



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Wells Fargo Bank, N.A.
1300 SW 5th Ave., 3rd Floor, Suite 350
MAC P6101-034
Portland, OR 97201
503-937-9452

- Paula Dagen
- Christopher Beacham
- Michael Colton

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

Paula Dagen and Michael Colton are registered with New York State and New York City.

Christopher Beacham is registered with New York State.

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

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4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. **See page 4 for a complete description of lobbying activities.**

Municipal bond underwriting

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

Nassau County Office of Management and Budget
- Mark Page, Deputy County Executive for Finance
- Andrew Persich, Budget Director
- Steve Conkling, Debt Manager

Nassau County Attorney's Office
- Conal Denion, Bureau Chief, Municipal Finance

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Within the previous year, has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee? If none, you must so state:

NONE.

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

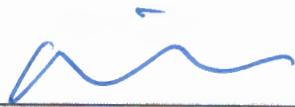
I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 8/13/18

Signed:



Print Name:

Richard Soules

Title:

Operational Risk Manager



Conduct Management Office
1300 SW 5th Avenue
2nd Floor
Portland, Oregon 97201

August 13, 2018

Attn: Lobbyist Disclosure
County Attorney
One West Street
Mineola, New York 11501

RE: Authorization To Represent The Client – Wells Fargo Bank, N.A.

To Whom It May Concern:

I hereby certify that I, Richard Soules, Operational Risk Manager of Wells Fargo Bank, N.A. ("WFBNA"), am duly authorized to execute and deliver this Authorization. I hereby authorize and designate the following employees to lobby on behalf of WFBNA for the remainder of the 2018 calendar year before all official, bodies and instrumentalities of the County of Nassau effective August 13, 2018 through December 31, 2018. The authorized individuals are employees of and compensated by WFBNA.

1. Christopher Beacham
2. Michael Colton
3. Paula Dagen

Sincerely,

A handwritten signature in blue ink, appearing to read "Richard Soules", written over a light blue rectangular background.

Richard Soules
Operational Risk Manager
Wells Fargo Bank, N.A.

