



Certified: --

B-8-24

FILED WITH THE NASSAU COUNTY
CLERK OF THE LEGISLATURE MARCH
4, 2024 2:35PM

NIFS ID: S35100-07G1

Capital: X

Contract ID #: S35100-07G1

NIFS Entry Date:

Department: Public Works

Service: Cedar Creek WPCP Digester Rehab & Cleaning Phase
1 S35100-07G1-B08-24

Term: 862 days from NTP

Contract Delayed:

Slip Type: New		
CRP:		
Blanket Resolution:		
Revenue:	Federal Aid:	State Aid:
Vendor Submitted an Unsolicited Solicitation:		

1) Mandated Program:	Yes
2) Comptroller Approval Form Attached:	No
3) CSEA Agmt. & 32 Compliance Attached:	Yes
4) Significant Adverse Information Identified? (if yes, attach memo):	Yes
5) Insurance Required:	No

Vendor/Municipality Info:	
Name: W.H.M. Plumbing & Heating Contractors, Inc.	ID#: 112731372
Main Address: 6H Enterprise Drive East Setauket, NY 11733	
Main Contact: William Mauff	
Main Phone: (631) 473-3568	

Department:
Contact Name: Francis Antetomaso
Address: NCDPW 3340 Merrick Road Building R, 3rd Floor Wantagh, NY 11793
Phone: (516) 390-6462
Email: LDionisio@nassaucountyny.gov, EKobel@nassaucountyny.gov, AHAMILTON@NASSAUCOUNTYNY.GOV, DPWcontractadmin@nassaucountyny.gov, Adrian.hamilton@jacobs.com, FAntetomaso@nassaucountyny.gov

Contract Summary

Purpose: General Construction services for the Cedar Creek WPCP Digester Rehabilitation & Cleaning Phase 1 project.
Procurement History: The contract was advertised on November 15, 2023, via Newsday, NYSCR, & eProcure. The bid opening was scheduled for December 19, 2023. There was addendum issued December 14, 2023 which extended the bid opening date to January 9th 2024. Four bids were received, the lowest responsible bidder was W.H.M. Plumbing.
Description of General Provisions: This project provides the cleaning of three (3) digesters, including the removal and replacement of their respective floating covers. Additionally, this project will include improvements to the related infrastructure, such as piping, gas compressors, heat exchangers, and valves.
Impact on Funding / Price Analysis: The maximum amount of this contract is \$30,814,000.00. Funding will be provided from

Capital Project No. 35100
Change in Contract from Prior Procurement: None.
Method of Source Selection: <input checked="" type="checkbox"/> Formal Sealed Bid awarded to lowest, responsible bidder Contract #: S35100-07G1 Advertised On: 11/15/2023 Advertised In: Bid Board, Newsday, New York State Contract Reporter Publicly Opened On: 01/09/2024 Number of bids received: 4
MWBE Participation: <input checked="" type="checkbox"/> Participation of Minority-owned and Women-owned Business Enterprises in Nassau County Contracts: The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit EE may be requested at any time by the Comptroller's Office prior to the approval of claim vouchers. [Note: This box must be checked.] <input checked="" type="checkbox"/> Department MWBE Responsibilities: To ensure compliance with MWBE requirements as outlined in Exhibit EE, Department will require vendor to submit list of subcontractor requirements prior to submission of the first claim voucher for services under this contract being submitted to the Comptroller. Contractor is a (check all that apply): <input type="checkbox"/> MWBE <input type="checkbox"/> SDVOB
Recommendation: Approve as Submitted

Advisement Information

Fund	Control	Resp. Center	Object	Index Code	Sub Object	Budget Code	Line	Amount
CSW			00	PWCSWCSW	00004	PWCSWCSW 00004 35100 006	01	\$30,814,000.00
						TOTAL	\$30,814,000.00	

Additional Info		Funding Source	Amount
Blanket Encumbrance		Revenue Contract:	
Transaction	103	County	\$0.00
		Federal	\$0.00
Renewal		State	\$0.00
% Increase		Capital	\$30,814,000.00
% Decrease		Other	\$0.00
		Total	\$30,814,000.00

Routing Slip

Department			
NIFS Entry	Loretta Dionisio	02/29/2024 11:23AM	Approved
NIFS Final Approval	Roseann D'Alleva	02/29/2024 11:37AM	Approved
Final Approval	Roseann D'Alleva	02/29/2024 11:37AM	Approved
DPW			
Capital Fund Approval	Roseann D'Alleva	02/29/2024 11:37AM	Approved
Final Approval	Roseann D'Alleva	02/29/2024 11:37AM	Approved
County Attorney			
RE & Insurance Verification	Andrew Amato	02/29/2024 11:41AM	Approved
Approval as to Form	Salvatore Spezio	02/29/2024 03:11PM	Approved
NIFS Approval	Mary Nori	03/04/2024 09:29AM	Approved
Final Approval	Mary Nori	03/04/2024 09:29AM	Approved
OMB			
NIFS Approval	Nadiya Gumieniak	02/29/2024 11:46AM	Approved
NIFA Approval	Christopher Nolan	03/01/2024 03:31PM	Approved
Final Approval	Christopher Nolan	03/01/2024 03:31PM	Approved
Compliance & Vertical DCE			
Procurement Compliance Approval	Robert Cleary	03/04/2024 12:54PM	Approved
DCE Compliance Approval	Robert Cleary	03/04/2024 12:54PM	Approved
Vertical DCE Approval	Arthur Walsh	03/04/2024 01:22PM	Approved
Final Approval	Arthur Walsh	03/04/2024 01:22PM	Approved
Legislative Affairs Review			
Final Approval	Christopher Leimone	03/04/2024 01:58PM	Approved

Legislature			
Final Approval			In Progress
DPW NIFS Field Entry			
Final Approval			Pending
NIFA			
NIFA Approval			Pending
Comptroller			
Claims Approval			Pending
Legal Approval			Pending
Accounting / NIFS Approval			Pending
Deputy Approval			Pending
Final Approval			Pending

B08-24

RULES RESOLUTION NO. -2024

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND W.H.M. PLUMBING & HEATING CONTRACTORS, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS [“Department”] has received competitive bids for contract S35100-07G1, for CEDAR CREEK WATER POLLUTION CONTROL PLANT DIGESTER REHABILITATION AND CLEANING – PHASE 1 -NASSAU COUNTY, NY [“Contract”], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of W.H.M. PLUMBING & HEATING CONTRACTORS, INC.

[“Vendor”] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 30,814,000.00, now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

FORM OF BID BOND

FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to **USE THIS FORM PROVIDED** as the use of **ANY OTHER FORM** may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned W.H.M. Plumbing & Heating Contractors, Inc.

as Principal; and Everest Reinsurance Company _____ as surety,
who is Licensed to do business in the State of New York, are hereby firmly bound unto the County
of Nassau in the penal sum of

Ten Percent of Proposal Price _____ dollars (\$ 10% of P.P. _____) for the payment
of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs,
executors, administrators, successors and assigns.

Signed, this 4th _____ day of January _____, 2024

The conditions of the above obligation is such that whereas the Principal has submitted to the
County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a
contract in writing for the work under

**CONTRACT NO. S35100-07G1 for the
CEDAR CREEK WATER POLLUTION CONTROL PLANT
DIGESTER REHABILITATION AND CLEANING – PHASE 1
WANTAGH, NEW YORK**

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not
withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-
five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal,
if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the
contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance
and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all
respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of
Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy
the County that he has the necessary skill, experience and liquid assets required for the contract as
stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain
in full force and effect.

FORM OF BID BOND

Provided, however, that this bond is subject to the following additional conditions and limitations.

- a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.
- b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

FORM OF BID BOND

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

W.H.M. Plumbing & Heating Contractors, Inc.

Contractor

by

William H. Mauff, President

by

President
Title

[Corporate Seal
of Contractor
Title if a
corporation]

By

Title

Everest Reinsurance Company

Surety

By

Title of Officer
Colette R. Chisholm, Attorney-In-Fact

Witness:

~~XXXXXX~~

Title of Officer
Tai Babilonia, Surety Account Representative

[Corporate Seal
of Surety]

FORM OF BID BOND

(Acknowledgment by Contractor if a corporation)
STATE OF New York

SS.:
COUNTY OF Suffolk

On this _____ day of _____, 20____, before me personally came William Macff to me
[REDACTED] and depose and say for himself, that he resides in
[REDACTED] of the Wttn Plumbing & Heating Contractors Inc.
that he is the President of the corporation described in, and which executed the foregoing instrument; that he knows the seal
of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so
affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by
like order.

Josephine Spalla
Notary Public

Josephine C. Spalla
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01SP6180866
Qualified in Suffolk County
Commission Expires January 14, 2028

(Acknowledgment by Contractor if a partnership)

STATE OF New York

SS.:
COUNTY OF Suffolk

Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF _____

SS.:
COUNTY OF _____

On this _____ day of _____, 20____, before me personally came _____ to me
known and known to me to be the person described in and who executed the foregoing instrument,
and he duly acknowledged that he executed the same.

Notary Public

FORM OF BID BOND

(Acknowledgment by Surety Company)

STATE OF New York)

SS.:

COUNTY OF New York)

On this 4th day of January, 2024, before me personally came ^{Colette R. Chisholm}_____ to me

Known, who being by me duly sworn, did depose and say that heresides

in New York County, New York

that he is the Attorney-In-Fact of the
Everest Reinsurance Company, the

corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws

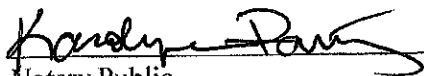
of the State of New York, and the said Karolynne Ramirez further
said

that he is acquainted with Colette R. Chisholm and
knows him

to be the Attorney-In-Fact of said company; that the signature

of the said Colette R. Chisholm subscribed to the within instrument is

in the genuine handwriting of the said Colette R. Chisholm and as
subscribed thereto by like order of the Board of Directors, and in the presence of him, the said
Karolynne Ramirez


Notary Public

KAROLYNNE RAMIREZ
Notary Public-State of New York
No. 01RA6426462
Qualified in New York County
Commission Expires December 13, 2025



**POWER OF ATTORNEY
EVEREST REINSURANCE COMPANY**

KNOW ALL PERSONS BY THESE PRESENTS: That Everest Reinsurance Company, a corporation of the State of Delaware ("Company") having its principal office located at 100 Everest Way, Warren, New Jersey, 07059, do hereby nominate, constitute, and appoint:

***Desiree Cardlin, Colette R. Chisholm, Camille Maitland, George O. Brewster, Gerard S. Macholz, Nelly Renchiwich,
Thomas Bean, Robert T. Pearson, Susan Lupski, Dana Granice, Michelle Wannamaker, Katherine Acosta, Ian Williams***

its true and lawful Attorney(s)-in-fact to make, execute, attest, seal and deliver for and on its behalf, as surety, and as its act and deed, where required, any and all bonds and undertakings in the nature thereof, for the penal sum of no one of which is in any event to exceed UNLIMITED, reserving for itself the full power of substitution and revocation.

Such bonds and undertakings, when duly executed by the aforesaid Attorney(s)-in-fact shall be binding upon the Company as fully and to the same extent as if such bonds and undertakings were signed by the President and Secretary of the Company and sealed with its corporate seal.

This Power of Attorney is granted and is signed by facsimile under and by the authority of the following Resolutions adopted by the Board of Directors of Company ("Board") on April 21, 2016:

RESOLVED, that the President, any Executive Vice President, and any Senior Vice President are hereby appointed by the Board as authorized to make, execute, seal and deliver for and on behalf of the Company, any and all bonds, undertakings, contracts or obligations in surety or co-surety with others and that the Secretary or any Assistant Secretary of the Company be and that each of them hereby is authorized to attest to the execution of any such bonds, undertakings, contracts or obligations in surety or co-surety and attach thereto the corporate seal of the Company.

RESOLVED, FURTHER, that the President, any Executive Vice President, and any Senior Vice President are hereby authorized to execute powers of attorney qualifying the attorney named in the given power of attorney to execute, on behalf of the Company, bonds and undertakings in surety or co-surety with others, and that the Secretary or any Assistant Secretary of the Company be, and that each of them is hereby authorized to attest the execution of any such power of attorney, and to attach thereto the corporate seal of the Company.

RESOLVED, FURTHER, that the signature of such officers named in the preceding resolutions and the corporate seal of the Company may be affixed to such powers of attorney or to any certificate relating thereto by facsimile, and any such power of attorney or certificate bearing such facsimile signatures or facsimile seal shall be thereafter valid and binding upon the Company with respect to any bond, undertaking, contract or obligation in surety or co-surety with others to which it is attached.

IN WITNESS WHEREOF, Everest Reinsurance Company has caused their corporate seals to be affixed hereto, and these presents to be signed by their duly authorized officers this 15th day of February 2023.



Everest Reinsurance Company

By: Anthony Romano, Senior Vice President

On this 15th day of February 2023, before me personally came Anthony Romano, known to me, who, being duly sworn, did execute the above instrument; that he knows the seal of said Company; that the seal affixed to the aforesaid instrument is such corporate seal and was affixed thereto; and that he executed said instrument by like order.

LINDA ROBINS
Notary Public, State of New York
No 01R06230736
Qualified in Queens County
Term Expires April 25, 2027

Linda Robins, Notary Public

I, Nicole Chase, Assistant Secretary of Everest Reinsurance Company do hereby certify that I have compared the foregoing copy of the Power of Attorney and affidavit, and the copy of the Section of the bylaws and resolutions of said Corporation as set forth in said Power of Attorney, with the ORIGINALS ON FILE IN THE HOME OFFICE OF SAID CORPORATION, and that the same are correct transcripts thereof, and of the whole of the said originals, and that the said Power of Attorney has not been revoked and is now in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Company, this 4th day of January, 2024

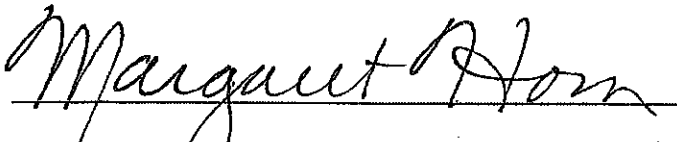


By: Nicole Chase, Assistant Secretary

**EVEREST REINSURANCE COMPANY
STATEMENTS OF FINANCIAL CONDITION**

	December 31,	
	2022	2021
	Unaudited	Audited
ASSETS		
Bonds	\$ 13,425,435,159	\$ 11,664,412,364
Stocks	887,282,126	1,368,647,910
Short-term investments	148,664,819	26,439,276
Other invested assets	2,299,249,359	2,509,586,693
Cash and cash equivalents	530,084,045	627,782,003
Accounts receivable-premium balances	2,549,040,224	2,442,192,643
Reinsurance recoverable	821,045,215	882,161,271
Other assets	1,689,988,250	761,101,801
Total Assets	\$ 22,350,789,197	\$ 20,282,323,961
LIABILITIES		
Loss and loss adjustment expense reserve	\$ 11,330,580,413	\$ 9,492,475,362
Unearned premium reserve	2,723,033,746	2,564,863,197
Ceded reinsurance premium payable (net of ceding commission)	559,742,437	464,854,369
Reserve for commissions, taxes and other liabilities	2,184,544,900	2,043,017,415
Total Liabilities	\$ 16,797,901,496	\$ 14,565,210,343
SURPLUS AND OTHER FUNDS		
Common capital stock	\$ 10,000,000	\$ 10,000,000
Contributed Surplus	3,600,610,905	3,400,393,975
Unassigned surplus	1,942,276,796	2,306,719,643
Total capital and surplus	\$ 5,552,887,701	\$ 5,717,113,618
Total Liabilities and Surplus	\$ 22,350,789,197	\$ 20,282,323,961

Bonds and stocks are valued on a basis promulgated by the National Association of Insurance Commissioners


Signed by Margaret Horn, Vice President

March 30, 2023

**STATE OF NEW YORK
DEPARTMENT OF FINANCIAL SERVICES**

**CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK INSURANCE
LAW**

It is hereby certified that

Everest Reinsurance Company
of Wilmington, Delaware

a corporation organized under the laws of Delaware and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$5,779,082,463. (Capital \$10,000,000), as is shown by its sworn financial statement for the quarter ending, March 31, 2023, on file in this Department, prior to audit.

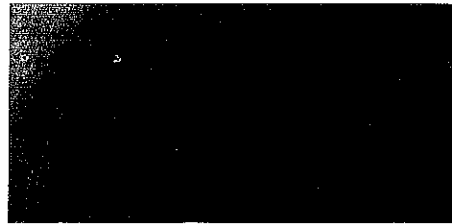
The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have here-
unto set my hand and affixed the
official seal of this Department
at the City of Albany, this 16th
day of June 2023.

Adrienne A. Harris
Superintendent

By



Rawle Lewis
Acting Special Deputy Superintendent

PROPOSAL

GENERAL CONSTRUCTION

COUNTY OF NASSAU
STATE OF NEW YORK

PROPOSAL

FOR

**CEDAR CREEK WATER POLLUTION CONTROL PLANT
DIGESTER REHABILITATION AND CLEANING – PHASE 1**

Contract No. S35100-07G1

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: W.H.M. Plumbing & Heating Contractors, Inc.
(Individual, Firm or Corporation, as case may be)

Bidder's Address: 644 Enterprise Drive, East Setauket, Ny 11733

Telephone: 631-473-3568 Date: 1/9/24

Fax Tel.: 631-473-3762 E-MAIL: office@whmplumbing.com

NO TEXT ON THIS PAGE

PROPOSAL

(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: _____

Residence of Individual: _____

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: _____

Name and Residence of Partner: _____

Name and Residence of Partner: _____

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: New York

Name and Residence of President: William Mauff-

Name and Residence of Vice-President: _____

Name and Residence of Secretary: " _____ "

Name and Residence of Treasurer: _____

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety or otherwise, upon any obligation to the County of Nassau.
4. That no officer nor employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the site of the work and that, from his own investigations, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of equipment, other facilities needed for the performance of the work, the general and local conditions, and all other items which may, in any way, affect the work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract; that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay, or North Hempstead or by the Cities of Glen Cove or Long Beach, the contractor shall be required to have such a license.

NO TEXT ON THIS PAGE

I. Rejection of Bids

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. A determination that the bidder is not responsible is made in accordance with law; or if
6. The bid, in the opinion of the Commissioner, contains unbalanced bid prices, unless the bidder can show that the prices are not unbalanced for the probably required quantity of such items.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of the various items multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids

Bids on Lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate price bid, if any.

IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-07G1						
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS		AMOUNT BID PRICE DOLLARS CENTS	
1	Lump Sum	Base Bid for furnishing all labor, materials, equipment, and incidentals required for all work for the described in the Technical Specifications and not included in Item No. 2, unit costs, and allowances listed below. <i>Eighteen Million Three Thousand Dollars & Zero Cents</i>	N/A	N/A	<i>\$18,003,000.00</i>	
		Dollars Cents				
2	Lump Sum	Lump sum for furnishing all labor, materials, equipment, and incidentals required to design and install a temporary groundwater dewatering system to maintain required groundwater levels in the vicinity of dewatered digesters during cleaning and rehabilitation, (Section 31 23 19) <i>Four Hundred Sixty One Thousand Dollars & Zero Cents</i>	N/A	N/A	<i>\$461,000.00</i>	
		Dollars Cents				

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-07G1					
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS		AMOUNT BID PRICE DOLLARS CENTS
3	3,900,000 Gallons of Digester Contents	All contract work associated with the removal, dewatering, hauling, and disposal of digester contents from three anaerobic digester, including but not limited to operation of the tank pump out system, odor control system, and sludge dewatering system. Six Million Six Hundred Thirty Thousand Dollars & Zero Cents Dollars Cents Per Gallon of Digester Contents Removed	\$1.	70	\$6,630,000.00
4	355 Weekdays	All contract work associated with the continuous operation and maintenance of the groundwater dewatering system. One Million Eight Hundred Ten Thousand Five Hundred Dollars & Zero Cents Dollars Cents Per Weekday	\$5100.	00	\$1,810,500.00
5	155 Weekend Days or Holidays	All contract work associated with the continuous operation and maintenance of the groundwater dewatering system. One Million Three Hundred Seventy Nine Thousand Five Hundred Dollars & Zero Cents Dollars Cents Per Weekend Day or Holiday	\$8,900.	00	\$1,379,500.00

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-07G1						
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS		AMOUNT BID PRICE DOLLARS CENTS	
6	15 Each	All contract work associated with the removal and replacement of 6-inch digester sludge plug valves including required fittings and all other incidentals. <u>One Hundred Forty Two Thousand Five Hundred Dollars</u> Dollars <u>& Zero</u> Cents Each	\$19,500.	00	\$142,500.	00
7	4 Each	All contract work associated with the removal and replacement of 8-inch digester sludge plug valves including required fittings and all other incidentals. <u>Forty One Thousand Six Hundred Dollars & Zero Cents</u> Dollars Cents Each	\$10,400.	00	\$41,600.	00
8	1 Each	All contract work associated with the removal and replacement of 10-inch digester sludge plug valves including required fittings and all other incidentals. <u>Sixteen Thousand Eight Hundred Dollars & Zero Cents</u> Dollars Cents Each	\$16,800.	00	\$16,800.	00

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-07G1						
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS		AMOUNT BID PRICE DOLLARS CENTS	
9	3 Each	All contract work associated with the removal and replacement of 4-inch digester gas plug valves including required fittings and all other incidentals. <u>Thirty thousand Three Hundred Dollars & Zero Cents</u> Dollars Cents Each	\$10,100.	00	\$30,300.	00
10	5 Each	All contract work associated with the removal and replacement of 6-inch digester gas plug valves including required fittings and all other incidentals. <u>Sixty one Thousand Five Hundred Dollars & Zero Cents</u> Dollars Cents Each	\$12,300.	00	\$61,500.	00
11	9 Each	All contract work associated with the removal and replacement of 8-inch digester gas plug valves including required fittings and all other incidentals. <u>One Hundred Six Thousand Two Hundred Dollars & Zero Cents</u> Dollars Cents Each	\$11,800.	00	\$106,200.	00

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING
A BID ON GENERAL CONSTRUCTION
CONTRACT NO. S35100-07G1

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS		AMOUNT BID PRICE DOLLARS CENTS	
12	9 Each	All contract work associated with the removal and replacement of 10-inch digester gas plug valves including required fittings and all other incidentals. <u>One Hundred Forty One Thousand Three Hundred Dollars & Zero</u> Dollars Cents Each	\$15,700.	00	\$141,300.	00
13	6 C.F.	Concrete Corbel Spall Repair <u>Forty Thousand Two Hundred Dollars & Zero Cents</u> Dollars Cents Per Cubic Foot	\$6,700.	00	\$40,200.	00
14	3,000 L.F.	Type I Epoxy Cementitious Crack Repair <u>One Hundred Thirty Five Thousand Dollars & Zero Cents</u> Dollars Cents Per Linear Foot	\$45.	00	\$135,000.	00
15	225 L.F.	Type II Epoxy Injection Crack Repair <u>Twenty One Thousand Six Hundred Dollars & Zero</u> Dollars Cents Per Linear Foot	\$96.	00	\$21,600.	00

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-07G1						
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS		AMOUNT BID PRICE DOLLARS CENTS	
16	300 L.F.	Type III Waterproof Injection Grout Crack Repair <u>Forty Two Thousand Dollars & Zero Cents</u> Dollars Cents Per Linear Foot	\$140.	00	\$42,000.	00
17	60 C.F.	Surface Spall Repair <u>Sixty Six Thousand Dollars & Zero Cents</u> Dollars Cents Per Cubic Foot	\$1,100.	00	\$66,000.	00
18	150 C.F.	Formed Spall Repair <u>Two Hundred Twenty Five Thousand Dollars & Zero Cents</u> Dollars Cents Per Cubic Foot	\$1,500.	00	\$225,000.	00
19	4,200 S.F.	Cement Based Textured Coating <u>Eighty Four Thousand Dollars & Zero Cents</u> Dollars Cents Per Square Foot	\$20.	00	\$84,000.	00

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-07G1						
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS		AMOUNT BID PRICE DOLLARS CENTS	
20	15 Each	Steel Pipe Support Bracket Replacement <i>One Hundred Twenty Six Thousand Dollars & Zero Cents</i> <div style="display: flex; justify-content: space-between; font-size: small;"> Dollars Cents </div> <div style="text-align: center; margin-top: 5px;">Each</div>	\$8,400.	00	\$126,000.	00
21	Allowance	For costs associated with unforeseen hazardous materials remediation. One Hundred Thousand Dollars and No Cents <hr style="width: 80%; margin: 5px auto;"/> <div style="display: flex; justify-content: space-between; font-size: small;"> Dollars Cents </div>	N/A	N/A	\$100,000	00
22	Allowance	For miscellaneous structural repair work as directed by the County regarding three anaerobic digesters / storage tanks. Fifty Thousand Dollars and No Cents <hr style="width: 80%; margin: 5px auto;"/> <div style="display: flex; justify-content: space-between; font-size: small;"> Dollars Cents </div>	N/A	N/A	\$50,000	00

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-07G1					
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS	AMOUNT BID PRICE DOLLARS CENTS	
23	Allowance	For miscellaneous digester gas and sludge pipe inspection and replacement within Digesters No. 1, 3 and 4 including pipe supports and incidentals. Two Hundred and Fifty Thousand Dollars and No Cents _____ Dollars Cents	N/A	N/A	\$250,000 00
24	Allowance	For miscellaneous equipment repairs and replacement work as directed by the County regarding three anaerobic digesters, including but not limited to heat exchangers, gas recirculation compressors, groundwater relief valves, groundwater monitoring wells, digester sludge and gas piping, valves, and appurtenances, and all other associated equipment. One Hundred and Fifty Thousand Dollars and No Cents _____ Dollars Cents	N/A	N/A	\$150,000 00

PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-07G1						
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
25	Allowance	For all labor, materials, cables, conduit, and equipment required to provide additional power as required by the Contractor's temporary systems. Seven Hundred Thousand Dollars and No Cents <hr style="width: 80%; margin-left: 0;"/> <div style="display: flex; justify-content: space-between; width: 80%; margin-left: 0;"> Dollars Cents </div>	N/A	N/A	\$700,000	00

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

TOTAL BASE BID (Bid Item Nos. 1 thru 25): \$ 30,814,000.00

TOTAL BASE BID (Bid Item Nos. 1 thru 25): MUST BE WRITTEN IN WORDS:

Thirty Million Eight hundred Fourteen Thousand
DOLLARS (CENTS
Dollars & Zero Cents

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

GENERAL CONSTRUCTION

ALLOWANCES. It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. 21.: An Allowance of One Hundred Thousand dollars (\$100,000) for unforeseen hazardous material remediation as described above.
2. Item No. 22.: An Allowance of Fifty Thousand dollars (\$50,000) for miscellaneous structural repair work as directed by the County regarding nine anaerobic digesters / storage tanks.
3. Item No. 23.: An Allowance of Two Hundred and Fifty Thousand dollars (\$250,000) for miscellaneous digester sludge and gas piping inspection and replacement within Digesters No. 1, 3 and 4 including pipe supports and incidentals.
4. Item No. 24: An Allowance of One Hundred and Fifty Thousand dollars (\$150,000) for miscellaneous equipment repairs and replacement work as directed by the County regarding three anaerobic digesters, including but not limited to heat exchangers, gas recirculation compressors, groundwater relief valves, groundwater monitoring wells, and all other associated equipment.
5. Item No. 25.: An Allowance of Seven Hundred Thousand dollars (\$700,000) for all labor, materials, cables, conduit, and equipment required to provide additional power as required by the Contractor's temporary systems.

All in accordance with the requirements of Division 1, Special Conditions; Section 01 11 00, Summary of Work; and Section 01 20 00, Measurement and Payment.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.


DETERMINATION OF LOW BID. Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances, minus the Deduct Alternate(s), taken in order, or none of them, whichever amount(s) shall be judged by the Commissioner of Public Works to be in the best interest of the County.

MAJOR EQUIPMENT ITEMS. The Bidder shall fill in the names and addresses of the proposed manufacturers and/or suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

Specification Number	Description	Manufacturer and/or Supplier
46 73 16	Dual-Deck Truss-Type Floating Digester Covers	Evogua, Olympus,

West Tech or Equal

PROPOSAL

 <p>NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS</p>		<p>WICKS EXEMPT LIST OF SUBCONTRACTORS</p>		<p>CONTRACT NO. S35100-07G1</p>					
<p>NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non-responsive.</p>									
<p>Contractor's Name and Address: WHM Plumbing & Heating 1611 Enterprise Drive East</p>		<p>Project Description (Project Title, Facility Name and Address): Digester Rehabilitation & Cleaning</p>		<p>Bid Date: 1/9/24</p>	<p>Total Contract Amt:</p>				
<p>Indicate AN</p>		<p>the following categories (check all that apply):</p> <p>Plumbing and Gas Fitting</p> <p>Steam Heating, Hot Water Heating, Ventilating and AC Apparatus</p> <p>Electric Wiring and Standard Illuminating Fixtures</p>							
<p>If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box <input type="checkbox"/> skip to bottom of form, and sign it as required.</p>									
<p>Subcontractor's Name, Address and Federal ID No.</p>		<p>Check () ONLY one:</p> <table border="1"> <tr> <td>Plumbing and Gas Fitting</td> <td>Steam Heating, Hot Water Heating, Ventilating and AC Apparatus</td> <td>Electric Wiring and Standard Illuminating Fixtures</td> </tr> </table>		Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures	<p>General Description of Work</p>		<p>Subcontractor's Contract Amt.</p>
Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures							
<p>WHM Plumbing & Heating Federal ID No. 11-2731372</p>		<p>✓</p>		<p>Plumbing</p>					
<p>Eldor Federal ID No.</p>		<p>✓</p>		<p>Electrical</p>		220,000.00			
<p>Federal ID No.</p>									
<p>This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.</p> <p>Failure to complete this form accurately and in its entirety, may result in a non-responsive bid determination.</p>									
<p>Company Authorized Signature: Nicholas Mauff</p>		<p>Title: President</p>		<p>Date: 1/9/24</p>					

NO TEXT ON THIS PAGE

PROPOSAL

IRAN DIVESTMENT ACT – CERTIFICATION


Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

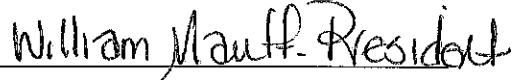
For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

☒ a. Certification that the Bidder is not on the List: Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,

☐ b. Certification that the Bidder's investment in Iran is ceasing: The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.


Signature/Date


Print Name and Position

NO TEXT ON THIS PAGE

PROPOSAL

MacBride Fair Employment Principles

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

(1) have business operations in Northern Ireland,

Yes _____ No ☒

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes _____ No _____

Contractor's Signature

William Mauff
President

N.H.H. Plumbing & Heating
Contractors, Inc.

Name of Business

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

PROPOSAL: For all Work in accordance with the Plans and Specifications:

Bidder: W4m Plumbing & Heating Contractors, Inc.
(Individual, Firm, or Corporation as case may be)

Individual's Social Security No.: _____

Firm or Corporation's Federal I.D. No. _____

Firm or Corporation's Municipal License ID. No. _____

Municipal Licensing Agency: _____

By: _____ Date: 11/9/24
(Signature of Individual, Partner, or Corporate Officer)

(Print): William Mouff

Title: President

WHERE BIDDER IS A CORPORATION, ADD

ATTEST: _____
Secretary

(Corporate Seal)

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____ Being duly sworn, deposes and says: That he is the person described in and who executed the foregoing Bid and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me this ____ day of _____ 20____.

Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____ Being duly sworn, deposes and says: That he is a member of _____, the firm described in and which executed the foregoing Bid; that he duly subscribed the name of the firm thereunto on behalf of the firm; and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me this ____ day of _____ 20____.

Notary Public

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK)

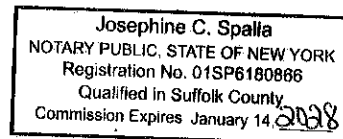
) ss.:

COUNTY OF NASSAU)

William Mauff Being duly sworn, deposes and says:
That he resides [REDACTED] he is the President of the
corporation described in and which executed the foregoing instrument; that he knows the seal of said
corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by
order of the Board of Directors of said Corporation; that he affixed his name thereto by like order;
and that he has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me this 9th day of January 2024.

Josephine C. Spalla
Notary Public



PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

**CONTRACTOR CERTIFICATION STATEMENT REGARDING STORM WATER
POLLUTION AT THE WORK SITE**

I certify under penalty of the law that I understand and agree to comply with the terms and conditions of the pollution prevention plan for the construction site identified in such plans as a condition of authorization to discharge storm water. I also understand the operator (Nassau County) must comply with the terms and conditions of the New York State Pollution Discharge Elimination System ("SPDES") general permit for storm water discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Finally, I understand my contractual obligations in the matter as outlined in the contract documents.

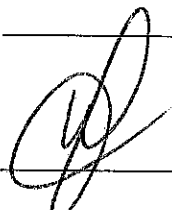
CONTRACTOR'S NAME: W.H.M. Plumbing & Heating Contractors, Inc.

TELEPHONE NUMBER: 431-473-3568

WORK SITE OR FACILITY NAME: Cedar Creek WPCP

WORK SITE OR FACILITY ADDRESS OR

OTHER IDENTIFYING DESCRIPTION: _____



William Mault - President

Date

11/9/24

Print Name and Title of Signer

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?

39 + Years _____ Months

2. How many years experience in the construction work of a similar type as this contract has your firm had;

as a Prime Contractor 39 + year

as a Subcontractor 39 + years

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please See Attached List

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please See Attached List

(use additional blank sheets if additional space is necessary)

NO TEXT ON THIS PAGE

PROPOSAL

5. Have you ever failed to complete any work awarded to you?
If so, when and why?

No

(use additional blank sheets if additional space is necessary)

6. Has any officer or partner of your firm ever been an officer or partner of some other firm that failed to complete a construction contract?

No

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name?

If so, state name of individual, name of owner and reason therefor:

No

(use additional blank sheets if additional space is necessary)

8. In what other lines of business are you financially interested?

None

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

9. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Year of Construction Experience	Magnitude and type of Work	In What Capacity
----------------------	----------------------------------	---------------------------------------	-------------------------------	---------------------

Please See Attached Resume for
William Mauff

(use additional blank sheets if additional space is necessary)

10. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size, Capacity, Year, Etc	Year of Service	Present Location
------	--	--------------------	---------------------

Please See Attached List

(use additional blank sheets if additional space is necessary)

Note: Should the equipment be moved from the above mentioned location, the submitter hereby agrees upon request of the County to state the new location where same may be found.

11. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like

encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

(use additional blank sheets if additional space is necessary)

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

12. In what manner have you inspected this proposed work?

Explain in detail.

Pre Bid Visit

(use additional blank sheets if additional space is necessary)

13. Explain your plan and lay-out for performing the proposed work.

As per Plans & Specifications

14. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

William Mauff

Resume attached

15. Insurance carried by your firm:

Type

Company

Limits of Coverage

Term

Please See Attached

NO TEXT ON THIS PAGE

PROPOSAL

16. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "**Qualifications and Responsibility of Bidders**" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

PROPOSAL

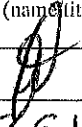
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PROPOSAL

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

CONSULTANT/ CONTRACTOR DETAILED MBE/WBE UTILIZATION PLAN

Part 1- General Information:

Consultant/Contractor Name:	W.H.M. Plumbing & Heating Contractors, Inc.
Address (street/city/state/zip code):	104 Enterprise Drive, East Setauket, NY 11733
Authorized Representative (name/title):	William Mauff - President
Authorized Signature:	
Contract Number:	S35100 - 0761
Contract/Project Name:	CCWPCP - Digester Rehabilitation & Cleaning
Contract/Project Description:	Phase 1

Part 2- Projected MBE/WBE Contract Summary:

	Amount (\$)		Percentage (%)
Total Dollar Value of the Prime Contract	\$ 27,000,000		
Total DBE Dollar Amount		DBE Contract Percentage	
Total MBE Dollar Amount	50,000.00	MBE Contract Percentage	0.2%
Total WBE Dollar Amount	50,000.00	WBE Contract Percentage	0.2%
Total SDVOB Dollar Amount		SDVOB Contract Percentage	
Total Combined M/WBE/SDVOB Dollar Amount	100,000	Combined M/WBE/SDVOB Contract Percentage	0.4%

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

Part 3- DBE Information (use additional blank sheets as necessary):

DBE Firm	Description of Work (DBE)	Projected DBE Contract Amount (\$) and Award Date	DBE Contract Scheduled Start Date and Completion Date
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:

PROPOSAL

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PROPOSAL

Part 4- MBE Information (use additional blank sheets as necessary):

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount (\$) and Award Date	MBE Contract Scheduled Start Date and Completion Date
Name: <u>Island Concrete Corp</u> Address: <u>608 Horseblock Rd</u> City: <u>Centereach</u> State/Zip Code: <u>NY 11720</u> Authorized Representative: <u>Ernie Werner</u> Telephone No. <u>631-981-1236</u>		Amount (\$): <u>50,000</u> Award Date: <u>TBD</u>	Start Date: <u>TBD</u> Completion Date: <u>TBD</u>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

Part 5- WBE Information (use additional blank sheets as necessary):

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount (\$) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:
Name: <i>Keller Painting</i> Address: <i>116 Wyandanch Ave</i> City: <i>E. Islip</i> State/Zip Code: <i>NY 11730</i> Authorized Representative: Telephone No. <i>631 581 2649</i>		Amount (\$): <i>100,000</i> Award Date: <i>TBD</i>	Start Date: <i>TBD</i> Completion Date: <i>TBD</i>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

Part 6- SDVOB Information (use additional blank sheets as necessary):

SDVOB	Description of Work (SDVOB)	Projected SDVOB Contract Amount (\$ and Award Date	SDVOB Contract Scheduled Start Date and Completion Date
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:

PROPOSAL

NO TEXT ON THIS PAGE

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APPENDIX V

BRUCE BLAKEMAN
NASSAU COUNTY EXECUTIVE



KENNETH G. ARNOLD, P.E.
COMMISSIONER

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
1194 PROSPECT AVENUE
WESTBURY, NEW YORK 11590-2723

VENDOR PORTAL ACKNOWLEDGMENT

Vendor Name: W. H. M. Plumbing & Heating Contractors, Inc.
Contract Title: CA WPCP - Digester Rehabilitation & Cleaning - Phase 1
Contract Number: 535100-0761

Vendors doing business with Nassau County, including those responding to this solicitation, must register with the County's Vendor Portal in order to submit the mandatory vendor disclosure forms required for an award pursuant to this solicitation. Vendors may register at www.nassaucountyny.gov by clicking the "Vendor Portal Registration" button at the bottom of the webpage. Failure to do so may result in a delay of contract award.

The undersigned hereby acknowledges that he/she has registered and has submitted the required disclosures on the Nassau County Vendor Portal.

Signature

A handwritten signature in dark ink, appearing to be "William Mauff", is written over a horizontal line.

Date

1/9/24

Print Name

William Mauff - President

If you attempted to register via the Portal but were unable to do so, please explain here:

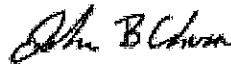

APPENDIX X

BRUCE BLAKEMAN
NASSAU COUNTY EXECUTIVE

NASSAU COUNTY



Vendor Code of Ethics

POLICY/PROCEDURE TITLE: Nassau County Vendor Code of Ethics	DATE ISSUED: June 5 th , 2019
DEPARTMENT ISSUING: Executive – Compliance	AUTHORIZED and SIGNED BY:  Deputy County Executive For Compliance  County Executive

- POLICY:** The Office of the Nassau County Executive recognizes the importance of the vendor community in helping the County provide necessary services for the residents of Nassau County. It is the policy of the County Executive to ensure that all vendors doing business with Nassau County operate under the highest standards of legal and ethical conduct.
- PURPOSE:** To set forth a Code of Conduct for vendors to ensure that Nassau County Vendors are conducting their business with integrity, ethics, and compliance with all applicable laws and regulations.
- SCOPE:** All vendors doing business or seeking to do business with Nassau County as specified in the Code.



Vendor Code of Ethics

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Vendor Code of Ethics

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Vendor Code of Ethics

Chapter 1: DEFINITIONS

As used in this Code, the following terms have the following meanings:

Adverse Job-Related Action includes any material alteration to existing terms, conditions, and privileges of employment, such as dismissal, demotion, suspension, compulsory leave, disciplinary action, creation of a hostile work environment, negative performance evaluation, any action resulting in loss of staff, office space or equipment or other benefit, reduction in compensation, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected employee.

Contact means any oral or written communication with any Nassau County Employee, other than the Designated Point(s) of Contact, where it could be reasonably inferred that such contact was intended to influence, or could reasonably be expected to influence, the subject of a County procurement.

Designated Point(s) of Contact means the individual(s) designated by the County to be a Vendor's only contact with Nassau County following the public advertisement of a solicitation or the issuance of a request for a bid, proposal, or quote for small purchase, until the award of a resulting contract and, where applicable, approval by the County Legislature. This timeframe, further defined in the State Procurement Lobbying Law, is also known as the Restricted Period.

Nassau County Employee means any officer, official or employee of Nassau County.

Family Member means (i) a Nassau County Employee's Spouse, Domestic Partner, Child, Sibling or Parent; (ii) a person who is a direct descendant (or the spouse of a direct descendant) of a Sibling of the Nassau County Employee or a Sibling of the Nassau County Employee's Spouse or Domestic Partner; or (iii) a person living in the same household as a Nassau County Employee.

Gift means the transfer, without equivalent consideration, of anything of benefit, tangible or intangible, having more than nominal value, including, but not limited to, cash, loans, forbearance,



Vendor Code of Ethics

services, travel, gratuities of any kind, favors, money, meals, refreshments, entertainment, hospitality, admittance to private clubs, use of time-shares, personal use of the Vendor's facilities, promises, tickets to entertainment or sporting events, weekend trips, golf outings, loans of equipment, or other thing or benefit. A Gift need not be intended to influence or reward any individual or entity.

Nassau County Code of Ethics means Nassau County Charter Section 2218, and the rules and regulations promulgated thereunder as may be amended or modified.

Participating Employee means any Vendor employee who engages in any written or oral communication of a non-clerical or non-administrative nature with Nassau County or with a Nassau County Employee(s) as part of or in connection with the procurement.

Participating Nassau County Employee means any Nassau County Employee who the Vendor knows, has reason to know, or can reasonably anticipate is involved in a specific procurement, in either a direct or decision-making capacity, but not in a clerical capacity. This includes but is not limited to the Designated Point of Contact, the project manager, the project manager's staff to the extent that they are involved in the procurement, members of selection committees, technical experts and negotiating teams.

Primary Contracting Party means a Vendor who intends to directly enter into or has a contract with Nassau County.

Retaliatory Action is defined as any Adverse Job-Related Action taken by, or at the direction or request of, a Vendor or a Vendors' Employees as a result of any individual's (i) good-faith report with respect to a violation or potential violation of this Code or the law; or (ii) cooperation in any investigation of unlawful conduct or misconduct conducted by Nassau County or by federal, state, or local law enforcement officials.

State Procurement Lobbying Law means New York State Finance Law Sections 139-j and 139-k, and the rules and regulations promulgated thereunder as may be amended or modified.

Vendor means any individual or entity seeking to or doing business



Vendor Code of Ethics

with Nassau County within the scope of this Code, including, without limitation, contractors, consultants, suppliers, manufacturers seeking to act as the primary contracting party, officers and employees of the foregoing, as well as any subcontractors, subconsultants and suppliers at all lower tiers.

Chapter 2: LIMITATIONS AND REPORTING OF CONTACTS WITH NASSAU COUNTY

Section 2.01 Designated Point(s) of Contact

Each procurement solicitation issued by Nassau County will identify the Designated Point(s) of Contact for that solicitation as required by the State Procurement Lobbying Law. Once the Designated Point(s) of Contact is/are established, the Vendor and any person or entity acting on the Vendor's behalf, including without limitation, those providing compensated or uncompensated lobbying, advocacy, consulting or other services should ensure that its contacts with Nassau County are in compliance with the requirements of the State Procurement Lobbying Law.

Chapter 3: GIFTS OR CONTINGENT FEES

Section 3.01 Zero Tolerance

No Vendor may offer or give any Gift, directly or indirectly, to a Nassau County Employee. Similarly, no Vendor may offer or give any Gift, directly or indirectly, to any Family Member of a Nassau County employee where such Gift is made because of the Vendor's relationship with the Nassau County Employee. Additionally, no Vendor may accept a gift from a Nassau County Employee.

This Zero-Tolerance Policy applies regardless of actual intentions. In other words, even if a Gift does not, or is not intended to, influence an action or decision by a Nassau County Employee, it is prohibited by this Code.

Section 3.02 Personal Relationships

Notwithstanding the foregoing, if a Vendor has a pre-existing family or personal relationship with the Employee, a Gift that is wholly unconnected with the Employee's duties on behalf of Nassau County is



Vendor Code of Ethics

not necessarily prohibited.

In determining whether the giving of an item was motivated by personal rather than business concerns, the following factors are considered:

- (a) the history of the relationship between the donor and the recipient, including but not limited to the mutuality of gift giving;
- (b) whether the item was paid for by the donor.

The giving of an item shall not be considered to be motivated by a family or personal relationship if the donor seeks to charge or deduct the value of the item as a business expense or seeks reimbursement from a client.

However, regardless of the family or personal relationship between a Vendor and an employee, a Gift is strictly forbidden where it is being given under circumstances where it can reasonably be inferred that it was intended to influence the employee in the performance of his or her official duties.

Section 3.03 Contingent Fees

The Vendor will not employ or retain any individual or entity for the purpose of soliciting or securing a Nassau County contract upon any agreement or understanding for a commission, percentage, brokerage, or fee that is contingent or dependent upon the outcome of the procurement.

Chapter 4: NEGOTIATIONS FOR FUTURE EMPLOYMENT

Section 4.01 Restrictions During the Procurement Process

Vendors shall not discuss future employment with Participating Nassau County Employees or their Family Members from the date the procurement is advertised or solicited through 30 days following the date that the procurement is awarded, even if a Participating Nassau County Employee contacts the Vendor regarding employment. Questions regarding whether a particular Nassau County employee is a Participating Nassau County Employee for a specific



Vendor Code of Ethics

procurement should be directed to the Designated Point of Contact for the procurement.

Section 4.02 Restrictions Post Award

Vendors are prohibited from offering or discussing an employment opportunity with a Nassau County Employee or his or her Family Members before whom the Vendor has or expects to have a pending specific matter including, but not limited to, negotiations, performance evaluation, task order selection, approval of a voucher or invoice, or approval of or agreement to a contract amendment, change order, or deviation or waiver until:

- (i) 30 days from the time the matter before the Employee is closed, or
- (ii) 30 days from the time the Employee has no further involvement with the matter because of recusal or reassignment.

Chapter 5: CONFLICT OF INTEREST

Section 5.01 Financial Interest

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, as the case may be, may have a 10% or greater interest, nor shall the Vendor, nor any director, officer, principal, owner, or partner thereof, acquire a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract.

The Vendor will not permit an employee having a 10% or greater interest, either directly or indirectly, in any company or firm that would conflict in any manner or degree with the performance of the Nassau County contract to be employed in the performance of the Nassau County contract.

Section 5.02 Personal Business Dealings

Neither the Vendor, nor any director, officer, principal, owner, or partner thereof, may have a non-County business dealing with a



Vendor Code of Ethics

Participating Nassau County Employee where it can be reasonably inferred that the purpose of the business dealing, at least in part, is to influence the Participating Nassau County Employee's action on a pending County matter.

Section 5.03 Disclosure and Cooperation

The Vendor shall disclose immediately to the County any real or potential conflict of interest of which it becomes aware. This obligation is ongoing and shall last through the completion of performance of the contract. The Vendor shall provide to Nassau County, at the County's request and upon such forms as may be furnished by Nassau County, a disclosure of organizational, financial, contractual or other affiliations with any organization or entity that has interests that may be substantially affected by the procurement solicitation or award. The Vendor shall fully cooperate in any inquiry or investigation undertaken by Nassau County to determine whether any such affiliations present a conflict of interest, or whether any other provision of this Code has been violated. The Vendor shall fully cooperate with audits, investigations, examinations and reviews by the Nassau County Inspector General conducted pursuant to section 187 of the Nassau County Charter.

Section 5.04 Confidential Information

At no time shall any Vendor who obtains confidential or proprietary Nassau County information in the course of doing or seeking to do business with the County disclose any such information to any person not authorized by Nassau County to receive such information or use such information for any personal gain except as necessary to fulfill its contractual obligations to Nassau County.

If the Vendor receives from any source confidential or proprietary Nassau County information prior to the award of a resulting contract and, where applicable, approval by the County Legislature, without the explicit approval of the Designated Point of Contact, the Vendor shall immediately so notify the Designated Point of Contact.

Nassau County confidential or proprietary information includes, but is not limited to, internal cost estimates and proposals submitted by other Vendors.



Vendor Code of Ethics

Section 5.05 Prohibition Regarding Bidding by Participants in Procurement Development

No Vendor who participates in the development of a scope of work, solicitation documents, assessment criteria, contractual instruments or technical specifications may participate as a bidder, sub-bidder, proposer or sub-proposer on that particular procurement or perform any work on that particular procurement or any other procurement that would constitute an organizational conflict of interest or would give that Vendor an unfair advantage over other bidders or proposers on that procurement. This prohibition may be waived in writing by the County Chief Procurement Officer upon a showing of good cause.

Chapter 6: FORMER NASSAU COUNTY EMPLOYEES

Section 6.01 Appearance Before Former Agency-Two Year Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear or practice before any Nassau County agency, either prior to award or in the performance of a Nassau County contract, for a period of two years after termination of the Nassau County Employee's services with the County.

Section 6.02 Appearance Before Former Agency-Life Time Bar

Except as provided for in Section 2218(8) of the Nassau County Code of Ethics, the Vendor will not permit a former Nassau County Employee to appear, practice, communicate or otherwise render services before the agency that employed the officer or employee or any other agency of Nassau County, either prior to award or in the performance of an agency's contract in relation to any case, proceeding, application or transaction with respect to which such former officer or employee was directly concerned and in which he or she personally participated, or which was under his or her active consideration during the period of his or her employment. This provision is a lifetime bar on projects that the former Nassau County Employee previously worked on while employed by the County.



Vendor Code of Ethics

Chapter 7: NON-COLLUSION

Section 7.01 Independent Bid Assessment

The Vendor will calculate the price(s) contained in any bid or proposal independently, without collusion, consultation, communication, or agreement with any competing Vendor for the purpose of restricting competition.

Section 7.02 Non-Communication of Bid

Unless otherwise required by law, the price(s) which the Vendor quotes in its bid or proposal will not knowingly be disclosed by the Vendor, directly or indirectly, to any competing Vendor prior to the closing date for bids or proposals.

Section 7.03 Bid Submission

The Vendor will not make any attempt to induce any other individual or entity to submit or not to submit a bid or proposal.

Chapter 8: DISTRIBUTION AND CERTIFICATION

Section 8.01 Distribution of Vendor Code of Ethics and Vendor's Participating Employee Acknowledgements

As a condition of being considered for the award of any contract above the County's small purchase threshold of \$10,000, the Vendor will be required to distribute copies of the Nassau County Vendor Code of Ethics to all Participating Employees prior to any of those employee's participation in the procurement. The Code may be distributed either in hard copy or electronically as a separate PDF.

Additionally, as a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will be required to obtain an acknowledgement from each of its Participating Employees ("Participating Employee Acknowledgements") that they have received, read, understand, and will comply with the Nassau County Vendor Code of Ethics.



Vendor Code of Ethics

The Vendor's responsibility for distributing copies of the Nassau County Vendor Code of Ethics and obtaining such signed Participating Employee Acknowledgements is ongoing until completion of performance of the contract and shall be retained for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.

Receipt and retention of Participating Employee Acknowledgments by the Vendor shall be subject to audit by Nassau County.

Section 8.02 Vendor Certifications

The vendor by signing the final contract thereby certifies and attests to the following:

- (a) The Vendor has been provided with a copy of the Nassau County Vendor Code of Ethics and will comply with all of the provisions of the Code;
- (b) All of its Participating Employees during the course of procurement or contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to any of those employees' participation in the procurement;
- (c) All Participating Employees have completed the acknowledgement required by Section 8.01 of this Code;
- (d) The Vendor will retain all of the signed Participating Employee Acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County;
- (e) The Vendor will continue to distribute the Nassau County Vendor Code of Ethics, obtain signed Participating Employee Acknowledgements as new Participating Employees are added or changed during the contract period, and retain all of the signed acknowledgements for the same period as the Vendor is required to retain other contract documents in accordance with their contract with the County.



Vendor Code of Ethics

Section 8.03 Subcontractor Certifications

As a condition of being considered for the award of any contract above the County's small purchase threshold, the Vendor will obtain certifications executed by authorized officials from all of its lower tier subcontractors, subconsultants and suppliers (as well as from any other subcontractors, subconsultants and suppliers from whom that Vendor is soliciting or has received proposals for work on a Nassau County contract) whose employees have communicated or may communicate with Nassau County Employees. This obligation is ongoing and shall last through the completion of performance of the contract. Receipt and retention of lower tier certifications by the Vendor shall be subject to audit by Nassau County.



Vendor Code of Ethics

Chapter 9: PENALTIES

Section 9.01 Responsibility Determination

For violation of any provision of the Nassau County Vendor Code of Ethics, Nassau County may avail itself of every remedy in law or equity, or as agreed to by parties in any contract, including but not limited to declaring the Vendor non-responsible or in material breach of the contract.

Section 9.02 Civil/Criminal Penalties

Additionally, violation of the Nassau County Vendor Code of Ethics or a provision thereof may subject the Vendor to criminal or civil penalties under State or Federal law.

Chapter 10: REPORTING OBLIGATION

Section 10.01 Reporting Gift Requests

Notwithstanding the provisions of Chapter 4 above, the Vendor is obligated to immediately report to Nassau County's Inspector General and the County Chief Procurement Officer, any and all requests made to the Vendor by any Nassau County Employee for a Gift.

Section 10.02 Reporting Material Changes

The Vendor is under a continuing obligation to report any change in circumstances that materially affects any prior report to Nassau County to Department of Chief Contracting Officer, including but not limited to disclosure of conflicts of interest and representations made in the Contractor Responsibility Form.

Section 10.03 Reporting Violations and Overpayments

The Vendor is obligated to timely report in writing to Nassau County's Inspector General, in connection with the award, performance or closeout of the Nassau County contract or subcontract, any credible evidence of significant overpayments on the contract or that a principal, employee, agent or subcontractor has committed a



Vendor Code of Ethics

violation of law involving fraud, conflict of interest, bribery or gratuities.

Chapter 11: PROHIBITION ON RETALIATION

Section 11.01 Prohibition

To facilitate the reporting obligations under Chapter 10, this code strictly forbids all Vendors and Vendors' Employees from taking any Retaliatory Action against individuals who make such reports.



Vendor Code of Ethics

CERTIFICATION REGARDING DISTRIBUTION OF NASSAU COUNTY VENDOR CODE OF ETHICS

Bid/Proposal No.:

535100-0761

Project Description:

Digester Rehabilitation & Cleaning

The prospective lower tier participant W.H.M. Plumbing & Heating (subcontractor, subconsultant, or supplier name) hereby certifies, by submission of this bid or proposal to County of Nassau [prime contractor] in connection with the Nassau County bid or proposal number referenced above, to the best of its knowledge and belief, that all officers and personnel who have communicated or may communicate with Nassau County employees during the course of the procurement and through the completion of performance of the contract have been provided with a copy of the Nassau County Vendor Code of Ethics prior to each of these employee's participating in the procurement.

Executed this

9th day of January, 2024

By

[Signature] Signature of Authorized Official

William Moutt - President

Name and Title of Authorized Official



Vendor Code of Ethics

PARTICIPATING EMPLOYEE ACKNOWLEDGEMENT REGARDING NASSAU COUNTY VENDOR CODE OF ETHICS

Company: W.H.M Plumbing & Heating Contractors, Inc.
Bid/Proposal No.: 535100-0761

I, William Mauff-Preodert, acknowledge that I have received and read the Nassau County Vendor Code of Ethics on _____ and that I understand it and will comply with this Code in my participation in procurements between W.H.M Plumbing & Heating (Vendor name) and Nassau County.

Executed this 9th day of January, 2024

By _____

Signature of Employee

William Mauff-Preodert

Name and Title of Employee



WHMPLUM-01

TTERENZI

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

11/1/2023

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER License # BR-767175 Plainview, NY - Hub International Northeast Limited 100 Sunnyside Blvd Woodbury, NY 11797	CONTACT NAME:	
	PHONE (A/C, No, Ext): (516) 576-0400 FAX (A/C, No): (516) 576-1177	
INSURED WHM Plumbing & Heating Contractors Inc. 6H Enterprise Drive East Setauket, NY 11733	E-MAIL ADDRESS:	
	INSURER(S) AFFORDING COVERAGE	NAIC #
	INSURER A: American Alternative Insurance Corporation	19720
	INSURER B: Merchants Mutual Insurance Company	23329
	INSURER C: United States Fire Insurance Company	21113
	INSURER D: Great American Insurance Company	16691
	INSURER E:	
	INSURER F:	

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY			88A2GL0004402-01	2/11/2023	2/11/2024	EACH OCCURRENCE \$ 1,000,000
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR		DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000				
	<input checked="" type="checkbox"/> Primary and Non-Cont		MED EXP (Any one person) \$ 10,000				
	<input checked="" type="checkbox"/> Contractual Liab		PERSONAL & ADV INJURY \$ 1,000,000				
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE \$ 2,000,000
	<input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PROJECT <input checked="" type="checkbox"/> LOC						PRODUCTS - COMPIOP AGG \$ 2,000,000
	OTHER:						
A	AUTOMOBILE LIABILITY			88A2CA1000942-01	2/11/2023	2/11/2024	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000
	<input checked="" type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS		BODILY INJURY (Per person) \$				
	<input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY		BODILY INJURY (Per accident) \$				
			PROPERTY DAMAGE (Per accident) \$				
B	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR			CUP0003449	2/11/2023	2/11/2024	EACH OCCURRENCE \$ 5,000,000
	<input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE		AGGREGATE \$ 5,000,000				
	<input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ 10,000						
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY			408-745945-8	11/1/2023	11/1/2024	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in Nj) <input type="checkbox"/> Y/N	N/A	E.L. EACH ACCIDENT \$ 1,000,000				
	If yes, describe under DESCRIPTION OF OPERATIONS below		E.L. DISEASE - EA EMPLOYEE \$ 1,000,000				
			E.L. DISEASE - POLICY LIMIT \$ 1,000,000				
D	Equipment Floater			MAC2002991-08	2/11/2023	2/11/2024	Limit 200,000
	A Commercial Umbrella			60A2FF0004216-03	2/11/2023	2/11/2024	Limit 5,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
Certificate is Evidence of Insurance for the Named Insured

CERTIFICATE HOLDER

CANCELLATION

County of Nassau Department of Public Works 1194 Prospect Avenue Westbury, NY 11590	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE



Workers'
Compensation
Board

CERTIFICATE OF INSURANCE COVERAGE NYS DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

PART 1. To be completed by NYS Disability and Paid Family Leave benefits carrier or licensed insurance agent of that carrier

1a. Legal Name & Address of Insured (use street address only)

W.H.M. PLUMBING & HEATING CONTRACTORS, INC.
6H ENTERPRISE DRIVE
EAST SETAUKET, NY 11733

Work Location of Insured (Only required if coverage is specifically limited to certain locations in New York State, i.e., Wrap-Up Policy)

1b. Business Telephone Number of Insured

1c. Federal Employer Identification Number of Insured or Social Security Number

112731372

2. Name and Address of Entity Requesting Proof of Coverage
(Entity Being Listed as the Certificate Holder)

County of Nassau Department of Public Works
1194 Prospect Avenue
Westbury, NY 11590

3a. Name of Insurance Carrier

HARTFORD LIFE AND ACCIDENT INSURANCE COMPANY

3b. Policy Number of Entity Listed in Box 1a

LNK648855001

3c. Policy effective period

01-01-2024 to 12-31-2024

4. Policy provides the following benefits:

- ☒ A. Both disability and Paid Family Leave benefits.
☐ B. Disability benefits only.
☐ C. Paid Family Leave benefits only.

5. Policy covers:

- ☒ A. All of the employer's employees eligible under the NYS Disability and Paid Family Leave Benefits Law.
☐ B. Only the following class or classes of employer's employees:

Under penalty of perjury, I certify that I am an authorized representative or licensed agent of the insurance carrier referenced above and that the named insured has NYS Disability and/or Paid Family Leave benefits insurance coverage as described above.

Date Signed 12-28-2023

By

Elizabeth Tello

(Signature of Insurance carrier's authorized representative or NYS licensed insurance agent of that insurance carrier)

Telephone Number (212) 553-8074 Name and Title: ELIZABETH TELLO – ASSISTANT DIRECTOR, STATUTORY SERVICES

IMPORTANT: If Boxes 4A and 5A are checked, and this form is signed by the insurance carrier's authorized representative or NYS Licensed Insurance Agent of that carrier, this certificate is COMPLETE. Mail it directly to the certificate holder.

If Box 4B, 4C or 5B is checked, this certificate is NOT COMPLETE for purposes of Section 220, Subd. 8 of the NYS Disability and Paid Family Leave Benefits Law. It must be emailed to PAU@wcb.ny.gov or it can be mailed for completion to the Workers' Compensation Board, Plans Acceptance Unit, PO Box 5200, Binghamton, NY 13902-5200.

PART 2. To be completed by the NYS Workers' Compensation Board (Only if Box 4B, 4C or 5B have been checked)

State of New York Workers' Compensation Board

According to information maintained by the NYS Workers' Compensation Board, the above-named employer has complied with the NYS Disability and Paid Family Leave Benefits Law (Article 9 of the Workers' Compensation Law) with respect to all of their employees.

Date Signed

By

(Signature of Authorized NYS Workers' Compensation Board Employee)

Telephone Number

Name and Title

Please Note: Only insurance carriers licensed to write NYS disability and Paid Family Leave benefits insurance policies and NYS licensed insurance agents of those insurance carriers are authorized to issue Form DB-120.1. Insurance brokers are NOT authorized to issue this form.



Additional Instructions for Form DB-120.1

By signing this form, the insurance carrier identified in Box 3 on this form is certifying that it is insuring the business referenced in Box 1a for disability and/or Paid Family Leave benefits under the NYS Disability and Paid Family Leave Benefits Law. The insurance carrier or its licensed agent will send this Certificate of Insurance Coverage (Certificate) to the entity listed as the certificate holder in Box 2.

The insurance carrier must notify the above certificate holder and the Workers' Compensation Board within 10 days IF a policy is cancelled due to nonpayment of premiums or within 30 days IF there are reasons other than nonpayment of premiums that cancel the policy or eliminate the insured from coverage indicated on this Certificate. (These notices may be sent by regular mail.) Otherwise, this Certificate is valid for one year after this form is approved by the insurance carrier or its licensed agent, or until the policy expiration date listed in Box 3c, whichever is earlier.

This Certificate is issued as a matter of information only and confers no rights upon the certificate holder. This Certificate does not amend, extend or alter the coverage afforded by the policy listed, nor does it confer any rights or responsibilities beyond those contained in the referenced policy.

This Certificate may be used as evidence of a NYS disability and/or Paid Family Leave benefits contract of insurance only while the underlying policy is in effect.

Please Note: Upon the cancellation of the disability and/or Paid Family Leave benefits policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of Insurance Coverage for NYS disability and/or Paid Family Leave Benefits or other authorized proof that the business is complying with the mandatory coverage requirements of the NYS Disability and Paid Family Leave Benefits Law.

NYS DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

§220. Subd. 8

(a) The head of a state or municipal department, board, commission or office authorized or required by law to issue any permit for or in connection with any work involving the employment of employees in employment as defined in this article, and notwithstanding any general or special statute requiring or authorizing the issue of such permits, shall not issue such permit unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand and twenty-one, the payment of family leave benefits for all employees has been secured as provided by this article. Nothing herein, however, shall be construed as creating any liability on the part of such state or municipal department, board, commission or office to pay any disability benefits to any such employee if so employed.

(b) The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in employment as defined in this article and notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand eighteen, the payment of family leave benefits for all employees has been secured as provided by this article.

Additional Instructions for Form DB-120.1

By signing this form, the insurance carrier identified in Box 3 on this form is certifying that it is insuring the business referenced in Box 1a for disability and/or Paid Family Leave benefits under the NYS Disability and Paid Family Leave Benefits Law. The insurance carrier or its licensed agent will send this Certificate of Insurance Coverage (Certificate) to the entity listed as the certificate holder in Box 2.

The insurance carrier must notify the above certificate holder and the Workers' Compensation Board within 10 days IF a policy is cancelled due to nonpayment of premiums or within 30 days IF there are reasons other than nonpayment of premiums that cancel the policy or eliminate the insured from coverage indicated on this Certificate. (These notices may be sent by regular mail.) Otherwise, this Certificate is valid for one year after this form is approved by the insurance carrier or its licensed agent, or until the policy expiration date listed in Box 3c, whichever is earlier.

This Certificate is issued as a matter of information only and confers no rights upon the certificate holder. This Certificate does not amend, extend or alter the coverage afforded by the policy listed, nor does it confer any rights or responsibilities beyond those contained in the referenced policy.

This Certificate may be used as evidence of a NYS disability and/or Paid Family Leave benefits contract of insurance only while the underlying policy is in effect.

Please Note: Upon the cancellation of the disability and/or Paid Family Leave benefits policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of Insurance Coverage for NYS disability and/or Paid Family Leave Benefits or other authorized proof that the business is complying with the mandatory coverage requirements of the NYS Disability and Paid Family Leave Benefits Law.

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(b) The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in employment as defined in this article and notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand eighteen, the payment of family leave benefits for all employees has been secured as provided by this article.

William H. Mauff

EDUCATION:

Local One Union Plumbing Apprenticeship Program

Midtown, Manhattan, New York, New York

Certificate of Completion - September 1975 - 1978

Suffolk Community College

Selden, New York 11784

Major - Architectural Drafting

Fall of 1975 - One Semester

Vocational B.O.C.E.S.

Dix Hills, New York

Major - Commercial Art

Degree - Honorary Certificate of Completion

September 1973 - June 1975

WORK HISTORY:

W.H.M. Plumbing & Heating Contractors, Inc.

6 H Enterprise Drive

East Setauket, New York 11733

Title - Owner & President

Project Coordinator - Estimator - Purchaser

Licensed and bonded since 1984

Fenley & Nichol

Deer Park, New York

Title - Journeyman

Responsibilities: Installation of fiberglass gasoline tanks and
fiberglass piping, process piping

June 1983 - September 1984

Seaboard Mechanical

Chicago, Illinois

Title - General Foreman

Responsibilities: Supervise all men - coordinate projects, high risers

January 1981 - May 1983 Sewage treatment plants

Nagel Bush Plumbing

Hollywood, California

Title - Foreman

Responsibilities: Supervise all men - coordinate projects, high risers,

October 1979 - December 1980 commercial retail

R. Lenny Plumbing

Stony Brook, New York

Title - Journeyman

*Responsibilities: Lay out jobs - Installation of all sanitary systems
Domestic water supply. Installation of gas piping
systems and process piping.*

December 1980 - July 1978

P.R.P. Plumbing & Heating

Hicksville, New York

Title - Apprentice

*Responsibilities: Installation of plumbing systems, commercial
& Residential.*

June 1976 - July 1978

Plumbing Licenses:

Islip

Oyster Bay

Babylon

Brookhaven

North Hempstead

Suffolk County Septic

Cedarhurst

Glen Cove

S.C.D.P.W. - Sewer license

New York City

Huntington

Hempstead

Massapequa Park

Mineola

Great Neck

Florida

Freeport

East Hills

Rockville Centre

SCHEDULED EQUIPMENT		% COINSURANCE	80	AGENCY CUSTOMER ID: WHMPLUM-01		LMULE	
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
1		Mark Lift	M1TM				
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
			1990		\$ 5,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
2		Hefty Herman Lift					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
	Hefty		1988		\$ 3,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
3		(2) Lincoln Welders@ \$2000 each					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
		Lincoln			\$ 4,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
4		Sumner Crane					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
	Sumner				\$ 1,600		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
5		(6) Threading Machine Ridget @ \$2000 eac					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
					\$ 12,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
6		(5) Core Drilling Machines @ \$1250 each					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
					\$ 6,250		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
7		(3) Hand Tamping Machines @ \$2400 each					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
	Oser Mikasa				\$ 7,200		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
8		Power Washer					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
					\$ 1,975		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
9		(2) Homelite Trash Pump @ \$1400 each					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
	Homelite				\$ 2,800		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
10		(3) Target Cut Off Saws @ \$1050 each					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
	Target				\$ 3,150		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
11		Low Boy Trailer					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
	Low Boy		1996		\$ 40,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
12		Hitachi Super EX220					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
	Hitachi		1998		\$ 100,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
13		Mecca Manlift					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
					\$ 8,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
14		Mecca Manlift					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
					\$ 11,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
					\$		

**EQUIPMENT FLOATER SECTION -
SCHEDULED EQUIPMENT**

WHMPLUM-01

LMULE PAGE 1 OF 3

							% COINSURANCE	80
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
16		Icon Drag Box						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
			1988		\$ 4,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
17		Pipe Lazer						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
			1998		\$ 5,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
18		Custom Trailer						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
			1989		\$ 14,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
19		Towmaster Trailer						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	Towmaster	1973	2000		\$ 11,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
20		Trailer	1D9S6525U1243006					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	DMC		1997		\$ 15,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
21		Featherlite Trailer	4FGL53204VA985444					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	FEATHERLITE		1997		\$ 140,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
22		SkyJack Manlift						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	SkyJack				\$ 11,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
23		SkyJack Manlift						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	SkyJack				\$ 11,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
24		SkyJack Manlift						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	SKYJack				\$ 20,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
25		CAT D-4 Dozer						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	CAT				\$ 38,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
26		CAT Payloader						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	CAT				\$ 110,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
27		Wacker Plate Tamper						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	Wacker				\$ 6,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
28		Mecca Manlift						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	Mecca				\$ 8,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
29		Mecca Manlift						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	Mecca				\$ 8,000			

**EQUIPMENT FLOATER SECTION -
SCHEDULED EQUIPMENT**

WHMPLUM-01

LMULE PAGE 2 OF 3

SCHEDULED EQUIPMENT							% COINSURANCE	80
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
30		Hitachi Excavator	1111P021393					
	MANUFACTURER	Hitawchi	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 175,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
31		Hitachi Forklift						
	MANUFACTURER	Hitachi	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 6,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
32		Lazer Plane						
	MANUFACTURER		MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 2,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
33		Mecca Manlift						
	MANUFACTURER	Mecca	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 8,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
34		Threading Machine Ridget						
	MANUFACTURER		MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 1,500		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
35		SkyJack Lift						
	MANUFACTURER	SkyJack	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 7,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
36		SkyJack Lift						
	MANUFACTURER	SkyJack	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 7,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
37		Hull Lift						
	MANUFACTURER		MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 42,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
38	John Deere	Loader Backhoe	1T0410LXJHF306356					
	MANUFACTURER	John Deere	MODEL 410L	MODEL YEAR 2017	CAPACITY	AMOUNT OF INSURANCE \$ 90,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
39		32 Cu MP Bucket	2053487					
	MANUFACTURER	John Deere	MODEL 410L Loader Backhoe	MODEL YEAR 2017	CAPACITY	AMOUNT OF INSURANCE \$ 80,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
40		Office Trailer	0825345PA029994					
	MANUFACTURER		MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 8,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
41	John Deere	Excavator	1FF210GXEFF523458	Used				
	MANUFACTURER	John Deere	MODEL 210GLC	MODEL YEAR 2015	CAPACITY	AMOUNT OF INSURANCE \$ 190,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW /USED	DATE PURCHASED			
42		2020 Backhoe Loader		New				
	MANUFACTURER	Caterpillar	MODEL 430-07	MODEL YEAR 2020	CAPACITY	AMOUNT OF INSURANCE \$ 135,969		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW /USED	DATE PURCHASED			
43	Trailer	10 ft office trailer						
	MANUFACTURER		MODEL OC10-169	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 15,000		

EQUIPMENT FLOATER SECTION -
SCHEDULED EQUIPMENT

WHMPLUM-01

LMULE PAGE 3 OF 3

							% COINSURANCE	80
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
46		2019 John Deere 345G 345G LC	1FF345GXPJF020275	Used				
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	John Deere	345G LC	2019		\$ 285,684			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			



6H Enterprise Drive
East Setauket, New York 11733
Phone: 631.473.3568
Fax: 631.473.3762

Sewer Projects

Project Name: SCSD #14 PRIMARY CLARIFIER TANKS & SLUDGE THICKENER TANK ALUMINUM COVERS

Owner: County of Suffolk – Department of Public Works

Engineer: Suffolk County Office of Central Procurement

Contract Amount: \$624,500.00

Percentage Complete: 35%

Description of Project: The Contractor shall be responsible for required connecting pipe and supports for connection to the existing odor control system from the proposed covers.

The manufacturer shall be a company specialized in providing engineered aluminum covers for wastewater treatment plants with a minimum of ten (10) years.

The erector /installation contractor shall have a minimum of three (3) installations of similar type aluminum tanks covers and submit references. Welders shall be qualified within the past two (2) years in accordance with AWS. Contractor is responsible for confirming all existing tank dimensions and pipe sizes & elevations in field. The contractor is responsible for arranging to have the manufacturer field verify all tanks as equipment positioning and elevations vary in the three tanks.

Project Name: LI-JB-2023-002 Sewage Treatment Plant - Valve Replacements Jones Beach State Park

Owner: New York State Office of Parks, Recreation and Historic Preservation

Engineer: Cameron Engineering

Contract Amount: \$747,537.00

Percentage Complete: Just Awarded

Description of Project: Temporary bypass pumping of sewage plant influent flow into storage tanks with transportation and disposal to an alternate wastewater treatment facility. Removal and replacement of (10) existing slide

gates and Recirculation Pump No. 1 and all associated equipment and appurtenances at the Sewage Treatment Plant.

Project Name: TOWN OF BROOKHAVEN, TOWN HALL WATER RECLAMATION PLANT

Owner: Town of Brookhaven

Engineer: Cameron Engineering

Contract Amount: \$3,924,000.00

Percentage Complete: Just Awarded

Description: The principal features of the Work to be performed and equipment to be provided for this Project under this Contract include all labor, equipment, fees, and other related costs necessary to provide for the bypassing of influent flow from the existing



6H Enterprise Drive
East Setauket, New York 11733
Phone: 631.473.3568
Fax: 631.473.3762

treatment wastewater system, removal of the existing wastewater treatment system and construction of a new wastewater treatment system within the existing treatment plant building.



6H Enterprise Drive
East Setauket, New York 11733
Phone: 631.473.3568
Fax: 631.473.3762

Sewage Treatment Projects in Progress

2018
Project: Bay Park STP – Effluent Screening and Disinfection Facility
Improvements

Contract No. S35121-11G
2 Majorie Lane
East Rockaway, NY

Contract Amount: \$8,250,000.00

Owner: Nassau County DPW
1194 Prospect Ave
Westbury, New York 11797

Contact: Vincent Falkowski
NC
(516)571-7515

Construction Manager: Richard Mahoney
Jacobs
(732) 567-9211

Engineer: Peter Petriccione
Gannet Flemming
100 Crossways Park West
Suite, 300
Woodbury, NY 11797
(516) 306-8957

***Percent Completed: 60%**

2019

Project: Belgrave Water Pollution Control Plant – Effluent Pump Station
Contract No. 19-01G
34-01 255th
Little Neck, NY

Contract Amount: \$3,595,200.00

Owner: Belgrave Water Pollution Control District
Chet Steban, Supervisor
(516)827 - 4900

Engineer: Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact: Matt Thogersen, PE
(516) 815-4156

***Percent Completed: 95%**

Sewage Treatment Projects Completed

2018

Project: Incorporated Village of Ocean Beach – Phase 2 FEMA Project – Resiliency
Upgrades

Contract No. 3593
930-937 Bayview Walk
Ocean Beach, NY 11770

Contract Amount: \$ 4,375,434.20

Owner: Incorporated Village of Ocean Beach
P.O. box 457
Ocean Beach , NY 11770

Contract: Kevin Shelling
Plant Superintendent
(631) 445-4589

Engineer: Tom Schaefer
D&B Engineers and Architects P.C.
330 Crossways Park Drive
Woodbury, NY 11797
(516) 364-9890

2016

Project:

Glen Cove Wastewater Treatment Plant – Primary and
Secondary Settling Tank Improvements
Contract S35114-04G
100 Morris Avenue
Glen Cove, NY

Contract Amount:

\$ 5,940,436.83

Change Orders:

Owner:

Nassau County DPW
1194 Prospect Avenue
Westbury, NY

Contract:

Edward Visone
Environmental Construction
(516 571-7359

Engineer:

Chris Weiss, P.E.
H2M architects and engineers
538 Broad Hollow Road, 4th Floor E
Melville, NY 11747
(631) 756-8000

2016

Project:

Bay Park STP – Flood Protection Phase I – Stormwater
Pumping Stations
Contract S35121-18G
2 Majorie Lane
East Rockaway, NY

Contract Amount:

\$16,700,000.00

Owner:

Nassau County DPW
1194 Prospect Ave
Westbury, NY

Engineer:

Gannet Flemming
Lazarus Francino
100 Crossways Park West
Suite, 300
Woodbury, NY 11797
(516) 364-4140

2013

Project:

Cedar Creek WTCP – Influent Screens Facility Improvements
Contract No. S3C067-01G
3340 Merrick Road
Seaford, NY 11783

Contract Amount:

\$ 11,654,350.00

Owner:

Nassau County DPW
1194 Prospect Ave
Westbury, NY 11590

Contact:

Edward Visone
Environmental Construction
(516) 571-7359

Engineer:

Arcadis
Tim McDonald
44 South Broadway
White Plains, NY 10601
914-641-2429

2013 Project:	Belgrave Water Pollution Control Plant Phase II 34-01 255th Little Neck, NY
Contract Amount:	\$5,880,000.00
Change Orders:	\$1,017,669.61
Owner:	Board of Commissioners Belgrave Water Pollution Control District Chet Steban, Supervisor (516)827 - 4900
Engineer:	Dvirka & Bartilucci Consulting Engineers 330 Crossways Park Drive Woodbury, NY 11797
Contact:	Chuck J. Wachsmuth, PE (516)364-9890
2013 Project:	Cedar Creek Sewage Treatment Plant – Sludge Dewatering Facility Improvements 3340 Merrick Road Wantaugh, NY 11793
Contract Amount:	\$13,708,563.23
Owner:	Nassau County Department of Public Works 1194 Prospect Ave Westbury, New York
Contractor :	BlueWater Environmental/Posillico Contracting 1750 New Highway Farmingdale, New York
Contact:	Peter Brindley (516) 523-0949

2012

Project:

Sewer District 21 Stony Brook
Contract No. 8121G
25 North Loop Drive
Stony Brook NY

Contract Amount:

\$ 12,368,200.00

Change Orders:

Owner:

Suffolk County DPW
335 Yaphank Ave
Yaphank, NY 11980

Contact:

Janice McGovern, PE
Principal Civil Engineer
(631) 852-4189

Engineer:

Gannet Flemming
100 Crossways Park West
Suite, 300
Woodbury, NY 11797
(516) 364-4140

=====

2012

Project:

Northport WWTP Upgrades
Contract No. 2012-6
1 Ketchum Place
Northport, NY

Contract Amount:

\$ 3,392,000.00

Change Orders:

Owner:

Incorporated Village of Northport
224 Main Street
Northport, NY

Engineer:

Gannet Flemming
100 Crossways Park West
Suite, 300
Woodbury, NY 11797
(516) 364-4140

2011

Project:

Bay Park STP - Interim Sludge Thickening Facility
Contract No. S35114-01G
2 Majorie Lane
East Rockaway, NY

Contract Amount:

\$3,186,800.00

Change Orders:

\$49,873.78

Owner:

Nassau County DPW
1194 Prospect Ave
Westbury, NY

Construction Manager:

Liro Program & Construction Management, P.C.
3 Aerial Way
Syosset, New York 11791

Contact:

Carl Avino
(917) 681-5560
(516) 938-5476

Engineer:

Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact:

Frank May
NC/D&B/Liro
(516)364-9890

2011

Project:

Belgrave Water Pollution Control Plant Phase I
34-01 255th
Little Neck, NY

Contract Amount:

\$946,000.00

Change Orders:

\$41,466.17

Owner:

Board of Commissioners
Belgrave Water Pollution Control District
Chet Steban, Supervisor

Engineer:

Cameron Engineering & Associates, LLP
100 Sunnyside Boulevard, Suite 100
Woodbury, New York 11797

Contact:

Chet Steban, Supervisor - Belgrave Water Pollution Control

Plant

(516) 487-2759

2011

Project:

Bay Park STP – Modifications to Influent Pumping System
Contract No. S3B117G
2 Majorie Lane
East Rockaway, NY

Contract Amount:

\$7,215,000.00

Change Orders:

\$88,548.45

Owner:

Nassau County DPW
1194 Prospect Ave
Westbury, New York 11797

Construction Manager:

Liro Program & Construction Management, P.C.
3 Aerial Way
Syosset, New York 11791
(516) 938-5476

Engineer:

Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact:

Paul Cimino
NC/D&B/Liro
(516)364-9890

2011

Project: Twelve Pines STP
Contract No. CP8119
Woodside Ave
Medford, NY

Contract Amount: \$1,469,000.00
Change Orders: \$121,718.91

Owner: Suffolk County DPW
335 Yaphank Ave
Yaphank, NY 11980

Contact: Janice McGovern, PE
Principal Civil Engineer
(631) 852-4189

Engineer: John C. Berchtold, PE
Associate Civil Engineer
DPW
Sanitation Engineering Division
(631) 852-4189

2010

Project: Ray Street STP
Upgrade & Rehabilitation - Contract No. S3P309G
Ray Street
Freeport, NY

Contract Amount: \$4,076,000.00
Change Orders: \$261,592.00

Owner: Nassau County DPW
1194 Prospect Ave
Westbury, NY

Contact: Thomas Immerso
(516) 571-7536

Engineer: Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact: Olga Mubarak-Jaramillo
(516) 364-9890

2010
Project: Bay Park STP Improvements and Replacements to Various
Equipment and Building Modifications
Contract S3B119-03G
2 Majorie Lane
East Rockaway, NY

Contract Amount: \$2,425,000.00

Owner: Nassau County DPW
1194 Prospect Ave
Westbury, NY

Construction Manager: Malcolm Pirnie, Inc.
27-01 Queens Plaza North
Suite 800
Long Island City, New York 11101
(718) 446-0116

Engineer: Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact Paul Cimino
NC/D&B
(516)364-9890

2009
Project: Kings Park - Contract 1 - 8144G
Kings Park, NY

Contract Amount: \$2,309,000.00
Change Orders: \$103,222.94

Owner: Suffolk County Department of Public Works
335 Yaphank Ave.
Yaphank, NY 11980

Contractor: Posillico Civil Engineering
1750 New Highway
Farmingdale, NY

Contact: Chris Mauro
(516) 523-0949

2007

Project: Bergen Point STP
Sludge Dewatering
Bergen Ave
West Babylon, NY 11704

Contract Amount: \$8,241,761.00
Change Orders: \$154,940.08

Owner: Suffolk County Department of Public Works
335 Yaphank Ave.
Yaphank, NY 11980

Contractor: Posillico Civil Engineering
1750 New Highway
Farmingdale, NY

Contact: Peter Brindley
(516) 523-0949

2006

Project: CSSP#3
Bergen Point Traveling Water Screen Replacement
Bergen Ave
West Babylon, NY 11704

Contract Amount: \$1,400,000.00

Owner: Suffolk County Department of Public Works
335 Yaphank Ave.
Yaphank, NY 11980

Contractor: Camp Dresser & McKee
100 Crossways Park West
Suite 415
Woodbury, New York 11797

Contact: Chris Korzenkoca, CDM
(516) 496-8400

Project: Galleria Sewage Treatment Plant
Smithtown, New York

Contract Amount: \$1,800,000.00

Owner: Avalon Properties
15 River Road
Wilton, CT. 06897

Project: Greenport Sewage Treatment Plant
Greenport, New York

Contract Amount: \$1,366,701.00

Owner: Inc. Village of Greenport
236 Third Street
Greenport, New York

2004
Project: Setauket Meadows STP
East Setauket, New York 11733

Contract Amount: \$1,233,000.00
Change Orders: \$227,307.80

Engineer: Nelson & Pope
572 Walt Whitman Road
Melville, New York 11747

Contact: Brian Rodie
(631) 427-5665

2004

Project: Northport Sewage Treatment Plant

Contract Amount: \$1,588,000.00

Change Orders: \$16,797.87

Engineer: Gannett Fleming Engineers, P.C.
100 Crossways Park West
Suite 300
Woodbury, New York 11797

Contact: Phil Grande, PE
(516) 364-4140

2002

Project: City of Glen Cove W.P.C.P
BNR Retrofit
Glen Cove, New York

Contract Amount: \$3,335,750.00

Change Orders: \$307,143.02

Engineer: Cameron Engineering & Associates, L.L.P.
100 Sunnyside Boulevard, Suite 100
Woodbury, New York 11797

Contact: Mark Wagner
(516) 827-4900

Project: Park Meadow, Sewage Treatment Plant
Smithtown, New York

Contract Amount: \$1,600,000.00

Owner: Beechwood / 345 Building Corp.
Smithtown, New York

Contact: Mike Dubb
(516) 935-5555

2002

Project: Sagamore Hills, STP
Port Jefferson, New York

Contract Amount: \$1,069,200.00

Owner: Sagamore Hills Board
Contact: Jack Naylou
(631) 929-2860

2001

Project: Smithaven Mall
New SBR Treatment Plant

Contract Amount: \$2,799,000.00
Change Orders: \$419,065.26

Owner: Simon Properties
Smithaven Mall
Lake Grove, New York

Engineer: Nelson & Pope
572 Walt Whitman Road
Melville, New York 11747

Contact: George Baxter
(631) 427-5665



Nassau County Interim Finance Authority

Contract Approval Request Form (As of January 1, 2015)

1. Vendor: W.H.M. Plumbing & Heating Contractors, Inc.

2. Amount requiring NIFA approval: \$30,814,000.00

Amount to be encumbered: \$30,814,000.00

Slip Type: New

If new contract - \$ amount should be full amount of contract

If advisement - NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: to 862 days from NTP

Has work or services on this contract commenced? No

If yes, please explain:

4. Funding Source:

General Fund (GEN)		Grant Fund (GRT)
Capital Improvement Fund (CAP)	X	Other
Federal %	0	
State %	0	
County %	100	

Is the cash available for the full amount of the contract? No

If not, will it require a future borrowing? Yes

Has the County Legislature approved the borrowing? Yes

Has NIFA approved the borrowing for this contract? No

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

General Construction services for the Cedar Creek WPCP Digester Rehabilitation & Cleaning Phase 1 project.

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form Yes

Nassau County Committee and/or Legislature

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

Contract ID	Posting Date	Amount Added in Prior 12 Months
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AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

CNOLAN

03/01/2024

Authenticated User

Date

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

Budget is available and funds have been encumbered but the project requires NIFA bonding authorization.

Authenticated User

Date

NIFA

Amount being approved by NIFA:

Payment is not guaranteed for any work commenced prior to this approval.

Authenticated User

Date

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Contract File

FROM: Jane Houdek, Esq.
Designated DCCO

DATE: February 28, 2024

SUBJECT: Contract No: S35100-07G1
W.H.M. Plumbing & Heating Contractors Inc.
Digester Rehabilitation and Cleaning
Responsibility Determination Memo

DPW Summary Finding

It is the Department's opinion that based on the information known to the Department and summarized in this memorandum, that the vendor is a responsible contractor for the purpose of being awarded the above-referenced contract.

NYS Standard for State and Municipal Contract Approval

Prior to the award of a contract, New York State agencies and political subdivisions, are required to make a determination of responsibility of the proposed vendor. State Finance Law §163 (9) (f); Highway Law §38; Public Building Law §8; General Municipal Law §103. General Municipal Law §103 requires the County to award contracts to a "responsible bidder" but does not define that term. However, the State Finance Law, which is the analogous law for contracting by New York State agencies, defines responsible as: "financial ability, legal capacity, integrity and past performance of a business entity and as such terms have been interpreted relative to public procurements." NY CLS St. Fin §163 (1) (c). This standard is equivalent to the standard applied to procurements pursuant to NYGML §103. *Matter of AAA Caring & Rubbish Removal, Inc. v. Town of Southeast* 17 N.Y. 3d 136 (2011)

The NY State Office of General Services employees the FLIP analysis -financial ability, legal capacity, integrity and past performance – to meet its obligation to make a responsibility determination.

Application of the FLIP test

As explained in this memorandum, the FLIP test, can be used to evaluate a vendor's responsibility.

1. Financial and Organizational Capacity

The Department has reviewed materials supplied by vendor as required by the bid documents and is satisfied that the vendor has met the financial and organizational capacity as required by the bid documents.

2. Legal Authority

Vendor is not debarred. Vendor possesses requisite licenses.

3. Integrity

Vendor possesses requisite business integrity-analysis of vendor information described in later portion of this memorandum.



February 28, 2024

SUBJECT: Contract No: S35100-07G1
W.H.M. Plumbing & Heating Contractors Inc.
Digester Rehabilitation and Cleaning
Responsibility Determination Memo

4. Past Performance

Based upon the vendor's prior performance on County contracts, as well as a check of vendor's reference, vendor is qualified to perform the work for the above-referenced contract.

Nassau County Procurement Policy Requirements

Similarly, the Nassau County Procurement Policy states that, "a vendor is deemed responsible to be awarded a contract when it has demonstrated that it has the integrity and capacity to perform the required services on behalf of the County." Nassau County Procurement Policy at Appendix E. Appendix E goes on to state that factors to consider in determining the responsibility of a vendor include: financial resources, organizational capacity, a satisfactory record of performance and a satisfactory record of business integrity - an analysis identical to the FLIP test.

For purposes of its consideration of responsibility, the County requires potential vendors to submit vendor disclosure forms. Those forms are used by the Department as a tool when conducting a vendor responsibility review with the intent of determining "if there exists any material adverse information impacting the vendor's capacity or integrity." Quoting Appendix E.

The following is quoted from Appendix E:

For adverse information to be considered a factor in a vendor responsibility review, the information must be found to be material. Not all adverse information may be material. Materiality is greater for more recent events than for less recent ones, and materiality is greater for events concerning services more closely related to those required by the County than services that are less so. An event is considered most material when it concerns services equivalent or similar to those required by the County, has occurred recently, occurred in close geographic proximity to the County, and involves one or more individuals or organizational departments that may be involved in the conduct of the County contract. Also relevant in this assessment is whether the event involves a relatively large or small amount of money, services or product; whether the event involves a threat to life, health, safety, or property, whether criminality or possible criminality is involved; and the quantity of adverse information. In addition, any adverse information discovered by a department, which should have been, but was not disclosed by the vendor on the appropriate disclosure form(s) may be considered material.

Examples of adverse information that may not be considered material include adverse social media posts or poor reviews of a company posted on the internet. In addition, adverse information that occurred and/or was resolved more than five years ago generally is not considered material, although once such information has been identified the department conducting the review should investigate whether any similar subsequent event(s) occurred that may be subject to further analysis or suggesting a concern regarding the vendor's corporate culture.

A finding of nonresponsibility has significant implications for the vendor, since subsequent to that determination the vendor must disclose it in future solicitation responses to public entities. Therefore, the finding must be based upon evidence of a sufficiently severe failure of capacity and/or integrity on the part of the vendor. Any adverse information which is not deemed to be sufficient for a finding of

February 28, 2024

SUBJECT: Contract No: S35100-07G1
W.H.M. Plumbing & Heating Contractors Inc.
Digester Rehabilitation and Cleaning
Responsibility Determination Memo

nonresponsibility must be demonstrated by the vendor to have been addressed sufficiently through implementation of corrective actions and/or plans to ensure that the adverse event(s) shall not impact or recur during the conduct of the County contract under consideration. Corrective actions that may be considered in this regard include, but are not limited to, termination of the employee(s) involved, introduction of new policies and procedures, employee trainings, reorganization of the vendor's structure, introduction of new internal or external monitors, payment of all fines, satisfactory resolution of the matter by the investigating entity, etc.

Application of the Materiality Evaluation to Determine Vendor Integrity

As described in this memorandum, the Nassau County Procurement Policy at Appendix E identifies factors that should be considered when determining if the adverse information available about a vendor rises to level of materiality (vendor responsibility determination is dependent upon material information).

Adverse Information Review

Vendor reports that a nonperforming affiliate Build Mor was temporarily debarred for failing to maintain current insurance – that was corrected and the debarment was lifted on February 18, 2023. As the debarment of the affiliate was due to an administrative oversight that has been corrected, the Department has concluded that the vendor is a responsible vendor for the performance of the scope of work in Contract S35100-07G1.



Jane Houdek
Attorney for DPW

JH:pl

Certificate of No Change Form



All fields must be filled.

A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.

A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, william mauff state that I have read and understand all the items contained in the disclosure documents listed below and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that Nassau County will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Disclosures

This refers to the vendor integrity and disclosure forms submitted for the vendor doing business with the County.

Name of Submitting Entity: William H Mauff

Vendor's Address: 6h enterprise drive east setauket NY US 11733

Vendor's EIN or TIN: XXXXXXXXXX

Forms Submitted: _____

Political Campaign Contribution Disclosure Form: 10/27/2023 10:49:15 am

Lobbyist Registration and Disclosure Form: 09/15/2023 06:45:32 am

Business History Form certified: 10/27/2023 10:50:39 am

Consultant's, Contractor's, and Vendor's Disclosure Form: 10/27/2023 10:51:29 am

Principal Questionnaire(s)

This refers to the most recent principal questionnaire submissions.

Principal Name william mauff [WILLIAMHMAUFF@GMAIL.COM]
Date Certified 01/23/2024 10:11:27 am

I, william mauff hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I further certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.”

William H Mauff WILLIAMHMAUFF@GMAIL.COM
Name

President
Title

WHM Plumbing and Heating Contractors, Inc
Name of Submitting Entity

01/23/2024 10:13:14 am
Date



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES ☐ NO ☒ If yes, to what campaign committee?

Electronically signed and certified at the date and time indicated by:

William Mauff [WILLIAMHMAUFF@GMAIL.COM]

Dated: 10/27/2023 10:49:15 am

Vendor: WHM Plumbing & Heating Contractors, Inc.

Title: President

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: William H. Mauff
Date of birth: [REDACTED]
[REDACTED]
City: [REDACTED] State/Province/Territory: [REDACTED] Zip/Postal Code: [REDACTED]
Country: US
Business Address: 6H Enterprise Drive
City: East Setauket State/Province/Territory: NM Zip/Postal Code: 11733
Country: US
Telephone: (631) 473-3568
Other present address(es):
City: east setauket State/Province/Territory: NY Zip/Postal Code: 11733
Country: US
Telephone: 6314733568

List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u>03/18/1985</u>	Treasurer	<u>03/18/1985</u>
Chairman of Board	<u></u>	Shareholder	<u></u>
Chief Exec. Officer	<u></u>	Secretary	<u>03/18/1985</u>
Chief Financial Officer	<u></u>	Partner	<u></u>
Vice President	<u></u>		
(Other)	<u></u>		

3. Do you have an equity interest in the business submitting the questionnaire?
YES ☒ NO ☐ If Yes, provide details.
100% Owner/Stockholder

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?
YES ☐ NO ☒ If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
YES ☒ NO ☐ If Yes, provide details.

6 Enterprise Drive, LLC. and 4 Enterprise Drive, LLC. - Companies own property

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?
YES ☐ NO ☒ If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?
YES ☒ NO ☐ If yes, provide an explanation of the circumstances and corrective action taken.

Insurance issue. Debarment lifted.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES ☐ NO ☒ If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

- a. Is there any felony charge pending against you?
YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

10 In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

11 In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

12 In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

13 For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide an explanation of the circumstances and corrective action taken.

I, william mauff , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, william mauff , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

whm plumbing and heating contractors, inc

Name of submitting business

Electronically signed and certified at the date and time indicated by:

william mauff WILLIAMHMAUFF@GMAIL.COM

president

Title

01/23/2024 10:11:27 am

Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 04/25/0023

1) Proposer's Legal Name: WHM Plumbing and Heating Contractors Inc.

2) Address of Place of Business: 6h enterprise drive

City: east setauket State/Province/
Territory: NY Zip/Postal
Code: 11733

Country: US

3) Mailing Address (if different): _____

City: _____ State/Province/
Territory: _____ Zip/Postal
Code: _____

Country: _____

Phone: _____

Does the business own or rent its facilities? Own If other, please provide details:

4) Dun and Bradstreet number: ██████████

5) Federal I.D. Number: ██████████

6) The proposer is a: Corporation (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?

YES [X] NO [] If yes, please provide details:

Share Office with Law Office of June Connolly, P.C. (General Counsel of W.H.M)

8) Does this business control one or more other businesses?

YES ☐ NO ☒ If yes, please provide details:

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?

YES ☒ NO ☐ If yes, please provide details:

Build-Mor, Inc. is an affiliate Company

- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?

YES ☐ NO ☒ If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract).

- 11) Has the proposer, during the past seven years, been declared bankrupt?

YES ☐ NO ☒ If yes, state date, court jurisdiction, amount of liabilities and amount of assets

- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ☐ NO ☒ If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ☐ NO ☒ If yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire.

- 17) Conflict of Interest:

- a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

none

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

none

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

none

- b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

WHm is a privately held company with long term employee and has not found a conflict of interest in doing business with Nassau County.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive

experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Have you previously uploaded the below information under in the Document Vault?

YES ☐ NO ☒

Is the proposer an individual?

YES ☐ NO ☒ Should the proposer be other than an individual, the Proposal MUST include:

i) Date of formation;

03/18/1985

ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

William H. Mauff, President – 39 Fairway Drive, Port Jefferson, NY 11777.

iii) Name, address and position of all officers and directors of the company. If none, explain.

William H. Mauff, President – 39 Fairway Drive, Port Jefferson, NY 11777.

iv) State of incorporation (if applicable);

NY

v) The number of employees in the firm;

40

vi) Annual revenue of firm;

18000000

vii) Summary of relevant accomplishments

Update and contruction of Sewer Treatment Plants

1 File(s) uploaded: Sewage Treatment Projects April 2022.pdf

viii) Copies of all state and local licenses and permits.

1 File(s) uploaded: License.pdf

B. Indicate number of years in business.

35

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

Further information is available upon detailed request. W.H.M. has been doing business with Nassau County for many years and believe our history speaks for itself.

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or

who are qualified to evaluate the Proposer's capability to perform this work.

Company	Town of Babylon		
Contact Person	Vincent Piccoli		
Address	200 East Sunrise High Way		
City	Lindenhurst	State/Province/Territory	NY
Country	US		
Telephone	(631) 957-3131		
Fax #			
E-Mail Address	vpiccoli@townofbabylon.com		

Company	Village of ocean beach		
Contact Person	K. Shelling		
Address	930-937 Bay View Walk		
City	ocean beach	State/Province/Territory	NY
Country	US		
Telephone	(631) 583-5940		
Fax #			
E-Mail Address	kschelling@villageofocceanbeach.org		

Company	Suffolk County DPW		
Contact Person	Janice McGovern		
Address	335 Yaphank Ave		
City	yaphank	State/Province/Territory	NY
Country	US		
Telephone	(631) 852-4188		
Fax #			
E-Mail Address	janice.mcgovern@suffolkcountyny.gov		

I, William Mauff , hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, William Mauff , hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

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Name of submitting business: WHM Plumbing & Heating Contractors, Inc.

Electronically signed and certified at the date and time indicated by:
William Mauff WILLIAMHMAUFF@GMAIL.COM

President

Title

10/27/2023 10:50:39 am

Date



6H Enterprise Drive
East Setauket, New York 11733
Phone: 631.473.3568
Fax: 631.473.3762

Sewage Treatment Projects in Progress

2018	
Project:	Bay Park STP – Effluent Screening and Disinfection Facility
Improvements	
	Contract No. S35121-11G 2 Majorie Lane East Rockaway, NY
Contract Amount:	\$8,250,000.00
Owner:	Nassau County DPW 1194 Prospect Ave Westbury, New York 11797
Contact:	Vincent Falkowski NC (516)571-7515
Construction Manager:	Richard Mahoney Jacobs (732) 567-9211
Engineer:	Peter Petriccione Gannet Flemming 100 Crossways Park West Suite, 300 Woodbury, NY 11797 (516) 306-8957
*Percent Completed:	60%

2019

Project: Belgrave Water Pollution Control Plant – Effluent Pump Station
Contract No. 19-01G
34-01 255th
Little Neck, NY

Contract Amount: \$3,595,200.00

Owner: Belgrave Water Pollution Control District
Chet Steban, Supervisor
(516)827 - 4900

Engineer: Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact: Matt Thogersen, PE
(516) 815-4156

***Percent Completed: 95%**

Sewage Treatment Projects Completed

2018

Project: Incorporated Village of Ocean Beach – Phase 2 FEMA Project – Resiliency
Upgrades

Contract No. 3593
930-937 Bayview Walk
Ocean Beach, NY 11770

Contract Amount: \$ 4,375,434.20

Owner: Incorporated Village of Ocean Beach
P.O. box 457
Ocean Beach , NY 11770

Contract: Kevin Shelling
Plant Superintendent
(631) 445-4589

Engineer: Tom Schaefer
D&B Engineers and Architects P.C.
330 Crossways Park Drive
Woodbury, NY 11797
(516) 364-9890

2016

Project: Glen Cove Wastewater Treatment Plant – Primary and
Secondary Settling Tank Improvements
Contract S35114-04G
100 Morris Avenue
Glen Cove, NY

Contract Amount: \$ 5,940,436.83
Change Orders:

Owner: Nassau County DPW
1194 Prospect Avenue
Westbury, NY

Contract: Edward Visone
Environmental Construction
(516 571-7359

Engineer: Chris Weiss, P.E.
H2M architects and engineers
538 Broad Hollow Road, 4th Floor E
Melville, NY 11747
(631) 756-8000

2016

Project: Bay Park STP – Flood Protection Phase I – Stormwater
Pumping Stations
Contract S35121-18G
2 Majorie Lane
East Rockaway, NY

Contract Amount: \$16,700,000.00

Owner: Nassau County DPW
1194 Prospect Ave
Westbury, NY

Engineer: Gannet Flemming
Lazarus Francino
100 Crossways Park West
Suite, 300
Woodbury, NY 11797
(516) 364-4140

2013

Project: Cedar Creek WTCP – Influent Screens Facility Improvements
Contract No. S3C067-01G
3340 Merrick Road
Seaford, NY 11783

Contract Amount: \$ 11,654,350.00

Owner: Nassau County DPW
1194 Prospect Ave
Westbury, NY 11590

Contact: Edward Visone
Environmental Construction
(516) 571-7359

Engineer: Arcadis
Tim McDonald
44 South Broadway
White Plains, NY 10601
914-641-2429

2013

Project: Belgrave Water Pollution Control Plant Phase II
34-01 255th
Little Neck, NY

Contract Amount: \$5,880,000.00

Change Orders: \$1,017,669.61

Owner: Board of Commissioners
Belgrave Water Pollution Control District
Chet Steban, Supervisor
(516)827 - 4900

Engineer: Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact: Chuck J. Wachsmuth, PE
(516)364-9890

2013

Project: Cedar Creek Sewage Treatment Plant – Sludge Dewatering
Facility Improvements
3340 Merrick Road
Wantagh, NY 11793

Contract Amount: \$13,708,563.23

Owner: Nassau County Department of Public Works
1194 Prospect Ave
Westbury, New York

Contractor : BlueWater Environmental/Posillico Contracting
1750 New Highway
Farmingdale, New York

Contact: Peter Brindley
(516) 523-0949

2012

Project: Sewer District 21 Stony Brook
Contract No. 8121G
25 North Loop Drive
Stony Brook NY

Contract Amount: \$ 12,368,200.00
Change Orders:

Owner: Suffolk County DPW
335 Yaphank Ave
Yaphank, NY 11980

Contact: Janice McGovern, PE
Principal Civil Engineer
(631) 852-4189

Engineer: Gannet Flemming
100 Crossways Park West
Suite, 300
Woodbury, NY 11797
(516) 364-4140

=====

2012

Project: Northport WWTP Upgrades
Contract No. 2012-6
1 Ketchum Place
Northport, NY

Contract Amount: \$ 3,392,000.00
Change Orders:

Owner: Incorporated Village of Northport
224 Main Street
Northport, NY

Engineer: Gannet Flemming
100 Crossways Park West
Suite, 300
Woodbury, NY 11797
(516) 364-4140

2011

Project: Bay Park STP - Interim Sludge Thickening Facility
Contract No. S35114-01G
2 Majorie Lane
East Rockaway, NY

Contract Amount: \$3,186,800.00

Change Orders: \$49,873.78

Owner: Nassau County DPW
1194 Prospect Ave
Westbury, NY

Construction Manager: Liro Program & Construction Management, P.C.
3 Aerial Way
Syosset, New York 11791

Contact: Carl Avino
(917) 681-5560
(516) 938-5476

Engineer: Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact: Frank May
NC/D&B/Liro
(516)364-9890

2011

Project: Belgrave Water Pollution Control Plant Phase I
34-01 255th
Little Neck, NY

Contract Amount: \$946,000.00

Change Orders: \$41,466.17

Owner: Board of Commissioners
Belgrave Water Pollution Control District
Chet Steban, Supervisor

Engineer: Cameron Engineering & Associates, LLP
100 Sunnyside Boulevard, Suite 100
Woodbury, New York 11797

Contact: Chet Steban, Supervisor - Belgrave Water Pollution Control
Plant
(516) 487-2759

2011

Project: Bay Park STP – Modifications to Influent Pumping System
Contract No. S3B117G
2 Majorie Lane
East Rockaway, NY

Contract Amount: \$7,215,000.00

Change Orders: \$88,548.45

Owner: Nassau County DPW
1194 Prospect Ave
Westbury, New York 11797

Construction Manager: Liro Program & Construction Management, P.C.
3 Aerial Way
Syosset, New York 11791
(516) 938-5476

Engineer: Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact: Paul Cimino
NC/D&B/Liro
(516)364-9890

2011

Project: Twelve Pines STP
Contract No. CP8119
Woodside Ave
Medford, NY

Contract Amount: \$1,469,000.00
Change Orders: \$121,718.91

Owner: Suffolk County DPW
335 Yaphank Ave
Yaphank, NY 11980

Contact: Janice McGovern, PE
Principal Civil Engineer
(631) 852-4189

Engineer: John C. Berchtold, PE
Associate Civil Engineer
DPW
Sanitation Engineering Division
(631) 852-4189

2010

Project: Ray Street STP
Upgrade & Rehabilitation - Contract No. S3P309G
Ray Street
Freeport, NY

Contract Amount: \$4,076,000.00
Change Orders: \$261,592.00

Owner: Nassau County DPW
1194 Prospect Ave
Westbury, NY

Contact: Thomas Immerso
(516) 571-7536

Engineer: Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact: Olga Mubarak-Jaramillo
(516) 364-9890

2010

Project: Bay Park STP Improvements and Replacements to Various
Equipment and Building Modifications
Contract S3B119-03G
2 Majorie Lane
East Rockaway, NY

Contract Amount: \$2,425,000.00

Owner: Nassau County DPW
1194 Prospect Ave
Westbury, NY

Construction Manager: Malcolm Pirnie, Inc.
27-01 Queens Plaza North
Suite 800
Long Island City, New York 11101
(718) 446-0116

Engineer: Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact Paul Cimino
NC/D&B
(516)364-9890

2009

Project: Kings Park - Contract 1 – 8144G
Kings Park, NY

Contract Amount: \$2,309,000.00

Change Orders: \$103,222.94

Owner: Suffolk County Department of Public Works
335 Yaphank Ave.
Yaphank, NY 11980

Contractor: Posillico Civil Engineering
1750 New Highway
Farmingdale, NY

Contact: Chris Mauro
(516) 523-0949

2007

Project: Bergen Point STP
Sludge Dewatering
Bergen Ave
West Babylon, NY 11704

Contract Amount: \$8,241,761.00
Change Orders: \$154,940.08

Owner: Suffolk County Department of Public Works
335 Yaphank Ave.
Yaphank, NY 11980

Contractor: Posillico Civil Engineering
1750 New Highway
Farmingdale, NY

Contact: Peter Brindley
(516) 523-0949

2006

Project: CSSP#3
Bergen Point Traveling Water Screen Replacement
Bergen Ave
West Babylon, NY 11704

Contract Amount: \$1,400,000.00

Owner: Suffolk County Department of Public Works
335 Yaphank Ave.
Yaphank, NY 11980

Contractor: Camp Dresser & McKee
100 Crossways Park West
Suite 415
Woodbury, New York 11797

Contact: Chris Korzenkoca, CDM
(516) 496-8400

Project: Galleria Sewage Treatment Plant
Smithtown, New York

Contract Amount: \$1,800,000.00

Owner: Avalon Properties
15 River Road
Wilton, CT. 06897

Project: Greenport Sewage Treatment Plant
Greenport, New York

Contract Amount: \$1,366,701.00

Owner: Inc. Village of Greenport
236 Third Street
Greenport, New York

2004

Project: Setauket Meadows STP
East Setauket, New York 11733

Contract Amount: \$1,233,000.00

Change Orders: \$227,307.80

Engineer: Nelson & Pope
572 Walt Whitman Road
Melville, New York 11747

Contact: Brian Rodie
(631) 427-5665

2004

Project: Northport Sewage Treatment Plant

Contract Amount: \$1,588,000.00

Change Orders: \$16,797.87

Engineer: Gannett Fleming Engineers, P.C.
100 Crossways Park West
Suite 300
Woodbury, New York 11797

Contact: Phil Grande, PE
(516) 364-4140

2002

Project: City of Glen Cove W.P.C.P
BNR Retrofit
Glen Cove, New York

Contract Amount: \$3,335,750.00

Change Orders: \$307,143.02

Engineer: Cameron Engineering & Associates, L.L.P.
100 Sunnyside Boulevard, Suite 100
Woodbury, New York 11797

Contact: Mark Wagner
(516) 827-4900

Project: Park Meadow, Sewage Treatment Plant
Smithtown, New York

Contract Amount: \$1,600,000.00

Owner: Beechwood / 345 Building Corp.
Smithtown, New York

Contact: Mike Dubb
(516) 935-5555

2002

Project: Sagamore Hills, STP
Port Jefferson, New York

Contract Amount: \$1,069,200.00

Owner: Sagamore Hills Board
Contact: Jack Naylou
(631) 929-2860

2001

Project: Smithaven Mall
New SBR Treatment Plant

Contract Amount: \$2,799,000.00

Change Orders: \$419,065.26

Owner: Simon Properties
Smithaven Mall
Lake Grove, New York

Engineer: Nelson & Pope
572 Walt Whitman Road
Melville, New York 11747

Contact: George Baxter
(631) 427-5665

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: WHM Plumbing and Heating Contractors

Address: 6h enterprise drive

City: east setauket State/Province/Territory: NY Zip/Postal Code: 11733

Country: US

2. Entity's Vendor Identification Number: [REDACTED]

3. Type of Business: Closely Held Corp (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

First Name	<u>William</u>		
Last Name	<u>Mauff</u>		
MI	<u>H</u>	Suffix	<u></u>
Address	<u>[REDACTED]</u>		
City	<u>[REDACTED]</u>	State/Province/Territory:	<u>[REDACTED]</u>
Country	<u>US</u>	Zip/Postal Code:	<u>[REDACTED]</u>
Position	<u>President</u>		

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

If none, explain.

none

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

Build-Mor, Inc. - Affiliated Company

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?

YES [] NO [X]

(a) Name, title, business address and telephone number of lobbyist(s):

6h enterprise drive

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

William Mauff [WILLIAMHMAUFF@GMAIL.COM]

Dated: 10/27/2023 10:51:29 am

Title: President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Office of the County Executive
Att: Arthur T. Walsh, Chief Deputy County Executive

FROM: Department of Public Works

DATE: January 17, 2024

SUBJECT: RECOMMENDATION OF AWARD

Contract No: S35100-07G1
Title: Cedar Creek WPCP Digester Rehabilitation and Cleaning
Engineer's Estimate: \$28,063,536.00
Bids Received On: January 9, 2023

The bids received for the above-mentioned contract have been examined and the bid submitted by WHM Plumbing and Heating, Inc. in the amount of \$30,814,000.00, is acceptable as the lowest responsible bidder.

Since the low bid within 15% of the engineer's estimate and adequate funds are available (Capital Project No. 35100), it is requested that the Recommendation of Award be prepared for the Commissioner's signature and forwarded to the office of the County Executive for their action.

The lowest responsible bid is 9.80% higher than the Engineer's Estimate. Four (4) total bids were received for this contract.

Funding for the construction services is available under capital project 35100.

If you approve or disapprove of the above request, please signify below and return the memo to this office for appropriate action.

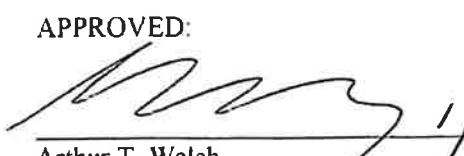


Kenneth G. Arnold
Commissioner

KGA:VF:rp

c: Christopher Nolan, Deputy Budget Director
Vincent Falkowski, Deputy Commissioner
Roseann D'Alleva, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Francis Antetomaso, Assistant to Deputy Commissioner
Graham Sharkey / Adrian Hamilton, Jacobs

APPROVED:



Arthur T. Walsh
Chief Deputy County Executive

1/23/24
Date

DISAPPROVED:

Arthur T. Walsh
Chief Deputy County Executive

Date



Hazen *Memorandum*

January 29, 2024

To: Christopher Vella
From: Larry Ge, Project Manager
Paul Saurer, Project Director
cc: Graham Sharkey, Vincent Falkowski, Kenneth Arnold

Re: S35100-07G1 – Cedar Creek WPCP Digester Cleaning and Rehabilitation – Phase 1 – Bid Evaluation

Four (4) bids were received on January 9, 2024, for the above referenced Cedar Creek WPCP Digester Cleaning and Rehabilitation – Phase 1, Contract S35100-07G1. Hazen and Sawyer analyzed the corresponding bid documents provided by the County and conducted appropriate research of the apparent low bidder for the Contract, W.H.M. Plumbing & Heating Contractors, Inc.

Following is the summary of the analysis, reference checks, financial review, and award recommendation.

1. Advertising and Bidding Details

A Notice to Bidders was issued on November 15th, 2023. The original submission deadline was Tuesday December 19th, 2023. Through Addendum No. 1 issued on December 14th, 2023, the submission deadline was extended to Tuesday January 9th, 2024. One total addendum was issued for this Contract.

2. Bid Comparison

The bids received are tabulated below and included as **Attachment 1**.

Table 1 – Bids Received		
No.	Bidder	Amount
1	W.H.M. Plumbing & Heating Contractors, Inc.	\$30,814,000.00
2	RJ Industries	\$48,787,700.00
3	Posillico Civil, Inc.	\$49,924,175.00
4	John P. Picone Inc.	\$63,340,513.38
-	<i>Average Bid</i>	<i>\$48,240,900.00</i>
-	Base Engineer's Estimate	\$28,143,976.00

W.H.M Plumbing, Inc.'s apparent low bid is 9% above the Engineer's Estimate (Note: the Base Engineer's Estimate is updated from the 100% Design Construction Cost Estimate to include adjusted allowance values per Addendum No. 1). If bidding, the Engineer's Estimate would have been the low bid. Tabulations of the received bids including individual lump sum, unit price, and allowance items are included in **Attachment 2**.

3. Evaluation of the Apparent Low Bidder

3.1 Major Equipment Items

W.H.M. Plumbing Inc.'s proposed major equipment items reflected on Proposal page P-29 are consistent with the specified manufacturers.

3.2 Bidder Qualifications

The Qualifications Statements submitted by W.H.M. Plumbing, Inc. did not reflect negative information regarding failure to complete work awarded, contract default, non-responsive bidding, and debarment.

3.3 Bidder References

Hazen reviewed the reference list provided by W.H.M. Plumbing, Inc. as it relates to the type, size, and scope of work for the Cedar Creek WPCP Digester Cleaning and Rehabilitation project. W.H.M. Plumbing, Inc. listed both completed and in-progress contracts.

Hazen contacted representatives from the projects listed and received feedback from three of the clients for the projects listed below. Summaries of the findings are presented below, and further details are included in **Attachment 3**.

Table 2 – W.H.M. Plumbing, Inc. References			
No.	Client	Project	Original Contract Value
1	Incorporated Village of Ocean Beach	Incorporated Village of Ocean Beach - Phase 2 FEMA Project Resiliency (2018)	\$4.4 Million
2	Suffolk County Department of Public Works	Sewer District 21 Stony Brook, Contract No. 8121G (2012)	\$6.7 Million
3	Nassau County Department of Public Works	Bay Park STP – Flood Protection Phase 1 – Stormwater Pumping Stations (2016)	\$16.7 Million
		Bay Park STP – Effluent Screening and Disinfection Facility Improvements (On-going)	\$8.4 Million
		Bay Park STP – Modifications to Influent Pumping System (2016)	\$7.8 Million

Hazen contacted The Incorporated Village of Ocean Beach and solicited feedback on the Phase 2 FEMA Resiliency Project. The owner gave W.H.M. Plumbing, Inc. generally high marks and noted that while there were issues with the project, the Contractor was able to navigate them with good communication and responsiveness.

Hazen contacted the Suffolk County Department of Public Works and solicited feedback on their experience with W.H.M. Plumbing, Inc. on various completed and on-going projects. The feedback received was that the Contractor's work on completed jobs was generally satisfactory and warranted the award of existing contracts.

Hazen contacted representatives for the Nassau County Department of Public Works and solicited feedback on multiple projects that W.H.M. Plumbing, Inc. had completed or has on-going. For the Contract S3B117G Modifications to the Influent Pumping System at Bay Park Sewage Treatment Plant, the Contractor received "Good" and "Satisfactory" marks from the Resident Engineer on the project's evaluation form. The Resident Engineer noted that while project schedule was not met, this was primarily due to Superstorm Sandy, and that the Contractor attempted to mitigate project delays during the course of the project.

For Contract S35121-18G Flood Protection Phase 1 Stormwater Pumping Stations, the Contractor Evaluation indicated an "Unsatisfactory" overall performance, with specific notes regarding unsatisfactory reliability, ability to achieve schedule, cooperation, supervision, organization, and safety compliance. The Resident Engineer indicated that schedule was the primary issue, and that the Contractor lacked appropriate manpower and resources to complete monthly tasks.

For Contract S35121-11G Effluent Screening and Disinfection Facility Improvements, the interim Contractor Evaluation indicated "Good" and "Satisfactory" marks.

3.4 Pre-Award Meeting

A Pre-Award meeting with the design engineer, Nassau County, and W.H.M. Plumbing, Inc. was conducted virtually on Thursday January 25, 2024. During the meeting, the above parties discussed W.H.M. Plumbing, Inc.'s bid, their understanding of the project scope, and relevant experience.

It was determined that W.H.M. Plumbing Inc.'s understanding of the project was consistent with the design engineer's and that their bid was representative of the scope of the project. The Contractor's proposed construction methods were in-line with design engineer expectations. The Contract has performed cover replacements of a similar nature, but of a smaller diameter cover. Minutes from this meeting are included as **Attachment 4**.

3.5 Bid Bond

A bid bond in the amount of 10% of the proposal price amount was provided. The surety on the bond is provided by Everest Reinsurance Company.

3.6 Apprentice Training Program

W.H.M. Plumbing, Inc.'s bid package did not include the required State of New York Apprenticeship Training Program verification letter as required on page P-7 of the Proposal. This will need to be provided as soon as possible to advance the award process.

3.7 M/WBE/SDVOB Participation

Per Contract requirements, W.H.M. Plumbing Inc. submitted a MWBE Utilization Plan with a combined M/WBE/SDVOB Contract Percentage of 0.4%.

3.8 Financials

A Dun and Bradstreet (D&B) Risk Management report for W.H.M. Plumbing, Inc. was obtained and reviewed. These documents, included as **Attachment 5**, reflect the following as of the reporting date, 1/11/24.

Table 3 – Dun and Bradstreet Risk Management Report Summary		
D&B Item	BEC	Comment
Paydex	67	Paying 18 days beyond terms
Delinquency Predictor Score	81	Low-Moderate risk of severe payment delinquency
Financial Stress Score	75	Low-Moderate Risk of severe financial stress
Bankruptcy Found	No	-
D&B Rating	1R3	1R indicates 10 or more employees; credit appraisal fair
No. of Employees	60	-

The PAYDEX measures a business's past payment performance to suppliers, vendors, and other businesses it works with based on information in the Dun & Bradstreet database. Dun & Bradstreet assigns scores on a scale of 1 to 100, with 100 being the best possible PAYDEX Score. Scores are divided into three Risk Categories, with 0 to 49 indicating a high risk of late payment, 50 to 79 indicating a moderate risk, and 80 to 100 indicating a low risk. W.H.M Plumbing, Inc.'s score of 67 indicates that payments are made up to 30 days beyond terms.

The D&B Delinquency Predictor Score (formerly the Commercial Credit Score) consists of scores including a percentile of 1 to 100 where 1 represents businesses that have the highest probability of severe delinquency and 100 represents businesses with the lowest probability. The percentile shows where a company falls among businesses in the Dun & Bradstreet Data Cloud and is most effectively used to rank a portfolio from highest to lowest risk of severe delinquency. W.H.M. Plumbing, Inc.'s percentile of 81 is categorized as low-moderate risk, corresponding to a 2.47% probability of delinquency over the next 12 months.

The D&B Financial Stress Score predicts the likelihood that a business will, in the next 12 months, seek legal relief from its creditors or cease business operations without paying all its creditors in full. The Financial Stress Score is derived from the information in the Dun & Bradstreet Data Cloud. The Financial Stress score percentile range is from 1 to 100 with the lowest value representing the highest level of risk that a business will fail in the next 12 months. W.H.M. Plumbing, Inc.'s percentile of 7 is categorized as a moderate-high risk, corresponding to a 1.12% probability of failure over the next 12 months.

4. Award Recommendation

W.H.M. Plumbing's low bid is comparable to the engineer's estimate. Hazen and Sawyer reviewed the documentation provided by W.H.M. Plumbing, Inc. and attended the Pre-Award Meeting to determine the contractor's project understanding and capability to complete the Contract work. W.H.M. Plumbing Inc. indicated that they can meet the contract requirements and satisfy the project schedule within their price.

We recommend that NCDPW pursue award of Contract S35100-07G1 to the lowest responsive bidder, W.H.M. Plumbing, Inc. in the amount of \$30,814,000.00. This recommendation is conditional based on further legal and administrative evaluation by NCDPW.

Attachments:

1. Attachment 1: S35100-07G1 Bid Results
2. Attachment 2: Bid Analysis
3. Attachment 3: Bidder Reference Checks
4. Attachment 4: Pre-Award Meeting Minutes
5. Attachment 5: D&B Report

Cedar Creek Water Pollution Control Plant Digester Rehabilitation and Cleaning-Phase 1

Bid Opening: 1 /9 /2024**Engineer:** Frank Antetomaso **Phone:** (516) 571-7530

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
W.H.M. Plumbing	6H Enterprise Drive East Setauket, NY 11733	Everest Reinsurance Company	10% of Bid Amount	\$30,814,000.00	\$0.00
RJ Industries	75 East Bethpage Road Plainview, NY 11803-0349	Liberty Mutual Insurance Comp	10% of Bid Amount	\$48,787,700.00	\$0.00
Posillico Civil, Inc.	1750 New Highway Farmingdale, NY 11735	Liberty Mutual Insurance Comp	10% of Bid Amount	\$49,924,175.00	\$0.00
John P. Picone Inc.	31 Garden Lane, PO Box 913 Lawrence, NY 11559	Liberty Mutual Insurance Comp	10% of Bid Amount	\$63,340,513.38	\$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

Contract **S35100-07G1 Digester Rehabilitation and Cleaning**
Description **Digester Rehabilitation and Cleaning**
Cedar Creek WPCP
Bid Date **January 9, 2024**

Table 1: Bid Tabulation

				<u>Base</u> Engineer's Estimate		<u>Bidder No. 1</u> WHM Plumbing		<u>Bidder No. 2</u> RJ Industries		<u>Bidder No. 3</u> Posillico Civil, Inc.		<u>Bidder No. 4</u> Picone	
Contract Item	Description of Work	Unit	Estimated Quantity	Unit Price	Total Price	Unit Price	Total Price	Unit Price	Total Price	Unit Price	Total Price	Unit Price	Total Price
1	Lump Sum - Base Bid	LS	-	-	\$14,121,131	-	\$ 18,003,000.00	-	\$ 39,464,850.00	-	\$ 39,863,000.00	-	\$ 52,884,923.53
2	Lump Sum - GW Dewatering Design and Install	LS	-	-	\$1,507,954	-	\$ 461,000.00	-	\$ 375,000.00	-	\$ 650,000.00	-	\$ 1,305,600.00
3	Gallons of Digester Contents	Gallon	3,900,000	\$ 1.83	\$ 7,137,000	\$ 1.70	\$ 6,630,000.00	\$ 1.15	\$ 4,485,000.00	\$ 1.29	\$ 5,031,000.00	\$ 1.20	\$ 4,680,000.00
4	GW Operation - Weekday	Weekday	355	\$ 4,413.00	\$ 1,566,615	\$ 5,100.00	\$ 1,810,500.00	\$ 3,420.00	\$ 1,214,100.00	\$ 4,450.00	\$ 1,579,750.00	\$ 3,762.00	\$ 1,335,510.00
5	GW Operation - Weekend / Holiday	Weekend Day	155	\$ 6,220.00	\$ 964,100	\$ 8,900.00	\$ 1,379,500.00	\$ 5,420.00	\$ 840,100.00	\$ 6,120.00	\$ 948,600.00	\$ 3,489.87	\$ 540,929.85
6	6-inch Sludge Valve	EA	15	\$ 8,513.00	\$ 127,695	\$ 9,500.00	\$ 142,500.00	\$ 6,000.00	\$ 90,000.00	\$ 3,300.00	\$ 49,500.00	\$ 3,000.00	\$ 45,000.00
7	8-inch Sludge Vavle	EA	4	\$ 11,243.00	\$ 44,972	\$ 10,400.00	\$ 41,600.00	\$ 9,000.00	\$ 36,000.00	\$ 4,400.00	\$ 17,600.00	\$ 4,500.00	\$ 18,000.00
8	10-inch Sludge Valve	EA	1	\$ 15,583.00	\$ 15,583	\$ 16,800.00	\$ 16,800.00	\$ 12,000.00	\$ 12,000.00	\$ 9,000.00	\$ 9,000.00	\$ 7,000.00	\$ 7,000.00
9	4-inch Gas Valve	EA	3	\$ 8,763.00	\$ 26,289	\$ 10,100.00	\$ 30,300.00	\$ 7,500.00	\$ 22,500.00	\$ 2,400.00	\$ 7,200.00	\$ 5,500.00	\$ 16,500.00
10	6-inch Gas Valve	EA	5	\$ 14,616.00	\$ 73,080	\$ 12,300.00	\$ 61,500.00	\$ 10,000.00	\$ 50,000.00	\$ 2,800.00	\$ 14,000.00	\$ 6,000.00	\$ 30,000.00
11	8-inch Gas Valve	EA	9	\$ 28,759.00	\$ 258,831	\$ 11,800.00	\$ 106,200.00	\$ 12,500.00	\$ 112,500.00	\$ 4,800.00	\$ 43,200.00	\$ 8,000.00	\$ 72,000.00
12	10-inch Gas Valve	EA	9	\$ 63,765.00	\$ 573,885	\$ 15,700.00	\$ 141,300.00	\$ 17,500.00	\$ 157,500.00	\$ 8,000.00	\$ 72,000.00	\$ 11,000.00	\$ 99,000.00
13	Concrete Corbel Spall Repair	CF	6	\$ 741.00	\$ 4,446	\$ 6,700.00	\$ 40,200.00	\$ 1,700.00	\$ 10,200.00	\$ 7,500.00	\$ 45,000.00	\$ 1,300.00	\$ 7,800.00
14	Type I Repair	LF	3,000	\$ 30.00	\$ 90,000	\$ 45.00	\$ 135,000.00	\$ 44.00	\$ 132,000.00	\$ 14.00	\$ 42,000.00	\$ 120.00	\$ 360,000.00
15	Type II Repair	LF	225	\$ 73.00	\$ 16,425	\$ 96.00	\$ 21,600.00	\$ 90.00	\$ 22,950.00	\$ 101.00	\$ 22,725.00	\$ 250.00	\$ 56,250.00
16	Type III Repair	LF	300	\$ 107.00	\$ 32,100	\$ 140.00	\$ 42,000.00	\$ 150.00	\$ 45,000.00	\$ 152.00	\$ 45,600.00	\$ 225.00	\$ 67,500.00
17	Surface Spall	CF	60	\$ 371.00	\$ 22,260	\$ 1,100.00	\$ 66,000.00	\$ 1,210.00	\$ 72,600.00	\$ 725.00	\$ 43,500.00	\$ 2,000.00	\$ 120,000.00
18	Formed Spall	CF	150	\$ 1,048.00	\$ 157,200	\$ 1,500.00	\$ 225,000.00	\$ 1,700.00	\$ 255,000.00	\$ 640.00	\$ 96,000.00	\$ 1,200.00	\$ 180,000.00
19	Cement Textured Coating	SF	4,200	\$ 15.00	\$ 63,000	\$ 20.00	\$ 84,000.00	\$ 12.00	\$ 50,400.00	\$ 10.00	\$ 42,000.00	\$ 60.00	\$ 252,000.00
20	Steel Pipe Support	EA	15	\$ 6,094.00	\$ 91,410	\$ 8,400.00	\$ 126,000.00	\$ 6,000.00	\$ 90,000.00	\$ 3,500.00	\$ 52,500.00	\$ 7,500.00	\$ 112,500.00
21	Hazardous Materials	Allowance	100,000	-	\$ 100,000	-	\$ 100,000.00	-	\$ 100,000.00	-	\$ 100,000.00	-	\$ 100,000.00
22	Misc. Stuctural Repair	Allowance	50,000	-	\$ 50,000	-	\$ 50,000.00	-	\$ 50,000.00	-	\$ 50,000.00	-	\$ 50,000.00
23	Misc. Digester Gas and Sludge Piping	Allowance	250,000	-	\$ 250,000	-	\$ 250,000.00	-	\$ 250,000.00	-	\$ 250,000.00	-	\$ 250,000.00
24	Misc. Equipment Repairs	Allowance	150,000	-	\$ 150,000	-	\$ 150,000.00	-	\$ 150,000.00	-	\$ 150,000.00	-	\$ 50,000.00
25	Temporary Electrical	Allowance	700,000	-	\$ 700,000	-	\$ 700,000.00	-	\$ 700,000.00	-	\$ 700,000.00	-	\$ 700,000.00
		Bid Total	-	-	\$28,143,976	-	\$ 30,814,000	-	\$ 48,787,700	-	\$ 49,924,175	-	\$ 63,340,513

Table 2: Comparison of Average Bid to Engineer's Estimate

				<u>Base</u> Engineer's Estimate		<u>Average Bid</u>		Difference between EE and Average Bid		
Contract Item	Description of Work	Unit	Estimated Quantity	Unit Price	Total Price	Unit Price	Total Price	Unit Price	Total Price	Percent Difference
1	Lump Sum - Base Bid	LS	-	-	\$14,121,131	-	\$ 37,553,943	-	\$ 23,432,812	166%
2	Lump Sum - GW Dewatering Design and Install	LS	-	-	\$1,507,954	-	\$ 697,900	-	\$ (810,054)	-54%
3	Gallons of Digester Contents	Gallon	3,900,000	\$ 2	\$7,137,000	\$ 1.70	\$ 5,206,500	\$ (0.13)	\$ (1,930,500)	-27%
4	GW Operation - Weekday	Weekday	355	\$ 4,413	\$1,566,615	\$ 5,100.00	\$ 1,484,965	\$ 687.00	\$ (81,650)	-5%
5	GW Operation - Weekend / Holiday	Weekend Day	155	\$ 6,220	\$964,100	\$ 8,900.00	\$ 927,282	\$ 2,680.00	\$ (36,818)	-4%
6	6-inch Sludge Valve	EA	15	\$ 8,513	\$127,695	\$ 9,500.00	\$ 81,750	\$ 987.00	\$ (45,945)	-36%
7	8-inch Sludge Vavle	EA	4	\$ 11,243	\$44,972	\$ 10,400.00	\$ 28,300	\$ (843.00)	\$ (16,672)	-37%
8	10-inch Sludge Valve	EA	1	\$ 15,583	\$15,583	\$ 16,800.00	\$ 11,200	\$ 1,217.00	\$ (4,383)	-28%
9	4-inch Gas Valve	EA	3	\$ 8,763	\$26,289	\$ 10,100.00	\$ 19,125	\$ 1,337.00	\$ (7,164)	-27%
10	6-inch Gas Valve	EA	5	\$ 14,616	\$73,080	\$ 12,300.00	\$ 38,875	\$ (2,316.00)	\$ (34,205)	-47%
11	8-inch Gas Valve	EA	9	\$ 28,759	\$258,831	\$ 11,800.00	\$ 83,475	\$ (16,959.00)	\$ (175,356)	-68%
12	10-inch Gas Valve	EA	9	\$ 63,765	\$573,885	\$ 15,700.00	\$ 117,450	\$ (48,065.00)	\$ (456,435)	-80%
13	Concrete Corbel Spall Repair	CF	6	\$ 741	\$4,446	\$ 6,700.00	\$ 25,800	\$ 5,959.00	\$ 21,354	480%
14	Type I Repair	LF	3,000	\$ 30	\$90,000	\$ 45.00	\$ 167,250	\$ 15.00	\$ 77,250	86%
15	Type II Repair	LF	225	\$ 73	\$16,425	\$ 96.00	\$ 30,881	\$ 23.00	\$ 14,456	88%
16	Type III Repair	LF	300	\$ 107	\$32,100	\$ 140.00	\$ 50,025	\$ 33.00	\$ 17,925	56%
17	Surface Spall	CF	60	\$ 371	\$22,260	\$ 1,100.00	\$ 75,525	\$ 729.00	\$ 53,265	239%
18	Formed Spall	CF	150	\$ 1,048	\$157,200	\$ 1,500.00	\$ 189,000	\$ 452.00	\$ 31,800	20%
19	Cement Textured Coating	SF	4,200	\$ 15	\$63,000	\$ 20.00	\$ 107,100	\$ 5.00	\$ 44,100	70%
20	Steel Pipe Support	EA	15	\$ 6,094	\$91,410	\$ 8,400.00	\$ 95,250	\$ 2,306.00	\$ 3,840	4%
21	Hazardous Materials	Allowance	100,000	-	\$100,000	-	\$ 100,000		\$ -	
22	Misc. Stuctural Repair	Allowance	50,000	-	\$50,000	-	\$ 50,000		\$ -	
23	Misc. Digester Gas and Sludge Piping	Allowance	250,000	-	\$250,000	-	\$ 250,000		\$ -	
24	Misc. Equipment Repairs	Allowance	150,000	-	\$150,000	-	\$ 125,000		\$ (25,000)	
25	Temporary Electrical	Allowance	700,000	-	\$700,000	-	\$ 700,000		\$ -	
		Bid Total	-	-	\$ 28,143,976	-	\$ 48,216,600	-	\$ 20,072,600	71%

Table 3: Summary Table

		LUMP SUM	ALLOWANCES	UNIT PRICE ITEMS	TOTAL	TOTAL as % of EE	TOTAL as % of AVG BID	TOTAL as % of LOW BID
BASE	Base Estimate	\$ 15,629,085.00	\$ 1,250,000.00	\$ 11,264,891.00	\$ 28,143,976.00	100	58	91
AVG	Average Bid	\$ 38,251,843.00	\$ 1,250,000.00	\$ 8,739,753.00	\$ 48,241,600.00	171	100	157
Bidder No. 1	WHM Plumbing	\$ 18,464,000.00	\$ 1,250,000.00	\$ 11,100,000.00	\$ 30,814,000.00	109	64	100
Bidder No. 2	RJ Industries	\$ 39,839,850.00	\$ 1,250,000.00	\$ 7,697,850.00	\$ 48,787,700.00	173	101	158
Bidder No. 3	Posillico Civil, Inc.	\$ 40,513,000.00	\$ 1,250,000.00	\$ 8,161,175.00	\$ 49,924,175.00	177	103	162
Bidder No. 4	Picone	\$ 54,190,523.53	\$ 1,250,000.00	\$ 7,999,989.85	\$ 63,440,513.38	225	132	206



REFERENCE CHECK – W.H.M. PLUMBING, INC.

DATE: 1/12/24

PERSON CALLED: Charles J. Bartha, P.E., Commissioner, Suffolk County
Department of Public Works
(631) 852-4010

PERSON CALLING: Larry Ge, Hazen, Project Manager

RECORD OF TELEPHONE CONVERSATION:

1. WHM has worked on several completed projects and has been awarded several on-going projects.
2. Performance on completed jobs was satisfactory, enough to warrant new work.
3. Could not recall specific issues on completed projects.



REFERENCE CHECK – W.H.M. PLUMBING, INC.

DATE: 1/12/24

PERSON CALLED: Kevin Shelling, Plant Superintendent, Incorporated Village of Ocean Beach

(631) 445-4589

PERSON CALLING: Nicholas Bowen, Hazen, Project Engineer

RECORD OF TELEPHONE CONVERSATION:

1. WHM was good, not perfect, but good enough to hire for future work.
2. Gave WHM a high rating of an 8 or 9.
3. Project had bumps in the road, but WHM was able to navigate around them.
4. WHM's communication was good, and they were responsive.

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

CONSTRUCTION CONTRACTOR RESPONSIBILITY EVALUATION

Contract No. S3B117G Number of Prime Contracts: 2

Contract Description: Bay Park Sewage Treatment Plant
Modifications To Influent Pumping System

Contract Type: Construction Contract Amount \$7,835,618.10

Contractor's Name: W.H.M. Plumbing and Heating Contractors, Inc Vendor I.D.: VF 112731372-01
 Address: 6H Enterprose Drive East Setauket, New York 11733

Contract Completion Date: 6-Oct-16

Evaluate:	Good (G)	Satisfactory (S)	Unsatisfactory (U)	or (N/A)
Work Quality	<u>G</u>		Physical Facilities	<u>G</u>
Reliability		<u>S</u>	Technical Ability	<u>G</u>
Accountability	<u>G</u>		Record Keeping	<u>S</u>
Achieving Schedule		<u>S</u>	Cooperation	<u>G</u>
Substitution of Materials	<u>G</u>		Supervision	<u>G</u>
Attendance at Meetings	<u>G</u>		Organization	<u>S</u>
Litigation at End of Project (Y/N)		<u>N</u>	Adequacy of Personnel	<u>G</u>
Compliance with Contract		<u>S</u>	Safety Compliance	<u>S</u>

Consideration of Owners of Adjoining Property n/a

Disputed Work as Percentage of Construction Cost (%) 0

Overall Performance S

Comments (Mandatory for U Ratings) Project schedule was not met. Super Storm Sandy had a significant impact to the project schedule. WHM attempted to mitigate project delays during the course of the project.

Rated by: Paul Cimino

Date: 11-May-17

Title: Resident Engineer D&B/Liro CM

Division: Engineering

Reviewed by: Damon Urso
 Damon Urso, Sanitary Engineer III

Date: 5/11/17

c:

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS
CONTRACTOR EVALUATION FORM (Interim/Final)

Draft Evaluation Not
Subject to FOIL

Contract No.: _____ Number of Prime Contracts: _____

Contract Desc: _____

Contract Type: _____ Contract Amt: _____

Firm's Name: _____ Vendor I.D.: _____

Address: _____

Contract Completion Date: _____

Evaluate: [Good (G); Satisfactory (S); Unsatisfactory (U) or Not Applicable (n/a)]

Work Quality _____	Physical Facilities _____
--------------------	---------------------------

Reliability _____	Technical Ability _____
-------------------	-------------------------

Accountability _____	Record Keeping _____
----------------------	----------------------

Achieving Schedule _____	Cooperation _____
--------------------------	-------------------

Substitution of Materials _____	Supervision _____
---------------------------------	-------------------

Attendance at Meetings _____	Organization _____
------------------------------	--------------------

Litigation (Y/N) _____	Adequacy of Personnel _____
------------------------	-----------------------------

Compliance with Contract _____	Safety Compliance _____
--------------------------------	-------------------------

Overall Performance _____

Comments (Mandatory for U Ratings) _____

Rated by: _____

Title: _____

Date: _____

Reviewed by: _____

Date: _____

Note 1 – Reliability - Contractor continues to fall further behind schedule as they continue to work past the Contract Completion date. Contractor does not show signs of providing additional manpower or resources to make up lost time.

Note 2 – Achieving Schedule - Contractor continues to work past the Contract Completion date with a projected completion in January 2019. Contractor has been told multiple times in meetings and letters to deploy additional manpower and resources and has not provided the workforce.

Note 3 – Cooperation - Contractor has been told multiple times in letters and monthly meetings that they must increase their efforts in the field to make up lost time on their schedule but they have not so despite the repeated notifications.

Note 4 – Supervision - Contractor did not progress during the first five months of the project, and upon starting construction, did not have a superintendent present on the jobsite for the first six months of construction, which hindered the ability for the manpower to effectively complete Contract work.

Note 5 – Organization - Necessary documents needed from the Contractor such as break reports for concrete and dewatering monitoring reports have been a struggle to obtain. Contractor is falling behind on procurement items for the job that according to their schedule should have been completed much earlier in the project. Now past the Contract Completion date, the Contractor is still working on outstanding submittals and procurement items.

Note 6 – Safety - The Contractor has not had a qualified Safety Professional on site nor supplied monthly safety reports since September 2017.

Note 7 – Overall Performance - The Contractor is 7 months behind on their work, is often not providing the appropriate manpower to complete the work, and is behind in completing procurement items. The Contractor is not making any recovery effort and continue to fall further behind schedule.

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

CONTRACTOR EVALUATION FORM (Interim/Final)

Contract No.: _____ Number of Prime Contracts: _____

Contract Desc: _____

Contract Type: _____ Contract Amt: _____

Firm's Name: _____ Vendor I.D.: _____

Address: _____

Contract Completion Date: _____

Evaluate: [Good (G); Satisfactory (S); Unsatisfactory (U) or Not Applicable (n/a)]

Work Quality _____ Physical Facilities _____

Reliability _____ Technical Ability _____

Accountability _____ Record Keeping _____

Achieving Schedule _____ Cooperation _____

Substitution of Materials _____ Supervision _____

Attendance at Meetings _____ Organization _____

Litigation (Y/N) _____ Adequacy of Personnel _____

Compliance with Contract _____ Safety Compliance _____

Overall Performance _____

Comments (Mandatory for U Ratings) _____

Rated by: _____

Title: _____

Date: _____

Reviewed by: _____

Date: _____

Hazen *Meeting Minutes*

January 25th, 2024

Location: Virtual Microsoft Teams Meeting

Time: 2:00 PM EST

Attendees:

Vincent Falkowski, NCDPW

Graham Sharkey, Jacobs

James Gowans, Veolia

Paul Saurer, Hazen

Christopher Mulford, Hazen

Nicholas Bowen, Hazen

Larry Ge, Hazen

Rose Jessee, Hazen

WHM Plumbing

Subject: Cedar Creek WPCP Digester Rehabilitation and Cleaning Project – Pre-Award Meeting

1. Discussion pertaining to the Contractors Project Understanding:

- Hazen asks WHM Plumbing why all three Digester Cover Manufacturer's were listed from the specification in the Bid Form and if they received an accurate scope of work from the respective manufacturers.
 - WHM Plumbing states that they were in Contact with all three Manufacturers listed. They received a scope of work and plan to finalize the selection of a manufacturer after the Bid Award.
- Hazen's asks WHM Plumbing what their proposed sequence of work would be specifically their approach for removing the Digester Covers.
 - WHM States their approach will comply with the requirements listed in the Digester Cleaning Specification. Summary of WHM's approach is as follows:
 1. Set up odor control/treatment system.
 2. Remove all contaminants from the digester tank via dewatering system and vac-truck.
 3. Remove Lead-Based Paint from Digester Covers.

4. Cut digester cover into pieces and remove with a crane.

- Hazen asks if they are aware of the designated Contractor Staging areas and if they are confident that they can perform the work in that spacing.
 - WHM states they are aware of the Contractor staging area listed on the Bid Drawings.
 - WHM states that they think the removal and construction of Digester No. 4 cover may require temporary shutdown of the access path between the cover and the admin building.
- Hazen asks what the Contractors plan is for maintaining plant operations during construction.
 - WHM says they will follow the necessary maintenance of plant operation requirements set forth in the Contract Specifications.

2. Discussion of the Contractors Relevant Project Experience

- Hazen notes that the Contractors references did not have any tank cover replacement jobs or digester jobs of comparable size to Cedar Creek. Hazen asks if WHM thinks they are confident in executing the work.
 - WHM Plumbing states that they have experience in smaller scale digester projects as well as lots of projects in the wastewater industry. They are confident in their ability to execute the work.
 - WHM Plumbing also states that they plan to hire a subcontractor to assist in the digester cover demolition and construction activities.

Business Information Report On Demand

W.H.M. PLUMBING & HEATING CONTRACTORS INC.

D-U-N-S: 18-320-9576
ADDRESS: 6 H Enterprise Dr, East Setauket, NY, 11733, United States
Date: 01/11/2024

RISK ASSESSMENT

SCORES AND RATINGS				
Max. Credit Recommendation	PAYDEX® SCORE	Delinquency Predictor Percentile	Financial Stress Percentile	Supplier Evaluation Risk Rating
US\$ 105,000	67	81	75	6
	LOW-MODERATE RISK	LOW-MODERATE RISK	LOW-MODERATE RISK	MODERATE RISK

MAXIMUM CREDIT RECOMMENDATION

Overall Business Risk

LOW

LOW-MODERATE

MODERATE

MODERATE-HIGH

HIGH

Maximum Credit Recommendation

US\$ 105,000

The recommended limit is based on a low-moderate probability of severe delinquency.

Dun & Bradstreet Thinks...

- Overall assessment of this organization over the next 12 months: STABILITY CONCERNS
- Based on the predicted risk of business discontinuation: MODERATELY HIGHER THAN AVERAGE RISK OF DISCONTINUED OPERATIONS OR BUSINESS INACTIVITY
- Based on the predicted risk of severely delinquent payments: LOW POTENTIAL FOR SEVERELY DELINQUENT PAYMENTS

PAYDEX® SUMMARY

3 Months

67

Low Risk (100)

High Risk (1)

When weighted by dollar amount, payments to suppliers average 18 days beyond terms. Value is based on payments collected over the last **3 months**.

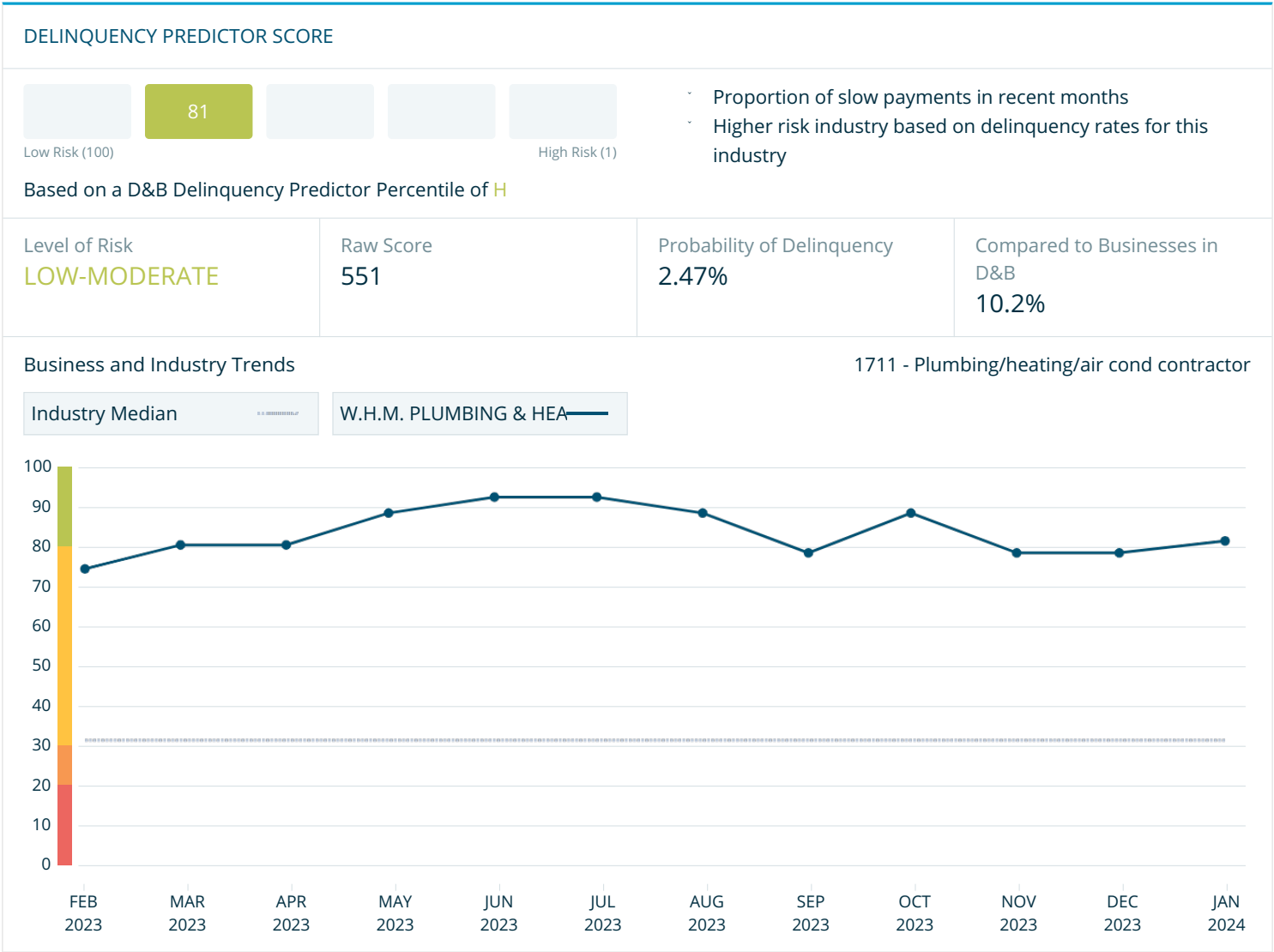
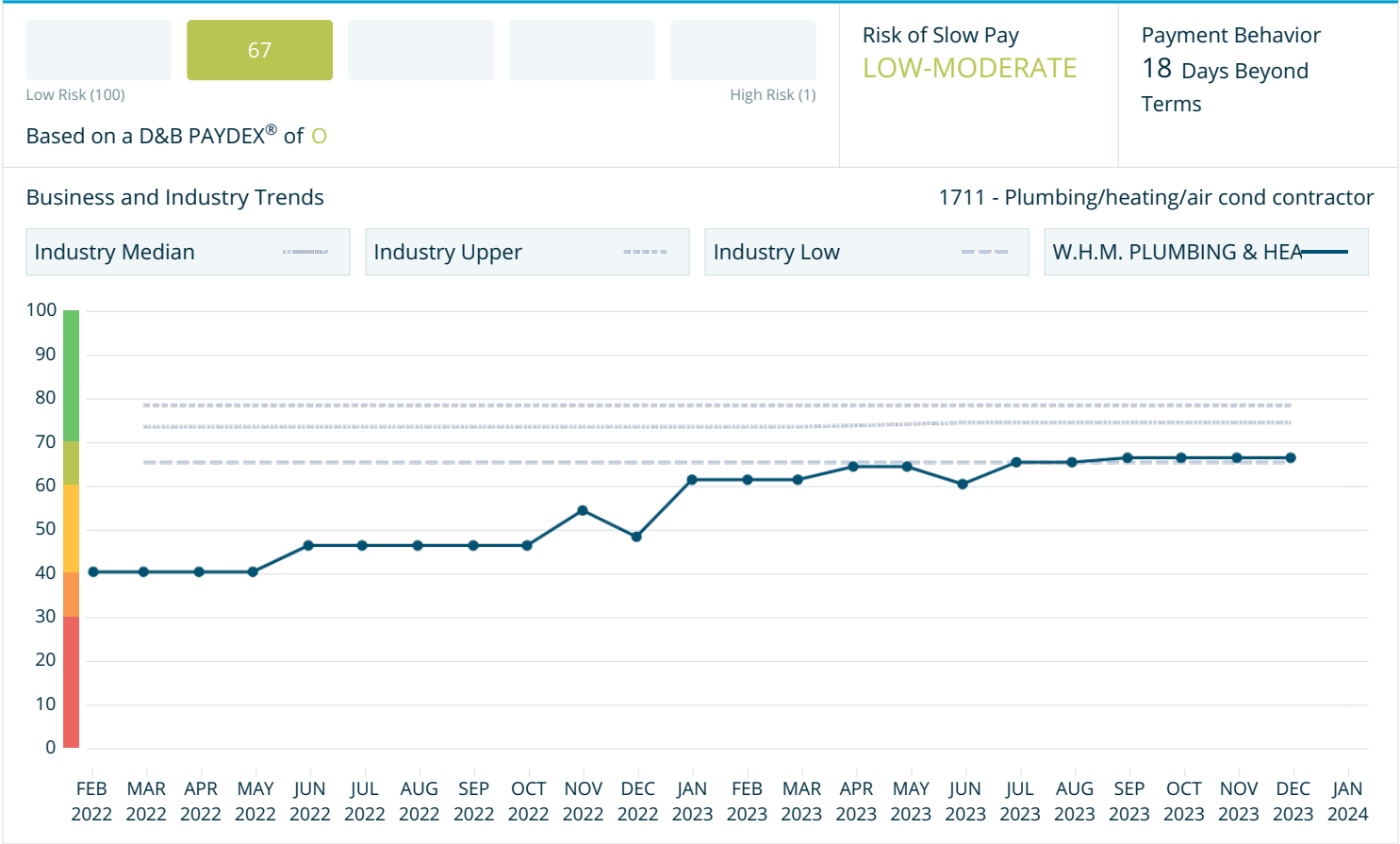
24 Months

67

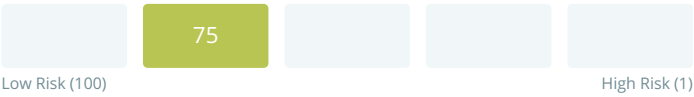
Low Risk (100)

High Risk (1)

When weighted by dollar amount, payments to suppliers average 18 days beyond terms. Value is based on payments collected over the last **24 months**.



FINANCIAL STRESS SCORE



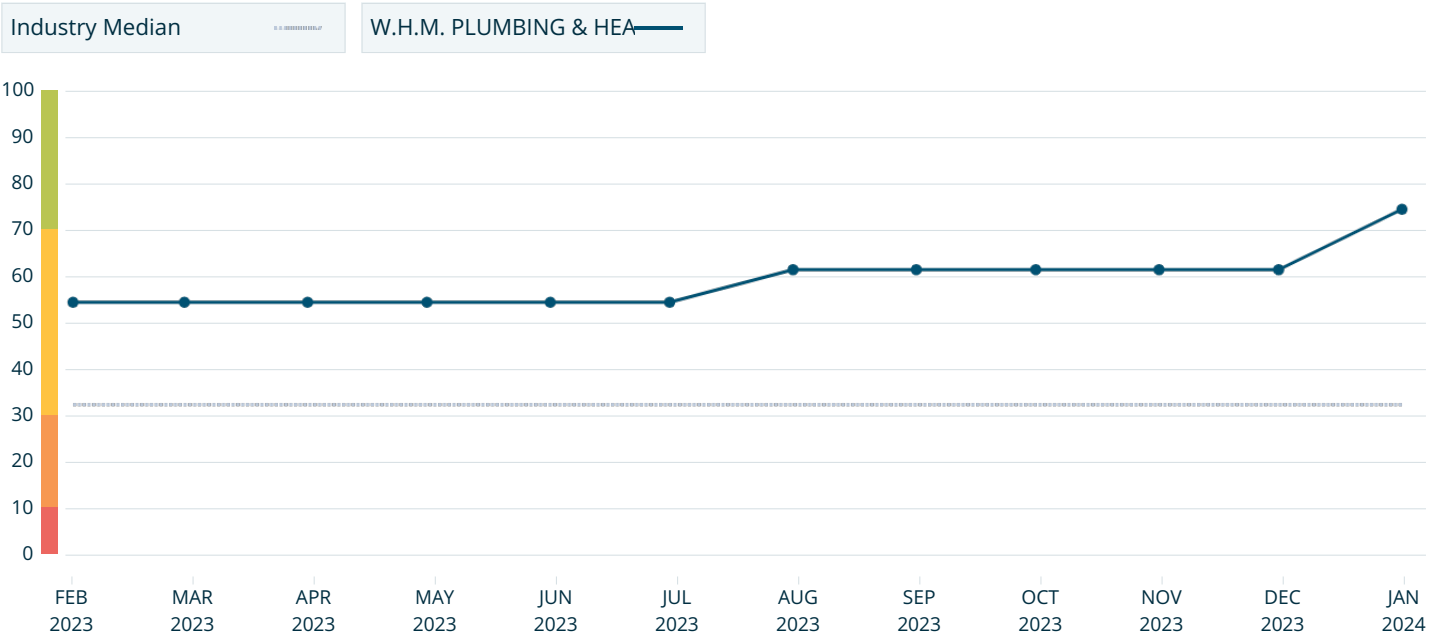
Based on a D&B Financial Stress Percentile of T

- Low proportion of satisfactory payment experiences to total payment experiences
- UCC Filings reported
- Low Paydex Score
- Composite credit appraisal is rated fair
- Higher risk legal structure

Level of Risk LOW-MODERATE	Raw Score 1521	Probability of Failure 0.11%	Compared to Businesses in D&B 0.48%
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Business and Industry Trends

1711 - Plumbing/heating/air cond contractor



SUPPLIER EVALUATION RISK RATING



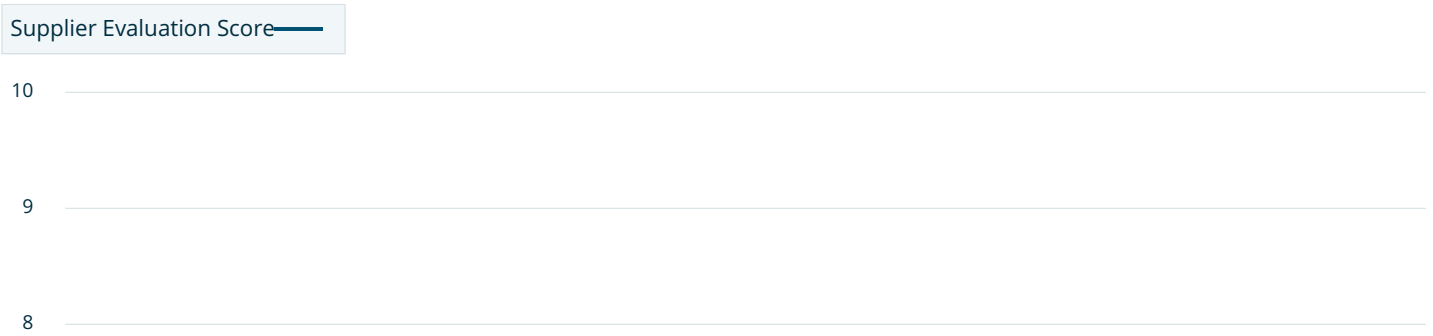
Based on a Supplier Evaluation Risk Rating of D

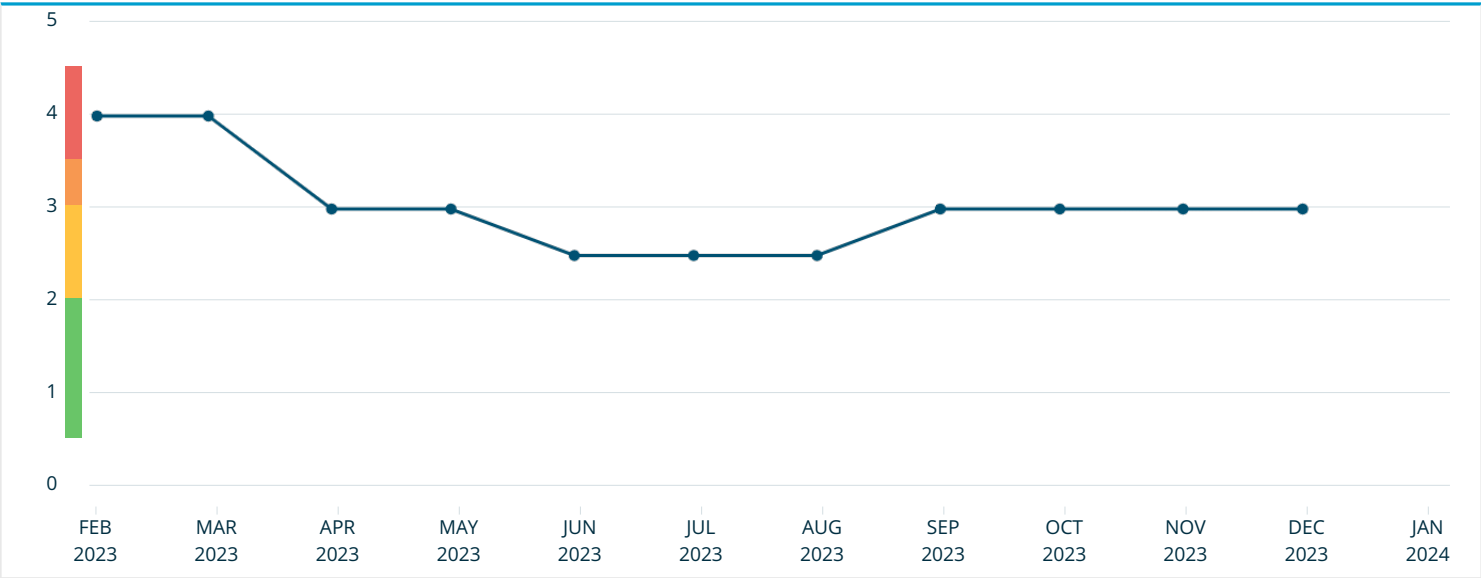
Factors Affecting Your Score

- Proportion of slow payment experiences to total number of payment experiences reported
- Proportion of past due balances to total amount owing
- Recent payment experiences suggest delays in meeting payment terms
- Business belongs to an industry with above average risk of ceasing operations or becoming inactive

Business and Industry Trends

1711 - Plumbing/heating/air cond contractor





D&B RATING

Current Rating as of 10-17-2006

Employee Size	Risk Indicator
1R: 10 employees and over	3: Moderate Risk

Previous Rating

Financial Strength	Risk Indicator
3A: US\$1,000,000 to US\$9,999,999 in Net Worth or Equity	3: Moderate Risk

TRADE PAYMENTS

TRADE PAYMENTS SUMMARY			Based on 24 months of data
Overall Payment Behavior 18 Days Beyond Terms	% of Trade Within Terms 79%	Highest Past Due US\$ 55,000	
Highest Now Owing: US\$ 75,000	Total Trade Experiences: 16 Largest High Credit: US\$ 200,000 Average High Credit: US\$ 24,303	Total Unfavorable Comments : 0 Largest High Credit: US\$ 0 Total Placed in Collections: 0 Largest High Credit: US\$ 0	

TRADE PAYMENTS BY CREDIT EXTENDED			
\$ CREDIT EXTENDED	% OF PAYMENTS WITHIN TERMS	# PAYMENT EXPERIENCES	TOTAL & DOLLAR AMOUNT
OVER 100,000	<div>50%</div>	2	\$300,000
50,000 - 100,000	<div>0%</div>	0	\$0
15,000 - 49,999	<div>85%</div>	2	\$50,000
5,000 - 14,999	<div>100%</div>	1	\$7,500
1,000 - 4,999	<div>64%</div>	4	\$5,500
UNDER 1,000	<div>100%</div>	6	\$1,550

TRADE PAYMENTS BY INDUSTRY			
<div>Collapse All Expand All</div>			
Industry Category	Number of Payment Experiences	Largest High Credit (US\$)	% Within Terms (Expand to View)
▼50 - Wholesale Trade - Durable Goods	5	200,000	
5074 - Whol Plumb/hydraulics	4	200,000	50
5085 - Whol Industrial Suppl	1	7,500	100
▼87 - Engineering Accounting Research Management And Related Services	3	35,000	
8748 - Business Consulting	2	35,000	85
8741 - Management Services	1	0	100

▼48 - Communications	3	1,000	
4813 - Telephone Communictns	2	500	100
4812 - Radiotelephone Commun	1	1,000	100
▼61 - Nondepository Credit Institutions	1	2,500	
6153 - Short-trm Busn Credit	1	2,500	100
▼28 - Chemicals And Allied Products	1	1,000	
2851 - Mfg Paint/allied Prdt	1	1,000	0
▼73 - Business Services	1	250	
7374 - Data Processing Svcs	1	250	100
▼51 - Wholesale Trade - Nondurable Goods	1	100	
5113 - Whol Service Paper	1	100	100

TRADE LINES						
Date of Experience	Payment Status	Selling Terms	High Credit (US\$)	Now Owes (US\$)	Past Due (US\$)	Months Since Last Sale
12/2023	Prompt	-	-	500	0	1 Month
12/2023	Prompt	-	2,500	1,000	0	1 Month
11/2023	Prompt	-	35,000	0	0	Between 2 and 3 Months
11/2023	Prompt	N30	7,500	0	0	Between 2 and 3 Months
11/2023	Prompt	-	1,000	500	0	1 Month
11/2023	Prompt	-	500	0	0	1 Month
11/2023	Prompt	-	100	0	0	1 Month
11/2023	Prompt to Slow	-	200,000	75,000	55,000	1 Month
11/2023	Prompt to Slow	-	100,000	15,000	0	1 Month
11/2023	Slow	-	1,000	1,000	1,000	1 Month
11/2023	Slow	-	1,000	1,000	1,000	1 Month
12/2022	Prompt	-	100	0	0	Between 6 and 12 Months
10/2022	Prompt	-	100	0	0	Between 6 and 12 Months
04/2022	-	Cash Account	50	0	0	1 Month

01/2022	Prompt to Slow	-	15,000	0	0	Between 4 and 5 Months
12/2021	Prompt	-	250	0	0	Between 6 and 12 Months

EVENTS

LEGAL EVENTS			
The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.			
SUITS	JUDGEMENTS	LIENS	UCC FILINGS
TOTAL0	TOTAL0	TOTAL0	TOTAL3
LAST FILING DATE-	LAST FILING DATE-	LAST FILING DATE-	LAST FILING DATE04/09/2018

General: The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this was reported. This information may not be reproduced in whole or in part by any means of reproduction.

UCC Filings: There may be additional UCC Filings in the D&B file on this company which are available by contacting 1-800-234-3867.

Suits, Liens, Judgements: There may be additional suits, liens, or judgements in D&B's file on this company available in the U.S. Public Records Database that are also covered under your contract. If you would like more information on this database, please contact the Customer Resource Center at 1-800-234-3867.

Lien: A lien holder can file the same lien in more than one filing location. The appearance of multiple liens filed by the same lien holder against a debtor may be indicative of such an occurrence.

EVENTS	
UCC Filing - Original	
Filing Date	2018-04-09
Filing Number	1804095419932
Received Date	2018-04-24
Collateral	Account(s) and proceeds - Chattel paper and proceeds - Contract rights and proceeds - General intangibles(s) and proceeds - Equipment and proceeds
Secured Party	JOHN DEERE CONSTRUCTION & FORESTRY COMPANY, JOHNSTON, IA
Debtors	W.H.M. PLUMBING & HEATING CONTRACTORS INC.
Filing Office	SECRETARY OF STATE/UCC DIVISION, ALBANY, NY
UCC Filing - Continuation	
Filing Date	2018-04-02
Filing Number	1804025386874
Received Date	2018-05-02
Original Filing Date	2013-09-13
Original Filing Number	1309135973923

Secured Party	EMPIRE NATIONAL BANK, ISLANDIA, NY
Debtors	W.H.M. PLUMBING & HEATING CONTRACTORS INC.
Filing Office	SECRETARY OF STATE/UCC DIVISION, ALBANY, NY
UCC Filing - Original	
Filing Date	2013-09-13
Filing Number	1309135973923
Received Date	2013-09-21
Collateral	All Negotiable instruments including proceeds and products - All Inventory including proceeds and products - All Account(s) including proceeds and products - All Timber including proceeds and products - and OTHERS
Secured Party	EMPIRE NATIONAL BANK, ISLANDIA, NY
Debtors	W.H.M. PLUMBING & HEATING CONTRACTORS INC.
Filing Office	SECRETARY OF STATE/UCC DIVISION, ALBANY, NY

COMPANY EVENTS

The following information was reported on: 01-18-2023

The New York Secretary of State's business registrations file showed that W H M Plumbing & Heating Contractors Inc was registered as a Corporation on March 18, 1985.

Business started 1985 by William Mauff. 100% of capital stock is owned by William Mauff.

WILLIAM MAUFF born 1957. 1984-present active here.

SPECIAL EVENTS

12-12-2020

W.H.M. PLUMBING & HEATING CONTRACTORS, INC. was reported by the SBA as a recipient of a loan for \$1,343,128 from Flushing Bank on 04/15/2020 under the Paycheck Protection Program as authorized under the CARES Act of 2020.

07-22-2020

On July 6, 2020, the SBA announced that this business was approved for a loan between \$1M - \$2M from Empire National Bank through the SBA's Paycheck Protection Program, as part of the CARES Act, in response to the COVID-19 pandemic. The amount of the actual loan may vary from the approved amount.

INCOME STATEMENT
Income Statement data is not available for this company.

BALANCE SHEET
Balance Sheet data is not available for this company.

FINANCIAL RATIOS			
Solvency	Fiscal Consolidated 12-31-2004	Fiscal Consolidated 12-31-2002	Fiscal Consolidated 12-31-2001
Current Ratio	5.6	5.3	3.4
Quick Ratio	5.2	4.8	3.2
Current Liabilities To Net Worth (%)	16.9	22.1	37.9
Total Liabilities/Net Worth (%)	16.9	22.3	39.4
Fixed Assets To Net Worth (%)	3.6	5.8	9
Efficiency	Fiscal Consolidated 12-31-2004	Fiscal Consolidated 12-31-2002	Fiscal Consolidated 12-31-2001
Accounts Payable To Sales Ratio	4.4	3.9	5.9
Sales To Working Capital Ratio	3.4	3.9	3.8
Assets/Sales	43.7	33.3	39.9
Profitability	Fiscal Consolidated 12-31-2004	Fiscal Consolidated 12-31-2002	Fiscal Consolidated 12-31-2001
Return On Net Worth (%)	5.8	9.6	99.8
Return On Assets (%)	4.9	7.9	71.6
Return On Sales (%)	2.2	2.6	28.5

COMPANY PROFILE

COMPANY OVERVIEW		
D-U-N-S 18-320-9576	Mailing Address 6 H Enterprise Dr, East Setauket NY 11733, US	Annual Sales -
Business Form Corporation (US)	Telephone (631) 473-3568	Employees 60
Date Incorporated 03/18/1985	Fax -	Age (Year Started) 38 years (1985)
State of Incorporation New York	Website -	Named Principal WILLIAM MAUFF, CEO
Ownership -	Line of Business Plumbing/heating/air cond contractor	SIC 1711

OWNERSHIP

FAMILY TREE SUMMARY		
Members in the Tree	Subsidiaries of this Company	Branches of this Company
2	0	1

FAMILY TREE	
<div><div><div>HQ</div><div>W.h.m. Plumbing & Heating Contractors Inc. 183209576 East Setauket, NY</div></div><div><div>B</div><div>W H M Plumbing & Heating Contractors Inc. 786795232 Babylon, NY</div></div></div>	

REQUEST TO INITIATE

RTI Number 22-0301

REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC
☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work Order

Project Title: S35100-07G1 Cedar Creek WPCP Digester Rehabilitation and Cleaning

Department: Public Works Project Manager: Frank Antetomaso

Date: December 21, 2022

Service Requested: General Construction services for the Cedar Creek WPCP Digester Rehabilitation and Cleaning Project. This work will be completed under two (2) separate construction contracts, S35100-07G1 and S35100-07G2. The construction projects are estimated at two (2) years each and will take place sequentially.

Justification: Six (6) of nine (9) anaerobic digesters at the Cedar Creek WPCP are due to be cleaned, inspected, and rehabilitated, including replacement of the digesters' floating covers. Due to the harsh operating conditions and recent experience on a similar project, it is anticipated that extensive coating and structural repairs will be required. Additional equipment that affects the operability of the digesters is part of this project, including but not limited to, sludge valves, digester gas valves, gas lances, sludge heat exchangers, and recirculation gas compressors.

Requested by: Department of Public Works/Water & Wastewater Engineering Unit

Project Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$26,422,832 (Phase 1)
 Circle appropriate phase

Total Project Cost: \$61,673,926.79 (Phase 1&2)
 Includes, design, construction, and CM

Date Start Work: December 2023 (Phase 1)
 Phase being requested

Duration: 24 months (Phase 1)
 Phase being requested

Capital Funding Approval: YES ☐ NO ☐

Roseann Delleva

12-22-22 requires BO

SIGNATURE

DATE

Funding Allocation (Capital Project):
 See Attached Sheet if multiyear ☐

NIFS Entered:

SIGNATURE

DATE

AIM Entered:

SIGNATURE

DATE

Funding Code: 35100-006

use this on all encumbrances

Timesheet Code:

use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐

Supplemental Environmental Documentation

Department Head Approval:

YES ☒ NO ☐

SIGNATURE

DCE/Ops Approval:

YES ☒ NO ☐

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. _____	_____	_____	
2. _____	_____	_____	
3. _____	_____	_____	
4. _____	_____	_____	

DCE/Ops Approval:

YES NO

Signature _____

CS
12/21/22
FA
12/21/22

12/21/22

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Frank Antetomaso, Assistant to Deputy Commissioner

FROM: Office of the Commissioner

DATE: January 2, 2023

SUBJECT: CSEA Sub-Contracting Approval
C22-0301 Contract Number: S35100-07G1
Cedar Creek WPCP Digester Rehabilitation and Cleaning Project

Please be aware in accordance with Section 32-3 of the CSEA/County CBA, the Department has met with CSEA representatives to discuss your proposed DPW contract referenced above. Pursuant to Section 32-3 of the CSEA/County CBA, CSEA has withdrawn its objection to the above-referenced contract known as **C22-0301**.

Please prepare the necessary documentation to proceed with your work. Once the advisement is certified you may issue the contractor a Notice to Proceed.

Roseann D'Alleva

Roseann D'Alleva
Deputy Commissioner

RD:jd

c: Kenneth G. Arnold, Commissioner
Vincent Falkowski, Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

C22-0301

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, President

FROM: Department of Public Works

DATE: December 21, 2022

SUBJECT: CSEA Notification of a Proposed DPW Contract
Cedar Creek WPCP Digester Rehabilitation and Cleaning Project
S35100-07G1– General Construction Services

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a contract/agreement for the following services: General Construction services for the Cedar Creek WPCP Digester Rehabilitation & Cleaning Project.
2. The work involves the following: Cleaning, inspection, and rehabilitation of three (3) anaerobic digesters, including the replacement of the digesters' floating covers. Due to the harsh operating conditions and recent experience on a similar project, it is anticipated that extensive coating and structural repairs will be required. Additional equipment that affects the operability of the digesters is part of this project, including but not limited to, sludge valves, digester gas valves, gas lances, sludge heat exchangers, and recirculation gas compressors.
3. An estimate of the cost is: \$26,422,832.00
4. An estimate of the duration is: Twenty-four (24) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Roseann D'Alleva, Deputy Commissioner, telephone 1-0525, fax 1-9657.

Roseann D'Alleva

Roseann D'Alleva
Deputy Commissioner

RD:VF:rp

c: Jose Lopez, Director, Office of Labor Relations
Seth Blau, Deputy Director, Office of Labor Relations
Vincent Falkowski, Deputy Commissioner
Frank Antetomaso, Assistant to Deputy Commissioner
Loretta Dionisio, Assistant to Deputy Commissioner
Christopher Yansick, Unit Head, Financial Management Unit
Diane Pyne, Unit Head, Human Resources Unit
Graham Sharkey / Adrian Hamilton, Jacobs
Elizabeth Cotton, Special Assistant, Office of Labor Relations



PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-07G1						
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS		AMOUNT BID PRICE DOLLARS CENTS	
1	Lump Sum	Base Bid for furnishing all labor, materials, equipment, and incidentals required for all work for the described in the Technical Specifications and not included in Item No. 2, unit costs, and allowances listed below. <i>Eighteen Million Three Thousand Dollars & Zero Cents</i>	N/A	N/A	<i>\$18,003,000.00</i>	
		Dollars Cents				
2	Lump Sum	Lump sum for furnishing all labor, materials, equipment, and incidentals required to design and install a temporary groundwater dewatering system to maintain required groundwater levels in the vicinity of dewatered digesters during cleaning and rehabilitation, (Section 31 23 19) <i>Four Hundred Sixty One Thousand Dollars & Zero Cents</i>	N/A	N/A	<i>\$461,000.00</i>	
		Dollars Cents				

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-07G1					
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS		AMOUNT BID PRICE DOLLARS CENTS
3	3,900,000 Gallons of Digester Contents	All contract work associated with the removal, dewatering, hauling, and disposal of digester contents from three anaerobic digester, including but not limited to operation of the tank pump out system, odor control system, and sludge dewatering system. Six Million Six Hundred Thirty Thousand Dollars & Zero Cents Dollars Cents Per Gallon of Digester Contents Removed	\$1.70		\$6,630,000.00
4	355 Weekdays	All contract work associated with the continuous operation and maintenance of the groundwater dewatering system. One Million Eight Hundred Ten Thousand Five Hundred Dollars & Zero Cents Dollars Cents Per Weekday	\$5100.00		\$1,810,500.00
5	155 Weekend Days or Holidays	All contract work associated with the continuous operation and maintenance of the groundwater dewatering system. One Million Three Hundred Seventy Nine Thousand Five Hundred Dollars & Zero Cents Dollars Cents Per Weekend Day or Holiday	\$8,900.00		\$1,379,500.00

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING
A BID ON GENERAL CONSTRUCTION
CONTRACT NO. S35100-07G1

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
6	15 Each	All contract work associated with the removal and replacement of 6-inch digester sludge plug valves including required fittings and all other incidentals. <u>One Hundred Forty Two Thousand Five Hundred Dollars</u> Dollars <u>& Zero</u> Cents Each	\$19,500.	00	\$142,500.	00
7	4 Each	All contract work associated with the removal and replacement of 8-inch digester sludge plug valves including required fittings and all other incidentals. <u>Forty One Thousand Six Hundred Dollars & Zero Cents</u> Dollars Cents Each	\$10,400.	00	\$41,600.	00
8	1 Each	All contract work associated with the removal and replacement of 10-inch digester sludge plug valves including required fittings and all other incidentals. <u>Sixteen Thousand Eight Hundred Dollars & Zero Cents</u> Dollars Cents Each	\$16,800.	00	\$16,800.	00

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING
A BID ON GENERAL CONSTRUCTION
CONTRACT NO. S35100-07G1

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
9	3 Each	All contract work associated with the removal and replacement of 4-inch digester gas plug valves including required fittings and all other incidentals. <u>Thirty thousand Three Hundred Dollars & Zero Cents</u> Dollars Cents Each	\$10,100.	00	\$30,300.	00
10	5 Each	All contract work associated with the removal and replacement of 6-inch digester gas plug valves including required fittings and all other incidentals. <u>Sixty one Thousand Five Hundred Dollars & Zero Cents</u> Dollars Cents Each	\$12,300.	00	\$61,500.	00
11	9 Each	All contract work associated with the removal and replacement of 8-inch digester gas plug valves including required fittings and all other incidentals. <u>One Hundred Six Thousand Two Hundred Dollars & Zero Cents</u> Dollars Cents Each	\$11,800.	00	\$106,200.	00

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING
A BID ON GENERAL CONSTRUCTION
CONTRACT NO. S35100-07G1

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS		AMOUNT BID PRICE DOLLARS CENTS	
12	9 Each	All contract work associated with the removal and replacement of 10-inch digester gas plug valves including required fittings and all other incidentals. <u>One Hundred Forty One Thousand Three Hundred Dollars & Zero</u> Dollars Cents Each	\$15,700.	00	\$141,300.	00
13	6 C.F.	Concrete Corbel Spall Repair <u>Forty Thousand Two Hundred Dollars & Zero Cents</u> Dollars Cents Per Cubic Foot	\$6,700.	00	\$41,200.	00
14	3,000 L.F.	Type I Epoxy Cementitious Crack Repair <u>One Hundred Thirty Five Thousand Dollars & Zero Cents</u> Dollars Cents Per Linear Foot	\$45.	00	\$135,000.	00
15	225 L.F.	Type II Epoxy Injection Crack Repair <u>Twenty One Thousand Six Hundred Dollars & Zero</u> Dollars Cents Per Linear Foot	\$96.	00	\$21,600.	00

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-07G1						
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS		AMOUNT BID PRICE DOLLARS CENTS	
16	300 L.F.	Type III Waterproof Injection Grout Crack Repair <u>Forty Two Thousand Dollars & Zero Cents</u> Dollars Cents Per Linear Foot	\$140.	00	\$42,000.	00
17	60 C.F.	Surface Spall Repair <u>Sixty Six Thousand Dollars & Zero Cents</u> Dollars Cents Per Cubic Foot	\$1,100.	00	\$66,000.	00
18	150 C.F.	Formed Spall Repair <u>Two Hundred Twenty Five Thousand Dollars & Zero Cents</u> Dollars Cents Per Cubic Foot	\$1,500.	00	\$225,000.	00
19	4,200 S.F.	Cement Based Textured Coating <u>Eighty Four Thousand Dollars & Zero Cents</u> Dollars Cents Per Square Foot	\$20.	00	\$84,000.	00

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-07G1						
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS		AMOUNT BID PRICE DOLLARS CENTS	
20	15 Each	Steel Pipe Support Bracket Replacement <i>One Hundred Twenty Six Thousand Dollars & Zero Cents</i> <div style="display: flex; justify-content: space-between; font-size: small;"> Dollars Cents </div> <div style="text-align: center; margin-top: 5px;">Each</div>	\$8,400.	00	\$126,000.	00
21	Allowance	For costs associated with unforeseen hazardous materials remediation. One Hundred Thousand Dollars and No Cents <hr style="width: 80%; margin: 5px auto;"/> <div style="display: flex; justify-content: space-between; font-size: small;"> Dollars Cents </div>	N/A	N/A	\$100,000	00
22	Allowance	For miscellaneous structural repair work as directed by the County regarding three anaerobic digesters / storage tanks. Fifty Thousand Dollars and No Cents <hr style="width: 80%; margin: 5px auto;"/> <div style="display: flex; justify-content: space-between; font-size: small;"> Dollars Cents </div>	N/A	N/A	\$50,000	00

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-07G1					
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS	AMOUNT BID PRICE DOLLARS CENTS	
23	Allowance	For miscellaneous digester gas and sludge pipe inspection and replacement within Digesters No, 1, 3 and 4 including pipe supports and incidentals. Two Hundred and Fifty Thousand Dollars and No Cents _____ Dollars Cents	N/A	N/A	\$250,000 00
24	Allowance	For miscellaneous equipment repairs and replacement work as directed by the County regarding three anaerobic digesters, including but not limited to heat exchangers, gas recirculation compressors, groundwater relief valves, groundwater monitoring wells, digester sludge and gas piping, valves, and appurtenances, and all other associated equipment. One Hundred and Fifty Thousand Dollars and No Cents _____ Dollars Cents	N/A	N/A	\$150,000 00

PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S35100-07G1						
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
25	Allowance	For all labor, materials, cables, conduit, and equipment required to provide additional power as required by the Contractor's temporary systems. Seven Hundred Thousand Dollars and No Cents <hr style="width: 80%; margin-left: 0;"/> <div style="display: flex; justify-content: space-between; width: 80%; margin-left: 0;"> Dollars Cents </div>	N/A	N/A	\$700,000	00

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

TOTAL BASE BID (Bid Item Nos. 1 thru 25): \$ 30,814,000.00

TOTAL BASE BID (Bid Item Nos. 1 thru 25): MUST BE WRITTEN IN WORDS:

Thirty Million Eight hundred Fourteen Thousand
DOLLARS (CENTS
Dollars & Zero Cents

PROPOSAL

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?

