



Certified: --

E-21-24

FILED WITH THE NASSAU COUNTY CLERK OF THE
LEGISLATURE FEBRUARY 13, 2024 9:30AM

NIFS ID: CFPW23000041

Capital: X

Contract ID #: CFPW23000041

NIFS Entry Date: 10/18/2023

Department: Public Works

Service: Rehab. of Mill Road over Fosters Brook Bridge
H63029-19D2

Term: 3 Years from Notice to Proceed

Contract Delayed:

Slip Type: New		
CRP:		
Blanket Resolution:		
Revenue:	Federal Aid:	State Aid:
Vendor Submitted an Unsolicited Solicitation:		

1) Mandated Program:	No
2) Comptroller Approval Form Attached:	Yes
3) CSEA Agmt. & 32 Compliance Attached:	Yes
4) Significant Adverse Information Identified? (if yes, attach memo):	No
5) Insurance Required:	Yes

Vendor/Municipality Info:	
Name: Hardesty & Hanover	ID#: 453031954
Main Address: 532 Broadhollow Road Melville, NY 11747 Contract Specific Address: 1501 Broadway, STE 601 New York, NY 10036	
Main Contact: RICHARD PANCHYK Contract Specific Contact: Richard Panchyk	
Main Phone: (646) 428-8503 Contract Specific Phone: (646) 428-8503	

Department:
Contact Name: Andrea Pereira
Address: NCDPW 1194 Prospect Avenue Westbury, NY 11590
Phone: (516) 571-9673
Email: apereira@nassaucountyny.gov, cpetrucci@nassaucountyny.gov, Ekobel@nassaucountyny.gov, ldionisio@nassaucountyny.gov

Contract Summary

Purpose: The Nassau County Department of Public Works (NCDPW) desires to procure Engineering Design Services and Construction Support Services for the rehabilitation of a bridge located at Mill Road over Fosters Brook (Inc. Village of Valley Stream) BIN 3300170.

Method of Procurement: The RFP was posted on the County's website utilizing E-Procure, New York State Contract Reporter and in Newsday.

Procurement History: The RFP was posted to the County's website and advertised in Newsday and the NYS Contract Reported on September 14, 2020. Proposals were received from nine (9) firms on October 23, 2020. The proposals were evaluated by professionals from within the Department of Public Works: The technical proposals were evaluated by professional staffs within the Department: Vivian Toscano, P.E. Civil, Engineer III, Garry Desyr, Civil Engineer II, and Andrea Pereira, Civil Engineer II.

Description of General Provisions: New agreement for construction management services. The term of the contract is 3 years with an option to extend for up to one (1) year for a total of 4 years.
Impact on Funding / Price Analysis: The maximum amount of this contract is \$705,000.00 Funding is available under Capital Project 63029.
Change in Contract from Prior Procurement: There is no prior procurement.
Recommendation: Approve as submitted.

Advisement Information

Fund	Control	Resp. Center	Object	Index Code	Sub Object	Budget Code	Line	Amount
CAP			00	PWCAPCAP	00002	PWCAPCAP 00002 63029 067	01	\$705,000.00
Project Number		63029						
Project Detail		067						
TOTAL							\$705,000.00	

Additional Info		Funding Source	Amount
Blanket Encumbrance		Revenue Contract:	
Transaction	103	County	\$0.00
		Federal	\$0.00
Renewal		State	\$0.00
% Increase		Capital	\$705,000.00
% Decrease		Other	\$0.00
		Total	\$705,000.00

Routing Slip

Department			
NIFS Entry	Ken Arnold	11/08/2023 01:39PM	Approved
NIFS Final Approval	Ken Arnold	11/08/2023 01:39PM	Approved
Final Approval	Ken Arnold	11/08/2023 01:39PM	Approved
DPW			
Capital Fund Approval	Ken Arnold	11/08/2023 01:39PM	Approved
Final Approval	Ken Arnold	11/08/2023 01:39PM	Approved
County Attorney			
RE & Insurance Verification	Grady Farnan	12/19/2023 04:10PM	Approved
Approval as to Form	Thomas Montefinise	11/09/2023 02:54PM	Approved
NIFS Approval	Mary Nori	12/20/2023 05:08PM	Approved
Final Approval	Mary Nori	12/20/2023 05:08PM	Approved
OMB			
NIFS Approval	Nadiya Gumieniak	11/08/2023 02:02PM	Approved
NIFA Approval	Christopher Nolan	11/10/2023 03:10PM	Approved
Final Approval	Christopher Nolan	11/10/2023 03:10PM	Approved
Compliance & Vertical DCE			
Procurement Compliance Approval	Andrew Levey	12/21/2023 03:46PM	Approved
DCE Compliance Approval	Robert Cleary	02/01/2024 11:48AM	Approved
Vertical DCE Approval	Arthur Walsh	02/11/2024 11:29AM	Approved
Final Approval	Arthur Walsh	02/11/2024 11:29AM	Approved
Legislative Affairs Review			
Final Approval	Christopher Leimone	02/11/2024 12:10PM	Approved

Legislature			
Final Approval			In Progress
Comptroller			
Claims Approval			Pending
Legal Approval			Pending
Accounting / NIFS Approval			Pending
Deputy Approval			Pending
Final Approval			Pending
NIFA			
NIFA Approval			Pending

RULES RESOLUTION NO. – 2024

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO EXECUTE A PERSONAL SERVICES AGREEMENT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE DEPARTMENT OF PUBLIC WORKS, AND HARDESTY & HANOVER, LLC.

WHEREAS, the County negotiated a personal services agreement with Hardesty & Hanover, LLC for services in connection with Engineering Design Services and Construction Support Services, a copy of which is on file with the Clerk of the Legislature; NOW, THEREFORE, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature authorizes the County Executive to execute the said agreement with Hardesty & Hanover, LLC.

CONTRACT FOR SERVICES

H63029-19D2

THIS AGREEMENT (together with the schedules, appendices, attachments and exhibits, if any, this "Agreement"), dated as of the date this Agreement is executed by the County of Nassau, between (i) Nassau County, a municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County") acting on behalf of the County Department of Public Works, having its principal office at 1194 Prospect Avenue, Westbury, New York 11590 (the "Department") and (ii) Hardesty & Hanover, LLC, having its principal office at 1501 Broadway, New York, New York 10036 (the "Firm" or the "Contractor").

WITNESSETH:

WHEREAS, the County desires to hire the Firm to perform the services described in this Agreement; and

WHEREAS, this is a personal service contract within the intent and purview of Section 2206 of the County Charter;

WHEREAS, the Firm desires to perform the services described in this Agreement.

NOW, THEREFORE, in consideration of the premises and mutual covenants contained in this Agreement, the parties agree as follows:

1. Term. This term of this Agreement shall commence on the date on which this Agreement is executed by the County (the "Commencement Date") and terminate on the three (3) year anniversary of the Commencement Date, (the "Expiration Date") unless sooner terminated or extended in accordance with its terms. Notwithstanding the foregoing, the Department, in its sole discretion, shall have the right to extend this Agreement for a period of up to one (1) year for design purposes by delivering a notice of extension to the Firm at least thirty (30) days prior to the Expiration Date. The Agreement so extended shall be on the same terms, conditions and covenants as during the initial term except that the Expiration Date shall be modified in accordance with the notice of extension. Any work started by the Firm, prior to the expiration date of the Agreement, may be completed in its entirety, even if the work is performed beyond the expiration date of the Agreement. Notwithstanding the foregoing, the Department, in its sole discretion, shall have the right to extend the Agreement for Extended Construction and/or Post Construction Phase Services until project close-out, by delivering a notice of extension to the Firm at least thirty (30) days prior to the Expiration Date. The Agreement so extended shall be on the same terms, conditions and covenants as during the initial term except that the Expiration Date shall be modified in accordance with the notice of extension.

2. Services.

- a. The services to be provided by the Firm under this Agreement consist of the development of an Initial Project Proposal/Project Scoping Report (IPP/PSR), Bridge Rehabilitation Justification Report (BRJR), Survey and ROW, Bridge Rehabilitation Report (BRR) and Design Approval Document (DAD) (if State/Federal Grant available), Advance Detailed Plans (ADP) and Plans

Specifications & Estimates (PS&E), and Construction Support Services for **Mill Road over Fosters Brook, Village of Valley Stream BIN 3300170**. The specific work divisions and deliverables related to this project are more particularly described in the "Detailed Scope of Services," attached hereto and hereby made a part hereof as Exhibit "A."

- b. At any time during the term of this Agreement, the County may, in its sole and absolute discretion, require the Contractor to perform Extra Services. The Firm shall not perform, nor be compensated for, Extra Services without the prior written approval of the Commissioner or his or her duly designated deputy. The Contractor agrees to perform any such Extra Services in accordance with the terms and conditions contained in this Agreement. As used herein, "Extra Services" means additional services which are (i) generally within the scope of services set forth in this Agreement, (ii) necessary or in furtherance of the goals of this Agreement and (iii) not due to the fault or negligence of the Contractor.

3. Payment.

(a) Amount of Consideration. The amount to be paid to the Firm as full consideration for the Firm's services under this Agreement shall be payable as set forth in the "Payment Schedule," attached hereto and made a hereby part hereof as Exhibit "B". Notwithstanding the foregoing, the maximum amount to be paid to the Firm for the Firm's services under this Agreement shall not to exceed **Seven Hundred Five Thousand Dollars and Zero Cents (\$705,000.00).**

(b) Vouchers: Voucher Review, Approval and Audit. Payments shall be made to the Firm in arrears and shall be contingent upon (i) the Contractor submitting a claim voucher (the "Voucher") in a form satisfactory to the County, that (a) states with reasonable specificity the services provided and the payment requested as consideration for such services, (b) certifies that the services rendered and the payment requested are in accordance with this Agreement, and (c) is accompanied by a progress report, in a form satisfactory to the County, indicating the percentage and description of work completed and other documentation satisfactory to the County supporting the amount claimed, and (ii) review, approval and audit of the Voucher by the Department and/or the County Comptroller or his or her duly designated representative (the "Comptroller").

(c) Timing of Payment Claims. The Firm shall submit claims no later than three (3) months following the County's receipt of the services that are the subject of the claim and no more frequently than once a month.

(d) No Duplication of Payments. Payments under this Agreement shall not duplicate payments for any work performed or to be performed under other agreements between the Firm and any funding source including the County.

(e) Payments in Connection with Termination or Notice of Termination. Unless a provision of this Agreement expressly states otherwise, payments to the Firm following the termination of this Agreement shall not exceed payments made as consideration for services that were (i) performed prior to termination, (ii) authorized by this Agreement to be performed, and (iii) not performed after the Firm received notice that the County did not desire to receive such services.

(f) Payments Relating to Services Rendered by Subcontractors. The County retains the right, but not the obligation, prior to making any payment to the Firm, to demand that the Firm furnish to the County, proof acceptable to the County, in its sole and absolute discretion, that all due and payable claims made by subcontractors in connection with this Agreement have been paid to date or are included in the amount being requested by the Firm.

4. Ownership and Control of Work Product

(a) Copyrights.

(i) Upon execution of this Agreement, any reports, documents, data, photographs and/or other materials produced pursuant to this Agreement, and any and all drafts and/or other preliminary materials in any format related to such items, shall become the exclusive property of the County.

(ii) Any reports, documents, data, photographs and/or other materials produced pursuant to this Agreement ("Copyrightable Materials") shall be considered "work-made-for-hire" within the meaning and purview of Section 101 of the United States Copyright Act, 17 U.S.C. §101, and the County shall be the copyright owner thereof and of all aspects, elements and components thereof in which copyright protection might exist. To the extent that the Copyrightable Materials do not qualify as "work-made-for-hire," the Contractor hereby irrevocably transfers, assigns and conveys exclusive copyright ownership in and to the Copyrightable Materials to the County, free and clear of any liens, claims, or other encumbrances. The Contractor shall retain no copyright or intellectual property interest in the Copyrightable Materials, and they shall be used by the Contractor for no other purpose without the prior written permission of the County.

(iii) The Contractor acknowledges that the County may, in its sole discretion, register copyright in the Copyrightable Materials with the U.S. Copyright Office or any other government agency authorized to grant copyright registrations. The Contractor shall cooperate in this effort, and agrees to provide any further documentation necessary to accomplish this.

(iv) The Contractor represents and warrants that the Copyrightable Materials: (1) are wholly original material not published elsewhere (except for material that is in the public domain); (2) do not violate any copyright law; (3) do not constitute defamation or invasion of the right of privacy or publicity, and (4) are not an infringement of any kind, of the rights of any third party. To the extent that the Copyrightable Materials incorporate any non-original material, the Contractor has obtained all necessary permissions and clearances, in writing, for the use of such non-original material under this Agreement, copies of which shall be provided to the County upon execution of this Agreement.

(b) Patents and Inventions. Any discovery or invention arising out of or developed in the course of performance of this Agreement shall be promptly and fully reported to the Department, and if this work is supported by a federal grant of funds, shall be promptly and fully reported to the Federal Government for

determination as to whether patent protection on such invention shall be sought and how the rights in the invention or discovery, including rights under any patent issued thereon, shall be disposed of and administered in order to protect the public interest.

(c) **Pre-existing Rights.** In no case shall 4(a) or 4(b) above apply to, or prevent the Contractor from asserting or protecting its rights in any report, document or other data, or any invention which existed prior to or was developed or discovered independently from the activities directly related to this Agreement.

(d) **Infringements of Patents, Trademarks, and Copyrights.** The Contractor shall indemnify and hold the County harmless against any claim for any infringement by the Contractor of any copyright, trade secrets, trademark or patent rights of design, systems, drawings, graphs, charts, specifications or printed matter furnished or used by the Contractor in the performance of this Agreement. The Contractor shall indemnify and hold the County harmless regardless of whether or not the infringement arises out of compliance with the scope of services/scope of work.

(e) **Antitrust.** The Contractor hereby assigns, sells, and transfers to the County all right, title and interest in and to any claims and causes of action arising under the antitrust laws of the State of New York or of the United States relating to the particular goods or services procured by the County under this Agreement.

5. **Independent Contractor.** The Firm is an independent Contractor of the County. The Firm shall not, nor shall any officer, director, employee, servant, agent or independent Contractor of the Firm (a "**Firm Agent**"), be (i) deemed a County employee, (ii) commit the County to any obligation, or (iii) hold itself, himself, or herself out as a County employee or Person with the authority to commit the County to any obligation. As used in this Agreement the word "**Person**" means any individual person, entity (including partnerships, corporations and limited liability companies), and government or political subdivision thereof (including agencies, bureaus, offices and departments thereof).

6. **No Arrears or Default.** The Contractor is not in arrears to the County upon any debt or contract and it is not in default as surety, contractor, or otherwise upon any obligation to the County, including any obligation to pay taxes to, or perform services for or on behalf of, the County.

7. **Compliance with Law.**

(a) **Generally.** The Contractor shall comply with any and all applicable Federal, State and local Laws, including, but not limited to those relating to conflicts of interest, human rights, a living wage, and disclosure of information, in connection with its performance under this Agreement. In furtherance of the foregoing, the Firm is bound by and shall comply with the terms of Appendice (Appendix) "EE" attached hereto and hereby made a part hereof. As used in this Agreement the word "Law" includes any and all statutes, local laws, ordinances, rules, regulations, applicable orders, and/or decrees, as the same may be amended from time to time, enacted, or adopted.

(b) **Nassau County Living Wage Law.** Pursuant to LL 1-2006, as amended, and

to the extent that a waiver has not been obtained in accordance with such law or any rules of the County Executive, the Contractor agrees as follows:

- (i) Contractor shall comply with the applicable requirements of the Living Wage Law, as amended;
- (ii) Failure to comply with the Living Wage Law, as amended, constitutes a material breach of this Agreement, such breach being determined solely by the County. Contractor has the right to cure such breach within thirty days of receipt of notice of breach from the County. In the event that such breach is not timely cured, the County may terminate this Agreement as well as exercise any other rights available to the County under applicable law.
- (iii) On a yearly basis, Contractor shall provide the County with any material changes to its Certificate of Compliance, attached hereto and hereby made a part hereof as Appendix "L."

