



Certified: --

**E-109-23**

FILED WITH THE NASSAU COUNTY  
CLERK OF THE LEGISLATURE AUGUST 30TH, 2023  
3:27 PM

**NIFS ID: CLPW23000014**

Capital: X

Contract ID #: CFPW19000013

NIFS Entry Date: 07/24/2023

**Department: Public Works**

Service: On-Call Traffic Engineering Services-T62500-03DL-  
Amendment #1

Term: 12 months from date of execution

Contract Delayed: X

Slip Type: Amendment		
CRP:		
Time Extension: X		
Addl. Funds: X		
Blanket Resolution:		
Revenue:	Federal Aid:	State Aid:
Vendor Submitted an Unsolicited Solicitation:		

1) Mandated Program:	No
2) Comptroller Approval Form Attached:	Yes
3) CSEA Agmt. & 32 Compliance Attached:	No
4) Significant Adverse Information Identified? (if yes, attach memo):	Yes
5) Insurance Required:	Yes

Vendor/Municipality Info:	
Name: <b>LiRo Engineers, Inc.</b> DBA: <b>The LiRo Group</b>	ID#: <b>131974950</b>
Main Address: <b>3 Aerial Way</b> <b>Syosset, NY 11791</b>	
Main Contact: <b>Nancy Malicki</b> Contract Specific Contact: <b>Peter Koklanos</b>	
Main Phone: <b>(516) 214-8155</b> Contract Specific Phone: <b>(516) 636-3725</b>	

Department:
Contact Name: <b>Mike Hagan</b>
Address: <b>NCDPW</b> <b>1194 Prospect Avenue</b> <b>Westbury, NY 11590</b>
Phone: <b>(516) 571-7019</b>
Email: <b>mhagan@nassaucountyny.gov, ekobel@nassaucountyny.gov, ldionisio@nassaucountyny.gov, cpetrucci@nassaucountyny.gov</b>

## Contract Summary

**Purpose:** The purpose of the original contract was to provide "On-Call" Traffic Engineering Services to DPW's Traffic Engineering Unit. This comprised of making engineering and inspection resources needed to complete specialized traffic projects and projects with specific time constraints available to the Department. The purpose of the amendment is to extend the term limit by twelve (12) months. The purpose of the amendment is to increase the cap for this agreement by seven hundred nine thousand two hundred twenty-eight dollars (\$709,228.00), as well as extending this agreement for twelve (12) months from the date of execution. The new expiration date will be twelve months from the Amendment to the Contract for the time extension is certified by the County.

**Method of Procurement:** A formal Request for Proposals (RFP) process was conducted to procure the services.

**Procurement History:** An RFP was released on June 29, 2018 and was advertised in Newsday, NYS Contract Reporter and on the County web site. In reply to the RFP we received proposals from eight (8) firms. A team of four (4) engineers, from DPW's Traffic Engineering Unit conducted the selection process and established a technical ranking based on proposals.

**Description of General Provisions:** The selected Firms will provide traffic engineering services on an "on-call" basis. Specific work requests will be conveyed to each selected firm, who will then provide a scope of work to provide the services requested. A specific scope of work will be developed for each proposed item of work. A specific cost proposal will be developed for each item. The Firms will be reimbursed for direct labor costs based on approved wage rates and the agreed upon multiplier. The term of the Agreement is three (3) years. The maximum dollar ceiling is Two Million Five Hundred Thousand Dollars (\$2,500,00.00). The purpose is to increase the cap for this agreement by seven hundred nine thousand two hundred twenty-eight dollars (\$709,228.00), as well as extending this agreement for twelve (12) months from the date of execution. The increase in cap will allow the Traffic Engineering Unit to retain in-house staff that LiRo is providing the Traffic Engineering Unit. The new expiration date will be twelve months from when the Amendment to the Contract for the time extension is certified by the County.

**Impact on Funding / Price Analysis:** Funding for this Amendment will come from Project 62017. This Amendment will add seven hundred nine thousand two hundred twenty-eight dollars (\$709,228.00).

**Change in Contract from Prior Procurement:** Additional funds and time being requested.

**Recommendation:** Approve as Submitted

## Advisement Information

Fund	Control	Resp. Center	Object	Index Code	Sub Object	Budget Code	Line	Amount
CAP			00	PWCAPCAP	00002	PWCAPCAP 00002 62017 099	05	\$209,196.00
Project Number		62017						
Project Detail		099						
						<b>TOTAL</b>	<b>\$709,228.00</b>	
CAP			00	PWCAPCAP	00002	PWCAPCAP 00002 62017 099	04	\$500,032.00
Project Number		62017						
Project Detail		099						
						<b>TOTAL</b>	<b>\$709,228.00</b>	

Additional Info	
Blanket Encumbrance	
Transaction	109
Renewal	
% Increase	
% Decrease	

Funding Source	Amount
Revenue Contract:	
County	\$0.00
Federal	\$0.00
State	\$0.00
Capital	\$709,228.00
Other	\$0.00
<b>Total</b>	<b>\$709,228.00</b>

## Routing Slip

Department			
NIFS Entry	Loretta Dionisio	07/26/2023 02:36PM	Approved
NIFS Final Approval	Roseann D'Alleva	07/26/2023 04:19PM	Approved
Final Approval	Roseann D'Alleva	07/26/2023 04:19PM	Approved
DPW			
Capital Fund Approval	Roseann D'Alleva	08/07/2023 03:34PM	Approved
Final Approval	Roseann D'Alleva	08/07/2023 03:34PM	Approved
County Attorney			
RE & Insurance Verification	Grady Farnan	08/07/2023 04:17PM	Approved
Approval as to Form	Richard Soleymanzadeh	08/21/2023 11:39AM	Approved
NIFS Approval	Mary Nori	08/21/2023 02:25PM	Approved
Final Approval	Mary Nori	08/21/2023 02:25PM	Approved
OMB			
NIFS Approval	Nadiya Gumieniak	08/07/2023 03:41PM	Approved
NIFA Approval	Christopher Nolan	08/18/2023 03:55PM	Approved
Final Approval	Christopher Nolan	08/18/2023 03:55PM	Approved
Compliance & Vertical DCE			
Procurement Compliance Approval	Andrew Levey	08/23/2023 03:55PM	Approved
DCE Compliance Approval	Robert Cleary	08/30/2023 02:20PM	Approved
Vertical DCE Approval	Arthur Walsh	08/30/2023 03:17PM	Approved
Final Approval	Arthur Walsh	08/30/2023 03:17PM	Approved

<b>Legislative Affairs Review</b>			
Final Approval	Eleftherios Sempepos	08/30/2023 03:19PM	Approved
<b>Legislature</b>			
Final Approval			In Progress
<b>Comptroller</b>			
Claims Approval			Pending
Legal Approval			Pending
Accounting / NIFS Approval			Pending
Deputy Approval			Pending
Final Approval			Pending
<b>NIFA</b>			
NIFA Approval			Pending



RULES RESOLUTION NO. – 2023

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO EXECUTE AN AMENDMENT TO A PERSONAL SERVICES AGREEMENT BETWEEN THE COUNTY OF NASSAU, ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND LIRO ENGINEERS, INC.

WHEREAS, the County has negotiated an amendment to a personal services agreement with LiRo Engineers, Inc., to provide on-call traffic engineering services, a copy of which is on file with the Clerk of the Legislature; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature authorizes the County Executive to execute the said amendment with LiRo Engineers, Inc.

## **AMENDMENT No. 1**

This AMENDMENT (this “Amendment No. 1”), made and entered as of the date on which this Amendment is last executed by the parties hereto, by and between (i) Nassau County, a municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the “County”), acting on behalf of the Department of Public Works having its principal office at 1194 Prospect Avenue, Westbury, New York 11590 (the “Department”), and (ii) LiRo Engineers, Inc. (the “Firm”) having its principal office at 3 Aerial Way, Syosset, NY 11791.

W I T N E S S E T H:

WHEREAS, pursuant to County contract number T62500-03DLR between the County and the Firm, executed on behalf of the County on July 17, 2019 (the “Original Agreement”), allowing the Firm to perform “On Call” traffic engineering services to the Department’s Traffic Engineering Unit, which services are more fully described in the Original Agreement (the “Services”);

WHEREAS, the term of the Original Agreement was from July 17, 2019 through July 17, 2022 (the “Original Term”);

WHEREAS, the COVID-19 Pandemic work restrictions paused work that would have utilized Agreement Services, and the Department continues to be in need of the Agreement Services;

WHEREAS, the County and the Firm desire to extend the contract for 12 months.

WHEREAS, the maximum amount that the County agreed to reimburse the Firm for Services under the Original Agreement, as full compensation for the Services, was Two Million Five Hundred Thousand Dollars (\$2,500,000.00).

NOW, THEREFORE, in consideration of the premises and mutual covenants contained in this Amendment, the parties agree as follows:

Term. The Term of this amended Agreement shall be extended by 12 months from the date Amendment No. 1 is executed by the County, so that the amended term commences on July 18, 2022 and ends twelve months after this Amendment is executed by County. (Amended Term).

Payment. Section 3(a) Amount of Consideration shall be increased by Seven hundred Nine Thousand Two Hundred Twenty Eight Dollars (\$709,228.00), so that the total Maximum Amount that the County shall pay to the Firm as full consideration for Services shall not exceed Three Million Two Hundred Nine Thousand Two Hundred Twenty Eight Dollars (\$3,209,228.00) (Amended Maximum Amount). Payment for Services shall be made in accordance with the rates and term provided in the Original Agreement.

## Compliance with Law.

(a) Prohibition of Gifts. In accordance with County Executive Order 2-2018, the Contractor shall not offer, give, or agree to give anything of value to any County employee, agent, consultant, construction manager, or other person or firm representing the County (a "County Representative"), including members of a County Representative's immediate family, in connection with the performance by such County Representative of duties involving transactions with the Contractor on behalf of the County, whether such duties are related to this Agreement or any other County contract or matter. As used herein, "anything of value" shall include, but not be limited to, meals, holiday gifts, holiday baskets, gift cards, tickets to golf outings, tickets to sporting events, currency of any kind, or any other gifts, gratuities, favorable opportunities or preferences. For purposes of this subsection, an immediate family member shall include a spouse, child, parent, or sibling. The Contractor shall include the provisions of this subsection in each subcontract entered into under this Agreement.

(b) Disclosure of Conflicts of Interest. In accordance with County Executive Order 2-2018, the Contractor has disclosed as part of its response to the County's Business History Form, or other disclosure form(s), any and all instances where the Contractor employs any spouse, child, or parent of a County employee of the agency or department that contracted or procured the goods and/or services described under this Agreement. The Contractor shall have a continuing obligation, as circumstances arise, to update this disclosure throughout the term of this Agreement.

(c) Vendor Code of Ethics. By executing this Agreement, the Contractor hereby certifies and covenants that:

(i) The Contractor has been provided a copy of the Nassau County Vendor Code of Ethics issued on June 5, 2019, as may be amended from time to time (the "Vendor Code of Ethics"), and will comply with all of its provisions;

(ii) All of the Contractor's Participating Employees, as such term is defined in the Vendor Code of Ethics (the "Participating Employees"), have been provided a copy of the Vendor Code of Ethics prior to their participation in the underlying procurement;

(iii) All Participating Employees have completed the acknowledgment required by the Vendor Code of Ethics;

(iv) The Contractor will retain all of the signed Participating Employee acknowledgements for the period it is required to retain other records pertinent to performance under this Agreement;

(v) The Contractor will continue to distribute the Vendor Code of Ethics, obtain signed Participating Employee acknowledgments as new Participating Employees are added or changed during the term of this Agreement, and retain such signed


acknowledgments for the period the Contractor is required to retain other records pertinent to performance under this Agreement; and  
The Contractor has obtained the certifications required by the Vendor Code of Ethics from any subcontractors or other lower tier participants who have participated in procurements for work performed under this Agreement.

Full Force and Effect. All other conditions of the Original Agreement not expressly amended by this Amendment shall remain in full force and effect and govern the relationship of the parties for the term of the amended Agreement.

[REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]

IN WITNESS WHEREOF, the parties have executed this Amendment as of the date first above written.

FIRM

By:   
Name: Panagiotis (Peter) Koklanos  
Title: Senior Vice President  
Date: 06/09/2023

NASSAU COUNTY

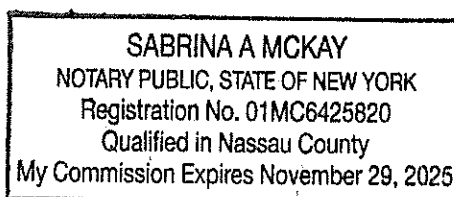
By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: Deputy County Executive  
Date: \_\_\_\_\_

PLEASE EXECUTE IN BLUE INK

STATE OF NEW YORK)  
 )ss.:  
COUNTY OF NASSAU )

On the 9th day of June in the year 2023 before me personally came Panagiotis (Peter) Koklanos to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is the Senior Vice President of LiRo Engineers, Inc., the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

*Solreina A. McKay*  
NOTARY PUBLIC



STATE OF NEW YORK)  
COUNTY OF NASSAU )ss.:  
\_\_\_\_\_

On the \_\_\_\_ day of \_\_\_\_\_ in the year 202\_\_ before me personally came \_\_\_\_\_ to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of \_\_\_\_\_; that he or she is County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

NOTARY PUBLIC



## Nassau County Interim Finance Authority

### **Contract Approval Request Form (As of January 1, 2015)**

**1. Vendor: LiRo Engineers, Inc.**

**2. Amount requiring NIFA approval: \$709,228.00**

**Amount to be encumbered: \$709,228.00**

Slip Type: Amendment

If new contract - \$ amount should be full amount of contract

If advisement - NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

**3. Contract Term: to 12 months from date of execution**

Has work or services on this contract commenced? Yes

If yes, please explain: This is to extend the term of the existing Traffic "On-Call" agreement.

**4. Funding Source:**

General Fund (GEN)		Grant Fund (GRT)
Capital Improvement Fund (CAP)	X	Other
Federal %	0	
State %	0	
County %	100	

Is the cash available for the full amount of the contract? Yes

If not, will it require a future borrowing? No

Has the County Legislature approved the borrowing? Yes

Has NIFA approved the borrowing for this contract? Yes

**5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:**

The purpose of the original contract was to provide "On-Call" Traffic Engineering Services to DPW's Traffic Engineering Unit. This comprised of making engineering and inspection resources needed to complete specialized traffic projects and projects with specific time constraints available to the Department. The purpose of the amendment is to extend the term limit by twelve (12) months.

**6. Has the item requested herein followed all proper procedures and thereby approved by the:**

Nassau County Attorney as to form Yes

Nassau County Committee and/or Legislature

**Date of approval(s) and citation to the resolution where approval for this item was provided:**

**7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:**

Contract ID	Posting Date	Amount Added in Prior 12 Months
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## AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

08/18/2023

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**Authenticated User**

**Date**

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## COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

I certify that the funds are available to be encumbered pending NIFA approval of this contract.

**If this is a capital project:**

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization.

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**Authenticated User**

**Date**

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## NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

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**Authenticated User**

**Date**

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**NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.**

**NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.**

**NIFA reserves the right to request additional information as needed.**



Elaine Phillips  
Comptroller



OFFICE OF THE COMPTROLLER  
240 Old Country Road  
Mineola, New York 11501

## COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

CONTRACTOR NAME: \_\_\_\_\_

CONTRACTOR ADDRESS: \_\_\_\_\_

FEDERAL TAX ID #: [REDACTED] \_\_\_\_\_

**Instructions:** Please check the appropriate box (“☒”) after one of the following roman numerals, and provide all the requested information.

**I. ☐ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids.** The contract was awarded after a request for sealed bids was published in \_\_\_\_\_ [newspaper] on \_\_\_\_\_ [date]. The sealed bids were publicly opened on \_\_\_\_\_ [date]. \_\_\_\_\_ [#] of sealed bids were received and opened.

**II. ☐ The contractor was selected pursuant to a Request for Proposals.**

The Contract was entered into after a written request for proposals was issued on \_\_\_\_\_ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in \_\_\_\_\_ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on \_\_\_\_\_ [date]. \_\_\_\_\_ [state #] proposals were received and evaluated. The evaluation committee consisted of: \_\_\_\_\_

\_\_\_\_\_ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

**III. ☐ This is a renewal, extension or amendment of an existing contract.**

The contract was originally executed by Nassau County on \_\_\_\_\_[date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after \_\_\_\_\_

\_\_\_\_\_[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

**IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.**

- ☐ **A.** The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ **B.** The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

**V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.**

- ☐ **A.** There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ **B.** The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ **C.** Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ **D.** Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

**VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated.** Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

**VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services.** The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

**Instructions with respect to Sections VIII, IX and X:** All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

**VIII. ☐ Participation of Minority Group Members and Women in Nassau County Contracts.** The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

**IX. ☐ Department MWBE responsibilities.** To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

**X. ☐ Vendor will not require any sub-contractors.**

**In addition, if this is a contract with an individual or with an entity that has only one or two employees:** ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41*, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

*Roseann Dalleva*

\_\_\_\_\_  
Department Head Signature

\_\_\_\_\_  
Date

**NOTE:** Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Contract File

**FROM:** Jane Houdek, Esq.  
Designated DCCO

**DATE:** May 17, 2023

**SUBJECT:** Contract No: T62500-03D  
LiRo Engineers, Inc.  
On-Call Traffic Engineering Amendment  
Responsibility Determination Memo

DPW Summary Finding

It is the Department's opinion that based on the information known to the Department and summarized in this memorandum, that the vendor is a responsible contractor for the purpose of being awarded the above-referenced contract.

NYS Standard for State and Municipal Contract Approval

Prior to the award of a contract, New York State agencies and political subdivisions, are required to make a determination of responsibility of the proposed vendor. State Finance Law §163 (9) (f); Highway Law §38; Public Building Law §8; General Municipal Law §103. General Municipal Law §103 requires the County to award contracts to a "responsible bidder" but does not define that term. However, the State Finance Law, which is the analogous law for contracting by New York State agencies, defines responsible as: "financial ability, legal capacity, integrity and past performance of a business entity and as such terms have been interpreted relative to public procurements." NY CLS St. Fin §163 (1) (c). This standard is equivalent to the standard applied to procurements pursuant to NYGML §103. *Matter of AAA Caring & Rubbish Removal, Inc. v. Town of Southeast* 17 N.Y. 3d 136 (2011)

The NY State Office of General Services employs the FLIP analysis -financial ability, legal capacity, integrity and past performance – to meet its obligation to make a responsibility determination.

Application of the FLIP test

As explained in this memorandum, the FLIP test, can be used to evaluate a vendor's responsibility.

1. Financial and Organizational Capacity

The Department has reviewed materials supplied by vendor as required by the bid documents and is satisfied that the vendor has met the financial and organizational capacity as required by the bid documents.

2. Legal Authority

Vendor is not debarred. Vendor possesses requisite licenses.

3. Integrity

Vendor possesses requisite business integrity-analysis of vendor information described in later portion of this memorandum.



May 17, 2023

SUBJECT: Contract No: T62500-03D  
LiRo Engineers, Inc.  
Responsibility Determination Memo

4. Past Performance

Based upon the vendor's prior performance on County contracts, as well as a check of vendor's reference, vendor is qualified to perform the work for the above-referenced contract.

Nassau County Procurement Policy Requirements

Similarly, the Nassau County Procurement Policy states that, "a vendor is deemed responsible to be awarded a contract when it has demonstrated that it has the integrity and capacity to perform the required services on behalf of the County." Nassau County Procurement Policy at Appendix E. Appendix E goes on to state that factors to consider in determining the responsibility of a vendor include: financial resources, organizational capacity, a satisfactory record of performance and a satisfactory record of business integrity - an analysis identical to the FLIP test.

For purposes of its consideration of responsibility, the County requires potential vendors to submit vendor disclosure forms. Those forms are used by the Department as a tool when conducting a vendor responsibility review with the intent of determining "if there exists any material adverse information impacting the vendor's capacity or integrity." Quoting Appendix E.

The following is quoted from Appendix E:

For adverse information to be considered a factor in a vendor responsibility review, the information must be found to be material. Not all adverse information may be material. Materiality is greater for more recent events than for less recent ones, and materiality is greater for events concerning services more closely related to those required by the County than services that are less so. An event is considered most material when it concerns services equivalent or similar to those required by the County, has occurred recently, occurred in close geographic proximity to the County, and involves one or more individuals or organizational departments that may be involved in the conduct of the County contract. Also relevant in this assessment is whether the event involves a relatively large or small amount of money, services or product; whether the event involves a threat to life, health, safety, or property, whether criminality or possible criminality is involved; and the quantity of adverse information. In addition, any adverse information discovered by a department, which should have been, but was not disclosed by the vendor on the appropriate disclosure form(s) may be considered material.

Examples of adverse information that may not be considered material include adverse social media posts or poor reviews of a company posted on the internet. In addition, adverse information that occurred and/or was resolved more than five years ago generally is not considered material, although once such information has been identified the department conducting the review should investigate whether any similar subsequent event(s) occurred that may be subject to further analysis or suggesting a concern regarding the vendor's corporate culture.

A finding of nonresponsibility has significant implications for the vendor, since subsequent to that determination the vendor must disclose it in future solicitation responses to public entities. Therefore, the finding must be based upon evidence of a sufficiently severe failure of capacity and/or integrity on the part of the vendor. Any adverse information which is not deemed to be sufficient for a finding of nonresponsibility must be demonstrated by the vendor to have been addressed sufficiently through

May 17, 2023

SUBJECT: Contract No: T62500-03D  
LiRo Engineers, Inc.  
Responsibility Determination Memo

implementation of corrective actions and/or plans to ensure that the adverse event(s) shall not impact or recur during the conduct of the County contract under consideration. Corrective actions that may be considered in this regard include, but are not limited to, termination of the employee(s) involved, introduction of new policies and procedures, employee trainings, reorganization of the vendor's structure, introduction of new internal or external monitors, payment of all fines, satisfactory resolution of the matter by the investigating entity, etc.

Application of the Materiality Evaluation to Determine Vendor Integrity

As described in this memorandum, the Nassau County Procurement Policy at Appendix E identifies factors that should be considered when determining if the adverse information available about a vendor rises to level of materiality (vendor responsibility determination is dependent upon material information).

Adverse Information Review

**The adverse information reported on the vendor's Business History form is not material.** Vendor reports that it was delinquent in filing commercial rent tax returns related to its lower Manhattan office space for the years 2012-2020. Vendors reports it has hired a new account and has provided proof the County that it has filed the returns and paid the taxes plus interest. The Department has concluded that the vendor is a responsible vendor for the performance of the scope of work in Contract T62500-03D.



Jane Houdek  
Attorney for DPW

JH:pl

# Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Rocco L. Trotta, PE state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

## Vendor Disclosures

*This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.*

Name of Submitting Entity: LiRo Engineers, Inc.

Vendor's Address: 3 Aerial Way Syosset NY US 11791

Vendor's EIN or TIN: [REDACTED]

Forms Submitted: \_\_\_\_\_

Political Campaign Contribution Disclosure Form: 04/17/2023 02:54:25 pm

Lobbyist Registration and Disclosure Form: 04/17/2023 02:54:43 pm

Business History Form certified: 04/17/2023 02:56:00 pm

Consultant's, Contractor's, and Vendor's Disclosure Form: 04/17/2023 02:56:44 pm

## Principal Questionnaire(s)

*This refers to the most recent principal questionnaire submissions.*

Principal Name	Lawrence H. Blond, PE [REDACTED]
Date Certified	04/17/2023 03:03:58 pm

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Principal Name	Rocco L. Trotta [REDACTED]
Date Certified	04/17/2023 02:54:09 pm

---

Principal Name	Michael Licata (Smith) [REDACTED]
Date Certified	04/17/2023 03:06:03 pm

---

Principal Name	Paul Levine [REDACTED]
Date Certified	04/17/2023 02:58:28 pm

---

Principal Name	Michael Burton, PE [REDACTED]
Date Certified	04/17/2023 03:01:43 pm

---

Principal Name	Alfed Bereche [REDACTED]
Date Certified	04/17/2023 02:59:26 pm

---

Principal Name	Robert Kreuzer [REDACTED]
Date Certified	04/18/2023 07:31:50 am

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Principal Name	Peter J. Gerbasi [REDACTED]
Date Certified	04/17/2023 03:20:21 pm

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Principal Name	Joseph Massa, CCM [REDACTED]
Date Certified	04/17/2023 03:00:15 pm

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Principal Name	Michael Bailey, PE [REDACTED]
Date Certified	04/17/2023 03:02:58 pm

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Principal Name	Panagiotis Koklanos, PE [REDACTED]
Date Certified	04/17/2023 03:07:49 pm

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I, Rocco L. Trotta, PE hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

#### CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.”

Rocco L. Trotta, PE [REDACTED]
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*Name*

Chairman, President, CEO
--------------------------

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*Title*

LiRo Engineers, Inc.
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*Name of Submitting Entity*

06/08/2023 11:11:32 am
------------------------

---

*Date*



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☒ NO ☐ If yes, to what campaign committee?

[REDACTED]

Electronically signed and certified at the date and time indicated by:

Rocco L. Trotta, PE [REDACTED]

Dated: 04/17/2023 02:54:25 pm

Vendor: LiRo Engineers, Inc.

Title: Chairman, CEO, President

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Alfred Bereche  
Date of birth:                       
Home address:                       
  
City:                      State/Province/Territory:                      Zip/Postal Code:                       
Country: US

Business Address: 3 Aerial Way  
  
City: Syosset State/Province/Territory: NY Zip/Postal Code: 11791  
Country: US  
Telephone: (516) 938-5476

Other present address(es):  
  
City:                      State/Province/Territory:                      Zip/Postal Code:                       
Country:                       
Telephone:                     

List of other addresses and telephone numbers attached

**Type** Business  
**Description**                       
**Address** 1266 E. Main Street, Soundview Plaza, Suite 700R  
  
City: Stamford State/Province/Territory: CT Zip/Postal Code: 06902  
Country: US  
Phone: (203) 992-4560

**Type** Business  
**Description**                       
**Address** 141-07 20th Avenue, Suite 503  
  
City: Whitestone State/Province/Territory: NY Zip/Postal Code: 11357  
Country: US  
Phone: (718) 445-5295

---

Type	Business		
Description			
Address	235 East Jericho Turnpike		
City	Mineola	State/Province/ Territory:	NY
Country	US	Zip/Postal Code:	11501
Phone	(516) 746-2350		

---

Type	Business		
Description			
Address	333 Thornall Street		
City	Edison	State/Province/ Territory:	NJ
Country	US	Zip/Postal Code:	08837
Phone			

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Type	Business		
Description			
Address	529 Main Street, Suite 3303		
City	Boston	State/Province/ Territory:	MA
Country	US	Zip/Postal Code:	02129
Phone	(617) 723-7100		

---

Type	Business		
Description			
Address	538 Spruce Street, Suite 506		
City	Scranton	State/Province/ Territory:	PA
Country	US	Zip/Postal Code:	18503
Phone	(570) 963-7713		

---

Type	Business		
Description			
Address	690 Delaware Avenue		
City	Buffalo	State/Province/ Territory:	NY
		Zip/Postal Code:	14209

<b>Country</b>	US
<b>Phone</b>	(716) 882-5476

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	703 Lorimer Street		
<b>City</b>	Brooklyn	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	11211
<b>Phone</b>	(718) 782-0267		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	85 Allen Street, Suite 300		
<b>City</b>	Rochester	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	14608
<b>Phone</b>	(585) 287-8833		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	One State Street Plaza, 28th Floor		
<b>City</b>	New York	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	10004
<b>Phone</b>	(212) 563-0280		

2. Positions held in submitting business and starting date of each (check all applicable)

President		Treasurer	
Chairman of Board		Shareholder	
Chief Exec. Officer		Secretary	03/23/2011
Chief Financial Officer		Partner	
Vice President	12/01/2015		
(Other)			

<b>Type</b>	Other
<b>Description</b>	Senior Vice President, General Counsel, Secretary

Start Date 10/24/2018

Type Other

Description Executive Vice President, General Counsel, Secretary

Start Date 04/01/2021

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

An officer of:

LiRo Program and Construction Management, Inc.

LiRo Constructors, Inc.

Monitor Builders, Inc.

LiRo GIS, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

Affiliated companies have numerous contracts with various Nassau County, Suffolk County, New York City and New York State agencies.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or

investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?  
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

- 11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

- 12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?  
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

- 13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.



I, Alfred Bereche , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Alfred Bereche , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

LiRo Engineers, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Alfred Bereche

Executive Vice President, General Counsel & Secretary

Title

04/17/2023 02:59:26 pm

Date

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

1. Principal Name: Joseph Massa, CCM

Date of birth: [REDACTED]

Home address: [REDACTED]

City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]

Country: US

Business Address:		3 Aerial Way	
City:	Syosset	State/Province/ Territory:	NY
Country	US	Zip/Postal Code:	11791
Telephone:	(516) 938-5476		

Other present address(es):			
City:	State/Province/ Territory:	Zip/Postal Code:	
Country:			
Telephone:			

List of other addresses and telephone numbers attached

Type	Business				
Description					
Address	1 State Street Plaza, 28th Floor				
City	New York	State/Province/ Territory:	NY	Zip/Postal Code:	10004
Country	US				
Phone	(212) 563-0280				

Type	Business			
Description				
Address	1266 E. Main Street, Soundview Plaza, Suite 700R			
City	Stamford	State/Province/ Territory:	CT	Zip/Postal Code: 06902
Country	US			
Phone	(203) 992-4560			

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Type	Business		
Description			
Address	141-07 20th Avenue, Suite 503		
City	Whitestone	State/Province/ Territory:	NY
Country	US	Zip/Postal Code:	11357
Phone	(718) 445-5295		

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Type	Business		
Description			
Address	235 East Jericho Turnpike		
City	Mineola	State/Province/ Territory:	NY
Country	US	Zip/Postal Code:	11501
Phone	(516) 746-2350		

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Type	Business		
Description			
Address	333 Thornall Street		
City	Edison	State/Province/ Territory:	NJ
Country	US	Zip/Postal Code:	08837
Phone			

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Type	Business		
Description			
Address	529 Main Street, Suite 3303		
City	Boston	State/Province/ Territory:	MA
Country	US	Zip/Postal Code:	02129
Phone	(617) 723-7100		

---

Type	Business		
Description			
Address	538 Spruce Street, Suite 506		
City	Scranton	State/Province/ Territory:	PA
		Zip/Postal Code:	18503

<b>Country</b>	US
<b>Phone</b>	(570) 963-7713

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	690 Delaware Avenue		
<b>City</b>	Buffalo	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	14209
<b>Phone</b>	(716) 882-5476		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	703 Lorimer Street		
<b>City</b>	Brooklyn	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	11211
<b>Phone</b>	(718) 782-0267		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	85 Allen Street, Suite 300		
<b>City</b>	Rochester	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	14608
<b>Phone</b>	(585) 287-8833		

2. Positions held in submitting business and starting date of each (check all applicable)

President		Treasurer	
Chairman of Board		Shareholder	
Chief Exec. Officer		Secretary	
Chief Financial Officer		Partner	
Vice President			
(Other)			

<b>Type</b>	Other
<b>Description</b>	Senior Vice President/ Chief Administrative Officer

Start Date 10/01/2018

Type Other

Description Executive Vice President, Chief Operating Officer

Start Date 10/20/2020

Type Other

Description Executive Vice President, Chief Operating Officer, Assistant Secretary

Start Date 04/27/2022

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Mr. Massa is an officer of the following companies:

LiRo GIS, Inc.

LiRo Constructors, Inc.

LiRo Program and Construction Management, Inc.

Monitor Builders, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

The affiliate companies have had numerous contracts with various Nassau County, Suffolk County, New York City and New York State agencies.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Joseph Massa, CCM , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Joseph Massa, CCM , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

LiRo Engineers, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Joseph Massa, CCM

Executive Vice President, COO, Assistant Secretary

Title

04/17/2023 03:00:15 pm

Date



## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Lawrence H. Blond, PE  
Date of birth: ■■■■■■■■■■  
Home address: ■■■■■■■■■■  
City: ■■■■■■■■■■ State/Province/Territory: ■■■■■■■■■■ Zip/Postal Code: ■■■■■■■■■■  
Country: US

Business Address: 3 Aerial Way  
City: Syosset State/Province/Territory: NY Zip/Postal Code: 11791  
Country: US  
Telephone: (516) 938-5476

Other present address(es):  
City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_  
Telephone: \_\_\_\_\_

List of other addresses and telephone numbers attached

**Type** Business  
**Description** \_\_\_\_\_  
**Address** 1 State Street Plaza, 28th Floor  
City: New York State/Province/Territory: NY Zip/Postal Code: 10004  
Country: US  
Phone: (212) 563-0280

**Type** Business  
**Description** \_\_\_\_\_  
**Address** 1266 E. Main Street, Soundview Plaza, Suite 700R  
City: Stamford State/Province/Territory: CT Zip/Postal Code: 06902  
Country: US  
Phone: (203) 992-4560

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<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	141-07 20th Avenue, Suite 503		
<b>City</b>	Whitestone	State/Province/ Territory:	NY
<b>Country</b>	US	Zip/Postal Code:	11357
<b>Phone</b>	(718) 445-5295		

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<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	235 East Jericho Turnpike		
<b>City</b>	Mineola	State/Province/ Territory:	NY
<b>Country</b>	US	Zip/Postal Code:	11501
<b>Phone</b>	(516) 746-2350		

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<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	333 Thornall Street		
<b>City</b>	Edison	State/Province/ Territory:	NJ
<b>Country</b>	US	Zip/Postal Code:	08837
<b>Phone</b>			

---

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	529 Main Street, Suite 3303		
<b>City</b>	Boston	State/Province/ Territory:	MA
<b>Country</b>	US	Zip/Postal Code:	02129
<b>Phone</b>	(617) 723-7100		

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<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	538 Spruce Street, Suite 506		
<b>City</b>	Scranton	State/Province/ Territory:	PA
		Zip/Postal Code:	18503

<b>Country</b>	US
<b>Phone</b>	(570) 963-7713

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	690 Delaware Avenue		
<b>City</b>	Buffalo	State/Province/ Territory:	NY
<b>Country</b>	US	Zip/Postal Code:	14209
<b>Phone</b>	(716) 882-5476		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	703 Lorimer Street		
<b>City</b>	Brooklyn	State/Province/ Territory:	NY
<b>Country</b>	US	Zip/Postal Code:	11211
<b>Phone</b>	(718) 782-0267		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	85 Allen Street, Suite 300		
<b>City</b>	Rochester	State/Province/ Territory:	NY
<b>Country</b>	US	Zip/Postal Code:	14608
<b>Phone</b>	(585) 287-8833		

2. Positions held in submitting business and starting date of each (check all applicable)

President		Treasurer	
Chairman of Board		Shareholder	
Chief Exec. Officer		Secretary	
Chief Financial Officer		Partner	
Vice President			
(Other)			

<b>Type</b>	Other
<b>Description</b>	Senior Vice President

Start Date 09/01/2006

Type Other

Description Executive Vice President

Start Date 01/15/2020

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Officer of:

LiRo Program and Construction Management, PE P.C.

LiRo GIS, Inc.

RLT Engineering, Geology and Land Surveying, P.C.

Monitor Builders, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

Affiliated companies have numerous contracts with various Nassau County, Suffolk County, New York City and New York State agencies.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or

investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?  
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

- 11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

- 12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?  
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

- 13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

I, Lawrence H. Blond, PE , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Lawrence H. Blond, PE , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

LiRo Engineers, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Lawrence H. Blond, PE

Executive Vice President

Title

04/17/2023 03:03:58 pm

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Michael Licata (Smith), PE  
Date of birth: [REDACTED]  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
Country: US

Business Address: 3 Aerial Way  
City: Syosset State/Province/Territory: NY Zip/Postal Code: 11791  
Country: US  
Telephone: (516) 938-5476

Other present address(es):  
City: State/Province/Territory: Zip/Postal Code:  
Country:  
Telephone:

List of other addresses and telephone numbers attached

Type Business  
Description  
Address 1266 E. Main Street, Soundview Plaza, Suite 700R  
City Stamford State/Province/Territory: CT Zip/Postal Code: 06902  
Country US  
Phone (203) 992-4560

Type Business  
Description  
Address 141-07 20th Avenue, Suite 503  
City Whitestone State/Province/Territory: NY Zip/Postal Code: 11357  
Country US  
Phone (718) 445-5295



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Type	Business		
Description			
Address	235 East Jericho Turnpike		
City	Mineola	State/Province/ Territory:	NY
Country	US	Zip/Postal Code:	11501
Phone	(516) 746-2350		

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Type	Business		
Description			
Address	333 Thornall Street		
City	Edison	State/Province/ Territory:	NJ
Country	US	Zip/Postal Code:	08837
Phone			

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Type	Business		
Description			
Address	529 Main Street, Suite 3303		
City	Boston	State/Province/ Territory:	MA
Country	US	Zip/Postal Code:	02129
Phone	(617) 723-7100		

---

Type	Business		
Description			
Address	538 Spruce Street, Suite 506		
City	Scranton	State/Province/ Territory:	PA
Country	US	Zip/Postal Code:	18503
Phone	(570) 963-7713		

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Type	Business		
Description			
Address	690 Delaware Avenue		
City	Buffalo	State/Province/ Territory:	NY
		Zip/Postal Code:	14209

<b>Country</b>	US
<b>Phone</b>	(716) 882-5476

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	703 Lorimer Street		
<b>City</b>	Brooklyn	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	11211
<b>Phone</b>	(718) 782-0267		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	85 Allen Street, Suite 300		
<b>City</b>	Rochester	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	14608
<b>Phone</b>	(585) 287-8833		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	One State Street Plaza, 28th Floor		
<b>City</b>	New York	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	10004
<b>Phone</b>	(212) 563-0280		

2. Positions held in submitting business and starting date of each (check all applicable)

President		Treasurer	
Chairman of Board		Shareholder	
Chief Exec. Officer		Secretary	
Chief Financial Officer		Partner	
Vice President	08/06/2016		
(Other)			

<b>Type</b>	Other
<b>Description</b>	Senior Vice President

Start Date 07/22/2019

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Officer at:

LiRo Corp. f/k/a DiGiorgio Associates, Inc.

DAI Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

Affiliated companies have numerous contracts with various Nassau County, Suffolk County, New York City and New York State agencies.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

--

- 12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

--

- 13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

--

I, Michael Licata (Smith), PE , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Michael Licata (Smith), PE , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

LiRo Engineers, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Michael Licata (Smith) 

Senior Vice President

Title

04/17/2023 03:06:03 pm

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Michael Bailey, PE  
Date of birth: [REDACTED]  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
Country: US

Business Address: 3 Aerial Way  
City: Syosset State/Province/Territory: NY Zip/Postal Code: 11791  
Country: US  
Telephone: (516) 938-5476

Other present address(es):  
City: State/Province/Territory: Zip/Postal Code:  
Country:  
Telephone:

List of other addresses and telephone numbers attached

Type Business  
Description  
Address 1266 E. Main Street, Soundview Plaza, Suite 700R  
City Stamford State/Province/Territory: CT Zip/Postal Code: 06902  
Country US  
Phone (203) 992-4560

Type Business  
Description  
Address 141-07 20th Avenue, Suite 503  
City Whitestone State/Province/Territory: NY Zip/Postal Code: 11357  
Country US  
Phone (718) 445-5295

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Type	Business		
Description			
Address	235 East Jericho Turnpike		
City	Mineola	State/Province/ Territory:	NY
Country	US	Zip/Postal Code:	11501
Phone	(516) 746-2350		

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Type	Business		
Description			
Address	333 Thornall Street		
City	Edison	State/Province/ Territory:	NJ
Country	US	Zip/Postal Code:	08837
Phone			

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Type	Business		
Description			
Address	529 Main Street, Suite 3303		
City	Boston	State/Province/ Territory:	MA
Country	US	Zip/Postal Code:	02129
Phone	(617) 723-7100		

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Type	Business		
Description			
Address	538 Spruce Street, Suite 506		
City	Scranton	State/Province/ Territory:	PA
Country	US	Zip/Postal Code:	18503
Phone	(570) 963-7713		

---

Type	Business		
Description			
Address	690 Delaware Avenue		
City	Buffalo	State/Province/ Territory:	NY
		Zip/Postal Code:	14209



<b>Country</b>	US
<b>Phone</b>	(716) 882-5476

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	703 Lorimer Street		
<b>City</b>	Brooklyn	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	11211
<b>Phone</b>	(718) 782-0267		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	85 Allen Street, Suite 300		
<b>City</b>	Rochester	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	14608
<b>Phone</b>	(585) 287-8833		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	One State Street Plaza, 28th Floor		
<b>City</b>	New York	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	10004
<b>Phone</b>	(212) 563-0280		

2. Positions held in submitting business and starting date of each (check all applicable)

President		Treasurer	
Chairman of Board		Shareholder	
Chief Exec. Officer		Secretary	
Chief Financial Officer		Partner	
Vice President			
(Other)			

<b>Type</b>	Other
<b>Description</b>	Senior Vice President

Start Date 10/01/2006

Type Other

Description Executive Vice President

Start Date 01/15/2020

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Officer of:

LiRo Program and Construction Management, PE P.C.

RLT Engineering, Geology and Land Surveying, P.C.

LiRo GIS, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

The affiliate companies have had numerous contracts with various Nassau County, Suffolk County, New York City and New York State agencies.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed

at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Michael Bailey, PE , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Michael Bailey, PE , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

LiRo Engineers, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Michael Bailey, PE 

Executive Vice President

Title

04/17/2023 03:02:58 pm

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Paul Levine  
Date of birth: [REDACTED]  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
Country: US

Business Address: 3 Aerial Way  
City: Syosset State/Province/Territory: NY Zip/Postal Code: 11791  
Country: US  
Telephone: 5169385476

Other present address(es):  
City: State/Province/Territory: Zip/Postal Code:  
Country:  
Telephone:

List of other addresses and telephone numbers attached

**Type** Business  
**Description**  
**Address** 1266 East Main Street  
**City** Stanford State/Province/Territory: CT Zip/Postal Code: 06902  
**Country** US  
**Phone** (203) 989-4790

**Type** Business  
**Description**  
**Address** 141-07 20th Avenue  
**City** Whitestone State/Province/Territory: NY Zip/Postal Code: 11357  
**Country** US  
**Phone** (718) 445-5295

---

Type	Business		
Description			
Address	235 East Jericho Turnpike		
City	Mineola	State/Province/ Territory:	NY
Country	US	Zip/Postal Code:	11501
Phone	(516) 746-2350		

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Type	Business		
Description			
Address	333 Thornall Street		
City	Edison	State/Province/ Territory:	NJ
Country	US	Zip/Postal Code:	08837
Phone			

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Type	Business		
Description			
Address	529 Main Street		
City	Boston	State/Province/ Territory:	MA
Country	US	Zip/Postal Code:	02129
Phone	(617) 723-7100		

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Type	Business		
Description			
Address	538 Spruce Street		
City	Scranton	State/Province/ Territory:	PA
Country	US	Zip/Postal Code:	18503
Phone	(570) 963-7713		

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Type	Business		
Description			
Address	690 Delaware Avenue		
City	Buffalo	State/Province/ Territory:	NY
		Zip/Postal Code:	14209

<b>Country</b>	US
<b>Phone</b>	(716) 882-5476

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	703 Lorimer Street		
<b>City</b>	Brooklyn	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	11211
<b>Phone</b>	(718) 782-0267		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	85 Allen Street		
<b>City</b>	Rochester	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	14608
<b>Phone</b>	(585) 287-8833		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	One State Street Plaza		
<b>City</b>	New York	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	10004
<b>Phone</b>	(212) 563-0280		

2. Positions held in submitting business and starting date of each (check all applicable)

President		Treasurer	
Chairman of Board		Shareholder	
Chief Exec. Officer		Secretary	
Chief Financial Officer	11/02/2021	Partner	
Vice President	05/03/2021		
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?



YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

An officer of:

LiRo GIS, Inc.

LiRo Program and Construction Management, Inc.

LiRo Constructors, Inc.

Monitor Builders, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

Affiliated companies have numerous contracts with various Nassau County, Suffolk County, New York City and New York State Agencies.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the

subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any

- . sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or  
. local taxes or other assessed charges, including but not limited to water and sewer charges?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Paul Levine , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Paul Levine , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

LiRo Engineers, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Paul Levine

Vice President, Chief Financial Officer

Title

04/17/2023 02:58:28 pm

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Panagiotis Koklanos, PE  
Date of birth: ██/██/██  
Home address: ██ ████████ ████████  
City: ██ █████ State/Province/Territory: ██ Zip/Postal Code: ████  
Country: US

Business Address: 3 Aerial Way  
City: Syosset State/Province/Territory: NY Zip/Postal Code: 11791  
Country: US  
Telephone: 5169385476

Other present address(es):  
City: \_\_\_\_\_ State/Province/Territory: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_  
Telephone: \_\_\_\_\_

List of other addresses and telephone numbers attached

Type	Business		
Description			
Address	1266 East Main Street		
City	Stanford	State/Province/Territory:	CT
Country	US	Zip/Postal Code:	06902
Phone	(203) 989-4790		

Type	Business		
Description			
Address	141-07 20th Avenue		
City	Whitestone	State/Province/Territory:	NY
Country	US	Zip/Postal Code:	11357
Phone	(718) 445-5296		

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Type	Business		
Description			
Address	235 East Jericho Turnpike		
City	Mineola	State/Province/ Territory:	NY
Country	US	Zip/Postal Code:	11501
Phone	(516) 746-2350		

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Type	Business		
Description			
Address	333 Thornall Street		
City	Edison	State/Province/ Territory:	NJ
Country	US	Zip/Postal Code:	08837
Phone			

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Type	Business		
Description			
Address	529 Main Street		
City	Boston	State/Province/ Territory:	MA
Country	US	Zip/Postal Code:	02129
Phone	(617) 723-7100		

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Type	Business		
Description			
Address	538 Spruce Street		
City	Scranton	State/Province/ Territory:	PA
Country	US	Zip/Postal Code:	18503
Phone	(570) 963-7713		

---

Type	Business		
Description			
Address	690 Delaware Avenue		
City	Buffalo	State/Province/ Territory:	NY
		Zip/Postal Code:	14209

<b>Country</b>	US
<b>Phone</b>	(716) 882-5476

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	703 Lorimer Street		
<b>City</b>	Brooklyn	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	11211
<b>Phone</b>	(718) 782-0267		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	85 Allen Street		
<b>City</b>	Rochester	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	14608
<b>Phone</b>	(585) 287-8833		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	One State Street Plaza		
<b>City</b>	New York	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	10004
<b>Phone</b>	(212) 563-0280		

2. Positions held in submitting business and starting date of each (check all applicable)

President		Treasurer	
Chairman of Board		Shareholder	
Chief Exec. Officer		Secretary	
Chief Financial Officer		Partner	
Vice President			
(Other)			

<b>Type</b>	Other
<b>Description</b>	Senior Vice President

Start Date 10/18/2021

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Officer of DAI, Inc.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

Affiliated companies have numerous contracts with various Nassau County, Suffolk County, New York City and New York State agencies.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.



8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Panagiotis Koklanos, PE , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Panagiotis Koklanos, PE , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

LiRo Engineers, Inc.

---

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Panagiotis Koklanos, PE [REDACTED]

---

Senior Vice President

---

Title

04/17/2023 03:07:49 pm

---

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Rocco L. Trotta, PE  
Date of birth: [REDACTED]  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
Country: US

Business Address: 3 Aerial Way  
City: Syosset State/Province/Territory: NY Zip/Postal Code: 11791  
Country: US  
Telephone: (516) 938-5476

Other present address(es):  
City: State/Province/Territory: Zip/Postal Code:  
Country:  
Telephone:

List of other addresses and telephone numbers attached

Type Business  
Description  
Address 1266 E. Main Street, Soundview Plaza, Suite 700R  
City Stamford State/Province/Territory: CT Zip/Postal Code: 06902  
Country US  
Phone (203) 992-4560

Type Business  
Description  
Address 141-07 20th Avenue, Suite 503  
City Whitestone State/Province/Territory: NY Zip/Postal Code: 11357  
Country US  
Phone (718) 445-5295

---

Type	Business		
Description			
Address	235 East Jericho Turnpike		
City	Mineola	State/Province/ Territory:	NY
Country	US	Zip/Postal Code:	11501
Phone	(516) 746-2350		

---

Type	Business		
Description			
Address	333 Thornall Street		
City	Edison	State/Province/ Territory:	NJ
Country	US	Zip/Postal Code:	08837
Phone			

---

Type	Business		
Description			
Address	529 Main Street, Suite 3303		
City	Boston	State/Province/ Territory:	MA
Country	US	Zip/Postal Code:	02129
Phone	(617) 723-7100		

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Type	Business		
Description			
Address	538 Spruce Street, Suite 506		
City	Scranton	State/Province/ Territory:	PA
Country	US	Zip/Postal Code:	18503
Phone	(570) 963-7713		

---

Type	Business		
Description			
Address	690 Delaware Avenue		
City	Buffalo	State/Province/ Territory:	NY
		Zip/Postal Code:	14209

<b>Country</b>	US
<b>Phone</b>	(716) 882-5476

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	703 Lorimer Street		
<b>City</b>	Brooklyn	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	11211
<b>Phone</b>	(718) 782-0267		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	85 Allen Street, Suite 300		
<b>City</b>	Rochester	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	14608
<b>Phone</b>	(585) 287-8833		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	One State Street Plaza, 28th Floor		
<b>City</b>	New York	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	10004
<b>Phone</b>	(212) 563-0280		

2. Positions held in submitting business and starting date of each (check all applicable)

President	10/30/2020	Treasurer	
Chairman of Board	10/20/1997	Shareholder	10/20/1997
Chief Exec. Officer	10/30/2020	Secretary	
Chief Financial Officer		Partner	
Vice President			
(Other)			

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Prior to 10/30/20, Mr. Trotta was the principal owner of the following LiRo affiliated companies:

LiRo Program and Construction Management, PE P.C.

LiRo Architects + Planners, P.C.

LiRo Architects & Engineers West, P.C.

LiRo Architects & Engineers, P.C. (CT)

LiRo Architects & Engineers, P.C. (PA)

LiRo Program and Construction Management, Inc.

LiRo Constructors, Inc.

LiRo GIS, Inc.

Monitor Builders, Inc.

RLT Engineering, Geology and Land Surveying, P.C.

DAI, Inc.

LiRo Corp. f/k/a DiGiorgio Associates, Inc.

James LaSala & Associates, LLP

Additional companies owned by Mr. Trotta are attached.

1 File(s) uploaded: Trotta Q5 Attachment.pdf

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

Affiliated companies have numerous contracts with various Nassau County, Suffolk County, New York City and New York State agencies.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the



- . subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?  
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

- 11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?  
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

- 12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?  
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

- 13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?  
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

I, Rocco L. Trotta, PE , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Rocco L. Trotta, PE , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

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LiRo Engineers, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Rocco L. Trotta 

Chairman, CEO, President

Title

04/17/2023 02:54:09 pm

Date





## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Peter J. Gerbasi, PE  
Date of birth:                       
Home address:                       
  
City:                      State/Province/Territory:                      Zip/Postal Code:                       
Country: US

Business Address: 3 Aerial Way  
  
City: Syosset State/Province/Territory: NY Zip/Postal Code: 11791  
Country: US  
Telephone: (516) 938-5476

Other present address(es):  
  
City:                      State/Province/Territory:                      Zip/Postal Code:                       
Country:                       
Telephone:                     

List of other addresses and telephone numbers attached

**Type** Business  
**Description**                       
**Address** 1266 E. Main Street, Soundview Plaza, Suite 700R  
  
City: Stamford State/Province/Territory: CT Zip/Postal Code: 06902  
Country: US  
Phone: (203) 992-4560

**Type** Business  
**Description**                       
**Address** 141-07 20th Avenue, Suite 503  
  
City: Whitestone State/Province/Territory: NY Zip/Postal Code: 11357  
Country: US  
Phone: (718) 445-5295

---

Type	Business		
Description			
Address	235 East Jericho Turnpike		
City	Mineola	State/Province/ Territory:	NY
Country	US	Zip/Postal Code:	11501
Phone	(516) 746-2350		

---

Type	Business		
Description			
Address	333 Thornall Street		
City	Edison	State/Province/ Territory:	NJ
Country	US	Zip/Postal Code:	08837
Phone			

---

Type	Business		
Description			
Address	529 Main Street, Suite 3303		
City	Boston	State/Province/ Territory:	MA
Country	US	Zip/Postal Code:	02129
Phone	(617) 723-7100		

---

Type	Business		
Description			
Address	538 Spruce Street, Suite 506		
City	Scranton	State/Province/ Territory:	PA
Country	US	Zip/Postal Code:	18503
Phone	(570) 963-7713		

---

Type	Business		
Description			
Address	690 Delaware Avenue		
City	Buffalo	State/Province/ Territory:	NY
		Zip/Postal Code:	14209

<b>Country</b>	US
<b>Phone</b>	(716) 882-5476

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	703 Lorimer Street		
<b>City</b>	Brooklyn	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	11211
<b>Phone</b>	(718) 782-0267		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	85 Allen Street, Suite 300		
<b>City</b>	Rochester	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	14608
<b>Phone</b>	(585) 287-8833		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	One State Street Plaza, 28th Floor		
<b>City</b>	New York	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	10004
<b>Phone</b>	(212) 563-0280		

2. Positions held in submitting business and starting date of each (check all applicable)

President		Treasurer	
Chairman of Board		Shareholder	
Chief Exec. Officer		Secretary	
Chief Financial Officer		Partner	
Vice President	09/24/2007		
(Other)			

<b>Type</b>	Other
<b>Description</b>	Senior Vice President

Start Date 10/18/2016

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Officer of RLT Engineering, Geology and Land Surveying, PC

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

Affiliated companies have numerous contracts with various Nassau County, Suffolk County, New York City and New York State agencies.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.



8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Peter J. Gerbasi, PE , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Peter J. Gerbasi, PE , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

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LiRo Engineers, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Peter J. Gerbasi

Senior Vice President

Title

04/17/2023 03:20:21 pm

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Robert Kreuzer  
Date of birth: [REDACTED]  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
Country: US

Business Address: 3 Aerial Way  
City: Syosset State/Province/Territory: NY Zip/Postal Code: 11791  
Country: US  
Telephone: (516) 938-5476

Other present address(es): 3 Aerial Way  
City: State/Province/Territory: Zip/Postal Code:  
Country:  
Telephone:

List of other addresses and telephone numbers attached

**Type** Business  
**Description**  
**Address** 1 State Street Plaza, 28th Floor  
**City** New York State/Province/Territory: NY Zip/Postal Code: 10004  
**Country** US  
**Phone** (212) 563-0280

**Type** Business  
**Description**  
**Address** 1266 E. Main Street, Soundview Plaza, Suite 700R  
**City** Stamford State/Province/Territory: CT Zip/Postal Code: 06902  
**Country** US  
**Phone** (203) 992-4560

---

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	141-07 20th Avenue, Suite 503		
<b>City</b>	Whitestone	State/Province/ Territory:	NY
<b>Country</b>	US	Zip/Postal Code:	11357
<b>Phone</b>	(718) 445-5295		

---

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	235 East Jericho Turnpike		
<b>City</b>	Mineola	State/Province/ Territory:	NY
<b>Country</b>	US	Zip/Postal Code:	11501
<b>Phone</b>	(516) 746-2350		

---

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	333 Thornall Street		
<b>City</b>	Edison	State/Province/ Territory:	NJ
<b>Country</b>	US	Zip/Postal Code:	08837
<b>Phone</b>			

---

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	529 Main Street, Suite 3303		
<b>City</b>	Boston	State/Province/ Territory:	MA
<b>Country</b>	US	Zip/Postal Code:	02129
<b>Phone</b>	(617) 723-7100		

---

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	538 Spruce Street, Suite 506		
<b>City</b>	Scranton	State/Province/ Territory:	PA
		Zip/Postal Code:	18503

<b>Country</b>	US
<b>Phone</b>	(570) 963-7713

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	690 Delaware Avenue		
<b>City</b>	Buffalo	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	14209
<b>Phone</b>	(716) 882-5476		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	703 Lorimer Street		
<b>City</b>	Brooklyn	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	11211
<b>Phone</b>	(718) 782-0267		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	85 Allen Street, Suite 300		
<b>City</b>	Rochester	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	14608
<b>Phone</b>	(585) 287-8833		

2. Positions held in submitting business and starting date of each (check all applicable)

President		Treasurer	
Chairman of Board		Shareholder	
Chief Exec. Officer		Secretary	
Chief Financial Officer		Partner	
Vice President	09/01/2007		
(Other)			

<b>Type</b>	Other
<b>Description</b>	Senior Vice President

Start Date 04/07/2017

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Officer of RLT Engineering, Geology and Land Surveying, PC

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

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7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

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YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

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YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.



- 12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Robert Kreuzer , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Robert Kreuzer , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

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LiRo Engineers, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Robert Kreuzer

Senior Vice President

Title

04/18/2023 07:31:50 am

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Michael Burton, PE  
Date of birth: [REDACTED]  
Home address: [REDACTED]  
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]  
Country: US

Business Address: 3 Aerial Way  
City: Syosset State/Province/Territory: NY Zip/Postal Code: 11791  
Country: US  
Telephone: (516) 938-5476

Other present address(es):  
City: State/Province/Territory: Zip/Postal Code:  
Country:  
Telephone:

List of other addresses and telephone numbers attached

**Type** Business  
**Description**  
**Address** 1266 E. Main Street, Soundview Plaza, Suite 700R  
City: Stamford State/Province/Territory: CT Zip/Postal Code: 06902  
Country: US  
Phone: (203) 992-4560

**Type** Business  
**Description**  
**Address** 141-07 20th Avenue, Suite 503  
City: Whitestone State/Province/Territory: NY Zip/Postal Code: 11357  
Country: US  
Phone: (718) 445-5295

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Type	Business		
Description			
Address	235 East Jericho Turnpike		
City	Mineola	State/Province/ Territory:	NY
Country	US	Zip/Postal Code:	11501
Phone	(516) 746-2350		

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Type	Business		
Description			
Address	333 Thornall Street		
City	Edison	State/Province/ Territory:	NJ
Country	US	Zip/Postal Code:	08837
Phone			

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Type	Business		
Description			
Address	529 Main Street, Suite 3303		
City	Boston	State/Province/ Territory:	MA
Country	US	Zip/Postal Code:	02129
Phone	(617) 723-7100		

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Type	Business		
Description			
Address	538 Spruce Street, Suite 506		
City	Scranton	State/Province/ Territory:	PA
Country	US	Zip/Postal Code:	18503
Phone	(570) 963-7713		

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Type	Business		
Description			
Address	690 Delaware Avenue		
City	Buffalo	State/Province/ Territory:	NY
		Zip/Postal Code:	14209

<b>Country</b>	US
<b>Phone</b>	(716) 882-5476

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	703 Lorimer Street		
<b>City</b>	Brooklyn	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	11211
<b>Phone</b>	(718) 782-0267		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	85 Allen Street, Suite 300		
<b>City</b>	Rochester	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	14608
<b>Phone</b>	(585) 287-8833		

<b>Type</b>	Business		
<b>Description</b>			
<b>Address</b>	One State Street Plaza, 28th Floor		
<b>City</b>	New York	<b>State/Province/Territory:</b>	NY
<b>Country</b>	US	<b>Zip/Postal Code:</b>	10004
<b>Phone</b>	(212) 563-0280		

2. Positions held in submitting business and starting date of each (check all applicable)

President		Treasurer	
Chairman of Board		Shareholder	
Chief Exec. Officer		Secretary	
Chief Financial Officer		Partner	
Vice President			
(Other)			

<b>Type</b>	Other
<b>Description</b>	Senior Vice President & National Operations Manager

Start Date 03/16/2009

Type Other

Description Executive Vice President & National Operations Manager

Start Date 01/15/2020

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Mr. Burton is an officer for the following affiliated companies:

LiRo Program and Construction Management, PE P.C.

LiRo Architects + Planners, P.C.

LiRo Architects & Engineers West, P.C.

LiRo Architects & Engineers, P.C. (CT)

LiRo Architects & Engineers, P.C. (PA)

LiRo Program and Construction Management, Inc.

LiRo Constructors, Inc.

LiRo GIS, Inc.

Monitor Builders, Inc.

DAI, Inc.

LiRo Corp f/k/a DiGiorgio Associates, Inc.

RLT Engineering, Geology and Land Surveying, P.C.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

Affiliated companies have numerous contracts with various Nassau County, Suffolk County, New York City and New York State agencies.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?  
YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)
- 9.
- a. Is there any felony charge pending against you?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
- b. Is there any misdemeanor charge pending against you?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
- c. Is there any administrative charge pending against you?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- 13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?  
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.



I, Michael Burton, PE , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Michael Burton, PE , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

LiRo Engineers, Inc.

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Michael Burton, PE [REDACTED]@ [REDACTED]. [REDACTED]

Executive Vice President

Title

04/17/2023 03:01:43 pm

Date

### **Business History Form**

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

**NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.**

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 04/14/2023

1) Proposer's Legal Name: LiRo Engineers, Inc.

2) Address of Place of Business: 3 Aerial Way

City: Syosset State/Province/  
Territory: NY Zip/Postal  
Code: 11791

Country: US

Address: 1 State Street Plaza, 28th Floor

City: New York State/Province/  
Territory: NY Zip/Postal  
Code: 10004

Country: US

Start Date: \_\_\_\_\_ End Date: \_\_\_\_\_

Address: 1266 East Main Street, Soundview Plaza, Suite 700R

City: Stamford State/Province/  
Territory: CT Zip/Postal  
Code: 06902

Country: US

Start Date: \_\_\_\_\_ End Date: \_\_\_\_\_

Address: 141-07 20th Avenue, Suite 503

City: Whitestone State/Province/  
Territory: NY Zip/Postal  
Code: 11357

Country: US

Start Date: \_\_\_\_\_ End Date: \_\_\_\_\_

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Address: 235 East Jericho Tpke

City:	<u>MLneola</u>	State/Province/ Territory:	<u>NY</u>	Zip/Postal Code:	<u>11501</u>
Country:	<u>US</u>				
Start Date:				End Date:	

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Address: 333 Thornall Street

City:	<u>Edison</u>	State/Province/ Territory:	<u>NJ</u>	Zip/Postal Code:	<u>08837</u>
Country:	<u>US</u>				
Start Date:				End Date:	

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Address: 529 Main Street, Suite 3303

City:	<u>Boston</u>	State/Province/ Territory:	<u>MA</u>	Zip/Postal Code:	<u>02129</u>
Country:	<u>US</u>				
Start Date:				End Date:	

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Address: 538 Spruce Street, Suite 506

City:	<u>Scranton</u>	State/Province/ Territory:	<u>PA</u>	Zip/Postal Code:	<u>18503</u>
Country:	<u>US</u>				
Start Date:				End Date:	

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Address: 690 Delaware Avenue

City:	<u>Buffalo</u>	State/Province/ Territory:	<u>NY</u>	Zip/Postal Code:	<u>14209</u>
Country:	<u>US</u>				
Start Date:				End Date:	

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Address: 703 Lorimer Street

City:	<u>Brooklyn</u>	State/Province/ Territory:	<u>NY</u>	Zip/Postal Code:	<u>11211</u>
Country:	<u>US</u>				
Start Date:				End Date:	

Address: 85 Allen Street, Suite 300  
City: Rochester State/Province/Territory: NY Zip/Postal Code: 14608  
Country: US  
Start Date: End Date:

3) Mailing Address (if different):

City: State/Province/Territory: Zip/Postal Code:  
Country:  
Phone:

Does the business own or rent its facilities? R If other, please provide details:

4) Dun and Bradstreet number:

5) Federal I.D. Number:

6) The proposer is a: Corporation (Describe)

7) Does this business share office space, staff, or equipment expenses with any other business?

YES [X] NO [ ] If yes, please provide details:

LiRo Engineers, Inc. shares office space, staff, and equipment expenses with its affiliates:  
LiRo Program and Construction Management, PE P.C.  
LiRo Architects + Planners, P.C.  
LiRo Architects & Engineers West, P.C.  
LiRo Architects & Engineers, P.C. (CT)  
LiRo Architects & Engineers, P.C. (PA)  
LiRo Program and Construction Management, Inc.  
LiRo Constructors, Inc.  
LiRo GIS, Inc.  
Monitor Builders, Inc.  
RLT Engineering, Geology and Land Surveying, P.C.  
DAI, Inc.  
LiRo Corp. f/k/a DiGiorgio Associates, Inc.  
James LaSala & Associates, LLP

8) Does this business control one or more other businesses?

YES [X] NO [ ] If yes, please provide details:

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

YES ☒ NO ☐ If yes, please provide details:

See attached.

1 File(s) uploaded: BH Question 9.pdf

- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?

YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).

- 11) Has the proposer, during the past seven years, been declared bankrupt?

YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets

- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☒ NO ☐ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

LiRo Engineers, Inc. (the "Company") received notice that it is delinquent in the filing of Commercial Rent Tax Returns related to its office space located in lower Manhattan for the period 2012 to present. LiRo's outside accountant is responsible for all LiRo tax filings. To remedy this filing deficiency, LiRo has engaged the services of a new accountant to prepare the delinquent returns for all requested years. LiRo has filed and paid the owed taxes from the period of 2012 to present (including interest payment) on August 3, 2020. This matter has been paid in full and is now closed. Proof of Payment is attached.

1 File(s) uploaded: Tax Payment Resolution Information.pdf

- 17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflicts exists to the best of our knowledge

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflicts exists to the best of our knowledge

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflicts exists to the best of our knowledge

- b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

We are not aware of any matter that is or may become a conflict of interest preventing LiRo from performing its services on behalf of the County. Should a perceived or actual conflict arise at a later date that may impact LiRo's ability to perform its services on this project, LiRo will fully disclose the nature of such conflict immediately to the County upon learning of it. Further, LiRo will establish a firewall, as necessary, to ensure that any such conflict of interest will not impact LiRo's ability to perform its services on the project.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

- i) Date of formation;

04/28/1925

- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

None - LiRo Engineers, Inc is 100% owned by RT Asset Holdings, LLC

- iii) Name, address and position of all officers and directors of the company. If none, explain.

See attached

1 File(s) uploaded: BH 17Aiii LE Business History OD Listing.pdf

- iv) State of incorporation (if applicable);

NY

- v) The number of employees in the firm;

545

- vi) Annual revenue of firm;

- vii) Summary of relevant accomplishments

1 File(s) uploaded: Question A vii.pdf

viii) Copies of all state and local licenses and permits.

1 File(s) uploaded: LE NYS Certificate of Authorization EXP 12.31.pdf

B. Indicate number of years in business.

97

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

The LiRo Group's staff of nearly 900 professionals includes 128 licensed Professional Engineers and 26 Registered Architects. The majority of LiRo's resources are based in New York State, making it one of the largest full service consulting firms in the metropolitan area with over 95 percent of its clientele as public agencies.

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company	Town of Hempstead Department of Engineering		
Contact Person	Brian Kunzig		
Address	Town Hall Plaza		
City	Hempstead	State/Province/Territory	NY
Country	US		
Telephone	(516) 812-3484		
Fax #			
E-Mail Address	briaKun@tohmail.com		

Company	Suffolk County DPW		
Contact Person	William Hillman		
Address	335 Yaphank Avenue		
City	Yaphank	State/Province/Territory	NY
Country	US		
Telephone	(631) 852-4002		
Fax #	(631) 852-4006		
E-Mail Address	william.hillman@suffolkcountyny.gov		

Company	Town of Oyster Bay DPW, Department of Highways		
Contact Person	Richard Lenz, Commissioner		
Address	150 Miller Place		
City	Syosset	State/Province/Territory	NY
Country	US		
Telephone	(516) 677-5935		
Fax #	(516) 677-5878		
E-Mail Address	rlenz@oysterbay-ny.gov		



I, Rocco L. Trotta, PE , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Rocco L. Trotta, PE , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: LiRo Engineers, Inc.

Electronically signed and certified at the date and time indicated by:

Rocco L. Trotta, PE [REDACTED]@ [REDACTED]. [REDACTED]

Chairman, CEO, President

Title

04/17/2023 02:56:00 pm

Date



**Malicki, Nancy**

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**From:** Vaghela, Ranjit (DOF) <VaghelaR@finance.nyc.gov>  
**Sent:** Wednesday, September 23, 2020 1:49 PM  
**To:** Mora, Monica  
**Cc:** Bereche, Alfred; Jacob, Ayad (DOF)  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

See following Period detail showing credit balance \$354.61



59 Maiden Lane 24th Fl  
New York, NY 10038-4502  
nyc.gov/finance

**Letter ID** :  
**Tax Type** : Commercial Rent Tax  
**Account ID** : XXXXXXXXXX  
**Period** : 31-May-2020

LIRO-KASSNER INC  
3 AERIAL WAY  
SYOSSET NY 11791-5501

## Period 1

Below you will find details for the period: 31-May-2020. These details are informational only and for your records.

<b>Period Activity</b>	<b>Balance</b>
Return Tax	\$41,376.39
Late File Penalty	\$1,861.94
Penalty Waiver	-\$2,068.82
Late Payment Penalty	\$206.88
Interest	\$286.62
Return Payment	-\$41,731.00
Credit Transfer Dbt	\$67.99
CRT Tax Receive	-\$67.99
CRT Tax Receive	-\$286.62
	<b>-\$354.61</b>

Carefully examine the information provided. If any discrepancies are found you can submit additional documentation along w copy of this statement to P.O. Box 5563, Binghamton, NY 13902-5563. To serve you better, please be sure to include an ema address or telephone number where we can reach you.

For further information contact us at: [eservices@finance.nyc.gov](mailto:eservices@finance.nyc.gov) or (212) 440-5300 Option 3.

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**From:** Mora, Monica [REDACTED] >  
**Sent:** Wednesday, September 23, 2020 1:40 PM  
**To:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>  
**Cc:** Bereche, Alfred [REDACTED]; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Enginers Inc EIN [REDACTED]

Thank you Ranjit for your help, is there a formal document/release that can be provided so we can forward to our clients?

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**From:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>  
**Sent:** Wednesday, September 23, 2020 1:38 PM  
**To:** Mora, Monica [REDACTED]  
**Cc:** Bereche, Alfred [REDACTED]; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Enginers Inc EIN [REDACTED]

Good afternoon Monica,

We have resolved the issue of check payment of Check# 79125 dt 8/17/2020 for \$7,831.05. Your account is updated.

Thank you for your patience.

Ranjit

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**From:** Mora, Monica [REDACTED]  
**Sent:** Monday, September 21, 2020 12:02 PM  
**To:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>  
**Cc:** Bereche, Alfred [REDACTED]; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Enginers Inc EIN [REDACTED]

Good Morning:

I am following up to see if there are any updates on the review. TY

---

**From:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>  
**Sent:** Wednesday, September 16, 2020 12:52 PM  
**To:** Mora, Monica [REDACTED]  
**Cc:** Bereche, Alfred [REDACTED]; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Enginers Inc EIN [REDACTED]

Please wait. This case is under review.

---

**From:** Mora, Monica [REDACTED]  
**Sent:** Wednesday, September 16, 2020 11:46 AM  
**To:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>  
**Cc:** Bereche, Alfred [REDACTED]; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Enginers Inc EIN [REDACTED]

Ranjit:

I spoke to our controller who handled the payment for the interest listed on the statement regarding your request and she has provided the following response:

“Capital One provides us with for cancelled checks. It has been years since banks have sent actual original checks back showing them endorsed.

What I attached is Capital One’s cancelled check report.”

“Page 1- shows our account number, name, check number, the date the check was cashed and the amount of endorsement”

“Page 2 – The front of check detail which verifies the information on page 1”

“Page 3 – The back of check which show NYC’s endorsement stamp”

---

**From:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>

**Sent:** Wednesday, September 16, 2020 10:12 AM

**To:** Bereche, Alfred [REDACTED] Mora, Monica [REDACTED] Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>

**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Good morning,

Cancelled check means check paid by bank. We required copy of back and front of check paid by Bank. We want to ascertain to whom( payees name ) money paid and the endorsement by paying bank.

Thanks,

---

**From:** Bereche, Alfred [REDACTED] >

**Sent:** Wednesday, September 16, 2020 10:07 AM

**To:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>; Mora, Monica [REDACTED]; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>

**Subject:** Re: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

The second and third page of what we sent you is the cancelled check, do you not agree?

**Alfred C. Bereche**

**Senior Vice President, General Counsel**

**and Corporate Secretary**



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3 Aerial Way| Syosset, New York| 11791

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**From:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>

**Sent:** Wednesday, September 16, 2020 10:02 AM

**To:** Mora, Monica [REDACTED]; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>  
**Cc:** Bereche, Alfred [REDACTED]  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

We sorry to say that attachment you sent is " check detail". we want copy of Back and front side of Cancelled /paid check

---

**From:** Mora, Monica [REDACTED]  
**Sent:** Wednesday, September 16, 2020 9:49 AM  
**To:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>  
**Cc:** Bereche, Alfred [REDACTED]  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Ranjit:

See attached which I previously sent both yesterday and when you initially requested the copy. The attachment contains the cover page and the front and back of check-3 pages total.

---

**From:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>  
**Sent:** Wednesday, September 16, 2020 9:42 AM  
**To:** Mora, Monica [REDACTED]; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>  
**Cc:** Bereche, Alfred [REDACTED]  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Hello,

Please keep the email chain. We have responded to your inquiry to submit front and back side of cancelled check for research. You have not provided yet.  
Secondly, you should make payment by ACH debit or electronica payment to avoid this issue. The attachment you sent do not provide detail information to research.  
Please remember we help you in past on priority level.

Ranjit

---

**From:** Mora, Monica [REDACTED]  
**Sent:** Tuesday, September 15, 2020 4:59 PM  
**To:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>  
**Cc:** Bereche, Alfred [REDACTED]  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Good afternoon:

I am following up on LiRo's request of a release demonstrating the tax issue has been fully paid and matter closed. We are having issues with another one of our client who is requesting a releases and we are facing an issue with a contract hold up due to not being able to provide said documentation. At this time since I have provided evidence that we have paid the fees listed in the notice you provided below, we respectfully request that the release be provided. Thank you for your time and assistance



59 Maiden Ln, 28th Fl  
New York, NY 10038-4502  
nyc.gov/finance

September 14, 2020

Letter ID : L1568091392  
FEIN : [REDACTED]

LIRO-KASSNER INC  
3 AERIAL WAY  
SYOSSET NY 11791-5501

## Financial Statement of Account

### Why we are contacting you:

This letter serves as notification of filing periods with credits and/or balances due.

### What you must do:

Request a refund or transfer of credit balances and pay the amount due in full or make payment arrangements online at [nyc.gov/eservices](http://nyc.gov/eservices). If you feel our records are not accurate, carefully examine your account activity below, then log on to e-Services at [nyc.gov/eservices](http://nyc.gov/eservices) and respond to this notice using the Letter ID above.

### Commercial Rent Tax - 040-100140148003

Filing Period	Tax	Penalty	Interest	Payments/Credits	Balance Due
5/31/2012	\$15,200.94	\$436.25	\$14,056.09	-\$28,609.99	\$1,083.29
5/31/2013	\$15,573.84	\$444.78	\$12,235.24	-\$27,132.00	\$1,121.86
5/31/2014	\$16,985.22	\$0.00	\$11,152.79	-\$27,452.00	\$686.01
5/31/2015	\$18,808.68	\$0.00	\$10,087.03	-\$28,193.00	\$702.71
5/31/2016	\$20,100.68	\$0.00	\$8,554.71	-\$27,930.00	\$725.39
5/31/2017	\$20,527.46	\$0.00	\$6,490.37	-\$26,332.00	\$685.83
5/31/2018	\$18,001.39	\$0.00	\$3,822.98	-\$21,317.00	\$507.37
5/31/2019	\$41,954.72	\$1,556.84	\$4,315.42	-\$45,863.00	\$1,963.98
	<b>\$167,152.93</b>	<b>\$2,437.87</b>	<b>\$70,714.63</b>	<b>-\$232,828.99</b>	<b>\$7,476.44</b>

For further information about this notice contact us at [eservices@finance.nyc.gov](mailto:eservices@finance.nyc.gov) or (212) 440-5300 - use option 3.

From: Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>

Sent: Monday, September 14, 2020 3:01 PM



**To:** Mora, Monica [REDACTED] >

**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Please send us front and backside of paid check,

---

**From:** Mora, Monica [REDACTED] >

**Sent:** Monday, September 14, 2020 1:26 PM

**To:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>

**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Please see attached cancelled check which confirms the check was received and deposited by NY DOF. TY

---

**From:** Mora, Monica

**Sent:** Monday, September 14, 2020 12:59 PM

**To:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>

**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Ranjit:

On 9/8/20 I sent you evidence that a check was sent for the below referenced statement to which you replied that the payment is not reflective in NYC Finance system. Now today you are advising again that we did not make a payment however at this point is it that the check was not received by NYC Finance instead of stating we did not pay?

---

**From:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>

**Sent:** Monday, September 14, 2020 12:53 PM

**To:** Mora, Monica [REDACTED]

**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

We sent you following tax debt previously. You have not paid so far as promised..



59 Maiden Ln, 28th Fl  
New York, NY 10038-4502  
nyc.gov/finance

September 14, 2020

Letter ID : L1568091392  
FEIN : [REDACTED]

LIRO-KASSNER INC  
3 AERIAL WAY  
SYOSSET NY 11791-5501

## Financial Statement of Account

### Why we are contacting you:

This letter serves as notification of filing periods with credits and/or balances due.

### What you must do:

Request a refund or transfer of credit balances and pay the amount due in full or make payment arrangements online at [nyc.gov/eservices](http://nyc.gov/eservices). If you feel our records are not accurate, carefully examine your account activity below, then log on to e-Services at [nyc.gov/eservices](http://nyc.gov/eservices) and respond to this notice using the Letter ID above.

### Commercial Rent Tax - 040-100140148003

Filing Period	Tax	Penalty	Interest	Payments/Credits	Balance Due
5/31/2012	\$15,200.94	\$436.25	\$14,056.09	-\$28,609.99	\$1,083.29
5/31/2013	\$15,573.84	\$444.78	\$12,235.24	-\$27,132.00	\$1,121.86
5/31/2014	\$16,985.22	\$0.00	\$11,152.79	-\$27,452.00	\$686.01
5/31/2015	\$18,808.68	\$0.00	\$10,087.03	-\$28,193.00	\$702.71
5/31/2016	\$20,100.68	\$0.00	\$8,554.71	-\$27,930.00	\$725.39
5/31/2017	\$20,527.46	\$0.00	\$6,490.37	-\$26,332.00	\$685.83
5/31/2018	\$18,001.39	\$0.00	\$3,822.98	-\$21,317.00	\$507.37
5/31/2019	\$41,954.72	\$1,556.84	\$4,315.42	-\$45,863.00	\$1,963.98
	<b>\$167,152.93</b>	<b>\$2,437.87</b>	<b>\$70,714.63</b>	<b>-\$232,828.99</b>	<b>\$7,476.44</b>

For further information about this notice contact us at [eservices@finance.nyc.gov](mailto:eservices@finance.nyc.gov) or (212) 440-5300 - use option 3.

From: Mora, Monica [REDACTED]  
Sent: Monday, September 14, 2020 12:02 PM

**To:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>

**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Good Afternoon:

I am following up on this to see if the payment is reflective so in this manner we can obtain some sort of release that we can provide another one of our clients. Please advise. TY

---

**From:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>

**Sent:** Tuesday, September 08, 2020 10:05 AM

**To:** Mora, Monica [REDACTED]

**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Good Morning Monica,  
Payment not reflected on TP account yet.

Ranjit

---

**From:** Mora, Monica [REDACTED]

**Sent:** Tuesday, September 08, 2020 9:46 AM

**To:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>

**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Good Morning Ranjit:

I was advised that the below referenced invoice was paid, please see attached payment. Let me know if this sufficient to issue a letter of satisfaction and/or a notice of release

---

**From:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>

**Sent:** Friday, September 04, 2020 11:04 AM

**To:** Mora, Monica [REDACTED]

**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Hi Monica,

We sent following tax debt statement of \$7,544.43 to pay This debt is after granting abatement of penalties per request of Bereche, Alfred, Senior vice president .We gave compliance based on assurance to pay remaining tax liability.

Please pay.

Ranjit.



59 Maiden Ln, 28th Fl  
New York, NY 10038-4502  
nyc.gov/finance

September 4, 2020

Letter ID : L1798221056  
FEIN : [REDACTED]

LIRO-KASSNER INC  
3 AERIAL WAY  
SYOSSET NY 11791-5501

## Financial Statement of Account

### Why we are contacting you:

This letter serves as notification of filing periods with credits and/or balances due.

### What you must do:

Request a refund or transfer of credit balances and pay the amount due in full or make payment arrangements online at [nyc.gov/eservices](http://nyc.gov/eservices). If you feel our records are not accurate, carefully examine your account activity below, then log on to e-Services at [nyc.gov/eservices](http://nyc.gov/eservices) and respond to this notice using the Letter ID above.

### Commercial Rent Tax - 040-100140148003

Filing Period	Tax	Penalty	Interest	Payments/Credits	Balance Due
5/31/2012	\$15,200.94	\$436.25	\$14,056.09	-\$28,542.00	\$1,151.28
5/31/2013	\$15,573.84	\$444.78	\$12,235.24	-\$27,132.00	\$1,121.86
5/31/2014	\$16,985.22	\$0.00	\$11,152.79	-\$27,452.00	\$686.01
5/31/2015	\$18,808.68	\$0.00	\$10,087.03	-\$28,193.00	\$702.71
5/31/2016	\$20,100.68	\$0.00	\$8,554.71	-\$27,930.00	\$725.39
5/31/2017	\$20,527.46	\$0.00	\$6,490.37	-\$26,332.00	\$685.83
5/31/2018	\$18,001.39	\$0.00	\$3,822.98	-\$21,317.00	\$507.37
5/31/2019	\$41,954.72	\$1,556.84	\$4,315.42	-\$45,863.00	\$1,963.98
	<b>\$167,152.93</b>	<b>\$2,437.87</b>	<b>\$70,714.63</b>	<b>-\$232,761.00</b>	<b>\$7,544.43</b>

For further information about this notice contact us at [eservices@finance.nyc.gov](mailto:eservices@finance.nyc.gov) or (212) 440-5300 - use option 3.

From: Mora, Monica [REDACTED]  
Sent: Friday, September 04, 2020 10:31 AM

**To:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>

**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Good afternoon Ranjit:

I know the below has been resolved and we are compliant with our taxes, however another of our client's performed a due diligence search and is now (not related to NYC work) requesting proof that this matter is resolved. They will not accept the cancelled check they want something formal from NYC DOF. Would you be able to provide or can you direct me to someone who can help me? TY

Monica Mora  
Senior Paralegal



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Begin forwarded message:

**From:** "Vaghela, Ranjit (DOF)" <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>

**Date:** August 11, 2020 at 3:14:00 PM EDT

**To:** "Bereche, Alfred" [REDACTED] >

**Cc:** "Jacob, Ayad (DOF)" <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>

**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Good afternoon Alfred,

Thank you for your help to resolve long pending issue.

We notice CRT and tax liability for 5/2012- 5/2020 are posted on your account generated Tax debt of \$80,771.21 per following Financial Statement of Account.

Kindly arrange to pay.

Regards,

Ranjit,



59 Maiden Ln, 28th Fl  
New York, NY 10038-4502  
nyc.gov/finance

August 11, 2020

Letter ID : L1059540224  
Tax Type : Commercial Rent Tax  
Account ID : [REDACTED]

LIRO-KASSNER INC  
3 AERIAL WAY  
SYOSSET NY 11791-5501

## Financial Statement of Account

### Why we are contacting you:

This letter serves as notification of filing periods with credits and/or balances due.

### What you must do:

Request a refund or transfer of credit balances and pay the amount due in full or make payment arrangements online at [nyc.gov/eservices](http://nyc.gov/eservices). If you feel our records are not accurate, carefully examine your account activity below, then log on to e-Services at [nyc.gov/eservices](http://nyc.gov/eservices) and respond to this notice using the Letter ID above.

### Commercial Rent Tax - 040-100140148003

Filing Period	Tax	Penalty	Interest	Payments/Credits	Balance Due
5/31/2012	\$15,200.94	\$7,656.45	\$14,056.09	-\$28,542.00	\$8,371.48
5/31/2013	\$15,573.84	\$7,842.38	\$12,235.24	-\$27,132.00	\$8,519.46
5/31/2014	\$16,985.22	\$8,549.57	\$11,152.79	-\$27,452.00	\$9,235.58
5/31/2015	\$18,808.68	\$9,473.13	\$10,087.03	-\$28,193.00	\$10,175.84
5/31/2016	\$20,100.68	\$10,123.88	\$8,554.71	-\$27,930.00	\$10,849.27
5/31/2017	\$20,527.46	\$9,145.26	\$6,490.37	-\$26,332.00	\$9,831.09
5/31/2018	\$18,001.39	\$6,939.73	\$3,822.98	-\$21,317.00	\$7,447.10
5/31/2019	\$41,954.72	\$13,933.42	\$4,315.42	-\$45,863.00	\$14,340.56
5/31/2020	\$41,376.39	\$2,068.82	\$286.62	-\$41,731.00	\$2,000.83
	<b>\$208,529.32</b>	<b>\$75,732.64</b>	<b>\$71,001.25</b>	<b>-\$274,492.00</b>	<b>\$80,771.21</b>

For further information about this notice contact us at [eservices@finance.nyc.gov](mailto:eservices@finance.nyc.gov) or (212) 440-5300 - use option 3.

From: Bereche, Alfred [REDACTED]  
Sent: Friday, August 07, 2020 2:49 PM  
To: Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>

**Cc:** Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>

**Subject:** Re: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

That's fantastic. Thank you for all your help

Sent from my iPhone

On Aug 7, 2020, at 2:45 PM, Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)> wrote:

Good afternoon Alfred,

Based on your filing confirmation for tax return 5/2016- 5/2020 and tax debt payment for 5/2012- 5/2020. We placed tax status of -Liro- Engineers Inc EIN [REDACTED] in **compliance**. The compliance status will reflect on passport tomorrow.

Thank you for your cooperation.

Ranjit

---

**From:** Bereche, Alfred [REDACTED]

**Sent:** Friday, August 07, 2020 1:42 PM

**To:** Khan, Yasmin (DDC) <[KhanY@ddc.nyc.gov](mailto:KhanY@ddc.nyc.gov)>; Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>; Mora, Monica [REDACTED]; Aliberti, Jerry (DDC) <[ALIBERTI@ddc.nyc.gov](mailto:ALIBERTI@ddc.nyc.gov)>; Samani, Afsar (DDC) <[SamaniA@ddc.nyc.gov](mailto:SamaniA@ddc.nyc.gov)>; Salta, Albert (DOF) <[SaltaA@finance.nyc.gov](mailto:SaltaA@finance.nyc.gov)>; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>; Ng, Chi Lun (Alan) (DOF) <[NgChiLun@finance.nyc.gov](mailto:NgChiLun@finance.nyc.gov)>; Parker-Cortijo, Pamela (DOF) <[Parker-CortijoP@finance.nyc.gov](mailto:Parker-CortijoP@finance.nyc.gov)>

**Cc:** Tormenta, Luis [REDACTED]; Gerbasi, Peter [REDACTED]; Caggiano, Anthony [REDACTED]; Anfossi, Andrea [REDACTED];

**Subject:** Re: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Ayad,

Please see attached, confirmation of electronic filing of returns for the periods 2015 - 2020 (last 5 years). For some reason, we are having trouble electronically filing the remaining 4 returns for the earlier years. Working diligently to resolve. Are the returns that have been filed sufficient to resolve the clearance issue today?


Please let me know and thank you again for your assistance.

Al

**Alfred C. Bereche**

**Senior Vice President, General Counsel**

**and Corporate Secretary**

 **The LiRo Group**

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**From:** Khan, Yasmin (DDC) <[KhanY@ddc.nyc.gov](mailto:KhanY@ddc.nyc.gov)>  
**Sent:** Friday, August 7, 2020 1:21 PM  
**To:** Bereche, Alfred [REDACTED]; Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>; Mora, Monica [REDACTED] <[aliberti@ddc.nyc.gov](mailto:aliberti@ddc.nyc.gov)>; Samani, Afsar (DDC) <[SamaniA@ddc.nyc.gov](mailto:SamaniA@ddc.nyc.gov)>; Salta, Albert (DOF) <[SaltaA@finance.nyc.gov](mailto:SaltaA@finance.nyc.gov)>; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>; Ng, Chi Lun (Alan) (DOF) <[NgChiLun@finance.nyc.gov](mailto:NgChiLun@finance.nyc.gov)>; Parker-Cortijo, Pamela (DOF) <[Parker-CortijoP@finance.nyc.gov](mailto:Parker-CortijoP@finance.nyc.gov)>  
**Cc:** Tormenta, Luis [REDACTED]; Gerbasi, Peter [REDACTED]  
Caggiano, Anthony [REDACTED]  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

Hi Alfred – Please let me know as soon as you get the Clearance E-mail.

Thanks!  
Yasmin  
NYC DDC  
718-391-1385

---

**From:** Bereche, Alfred [REDACTED]  
**Sent:** Friday, August 07, 2020 12:21 PM  
**To:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>; Khan, Yasmin (DDC) <[KhanY@ddc.nyc.gov](mailto:KhanY@ddc.nyc.gov)>; Mora, Monica [REDACTED]; Aliberti, Jerry (DDC) <[ALIBERTI@ddc.nyc.gov](mailto:ALIBERTI@ddc.nyc.gov)>; Samani, Afsar (DDC) <[SamaniA@ddc.nyc.gov](mailto:SamaniA@ddc.nyc.gov)>; Salta, Albert (DOF) <[SaltaA@finance.nyc.gov](mailto:SaltaA@finance.nyc.gov)>; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>; Ng, Chi Lun (Alan) (DOF) <[NgChiLun@finance.nyc.gov](mailto:NgChiLun@finance.nyc.gov)>; Parker-Cortijo, Pamela (DOF) <[Parker-CortijoP@finance.nyc.gov](mailto:Parker-CortijoP@finance.nyc.gov)>  
**Cc:** Tormenta, Luis [REDACTED]; Gerbasi, Peter [REDACTED]  
Caggiano, Anthony [REDACTED]  
**Subject:** Re: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]

I just received a call from Ayad Jacob, Supervisor of the Business tax and Vendex unit at DOF (copied above). He said that we need to electronically file the returns and he will give us Passport clearance today. We are working on the filing, so we should have clearance by the end of the day. Will keep everyone updated.




Al

**Alfred C. Bereche**

**Senior Vice President, General Counsel**

**and Corporate Secretary**

 **The LiRo Group**

Integrated Construction, Design, and Technology Solutions  
3 Aerial Way | Syosset, New York | 11791

516.938.5476 [T] | 516.408.8199 [M] | [www.liro.com](http://www.liro.com)

This e-mail and any attachments may contain confidential information that may be proprietary or privileged to a LiRo Group company. If you receive this message in error or are not the intended recipient, you should not retain, distribute, disclose or use any of this information and you should destroy the e-mail and any attachments or copies.

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**From:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>  
**Sent:** Friday, August 7, 2020 11:47 AM  
**To:** Khan, Yasmin (DDC) <[KhanY@ddc.nyc.gov](mailto:KhanY@ddc.nyc.gov)>; Mora, Monica [REDACTED];  
Bereche, Alfred [REDACTED]; [aliberti@ddc.nyc.gov](mailto:aliberti@ddc.nyc.gov) <[aliberti@ddc.nyc.gov](mailto:aliberti@ddc.nyc.gov)>;  
Samani, Afsar (DDC) <[SamaniA@ddc.nyc.gov](mailto:SamaniA@ddc.nyc.gov)>; Salta, Albert (DOF)  
<[SaltaA@finance.nyc.gov](mailto:SaltaA@finance.nyc.gov)>; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>; Ng, Chi  
Lun (Alan) (DOF) <[NgChiLun@finance.nyc.gov](mailto:NgChiLun@finance.nyc.gov)>; Parker-Cortijo, Pamela (DOF) <[Parker-CortijoP@finance.nyc.gov](mailto:Parker-CortijoP@finance.nyc.gov)>  
**Cc:** Tormenta, Luis [REDACTED]; Gerbasi, Peter [REDACTED];  
Caggiano, Anthony [REDACTED]; Jacob, Ayad (DOF)  
<[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]  
[REDACTED]

Hello Yashmin,

We are working. Transaction is in process. Give some time.