39 + Years _____ Months

2. How many years experience in the construction work of a similar type as this contract has your firm had;

as a Prime Contractor 39 + year

as a Subcontractor 39 + years

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please See Attached List

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Please See Attached List

(use additional blank sheets if additional space is necessary)

NO TEXT ON THIS PAGE

PROPOSAL

5. Have you ever failed to complete any work awarded to you?
If so, when and why?

No

(use additional blank sheets if additional space is necessary)

6. Has any officer or partner of your firm ever been an officer or partner of some other firm that failed to complete a construction contract?

No

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name?

If so, state name of individual, name of owner and reason therefor:

No

(use additional blank sheets if additional space is necessary)

8. In what other lines of business are you financially interested?

None

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

9. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Year of Construction Experience	Magnitude and type of Work	In What Capacity
----------------------	----------------------------------	---------------------------------------	-------------------------------	---------------------

Please See Attached Resume for
William Mauff

(use additional blank sheets if additional space is necessary)

10. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size, Capacity, Year, Etc	Year of Service	Present Location
------	--	--------------------	---------------------

Please See Attached List

(use additional blank sheets if additional space is necessary)

Note: Should the equipment be moved from the above mentioned location, the submitter hereby agrees upon request of the County to state the new location where same may be found.

11. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like

encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

(use additional blank sheets if additional space is necessary)

PROPOSAL

NO TEXT ON THIS PAGE

PROPOSAL

12. In what manner have you inspected this proposed work?

Explain in detail.

Pre Bid Visit

(use additional blank sheets if additional space is necessary)

13. Explain your plan and lay-out for performing the proposed work.

As per Plans & Specifications

14. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

William Mauff

Resume attached

15. Insurance carried by your firm:

Type

Company

Limits of Coverage

Term

Please See Attached

NO TEXT ON THIS PAGE

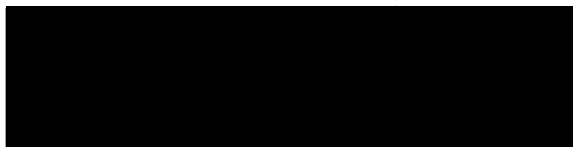
PROPOSAL

16. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "**Qualifications and Responsibility of Bidders**" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

PROPOSAL

NO TEXT ON THIS PAGE

William H. Mauff



EDUCATION:

Local One Union Plumbing Apprenticeship Program

Midtown, Manhattan, New York, New York

Certificate of Completion - September 1975 - 1978

Suffolk Community College

Selden, New York 11784

Major - Architectural Drafting

Fall of 1975 - One Semester

Vocational B.O.C.E.S.

Dix Hills, New York

Major - Commercial Art

Degree - Honorary Certificate of Completion

September 1973 - June 1975

WORK HISTORY:

W.H.M. Plumbing & Heating Contractors, Inc.

6 H Enterprise Drive

East Setauket, New York 11733

Title - Owner & President

Project Coordinator - Estimator - Purchaser

Licensed and bonded since 1984

Fenley & Nichol

Deer Park, New York

Title - Journeyman

Responsibilities: Installation of fiberglass gasoline tanks and
fiberglass piping, process piping

June 1983 - September 1984

Seaboard Mechanical

Chicago, Illinois

Title - General Foreman

Responsibilities: Supervise all men - coordinate projects, high risers

January 1981 - May 1983

Sewage treatment plants

Nagel Bush Plumbing

Hollywood, California

Title - Foreman

Responsibilities: Supervise all men - coordinate projects, high risers,

October 1979 - December 1980

commercial retail

R. Lenny Plumbing

Stony Brook, New York

Title - Journeyman

*Responsibilities: Lay out jobs - Installation of all sanitary systems
Domestic water supply. Installation of gas piping
systems and process piping.*

December 1980 - July 1978

P.R.P. Plumbing & Heating

Hicksville, New York

Title - Apprentice

*Responsibilities: Installation of plumbing systems, commercial
& Residential.*

June 1976 - July 1978

Plumbing Licenses:

Islip

Oyster Bay

Babylon

Brookhaven

North Hempstead

Suffolk County Septic

Cedarhurst

Glen Cove

S.C.D.P.W. - Sewer license

New York City

Huntington

Hempstead

Massapequa Park

Mineola

Great Neck

Florida

Freeport

East Hills

Rockville Centre

SCHEDULED EQUIPMENT		% COINSURANCE	80	AGENCY CUSTOMER ID: WHMPLUM-01		LMULE	
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
1		Mark Lift	M1TM				
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
			1990		\$ 5,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
2		Hefty Herman Lift					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
	Hefty		1988		\$ 3,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
3		(2) Lincoln Welders@ \$2000 each					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
		Lincoln			\$ 4,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
4		Sumner Crane					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
	Sumner				\$ 1,600		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
5		(6) Threading Machine Ridget @ \$2000 eac					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
					\$ 12,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
6		(5) Core Drilling Machines @ \$1250 each					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
					\$ 6,250		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
7		(3) Hand Tamping Machines @ \$2400 each					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
	Oser Mikasa				\$ 7,200		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
8		Power Washer					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
					\$ 1,975		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
9		(2) Homelite Trash Pump @ \$1400 each					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
	Homelite				\$ 2,800		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
10		(3) Target Cut Off Saws @ \$1050 each					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
	Target				\$ 3,150		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
11		Low Boy Trailer					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
	Low Boy		1996		\$ 40,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
12		Hitachi Super EX220					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
	Hitachi		1998		\$ 100,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
13		Mecca Manlift					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
					\$ 8,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
14		Mecca Manlift					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
					\$ 11,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED		
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE		
					\$		

**EQUIPMENT FLOATER SECTION -
SCHEDULED EQUIPMENT**

WHMPLUM-01

LMULE PAGE 1 OF 3

							% COINSURANCE	80
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
16		Icon Drag Box						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
			1988		\$ 4,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
17		Pipe Lazer						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
			1998		\$ 5,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
18		Custom Trailer						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
			1989		\$ 14,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
19		Towmaster Trailer						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	Towmaster	1973	2000		\$ 11,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
20		Trailer	1D9S6525U1243006					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	DMC		1997		\$ 15,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
21		Featherlite Trailer	4FGL53204VA985444					
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	FEATHERLITE		1997		\$ 140,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
22		SkyJack Manlift						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	SkyJack				\$ 11,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
23		SkyJack Manlift						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	SkyJack				\$ 11,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
24		SkyJack Manlift						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	SKYJack				\$ 20,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
25		CAT D-4 Dozer						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	CAT				\$ 38,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
26		CAT Payloader						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	CAT				\$ 110,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
27		Wacker Plate Tamper						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	Wacker				\$ 6,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
28		Mecca Manlift						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	Mecca				\$ 8,000			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
29		Mecca Manlift						
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	Mecca				\$ 8,000			

**EQUIPMENT FLOATER SECTION -
SCHEDULED EQUIPMENT**

WHMPLUM-01

LMULE PAGE 2 OF 3

SCHEDULED EQUIPMENT							% COINSURANCE	80
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
30		Hitachi Excavator	1111P021393					
	MANUFACTURER	Hitawchi	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 175,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
31		Hitachi Forklift						
	MANUFACTURER	Hitachi	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 6,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
32		Lazer Plane						
	MANUFACTURER		MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 2,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
33		Mecca Manlift						
	MANUFACTURER	Mecca	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 8,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
34		Threading Machine Ridget						
	MANUFACTURER		MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 1,500		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
35		SkyJack Lift						
	MANUFACTURER	SkyJack	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 7,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
36		SkyJack Lift						
	MANUFACTURER	SkyJack	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 7,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
37		Hull Lift						
	MANUFACTURER		MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 42,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
38	John Deere	Loader Backhoe	1T0410LXJHF306356					
	MANUFACTURER	John Deere	MODEL 410L	MODEL YEAR 2017	CAPACITY	AMOUNT OF INSURANCE \$ 90,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
39		32 Cu MP Bucket	2053487					
	MANUFACTURER	John Deere	MODEL 410L Loader Backhoe	MODEL YEAR 2017	CAPACITY	AMOUNT OF INSURANCE \$ 80,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
40		Office Trailer	0825345PA029994					
	MANUFACTURER		MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 8,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
41	John Deere	Excavator	1FF210GXEFF523458	Used				
	MANUFACTURER	John Deere	MODEL 210GLC	MODEL YEAR 2015	CAPACITY	AMOUNT OF INSURANCE \$ 190,000		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW /USED	DATE PURCHASED			
42		2020 Backhoe Loader		New				
	MANUFACTURER	Caterpillar	MODEL 430-07	MODEL YEAR 2020	CAPACITY	AMOUNT OF INSURANCE \$ 135,969		
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW /USED	DATE PURCHASED			
43	Trailer	10 ft office trailer						
	MANUFACTURER		MODEL OC10-169	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE \$ 15,000		

EQUIPMENT FLOATER SECTION -
SCHEDULED EQUIPMENT

WHMPLUM-01

LMULE PAGE 3 OF 3

							% COINSURANCE	80
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
46		2019 John Deere 345G 345G LC	1FF345GXPJF020275	Used				
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
	John Deere	345G LC	2019		\$ 285,684			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			
#	TYPE	DESCRIPTION	ID # / SERIAL NO.	NEW / USED	DATE PURCHASED			
	MANUFACTURER	MODEL	MODEL YEAR	CAPACITY	AMOUNT OF INSURANCE			
					\$			



6H Enterprise Drive
East Setauket, New York 11733
Phone: 631.473.3568
Fax: 631.473.3762

Sewer Projects

Project Name: SCSD #14 PRIMARY CLARIFIER TANKS & SLUDGE THICKENER TANK ALUMINUM COVERS

Owner: County of Suffolk – Department of Public Works

Engineer: Suffolk County Office of Central Procurement

Contract Amount: \$624,500.00

Percentage Complete: 35%

Description of Project: The Contractor shall be responsible for required connecting pipe and supports for connection to the existing odor control system from the proposed covers.

The manufacturer shall be a company specialized in providing engineered aluminum covers for wastewater treatment plants with a minimum of ten (10) years.

The erector /installation contractor shall have a minimum of three (3) installations of similar type aluminum tanks covers and submit references. Welders shall be qualified within the past two (2) years in accordance with AWS. Contractor is responsible for confirming all existing tank dimensions and pipe sizes & elevations in field. The contractor is responsible for arranging to have the manufacturer field verify all tanks as equipment positioning and elevations vary in the three tanks.

Project Name: LI-JB-2023-002 Sewage Treatment Plant - Valve Replacements Jones Beach State Park

Owner: New York State Office of Parks, Recreation and Historic Preservation

Engineer: Cameron Engineering

Contract Amount: \$747,537.00

Percentage Complete: Just Awarded

Description of Project: Temporary bypass pumping of sewage plant influent flow into storage tanks with transportation and disposal to an alternate wastewater treatment facility. Removal and replacement of (10) existing slide

gates and Recirculation Pump No. 1 and all associated equipment and appurtenances at the Sewage Treatment Plant.

Project Name: TOWN OF BROOKHAVEN, TOWN HALL WATER RECLAMATION PLANT

Owner: Town of Brookhaven

Engineer: Cameron Engineering

Contract Amount: \$3,924,000.00

Percentage Complete: Just Awarded

Description: The principal features of the Work to be performed and equipment to be provided for this Project under this Contract include all labor, equipment, fees, and other related costs necessary to provide for the bypassing of influent flow from the existing



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East Setauket, New York 11733
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Fax: 631.473.3762

treatment wastewater system, removal of the existing wastewater treatment system and construction of a new wastewater treatment system within the existing treatment plant building.



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East Setauket, New York 11733
Phone: 631.473.3568
Fax: 631.473.3762

Sewage Treatment Projects in Progress

2018
Project: Bay Park STP – Effluent Screening and Disinfection Facility
Improvements

Contract No. S35121-11G
2 Majorie Lane
East Rockaway, NY

Contract Amount: \$8,250,000.00

Owner: Nassau County DPW
1194 Prospect Ave
Westbury, New York 11797

Contact: Vincent Falkowski
NC
(516)571-7515

Construction Manager: Richard Mahoney
Jacobs
(732) 567-9211

Engineer: Peter Petriccione
Gannet Flemming
100 Crossways Park West
Suite, 300
Woodbury, NY 11797
(516) 306-8957

***Percent Completed: 60%**

2019

Project: Belgrave Water Pollution Control Plant – Effluent Pump Station
Contract No. 19-01G
34-01 255th
Little Neck, NY

Contract Amount: \$3,595,200.00

Owner: Belgrave Water Pollution Control District
Chet Steban, Supervisor
(516)827 - 4900

Engineer: Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact: Matt Thogersen, PE
(516) 815-4156

***Percent Completed: 95%**

Sewage Treatment Projects Completed

2018

Project: Incorporated Village of Ocean Beach – Phase 2 FEMA Project – Resiliency
Upgrades

Contract No. 3593
930-937 Bayview Walk
Ocean Beach, NY 11770

Contract Amount: \$ 4,375,434.20

Owner: Incorporated Village of Ocean Beach
P.O. box 457
Ocean Beach , NY 11770

Contract: Kevin Shelling
Plant Superintendent
(631) 445-4589

Engineer: Tom Schaefer
D&B Engineers and Architects P.C.
330 Crossways Park Drive
Woodbury, NY 11797
(516) 364-9890

2016

Project:

Glen Cove Wastewater Treatment Plant – Primary and
Secondary Settling Tank Improvements
Contract S35114-04G
100 Morris Avenue
Glen Cove, NY

Contract Amount:

\$ 5,940,436.83

Change Orders:

Owner:

Nassau County DPW
1194 Prospect Avenue
Westbury, NY

Contract:

Edward Visone
Environmental Construction
(516 571-7359

Engineer:

Chris Weiss, P.E.
H2M architects and engineers
538 Broad Hollow Road, 4th Floor E
Melville, NY 11747
(631) 756-8000

2016

Project:

Bay Park STP – Flood Protection Phase I – Stormwater
Pumping Stations
Contract S35121-18G
2 Majorie Lane
East Rockaway, NY

Contract Amount:

\$16,700,000.00

Owner:

Nassau County DPW
1194 Prospect Ave
Westbury, NY

Engineer:

Gannet Flemming
Lazarus Francino
100 Crossways Park West
Suite, 300
Woodbury, NY 11797
(516) 364-4140

2013

Project: Cedar Creek WTCP – Influent Screens Facility Improvements
Contract No. S3C067-01G
3340 Merrick Road
Seaford, NY 11783

Contract Amount: \$ 11,654,350.00

Owner: Nassau County DPW
1194 Prospect Ave
Westbury, NY 11590

Contact: Edward Visone
Environmental Construction
(516) 571-7359

Engineer: Arcadis
Tim McDonald
44 South Broadway
White Plains, NY 10601
914-641-2429

2013 Project:	Belgrave Water Pollution Control Plant Phase II 34-01 255th Little Neck, NY
Contract Amount:	\$5,880,000.00
Change Orders:	\$1,017,669.61
Owner:	Board of Commissioners Belgrave Water Pollution Control District Chet Steban, Supervisor (516)827 - 4900
Engineer:	Dvirka & Bartilucci Consulting Engineers 330 Crossways Park Drive Woodbury, NY 11797
Contact:	Chuck J. Wachsmuth, PE (516)364-9890
2013 Project:	Cedar Creek Sewage Treatment Plant – Sludge Dewatering Facility Improvements 3340 Merrick Road Wantaugh, NY 11793
Contract Amount:	\$13,708,563.23
Owner:	Nassau County Department of Public Works 1194 Prospect Ave Westbury, New York
Contractor :	BlueWater Environmental/Posillico Contracting 1750 New Highway Farmingdale, New York
Contact:	Peter Brindley (516) 523-0949

2012

Project:

Sewer District 21 Stony Brook
Contract No. 8121G
25 North Loop Drive
Stony Brook NY

Contract Amount:

\$ 12,368,200.00

Change Orders:

Owner:

Suffolk County DPW
335 Yaphank Ave
Yaphank, NY 11980

Contact:

Janice McGovern, PE
Principal Civil Engineer
(631) 852-4189

Engineer:

Gannet Flemming
100 Crossways Park West
Suite, 300
Woodbury, NY 11797
(516) 364-4140

=====

2012

Project:

Northport WWTP Upgrades
Contract No. 2012-6
1 Ketchum Place
Northport, NY

Contract Amount:

\$ 3,392,000.00

Change Orders:

Owner:

Incorporated Village of Northport
224 Main Street
Northport, NY

Engineer:

Gannet Flemming
100 Crossways Park West
Suite, 300
Woodbury, NY 11797
(516) 364-4140

2011

Project:

Bay Park STP - Interim Sludge Thickening Facility
Contract No. S35114-01G
2 Majorie Lane
East Rockaway, NY

Contract Amount:

\$3,186,800.00

Change Orders:

\$49,873.78

Owner:

Nassau County DPW
1194 Prospect Ave
Westbury, NY

Construction Manager:

Liro Program & Construction Management, P.C.
3 Aerial Way
Syosset, New York 11791

Contact:

Carl Avino
(917) 681-5560
(516) 938-5476

Engineer:

Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact:

Frank May
NC/D&B/Liro
(516)364-9890

2011

Project:

Belgrave Water Pollution Control Plant Phase I
34-01 255th
Little Neck, NY

Contract Amount:

\$946,000.00

Change Orders:

\$41,466.17

Owner:

Board of Commissioners
Belgrave Water Pollution Control District
Chet Steban, Supervisor

Engineer:

Cameron Engineering & Associates, LLP
100 Sunnyside Boulevard, Suite 100
Woodbury, New York 11797

Contact:

Plant

Chet Steban, Supervisor - Belgrave Water Pollution Control

(516) 487-2759

2011

Project: Bay Park STP – Modifications to Influent Pumping System
Contract No. S3B117G
2 Majorie Lane
East Rockaway, NY

Contract Amount: \$7,215,000.00
Change Orders: \$88,548.45

Owner: Nassau County DPW
1194 Prospect Ave
Westbury, New York 11797

Construction Manager: Liro Program & Construction Management, P.C.
3 Aerial Way
Syosset, New York 11791
(516) 938-5476

Engineer: Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact: Paul Cimino
NC/D&B/Liro
(516)364-9890

2011

Project: Twelve Pines STP
Contract No. CP8119
Woodside Ave
Medford, NY

Contract Amount: \$1,469,000.00
Change Orders: \$121,718.91

Owner: Suffolk County DPW
335 Yaphank Ave
Yaphank, NY 11980

Contact: Janice McGovern, PE
Principal Civil Engineer
(631) 852-4189

Engineer: John C. Berchtold, PE
Associate Civil Engineer
DPW
Sanitation Engineering Division
(631) 852-4189

2010

Project: Ray Street STP
Upgrade & Rehabilitation - Contract No. S3P309G
Ray Street
Freeport, NY

Contract Amount: \$4,076,000.00
Change Orders: \$261,592.00

Owner: Nassau County DPW
1194 Prospect Ave
Westbury, NY

Contact: Thomas Immerso
(516) 571-7536

Engineer: Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact: Olga Mubarak-Jaramillo
(516) 364-9890

2010
Project: Bay Park STP Improvements and Replacements to Various
Equipment and Building Modifications
Contract S3B119-03G
2 Majorie Lane
East Rockaway, NY

Contract Amount: \$2,425,000.00

Owner: Nassau County DPW
1194 Prospect Ave
Westbury, NY

Construction Manager: Malcolm Pirnie, Inc.
27-01 Queens Plaza North
Suite 800
Long Island City, New York 11101
(718) 446-0116

Engineer: Dvirka & Bartilucci Consulting Engineers
330 Crossways Park Drive
Woodbury, NY 11797

Contact Paul Cimino
NC/D&B
(516)364-9890

2009
Project: Kings Park - Contract 1 - 8144G
Kings Park, NY

Contract Amount: \$2,309,000.00
Change Orders: \$103,222.94

Owner: Suffolk County Department of Public Works
335 Yaphank Ave.
Yaphank, NY 11980

Contractor: Posillico Civil Engineering
1750 New Highway
Farmingdale, NY

Contact: Chris Mauro
(516) 523-0949

2007

Project: Bergen Point STP
Sludge Dewatering
Bergen Ave
West Babylon, NY 11704

Contract Amount: \$8,241,761.00
Change Orders: \$154,940.08

Owner: Suffolk County Department of Public Works
335 Yaphank Ave.
Yaphank, NY 11980

Contractor: Posillico Civil Engineering
1750 New Highway
Farmingdale, NY

Contact: Peter Brindley
(516) 523-0949

2006

Project: CSSP#3
Bergen Point Traveling Water Screen Replacement
Bergen Ave
West Babylon, NY 11704

Contract Amount: \$1,400,000.00

Owner: Suffolk County Department of Public Works
335 Yaphank Ave.
Yaphank, NY 11980

Contractor: Camp Dresser & McKee
100 Crossways Park West
Suite 415
Woodbury, New York 11797

Contact: Chris Korzenkoca, CDM
(516) 496-8400

Project: Galleria Sewage Treatment Plant
Smithtown, New York

Contract Amount: \$1,800,000.00

Owner: Avalon Properties
15 River Road
Wilton, CT. 06897

Project: Greenport Sewage Treatment Plant
Greenport, New York

Contract Amount: \$1,366,701.00

Owner: Inc. Village of Greenport
236 Third Street
Greenport, New York

2004
Project: Setauket Meadows STP
East Setauket, New York 11733

Contract Amount: \$1,233,000.00
Change Orders: \$227,307.80

Engineer: Nelson & Pope
572 Walt Whitman Road
Melville, New York 11747

Contact: Brian Rodie
(631) 427-5665

2004

Project: Northport Sewage Treatment Plant

Contract Amount: \$1,588,000.00

Change Orders: \$16,797.87

Engineer: Gannett Fleming Engineers, P.C.
100 Crossways Park West
Suite 300
Woodbury, New York 11797

Contact: Phil Grande, PE
(516) 364-4140

2002

Project: City of Glen Cove W.P.C.P
BNR Retrofit
Glen Cove, New York

Contract Amount: \$3,335,750.00

Change Orders: \$307,143.02

Engineer: Cameron Engineering & Associates, L.L.P.
100 Sunnyside Boulevard, Suite 100
Woodbury, New York 11797

Contact: Mark Wagner
(516) 827-4900

Project: Park Meadow, Sewage Treatment Plant
Smithtown, New York

Contract Amount: \$1,600,000.00

Owner: Beechwood / 345 Building Corp.
Smithtown, New York

Contact: Mike Dubb
(516) 935-5555

2002

Project: Sagamore Hills, STP
Port Jefferson, New York

Contract Amount: \$1,069,200.00

Owner: Sagamore Hills Board
Contact: Jack Naylou
(631) 929-2860

2001

Project: Smithaven Mall
New SBR Treatment Plant

Contract Amount: \$2,799,000.00
Change Orders: \$419,065.26

Owner: Simon Properties
Smithaven Mall
Lake Grove, New York

Engineer: Nelson & Pope
572 Walt Whitman Road
Melville, New York 11747

Contact: George Baxter
(631) 427-5665

U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

William Mauff, President

Name and Title of Authorized Representative

m/d/yy

1/23/24

Signature

Date

WHM Plumbing and Heatings Contractors, Inc.

Name of Organization

6H Enterprise Drive, East Setauket, NY 11733

Address of Organization

Instructions for Certification

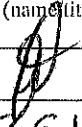
1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

PROPOSAL

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

CONSULTANT/ CONTRACTOR DETAILED MBE/WBE UTILIZATION PLAN

Part 1- General Information:

Consultant/Contractor Name:	W.H.M. Plumbing & Heating Contractors, Inc.
Address (street/city/state/zip code):	104 Enterprise Drive, East Setauket, NY 11733
Authorized Representative (name/title):	William Mauff - President
Authorized Signature:	
Contract Number:	S35100 - 0761
Contract/Project Name:	CCWPCP - Digester Rehabilitation & Cleaning
Contract/Project Description:	Phase 1

Part 2- Projected MBE/WBE Contract Summary:

	Amount (\$)		Percentage (%)
Total Dollar Value of the Prime Contract	\$27,000,000		
Total DBE Dollar Amount		DBE Contract Percentage	
Total MBE Dollar Amount	50,000.00	MBE Contract Percentage	0.2%
Total WBE Dollar Amount	50,000.00	WBE Contract Percentage	0.2%
Total SDVOB Dollar Amount		SDVOB Contract Percentage	
Total Combined M/WBE/SDVOB Dollar Amount	100,000	Combined M/WBE/SDVOB Contract Percentage	0.4%

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

Part 3- DBE Information (use additional blank sheets as necessary):

DBE Firm	Description of Work (DBE)	Projected DBE Contract Amount (\$) and Award Date	DBE Contract Scheduled Start Date and Completion Date
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

Part 4- MBE Information (use additional blank sheets as necessary):

MBE Firm	Description of Work (MBE)	Projected MBE Contract Amount (\$) and Award Date	MBE Contract Scheduled Start Date and Completion Date
Name: <u>Island Concrete Corp</u> Address: <u>608 Horseblock Rd</u> City: <u>Centereach</u> State/Zip Code: <u>NY 11720</u> Authorized Representative: <u>Ernie Werner</u> Telephone No. <u>631-981-1236</u>		Amount (\$): <u>50,000</u> Award Date: <u>TBD</u>	Start Date: <u>TBD</u> Completion Date: <u>TBD</u>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

Part 5- WBE Information (use additional blank sheets as necessary):

WBE Firm	Description of Work (WBE)	Projected WBE Contract Amount (\$) and Award Date	WBE Contract Scheduled Start Date and Completion Date
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:
Name: <i>Keller Painting</i> Address: <i>116 Wyandanch Ave</i> City: <i>E. Islip</i> State/Zip Code: <i>NY 11730</i> Authorized Representative: Telephone No. <i>631 581 2649</i>		Amount (\$): <i>100,000</i> Award Date: <i>TBD</i>	Start Date: <i>TBD</i> Completion Date: <i>TBD</i>
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:

PROPOSAL

NO TEXT ON THIS PAGE

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PROPOSAL

Part 6- SDVOB Information (use additional blank sheets as necessary):

SDVOB	Description of Work (SDVOB)	Projected SDVOB Contract Amount (\$ and Award Date	SDVOB Contract Scheduled Start Date and Completion Date
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date:
Name: Address: City: State/Zip Code: Authorized Representative: Telephone No.		Amount (\$): Award Date:	Start Date: Completion Date: