



Certified: --

E-90-23

FILED WITH THE NASSAU COUNTY
CLERK OF THE LEGISLATURE
JULY 20 , 2023 2:46PM

NIFS ID: CFPW23000019

Capital: X

Contract ID #: CFPW23000019

NIFS Entry Date: 06/16/2023

Department: Public Works

Service: No Village Avenue Drainage Improvements &
Resurfacing-Design H61587-64DH

Term: 3 years from NTP

Contract Delayed:

Slip Type: New		
CRP:		
Blanket Resolution:		
Revenue:	Federal Aid:	State Aid:
Vendor Submitted an Unsolicited Solicitation:		

1) Mandated Program:	No
2) Comptroller Approval Form Attached:	Yes
3) CSEA Agmt. & 32 Compliance Attached:	Yes
4) Significant Adverse Information Identified? (if yes, attach memo):	No
5) Insurance Required:	Yes

Vendor/Municipality Info:	
Name: Hardesty & Hanover	ID#: 453031954
Main Address: 532 Broadhollow Road Melville, NY 11747	
Main Contact: RICHARD PANCHYK	
Main Phone: (646) 428-8503	

Department:
Contact Name: Devin Velasquez
Address: 1194 Prospect Avenue Westbury, NY 11590
Phone: (516) 571-4232
Email: dvelasquez@nassaucountyny.gov, ldionisio@nassaucountyny.gov, ekobel@nassaucountyny.gov, cpetrucci@nassaucountyny.gov

Contract Summary

Purpose: Provide professional engineering services for the design of Phase 64 North Village Avenue Rockville Center - Drainage Improvements and Resurfacing necessary for rehabilitating the roadway pavement and improving the existing drainage system. The existing drainage system contains blockages, preventing upstream runoff from properly draining

Method of Procurement: The request for Proposals (RFP) was prepared in conformance with NYSDOT and Nassau County Policy. The RFP was posted on the County's website e-Procure, and advertised in Newsday and NYSCR.

Procurement History: The RFP was advertised on April 9, 2021. Ten (10) proposals were received on May 28, 2021 and evaluated by professional staff from within the Department: Rakhal Maitra, Deputy Commissioner; Vivian Toscano, Civil Engineer III; Andrea Pereira, Civil Engineer II; and Devin Velasquez, Civil Engineer II. After tabulating the combined technical scores and establishing ranking order, it was determined that L.K. McLean Associates, P.C. (LKMA) (88.50) was ranked the best technical proposal, followed by Hardesty & Hanover, LLC (H&H) (85.25) as the second best, and NV5 New York Engineers, Architects,

Landscape Architects and Surveyors (NV5) (82.00) as the third best.
Description of General Provisions: The scope of work will include development of a NYSDOT Draft drainage Report, NYSDOT Draft Design Report, Design Approval Document, ADA Report, Surveying, Detailed Design & Construction Documents, and Construction Support services for Phase 64 North Village Avenue Drainage Improvements & Resurfacing.
Impact on Funding / Price Analysis: The maximum contract amount is \$405,000.00. Funds are available in Capital Project 61587. Projected WBE/DBE utilization is 22.8%.
Change in Contract from Prior Procurement: N/A
Recommendation: Approve as Submitted

Advisement Information

Fund	Control	Resp. Center	Object	Index Code	Sub Object	Budget Code	Line	Amount
CAP			00	PWCAPCAP	00002	PWCAPCAP 00002 61587 064	01	\$405,000.00
Project Number		61587						
Project Detail		064						
TOTAL							\$405,000.00	

Additional Info	
Blanket Encumbrance	
Transaction	103
Renewal	
% Increase	
% Decrease	

Funding Source	Amount
Revenue Contract:	
County	\$0.00
Federal	\$0.00
State	\$0.00
Capital	\$405,000.00
Other	\$0.00
Total	\$405,000.00

Routing Slip

Department			
NIFS Entry	Elizabeth Kobel	06/16/2023 01:52PM	Approved
NIFS Final Approval	Roseann D'Alleva	06/29/2023 12:43PM	Approved
Final Approval	Roseann D'Alleva	06/29/2023 12:43PM	Approved
DPW			
Capital Fund Approval	Roseann D'Alleva	06/29/2023 12:44PM	Approved
Final Approval	Roseann D'Alleva	06/29/2023 12:44PM	Approved
County Attorney			
RE & Insurance Verification	Andrew Amato	06/29/2023 01:46PM	Approved
Approval as to Form	Richard Soleymanzadeh	07/05/2023 01:56PM	Approved
NIFS Approval	Mary Nori	07/07/2023 09:44AM	Approved
Final Approval	Mary Nori	07/07/2023 09:44AM	Approved
OMB			
NIFS Approval	Nadiya Gumieniak	07/03/2023 09:57AM	Approved
NIFA Approval	Christopher Nolan	07/06/2023 05:03PM	Approved
Final Approval	Christopher Nolan	07/06/2023 05:03PM	Approved
Compliance & Vertical DCE			
Procurement Compliance Approval	Andrew Levey	07/07/2023 10:41AM	Approved
DCE Compliance Approval	Robert Cleary	07/07/2023 03:44PM	Approved
Vertical DCE Approval	Arthur Walsh	07/10/2023 02:28PM	Approved
Final Approval	Arthur Walsh	07/10/2023 02:28PM	Approved
Legislative Affairs Review			
Final Approval	Eleftherios Sempepos	07/10/2023 02:32PM	Approved

Legislature			
Final Approval			In Progress
Comptroller			
Claims Approval			Pending
Legal Approval			Pending
Accounting / NIFS Approval			Pending
Deputy Approval			Pending
Final Approval			Pending
NIFA			
NIFA Approval			Pending

RULES RESOLUTION NO. – 2023

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO EXECUTE A PERSONAL SERVICES AGREEMENT BETWEEN THE COUNTY OF NASSAU, ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND HARDESTY & HANOVER, LLC.

WHEREAS, the County has negotiated a personal services agreement with Hardesty & Hanover, LLC, to provide Traffic Engineering Services to DPW's Traffic Engineering Unit, a copy of which is on file with the Clerk of the Legislature; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature authorizes the County Executive to execute the said agreement with Hardesty & Hanover, LLC.

CONTRACT FOR SERVICES

THIS AGREEMENT (together with the schedules, appendices, attachments and exhibits, if any, this "Agreement"), dated as of the date this Agreement is executed by the County of Nassau, between (i) Nassau County, a municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County") acting on behalf of the County Department of Public Works, having its principal office at 1194 Prospect Avenue, Westbury, New York 11590 (the "Department") and (ii) Hardesty & Hanover, LLC a consultant engineering firm having its principal office at 105 Maxess Road, Suite 109N, Melville, New York 11747 (the "Firm" or the "Contractor").

W I T N E S S E T H:

WHEREAS, the County desires to hire the Firm to perform the services described in this Agreement; and

WHEREAS, this is a personal service contract within the intent and purview of Section 2206 of the County Charter;

WHEREAS, the Firm desires to perform the services described in this Agreement.

NOW, THEREFORE, in consideration of the premises and mutual covenants contained in this Agreement, the parties agree as follows:

1. Term. The term of this Agreement shall commence on the date on which this Agreement is executed by the County (the "Commencement Date") and terminate 3 years from the Commencement Date (the "Expiration Date") unless sooner terminated or extended in accordance with its terms. Notwithstanding the foregoing, the Department, in its sole discretion, shall have the right to extend this Agreement for a period of up to 1 year by delivering a notice of extension to the Firm at least thirty (30) days prior to the Expiration Date. The Agreement so extended shall be on the same terms, conditions and covenants as during the initial term except that the Expiration Date shall be modified in accordance with the notice of extension. Any work started by the firm, prior to the expiration date of the Agreement, may be completed in its entirety, even if the work is performed beyond the expiration date of the Agreement. An Amendment will not be required for the sole purpose of extending the term of the contract.

2. Services.

(a) The services to be provided by the Firm under this Agreement consist of the development of a **NYSDOT Draft Drainage Report, NYSDOT Draft Design Report, Design Approval Document, ADA Report, Surveying, Detailed Design & Construction Documents, and Construction Support Services for Phase 64 North Village Avenue Drainage Improvements & Resurfacing**. The specific work sub-tasks and deliverables related to this project are more particularly described in the "Detailed Scope of Services," attached hereto and hereby made a part hereof as Exhibit "A".

(b) At any time during the term of this Agreement, the County may, in its sole and absolute discretion, require the Contractor to perform Extra Services. The Firm shall not perform, nor be compensated for, Extra Services without the prior written approval of

the Commissioner or his or her duly designated deputy. The Contractor agrees to perform any such Extra Services in accordance with the terms and conditions contained in this Agreement. As used herein, "Extra Services" means additional services which are (i) generally within the scope of services set forth in this Agreement, (ii) necessary or in furtherance of the goals of this Agreement and (iii) not due to the fault or negligence of the Contractor.

3. Payment.

(a) Amount of Consideration. The amount to be paid to the Firm as full consideration for the Firm's services under this Agreement shall be payable as set forth in the "Payment Schedule," attached hereto and made a hereby part hereof as Exhibit "B". Notwithstanding the foregoing, **the maximum amount to be paid to the Firm for the Firm's services under this Agreement shall not to exceed Four Hundred Five Thousand Dollars (\$405,000.00)** including contingency for additional expenses relating to reimbursable/out-of-pocket expenses, or unforeseen or unspecified work needed to meet the Scope of Work.

(b) Vouchers; Voucher Review, Approval and Audit. Payments shall be made to the Firm in arrears and shall be contingent upon (i) the Contractor submitting a claim voucher (the "Voucher") in a form satisfactory to the County, that (a) states with reasonable specificity the services provided and the payment requested as consideration for such services, (b) certifies that the services rendered and the payment requested are in accordance with this Agreement, and (c) is accompanied by a progress report, in a form satisfactory to the County, indicating the percentage and description of work completed and other documentation satisfactory to the County supporting the amount claimed, and (ii) review, approval and audit of the Voucher by the Department and/or the County Comptroller or his or her duly designated representative (the "Comptroller").

(c) Timing of Payment Claims. The Firm shall submit claims no later than three (3) months following the County's receipt of the services that are the subject of the claim and no more frequently than once a month.

(d) No Duplication of Payments. Payments under this Agreement shall not duplicate payments for any work performed or to be performed under other agreements between the Firm and any funding source including the County.

(e) Payments in Connection with Termination or Notice of Termination. Unless a provision of this Agreement expressly states otherwise, payments to the Firm following the termination of this Agreement shall not exceed payments made as consideration for services that were (i) performed prior to termination, (ii) authorized by this Agreement to be performed, and (iii) not performed after the Firm received notice that the County did not desire to receive such services.

(f) Payments Relating to Services Rendered by Subcontractors. The County retains the right, but not the obligation, prior to making any payment to the Firm, to demand that the Firm furnish to the County, proof acceptable to the County, in its sole and absolute discretion, that all due and payable claims made by subcontractors in connection with this Agreement have been paid to date or are included in the amount being requested by the Firm.

4. Ownership and Control of Work Product

(a) Copyrights.

(i) Upon execution of this Agreement, any reports, documents, data, photographs and/or other materials produced pursuant to this Agreement, and any and all drafts and/or other preliminary materials in any format related to such items, shall become the exclusive property of the County.

(ii) Any reports, documents, data, photographs and/or other materials produced pursuant to this Agreement ("Copyrightable Materials") shall be considered "work-made-for-hire" within the meaning and purview of Section 101 of the United States Copyright Act, 17 U.S.C. §101, and the County shall be the copyright owner thereof and of all aspects, elements and components thereof in which copyright protection might exist. To the extent that the Copyrightable Materials do not qualify as "work-made-for-hire," the Contractor hereby irrevocably transfers, assigns and conveys exclusive copyright ownership in and to the Copyrightable Materials to the County, free and clear of any liens, claims, or other encumbrances. The Contractor shall retain no copyright or intellectual property interest in the Copyrightable Materials, and they shall be used by the Contractor for no other purpose without the prior written permission of the County.

(iii) The Contractor acknowledges that the County may, in its sole discretion, register copyright in the Copyrightable Materials with the U.S. Copyright Office or any other government agency authorized to grant copyright registrations. The Contractor shall cooperate in this effort and agrees to provide any further documentation necessary to accomplish this.

(iv) The Contractor represents and warrants that the Copyrightable Materials: (1) are wholly original material not published elsewhere (except for material that is in the public domain); (2) do not violate any copyright law; (3) do not constitute defamation or invasion of the right of privacy or publicity, and (4) are not an infringement of any kind, of the rights of any third party. To the extent that the Copyrightable Materials incorporate any non-original material, the Contractor has obtained all necessary permissions and clearances, in writing, for the use of such non-original material under this Agreement, copies of which shall be provided to the County upon execution of this Agreement.

(b) Patents and Inventions. Any discovery or invention arising out of or developed in the course of performance of this Agreement shall be promptly and fully reported to the Department, and if this work is supported by a federal grant of funds, shall be promptly and fully reported to the Federal Government for determination as to whether patent protection on such invention shall be sought and how the rights in the invention or discovery, including rights under any patent issued thereon, shall be disposed of and administered in order to protect the public interest.

(c) Pre-existing Rights. In no case shall 4(a) or 4(b) above apply to, or prevent the Contractor from asserting or protecting its rights in any report, document or

other data, or any invention which existed prior to or was developed or discovered independently from the activities directly related to this Agreement.

(d) Infringements of Patents, Trademarks, and Copyrights. The Contractor shall indemnify and hold the County harmless against any claim for any infringement by the Contractor of any copyright, trade secrets, trademark or patent rights of design, systems, drawings, graphs, charts, specifications or printed matter furnished or used by the Contractor in the performance of this Agreement. The Contractor shall indemnify and hold the County harmless regardless of whether or not the infringement arises out of compliance with the scope of services/scope of work.

(e) Antitrust. The Contractor hereby assigns, sells, and transfers to the County all right, title and interest in and to any claims and causes of action arising under the antitrust laws of the State of New York or of the United States relating to the particular goods or services procured by the County under this Agreement.

5. Independent Contractor. The Firm is an independent Contractor of the County. The Firm shall not, nor shall any officer, director, employee, servant, agent or independent Contractor of the Firm (a "Firm Agent"), be (i) deemed a County employee, (ii) commit the County to any obligation, or (iii) hold itself, himself, or herself out as a County employee or Person with the authority to commit the County to any obligation. As used in this Agreement the word "Person" means any individual person, entity (including partnerships, corporations and limited liability companies), and government or political subdivision thereof (including agencies, bureaus, offices and departments thereof).

6. No Arrears or Default. The Contractor is not in arrears to the County upon any debt or contract and it is not in default as surety, contractor, or otherwise upon any obligation to the County, including any obligation to pay taxes to, or perform services for or on behalf of, the County.

7. Compliance with Law.

(a) Generally. The Contractor shall comply with any and all applicable Federal, State and local Laws, including, but not limited to those relating to conflicts of interest, human rights, a living wage, and disclosure of information, in connection with its performance under this Agreement. In furtherance of the foregoing, the Firm is bound by and shall comply with the terms of Appendice (Appendix) "EE" attached hereto and hereby made a part hereof. As used in this Agreement the word "Law" includes any and all statutes, local laws, ordinances, rules, regulations, applicable orders, and/or decrees, as the same may be amended from time to time, enacted, or adopted.

(b) Nassau County Living Wage Law. Pursuant to LL 1-2006, as amended, and to the extent that a waiver has not been obtained in accordance with such law or any rules of the County Executive, the Contractor agrees as follows:

(i) Contractor shall comply with the applicable requirements of the Living Wage Law, as amended;

- (ii) Failure to comply with the Living Wage Law, as amended, constitutes a material breach of this Agreement, such breach being determined solely by the County. The Contractor has the right to cure such breach within thirty days of receipt of notice of breach from the County. In the event that such breach is not timely cured, the County may terminate this Agreement as well as exercise any other rights available to the County under applicable law.
- (iii) On a yearly basis, Contractor shall provide the County with any material changes to its Certificate of Compliance, attached hereto and hereby made a part hereof as Appendix "L."

(c) Records Access. The parties acknowledge and agree that all records, information, and data ("Information") acquired in connection with performance or administration of this Agreement shall be used and disclosed solely for the purpose of performance and administration of the contract or as required by law. The Contractor acknowledges that Contractor Information in the County's possession may be subject to disclosure under Article 6 of the New York State Public Officer's Law ("Freedom of Information Law" or "FOIL"). In the event that such a request for disclosure is made, the County shall make reasonable efforts to notify the Contractor of such request prior to disclosure of the Information so that the Contractor may take such action as it deems appropriate.

(d) Protection of Client Information. The Firm acknowledges and agrees that all information that the Firm acquires in connection with performance under this Agreement shall be strictly confidential, used solely for the purpose of performing services to or on behalf of the County, and shall not be disclosed to third parties except (i) as permitted under this Agreement, (ii) with the written consent of the County (and then only to the extent of the consent), or (iii) upon legal compulsion.

(e) Prohibition of Gifts. In accordance with County Executive Order 2-2018, the Contractor shall not offer, give, or agree to give anything of value to any County employee, agent, consultant, construction manager, or other person or firm representing the County (a "County Representative"), including members of a County Representative's immediate family, in connection with the performance by such County Representative of duties involving transactions with the Contractor on behalf of the County, whether such duties are related to this Agreement or any other County contract or matter. As used herein, "anything of value" shall include, but not be limited to, meals, holiday gifts, holiday baskets, gift cards, tickets to golf outings, tickets to sporting events, currency of any kind, or any other gifts, gratuities, favorable opportunities or preferences. For purposes of this subsection, an immediate family member shall include a spouse, child, parent, or sibling. The Contractor shall include the provisions of this subsection in each subcontract entered into under this Agreement.

