

LOBBYIST REGISTRATION

FILING PERIOD: 2019 - 2020

YOU ARE VIEWING AN AMENDED SUBMISSION, CLICK HERE TO VIEW THE ORIGINAL SUBMISSION
 (/public/ViewFiling/Index/NDUyMQ2)

THIS FILING HAS BEEN AMENDED

- VIEW AMENDMENT EFFECTIVE 1/31/2019 (/public/ViewFiling/Index/ODk4Mg2)
- VIEW AMENDMENT EFFECTIVE 2/7/2019 (/public/ViewFiling/Index/ODk4Mw2)
- VIEW AMENDMENT EFFECTIVE 3/18/2019 (/public/ViewFiling/Index/MTYwODc1)
- VIEW AMENDMENT EFFECTIVE 3/29/2019 (/public/ViewFiling/Index/MTY5ODk1)

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PRINCIPAL LOBBYIST

MORGAN STANLEY & CO. LLC
 1585 BROADWAY
 NEW YORK, NY 10036
 p.212-761-4000

CONTRACTUAL CLIENT

MORGAN STANLEY & CO. LLC
 1585 BROADWAY
 NEW YORK, NY 10036
 p.212-761-4000

BENEFICIAL CLIENTS

MORGAN STANLEY & CO. LLC
 1585 BROADWAY
 NEW YORK, NY 10036
 p.212-761-4000

INDIVIDUAL LOBBYIST(S)

NAME	START DATE	TERMINATION DATE	DESIGNATED LOBBYIST
AYANNA LOUIS-CHARLES	10/16/2019	Ongoing	No
BARBARA SCUDDER	10/17/2019	Ongoing	No
BRIAN WYNNE	10/17/2019	Ongoing	No
DANIEL KELLY	10/17/2019	Ongoing	No
DARRYL DAVIS	10/17/2019	Ongoing	No
DENNIS FARRELL	10/17/2019	Ongoing	No
ERIC WILD	10/17/2019	Ongoing	No
GEOFF PROULX	10/17/2019	Ongoing	No
GLEN BALANOFF	10/17/2019	Ongoing	No
GRACE CHIONUMA	10/17/2019	Ongoing	No
GRANT FRAUNFELDER	10/17/2019	Ongoing	No
GREGORY BORYS	10/17/2019	Ongoing	No
IRA SMELKINSON	10/17/2019	Ongoing	No
JOAN MARRON	10/17/2019	Ongoing	No
JOAN TALLY	10/17/2019	Ongoing	No
KALUMDI ATAPATTU	3/22/2019	Ongoing	No
KEITH CACKOWSKY	10/17/2019	Ongoing	No
KEVIN SCHWARTZ	10/17/2019	Ongoing	No
MICHELLE GOLDSTEIN	10/17/2019	Ongoing	No
OLIVER ZLOMISLIC	10/17/2019	Ongoing	No
RICHARD WEISS	10/17/2019	Ongoing	No
ROBERT PATTISON	10/17/2019	Ongoing	No
SEYMOUR WEAVER	10/17/2019	Ongoing	No
STEVEN VLAMIS	10/16/2019	Ongoing	No
ZACHARY SOLOMON	10/17/2019	Ongoing	No

SUB-LOBBYIST(S)

NAME	START DATE	TERMINATION DATE
None		

CO-LOBBYIST(S)

NAME	START DATE	TERMINATION DATE
None		

AGREEMENT INFORMATION

TYPE/LEVEL OF GOVERNMENT

TYPE OF LOBBYING RELATIONSHIP: Employed

LEVEL OF GOVERNMENT: Both

LOBBYING AGREEMENT HISTORY

CONTRACT NAME	SIGNATORY	DESCRIPTION	DURATION	COMPENSATION
LRO1811787-01/02/2019-11.29.58 (public/ViewFiling/Attachment/70660F68-0000-C41D-8959-87F397A3FBEB)	KENNEDY MICHAEL, VICE PRESIDENT	Anticipate the \$5,000 Threshold will be exceeded	01/01/2019 - 12/31/2020	ESTIMATED \$240,000 FOR THE TWO-YEAR PERIOD. R

BUSINESS RELATIONSHIPS

NO ACTIVE RBR(S) CURRENTLY EXIST IN THIS CALENDAR YEAR

LOBBYING SUBJECTS

SUBJECTS ON WHICH YOU EXPECT TO LOBBY

- FINANCE, INSURANCE & FINANCIAL SERVICES – GENERAL
- FINANCE, INSURANCE & FINANCIAL SERVICES – SECURITIES & INVESTMENT

LOBBYING ACTIVITIES

State & MUNICIPAL FOCUSES AND PARTIES

TYPE(S) OF LOBBYING COMMUNICATION(S) YOU ARE OR YOU ANTICIPATE ENGAGING IN

- DIRECT

LOBBYING FOCUSES

TYPE	IDENTIFYING NO. OR DESCRIPTION (IF NO. DOES NOT EXIST)	MONITORING ONLY
MUNICIPAL PROCUREMENT	UNDERWRITING SERVICES.	
STATE PROCUREMENT	UNDERWRITING SERVICES.	

Showing 1 to 2 of 2 entries

PARTIES LOBBIED OR EXPECTED TO BE LOBBIED

GOVERNMENT BODY	NAME
STATE AGENCY	DIVISION OF THE BUDGET
STATE AGENCY	METROPOLITAN TRANSPORTATION AUTHORITY

Showing 1 to 2 of 2 entries



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

The vendor and the corporate officers of the vendor have not provided campaign contributions pursuant to the New York State Election Law in the period beginning January 1, 2018 and ending on the date of this disclosure, to the campaign committees of any of the Nassau County elected officials or to the campaign committees of any candidates listed above.

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Vendor: **Morgan Stanley & Co. LLC**

Dated: 1-8-2020

Signed: 

Print Name: **Michael Kennedy**

Title: **Vice President**