

Principal Lobbyist
MORGAN STANLEY & CO.

LLC
1585 BROADWAY
NEW YORK, NY 10036
p. +1 212-761-4000
e. michael.kennedy@morganstanle
y.com

Contractual Client
MORGAN STANLEY & CO.

LLC
1585 BROADWAY
NEW YORK, NY 10036
p. +1 212-761-4000
e. michael.kennedy@morganstanle
y.com

Lobbyist Registration
Biennial Period: 2019 - 2020
Ref #: 4521
Confirmation #: LRO1811767
(Submitted)

Co/Sub Lobbyist(s) Information

Co-Lobbyists

Co-Lobbyists Added

No Co-Lobbyists

Sub-Lobbyists

Sub-Lobbyists Added

No Sub-Lobbyists

Beneficial Client(s)

MORGAN STANLEY & CO. LLC
1585 BROADWAY
NEW YORK, NY 10036
United States
p. +1 212-761-4000
e. michael.kennedy@morganstanley.com

Agreement Information

Type/Level of Government

Type of Lobbying Relationship: *Employed*

Level of Government: *State/Municipal (Both)*

Lobbying Agreement History

Contract Name	Description	Client Signatory	Duration	Compensation
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Contract Name	Description	Client Signatory	Duration	Compensation
LRO1811767-01/02/2019-11.29.58	Will Exceed	Michael, Kennedy Vice President	01/01/2019 - 12/31/2020	Estimated \$240,000 for the two-year period. /Range

Individual Lobbyists

Name	Phone	Email	Designated
Balanoff, Glen	+1 212-761-4000	glen.balanoff@morganstanley.com	<input type="checkbox"/>
Borys, Gregory	+1 212-761-4000	gregory.borys@morganstanley.com	<input type="checkbox"/>
Cackowsky, Keith , Edward	+1 212-761-4000	keith.cackowsky@morganstanley.com	<input type="checkbox"/>
Chionuma, Grace	+1 212-761-4000	grace.chionuma@morganstanley.com	<input type="checkbox"/>
Davis, Darryl	+1 212-761-4000	darryl.davis@morganstanley.com	<input type="checkbox"/>
Farrell, Dennis	+1 212-761-9039	dennis.farrell@morganstanley.com	<input type="checkbox"/>
Fraunfelder, Grant	+1 212-761-4000	grant.fraunfelder@morganstanley.com	<input type="checkbox"/>
Goldstein, Michelle	+1 212-761-4000	michelle.goldstein@morganstanley.com	<input type="checkbox"/>
Jun, Susan	+1 212-761-4000	susan.jun@morganstanley.com	<input type="checkbox"/>
Kelly, Daniel	+1 212-761-4000	daniel.kelly@morganstanley.com	<input type="checkbox"/>
Marron, Joan	+1 212-761-4000	joan.marron@morganstanley.com	<input type="checkbox"/>
Pattison, Robert	+1 212-761-4000	robert.pattison@morganstanley.com	<input type="checkbox"/>
Proulx, Geoff	+1 212-761-4000	geoff.proulx@morganstanley.com	<input type="checkbox"/>
Schwartz, Kevin	+1 212-761-4000	kevin.schwartz@morganstanley.com	<input type="checkbox"/>
Scudder, Barbara	+1 212-761-4000	barbara.scudder@morganstanley.com	<input type="checkbox"/>
Smelkinson, Ira	+1 212-761-4000	ira.smelkinson@morganstanley.com	<input type="checkbox"/>
Solomon, Zachary	+1 212-761-4000	zachary.solomon@morganstanley.com	<input type="checkbox"/>

Name	Phone	Email	Designated
Tally, Joan	+1 212-761-4000	joan.tally@morganstanley.com	<input type="checkbox"/>
Weaver, Seymour , Marcus IV	+1 212-761-4000	marcus.weaver@morganstanley.com	<input type="checkbox"/>
Weiss, Richard	+1 212-761-4000	richard.weiss@morganstanley.com	<input type="checkbox"/>
Wild, Eric	+1 212-761-4000	eric.wild@morganstanley.com	<input type="checkbox"/>
Wynne, Brian	+1 212-761-4000	brian.wynne@morganstanley.com	<input type="checkbox"/>
Zlomislic, Oliver	+1 212-761-4000	oliver.zlomislic@morganstanley.com	<input type="checkbox"/>

Business Relationships

Do you have a Reportable Business Relationship?: *NO*

Lobbying Subjects

Subjects on which you expect to lobby.

Selected Subjects

Subjects

Finance, Insurance & Financial Services – general

Finance, Insurance & Financial Services – Securities & Investment

Lobbying Activities

State & Municipal Focuses and Parties

Type(s) of Lobbying Communication(s) you are or you anticipate engaging in

Direct Lobbying

Lobbying Focuses

My Focuses

Type	Identifying No. or Description (if No. does not exist)	Check if Monitoring Only
Municipal Procurement	Underwriting services.	<input type="checkbox"/>
State Procurement	Underwriting services.	<input type="checkbox"/>

Do you have Parties Lobbied or Expected to be Lobbied to report? : Yes

Parties Lobbied or Expected to be Lobbied

My Parties Lobbied

Government Body	Name
State Agency	Division of the Budget
State Agency	Metropolitan Transportation Authority

Attestation

Filer Name: *Kennedy, Michael* CAO Name: *Kennedy, Michael*

Payment History

ID	Created	Filing	Type	Amount	CheckNum	Approval Code	Status
2592	1/9/2019 7:33:53 PM	LRO1811767	Credit Card - Unknown	\$200.00		066893	Approved

Morgan Stanley

New York State Joint Commission on Public Ethics
540 Broadway
Albany, New York 12207

ATTN: Lobbying Compliance

RE: Written Authorization for Employed Lobbyists

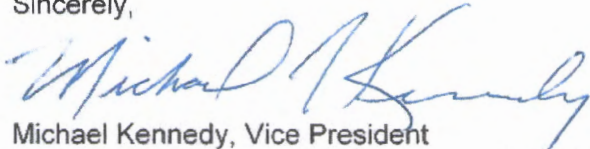
Morgan Stanley & Co. LLC will be lobbying on its own behalf. All individuals listed on the Statement of Registration are authorized to lobby on Morgan Stanley & Co. LLC's behalf.

The start date, which is the first date lobbying activities were authorized to commence, is January 1, 2019. The termination date, which is the last date lobbying activities have been authorized, is December 31, 2020.

The estimated anticipated compensation to be paid for lobbying services to the individuals listed on the Statement of Registration during the 2019-2020 registration period is \$240,000.

Other services, in addition to lobbying, will be provided by the individuals authorized to lobby.

Sincerely,



Michael Kennedy, Vice President
Responsible Party for Morgan Stanley & Co. LLC
December 26, 2018