(f) Disclosure of Conflicts of Interest. In accordance with County Executive Order 2-2018, the Contractor has disclosed as part of its response to the County's Business History Form, or other disclosure form(s), any and all instances where the Contractor employs any spouse, child, or parent of a County employee of the agency or department that contracted or procured the goods and/or services described under this Agreement. The Contractor shall have a continuing obligation, as circumstances arise, to update this

disclosure throughout the term of this Agreement.

(g) Vendor Code of Ethics. By executing this Agreement, the Contractor hereby certifies and covenants that:

- (i) The Contractor has been provided a copy of the Nassau County Vendor Code of Ethics issued on June 5, 2019, as may be amended from time to time (the "Vendor Code of Ethics"), and will comply with all of its provisions;
- (ii) All of the Contractor's Participating Employees, as such term is defined in the Vendor Code of Ethics (the "Participating Employees"), have been provided a copy of the Vendor Code of Ethics prior to their participation in the underlying procurement;
- (iii) All Participating Employees have completed the acknowledgment required by the Vendor Code of Ethics;
- (iv) The Contractor will retain all of the signed Participating Employee acknowledgements for the period it is required to retain other records pertinent to performance under this Agreement;
- (v) The Contractor will continue to distribute the Vendor Code of Ethics, obtain signed Participating Employee acknowledgments as new Participating Employees are added or changed during the term of this Agreement, and retain such signed acknowledgments for the period the Contractor is required to retain other records pertinent to performance under this Agreement; and

The Contractor has obtained the certifications required by the Vendor Code of Ethics from any subcontractors or other lower tier participants who have participated in procurements for work performed under this Agreement.

8. Minimum Service Standards. Regardless of whether required by Law:

(a) The Firm shall, and shall cause Firm Agents to, conduct its, his or her activities in connection with this Agreement so as not to endanger or harm any Person or property.

(b) The Contractor shall deliver services under this Agreement in a professional manner consistent with the best practices of the industry in which the Contractor operates. The Contractor shall take all actions necessary or appropriate to meet the obligation described in the immediately preceding sentence, including obtaining and maintaining, and causing all Contractor Agents to obtain and maintain, all approvals, licenses, and certifications ("Approvals") necessary or appropriate in connection with this Agreement.

9. Indemnification; Defense; Cooperation.

(a) The Contractor shall be solely responsible for and shall indemnify and hold harmless the County, the Department and its officers, employees, and agents (the "Indemnified Parties") from and against any and all liabilities, losses, costs, expenses (including, without limitation, attorneys' fees and disbursements) and damages ("Losses"), arising out of or in connection with any acts or omissions of the Contractor or a Contractor Agent, regardless of whether due to negligence, fault, or default, including Losses in connection with any threatened investigation, litigation or other proceeding or preparing a defense to or prosecuting the same; provided, however, that the Contractor shall not be responsible for that portion, if any, of a Loss that is caused by the negligence of the County.

(b) The Contractor shall, upon the County's demand and at the County's direction, promptly and diligently defend, at the Contractor's own risk and expense, any

and all suits, actions, or proceedings which may be brought or instituted against one or more Indemnified Parties for which the Contractor is responsible under this Section, and, further to the Contractor's indemnification obligations, the Contractor shall pay and satisfy any judgment, decree, loss or settlement in connection therewith as related to Contractor's indemnification obligation pursuant to this section.

(c) The Contractor shall, and shall cause Contractor Agents to, cooperate with the County and the Department in connection with the investigation, defense or prosecution of any action, suit or proceeding in connection with this Agreement, including the acts or omissions of the Contractor and/or a Contractor Agent in connection with this Agreement.

(d) The provisions of this Section shall survive the termination of this Agreement.

10. Insurance.

(a) Types and Amounts. The Contractor shall obtain and maintain throughout the term of this Agreement, at its own expense: (i) one or more policies for commercial general liability insurance, which policy(ies) shall name "Nassau County" as an additional insured and have a minimum single combined limit of liability of not less than one million dollars (\$1,000,000) per occurrence and two million dollars (\$2,000,000) aggregate coverage, (ii) if contracting in whole or part to provide professional services, one or more policies for professional liability insurance, which policy(ies) shall have a minimum single combined limit liability of not less than one million dollars (\$1,000,000) per occurrence and two million dollars (\$2,000,000) aggregate coverage, (iii) compensation insurance for the benefit of the Contractor's employees ("Workers' Compensation Insurance"), which insurance is in compliance with the New York State Workers' Compensation Law, and (iv) such additional insurance as the County may from time to time specify.

(b) Acceptability; Deductibles; Subcontractors. All insurance obtained and maintained by the Contractor pursuant to this Agreement shall be (i) written by one or more commercial insurance carriers licensed to do business in New York State and acceptable to the County, and which is (ii) in form and substance acceptable to the County. The Contractor shall be solely responsible for the payment of all deductibles to which such policies are subject. The Contractor shall require any subcontractor hired in connection with this Agreement to carry insurance with the same limits and provisions required to be carried by the Contractor under this Agreement.

(c) Delivery; Coverage Change; No Inconsistent Action. Prior to the execution of this Agreement, copies of current certificates of insurance evidencing the insurance coverage required by this Agreement shall be delivered to the Department. Not less than thirty (30) days prior to the date of any expiration or renewal of, or actual, proposed or threatened reduction or cancellation of coverage under, any insurance required hereunder, the Contractor shall provide written notice to the Department of the same and deliver to the Department renewal or replacement certificates of insurance. The Contractor shall cause all insurance to remain in full force and effect throughout the term of this Agreement and shall not take or omit to take any action that would suspend or invalidate any of the required coverages. The failure of the Contractor to maintain Workers' Compensation Insurance shall render this contract void and of no effect. The failure of the Contractor to maintain the other required coverages shall be deemed a material breach of this Agreement

upon which the County reserves the right to consider this Agreement terminated as of the date of such failure.

11. Assignment; Amendment; Waiver; Subcontracting. This Agreement and the rights and obligations hereunder may not be in whole or part (i) assigned, transferred or disposed of, (ii) amended, (iii) waived, or (iv) subcontracted, without the prior written consent of the County Executive or his or her duly designated deputy (the "County Executive"), and any purported assignment, other disposal or modification without such prior written consent shall be null and void. The failure of a party to assert any of its rights under this Agreement, including the right to demand strict performance, shall not constitute a waiver of such rights.

12. Termination.

(a) Generally. This Agreement may be terminated (i) for any reason by the County upon thirty (30) days' written notice to the Firm, (ii) for "Cause" by the County immediately upon the receipt by the Contractor of written notice of termination, (iii) upon mutual written Agreement of the County and the Contractor, and (iv) in accordance with any other provisions of this Agreement expressly addressing termination.

As used in this Agreement the word "Cause" includes: (i) a breach of this Agreement; (ii) the failure to obtain and maintain in full force and effect all Approvals required for the services described in this Agreement to be legally and professionally rendered; and (iii) the termination or impending termination of federal or state funding for the services to be provided under this Agreement.

(b) By the Firm. This Agreement may be terminated by the Firm if performance becomes impracticable through no fault of the Firm, where the impracticability relates to the Firm's ability to perform its obligations and not to a judgment as to convenience or the desirability of continued performance. Termination under this subsection shall be effected by the Firm delivering to the commissioner or other head of the Department (the "Commissioner"), at least sixty (60) days prior to the termination date (or a shorter period if sixty days' notice is impossible), a notice stating (i) that the Firm is terminating this Agreement in accordance with this subsection, (ii) the date as of which this Agreement will terminate, and (iii) the facts giving rise to the Firm's right to terminate under this subsection. A copy of the notice given to the Commissioner shall be given to the Deputy County Executive who oversees the administration of the Department (the "Applicable DCE") on the same day that notice is given to the Commissioner.

(c) Contractor Assistance upon Termination. In connection with the termination or impending termination of this Agreement the Firm shall, regardless of the reason for termination, take all actions reasonably requested by the County (including those set forth in other provisions of this Agreement) to assist the County in transitioning the Firm's responsibilities under this Agreement. The provisions of this subsection shall survive the termination of this Agreement.

13. Accounting Procedures; Records. The Firm shall maintain and retain, for a period of six (6) years following the later of termination of or final payment under this Agreement, complete and accurate records, documents, accounts and other evidence, whether maintained electronically or manually ("Records"), pertinent to performance

under this Agreement. Records shall be maintained in accordance with Generally Accepted Accounting Principles and, if the Firm is a non-profit entity, must comply with the accounting guidelines set forth in the federal Office of Management & Budget Circular A-122, "Cost Principles for Non-Profit Organizations." Such Records shall at all times be available for audit and inspection by the Comptroller, the Department, any other governmental authority with jurisdiction over the provision of services hereunder and/or the payment therefore, and any of their duly designated representatives. The provisions of this Section shall survive the termination of this Agreement.

14. Limitations on Actions and Special Proceedings against the County. No action or special proceeding shall lie or be prosecuted or maintained against the County upon any claims arising out of or in connection with this Agreement unless:

(a) Notice. At least thirty (30) days prior to seeking relief the Firm shall have presented the demand or claim(s) upon which such action or special proceeding is based in writing to the Applicable DCE for adjustment and the County shall have neglected or refused to make an adjustment or payment on the demand or claim for thirty (30) days after presentment. The Firm shall send or deliver copies of the documents presented to the Applicable DCE under this Section to each of (i) the Department and (ii) the County Attorney (at the address specified above for the County) on the same day that documents are sent or delivered to the Applicable DCE. The complaint or necessary moving papers of the Firm shall allege that the above-described actions and inactions preceded the Firm's action or special proceeding against the County.

(b) Time Limitation. Such action or special proceeding is commenced within the earlier of (i) one (1) year of the first to occur of (A) final payment under or the termination of this Agreement, and (B) the accrual of the cause of action, and (ii) the time specified in any other provision of this Agreement.

15. Work Performance Liability. The Firm is and shall remain primarily liable for the successful completion of all work in accordance this Agreement irrespective of whether the Firm is using a Firm Agent to perform some or all of the work contemplated by this Agreement, and irrespective of whether the use of such Firm Agent has been approved by the County.

16. Consent to Jurisdiction and Venue; Governing Law. Unless otherwise specified in this Agreement or required by Law, exclusive original jurisdiction for all claims or actions with respect to this Agreement shall be in the Supreme Court in Nassau County in New York State and the parties expressly waive any objections to the same on any grounds, including venue and forum non conveniens. This Agreement is intended as a contract under, and shall be governed and construed in accordance with, the Laws of New York State, without regard to the conflict of laws provisions thereof.

17. Notices. Any notice, request, demand or other communication required to be given or made in connection with this Agreement shall be (a) in writing, (b) delivered or sent (i) by hand delivery, evidenced by a signed, dated receipt, (ii) postage prepaid via certified mail, return receipt requested, or (iii) overnight delivery via a nationally recognized courier service, (c) deemed given or made on the date the delivery receipt was signed by a County employee, three (3) business days after it is mailed or one (1) business day after it is released to a courier service, as applicable, and (d)(i) if to the Department, to

the attention of the Commissioner at the address specified above for the Department, (ii) if to an Applicable DCE, to the attention of the Applicable DCE (whose name the Firm shall obtain from the Department) at the address specified above for the County, (iii) if to the Comptroller, to the attention of the Comptroller at 240 Old Country Road, Mineola, NY 11501, and (iv) if to the Firm, to the attention of the person who executed this Agreement on behalf of the Firm at the address specified above for the Firm, or in each case to such other persons or addresses as shall be designated by written notice.

18. All Legal Provisions Deemed Included; Severability; Supremacy and Construction.

(a) Every provision required by Law to be inserted into or referenced by this Agreement is intended to be a part of this Agreement. If any such provision is not inserted or referenced or is not inserted or referenced in correct form then (i) such provision shall be deemed inserted into or referenced by this Agreement for purposes of interpretation and (ii) upon the application of either party this Agreement shall be formally amended to comply strictly with the Law, without prejudice to the rights of either party.

(b) In the event that any provision of this Agreement shall be held to be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.

(c) Unless the application of this subsection will cause a provision required by Law to be excluded from this Agreement, in the event of an actual conflict between the terms and conditions set forth above the signature page to this Agreement and those contained in any schedule, exhibit, appendix, or attachment to this Agreement, the terms and conditions set forth above the signature page shall control. To the extent possible, all the terms of this Agreement should be read together as not conflicting.

(d) Each party has cooperated in the negotiation and preparation of this Agreement. Therefore, in the event that construction of this Agreement occurs, it shall not be construed against either party as drafter.

19. Section and Other Headings. The section and other headings contained in this Agreement are for reference purposes only and shall not affect the meaning or interpretation of this Agreement.

20. Entire Agreement. This Agreement represents the full and entire understanding and agreement between the parties with regard to the subject matter hereof and supersedes all prior agreements (whether written or oral) of the parties relating to the subject matter of this Agreement.

21. Administrative Service Charge. The Firm agrees to pay the County an administrative service charge of Five Hundred and Thirty-Three dollars and no cents (\$ 533.00) for the processing of this Agreement pursuant to Ordinance Number 74-1979, as amended by Ordinance Number 201-2001. The administrative service charge shall be due and payable to the County by the Firm upon signing this Agreement.

22. Joint Venture.

(a) If the Firm is comprised of more than one legal entity or any group of partners or joint venturers associated for the purpose of undertaking this Agreement, each such entity acknowledges and hereby affirmatively represents and agrees that each has the power to bind the Firm and each of the others hereunder; and as such, each acts both as principal and agent of the Firm and of each of the others hereunder. Each further acknowledges and agrees that all such entities, partners or joint venturers associated for the purposes of undertaking this Agreement shall be jointly and severally liable to third parties, including but not limited to the County, for the acts or omissions of the Firm or any other entity, partner or joint venturer hereunder.

(b) If the Firm is comprised of more than one legal entity or any group of partners or joint venturers associated for the purposes of undertaking this agreement, each such entity acknowledges and hereby affirmatively represents and agrees that the respective rights, duties and liabilities of each hereunder shall be governed by the laws of the State of New York, including but not limited to the New York Partnership Law.

23. Executory Clause. Notwithstanding any other provision of this Agreement:

(a) Approval and Execution. The County shall have no liability under this Agreement (including any extension or other modification of this Agreement) to any Person unless (i) all County approvals have been obtained, including, if required, approval by the County Legislature, and (ii) this Agreement has been executed by the County Executive (as defined in this Agreement).

(b) Availability of Funds. The County shall have no liability under this Agreement (including any extension or other modification of this Agreement) to any Person beyond funds appropriated or otherwise lawfully available for this Agreement, and, if any portion of the funds for this Agreement are from the state and/or federal governments, then beyond funds available to the County from the state and/or federal governments.

{Remainder of page intentionally lefty blank}

IN WITNESS WHEREOF, the Firm and the County have executed this Agreement as of the date first above written.

Hardesty & Hanover, LLC

By: 

Name: Keith R. Griesing

Title: Chief Technical Officer

Date: May 9, 2023

NASSAU COUNTY

By: _____

Name: _____

Title: Deputy County Executive

Date: _____

PLEASE EXECUTE IN BLUE INK

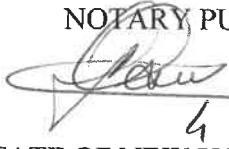
STATE OF NEW YORK)

)ss.:

COUNTY OF ~~NASSAU~~) New York

On the 9th day of May in the year 2023 before me personally came Keith R. Griesing to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of [REDACTED]; that he or she is the Chief Technical Officer of Hordesty & Hanover LLC, the ~~corporation~~ Limited Liability Company described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

NOTARY PUBLIC



FANNY A. OSORIO
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01OS5080693
Qualified in New York County
Commission Expires June 16, 2023

STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU)

On the ____ day of _____ in the year 20__ before me personally came _____ to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of _____; that he or she is a Deputy County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

NOTARY PUBLIC

EXHIBIT A

Scope of Work

Scope of Services

Condition Assessment and Evaluation

Evaluate the condition of North Village Avenue as described in the Scope of Work for Phase 64 North Village Avenue Drainage Improvements & Resurfacing to identify necessary repairs and improvements to bring the drainage system (s) and highway system into a state of good repair and compliance within applicable codes and standards. At the minimum, it is anticipated that the scope of the project will involve the following:

Phase 64 Scope of Work

Road Name	Location	Bounds
North Village Avenue	Rockville Centre	Lakeview Avenue to Peninsula Boulevard

The scope of work involves: providing inspection and evaluation of various roadway elements and features, developing and finalizing various reports detailing findings from the inspections and evaluations as well as providing multiple alternatives to improve identified deficiencies, surveying services, designing Nassau County approved improvements based upon the various reports including detailed design and construction documents, and providing construction support services. All work shall be done to NYSDOT and NYSDEC standards and specifications.

