

**MONTHLY COUNTY BUDGET REPORT**

**For the Period Ending September 30, 2016**

---

**Nassau County**

Long Island, New York



---

**Edward P. Mangano, County Executive**

**Office of Management and Budget  
Office of the County Executive  
October 21, 2016**

**OFFICE OF MANAGEMENT AND BUDGET**

**Deputy County Executive**

**Eric Naughton**

**Budget Director**

**Roseann D'Alleva**

**FINANCE & OPERATIONS**

**Douglas Cioffi  
Steve Conkling  
Robert Conroy  
William Cote  
Joseluis Dias  
Jennifer Eberhardt  
Richard Haemmerle  
Ann Hulka  
Steven Labriola  
Thomas Love  
Steve Munzing  
Christopher Nolan  
Andrew Persich  
Irfan Qureshi  
Anthony Romano  
Matthew Ronan  
Joseph Schiliro  
Michael Vocatura  
Martha Worsham**

## TABLE OF CONTENTS

### **Executive Summary**

Overview	1
Variances & Explanations	11

### **Fund and Department Detail**

Major Funds Summary and Detail	13
Department Detail	20
Selected Salary and Fringe Benefit Detail	59

### **Key Performance Indicators**

2016 Budget Risk Monitoring	67
Full-Time & Contract Employee Staffing	68
Full-Time Staffing by Grant	71
Full-Time Staffing by Union	74
Overtime Hours	75
Health Insurance Statistics	76
DSS Caseload Graphs	77
Correctional Center Inmate Population	78
Nassau Regional Off-Track Betting Corporation	80
Tax Certiorari Report	81
Sworn Separations	82
Tax Map Verification - Documents Processed	83



## **EXECUTIVE SUMMARY**





## OVERVIEW

The Office of Management and Budget (OMB) is pleased to issue the September 2016 Financial Report. This overview is designed to provide the reader with some perspective regarding the information contained in the report which projects operating financial results on a budgetary basis, in comparison to the County's Adopted Budget. During the 2015 Budget Adoption process, an agreement was reached with the Nassau County Interim Finance Authority (NIFA) to achieve structural balance in 2018.

The County acknowledges that it continues to project a deficit by NIFA's standards, which excludes other financing sources, in each year until 2018. The NIFA control period will likely need to be extended through that time, as the County plans to use transitional borrowing to substantially fund tax certiorari refunds, and other judgments and settlements until it can bring its operating expenditures in line with its operating revenues and produce a balanced budget. Proceeds from these borrowings, which requires approval by NIFA, do not constitute revenues in accordance with NIFA's interpretation of Generally Acceptable Accounting Principles (GAAP).

Sound fiscal management has enabled the Administration to counter the extreme challenges of rising costs and revenue shortfalls. The County continues to be successful in its workforce reduction efforts by limiting the backfilling of vacant positions emphasizing public safety and revenue generating positions. Because of layoffs and voluntary separation incentive programs dating back to 2011, fulltime headcount for the major funds at the end of September 2016 was 7,446 employees, which includes a class of 151 new police recruits, compared to 7,861 employees at the end of December 2011, representing a reduction of 415 employees.

Despite these efforts, along with previous initiatives, reforms and an audited budgetary year-end surplus for 2015, the County continues to face fiscal challenges in 2016. The County's 2016 Adopted Budget includes revisions to address \$81.3 million of risks identified by NIFA. The County is adhering to monitoring requirements imposed by NIFA to address these risks as a condition for NIFA approving the County's 2016 – 2019 modified Multi-Year Plan. In terms of NIFA's monitoring requirements, the County is setting benchmarks by identifying \$20 million of savings or budgetary cuts at the beginning of each quarter, so that any variance can be closely monitored on a quarterly basis by NIFA. If at any time during 2016, NIFA determines that County revenues fail to meet expectations or County expenditures exceed projections, "NIFA may limit approval of or reject expenditure requests" including contracts, new hires and capital expenditures.

On July 1<sup>st</sup> NIFA enumerated their concerns in response to our plan to ensure ending FY 2016 with a deficit that does not exceed \$80 million on a GAAP basis (without using other financing sources), in accordance with a resolution of the NIFA Board.

On August 1<sup>st</sup> the County issued an action plan which is prefaced on opportunities that exceed NIFA's most recent projections. As of the second quarter of 2016 NIFA is projecting a deficit on a budgetary basis of \$18.0 million, but after adjustments for GAAP in connection with other

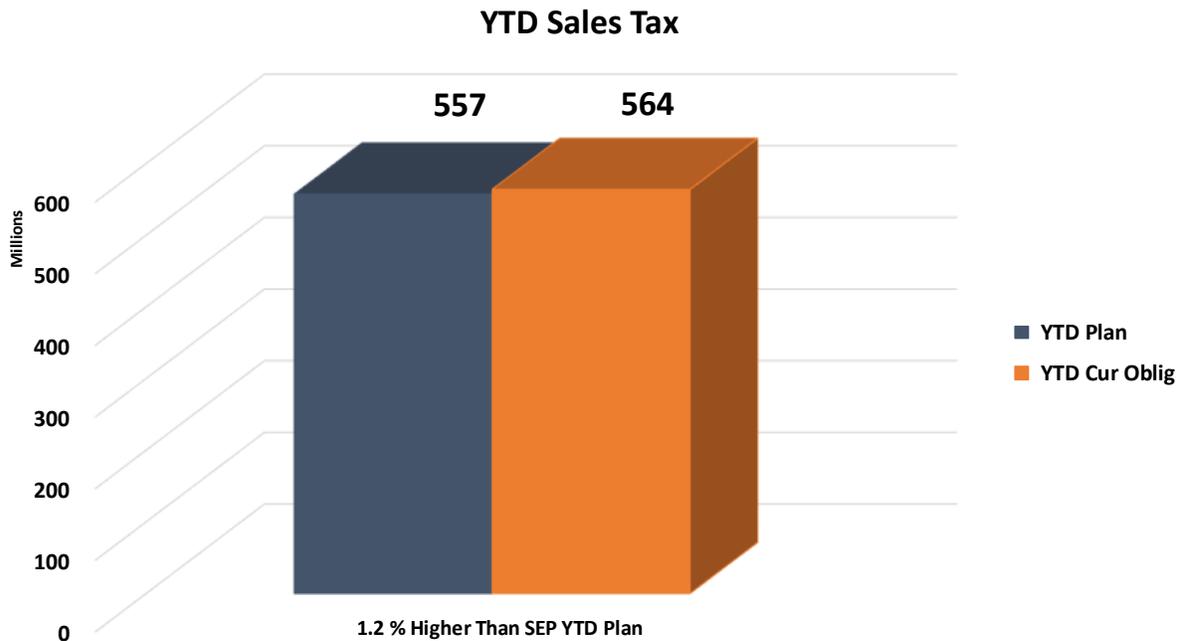
# FISCAL 2016 MONTHLY FINANCIAL REPORT



financing sources, the deficit for GAAP purposes is \$130.3 million. Therefore, the County submitted a plan which includes \$50.3 million in adjustments to offset the projected NIFA GAAP deficit.

The largest opportunities the County referenced in the action plan is termination pay for police which was budgeted for 135 officers at a cost of \$34.2 million. However, retirements as of September are expected to be no more than 75 at a projected cost for 2016 of \$17.1 million, representing a \$17.2 million savings. The County is also experiencing lower than expected caseloads in Early Intervention/Pre School Education which is projected to yield a \$5.0 million savings; as well as additional fringe savings of \$0.6 million that is currently included in our projections.

The County's sales tax growth rate through the October 13, 2016 sales tax receipt is approximately 1.9%. As a result, it is reasonable to project that the growth rate for 2016 will be 2.2% above 2015 receipts as traditionally there are higher growth rates in the second half of the year. In the event this growth rate does not materialize, any shortfall will be addressed in the above mentioned corrective action plan. The County is sustaining a growth in home sales of 9.0%; the upswing in home sales within the County should bode well for sales tax receipts going forward.



---

## FISCAL 2016 MONTHLY FINANCIAL REPORT

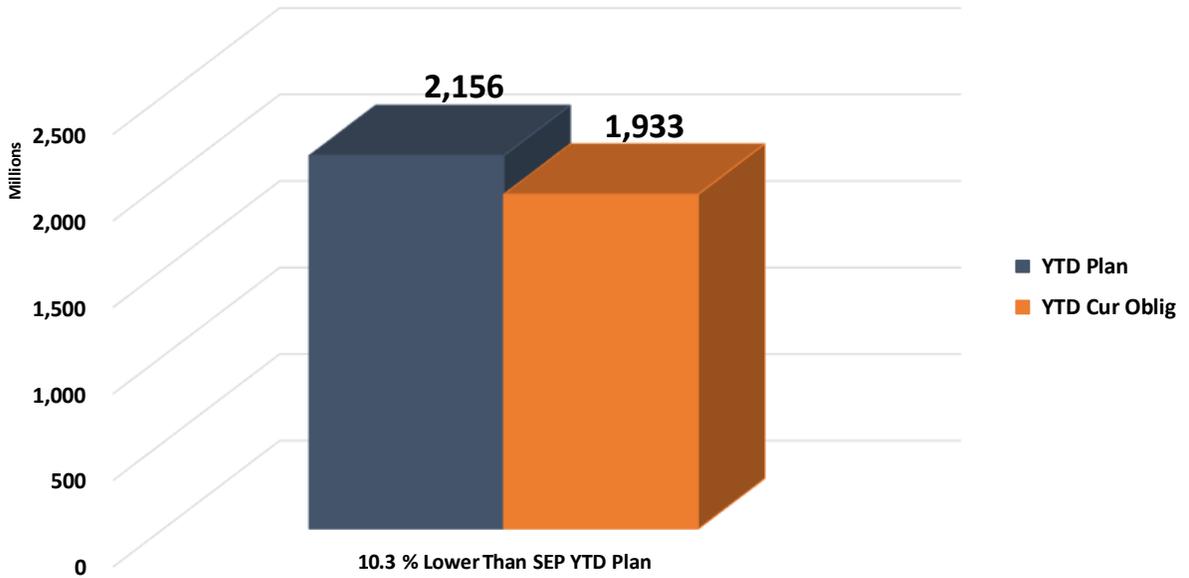
---



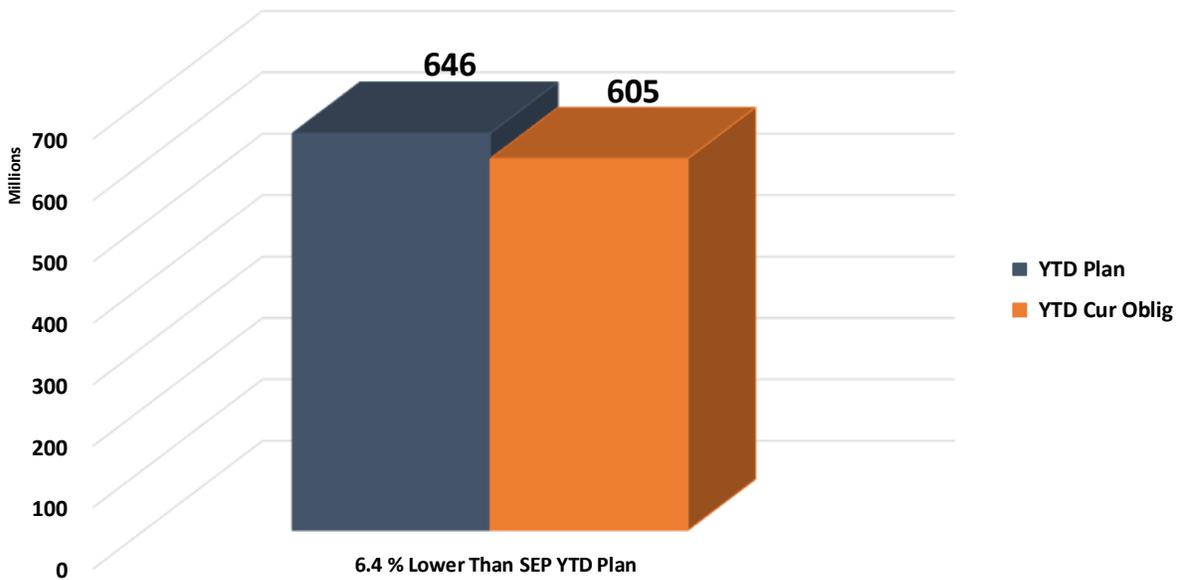
As of September 30, 2016, the County is projecting a surplus of \$38.6 million, of which \$3.6 million is debt service savings that will be placed in designated fund balance in the debt service fund and utilized over the next three years. The major components of the projected surplus through September the following: \$8.5 million in equipment, general expense, utility savings, decreased costs for LIRR station maintenance and other expenses attributed to revoked OTPS funding offsets by an increase for the County's contract expenses for operating the Red Light Camera program; \$8.0 million in lower social service expenses due to lower caseloads as well adjustment in the Medicaid local share cap; \$7.9 million in salary savings mainly due to lower termination costs offsets by increased overtime; \$7.9 million of sales tax receipts in excess of budget; \$7.1 million in fine revenue primarily due to the Red Light Camera program; \$6.7 million of fringe benefit savings primarily due to vacancies and lower health insurance enrollments offset by workman's compensation costs; \$5.6 million in interest expense savings due to the recent refinancing of various outstanding County debt; \$ 5.0 million in lower than expected caseloads in the Early Intervention program; \$2.8 million higher than projected state and federal aid reimbursements mainly associated with a higher amount of State Transportation Operating Assistance (STOA) for operating the County's bus transportation system and increased funding attributable to the County's Day Care Program offset by decreases in other social service caseloads; \$1.8 million in additional collected property tax levy due to changes in the roll; \$1.4 million higher than expected in interest penalty on taxes revenue; and \$1.1 million of increased invest income. These favorable items are projected to be offset by an estimated \$12.0 million of lower profits flowing through to the County in connection with the County's interest in a Video Lottery Terminal Machine facility through the Nassau Regional Off-Track Betting Corporation; \$10.2 million anticipated shortfall in Rents & Recoveries primarily related to FIT prior year recovery litigation and lower than anticipated sales of surplus County properties; an additional \$0.5 million for Local Government Assistance due to the expected increase in sales tax and \$ 0.4 million in decreased permits and licenses revenue primarily in Department of Public Works.



YTD Expenses

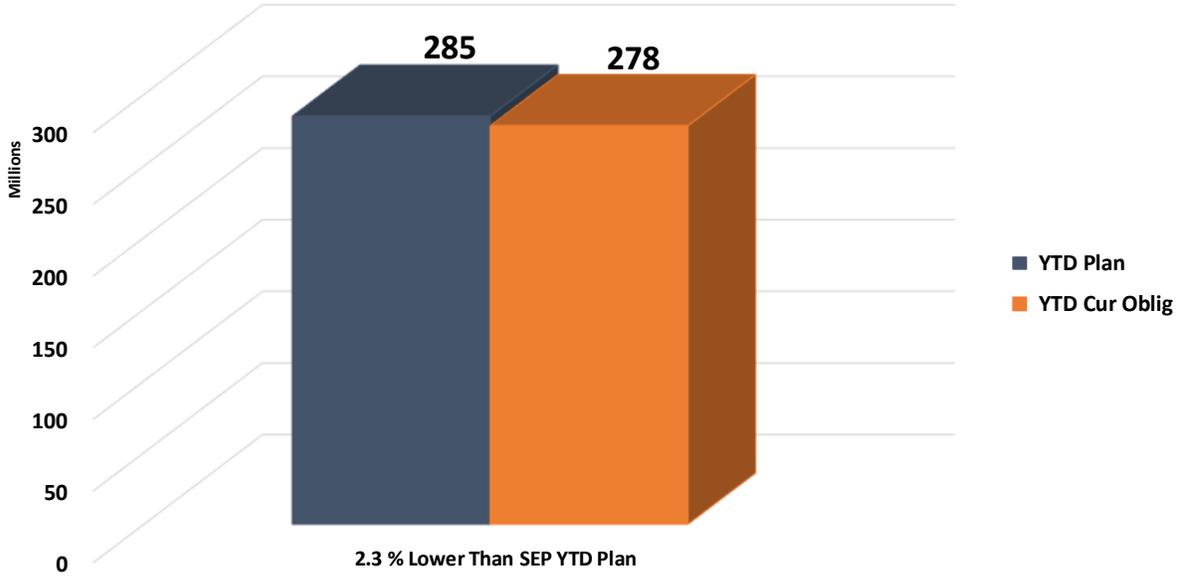


YTD AA - Salaries

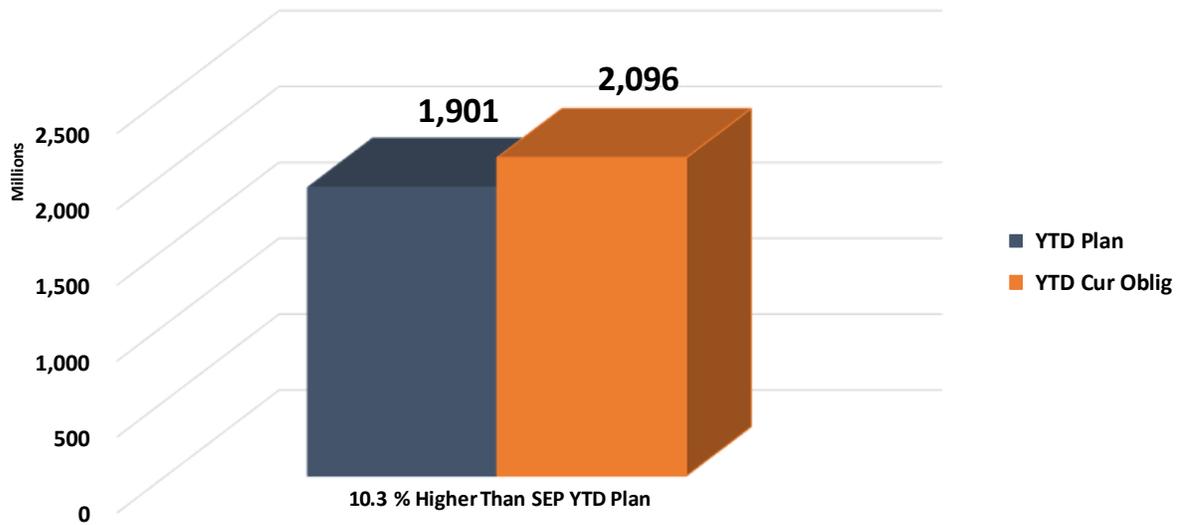




YTD OTPS



YTD Revenue



---

## FISCAL 2016 MONTHLY FINANCIAL REPORT

---



The below Corrective Actions and Opportunity chart highlights the Administration's commitment and ability to end FY 2016 with a deficit that does not exceed \$80 million on a GAAP basis (without using other financing sources), as required by a resolution of the NIFA Board. We continue to apply sound fiscal management and take actions necessary to bring the County's operating deficit to zero dollars in advance of the 2018 mandate to transition to GAAP balance. As demonstrated in the past, the Administration has the prerogative to transfer current year surpluses to designated fund balance in the debt service fund or established reserve funds.

<b>Surplus Prior to Corrective Actions and Opportunities (\$'s in millions)</b>	<b>\$ 38.8</b>
FIT Recovery	\$ 7.5
Higher than expected Rents & Recoveries	\$ 7.3
Lower Salaries and Wages due to extras such as holiday pay, longevity, shift differential etc. and transfer to Litigation Fund	\$ 3.4
FEMA recovery of 10% local match	\$ 3.4
Higher than expected TPVA NOLS revenues (RLC & Administrative)	\$ 3.2
Social Service lower caseloads resulting in less program spending (TANF & Safety Net)	\$ 1.9
Mortgage Recording Fees	\$ 1.5
Other Than Personal Service saving (targeting lower spending in equipment, general expenses and contractual services in elected departments )	\$ 0.8
<b>Surplus After Corrective Actions</b>	<b>\$ 67.8</b>



### EXPENDITURE RESULTS

#### Salaries, Wages & Fees

OMB projects Salaries, Wages & Fees to be \$856.3 million, a \$7.9 million surplus when compared with the 2016 Modified Budget amount of \$864.2 million. The surplus is primarily due to vacancy savings and lower termination compensation partially offset by higher overtime costs predominantly in the Police Department.

#### Headcount

The full-time headcount for the major funds as of September 30, 2016 was 7,446 positions. The headcount reductions represent a decrease of 18.9% when compared to the number of positions in the 2009 Adopted Budget.

#### Overtime

Through September 30 2016, the Police Department and the Sheriff/Correctional Center incurred approximately \$40.2 million and \$9.6 million in overtime expense, respectively. The projection for 2016 assumes budgetary deficits of \$12.0 and \$1.0 million for the Police Department and Sheriff/Correctional Center, respectively. Funding to cover the anticipated deficit in the Sheriff/Correctional Center will be provided from projected savings on other salary line items within the department. Funding to cover the anticipated deficit in the Police Department will be provided from projected savings in connection with lower termination compensation expenses.

#### Fringe Benefits

The 2016 Modified Budget for Employee Benefits including Workers' Compensation provided for the five major funds is \$546.4 million. This includes a variety of expenses, the largest of which are employee and retiree health insurance, pensions and the County's portion of the FICA payroll tax. Fringe Benefits (including Workers Compensation) for 2016 are projected to be \$539.7 million, a \$6.7 million savings from the 2016 Modified Budget, primarily attributable to lower than budgeted health insurance costs due to vacancies. See the selected Fringe Benefits (AB) schedules immediately following the departmental tables for more details regarding current projections on some of the more significant fringe benefit items.

#### General Expenses

OMB projects General Expenses to be \$34.7 million, a \$2.7 million surplus when compared with the 2016 Modified Budget amount of \$37.4 million. A surplus is projected primarily due to a departmental directive issued in connection with the revocation of funding.



### **Utility Costs**

OMB projects Utility Costs to be \$35.7 million, a \$3.6 million surplus when compared with the 2016 Modified Budget amount of \$39.3 million. A surplus is projected primarily due to lower projected costs associated with electricity and water.

### **Interest Expense**

OMB projects Interest Expense to be \$104.8 million, a \$5.5 million surplus when compared with the 2016 Modified Budget amount of \$110.3 million. A surplus is projected primarily due to lower interest rates in connection with refinancing/restructuring various County debt.

### **Mass Transportation**

OMB projects Mass Transportation to be \$43.0 million, a \$0.8 million surplus when compared with the 2016 Modified Budget amount of \$43.8 million; due to a lower annual MTA/LIRR operation assistance, subject to Consumer Price Index (CPI)

### **Early Intervention/Special Education**

OMB projects Early Intervention/Special Education expenses to be \$130.0 million, a \$5.0 million surplus when compared with the 2016 Modified Budget amount of \$135.0 million primarily due to lower caseloads in the Early Intervention and Pre-School programs.

