Certified: --

B-2-23

Filed with the Clerk of the
Nassau County Legislature
January 12, 2023 1:40PM

NIFS ID: S3P312-04G00

Capital: X

Contract ID #: S3P312-04G

NIFS Entry Date: 10/25/2022

Department: Public Works

Service: S3P312-04G GC Harwood Drive & Southridge Drive Pump Station B15-22

Term: The term will commence with written NTP from the Department.

Contract Delayed:

Slip Type: New		
CRP:		
Blanket Resolution:		
Revenue:	Federal Aid:	State Aid:
Vendor Submitted an Unsolicited Solicitation:		

1) Mandated Program:	**Yes**
2) Comptroller Approval Form Attached:	**Yes**
3) CSEA Agmt. & 32 Compliance Attached:	**Yes**
4) Significant Adverse Information Identified? (if yes, attach memo):	**No**
5) Insurance Required:	**No**

Vendor/Municipality Info:	
Name: **W.H.M. Plumbing & Heating Contractors, Inc.**	ID#:**112731372**
Main Address: **6H Enterprise DriveEast Setauket, NY 11733**	
Main Contact: **Sarah Browne**	
Main Phone: **(631) 473-3568**	

Department:
Contact Name: **Adrian Hamilton**
Address: **NCDPW** **3340 Merrick Road** **Building R, 3rd Floor** **Wantagh, NY 11793**
Phone: **(516) 571-7536**
Email: **ahamilton@nassaucountyny.gov,ekobel@nassaucountyny.gov,ldionisio@nassaucountyny.gov**

Contract Summary

Purpose: General Construction Service to repair and improve the Harwood Drive Ejector Station & Southridge Drive Pump Station.

Method of Procurement: This is a Bid contract for the lowest responsible bidder, in accordance with the County's procurement policy.

Procurement History: The contract was advertised on January 26, 2022, via Newsday, NYSCR, & eProcure. The bid opening was scheduled for March 1, 2022. There was addendum issued February 18, 2022 which did not warrant an extension to the bid opening. Four bids were received, the lowest responsible bidder was W.H.M. Plumbing.

Description of General Provisions: This project provides for the replacement of outdated and obsolete equipment to improve reliability of the facilities and reduce operating and maintenance costs. The project also, provides for the replacement or slip lining

of approximately 600FL of force main at the Harwood Drive Ejector Station.
Impact on Funding / Price Analysis: The maximum amount of this contract is $1,580,000.00. Funding will be provided from Capital Project No. 3P312.
Change in Contract from Prior Procurement: None.
Recommendation: Approve as Submitted

Advisement Information

Fund	Control	Resp. Center	Object	Index Code	Sub Object	Budget Code	Line	Amount
CSW			00	PWCSWCSW	00004	PWCSWCSW 00004 3P312 004	01	$1,580,000.00
						TOTAL		**$1,580,000.00**

Additional Info	
Blanket Encumbrance	
Transaction	103
Renewal	
% Increase	
% Decrease	

Funding Source	Amount
Revenue Contract:	
County	$0.00
Federal	$0.00
State	$0.00
Capital	$1,580,000.00
Other	$0.00
Total	**$1,580,000.00**

Routing Slip

Department			
NIFS Entry	Elizabeth Kobel	10/25/2022 09:16AM	Approved
NIFS Final Approval	Roseann D'Alleva	10/26/2022 09:27AM	Approved
Final Approval	Roseann D'Alleva	10/26/2022 09:27AM	Approved
DPW			
Capital Fund Approval	Roseann D'Alleva	10/26/2022 09:29AM	Approved
Final Approval	Roseann D'Alleva	10/26/2022 09:29AM	Approved
County Attorney			
RE & Insurance Verification	Andrew Amato	10/26/2022 10:13AM	Approved
Approval as to Form	Richard Soleymanzadeh	10/26/2022 10:38AM	Approved
NIFS Approval	Mary Nori	10/27/2022 03:47PM	Approved
Final Approval	Mary Nori	10/27/2022 03:47PM	Approved
OMB			
NIFS Approval	Nadiya Gumieniak	10/26/2022 09:38AM	Approved
NIFA Approval	Christopher Nolan	11/04/2022 12:37PM	Approved
Final Approval	Christopher Nolan	11/04/2022 12:37PM	Approved
Compliance & Vertical DCE			
Procurement Compliance Approval	Andrew Levey	11/09/2022 02:22PM	Approved
DCE Compliance Approval	Robert Cleary	11/22/2022 05:06PM	Approved
Vertical DCE Approval	Arthur Walsh	01/12/2023 12:57PM	Approved
Final Approval	Arthur Walsh	01/12/2023 12:57PM	Approved
Legislative Affairs Review			
Final Approval	Christopher Leimone	01/12/2023 01:04PM	Approved

Legislature			
Final Approval			In Progress
DPW NIFS Field Entry			
Final Approval			Pending
NIFA			
NIFA Approval			Pending
Comptroller			
Claims Approval			Pending
Legal Approval			Pending
Accounting / NIFS Approval			Pending
Deputy Approval			Pending
Final Approval			Pending

B02-23

RULES RESOLUTION NO. -2023

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND W.H.M. PLUMBING & HEATING CONTRACTORS, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS [“Department”] has received competitive bids for contract S3P312-04G, for REPAIR AND IMPROVEMENTS TO THE HARWOOD DRIVE EJECTOR STATION AND SOUTHRIDGE DRIVE PUMP STATION IN THE CITY OF GLEN COVE-NASSAU COUNTY, NY [“Contract”], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of W.H.M. PLUMBING & HEATING CONTRACTORS, INC. [“Vendor”] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be $ 1,580,000.00, now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to follow the exact language of this form as any omission; addition or change in phraseology may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned W.H.M. Plumbing & Heating Contractors, Inc. 6H Enterprise Drive, East Setauket, NY 11733

as Principal; and Everest Reinsurance Company, 100 Everest Way, Warren Corporate Center Warren, NJ 07059 as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of

Nassau in the penal sum of Ten Percent of Proposal Price dollars ($ 10% of P.P.) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed and sealed this 24th day of February 20 22

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under

CONTRACT NO. S3P312-04G
REPAIR and IMPROVEMENTS TO
HARWOOD DRIVE EJECTOR STATION AND
SOUTHRIDGE DRIVE PUMP STATION IN
THE CITY OF GLEN COVE

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of ninety (90) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

Provided, however, that this bond is subject to the following additional conditions and limitations.

a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.

b. b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

W.H.M. Plumbing & Heating Contractors, Inc.
Contractor

by _____ (L.S.)
Title William H. Mauff, President

(Corporate seal of Contractor if a corporation)

by _____ (L.S.)
Title

by _____ (L.S.)
Title

Everest Reinsurance Company
Surety

by Katherine Acosta (L.S.)
Title of Officer Katherine Acosta, Attorney-in-Fact

Witness
~~Attest~~ _____ (L.S.)
Title of Officer Laurajean Murtagh, Surety Account Representative

(Corporate seal of Surety)

(Acknowledgment of Contractor if a corporation)
STATE OF)
ss.:
COUNTY OF)

On this 1st day of March, 2022, before me personally came William H. Mauff, to me known, who [redacted] say: That he/she resides in [redacted]; That he/she is the President of the W.H.M. Plumbing & Heating Contractors Inc. the corporation described in, and which executed the foregoing instrument; that he/she knows the seal of said corporation, that the seal affixed to said instrument is such corporate seal; That it was so affixed by order of the Board of Directors of said corporation; that he/she signed his/her name thereto by like order.

Tracie L.O'Donnell
Registration No. 010 6284951
Qualified in Suffolk County
Commission Expires 7/1/2025

Notary Public

(Acknowledgment of Contractor if a partnership)

STATE OF)
ss.:
COUNTY OF)

On this_____ day of _____, 20_____, before me personally

came _____, to me known, and known to me to

be a member of _____,
the firm described in and which executed the foregoing bond or obligation, and he/she acknowledged to me that he/she subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

_____
Notary Public

(Acknowledgment of Contractor if an individual)

STATE OF)
ss.:
COUNTY OF)

On this_____ day of _____, 20_____, before me personally

came _____, to me known and known to me to be the person described herein, who executed the foregoing instrument and he/she duly acknowledged that he/she executed the same.

_____
Notary Public

(Acknowledgment by Surety Company)

STATE OF New York)
ss.:
COUNTY OF Nassau)

On this 24th day of February, 20 22, before me personally came Katherine Acosta to me known, who being by me duly sworn, did depose and say: That he/she resides in Suffolk County;

That he/she is the Attorney-in-Fact of the Everest Reinsurance Company, the corporation described in and which executed the within instrument; that he/she knows the seal of said corporation, that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation; that he/she signed his/her name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the saidfurther said that he is acquainted with Katherine Acosta and knows him to be the Attorney-in-Fact of said company; that the signature of the said Katherine Acosta subscribed to the within instrument is in the genuine handwriting of the said Katherine Acosta and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Cristina Pagan.

Cristina Pagan
Notary Public

CRISTINA PAGAN
Notary Public-State of New York
No. 01PA6389428
Qualified in Suffolk County
Commission Expires 3/25/2023

POWER OF ATTORNEY

FINANCIAL STATEMENT

EVEREST.

POWER OF ATTORNEY
EVEREST REINSURANCE COMPANY
DELAWARE

KNOW ALL PERSONS BY THESE PRESENTS: That Everest Reinsurance Company, a corporation of the State of Delaware ("Company") having its principal office located at 477 Martinsville Road, Liberty Corner, New Jersey 07938, do hereby nominate, constitute, and appoint:

Desiree Cardlin, Colette R. Chisholm, Camille Maitland, George O. Brewster, Gerard S. Macholz, Nelly Renchiwich, Rita Losquadro, Thomas Bean, Robert T. Pearson, Susan Lupski, Dana Granice, Michelle Wannamaker, Katherine Acosta, Lee Ferrucci

its true and lawful Attorney(s)-in-fact to make, execute, attest, seal and deliver for and on its behalf, as surety, and as its act and deed, where required, any and all bonds and undertakings in the nature thereof, for the penal sum of no one of which is in any event to exceed UNLIMITED, reserving for itself the full power of substitution and revocation.

Such bonds and undertakings, when duly executed by the aforesaid Attorney(s)-in-fact shall be binding upon the Company as fully and to the same extent as if such bonds and undertakings were signed by the President and Secretary of the Company and sealed with its corporate seal.

This Power of Attorney is granted and is signed by facsimile under and by the authority of the following Resolutions adopted by the Board of Directors of Company ("Board") on the 28th day of July 2016:

RESOLVED, *that the President, any Executive Vice President, and any Senior Vice President and Anthony Romano are hereby appointed by the Board as authorized to make, execute, seal and deliver for and on behalf of the Company, any and all bonds, undertakings, contracts or obligations in surety or co-surety with others and that the Secretary or any Assistant Secretary of the Company be and that each of them hereby is authorized to attest to the execution of any such bonds, undertakings, contracts or obligations in surety or co-surety and attach thereto the corporate seal of the Company.*

RESOLVED, FURTHER, *that the President, any Executive Vice President, and any Senior Vice President and Anthony Romano are hereby authorized to execute powers of attorney qualifying the attorney named in the given power of attorney to execute, on behalf of the Company, bonds and undertakings in surety or co-surety with others, and that the Secretary or any Assistant Secretary of the Company be, and that each of them is hereby authorized to attest the execution of any such power of attorney, and to attach thereto the corporate seal of the Company.*

RESOLVED, FURTHER, *that the signature of such officers named in the preceding resolutions and the corporate seal of the Company may be affixed to such powers of attorney or to any certificate relating thereto by facsimile, and any such power of attorney or certificate bearing such facsimile signatures or facsimile seal shall be thereafter valid and binding upon the Company with respect to any bond, undertaking, contract or obligation in surety or co-surety with others to which it is attached.*

IN WITNESS WHEREOF, Everest Reinsurance Company has caused their corporate seals to be affixed hereto, and these presents to be signed by their duly authorized officers this 28th day of July 2016.

Everest Reinsurance Company CORPORATE SEAL 1973 DELAWARE

Everest Reinsurance Company

Attest: Nicole Chase, Assistant Secretary

By: Anthony Romano, Vice President

On this 28th day of July 2016, before me personally came Anthony Romano, known to me, who, being duly sworn, did execute the above instrument; that he knows the seal of said Company; that the seal affixed to the aforesaid instrument is such corporate seal and was affixed thereto; and that he executed said instrument by like order.

LINDA ROBINS
Notary Public, State of New York
No 01RO6239736
Qualified in Queens County
Term Expires April 25, 2023

Linda Robins, Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Company, at the Liberty Corner, this 24th day of February 20 22.