The scope of work also includes inspecting the roadway pavement to identify any deterioration and providing improvements to eliminate deterioration present to extend its service life and provide appropriate pavement ride quality, the evaluation of the condition of all existing curbs, curb ramps and driveway aprons within the project limits, and within Nassau County's jurisdiction, and to propose repairs/replacements of deteriorated cross-section elements. New curb ramp types shall be provided to bring curb ramps into compliancy should they not be so. A comprehensive drainage study of the drainage system and tributary area. The analysis of the drainage system will include: evaluating the structural integrity and capacity of the existing system, calculating the volume of runoff tributary to the roadway, determining stormwater spread, providing design alternatives to improve the system to accept the necessary volume and to reduce runoff spread to allowable standards. If the analysis of the existing drainage system identifies a problem or cause for safety concerns, improvements and repairs will be included in the design alternatives to eliminate the problem. Please note, the County has previously determined that tree roots have infiltrated the existing drainage system located on North Village Avenue. The analysis of the drainage system should include information regarding the existing root infiltration, condition of the Reinforced Concrete Pipe (RCP), and the RCP joints. Further information is provided in **C.1 Sub-Task A Services Preparation of a NYSDOT Draft Drainage Report** below. Also included is the design of new pavement markings, as mandated by the MUTCD and the NYS Supplement, to clearly identify travel ways. Utility relocations, addition of crosswalks and other safety measures, and other incidental work is included as well. See below sections for specific scope of work details.

The following reports will be developed as part of this project: NYSDOT Draft Drainage Report, NYSDOT Draft Design Report, and draft ADA Report. Applicable Reports shall follow the Highway Development Manual (HDM), and Project Development Manual (PDM). Upon review, consultation and approval by the

Nassau County Department of Public Works (NCDPW) of the above noted required reports, the Firm will be notified of the preferred alternative selected by the County and instructed to proceed with the development of the ADP and PS&E using either of two (2) separate options.

Option 1: The Firm will finalize the REPORTs for NCDPW approval and proceed with ADP and PS&E (biddable documents) utilizing NYSDOT standard specifications, items, general notes, details all in compliance with Highway Design Manual (HDM). This option will be used if outside grant is not available.

Option 2: The Firm will finalize the Reports and include all other forms and memos necessary for a finalized Design Approval Document (DAD) for approval by NCDPW and NYSDOT and any other Agencies having jurisdiction and then proceed to ADP and PS&E utilizing NYSDOT standard specifications, items, general notes, and details all in compliance with NYSDOT Specifications, Highway Design Manual (HDM), and Project Development Manual (PDM). This option will be used if outside grant is available.

C.1 Sub-Task A Services

Preparation of a NYSDOT Draft Drainage Report

North Village Avenue, within the Incorporated Village of Rockville Centre, provides transportation in a generally northeast and southwest direction, surrounded by average sized properties. During storm events, runoff frequently flows along the sides of the road. Curbing is present throughout most of the east side of the roadway and partially present on the west side. Curb reveal is mostly low. Drainage structures are occasionally present. There is an existing County owned drainage line that runs south underneath the roadway between Wright Road and an outfall located at Smiths pond. The County has previously inspected the system and found blockages between DeMott Avenue and Fountain Avenue caused by tree roots. Approximately 550 feet was cleared. The tree roots are preventing stormwater runoff from entering the system, causing ponding upstream. Ponding is present at various locations along the roadway.

1. Study Phase

- a. The firm shall determine the tributary area(s) of runoff to the roadway using contour lines and elevations from the County supplied G.I.S. map, subdivision plans, County plans, and other information (if any) supplied by the local villages. The tributary area(s) shall be plotted on the G.I.S map and the area of same shall be calculated and divided into roadway and non-roadway areas.
- b. The firm shall calculate the volume of runoff using a 10-year storm frequency, using the appropriate runoff coefficients, assuming North Village Avenue will be curbed within the tributary area. The volumes will be divided into roadway and non-roadway quantities.
- c. Upon the G.I.S. map with tributary area(s), the firm shall plot several alternate solutions to the drainage problems noted in the introduction. Each alternate shall show proposed drainage collection systems (schematically), the connection to the existing drainage system, and approximate costs and construction durations.

The drainage system proposed on North Village Avenue should be evaluated to utilize existing outfall pipes located nearby.

Construct a drainage system(s) collecting runoff on North Village Avenue and directing that runoff to existing outfall pipes, such as the outfall at Smith's Pond. As part of this analysis, the capacity, and deficiencies of the existing drainage system (s) from North Village Avenue to the outfall should be determined, and additional work to increase said capacity (if required) should be noted. The analysis shall include information regarding the condition of the RCP, RCP joints, and existing root infiltration. Closed-Circuit Television (CCTV) or similar method should be used for the inspection of the drainage system.

For any alternates requiring work or access to work outside of the County right-of-way, the firm shall plot the boundaries of adjacent and affected owners on the G.I.S. maps. For presentation purposes, these boundaries shall be fit on the map using Tax Maps obtained from the County Website and shall be noted as approximate.

2. Report - In conjunction with the maps for each alternate, a report shall be prepared containing the following:
 - a. A description of the drainage problems identified by the County and Firm.
 - b. Information regarding the condition of the system, including the entire run of RCP and RCP joints.
 - c. Identify existing root infiltration in the system.
 - d. A description of the basic scope for each alternate.
 - e. Criteria used to calculate tributary area and volume of runoff.
 - f. Pipe size required to provide a positive system capable of handling the required volume within the project limits.
 - g. Potential impacts to the existing downstream drainage system.
 - h. Recommended strategies or techniques to clean any existing blockages in the system.
 - i. Calculations to arrive at proposed solution.
 - j. Assumptions used in analysis.
 - k. Approximate construction cost and duration for each alternate.
 - l. Positive and negative aspects of each and a recommendation of the desirable alternate.
 - m. Measures the County could take to improve the ability of its staff to perform routine maintenance.

Provide an electronic (.PDF format) copy and up to six (6) paper copies as requested by NCDPW of the NYSDOT draft drainage report for review by NCDPW. Meet and confer with NCDPW and others as/if necessary, to discuss the parameters of the design and review comments on the draft of the Drainage Report. Finalize the Drainage Report incorporating any revisions and provide up to six (6) paper copies as requested by NCDPW and an electronic (*.PDF format) copy of the report, for review by NCDPW and potentially NYSDOT.

C.2 Sub-Task B Services

Preparation of a NYSDOT Draft Design Report

Perform a Preliminary Technical Investigation using the criteria listed below for Phase 64 North Village Avenue Drainage Improvements and Resurfacing that will be used to

create a NYSDOT Draft Design Report (DDR).

1. Thorough field investigation of project sites to identify physical elements, miscellaneous features, characteristics and conditions. Take digital photographs and videos as necessary to describe current conditions and deficiencies.
2. Evaluation of the existing roadway. Identify non-standard and non-conforming features.
3. Conduct field inspection and identify and record the location, size and type of all trees within the project limits. Evaluate condition of trees and any interference that may impact resurfacing, curb and curb ramp repairs and report in a County format along with photographs. Tree maintenance forms and tree removal summary table will also need to be submitted in County format. Recommend tree planting types for locations where trees are recommended for removal. Evaluation and recommendations shall be certified by an arborist, horticulturist or landscape architect.
4. Inspect the existing drainage system, describe the condition as it relates to resurfacing. Identify and address all localized ponding and flow line obstructions. Inspection is required within 24 hours of a major storm event. Photographs and location of ponding or other drainage concerns will be required.
5. Evaluate the condition of curbs and curb ramps (with regard to NYSDOT standards), paying careful attention for compliance with the American Disability Act (ADA) requirements. If traffic equipment is on or near ADA ramps coordination with Nassau County Traffic Department is required. Provide preliminary report (refer to section C.4 for detailed ADA Report).
6. Upon completion of the Preliminary Technical Investigation, the Firm can begin drafting the DDR. The DDR must include all items necessary for a project of this scope for approval by NYSDOT. It must also include the items as noted below.
7. Prepare a utility investigation and locate all major utilities. Provide a list of all public utility agencies and private utility companies known to have installations in the project area along with contact information and arrange for a utility coordination meeting.
8. Identify design standards, critical design elements and controlling parameters. Obtain concurrence from County on the aforementioned design criteria before evaluating repair/replacement options for the roadways.
9. Discussion of repair and improvement options based on NYSDOT standards.
10. Explain what best practices and innovations are being recommended, if any.
11. Provide traffic sign inventory as separate table from report.
12. Striping plan recommendations/modifications with input from Nassau County Traffic Engineering Unit.
13. Plan for maintenance and protection of traffic to implement the recommended option.
14. Identification and descriptions of studies, tests, pavement cores, technical surveys, etc. which will be required to determine the final design.
15. How the recommended projects will be coordinated with any other projects which may be planned within the project vicinity.
16. Identify future plans for abutting roadway segments. The Firm shall contact

municipalities and utilities located in the project area to determine future plans.

17. Discuss "Complete Streets" legislation.
18. Implementation of SWPPP.
19. Describe how the design approach minimizes traffic, environmental and commercial impacts during construction and nighttime work, if any.
20. Recommend preventative maintenance treatments.
21. Analyze the potential value of including a time-related contract provision, as described in NYSDOT "Guidelines for the Use of Time-Related Contract Provisions," NYSDOT EI 05-005.
22. Prepare a preliminary design schedule indicating ADP, PS&E and Final deliverable dates and construction duration.
23. Identify all key stakeholders and develop a stakeholder register.
24. Prepare a preliminary construction cost estimate and provide all back-up documentation. (Back-Up Documentation including quantity takeoff of materials, basis of pricing, etc. shall be submitted with PS&E.)
25. The complete DDR Report will be reviewed and approved by Nassau County.

Submit an electronic (.PDF format) copy and up to six (6) copies of the Draft Design Report (DDR) as requested by NCDPW for review by NCDPW. Meet and confer with NCDPW and others as/if necessary, to discuss the parameters of the design and review comments on the Draft Design Report DDR. Finalize the DDR incorporating any revisions and provide up to six (6) paper copies as requested by NCDPW, and an electronic (*.PDF format) copy of the DDR, for review by NCDPW and potentially NYSDOT.

C.3 Sub-Task C Services

Design Approval Document (DAD) including finalized Reports (only if State or Federal Funding is available):

- Prepare applicable Reports, forms, and memos in compliance with the Highway Design Manual (HDM), and Project Development Manual (PDM) and submit eight (8) copies of the draft reports for review by NCDPW/NYSOT.
- Meet and confer with NCDPW/NYSOT, and others as necessary, to discuss the parameters of the design and review comments on Draft Reports. Finalize the Reports, forms, and memos incorporating any revisions and provide eight (8) paper copies and electronic.

C.4 Sub-Task D Services

ADA Report

The deliverables for this subtask include record of field data in a County supplied mobile application and an ADA report (draft and final) with table of ramps as approved by the County. ADA ramps should comply with latest NYS Standards and Specifications.

The Firm will be required to collect field data pertaining to ADA compliance using a County-supplied mobile application: [Nassau County GIS ADA App](#). The Consultants shall provide their own mobile device (must be equal to latest generation Apple or Android smartphone or tablet (preferred)) enabled with cellular data service (4G or higher). The number of mobile devices required will be determined by the firm based on the scale and scope of the data collection task and project timeline.

The ADA Report shall include, but not limited to, the following:

1. Identify Town/City/Village/Hamlet for each road segment. Please note that within Cities, Villages, and the Town of North Hempstead the County's maintenance responsibility is flowline to flowline. Sidewalks and ramps within these jurisdictions will need to be included in the summary table with the corresponding jurisdiction noted but will not need to be evaluated. All ponding issues or any other safety hazards should be identified and included in TDR regardless of jurisdiction*. Ramps that may be affected shall be included in the ADA report.
2. Identify County owned entities including but not limited to: buildings, facilities, parks, preserves. Identify noncompliant sidewalks, including location, reason for not being compliant, area in need of restoration. Photos to be attached for each issue.
3. Identify existing curb ramps and determine numbering system as approved by County. Photos shall be attached for each ramp. Identify locations that do not currently have a ramp but may warrant one and indicate if it can be installed as part of this project.
4. Inspect ramps and provide summary table in County's format. Information shall include, but not limited to: location, running slope of ramp, cross slope of ramp, width of ramp, turning space, landing cross slope, flare slopes, barriers to access within the ramp, blended transition ramp, diagonal ramps, detectable warning, drainage, pedestrian signals, proposed curb ramp type, curb side configuration, detectable warning placement, ramp back treatment, reason for why ramp cannot be easily brought into compliance. All criteria that needs to be met for the ramp to pass inspection shall be included.
5. For ramps that are not ADA compliant, determine if ramp can easily be brought into compliance. Ramps that cannot be brought into compliance at this time shall be explained with justification.

***Survey should be done at ramps with ponding to address issues, as necessary.**

C.5 Sub-Task E Services

Surveying Services

Proposal must address what methodologies and procedures will be used by the Firm to complete this task. Surveying procedures other than traditional methods will be accepted so long as the following information can be accurately provided.

A. Control

Horizontal Control shall reference the New York State Plane Coordinate System (NYSPCS) North American Datum 1983 (NAD83), Long Island Zone. Vertical control shall reference the North American Vertical Datum 1988 (NAVD88). In the absence of existing control on the project site, or when deemed appropriate by a New York State licensed surveyor, survey grade differential GPS may be used to establish properly referenced horizontal and vertical project control points. Total station and digital leveling techniques may then be used to establish additional control points on site to be used for the topographic data collection. A New York State licensed land surveyor shall be responsible for determining proper methods for establishing control at an accuracy appropriate for the individual project scope. Generally, project control points shall be accurate within $\pm 0.02'$ when distance between points is checked.

B. Base Map

The Base Map shall include all topographic data required for the preparation of detailed contract plans, including, but not limited to the following: horizontal control line and benchmarks; existing elevations obtained by cross sections at a maximum fifty foot stations with additional elevations on driveways, curb ramps, structures, steps, manhole covers, etc., and any abrupt changes in slope; underground utilities shall be plotted from survey of utility mark out; drain and sewer structures shall be opened to obtain inverts, pipe sizes and brickwork, and plotted on profile portion of plan; house connections shall be plotted from information supplied by the respective agency; buildings and other manmade structures shall be accurately plotted, described (number of stories, type of structure, etc.) and addressed on the plan. Should isolated locations of ponding be identified by the County or the Firm, additional elevations shall be required at a maximum of ten-foot stations with elevations taken at the following locations: 8 feet from the bottom of curb towards the center of the roadway, the bottom of curb, top of curb, front of sidewalk, back of sidewalk, and 5 feet behind the back of sidewalk. If sidewalk is not present in the project area, elevations shall be taken 4 feet behind the curb, 8 feet behind the curb, and 12 feet behind the curb. If curb is not present, elevations shall be taken at/from the edge of roadway. Limits of additional elevations and additional elevation locations for isolated locations of ponding shall be discussed with and approved by the Nassau County Project Manager for the project. Topographical data shall be shown on the plans at a scale of one (1) inch equals twenty (20) feet or larger, as directed. For both the Base Map and Detail Map, existing line weight and numbers shall be fine and proposed details shall be bolder. Said data shall be furnished to the County in a digital format as prescribed in the latest version of the NCGIS Geographic Data Standards, or in a mutually agreeable format.

C. Detail Map

Prepare a CAD generated coordinated Detail Map to three decimal places, showing all property line data relative to the survey base line, the proposed center line (or theoretical grade line), the existing and proposed right-of-way lines, and all parcels within the project limits. Said parcels shall be completely defined as per deeds, filed maps or other legal

descriptions. This map shall be to a scale of one (1) inch equals twenty (20) feet, where possible, and shall include field measurements as well as computed values. Liber and Page of the document relating to the most recent ownership shall be shown on this map. Upon acceptance of the Final Detailed Construction Drawings and Specifications, the Consultant shall submit a reproducible copy of the Detail Map which shall indicate field ties in detail for all critical base line stations, as well as coordinates for all property corners. These coordinates shall indicate existing field points, as well as final theoretical coordinates held. For both the Base Map and Detail Map, existing line weight and numbers shall be fine and proposed details shall be bolder. Said data shall be furnished to the County in a digital format as prescribed in the latest version of the NCGIS Geographic Data Standards, or in a mutually agreeable format.

D. Right-of-Way Maps (It is assumed that this work will not be required.)

1. Prepare a Right-of-Way Acquisition Map on 21 inch by 31-1/2 inch (border to border) standard size sheets showing the fee parcel (acquisition), highway easement, slope easement, drainage easement, sewer easement, working easement, release parcel, or excess parcel to be acquired at a scale of one inch equals twenty feet (1"=20') in accordance with the current standards of the Nassau County Department of Public Works. Changes to this scale require prior County approval.
2. Type a description of each parcel to be acquired using the heading shown on the Property Data Form for each such parcel with information effective not more than thirty (30) days prior to the delivery of the said acquisition map to the Commissioner. Descriptions should be delivered to the County on a usb thumb drive.
3. Supply on a usb thumb drive, a list for each parcel in "Microsoft Excel" or approved equivalent format, all in accordance with County requirements. The information supplied for each parcel shall include the parcel number, name of owner, address of parcel, section, block, and lot of the parcel, parcel area size, and, if applicable, address of absentee owner.

Depending on the Design Improvement chosen by the County, the Detail Map and/or Right-of-Way Maps may not be required. The Firm shall be paid for actual work completed as outlined under Exhibit B Payment Schedule attached.

C.6 Sub-Task F Services

Detailed Design & Construction Documents

Upon written County approval of the Drainage Report, Design Report, and ADA Report, or County and NYSDOT written approval of the Design Approval Document, the Firm agrees to perform all the design services in connection with the preparation of detailed contract drawings, specifications, schedules and estimates, including all required permits (if necessary), for the Phase 64 North Village Avenue Drainage Improvements and Resurfacing (the "Project") suitable for public bidding. The County will direct the firm on how to proceed with the design approval for NYSDOT or Nassau County or both.

