4.

\$25,500

COUNTY OF NASSAU

LOBBYIST PERIODIC REPORT FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization as it appears on Lobbyist Registration and Disclosure Form:

Craig M. Johnson, Dentons US LLP 1221 Avenue of the Americas New York, NY 10020

2. Reporting Per	riod: January 1 to March 31, 2018
(January 1 to March	31; April 1 to May 31; June 1 to August 31; or September 1 to December 31)
•	through 6 below, where a lobbyist is required to file this report, any such earned or incurred any compensation or expenses for the period shall make ein)
	nounts for any compensation paid or owed to the lobbyist during the period obbying. Such amounts shall be detailed as to amount, to whom paid and for
Amount \$8,500	Details Monthly Retainer for January 2018 paid to Dentons for Lobbying
\$8,500	Monthly Retainer for February 2018 paid to Dentons for Lobbying
\$8,500	Monthly Retainer for March 2018 paid to Dentons for Lobbying

List below the cumulative total amounts earned to date for lobbying year:

	Amount \$0.00	Details
6.		nulative total amounts expended to date for lobbying year:
6.	List below the cur	
(In li	\$0.00 eu of completing 7	
(In li and I 7.	\$0.00 eu of completing 7 poisclosure Form, pro	hrough 10 below, you may attach a copy of your Lobbyist Registration vided the information has not changed.) where the lobbyist(s)/lobbying organization is registered as a lobbyist

8. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated.

See attached 2018 Lobbyist Registration and Disclosure Form

Page 3 of 4
9. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed, during the Reporting Period.
See attached 2018 Lobbyist Registration and Disclosure Form
10. The name of persons, organizations or governmental entities before whom the lobbyist has lobbied during the period.
See attached 2018 Lobbyist Registration and Disclosure Form

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: I certify that all statements made on this statement are true, correct and complete to the best of my knowledge and belief and I understand that the willful making of any false statement of material fact herein will subject me to the provisions of law relevant to the making and filing of false instruments and will render such statement null and void.

Dated: April 9, 2018	Signed: Print Name: Title:	Craig M. Johnson Principal
STATE OF NEW YORK) New York) COUNTY OF NASSAU) Sworn to before me this 1th	S:	
Day of April	, 20 <u>18</u> .	
NOTARY PUBLIC		

KRISTEN M. BEYSTEHNER
NOTARY PUBLIC-STATE OF NEW YORK
No. 02BE6313817
Qualified in New York County
My Commission Expires October 20, 2018



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Craig M. Johnson
Dentons US LLP
1221 Avenue of the Americas
New York, NY 10020

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

Craig M. Johnson is registered with New York State, New York City, Nassau County and Suffolk County

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

Uber Technologies, Inc. 1455 Market Street 4th Floor San Francisco, CA 94103 415-986-2104 4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities. Meetings, conferences and communications related to or concerning for-hire vehicle industry, transportation industry and/or technology industry 5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby: Nassau County Executive, Nassau County Legislature, Nassau County TLC	
4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities. Meetings, conferences and communications related to or concerning for-hire vehicle industry, transportation industry and/or technology industry 5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:	Uber Technologies, Inc.
A. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities. Meetings, conferences and communications related to or concerning for-hire vehicle industry, transportation industry and/or technology industry 5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:	1455 Market Street
A. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities. Meetings, conferences and communications related to or concerning for-hire vehicle industry, transportation industry and/or technology industry 5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:	4th Floor
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Meetings, conferences and communications related to or concerning for-hire vehicle industry, transportation industry and/or technology industry 5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:	
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expects to lobby:	industry, transportation industry and/or technology industry
expects to lobby:	
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Nassau County Executive, Nassau County Legislature, Nassau County TLC	expects to lobby:
Nassau County Executive, Nassau County Legislature, Nassau County TEC	Nessay County Evacutiva Nessay County Logislatura Nessay County TLC
	Nassau County Executive, Nassau County Legislature, Nassau County TLC

- 6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.
- 7. Within the previous year, has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee? If none, you must so state:

None	

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 12/13/14	Signed:	CMX
	Print Name:	Craig M. Johnson
	Title:	Principal
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The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage. defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals. bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise. concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing: the issuance, repeal, modification or substance of a County Executive Order: or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.



Craig M. Johnson Principal

craig.johnson@dentons.com D +1 212 905 8306

Dentons US LLP 1221 Avenue of the Americas New York, NY 10020-1089 United States

大成 Salans FMC SNR Denton McKenna Long

July 5, 2017

Justin Kintz Uber Technologies, Inc. 1455 Market Street, 4th Floor San Francisco, CA 94103

Re:

NY Government Affairs

Dear Mr. Kintz:

This letter will serve as an agreement whereby Dentons US LLP will continue to provide government affairs representation to Uber Technologies, Inc., commencing August 1, 2017, and continuing through and including June 30, 2018. Compensation shall be \$8,500 per month, plus reasonable expenses and disbursements. As such services may include "lobbying activity" under the State Lobbying Act, a copy of this letter will be filed with the Joint Commission on Public Ethics.

We look forward to continuing our representation on your behalf.

Very truly yours,

Dentons US LLP

By: Craig M. Johnson

Accepted and Agreed:

Title: Head of Americas, Policy & Comms

Date: <u>07/13/17</u>

101991445(V-3



Craig M. Johnson Principal

craig.johnson@dentons.com D +1 212 905 8306 Dentons US LLP 1221 Avenue of the Americas New York, NY 10020-1089 United States

大成 Salans FMC SNR Denton McKenna Long dentons.com

July 5, 2017

Justin Kintz Uber Technologies, Inc. 1455 Market Street, 4th Floor San Francisco, CA 94103

Re:

Authorization Letter

Dear Mr. Kintz:

This letter confirms that in connection with, and as part of, its agreement to provide government affairs services and counsel in New York State, Dentons US LLP also provides Uber Technologies, Inc. ("Uber") with government affairs services and counsel with respect to Nassau County and is hereby authorized to appear on behalf of Uber before any of Nassau County's government entities, organizations and the persons employed thereof from August 1, 2017 through and including June 30, 2018.

We look forward to continue working with you.

Very truly yours,

Dentons US LLP

Craig M. Johnson

Principal

ACCEPTED & AGREED:

By: Justin / with

Title: Head of Americas, Policy & Comms

Date: 07/13/17