



Certified: --

B-8-22

FILED WITH THE CLERK OF THE NASSAU
COUNTY LEGISLATURE MARCH 22, 2022
11:30AM

NIFS ID: H61587-PR8

Capital: **X**

Contract ID #: **H61587-PR8**

NIFS Entry Date:

Department: Public Works

Service: **H61587-PR8 Priority Resurfacing Phase 8**

Term: **180 days from Notice to Proceed**

Contract Delayed:

Slip Type: New		
CRP:		
Blanket Resolution:		
Revenue:	Federal Aid:	State Aid:
Vendor Submitted an Unsolicited Solicitation:		

1) Mandated Program:	No
2) Comptroller Approval Form Attached:	Yes
3) CSEA Agmt. & 32 Compliance Attached:	Yes
4) Significant Adverse Information Identified? (if yes, attach memo):	No
5) Insurance Required	No

Vendor/Municipality Info:	
Name: Pratt Brothers	ID#: 161620294
Main Address: 45 South 4th Street Bay Shore, NY 11706	
Main Contact: Joseph Williams	
Main Phone: (631) 667-6800	

Department:
Contact Name: Daniel Wong
Address: 1194 Prospect Ave Westbury, NY 11590
Phone: (516) 571-6886
Email: dwong@nassaucountyny.gov, VToscano@nassaucountyny.gov,CPaggi@nassaucountyny.gov, LDionisio@nassaucountyny.gov, EKobel@nassaucountyny.gov

Contract Summary

Purpose: This is a contract for resurfacing over fifty lane miles of County roads in the Town of Hempstead.
Method of Procurement: The Contractor was selected through an open competitive bidding process. The bid was advertised as follows: Newsday 10/20/2021, 11/23/2021; NYSCR 10/20/2021 - 12/07/2021; eProcure 10/22/2021 - 12/07/2021. Five bids were received with Pratt Brothers, Inc. being the lowest responsible bidder.
Procurement History: The bids were received on December 7, 2021, for the above referenced contract. Bids have been examined and the contract bid amount of \$7,992,465.65 submitted by Pratt Brothers, Inc. is acceptable as the low bid. Therefore, it is recommended to award the contract to the lowest responsible bidder, Pratt Brothers, Inc.
Description of General Provisions: This is a unit price contract.
Impact on Funding / Price Analysis: Funding being encumbered will come from approved and available Capital Project Number 61587-PR8.
Change in Contract from Prior Procurement: This is a New Contract - no prior procurement.
Recommendation: Approve as Submitted

Advisement Information

Fund	Control	Responsibility Center	Object	Index Code	Sub Object	Budget Code	Line	Amount
CAP			00	PWCAPCAP	00004	PWCAPCAP 00004 61587 PR8	01	\$7,992,465.65
Project Number		61587						
Project Detail		PR8						
TOTAL							\$7,992,465.65	

Additional Info	
Blanket Encumbrance	
Transaction	109
Renewal	
% Increase	
% Decrease	

Funding Source	Amount
Revenue Contract:	
County	\$0.00
Federal	\$0.00
State	\$0.00
Capital	\$7,992,465.65
Other	\$0.00
Total	\$7,992,465.65

Routing Slip

Department			
NIFS Entry	Elizabeth Kobel	03/04/2022 03:00PM	Approved
NIFS Final Approval	Roseann D'Alleva	03/04/2022 04:04PM	Approved
Final Approval	Roseann D'Alleva	03/04/2022 04:04PM	Approved
DPW			
Capital Fund Approval	Roseann D'Alleva	03/04/2022 04:05PM	Approved
Final Approval	Roseann D'Alleva	03/04/2022 04:05PM	Approved
OMB			
NIFS Approval	Nadiya Gumieniak	03/04/2022 04:23PM	Approved
NIFA Approval	Christopher Nolan	03/15/2022 04:17PM	Approved
Final Approval	Christopher Nolan	03/15/2022 04:17PM	Approved
County Attorney			
RE & Insurance Verification	Andrew Amato	03/07/2022 09:22AM	Approved
Approval as to Form	Nick Sarandis	03/07/2022 09:28AM	Approved
NIFS Approval	Daniel Gregware	03/07/2022 09:40AM	Approved
Final Approval	Daniel Gregware	03/07/2022 09:40AM	Approved
Compliance & Vertical DCE			
Procurement Compliance Approval	Robert Cleary	03/16/2022 04:45PM	Approved
DCE Compliance Approval	Robert Cleary	03/16/2022 04:45PM	Approved
Vertical DCE Approval	Edward Powers	03/17/2022 08:57AM	Approved
Final Approval	Edward Powers	03/17/2022 08:57AM	Approved
Legislative Affairs Review			
Final Approval	Christopher Leimone	03/22/2022 10:48AM	Approved

Legislature			
Final Approval			In Progress
DPW NIFS Field Entry			
Final Approval			Pending
Comptroller			
Intake Approval			Pending
Claims Approval			Pending
Legal Approval			Pending
Accounting / NIFS Approval			Pending
Deputy Approval			Pending
Final Approval			Pending
NIFA			
NIFA Approval			Pending

B08-22

RULES RESOLUTION NO. -2022

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND PRATT BROTHERS, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS [“Department”] has received competitive bids for contract H61587-PR8, for NASSAU COUNTY PRIORITY RESURFACING PHASE 8 - NASSAU COUNTY, NY [“Contract”], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of PRATT BROTHERS, INC.

[“Vendor”] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 7,992,465.65, now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature,
based on the representations of the Department and the recommendation of the
Commissioner of the Department, authorizes the County Executive to award and execute
the said contract with the vendor.

BID BOND

FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to **USE THIS FORM PROVIDED** as the use of **ANY OTHER FORM** may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned Pratt Brothers, Inc.

as Principal; and Philadelphia Indemnity Insurance Company as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

Ten Percent of Amount Bid dollars (\$ 10% of Amount Bid) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 18th day of November, 20 21

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under Contract No. H61587-PR8 for the

Nassau County Priority Resurfacing of Various Roads Phase 8

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

Provided, however, that this bond is subject to the following additional conditions and limitations.

a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.

b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

Pratt Brothers, Inc.
Contractor

by


Joseph M. Williams Jr., P.E.
Senior Vice President

(L.S.)

(Corporate seal of
Contractor
Title if a corporation)

by _____ (L.S.)

Title


by _____ (L.S.)

Title

Philadelphia Indemnity Insurance Company

Surety

by


Title of Officer Joseph Tantillo Attorney-In-Fact

(L.S.)

Attest:


Title of Officer

(L.S.)

(Corporate seal
of Surety)

WITNESS Jr.

(Acknowledgment by Contractor if a corporation)

STATE OF New York)

ss.:

COUNTY OF Suffolk)

On this 3rd day of December, 2021, before me personally came Joseph M. Williams Jr., P.E. to me known, who, being by me duly sworn did depose and say for himself, that he resides in [REDACTED] that he is the Sr. Vice President of the Pratt Brothers, Inc. the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.

Carol A. Miller
Notary Public

(Acknowledgment by Contractor if a partnership)

CAROL A. MILLER
Notary Public, State of New York
No. 5002326
Qualified in Suffolk County
Commission Expires Sept. 28, 2022

STATE OF _____)

ss.:

COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____ to me known and known to me to be a member of the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF _____)

ss.:

COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

Notary Public

(Acknowledgment by Surety Company)


STATE OF New York)

SS.:

COUNTY OF Suffolk)

On this 18th day of November, 2021, before me personally came Joseph Tantillo to me Known, who being by me duly sworn, did depose and say that he resides in [REDACTED]

that he is the Attorney-In-Fact of the Philadelphia Indemnity Insurance Company, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said Marie Fattoruso further said that he is acquainted with Joseph Tantillo and knows him to be the Attorney-In-Fact of said company; that the signature of the said Joseph Tantillo subscribed to the within instrument is in the genuine handwriting of the said Joseph Tantillo and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Marie Fattoruso.


Notary Public

MARIE FATTORUSO
Notary Public, State of New York
No. 01FA6355998
Qualified in Suffolk County
Commission Expires March 20, 2025

POWER OF ATTORNEY

ATTENTION: Insert the "Power of Attorney" after this page in with your bid submission.

PHILADELPHIA INDEMNITY INSURANCE COMPANY

One Bala Plaza, Suite 100
Bala Cynwyd, PA 19004-0950

Power of Attorney

KNOW ALL PERSONS BY THESE PRESENTS: That **PHILADELPHIA INDEMNITY INSURANCE COMPANY** (the Company), a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, does hereby constitute and appoint **Joseph Tantillo and Christopher Catera of Coverages Unlimited, Inc.**, its true and lawful Attorney-in-fact with full authority to execute on its behalf bonds, undertakings, recognizances and other contracts of indemnity and writings obligatory in the nature thereof, issued in the course of its business and to bind the Company thereby, in an amount not to exceed **\$50,000,000**.

This Power of Attorney is granted and is signed and sealed by facsimile under and by the authority of the following Resolution adopted by the Board of Directors of PHILADELPHIA INDEMNITY INSURANCE COMPANY on the 14th of November, 2016.

RESOLVED: That the Board of Directors hereby authorizes the President or any Vice President of the Company: (1) Appoint Attorney(s) in Fact and authorize the Attorney(s) in Fact to execute on behalf of the Company bonds and undertakings, contracts of indemnity and other writings obligatory in the nature thereof and to attach the seal of the Company thereto; and (2) to remove, at any time, any such Attorney-in-Fact and revoke the authority given. And, be it

FURTHER RESOLVED: That the signatures of such officers and the seal of the Company may be affixed to any such Power of Attorney or certificate relating thereto by facsimile, and any such Power of Attorney so executed and certified by facsimile signatures and facsimile seal shall be valid and binding upon the Company in the future with respect to any bond or undertaking to which it is attached.

IN TESTIMONY WHEREOF, PHILADELPHIA INDEMNITY INSURANCE COMPANY HAS CAUSED THIS INSTRUMENT TO BE SIGNED AND ITS CORPORATE SEAL TO BE AFFIXED BY ITS AUTHORIZED OFFICE THIS 5TH DAY OF MARCH, 2021.



(Seal)

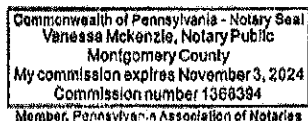
A handwritten signature in black ink, appearing to read "John Glomb".

John Glomb, President & CEO
Philadelphia Indemnity Insurance Company

On this 5th day of March, 2021 before me came the individual who executed the preceding instrument, to me personally known, and being by me duly sworn said that he is the therein described and authorized officer of the **PHILADELPHIA INDEMNITY INSURANCE COMPANY**; that the seal affixed to said instrument is the Corporate seal of said Company; that the said Corporate Seal and his signature were duly affixed.

Notary Public:

A handwritten signature in black ink, appearing to read "Vanessa McKenzie".



residing at: Bala Cynwyd, PA

My commission expires: November 3, 2024

I, Edward Sayago, Corporate Secretary of PHILADELPHIA INDEMNITY INSURANCE COMPANY, do hereby certify that the foregoing resolution of the Board of Directors and the Power of Attorney issued pursuant thereto on the 5th day March, 2021 are true and correct and are still in full force and effect. I do further certify that John Glomb, who executed the Power of Attorney as President, was on the date of execution of the attached Power of Attorney the duly elected President of PHILADELPHIA INDEMNITY INSURANCE COMPANY.

In Testimony Whereof I have subscribed my name and affixed the facsimile seal of each Company this 18th day of November, 2021

A handwritten signature in black ink, appearing to read "Edward Sayago".

Edward Sayago, Corporate Secretary
PHILADELPHIA INDEMNITY INSURANCE COMPANY



FINANCIAL STATEMENT

ATTENTION: Insert the "Financial Statement" after this page in with your bid submission.

PHILADELPHIA INDEMNITY INSURANCE COMPANY

Statutory Statements of Admitted Assets, Liabilities and Capital and Surplus (in thousands, except par value and share amounts)

Admitted Assets

	As of December 31,	
	2020	2019
Bonds (fair value \$8,041,263 and \$7,329,360)	\$ 7,601,946	\$ 7,059,903
Preferred stocks (fair value \$16,537 and \$23,575)	15,673	22,761
Common stocks (cost \$52,609 and \$65,563)	43,373	64,634
Mortgage loans	821,250	803,679
Real estate	29,973	10,805
Other invested assets (cost \$203,028 and \$231,120)	215,589	243,127
Receivables for securities sold	943	684
Cash, cash equivalents and short-term investments	34,279	59,534
Cash and invested assets	8,763,026	8,264,627
Premiums receivable, agents' balances and other receivables	908,602	874,835
Reinsurance recoverable on paid loss and loss adjustment expenses	38,737	54,706
Accrued investment income	74,070	76,312
Receivable from affiliates	7,586	657
Federal income taxes receivable	-	28,027
Net deferred tax assets	138,129	134,628
Other assets	4,997	3,541
Total admitted assets	\$ 9,935,147	\$ 9,437,333

Liabilities and Capital and Surplus

Liabilities:

Unpaid loss and loss adjustment expenses	\$ 5,218,304	\$ 5,007,616
Unearned premiums	1,582,116	1,597,243
Reinsurance payable on paid loss and loss adjustment expenses	30,398	45,391
Ceded reinsurance premiums payable	108,936	100,299
Commissions payable, contingent commissions and other similar charges	214,389	216,136
Federal income taxes payable	8,480	-
Funds held	77,256	66,937
Payable to affiliates	18,486	16,383
Provision for reinsurance	87	78
Payable for securities purchased	17,820	58,784
Accrued expenses and other liabilities	32,170	27,116
Total liabilities	7,308,442	7,135,983

Capital:

Common stock, par value of \$10 per share; 1,000,000 shares authorized, 450,000 shares issued and outstanding

4,500 4,500

Surplus:

Gross paid-in and contributed surplus	386,071	386,071
Unassigned surplus	2,236,134	1,910,779
Total surplus	2,622,205	2,296,850
Total capital and surplus	2,626,705	2,301,350
Total liabilities and capital and surplus	\$ 9,935,147	\$ 9,437,333

The undersigned, being duly sworn, says: That she is the Executive Vice President and Chief Financial Officer of Philadelphia Indemnity Insurance Company; that said Company is a corporation duly organized in the state of Pennsylvania, and licensed and engaged in the State of Pennsylvania and has duly complied with all the requirements of the laws of the said State applicable of the said Company and is duly qualified to act as Surety under such laws; that said Company has also complied with and is duly qualified to act as Surety under the Act of Congress. And that to the best of her knowledge and belief the above statement is a full, true and correct statement of

Commonwealth of Pennsylvania - Notary Seal
Kimberly A. Kessleski, Notary Public
Montgomery County
My commission expires December 18, 2024
Commission number 1245769
Member, Pennsylvania Association of Notaries

Attest:

Sworn to before me this 26th day of May 2021.

DocuSigned by:

Karen Gilmer-Pauciello

Karen Gilmer-Pauciello, EVP & CFO

Kimberly A. Kessleski
Kimberly Kessleski, Notary

CERTIFICATE OF SOLVENCY

ATTENTION: Insert the "Certificate of Solvency" after this page in with your bid submission.

**CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW
YORK INSURANCE LAW**

STATE OF NEW YORK

DEPARTMENT OF FINANCIAL SERVICES

It is hereby certified that

Philadelphia Indemnity Insurance Company

Of Bala Cynwyd, Pennsylvania

a corporation organized under the laws of the State of Pennsylvania and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$2,468,560,818 (Capital \$4,500,000) as is shown by its sworn financial statement for the first quarter ending March 31, 2019, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have

unto set my hand and affixed

official seal of this Department
in the City of Albany, this

6th day of June 2019

Linda A. Lacewell
Acting Superintendent

By

Ellen R Buxbaum
Special Deputy Superintendent

PROPOSAL
To the County of Nassau
PRIORITY RESURFACING PHASE 8

Contract No. H61587-PR8

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Advertisement for Bids and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the plans and specifications for the amount named in the proposal hereinafter described.

In making this proposal the Bidder hereby declares that the Addenda which has been issued by the County of Nassau and has been received by him, that all provisions thereof have been complied with in preparing his bids.

Name of Bidder: Pratt Brothers, Inc.
(Individual, Firm or Corporation, as case may be)

Bidder's Address: 45 South Fourth Street, Bay Shore, NY 11706

Telephone: 631-667-6800 Date: November 19, 2021

FAX Tele: 631-289-6119 E-Mail: jwilliams@

NOTE: IF BIDDER IS A FIRM, FILL IN THE FOLLOWING BLANKS:

Name of Partners

Residence of Partners

NOTE: IF BIDDER IS A CORPORATION, FILL IN THE FOLLOWING BLANKS:

Organized under the laws of the State of: New York

Name of President: James J. Pratt, III

President's Domicile: _____

Name of Vice Pres: Thomas Pratt

Vice Pres's Domicile _____

Corporate Officer: Joseph M. Williams, Jr., P.E. Title: Sr. Vice President

Corporate Officer's Domicile: _____

Corporate Officer: Edward P. McCoy, Jr. Title: Vice President

Corporate Officer's Domicile: _____

NO TEXT ON THIS PAGE

The Following Paragraphs are Applicable to the Contract

THE BIDDER AFFIRMS AND DECLARES:

1. That the above bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same work, and is in all respects fair and without collusion or fraud.
3. That said bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety or otherwise, upon any obligation to the County of Nassau.
4. That no officer nor employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the site of the work and that, from his own investigations, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of equipment, other facilities needed for the performance of the work, the general and local conditions, and all other items which may, in any way, affect the work or its performance.
6. The bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach, the contractor shall be required to have such a license.

INFORMATION FOR BIDDERS

I. Rejection of Bids.

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. A determination that the bidder is not responsible is made in accordance with law; or if
6. The bid, in the opinion of the Commissioner, contains unbalanced bid prices, unless the bidder can show that the prices are not unbalanced for the probably required quantity of such items.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

CPIN		Page 1	Date	Cont H61587-PR8	Brought Forward		
Item Number	Estimate of Quantities	Items with unit bid price written in words			Unit Bid Price	Amount Bid	
1X	50.00	Sq Yd	, Clearing & Grubbing			1.000	50.00
		FOR ONE DOLLAR NO CENTS					
2	1,200.00	Cu Yd	, Unclassified Excavation			1.000	1,200.00
		FOR ONE DOLLAR NO CENTS					
3	50.00	Cu. Yards	, Trench, Culvert & Bridge Excavation			20.000	1,000.00
		FOR TWENTY DOLLARS NO CENTS					
4A	4,750.00	Sq Yd	, Cement Concrete Breaking Pavement			0.010	47.50
		FOR ZERO DOLLARS ONE CENT					
4B	20.00	Cu Yd	, Cement Concrete Breaking Structures			0.010	0.20
		FOR ZERO DOLLARS ONE CENT					
					Carry Forward		

CPIN		Page 2	Date	Cont H61587-PR8		Brought Forward	
Item Number	Estimate of Quantities	Items with unit bid price written in words				Unit Bid Price	Amount Bid
5C	160.00	Cu Yd	, Selected Fill				
		FOR ONE DOLLAR NO CENTS					1.000
							160.00

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
12HX	400.00	Each , Clean Existing Catch Basins FOR <u>EIGHT HUNDRED DOLLARS NO CENTS</u> _____ _____ _____	800.000	320,000.00
13A	10.00	Cu Yd , Catch Basins FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____	1.000	10.00
13B	10.00	Cu Yd , Manholes FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____	1.000	10.00
14	5.00	Each , Connections to Existing Drainage Facilities FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____	1.000	5.00
15	5.00	Each , Altering Catch Basins FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____	1.000	5.00
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
15X	20.00	Each , Rehabilitation of Catch Basins FOR <u>FOUR THOUSAND DOLLARS NO CENTS</u> _____ _____	4,000.000	80,000.00
16X	40.00	Each , Altering Brick Manholes FOR <u>ONE THOUSAND SEVEN HUNDRED FIFTY DOLLARS NO CENTS</u> _____ _____	1,750.000	70,000.00
17A	10.00	Cu Yd , Class A Concrete for Structures FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____	0.010	0.10
24	20.00	Cu Yd , Concrete Pavement FOR <u>EIGHT HUNDRED DOLLARS NO CENTS</u> _____ _____	800.000	16,000.00
24V	25.00	Cu Yd , Concrete Valley Gutter FOR <u>EIGHT HUNDRED DOLLARS NO CENTS</u> _____ _____	800.000	20,000.00
			Carry Forward	

CPIN		Page 5	Date	Cont H61587-PR8		Brought Forward	
Item Number	Estimate of Quantities	Items with unit bid price written in words				Unit Bid Price	Amount Bid
26	1,500.00	Lin Ft	, Concrete Curb				
		FOR	FORTY FOUR DOLLARS NO CENTS				44.000
			PER Lin Ft				66,000.00
26CG	400.00	Lin Ft	, Combination Curb & Gutter				
		FOR	SIXTY FIVE DOLLARS NO CENTS				65.000
			PER Lin Ft				26,000.00
27	3,000.00	Sq Ft	, Concrete Sidewalk				
		FOR	FIFTEEN DOLLARS NO CENTS				15.000
			PER Sq Ft				45,000.00
27DW	200.00	Sq Ft	, Detectable Warning Surface				
		FOR	FIFTY DOLLARS NO CENTS				50.000
			PER Sq Ft				10,000.00
28	350.00	Sq Ft	, Concrete Driveway & Aprons				
		FOR	NINETEEN DOLLARS NO CENTS				19.000
			PER Sq Ft				6,650.00
			PER Sq Ft				Carry Forward

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
29	200.00	Sq ft, Driveway Restoration FOR ZERO DOLLARS ONE CENT	0.010	2.00
30	4,750.00	Sq Yd, Metal Reinforcement for Conc. Pvmnt FOR ONE DOLLAR NO CENTS	1.000	4,750.00
31	500.00	Lin Ft, Transverse Joint Supports FOR ONE DOLLAR NO CENTS	1.000	500.00
32A	250.00	Each, Longitudinal Joint Ties Pavement FOR ZERO DOLLARS ONE CENT	0.010	2.50
32X	250.00	Each, Joint Ties Grout Type FOR ZERO DOLLARS ONE CENT	0.010	2.50
Carry Forward				

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
32X-1	2,500.00	Each , Load Transfer Device for Conc Pvmnt Repairs FOR <u>ONE DOLLAR NO CENTS</u>	1.000	2,500.00
33	1,000.00	Lb , Bar Reinforcement for Structures FOR <u>ZERO DOLLARS ONE CENT</u>	0.010	10.00
34	35,000.00	Lb , Misc Metals FOR <u>ONE DOLLAR NO CENTS</u>	1.000	35,000.00
36CX	220.00	Ton , Asphalt Truing & Leveling Type 1A For Cracks in Asphalt Pvmnt FOR <u>ONE DOLLAR NO CENTS</u>	1.000	220.00
36DRAR	34,500.00	Tons , Rut Avoidance Asphalt Type 1A (Top RA Resurfacing) FOR <u>ONE HUNDRED TWENTY ONE DOLLARS FIFTEEN CENTS</u>	121.150	4,179,675.00
			Carry Forward	

CPIN	Page 8	Date	Cont H61587-PR8	Brought Forward	
Item Number	Estimate of Quantities	Items with unit bid price written in words		Unit Bid Price	Amount Bid
58A	100.00	Lin Ft	, Sawcutting Existing Non Roadway Asphalt	0.010	1.00
		FOR <u>ZERO DOLLARS ONE CENT</u>			
58RPC	2,000.00	Lin Ft	, Sawcutting Existing Roadway Pavement & Concrete	5.000	10,000.00
		FOR <u>FIVE DOLLARS NO CENTS</u>			
102D	400.00	Day	, Flashing Arrow Board	25.000	10,000.00
		FOR <u>TWENTY FIVE DOLLARS NO CENTS</u>			
102PVMS	500.00	Day	, Portable Variable Message Sign	40.000	20,000.00
		FOR <u>FORTY DOLLARS NO CENTS</u>			
102X	100.00	Day	, Work Zone Traffic Control Day	1.000	100.00
		FOR <u>ONE DOLLAR NO CENTS</u>			
				Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words			Brought Forward	
					Unit Bid Price	Amount Bid
102Y	75.00	Days	, Work Zone Traffic Control Night			
		FOR	ONE DOLLAR NO CENTS		1.000	75.00
				PER Days		
111	1,500.00	Sq Yd	, Removal & Replacement of Pavement			
		FOR	ONE DOLLAR NO CENTS		1.000	1,500.00
				PER Sq Yd		
112	100.00	Each	, Adjusting Manholes			
		FOR	FIVE HUNDRED DOLLARS NO CENTS		500.000	50,000.00
				PER Each		
114	300.00	Each	, Adjustment of Water Valve Box Elevation			
		FOR	SEVENTY FIVE DOLLARS NO CENTS		75.000	22,500.00
				PER Each		
115	5,000.00	Lin Ft	, Butt Joints			
		FOR	FIVE DOLLARS NO CENTS		5.000	25,000.00
				PER Lin Ft		
					Carry Forward	

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Item Number	Estimate of Quantities	Items with unit bid price written in words			Brought Forward	
					Unit Bid Price	Amount Bid
199	1.00	Lump Sum	, Interim Payments (Force)		200,000.000	200,000.00
		FOR TWO HUNDRED THOUSAND DOLLARS NO CENTS				
199A	1.00	Lump Sum	, Asphalt Price Adjustment (Force)	PER Lump Sum		
		FOR TEN THOUSAND DOLLARS NO CENTS			10,000.000	10,000.00
200	100.00	Lin Ft	, Heavy Post Plastic & Synthetic Blocked Out Galvanized Corrugated Steel f	PER Lump Sum		
		FOR ZERO DOLLARS ONE CENT			0.010	1.00
202	2.00	Each	, Anchorage Units for Heavy Post Blocked Out Corrugated Beam Guide Ra	PER Lin Ft		
		FOR ZERO DOLLARS ONE CENT			0.010	0.02
203	2.00	Each	, Anchorage Units for Heavy Post Blocked Out Corrugated Beam Guide Ra	PER Each		
		FOR ZERO DOLLARS ONE CENT			0.010	0.02
Carry Forward						

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
372D	5.00	Each , Tree removal D (24" - < 36" Caliper) FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	0.05
372E	2.00	Each , Tree Removal E (36" - < 48" Caliper) FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	0.02
373A	5.00	Each , Stump Removal 4" - < 6" Caliper FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	0.05
373B	5.00	Each , Stump Removal B 6" - < 12" caliper FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	0.05
373C	5.00	Each , Stump Removal C 12" - < 24" Caliper FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	0.05
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
373D	5.00	Each Stump Removal D 24" - < 36" Caliper FOR ZERO DOLLARS ONE CENT	0.010	0.05
373E	2.00	Each Stump Removal E 36" - < 48" Caliper FOR ZERO DOLLARS ONE CENT	0.010	0.02
374A	5.00	Each Stump Grinding A 4" - < 6" Caliper FOR ZERO DOLLARS ONE CENT	0.010	0.05
374B	5.00	Each Stump Grinding B 6" - < 12" caliper FOR ZERO DOLLARS ONE CENT	0.010	0.05
374C	5.00	Each Stump Grinding C 12" - < 24" Caliper FOR ZERO DOLLARS ONE CENT	0.010	0.05
Carry Forward				

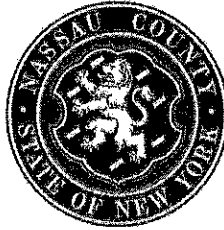
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CPIN		Page 18	Date	Cont H61587-PR8		Brought Forward
Item Number	Estimate of Quantities	Items with unit bid price written in words			Unit Bid Price	Amount Bid
422SHE	5.00	Each	, Adjust Traffic Magnetic Vehicle Detector FOR SEVEN HUNDRED DOLLARS NO CENTS			700.000 3,500.00
680.54	2,000.00	Lin. Feet	, Inductance Loop Installation FOR EIGHTEEN DOLLARS NO CENTS			 36,000.00
680.72	6,000.00	Lin. Feet	, Inductance Loop Wire FOR ONE DOLLAR FIFTY CENTS			 9,000.00
685.07200110	200,000.00	Lin. Feet	, White Epoxy ReflectORIZED Pavement Stripes - 20 Mils (Wet Night Visibility) FOR ZERO DOLLARS SIXTY CENTS			 120,000.00
685.07200210	150.00	Each	, White Epoxy ReflectORIZED Pavement Letters - 20 Mils (Wet Night Visibility) FOR ONE HUNDRED FIFTY DOLLARS NO CENTS			 22,500.00
			PER Lin. Feet			Carry Forward

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
685.07200310	350.00	Each , White Epoxy ReflectORIZED Pavement Symbols - 20 Mils (Wet Night Visibil FOR <u>ONE HUNDRED SEVENTY FIVE DOLLARS NO CENTS</u>	175.000	61,250.00
685.0720410	70,000.00	Lin. Feet , White Epoxy ReflectORIZED Pavement Stripes (Cross Hatching) - 20 Mils (1 FOR <u>ONE DOLLAR SIXTY CENTS</u>	1.600	112,000.00
685.0720610	180,000.00	Lin. Feet , Yellow Epoxy ReflectORIZED Pavement Stripes - 20 Mils (Wet Night Visibilit FOR <u>ZERO DOLLARS SIXTY CENTS</u>	0.600	108,000.00
685.0720710	15,000.00	Lin. Feet , Yellow Epoxy ReflectORIZED Pavement Stripes (Cross Hatching) - 20 Mils (1 FOR <u>ONE DOLLAR SIXTY CENTS</u>	1.600	24,000.00
744	1.00	LS , Force Account Work FOR <u>ONE HUNDRED THOUSAND DOLLARS NO CENTS</u>	100,000.000	100,000.00
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
762	100.00	LB , Integral Color Pigment for Cement Concrete FOR ONE DOLLAR NO CENTS	1.000	100.00
763	100.00	Sq Ft , Imprinting on Cement Concrete Pavement or Sidewalks FOR ONE DOLLAR NO CENTS	1.000	100.00
		PER LB PER Sq Ft PLEASE BE SURE A BID IS ENTERED FOR EACH ITEM EXCEPT AS DIRECTED FOR OPTIONAL ITEMS		
		TOTAL OR GROSS SUM WRITTEN IN WORDS SEVEN MILLION NINE HUNDRED NINETY TWO THOUSAND FOUR HUNDRED SIXTY FIVE DOLLARS SIXTY FIVE CENTS	\$	\$7,992,465.65

LAURA CURRAN
NASSAU COUNTY EXECUTIVE



KENNETH G. ARNOLD, P.E.
COMMISSIONER

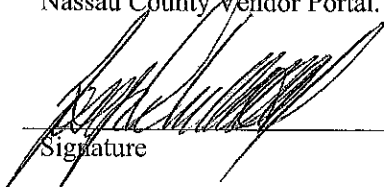
COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
1194 PROSPECT AVENUE
WESTBURY, NEW YORK 11590-2723

VENDOR PORTAL ACKNOWLEDGMENT

Vendor Name: Pratt Brothers, Inc.
Contract Title: Nassau County Resurfacing H61587-PR8
Contract Number: H61587-PR8

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at www.nassaucountyny.gov by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.


Signature

11/19/2021
Date

Joseph M. Williams, Jr., P.E.
Print Name

If you attempted to register via the Portal but were unable to do so, please explain here:

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LAURA CURRAN
NASSAU COUNTY EXECUTIVE

NASSAU COUNTY



Vendor Code of Ethics



POLICY/PROCEDURE TITLE: Nassau County Vendor Code of Ethics	DATE ISSUED: June 5 th , 2019
DEPARTMENT ISSUING: Executive – Compliance	AUTHORIZED and SIGNED BY:  Deputy County Executive For Compliance  County Executive

- POLICY:** The Office of the Nassau County Executive recognizes the importance of the vendor community in helping the County provide necessary services for the residents of Nassau County. It is the policy of the County Executive to ensure that all vendors doing business with Nassau County operate under the highest standards of legal and ethical conduct.
- PURPOSE:** To set forth a Code of Conduct for vendors to ensure that Nassau County Vendors are conducting their business with integrity, ethics, and compliance with all applicable laws and regulations.
- SCOPE:** All vendors doing business or seeking to do business with Nassau County as specified in the Code.



Vendor Code of Ethics

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Vendor Code of Ethics

Chapter 1: DEFINITIONS

As used in this Code, the following terms have the following meanings:

Adverse Job-Related Action includes any material alteration to existing terms, conditions, and privileges of employment, such as dismissal, demotion, suspension, compulsory leave, disciplinary action, creation of a hostile work environment, negative performance evaluation, any action resulting in loss of staff, office space or equipment or other benefit, reduction in compensation, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected employee.

Contact means any oral or written communication with any Nassau County Employee, other than the Designated Point(s) of Contact, where it could be reasonably inferred that such contact was intended to influence, or could reasonably be expected to influence, the subject of a County procurement.

Designated Point(s) of Contact means the individual(s) designated by the County to be a Vendor's only contact with Nassau County following the public advertisement of a solicitation or the issuance of a request for a bid, proposal, or quote for small purchase, until the award of a resulting contract and, where applicable, approval by the County Legislature. This timeframe, further defined in the State Procurement Lobbying Law, is also known as the Restricted Period.

Nassau County Employee means any officer, official or employee of Nassau County.

Family Member means (i) a Nassau County Employee's Spouse, Domestic Partner, Child, Sibling or Parent; (ii) a person who is a direct descendant (or the spouse of a direct descendant) of a Sibling of the Nassau County Employee or a Sibling of the Nassau County Employee's Spouse or Domestic Partner; or (iii) a person living in the same household as a Nassau County Employee.

Gift means the transfer, without equivalent consideration, of anything of benefit, tangible or intangible, having more than nominal value, including, but not limited to, cash, loans, forbearance,



Vendor Code of Ethics

services, travel, gratuities of any kind, favors, money, meals, refreshments, entertainment, hospitality, admittance to private clubs, use of time-shares, personal use of the Vendor's facilities, promises, tickets to entertainment or sporting events, weekend trips, golf outings, loans of equipment, or other thing or benefit. A Gift need not be intended to influence or reward any individual or entity.

Nassau County Code of Ethics means Nassau County Charter Section 2218, and the rules and regulations promulgated thereunder as may be amended or modified.

Participating Employee means any Vendor employee who engages in any written or oral communication of a non-clerical or non-administrative nature with Nassau County or with a Nassau County Employee(s) as part of or in connection with the procurement.

Participating Nassau County Employee means any Nassau County Employee who the Vendor knows, has reason to know, or can reasonably anticipate is involved in a specific procurement, in either a direct or decision-making capacity, but not in a clerical capacity. This includes but is not limited to the Designated Point of Contact, the project manager, the project manager's staff to the extent that they are involved in the procurement, members of selection committees, technical experts and negotiating teams.

Primary Contracting Party means a Vendor who intends to directly enter into or has a contract with Nassau County.

Retaliatory Action is defined as any Adverse Job-Related Action taken by, or at the direction or request of, a Vendor or a Vendors' Employees as a result of any individual's (i) good-faith report with respect to a violation or potential violation of this Code or the law; or (ii) cooperation in any investigation of unlawful conduct or misconduct conducted by Nassau County or by federal, state, or local law enforcement officials.

State Procurement Lobbying Law means New York State Finance Law Sections 139-j and 139-k, and the rules and regulations promulgated thereunder as may be amended or modified.

Vendor means any individual or entity seeking to or doing business



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with Nassau County within the scope of this Code, including, without limitation, contractors, consultants, suppliers, manufacturers seeking to act as the primary contracting party, officers and employees of the foregoing, as well as any subcontractors, subconsultants and suppliers at all lower tiers.

Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

Section 2.01 Designated Point(s) of Contact

Each procurement solicitation issued by Nassau County will identify the Designated Point(s) of Contact for that solicitation as required by the State Procurement Lobbying Law. Once the Designated Point(s) of Contact is/are established, the Vendor and any person or entity acting on the Vendor's behalf, including without limitation, those providing compensated or uncompensated lobbying, advocacy, consulting or other services should ensure that its contacts with Nassau County are in compliance with the requirements of the State Procurement Lobbying Law.

Chapter 3: GIFTS OR CONTINGENT FEES

Section 3.01 Zero Tolerance

No Vendor may offer or give any Gift, directly or indirectly, to a Nassau County Employee. Similarly, no Vendor may offer or give any Gift, directly or indirectly, to any Family Member of a Nassau County employee where such Gift is made because of the Vendor's relationship with the Nassau County Employee. Additionally, no Vendor may accept a gift from a Nassau County Employee.

This Zero-Tolerance Policy applies regardless of actual intentions. In other words, even if a Gift does not, or is not intended to, influence an action or decision by a Nassau County Employee, it is prohibited by this Code.

Section 3.02 Personal Relationships

Notwithstanding the foregoing, if a Vendor has a pre-existing family or personal relationship with the Employee, a Gift that is wholly unconnected with the Employee's duties on behalf of Nassau County is



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not necessarily prohibited.

In determining whether the giving of an item was motivated by personal rather than business concerns, the following factors are considered:

- (a) the history of the relationship between the donor and the recipient, including but not limited to the mutuality of gift giving;
- (b) whether the item was paid for by the donor.

The giving of an item shall not be considered to be motivated by a family or personal relationship if the donor seeks to charge or deduct the value of the item as a business expense or seeks reimbursement from a client.

However, regardless of the family or personal relationship between a Vendor and an employee, a Gift is strictly forbidden where it is being given under circumstances where it can reasonably be inferred that it was intended to influence the employee in the performance of his or her official duties.

Section 3.03 Contingent Fees

The Vendor will not employ or retain any individual or entity for the purpose of soliciting or securing a Nassau County contract upon any agreement or understanding for a commission, percentage, brokerage, or fee that is contingent or dependent upon the outcome of the procurement.

Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

Section 4.01 Restrictions During the Procurement Process

Vendors shall not discuss future employment with Participating Nassau County Employees or their Family Members from the date the procurement is advertised or solicited through 30 days following the date that the procurement is awarded, even if a Participating Nassau County Employee contacts the Vendor regarding employment. Questions regarding whether a particular Nassau County employee is a Participating Nassau County Employee for a specific



Vendor Code of Ethics

procurement should be directed to the Designated Point of Contact for the procurement.

Section 4.02 Restrictions Post Award

Vendors are prohibited from offering or discussing an employment opportunity with a Nassau County Employee or his or her Family Members before whom the Vendor has or expects to have a pending specific matter including, but not limited to, negotiations, performance evaluation, task order selection, approval of a voucher or invoice, or approval of or agreement to a contract amendment, change order, or deviation or waiver until:

- (i) 30 days from the time the matter before the Employee is closed, or
- (ii) 30 days from the time the Employee has no further involvement with the matter because of recusal or reassignment.

Chapter 5: CONFLICT OF INTEREST

Section 5.01 Financial Interest

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, as the case may be, may have a 10% or greater interest, nor shall the Vendor, nor any director, officer, principal, owner, or partner thereof, acquire a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract.

The Vendor will not permit an employee having a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract to be employed in the performance of the Nassau County contract.

Section 5.02 Personal Business Dealings

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, may have a non-County business dealing with a



Vendor Code of Ethics

Participating Nassau County Employee where it can be reasonably inferred that the purpose of the business dealing, at least in part, is to influence the Participating Nassau County Employee's action on a pending County matter.

Section 5.03 Disclosure and Cooperation

The Vendor shall disclose immediately to the County any real or potential conflict of interest of which it becomes aware. This obligation is ongoing and shall last through the completion of performance of the contract. The Vendor shall provide to Nassau County, at the County's request and upon such forms as may be furnished by Nassau County, a disclosure of organizational, financial, contractual or other affiliations with any organization or entity that has interests that may be substantially affected by the procurement solicitation or award. The Vendor shall fully cooperate in any inquiry or investigation undertaken by Nassau County to determine whether any such affiliations present a conflict of interest, or whether any other provision of this Code has been violated. The Vendor shall fully cooperate with audits, investigations, examinations and reviews by the Nassau County Inspector General conducted pursuant to section 187 of the Nassau County Charter.

Section 5.04 Confidential Information

At no time shall any Vendor who obtains confidential or proprietary Nassau County information in the course of doing or seeking to do business with the County disclose any such information to any person not authorized by Nassau County to receive such information or use such information for any personal gain except as necessary to fulfill its contractual obligations to Nassau County.

If the Vendor receives from any source confidential or proprietary Nassau County information prior to the award of a resulting contract and, where applicable, approval by the County Legislature, without the explicit approval of the Designated Point of Contact, the Vendor shall immediately so notify the Designated Point of Contact.

Nassau County confidential or proprietary information includes, but is not limited to, internal cost estimates and proposals submitted by other Vendors.



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Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

No Vendor who participates in the development of a scope of work, solicitation documents, assessment criteria, contractual instruments or technical specifications may participate as a bidder, sub-bidder, proposer or sub-proposer on that particular procurement or perform any work on that particular procurement or any other procurement that would constitute an organizational conflict of interest or would give that Vendor an unfair advantage over other bidders or proposers on that procurement. This prohibition may be waived in writing by the County Chief Procurement Officer upon a showing of good cause.

Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

Section 6.01 Appearance Before Former Agency-Two Year Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear or practice before any Nassau County agency, either prior to award or in the performance of a Nassau County contract, for a period of two years after termination of the Nassau County Employee's services with the County.

Section 6.02 Appearance Before Former Agency-Life Time Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear, practice, communicate or otherwise render services before the agency that employed the officer or employee or any other agency of Nassau County, either prior to award or in the performance of an agency's contract in relation to any case, proceeding, application or transaction with respect to which such former officer or employee was directly concerned and in which he or she personally participated, or which was under his or her active consideration during the period of his or her employment. This provision is a lifetime bar on projects that the former Nassau County Employee previously worked on while employed by the County.



Vendor Code of Ethics

Chapter 7: NON-COLLUSION

Section 7.01 Independent Bid Assessment

The Vendor will calculate the price(s) contained in any bid or proposal independently, without collusion, consultation, communication, or agreement with any competing Vendor for the purpose of restricting competition.

Section 7.02 Non-Communication of Bid

Unless otherwise required by law, the price(s) which the Vendor quotes in its bid or proposal will not knowingly be disclosed by the Vendor, directly or indirectly, to any competing Vendor prior to the closing date for bids or proposals.

Section 7.03 Bid Submission

The Vendor will not make any attempt to induce any other individual or entity to submit or not to submit a bid or proposal.

Chapter 8: DISTRIBUTION AND CERTIFICATION

Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

As a condition of being considered for the award of any contract above the County's small purchase threshold of \$10,000, the Vendor will be required to distribute copies of the Nassau County Vendor Code of Ethics to all Participating Employees prior to any of those employee's participation in the procurement. The Code may be distributed either in hard copy or electronically as a separate PDF.

Additionally, as a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will be required to obtain an acknowledgement from each of its Participating Employees ("Participating Employee Acknowledgements") that they have received, read, understand, and will comply with the Nassau County Vendor Code of Ethics.



Vendor Code of Ethics

The Vendor's responsibility for distributing copies of the Nassau County Vendor Code of Ethics and obtaining such signed Participating Employee Acknowledgements is ongoing until completion of performance of the contract and shall be retained for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Receipt and retention of Participating Employee Acknowledgments by the Vendor shall be subject to audit by Nassau County.

Section 8.02 Vendor Certifications

The vendor by signing the final contract thereby certifies and attests to the following:

- (a) The Vendor has been provided with a copy of the Nassau County Vendor Code of Ethics and will comply with all of the provisions of the Code;
- (b) All of its Participating Employees during the course of procurement or contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to any of those employees' participation in the procurement;
- (c) All Participating Employees have completed the acknowledgement required by Section 8.01 of this Code;
- (d) The Vendor will retain all of the signed Participating Employee Acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County;
- (e) The Vendor will continue to distribute the Nassau County Vendor Code of Ethics, obtain signed Participating Employee Acknowledgements as new Participating Employees are added or changed during the contract period, and retain all of the signed acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.



Vendor Code of Ethics

Section 8.03 Subcontractor Certifications

As a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will obtain certifications executed by authorized officials from all of its lower tier subcontractors, subconsultants and suppliers (as well as from any other subcontractors, subconsultants and suppliers from whom that Vendor is soliciting or has received proposals for work on a Nassau County contract) whose employees have communicated or may communicate with Nassau County Employees. This obligation is ongoing and shall last through the completion of performance of the contract. Receipt and retention of lower tier certifications by the Vendor shall be subject to audit by Nassau County.



Vendor Code of Ethics

Chapter 9: PENALTIES

Section 9.01 Responsibility Determination

For violation of any provision of the Nassau County Vendor Code of Ethics, Nassau County may avail itself of every remedy in law or equity, or as agreed to by parties in any contract, including but not limited to declaring the Vendor non-responsible or in material breach of the contract.

Section 9.02 Civil/Criminal Penalties

Additionally, violation of the Nassau County Vendor Code of Ethics or a provision thereof may subject the Vendor to criminal or civil penalties under State or Federal law.

Chapter 10: REPORTING OBLIGATION

Section 10.01 Reporting Gift Requests

Notwithstanding the provisions of Chapter 4 above, the Vendor is obligated to immediately report to Nassau County's Inspector General and the County Chief Procurement Officer, any and all requests made to the Vendor by any Nassau County Employee for a Gift.

Section 10.02 Reporting Material Changes

The Vendor is under a continuing obligation to report any change in circumstances that materially affects any prior report to Nassau County to Department of Chief Contracting Officer, including but not limited to disclosure of conflicts of interest and representations made in the Contractor Responsibility Form.

Section 10.03 Reporting Violations and Overpayments

The Vendor is obligated to timely report in writing to Nassau County's Inspector General, in connection with the award, performance or closeout of the Nassau County contract or subcontract, any credible evidence of significant overpayments on the contract or that a principal, employee, agent or subcontractor has committed a



Vendor Code of Ethics

violation of law involving fraud, conflict of interest, bribery or gratuities.

Chapter 11: PROHIBITION ON RETALIATION

Section 11.01 Prohibition

To facilitate the reporting obligations under Chapter 10, this code strictly forbids all Vendors and Vendors' Employees from taking any Retaliatory Action against individuals who make such reports.



Vendor Code of Ethics

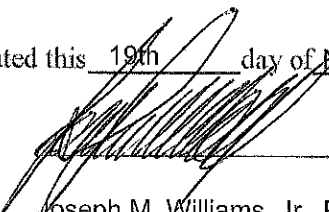
CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.: H61587-PR8

Project Description: Priority Resurfacing Phase 8

The prospective lower tier participant _____ (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to Pratt Brothers, Inc. [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this 19th day of November, 20 21.

By  Signature of Authorized Official

Joseph M. Williams, Jr., P.E., Sr. Vice President Name and Title of Authorized Official



Vendor Code of Ethics

PARTICIPATING EMPLOYEE ACKNOWLEDGEMENT REGARDING NASSAU COUNTY VENDOR CODE OF ETHICS

Company: Pratt Brothers, Inc.

Bid/Proposal No.: H61587-PR8

I, Joseph M. Williams, Jr., P.E., acknowledge that I have received and read the Nassau County Vendor Code of Ethics on November 19, 2021 and that I understand it and will comply with this Code in my participation in procurements between Pratt Brothers, Inc. (Vendor name) and Nassau County.

Executed this 19th day of November, 2021.

By  Signature of Employee

Joseph M. Williams, Jr., P.E., Sr. Vice President Name and Title of Employee

CONTRACTOR CERTIFICATION STATEMENT REGARDING
STORM WATER POLLUTION AT THE WORK SITE

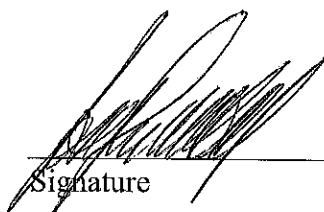
I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

CONTRACTOR'S NAME: Pratt Brothers, Inc.

TELEPHONE NUMBER: 631-667-6800

WORK SITE OR FACILITY NAME: _____

WORK SITE OR FACILITY ADDRESS OR
OTHER IDENTIFYING DESCRIPTION: Resurfacing Phase 8


Signature

11/19/21

Date

Joseph M. Williams, Jr., P.E., Sr. Vice President

Print Name and Title of Signer

NO TEXT ON THIS PAGE



NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

WICKS EXEMPT LIST OF SUBCONTRACTORS

CONTRACT NO.

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

Contractor's Name and Address		Project Description (Project Title, Facility Name and Address):		Bid Date:	Total Contract Amt:
Federal ID No.:					
Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):					
<input type="checkbox"/> Plumbing and Gas Fitting					
<input type="checkbox"/> Steam Heating, Hot Water Heating, Ventilating and AC Apparatus					
<input type="checkbox"/> Electric Wiring and Standard Illuminating Fixtures					
If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box <input type="checkbox"/> skip to bottom of form, and sign it as required.					
		Check (✓) only one.			
		Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures	
Subcontractor's Name, Address and Federal ID No.				General Description of Work	Subcontractor's Contract Amt.
Federal ID No.					
Federal ID No.					
Federal ID No.					
This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.					
Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.					
Company Authorized Signature: _____					Date: _____

NO TEXT ON THIS PAGE

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Joseph M. Williams, Jr., P.E., Sr. Vice President

Name and Title of Authorized Representative

m/d/yy

Signature

11/19/21

Date

Pratt Brothers, Inc.

Name of Organization

45 South Fourth Street, Bay Shore, NY 11706

Address of Organization

REVISION OJP FORM 4061/1 (REV. 2/89) Previous editions are obsolete

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

MacBride Fair Employment Principles

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),


(1) have business operations in Northern Ireland,

Yes ___ No X

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes ___ No ___


(Contractor's Signature)
Joseph M. Williams, Jr., P.E.
Sr. Vice President

Pratt Brothers, Inc.

(Name of Business)

NO TEXT ON THIS PAGE

IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law § 165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

X **a. Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,

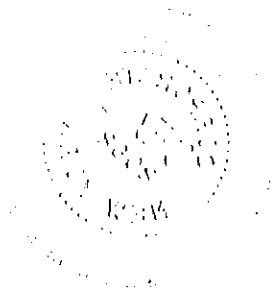
_____ **b. Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.


Signature/Date

11/19/21

Joseph M. Williams, Jr., P.E., Sr. Vice President
Print Name and Position

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QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 19
2. How many years experience in the construction work of a similar type as this contract has your firm had;
 - a. as a Prime Contractor 19
 - b. as a Subcontractor 19
3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

5. Have you:
 - a. ever failed to complete any work awarded to You? No
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.
 - b. ever been defaulted on a contract? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

NO TEXT ON THIS PAGE

c. ever been declared a non-responsible bidder by any municipality or public agency? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

NO TEXT ON THIS PAGE

- d. that has ever been barred from bidding municipal or public contracts? No
If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

Manufacturer of asphalt aggregate and asphalt pavement materials.

NO TEXT ON THIS PAGE

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	---	---------------------	---------------------

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

None

(use additional blank sheets if additional space is necessary)

NO TEXT ON THIS PAGE

14. In what manner have you inspected this proposed work?
Explain in detail.

Complete site inspection and plan take off of all quantities and materials.

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

As per plans and specifications.

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

James J. Pratt, III

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

SEE ATTACHED QUALIFICATION STATEMENT

NO TEXT ON THIS PAGE

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

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Priority Resurfacing Phase 8.
H61587-PR8

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PRATT BROTHERS, INC.
45 SOUTH FOURTH STREET
BAY SHORE, NY 11706
(631) 667-6800


QUALIFICATION STATEMENT

PRATT BROTHERS, INC.

AS OF

DECEMBER 31, 2020

PRATT BROTHERS, INC.



JOSEPH M. WILLIAMS, JR., P.E., SR. VICE PRESIDENT

QUALIFICATION STATEMENT

1. How many years has your firm been in the business under your present business name?

19

2. How many years experience in the construction work of a similar type as this contract, has your firm had?

- a) as a Prime Contractor 19
b) as a Subcontractor 19

3. List below the construction projects your firm has under way as of this date.

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please See Enclosure 1

4. List the projects your firm as a firm has performed in the past few years which you feel will qualify you for this work.

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please See Enclosure 2

5. Have you:

- a) ever failed to complete any work awarded to you?

No

- b) ever been defaulted on a contract?

No

c) ever declared a non-responsible bidder by any municipality or public agency?

No

d) ever been barred from bidding municipal or public contracts?

No

6. Has any officer or partner or principal of your firm ever been an officer or partner or principal of some other firm:

a) that failed to complete a construction contract?

No

b) that has ever been defaulted on a contract?

No

c) that has ever been declared a non-responsible bidder by any municipality or public agency?

No

d) that has ever been barred from bidding municipal or public contracts?

No

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in this name?

No

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

Manufacturer of Asphalt Pavement Materials
Manufacturer of Asphalt Aggregate Materials

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and Type of Work	In What Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

Please See Enclosure 3

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected.

Item	Description, Size Capacity, Year, Etc.	Years of Service	Present Location
------	---	---------------------	---------------------

Please See Enclosure 4

14. In what manner have you inspected this proposed work? Explain in detail.

Complete Site Inspection
Complete plan take off of all quantities and materials

15. Explain your plan and lay-out for performing the proposed work.

To be submitted at the pre-construction meeting.

16. If a contract is awarded or a permit is issued to your firm, who will have the personal supervision of the work?

James J. Pratt, III

17. Insurance carried by your firm.

Please See Enclosure 5

18. Current financial statement.

Please See Enclosure 7

19. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "**Qualifications and Responsibility of Bidders**" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

NOTE: The bids shall be sworn to by the person signing them, in the following form:

(Form of affidavit where Bidder is a corporation)

STATE OF **NEW YORK**)
) ss.:
COUNTY OF **SUFFOLK**)

Joseph M. Williams, Jr., P.E being duly sworn, deposes and says: that he resides at [REDACTED] in the City of [REDACTED] that he is the Sr. Vice President of Pratt Brothers, Inc. the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he signed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me this 3rd day of December, 20 21

Carol A. Miller
Notary

CAROL A. MILLER
Notary Public, State of New York
No. 5002328
Qualified in Suffolk County
Commission Expires Sept. 28, 20 22

Pratt Brothers, Inc.

ENCLOSURE 1

Current Ongoing Projects

CURRENT ONGOING PROJECTS

As of December 31, 2020

JOB NO.	AGENCY/OWNER	CONTRACT NAME/NUMBER	PRIME/ SUB	DESIGN ENGINEER/ ARCHITECT	TOTAL AMOUNT CONTRACT	% SUB	UNCOMPLETED AMOUNT	% INCOMPLETE
17-109	Nassau County DPW Jose Viteri (516) 571-3921	Resurfacing Requirements Contract H6158702Q	Prime	Nassau County DPW In-House	\$ 10,000,000.00	15%	214,461.82	2%
18-144	Town of Oyster Bay John Bishop	Requirements Contract HGR17-162	Prime	Town of Oyster Bay In-House	\$ 6,948,924.12	5%	1,138,918.65	16%
18-208	Suffolk County DPW Paul McMahon	Storm Water Remediation Improvement - Peconic La 5-17.3.30(CP 5072.315)	Prime	Suffolk County DPW In-House	\$ 118,075.00	0%	112,000.00	95%
18-304	G&C Fab-Con LLC Matt Creter	Calverton Nation Cemetery Gravesite Expansion & Site Imp - Excavation 805PC2048	Sub	Gordon 703-263-1900	\$ 1,799,771.76	0%	779,650.00	43%
18-416	Suffolk County DPW Justin Hipperling (631) 852-4006	Suffolk County DPW CR1, County Line Road Amityville #5581	Prime	Cameron Engineering & Associates LLP (516) 827-4900	\$ 5,549,945.00	30%	3,397,926.23	61%
18-464	G&C Fab-Con LLC Matt Creter	Calverton Nation Cemetery Gravesite Expansion & Site Imp - Roadwork 805PC2048	Sub	Gordon 703-263-1900	\$ 3,417,486.66	5%	647,475.00	19%
19-023	Suffolk County DPW Justin Hipperling (631) 852-4006	Suffolk County DPW Reconstruction of CR48 Southold #5526	Prime	Liro Engineers LLC (516) 746-2350	\$ 5,584,969.00	20%	2,053,060.40	37%
19-061	Nassau County DPW Jose Viteri (516) 571-3921	Nassau County DPW Priority Resurfacing Phase 1 - H61587-PR1	Prime	Nassau County DPW In-House	\$ 5,179,528.79	4%	411,555.62	8%
19-063	Nassau County DPW Jose Viteri (516) 571-3921	Nassau County DPW Priority Resurfacing Phase 2 - H61587-PR2	Prime	Nassau County DPW In-House	\$ 4,986,579.29	5%	245,471.37	5%
19-107	Suffolk County DPW Justin Hipperling (631) 852-4006	Suffolk County DPW Reconstruction of CR19 Waverly Ave, Patchogue CP #5040/8240	Prime	L.K. McLean Assoc P.E. Suffolk County DPW In-House	\$ 5,185,083.50	30%	364,358.76	7%
19-111	MTA/LIRR 3rd Track Constructors Josh Eason	LIRR Exp Floral Pk- Hicksville Urban, Covert & Cherry Ave Milling/Paving #6240	Sub	Stantec Consulting	\$ 3,625,666.28	0%	460,510.15	13%

CURRENT ONGOING PROJECTS As of December 31, 2020

JOB NO.	AGENCY/OWNER	CONTRACT NAME/NUMBER	PRIME/ SUB	DESIGN ENGINEER/ ARCHITECT	TOTAL AMOUNT CONTRACT	% SUB	UNCOMPLETED AMOUNT	% INCOMPLETE
19-181	Suffolk County DPW Justin Hipperling (631) 852-4006	Suffolk County DPW Sump on CR4 & Pulaski Road #5014.364	Prime	Suffolk County DPW In-House	\$ 59,500.00	0%	7,560.00	13%
19-259	Arco/Murray National Construction Co. Dennis Billings 331-801-1530	TopGolf - Holtsville Paving	Sub	VHB Engineering (631)787-3400	\$ 1,263,794.00	5%	936,994.00	74%
19-277	Nassau County DPW Jose Viteri (516) 571-3921	Nassau County DPW Priority Resurfacing Phase 4 - H61587-PR4	Prime	Nassau County DPW In-House	\$ 8,888,777.00	15%	380,050.90	4%
19-283	Nassau County DPW Jose Viteri (516) 571-3921	Nassau County DPW Resurfacing Requirements Contract H6158703Q	Prime	Nassau County DPW In-House	\$ 2,642,502.91	15%	202,147.03	8%
20-062	Nassau County DPW Jose Viteri (516) 571-3921	Nassau County DPW General Requirements Contract H66302W	Prime	Nassau County DPW In-House	\$ 1,000,000.00	15%	638,796.63	64%
20-136	Schulman Industries Nat Grid Site work Chris Pirraglia	National Grid Holtsville Site Work Job #25020	Sub	RRT Sigma Engineer (631) 756-6010	\$ 492,612.47	8%	75,700.00	15%
20-248	Suffolk County DPW Paul McMahon	Rechar Basin Imprv. CR4 Townline Rd/Pulaski HW20-43	Prime	Suffolk County DPW In-House	\$ 126,510.00	0%	22,347.50	18%
20-316	New York State DOT	NYS DOT RT25 & Glen Cove Rd D264330	Prime	New York State DOT In-House	\$ 12,577,775.00	10%	12,577,775.00	100%

ENCLOSURE 2

Major Completed Projects

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
07-135 Southern State Parkway Suffolk County D260416	New York State DOT	New York State DOT James Eldor	\$ 10,433,621.00	9/15/2009	94%	New York State DOT James Eldor
08-290 County Road 16 Reconstruction, Ronkonkoma No. 5511	Suffolk County DPW James Peterman (631) 852-4003	Lockwood, Kessler and Bartlett (516) 938-0600	\$ 22,641,805.00	7/31/2011	64%	Suffolk County DPW Justin Hipperling (631) 852-4006
09-077 Milling Requirements - Queens HW2CR09C	City of New York DDC	City of New York DDC	\$ 4,979,762.40	4/30/2011	81%	City of New York Yuliya Ruvinova (718) 322-5053
10-268 Culvert Replacement on Valley Stream Road	Village of Valley Stream Tony Cella (516) 592-5105	Village of Valley Stream (516) 592-5105	\$ 1,448,380.60	12/31/2011	63%	Village of Valley Stream Tony Cella (516) 592-5105
10-208 Milling Requirements - Queens HW2CR11C	City of New York DDC	City of New York DDC	\$ 1,971,345.18	8/31/2011	70%	City of New York Patrick Larkin (718) 391-1958
10-300 CR19 Patchogue Holbrook Rd Traffic Calming 3302/5014	Suffolk County DPW	Suffolk County DPW In-House	\$ 1,201,304.47	11/15/2011	66%	Suffolk County DPW Justin Hipperling (631) 852-4006
11-289 Sunset Plaza Shopping Center	Deer Park Associates LLC Len Abrams (631) 667-9575	Sidney B Bowne & Son	\$ 548,035.15	12/31/2011	100%	Deer Park Associates LLC Len Abrams (631) 667-9575
09-155 Calverton National Cemetery Expansion 805CM2036	Calverton National Cemetery GC&P Fabcon	Carter Van Dyke (212) 345-5053	\$ 11,770,011.69	12/31/2011	66%	GC&P Fabcon LLC John Schleer (908) 782-0526
11-011 Sysco Warehouse Central Islip	Sysco Food Services Aurora Contractors Barney Riley (631) 981-3785	Vollmuth & Brush (631) 363-2683	\$ 5,445,493.96	6/30/2012	85%	Aurora Contractors Barney Reilly (631) 981-3785
11-059 CR31 & 104 Intersection Reconst. Hampton Bays CP5572	Suffolk County DPW William Hillman P.E. (631) 852-4006	Suffolk County DPW In-House	\$ 1,072,863.65	2/17/2012	71%	Suffolk County DPW Justin Hipperling (631) 852-4006
11-093 Village of Port Jefferson 2011 Road Improvements	Village of Port Jefferson Robert Juliano	Dvirka & Bartilucci Ken Pritchard	\$ 2,761,192.92	7/15/2012	77%	Dvirka & Bartilucci Ed Kozik (516) 364-9890
11-413 Solar Array Project @ Cohalan Court Complex Central Islip	Enxco Development Co. Eldor Contracting Corp. Keith Feldman	Enxco Development Co.	\$ 569,373.28	12/31/2012	100%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
11-285 Milling Requirements - Queens HW2CR12C	City of New York DDC Patrick Larkin (718) 391-1958	City of New York DDC	\$ 3,328,891.23	9/30/2012	88%	City of New York DDC Patrick Larkin (718) 391-1958

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
11-335 Solar Array Project @ Riverhead County Complex	Enxco Development Co. Eldor Contracting Corp. Keith Feldman	Enxco Development Co.	\$ 627,901.15	12/31/2012	93%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
12-104 New Entrance Roadway For School of Medicine	Hofstra University Richard S Leddy	Cameron Eng & Assoc. Jack Ozyman (516) 827-4900	\$ 504,205.00	9/30/2012	60%	Hofstra University Richard S. Leddy (516) 463-5258
12-174 Home Depot Parking Lot Commack	Home Depot	Home Depot	\$ 796,600.90	12/31/2012	100%	Opal Construction Chris Cavoto (631) 242-7440
09-239 Nassau County DPW Highway & Drainage Req. H66302S	Nassau County DPW	Nassau County DPW	\$ 1,252,924.00	12/31/2011	85%	Nassau County DPW (516) 571-6655
11-359 Suffolk County DPW Storm Water Pollution Remediation Phase 3 CP8239	Suffolk County DPW	Suffolk County DPW In-House	\$ 1,294,862.00	12/16/2013	90%	Suffolk County DPW Justin Hipperling (631) 852-4006
11-393 Suffolk County DPW Wicks Road Improvement CP5539	Suffolk County DPW	Nelson & Pope	\$ 5,693,653.00	12/31/2013	66%	Suffolk County DPW Justin Hipperling (631) 852-4006
12014 Suffolk County DPW CR39 North Road Improvements CP5528	Suffolk County DPW	Suffolk County DPW In-House	\$ 3,779,594.00	12/31/2013	77%	Suffolk County DPW Justin Hipperling (631) 852-4006
12-018 Canon Headquarters Phase II - Sitework	Canon USA	Turner Construction	\$ 5,563,069.00	11/30/2013	56%	Turner Construction Chris Deschler (212) 229-6000
12-158 Mall at Bay Plaza Site Work - Bronx, NY	Prestige Properties & Dev. Stantec Consulting Services Inc. (212) 366-5600	Stantec Consulting Services Inc. (212) 366-5600	\$ 1,799,983.00	11/30/2013	98%	Aurora Contractors Jonathan McGowan (631) 981-3785
12-166 Home Depot Parking Lot Long Island City-Milling/Paving	Home Depot	Home Depot	\$ 493,600.00	12/31/2013	100%	Opal Construction Chris Cavoto (631) 242-7440
13-033 Sheridan Blvd. Drainage Mineola H6005001G	Nassau County DPW	Nassau County DPW	\$ 718,259.00	12/31/2013	98%	Nassau County DPW Shila Shah Gavnoudias (516) 571-9600
12-426 Reconstruction of Sound Avenue, CR48 Greenport - 5526	Suffolk County DPW Justin Hipperling P.E. (631) 852-4006	Suffolk County DPW In-House	\$ 3,791,800.00	12/31/2014	86%	Suffolk County DPW Justin Hipperling (631) 852-4006

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
13-055 Lowes of Comrack Site Work	Aurora Contractors Barney Riley (631) 981-3785	Rosenbaum Design Group	\$ 3,092,479.00	12/31/2014	80%	Aurora Contractors Barney Reilly (631) 981-3785
13-049 Clearspan Building Project	Brookhaven Rail Terminal	Brookhaven Rail Terminal	\$ 1,684,905.00	12/31/2014	65%	Brookhave Rail Term. Andy Kaufman (631) 924-8800
13-089 Foremain and Pump Station - Huntington Sta.	Avalon Bay Communities	Nelson & Pope	\$ 1,023,793.00	12/31/2014	30%	Avalon Bay Communities Matthew Gendron (617) 845-3937
13-183 Asphalt Paving Huntington Station	Avalon Bay Communities	Nelson & Pope	\$ 1,288,545.00	6/30/2014	95%	Avalon Bay Communities Matthew Gendron (617) 845-3937
13-219 Stony Brook Medical Center East Parking Field Paving	State of New York Dormitory Authority	H2M Architects & Engineers	\$ 670,176.00	12/31/2014	100%	Watral Bros. Inc. Jake Watral (631) 586-8300
13-261 Museum of American Armor Site Work - Old Bethpage	Museum of American Armor BDG Construction	BDG Construction Corp	\$ 1,285,390.00	8/29/2014	78%	BDG Construction Anthony Galu (516) 624-1979
13-283 2013 Road Improvements Nassau Avenue	Village of Freeport	Village of Freeport In-House	\$ 1,407,428.00	12/31/2014	73%	Village of Freeport Robert Fisenne P.E. (516) 377-2233
14-306 Green Acres Mall Valley Stream - Parking Field Pavement & Rehab	Green Acres Mall Opal Construction	Savik & Murray LLP	\$ 889,154.46	12/31/2014	95%	Opal Construction Chris Cavoto (631) 242-7440
13-035 Reconstruction of Rte 347 & 112 D262168	New York State DOT Scalamandre	New York State DOT	\$ 5,634,311.00	12/31/2015	98%	P. Scalamandre & Sons Tom Hayes (516) 868-3000
13-297 Village of Mineola 2013 Road Improvements	Village of Mineola	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 1,654,509.00	12/31/2015	85%	Village of Mineola Thomas Rini (516) 746-0753
14-034 Bridge Rehabilitation Various Locations	Suffolk County DPW	Suffolk County DPW	\$ 1,848,219.00	12/31/2015	75%	Suffolk County DPW Justin Hipperling (631) 852-4006
14-084 Lake Success Redevelopment 1 Dakota Drive	We're Associates, Inc.	We're Associates Inc. Gary R Woska	\$ 2,321,333.00	7/27/2015	55%	We're Associates, Inc. Philip Arnold (516) 931-5322
14-220 2014 Road Improvements	Village of Garden City	Village of Garden City In-House	\$ 4,746,069.00	12/31/2015	70%	Village of Garden City Domenick Stanco (516) 465-4017

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
14-294 Ritz Carlton Residences Off Site Sanitary Sewer Connections SLURC1080018	LRC Construction LLC	H2M Architects Engs	\$ 525,000.00	7/27/2015	61%	LRC Construction LLC Steve Feinstein (914) 773-7700
15-241 3&5 Dakota Parking Lots	We're Associates Inc.	We're Associates Inc. Gary R Woska	\$ 609,457.00	12/31/2015	85%	We're Associates Philip Arnold (516) 931-5322
14-132 The Meadows at Yaphank Yaphank Woods Blvd. Road Improvements	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 1,835,000.00	4/30/2016	100%	AVR Realty Tom Perna (914) 965-3990
14-142 Stony Brook University Toll Drive Residence Phase I	Aurora Contractors Inc. State University of New York at Stony Brook	KSQ Architects, P.C. (914) 682-3700	\$ 1,980,789.00	12/31/2016	87%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
14-378 Stony Brook University Toll Drive Residence Phase II	Aurora Contractors Inc. State University of New York at Stony Brook	KSQ Architects, P.C. (914) 682-3700	\$ 2,146,774.00	12/31/2016	70%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
15-017 Dormitories #A04B007 Sitetwork	NYRA Belmont EW Howell Travis Salvo	Dolph Roffeld Eng. (914) 631-8600	\$ 538,413.00	9/28/2016	87%	EW Howell Travis Salvo (516) 921-7100
15-107 William Floyd Parkway Yaphank Woods Blvd.	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 4,061,494.00	12/31/2016	76%	AVR Realty Tom Perna (914) 965-3990
15-149 Leavenworth Solar Farm Shoreham	Borrego Solar Systems Inc Eldor Contracting Corp. Keith Feldman	VHB Engineering (631) 234-3444	\$ 1,082,426.00	9/28/2016	88%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
15-315 2015 Road Improvements	Village of Floral Park Valente Contracting Corp.	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 1,297,048.00	12/31/2016	95%	Valente Contracting Corp John Valente (516) 746-7933
15-305 The Meadows at Yaphank Yaphank Woods Blvd. Phase 1A Sitework	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 978,895.00	12/31/2016	100%	AVR Realty Tom Perna (914) 965-3990
15-181 Lake Success Redevelopment 3 Dakota -P.Lot & Ramp	We're Associates Inc. Philip Arnold (516) 931-5322	We're Associates Inc. Gary R Woska	\$ 1,423,116.00	4/30/2016	65%	We're Associates Inc. Philip Arnold (516) 931-5322
15-255 2015 Road Improvements	Village of Mineola Valente Contracting Corp.	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 742,821.00	9/28/2016	100%	Valente Contracting Corp John Valente (516) 746-7933
16-130 LIE Welcome Center Dix Hills	NYSDOT P. Scalamandre & Sons	Stantec Consulting Services Inc. (212) 366-5600	\$ 1,578,526.00	11/30/2016	100%	P. Scalamandre & Sons Tom Hayes (516) 868-3000

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
16-152 2016 Road Improvements	Village of New Hyde Park	Dvirka & Barilucci Christopher Clement (516) 364-9890	\$ 2,127,958.00	12/31/2016	77%	Village of New Hyde Park Tom Gannon (516) 354-0064
16-218 2016 Road Improvements	Village of Garden City	In-House	\$ 1,447,250.00	12/31/2016	65%	Village of Garden City Domenick Stanco (516) 465-4017
16-308 2016 Road Improvements	Village of Mineola Valente Contracting Corp.	Dvirka & Barilucci Christopher Clement (516) 364-9890	\$ 725,790.00	12/31/2016	100%	Valente Contracting Corp John Valente (516) 746-7933
15-221 Green Acres Commons Valley Stream	Green Acres Adjacent LLC Aurora Contractors Inc. Barney Reilly	SPG3 Architects Bruce Keller	\$ 6,523,195.00	7/31/2017	90%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
15-243 Green Acres Commons Valley Stream - Paving	Green Acres Adjacent LLC Aurora Contractors Inc. Barney Reilly	SPG3 Architects Bruce Keller	\$ 1,560,664.00	7/31/2017	93%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
16-034 1933 & 1963 Union Blvd. Sitework	South Side Hospital Schulmann Industries Inc. Harry Haralambous	Sidney B. Bowne & Son (516) 746-2350	\$ 1,735,825.00	9/30/2017	55%	Schulmann Industries Inc Harry Haralambous (631) 499-7974
16-160 Roslyn Schools Paving	Roslyn UF School District Watral Brothers Inc.	VHB Engineering (631) 234-3444	\$ 589,403.00	9/30/2017	98%	Watral Brothers Inc. Jake Watral (631) 586-8300
16-358 Culverts 1 & 2 Holtville Terminal	Northville Industries Corp.	U.N.I Engineering Inc. Edward J. Sapp	\$ 786,034.00	12/26/2017	41%	Northville Industries Corp. (631) 475-5060
17-273 Parking Lot Construction Union Blvd., Bay Shore	Northwell Health Inc. Schulman Industries Harry Haralambous	Sidney B. Bowne & Son (516) 746-2350	\$ 548,425.00	12/31/2017	70%	Northwell Health Inc. Schulman Industries Harry Haralambous (631) 499-7974
15-261 Avalon at Great Neck Site Work	Avalon Bay Communities Scott Fradenburg 516-501-6020	VHB Engineering (631) 234-3444	\$ 1,501,001.19	1/31/2018	93%	Avalon Bay Communities Scott Fradenburg 516-501-6020
16-048 Avalon Rockville Centre II Sitework	Avalon Bay Communities Scott Fradenburg 516-501-6020	Nelson & Pope (631) 427-5665	\$ 1,373,303.43	1/31/2018	95%	Avalon Bay Communities Scott Fradenburg 516-501-6020
16-286 Common Area 2 Sitework The Meadows at Yaphank	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 679,748.50	12/31/2018	100%	AVR Realty Tom Perna (914) 965-3990
17-103 Shoreham Solar Commons - Sitework	Shoreham Solar Commons LLC Keith Feldmann - Eldor (631) 218-0100	TRC Engineers Inc. (516) 671-3407	\$ 2,854,197.00	11/30/2018	90%	Eldor Contracting Corp. Keith Feldmann (631) 218-0100
17-189 Village of Garden City 2017 Road Improvements	Village of Garden City Valente Contracting Corp. John Valente	In-House	\$ 1,049,622.00	12/31/2018	96%	Valente Contracting Corp John Valente (516) 746-7933

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
17-237 Northwell Lynbrook Site Work	Talisen Construction Corp. Joseph Rigazio (212) 244-4581	Sidney B. Bowne & Son (516) 746-2350	\$ 908,330.81	6/30/2018	59%	Talisen Construction Corp. Joseph Rigazio (212) 244-4581
17-347 Sky Drive Farmingdale Sitework	BDG Farmingdale LLC Mike Christiano (516) 624-1948	Boher Engineering Joseph Deal (631) 738-1200	\$ 4,327,684.00	12/31/2018	70%	BDG Farmingdale LLC Mike Christiano (516) 624-1948
17-029 Hofstra University Zarb School of Business	Hofstra University J&A Concrete Tony Martins	Cameron Engineering (516) 827-4900	\$ 1,020,658.00	1/31/2019	93%	J&A Concrete Tony Martins (631) 567-5959
17-043 AVR Yaphank Constr. LIE Svc Rd-Westbound Ramp	AVR Realty Tom Perna (914) 965-3990	Vollmuth & Brush George Brush (631) 363-2683	\$ 3,782,086.80	9/27/2019	76%	Tom Perna (914) 965-3990
17-075 AVR Yaphank Constr. LIE Svc Rd-Eastbound Ramp	AVR Realty Tom Perna (914) 965-3990	Greenman Pedersen Inc. (631) 587-5060	\$ 2,013,282.41	9/27/2019	67%	Tom Perna (914) 965-3990
17-231 SBU Innovation & Discovery Center	State University of NY Aurora Contractors Barney Riley	Mitchell - Giurgola Architects, LLP	\$ 1,973,064.00	7/31/2019	86%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
17-241 Village of Valley Stream 2017 Road Improvements	Village of Valley Stream Robert Fumagalli (516) 592-5105	Lucchesi Engineering PC Michael Mark (516) 942-3772	\$ 1,617,502.61	9/27/2019	60%	Robert Fumagalli (516) 592-5105
17-375 Hempstead Force Main Contract S3P311-09S	Village of Hempstead P. Scalamandre & Sons	Cameron Engineering (516) 827-4900	\$ 624,361.98	7/31/2019	100%	P. Scalamandre & Sons Thomas Hayes (516) 868-3000
17-405 Improvements to CR93 Ocean Ave @ Rosevale CP 5535/5014	Suffolk County DPW Justin Hipperling (631) 852-4006	Suffolk County DPW In-House	\$ 1,731,041.63	12/13/2019	66%	Suffolk County DPW Justin Hipperling (631) 852-4006
18-070 Simply Self Storage Hauppauge - Site Work	Simply Self Storage Axis Construction Corp.	Barnett Bonacci & Van Weele, PC (631) 435-1111	\$ 640,424.04	7/31/2019	80%	Axis Construction Corp. Vincent Buffolino (631) 243-5970
18-112 Nat Grid - The Gateway to Brightwater -Site Work/Paving	National Grid Schulman Industries Chris Pirraglia	National Grid In-House	\$ 777,963.70	8/31/2019	90%	Schulman Industries Chris Pirraglia (631) 499-7974
18-328 Riverhead Solar Project	Riverhead Solar Farm LLC Rosendin Electric Inc. Rich Shaffer	Blymer Engineers (510) 521-3773	\$ 3,165,184.35	8/31/2019	95%	Rosendin Electric Inc. Rick Shaffer (657) 276-0600
18-338 Cornerstone at Hauppauge On-site Paving	Cornerstone Hauppauge LLC Bartone & Pellerito Constr Anthony Bartone	Nelson & Pope (631) 427-5665	\$ 914,318.00	12/31/2019	80%	Bartone & Pellerito Constr Anthony Bartone (516) 249-2022

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
18-450	Town of Hempstead Town of Hempstead PW 32-18 Uniondale & Roosevelt	Town of Hempstead Valente Contracting Corp. In-House	\$ 635,699.34	12/31/2019	80%	Valente Contracting Corp John Valente (516) 746-7933
19-095	Sunken Meadow State Park Resilience & Restor - Paving	State of NY Parks & Rec. G&M Earthmoving (212) 612-7900	\$ 912,815.00	12/15/2019	0%	G&M Earth Moving Anthony Ragueso anthony@omearthmoving.com
19-297	Cove Neck Seawall Repair H61587-58G	Nassau County DPW Metro Paving Inc. In-House	\$ 530,785.42	3/1/2020	100%	Metro Paving Inc. Ryan Kellerman (631) 756-8038
17-199	NCDPW - H61587-55GR Resurfacing Phase 55 Town of Hempstead	Nassau County DPW Jose Viteri (516) 571-3921 In-House	\$ 4,031,801.47	9/1/2020	75%	Nassau County DPW Jose Viteri (516) 571-3921
18-302	Village of Garden City 2018 Road Improvements	Village of Garden City Valente Contracting Corp. John Valente	\$ 2,086,558.98	9/30/2020	100%	Valente Contracting Corp John Valente (516) 746-7933
19-085	PSEG Charles Lindbergh Substation sitework	PSEG Eleonor Hawkeye LLC John Pereira (732) 560-9700	\$ 834,694.00	10/30/2020	75%	Eleonor Hawkeye LLC John Pereira (631) 447-3100
19-199	Town of Hempstead Hewlett & South Valley Stream PW18-19	Town of Hempstead Valente Contracting Corp. John Valente	\$ 790,527.17	3/31/2020	80%	Valente Contracting Corp John Valente (516) 746-7933
19-237	Demolition of Wantagh Toll Plaza, Jones Beach	NYS Parks, Rec & Historic Preservation Scott Fish	\$ 1,325,601.48	5/31/2020	33%	NYS Parks, Rec & Historic Preservation Scott Fish 518-474-0456
19-291	Merrick, Hewlett Harbor Roslyn - Milling/Paving	Keyspan/National Grid Bancker Construction Michael Beyer	\$ 822,592.80	8/31/2020	100%	Bancker Construction Michael Beyer (631) 582-8880

ENCLOSURE 3

Construction Experience of the Principals

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL

As of December 31, 2020

<i>Principal's Name</i>	<i>Title</i>	<i>Years of Construction Experience</i>	<i>With Whom & In What Capacity</i>
James J. Pratt, III* [REDACTED]	President/Treasurer Vice President/Treasurer General Superintendent Safety Officer	40	Pratt Brothers, Inc. President/Treasurer Guy Pratt, Inc. Vice President/Treasurer General Superintendent Safety Officer Lehigh University BS - Civil Engineering
Thomas D. Pratt* [REDACTED]	Vice President/Secretary Operations Manager	34	Pratt Brothers, Inc. Vice President/Secretary Scatt Materials Corp. Operations Manager New Hampshire College BS - C.I.S.
Supervisory Personnel Joseph M. Williams, Jr. P.E.* [REDACTED]	Sr. Vice President Chief Estimator Chief Engineer Vice President Chief Estimator Chief Engineer	36	Pratt Brothers, Inc. Sr. Vice President Chief Estimator/Engineer Guy Pratt Inc. Vice President Chief Estimator/Engineer Manhattan College BE - Chemical Engineering
Edward P. McCoy, Jr.* [REDACTED]	Vice President	19	Pratt Brothers, Inc. Vice President Project Manager Guy Pratt, Inc. Project Manager Boston University BS - Bio-Medical Engineering

*Corporate Officer

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL

As of December 31, 2020

Name	Title	Years of Construction Experience	With Whom & In What Capacity
Gregory Couch	Project Manager	36	Pratt Brothers, Inc. Project Manager
			Bi-County Construction Corp. President
			Villanova University BS- Civil Engineering
			Polytechnic University MSM - Construction Mgmt
James J. Pratt IV	Project Manager	8	Pratt Brothers, Inc. Project Manager
			REI Drilling Project Manager Staff Geologist
			Washington and Lee University BS - Geology
Joseph Hrbek	Superintendent	40	Pratt Brothers, Inc. Superintendent
			Guy Pratt, Inc. Superintendent
			H.T. Schneider Superintendent
			Lizza Industries Project Engineer

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL

As of December 31 2020

<u>Name</u>	<u>Title</u>	<u>Years of Construction Experience</u>	<u>With Whom & In What Capacity</u>
Robert Voelkel	Superintendent	43	Pratt Brothers Inc. Superintendent
			Bi-County Construction Vice President Job Superintendent
			Debruin Engineering Engineer In Charge
William Zimmerman	Superintendent	44	Pratt Brothers Inc. Superintendent
	Superintendent		Kings Park Industries Superintendent Operating Engineer
Matt Kingston	Asphalt Superintendent	14	Pratt Brothers, Inc. Asphalt Superintendent Operating Engineer
			Guy Pratt, Inc. Operating Engineer
Thomas Crawford	Shop Steward General Foreman	35	Pratt Brothers, Inc. General Foreman
			Guy Pratt, Inc. Laborer

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL

As of December 31, 2019

<u>Name</u>	<u>Title</u>	<u>Years of Construction Experience</u>	<u>With Whom & In What Capacity</u>
Arnold Hoffmann III	Foreman	22	Pratt Brothers, Inc. Asphalt Foreman Asphalt Raker Guy Pratt Inc. Laborer
Lenny Rodriguez	Asphalt Foreman	14	Pratt Brothers, Inc. Asphalt Foreman Asphalt Raker
Eleanor DeBlasi	Estimator/Engineer	37	Pratt Brothers, Inc. Estimator Bi-County Construction Estimator Lizza Industries Estimator Polytechnic University B.S. Civil Engineering

ENCLOSURE 4

Equipment List

EQUIPMENT LIST

(As of June 30, 2020)

LOADERS

- CAT IT-28
- Komatsu WA 320 Tool Carrier
- Komatsu WA-380
- Komatsu WA-430 Tool Carrier
- CAT 950F Tool Carrier
- Komatsu 470
- CAT 970 F
- Komatsu WA-480-6
- Komatsu WA380-8
- CAT 966M

BACKHOES

RUBBER TIRED BACKHOE/LOADERS

- CAT Backhoe Loader 430F2
- CAT 430E Backhoe Loader
- CAT 430E Backhoe Loader
- CAT 430-07ST Backhoe Loader
- CAT 430-07ST Backhoe Loader
- 490 Dynahoe w/Drill Rig

HYDRAULIC EXCAVATORS – TRACK

- CAT 303 Mini Excavator
- Komatsu PC270
- CAT 325CL
- Komatsu PC290LC-10
- CAT PC400LC-7
- Komatsu PC170-11
- CAT 308-07A Mini Excavator

HYDRAULIC EXCAVATORS – RUBBER TIRED

- Liebherr Excavator 904C
- Liebherr Excavator 904C
- CAT 320F

BULLDOZERS

- Komatsu D37PX-22 Dozer
- CAT D6N LGP
- CAT D6T LGP
- CAT D5-17ALGPV
- CAT D5KLGP-EWK

EQUIPMENT LIST

(As of June 30, 2020)

CRANES

- 18 Ton Hydraulic Crane

TRUCKS

- Flat Truck
- Ford F550 Dump Truck
- Kenworth T880 Dump Truck (2)
- Kenworth Tractor (3)
- Peterbilt Tractor (2)
- Hino 268A Attenuator Truck (2)
- International 430 Attenuator Truck (2)
- MAC Dump Trailer (3)
- 50 Ton Low Bed Trailer (2)
- Red River Asphalt Trailer (4)
- Etnyre Centennial Black-Topper

SCRAPERS

- 260E Elevating Scraper (Water Wagon)

ROLLERS

- CAT Vibratory Soil compactor-CS563
- Dynapac Vibratory Soil Compactor-CA252D
- Dynapac CC-102 Double Drum Vibratory Roller
- I/R Vibratory Roller DD24
- I/R Roller DD110HF Double Drum Vibratory Asphalt Roller
- HAMM HD14 Roller
- HAMM HD120 Vibratory Roller
- Volvo DD110C
- CAT CD54B Vibratory Roller
- CAT CS44B Roller

GRADERS

- Komatsu GD655 Galion Grader

TRAILER

- Tag Trailer – Skid Steer (5)

EQUIPMENT LIST

(As of June 30, 2020)

ASPHALT PAVING MACHINES

- CAT AP1055F Asphalt Spreader
- CAT AP555F - 8' Asphalt Paver

MILLING MACHINE

- Wirtgen W250i Milling Machine

MISCELLANEOUS

- 2020 Elgin Badger Dual Steer Broom
- Boce 8' Broom BW260
- Marathon Kettle w/reverse
- Marathon Tack Coat Trailer
- IR-85/185 Leroi/Joy 185/Kaeser 210 Compressors (3)
- Kaeser 400 Compressor/EZ Drill
- Weber CR7 MDM E1 Tamper
- Read RD90 Screen-All
- Dewatering System
- CAT 247 Skid Steer Loader - Track
- CAT 272D Skid Steer Loader - Rubber
- CAT 289D Skid Steer Loader - Track
- CAT 272D3XE Skid Steer Loader - Rubber
- Cold Planer PC206 (skid steers) - 3 ea.
- Cold Planner CAT PC408B (skid steers) - 2 ea.
- CAT G120 Grapple
- Amsig Led Flip Disk Variable Message Board w/Modems (5)
- AMSIG CMS-465-T Advantage-S Trls Mounted Board (2)
- Solar Tech MB-1548 Solar Powered MB (2)
- Asphalt Zipper Road Reclaiming Attachment
- 10,000 L.F. Concrete Road Barrier
- 200 Steel Road Plates
- Powerscreen Trommel

ENCLOSURE 5

Insurance



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

4/2/2021

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER National Insurance Brokerage of New York, Inc. 175 Oval Drive Islandia NY 11749		CONTACT NAME: Kathleen Hofmann PHONE (A/C No. Ext): (631) 273-4242 E-MAIL ADDRESS: khofmann@nibony.com FAX (A/C No): (631) 273-8990															
INSURED Pratt Brothers Inc 45 South 4th Street Bay Shore NY 11706		INSURER(S) AFFORDING COVERAGE <table border="1"><thead><tr><th>INSURER</th><th>NAIC #</th></tr></thead><tbody><tr><td>INSURER A: American Southern Home Insurance Company</td><td>10235</td></tr><tr><td>INSURER B: American Family Home Insurance Company</td><td>19275</td></tr><tr><td>INSURER C: Berkley Insurance Company</td><td>32603</td></tr><tr><td>INSURER D: Travelers Indemnity CO</td><td>25658</td></tr><tr><td>INSURER E: Evanston Insurance Company</td><td>35378</td></tr><tr><td>INSURER F:</td><td></td></tr></tbody></table>		INSURER	NAIC #	INSURER A: American Southern Home Insurance Company	10235	INSURER B: American Family Home Insurance Company	19275	INSURER C: Berkley Insurance Company	32603	INSURER D: Travelers Indemnity CO	25658	INSURER E: Evanston Insurance Company	35378	INSURER F:	
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INSURER D: Travelers Indemnity CO	25658																
INSURER E: Evanston Insurance Company	35378																
INSURER F:																	

COVERAGES

CERTIFICATE NUMBER: Master 21-22

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY			88A6GL0000310-03	4/1/2021	4/1/2022	EACH OCCURRENCE	\$ 1,000,000
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 100,000
	<input checked="" type="checkbox"/> Contractual Liability						MED EXP (Any one person)	\$ 5,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						PERSONAL & ADV INJURY	\$ 1,000,000
	<input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PROJECT <input type="checkbox"/> LOC						GENERAL AGGREGATE	\$ 2,000,000
	OTHER:						PRODUCTS - COMP/OP AGG	\$ 2,000,000
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY			88A5CA0000779	4/1/2021	4/1/2022	COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,000
	<input type="checkbox"/> ANY AUTO						BODILY INJURY (Per person)	\$
	<input type="checkbox"/> ALL OWNED AUTOS	<input type="checkbox"/> SCHEDULED AUTOS					BODILY INJURY (Per accident)	\$
	<input type="checkbox"/> HIRED AUTOS	<input type="checkbox"/> NON-OWNED AUTOS					PROPERTY DAMAGE (Per accident)	\$
C	<input checked="" type="checkbox"/> UMBRELLA LIAB		<input checked="" type="checkbox"/> OCCUR	MKC11100029-01	4/1/2021	4/1/2022	PIP-Basic	\$ 50,000
	<input type="checkbox"/> EXCESS LIAB		<input type="checkbox"/> CLAIMS-MADE				EACH OCCURRENCE	\$ 5,000,000
	<input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ 10,000						AGGREGATE	\$ 5,000,000
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY							PER STATUTE
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	<input type="checkbox"/> Y/N	N/A				E.L. EACH ACCIDENT	\$
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE	\$
D	Excess Umbrella			ZUP81N12504-20NF	4/1/2021	4/1/2022	\$4,000,000 Limit	
E	Pollution Liability			MKLVIENV102049	9/05/2020	9/5/2021	\$2,000,000/\$1,000,000	\$5,000 DED

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER**CANCELLATION**

Evidence of Insurance

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Frank Cormio/KATHYH

ENCLOSURE 6

Apprenticeship Training Programs

LABORERS LOCAL UNION NO. 1298 JOINT APPRENTICESHIP TRAINING FUND

NASSAU & SUFFOLK COUNTIES



1161 LOCUST AVENUE
BOHEMIA, N.Y. 11716
Telephone: (631) 218-1376
Fax: (631) 218-1379

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FUND ADMINISTRATOR
J. J. C. DeFazio Esq.

TRAINING DIRECTOR
STEVEN M. AURIGEMA

BOARD OF TRUSTEES
GEORGE F. TRUICKO JR.
GEORGE S. TRUICKO
FRANK DAMIANO JR.
JAMES WILSHIP
FRANK ALBERTO
GREGORY W. COUCH
JASON GOLDEN
MARC HERBST

Re: Road and Heavy Construction Laborers Local #1298
Local 1298 Joint Apprenticeship Training Program
NYS SPONSOR CODE # 01764
NYS ATP CODE # 18514

TO WHOM IT MAY CONCERN;

This letter will serve to confirm that Local 1298 Joint Apprenticeship Training Program currently conducts a fully registered and approved New York State "Apprenticeship Training Program for Skilled Construction Craft Laborers" ("ATP"). The ATP is co-sponsored by Local 1298 and all employers/signatory contractors, including but not limited to Pratt Brothers Contracting Inc., to the Local 1298 Working Agreement Covering Wages and Working Conditions, dated June 1, 2012 to May 31, 2022.

Any additional questions should be directed to my attention.

Faternally yours,

Steven M. Aurigema
Training Director
Joint Apprenticeship Training Fund



APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL
INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

SCHOOL (631) 286-8677
FAX (631) 286-8683

UNION - P.O. BOX 206, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

Re: Local 138 Apprenticeship School (the "Apprenticeship School")
NYS Sponsor # 00422
NYS ATP Code # 18318
Pratt Brothers, Inc.

To Whom It May Concern,

This letter serves to confirm that the **Apprenticeship School** currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to Pratt Brothers, Inc., to the Local 138 Agreement Covering Working Conditions, dated June 1, 2019 to May 31, 2023. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY
BUSINESS MANAGER & TREASURER
CHAIRMAN BOARD OF TRUSTEES
LOCAL 138 APPRENTICESHIP TRAINING FUND

ENCLOSURE 7

Financial Statement

PRATT BROTHERS, INC.
BALANCE SHEET
AS OF DECEMBER 31, 2020

ASSETS

12/31/2020

Current Assets

Cash	\$ 7,693,993
Contract receivables	9,783,903
Contract assets	345,908
Prepaid expenses and other	150
	<hr/>

Total Current Assets 17,823,954

Fixed Assets, Net

4,303,321

Other Assets

Equity in joint venture 112,231

\$ 22,239,506

LIABILITIES AND STOCKHOLDERS' EQUITY

Current Liabilities

Accounts payable	\$ 6,225,077
Paycheck Protection Program Loan	699,275
Notes Payable	1,014,260
Capital Lease	2,869
Due to related parties	1,695,277
Contract liabilities	2,261,086
Accrued expenses and other	258,827
	<hr/>

Total Current Liabilities

Total Current Liabilities 12,156,671

Long-Term Liabilities

Paycheck Protection Program Loan	720,725
Notes payable	1,222,656
Capital Lease obligation	0
	<hr/>
	14,100,052
	<hr/>

Stockholders' Equity

Common stock- no par value; 200 shares authorized,
 100 shares issued and outstanding

4,000

Retained earnings

8,135,454

8,139,454

\$ 22,239,506

1. *Chrysomelidae*

 C_2F_4 and C_2F_6

SEVEN

G. W. F. [Signature]

FRANK DAMIANO JR.

FRANK A. BERIO

1501. GOLDEN

May 11, 1951

L. M. Rogers

Steven M. Aurigema
Training Director
Joint Apprenticeship Training Fund



APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL
INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

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Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY
BUSINESS MANAGER & TREASURER
CHAIRMAN BOARD OF TRUSTEES
LOCAL 138 APPRENTICESHIP TRAINING FUND

NOTICE OF AWARD

NO TEXT ON THIS PAGE



Nassau County Interim Finance Authority

Contract Approval Request Form (As of January 1, 2015)

1. Vendor: Pratt Brothers

2. Amount requiring NIFA approval: \$7,992,465.65

Amount to be encumbered: \$7,992,465.65

Slip Type: New

If new contract - \$ amount should be full amount of contract

If advisement - NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: 180 days from Notice to Proceed

Has work or services on this contract commenced? No

If yes, please explain:

4. Funding Source:

General Fund (GEN)

Capital Improvement Fund (CAP)

X

Grant Fund (GRT)

Other

Federal %

0

State %

0

County %

100

Is the cash available for the full amount of the contract?

No

If not, will it require a future borrowing?

Yes

Has the County Legislature approved the borrowing?

Yes

Has NIFA approved the borrowing for this contract?

No

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

This is a contract for resurfacing over fifty lane miles of County roads in the Town of Hempstead.

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form

Yes

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

Contract ID	Posting Date	Amount Added in Prior 12 Months

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

03/15/2022

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization.

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

Elaine Philips
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: _____

CONTRACTOR ADDRESS: _____

FEDERAL TAX ID #: _____

Instructions: Please check the appropriate box (“☒”) after one of the following roman numerals, and provide all the requested information.

I. ☐ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in _____ [newspaper] on _____ [date]. The sealed bids were publicly opened on _____ [date]. _____ [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____[date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

_____[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ **A.** The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ **B.** The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ **A.** There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ **B.** The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ **C.** Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ **D.** Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☐ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☐ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41*, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.


Department Head Signature

Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Joseph M. Williams, Jr, P.E. state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Disclosures

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.

Name of Submitting Entity: Pratt Brothers Inc.

Vendor's Address: 45 South Fourth Street Bay Shore NY US 11706

Vendor's EIN or TIN: 161620294

Forms Submitted: _____

Political Campaign Contribution Disclosure Form:
10/14/2021 12:32:24 PM

Lobbyist Registration and Disclosure Form:
10/14/2021 12:34:17 PM

Business History Form certified:
01/26/2022 12:11:07 PM

Consultant's, Contractor's, and Vendor's Disclosure Form:
10/14/2021 04:03:40 PM

Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

Principal Name	Date Certified
Joseph M. Williams, Jr., P.E. [REDACTED]	10/14/2021 12:42:31 PM
Edward McCoy Jr [REDACTED]	10/15/2021 10:49:55 AM
Thomas [REDACTED]	10/14/2021 03:49:09 PM
James J Pratt III [REDACTED]	10/14/2021 03:33:52 PM

I, Joseph M. Williams, Jr, P.E. hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

Joseph M. Williams, Jr., P.E. [REDACTED]

Name

Sr. Vice President

Title

Pratt Brothers, Inc.

Name of Submitting Entity

01/26/2022 12:34:23 PM

Date



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☒ NO ☐ If yes, to what campaign committee?

Laura Curran
Jack Schnirman
J. Saladino
Town of Oyster Bay Republican Committee
Nassau County Republican Committee
Plainview Republican Committee

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:

Joseph M. Williams, Jr., P.E. [REDACTED]

Dated: 10/14/2021 12:32:24 PM

Vendor: Pratt Brothers, Inc.

Title: Sr. Vice President



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

None

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See the last page for a complete description of lobbying activities.

None

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

None

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby. separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee? If none, you must so state:

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:

Joseph M. Williams, Jr., P.E. [REDACTED]

Dated: 10/14/2021 12:34:17 PM

Vendor: Pratt Brothers, Inc.

Title: Sr. Vice President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Edward P McCoy Jr
Date of birth: _____
Home address: _____
City: _____ State/Province/Territory: NY Zip/Postal Code: _____
Country: US

Business Address: 45 S. 4th St.
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706
Country: US
Telephone: 631-667-6800

Other present address(es): _____
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>01/01/2011</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Edward McCoy Jr , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Edward McCoy Jr , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Pratt Brothers, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Edward McCoy Jr [

Vice President

Title

10/15/2021 10:49:55 AM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: James J Pratt, III
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]
Country: US

Business Address: Pratt Brothers Inc.
City: 45 South Fourth Street State/Province/Territory: NY Zip/Postal Code: 11706
Country: US
Telephone: 631-667-6800

Other present address(es):
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706
Country: US
Telephone: 6316676800

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u>07/18/2002</u>	Treasurer	<u>07/18/2002</u>
Chairman of Board	<u></u>	Shareholder	<u>07/18/2002</u>
Chief Exec. Officer	<u></u>	Secretary	<u></u>
Chief Financial Officer	<u></u>	Partner	<u></u>
Vice President	<u></u>		
(Other)	<u></u>		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

[REDACTED]

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

As required by bank and bonding company

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Scatt Materials Corp.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

Asphalt pickup contracts - Scatt Materials Corp.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, James J Pratt III , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, James J Pratt III , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Pratt Brothers Inc

Name of submitting business

Electronically signed and certified at the date and time indicated by:

James J Pratt III [REDACTED]

President/Treasurer

Title

10/14/2021 03:33:52 PM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Joseph M. Williams, Jr., P.E.

Date of birth: [REDACTED]

Home address: [REDACTED]

City: [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]

Country: US

Business Address: 45 South Fourth Street

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

Telephone: (631) 667-6800

Other present address(es):

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

Telephone: 6316676800

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	<u>07/18/2002</u>		
(Other)			

Type	Description	Start Date
Other	Sr. Vice President	01/01/2011

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?
YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Joseph M. Williams Jr., PE. , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Joseph M. Williams Jr., PE. , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Pratt Brothers, Inc

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Joseph M. Williams, Jr., P.E. [REDACTED]

Sr. Vice President

Title

10/14/2021 12:42:31 PM

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Thomas Pratt
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]
Country: US

Business Address: 45 South Fourth Street
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706
Country: US
Telephone: 631-667-6800

Other present address(es):
City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706
Country: US
Telephone: [REDACTED]

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	<u>07/18/2002</u>
Chief Exec. Officer	_____	Secretary	<u>07/18/2002</u>
Chief Financial Officer	_____	Partner	_____
Vice President	<u>07/18/2002</u>		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

[REDACTED]

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

As required by bank and bonding company

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Scatt Materials Corp.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

Asphalt pickup contracts - Scatt Materials, Corp.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Thomas Pratt , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Thomas Pratt , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

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Pratt Brothers Inc

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Thomas Pratt

Vice President/Secretary

Title

10/14/2021 03:49:09 PM

Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 01/26/2022

1) Proposer's Legal Name: Pratt Brothers Inc.

2) Address of Place of Business: 45 South Fourth Street

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

3) Mailing Address (if different): 45 South Fourth Street

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

Phone: (631) 667-6800

Does the business own or rent its facilities? Rent If other, please provide details:

4) Dun and Bradstreet number: 176421117

5) Federal I.D. Number: 16-1620294

6) The proposer is a: Corporation (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?

YES ☒ NO ☐ If yes, please provide details:

Shares with Guy Pratt, Inc.

8) Does this business control one or more other businesses?

YES ☐ NO ☒ If yes, please provide details:

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

YES ☒ NO ☐ If yes, please provide details:

Scatt Materials, Corp.

James J. Pratt, III and Thomas Pratt, stockholders in Pratt Brothers Inc., are also stockholders in Scatt Materials Corp.

Scatt Materials is an asphalt hot mix manufacturer. Scatt Materials may supply some of the hot mix materials which is required for the construction in our projects.

- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?

YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).

- 11) Has the proposer, during the past seven years, been declared bankrupt?

YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets

- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.

YES ☒ NO ☐ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

Not an investigation, but we had an OSHA inspection on our Belmont worksite on 8/12/21. To date we have not received a citation or violation from OSHA. They indicated there was a ladder in our excavation that wasn't vertical and we took corrective action to remedy their concern.

- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17 Conflict of Interest:

- a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."
(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

NO CONFLICT EXISTS

- (ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

NO CONFLICT EXISTS

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

NO CONFLICT EXISTS

- b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

All employees are required to disclose any current and future conflicts

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

- i) Date of formation;

07/18/2002

- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

SEE ATTACHED SHAREHOLDERS WITH PERCENTAGE OWNED

First Name	James
Last Name	Pratt
MI	J
Suffix	III
Address	
City	
State/Province/Territory	NY
Zip/Postal Code	
Country	US
Position	President/Treasurer

First Name	Thomas
Last Name	Pratt
MI	
Suffix	
Address	
City	
State/Province/Territory	NY
Zip/Postal Code	
Country	US
Position	Vice President/Secretary

1 File(s) Uploaded: Business History Form Shareholders.docx

iii) Name, address and position of all officers and directors of the company. If none, explain.

First Name Edward
Last Name McCoy
MI P Suffix Jr.
Address [REDACTED]
City [REDACTED] State/Province/Territory NY Zip/Postal Code [REDACTED]
Country US
Position Vice President

First Name James
Last Name Pratt
MI J Suffix III
Address [REDACTED]
City [REDACTED] State/Province/Territory NY Zip/Postal Code [REDACTED]
Country US
Position President/Treasurer

First Name Thomas
Last Name Pratt
MI [REDACTED] Suffix [REDACTED]
Address [REDACTED]
City [REDACTED] State/Province/Territory NY Zip/Postal Code [REDACTED]
Country US
Position Vice President/Secretary

First Name Joseph
Last Name Williams
MI M Suffix Jr.
Address [REDACTED]
City [REDACTED] State/Province/Territory NY Zip/Postal Code [REDACTED]
Country US
Position Sr Vice President

iv) State of incorporation (if applicable);

NY

v) The number of employees in the firm;

49

vi) Annual revenue of firm;

[REDACTED]

vii) Summary of relevant accomplishments

MAJOR PROJECTS COMPLETED IS ATTACHED

3 File(s) Uploaded: PB MAJOR PROJECTS COMPLETED.pdf, PB MAJOR PROJECTS COMPLETED.

viii) Copies of all state and local licenses and permits.

B. Indicate number of years in business.

19

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

Work on previous NCDPW contracts

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company	Village of Garden City		
Contact Person	Domenick Stanco		
Address	351 Stewart Avenue		
City	Garden City	State/Province/Territory	NY
Country	US		
Telephone	(516)	[REDACTED]	
Fax #	(516)	[REDACTED]	
E-Mail Address	[REDACTED]		

Company	Suffolk County DPW		
Contact Person	William Hillman, P.E.		
Address	335 Yaphank Avenue		
City	Yaphank	State/Province/Territory	NY
Country	US		
Telephone	(631)	[REDACTED]	
Fax #	(631)	[REDACTED]	
E-Mail Address	[REDACTED]		

Company	Village of Mineola		
Contact Person	Thomas Rini		
Address	155 Washington Avenue		
City	Mineola	State/Province/Territory	NY
Country	US		
Telephone	(516)	[REDACTED]	
Fax #	(516)	[REDACTED]	
E-Mail Address	[REDACTED]		

I, Joseph M. Williams, Jr., P.E. , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Joseph M. Williams, Jr., P.E. , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

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Name of submitting business: Pratt Brothers Inc

Electronically signed and certified at the date and time indicated by:
Joseph M. Williams, Jr., P.E. [REDACTED]

Sr. Vice President
Title

01/26/2022 12:11:07 PM
Date



PRATT BROTHERS, INC.

GENERAL CONTRACTING • HEAVY CONSTRUCTION

45 S. Fourth Street • Bay Shore, NY 11706-1210 • Phone: 631-667-6800 • Fax: 631-289-3843

Shareholders

James J. Pratt, III – President/Treasurer – xx%

Thomas Pratt – Vice President/Secretary – xx%

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
07-135 Southern State Parkway Suffolk County D260416	New York State DOT	New York State DOT James Eldor	\$ 10,433,621.00	9/15/2009	94%	New York State DOT James Eldor
08-290 County Road 16 Reconstruction, Ronkonkoma No. 5511	Suffolk County DPW James Peterman (631) 852-4003	Lockwood, Kessler and Bartlett (516) 938-0600	\$ 22,641,805.00	7/31/2011	64%	Suffolk County DPW Justin Hipperling (631) 852-4006
09-077 Milling Requirements - Queens HW2CR09C	City of New York DDC	City of New York DDC	\$ 4,979,762.40	4/30/2011	81%	City of New York Yuliya Ruvinova (718) 322-5053
10-268 Culvert Replacement on Valley Stream Road	Village of Valley Stream Tony Cella (516) 592-5105	Village of Valley Stream	\$ 1,448,380.60	12/31/2011	63%	Village of Valley Stream Tony Cella (516) 592-5105
10-208 Milling Requirements - Queens HW2CR11C	City of New York DDC	City of New York DDC	\$ 1,971,345.18	8/31/2011	70%	City of New York Patrick Larkin (718) 391-1958
10-300 CR19 Patchogue Holbrook Rd Traffic Calming 3302/5014	Suffolk County DPW	Suffolk County DPW In-House	\$ 1,201,304.47	11/15/2011	66%	Suffolk County DPW Justin Hipperling (631) 852-4006
11-289 Sunset Plaza Shopping Center	Deer Park Associates LLC Len Abrams (631) 667-9575	Sidney B Bowne & Son	\$ 548,035.15	12/31/2011	100%	Deer Park Associates LLC Len Abrams (631) 667-9575
09-155 Calverton National Cemetery Expansion 805CM2036	Calverton National Cemetery GC&P Fabcon	Carter Van Dyke (212) 345-5053	\$ 11,770,011.69	12/31/2011	66%	GC&P Fabcon LLC John Schleer (908) 782-0526
11-011 Sysco Warehouse Central Islip	Sysco Food Services Aurora Contractors Barney Riley (631) 981-3785	Vollmuth & Brush (631) 363-2683	\$ 5,445,493.96	6/30/2012	85%	Aurora Contractors Barney Reilly (631) 981-3785
11-059 CR31 & 104 Intersection Reconstr. Hampton Bays CP5572	Suffolk County DPW William Hillman P.E. (631) 852-4006	Suffolk County DPW In-House	\$ 1,072,863.65	2/17/2012	71%	Suffolk County DPW Justin Hipperling (631) 852-4006
11-093 Village of Port Jefferson 2011 Road Improvements	Village of Port Jefferson Robert Juliano	Dvirka & Bartilucci Ken Pritchard	\$ 2,761,192.92	7/15/2012	77%	Dvirka & Bartilucci Ed Kozik (516) 364-9890
11-413 Solar Array Project @ Cohalan Court Complex Central Islip	Enxco Development Co. Eldor Contracting Corp. Keith Feldman	Enxco Development Co.	\$ 569,373.28	12/31/2012	100%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
11-285 Milling Requirements - Queens HW2CR12C	City of New York DDC Patrick Larkin (718) 391-1958	City of New York DDC	\$ 3,328,891.23	9/30/2012	88%	City of New York DDC Patrick Larkin (718) 391-1958

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
11-335 Solar Array Project @ Riverhead County Complex	Enxco Development Co. Eldor Contracting Corp. Keith Feldman	Enxco Development Co.	\$ 627,901.15	12/31/2012	93%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
12-104 New Entrance Roadway For School of Medicine	Hofstra University Richard S Leddy	Cameron Eng & Assoc. Jack Ozyman (516) 827-4900	\$ 504,205.00	9/30/2012	60%	Hofstra University Richard S. Leddy (516) 463-5258
12-174 Home Depot Parking Lot Commack	Home Depot	Home Depot	\$ 796,600.90	12/31/2012	100%	Opal Construction Chris Cavoto (631) 242-7440
09-239 Nassau County DPW Highway & Drainage Req. H66302S	Nassau County DPW	Nassau County DPW	\$ 1,252,924.00	12/31/2011	85%	Nassau County DPW (516) 571-6655
11-359 Suffolk County DPW Storm Water Pollution Remedption Phase 3 CP8239	Suffolk County DPW	Suffolk County DPW In-House	\$ 1,294,862.00	12/16/2013	90%	Suffolk County DPW Justin Hipperling (631) 852-4006
11-393 Suffolk County DPW Wicks Road Improvement CP5539	Suffolk County DPW	Nelson & Pope	\$ 5,693,653.00	12/31/2013	66%	Suffolk County DPW Justin Hipperling (631) 852-4006
12014 Suffolk County DPW CR39 North Road Improvements CP5528	Suffolk County DPW	Suffolk County DPW In-House	\$ 3,779,594.00	12/31/2013	77%	Suffolk County DPW Justin Hipperling (631) 852-4006
12-018 Canon Headquarters Phase II - Sitework	Canon USA	Turner Construction	\$ 5,563,069.00	11/30/2013	56%	Turner Construction Chris Deschler (212) 229-6000
12-158 Mall at Bay Plaza Site Work - Bronx, NY	Prestige Properties & Dev.	Stantec Consulting Services Inc. (212) 366-5600	\$ 1,799,983.00	11/30/2013	98%	Aurora Contractors Jonathan McGowan (631) 981-3785
12-166 Home Depot Parking Lot Long Island City-Milling/Paving	Home Depot	Home Depot	\$ 493,600.00	12/31/2013	100%	Opal Construction Chris Cavoto (631) 242-7440
13-033 Sheridan Blvd. Drainage Mineola H6005001G	Nassau County DPW	Nassau County DPW	\$ 718,259.00	12/31/2013	98%	Nassau County DPW Shila Shah Gavnoudias (516) 571-9600
12-426 Reconstruction of Sound Avenue, CR48 Greenport - 5526	Suffolk County DPW Justin Hipperling P.E. (631) 852-4006	Suffolk County DPW In-House	\$ 3,791,800.00	12/31/2014	86%	Suffolk County DPW Justin Hipperling (631) 852-4006

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
13-055 Lowes of Commack Site Work	Aurora Contractors Barney Riley (631) 981-3785	Rosenbaum Design Group	\$ 3,092,479.00	12/31/2014	80%	Aurora Contractors Barney Reilly (631) 981-3785
13-049 Clearspan Building Project	Brookhaven Rail Terminal	Brookhaven Rail Terminal	\$ 1,684,905.00	12/31/2014	65%	Brookhave Rail Term. Andy Kaufman (631) 924-8800
13-089 Forcemain and Pump Station - Huntington Sta.	Avalon Bay Communities	Nelson & Pope	\$ 1,023,793.00	12/31/2014	30%	Avalon Bay Communities Matthew Gendron (617) 645-3937
13-183 Asphalt Paving Huntington Station	Avalon Bay Communities	Nelson & Pope	\$ 1,288,545.00	6/30/2014	95%	Avalon Bay Communities Matthew Gendron (617) 645-3937
13-219 Stony Brook Medical Center East Parking Field Paving	State of New York Dormatory Authority	H2M Architects & Engineers	\$ 670,176.00	12/31/2014	100%	Watral Bros. Inc. Jake Watral (631) 586-8300
13-261 Museum of American Armor Site Work - Old Bethpage	Museum of American Armor BDG Construction	BDG Construction Corp	\$ 1,285,390.00	8/29/2014	78%	BDG Construction Anthony Galu (516) 624-1979
13-283 2013 Road Improvements Nassau Avenue	Village of Freeport	Village of Freeport In-House	\$ 1,407,428.00	12/31/2014	73%	Village of Freeport Robert Fisenne P.E. (516) 377-2233
14-306 Green Acres Mall Valley Stream - Parking Field Pavement & Rehab	Green Acres Mall Opal Construction	Savik & Murray LLP	\$ 889,154.46	12/31/2014	95%	Opal Construction Chris Cavoto (631) 242-7440
13-035 Reconstruction of Rte 347 & 112 D262168	New York State DOT Scalamandre	New York State DOT	\$ 5,634,311.00	12/31/2015	98%	P. Scalamandre & Sons Tom Hayes (516) 868-3000
13-297 Village of Mineola 2013 Road Improvements	Village of Mineola	Dvirka & Bartilucci Christopher Clement (516)364-9890	\$ 1,654,509.00	12/31/2015	85%	Village of Mineola Thomas Rini (516) 746-0753
14-034 Bridge Rehabilitation Various Locations	Suffolk County DPW	Suffolk County DPW	\$ 1,848,219.00	12/31/2015	75%	Suffolk County DPW Justin Hipperling (631) 852-4006
14-084 Lake Success Redevelopment 1 Dakota Drive	We're Associates, Inc.	We're Associates Inc. Gary R Woska	\$ 2,321,333.00	7/27/2015	55%	We're Associates, Inc. Philip Arnold (516) 931-5322
14-220 2014 Road Improvements	Village of Garden City	Village of Garden City In-House	\$ 4,746,069.00	12/31/2015	70%	Village of Garden City Domenick Stanco (516) 465-4017

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
14-294 Ritz Carlton Residences Off Site Sanitary Sewer Connections SLRC1080018	LRC Construction LLC	H2M Architects Engs	\$ 525,000.00	7/27/2015	61%	LRC Construction LLC Steve Feinstein (914) 773-7700
15-241 3&5 Dakota Parking Lots	We're Associates Inc.	We're Associates Inc. Gary R Woska	\$ 609,457.00	12/31/2015	85%	We're Associates Philip Arnold (516) 931-5322
14-132 The Meadows at Yaphank Yaphank Woods Blvd. Road Improvements	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 1,835,000.00	4/30/2016	100%	AVR Realty Tom Perna (914) 965-3990
14-142 Stony Brook University Toll Drive Residence Phase I	Aurora Contractors Inc. State University of New York at Stony Brook	KSQ Architects, P.C. (914) 682-3700	\$ 1,980,789.00	12/31/2016	87%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
14-378 Stony Brook University Toll Drive Residence Phase II	Aurora Contractors Inc. State University of New York at Stony Brook	KSQ Architects, P.C. (914) 682-3700	\$ 2,146,774.00	12/31/2016	70%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
15-017 Dormitories #A04B007 Sitework	NYRA Belmont EW Howell Travis Salvo	Dolph Rotfeld Eng. (914) 631-8600	\$ 538,413.00	9/28/2016	87%	EW Howell Travis Salvo (516) 921-7100
15-107 William Floyd Parkway Yaphank Woods Blvd.	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 4,061,494.00	12/31/2016	76%	AVR Realty Tom Perna (914) 965-3990
15-149 Leavenworth Solar Farm Shoreham	Borrego Solar Systems Inc Eldor Contracting Corp. Keith Feldman	VHB Engineering (631) 234-3444	\$ 1,082,426.00	9/28/2016	88%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
15-315 2015 Road Improvements	Village of Floral Park Valente Contracting Corp.	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 1,297,048.00	12/31/2016	95%	Valente Contracting Corp John Valente (516) 746-7933
15-305 The Meadows at Yaphank Yaphank Woods Blvd. Phase 1A Sitework	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 978,895.00	12/31/2016	100%	AVR Realty Tom Perna (914) 965-3990
15-181 Lake Success Redevelopment 3 Dakota -P.Lot & Ramp	We're Associates Inc. Philip Arnold (516) 931-5322	We're Associates Inc. Gary R Woska	\$ 1,423,116.00	4/30/2016	65%	We're Associates Inc. Philip Arnold (516) 931-5322
15-255 2015 Road Improvements	Village of Mineola Valente Contracting Corp.	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 742,821.00	9/28/2016	100%	Valente Contracting Corp John Valente (516) 746-7933
16-130 LIE Welcome Center Dix Hills	NYSDOT P. Scalamandre & Sons	Stantec Consulting Services Inc. (212) 366-5600	\$ 1,578,526.00	11/30/2016	100%	P. Scalamandre & Sons Tom Hayes (516) 868-3000

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
16-152 2016 Road Improvements	Village of New Hyde Park	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 2,127,958.00	12/31/2016	77%	Village of New Hyde Park Tom Gannon (516) 354-0064
16-218 2016 Road Improvements	Village of Garden City	Village of Garden City In-House	\$ 1,447,250.00	12/31/2016	65%	Village of Garden City Domenick Stanco (516) 465-4017
16-308 2016 Road Improvements	Village of Mineola Valente Contracting Corp.	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 725,790.00	12/31/2016	100%	Valente Contracting Corp John Valente (516) 746-7933
15-221 Green Acres Commons Valley Stream	Green Acres Adjacent LLC Aurora Contractors Inc. Barney Reilly	SPG3 Architects Bruce Keller	\$ 6,523,195.00	7/31/2017	90%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
15-243 Green Acres Commons Valley Stream - Paving	Green Acres Adjacent LLC Aurora Contractors Inc. Barney Reilly	SPG3 Architects Bruce Keller	\$ 1,560,664.00	7/31/2017	93%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
16-034 1933 & 1963 Union Blvd. Sitework	South Side Hospital Schulmann Industries Inc. Harry Haralambous	Sidney B. Bowne & Son (516) 746-2350	\$ 1,735,825.00	9/30/2017	55%	Schulmann Industries Inc Harry Haralambous (631) 499-7974
16-160 Roslyn Schools Paving	Roslyn UF School District Watral Brothers Inc.	VHB Engineering (631) 234-3444	\$ 589,403.00	9/30/2017	98%	Watral Brothers Inc. Jake Watral (631) 586-8300
16-358 Culverts 1 & 2 Holtsville Terminal	Northville Industries Corp.	U.N.I Engineering Inc. Edward J. Sapp	\$ 786,034.00	12/26/2017	41%	Northville Industries Corp. (631) 475-5060
17-273 Parking Lot Construction Union Blvd., Bay Shore	Northwell Health Inc. Schulman Industries Harry Haralambous	Sidney B. Bowne & Son (516) 746-2350	\$ 548,425.00	12/31/2017	70%	Northwell Health Inc. Schulman Industries Harry Haralambous (631) 499-7974
15-261 Avalon at Great Neck Site Work	Avalon Bay Communities Scott Fradenburg 516-501-6020	VHB Engineering (631) 234-3444	\$ 1,501,001.19	1/31/2018	93%	Avalon Bay Communities Scott Fradenburg 516-501-6020
16-048 Avalon Rockville Centre II Sitework	Avalon Bay Communities Scott Fradenburg 516-501-6020	Nelson & Pope (631) 427-5665	\$ 1,373,303.43	1/31/2018	95%	Avalon Bay Communities Scott Fradenburg 516-501-6020
16-286 Common Area 2 Sitework The Meadows at Yaphank	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 679,748.50	12/31/2018	100%	AVR Realty Tom Perna (914) 965-3990
17-103 Shoreham Solar Commons - Sitework	Shoreham Solar Commons LLC Keith Feldmann - Eldor (631) 218-0100	TRC Engineers Inc. (516) 671-3407	\$ 2,854,197.00	11/30/2018	90%	Eldor Contracting Corp. Keith Feldmann (631) 218-0100
17-189 Village of Garden City 2017 Road Improvements	Village of Garden City Valente Contracting Corp. John Valente	Village of Garden City In-House	\$ 1,049,622.00	12/31/2018	96%	Valente Contracting Corp John Valente (516) 746-7933

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
17-237 Northwell Lynbrook Site Work	Talisen Construction Corp. Joseph Rigazio (212) 244-4581	Sidney B. Bowne & Son (516) 746-2350	\$ 908,330.81	6/30/2018	59%	Talisen Construction Corp. Joseph Rigazio (212) 244-4581
17-347 Sky Drive Farmingdale Sitework	BDG Farmingdale LLC Mike Christiano (516) 624-1948	Bohler Engineering Joseph Deal (631) 738-1200	\$ 4,327,684.00	12/31/2018	70%	BDG Farmingdale LLC Mike Christiano (516) 624-1948
17-029 Hofstra University Zarb School of Business	Hofstra University J&A Concrete Tony Martins	Cameron Engineering (516) 827-4900	\$ 1,020,658.00	1/31/2019	93%	J&A Concrete Tony Martins (631) 567-5959
17-043 AVR Yaphank Constr. LIE Svc Rd-Westbound Ramp	AVR Realty Tom Perna (914) 965-3990	Vollmuth & Brush George Brush (631) 363-2683	\$ 3,782,086.80	9/27/2019	76%	Tom Perna (914) 965-3990
17-075 AVR Yaphank Constr. LIE Svc Rd-Eastbound Ramp	AVR Realty Tom Perna (914) 965-3990	Greenman Pedersen Inc. (631) 587-5060	\$ 2,013,282.41	9/27/2019	67%	Tom Perna (914) 965-3990
17-231 SBU Innovation & Discovery Center	State University of NY Aurora Contractors Barney Riley	Mitchell - Giurgola Architects, LLP	\$ 1,973,064.00	7/31/2019	86%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
17-241 Village of Valley Stream 2017 Road Improvements	Village of Valley Stream Robert Fumagalli (516) 592-5105	Lucchesi Engineering PC Michael Mark (516) 942-3772	\$ 1,617,502.61	9/27/2019	60%	Robert Fumagalli (516) 592-5105
17-375 Hempstead Force Main Contract S3P311-09S	Village of Hempstead P. Scalamandre & Sons	Cameron Engineering (516) 827-4900	\$ 624,361.98	7/31/2019	100%	P. Scalamandre & Sons Thomas Hayes (516) 868-3000
17-405 Improvements to CR93 Ocean Ave @ Rosevale CP 5535/5014	Suffolk County DPW Justin Hipperling (631) 852-4006	Suffolk County DPW In-House	\$ 1,731,041.63	12/13/2019	66%	Suffolk County DPW Justin Hipperling (631) 852-4006
18-070 Simply Self Storage Hauppauge - Site Work	Simply Self Storage Axis Construction Corp.	Barnett Bonacci & Van Weele, PC (631) 435-1111	\$ 640,424.04	7/31/2019	80%	Axis Construction Corp. Vincent Buffolino (631) 243-5970
18-112 Nat Grid - The Gateway to Brightwater -Site Work/Paving	National Grid Schulman Industries Chirs Pirraglia	National Grid In-House	\$ 777,963.70	8/31/2019	90%	Schulman Industries Chris Pirraglia (631) 499-7974
18-328 Riverhead Solar Project	Riverhead Solar Farm LLC Rosendin Electric Inc. Rich Shaffer	Blymer Engineers (510) 521-3773	\$ 3,165,184.35	8/31/2019	95%	Rosendin Electric Inc. Rick Shaffer (657) 276-0600
18-338 Cornerstone at Hauppauge On-site Paving	Cornerstone Hauppauge LLC Bartone & Pellerito Constr Anthony Bartone	Nelson & Pope (631) 427-5665	\$ 914,318.00	12/31/2019	80%	Bartone & Pellerito Constr Anthony Bartone (516) 249-2022

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
18-450 Town of Hempstead PW 32-18 Uniondale & Roosevelt	Town of Hempstead Valente Contracting Corp. John Valente	Town of Hempstead In-House	\$ 635,699.34	12/31/2019	80%	Valente Contracting Corp John Valente (516) 746-7933
19-095 Sunken Meadow State Park Resilience & Restor - Paving	State of NY Parks & Rec. G&M Earthmoving	Louis Berger & Assoc PC (212) 612-7900	\$ 912,815.00	12/15/2019	0%	G&M Earth Moving Anthony Ragueso anthony@gmearthmoving.com
19-297 Cove Neck Seawall Repair H61587-58G	Nassau County DPW Metro Paving Inc.	Nassau County DPW In-House	\$ 530,785.42	3/1/2020	100%	Metro Paving Inc. Ryan Kellerman (631)756-8038

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Pratt Brothers Inc.

Address: 45 South Fourth Street

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

2. Entity's Vendor Identification Number: 16-1620294

3. Type of Business: Closely Held Corp (specify) _____

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

First Name Joseph
Last Name Williams
MI M Suffix Jr.
Address [REDACTED]
City [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]
Country US
Position Sr Vice President

First Name James
Last Name Pratt
MI J Suffix III
Address [REDACTED]
City [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]
Country US
Position President/Treasurer

First Name Thomas
Last Name Pratt
MI _____ Suffix _____
Address [REDACTED]
City [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]
Country US
Position Vice President/Secretary

First Name Edward
Last Name McCoy
MI P Suffix Jr.
Address [REDACTED]
City [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]
Country US

Position Vice President

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

First Name James
Last Name Pratt
MI J Suffix III
Address [REDACTED]
City [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]
Country
Position President/Treasurer

First Name Thomas
Last Name Pratt
MI Suffix
Address [REDACTED]
City [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]
Country
Position Vice President/Secretary

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

Scatt Materials Corp.

8 File(s) uploaded Scatt Vendor Disclosure 10.14.21.pdf, Scatt Vendor Disclosure Form 12.3.20.pdf, Scatt Vendor Disclosure Form 2.13.20.pdf, Scatt Vendor Disclosure Form 2.21.20.pdf, Scatt Vendor Disclosure Form 2.28.20.pdf, Scatt Vendor Disclosure Form 5.19.21.pdf, Scatt Vendor Disclosure Form 9.13.19.pdf, Scatt Vendor Disclosure Form Feb 2020.pdf

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES ☐ NO ☒

(a) Name, title, business address and telephone number of lobbyist(s):

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

Joseph M. Williams, Jr., P.E.



Dated: 10/14/2021 04:03:40 PM

Title: Sr. Vice President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Scatt Materials Corp.

Address: 44 South Fourth Street

City: Bay Shore State/Province/Territory: NY Zip/Postal Code: 11706

Country: US

2. Entity's Vendor Identification Number: 11-2607021

3. Type of Business: Closely Held Corp (specify) _____

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

First Name Thomas
 Last Name Pratt
 MI _____ Suffix _____
 Address [REDACTED]
 City [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]
 Country US
 Position President

First Name James
 Last Name Haney
 MI _____ Suffix _____
 Address [REDACTED]
 City [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]
 Country US
 Position Vice President

First Name James
 Last Name Haney
 MI _____ Suffix _____
 Address [REDACTED]
 City [REDACTED] State/Province/Territory: NY Zip/Postal Code: [REDACTED]
 Country US
 Position Secretary

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

James J. Pratt, III - Stockholder
Thomas Pratt - Stockholder
James Haney - Stockholder
Chris Haney - Stockholder

Keith Haney - Stockholder
David Shakesby - Stockholder
Jake Watral - Stockholder
Thomas Watral - Stockholder

Robert Watral - Stockholder

No shareholders, members, or partners have been attached to this form.

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

Pratt Brothers, Inc - James J. Pratt, III and Thomas Pratt share ownership as Scatt Materials Corp. will supply asphalt to Pratt Brothers, Inc.

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES ☐ NO ☒

(a) Name, title, business address and telephone number of lobbyist(s):

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

Thomas Pratt [REDACTED]

Dated: 10/14/2021 03:46:15 PM

Title: President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

**COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo**

TO: Office of the County Executive
Att: Arthur T. Walsh, Chief Deputy County Executive

FROM: Kenneth G. Arnold, Commissioner

DATE: January 31, 2022

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: H61587-PR8
Title: Priority Resurfacing Phase 8
Engineer's Estimate: \$ 10,646,900.00
BIDS RECEIVED ON: December 7, 2021

The bids received for the above referenced contract have been examined and the bid submitted by Pratt Brothers, Inc. in the amount of \$7,992,465.65 is acceptable as the lowest bid (see the attached bid tabulation).

The low bid by Pratt Brothers, Inc. is 24.93% below the engineer's estimate. Adequate funding is available (Capital Project number 61587).

If you approve or disapprove of the above request, please signify below, and return this memo to this office for appropriate action.



Kenneth G. Arnold
Commissioner

KGA:jd
Attachments

c: Loretta Dionisio, Assistant to Deputy Commissioner
Richard Iadevaio, Jr., Superintendent of Highway and Drainage Construction
Christopher Paggi, Chief Engineer
Vivian Toscano, Civil Engineer III
Daniel Wong, Civil Engineer II

APPROVED:



Arthur T. Walsh Date 2/2/22
Chief Deputy County Executive

DISAPPROVED:

Arthur T. Walsh Date
Chief Deputy County Executive



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Kenneth G. Arnold, Commissioner

FROM: Christopher Paggi, Chief Engineer

DATE: January 26, 2022

SUBJECT: RECOMMENDATION OF AWARD

Contract Number: H61587-PR8

Title: Priority Resurfacing Phase 8

Engineer's Estimate: \$ 10,646,900.00

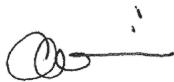
BIDS RECEIVED ON: December 7, 2021

The Bids received for the above referenced contract have been examined, as tabulated in the bid comparison (attached). The bid submitted by Pratt Brothers, Inc., in the amount of \$7,992,465.65 is acceptable as the lowest responsible bidder.

As per meeting with Construction group, various items and low bid prices were discussed and no issues were raised.

The low bid by Pratt Brothers, Inc. is 24.93% below the engineer's estimate. Adequate funding is available (Capital Project number 61587). After reviewing all documentation submitted by the contractor, it was found that Pratt Brothers, Inc. meets the required goals for this project.

Therefore, it is requested that the Recommendation of Award be prepared for the Commissioner's signature and forwarded to the County Executive for his action.



Christopher Paggi
Chief Engineer

CP:jd

Attachments

c: Loretta Dionisio, Assistant to Deputy Commissioner
Richard Iadevaio Jr., Superintendent of Highway and Drainage Construction
Vivian Toscano, Civil Engineer III
Daniel Wong, Civil Engineer II





December 17, 2021

Kenneth G. Arnold, PE,
Commissioner
Nassau County Department of Public Works
1194 Prospect Avenue
Westbury, NY 11590

ATTN: Daniel Wong

Re: NCDPW Contract H61587-PR8 Priority Resurfacing of Various Roads – Phase 8
Bid Review Analysis

Dear Commissioner Arnold,

Bids were received on the above referenced project on December 7, 2021. Five (5) Contractors submitted cost proposals. Of the five (5) bids received, the bid from Pratt Brothers, Inc. is the apparent low bid at \$7,992,465.65. The bid was below the engineer's estimate of \$10,646,900.00. The results were as follows:

<u>Contractor</u>	<u>Bid Received</u>	<u>Corrected Bid Amount</u>
Pratt Brothers, Inc.	\$7,992,465.65	\$7,992,465.65
H & L Contracting, LLC	\$8,283,881.00	\$8,283,881.00
Metro Paving LLC	\$9,698,200.00	\$9,698,200.00
Posillico Civil, Inc.	\$11,679,761.00	\$11,679,761.00
JR CRUZ Construction	\$12,270,215.00	\$12,270,215.00

Attached for your review and consideration is a Bid Analysis Table. The lowest two bids were competitive, and the lowest three bids were all lower than the Engineers Estimate. The highest two bids were in the vicinity of \$12 million and both were more than the Engineers Estimate. All five (5) bids were evaluated by our office. Pratt Brothers, Inc. is the lowest bidder based upon our evaluation, and based on the range of costs associated with the received bids, we conclude that the low bid is a fair price for the work.

As identified on the Bid Sheets, a number of items have been designated as Contingent. These items are not likely to be used during the duration of the Contract, however have been included in the event they are required. Pratt Brothers, Inc. individual unit prices for the Contingent Items primarily were bid at a \$0.01 or \$1.00. The second lowest bidder, H & L Contracting, LLC also bid unit prices of \$1.00 for majority of the Contingent items. Pratt Brothers, Inc. individual unit prices bid for majority of each non-contingent item in the Contract are within proximity of the Engineers Estimate and are reasonable. As for the remaining items, there are several items that have been found to be excessively lower than the engineers estimate. These items consist of:

- Item 102X and 102Y, Work Zone Traffic Control – This item was 99% lower than the engineers estimate as it was bid at \$1.00.
- Item 111, Removal and Replacement of Pavements – This item was 99% lower than the engineers estimate, as it was bid at \$1.00. This item of work is to be used to pay for full depth reconstruction. This low bid likely reflects the Contractors assumption that the quantity in the Engineers Estimate is an overestimation based

on similar project experience. However, it is felt that the quantity for this item in the Engineers Estimate is reasonable and is what will be required to complete the work under this contract.


- Item 129, Cement Concrete For Pavement Repairs - This item was 99% lower than the engineers estimate, as it was bid at \$1.00. This low bid likely reflects the Contractors assumption that the quantity in the Engineers Estimate is an overestimation based on similar project experience. It is felt that the quantity for this item in the Engineers Estimate is an overestimation, as the roadways are primarily of asphalt pavement construction.

From our experience, Pratt Brothers, Inc., has the necessary experience and wherewithal to undertake this project. The scope of work identified for this contract is in their area of expertise. Based upon the above analysis, we would recommend award of this contract to Pratt Brothers, Inc. in the amount of \$7,992,465.65. The tabulation of the bids are enclosed for your review.

If you have any questions or require any further information, do not hesitate to contact me.

Very truly yours,

LiRo Engineers, Inc.



Anthony Weber, P.E.
Senior Associate

Encl.

COMPARISON OF BIDS RECEIVED AND OPENED

Bid Opening Date: December 7th, 2021

Project Name: Priority Resurfacing of Various Road - Phase 8 (H61587-PR8)

Location: Nassau County, New York

Client Name: Nassau County Department of Public Works

= Error

				Engineers Estimate				Pratt Brothers, Inc.				2nd Lowest Bidder				H & L Contracting, LLC				Metro Paving LLC				Posilllico Civil, Inc.				JR CRUZ Construction			
Item	Description	UNIT	QUANTITY	UNIT PRICE		AMOUNT		Amount Difference	% From Engineers Estimate	*Major & At Least 50% Above or Below EE	UNIT PRICE		AMOUNT		Amount Difference	Unit Price % Difference	UNIT PRICE	AMOUNT		Amount Difference	Unit Price % Difference	UNIT PRICE	AMOUNT		Amount Difference	Unit Price % Difference	UNIT PRICE	AMOUNT		Amount Difference	Unit Price % Difference
				UNIT PRICE	AMOUNT	UNIT PRICE	AMOUNT				UNIT PRICE	AMOUNT	UNIT PRICE	AMOUNT				UNIT PRICE	AMOUNT				UNIT PRICE	AMOUNT							
1X	Clearing and Grubbing	SY	50	\$ 50.00	\$2,500.00	\$1.00	\$50.00	\$ (2,450.00)	-98.00%		\$1.00	\$50.00	\$ (2,450.00)	-98.00%	\$100.00	\$5,000.00	\$ (2,500.00)	-100.00%	\$40.00	\$2,000.00	\$ (500.00)	-20.00%	\$100.00	\$5,000.00	\$ (2,500.00)	-100.00%	\$100.00	\$5,000.00	\$ (2,500.00)	-100.00%	
2	Unclassified Excavation	CY	1200	\$ 100.00	\$120,000.00	\$1.00	\$1,200.00	\$ (118,800.00)	-99.00%		\$1.00	\$1,200.00	\$ (118,800.00)	-99.00%	\$50.00	\$60,000.00	\$ (60,000.00)	-50.00%	\$120.00	\$144,000.00	\$ (24,000.00)	-20.00%	\$70.00	\$84,000.00	\$ (36,000.00)	-30.00%	\$70.00	\$84,000.00	\$ (36,000.00)	-30.00%	
3	Trench, Culvert and Bridge Excavation	CY	50	\$ 2,000.00	\$2,000.00	\$20.00	\$1,000.00	\$ (1,500.00)	-60.00%		\$20.00	\$1,000.00	\$ (1,500.00)	-60.00%	\$50.00	\$2,500.00	\$ -	0.00%	\$15.00	\$750.00	\$ (1,750.00)	-70.00%	\$100.00	\$5,000.00	\$ (2,500.00)	-100.00%	\$100.00	\$5,000.00	\$ (2,500.00)	-100.00%	
4A	Cement Concrete Breaking (Pavement)	SY	4750	\$ 14.00	\$66,500.00	\$0.01	\$47.50	\$ (66,452.50)	-99.93%		\$1.00	\$4,750.00	\$ (61,750.00)	-92.86%	\$2.00	\$9,500.00	\$ (57,000.00)	-85.71%	\$5.00	\$23,750.00	\$ (42,750.00)	-64.29%	\$50.00	\$237,500.00	\$ (171,000.00)	-257.14%	\$50.00	\$237,500.00	\$ (171,000.00)	-257.14%	
4B	Cement Concrete Breaking (Structures)	CY	20	\$ 45.00	\$900.00	\$0.01	\$9.00	\$ (891.00)	-99.98%		\$0.01	\$9.00	\$ (891.00)	-97.78%	\$250.00	\$5,000.00	\$ (4,100.00)	-455.56%	\$5.00	\$100.00	\$ (800.00)	-88.89%	\$800.00	\$16,000.00	\$ (15,100.00)	-1677.78%	\$800.00	\$16,000.00	\$ (15,100.00)	-1677.78%	
5C	Selected Fill	CY	160	\$ 50.00	\$8,000.00	\$1.00	\$160.00	\$ (7,840.00)	-98.00%		\$30.00	\$4,800.00	\$ (3,200.00)	-40.00%	\$20.00	\$3,200.00	\$ (4,800.00)	-60.00%	\$30.00	\$4,800.00	\$ (3,200.00)	-40.00%	\$100.00	\$10,000.00	\$ (8,000.00)	-100.00%	\$100.00	\$10,000.00	\$ (8,000.00)	-100.00%	
7	Preparing Fine Grade	SY	4750	\$ 5.00	\$23,750.00	\$1.00	\$4,750.00	\$ (19,000.00)	-80.00%		\$1.00	\$4,750.00	\$ (19,000.00)	-80.00%	\$3.00	\$14,250.00	\$ (9,500.00)	-40.00%	\$2.00	\$9,500.00	\$ (14,250.00)	-60.00%	\$20.00	\$95,000.00	\$ (71,250.00)	-300.00%	\$20.00	\$95,000.00	\$ (71,250.00)	-300.00%	
12DIP-12	Ductile Iron Culvert Pipe-12" Diameter	LF	50	\$ 110.00	\$5,500.00	\$0.01	\$0.50	\$ (5,499.50)	-99.99%		\$60.00	\$3,000.00	\$ (2,500.00)	-45.45%	\$90.00	\$4,500.00	\$ (1,000.00)	-18.18%	\$20.00	\$1,000.00	\$ (4,500.00)	-81.82%	\$250.00	\$12,500.00	\$ (7,000.00)	-127.27%	\$250.00	\$12,500.00	\$ (7,000.00)	-127.27%	
12DIP-14	Ductile Iron Culvert Pipe-14" Diameter	LF	50	\$ 130.00	\$6,500.00	\$0.01	\$0.50	\$ (6,499.50)	-99.99%		\$60.00	\$3,000.00	\$ (3,500.00)	-53.85%	\$140.00	\$7,000.00	\$ (500.00)	7.69%	\$20.00	\$1,000.00	\$ (5,500.00)	-84.62%	\$300.00	\$15,000.00	\$ (8,500.00)	-130.77%	\$300.00	\$15,000.00	\$ (8,500.00)	-130.77%	
12H	Cleaning Existing Drainage System	LF	6000	\$ 6.00	\$36,000.00	\$5.00	\$30,000.00	\$ (6,000.00)	-16.67%		\$4.00	\$24,000.00	\$ (12,000.00)	-33.33%	\$5.00	\$30,000.00	\$ (6,000.00)	-16.67%	\$3.00	\$18,000.00	\$ (18,000.00)	-50.00%	\$6.00	\$36,000.00	\$ -	0.00%	\$6.00	\$36,000.00	\$ -	0.00%	
12H-X	Cleaning Existing Catch Basin	EA	400	\$ 850.00	\$340,000.00	\$800.00	\$320,000.00	\$ (20,000.00)	-5.88%		\$925.00	\$370,000.00	\$ (30,000.00)	8.82%	\$450.00	\$180,000.00	\$ (160,000.00)	-47.06%	\$900.00	\$360,000.00	\$ (20,000.00)	5.88%	\$500.00	\$200,000.00	\$ (100,000.00)	-29.41%	\$500.00	\$200,000.00	\$ (100,000.00)	-29.41%	
13A	Catch Basins	CY	10	\$ 1,600.00	\$16,000.00	\$1.00	\$10.00	\$ (15,990.00)	-99.94%		\$1.00	\$10.00	\$ (15,990.00)	-99.94%	\$900.00	\$9,000.00	\$ (7,000.00)	-43.75%	\$1,200.00	\$12,000.00	\$ (4,000.00)	-25.00%	\$2,500.00	\$25,000.00	\$ (9,000.00)	-56.25%	\$2,500.00	\$25,000.00	\$ (9,000.00)	-56.25%	
13B	Manholes	CY	10	\$ 1,600.00	\$16,000.00	\$1.00	\$10.00	\$ (15,990.00)	-99.94%		\$1.00	\$10.00	\$ (15,990.00)	-99.94%	\$1,200.00	\$12,000.00	\$ (4,000.00)	-25.00%	\$800.00	\$8,000.00	\$ (8,000.00)	-100.00%	\$3,000.00	\$30,000.00	\$ (14,000.00)	-83.33%	\$3,000.00	\$30,000.00	\$ (14,000.00)	-83.33%	
14	Connections to Existing Drainage Facilities	EA	5	\$ 1,500.00	\$7,500.00	\$1.00	\$5.00	\$ (7,495.00)	-99.93%		\$1.00	\$5.00	\$ (7,495.00)	-99.93%	\$500.00	\$2,500.00	\$ (5,000.00)	-66.67%	\$250.00	\$1,250.00	\$ (6,250.00)	-83.33%	\$1,500.00	\$7,500.00	\$ -	0.00%	\$1,500.00	\$7,500.00	\$ -	0.00%	
15	Altering Catch Basins	EA	5	\$ 4,000.00	\$20,000.00	\$1.00	\$5.00	\$ (19,995.00)	-99.98%		\$1.00	\$5.00	\$ (19,995.00)	-99.98%	\$900.00	\$4,500.00	\$ (15,500.00)	-77.50%	\$2,800.00	\$14,000.00	\$ (6,000.00)	-30.00%	\$800.00	\$4,000.00	\$ (16,000.00)	-80.00%	\$800.00	\$4,000.00	\$ (16,000.00)	-80.00%	
15X	Rehabilitation of Catch Basin	EA	20	\$ 2,500.00	\$50,000.00	\$4,000.00	\$80,000.00	\$ (30,000.00)	60.00%		\$1,750.00	\$70,000.00	\$ (34,000.00)	94.44%	\$2,000.00	\$40,000.00	\$ (10,000.00)	-20.00%	\$5,200.00	\$104,000.00	\$ (54,000.00)	-108.00%	\$3,800.00	\$76,000.00	\$ (25,000.00)	-52.00%	\$1,250.00	\$25,000.00	\$ (25,000.00)	-100.00%	
16X	Altering Brick Manholes	EA	40	\$ 900.00	\$36,000.00	\$1,750.00	\$70,000.00	\$ (34,000.00)	94.44%		\$2,000.00	\$80,000.00	\$ (52,000.00)	144.44%	\$2,000.00	\$80,000.00	\$ (52,000.00)	144.44%	\$2,200.00	\$88,000.00	\$ (52,000.00)	144.44%	\$800.00	\$32,000.00	\$ (4,000.00)	-11.11%	\$800.00	\$32,000.00	\$ (4,000.00)	-11.11%	
17A	Class A Concrete For Structures	CY	10	\$ 800.00	\$8,000.00	\$0.01	\$8.00	\$ (7,999.90)	-100.00%		\$0.01	\$8.00	\$ (7,999.90)	-100.00%	\$900.00	\$9,000.00	\$ (1,000.00)	-12.50%	\$1,000.00	\$10,000.00	\$ (2,000.00)	-20.00%	\$2,500.00	\$25,000.00	\$ (17,000.00)	-212.50%	\$2,500.00	\$25,000.00	\$ (17,000.00)	-212.50%	
24	Cement Concrete Pavement	CY	20	\$ 800.00	\$16,000.00	\$800.00	\$16,000.00	\$ (4,000.00)	0.00%		\$800.00	\$16,000.00	\$ (4,000.00)	0.00%	\$800.00	\$16,000.00	\$ (4,000.00)	0.00%	\$800.00	\$16,000.00	\$ (4,000.00)	0.00%	\$800.00	\$16,000.00	\$ (4,000.00)	0.00%	\$800.00	\$16,000.00	\$ (4,000.00)	0.00%	
24V	Concrete Valley Gutter	CY	25	\$ 1,000.00	\$25,000.00	\$800.00	\$20,000.00	\$ (5,000.00)	-20.00%		\$800.00	\$20,000.00	\$ (5,000.00)	-20.00%	\$200.00	\$5,000.00	\$ (5,000.00)	-20.00%	\$800.00	\$8,000.00	\$ (12,500.00)	-50.00%	\$1,250.00	\$31,250.00	\$ (6,250.00)	-25.00%	\$1,250.00	\$31,250.00	\$ (6,250.00)	-25.00%	
26	Concrete Curb	LF	1500	\$ 50.00	\$75,000.00	\$4.00	\$60,000.00	\$ (9,000.00)	-12.00%		\$4.00	\$60,000.00	\$ (9,000.00)	-12.00%	\$36.00	\$54,000.00	\$ (21,000.00)	-28.00%	\$100.00	\$150,000.00	\$ (75,000.00)	-100.00%	\$100.00	\$150,000.00	\$ (75,000.00)	-100.00%	\$100.00	\$150,000.00	\$ (75,000.00)	-100.00%	
26CG	Monolithic Concrete Curb and Gutter	LF	400	\$ 60.00	\$24,000.00	\$65.00	\$26,000.00	\$ (2,000.00)	8.33%		\$65.00	\$26,000.00	\$ (2,000.00)	8.33%	\$42.00	\$16,800.00	\$ (7,200.00)	-30.00%	\$60.00	\$24,000.00	\$ -	0.00%	\$150.00	\$60,000.00	\$ (36,000.00)	-150.00%	\$150.00	\$60,000.00	\$ (36,000.00)	-150.00%	
27	Cement Concrete Sidewalk	SF	3000	\$ 17.00	\$51,000.00	\$15.00	\$45,000.00	\$ (6,000.00)	-11.76%		\$15.00	\$45,000.00	\$ (6,000.00)	-11.76%	\$13.00	\$39,000.00	\$ (12,000.00)	-23.53%	\$35.00	\$105,000.00	\$ (54,000.00)	-105.88%	\$20.00	\$60,000.00	\$ (9,000.00)	-17.65%	\$20.00	\$60,000.00	\$ (9,000.00)	-17.65%	
27BW	Detachable Warning Surface	SF	200	\$ 55.00	\$11,000.00	\$50.00	\$10,000.00	\$ (1,000.00)	-0.99%		\$50.00	\$10,000.00	\$ (1,000.00)	-0.99%	\$45.00	\$9,000.00	\$ (2,000.00)	-18.18%	\$130.00	\$260,000.00	\$ (40,000.00)	-15.38%	\$30.00	\$60,000.00	\$ (5,000.00)	-8.33%	\$30.00	\$60,000.00	\$ (5,000.00)	-8.33%	
28	Cement Concrete Driveways and Driveway Aprons	SF	350	\$ 20.00	\$7,000.00	\$19.00	\$6,650.00	\$ (350.00)	-5.00%		\$19.00	\$6,650.00	\$ (350.00)	-5.00%	\$18.00	\$6,300.00	\$ (700.00)	-10.00%	\$45.00	\$15,750.00	\$ (1,750.00)	-12.50%	\$25.00	\$8,750.00	\$ (1,750.00)	-25.00%	\$25.00	\$8,750.00	\$ (1,750.00)	-25.00%	
29	Driveway Restoration	SF	200	\$ 15.00.																											

Bid Opening: 12/7 /2021**Engineer:** Daniel Wong **Phone:** (516) 571-6886

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
Pratt Brothers, Inc.	45 South Fourth Street Bay Shore, NY 11706-1210	Philadelphia Indemnity Insuranc	10% of Bid Amount	\$7,992,465.65	\$0.00
H & L Contracting, LLC	140 Adams Ave Suite B14 Hauppauge, NY 11788	Arch Insurance Company	10% of Bid Amount	\$8,283,881.00	\$0.00
Metro Paving LLC	500 Patton Avenue West Babylon, NY 11704-1417	Liberty Mutual Insurance Comp	10% of Bid Amount	\$9,698,200.00	\$0.00
Posillico Civil, Inc.	1750 New Highway Farmingdale, NY 11735	Liberty Mutual Insurance Comp	10% of Bid Amount	\$11,679,761.00	\$0.00
JR CRUZ Construction	33 West Main Street Holmdel, NJ 07733	Liberty Mutual Insurance Comp	10% of Bid Amount	\$12,270,215.00	\$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACTPART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC
☐ RFQ ☐ RFP ☒ X RFBC ☐ In-House or Requirements Work Order
Priority Resurfacing Contract Phase 8 (H61587-PR8)
Project Title: Nassau County Priority Resurfacing Contract – Phase 8 H61587-PR8 (Proposed Contract)Department: Public Works Project Manager: Daniel Wong Date: 7/14/2021Service Requested: Work involves furnishing labor, materials, tools, equipment and incidentals as specified for the resurfacing of asphalt and/or concrete surfaces and other related work for various roads in Nassau CountyJustification: This new contract will be used to improve the condition of the existing pavement by expediting the resurfacing of various selected roads with advanced deterioration once the individual designs for each road are completed.Requested by: Civil/Site Design Unit

Department/Agency/Office

Project Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$10,000,000.00
Circle appropriate phaseTotal Project Cost: \$
Includes, design, construction and CMDate Start Work: TBD
Phase being requestedDuration: 180 calendar days
Phase being requestedCapital Funding Approval: YES ☐ NO ☐ Roseann Dallava 07/15/21
SIGNATURE DATEFunding Allocation (Capital Project):
See Attached Sheet if multiyear ☐NIFS Entered: _____
SIGNATURE DATEAIM Entered: [Signature] 7/23/2021
SIGNATURE DATEFunding Code: 61587-PR8
use this on all encumbrancesTimesheet Code: 21-0190
use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐
Supplemental Environmental Documentation _____Department Head Approval: YES ☒ NO ☐DCE/Ops Approval: YES ☒ NO ☐
[Signature]
 SIGNATURE
Brian J. Schneider 7/19/2021
 SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval: YES NO Signature _____
Version January 2014

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Daniel Wong, Civil Engineer II

FROM: Office of the Commissioner

DATE: August 17, 2021

SUBJECT: CSEA Sub-Contracting Approval
C21-0190 – Proposed Contract Number:H61587-PR8
Nassau County Priority Resurfacing Contract Phase 8

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. The Department has determined that it will proceed with the above-referenced contract known as **C21-0190**.

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.



Roseann D'Alleva
Deputy Commissioner

RD:jd

c: Kenneth G. Arnold, Commissioner
Rakhal Maitra, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

C21-0190

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, President

FROM: Department of Public Works

DATE: August 9, 2021

SUBJECT: CSEA Notification of a Proposed DPW Contract
Nassau County Priority Resurfacing Contract – Phase 8
Proposed Contract Number: H61587-PR8

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a construction contract for the following services: Resurfacing of Various County Roads in Nassau County.
2. The work involves the following: Furnishing labor, materials, tools, equipment, and incidentals as specified for the resurfacing of asphalt and/or concrete surfaces and other related work at various locations in Nassau County. The work operations may include, but not be limited to milling and paving of the existing asphalt pavement, concrete pavement repairs, full depth asphalt and joint repairs, and installation of pavement markings, traffic signal loops and plowable raised reflectorized pavement markers.
3. An estimate of the cost is: \$10,000,000.00
4. An estimate of the duration is: One hundred and eighty (180) Calendar Days

Should you wish to propose an alternative to the proposed contract/agreement, please respond within 10 days to: Department of Public Works, Att: Roseann D'Alleva, Deputy Commissioner, telephone 1-0525, fax 1-9657.

Roseann D'Alleva

Roseann D'Alleva
Deputy Commissioner

RD:RM:ac

c: Jose Lopez, Director, Office of Labor Relations
Seth Blau, Deputy Director of Labor Relations
Rakhal Maitra, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Christopher Yansick, Unit Head, Financial Services Unit
Diane Pyne, Unit Head, Human Resources Unit
Richard Iadevaio, Jr., Superintendent of Highway and Drainage Construction
Vivian Toscano, Civil Engineer III
Daniel Wong, Civil Engineer II
Rosa Miler, Special Assistant, Office of Labor Relations



Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
1X	50.00	Sq Yd , Clearing & Grubbing FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ PER Sq Yd	1.000	50.00
2	1,200.00	Cu Yd , Unclassified Excavation FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ PER Cu Yd	1.000	1,200.00
3	50.00	Cu. Yards , Trench, Culvert & Bridge Excavation FOR <u>TWENTY DOLLARS NO CENTS</u> _____ _____ PER Cu. Yards	20.000	1,000.00
4A	4,750.00	Sq Yd , Cement Concrete Breaking Pavement FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ PER Sq Yd	0.010	47.50
4B	20.00	Cu Yd , Cement Concrete Breaking Structures FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ PER Cu Yd	0.010	0.20
			Carry Forward	

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Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
5C	160.00	Cu Yd , Selected Fill FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ PER Cu Yd	1.000	160.00
7	4,750.00	Sq Yd , Preparing Fine Grade FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ PER Sq Yd	1.000	4,750.00
12DIP-12	50.00	Lin. Feet , Ductile Iron Culvert Pipe, 12 Inch Diameter FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ PER Lin. Feet	0.010	0.50
12DIP-14	50.00	Lin. Feet , Ductile Iron Culvert Pipe, 14 Inch Diameter FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ PER Lin. Feet	0.010	0.50
12H	6,000.00	Lin Ft , Cleaning Existing Drainage System FOR <u>FIVE DOLLARS NO CENTS</u> _____ _____ PER Lin Ft	5.000	30,000.00
			Carry Forward	

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Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
12HX	400.00	Each , Clean Existing Catch Basins FOR <u>EIGHT HUNDRED DOLLARS NO CENTS</u> _____ _____ PER Each	800.000	320,000.00
13A	10.00	Cu Yd , Catch Basins FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ PER Cu Yd	1.000	10.00
13B	10.00	Cu Yd , Manholes FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ PER Cu Yd	1.000	10.00
14	5.00	Each , Connections to Existing Drainage Facilities FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ PER Each	1.000	5.00
15	5.00	Each , Altering Catch Basins FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ PER Each	1.000	5.00
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
15X	20.00	Each , Rehabilitation of Catch Basins FOR <u>FOUR THOUSAND DOLLARS NO CENTS</u> _____ _____ PER Each	4,000.000	80,000.00
16X	40.00	Each , Altering Brick Manholes FOR <u>ONE THOUSAND SEVEN HUNDRED FIFTY DOLLARS NO CENTS</u> _____ _____ PER Each	1,750.000	70,000.00
17A	10.00	Cu Yd , Class A Concrete for Structures FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ PER Cu Yd	0.010	0.10
24	20.00	Cu Yd , Concrete Pavement FOR <u>EIGHT HUNDRED DOLLARS NO CENTS</u> _____ _____ PER Cu Yd	800.000	16,000.00
24V	25.00	Cu Yd , Concrete Valley Gutter FOR <u>EIGHT HUNDRED DOLLARS NO CENTS</u> _____ _____ PER Cu Yd	800.000	20,000.00
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
26	1,500.00	Lin Ft , Concrete Curb FOR <u>FORTY FOUR DOLLARS NO CENTS</u> _____ _____ _____ PER Lin Ft	44.000	66,000.00
26CG	400.00	Lin Ft , Combination Curb & Gutter FOR <u>SIXTY FIVE DOLLARS NO CENTS</u> _____ _____ _____ PER Lin Ft	65.000	26,000.00
27	3,000.00	Sq Ft , Concrete Sidewalk FOR <u>FIFTEEN DOLLARS NO CENTS</u> _____ _____ _____ PER Sq Ft	15.000	45,000.00
27DW	200.00	Sq Ft , Detectable Warning Surface FOR <u>FIFTY DOLLARS NO CENTS</u> _____ _____ _____ PER Sq Ft	50.000	10,000.00
28	350.00	Sq Ft , Concrete Driveway & Aprons FOR <u>NINETEEN DOLLARS NO CENTS</u> _____ _____ _____ PER Sq Ft	19.000	6,650.00
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
29	200.00	Sq ft , Driveway Restoration FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____ PER Sq ft	0.010	2.00
30	4,750.00	Sq Yd , Metal Reinforcement for Conc. Pvmnt FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____ PER Sq Yd	1.000	4,750.00
31	500.00	Lin Ft , Transverse Joint Supports FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____ PER Lin Ft	1.000	500.00
32A	250.00	Each , Longitudinal Joint Ties Pavement FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____ PER Each	0.010	2.50
32X	250.00	Each , Joint Ties Grout Type FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____ PER Each	0.010	2.50
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
32X-1	2,500.00	Each , Load Transfer Device for Conc Pvmnt Repairs FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____ PER Each	1.000	2,500.00
33	1,000.00	Lb , Bar Reinforcement for Structures FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____ PER Lb	0.010	10.00
34	35,000.00	Lb , Misc Metals FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____ PER Lb	1.000	35,000.00
36CX	220.00	Ton , Asphalt Truing & Leveling Type 1A For Cracks in Asphalt Pvmnt FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____ PER Ton	1.000	220.00
36DRAR	34,500.00	Tons , Rut Avoidance Asphalt Type 1A (Top RA Resurfacing) FOR <u>ONE HUNDRED TWENTY ONE DOLLARS FIFTEEN CENTS</u> _____ _____ _____ PER Tons	121.150	4,179,675.00
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
58A	100.00	Lin Ft , Sawcutting Existing Non Roadway Asphalt FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____ PER Lin Ft	0.010	1.00
58RPC	2,000.00	Lin Ft , Sawcutting Existing Roadway Pavement & Concrete FOR <u>FIVE DOLLARS NO CENTS</u> _____ _____ _____ PER Lin Ft	5.000	10,000.00
102D	400.00	Day , Flashing Arrow Board FOR <u>TWENTY FIVE DOLLARS NO CENTS</u> _____ _____ _____ PER Day	25.000	10,000.00
102PVMS	500.00	Day , Portable Variable Message Sign FOR <u>FORTY DOLLARS NO CENTS</u> _____ _____ _____ PER Day	40.000	20,000.00
102X	100.00	Day , Work Zone Traffic Control Day FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____ PER Day	1.000	100.00
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
102Y	75.00	Days , Work Zone Traffic Control Night FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____ PER Days	1.000	75.00
111	1,500.00	Sq Yd , Removal & Replacement of Pavement FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____ PER Sq Yd	1.000	1,500.00
112	100.00	Each , Adjusting Manholes FOR <u>FIVE HUNDRED DOLLARS NO CENTS</u> _____ _____ _____ PER Each	500.000	50,000.00
114	300.00	Each , Adjustment of Water Valve Box Elevation FOR <u>SEVENTY FIVE DOLLARS NO CENTS</u> _____ _____ _____ PER Each	75.000	22,500.00
115	5,000.00	LIn Ft , Butt Joints FOR <u>FIVE DOLLARS NO CENTS</u> _____ _____ _____ PER LIn Ft	5.000	25,000.00
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
116A	365,000.00	Sq Yd , Profiling & Removal of Asphalt Pavement FOR <u>FIVE DOLLARS TEN CENTS</u> _____ _____ _____ PER Sq Yd	5.100	1,861,500.00
116C	100.00	Sq Yd , Profiling & Removal of Concrete Pavement FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____ PER Sq Yd	1.000	100.00
121	100.00	Cu Yd , Drybound Base Course FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____ PER Cu Yd	1.000	100.00
129	1,200.00	Cu Yds , Cement Concrete Pavement Repairs FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____ PER Cu Yds	1.000	1,200.00
132	125.00	Each , Plowable Raised Reflectorized Pavement Markers FOR <u>ONE HUNDRED TWENTY FIVE DOLLARS NO CENTS</u> _____ _____ _____ PER Each	125.000	15,625.00
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
133A	5,000.00	Lin Ft , Cleaning & Resealing of Longitudinal Joints in PCC Pavement FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____ PER Lin Ft	0.010	50.00
133B	2,000.00	Lin Ft , Sealing of Transverse Joints in Cement Concrete Pavement FOR <u>EIGHT DOLLARS SEVENTY FIVE CENTS</u> _____ _____ _____ PER Lin Ft	8.750	17,500.00
133X	1.00	Lump Sum , Clean & Fill Joints and Cracks FOR <u>FIFTEEN THOUSAND DOLLARS NO CENTS</u> _____ _____ _____ PER Lump Sum	15,000.000	15,000.00
136S	25.00	Day , Survey FOR <u>THREE THOUSAND DOLLARS NO CENTS</u> _____ _____ _____ PER Day	3,000.000	75,000.00
137	1,000.00	Lin Ft , Remove Existing Traffic Markings FOR <u>THREE DOLLARS FIFTY CENTS</u> _____ _____ _____ PER Lin Ft	3.500	3,500.00
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
138	250.00	Sq Yd , Asphalt Joint Repair FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____	1.000	250.00
141B	200.00	Each , Silt Protection for Surface Inlet Drainage Structures FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	2.00
141C	200.00	Each , Silt Protection for Curb Inlet Drainage Structures FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	2.00
150	20.00	Lin Ft , Box Beam Guide Railing FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	0.20
152	2.00	Each , Box Beam Guide rail End Assembly FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____	1.000	2.00
PER Each			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
199	1.00	Lump Sum , Interim Payments (Force) FOR <u>TWO HUNDRED THOUSAND DOLLARS NO CENTS</u> _____ _____	200,000.000	200,000.00
199A	1.00	Lump Sum , Asphalt Price Adjustment (Force) PER Lump Sum FOR <u>TEN THOUSAND DOLLARS NO CENTS</u> _____ _____	10,000.000	10,000.00
200	100.00	Lin Ft , Heavy Post Plastic & Synthetic Blocked Out Galvanized Corrugated Steel f PER Lump Sum FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____	0.010	1.00
202	2.00	Each , Anchorage Units for Heavy Post Blocked Out Corrugated Beam Guide Ra PER Lin Ft FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____	0.010	0.02
203	2.00	Each , Anchorage Units for Heavy Post Blocked Out Corrugated Beam Guide Ra PER Each FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____	0.010	0.02
PER Each			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
216	100.00	Lin Ft , Removal of Existing Guide Rail FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____	0.010	1.00
368	1,000.00	Sq Yd , Topsoil & Grass Seed FOR <u>ONE DOLLAR NO CENTS</u> _____ _____	1.000	1,000.00
372A	5.00	Each , Tree Removal A (< 6" Caliper) FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____	0.010	0.05
372B	5.00	Each , Tree Removal B (6"- < 12" Caliper) FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____	0.010	0.05
372C	5.00	Each , Tree Removal C (12"- <24" Caliper) FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____	0.010	0.05
PER Each			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
372D	5.00	Each , Tree removal D (24" - < 36' Caliper) FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	0.05
372E	2.00	Each , Tree Removal E (36" - < 48" Caliper) FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	0.02
373A	5.00	Each , Stump Removal 4" - < 6" Caliper FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	0.05
373B	5.00	Each , Stump Removal B 6" - < 12" caliper FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	0.05
373C	5.00	Each , Stump Removal C 12" - < 24" Caliper FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____	0.010	0.05
PER Each			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
373D	5.00	Each , Stump Removal D 24" - < 36" Caliper FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____ PER Each	0.010	0.05
373E	2.00	Each , Stump Removal E 36" - < 48" Caliper FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____ PER Each	0.010	0.02
374A	5.00	Each , Stump Grinding A 4" - < 6" Caliper FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____ PER Each	0.010	0.05
374B	5.00	Each , Stump Grinding B 6" - < 12" caliper FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____ PER Each	0.010	0.05
374C	5.00	Each , Stump Grinding C 12" - < 24" Caliper FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____ _____ PER Each	0.010	0.05
			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
374D	2.00	Each , Stump Grinding D 24" - < 36" Caliper FOR <u>ZERO DOLLARS ONE CENT</u> _____ _____	0.010	0.02
419S-075	100.00	Lin Ft , Furnish & Install 3/4 " Diameter Steel Conduit FOR <u>FOURTEEN DOLLARS FIFTY CENTS</u> _____ _____	14.500	1,450.00
420R	5.00	Each , Regrade & Pullbox Frame & Cover FOR <u>ONE DOLLAR NO CENTS</u> _____ _____	1.000	5.00
422L	18,000.00	Lin Ft , Furnish & Install Loop Wire FOR <u>ONE DOLLAR FIFTY CENTS</u> _____ _____	1.500	27,000.00
422LS	6,000.00	Lin Ft , Furnish & Install Loop Saw Cut FOR <u>EIGHTEEN DOLLARS NO CENTS</u> _____ _____	18.000	108,000.00
PER Lin Ft			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Brought Forward	
			Unit Bid Price	Amount Bid
422SHE	5.00	Each , Adjust Traffic Magnetic Vehicle Detector FOR <u>SEVEN HUNDRED DOLLARS NO CENTS</u> _____ _____	700.000	3,500.00
680.54	2,000.00	Lin. Feet , Inductance Loop Installation FOR <u>EIGHTEEN DOLLARS NO CENTS</u> _____ _____	18.000	36,000.00
680.72	6,000.00	Lin. Feet , Inductance Loop Wire FOR <u>ONE DOLLAR FIFTY CENTS</u> _____ _____	1.500	9,000.00
685.07200110	200,000.00	Lin. Feet , White Epoxy Reflectorized Pavement Stripes - 20 Mils (Wet Night Visibility) FOR <u>ZERO DOLLARS SIXTY CENTS</u> _____ _____	0.600	120,000.00
685.07200210	150.00	Each , White Epoxy Reflectorized Pavement Letters - 20 Mils (Wet Night Visibility) FOR <u>ONE HUNDRED FIFTY DOLLARS NO CENTS</u> _____ _____	150.000	22,500.00
PER Each			Carry Forward	

Item Number	Estimate of Quantities	Items with unit bid price written in words	Unit Bid Price	Amount Bid
685.07200310	350.00	Each , White Epoxy Reflectorized Pavement Symbols - 20 Mils (Wet Night Visibil FOR <u>ONE HUNDRED SEVENTY FIVE DOLLARS NO CENTS</u> _____ _____ _____ PER Each	175.000	61,250.00
685.0720410	70,000.00	Lin. Feet , White Epoxy Reflectorized Pavement Stripes (Cross Hatching) - 20 Mils (1 FOR <u>ONE DOLLAR SIXTY CENTS</u> _____ _____ _____ PER Lin. Feet	1.600	112,000.00
685.0720610	180,000.00	Lin. Feet , Yellow Epoxy Reflectorized Pavement Stripes - 20 Mils (Wet Night Visibilit FOR <u>ZERO DOLLARS SIXTY CENTS</u> _____ _____ _____ PER Lin. Feet	0.600	108,000.00
685.0720710	15,000.00	Lin. Feet , Yellow Epoxy Reflectorized Pavement Stripes (Cross Hatching) - 20 Mils (1 FOR <u>ONE DOLLAR SIXTY CENTS</u> _____ _____ _____ PER Lin. Feet	1.600	24,000.00
744	1.00	LS , Force Account Work FOR <u>ONE HUNDRED THOUSAND DOLLARS NO CENTS</u> _____ _____ _____ PER LS	100,000.000	100,000.00
			Carry Forward	

Brought Forward	
Unit Bid Price	Amount Bid
1.000	100.00
1.000	100.00
\$ <u>\$7,992,465.65</u>	

Item Number	Estimate of Quantities	Items with unit bid price written in words
762	100.00	LB , Integral Color Pigment for Cement Concrete FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____ PER LB
763	100.00	Sq Ft , Imprinting on Cement Concrete Pavement or Sidewalks FOR <u>ONE DOLLAR NO CENTS</u> _____ _____ _____ PER Sq Ft
		PLEASE BE SURE A BID IS ENTERED FOR EACH ITEM EXCEPT AS DIRECTED FOR OPTIONAL ITEMS TOTAL OR GROSS SUM WRITTEN IN WORDS <u>SEVEN MILLION NINE HUNDRED NINETY TWO THOUSAND FOUR HUNDRED SIXTY FIVE</u> <u>DOLLARS SIXTY FIVE CENTS</u>

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 19
2. How many years experience in the construction work of a similar type as this contract has your firm had;
 - a. as a Prime Contractor 19
 - b. as a Subcontractor 19
3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

5. Have you:
 - a. ever failed to complete any work awarded to You? No
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.
 - b. ever been defaulted on a contract? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

NO TEXT ON THIS PAGE

c. ever been declared a non-responsible bidder by any municipality or public agency? No
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? No
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? No
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? No
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

NO TEXT ON THIS PAGE

- d. that has ever been barred from bidding municipal or public contracts? No
If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? No
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

Manufacturer of asphalt aggregate and asphalt pavement materials.

NO TEXT ON THIS PAGE

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
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SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	---	---------------------	---------------------

SEE ATTACHED QUALIFICATION STATEMENT

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

None

(use additional blank sheets if additional space is necessary)

NO TEXT ON THIS PAGE

14. In what manner have you inspected this proposed work?
Explain in detail.

Complete site inspection and plan take off of all quantities and materials.

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

As per plans and specifications.

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

James J. Pratt, III

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
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SEE ATTACHED QUALIFICATION STATEMENT

NO TEXT ON THIS PAGE

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled **"Qualifications and Responsibility of Bidders"** and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

NO TEXT ON THIS PAGE

NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Joseph M. Williams Jr., P.E. Being duly sworn, deposes and says:
That he resides at [redacted] Street,
in the City of [redacted] that he is the Senior Vice President of
Pratt Brothers, Inc.

the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he signed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true.
Subscribed and sworn to before me
this 3rd day of December, 2021.

Carol A. Miller
Notary
CAROL A. MILLER
Notary Public, State of New York
No. 5002326
(Form of Affidavit where Bidder is a firm) Qualified in Suffolk County
Commission Expires Sept. 28, 2022

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____ Being duly sworn, deposes and says:
That he is a member of
the firm described in and which executed the foregoing bid; that he
duly subscribed the name of the firm hereunto on behalf of the firm;
and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
this _____ day of _____, 20 ____.

Notary

(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____ Being duly sworn, deposes and says:
That he is the person described in and who executed the foregoing bid
and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
this _____ day of _____, 20 ____.

Notary

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PRATT BROTHERS, INC.
45 SOUTH FOURTH STREET
BAY SHORE, NY 11706
(631) 667-6800


QUALIFICATION STATEMENT

PRATT BROTHERS, INC.

AS OF

DECEMBER 31, 2020

PRATT BROTHERS, INC.



JOSEPH M. WILLIAMS, JR., P.E., SR. VICE PRESIDENT

QUALIFICATION STATEMENT

1. How many years has your firm been in the business under your present business name?

19

2. How many years experience in the construction work of a similar type as this contract, has your firm had?

- a) as a Prime Contractor 19
b) as a Subcontractor 19

3. List below the construction projects your firm has under way as of this date.

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
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Please See Enclosure 1

4. List the projects your firm as a firm has performed in the past few years which you feel will qualify you for this work.

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
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Please See Enclosure 2

5. Have you:

- a) ever failed to complete any work awarded to you?

No

- b) ever been defaulted on a contract?

No

c) ever declared a non-responsible bidder by any municipality or public agency?

No

d) ever been barred from bidding municipal or public contracts?

No

6. Has any officer or partner or principal of your firm ever been an officer or partner or principal of some other firm:

a) that failed to complete a construction contract?

No

b) that has ever been defaulted on a contract?

No

c) that has ever been declared a non-responsible bidder by any municipality or public agency?

No

d) that has ever been barred from bidding municipal or public contracts?

No

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in this name?

No

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

Manufacturer of Asphalt Pavement Materials
Manufacturer of Asphalt Aggregate Materials

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and Type of Work	In What Capacity
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Please See Enclosure 3

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected.

Item	Description, Size Capacity, Year, Etc.	Years of Service	Present Location
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Please See Enclosure 4

14. In what manner have you inspected this proposed work? Explain in detail.

Complete Site Inspection
Complete plan take off of all quantities and materials

15. Explain your plan and lay-out for performing the proposed work.

To be submitted at the pre-construction meeting.

16. If a contract is awarded or a permit is issued to your firm, who will have the personal supervision of the work?

James J. Pratt, III

17. Insurance carried by your firm.

Please See Enclosure 5

18. Current financial statement.

Please See Enclosure 7

19. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "**Qualifications and Responsibility of Bidders**" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

NOTE: The bids shall be sworn to by the person signing them, in the following form:

(Form of affidavit where Bidder is a corporation)

STATE OF **NEW YORK**)
) ss.:
COUNTY OF **SUFFOLK**)

Joseph M. Williams, Jr., P.E being duly sworn, deposes and says:
that he resides at [REDACTED] in the City of [REDACTED]
that he is the Sr. Vice President of Pratt Brothers, Inc.
the corporation described in and which executed the foregoing
instrument; that he knows the seal of said corporation; that the seal
affixed to the said instrument is such corporate seal and was affixed by
order of the Board of Directors of said corporation; that he signed his
name thereto by like order; and that he has knowledge of the several
matters therein stated and they are in all respects true.

Subscribed and sworn to before me this 3rd day of December, 20 21

Carol A. Miller
Notary

CAROL A. MILLER
Notary Public, State of New York
No. 5002328
Qualified in Suffolk County
Commission Expires Sept. 28, 20 22

Pratt Brothers, Inc.

ENCLOSURE 1

Current Ongoing Projects

CURRENT ONGOING PROJECTS

As of December 31, 2020

JOB NO.	AGENCY/OWNER	CONTRACT NAME/NUMBER	PRIME/SUB	DESIGN ENGINEER/ARCHITECT	TOTAL AMOUNT CONTRACT	% SUB	UNCOMPLETED AMOUNT	% INCOMPLETE
17-109	Nassau County DPW Jose Viteri (516) 571-3921	Resurfacing Requirements Contract H6158702Q	Prime	Nassau County DPW In-House	\$ 10,000,000.00	15%	214,461.82	2%
18-144	Town of Oyster Bay John Bishop	Requirements Contract HGR17-162	Prime	Town of Oyster Bay In-House	\$ 6,948,924.12	5%	1,138,918.65	16%
18-208	Suffolk County DPW Paul McMahon	Storm Water Remediation Improvement - Peconic La 5-17.3.30(CP 5072.315)	Prime	Suffolk County DPW In-House	\$ 118,075.00	0%	112,000.00	95%
18-304	G&C Fab-Con LLC Matt Creter	Calverton Nation Cemetery Gravesite Expansion & Site Imp - Excavation 805PC2048	Sub	Gordon 703-263-1900	\$ 1,799,771.76	0%	779,650.00	43%
18-416	Suffolk County DPW Justin Hipperling (631) 852-4006	Suffolk County DPW CR1, County Line Road Amityville #5581	Prime	Cameron Engineering & Associates LLP (516) 827-4900	\$ 5,549,945.00	30%	3,397,926.23	61%
18-464	G&C Fab-Con LLC Matt Creter	Calverton Nation Cemetery Gravesite Expansion & Site Imp - Roadwork 805PC2048	Sub	Gordon 703-263-1900	\$ 3,417,486.66	5%	647,475.00	19%
19-023	Suffolk County DPW Justin Hipperling (631) 852-4006	Suffolk County DPW Reconstruction of CR48 Southold #5526	Prime	Liro Engineers LLC (516) 746-2350	\$ 5,584,969.00	20%	2,053,060.40	37%
19-061	Nassau County DPW Jose Viteri (516) 571-3921	Nassau County DPW Priority Resurfacing Phase 1 - H61587-PR1	Prime	Nassau County DPW In-House	\$ 5,179,528.79	4%	411,555.62	8%
19-063	Nassau County DPW Jose Viteri (516) 571-3921	Nassau County DPW Priority Resurfacing Phase 2 - H61587-PR2	Prime	Nassau County DPW In-House	\$ 4,986,579.29	5%	245,471.37	5%
19-107	Suffolk County DPW Justin Hipperling (631) 852-4006	Suffolk County DPW Reconstruction of CR19 Waverly Ave, Patchogue CP #5040/8240	Prime	L.K. McLean Assoc P.E. Suffolk County DPW In-House	\$ 5,185,083.50	30%	364,358.76	7%
19-111	MTA/LIRR 3rd Track Constructors Josh Eason	LIRR Exp Floral Pk- Hicksville Urban, Covert & Cherry Ave Milling/Paving #6240	Sub	Stantec Consulting	\$ 3,625,666.28	0%	460,510.15	13%

CURRENT ONGOING PROJECTS As of December 31, 2020

JOB NO.	AGENCY/OWNER	CONTRACT NAME/NUMBER	PRIME/ SUB	DESIGN ENGINEER/ ARCHITECT	TOTAL AMOUNT CONTRACT	% SUB	UNCOMPLETED AMOUNT	% INCOMPLETE
19-181	Suffolk County DPW Justin Hipperling (631) 852-4006	Suffolk County DPW Sump on CR4 & Pulaski Road #5014.364	Prime	Suffolk County DPW In-House	\$ 59,500.00	0%	7,560.00	13%
19-259	Arco/Murray National Construction Co. Dennis Billings 331-801-1530	TopGolf - Holtsville Paving	Sub	VHB Engineering (631)787-3400	\$ 1,263,794.00	5%	936,994.00	74%
19-277	Nassau County DPW Jose Viteri (516) 571-3921	Nassau County DPW Priority Resurfacing Phase 4 - H61587-PR4	Prime	Nassau County DPW In-House	\$ 8,888,777.00	15%	380,050.90	4%
19-283	Nassau County DPW Jose Viteri (516) 571-3921	Nassau County DPW Resurfacing Requirements Contract H6158703Q	Prime	Nassau County DPW In-House	\$ 2,642,502.91	15%	202,147.03	8%
20-062	Nassau County DPW Jose Viteri (516) 571-3921	Nassau County DPW General Requirements Contract H66302W	Prime	Nassau County DPW In-House	\$ 1,000,000.00	15%	638,796.63	64%
20-136	Schulman Industries Nat Grid Site work Chris Pirraglia	National Grid Holtsville Site Work Job #25020	Sub	RRT Sigma Engineer (631) 756-6010	\$ 492,612.47	8%	75,700.00	15%
20-248	Suffolk County DPW Paul McMahon	Rechar Basin Imprv. CR4 Townline Rd/Pulaski HW20-43	Prime	Suffolk County DPW In-House	\$ 126,510.00	0%	22,347.50	18%
20-316	New York State DOT	NYS DOT RT25 & Glen Cove Rd D264330	Prime	New York State DOT In-House	\$ 12,577,775.00	10%	12,577,775.00	100%

ENCLOSURE 2

Major Completed Projects

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
07-135 Southern State Parkway Suffolk County D260416	New York State DOT	New York State DOT James Eldor	\$ 10,433,621.00	9/15/2009	94%	New York State DOT James Eldor
08-290 County Road 16 Reconstruction, Ronkonkoma No. 5511	Suffolk County DPW James Peterman (631) 852-4003	Lockwood, Kessler and Bartlett (516) 938-0600	\$ 22,641,805.00	7/31/2011	64%	Suffolk County DPW Justin Hipperling (631) 852-4006
09-077 Milling Requirements - Queens HW2CR09C	City of New York DDC	City of New York DDC	\$ 4,979,762.40	4/30/2011	81%	City of New York Yuliya Ruvinova (718) 322-5053
10-268 Culvert Replacement on Valley Stream Road	Village of Valley Stream Tony Cella (516) 592-5105	Village of Valley Stream	\$ 1,448,380.60	12/31/2011	63%	Village of Valley Stream Tony Cella (516) 592-5105
10-208 Milling Requirements - Queens HW2CR11C	City of New York DDC	City of New York DDC	\$ 1,971,345.18	8/31/2011	70%	City of New York Patrick Larkin (718) 391-1958
10-300 CR19 Patchogue Holbrook Rd Traffic Calming 3302/5014	Suffolk County DPW	Suffolk County DPW In-House	\$ 1,201,304.47	11/15/2011	66%	Suffolk County DPW Justin Hipperling (631) 852-4006
11-289 Sunset Plaza Shopping Center	Deer Park Associates LLC Len Abrams (631) 667-9575	Sidney B Bowne & Son	\$ 548,035.15	12/31/2011	100%	Deer Park Associates LLC Len Abrams (631) 667-9575
09-155 Calverton National Cemetery Expansion 805CM2036	Calverton National Cemetery GC&P Fabcon	Carter Van Dyke (212) 345-5053	\$ 11,770,011.69	12/31/2011	66%	GC&P Fabcon LLC John Schleier (908) 782-0526
11-011 Sysco Warehouse Central Islip	Sysco Food Services Aurora Contractors Barney Riley (631) 981-3785	Vollmuth & Brush (631) 363-2683	\$ 5,445,493.96	6/30/2012	85%	Aurora Contractors Barney Reilly (631) 981-3785
11-059 CR31 & 104 Intersection Reconst. Hampton Bays CP5572	Suffolk County DPW William Hillman P.E. (631) 852-4006	Suffolk County DPW In-House	\$ 1,072,863.65	2/17/2012	71%	Suffolk County DPW Justin Hipperling (631) 852-4006
11-093 Village of Port Jefferson 2011 Road Improvements	Village of Port Jefferson Robert Juliano	Dvirka & Bartilucci Ken Pritchard	\$ 2,761,192.92	7/15/2012	77%	Dvirka & Bartilucci Ed Kozik (516) 364-9890
11-413 Solar Array Project @ Cohalan Court Complex Central Islip	Enxco Development Co. Eldor Contracting Corp. Keith Feldman	Enxco Development Co.	\$ 569,373.28	12/31/2012	100%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
11-285 Milling Requirements - Queens HW2CR12C	City of New York DDC Patrick Larkin (718) 391-1958	City of New York DDC	\$ 3,328,891.23	9/30/2012	88%	City of New York DDC Patrick Larkin (718) 391-1958

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
11-335 Solar Array Project @ Riverhead County Complex	Enxco Development Co. Eldor Contracting Corp. Keith Feldman	Enxco Development Co.	\$ 627,901.15	12/31/2012	93%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
12-104 New Entrance Roadway For School of Medicine	Hofstra University Richard S Leddy	Cameron Eng & Assoc. Jack Ozyman (516) 827-4900	\$ 504,205.00	9/30/2012	60%	Hofstra University Richard S. Leddy (516) 463-5258
12-174 Home Depot Parking Lot Commack	Home Depot	Home Depot	\$ 796,600.90	12/31/2012	100%	Opal Construction Chris Cavoto (631) 242-7440
09-239 Nassau County DPW Highway & Drainage Req. H66302S	Nassau County DPW	Nassau County DPW	\$ 1,252,924.00	12/31/2011	85%	Nassau County DPW (516) 571-6655
11-359 Suffolk County DPW Storm Water Pollution Remediation Phase 3 CP8239	Suffolk County DPW	Suffolk County DPW In-House	\$ 1,294,862.00	12/16/2013	90%	Suffolk County DPW Justin Hipperling (631) 852-4006
11-393 Suffolk County DPW Wicks Road Improvement CP5539	Suffolk County DPW	Nelson & Pope	\$ 5,693,653.00	12/31/2013	66%	Suffolk County DPW Justin Hipperling (631) 852-4006
12014 Suffolk County DPW CR39 North Road Improvements CP5528	Suffolk County DPW	Suffolk County DPW In-House	\$ 3,779,594.00	12/31/2013	77%	Suffolk County DPW Justin Hipperling (631) 852-4006
12-018 Canon Headquarters Phase II - Sitework	Canon USA	Turner Construction	\$ 5,563,069.00	11/30/2013	56%	Turner Construction Chris Deschler (212) 229-6000
12-158 Mall at Bay Plaza Site Work - Bronx, NY	Prestige Properties & Dev. Stantec Consulting Services Inc. (212) 366-5600	Stantec Consulting Services Inc. (212) 366-5600	\$ 1,799,983.00	11/30/2013	98%	Aurora Contractors Jonathan McGowan (631) 981-3785
12-166 Home Depot Parking Lot Long Island City-Milling/Paving	Home Depot	Home Depot	\$ 493,600.00	12/31/2013	100%	Opal Construction Chris Cavoto (631) 242-7440
13-033 Sheridan Blvd. Drainage Mineola H6005001G	Nassau County DPW	Nassau County DPW	\$ 718,259.00	12/31/2013	98%	Nassau County DPW Shila Shah Gavnoudias (516) 571-9600
12-426 Reconstruction of Sound Avenue, CR48 Greenport - 5526	Suffolk County DPW Justin Hipperling P.E. (631) 852-4006	Suffolk County DPW In-House	\$ 3,791,800.00	12/31/2014	86%	Suffolk County DPW Justin Hipperling (631) 852-4006

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
13-055 Lowes of Comrack Site Work	Aurora Contractors Barney Riley (631) 981-3785	Rosenbaum Design Group	\$ 3,092,479.00	12/31/2014	80%	Aurora Contractors Barney Reilly (631) 981-3785
13-049 Clearspan Building Project	Brookhaven Rail Terminal	Brookhaven Rail Terminal	\$ 1,684,905.00	12/31/2014	65%	Brookhave Rail Term. Andy Kaufman (631) 924-8800
13-089 Foremain and Pump Station - Huntington Sta.	Avalon Bay Communities	Nelson & Pope	\$ 1,023,793.00	12/31/2014	30%	Avalon Bay Communities Matthew Gendron (617) 845-3937
13-183 Asphalt Paving Huntington Station	Avalon Bay Communities	Nelson & Pope	\$ 1,288,545.00	6/30/2014	95%	Avalon Bay Communities Matthew Gendron (617) 845-3937
13-219 Stony Brook Medical Center East Parking Field Paving	State of New York Dormitory Authority	H2M Architects & Engineers	\$ 670,176.00	12/31/2014	100%	Watral Bros. Inc. Jake Watral (631) 586-8300
13-261 Museum of American Armor Site Work - Old Bethpage	Museum of American Armor BDG Construction	BDG Construction Corp	\$ 1,285,390.00	8/29/2014	78%	BDG Construction Anthony Galu (516) 624-1979
13-283 2013 Road Improvements Nassau Avenue	Village of Freeport	Village of Freeport In-House	\$ 1,407,428.00	12/31/2014	73%	Village of Freeport Robert Fisenne P.E. (516) 377-2233
14-306 Green Acres Mall Valley Stream - Parking Field Pavement & Rehab	Green Acres Mall Opal Construction	Savik & Murray LLP	\$ 889,154.46	12/31/2014	95%	Opal Construction Chris Cavoto (631) 242-7440
13-035 Reconstruction of Rte 347 & 112 D262168	New York State DOT Scalamandre	New York State DOT	\$ 5,634,311.00	12/31/2015	98%	P. Scalamandre & Sons Tom Hayes (516) 868-3000
13-297 Village of Mineola 2013 Road Improvements	Village of Mineola	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 1,654,509.00	12/31/2015	85%	Village of Mineola Thomas Rini (516) 746-0753
14-034 Bridge Rehabilitation Various Locations	Suffolk County DPW	Suffolk County DPW	\$ 1,848,219.00	12/31/2015	75%	Suffolk County DPW Justin Hipperling (631) 852-4006
14-084 Lake Success Redevelopment 1 Dakota Drive	We're Associates, Inc.	We're Associates Inc. Gary R Woska	\$ 2,321,333.00	7/27/2015	55%	We're Associates, Inc. Philip Arnold (516) 931-5322
14-220 2014 Road Improvements	Village of Garden City	Village of Garden City In-House	\$ 4,746,069.00	12/31/2015	70%	Village of Garden City Domenick Stanco (516) 465-4017

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
14-294 Ritz Carlton Residences Off Site Sanitary Sewer Connections SLURC1080018	LRC Construction LLC	H2M Architects Engs	\$ 525,000.00	7/27/2015	61%	LRC Construction LLC Steve Feinstein (914) 773-7700
15-241 3&5 Dakota Parking Lots	We're Associates Inc.	We're Associates Inc. Gary R Woska	\$ 609,457.00	12/31/2015	85%	We're Associates Philip Arnold (516) 931-5322
14-132 The Meadows at Yaphank Yaphank Woods Blvd. Road Improvements	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 1,835,000.00	4/30/2016	100%	AVR Realty Tom Perna (914) 965-3990
14-142 Stony Brook University Toll Drive Residence Phase I	Aurora Contractors Inc. State University of New York at Stony Brook	KSQ Architects, P.C. (914) 682-3700	\$ 1,980,789.00	12/31/2016	87%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
14-378 Stony Brook University Toll Drive Residence Phase II	Aurora Contractors Inc. State University of New York at Stony Brook	KSQ Architects, P.C. (914) 682-3700	\$ 2,146,774.00	12/31/2016	70%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
15-017 Dormitories #A04B007 Sitetwork	NYRA Belmont EW Howell Travis Salvo	Dolph Roffeld Eng. (914) 631-8600	\$ 538,413.00	9/28/2016	87%	EW Howell Travis Salvo (516) 921-7100
15-107 William Floyd Parkway Yaphank Woods Blvd.	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 4,061,494.00	12/31/2016	76%	AVR Realty Tom Perna (914) 965-3990
15-149 Leavenworth Solar Farm Shoreham	Borrego Solar Systems Inc Eldor Contracting Corp. Keith Feldman	VHB Engineering (631) 234-3444	\$ 1,082,426.00	9/28/2016	88%	Eldor Contracting Corp. Keith Feldman (631) 218-0100
15-315 2015 Road Improvements	Village of Floral Park Valente Contracting Corp.	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 1,297,048.00	12/31/2016	95%	Valente Contracting Corp John Valente (516) 746-7933
15-305 The Meadows at Yaphank Yaphank Woods Blvd. Phase 1A Sitework	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 978,895.00	12/31/2016	100%	AVR Realty Tom Perna (914) 965-3990
15-181 Lake Success Redevelopment 3 Dakota -P.Lot & Ramp	We're Associates Inc. Philip Arnold (516) 931-5322	We're Associates Inc. Gary R Woska	\$ 1,423,116.00	4/30/2016	65%	We're Associates Inc. Philip Arnold (516) 931-5322
15-255 2015 Road Improvements	Village of Mineola Valente Contracting Corp.	Dvirka & Bartilucci Christopher Clement (516) 364-9890	\$ 742,821.00	9/28/2016	100%	Valente Contracting Corp John Valente (516) 746-7933
16-130 LIE Welcome Center Dix Hills	NYSDOT P. Scalamandre & Sons	Stantec Consulting Services Inc. (212) 366-5600	\$ 1,578,526.00	11/30/2016	100%	P. Scalamandre & Sons Tom Hayes (516) 868-3000

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
16-152 2016 Road Improvements	Village of New Hyde Park	Dvirka & Barilucci Christopher Clement (516) 364-9890	\$ 2,127,958.00	12/31/2016	77%	Village of New Hyde Park Tom Gannon (516) 354-0064
16-218 2016 Road Improvements	Village of Garden City	In-House	\$ 1,447,250.00	12/31/2016	65%	Village of Garden City Domenick Stanco (516) 465-4017
16-308 2016 Road Improvements	Village of Mineola Valente Contracting Corp.	Dvirka & Barilucci Christopher Clement (516) 364-9890	\$ 725,790.00	12/31/2016	100%	Valente Contracting Corp John Valente (516) 746-7933
15-221 Green Acres Commons Valley Stream	Green Acres Adjacent LLC Aurora Contractors Inc. Barney Reilly	SPG3 Architects Bruce Keller	\$ 6,523,195.00	7/31/2017	90%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
15-243 Green Acres Commons Valley Stream - Paving	Green Acres Adjacent LLC Aurora Contractors Inc. Barney Reilly	SPG3 Architects Bruce Keller	\$ 1,560,664.00	7/31/2017	93%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
16-034 1933 & 1963 Union Blvd. Sitework	South Side Hospital Schulmann Industries Inc. Harry Haralambous	Sidney B. Bowne & Son (516) 746-2350	\$ 1,735,825.00	9/30/2017	55%	Schulmann Industries Inc Harry Haralambous (631) 499-7974
16-160 Roslyn Schools Paving	Roslyn UF School District Watral Brothers Inc.	VHB Engineering (631) 234-3444	\$ 589,403.00	9/30/2017	98%	Watral Brothers Inc. Jake Watral (631) 586-8300
16-358 Culverts 1 & 2 Holtville Terminal	Northville Industries Corp.	U.N.I Engineering Inc. Edward J. Sapp	\$ 786,034.00	12/26/2017	41%	Northville Industries Corp. (631) 475-5060
17-273 Parking Lot Construction Union Blvd., Bay Shore	Northwell Health Inc. Schulman Industries Harry Haralambous	Sidney B. Bowne & Son (516) 746-2350	\$ 548,425.00	12/31/2017	70%	Northwell Health Inc. Schulman Industries Harry Haralambous (631) 499-7974
15-261 Avalon at Great Neck Site Work	Avalon Bay Communities Scott Fradenburg 516-501-6020	VHB Engineering (631) 234-3444	\$ 1,501,001.19	1/31/2018	93%	Avalon Bay Communities Scott Fradenburg 516-501-6020
16-048 Avalon Rockville Centre II Sitework	Avalon Bay Communities Scott Fradenburg 516-501-6020	Nelson & Pope (631) 427-5665	\$ 1,373,303.43	1/31/2018	95%	Avalon Bay Communities Scott Fradenburg 516-501-6020
16-286 Common Area 2 Sitework The Meadows at Yaphank	Rose Breslin Assoc. LLC c/o AVR Realty	Vollmuth & Brush Jeffrey Vollmuth, P.E. (631) 363-2683	\$ 679,748.50	12/31/2018	100%	AVR Realty Tom Perna (914) 965-3990
17-103 Shoreham Solar Commons - Sitework	Shoreham Solar Commons LLC Keith Feldmann - Eldor (631) 218-0100	TRC Engineers Inc. (516) 671-3407	\$ 2,854,197.00	11/30/2018	90%	Eldor Contracting Corp. Keith Feldmann (631) 218-0100
17-189 Village of Garden City 2017 Road Improvements	Village of Garden City Valente Contracting Corp. John Valente	In-House	\$ 1,049,622.00	12/31/2018	96%	Valente Contracting Corp John Valente (516) 746-7933

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
17-237 Northwell Lynbrook Site Work	Talisen Construction Corp. Joseph Rigazio (212) 244-4581	Sidney B. Bowne & Son (516) 746-2350	\$ 908,330.81	6/30/2018	59%	Talisen Construction Corp. Joseph Rigazio (212) 244-4581
17-347 Sky Drive Farmingdale Sitework	BDG Farmingdale LLC Mike Christiano (516) 624-1948	Boher Engineering Joseph Deal (631) 738-1200	\$ 4,327,684.00	12/31/2018	70%	BDG Farmingdale LLC Mike Christiano (516) 624-1948
17-029 Hofstra University Zarb School of Business	Hofstra University J&A Concrete Tony Martins	Cameron Engineering (516) 827-4900	\$ 1,020,658.00	1/31/2019	93%	J&A Concrete Tony Martins (631) 567-5959
17-043 AVR Yaphank Constr. LIE Svc Rd-Westbound Ramp	AVR Realty Tom Perna (914) 965-3990	Vollmuth & Brush George Brush (631) 363-2683	\$ 3,782,086.80	9/27/2019	76%	Tom Perna (914) 965-3990
17-075 AVR Yaphank Constr. LIE Svc Rd-Eastbound Ramp	AVR Realty Tom Perna (914) 965-3990	Greenman Pedersen Inc. (631) 587-5060	\$ 2,013,282.41	9/27/2019	67%	Tom Perna (914) 965-3990
17-231 SBU Innovation & Discovery Center	State University of NY Aurora Contractors Barney Riley	Mitchell - Giurgola Architects, LLP	\$ 1,973,064.00	7/31/2019	86%	Aurora Contractors Frank Vero, Jr. (631) 981-3785
17-241 Village of Valley Stream 2017 Road Improvements	Village of Valley Stream Robert Fumagalli (516) 592-5105	Lucchesi Engineering PC Michael Mark (516) 942-3772	\$ 1,617,502.61	9/27/2019	60%	Robert Fumagalli (516) 592-5105
17-375 Hempstead Force Main Contract S3P311-09S	Village of Hempstead P. Scalamandre & Sons	Cameron Engineering (516) 827-4900	\$ 624,361.98	7/31/2019	100%	P. Scalamandre & Sons Thomas Hayes (516) 868-3000
17-405 Improvements to CR93 Ocean Ave @ Rosevale CP 5535/5014	Suffolk County DPW Justin Hipperling (631) 852-4006	Suffolk County DPW In-House	\$ 1,731,041.63	12/13/2019	66%	Suffolk County DPW Justin Hipperling (631) 852-4006
18-070 Simply Self Storage Hauppauge - Site Work	Simply Self Storage Axis Construction Corp.	Barnett Bonacci & Van Weele, PC (631) 435-1111	\$ 640,424.04	7/31/2019	80%	Axis Construction Corp. Vincent Buffolino (631) 243-5970
18-112 Nat Grid - The Gateway to Brightwater -Site Work/Paving	National Grid Schulman Industries Chirs Pirraglia	National Grid In-House	\$ 777,963.70	8/31/2019	90%	Schulman Industries Chirs Pirraglia (631) 499-7974
18-328 Riverhead Solar Project	Riverhead Solar Farm LLC Rosendin Electric Inc. Rich Shaffer	Blymer Engineers (510) 521-3773	\$ 3,165,184.35	8/31/2019	95%	Rosendin Electric Inc. Rick Shaffer (657) 276-0600
18-338 Cornerstone at Hauppauge On-site Paving	Cornerstone Hauppauge LLC Bartone & Pellerito Constr Anthony Bartone	Nelson & Pope (631) 427-5665	\$ 914,318.00	12/31/2019	80%	Bartone & Pellerito Constr Anthony Bartone (516) 249-2022

MAJOR PROJECTS COMPLETED

PROJECT	OWNER	ARCH./ENG.	CONTRACT AMOUNT	DATE COMPLETED	% OF OUR WORK	CONTACT
18-450	Town of Hempstead Town of Hempstead PW 32-18 Uniondale & Roosevelt	Town of Hempstead Valente Contracting Corp. In-House	\$ 635,699.34	12/31/2019	80%	Valente Contracting Corp John Valente (516) 746-7933
19-095	Sunken Meadow State Park Resilience & Restor - Paving	State of NY Parks & Rec. G&M Earthmoving (212) 612-7900	\$ 912,815.00	12/15/2019	0%	G&M Earth Moving Anthony Ragueso anthony@omearthmoving.com
19-297	Cove Neck Seawall Repair H61587-58G	Nassau County DPW Metro Paving Inc. In-House	\$ 530,785.42	3/1/2020	100%	Metro Paving Inc. Ryan Kellerman (631) 756-8038
17-199	NCDPW - H61587-55GR Resurfacing Phase 55 Town of Hempstead	Nassau County DPW Jose Viteri (516) 571-3921 In-House	\$ 4,031,801.47	9/1/2020	75%	Nassau County DPW Jose Viteri (516) 571-3921
18-302	Village of Garden City 2018 Road Improvements	Village of Garden City Valente Contracting Corp. John Valente	\$ 2,086,558.98	9/30/2020	100%	Valente Contracting Corp John Valente (516) 746-7933
19-085	PSEG Charles Lindbergh Substation sitework	PSEG Eleonor Hawkeye LLC John Pereira (732) 560-9700	\$ 834,694.00	10/30/2020	75%	Eleonor Hawkeye LLC John Pereira (631) 447-3100
19-199	Town of Hempstead Hewlett & South Valley Stream PW18-19	Town of Hempstead Valente Contracting Corp. John Valente	\$ 790,527.17	3/31/2020	80%	Valente Contracting Corp John Valente (516) 746-7933
19-237	Demolition of Wantagh Toll Plaza, Jones Beach	NYS Parks, Rec & Historic Preservation Scott Fish	\$ 1,325,601.48	5/31/2020	33%	NYS Parks, Rec & Historic Preservation Scott Fish 518-474-0456
19-291	Merrick, Hewlett Harbor Roslyn - Milling/Paving	Keyspan/National Grid Bancker Construction Michael Beyer	\$ 822,592.80	8/31/2020	100%	Bancker Construction Michael Beyer (631) 582-8880

ENCLOSURE 3

Construction Experience of the Principals

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL

As of December 31, 2020

<i>Principal's Name</i>	<i>Title</i>	<i>Years of Construction Experience</i>	<i>With Whom & In What Capacity</i>
James J. Pratt, III* [REDACTED]	President/Treasurer Vice President/Treasurer General Superintendent Safety Officer	40	Pratt Brothers, Inc. President/Treasurer Guy Pratt, Inc. Vice President/Treasurer General Superintendent Safety Officer Lehigh University BS - Civil Engineering
Thomas D. Pratt* [REDACTED]	Vice President/Secretary Operations Manager	34	Pratt Brothers, Inc. Vice President/Secretary Scatt Materials Corp. Operations Manager New Hampshire College BS - C.I.S.
Supervisory Personnel Joseph M. Williams, Jr. P.E.* [REDACTED]	Sr. Vice President Chief Estimator Chief Engineer Vice President Chief Estimator Chief Engineer	36	Pratt Brothers, Inc. Sr. Vice President Chief Estimator/Engineer Guy Pratt Inc. Vice President Chief Estimator/Engineer Manhattan College BE - Chemical Engineering
Edward P. McCoy, Jr.* [REDACTED]	Vice President	19	Pratt Brothers, Inc. Vice President Project Manager Guy Pratt, Inc. Project Manager Boston University BS - Bio-Medical Engineering

*Corporate Officer

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL

As of December 31, 2020

Name	Title	Years of Construction Experience	With Whom & In What Capacity
Gregory Couch	Project Manager	36	Pratt Brothers, Inc. Project Manager
			Bi-County Construction Corp. President
			Villanova University BS- Civil Engineering
			Polytechnic University MSM - Construction Mgmt
James J. Pratt IV	Project Manager	8	Pratt Brothers, Inc. Project Manager
			REI Drilling Project Manager Staff Geologist
			Washington and Lee University BS - Geology
Joseph Hrbek	Superintendent	40	Pratt Brothers, Inc. Superintendent
			Guy Pratt, Inc. Superintendent
			H.T. Schneider Superintendent
			Lizza Industries Project Engineer

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL

As of December 31 2020

<u>Name</u>	<u>Title</u>	<u>Years of Construction Experience</u>	<u>With Whom & In What Capacity</u>
Robert Voelkel	Superintendent	43	Pratt Brothers Inc. Superintendent
			Bi-County Construction Vice President Job Superintendent
			Debruin Engineering Engineer In Charge
William Zimmerman	Superintendent	44	Pratt Brothers Inc. Superintendent
	Superintendent		Kings Park Industries Superintendent Operating Engineer
Matt Kingston	Asphalt Superintendent	14	Pratt Brothers, Inc. Asphalt Superintendent Operating Engineer
			Guy Pratt, Inc. Operating Engineer
Thomas Crawford	Shop Steward General Foreman	35	Pratt Brothers, Inc. General Foreman
			Guy Pratt, Inc. Laborer

CONSTRUCTION EXPERIENCE OF PRINCIPALS & SUPERVISORY PERSONNEL

As of December 31, 2019

<u>Name</u>	<u>Title</u>	<u>Years of Construction Experience</u>	<u>With Whom & In What Capacity</u>
Arnold Hoffmann III	Foreman	22	Pratt Brothers, Inc. Asphalt Foreman Asphalt Raker Guy Pratt Inc. Laborer
Lenny Rodriguez	Asphalt Foreman	14	Pratt Brothers, Inc. Asphalt Foreman Asphalt Raker
Eleanor DeBlasi	Estimator/Engineer	37	Pratt Brothers, Inc. Estimator Bi-County Construction Estimator Lizza Industries Estimator Polytechnic University B.S. Civil Engineering

ENCLOSURE 4

Equipment List

EQUIPMENT LIST

(As of June 30, 2020)

LOADERS

- CAT IT-28
- Komatsu WA 320 Tool Carrier
- Komatsu WA-380
- Komatsu WA-430 Tool Carrier
- CAT 950F Tool Carrier
- Komatsu 470
- CAT 970 F
- Komatsu WA-480-6
- Komatsu WA380-8
- CAT 966M

BACKHOES

RUBBER TIRED BACKHOE/LOADERS

- CAT Backhoe Loader 430F2
- CAT 430E Backhoe Loader
- CAT 430E Backhoe Loader
- CAT 430-07ST Backhoe Loader
- CAT 430-07ST Backhoe Loader
- 490 Dynahoe w/Drill Rig

HYDRAULIC EXCAVATORS – TRACK

- CAT 303 Mini Excavator
- Komatsu PC270
- CAT 325CL
- Komatsu PC290LC-10
- CAT PC400LC-7
- Komatsu PC170-11
- CAT 308-07A Mini Excavator

HYDRAULIC EXCAVATORS – RUBBER TIRED

- Liebherr Excavator 904C
- Liebherr Excavator 904C
- CAT 320F

BULLDOZERS

- Komatsu D37PX-22 Dozer
- CAT D6N LGP
- CAT D6T LGP
- CAT D5-17ALGPV
- CAT D5KLGP-EWK

EQUIPMENT LIST

(As of June 30, 2020)

CRANES

- 18 Ton Hydraulic Crane

TRUCKS

- Flat Truck
- Ford F550 Dump Truck
- Kenworth T880 Dump Truck (2)
- Kenworth Tractor (3)
- Peterbilt Tractor (2)
- Hino 268A Attenuator Truck (2)
- International 430 Attenuator Truck (2)
- MAC Dump Trailer (3)
- 50 Ton Low Bed Trailer (2)
- Red River Asphalt Trailer (4)
- Etnyre Centennial Black-Topper

SCRAPERS

- 260E Elevating Scraper (Water Wagon)

ROLLERS

- CAT Vibratory Soil compactor-CS563
- Dynapac Vibratory Soil Compactor-CA252D
- Dynapac CC-102 Double Drum Vibratory Roller
- I/R Vibratory Roller DD24
- I/R Roller DD110HF Double Drum Vibratory Asphalt Roller
- HAMM HD14 Roller
- HAMM HD120 Vibratory Roller
- Volvo DD110C
- CAT CD54B Vibratory Roller
- CAT CS44B Roller

GRADERS

- Komatsu GD655 Galion Grader

TRAILER

- Tag Trailer – Skid Steer (5)

EQUIPMENT LIST

(As of June 30, 2020)

ASPHALT PAVING MACHINES

- CAT AP1055F Asphalt Spreader
- CAT AP555F - 8' Asphalt Paver

MILLING MACHINE

- Wirtgen W250i Milling Machine

MISCELLANEOUS

- 2020 Elgin Badger Dual Steer Broom
- Boce 8' Broom BW260
- Marathon Kettle w/reverse
- Marathon Tack Coat Trailer
- IR-85/185 Leroi/Joy 185/Kaeser 210 Compressors (3)
- Kaeser 400 Compressor/EZ Drill
- Weber CR7 MDM E1 Tamper
- Read RD90 Screen-All
- Dewatering System
- CAT 247 Skid Steer Loader - Track
- CAT 272D Skid Steer Loader - Rubber
- CAT 289D Skid Steer Loader - Track
- CAT 272D3XE Skid Steer Loader - Rubber
- Cold Planer PC206 (skid steers) - 3 ea.
- Cold Planner CAT PC408B (skid steers) - 2 ea.
- CAT G120 Grapple
- Amsig Led Flip Disk Variable Message Board w/Modems (5)
- AMSIG CMS-465-T Advantage-S Trls Mounted Board (2)
- Solar Tech MB-1548 Solar Powered MB (2)
- Asphalt Zipper Road Reclaiming Attachment
- 10,000 L.F. Concrete Road Barrier
- 200 Steel Road Plates
- Powerscreen Trommel

ENCLOSURE 5

Insurance



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

4/2/2021

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER National Insurance Brokerage of New York, Inc. 175 Oval Drive Islandia NY 11749		CONTACT NAME: Kathleen Hofmann PHONE (A/C No. Ext): (631) 273-4242 E-MAIL ADDRESS: khofmann@nibony.com FAX (A/C No): (631) 273-8990															
INSURED Pratt Brothers Inc 45 South 4th Street Bay Shore NY 11706		INSURER(S) AFFORDING COVERAGE <table border="1"><thead><tr><th>INSURER</th><th>NAIC #</th></tr></thead><tbody><tr><td>INSURER A: American Southern Home Insurance Company</td><td>10235</td></tr><tr><td>INSURER B: American Family Home Insurance Company</td><td>19275</td></tr><tr><td>INSURER C: Berkley Insurance Company</td><td>32603</td></tr><tr><td>INSURER D: Travelers Indemnity CO</td><td>25658</td></tr><tr><td>INSURER E: Evanston Insurance Company</td><td>35378</td></tr><tr><td>INSURER F:</td><td></td></tr></tbody></table>		INSURER	NAIC #	INSURER A: American Southern Home Insurance Company	10235	INSURER B: American Family Home Insurance Company	19275	INSURER C: Berkley Insurance Company	32603	INSURER D: Travelers Indemnity CO	25658	INSURER E: Evanston Insurance Company	35378	INSURER F:	
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INSURER E: Evanston Insurance Company	35378																
INSURER F:																	

COVERAGES

CERTIFICATE NUMBER: Master 21-22

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY			88A6GL0000310-03	4/1/2021	4/1/2022	EACH OCCURRENCE	\$ 1,000,000
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 100,000
	<input checked="" type="checkbox"/> Contractual Liability						MED EXP (Any one person)	\$ 5,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						PERSONAL & ADV INJURY	\$ 1,000,000
	<input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PROJECT <input type="checkbox"/> LOC						GENERAL AGGREGATE	\$ 2,000,000
	OTHER:						PRODUCTS - COMP/OP AGG	\$ 2,000,000
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY			88A5CA0000779	4/1/2021	4/1/2022	COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,000
	<input type="checkbox"/> ANY AUTO						BODILY INJURY (Per person)	\$
	<input type="checkbox"/> ALL OWNED AUTOS	<input type="checkbox"/> SCHEDULED AUTOS					BODILY INJURY (Per accident)	\$
	<input type="checkbox"/> HIRED AUTOS	<input type="checkbox"/> NON-OWNED AUTOS					PROPERTY DAMAGE (Per accident)	\$
C	<input checked="" type="checkbox"/> UMBRELLA LIAB		<input checked="" type="checkbox"/> OCCUR	MKC11100029-01	4/1/2021	4/1/2022	PIP-Basic	\$ 50,000
	<input type="checkbox"/> EXCESS LIAB		<input type="checkbox"/> CLAIMS-MADE				EACH OCCURRENCE	\$ 5,000,000
	<input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ 10,000						AGGREGATE	\$ 5,000,000
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY							
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	<input type="checkbox"/> Y/N	N/A				PER STATUTE	OTH-ER
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. EACH ACCIDENT	\$
D	Excess Umbrella			ZUP81N12504-20NF	4/1/2021	4/1/2022	\$4,000,000 Limit	
E	Pollution Liability			MKLV1ENV102049	9/05/2020	9/5/2021	\$2,000,000/\$1,000,000	\$5,000 DED

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER**CANCELLATION**

Evidence of Insurance

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Frank Cormio/KATHYH

ENCLOSURE 6

Apprenticeship Training Programs

LABORERS LOCAL UNION NO. 1298 JOINT APPRENTICESHIP TRAINING FUND

NASSAU & SUFFOLK COUNTIES



1161 LOCUST AVENUE
BOHEMIA, N.Y. 11716
Telephone: (631) 218-1376
Fax: (631) 218-1379

© 2004

FUND ADMINISTRATOR
J. JAMES C. DeFAUCO Esq.

TRAINING DIRECTOR
STEVEN M. AURIGEMA

BOARD OF TRUSTEES
GEORGE F. TRUICKO JR.
GEORGE S. TRUICKO
FRANK DAMIANO JR.
JAMES WILSHIP
FRANK ALBERTO
GREGORY W. COUCH
JASON GOLDEN
MARC HERBST

Re: Road and Heavy Construction Laborers Local #1298
Local 1298 Joint Apprenticeship Training Program
NYS SPONSOR CODE # 01764
NYS ATP CODE # 18514

TO WHOM IT MAY CONCERN;

This letter will serve to confirm that Local 1298 Joint Apprenticeship Training Program currently conducts a fully registered and approved New York State "Apprenticeship Training Program for Skilled Construction Craft Laborers" ("ATP"). The ATP is co-sponsored by Local 1298 and all employers/signatory contractors, including but not limited to Pratt Brothers Contracting Inc., to the Local 1298 Working Agreement Covering Wages and Working Conditions, dated June 1, 2012 to May 31, 2022.

Any additional questions should be directed to my attention.

Faternally yours,

Steven M. Aurigema
Training Director
Joint Apprenticeship Training Fund



APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL
INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

SCHOOL (631) 286-8677
FAX (631) 286-8683

UNION - P.O. BOX 206, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

Re: Local 138 Apprenticeship School (the "Apprenticeship School")
NYS Sponsor # 00422
NYS ATP Code # 18318
Pratt Brothers, Inc.

To Whom It May Concern,

This letter serves to confirm that the **Apprenticeship School** currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to Pratt Brothers, Inc., to the Local 138 Agreement Covering Working Conditions, dated June 1, 2019 to May 31, 2023. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY
BUSINESS MANAGER & TREASURER
CHAIRMAN BOARD OF TRUSTEES
LOCAL 138 APPRENTICESHIP TRAINING FUND

ENCLOSURE 7

Financial Statement

PRATT BROTHERS, INC.
BALANCE SHEET
AS OF DECEMBER 31, 2020

ASSETS

12/31/2020

Current Assets

Cash	\$ 7,693,993
Contract receivables	9,783,903
Contract assets	345,908
Prepaid expenses and other	150
	<hr/>

Total Current Assets 17,823,954

Fixed Assets, Net

4,303,321

Other Assets

Equity in joint venture 112,231

\$ 22,239,506

LIABILITIES AND STOCKHOLDERS' EQUITY

Current Liabilities

Accounts payable	\$ 6,225,077
Paycheck Protection Program Loan	699,275
Notes Payable	1,014,260
Capital Lease	2,869
Due to related parties	1,695,277
Contract liabilities	2,261,086
Accrued expenses and other	258,827
	<hr/>

Total Current Liabilities

Total Current Liabilities 12,156,671

Long-Term Liabilities

Paycheck Protection Program Loan	720,725
Notes payable	1,222,656
Capital Lease obligation	0
	<hr/>
	14,100,052
	<hr/>

Stockholders' Equity

Common stock- no par value; 200 shares authorized,
 100 shares issued and outstanding

Retained earnings 4,000

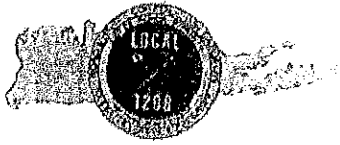
8,135,454

8,139,454

\$ 22,239,506

LABORERS LOCAL UNION NO. 1298 JOINT APPRENTICESHIP TRAINING FUND

NASSAU & SUFFOLK COUNTIES



1161 LOCUST AVENUE
BOHEMIA, N.Y. 11716
Telephone (631) 218-1376
Fax (631) 218-1379

1-800-452-6644

FUND ADMINISTRATOR

JOHN J. C. DEFAVO, ESQ.

TRAINING DIRECTOR

STEVEN M. AURIGEMA

BOARD OF TRUSTEES

GREGORY E. PRATT, JR.

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JASON GILLEN

MARTY HERBST

Re: Road and Heavy Construction Laborers Local #1298
Local 1298 Joint Apprenticeship Training Program
NYS SPONSOR CODE # 01764
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TO WHOM IT MAY CONCERN;

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Any additional questions should be directed to my attention.

Fraternally yours,

Steven M. Aurigema
Training Director
Joint Apprenticeship Training Fund



APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL
INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

SCHOOL (631) 286-8677
FAX (631) 286-8683

UNION - P.O. BOX 206, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

Re: Local 138 Apprenticeship School (the "Apprenticeship School")
NYS Sponsor # 00422
NYS ATP Code # 18318
Pratt Brothers, Inc.

To Whom It May Concern,

This letter serves to confirm that the Apprenticeship School currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to Pratt Brothers, Inc., to the Local 138 Agreement Covering Working Conditions, dated June 1, 2019 to May 31, 2023. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY
BUSINESS MANAGER & TREASURER
CHAIRMAN BOARD OF TRUSTEES
LOCAL 138 APPRENTICESHIP TRAINING FUND

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Joseph M. Williams, Jr., P.E., Sr. Vice President

Name and Title of Authorized Representative

m/d/yy

Signature

11/19/21

Date

Pratt Brothers, Inc.

Name of Organization

45 South Fourth Street, Bay Shore, NY 11706

Address of Organization

REVISION OJP FORM 4061/1 (REV. 2/89) Previous editions are obsolete

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

CONSULTANT/ CONTRACTOR DETAILED MBE/WBE/SDVOB UTILIZATION PLAN

Part 1- General Information:

Consultant/Contractor Name: Pratt Brothers, Inc.
Address (street/city/state/zip code): 45 South 4th Street, Bay Shore, N.Y. 11706
Authorized Representative (name/title): Gregory W. Couch, Project Manager
Authorized Signature: 
Contract Number: H61587-PR8
Contract/Project Name: Nassau County Priority Resurfacing Contract- Phase 8
Contract/Project Description:

Part 2- Projected MBE/WBE/SDVOB Contract Summary:

	Amount (\$)		Percentage (%)
Total Dollar Value of the Prime Contract	\$7,992,465.65		
Total MBE Dollar Amount	\$361,350.00	MBE Contract Percentage	4.53%
Total WBE Dollar Amount	\$35,500.00	WBE Contract Percentage	0.45%
Total SDVOB Dollar Amount		SDVOB Contract Percentage	
Total Combined M/WBE/SDVOB Dollar Amount	\$396,850.00	Combined M/WBE/SDVOB Contract Percentage	4.97%

Part 3- MBE Information (use additional blank sheets as necessary):

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount (\$) and Award Date	MBE Contract Scheduled Start Date and Completion
Name: Valente Contracting Corp. Address: 77 Jackson Avenue City: Mineola State/Zip Code: N.Y.11501 Authorized Representative: John Valente Telephone No. (516) 746-7951	Items: 15X, 24, 24V,26, 26CG, 27, 27DW, 28, 30	Amount (\$): \$361,350.00 <hr/> Award Date: 3/2022 <hr/>	Start Date: 4/2022 <hr/> Completion Date: 12/2022 <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>

Part 4- WBE Information (use additional blank sheets as necessary):

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount (\$) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: Island Pavement Cutting Co., Inc. Address: 84 Kean Street City: West Babylon State/Zip Code: N.Y. Authorized Representative: Marissa O'Neill Telephone No. (631)567-2711	Items 58RPC, 133B	Amount (\$): \$35,500.00 <hr/> Award Date: 3/2022 <hr/>	Start Date: 4/2022 <hr/> Completion Date: 12/2022 <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>

Part 5- SDVOB Information (use additional blank sheets as necessary):

SDVOB	Description of Work (SDVOB)	Projected SDVOB Contract Amount (\$) and Award Date	SDVOB Contract Scheduled Start Date and Completion
Name:		Amount (\$):	Start Date:
Address:			
City:			
State/Zip Code:		Award Date:	Completion Date:
Authorized Representative:			
Telephone No.			
Name:		Amount (\$):	Start Date:
Address:			
City:			
State/Zip Code:		Award Date:	Completion Date:
Authorized Representative:			
Telephone No.			
Name:		Amount (\$):	Start Date:
Address:			
City:			
State/Zip Code:		Award Date:	Completion Date:
Authorized Representative:			
Telephone No.			