A. Advance Detail Plans

The firm shall develop an alignment on the Base Map with reference to the stations. Based upon the above criteria, the Firm shall:

1. Prepare preliminary graphic layout plans at a scale of 1"=20'. Changes to the scale requires prior County approval.
Show on said plans the proposed alignment of the project area and adjacent site information including roadway widths, typical sections, layout of drainage system, and limits of restoration, on the same drawing as the existing conditions. Existing line weight and numbers shall be fine and proposed details shall be bolder.
2. Prepare and submit a preliminary estimate of the construction cost of the project at current prices.
3. Submit the ADP (Advance Detail Plans) for approval by the Commissioner.
4. Based upon a visual inspection, supplemented by field investigation, considering all aspects of the proposed project the firm will provide a design based upon the approved recommended alternative.

B. Extra Service

1. Soils Investigations and Reports

- If necessary, and upon the written direction of the Commissioner and appropriation and encumbrance of funds for the purpose, the Firm shall prepare plans, specifications, and estimates of costs for soils investigations and take proposals from at least three boring contractors. Before awarding the boring contract, the Firm shall first obtain the approval of the successful bidder by the Commissioner.
- Determine and stake out in the field the locations and depths at which the borings shall be made, observe the work of the boring contractor and the soils testing agency, analyze the information from these operations, and prepare a report thereon, complete with foundation recommendation. Coordination of the soils investigation and summarization of the report done by the selected Firm is not considered extra work.
- This will be considered extra work except as described above, and reimbursement for this work shall be in accordance with Exhibit B, a) C.6-B. Extra Services.

2. Extra Services

- For any unforeseen conditions encountered during design, the Firm shall inform the County in writing and request the approval of the Commissioner before commencing any work. In addition, a revised schedule with a cost proposal shall be included and the work shall proceed upon approval by the County. Payments for such works shall be in accordance with Exhibit B, a) C.6-B. Extra Services.

C. Coordination with Public and Private Utilities & Municipalities.

1. Contact the appropriate staff at both public utility agencies and private

utility companies known to have installations in the construction area to determine the locations and sizes of all existing subsurface installations and determine the scope of any future plans being considered in the area of the work. This data shall be further supplemented with data obtained by field reconnaissance. **It should also be noted that simply sending a letter and/or email to the utilities and submitting a non-responsive answer to the County from the utilities would be considered unacceptable. At least one (1) utility coordination meeting will be required prior to the completion of the Draft Design Report (DDR).**

2. Perform the necessary liaison work associated with relocation of utilities. However, the Firm shall not be required to design such relocation work, except where such installations are owned by municipalities or special districts. In the latter cases, the relocation shall be considered part of the design and the cost thereof shall be included in the Firm's estimate of construction cost. In either case, the Firm will be required to show on contract drawings existing, proposed, and/or relocated utilities.
3. Close coordination with Villages, Municipalities, the Town, Utilities and all other immediate or proposed projects in this area is anticipated. The Firm shall attend meetings (including Community meetings) as needed and provide necessary presentations for NCDPW/NYS DOT (if necessary).

D. PS&E (Plans, Specifications & Estimate)

Based upon written approval of the preliminary plans by the Commissioner, the Firm shall:

1. Prepare detailed contract plans at a scale of 1"=20' on 21" x 31-1/2" (border to border) standard size sheets and supporting data for each construction contract of the project in accordance with the current practice of the Nassau County Department of Public Works which originals shall be submitted by the Firm. Said data shall be furnished to the County in a digital format as prescribed in the latest version of the NCGIS Geographic Data Standards, or in a mutually agreeable format. Changes to this scale require prior County approval.
2. Prepare drawings, which shall include all plans, typical sections, structural details, MPT plans and detailed designs necessary to construct the project and showing existing grades and proposed profile grades at least at every 50-foot station. If applicable, the soil boring log sheet information gathered under Section C, C.6, B. Extra Services, 1. - Soils Investigations and Reports shall be incorporated into the Design Plans.
3. Prepare detailed design drawings of each structure and its component parts and consisting of all plans, elevations, sections, and other drawings necessary for construction purposes.
4. Develop Basis of Estimate document, which shall include but is not limited to:
 - a. Brief Project Scope Description.
 - b. Description of the tools, techniques, estimating methodology, and data used to develop the final cost estimate.

- c. Identification of any potential cost risks and opportunities.
 - d. All project assumptions and constraints used to develop the cost estimate.
 - e. Changes made during the progress of design should also be reflected in the revised cost estimates and noted in the document.
5. Develop Final Estimate, which shall include but is not limited to:
 - a. Develop and tabulate an estimate of all items necessary to complete the work as shown on the construction plans with their corresponding estimated neat (before rounding) quantities.
 - b. Prepare a final estimate of construction costs based on current prices for neat quantities. **All back-up including material take-offs shall be submitted to County before bidding.**
 6. Develop Construction Sequence
 7. Furnish all special specifications and addenda notes required to construct the project in addition to the standard specifications of the NYSDOT plus current updates or new versions to same.
 8. Develop and submit cross-sections for project work, prepared on a 10 x 10 grid cross-section paper, using a vertical scale of 1"=2' and a horizontal scale of 1"=5'. Areas of excavation and fill by classification shall be shown on these sheets. Such cross-sections, although required by the County, shall not be considered part of the contract plans. The cross-sections shall be delivered to the County, along with the contract plans, for the County's information and use.

The Firm shall adhere to the following requirements:

1. The contract drawings shall be prepared in an AutoCAD release acceptable to the County and NYSDOT. The Contract drawings shall follow the standard sheets used by NYSDOT. The Firm shall furnish the contract drawings on a flash drive.
2. Submittal of bid plans and master specification book in *.PDF format; as well as up to eight (8) hard copies as requested by NCDPW of each full-size drawings and specification book.
3. Pavement marking design, as mandated by the MUTCD and the NYS Supplement, shall be done in coordination with the County's Traffic Engineering Unit.
4. During the preparation of these documents, the Firm shall perform the following services: Submit ADP, PS&E and approved PS&E full size plans and specifications for County and potentially NYSDOT review (up to eight (8) hard copy sets as requested by NCDPW and digital copies of each) and approval.
5. Attend design review meetings in order to review job progress and to resolve design and other questions. A representative of the Firm will prepare draft and final minutes of each meeting, and after County and NYSDOT approval, distribute final minutes to all attendees. (At least 6 meetings)
6. Submit one (1) copy of a detailed construction cost estimate with all

- associated back-up documentation including quantity takeoff of materials, basis of pricing etc. The cost estimates will have a breakdown by NYSDOT Specifications for each cost item in the estimate.
7. Prepare and submit the necessary environmental permits, if needed.
 8. Prepare the documents needed for the project to qualify for Transportation Act funding, if requested.
 9. All documents regarding utility coordination and project related correspondence with municipalities, utility agencies, communities, etc. shall be provided in writing to Nassau County DPW, if so requested.
 10. Prepare permit applications, correspondences, reports or submittals required by other agencies having jurisdiction. This work task will not be considered complete until it culminates in a permit or pre/post design approval by the appropriate agency or agencies. The Firm must be aware of the latest applicable codes and agency procedural requirements in order to expeditiously complete this design task. Correspondence shall be submitted directly to the agency for review/approval with at least two copies to the County, unless otherwise directed. Typical regulatory agencies involved in our Highway Engineering Projects may include, but will not be limited to, the following:
 - a. New York State Department of Transportation (NYSDOT)
 - b. Nassau County agencies
 - c. Other Local agencies (Towns, Villages...)
 11. Submit written responses to all review comments.
 12. Make periodic site visits as necessary for a complete understanding of the system operation.
 13. Submittal of bid plans and a master specification book. The Firm shall have the required number of sets of bid documents printed without the assistance of the County.
 14. Review and prepare the Bid Book.
 15. Review all comments and/or questions posed by prospective bidders.
 16. Prepare all necessary addenda to the contract documents.
 17. Review all bid proposals received and provide a written recommendation regarding award of the construction contracts.
 18. If requested, the Firm will provide copies of any and all design calculations
 19. If requested, the Firm will assist the County should any design questions arise during construction.
 20. The Firm shall submit the Project Schedule within two (2) weeks of the kickoff meeting. Once the Project Schedule has been reviewed and signed off by the Firm and County, it will become the Baseline Schedule.
 21. The Firm shall submit a Progress Report on a monthly basis, clearly indicating the work completed to date. Progress will be measured against the Baseline Schedule.

If the total amount of the lowest responsible construction bids should exceed the final approved construction cost, which is based on the Firm's final construction cost estimate, by more than fifteen (15) percent, the County may require the Firm to revise the plans, at no additional cost to the County, so as to stay within the final

approved estimated cost of construction. The Firm is responsible for obtaining construction budget information from the County prior to commencing work on the design.

In preparing the contract specifications, the County shall furnish the Firm with a copy of the standard contract "Front End" consisting of the Notice to Bidders, Instructions to Bidders, Agreement and General Conditions. The Proposal Forms, Special Conditions (Division 1) and all other sections of the technical specifications shall be developed by the Firm. The specifications shall be written following the NYSDOT format.

The County may provide the Firm with access to documents and plans that may be helpful in this project depending on availability.

FEDERAL AND/OR NY STATE REQUIREMENTS

Legal Compliance

Proposals submitted and subsequent design and related services must comply with all applicable Federal, State and local laws, rules, regulations, codes, ordinances, and standards. These may include but are not limited to New York State General Municipal Law, the New York State Environmental Quality Review Act (SEQRA), New York State Pollution Discharge Elimination System (SPDES), Local and State codes and ordinances, and all other applicable Federal, State, and local regulations. The Firm shall coordinate its design and related services with authorities having jurisdiction. All submittals and required permits by such authorities having jurisdiction shall be the responsibility of the Firm.

C.7 Sub-Task G Services

Services During Construction

A. General Construction Support Services:

1. When requested in writing by the Commissioner, the Firm shall provide general construction support services to include the checking and approving of construction drawings, RFIs, shop and erection drawings, schedules, sequence and other submissions for conformance with the design concept of the project and requirements of the contract documents, bid breakdowns, change order details and review, disputed work and any other such items related to construction.
2. The Firm shall render consulting services in connection with the execution of the work. In the performance of such services, the Firm shall make such visits to the site as may be necessary to resolve any conflicts concerning the design drawings or for the interpretation of the plans and specifications. The Firm shall attend construction progress meetings to assist in resolving Design related issues.
3. The Firm shall update the final detailed contract plans by preparing new as-built mylar drawings showing all field changes for each construction change order of the project in accordance with the current practice of the Nassau County Department of Public Works. Said data shall also be furnished to

the County in a digital format as prescribed in the latest version of the NCGIS Geographic Data Standards, or in a mutually agreeable format.

- B. Field Inspection Services are not included in this RFP.

EXHIBIT B

Payment Schedule

C.1 Sub-Task A NYSDOT DRAFT DRAINAGE REPORT

For conducting the work as described under Exhibit A, NYSDOT Draft Drainage Report, the Firm shall be paid on the basis of Salary Multiplier. The Firm shall be compensated for such services by an amount equal to **two and seventy hundredths (2.70)** times the actual salaries paid to the technical personnel engaged in performing the service, exclusive of payroll taxes, insurance, and any and all fringe benefits. The County shall pay the Firm a total amount not to exceed of **Twenty-Five Thousand Seventy-Three Dollars and Thirty-Four Cents (\$25,073.34)** for the NYSDOT Draft Drainage Report.

Payment shall be submitted with a progress report identifying the deliverable. Payment at the time of submission of the NYSDOT Draft Drainage Report shall not exceed 40% of the total anticipated fee for this task. Payment at the time of submission of an acceptable Final NYSDOT Drainage Report shall not exceed 80% of the total anticipated fee. The remaining 20% shall be paid upon final acceptance by the County.

C.2 Sub-Task B NYSDOT DRAFT DESIGN REPORT

For conducting the work as described under Exhibit A, NYSDOT Draft Design Report, the Firm shall be paid on the basis of Salary Multiplier. The Firm shall be compensated for such services by an amount equal to **two and seventy hundredths (2.70)** times the actual salaries paid to the technical personnel engaged in performing the service, exclusive of payroll taxes, insurance, and any and all fringe benefits. The County shall pay the Firm a total amount not to exceed of **Forty-One Thousand Five Hundred Eighty-Nine Dollars and Ten Cents (\$41,589.10)** for the NYSDOT Draft Design Report.

Payment shall be submitted with a progress report identifying the deliverable. Payment at the time of submission of the NYSDOT Draft Design Report shall not exceed 40% of the total anticipated fee for this task. Payment at the time of submission of an acceptable Final NYSDOT Design Report shall not exceed 80% of the total anticipated fee. The remaining 20% shall be paid upon final acceptance by the County.

C.3 Sub-Task C DESIGN APPROVAL DOCUMENT

For conducting the work as described under Exhibit A, Design Approval Document, the Firm shall be paid on the basis of Salary Multiplier. The Firm shall be compensated for such services by an amount equal to **two and seventy hundredths (2.70)** times the actual salaries paid to the technical personnel engaged in performing the service, exclusive of payroll taxes, insurance, and any and all fringe benefits. The County shall pay the Firm a total amount not to exceed of **Thirty Thousand Eight Hundred Twenty Dollars and Nineteen Cents (\$30,820.19)** for the Design Approval Document.

Payment shall be submitted with a progress report identifying the deliverable. Payment at the time of submission of the Draft Design Approval Document shall not exceed 40% of the total anticipated fee for this task. Payment at the time of submission of an acceptable Final Design Approval Document shall not exceed 80% of the total anticipated fee. The remaining 20% shall be paid upon final acceptance by the County.

C.4 Sub-Task D ADA REPORT

For conducting the work as described under Exhibit A, ADA Report, the Firm shall be paid on the basis of Salary Multiplier. The Firm shall be compensated for such services by an amount equal to **two and seventy hundredths (2.70)** times the actual salaries paid to the technical personnel engaged in performing the service, exclusive of payroll taxes, insurance, and any and all fringe benefits. The County shall pay the Firm a total amount not to exceed of **Nine Thousand Seven Hundred Sixteen Dollars and Ninety-Six Cents (\$9,716.96)** for the ADA Report.

Payment shall be submitted with a progress report identifying the deliverable. Payment at the time of submission of the Draft ADA Report shall not exceed 40% of the total anticipated fee for this task. Payment at the time of submission of an acceptable Final ADA Report shall not exceed 80% of the total anticipated fee. The remaining 20% shall be paid upon final acceptance by the County and NYSDOT.

C.5 Sub-Task E SURVEYING SERVICES

For conducting the work as described under Exhibit A, Surveying Services, the Firm shall be paid on the basis of Salary Multiplier. The Firm shall be compensated for such services by an amount equal to **two and seventy hundredths (2.70)** times the actual salaries paid to the technical personnel engaged in performing the service, exclusive of payroll taxes, insurance, and any and all fringe benefits. The County shall pay the Firm a total amount not to exceed of **Eighty-Eight Thousand Seven Hundred Sixteen Dollars and Thirty-Two Cents (\$88,716.32)** for Surveying Services.

Payment shall be submitted with a progress report identifying the deliverable. Payment at the time of submission of a Draft Base Map, Detail Map, and ROW Map as requested by the County shall not exceed 40% of the total anticipated fee for that task. Payment at the time of submission of an acceptable Final Base Map, Detail Map, and ROW Map shall not exceed 80% of the total anticipated fee. The remaining 20% shall be paid upon final acceptance by the County and NYSDOT as applicable.

C.6 Sub-Task F DETAILED DESIGN & CONSTRUCTION DOCUMENTS

For conducting the work as described under Exhibit A, Detailed Design Services & Construction Documents, the Firm shall be paid on the basis of Salary Multiplier. The Firm shall be compensated for such services by an amount equal to **two and seventy hundredths (2.70)** times the actual salaries paid to the technical personnel engaged in performing the service, exclusive of payroll taxes, insurance, and any and all fringe benefits. The County shall pay the Firm a total amount not to exceed of **Ninety-Eight Thousand Seven Hundred Twenty-Four Dollars and Fifty-Two Cents (\$98,724.52)** for Detailed Design Services & Construction Documents.

During the progress of the Advance Detail Plans (ADP), the Firm shall be paid at a rate of **two and seventy hundredths (2.70)** times the direct salaries of the technical personnel engaged in the work, in monthly installments up to an accumulated total 40% of the design fee as determined by the percentage of work completed shown by the submission of required progress reports as well as design document submittals, and as approved by the Commissioner.

During the progress of the design for a construction contract, the Firm shall be paid at a rate of **two and seventy hundredths (2.70)** times the direct salaries of the technical personnel engaged in the work, in monthly installments up to an accumulated total of 80% of the design fee as determined by the percentage of work completed shown by the monthly progress reports and approved by the Commissioner. When the design for a construction contract is submitted to the Commissioner for approval, the Firm shall be paid any additional sum necessary to bring the payments up to 80% of the design fee as outlined above.

When the design for a construction contract has been fully completed (PS&E) and all necessary work has been accepted by the Commissioner, the Firm shall be paid an additional sum equal to 10% of the said design fee.

When the bids for the construction contract have been received, and upon an award of contract, the Firm shall be paid an additional sum to bring the total fee payments to 100% of the said design fee.

If an award of contract is not made within two (2) years after the plans and contract documents have been completed and accepted in writing by the Commissioner, the Firm shall be deemed to have earned full payment for the design services and the Firm shall be paid an additional sum to bring the total payments to 100% of the design fee.