### **Recipient Grants**

OMB projects Recipient Grants to be \$57.5 million, a \$4.5 million surplus when compared with to the 2016 Modified Budget amount of \$62.0 million. The projected surplus is primarily due to a decrease in Temporary Assistance for Needy Families (TANF) and Safety Net Assistance caseloads.

### **Purchased Services**

OMB projects Purchased Services to be \$68.0 million, a \$2.1 million deficit when compared with to the 2016 Modified Budget amount of \$65.9 million. The projected deficit is primarily due to a higher number of caseloads in daycare programs.

### **Emergency Vendor Payments**

OMB projects Emergency Vendor Payments to be \$47.8 million, a \$3.2 million surplus when compared with the 2016 Modified Budget amount of \$51.0 million. The projected surplus is primarily due to lower than anticipated billings from the NYS Office of Children and Family

---

## FISCAL 2016 MONTHLY FINANCIAL REPORT

---



Services (OCFS) pursuant to a decline in the number of youths placed in State operated residential facilities.

### REVENUE RESULTS

#### Interest Penalty on Tax

OMB projects Interest Penalty on Tax to be \$33.3 million, a \$1.4 million surplus when compared with the 2016 Modified Budget amount of \$31.9 million. A surplus is projected primarily due to higher projected interest received in connection with County tax lien sales resulting from a wider spread in interest rates associated with the liens.

#### Fines & Forfeits

OMB projects Fines & Forfeits to be \$72.6 million, a \$7.1 million surplus when compared with the 2016 Modified Budget amount of \$65.5 million. A surplus is projected primarily due to higher administrative fees on Red Light Camera tickets and fines, partially offset by a lower volume of tickets issued.

#### Rents & Recoveries

OMB projects Rents & Recoveries to be \$47.0 million, a \$10.2 million shortfall when compared with the 2016 Modified Budget amount of \$57.2 million. The projected shortfall is primarily due to lower than anticipated tuition reimbursements from the Towns and Cities for college students attending out of County schools in New York State. In addition, lower than projected sales of excess County property contributed to the shortfall.

#### Payment in Lieu of Taxes

OMB projects Payment in Lieu of Taxes to be \$40.5 million, a \$10.2 million shortfall when compared with the 2016 Modified Budget amount of \$50.7 million. A shortfall is projected primarily due to a budgetary re-allocation between PILOTS and Property Taxes which had the effect of overstating budgeted PILOTS in the Major Funds resulting in a shortfall when compared with actuals. However, this shortfall is projected to be offset by surplus Property Tax collections.

#### OTB Profits

OMB projects profits from OTB operations to be \$3.0 million, a \$12.0 million shortfall when compared with the 2016 Modified Budget amount of \$15 million. This is primarily attributable to a NYS legislative deal transferring OTB's authority to install 1,000 Video Lottery Terminals (VLT's) to Genting New York LLC, a Malaysia-based firm that operates the Resorts World Casino

---

## FISCAL 2016 MONTHLY FINANCIAL REPORT

---



at Aqueduct Racetrack. As a result of this deal, profits passing through to the County are projected to be lower than originally anticipated.

### **Debt Service Chargeback Revenue**

OMB projects Debt Service Chargeback Revenue (included in Interdepartmental Charges) to be \$304.8 million, a \$22.0 million shortfall when compared with the 2016 Modified Budget amount of \$326.8 million. A deficit is projected primarily due to an anticipated lower amount being charged to operating funds resulting from the County receiving excess bond premium. This amount is offset by a projected surplus in Debt Service Chargeback Expense.

### **Sales Tax**

OMB projects Sales Tax to be \$7.9 million higher than the \$1.114 billion in the 2016 Modified Budget primarily due to higher than anticipated collections.

### **Property Tax**

OMB projects Property Tax to be \$816.6 million, an \$12.0 million surplus when compared with the 2016 Modified Budget amount of \$804.6 million. A surplus is projected primarily due to a budgetary re-allocation between PILOTS and Property Taxes which had the effect of understating the budgeted amount of Property Taxes in the Major Funds resulting in a surplus when compared with actuals.

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## Expense Variance Explanation - 2016 Adopted Budget

Object	2016 Modified Budget	3rd. Qtr. Projections	Variance	Explanation
AA - SALARIES, WAGES & FEES	864,189,056	856,307,559	7,881,497	A surplus is projected primarily due to vacancies and savings in termination costs in PD offset by higher overtime.
AB - FRINGE BENEFITS	514,827,831	507,914,517	6,913,314	A surplus is projected primarily due to lower than budgeted health insurance costs in connection with vacancy savings.
AC - WORKERS COMPENSATION	31,582,954	31,783,054	(200,100)	
BB - EQUIPMENT	1,812,801	1,672,836	139,965	A surplus is projected in connection with the revocation of funding.
DD - GENERAL EXPENSES	37,412,515	34,710,746	2,701,769	
DE - CONTRACTUAL SERVICES	246,726,030	247,923,291	(1,197,261)	
DF - UTILITY COSTS	39,338,174	35,708,674	3,629,500	A surplus is projected primarily in connection with lower electrical and water costs.
DG - VAR DIRECT EXPENSES	5,250,000	5,250,000	0	
FF - INTEREST	110,343,258	104,780,571	5,562,687	A surplus is projected in connection with refinancing various County debt.
GA - LOCAL GOVT ASST PROGRAM	66,997,479	67,459,412	(461,933)	
GG - PRINCIPAL	78,420,000	78,420,000	0	
HD - DEBT SERVICE CHARGEBACKS	306,791,985	304,838,337	1,953,648	A surplus is projected due to an anticipated lower amount being charged back to Operating Funds due to excess premium. This amount is offset by a projected shortfall in Debt Service Chargeback Revenue.
HF - INTER-DEPARTMENTAL CHARGES	89,197,688	89,197,688	0	
HH - INTERFUND CHARGES	27,384,268	27,384,268	0	
L3 - TRANS TO LITIGATION FUND	20,000,000	20,000,000	0	
LH - TRANS TO PDH SUITS & DAMAGES	4,499,997	4,499,997	0	
MM - MASS TRANSPORTATION	43,803,242	42,969,542	833,700	
NA - NCIFA EXPENDITURES	1,950,000	1,950,000	0	
OO - OTHER EXPENSES	295,023,271	294,197,323	825,948	A surplus is projected in connection with the revocation of funding.
PP - EARLY INTERVENTION/SPECIAL EDUCATION	135,000,000	130,000,000	5,000,000	A surplus is projected primarily due to a lower number of Early Intervention and Pre-School caseloads.
SS - RECIPIENT GRANTS	62,000,000	57,475,000	4,525,000	A surplus is projected due to a decrease in Temporary Assistance for Needy Families (TANF) and Safety Net Assistance caseloads.
TT - PURCHASED SERVICES	65,851,121	67,951,121	(2,100,000)	A deficit is projected primarily due to a higher number of caseloads in the Daycare program.
WW - EMERGENCY VENDOR PAYMENTS	50,980,000	47,825,000	3,155,000	A surplus is projected primarily due to lower than anticipated billings from the NYS Office of Children and Family Services due to a declining number of youth placed in NYS operated residential facilities.
XX - MEDICAID	240,233,215	237,828,188	2,405,027	A surplus is projected due to a lower calculated Local Share.
	<b>3,339,614,885</b>	<b>3,298,047,124</b>	<b>41,567,761</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## Revenue Variance Explanation - 2016 Adopted Budget

Object	2016 Modified Budget	3rd. Qtr. Projections	Variance	Explanation
BA - INT PENALTY ON TAX	31,900,000	33,330,000	1,430,000	A surplus is projected primarily due to higher projected interest received on County tax liens as a result of a wider spread in interest rates.
BC - PERMITS & LICENSES	17,614,750	17,177,141	(437,609)	
BD - FINES & FORFEITS	65,523,536	72,638,116	7,114,580	A surplus is projected primarily due to higher Administrative Fees for Red Light Camera tickets and Fines partially offset by a decline in volume of tickets being issued.
BE - INVEST INCOME	979,300	2,090,100	1,110,800	
BF - RENTS & RECOVERIES	57,226,757	47,020,796	(10,205,961)	A shortfall is projected primarily due to a lower amount of tuition reimbursements from the Townships and Cities for college students attending out of County schools in New York State and a lower projected sell off of various County property.
BG - REVENUE OFFSET TO EXPENSE	13,400,000	13,400,000	0	
BH - DEPT REVENUES	230,337,222	230,073,439	(263,783)	
BJ - INTERDEPT REVENUES	89,197,688	89,197,688	0	
BO - PAYMENT IN LIEU OF TAXES	50,777,307	40,534,211	(10,243,096)	A shortfall is projected due to a budgetary re-allocation between PILOTS and Property Taxes having the effect of overstating budgeted PILOTS resulting in a shortfall when compared with actuals
BQ - CAPITAL RESOURCES FOR DEBT	64,483,620	84,483,620	20,000,000	A surplus is projected due to higher than anticipated bond premium.
BS - OTB PROFITS	15,000,000	3,000,000	(12,000,000)	A deficit is projected due to lower than anticipated receipts from Video Lottery Terminals due to a NYS legislative deal transferring OTB's authority to install 1,000 of these Terminals to Resorts World Casino at Aqueduct Racetrack. As a result of this deal, profits flowing through to Nassau County are projected to be lower than originally anticipated.
BV - DEBT SERVICE CHARGEBACK REVENUE	326,791,985	304,838,337	(21,953,648)	A shortfall is projected due to an anticipated lower amount being charged back to Operating Funds due to excess premium. This amount is offset by a projected surplus in Debt Service Chargeback Expense.
BW - INTERFUND REVENUE	72,321,378	72,423,453	102,075	
FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	135,005,324	136,207,199	1,201,875	A surplus is projected primarily due to a higher allocation of funding received by the County through NYS for the County's Day Care Program in recognition of the County's constituent friendly eligibility criteria.
IF - INTERFUND TRANSFERS	4,499,997	4,499,997	0	
SA - STATE AID - REIMBURSEMENT OF EXPENSES	211,848,977	213,488,787	1,639,810	A surplus is projected based on a provision in the NYS budget for a 6.09% increase in funding related to the State Transportation Operating Assistance program (STOA) in the amount of \$3,826,300, partially offset by lower anticipated receipts in connection with an excise tax on the sale of medical marijuana.
TA - SALES TAX COUNTYWIDE	1,031,778,623	1,039,631,424	7,852,801	The projection assumes a prospective conservative growth rate.
TB - SALES TAX PART COUNTY	81,809,065	81,809,065	0	
TL - PROPERTY TAX	804,565,525	816,570,396	12,004,871	Refer to Pilots as well as changes on the tax roll.
TO - OTB 5% TAX	2,385,331	2,385,331	0	
TX - SPECIAL TAXES	29,168,500	28,998,445	(170,055)	
	<b>3,336,614,885</b>	<b>3,333,797,545</b>	<b>(2,817,340)</b>	

**FUND AND  
DEPARTMENT DETAIL**



# FISCAL 2016 MONTHLY FINANCIAL REPORT



## Major Funds

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	864,189,056	605,103,857	856,307,559	7,881,497
	AB - FRINGE BENEFITS	514,827,831	403,086,011	507,914,517	6,913,314
	AC - WORKERS COMPENSATION	31,582,954	20,634,142	31,783,054	(200,100)
	BB - EQUIPMENT	1,812,801	817,612	1,672,836	139,965
	DD - GENERAL EXPENSES	37,412,515	24,915,549	34,710,746	2,701,769
	DE - CONTRACTUAL SERVICES	246,726,030	225,703,432	247,923,291	(1,197,261)
	DF - UTILITY COSTS	39,338,174	26,533,366	35,708,674	3,629,500
	DG - VAR DIRECT EXPENSES	5,250,000	250,000	5,250,000	0
	FF - INTEREST	110,343,258	59,154,498	104,780,571	5,562,687
	GA - LOCAL GOVT ASST PROGRAM	66,997,479	1,605,856	67,459,412	(461,933)
	GG - PRINCIPAL	78,420,000	49,920,000	78,420,000	0
	HH - INTERFUND CHARGES	27,384,268	21,426,728	27,384,268	0
	JA - CONTINGENCIES RESERVE	0	(2,998,265)	0	0
	L3 - TRANSFER TO LITIGATION FUND	20,000,000	20,000,000	20,000,000	0
	MM - MASS TRANSPORTATION	43,803,242	39,988,594	42,969,542	833,700
	NA - NCIFA EXPENDITURES	1,950,000	0	1,950,000	0
	OO - OTHER EXPENSE	295,023,271	39,372,857	294,197,323	825,948
	PP - EARLY INTERVENTION/SPECIAL EDUCATION	135,000,000	107,213,189	130,000,000	5,000,000
	SS - RECIPIENT GRANTS	62,000,000	40,949,037	57,475,000	4,525,000
	TT - PURCHASED SERVICES	65,851,121	55,633,587	67,951,121	(2,100,000)
	WW - EMERGENCY VENDOR PAYMENTS	50,980,000	35,462,854	47,825,000	3,155,000
	XX - MEDICAID	240,233,215	178,170,005	237,828,188	2,405,027
<b>Total Expenses Excluding Interdepartmental Charges</b>		<b>2,939,125,215</b>	<b>1,952,942,909</b>	<b>2,899,511,102</b>	<b>39,614,113</b>
	<b>Interdepartmental Charges</b>	<b>400,489,670</b>	<b>49,279,949</b>	<b>398,536,022</b>	<b>1,953,648</b>
<b>Total Expenses Including Interdepartmental Charges</b>		<b>3,339,614,885</b>	<b>2,002,222,858</b>	<b>3,298,047,124</b>	<b>41,567,761</b>
REV	AA - OPENING FUND BALANCE	3,000,000	163,106,854	3,000,000	0
	BA - INT PENALTY ON TAX	31,900,000	26,894,669	33,330,000	1,430,000
	BC - PERMITS & LICENSES	17,614,750	13,064,698	17,177,141	(437,609)
	BD - FINES & FORFEITS	65,523,536	54,790,358	72,638,116	7,114,580
	BE - INVEST INCOME	979,300	1,067,006	2,090,100	1,110,800
	BF - RENTS & RECOVERIES	57,226,757	22,926,889	47,020,796	(10,205,961)
	BG - REVENUE OFFSET TO EXPENSE	13,400,000	5,583,757	13,400,000	0
	BH - DEPT REVENUES	230,337,222	128,570,919	230,073,439	(263,783)
	BO - PAYMENT IN LIEU OF TAXES	50,777,307	40,534,631	40,534,211	(10,243,096)
	BQ - CAPITAL RESOURCES FOR DEBT	64,483,620	47,342,465	84,483,620	20,000,000
	BS - OTB PROFITS	15,000,000	0	3,000,000	(12,000,000)
	BW - INTERFUND REVENUE	72,321,378	10,740,671	72,423,453	102,075
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	135,005,324	77,395,659	136,207,199	1,201,875
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	211,848,977	102,977,705	213,488,787	1,639,810
	TA - SALES TAX COUNTYWIDE	1,031,778,623	517,638,475	1,039,631,424	7,852,801
	TB - SALES TAX PART COUNTY	81,809,065	46,131,482	81,809,065	0
	TL - PROPERTY TAX	804,565,525	816,563,991	816,570,396	12,004,871
	TO - OTB 5% TAX	2,385,331	1,510,588	2,385,331	0
	TX - SPECIAL TAXES	29,168,500	19,078,398	28,998,445	(170,055)
<b>Total Revenue Excluding Interdepartmental Charges</b>		<b>2,919,125,215</b>	<b>2,095,919,216</b>	<b>2,938,261,523</b>	<b>19,136,308</b>
	<b>Interdepartmental Charges</b>	<b>420,489,670</b>	<b>49,279,949</b>	<b>398,536,022</b>	<b>(21,953,648)</b>
<b>Total Revenue Including Interdepartmental Charges</b>		<b>3,339,614,885</b>	<b>2,145,199,165</b>	<b>3,336,797,545</b>	<b>(2,817,340)</b>
<b>Projected Surplus / (Deficit)</b>		<b>0</b>		<b>38,750,421</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## GENERAL FUND

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	380,004,014	274,464,804	375,808,413	4,195,601
	AB - FRINGE BENEFITS	249,725,323	183,543,299	243,994,513	5,730,810
	AC - WORKERS COMPENSATION	19,026,856	12,325,125	18,867,180	159,676
	BB - EQUIPMENT	1,020,554	577,010	1,006,568	13,986
	DD - GENERAL EXPENSES	28,568,238	19,020,098	26,971,646	1,596,592
	DE - CONTRACTUAL SERVICES	229,836,942	209,763,654	230,617,500	(780,558)
	DF - UTILITY COSTS	34,683,610	24,490,320	31,298,110	3,385,500
	DG - VAR DIRECT EXPENSES	5,250,000	250,000	5,250,000	0
	GA - LOCAL GOVT ASST PROGRAM	66,997,479	1,605,856	67,459,412	(461,933)
	HD - DEBT SERVICE CHARGEBACKS	286,178,306	0	284,224,658	1,953,648
	HF - INTER-DEPARTMENTAL CHARGES	44,266,867	16,846,838	44,266,867	0
	HH - INTERFUND CHARGES	27,367,283	21,426,728	27,367,283	0
	JA - CONTINGENCIES RESERVE	0	(2,998,265)	0	0
	L3 - TRANS TO LITIGATION FUND	20,000,000	20,000,000	20,000,000	0
	LH - TRANS TO PDH SUITS & DAMAGES	4,499,997	0	4,499,997	0
	MM - MASS TRANSPORTATION	43,803,242	39,988,594	42,969,542	833,700
	NA - NCIFA EXPENDITURES	1,950,000	0	1,950,000	0
	OO - OTHER EXPENSES	119,606,440	36,077,285	118,780,492	825,948
	PP - EARLY INTERVENTION/SPECIAL EDUCATION	135,000,000	107,213,189	130,000,000	5,000,000
	SS - RECIPIENT GRANTS	62,000,000	40,949,037	57,475,000	4,525,000
	TT - PURCHASED SERVICES	65,851,121	55,633,587	67,951,121	(2,100,000)
	WW - EMERGENCY VENDOR PAYMENTS	50,980,000	35,462,854	47,825,000	3,155,000
	XX - MEDICAID	240,233,215	178,170,005	237,828,188	2,405,027
<b>EXP Total</b>		<b>2,116,849,487</b>	<b>1,274,810,018</b>	<b>2,086,411,490</b>	<b>30,437,997</b>
REV	AA - OPENING FUND BALANCE	3,000,000	141,298,382	3,000,000	0
	BA - INT PENALTY ON TAX	31,900,000	26,894,669	33,330,000	1,430,000
	BC - PERMITS & LICENSES	12,986,250	9,343,177	11,606,240	(1,380,010)
	BD - FINES & FORFEITS	64,523,536	53,727,733	71,338,116	6,814,580
	BE - INVEST INCOME	947,000	1,055,321	2,056,969	1,109,969
	BF - RENTS & RECOVERIES	57,160,617	22,049,358	46,143,265	(11,017,352)
	BG - REVENUE OFFSET TO EXPENSE	13,400,000	5,364,002	13,400,000	0
	BH - DEPT REVENUES	194,109,122	107,402,654	193,747,648	(361,474)
	BJ - INTERDEPT REVENUES	77,089,849	49,066,491	77,089,849	0
	BO - PAYMENT IN LIEU OF TAXES	50,777,307	14,433,995	14,433,575	(36,343,732)
	BQ - CAPITAL RESOURCES FOR DEBT	60,000,000	0	60,000,000	0
	BS - OTB PROFITS	15,000,000	0	3,000,000	(12,000,000)
	BW - INTERFUND REVENUE	44,221,796	10,641,614	44,224,814	3,018
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	129,128,522	72,241,290	130,330,397	1,201,875
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	211,114,977	102,588,015	212,754,787	1,639,810
	TA - SALES TAX COUNTYWIDE	1,031,778,623	517,638,475	1,039,631,424	7,852,801
	TB - SALES TAX PART COUNTY	81,809,065	46,131,482	81,809,065	0
	TL - PROPERTY TAX	30,502,492	68,608,000	68,607,999	38,105,507
	TO - OTB 5% TAX	2,385,331	1,510,588	2,385,331	0
	TX - SPECIAL TAXES	5,015,000	3,309,369	4,844,945	(170,055)
<b>REV Total</b>		<b>2,116,849,487</b>	<b>1,253,304,615</b>	<b>2,113,734,424</b>	<b>(3,115,063)</b>
<b>Projected Surplus / (Deficit)</b>				<b>27,322,934</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## DEBT SERVICE FUND

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	FF - INTEREST	110,343,258	59,154,498	104,780,571	5,562,687
	GG - PRINCIPAL	78,420,000	49,920,000	78,420,000	0
	OO - OTHER EXPENSES	175,416,831	3,295,572	175,416,831	0
<b>EXP Total</b>		<b>364,180,089</b>	<b>112,370,070</b>	<b>358,617,402</b>	<b>5,562,687</b>
REV	BE - INVEST INCOME	0	2,298	0	0
	BG - REVENUE OFFSET TO EXPENSE	0	219,756	0	0
	BQ - CAPITAL RESOURCES FOR DEBT	4,483,620	47,342,465	24,483,620	20,000,000
	BV - DEBT SERVICE CHARGEBACK REVENUE	326,791,985	0	304,838,337	(21,953,648)
	BW - INTERFUND REVENUE	28,092,282	0	28,092,282	0
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	4,812,202	4,760,391	4,812,202	0
<b>REV Total</b>		<b>364,180,089</b>	<b>52,324,910</b>	<b>362,226,441</b>	<b>(1,953,648)</b>
<b>Projected Surplus / (Deficit)</b>				<b>3,609,039</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## FIRE COMMISSION FUND

