	FORD	F150
PICK-UP,FORD,F150,13	FORD	F150
PICK-UP,FORD,F150,13	FORD	F150
PICK-UP,FORD,F150,13	FORD	F150
PICK-UP,FORD,F150,13	FORD	F150
PICK-UP,FORD,F150,13	FORD	F150
PICK-UP,FORD,F150,13	FORD	F150
PICK-UP,FORD,F150,14	FORD	F150
PICK-UP,FORD,F150,14	FORD	F150
PICKUP-SM,FORD,F150-KINGRANCH,08	FORD	F150

Pickup-Sm - Pieces of Equipment: 18

Puller/Tensioner-Lg

TRAILER-PULLER/TENSIONER, HOGG&DAVIS, ODP40	HOGG & DAVIS	ODP4000
TRAILER-PULLER/TENSIONER,THALER,KESP3050	THALER	KE-SP3050
TRAILER-PULLER/TENTIONER-LG,ALTEC,UP400	ATLEC	UP400
CABLE PULLER (SUPER TUGGER) POWER UNIT, 6500	GREENLEE	6001

Puller/Tensioner-Lg - Pieces of Equipment: 4

Puller/Tensioner-Md

TRAILER-PULLER/TENSIONER-MD,WAGNERSMITH,T- WAGNER SMITH		UDP-240
TRAILER-PULLER/TENSIONER-MD,TSE,4000DPT,00	TSE	4000DPT

Puller/Tensioner-Md - Pieces of Equipment: 2

Puller/Tensioner-Sm

TRAILER-PULLER,CONDUX FIBER&DATA RECORDER ZIERKE		CONDUX W/ DATA
TRAILER-TENSIONER,BULL WHEEL,TSE,T30/36BWRC TSE/ ALTEC		T30/36BWRC
TRAILER-PULLING,ZIERKE CONDUX FIBER OPTIC,3500LB T2		CONDUX 3500LB T2

Puller/Tensioner-Sm - Pieces of Equipment: 3

Roller-Dirt-Lg

ROLLER-D-LG,CAT,CS563E,08	CATERPILLAR	CS563E
ROLLER-D-LG,DYNAPAC,CA250	DYNAPAC	CA250
Roller-Dirt-Lg - Pieces of Equipment: 2		

Roller-Lg

ROLLER-A-LG,CAT,CB634,01	CATERPILLAR	CB-634C
ROLLER-A-LG,CAT,CB634,99	CATERPILLAR	CB-634C
ROLLER-A-LG,HAMM,130,08	HAMM	HD 130 HV
ROLLER-A-LG,HAMM,140,010	HAMM	HD 140 HV
Roller-Lg - Pieces of Equipment: 4		

Roller-Md

ROLLER-A-MD,BOMAG,120,05	BOMAG	BW120AD
ROLLER-A-MD,CAT,CB44B,13	CATERPILLAR	CB44B

Roller-Md - Pieces of Equipment: 2

Roller-Sm

ROLLER-A-SM,SAKAI,WB,08	SAKAI	WB
ROLLER-A-SM,SAKAI,WB,11	SAKAI	WB

Roller-Sm - Pieces of Equipment: 2

Screener

SCREENER,PWRSCRN,WAR,06	POWER SCREEN	WARRIOR 18
SCREENER	REED	RD6-B
SCREENER,CEC,5X12,00	CEC	5X12

Screener - Pieces of Equipment: 3

Skid Mounted Vacuum

VACUUM, SKIDMOUNT,KINNY,KT300D,12	KINNEY	KT300D
VACUUM, SKIDMOUNT,KINNY,KT300D,12	KINNEY	KT300D

Skid Mounted Vacuum - Pieces of Equipment: 2

Skidsteere

SKID-STEERE,GEHL,CTL75,10	GEHL	CTL75
SKID-STERE,DITCHWITCH,SK750,12	DITCH WITCH	SK750
SKIDSTEERE,BOBCAT,S250,05	BOBCAT	S250
SKIDSTEERE,CATERPILLAR,262B,05	CATERPILLAR	262B

Skidsteere - Pieces of Equipment: 4

Spreader-Asphalt

SPRDR-A,CAT,AP1055D,09	CATERPILLAR	AP1055D
SPRDR-A,CAT,AP655D,11	CATERPILLAR	AP655D
SPRDR-CAT,AP1055E	CATERPILLAR	AP-1055E

Spreader-Asphalt - Pieces of Equipment: 3

Spreader-Cement

SPRDR-CMNT,BDWL,B3600,04	BIDWELL	B3600
--------------------------	---------	-------

Spreader-Cement - Pieces of Equipment: 1

Supervisory Vehicle-Lg

CAR-SUV,FORD,EXPEDITNEL,10	FORD	EXPEDITION EL
CAR-SUV,FORD,EXPEDITN,11	FORD	EXPEDITION
CAR-SUV,FORD,EXPEDITIONEL,12	FORD	EXPEDITION EL

Supervisory Vehicle-Lg - Pieces of Equipment: 3

Supervisory Vehicle-Md

CAR-SUV,FORD,EXPLORER,10	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER,10	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER,10	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER,07	FORD	EXPLORER
CAR-SUV,MERCURY,MOUNTR,07	MERCURY	MOUNTNEER
CAR-SUV,FORD,ESCAPE,11	FORD	ESCAPE
CAR-SUV,FORD,ESCAPE,11	FORD	ESCAPE
CAR-SUV,FORD,EXPLORER,11	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER,12	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER,13	FORD	EXPLORER
RETIRED-CAR-SUV,FORD,EXPLORER,13	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER-SPORT,13	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER-SPORT,13	FORD	EXPLORER
CAR-SUV,FORD,EXPLORER,14	FORD	EXPLORER
CAR-SUV,LINCOLN,MKX,14	LINCOLN	MKX
CAR-SUV, FORD, EXPLORER, 13	FORD	EXPLORER XLT

Supervisory Vehicle-Md - Pieces of Equipment: 16

Supervisory Vehicle-Sm

CAR-SM,HONDA,CIVIC,07	HONDA	CIVIC
CAR-SM,HONDA,CIVIC,08	HONDA	CIVIC
CAR-SM,HONDA,CIVIC,07	HONDA	CIVIC

CAR-SM,HONDA,CIVIC,09	HONDA	CIVIC
HG-CAR-SM,VW,JETTA,10	VOLKSWAGEN	JETTA
CAR-SUV,FORD,ESCAPE,08	FORD	ESCAPE
CAR-SUV,FORD,ESCAPE,11	FORD	ESCAPE
CAR-SM,CHRYSLER,300C,11	CHRYSLER	300C
CAR-SUV,FORD,ESCAPE,12	FORD	ESCAPE

Supervisory Vehicle-Sm - Pieces of Equipment: 9

Survey Truck Outfitted W Equip

CAR-VAN,FORD,TRANSCONN,10	FORD	TRANS CONN
---------------------------	------	------------

Survey Truck Outfitted W Equip - Pieces of Equipment: 1

Telehandler

TELEHANDLER-CAT,TL1255,12	CATERPILLAR	TL1255
---------------------------	-------------	--------

Telehandler - Pieces of Equipment: 1

Trailer- Tanker

9000 GALLON ALUMINUM TANKER TRAILER	ITI	9000 GALLON
9000 GALLON ALUMINUM TANKER TRAILER	ITI	9000 GALLON
9000 GALLON ALUMINUM TANKER TRAILER	ITI	9000 GALLON
9000 GALLON ALUMINUM TANKER TRAILER	ITI	9000 GALLON

Trailer- Tanker - Pieces of Equipment: 4

Trailer-Cargo

TRLR-CARGO,ROYAL,7X14,07	ROYAL	7X14
TRLR-CARGO,ROYAL,7X14,07	ROYAL	7X14
TRAILER-BOX,GREATDANE,48',94	GREAT DANE	48'