ES 00 01 04 16

DocuSign Envelope ID: 1B2713AD-5D88-4F0B-9829-A156E6C14251

EVEREST REINSURANCE COMPANY
STATEMENTS OF FINANCIAL CONDITION

	December 31,	
	2020	2019
	Unaudited	Audited
ASSETS		
Bonds	$ 9,452,250,173	$ 6,733,064,269
Stocks	914,135,093	579,431,010
Short-term investments	3,099,547	9,977,402
Other invested assets	2,113,872,323	2,024,154,026
Cash and cash equivalents	737,985,959	278,923,034
Accounts receivable-premium balances	2,184,883,136	1,762,474,335
Reinsurance recoverable	759,807,429	553,401,455
Other assets	674,687,110	577,418,297
Total Assets	$ 16,840,720,770	$ 12,518,843,828
LIABILITIES		
Loss and loss adjustment expense reserve	$ 7,727,564,458	$ 6,025,226,576
Unearned premium reserve	2,024,484,169	1,788,807,161
Ceded reinsurance premium payable (net of ceding commission)	363,237,788	322,866,050
Reserve for commissions, taxes and other liabilities	1,449,431,852	642,804,051
Total Liabilities	$ 11,564,718,267	$ 8,779,703,838
SURPLUS AND OTHER FUNDS		
Common capital stock	$ 10,000,000	$ 10,000,000
Contributed Surplus	3,400,178,517	2,464,960,596
Unassigned surplus	1,865,823,986	1,264,179,394
Total capital and surplus	$ 5,276,002,503	$ 3,739,139,990
Total Liabilities and Surplus	$ 16,840,720,770	$ 12,518,843,828

Bonds and stocks are valued on a basis promulgated by the National Association of Insurance Commissioners

DocuSigned by:
margaret horn
58C1DAB84C6F448...

Electronically Signed by Margaret Horn, Vice President this 15th day of April 2021

CERTIFICATE OF SOLVENCY

STATE OF NEW YORK
DEPARTMENT OF FINANCIAL SERVICES

CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK INSURANCE LAW

It is hereby certified that

Everest Reinsurance Company
of Wilmington, Delaware

a corporation organized under the laws of Delaware and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of $5,276,002,503. (Capital $10,000,000), as is shown by its sworn financial statement for the quarter ending, December 31, 2020, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.

In Witness Whereof, I have hereunto set my hand and affixed the official seal of this Department at the City of Albany, this 27th day of April, 2021.

Linda A. Lacewell
Superintendent

By

Colleen M. Draper
Special Deputy Superintendent

PROPOSAL

REPAIR AND IMPROVEMENTS TO THE HARWOOD DRIVE EJECTOR STATION AND SOUTHRIDGE DRIVE PUMP STATION IN THE CITY OF GLEN COVE

NASSAU COUNTY, NEW YORK

Contract No. S3P312-04G

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: W.H.M. Plumbing & Heating Contractors, Inc.

Bidder: W.H.M. Plumbing & Heating Contractors, Inc.
(Individual, Firm or Corporation, as case may be)

Bidder's Business Address: 1011 Enterprise Drive, East Setauket, NY 11733

Telephone: 631-473-3568 Date of Bid: 3/1/2022

Fax: 631-473-3768 E-mail: Office@whmplumbing.com

(If Bidder is an Individual, fill in the following blanks)

Name of Individual: _____

Residence of Individual: _____

(If Bidder is a Firm, fill in the following blanks)

++ NO TEXT ON THIS PAGE ++

PROPOSAL

Name and Residence of Partner: _____

_____

Name and Residence of Partner: _____

_____

Name and Residence of Partner: _____

_____

(If Bidder is a Corporation, fill in the following blanks)

Organized under the laws of the State of: State of New York March 18, 1985

[redacted]

Name and Residence of Vice-President: _____

_____

Name and Residence of Secretary: Same As Above

_____

Name and Residence of Treasurer: _____

_____

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GENERAL CONSTRUCTION

THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.

2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.

3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.

4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.

5. That he/she has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of labor, equipment, other facilities needed for the performance of the Work, the general and local conditions, and all other items which may, in any way, affect the Work or its performance.

6. The Bidder also declares that he/she has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, that he/she will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.

7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.

8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach the contractor shall be required to have such a license.

I. - REJECTION OF BIDS

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if

2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if

3. The bid does not strictly conform to law or the requirements of this contract; or if

4. The bid is conditional; or if

5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if

6. A determination that the bidder is not responsible is made in accordance with law.

7. Bids may be rejected if the County determines that the contractor's experience and qualifications statement does not show adequate experience with projects of similar size, scope and complexity, particularly concerning MOPO work and complex phasing and sequencing of equipment on and off-line during construction.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. - UNIT PRICE CONTRACTS, COMPARISON OF BIDS

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. - LUMP SUM CONTRACTS, COMPARISON OF BIDS

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

IV. - APPRENTICESHIP TRAINING

For all contracts in excess of $500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

TO BE COMPLETED BY CONTRACTORS SUBMITTING A BID ON
S3P312-04G

ITEM NO.	APPROX. QUANTITIES	ITEMS BID WITH PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1.	Lump Sum	Base Bid for furnishing all Labor, Materials and Equipment required for all Construction work for improvements and repairs to the Harwood Drive Ejector Station. four hundred thousand dollars DOLLARS CENTS	N/A	N/A	400,000	00
2.	Lump Sum	Base Bid for furnishing all Labor, Materials and Equipment required for all Construction work for improvements and repairs to the Southridge Drive Pump Station. eight hundred thousand dollars DOLLARS CENTS	N/A	N/A	800,000	00
3.	Lump Sum	Base Bid for furnishing all Labor, Materials and Equipment required for all construction work for installation of a liner in the Harwood Drive Ejector Station force main. two hundred eighty thousand dollars DOLLARS CENTS	N/A	N/A	280,000	00
4	Allowance	Allowance amount associated with unforeseen conditions. ONE HUNDRED THOUSAND AND 00/100 DOLLARS CENTS	NA	NA	$100,000	00

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TOTAL BID (Bid Item No. 1 + Bid Item No. 2 + Bid Item No. 3 + Bid Item No. 4): MUST BE WRITTEN IN FIGURES: $ 1,580,000 —
TOTAL BID (Bid Item No. 1 + Bid Item No. 2 + Bid Item No. 3 + Bid Item No. 4): MUST BE WRITTEN IN WORDS: one million five hundred eighty thousand dollars DOLLARS CENTS

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ALLOWANCES

It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

- Item No. 4: An allowance of one hundred thousand dollars ($100,000.00) for unforeseen conditions, as specified in Section 01210 – Allowances.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01210, Allowances; and Section 01500, Temporary Facilities and Controls.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID

Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances, minus the Deduct Alternate(s), taken in order, or none of them, whichever amount(s) shall be judged by the Commissioner of Public Works to be in the best interest of the County.

MAJOR EQUIPMENT ITEMS

The Bidder shall fill the name and address of the one proposed manufacturer for each major equipment item tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

Specification Number	Description	Manufacturer
07530	Elastomeric Sheet Roofing	Carlisle
15210	Air Compressors	Quincy or Ingersoll-Rand
16620	Generator Assemblies	Cummins or Kohler

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SUB-CONTRACTOR LIST AND SCHEDULE OF VALUE

BIDDER: W.H.M. Plumbing & Heating Contractors, Inc. 6H Enterprise Drive East Setauket, NY 11733

SUBCONTRACTORS:

1. Plumbing and Gas Fitting
   a. Company: WHM - Self Performed
   b. Address: _____
   c. Telephone: _____
   d. Contact Name: _____
   e. Subcontract Value: $ _____

2. Heating, Ventilating and Air Conditioning
   a. Company: WHM - Self Performed
   b. Address: _____
   c. Telephone: _____
   d. Contact Name: _____
   e. Subcontract Value: $ _____

3. Electric Wiring and Standard Illuminating Fixtures
   a. Company: Wire to Water
   b. Address: 136 Gazza Blvd, Farmingdale, NY
   c. Telephone: 631-777 7310
   d. Contact Name: Stu
   e. Subcontract Value: $ 300,000-

4. Roofing

   a. Company: Metropolitan Construction

   b. Address: 734 Union Ave Holbrook, NY 11741

   c. Telephone: 631-580-0582

   d. Contact Name: Tom Martin

   e. Subcontract Value: $ 50,000

IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law §165–a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

☒ **CERTIFICATION THAT THE BIDDER IS NOT ON THE LIST**

Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,

☐ **CERTIFICATION THAT THE BIDDER'S INVESTMENT IN IRAN IS CEASING**

The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.

3/1/22 — William H. Mauff - President

Signature/Date — Print Name and Position

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MACBRIDE FAIR EMPLOYMENT PRINCIPLES

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:
MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

(1) have business operations in Northern Ireland,

Yes ☐ No ☒

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes ☐ No ☐

Contractor's Signature
William H. Mauff-President

W.H.M. Plumbing & Heating Contractors, Inc.
Name of Business

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PROPOSAL

CONTRACTOR CERTIFICATION STATEMENT REGARDING STORM WATER POLLUTION AT THE WORK SITE

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

CONTRACTOR'S NAME: W.H.M. Plumbing & Heating Contractors, INC.

TELEPHONE NUMBER: 631-473-3868

WORK SITE OR FACILITY NAME: Glen Cove Pump Stations

WORK SITE OR FACILITY ADDRESS OR OTHER IDENTIFYING DESCRIPTION: Harwood Drive + Southridge Drive

3/1/22
Signature/Date

William H. Mauff - President
Print Name and Position

+ + NO TEXT ON THIS PAGE + +

PROPOSAL

GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

Bidder: W.H.M. Plumbing & Heating Contractors, INC.
(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: [redacted]

Federal ID No: [redacted]

Municipal License ID Number: _____

Municipal Licensing Agency: _____

By: _____ Date: 3/1/22
(Signature of Individual, Partner or Corporate Officer)

(Print): William H. Mauff Title: President

WHERE BIDDER IS A CORPORATION, ADD

ATTEST: Lisa Bal
Secretary

(CORPORATE)
(SEAL)

+ + NO TEXT ON THIS PAGE + +

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an INDIVIDUAL)

STATE OF)

) ss.:

COUNTY OF)

_____Being duly sworn, deposes and says: That he/she is the person described in and who executed the foregoing Bid and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This _____day of _____20_____.

_____

Notary Public

(Form of Affidavit where Bidder is a FIRM)

STATE OF)

) ss.:

COUNTY OF)

_____Being duly sworn, deposes and says: That he/she is a member of _____, the firm described in and which executed the foregoing Bid; that he/she duly subscribed the name of the firm thereunto on behalf of the firm; and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This _____day of _____20_____.

_____

Notary Public

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PROPOSAL

GENERAL CONSTRUCTION
(Form of Affidavit where Bidder is a CORPORATION)

STATE OF New York,

) ss.:

COUNTY OF Suffolk,

William H. Mauff [redacted] duly sworn, deposes and says: That he/she resides [redacted], that he/she is the President [redacted] orporation described in and which executed the foregoing instrument; that he/she knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he/she affixed his name thereto by like order; and that he/she has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me

This 1st day of March 20 22.

Notary Public

Tracie L.O'Donnell
Registration No. 010 6284951
Qualified in Suffolk County
Commission Expires 7/1/2025

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PERFORMANCE BOND INFORMATION FORM

Construction Contract Number S3P312-04G

Name of Contract Repair & Improvements to the Hardwood Drive Ejector Station & Southridge Drive Pump Station in the City of Glen Cove

Name of Contractor W.H.M. Plumbing & Heating Contractors, Inc.

Address 1411 Enterprise Drive, East Setauket, NY 11733

Bonding Company or Person Issuing Security Bond Everest Reinsurance Company

Address 477 Martinsville Road, Liberty Corner, NJ 07938

Bonding Company Agent Alliant Insurance Services, Inc.

Address 333 Earle Ovington Blvd., Suite 700 Uniondale, NY 11553

Amount of Bond

Duration of Bond – From

Identification number of Bond

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QUALIFICATION STATEMENT

NOTE: ALL BLANKS IN THE FORM ARE TO BE FILLED IN.
Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?
   37+ Years_____Months

2. How many years in the construction work of a similar type as this contract has your firm had;
   as a Prime Contractor 37+ year
   as a Subcontractor 37+ years

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
Please See Attached			

(USE ADDITIONAL BLANK SHEETS IF ADDITIONAL SPACE IS NECESSARY)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
Please See Attached			

(USE ADDITIONAL BLANK SHEETS IF ADDITIONAL SPACE IS NECESSARY)

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5. Have you ever failed to complete any work awarded to you? If so, when and why?

NO

(USE ADDITIONAL BLANK SHEETS IF ADDITIONAL SPACE IS NECESSARY)

6. Has any officer or partner of your firm ever been an officer or partner of some other firm that failed to complete a construction contract?

NO

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name?