Ranjit

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**From:** Khan, Yasmin (DDC) <[KhanY@ddc.nyc.gov](mailto:KhanY@ddc.nyc.gov)>  
**Sent:** Friday, August 07, 2020 11:29 AM  
**To:** Mora, Monica [REDACTED] Vaghela, Ranjit (DOF)  
<[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>; Bereche, Alfred [REDACTED] Aliberti, Jerry  
(DDC) <[ALIBERTI@ddc.nyc.gov](mailto:ALIBERTI@ddc.nyc.gov)>; Samani, Afsar (DDC) <[SamaniA@ddc.nyc.gov](mailto:SamaniA@ddc.nyc.gov)>; Salta,  
Albert (DOF) <[SaltaA@finance.nyc.gov](mailto:SaltaA@finance.nyc.gov)>; Jacob, Ayad (DOF)  
<[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>; Ng, Chi Lun (Alan) (DOF) <[NgChiLun@finance.nyc.gov](mailto:NgChiLun@finance.nyc.gov)>;  
Parker-Cortijo, Pamela (DOF) <[Parker-CortijoP@finance.nyc.gov](mailto:Parker-CortijoP@finance.nyc.gov)>  
**Cc:** Tormenta, Luis [REDACTED]; Gerbasi, Peter [REDACTED];  
Caggiano, Anthony [REDACTED] Jacob, Ayad (DOF)  
<[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED]  
[REDACTED]

Hi Ranjitsinh /DOF – Good Morning. We need your help. Comptroller is asking how much does the Vendor owe DOF? The previous e-mail below from Liro – mentioned a total of (9) checks.

Can you please let us know the amount?

Thanks!  
Yasmin Khan  
NYC DDC  
718-391-1385

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**From:** Khan, Yasmin (DDC)  
**Sent:** Friday, August 07, 2020 11:16 AM  
**To:** Mora, Monica [REDACTED]; Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>; Bereche, Alfred [REDACTED]; Aliberti, Jerry (DDC) <[ALIBERTI@ddc.nyc.gov](mailto:ALIBERTI@ddc.nyc.gov)>; Samani, Afsar (DDC) <[SamaniA@ddc.nyc.gov](mailto:SamaniA@ddc.nyc.gov)>; Salta, Albert (DOF) <[SaltaA@finance.nyc.gov](mailto:SaltaA@finance.nyc.gov)>; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>; Ng, Chi Lun (Alan) (DOF) <[NgChiLun@finance.nyc.gov](mailto:NgChiLun@finance.nyc.gov)>; Parker-Cortijo, Pamela (DOF) <[Parker-CortijoP@finance.nyc.gov](mailto:Parker-CortijoP@finance.nyc.gov)>  
**Cc:** Tormenta, Luis [REDACTED]; Gerbasi, Peter [REDACTED]; Caggiano, Anthony [REDACTED]; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Enginers Inc EIN [REDACTED]

Hi Monica – We need your help – the comptroller is asking did all (9) checks cleared by DOF – If so, we need the amount and cleared dates next to each. Please provide asap.

Thanks!  
Yasmin  
NYC DDC  
718-391-1385

---

**From:** Mora, Monica [REDACTED]  
**Sent:** Thursday, August 06, 2020 4:18 PM  
**To:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>; Bereche, Alfred [REDACTED]; Aliberti, Jerry (DDC) <[ALIBERTI@ddc.nyc.gov](mailto:ALIBERTI@ddc.nyc.gov)>; Khan, Yasmin (DDC) <[KhanY@ddc.nyc.gov](mailto:KhanY@ddc.nyc.gov)>; Samani, Afsar (DDC) <[SamaniA@ddc.nyc.gov](mailto:SamaniA@ddc.nyc.gov)>; Salta, Albert (DOF) <[SaltaA@finance.nyc.gov](mailto:SaltaA@finance.nyc.gov)>; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>; Ng, Chi Lun (Alan) (DOF) <[NgChiLun@finance.nyc.gov](mailto:NgChiLun@finance.nyc.gov)>; Parker-Cortijo, Pamela (DOF) <[Parker-CortijoP@finance.nyc.gov](mailto:Parker-CortijoP@finance.nyc.gov)>  
**Cc:** Tormenta, Luis [REDACTED]; Gerbasi, Peter [REDACTED]; Caggiano, Anthony <[Caggianoa@liro.com](mailto:Caggianoa@liro.com)>; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Enginers Inc EIN [REDACTED]

Please see attached the 4 payments referenced below. TY

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**From:** Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>  
**Sent:** Thursday, August 6, 2020 4:06 PM  
**To:** Bereche, Alfred [REDACTED]; [aliberti@ddc.nyc.gov](mailto:aliberti@ddc.nyc.gov); Khan, Yasmin (DDC) <[KhanY@ddc.nyc.gov](mailto:KhanY@ddc.nyc.gov)>; Samani, Afsar (DDC) <[SamaniA@ddc.nyc.gov](mailto:SamaniA@ddc.nyc.gov)>; Salta, Albert (DOF) <[SaltaA@finance.nyc.gov](mailto:SaltaA@finance.nyc.gov)>; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>; Ng, Chi Lun (Alan) (DOF) <[NgChiLun@finance.nyc.gov](mailto:NgChiLun@finance.nyc.gov)>; Parker-Cortijo, Pamela (DOF) <[Parker-CortijoP@finance.nyc.gov](mailto:Parker-CortijoP@finance.nyc.gov)>  
**Cc:** Tormenta, [REDACTED]; Gerbasi, Peter [REDACTED]; Caggiano, Anthony [REDACTED]; Mora, Monica [REDACTED]; Jacob, Ayad (DOF) <[JacobAyad@Finance.Nyc.Gov](mailto:JacobAyad@Finance.Nyc.Gov)>  
**Subject:** RE: Resolution of LiRo Delinquent Tax Payments -Liro- Engineers Inc EIN [REDACTED] - [REDACTED]

Good afternoon, Alfred,  
Thank you for your inquiry.

Upon review we did not found following checks on this list.

\$45,863.- year 5/2029

\$41,731.- year 5/2020

\$26,332.- year 5/2017

\$21,317. - year 5/2018.

We have to bring to your attention that our processing Unit located at another place. We do not know if processing Unit received Tax returns and checks.

As usual, checks and tax returns are posted on tax payer's account after documents are processed. This process time is about 2-3 business weeks

We can confirm only after checks and tax returns are reflect/posted on Tax payer's account.

For your convenience, You can send your inquiry directly to Ranjit Vaghela, City tax Auditor at [vaghelar@finance.nyc.gov](mailto:vaghelar@finance.nyc.gov) who is point of contact. Please note my contact mobile number 9295128148. We will respond immediately.

**We always reply all inquiries on same day or next day.**

We are extremely sorry for not responding your phone calls as messages not reached us because we are working remotely.

Let me explain in detail We sent tax debt notification date on 7/6/2020 to Anfoss Andrea and Monica Mora Senior Paralegal, The Liro Group for delinquent CRT tax returns for period 5/2012- 5/2020.

We have sent reply to each person that tax returns and checks are not posted on tax payer's account.

We request you to wait. We will keep you inform.

Regards,

**Ranjitsinh Vaghela**  
**City Tax Auditor,**  
**NYC Finance,**  
**Collection Division, Analytic Unit**  
**59 Maiden lane, 24<sup>th</sup> Fl.,**  
**New York.**

---

**From:** Bereche, Alfred [REDACTED]  
**Sent:** Thursday, August 06, 2020 2:31 PM  
**To:** Aliberti, Jerry (DDC) <[ALIBERTI@ddc.nyc.gov](mailto:ALIBERTI@ddc.nyc.gov)>; Khan, Yasmin (DDC) <[KhanY@ddc.nyc.gov](mailto:KhanY@ddc.nyc.gov)>; Samani, Afsar (DDC) <[SamaniA@ddc.nyc.gov](mailto:SamaniA@ddc.nyc.gov)>; Salta, Albert (DOF) <[SaltaA@finance.nyc.gov](mailto:SaltaA@finance.nyc.gov)>; Vaghela, Ranjit (DOF) <[VaghelaR@finance.nyc.gov](mailto:VaghelaR@finance.nyc.gov)>  
**Cc:** Tormenta, Luis [REDACTED]; Gerbasi, Peter [REDACTED]; Caggiano, Anthony [REDACTED]; Mora, [REDACTED]  
**Subject:** Resolution of LiRo Delinquent Tax Payments

All, the purpose of this email is to provide status of this issue and related relevant information.

On July 30, 3030, LiRo Engineers Inc.,(LiRo) mailed via USPS our delinquent Commercial Rent Tax filings along with the required payments for the period 2012 to present to the NYC Department of Finance (DOF).

Numerous inquiries via Email and phone were made to the DOF to obtain acknowledgement of receipt of LiRo's tax return and payments on the dates noted below:

- 1.Voice mails were left on general mailbox at the DOF office on August 3rd through August 5th:
- 2.Email inquiry were sent via the DOF website on August 3rd through August 6th.

In addition, on July 30, 2020, LiRo notified senior staff at DDC to inform them that the tax filings and required payments had been made, as well as our efforts to contact DOF tax clearance in an attempt to promptly resolve the Pass Port non-compliance status. LiRo also contacted MOCS on August 4th in a further attempt to expedite resolution. Our request was referred to the help center at MOCS, but we have not heard from them as of today. A follow up request was sent today as well.

Furthermore, numerous emails were sent to Ranjitsinh Vaghela from DOF Tax Clearance on July 30th through August 3rd requesting assistance in obtaining acknowledgment of LiRo's taxes, payments and Tax Clearance approval on LiRo's Passport account. Via subsequent email correspondence, we were advised by Ranjitsinh that it takes two weeks for them to process both the tax filing and payment and that nothing more can be done until the processing was complete. We were advised to check back in 2 weeks with regards to DOF Tax Clearance approval on our Passport account. We were also advised that any associated penalties would be assessed by DOF at a later date. Subsequently, on August 4th, LiRo emailed Anthony Salta from DOF Tax Clearance requesting additional assistance in obtaining acknowledgment of LiRo's tax payments and Tax Clearance approval of LiRo's Passport account status as well. He has not responded to our request. **We have confirmed today, that all required tax and interest checks (9 checks in total) for all delinquent years cleared payment on August 3rd (copies of the cleared checks are attached).**

At this time, we have provided all necessary information and payments necessary to resolve this issue. Any assistance you can provide to finalize this issue with the DOF Tax Clearance department would be greatly appreciated.

Please contact me at [REDACTED] if there are any questions. Thank you

Al Bereche  
LiRo Engineers, Inc.  
Senior Vice President and General Counsel

**Question 17Aiii**

- Rocco L. Trotta, [REDACTED] Chairman, CEO, President
- Paul Levine, CPA, [REDACTED] Vice President, CFO
- Michael Burton, [REDACTED] Executive Vice President & National Operations Mgr
- Michael Bailey, [REDACTED] Executive Vice President
- Peter Gerbasi, [REDACTED] Senior Vice President
- Robert Kreuzer, [REDACTED] Senior Vice President
- Alfred C. Bereche, [REDACTED] Executive Vice President, General Counsel, Secretary
- Lawrence Blond, [REDACTED] Executive Vice President
- Michael Smith, [REDACTED] NY 11530, Senior Vice President
- Joseph Massa, [REDACTED] Executive Vice President, COO, Assistant Secretary
- Panagiotis Kolanos, [REDACTED] Senior Vice President
- B. Charles Manning, [REDACTED] Director
- John Dionisio, [REDACTED] Director

## Question A vii

### **Summary of relevant accomplishments:**

LiRo is headquartered in Syosset, NY, and continues to serve public and private sector clients ranging from villages and small companies to large state agencies. LiRo has the in-depth experience required to effectively address the requirements and concerns of this project, and is uniquely qualified to provide the expertise necessary to address the goals of this project.

LiRo has completed multiple projects that are the same or very similar to the proposed project. Below is a list of public sector clients for whom LiRo has provided similar work in the past five years.

- Nassau County Department of Public Works
- Town of Hempstead
- Town of Hempstead Department of Sanitation
- Town of Hempstead Department of Parks and Recreation
- Town of North Hempstead Department of Public Works
- Town of Oyster Bay Housing Authority
- Town of Oyster Bay Department of Public Works
- City of Long Beach Department of Public Works
- New York City Department of Transportation
- Suffolk County Department of Public Works
- New York City Police Department
- MTA – Bridges and Tunnels
- New York City Economic Development Corporation
- Westchester County Department of Public Works
- Port Authority of New York and New Jersey
- MTA – Long Island Rail Road
- New York City School Construction authority
- Dormitory Authority of the State of New York
- New York City Health & Hospitals Corporation
- New York City Housing Preservation and Development
- New York City Department of Design & Construction
- New York City Mayor's Office of Environmental Remediation
- New York State Department of Transportation
- New Jersey Turnpike Authority
- New York Public Library
- Empire State Development Corporation
- New York State Homes and Community Renewal
- New York State Thruway Authority
- MTA – New York City Transit
- New York City Housing Authority
- Rochester Housing Authority

**THE UNIVERSITY OF THE STATE OF NEW YORK  
EDUCATION DEPARTMENT**

THIS IS TO CERTIFY THAT HAVING MET THE REQUIREMENTS OF SECTION 7210 OF THE  
EDUCATION LAW AND IN ACCORDANCE THEREWITH THIS CERTIFICATE OF AUTHORIZATION  
IS GRANTED WHICH ENTITLES

**LIRO ENGINEERS INC  
MONICA MORA-LEGAL ADMIN ASST  
3 AERIAL WAY  
SYOSSET, NY 11791-0000**

TO PROVIDE PROFESSIONAL ENGINEERING SERVICES IN THE STATE OF NEW YORK FOR  
THE PERIOD 01/01/2021 TO 12/31/2023.



CERTIFICATE NUMBER  
[REDACTED]

  
BETTY ROSA  
INTERIM COMMISSIONER OF EDUCATION



COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: LiRo Engineers, Inc.

Address: 3 Aerial Way

City: Syosset State/Province/Territory: NY Zip/Postal Code: 11791

Country: US

2. Entity's Vendor Identification Number: [REDACTED]

3. Type of Business: Closely Held Corp (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

1 File(s) uploaded: CCVD Q4 Attachment.pdf

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

None - LiRo Engineers, Inc. is 100% owned by RT Asset Holdings, LLC.

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

See attached.

1 File(s) uploaded: Question 6 11.1.pdf

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are

not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES [ ] NO [X]

(a) Name, title, business address and telephone number of lobbyist(s):

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

Rocco L. Trotta, PE [REDACTED]@[REDACTED].[REDACTED]

Dated: 04/17/2023 02:56:44 pm

Title: Chairman, CEO, President

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

**Question 4**

- Rocco L. Trotta, [REDACTED] Chairman, CEO, President
- Paul Levine, CPA, [REDACTED] Vice President, CFO
- Michael Burton, [REDACTED] Executive Vice President & National Operations Mgr
- Michael Bailey, [REDACTED] Executive Vice President
- Peter Gerbasi, [REDACTED] Senior Vice President
- Robert Kreuzer, [REDACTED] Senior Vice President
- Alfred C. Bereche, [REDACTED] Executive Vice President, General Counsel, Secretary
- Lawrence Blond, [REDACTED] Executive Vice President
- Michael Smith, [REDACTED] Senior Vice President
- Joseph Massa, [REDACTED] Executive Vice President, COO , Assistant Secretary
- Panagiotis Koklanos, [REDACTED] Senior Vice President
- B. Charles Manning, [REDACTED] Director
- John Dionisio, [REDACTED] Director

## Question 6

### LiRo Affiliated Companies

LiRo Program and Construction Management, PE P.C.

LiRo Architects + Planners, P.C.

LiRo Architects & Engineers West, P.C.

LiRo Architects & Engineers, P.C. (CT)

LiRo Architects & Engineers, P.C. (PA)

LiRo Program and Construction Management, Inc.

LiRo Constructors, Inc.

LiRo GIS, Inc.

Monitor Builders, Inc.

RLT Engineering, Geology and Land Surveying, P.C.

DAI, Inc.

LiRo Corp. f/k/a DiGiorgio Associates, Inc.

James LaSala & Associates, LLP

None of the Affiliated Companies will be participating in the performance of the contract.



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

12/22/2022

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must have **ADDITIONAL INSURED** provisions or be endorsed. If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> Marsh USA, Inc. c/o Alliant Insurance Services, Inc. 333 Earle Ovington Blvd, Suite 700 Uniondale NY 11553	<b>CONTACT NAME:</b> Connor Baker <b>PHONE (A/C, No, Ext):</b> (516) 414-8900 <b>E-MAIL ADDRESS:</b> Connor.Baker@alliant.com <b>FAX (A/C, No):</b>														
<b>INSURED</b> LiRo Engineers, Inc. 3 Aerial Way Syosset NY 11791	<table><tr><th>INSURER(S) AFFORDING COVERAGE</th><th>NAIC #</th></tr><tr><td>INSURER A: Hanover Insurance Company</td><td>22292</td></tr><tr><td>INSURER B: Berkley Assurance Company</td><td>39462</td></tr><tr><td>INSURER C: Arch Insurance Company</td><td>11150</td></tr><tr><td>INSURER D: XL Insurance America, Inc.</td><td>24554</td></tr><tr><td>INSURER E:</td><td></td></tr><tr><td>INSURER F:</td><td></td></tr></table>	INSURER(S) AFFORDING COVERAGE	NAIC #	INSURER A: Hanover Insurance Company	22292	INSURER B: Berkley Assurance Company	39462	INSURER C: Arch Insurance Company	11150	INSURER D: XL Insurance America, Inc.	24554	INSURER E:		INSURER F:	
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INSURER E:															
INSURER F:															

**COVERAGES****CERTIFICATE NUMBER:** 486765951**REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
C	<input checked="" type="checkbox"/> <b>COMMERCIAL GENERAL LIABILITY</b> <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Contractual Liab GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:	Y	Y	11PKG8914314	1/1/2023	1/1/2024	EACH OCCURRENCE \$5,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$300,000 MED EXP (Any one person) \$10,000 PERSONAL & ADV INJURY \$5,000,000 GENERAL AGGREGATE \$10,000,000 PRODUCTS - COMP/OP AGG \$10,000,000 \$
C	<input checked="" type="checkbox"/> <b>AUTOMOBILE LIABILITY</b> <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY	Y	Y	11PKG8914314	1/1/2023	1/1/2024	COMBINED SINGLE LIMIT (Ea accident) \$2,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
D	<input type="checkbox"/> <b>UMBRELLA LIAB</b> <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$	Y	Y	US00064696LI23A	1/1/2023	1/1/2024	EACH OCCURRENCE \$10,000,000 AGGREGATE \$10,000,000 \$
C	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/>	Y N/A	14WCI8925114	1/1/2023	1/1/2024	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$1,000,000 E.L. DISEASE - EA EMPLOYEE \$1,000,000 E.L. DISEASE - POLICY LIMIT \$1,000,000
A B	Valuable Papers Pollution/Professional Liability			RHY-H360453-04 PCAB-5021118-0123	1/1/2023 1/1/2023	1/1/2024 1/1/2024	Limit Each Claim / Agg. \$5,000,000 \$10,000,000

**DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)**

Re: NCPW - Traffic Engineering On-Call Services / Contract PW-T6250003D

Nassau County Department of Public Works is included as Additional Insured as respects Liability arising out of work performed by the Named Insured as required by written contract.

**CERTIFICATE HOLDER****CANCELLATION** 30 Days Notice of CancellationNassau County Department of Public Works  
1194 Prospect Avenue  
Westbury, NY 11590

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.**

## **PRIMARY AND NONCONTRIBUTORY – OTHER INSURANCE CONDITION**

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART  
PRODUCTS/COMPLETED OPERATIONS LIABILITY COVERAGE PART

The following is added to the **Other Insurance** Condition and supersedes any provision to the contrary:

### **Primary And Noncontributory Insurance**

This insurance is primary to and will not seek contribution from any other insurance available to an additional insured under your policy provided that:

- (1) The additional insured is a Named Insured under such other insurance; and

- (2) You have agreed in writing in a contract or agreement that this insurance would be primary and would not seek contribution from any other insurance available to the additional insured.

**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.**

## **ADDITIONAL INSURED – OWNERS, LESSEES OR CONTRACTORS – SCHEDULED PERSON OR ORGANIZATION**

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

### **SCHEDULE**

<b>Name Of Additional Insured Person(s) Or Organization(s)</b>	<b>Location(s) Of Covered Operations</b>
<b>All parties where required by a written contract.</b>	<b>As required by written contract.</b>
Information required to complete this Schedule, if not shown above, will be shown in the Declarations.	

**A. Section II – Who Is An Insured** is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury", "property damage" or "personal and advertising injury" caused, in whole or in part, by:

1. Your acts or omissions; or
2. The acts or omissions of those acting on your behalf;

in the performance of your ongoing operations for the additional insured(s) at the location(s) designated above.

However:

1. The insurance afforded to such additional insured only applies to the extent permitted by law; and
2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

**B.** With respect to the insurance afforded to these additional insureds, the following additional exclusions apply:

This insurance does not apply to "bodily injury" or "property damage" occurring after:

1. All work, including materials, parts or equipment furnished in connection with such work, on the project (other than service, maintenance or repairs) to be performed by or on behalf of the additional insured(s) at the location of the covered operations has been completed; or
2. That portion of "your work" out of which the injury or damage arises has been put to its intended use by any person or organization other than another contractor or subcontractor engaged in performing operations for a principal as a part of the same project.

**C.** With respect to the insurance afforded to these additional insureds, the following is added to **Section III – Limits Of Insurance:**

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

1. Required by the contract or agreement; or



2. Available under the applicable Limits of Insurance shown in the Declarations; whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.

**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.**

**ADDITIONAL INSURED – STATE OR GOVERNMENTAL  
AGENCY OR SUBDIVISION OR POLITICAL  
SUBDIVISION – PERMITS OR AUTHORIZATIONS**

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

**SCHEDULE**

**State Or Governmental Agency Or Subdivision Or Political Subdivision:**

All parties where required by written contract.

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

**A. Section II – Who Is An Insured** is amended to include as an additional insured any state or governmental agency or subdivision or political subdivision shown in the Schedule, subject to the following provisions:

1. This insurance applies only with respect to operations performed by you or on your behalf for which the state or governmental agency or subdivision or political subdivision has issued a permit or authorization.

However:

- a. The insurance afforded to such additional insured only applies to the extent permitted by law; and
- b. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

2. This insurance does not apply to:

- a. "Bodily injury", "property damage" or "personal and advertising injury" arising out of operations performed for the federal government, state or municipality; or
- b. "Bodily injury" or "property damage" included within the "products-completed operations hazard".

- B. With respect to the insurance afforded to these additional insureds, the following is added to Section III – Limits Of Insurance:**

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

1. Required by the contract or agreement; or
  2. Available under the applicable Limits of Insurance shown in the Declarations;
- whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.

**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.**

## **ADDITIONAL INSURED – OWNERS, LESSEES OR CONTRACTORS – COMPLETED OPERATIONS**

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART  
PRODUCTS/COMPLETED OPERATIONS LIABILITY COVERAGE PART

### **SCHEDULE**

<b>Name Of Additional Insured Person(s) Or Organization(s)</b>	<b>Location And Description Of Completed Operations</b>
All parties where required by a written contract.	As required by written contract.