Payment shall be submitted with a progress report identifying the deliverable. Payment installments will be made up to 80% as determined by the percentage of work completed, as shown by the submission of required progress reports as well as design document submittals, and as approved by the Commissioner. When the design for a construction contract has been fully completed and all necessary work has been accepted by the Commissioner, the Firm shall be paid any additional sum necessary to bring the payments up to 80% of the lump sum fee. After bid opening of construction contract Firm shall be paid an additional sum to bring payments up to 90% of lump sum fee. Upon award of construction contract, the Firm shall be paid an additional sum to bring the final payment up to 100% of lump sum fee.

Subcontractors engaged by the Firm shall be compensated on the same basis as provided herein for employees of the Firm. The Firm shall be reimbursed the actual cost of the fees of the subcontractor as approved by the County

C.7 Sub-Task G CONSTRUCTION SUPPORT SERVICES

For conducting the work as described under Exhibit A, Construction Support Services, the Firm shall be compensated by an amount equal to **two and seventy hundredths (2.70)** times the actual salaries or wages paid to the technical personnel engaged in this phase of the work, exclusive of payroll taxes, insurance, and any and all fringe benefits. The Firm shall be compensated for such services performed by principals while engaging in a technical capacity in the project, based on the principal's hourly rate, times **two and seventy hundredths (2.70)**, exclusive of payroll taxes, insurance and any and all fringe benefits. Notwithstanding the foregoing, the maximum billable rate, after application of the multiplier, shall not exceed **one hundred seventy-five dollars (\$175)** per hour. The County shall pay the Firm a total amount not to exceed of **Nineteen Thousand Six Hundred Sixty Nine Dollars and Twenty Two Cents (\$19,669.22)** for Construction Support Services.

B. EXTRA SERVICES

At any time during the term of this Agreement, the County may, in its sole and absolute discretion, require the Consultant to perform Extra Services. The Consultant shall not perform, nor be compensated for, Extra Services without the prior written approval of the Commissioner or his or her duly designated deputy. The Consultant agrees to perform any such Extra Services in accordance with the terms and conditions contained in this Agreement. As used herein, "Extra Services" means additional services which are (i) generally within the scope of services set forth in this Agreement, (ii) necessary or in furtherance of the goals of this Agreement and (iii) not due to the fault or negligence of the Consultant.

For any additional services to be paid on actual salaries, the Firm shall be compensated for such extra services by an amount equal to **two and seventy hundredths (2.70)** times the actual salaries

or wages paid to the technical personnel engaged in this phase of the work, exclusive of payroll taxes, insurance, and any and all fringe benefits. The Firm shall be compensated for such services performed by principals while engaging in a technical capacity in the project, based on the principal's hourly rate, times **two and seventy hundredths (2.70)**, exclusive of payroll taxes, insurance and any and all fringe benefits. Notwithstanding the foregoing, the maximum billable rate, after application of the multiplier, shall not exceed **one hundred seventy-five dollars (\$175)** per hour.

The following items are included in the Firm's fee, as an allowance, provided (i) they have been approved in advance by the Commissioner of the Department in writing, in his/her sole discretion, (ii) are not considered services as set forth in this Agreement, and (iii) subject to compliance with the County's payment procedures. **Thirty-Five Thousand Dollars (\$35,000.00)** has been allocated under this Agreement as an allowance.

Extra Services performed, upon the written direction of the Commissioner, shall be paid for at the rate of **Two and Seventy hundredths (2.70)** times the direct salaries or wages paid to personnel for the actual time engaged in this phase of work.

The Firm shall be reimbursed at actual cost for the following expenses incurred in the interest of the project when authorized in writing by the Commissioner:

1. For its services describe in Section C. Scope of Services, C.6 Sub-Task F Services, B. Extra Services, 1. Soils Investigations and Reports, and for any extra services performed by the consultant at the request of the Commissioner, The Firm shall be reimbursed (from Allowances) for the actual cost of special consultant services and extra works that have been pre-approved in writing by the Commissioner of Public Works or his or her duly designated deputy
2. If requested by the Commissioner in writing the time expended by technical expertise.
3. Additional control survey work required specifically for the preparation of aerial photography exclusive of the work described in C.5 Sub-Task E. Surveying Services.
4. The inclusions of traffic electrical control systems, if required in the contract documents, as outlined in C.6 Sub-Task F Services, C. Coordination with Public and Private Utilities & Municipalities.
5. For extra drafting or other design expense due to substantial changes ordered by the County, due to no fault of the Firm, as approved by the Commissioner.
6. For additional work not specifically defined herein but which may be required and has been authorized in writing by the Commissioner.
7. For such additional work not previously identified as directed by the Commissioner including additional studies, State Environmental Quality Review Act report, and other environmental or water quality studies, etc.
8. Expenses for outside inspection, such as at fabrication shop, upon approval of the Commissioner.

C. SUBCONTRACTOR COSTS AND SUBCONSULTANT CHARGES

The Firm shall be further reimbursed for the actual out-of-pocket expenses for sub-consultants and subcontractors when authorized in writing by the Commissioner.

D. PAYMENTS TO THE FIRM

a. With regard to any task for which payment is contemplated on the basis of a multiple of salary, it is understood and agreed that the County will utilize the Firm's approved Personnel List which is currently active and in use in connection with the Firm's services on other County Public Works projects. In the event there is no current Personnel List, or if an existing list is incomplete, then the Firm will submit either such Personnel List setting forth names, classifications, and hourly rates, or if necessary, submit a supplement to an active list, at the time the proposal is presented. In either case, the prior written approval of the Commissioner is required. It is the County's intention that the salary to be reimbursed to the Firm for a named employee shall be the same for said employee on all current County agreements with the Firm.

At no time shall the salary times multiplier exceed one hundred and seventy-five dollars (\$175.00) per hour for any employee or principal while engaged in a technical service.

b. The Firm may grant an employee a salary increase within a classification or by a change of classification. The intention to grant an employee a salary increase within a classification, or to change his or her classification, must be communicated in writing by the Firm to the Commissioner at least one month prior to the effective date of the increase or change of classification. The written approval of the Commissioner is required. If the Firm hires new employees to work on this project, such employees' names, their titles and proposed salaries, must receive prior written approval from the Commissioner.

c. Claims for services performed shall be accompanied by a certified statement setting forth the names of the persons performing the work, the title held by each person, their hourly rates, the number of hours worked and the total compensation earned. All claims for compensation shall be made upon forms supplied by the County Comptroller, and shall be approved for payment by the Commissioner or his designee. The payroll records of the Firm shall be available for inspection and audit as required.

Appendix "EE"

Equal Employment Opportunities for Minorities and Women

The provisions of this Appendix EE are hereby made a part of the document to which it is attached.

The Contractor shall comply with all federal, State and local statutory and constitutional anti-discrimination provisions. In addition, Local Law No. 14-2002, entitled "Participation by Minority Group Members and Women in Nassau County Contracts," governs all County Contracts as defined herein and solicitations for bids or proposals for County Contracts. In accordance with Local Law 14-2002:

(a) The Contractor shall not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability or marital status in recruitment, employment, job assignments, promotions, upgradings, demotions, transfers, layoffs, terminations, and rates of pay or other forms of compensation. The Contractor will undertake or continue existing programs related to recruitment, employment, job assignments, promotions, upgradings, transfers, and rates of pay or other forms of compensation to ensure that minority group members and women are afforded equal employment opportunities without discrimination.

(b) At the request of the County contracting agency, the Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability, or marital status and that such employment agency, labor union, or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.

(c) The Contractor shall state, in all solicitations or advertisements for employees, that, in the performance of the County Contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.

(d) The Contractor shall make best efforts to solicit active participation by certified minority or women-owned business enterprises ("Certified M/WBEs") as defined in Section 101 of Local Law No. 14-2002, for the purpose of granting of Subcontracts.

(e) The Contractor shall, in its advertisements and solicitations for Subcontractors, indicate its interest in receiving bids from Certified M/WBEs and the requirement that Subcontractors must be equal opportunity employers.

(f) Contractors must notify and receive approval from the respective Department Head prior to issuing any Subcontracts and, at the time of requesting such authorization, must submit a signed Best Efforts Checklist.

(g) Contractors for projects under the supervision of the County's Department of Public Works shall also submit a utilization plan listing all proposed Subcontractors so that, to the greatest extent feasible, all Subcontractors will be approved prior to

commencement of work. Any additions or changes to the list of subcontractors under the utilization plan shall be approved by the Commissioner of the Department of Public Works when made. A copy of the utilization plan any additions or changes thereto shall be submitted by the Contractor to the Office of Minority Affairs simultaneously with the submission to the Department of Public Works.

(h) At any time after Subcontractor approval has been requested and prior to being granted, the contracting agency may require the Contractor to submit Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises. In addition, the contracting agency may require the Contractor to submit such documentation at any time after Subcontractor approval when the contracting agency has reasonable cause to believe that the existing Best Efforts Checklist may be inaccurate. Within ten working days (10) of any such request by the contracting agency, the Contractor must submit Documentation.

(i) In the case where a request is made by the contracting agency or a Deputy County Executive acting on behalf of the contracting agency, the Contractor must, within two (2) working days of such request, submit evidence to demonstrate that it employed Best Efforts to obtain Certified M/WBE participation through proper documentation.

(j) Award of a County Contract alone shall not be deemed or interpreted as approval of all Contractor's Subcontracts and Contractor's fulfillment of Best Efforts to obtain participation by Certified M/WBEs.

(k) A Contractor shall maintain Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises for a period of six (6) years. Failure to maintain such records shall be deemed failure to make Best Efforts to comply with this Appendix EE, evidence of false certification as M/WBE compliant or considered breach of the County Contract.

(l) The Contractor shall be bound by the provisions of Section 109 of Local Law No. 14-2002 providing for enforcement of violations as follows:

- a. Upon receipt by the Executive Director of a complaint from a contracting agency that a County Contractor has failed to comply with the provisions of Local Law No. 14-2002, this Appendix EE or any other contractual provisions included in furtherance of Local Law No. 14-2002, the Executive Director will try to resolve the matter.
- b. If efforts to resolve such matter to the satisfaction of all parties are unsuccessful, the Executive Director shall refer the matter, within thirty days (30) of receipt of the complaint, to the American Arbitration Association for proceeding thereon.
- c. Upon conclusion of the arbitration proceedings, the arbitrator shall submit to the Executive Director his recommendations regarding the imposition of sanctions, fines or penalties. The Executive Director shall either (i) adopt the recommendation of the arbitrator (ii) determine that no sanctions, fines or penalties should be imposed or (iii) modify the recommendation of the arbitrator, provided that such modification shall

not expand upon any sanction recommended or impose any new sanction, or increase the amount of any recommended fine or penalty. The Executive Director, within ten days (10) of receipt of the arbitrators award and recommendations, shall file a determination of such matter and shall cause a copy of such determination to be served upon the respondent by personal service or by certified mail return receipt requested. The award of the arbitrator, and the fines and penalties imposed by the Executive Director, shall be final determinations and may only be vacated or modified as provided in the civil practice law and rules ("CPLR").

(m) The contractor shall provide contracting agency with information regarding all subcontracts awarded under any County Contract, including the amount of compensation paid to each Subcontractor and shall complete all forms provided by the Executive Director or the Department Head relating to subcontractor utilization and efforts to obtain M/WBE participation.

Failure to comply with provisions (a) through (m) above, as ultimately determined by the Executive Director, shall be a material breach of the contract constituting grounds for immediate termination. Once a final determination of failure to comply has been reached by the Executive Director, the determination of whether to terminate a contract shall rest with the Deputy County Executive with oversight responsibility for the contracting agency.

Provisions (a), (b) and (c) shall not be binding upon Contractors or Subcontractors in the performance of work or the provision of services or any other activity that are unrelated, separate, or distinct from the County Contract as expressed by its terms.

The requirements of the provisions (a), (b) and (c) shall not apply to any employment or application for employment outside of this County or solicitations or advertisements therefor or any existing programs of affirmative action regarding employment outside of this County and the effect of contract provisions required by these provisions (a), (b) and (c) shall be so limited.

The Contractor shall include provisions (a), (b) and (c) in every Subcontract in such a manner that these provisions shall be binding upon each Subcontractor as to work in connection with the County Contract.

As used in this Appendix EE the term "Best Efforts Checklist" shall mean a list signed by the Contractor, listing the procedures it has undertaken to procure Subcontractors in accordance with this Appendix EE.

As used in this Appendix EE the term "County Contract" shall mean (i) a written agreement or purchase order instrument, providing for a total expenditure in excess of twenty-five thousand dollars (\$25,000), whereby a County contracting agency is committed to expend or does expend funds in return for labor, services, supplies, equipment, materials or any combination of the foregoing, to be performed for, or rendered or furnished to the County; or (ii) a written agreement in excess of one hundred thousand dollars (\$100,000), whereby a County contracting agency is committed to expend or does

expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon. However, the term "County Contract" does not include agreements or orders for the following services: banking services, insurance policies or contracts, or contracts with a County contracting agency for the sale of bonds, notes or other securities.

As used in this Appendix EE the term "County Contractor" means an individual, business enterprise, including sole proprietorship, partnership, corporation, not-for-profit corporation, or any other person or entity other than the County, whether a contractor, licensor, licensee or any other party, that is (i) a party to a County Contract, (ii) a bidder in connection with the award of a County Contract, or (iii) a proposed party to a County Contract, but shall not include any Subcontractor.

As used in this Appendix EE the term "County Contractor" shall mean a person or firm who will manage and be responsible for an entire contracted project.

As used in this Appendix EE "Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises" shall include, but is not limited to the following:

- a. Proof of having advertised for bids, where appropriate, in minority publications, trade newspapers/notices and magazines, trade and union publications, and publications of general circulation in Nassau County and surrounding areas or having verbally solicited M/WBEs whom the County Contractor reasonably believed might have the qualifications to do the work. A copy of the advertisement, if used, shall be included to demonstrate that it contained language indicating that the County Contractor welcomed bids and quotes from M/WBE Subcontractors. In addition, proof of the date(s) any such advertisements appeared must be included in the Best Effort Documentation. If verbal solicitation is used, a County Contractor's affidavit with a notary's signature and stamp shall be required as part of the documentation.
- b. Proof of having provided reasonable time for M/WBE Subcontractors to respond to bid opportunities according to industry norms and standards. A chart outlining the schedule/time frame used to obtain bids from M/WBEs is suggested to be included with the Best Effort Documentation
- c. Proof or affidavit of follow-up of telephone calls with potential M/WBE subcontractors encouraging their participation. Telephone logs indicating such action can be included with the Best Effort Documentation
- d. Proof or affidavit that M/WBE Subcontractors were allowed to review bid specifications, blue prints and all other bid/RFP related items at no charge to the M/WBEs, other than reasonable documentation costs incurred by the County Contractor that are passed onto the M/WBE.
- e. Proof or affidavit that sufficient time prior to making award was allowed for M/WBEs to participate effectively, to the extent practicable given the timeframe of the County Contract.

- f. Proof or affidavit that negotiations were held in good faith with interested M/WBEs, and that M/WBEs were not rejected as unqualified or unacceptable without sound business reasons based on (1) a thorough investigation of M/WBE qualifications and capabilities reviewed against industry custom and standards and (2) cost of performance. The basis for rejecting any M/WBE deemed unqualified by the County Contractor shall be included in the Best Effort Documentation.
- g. If an M/WBE is rejected based on cost, the County Contractor must submit a list of all sub-bidders for each item of work solicited and their bid prices for the work.
- h. The conditions of performance expected of Subcontractors by the County Contractor must also be included with the Best Effort Documentation.
- i. County Contractors may include any other type of documentation they feel necessary to further demonstrate their Best Efforts regarding their bid documents.

As used in this Appendix EE the term “Executive Director” shall mean the Executive Director of the Nassau County Office of Minority Affairs; provided, however, that Executive Director shall include a designee of the Executive Director except in the case of final determinations issued pursuant to Section (a) through (l) of these rules.

As used in this Appendix EE the term “Subcontract” shall mean an agreement consisting of part or parts of the contracted work of the County Contractor.

As used in this Appendix EE, the term “Subcontractor” shall mean a person or firm who performs part or parts of the contracted work of a prime contractor providing services, including construction services, to the County pursuant to a county contract. Subcontractor shall include a person or firm that provides labor, professional or other services, materials or supplies to a prime contractor that are necessary for the prime contractor to fulfill its obligations to provide services to the County pursuant to a county contract. Subcontractor shall not include a supplier of materials to a contractor who has contracted to provide goods but no services to the County, nor a supplier of incidental materials to a contractor, such as office supplies, tools and other items of nominal cost that are utilized in the performance of a service contract.

Provisions requiring contractors to retain or submit documentation of best efforts to utilize certified subcontractors and requiring Department head approval prior to subcontracting shall not apply to inter-governmental agreements. In addition, the tracking of expenditures of County dollars by not-for-profit corporations, other municipalities, States, or the federal government is not required.

Appendix "L"

Certificate of Compliance

In compliance with Local Law 1-2006, as amended, the Proposer/Bidder hereby certifies the following:

1. The chief ~~executive~~ ^{Technical} officer of the Proposer/Bidder is:

Keith R. Griesing (Name)
1501 Broadway, 6th Floor, New York, NY 10036 (Address)
646-428-8524 (Telephone Number)
2. The Proposer/Bidder agrees to comply with the requirements of the Nassau County Living Wage Law, and with all applicable federal, state and local laws.
3. In the past five years, Proposer/Bidder _____ has X has not been found by a court or a government agency to have violated federal, state, or local laws regulating payment of wages or benefits, labor relations, or occupational safety and health. If a violation has been assessed by the Proposer/Bidder, describe below:

4. In the past five years, an administrative proceeding, investigation, or government body-initiated judicial action _____ has X has not been commenced against or relating to the Proposer/Bidder. If such a proceeding, action, or investigation has been commenced, describe below:

5. Proposer/Bidder agrees to permit access to work sites and relevant payroll records by authorized County representatives for the purpose of monitoring compliance with the Living Wage Law and investigating employee complaints of noncompliance.