(c) Records Access. The parties acknowledge and agree that all records, information, and data ("Information") acquired in connection with performance or administration of this Agreement shall be used and disclosed solely for the purpose of performance and administration of the contract or as required by law. The Contractor acknowledges that Contractor Information in the County's possession may be subject to disclosure under Article 6 of the New York State Public Officer's Law ("Freedom of Information Law" or "FOIL"). In the event that such a request for disclosure is made, the County shall make reasonable efforts to notify the Contractor of such request prior to disclosure of the Information so that the Contractor may take such action as it deems appropriate.

(d) Protection of Client Information. The Firm acknowledges and agrees that all information that the Firm acquires in connection with performance under this Agreement shall be strictly confidential, used solely for the purpose of performing services to or on behalf of the County, and shall not be disclosed to third parties except (i) as permitted under this Agreement, (ii) with the written consent of the County (and then only to the extent of the consent), or (iii) upon legal compulsion.

(e) Prohibition of Gifts. In accordance with County Executive Order 2-2018, the Contractor shall not offer, give, or agree to give anything of value to any County employee, agent, consultant, construction manager, or other person or firm representing the County (a "County Representative"), including members of a County Representative's immediate family, in connection with the performance by such County Representative of duties involving transactions with the Contractor on behalf of the County, whether such duties are related to this Agreement or any other County contract or matter. As used herein, "anything of value" shall include, but not be limited to, meals, holiday gifts, holiday baskets, gift cards, tickets to golf outings, tickets to sporting events, currency of any kind, or any other gifts, gratuities, favorable opportunities or preferences. For purposes of this subsection, an immediate family member shall include a spouse, child, parent, or sibling. The Contractor shall include the provisions of this subsection in each subcontract entered into under this Agreement.

(f) Disclosure of Conflicts of Interest. In accordance with County Executive

Order 2-2018, the Contractor has disclosed as part of its response to the County's Business History Form, or other disclosure form(s), any and all instances where the Contractor employs any spouse, child, or parent of a County employee of the agency or department that contracted or procured the goods and/or services described under this Agreement. The Contractor shall have a continuing obligation, as circumstances arise, to update this disclosure throughout the term of this Agreement.

(g) Vendor Code of Ethics. By executing this Agreement, the Contractor hereby certifies and covenants that:

- (i) The Contractor has been provided a copy of the Nassau County Vendor Code of Ethics issued on June 5, 2019, as may be amended from time to time (the "Vendor Code of Ethics"), and will comply with all of its provisions;
- (ii) All of the Contractor's Participating Employees, such term is defined in the Vendor Code of Ethics (the "Participating Employees"), have been provided a copy of the Vendor Code of Ethics prior to their participation in the underlying procurement;
- (iii) All Participating Employees have completed the acknowledgement required by the Vendor Code of Ethics;
- (iv) The Contractor will retain all of the signed Participating Employee acknowledgements for the period it is required to retain other records pertinent under this Agreement;
- (v) The Contractor will continue to distribute the Vendor Code of Ethics, obtain signed Participating Employee acknowledgements as new Participating Employees are added or changed during the term of this Agreement, and retain such signed acknowledgements for the period the Contractor is required to retain other records pertinent to performance under this Agreement; and
- (vi) The Contractor has obtained the certifications required by the Vendor Code of Ethics from any subcontractors or other lower tier participants who have participated in procurements for work under this Agreement.

8. Minimum Service Standards. Regardless of whether required by Law:

(a) The Firm shall, and shall cause Firm Agents to, conduct its, his or her activities in connection with this Agreement so as not to endanger or harm any Person or property.

(b) The Contractor shall deliver services under this Agreement in a professional manner consistent with the best practices of the industry in which the Contractor operates. The Contractor shall take all actions necessary or appropriate to meet the obligation described in the immediately preceding sentence, including obtaining and maintaining, and causing all Contractor Agents to obtain and maintain, all approvals, licenses, and certifications ("Approvals") necessary or appropriate in connection with this Agreement.

9. Indemnification; Defense; Cooperation.

(a) The Contractor shall be solely responsible for and shall indemnify and hold harmless the County, the Department and its officers, employees, and agents (the

“Indemnified Parties”) from and against any and all liabilities, losses, costs, expenses (including, without limitation, attorneys’ fees and disbursements) and damages (“Losses”), arising out of or in connection with any acts or omissions of the Contractor or a Contractor Agent, regardless of whether due to negligence, fault, or default, including Losses in connection with any threatened investigation, litigation or other proceeding or preparing a defense to or prosecuting the same; provided, however, that the Contractor shall not be responsible for that portion, if any, of a Loss that is caused by the negligence of the County.

(b) The Contractor shall, upon the County’s demand and at the County’s direction, promptly and diligently defend, at the Contractor’s own risk and expense, any and all suits, actions, or proceedings which may be brought or instituted against one or more Indemnified Parties for which the Contractor is responsible under this Section, and, further to the Contractor’s indemnification obligations, the Contractor shall pay and satisfy any judgment, decree, loss or settlement in connection therewith.

(c) The Contractor shall, and shall cause Contractor Agents to, cooperate with the County and the Department in connection with the investigation, defense or prosecution of any action, suit or proceeding in connection with this Agreement, including the acts or omissions of the Contractor and/or a Contractor Agent in connection with this Agreement.

(d) The provisions of this Section shall survive the termination of this Agreement.

10. Insurance.

(a) Types and Amounts. The Contractor shall obtain and maintain throughout the term of this Agreement, at its own expense: (i) one or more policies for commercial general liability insurance, which policy(ies) shall name “Nassau County” as an additional insured and have a minimum single combined limit of liability of not less than one million dollars (\$1,000,000) per occurrence and two million dollars (\$2,000,000) aggregate coverage, (ii) if contracting in whole or part to provide professional services, one or more policies for professional liability insurance, which policy(ies) shall have a minimum single combined limit liability of not less than one million dollars (\$1,000,000) per occurrence and two million dollars (\$2,000,000) aggregate coverage, (iii) compensation insurance for the benefit of the Contractor’s employees (“Workers’ Compensation Insurance”), which insurance is in compliance with the New York State Workers’ Compensation Law, and (iv) such additional insurance as the County may from time to time specify.

(b) Acceptability; Deductibles; Subcontractors. All insurance obtained and maintained by the Contractor pursuant to this Agreement shall be (i) written by one or more commercial insurance carriers licensed to do business in New York State and acceptable to the County, and which is (ii) in form and substance acceptable to the County. The Contractor shall be solely responsible for the payment of all deductibles to which such policies are subject. The Contractor shall require any subcontractor hired in connection with this Agreement to carry insurance with the same limits and provisions required to be carried by the Contractor under this Agreement.

(c) Delivery; Coverage Change; No Inconsistent Action. Prior to the execution of this Agreement, copies of current certificates of insurance evidencing the insurance coverage required by this Agreement shall be delivered to the Department. Not less than

thirty (30) days prior to the date of any expiration or renewal of, or actual, proposed or threatened reduction or cancellation of coverage under, any insurance required hereunder, the Contractor shall provide written notice to the Department of the same and deliver to the Department renewal or replacement certificates of insurance. The Contractor shall cause all insurance to remain in full force and effect throughout the term of this Agreement and shall not take or omit to take any action that would suspend or invalidate any of the required coverages. The failure of the Contractor to maintain Workers' Compensation Insurance shall render this contract void and of no effect. The failure of the Contractor to maintain the other required coverages shall be deemed a material breach of this Agreement upon which the County reserves the right to consider this Agreement terminated as of the date of such failure.

11. Assignment: Amendment: Waiver: Subcontracting. This Agreement and the rights and obligations hereunder may not be in whole or part (i) assigned, transferred or disposed of, (ii) amended, (iii) waived, or (iv) subcontracted, without the prior written consent of the County Executive or his or her duly designated deputy (the "County Executive"), and any purported assignment, other disposal or modification without such prior written consent shall be null and void. The failure of a party to assert any of its rights under this Agreement, including the right to demand strict performance, shall not constitute a waiver of such rights.

12. Termination.

(a) Generally. This Agreement may be terminated (i) for any reason by the County upon thirty (30) days' written notice to the Firm, (ii) for "Cause" by the County immediately upon the receipt by the Contractor of written notice of termination, (iii) upon mutual written Agreement of the County and the Contractor, and (iv) in accordance with any other provisions of this Agreement expressly addressing termination.

As used in this Agreement the word "Cause" includes: (i) a breach of this Agreement; (ii) the failure to obtain and maintain in full force and effect all Approvals required for the services described in this Agreement to be legally and professionally rendered; and (iii) the termination or impending termination of federal or state funding for the services to be provided under this Agreement.

(b) By the Firm. This Agreement may be terminated by the Firm if performance becomes impracticable through no fault of the Firm, where the impracticability relates to the Firm's ability to perform its obligations and not to a judgment as to convenience or the desirability of continued performance. Termination under this subsection shall be effected by the Firm delivering to the commissioner or other head of the Department (the "Commissioner"), at least sixty (60) days prior to the termination date (or a shorter period if sixty days' notice is impossible), a notice stating (i) that the Firm is terminating this Agreement in accordance with this subsection, (ii) the date as of which this Agreement will terminate, and (iii) the facts giving rise to the Firm's right to terminate under this subsection. A copy of the notice given to the Commissioner shall be given to the Deputy County Executive who oversees the administration of the Department (the "Applicable DCE") on the same day that notice is given to the Commissioner.

(c) Contractor Assistance upon Termination. In connection with the termination or impending termination of this Agreement the Firm shall, regardless of the

reason for termination, take all actions reasonably requested by the County (including those set forth in other provisions of this Agreement) to assist the County in transitioning the Firm's responsibilities under this Agreement. The provisions of this subsection shall survive the termination of this Agreement.

13. Accounting Procedures; Records. The Firm shall maintain and retain, for a period of six (6) years following the later of termination of or final payment under this Agreement, complete and accurate records, documents, accounts and other evidence, whether maintained electronically or manually ("Records"), pertinent to performance under this Agreement. Records shall be maintained in accordance with Generally Accepted Accounting Principles and, if the Firm is a non-profit entity, must comply with the accounting guidelines set forth in the federal Office of Management & Budget Circular A-122, "Cost Principles for Non-Profit Organizations." Such Records shall at all times be available for audit and inspection by the Comptroller, the Department, any other governmental authority with jurisdiction over the provision of services hereunder and/or the payment therefore, and any of their duly designated representatives. The provisions of this Section shall survive the termination of this Agreement.

14. Limitations on Actions and Special Proceedings against the County. No action or special proceeding shall lie or be prosecuted or maintained against the County upon any claims arising out of or in connection with this Agreement unless:

(a) Notice. At least thirty (30) days prior to seeking relief the Firm shall have presented the demand or claim(s) upon which such action or special proceeding is based in writing to the Applicable DCE for adjustment and the County shall have neglected or refused to make an adjustment or payment on the demand or claim for thirty (30) days after presentment. The Firm shall send or deliver copies of the documents presented to the Applicable DCE under this Section to each of (i) the Department and the (ii) the County Attorney (at the address specified above for the County) on the same day that documents are sent or delivered to the Applicable DCE. The complaint or necessary moving papers of the Firm shall allege that the above-described actions and inactions preceded the Firm's action or special proceeding against the County.

(b) Time Limitation. Such action or special proceeding is commenced within the earlier of (i) one (1) year of the first to occur of (A) final payment under or the termination of this Agreement, and (B) the accrual of the cause of action, and (ii) the time specified in any other provision of this Agreement.

15. Work Performance Liability. The Firm is and shall remain primarily liable for the successful completion of all work in accordance this Agreement irrespective of whether the Firm is using a Firm Agent to perform some or all of the work contemplated by this Agreement, and irrespective of whether the use of such Firm Agent has been approved by the County.

16. Consent to Jurisdiction and Venue; Governing Law. Unless otherwise specified in this Agreement or required by Law, exclusive original jurisdiction for all claims or actions with respect to this Agreement shall be in the Supreme Court in Nassau County in New York State and the parties expressly waive any objections to the same on any grounds, including venue and forum non conveniens. This Agreement is intended as a contract under, and shall be governed and construed in accordance with, the Laws of New York State, without regard to the conflict of laws provisions thereof.

17. Notices. Any notice, request, demand or other communication required to be given or made in connection with this Agreement shall be (a) in writing, (b) delivered or sent (i) by hand delivery, evidenced by a signed, dated receipt, (ii) postage prepaid via certified mail, return receipt requested, or (iii) overnight delivery via a nationally recognized courier service, (c) deemed given or made on the date the delivery receipt was signed by a County employee, three (3) business days after it is mailed or one (1) business day after it is released to a courier service, as applicable, and (d)(i) if to the Department, to the attention of the Commissioner at the address specified above for the Department, (ii) if to an Applicable DCE, to the attention of the Applicable DCE (whose name the Firm shall obtain from the Department) at the address specified above for the County, (iii) if to the Comptroller, to the attention of the Comptroller at 240 Old Country Road, Mineola, NY 11501, and (iv) if to the Firm, to the attention of the person who executed this Agreement on behalf of the Firm at the address specified above for the Firm, or in each case to such other persons or addresses as shall be designated by written notice.

18. All Legal Provisions Deemed Included: Severability; Supremacy and Construction.

(a) Every provision required by Law to be inserted into or referenced by this Agreement is intended to be a part of this Agreement. If any such provision is not inserted or referenced or is not inserted or referenced in correct form then (i) such provision shall be deemed inserted into or referenced by this Agreement for purposes of interpretation and (ii) upon the application of either party this Agreement shall be formally amended to comply strictly with the Law, without prejudice to the rights of either party.

(b) In the event that any provision of this Agreement shall be held to be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.

(c) Unless the application of this subsection will cause a provision required by Law to be excluded from this Agreement, in the event of an actual conflict between the terms and conditions set forth above the signature page to this Agreement and those contained in any schedule, exhibit, appendix, or attachment to this Agreement, the terms and conditions set forth above the signature page shall control. To the extent possible, all the terms of this Agreement should be read together as not conflicting.

(d) Each party has cooperated in the negotiation and preparation of this Agreement. Therefore, in the event that construction of this Agreement occurs, it shall not be construed against either party as drafter.

19. Section and Other Headings. The section and other headings contained in this Agreement are for reference purposes only and shall not affect the meaning or interpretation of this Agreement.

20. Entire Agreement. This Agreement represents the full and entire understanding and agreement between the parties with regard to the subject matter hereof and supersedes all prior agreements (whether written or oral) of the parties relating to the subject matter of this Agreement.

21. Administrative Service Charge. The Firm agrees to pay the County an

administrative service charge of Five Hundred and Thirty-Three dollars and no cents (\$ 533.00) for the processing of this Agreement pursuant to Ordinance Number 74-1979, as amended by Ordinance Number 201-2001. The administrative service charge shall be due and payable to the County by the Firm upon signing this Agreement.

22. Joint Venture.

(a) If the Firm is comprised of more than one legal entity or any group of partners or joint venturers associated for the purpose of undertaking this Agreement, each such entity acknowledges and hereby affirmatively represents and agrees that each has the power to bind the Firm and each of the others hereunder; and as such, each acts both as principal and agent of the Firm and of each of the others hereunder. Each further acknowledges and agrees that all such entities, partners or joint venturers associated for the purposes of undertaking this Agreement shall be jointly and severally liable to third parties, including but not limited to the County, for the acts or omissions of the Firm or any other entity, partner or joint venturer hereunder.

(b) If the Firm is comprised of more than one legal entity or any group of partners or joint venturers associated for the purposes of undertaking this agreement, each such entity acknowledges and hereby affirmatively represents and agrees that the respective rights, duties and liabilities of each hereunder shall be governed by the laws of the State of New York, including but not limited to the New York Partnership Law.

23. Executory Clause. Notwithstanding any other provision of this Agreement:


(a) Approval and Execution. The County shall have no liability under this Agreement (including any extension or other modification of this Agreement) to any Person unless (i) all County approvals have been obtained, including, if required, approval by the County Legislature, and (ii) this Agreement has been executed by the County Executive (as defined in this Agreement).

(b) Availability of Funds. The County shall have no liability under this Agreement (including any extension or other modification of this Agreement) to any Person beyond funds appropriated or otherwise lawfully available for this Agreement, and, if any portion of the funds for this Agreement are from the state and/or federal governments, then beyond funds available to the County from the state and/or federal governments.

{Remainder of page intentionally lefty blank}

IN WITNESS WHEREOF, the Firm and the County have executed this Agreement as of the date first above written.