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	11,402,208	7,375,780	10,842,735	559,473
	AB - FRINGE BENEFITS	5,658,253	4,438,407	5,450,744	207,509
	BB - EQUIPMENT	42,250	27,968	38,250	4,000
	DD - GENERAL EXPENSES	244,277	109,435	204,277	40,000
	DE - CONTRACTUAL SERVICES	4,889,088	4,777,037	4,878,088	11,000
	HD - DEBT SERVICE CHARGEBACKS	796,498	0	796,498	0
	HF - INTER-DEPARTMENTAL CHARGES	2,311,647	708,832	2,311,647	0
<b>EXP Total</b>		<b>25,344,221</b>	<b>17,437,459</b>	<b>24,522,239</b>	<b>821,982</b>
REV	AA - OPENING FUND BALANCE	0	180,614	0	0
	BE - INVEST INCOME	0	831	831	831
	BF - RENTS & RECOVERIES	0	3,004	3,004	3,004
	BH - DEPT REVENUES	8,725,600	6,348,313	8,725,600	0
	BO - PAYMENT IN LIEU OF TAXES	0	404,691	404,691	404,691
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	145,000	134,625	145,000	0
	TL - PROPERTY TAX	16,473,621	16,064,969	16,068,930	(404,691)
<b>REV Total</b>		<b>25,344,221</b>	<b>23,137,047</b>	<b>25,348,056</b>	<b>3,835</b>

Projected Surplus / (Deficit)

825,817

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	924,693	752,568	172,125	8,301,962	7,375,780	926,181	Attrition, lower than anticipated overtime and delay in hiring
	AB	340,094	301,167	38,927	4,470,950	4,438,407	32,543	
	BB	4,530	24,305	(19,775)	11,895	27,968	(16,073)	
	DD	38,264	26,871	11,393	147,039	109,435	37,604	
	DE	4,988	0	4,988	4,667,831	4,777,037	(109,206)	
<b>EXP Total</b>		<b>1,312,569</b>	<b>1,104,912</b>	<b>207,657</b>	<b>17,599,677</b>	<b>16,728,627</b>	<b>871,050</b>	Encumbrance for 2016 has been processed earlier than prior years.
REV	BE	0	144	144	0	831	831	
	BF	0	0	0	0	3,004	3,004	
	BH	709,045	673,006	(36,039)	6,451,608	6,348,313	(103,296)	
	BO	0	0	0	404,691	404,691	(0)	
	SA	13,510	2,375	(11,135)	85,761	134,625	48,864	
	TL	0	0	0	16,059,764	16,064,969	5,205	
<b>REV Total</b>		<b>722,555</b>	<b>675,525</b>	<b>(47,030)</b>	<b>23,001,824</b>	<b>22,956,432</b>	<b>(45,392)</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## POLICE DISTRICT FUND

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	239,195,793	153,193,191	235,637,995	3,557,798
	AB - FRINGE BENEFITS	123,341,178	101,116,694	122,382,297	958,881
	AC - WORKERS COMPENSATION	7,715,748	5,145,185	7,715,748	0
	BB - EQUIPMENT	299,997	74,208	239,497	60,500
	DD - GENERAL EXPENSES	5,000,000	3,255,592	4,353,554	646,446
	DE - CONTRACTUAL SERVICES	500,000	344,122	541,212	(41,212)
	DF - UTILITY COSTS	1,354,564	662,870	1,310,564	44,000
	HD - DEBT SERVICE CHARGEBACKS	2,250,011	0	2,250,011	0
	HF - INTER-DEPARTMENTAL CHARGES	19,707,235	13,436,239	19,707,235	0
<b>EXP Total</b>		<b>399,364,526</b>	<b>277,228,102</b>	<b>394,138,113</b>	<b>5,226,413</b>
REV	AA - OPENING FUND BALANCE	0	21,627,858	0	0
	BC - PERMITS & LICENSES	4,128,500	2,979,120	4,828,500	700,000
	BD - FINES & FORFEITS	1,000,000	1,062,625	1,300,000	300,000
	BE - INVEST INCOME	15,000	2,915	15,000	0
	BF - RENTS & RECOVERIES	0	308,825	308,825	308,825
	BH - DEPT REVENUES	2,400,000	1,534,639	2,450,000	50,000
	BJ - INTERDEPT REVENUES	401,835	72,466	401,835	0
	BO - PAYMENT IN LIEU OF TAXES	0	16,758,062	16,758,062	16,758,062
	TL - PROPERTY TAX	391,419,191	374,663,217	374,661,129	(16,758,062)
<b>REV Total</b>		<b>399,364,526</b>	<b>419,009,727</b>	<b>400,723,351</b>	<b>1,358,825</b>

Projected Surplus / (Deficit)

6,585,238

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	18,912,448	15,449,266	3,463,182	172,380,807	153,193,191	19,187,615	High number of vacancies along with lower than anticipated attrition rate
	AB	6,360,459	6,003,037	357,422	103,762,814	101,116,694	2,646,120	
	AC	634,722	679,815	(45,093)	5,319,468	5,145,185	174,283	
	BB	59,973	4,221	55,752	265,083	74,208	190,875	Delay in spending of communication and motor vehicles equipment
	DD	401,279	409,934	(8,655)	3,703,070	3,255,592	447,478	Lower than anticipated cost of gasoline
	DE	10,881	126,598	(115,717)	318,903	344,122	(25,219)	Increased spending of radio and communication and miscellaneous contractual services
	DF	193,590	2,907	190,683	1,078,901	662,870	416,031	Lower than anticipated cost of utilities
<b>EXP Total</b>		<b>26,573,352</b>	<b>22,675,778</b>	<b>3,897,574</b>	<b>286,829,046</b>	<b>263,791,863</b>	<b>23,037,183</b>	
REV	BC	349,343	399,750	50,407	3,015,692	2,979,120	(36,572)	Fewer alarm permit sales
	BD	80,728	143,300	62,572	684,133	1,062,625	378,492	Increased volume of alarm permit fines
	BE	2,111	349	(1,762)	11,177	2,915	(8,262)	
	BF	0	4,911	4,911	0	308,825	308,825	Recovery of prior year appropriation
	BH	199,717	80,087	(119,630)	1,591,234	1,534,639	(56,595)	
	BO	0	0	0	16,758,062	16,758,062	0	Due to LIPA PILOT's distributed by fund
	TL	0	0	0	374,661,129	374,663,217	2,088	
<b>REV Total</b>		<b>631,899</b>	<b>628,397</b>	<b>(3,502)</b>	<b>396,721,427</b>	<b>397,309,403</b>	<b>587,976</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## POLICE HEADQUARTER FUND

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	233,587,041	170,070,081	234,018,415	(431,374)
	AB - FRINGE BENEFITS	136,103,077	113,987,612	136,086,963	16,114
	AC - WORKERS COMPENSATION	4,840,350	3,163,831	5,200,126	(359,776)
	BB - EQUIPMENT	450,000	138,426	388,521	61,479
	DD - GENERAL EXPENSES	3,600,000	2,530,424	3,181,269	418,731
	DE - CONTRACTUAL SERVICES	11,500,000	10,818,619	11,886,491	(386,491)
	DF - UTILITY COSTS	3,300,000	1,380,176	3,100,000	200,000
	HD - DEBT SERVICE CHARGEBACKS	17,567,170	0	17,567,170	0
	HF - INTER-DEPARTMENTAL CHARGES	22,911,939	18,288,040	22,911,939	0
	HH - INTERFUND CHARGES	16,985	0	16,985	0
<b>EXP Total</b>		<b>433,876,562</b>	<b>320,377,209</b>	<b>434,357,879</b>	<b>(481,317)</b>
REV	BC - PERMITS & LICENSES	500,000	742,401	742,401	242,401
	BE - INVEST INCOME	17,300	5,641	17,300	0
	BF - RENTS & RECOVERIES	66,140	565,702	565,702	499,562
	BH - DEPT REVENUES	25,102,500	13,285,314	25,150,191	47,691
	BJ - INTERDEPT REVENUES	11,706,004	140,992	11,706,004	0
	BO - PAYMENT IN LIEU OF TAXES	0	8,937,883	8,937,883	8,937,883
	BW - INTERFUND REVENUE	7,300	99,057	106,357	99,057
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	1,064,600	393,977	1,064,600	0
	IF - INTERFUND TRANSFERS	4,499,997	0	4,499,997	0
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	589,000	255,065	589,000	0
	TL - PROPERTY TAX	366,170,221	357,227,805	357,232,338	(8,937,883)
	TX - SPECIAL TAXES	24,153,500	15,769,029	24,153,500	0
<b>REV Total</b>		<b>433,876,562</b>	<b>397,422,867</b>	<b>434,765,273</b>	<b>888,711</b>
<b>Projected Surplus / (Deficit)</b>				<b>407,394</b>	

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	8,422,097	16,694,489	(8,272,392)	173,649,869	170,070,081	3,579,787	Attrition rate is lower than expected
	AB	6,902,421	6,809,189	93,232	113,913,996	113,987,612	(73,616)	
	AC	448,691	259,301	189,390	3,311,064	3,163,831	147,233	
	BB	30,594	19,311	11,283	232,171	138,426	93,745	Lower than anticipated spending for medical/dental and IT equipment
	DD	309,272	247,144	62,128	2,834,017	2,530,424	303,593	Lower than expected gasoline prices
	DE	1,324,155	113,728	1,210,427	7,806,581	10,818,619	(3,012,038)	Contract encumbrance for radio and communication and miscellaneous contractual expenses
	DF	177,693	63,394	114,299	2,204,913	1,380,176	824,737	Lower than expected Telephone utility
<b>EXP Total</b>		<b>17,614,923</b>	<b>24,206,556</b>	<b>(6,591,633)</b>	<b>303,952,611</b>	<b>302,089,169</b>	<b>1,863,441</b>	
REV	BC	31,410	88,025	56,615	356,301	742,401	386,100	Greater than expected issuance of pistol permits
	BE	1,223	532	(691)	9,313	5,641	(3,672)	
	BF	323	0	(323)	21,920	565,702	543,782	Recovery from prior year centralized in BU
	BH	1,312,526	1,276,079	(36,447)	15,245,134	13,285,314	(1,959,820)	Delay in posting of Ambulance Fees
	BO	0	0	0	8,937,883	8,937,883	0	
	BW	0	0	0	0	99,057	99,057	
	FA	34,069	2,059	(32,010)	564,584	393,977	(170,607)	
	SA	3,523	0	(3,523)	93,392	255,065	161,673	
	TL	0	0	0	357,135,595	357,227,805	92,210	
	TX	1,857,114	1,641,722	(215,392)	15,564,665	15,769,029	204,364	Increased number of Motor Vehicle Registrations
<b>REV Total</b>		<b>3,240,188</b>	<b>3,008,417</b>	<b>(231,771)</b>	<b>397,928,787</b>	<b>397,281,875</b>	<b>(646,912)</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## SEWER AND STORM WATER RESOURCE DISTRICT FUND

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	9,908,769	7,520,940	8,755,672	1,153,097
	AB - FRINGE BENEFITS	8,869,655	7,136,508	8,481,430	388,225
	BB - EQUIPMENT	36,761	0	36,761	0
	DD - GENERAL EXPENSES	767,741	109,994	767,741	0
	DE - CONTRACTUAL SERVICES	61,188,000	59,454,129	62,364,401	(1,176,401)
	DF - UTILITY COSTS	7,550,000	3,651,152	7,700,000	(150,000)
	FF - INTEREST	6,332,938	2,386,280	6,332,938	0
	GG - PRINCIPAL	10,363,806	8,066,755	10,363,806	0
	HH - INTERFUND CHARGES	29,900,624	7,288,856	30,248,339	(347,715)
	OO - OTHER EXPENSES	538,500	110,460	538,500	0
<b>EXP Total</b>		<b>135,456,794</b>	<b>95,725,073</b>	<b>135,589,588</b>	<b>(132,794)</b>
REV	AA - OPENING FUND BALANCE	1,708,168	44,419,148	19,041,450	17,333,282
	BC - PERMITS & LICENSES	1,150,000	1,004,884	1,440,923	290,923
	BE - INVEST INCOME	32,000	123,802	123,801	91,801
	BF - RENTS & RECOVERIES	10,725,000	4,191,445	10,795,000	70,000
	BH - DEPT REVENUES	14,277,000	554,733	2,320,000	(11,957,000)
	BQ - CAPITAL RESOURCES FOR DEBT	300,000	0	300,000	0
	BR - DUE FROM OTHER GOVTS	1,500,000	(49,017)	(4,442,647)	(5,942,647)
	BW - INTERFUND REVENUE	1,654,476	0	1,654,476	0
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	0	246,435	246,435	246,435
	IF - INTERFUND TRANSFERS	104,110,150	105,171,621	104,110,150	0
<b>REV Total</b>		<b>135,456,794</b>	<b>155,663,051</b>	<b>135,589,588</b>	<b>132,794</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	655,835	700,805	(44,970)	8,095,690	7,520,940	574,751	Actual Capital chargebacks were higher than anticipated & Employees classified under the wrong Fund have not been corrected yet
	AB	594,099	542,110	51,989	7,293,305	7,136,508	156,797	
	BB	3,267	0	3,267	34,134	0	34,134	
	DD	80,314	0	80,314	684,048	109,994	574,054	
	DE	30,561	188,075	(157,514)	60,585,759	59,454,129	1,131,630	
	DF	508,337	65,116	443,221	6,030,069	3,651,152	2,378,917	
	FF	0	87,795	(87,795)	2,671,587	2,386,280	285,307	
	GG	0	1,486,000	(1,486,000)	2,768,077	8,066,755	(5,298,678)	
	HH	0	0	0	2,207,826	7,288,856	(5,081,030)	
	OO	0	0	0	403,875	110,460	293,415	
<b>EXP Total</b>		<b>1,872,413</b>	<b>3,069,901</b>	<b>(1,197,488)</b>	<b>90,774,370</b>	<b>95,725,073</b>	<b>(4,950,703)</b>	
REV	BC	140,430	119,827	(20,603)	870,665	1,004,884	134,219	Lower Recoveries than anticipated Revenues for exempt properties are not expected according to plan due to TRO
	BE	2,926	18,155	15,229	19,399	123,802	104,403	
	BF	865,521	725,576	(139,945)	6,857,692	4,191,445	(2,666,247)	
	BH	1,026,986	18,342	(1,008,644)	10,794,659	554,733	(10,239,926)	
	BR	0	0	0	812,851	(49,017)	(861,868)	
	FA	0	0	0	0	246,435	246,435	
	IF	32,389,026	57,800,000	25,410,974	60,918,611	105,171,621	44,253,010	
<b>REV Total</b>		<b>34,424,889</b>	<b>58,681,900</b>	<b>24,257,011</b>	<b>80,273,877</b>	<b>111,243,903</b>	<b>30,970,026</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## AC - DEPARTMENT OF INVESTIGATIONS

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	110,000	55,172	104,483	5,517
	DD - GENERAL EXPENSES	500	500	500	-
	DE - CONTRACTUAL SERVICES	5,000	-	5,000	-
<b>EXP Total</b>		<b>115,500</b>	<b>55,672</b>	<b>109,983</b>	<b>5,517</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	0	16,667	(16,667)	0	55,172	(55,172)	New employee started in August
	DD	0	0	0	500	500	0	
<b>EXP Total</b>		<b>0</b>	<b>16,667</b>	<b>(16,667)</b>	<b>500</b>	<b>55,672</b>	<b>(55,172)</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## AR - ASSESSMENT REVIEW COMMISSION

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	2,282,784	1,702,391	2,289,953	(7,169)
	DD - GENERAL EXPENSES	35,033	29,845	33,953	1,080
	DE - CONTRACTUAL SERVICES	12,500	12,500	12,500	0
<b>EXP Total</b>		<b>2,330,317</b>	<b>1,744,736</b>	<b>2,336,406</b>	<b>(6,089)</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	173,740	175,420	(1,680)	1,718,200	1,702,391	15,809	Full Time employee vacancies offset by Part Time Staples account partially disencumbered offset by Miscellaneous Supplies
	DD	2,263	1,777	486	31,363	29,845	1,518	
	DE	0	0	0	12,500	12,500	0	
<b>EXP Total</b>		<b>176,003</b>	<b>177,197</b>	<b>(1,194)</b>	<b>1,762,063</b>	<b>1,744,736</b>	<b>17,327</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## AS - ASSESSMENT DEPARTMENT

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	9,274,397	6,569,636	8,880,196	394,201
	DD - GENERAL EXPENSES	604,444	294,166	597,444	7,000
	DE - CONTRACTUAL SERVICES	24,000	17,382	17,400	6,600
<b>EXP Total</b>		<b>9,902,841</b>	<b>6,881,185</b>	<b>9,495,040</b>	<b>407,801</b>
REV	BF - RENTS & RECOVERIES	0	29,987	29,987	29,987
	BH - DEPT REVENUES	27,565,000	18,842,334	27,565,000	0
<b>REV Total</b>		<b>27,565,000</b>	<b>18,872,321</b>	<b>27,594,987</b>	<b>29,987</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	734,046	6,794	727,252	7,229,480	6,569,636	659,843	Due to Full-time vacancies
	DD	403	10,600	(10,197)	237,763	294,166	(56,403)	Expenses incorrectly budgeted in DE
	DE	48,000	0	48,000	534,000	17,382	516,618	Plan should have been against the General Expenses object code not Contractual expenses
<b>EXP Total</b>		<b>782,449</b>	<b>17,394</b>	<b>765,055</b>	<b>8,001,243</b>	<b>6,881,185</b>	<b>1,120,058</b>	
REV	BF	0	0	0	0	29,987	29,987	
REV	BH	2,638,312	5,399,529	2,761,217	20,539,281	18,842,334	(1,696,947)	Current obligation are more evenly posted compared to Plan which includes peak summer month.
<b>REV Total</b>		<b>2,638,312</b>	<b>5,399,529</b>	<b>2,761,217</b>	<b>20,539,281</b>	<b>18,872,321</b>	<b>(1,666,960)</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## AT - COUNTY ATTORNEY

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	7,801,211	5,658,888	7,663,408	137,803
	BB - EQUIPMENT	15,000	300	13,530	1,470
	DD - GENERAL EXPENSES	627,000	428,357	605,302	21,698
	DE - CONTRACTUAL SERVICES	5,350,000	4,665,569	4,850,000	500,000
<b>EXP Total</b>		<b>13,793,211</b>	<b>10,753,114</b>	<b>13,132,240</b>	<b>660,971</b>
REV	BD - FINES & FORFEITS	500,000	428,309	500,000	0
	BF - RENTS & RECOVERIES	1,030,000	356,254	1,030,000	0
	BH - DEPT REVENUES	16,000,000	127,279	16,000,000	0
	BJ - INTERDEPT REVENUES	590,658	0	590,658	0
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	250,000	0	250,000	0
<b>REV Total</b>		<b>18,370,658</b>	<b>911,843</b>	<b>18,370,658</b>	<b>0</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	591,581	564,224	27,357	5,974,189	5,658,888	315,301	Approved FT & PT hires not yet on board
	BB	0	0	0	1,190	300	890	Expected Savings
	DD	15,728	47,640	(31,912)	533,036	428,357	104,679	Pending Investigative Expenses and Claims
	DE	(107,164)	60,125	(167,289)	2,768,348	4,665,569	(1,897,221)	Encumbrance of Outside Counsel Contracts
<b>EXP Total</b>		<b>500,145</b>	<b>671,989</b>	<b>(171,845)</b>	<b>9,276,763</b>	<b>10,753,114</b>	<b>(1,476,351)</b>	
REV	BD	46,244	31,660	(14,584)	368,414	428,309	59,895	
	BF	27,826	(409,286)	(437,112)	822,259	356,254	(466,005)	No damages assessed in litigation passed through to this point
	BH	9,722	13,893	4,171	175,787	127,279	(48,508)	Sporadic collection of receipts
	FA	0	0	0	240,500	0	(240,500)	Reimbursement at Year-End
<b>REV Total</b>		<b>83,792</b>	<b>(363,734)</b>	<b>(447,526)</b>	<b>1,606,960</b>	<b>911,843</b>	<b>(695,117)</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## BU - OFFICE OF MANAGEMENT AND BUDGET

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	(3,945,560)	1,341,735	3,408,987	(7,354,547)
	AB - FRINGE BENEFITS	25,272,304	4,717,497	24,094,206	1,178,098
	AC - WORKERS COMPENSATION	8,610,155	5,166,945	7,920,479	689,676
	BB - EQUIPMENT	5,000	0	0	5,000
	DD - GENERAL EXPENSES	114,105	71,965	74,685	39,420
	DE - CONTRACTUAL SERVICES	2,765,927	1,451,568	2,080,291	685,636
	GA - LOCAL GOVT ASST PROGRAM	66,997,479	1,605,856	67,459,412	(461,933)
	HD - DEBT SERVICE CHARGEBACKS	286,178,306	0	284,224,658	1,953,648
	HF - INTER-DEPARTMENTAL CHARGES	3,580,566	121,378	3,580,566	0
	HH - INTERFUND CHARGES	25,712,807	21,426,728	25,712,807	0
	JA - CONTINGENCIES RESERVE	0	(2,998,265)	0	0
	L3 - TRANS TO LITIGATION FUND	20,000,000	20,000,000	20,000,000	0
	LH - TRANS TO PDH SUITS & DAMAGES	4,499,997	0	4,499,997	0
	NA - NCIFA EXPENDITURES	1,950,000	0	1,950,000	0
	OO - OTHER EXPENSES	35,170,876	14,160,113	35,144,928	25,948
<b>EXP Total</b>		<b>476,911,962</b>	<b>67,065,518</b>	<b>480,151,016</b>	<b>(3,239,054)</b>
REV	AA - OPENING FUND BALANCE	3,000,000	141,298,382	3,000,000	0
	BD - FINES & FORFEITS	1,215,000	461,778	865,000	(350,000)
	BF - RENTS & RECOVERIES	41,020,224	3,319,391	22,112,809	(18,907,415)
	BG - REVENUE OFFSET TO EXPENSE	12,800,000	5,110,312	12,800,000	0
	BH - DEPT REVENUES	620,000	0	620,000	0
	BJ - INTERDEPT REVENUES	48,350,532	49,328,521	48,350,532	0
	BO - PAYMENT IN LIEU OF TAXES	50,777,307	14,433,995	14,433,575	(36,343,732)
	BS - OTB PROFITS	15,000,000	0	3,000,000	(12,000,000)
	BW - INTERFUND REVENUE	37,342,198	9,218,455	37,342,198	0
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	92,400	0	92,400	0
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	1,732,200	175,750	242,200	(1,490,000)
	TA - SALES TAX COUNTYWIDE	1,031,778,623	517,638,475	1,039,631,424	7,852,801
	TB - SALES TAX PART COUNTY	81,809,065	46,131,482	81,809,065	0
	TL - PROPERTY TAX	30,502,492	68,608,000	68,607,999	38,105,507
	TO - OTB 5% TAX	2,385,331	1,510,588	2,385,331	0
<b>REV Total</b>		<b>1,358,425,372</b>	<b>857,235,129</b>	<b>1,335,292,533</b>	<b>(23,132,839)</b>