Trailer-Cargo - Pieces of Equipment: 3

Trailer-Dblepot Boiler

MT-TRAILER-DBLEPOTBOILER,CIMILI,02	CIMILI	NA
------------------------------------	--------	----

Trailer-Dblepot Boiler - Pieces of Equipment: 1

Trailer-Deck

TRLR-DECK,CUSTOM,16,00	CUSTOM	DECK
TRLR-DECK,KAUFMAN,TILT,10	KAUFMAN	TILT
MIDNIGHTTRLR-DECK,CUSTOM,20TON,89	CUSTOM	20 TON
TRLR-CARRY-ON,5X10WG,13	5x10	CARRY-ON

Trailer-Deck - Pieces of Equipment: 4

Trailer-Dump

MT - TRAILER, DUMP. EASTERN, 13	EAST	TRI-AXEL
MT-TRAILER- DUMP, BENSON,02	BENSON	DUMP TRAILER - A
MT - TRAILER, DUMP. EASTERN, 15	EAST	TRI-AXEL
RETIRED-TRAILER-DUMP,KINGCOBRA,ALUMINUM,00 KING COBRA		ALUMINUM
TRAILER-DUMP,BENSON,STEEL,05	BENSON	STEEL
TRAILER-DUMP,BENSON,STEEL,05	BENSON	STEEL

Trailer-Dump

TRAILER-DUMP,BENSON,STEEL,05	BENSON	STEEL
TRAILER-DUMP,EAST,STEEL,15	EAST	STEEL
TRAILER-DUMP,EAST,STEEL,15	EAST	STEEL
TRAILER-DUMP,EAST,STEEL,15	EAST	STEEL
TRAILER-DUMP,SPECTEC,STEEL,15	SPECTEC	STEEL
TRAILER-DUMP,SPECTEC,STEEL,13	SPECTEC	STEEL

Trailer-Dump - Pieces of Equipment: 11

Trailer-Floboy

MT-TRAILER-FLOBOY,TRAILKING,OLB434NG,12	TRAIL KING	OLB434NG-HH
MT-TRAILER-FLOBOY,TRAILKING,OLB434NG,14	TRAIL KING	OLB434NG-HH

Trailer-Floboy - Pieces of Equipment: 2

Trailer-Hot Box

TRLR-HOTBOX,MARATHON,	MARATHON	HOT BOX
TRLR-HOTBOX,MARATHON,HMT8000DT,4TON,05	MARATHON	HMT8000DT

Trailer-Hot Box - Pieces of Equipment: 2

Trailer-Loboy

MT-TRAILER-LOBOY,TRAILKING,FLIPAXEL,13	TRAIL KING	FLIP AXEL
MT-TRAILER-LOWBOY,TRAILKING,13	TRAIL KING	LOWBOY
TRAILER-LOWBOY,ROGERS,50TON,86	ROGERS	50TON

Trailer-Loboy - Pieces of Equipment: 3

Trailer-Mobilesurveillance

TRAILER,MOBILESURVEILLANCE,4X6,10	HORTON VAN INC.	HY46SA 4X6
-----------------------------------	-----------------	------------

Trailer-Mobilesurveillance - Pieces of Equipment: 1

Trailer-Pole

TRAILER-POLE,BUTLER,BP-2080,14	BUTLER	EXTENDABLE BP-2080
--------------------------------	--------	--------------------

Trailer-Pole - Pieces of Equipment: 1

Trailer-Reel

TRAILER-REEL,SELF LOADING, 100K LBS unit 101	MIRK	100K
TRAILER-REEL,SELF LOADING, 100K LBS unit 106	MIRK	100k
TRAILER-REEL,4-ARBOR,BRINDLE,14	BRINDLE	4RT21BT
TRAILER-REEL,SINGLE ARBOR,BRINDLE,11	BRINDLE	BRT90
TRAILER-REEL,4-ARBOR,BRINDLE,4RT21BS-S,99	BRINDLE	4RT21BS
TRAILER-REEL,1-ARBOR,SAUBER,93	SAUBER	Single Arbor
TRAILER-REEL,2-ARBOR,ACE,90	ACE	1590 Two Arbor

Trailer-Reel - Pieces of Equipment: 6

Trailer-Rollerchutes

TRAILER-ROLLERCHUTES,8' RADIUS	KRUGER TRAILER	06S62-144K7SER16
--------------------------------	----------------	------------------

Trailer-Rollerchutes - Pieces of Equipment: 1

Trailer-Splice

TRAILER-SPLICE,WELLS CARGO,32'	WELLS CARGO	EW2422
TRAILER-SPLICE,INTECH FIBER OPTIC,13	INTECH	8X12TA
TRAILER-SPLICE,TSE,7X12,08	TSE	7X12

Trailer-Splice - Pieces of Equipment: 3

Trailer-Steeltubdump

TRAILER-FRUEH,STEELTUBDUMP,97	FRUEH	STEEL TUB DUMP
-------------------------------	-------	----------------

Trailer-Steeltubdump - Pieces of Equipment: 1

Trailer-Tar Kettle

TRLR-TKTL,MARATHON,170,10	MARATHON	KEB170T
TRLR-TKTL,LEEBOY	LEEBOY	250T

Trailer-Tar Kettle - Pieces of Equipment: 2

Trailer-Vaccum

TRAILER-VACUUM,OIL PURIFIER,VACUDYNE,VOP-1T- WELLS CARGO		VOP-1T-100GPH/379 LP
--	--	----------------------

TRAILER-VACUUM,PUMPING SKID	WELLS CARGO	VP-47
-----------------------------	-------------	-------

Trailer-Vaccum - Pieces of Equipment: 2

Trailer-Water

TRLR-WATER,MAGNUM,500,08	MAGNUM PRO	MWT500
TRLR-WATER,LEDWELL,500,03	LEDWELL	LW500WT
WATER-TANK,10,000GALLON	TANK	10,000G

Trailer-Water - Pieces of Equipment: 3

Trencher-Lg

TRNCHR-LG,VRMR,T650,94	VERMEER	T655DT
------------------------	---------	--------

Trencher-Lg - Pieces of Equipment: 1

Truck-Boom

MT-TRUCK-BOOM,MACK,DM6,03	MACK	DM6
---------------------------	------	-----

Truck-Boom - Pieces of Equipment: 1

Truck-Cement-Front Loader

TRUCK-CEMENT-FL,OSHKOSH,SSE,95	OSHKOSH	SSE
TRUCK-CEMENT-FL,OSHKOSH,SSE,95	OSHKOSH	SSE
RETIRED-TRUCK-CEMENT-FL,ADVANCED,CMIX,00	ADVANCED	CMIX
TRUCK-CEMENT-FL,ADVANCED,CMIX,99	ADVANCED	CMIX
TRUCK-CEMENT-FL,ADVANCED,CMIX,99	ADVANCED	CMIX
TRUCK-CEMENT-FL,ADVANCED,CMIX,00	ADVANCED	CMIX
TRUCK-CEMENT-FL,OSHKOSH,SSE,05	OSHKOSH	SSE

Truck-Cement-Front Loader - Pieces of Equipment: 7

Truck-Cement-Rear Loader

TRUCK-CEMENT-RL,KENWORTH,09	KENWORTH	CMIX
TRUCK-CEMENT-RL,KENWORTH,09	KENWORTH	CMIX
TRUCK-CEMENT-RL,KENWORTH,09	KENWORTH	CMIX
TRUCK-CEMENT-RL,MACK,DM6,98	MACK	DM6
TRUCK-CEMENT-RL,MACK,DM6,98	MACK	DM6
TRUCK-CEMENT-RL,MACK,DM6,96	MACK	DM6