HARDESTY & HANOVER LLC

By: 
Name: Sean A. Bluni
Title: Chief Executive Officer
Date: August 29, 2023

NASSAU COUNTY

By: _____
Name: _____
Title: Deputy County Executive
Date: _____

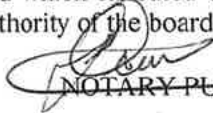
PLEASE EXECUTE IN BLUE INK

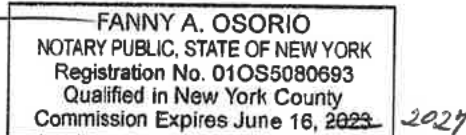
STATE OF NEW YORK)

)ss.:

COUNTY OF ~~MASSACHUSETTS~~) New York

On the 29th day of August in the year 2023 before me personally came Sean A. Bluni to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Mercer, NJ; that he or she is the Chief Executive Officer of Hardesty & Hanover, LLC, the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said ~~corporation~~ Limited Liability Company.


NOTARY PUBLIC



STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU)

On the ____ day of _____ in the year 20__ before me personally came _____ to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of _____; that he or she is a Deputy County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

NOTARY PUBLIC

EXHIBIT A
Scope of Work
Mill Road over Fosters Brook, Village of Valley Stream BIN 3300170

Firm will be required to assess and evaluate the structural condition of the bridge to identify necessary repairs and improvements to bring this bridge into a state of good repair and compliance with applicable codes and standards. In addition, the Firm must investigate the current condition of all structural components of the bridge and propose a viable solution to improve the long-term condition and extend the life cycle of the bridge. Such components include but are not limited to the following: the deck slabs and joints, rocker/fixed bearings, concrete parapet walls and sidewalks. All drainage structures within the bridge limits should be assessed to ensure proper functionality and if found to be deficient solutions should be proposed to remedy all sub-standard components of the drainage system. Sidewalks on both side of the bridge will need to be evaluated and upgraded as deemed necessary to comply with AASHTO and ADA requirements. Overall, the Scope of Work as described shall include at a minimum all work needed for a Bridge Project and accordingly the surrounding drainage system, accident analysis, Traffic Counts and Load rating analysis should be investigated. All non-standard features, if any on the Bridge approach roads shall also be addressed. Any special geotechnical investigation (other than soil boring) shall also be performed if deemed necessary and cost is included under Sub Task C.1.

C.1 Initial Project Proposal/Project Scoping Report (IPP/PSR) & Bridge Rehabilitation Justification Report (BRJR):

A thorough inspection of the bridge in order to develop the following reports: Initial Project Proposal (IPP), Project Scoping Report (PSR) and the Bridge Rehabilitation Justification Report (BRJR). During this preliminary phase, coordination with various State's Agencies, such as, NY State Department of State (NYSDOS), NY State Department of Conservation (NYSDEC), US Army Corp of Engineers, (USACOE), US Coast Guard (USCG) and all applicable Agencies, and Utilities identified within the bridge's limits will be required for permitting purposes and proposed utility work. Upon review, consultation and approval by NCDPW of all the above noted required bridge reports, the selected firm will be notified of the preferred alternative selected by the County and instructed to proceed with the development of the ADP and PS&E using either of two (2) separate options.

Option 1: The firm will proceed with ADP and PS&E (biddable documents) utilizing NYSDOT standard specifications, items, general notes, details all in compliance with Highway Design Manual (HDM) and Bridge Design Manual (BDM). This option will be used if outside grant funding is not available.

Option 2: The firm will develop the Bridge Rehabilitation Report (BRR) and the Design Approval Document (DAD) for approval by NCDPW and NYSDOT and any other Agencies having jurisdiction and then proceed to ADP and PS&E utilizing NYSDOT standard specifications, items, general notes, and details all in compliance with NYSDOT Specifications, Highway Design Manual (HDM), Bridge Design Manual (BDM) and Project Development Manual (PDM). This option will be used if outside grant is available.

I. Initial Project Proposal/Project Scoping Report (IPP/PSR)

1. Firm will be required to inspect the bridge and recommend alternatives with estimated costs to rehabilitate/repair the bridge's substructure and superstructure to improve safety and ridership experience for all users.
2. A Project Scoping Report (PSR) will be prepared after the Initial investigation is completed.

II. Preparation of a Bridge Rehabilitation Justification Report (BRJR)

Prepare a Bridge Rehabilitation Report (BRJR) for the reconstruction/rehabilitation of the bridge. The BRJR shall include but is not limited to the followings:

1. Thorough field investigation of project site to identify bridge physical elements. Take digital photographs and videos as necessary to describe current conditions.
2. Description of the existing conditions and deficiencies identified by the Firm.
3. Description of the existing condition of the bridge approaches.
4. Inspect the surrounding drainage system, describe the system's condition and recommend any improvements.
5. Identify limits of Bridge underpass (e.g. right-of-way, facilities limits, etc.).
6. Evaluate sidewalks and curbs condition, paying careful attention for compliance with American Disability Act (ADA) requirements, if non-conforming, propose solution to mitigate.
7. Evaluate the condition of the bridge abutments to report any structural deficiencies and propose solution to remedy.
8. Describe any potential environmental concerns and address action required.
9. Identify capacity issues, safety considerations, load rating and pavement and shoulder conditions.
10. Any special geotechnical investigations deemed necessary.
11. Identify all traffic control devices and ITS features within the bridge boundaries.
12. Describe miscellaneous features, characteristics and condition.
13. Identify non-standard and non-conforming features.
14. Prepare a utility investigation and locate all major utilities including facilities. Provide preliminary report.
15. Hazardous Materials Assessment/Survey. Hazardous Material Investigation Report to be included as an appendix.
16. Phase I Environmental Site Assessment will be conducted in accordance with NYSDOT/NCDPW requirements and will consist of a regulatory agency database search/review, a historical site use review, and an on-site reconnaissance. Should the Phase I ESA identify Recognized Environmental Conditions (RECs), a Phase II may be recommended. However, if required, it will be conducted under a supplemental request.
17. Identify design standards, critical design elements and controlling parameters. Obtain concurrence from the County on the aforementioned design criteria before evaluating repair/bridge replacement option.
18. Discussion of repair improvement options or replacement alternative based on Nassau County budgetary considerations.
19. Explain what best practices and innovations are being recommended, if any.
20. Striping plan recommendations/modifications with input from Nassau County Traffic Engineering Unit.
21. Plan for maintenance and protection of traffic to implement the recommended option.
22. Identification and descriptions of studies, tests, technical surveys, etc. which will be required to determine the final design.

23. Describe how the recommended designs will affect the surrounding communities, such as school, office buildings, sports facilities, religious institutions, local residents, businesses, traffic, and the environmental impacts during construction and nighttime work, if any.
24. How the recommended bridge project will be coordinated with any other planned projects within the vicinity, and how the schedule will be developed to minimize the disruption to local residents, businesses and communities.
25. Implementation of SWPPP.
26. Analyze the potential value of including a time-related contract provision as describe in NYSDOT "Guidelines for the use of Time-Related Contract provisions" NYSDOT EI 05-005 if such action is recommended.
27. Recommend preventative maintenance treatments.
28. Prepare a Bridge Rehabilitation Justification Report (BRJR) with preliminary construction cost indicating final design deliverable dates and construction schedule.
29. Prepare a preliminary construction cost estimate and a basis for estimate, which will include but is not limited to brief project scope description, description of the tools, techniques, estimating methodology, and data used to develop the final cost estimate, identification of any potential cost risks and opportunities, all project assumptions and constraints used to develop the cost estimate, and quantity take off of materials, changes made during the progress of design should also be reflected in the revised cost estimates.
30. The completed BRJR Report will be reviewed and approved by Nassau County. (Also, to be approved by NYSDOT if funding is available).

Provide eight (8) copies (minimum) of the draft BRJR for review by NCDPW. Meet and confer with NCDPW and others as/if necessary, to discuss the parameters of the design and review comments on the draft BRJR. Finalize the BRJR incorporating any revisions and provide eight (8) paper copies (Minimum) and an electronic (*.PDF format) copy of the BRJR.

C1.1. Seismic Investigation

A seismic analysis of the bridges will be conducted considering a single design level earthquake (1000-Year Event) as required by the NYSDOT Blue Pages for an Essential Bridge in Downstate Region.

The response spectrum would be based on the results of a boring exploration program and evaluation of the subsurface profile and material tests. A geophysical field test program would be implemented, which would provide values of shear and compressive wave velocities of the soils present at the site. These values would be used in conjunction with the NYSDOT Blue Pages to develop the 1000 year response spectrum.

The bridges would be analyzed for seismic forces using CSI Bridge software and the creation of a 3-Dimension model. Capacity / Demand (C/D) ratios would be calculated for critical bridge components including the bridge foundations/piles, abutment walls and piers.

A brief final report would be prepared that will outline the basis for analysis, modelling techniques, C/D Ratios, and governing calculations, results and recommendations.

C.2 Survey and Right of Way (ROW):

Consistent with Firm's proposal, Firm shall decide the appropriate surveying method to use, and should describe the survey methodology to be utilized considering NYSDOT approval, and Right of Way (ROW) map will be required. No Acquisition is anticipated.

Firm will perform survey, ROW and mapping operations for both bridges. The survey for both bridges will be performed on the roadway. The top of deck limits of the survey will extend 100 feet beyond the bridge abutment joints.

Horizontal and Vertical Control- Prior to the start of the required survey work, Firm shall submit a survey procedure to the County for approval. A baseline with at least two ties into the Nassau County Geographic Information System (GIS) will be established and monumented. This baseline will be referenced for locating all topography and necessary to layout construction.

A vertical control system will be provided with ties into the same two monuments of the Nassau County GIS used to establish the base line control. The benchmarks will be established and documented within the project limits and bench run worksheets will be submitted to the County for review when completed.

Horizontal coordinates will be in the NAD83, NYSP, LI Zone (2011, Epoch 2010.00) system while elevations will be in the NAVD88 datum. GPS RTK techniques will be used to bring these systems to the site. Survey control points, both horizontal and vertical, will be set off the structure of the bridge. A horizontal traverse will be run through all control points, using a total station and a level run, to tighten up the GPS coordinates.

Base Map- A base map which is to include all topographic data of the required limits will be developed following the horizontal and vertical controls previously established. The base map will include all items outlined in the RFP and furnished to the County in a digital format that is in accordance with the latest version of the NCGIS Geographic Data Standards.

Detail Map- A CAD-generated detail map showing all property line data relative to the survey base lines, the proposed center line, the existing and proposed right-of-way lines, and all parcels within the project limits will be generated. Right-of-way along the bridge and waterway will be shown. A reproducible copy of the detail map which will indicate the field ties for all critical base line stations, coordinates for existing field points and final theoretical coordinates help will be provided to the County after the acceptance of the final detailed construction drawings and specifications.

No acquisition is anticipated.

Right-of-Way Maps

A right-of-way map will be developed in accordance with NYSDOT requirements. Our team will investigate the right-of-way ownership of the adjacent properties if necessary.

C.3 Bridge Rehabilitation Report (BRR) and Design Approval Document (DAD) (only if State or Federal Fund is available):

1. Prepare applicable Reports in compliance with Highway Design Manual (HDM), Bridge Design Manual (BDM) and Project Development Manual (PDM) and submit eight (8) copies of the draft reports for review by NCDPW/NYS DOT.
2. Meet and confer with NCDPW/NYS DOT, and others as necessary, to discuss the parameters of the design and review comments on Draft Reports. Finalize the Reports incorporating any revisions and provide eight (8) paper copies and electronic.

C.4 Advance Detailed Plans (ADP) and Plans Specifications & Estimates (PS&E):

Upon written approval of the BRJR or BRR and DAD, the Firm agrees to perform all the design services in connection with the preparation of Advance Detail Plans (ADP) and PS&E, that include contract drawings, specifications, schedules and estimates, and all required permits, for the bridge rehabilitation project suitable for public bidding. The County will direct the firm on how to proceed with the design approval for NYSDOT or Nassau County or both.

I. DESIGN PLANS

The firm shall develop an alignment upon the Base and Coordinated Detail Map to reflect the conceptual plan developed in the Bridge Rehabilitation Justification Report (BRJR) that was approved by the Commissioner, or BRR/DAD as approved by NYSDOT and the Commissioner. Drainage system design shall utilize the Rational Formula, using rainfall intensity corresponding to a 10 (ten) year frequency storm. If the County will fund the project, or per stricter criteria provided by NYSDOT, if the design will proceed with Federal and/or State funds. Based upon the above criteria, the Firm shall:

1. Prepare preliminary graphic layout plans at a scale of 1"=20' and graphic profiles at scales of 1"=20' horizontally and 1"=2' vertically. Changes to these scales require prior County approval. The graphic layout plans shall be in the current County standard with the vertical profile on the upper ½ of the drawing and the horizontal alignment on the lower ½.

Show on said plans the proposed alignment of the project area and adjacent site information including roadway widths, typical sections, layout of drainage system, and limits of restoration, on the same drawing as the existing conditions. Existing line weight and numbers shall be fine (0.30 mm or equivalent) and proposed details shall be bolder (0.50 - 0.80 mm or equivalent).

2. Prepare and submit a preliminary construction cost estimate and a basis for estimate, which will include but is not limited to brief project scope description, description of the tools, techniques, estimating methodology, and data used to develop the final cost estimate, identification of any potential cost risks and opportunities, all project assumptions and constraints used to develop the cost estimate, and quantity take off of materials changes made during the progress of design should also be reflected in the revised cost estimates.
3. Submit the preliminary plans for approval as noted above.

4. Based upon a study and inspection, supplemented by field investigation, considering all aspects of the proposed project the firm will provide a recommended engineering solution along with alternatives.

II. EXTRA SERVICES

a) Soils Investigation and Reports

1. If necessary, and upon the written direction of the Commissioner and appropriation and encumbrance of funds for the purpose, the Firm shall prepare plans, specifications, and estimates of costs for soils investigations and take proposals from at least three boring contractors. Before awarding the boring contract, the Firm shall first obtain the written approval of the successful bidder by the Commissioner.
2. Determine and stake out in the field the locations and depths at which the borings shall be made, observe the work of the boring contractor and the soils testing agency, analyze the information from these operations, and prepare a report thereon, complete with foundation recommendation. Coordination of the soils investigations and summarization of the report done by the selected Firm is not considered extra work.
3. This will be considered extra work, and reimbursement for this work shall be in accordance with Exhibit B C.4 – II. Extra Services.

b) Extra Services

For any unforeseen conditions encountered during design, the firm shall inform the County in writing and request the approval of the Commissioner before commencing any work. In addition, a revised schedule with a cost proposal shall be included and the work shall proceed upon approval by the County. Payments for such works shall be in accordance with Exhibit B Section C.4- II. Extra Services.

III. Coordination with Public, Private Utilities, Municipalities.

1. Contact the appropriate staff at both public utility agencies and private utility companies known to have installations in the construction area to determine the locations and sizes of all existing subsurface installations and determine the scope of any future plans being considered in the area of the work. This data shall be further supplemented with data obtained by field reconnaissance. **It should also be noted that simply sending a letter to the utilities and submitting a non-responsive answer to the County from the utilities would be considered unacceptable. At least one (1) utility coordination meeting will be required prior to the completion of the BRJR.**
2. Perform the necessary liaison work associated with relocation of utilities and approval of drawings by (as necessary). However, the Firm shall not be required to design such relocation work, except where such installations are owned by municipalities or special districts. In the latter cases, the relocation shall be considered part of the design and the cost thereof shall be included in the Firm's estimate of construction cost. In either case, the Firm will be required to show on contract drawings existing, proposed, and/or relocated utilities or facilities.

3. Close coordination with Villages, Municipalities, Town, Utilities and all other immediate or proposed projects in this area is anticipated, including one Community meeting with necessary presentation by NCDPW/NYS DOT (if necessary)

IV. Final Detailed Drawings and Specifications

Based upon written approval of the preliminary plans by the Commissioner, the Firm shall:

1. Prepare detailed contract plans at a scale of 1"=20' on 21" x 31-1/2" (border to border) standard size sheets and supporting data for each construction contract of the project in accordance with the current practice of the Nassau County Department of Public Works which originals shall be submitted by the Firm. Said data shall be furnished to the County in a digital format as prescribed in the latest version of the NCGIS Geographic Data Standards, or in a mutually agreeable format. Changes to this scale require prior County approval.
2. Prepare drawings, which shall include all plans, profiles, typical sections, structural details and detailed designs necessary to construct the project and showing existing grades and proposed profile grades at least at every 50-foot station. Plans shall be prepared with the profile on the top half of the sheet and the plan view on the bottom half and shall include all information for the particular station interval shown on the plan. Separate sheets for drainage, utility or contours will not be acceptable unless otherwise approved. The detailed design shall address the impact on every adjacent property. If applicable, the soil boring log sheet information gathered under Section C.4- II. a) Soils Investigations and Reports shall be incorporated into the Design Plans.
3. Prepare detailed design drawings of each structure and its component parts and comprising all plans, elevations, sections, and other drawings necessary for construction purposes.
4. Develop Final Estimate, which shall include but is not limited to:
 - a. Develop and tabulate an estimate of all items necessary to complete the work as shown on the construction plans with their corresponding estimated neat (before rounding) quantities.
 - b. Prepare a final estimate of construction costs based on current prices for neat quantities
5. Develop Basis of Estimate document, which shall include but is not limited to:
 - a. Brief Project Scope Description
 - b. Description of the tools, techniques, estimating methodology, and data used to develop the final cost estimate
 - c. Identification of any potential cost risks and opportunities
 - d. All project assumptions and constraints used to develop the cost estimate
 - e. changes made during the progress of design should also be reflected in the revised cost estimates
6. Develop Construction Sequence

7. Furnish all special specifications and addenda notes required to construct the project in addition to the standard specifications of the NYSDOT plus current updates or new versions to same.

8. Develop and submit cross-sections for project work, prepared on a 10 x 10 grid cross-section paper, using a vertical scale of 1"=2' and a horizontal scale of 1"=5'. Areas of excavation and fill by classification shall be shown on these sheets. Such cross-sections, although required by the County, shall not be considered to be part of the contract plans. The cross-sections shall be delivered to the County, along with the contract plans, for the County's information and use.

The Firm shall adhere to the following additional requirements:

- a. The contract drawings shall be prepared in an approved AutoCAD release version and shall follow the standard sheets acceptable to the County and/or NYSDOT based on the County final decision to finance the project using County funds and/or federal/ State fund. The Firm shall furnish the contract drawings on CD-ROM and a flash drive.
- b. Submittal of bid plans and master specification book in *.PDF format; as well as eight (8) hard copies of each full-size drawings and specification book.
- c. Pavement marking design, as mandated by the MUTCD and the NYS Supplement, shall be done in coordination with the County's Traffic Engineering Unit.
- d. During the preparation of these documents, the Firm shall perform the following services: Submit ADP, and PS&E full size plans and specifications for County review, at a minimum (eight (8) sets of hard copy and digital copies of each document mentioned above) is required for approval.
- e. Attend design progress review meetings in order to evaluate job advancement and to resolve design and all other related issues. A representative of the Firm will prepare draft and final minutes of meetings.
- f. Submit one (1) copy of a detailed construction cost estimate and the basis of estimate. The cost estimates will have a breakdown by Nassau County and/or NYSDOT Specifications for each cost item in the estimate.
- g. Prepare and submit all required permits.
- h. All documents regarding utility coordination and project related correspondence with Town, communities, etc. shall be provided in writing to Nassau County DPW, if so requested.
- i. Prepare permit applications, correspondences, reports or submittals required by other agencies having jurisdiction. This task will not be considered complete until it culminates in a permit or pre/post design approval by the appropriate agency or agencies. The Firm must be aware of the latest applicable codes and agency procedural requirements in order to expeditiously complete this design task. Correspondence shall be submitted directly to the agency for review/approval with at least two copies to the County, unless otherwise directed. Typical regulatory agencies involved in our Bridge Projects may include, but will not be limited to, the following:
 - i. New York State Department of Transportation (NYSDOT), State Department of State, (NYSDOS), NY State Department of Conservation

- (NYSDEC), US Army Corp of Engineers, (USACOE), US Coast Guard (USCG) or any other Agencies having jurisdiction.
 - ii. Nassau County agencies
 - iii. Other Local agencies (Towns, Villages, Municipalities.)
- j. Submit written responses to all County review comments if the project is County funded and NYSDOT if the project is federally and/or State funded.
- k. Make periodic site visits as necessary for a complete understanding of the system operation.
- l. Submittal of bid plans and a master specification book. The Firm shall have the required number of sets of bid documents printed without the assistance of the County.
- m. Review all comments and/or questions posed by prospective bidders.
- n. Prepare all necessary addenda to the contract documents.
- o. Review all bid proposals received and provide a written recommendation regarding award of the construction contracts.
- p. When requested, the Firm will provide copies of any and all design calculations
- q. If requested, the Firm will assist the County should any design questions arise during the advertisement bid period.
- r. The Firm shall submit the Project Schedule within two (2) weeks of kickoff meeting. Once the Project Schedule has been reviewed and signed off by the Firm and County, it will become the Baseline Schedule.
- s. The Firm shall submit a Progress Report and Schedule on a monthly basis, clearly indicating the work done to date. Progress will be measured against the Baseline Schedule.

V. Federal And/Or NY State Requirements

Legal Compliance

Proposals submitted and subsequent design and related services must comply with all applicable Federal, State and local laws, rules, regulations, codes, ordinances, and standards. These may include but are not limited to New York State General Municipal Law, the New York State Environmental Quality Review Act (SEQRA), New York State Pollution Discharge Elimination System (SPDES), Local and State codes and ordinances, and all other applicable Federal, State, and local regulations. The Firm shall coordinate its design and related services with authorities having jurisdiction. All submittals required by such authorities having jurisdiction shall be the responsibility of the Firm.

C.5 Services During Construction

I. General Construction Support (Upon written approval by the Commissioner)

- 1. When requested in writing by the Commissioner, the Firm shall provide general construction support services to include the checking and approving of construction drawings, shop and

erection drawings, schedules and other submissions for conformance with the design concept of the project and requirements of the contract documents, bid breakdowns, change order requests, disputed work and any other such items.

2. The Firm shall render consulting services in connection with the execution of the work. In the performance of such services, The Firm shall make such visits to the site as may be necessary to resolve any conflicts concerning the design drawings or for the interpretation of the plans and specifications. Attend construction progress meeting to assist in resolving Design related issues.

3. The Firm shall update the final detailed contract plans by preparing new as built mylar drawings showing all field changes for each construction contract of the project in accordance with the current practice of the Nassau County Department of Public Works. Said data shall also be furnished to the County in a digital format as prescribed in the latest version of the NCGIS Geographic Data Standards, or in a mutually agreeable format.

4. If the total amount of the lowest responsible construction bids should exceed the final approved construction cost, which is based on the Firm's final construction cost estimate, by more than fifteen (15) percent, the County may require the Firm to revise the plans, at no additional cost to the County, so as to stay within the final approved estimated cost of construction. The Firm is responsible for obtaining construction budget information from the County prior to commencing work on the design.

5. In preparing the contract specifications, the County shall furnish the Firm with a copy of the standard contract "Front End" consisting of the Notice to Bidders, Instructions to Bidders, Agreement and General Conditions. The Proposal Forms, Special Conditions and all other sections of the technical specifications shall be developed by the Firm. The specifications shall be written following Nassau /NYSDOT format.

The County may provide the Firm with access to documents and plans that may be helpful in this project depending on availability.

II. Inspection Services during Construction are not included in this Agreement.

EXHIBIT B
Payment Schedule

A. Preliminary Design

- C.1 Initial Project Proposal/Project Scoping Report (IPP/PSR) & Bridge Rehabilitation Justification Report (BRJR)**
- C.2 Survey and Right of Way Map (ROW)**
- C.3 Bridge Rehabilitation Report (BRR) and Design Approval Document (DAD) (only if State or Federal Fund is available)**

For conducting the work as described under Scope of work, section C.1 Initial Project Proposal/Project Scoping Report (IPP/PSR) and Bridge Rehabilitation Justification Report (BRJR), section C.2 Survey and ROW, and section C.3 Bridge Rehabilitation Report (BRR) and Design Approval Document (DAD), the Firm shall be paid on the basis of **Two point Sixty Five (2.65)** times the actual salary of the technical personnel engaged in the work. The total cost to the County for said services shall not exceed the following total fees for each respective task:

Task	Total Fee
A. Preliminary Design Cost	
C.1 Initial Project Proposal/Project Scoping Report (IPP/PSR) & Bridge Rehabilitation Justification Report (BRJR)	\$ 82,000.00
C.1.1 Seismic Investigation	\$ 22,500.00
C.2 Survey and Right of Way Map (ROW)	\$ 21,000.00
C.3 Bridge Rehabilitation Report (BRR) and Design Approval Document (DAD) (only if State or Federal Funds are available)	\$ 61,000.00

Payments shall be submitted with a progress report identifying the progress made for each deliverable under each task. Payment at the time of preliminary submission shall not exceed 40% of the total anticipated fee for the related task, as per table above. Payment at the time of final submission shall not exceed 80% of the total anticipated fee for the related task, as per table above. The remaining 20% shall be paid upon acceptance of the reports and/or survey and ROW by the County and NYSDOT, as applicable.

B. Advance Detailed Plans (ADP) and Plans Specifications & Estimates (PS&E)

- a) C.4 - I. Design Plans; III. Coordination with Public, Private Utilities, Municipalities; IV. Final Detailed Drawings and Specifications (PS&E)**

For conducting the work as described under Scope of work, section C.4 sections I, III and IV, the Firm shall receive a basic fee for the construction contract prepared, appropriate for the net construction cost listed as follows:

NET CONSTRUCTION COST (For Contract Prepared)	BASE DESIGN FEE (% of Net Construction Cost)
\$10,000,000.00	2.1%
\$8,000,000.00	2.6%
\$6,000,000.00	3.5%
\$5,000,000.00	4.2%
\$3,000,000.00	6.9%
\$2,500,000.00	8.3%
\$1,500,000.00	13.9%

**Current Budgeted Cost
\$208,000.00**

A straight-line interpolation between these points and beyond shall apply, and the percent amount shall be rounded off to two decimal places in computing the fee. For Net Construction Cost above \$10,000,000.00, the cost curve shall be extended using the same slope and the Base Design Fee will be computed using the points above, or mutually negotiated.

The Net Construction Cost shall be the awarded construction contract amount, which is the corrected low bid for the project, exclusive of the fees of the Firm, land acquisition, time related claims, force account agreements, items for training requirements, and/or legal expense. Until said cost of construction is established by the award of a construction contract, the Firm's base design fee shall be based upon the latest approved cost estimate using an approved after "rounding" cost estimate, submitted in accordance with this Agreement. This base design fee shall be used as a basis of computing partial payments to the Firm during the progress of the work. When the bids are opened and the actual awarded construction contract amount is determined, exclusive of any force account agreements or training requirements, if any, the total amount paid to the Firm under this subdivision shall be adjusted to such final award of construction contract, and any overpayment or underpayment of fees shall be adjusted accordingly.

Payment is to be made upon acceptance of firm's submission of the work. Partial submissions of completed sections of the work may be made at the discretion of the Commissioner or his/her representative.

2. Progress Payments for Advance Detailed Plans (ADP) and Plans Specifications & Estimates (PS&E)

i. During the progress of the Advance Detail Plans (ADP), the Firm shall be paid at a rate of **Two point Sixty Five (2.65)** times the direct salaries of the technical personnel engaged in the work, in monthly installments up to an accumulated total 40% of the base design fee of **Two Hundred Eight Thousand Dollars and Zero Cents (\$208,000.00)**, as determined by the percentage of work completed shown by the submission of required progress reports as well as design document submittals, and as approved by the Project Manager.

ii. During the progress of the design for a construction contract the Firm shall be paid at a rate of **Two point Sixty Five (2.65)** times the direct salaries of the technical personnel engaged in the work, in monthly installments up to an accumulated total of 80% of the base design fee of **Two Hundred Eight Thousand Dollars and Zero Cents (\$208,000.00)**, as determined by the percentage of work completed shown by the monthly progress reports and approved by the Project Manager. When the design for a construction contract is submitted to the Commissioner and NYSDOT (if applicable) for approval, the

Firm shall be paid any additional sum necessary to bring the payments up to 80% of the base design fee as outlined above.

iii. When the design for a construction contract has been fully completed (PS&E) and all necessary work has been accepted by the Commissioner and NYSDOT, as applicable, the Firm shall be paid an additional sum equal to 10% of the said base design fee based on the Engineers Estimate at that time, exclusive of any force account agreements or training requirements.

iv. When the bids for the construction contract have been received, and upon an award of contract, the Firm shall be paid an additional sum to bring the total fee payments to 100% of the said base design fee which is now based upon awarded construction contract amount, which is the corrected low bid, exclusive of any force account agreements or training requirements.

v. It is understood and agreed that at the time the County makes final payment as provided in bullet iv (4) above, that final payment shall be based upon the appropriate fee percentage for the awarded construction contract amount, which is the corrected low bid, exclusive of any force account agreements or training requirements. Any variance between the estimated cost of construction upon which the several partial payments will be made and the actual awarded construction contract amount will be adjusted at that time.

vi. If an award of contract is not made within two (2) years after the plans and contract documents have been completed and accepted in writing by the Commissioner, the Firm shall be deemed to have earned full payment for the design services based upon a basic fee computed, using an approved neat quantity cost estimate, submitted in accordance with the terms of this Agreement. Acceptance of final payment under this clause shall preclude the Firm from further payment based on an actual construction contract cost determined after the expiration of such two-year period.

vii. Subcontractors engaged by the Firm shall be compensated on the same basis as provided herein for employees of the Firm. The Firm shall be reimbursed the actual cost of the fees of the subcontractor as approved by the County

b) C.4 – II. Extra Services:

1. At any time during the term of this Agreement, the County may, in its sole and absolute discretion, require the Consultant to perform Extra Services. The Consultant shall not perform, nor be compensated for, Extra Services without the prior written approval of the Commissioner or his or her duly designated deputy. The Consultant agrees to perform any such Extra Services in accordance with the terms and conditions contained in this Agreement. As used herein, "Extra Services" means additional services which are (i) generally within the scope of services set forth in this Agreement, (ii) necessary or in furtherance of the goals of this Agreement and (iii) not due to the fault or negligence of the Consultant.

2. For its services describe in Exhibit A Section C.4 II Extra Services, for a) Soil Investigations and Reports, and for any extra services performed by the consultant at the request of the Commissioner, The Firm shall be reimbursed (from Allowances) for the actual cost of special consultant services and extra works that have been pre-approved in writing by the Commissioner of Public Works or his or her duly designated deputy.

C.5 SERVICES DURING CONSTRUCTION:

a) General Construction Support

Services described in C.5 Services During Construction shall be compensated on the basis of **Two point Sixty Five (2.65)** times Salary Multiplier. The actual salaries paid to the technical personnel engaged in performing the service, exclusive of payroll taxes, insurance, and any and all fringe benefits, times a **Two point Sixty Five (2.65) multiplier**. The total cost to the County for said services shall not exceed the amount of **Forty Three Thousand Dollars and Zero Cents (43,000.00).**

b) Inspection Services during Construction are not included in this Agreement

OUT-OF POCKET EXPENSES

The following items are included in the Firm's fee, as an allowance, provided (i) they have been approved in advance by the Commissioner of the Department in writing, in his/her sole discretion, (ii) are not considered services as set forth in this Agreement, and (iii) subject to compliance with the County's payment procedures. **One Hundred Fifty Thousand Dollars and no Cents (\$150,000.00)** has been allocated under this Agreement as an allowance.

1. Extra Services performed, upon the written direction of the Commissioner, shall be paid for at the rate of equal to **Two point Sixty Five (2.65)** times the direct salaries or wages paid to the personnel engaged in this phase of the work, exclusive of payroll taxes, insurance, and any and all fringe benefits.

2. Out-of-Pocket Expenses: The Firm shall be reimbursed (from Allowances) for the actual cost of "out-of-pocket" expenses that have been pre-approved in writing by the Commissioner of Public Works such as (**Traveling cost for reporting to construction site is not considered out-of-pocket**). Additional models, renderings, and photographs in excess than those requested herein. Reproduction of drawings in excess of 15 copies.

3. C.4 Section II a. Soil Investigation and reports shall be reimbursed (from Allowances) for special consultant services and extra works.

4. If requested by the Commissioner in writing the time expended by technical expertise.

5. Additional control survey work required specifically for the preparation of aerial photography exclusive of the work described in C.2 Survey and Right of Way (ROW).

6. The inclusions of traffic electrical control systems, if required in the contract documents, as outlined in Exhibit A— C.4 III. Co-ordination with Public and Private Utilities and Municipalities.

7. For extra drafting or other design expense due to substantial changes ordered by the County, due to no fault of the Firm.

8. For additional work not specifically defined herein but which may be required and has been authorized in writing by the Commissioner.

9. For such additional work as directed by the Commissioner including additional studies, State Environmental Quality Review Act report, and other environmental or water quality studies, etc.

10. When requested in writing by the Commissioner, the Firm shall prepare the documents needed for the project to qualify for Transportation Act funding.

11. Expenses for outside inspection, such as at fabrication shop, upon approval by the Commissioner.

SUBCONTRACTOR COSTS AND SUBCONSULTANT CHARGES

The Firm shall be further reimbursed for the actual out-of-pocket expenses for sub-consultants and subcontractors when authorized in writing by the Commissioner.

General Requirement:

The Firm shall prepare and attach to this Agreement a Maximum Hourly Wage Rate Schedule listing the job classifications and the maximum hourly wage rate for each classification. The salaries of all employees rendering services under this Agreement must be within the limits of the approved Maximum Hourly Wage Rate Schedule. The Maximum Hourly Wage Rate Schedule will be adjusted annually in accordance with any wage increases granted to County employees in the Civil Service Employees Association. The Firm may grant an employee a salary increase within a classification or a change of classification upon written notification to the Department one month prior to the effective date of such increase or change and with written approval of the Department. Premium pay for overtime work, over and above the straight hourly rate, performed for any services rendered under this Agreement shall not be subject to any multiplier. In computing the cost to the County for overtime work performed, the overtime period shall be paid at the agreed multiplier times the straight hourly rate plus the actual premium cost incurred. Notwithstanding the foregoing, the maximum billable rate, after application of the multiplier for any services provided under the terms of this Agreement shall not exceed two hundred and twenty-five dollars (\$225.00).

Appendix "EE"

Equal Employment Opportunities for Minorities and Women

The provisions of this Appendix EE are hereby made a part of the document to which it is attached.

The Contractor shall comply with all federal, State and local statutory and constitutional anti-discrimination provisions. In addition, Local Law No. 14-2002, entitled "Participation by Minority Group Members and Women in Nassau County Contracts," governs all County Contracts as defined herein and solicitations for bids or proposals for County Contracts. In accordance with Local Law 14-2002:

(a) The Contractor shall not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability or marital status in recruitment, employment, job assignments, promotions, upgradings, demotions, transfers, layoffs, terminations, and rates of pay or other forms of compensation. The Contractor will undertake or continue existing programs related to recruitment, employment, job assignments, promotions, upgradings, transfers, and rates of pay or other forms of compensation to ensure that minority group members and women are afforded equal employment opportunities without discrimination.

(b) At the request of the County contracting agency, the Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability, or marital status and that such employment agency, labor union, or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.

(c) The Contractor shall state, in all solicitations or advertisements for employees, that, in the performance of the County Contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.

(d) The Contractor shall make best efforts to solicit active participation by certified minority or women-owned business enterprises ("Certified M/WBEs") as defined in Section 101 of Local Law No. 14-2002, for the purpose of granting of Subcontracts.

(e) The Contractor shall, in its advertisements and solicitations for Subcontractors, indicate its interest in receiving bids from Certified M/WBEs and the requirement that Subcontractors must be equal opportunity employers.

(f) Contractors must notify and receive approval from the respective Department Head prior to issuing any Subcontracts and, at the time of requesting such authorization, must submit a signed Best Efforts Checklist.

(g) Contractors for projects under the supervision of the County's Department of Public Works shall also submit a utilization plan listing all proposed Subcontractors so that, to the greatest extent feasible, all Subcontractors will be approved prior to

commencement of work. Any additions or changes to the list of subcontractors under the utilization plan shall be approved by the Commissioner of the Department of Public Works when made. A copy of the utilization plan any additions or changes thereto shall be submitted by the Contractor to the Office of Minority Affairs simultaneously with the submission to the Department of Public Works.

(h) At any time after Subcontractor approval has been requested and prior to being granted, the contracting agency may require the Contractor to submit Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises. In addition, the contracting agency may require the Contractor to submit such documentation at any time after Subcontractor approval when the contracting agency has reasonable cause to believe that the existing Best Efforts Checklist may be inaccurate. Within ten working days (10) of any such request by the contracting agency, the Contractor must submit Documentation.

(i) In the case where a request is made by the contracting agency or a Deputy County Executive acting on behalf of the contracting agency, the Contractor must, within two (2) working days of such request, submit evidence to demonstrate that it employed Best Efforts to obtain Certified M/WBE participation through proper documentation.

(j) Award of a County Contract alone shall not be deemed or interpreted as approval of all Contractor's Subcontracts and Contractor's fulfillment of Best Efforts to obtain participation by Certified M/WBEs.

(k) A Contractor shall maintain Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises for a period of six (6) years. Failure to maintain such records shall be deemed failure to make Best Efforts to comply with this Appendix EE, evidence of false certification as M/WBE compliant or considered breach of the County Contract.

(l) The Contractor shall be bound by the provisions of Section 109 of Local Law No. 14-2002 providing for enforcement of violations as follows:

- a. Upon receipt by the Executive Director of a complaint from a contracting agency that a County Contractor has failed to comply with the provisions of Local Law No. 14-2002, this Appendix EE or any other contractual provisions included in furtherance of Local Law No. 14-2002, the Executive Director will try to resolve the matter.
- b. If efforts to resolve such matter to the satisfaction of all parties are unsuccessful, the Executive Director shall refer the matter, within thirty days (30) of receipt of the complaint, to the American Arbitration Association for proceeding thereon.
- c. Upon conclusion of the arbitration proceedings, the arbitrator shall submit to the Executive Director his recommendations regarding the imposition of sanctions, fines or penalties. The Executive Director shall either (i) adopt the recommendation of the arbitrator (ii) determine that no sanctions, fines or penalties should be imposed or (iii) modify the recommendation of the arbitrator, provided that such modification shall

not expand upon any sanction recommended or impose any new sanction, or increase the amount of any recommended fine or penalty. The Executive Director, within ten days (10) of receipt of the arbitrators award and recommendations, shall file a determination of such matter and shall cause a copy of such determination to be served upon the respondent by personal service or by certified mail return receipt requested. The award of the arbitrator, and the fines and penalties imposed by the Executive Director, shall be final determinations and may only be vacated or modified as provided in the civil practice law and rules ("CPLR").

(m) The contractor shall provide contracting agency with information regarding all subcontracts awarded under any County Contract, including the amount of compensation paid to each Subcontractor and shall complete all forms provided by the Executive Director or the Department Head relating to subcontractor utilization and efforts to obtain M/WBE participation.

Failure to comply with provisions (a) through (m) above, as ultimately determined by the Executive Director, shall be a material breach of the contract constituting grounds for immediate termination. Once a final determination of failure to comply has been reached by the Executive Director, the determination of whether to terminate a contract shall rest with the Deputy County Executive with oversight responsibility for the contracting agency.

Provisions (a), (b) and (c) shall not be binding upon Contractors or Subcontractors in the performance of work or the provision of services or any other activity that are unrelated, separate, or distinct from the County Contract as expressed by its terms.

The requirements of the provisions (a), (b) and (c) shall not apply to any employment or application for employment outside of this County or solicitations or advertisements therefor or any existing programs of affirmative action regarding employment outside of this County and the effect of contract provisions required by these provisions (a), (b) and (c) shall be so limited.

The Contractor shall include provisions (a), (b) and (c) in every Subcontract in such a manner that these provisions shall be binding upon each Subcontractor as to work in connection with the County Contract.

As used in this Appendix EE the term "Best Efforts Checklist" shall mean a list signed by the Contractor, listing the procedures it has undertaken to procure Subcontractors in accordance with this Appendix EE.

As used in this Appendix EE the term "County Contract" shall mean (i) a written agreement or purchase order instrument, providing for a total expenditure in excess of twenty-five thousand dollars (\$25,000), whereby a County contracting agency is committed to expend or does expend funds in return for labor, services, supplies, equipment, materials or any combination of the foregoing, to be performed for, or rendered or furnished to the County; or (ii) a written agreement in excess of one hundred thousand dollars (\$100,000), whereby a County contracting agency is committed to expend or does

expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon. However, the term "County Contract" does not include agreements or orders for the following services: banking services, insurance policies or contracts, or contracts with a County contracting agency for the sale of bonds, notes or other securities.

As used in this Appendix EE the term "County Contractor" means an individual, business enterprise, including sole proprietorship, partnership, corporation, not-for-profit corporation, or any other person or entity other than the County, whether a contractor, licensor, licensee or any other party, that is (i) a party to a County Contract, (ii) a bidder in connection with the award of a County Contract, or (iii) a proposed party to a County Contract, but shall not include any Subcontractor.

As used in this Appendix EE the term "County Contractor" shall mean a person or firm who will manage and be responsible for an entire contracted project.

As used in this Appendix EE "Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises" shall include, but is not limited to the following:

- a. Proof of having advertised for bids, where appropriate, in minority publications, trade newspapers/notices and magazines, trade and union publications, and publications of general circulation in Nassau County and surrounding areas or having verbally solicited M/WBEs whom the County Contractor reasonably believed might have the qualifications to do the work. A copy of the advertisement, if used, shall be included to demonstrate that it contained language indicating that the County Contractor welcomed bids and quotes from M/WBE Subcontractors. In addition, proof of the date(s) any such advertisements appeared must be included in the Best Effort Documentation. If verbal solicitation is used, a County Contractor's affidavit with a notary's signature and stamp shall be required as part of the documentation.
- b. Proof of having provided reasonable time for M/WBE Subcontractors to respond to bid opportunities according to industry norms and standards. A chart outlining the schedule/time frame used to obtain bids from M/WBEs is suggested to be included with the Best Effort Documentation
- c. Proof or affidavit of follow-up of telephone calls with potential M/WBE subcontractors encouraging their participation. Telephone logs indicating such action can be included with the Best Effort Documentation
- d. Proof or affidavit that M/WBE Subcontractors were allowed to review bid specifications, blue prints and all other bid/RFP related items at no charge to the M/WBEs, other than reasonable documentation costs incurred by the County Contractor that are passed onto the M/WBE.
- e. Proof or affidavit that sufficient time prior to making award was allowed for M/WBEs to participate effectively, to the extent practicable given the timeframe of the County Contract.

- f. Proof or affidavit that negotiations were held in good faith with interested M/WBEs, and that M/WBEs were not rejected as unqualified or unacceptable without sound business reasons based on (1) a thorough investigation of M/WBE qualifications and capabilities reviewed against industry custom and standards and (2) cost of performance. The basis for rejecting any M/WBE deemed unqualified by the County Contractor shall be included in the Best Effort Documentation.
- g. If an M/WBE is rejected based on cost, the County Contractor must submit a list of all sub-bidders for each item of work solicited and their bid prices for the work.
- h. The conditions of performance expected of Subcontractors by the County Contractor must also be included with the Best Effort Documentation.
- i. County Contractors may include any other type of documentation they feel necessary to further demonstrate their Best Efforts regarding their bid documents.

As used in this Appendix EE the term "Executive Director" shall mean the Executive Director of the Nassau County Office of Minority Affairs; provided, however, that Executive Director shall include a designee of the Executive Director except in the case of final determinations issued pursuant to Section (a) through (l) of these rules.

As used in this Appendix EE the term "Subcontract" shall mean an agreement consisting of part or parts of the contracted work of the County Contractor.

As used in this Appendix EE, the term "Subcontractor" shall mean a person or firm who performs part or parts of the contracted work of a prime contractor providing services, including construction services, to the County pursuant to a county contract. Subcontractor shall include a person or firm that provides labor, professional or other services, materials or supplies to a prime contractor that are necessary for the prime contractor to fulfill its obligations to provide services to the County pursuant to a county contract. Subcontractor shall not include a supplier of materials to a contractor who has contracted to provide goods but no services to the County, nor a supplier of incidental materials to a contractor, such as office supplies, tools and other items of nominal cost that are utilized in the performance of a service contract.

Provisions requiring contractors to retain or submit documentation of best efforts to utilize certified subcontractors and requiring Department head approval prior to subcontracting shall not apply to inter-governmental agreements. In addition, the tracking of expenditures of County dollars by not-for-profit corporations, other municipalities, States, or the federal government is not required.

Appendix "L"

Certificate of Compliance

In compliance with Local Law 1-2006, as amended, the Proposer/Bidder hereby certifies the following:

1. The chief executive officer of the Proposer/Bidder is:

Sean A. Blum (Name)

1501 Broadway, 6th Fl. New York, NY 10036 (Address)

646-428-8606 (Telephone Number)

2. The Proposer/Bidder agrees to comply with the requirements of the Nassau County Living Wage Law, and with all applicable federal, state and local laws.

3. In the past five years, Proposer/Bidder _____ has ☒ has not been found by a court or a government agency to have violated federal, state, or local laws regulating payment of wages or benefits, labor relations, or occupational safety and health. If a violation has been assessed by the Proposer/Bidder, describe below:

4. In the past five years, an administrative proceeding, investigation, or government body-initiated judicial action _____ has ☒ has not been commenced against or relating to the Proposer/Bidder. If such a proceeding, action, or investigation has been commenced, describe below:

5. Proposer/Bidder agrees to permit access to work sites and relevant payroll records by authorized County representatives for the purpose of monitoring compliance with the Living Wage Law and investigating employee complaints of noncompliance.

I hereby certify that I have read the foregoing statement and, to the best of my knowledge and belief, it is true, correct and complete. Any statement or representation made herein shall be accurate and true as of the date stated below.

August 29, 2023
Dated

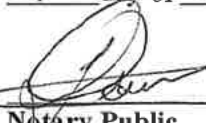

Signature of Chief Executive Officer

Sean A. Bluni

Name of Chief Executive Officer

Sworn to before me this

29th day of August, 2023.


Notary Public

FANNY A. OSORIO
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01OS080693
Qualified in New York County
Commission Expires June 16, ~~2023~~

2027



Nassau County Interim Finance Authority

Contract Approval Request Form (As of January 1, 2015)

1. Vendor: Hardesty & Hanover

2. Amount requiring NIFA approval: \$705,000.00

Amount to be encumbered: \$705,000.00

Slip Type: New

If new contract - \$ amount should be full amount of contract

If advisement - NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: to 3 Years from Notice to Proceed

Has work or services on this contract commenced? No

If yes, please explain:

4. Funding Source:

General Fund (GEN)		Grant Fund (GRT)
Capital Improvement Fund (CAP)	X	Other
Federal %	0	
State %	0	
County %	100	

Is the cash available for the full amount of the contract? No

If not, will it require a future borrowing? Yes

Has the County Legislature approved the borrowing? Yes

Has NIFA approved the borrowing for this contract? No

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

The Nassau County Department of Public Works (NCDPW) desires to procure Engineering Design Services and Construction Support Services for the rehabilitation of a bridge located at Mill Road over Fosters Brook (Inc. Village of Valley Stream) BIN 3300170.

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form Yes

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

Contract ID	Posting Date	Amount Added in Prior 12 Months
-------------	--------------	---------------------------------

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

11/10/2023

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization.

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

Elaine Phillips
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: Hardesty & Hanover

CONTRACTOR ADDRESS: 1501 Broadway, New York, NY 10036

FEDERAL TAX ID #: [REDACTED]

Instructions: Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☐ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in _____ [newspaper] on _____ [date]. The sealed bids were publicly opened on _____ [date]. _____ [#] of sealed bids were received and opened.

II. ☒ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on 9/14/2020 [date]. Potential proposers were made aware of the availability of the RFP by advertisement in Newsday, eProcure, NYSCR [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on 10/23/2020 [date]. 9 [state #] proposals were received and evaluated. The evaluation committee consisted of: Vivian Toscano, P. E., Civil Engineer III, Gary Desyr, Civil Engineer II and Andrea Pereira, Civil Engineer II

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

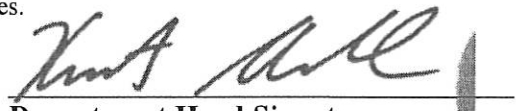
Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☒ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41*, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.



Department Head Signature

9/8/22

Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, Sean Bluni state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Disclosures

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.