EXP/REV	CC	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	10	AA	219,267	198,765	20,502	1,976,751	1,341,735	635,016	Projecting surplus
		AB	1,092,291	679,097	413,194	4,801,598	4,717,497	84,101	
		AC	472,167	483,899	(11,732)	4,006,994	5,166,945	(1,159,951)	Workers Compensation expenses incurred large medical expenses in the first two quarters of the year
		BB	0	0	0	2,500	0	2,500	Projecting surplus
		DD	903	0	903	118,281	71,965	46,316	Projecting surplus
		DE	63,425	42,000	21,425	2,317,700	1,451,568	866,132	Projecting surplus
		GA	8,074,756	0	8,074,756	13,199,464	1,605,856	11,593,608	Delay in local government assistance payments
		HF	526,471	0	526,471	2,476,186	121,378	2,354,808	
		HH	1,155,309	723,264	432,045	21,735,846	21,426,728	309,118	
		JA	0	0	0	0	(2,998,265)	2,998,265	No plan, no budget for this item
		L3	0	0	0	0	20,000,000	(20,000,000)	No plan, no budget for this item
		OO	702,754	933,566	(230,812)	13,478,565	14,160,113	(681,548)	
<b>10 Total</b>			<b>12,307,343</b>	<b>3,060,590</b>	<b>9,246,753</b>	<b>64,113,885</b>	<b>67,065,518</b>	<b>(2,951,634)</b>	
<b>EXP Total</b>			<b>12,307,343</b>	<b>3,060,590</b>	<b>9,246,753</b>	<b>64,113,885</b>	<b>67,065,518</b>	<b>(2,951,634)</b>	
REV	10	AA	0	0	0	0	141,298,382	141,298,382	No plan, no budget for this item
		BD	49,395	44,460	(4,935)	763,511	461,778	(301,733)	Projecting deficit
		BF	178,506	30,340	(148,166)	35,986,757	3,319,391	(32,667,366)	County is working with Legal Counsel to finalize documentation required to process transfer from Tobacco Settlement Fund anticipated at year end
		BG	0	0	0	2,791,340	5,110,312	2,318,972	Revenue received earlier than anticipated
		BH	0	0	0	620,000	0	(620,000)	Payment received in October
		BJ	0	0	0	48,318,971	49,328,521	1,009,550	
		BO	0	840,298	840,298	14,157,686	14,433,995	276,309	
		BW	1,846,585	0	(1,846,585)	22,969,700	9,218,455	(13,751,245)	Delay in receiving
		FA	0	0	0	73,368	0	(73,368)	Delay in receiving
		SA	20,654	0	(20,654)	957,112	175,750	(781,362)	Projecting deficit
		TA	67,343,995	63,023,282	(4,320,713)	510,424,721	517,638,475	7,213,754	Sales Tax trending higher than anticipated
		TB	6,793,756	6,583,379	(210,377)	46,779,689	46,131,482	(648,207)	
		TL	0	165,121	165,121	66,745,688	68,608,000	1,862,312	Accelerated collection
		TO	209,344	234,040	24,696	1,472,239	1,510,588	38,349	
<b>10 Total</b>			<b>76,442,235</b>	<b>70,920,921</b>	<b>(5,521,314)</b>	<b>752,060,782</b>	<b>857,235,129</b>	<b>105,174,347</b>	
<b>REV Total</b>			<b>76,442,235</b>	<b>70,920,921</b>	<b>(5,521,314)</b>	<b>752,060,782</b>	<b>857,235,129</b>	<b>105,174,347</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## CA - OFFICE OF CONSUMER AFFAIRS

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	1,744,752	1,283,932	1,744,749	3
	BB - EQUIPMENT	2,200	1,006	2,000	200
	DD - GENERAL EXPENSES	13,394	9,483	12,694	700
<b>EXP Total</b>		<b>1,760,346</b>	<b>1,294,421</b>	<b>1,759,443</b>	<b>903</b>
REV	BC - PERMITS & LICENSES	3,565,000	2,916,865	3,565,000	0
	BD - FINES & FORFEITS	300,505	562,305	562,305	261,800
	BH - DEPT REVENUES	200	134	200	0
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	45,000	18,807	45,000	0
<b>REV Total</b>		<b>3,910,705</b>	<b>3,498,111</b>	<b>4,172,505</b>	<b>261,800</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	129,838	129,889	(51)	1,318,535	1,283,932	34,603	Running low on overtime
	BB	0	0	0	0	1,006	(1,006)	
	DD	(799)	0	(799)	11,814	9,483	2,331	Expected savings
<b>EXP Total</b>		<b>129,039</b>	<b>129,889</b>	<b>(850)</b>	<b>1,330,349</b>	<b>1,294,421</b>	<b>35,928</b>	
REV	BC	227,905	323,115	95,210	2,738,930	2,916,865	177,935	Revenue Collection for Weights & Measures and Home Improvement License is trending higher than the plan
	BD	16,590	63,475	46,885	237,256	562,305	325,049	Revenue Collection for fines is trending higher than the plan
	BH	3	16	13	127	134	7	
	SA	7,007	7,228	221	16,166	18,807	2,641	
<b>REV Total</b>		<b>251,505</b>	<b>393,834</b>	<b>142,329</b>	<b>2,992,479</b>	<b>3,498,111</b>	<b>505,632</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## CC - NC SHERIFF/CORRECTIONAL CENTER

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	115,957,772	80,365,424	112,375,812	3,581,960
	AC - WORKERS COMPENSATION	8,275,342	5,754,748	8,775,342	(500,000)
	BB - EQUIPMENT	33,235	18,849	30,192	3,043
	DD - GENERAL EXPENSES	3,153,430	2,777,050	3,423,430	(270,000)
	DE - CONTRACTUAL SERVICES	17,029,617	16,323,487	17,029,617	0
	DF - UTILITY COSTS	2,095,000	1,616,408	1,635,000	460,000
<b>EXP Total</b>		<b>146,544,396</b>	<b>106,855,965</b>	<b>143,269,393</b>	<b>3,275,003</b>
REV	BD - FINES & FORFEITS	13,000	5,410	13,000	0
	BF - RENTS & RECOVERIES	0	13,092	13,092	13,092
	BG - REVENUE OFFSET TO EXPENSE	300,000	75,000	300,000	0
	BH - DEPT REVENUES	2,250,000	1,476,050	2,000,000	(250,000)
	BJ - INTERDEPT REVENUES	150,000	29,134	150,000	0
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	4,484,430	1,291,950	4,153,275	(331,155)
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	55,000	32,589	55,000	0
<b>REV Total</b>		<b>7,252,430</b>	<b>2,923,226</b>	<b>6,684,367</b>	<b>(568,063)</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	8,785,664	8,242,428	543,236	85,374,987	80,365,424	5,009,564	Delay in hiring and vacancies offset by Overtime
	AC	678,171	611,519	66,652	5,545,164	5,754,748	(209,584)	Plan accrual exceeded actual posted by Comptrollers and SLUs are outpacing last year
	BB	3,547	0	3,547	32,206	18,849	13,357	
	DD	256,701	193,500	63,201	2,505,134	2,777,050	(271,916)	Building supplies and maintenance running high due to unexpected repairs.
	DE	43,808	43,508	300	12,632,036	16,323,487	(3,691,451)	Fully encumbered Armor contract
	DF	345,381	8	345,374	729,271	1,616,408	(887,137)	No accrual reversal in 2015 and expected savings
<b>EXP Total</b>		<b>10,113,272</b>	<b>9,090,962</b>	<b>1,022,309</b>	<b>106,818,798</b>	<b>106,855,965</b>	<b>(37,167)</b>	
REV	BD	428	0	(428)	11,044	5,410	(5,634)	
	BF	0	13,092	13,092	0	13,092	13,092	
	BG	0	0	0	153,846	75,000	(78,846)	
	BH	173,894	205,801	31,907	1,617,300	1,476,050	(141,250)	Low landlord and tenant fees
	FA	171,943	189,915	17,972	1,699,271	1,291,950	(407,321)	Lower than anticipated Federal inmates
	SA	11,652	0	(11,652)	8,495	32,589	24,094	
<b>REV Total</b>	<b>SA</b>	<b>357,917</b>	<b>408,808</b>	<b>50,891</b>	<b>3,489,956</b>	<b>2,894,092</b>	<b>(595,864)</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## CE - COUNTY EXECUTIVE

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	1,908,129	1,420,454	1,908,129	(0)
	DD - GENERAL EXPENSES	80,000	62,259	80,000	0
	DE - CONTRACTUAL SERVICES	225,000	225,000	225,000	0
<b>EXP Total</b>		<b>2,213,129</b>	<b>1,707,713</b>	<b>2,213,129</b>	<b>(0)</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	146,645	150,832	(4,187)	1,432,561	1,420,454	12,107	
	DD	3,061	7,747	(4,686)	88,134	62,259	25,875	
	DE	0	0	0	190,385	225,000	(34,615)	Encumbered sooner than planned
<b>EXP Total</b>		<b>149,706</b>	<b>158,579</b>	<b>(8,872)</b>	<b>1,711,080</b>	<b>1,707,713</b>	<b>3,367</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## CF - OFFICE OF CONSTITUENT AFFAIRS

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	2,104,319	1,504,171	2,057,964	46,355
	DD - GENERAL EXPENSES	1,540,495	1,061,090	1,540,495	0
<b>EXP Total</b>		<b>3,644,814</b>	<b>2,565,261</b>	<b>3,598,459</b>	<b>46,355</b>
REV	BJ - INTERDEPT REVENUES	642,970	(3,605)	642,970	0
<b>REV Total</b>		<b>642,970</b>	<b>(3,605)</b>	<b>642,970</b>	<b>0</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	152,201	151,268	933	1,535,870	1,504,171	31,699	Waiting for Comptroller to approve and pay new purchase orders for copy machines
	DD	5,237	46,726	(41,489)	1,325,354	1,061,090	264,264	
<b>EXP Total</b>		<b>157,438</b>	<b>197,994</b>	<b>(40,556)</b>	<b>2,861,224</b>	<b>2,565,261</b>	<b>295,963</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## CL - COUNTY CLERK

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	5,672,791	3,554,879	4,950,305	722,486
	BB - EQUIPMENT	50,000	8,755	50,000	0
	DD - GENERAL EXPENSES	305,000	117,919	305,000	0
	DE - CONTRACTUAL SERVICES	505,000	355,499	505,000	0
<b>EXP Total</b>		<b>6,532,791</b>	<b>4,037,052</b>	<b>5,810,305</b>	<b>722,486</b>
REV	BD - FINES & FORFEITS	60,000	30,956	60,000	0
	BF - RENTS & RECOVERIES	0	138,596	138,597	138,597
	BH - DEPT REVENUES	50,030,000	36,077,230	54,584,032	4,554,032
<b>REV Total</b>		<b>50,090,000</b>	<b>36,246,782</b>	<b>54,782,629</b>	<b>4,692,629</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	445,659	370,789	74,870	4,265,707	3,554,879	710,827	Vacancies in Full time and Part Time. Lower expenses on Seasonal and Overtime than planned. Savings expected
	BB	0	0	0	26,070	8,755	17,315	Delay in spending
	DD	32,813	17,119	15,694	180,073	117,919	62,154	Mainly due to delay in Postage spending
	DE	(2,415)	0	(2,415)	287,354	355,499	(68,145)	Online Registration system contract fully encumbered
<b>EXP Total</b>		<b>476,057</b>	<b>387,908</b>	<b>88,149</b>	<b>4,759,204</b>	<b>4,037,052</b>	<b>722,152</b>	
REV	BD	14,017	6,310	(7,707)	52,175	30,956	(21,219)	
	BF	0	0	0	0	138,596	138,596	Contract disencumbrances
	BH	4,788,150	5,028,729	240,579	33,764,243	36,077,230	2,312,987	Deed recording and Miscellaneous fees performing better than expected offset by Mortgage recording fees and delay in the Online registration system start date
<b>REV Total</b>		<b>4,802,167</b>	<b>5,035,039</b>	<b>232,872</b>	<b>33,816,418</b>	<b>36,246,782</b>	<b>2,430,364</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## CO - COUNTY COMPTROLLER

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	7,337,854	4,735,824	6,445,353	892,501
	BB - EQUIPMENT	5,000	0	5,000	0
	DD - GENERAL EXPENSES	137,500	40,837	137,500	0
	DE - CONTRACTUAL SERVICES	796,300	505,332	796,300	0
<b>EXP Total</b>		<b>8,276,654</b>	<b>5,281,994</b>	<b>7,384,153</b>	<b>892,501</b>
REV	BF - RENTS & RECOVERIES	250,000	423,059	423,060	173,060
	BH - DEPT REVENUES	16,300	10,270	16,833	533
<b>REV Total</b>		<b>266,300</b>	<b>433,329</b>	<b>439,893</b>	<b>173,593</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	536,467	479,392	57,075	5,662,762	4,735,824	926,937	Timing-filling of vacant positions
	BB	5,000	0	5,000	5,000	0	5,000	
	DD	2,616	3,251	(635)	126,208	40,837	85,371	Timing- comptroller mailings
	DE	46,465	40,900	5,565	209,248	505,332	(296,084)	Timing of contract encumbrance
<b>EXP Total</b>		<b>590,548</b>	<b>523,543</b>	<b>67,005</b>	<b>6,003,218</b>	<b>5,281,994</b>	<b>721,224</b>	
REV	BF	0	422,030	422,030	1,192	423,059	421,867	Deposits are made when received
	BH	1,344	1,772	428	12,676	10,270	(2,406)	Decrease in COBRA enrollment
<b>REV Total</b>		<b>1,344</b>	<b>423,802</b>	<b>422,458</b>	<b>13,868</b>	<b>433,329</b>	<b>419,461</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## CS - CIVIL SERVICE

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	4,814,800	3,571,607	4,541,236	273,564
	DD - GENERAL EXPENSES	416,650	177,689	357,993	58,657
	DE - CONTRACTUAL SERVICES	86,966	0	78,966	8,000
<b>EXP Total</b>		<b>5,318,416</b>	<b>3,749,296</b>	<b>4,978,195</b>	<b>340,221</b>
REV	BF - RENTS & RECOVERIES	75,114	94,665	94,665	19,551
	BH - DEPT REVENUES	700,800	339,294	700,800	0
<b>REV Total</b>		<b>775,914</b>	<b>433,958</b>	<b>795,465</b>	<b>19,551</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	335,209	363,151	(27,942)	3,356,732	3,571,607	(214,874)	Not achieving Capital Chargebacks
	DD	25,500	(17,455)	42,955	299,058	177,689	121,369	NYS portion of exam fees has not been posted yet
	DE	0	0	0	86,966	0	86,966	Expected savings
<b>EXP Total</b>		<b>360,709</b>	<b>345,696</b>	<b>15,013</b>	<b>3,742,756</b>	<b>3,749,296</b>	<b>(6,539)</b>	
REV	BF	18,778	0	(18,778)	56,334	94,665	38,331	NHCC employee reimbursement includes amounts from 2015
	BH	10,000	37,708	27,708	194,600	339,294	144,694	More exams than anticipated
<b>REV Total</b>		<b>28,778</b>	<b>37,708</b>	<b>8,930</b>	<b>250,934</b>	<b>433,958</b>	<b>183,024</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## CT - COURTS

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AB - FRINGE BENEFITS	1,321,305	823,793	1,255,692	65,613
<b>EXP Total</b>		<b>1,321,305</b>	<b>823,793</b>	<b>1,255,692</b>	<b>65,613</b>
REV	SA - STATE AID - REIMBURSEMENT OF EXPENSES	1,294,879	699,554	1,143,734	(151,145)
<b>REV Total</b>		<b>1,294,879</b>	<b>699,554</b>	<b>1,143,734</b>	<b>(151,145)</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AB	84,765	77,767	6,998	930,649	823,793	106,856	
<b>EXP Total</b>		<b>84,765</b>	<b>77,767</b>	<b>6,998</b>	<b>930,649</b>	<b>823,793</b>	<b>106,856</b>	
REV	SA	0	458,355	458,355	578,144	699,554	121,410	State Aid reimbursement trending timely
<b>REV Total</b>		<b>0</b>	<b>458,355</b>	<b>458,355</b>	<b>578,144</b>	<b>699,554</b>	<b>121,410</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## DA - DISTRICT ATTORNEY

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	33,647,745	24,716,115	32,977,294	670,451
	BB - EQUIPMENT	75,500	62,126	75,500	0
	DD - GENERAL EXPENSES	1,023,000	614,872	1,023,000	0
	DE - CONTRACTUAL SERVICES	1,426,974	791,248	1,426,974	0
<b>EXP Total</b>		<b>36,173,219</b>	<b>26,184,362</b>	<b>35,502,768</b>	<b>670,451</b>
REV	BF - RENTS & RECOVERIES	0	6,955	6,955	6,955
	BH - DEPT REVENUES	5,000	861	5,000	0
	BJ - INTERDEPT REVENUES	270,033	0	270,033	0
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	32,500	17,548	32,500	0
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	69,100	76,793	76,793	7,693
	BW - INTERFUND REVENUE	250,000	0	250,000	0
<b>REV Total</b>		<b>626,633</b>	<b>102,157</b>	<b>641,281</b>	<b>14,648</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	2,541,554	2,610,455	(68,902)	25,487,764	24,716,115	771,649	Higher than anticipated attrition
	BB	20,498	0	20,498	48,730	62,126	(13,396)	Earlier than anticipated spending
	DD	79,838	61,051	18,787	707,965	614,872	93,093	Delay in spending of Misc. Supplies
	DE	27,338	18,506	8,832	843,613	791,248	52,365	Fewer Expert Witness Testimonies than anticipated
<b>EXP Total</b>		<b>2,669,228</b>	<b>2,690,012</b>	<b>(20,784)</b>	<b>27,088,072</b>	<b>26,184,362</b>	<b>903,711</b>	
REV	BF	0	159	159	0	6,955	6,955	
	BH	600	456	(144)	3,315	861	(2,455)	
	FA	4,125	0	(4,125)	16,250	17,548	1,298	
	SA	69,100	76,793	7,693	69,100	76,793	7,693	
<b>REV Total</b>		<b>73,825</b>	<b>77,408</b>	<b>3,583</b>	<b>88,665</b>	<b>102,157</b>	<b>13,492</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## EL - BOARD OF ELECTIONS

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	15,082,463	10,235,123	15,082,463	0
	BB - EQUIPMENT	125,000	45,079	125,000	0
	DD - GENERAL EXPENSES	3,208,200	709,813	3,208,200	0
	DE - CONTRACTUAL SERVICES	570,000	316,849	570,000	0
<b>EXP Total</b>		<b>18,985,663</b>	<b>11,306,864</b>	<b>18,985,663</b>	<b>0</b>
REV	BF - RENTS & RECOVERIES	120,000	127,050	120,000	0
	BH - DEPT REVENUES	70,000	27,891	70,000	0
<b>REV Total</b>		<b>190,000</b>	<b>154,941</b>	<b>190,000</b>	<b>0</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	1,759,182	863,650	895,532	10,837,795	10,235,123	602,671	Seasonal costs are low due to delay in payment for primary election
	BB	12,183	(691)	12,874	71,592	45,079	26,513	
	DD	238,167	114,126	124,041	1,266,077	709,813	556,264	Delay in spending
	DE	0	2,257	(2,257)	556,459	316,849	239,611	Delay in encumbrances
<b>EXP Total</b>		<b>2,009,532</b>	<b>979,341</b>	<b>1,030,191</b>	<b>12,731,923</b>	<b>11,306,864</b>	<b>1,425,059</b>	
REV	BF	1,251	6,750	5,499	109,275	127,050	17,775	
	BH	2,631	593	(2,038)	60,938	27,891	(33,047)	
<b>REV Total</b>		<b>3,882</b>	<b>7,343</b>	<b>3,461</b>	<b>170,213</b>	<b>154,941</b>	<b>(15,272)</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## EM - EMERGENCY MANAGEMENT

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	831,941	737,823	780,717	51,224
	DD - GENERAL EXPENSES	5,000	1,000	4,300	700
<b>EXP Total</b>		<b>836,941</b>	<b>738,823</b>	<b>785,017</b>	<b>51,924</b>
REV	BF - RENTS & RECOVERIES	0	735	735	735
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	510,522	0	510,522	0
<b>REV Total</b>		<b>510,522</b>	<b>735</b>	<b>511,257</b>	<b>735</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	94,483	75,505	18,978	844,199	737,823	106,375	Surplus due to vacancies
	DD	0	0	0	2,000	1,000	1,000	Expected savings
<b>EXP Total</b>		<b>94,483</b>	<b>75,505</b>	<b>18,978</b>	<b>846,199</b>	<b>738,823</b>	<b>107,375</b>	
REV	BF	0	0	0	0	735	735	Prior period disencumbrances
	FA	0	0	0	(171,858)	0	171,858	Plan is predicated on reversal of prior year accrual
<b>REV Total</b>	<b>FA</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(171,858)</b>	<b>735</b>	<b>172,593</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## FB - FRINGE BENEFIT

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AB - FRINGE BENEFITS	223,131,714	178,002,009	218,644,615	4,487,099
<b>EXP Total</b>		<b>223,131,714</b>	<b>178,002,009</b>	<b>218,644,615</b>	<b>4,487,099</b>
REV	BF - RENTS & RECOVERIES	0	15,372	15,372	15,372
<b>REV Total</b>		<b>0</b>	<b>15,372</b>	<b>15,372</b>	<b>15,372</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AB	13,165,411	12,900,926	264,485	181,275,825	178,002,009	3,273,816	
<b>EXP Total</b>		<b>13,165,411</b>	<b>12,900,926</b>	<b>264,485</b>	<b>181,275,825</b>	<b>178,002,009</b>	<b>3,273,816</b>	
REV	BF	0	3	3	0	15,372	15,372	
<b>REV Total</b>		<b>0</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>15,372</b>	<b>15,372</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## HE - HEALTH DEPARTMENT

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	15,177,951	11,351,965	15,125,539	52,412
	BB - EQUIPMENT	36,000	11,231	31,500	4,500
	DD - GENERAL EXPENSES	949,997	445,647	654,637	295,360
	DE - CONTRACTUAL SERVICES	392,330	186,764	300,497	91,833
	DG - VAR DIRECT EXPENSES	5,000,000	0	5,000,000	0
	PP - EARLY INTERVENTION/SPECIAL EDUCATION	135,000,000	107,213,189	130,000,000	5,000,000
	HF - INTER-DEPARTMENTAL CHARGES	5,402,120	2,375,220	5,402,120	0
<b>EXP Total</b>		<b>161,958,398</b>	<b>121,584,015</b>	<b>156,514,293</b>	<b>5,444,105</b>
REV	BC - PERMITS & LICENSES	5,482,750	4,716,582	5,720,465	237,715
	BD - FINES & FORFEITS	250,000	186,392	250,000	0
	BF - RENTS & RECOVERIES	470,000	1,607,258	1,752,600	1,282,600
	BH - DEPT REVENUES	3,014,700	3,231,965	3,785,457	770,757
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	74,027,000	31,762,078	74,027,000	0
	BW - INTERFUND REVENUE	57,516	3,044	57,516	0
<b>REV Total</b>		<b>83,301,966</b>	<b>41,507,318</b>	<b>85,593,038</b>	<b>2,291,072</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	1,107,929	1,155,293	(47,363)	11,435,591	11,351,965	83,626	Timing difference due to delayed hiring
	BB	0	0	0	25,000	11,231	13,769	Timing difference and expected savings
	DD	86,965	22,601	64,364	682,279	445,647	236,632	Expected savings
	DE	25,030	52,764	(27,734)	219,530	186,764	32,766	Expected savings
	DG	0	0	0	5,000,000	0	5,000,000	Contract pending with NHCC
	PP	2,522,385	4,067,402	(1,545,017)	108,757,626	107,213,189	1,544,437	Expected savings due to lower caseloads
<b>EXP Total</b>		<b>3,742,309</b>	<b>5,298,060</b>	<b>(1,555,751)</b>	<b>126,120,026</b>	<b>119,208,795</b>	<b>6,911,231</b>	
REV	BC	446,776	518,590	71,814	4,118,897	4,716,582	597,685	Surplus due to large realty subdivision project
	BD	10,267	20,788	10,521	143,068	186,392	43,324	Performing better than plan
	BF	4,555	360,653	356,098	324,107	1,607,258	1,283,151	Prior year disencumbrances
	BH	157,952	454,789	296,837	1,502,909	3,231,965	1,729,056	Surplus resulting from prior period reconciliation with New York State
	BW	0	1,823	1,823	2,631	3,044	413	
	SA	2,896,214	0	(2,896,214)	60,750,839	31,762,078	(28,988,761)	Timing difference delay in reimbursement
<b>REV Total</b>		<b>3,515,764</b>	<b>1,356,642</b>	<b>(2,159,122)</b>	<b>66,842,451</b>	<b>41,507,318</b>	<b>(25,335,133)</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## HI - HOUSING & COMMUNITY DEVELOPMENT

EXP/REV	Object	Values			
		2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	972,076	604,548	972,076	0
<b>EXP Total</b>		<b>972,076</b>	<b>604,548</b>	<b>972,076</b>	<b>0</b>
REV	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	370,750	67,899	370,750	0
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	111,225	34,999	111,225	0
<b>REV Total</b>		<b>481,975</b>	<b>102,898</b>	<b>481,975</b>	<b>0</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	75,427	58,402	17,025	725,580	604,548	121,032	OHCD currently has 2 vacancies
<b>EXP Total</b>		<b>75,427</b>	<b>58,402</b>	<b>17,025</b>	<b>725,580</b>	<b>604,548</b>	<b>121,032</b>	
REV	FA	0	66,449	66,449	101,114	67,899	(33,215)	Claims for 1st and 2nd Quarter reimbursement were submitted in September. Waiting for reimbursement
	SA	7,587	35,001	27,414	38,141	34,999	(3,142)	Claims for 1st and 2nd Quarter reimbursement were submitted in September. Waiting for reimbursement
<b>REV Total</b>		<b>7,587</b>	<b>101,450</b>	<b>93,863</b>	<b>139,255</b>	<b>102,898</b>	<b>(36,357)</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## HR - COMMISSION ON HUMAN RIGHTS

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	531,285	394,810	526,368	4,917
	DD - GENERAL EXPENSES	5,450	3,763	5,281	169
	DE - CONTRACTUAL SERVICES	10,000	0	9,000	1,000
<b>EXP Total</b>		<b>546,735</b>	<b>398,572</b>	<b>540,649</b>	<b>6,086</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	39,254	39,456	(202)	404,008	394,810	9,198	Part Time vacancy
	DD	0	0	0	9,233	3,763	5,470	Expected savings
	DE	0	0	0	10,000	0	10,000	Expected savings
<b>EXP Total</b>		<b>39,254</b>	<b>39,456</b>	<b>(202)</b>	<b>423,241</b>	<b>398,572</b>	<b>24,668</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## HS - DEPARTMENT OF HUMAN SERVICES

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	4,375,567	3,875,941	4,354,515	21,052
	BB - EQUIPMENT	23,962	2,283	13,962	10,000
	DD - GENERAL EXPENSES	1,049,864	727,571	949,864	100,000
	DE - CONTRACTUAL SERVICES	26,077,232	22,446,872	26,077,232	0
	HF - INTER-DEPARTMENTAL CHARGES	3,044,331	1,127,412	3,044,331	0
<b>EXP Total</b>		<b>34,570,956</b>	<b>28,180,080</b>	<b>34,439,904</b>	<b>131,052</b>
REV	BD - FINES & FORFEITS	40,000	10,671	40,000	0
	BF - RENTS & RECOVERIES	28,941	514,111	525,134	496,193
	BJ - INTERDEPT REVENUES	100,000	0	100,000	0
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	5,377,911	711,393	5,377,911	0
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	10,114,824	3,204,502	10,114,824	0
	BW - INTERFUND REVENUE	100,000	50,000	100,000	0
<b>REV Total</b>		<b>15,761,676</b>	<b>4,490,677</b>	<b>16,257,869</b>	<b>496,193</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	393,416	396,395	(2,979)	3,905,233	3,875,941	29,292	
	BB	0	0	0	17,973	2,283	15,690	Delay in spending, savings expected
	DD	84,058	97,850	(13,792)	636,459	727,571	(91,112)	Primarily due to higher Court Remands, which are mandated and vary by month, savings expected
	DE	638,397	67,490	570,907	24,698,692	22,446,872	2,251,820	Delay in encumbrance of contracts
<b>EXP Total</b>		<b>1,115,871</b>	<b>561,736</b>	<b>554,135</b>	<b>29,258,357</b>	<b>27,052,668</b>	<b>2,205,689</b>	
REV	BD	2,449	1,253	(1,197)	20,701	10,671	(10,030)	Lower collections from the Handicapped Parking Fine Surcharge
	BF	0	0	0	4,818	514,111	509,293	Current Obligation primarily reflects recoveries from prior years
	BW	7,576	0	(7,576)	41,558	50,000	8,442	
	FA	(240,875)	439,695	680,570	1,561,102	711,393	(849,709)	Department has not yet received SA and FA from NYS Office For The Aging funding sources. In order to receive reimbursement, the Annual Implementation Plan needs to be approved by NYS OFA. This is in the final approval stages and department has begun to submit vouchers for reimbursement of expenses
	SA	(782,349)	324,489	1,106,838	2,852,941	3,204,502	351,561	
<b>REV Total</b>		<b>(1,013,199)</b>	<b>765,437</b>	<b>1,778,636</b>	<b>4,481,120</b>	<b>4,490,677</b>	<b>9,557</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## IT - INFORMATION TECHNOLOGY

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	7,537,588	6,460,483	8,218,920	(681,332)
	DD - GENERAL EXPENSES	441,200	374,355	429,200	12,000
	DE - CONTRACTUAL SERVICES	10,192,315	7,097,038	10,192,315	0
	DF - UTILITY COSTS	3,956,210	2,426,445	3,856,210	100,000
<b>EXP Total</b>		<b>22,127,313</b>	<b>16,358,321</b>	<b>22,696,645</b>	<b>(569,332)</b>
REV	BF - RENTS & RECOVERIES	0	545,085	545,085	545,085
	BH - DEPT REVENUES	0	2,466	2,466	2,466
	BJ - INTERDEPT REVENUES	8,363,595	(517,196)	8,363,595	0
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	0	32	32	32
	BW - INTERFUND REVENUE	167,012	0	167,012	0
<b>REV Total</b>		<b>8,530,607</b>	<b>30,387</b>	<b>9,078,190</b>	<b>547,583</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	555,465	614,883	(59,418)	6,668,343	6,460,483	207,860	Delay in hiring
	DD	71,670	72,409	(739)	326,824	374,355	(47,531)	Timing of purchases
	DE	496,452	1,363,798	(867,346)	8,702,659	7,097,038	1,605,621	Delay in encumbrances
	DF	398,396	27,242	371,154	2,805,900	2,426,445	379,455	Payment has posted
<b>EXP Total</b>		<b>1,521,983</b>	<b>2,078,333</b>	<b>(556,350)</b>	<b>18,503,726</b>	<b>16,358,321</b>	<b>2,145,405</b>	
REV	BF	0	0	0	0	545,085	545,085	Recovery from prior year centralized in BU
	BH	0	0	0	0	2,466	2,466	
	BW	0	0	0	(3,424)	0	3,424	
	FA	0	0	0	0	32	32	
<b>REV Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>(3,424)</b>	<b>547,583</b>	<b>551,007</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## LE - COUNTY LEGISLATURE

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	6,341,848	4,319,235	5,763,914	577,934
	BB - EQUIPMENT	55,510	34,370	55,510	0
	DD - GENERAL EXPENSES	1,686,866	1,573,003	1,686,866	0
	DE - CONTRACTUAL SERVICES	1,008,154	805,000	1,008,154	0
<b>EXP Total</b>		<b>9,092,378</b>	<b>6,731,608</b>	<b>8,514,444</b>	<b>577,934</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	502,894	432,135	70,759	4,759,399	4,319,235	440,165	Full-time vacancies
	BB	876	1,046	(170)	39,493	34,370	5,123	
	DD	34,439	35,707	(1,268)	1,464,333	1,573,003	(108,670)	Earlier spending than anticipated
	DE	(111,612)	0	(111,612)	940,569	805,000	135,569	Lower spending than anticipated
<b>EXP Total</b>		<b>426,597</b>	<b>468,889</b>	<b>(42,292)</b>	<b>7,203,794</b>	<b>6,731,608</b>	<b>472,186</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## LR - OFFICE OF LABOR RELATIONS

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	389,696	261,059	369,369	20,327
	DD - GENERAL EXPENSES	5,100	2,000	4,790	310
	DE - CONTRACTUAL SERVICES	400,000	288,055	385,597	14,403
<b>EXP Total</b>		<b>794,796</b>	<b>551,114</b>	<b>759,756</b>	<b>35,040</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	29,634	26,200	3,435	293,636	261,059	32,577	Full time vacancy
	DD	29	0	29	10,599	2,000	8,599	Expected savings
	DE	34,851	0	34,851	124,283	288,055	(163,772)	Encumbrances of contracts earlier than anticipated
<b>EXP Total</b>		<b>64,514</b>	<b>26,200</b>	<b>38,315</b>	<b>428,518</b>	<b>551,114</b>	<b>(122,596)</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## MA - OFFICE OF MINORITY AFFAIRS

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	515,826	263,162	381,317	134,509
	DD - GENERAL EXPENSES	24,970	10,335	22,873	2,097
	DE - CONTRACTUAL SERVICES	29,800	0	26,820	2,980
<b>EXP Total</b>		<b>570,596</b>	<b>273,497</b>	<b>431,010</b>	<b>139,586</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	38,341	28,729	9,612	371,058	263,162	107,896	Full Time and Part Time vacancies yet to be filled
	DD	3,370	0	3,370	23,670	10,335	13,335	Expected savings
	DE	0	0	0	28,446	0	28,446	Expected savings
<b>EXP Total</b>		<b>41,711</b>	<b>28,729</b>	<b>12,982</b>	<b>423,174</b>	<b>273,497</b>	<b>149,677</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## ME - MEDICAL EXAMINER

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	7,285,873	5,213,020	7,078,088	207,785
	BB - EQUIPMENT	40,029	31,298	39,156	873
	DD - GENERAL EXPENSES	688,039	503,385	629,039	59,000
	DE - CONTRACTUAL SERVICES	102,247	65,570	75,950	26,297
<b>EXP Total</b>		<b>8,116,188</b>	<b>5,813,272</b>	<b>7,822,233</b>	<b>293,955</b>
REV	BF - RENTS & RECOVERIES	0	56,535	56,535	56,535
	BH - DEPT REVENUES	25,000	20,849	25,000	0
<b>REV Total</b>		<b>25,000</b>	<b>77,384</b>	<b>81,535</b>	<b>56,535</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	546,919	548,462	(1,543)	5,525,085	5,213,020	312,065	Surplus due to vacancies
	BB	4,576	0	4,576	22,282	31,298	(9,016)	Timing difference
	DD	2,306	45,138	(42,832)	533,820	503,385	30,435	Expected savings
	DE	35	0	35	100,254	65,570	34,684	Expected savings
<b>EXP Total</b>		<b>553,836</b>	<b>593,600</b>	<b>(39,764)</b>	<b>6,181,441</b>	<b>5,813,272</b>	<b>368,169</b>	
REV	BF	0	0	0	0	56,535	56,535	Disencumbrance of prior year open items
	BH	3,545	1,853	(1,693)	19,258	20,849	1,591	
<b>REV Total</b>	<b>BH</b>	<b>3,545</b>	<b>1,853</b>	<b>(1,693)</b>	<b>19,258</b>	<b>77,384</b>	<b>58,126</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## PA - PUBLIC ADMINISTRATOR

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	520,777	362,403	518,243	2,534
	DD - GENERAL EXPENSES	3,422	2,075	2,692	730
	DE - CONTRACTUAL SERVICES	7,300	0	7,300	0
<b>EXP Total</b>		<b>531,499</b>	<b>364,478</b>	<b>528,235</b>	<b>3,264</b>
REV	BH - DEPT REVENUES	500,000	73,107	125,000	(375,000)
<b>REV Total</b>		<b>500,000</b>	<b>73,107</b>	<b>125,000</b>	<b>(375,000)</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	37,241	37,128	113	363,665	362,403	1,262	
	DD	282	0	282	3,366	2,075	1,291	Expected savings
<b>EXP Total</b>		<b>37,523</b>	<b>37,128</b>	<b>395</b>	<b>367,031</b>	<b>364,478</b>	<b>2,554</b>	
REV	BH	28,748	382	(28,366)	359,873	73,107	(286,766)	Backlog of estate commissions is up to date. Going forward revenue will be lower than anticipated
<b>REV Total</b>		<b>28,748</b>	<b>382</b>	<b>(28,366)</b>	<b>359,873</b>	<b>73,107</b>	<b>(286,766)</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## PB - PROBATION

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	17,485,152	12,209,126	16,523,940	961,212
	BB - EQUIPMENT	22,250	9,302	22,250	0
	DD - GENERAL EXPENSES	309,050	156,951	249,050	60,000
	DE - CONTRACTUAL SERVICES	559,100	119,618	519,100	40,000
	DF - UTILITY COSTS	500	0	0	500
	HF - INTER-DEPARTMENTAL CHARGES	1,171,335	305,090	1,171,335	0
<b>EXP Total</b>		<b>19,547,387</b>	<b>12,800,087</b>	<b>18,485,675</b>	<b>1,061,712</b>
REV	BF - RENTS & RECOVERIES	0	6,300	6,344	6,344
	BH - DEPT REVENUES	1,542,200	1,190,076	1,442,275	(99,925)
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	16,000	100,949	109,832	93,832
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	4,992,877	3,944,551	6,156,672	1,163,795
<b>REV Total</b>		<b>6,551,077</b>	<b>5,241,877</b>	<b>7,715,123</b>	<b>1,164,046</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation	
EXP	AA	1,246,151	1,246,472	(321)	13,091,149	12,209,126	882,023	Vacancies that will be partially backfilled	
	BB	2,088	0	2,088	8,664	9,302	(638)		
	DD	17,700	18,781	(1,081)	163,057	156,951	6,106		Expected savings
	DE	35,583	376	35,207	452,103	119,618	332,485		Expected savings and timing differences
<b>EXP Total</b>		<b>1,301,522</b>	<b>1,265,630</b>	<b>35,892</b>	<b>13,714,973</b>	<b>12,494,997</b>	<b>1,219,976</b>		
REV	BF	0	0	0	0	6,300	6,300	outperforming fee collections offset by timing differences Surplus due to grant proceeds for Ignition Interlock Device Program Final settlement of 2013 & 2014 JDC reimbursement	
	BH	116,862	176,219	59,357	1,003,239	1,190,076	186,837		
	FA	0	766	766	9,198	100,949	91,751		
	SA	639,460	636,509	(2,951)	3,172,829	3,944,551	771,722		
<b>REV Total</b>		<b>756,322</b>	<b>813,494</b>	<b>57,172</b>	<b>4,185,266</b>	<b>5,241,877</b>	<b>1,056,611</b>		

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## PE - DEPARTMENT OF HUMAN RESOURCES

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	822,638	589,821	787,433	35,205
	DD - GENERAL EXPENSES	13,000	10,099	12,318	682
	DE - CONTRACTUAL SERVICES	12,000	12,000	12,000	0
<b>EXP Total</b>		<b>847,638</b>	<b>611,921</b>	<b>811,751</b>	<b>35,887</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	64,599	59,555	5,044	620,561	589,821	30,740	Full time vacancy
	DD	124	0	124	9,087	10,099	(1,012)	Timing difference
	DE	0	0	0	6,000	12,000	(6,000)	Full Encumbrance for Outside Legal Counsel
<b>EXP Total</b>		<b>64,723</b>	<b>59,555</b>	<b>5,168</b>	<b>635,648</b>	<b>611,921</b>	<b>23,728</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## PK - PARKS, RECREATION AND MUSEUMS

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	21,297,391	15,217,621	21,034,509	262,882
	BB - EQUIPMENT	400,000	283,303	300,000	100,000
	DD - GENERAL EXPENSES	1,847,975	1,454,696	1,637,975	210,000
	DE - CONTRACTUAL SERVICES	7,261,600	6,411,599	7,261,600	0
<b>EXP Total</b>		<b>30,806,966</b>	<b>23,367,219</b>	<b>30,234,084</b>	<b>572,882</b>
REV	BF - RENTS & RECOVERIES	2,164,136	1,241,948	2,213,508	49,372
	BH - DEPT REVENUES	20,815,395	17,689,162	20,857,921	42,526
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	0	86,402	86,402	86,402
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	42,302	0	42,302	0
	TX - SPECIAL TAXES	3,125,000	2,055,463	3,125,000	0
<b>REV Total</b>		<b>26,146,833</b>	<b>21,072,975</b>	<b>26,325,133</b>	<b>178,300</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	1,881,087	1,541,830	339,257	16,341,112	15,217,621	1,123,491	Seasonal spending has leveled off at this point
	BB	0	21,000	(21,000)	300,000	283,303	16,697	Expected savings
	DD	33,405	58,373	(24,968)	1,522,902	1,454,696	68,206	Expected savings
	DE	87,888	123,953	(36,065)	7,194,013	6,411,599	782,414	Delay in posting
<b>EXP Total</b>		<b>2,002,380</b>	<b>1,745,156</b>	<b>257,224</b>	<b>25,358,027</b>	<b>23,367,219</b>	<b>1,990,807</b>	
REV	BF	78,147	91,116	12,969	1,406,702	1,241,948	(164,754)	New methodology for Landmark rentals is not being followed. Corrections are being made in the process of applying new recording method
	BH	1,967,796	1,824,041	(143,755)	17,369,706	17,689,162	319,456	Attendance and usage is high, may outperform budget
	FA	0	62,584	62,584	0	86,402	86,402	FEMA reimbursement
	SA	0	0	0	42,302	0	(42,302)	Timing difference-reimbursement will come later in year
	TX	1,354	0	(1,354)	1,830,837	2,055,463	224,626	Collections are currently outperforming the plan
<b>REV Total</b>		<b>2,047,297</b>	<b>1,977,741</b>	<b>(69,556)</b>	<b>20,649,547</b>	<b>21,072,975</b>	<b>423,428</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## PR - SHARED SERVICES

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	911,815	616,813	798,466	113,349
	DD - GENERAL EXPENSES	19,750	10,663	18,750	1,000
	DE - CONTRACTUAL SERVICES	187,800	187,495	187,800	0
<b>EXP Total</b>		<b>1,119,365</b>	<b>814,971</b>	<b>1,005,016</b>	<b>114,349</b>
REV	BF - RENTS & RECOVERIES	350,000	370,195	404,944	54,944
	BH - DEPT REVENUES	300,500	116,137	300,512	12
<b>REV Total</b>		<b>650,500</b>	<b>486,332</b>	<b>705,456</b>	<b>54,956</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	51,325	54,034	(2,709)	724,104	616,813	107,292	Primarily attributable to a full-time vacancy
	DD	1,689	628	1,061	8,717	10,663	(1,946)	Although spending is a little higher than plan, savings are expected
	DE	0	0	0	187,800	187,495	305	
<b>EXP Total</b>		<b>53,014</b>	<b>54,662</b>	<b>(1,648)</b>	<b>920,621</b>	<b>814,971</b>	<b>105,651</b>	
REV	BF	35,966	17,268	(18,698)	255,884	370,195	114,311	Proceeds From Online Auctions is performing better than previous years
	BH	18,888	10,962	(7,926)	221,708	116,137	(105,571)	Timing difference
<b>REV Total</b>		<b>54,854</b>	<b>28,230</b>	<b>(26,624)</b>	<b>477,592</b>	<b>486,332</b>	<b>8,740</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## PW - PUBLIC WORKS DEPARTMENT

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	34,987,706	25,422,021	34,794,261	193,445
	AC - WORKERS COMPENSATION	2,141,359	1,403,432	2,171,359	(30,000)
	BB - EQUIPMENT	87,168	65,841	82,268	4,900
	DD - GENERAL EXPENSES	8,651,213	6,311,908	7,676,213	975,000
	DE - CONTRACTUAL SERVICES	136,828,636	136,412,916	136,828,636	0
	DF - UTILITY COSTS	28,631,900	20,447,467	25,806,900	2,825,000
	DG - VAR DIRECT EXPENSES	250,000	250,000	250,000	0
	HF - INTER-DEPARTMENTAL CHARGES	14,384,892	10,030,815	14,384,892	0
	HH - INTERFUND CHARGES	1,654,476	0	1,654,476	0
	MM - MASS TRANSPORTATION	43,803,242	39,988,594	42,969,542	833,700
	OO - OTHER EXPENSES	14,435,564	11,731,531	13,635,564	800,000
<b>EXP Total</b>		<b>285,856,156</b>	<b>252,064,526</b>	<b>280,254,111</b>	<b>5,602,045</b>
REV	BC - PERMITS & LICENSES	3,577,000	1,500,013	1,977,000	(1,600,000)
	BD - FINES & FORFEITS	1,000	0	1,000	0
	BF - RENTS & RECOVERIES	11,117,202	10,790,233	14,249,592	3,132,390
	BG - REVENUE OFFSET TO EXPENSE	300,000	178,690	300,000	0
	BH - DEPT REVENUES	53,420,367	20,610,543	49,594,067	(3,826,300)
	BJ - INTERDEPT REVENUES	18,418,904	151,070	18,418,904	0
	BW - INTERFUND REVENUE	6,305,070	1,370,115	6,308,088	3,018
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	6,635,000	423,531	7,053,132	418,132
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	63,789,000	33,421,479	67,681,611	3,892,611
<b>REV Total</b>		<b>163,563,543</b>	<b>68,445,674</b>	<b>165,583,394</b>	<b>2,019,851</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	2,484,435	2,807,567	(323,133)	27,804,223	25,422,021	2,382,202	Actual Capital chargebacks were higher than anticipated. Salary extras are less than plan.
	AC	192,289	135,543	56,746	1,600,944	1,403,432	197,512	Timing
	BB	78	0	78	42,432	65,841	(23,409)	Encumbrance of PO for Building Equipment
	DD	451,546	305,807	145,739	6,427,383	6,311,908	115,475	Timing
	DE	176,810	140,630	36,180	132,758,391	136,412,916	(3,654,525)	Accelerated posting from Transdev
	DF	5,737,628	196,593	5,541,035	26,567,258	20,447,467	6,119,791	Expected savings
	DG	0	0	0	0	250,000	(250,000)	
	MM	0	7,429,638	(7,429,638)	40,907,888	39,988,594	919,294	Expected savings
	OO	0	0	0	13,452,738	11,731,531	1,721,207	Expected savings
<b>EXP Total</b>		<b>9,042,786</b>	<b>11,015,778</b>	<b>(1,972,992)</b>	<b>249,561,257</b>	<b>242,033,711</b>	<b>7,527,546</b>	
REV	BC	233,297	226,173	(7,124)	2,279,882	1,500,013	(779,869)	New methodology for Mitchel Field rentals is not being followed. Corrections are being made in the process of applying new recording method
	BD	42	0	(42)	874	0	(874)	
	BF	342,280	4,942,586	4,600,306	6,864,288	10,790,233	3,925,945	Recovery from prior year centralized in BU
	BG	58,857	29,145	(29,712)	110,973	178,690	67,717	
	BH	4,432,186	71,065	(4,361,121)	24,435,349	20,610,543	(3,824,806)	Delay in posting of fare box revenue
	BW	0	1,367,382	1,367,382	(696,271)	1,370,115	2,066,386	
	FA	0	1,398	1,398	16,348	423,531	407,183	
	SA	14,948	0	(14,948)	31,512,512	33,421,479	1,908,967	Due to increase in STOA Grant
<b>REV Total</b>		<b>5,081,610</b>	<b>6,637,749</b>	<b>1,556,139</b>	<b>64,523,955</b>	<b>68,294,604</b>	<b>3,770,649</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## RM - RECORDS MANAGEMENT

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	933,480	540,096	718,833	214,647
	BB - EQUIPMENT	5,000	0	5,000	0
	DD - GENERAL EXPENSES	160,500	14,944	160,500	0
	DE - CONTRACTUAL SERVICES	125,000	16,617	125,000	0
<b>EXP Total</b>		<b>1,223,980</b>	<b>571,658</b>	<b>1,009,333</b>	<b>214,647</b>
REV	BF - RENTS & RECOVERIES	0	35,199	35,199	35,199
<b>REV Total</b>		<b>0</b>	<b>35,199</b>	<b>35,199</b>	<b>35,199</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	71,692	53,013	18,678	601,160	540,096	61,063	Lower Full Time and Seasonal offset by Part Time
	BB	271	0	271	2,959	0	2,959	
	DD	1,738	0	1,738	27,006	14,944	12,062	Slower spending on miscellaneous supplies
	DE	2,310	0	2,310	21,132	16,617	4,515	Timing Maintenance contracts
<b>EXP Total</b>		<b>76,011</b>	<b>53,013</b>	<b>22,997</b>	<b>652,257</b>	<b>571,658</b>	<b>80,599</b>	
REV	BF	0	0	0	0	35,199	35,199	Contract disencumbrances
<b>REV Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>35,199</b>	<b>35,199</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## SA - COORD AGENCY FOR SPANISH AMERICANS

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	258,508	172,417	239,509	18,999
	DD - GENERAL EXPENSES	3,442	2,486	3,442	0
	DE - CONTRACTUAL SERVICES	12,500	0	11,500	1,000
<b>EXP Total</b>		<b>274,450</b>	<b>174,903</b>	<b>254,451</b>	<b>19,999</b>
REV	BH - DEPT REVENUES	24,000	22,838	24,000	0
<b>REV Total</b>		<b>24,000</b>	<b>22,838</b>	<b>24,000</b>	<b>0</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	19,172	18,323	848	197,381	172,417	24,963	Due to a full-time vacancy that has now been filled
	DD	0	22	(22)	3,049	2,486	563	Delay in spending. The Department is in the process of preparing the contracts
	DE	17	0	17	12,534	0	12,534	
<b>EXP Total</b>		<b>19,189</b>	<b>18,345</b>	<b>843</b>	<b>212,964</b>	<b>174,903</b>	<b>38,061</b>	
REV	BH	3,477	0	(3,477)	18,476	22,838	4,362	More revenue from translation services
<b>REV Total</b>		<b>3,477</b>	<b>0</b>	<b>(3,477)</b>	<b>18,476</b>	<b>22,838</b>	<b>4,362</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## SS - SOCIAL SERVICES

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	48,080,855	34,009,208	46,134,118	1,946,737
	BB - EQUIPMENT	24,000	2,661	144,000	(120,000)
	DD - GENERAL EXPENSES	772,829	579,360	772,829	0
	DE - CONTRACTUAL SERVICES	7,631,404	2,583,327	7,271,040	360,364
	HF - INTER-DEPARTMENTAL CHARGES	16,683,623	2,886,924	16,683,623	0
	SS - RECIPIENT GRANTS	62,000,000	40,949,037	57,475,000	4,525,000
	TT - PURCHASED SERVICES	65,851,121	55,633,587	67,951,121	(2,100,000)
	WW - EMERGENCY VENDOR PAYMENTS	50,980,000	35,462,854	47,825,000	3,155,000
	XX - MEDICAID	240,233,215	178,170,005	237,828,188	2,405,027
<b>EXP Total</b>		<b>492,257,047</b>	<b>350,276,962</b>	<b>482,084,919</b>	<b>10,172,128</b>
REV	BF - RENTS & RECOVERIES	500,000	2,110,907	2,110,907	1,610,907
	BH - DEPT REVENUES	16,583,040	7,255,808	15,583,040	(1,000,000)
	BJ - INTERDEPT REVENUES	203,157	78,567	203,157	0
	FA - FEDERAL AID - REIMBURSEMENT OF EXPENSES	111,359,009	69,541,585	112,293,641	934,632
	SA - STATE AID - REIMBURSEMENT OF EXPENSES	54,781,867	29,098,507	52,940,020	(1,841,847)
<b>REV Total</b>		<b>183,427,073</b>	<b>108,085,374</b>	<b>183,130,765</b>	<b>(296,308)</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	3,664,378	3,511,932	152,446	36,011,283	34,009,208	2,002,075	Surplus is primarily due to vacancies and a delay in the new prevention division
	BB	0	599	(599)	8,334	2,661	5,673	
	DD	49,704	40,711	8,993	559,100	579,360	(20,260)	
	DE	125,000	123,265	1,735	7,401,573	2,583,327	4,818,246	Reversal of prior year accrual
	SS	5,099,178	4,130,859	968,319	45,756,976	40,949,037	4,807,939	Currently projecting a surplus for public assistance programs in the amount of \$3.5 million
	TT	3,258,285	4,181,632	(923,347)	52,310,483	55,633,587	(3,323,104)	Currently projecting a deficit in day care in the amount of \$2,100,000
	WW	4,176,863	1,448,090	2,728,773	40,359,807	35,462,854	4,896,953	A surplus is projected in the amount of \$3.2 million primarily due to lower than anticipated billings from the NYS Office of Children and Family Services due to a declining number of youth placed in NYS operated residential facilities
	XX	18,599,663	17,327,189	1,272,474	178,969,943	178,170,005	799,938	Timing difference
<b>EXP Total</b>		<b>34,973,071</b>	<b>30,764,276</b>	<b>4,208,795</b>	<b>361,377,499</b>	<b>347,390,039</b>	<b>13,987,460</b>	
REV	BF	0	0	0	21,983	2,110,907	2,088,924	Recovery from prior year centralized in BU
	BH	815,350	1,126,422	311,072	11,669,905	7,255,808	(4,414,097)	A deficit is projected in the amount of \$500,000 coupled with a delay in payments from school districts
	FA	13,520,321	22,836,209	9,315,888	36,335,919	69,541,585	33,205,666	Reversal of prior year accrual methodology has been changed. 2015 accruals are still open until funds are received. Plan was predicated on prior practices
	SA	6,171,629	3,305,549	(2,866,081)	28,156,647	29,098,507	941,860	The New York State Office of Children and Family Services (OFCS) have consistently been years behind in billing Local School Districts for the local share of youth placed in residential facilities. The increase in July and August payments is a result of a number of payments that were backlogged
<b>REV Total</b>		<b>20,507,300</b>	<b>27,268,179</b>	<b>6,760,879</b>	<b>76,184,454</b>	<b>108,006,807</b>	<b>31,822,353</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## TC - TAXI AND LIMOUSINE COMMISSION

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	397,524	270,964	379,212	18,312
	BB - EQUIPMENT	4,000	0	0	4,000
	DD - GENERAL EXPENSES	20,000	5,366	17,500	2,500
<b>EXP Total</b>		<b>421,524</b>	<b>276,330</b>	<b>396,712</b>	<b>24,812</b>
REV	BC - PERMITS & LICENSES	361,500	209,717	343,775	(17,725)
	BD - FINES & FORFEITS	285,000	437,645	560,000	275,000
<b>REV Total</b>		<b>646,500</b>	<b>647,362</b>	<b>903,775</b>	<b>257,275</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	30,973	32,526	(1,553)	297,125	270,964	26,161	Tming in hiring staff
	BB	333	0	333	2,997	0	2,997	Expected savings
	DD	1,666	2,000	(334)	14,994	5,366	9,628	Expected savings
<b>EXP Total</b>		<b>32,972</b>	<b>34,526</b>	<b>(1,554)</b>	<b>315,116</b>	<b>276,330</b>	<b>38,786</b>	
REV	BC	11,199	26,255	15,056	227,192	209,717	(17,475)	
	BD	8,829	115,480	106,651	179,114	437,645	258,531	Revenue from Fees is trending higher than the plan
<b>REV Total</b>		<b>20,028</b>	<b>141,735</b>	<b>121,707</b>	<b>406,306</b>	<b>647,362</b>	<b>241,056</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## TR - COUNTY TREASURER

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	2,170,443	1,484,933	2,072,604	97,839
	BB - EQUIPMENT	2,000	230	2,000	0
	DD - GENERAL EXPENSES	415,600	263,691	400,600	15,000
	DE - CONTRACTUAL SERVICES	240,400	33,449	217,695	22,705
	OO - OTHER EXPENSES	70,000,000	10,185,641	70,000,000	0
<b>EXP Total</b>		<b>72,823,443</b>	<b>11,967,944</b>	<b>72,692,899</b>	<b>135,544</b>
REV	BA - INT PENALTY ON TAX	31,900,000	26,894,669	33,330,000	1,430,000
	BD - FINES & FORFEITS	10,000	19,094	19,094	9,094
	BE - INVEST INCOME	947,000	1,055,321	2,056,969	1,109,969
	BF - RENTS & RECOVERIES	0	223,145	223,145	223,145
	BH - DEPT REVENUES	626,620	288,360	446,045	(180,575)
	BQ - CAPITAL RESOURCES FOR DEBT	60,000,000	0	60,000,000	0
	TX - SPECIAL TAXES	1,890,000	1,253,905	1,719,945	(170,055)
<b>REV Total</b>		<b>95,373,620</b>	<b>29,734,495</b>	<b>97,795,198</b>	<b>2,421,578</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	161,071	143,977	17,094	1,628,059	1,484,933	143,126	Full Time and Seasonal delay in hiring offset by Part Time. Savings expected
	BB	0	0	0	1,282	230	1,052	
	DD	10,652	3,429	7,223	394,080	263,691	130,389	Delay in spending Tax lien sale and Miscellaneous supplies
	DE	(900)	805	(1,705)	179,239	33,449	145,791	Delay in encumbrance of miscellaneous contracts
	OO	74,641,578	0	74,641,578	151,175,400	10,185,641	140,989,759	
<b>EXP Total</b>		<b>74,812,401</b>	<b>148,211</b>	<b>74,664,191</b>	<b>153,378,060</b>	<b>11,967,944</b>	<b>141,410,116</b>	
REV	BA	1,420,922	1,843,682	422,760	25,005,606	26,894,669	1,889,063	Mainly due to Differential lien interest and Penalty on delinquent taxes, offset by tax lien advertising fee
	BD	0	0	0	10,000	19,094	9,094	
	BE	74,660	132,388	57,728	607,947	1,055,321	447,374	Higher Investment Income and related interests
	BF	0	1,753	1,753	0	223,145	223,145	Contract disencumbrances
	BH	43,621	10,063	(33,558)	335,159	288,360	(46,799)	Lower Cash Bail and Miscellaneous receipts offset by Fees
	TX	213,410	165,382	(48,028)	1,376,078	1,253,905	(122,173)	Mainly due to lower than anticipated Summer Beach Concert offset by Entertainment Tax
<b>REV Total</b>		<b>1,752,613</b>	<b>2,153,267</b>	<b>400,654</b>	<b>27,334,790</b>	<b>29,734,495</b>	<b>2,399,705</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## TV - TRAFFIC & PARKING VIOLATIONS AGENCY

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	3,928,770	3,053,242	3,373,834	554,936
	BB - EQUIPMENT	9,700	375	9,700	0
	DD - GENERAL EXPENSES	220,020	158,344	216,531	3,489
	DE - CONTRACTUAL SERVICES	9,961,140	8,432,900	12,503,216	(2,542,076)
<b>EXP Total</b>		<b>14,119,630</b>	<b>11,644,861</b>	<b>16,103,281</b>	<b>(1,983,651)</b>
REV	BD - FINES & FORFEITS	61,849,031	51,585,174	68,467,717	6,618,686
	BF - RENTS & RECOVERIES	35,000	23,284	35,000	0
<b>REV Total</b>		<b>61,884,031</b>	<b>51,608,458</b>	<b>68,502,717</b>	<b>6,618,686</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	282,470	275,674	6,796	2,878,705	3,053,242	(174,537)	Class action award payment to be journaled from GEN to LIT. Expense must be allocated to salary lien for W2 purposes
	BB	0	0	0	4,665	375	4,290	Delay in spending for Safety and Security Equipment
	DD	27,716	1,596	26,120	155,520	158,344	(2,824)	Higher than anticipated encumbrance for supplies
	DE	841,802	375,000	466,802	6,721,606	8,432,900	(1,711,294)	Increase in revenue is directly related to the increase in contractual expense and accelerated encumbrance
<b>EXP Total</b>		<b>1,151,988</b>	<b>652,270</b>	<b>499,718</b>	<b>9,760,496</b>	<b>11,644,861</b>	<b>(1,884,365)</b>	
REV	BD	5,226,811	6,701,830	1,475,019	44,668,943	51,585,174	6,916,231	Increase due to a greater number of violations, additional cameras, the amnesty program and quicker turnover of violations
	BF	2,656	3,805	1,149	26,257	23,284	(2,973)	
<b>REV Total</b>		<b>5,229,467</b>	<b>6,705,635</b>	<b>1,476,168</b>	<b>44,695,200</b>	<b>51,608,458</b>	<b>6,913,258</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## VS - VETERANS SERVICES AGENCY

EXP/REV	Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
EXP	AA - SALARIES, WAGES & FEES	455,847	318,741	435,762	20,085
	DD - GENERAL EXPENSES	16,200	12,612	16,200	0
	DE - CONTRACTUAL SERVICES	700	0	0	700
<b>EXP Total</b>		<b>472,747</b>	<b>331,353</b>	<b>451,962</b>	<b>20,785</b>
REV	SA - STATE AID - REIMBURSEMENT OF EXPENSES	59,703	118,406	118,406	58,703
<b>REV Total</b>		<b>59,703</b>	<b>118,406</b>	<b>118,406</b>	<b>58,703</b>

EXP/REV	Obj Code	3rd. Qtr. Plan	3rd. Qtr. Cur Oblig	3rd. Qtr. Variance	YTD Plan	YTD Cur Oblig	YTD Variance	Explanation
EXP	AA	34,904	35,171	(266)	341,646	318,741	22,905	Delay in spending Office Supplies and Copy Paper
	DD	2,515	3,147	(632)	12,945	12,612	333	
<b>EXP Total</b>		<b>37,419</b>	<b>38,317</b>	<b>(898)</b>	<b>354,591</b>	<b>331,353</b>	<b>23,238</b>	
REV	SA	29,852	0	(29,852)	29,852	118,406	88,554	State Aid has been received earlier than plan
<b>REV Total</b>		<b>29,852</b>	<b>0</b>	<b>(29,852)</b>	<b>29,852</b>	<b>118,406</b>	<b>88,554</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## 2016 - AA - SALARY, WAGES & FEES - TERMINAL LEAVE

Fund	Department	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
FCF	FC - FIRE COMMISSION	332,131	245,734	332,131	0
<b>FCF Total</b>		<b>332,131</b>	<b>245,734</b>	<b>332,131</b>	<b>0</b>
GEN	AR - ASSESSMENT REVIEW COMMISSION	28,487	27,903	28,487	0
	AS - ASSESSMENT DEPARTMENT	45,218	74,756	74,757	(29,539)
	AT - COUNTY ATTORNEY	166,911	222,062	222,062	(55,151)
	BU - OFFICE OF MANAGEMENT AND BUDGET	1,429,420	(917,491)	1,060,130	369,290
	CC - NC SHERIFF/CORRECTIONAL CENTER	3,164,844	3,641,855	3,641,855	(477,011)
	CE - COUNTY EXECUTIVE	10,190	0	0	10,190
	CF - OFFICE OF CONSTITUENT AFFAIRS	16,777	40,040	40,040	(23,263)
	CL - COUNTY CLERK	53,253	46,157	53,253	0
	CO - COUNTY COMPTROLLER	255,339	170,281	255,339	0
	CS - CIVIL SERVICE	0	3,523	3,523	(3,523)
	DA - DISTRICT ATTORNEY	733,665	617,401	733,665	0
	EL - BOARD OF ELECTIONS	56,766	174,441	172,861	(116,095)
	EM - EMERGENCY MANAGEMENT	18,778	6,281	18,778	0
	HE - HEALTH DEPARTMENT	160,975	375,400	200,567	(39,592)
	HI - HOUSING & INTERGOVERNMENTAL AFFAIRS	0	2,854	0	0
	HR - COMMISSION ON HUMAN RIGHTS	20,635	20,635	20,635	0
	HS - DEPARTMENT OF HUMAN SERVICES	63,329	64,957	45,444	17,885
	IT - INFORMATION TECHNOLOGY	431,941	329,493	329,493	102,448
	LE - COUNTY LEGISLATURE	59,544	59,250	63,463	(3,919)
	LR - OFFICE OF LABOR RELATIONS	9,351	0	9,351	0
	MA - OFFICE OF MINORITY AFFAIRS	19,800	9,540	19,800	0
	ME - MEDICAL EXAMINER	192,653	165,999	147,464	45,189
	PB - PROBATION	586,391	533,918	586,391	0
	PK - PARKS, RECREATION AND MUSEUMS	67,289	187,830	187,830	(120,541)
	PR - SHARED SERVICES	103,806	135,527	135,527	(31,721)
	PW - PUBLIC WORKS DEPARTMENT	793,861	540,047	847,191	(53,330)
	RM - RECORDS MANAGEMENT	5,000	0	5,000	0
	SA - COORD AGENCY FOR SPANISH AMERICANS	6,277	0	0	6,277
	SS - SOCIAL SERVICES	325,068	307,555	343,104	(18,036)
	TR - COUNTY TREASURER	30,800	32,006	32,006	(1,206)
	VS - VETERANS SERVICES AGENCY	2,382	2,374	2,382	0
<b>GEN Total</b>		<b>8,858,750</b>	<b>6,874,596</b>	<b>9,280,398</b>	<b>(421,648)</b>
PDD	PD - POLICE DEPARTMENT	13,539,526	5,360,054	8,636,814	4,902,712
<b>PDD Total</b>		<b>13,539,526</b>	<b>5,360,054</b>	<b>8,636,814</b>	<b>4,902,712</b>
PDH	PD - POLICE DEPARTMENT	20,692,356	7,150,679	8,594,538	12,097,818
<b>PDH Total</b>		<b>20,692,356</b>	<b>7,150,679</b>	<b>8,594,538</b>	<b>12,097,818</b>
<b>Grand Total</b>		<b>43,422,763</b>	<b>19,631,063</b>	<b>26,843,881</b>	<b>16,578,882</b>

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## 2016 AA - SALARY, WAGES & FEES - OVERTIME

Fund	Department	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
FCF	FC - FIRE COMMISSION	2,195,056	1,145,627	2,195,056	0
<b>FCF Total</b>		<b>2,195,056</b>	<b>1,145,627</b>	<b>2,195,056</b>	<b>0</b>
GEN	AR - ASSESSMENT REVIEW COMMISSION	72	0	72	0
	AS - ASSESSMENT DEPARTMENT	15,500	8,217	10,500	5,000
	AT - COUNTY ATTORNEY	0	10,351	10,351	(10,351)
	CA - OFFICE OF CONSUMER AFFAIRS	54,900	19,309	43,417	11,483
	CC - NC SHERIFF/CORRECTIONAL CENTER	13,500,000	9,581,212	14,500,000	(1,000,000)
	CF - OFFICE OF CONSTITUENT AFFAIRS	50,000	8,744	30,000	20,000
	CL - COUNTY CLERK	65,000	5,412	64,930	70
	CO - COUNTY COMPTROLLER	12,700	13,526	22,700	(10,000)
	CS - CIVIL SERVICE	61,900	7,936	15,000	46,900
	DA - DISTRICT ATTORNEY	1,325,722	858,541	1,295,722	30,000
	EL - BOARD OF ELECTIONS	34,500	8,408	34,500	0
	EM - EMERGENCY MANAGEMENT	12,900	49,793	12,900	0
	HE - HEALTH DEPARTMENT	275,800	156,244	247,543	28,257
	HS - DEPARTMENT OF HUMAN SERVICES	350	39	39	311
	IT - INFORMATION TECHNOLOGY	938,500	442,137	938,500	0
	ME - MEDICAL EXAMINER	73,453	116,644	133,453	(60,000)
	PA - PUBLIC ADMINISTRATOR	3,900	988	3,000	900
	PB - PROBATION	300,000	434,762	400,000	(100,000)
	PK - PARKS, RECREATION AND MUSEUMS	794,600	734,406	1,122,679	(328,079)
	PW - PUBLIC WORKS DEPARTMENT	5,238,500	3,097,111	5,238,500	0
	RM - RECORDS MANAGEMENT	10,000	5,446	8,567	1,433
	SS - SOCIAL SERVICES	1,542,000	1,504,372	2,118,106	(576,106)
	TR - COUNTY TREASURER	22,443	2,090	22,443	0
	TV - TRAFFIC & PARKING VIOLATIONS AGENCY	150,000	19,693	150,000	0
<b>GEN Total</b>		<b>24,482,740</b>	<b>17,085,381</b>	<b>26,422,922</b>	<b>(1,940,182)</b>
PDD	PD - POLICE DEPARTMENT	28,560,000	17,531,788	35,801,539	(7,241,539)
<b>PDD Total</b>		<b>28,560,000</b>	<b>17,531,788</b>	<b>35,801,539</b>	<b>(7,241,539)</b>
PDH	PD - POLICE DEPARTMENT	28,480,000	22,715,455	33,201,796	(4,721,796)
<b>PDH Total</b>		<b>28,480,000</b>	<b>22,715,455</b>	<b>33,201,796</b>	<b>(4,721,796)</b>
<b>Grand Total</b>		<b>83,717,796</b>	<b>58,478,251</b>	<b>97,621,313</b>	<b>(13,903,517)</b>

---

# FISCAL 2016 MONTHLY FINANCIAL REPORT

---



## 2016 AB - FRINGE BENEFITS - NYS POLICE RETIREMENT

Fund	Department	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
PDD	FB - FRINGE BENEFIT	41,083,647	41,071,587	41,071,587	12,060
<b>PDD Total</b>		<b>41,083,647</b>	<b>41,071,587</b>	<b>41,071,587</b>	<b>12,060</b>
PDH	FB - FRINGE BENEFIT	36,875,725	36,854,829	36,854,829	20,896
<b>PDH Total</b>		<b>36,875,725</b>	<b>36,854,829</b>	<b>36,854,829</b>	<b>20,896</b>
<b>Grand Total</b>		<b>77,959,372</b>	<b>77,926,417</b>	<b>77,926,416</b>	<b>32,956</b>

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## 2016 AB - FRINGE BENEFITS - STATE RETIREMENT SYSTEM

Fund	Department	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
FCF	FB - FRINGE BENEFIT	1,607,122	1,604,288	1,603,916	3,206
	FC - FIRE COMMISSION	0	(372)	0	0
<b>FCF Total</b>		<b>1,607,122</b>	<b>1,603,916</b>	<b>1,603,916</b>	<b>3,206</b>
GEN	FB - FRINGE BENEFIT	56,341,334	57,016,991	57,016,991	(675,657)
<b>GEN Total</b>		<b>56,341,334</b>	<b>57,016,991</b>	<b>57,016,991</b>	<b>(675,657)</b>
PDD	FB - FRINGE BENEFIT	1,791,855	1,791,855	1,791,855	0
<b>PDD Total</b>		<b>1,791,855</b>	<b>1,791,855</b>	<b>1,791,855</b>	<b>0</b>
PDH	FB - FRINGE BENEFIT	9,295,815	9,295,069	9,295,069	746
<b>PDH Total</b>		<b>9,295,815</b>	<b>9,295,069</b>	<b>9,295,069</b>	<b>746</b>
<b>Grand Total</b>		<b>69,036,126</b>	<b>69,707,830</b>	<b>69,707,831</b>	<b>(671,705)</b>

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## 2016 AB - FRINGE BENEFITS - HEALTH INSURANCE FOR ACTIVE

Fund	Department	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
FCF	FB - FRINGE BENEFIT	1,873,788	1,308,643	1,740,004	133,784
<b>FCF Total</b>		<b>1,873,788</b>	<b>1,308,643</b>	<b>1,740,004</b>	<b>133,784</b>
GEN	CT - COURTS	23,640	7,705	23,640	0
	FB - FRINGE BENEFIT	74,547,780	52,711,033	70,344,702	4,203,078
<b>GEN Total</b>		<b>74,571,420</b>	<b>52,718,738</b>	<b>70,368,342</b>	<b>4,203,078</b>
PDD	FB - FRINGE BENEFIT	32,553,352	22,826,053	30,970,042	1,583,310
<b>PDD Total</b>		<b>32,553,352</b>	<b>22,826,053</b>	<b>30,970,042</b>	<b>1,583,310</b>
PDH	FB - FRINGE BENEFIT	31,496,761	22,783,586	30,418,391	1,078,370
<b>PDH Total</b>		<b>31,496,761</b>	<b>22,783,586</b>	<b>30,418,391</b>	<b>1,078,370</b>
<b>Grand Total</b>		<b>140,495,321</b>	<b>99,637,019</b>	<b>133,496,779</b>	<b>6,998,542</b>

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## 2016 AB - FRINGE BENEFITS - HEALTH INSURANCE FOR RETIREES

Fund	Department	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
FCF	FB - FRINGE BENEFIT	1,121,056	819,223	1,087,102	33,954
<b>FCF Total</b>		<b>1,121,056</b>	<b>819,223</b>	<b>1,087,102</b>	<b>33,954</b>
GEN	BU - OFFICE OF MANAGEMENT AND BUDGET	19,381,812	2,964,850	18,566,070	815,742
	CT - COURTS	1,011,576	713,155	1,011,576	0
	FB - FRINGE BENEFIT	49,405,656	40,315,041	53,529,367	(4,123,711)
<b>GEN Total</b>		<b>69,799,044</b>	<b>43,993,046</b>	<b>73,107,013</b>	<b>(3,307,969)</b>
PDD	FB - FRINGE BENEFIT	27,397,128	21,240,322	28,288,840	(891,712)
<b>PDD Total</b>		<b>27,397,128</b>	<b>21,240,322</b>	<b>28,288,840</b>	<b>(891,712)</b>
PDH	FB - FRINGE BENEFIT	38,175,218	29,342,760	38,994,291	(819,073)
<b>PDH Total</b>		<b>38,175,218</b>	<b>29,342,760</b>	<b>38,994,291</b>	<b>(819,073)</b>
<b>Grand Total</b>		<b>136,492,446</b>	<b>95,395,351</b>	<b>141,477,246</b>	<b>(4,984,800)</b>

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## 2016 - OTHER EXPENSE

Fund	Sub Object	2016 Modified Budget	Current Obligation	3rd. Qtr. Projections	Variance
DSV	88988 - EXPENSE OF LOANS	4,483,620	3,295,572	4,483,620	0
	88989 - NIFA SET-ASIDES	173,111,736	0	173,111,736	0
	88993 - NIFA REFUNDING	(2,178,525)	0	(2,178,525)	0
<b>DSV Total</b>		<b>175,416,831</b>	<b>3,295,572</b>	<b>175,416,831</b>	<b>0</b>
GEN	49949 - PMT CITY OF LONG BEACH	106,233	106,233	106,233	0
	52952 - LIDO-PT.LOOKOUT FIRE DISTRICT	5,775	5,775	5,775	0
	55955 - NYS ASSN OF COUNTIES	67,000	66,052	66,052	948
	66966 - LEGAL AID SOC OF NC	6,520,000	6,520,000	6,520,000	0
	67967 - BAR ASSN NC PUB DFDR	7,300,000	4,588,961	7,300,000	0
	6Q60Q - HIPAA PAYMENTS	25,000	0	0	25,000
	70970 - NON FIT RESIDENT TUITION	2,500,000	1,993,069	2,500,000	0
	7097F - FIT RESIDENT TUITION	8,200,000	533,188	8,200,000	0
	87987 - OTHER SUITS & DAMAGES	70,000,000	9,101,147	70,000,000	0
	93993 - INSURANCE ON BLDGS	346,868	346,868	346,868	0
	97998 - CONTINGENCY RESERVE	10,100,000	0	10,100,000	0
	94994 - RENT	14,360,564	11,731,531	13,560,564	800,000
	6H60H - PT LOOKOUT/LIDO LG BCH BUS RT	75,000	0	75,000	0
	8798A - OTHER SUITS & DAMAGES-INTEREST	0	1,083,577	0	0
	87992 - LITIGATION - GEN	0	885	0	0
<b>GEN Total</b>		<b>119,606,440</b>	<b>36,077,285</b>	<b>118,780,492</b>	<b>825,948</b>
<b>Grand Total</b>		<b>295,023,271</b>	<b>39,372,857</b>	<b>294,197,323</b>	<b>825,948</b>

---

# FISCAL 2016 MONTHLY FINANCIAL REPORT

---



## **KEY PERFORMANCE INDICATORS**



# FISCAL 2016 MONTHLY FINANCIAL REPORT



## KPI REPORT 1: 2016 Budget Risk Monitoring (\$'s in millions)

	Modified Budget	Sept. Projection	YTD Sept. Plan	YTD Sept. Current Obligation	Variance	Explanations
Sales Tax	1,113.6	1,121.4	557.2	563.8	6.6	Based thru Qtr 3. Adjustment checks as estimate checks have trended closer to budget.
STOA	62.8	66.7	31.4	33.3	1.9	Based on the Governor's budget – there is a 6.09% increase in STOA \$3,826,300
Fare Box	51.0	47.2	23.1	19.5	(3.6)	Represents revenue received through June 2016.
Mortgage Recording Fees	46.5	51.8	31.4	34.4	3.0	Represents successful implementation; opportunity above budget.
Tax Map Fee	27.3	27.3	20.3	18.8	(1.5)	Delay in posting due to one month lag.
TPVA Admin Fees	26.2	26.4	18.5	20.9	2.4	Represents successful implementation; opportunity above budget
Income & Expense Law	15.8	15.8	0.0	0.0	0.0	On October 17, 2016 Judge Marano dismissed the case and lifted the stay on the Income and Expense Law. The Office of the County Attorney is currently working to enforce payment.
VLTs	15.0	3.0	0.0	0.0	0.0	NYS Budget transfers VLTs to Aqueduct
Closeout of Prior Years' Encumbrances	15.4	15.4	10.1	14.6	4.5	Current Obligation includes reversal of prior year accrual for MTA (\$4.5 million)
Fire Commission Fees	8.7	8.7	6.5	6.3	(0.2)	Effective date of fee increase was February 1st. Currently running slightly behind plan
Land sales	5.0	1.6	2.1	0.0	(2.1)	Currently projecting Land Sales of \$1.6 million
DPW Fees	3.1	1.5	2.0	1.0	(1.0)	Projecting shortfall for the year as permits are lower than forecasted
Medical Marijuana	1.5	0.0	0.8	0.0	(0.8)	Projections have been revised. Total receipts are negligible delay to start up.
Tow Franchise Fee	0.5	0.6	0.4	0.4	0.0	Represents successful implementation - Projecting slightly above budget.
Fringe Benefits	514.8	507.9	409.2	403.1	6.1	Health insurance costs in connection with vacancy savings and FICA payroll taxes will generate a surplus and attrition.
Debt Service	364.2	358.6	110.9	112.4	(1.5)	
OTPS	339.5	333.5	298.1	289.7	8.4	\$8.6 million of revoke funding is offset by higher DE for Transit Committee proposal to increase service for Transdev Services Inc. funded by 2015 YE surplus; RLC contractual expenses and delayed encumbrances.
Police Overtime	57.0	69.0	34.4	40.2	(5.8)	Due to delay in hiring the number of non recruit sworn officers available to fill posts is lower than the same time last year , Presidential debate also impacted overtime therefore PD overtime is expected to be higher than budget offset by termination pay savings. Plan has not been adjusted for the current overtime projection.

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## KPI REPORT 2: Full-Time & Contract Employee Staffing

Department	FY 2016 Budget	On Board 12/31/2015	On Board 8/31/2016	New Hire	Term/Resign	Transfer In	Transfer Out	On Board 9/30/2016	Variance		Contract Employees
									9/30/2016 vs. 8/31/2016	9/30/2016 vs. 2016 Budget	
AC - DEPARTMENT OF INVESTIGATIONS	-	-	1	1	-	-	-	2	1	2	-
AR - ASSESSMENT REVIEW COMMISSION	30	30	29	-	-	-	-	29	-	(1)	-
AS - ASSESSMENT DEPARTMENT	143	134	131	-	(1)	-	-	130	(1)	(13)	-
AT - COUNTY ATTORNEY	94	90	92	-	(2)	1	(2)	89	(3)	(5)	-
BU - OFFICE OF MANAGEMENT AND BUDGET	26	25	26	-	-	-	-	26	-	-	-
BU - CONTROL CENTER 30	(60)	-	-	-	-	-	-	-	-	60	-
CA - OFFICE OF CONSUMER AFFAIRS	25	25	25	-	-	-	-	25	-	-	-
CC - NC SHERIFF/CORRECTIONAL CENTER	1,049	991	992	-	(4)	22	(22)	988	(4)	(61)	-
CE - COUNTY EXECUTIVE	18	16	17	-	-	-	-	17	-	(1)	-
CF - OFFICE OF CONSTITUENT AFFAIRS	34	35	34	-	-	-	-	34	-	-	-
CL - COUNTY CLERK	81	71	69	3	-	2	(1)	73	4	(8)	-
CO - COUNTY COMPTROLLER	87	71	73	2	(1)	1	(1)	74	1	(13)	-
CS - CIVIL SERVICE	51	50	50	-	-	-	-	50	-	(1)	-
DA - DISTRICT ATTORNEY	371	363	356	24	(3)	2	-	379	23	8	-
EL - BOARD OF ELECTIONS	161	157	164	-	-	1	-	165	1	4	-
FC - FIRE COMMISSION	96	93	92	-	-	1	(1)	92	-	(4)	-
EM - EMERGENCY MANAGEMENT	12	9	10	-	-	-	-	10	-	(2)	-
HE - HEALTH DEPARTMENT	175	166	178	2	-	-	-	180	2	5	-
HI - HOUSING & INTERGOVERNMENTAL AFFAIRS	16	14	14	-	(1)	-	-	13	(1)	(3)	-
HR - COMMISSION ON HUMAN RIGHTS	7	7	7	-	-	-	-	7	-	-	-
HS - DEPARTMENT OF HUMAN SERVICES	62	62	60	2	-	1	(1)	62	2	-	7
IT - INFORMATION TECHNOLOGY	81	77	84	-	-	-	-	84	-	3	-
LE - COUNTY LEGISLATURE	92	84	86	-	(2)	-	(1)	83	(3)	(9)	-
LR - OFFICE OF LABOR RELATIONS	5	5	4	-	-	-	-	4	-	(1)	-
MA - OFFICE OF MINORITY AFFAIRS	6	5	5	-	-	-	-	5	-	(1)	-
ME - MEDICAL EXAMINER	72	67	72	-	(1)	-	-	71	(1)	(1)	-
PA - PUBLIC ADMINISTRATOR	6	6	6	-	-	-	-	6	-	-	-
PB - PROBATION	190	182	186	-	-	1	(1)	186	-	(4)	-
PE - DEPARTMENT OF HUMAN RESOURCES	9	9	8	-	-	-	-	8	-	(1)	-
PK - PARKS, RECREATION AND MUSEUMS	155	147	152	-	(1)	-	-	151	(1)	(4)	-
PD - POLICE DISTRICT	1,601	1,537	1,644	-	(4)	-	(4)	1,636	(8)	35	-
PD - POLICE HEADQUARTERS	1,503	1,568	1,581	-	(7)	8	(4)	1,578	(3)	75	-
PR - SHARED SERVICES	10	9	9	-	-	-	-	9	-	(1)	-
PW - PUBLIC WORKS DEPARTMENT	465	458	469	4	(1)	-	-	472	3	7	-
RM - RECORDS MANAGEMENT	12	11	11	-	-	-	-	11	-	(1)	-
SA - COORD AGENCY FOR SPANISH AMERICANS	4	4	4	-	-	-	-	4	-	-	-
SS - SOCIAL SERVICES	616	598	601	6	(2)	5	(5)	605	4	(11)	14
TC - TAXI & LIMOUSINE COMMISSION	9	2	9	-	-	-	-	9	-	-	-
TR - COUNTY TREASURER	27	27	26	-	-	-	-	26	-	(1)	-
TV - TRAFFIC & PARKING VIOLATIONS AGENCY	47	46	46	-	-	-	-	46	-	(1)	-
VS - VETERANS SERVICES AGENCY	7	7	7	-	-	-	-	7	-	-	-
<b>Sub-Total Full Time Employees</b>	<b>7,395</b>	<b>7,258</b>	<b>7,430</b>	<b>44</b>	<b>(30)</b>	<b>45</b>	<b>(43)</b>	<b>7,446</b>	<b>16</b>	<b>51</b>	<b>-</b>
<b>Contract Employees</b>	<b>41</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>21</b>
<b>Major Operating Funds Sub-Total</b>	<b>7,436</b>	<b>7,258</b>	<b>7,430</b>	<b>44</b>	<b>(30)</b>	<b>45</b>	<b>(43)</b>	<b>7,446</b>	<b>16</b>	<b>10</b>	<b>21</b>
<b>Sewer District</b>	<b>140</b>	<b>139</b>	<b>128</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>128</b>	<b>-</b>	<b>(12)</b>	<b>-</b>
<b>Grand Total F/T Employees</b>	<b>7,576</b>	<b>7,397</b>	<b>7,558</b>	<b>44</b>	<b>(30)</b>	<b>45</b>	<b>(43)</b>	<b>7,574</b>	<b>16</b>	<b>(2)</b>	<b>21</b>



**KPI REPORT 2: Appendix A: New Hires**

DEPARTMENT	TITLE	HC
AC	CONF AST TO COM INVESTIGATIONS	1
CL	ACCOUNTANT I	2
	CASHIER I	1
CO	AUDITING ASSISTANT I	1
	FIELD AUDITOR II	1
DA	ASST DISTRICT ATTY	3
	DIST ATT LAW AST,TMP	21
HE	ACCOUNTING ASSISTANT I	1
	PUB HLTH ENGR TRNEE	1
HS	COMMUNITY SERVICE ASSISTANT	1
	COMMUNITY SERVICES REPRESENTVE	1
PW	CIVIL ENGINEER I / PD	1
	CLERK TYPIST I	2
	DIR OF TRAFFIC ENGINEERING	1
SS	CASE WKR I BI-LINGUAL SPANISH	2
	CASEWORKER I	2
	SOCIAL WELFARE EXAMINER I BILN	2
<b>MAJOR FUNDS NEW HIRES</b>		<b>44</b>



**KPI REPORT 2: Appendix B: Termination/Resignation**

<b>DEPARTMENT</b>	<b>TITLE</b>	<b>Termination/ Resignation</b>
AS	RL PROP ASSR AIDE II	(1)
AT	DEPUTY CO ATTORNEY	(2)
CC	CORRECTION OFFICER	(4)
CO	FIELD AUDITOR II	(1)
DA	ASST DISTRICT ATTY	(2)
	CHIEF INVESTIGATOR	(1)
HI	TYPIST CLERK	(1)
LE	LEGISLATIVE ASSISTANT	(1)
	LEGISLATOR	(1)
ME	FORENSC SCINTST II(LATNT PRNT)	(1)
PK	REC&PKS MOWER LEAD MECHANIC	(1)
PW	EQPT OPERATOR II	(1)
SS	MULTI-KEYBOARD OPERATOR I	(1)
	SOC WELFARE EXMR II	(1)
PDD	POLICE OFFICER	(4)
PDH	AMBULANCE MED TECH	(4)
	POL SERG-DETECTIVE	(1)
	POLICE COMMUNICATIONS OPERATOR	(1)
	POLICE SERVICE AIDE	(1)
<b>MAJOR FUNDS TERMINATION/RESIGNATION</b>		<b>(30)</b>
<b>SEWER DISTRICT TERMINATION/RESIGNATION</b>		<b>0</b>
<b>TOTAL TERMINATION/RESIGNATION</b>		<b>(30)</b>

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## KPI REPORT 3: Full-Time Staffing By Grant

Department	On Board 8/31/2016	New Hire	Termination / Resignation	Transfer In	Transfer Out	On Board 9/30/2016	Variance 9/30/2016 vs. 8/31/2016
EM - EMERGENCY MANAGEMENT	5	1	-	-	-	6	1
CJ - CRIMINAL JUSTICE COORD COUNCIL	2	-	-	-	-	2	-
HE - HEALTH DEPARTMENT	49	-	-	-	-	49	-
HI - HOUSING & INTERGOVERNMENTAL AFFAIRS	45	-	-	-	-	45	-
HS - DEPARTMENT OF HUMAN SERVICES	50	4	-	-	-	54	4
PB - PROBATION	4	-	-	-	-	4	-
PK - PARKS, RECREATION AND MUSEUMS	8	-	-	-	-	8	-
SS - SOCIAL SERVICES	162	-	-	-	-	162	-
<b>Grant Fund Total</b>	<b>325</b>	<b>5</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>330</b>	<b>5</b>



**KPI REPORT 3: Appendix A: New Hires**

DEPARTMENT	DESCRIPTION	COUNT
EM	EMERGENCY MNGMNT SPCLST TRAINEE	1
HS	ACCOUNTING ASSISTANT I	1
	PSYCH SOC WORKER I	2
	REGISTERED NURSE I	1
<b>TOTAL NEW HIRES</b>		<b>5</b>



---

**KPI REPORT 3: Appendix B: Termination/Resignation**

DEPARTMENT	TITLE	Termination/ Resignation
<b>TOTAL TERM/RESIGN</b>		<b>0</b>

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## KPI REPORT 4: Full-Time Staffing By Union

Department	CSEA	DAI	IPBA	PBA	COBA	SOA	Total Union				Total Non		CONTRACT EMPLOYEE	
							On-Board 9/30/2016	BOARD MEMBER	ELECTED OFFICIAL	ORDINANCE	Union On- Board 9/30/2016	Total On- Board 9/30/2016		
Department of Investigations	-	-	-	-	-	-	-	-	-	-	2	2	2	-
Assessment	124	-	-	-	-	-	124	-	-	6	6	6	130	1
Assessment Review Commission	22	-	-	-	-	-	22	4	-	3	7	29	-	-
CF - Constituent Affairs	-	-	-	-	-	-	-	-	-	11	11	11	-	-
CF - Printing, Mail & Graphics	23	-	-	-	-	-	23	-	-	-	-	23	-	-
Civil Service	43	-	-	-	-	-	43	-	-	7	7	50	-	-
Consumer Affairs	23	-	-	-	-	-	23	-	-	2	2	25	-	-
Coord. Agency for Spanish Americans	-	-	-	-	-	-	-	-	-	4	4	4	-	-
Correctional Center	148	-	-	-	837	-	985	-	-	3	3	988	-	-
County Attorney	28	-	-	-	-	-	28	-	-	61	61	89	-	-
County Clerk	64	-	-	-	-	-	64	-	1	8	9	73	-	-
County Comptroller	60	-	-	-	-	-	60	-	1	13	14	74	-	-
County Executive	-	-	-	-	-	-	-	-	1	16	17	17	-	-
District Attorney	126	-	36	-	-	-	162	-	1	216	217	379	-	-
Elections	148	-	-	-	-	-	148	-	-	17	17	165	-	-
Emergency Management	3	-	-	-	-	-	3	-	-	7	7	10	-	-
Fire Commission	92	-	-	-	-	-	92	-	-	-	-	92	-	-
Health	177	-	-	-	-	-	177	-	-	3	3	180	-	-
Housing & Intergovernmental Affairs	-	-	-	-	-	-	-	-	-	13	13	13	-	-
Human Resources	-	-	-	-	-	-	-	-	-	8	8	8	-	-
Human Rights Commission	4	-	-	-	-	-	4	-	-	3	3	7	-	-
Human Services	52	-	-	-	-	-	52	-	-	10	10	62	7	-
Information Technology	78	-	-	-	-	-	78	-	-	6	6	84	-	-
Labor Relations	-	-	-	-	-	-	-	-	-	4	4	4	-	-
Legislature	-	-	-	-	-	-	-	-	18	65	83	83	-	-
Medical Examiner	68	-	-	-	-	-	68	-	-	3	3	71	-	-
Minority Affairs	-	-	-	-	-	-	-	-	-	5	5	5	-	-
Office of Management and Budget	-	-	-	-	-	-	-	-	-	26	26	26	-	-
Police District	61	-	-	1,394	-	180	1,635	-	-	1	1	1,636	-	-
Police Headquarters	696	333	-	353	-	186	1,568	-	-	10	10	1,578	-	-
Probation	185	-	-	-	-	-	185	-	-	1	1	186	-	-
Public Administrator	4	-	-	-	-	-	4	-	-	2	2	6	-	-
Public Works	464	-	-	-	-	-	464	-	-	8	8	472	-	-
Records Management	11	-	-	-	-	-	11	-	-	-	-	11	-	-
Recreation, Parks and Museums	146	-	-	-	-	-	146	-	-	5	5	151	-	-
Shared Services	9	-	-	-	-	-	9	-	-	-	-	9	-	-
Social Services	595	-	-	-	-	-	595	-	-	10	10	605	14	-
Taxi & Limousine Commission	7	-	-	-	-	-	7	-	-	2	2	9	-	-
Traffic and Parking Violations Agency	43	-	-	-	-	-	43	-	-	3	3	46	-	-
Treasurer	23	-	-	-	-	-	23	-	-	3	3	26	-	-
Veterans Services	5	-	-	-	-	-	5	-	-	2	2	7	-	-
<b>Sub-Total Full-Time Employees</b>	<b>3,532</b>	<b>333</b>	<b>36</b>	<b>1,747</b>	<b>837</b>	<b>366</b>	<b>6,851</b>	<b>4</b>	<b>22</b>	<b>569</b>	<b>595</b>	<b>7,446</b>	<b>-</b>	<b>-</b>
<b>Contract Employees</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>21</b>	<b>-</b>
<b>Major Operating Funds Sub-Total</b>	<b>3,532</b>	<b>333</b>	<b>36</b>	<b>1,747</b>	<b>837</b>	<b>366</b>	<b>6,851</b>	<b>4</b>	<b>22</b>	<b>569</b>	<b>595</b>	<b>7,446</b>	<b>21</b>	<b>-</b>
<b>Sewer Districts</b>	<b>128</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>128</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>128</b>	<b>-</b>	<b>-</b>
<b>Grand Total F/T Employees</b>	<b>3,660</b>	<b>333</b>	<b>36</b>	<b>1,747</b>	<b>837</b>	<b>366</b>	<b>6,979</b>	<b>4</b>	<b>22</b>	<b>569</b>	<b>595</b>	<b>7,574</b>	<b>21</b>	<b>-</b>

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## KPI REPORT 5: Overtime Hours

Departments	Year-to-Date August Overtime Hours						*YTD Actual Variance
	Paid Overtime 2016	Accrued Comp 2016	Total Overtime 2016	Paid Overtime 2015	Accrued Comp 2015	Total Overtime 2015	
Assessment	118.4	2,222.1	2,340.5	17.0	1,797.9	1,814.9	525.6
Assessment Review	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Board of Elections	64.6	16,300.1	16,364.7	181.1	8,480.9	8,662.0	7,702.7
Civil Service	112.3	83.6	195.9	71.0	55.9	126.9	69.0
Constituent Affairs	139.5	16.0	155.5	122.6	0.0	122.6	33.0
Consumer Affairs	343.0	159.9	503.0	706.5	268.3	974.8	(471.8)
Correctional Center	149,361.4	14,667.5	164,028.9	105,346.9	9,447.1	114,794.0	49,235.0
County Attorney	174.3	173.1	347.4	0.0	78.0	78.0	269.4
County Clerk	66.8	266.6	333.3	0.0	116.6	116.6	214.8
County Comptroller	221.0	1,008.6	1,229.6	350.9	1,445.3	1,796.2	(566.6)
County Executive	0.0	0.0	0.0	0.0	0.0	0.0	0.0
District Attorney	13,607.3	5,381.8	18,989.1	12,834.2	5,866.1	18,700.3	288.8
Emergency Management	528.8	220.6	749.4	495.6	250.2	745.9	3.5
Fire Commission	19,884.2	847.6	20,731.8	21,396.1	1,380.7	22,776.9	(2,045.1)
Health	1,467.6	1,238.4	2,706.0	1,888.3	1,648.3	3,536.6	(830.6)
Housing & Intergovernmental Affairs	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Human Resources	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Human Rights Commission	0.0	18.0	18.0	0.0	25.9	25.9	(7.9)
Human Services	1.5	80.8	82.3	4.0	97.6	101.6	(19.4)
Information Technology	6,135.9	2,173.9	8,309.8	6,820.9	2,278.9	9,099.8	(790.0)
Labor Relations	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Legislature	43.5	0.0	43.5	114.0	0.0	114.0	(70.5)
Medical Examiner	2,091.3	302.6	2,393.9	1,619.8	273.0	1,892.8	501.1
Minority Affairs	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Office of Management and Budget	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Police Department	557,677.0	0.0	557,677.0	505,272.0	0.0	505,272.0	52,405.0
Probation	8,060.2	3,531.7	11,592.0	6,235.1	3,958.3	10,193.4	1,398.5
Public Administrator	20.8	0.0	20.8	7.5	12.8	20.3	0.5
Public Works, Planning, Real Estate	52,412.5	5,399.8	57,812.4	56,713.3	4,681.3	61,394.5	(3,582.2)
Purchasing	0.0	5.5	5.5	0.0	20.6	20.6	(15.1)
Records Management	82.25	186.41	268.66	0	162.38	162.38	106.3
Recreation, Parks and Museums	8,175.3	2,427.2	10,602.5	7,541.5	1,809.6	9,351.1	1,251.4
Sheriff	6,727.6	3,021.5	9,749.1	6,394.9	3,060.4	9,455.4	293.7
Social Services	25,696.3	9,307.5	35,003.8	22,210.1	8,975.3	31,185.5	3,818.3
Taxi & Limo Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Traffic and Parking Violations Agency	389.1	1,596.2	1,985.3	360.2	2,151.3	2,511.5	(526.2)
Treasurer	41.0	77.7	118.7	144.5	101.4	245.9	(127.2)
Veteran Services	0.0	93.2	93.2	11.8	135.4	147.2	(54.0)
<b>Sub-Total</b>	<b>853,643.5</b>	<b>70,817.7</b>	<b>924,461.2</b>	<b>756,859.6</b>	<b>58,581.6</b>	<b>815,441.2</b>	<b>109,020.0</b>
Sewer & Water Supply	14,863.8	2,720.1	17,583.9	28,768.8	4,885.2	33,654.0	(16,070.1)
<b>Sub-Total</b>	<b>14,863.8</b>	<b>2,720.1</b>	<b>17,583.9</b>	<b>28,768.8</b>	<b>4,885.2</b>	<b>33,654.0</b>	<b>(16,070.1)</b>
<b>Grand Total</b>	<b>868,507.3</b>	<b>73,537.7</b>	<b>942,045.1</b>	<b>785,628.5</b>	<b>63,466.7</b>	<b>849,095.2</b>	<b>92,949.9</b>

Data Source: BIRT Performance Scorecard Report as of October 6, 2016. CHIEFS Reporting System for the Police Department overtime.

Note: The variance is calculated using actual time, not rounded hours. Overtime hours reflect paid and accrued compensation. The report reflects August numbers due to one-month lag in overtime hours.

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## KPI REPORT 6: Various Health Insurance Statistics (Enrollment & Rates) (Major Funds Only)

Oct 2016				January 2016				Oct 2015				Change in Totals Oct 2016 vs. Jan 2016	Change in Totals Oct 2016 vs. Oct 2015
	Family	Single	Total		Family	Single	Total		Family	Single	Total		
ACTIVE RETIREES	5,031	2,131	7,162	ACTIVE RETIREES	5,012	2,005	7,017	ACTIVE RETIREES	5,060	1,951	7,011	145	151
	6,116	4,803	10,919		6,201	4,874	11,075		6,137	4,889	11,026	(156)	(107)
<b>TOTAL</b>	<b>11,147</b>	<b>6,934</b>	<b>18,081</b>	<b>TOTAL</b>	<b>11,213</b>	<b>6,879</b>	<b>18,092</b>	<b>TOTAL</b>	<b>11,197</b>	<b>6,840</b>	<b>18,037</b>	<b>(11)</b>	<b>44</b>
<b>Active Plans</b>				<b>Active Plans</b>				<b>Active Plans</b>					
	Family	Single	Total		Family	Single	Total		Family	Single	Total		
EMPIRE PLAN	4,710	1,599	6,309	EMPIRE PLAN	4,955	1,937	6,892	EMPIRE PLAN	5,003	1,872	6,875	(583)	(566)
ALL OTHER	321	532	853	ALL OTHER	57	68	125	ALL OTHER	57	79	136	728	717
<b>TOTAL</b>	<b>5,031</b>	<b>2,131</b>	<b>7,162</b>	<b>TOTAL</b>	<b>5,012</b>	<b>2,005</b>	<b>7,017</b>	<b>TOTAL</b>	<b>5,060</b>	<b>1,951</b>	<b>7,011</b>	<b>145</b>	<b>151</b>
<b>Retiree Plans</b>				<b>Retiree Plans</b>				<b>Retiree Plans</b>					
	Family	Single	Total		Family	Single	Total		Family	Single	Total		
EMPIRE PLAN	1,287	486	1,773	EMPIRE PLAN	1,379	510	1,889	EMPIRE PLAN	1,340	504	1,844	(116)	(71)
MEDICARE IND		4,225	4,225	MEDICARE IND		4,267	4,267	MEDICARE IND		4,288	4,288	(42)	(63)
MEDICARE F1	1,272		1,272	MEDICARE F1	1,283		1,283	MEDICARE F1	1,295		1,295	(11)	(23)
MEDICARE F2	3,482		3,482	MEDICARE F2	3,458		3,458	MEDICARE F2	3,419		3,419	24	63
ALL OTHER	75	92	167	ALL OTHER	81	97	178	ALL OTHER	83	97	180	(11)	(13)
<b>TOTAL</b>	<b>6,116</b>	<b>4,803</b>	<b>10,919</b>	<b>TOTAL</b>	<b>6,201</b>	<b>4,874</b>	<b>11,075</b>	<b>TOTAL</b>	<b>6,137</b>	<b>4,889</b>	<b>11,026</b>	<b>(156)</b>	<b>(107)</b>
<b>Annual Rates Per Employee</b>				<b>Annual Rates Per Employee</b>				<b>Annual Rates Per Employee</b>				<b>% Change (Rates) Oct 2016 vs. Oct 2015</b>	
	Family	Single			Family	Single			Family	Single			
EMPIRE PLAN	23,114.52			EMPIRE PLAN	23,114.52			EMPIRE PLAN	21,706.32				6.49%
EMPIRE PLAN		10,188.12		EMPIRE PLAN		10,188.12		EMPIRE PLAN		9,660.60			5.46%
MEDICARE IND		5,433.48		MEDICARE IND		5,433.48		MEDICARE IND		4,822.08			12.68%
MEDICARE F1	18,360.00			MEDICARE F1	18,360.00			MEDICARE F1	16,868.16				8.84%
MEDICARE F2	13,605.24			MEDICARE F2	13,605.24			MEDICARE F2	12,029.52				13.10%

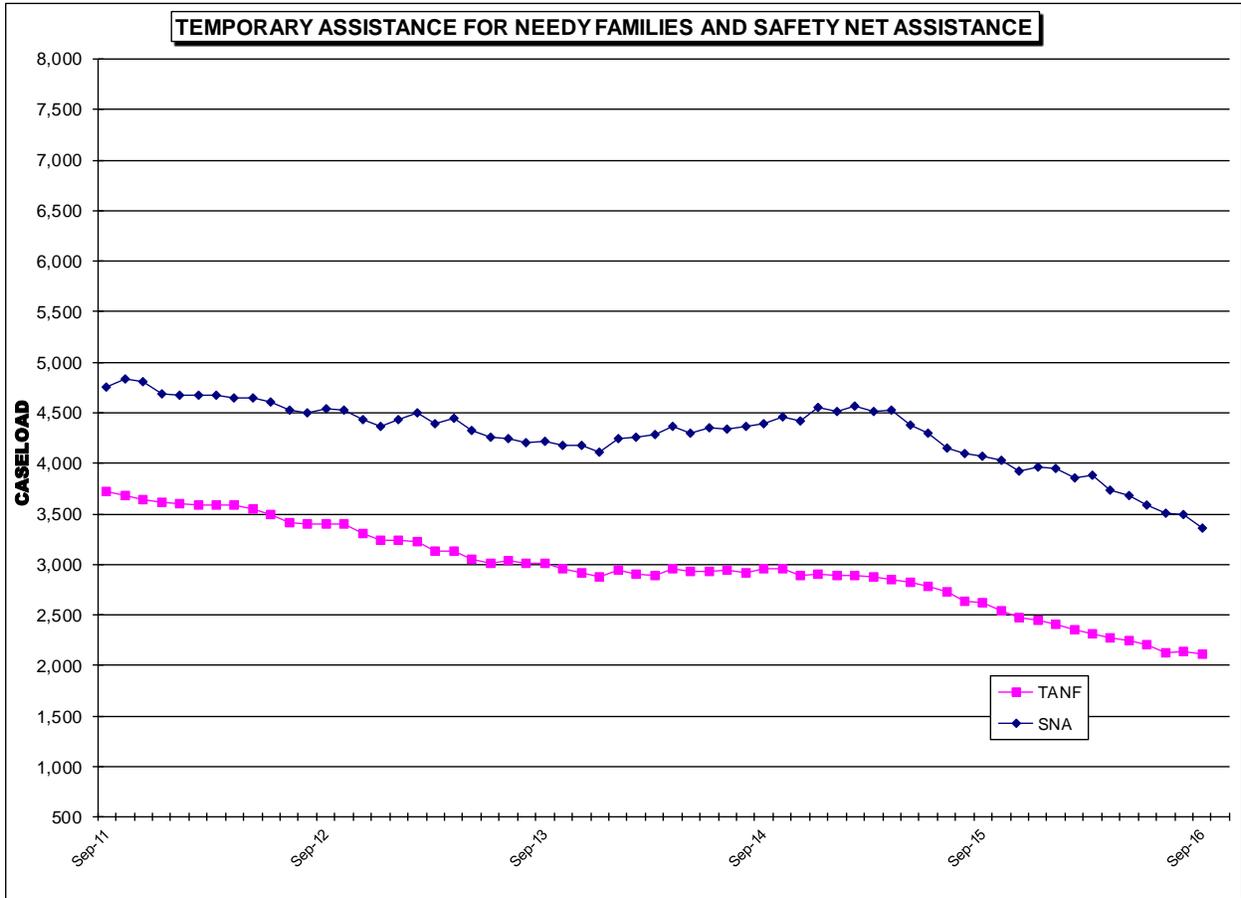
Note - As of October 1, 2016, 94.4% of all individuals with Health Insurance coverage are enrolled in a Empire Health Insurance plan.

Note - As of January 1, 2016, 98.3% of all individuals with Health Insurance coverage are enrolled in a Empire Health Insurance plan.

Note - As of October 1, 2015, 98.25% of all individuals with Health Insurance coverage are enrolled in a Empire Health Insurance plan.



KPI REPORT 7: DSS Caseloads



**KPI REPORT 8: Correctional Center Inmate Population**

	Sep-14	Sep-15	Sep-16
County Population	1,102	1,120	1,109
State-Ready Population	9	14	9
Federal Population	34	32	35
Parole Violators	47	56	50
<b>TOTAL</b>	<b>1,192</b>	<b>1,222</b>	<b>1,203</b>



**KPI REPORT 8: Appendix A: Correctional Center Inmate Population**

<b>Nassau County Inmates</b>			
<b>Month</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>
January	1,114	1,109	1,051
February	1,146	1,133	1,113
March	1,171	1,132	1,135
April	1,203	1,093	1,112
May	1,180	1,064	1,098
June	1,113	1,042	1,098
July	1,083	1,059	1,053
August	1,084	1,091	1,069
September	1,102	1,120	1,109
October	1,168	1,131	
November	1,172	1,102	
December	1,103	1,038	
<b>Year-to-Date County Average</b>	<b>1,133</b>	<b>1,094</b>	<b>1,093</b>
<b>Year-end County Average</b>	<b>1,137</b>	<b>1,093</b>	
<b>Federal Inmate Population</b>			
<b>Month</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>
January	37	40	34
February	38	40	33
March	39	37	29
April	43	41	28
May	42	36	30
June	42	33	33
July	44	33	31
August	37	33	36
September	34	32	35
October	36	37	-
November	33	39	-
December	33	37	-
<b>Year-to-Date Federal Average</b>	<b>40</b>	<b>36</b>	<b>32</b>
<b>Year-end Federal Average</b>	<b>38</b>	<b>37</b>	

# FISCAL 2016 MONTHLY FINANCIAL REPORT



## KPI REPORT 9: Nassau Regional Off-Track Betting Corporation

### Financial Activity for the period September 2016

Expense	2016 Budget	September	
		Sep-16	September-2016 YTD
Salary	8,430,000	648,249	5,834,242
Fringe Benefits	8,565,200	713,986	6,425,871
General and Administrative Expenses	9,359,100	693,389	6,240,502
Bond Principal	1,455,000	121,250	1,091,250
<b>Expense Total</b>	<b>27,809,300</b>	<b>2,176,874</b>	<b>19,591,865</b>
<b>Revenue</b>			
Net Retained Commission	19,277,650	1,537,497	13,837,471
Other income	2,524,300	244,535	2,200,817
<b>Revenue Total</b>	<b>21,801,950</b>	<b>1,782,032</b>	<b>16,038,288</b>
<b>Net Profit</b>	<b>(6,007,350)</b>	<b>(394,842)</b>	<b>(3,553,577)</b>

The Nassau Regional Off-Track Betting Corporation (OTB) is a component unit of Nassau County. It was created by the New York State Legislature as a public benefit corporation. Nassau County receives net operating profits from OTB and these revenues are recorded in the County's General Fund.

**KPI REPORT 10: Tax Certiorari Report**

The County's Assessment Review Commission (ARC) has compiled preliminary statistics as of October 1, 2016 with respect to grievances filed for the 2017/2018 tax year. There were 189,285 grievances filed, broken down as follows:

<b>Class</b>	<b>Number of Grievances</b>
<b>Class I Properties</b>	<b>167,560</b>
<b>Class II Properties</b>	<b>5,550</b>
<b>Class III Properties</b>	<b>533</b>
<b>Class IV Properties</b>	<b>15,642</b>
<b>Total</b>	<b>189,285</b>

The joint conference program in conjunction with the County Attorney's office, implemented to increase the number of settlements of Class II, III & IV properties will begin in September.

As of October 1, 2016, the total number of validated offers extended to Class I Property owners was 124,162 of which 108,660 were to representatives of Class I Property owners and 15,502 were to Class I Property owners representing themselves. Of the 124,162 offers cited above, to date 109,735 have been accepted; 9,028 have been rejected.

ADAPT (the County's multi-department tax certiorari case management system) is currently being utilized to facilitate communication and sharing of information for several different applicable departments and improves the swiftness of case dispositions.

**KPI REPORT 11: Sworn Separations****Police Department September 2016 Sworn Separations**

<b>UNION</b>	<b>ACTUAL HC</b>	<b>PENDING HC</b>
PBA	28	0
SOA	1	0
<b>TOTAL PDD</b>	<b>29</b>	<b>0</b>
PBA	8	1
DAI	14	0
SOA	5	0
<b>TOTAL PDH</b>	<b>27</b>	<b>1</b>
<b>TOTAL SEPARATIONS</b>	<b>56</b>	<b>1</b>

Note: Actual Headcount includes disability retirements and represents individuals who have terminated and are currently off the payroll. Pending Headcount represents individuals who are still currently on the payroll but who have filed the necessary paperwork indicating their intention to leave service at some point in the current year.

**KPI REPORT 12: Tax Map Verification Documents Processed**

<b>Month - 2016</b>	<b># of Documents Verified</b>	<b>Total Revenue</b>
January	8,638	\$1,832,175
February	9,478	\$2,071,125
March	9,898	\$2,227,050
April	9,357	\$2,105,325
May	9,696	\$2,181,600
June	10,473	\$2,356,425
July	10,314	\$2,320,650
August	12,166	\$2,737,350
September	11,528	\$2,593,800
October	0	\$0
November	0	\$0
December	0	\$0
<b>Totals</b>	<b>91,548</b>	<b>\$20,425,500</b>

---

# FISCAL 2016 MONTHLY FINANCIAL REPORT

---