I hereby certify that I have read the foregoing statement and, to the best of my knowledge and belief, it is true, correct and complete. Any statement or representation made herein shall be accurate and true as of the date stated below.

May 9, 2023

Dated



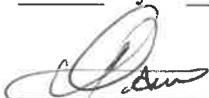
Signature of Chief Executive Officer
Technical

Keith R. Griesing

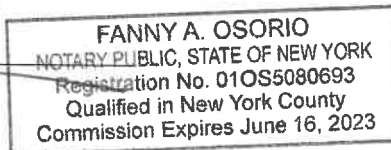
Name of Chief Executive Officer
Technical

Sworn to before me this

9th day of May, 2023



Notary Public





Nassau County Interim Finance Authority

Contract Approval Request Form (As of January 1, 2015)

1. Vendor: Hardesty & Hanover

2. Amount requiring NIFA approval: \$405,000.00

Amount to be encumbered: \$405,000.00

Slip Type: New

If new contract - \$ amount should be full amount of contract

If advisement - NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: to 3 years from NTP

Has work or services on this contract commenced? No

If yes, please explain:

4. Funding Source:

General Fund (GEN)		Grant Fund (GRT)
Capital Improvement Fund (CAP)	X	Other
Federal %	0	
State %	0	
County %	100	

Is the cash available for the full amount of the contract? No

If not, will it require a future borrowing? Yes

Has the County Legislature approved the borrowing? Yes

Has NIFA approved the borrowing for this contract? No

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

Provide professional engineering services for the design of Phase 64 North Village Avenue Rockville Center - Drainage Improvements and Resurfacing necessary for rehabilitating the roadway pavement and improving the existing drainage system. The existing drainage system contains blockages, preventing upstream runoff from properly draining

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form Yes

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

Contract ID	Posting Date	Amount Added in Prior 12 Months
-------------	--------------	---------------------------------

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

07/06/2023

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization.

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

Elaine Phillips
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: _____

CONTRACTOR ADDRESS: _____

FEDERAL TAX ID #: XXXXXXXXXX _____

Instructions: Please check the appropriate box (“☒”) after one of the following roman numerals, and provide all the requested information.

I. ☐ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in _____ [newspaper] on _____ [date]. The sealed bids were publicly opened on _____ [date]. _____ [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____[date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

_____[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ **A.** The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ **B.** The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ **A.** There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ **B.** The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ **C.** Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ **D.** Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☐ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☐ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41*, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.


Department Head Signature

Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Sean Bluni state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Disclosures

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.

Name of Submitting Entity: Hardesty & Hanover, LLC

Vendor's Address: 1501 Broadway New York NY US 10036

Vendor's EIN or TIN: 45-3031954

Forms Submitted:

Political Campaign Contribution Disclosure Form: 03/15/2023 03:51:05 pm

Lobbyist Registration and Disclosure Form: 06/09/2023 01:57:47 pm

Business History Form certified: 04/24/2023 03:42:22 pm

Consultant's, Contractor's, and Vendor's Disclosure Form: 05/15/2023 04:19:10 pm

Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

Principal Name	Sean Bluni [SBLUNI@HARDESTYHANOVER.COM]
Date Certified	06/09/2023 04:40:38 pm

Principal Name	Glen Schetelich [GSCHETELICH@HARDESTYHANOVER.COM]
Date Certified	06/09/2023 02:17:20 pm

Principal Name	Paul Skelton [PSKELTON@HARDESTYHANOVER.COM]
Date Certified	06/09/2023 02:19:27 pm

Principal Name	Keith Griesing [KGRIESING@HARDESTYHANOVER.COM]
Date Certified	06/09/2023 01:47:58 pm

Principal Name	Anna Volynsky [AVOLYNSKY@HARDESTYHANOVER.COM]
Date Certified	06/13/2023 11:16:20 am

I, Sean Bluni hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.”

Sean Bluni SBLUNI@HARDESTYHANOVER.COM
Name

Principal

Title

Hardesty & Hanover, LLC

Name of Submitting Entity

06/13/2023 11:17:54 am

Date



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee?

Electronically signed and certified at the date and time indicated by:
Keith Griesing [KGRIESING@HARDESTYHANOVER.COM]

Dated: 03/15/2023 03:51:05 pm

Vendor: Hardesty & Hanover, LLC

Title: Principal

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses. attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Anna Volynsky
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: US
Business Address: 1501 Broadway, 6th Floor
City: New York State/Province/Territory: NY Zip/Postal Code: 10036
Country: US
Telephone: 212-944-1150
Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	<u>01/01/2008</u>	Partner	_____
Vice President	_____		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES [] NO [X] If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES [] NO [X] If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
YES ☐ NO ☒ If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?
YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?
YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9. a. Is there any felony charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Anna Volynsky , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Anna Volynsky , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Hardesty & Hanover, LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Anna Volynsky AVOLYNSKY@HARDESTYHANOVER.COM

CFO

Title

06/13/2023 11:16:20 am

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Glen Schetelich
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: US
Business Address: 5 Marine View Plaza
City: Hoboken State/Province/Territory: NJ Zip/Postal Code: 07030
Country: US
Telephone: (201) 656-8810
Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	<u>06/01/2011</u>
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?
YES ☒ NO ☐ If Yes, provide details.
[REDACTED]

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?
YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
YES ☒ NO ☐ If Yes, provide details.

Hardesty & Hanover Construction Services, LLC (affiliate)

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?
YES ☒ NO ☐ If Yes, provide details.

H&HCS has received several NYSDOT, NYCDOT, and NCDPW contracts in the last three years.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9. a. Is there any felony charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Glen Schetelich , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Glen Schetelich , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Hardesty & Hanover, LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Glen Schetelich GSCHETELICH@HARDESTYHANOVER.COM

Principal

Title

06/09/2023 02:17:20 pm

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Keith Griesing
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: US
Business Address: 1501 Broadway
City: New York State/Province/Territory: NY Zip/Postal Code: 10036
Country: US
Telephone: 2129441150
Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	<u>01/01/2015</u>
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

[REDACTED]

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
YES ☒ NO ☐ If Yes, provide details.

Hardesty & Hanover Construction Services, LLC

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?
YES ☒ NO ☐ If Yes, provide details.

Nassau County as well as NYCDOT and NYSDOT, TBTA.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Keith Griesing , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Keith Griesing , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

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Hardesty & Hanover, LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Keith Griesing KGRIESING@HARDESTYHANOVER.COM

Principal

Title

06/09/2023 01:47:58 pm

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Paul Skelton
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: US
Business Address: 105 Maxess Road
City: Melville State/Province/Territory: NY Zip/Postal Code: 11747
Country: US
Telephone: (631) 293-2170
Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	<u>06/01/2011</u>
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

[REDACTED]

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
YES ☒ NO ☐ If Yes, provide details.

Hardesty & Hanover Construction Services, LLC (affiliate)

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?
YES ☒ NO ☐ If Yes, provide details.

H&HCS has received several NYSDOT, NYCDOT, and NCDPW contracts in the last 3 years.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Paul Skelton , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Paul Skelton , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

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Hardesty & Hanover, LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Paul Skelton PSKELTON@HARDESTYHANOVER.COM

Principal

Title

06/09/2023 02:19:27 pm

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Sean Bluni
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: US
Business Address: 1501 Broadway
City: New York State/Province/Territory: NY Zip/Postal Code: 10036
Country: US
Telephone: (212) 944-1150
Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	<u>06/01/2011</u>	Secretary	_____
Chief Financial Officer	_____	Partner	_____
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

[REDACTED]

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
YES ☒ NO ☐ If Yes, provide details.

Hardesty & Hanover Construction Services, LLC

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?
YES ☒ NO ☐ If Yes, provide details.

H&H has received several NYSDOT, NYCDOT, and NCDPW contracts in the last three years.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Sean Bluni , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Sean Bluni , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

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Hardesty & Hanover, LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Sean Bluni SBLUNI@HARDESTYHANOVER.COM

Principal

Title

06/09/2023 04:40:38 pm

Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 01/04/2023

1) Proposer's Legal Name: Hardesty & Hanover, LLC

2) Address of Place of Business: 532 Broadhollow Road, Suite 144

City: Melville State/Province/
Territory: NY Zip/Postal
Code: 11747

Country: US

Address: 105 Maxess Road

City: Melville State/Province/
Territory: NY Zip/Postal
Code: 11747

Country: US

Start Date: _____ End Date: _____

Address: 135 Pinelawn Road

City: Melville State/Province/
Territory: NY Zip/Postal
Code: 11747

Country: US

Start Date: 08/01/2002 End Date: 08/31/2019

3) Mailing Address (if different): _____

City: _____ State/Province/
Territory: _____ Zip/Postal
Code: _____

Country: _____

Phone: _____

Does the business own or rent its facilities? R If other, please provide details:

4) Dun and Bradstreet number:

5) Federal I.D. Number:

6) The proposer is a: Other (Describe) Limited Liability Company

7) Does this business share office space, staff, or equipment expenses with any other business?

YES ☒ NO ☐ If yes, please provide details:

Hardesty & Hanover Construction Services, LLC

8) Does this business control one or more other businesses?

YES ☐ NO ☒ If yes, please provide details:

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

YES ☒ NO ☐ If yes, please provide details:

Affiliate of Hardesty & Hanover Construction Services, LLC
Subsidiary of Hardesty & Hanover Holding, LLC

10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?

YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).

11) Has the proposer, during the past seven years, been declared bankrupt?

YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets

12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

- 17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of

interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

We do thorough QA/QC reviews of projects, procedures, and staff to ensure that no conflicts of interest occur.

A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

i) Date of formation;

06/01/2011

ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

See attached.

1 File(s) uploaded: Member list 2022.xlsx

iii) Name, address and position of all officers and directors of the company. If none, explain.

See attached.

1 File(s) uploaded: H&H Owners 2023.pdf

iv) State of incorporation (if applicable);

DE

v) The number of employees in the firm;

450

vi) Annual revenue of firm;

vii) Summary of relevant accomplishments

H&H has 136 years of experience and is one of the oldest engineering firms in the world. Our founder was the inventor of the modern lift bridge. We have designed and inspected more than 1000 bridges of all types.

viii) Copies of all state and local licenses and permits.

1 File(s) uploaded: H&H LLC NY CO Authority 6-2024.pdf

B. Indicate number of years in business.

134

C. Provide any other information which would be appropriate and helpful in determining the Proposer’s capacity and reliability to perform these services.

Our projects have won hundreds of major awards, including all types of bridges and roadways.

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer’s capability to perform this work.

Company	Suffolk County Department of Public Works		
Contact Person	William Hillman		
Address	335 Yaphank Avenue		
City	Yaphank	State/Province/Territory	NY
Country	US		
Telephone	(631) 852-4002		
Fax #			
E-Mail Address	william.hillman@suffolkcountyny.gov		

Company	Suffolk County Department of Public Works		
Contact Person	Joseph Brown		
Address	335 Yaphank Avenue		
City	Yaphank	State/Province/Territory	NY
Country	US		
Telephone	(631) 852-4010		
Fax #			
E-Mail Address	joseph.brown@suffolkcountyny.gov		

Company	New York State Department of Transportation		
Contact Person	James Fonda		
Address	250 Veterans Memorial Highway		
City	Hauppauge	State/Province/Territory	NY
Country	US		
Telephone	(516) 551-2339		
Fax #			
E-Mail Address	James.fonda@dot.ny.gov		

I, Sean Bluni , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Sean Bluni , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: Hardesty & Hanover, LLC

Electronically signed and certified at the date and time indicated by:
Sean Bluni SBLUNI@HARDESTYHANOVER.COM

Principal

Title

04/24/2023 03:42:22 pm

Date

**THE UNIVERSITY OF THE STATE OF NEW YORK
EDUCATION DEPARTMENT**

THIS IS TO CERTIFY THAT HAVING MET THE REQUIREMENTS OF SECTION 7210 OF THE
EDUCATION LAW AND IN ACCORDANCE THEREWITH THIS CERTIFICATE OF AUTHORIZATION
IS GRANTED WHICH ENTITLES

**HARDESTY & HANOVER LLC
1501 BROADWAY 3RD FLOOR
NEW YORK, NY 10036-0000**

TO PROVIDE PROFESSIONAL ENGINEERING SERVICES IN THE STATE OF NEW YORK FOR
THE PERIOD 07/01/2021 TO 06/30/2024.



CERTIFICATE NUMBER
[REDACTED]


BETTY ROSA
COMMISSIONER OF EDUCATION

Hardesty & Hanover Owners with Highest % Ownership - 2023

	Sean Bluni,	
	Keith Griesing,	
	Glen Schetelich,	
	Paul Skelton,	

2022 Hardesty & Hanover Member List

Last Name	First Name	Email
Adams	Lee	ladams@hardestyhanover.com
Adams	Matt	madams@hardestyhanover.com
Altro	Frank	faltro@hardestyhanover.com
Bager	James	jbager@hardestyhanover.com
Barthle	Andrew	abarthle@hardestyhanover.com
Beatty	John	jbeatty@hardestyhanover.com
Bluni	Sean	sbluni@hardestyhanover.com
Bula	Gregory	gbula@hardestyhanover.com
Cirrinicone	Thomas	tcirrinicone@hardestyhanover.com
Coley	Laura	lcoley@hardestyhanover.com
Connolly	Paul	pconnolly@hardestyhanover.com
DeLuca	David	ddeluca@hardestyhanover.com
Drew	Robert	rdrew@hardestyhanover.com
Eichner	Keith	keichner@hardestyhanover.com
Eslinger	Robert	beslinger@hardestyhanover.com
Freedman	Elana	efreedman@hardestyhanover.com
Garcia	Silvio	sgarcia@hardestyhanover.com
Gerber	David	dgerber@hardestyhanover.com
Gimblette	John	jgimblette@hardestyhanover.com
Griesing	Keith	kgriesing@hardestyhanover.com
Guirguis	Arsanious	aguirguis@hardestyhanover.com
Hamill	Brian	bhamill@hardestyhanover.com
Harlacker	Steven	sharlacker@hardestyhanover.com
Harrington	Tim	tharrington@hardestyhanover.com
Hawkins	Michael	mhawkins@hardestyhanover.com
Hawthorne	Benjamin	bhawthorne@hardestyhanover.com
Heimburg	Stefan	sheimburg@hardestyhanover.com
Heimburg	Lisa	lheimburg@hardestyhanover.com
Hideck	Robert	rhideck@hardestyhanover.com
Hom	Steve	shom@hardestyhanover.com
Jarrett	Rodney	rjarrett@hardestyhanover.com
Jenne	Craig	cjenne@hardestyhanover.com
Johnson	Craig	cjohnson@hardestyhanover.com
Jones	Webb	wjones@hardestyhanover.com
Keung	Barry	bkeung@hardestyhanover.com
Kharva	Rasmin	rkharva@hardestyhanover.com
Konrad	Jeff	jkonrad@hardestyhanover.com
Kostadinov	Teodor	tkostadinov@hardestyhanover.com
Liona	Michael	mliona@hardestyhanover.com
Lopez	Raymond	rlopez@hardestyhanover.com
Mankbadi	Raymond	rmankbadi@hardestyhanover.com
Marcic	David	dmarcic@hardestyhanover.com

Marinelli	Donald	dmarinelli@hardestyhanover.com
Marzella	Frank	fmarzella@hardestyhanover.com
Mastropietro	Douglas	dmastropietro@hardestyhanover.com
Mendonca	Brian	bmendonca@hardestyhanover.com
Mikucki	Steve	smikucki@hardestyhanover.com
Mileo	Brian	bmileo@hardestyhanover.com
Nappi	Steve	snappi@hardestyhanover.com
Neely	Doug	dneely@hardestyhanover.com
O'Shea	Brendan	boshea@hardestyhanover.com
Patton	George	gpatton@hardestyhanover.com
Phillips	James	jphillips@hardestyhanover.com
Plantamura	Devin	dplantamura@hardestyhanover.com
Reynolds	Scott	sreynolds@hardestyhanover.com
Roman	Ronald	rroman@hardestyhanover.com
Roody	Peter	proody@hardestyhanover.com
Schetelich	Glen	gschetelich@hardestyhanover.com
Sileno	Michael	msileno@hardestyhanover.com
Sinson	Henri	hsinson@hardestyhanover.com
Skelton	Paul	pskelton@hardestyhanover.com
Stehlgens	Erin	estehlgens@hardestyhanover.com
Stevens	Richard	rstevens@hardestyhanover.com
Supino	Robert	bsupino@hardestyhanover.com
Swietanski	Michael	mswietanski@hardestyhanover.com
Thompson	Phil	pthompson@hardestyhanover.com
Tine	Michael	mtine@hardestyhanover.com
Tuckman	David	dtuckman@hardestyhanover.com
Warncke	Jordan	jwarncke@hardestyhanover.com
Young	Megan	myoung@hardestyhanover.com
Zahalan	Rima	rzahalan@hardestyhanover.com
Zweibel	Anne	azweibel@hardestyhanover.com

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Hardesty & Hanover, LLC

Address: 1501 Broadway

City: New York State/Province/Territory: NY Zip/Postal Code: 10036

Country: US

2. Entity's Vendor Identification Number: [REDACTED]

3. Type of Business: Ltd. Liability Co (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

1 File(s) uploaded: H&H Owners 2023.pdf

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

See attached

1 File(s) uploaded: Member list 2022.xlsx

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

Affiliate: Hardesty & Hanover, LLC

Subsidiary of: Hardesty & Hanover Holding, LLC

These firms will not be participating in the performance of this contract.