If so, state name of individual, name of owner and reason therefor:

NO

8. In what other lines of business are you financially interested?

NONE

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9. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of Work	In What Capacity
William H. Mauff - Please See Attached Resume				

(USE ADDITIONAL BLANK SHEETS IF ADDITIONAL SPACE IS NECESSARY)

10. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, Etc.	Year of Service	Present Location
Backhoe			office
Excavator			office

(USE ADDITIONAL BLANK SHEETS IF ADDITIONAL SPACE IS NECESSARY)

Note: Should the equipment be moved from the above mentioned location, the submitter hereby agrees upon request of the County to state the new location where same may be found.

11. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

(USE ADDITIONAL BLANK SHEETS IF ADDITIONAL SPACE IS NECESSARY)

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12. In what manner have you inspected this proposed work?

Explain in detail.

Reviewed Plans & Specs in full, been to Jobsite & have done other projects w/ Nassau County & therefore familiar with work & requirements.

(USE ADDITIONAL BLANK SHEETS IF ADDITIONAL SPACE IS NECESSARY)

Explain your plan and lay-out for performing the proposed work.

President William Mauff (resume Attached) will have control over work. Upon Award of contract a PM will be Assigned to supervise & coordinate on site activity. Resume for this individual will be provided upon Award.

13. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

William H. Mauff - See Attached Resume

14. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
Please See Attached Accord-b Show W.H.M.'S Insurance			

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BRUCE BLAKEMAN
NASSAU COUNTY EXECUTIVE

KENNETH G. ARNOLD, P.E.
COMMISSIONER

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
1194 PROSPECT AVENUE
WESTBURY, NEW YORK 11590-2723

VENDOR PORTAL ACKNOWLEDGMENT

Vendor Name: W.A.M. Plumbing & Heating Contractors, Inc.
Contract Title: Repair & Improvements to the Harwood Drive Ejector Station & Southridge Drive Pump Station in the City of Glen Cove
Contract Number: S3P312-04G

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at www.nassaucountyny.gov by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.

Signature

Date 3/1/22

William H. Mauff
Print Name

If you attempted to register via the Portal but were unable to do so, please explain here:

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NASSAU COUNTY

Vendor Code of Ethics

POLICY/PROCEDURE TITLE: Nassau County Vendor Code of Ethics	DATE ISSUED: June 5th, 2019
DEPARTMENT ISSUING: Executive – Compliance	AUTHORIZED and SIGNED BY: Deputy County Executive For Compliance County Executive

POLICY: The Office of the Nassau County Executive recognizes the importance of the vendor community in helping the County provide necessary services for the residents of Nassau County. It is the policy of the County Executive to ensure that all vendors doing business with Nassau County operate under the highest standards of legal and ethical conduct.

PURPOSE: To set forth a Code of Conduct for vendors to ensure that Nassau County Vendors are conducting their business with integrity, ethics, and compliance with all applicable laws and regulations.

SCOPE: All vendors doing business or seeking to do business with Nassau County as specified in the Code.

Vendor Code of Ethics

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Chapter 1: DEFINITIONS

As used in this Code, the following terms have the following meanings:

Adverse Job-Related Action includes any material alteration to existing terms, conditions, and privileges of employment, such as dismissal, demotion, suspension, compulsory leave, disciplinary action, creation of a hostile work environment, negative performance evaluation, any action resulting in loss of staff, office space or equipment or other benefit, reduction in compensation, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected employee.

Contact means any oral or written communication with any Nassau County Employee, other than the Designated Point(s) of Contact, where it could be reasonably inferred that such contact was intended to influence, or could reasonably be expected to influence, the subject of a County procurement.

Designated Point(s) of Contact means the individual(s) designated by the County to be a Vendor's only contact with Nassau County following the public advertisement of a solicitation or the issuance of a request for a bid, proposal, or quote for small purchase, until the award of a resulting contract and, where applicable, approval by the County Legislature. This timeframe, further defined in the State Procurement Lobbying Law, is also known as the Restricted Period.

Nassau County Employee means any officer, official or employee of Nassau County.

Family Member means (i) a Nassau County Employee's Spouse, Domestic Partner, Child, Sibling or Parent; (ii) a person who is a direct descendant (or the spouse of a direct descendant) of a Sibling of the Nassau County Employee or a Sibling of the Nassau County Employee's Spouse or Domestic Partner; or (iii) a person living in the same household as a Nassau County Employee.

Gift means the transfer, without equivalent consideration, of anything of benefit, tangible or intangible, having more than nominal value, including, but not limited to, cash, loans, forbearance,

services, travel, gratuities of any kind, favors, money, meals, refreshments, entertainment, hospitality, admittance to private clubs, use of time-shares, personal use of the Vendor's facilities, promises, tickets to entertainment or sporting events, weekend trips, golf outings, loans of equipment, or other thing or benefit. A Gift need not be intended to influence or reward any individual or entity.

Nassau County Code of Ethics means Nassau County Charter Section 2218, and the rules and regulations promulgated thereunder as may be amended or modified.

Participating Employee means any Vendor employee who engages in any written or oral communication of a non-clerical or non-administrative nature with Nassau County or with a Nassau County Employee(s) as part of or in connection with the procurement.

Participating Nassau County Employee means any Nassau County Employee who the Vendor knows, has reason to know, or can reasonably anticipate is involved in a specific procurement, in either a direct or decision-making capacity, but not in a clerical capacity. This includes but is not limited to the Designated Point of Contact, the project manager, the project manager's staff to the extent that they are involved in the procurement, members of selection committees, technical experts and negotiating teams.

Primary Contracting Party means a Vendor who intends to directly enter into or has a contract with Nassau County.

Retaliatory Action is defined as any Adverse Job-Related Action taken by, or at the direction or request of, a Vendor or a Vendors' Employees as a result of any individual's (i) good-faith report with respect to a violation or potential violation of this Code or the law; or (ii) cooperation in any investigation of unlawful conduct or misconduct conducted by Nassau County or by federal, state, or local law enforcement officials.

State Procurement Lobbying Law means New York State Finance Law Sections 139-j and 139-k, and the rules and regulations promulgated thereunder as may be amended or modified.

Vendor means any individual or entity seeking to or doing business

with Nassau County within the scope of this Code, including, without limitation, contractors, consultants, suppliers, manufacturers seeking to act as the primary contracting party, officers and employees of the foregoing, as well as any subcontractors, subconsultants and suppliers at all lower tiers.

Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

Section 2.01 Designated Point(s) of Contact

Each procurement solicitation issued by Nassau County will identify the Designated Point(s) of Contact for that solicitation as required by the State Procurement Lobbying Law. Once the Designated Point(s) of Contact is/are established, the Vendor and any person or entity acting on the Vendor's behalf, including without limitation, those providing compensated or uncompensated lobbying, advocacy, consulting or other services should ensure that its contacts with Nassau County are in compliance with the requirements of the State Procurement Lobbying Law.

Chapter 3: GIFTS OR CONTINGENT FEES

Section 3.01 Zero Tolerance

No Vendor may offer or give any Gift, directly or indirectly, to a Nassau County Employee. Similarly, no Vendor may offer or give any Gift, directly or indirectly, to any Family Member of a Nassau County employee where such Gift is made because of the Vendor's relationship with the Nassau County Employee. Additionally, no Vendor may accept a gift from a Nassau County Employee.

This Zero-Tolerance Policy applies regardless of actual intentions. In other words, even if a Gift does not, or is not intended to, influence an action or decision by a Nassau County Employee, it is prohibited by this Code.

Section 3.02 Personal Relationships

Notwithstanding the foregoing, if a Vendor has a pre-existing family or personal relationship with the Employee, a Gift that is wholly unconnected with the Employee's duties on behalf of Nassau County is

not necessarily prohibited.

In determining whether the giving of an item was motivated by personal rather than business concerns, the following factors are considered:

(a) the history of the relationship between the donor and the recipient, including but not limited to the mutuality of gift giving;

(b) whether the item was paid for by the donor.

The giving of an item shall not be considered to be motivated by a family or personal relationship if the donor seeks to charge or deduct the value of the item as a business expense or seeks reimbursement from a client.

However, regardless of the family or personal relationship between a Vendor and an employee, a Gift is strictly forbidden where it is being given under circumstances where it can reasonably be inferred that it was intended to influence the employee in the performance of his or her official duties.

Section 3.03 Contingent Fees

The Vendor will not employ or retain any individual or entity for the purpose of soliciting or securing a Nassau County contract upon any agreement or understanding for a commission, percentage, brokerage, or fee that is contingent or dependent upon the outcome of the procurement.

Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

Section 4.01 Restrictions During the Procurement Process

Vendors shall not discuss future employment with Participating Nassau County Employees or their Family Members from the date the procurement is advertised or solicited through 30 days following the date that the procurement is awarded, even if a Participating Nassau County Employee contacts the Vendor regarding employment. Questions regarding whether a particular Nassau County employee is a Participating Nassau County Employee for a specific

procurement should be directed to the Designated Point of Contact for the procurement.

Section 4.02 Restrictions Post Award

Vendors are prohibited from offering or discussing an employment opportunity with a Nassau County Employee or his or her Family Members before whom the Vendor has or expects to have a pending specific matter including, but not limited to, negotiations, performance evaluation, task order selection, approval of a voucher or invoice, or approval of or agreement to a contract amendment, change order, or deviation or waiver until:

(i) 30 days from the time the matter before the Employee is closed, or
(ii)30 days from the time the Employee has no further involvement with the matter because of recusal or reassignment.

Chapter 5: CONFLICT OF INTEREST

Section 5.01 Financial Interest

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, as the case may be, may have a 10% or greater interest, nor shall the Vendor, nor any director, officer, principal, owner, or partner thereof, acquire a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract.

The Vendor will not permit an employee having a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract to be employed in the performance of the Nassau County contract.

Section 5.02 Personal Business Dealings

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, may have a non-County business dealing with a

Participating Nassau County Employee where it can be reasonably inferred that the purpose of the business dealing, at least in part, is to influence the Participating Nassau County Employee's action on a pending County matter.

Section 5.03 Disclosure and Cooperation

The Vendor shall disclose immediately to the County any real or potential conflict of interest of which it becomes aware. This obligation is ongoing and shall last through the completion of performance of the contract. The Vendor shall provide to Nassau County, at the County's request and upon such forms as may be furnished by Nassau County, a disclosure of organizational, financial, contractual or other affiliations with any organization or entity that has interests that may be substantially affected by the procurement solicitation or award. The Vendor shall fully cooperate in any inquiry or investigation undertaken by Nassau County to determine whether any such affiliations present a conflict of interest, or whether any other provision of this Code has been violated. The Vendor shall fully cooperate with audits, investigations, examinations and reviews by the Nassau County Inspector General conducted pursuant to section 187 of the Nassau County Charter.

Section 5.04 Confidential Information

At no time shall any Vendor who obtains confidential or proprietary Nassau County information in the course of doing or seeking to do business with the County disclose any such information to any person not authorized by Nassau County to receive such information or use such information for any personal gain except as necessary to fulfill its contractual obligations to Nassau County.

If the Vendor receives from any source confidential or proprietary Nassau County information prior to the award of a resulting contract and, where applicable, approval by the County Legislature, without the explicit approval of the Designated Point of Contact, the Vendor shall immediately so notify the Designated Point of Contact.

Nassau County confidential or proprietary information includes, but is not limited to, internal cost estimates and proposals submitted by other Vendors.

Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

No Vendor who participates in the development of a scope of work, solicitation documents, assessment criteria, contractual instruments or technical specifications may participate as a bidder, sub-bidder, proposer or sub-proposer on that particular procurement or perform any work on that particular procurement or any other procurement that would constitute an organizational conflict of interest or would give that Vendor an unfair advantage over other bidders or proposers on that procurement. This prohibition may be waived in writing by the County Chief Procurement Officer upon a showing of good cause.

Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

Section 6.01 Appearance Before Former Agency-Two Year Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear or practice before any Nassau County agency, either prior to award or in the performance of a Nassau County contract, for a period of two years after termination of the Nassau County Employee's services with the County.

Section 6.02 Appearance Before Former Agency-Life Time Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear, practice, communicate or otherwise render services before the agency that employed the officer or employee or any other agency of Nassau County, either prior to award or in the performance of an agency's contract in relation to any case, proceeding, application or transaction with respect to which such former officer or employee was directly concerned and in which he or she personally participated, or which was under his or her active consideration during the period of his or her employment. This provision is a lifetime bar on projects that the former Nassau County Employee previously worked on while employed by the County.

Chapter 7: NON-COLLUSION

Section 7.01 Independent Bid Assessment

The Vendor will calculate the price(s) contained in any bid or proposal independently, without collusion, consultation, communication, or agreement with any competing Vendor for the purpose of restricting competition.

Section 7.02 Non-Communication of Bid

Unless otherwise required by law, the price(s) which the Vendor quotes in its bid or proposal will not knowingly be disclosed by the Vendor, directly or indirectly, to any competing Vendor prior to the closing date for bids or proposals.

Section 7.03 Bid Submission

The Vendor will not make any attempt to induce any other individual or entity to submit or not to submit a bid or proposal.

Chapter 8: DISTRIBUTION AND CERTIFICATION

Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

As a condition of being considered for the award of any contract above the County's small purchase threshold of $10,000, the Vendor will be required to distribute copies of the Nassau County Vendor Code of Ethics to all Participating Employees prior to any of those employee's participation in the procurement. The Code may be distributed either in hard copy or electronically as a separate PDF.

Additionally, as a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will be required to obtain an acknowledgement from each of its Participating Employees ("Participating Employee Acknowledgements") that they have received, read, understand, and will comply with the Nassau County Vendor Code of Ethics.

The Vendor's responsibility for distributing copies of the Nassau County Vendor Code of Ethics and obtaining such signed Participating Employee Acknowledgements is ongoing until completion of performance of the contract and shall be retained for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Receipt and retention of Participating Employee Acknowledgments by the Vendor shall be subject to audit by Nassau County.

Section 8.02 Vendor Certifications

The vendor by signing the final contract thereby certifies and attests to the following:

(a) The Vendor has been provided with a copy of the Nassau County Vendor Code of Ethics and will comply with all of the provisions of the Code;

(b) All of its Participating Employees during the course of procurement or contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to any of those employees' participation in the procurement;

(c) All Participating Employees have completed the acknowledgement required by Section 8.01 of this Code;

(d) The Vendor will retain all of the signed Participating Employee Acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County;

(e) The Vendor will continue to distribute the Nassau County Vendor Code of Ethics, obtain signed Participating Employee Acknowledgements as new Participating Employees are added or changed during the contract period, and retain all of the signed acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Section 8.03 Subcontractor Certifications

As a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will obtain certifications executed by authorized officials from all of its lower tier subcontractors, subconsultants and suppliers (as well as from any other subcontractors, subconsultants and suppliers from whom that Vendor is soliciting or has received proposals for work on a Nassau County contract) whose employees have communicated or may communicate with Nassau County Employees. This obligation is ongoing and shall last through the completion of performance of the contract. Receipt and retention of lower tier certifications by the Vendor shall be subject to audit by Nassau County.

Chapter 9: PENALTIES

Section 9.01 Responsibility Determination

For violation of any provision of the Nassau County Vendor Code of Ethics, Nassau County may avail itself of every remedy in law or equity, or as agreed to by parties in any contract, including but not limited to declaring the Vendor non-responsible or in material breach of the contract.

Section 9.02 Civil/Criminal Penalties

Additionally, violation of the Nassau County Vendor Code of Ethics or a provision thereof may subject the Vendor to criminal or civil penalties under State or Federal law.

Chapter 10: REPORTING OBLIGATION

Section 10.01 Reporting Gift Requests

Notwithstanding the provisions of Chapter 4 above, the Vendor is obligated to immediately report to Nassau County's Inspector General and the County Chief Procurement Officer, any and all requests made to the Vendor by any Nassau County Employee for a Gift.

Section 10.02 Reporting Material Changes

The Vendor is under a continuing obligation to report any change in circumstances that materially affects any prior report to Nassau County to Department of Chief Contracting Officer, including but not limited to disclosure of conflicts of interest and representations made in the Contractor Responsibility Form.

Section 10.03 Reporting Violations and Overpayments

The Vendor is obligated to timely report in writing to Nassau County's Inspector General, in connection with the award, performance or closeout of the Nassau County contract or subcontract, any credible evidence of significant overpayments on the contract or that a principal, employee, agent or subcontractor has committed a

violation of law involving fraud, conflict of interest, bribery or gratuities.

Chapter 11: PROHIBITION ON RETALIATION

Section 11.01 Prohibition

To facilitate the reporting obligations under Chapter 10, this code strictly forbids all Vendors and Vendors' Employees from taking any Retaliatory Action against individuals who make such reports.

Vendor Code of Ethics

CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.: 53P312-04G

Project Description: Repair & Improvements to Harwood Drive Ejector Station & Southridge Drive Pump Station

The prospective lower tier participant W.H.M. Plumbing & Heating Contractors Inc (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to Nassau County [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this 1st day of March, 2022.

By _____ Signature of Authorized Official

William H. Mauff - President Name and Title of Authorized Official

PARTICIPATING EMPLOYEE ACKNOWLEDGEMENT REGARDING NASSAU COUNTY VENDOR CODE OF ETHICS

Company: _____

Bid/Proposal No.: _____

I, _____, acknowledge that I have received and read the Nassau County Vendor Code of Ethics on _____ and that I understand it and will comply with this Code in my participation in procurements between_____(Vendor name) and Nassau County.

Executed this_____day of_____, 20___.

By _____ Signature of Employee

_____ Name and Title of Employee

NOTICE OF AWARD

++ NO TEXT ON THIS PAGE ++

William H. Mauff

[redacted]

EDUCATION:

Local One Union Plumbing Apprenticeship Program
Midtown, Manhattan, New York, New York
Certificate of Completion - September 1975 - 1978

Suffolk Community College
Selden, New York 11784
Major - Architectural Drafting
Fall of 1975 - One Semester

Vocational B.O.C.E.S.
Dix Hills, New York
Major - Commercial Art
Degree - Honorary Certificate of Completion
September 1973 - June 1975

WORK HISTORY:

W.H.M. Plumbing & Heating Contractors, Inc.
6 H Enterprise Drive
East Setauket, New York 11733
Title - Owner & President
Project Coordinator - Estimator - Purchaser
Licensed and bonded since 1984

Fenley & Nichol
Deer Park, New York
Title - Journeyman
Responsibilities: Installation of fiberglass gasoline tanks and fiberglass piping, process piping
June 1983 - September 1984

Seaboard Mechanical
Chicago, Illinois
Title - General Foreman
Responsibilities: Supervise all men - coordinate projects, high risers
January 1981 - May 1983 Sewage treatment plants

Nagel Bush Plumbing
Hollywood, California
Title - Foreman
Responsibilities: Supervise all men - coordinate projects, high risers,
October 1979 - December 1980 commercial retail

R. Lenny Plumbing
Stony Brook, New York
Title - Journeyman
Responsibilities: *Lay out jobs - Installation of all sanitary systems Domestic water supply. Installation of gas piping systems and process piping.*
December 1980 - July 1978

P.R.P. Plumbing & Heating
Hicksville, New York
Title - Apprentice
Responsibilities: *Installation of plumbing systems, commercial & Residential.*
June 1976 - July 1978

Plumbing Licenses:

Islip
Oyster Bay
Babylon
Brookhaven
North Hempstead
Suffolk County Septic
Cedarhurst
Glen Cove
S.C.D.P.W. - Sewer license
New York City
Huntington
Hempstead
Massapequa Park
Mineola
Great Neck
Florida
Freeport
East Hills
Rockville Centre

WHM
PLUMBING & HEATING CONTRACTORS, INC.

SUMMARY OF RELEVANT ACCOMPLISHMENTS

Wastewater Treatment Project Completed in the Last 5 Years:

1. **Project:** Northport WWTP
   Northport, New York
   Owner: Incorporated Village of Northport
   Department of Public Works
   224 Main Street
   Northport, New York
   Engineer: Gannett Fleming
   Contract Amount: $3,392,000.00
   Percentage Complete: 100%
   Approx. Completion Date: 4/2016

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2. **Project:** Wincoram Commons I
   &II Coram, New York
   Owner: Conifer-LeChase, LLC.
   100 Beaman Boulevard
   Atlantic Highlands, New
   Jersey **CM:** The Zenith Group,
   LLC. **Contract Amount:** $1,040,000.00
   Percentage Complete: 100%
   Approx. Completion Date: 5/2016

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3. **Project:** SUNY Stony Brook
   WWTP Stony Brook,
   New York
   Owner: County of Suffolk
   Department of Public Works
   335 Yaphank Avenue
   Yaphank, New
   York **Engineer:** Gannett
   Fleming **Contract Amount:**
   $12,368,000.00
   Percentage Complete: 100%
   Approx. Completion Date: 11/2015

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4. **Project:** Bay Park Sewer Treatment Plant Improvements & Replacements
2 Marjorie Lane
Easy Rockaway, New York
Owner: County of Nassau
Department of Public Works
1194 Prospect Avenue.
Westbury, New York
Engineer: Dvirka & Bartilluci
Contract Amount: $2,425,000.00
Percentage Complete: 100%
Approx. Completion Date: 7/2015

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5. **Project:** Bay Park Sewer Treatment Plant Interim Sludge Facility
Easy Rockaway, New York
Owner: County of Nassau
Department of Public Works
1194 Prospect Avenue.
Westbury, New York
Engineer: Dvirka & Bartilluci
Contract Amount: $3,186,000.00
Percentage Complete: 100%
Approx. Completion Date: 3/2015

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6 **Project:** Bay Park Sewer Treatment Plant –Modifications to
Influent Pumping System
Easy Rockaway, New York
Owner: County of Nassau
Department of Public Works
1194 Prospect Avenue.
Westbury, New York
Engineer: Dvirka & Bartilluci
Contract Amount: $7,835,000.00
Percentage Complete: 100%
Approx. Completion Date: 4/2018

Additional Wastewater Treatment Projects Completed in Full:

Project: Green Port Sewage Treatment Plant
Greenport, New York
Completed: 100%
Owner: Incorporated Village of Greenport
236 Third Street
Greenport, New York

Project: Galleria Sewage Treatment Plant
Smithtown, New York
Completed: 100%
Owner: Avalon Properties
15 River Road
Wilton, CT 06897

Project: Park Meadow, Sewage Treatment Plant
Smithtown, New York
Completed: 100%
Owner: Beechwood Organization
Contractor: 345 Building Corp.

Project: Sagamore Hills Sewer Treatment Plant
Port Jefferson, New York
Completed: 100%
Owner: Sagamore Hills
Port Jefferson, New York

Project: City of Glen Cove WPCP
BNR Retrofit
Glen Cove, New York
Completed: 100%
Engineer: Cameron Engineering & Associates, LLP.

Project: Cedar Creek Sewage Treatment Plant
3340 Merrick Road
Wantaugh, New York 11793
Completed: 100%
Engineer: Posillico Environmental
1750 New Highway
Farmingdale, New York

Project: Bergen Point STP
Bergen Avenue
West Babylon, New York 11704
Completed: 100%
Owner: County of Suffolk
Depart. Of Public Works
335 Yaphank Avenue
Yaphank, New York 11980

Project: Belgrave WPCD
34-01 255th
Little Neck, New York
Completed: 100%
Engineer: Dvirka & Bartilucci Consulting Engineers

Project: Kings Park WTP
Kings Park, New York
Completed: 100%
Engineer: Posillico Environmental
1750 New Highway
Farmingdale, New York

Project: Twelve Pines STP
Woodside Avenue
Medford, New York
Completed: 100%
Owner: County of Suffolk
Department of Public Works
335 Yaphank Avenue
Yaphank, New York

Project: Sag Harbor STP
Sag Harbor, New York
Completed: 100%
Owner: Village of Sag Harbor
16 Columbia Street
Sag Harbor, New York 11963

Project: Smithhaven Mall STP and Pump Station
Lake Grove, New York
Completed: 100%
Owner: Simon Property Group

Project: Smithhaven Pump Station
Lake Grove, New York
Completed: 100%
Owner: Simon Property Group

Project: Brookwood Village Pump Station
Coram, New York
Completed: 100%
Owner: Brookwood Communities, Inc.
1 Brookwood Drive
Coram, New York

Project:	Bellhaven Nursing Home Pump Station Brookhaven, New York
Completed:	100%
Owner:	Bellhaven Center for Nursing & Rehabilitation
Engineer:	Nelson Pope

Project:	Coram Pump Station Route 112 Coram, New York
Completed:	100%
Owner:	County of Suffolk Department of Public Works 335 Yaphank Avenue Yaphank, New York

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Project:	Ray Street STP Freeport, New York
Owner:	County of Nassau Department of Public Works 1194 Prospect Avenue. Westbury, New York
Engineer:	Dvirka & Bartilucci

6H Enterprise Drive
East Setauket, New York 11733
Phone: 631.473.3568
Fax: 631.473.3762

W.H.M. Plumbing & Heating Contractors, Inc.
Several Major Projects on Hand at the Time of This Bid

- **Half Hollow Hills Library - $700,000.00 – Peter Gisolfi Associates – 9/2021 – 70% complete**
- **Town of Islip Animal Shelter - $800,000.00 – Island Structures – 7/1/2021 – 98% complete**
- **Town of Babylon – New HVAC @ Town Hall - $262,000.00 – Cahsin – 7/1/2021 – 98% complete**
- **Hempstead UFSD New Elementary School - $1,935,905.00 – BBS – 8/30/21 – 80%**

WHMPLUM-01 TTERENZI

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 2/10/2022

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER License # BR-767175
Plainview, NY - Hub International Northeast Limited
55 West Ames Court Suite 400
Plainview, NY 11803

CONTACT NAME:
PHONE (A/C, No, Ext): (516) 576-0400 FAX (A/C, No): (516) 576-1177
E-MAIL ADDRESS:

INSURER(S) AFFORDING COVERAGE	NAIC #
INSURER A : American Alternative Insurance Corporation	19720
INSURER B : ACE American Insurance Company	22667
INSURER C : United States Fire Insurance Company	21113
INSURER D : Great American Insurance Company	16691
INSURER E :	
INSURER F :	

INSURED
WHM Plumbing & Heating Contractors Inc.
6H Enterprise Drive
East Setauket, NY 11733

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	X COMMERCIAL GENERAL LIABILITY CLAIMS-MADE X OCCUR X Primary and Non-Cont X CONTRACTUAL LIAB GEN'L AGGREGATE LIMIT APPLIES PER: POLICY X PRO-JECT X LOC OTHER:			88A5GL0004402	2/11/2022	2/11/2023	EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence) MED EXP (Any one person) PERSONAL & ADV INJURY GENERAL AGGREGATE PRODUCTS - COMP/OP AGG	$ 1,000,000 $ 100,000 $ 10,000 $ 1,000,000 $ 2,000,000 $ 2,000,000 $
A	AUTOMOBILE LIABILITY X ANY AUTO OWNED AUTOS ONLY / SCHEDULED AUTOS HIRED AUTOS ONLY / NON-OWNED AUTOS ONLY			88A5CA1000942	2/11/2022	2/11/2023	COMBINED SINGLE LIMIT (Ea accident) BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (Per accident)	$ 1,000,000 $ $ $ $
B	X UMBRELLA LIAB X OCCUR EXCESS LIAB CLAIMS-MADE DED X RETENTION $ 10,000			N10906106 007	2/11/2022	2/11/2023	EACH OCCURRENCE AGGREGATE	$ 5,000,000 $ 5,000,000 $
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? Y / N (Mandatory In NH) If yes, describe under DESCRIPTION OF OPERATIONS below	N / A		408-742209-9	11/1/2021	11/1/2022	X PER STATUTE OTH-ER E.L. EACH ACCIDENT E.L. DISEASE - EA EMPLOYEE E.L. DISEASE - POLICY LIMIT	$ 1,000,000 $ 1,000,000 $ 1,000,000
D	Equipment Floater			MAC2002991-07	2/11/2022	2/11/2023	Special Form/blanket	2,000,000
A	Commercial Umbrella			60A2FF0004216-02	2/11/2022	2/11/2023	Limit	5,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
RE: PERMIT ISSUANCE
Certificate is Evidence of Insurance for the named insured.

CERTIFICATE HOLDER

City of Glen Cove
9 Glen Street
Glen Cove, NY 11542

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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NIFA Nassau County Interim Finance Authority

Contract Approval Request Form (As of January 1, 2015)

1. Vendor: W.H.M. Plumbing & Heating Contractors, Inc.

2. Amount requiring NIFA approval: $1,580,000.00

Amount to be encumbered: $1,580,000.00

Slip Type: New

If new contract - $ amount should be full amount of contract
If advisement - NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA
If amendment - $ amount should be full amount of amendment only

3. Contract Term: to The term will commence with written NTP from the Department.
Has work or services on this contract commenced? No

If yes, please explain:

4. Funding Source:

General Fund (GEN)		Grant Fund (GRT)
Capital Improvement Fund (CAP)	X	Other

Federal %	0
State %	0
County %	100

Is the cash available for the full amount of the contract?	No
If not, will it require a future borrowing?	Yes
Has the County Legislature approved the borrowing?	Yes
Has NIFA approved the borrowing for this contract?	No

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

General Construction Service to repair and improve the Harwood Drive Ejector Station & Southridge Drive Pump Station.

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form	Yes
Nassau County Committee and/or Legislature	

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

Contract ID	Posting Date	Amount Added in Prior 12 Months

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN 11/04/2022

Authenticated User ***Date***

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization.

Authenticated User ***Date***

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

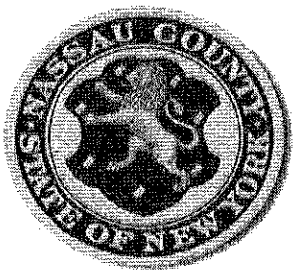
Authenticated User ***Date***

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

Elaine Phillips
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: W.H.M. Plumbing

CONTRACTOR ADDRESS: 6H Enterprise Drive, East Setauket, NY 11733

FEDERAL TAX ID # [redacted]

Instructions: **Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.**

I. ☑ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in eProcure, NYSCR & Newsday [newspaper] on 1/26/2022 [date]. The sealed bids were publicly opened on 3/1/2022 [date]. 4 [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.
The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.
The contract was originally executed by Nassau County on _____[date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after_____
_____
_____ [describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

☐ **A.** The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**

☐ **B.** The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

☐ **A.** There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.

☐ **B.** The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).

☐ **C.** Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no._____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

☐ **D.** Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: **All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.**

VIII. ☑ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☑ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No.* 87-41, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

Roseann Dalleva

Department Head Signature

10-06-22

Date

NOTE: ***Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.***

Certificate of No Change Form

All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, William Mauff state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Disclosures

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.

Name of Submitting Entity: WHM Plumbing and Heating Contractors, Inc.

Vendor's Address: 6h enterprise drive east setauket NY US 11733

Vendor's EIN or TIN: 11-2731372

Forms Submitted:

Political Campaign Contribution Disclosure Form:
10/03/2022 11:26:09 AM

Lobbyist Registration and Disclosure Form:
10/03/2022 11:28:00 AM

Business History Form certified:
06/08/2022 01:40:58 PM

Consultant's, Contractor's, and Vendor's Disclosure Form:
10/03/2022 11:29:17 AM

Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

Principal Name	Date Certified
William Mauff[WILLIAMHMAUFF@GMAIL.COM]	10/03/2022 11:30:47 AM

I, William Mauff hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES."

William H Mauff WILLIAMHMAUFF@GMAIL.COM

Name

President

Title

WHM Plumbing and Heating Contractors, Inc

Name of Submitting Entity

10/03/2022 11:32:25 AM

Date

COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES		NO	X	If yes, to what campaign committee?

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:
william mauff [WILLIAMHMAUFF@GMAIL.COM]

Dated: 10/03/2022 11:26:09 AM

Vendor: whm plumbing and heating Contrators, inc.

Title: president

COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

NONE

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

NONE

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

N/A

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See the last page for a complete description of lobbying activities.

N/A

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

N/A

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby. separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES [ ] NO [X] If yes, to what campaign committee? If none, you must so state:

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress. threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by:
William Mauff [WILLIAMHMAUFF@GMAIL.COM]

Dated: 10/03/2022 11:28:00 AM

Vendor: WHM plumbing and Heating Contractors, Inc.

Title: President

The term <u>lobbying</u> shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" <u>does not include:</u> Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses. attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: William H. Mauff
Date of birth: [redacted]
Home address: [redacted]
City: [redacted] State/Province/Territory: [redacted] Zip/Postal Code: [redacted]
Country: US

Business Address: 6H Enterprise Drive
City: East Setauket State/Province/Territory: NM Zip/Postal Code: 11733
Country US
Telephone: (631) 473-3568

Other present address(es):
City: east setauket State/Province/Territory: Zip/Postal Code: 11733
Country: US
Telephone: 6314733568

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	03/18/1985	Treasurer	03/18/1985
Chairman of Board		Shareholder	
Chief Exec. Officer		Secretary	03/18/1985
Chief Financial Officer		Partner	
Vice President			
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?
YES [X] NO [ ] If Yes, provide details.

100% Owner/Stockholder

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?
YES [ ] NO [X] If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
YES [X] NO [ ] If Yes, provide details.

6 Enterprise Drive, LLC. and 4 Enterprise Drive, LLC. - Companies own property

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES [ ] NO [X] If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

a. Been debarred by any government agency from entering into contracts with that agency?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES [ ] NO [X] If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

I, William Mauff , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, William Mauff , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

WHM Plumbing and Heating Contractors, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:
William Mauff [WILLIAMHMAUFF@GMAIL.COM]

President

Title

10/03/2022 11:30:47 AM

Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 06/08/2022

1) Proposer's Legal Name: WHM Plumbing and Heating Contractors

2) Address of Place of Business: 6h enterprise drive

City: east setauket State/Province/Territory: NY Zip/Postal Code: 11733

Country: US

3) Mailing Address (if different): _____

City: _____ State/Province/Territory: _____ Zip/Postal Code: _____

Country: _____

Phone: _____

Does the business own or rent its facilities? Own If other, please provide details:

4) Dun and Bradstreet number: [redacted]

5) Federal I.D. Number: [redacted]

6) The proposer is a: Corporation (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?

YES [X] NO [ ] If yes, please provide details:

Share Office with Law Office of June Connolly, P.C. (General Counsel of W.H.M)

8) Does this business control one or more other businesses?

YES [ ] NO [X] If yes, please provide details:

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

YES [X] NO [ ] If yes, please provide details:

Build-Mor, Inc. is an affiliate Company

10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?
YES [ ] NO [X] If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).

11) Has the proposer, during the past seven years, been declared bankrupt?
YES [ ] NO [X] If yes, state date, court jurisdiction, amount of liabilities and amount of assets

12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.
YES [ ] NO [X] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.
YES [ ] NO [X] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
a) Any felony charge pending?
YES [ ] NO [X] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?
YES [ ] NO [X] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an

element of which relates to truthfulness or the underlying facts of which related to the conduct of business?
YES [ ] NO [X] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?
YES [ ] NO [X] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?
YES [ ] NO [X] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?
YES [ ] NO [X] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?
YES [ ] NO [X] If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17 Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

none

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

none

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

none

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

WHm is a privately held company with long term employee and has not found a conflict of interest in doing business with Nassau County.

A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?
YES [ ] NO [X]

Is the proposer an individual?
YES [ ] NO [X] Should the proposer be other than an individual, the Proposal MUST include:

i) Date of formation;

03/18/1985

ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

William H. Mauff, President [redacted]

No individuals with a financial interest in the company have been attached..

iii) Name, address and position of all officers and directors of the company. If none, explain.

William H. Mauff, President [redacted]

No officers and directors from this company have been attached.

iv) State of incorporation (if applicable);

NY

v) The number of employees in the firm;

40

vi) Annual revenue of firm;

[redacted]

vii) Summary of relevant accomplishments

Update and contruction of Sewer Treatment Plants

1 File(s) Uploaded: Sewage Treatment Projects April 2022.pdf

viii) Copies of all state and local licenses and permits.

1 File(s) Uploaded: License.pdf

B. Indicate number of years in business.

35

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

Further information is available upon detailed request. W.H.M. has been doing business with Nassau County for many years and believe our history speaks for itself.

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company	Town of Babylon		
Contact Person	Vincent Piccoli		
Address	200 East Sunrise High Way		
City	Lindenhurst	State/Province/Territory	NY
Country	US		
Telephone	(631) 957-3131		
Fax #			
E-Mail Address	vpiccoli@townofbabylon.com		

Company	Village of ocean beach		
Contact Person	K. Shelling		
Address	930-937 Bay View Walk		
City	ocean beach	State/Province/Territory	NY
Country	US		
Telephone	(631) 583-5940		
Fax #			
E-Mail Address	kschelling@villageofoceanbeach.org		

Company	Suffolk County DPW		
Contact Person	Janice McGovern		
Address	335 Yaphank Ave		
City	yaphank	State/Province/Territory	NY
Country	US		
Telephone	(631) 852-4188		
Fax #			
E-Mail Address	janice.mcgovern@suffolkcountyny.gov		

I, William H Mauff , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, William H Mauff , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: WHM Plumbing and Heating Contractors, Inc.