Name of Submitting Entity: Hardesty & Hanover, LLC

Vendor's Address: 1501 Broadway New York NY US 10036

Vendor's EIN or TIN: [REDACTED]

Forms Submitted: _____

Political Campaign Contribution Disclosure Form: 08/28/2023 03:09:59 pm

Lobbyist Registration and Disclosure Form: 06/09/2023 01:57:47 pm

Business History Form certified: 07/14/2023 12:11:47 pm

Consultant's, Contractor's, and Vendor's Disclosure Form: 11/06/2023 12:42:14 pm

Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

Principal Name	Sean Bluni [SBLUNI@HARDESTYHANOVER.COM]
Date Certified	06/09/2023 04:40:38 pm

Principal Name	Glen Schetelich [GSCHETELICH@HARDESTYHANOVER.COM]
Date Certified	06/09/2023 02:17:20 pm

Principal Name	Paul Skelton [PSKELTON@HARDESTYHANOVER.COM]
Date Certified	06/09/2023 02:19:27 pm

Principal Name	Keith Griesing [KGRIESING@HARDESTYHANOVER.COM]
Date Certified	06/09/2023 01:47:58 pm

Principal Name	Anna Volynsky [AVOLYNSKY@HARDESTYHANOVER.COM]
Date Certified	07/14/2023 10:58:46 am

I, Sean Bluni hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.”

Sean Bluni SBLUNI@HARDESTYHANOVER.COM
Name

Principal

Title

Hardesty & Hanover, LLC

Name of Submitting Entity

11/06/2023 12:46:10 pm

Date



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee?

Electronically signed and certified at the date and time indicated by:
Keith Griesing [KGRIESING@HARDESTYHANOVER.COM]

Dated: 08/28/2023 03:09:59 pm

Vendor: Hardesty & Hanover, LLC

Title: Principal

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Anna Volynsky
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: [REDACTED]
Business Address: 1501 Broadway, 6th Floor
City: New York State/Province/Territory: NY Zip/Postal Code: 10036
Country: US
Telephone: 212-944-1150
Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	<u>01/01/2008</u>	Partner	_____
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES [] NO [X] If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES [] NO [X] If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
YES ☒ NO ☐ If Yes, provide details.

Dune Song, LLC is a beach property rental in North Carolina formed in 2021, unrelated to H&H and the engineering industry.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?
YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- Been debarred by any government agency from entering into contracts with that agency?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
 - Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
 - Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
 - Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?
YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)
- 9.
- Is there any felony charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Anna Volynsky , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Anna Volynsky , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Hardesty & Hanover

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Anna Volynsky AVOLYNSKY@HARDESTYHANOVER.COM

CFO

Title

12/15/2023 03:46:40 pm

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Glen Schetelich
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: [REDACTED]
Business Address: 5 Marine View Plaza
City: Hoboken State/Province/Territory: NJ Zip/Postal Code: 07030
Country: US
Telephone: (201) 656-8810
Other present address(es):
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: [REDACTED]
Telephone: [REDACTED]

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u>[REDACTED]</u>	Treasurer	<u>[REDACTED]</u>
Chairman of Board	<u>[REDACTED]</u>	Shareholder	<u>[REDACTED]</u>
Chief Exec. Officer	<u>[REDACTED]</u>	Secretary	<u>[REDACTED]</u>
Chief Financial Officer	<u>[REDACTED]</u>	Partner	<u>06/01/2011</u>
Vice President	<u>[REDACTED]</u>		
(Other)	<u>[REDACTED]</u>		

3. Do you have an equity interest in the business submitting the questionnaire?
YES ☒ NO ☐ If Yes, provide details.
10.42% owner

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?
YES ☐ NO ☒ If Yes, provide details.
[REDACTED]

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Hardesty & Hanover Construction Services, LLC (affiliate)

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

H&HCS has received several NYSDOT, NYCDOT, and NCDPW contracts in the last three years.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

- 9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Glen Schetelich , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Glen Schetelich , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Hardesty & Hanover

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Glen Schetelich GSCHETELICH@HARDESTYHANOVER.COM

Principal

Title

12/15/2023 03:05:09 pm

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Keith Griesing
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: [REDACTED]
Business Address: 1501 Broadway
City: New York State/Province/Territory: NY Zip/Postal Code: 10036
Country: US
Telephone: 2129441150
Other present address(es):
City: _____ State/Province/Territory: _____ Zip/Postal Code: _____
Country: _____
Telephone: _____

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	_____	Treasurer	_____
Chairman of Board	_____	Shareholder	_____
Chief Exec. Officer	_____	Secretary	_____
Chief Financial Officer	_____	Partner	<u>01/01/2015</u>
Vice President	_____		
(Other)	_____		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

10.42% ownership

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Hardesty & Hanover Construction Services, LLC

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

Nassau County as well as NYCDOT and NYSDOT, TBTA.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

- 9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Keith Griesing , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Keith Griesing , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Hardesty & Hanover

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Keith R. Griesing KGRIESING@HARDESTYHANOVER.COM

Principal

Title

12/15/2023 11:01:29 am

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Paul Skelton
Date of birth: [REDACTED]
Home address: [REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: [REDACTED]
Business Address: 532 Broadhollow Rd
City: Melville State/Province/Territory: NY Zip/Postal Code: 11747
Country: US
Telephone: (631) 293-2170
Other present address(es):
City: New York State/Province/Territory: Zip/Postal Code: 10036
Country: US
Telephone: 9173244277

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u></u>	Treasurer	<u></u>
Chairman of Board	<u></u>	Shareholder	<u></u>
Chief Exec. Officer	<u></u>	Secretary	<u></u>
Chief Financial Officer	<u></u>	Partner	<u>06/01/2011</u>
Vice President	<u></u>		
(Other)	<u></u>		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

10.42% ownership

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Hardesty & Hanover Construction Services, LLC (affiliate)

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

H&HCS has received several NYSDOT, NYCDOT, and NCDPW contracts in the last 3 years.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

- 9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Paul M. Skelton , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Paul M. Skelton , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Hardesty & Hanover

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Paul Skelton PSKELTON@HARDESTYHANOVER.COM

Principal

Title

12/15/2023 10:56:23 am

Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Sean Bluni
Date of birth:
Home address:

City: State/Province/Territory: Zip/Postal Code:
Country:

Business Address: 1501 Broadway
City: New York State/Province/Territory: NY Zip/Postal Code: 10036
Country: US
Telephone: (212) 944-1150

Other present address(es):
City: New York State/Province/Territory: Zip/Postal Code:
Country:
Telephone:

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u> </u>	Treasurer	<u> </u>
Chairman of Board	<u> </u>	Shareholder	<u> </u>
Chief Exec. Officer	<u>06/01/2011</u>	Secretary	<u> </u>
Chief Financial Officer	<u> </u>	Partner	<u> </u>
Vice President	<u> </u>		
(Other)	<u> </u>		

3. Do you have an equity interest in the business submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

10.42% owner

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?

YES ☒ NO ☐ If Yes, provide details.

Hardesty & Hanover Construction Services, LLC

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ☒ NO ☐ If Yes, provide details.

H&H has received several NYSDOT, NYCDOT, and NCDPW contracts in the last three years.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

- 9.

- a. Is there any felony charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, Sean Bluni , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Sean Bluni , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Hardesty & Hanover

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Sean Bluni SBLUNI@HARDESTYHANOVER.COM

Principal

Title

12/04/2023 10:26:56 am

Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 01/12/2024

1) Proposer's Legal Name: Hardesty & Hanover, LLC

2) Address of Place of Business: 532 Broadhollow Road, Suite 144

City: Melville State/Province/
Territory: NY Zip/Postal
Code: 11747

Country: US

Address: 105 Maxess Road

City: Melville State/Province/
Territory: NY Zip/Postal
Code: 11747

Country: US

Start Date: _____ End Date: _____

Address: 135 Pinelawn Road

City: Melville State/Province/
Territory: NY Zip/Postal
Code: 11747

Country: US

Start Date: 08/01/2002 End Date: 08/31/2019

3) Mailing Address (if different): _____

City: _____ State/Province/
Territory: _____ Zip/Postal
Code: _____

Country: _____

Phone: _____

Does the business own or rent its facilities? Rent If other, please provide details:

4) Dun and Bradstreet number: [REDACTED]

5) Federal I.D. Number: [REDACTED]

6) The proposer is a: Other (Describe) Limited Liability Company

7) Does this business share office space, staff, or equipment expenses with any other business?

YES ☒ NO ☐ If yes, please provide details:

Hardesty & Hanover Construction Services, LLC

8) Does this business control one or more other businesses?

YES ☐ NO ☒ If yes, please provide details:

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

YES ☒ NO ☐ If yes, please provide details:

Affiliate of Hardesty & Hanover Construction Services, LLC

Subsidiary of Hardesty & Hanover Holding, LLC

10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?

YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).

11) Has the proposer, during the past seven years, been declared bankrupt?

YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets

12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

- 17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of

interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

We do thorough QA/QC reviews of projects, procedures, and staff to ensure that no conflicts of interest occur.

A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

i) Date of formation;

06/01/2011

ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

See attached.

1 File(s) uploaded: Members List 2023.xlsx

iii) Name, address and position of all officers and directors of the company. If none, explain.

See attached.

1 File(s) uploaded: H&H Owners 2023.pdf

iv) State of incorporation (if applicable);

DE

v) The number of employees in the firm;

520

vi) Annual revenue of firm;

90000000

vii) Summary of relevant accomplishments

H&H has 137 years of experience and is one of the oldest engineering firms in the world. Our founder was the inventor of the modern lift bridge. We have designed and inspected more than 1000 bridges of all types.

viii) Copies of all state and local licenses and permits.

1 File(s) uploaded: H&H LLC NY CO Authority 6-2024.pdf

B. Indicate number of years in business.

134

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

Our projects have won hundreds of major awards, including all types of bridges and roadways.

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company	Suffolk County Department of Public Works		
Contact Person	William Hillman		
Address	335 Yaphank Avenue		
City	Yaphank	State/Province/Territory	NY
Country	US		
Telephone	(631) 852-4002		
Fax #			
E-Mail Address	william.hillman@suffolkcountyny.gov		

Company	United States Tennis Association		
Contact Person	Chuck Jettmar		
Address	70 W Red Oak Ln		
City	West Harrison	State/Province/Territory	NY
Country	US		
Telephone	(914) 224-8923		
Fax #			
E-Mail Address	jettmar@usta.com		

Company	New York State Department of Transportation		
Contact Person	James Fonda		
Address	250 Veterans Memorial Highway		
City	Hauppauge	State/Province/Territory	NY
Country	US		
Telephone	(516) 551-2339		
Fax #			
E-Mail Address	James.fonda@dot.ny.gov		

I, Richard Panchyk , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Richard Panchyk , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: Hardesty & Hanover, LLC

Electronically signed and certified at the date and time indicated by:
Richard Panchyk RPANCHYK@HARDESTYHANOVER.COM

Senior Marketing Manager

Title

01/12/2024

Date

Exhibit B
Table 1A - Schedule of Member Units
As of January 1, 2023

Year	Name	Member Units	Percentages
2023	Paul Skelton	1000	10.10%
	Glen Schetelich	1000	10.10%
	Sean Bluni	1000	10.10%
	Keith Griesing	1000	10.10%
	Scott Reynolds	900	9.09%
	Brendan O'Shea	350	3.53%
	Michael Sileno	350	3.53%
	David Tuckman	325	3.28%
	Robert Drew	265	2.68%
	Stephan Heimburg	350	3.53%
	James Phillips	175	1.77%
	Stephen Mikucki	110	1.11%
	Brian Mileo	140	1.41%
	Richard Stevens	120	1.21%
	Keith Eichner	120	1.21%
	David Marcic	110	1.11%
	Robert Supino	110	1.11%
	Craig Johnson	100	1.01%
	David Deluca	120	1.21%
	Steven Harlacker	135	1.36%
	Michael Swietanski	110	1.11%
	Doug Mastropietro	80	0.81%
	Henri Sinson	120	1.21%
	Michael Liona	50	0.50%
	Elana Friedman	80	0.81%
	Rodney Jarrett	50	0.50%
	John Gimblette	60	0.61%
	Frank Marzella	45	0.45%
	Robert Eslinger	55	0.56%
	David Gerber	35	0.35%
	James Bager	35	0.35%
	Brian Hamill	30	0.30%
	Anne Zwiebel	35	0.35%
	Steven Hom	25	0.25%
	Doug Neely	40	0.40%

Year	Name	Member Units	Percentages
2023	Don Marinelli	55	0.56%
	George Patton	50	0.50%
	Paul Connolly	20	0.20%
	Greg Bula	10	0.10%
	Andrew Barthle	25	0.25%
	Frank Altro	40	0.40%
	Webb Jones	35	0.35%
	Rasmin Kharva	45	0.45%
	Ron Roman	10	0.10%
	Silvio Garcia	70	0.71%
	Craig Jenne	35	0.35%
	Laura Coley	70	0.71%
	Mike Tine	30	0.30%
	Ben Hawthorne	40	0.40%
	Lee Adams	35	0.35%
	Lisa Heimburg	10	0.10%
	Joardan Warncke	20	0.20%
	Brian Mendonca	30	0.30%
	Ray Lopez	30	0.30%
	Jeff Konrad	100	1.01%
	Rob Hideck	25	0.25%
	Tim Harrington	30	0.30%
	Thomas Cirricione	40	0.40%
	Erin Stehlgens	30	0.30%
	Phil Thompson	20	0.20%
	Matt Adams	25	0.25%
	Steve Nappi	25	0.25%
	Megan Young	25	0.25%
	Devn Plantamura	15	0.15%
	John Beatty	25	0.25%
	Barry Keung	25	0.25%
	Arsanious Giurgius	15	0.15%
	Teddy Kostadinov	25	0.25%
	Rima Zahalan	25	0.25%
	Joelle Rosentwwieg	34	0.34%
	Nestor Rubiano	30	0.30%
	Lisa Powell	70	0.71%
	Aravinda Ramakishna	10	0.10%
	Rodger Rochelle	10	0.10%
	William Johnson	10	0.10%
	Total Units =	9904	100%

Hardesty & Hanover Owners with Highest % Ownership - 2023

10.42% Sean Bluni, [REDACTED]

10.42% Keith Griesing, [REDACTED]

10.42% Glen Schetelich, [REDACTED]

10.42% Paul Skelton, [REDACTED]

**THE UNIVERSITY OF THE STATE OF NEW YORK
EDUCATION DEPARTMENT**

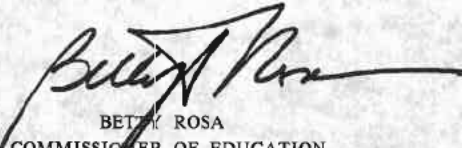
THIS IS TO CERTIFY THAT HAVING MET THE REQUIREMENTS OF SECTION 7210 OF THE
EDUCATION LAW AND IN ACCORDANCE THEREWITH THIS CERTIFICATE OF AUTHORIZATION
IS GRANTED WHICH ENTITLES

**HARDESTY & HANOVER LLC
1501 BROADWAY 3RD FLOOR
NEW YORK, NY 10036-0000**

TO PROVIDE PROFESSIONAL ENGINEERING SERVICES IN THE STATE OF NEW YORK FOR
THE PERIOD 07/01/2021 TO 06/30/2024.



**CERTIFICATE NUMBER
0018822**


BETTY ROSA
COMMISSIONER OF EDUCATION

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Hardesty & Hanover, LLC

Address: 1501 Broadway

City: New York State/Province/Territory: NY Zip/Postal Code: 10036

Country: US

2. Entity's Vendor Identification Number: [REDACTED]

3. Type of Business: Ltd. Liability Co (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

1 File(s) uploaded: H&H Owners 2023.pdf

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

See attached

1 File(s) uploaded: H&H Owners 2023.pdf

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

Affiliate: Hardesty & Hanover, LLC

Subsidiary of: Hardesty & Hanover Holding, LLC

These firms will not be participating in the performance of this contract.

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None."