Truck-Cement-Rear Loader - Pieces of Equipment: 6

Truck-Dump-Lg

MT-TRUCK-DUMP-LG,MACK,RD6,01	MACK	RD6
MT-TRUCK-DUMP-LG,MACK,RD6,01	MACK	RD6

Truck-Dump-Lg - Pieces of Equipment: 2

Truck-Dump-Sm

MT-TRUCK-DUMP,FORD,550,08	FORD	F550
MT-TRUCK-DUMP,FORD,550,07	FORD	F550
MT-TRUCK-DUMP,FORD,F450,04	FORD	F450
MIDNIGHTTRUCK-DUMP-SM,FORD,550,02	FORD	F450

Truck-Dump-Sm - Pieces of Equipment: 4

Truck-Flat

MT-TRUCK-FLAT,FORD,L08,97 FORD L08

Truck-Flat - Pieces of Equipment: 1

Truck-Fork-Sm

FORKTRUCK-MD,KMTSU,25,08 KOMATSU FG25T-16

Truck-Fork-Sm - Pieces of Equipment: 1

Truck-Fuel-Lg

MT-TRUCK-FUEL,MACK,DM6,95 MACK DM6

Truck-Fuel-Lg - Pieces of Equipment: 1

Truck-Mechanic

TRUCK-MECH,PETERB,335,08 PETERBILT 335
TRUCK-MECH,FORD,F550,06 FORD F550
TRUCK-MECH,CHEVROLET,C5500,06 CHEVROLET C5500
TRUCK-WELDER,GMC,3500HD,15 GMC 3500HD
TRUCK-MECHANIC,FORD,F450,99 FORD F450

Truck-Mechanic - Pieces of Equipment: 5

Truck-Rack

MIDNIGHT-TRUCK-RACK,MITSU,FE145,07 MITSUBISHI FE145
MT-TRUCK-RACK,MITSU,FE180,07 MITSUBISHI FE180
TRUCK-RACK,GMC,W5500,07 GMC W5500
MIDNIGHTTRUCK-RACK,ISUZU,NPR,07 ISUZU NPR

Truck-Rack - Pieces of Equipment: 4

Truck-Sweeper

TRUCK-SWEEPER,MOBILSWEEP,M8A,95 MOBILSWEEP M8A

Truck-Sweeper - Pieces of Equipment: 1

Truck-Tractor

MT-TRUCK-TRACTOR,PETERBILT,388,13 PETERBILT 388
MT-TRUCK-TRACTOR,PETERBILT,378,01 PETERBILT 378
MT-TRUCK-TRACTOR,KENWORTH,W900,15 KENWORTH W900
MT-TRUCK-TRACTOR,KENWORTH,T880,15 KENWORTH T880
MT-TRUCK-TRACTOR,KENWORTH,T880,15 KENWORTH T880
MT-TRUCK-TRACTOR,KENWORTH,T880,15 KENWORTH T880
MT-TRUCK-TRACTOR,KENWORTH,T880,15 KENWORTH T880
TRUCK-TRACTOR,MACK,CHU613,13 MACK CHU613
TRUCK-TRACTOR,MACK,CHU613,13 MACK CHU613
TRUCK-TRACTOR,MACK,CHU613,13 MACK CHU613
TRUCK-TRACTOR,MACK,CHU613,13 MACK CHU613
TRUCK-TRACTOR,PETERBILT,379,01 PETERBILT 379
TRUCK-TRACTOR,PETERBILT,379,01 PETERBILT 379
TRUCK-TRACTOR,PETERBILT,379,04 PETERBILT 379
TRUCK-TRACTOR,PETERBILT,357,97 PETERBILT 357

Truck-Tractor - Pieces of Equipment: 15

- Continued

Truck-Water

MT-TRUCK-WATER,INTL,4900,97 INTERNATIONAL 4900

Truck-Water - Pieces of Equipment: 1

Van-Lg

VAN-MD,FORD,E450,00 FORD E450
VAN-LG,FRTLNR,MT45,01 FREIGHTLINER MT45

Van-Lg - Pieces of Equipment: 2

Van-Md

RETIRED-VAN-MD,FORD,E350,06 FORD E350
RETIRED - VAN-MD,FORD,E250,00 FORD E250
VAN-MD,FORD,E350,06 FORD E350
VAN-MD,FORD,E350,06 FORD E350
VAN-MD,FORD,E150,13 FORD E150

Van-Md - Pieces of Equipment: 5

Walk Behind Saw 36"

SAW-36,DIAMAS,FS6600,02 DIAMAS FS6600

Walk Behind Saw 36" - Pieces of Equipment: 1



Grace Industries LLC
336 South Service Road
Melville, NY 11747
Phone: 516-336-6720
Fax: 516-336-6722

Name	Position	Years of Experience	Magnitude & Type of Work	In what Capacity?
William Haugland Jr.	Co-President	10+	Heavy Highway/Civil >1mil-100mil	Supervisor
Joseph Haugland	Co-President	10+	Heavy Highway/Civil >1mil-100mil	Supervisor
Michael Pino	General Superintendent	30+	Heavy Highway/Civil >1mil-100mil	Superintendent
Matthew GaNun	Sr. Project Manager	25+	Heavy Highway/Civil >1mil-100mil	Project Manager
Tim Mullarkey	Chief Estimator/PM	25+	Heavy Highway/Civil >1mil-100mil	Project Manager
Robert Hartig	Senior Estimator	30+	Heavy Highway/Civil >1mil-100mil	Estimator/PM

PROPOSAL

12. In what manner have you inspected this proposed work?

Explain in detail.

We have examined the contract specs
and have performed engineering take-off.

(use additional blank sheets if additional space is necessary)

13. Explain your plan and lay-out for performing the proposed work.

PERFORM IN-SITU SOIL SAMPLING.
SUBMIT RESULTS & DISPOSE FACILITY
LOAD OUT MATERIAL
RESTORE SITE AS PER PLAN / AS DIRECTED
BY ENGINEER.

14. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

(Please Attached)

15. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

(Please See Attached)

PROPOSAL

NO TEXT ON THIS PAGE



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

10/29/2020

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Alliant Insurance Services, Inc. 333 Earle Ovington Blvd., Ste 700 Uniondale NY 11553	CONTACT NAME: PHONE (A/C, No, Ext): E-MAIL ADDRESS:	
	INSURER(S) AFFORDING COVERAGE INSURER A : Travelers Indemnity Company INSURER B : Travelers Property Casualty Co INSURER C : Charter Oak Fire Insurance Com INSURER D : Endurance American Specialty I INSURER E : Evanston Insurance Company INSURER F : Navigators Insurance Company	
INSURED Grace Industries LLC 336 South Service Road Melville NY 11747	NAIC # 25658 25674 25615 41718 35378 42307	

COVERAGES

CERTIFICATE NUMBER: 78597118

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Contractual Liab GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:	Y	Y	VTC2K-CO-2E971115-IND-20	10/31/2020	10/31/2021	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 4,000,000 PRODUCTS - COMP/OP AGG \$ 4,000,000 \$
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY	Y	Y	VTC2J-CAP-2E971127-TIL-20	10/31/2020	10/31/2021	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> EXCESS LIAB DED <input type="checkbox"/> RETENTION \$	Y	Y	CUP-2P395637-20-25	10/31/2020	10/31/2021	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000 \$
C	<input checked="" type="checkbox"/> WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N	N/A	UB-5N496249-20-25-D	10/31/2020	10/31/2021	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
D	Excess Liability (Quota Share) Excess Liability (Quota Share) Excess Liability (Quota Share)	Y Y Y	Y Y Y	EXC30000824202 MKLV1EUE100732 NY20EXC943972IV	10/31/2020 10/31/2020 10/31/2020	10/31/2021 10/31/2021 10/31/2021	Occ.: \$2.5M p/o \$5M Occ.: \$2.5M p/o \$5M Occ.: \$7.5M p/o \$13M Agg:\$2.5M p/o \$5M Agg:\$2.5M p/o \$5M Agg:\$7.5M p/o \$13M

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
(See attached for additional policies)

Excess Liability (Quota Share), Policy # NHA091890, Eff: 10/31/2020, Exp: 10/31/2021, RSUI Indemnity Company, NAIC:22314, Occ/Agg: 5,500,000 p/o \$13,000,000
Excess Liability, Policy #EXN30002089500, Eff: 10/31/2020, Exp: 10/31/2021, Endurance American Specialty Insurance Company, NAIC: 41718, Occ/Agg: \$2,000,000
Professional/Pollution, Policy #: GPL742050707, Eff Date: 10/31/2020, Exp Date: 10/31/2021, Greenwich Insurance Company, NAIC: 22322, Per Occurrence: \$5,000,000, Aggregate: \$5,000,000
Evidence of Insurance

CERTIFICATE HOLDER**CANCELLATION 30 Days Notice of Cancellation**

Evidence of Insurance

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE



336 South Service Road
Melville, NY 11747

Michael P. Pino

Vice President Field Operations

Grace Industries LLC

VP Field Operations 2008-Present

Mr. Pino has over 40 years of experience within the construction and engineering fields. He has worked at Hawkeye, LLC for 8 years dealing with all aspects of field operations including cost engineering analysis, subcontractor supervision, scheduling, take-off and procurement, and field operations and planning. He has handled a range of complex jobs ranging from high-voltage underground work to substation and converter station interconnects, to large civil projects in environmentally sensitive areas.

Prior to Hawkeye, he worked at Yonkers Contracting Company, where he handled large highway and parkway jobs, supervising 100+ union craftsmen, subcontractors, and field operations and planning. Earlier in his career, he served as Project Engineer for Spencer White, and Prentis (subsidiary of Raymond, International) working on the Midland Nuclear Power Plant in Midland, Michigan.

Mr. Pino has a BSCE from Clarkson College of Technology in Potsdam, NY.

PROFESSIONAL EXPERIENCE

2008-Present	Grace Industries LLC – VP Field Operations
2001-2008	Hawkeye LLC – Superintendent
1987-2001	Yonkers Contracting Company, Inc. – Superintendent
1984-1987	Yonkers Contracting Company, Inc. – Project Engineer
1982-1984	Spencer, White & Prentis, Inc. – Project Engineer

RECENT PROJECTS

VP Field Operations

Supervision of all field related operations. Current projects:

• D261357: Reconstruction of Route 347 – NYSDOT	\$26.8 Million
• D261545: Reconstruction of Northern State Parkway Over Rt 110 - NYSDOT	\$55.7 Million
• D261588: Resurfacing I-684, Rehab 3 Bridges - NYSDOT	\$18.2 Million
• HBX1195 Replacement of Shore Road Circle Bridge – NYCDOT	\$17.5 Million
• LGA-124.060: Rehabilitation of CTB Concourse Alleyways – Port Authority	\$3.3 Million
• LGA-124.101: Rehabilitation of Taxiways R, S, P, & G – Port Authority	\$2.8 Million

Superintendent

NYSDOT – Southampton \$3 Million

SCDPW – Clean Air/Clean Water Bond Act \$300 Thousand

Keyspan - Jones Beach Project \$1 Million

Pike Co., - Walmart \$800 Thousand

- Prepare material take-off and procurement
- Supervise all field operations and planning
- Negotiate design change orders
- Revise scheduling to increase job profitability
- Organize with Subcontractors daily activities
- Implement cost engineering analysis

Superintendent

NYSDOT – Northern State Parkway \$80 Million

NYSDOT – Queens Midtown Viaduct \$104 Million

NYSDOT – Nassau Expressway Section B Stage 3 \$57.6 Million

NYSDOT – Nassau Expressway Section B Stage 5 \$21.7 Million

- Supervised 100+ union craftsman
- Organized Subcontractor activities
- Supervised all field operations and planning
- Negotiated design change orders
- Revised scheduling to increase job profitability

Project Engineer

NYSDOT – Nassau Expressway Section B Stage 2 \$24.6 Million

NYSDOT – Cross County Parkway \$13.9 Million

- Complied monthly billing
- Implemented cost engineering analysis
- Prepared material take-off and procurement

Project Engineer

Midland Nuclear Power Plant, Midland, MI

EDUCATION

BSCE, Clarkson College of Technology

Potsdam, NY 1980, GPA, 3.1/4.0

PROPOSAL

16. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "**Qualifications and Responsibility of Bidders**" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

PROPOSAL

NO TEXT ON THIS PAGE

LAURA CURRAN
NASSAU COUNTY EXECUTIVE



KENNETH G. ARNOLD, P.E.
COMMISSIONER

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
1194 PROSPECT AVENUE
WESTBURY, NEW YORK 11590-2723

VENDOR PORTAL ACKNOWLEDGMENT

Vendor Name: Grace Industries, LLC.
Contract Title: Bay Park Sewage Treatment Plant, contaminated soil hauling & disposal
Contract Number: S35123-L-384

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at www.nassaucountyny.gov by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.


Signature

2/16/21
Date

Richard C. Weyer
Print Name

If you attempted to register via the Portal but were unable to do so, please explain here:

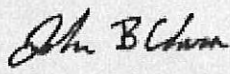
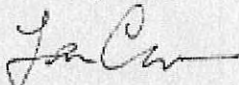
+++ NO TEXT THIS PAGE +++

LAURA CURRAN
NASSAU COUNTY EXECUTIVE

NASSAU COUNTY



Vendor Code of Ethics

POLICY/PROCEDURE TITLE: Nassau County Vendor Code of Ethics	DATE ISSUED: June 5 th , 2019
DEPARTMENT ISSUING: Executive – Compliance	AUTHORIZED and SIGNED BY:  Deputy County Executive For Compliance  County Executive

- POLICY:** The Office of the Nassau County Executive recognizes the importance of the vendor community in helping the County provide necessary services for the residents of Nassau County. It is the policy of the County Executive to ensure that all vendors doing business with Nassau County operate under the highest standards of legal and ethical conduct.
- PURPOSE:** To set forth a Code of Conduct for vendors to ensure that Nassau County Vendors are conducting their business with integrity, ethics, and compliance with all applicable laws and regulations.
- SCOPE:** All vendors doing business or seeking to do business with Nassau County as specified in the Code.



Vendor Code of Ethics

TABLE OF CONTENTS

Chapter 1: DEFINITIONS

Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

Section 2.01 Designated Point(s) of Contact

Chapter 3: GIFTS OR CONTINGENT FEES

Section 3.01 Zero Tolerance

Section 3.02 Personal Relationships

Section 3.03 Contingent Fees

Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

Section 4.01 Restrictions During the Procurement Process

Section 4.02 Restrictions Post Award

Chapter 5: CONFLICT OF INTEREST

Section 5.01 Financial Interest

Section 5.02 Personal Business Dealings

Section 5.03 Disclosure and Cooperation

Section 5.04 Confidential Information

Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

Section 6.01 Appearance Before Former Agency-Two Year Bar

Section 6.02 Appearance Before Former Agency-Life Time Bar

Chapter 7: NON-COLLUSION

Section 7.01 Independent Bid Assessment

Section 7.02 Non-Communication of Bid

Section 7.03 Bid Submission

Chapter 8: DISTRIBUTION AND CERTIFICATION

Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

Section 8.02 Vendor Certifications

Section 8.03 Subcontractor Certifications

Chapter 9: PENALTIES

Section 9.01 Responsibility Determination

Section 9.02 Civil/Criminal Penalties

Chapter 10: REPORTING OBLIGATION

Section 10.01 Reporting Gift Requests

Section 10.02 Reporting Material Changes



Vendor Code of Ethics

Section 10.03 Reporting Violations and Overpayments

Chapter 11: PROHIBITION ON RETALIATION

Section 11.01 Prohibition



Vendor Code of Ethics

Chapter 1: DEFINITIONS

As used in this Code, the following terms have the following meanings:

Adverse Job-Related Action includes any material alteration to existing terms, conditions, and privileges of employment, such as dismissal, demotion, suspension, compulsory leave, disciplinary action, creation of a hostile work environment, negative performance evaluation, any action resulting in loss of staff, office space or equipment or other benefit, reduction in compensation, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected employee.

Contact means any oral or written communication with any Nassau County Employee, other than the Designated Point(s) of Contact, where it could be reasonably inferred that such contact was intended to influence, or could reasonably be expected to influence, the subject of a County procurement.

Designated Point(s) of Contact means the individual(s) designated by the County to be a Vendor's only contact with Nassau County following the public advertisement of a solicitation or the issuance of a request for a bid, proposal, or quote for small purchase, until the award of a resulting contract and, where applicable, approval by the County Legislature. This timeframe, further defined in the State Procurement Lobbying Law, is also known as the Restricted Period.

Nassau County Employee means any officer, official or employee of Nassau County.

Family Member means (i) a Nassau County Employee's Spouse, Domestic Partner, Child, Sibling or Parent; (ii) a person who is a direct descendant (or the spouse of a direct descendant) of a Sibling of the Nassau County Employee or a Sibling of the Nassau County Employee's Spouse or Domestic Partner; or (iii) a person living in the same household as a Nassau County Employee.

Gift means the transfer, without equivalent consideration, of anything of benefit, tangible or intangible, having more than nominal value, including, but not limited to, cash, loans, forbearance,



Vendor Code of Ethics

services, travel, gratuities of any kind, favors, money, meals, refreshments, entertainment, hospitality, admittance to private clubs, use of time-shares, personal use of the Vendor's facilities, promises, tickets to entertainment or sporting events, weekend trips, golf outings, loans of equipment, or other thing or benefit. A Gift need not be intended to influence or reward any individual or entity.

Nassau County Code of Ethics means Nassau County Charter Section 2218, and the rules and regulations promulgated thereunder as may be amended or modified.

Participating Employee means any Vendor employee who engages in any written or oral communication of a non-clerical or non-administrative nature with Nassau County or with a Nassau County Employee(s) as part of or in connection with the procurement.

Participating Nassau County Employee means any Nassau County Employee who the Vendor knows, has reason to know, or can reasonably anticipate is involved in a specific procurement, in either a direct or decision-making capacity, but not in a clerical capacity. This includes but is not limited to the Designated Point of Contact, the project manager, the project manager's staff to the extent that they are involved in the procurement, members of selection committees, technical experts and negotiating teams.

Primary Contracting Party means a Vendor who intends to directly enter into or has a contract with Nassau County.

Retaliatory Action is defined as any Adverse Job-Related Action taken by, or at the direction or request of, a Vendor or a Vendors' Employees as a result of any individual's (i) good-faith report with respect to a violation or potential violation of this Code or the law; or (ii) cooperation in any investigation of unlawful conduct or misconduct conducted by Nassau County or by federal, state, or local law enforcement officials.

State Procurement Lobbying Law means New York State Finance Law Sections 139-j and 139-k, and the rules and regulations promulgated thereunder as may be amended or modified.

Vendor means any individual or entity seeking to or doing business



Vendor Code of Ethics

with Nassau County within the scope of this Code, including, without limitation, contractors, consultants, suppliers, manufacturers seeking to act as the primary contracting party, officers and employees of the foregoing, as well as any subcontractors, subconsultants and suppliers at all lower tiers.

Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

Section 2.01 Designated Point(s) of Contact

Each procurement solicitation issued by Nassau County will identify the Designated Point(s) of Contact for that solicitation as required by the State Procurement Lobbying Law. Once the Designated Point(s) of Contact is/are established, the Vendor and any person or entity acting on the Vendor's behalf, including without limitation, those providing compensated or uncompensated lobbying, advocacy, consulting or other services should ensure that its contacts with Nassau County are in compliance with the requirements of the State Procurement Lobbying Law.

Chapter 3: GIFTS OR CONTINGENT FEES

Section 3.01 Zero Tolerance

No Vendor may offer or give any Gift, directly or indirectly, to a Nassau County Employee. Similarly, no Vendor may offer or give any Gift, directly or indirectly, to any Family Member of a Nassau County employee where such Gift is made because of the Vendor's relationship with the Nassau County Employee. Additionally, no Vendor may accept a gift from a Nassau County Employee.

This Zero-Tolerance Policy applies regardless of actual intentions. In other words, even if a Gift does not, or is not intended to, influence an action or decision by a Nassau County Employee, it is prohibited by this Code.

Section 3.02 Personal Relationships

Notwithstanding the foregoing, if a Vendor has a pre-existing family or personal relationship with the Employee, a Gift that is wholly unconnected with the Employee's duties on behalf of Nassau County is



Vendor Code of Ethics

not necessarily prohibited.

In determining whether the giving of an item was motivated by personal rather than business concerns, the following factors are considered:

- (a) the history of the relationship between the donor and the recipient, including but not limited to the mutuality of gift giving;
- (b) whether the item was paid for by the donor.

The giving of an item shall not be considered to be motivated by a family or personal relationship if the donor seeks to charge or deduct the value of the item as a business expense or seeks reimbursement from a client.

However, regardless of the family or personal relationship between a Vendor and an employee, a Gift is strictly forbidden where it is being given under circumstances where it can reasonably be inferred that it was intended to influence the employee in the performance of his or her official duties.

Section 3.03 Contingent Fees

The Vendor will not employ or retain any individual or entity for the purpose of soliciting or securing a Nassau County contract upon any agreement or understanding for a commission, percentage, brokerage, or fee that is contingent or dependent upon the outcome of the procurement.

Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

Section 4.01 Restrictions During the Procurement Process

Vendors shall not discuss future employment with Participating Nassau County Employees or their Family Members from the date the procurement is advertised or solicited through 30 days following the date that the procurement is awarded, even if a Participating Nassau County Employee contacts the Vendor regarding employment. Questions regarding whether a particular Nassau County employee is a Participating Nassau County Employee for a specific



Vendor Code of Ethics

procurement should be directed to the Designated Point of Contact for the procurement.

Section 4.02 Restrictions Post Award

Vendors are prohibited from offering or discussing an employment opportunity with a Nassau County Employee or his or her Family Members before whom the Vendor has or expects to have a pending specific matter including, but not limited to, negotiations, performance evaluation, task order selection, approval of a voucher or invoice, or approval of or agreement to a contract amendment, change order, or deviation or waiver until:

- (i) 30 days from the time the matter before the Employee is closed, or
- (ii) 30 days from the time the Employee has no further involvement with the matter because of recusal or reassignment.

Chapter 5: CONFLICT OF INTEREST

Section 5.01 Financial Interest

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, as the case may be, may have a 10% or greater interest, nor shall the Vendor, nor any director, officer, principal, owner, or partner thereof, acquire a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract.

The Vendor will not permit an employee having a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract to be employed in the performance of the Nassau County contract.

Section 5.02 Personal Business Dealings

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, may have a non-County business dealing with a



Vendor Code of Ethics

Participating Nassau County Employee where it can be reasonably inferred that the purpose of the business dealing, at least in part, is to influence the Participating Nassau County Employee's action on a pending County matter.

Section 5.03 Disclosure and Cooperation

The Vendor shall disclose immediately to the County any real or potential conflict of interest of which it becomes aware. This obligation is ongoing and shall last through the completion of performance of the contract. The Vendor shall provide to Nassau County, at the County's request and upon such forms as may be furnished by Nassau County, a disclosure of organizational, financial, contractual or other affiliations with any organization or entity that has interests that may be substantially affected by the procurement solicitation or award. The Vendor shall fully cooperate in any inquiry or investigation undertaken by Nassau County to determine whether any such affiliations present a conflict of interest, or whether any other provision of this Code has been violated. The Vendor shall fully cooperate with audits, investigations, examinations and reviews by the Nassau County Inspector General conducted pursuant to section 187 of the Nassau County Charter.

Section 5.04 Confidential Information

At no time shall any Vendor who obtains confidential or proprietary Nassau County information in the course of doing or seeking to do business with the County disclose any such information to any person not authorized by Nassau County to receive such information or use such information for any personal gain except as necessary to fulfill its contractual obligations to Nassau County.

If the Vendor receives from any source confidential or proprietary Nassau County information prior to the award of a resulting contract and, where applicable, approval by the County Legislature, without the explicit approval of the Designated Point of Contact, the Vendor shall immediately so notify the Designated Point of Contact.

Nassau County confidential or proprietary information includes, but is not limited to, internal cost estimates and proposals submitted by other Vendors.



Vendor Code of Ethics

Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

No Vendor who participates in the development of a scope of work, solicitation documents, assessment criteria, contractual instruments or technical specifications may participate as a bidder, sub-bidder, proposer or sub-proposer on that particular procurement or perform any work on that particular procurement or any other procurement that would constitute an organizational conflict of interest or would give that Vendor an unfair advantage over other bidders or proposers on that procurement. This prohibition may be waived in writing by the County Chief Procurement Officer upon a showing of good cause.

Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

Section 6.01 Appearance Before Former Agency-Two Year Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear or practice before any Nassau County agency, either prior to award or in the performance of a Nassau County contract, for a period of two years after termination of the Nassau County Employee's services with the County.

Section 6.02 Appearance Before Former Agency-Life Time Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear, practice, communicate or otherwise render services before the agency that employed the officer or employee or any other agency of Nassau County, either prior to award or in the performance of an agency's contract in relation to any case, proceeding, application or transaction with respect to which such former officer or employee was directly concerned and in which he or she personally participated, or which was under his or her active consideration during the period of his or her employment. This provision is a lifetime bar on projects that the former Nassau County Employee previously worked on while employed by the County.



Vendor Code of Ethics

Chapter 7: NON-COLLUSION

Section 7.01 Independent Bid Assessment

The Vendor will calculate the price(s) contained in any bid or proposal independently, without collusion, consultation, communication, or agreement with any competing Vendor for the purpose of restricting competition.

Section 7.02 Non-Communication of Bid

Unless otherwise required by law, the price(s) which the Vendor quotes in its bid or proposal will not knowingly be disclosed by the Vendor, directly or indirectly, to any competing Vendor prior to the closing date for bids or proposals.

Section 7.03 Bid Submission

The Vendor will not make any attempt to induce any other individual or entity to submit or not to submit a bid or proposal.

Chapter 8: DISTRIBUTION AND CERTIFICATION

Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

As a condition of being considered for the award of any contract above the County's small purchase threshold of \$10,000, the Vendor will be required to distribute copies of the Nassau County Vendor Code of Ethics to all Participating Employees prior to any of those employee's participation in the procurement. The Code may be distributed either in hard copy or electronically as a separate PDF.

Additionally, as a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will be required to obtain an acknowledgement from each of its Participating Employees ("Participating Employee Acknowledgements") that they have received, read, understand, and will comply with the Nassau County Vendor Code of Ethics.



Vendor Code of Ethics

The Vendor's responsibility for distributing copies of the Nassau County Vendor Code of Ethics and obtaining such signed Participating Employee Acknowledgements is ongoing until completion of performance of the contract and shall be retained for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Receipt and retention of Participating Employee Acknowledgments by the Vendor shall be subject to audit by Nassau County.

Section 8.02 Vendor Certifications

The vendor by signing the final contract thereby certifies and attests to the following:

- (a) The Vendor has been provided with a copy of the Nassau County Vendor Code of Ethics and will comply with all of the provisions of the Code;
- (b) All of its Participating Employees during the course of procurement or contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to any of those employees' participation in the procurement;
- (c) All Participating Employees have completed the acknowledgement required by Section 8.01 of this Code;
- (d) The Vendor will retain all of the signed Participating Employee Acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County;
- (e) The Vendor will continue to distribute the Nassau County Vendor Code of Ethics, obtain signed Participating Employee Acknowledgements as new Participating Employees are added or changed during the contract period, and retain all of the signed acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.



Vendor Code of Ethics

Section 8.03 Subcontractor Certifications

As a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will obtain certifications executed by authorized officials from all of its lower tier subcontractors, subconsultants and suppliers (as well as from any other subcontractors, subconsultants and suppliers from whom that Vendor is soliciting or has received proposals for work on a Nassau County contract) whose employees have communicated or may communicate with Nassau County Employees. This obligation is ongoing and shall last through the completion of performance of the contract. Receipt and retention of lower tier certifications by the Vendor shall be subject to audit by Nassau County.



Vendor Code of Ethics

Chapter 9: PENALTIES

Section 9.01 Responsibility Determination

For violation of any provision of the Nassau County Vendor Code of Ethics, Nassau County may avail itself of every remedy in law or equity, or as agreed to by parties in any contract, including but not limited to declaring the Vendor non-responsible or in material breach of the contract.

Section 9.02 Civil/Criminal Penalties

Additionally, violation of the Nassau County Vendor Code of Ethics or a provision thereof may subject the Vendor to criminal or civil penalties under State or Federal law.

Chapter 10: REPORTING OBLIGATION

Section 10.01 Reporting Gift Requests

Notwithstanding the provisions of Chapter 4 above, the Vendor is obligated to immediately report to Nassau County's Inspector General and the County Chief Procurement Officer, any and all requests made to the Vendor by any Nassau County Employee for a Gift.

Section 10.02 Reporting Material Changes

The Vendor is under a continuing obligation to report any change in circumstances that materially affects any prior report to Nassau County to Department of Chief Contracting Officer, including but not limited to disclosure of conflicts of interest and representations made in the Contractor Responsibility Form.

Section 10.03 Reporting Violations and Overpayments

The Vendor is obligated to timely report in writing to Nassau County's Inspector General, in connection with the award, performance or closeout of the Nassau County contract or subcontract, any credible evidence of significant overpayments on the contract or that a principal, employee, agent or subcontractor has committed a



Vendor Code of Ethics

violation of law involving fraud, conflict of interest, bribery or gratuities.

Chapter 11: PROHIBITION ON RETALIATION

Section 11.01 Prohibition

To facilitate the reporting obligations under Chapter 10, this code strictly forbids all Vendors and Vendors' Employees from taking any Retaliatory Action against individuals who make such reports.



Vendor Code of Ethics

PARTICIPATING EMPLOYEE ACKNOWLEDGEMENT REGARDING NASSAU COUNTY VENDOR CODE OF ETHICS

Company: Grave Industries, LLC

Bid/Proposal No.: S35123-L38H

I, Richard C. Weyer, acknowledge that I have received and read the Nassau County Vendor Code of Ethics on 2/16/21 and that I understand it and will comply with this Code in my participation in procurements between Grave Industries, LLC (Vendor name) and Nassau County.

Executed this 16th day of February, 2021

By Richard C. Weyer Signature of Employee

Richard C. Weyer, Vice President Name and Title of Employee



Vendor Code of Ethics

CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.:

S35123-L38H

Project Description:

Bay Park Sewage Treatment Plant
Contaminated Soil Hauling and Disposal

The prospective lower tier participant _____ (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to Grave Industries, LLC [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this

16th

day of

February

20 21.

By

Signature of Authorized Official

Richard C. Weyer, E.V.P.

Name and Title of Authorized Official

N/A

PROPOSAL

NOTICE OF AWARD

PROPOSAL

NO TEXT ON THIS PAGE



APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL
INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

SCHOOL (631) 286-8677
FAX (631) 286-8683

247-C

UNION - P.O. BOX 206, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

February 11, 2021

Nassau County Dept. of Public Works
1194 Prospect Ave
Westbury, NY 11590

Re: Local 138 Apprenticeship School (the "Apprenticeship School")
NYS Sponsor # 00422
NYS ATP Code # 18318
Grace Industries LLC

**Contract #S35123-L38H – Bay Park Sewage Treatment Plant Contaminated Soil
Hauling & Disposal**

To Whom It May Concern,

This letter serves to confirm that the **Apprenticeship School** currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to Grace Industries LLC, to the Local 138 Agreement Covering Working Conditions, dated June 1, 2019 to May 31, 2023. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY
BUSINESS MANAGER & TREASURER
CHAIRMAN BOARD OF TRUSTEES
LOCAL 138 APPRENTICESHIP TRAINING FUND

LABORERS LOCAL UNION NO. 1298 JOINT APPRENTICESHIP TRAINING FUND



NASSAU & SUFFOLK COUNTIES

1161 LOCUST AVENUE
BOHEMIA, N.Y. 11716
Telephone: (631) 218-1376
Fax: (631) 218-1379



FUND ADMINISTRATOR

ANNALISA C. DEFALCO ESQ.

TRAINING DIRECTOR

STEVEN M. AURIGEMA

BOARD OF TRUSTEES

GEORGE F. TRUICKO JR.
GEORGE S. TRUICKO
FRANK DAMIANO JR.
JAMES WINSHIP
FRANK ALBERTO
GREGORY W. COUCH
JASON GOLDEN
MARC HERBST

Re: Road and Heavy Construction Laborers Local #1298
Local 1298 Joint Apprenticeship Training Program
NYS SPONSOR CODE # 01764
NYS ATP CODE # 18514

February 10, 2021

Owner : Nassau County Department of Public Works
Bid/Pin/Project No. : S35123-L38H
Contract : Bay Park Sewage Treatment Plant Soil Hauling and Disposal

TO WHOM IT MAY CONCERN:

This letter will serve to confirm that Local 1298 Joint Apprenticeship Training Program currently conducts a fully registered and approved New York State "Apprenticeship Training Program for Skilled Construction Craft Laborers" ("ATP"). The ATP is co-sponsored by Local 1298 and all employers/signatory contractors, including but not limited to Grace Industries LLC., to the Local 1298 Working Agreement Covering Wages and Working Conditions, dated June 1, 2012 to May 31, 2022.

Any additional questions should be directed to my attention.

Sincerely,

Steven M. Aurigema
Training Director
Road & Heavy Construction Laborers' Union Local 1298
Joint Apprenticeship Training Fund

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Edward Tackenberg, SVP.

Name and Title of Authorized Representative

05/18/2021

m/d/yy



Signature

5/18/2021

Date

Grace Industries LLC

Name of Organization

334 South Service Road, Melville, NY 11747

Address of Organization

**NYS Environmental Facilities Corporation
Minority- & Women- Owned Business Enterprise (MWBE) Utilization Plan**

Instructions for Contractors & Service Providers:

Contractors and Service Providers must complete Sections 2 and 3. **Submit the completed, signed (electronic signature box checked and dated) form in Microsoft Word format to the Recipient's designated Minority Business Officer (MBO) no later than the date of contract execution.** Incomplete forms will be found deficient. If more than 10 subcontractors are used, additional pages for Section 3 can be found on EFC's website.

If the prime contract is being performed by the parties to a Joint Venture, Teaming Agreement, or Mentor-Protégé Agreement that includes a certified MWBE, please contact EFC for assistance.

MWBE firms must be certified by the NYS Empire State Development Corporation (ESD) in order to be counted towards satisfaction of MWBE participation goals. The utilization of certified MWBEs for non-commercially useful functions may not be counted towards utilization of certified MWBEs in the Utilization Plan. Please note whether a firm is serving as a broker or supplier on the contract. A broker is denoted by NAICS code 425120 and is designated as a broker in ESD's MWBE Directory. A supplier is denoted by a NAICS code beginning with 423 or 424, or a NIGP code that does not begin with the number 9, and is designated as a supplier in ESD's MWBE Directory. If a firm is serving as a broker, please additionally provide the percentage of the broker's commission on the contract.

See the Bid Packet at www.efc.ny.gov or consult your designated MBO for further guidance.

Instructions for Minority Business Officers (MBO):

The MBO must complete Section 1. The MBO may designate an Authorized Representative to complete and submit quarterly payment reports on its behalf, and, if so designated, the MBO's Authorized Representative must also complete Section 1. The Authorized Representative may only submit quarterly payment reports on behalf of the MBO and may not submit any other required forms or reports for the MBO. The MBO must complete Section 1 even if designating an Authorized Representative. **Submit the completed, signed (electronic signature box checked and dated) form in Microsoft Word format via e-mail to your EFC MWBE Representative.**

The subject heading of the e-mail to the EFC MWBE Representative should follow the format "UP, Project Number, Contractor." EFC will review the Utilization Plan and notify the MBO via e-mail of its acceptance or denial.

Within 10 days of EFC's acceptance of a Utilization Plan, EFC will post the approved Utilization Plan on the EFC website.

**NYS Environmental Facilities Corporation
Minority- & Women- Owned Business Enterprise (MWBE) Utilization Plan**

SECTION 1: MUNICIPAL INFORMATION				
Recipient/Municipality:			County:	
Project No.:	GIGP/EPG No.:	Contract ID:	Registration No. (NYC only):	
Minority Business Officer:		Email:	Phone #:	
Address of MBO:				
Electronic Signature of MBO: I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief.				Date:
<i>Complete if applicable:</i>				
Authorized Representative:		Title:		
Authorized Rep. Company:		Email:	Phone #:	
Electronic Signature of Authorized Rep.: I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief.				Date:

SECTION 2: PRIME CONTRACTOR / SERVICE PROVIDER INFORMATION				
Firm Name:			Contract Type: Construction Other Services	
Prime Firm is Certified as: MBE WBE N/A Other: Please repeat information in the Utilization Plan below (Section 3). If dual certified, you must select either MBE or WBE.				
Address:		Phone #:	Fed. Employer ID #:	
Description of Work:				
Award Date:	Start Date:	Completion Date:	MWBE GOAL Total	PROPOSED MWBE Participation
Total Contract Amount: \$ MWBE Eligible Contract Amount: \$ (MWBE Goals are applied to this amount and includes all change orders, amendments, & waivers)			MBE: % \$	MBE: % \$
			WBE: % \$	WBE: % \$
			Total: % \$	Total: % \$

**NYS Environmental Facilities Corporation
Minority- & Women- Owned Business Enterprise (MWBE) Utilization Plan**

SECTION 3: MWBE SUBCONTRACTOR INFORMATION					
This Submittal is:	The First/Original Utilization Plan		Revised Utilization Plan #:		
NYS Certified M/WBE Subcontractor Info				Contract Amount:	
				MBE (\$)	WBE (\$)
Name:		Fed. Employer ID#:			
Address:		Phone #:			
Scope of Work:		Email:			
Select Only One: MBE WBE Other:		Start Date:			
Select Only One: Broker ___% Supplier N/A		Completion Date:			
Full Contract Amount: \$					
Name:		Fed. Employer ID#:			
Address:		Phone #:			
Scope of Work:		Email:			
Select Only One: MBE WBE Other:		Start Date:			
Select Only One: Broker ___% Supplier N/A		Completion Date:			
Full Contract Amount: \$					
Name:		Fed. Employer ID#:			
Address:		Phone #:			
Scope of Work:		Email:			
Select Only One: MBE WBE Other:		Start Date:			
Select Only One: Broker ___% Supplier N/A		Completion Date:			
Full Contract Amount: \$					
Name:		Fed. Employer ID#:			
Address:		Phone #:			
Scope of Work:		Email:			
Select Only One: MBE WBE Other:		Start Date:			
Select Only One: Broker ___% Supplier N/A		Completion Date:			
Full Contract Amount: \$					

**NYS Environmental Facilities Corporation
Minority- & Women- Owned Business Enterprise (MWBE) Utilization Plan**

SECTION 3: M/WBE SUBCONTRACTOR INFORMATION continued				
NYS Certified M/WBE Subcontractor Info		Contract Amount:		For EFC Use:
		MBE (\$)	WBE (\$)	
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: MBE WBE Other:	Start Date:			
Select Only One: Broker ___% Supplier N/A	Completion Date:			
Full Contract Amount: \$				
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: MBE WBE Other:	Start Date:			
Select Only One: Broker ___% Supplier N/A	Completion Date:			
Full Contract Amount: \$				
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: MBE WBE Other:	Start Date:			
Select Only One: Broker ___% Supplier N/A	Completion Date:			
Full Contract Amount: \$				
Name:	Fed. Employer ID#:			
Address:	Phone #:			
Scope of Work:	Email:			
Select Only One: MBE WBE Other:	Start Date:			
Select Only One: Broker ___% Supplier N/A	Completion Date:			
Full Contract Amount: \$				
SIGNATURE				
Electronic Signature of Contractor: I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and that all MWBE subcontractors will perform a commercially useful function.				Date:
Name (Please Type): <u>Alanna Johnson</u>				

**NYS Environmental Facilities Corporation
Service Disabled Veteran-Owned Business (SDVOB) Utilization Plan**

Instructions for Contractors & Service Providers:

Contractors and Service Providers must complete Sections 2 and 3. **Submit the completed, signed (electronic signature box checked and dated) form in Microsoft Word format to the Recipient's designated Minority Business Officer (MBO) no later than the date of contract execution.** Incomplete forms will be found deficient. If more than 10 subcontractors are used, additional pages for Section 3 can be found on EFC's website.

If the prime contract is being performed by the parties to a Joint Venture, Teaming Agreement, or Mentor-Protégé Agreement that includes a certified SDVOB, please contact EFC for assistance.

The utilization of certified SDVOBs for non-commercially useful functions may not be counted towards utilization of certified SDVOBs in the Utilization Plan. SDVOB firms must be certified by NYS Office of General Services in order to be counted towards satisfaction of SDVOB participation goals.

See the Bid Packet at www.efc.ny.gov or consult your designated MBO for further guidance.

Instructions for Minority Business Officers (MBO):

The MBO must complete Section 1. The MBO may designate an Authorized Representative to complete and submit quarterly payment reports on its behalf, and, if so designated, the MBO's Authorized Representative must also complete Section 1. The Authorized Representative may only submit quarterly payment reports on behalf of the MBO and may not submit any other required forms or reports for the MBO. The MBO must complete Section 1 even if designating an Authorized Representative. **Submit the completed, signed (electronic signature box checked and dated) form in Microsoft Word format via e-mail to your EFC MWBE-SDVOB Representative.**

The subject heading of the e-mail to the EFC MWBE-SDVOB Representative should follow the format "UP, Project Number, Contractor." EFC will review the Utilization Plan and notify the MBO via e-mail of its acceptance or denial.

Within 10 days of EFC's acceptance of a Utilization Plan, EFC will post the approved Utilization Plan on the EFC website.

**NYS Environmental Facilities Corporation
Service Disabled Veteran-Owned Business (SDVOB) Utilization Plan**

SECTION 1: MUNICIPAL INFORMATION				
Recipient/Municipality:			County:	
Project No.:	GIGP/EPG No.:	Contract ID:	Registration No. (NYC only):	
Minority Business Officer:		Email:	Phone #:	
Address of MBO:				
Electronic Signature of MBO: <input type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief.				Date:
Complete if applicable:				
Authorized Representative:		Title:		
Authorized Rep. Company:		Email:	Phone #:	
Electronic Signature of Authorized Rep.: <input type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief.				Date:

SECTION 2: PRIME CONTRACTOR / SERVICE PROVIDER INFORMATION				
Firm Name: Grace Industries LLC			Contract Type: <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Other Services	
Prime Firm is Certified as: <input type="checkbox"/> SDVOB Please repeat information in the Utilization Plan below (Section 3).				
Address: 336 South Service Road Melville, NY 11747		Phone #: 516-336-6720	Fed. Employer ID #: 20-8054737	
Description of Work: LOAD / HAUL / DISPOSE OF CONTAMINATED SOIL				
Award Date: TBD	Start Date: 09/01/2021	Completion Date: 10/15/2021	SDVOB GOAL Total	PROPOSED SDVOB Participation
Total Contract Amount: \$ 1,484,120.00 SDVOB Eligible Contract Amount: \$ 90,000.00 (Goals are applied to this amount and includes all change orders, amendments, & waivers)			Total: 6% \$ 89,047.20	Total: 6.06% \$ 90,000.00

**NYS Environmental Facilities Corporation
Service Disabled Veteran-Owned Business (SDVOB) Utilization Plan**

SECTION 3: SDVOB SUBCONTRACTOR INFORMATION			
This Submittal is:	<input checked="" type="checkbox"/> The First/Original Utilization Plan <input type="checkbox"/> Revised Utilization Plan #:		
NYS Certified SDVOB Subcontractor Info		Participation:	For EFC Use:
		SDVOB (\$)	
Name: Global Outsourcing Services, Inc. dba GOS Technical Services	Fed. Employer ID#: 3325578	\$90,000.00	
Address: 40 Fleetwood Court Ronkonkoma, New York 11779	DSDVBD Control #:		
Scope of Work: Item 4- Truck Only (\$90,000)	Phone #: 631-471-6798		
Full Subcontract Amount: \$ 90,000.00	Email: bmurray@gostechnicalservices.com		
Start Date: September 2021	Completion Date: October 2021		
Name:	Fed. Employer ID#:		
Address:	DSDVBD Control #:		
Scope of Work:	Phone #:		
Full Subcontract Amount: \$	Email:		
Start Date:	Completion Date:		
Name:	Fed. Employer ID#:		
Address:	DSDVBD Control #:		
Scope of Work:	Phone #:		
Full Subcontract Amount: \$	Email:		
Start Date:	Completion Date:		
Name:	Fed. Employer ID#:		
Address:	DSDVBD Control #:		
Scope of Work:	Phone #:		
Full Subcontract Amount: \$	Email:		
Start Date:	Completion Date:		
Name:	Fed. Employer ID#:		
Address:	DSDVBD Control #:		
Scope of Work:	Phone #:		
Full Subcontract Amount: \$	Email:		
Start Date:	Completion Date:		

**NYS Environmental Facilities Corporation
Service Disabled Veteran-Owned Business (SDVOB) Utilization Plan**

SECTION 3: SDVOB SUBCONTRACTOR INFORMATION continued			
Name:	Fed. Employer ID#:		
Address:	DSDVBD Control #:		
Scope of Work:	Phone #:		
Full Subcontract Amount: \$	Email:		
Start Date:	Completion Date:		
Name:	Fed. Employer ID#:		
Address:	DSDVBD Control #:		
Scope of Work:	Phone #:		
Full Subcontract Amount: \$	Email:		
Start Date:	Completion Date:		
Name:	Fed. Employer ID#:		
Address:	DSDVBD Control #:		
Scope of Work:	Phone #:		
Full Subcontract Amount: \$	Email:		
Start Date:	Completion Date:		
Name:	Fed. Employer ID#:		
Address:	DSDVBD Control #:		
Scope of Work:	Phone #:		
Full Subcontract Amount: \$	Email:		
Start Date:	Completion Date:		

SIGNATURE	
Electronic Signature of Contractor: <input checked="" type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and that all SDVOB subcontractors will perform a commercially useful function. Name (Please Type): Alanna Fisher	Date: 6/21/2021