**A. Section II – Who Is An Insured** is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury" or "property damage" caused, in whole or in part, by "your work" at the location designated and described in the Schedule of this endorsement performed for that additional insured and included in the "products-completed operations hazard".

However:

1. The insurance afforded to such additional insured only applies to the extent permitted by law; and
2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

**B.** With respect to the insurance afforded to these additional insureds, the following is added to **Section III – Limits Of Insurance:**

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

1. Required by the contract or agreement; or
  2. Available under the applicable Limits of Insurance shown in the Declarations;
- whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.

## WAIVER OF TRANSFER OF RIGHTS OF RECOVERY AGAINST OTHERS TO US

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART  
PRODUCTS/COMPLETED OPERATIONS LIABILITY COVERAGE PART

### SCHEDULE

**Name Of Person Or Organization:**

Any person or organization where waiver of our right to recover is permitted by law and is required by written contract provided such contract was executed prior to the loss.

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

The following is added to Paragraph 8. **Transfer Of Rights Of Recovery Against Others To Us** of Section IV – Conditions:

We waive any right of recovery we may have against the person or organization shown in the Schedule above because of payments we make for injury or damage arising out of your ongoing operations or "your work" done under a contract with that person or organization and included in the "products-completed operations hazard". This waiver applies only to the person or organization shown in the Schedule above.

**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.**

## **DESIGNATED CONSTRUCTION PROJECT(S) GENERAL AGGREGATE LIMIT**

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

### **SCHEDULE**

**Designated Construction Project(s):**

Applies to all construction projects of the insured unless otherwise excluded.

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

- A.** For all sums which the insured becomes legally obligated to pay as damages caused by "occurrences" under Section **I** – Coverage **A**, and for all medical expenses caused by accidents under Section **I** – Coverage **C**, which can be attributed only to ongoing operations at a single designated construction project shown in the Schedule above:
1. A separate Designated Construction Project General Aggregate Limit applies to each designated construction project, and that limit is equal to the amount of the General Aggregate Limit shown in the Declarations.
  2. The Designated Construction Project General Aggregate Limit is the most we will pay for the sum of all damages under Coverage **A**, except damages because of "bodily injury" or "property damage" included in the "products-completed operations hazard", and for medical expenses under Coverage **C** regardless of the number of:
    - a. Insureds;
    - b. Claims made or "suits" brought; or
    - c. Persons or organizations making claims or bringing "suits".
  3. Any payments made under Coverage **A** for damages or under Coverage **C** for medical expenses shall reduce the Designated Construction Project General Aggregate Limit for that designated construction project. Such payments shall not reduce the General Aggregate Limit shown in the Declarations nor shall they reduce any other Designated Construction Project General Aggregate Limit for any other designated construction project shown in the Schedule above.
  4. The limits shown in the Declarations for Each Occurrence, Damage To Premises Rented To You and Medical Expense continue to apply. However, instead of being subject to the General Aggregate Limit shown in the Declarations, such limits will be subject to the applicable Designated Construction Project General Aggregate Limit.

- B.** For all sums which the insured becomes legally obligated to pay as damages caused by "occurrences" under Section I – Coverage **A**, and for all medical expenses caused by accidents under Section I – Coverage **C**, which cannot be attributed only to ongoing operations at a single designated construction project shown in the Schedule above:
1. Any payments made under Coverage **A** for damages or under Coverage **C** for medical expenses shall reduce the amount available under the General Aggregate Limit or the Products-completed Operations Aggregate Limit, whichever is applicable; and
  2. Such payments shall not reduce any Designated Construction Project General Aggregate Limit.
- C.** When coverage for liability arising out of the "products-completed operations hazard" is provided, any payments for damages because of "bodily injury" or "property damage" included in the "products-completed operations hazard" will reduce the Products-completed Operations Aggregate Limit, and not reduce the General Aggregate Limit nor the Designated Construction Project General Aggregate Limit.
- D.** If the applicable designated construction project has been abandoned, delayed, or abandoned and then restarted, or if the authorized contracting parties deviate from plans, blueprints, designs, specifications or timetables, the project will still be deemed to be the same construction project.
- E.** The provisions of Section III – Limits Of Insurance not otherwise modified by this endorsement shall continue to apply as stipulated.

**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.**

**NOTICE OF CANCELLATION – CERTIFICATE HOLDERS  
(SPECIFIED DAYS)**

The person(s) or organization(s) listed or described in the Schedule below have requested that they receive written notice of cancellation when this policy is cancelled by us. We will mail or deliver to the Person(s) or Organization(s) listed or described in the Schedule a copy of the written notice of cancellation that we sent to you. If possible, such copies of the notice will be mailed at least 30 days, except for cancellation for non-payment of premium which will be mailed 10 days, prior to the effective date of the cancellation, to the address or addresses of certificate holders as provided by your broker or agent.

**Schedule**

Person(s) or Organization(s) including mailing address:

All certificate holders where written notice of the cancellation of this policy is required by written contract, permit or agreement with the Named Insured and whose names and addresses will be provided by the broker or agent listed in the Declarations Page of this policy for the purposes of complying with such request.

This notification of cancellation of the policy is intended as a courtesy only. Our failure to provide such notification to the person(s) or organization(s) shown in the Schedule will not extend any policy cancellation date nor impact or negate any cancellation of the policy. This endorsement does not entitle the person(s) or organization(s) listed or described in the Schedule above to any benefit, rights or protection under this policy.

Any provision of this endorsement that is in conflict with a statute or rule is hereby amended to conform to that statute or rule.

All other terms and conditions of this policy remain unchanged.

Endorsement Number:

Policy Number: 11PKG8914314

Named Insured: GLOBAL INFRASTRUCTURE SOLUTIONS INC.

This endorsement is effective on the inception date of this Policy unless otherwise stated herein:

Endorsement Effective Date: 1/1/2023

**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Office of the County Executive  
Att: Arthur T. Walsh, Chief Deputy County Executive

**FROM:** Department of Public Works

**DATE:** May 31, 2023

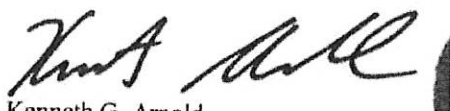
**SUBJECT:** Approval of Time Extension  
Nassau County "On-Call" Traffic Engineering Services  
Encumbrance No. CFPW19000013  
RFP# PW-T62500-03D

This Department procured a contract to LiRo Engineers, Inc. (LiRo) to provide "On-Call" Traffic Engineering Services (CFPW19000013) for our Traffic Engineering Unit. The services typically provided under an "On-Call" Services agreement include, but are not limited to general traffic engineering, inspection, drafting, data collection, traffic signal designs, pavement marking designs, capacity analyses, traffic signal warrant studies, preparation and/or review of traffic impact studies, design of traffic calming measures, providing in-house staffing and asset management system development.

This agreement was signed on July 17, 2019, for a duration of thirty-six (36) months for an amount of \$2,500,000.00. The **COVID-19** pandemic prevented the Traffic Engineering Unit from releasing any task orders that included the study of traffic volumes for the first twelve (12) months of the Agreement. This delay had a detrimental effect on the release of task orders for the remainder of the Agreement.

This Department is requesting to increase the cap for this agreement by seven hundred nine thousand two hundred twenty-eight dollars (\$709,228.00), as well as extending this agreement for twelve (12) months from the date of execution. The increase in cap will allow the Traffic Engineering Unit to retain in-house staff that LiRo is providing the Traffic Engineering Unit. The new expiration date will be twelve months from when the Amendment to the Contract for the time extension is certified by the County.

If you approve or disapprove this request, please signify below, and return the memo to this office for appropriate action.



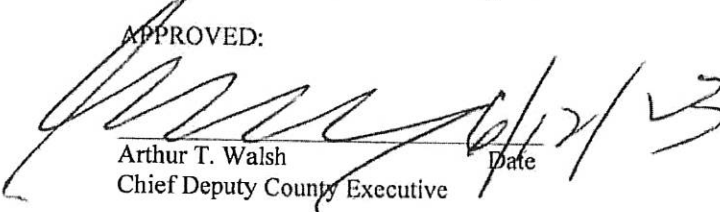
Kenneth G. Arnold  
Commissioner

KGA:JGP:HTL:jd

c: Roseann D'Alleva, Deputy Commissioner  
Joseph G. Pecora, Deputy Commissioner  
Loretta Dionisio, Assistant to Deputy Commissioner  
Harold T. Lutz, Director of Traffic Engineering  
Daniel Winkelman, Assistant Director of Traffic Engineering  
Michael Hagan, Traffic Engineer III

APPROVED:

DISAPPROVED:



Arthur T. Walsh  
Chief Deputy County Executive

Date

Arthur T. Walsh  
Chief Deputy County Executive

Date





**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Office of the County Executive  
Att: Arthur T. Walsh, Chief Deputy County Executive

**FROM:** Department of Public Works

**DATE:** May 8, 2023

**SUBJECT:** Recommendation to Amend Retroactively Professional Services Agreement with LiRo Engineers, Inc. Nassau County "On-Call" Traffic Engineering Services  
Encumbrance No. CFPW19000013  
RFP# PW-T62500-03DLR

**Introduction and Statement of Need:**

This Department procured a contract to LiRo Engineers, Inc. (LiRo) to provide "On-Call" Traffic Engineering Services (CFPW19000013) for our Traffic Engineering Unit. The services typically provided under an "On-Call" Services agreement include, but are not limited to general traffic engineering, inspection, drafting, data collection, traffic signal designs, pavement marking designs, capacity analyses, traffic signal warrant studies, preparation and/or review of traffic impact studies, design of traffic calming measures, providing in-house staffing and asset management system development. This agreement was signed on July 17, 2019, for a duration of thirty-six (36) months for an amount of \$2,500,000.00. The COVID-19 pandemic prevented the Traffic Engineering Unit from releasing any task orders that included the study of traffic volumes for the first twelve (12) months of the Agreement. This delay had a detrimental effect on the release of task orders for the remainder of the Agreement.

**Technical Necessity and Status of this Agreement:**

This Consultant agreement was signed on July 17, 2019, for a duration of thirty-six (36) months. At the current time, the Traffic Engineering Unit is requesting an additional seven hundred nine thousand two hundred twenty-eight dollars (\$709,228.00) be added to the cap for LiRo. The increase in cap will allow the Traffic Engineering Unit to retain in-house staff that LiRo is providing (\$709,228.00). The Traffic Engineering Unit currently has a backlog of work and extending the existing "On-Call" agreements will enable them to issue work orders to address these needs.

**The Contract Requirements and the History of this Amendment:**

LiRo's Agreement, T62500-03DLR was signed on July 17, 2019, for a duration of thirty-six (36) months with a cap amount of \$2,500,000.00.

This amendment will enable the Traffic Engineering Unit to issue task orders to address the backlog of work while the new "On-Call" Traffic Engineering Services agreements are awarded and approved. The backlog of work includes requests from constituents and elected officials to address traffic safety issues.

**Reasons why the Amendment was not achieved before Expiration:**

The Traffic Engineering Unit has been working on awarding new "On-Call" Traffic Engineering Services agreements to ten (10) firms. The process to award these agreements has taken longer than anticipated. The process to award these agreements has taken longer than anticipated. When it was realized, the existing "On-Call" agreement had expired.



Office of the County Executive

Att: Arthur T. Walsh, Chief Deputy County Executive

May 8, 2023

Page 2.

SUBJECT: Recommendation to Amend Retroactively Professional Services Agreement with  
LiRo Engineers, Inc. Nassau County "On-Call" Traffic Engineering Services  
Encumbrance No. CFPW19000013  
RFP# PW-T62500-03DLR

**Conclusion and Recommendations:**

The Department would like to extend this agreement for an additional twelve (12) months from the certification date. The new expiration date will be from when the Amendment to the Contract for the time extension is certified by the County. The Traffic Engineering Unit is requesting an additional seven hundred nine thousand two hundred twenty-eight dollars (\$709,228.00) be added to the cap for LiRo. The increase in cap will allow the Traffic Engineering Unit to retain in-house staff that LiRo is providing (\$709,228.00)

If you approve or disapprove this request, please signify below, and return the memo to this office for appropriate action.

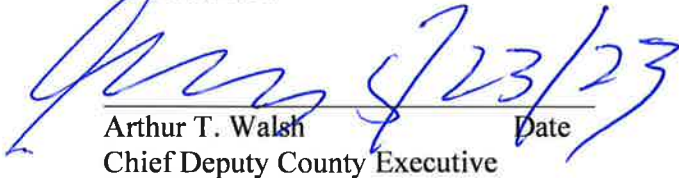


Kenneth G. Arnold  
Commissioner

KGA:JGP:HTL:jd

c: Joseph G. Pecora, Deputy Commissioner  
Roseann D'Alleva, Deputy Commissioner  
Concetta Petrucci, Assistant to Commissioner  
Loretta Dionisio, Assistant to Deputy Commissioner  
Harold T. Lutz, Director of Traffic Engineering  
Daniel Winkelman, Assistant Director of Traffic Engineering  
Michael Hagan, Traffic Engineer III  
Elizabeth Kobel, Administrative Officer II

APPROVED:



Arthur T. Walsh  
Chief Deputy County Executive

DISAPPROVED:

\_\_\_\_\_  
Arthur T. Walsh  
Chief Deputy County Executive

\_\_\_\_\_  
Date

**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Office of the County Executive  
Att: Arthur T. Walsh, Chief Deputy County Executive

**FROM:** Department of Public Works

**DATE:** June 7, 2023

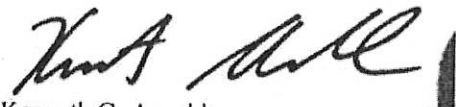
**SUBJECT:** Approval of Additional Task Order Work  
Assignment of Traffic Engineers, Traffic Technicians and Traffic Signal Inspectors

This Department awarded a task order to LiRo Engineers, Inc. (LiRo) to provide a consultant employee to assist the Department in various traffic related investigations under their On Call Agreement (CFPW19000013). These investigations include inspecting issues with traffic signals.

Due to the existing workload of the Traffic Engineering Unit, the Department would like to add additional funds to the existing task order. The Department is requesting an additional \$500,032.00. These funds will provide the services of two consultant employees for an additional year.

The employees that we are utilizing, Eddie Bergman and Rip Karydas, have a long history working on similar projects while as consultants for Nassau County in this area. Their experience has been extremely beneficial to the Traffic Engineering Unit. With these additional funds, the Traffic Engineering Unit will be able to complete more of the outstanding safety complaints and other requests received from the County's constituents.

If you approve or disapprove this request, please signify below, and return the memo to this office for appropriate action.

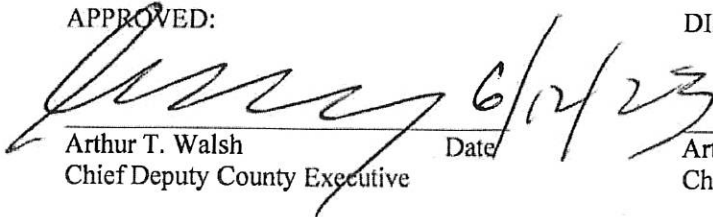


Kenneth G. Arnold  
Commissioner

KGA:JGP:HTL:jd

c: Joseph G. Pecora, Deputy Commissioner  
Harold T. Lutz, Director of Traffic Engineering  
Daniel Winkelman, Assistant Director of Traffic Engineering  
Michael Hagan, Traffic Engineer III

APPROVED:

  
Arthur T. Walsh  
Chief Deputy County Executive

Date

DISAPPROVED:

Arthur T. Walsh  
Chief Deputy County Executive

Date



**COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
Inter-Departmental Memo**

**TO:** Office of the County Executive  
Att: Arthur T. Walsh, Chief Deputy County Executive

**FROM:** Department of Public Works

**DATE:** June 7, 2023

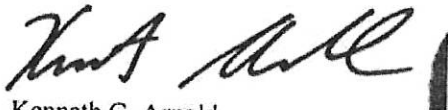
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Assignment of Traffic Engineers, Traffic Technicians and Traffic Signal Inspectors

This Department awarded a task order to LiRo Engineers, Inc. (LiRo) to provide a consultant employee to assist the Department in various traffic related investigations under their On Call Agreement (CFPW19000013). These investigations include safety complaints, pavement markings, signs and traffic signals.

Due to the existing workload of the Traffic Engineering Unit, the Department would like to add additional funds to the existing task order. The Department is requesting an additional \$209,196.00. These funds will provide the services of the consultant employee for an additional year.

The employee that we are utilizing, Gary Sauer, has a long history working on similar projects while he was a supervisor for the Town of Hempstead in this area and during the past few years working at the County. His experience has been extremely beneficial to the Traffic Engineering Unit. With these additional funds, the Traffic Engineering Unit will be able to complete more of the outstanding safety complaints and other requests received from the County's constituents.

If you approve or disapprove this request, please signify below, and return the memo to this office for appropriate action.



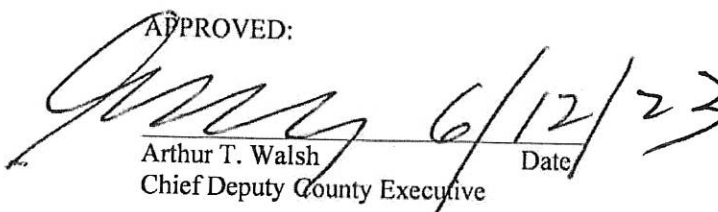
Kenneth G. Arnold  
Commissioner

KGA:JGP:HTL:jd

c: Joseph G. Pecora, Deputy Commissioner  
Harold T. Lutz, Director of Traffic Engineering  
Daniel Winkelman, Assistant Director of Traffic Engineering  
Michael Hagan, Traffic Engineer III

APPROVED:

DISAPPROVED:



Arthur T. Walsh  
Chief Deputy County Executive

Date

Arthur T. Walsh  
Chief Deputy County Executive

Date



## REQUEST TO INITIATE

RTI Number 23-0164

## REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC  
☐ RFQ ☒ RFP ☐ RFBC ☐ In-House or Requirements Work Order

Project Title: Assignment of Traffic Technician, Mid-Level Traffic Engineers and Mid to Senior Level Traffic Signal InspectorsDepartment: Public Works Project Manager: Harold Lutz Date: 6/2/23Service Requested: The Department of Public Works is requesting authorization to provide staff support to the Traffic Engineering Unit. Staff would include technicians, traffic engineers and traffic signal inspectors.Justification: This project will enable the Traffic Engineering Unit and Traffic Signal Construction Unit to meet the necessary needs that are required to complete their workload.Requested by: Harold Lutz Department/Agency/OfficeProject Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$500,032.00  
Circle appropriate phaseTotal Project Cost: \$500,032.00  
Includes, design, construction and CMDate Start Work: 12/1/23  
Phase being requestedDuration: 12 months  
Phase being requestedCapital Funding Approval: YES ☐ NO ☐ Roseann Dallewa 06-08-23  
SIGNATURE DATEFunding Allocation (Capital Project):  
See Attached Sheet if multiyear ☐NIFS Entered: 62017-099 6/14/23  
SIGNATURE DATEAIM Entered: Roseann Dallewa 6/14/23  
SIGNATURE DATEFunding Code: 62017-099  
use this on all encumbrancesTimesheet Code: 23-0164  
use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐  
Supplemental Environmental DocumentationDepartment Head Approval: YES ☒ NO ☐ [Signature]  
SIGNATUREDCE/Ops Approval: YES ☒ NO ☐ [Signature]  
SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval:  
Version January 2014

YES NO

Signature \_\_\_\_\_



## REQUEST TO INITIATE

RTI Number 23-0167

## REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC

☐ RFQ ☒ RFP ☐ RFBC ☐ In-House or Requirements Work Order

Project Title: Assignment of Traffic Technician, Mid-Level Traffic Engineers and Mid to Senior Level Traffic Signal Inspectors

Department: Public Works Project Manager: Harold Lutz Date: 6/2/23

Service Requested: The Department of Public Works is requesting authorization to provide staff support to the Traffic Engineering Unit. Staff would include technicians, traffic engineers and traffic signal inspectors.

Justification: This project will enable the Traffic Engineering Unit and Traffic Signal Construction Unit to meet the necessary needs that are required to complete their workload.

Requested by: Harold Lutz Department/Agency/Office

Project Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$209,196.00  
Circle appropriate phaseTotal Project Cost: \$209,296.00  
Includes, design, construction and CMDate Start Work: 12/1/23  
Phase being requestedDuration: 12 months  
Phase being requestedCapital Funding Approval: YES ☐ NO ☐ Rossann Delleva 06-08-23  
SIGNATURE DATEFunding Allocation (Capital Project):  
See Attached Sheet if multiyear ☐

NIFS Entered: SIGNATURE DATE

AIM Entered: Deanna Trunk 6/14/23  
SIGNATURE DATEFunding Code: 62017-099  
use this on all encumbrancesTimesheet Code: 23-0167  
use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐  
Supplemental Environmental DocumentationDepartment Head Approval: YES ☒ NO ☐

SIGNATURE

DCE/Ops Approval: YES ☐ NO ☐

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1.			
2.			
3.			
4.			

DCE/Ops Approval:  
Version January 2014

YES NO

Signature

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
Lower Tier Covered Transactions  
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Michael Bailey, PE/Executive Vice President

Name and Title of Authorized Representative

m/d/yy



4/10/23

Signature

Date

LiRo Engineers, Inc.

Name of Organization

3 Aerial Way, Syosset, NY 11791

Address of Organization

### Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.





E-93-19

**NIFS ID:CFPW19000013 Department: Public Works**

**Capital: X**

SERVICE: On-Call Traffic Engineering Services-T6250003DLR

Contract ID #:CFPW19000013 NIFS Entry Date: 20-MAR-19 Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	N
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Vendor Ownership & Mgmt. Disclosure Attached:	Y
5) Insurance Required	Y

<b>Vendor Info:</b>	
Name: <b>Liro Engineers, Inc.</b>	Vendor ID#: [REDACTED]
Address: 3 Aerial Way	Contact Person: Robert
Syosset, NY 11791	Hutteman
	Phone: 516-938-5476

<b>Department:</b>
Contact Name: Harold Lutz
Address: Nassau County Department of Public Works
1194 Prospect Avenue
Westbury, NY 11590
Phone: 516-571-9453

## Routing Slip

Department	NIFS Entry: X	20-MAR-19 -- LDIONISIO
Department	NIFS Approval: X	21-MAR-19 -- KARNOLD
DPW	Capital Fund Approved: X	21-MAR-19 -- KARNOLD
OMB	NIFA Approval: X	22-MAR-19 -- CNOLAN
OMB	NIFS Approval: X	21-MAR-19 -- NGUMIENIAK
County Atty.	Insurance Verification: X	21-MAR-19 -- AAMATO
County Atty.	Approval to Form: X	21-MAR-19 -- DGRIPPO
CPO	Approval: X	26-MAR-19 -- KOHAGENCE

DCEC	Approval: X	26-MAR-19 -- JCHIARA
Dep. CE	Approval: X	27-MAR-19 -- BSCHNEIDER
Leg. Affairs	Approval/Review: X	12-APR-19 -- JSCHANTZ
Legislature	Approval: X	07-MAY-19 -- LVOCATURA
Comptroller	Deputy: X	18-JUN-19 -- JSCHOEN
NIFA	NIFA Approval: X	11-JUL-19 -- MWORSHAM

## Contract Summary

**Purpose:** Provide "On-Call" Traffic Engineering Services to DPW's Traffic Engineering Unit. The purpose of this Agreement is to make available to the Department engineering and inspection resources needed to complete specialized traffic projects and projects with specific time constraints.