The term “lobbyist” means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term “lobbyist” does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?
YES [] NO [X]

(a) Name, title, business address and telephone number of lobbyist(s):

NONE

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

NONE

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

NONE

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:
Sean Bluni [SBLUNI@HARDESTYHANOVER.COM]

Dated: 11/06/2023 12:42:14 pm

Title: Principal

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

Hardesty & Hanover Owners with Highest % Ownership - 2023

[REDACTED] Sean Bluni, [REDACTED]

Keith Griesing, [REDACTED]

[REDACTED] Glen Schetelich, [REDACTED]

Paul Skelton, [REDACTED]

2022 Hardesty & Hanover Member List

Last Name	First Name	Email
Adams	Lee	ladams@hardestyhanover.com
Adams	Matt	madams@hardestyhanover.com
Altro	Frank	faltro@hardestyhanover.com
Bager	James	jbager@hardestyhanover.com
Barthle	Andrew	abarthle@hardestyhanover.com
Beatty	John	jbeatty@hardestyhanover.com
Bluni	Sean	sbluni@hardestyhanover.com
Bula	Gregory	gbula@hardestyhanover.com
Cirrincone	Thomas	tcirrincone@hardestyhanover.com
Coley	Laura	lcoley@hardestyhanover.com
Connolly	Paul	pconnolly@hardestyhanover.com
DeLuca	David	ddeluca@hardestyhanover.com
Drew	Robert	rdrew@hardestyhanover.com
Eichner	Keith	keichner@hardestyhanover.com
Eslinger	Robert	beslinger@hardestyhanover.com
Freedman	Elana	efreedman@hardestyhanover.com
Garcia	Silvio	sgarcia@hardestyhanover.com
Gerber	David	dgerber@hardestyhanover.com
Gimblette	John	jgimblette@hardestyhanover.com
Griesing	Keith	kgriesing@hardestyhanover.com
Guirguis	Arsanious	aguirguis@hardestyhanover.com
Hamill	Brian	bhamill@hardestyhanover.com
Harlacker	Steven	sharlacker@hardestyhanover.com
Harrington	Tim	tharrington@hardestyhanover.com
Hawkins	Michael	mhawkins@hardestyhanover.com
Hawthorne	Benjamin	bhawthorne@hardestyhanover.com
Heimburg	Stefan	sheimburg@hardestyhanover.com
Heimburg	Lisa	lheimburg@hardestyhanover.com
Hideck	Robert	rhideck@hardestyhanover.com
Hom	Steve	shom@hardestyhanover.com
Jarrett	Rodney	rjarrett@hardestyhanover.com
Jenne	Craig	cjenne@hardestyhanover.com
Johnson	Craig	cjohnson@hardestyhanover.com
Jones	Webb	wjones@hardestyhanover.com
Keung	Barry	bkeung@hardestyhanover.com
Kharva	Rasmin	rkharva@hardestyhanover.com
Konrad	Jeff	jkonrad@hardestyhanover.com
Kostadinov	Teodor	tkostadinov@hardestyhanover.com
Liona	Michael	mliona@hardestyhanover.com
Lopez	Raymond	rlopez@hardestyhanover.com
Mankbadi	Raymond	rmankbadi@hardestyhanover.com
Marcic	David	dmarcic@hardestyhanover.com

Marinelli	Donald	dmarinelli@hardestyhanover.com
Marzella	Frank	fmarzella@hardestyhanover.com
Mastropietro	Douglas	dmastropietro@hardestyhanover.com
Mendonca	Brian	bmendonca@hardestyhanover.com
Mikucki	Steve	smikucki@hardestyhanover.com
Mileo	Brian	bmileo@hardestyhanover.com
Nappi	Steve	snappi@hardestyhanover.com
Neely	Doug	dneely@hardestyhanover.com
O'Shea	Brendan	boshea@hardestyhanover.com
Patton	George	gpatton@hardestyhanover.com
Phillips	James	jphillips@hardestyhanover.com
Plantamura	Devin	dplantamura@hardestyhanover.com
Reynolds	Scott	sreynolds@hardestyhanover.com
Roman	Ronald	rroman@hardestyhanover.com
Roody	Peter	proody@hardestyhanover.com
Schetelich	Glen	gschetelich@hardestyhanover.com
Sileno	Michael	msileno@hardestyhanover.com
Sinson	Henri	hsinson@hardestyhanover.com
Skelton	Paul	pskelton@hardestyhanover.com
Stehlgens	Erin	estehlgens@hardestyhanover.com
Stevens	Richard	rstevens@hardestyhanover.com
Supino	Robert	bsupino@hardestyhanover.com
Swietanski	Michael	mswietanski@hardestyhanover.com
Thompson	Phil	pthompson@hardestyhanover.com
Tine	Michael	mtine@hardestyhanover.com
Tuckman	David	dtuckman@hardestyhanover.com
Warncke	Jordan	jwarncke@hardestyhanover.com
Young	Megan	myoung@hardestyhanover.com
Zahalan	Rima	rzahalan@hardestyhanover.com
Zweibel	Anne	azweibel@hardestyhanover.com

ACORD™

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

12/22/2022

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer any rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Edgewood Partners Ins. Center 3780 Mansell Rd. Suite 370 Alpharetta, GA 30022	CONTACT NAME: Jerry Noyola PHONE (A/C, No, Ext): 770-220-7699 FAX (A/C, No): E-MAIL ADDRESS: jerry.noyola@greyling.com INSURER(S) AFFORDING COVERAGE INSURER A : Evanston Insurance Company NAIC # 35378
INSURED Hardesty & Hanover, LLC 1501 Broadway New York, NY 10036	INSURER B : INSURER C : INSURER D : INSURER E : INSURER F :

COVERAGES

CERTIFICATE NUMBER: 223-24

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

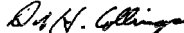
INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? <input type="checkbox"/> Y / N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below		N / A				PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.I. EACH ACCIDENT \$ E.I. DISEASE - EA EMPLOYEE \$ E.I. DISEASE - POLICY LIMIT \$
A	Professional/ Pollution Liab.			MKLV7PL0005646	01/01/2023	01/01/2024	Per Claim \$5,000,000 Aggregate \$5,000,000 Deductible \$500,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Re: H63029-19D2 Mill Road over Fosters Brook, Village of Valley Stream. H&H #4995. Should any of the above described policies be cancelled by the issuing insurer before the expiration date thereof, 30 days' written notice (except 10 days for nonpayment of premium) will be provided to the Certificate Holder.

CERTIFICATE HOLDER

CANCELLATION

County of Nassau Department of Public Works 1194 Prospect Avenue Westbury, NY 11590	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE 
--	--



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

12/7/2023

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Greyling Ins Brokerage/EPIC 3780 Mansell Rd. Suite 370 Alpharetta GA 30022	CONTACT NAME: Jerry Noyola PHONE (A/C, No, Ext): 770-220-7699 E-MAIL ADDRESS: greylingcerts@greyling.com	FAX (A/C, No): 770-220-7699
INSURER(S) AFFORDING COVERAGE		NAIC #
INSURER A: Evanston Insurance Company		35378
INSURER B:		
INSURER C:		
INSURER D:		
INSURER E:		
INSURER F:		

COVERAGES**CERTIFICATE NUMBER:** 1080673560**REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY						EACH OCCURRENCE
	<input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)
							MED EXP (Any one person)
							PERSONAL & ADV INJURY
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE
	<input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC						PRODUCTS - COMP/OP AGG
	OTHER:						
	AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT (Ea accident)
	<input type="checkbox"/> ANY AUTO						BODILY INJURY (Per person)
	<input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS						BODILY INJURY (Per accident)
	<input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY						PROPERTY DAMAGE (Per accident)
	UMBRELLA LIAB						EACH OCCURRENCE
	<input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE						AGGREGATE
	DED <input type="checkbox"/> RETENTION \$						
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY						PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/>
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	<input type="checkbox"/> Y <input type="checkbox"/> N					E.L. EACH ACCIDENT
	If yes, describe under DESCRIPTION OF OPERATIONS below	N/A					E.L. DISEASE - EA EMPLOYEE
							E.L. DISEASE - POLICY LIMIT
A	Professional/Pollution Liability			MKLV7PL0006176	1/1/2024	1/1/2025	Per Claim Aggregate Deductible
							\$5,000,000 \$5,000,000 \$500,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Re: H63029-19D2 Mill Road over Fosters Brook, Village of Valley Stream. H&H #4995. Should any of the above described policies be cancelled by the issuing insurer before the expiration date thereof, 30 days' written notice (except 10 days for nonpayment of premium) will be provided to the Certificate Holder.

CERTIFICATE HOLDER**CANCELLATION**

County of Nassau; Department of Public Works
1194 Prospect Avenue
Westbury NY 11590-0000

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

© 1988-2015 ACORD CORPORATION. All rights reserved.

This page has been left blank intentionally.

ACORDTM**CERTIFICATE OF LIABILITY INSURANCE**

DATE (MM/DD/YYYY)

7/25/2023

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must have **ADDITIONAL INSURED** provisions or be endorsed. If **SUBROGATION** IS **WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer any rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Greyling Ins. Brokerage/EPIC 3780 Mansell Road, Suite 370 Alpharetta, GA 30022		CONTACT NAME: Jerry Noyola PHONE (A/C, No, Ext): 770-220-7699 E-MAIL ADDRESS: jerry.noyola@greyling.com FAX (A/C, No):	
		INSURER(S) AFFORDING COVERAGE	
		INSURER A : National Union Fire Ins Co of PA	
		INSURER B : Aspen American Insurance Company	
		INSURER C : New Hampshire Ins. Co.	
		INSURER D : Zurich American Insurance Co	
		INSURER E :	
		INSURER F :	

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Contractual Liab. GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input checked="" type="checkbox"/> LOC OTHER:	X	X		08/01/2023	08/01/2024	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 500,000 MED EXP (Any one person) \$ 25,000 PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 4,000,000 PRODUCTS - COMP/OP AGG \$ 4,000,000 \$
A	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS NON-OWNED AUTOS ONLY	X	X		08/01/2023	08/01/2024	COMBINED SINGLE LIMIT (Ea accident) \$ 2,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
B	UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$ 0	X	X		08/01/2023	08/01/2024	EACH OCCURRENCE \$ 10,000,000 AGGREGATE \$ 10,000,000 \$
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE/OFFICER/MEMBER EXCLUDED? <input checked="" type="checkbox"/> Y / N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below		X		08/01/2023	08/01/2024	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
D	Valuable Papers				08/01/2023	08/01/2024	Limit \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Re: H63029-19D2 Mill Road over Fosters Brook, Village of Valley Stream. H&H #4995. Nassau County is named as an Additional Insured on the above referenced liability policies with the exception of workers compensation & professional liability where required by written contract. Should any of the above described policies be cancelled by the issuing insurer before the expiration date thereof, 30 days' written notice (except 10 days for nonpayment of premium) will be provided to the Certificate Holder. Waiver of Subrogation is applicable where required by written contract & allowed by law.

CERTIFICATE HOLDER**CANCELLATION**

County of Nassau
 Department of Public Works
 1194 Prospect Avenue
 Westbury, NY 11590

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Ed. N. Collings

ENDORSEMENT

This endorsement, effective 12:01 A.M. 08/01/2022 forms a part of

Policy No. CA5425480 issued to HARDESTY & HANOVER HOLDING, LLC

by NATIONAL UNION FIRE INSURANCE COMPANY OF PITTSBURGH, PA

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED - WHERE REQUIRED UNDER CONTRACT OR AGREEMENT

This endorsement modifies insurance provided under the following:

BUSINESS AUTO COVERAGE FORM

SCHEDULE

ADDITIONAL INSURED:

Any person or organization for whom you are contractually bound to provide Additional Insured status but only to the extent of such person's or organization's liability arising out of the use of a covered "auto".

I. SECTION II - COVERED AUTOS LIABILITY COVERAGE, A. Coverage, 1. - Who Is Insured, is amended to add:

- d. Any person or organization, shown in the schedule above, to whom you become obligated to include as an additional insured under this policy, as a result of any contract or agreement you enter into which requires you to furnish insurance to that person or organization of the type provided by this policy, but only with respect to liability arising out of use of a covered "auto". However, the insurance provided will not exceed the lesser of:

- (1) The coverage and/or limits of this policy, or
- (2) The coverage and/or limits required by said contract or agreement.



AUTHORIZED REPRESENTATIVE

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED - OWNERS, LESSEES OR CONTRACTORS - SCHEDULED PERSON OR ORGANIZATION

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SCHEDULE

Name Of Additional Insured Person(s) Or Organization(s)	Location(s) Of Covered Operations
ANY PERSON OR ORGANIZATION WHOM YOU BECOME OBLIGATED TO INCLUDE AS AN ADDITIONAL INSURED AS A RESULT OF ANY CONTRACT OR AGREEMENT YOU HAVE ENTERED INTO.	PER THE CONTRACT OR AGREEMENT.
Information required to complete this Schedule, if not shown above, will be shown in the Declarations.	

A. Section II 6 Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury", "property damage" or "personal and advertising injury" caused, in whole or in part, by:

1. Your acts or omissions; or
2. The acts or omissions of those acting on your behalf;

in the performance of your ongoing operations for the additional insured(s) at the location(s) designated above.

However:

1. The insurance afforded to such additional insured only applies to the extent permitted by law; and
2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

B. With respect to the insurance afforded to these additional insureds, the following additional exclusions apply:

This insurance does not apply to "bodily injury" or "property damage" occurring after:

1. All work, including materials, parts or equipment furnished in connection with such work, on the project (other than service, maintenance or repairs) to be performed by or on behalf of the additional insured(s) at the location of the covered operations has been completed; or
2. That portion of "your work" out of which the injury or damage arises has been put to its intended use by any person or organization other than another contractor or subcontractor engaged in performing operations for a principal as a part of the same project.

- C. With respect to the insurance afforded to these additional insureds, the following is added to **Section III – Limits Of Insurance:**

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

1. Required by the contract or agreement; or

2. Available under the applicable limits of insurance;
whichever is less.

This endorsement shall not increase the applicable limits of insurance.

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED - OWNERS, LESSEES OR CONTRACTORS - COMPLETED OPERATIONS

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART
PRODUCTS/COMPLETED OPERATIONS LIABILITY COVERAGE PART

SCHEDULE

Name Of Additional Insured Person(s) Or Organization(s)	Location And Description Of Completed Operations
ANY PERSON OR ORGANIZATION WHOM YOU BECOME OBLIGATED TO INCLUDE AS AN ADDITIONAL INSURED AS A RESULT OF ANY CONTRACT OR AGREEMENT YOU HAVE ENTERED INTO.	PER THE CONTRACT OR AGREEMENT.
Information required to complete this Schedule, if not shown above, will be shown in the Declarations.	

A. Section II – Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury" or "property damage" caused, in whole or in part, by "your work" at the location designated and described in the Schedule of this endorsement performed for that additional insured and included in the "products-completed operations hazard".

However:

1. The insurance afforded to such additional insured only applies to the extent permitted by law; and
2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

B. With respect to the insurance afforded to these additional insureds, the following is added to Section III – Limits Of Insurance:

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

1. Required by the contract or agreement; or
 2. Available under the applicable limits of insurance;
- whichever is less.

This endorsement shall not increase the applicable limits of insurance.

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Office of the County Executive
Att: Brian J. Schneider, Deputy County Executive

FROM: Department of Public Works

DATE: September 20, 2021

SUBJECT: Design Services for the Bridge Rehabilitation of
Atlantic Avenue over Mill River (Inc. Village of East Rockaway) BIN 3300160 &
Mill Road over Fosters Brook (Inc. Village of Valley Stream) BIN 3300170
RFP No. PW-H63029-19D
Recommendation of Award

The Nassau County Department of Public Works (NCDPW) desires to procure design services for the rehabilitation of two bridges, Atlantic Avenue over Mill River (Inc. Village of East Rockaway) BIN 3300160 and Mill Road over Fosters Brook (Inc. Village of Valley Stream) BIN 3300170. RFP and Cost Proposals included the option for the projects to be federally funded, if available. Though the project design will follow NYSDOT Specifications in either case, NYSDOT/ FHWA procedures will require additional work and as such additional costs during the design approval stage.

The scope of work includes at a minimum, but is not limited to, the following NYSDOT and/or NCDPW requirements: A thorough inspection of the bridges, with BIN numbers listed above to develop the following reports: Initial Project Proposal (IPP), Project Scoping Report (PSR) and the Bridge Rehabilitation Justification Report (BRJR). During this preliminary phase, coordination with various State Agencies, such as, New York State Department of State (NYSDOS), New York State Department of Environmental Conservation (NYSDEC), US Army Corp of Engineers (USACOE), and all other applicable Agencies, and utilities identified within the bridges' limits will be required for permitting purposes and proposed utility work. Upon review, consultation, and approval by NCDPW/NYSDOT of the reports referenced above, the selected firm will be notified of the preferred alternative selected by the County and instructed to proceed with the development of the Advance Detail Plans (ADP) and Plans, Specifications and Estimate (PS&E) using either of two (2) separate options, as noted above.

The RFP was posted on the County's website utilizing E-Procure, New York State Contract Reporter and in Newsday.

The County received nine (9) responses to the Request for Proposals (RFP), all proposals were eligible for review.

The technical proposals were evaluated by professional staffs within the Department: Vivian Toscano, P.E. Civil Engineer III, Garry Desyr, Civil Engineer II, and Andrea Pereira, Civil Engineer II.

Since two (2) separate options were being considered for each of the two (2) bridges and the number of proposals submitted to be evaluated were nine (9), a total of eighteen (18) technical proposals and thirty-six (36) cost proposals needed to be reviewed and scored. This impacted the time needed for the committee to arrive to their final selections for the two (2) bridges.

After tabulating the combined technical scores for each bridge separately and establishing ranking order, as per tables below, the committee made the following determination.



Office of the County Executive

Att: Brian J. Schneider, Deputy County Executive

September 20, 2021

Page two

SUBJECT: Design Services for the Bridge Rehabilitation of
Atlantic Avenue over Mill River (Inc. Village of East Rockaway) BIN 3300160 &
Mill Road over Fosters Brook (Inc. Village of Valley Stream) BIN 3300170
RFP No. PW-H63029-19D
Recommendation of Award

Bridge I: Atlantic Avenue over Mill River (Village of East Rockaway) BIN 3300160

The technical review committee (TRC) decided to focus their selection between the top four firms, all with technical scores of 85 or higher. While the difference between the technical scores was negligible in terms of ability to perform the proposed work, the costs varied widely. Though KSE ranked in fourth place, their technical and cost proposal included geotechnical investigation, seismic evaluation, and inspection of the adjoining bulkheads. Since KSE's costs were significantly lower than the top three firms, a BAFO was requested from GPI, AECOM, and H&H. Clarification if geotechnical investigation, seismic evaluation, and bulkhead inspection was included in their cost proposals was also requested, and if not, they were requested to provide a cost for these tasks. Both GPI and H&H declined to submit a BAFO. AECOM submitted a BAFO, but their costs were still significantly higher than the other firms. Additionally, none of the firms included all of the tasks listed above in their technical and cost proposals. GPI believed inspection of the bulkheads to be beyond the projects scope of work and did not provide a cost. Geotechnical investigation would be \$25,000 and would be covered under the allowance. They also did not expect to perform a seismic evaluation and did not provide a cost. AECOM stated that the seismic evaluation would be covered under the staffing hours submitted in their cost proposal, but geotechnical investigation would be \$23,750 and would be covered under the allowance. AECOM included protection of bulkhead in their technical proposal. H&H also believed the bulkheads to be beyond the project scope. The additional cost for H&H to perform seismic evaluation is \$22,500 (which would need to be added to their cost proposal) and geotechnical investigation is \$16,000 which will be covered by the allowance. Due to this, the TRC believed that KSE's proposal was considered more comprehensive and provided the best value to the County for Atlantic Avenue over Mill River. For contracting purposes, a contingency will be added to KSE's proposed amount; resulting in a contract amount of \$463,278.00 if the County funds the project (option 1), and \$515,430.00 if the County received a Federal grant (option 2). The contingency proposed for this contract is 20% based on the Department's previous experience with contracts of similar scope of work, particularly bridge design.

Bridge I: Atlantic Avenue over Mill River (Village of East Rockaway) BIN 3300160										
Firm	AVG SCORE	RANK	COST OPTION 1 *	COST OPTION 2 *	BASE FEE %	MULTIPLIER	BAFO OPTION 1 *	BAFO OPTION 2 *	BAFO BASE FEE %	BAFO MULTIPLIER
GPI	89.33	1	\$499,883.44	\$543,999.14	4.00	2.50	Declined	Declined	Declined	Declined
AECOM	88.33	2	\$628,696.03	\$707,143.51	5.89	2.55	\$603,140.01	\$680,372.46	5.44	2.50
H&H	88.00	3	\$502,000.00	\$560,000.00	4.10	2.65	Declined	Declined	Declined	Declined
KSE	85.67	4	\$386,065.00	\$429,525.00	2.24	2.50	Not Requested	Not Requested	Not Requested	Not Requested
M&J	82.33	5								
SEPC	81.67	6								
LKB	80.67	7								
Stantec	80.33	8								
Liro	77.00	9								

*Costs do not include contingency.

Office of the County Executive

Att: Brian J. Schneider, Deputy County Executive

September 20, 2021

Page three

SUBJECT: Design Services for the Bridge Rehabilitation of
Atlantic Avenue over Mill River (Inc. Village of East Rockaway) BIN 3300160 &
Mill Road over Fosters Brook (Inc. Village of Valley Stream) BIN 3300170
RFP No. PW-H63029-19D
Recommendation of Award

Bridge II: Mill Road over Fosters Brook (Village of Valley Stream) BIN 3300170

Similarly, the technical review committee (TRC) decided to focus their selection between the top four firms, all with technical scores of 85 or higher. While the difference between the technical scores was negligible in terms of ability to perform the proposed work, the costs varied widely. Though KSE ranked in fourth place, their technical and cost proposal included geotechnical investigation and seismic evaluation. Since KSE's costs were significantly lower than the top three firms, a BAFO was requested from GPI, AECOM, and H&H. Clarification if geotechnical investigation and seismic evaluation was included in their cost proposals was also requested, and if not, they were requested to provide a cost for these tasks. Both GPI and H&H declined to submit a BAFO. AECOM submitted a BAFO but their costs were still significantly higher than the other firms. Additionally, none of the firms included all of the tasks listed above in their technical and cost proposals. GPI stated that geotechnical investigation would be \$25,000 and would be covered under the allowance. They also did not expect to perform a seismic evaluation and did not provide a cost. AECOM stated that the seismic evaluation would be covered under the staffing hours submitted in their cost proposal, but geotechnical investigation would be \$23,750 and would be covered under the allowance. The additional cost for H&H to perform seismic evaluation is \$22,500 (which would need to be added to their cost proposal) and geotechnical investigation is \$16,000 which will be covered by the allowance. Though GPI was ranked in first place based on technical score, the TRC believed their proposal was not complete without the inclusion of seismic evaluation. Due to this factor and consideration of AECOM's high cost and KSE's workload, the TRC believed H&H's proposal to be comprehensive and provided the best value to the County for Mill Road over Fosters Brook. For contracting purposes, a contingency will be added to H&H's proposed amount; resulting in a contract amount of **\$631,800.00** if the County funds the project (option 1), and **\$705,000.00** if the County received a Federal grant (option 2). The contingency proposed for this contract is 20% based on the Department's previous experience with contracts of similar scope of work, particularly bridge design.

Bridge II: Mill Road over Fosters Brook (Village of Valley Stream) BIN 3300170										
Firm	AVG SCORE	RANK	COST OPTION 1 *	COST OPTION 2 *	BASE FEE %	MULTIPLIER	BAFO OPTION 1*	BAFO OPTION 2*	BAFO BASE FEE %	BAFO MULTIPLIER
GPI	89.67	1	\$508,870.20	\$552,635.90	4.00	2.50	Declined	Declined	Declined	Declined
H&H	88.33	2	\$504,000.00	\$565,000.00	4.20	2.65	Declined	Declined	Declined	Declined
AECOM	88.00	3	\$621,247.70	\$699,422.18	5.69	2.55	\$595,691.08	\$672,923.53	5.47	2.50
KSE	86.33	4	\$393,560.00	\$437,560.00	2.51	2.50	Not Requested	Not Requested	Not Requested	Not Requested
M&J	82.67	5								
LKB	82.33	6								
SEPC	82.00	7								
Stantec	81.00	8								
Liro	77.33	9								

*Costs do not include contingency.

It is the Department's recommendation that KS Engineers, PC (KSE) and Hardesty & Hanover, LLC (H&H) be retained for this contract as per above explanation. It is the intention of the Department that the design of the two bridges to be performed concurrently. Funding for said services is available under Capital Project Number 63029.

Office of the County Executive

Att: Brian J. Schneider, Deputy County Executive

September 20, 2021

Page four

SUBJECT: Design Services for the Bridge Rehabilitation of
Atlantic Avenue over Mill River (Inc. Village of East Rockaway) BIN 3300160 &
Mill Road over Fosters Brook (Inc. Village of Valley Stream) BIN 3300170
RFP No. PW-H63029-19D
Recommendation of Award

If you approve or disapprove of the above request, please signify below, and return this memo to this office for appropriate action.

Elisa C. Picca for K. Arnold

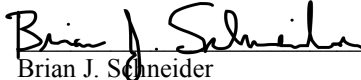
Kenneth G. Arnold
Commissioner

KGA:RM:jd

C: Rakhal Maitra, Deputy Commissioner
Vivian Toscano, Civil Engineer III
Andrea Pereira, Civil Engineer II

APPROVED:

DISAPPROVED:

 09/20/2021

Brian J. Schneider Date
Deputy County Executive

Brian J. Schneider Date
Deputy County Executive

REQUEST TO INITIATE

RTI Number 20-0052

REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC☐ RFQ ☒ RFP ☐ RFBC ☐ In-House or Requirements Work OrderProject Title: Atlantic Ave Bridge over Mill River Bin # 3300160 & Mill Road Bridge over Fosters Brook Bin # 3300170Department: Public Works Project Manager: Garry Desyr Date: January 29, 2020Service Requested: Design for repairs of two bridges in the Village Of East Rockaway and the Village of Valley Stream

Justification: Repair is needed for various bridges. There are numerous concerns for the bridges, including cracks at underside of the deck, spalled stem walls, deteriorated bank protection timber, high levels of vegetation and debris accumulation at piers, hollow sounding concrete. Design is required to address all issues that may impact public safety without delay.

Requested by: Civil Site and Public Works
Department/Agency/OfficeProject Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$900,000.00
Circle appropriate phaseTotal Project Cost: TBD Date Start Work: Summer 2020 Duration: 12 months
Includes, design, construction and CM Phase being requested Phase being requestedCapital Funding Approval: YES ☐ NO ☐ Kesemur Miller 2/20/20
SIGNATURE DATEFunding Allocation (Capital Project): 63029-067
See Attached Sheet if multiyear ☐NIFS Entered: 1/2 2/21/20 AIM Entered: Nancy Allen 3/2/20
SIGNATURE DATE SIGNATURE DATEFunding Code: 63029-067 Timesheet Code: 20-0052
use this on all encumbrances use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐
Supplemental Environmental DocumentationDepartment Head Approval: YES ☒ NO ☐ John Miller
SIGNATUREDCE/Ops Approval: YES ☒ NO ☐ Brian Schmeich 2/25/2020
SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval: YES NO Signature _____

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Garry Desyr, Civil Engineering, II

FROM: Office of the Commissioner

DATE: February 27, 2020

SUBJECT: CSEA Sub-Contracting Approval
Contract H63029-19D
C20-029 – Atlantic over Mill River (Inc. Village of East Rockaway) BIN 3300160
Mill Road over Fosters Brook (Inc. Village of Valley Stream) BIN 330170

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. Pursuant to Section 32-3 of the CSEA/County CBA, CSEA has withdrawn its objection to the above-referenced contract known as **C20-029**.

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

If you have any questions, please speak with Jonathan Lesman.



Roseann D'Alleva
Deputy Commissioner

RD:las

c: Rakhal Maitra, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Vivian Toscano, Civil Engineer III
Jonathan Lesman, Management Analyst II



C20-029

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, Executive Vice President

FROM: Department of Public Works

DATE: February 20, 2020

SUBJECT: CSEA Notification of a Proposed DPW Contract Agreement
Contract No.: H63029-19D
Atlantic Avenue over Mill River (Inc. Village of East Rockaway) BIN 3300160
Mill Road over Fosters Brook (Inc. Village of Valley Stream) BIN 3300170

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW contract/agreement is for work which has "historically and exclusively been performed by bargaining unit members".

1. Department of Public Works plans to recommend a design service agreement for the following: Contract No.: H63029-19D Rehabilitation of Atlantic Avenue over Mill River and of Mill Road over Fosters Brook.
2. Design of this contract will include but is not limited to the following: In depth inspection and evaluation of the structural components of the bridge's substructure and superstructure. Preparation and submission of standard New York State Department of Transportation (NYSDOT) reports, plans specifications and estimates. Design of deficient bridge's components noted in the most recent NYSDOT bridge Inspection Reports such as: map cracking on the underside of the deck, water leakage on the joints, hollow sounding areas, fine diagonal cracks with efflorescence and water stains in the abutments, parapets and wingwalls. In addition, the deck wearing surface exhibits some full width transverse cracks. The deck joints are in deteriorated conditions. Design is needed to address all these issues.
3. The work involves the following: Review bridge inspection reports and prepare design plans for repair work to ensure proper items are called out and details are sufficient and accurate. Study and report, survey, detailed design plans, specifications, estimates, and any non-standard specifications required to bring the bridge into a state of good repair and compliance with applicable codes and standards. Providing accurate cost estimates for open bid repair work is of high importance.
4. An estimate of the cost is: TBD
5. An estimate of the duration is: twelve (12) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Roseann D'Alleva, Deputy Commissioner, telephone 1-0525, fax 1-9657.



Roseann D'Alleva
Deputy Commissioner

RD:RM:jd

c: Christopher Nicolino, Director, Office of Labor Relations
Rakhal Maitra, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Christopher Yansick, Unit Head, Financial Management Unit
Diane Pyne, Unit Head, Human Resources Unit
Jonathan Lesman, Management Analyst II
Vivian Toscano Civil Engineer III
Garry Desyr, Civil Engineer II



U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Sean Bluni, Principal

3/27/23

Name and Title of Authorized Representative

m/d/yy



3/27/23

Signature

Date

Hardesty & Hanover, LLC

Name of Organization

1501 Broadway, New York, NY 10036


Address of Organization

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
CONSULTANT/ CONTRACTOR DETAILED DBE/MBE/WBE UTILIZATION PLAN

Part 1- General Information:

Consultant/Contractor Name:	Hardesty & Hanover, LLC
Address (street/city/state/zip code):	1501 Broadway, New York, NY 10036
Authorized Representative (name/title):	Keith Griesing, PE, Principal
Authorized Signature:	
Contract Number:	RFP No. PW-H63029-19D
Contract/Project Name:	Rehabilitation of 2 Bridges in Villages of East Rockaway & Valley Stream Mill Road over Fosters Brook (Village of Valley Stream) BIN 3300170
Contract/Project Description:	overall contract is for rehab of 2 bridges

Part 2- Projected DBE/MBE/WBE Contract Summary:

	Amount (\$)		Percentage (%)
Total Dollar Value of the Prime Contract	565,000.00		
Total DBE Dollar Amount	\$15,797.32*	DBE Contract Percentage	2.8%*
Total MBE Dollar Amount		MBE Contract Percentage	
Total WBE Dollar Amount	\$15,797.32*	WBE Contract Percentage	2.8%*
Total Combined D/M/WBE Dollar Amount	\$15,797.32*	Combined D/M/WBE Contract Percentage	2.8%*

*firms are DBE/WBE

**D/WBE percentage reflects dollar value of the contract minus the \$150,000 allowance.

Part 3- DBE Information (use additional blank sheets as necessary):

DBE Firm	Description of Work (DBE)	Projected DBE Contract Amount (\$) and Award Date	DBE Contract Scheduled Start Date and Completion Date
Name: GDB Geospatial Address: 88 Duryea Rd 1st Fl City: Melville State/Zip Code: NY 11747 Authorized Representative: Christine Gayron Telephone No. 516-579-3111	Survey	Amount (\$): \$15,797.32 <hr/> Award Date: TBD <hr/>	Start Date: NTP <hr/> Completion Date: Duration <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: NTP <hr/> Completion Date: Duration <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>

Part 4- MBE Information (use additional blank sheets as necessary):

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount (\$) and Award Date	MBE Contract Scheduled Start Date and Completion Date
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>

Part 5- WBE Information (use additional blank sheets as necessary):

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount (\$) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: Address: Same firms and \$ values City: as DBE firms - see Page 2 of this form State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): <hr/> Award Date: <hr/>	Start Date: <hr/> Completion Date: <hr/>