## COUNTY OF NASSAU

## LOBBYIST PERIODIC REPORT FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization as it appears on Lobbyist Registration and Disclosure Form:

Craig M. Johnson Dentons US LLP 1221 Avenue of the Americas New York, NY 10020 212-905-8306

| 2.     | Reporting Period:      | anuary 1 to March 31, 2017  |
|--------|------------------------|---|
| (Janua | ary 1 to March 31; Apr | il 1 to May 31; June 1 to August 31; or September 1 to December 31)   |
| lobby  |                        | gh 6 below, where a lobbyist is required to file this report, any such or incurred any compensation or expenses for the period shall make |
|        |                        | for any compensation paid or owed to the lobbyist during the period . Such amounts shall be detailed as to amount, to whom paid and for   |
|        | Amount<br>\$0.00       | Details   |
|        |                        |   |
|        |                        |   |
|        |                        |   |
|        |                        |   |
| 4.     | List below the cumu    | ative total amounts earned to date for lobbying year:   |
|        | \$0.00                 |   |
|        |                        |   |

5.

| 6.            | List below the cumulative total amounts expended to date for lobbying year: |  |  |  |  |
|---------------|---|--|--|--|--|
|               | \$0.00  |  |  |  |  |
|               |   | ough 10 below, you may attach a copy of your Lobbyist Registration ded the information has not changed.) |  |  |  |
| 7.<br>(e.g. N | List whether and whassau County, New Y                                      | nere the lobbyist(s)/lobbying organization is registered as a lobbyist ork State):                       |  |  |  |
| See 1         | ttached Form  |  |  |  |  |

List below amounts for any expenses expended or incurred by the lobbyist during the

8. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated.

See Attached Form

See Attached Form

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: I certify that all statements made on this statement are true, correct and complete to the best of my knowledge and belief and I understand that the willful making of any false statement of material fact herein will subject me to the provisions of law relevant to the making and filing of false instruments and will render such statement null and void.

| Dated: April 3, 2017   | Signed: Print Name: Title: | Craig Johnson Principal |
|--|----------------------------|-------------------------|
| STATE OF NEW YORK )  New York )  COUNTY OF NASSAU )  Sworn to before me this | :                          |                         |
| Day of April  Afee  NOTARY PUBLIC  | , 20 <u>17</u> .           |                         |
|  |                            |                         |

EMILY LEE
Notary Public, Queens, New York
No. 02LE6338190, Expires 3/71



## COUNTY OF NASSAU

## LOBBYIST REGISTRATION AND DISCLOSURE FORM

Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Craig M. Johnson, Esq.
Dentons US LLP
1221 Avenue of the Americas
New York, N.Y. 10020
212-905-8306

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

Craig M. Johnson is registered with New York State, New York City and Nassau County

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

| Uber Technologies, Inc.   |
|---|
| 1455 Market Street  |
| 4th Floor   |
|   |
| San Francisco, CA 94103   |
| 415-986-2104  |
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| 4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify       |
| client(s) for each activity listed. See page 4 for a complete description of lobbying activities. |
|   |
| Meetings, conferences and communications related to or concerning for-hire vehicle                |
| industry, transportation industry and/or technology industry.                                     |
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| 5. The name of persons, organizations or governmental entities before whom the lobbyist           |
|   |
| expects to lobby:   |
| Nassau County Executive, Nassau County Legislature, Nassau County T.L.C.                          |
| Nassau County Executive, Nassau County Legislature, Nassau County 1.E.C.                          |
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- 6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.
- 7. Within the previous year, has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee? If none, you must so state:

| None |      |  |
|------|------|--|
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|      | <br> |  |

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 1/5/14

Signed: CRAIG M. Johnson

Title: Rinipol

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals. bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.



Craig M. Johnson Principal

craig.johnson@dentons.com D +1 212 905 8306 Dentons US LLP 1221 Avenue of the Americas New York, NY 10020-1089 United States

大成 Salans FMC SNR Denton McKenna Long dentons.com

January 3, 2017

Justin Kintz Uber Technologies, Inc. 1455 Market Street, 4th Floor San Francisco, CA 94103

Re:

Authorization Letter

Dear Mr. Kintz:

This letter confirms that in connection with, and as part of, its agreement to provide government affairs services and counsel in New York State, Dentons US LLP also provides Uber Technologies, Inc. ("Uber") with government affairs services and counsel with respect to Nassau County and is hereby authorized to appear on behalf of Uber before any of Nassau County's government entities, organizations and the persons employed thereof, effective *nunc pro tunc* January 1, 2017 and ending July 31, 2017.

We look forward to continue working with you.

Very truly yours,

Dentons US LLP

Craig M. Johnson

Principal

ACCEPTED & AGREED:

By: Justin Kintz

Title: Head of US Public Affairs

Date: 1/5/2017