**Method of Procurement:** A formal Request For Proposals (RFP) process was conducted to procure the services.

**Procurement History:** An RFP was released on June 29, 2018 and was advertised in Newsday, NYS Contract Reporter and on the County web site. In reply to the RFP we received proposals from eight (8) firms. A team of four (4) engineers, from DPW's Traffic Engineering Unit conducted the selection process and established a technical ranking based on the proposals.

**Description of General Provisions:** The selected Firms will provide traffic engineering services on an on-call basis. Specific work requests will be conveyed to each selected firm, who will then provide a scope of work to provide the services requested. A specific scope of work will be developed for each proposed item of work. A specific cost proposal will be developed for each item. The Firms will be reimbursed for direct labor costs based on approved wage rates and the agreed upon multiplier. The term of the Agreement is three (3) years. The maximum dollar ceiling is Two Million Five Hundred Thousand Dollars (\$2,500,000.00).

**Impact on Funding / Price Analysis:** Funding for this Agreement will come from various appropriate capital projects and/or the Department's operating budget. The funding source will depend on the proposed scope of services.

**Change in Contract from Prior Procurement:** N/A

**Recommendation:** (approve as submitted) Approve as submitted

## Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	CAP	Revenue		1	PWCAPCAP/62017/00003	\$ 0.01
Control:	62	Contract:				\$ 0.00
Resp:	017	County:	\$ 0.00			\$ 0.00
Object:	00003	Federal:	\$ 0.00			\$ 0.00
Transaction:	CF	State:	\$ 0.00			\$ 0.00
Project #:	62017	Capital:	\$ 0.01			\$ 0.00
Detail:	000	Other:	\$ 0.00			\$ 0.00
RENEWAL		TOTAL	\$ 0.01		TOTAL	\$ 0.01
Increase						
Decrease						



E-93-19

**NIFS ID:CFPW19000013 Department: Public Works**

**Capital: X**

SERVICE: On-Call Traffic Engineering Services-T6250003DLR

Contract ID #:CFPW19000013 NIFS Entry Date: 20-MAR-19 Term: from to

New
Time Extension:
Addl. Funds:
Blanket Resolution:
RES#

1) Mandated Program:	N
2) Comptroller Approval Form Attached:	Y
3) CSEA Agmt. § 32 Compliance Attached:	Y
4) Vendor Ownership & Mgmt. Disclosure Attached:	Y
5) Insurance Required	Y

<b>Vendor Info:</b>	
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Address: 3 Aerial Way	Contact Person: Robert
Syosset, NY 11791	Hulteman
	Phone: 516-938-5476

<b>Department:</b>
Contact Name: Harold Lutz
Address: Nassau County Department of Public Works
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Westbury, NY 11590
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County Atty.	Approval to Form: X	21-MAR-19 -- DGRIPPO
CPO	Approval: X	26-MAR-19 -- KOHAGENCE

2019 MAR 27 P 3:51  
 NASSAU COUNTY  
 CLERK OF SUPREME COURT

DCEC	Approval: X	26-MAR-19 -- JCHIARA
Dep. CE	Approval: X	27-MAR-19 -- BSCHNEIDER
Leg. Affairs	Approval/Review: X	12-APR-19 -- JSCHANTZ
Legislature	Approval:	
Comptroller	Deputy:	
NIFA	NIFA Approval:	

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<b>Impact on Funding / Price Analysis:</b> Funding for this Agreement will come from various appropriate capital projects and/or the Department's operating budget. The funding source will depend on the proposed scope of services.
<b>Change in Contract from Prior Procurement:</b> N/A
<b>Recommendation:</b> (approve as submitted) Approve as submitted

## Advisement Information

BUDGET CODES		FUNDING SOURCE	AMOUNT	LINE	INDEX/OBJECT CODE	AMOUNT
Fund:	CAP	Revenue		1	PWCAPCAP/62017/00003	\$ 0.01
Control:	62	Contract:				\$ 0.00
Resp:	017	County	\$ 0.00			\$ 0.00
Object:	00003	Federal	\$ 0.00			\$ 0.00
Transaction:	CF	State	\$ 0.00			\$ 0.00
Project #:	62017	Capital	\$ 0.01			\$ 0.00
Detail:	000	Other	\$ 0.00			\$ 0.00
		TOTAL	\$ 0.01		TOTAL	\$ 0.01
RENEWAL						
Increase						
"N/A"						
Decrease						

RULES RESOLUTION NO. <sup>125</sup>2019

E-93-19

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE  
TO EXECUTE A PERSONAL SERVICES AGREEMENT BETWEEN  
THE COUNTY OF NASSAU, ACTING ON BEHALF OF THE  
DEPARTMENT OF PUBLIC WORKS, AND LIRO ENGINEERS, INC.

WHEREAS, the County has negotiated a personal services agreement  
with LiRo Engineers, Inc., in connection with "on-call" traffic management  
services for the County, a copy of which is on file with the Clerk of the  
Legislature; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County  
Legislature authorizes the County Executive to execute the said agreement  
with LiRo Engineers, Inc.

Approved by the Rules Committee  
on this 5-6-19  
4 yeas 0 nays 2 present 2  
4 yeas 0 nays 2 present 2

## CONTRACT FOR SERVICES

THIS AGREEMENT, (together with the schedules, appendices, attachments and exhibits, if any, this "Agreement"), dated as of the date (the "Effective Date") that this Agreement is executed by Nassau County, is entered into by and between (i) Nassau County, a municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County"), acting for and on behalf of the County Department of Public Works, having its principal office at 1194 Prospect Avenue, Westbury, New York 11590 (the "Department") and (ii) Liro Engineers, Inc., having its principal office at 3 Aerial Way, Syosset, New York 11791 (the "Firm" or the "Contractor").

### W I T N E S S E T H:

WHEREAS, the County desires to hire the Contractor to perform the services described in this Agreement; and

WHEREAS, the Contractor desires to perform the services described in this Agreement; and

WHEREAS, this is a personal service contract within the intent and purview of Section 2206 of the County Charter;

NOW, THEREFORE, in consideration of the promises and mutual covenants contained in this Agreement, the parties agree as follows:

1. Term. This term of this Agreement shall commence on the date on which this Agreement is executed by the County (the "Commencement Date") and terminate on the three (3) year anniversary of the Commencement Date, (the "Expiration Date") unless sooner terminated or extended in accordance with its terms. Any task order issued to the Firm, prior to the Expiration Date of the Agreement, may be completed in its entirety, even if the work is performed beyond the expiration date of the Agreement. For each specific task work order where work is performed past the Agreement expiration date, the Firm will be authorized in writing by the Department to perform and be compensated for their services.

2. Services.

(a) The services to be provided by the Firm under this Agreement consist of but may not be limited to engineering, design, inspection, data collection, traffic analyses, CAD drafting, surveys, traffic impact analyses, traffic counting, traffic studies, traffic calming studies, transportation planning, providing traffic engineers, ITS Specialists, CAD Operators and technicians, and any other services necessary to address a wide variety of traffic engineering projects and assignments. The specific work divisions and deliverables related to this project are to be considered "On-Call" in nature and will be more particularly described in the "Detailed Scope of Services," provided with each solicitation for work under this agreement. Each request for work will be attached hereto and hereby be made a part hereof as Exhibit "A".

(b) At any time during the term of this Agreement, the County may, in its sole and absolute discretion, require the Contractor to perform Extra Services. The Contractor shall not perform, nor be compensated for, Extra Services without the prior written approval of the Commissioner or his or her duly designated deputy. The Contractor agrees to perform any such Extra Services in accordance with the terms and conditions contained in this Agreement. As used herein, "Extra Services" means additional services which are (i) generally within the scope of services set forth in this Agreement, (ii) necessary or in furtherance of the goals of this Agreement and (iii) not due to the fault or negligence of the Contractor.

3. Payment.



(a) Amount of Consideration. The amount to be paid to the Firm as full consideration for the Firm's services under this Agreement shall be payable as set forth in the "Payment Schedule," attached hereto and made hereby part hereof as Exhibit "B". Notwithstanding the foregoing, the maximum amount to be paid to the Firm for the Firm's services under this Agreement, including any Extra Services and/or Services During Construction that may be so authorized, shall not exceed Two Million Five Hundred Thousand Dollars (\$2,500,000.00).

(b) Vouchers; Voucher Review, Approval and Audit. Payments shall be made to the Contractor in arrears and shall be contingent upon (i) the Contractor submitting a claim voucher (the "Voucher") in a form satisfactory to the County, that (a) states with reasonable specificity the services provided and the payment requested as consideration for such services, (b) certifies that the services rendered and the payment requested are in accordance with this Agreement, and (c) is accompanied by a progress report, in a form satisfactory to the County, indicating the percentage and description of work completed and other documentation satisfactory to the County supporting the amount claimed, and (ii) review, approval and audit of the Voucher by the Department and/or the County Comptroller or his or her duly designated representative (the "Comptroller").

(c) Timing of Payment Claims. The Contractor shall submit claims no later than three (3) months following the County's receipt of the services that are the subject of the claim and no more frequently than once a month.

(d) No Duplication of Payments. Payments under this Agreement shall not duplicate payments for any work performed or to be performed under other agreements between the Contractor and any funding source including the County.

(e) Payments in Connection with Termination or Notice of Termination. Unless a provision of this Agreement expressly states otherwise, payments to the Contractor following the termination of this Agreement shall not exceed payments made as consideration for services that were (i) performed prior to termination, (ii) authorized by this Agreement to be performed, and (iii) not performed after the Contractor received notice that the County did not desire to receive such services.

(f) Payments Relating to Services Rendered by Subcontractors. The County retains the right, but not the obligation, prior to making any payment to the Contractor, to demand that the Contractor furnish to the County, proof acceptable to the County, in its sole and absolute discretion, that all due and payable claims made by subcontractors in connection with this Agreement have been paid to date or are included in the amount being requested by the Contractor.

#### 4. Ownership and Control of Work Product

(a) Copyrights.

(i) Upon execution of this Agreement, any reports, documents, data, photographs and/or other materials produced pursuant to this Agreement, and any and all drafts and/or other preliminary materials in any format related to such items, shall become the exclusive property of the County.

(ii) Any reports, documents, data, photographs and/or other materials produced pursuant to this Agreement ("Copyrightable Materials") shall be considered "work-made-for-hire" within the meaning and purview of Section 101 of the United States Copyright Act, 17 U.S.C. §101, and the County shall be the copyright owner thereof and of all aspects, elements and

components thereof in which copyright protection might exist. To the extent that the Copyrightable Materials do not qualify as "work-made-for-hire," the Contractor hereby irrevocably transfers, assigns and conveys exclusive copyright ownership in and to the Copyrightable Materials to the County, free and clear of any liens, claims, or other encumbrances. The Contractor shall retain no copyright or intellectual property interest in the Copyrightable Materials, and they shall be used by the Contractor for no other purpose without the prior written permission of the County.

(iii) The Contractor acknowledges that the County may, in its sole discretion, register copyright in the Copyrightable Materials with the U.S. Copyright Office or any other government agency authorized to grant copyright registrations. The Contractor shall cooperate in this effort, and agrees to provide any further documentation necessary to accomplish this.

(iv) The Contractor represents and warrants that the Copyrightable Materials: (1) are wholly original material not published elsewhere (except for material that is in the public domain); (2) do not violate any copyright law; (3) do not constitute defamation or invasion of the right of privacy or publicity, and (4) are not an infringement of any kind, of the rights of any third party. To the extent that the Copyrightable Materials incorporate any non-original material, the Contractor has obtained all necessary permissions and clearances, in writing, for the use of such non-original material under this Agreement, copies of which shall be provided to the County upon execution of this Agreement.

(b) **Patents and Inventions.** Any discovery or invention arising out of or developed in the course of performance of this Agreement shall be promptly and fully reported to the Department, and if this work is supported by a federal grant of funds, shall be promptly and fully reported to the Federal Government for determination as to whether patent protection on such invention shall be sought and how the rights in the invention or discovery, including rights under any patent issued thereon, shall be disposed of and administered in order to protect the public interest.

(c) **Pre-existing Rights.** In no case shall 4(a) or 4(b) above apply to, or prevent the Contractor from asserting or protecting its rights in any report, document or other data, or any invention which existed prior to or was developed or discovered independently from the activities directly related to this Agreement.

(d) **Infringements of Patents, Trademarks, and Copyrights.** The Contractor shall indemnify and hold the County harmless against any claim for any infringement by the Contractor of any copyright, trade secrets, trademark or patent rights of design, systems, drawings, graphs, charts, specifications or printed matter furnished or used by the Contractor in the performance of this Agreement. The Contractor shall indemnify and hold the County harmless regardless of whether or not the infringement arises out of compliance with the scope of services/scope of work.

(e) **Antitrust.** The Contractor hereby assigns, sells, and transfers to the County all right, title and interest in and to any claims and causes of action arising under the antitrust laws of the State of New York or of the United States relating to the particular goods or services procured by the County under this Agreement.

5. **Independent Contractor.** The Contractor is an independent contractor of the County. The Contractor shall not, nor shall any officer, director, employee, servant, agent or independent contractor of the Contractor (a "Contractor Agent"), be (i) deemed a County employee, (ii) commit the County to any obligation, or (iii) hold itself, himself, or herself out as a County employee or Person with the authority to commit the County to any obligation. As used in this Agreement the word "Person" means any individual person, entity (including partnerships, corporations and limited liability companies), and



government or political subdivision thereof (including agencies, bureaus, offices and departments thereof).

6. No Arrears or Default. The Contractor is not in arrears to the County upon any debt or contract and it is not in default as surety, contractor, or otherwise upon any obligation to the County, including any obligation to pay taxes to, or perform services for or on behalf of, the County.

7. Compliance with Law.

(a) Generally. The Contractor shall comply with any and all applicable Federal, State and local Laws, including, but not limited to those relating to conflicts of interest, human rights, a living wage, disclosure of information and vendor registration in connection with its performance under this Agreement. In furtherance of the foregoing, the Contractor is bound by and shall comply with the terms of Appendix EE attached hereto and with the County's registration protocol. As used in this Agreement the word "Law" includes any and all statutes, local laws, ordinances, rules, regulations, applicable orders, and/or decrees, as the same may be amended from time to time, enacted, or adopted.

(b) Nassau County Living Wage Law. Pursuant to LL 1-2006, as amended, and to the extent that a waiver has not been obtained in accordance with such law or any rules of the County Executive, the Contractor agrees as follows:

- (i) Contractor shall comply with the applicable requirements of the Living Wage Law, as amended;
- (ii) Failure to comply with the Living Wage Law, as amended, may constitute a material breach of this Agreement, such breach being determined solely by the County. Contractor has the right to cure such breach within thirty days of receipt of notice of breach from the County. In the event that such breach is not timely cured, the County may terminate this Agreement as well as exercise any other rights available to the County under applicable law.
- (iii) It shall be a continuing obligation of the Contractor to inform the County of any material changes in the content of its certification of compliance, attached to this Agreement as Appendix L, and shall provide to the County any information necessary to maintain the certification's accuracy.

(c) Records Access. The parties acknowledge and agree that all records, information, and data ("Information") acquired in connection with performance or administration of this Agreement shall be used and disclosed solely for the purpose of performance and administration of the contract or as required by law. The Contractor acknowledges that Contractor Information in the County's possession may be subject to disclosure under Article 6 of the New York State Public Officer's Law ("Freedom of Information Law" or "FOIL"). In the event that such a request for disclosure is made, the County shall make reasonable efforts to notify the Contractor of such request prior to disclosure of the Information so that the Contractor may take such action as it deems appropriate.

(d) Prohibition of Gifts. In accordance with County Executive Order 2-2018, the Contractor shall not offer, give, or agree to give anything of value to any County employee, agent, consultant, construction manager, or other person or firm representing the County (a "County Representative"), including members of a County Representative's immediate family, in connection with the performance by such County Representative of duties involving transactions with the Contractor on behalf of the County, whether such duties are related to this Agreement or

any other County contract or matter. As used herein, "anything of value" shall include, but not be limited to, meals, holiday gifts, holiday baskets, gift cards, tickets to golf outings, tickets to sporting events, currency of any kind, or any other gifts, gratuities, favorable opportunities or preferences. For purposes of this subsection, an immediate family member shall include a spouse, child, parent, or sibling. The Contractor shall include the provisions of this subsection in each subcontract entered into under this Agreement.

(c) Disclosure of Conflicts of Interest. In accordance with County Executive Order 2-2018, the Contractor has disclosed as part of its response to the County's Business History Form, or other disclosure form(s), any and all instances where the Contractor employs any spouse, child, or parent of a County employee of the agency or department that contracted or procured the goods and/or services described under this Agreement. The Contractor shall have a continuing obligation, as circumstances arise, to update this disclosure throughout the term of this Agreement.

8. Minimum Service Standards. Regardless of whether required by Law:

(a) The Contractor shall, and shall cause Contractor Agents to, conduct its, his or her activities in connection with this Agreement so as not to endanger or harm any Person or property.

(b) The Contractor shall deliver services under this Agreement in a professional manner consistent with the best practices of the industry in which the Contractor operates. The Contractor shall take all actions necessary or appropriate to meet the obligation described in the immediately preceding sentence, including obtaining and maintaining, and causing all Contractor Agents to obtain and maintain, all approvals, licenses, and certifications ("Approvals") necessary or appropriate in connection with this Agreement.

9. Indemnification; Defense; Cooperation.

(a) The Contractor shall be solely responsible for and shall indemnify and hold harmless the County, its officers, employees, and agents (the "Indemnified Parties") from and against any and all liabilities, losses, costs, expenses (including, without limitation, reasonable attorneys' fees and disbursements) and damages ("Losses"), arising out of or in connection with any acts or omissions of the Contractor or a Contractor Agent, regardless of whether taken pursuant to or authorized by this Agreement and regardless of whether due to negligence, fault, or default, including Losses in connection with any threatened investigation, litigation or other proceeding or preparing a defense to or prosecuting the same; provided, however, that the Contractor shall not be responsible for that portion, if any, of a Loss that is caused by the negligence of the County.

(b) The Contractor shall, upon the County's demand and at the County's direction, promptly and diligently defend, at the Contractor's own risk and expense, any and all suits, actions, or proceedings which may be brought or instituted against one or more Indemnified Parties for which the Contractor is responsible under this Section and the Contractor shall pay and satisfy any judgment, decree, loss or settlement in connection therewith.

(c) The Contractor shall, and shall cause Contractor Agents to, cooperate with the County in connection with the investigation, defense or prosecution of any action, suit or proceeding in connection with this Agreement.

(d) The provisions of this Section shall survive the termination of this Agreement.

10. Insurance.

(a) Types and Amounts. The Contractor shall obtain and maintain throughout the term of this Agreement, at its own expense: (i) one or more policies for commercial general liability insurance, which policy(ies) shall name "Nassau County" as an additional insured and have a minimum single combined limit of liability of not less than one million dollars (\$1,000,000) per occurrence and two million dollars (\$2,000,000) in the aggregate, (ii) if contracting in whole or part to provide professional services, one or more policies for professional liability insurance, which policy(ies) shall have a minimum single combined limit liability of not less than two million dollars (\$2,000,000) per claim and four million dollars (\$4,000,000) aggregate coverage, (iii) compensation insurance for the benefit of the Contractor's employees ("Workers' Compensation Insurance"), which insurance is in compliance with the New York State Workers' Compensation Law, and (iv) such additional insurance, including, without limitation, builder's all risk, if applicable, automobile liability insurance and umbrella liability insurance, as the County may from time to time specify.

(b) Acceptability; Deductibles; Subcontractors. All insurance obtained and maintained by the Contractor pursuant to this Agreement shall be (i) written by one or more commercial insurance carriers licensed or authorized to do business in New York State and acceptable to the County; and (ii) in form and substance acceptable to the County. The Contractor shall be solely responsible for the payment of all deductibles to which such policies are subject. The Contractor shall require any subcontractor hired in connection with this Agreement to carry insurance with the same limits and provisions required to be carried by the Contractor under this Agreement.

(c) Delivery; Coverage Change; No Inconsistent Action. Prior to the execution of this Agreement, copies of current certificates of insurance evidencing the insurance coverage required by this Agreement shall be delivered to the County Attorney's Office. Not less than thirty (30) days prior to the date of any expiration or renewal of, or actual, proposed or threatened reduction or cancellation of coverage under, any insurance required hereunder, the Contractor shall provide written notice to the County Attorney's Office of the same and deliver to the County Attorney's Office renewal or replacement certificates of insurance. The Contractor shall cause all insurance to remain in full force and effect throughout the term of this Agreement and shall not take any action, or omit to take any action that would suspend or invalidate any of the required coverages. The failure of the Contractor to maintain Workers' Compensation Insurance shall render this contract void and of no effect. The failure of the Contractor to maintain the other required coverages shall be deemed a material breach of this Agreement upon which the County reserves the right to consider this Agreement terminated as of the date of such failure.

11. Assignment; Amendment; Waiver; Subcontracting. This Agreement and the rights and obligations hereunder may not be in whole or part (i) assigned, transferred or disposed of, (ii) amended, (iii) waived, or (iv) subcontracted, without the prior written consent of the County Executive or his or her duly designated deputy (the "County Executive"), and any purported assignment, other disposal or modification without such prior written consent shall be null and void. The failure of a party to assert any of its rights under this Agreement, including the right to demand strict performance, shall not constitute a waiver of such rights.

12. Work Performance Liability. The Contractor is and shall remain primarily liable for the successful completion of all work in accordance this Agreement irrespective of whether the Contractor is using a Contractor Agent to perform some or all of the work contemplated by this Agreement, and irrespective of whether the use of such Contractor Agent has been approved by the County.

13. Termination.

(a) Generally. This Agreement may be terminated (i) for any reason by the County upon thirty (30) days' written notice to the Contractor, (ii) for "Cause" by the County immediately upon the receipt by the Contractor of written notice of termination, (iii) upon mutual written Agreement of



the County and the Contractor, and (iv) in accordance with any other provisions of this Agreement expressly addressing termination.

As used in this Agreement the word "Cause" includes: (i) a breach of this Agreement; (ii) the failure to obtain and maintain in full force and effect all Approvals required for the services described in this Agreement to be legally and professionally rendered; and (iii) the termination or impending termination of federal or state funding for the services to be provided under this Agreement.

(b) By the Contractor. This Agreement may be terminated by the Contractor if performance becomes impracticable through no fault of the Contractor, where the impracticability relates to the Contractor's ability to perform its obligations and not to a judgment as to convenience or the desirability of continued performance. Termination under this subsection shall be effected by the Contractor delivering to the commissioner or other head of the Department (the "Commissioner"), at least sixty (60) days prior to the termination date (or a shorter period if sixty days' notice is impossible), a notice stating (i) that the Contractor is terminating this Agreement in accordance with this subsection, (ii) the date as of which this Agreement will terminate, and (iii) the facts giving rise to the Contractor's right to terminate under this subsection. A copy of the notice given to the Commissioner shall be given to the Deputy County Executive who oversees the administration of the Department (the "Applicable DCE") on the same day that notice is given to the Commissioner.

(c) Contractor Assistance upon Termination. In connection with the termination or impending termination of this Agreement the Contractor shall, regardless of the reason for termination, take all actions reasonably requested by the County (including those set forth in other provisions of this Agreement) to assist the County in transitioning the Contractor's responsibilities under this Agreement. The provisions of this subsection shall survive the termination of this Agreement.

14. Accounting Procedures; Records. The Contractor shall maintain and retain, for a period of six (6) years following the later of termination of or final payment under this Agreement, complete and accurate records, documents, accounts and other evidence, whether maintained electronically or manually ("Records"), pertinent to performance under this Agreement. Records shall be maintained in accordance with Generally Accepted Accounting Principles and, if the Contractor is a non-profit entity, must comply with the accounting guidelines set forth in the federal Office of Management & Budget Circular A-122, "Cost Principles for Non-Profit Organizations." Such Records shall at all times be available for audit and inspection by the County Comptroller, the County Attorney's Office, any other governmental authority with jurisdiction over the provision of services hereunder and/or the payment therefore, and any of their duly designated representatives. The provisions of this Section shall survive the termination of this Agreement.

15. Limitations on Actions and Special Proceedings Against the County. No action or special proceeding shall lie or be prosecuted or maintained against the County upon any claims arising out of or in connection with this Agreement unless:

(a) Notice. At least thirty (30) days prior to seeking relief the Contractor shall have presented the demand or claim(s) upon which such action or special proceeding is based in writing to the Applicable DCE for adjustment and the County shall have neglected or refused to make an adjustment or payment on the demand or claim for thirty (30) days after presentment. The Contractor shall send or deliver copies of the documents presented to the Applicable DCE under this Section to each of (i) the Department and the (ii) the County Attorney (at the address specified above for the County) on the same day that documents are sent or delivered to the Applicable DCE. The complaint or necessary moving papers of the Contractor shall allege that the above-described actions and inactions preceded the Contractor's action or special proceeding against the County.

(b) Time Limitation. Such action or special proceeding is commenced within the earlier of (i) one (1) year of the first to occur of (A) final payment under or the termination of this Agreement, and (B) the accrual of the cause of action, and (ii) the time specified in any other provision of this Agreement.

16. Consent to Jurisdiction and Venue; Governing Law. Unless otherwise specified in this Agreement or required by Law, exclusive original jurisdiction for all claims or actions with respect to this Agreement shall be in the Supreme Court in Nassau County in New York State and the parties expressly waive any objections to the same on any grounds, including venue and forum non conveniens. This Agreement is intended as a contract under, and shall be governed and construed in accordance with, the Laws of New York State, without regard to the conflict of laws provisions thereof.

17. All Legal Provisions Deemed Included; Severability; Supremacy; Construction.

(a) Every provision required by Law to be inserted into or referenced by this Agreement is intended to be a part of this Agreement. If any such provision is not inserted or referenced or is not inserted or referenced in correct form then (i) such provision shall be deemed inserted into or referenced by this Agreement for purposes of interpretation and (ii) upon the application of either party this Agreement shall be formally amended to comply strictly with the Law, without prejudice to the rights of either party.

(b) In the event that any provision of this Agreement shall be held to be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.

(c) In the event of a conflict between the terms and conditions of the contract, including any and all attachments thereto and amendments thereof, and the terms of this Appendix A, the terms of this Appendix A shall control.

(d) Each party has cooperated in the negotiation and preparation of this Agreement, so if any construction is made of the Agreement it shall not be construed against either party as drafter.

18. Administrative Service Charge. The Contractor agrees to pay the County an administrative service charge of Five Hundred Thirty-Three dollars (\$533) for the processing of this Agreement pursuant to Ordinance Number 74-1979, as amended by Ordinance Number 128-2006. The administrative service charge shall be due and payable to the County by the Contractor upon signing this Agreement.

19. Executory Clause. Notwithstanding any other provision of this Agreement:

(a) Approval and Execution. The County shall have no liability under this Agreement (including any extension or other modification of this Agreement) to any Person unless (i) all County and other governmental approvals have been obtained, including, if required, approval by the County Legislature, and (ii) this Agreement has been executed by the County Executive (as defined in this Agreement).

(b) Availability of Funds. The County shall have no liability under this Agreement (including any extension or other modification of this Agreement) to any Person beyond funds appropriated or otherwise lawfully available for this Agreement, and, if any portion of the funds for this Agreement are from the State and/or federal governments, then beyond funds available to the County from the State and/or federal governments.

20. Entire Agreement. This Agreement represents the full and entire understanding and agreement between the parties with regard to the subject matter hereof and supersedes all prior agreements (whether written or oral) of the parties relating to the subject matter of this Agreement.

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IN WITNESS WHEREOF, the Contractor and the County have executed this Agreement as of the Effective Date.

**Liro Engineers, Inc.**

By: [Signature]

Name: Robert Hutteman, PE

Title: Senior Associate Vice President

Date: 3/5/19

**NASSAU COUNTY**

By: [Signature]

Name: Brian J. Schneider

Title: County Executive

☒ Deputy County Executive

Date: July 17, 2019

PLEASE EXECUTE IN BLUE INK

)ss.:

On the 5<sup>th</sup> day of March in the year 2019 before me personally came Bert Hulteman to me personally known, who, being by me duly sworn, did depose and that he or she resides in the County of Wayne; that he or she is the Social Vice President of LiRo Engineers, Inc., the corporation described and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

Doni Koro

My Commission Expires November 22, 2016

)ss.:

On the 17 day of July in the year 2019 before me personally came Brian J. Schneider to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is the County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

TANYA L. CARTER  
Notary Public, State of New York  
No. 010A6072253  
Qualified in Nassau County  
Commission Expires April 15, 2012



## **Exhibit “A”**

### Detailed Scope of Services

1. Scope of Services.

These services may involve, but are not limited to, engineering, design, inspection, data collection, traffic analyses, CAD drafting, surveys, traffic impact analyses, traffic counting, traffic studies, traffic calming studies, transportation planning, providing traffic engineers, ITS Specialists, CAD Operators and technicians, and any other services necessary to address a wide variety of traffic engineering projects and assignments. The scope of services required for a particular project will be identified, described in writing and distributed to the Firm for consideration. Each firm will submit a letter proposal for review/evaluation by the Department. The letter proposal shall include, but not limited to, proposed staffing resumes. The selected firm shall abide by all aspects of the response provided in the letter proposal. After review of the letter proposals, selection of a firm to provide the requested services and encumbrance of project funds, the firm selected will be directed in writing to commence work.

2. Notification.

The Firm agrees to review the County's request within the specified time limit and provide the County with a written proposal and cost estimate based on the terms and conditions of the Agreement. The County reserves the right to accept or reject any proposal and estimate from the Firm. Additionally, the County is not required to assign any work to the Firm under this Agreement.

3. Task Order Procedures.

This Agreement is an on-call services agreement. Nothing contained in this agreement, shall be deemed to assign, or guarantee assignment of, any work to the Firm. Any work that may be assigned to the Firm is subject to all the terms and conditions contained in this Agreement.

A. Task Order Issuance and Submission of Proposal

In the event that the Department identifies the need for services under this Agreement, the Department may issue a task order (the "Task Order") that provides a detailed description of the services the Department requires and the type of cost estimate it is requesting. The Department may send the Task Order to the Firm. If the Task order is sent to the Firm, the Firm shall:

1. Review the task order and respond to the Department with any questions the Firm may have within five (5) business days of the Department's issuance of the Task Order; and
2. Submit to the Department a task order proposal (the "Proposal") within the time specified in the Task order, which shall include, without limitation: (i) (A) the staff proposed to provide the requested services, their classification and corresponding hourly rates consistent with those set forth in this Agreement, or (B) in the event of a lump sum proposal, the total fee the Firm will require to complete the work described in the Task Order and (ii) the total time the Firm will require to complete the work described in the Task Order. If no due date for the Proposal is specified in the task order, such due date shall be (1) month from the date the Task order was sent by the Department to the Firm.

B. Department Review of Cost Proposal

1. The Department shall review the proposal and the Department may, in its sole and absolute discretion, (i) select the Firm to provide the requested services, (ii) reject the Proposal or (iii) request modifications to the proposal and grant additional time for the submission of a revised Proposal.
2. The Commissioner of the Department shall notify the Firm in writing of the Department's determination and, if the Proposal is accepted by the Department, the Department will issue a notice to proceed setting forth a start date and any additional terms and conditions that apply.

## **Exhibit "B"**

### Payment Schedule

Payment to the Firm for all services that may be authorized under this Agreement, shall be made as follows:

Compensation for services provided under the terms of this Agreement will be (i) on the basis of a lump sum or (ii) the actual salaries paid to the technical personnel engaged in performing the service, exclusive of payroll taxes, insurance, and any and all fringe benefits, times a multiplier. The method of determining compensation shall be established by the County for each project and set forth in the County's written request for a proposal and cost estimate.

#### Payments to Firm When Services Are Compensated "On the Basis of Salary Multiplier"

With regard to any task for which payment is contemplated on the basis of a multiple of salary, it is understood and agreed that the County will utilize the Firm's approved Personnel List which is currently active and in use in connection with the Firm's services on other County Public Works projects. The Firm shall prepare and attach to this Agreement a Maximum Hourly Wage Rate Schedule listing the job classifications and the maximum hourly wage rate for each classification. The salaries of all employees rendering services under this Agreement must be within the limits of the approved Maximum Hourly Wage Rate Schedule. It is the County's intention that the salary to be reimbursed to the Firm for a named employee shall be the same for said employee on all current County agreements with the Firm.

The Maximum Hourly Wage Rate Schedule will be adjusted annually in accordance with any wage increases granted to County employees in the Civil Service Employees Association. The Firm may grant an employee a salary increase within a classification or a change of classification upon written notification to the Department one month prior to the effective date of such increase or change and with written approval of the Department. Premium pay for overtime work, over and above the straight hourly rate, performed for any services rendered under this Agreement shall not be subject to any multiplier. All overtime requests must be submitted to the Department for approval in advance. In computing the cost to the County for overtime work performed, the overtime period shall be paid at the agreed multiplier times the straight hourly rate plus the actual premium cost incurred.

Notwithstanding the foregoing, the maximum billable rate, after application of the multiplier for any services provided under the terms of this Agreement shall not exceed one hundred and seventy-five dollars (\$175.00).

#### Out-of-Pocket Expenses:

The Firm shall be reimbursed for the actual cost of "out-of-pocket" expenses that have been approved in writing by the Commissioner of Public Works. Traveling cost for reporting to site is not considered out-of-pocket.

The Firm shall be reimbursed for the actual cost of the following expenses incurred in the interest of the project:

1. Transportation and living expenses for approved and required travel beyond 50-mile radius of the job site with prior written approval of the Commissioner, and at rates established by the County for its own employees.
2. Additional models, renderings, and/or photographs than those requested in task order.
3. Reproduction of drawings in excess of 15 copies per each.

#### Subcontractor Costs and Subconsultant Charges:

1. Subcontractors engaged by the Firm shall be compensated on the same basis as provided herein for

- employees of the Firm.
- 2. The Firm shall be reimbursed the actual cost of the fees of the subcontractor as approved by the County.
- 3. The Firm shall be further reimbursed for the actual out-of-pocket expenses, as outline above, for subconsultants and subcontractors when authorized in writing by the Commissioner.

## Appendix EE

### Equal Employment Opportunities for Minorities and Women

The provisions of this Appendix EE are hereby made a part of the document to which it is attached.

The Contractor shall comply with all federal, State and local statutory and constitutional anti-discrimination provisions. In addition, Local Law No. 14-2002, entitled "Participation by Minority Group Members and Women in Nassau County Contracts," governs all County Contracts as defined herein and solicitations for bids or proposals for County Contracts. In accordance with Local Law 14-2002:

(a) The Contractor shall not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability or marital status in recruitment, employment, job assignments, promotions, upgradings, demotions, transfers, layoffs, terminations, and rates of pay or other forms of compensation. The Contractor will undertake or continue existing programs related to recruitment, employment, job assignments, promotions, upgradings, transfers, and rates of pay or other forms of compensation to ensure that minority group members and women are afforded equal employment opportunities without discrimination.

(b) At the request of the County contracting agency, the Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability, or marital status and that such employment agency, labor union, or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.

(c) The Contractor shall state, in all solicitations or advertisements for employees, that, in the performance of the County Contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.

(d) The Contractor shall make best efforts to solicit active participation by certified minority or women-owned business enterprises ("Certified M/WBEs") as defined in Section 101 of Local Law No. 14-2002, for the purpose of granting of Subcontracts.

(e) The Contractor shall, in its advertisements and solicitations for Subcontractors, indicate its interest in receiving bids from Certified M/WBEs and the requirement that Subcontractors must be equal opportunity employers.

(f) Contractors must notify and receive approval from the respective Department Head prior to issuing any Subcontracts and, at the time of requesting such authorization, must submit a signed Best Efforts Checklist.

(g) Contractors for projects under the supervision of the County's Department of Public Works shall also submit a utilization plan listing all proposed Subcontractors so that, to the greatest extent feasible, all Subcontractors will be approved prior to commencement of work. Any additions or changes to the list of subcontractors under the utilization plan shall be approved by

the Commissioner of the Department of Public Works when made. A copy of the utilization plan any additions or changes thereto shall be submitted by the Contractor to the Office of Minority Affairs simultaneously with the submission to the Department of Public Works.

(h) At any time after Subcontractor approval has been requested and prior to being granted, the contracting agency may require the Contractor to submit Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises. In addition, the contracting agency may require the Contractor to submit such documentation at any time after Subcontractor approval when the contracting agency has reasonable cause to believe that the existing Best Efforts Checklist may be inaccurate. Within ten working days (10) of any such request by the contracting agency, the Contractor must submit Documentation.

(i) In the case where a request is made by the contracting agency or a Deputy County Executive acting on behalf of the contracting agency, the Contractor must, within two (2) working days of such request, submit evidence to demonstrate that it employed Best Efforts to obtain Certified M/WBE participation through proper documentation.

(j) Award of a County Contract alone shall not be deemed or interpreted as approval of all Contractor's Subcontracts and Contractor's fulfillment of Best Efforts to obtain participation by Certified M/WBEs.

(k) A Contractor shall maintain Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises for a period of six (6) years. Failure to maintain such records shall be deemed failure to make Best Efforts to comply with this Appendix EE, evidence of false certification as M/WBE compliant or considered breach of the County Contract.

(l) The Contractor shall be bound by the provisions of Section 109 of Local Law No. 14-2002 providing for enforcement of violations as follows:

- a. Upon receipt by the Executive Director of a complaint from a contracting agency that a County Contractor has failed to comply with the provisions of Local Law No. 14-2002, this Appendix EE or any other contractual provisions included in furtherance of Local Law No. 14-2002, the Executive Director will try to resolve the matter.
- b. If efforts to resolve such matter to the satisfaction of all parties are unsuccessful, the Executive Director shall refer the matter, within thirty days (30) of receipt of the complaint, to the American Arbitration Association for proceeding thereon.
- c. Upon conclusion of the arbitration proceedings, the arbitrator shall submit to the Executive Director his recommendations regarding the imposition of sanctions, fines or penalties. The Executive Director shall either (i) adopt the recommendation of the arbitrator (ii) determine that no sanctions, fines or penalties should be imposed or (iii) modify the recommendation of the arbitrator, provided that such modification shall not expand upon any sanction recommended or impose any new sanction, or increase the amount of any recommended fine or penalty. The Executive Director, within ten days (10) of receipt of the arbitrators award and recommendations, shall file a determination of such matter and shall cause a copy of such determination to be served upon the respondent by personal service or by certified mail return receipt requested. The award of the arbitrator, and the fines and penalties imposed by the Executive



Director, shall be final determinations and may only be vacated or modified as provided in the civil practice law and rules ("CPLR").

(m) The contractor shall provide contracting agency with information regarding all subcontracts awarded under any County Contract, including the amount of compensation paid to each Subcontractor and shall complete all forms provided by the Executive Director or the Department Head relating to subcontractor utilization and efforts to obtain M/WBE participation.

Failure to comply with provisions (a) through (m) above, as ultimately determined by the Executive Director, shall be a material breach of the contract constituting grounds for immediate termination. Once a final determination of failure to comply has been reached by the Executive Director, the determination of whether to terminate a contract shall rest with the Deputy County Executive with oversight responsibility for the contracting agency.

Provisions (a), (b) and (c) shall not be binding upon Contractors or Subcontractors in the performance of work or the provision of services or any other activity that are unrelated, separate, or distinct from the County Contract as expressed by its terms.

The requirements of the provisions (a), (b) and (c) shall not apply to any employment or application for employment outside of this County or solicitations or advertisements therefor or any existing programs of affirmative action regarding employment outside of this County and the effect of contract provisions required by these provisions (a), (b) and (c) shall be so limited.

The Contractor shall include provisions (a), (b) and (c) in every Subcontract in such a manner that these provisions shall be binding upon each Subcontractor as to work in connection with the County Contract.

As used in this Appendix EE the term "Best Efforts Checklist" shall mean a list signed by the Contractor, listing the procedures it has undertaken to procure Subcontractors in accordance with this Appendix EE.

As used in this Appendix EE the term "County Contract" shall mean (i) a written agreement or purchase order instrument, providing for a total expenditure in excess of twenty-five thousand dollars (\$25,000), whereby a County contracting agency is committed to expend or does expend funds in return for labor, services, supplies, equipment, materials or any combination of the foregoing, to be performed for, or rendered or furnished to the County; or (ii) a written agreement in excess of one hundred thousand dollars (\$100,000), whereby a County contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon. However, the term "County Contract" does not include agreements or orders for the following services: banking services, insurance policies or contracts, or contracts with a County contracting agency for the sale of bonds, notes or other securities.

As used in this Appendix EE the term "County Contractor" means an individual, business enterprise, including sole proprietorship, partnership, corporation, not-for-profit corporation, or any other person or entity other than the County, whether a contractor, licensor, licensee or any other party, that is (i) a party to a County Contract, (ii) a bidder in connection with the award of a County Contract, or (iii) a proposed party to a County Contract, but shall not include any Subcontractor.

As used in this Appendix EE the term "County Contractor" shall mean a person or firm who will manage and be responsible for an entire contracted project.

As used in this Appendix EE "Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises" shall include, but is not limited to the following:

- a. Proof of having advertised for bids, where appropriate, in minority publications, trade newspapers/notices and magazines, trade and union publications, and publications of general circulation in Nassau County and surrounding areas or having verbally solicited M/WBEs whom the County Contractor reasonably believed might have the qualifications to do the work. A copy of the advertisement, if used, shall be included to demonstrate that it contained language indicating that the County Contractor welcomed bids and quotes from M/WBE Subcontractors. In addition, proof of the date(s) any such advertisements appeared must be included in the Best Effort Documentation. If verbal solicitation is used, a County Contractor's affidavit with a notary's signature and stamp shall be required as part of the documentation.
- b. Proof of having provided reasonable time for M/WBE Subcontractors to respond to bid opportunities according to industry norms and standards. A chart outlining the schedule/time frame used to obtain bids from M/WBEs is suggested to be included with the Best Effort Documentation
- c. Proof or affidavit of follow-up of telephone calls with potential M/WBE subcontractors encouraging their participation. Telephone logs indicating such action can be included with the Best Effort Documentation
- d. Proof or affidavit that M/WBE Subcontractors were allowed to review bid specifications, blue prints and all other bid/RFP related items at no charge to the M/WBEs, other than reasonable documentation costs incurred by the County Contractor that are passed onto the M/WBE.
- e. Proof or affidavit that sufficient time prior to making award was allowed for M/WBEs to participate effectively, to the extent practicable given the timeframe of the County Contract.
- f. Proof or affidavit that negotiations were held in good faith with interested M/WBEs, and that M/WBEs were not rejected as unqualified or unacceptable without sound business reasons based on (1) a thorough investigation of M/WBE qualifications and capabilities reviewed against industry custom and standards and (2) cost of performance. The basis for rejecting any M/WBE deemed unqualified by the County Contractor shall be included in the Best Effort Documentation
- g. If an M/WBE is rejected based on cost, the County Contractor must submit a list of all sub-bidders for each item of work solicited and their bid prices for the work.
- h. The conditions of performance expected of Subcontractors by the County Contractor must also be included with the Best Effort Documentation.
- i. County Contractors may include any other type of documentation they feel necessary to further demonstrate their Best Efforts regarding their bid documents.



As used in this Appendix EE the term "Executive Director" shall mean the Executive Director of the Nassau County Office of Minority Affairs; provided, however, that Executive Director shall include a designee of the Executive Director except in the case of final determinations issued pursuant to Section (a) through (l) of these rules.

As used in this Appendix EE the term "Subcontract" shall mean an agreement consisting of part or parts of the contracted work of the County Contractor.

As used in this Appendix EE, the term "Subcontractor" shall mean a person or firm who performs part or parts of the contracted work of a prime contractor providing services, including construction services, to the County pursuant to a county contract. Subcontractor shall include a person or firm that provides labor, professional or other services, materials or supplies to a prime contractor that are necessary for the prime contractor to fulfill its obligations to provide services to the County pursuant to a county contract. Subcontractor shall not include a supplier of materials to a contractor who has contracted to provide goods but no services to the County, nor a supplier of incidental materials to a contractor, such as office supplies, tools and other items of nominal cost that are utilized in the performance of a service contract.

Provisions requiring contractors to retain or submit documentation of best efforts to utilize certified subcontractors and requiring Department head approval prior to subcontracting shall not apply to inter-governmental agreements. In addition, the tracking of expenditures of County dollars by not-for-profit corporations, other municipalities, States, or the federal government is not required.

Contract Appendix L  
Certificate of Compliance

In compliance with Local Law 1-2006, as amended, the Proposer/Bidder hereby certifies the following:

1. The chief executive officer of the Proposer/Bidder is:

LiRo Engineers, Inc. (Name)

3 Aerial Way, Syosset, NY 11791 (Address)

516-938-5476 (Telephone Number)

2. The Proposer/Bidder agrees to comply with the requirements of the Nassau County Living Wage Law, and with all applicable federal, state and local laws.

3. In the past five years, Proposer/Bidder \_\_\_\_\_ has X has not been found by a court or a government agency to have violated federal, state, or local laws regulating payment of wages or benefits, labor relations, or occupational safety and health. If a violation has been assessed by the Proposer/Bidder, describe below:

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4. In the past five years, an administrative proceeding, investigation, or government body-initiated judicial action \_\_\_\_\_ has X has not been commenced against or relating to the Proposer/Bidder in connection with federal, state, or local laws regulating payment of wages or benefits, labor relations, or occupational safety and health. If such a proceeding, action, or investigation has been commenced, describe below:

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5. Proposer/Bidder agrees to permit access to work sites and relevant payroll records by authorized County representatives for the purpose of monitoring compliance with the Living Wage Law and investigating employee complaints of noncompliance.

I hereby certify that I have read the foregoing statement and, to the best of my knowledge and belief, it is true, correct and complete. Any statement or representation made herein shall be accurate and true as of the date stated below.

3/5/19

Dated

Signature of Chief Executive Officer

Luis M. Tormenta, PE

Name of Chief Executive Officer

Sworn to before me this

5 day of March, 2019

Stephanie Krol

Notary Public

STEPHANIE KROL  
NOTARY PUBLIC-STATE OF NEW YORK  
No. 01KR6216833  
Qualified in Nassau County  
My Commission Expires 01-25-2022