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None."

The term “lobbyist” means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term “lobbyist” does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?
YES [] NO [X]

(a) Name, title, business address and telephone number of lobbyist(s):

NONE

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

NONE

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

NONE

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:
Sean Bluni [SBLUNI@HARDESTYHANOVER.COM]

Dated: 05/15/2023 04:19:10 pm

Title: Principal

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

Hardesty & Hanover Owners with Highest % Ownership - 2023

	Sean Bluni,	
	Keith Griesing,	
	Glen Schetelich,	
	Paul Skelton,	

2022 Hardesty & Hanover Member List

Last Name	First Name	Email
Adams	Lee	ladams@hardestyhanover.com
Adams	Matt	madams@hardestyhanover.com
Altro	Frank	faltro@hardestyhanover.com
Bager	James	jbager@hardestyhanover.com
Barthle	Andrew	abarthle@hardestyhanover.com
Beatty	John	jbeatty@hardestyhanover.com
Bluni	Sean	sbluni@hardestyhanover.com
Bula	Gregory	gbula@hardestyhanover.com
Cirrinicone	Thomas	tcirrinicone@hardestyhanover.com
Coley	Laura	lcoley@hardestyhanover.com
Connolly	Paul	pconnolly@hardestyhanover.com
DeLuca	David	ddeluca@hardestyhanover.com
Drew	Robert	rdrew@hardestyhanover.com
Eichner	Keith	keichner@hardestyhanover.com
Eslinger	Robert	beslinger@hardestyhanover.com
Freedman	Elana	efreedman@hardestyhanover.com
Garcia	Silvio	sgarcia@hardestyhanover.com
Gerber	David	dgerber@hardestyhanover.com
Gimblette	John	jgimblette@hardestyhanover.com
Griesing	Keith	kgriesing@hardestyhanover.com
Guirguis	Arsanious	aguirguis@hardestyhanover.com
Hamill	Brian	bhamill@hardestyhanover.com
Harlacker	Steven	sharlacker@hardestyhanover.com
Harrington	Tim	tharrington@hardestyhanover.com
Hawkins	Michael	mhawkins@hardestyhanover.com
Hawthorne	Benjamin	bhawthorne@hardestyhanover.com
Heimburg	Stefan	sheimburg@hardestyhanover.com
Heimburg	Lisa	lheimburg@hardestyhanover.com
Hideck	Robert	rhideck@hardestyhanover.com
Hom	Steve	shom@hardestyhanover.com
Jarrett	Rodney	rjarrett@hardestyhanover.com
Jenne	Craig	cjenne@hardestyhanover.com
Johnson	Craig	cjohnson@hardestyhanover.com
Jones	Webb	wjones@hardestyhanover.com
Keung	Barry	bkeung@hardestyhanover.com
Kharva	Rasmin	rkharva@hardestyhanover.com
Konrad	Jeff	jkonrad@hardestyhanover.com
Kostadinov	Teodor	tkostadinov@hardestyhanover.com
Liona	Michael	mliona@hardestyhanover.com
Lopez	Raymond	rlopez@hardestyhanover.com
Mankbadi	Raymond	rmankbadi@hardestyhanover.com
Marcic	David	dmarcic@hardestyhanover.com

Marinelli	Donald	dmarinelli@hardestyhanover.com
Marzella	Frank	fmarzella@hardestyhanover.com
Mastropietro	Douglas	dmastropietro@hardestyhanover.com
Mendonca	Brian	bmendonca@hardestyhanover.com
Mikucki	Steve	smikucki@hardestyhanover.com
Mileo	Brian	bmileo@hardestyhanover.com
Nappi	Steve	snappi@hardestyhanover.com
Neely	Doug	dneely@hardestyhanover.com
O'Shea	Brendan	boshea@hardestyhanover.com
Patton	George	gpatton@hardestyhanover.com
Phillips	James	jphillips@hardestyhanover.com
Plantamura	Devin	dplantamura@hardestyhanover.com
Reynolds	Scott	sreynolds@hardestyhanover.com
Roman	Ronald	rroman@hardestyhanover.com
Roody	Peter	proody@hardestyhanover.com
Schetelich	Glen	gschetelich@hardestyhanover.com
Sileno	Michael	msileno@hardestyhanover.com
Sinson	Henri	hsinson@hardestyhanover.com
Skelton	Paul	pskelton@hardestyhanover.com
Stehlgens	Erin	estehlgens@hardestyhanover.com
Stevens	Richard	rstevens@hardestyhanover.com
Supino	Robert	bsupino@hardestyhanover.com
Swietanski	Michael	mswietanski@hardestyhanover.com
Thompson	Phil	pthompson@hardestyhanover.com
Tine	Michael	mtine@hardestyhanover.com
Tuckman	David	dtuckman@hardestyhanover.com
Warncke	Jordan	jwarncke@hardestyhanover.com
Young	Megan	myoung@hardestyhanover.com
Zahalan	Rima	rzahalan@hardestyhanover.com
Zweibel	Anne	azweibel@hardestyhanover.com

ACORD™

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

5/09/2023

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer any rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Greyling Ins. Brokerage/EPIC 3780 Mansell Road, Suite 370 Alpharetta, GA 30022		CONTACT NAME: Jerry Noyola PHONE (A/C, No, Ext): 770-220-7699 E-MAIL ADDRESS: jerry.noyola@greyling.com FAX (A/C, No):	
		INSURER(S) AFFORDING COVERAGE	
		INSURER A : National Union Fire Ins Co of PA	
		INSURER B : Aspen American Insurance Company	
		INSURER C : New Hampshire Ins. Co.	
		INSURER D : Zurich American Insurance Co	
		INSURER E :	
		INSURER F :	

COVERAGES **CERTIFICATE NUMBER: 22-23** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Contractual Liab. GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input checked="" type="checkbox"/> LOC OTHER:	X	X	GL9566090	08/01/2022	08/01/2023	EACH OCCURRENCE \$2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$500,000 MED EXP (Any one person) \$25,000 PERSONAL & ADV INJURY \$2,000,000 GENERAL AGGREGATE \$4,000,000 PRODUCTS - COMP/OP AGG \$4,000,000 \$
A	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS NON-OWNED AUTOS ONLY	X	X	CA5425480	08/01/2022	08/01/2023	COMBINED SINGLE LIMIT (Ea accident) \$2,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
B	UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$0	X	X	CX009N722	08/01/2022	08/01/2023	EACH OCCURRENCE \$10,000,000 AGGREGATE \$10,000,000 \$
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE/OFFICER/MEMBER EXCLUDED? <input checked="" type="checkbox"/> Y / N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below		X	WC043172130	08/01/2022	08/01/2023	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$1,000,000 E.L. DISEASE - EA EMPLOYEE \$1,000,000 E.L. DISEASE - POLICY LIMIT \$1,000,000
D	Valuable Papers			CPP943349014	08/01/2022	08/01/2023	Limit \$1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Re: Phase 64 North Village Avenue Drainage Improvements & Resurfacing. H61587-64D. H&H # 5271. Nassau County is named as an Additional Insured on the above referenced liability policies with the exception of workers compensation & professional liability where required by written contract. Should any of the above described policies be cancelled by the issuing insurer before the expiration date thereof, 30 days' written notice (except 10 days for nonpayment of premium) will be provided to the Certificate Holder. Waiver of Subrogation is applicable where required by written contract & allowed by law.

CERTIFICATE HOLDER

CANCELLATION

County of Nassau
 Department of Public Works
 1194 Prospect Avenue
 Westbury, NY 11590-0000

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Ed. N. Collings

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ENDORSEMENT

This endorsement, effective 12:01 A.M. 08/01/2022 forms a part of

Policy No. CA5425480 issued to Hardesty & Hanover, LLC

by NATIONAL UNION FIRE INSURANCE COMPANY OF PITTSBURGH, PA

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED - WHERE REQUIRED UNDER CONTRACT OR AGREEMENT

This endorsement modifies insurance provided under the following:

BUSINESS AUTO COVERAGE FORM

SCHEDULE

ADDITIONAL INSURED:

ANY PERSON OR ORGANIZATION FOR WHOM YOU ARE CONTRACTUALLY BOUND TO PROVIDE ADDITIONAL INSURED STATUS BUT ONLY TO THE EXTENT OF SUCH PERSON'S OR ORGANIZATIONS LIABILITY ARISING OUT OF THE USE OF A COVERED AUTO.

I. SECTION II - COVERED AUTOS LIABILITY COVERAGE, A. Coverage, 1. - Who Is Insured, is amended to add:

- d. Any person or organization, shown in the schedule above, to whom you become obligated to include as an additional insured under this policy, as a result of any contract or agreement you enter into which requires you to furnish insurance to that person or organization of the type provided by this policy, but only with respect to liability arising out of use of a covered "auto". However, the insurance provided will not exceed the lesser of:

- (1) The coverage and/or limits of this policy, or
- (2) The coverage and/or limits required by said contract or agreement.



AUTHORIZED REPRESENTATIVE

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

**ADDITIONAL INSURED - OWNERS, LESSEES OR
CONTRACTORS - SCHEDULED PERSON OR
ORGANIZATION**

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SCHEDULE

Name Of Additional Insured Person(s) Or Organization(s)	Location(s) Of Covered Operations
ANY PERSON OR ORGANIZATION WHOM YOU BECOME OBLIGATED TO INCLUDE AS AN ADDITIONAL INSURED AS A RESULT OF ANY CONTRACT OR AGREEMENT YOU HAVE ENTERED INTO.	PER THE CONTRACT OR AGREEMENT.
Information required to complete this Schedule, if not shown above, will be shown in the Declarations.	

A. Section II 6 Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury", "property damage" or "personal and advertising injury" caused, in whole or in part, by:

1. Your acts or omissions; or
2. The acts or omissions of those acting on your behalf;

in the performance of your ongoing operations for the additional insured(s) at the location(s) designated above.

However:

1. The insurance afforded to such additional insured only applies to the extent permitted by law; and
2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

B. With respect to the insurance afforded to these additional insureds, the following additional exclusions apply:

This insurance does not apply to "bodily injury" or "property damage" occurring after:

1. All work, including materials, parts or equipment furnished in connection with such work, on the project (other than service, maintenance or repairs) to be performed by or on behalf of the additional insured(s) at the location of the covered operations has been completed; or
2. That portion of "your work" out of which the injury or damage arises has been put to its intended use by any person or organization other than another contractor or subcontractor engaged in performing operations for a principal as a part of the same project.

- C. With respect to the insurance afforded to these additional insureds, the following is added to **Section III – Limits Of Insurance:**

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

1. Required by the contract or agreement; or

2. Available under the applicable limits of insurance;
whichever is less.

This endorsement shall not increase the applicable limits of insurance.

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED - OWNERS, LESSEES OR CONTRACTORS - COMPLETED OPERATIONS

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART
PRODUCTS/COMPLETED OPERATIONS LIABILITY COVERAGE PART

SCHEDULE

Name Of Additional Insured Person(s) Or Organization(s)	Location And Description Of Completed Operations
ANY PERSON OR ORGANIZATION WHOM YOU BECOME OBLIGATED TO INCLUDE AS AN ADDITIONAL INSURED AS A RESULT OF ANY CONTRACT OR AGREEMENT YOU HAVE ENTERED INTO.	PER THE CONTRACT OR AGREEMENT.
Information required to complete this Schedule, if not shown above, will be shown in the Declarations.	

A. Section II – Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury" or "property damage" caused, in whole or in part, by "your work" at the location designated and described in the Schedule of this endorsement performed for that additional insured and included in the "products-completed operations hazard".

However:

1. The insurance afforded to such additional insured only applies to the extent permitted by law; and
2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

B. With respect to the insurance afforded to these additional insureds, the following is added to Section III – Limits Of Insurance:

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

1. Required by the contract or agreement; or
2. Available under the applicable limits of insurance;

whichever is less.

This endorsement shall not increase the applicable limits of insurance.

ACORD™

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

5/09/2023

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must have **ADDITIONAL INSURED** provisions or be endorsed. If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer any rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Edgewood Partners Ins. Center 3780 Mansell Rd. Suite 370 Alpharetta, GA 30022	CONTACT NAME: Jerry Noyola PHONE (A/C, No, Ext): 770-220-7699 FAX (A/C, No): E-MAIL ADDRESS: jerry.noyola@greyling.com																					
INSURED Hardesty & Hanover, LLC 1501 Broadway New York, NY 10036	<table border="1"> <tr> <th colspan="2">INSURER(S) AFFORDING COVERAGE</th><th>NAIC #</th></tr> <tr> <td colspan="2">INSURER A : Evanston Insurance Company</td><td>35378</td></tr> <tr> <td colspan="2">INSURER B :</td><td></td></tr> <tr> <td colspan="2">INSURER C :</td><td></td></tr> <tr> <td colspan="2">INSURER D :</td><td></td></tr> <tr> <td colspan="2">INSURER E :</td><td></td></tr> <tr> <td colspan="2">INSURER F :</td><td></td></tr> </table>	INSURER(S) AFFORDING COVERAGE		NAIC #	INSURER A : Evanston Insurance Company		35378	INSURER B :			INSURER C :			INSURER D :			INSURER E :			INSURER F :		
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INSURER C :																						
INSURER D :																						
INSURER E :																						
INSURER F :																						

COVERAGES

CERTIFICATE NUMBER: 223-24

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

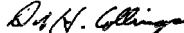
INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY <input type="checkbox"/>						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? <input type="checkbox"/> Y / N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below		N / A				PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.I. EACH ACCIDENT \$ E.I. DISEASE - EA EMPLOYEE \$ E.I. DISEASE - POLICY LIMIT \$
A	Professional/ Pollution Liab.			MKL7PL0005646	01/01/2023	01/01/2024	Per Claim \$5,000,000 Aggregate \$5,000,000 Deductible \$500,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Re: Phase 64 North Village Avenue Drainage Improvements & Resurfacing. H61587-64D. H&H #5492. Should any of the above described policies be cancelled by the issuing insurer before the expiration date thereof, 30 days' written notice (except 10 days for nonpayment of premium) will be provided to the Certificate Holder.

CERTIFICATE HOLDER

CANCELLATION

County of Nassau Department of Public Works 1194 Prospect Avenue Westbury, NY 11590-0000	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE 
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CERTIFICATE OF INSURANCE COVERAGE

NYS DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

PART 1. To be completed by NYS disability and Paid Family Leave benefits carrier or licensed insurance agent of that carrier

1a. Legal Name & Address of Insured (use street address only)

HARDESTY & HANOVER LLC
ATTN: SUZANA MAYER
1501 BROADWAY, SUITE 601
NEW YORK, NY 10036

Work Location of Insured (Only required if coverage is specifically limited to certain locations in New York State, i.e., Wrap-Up Policy)

1b. Business Telephone Number of Insured

1c. Federal Employer Identification Number of Insured
or Social Security Number

453031954

2. Name and Address of Entity Requesting Proof of Coverage
(Entity Being Listed as the Certificate Holder)

NASSAU COUNTY
1550 FRANKLIN AVENUE
MINEOLA, NY 11501

3a. Name of Insurance Carrier

ShelterPoint Life Insurance Company

3b. Policy Number of Entity Listed in Box "1a"

DBL573167AA

3c. Policy effective period

04/01/2022

to

03/31/2024

4. Policy provides the following benefits:

- ☒ A. Both disability and paid family leave benefits.
☐ B. Disability benefits only.
☐ C. Paid family leave benefits only.

5. Policy covers:

- ☒ A. All of the employer's employees eligible under the NYS Disability and Paid Family Leave Benefits Law.
☐ B. Only the following class or classes of employer's employees:

Under penalty of perjury, I certify that I am an authorized representative or licensed agent of the insurance carrier referenced above and that the named insured has NYS Disability and/or Paid Family Leave Benefits insurance coverage as described above.

Date Signed 3/22/2023 By _____

(Signature of insurance carrier's authorized representative or NYS Licensed Insurance Agent of that insurance carrier)

Telephone Number 516-829-8100

Name and Title Richard White, Chief Executive Officer

IMPORTANT: If Boxes 4A and 5A are checked, and this form is signed by the insurance carrier's authorized representative or NYS Licensed Insurance Agent of that carrier, this certificate is COMPLETE. Mail it directly to the certificate holder.

If Box 4B, 4C or 5B is checked, this certificate is NOT COMPLETE for purposes of Section 220, Subd. 8 of the NYS Disability and Paid Family Leave Benefits Law. It must be emailed to PAU@wcb.ny.gov or it can be mailed for completion to the Workers' Compensation Board, Plans Acceptance Unit, PO Box 5200, Binghamton, NY 13902-5200.

PART 2. To be completed by the NYS Workers' Compensation Board (Only if Box 4B, 4C or 5B have been checked)

State of New York Workers' Compensation Board

According to information maintained by the NYS Workers' Compensation Board, the above-named employer has complied with the NYS Disability and Paid Family Leave Benefits Law (Article 9 of the Workers' Compensation Law) with respect to all of their employees.

Date Signed _____ By _____

(Signature of Authorized NYS Workers' Compensation Board Employee)

Telephone Number _____

Name and Title _____

Please Note: Only insurance carriers licensed to write NYS disability and paid family leave benefits insurance policies and NYS licensed insurance agents of those insurance carriers are authorized to issue Form DB-120.1. **Insurance brokers are NOT authorized to issue this form.**



Additional Instructions for Form DB-120.1

By signing this form, the insurance carrier identified in Box 3 on this form is certifying that it is insuring the business referenced in Box 1a for disability and/or Paid Family Leave benefits under the NYS Disability and Paid Family Leave Benefits Law. The insurance carrier or its licensed agent will send this Certificate of Insurance Coverage (Certificate) to the entity listed as the certificate holder in Box 2.

The insurance carrier must notify the above certificate holder and the Workers' Compensation Board within 10 days IF a policy is cancelled due to nonpayment of premiums or within 30 days IF there are reasons other than nonpayment of premiums that cancel the policy or eliminate the insured from coverage indicated on this Certificate. (These notices may be sent by regular mail.) Otherwise, this Certificate is valid for one year after this form is approved by the insurance carrier or its licensed agent, or until the policy expiration date listed in Box 3c, whichever is earlier.

This Certificate is issued as a matter of information only and confers no rights upon the certificate holder. This Certificate does not amend, extend or alter the coverage afforded by the policy listed, nor does it confer any rights or responsibilities beyond those contained in the referenced policy.

This Certificate may be used as evidence of a NYS disability and/or Paid Family Leave benefits contract of insurance only while the underlying policy is in effect.

Please Note: Upon the cancellation of the disability and/or Paid Family Leave benefits policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of Insurance Coverage for NYS disability and/or Paid Family Leave Benefits or other authorized proof that the business is complying with the mandatory coverage requirements of the NYS Disability and Paid Family Leave Benefits Law.

NYS DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

§220. Subd. 8

(a) The head of a state or municipal department, board, commission or office authorized or required by law to issue any permit for or in connection with any work involving the employment of employees in employment as defined in this article, and notwithstanding any general or special statute requiring or authorizing the issue of such permits, shall not issue such permit unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand and twenty-one, the payment of family leave benefits for all employees has been secured as provided by this article. Nothing herein, however, shall be construed as creating any liability on the part of such state or municipal department, board, commission or office to pay any disability benefits to any such employee if so employed.

(b) The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in employment as defined in this article and notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand eighteen, the payment of family leave benefits for all employees has been secured as provided by this article.

STATE OF NEW YORK
WORKERS' COMPENSATION BOARD

CERTIFICATE OF NYS WORKERS' COMPENSATION INSURANCE COVERAGE

1a. Legal Name & Address of Insured (Use street address only) Hardesty & Hanover, LLC 1501 Broadway New York, NY 10036 Work Location of Insured (Only required if coverage is specifically limited to certain locations in New York State, i.e., a Wrap-Up Policy)	1b. Business Telephone Number of Insured 212-944-1150 1c. NYS Unemployment Insurance Employer Registration Number of Insured 1d. Federal Employer Identification Number of Insured or Social Security Number 45-3031954
2. Name and Address of the Entity Requesting Proof of Coverage (Entity Being Listed as the Certificate Holder) County of Nassau Department of Public Works 1194 Prospect Avenue Westbury, NY 11590	3a. Name of Insurance Carrier New Hampshire Insurance Co. 3b. Policy Number of entity listed in box "1a" WC043172130 3c. Policy effective period 08/01/22 to 08/01/23 3d. The Proprietor, Partners or Executive Officers are <input checked="" type="checkbox"/> included. (Only check box if all partners/officers included) all excluded or certain partners/officers excluded.


This certifies that the insurance carrier indicated above in box "3" insures the business referenced above in box "1a" for workers' compensation under the New York State Workers' Compensation Law. **(To use this form, New York (NY) must be listed under Item 3A on the INFORMATION PAGE of the workers' compensation insurance policy).** The Insurance Carrier or its licensed agent will send this Certificate of Insurance to the entity listed above as the certificate holder in box "2".

The Insurance Carrier will also notify the above certificate holder within 10 days IF a policy is canceled due to nonpayment of premiums or within 30 days IF there are reasons other than nonpayment of premiums that cancel the policy or eliminate the insured from the coverage indicated on this Certificate. (These notices may be sent by regular mail.) Otherwise, this Certificate is valid for one year after this form is approved by the insurance carrier or its licensed agent, or until the policy expiration date listed in box "3c", whichever is earlier.

Please Note: Upon the cancellation of the workers' compensation policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of Workers' Compensation Coverage or other authorized proof that the business is complying with the mandatory coverage requirements of the New York State Workers' Compensation Law.

Under penalty of perjury, I certify that I am an authorized representative or licensed agent of the insurance carrier referenced above and that the named insured has the coverage as depicted on this form.

Approved by: Matias Ormaza
Print name of authorized representative or licensed agent of insurance carrier)

Approved by:  05/01/2023
(Signature) (Date)

Title: Sr. Vice-President

Telephone Number of authorized representative or licensed agent of insurance carrier: 770-552-4225

Please Note: Only insurance carriers and their licensed agents are authorized to issue Form C-105.2. Insurance brokers are *NOT* authorized to issue it.

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Office of the County Executive
Att: Brian J. Schneider, Deputy County Executive

FROM: Department of Public Works

DATE: September 1, 2021

SUBJECT: Design Services Phase 64 North Village Avenue Drainage Improvements & Resurfacing
RFP No. PW-H61587-64D
Recommendation of Award

The Nassau County Department of Public Works (NCDPW) desires to procure Design Services for Phase 64 North Village Avenue Drainage Improvements and Resurfacing. The scope of work involves improvements to North Village Avenue between Lakeview Avenue to Peninsula Boulevard. Included within this project is the inspection, evaluation, and design of improvements of the drainage system along North Village Avenue. The system will be evaluated to its outfall. Pavement inspection and design is also included to rehabilitate the roadway to extend the roadway's service life and provide appropriate pavement ride quality. Evaluation of the condition of all existing curbs, curb ramps and driveway aprons within the project limits, and under Nassau County's maintenance responsibility, and repairs/replacements of deteriorated cross-section elements will be addressed. Pavement marking design, as mandated by the MUTCD and the NYS Supplement, will also be required. The project will be done to New York State Department of Transportation's (NYSDOT) standards.

The "Request for Proposal" (RFP) was prepared in conformance with NYSDOT and Nassau County Policy. The RFP was posted on the County's website utilizing E-Procure, New York State Contract Reporter and in Newsday. The County received ten (10) responses to the Request for Proposals (RFP). All the proposals were eligible for review.

The technical proposals were evaluated by professional staff within the Department: Rakhal Maitra, Deputy Commissioner; Vivian Toscano, Civil Engineer III; Andrea Pereira, Civil Engineer II; and Devin Velasquez, Civil Engineer II.

After tabulating the combined technical scores and establishing ranking order, as noted in the table below, it was determined that L.K. McLean Associates, P.C. (LKMA) (88.50) was ranked the best technical proposal, followed by Hardesty & Hanover, LLC (H&H) (85.25) as the second best, and NV5 New York Engineers, Architects, Landscape Architects and Surveyors (NV5) (82.00) as the third best. The Committee, after open discussion and deliberation, decided to open the cost proposals of the top three (3) ranked firms.

Since the County is unsure if outside funding will be available for this project, two (2) fee options were requested within the RFP. Option 1 assumed the project would be County funded and Option 2 assumed the project would be State/Federally funded. The cost proposals of the top three (3) ranked firms can be seen in the table below. Upon review of the cost proposals, LKMA's cost for Option 1 and Option 2 was significantly higher than the other firms. It was determined that the difference was mainly due to the cost associated with the preparation of the Drainage Report and Detail Design & Construction Documents. The TRC theorized that LKMA may have included design work outside the project limits. Additionally, LKMA included the cost of CCTV in their design which the other Firms did not. The TRC decided to request a BAFO from the top three firms, including a list of all technical assumptions made by each Firm and requested specifically from LKMA a separate cost to perform the outlined CCTV work. After review of the Technical Assumptions and revised cost estimates submitted by the top three (3) Firms, it appeared that LKMA included design work outside the project limits. To provide a fair comparison between the three Firms, the TRC decided to request a second BAFO from LKMA requesting the Firm assume all design work would take place within the project limits. Additionally, in the technical assumptions provided by H&H, they assumed that three (3) curb ramps would have issues that would need to be addressed. The TRC decided to request a second BAFO from H&H as well to include the evaluation and design of all ramps. H&H clarified their cost proposal was inclusive of all curb ramps within the project limits and a second BAFO was not needed. Upon review of the second BAFO and revised technical assumptions provided by LKMA, they clarified that their fee did not include design work outside the project limits. As the top three ranked firms were all capable of completing the tasks outlined in the RFP, the TRC concluded that Hardesty & Hanover represents the best value for the County.



Office of the County Executive

Att: Brian J. Schneider, Deputy County Executive

September 1, 2021

Page 2.

SUBJECT: Design Services Phase 64 North Village Avenue Drainage Improvements & Resurfacing
RFP No. PW-H61587-64D
Recommendation of Award

FIRM	AVERAGE	RANK	COST PROPOSAL OPTION 1 OPTION 2	BAFO 1	CCTV COST	BAFO 2	WITH CONTINGENCY (15%)
LKMA	88.50	1	\$499,000.00 \$576,000.00	\$480,000.00 \$522,000.00	\$29,000.00	\$462,000.00 \$503,000.00	
H&H	85.25	2	\$333,782.98 \$365,268.93	\$318,489.46 \$349,309.65			\$405,000.00
NV5	82.00	3	\$425,120.00 \$459,210.00	\$420,920.00 \$454,310.00			
LIRO	79.00	4					
N&P	78.25	5					
GPI	77.50	6					
LKB	77.50	6					
CAMERON	76.50	8					
KSE	70.00	9					
SEPC	64.75	10					

It is the Department's recommendation that Hardesty & Hanover, LLC. with a cost of \$349,309.65 for Option 2 be retained for this contract. We request a contingency of approximately 15% of the design fee be included as well. Funding for said services is available under Capital Project Number 61587.

If you approve or disapprove of the above request, please signify below, and return this memo to this office for appropriate action.



Kenneth G. Arnold
Commissioner

KGA:RM

c: Rakhal Maitra, Deputy Commissioner
Harold T. Lutz, Director of Traffic Engineering
Richard Iadevaio, Superintendent of Highway and Construction
Vivian Toscano, Civil Engineer III
Andrea Pereira, Civil Engineer II
Devin Velasquez, Civil Engineer II

APPROVED:

DISAPPROVED:



Brian J. Schneider
Deputy County Executive

9/05/2021
Date

Brian J. Schneider
Deputy County Executive

Date

REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT**PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC**
☐ RFQ
 ☒ RFP
 ☐ RFBC
 ☐ In-House or Requirements Work Order
Project Title: Phase 64 – North Village Avenue, Rockville Centre - Drainage Improvements and ResurfacingDepartment: Public Works Project Manager: Devin Velasquez Date: December 7, 2020Service Requested: Design for North Village Avenue drainage improvements and resurfacing.

Justification: This Request for Proposals (RFP) is necessary to provide design for rehabilitating the roadway pavement and improving the existing drainage system. The existing drainage system contains blockages, preventing upstream runoff from properly draining.

Requested by: Public Works Department/Agency/OfficeProject Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$350,000.00
Circle appropriate phaseTotal Project Cost: TBD
Includes, design, construction and CMDate Start Work: Winter 2021
Phase being requestedDuration: 3 years
Phase being requestedCapital Funding Approval: YES ☐ NO ☐ Roseann DALLEVA 12/7/20
SIGNATURE DATEFunding Allocation (Capital Project): 61587
See Attached Sheet if multiyear ☐NIFS Entered : _____
SIGNATURE DATEAIM Entered: Nancy Allen 12/8/20
SIGNATURE DATEFunding Code: 61587-064
use this on all encumbrancesTimesheet Code: 20-0214
use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☐ or, Environmental Assessment Form Required ☐
Supplemental Environmental Documentation _____Department Head Approval: YES ☒ NO ☐[Signature]
SIGNATUREDCE/Ops Approval: YES ☐ NO ☐Brian J. Schneider 12/8/2020
SIGNATURE**PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.**

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval: YES NO Signature _____

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Devin Velasquez, Civil Engineer II

FROM: Office of the Commissioner

DATE: January 12, 2021

SUBJECT: CSEA Sub-Contracting Approval
C20-0214 Contract Number: H61587-64C
North Village Avenue, Rockville Centre – Drainage Improvements and Resurfacing

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. Pursuant to Section 32-3 of the CSEA/County CBA, CSEA has withdrawn its objection to the above-referenced contract known as **C20-0214**.

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

Roseann D'Alleva

Roseann D'Alleva
Deputy Commissioner

RD:jd

c: Kenneth G. Arnold, Commissioner
Rakhal Maitra, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, President

FROM: Department of Public Works

DATE: January 11, 2021

SUBJECT: CSEA Notification of a Proposed DPW Contract
Proposed Contract No.: H61587-64C
North Village Avenue, Rockville Centre – Drainage Improvements and Resurfacing

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW task order is for work which has "historically and exclusively been performed by bargaining unit members".

1. DPW plans to recommend a contract/agreement for the following: Drainage Improvements and Resurfacing of North Village Avenue between Lakeview Avenue and Peninsula Boulevard in the Inc. Village of Rockville Centre.
2. This task order is for Design and will include the following: Providing study, investigation/inspection, design related tasks, and construction support services.
3. The work involves the following: Preparation of a Technical Design Report, a Comprehensive Drainage Report, ADA Report, survey with mapping (including utilities), detailed design plans, specifications, cost estimates, construction bid documents, and construction support services, analysis of the current condition of the existing drainage system to its outfall and the condition of the roadway pavement, and evaluation of trees, curbs, curb ramps and sidewalks within the project limits. The existing drainage system has blockages, preventing stormwater runoff upstream from draining in turn causing ponding on other roadways. The County has previously attempted to remove these blockages but was unsuccessful in clearing the entire system. The analysis of the drainage system will include: evaluating the structural integrity and capacity of the existing system, calculating the volume of runoff tributary to the roadway, determining stormwater spread, providing design alternatives to improve the system to accept the necessary volume and to reduce runoff spread to allowable standards. All work will be done to New York State Department of Transportation (NYSDOT) and New York State Department of Environmental Conservation (NYSDEC) standards. Preparation and submission of all necessary permits will be included as well.
4. An estimate of the cost is: \$350,000.00
5. An estimate of the duration is: Three (3) years

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Roseann D'Alleva, Deputy Commissioner, telephone 1-0525, fax 1-9657.

Roseann D'Alleva

Roseann D'Alleva
Deputy Commissioner

RD:RM:ac

c: Christopher Nicolino, Director, Office of Labor Relations
Rakhal Maitra, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Christopher Yansick, Unit Head, Financial Management Unit
Diane Pyne, Unit Head, Human Resources Unit
Richard Iadevaio Jr., Superintendent of Highway and Drainage Construction
Stephanie Zirilli, Administrative Officer I
William Bottenhofer, Civil Engineer III
Vivian Toscano, Civil Engineer III
Devin Velasquez, Civil Engineer II



U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Sean Bluni, Principal

3/27/23

Name and Title of Authorized Representative

m/d/yy



3/27/23

Signature

Date

Hardesty & Hanover, LLC

Name of Organization

1501 Broadway, New York, NY 10036

Address of Organization


Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

CONSULTANT/ CONTRACTOR DETAILED DBE/MBE/WBE UTILIZATION PLAN

Part 1- General Information:

Consultant/Contractor Name:	Hardesty & Hanover, LLC
Address (street/city/state/zip code):	105 Maxess Road, Suite 109N, Melville, NY 11747
Authorized Representative (name/title):	Sean Bluni, Principal
Authorized Signature:	
Contract Number:	RFP No. PW-H61587-64D
Contract/Project Name:	North Village Avenue Drainage Improvements and Resurfacing, Phase 64
Contract/Project Description:	North Village Avenue Drainage Improvements and Resurfacing, Phase 64

Part 2- Projected DBE/MBE/WBE Contract Summary:

	Amount (\$)		Percentage (%)
Total Dollar Value of the Prime Contract	\$314,309.65		
Total DBE Dollar Amount	\$88,716	DBE Contract Percentage	22.78%
Total MBE Dollar Amount		MBE Contract Percentage	
Total WBE Dollar Amount	\$88,716	WBE Contract Percentage	22.78%
Total Combined D/M/WBE Dollar Amount	\$88,716	Combined D/M/WBE Contract Percentage	22.78%

Part 3- DBE Information (use additional blank sheets as necessary):

DBE Firm	Description of Work (DBE)	Projected DBE Contract Amount (\$) and Award Date	DBE Contract Scheduled Start Date and Completion Date
Name: GDB Geospatial Address: 88 Duryea Road, 1st Floor City: Melville State/Zip Code: NY Authorized Representative: Christine Gayron Telephone No. (516) 579-3111	Survey	Amount (\$): \$88,716 <hr/> Award Date: TBD <hr/>	Start Date: TBD <hr/> Completion Date: TBD <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>

Part 4- MBE Information (use additional blank sheets as necessary):

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount (\$) and Award Date	MBE Contract Scheduled Start Date and Completion Date
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>

Part 5- WBE Information (use additional blank sheets as necessary):

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount (\$) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: GdB Geospatial Address: 88 Duryea Road, 1st Floor City: Melville State/Zip Code: NY 11747 Authorized Representative: Christine Gayron Telephone No. (516) 579-3111	Survey	Amount (\$): 88,716 <hr/> Award Date: TBD <hr/>	Start Date: TBD <hr/> Completion Date: TBD <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>