Electronically signed and certified at the date and time indicated by:
William H Mauff [WILLIAMHMAUFF@GMAIL.COM]

President
Title

06/08/2022 01:40:58 PM
Date

This certifies that the bearer is duly licensed by the County of suffolk

Rosalie Drago
Commissioner

Suffolk County Dept. of Labor, Licensing & Consumer Affairs

MASTER PLUMBING

Name

WILLIAM H MAUFF

Business Name

WHM PLUMBING & HEATING CONTRACTORS INC

License Number: MP-2368

Issued: 09/01/1984

Expires: 09/01/2022

6H Enterprise Drive
East Setauket, New York 11733
Phone: 631.473.3568
Fax: 631.473.3762

Sewage Treatment Projects in Progress

2018 Project:	Bay Park STP – Effluent Screening and Disinfection Facility Improvements Contract No. S35121-11G 2 Majorie Lane East Rockaway, NY
Contract Amount:	$8,250,000.00
Owner:	Nassau County DPW 1194 Prospect Ave Westbury, New York 11797
Contact:	Vincent Falkowski NC (516)571-7515
Construction Manager:	Richard Mahoney Jacobs (732) 567-9211
Engineer:	Peter Petriccione Gannet Flemming 100 Crossways Park West Suite, 300 Woodbury, NY 11797 (516) 306-8957
***Percent Completed:**	**60%**

2019

Project:	Belgrave Water Pollution Control Plant – Effluent Pump Station Contract No. 19-01G 34-01 255th Little Neck, NY
Contract Amount:	$3,595,200.00
Owner:	Belgrave Water Pollution Control District Chet Steban, Supervisor (516)827 - 4900
Engineer:	Dvirka & Bartilucci Consulting Engineers 330 Crossways Park Drive Woodbury, NY 11797
Contact:	Matt Thogersen, PE (516) 815-4156
***Percent Completed:**	**95%**

Sewage Treatment Projects Completed

2018

Project: Incorporated Village of Ocean Beach – Phase 2 FEMA Project – Resiliency Upgrades

Contract No. 3593
930-937 Bayview Walk
Ocean Beach, NY 11770

Contract Amount: $ 4,375,434.20

Owner: Incorporated Village of Ocean Beach
P.O. box 457
Ocean Beach , NY 11770

Contract: Kevin Shelling
Plant Superintendent
(631) 445-4589

Engineer: Tom Schaefer
D&B Engineers and Architects P.C.
330 Crossways Park Drive
Woodbury, NY 11797
(516) 364-9890

2016

Project:	Glen Cove Wastewater Treatment Plant – Primary and Secondary Settling Tank Improvements Contract S35114-04G 100 Morris Avenue Glen Cove, NY
Contract Amount:	$ 5,940,436.83
Change Orders:	
Owner:	Nassau County DPW 1194 Prospect Avenue Westbury, NY
Contract:	Edward Visone Environmental Construction (516 571-7359
Engineer:	Chris Weiss, P.E. H2M architects and engineers 538 Broad Hollow Road, 4th Floor E Melville, NY 11747 (631) 756-8000

2016

Project:	Bay Park STP – Flood Protection Phase I – Stormwater Pumping Stations Contract S35121-18G 2 Majorie Lane East Rockaway, NY
Contract Amount:	$16,700,000.00
Owner:	Nassau County DPW 1194 Prospect Ave Westbury, NY
Engineer:	Gannet Flemming Lazarus Francino 100 Crossways Park West Suite, 300 Woodbury, NY 11797 (516) 364-4140

2013

Project:	Cedar Creek WTCP – Influent Screens Facility Improvements Contract No. S3C067-01G 3340 Merrick Road Seaford, NY 11783
Contract Amount:	$ 11,654,350.00
Owner:	Nassau County DPW 1194 Prospect Ave Westbury, NY 11590
Contact:	Edward Visone Environmental Construction (516) 571-7359
Engineer:	Arcadis Tim McDonald 44 South Broadway White Plains, NY 10601 914-641-2429

2013	
Project:	Belgrave Water Pollution Control Plant Phase II 34-01 255th Little Neck, NY
Contract Amount:	$5,880,000.00
Change Orders:	$1,017,669.61
Owner:	Board of Commissioners Belgrave Water Pollution Control District Chet Steban, Supervisor (516)827 - 4900
Engineer:	Dvirka & Bartilucci Consulting Engineers 330 Crossways Park Drive Woodbury, NY 11797
Contact:	Chuck J. Wachsmuth, PE (516)364-9890
2013	
Project:	Cedar Creek Sewage Treatment Plant – Sludge Dewatering Facility Improvements 3340 Merrick Road Wantaugh, NY 11793
Contract Amount:	$13,708,563.23
Owner:	Nassau County Department of Public Works 1194 Prospect Ave Westbury, New York
Contractor :	BlueWater Environmental/Posillico Contracting 1750 New Highway Farmingdale, New York
Contact:	Peter Brindley (516) 523-0949

2012

Project:	Sewer District 21 Stony Brook Contract No. 8121G 25 North Loop Drive Stony Brook NY
Contract Amount:	$ 12,368,200.00
Change Orders:	
Owner:	Suffolk County DPW 335 Yaphank Ave Yaphank, NY 11980
Contact:	Janice McGovern, PE Principal Civil Engineer (631) 852-4189
Engineer:	Gannet Flemming 100 Crossways Park West Suite, 300 Woodbury, NY 11797 (516) 364-4140

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===

2012

Project:	Northport WWTP Upgrades Contract No. 2012-6 1 Ketchum Place Northport, NY
Contract Amount:	$ 3,392,000.00
Change Orders:	
Owner:	Incorporated Village of Northport 224 Main Street Northport, NY
Engineer:	Gannet Flemming 100 Crossways Park West Suite, 300 Woodbury, NY 11797 (516) 364-4140

2011

Project:	Bay Park STP - Interim Sludge Thickening Facility Contract No. S35114-01G 2 Majorie Lane East Rockaway, NY
Contract Amount:	$3,186,800.00
Change Orders:	$49,873.78
Owner:	Nassau County DPW 1194 Prospect Ave Westbury, NY
Construction Manager:	Liro Program & Construction Management, P.C. 3 Aerial Way Syosset, New York 11791
Contact:	Carl Avino (917) 681-5560 (516) 938-5476
Engineer:	Dvirka & Bartilucci Consulting Engineers 330 Crossways Park Drive Woodbury, NY 11797
Contact:	Frank May NC/D&B/Liro (516)364-9890

2011

Project:	Belgrave Water Pollution Control Plant Phase I 34-01 255th Little Neck, NY
Contract Amount:	$946,000.00
Change Orders:	$41,466.17
Owner:	Board of Commissioners Belgrave Water Pollution Control District Chet Steban, Supervisor
Engineer:	Cameron Engineering & Associates, LLP 100 Sunnyside Boulevard, Suite 100 Woodbury, New York 11797
Contact:	Chet Steban, Supervisor - Belgrave Water Pollution Control Plant (516) 487-2759

2011

Project:	Bay Park STP – Modifications to Influent Pumping System Contract No. S3B117G 2 Majorie Lane East Rockaway, NY
Contract Amount:	$7,215,000.00
Change Orders:	$88,548.45
Owner:	Nassau County DPW 1194 Prospect Ave Westbury, New York 11797
Construction Manager:	Liro Program & Construction Management, P.C. 3 Aerial Way Syosset, New York 11791 (516) 938-5476
Engineer:	Dvirka & Bartilucci Consulting Engineers 330 Crossways Park Drive Woodbury, NY 11797
Contact:	Paul Cimino NC/D&B/Liro (516)364-9890

2011

Project:	Twelve Pines STP Contract No. CP8119 Woodside Ave Medford, NY
Contract Amount:	$1,469,000.00
Change Orders:	$121,718.91
Owner:	Suffolk County DPW 335 Yaphank Ave Yaphank, NY 11980
Contact:	Janice McGovern, PE Principal Civil Engineer (631) 852-4189
Engineer:	John C. Berchtold, PE Associate Civil Engineer DPW Sanitation Engineering Division (631) 852-4189

2010

Project:	Ray Street STP Upgrade & Rehabilitation - Contract No. S3P309G Ray Street Freeport, NY
Contract Amount:	$4,076,000.00
Change Orders:	$261,592.00
Owner:	Nassau County DPW 1194 Prospect Ave Westbury, NY
Contact:	Thomas Immerso (516) 571-7536
Engineer:	Dvirka & Bartilucci Consulting Engineers 330 Crossways Park Drive Woodbury, NY 11797
Contact:	Olga Mubarak-Jaramillo (516)364-9890

2010

Project:	Bay Park STP Improvements and Replacements to Various Equipment and Building Modifications Contract S3B119-03G 2 Majorie Lane East Rockaway, NY
Contract Amount:	$2,425,000.00
Owner:	Nassau County DPW 1194 Prospect Ave Westbury, NY
Construction Manager:	Malcolm Pirnie, Inc. 27-01 Queens Plaza North Suite 800 Long Island City, New York 11101 (718) 446-0116
Engineer:	Dvirka & Bartilucci Consulting Engineers 330 Crossways Park Drive Woodbury, NY 11797
Contact	Paul Cimino NC/D&B (516)364-9890

2009

Project:	Kings Park - Contract 1 – 8144G Kings Park, NY
Contract Amount:	$2,309,000.00
Change Orders:	$103,222.94
Owner:	Suffolk County Department of Public Works 335 Yaphank Ave. Yaphank, NY 11980
Contractor:	Posillico Civil Engineering 1750 New Highway Farmingdale, NY
Contact:	Chris Mauro (516) 523-0949

2007

Project:	Bergen Point STP Sludge Dewatering Bergen Ave West Babylon, NY 11704
Contract Amount:	$8,241,761.00
Change Orders:	$154,940.08
Owner:	Suffolk County Department of Public Works 335 Yaphank Ave. Yaphank, NY 11980
Contractor:	Posillico Civil Engineering 1750 New Highway Farmingdale, NY
Contact:	Peter Brindley (516) 523-0949

2006

Project:	CSSP#3 Bergen Point Traveling Water Screen Replacement Bergen Ave West Babylon, NY 11704
Contract Amount:	$1,400,000.00
Owner:	Suffolk County Department of Public Works 335 Yaphank Ave. Yaphank, NY 11980
Contractor:	Camp Dresser & McKee 100 Crossways Park West Suite 415 Woodbury, New York 11797
Contact:	Chris Korzenkoca, CDM (516) 496-8400

Project:	Galleria Sewage Treatment Plant Smithtown, New York
Contract Amount:	$1,800,000.00
Owner:	Avalon Properties 15 River Road Wilton, CT. 06897
Project:	Greenport Sewage Treatment Plant Greenport, New York
Contract Amount:	$1,366,701.00
Owner:	Inc. Village of Greenport 236 Third Street Greenport, New York

2004

Project:	Setauket Meadows STP East Setauket, New York 11733
Contract Amount:	$1,233,000.00
Change Orders:	$227,307.80
Engineer:	Nelson & Pope 572 Walt Whitman Road Melville, New York 11747
Contact:	Brian Rodie (631) 427-5665

2004

Project:	Northport Sewage Treatment Plant
Contract Amount:	$1,588,000.00
Change Orders:	$16,797.87
Engineer:	Gannett Fleming Engineers, P.C. 100 Crossways Park West Suite 300 Woodbury, New York 11797
Contact:	Phil Grande, PE (516) 364-4140

2002

Project:	City of Glen Cove W.P.C.P BNR Retrofit Glen Cove, New York
Contract Amount:	$3,335,750.00
Change Orders:	$307,143.02
Engineer:	Cameron Engineering & Associates, L.L.P. 100 Sunnyside Boulevard, Suite 100 Woodbury, New York 11797
Contact:	Mark Wagner (516) 827-4900

Project:	Park Meadow, Sewage Treatment Plant Smithtown, New York
Contract Amount:	$1,600,000.00
Owner:	Beechwood / 345 Building Corp. Smithtown, New York
Contact:	Mike Dubb (516) 935-5555

2002

Project:	Sagamore Hills, STP Port Jefferson, New York
Contract Amount:	$1,069,200.00
Owner:	Sagamore Hills Board
Contact:	Jack Naylou (631) 929-2860

2001

Project:	Smithaven Mall New SBR Treatment Plant
Contract Amount:	$2,799,000.00
Change Orders:	$419,065.26
Owner:	Simon Properties Smithaven Mall Lake Grove, New York
Engineer:	Nelson & Pope 572 Walt Whitman Road Melville, New York 11747
Contact:	George Baxter (631) 427-5665

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: WHM Plumbing and Heating Contractors

Address: 6h enterprise drive

City: east setauket State/Province/Territory: NY Zip/Postal Code: 11733

Country: US

2. Entity's Vendor Identification Number: [redacted]

3. Type of Business: Closely Held Corp (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

First Name	William				
Last Name	Mauff				
MI	H	Suffix			
Address	6H Enterprise Drive				
City	East Setauket	State/Province/Territory:	NY	Zip/Postal Code:	11733
Country	US				
Position	President				

[redacted]

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.
If none, explain.

none

No shareholders, members, or partners have been attached to this form.

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

Build-Mor, Inc. - Affiliated Company

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?
YES [ ] NO [X]

(a) Name, title, business address and telephone number of lobbyist(s):

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:
William Mauff [WILLIAMHMAUFF@GMAIL.COM]

Dated: 10/03/2022 11:29:17 AM

Title: President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Office of the County Executive
Att: Edward W. Powers, Deputy County Executive

FROM: Kenneth G. Arnold, Commissioner

DATE: March 30, 2022

SUBJECT: **RECOMMENDATION OF AWARD**

Contract Number: S3P312-04G

Title: Repairs and Improvements to Harwood Drive Ejector Station and Southridge Drive Pump Station in the City of Glen Cove

Engineer's Estimate: $1,842,000.00

Bids Received On: March 1, 2022

The bids received for the above referenced contract have been examined and W.H.M. Plumbing & Heating Contractors, Inc. is the lowest responsible bidder in the amount as follows:

General Construction – Base Bid Harwood Drive Ejector Station (Lump Sum):	$ 400,000.00
General Construction – Base Bid Southridge Drive Pump Station (Lump Sum):	$ 800,000.00
General Construction – Base Bid Harwood Drive Force Main (Lump Sum):	$ 280,000.00
Allowance for Unforeseen Conditions (Allowance No. 3):	$ 100,000.00
Bid Total:	**$ 1,580,000.00**

The lowest responsible bid is 14.2% lower than the Engineer's Estimate. There was a total of four (4) respondent bidders to this bid contract.

Funding for the construction services is available under capital project 3P312.

If you approve or disapprove of the above request, please signify below and return the memo to this office for appropriate action.

Kenneth G. Arnold
Commissioner

KGA:VF:rp
Attachment
c: Christopher Nolan, Deputy Budget Director
Vincent Falkowski, Deputy Commissioner
Roseann D'Alleva, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner of Administration
Karen Fay, Sanitary Engineer III
Graham Sharkey/Adrian Hamilton, Jacobs

APPROVED:

04/01/2022

Edward W. Powers — Date
Deputy County Executive

DISAPPROVED:

Edward W. Powers — Date
Deputy County Executive

S:\ADMIN\Letters for Signature\Water Management\Perfetti\S3P312-04G DCE Recommendation Memo_WHM.doc

H2M architects + engineers
538 Broad Hollow Road, 4th Floor East
Melville, NY 11747 | tel 631.756.8000

March 16, 2022

Via email

Mrs. Karen Fay,
Nassau County Dept. of Public Works
Department of Public Works
3340 Merrick Road
Wantagh, New York 11793

Re: Repair and Improvements to Harwood Drive Ejector Station and Southridge Drive Pump Station in the City of Glen Cove
Recommendation to Award
NCDPW Contract ID No.: S3P312-04G General Construction
H2M File No.: NCDP 20-02

Dear Mrs. Fay:

On March 1, 2022, four (4) bids were opened by Nassau County for Contract No.: S3P312-04G. As indicated on the attached bid tabulation prepared by the County, the low bidder is:

W.H.M Plumbing & Heating Contractors, Inc.
6H Enterprise Drive
Setauket, New York 11733

W.H.M. Plumbing Total Bid (sum of Items 1 through 4) is in the amount of $1,580,000.00.

A comparison of the bid item amounts to the cost opinion on the 75% documents is as follows:

Item No.	75% Cost Opinion (includes 5% contingency)	W.H.M Plumbing & Heating Contractors, Inc.	% Bid to Cost Opinion
1	$892,000.00	$400,000.00	44.8%
2	$664,000.00	$800,000.00	120.5%
3	$186,000.00	$280,000.00	150.5%
4 (Allowance)	$100,000.00	$100,000.00	----
TOTAL	$1,842,000.00	$1,580,000.00	85.8%

For Item 1, the cost opinion included installation of a force main access chamber (023020). This was considered earlier in the project then was eliminated before finalizing the bid documents since the force connection was included in S3P312-01G. The line-item amount was $506,000. The takeoff quantity for the concrete in this line item was off by a factor of 10 which contributed to this high amount. Deducting this amount results in a revised cost opinion for Item 1 of $386,000.

For Item No. 3, the cost opinion was based on a length of force main liner to be installed of 450 feet. The bid documents include a length of 480 feet (7.8% greater). The cost opinion did not include a line item for removal and disposal of the existing asbestos cement pipe that needs to be removed and disposed of plus the pipe and fittings to be installed at the two access points which accounts for a part of the difference between the bid cost and the cost opinion.

On page P-11, the bidder indicated for two major equipment items more than one manufacturer where the instructions indicate to fill the name and address of the one proposed manufacturer. For these two items, the two manufacturers listed are among the manufacturers listed in the respective specification section.

This Contract is subject to Nassau County Local Laws for Participation by Minority Group Members and Women in Nassau County Contracts and for Participation of Service-Disabled Veterans in County Contracts. The low bidder will need to submit a Utilization plan prior to contract award.

The apparent low bidder has worked previously on wastewater pump station and treatment plant improvement projects on Long Island. Therefore, pending bidder submission of Utilization plan for Minority Group Members and Women and Service-Disabled Veterans, we recommend Nassau County award Contract No.: S3P312-04G to W.H.M Plumbing & Heating Contractors, Inc. under the Total Bid (Sum of Item 1 through 4) in the amount of $1,580,000.00.

Please let me know if a pre-award conference will be held. Upon notification of award by the County, conformed copies of the bid documents will be forwarded to you.

Should you have any questions concerning this letter, please call me at (631) 756-8000, extension 1510.

Very truly yours,

H2M architects + engineers

Steven C Hearl

Steven C. Hearl, P.E., LEED AP
Vice President

Enclosure

cc: Vincent Falkowski, P.E., PMP, CCM (NCDPW)
Graham Sharkey, P.E., CCM (Jacobs)

X:\NCDP (Nassau County DPW) - 10677\NCDP2002 Harwood and Southridge PS\03-Bid\Recommendation\Bid Award S3P312-04G LTR out 2022 0315 rev1.docx

Repair/Improv to Harwood Dr Ejector Station & Southridge Dr Pump Station in The City of Glen Cove

Bid Opening: 3 /1 /2022

Engineer: Karen A. Fay, P.E. **Phone:** (516) 660-2533

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
W.H.M. Plumbing	6H Enterprise Drive East Setauket, NY 11733	Everest Reinsurance Company	10% of Bid Amount	$1,580,000.00	$0.00
RJ Industries	75 East Bethpage Road Plainview, NY 11803-0349	Liberty Mutual Insurance Comp	10% of Bid Amount	$1,677,700.00	$0.00
Philip Ross Industries, Inc.	88 Duryea Rd Suite 204 Melville, NY 11747	Liberty Mutual Insurance Comp	10% of Bid Amount	$1,720,000.00	$0.00
Posillico Civil, Inc.	1750 New Highway Farmingdale, NY 11735	Liberty Mutual Insurance Comp	10% of Bid Amount	$2,780,000.00	$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

REQUEST TO INITIATE RTI Number 21-0062

REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC

☐ **RFQ** ☐ **RFP** ■ **RFBC** ☐ **In-House or Requirements Work Order**

Project Title: S3P312-04G Harwood Drive Ejector Station and Southridge Drive Pump Station Improvements

Department: Public Works Project Manager: Vincent Falkowski Date: February 1, 2021

Service Requested: General Construction Services to repair and improve Harwood Dr. Ejector Station & Southridge Dr. Pump Station

Justification: Central Homes, Harwood & Southridge sewage pump stations are in the Glen Cove service area and are in need of improvements. These pump stations have not undergone any major repair or improvements in over a decade and most of the equipment and systems are antiquated and approaching the end of their useful life. The replacement of outdated and obsolete equipment will enhance reliability, improve energy efficiency and reduce operating and maintenance costs. In addition to the pump station work, the Harwood Drive pump station force main needs replacement. In addition to the Pump Station improvements, approximately 600 FT of the Harwood Dr. Ejector Stations' force main will be slip lined or replaced.

Requested by: Department of Public Works/Water & Wastewater Engineering Unit

Project Cost for this Phase/Contract: (Plan/Design/**Construction**/CM/Equipment) $1,961,436
Circle appropriate phase

Total Project Cost: $2,954,384
Includes, design, construction, and CM

Date Start Work: 8/1/2022
Phase being requested

Duration: 10 months
Phase being requested

Capital Funding Approval: YES ☑ NO ☐ SIGNATURE DATE

Funding Allocation (Capital Project): 3P312
See Attached Sheet if multiyear ☐

NIFS Entered: SIGNATURE DATE

AIM Entered: SIGNATURE 9/10/21 DATE

Funding Code: 3P312-004
use this on all `encumbrances

Timesheet Code: 21-0062
use this on timesheets

State Environmental Quality Review Act (SEQRA):
Type II Action ☑ or, Environmental Assessment Form Required ☐
Supplemental Environmental Documentation

Department Head Approval: YES ☑ NO ☐ SIGNATURE

DCE/Ops Approval: YES ☒ NO ☐ Brian J. Schmitt 08/06/2021 SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from responding vendors.

	Vendor	Quote	Comment
1.			
2.			
3.			
4.			

See Attached Sheet ☐

DCE/Ops Approval: YES NO Signature

Version January 2014

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS

Inter-Departmental Memo

TO: Vincent Falkowski, Deputy Commissioner

FROM: Office of the Commissioner

DATE: March 9, 2021

SUBJECT: CSEA Sub-Contracting Approval
C20-0062 Contract Number: S3P312-04G
Harwood Drive Ejector Station & Southridge Drive Pump Station Improvements

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. Pursuant to Section 32-3 of the CSEA/County CBA, CSEA has withdrawn its objection to the above-referenced contract known as **C20-0062.**

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

Roseann Dalleva

Roseann D'Alleva
Deputy Commissioner

RD:jd
c: Kenneth G. Arnold, Commissioner
Rakhal Maitra, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner

C21-0062

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS

Inter-Departmental Memo

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, President

FROM: Department of Public Works

DATE: March 5, 2021

SUBJECT: CSEA Notification of a Proposed DPW Contract
Harwood Drive Ejector Station & Southridge Drive Pump Station Improvements
S3P312-04G: General Construction

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members".

1. DPW plans to recommend a contract/agreement for the following services: General Construction Services to repair and improve the Harwood Drive Ejector Station and Southridge Drive Pump Station.

2. The work involves the following:
The contractor is to provide the necessary labor and materials for the upgrade and/or rehabilitation of the Harwood Drive Ejector Station and Southridge Drive pump station, located in the Glen Cove service area. The replacement of outdated and obsolete pumps and other equipment will enhance reliability, improve energy efficiency and reduce operating and maintenance costs. In addition to the pump station work, the Dock Place pump station force main is in need of replacement.

3. An estimate of the cost is: $1,961,436

4. An estimate of the duration is: Twelve (12) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Roseann D'Alleva, Deputy Commissioner, telephone 1-0525, fax 1-9657.

Roseann Dalleva

Roseann D'Alleva
Deputy Commissioner

RD:VF:rp
c: Christopher Nicolino, Director, Office of Labor Relations
Seth Blau, Deputy Director, Office of Labor Relations
Rakhal Maitra, Deputy Commissioner
Vincent Falkowski, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Christopher Yansick, Unit Head, Financial Management Unit
Diane Pyne, Unit Head, Human Resources Unit
Rosa Miler, Special Assistant, Office of Labor Relations

TO BE COMPLETED BY CONTRACTORS SUBMITTING A BID ON
S3P312-04G

ITEM NO.	APPROX. QUANTITIES	ITEMS BID WITH PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1.	Lump Sum	Base Bid for furnishing all Labor, Materials and Equipment required for all Construction work for improvements and repairs to the Harwood Drive Ejector Station. four hundred thousand dollars DOLLARS CENTS	N/A	N/A	400,000	00
2.	Lump Sum	Base Bid for furnishing all Labor, Materials and Equipment required for all Construction work for improvements and repairs to the Southridge Drive Pump Station. eight hundred thousand dollars DOLLARS CENTS	N/A	N/A	800,000	00
3.	Lump Sum	Base Bid for furnishing all Labor, Materials and Equipment required for all construction work for installation of a liner in the Harwood Drive Ejector Station force main. two hundred eighty thousand dollars DOLLARS CENTS	N/A	N/A	280,000	00
4	Allowance	Allowance amount associated with unforeseen conditions. ONE HUNDRED THOUSAND AND 00/100 DOLLARS CENTS	NA	NA	$100,000	00

++NO TEXT THIS PAGE++

TOTAL BID (Bid Item No. 1 + Bid Item No. 2 + Bid Item No. 3 + Bid Item No. 4): MUST BE WRITTEN IN FIGURES: $ 1,580,000 —
TOTAL BID (Bid Item No. 1 + Bid Item No. 2 + Bid Item No. 3 + Bid Item No. 4): MUST BE WRITTEN IN WORDS: one million five hundred eighty thousand dollars DOLLARS CENTS

QUALIFICATION STATEMENT

NOTE: ALL BLANKS IN THE FORM ARE TO BE FILLED IN.
Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?
   37+ Years_____Months

2. How many years in the construction work of a similar type as this contract has your firm had;
   as a Prime Contractor 37+ year
   as a Subcontractor 37+ years

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
Please See Attached			

(USE ADDITIONAL BLANK SHEETS IF ADDITIONAL SPACE IS NECESSARY)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of Work	Percent Completed	Name and Address Owner of Contracting Officer
Please See Attached			

(USE ADDITIONAL BLANK SHEETS IF ADDITIONAL SPACE IS NECESSARY)

++ NO TEXT ON THIS PAGE ++

5. Have you ever failed to complete any work awarded to you? If so, when and why?

NO

(USE ADDITIONAL BLANK SHEETS IF ADDITIONAL SPACE IS NECESSARY)

6. Has any officer or partner of your firm ever been an officer or partner of some other firm that failed to complete a construction contract?

NO

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name?

If so, state name of individual, name of owner and reason therefor:

NO

8. In what other lines of business are you financially interested?

NONE

+ + NO TEXT ON THIS PAGE + +

9. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of Work	In What Capacity
William H. Mauff - Please See Attached Resume				

(USE ADDITIONAL BLANK SHEETS IF ADDITIONAL SPACE IS NECESSARY)

10. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, Etc.	Year of Service	Present Location
Backhoe			office
Excavator			office

(USE ADDITIONAL BLANK SHEETS IF ADDITIONAL SPACE IS NECESSARY)

Note: Should the equipment be moved from the above mentioned location, the submitter hereby agrees upon request of the County to state the new location where same may be found.

11. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

(USE ADDITIONAL BLANK SHEETS IF ADDITIONAL SPACE IS NECESSARY)

++NO TEXT ON THIS PAGE++

12. In what manner have you inspected this proposed work?

Explain in detail.

Reviewed Plans & Specs in full, been to Jobsite & have done other projects w/ Nassau County & therefore familiar with work & requirements.

(USE ADDITIONAL BLANK SHEETS IF ADDITIONAL SPACE IS NECESSARY)

Explain your plan and lay-out for performing the proposed work.

President William Mauff (resume Attached) will have control over work. Upon Award of contract a PM will be Assigned to supervise & coordinate on site activity. Resume for this individual will be provided upon Award.

13. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

William H. Mauff - See Attached Resume

14. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
Please See Attached Accord-b Show W.H.M.'S Insurance			

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William H. Mauff

EDUCATION:

Local One Union Plumbing Apprenticeship Program
Midtown, Manhattan, New York, New York
Certificate of Completion - September 1975 - 1978

Suffolk Community College
Selden, New York 11784
Major - Architectural Drafting
Fall of 1975 - One Semester

Vocational B.O.C.E.S.
Dix Hills, New York
Major - Commercial Art
Degree - Honorary Certificate of Completion
September 1973 - June 1975

WORK HISTORY:

W.H.M. Plumbing & Heating Contractors, Inc.
6 H Enterprise Drive
East Setauket, New York 11733
Title - Owner & President
Project Coordinator - Estimator - Purchaser
Licensed and bonded since 1984

Fenley & Nichol
Deer Park, New York
Title - Journeyman
Responsibilities: Installation of fiberglass gasoline tanks and fiberglass piping, process piping
June 1983 - September 1984

Seaboard Mechanical
Chicago, Illinois
Title - General Foreman
Responsibilities: Supervise all men - coordinate projects, high risers
January 1981 - May 1983 Sewage treatment plants

Nagel Bush Plumbing
Hollywood, California
Title - Foreman
Responsibilities: Supervise all men - coordinate projects, high risers,
October 1979 - December 1980 commercial retail

R. Lenny Plumbing
Stony Brook, New York
Title - Journeyman
Responsibilities: *Lay out jobs - Installation of all sanitary systems Domestic water supply. Installation of gas piping systems and process piping.*
December 1980 - July 1978

P.R.P. Plumbing & Heating
Hicksville, New York
Title - Apprentice
Responsibilities: *Installation of plumbing systems, commercial & Residential.*
June 1976 - July 1978

Plumbing Licenses:

Islip
Oyster Bay
Babylon
Brookhaven
North Hempstead
Suffolk County Septic
Cedarhurst
Glen Cove
S.C.D.P.W. - Sewer license
New York City
Huntington
Hempstead
Massapequa Park
Mineola
Great Neck
Florida
Freeport
East Hills
Rockville Centre

WHM
PLUMBING & HEATING CONTRACTORS, INC.

SUMMARY OF RELEVANT ACCOMPLISHMENTS

Wastewater Treatment Project Completed in the Last 5 Years:

1. **Project:** Northport WWTP
   Northport, New York
   Owner: Incorporated Village of Northport
   Department of Public Works
   224 Main Street
   Northport, New York
   Engineer: Gannett Fleming
   Contract Amount: $3,392,000.00
   Percentage Complete: 100%
   Approx. Completion Date: 4/2016

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2. **Project:** Wincoram Commons I
   &II Coram, New York
   Owner: Conifer-LeChase, LLC.
   100 Beaman Boulevard
   Atlantic Highlands, New
   Jersey **CM:** The Zenith Group,
   LLC. **Contract Amount:** $1,040,000.00
   Percentage Complete: 100%
   Approx. Completion Date: 5/2016

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3. **Project:** SUNY Stony Brook
   WWTP Stony Brook,
   New York
   Owner: County of Suffolk
   Department of Public Works
   335 Yaphank Avenue
   Yaphank, New
   York **Engineer:** Gannett
   Fleming **Contract Amount:**
   $12,368,000.00
   Percentage Complete: 100%
   Approx. Completion Date: 11/2015

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4. **Project:** Bay Park Sewer Treatment Plant Improvements & Replacements
2 Marjorie Lane
Easy Rockaway, New York
Owner: County of Nassau
Department of Public Works
1194 Prospect Avenue.
Westbury, New York
Engineer: Dvirka & Bartilluci
Contract Amount: $2,425,000.00
Percentage Complete: 100%
Approx. Completion Date: 7/2015

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5. **Project:** Bay Park Sewer Treatment Plant Interim Sludge Facility
Easy Rockaway, New York
Owner: County of Nassau
Department of Public Works
1194 Prospect Avenue.
Westbury, New York
Engineer: Dvirka & Bartilluci
Contract Amount: $3,186,000.00
Percentage Complete: 100%
Approx. Completion Date: 3/2015

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6 **Project:** Bay Park Sewer Treatment Plant –Modifications to
Influent Pumping System
Easy Rockaway, New York
Owner: County of Nassau
Department of Public Works
1194 Prospect Avenue.
Westbury, New York
Engineer: Dvirka & Bartilluci
Contract Amount: $7,835,000.00
Percentage Complete: 100%
Approx. Completion Date: 4/2018

Additional Wastewater Treatment Projects Completed in Full:

Project: Green Port Sewage Treatment Plant
Greenport, New York
Completed: 100%
Owner: Incorporated Village of Greenport
236 Third Street
Greenport, New York

Project: Galleria Sewage Treatment Plant
Smithtown, New York
Completed: 100%
Owner: Avalon Properties
15 River Road
Wilton, CT 06897

Project: Park Meadow, Sewage Treatment Plant
Smithtown, New York
Completed: 100%
Owner: Beechwood Organization
Contractor: 345 Building Corp.

Project: Sagamore Hills Sewer Treatment Plant
Port Jefferson, New York
Completed: 100%
Owner: Sagamore Hills
Port Jefferson, New York

Project: City of Glen Cove WPCP
BNR Retrofit
Glen Cove, New York
Completed: 100%
Engineer: Cameron Engineering & Associates, LLP.

Project: Cedar Creek Sewage Treatment Plant
3340 Merrick Road
Wantaugh, New York 11793
Completed: 100%
Engineer: Posillico Environmental
1750 New Highway
Farmingdale, New York

Project: Bergen Point STP
Bergen Avenue
West Babylon, New York 11704
Completed: 100%
Owner: County of Suffolk
Depart. Of Public Works
335 Yaphank Avenue
Yaphank, New York 11980

Project: Belgrave WPCD
34-01 255th
Little Neck, New York
Completed: 100%
Engineer: Dvirka & Bartilucci Consulting Engineers

Project: Kings Park WTP
Kings Park, New York
Completed: 100%
Engineer: Posillico Environmental
1750 New Highway
Farmingdale, New York

Project: Twelve Pines STP
Woodside Avenue
Medford, New York
Completed: 100%
Owner: County of Suffolk
Department of Public Works
335 Yaphank Avenue
Yaphank, New York

Project: Sag Harbor STP
Sag Harbor, New York
Completed: 100%
Owner: Village of Sag Harbor
16 Columbia Street
Sag Harbor, New York 11963

Project: Smithhaven Mall STP and Pump Station
Lake Grove, New York
Completed: 100%
Owner: Simon Property Group

Project: Smithhaven Pump Station
Lake Grove, New York
Completed: 100%
Owner: Simon Property Group

Project: Brookwood Village Pump Station
Coram, New York
Completed: 100%
Owner: Brookwood Communities, Inc.
1 Brookwood Drive
Coram, New York

Project:	Bellhaven Nursing Home Pump Station Brookhaven, New York
Completed:	100%
Owner:	Bellhaven Center for Nursing & Rehabilitation
Engineer:	Nelson Pope

Project:	Coram Pump Station Route 112 Coram, New York
Completed:	100%
Owner:	County of Suffolk Department of Public Works 335 Yaphank Avenue Yaphank, New York

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Project:	Ray Street STP Freeport, New York
Owner:	County of Nassau Department of Public Works 1194 Prospect Avenue. Westbury, New York
Engineer:	Dvirka & Bartilucci

6H Enterprise Drive
East Setauket, New York 11733
Phone: 631.473.3568
Fax: 631.473.3762

W.H.M. Plumbing & Heating Contractors, Inc.
Several Major Projects on Hand at the Time of This Bid

- **Half Hollow Hills Library - $700,000.00 – Peter Gisolfi Associates – 9/2021 – 70% complete**
- **Town of Islip Animal Shelter - $800,000.00 – Island Structures – 7/1/2021 – 98% complete**
- **Town of Babylon – New HVAC @ Town Hall - $262,000.00 – Cahsin – 7/1/2021 – 98% complete**
- **Hempstead UFSD New Elementary School - $1,935,905.00 – BBS – 8/30/21 – 80%**

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions (Sub-Recipient)

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

William Mauff, President — 5/18/22

Name and Title of Authorized Representative — m/d/yy

Signature — Date

WHM Plumbing and Heating Contractors, Inc.

Name of Organization

6H Enterprise Dr East Setauket NY 11733

Address of Organization

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

CONSULTANT/ CONTRACTOR DETAILED MBE/WBE UTILIZATION PLAN

Part 1- General Information:

Consultant/Contractor Name:	WHM Plumbing ad Heating Contractors, Inc.
Address (street/city/state/zip code):	6 H enterprise Drive, East Setauket, NY 11733
Authorized Representative (name/title):	William Mauff, President
Authorized Signature:	
Contract Number:	S3P312-04G
Contract/Project Name:	Repair and improvements to the hardwood drive ejector station and southridge drive pump station
Contract/Project Description:	Miscellenaous repair on building and replacement of equipments. Installation of new drywells.

Part 2- Projected MBE/WBE Contract Summary:

	Amount ($)		Percentage (%)
Total Dollar Value of the Prime Contract	$1,580,000.00		
Total MBE Dollar Amount	0	MBE Contract Percentage	0
Total WBE Dollar Amount	$125,500	WBE Contract Percentage	18.8%
Total Combined M/WBE Dollar Amount	$125,500	Combined M/WBE Contract Percentage	18.8%

WBE

Part 3- ~~MBE~~ Information (use additional blank sheets as necessary):

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount($) and Award Date	MBE Contract Scheduled Start Date and Completion Date
Name: PCS Pump and Process Address: 41 Plymouth Street City: Fairfield State/Zip Code: NJ, 07004 Authorized Representative: Pete Tabone Telephone No. 973-575-7464	Controls and Instrumentation	Amount ($): $8,500 Award Date: TBD	Start Date: TBD Completion Date: TBD
Name: Island Concrete Address: PO Box 549 City: Centereach State/Zip Code: NY 11720 Authorized Representative: Ernie Werner Telephone No. 631-981-1234	Concrete	Amount ($): $40,000 Award Date: TBD	Start Date: TBD Completion Date: TBD
Name: Direct Digital Photography Address: 12 Redleaf Lane City: Commack State/Zip Code: NY, 11725 Authorized Representative: Taliqua Finey Telephone No. 347-808-7494	Construction photographs	Amount ($): $12,000 Award Date: TBD	Start Date: TBD Completion Date: TBD

Part 4- WBE Information (use additional blank sheets as necessary):

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount($) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: Cassonne Trailers Address: 1900 Lakeland Ave. City: Ronkonkoma State/Zip Code: NY 11779 Authorized Representative: Natalie Evangelista Telephone No. (631) 585-7800	Field Offices	Amount ($): $40,000 Award Date: TBD	Start Date: TBD Completion Date: TBD
Name: HTC Landscaping, inc. Address: 44 Meadow road City: Kings Park State/Zip Code: NY, 11754 Authorized Representative: Taylor Mondelli Telephone No. 631-427-1659		Amount ($): $25,000 Award Date: TBD	Start Date: TBD Completion Date: TBD
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount ($): Award Date:	Start Date: Completion Date: